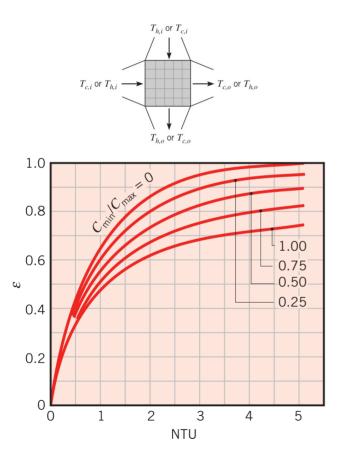
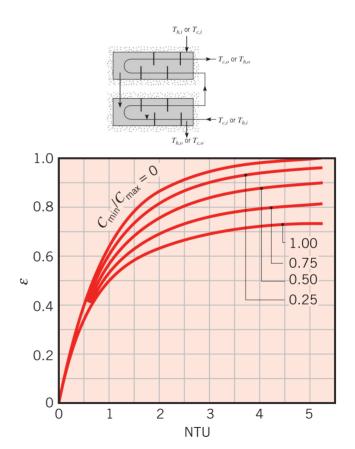
## Effectiveness NTU Method





## **Effectiveness NTU Method**

- $\varepsilon$ -NTU method arises from the limitation of the log mean temperature difference method.
- The log mean temperature difference method works well when all inlet and outlet temperatures are known.
- If only the inlet temperatures are known, an iterative method must be used.
- This is not the case with the  $\varepsilon$ -NTU method.

- To use the  $\varepsilon$ -NTU method, the effectiveness of a heat exchanger must first be defined.
- The effectiveness is given by

$$\varepsilon \equiv \frac{q}{q_{max}}$$

where q is the actual heat rate exchanged between the two fluids, and  $q_{\max}$  is the maximum heat rate that could be exchanged between the two fluids.

• To determine the maximum heat rate that could be exchanged, a couple of different cases need to be considered.

- First, let's consider an infinitely long counter flow heat exchanger.
- Second, consider the case where the heat capacity of the cold fluid is lower than the hot fluid (i.e.  $C_c < C_b$ ).
- For a long enough length, the cold fluid outlet temperature  $T_{c,o}$  will be equal to the hot fluid inlet temperature  $T_{h,i}$ .
- Thus the maximum heat rate would be given by  $q_{max} = C_c(T_{h,i} T_{c,i})$
- For the case where the heat capacity of the hot fluid is lower than the cold fluid (i.e.  $C_h < C_c$ ), the maximum heat rate is given by  $q_{max} = C_h (T_{h,i} T_{c,i})$

 Since the maximum heat rate is dependent on the minimum heat capacity, the maximum heat rate is typically defined as

$$q_{max} = C_{min} \left( T_{h,i} - T_{c,i} \right)$$
 where  $C_{min}$  is given by 
$$C_{min} = min \left( C_c, C_h \right) = \begin{cases} C_c, C_c < C_h \\ C_h, C_h < C_c \end{cases}$$

• Thus for a particular heat exchanger, the effectiveness is given by

$$\varepsilon = \frac{C_h(T_{h,i} - T_{h,o})}{C_{min}(T_{h,i} - T_{c,i})} \qquad \qquad \varepsilon = \frac{C_c(T_{c,o} - T_{c,i})}{C_{min}(T_{h,i} - T_{c,i})}$$

The actual heat can be written as

$$q = \varepsilon C_{min} (T_{h,i} - T_{c,i})$$

For any heat exchanger it may be shown that

$$\varepsilon = f\left(NTU, \frac{C_{min}}{C_{max}}\right) = f\left(NTU, C_r\right)$$

where NTU is the number of transfer units.

• NTU is defined as

$$NTU \equiv \frac{UA}{C_{min}}$$

 Expressions have been developed relating the two for different types of heat exchangers.

