

CS I 80: Algorithms and Complexity

Professor: Raghu Meka (raghum@cs)

Plan for Today

Master theorem

Integer multiplication

Exponentiation

Asymptotic analysis

Methodology for comparing run-times

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Given two functions $f, g : \mathbb{N} \rightarrow \mathbb{R}^+$

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$f(n) = O(g(n))$: iff there is a constant $c > 0$ so that
 $f(n)$ is eventually-always at most $c g(n)$

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$f(n) = \Theta(g(n))$: iff both hold - there are constants $c_1, c_2 > 0$ so that eventually always $c_1 g(n) < f(n) < c_2 g(n)$

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Divide-and-conquer paradigm

Divide-and-conquer.

- Divide problem into several subproblems.
- Solve each subproblem recursively.
- Combine solutions to subproblems into overall solution.

Last class:

- Example for Mergesort
- Recursion analysis for mergesort

Complexity of mergesort

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in worst-case on array with n elements.

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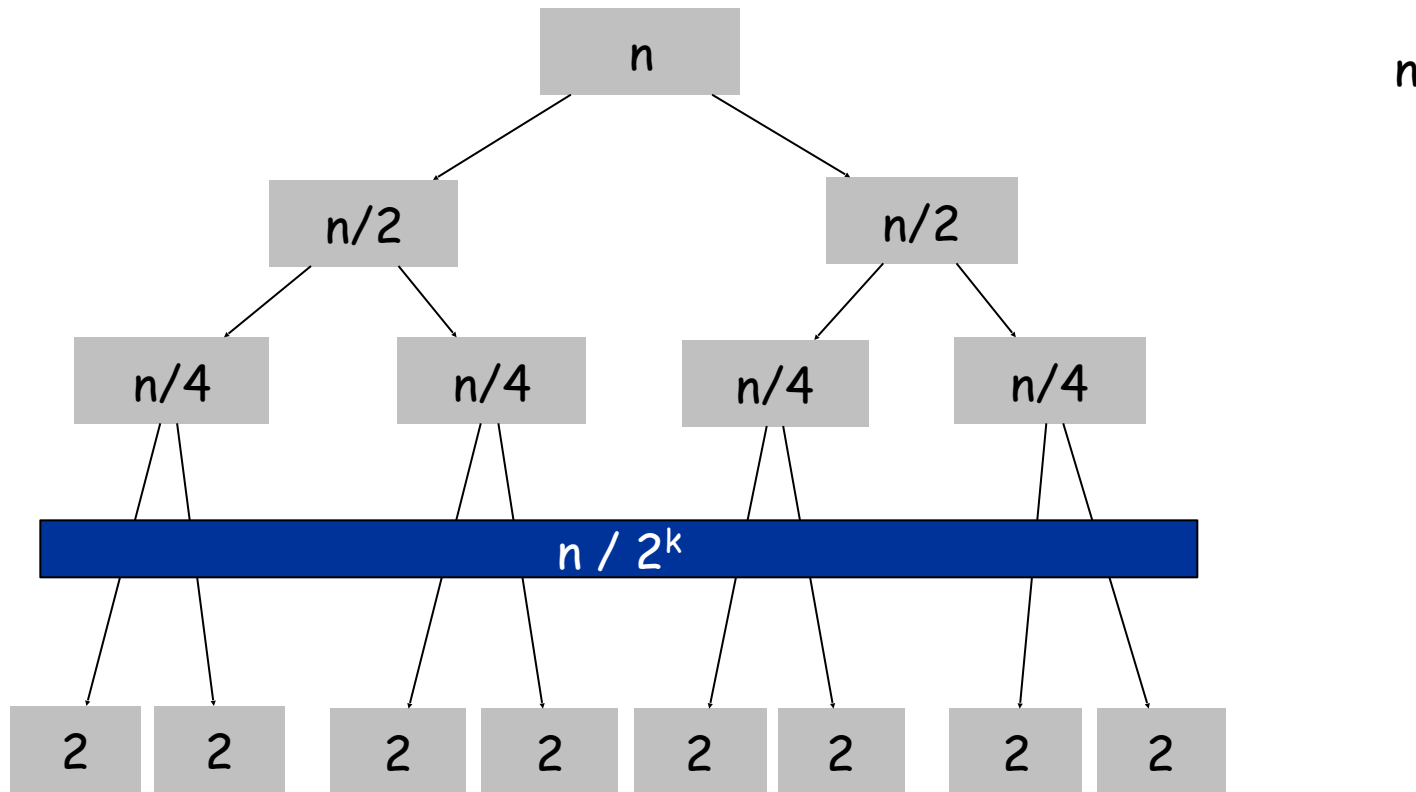
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Solution: $O(n \log n)$

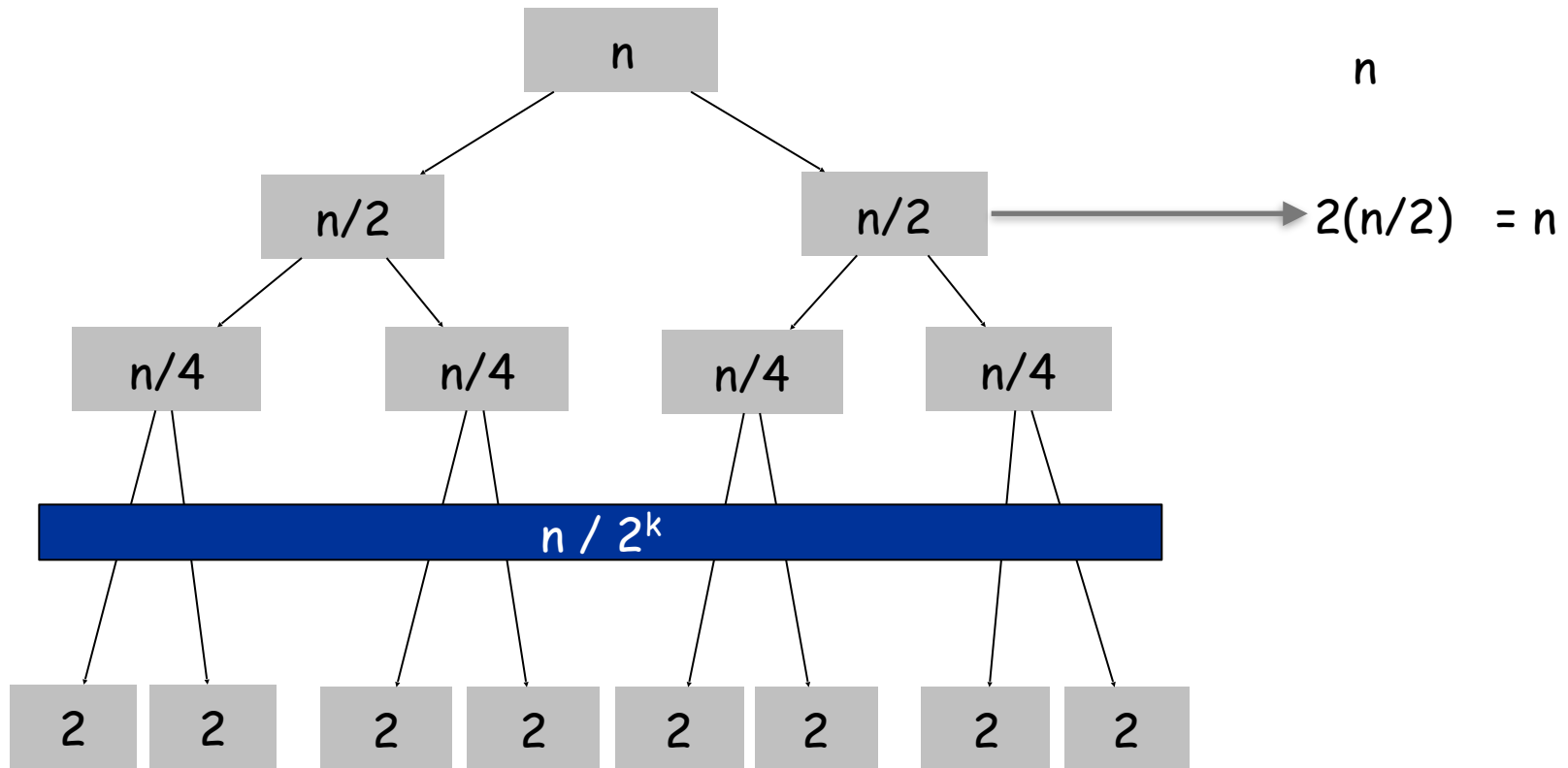
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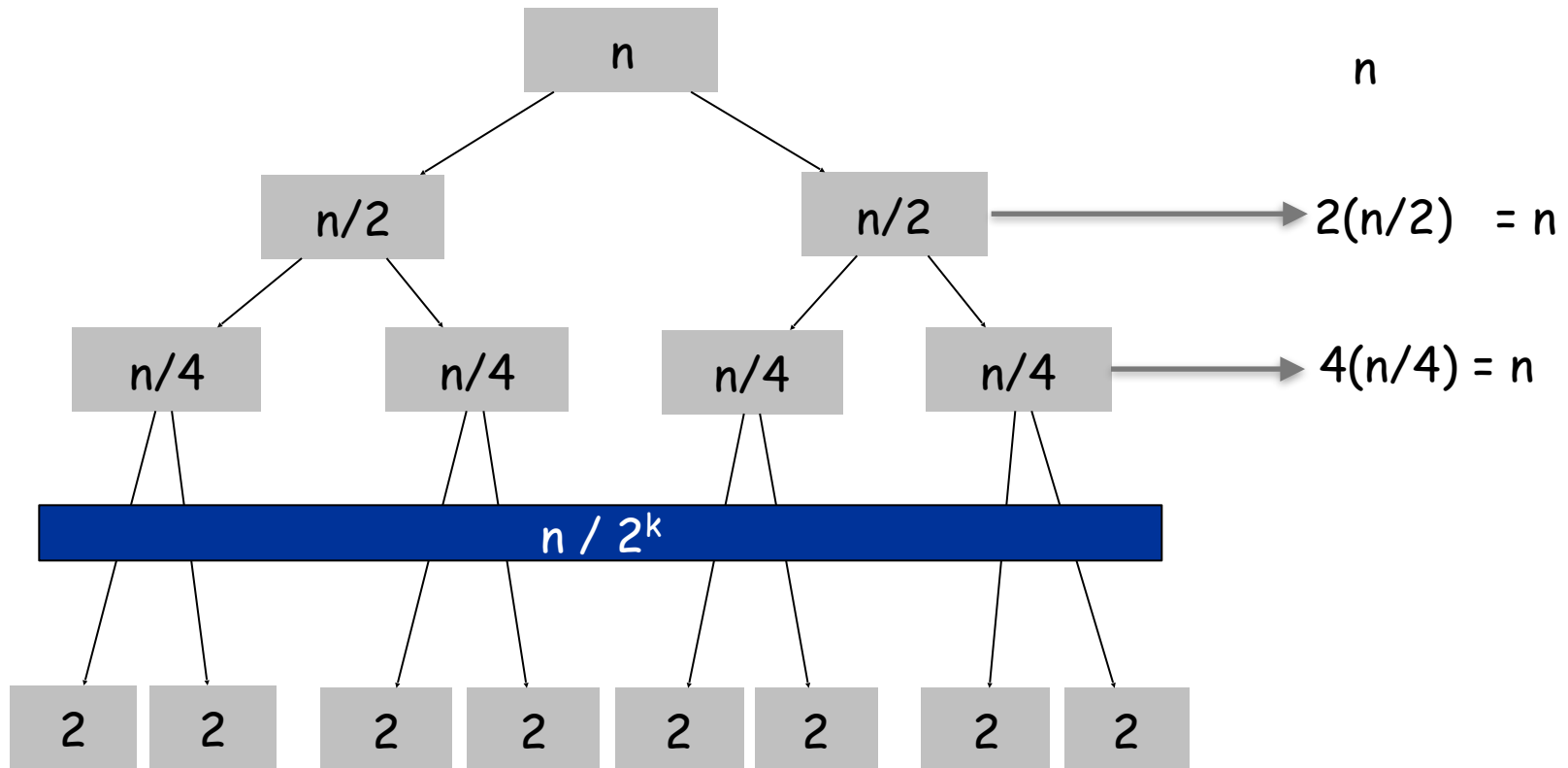
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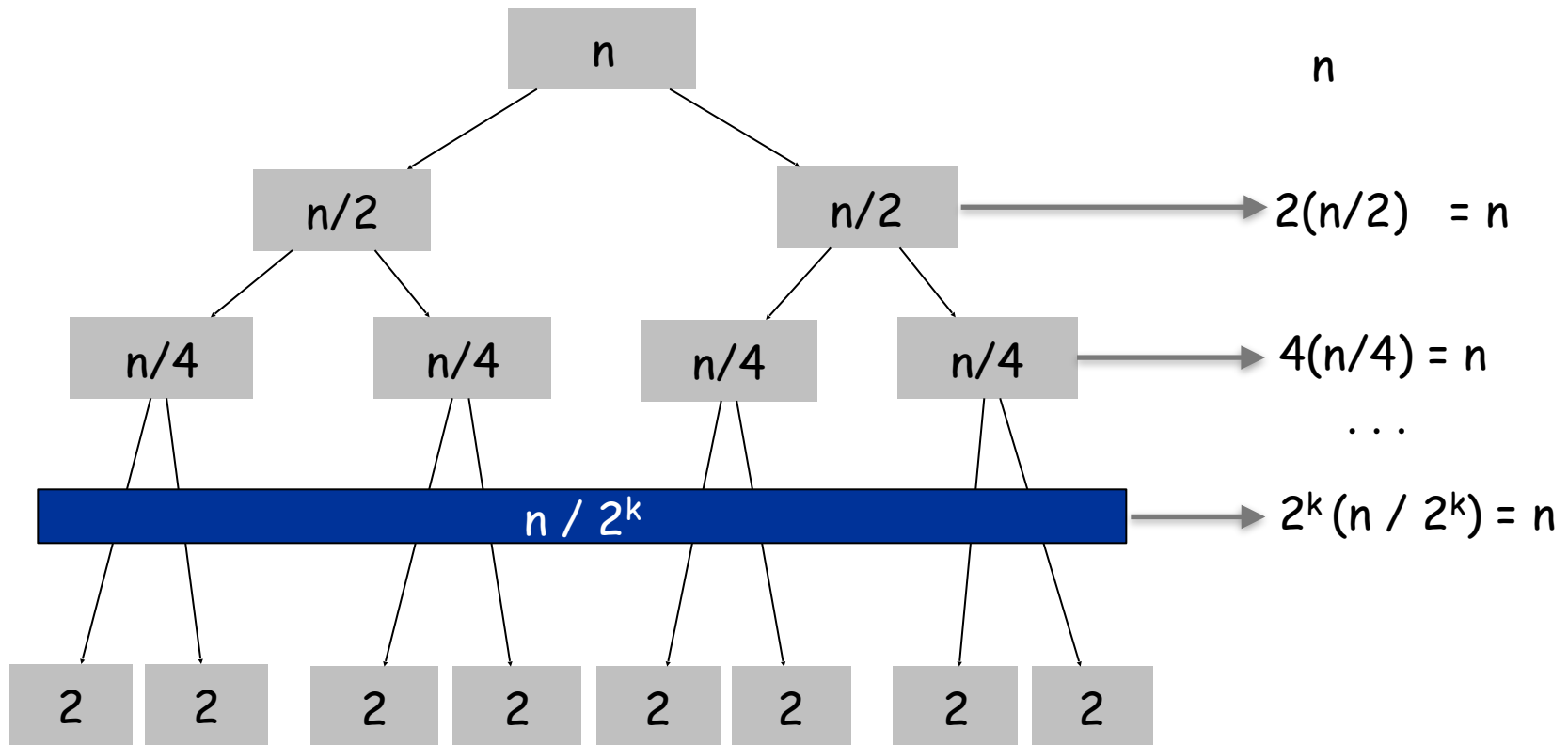
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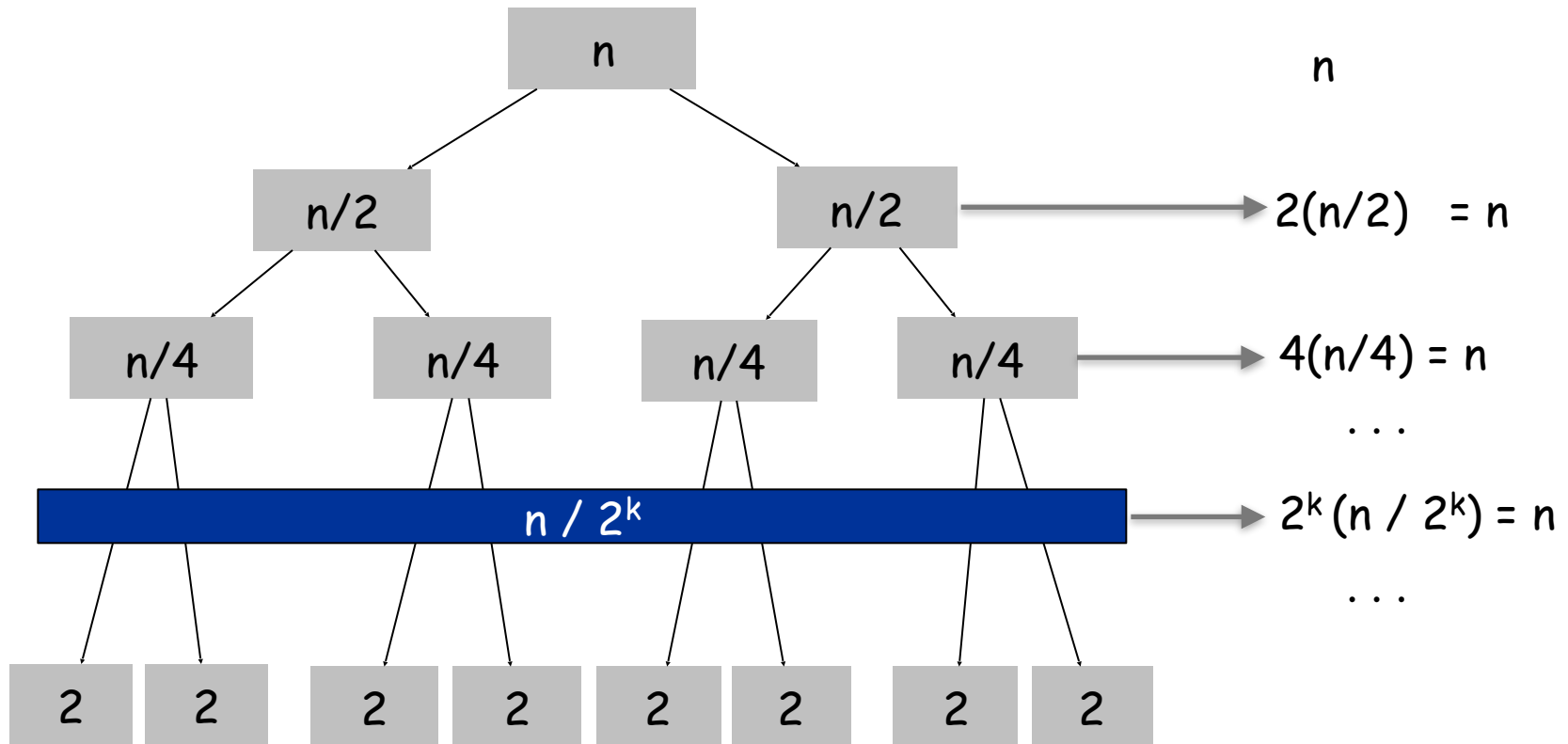
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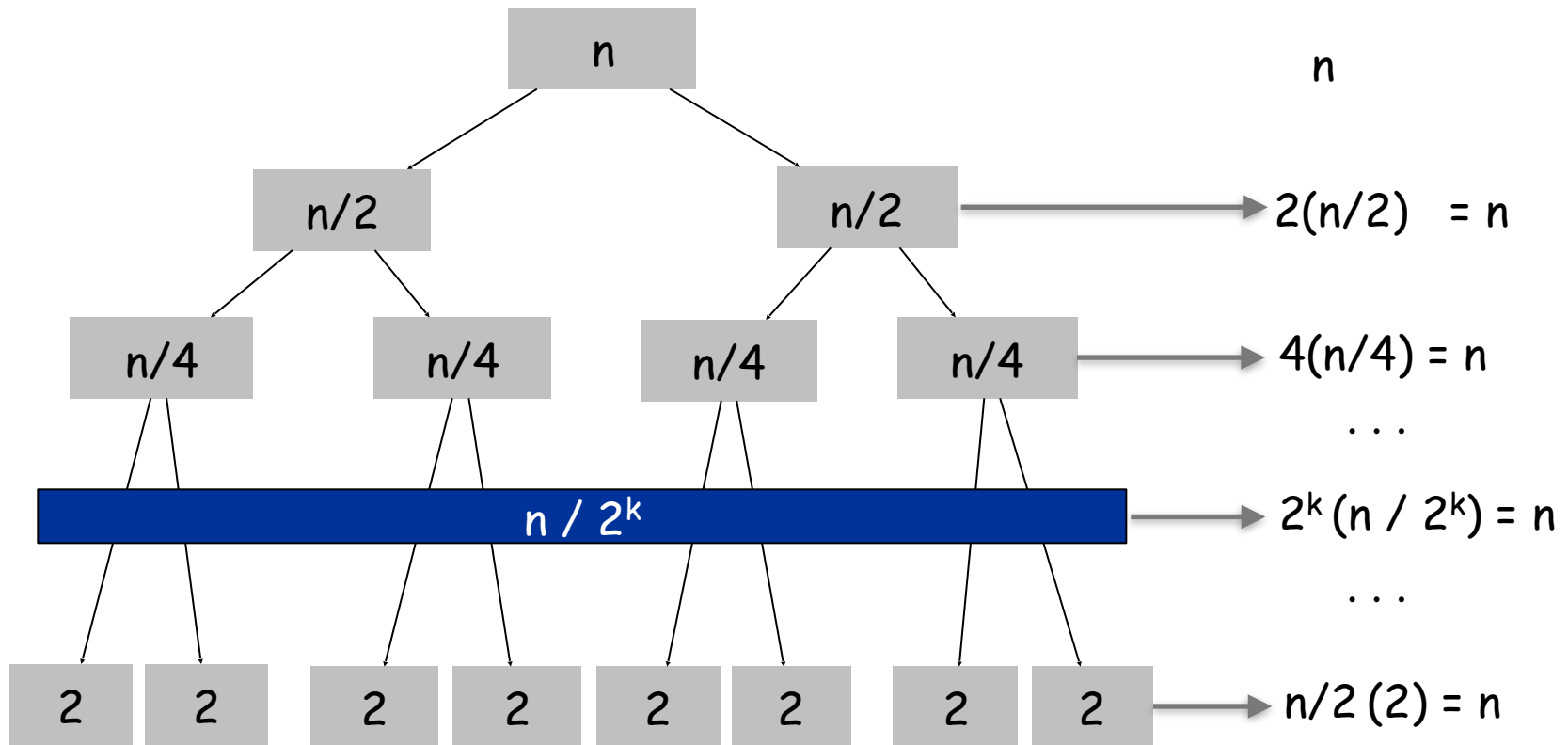
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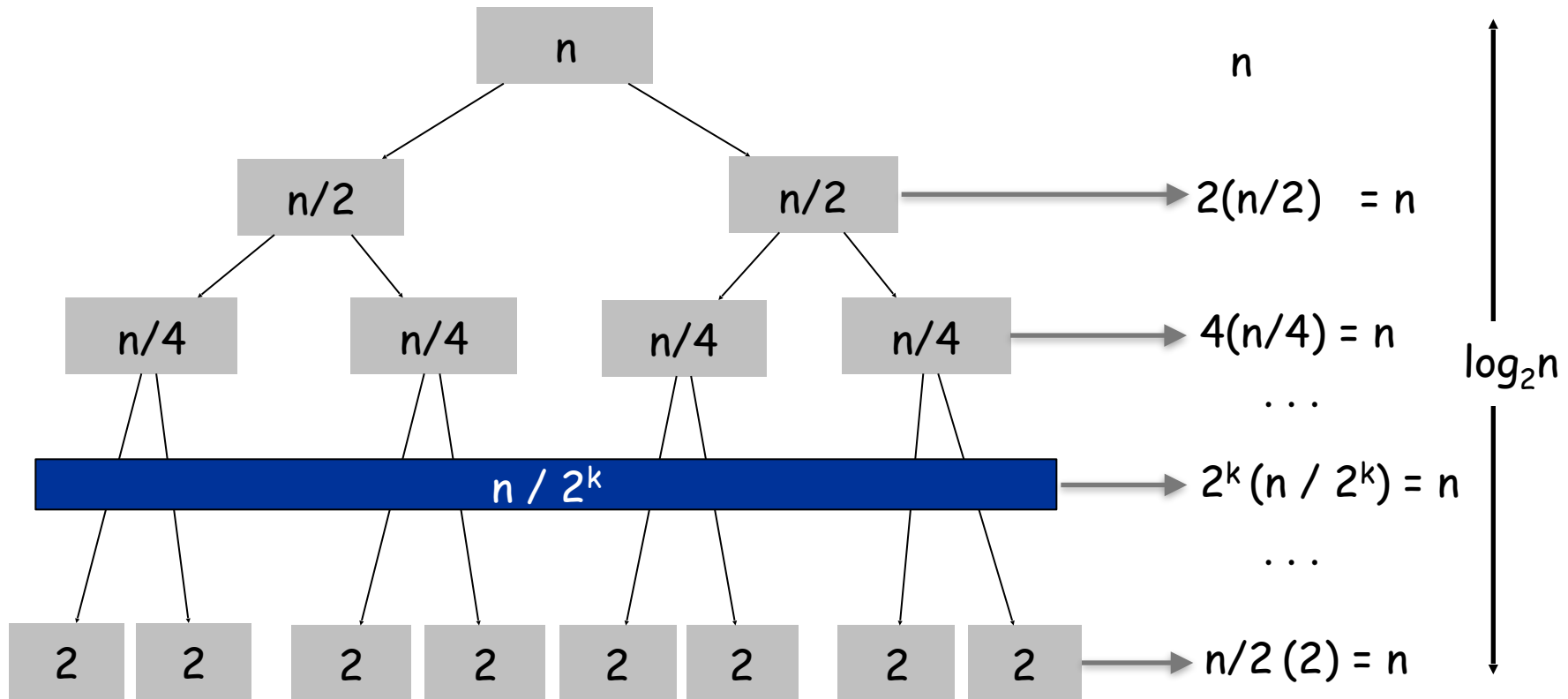
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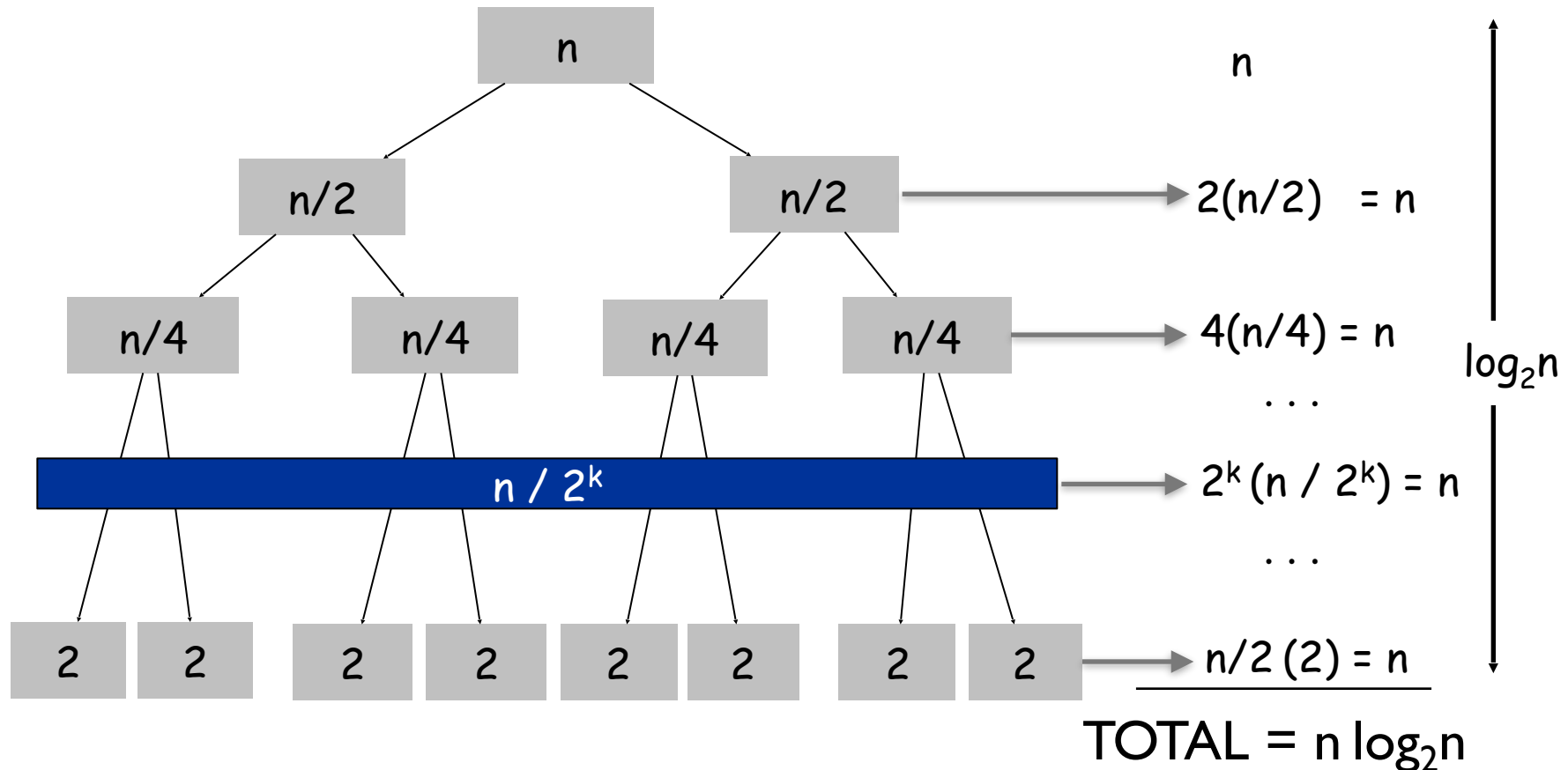
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- n / b^i = size of subproblem at level i .

