



# Unit 6

## Top down backtrack parsing



# Problem of parsing

*Given a Context Free Grammar  $G$  and a string  $w$ .*

*is  $w$  generated by  $G$ ?*

Most parsing methods fall into one of two classes: top down and bottom up, depend on the order in which nodes in the parse tree are constructed

W has been parsed  $\Rightarrow$  parse tree is constructed

$E \rightarrow E + T$

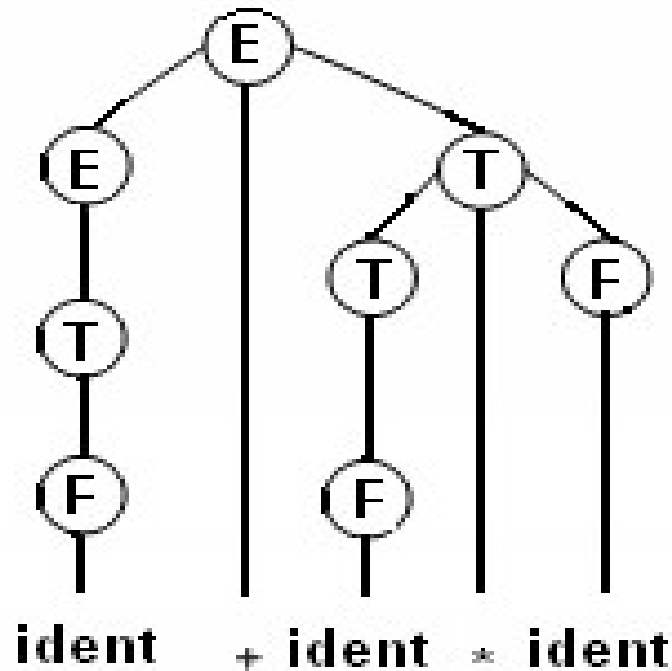
$E \rightarrow T$

$T \rightarrow T * F$

$T \rightarrow F$

$F \rightarrow ( E )$

$F \rightarrow \text{ident}$



How to express parse trees ?



# Left parse

- *Left parse of*  $\alpha$  is the sequence of productions used in left derivation of  $\alpha$  from S
- *Left parse is a string with numbers from 1 to  $p$*



# Example

- Consider grammar G, with productions are numbered

$$1.E \rightarrow T+E$$

$$2.E \rightarrow T$$

$$3.T \rightarrow F^* T$$

$$4.T \rightarrow F$$

$$5.F \rightarrow (E)$$

$$6.F \rightarrow a$$

- Left parse of string  $a^*(a+a)$  is 23645146246



# Top down backtrack parsing algorithm

- Input: Grammar  $G$ , string  $w$
- Output one left parse for  $w$  if one exists.  
The output “error” otherwise
- For each nonterminal  $A$ , order the  
alternate for  $A$ :  $A \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n$



# Description of algorithm

- Begin with a tree containing one node labeled  $S$
- $S$  is considered active node
- Other nodes are generated recursively



## Active node is label by nonterminal A

- Choose the first alternate of A:  $X_1X_2 \dots X_k$ .
- Create k direct descendants for A labeled  $X_1, X_2, \dots, X_k$ .
- Make  $X_1$  the active node
- If  $k = 0$ , (production  $A \rightarrow \varepsilon$ ) make the node immediately to the right of A active





# Active node is labeled by a terminal *a*

- Compare the current input symbol with *a*.
  - If they match, make the active node immediately to the right of *a*, move the input pointer one symbol to the right.
  - If they do not match, go back to the node where the previous production was applied.
  - Adjust the input pointer if necessary, and try the next alternate. If no alternate is possible, go back to the next previous node, and so forth.
- If the current node is the root and no alternate is possible, emit an error message.



# A stringent condition

- *Grammar  $G$  must be non left recursive to avoid a non-termination*



# Example

- Given grammar

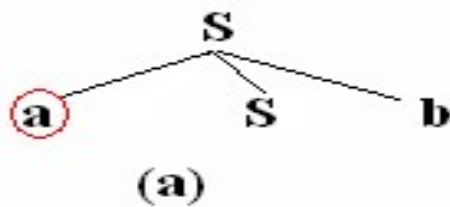
$$S \rightarrow aSb \mid c$$

productions are numbered from 1 to 2.

