

# FUNDAMENTAL GROUPS: MOTIVATION, COMPUTATION METHODS, AND APPLICATIONS

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ABSTRACT. The fundamental group is an algebraic invariant used to differentiate between topological spaces. By detecting holes in a topological space, the fundamental group of a space gives information about that space's basic structural characteristics. Through its ability to characterize topological spaces, the fundamental group has applications in other problems and theorems, including the fundamental theorem of algebra. In this paper, we define point-set topology and related concepts, define and study some properties of the fundamental group and methods of computation, and then use the concept of the fundamental group in a topological proof of the fundamental theorem of algebra.

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## 1. POINT-SET TOPOLOGY

### 1.1. Preliminaries.

1.1.1. *Topology.* Topology can be thought of as a *qualitative* way to measure “closeness” of points.

**Definition 1.1.** A **topology** on a set  $X$  is a collection  $\mathcal{T}$  of subsets of  $X$  such that

- (1)  $\emptyset$  and  $X$  are in  $\mathcal{T}$ .
- (2) Unions of elements of  $\mathcal{T}$  are in  $\mathcal{T}$ .
- (3) Finite intersections of elements of  $\mathcal{T}$  are in  $\mathcal{T}$ .

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A subset  $U$  of  $X$  is called an **open set** of  $X$  if it is in the topology  $\mathcal{T}$  of  $X$ . A **closed set** is defined as the complement to an open set.

Intuitively, the more open sets two points both belong to, the “closer” they are. Topology is a generalization of concepts we draw from the real line, like “closeness.” Because taking infinite intersections of sets in a topology could cause a single point to be considered an open set, which would be problematic for some spaces like the real line, we cannot demand that infinite intersections of elements of  $\mathcal{T}$  be in  $\mathcal{T}$ .

**Example 1.2.** In the standard  $\mathbb{R}$  topology, a set is open if it contains only interior points. If the infinite intersection of the collection of sets of the form  $(-\frac{1}{n}, \frac{1}{n})$  were considered to be part of the topology on  $\mathbb{R}$ , then the set  $\{0\}$  would be an open set, which is not desirable.

In general, we can make any point open, and if any point is open, then any set is open because we can take the union of all the points in it. Thus, any set is also closed because its complement is open. This is called the **discrete topology**, and it is much too fine to be useful.

1.1.2. *Basis and Subbasis.* Notice that we did not specify the topology on  $\mathbb{R}$  in the example above. Instead of giving the whole collection  $\mathcal{T}$  of open sets directly, it is often much easier to give a smaller collection of open sets and define the topology in terms of the smaller collection.

**Definition 1.3.** A **basis**  $\mathfrak{B}$  for a topology on a set  $X$  is a collection of subsets  $B$  of  $X$  such that

- (1) Every  $x$  in  $X$  is contained in at least one basis element  $B$  of  $\mathfrak{B}$ .
- (2) If  $x$  is in the intersection of two basis elements  $B_1$  and  $B_2$ , there is a third basis element  $B_3 \subset B_1 \cap B_2$  containing  $x$ .

The **topology generated by**  $\mathfrak{B}$  is the collection of open subsets  $U$  of  $X$  that have, for all  $x$  in  $U$ , a basis element  $B \subset U$  such that  $x \in B$ .

**Example 1.4** (The standard topology on the real line). The **standard topology** on  $\mathbb{R}$  is the topology generated by the basis  $\mathfrak{B}$  of open intervals

$$(a, b) = \{x \mid a < x < b\}.$$

**Definition 1.5.** A **subbasis**  $\mathcal{S}$  for a topology on a set  $X$  is a collection of subsets of  $X$  whose union is  $X$ . The **topology generated by**  $\mathcal{S}$  is the collection of all unions of finite intersections of the elements of  $\mathcal{S}$ .

1.1.3. *Continuous Maps.*

**Definition 1.6.** A map  $f : X \rightarrow Y$  between topological spaces  $X$  and  $Y$  is **continuous** if for every open subset  $U$  of  $Y$ , the set  $f^{-1}(U)$  is an open subset of  $X$ .

Continuing our generalization from the real line, this definition agrees with the epsilon-delta definition of continuity for a metric space if we take the open epsilon and delta balls to be our open sets  $U$  and  $f^{-1}(U)$ .

From now on, all maps between topological spaces are continuous unless otherwise specified.

1.2. **Basic Constructions.** Starting with one topological space, or a collection of topological spaces, we can form new topological spaces through various constructions.

1.2.1. *The Subspace Topology.* If we have a topological space, then given a subset of that topological space, we can use the measure of “closeness” on the larger topological space to construct a “closeness” measure on the subset. This is the idea of the subspace topology.

**Definition 1.7.** Let  $X$  be a topological space with topology  $\mathcal{T}$ . Let  $Y$  be a subset of  $X$ . Then the **subspace topology** on  $Y$  is the collection

$$\mathcal{T}_Y = \{Y \cap U \mid U \in \mathcal{T}\}.$$

The following theorem allows us to characterize the subspace topology uniquely.

**Theorem 1.8** (The Universal Property of the Subspace Topology). *Let  $X$  be a topological space, and let  $Y \subseteq X$  have the subspace topology. Then, for any topological space  $Z$  and map  $g : Z \rightarrow X$  such that  $\text{Im } g \subseteq \text{Im } i$ , there exists a unique  $f : Z \rightarrow Y$  such that the diagram below commutes, and  $g$  realizes  $Z$  as a subspace of  $X$  if and only if  $f$  realizes  $Z$  as a subspace of  $Y$ .*

$$\begin{array}{ccc} & & X \\ & \nearrow g & \uparrow i \\ Z & \dashrightarrow f & Y \end{array}$$

*Proof.* Let  $i : Y \hookrightarrow X$  be an inclusion map. We can get a map  $f : Z \rightarrow Y$  defined by

$$(i \circ f)(z) = g(z)$$

so that  $g = i \circ f$  and the diagram commutes because  $\text{Im } g \subseteq \text{Im } i$ .

Now we must show that this map is continuous. Suppose a set  $U$  is open in  $X$ . Any set of the form  $Y \cap U$  is open in  $Y$  by the definition of the subspace topology. Also,  $g^{-1}(U)$  is open in  $Z$  since  $g$  is continuous. But  $g^{-1}(U)$  is just  $f^{-1}(Y \cap U)$  by the way we defined  $f$ , and since  $\text{Im } g \subseteq \text{Im } i$ .

Now we must show that this map is unique. Suppose that there is another map  $f' : Z \rightarrow Y$  such that  $g = i \circ f'$ . So

$$i \circ f' = i \circ f.$$

Then, by the injectivity of  $i$ , we must have  $f' = f$ , so  $f$  is unique as required.

Now we must show that  $g$  realizes  $Z$  as a subspace of  $X$  if and only if  $f$  realizes  $Z$  as a subspace of  $Y$ . It is clear that  $g$  is an inclusion map if and only if  $f$  is an inclusion map. Suppose that  $g$  realizes  $Z$  as a subspace of  $X$ . Then the open sets of  $Z$  are of the form  $Z \cap U$ , while the open sets of  $Y$  are of the form  $Y \cap U$ , by the definition of the subspace topology. Since  $f$  is an inclusion map if  $g$  is an inclusion map, every open subset  $Z \cap U$  of  $Z$  must be a subset of  $Y \cap U$  of  $Y$ . This means that  $Z \cap U = Z \cap (Y \cap U)$ , so  $f$  realizes  $Z$  as a subspace of  $Y$ .

Now, suppose that  $f$  realizes  $Z$  as a subspace of  $Y$ . For any open set  $U$  of  $X$ , the open sets of  $Y$  are of the form  $Y \cap U$ , so the open sets in  $Z$  would be of the form  $Z \cap (Y \cap U)$ . But since  $Z$  is a subspace of  $Y$ , this is just  $Z \cap U$ , so  $g$  realizes  $Z$  as a subspace of  $X$ .  $\square$

The purpose of this theorem is to show that if we equip a subset with the subspace topology, the same behavior that occurs tautologically at the set theoretic level also happens for topological spaces. Furthermore, this behavior *uniquely* characterizes the subspace topology.

**1.2.2. The Quotient Topology.** Many surfaces can be constructed by taking one surface and “gluing” parts of it together to form another. For example, the sphere can be constructed by bringing the whole boundary of a disc into a single point. This technique involves the concept of the quotient topology.