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Example: $T(1) = 1$. $T(n) = 3 T(n / 2) + n$. Then, $T(n) = \Theta(n^{\lg 3})$.

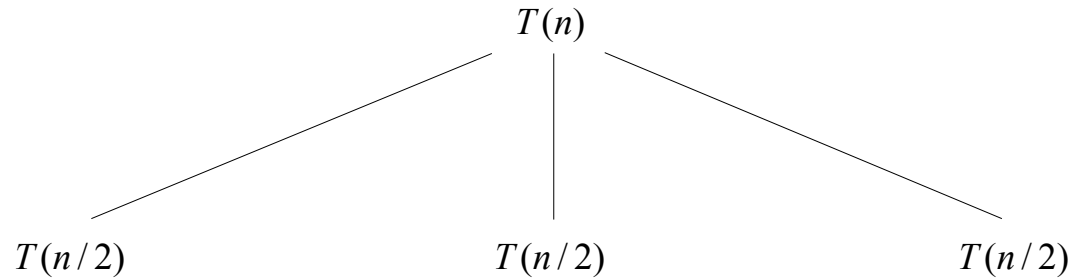
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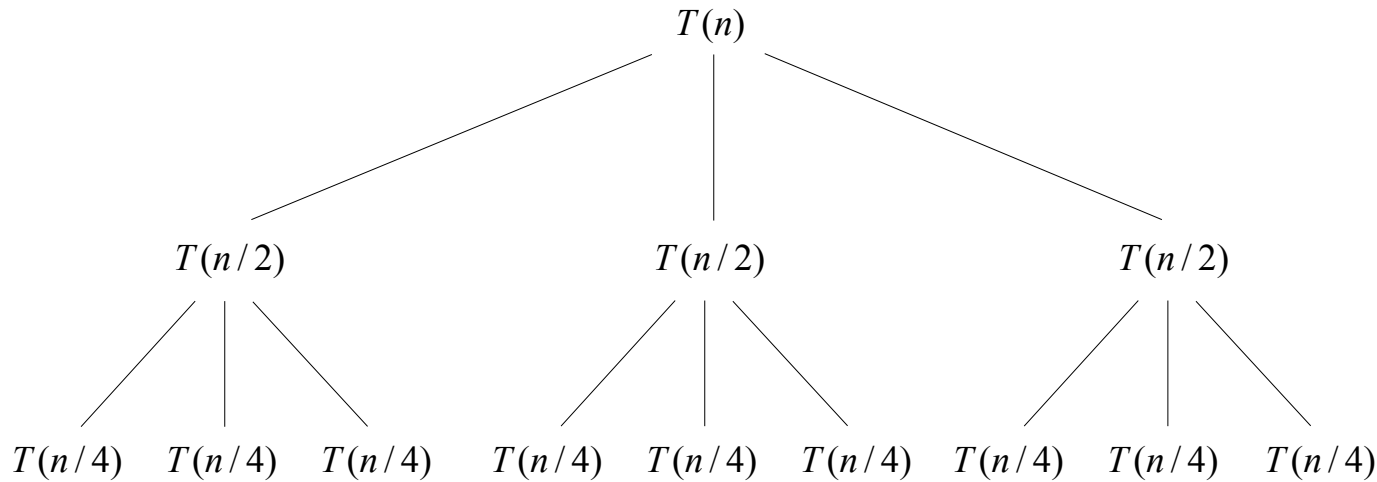
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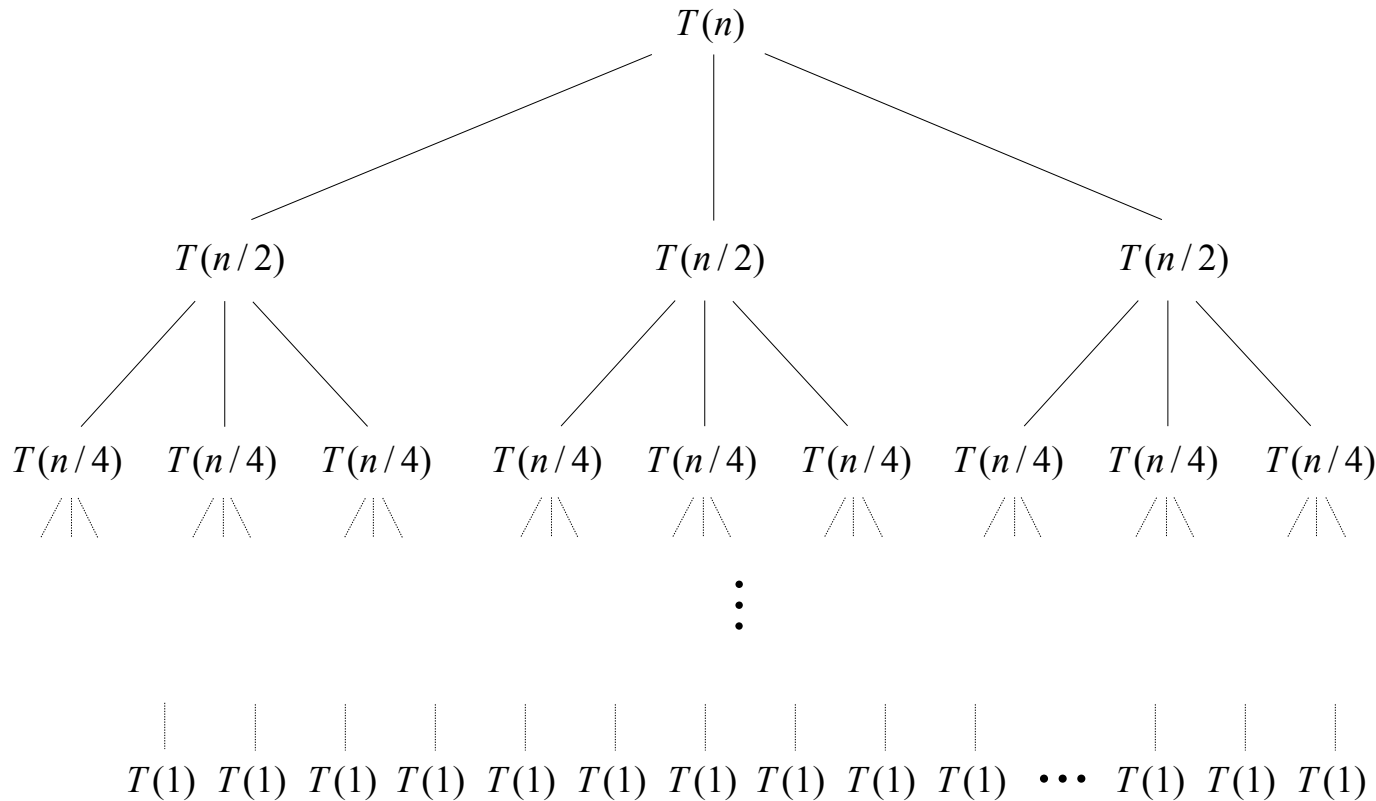
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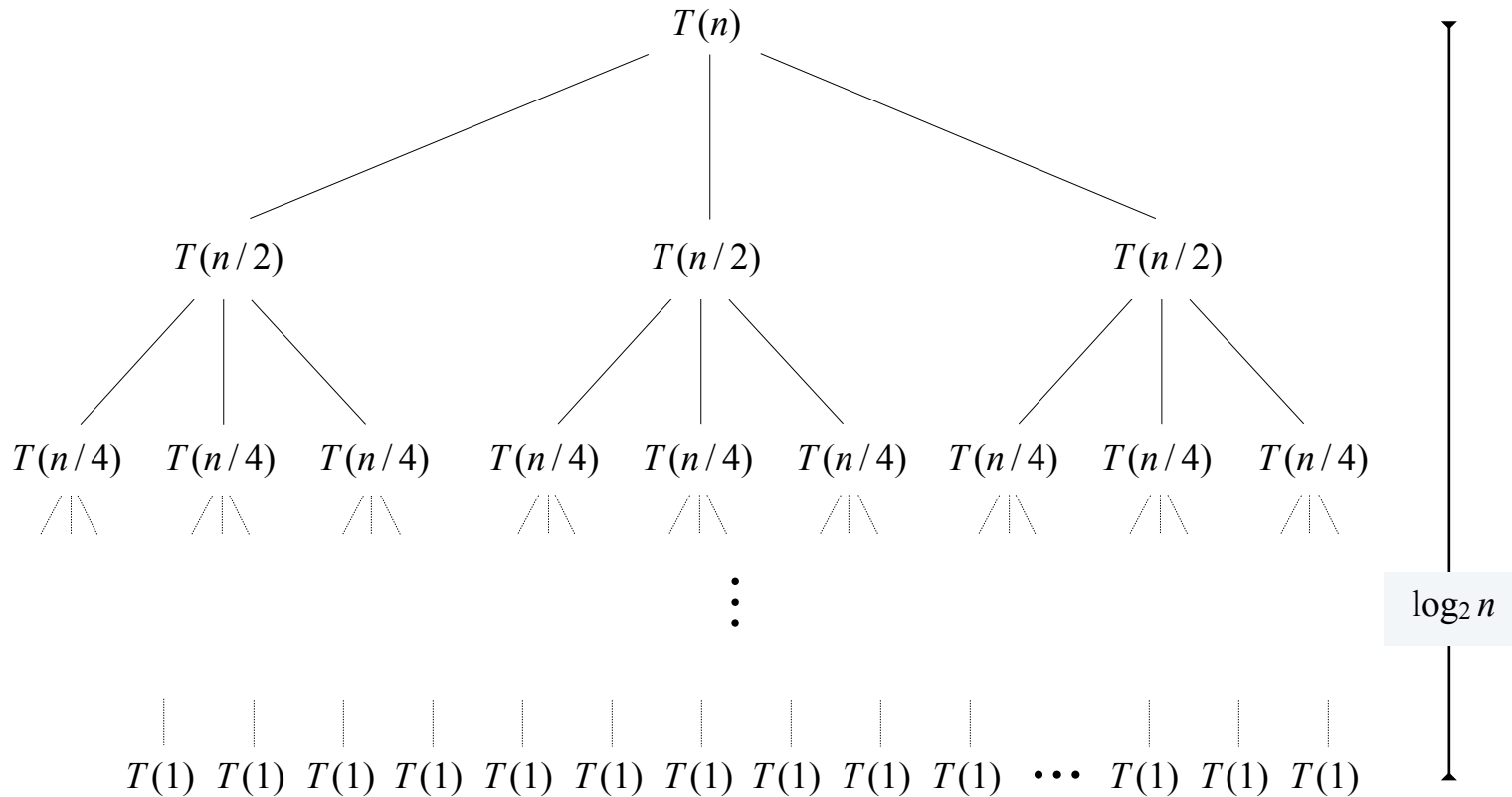
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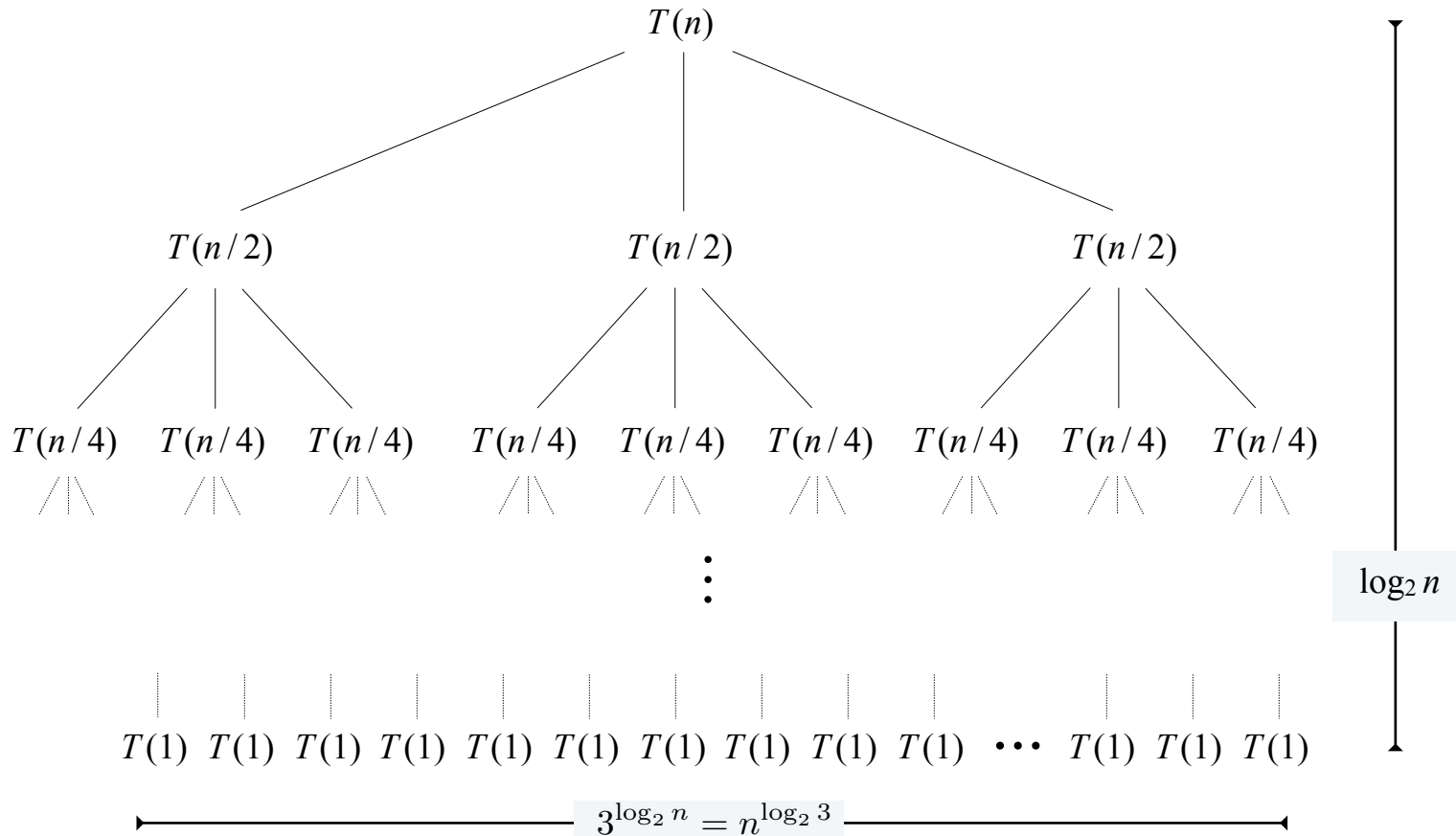
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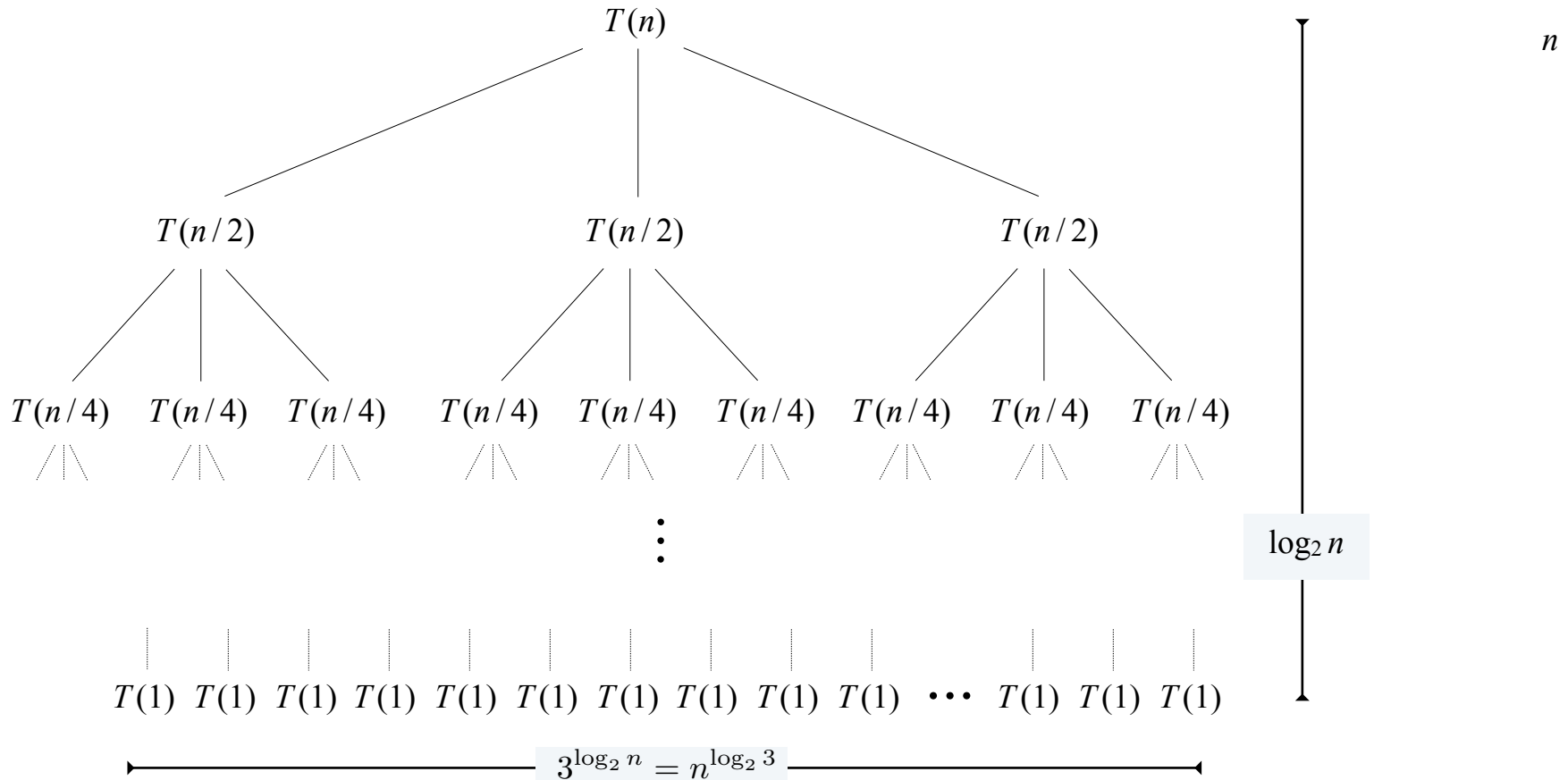
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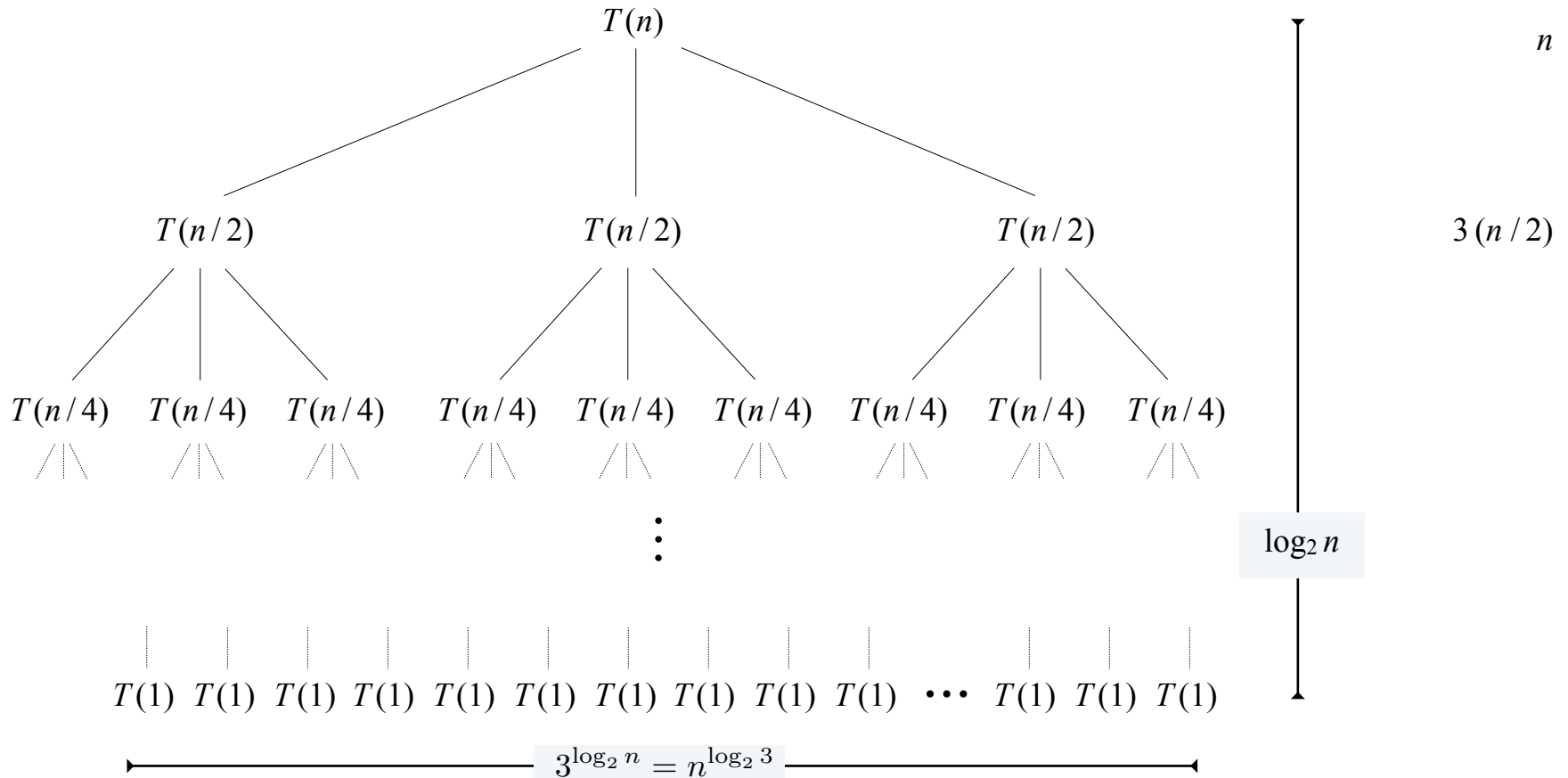
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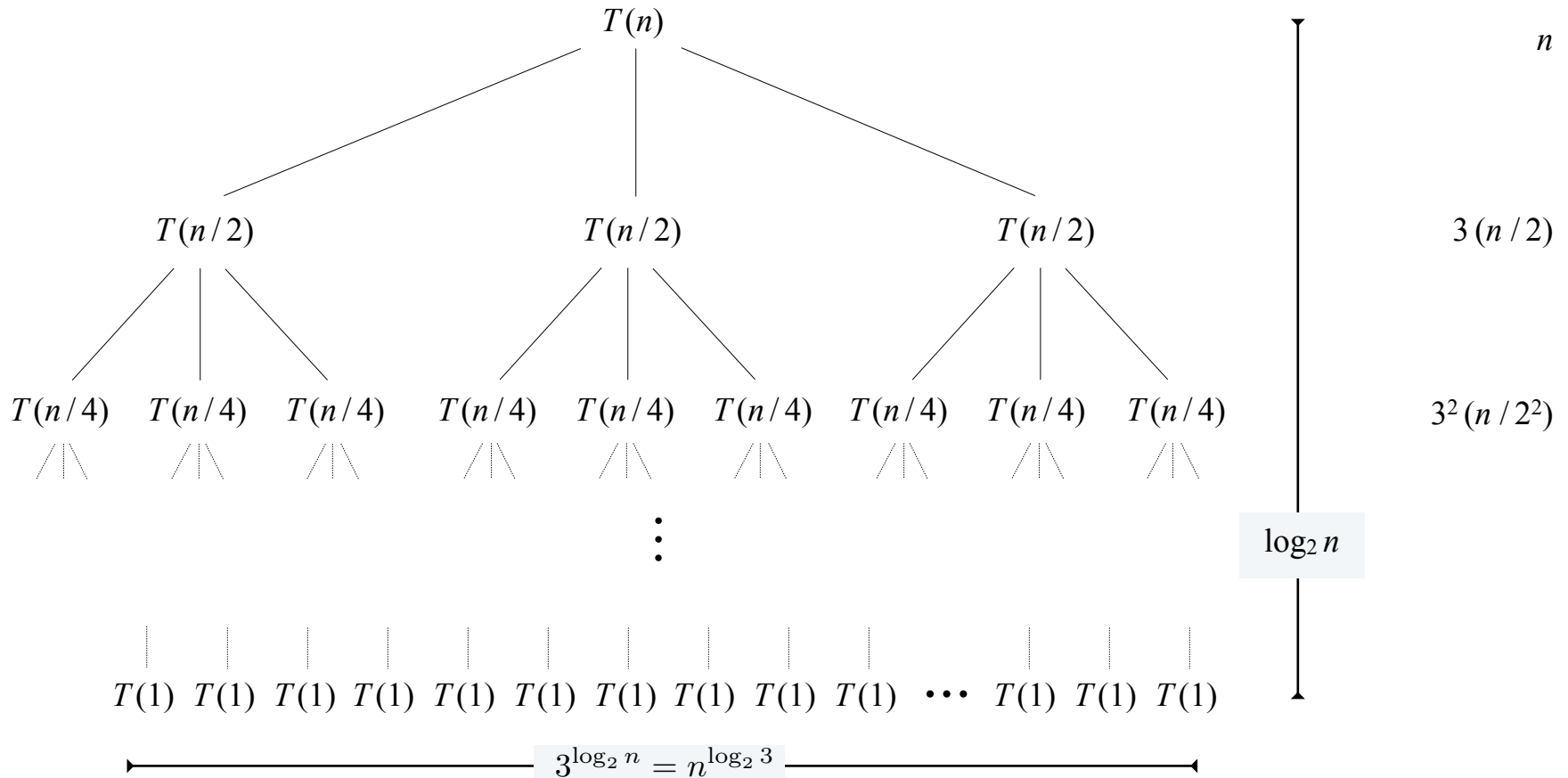
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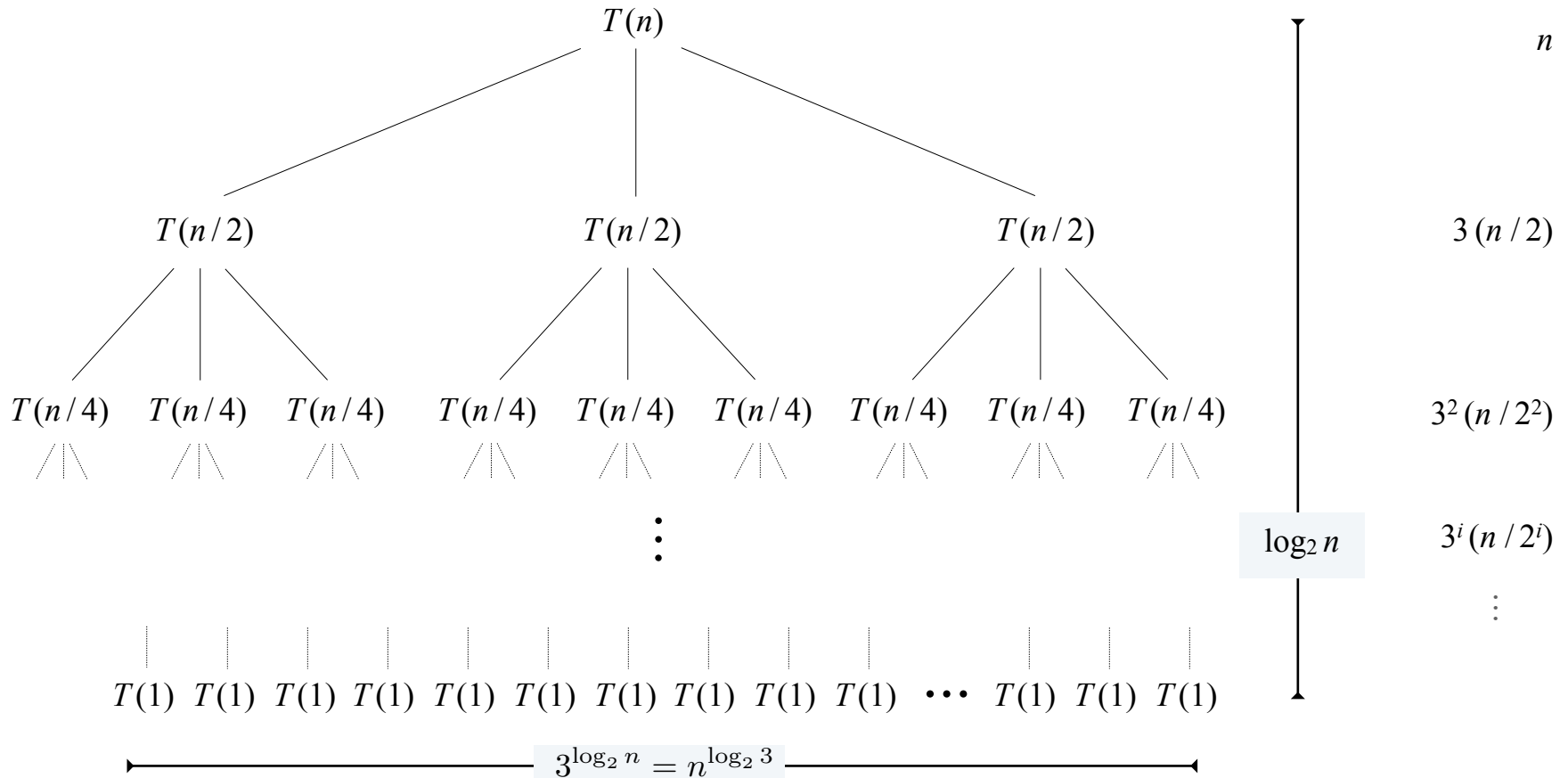