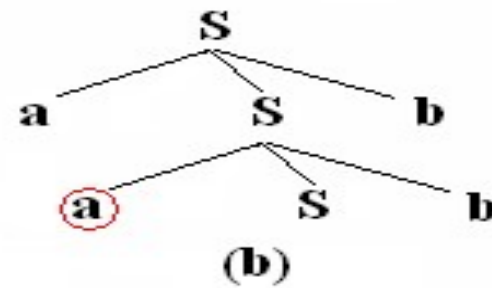
- And string  $w = aacbb$

# Build parse tree

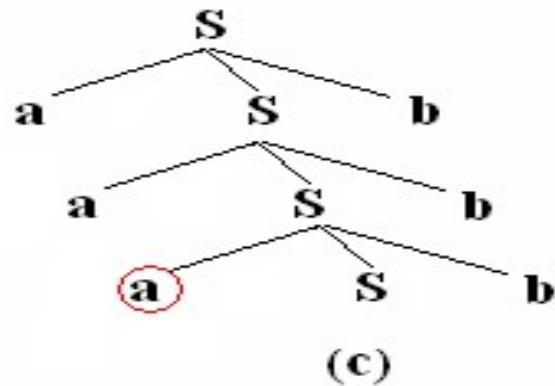
<b>a</b>	a	c	b	b	EOF
----------	---	---	---	---	-----



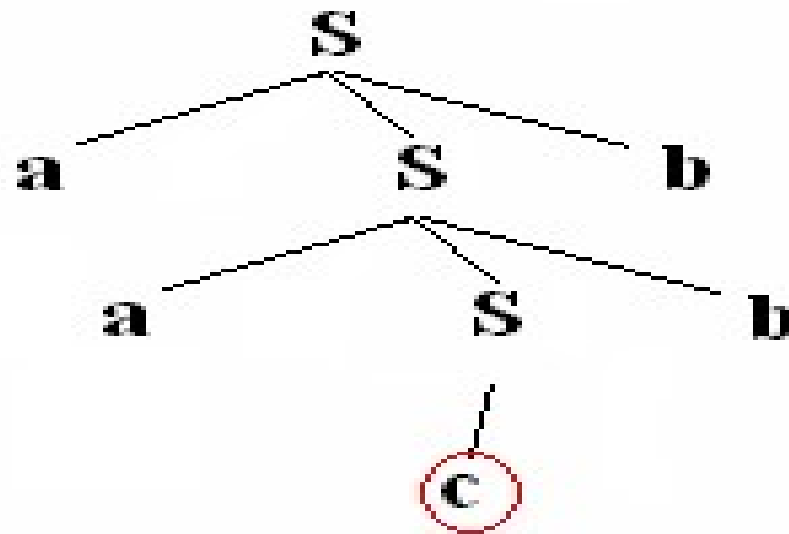
a	<b>a</b>	c	b	b	EOF
---	----------	---	---	---	-----



a	a	<b>c</b>	b	b	EOF
---	---	----------	---	---	-----



# Try another alternate



**(d)**



# The top down parsing algorithm

## ■ Input

A non-left recursive context free grammar  $G$ ,

Input string  $w = a_1 . . . . a_n, n \geq 0$

Assume the productions in  $P$  are numbered  $1, . . . q$

## ■ Output

One left parse for  $w$  if one exist.

“Error” otherwise.




# Method

- *(The algorithm uses 2 stacks  $D_1$  and  $D_2$ ).*

*$D_2$  represents the current left sentential form, which our expansion of nonterminals has produced.*

*$D_1$  represents the current history of the choices of alternates made and the input symbols over which the input head has shifted.*



(1)

- $\forall A \in N$ , if all of A-productions in P are

$$A \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_n$$

Order the alternates

$$A_1 \rightarrow \alpha_1$$

...

$$A_n \rightarrow \alpha_n$$





# Configuration of the algorithm

4-tuple  $(s, i, \alpha, \beta)$

- $s \in Q$ : the current state

- $q$ : normal operation
- $b$ : backtracking
- $t$ : terminating

- $i$  : location of the input pointer (the  $n+1$  st “input symbol” is  $\#$ , the right endmarker)

$\alpha$ : content of the first stack (D1)

$\beta$ : content of the second stack (D2)



# Execution of the algorithm

- Starting in the initial configuration, compute successive next configurations until no further configuration can be computed.
- If the last computed configuration is  $(t, n+1, \gamma, \varepsilon)$ , emit  $h(\gamma)$  and halt. Otherwise emit the error signal.



# Example

- Consider string aacbb and grammar G

$$S \rightarrow aSb$$
$$S \rightarrow c$$



# Number productions

1.  $S_1 \rightarrow aSb$
2.  $S_2 \rightarrow c$

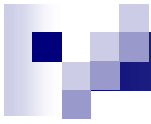


# Sequence of configurations

$(q, 1, \varepsilon, S\#)$   
|—  $(q, 1, S_1, aSb\#)$   
|—  $(q, 2, S_1a, Sb\#)$   
|—  $(q, 2, S_1aS_1, aSbb\#)$   
|—  $(q, 3, S_1aS_1a, Sbb\#)$   
|—  $(q, 3, S_1aS_1aS_1, aSbbb\#)$   
|—  $(b, 3, S_1aS_1aS_1, aSbbb\#)$   
|—  $(q, 3, S_1aS_1aS_2, cbb\#)$   
|—  $(q, 4, S_1aS_1aS_2c, bb\#)$   
|—  $(q, 5, S_1aS_1aS_2cb, b\#)$   
|—  $(q, 6, S_1aS_1aS_2cbb, \#)$   
|—  $(t, 6, S_1aS_1aS_2cbb, \varepsilon)$

