# Proof Translations in Classical and Intuitionistic Logic

Ian Ribeiro<sup>1</sup> and Olivier Hermant<sup>2</sup>

MINES ParisTech, PSL Research University, France ian.ribeiro\_de\_faria\_leite@minesparis.psl.eu
 MINES ParisTech, PSL Research University, France olivier.hermant@mines-paristech.fr \*(Q1)

Abstract. This work aims to discuss the translation between Tableaux proofs and sequent calculus proofs in first-order intuitionistic propositional logic and in first-order classical propositional logic. It begins with an overview of the definitions and clarification of the notation. It then shows a translation process in classical logic and its OCAML implementation. Finally, a potential extension towards translation in intuitionistic logic is discussed.

**Keywords:** Tableaux proof · sequent calculus · intuitionistic logic.

#### 1 Introduction

### 1.1 Notation

In this work, sentences will implicitly refer to first-order predicate logic sentences; In the translation discussed in chapter V, they will be implicitly restricted to first-order propositional logic sentences in order to simplify the discussion. For intuitionistic logic, their meaning will come from Kripke's semantics [3] . The notation for structures and frames, as well as the motivation for the intuitionistic tableaux will be heavily based on [1]. In order to make this document slightly more self-reliant and to clarify the notation, we will briefly explain:

#### 1.2 Definitions

Definition 1. A Structure of a Language consists of a domain and:

- An assignment from the constant symbols of the language to the domain.
- An assignment from the predicate symbols of the language to predicates in the domain.

Structures represent possible worlds or possible states of knowledge inside a frame:

**Definition 2.** A Kripke Frame of a Language  $\mathcal{L}$ ,  $\mathcal{C} = (R, \{C(p)\}_{p \in R})$  consists of a partially ordered set R, and an  $\mathcal{L}$ -structure C(p) for all p's in R. Furthermore, in a Kripke Frame, if  $p \leq q$ , then C(q) extends C(p):

- All sentences that are true in C(p) are true in C(q).
- The domain of C(p) is included in the domain of C(q).
- The assignments in C(p) are the same as in C(q) for the domain of C(p)

Particularly, in order to simplify the notation, R will always be the set of sequences of integers, and  $p \leq q$  if it exists an l such that q = p||l. Also, from now on, the constant elements of a language will always be in the ordered set  $\{c_0, c_1, c_2...\}$ 

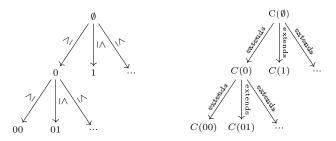


Fig. 1. R and a Kripke frame.

**Definition 3.** Forcing. When a sentence  $\phi$  of a language  $\mathcal{L}$  is forced by a structure C(p) of a  $\mathcal{L}$ -frame  $\mathcal{C}$ , we denote:  $p \Vdash_{\mathcal{C}} \phi$  Forcing is defined by induction: [1]

- $-p \Vdash_{\mathcal{C}} \phi \Leftrightarrow \phi \text{ is true in } C(p) \text{ (if } \phi \text{ is an atomic sentence)}.$
- $-p \Vdash_{\mathcal{C}} (\phi \to \psi) \Leftrightarrow \text{for all } q \geq p, \text{ if } q \Vdash_{\mathcal{C}} \phi, \text{ then } q \Vdash_{\mathcal{C}} \psi.$
- $-p \Vdash_{\mathcal{C}} \neg \phi \Leftrightarrow for \ all \ q \geq p, \ q \ does \ not \ force \ \phi.$
- $-p \Vdash_{\mathcal{C}} (\forall x) \phi(x) \Leftrightarrow \text{for all } q \geq p \text{ and } d \text{ in } \mathcal{L}_{C(q)}, \ q \Vdash_{\mathcal{C}} \phi(d).$
- $-p \Vdash_{\mathcal{C}} (\exists x) \phi(x) \Leftrightarrow there \ exists \ a \ d \ in \ \mathcal{L}_{C(q)}, \ such \ that \ p \Vdash_{\mathcal{C}} \phi(d).$
- $-p \Vdash_{\mathcal{C}} (\phi \wedge \psi) \Leftrightarrow p \Vdash_{\mathcal{C}} \phi \ and \ p \Vdash_{\mathcal{C}} \psi.$
- $-p \Vdash_{\mathcal{C}} (\phi \lor \psi) \Leftrightarrow p \Vdash_{\mathcal{C}} \phi \text{ or } p \Vdash_{\mathcal{C}} \psi.$

**Definition 4.** Intuitionistic Validity. A sentence of a language  $\mathcal{L}$  is Intuitionistically valid if it is forced in all structures of all Kripke frames of  $\mathcal{L}$ .