The construction of the quotient topology corresponds closely with the construction of the subspace topology. While the subspace topology depends on an injective inclusion map, the quotient topology depends on a surjective quotient map, as we will see below.

**Definition 1.9.** Let  $X$  and  $Y$  be topological spaces. A map  $p : X \rightarrow Y$  is a **quotient map** if  $p$  is surjective and if a subset  $U$  of  $Y$  is open in  $Y$  if and only if  $p^{-1}(U)$  is open in  $X$ .

Note that for a surjective map  $f : X \rightarrow Y$  where  $X$  is a topological space and  $Y$  is a set, there is a unique way to endow a topology on  $Y$  to make  $f$  a quotient map.

**Definition 1.10.** Let  $X$  be a space,  $Y$  a set, and  $p : X \rightarrow Y$  a surjective function. The **quotient topology** is defined as the collection of subsets  $U$  of  $Y$  such that  $p^{-1}(U)$  is open in  $X$ .

**Theorem 1.11** (The Universal Property of the Quotient Topology). *Let  $X$  be a topological space, and let  $Y$  have the quotient topology. Then, for any topological space  $Z$  and map  $g : X \rightarrow Z$  that is constant on the inverse image  $p^{-1}(\{y\})$  for each  $y \in Y$ , there exists a unique map  $f : Y \rightarrow Z$  such that the diagram below commutes, and  $f$  is a quotient map if and only if  $g$  is a quotient map.*

$$\begin{array}{ccc} X & & \\ p \downarrow & \searrow g & \\ Y & \xrightarrow{\quad f \quad} & Z \end{array}$$

*Proof.* Let  $p : X \rightarrow Y$  be the quotient map created by the quotient topology on  $Y$ . For every  $y \in Y$ ,  $g(p^{-1}(\{y\}))$  is a one point set in  $Z$  because  $g$  is constant on each  $p^{-1}(\{y\})$ . Now if we define  $f : Y \rightarrow Z$  by  $f(y) = g(p^{-1}(\{y\}))$ , we see that

$$(f \circ p)(x) = g(x).$$

Now we must show  $f$  is continuous. Suppose  $U$  is any open set in  $Z$ . Since  $g$  is continuous,  $g^{-1}(U)$  is open in  $X$ . Now, since  $g = f \circ p$ ,

$$g^{-1}(U) = p^{-1}(f^{-1}(U)).$$

Because  $p$  is a quotient map,  $f^{-1}(U)$  is open in  $Y$ . So  $f$  is continuous as required.

Now we must show that  $f$  is a quotient map if and only if  $g$  is a quotient map. First suppose  $f$  is a quotient map. Then  $g = f \circ p$  is a quotient map because both  $p$  and  $f$  are quotient maps. Now suppose  $g$  is a quotient map. We have already shown that  $f$  is continuous, so we must show that if  $f^{-1}(U)$  is open in  $Y$  then  $U$  is open in  $Z$ . Now because  $p$  is continuous,

$$(p^{-1} \circ f^{-1})(U) = g^{-1}(U)$$

is open in  $X$ . Since  $g$  is a quotient map,  $U$  is open in  $Z$ . So  $f$  is a quotient map if and only if  $g$  is a quotient map, as required.  $\square$

**1.2.3. The Product Topology.** Given a collection of topological spaces, there are a couple ways to define a topology on their cartesian product. Here, we look at the aptly named product topology.

**Definition 1.12.** Let  $\{X_\alpha\}_{\alpha \in J}$  be an indexed family of topological spaces. Let

$$\pi_\beta : \prod_{\alpha \in J} X_\alpha \rightarrow X_\beta$$

defined by

$$\pi_\beta((x_\alpha)_{\alpha \in J}) = x_\beta$$

be a projection mapping. Let  $\mathcal{S}_\beta$  be the collection

$$\mathcal{S}_\beta = \{\pi_\beta^{-1}(U_\beta) \mid U_\beta \text{ open in } X_\beta\}.$$

Then the **product topology** on  $\prod_{\alpha \in J} X_\alpha$  is the collection generated by the subbasis

$$\mathcal{S} = \bigcup_{\beta \in J} \mathcal{S}_\beta.$$

Although this definition may initially feel a bit arbitrary, it is actually the result of a very careful construction. The basis  $\mathfrak{B}$  generated by  $\mathcal{S}$  is the collection of all finite intersections of elements of  $\mathcal{S}$ . Finite intersections of elements belonging to the same set  $\mathcal{S}_\beta$  always give an element of that same  $\mathcal{S}_\beta$ , so the only finite intersections that form new basis elements are intersections of elements from different sets  $\mathcal{S}_\beta$ . A typical basis element  $B$  can be written as

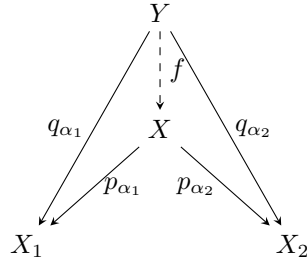
$$B = \pi_{\beta_1}^{-1}(U_{\beta_1}) \cap \cdots \cap \pi_{\beta_k}^{-1}(U_{\beta_k})$$

where  $U_{\beta_i}$  is open in  $X_{\beta_i}$  for  $i = 1, \dots, k$ . A point  $\mathbf{x}$  is in  $B$  if and only if  $\pi_{\beta_i}(\mathbf{x})$  is in  $U_{\beta_i}$  for  $i = 1, \dots, k$ , or in other words, if its  $\beta_i$ th coordinate is in  $U_{\beta_i}$  for  $i = 1, \dots, k$ . Note that there is no restriction on the coordinates of  $\mathbf{x}$  for indices other than  $\beta_1, \dots, \beta_k$ . Therefore each element  $B$  of the basis generated by  $\mathcal{S}$  can be written as

$$B = \prod_{\alpha \in J} U_\alpha$$

where  $U_\alpha$  is the entire space  $X_\alpha$  for  $\alpha \neq \beta_1, \dots, \beta_k$ .

**Theorem 1.13** (The Universal Property of the Product Topology). *Let  $\{X_\alpha\}_{\alpha \in J}$  be an indexed family of topological spaces, and let  $X = \prod_{\alpha \in J} X_\alpha$  have the product topology. Then, for any topological space  $Y$ , if for all  $\alpha \in J$  there are  $q_\alpha : Y \rightarrow X_\alpha$ , there exists a unique  $f : Y \rightarrow X$  such that the diagram below commutes for all  $\alpha$ .*



*Proof.* Let  $\pi_\beta : X \rightarrow X_\beta$  be the projection map taking each element of the product space  $X$  to its  $\beta$ th coordinate. We can get a map  $f : Y \rightarrow X$  defined by

$$f(y) = (q_\alpha(y))_{\alpha \in J}$$

so that  $p_\alpha(f(y)) = p_\alpha(q_1(y), q_2(y), \dots) = q_\alpha(y)$ . We must show that this map is continuous and unique.

First, we prove continuity of  $f$  by showing that the inverse image of each subbasis element  $p_\alpha^{-1}(U_\alpha)$  of  $X$  is open in  $Y$ . Now we know  $q_\alpha = p_\alpha \circ f$ , so for any open set  $U_\alpha$  of  $X_\alpha$ ,

$$f^{-1}(p_\alpha^{-1}(U_\alpha)) = q_\alpha^{-1}(U_\alpha).$$

Because all the  $q_\alpha$  are continuous,  $q_\alpha^{-1}(U_\alpha)$  is open in  $Y$ , as required.

Now we prove uniqueness. Suppose there is another continuous map  $g : Y \rightarrow X$  such that for all  $\alpha \in J$ ,  $q_\alpha = p_\alpha \circ g$ . Note that any element  $(x_1, x_2, \dots)$  of  $X$  can be written as

$$(x_1, x_2, \dots) = (p_1(x_1, x_2, \dots), p_2(x_1, x_2, \dots), \dots),$$

so  $g$  can be written as

$$g(y) = (p_1(g(y)), p_2(g(y)), \dots) = (q_1(y), q_2(y), \dots) = (q_\alpha(y))_{\alpha \in J}.$$

But this is exactly the way we defined  $f$ ! □

There is another topology called the **box topology**. The box topology is defined as the topology generated by the basis

$$\prod_{\alpha \in J} U_\alpha$$

where  $U_\alpha$  is open in  $X_\alpha$  for each  $\alpha \in J$ . However, we prefer the product topology because it is the topology that satisfies the universal property. Consider the following example.

