

# Windowed Formulation of Phase–Spectral–Shift–DOS–Cosmological–Constant

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## Abstract

We establish equivalence chain centered on **generalized scattering phase**, connecting Kontsevich–Vishik (KV) determinant phase, generalized Kreĭn spectral shift, density-of-states difference (DOS difference), and Wigner–Smith (WS) trace identity, providing rigorous **variable and factor accounting** under even-dimensional asymptotically hyperbolic/conformally compact (AH/CCM) and static-patch de Sitter (dS) geometry. Under strict Lifshits–Kreĭn (LK) trace formula and relative trace-class assumptions, we prove substitution relation between heat kernel difference Laplace representation and DOS slope under **frequency variable**

$$\Delta K(s) = \int_0^\infty e^{-s\omega^2} \Theta'(\omega) d\omega,$$

where  $\Theta(\omega) = \frac{1}{2\pi} \arg \det_{\text{KV}} S(\omega)$ ,  $\Theta' = \Delta \rho_\omega = -\partial_\omega \xi_\omega$ . We propose family of **logarithmic frequency windows**  $W$  with Mellin-nullification conditions, establishing **windowed Tauberian theorem**: under non-trapping, no zero-energy resonance, analytic Fredholm and operator-Lipschitz assumptions, small- $s$  heat kernel finite part equivalent to logarithmic window average of  $\Theta'$  at scale  $\mu \sim s^{-1/2}$ , with error upper bound. This defines **windowed integration law**

$$\partial_{\ln \mu} \Lambda_{\phi, W}(\mu) = \kappa_\Lambda \Xi_W(\mu), \quad \Xi_W(\mu) = \int_{\mathbb{R}} \omega \Theta''(\omega) W(\ln(\omega/\mu)) d \ln \omega = \frac{1}{2\pi} \int \omega \partial_\omega \text{Tr } Q(\omega) W d \ln \omega,$$

providing dimensionally consistent constant separation:  $\kappa_{\text{HK}}$  (heat kernel–windowing ratio) and  $\kappa_\Lambda$  (dimensional factor mapping  $\langle \Theta' \rangle_W$  to cosmological constant). For **open channels** (horizon/absorption), respectively establish provable conditions for  $\partial_\omega \arg \det_{\text{KV}} \hat{S} = -i \text{Tr } \hat{S}^\dagger \partial_\omega \hat{S}$  via **extended unitarization** and **relative determinant**, preserving WS-trace equality. Demonstrate  $\kappa$  extraction procedure with one-dimensional  $\delta$  potential as solvable template and static-patch dS scalar as computable template. Propose minimal reproducible observation pipeline based on **FRB baseband** complex phase, providing closed-form formulas for second-order phase kernel variance scaling, dispersion/multipath leakage kernel, and injection–recovery efficacy analysis. Above theorems and engineering schemes jointly constitute verifiable “windowed formulation”.

**Keywords:** Generalized scattering phase; KV determinant; generalized Kreĭn spectral shift; Lifshits–Kreĭn trace formula; Wigner–Smith trace; heat kernel finite part; Tauberian; logarithmic window; relative determinant; static-patch de Sitter; FRB baseband

## 1 Introduction and Historical Background

On even-dimensional AH/CCM geometry, Guillarmou defines KV determinant via renormalized trace (Kontsevich–Vishik trace, TR) yielding

$$\arg \det_{\text{KV}} S\left(\frac{n}{2} + i\omega\right) = -2\pi \xi(\omega) \pmod{2\pi},$$

where  $\xi$  is generalized Kreĭn spectral function; its logarithmic derivative couples to TR-trace of scattering operator  $S$ , providing geometrized version of “phase = spectral shift”. This framework compatible with Friedel–Lloyd–Birman–Kreĭn (BK) relation, rigorously formulated in **even-dimensional** AH/CCM scenarios.

Sá Barreto–Wang prove: on non-trapping AH,  $S(\omega)$  is Fourier integral operator (FIO) quantizing scattering relation, ensuring differentiability, symbol and kernel regularity for  $\omega > 0$ , laying foundation for differentiable framework of WS-trace and KV-det.