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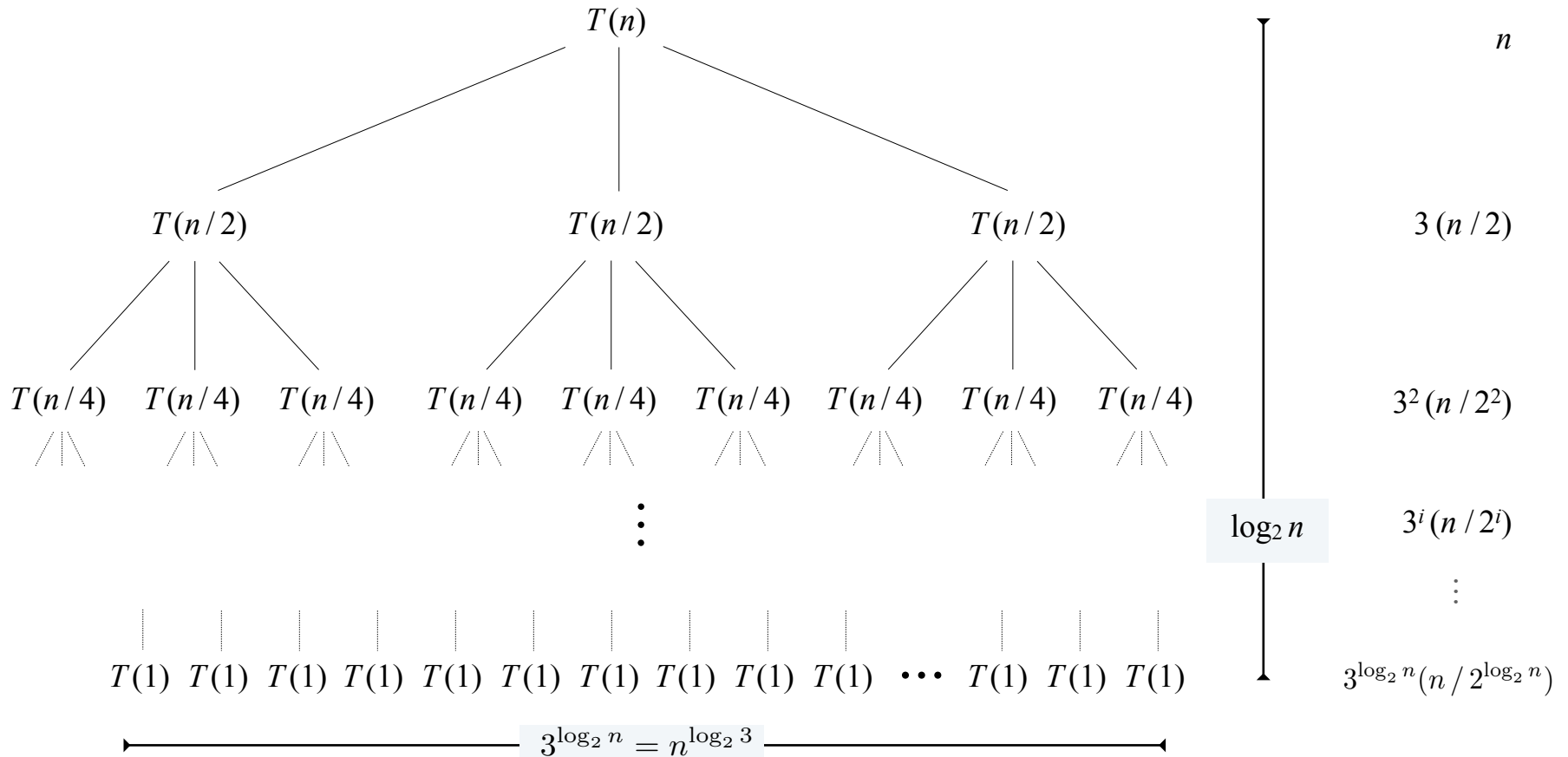
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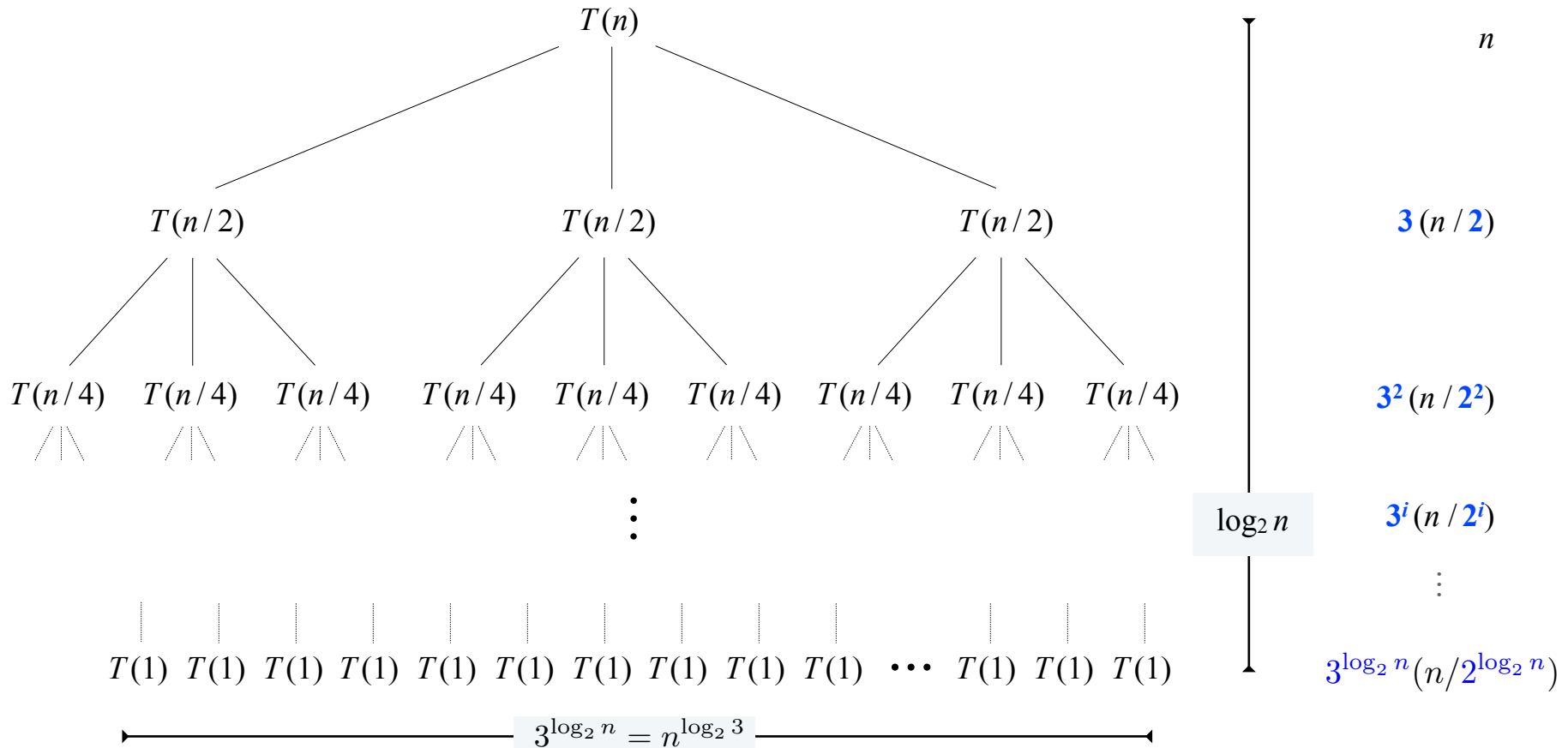
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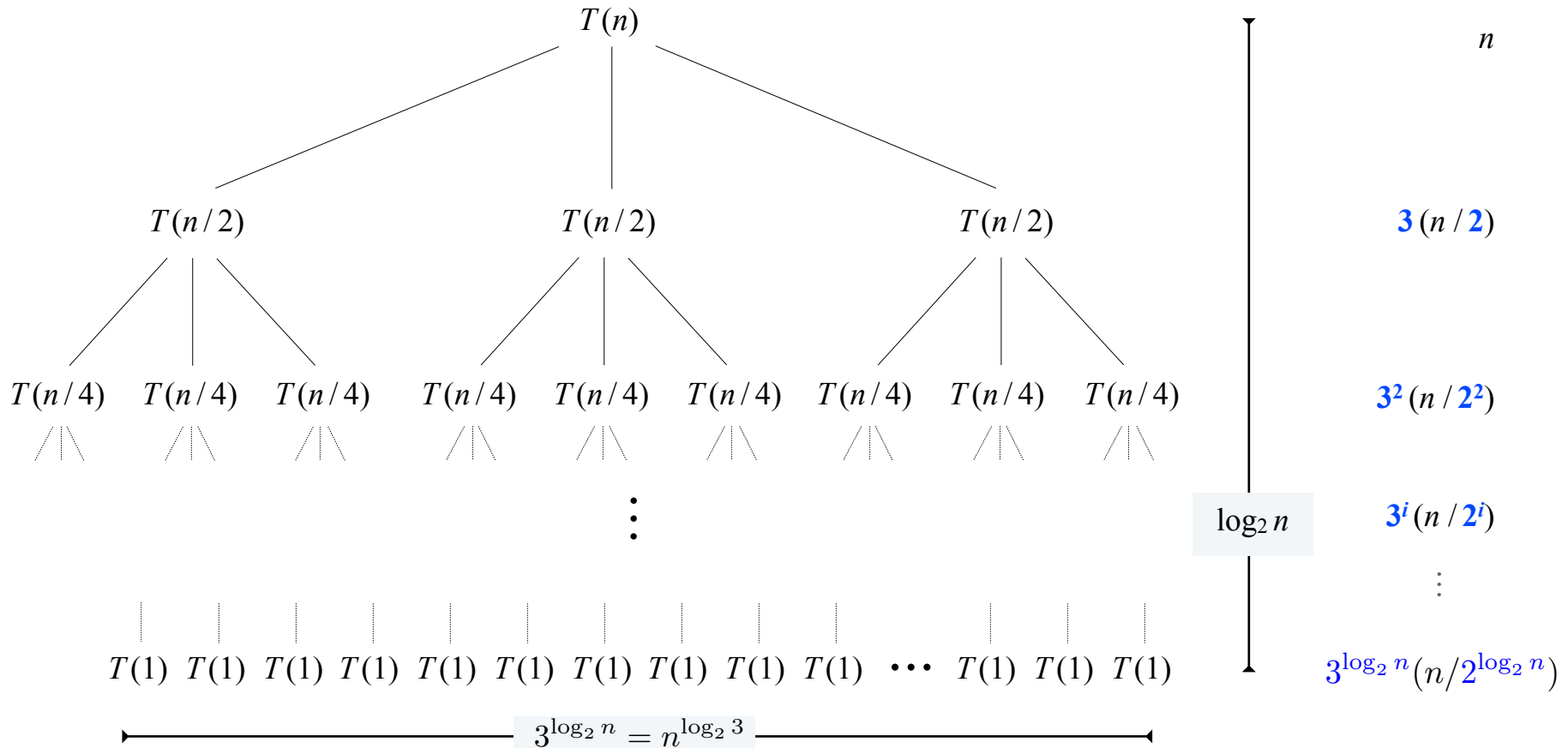
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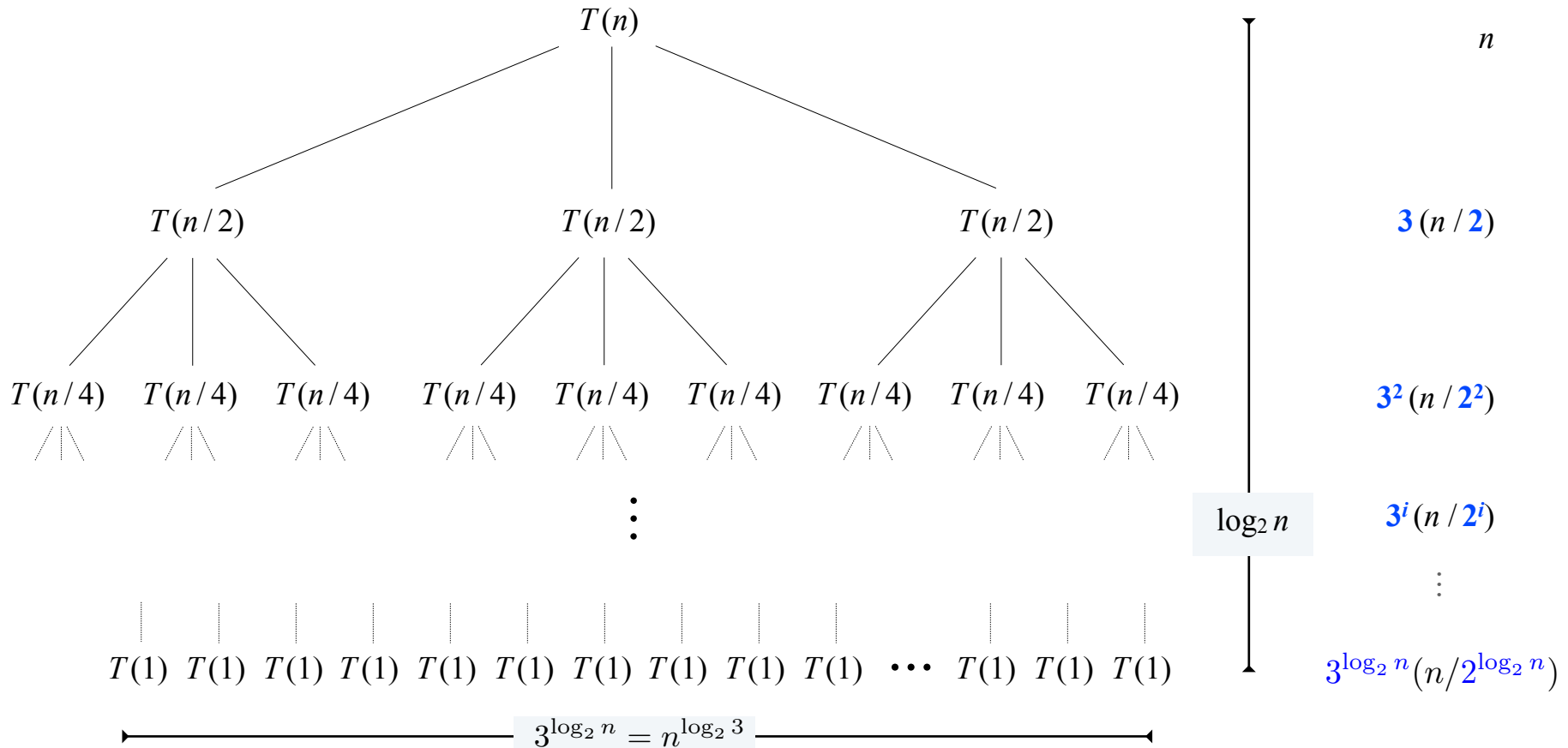
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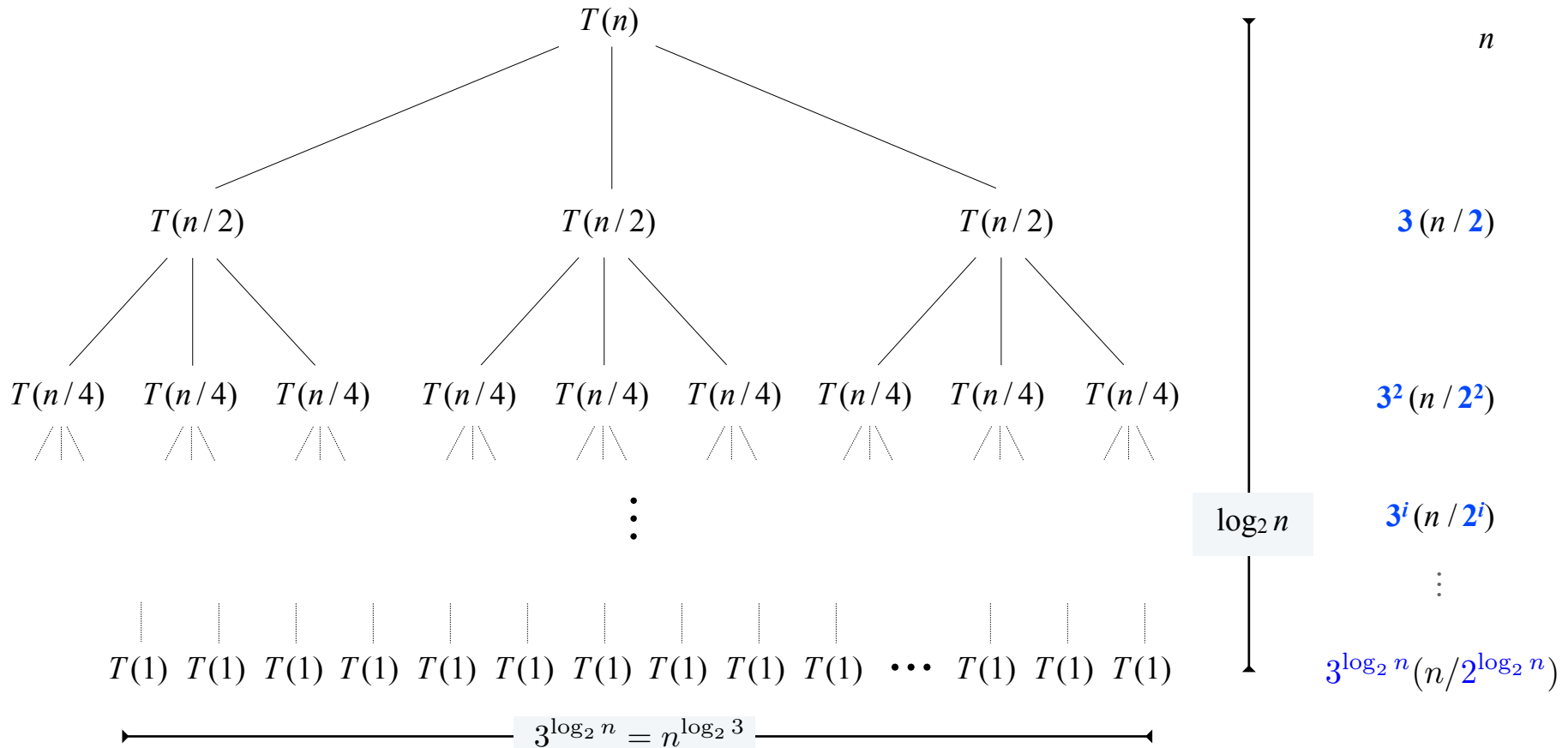
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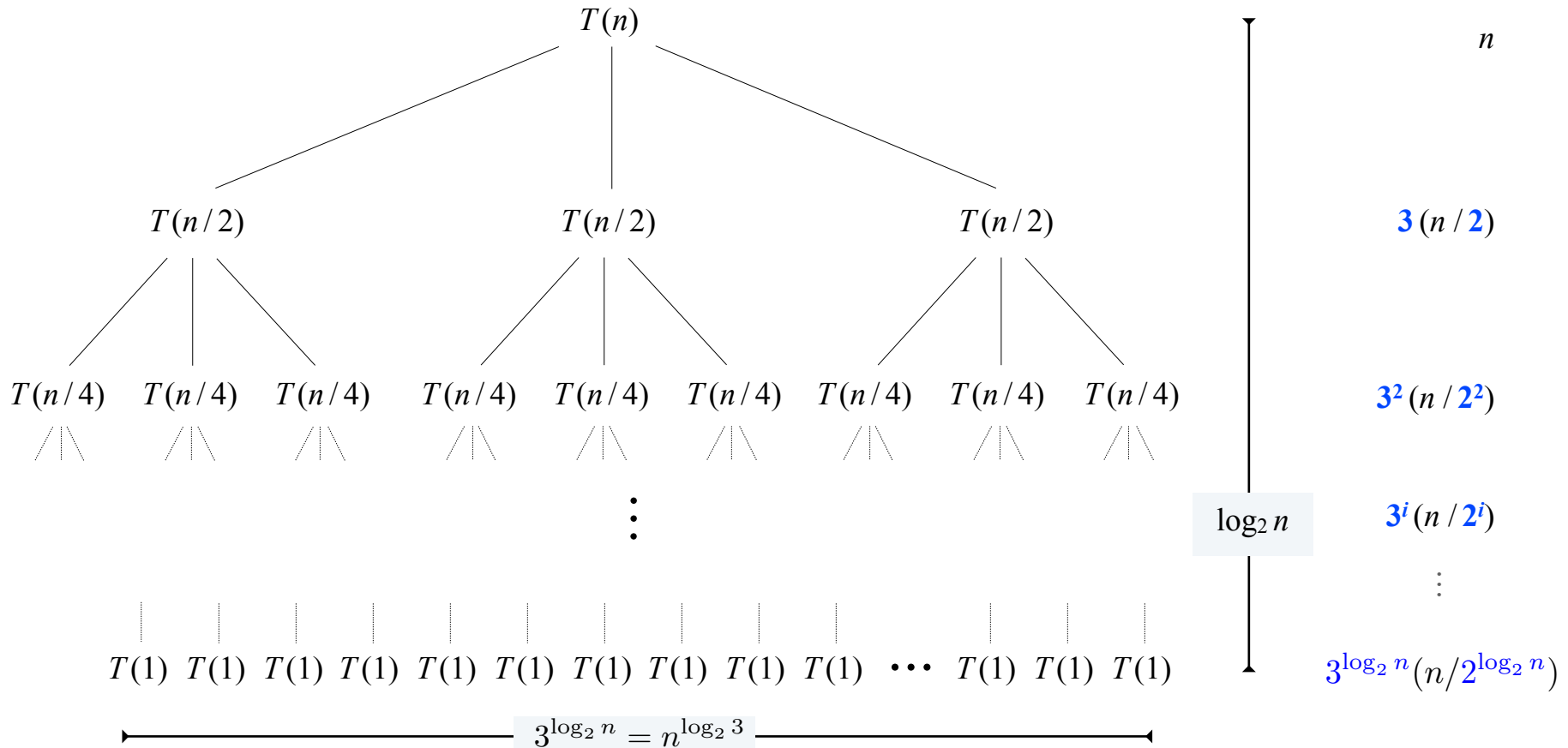
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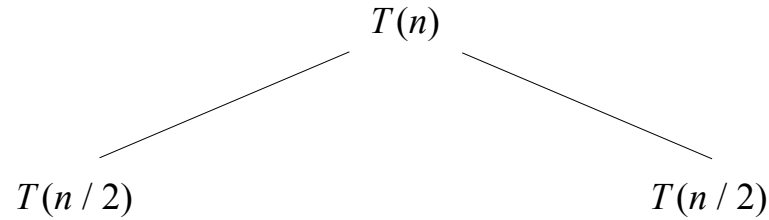
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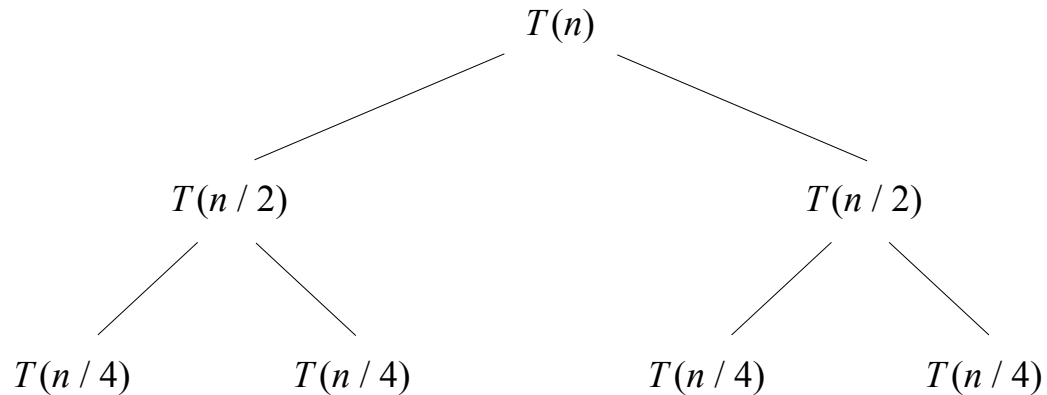
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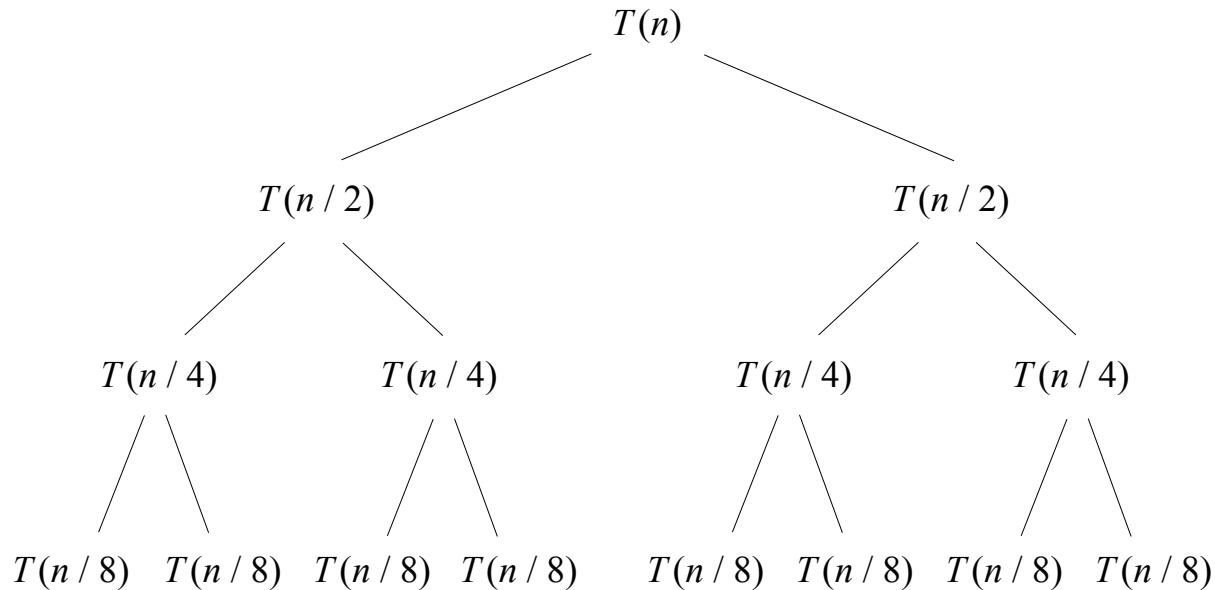
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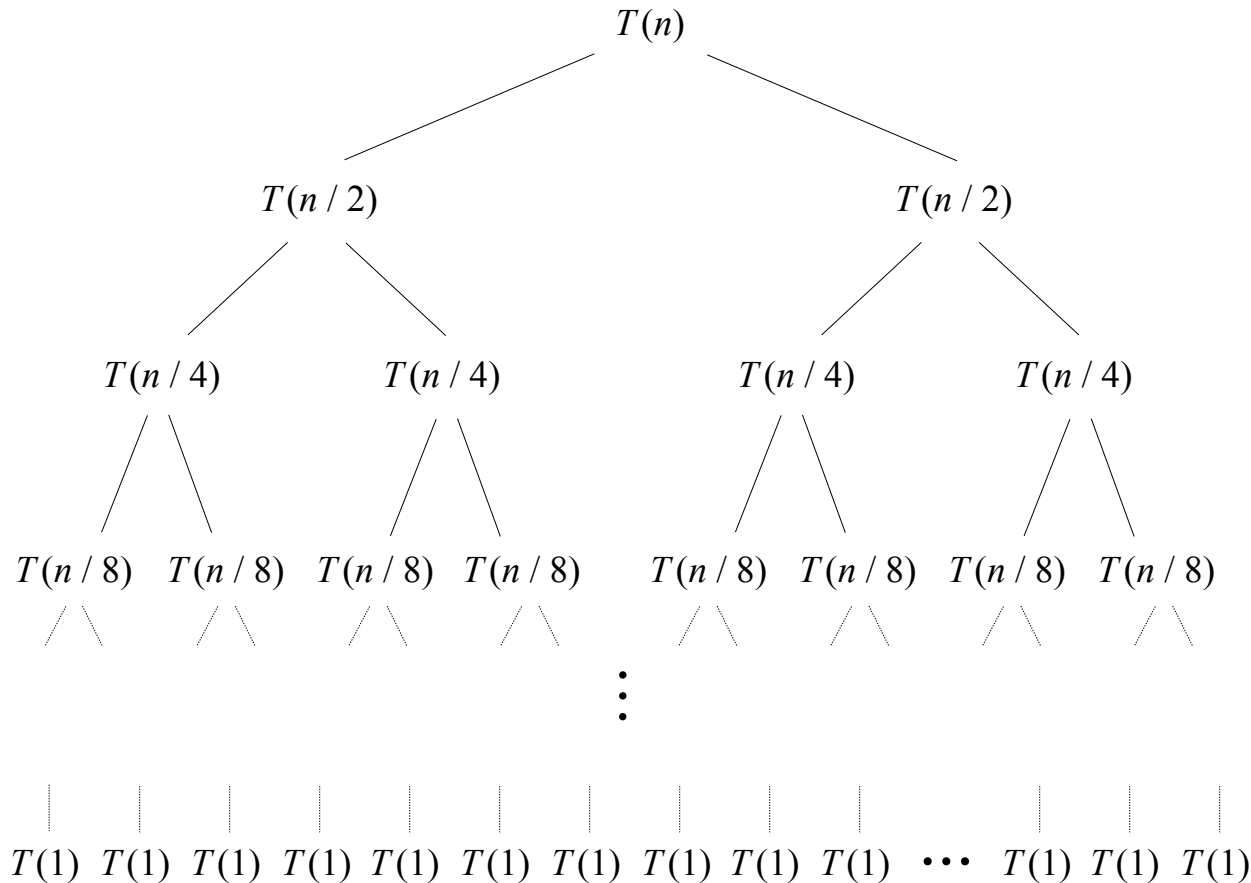