**Example 1.14.** Let  $\mathbb{R}^\omega$ , the countably infinite product of  $\mathbb{R}$  with itself. Define a function  $f : \mathbb{R} \rightarrow \mathbb{R}^\omega$  by

$$f(t) = (t, t, t, \dots)$$

with the  $n$ th coordinate function  $f_n(t) = t$ . Each of the coordinate functions is continuous, so if  $\mathbb{R}^\omega$  has the product topology, then  $f$  is continuous. However, if  $\mathbb{R}^\omega$  has the finer box topology,  $f$  is not continuous. Consider the basis element  $B = (-1, 1) \times (-\frac{1}{2}, \frac{1}{2}) \times (-\frac{1}{3}, \frac{1}{3})$ . If  $f^{-1}(B)$  were open in  $\mathbb{R}$ , it would contain some interval  $(-\delta, \delta)$  around the point 0, which would mean that  $f((-\delta, \delta)) \subset B$ . Then, if we apply the  $n$ th projection mapping to both sides, we get

$$(-\delta, \delta) \subset (-\frac{1}{n}, \frac{1}{n})$$

for all  $n$ , which is a contradiction.

#### 1.2.4. Connectedness.

**Definition 1.15.** Let  $X$  be a topological space. A **separation** of  $X$  is a pair  $U$  and  $V$  of disjoint, nonempty, open (or closed) subsets of  $X$  whose union is  $X$ . The space  $X$  is **connected** if there is no separation of  $X$ .

Another way to state this definition is to say that a space  $X$  is connected if and only if the only subsets of  $X$  that are both open and closed in  $X$  are the empty set and  $X$ .

Connectedness is a topological property, so it can be used to distinguish between topological spaces, as in the following example.

**Example 1.16.** Consider  $\mathbb{R}$  and  $\mathbb{R} - \{0\}$ . On the one hand,  $\mathbb{R}$  is connected because the only subsets of  $\mathbb{R}$  that are both open and closed are  $\mathbb{R}$  and the empty set. On the other hand,  $\mathbb{R} - \{0\}$  is not connected because it is the union of the disjoint, nonempty, open subsets  $(-\infty, 0)$  and  $(0, \infty)$ . So connectedness can be used to distinguish between these two spaces. However, we cannot use connectedness to distinguish between  $\mathbb{R}^2$  and  $\mathbb{R}^2 - \{0\}$ .

## 2. FUNDAMENTAL GROUPS

Spaces themselves are very flexible and often difficult to study directly. Since algebraic topologists only care about spaces up to homotopy equivalence, we can instead associate spaces with more rigid algebraic objects that indicate important properties of a space. One such algebraic object is the fundamental group of a space, which we explore in this section.

Looking at the previous example, connectedness fails to differentiate between  $\mathbb{R}^2$  and  $\mathbb{R}^2 - \{0\}$ . We can, however, distinguish between these two spaces by comparing their fundamental groups. It is easy to imagine how any loop in  $\mathbb{R}^2$  based at a certain point can be stretched, squeezed, or reshaped into any other loop based at that point. A loop in  $\mathbb{R}^2 - \{0\}$  that encircles  $\{0\}$ , however cannot be reshaped into any other loop because it “gets stuck” on the hole at  $\{0\}$ . Therefore, we can distinguish between  $\mathbb{R}^2$  and  $\mathbb{R}^2 - \{0\}$  by looking at their fundamental groups.

## 2.1. Homotopy.

**Definition 2.1.** An **equivalence relation** on a set  $X$  is a relation  $\sim$  on  $X$  with the following properties:

- (1)  $x \sim x$  for all  $x$  in  $X$  (reflexivity),
- (2) if  $x \sim y$ , then  $y \sim x$  (symmetry),
- (3) and if  $x \sim y$  and  $y \sim z$ , then  $x \sim z$  (transitivity).

**Definition 2.2.** An **equivalence class**, determined by an equivalence relation on a set  $X$  and an element  $x$  of  $X$ , is the subset

$$E = \{y \mid y \sim x\}$$

of  $X$ .

Before defining the fundamental group of a space, we must consider an equivalence relation in the space called **path homotopy**.

**Definition 2.3.** Let  $X$  be a space and  $x$  and  $y$  points of  $X$ . A **path** in  $X$  from  $x$  to  $y$  is a continuous map  $f : [a, b] \rightarrow X$  of a closed interval into  $X$  such that  $f(a) = x$  and  $f(b) = y$ .

**Definition 2.4.** Let  $f$  and  $f'$  be continuous maps from  $X$  to  $Y$ . The map  $f$  is **homotopic** to  $f'$  if there is a continuous map  $F : X \times [0, 1] \rightarrow Y$  such that

$$F(x, 0) = f(x) \text{ and } F(x, 1) = f'(x).$$

$F$  is called a **homotopy** between  $f$  and  $f'$ .

**Definition 2.5.** Let  $f$  and  $f'$  be continuous maps from the interval  $I = [0, 1]$ , endowed with the standard subspace topology, to  $X$ . The map  $f$  is **path homotopic** to  $f'$  if these two paths have the same initial point  $x_0$  and the same final point  $x_1$ , and if there is a continuous map  $F : I \times I \rightarrow X$  such that

$$F(s, 0) = f(s) \text{ and } F(s, 1) = f'(s)$$

$$F(0, t) = x_0 \text{ and } F(1, t) = x_1.$$

$F$  is called a **path homotopy** between  $f$  and  $f'$ .

Now we will define an operation on path homotopy equivalence classes.

**Definition 2.6.** Let  $g$  be a path in  $X$  from  $x_0$  to  $x_1$ , and let  $f$  be a path in  $X$  from  $x_1$  to  $x_2$ . The **product**  $g * f$  of  $g$  and  $f$  is the path in  $X$  from  $x_0$  to  $x_2$ , and it is defined as the path

$$h(s) = \begin{cases} g(2s), & \text{for } s \in [0, \frac{1}{2}] \\ f(2s - 1), & \text{for } s \in [\frac{1}{2}, 1]. \end{cases}$$

This product on paths induces an operation on equivalence classes of paths defined by the equation

$$[g] * [f] = [g * f].$$

**2.2. The Fundamental Group.** The set of all path homotopy equivalence classes of paths in a space is not a group under the operation  $*$  defined above because this operation is defined only between two paths for which the first's endpoint is the second's beginning point. However, if we consider only paths that begin and end at the same point, the operation  $*$  will be defined.

**Definition 2.7.** Let  $X$  be a space. A **loop** in  $X$  based at  $x_0$  is a path in  $X$  that begins and ends at the same point  $x_0$ .

**Definition 2.8.** The **fundamental group** of a space  $X$  relative to the base point  $x_0$ , denoted by  $\pi_1(X, x_0)$ , is the set of path homotopy classes of loops based at  $x_0$ .

Because we introduced the fundamental group as a tool used to differentiate between spaces, we would like to show that it is indeed a topological invariant. One way to show this is true is by considering a map  $h : (X, x_0) \rightarrow (Y, y_0)$  that maps the point  $x_0$  in  $X$  to  $y_0$  in  $Y$ . Then, for any loop  $f$  in  $X$  based at  $x_0$ ,  $h \circ f$  is a loop in  $Y$  based at  $y_0$ . This relationship between loops in  $X$  and loops in  $Y$  allows us to define a homomorphism between the fundamental groups of  $X$  and  $Y$  induced by the continuous map  $h$ .

**Definition 2.9.** Let  $h : (X, x_0) \rightarrow (Y, y_0)$  be a continuous map. Then we can get a map

$$h_* : \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$$

called the **homomorphism induced by  $h$** , relative to the base point  $x_0$  defined by

$$h_*([f]) = [h \circ f].$$

**Definition 2.10.** A space  $X$  is **path connected** if every pair of points in  $X$  can be joined by a path in  $X$ .

**Definition 2.11.** A space  $X$  is **simply connected** if it is path-connected and if  $\pi_1(X, x_0)$  is the trivial group for all  $x_0 \in X$ .

