

## LECTURE 9

Announcements:

- HW2 Grades are up
- Exam 1 grades are up on Gradescope:
  - You have until next Wednesday to submit regrade requests.
- Solutions have been posted on CCLE.
- Mean: 22.03 ; Median: 22.58
  - # Students between (90-98) ~ 54 (34%)
  - (80-89) ~ 56 (35%).

## Letter grades:

Rough guidelines:

10% → A+

10% → A

10% → A-

} 30% is A's

10% → B+

} 30% is B's

- HW3 will be up today! (Due Feb 15<sup>th</sup>)
- Quiz 5 will be up today -

# Last class: Minimum Spanning Trees.

INPUT: Graph  $G = (V, E)$  weighted undirected graph.  
A edge  $\{u, v\} \rightarrow$  cost/weight of the  
edge given as  $C_{u,v}$ .

OUTPUT: Compute a MST in  $G$ .

(Assume that  $G$  is connected!).

Many natural greedy algorithms work!

### ① Kruskal's Algorithm:

- Start with empty graph
- Repeat until you get a spanning tree:
  - Add the least weight edge that does not create cycle.

## Kruskal's Algorithm:

① Set  $T \leftarrow \emptyset$ . Let  $R = E$

② While  $R \neq \emptyset$ :

    ① Pick an

You can improve this  
edge  $e$  of least weight from  $R$ .

Hw2  
Problem 4

$O(|E|)$  time!

③ Remove  $e$  from  $R$ .

② Check if adding  $e$  to  $T$  creates a cycle  
If NOT, ADD  $e$  to  $T$ .

How long does this take?

$|E|$  iterations

and each iteration takes

$O(|E|)$  time.

Run-time:  $O(|E| \cdot |E| + |E| \cdot \log |E|)$

→ Can speed up Kruskal's algorithm to run  
in time  $O(|E| \cdot \log |V|)$ .

(We are not covering this :().

## Prim's Algorithm:

(Problem 1.8 in the Exam).

- ① Start with some vertex  $s$ .  
Add a vertex with least "attachment cost"

Full algorithm:

① Set  $T = \emptyset$ .  $S = \{s\}$ .  $c'(s) = 0$ ,  $c'(v) = \infty \forall v \neq s$ .

② While  $S \neq V$ :

(i) For each  $v \notin S$ , compute

$$c'(v) = \min_{u: u \in S} c_{(u,v)}$$

(ii) Pick the vertex  $\underline{v}$  with the least attachment cost  $c'$ . Let  $u$  be the vertex achieving the minimum in the definition of  $c'$ .

(iii) Add  $\{u, v\}$  to  $T$ . Add  $v$  to  $S$ .

## Prim's Algorithm:

(Problem 1.8 in the Exam).

- ① Start with some vertex  $s$ .  
Add a vertex with least "attachment cost"

Full algorithm:

$$\text{① Set } T = \emptyset. \quad S = \{s\}. \quad c'(s) = 0, \quad c'(v) = \infty \quad \forall v \neq s.$$

- ② While  $S \neq V$ :

(i) For each  $v \notin S$ , compute  $c'(v) = \min_{u: u \in S} c_{uv}$

(ii) Pick the vertex  $\underline{v}$  with the least attachment cost  $c'$ . Let  $u$  be the vertex achieving the minimum in the definition of  $c'$ .

(iii) Add  $\{u, v\}$  to  $T$ . Add  $v$  to  $S$ .

$$\min_{u \in S} d(u) + c_{uv}$$

Time-Complexity :  $O(|V| \cdot |E|)$ .