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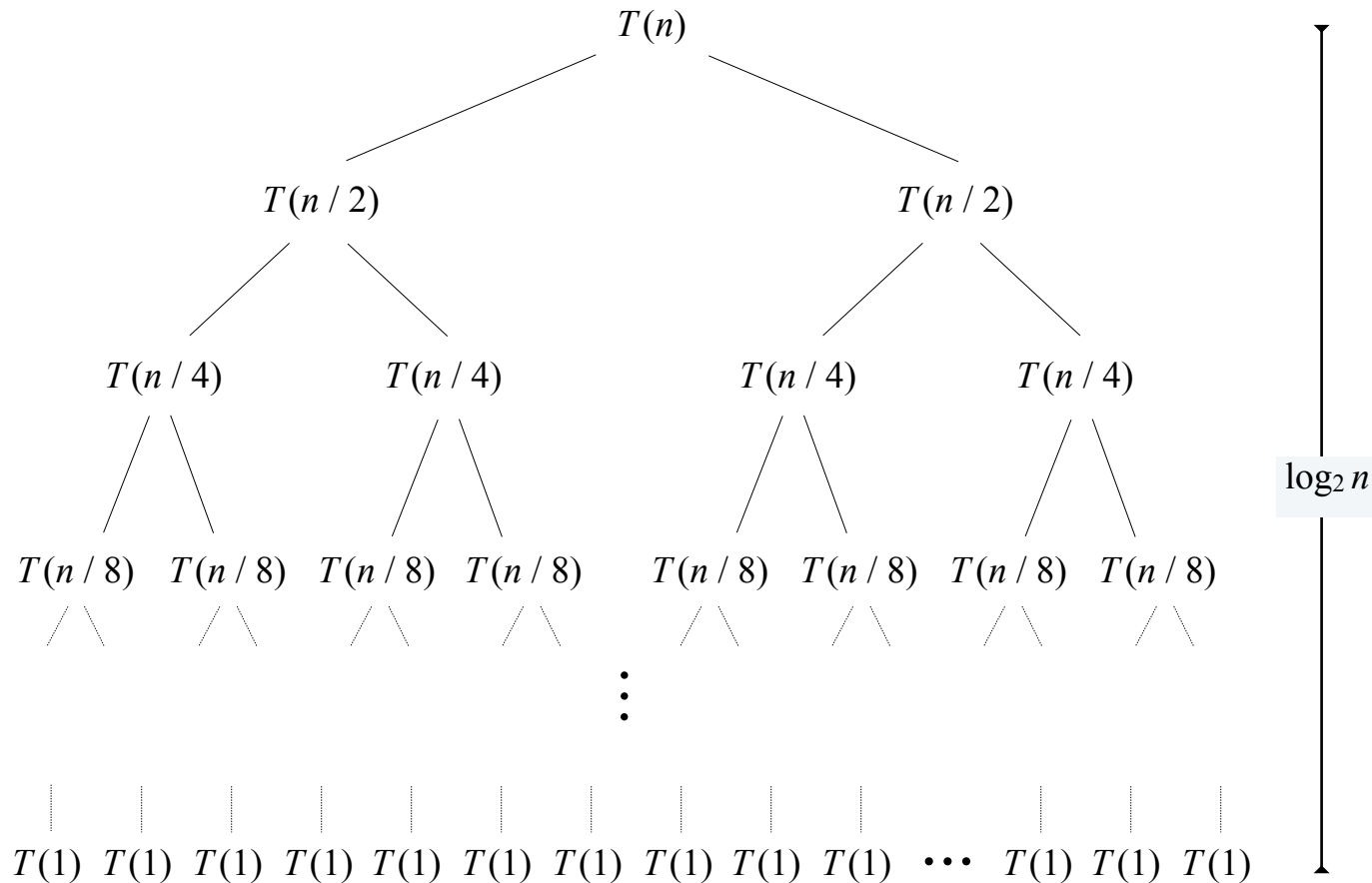
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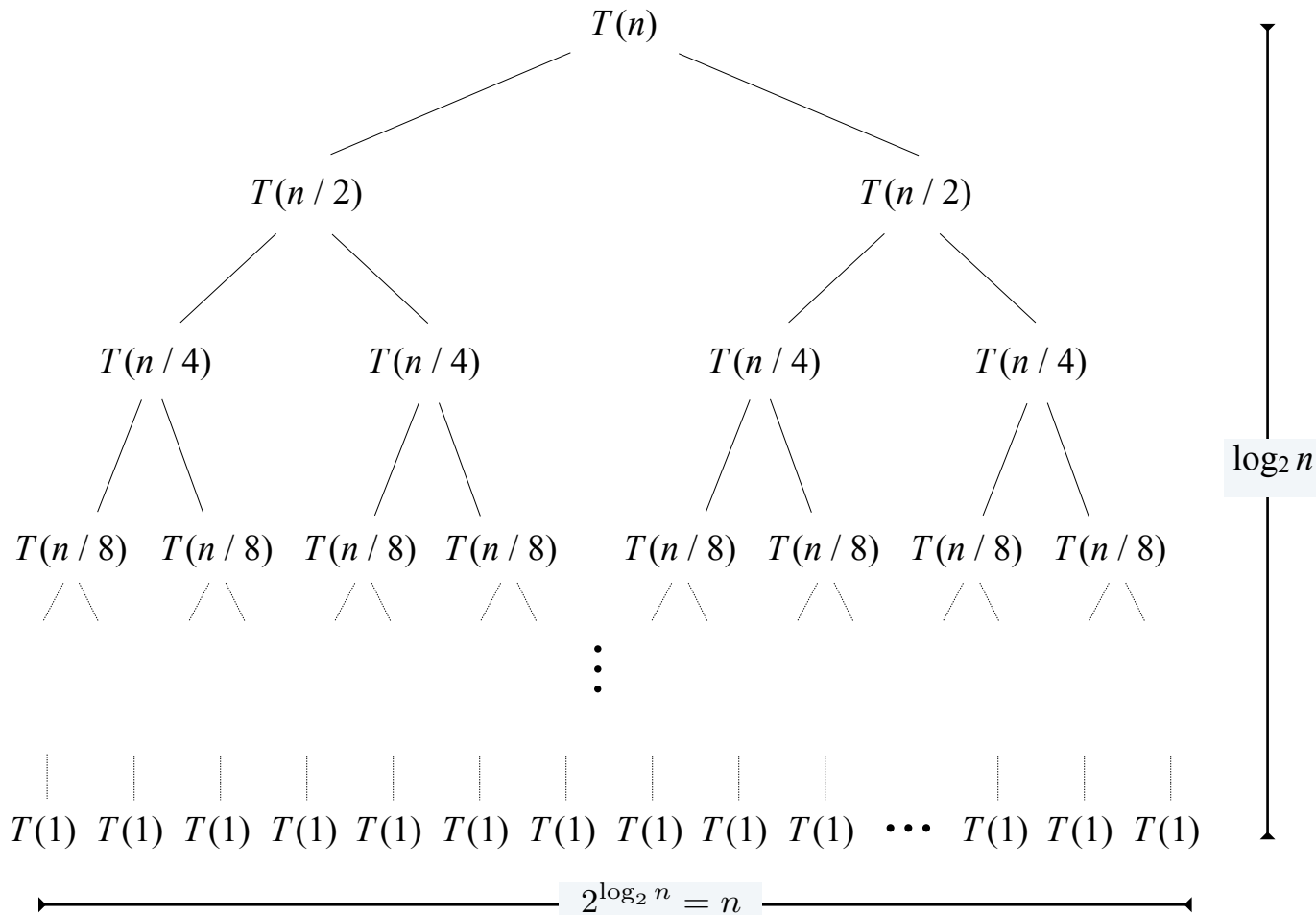
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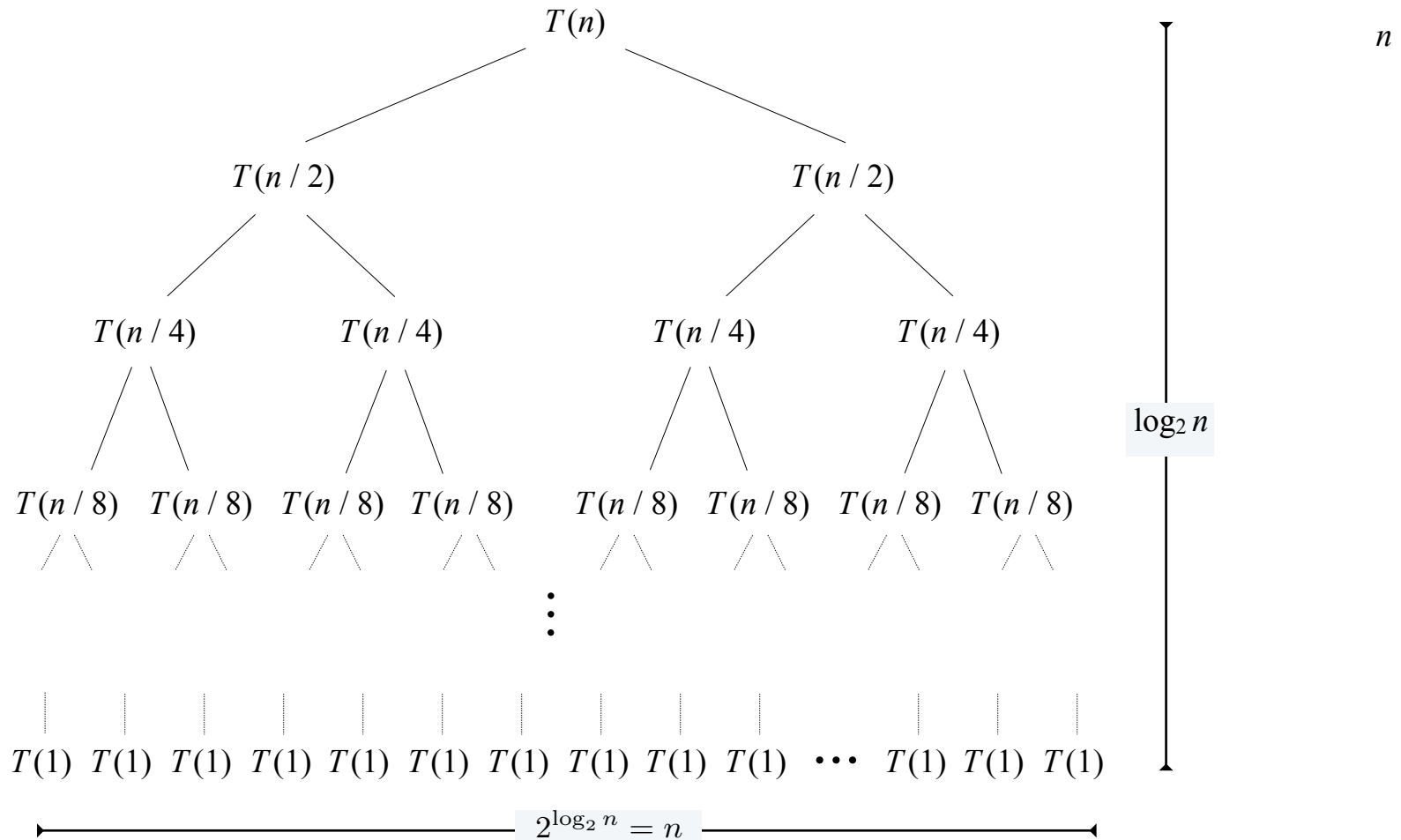
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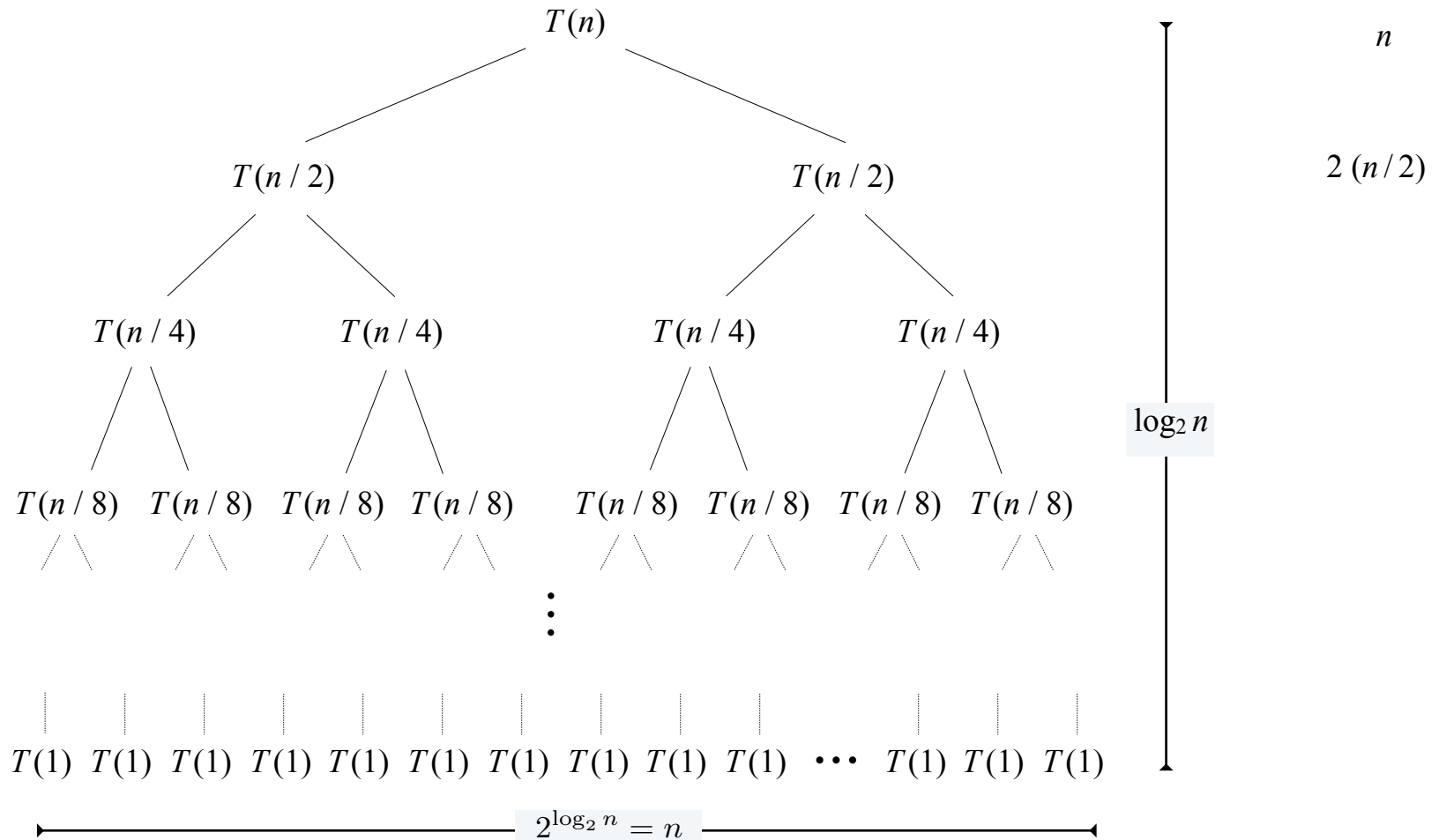
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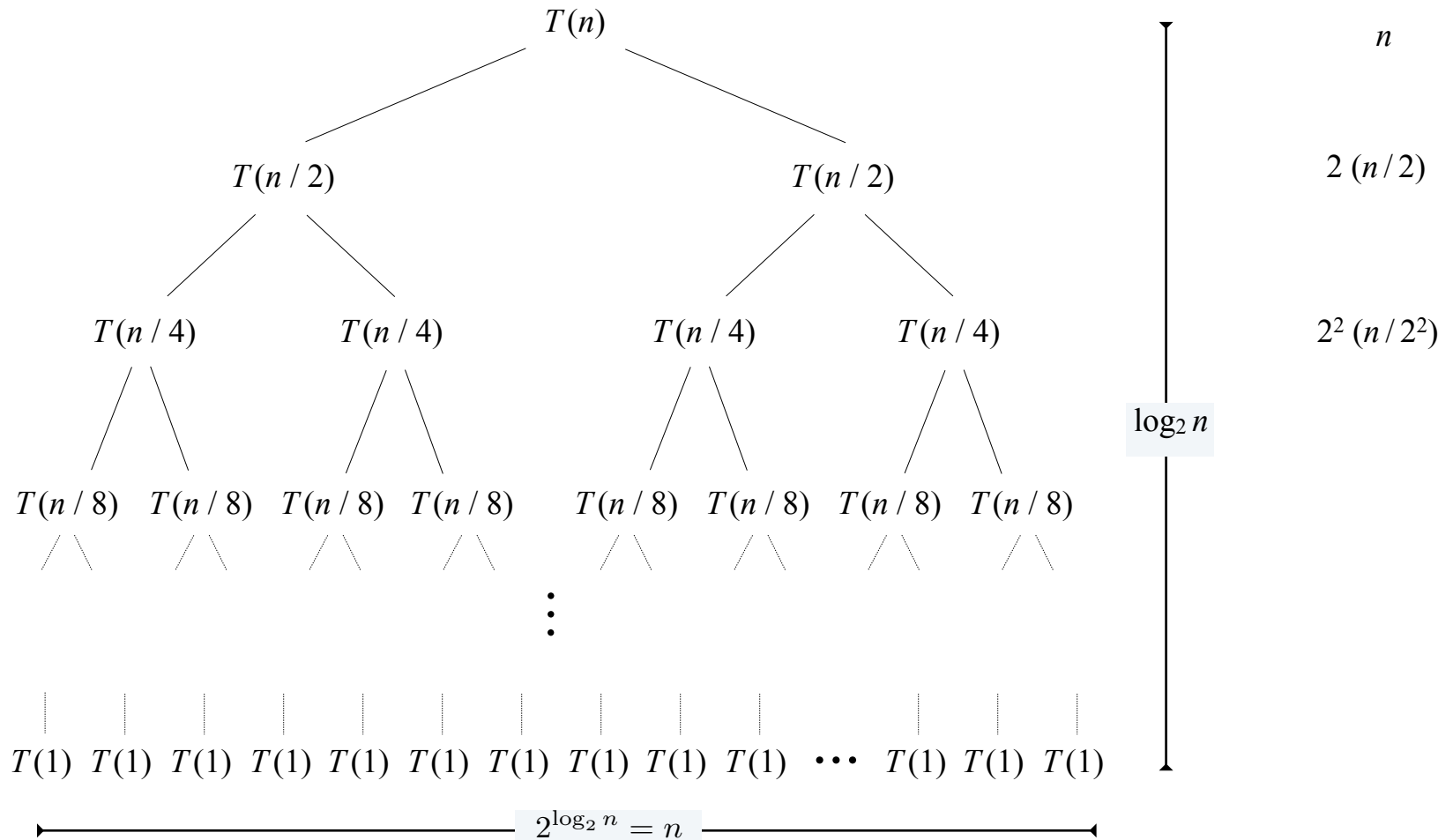
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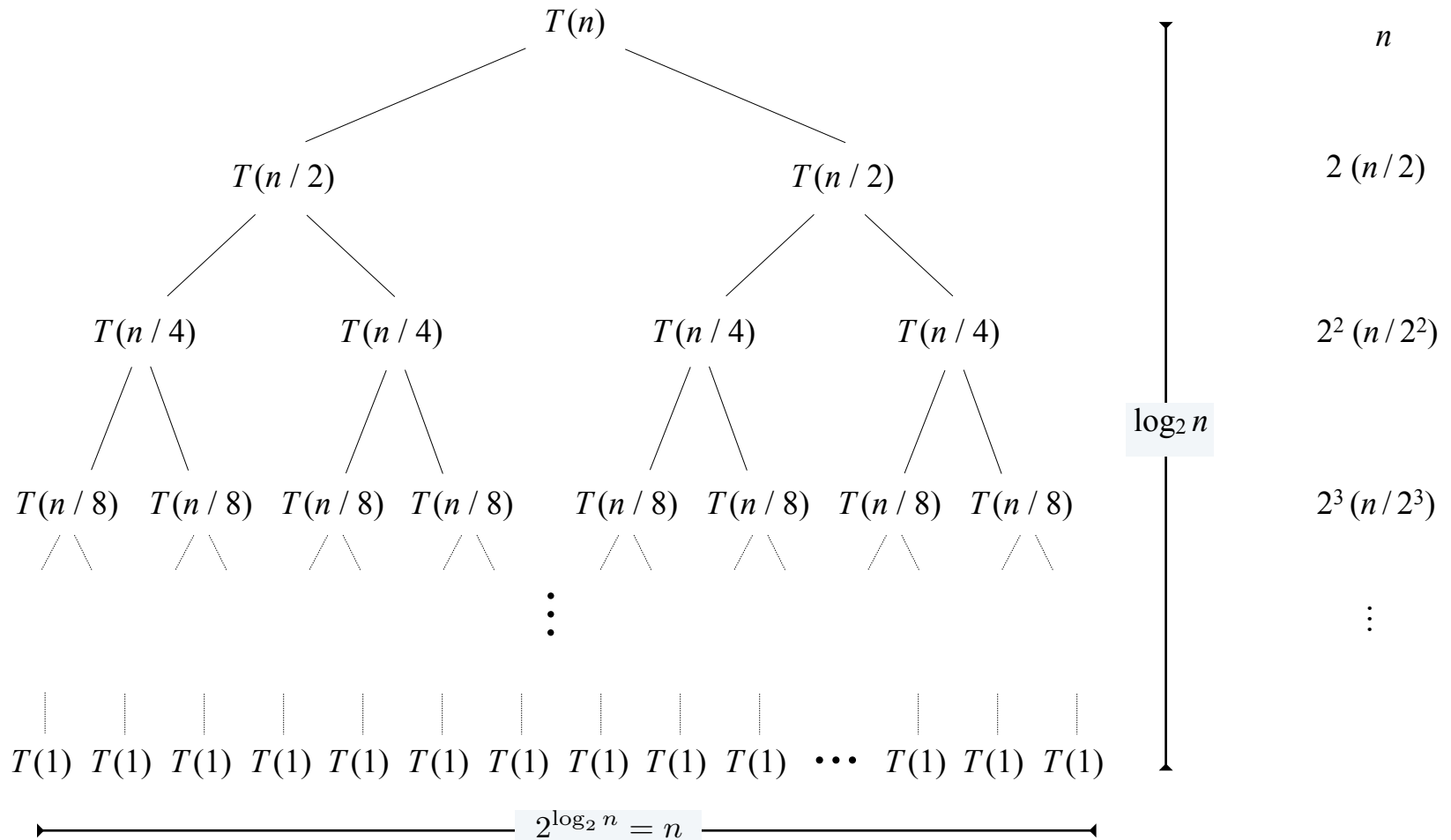
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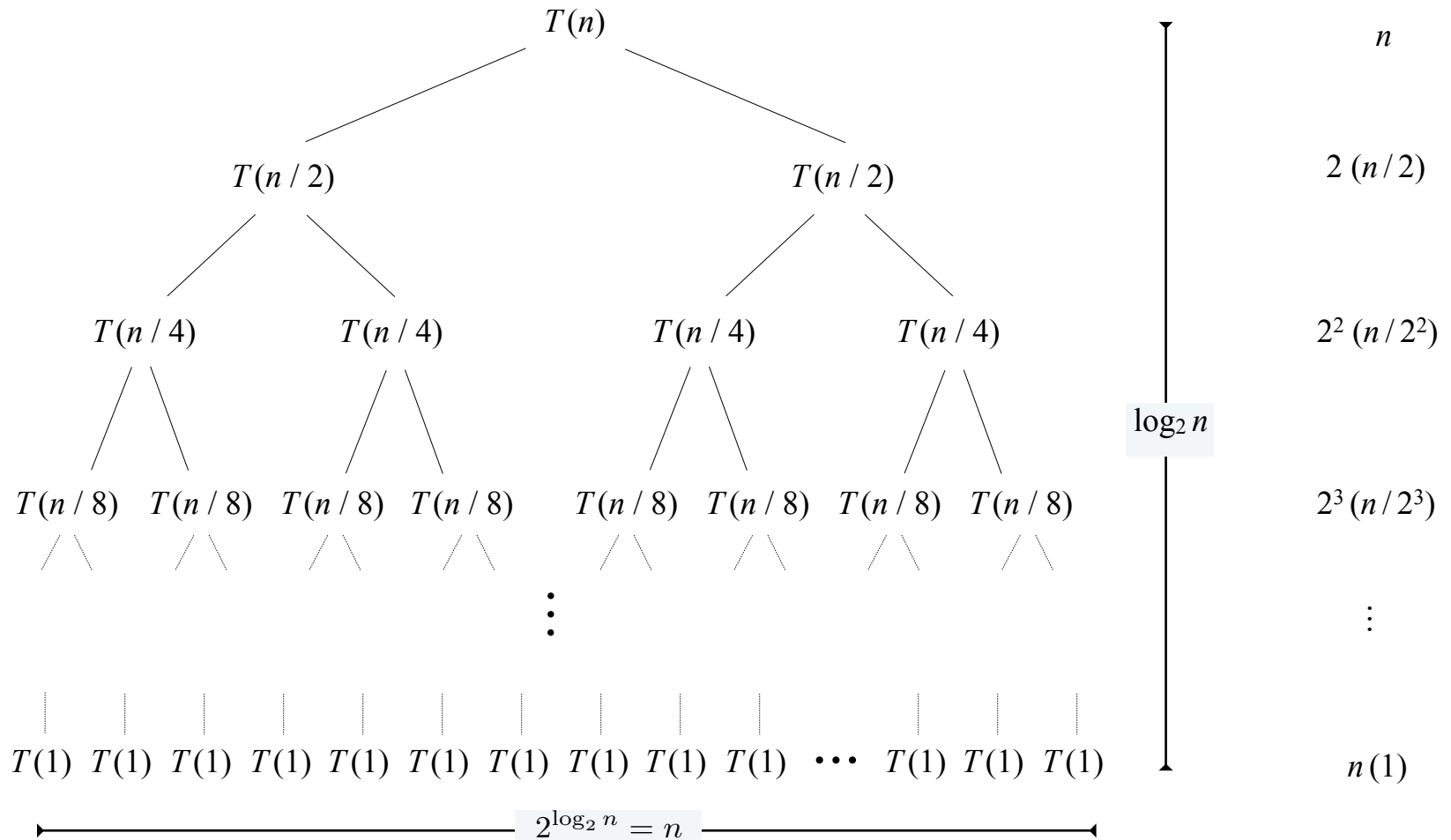
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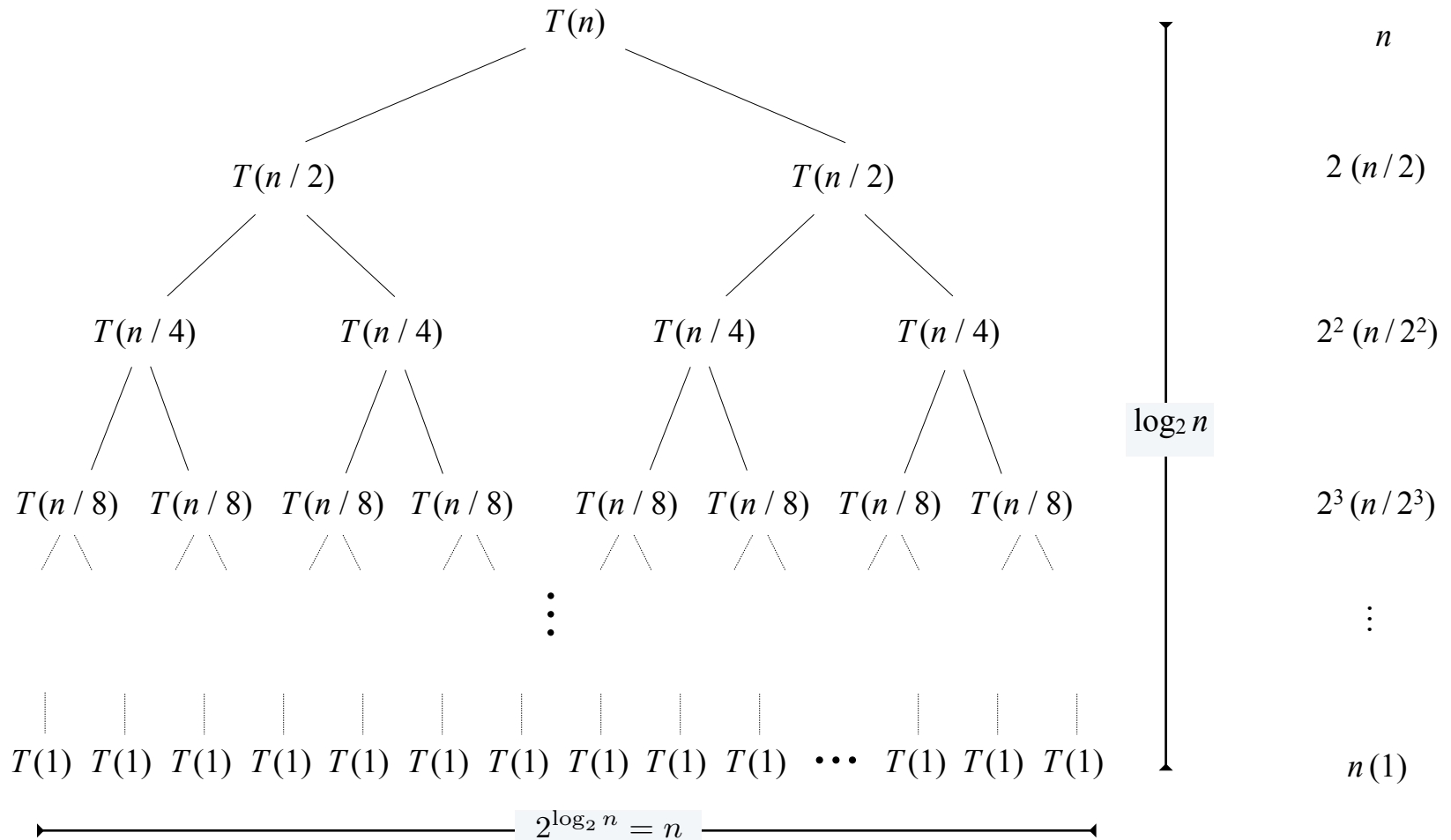
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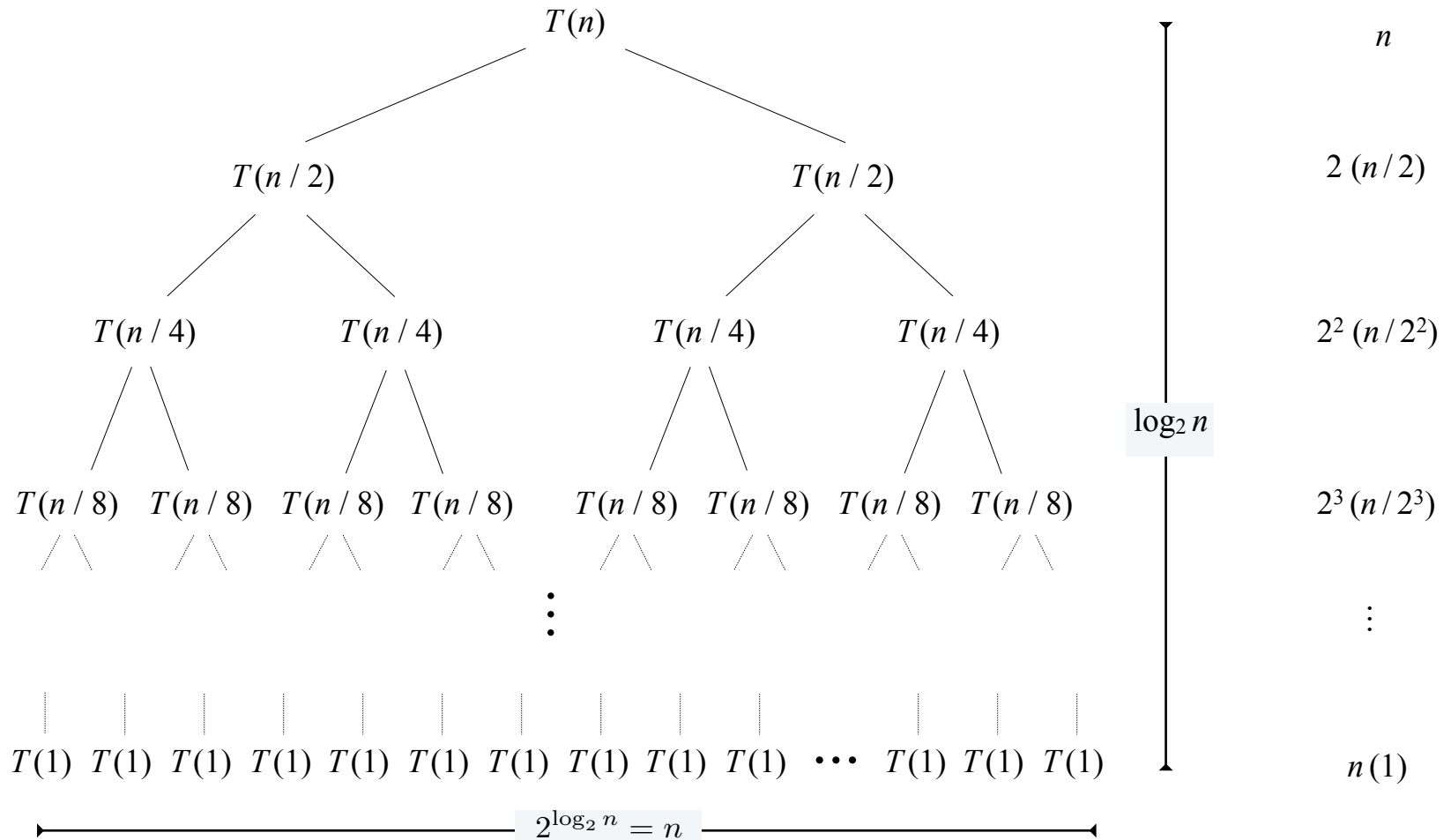
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$r = 1$