**Example 2.12.**  $\mathbb{R}^n$  is simply connected.  $\mathbb{R}^n$  is obviously path-connected, and the fundamental group of  $\mathbb{R}^n$  is trivial because we can get a **straight line homotopy**  $F : I \times I \rightarrow \mathbb{R}^n$  between any two loops  $f$  and  $g$  in  $\mathbb{R}^n$  based at a point  $x_0$  defined by

$$F(x, t) = (1 - t)f(x) + tg(x).$$

### 2.2.1. Homotopy Invariance of the Fundamental Group.

**Theorem 2.13.** Let  $f, g : (X, x_0) \rightarrow (Y, y_0)$ . If  $f$  and  $g$  are homotopic and the image of  $x_0$  of  $X$  is fixed at  $y_0$  during the homotopy, then the induced homomorphisms  $f_*$  and  $g_*$  are equal.

*Proof.* We have a homotopy  $F : X \times I \rightarrow Y$  from  $f$  to  $g$  such that  $F(x_0, t) = y_0$  for all  $t$ . Then, if  $h$  is a loop in  $X$  based at  $x_0$ , there is a homotopy  $H : I \times I \rightarrow Y$  defined by  $H = F \circ (h \times \text{id})$  from  $f \circ h$  to  $g \circ h$ .  $H$  is a path homotopy because  $h$  is a loop based at  $x_0$  and  $F$  maps  $x_0 \times I$  to  $y_0$ . So by the definition of the induced homomorphism,  $f_* = g_*$ .  $\square$



The homotopy invariance of the fundamental group leads to a useful concept called a **deformation retraction** that can take an unfamiliar space and “deform” it so that it looks like a space we’re more familiar with.

**Definition 2.14.** Let  $A$  be a subspace of  $X$ .  $A$  is a **deformation retract** of  $X$  if the identity map of  $X$  is homotopic to a map that carries all of  $X$  into  $A$  such that each point of  $A$  remains fixed during the homotopy. This homotopy  $H : X \times I \rightarrow X$ , where  $H(x, 0) = x$  and  $H(x, 1) \in A$  for all  $x \in X$ , and  $H(a, t) = a$  for all  $a \in A$ , is called a **deformation retraction** of  $X$  onto  $A$ .

The value of deformation retracts is that they have the same fundamental groups as the spaces they can be “deformed” into because of the homotopy invariance of fundamental groups.

**Corollary 2.15.** Let  $A$  be a deformation retract of  $X$ , and  $x_0 \in A$ . Then the inclusion map  $j : (A, x_0) \rightarrow (X, x_0)$  induces an isomorphism of fundamental groups

$$\pi_1(A, x_0) \rightarrow \pi_1(X, x_0).$$

**2.3. Covering Spaces.** Although some fundamental groups, like the fundamental group of  $\mathbb{R}^n$ , are easy to compute on their own, others require additional tools. The concept of a covering space is one such tool, and it is used to compute the very important fundamental group of the circle.

**Definition 2.16.** Let  $X$  and  $C$  be topological spaces and  $p : C \rightarrow X$  a map. An open set  $U$  of  $X$  is said to be **evenly covered** by  $p$  if the inverse image  $p^{-1}(U)$  can be written as the union of disjoint open sets  $V_\alpha$  of  $C$ , called **slices** of  $p^{-1}(U)$ , such that for each  $\alpha$ ,

$$p|_{V_\alpha} : V_\alpha \rightarrow U$$

is a homeomorphism.

**Definition 2.17.** If every  $x$  in  $X$  has a neighborhood  $U$  evenly covered by  $p$ , then  $p$  is a **covering map** and  $C$  is a **covering space** of  $X$ .

**Example 2.18.** The map  $p : \mathbb{R} \rightarrow S^1$  defined by

$$p(x) = (\cos 2\pi x, \sin 2\pi x)$$

is a covering map.

*Proof.* Let  $U_1$  be the subset of  $S^1$  containing all points with a positive first coordinate, let  $U_2$  be the subset of  $S^1$  containing all points with a negative first coordinate, let  $U_3$  be the subset of  $S^1$  containing all points with a positive second coordinate, and let  $U_4$  be the subset of  $S^1$  containing all points with a negative second coordinate. Then, since their union is  $S^1$ , every point of  $S^1$  is in at least one of these sets. Also, note that all these sets are open.

Now we must show that these sets are evenly covered by  $p$ , starting with  $U_1$ . The set  $p^{-1}(U_1)$  consists of all points in  $\mathbb{R}$  for which  $\cos 2\pi x$  is positive, so it is the union of all intervals

$$V_{n_1} = \left(n - \frac{1}{4}, n + \frac{1}{4}\right)$$

for  $n \in \mathbb{Z}$ . These sets are disjoint, so now we must show that

$$p|_{V_{n_1}} : V_{n_1} \rightarrow U_1$$

is a homeomorphism for all  $n$ .

The map  $p|_{V_{n_1}}$  is continuous because sine and cosine are continuous functions. Now we must show that  $p|_{V_{n_1}}^{-1} : U_1 \rightarrow V_{n_1}$  is continuous. If we let  $x = n + y$  for  $y \in (-\frac{1}{4}, \frac{1}{4})$ ,  $p|_{V_{n_1}}$  is defined as

$$p|_{V_{n_1}}(y) = \left( \cos(2\pi(n+y)), \sin(2\pi(n+y)) \right) = (\cos 2\pi y, \sin 2\pi y).$$

Now let  $a_1$  and  $a_2$  be the first and second coordinates of any point in  $U_1$ . Since  $\sin 2\pi x$  is bijective on the interval  $V_n$ , we can define  $p|_{V_{n_1}}^{-1}$  by

$$p|_{V_{n_1}}^{-1}(a_1, a_2) = \frac{\sin^{-1}(a_2)}{2\pi} - n,$$

and this map is continuous because  $a_2 \in (-1, 1)$ , and  $\sin^{-1}$  is continuous on  $(-1, 1)$ .

Now we will show  $p|_{V_{n_1}}$  is bijective. First,  $p|_{V_{n_1}}$  is injective because  $\sin 2\pi x$  is injective on  $V_{n_1}$ , since  $\sin 2\pi x$  is strictly increasing on this interval. Now we will show  $p|_{V_{n_1}}$  is surjective. For any point  $(a_1, a_2)$  in  $U_1$ , we can get an open interval  $A \subset U_1$  such that  $(a_1, a_2) \notin p|_{V_{n_1}}(A)$ . Then  $(a_1, a_2)$  is in the closed set  $\bar{A}$ . Since  $p|_{V_{n_1}}$  is continuous, we can get a closed set  $B \subset V_{n_1}$  such that  $p|_{V_{n_1}}(B) = \bar{A}$ . Then by the intermediate value theorem, there is an  $x \in B$  such that  $p|_{V_{n_1}}(x) = (a_1, a_2)$ . So  $p|_{V_{n_1}}$  is a homeomorphism, as required.

The proof proceeds similarly for  $U_2$ ,  $U_3$ , and  $U_4$ , and we get  $p|_{V_{n_2}}$ ,  $p|_{V_{n_3}}$ , and  $p|_{V_{n_4}}$  to be homeomorphisms as well. Then, every point in  $S^1$  is in at least one of these four sets, each of which is evenly covered by  $p$ , so  $p$  is a covering map.  $\square$

## 2.4. Examples of Fundamental Groups.

**2.4.1. The Fundamental Group of the Circle.** Because we have proven that the real line is a covering space for the circle, we can imagine it wrapping around the circle. For every additional time we complete a loop around the circle in the positive direction, we increase one unit on the real line. If we complete a loop back around the other way, it's as if we are decreasing one unit on the real line. With this picture in mind, it is intuitively clear that the fundamental group of the circle is the integers. To formally prove this fact, however, we need the following concepts and results. We will only sketch the proofs of these results. The full proofs can be found in Munkres's *Topology* [3, p. 342-345].

**Definition 2.19.** Let  $p : E \rightarrow B$  be a map. For any space  $X$ , if there is a continuous map  $f : X \rightarrow B$ , and if there is a map  $\tilde{f} : X \rightarrow E$  such that the following diagram commutes,

$$\begin{array}{ccc} & & E \\ & \nearrow \tilde{f} & \downarrow p \\ X & \xrightarrow{f} & B \end{array}$$

then  $\tilde{f}$  is called a **lifting** of  $f$ .

The concept of a lifting when  $p$  is a covering map is a valuable tool in studying fundamental groups, in particular, the fundamental group of the circle.

**Lemma 2.20.** Let  $p : E \rightarrow B$  be a covering map, and  $p(e_0) = b_0$ . Then any continuous path  $f : [0, 1] \rightarrow B$  has a unique lifting to a path  $\tilde{f} : [0, 1] \rightarrow E$  beginning at  $e_0$ .