# **Heat Exchanger Effectiveness Relations**

### Table 12.1

Parallel ow	Relation		
	$\varepsilon = \frac{1 - \exp\left[-\text{NTU}(1 + C_r)\right]}{1 + C_r}$		
Counterow	$\varepsilon = \frac{1 - \exp[-\text{NTU}(1 - C_r)]}{1 - C_r \exp[-\text{NTU}(1 - C_r)]}$	$(C_r < 1)$	
	$\varepsilon = \frac{\text{NTU}}{1 + \text{NTU}}$	$(C_r = 1)$	

#### Shell-and-tube

One shell pass (2, 4, . . . tube passes) 
$$\varepsilon_{1} = 2 \left\{ 1 + C_{r} + (1 + C_{r}^{2})^{1/2} \times \frac{1 + \exp\left[-(NTU)_{1}(1 + C_{r}^{2})^{1/2}\right]}{1 - \exp\left[-(NTU)_{1}(1 + C_{r}^{2})^{1/2}\right]} \right\}^{-1}$$

$$n \text{ shell passes } (2n, 4n, \dots \text{ tube passes}) \qquad \varepsilon = \left[ \left( \frac{1 - \varepsilon_{1}C_{r}}{1 - \varepsilon_{1}} \right)^{n} - 1 \right] \left[ \left( \frac{1 - \varepsilon_{1}C_{r}}{1 - \varepsilon_{1}} \right)^{n} - C_{r} \right]^{-1}$$

### Cross-ow (single pass)

Both fluids unmixed 
$$\varepsilon = 1 - \exp\left[\left(\frac{1}{C_r}\right)(\text{NTU})^{0.22}\left\{\exp\left[-C_r(\text{NTU})^{0.78}\right] - 1\right\}\right]$$
 
$$C_{\text{max}} \text{ (mixed), } C_{\text{min}} \text{ (unmixed)}$$
 
$$\varepsilon = \left(\frac{1}{C_r}\right)(1 - \exp\left\{-C_r[1 - \exp\left(-\text{NTU}\right)]\right\})$$
 
$$C_{\text{min}} \text{ (mixed), } C_{\text{max}} \text{ (unmixed)}$$
 
$$\varepsilon = 1 - \exp\left(-C_r^{-1}\{1 - \exp\left[-C_r(\text{NTU})\right]\}\right)$$
 
$$\varepsilon = 1 - \exp\left(-\text{NTU}\right)$$
 
$$\varepsilon = 1 - \exp\left(-\text{NTU}\right)$$

## Heat Exchanger NTU Relationships

### **Table 12.2**

### Flow Arrangement

### Relation

$$NTU = -\frac{\ln\left[1 - \varepsilon(1 + C_r)\right]}{1 + C_r}$$

$$NTU = \frac{1}{C_r - 1} \ln \left( \frac{\varepsilon - 1}{\varepsilon C_r - 1} \right) \qquad (C_r < 1)$$

$$NTU = \frac{\varepsilon}{1 - \varepsilon} \qquad (C_r = 1)$$

#### Shell-and-tube

$$(NTU)_1 = -(1 + C_r^2)^{-1/2} \ln \left( \frac{E - 1}{E + 1} \right)$$

$$E = \frac{2/\varepsilon_1 - (1 + C_r)}{(1 + C_r^2)^{1/2}}$$

*n* shell passes Use Equations 11.30b and 11.30c with 
$$(2n, 4n, \dots$$
 tube passes)

$$\varepsilon_1 = \frac{F-1}{F-C_r}$$
  $F = \left(\frac{\varepsilon C_r - 1}{\varepsilon - 1}\right)^{1/n}$  NTU =  $n(\text{NTU})_1$ 

### Cross-ow (single pass)

$$C_{\text{max}}$$
 (mixed),  $C_{\text{min}}$  (unmixed)

$$NTU = -\ln\left[1 + \left(\frac{1}{C_r}\right)\ln(1 - \varepsilon C_r)\right]$$

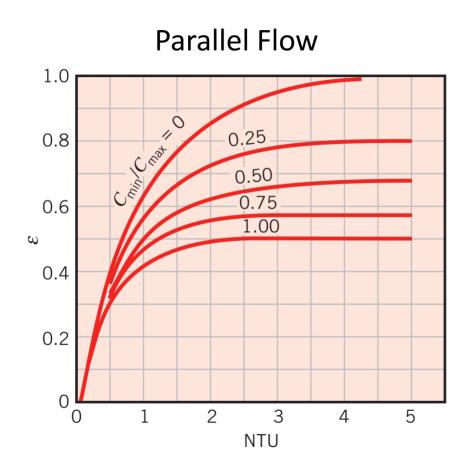
$$C_{\min}$$
 (mixed),  $C_{\max}$  (unmixed)