You can also improve it to  $O(|E| \cdot \log |V|)$

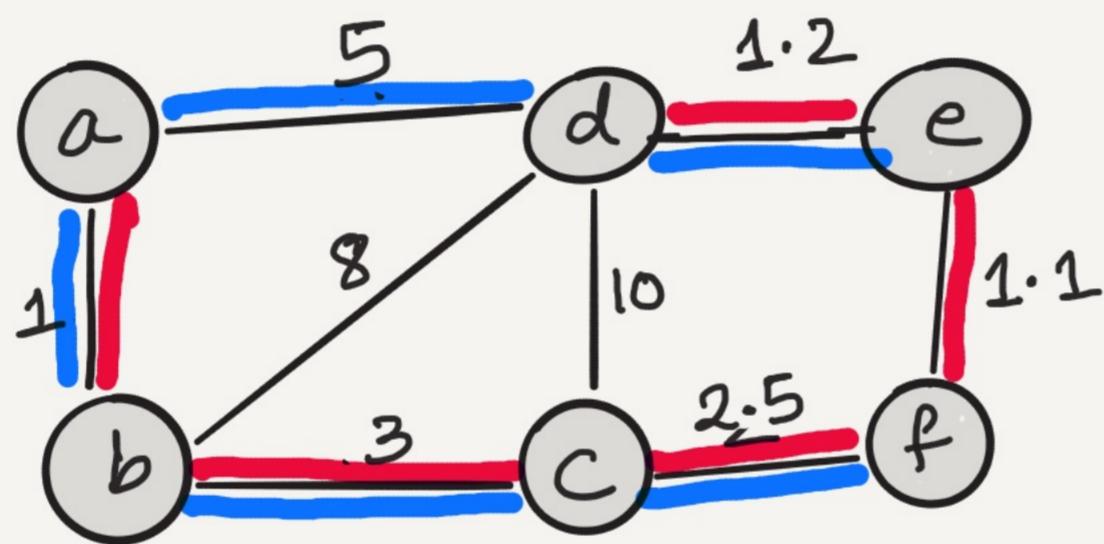
# Prim's vs Dijkstra's

Prim:

Add vertex  $v \notin S$   
least "attachment cost"

Dijkstra:

Add vertex  $v \notin S$   
that is "closest" to start



Start =  $\{a\}$

PRIM's ADDS:  $(a, b, c, f, e, d)$  (the tree is shown in red)  
 DIJKSTRA's ADDS:  $(a, b, c, d, e, f)$  (the tree is shown in blue)

$$wt(\text{PRIM's TREE}) = 8.8$$

$$wt(\text{DIJKSTRA's TREE}) = 12.7$$

## Reverse-Delete ("Reverse-Kruskal's") Algorithm:

① Set  $T = (V, E)$ ,  $R = E$

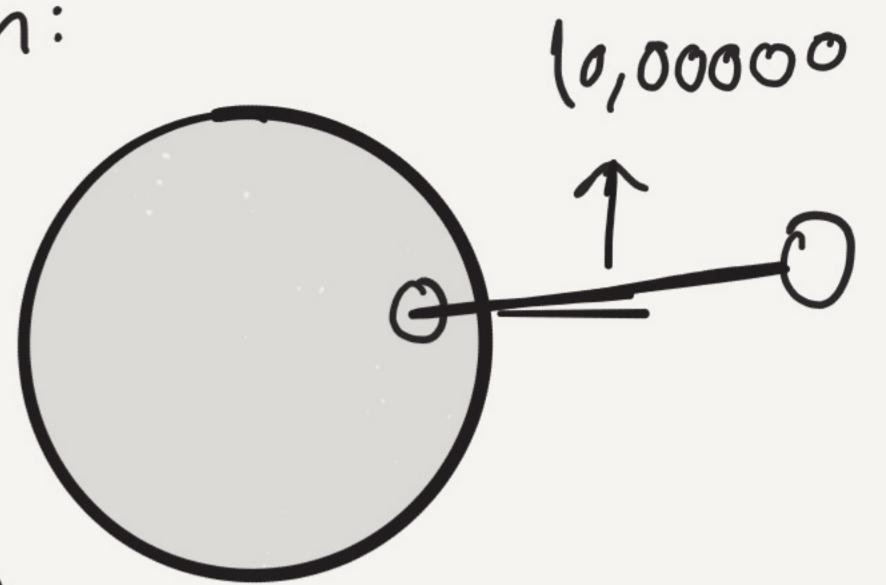
② While  $R \neq \emptyset$ :

(i) Pick the heaviest edge  $e$  in  $R$

*< (ii) If deleting  $e$  from  $T$  does not disconnect  $T$ ,  
remove  $e$  from  $T$ .*

(iii) Remove  $e$  from  $R$ .