In classical logic, this definition simplifies to the one of forcing, and it's simplified again by the fact that p=q; in fact, here we will define classical validity as: [1]

**Definition 5.** Classical Validity. A sentence of a language  $\mathcal{L}$  is classically valid if it is forced by all single-structure Kripke frames of  $\mathcal{L}$ .

#### 2 The Intuitionistic Tableaux Method

Considerations Here we first define a slightly different version of the destructive tableaux proof tree described by [1], where each node is a truth assertion. This different version will allow for a more implementation-oriented approach and the translation later on.

The correspondence of the destructive tableaux proof tree described in [1] to our new one is shown in Figure 2. Generally speaking, a node in the usual definition is replaced by a sequence of all nodes in the path that goes from the root to it. Afterwards, some nodes are removed from the newly formed tableaux by adjoining its son(s) and its parent. A node should be removed if its corresponding node in the original tableaux was not a leaf of the atomic tableaux [1] that introduced it.

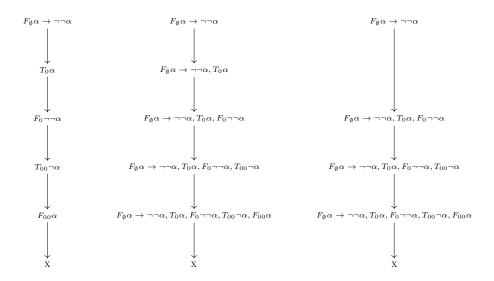


Fig. 2. Example of a destructive tableaux proof tree from [1], the intermediary structure and the non-destructive tableaux proof tree.

In this new presentation, one could see each node of the tree as an assumption of the existence of a frame that respects a list of constraints, and each edge as an implication between assumptions.

The Intuitionistic Tableaux Method The tableaux stands on some definitions, they will be justified briefly:

**Definition 6.** A Signed Sentence is a forcing assertion inside of a tableaux proof. It looks like  $T_q\phi$  or  $F_p\phi$ 

A Signed Sentence List is a list forcing assertions inside of a tableau proof.

We say that a list of forcing assertions having sentences  $\{T_{p_1}\gamma_1, T_{p_2}\gamma_2, ...\}$  and  $\{F_{q_1}\delta_1, F_{q_2}\delta_2...\}$  is "intuitionistically valid" if there exists a frame C that "respects" L:

```
C(p_1) \Vdash \gamma_1 \text{ and } C(p_2) \Vdash \gamma_2 \text{ and } \dots C(q_1) \nvDash \delta_1 \text{ and } C(q_2) \nvDash \delta_2
```

A Signed sentence list can be seen as an existence assumption that may or may not be intuitionistically valid. We can infer other assumptions from a signed sentence list. The function f, defined bellow, is one of the ways we can do that:

**Definition 7.** The function f takes a signed sentence  $\sigma$  and a signed sentence list L and returns one or two signed sentence lists.

```
f(\sigma, L) is defined as follows:
(here we denote l: l' = l_1, l_2, ..., l_{|l|}, l'_1, l'_2, ..., l'_{|l'|})
if \sigma \in L:
  - f(T_p, L) = [L - \sigma : \sigma : F_{p'}\alpha]
     for a minimal p' \geq p not present in \sigma : L.
  - f(T_p \neg \alpha, L) = [L - \sigma : \sigma : F_{p'}\alpha]
     for a minimal p' \geq p present in \sigma : L.
  - f(F_p \neg \alpha, L) = [L - \sigma : \sigma : T_p' \alpha]
      for a new p' \geq p
  - f(T_p(\alpha \wedge \beta), L) = [L - \sigma : \sigma : T_p\alpha : T_p\beta].
  - f(F_p(\alpha \wedge \beta), L) = [L - \sigma : \sigma : F_p \alpha], [L - \sigma : \sigma : F_p \beta].
 -f(T_p(\alpha \vee \beta), L) = [L - \sigma : \sigma : T_p \alpha], [L - \sigma : \sigma : T_p \beta].
 -f(F_p(\alpha \vee \beta), L) = [L - \sigma : \sigma : F_p\alpha : F_p\beta].
- f(T_p(\alpha \rightarrow \beta), L) = [L - \sigma : \sigma : F_{p'}\alpha], [L - \sigma : \sigma : T_{p'}\beta]
      for a new p' \geq p
  - f(F_p(\alpha \to \beta), L) = [L - \sigma : \sigma : T_{p'}\alpha : F_{p'}\beta]
     for a minimal p' \geq p present in \sigma : L.
  - f(T_p(\forall x)\phi(x), L) = [L - \sigma : \sigma : T_p\phi(c_i)]
      for the first constant c_i such that T_p\phi(c_i) is not in L.
  - f(F_{p'}(\forall x)\phi(x), L) = [L - \sigma : \sigma : F_p\phi(c_i)]
      for the first constant c_i not present in \sigma: L and a new p' \geq p
  - f(T_{p'}(\exists x)\phi(x), L) = [L - \sigma : \sigma : T_p\phi(c_i)]
      for the first constant c_i not present in \sigma: L and a new p' \geq p.
  - f(F_{p}(\exists x)\phi(x), L) = [L - \sigma : \sigma : F_{p}\phi(c_{i})]
      for the first constant c_i such that F_p\phi(c_i) is not in L and.
if \sigma \notin L:
 -f(\sigma,L)=L
```