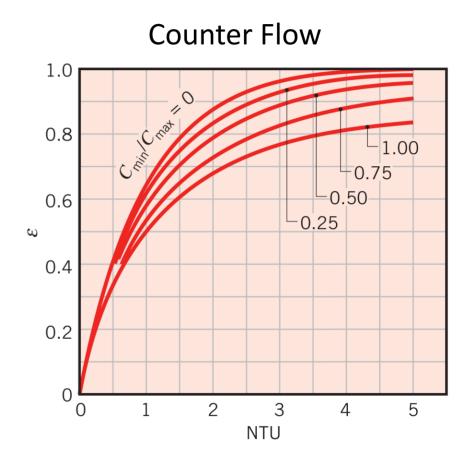
$$NTU = -\left(\frac{1}{C_r}\right) \ln[C_r \ln(1-\varepsilon) + 1]$$

All exchangers 
$$(C_r = 0)$$

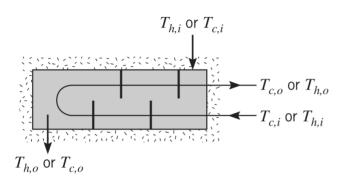
$$NTU = -\ln(1 - \varepsilon)$$

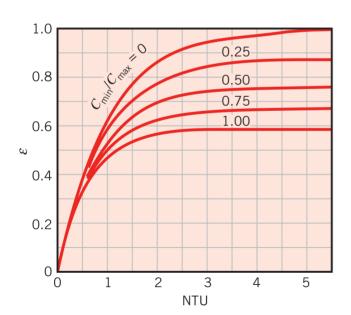
## **Effectiveness-NTU Relationships**

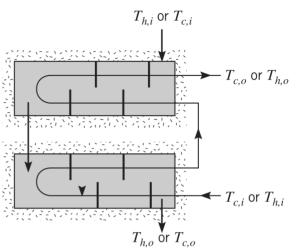


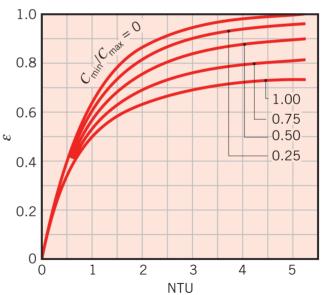


# **Effectiveness-NTU Relationships**

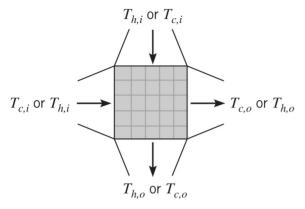


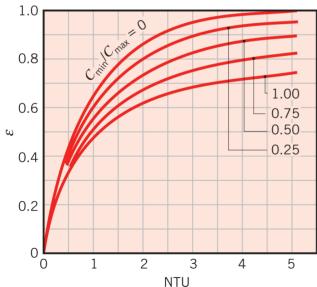


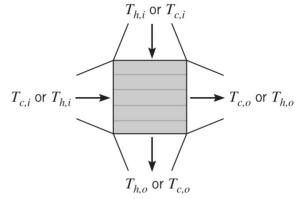


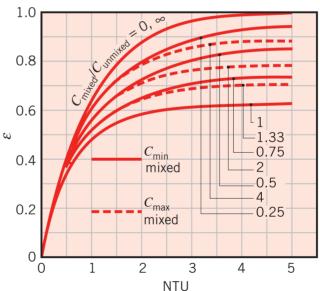


# **Effectiveness-NTU Relationships**









# Example 1

Hot exhaust gases, which enter a finned-tube, cross-flow heat exchanger at  $300~^{\circ}C$  and leave at  $100~^{\circ}C$ , are used to heat pressurized water at a flow rate of 1~kg/s from 35 to  $125~^{\circ}C$ . The overall heat transfer coefficient based on the gas-side surface area is  $U_h = 100~W/m^2K$ . Determine the required gas-side surface area  $A_h$  using the NTU method.

# Example 2

Consider the heat exchanger design from the previous example, that is, a finned-tube, cross-flow heat exchanger with a gas-side overall heat transfer coefficient and area of  $100~W/m^2K$  and  $38.23~m^2$ , respectively. The water flow rate and inlet temperature remain at 1~kg/s and  $35~^{\circ}C$ . However, a change in operating conditions for the hot gas generator causes the gases to now enter the heat exchanger with a flow rate of 1.5~kg/s and a temperature of  $250~^{\circ}C$ . What is the rate of heat transfer by the exchanger, and what are the gas and water outlet temperatures?