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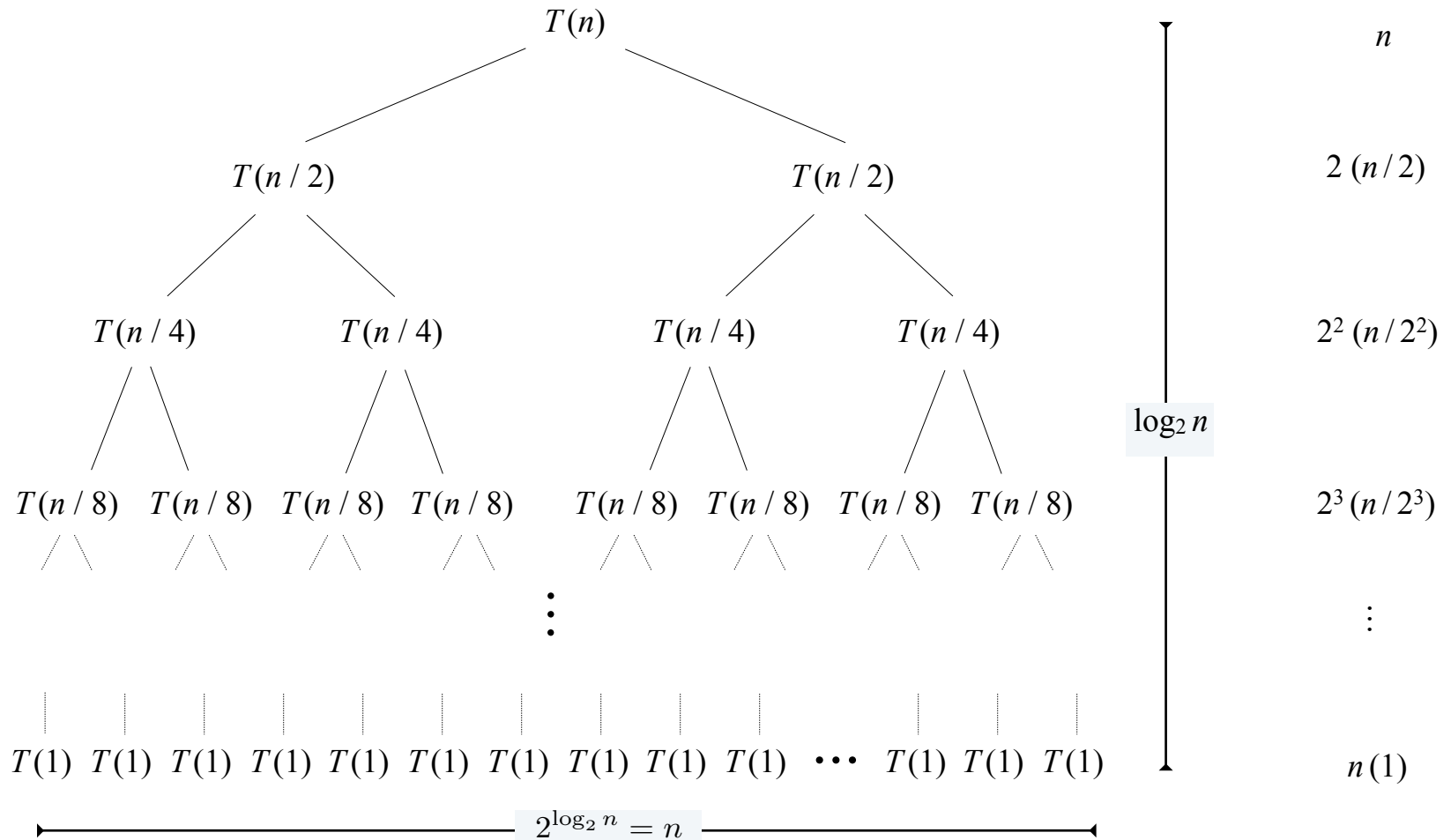