Can use  
BFS/DFS  
to check.



Analysis: Why do these algorithms work?

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Simplifying assumption: All edges have distinct weights in the graph  $G_t$ .

One magical property:

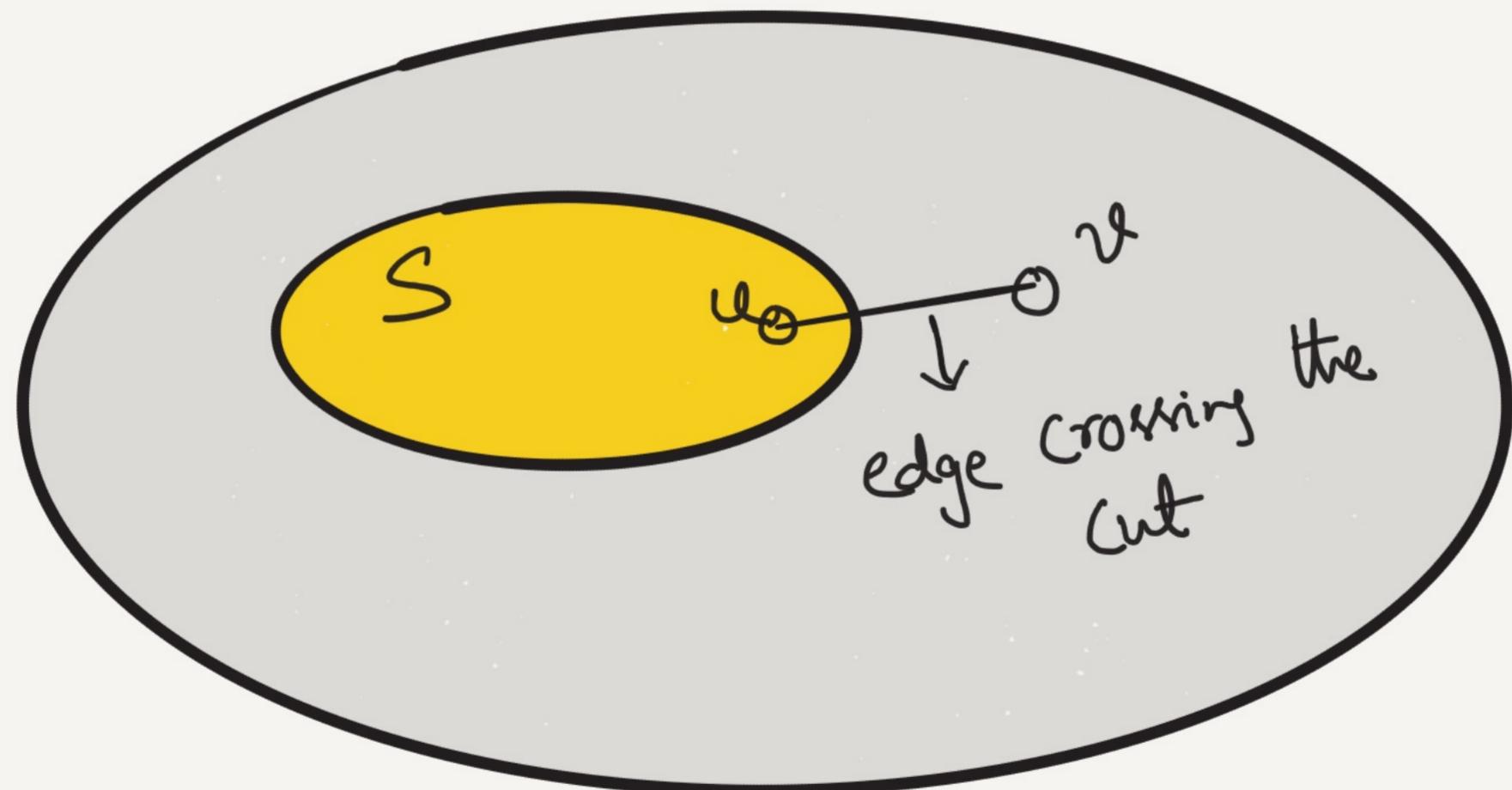
CUT PROPERTY

- "Exchange method"
- "Safe choices" → we only make safe choices.