Although not justified here, the reordering of the terms plays an important role in the implementation and in the completeness of the systematic tableaux: each signed sentence will be  $\sigma$  infinitely many times.

On the other hand, the soundness of the tableaux method depends on f being 'well-behaved":

**Theorem 1.** Given a signed sentence list x, if x is intuitionistically valid then f(x) is intuitionistically valid

*Proof.* [1] proves this by using the definition of forcing. We will de most complex case, that has the most part of the ideas needed for the other ones:

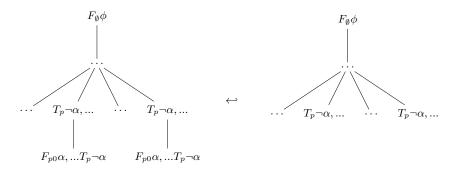
Case: if  $L \ni F_p(\forall x)\phi(x)$  is intuitionistically valid, then there exists frame  $\mathcal{C}$  that "respects" it. We take a frame  $\mathcal{C}'$  that is exactly like  $\mathcal{C}$  with adition of a structure  $p' \ge p$  such that  $\mathcal{C}'(p') \nvDash \phi(c_i)$  for a new  $c_i$ . We now that  $\mathcal{C}'$  exists by the defintion of forcing for  $\forall$ . Also  $\mathcal{C}'$  is a frame that "respects"  $f(\forall x \phi(x), L) = [L - \sigma : \sigma : T_p \phi(c_i)]$ 

The general idea is that, by choosing p  $c_i$  differently, we might arrive at at a intuitionistic invalid signed sentence list assuming a valid one.

# Definition 8. The tableaux Development of a List of Sentences is defined inductively:

- A tree with the single node L is a tableaux development of L.
- If  $\tau$  is a tableaux development of L, then  $\leftarrow$   $(\sigma, \tau)$  is a tableaux development of  $\phi$ . Where:

 $\leftarrow (\sigma, \tau) = \tau$  with  $f(\sigma, l)$  added to all leaves l that contain  $\sigma$ 



**Fig. 3.** Example of  $\leftarrow (T \neg \alpha, \tau)$  and  $\tau$ .

**Theorem 2.** If  $F_{\emptyset}\phi$  is intuitionistically valid then one of the leaves of  $\longleftrightarrow$   $(\sigma_n, \longleftrightarrow (\sigma_{n-1}(....(\hookleftarrow (\sigma_1, F_{\emptyset}\phi)...))))$  is intuitionistically valid.

*Proof.* The proof goes by induction:

The base case is true by definition: either the root  $F_{\emptyset}\phi$  is a intuitionistically valid leaf or the premisse is false. Next we assume  $\tau' = \longleftrightarrow (\sigma, \tau)$ . There are two cases to consider:

- If  $F\phi$  is not intuitionistically valid: and the theorem holds for  $\tau'$ .

- If  $F\phi$  is intuitionistically valid:
  - By the induction hypothesis, there exists a intuitionistically valid leaf  $\sigma$  in  $\tau$ .
    - If  $\sigma$  is a leaf in  $\tau$ , then the theorem holds for  $\tau'$ .
    - If  $\sigma$  is not a leaf in  $\tau$ , then: One or two nodes were added to  $\sigma$  in  $\tau'$  by the definition of  $\leftarrow$ . By the theorem 1, one of the added nodes is also intuitionistically valid. Consequently, the theorem holds for  $\tau'$ .

From this, we can conclude that: If all leaves of  $\leftarrow$   $(\sigma_n, \leftarrow$   $(\sigma_{n-1}(....(\leftarrow (\sigma_1, F\phi)...))))$  are contradictory, then  $F\phi$  is not intuitionistically valid, by consequence  $\phi$  is intuitionistically valid.

**Completeness** For purposes of implementation, we define an  $\leftarrow_c$  that can be applied systematically:

**Definition 9.**  $\leftarrow_c(\tau) = \tau$  with f(h,l) added to all leaves l that contain  $\sigma$ . h is the first signed sentence of the shalowest (and after that leftmost) non-contradictory leaf.