To prove, we can subdivide the interval  $[0, 1]$  into  $n$  sets that lie in open sets  $U$  evenly covered by  $p$ . First defining  $\tilde{f}(0) = e_0$ , we can then define  $\tilde{f}$  step by step on each subinterval of  $[0, 1]$  so that  $\tilde{f}$  is continuous and the diagram in definition 2.19 commutes. Uniqueness is also proved step by step by showing that on every subinterval of  $[0, 1]$  some other lifting of  $f$  must equal  $\tilde{f}$  at every point in the interval.

**Lemma 2.21.** *Let  $p : E \rightarrow B$  be a covering map, and  $p(e_0) = b_0$ . Let  $F : I \times I \rightarrow B$  be a continuous map with  $F(0, 0) = b_0$ . Then there is a unique lifting of  $F$  to  $\tilde{F} : I \times I \rightarrow E$  such that  $\tilde{F}(0, 0) = e_0$ . Additionally, if  $F$  is a path homotopy, then  $\tilde{F}$  is also a path homotopy.*

$\tilde{F}$  is constructed in much the same way as  $\tilde{f}$  in the previous lemma, but the proof is a bit more complicated because we construct it on  $I \times I$  instead of just  $I$ . Uniqueness is again proven step by step, as there is only one way to extend  $\tilde{F}$  continuously at each step of its construction.

**Theorem 2.22.** *Let  $p : E \rightarrow B$  be a covering map, and  $p(e_0) = b_0$ . Let  $f$  and  $g$  be two paths in  $B$  from  $b_0$  to  $b_1$ , and  $\tilde{f}$  and  $\tilde{g}$  their corresponding liftings to paths in  $E$  beginning at  $e_0$ . If  $f$  and  $g$  are path homotopic, then  $\tilde{f}$  and  $\tilde{g}$  are also path homotopic.*

To prove the theorem, first consider a path homotopy  $F$  between  $f$  and  $g$ . By lemma 2.21, the lifting  $\tilde{F}$  of  $F$  is also a path homotopy. Then, restricting  $\tilde{F}$  to the top and bottom edge of  $I \times I$ , we get two paths in  $E$  beginning at  $e_0$  that, by the uniqueness in lemma 2.20, correspond to  $\tilde{f}$  and  $\tilde{g}$  respectively. These path liftings both end at  $e_1$  and  $\tilde{F}$  is a path homotopy between them, so they are path homotopic.

**Definition 2.23.** Let  $p : E \rightarrow B$  be a covering map. Let  $b_0 \in B$ , and choose  $e_0$  such that  $p(e_0) = b_0$ . Given an element  $[f]$  of  $\pi_1(B, b_0)$ , let  $\tilde{f}$  be the lifting of  $f$  to a path in  $E$  beginning at  $e_0$ . Then the **lifting correspondence** derived from  $p$  is defined as

$$\phi : \pi_1(B, b_0) \rightarrow p^{-1}(b_0)$$

where  $\phi([f])$  denotes the end point  $\tilde{f}(1)$  of  $\tilde{f}$ .

The lifting correspondence is the core concept in the proof that the fundamental group of the circle is the integers, but before we get to that proof, we need one more result about the lifting correspondence.

**Lemma 2.24.** *Let  $p : E \rightarrow B$  be a covering map, and  $p(e_0) = b_0$ . If  $E$  is simply connected, then the lifting correspondence is bijective.*

We get surjectivity from the path-connectedness of  $E$  because for any  $e_1 \in p^{-1}(b_0)$ , there's a lifted path  $\tilde{f}$  from  $e_0$  to  $e_1$  that gives a loop  $f$  in  $B$  at  $b_0$ . Then  $\phi([f]) = e_1$ . We get injectivity from the fact that  $E$  is simply connected. If  $\phi([f]) = \phi([g])$  for  $[f]$  and  $[g]$  in  $\pi_1(B, b_0)$ , we can take the liftings of these two loops with the same beginning and ending points. Then, since  $E$  is simply connected, there exists a path homotopy  $\tilde{F}$  in  $E$  between  $\tilde{f}$  and  $\tilde{g}$ , so  $p \circ \tilde{F}$  is a path homotopy in  $B$  between  $f$  and  $g$ , and  $[f] = [g]$ .

Now, with these definitions and results, we can prove that the fundamental group of the circle is the integers.

**Theorem 2.25.** *The fundamental group of  $S^1$  is isomorphic to the group  $(\mathbb{Z}, +)$ .*

*Proof.* Let  $p : \mathbb{R} \rightarrow S^1$  be the covering map of example 2.18. Let  $e_0 = 0$  and  $b_0 = p(e_0)$ . Then  $p^{-1}(b_0)$  is the set  $\mathbb{Z}$  of integers. Since  $\mathbb{R}$  is simply connected, the lifting correspondence

$$\phi : \pi_1(S^1, b_0) \rightarrow \mathbb{Z}$$

is bijective.

Now we must show that  $\phi$  is a homomorphism. Let  $\phi$  be defined by

$$\phi([f]) = n$$

if  $f$  is homotopic to  $e^{2\pi int}$ . Let  $\iota : \mathbb{Z} \rightarrow \pi_1(S^1, b_0)$  be defined by

$$\iota(n) = [e^{2\pi int}].$$

Then

$$\begin{aligned} \iota(n + m) &= [e^{2\pi it(n+m)}] \\ &= [e^{2\pi int} * e^{2\pi imt}] \\ &= [e^{2\pi int}] * [e^{2\pi imt}] \\ &= \iota(n) + \iota(m), \end{aligned}$$

so  $\iota$  is a homomorphism. Now,

$$\begin{aligned} \phi \circ \iota(n) &= \phi([e^{2\pi int}]) \\ &= n, \end{aligned}$$

so  $\phi \circ \iota = \text{id}$ , and  $\phi$  must be  $\iota^{-1}$ . Because the inverse of a homomorphism is also a homomorphism,  $\phi$  must be a homomorphism. Now we have  $\phi$  as an isomorphism between  $\pi_1(S^1, b_0)$  and  $\mathbb{Z}$ , so the fundamental group of  $S^1$  is the integers.  $\square$

Now, knowing the fundamental group of the circle, we can return to our informal example of the punctured plane.

**Corollary 2.26.** *The fundamental group of the punctured plane  $\mathbb{R}^2 - \{0\}$  is isomorphic to  $\mathbb{Z}$ .*

*Proof.* We will show that the fundamental group of  $\mathbb{R}^2 - \{0\}$  is isomorphic to the fundamental group of the circle. Let  $j : S^1 \rightarrow \mathbb{R}^2 - \{0\}$  be an inclusion map, and another map  $r : \mathbb{R}^2 - \{0\} \rightarrow S^1$  be defined by  $r(x) = x/|x|$ . Then the map  $j \circ r : X \rightarrow X$ , which carries all of  $\mathbb{R}^2 - \{0\}$  into  $S^1$ , is not the identity map of  $X$ , but we can get a straight line homotopy  $H : X \times I \rightarrow X$  defined by

$$H(x, t) = (1 - t)x + tx/|x|.$$

Each point of  $S^1$  is fixed under this homotopy, so  $S^1$  is a deformation retract of  $X$ . Therefore, by corollary 2.15, the map  $j$  induces an isomorphism between  $\mathbb{Z}$ , the fundamental group of  $S^1$ , and the fundamental group of  $\mathbb{R}^2 - \{0\}$ .  $\square$

Now, having proven that the fundamental group of  $\mathbb{R}^2$  is trivial (example 2.12) and that the fundamental group of  $\mathbb{R}^2 - \{0\}$  is  $\mathbb{Z}$ , we can formally differentiate between these two spaces.