## CUT PROPERTY:

CUT: Any subset  $S \subseteq V, (S \neq \emptyset \text{ or } V)$

An edge  $\{u, v\}$  with  $u \in S, v \notin S$  is called an edge that crosses the cut.



## CUT PROPERTY:

Take any cut  $S \subseteq V$ . Let  $e$  be the minimum weight edge that crosses the cut. Then,  $e$  must be in the MST.

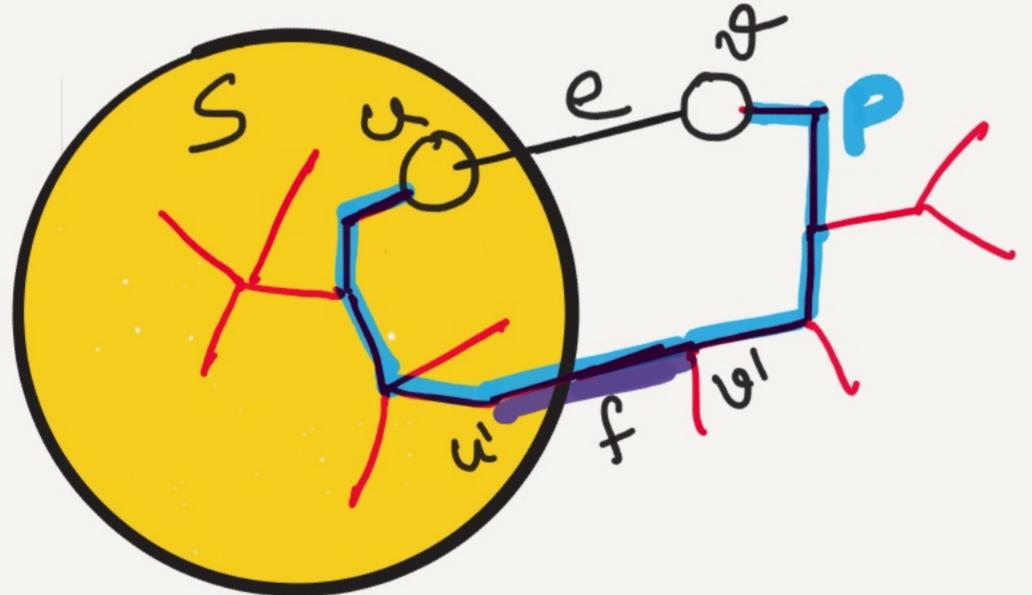
"This minimum weight edge crossing the cut is safe to add".

(Recall: All weights are distinct.)

Proof: Subtle "exchange argument."

Proof by Contradiction.

Suppose there exists some cut  $S$  where the minimum weight edge  $e = \{u, v\}$  crossing the cut is not part of a MST  $T$ .