$r = 1$

$$T(n) = (1 + r + r^2 + r^3 + \dots + r^{\log_2 n}) n$$

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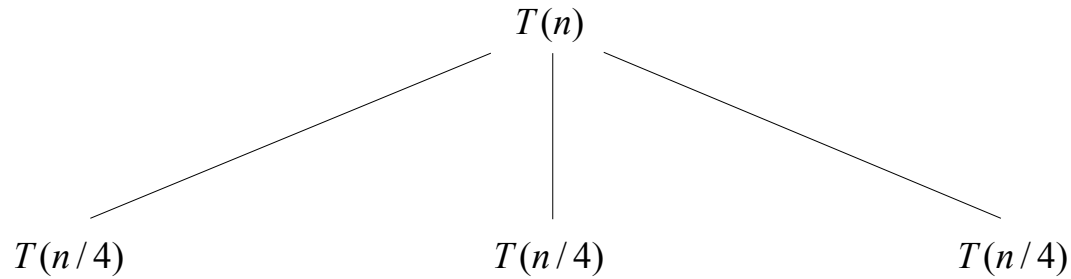
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$$T(n)$$

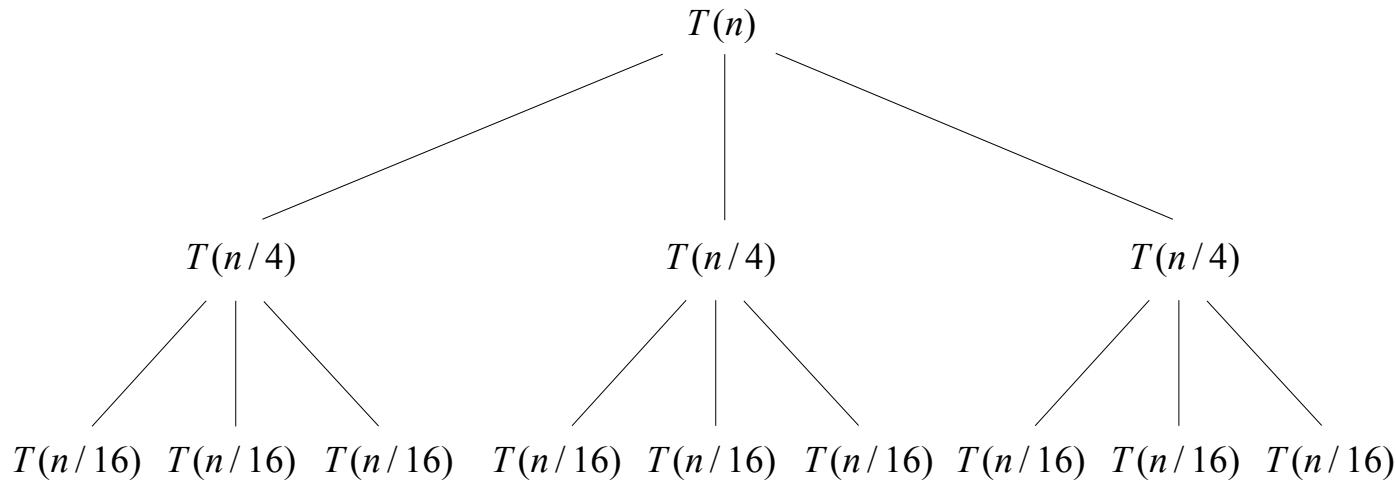
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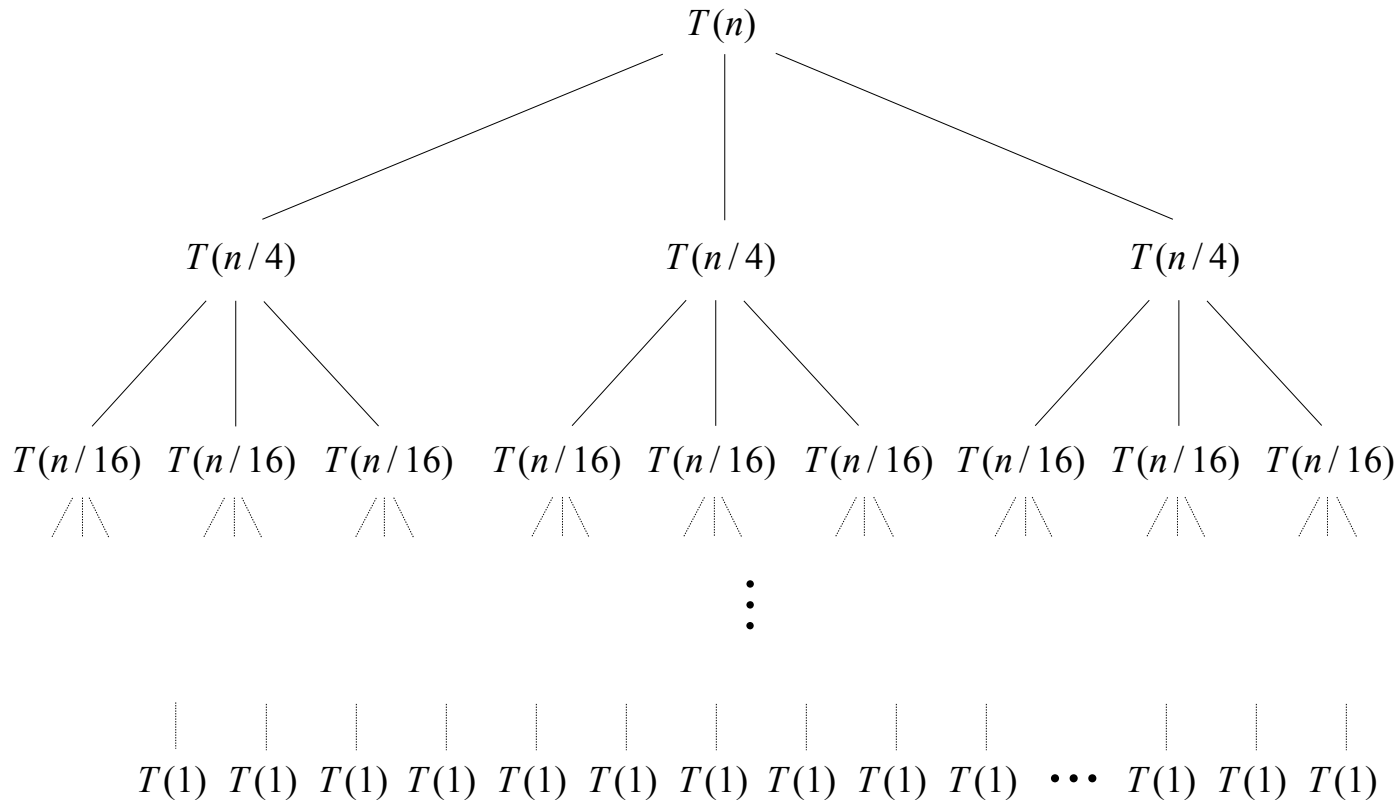
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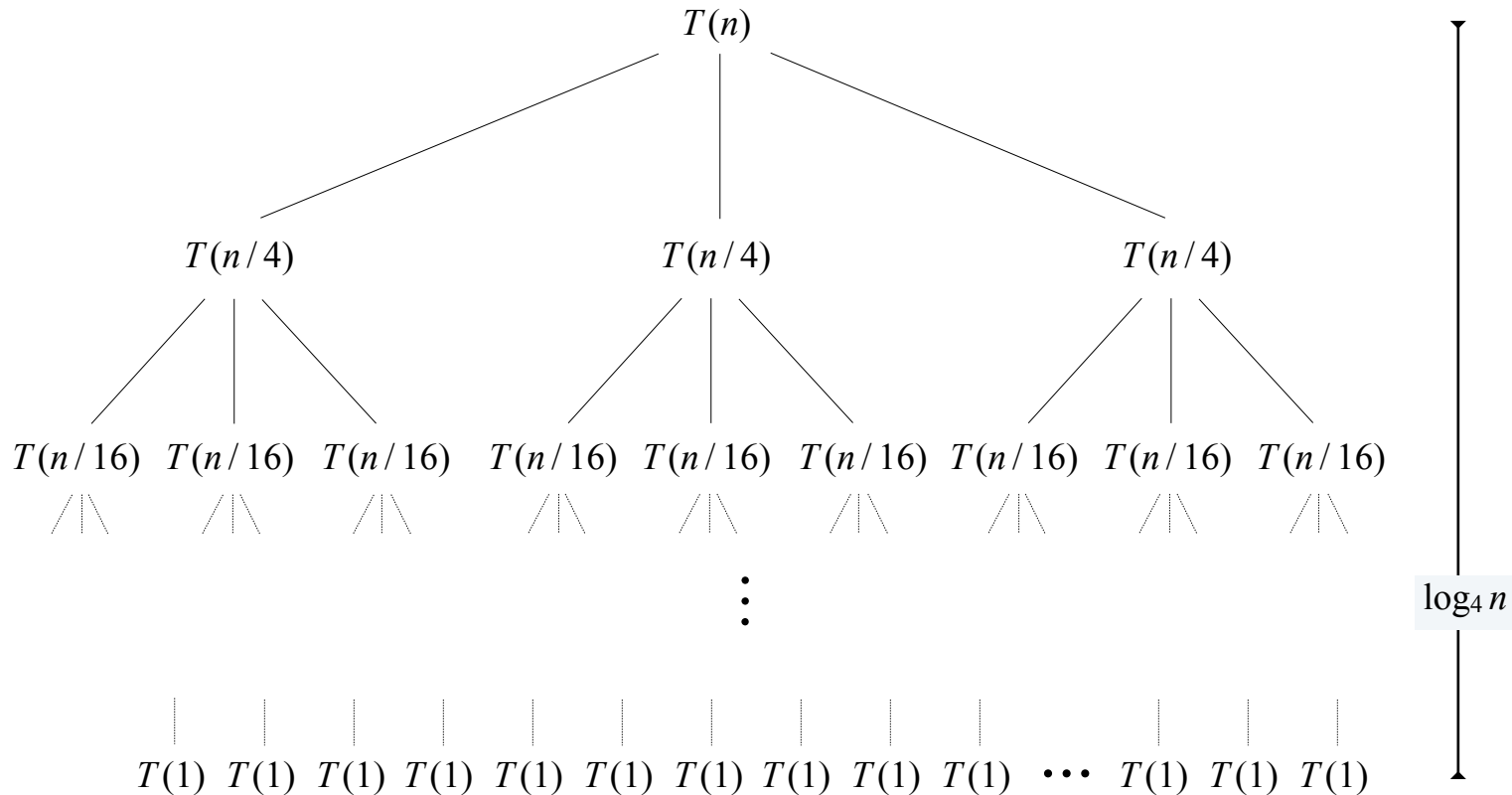
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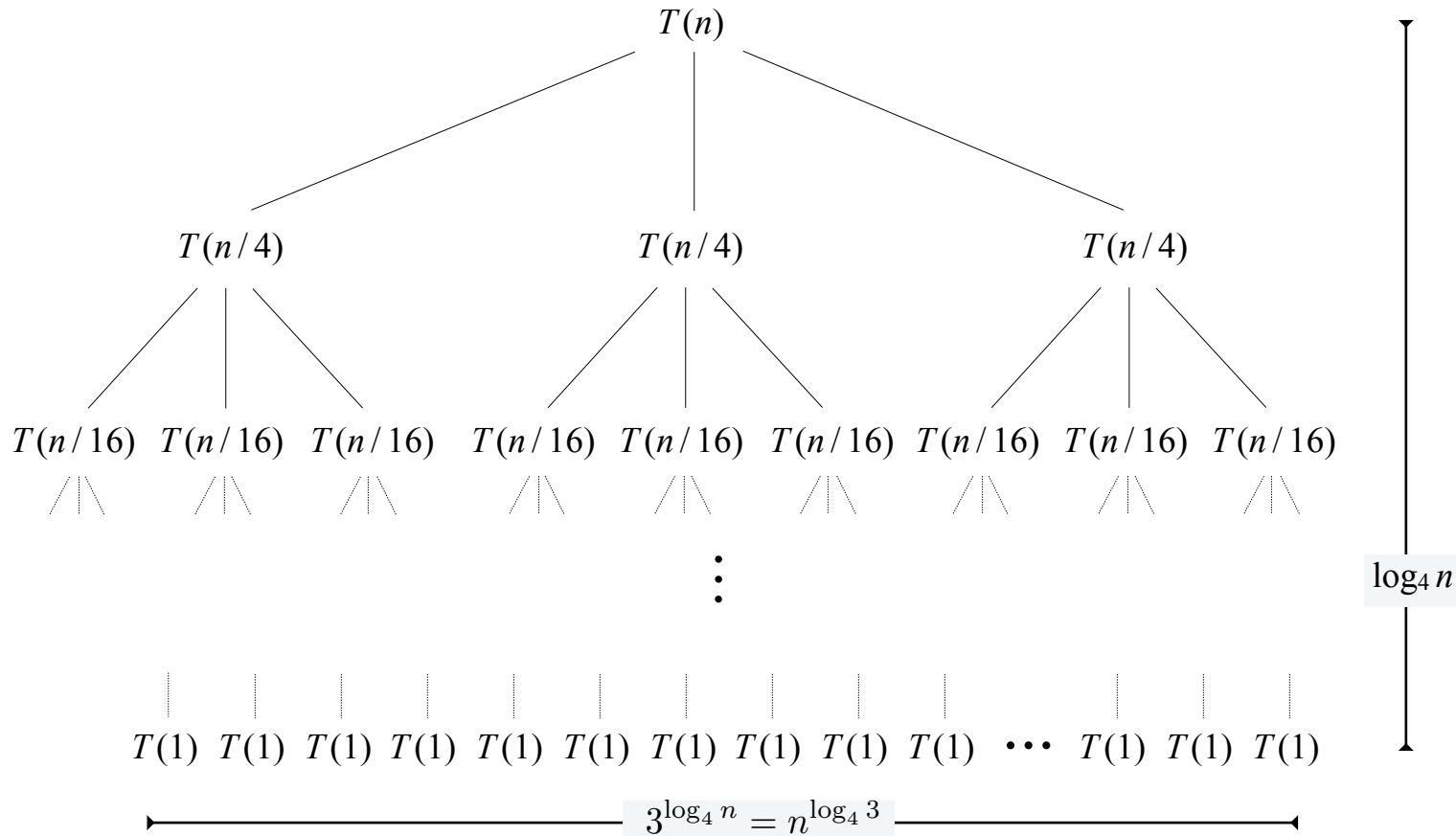
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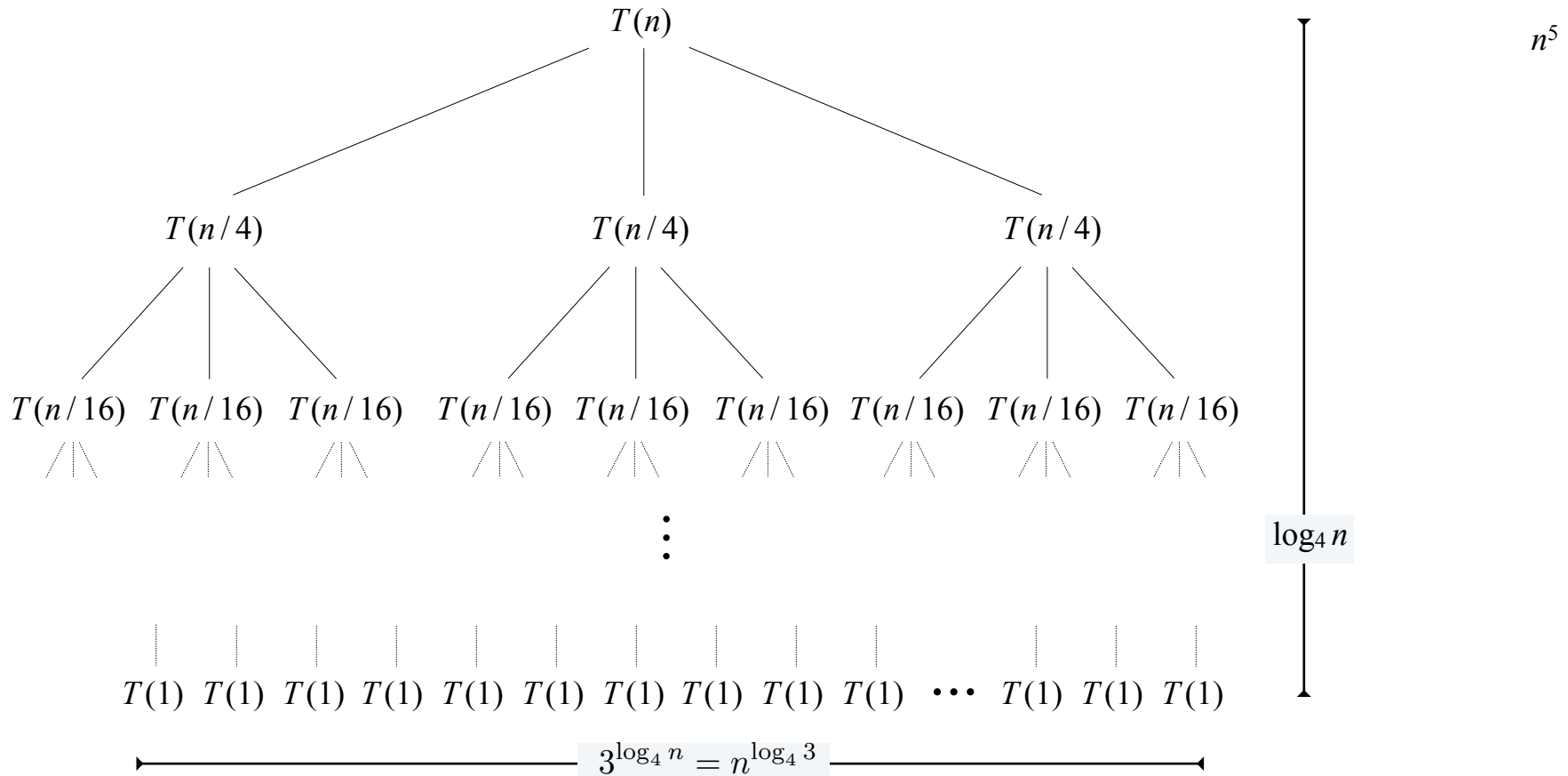
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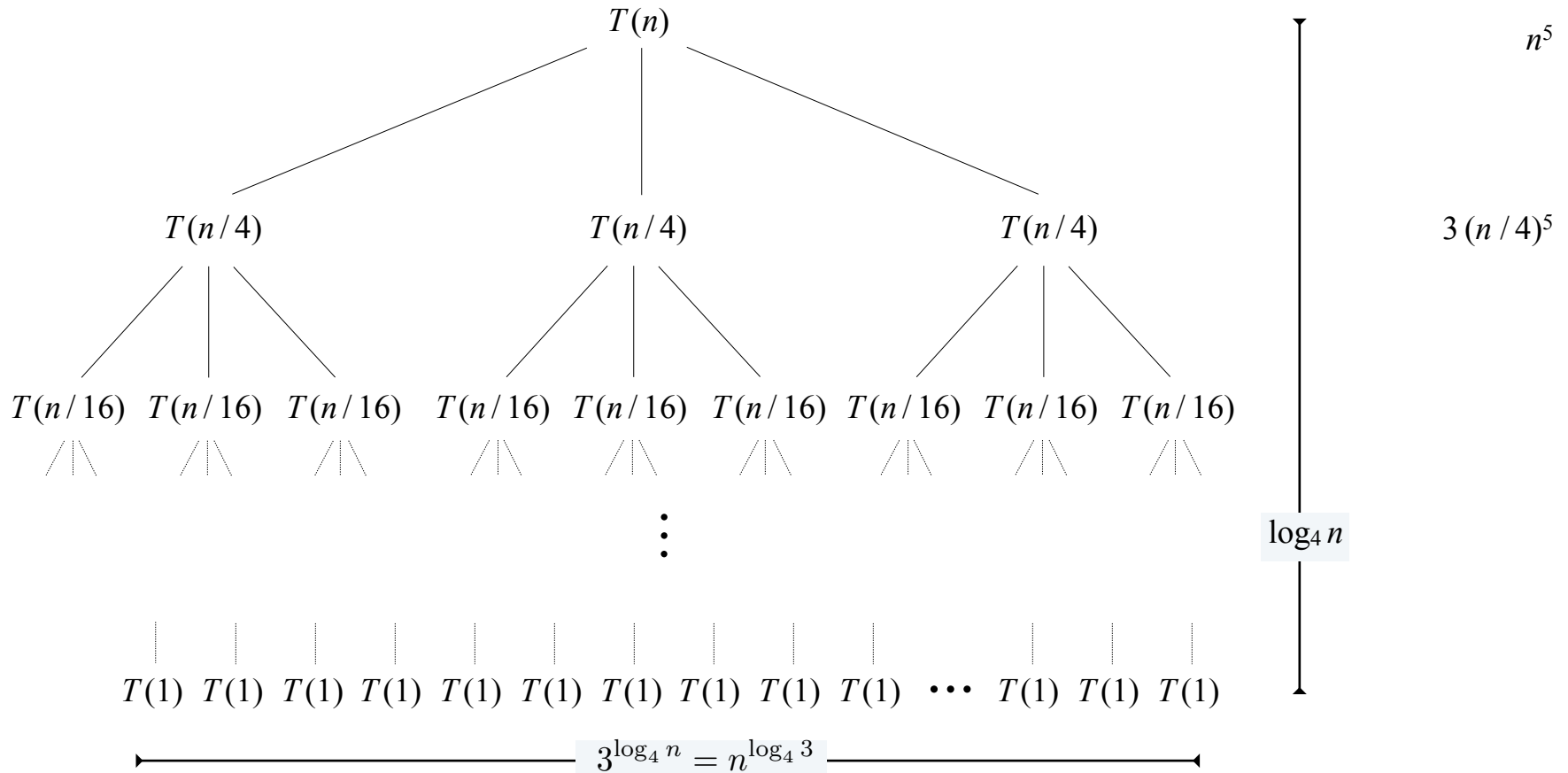
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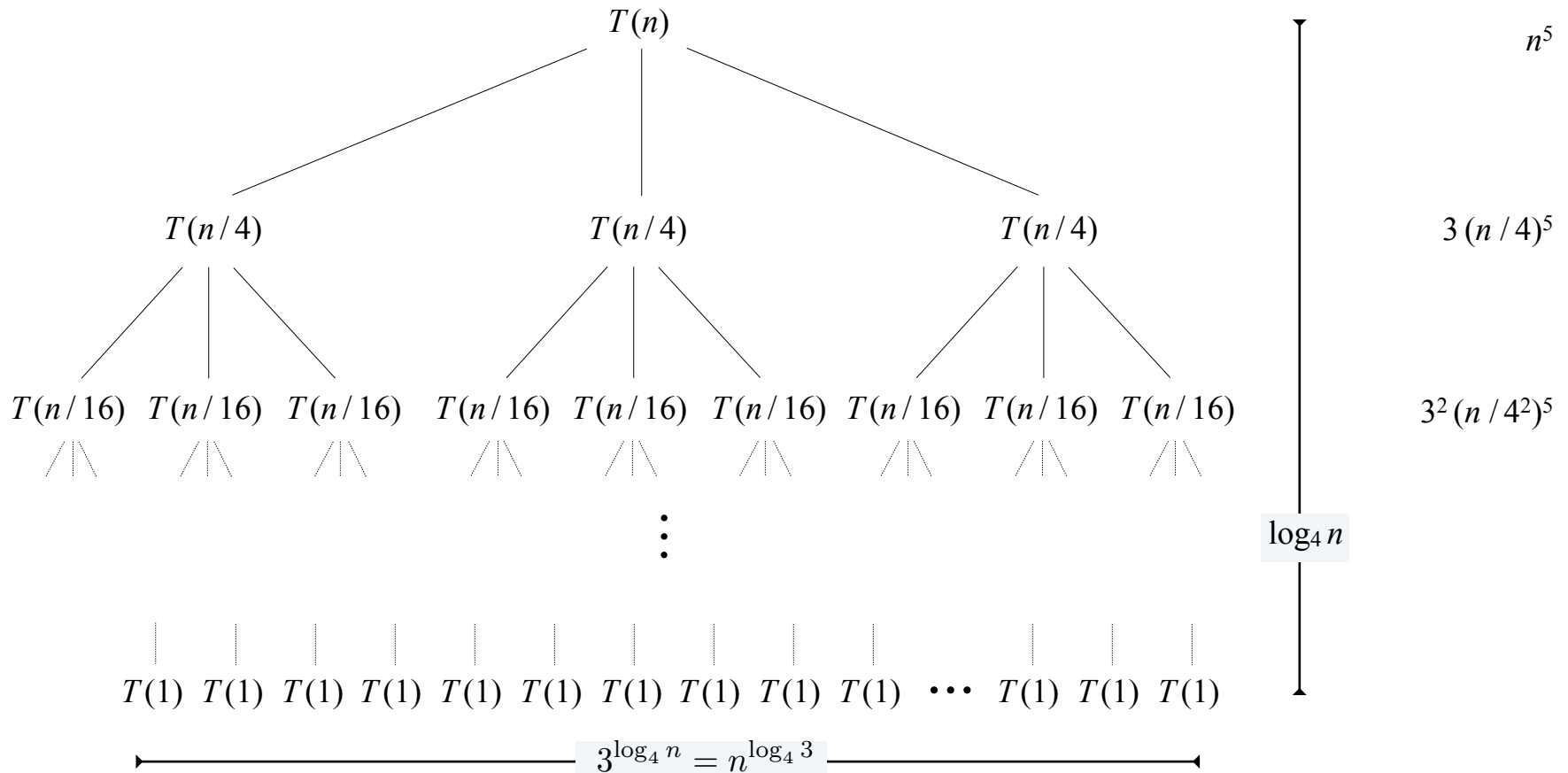
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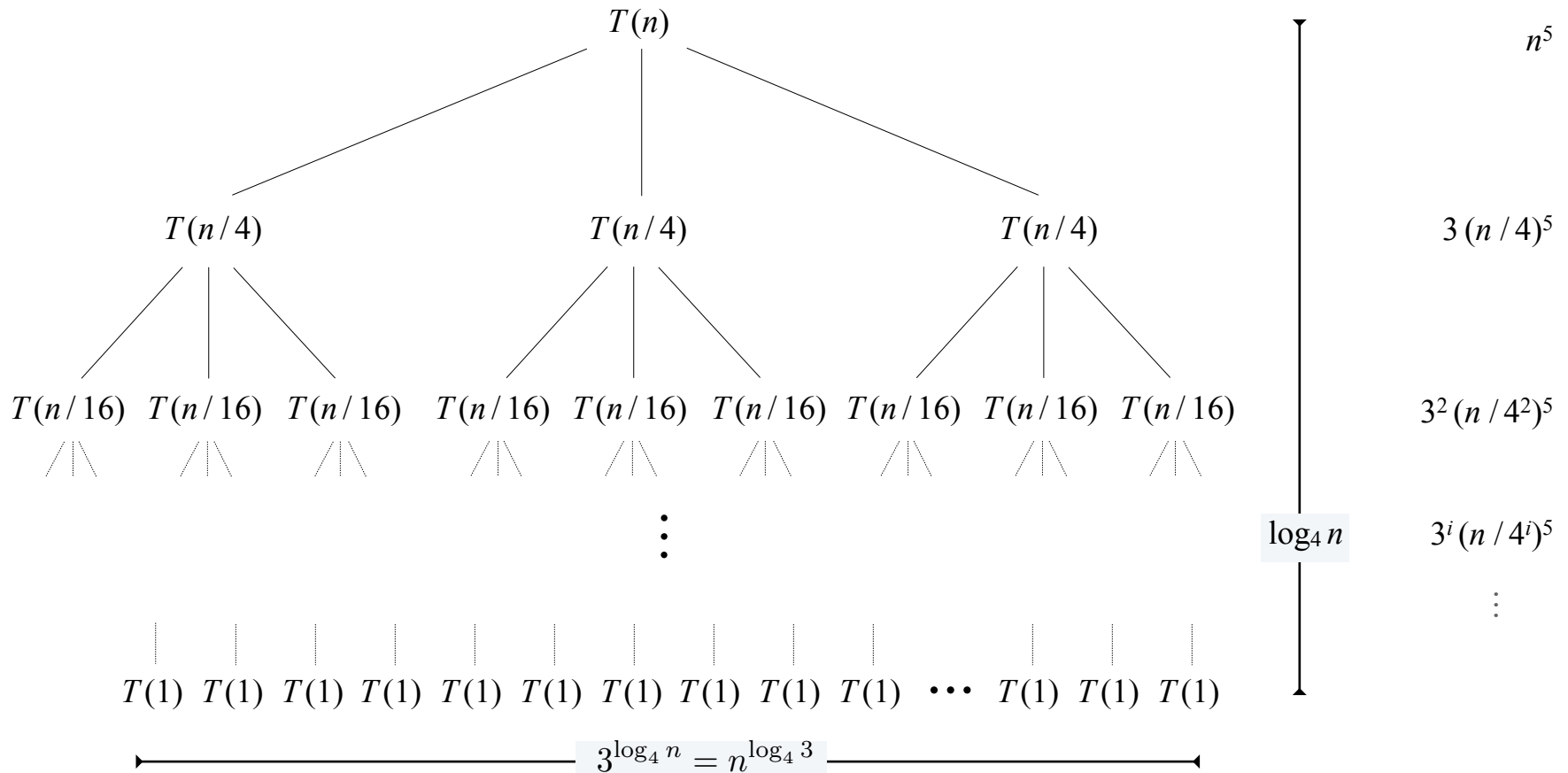
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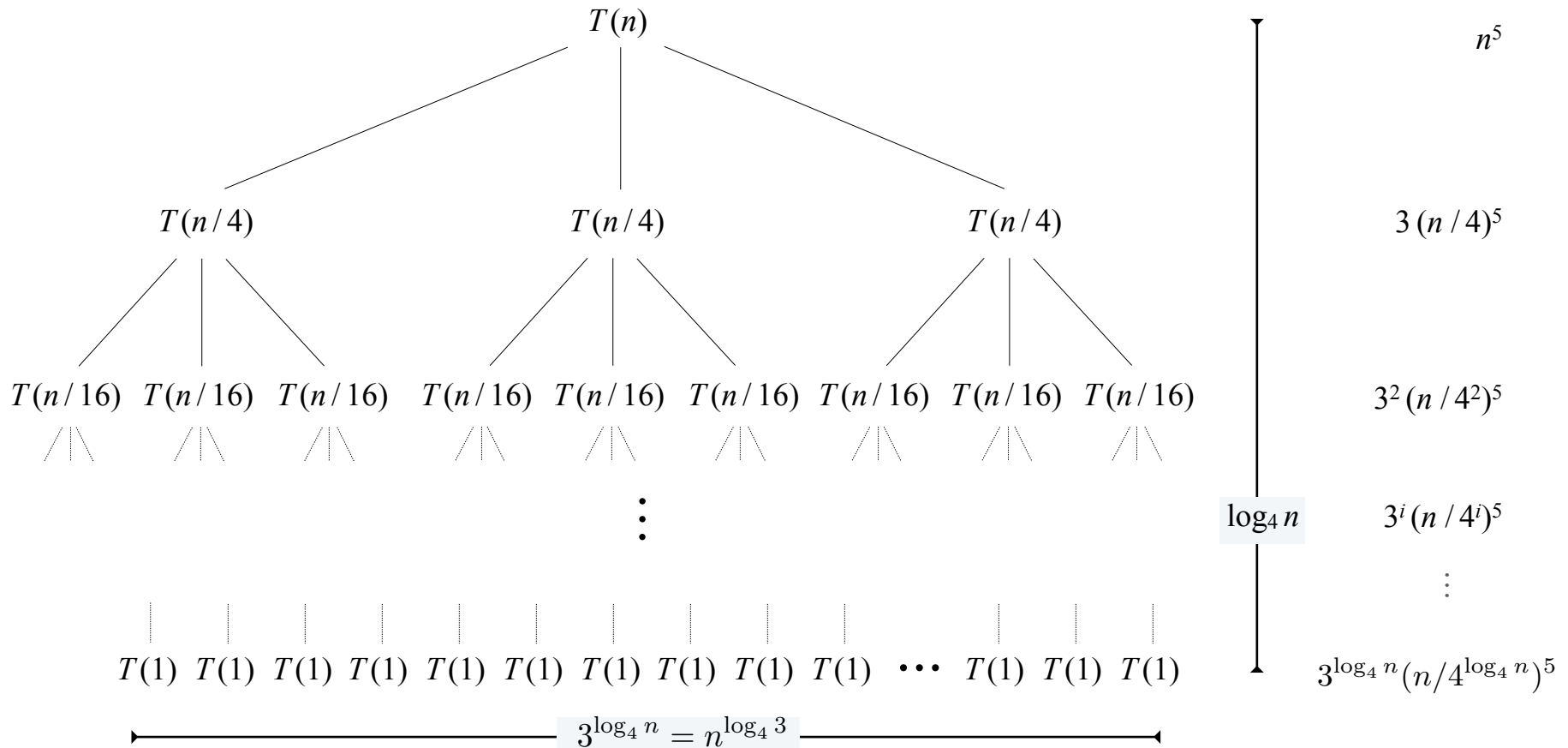
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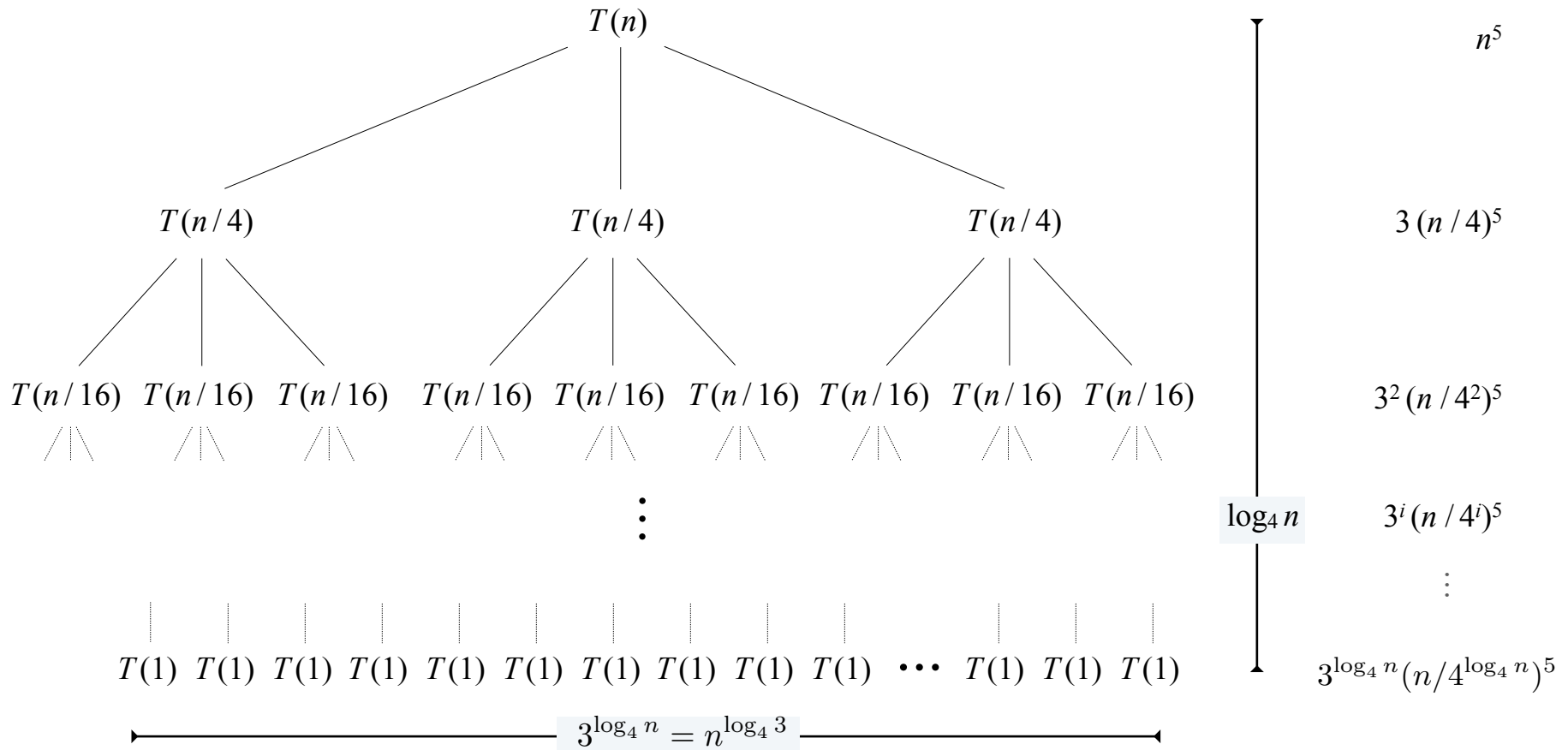
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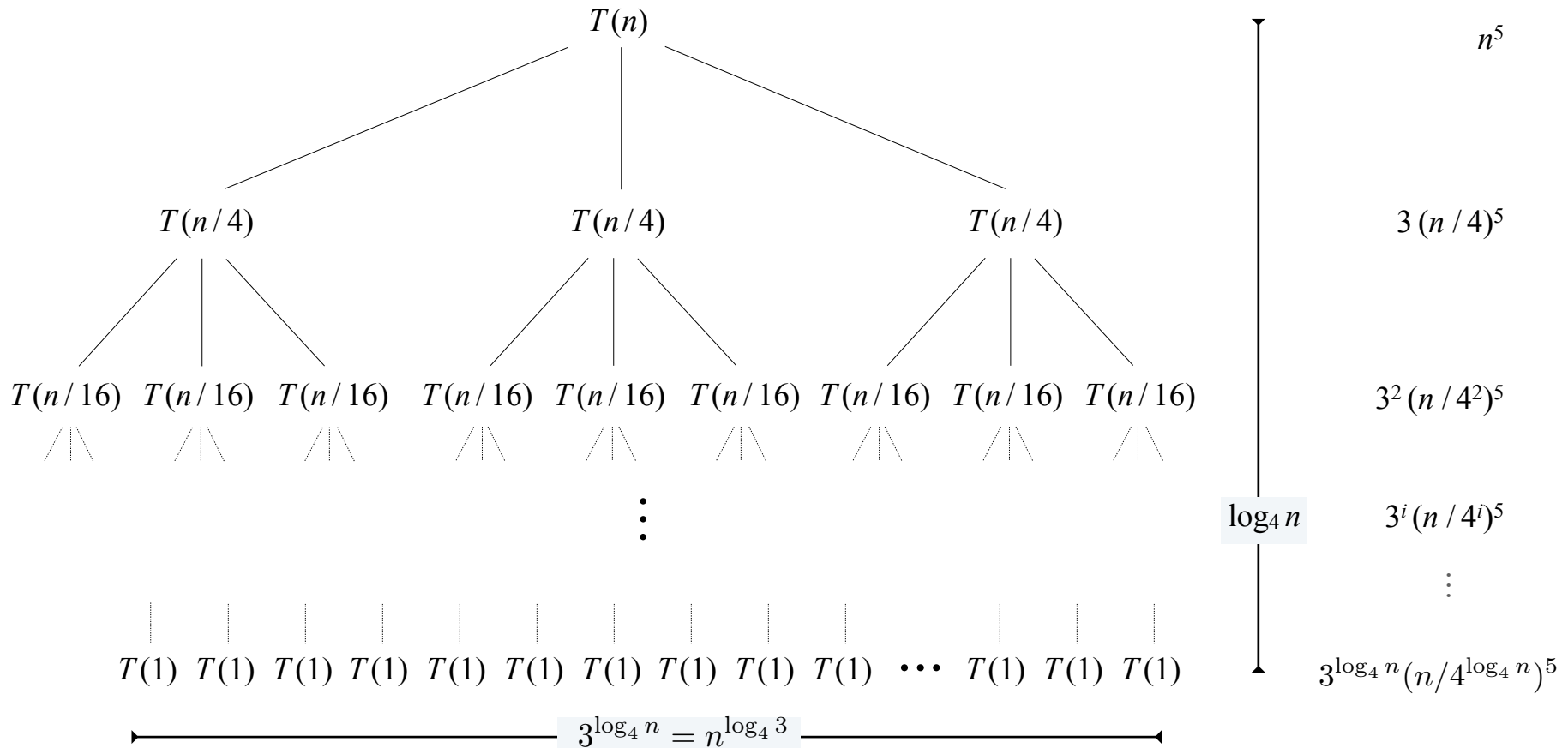
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$$r = 3 / 4^5 < 1$$