#### 2.4.2. The Fundamental Group of the Torus.

**Lemma 2.27.**  $\pi_1(X \times Y, x_0 \times y_0) = \pi_1(X, x_0) \times \pi_1(Y, y_0)$ .

*Proof.* Suppose  $g : I \rightarrow X$  and  $h : I \rightarrow Y$  are loops in  $X$  and  $Y$ . By the universal property of the product topology described in theorem 1.13, we must have a unique loop  $f : I \rightarrow X \times Y$  in  $X \times Y$  defined by

$$f(x) = (g(x), h(x))$$

Now suppose  $G : I \times I \rightarrow X$  and  $H : I \times I \rightarrow Y$  are path homotopies in  $X$  and  $Y$ . Again, by the universal property of the product topology, we must have a unique path homotopy  $F : I \times I \rightarrow X \times Y$  in  $X \times Y$  defined by

$$F(s, t) = (G(s, t), H(s, t)).$$

This means that any path homotopy class of loops in  $X \times Y$  based at  $(x_0, y_0)$  is equivalent to a pair of path homotopy classes of loops, one in  $X$  based at  $x_0$  and another in  $Y$  based at  $y_0$ . We can get a bijection

$$\phi : \pi_1(X \times Y, x_0 \times y_0) \rightarrow \pi_1(X, x_0) \times \pi_1(Y, y_0)$$

that maps

$$[f] \rightarrow ([g], [h]).$$

Now we must show that  $\phi$  is a homomorphism. Suppose  $\phi([f]) = ([g], [h])$  and  $\phi([f']) = ([g'], [h'])$ . Then

$$\begin{aligned} \phi([f] * [f']) &= ([g] * [g'], [h] * [h']) \\ &= ([g], [h]) * ([g'], [h']) \\ &= \phi([f]) * \phi([f']), \end{aligned}$$

so  $\phi$  is a homomorphism, and hence an isomorphism, as required.  $\square$

**Theorem 2.28.** *The fundamental group of the torus is  $\mathbb{Z} \times \mathbb{Z}$ .*

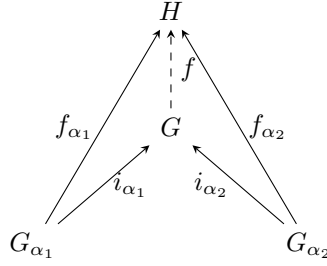
*Proof.* By definition, the torus  $\mathbb{T} = S^1 \times S^1$ . Now,  $S^1$  is path-connected, so the previous lemma applies, and we get

$$\begin{aligned} \pi_1(\mathbb{T}, x_0 \times y_0) &= \pi_1(S^1 \times S^1, x_0 \times y_0) \\ &= \pi_1(S^1, x_0) \times \pi_1(S^1, y_0) \\ &= \mathbb{Z} \times \mathbb{Z} \end{aligned}$$

as desired.  $\square$

**2.5. Seifert-van Kampen Theorem.** Another tool used to compute fundamental groups is the Seifert-van Kampen Theorem. This theorem allows us to compute the fundamental groups of spaces that can be written as the union of two open subsets with a path-connected intersection. We will use it to show that the fundamental groups of all spheres  $S^n$  with  $n > 1$  are trivial, and to compute the fundamental group of wedges of circles.

**2.5.1. Free Groups and Free Products of Groups.** Before stating the Seifert-van Kampen theorem, we must include some definitions and information about free groups. Categorically, the **free product**, suppose we call it  $G$ , of a family of groups  $G_\alpha$  is the *coproduct* of these groups. This means that for any group  $H$ , if there are homomorphisms from every  $G_\alpha$  to  $H$ , then there is a unique homomorphism from  $G$  to  $H$ , such that the diagram below commutes for all  $\alpha$ .



Note that this characterization of free products uses a universal property similar to the one we used to characterize the product topology in theorem 1.13, but with the arrows reversed. Now we will specify the construction of the free product that satisfies this universal property.

**Definition 2.29.** Let  $G$  be a group and  $\{G_\alpha\}_{\alpha \in J}$  an indexed family of subgroups of  $G$ . The groups  $G_\alpha$  **generate**  $G$  if every element  $x$  of  $G$  can be written as a finite product of elements of the groups  $G_\alpha$ .

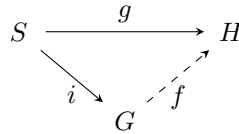
**Definition 2.30** (Free product of groups). Let  $G$  be a group and  $\{G_\alpha\}_{\alpha \in J}$  a family of subgroups of  $G$  that generate  $G$ , and suppose  $G_\alpha \cap G_\beta$  contains only the identity element for  $\alpha \neq \beta$ . Then  $G$  is the **free product** of the groups  $\{G_\alpha\}$

$$G = \prod_{\alpha \in J}^* G_\alpha$$

if for each  $x \in G$  there is exactly one reduced word in the groups  $\{G_\alpha\}$  that represents  $x$ .

**Definition 2.31** (Free group). Let  $\{a_\alpha\}$  be a family of elements of a group  $G$ , and suppose each  $a_\alpha$  generates an infinite cyclic subgroup  $G_\alpha$  of  $G$ .  $G$  is a **free group** if it is the free product of the groups  $\{G_\alpha\}$ .

**Theorem 2.32** (The Universal Property of Free groups). *Let  $S$  be a set and  $G$  be the free group generated by  $S$ . Then for any group  $H$  and map  $g : S \rightarrow H$ , there exists a unique homomorphism  $f : G \rightarrow H$  such that the following diagram commutes.*



*Proof.* Let  $S = \{a_\alpha\}$ , and suppose each  $a_\alpha$  generates an infinite cyclic subgroup  $G_\alpha$  of  $G$ . Since  $G$  is a free group generated by  $S$ , for each element  $x$  of  $G$ , there is a unique reduced word in the elements of the groups  $G_\alpha$  that represents  $x$ , meaning that  $x$  can be written uniquely in the form

$$x = (a_{\alpha_1})^{n_1} \cdots (a_{\alpha_k})^{n_k}$$

where  $\alpha_i \neq \alpha_{i+1}$  and  $n_i \neq 0$  for each  $i$ . Let  $f : G \rightarrow H$  be defined by

$$f(x) = g((a_{\alpha_1})^{n_1}) \cdots g((a_{\alpha_k})^{n_k}).$$

Then  $g = f \circ i$ , so the diagram commutes.

Now we must show that  $f$  is a homomorphism. Let  $x$  and  $y$  be in  $G$ , and let

$$\begin{aligned} x &= (a_{\alpha_1})^{n_1} \cdots (a_{\alpha_k})^{n_k} (a_{\beta_1})^{m_1} \cdots (a_{\beta_k})^{m_k} \\ y &= (a_{\beta_k})^{-m_k} \cdots (a_{\beta_1})^{-m_1} (a_{\alpha_{k+1}})^{n_{k+1}} \cdots (a_{\alpha_l})^{m_l} \end{aligned}$$

be their unique representations as reduced words, where  $a_{\alpha_i}$  and  $a_{\beta_j}$  are in  $S$ . Then

$$xy = (a_{\alpha_1})^{n_1} \cdots (a_{\alpha_k})^{n_k} (a_{\alpha_{k+1}})^{n_{k+1}} \cdots (a_{\alpha_j})^{m_j}.$$

Now

$$\begin{aligned} f(xy) &= g(a_{\alpha_1}^{n_1}) \cdots g(a_{\alpha_k}^{n_k}) g(a_{\alpha_{k+1}}^{n_{k+1}}) \cdots g(a_{\alpha_j}^{m_j}) \\ &= g(a_{\alpha_1}^{n_1}) \cdots g(a_{\alpha_k}^{n_k}) g(a_{\beta_1}^{m_1}) g(a_{\beta_1}^{-m_1}) g(a_{\alpha_{k+1}}^{n_{k+1}}) \cdots g(a_{\alpha_j}^{m_j}) \\ &= g(a_{\alpha_1}^{n_1}) \cdots g(a_{\alpha_k}^{n_k}) g(a_{\beta_1}^{m_1}) \cdots g(a_{\beta_k}^{m_k}) g(a_{\beta_k}^{-m_k}) \cdots g(a_{\beta_1}^{-m_1}) g(a_{\alpha_{k+1}}^{n_{k+1}}) \cdots g(a_{\alpha_j}^{m_j}) \\ &= f(x)f(y). \end{aligned}$$

So  $f$  is a homomorphism as required.