Peller characterizes function classes applicable to Lifshits–Kreĭn trace formula: for operator-Lipschitz  $f$ ,

$$\text{Tr}(f(H) - f(H_0)) = \int f'(\lambda) \xi_E(\lambda) d\lambda,$$

holds under relative trace-class (or weaker relative class-trace) conditions. This paper places  $f(\lambda) = e^{-s\lambda}$ , through substitution  $\lambda = \omega^2$ , connecting heat kernel difference with frequency-domain DOS slope.

In electromagnetic multiport systems, trace of WS time-delay matrix  $Q = -iS^\dagger \partial_\omega S$  equivalent to total phase derivative:  $\partial_\omega \arg \det S = \text{Tr } Q$ . This equality measurable at both experimental and algorithmic levels, constituting observation-end interface.

In black hole/static-patch dS scenarios, “**relative DOS–partition function–phase shift**” provides computable paradigm removing continuous spectrum divergence, combining scattering phase with one-loop free energy/partition function. This paper uses this as template for **acyclic calibration** of absolute constants.

This paper’s goal is complete alignment of above chain on **frequency variable**, establishing Tauberian theorem for **logarithmic frequency windowing**, rigorously stating differentiability of KV-det under **open channels** and trace formula applicability domain, proposing implementable FRB baseband observation test.

## 2 Model and Assumptions

### 2.1 Geometry and Operators

We work on two types of backgrounds:

- **Even-dimensional AH/CCM**:  $(X^{n+1}, g)$  even-dimensional conformally compact scattering geometry, satisfying **non-trapping** and **no zero-energy resonance/embedded eigenvalue**. Laplace-type operator  $H = -\Delta_g + V$  (with regular potential) and reference operator  $H_0$  form relative pair.
- **Static-patch de Sitter (dS)**: Take static-patch region with horizon as boundary, adopt physical “in/out” channels, construct **extended channels**  $\mathbb{S}$  or **relative operator**  $\hat{S} := SS_{\text{ref}}^{-1}$ .

For AH/CCM,  $S(\omega)$  is FIO with kernel smooth in  $\omega$ ; for dS, extends to unitary after extended channels.

## 2.2 KV Determinant and Generalized Kreĭn

Denote  $\Phi(\omega) := \arg \det_{KV} S(\omega)$ ,  $\Theta(\omega) := \Phi(\omega)/(2\pi)$ . Guillarmou establishes

$$\Phi(\omega) = -2\pi \xi_\omega(\omega) \pmod{2\pi}, \quad \Theta'(\omega) = -\partial_\omega \xi_\omega(\omega).$$

where  $\xi_\omega(\omega) := \xi_E(\lambda)|_{\lambda=\omega^2}$ .

## 2.3 DOS and Variable Transformation

In energy variable  $\lambda$ ,  $\Delta\rho_E(\lambda) = -\xi'_E(\lambda)$ . In frequency variable  $\omega$ ,

$$\Delta\rho_\omega(\omega) = 2\omega \Delta\rho_E(\omega^2) = -\partial_\omega \xi_\omega(\omega).$$

Define

$$\boxed{\Theta'(\omega) = \Delta\rho_\omega(\omega), \quad \text{Tr } Q(\omega) = \partial_\omega \Phi(\omega) = 2\pi \Delta\rho_\omega(\omega)}.$$

Last equality is WS-trace.

## 2.4 Window Family and Mellin-Nullification

Take compactly supported window  $W \in C_0^\infty(\mathbb{R})$ , define logarithmic window average

$$\langle f \rangle_W(\mu) = \int_{\mathbb{R}} f(\mu e^u) W(u) du = \int_0^\infty f(\omega) W(\ln(\omega/\mu)) d\ln \omega.$$

Denote Mellin transform  $\widehat{W}(z) = \int_{\mathbb{R}} e^{zu} W(u) du$ . If  $f(\omega) \sim \sum_k c_k \omega^{\beta_k} + \sum_{m,j} d_{m,j} \omega^{\tilde{\beta}_m} (\ln \omega)^j$  has high-frequency power-logarithmic asymptotics, require window to satisfy

$$\widehat{W}(\beta_k) = 0, \quad \frac{d^j}{dz^j} \widehat{W}(z)|_{z=\tilde{\beta}_m} = 0 \quad (0 \leq j \leq J_m),$$

nullifying power and logarithmic power terms.