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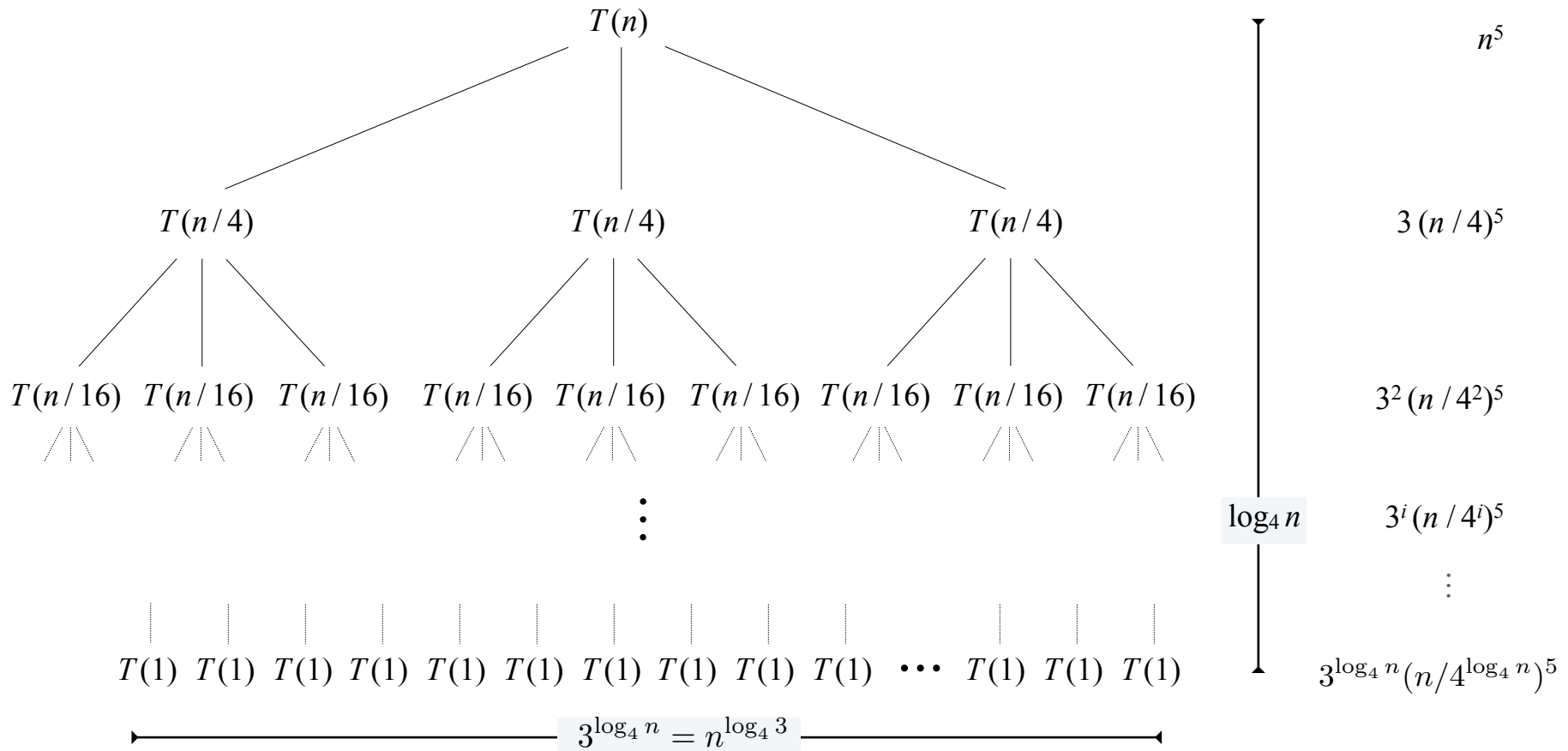
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Master theorem

Master theorem. Suppose that $T(n)$ is a function on the nonnegative integers satisfying the recurrence

$$T(n) = a T\left(\frac{n}{b}\right) + f(n)$$

(n/b means either $\lfloor n/b \rfloor$ or $\lceil n/b \rceil$). Let $k = \log_b a$.

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Proof sketch: Recursion tree, case analysis.

Plan for Today

Master theorem

Integer multiplication

Exponentiation

Basic arithmetic ops

Addition

INPUT: Two n-bit numbers a , b in binary

OUTPUT: $(a + b)$ in binary format.

	1	1	0	1	0	1	0	1
+	0	1	1	1	1	1	0	1
<hr/>								

Basic arithmetic ops

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Grade-school algorithm:

Basic arithmetic ops

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								0

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Basic arithmetic ops

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							1	
		1	1	0	1	0	1	0
		0	1	1	1	1	0	1
							1	0

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Basic arithmetic ops

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						0	1	
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	1	0	1	0	1	0	0	1	0

Grade-school algorithm: $O(n)$ operations.

Basic arithmetic ops

Subtraction

INPUT: Two n-bit numbers a , b in binary

OUTPUT: $(a - b)$ in binary format.

	1	1	0	1	0	1	0	1
+	0	1	1	1	1	1	0	1
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Basic arithmetic ops

Subtraction

INPUT: Two n-bit numbers a , b in binary

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$$\begin{array}{r} \\ \\ + \\ \hline \end{array}$$

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Grade-school algorithm: $O(n)$ operations.

Basic arithmetic ops

Addition

INPUT: Two n-bit numbers a , b in binary

OUTPUT: $(a + b)$ in binary format.