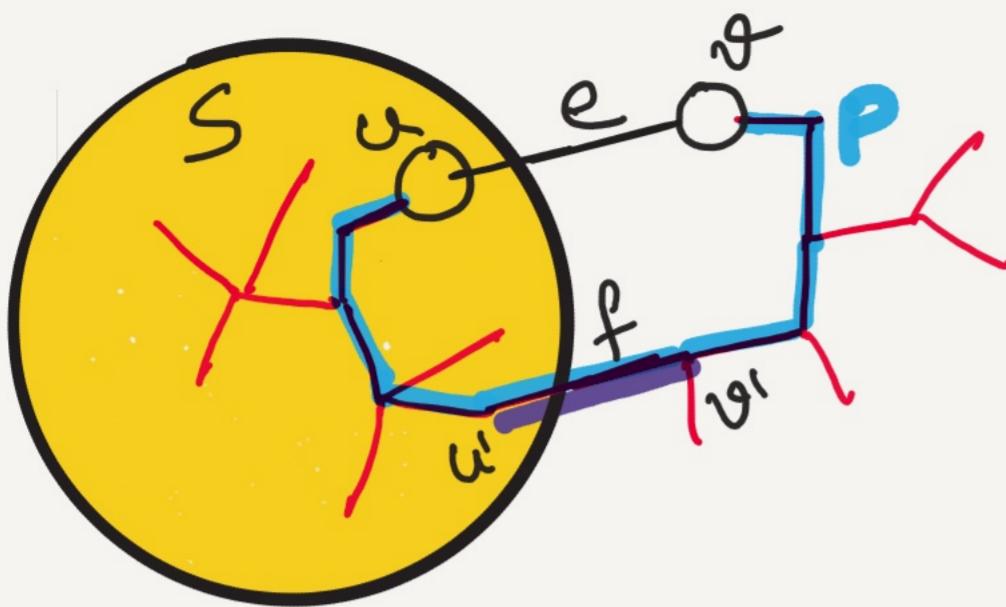


Edges of  $T$  in RED  
P highlighted in BLUE  
 $f$  shown in PURPLE

① Since  $T$  spans everything  $u, v$  should be part of the tree  $T$ .

$\Rightarrow \exists$  some path  $P$  from  $u$  to  $v$  in  $T$ .

②  $\exists$  some edge  $f = \{u, v'\}$  on  $P$  crossing the cut.



Edges of  $T$  in RED  
 $P$  highlighted in BLUE

Consider  $T'$  obtained by removing  $e$  and adding  $f$ :

$$T' = T \cup \{f\} \setminus \{e\}$$

Observation 1:  $C_e < C_f$ . (As  $e$  was the min. weight crossing edge.)

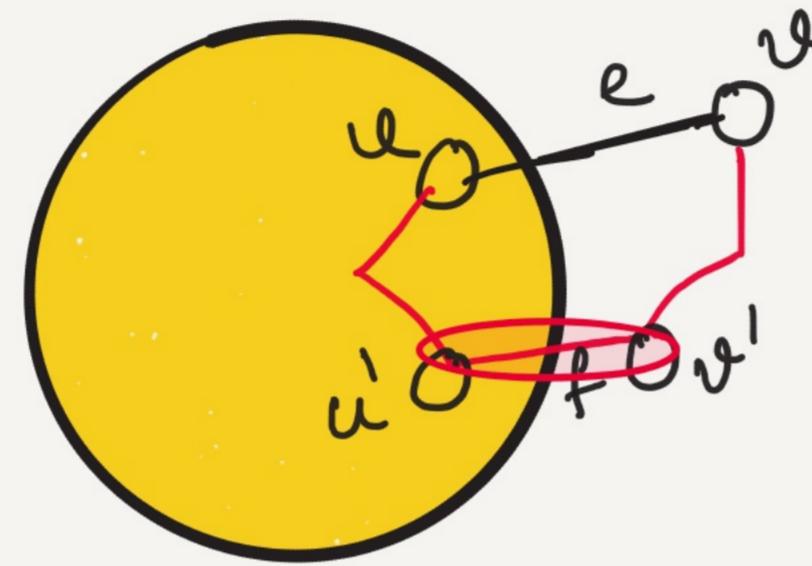
Observation 2:  $\text{weight}(T') < \text{weight}(T)$ .

Proof: As we only added  $e$  & removed  $f$ ,

$$\begin{aligned} \text{weight}(T') &= \text{weight}(T) + C_e - C_f \\ &< \text{weight}(T). \end{aligned}$$

Observation 3:  $T'$  is a spanning tree.

→ It is connected: Any path that used the edge  $f$  can now be rerouted the "long way" via the edge  $e$ .



→ clearly, it covers all the vertices.

⇒  $T'$  is also a spanning tree.