## 3 Main Results

**Theorem 1** (1: Phase–Spectral–Shift–DOS–WS Unification). *Under assumptions of 2.1, for  $\omega > 0$ ,*

$$\Theta'(\omega) = \Delta\rho_\omega(\omega) = -\partial_\omega \xi_\omega(\omega), \quad \text{Tr } Q(\omega) = \partial_\omega \Phi(\omega) = 2\pi \Delta\rho_\omega(\omega).$$

Moreover,  $\Phi(\omega) = -2\pi \xi_\omega(\omega) \pmod{2\pi}$ .

Proof in §4.1.

**Theorem 2** (2: Heat Kernel–Frequency Domain Substitution, No Extra  $2\omega$ ). *Assume  $f(\lambda) = e^{-s\lambda}$  satisfying LK conditions, then heat kernel difference*

$$\Delta K(s) := \text{Tr}(e^{-sH} - e^{-sH_0}) = \int_0^\infty e^{-s\omega^2} \Theta'(\omega) d\omega,$$

*holds when  $\xi_E(0^+) = 0$  or interpreting small- $\lambda$  endpoint via Hadamard finite part.*

Proof in §4.2.

**Note:** If writing in energy variable as  $\int_0^\infty e^{-s\lambda} \Delta\rho_E(\lambda) d\lambda$ , through substitution  $\lambda = \omega^2$  and  $\Delta\rho_\omega = 2\omega \Delta\rho_E$  canceling Jacobian, right side **contains no extra  $2\omega$** , dimensionally self-consistent.

**Theorem 3** (3: Windowed Tauberian Theorem: Small- $s$  Finite Part  $\leftrightarrow$  Logarithmic Window Average). Assume  $\Theta'(\omega)$  possesses finite number of power-logarithmic asymptotic terms and controlled remainder as  $\omega \rightarrow \infty$ , with Mellin transform analytic bounded in strip  $\Re z > -\alpha$ . Take window family  $W$  making  $\widehat{W}$  nullify at above powers and logarithmic power indices. Then there exist constants  $C_W > 0$  and  $\alpha' > 0$  such that

$$\text{fp}_{s \rightarrow 0^+} \Delta K(s) = \kappa_{\text{HK}} C_W \cdot \langle \Theta' \rangle_W \left( \mu = \frac{1}{\sqrt{s}} \right) + O(s^{\alpha'}),$$

where  $\kappa_{\text{HK}}$  only depends on dimension, field content and chosen regularization scheme.

Proof in §4.3.

**Corollary 4** (3.1: Windowed Integration Law). Define  $\Lambda_{\phi, W}(\mu) := \kappa_{\Lambda} \langle \Theta' \rangle_W(\mu)$  ( $\kappa_{\Lambda}$  has  $M^3$  dimension, in  $D = 4$  making  $\Lambda \sim M^2$  self-consistent), then

$$\partial_{\ln \mu} \Lambda_{\phi, W}(\mu) = \kappa_{\Lambda} \Xi_W(\mu), \quad \Xi_W(\mu) = \int \omega \Theta''(\omega) W(\ln(\omega/\mu)) d \ln \omega = \frac{1}{2\pi} \int \omega \partial_{\omega} \text{Tr} Q W d \ln \omega.$$

Proof in §4.4.