We can use  $\hookleftarrow_c$  instead of  $\hookleftarrow$  to define a systematic tableaux. This was important for generating tableaux proofs in order to test the translation implementation. This will not be discussed further, as the translation assumes a tableaux proof not necessarylly equal to one given by  $\hookleftarrow_c$ .

#### 3 The Classical Tableaux Method

The classical tableaux method can be seen as the intuitionistic tableaux method restricted to one-framed structures. The adapted definitions are:

**Definition 10.** A Signed Sentence is a forcing assertion inside of a tableaux proof. It looks like  $T\phi$  or  $F\phi$ 

A Signed Sentence List is a list forcing assertions inside of a tableau proof. We say that a list of forcing assertions having sentences  $\{T\gamma_1, T\gamma_2, ...\}$  and  $\{F\delta_1, F\delta_2...\}$  is "classically valid" if there exists a single-structured frame C such that  $C(\emptyset) \Vdash \gamma_1$  and  $C(\emptyset) \Vdash \gamma_2$  and ...  $C(\emptyset) \nvDash \delta_1$  and  $C(\emptyset) \nvDash \delta_2$ 

**Definition 11.** The function f takes a signed sentence  $\sigma$  and a signed sentence list L and returns one or two signed sentence lists.

```
f(\sigma,L) \text{ is defined as follows:} (here \text{ we denote } l:l'=l_1,l_2...,l_{|l|},l'_1,l'_2..,l'_{|l'|}) if \sigma \in L: -f(T\neg \alpha,L) = [L-\sigma:\sigma:F\alpha]. -f(F\neg \alpha,L) = [L-\sigma:\sigma:T\alpha]. -f(T(\alpha \land \beta),L) = [L-\sigma:\sigma:T\alpha:T\beta]. -f(F(\alpha \land \beta),L) = [L-\sigma:\sigma:F\alpha],[L:\sigma:F\beta]. -f(T(\alpha \lor \beta),L) = [L-\sigma:\sigma:T\alpha],[L:\sigma:T\beta].
```

#### 4 Sequent Calculus

Here we use the multi-conclusion sequent calculus defined in [2].

Definition 12. A Sequent is an expression of the form

$$\Gamma \vdash \Delta$$

where  $\Gamma = \{\Gamma_1, \Gamma_2, \Gamma_3, ...\}$  and  $\Delta = \{\Delta_1, \Delta_2, \Delta_3 ...\}$  are finite sets of formulas.  $\Gamma$  is called the antecedent, and  $\Delta$  is called the succedent.

 $\varGamma$  represents multiple necessary hypothesis, while  $\varDelta$  represents multiple possible conclusions.

**Definition 13.** Intuitionistical Validity of a Sequent A sequent is intuitionistically valid if for all kripke frames, if  $\Gamma$  are forced, then at least one of  $\Delta$  is forced.

The more awkward definition:

" A sequent is intuitionistically valid if there does not exist a frame that forces all sentences in  $\Gamma$  and does not force any sentence in  $\Delta$ ."

when compared with the definition of list of signed sentences, hints us towards an equivalence for single framed structures. This will not be discussed in depth.

A sequent calculus rule can be interpreted as the implication: if the top sequent is valid, then the bottom sequent is valid. Syntactically, each of the rules represent vertices that can be added to a sequent proof tree development to obtain another sequent proof tree development

#### 4.1 Classical Sequent Calculus

**Definition 14.** A single sequent is a classical sequent proof tree development Any of the rules of the table 1 applied to a classical sequent proof tree development is a sequent proof tree development

A classical sequent proof tree gives a classical sequent proof tree development with axioms on all leaves.

Rules for classical multi-consequence sequent calculus are given in 1

Table 1. Rules for L's classical multi-consequence sequent calculus.