# Recover the left parse

- $h(a) = \varepsilon$  for all terminal  $a$   
 $h(A_i) = p$  ,  
 $p$  is the production number associated with the production  $A \rightarrow \gamma$  and  $\gamma$  is  $i$ th alternate for  $A$
- Example : with grammar
  1.  $S_1 \rightarrow aSb$
  2.  $S_2 \rightarrow c$
- $h(S_1aS_1aS_2cbb)=112$



# Top-down parser with backtracking for KPL

- Scan the stream and find tokens
- Set of production



# From syntax diagrams to BNF

$\langle \text{program} \rangle ::= \textit{program ident} ; \langle \text{block} \rangle .$

$\langle \text{block} \rangle ::= \langle \text{const-decl} \rangle \langle \text{type-decl} \rangle$

$\langle \text{proc-decl} \rangle \langle \text{func-decl} \rangle \langle \text{var-decl} \rangle \textit{begin}$   
 $\quad \langle \text{statement-list} \rangle \textit{end}$





# Non-terminal encoding

```
if(str=="<program>") return 1;
if(str=="<block>") return 2;
if(str=="<const-decl>") return 3;
if (str == "<const-assign-list>") return
    4;
if (str == "<constant>") return 5;
if(str=="<type-decl>") return 6;
if (str == "<type-assign-list>") return 7;
if (str == "<type>") return 8;
if (str == "<basictype>") return 9;
if(str=="<var-decl>") return 10;
if (str == "<ident-list>") return 11;
if(str=="<proc-decl>") return 12;
```

```
if (str == "<para-list>") return 13;
if (str == "<para-one>") return 14;
if(str=="<func-decl>") return 15;
if(str=="<statement-list>") return 16;
if(str=="<statement>" ) return 17;
if (str == "<condition>") return 18;
if (str == "<relation>") return 19;
if(str=="<expression>") return 20;
if (str == "<adding-op>") return 21;
if(str=="<term>") return 22
if(str=="<multiplying-op>") return 23;
if (str == "<factor>") return 24;
```



# Token encoding: identifiers, number, character constants, specific symbols

// ident;

if(str == "ident") return 25;

//const

if(str == "number")return 26;

if (str == "charcon") return 27;

//operator

if(str == "plus")return 28;

if (str == "minus") return 29;

if (str == "times") return 30;

if (str == "slash") return 31;

if (str == "assign") return 33;

if (str == "leq") return 34;

//specific symbol

if (str == "lparen") return 35;

if (str == "rparen") return 36;

if (str == "comma") return 37;

if (str == "semicolon") return 38;

if (str == "period") return 39;

if (str == "becomes") return 40;

if (str == "lbrace") return 41;

if (str == "rbrace") return 42;

if (str == "lbrack") return 43;

if (str == "rbrack") return 44;



# Token encoding: keywords

```
if (str == "beginsym") return 45;  
if (str == "endsym") return 46;  
if (str == "ifsym") return 47;  
if (str == "thensym") return 48;  
if (str == "whilesym") return 49;  
if (str == "dosym") return 50;  
if (str == "callsym") return 51;  
if (str == "constsym") return 52;
```

```
if (str == "varsym") return 53;  
if (str == "progsym") return 54;  
if (str == "functsym") return 55;  
if (str == "typesym") return 56;  
if (str == "arraysym") return 57;  
if (str == "ofsym") return 58;  
if (str == "intsym") return 59;  
if (str == "charsym") return 60;
```



# Token encoding : relop

```
//relations
```

```
if (str == "eq") return 61;
```

```
if (str == "leq") return 62;
```

```
if (str == "neq") return 63;
```

```
if (str == "lss") return 64;
```

```
if (str == "gtr") return 65;
```

```
if (str == "geq") return 66;
```



# Production encoding

$\langle \text{program} \rangle ::= \textit{program ident}; \langle \text{block} \rangle.$

setlaw[1,1]="54 25 38 2 39 ";

$\langle \text{block} \rangle ::= \langle \text{const-decl} \rangle \langle \text{type-decl} \rangle$

$\langle \text{proc-decl} \rangle \langle \text{func-decl} \rangle \langle \text{var-decl} \rangle \textit{begin} \langle \text{statement-list} \rangle \textit{end}$

setlaw[2,1]=" 3 6 12 15 10 45 16 46 ";



# Conclude

- Too complicated with backtracking.
- Spent exponential amount of time.
- Difficult to handle errors.