Now we must show  $f$  is unique. Suppose there is another homomorphism  $f' : G \rightarrow H$  that makes the diagram commute. Then for any elements  $a_{\alpha_1}, \dots, a_{\alpha_k}$  of  $S$ ,

$$g(a_{\alpha_1}) \cdots g(a_{\alpha_k}) = (f' \circ i)(a_{\alpha_1}) \cdots (f' \circ i)(a_{\alpha_k}).$$

Now since  $f'$  is a homomorphism,

$$(f' \circ i)(a_{\alpha_1}) \cdots (f' \circ i)(a_{\alpha_k}) = f'(i(a_{\alpha_1}) \cdots i(a_{\alpha_k})),$$

and since  $i$  is an inclusion map,

$$f'(i(a_{\alpha_1}) \cdots i(a_{\alpha_k})) = f'(a_{\alpha_1} \cdots a_{\alpha_k}).$$

So

$$g(a_{\alpha_1}) \cdots g(a_{\alpha_k}) = f'(a_{\alpha_1} \cdots a_{\alpha_k}),$$

but this is exactly the way we defined  $f$ , so  $f$  is unique, as required.  $\square$

### 2.5.2. The Seifert-van Kampen Theorem.

**Theorem 2.33.** *Let  $X = U \cup V$ , where  $U$  and  $V$  are open in  $X$ ; let  $U$ ,  $V$ , and  $U \cap V$  be path connected; and let  $x_0 \in U \cap V$ . For any group  $H$ , let*

$$\begin{aligned} \phi_1 : \pi_1(U, x_0) &\rightarrow H \\ \phi_2 : \pi_1(V, x_0) &\rightarrow H \end{aligned}$$

*be homomorphisms. Let*

$$\begin{aligned} i_1 : \pi_1(U \cap V, x_0) &\rightarrow \pi_1(U, x_0) \\ i_2 : \pi_1(U \cap V, x_0) &\rightarrow \pi_1(V, x_0) \\ j_1 : \pi_1(U, x_0) &\rightarrow \pi_1(X, x_0) \\ j_2 : \pi_1(V, x_0) &\rightarrow \pi_1(X, x_0) \end{aligned}$$

*be homomorphisms induced by inclusion. If  $\phi_1 \circ i_1 = \phi_2 \circ i_2$  then there exists a unique homomorphism  $\phi : \pi_1(X, x_0) \rightarrow H$  such that the following diagram commutes.*

$$\begin{array}{ccccc}
& & \pi_1(U, x_0) & & \\
& \nearrow i_1 & \downarrow j_1 & \searrow \phi_1 & \\
\pi_1(U \cap V, x_0) & \longrightarrow & \pi_1(X, x_0) & \dashrightarrow & H \\
& \searrow i_2 & \uparrow j_2 & \nearrow \phi_2 & \\
& & \pi_1(V, x_0) & & 
\end{array}$$

A more elegant formulation of the Seifert-van Kampen theorem uses the concept of a *pushout*.

**Definition 2.34** (Pushout). Let  $X$ ,  $Y$ , and  $Z$  be objects in any category with  $f_1 : Z \rightarrow X$  and  $f_2 : Z \rightarrow Y$ . The pushout of  $f_1$  and  $f_2$  is an object  $W$  and arrows  $g_1 : X \rightarrow W$  and  $g_2 : Y \rightarrow W$  which satisfy the universal property that for any object  $A$ , there is a unique arrow  $h : W \rightarrow A$  such that the following diagram commutes.

$$\begin{array}{ccccc}
& & X & & \\
& \nearrow f_1 & \searrow g_1 & \searrow h_1 & \\
Z & & & W & \dashrightarrow A \\
& \searrow f_2 & \nearrow g_2 & \nearrow h_2 & \\
& & Y & & 
\end{array}$$

In the category of groups, the pushout gives the **amalgamated free product**, which we will define below.

**Definition 2.35** (Normal subgroup). Let  $G$  be a group and  $H$  a subgroup of  $G$ .  $H$  is a **normal subgroup** of  $G$  if  $x \cdot h \cdot x^{-1} \in H$  for every  $x \in G$  and  $h \in H$ .

**Definition 2.36** (Least normal subgroup). Let  $G$  be a group and  $S$  be a subset of  $G$ . The **least normal subgroup** of  $G$  that contains  $S$  is the intersection  $N$  of all normal subgroups of  $G$  containing  $S$ .

**Definition 2.37** (Amalgamated free product). Let  $\{H_\alpha\}_{\alpha \in J}$  be an indexed family of groups, let  $G$  be any group, and let each  $\{g_\alpha\}$  be a family of homomorphisms mapping  $G$  to each  $H_\alpha$ . The **amalgamated free product** of the  $\{H_\alpha\}$  is the pushout of the homomorphisms  $\{g_\alpha\}$ . The amalgamated free product  $H_{\alpha_1} *_G H_{\alpha_2}$  is defined as the free product  $H_{\alpha_1} * H_{\alpha_2}$  mod the least normal subgroup  $N$  of  $H_{\alpha_1} * H_{\alpha_2}$ , i.e.  $H_{\alpha_1} * H_{\alpha_2} / N$ .

**Theorem 2.38.** Assume the hypotheses of the preceding theorem. Then, the functor  $\pi_1$  preserves pushouts, meaning that the fundamental group of  $X = U \cup V$  is the amalgamated free product of the fundamental groups of  $U$  and  $V$ .

**Corollary 2.39.** Assume the hypotheses of the Seifert-van Kampen theorem. If  $U \cap V$  is simply connected, then there is an isomorphism

$$k : \pi_1(U, x_0) * \pi_1(V, x_0) \rightarrow \pi_1(X, x_0).$$



### 2.5.3. Computing Some Fundamental Groups Using the Seifert-van Kampen Theorem.

**Theorem 2.40.** *The fundamental group of  $S^n$  is trivial for  $n > 1$ .*

*Proof.* Let  $H_1$  and  $H_2$  be two open sections of the sphere  $S^n$  that are slightly bigger than hemispheres. Then  $S^n = H_1 \cup H_2$ .  $H_1 \cap H_2$  is also path-connected because it retracts to  $S^{n-1}$ . Let  $x_0 \in H_1 \cap H_2$ . Then the conditions for the Seifert-van Kampen theorem (2.38) are met. So the fundamental group of  $S^n$  is the amalgamated free product of the fundamental groups of  $H_1$  and  $H_2$ . Since  $H_1$  and  $H_2$  are homeomorphic to discs, their fundamental groups are trivial, so the amalgamated free product of their fundamental groups is the trivial group, and the fundamental group of  $S^n$  is trivial.  $\square$

Now we will calculate the fundamental group of a space called the **wedge of circles**.

**Definition 2.41.** The **wedge of circles**  $S_1, \dots, S_n$  is the union of the subspaces  $S_1, \dots, S_n$ , each homeomorphic to the circle  $S^1$ , such that the intersection of any two of these subspaces is the single point  $p$ .

**Theorem 2.42.** *The fundamental group of the wedge of circles  $S_1, \dots, S_n$  is isomorphic to  $\mathbb{Z} * \dots * \mathbb{Z}$ ,  $n$  times.*

*Proof.* Let  $X$  be the wedge of circles  $S_1, \dots, S_n$ , and let  $p$  be the point of intersection of the circles. We will proceed by induction. We have already shown the base case in section 2.4.1.

Assume the result for  $n = k$ ; now we must show it is true for  $n = k + 1$ . For each  $S_i$ , choose a point  $q_i \neq p$ . Let

$$\begin{aligned} U &= S_1 - q_1 \cup \dots \cup S_k - q_k \cup S_{k+1} \\ V &= S_1 \cup \dots \cup S_k \cup S_{k+1} - q_{k+1}. \end{aligned}$$

Then  $U \cup V = X$  and  $U \cap V = S_1 - q_1 \cup \dots \cup S_{k+1} - q_{k+1}$ .  $U$ ,  $V$ , and  $U \cap V$  are all path-connected because they're the unions of path-connected spaces that have the point  $\{p\}$  in common. Now,  $U \cap V$  deformation retracts to the single point  $\{p\}$ , so its fundamental group is trivial.

If we choose  $x_0 \in U \cap V$ , then we can apply the corollary to the Seifert-van Kampen theorem (2.39) to get an isomorphism

$$k : \pi_1(U, x_0) * \pi_1(V, x_0) \rightarrow \pi_1(X, x_0).$$

Now, the fundamental group of  $U$  can be reduced to the fundamental group of  $S_{k+1}$  because all the intervals  $S_i - q_i$  for  $i = 1, \dots, k$  retract to  $\{p\}$ . By definition  $S_{k+1}$  is homeomorphic to  $S^1$ , so they have the same fundamental group,  $\mathbb{Z}$ . Similarly, the fundamental group of  $V$  can be reduced to the fundamental group of  $S_1 \cup \dots \cup S_k$  because the subspace  $S_{k+1} - q_{k+1}$  is homeomorphic to the open interval and intersects  $S_1 \cup \dots \cup S_k$  at the single point  $p$ . By our induction hypothesis, the fundamental group of a wedge of  $n = k$  circles is  $\mathbb{Z} * \dots * \mathbb{Z}$ ,  $k$  times. So

$$\pi_1(U, x_0) * \pi_1(V, x_0) = \mathbb{Z} * \dots * \mathbb{Z} \text{ (} k + 1 \text{ times)}$$

and this is isomorphic to  $\pi_1(X, x_0)$ . By the principle of induction, the fundamental group of the wedge of circles  $S_1, \dots, S_n$  is  $\mathbb{Z} * \dots * \mathbb{Z}$ ,  $n$  times.  $\square$

**Theorem 2.43.** *The fundamental group of the  $n$ -holed torus is isomorphic to  $(a_1, b_1, \dots, a_n, b_n \mid [a_1, b_1] \cdots [a_n, b_n])$ .*

*Proof.* Let  $X$  be the  $n$ -holed torus. We will proceed by induction. We have already shown the base case in section 2.4.2.