Rule	Inference
Axiom	$\overline{\alpha \vdash \alpha}$
Weakening	$\frac{\Gamma \vdash \Delta}{\Gamma, \alpha \vdash \Delta}  \frac{\Gamma \vdash \Delta}{\Gamma \vdash \Delta, \alpha}$
Negation	$\frac{\Gamma, \vdash \alpha, \Delta}{\Gamma, \neg \alpha \vdash \Delta} \neg R \neg \alpha \qquad \frac{\Gamma, \alpha \vdash \Delta}{\Gamma \vdash \neg \alpha, \Delta} \neg L \neg \alpha$
Conjunction	$\frac{\Gamma, \alpha, \beta \vdash \Delta}{\Gamma, \alpha \land \beta \vdash \Delta} \land L \alpha \land \beta \qquad \frac{\Gamma \vdash \alpha, \Delta}{\Gamma \vdash \alpha \land \beta, \Delta} \land R \alpha \land \beta$
Disjunction	$ \frac{\Gamma, \alpha \vdash \Delta \qquad \Gamma, \beta \vdash \Delta}{\Gamma, \alpha \lor \beta \vdash \Delta} \lor L \ \alpha \lor \beta \qquad \frac{\Gamma \vdash \alpha, \Delta}{\Gamma \vdash \alpha \lor \beta, \Delta} \lor R \ \alpha \lor \beta \qquad \frac{\Gamma \vdash \beta, \Delta}{\Gamma \vdash \alpha \lor \beta, \Delta} \lor R \ \alpha \lor \beta $
Implication	$\frac{\Gamma \vdash \alpha, \Delta \qquad \Gamma, \beta \vdash \Delta}{\Gamma, \alpha \to \beta \vdash \Delta} \to L \ \alpha \to \beta \qquad \frac{\Gamma, \alpha \vdash \beta, \Delta}{\Gamma \vdash \alpha \to \beta, \Delta} \to R \ \alpha \to \beta$
Quantifiers	$\frac{\Gamma, \alpha(t) \vdash \Delta}{\Gamma, \forall x \alpha(x) \vdash \Delta} \ \forall \ \mathbf{L} \ \forall x \alpha(x) \qquad \frac{\Gamma \vdash \alpha(y), \Delta}{\Gamma \vdash \forall x \alpha(x), \Delta} \ \forall \ \mathbf{R} \ \forall x \alpha(x)$
	$\frac{\Gamma, \alpha(y) \vdash \Delta}{\Gamma, \exists x \alpha(x) \vdash \Delta} \exists \ \mathtt{L} \ \exists x \alpha(x) \qquad \frac{\Gamma \vdash \alpha(t), \Delta}{\Gamma \vdash \exists x \alpha(x), \Delta} \exists \ \mathtt{R} \ \exists x \alpha(x)$
Contraction	$\frac{\varGamma,\alpha,\alpha\vdash \Delta}{\varGamma,\alpha\vdash \Delta}  \frac{\varGamma\vdash \alpha,\alpha,\Delta}{\varGamma\vdash \alpha,\Delta}$

### 4.2 Intuitionistic Sequent calculus

**Definition 15.** A single sequent is a intuitionistic sequent proof tree development

Any of the rules of the table 1 aplied to a intuitionistic sequent proof tree development is a sequent proof tree development

A intuitionistic squent proof tree gives a intuitionistic sequent proof tree development with axioms on all leafs.

Rules for L's intuitionistic multi-consequence sequent calculus are given in 2

Table 2. Rules for L's classical multi-consequence sequent calculus.

Rule	Inference
Axiom	$\overline{\alpha \vdash \alpha}$
Weakening	$\frac{\Gamma \vdash \Delta}{\Gamma, \alpha \vdash \Delta}  \frac{\Gamma \vdash \Delta}{\Gamma \vdash \Delta, \alpha}$
Negation	$\frac{\Gamma, \vdash \alpha, \Delta}{\Gamma, \neg \alpha \vdash \Delta} \neg L \neg \alpha \qquad \frac{\Gamma, \alpha \vdash}{\Gamma \vdash \neg \alpha} \neg R \neg \alpha$
Conjunction	$\frac{\Gamma, \alpha, \beta \vdash \Delta}{\Gamma, \alpha \land \beta \vdash \Delta} \land L \ \alpha \land \beta \qquad \frac{\Gamma \vdash \alpha, \Delta}{\Gamma \vdash \alpha \land \beta, \Delta} \land R \ \alpha \land \beta$
Disjunction	$\frac{\Gamma, \alpha \vdash \Delta \qquad \Gamma, \beta \vdash \Delta}{\Gamma, \alpha \lor \beta \vdash \Delta} \lor L \ \alpha \lor \beta \qquad \frac{\Gamma \vdash \alpha, \Delta}{\Gamma \vdash \alpha \lor \beta, \Delta} \lor R \ \alpha \lor \beta \qquad \frac{\Gamma \vdash \beta, \Delta}{\Gamma \vdash \alpha \lor \beta, \Delta} \lor R \ \alpha \lor \beta$
Implication	$\frac{\Gamma \vdash \alpha, \Delta \qquad \Gamma, \beta \vdash \Delta}{\Gamma, \alpha \to \beta \vdash \Delta} \to L \ \alpha \to \beta \qquad \frac{\Gamma, \alpha \vdash \beta}{\Gamma \vdash \alpha \to \beta} \to R \ \alpha \to \beta$
Quantifiers	$\frac{\varGamma,\alpha(t) \vdash \varDelta}{\varGamma, \forall x \alpha(x) \vdash \varDelta} \ \forall \ \mathbf{L} \ \forall x \alpha(x) \qquad \frac{\varGamma \vdash \alpha(y)}{\varGamma \vdash \forall x \alpha(x)} \ \forall \ \mathbf{R} \ \forall x \alpha(x)$
	$\frac{\varGamma,\alpha(y) \vdash \varDelta}{\varGamma,\exists x\alpha(x) \vdash \varDelta} \exists \ \mathtt{L} \ \exists x\alpha(x) \qquad \frac{\varGamma \vdash \alpha(t), \varDelta}{\varGamma \vdash \exists x\alpha(x), \varDelta} \ \exists \ \mathtt{R} \ \exists x\alpha(x)$
Contraction	$\frac{\Gamma, \alpha, \alpha \vdash \Delta}{\Gamma, \alpha \vdash \Delta}  \frac{\Gamma \vdash \alpha, \alpha, \Delta}{\Gamma \vdash \alpha, \Delta}$