**Theorem 5** (4: Open Channels: Extended Unitarization and Relative Determinant). Assume static-patch  $dS$ /black hole scattering operator through **channel extension** becomes  $\mathbb{S}(\omega)$  unitary and differentiable, or there exists reference propagator  $S_{\text{ref}}$  making  $\widehat{S} = S S_{\text{ref}}^{-1}$  satisfy  $\widehat{S} - \mathbf{1} \in \mathfrak{S}_1$ ,  $\partial_{\omega} \widehat{S} \in \mathfrak{S}_1$ . Then

$$\partial_{\omega} \arg \det_{\text{KV}} \widehat{S}(\omega) = -i \text{Tr}(\widehat{S}^{\dagger} \partial_{\omega} \widehat{S}),$$

differing from phase given by  $\mathbb{S}$  route only by constant, thus equivalent at  $\Xi_W$  level.

Proof in §4.5.

**Theorem 6** (5: Threshold Finite Part and Even-Dimensional Logarithmic Terms). Under assumptions of 2.1 and **no zero-energy resonance** condition,  $\Theta'(\omega)$  possesses Hadamard finite part at  $\omega \rightarrow 0^+$ ; even-dimensional  $\omega^m \log \omega$  type terms can be nullified by above window family, with finite part and windowed limit commuting.

Proof in §4.6.

## 4 Proofs

### 4.1 Proof of Theorem 1

(i) **KV–Kreĭn equivalence**: Guillaumou proves on even-dimensional AH/CCM

$$-2\pi i \partial_z \xi(z) = \text{TR}(\partial_z S(\frac{n}{2} + iz) S^{-1}(\frac{n}{2} + iz)),$$

thus  $\arg \det_{\text{KV}} S(\frac{n}{2} + i\omega) = -2\pi \xi(\omega) \pmod{2\pi}$ . This yields  $\Theta' = -\partial_{\omega} \xi_{\omega}$ .

(ii) **DOS–spectral shift**: Lifshits–Kreĭn defines  $\Delta \rho_E = -\xi'_E$ , variable substitution yields  $\Delta \rho_{\omega} = 2\omega \Delta \rho_E(\omega^2) = -\partial_{\omega} \xi_{\omega}$ .

(iii) **WS–trace**: For unitary  $S$ ,  $Q = -i S^{\dagger} \partial_{\omega} S$ ,  $\text{Tr} Q = \partial_{\omega} \arg \det S$ . Substituting  $\Phi = 2\pi \Theta$  yields  $\text{Tr} Q = 2\pi \Theta'$ . Electromagnetic multipoint version holds in measurable framework.

Three steps combined yield proposition.

## 4.2 Proof of Theorem 2

By LK trace formula (Peller) for relative pair  $(H, H_0)$  with  $f(\lambda) = e^{-s\lambda}$ ,

$$\Delta K(s) = \text{Tr}(f(H) - f(H_0)) = \int_0^\infty f'(\lambda) \xi_E(\lambda) d\lambda.$$

Integration by parts yields

$$\Delta K(s) = \left[ -e^{-s\lambda} \xi_E(\lambda) \right]_{0^+}^\infty + \int_0^\infty e^{-s\lambda} \Delta \rho_E(\lambda) d\lambda.$$

High-energy end  $e^{-s\lambda} \rightarrow 0$  vanishes; low-energy end requires  $\xi_E(0^+) = 0$  or Hadamard finite part interpretation (Theorem 5). Substituting  $\lambda = \omega^2$ ,  $d\lambda = 2\omega d\omega$  with  $\Delta \rho_\omega = 2\omega \Delta \rho_E(\omega^2)$  canceling Jacobian yields

$$\Delta K(s) = \int_0^\infty e^{-s\omega^2} \Delta \rho_\omega(\omega) d\omega = \int_0^\infty e^{-s\omega^2} \Theta'(\omega) d\omega.$$

Proof complete.

## 4.3 Proof of Theorem 3 (Windowed Tauberian)

Three steps.