Assume the result for  $n = k$ ; now we must show it is true for  $n = k + 1$ . Choose  $U$  and  $V$  so that  $U$  contains only the first  $k$  holes of  $X$ ,  $V$  contains only hole  $k + 1$ , and  $U \cup V = X$ . Then  $U \cap V$  gives a subspace that retracts to a circle, which is path-connected.  $U$  and  $V$  themselves are also path connected. If we choose  $x_0 \in U \cap V$ , then we can apply the Seifert-van Kampen theorem (2.38) to get a surjective homomorphism

$$j : \pi_1(U, x_0) * \pi_1(V, x_0) \rightarrow \pi_1(X, x_0).$$

Since  $j$  is surjective, by the first isomorphism theorem we get an isomorphism

$$\pi_1(U, x_0) * \pi_1(V, x_0) / \ker j \rightarrow \pi_1(X, x_0).$$

The fundamental group of  $U$  is  $\langle a_1, b_1, \dots, a_k, b_k \mid [a_1, b_1] \cdots [a_k, b_k] \rangle$  by the induction hypothesis, and the fundamental group of  $V$  is the free group generated by two elements  $a_{k+1}, b_{k+1}$  since  $V$  retracts to a wedge of two circles.

Now we must find the kernel of  $j$ . From the Seifert-van Kampen theorem (2.38), we also get that the kernel of  $j$  is generated by all elements of the free product of the form  $i_1(g)i_2(g)^{-1}$  and their conjugates, where  $g$  is any generator of  $U \cap V$  and  $i_1$  and  $i_2$  are inclusion maps from  $\pi_1(U \cap V, x_0)$  to  $\pi_1(U, x_0)$  and  $\pi_1(V, x_0)$  respectively. Now  $i_1(g)$  is the product of the first  $k$  commutators  $[a_1, b_1] \cdots [a_k, b_k]$  and  $i_2(g)$  is the commutator  $[a_{k+1}, b_{k+1}]^{-1}$  by our definition of  $U$  and  $V$  and the induction hypothesis, so the kernel of  $j$  is generated by all elements of the free product of the form

$$[a_1, b_1] \cdots [a_k, b_k][a_{k+1}, b_{k+1}]$$

and their conjugates.

Now, returning to our isomorphism above, we can compute  $\pi_1(U, x_0) * \pi_1(V, x_0) / \ker j$ . First,

$$\begin{aligned} \pi_1(U, x_0) * \pi_1(V, x_0) &= \langle a_1, b_1, \dots, a_k, b_k \mid [a_1, b_1] \cdots [a_k, b_k] \rangle * \langle a_{k+1}, b_{k+1} \mid \emptyset \rangle \\ &= \langle a_1, b_1, \dots, a_{k+1}, b_{k+1} \mid [a_1, b_1] \cdots [a_k, b_k] \rangle \end{aligned}$$

Then taking the quotient with  $\ker j$ , we get

$$\begin{aligned} \pi_1(U, x_0) * \pi_1(V, x_0) / \ker j &= \langle a_1, b_1, \dots, a_{k+1}, b_{k+1} \mid [a_1, b_1] \cdots [a_k, b_k] \rangle / [a_1, b_1] \cdots [a_{k+1}, b_{k+1}] \\ &= \langle a_1, b_1, \dots, a_{k+1}, b_{k+1} \mid [a_1, b_1] \cdots [a_{k+1}, b_{k+1}] \rangle. \end{aligned}$$

Since we have an isomorphism from  $\pi_1(U, x_0) * \pi_1(V, x_0) / \ker j$  to the fundamental group of the  $k + 1$ -holed torus  $X$ , we can conclude that

$$\pi_1(X, x_0) = \langle a_1, b_1, \dots, a_{k+1}, b_{k+1} \mid [a_1, b_1] \cdots [a_{k+1}, b_{k+1}] \rangle.$$

By the principle of induction, the fundamental group of the  $n$ -holed torus is isomorphic to

$$\langle a_1, b_1, \dots, a_n, b_n \mid [a_1, b_1] \cdots [a_n, b_n] \rangle.$$

□

### 3. THE FUNDAMENTAL THEOREM OF ALGEBRA

**Theorem 3.1** (The Fundamental Theorem of Algebra). *A polynomial equation*

$$x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 = 0$$

*of degree  $n > 0$  with real or complex coefficients has at least one root in  $\mathbb{C}$ .*

*Proof.* Let  $p(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0$ . Suppose  $p$  has no roots in  $\mathbb{C}$ . Then, for every real number  $r \geq 0$ , the map  $f_r : I \rightarrow S^1$  defined by

$$f_r(s) = \frac{p(re^{2\pi is})/p(r)}{|p(re^{2\pi is})/p(r)|}$$

represents a loop in  $S^1$  based at 1. We can get a homotopy between  $f_r$  and  $f_0$ , the constant loop, simply by varying  $r$ .

Now we want to show that  $f_r$  is also homotopic to  $g_n(s) = e^{2\pi ins}$ , the loop wrapping around the circle  $n$  times. Pick  $r > \max(|a_{n-1}| + \cdots + |a_0|, 1)$ . Then for  $|z| = r$ ,

$$\begin{aligned} |z^n| &= r^n \\ &= r \cdot r^{n-1} \\ &> (|a_{n-1}| + \cdots + |a_0|) \cdot r^{n-1} \\ &= (|a_{n-1}| + \cdots + |a_0|) \cdot |z^{n-1}| \\ &\geq |a_{n-1}z^{n-1} + \cdots + a_0z^{n-1}| \\ &\geq |a_{n-1}z^{n-1} + \cdots + a_0|. \end{aligned}$$

Now define a polynomial

$$p_t(z) = z^n + t(a_{n-1}z^{n-1} + \cdots + a_0).$$

For  $0 \leq t \leq 1$ ,  $p_t(z)$  has no roots on the circle  $|z| = r$  because

$$\begin{aligned} |p_t(z)| &\geq |z^n| + |t(a_{n-1}z^{n-1} + \cdots + a_0)| \\ &> 1 - t|a_{n-1}z^{n-1} + \cdots + a_0| \\ &\geq 1 - t(|a_{n-1}z^{n-1}| + \cdots + |a_0|) \\ &\geq 1 - t(|a_{n-1}| + \cdots + |a_0|) \\ &> 0. \end{aligned}$$

Now we replace  $p$  by  $p_t$  in the formula for  $f_r$  and let  $t$  go from 1 to 0 to give us a homotopy from  $f_r$  to the loop  $g_n(s) = e^{2\pi ins}$  defined by

$$\begin{aligned} f_r(s) &= \frac{p_t(re^{2\pi is})/p_t(r)}{|p_t(re^{2\pi is})/p_t(r)|} \\ &= \frac{(re^{2\pi is})^n + t(a_{n-1}(re^{2\pi is})^{n-1} + \cdots + a_0)/r^n + t(a_{n-1}r^{n-1} + \cdots + a_0)}{|(re^{2\pi is})^n + t(a_{n-1}(re^{2\pi is})^{n-1} + \cdots + a_0)/r^n + t(a_{n-1}r^{n-1} + \cdots + a_0)|} \end{aligned}$$

so we must have  $[g_n] = [f_r] = [e_1]$ . Now, we know that the fundamental group of the circle  $S^1$  is isomorphic to the group  $(\mathbb{Z}, +)$ , so if  $[g_n] = [e_1]$ , we must have  $n = 0$ . So by our original supposition, the only polynomials without roots in  $\mathbb{C}$  are polynomials of degree 0, or constant functions.  $\square$

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