#### 5 Translation

#### Definition 16. Node Translating Function $\mathcal{T}$

Given a signed sentence list L with sentences  $\{T_{p_1}\gamma_1, T_{p_2}\gamma_2, ...\}$  and  $\{F_{q_1}\delta_1, F_{q_2}\delta_2...\}$  and a world  $w \in \{p_1, p_2, ...\} \cup \{q_1, q_2, ...\}$  then:

 $\begin{array}{l} \mathcal{T}(L,w) = \Gamma_1, \Gamma_2, ... \vdash \Delta_1, \Delta_2, ..., \ where: \\ \{T_{p_1'}\Gamma_1, T_{p_2'}\Gamma_2, ...\} \ \ are \ the \ elements \ \ of \ \{T_{p_1}\gamma_1, T_{p_2}\gamma_2, ...\} \ \ such \ that \ \ p' \geq w \ \ and \ \ \{F_{q_1'}\Delta_1, F_{q_2'}\Delta_2, ...\} \ \ are \ the \ elements \ \ of \ \{F_{q_1}\delta_1, F_{q_2}\delta_2, ...\} \ \ such \ that \ \ q' \leq w \ \ \ For \ the \ classical \ case: \mathcal{T}(L) = \mathcal{T}(L,\emptyset) \end{array}$ 

**Theorem 3.** Given signed sentence list L and a w, if L is intuitionistically valid then the sequent  $\mathcal{T}(L, w)$  is not intuitionistically valid.

*Proof.* If L has the sentences  $\{T_{p_1}\gamma_1, T_{p_2}\gamma_2, ...\}$  and  $\{F_{q_1}\delta_1, F_{q_2}\delta_2...\}$ , it exists a frame  $\mathcal C$  such that:

 $\mathcal{C}(p_1) \Vdash \gamma_1$  and  $\mathcal{C}(p_2) \Vdash \gamma_2$  and ...  $\mathcal{C}(q_1) \nvDash \delta_1$  and  $\mathcal{C}(q_2) \nvDash \delta_2$  which implicitly means, by the definition of extension:

 $(\mathcal{C}(p) \Vdash \gamma_1 \text{ for all } p \geq p_1)$  and  $(\mathcal{C}(p) \Vdash \gamma_2 \text{ for all } p \geq p_2)$  and ...  $(\mathcal{C}(p) \nvDash \delta_1 \text{ for all } p \leq q_1)$  and  $(\mathcal{C}(p) \nvDash \delta_2 \text{ for all } p \leq q_2)$ ...

Take the structure C(w) inside of C. We can infer:

 $\mathcal{C}(w) \Vdash \Gamma_1$  and  $\mathcal{C}(w) \Vdash \Gamma_2$  and ...  $\mathcal{C}(w) \nvDash \Delta_1$  and  $\mathcal{C}(w) \nvDash \Delta_2$ ....

 $\mathcal{C}$  is thus a counterexample proving the non-validity of  $\Gamma_1, \Gamma_2, ... \vdash \Delta_1, \Delta_2, ...$ 

#### 5.1 Classical Translation

Theorem 4.  $\frac{\mathcal{T}(f(\sigma,l))}{\mathcal{T}(l)}$  is a classically admissible rule.