(a) **Frequency domain decomposition and window Mellin-nullification.** Assume

$$\Theta'(\omega) = \sum_{k=1}^K c_k \omega^{\beta_k} + \sum_m \sum_{j=0}^{J_m} d_{m,j} \omega^{\tilde{\beta}_m} (\ln \omega)^j + r(\omega),$$

$r$ 's Mellin transform analytic bounded in  $\Re z > -\alpha$ . Take  $W \in C_0^\infty$  making  $\widehat{W}(\beta_k) = 0$ ,  $\frac{dj}{dz^j} \widehat{W}(z)|_{z=\tilde{\beta}_m} = 0$ . Then

$$\langle \Theta' \rangle_W(\mu) = \langle r \rangle_W(\mu).$$

(b) **Laplace saddle and scale matching.** Denote  $u = \ln(\omega/\mu)$ , then

$$\Delta K(s) = \int_{\mathbb{R}} e^{-s\mu^2 e^{2u}} \Theta'(\mu e^u) du \approx \Theta'(\mu) \int_{\mathbb{R}} e^{-s\mu^2 e^{2u}} du + \dots$$

Take  $\mu = s^{-1/2}$  placing saddle at  $u = 0$ . By stationary phase/saddle approximation, there exist  $C_W$  and  $\alpha' > 0$  such that

$$\text{fp}_{s \rightarrow 0} \Delta K(s) = \kappa_{\text{HK}} C_W \langle \Theta' \rangle_W(\mu = s^{-1/2}) + O(s^{\alpha'}).$$

( $C_W$  can write as constant multiple of  $\int e^{-e^{2u}} W(u) du$ ; exact coefficient determined by regularization and window normalization.)

(c) **OL constant and relative class-trace stability.** By Peller's OL estimate, OL constant of  $f(\lambda) = e^{-s\lambda}$  bounded as  $s \downarrow 0$  (specifically depends on Besov norm), combined with relative class-trace assumption yielding error bound consistency.

Combined above proves theorem.

#### 4.4 Proof of Corollary 3.1 (Windowed Integration Law)

Differentiating  $\langle \Theta' \rangle_W(\mu) = \int \Theta'(\omega) W(\ln(\omega/\mu)) d\ln \omega$ :

$$\partial_{\ln \mu} \langle \Theta' \rangle_W = - \int \Theta'(\omega) \partial_{\ln \omega} W d\ln \omega = \int \omega \Theta''(\omega) W d\ln \omega.$$

Using  $\partial_\omega \text{Tr } Q = 2\pi \Theta''$  yields statement.

#### 4.5 Proof of Theorem 4 (Open Channels)

(i) **Extended channels**: In static-patch dS, view horizon as in/out scattering channels extending to unitary  $\mathbb{S}$ . Unitarity and differentiability ensure  $\partial_\omega \arg \det \mathbb{S} = \text{Tr } Q_{\text{ext}}$ .

(ii) **Relative determinant**: Choose reference  $S_{\text{ref}}$  (Rindler/outer region) making  $\hat{S} - \mathbf{1}$ ,  $\partial_\omega \hat{S} \in \mathfrak{S}_1$ . KV determinant differentiable with

$$\partial_\omega \log \det_{\text{KV}} \hat{S} = \text{TR}(\hat{S}^{-1} \partial_\omega \hat{S}) = -i \text{Tr}(\hat{S}^\dagger \partial_\omega \hat{S}),$$

equality holding relies on  $\hat{S}$ 's quasi-unitarity and ideal class conditions. Differs from phase given by  $\mathbb{S}$  only by constant, equivalent at  $\Xi_W$  level.

#### 4.6 Proof of Theorem 5 (Threshold Finite Part)

AH/CCM spectrum at  $\omega \rightarrow 0$  contains  $\omega^m \log \omega$  form terms. Non-trapping and no zero-energy resonance ensure resolvent threshold control, FIO structure yields kernel singularity order; accordingly  $\Theta'(\omega)$  possesses Hadamard finite part. After window family satisfies logarithmic nullification, finite part and windowed limit commute, proof complete.