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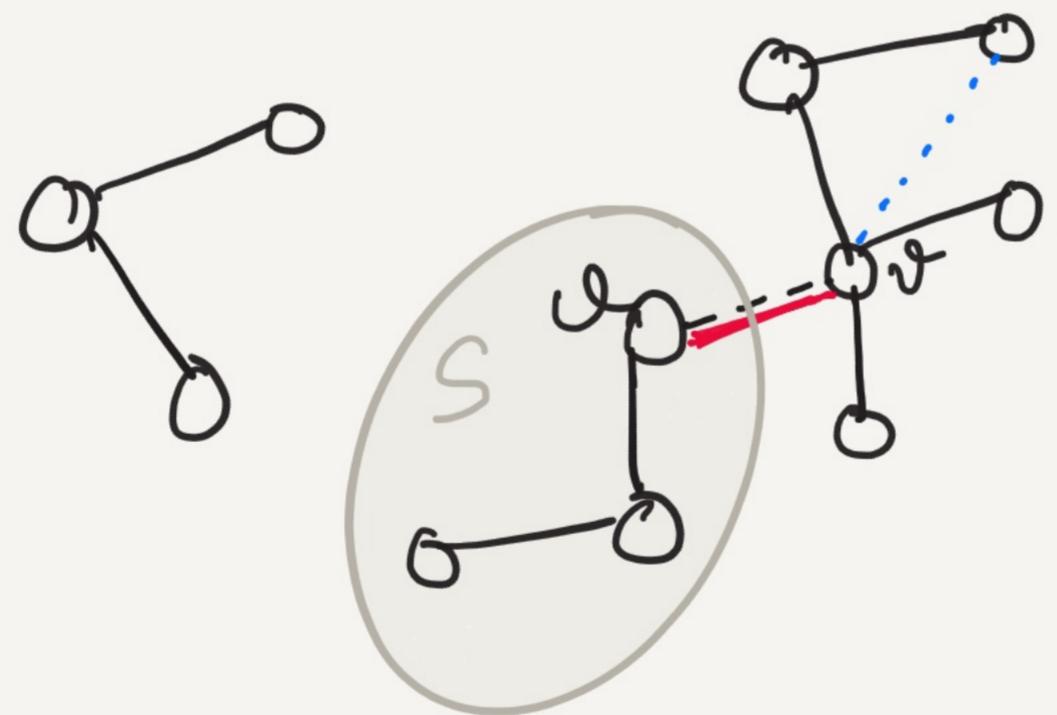
Observations (1), (2) and (3) lead to a contradiction.

Hence,  $e$  must be part of the MST.

# Analysis of Kruskal's Algorithm:

claim: Every edge added in the algorithm is part of the MST.

Proof: Suppose the algorithm in an iteration adds an edge  $lu, v$ .



(Black edges  
= edges picked  
so far.)

Let  $S =$  all vertices reachable from  $u$  in the graph constructed by the algorithm so far.

Observation 1:  $v \notin S$ . ( $v$  is not reachable from  $u$  in the current graph).

(If not adding  $\{u, v\}$  would create a cycle.)

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Observation 2: Every edge crossing the cut  $S$  does not create a cycle.

Proof: Suppose some edge  $vw$  crossing  $S$  creates a cycle. Now,  $v \in S$  and  $w \notin S$ . So,  $v'$  is reachable from  $S$  and  $w'$  is not reachable from  $S$ . But if  $vw'$  creates a cycle, then there must be a path from  $v'$  to  $w'$  (in the graph constructed so far).  
 $\Rightarrow w'$  is also reachable from  $u$ ; this contradicts  $w' \notin S$ .

Observation 1:  $v \notin S$ . ( $v$  is not reachable from  $u$  in the current graph).

(If not adding  $\{u, v\}$  would create a cycle.)

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Observation 2: Every edge crossing the cut  $S$   
does not create a cycle.

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Observation 3:  $e = \{u, v\}$  is the min-weight edge crossing the cut  $S$ .

Proof: Follows from (2) and from the fact that the algorithm picks the least weight edge that does not create a cycle

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①, ②, ③ + CUT PROPERTY  $\Rightarrow$   $e$  is part of the MST.

(proves the claim).