*Proof.* Here will show the implicit contradiction rule being used: if  $L - \sigma$  has sentences  $\{T\Gamma_1, T\Gamma_2, ...\}$  and  $\{F\Delta_1, F\Delta_2...\}$ , then:

$$- \text{ If } f = f_{T\neg}:$$

$$\frac{\mathcal{T}(f(T\neg\alpha,l))}{\mathcal{T}(L)} = \frac{\mathcal{T}((L-T\neg\alpha): T\neg\alpha: F\alpha)}{\mathcal{T}(L)}$$

$$= \frac{\Gamma, \neg\alpha \vdash \alpha, \Delta}{\Gamma, \neg\alpha \vdash \Delta} = \frac{\Gamma, \neg\alpha \vdash \alpha, \Delta}{\Gamma, \neg\alpha, \neg\alpha \vdash \Delta} \neg R$$

$$- \text{ If } f = f_{F\neg}:$$

$$\frac{\mathcal{T}(f(F \neg \alpha, l))}{\mathcal{T}(L)} = \frac{\Gamma, \neg \alpha \vdash \neg \alpha, \Delta}{\Gamma \vdash, \neg \alpha \Delta}$$
$$= \frac{\Gamma, \alpha \vdash \neg \alpha, \Delta}{\Gamma \vdash \neg \alpha, \neg \alpha \Delta} \neg L$$

- If 
$$f = f_{T \wedge}$$
:

$$\frac{\mathcal{T}(f(T(\alpha \wedge \beta), l))}{\mathcal{T}(L)} = \frac{\Gamma, \alpha, \beta, \alpha \wedge \beta \vdash \Delta}{\Gamma, \alpha \wedge \beta \vdash \Delta}$$
$$= \frac{\Gamma, \alpha, \beta \vdash \Delta}{\Gamma, \alpha, \beta, \alpha \wedge \beta \vdash \Delta} \wedge L$$
$$= \frac{\Gamma, \alpha, \beta \vdash \Delta}{\Gamma, \alpha \wedge \beta \vdash \Delta}$$

- If  $f = f_{F \wedge}$ :

$$\frac{\mathcal{T}(f(F(\alpha \wedge \beta), l))}{\mathcal{T}(L)} = \frac{\Gamma, \alpha, \alpha \wedge \beta \vdash \Delta \qquad \Gamma, \beta, \alpha \wedge \beta \vdash \Delta}{\Gamma, \vdash \alpha \wedge \beta, \Delta}$$

$$= \frac{\Gamma, \alpha, \alpha \wedge \beta \vdash \Delta \qquad \Gamma, \beta, \alpha \wedge \beta \vdash \Delta}{\frac{\Gamma \vdash \alpha \wedge \beta, \alpha \wedge \beta, \Delta}{\Gamma, \vdash \alpha \wedge \beta, \Delta}} \wedge R$$

- If  $f = f_{T\vee}$ :

$$\frac{\mathcal{T}(f(T(\alpha \vee \beta), l))}{\mathcal{T}(L)} = \frac{\Gamma, \alpha, \alpha \vee \beta \vdash \Delta \qquad \Gamma, \beta, \alpha \vee \beta \vdash \Delta}{\Gamma, \alpha \vee \beta \vdash \Delta}$$

$$= \frac{\Gamma, \alpha \vdash \alpha \vee \beta, \Delta \qquad \Gamma, \beta, \alpha \vee \beta \vdash \Delta}{\frac{\Gamma \vdash \alpha \vee \beta, \alpha \vee \beta, \Delta}{\Gamma \vdash \alpha \vee \beta, \Delta}} \vee L$$

- If  $f = f_{F\vee}$ :

$$\frac{\mathcal{T}(f(F(\alpha \vee \beta), l))}{\mathcal{T}(L)} = \frac{\Gamma \vdash \alpha \vee \beta, \alpha, \beta, \Delta}{\Gamma \vdash \alpha \vee \beta, \Delta}$$
$$= \frac{\Gamma \vdash \alpha \vee \beta, \alpha, \beta, \Delta}{\Gamma \vdash \alpha \vee \beta, \alpha \vee \beta, \alpha, \beta, \Delta} \land R$$
$$\Gamma \vdash \alpha \vee \beta, \Delta$$

- If  $f = f_{T \to}$ :

$$\frac{\mathcal{T}(f(T(\alpha \to \beta), l))}{\mathcal{T}(L)} = \frac{\Gamma, \alpha, \alpha \to \beta \vdash \Delta \qquad \Gamma, \alpha \to \beta \vdash \beta, \Delta}{\Gamma, \alpha \to \beta \vdash \Delta}$$
$$= \frac{\Gamma, \alpha, \alpha \to \beta \vdash \Delta \qquad \Gamma, \alpha \to \beta \vdash \beta, \Delta}{\frac{\Gamma, \alpha \to \beta, \alpha \to \beta \vdash \Delta}{\Gamma, \alpha \to \beta \vdash \Delta}} \to L$$