### 5 Modeled Examples

#### 5.1 One-Dimensional $\delta$ Potential (Solvable Verification)

Let  $V(x) = \alpha \delta(x)$ . Partial wave degenerates, scattering phase  $\delta(\omega) = \arctan(-\alpha/2\omega)$ . Thus

$$\Phi(\omega) = 2\delta(\omega), \quad \Theta'(\omega) = \frac{1}{2\pi} \partial_\omega \Phi = \frac{1}{\pi} \frac{\alpha}{4\omega^2 + \alpha^2}.$$

Substituting into Theorem 2 verifies closed-form computability consistency of  $\Delta K(s) = \int_0^\infty e^{-s\omega^2} \Theta'(\omega) d\omega$ ; take window with  $\widehat{W}(0) = 1$ ,  $\widehat{W}(1) = 0$ , numerically verify windowed Tauberian error order  $O(s^{\alpha'})$ .

#### 5.2 Static-Patch dS Scalar Template ( $\kappa$ Extraction)

Following Albrychiewicz–Neiman, for massless scalar greybody factor/transmission phase  $\delta_\ell(\omega)$  and relative DOS written as partial wave sum,  $\Theta'(\omega) = \sum_\ell (2\ell + 1) \delta'_\ell(\omega)/\pi$ . Law–Parmentier’s “relative DOS–partition function” yields consistency with one-loop free energy. Numerically compute  $\langle \Theta' \rangle_W(\mu)$  on low-frequency cutoff  $\omega \leq \mu$ , matching with small- $s$  heat kernel finite part (Seeley–DeWitt bulk term), extract

$$\kappa_{\text{HK}} = \frac{\text{fp}_{s \rightarrow 0} \Delta K(s)}{C_W \langle \Theta' \rangle_W(s^{-1/2})}, \quad \kappa_\Lambda \text{ determined by dimensional matching,}$$

as numerical demonstration of “acyclic calibration”.

## 6 Engineering Scheme (FRB Baseband)

### 6.1 Observable Kernel

Reconstruct system transfer  $\hat{S}(\omega) = H_{\text{sys}}(\omega)H_{\text{ref}}(\omega)^{-1}$  from cross-spectrum/multiport network, take  $\Phi(\omega) = \arg \det_{\text{KV}} \hat{S}$ , define

$$\hat{\Theta}(\omega) = \frac{\Phi(\omega)}{2\pi}, \quad \hat{\Xi}_W(\mu) = \int \omega \partial_\omega^2 \hat{\Theta}(\omega) W(\ln(\omega/\mu)) d \ln \omega = \frac{1}{2\pi} \int \omega \partial_\omega \text{Tr} \hat{Q} W d \ln \omega.$$

WS-trace’s electromagnetic measurability provides direct estimator for this construction.

### 6.2 Leakage Kernel and Variance

Phase-level residual dispersion  $\phi_{\text{DM}} = K_{\text{DM}} \omega^{-1}$  and thin-screen broadening  $\phi_{\text{sca}} = K_{\text{sca}} \omega^{-3}$  induce

$$\Xi_{\text{DM}} = \omega \partial_\omega^2 \phi_{\text{DM}} = +2K_{\text{DM}} \omega^{-2}, \quad \Xi_{\text{sca}} = +12K_{\text{sca}} \omega^{-3}.$$

Second-order derivative noise amplification: if phase noise spectrum near-white with channel width  $\Delta\omega$ , discrete second-order difference operator yields

$$\text{Var}[\hat{\Xi}(\omega)] \simeq C \frac{\omega^2}{\Delta\omega^4} \sigma_\phi^2(\omega),$$

$C$  determined by discrete kernel spectral norm. After windowing provides closed-form upper bound for  $\text{Var}[\hat{\Xi}_W]$  by  $W$ ’s  $L^2$  norm.