Subtraction

INPUT: Two n-bit numbers a , b in binary

OUTPUT: $(a - b)$ in binary format.

Grade-school algorithms: $\Theta(n)$ operations.
Asymptotically optimal.

Basic arithmetic ops

Multiplication

INPUT: Two n-bit numbers a , b in binary

OUTPUT: $(a \times b)$ in binary format.

	1	1	0	1	0	1	0	1
x	0	1	1	1	1	1	0	1
<hr/>								
	?	?	?	?	?	?	?	?

Grade-school algorithm:

Basic arithmetic ops

Multiplication

INPUT: Two n -bit numbers a , b in binary

OUTPUT: $(a \times b)$ in binary format.

	1	1	0	1	0	1	0	1
x	0	1	1	1	1	1	0	1
<hr/>								
	?	?	?	?	?	?	?	?

Grade-school algorithm: $O(n^2)$ operations.

Basic arithmetic ops

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Conjecture [Kolmogorov 1952]: This is optimal!

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Theorem [Karatsuba 1960]: Conjecture false!

Divide-and-conquer multiplication



Divide-and-conquer multiplication

I. Divide **x** and **y** into high and low-order bits



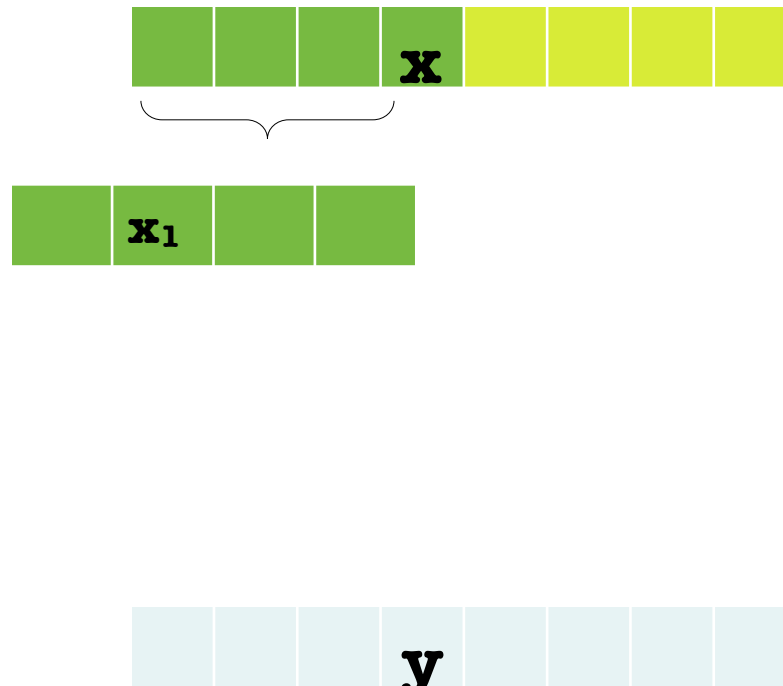
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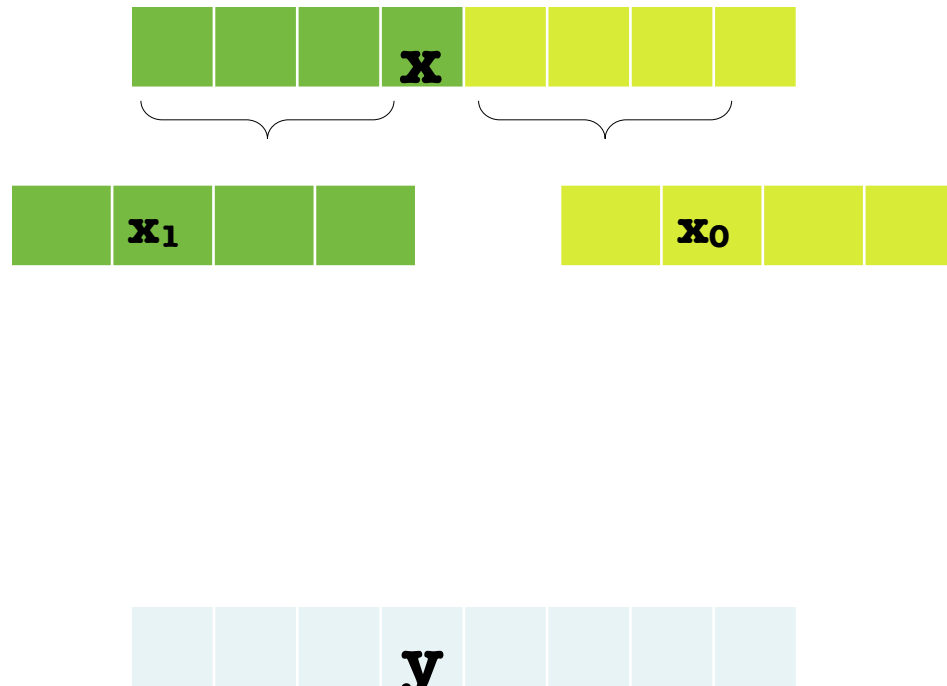
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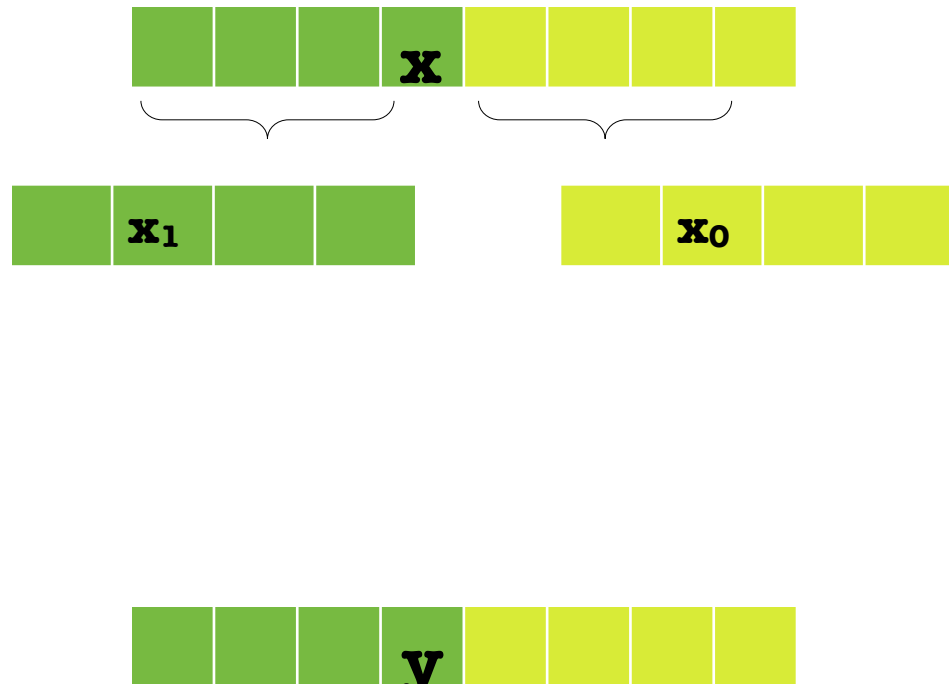
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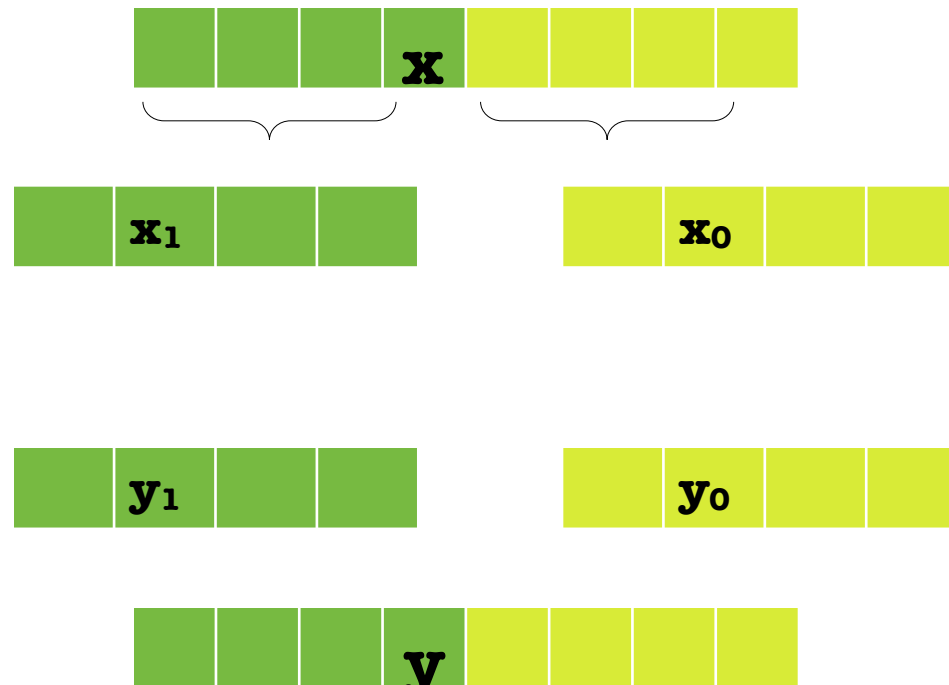
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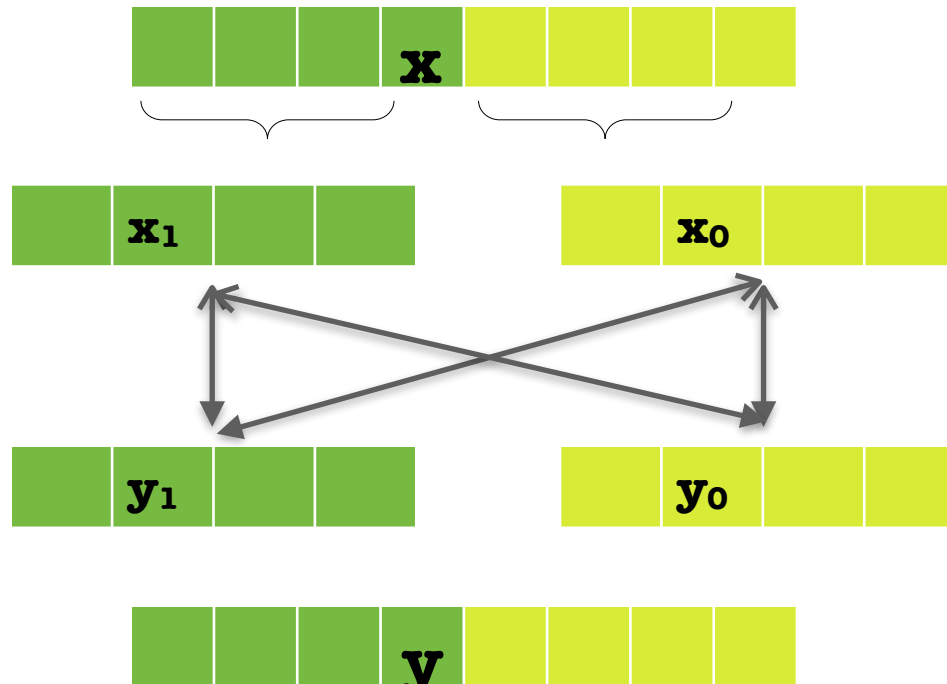
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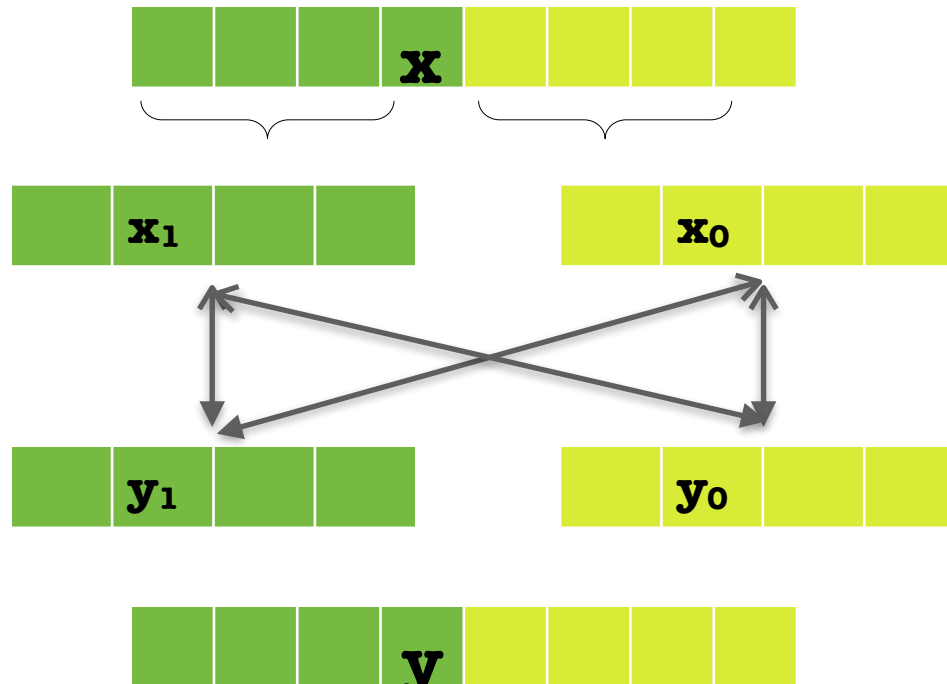
Divide-and-conquer multiplication

1. Divide \mathbf{x} and \mathbf{y} into high and low-order bits
2. Multiply **four** $(n/2)$ -bit integers recursively.



Divide-and-conquer multiplication

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Ex. $x = \underbrace{1\ 0\ 0\ 0}_{x_1} \underbrace{1\ 1\ 0\ 1}_{x_0} \quad y = \underbrace{1\ 1\ 1\ 0}_{y_1} \underbrace{0\ 0\ 0\ 1}_{y_0}$

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Proposition. The divide-and-conquer multiplication algorithm requires $\Theta(n^2)$ bit operations to multiply two n -bit integers.

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Much ado about nothing??

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$$= 2^n(x_1 \cdot y_1) + 2^{n/2}((x_0 \cdot y_1) + (x_1 \cdot y_0)) + (x_0 \cdot y_0)$$

Karatsuba's trick: Four for the product of three!

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All four multiplications, for only three multiplications!

Karatsuba's algorithm

KARATSUBA-MULTIPLY(x, y, n)

1. IF($n=1$): RETURN $x \times y$.
2. ELSE:
 - (a) $m = \lceil n/2 \rceil$. Set $x = 2^m x_1 + x_0$.
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number of bits

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Left-half of x

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(f) RETURN $2^{2m} t_1 + 2^m (t_{10} - t_1 - t_0) + t_0$

Karatsuba multiplication analysis

Proposition. Karatsuba's algorithm requires $O(n^{1.585})$ bit operations to multiply two n -bit integers.

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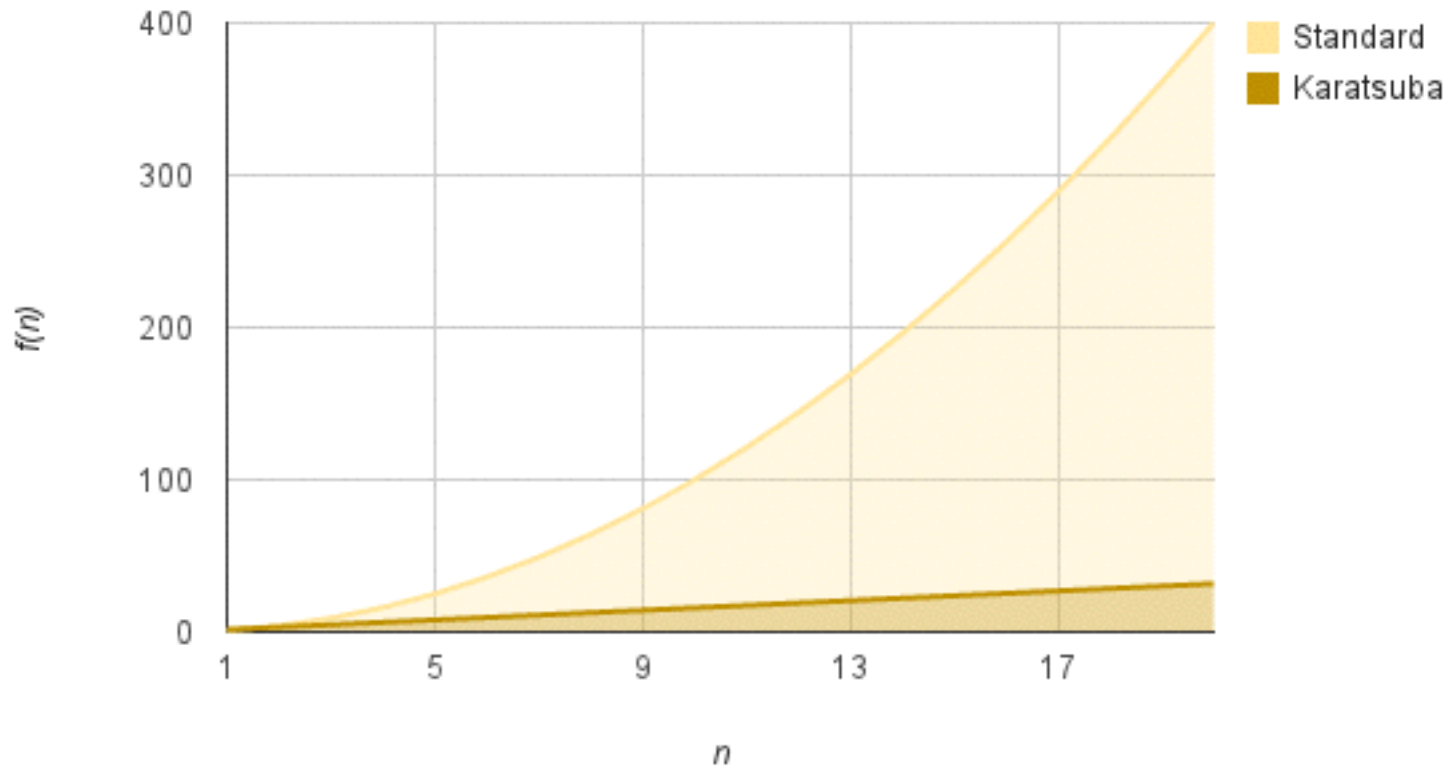
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Practice. Faster than grade-school algorithm for about 320-640 bits.

Karatsuba multiplication analysis



n^2 grows much quicker than $n^{1.585}$!

History of integer multiplication

year	algorithm	order of growth
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GNU Multiple Precision Library uses one of five different algorithm depending on size of operands.

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GNU Multiple Precision Library uses one of five different algorithm depending on size of operands.

Used in maple, mathematica, matlab, crypto, ...



Plan for Today

Master theorem

Integer multiplication

Exponentiation

Plan for Today

Master theorem

Integer multiplication

Exponentiation : Very useful in number theory,
Cryptography

Basic arithmetic ops

Exponentiation

INPUT: Given two numbers a, n

OUTPUT: a^n in binary format.

Example: $a = 11(3), n = 10$.

1110011010101001

Absolutely critical in cryptography.

Basic arithmetic ops

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INPUT: Given two numbers a, n

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NAIVE-EXPONENTIATE(a, n)

Basic arithmetic ops

Exponentiation

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1. SET $A = 1$.
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Let us specialize to $a = 3$.

Exponentiation

Exponentiation
INPUT: Given n
OUTPUT: 3^n in binary format.

Exponentiation

Exponentiation

INPUT: Given n

OUTPUT: 3^n in binary format.

$$n=1: 3^1 = 11$$

$$n=2: 3^2 = 1001$$

$$n=3: 3^3 = 11011$$

$$n=4: 3^4 = 1010001$$

$$n=5: 3^5 = 11110011$$

$$n=6: 3^6 = \dots$$

Exponentiation

Exponentiation

INPUT: Given n

OUTPUT: 3^n in binary format.

NAIVE-EXPONENTIATE($3, n$)

1. SET $A_0 = 1$.
2. FOR $i = 1 : n$,
SET $A_i = 3 \cdot A_{i-1}$.
3. RETURN A_n .

What is the running-time?

Exponentiation

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$O(1)$

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3. RETURN A_n .

Time in i 'th iteration?

What is the running-time?

Exponentiation: Running-time

Exponentiation

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$O(\# \text{ bits in } A_{i-1})$

What is the running-time?

Exponentiation: Running-time

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 $= O(i)$.

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Exponentiation: Running-time

$$\begin{aligned}\text{Running time} &= O(1) + O(1 + 2 + \cdots + n) \\ &= O(1) + O(n(n+1)/2) \\ &= O(n^2).\end{aligned}$$

NAIVE-EXPONENTIATE(3,N)

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3. RETURN A_n .

$O(\# \text{ bits in } A_{i-1})$
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Proposition: Naive-Exponentiate runs in $O(n^2)$ time.

NAIVE-EXPONENTIATE(3,N)

1. SET $A_0 = 1$.
2. FOR $i = 1 : n$,
 SET $A_i = 3 \cdot A_{i-1}$.
3. RETURN A_n .

Exponentiation: Running-time

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Can we do better?

YES WE CAN!

Fast exponentiation

Exponentiation

INPUT: Given n

OUTPUT: 3^n in binary format.

Recursive view of algorithm:

NAIVE-EXPONENTIATE($3, n$)

1. IF $n = 1$, RETURN 3.

2. ELSE

$A_{n-1} = \text{NAIVE-EXPONENTIATE}(3, n-1)$

RETURN $3 \cdot A_{n-1}$.

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Not dividing enough!

Fast exponentiation

Divide and conquer algorithm:

EXPONENTIATE(3,N)

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How to multiply?

Fast exponentiation

Divide and conquer algorithm:

EXPONENTIATE(3,N)

1. IF $n = 1$, RETURN 3.
2. ELSE
 - (a) SET $A_\ell = \text{EXPONENTIATE}(3, \lfloor n/2 \rfloor)$.
 - (b) SET $A_r = \text{EXPONENTIATE}(3, \lceil n/2 \rceil)$.
 - (c) RETURN KARATSUBA-MULTIPLY(A_ℓ, A_r).

Fast exponentiation

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 - (a) SET $A_\ell = \text{EXPONENTIATE}(3, \lfloor n/2 \rfloor)$.
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What is the running time?

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Is this the best or can we do better?

Fast exponentiation

Divide and conquer algorithm:

EXPONENTIATE(3,N)

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(a) SET $A_\ell = \text{EXPONENTIATE}(3, \lfloor n/2 \rfloor)$.

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(c) RETURN KARATSUBA-MULTIPLY(A_ℓ, A_r).

Do you really
need two calls?

Is this the best or can we do better?

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(a) SET $A_\ell = \text{EXPONENTIATE}(3, \lfloor n/2 \rfloor)$.

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Ex: If n even? NO!

Is this the best or can we do better?

Fast exponentiation

Divide and conquer algorithm:

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1. IF $n = 1$, RETURN 3.
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(a) SET $A_\ell = \text{EXPONENTIATE}(3, \lfloor n/2 \rfloor)$.

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(c) RETURN KARATSUBA-MULTIPLY(A_ℓ, A_r).

Ex: If n even? NO!
If n odd? No!

Is this the best or can we do better?

Fast exponentiation

Divide and conquer algorithm:

FAST-EXPONENTIATE(3,N)

1. IF $n = 1$, RETURN 3.

2. ELSE

(a) SET $A_\ell = \text{EXPONENTIATE}(3, \lfloor n/2 \rfloor)$.

(b) IF n EVEN

(c)

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 RETURN $3 \cdot \text{KARATSUBA-MULTIPLY}(A_\ell, A_\ell)$.

What is the running time?

Fast exponentiation: Running-time

$T(n)$ = Time taken on input n .

FAST-EXPONENTIATE($3, n$)

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$O(1)$

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$$T(n) = O(1) +$$

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RETURN 3·KARATSUBA-MULTIPLY(A_ℓ, A_ℓ).

Fast exponentiation: Running-time

$$T(n) = O(1) + T(n/2) +$$

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$$O((\# \text{ bits in } A_\ell)^{\log_2 3})$$

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$$T(n) = T(n/2) + O(n^{\log_2 3})$$

Proposition: Fast-Exponentiate runs in $O(n^{1.585})$ time.

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$$a = 1, b = 2, f(n) = O(n^{1.585}).$$

Big improvement over quadratic!

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Summary for today

Integer multiplication

Fast exponentiation

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Integer multiplication

Fast exponentiation

**When in doubt,
Divide it up!**

General case?

Exponentiation

INPUT: Given two numbers a, n

OUTPUT: a^n in binary format.

Example: $a = 101011, n = 10?$

Absolutely critical in cryptography.

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Exponentiation

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Example: $a = 101011$, $n = 10$?

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Same algorithm!

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Divide and conquer algorithm:

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What is the running time?

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$T(n)$ = Run-time on n .
 m = # bits in a .

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