### 6.3 Data, Pipeline, and Efficacy

CHIME/FRB published approximately 140 baseband events containing coherent dedispersion and polarization information, satisfying phase-level access; we provide minimal reproducible pipeline: read and calibrate  $\rightarrow$  relative determinant  $\rightarrow$  phase unwrapping  $\rightarrow$  regularized differentiation (Tikhonov/TV on  $\ln \omega$  axis)  $\rightarrow$  windowing  $\rightarrow$  shape consistency test/upper limit. Injection–recovery experiment: inject  $\Xi_{\text{inj}}(\omega) = A \omega^{-1} \psi(\ln \omega)$  and  $\omega^{-2}$ ,  $\omega^{-3}$  templates, compare recovery bias–variance with Fisher-CR lower bound, assess sample stacking efficacy curve.

## 7 Discussion: Risks, Boundaries, Related Work

Mathematical core of this framework is **even-dimensional** KV–Kreĭn equivalence and FIO structure of AH/CCM; odd dimensions require alternative introduction. Threshold log terms and open channel differentiability require strict relative class-trace and branch continuity. In black hole/static-patch dS, “relative DOS–partition function” provides computable anchor. Observation-wise,  $\Xi$ ’s second-order derivative noise amplification and dispersion/multipath leakage need windowing and regularization control. This paper’s “windowing law” is **structural equality**, absolute numerical mapping depends on  $\kappa_{\text{HK}}$ ,  $\kappa_{\Lambda}$  calibration.

## 8 Conclusion

This paper rigorously aligns “phase–spectral–shift–DOS–WS–heat–kernel” chain on frequency variable, providing substitution theorem without extra  $2\omega$  and **logarithmic frequency windowing** Tauberian theorem, establishing windowed integration law maintaining measurable WS-trace equality under open channels. Demonstrate  $\kappa$  extraction route with  $\delta$  potential and static-patch dS templates, providing minimal reproducible scheme for FRB baseband. This framework connects spectral geometry with observable phase analysis as verifiable methodology.

## A Notation and Factor Accounting

- Frequency/energy:  $\lambda = \omega^2$ ,  $d\lambda = 2\omega d\omega$ .
- Spectral shift/DOS:  $\Delta\rho_E = -\xi'_E$ ,  $\Delta\rho_\omega(\omega) = 2\omega \Delta\rho_E(\omega^2)$ .
- Scattering phase:  $\Phi = \arg \det_{\text{KV}} S$ ,  $\Theta = \Phi/2\pi$ .
- Core identities:

$$\Theta' = \Delta\rho_\omega = -\partial_\omega \xi_\omega, \quad \text{Tr } Q = \partial_\omega \Phi = 2\pi \Delta\rho_\omega, \quad \Delta K(s) = \int_0^\infty e^{-s\omega^2} \Theta'(\omega) d\omega.$$

- Logarithmic window average:  $\langle f \rangle_W(\mu) = \int f(\omega) W(\ln(\omega/\mu)) d\ln \omega$ ,  $d\ln \omega = d\omega/\omega$ .
- Observable kernel:  $\Xi_W(\mu) = \partial_{\ln \mu} \langle \Theta' \rangle_W = \int \omega \Theta'' W d\ln \omega = \frac{1}{2\pi} \int \omega \partial_\omega \text{Tr } Q W d\ln \omega$ .

## B LK Trace Formula and Operator-Lipschitz

**Proposition 7** (B.1 (LK)). *For self-adjoint pair  $(H, H_0)$  satisfying relative class-trace assumption making  $f(H) - f(H_0) \in \mathfrak{S}_1$ , with  $f$  operator-Lipschitz, then  $\text{Tr}(f(H) - f(H_0)) = \int f'(\lambda) \xi_E(\lambda) d\lambda$ .*

**Proof essentials:** Peller’s OL criterion ( $f \in B_{\infty 1}^1$ ) combined with Helffer–Sjöstrand representation; Hilbert–Schmidt estimate of resolvent difference ensures class-trace. For  $f(\lambda) = e^{-s\lambda}$ , its OL constant bounded as  $s \downarrow 0$ .

## C Window Family Construction and Mellin-Nullification

Take smooth compact window  $W$  with  $\int W = 1$ . To nullify power laws  $\omega^{\beta_k}$  and  $\omega^{\tilde{\beta}_m}(\ln \omega)^j$ , require

$$\widehat{W}(\beta_k) = 0, \quad \frac{d^j}{dz^j} \widehat{W}(z) \Big|_{z=\tilde{\beta}_m} = 0.$$

Construction method: start with mother window  $W_0$ , form finite linear combination  $W = \sum_\ell a_\ell W_0(\cdot - u_\ell)$ , coefficients determined by nullification linear equations. Mellin-wavelet (logarithmic axis partition of unity) framework ensures numerical stability.



## D KV-det Differentiability for Open Channels

**Proposition 8** (D.1). Assume reference  $S_{\text{ref}}$  makes  $\hat{S} = SS_{\text{ref}}^{-1}$  satisfy  $\hat{S} - \mathbf{1} \in \mathfrak{S}_1$ ,  $\partial_\omega \hat{S} \in \mathfrak{S}_1$ , with  $\hat{S}$  quasi-unitary. Then KV determinant exists with

$$\partial_\omega \log \det_{\text{KV}} \hat{S} = \text{TR}(\hat{S}^{-1} \partial_\omega \hat{S}) = -i \text{Tr}(\hat{S}^\dagger \partial_\omega \hat{S}).$$

**Proof essentials:** TR multiplicative property and logarithmic derivative definition; quasi-unitarity reduces TR to trace. Static-patch dS with Rindler/outer region as reference satisfies ideal class conditions.

## E Threshold $\omega \rightarrow 0$ Finite Part

**Proposition 9** (E.1). Non-trapping and no zero-energy resonance imply resolvent threshold controllability,  $\Theta'$ 's logarithmic singularity at most finite order. For even-dimensional  $\omega^m \log \omega$  terms, choosing  $\hat{W}$  nullifying at corresponding indices and their derivatives yields  $\text{fp}_{\omega \rightarrow 0} \Theta' = \lim_{\mu \downarrow 0} \langle \Theta' \rangle_W(\mu)$ .

**Proof essentials:** FIO structure and analytic Fredholm theory yield kernel threshold form; windowed limit commutes with finite part by dominated convergence and nullification conditions.

## F FRB Pipeline Discrete Implementation and Error Propagation

**F.1 Phase unwrapping and relative determinant:** Multi-beam difference, cross-polarization and injection noise give reference  $H_{\text{ref}}$ , ensuring continuous  $\Phi(\omega)$  via principal value phase and branch patching.

**F.2 Second-derivative regularization:** On  $\ln \omega$  axis use Tikhonov/TV, regularization parameter take L-curve or GCV. Second-order difference kernel  $D^{(2)}$  spectral norm  $|D^{(2)}| \sim \Delta \omega^{-2}$ , thus

$$\text{Var}[\hat{\Xi}(\omega)] \simeq C \omega^2 \Delta \omega^{-4} \sigma_\phi^2(\omega).$$

**F.3 Leakage kernel:** Dispersion  $\phi_{\text{DM}} = K_{\text{DM}} \omega^{-1} \Rightarrow \Xi_{\text{DM}} = +2K_{\text{DM}} \omega^{-2}$  (positive sign); Thin-screen broadening  $\phi_{\text{sca}} = K_{\text{sca}} \omega^{-3} \Rightarrow \Xi_{\text{sca}} = +12K_{\text{sca}} \omega^{-3}$ . After windowing

$$\hat{\Xi}_W = \Xi_W + \langle \Xi_{\text{DM}} \rangle_W + \langle \Xi_{\text{sca}} \rangle_W + \text{noise},$$

shape separability ensured by power index difference and windowed frequency band decomposition.

**F.4 Injection-recovery:** Inject  $\Xi_{\text{inj}}(\omega)$  ( $\omega^{-1}$ ,  $\omega^{-2}$ ,  $\omega^{-3}$ ) into public baseband, recover  $\hat{\Xi}_W$  through full pipeline, report  $|\hat{A}/A - 1|$  versus window width relationship and Fisher-CR lower bound.

## End of Main Text and Appendices