- If 
$$f = f_{F \to}$$
:

$$\frac{\mathcal{T}(f(F(\alpha \to \beta), l))}{\mathcal{T}(L)} = \frac{\Gamma, \alpha \vdash \beta, \alpha \to \beta, \Delta}{\Gamma \vdash \alpha \to \beta, \Delta}$$
$$= \frac{\Gamma, \alpha \vdash \beta, \alpha \to \beta, \Delta}{\Gamma \vdash \alpha \to \beta, \alpha \to \beta, \Delta} \to \mathbb{R}$$
$$\frac{\Gamma, \alpha \vdash \beta, \alpha \to \beta, \Delta}{\Gamma \vdash \alpha \to \beta, \Delta} \to \mathbb{R}$$

- If 
$$f = f_{T\forall}$$
:

$$\frac{\mathcal{T}(f(T(\forall x \, \phi(x)), l))}{\mathcal{T}(L)} = \frac{\Gamma, \forall x \, \phi(x), \phi(c_i) \vdash \Delta}{\Gamma, \forall x \, \phi(x), \phi(c_i) \vdash \Delta}$$

$$= \frac{\Gamma, \forall x \, \phi(x), \phi(c_i) \vdash \Delta}{\Gamma, \forall x \, \phi(x), \forall x \, \phi(x) \vdash \Delta} \forall L$$
and  $c_i$  does not occour in  $\Gamma$  or  $\Delta$ , as it is not in  $L - \sigma$ 

- If  $f = f_{F\forall}$ :

$$\frac{\mathcal{T}(f(F(\forall x \, \phi(x)), l))}{\mathcal{T}(L)} = \frac{\Gamma \vdash \phi(c_i), \forall x \, \phi(x), \Delta}{\Gamma \vdash \forall x \, \phi(x), \Delta}$$

$$= \frac{\Gamma \vdash \phi(c_i), \forall x \, \phi(x), \Delta}{\Gamma \vdash \forall x \, \phi(x), \forall x \, \phi(x), \Delta} \, \forall R$$

$$\frac{\Gamma \vdash \phi(c_i), \forall x \, \phi(x), \Delta}{\Gamma \vdash \forall x \, \phi(x), \Delta}$$

- If  $f = f_{T\exists}$ :

$$\frac{\mathcal{T}(f(T(\exists x \, \phi(x)), l))}{\mathcal{T}(L)} = \frac{\Gamma, \exists x \, \phi(x), \phi(c_i) \vdash \Delta}{\Gamma, \exists x \, \phi(x), \phi(c_i) \vdash \Delta}$$

$$= \frac{\Gamma, \exists x \, \phi(x), \phi(c_i) \vdash \Delta}{\Gamma, \exists x \, \phi(x), \exists x \, \phi(x) \vdash \Delta} \exists L$$

$$\Gamma, \exists x \, \phi(x) \vdash \Delta$$

$$\Gamma, \exists x \, \phi(x) \vdash \Delta$$

and  $c_i$  does not occour in  $\Gamma$  or  $\Delta$ , as it is not in  $L-\sigma$ - If  $f = f_{F\exists}$ :

$$\frac{\mathcal{T}(f(F(\exists x \, \phi(x)), l))}{\mathcal{T}(L)} = \frac{\Gamma \vdash \phi(c_i), \exists x \, \phi(x), \Delta}{\Gamma \vdash \exists x \, \phi(x), \Delta}$$

$$= \frac{\Gamma \vdash \phi(c_i), \exists x \, \phi(x), \Delta}{\Gamma \vdash \exists x \, \phi(x), \exists x \, \phi(x), \Delta} \exists R$$

$$\Gamma \vdash \exists x \, \phi(x), \exists x \, \phi(x), \Delta$$

$$\Gamma \vdash \exists x \, \phi(x), \Delta$$

Definition 17. Tree Translating Function Given a tableaux proof tree development and its root  $r \tau$ , we define  $\mathcal{T}_p(\tau)$ :

- if au=r and there are  $T\sigma$  and  $F\sigma$  on r:  $\mathcal{T}_p( au)=\overline{\mathcal{T}(r)}^{Ax\ \sigma}$
- if  $\tau = r$  and there is no  $\sigma$  such that  $T\sigma$  and  $F\sigma$  are on r:  $\mathcal{T}_p(\tau) = \mathcal{T}(\tau)$
- if r has a single child  $r_0$  with a corresponding subtree  $\tau_0$ : by definition, it exits a sentence  $\sigma$  such that  $f(\sigma,r)=f_{Rule}(\sigma,r)=r_0$ , and

 $\mathcal{T}_p( au) = \frac{\mathcal{T}_p( au_0)}{\mathcal{T}(r)}$  Rule on  $\sigma$ .

- if r has two children  $r_1$  and  $r_2$  with corresponding subtrees  $\tau_1$  and  $\tau_2$ : by definition there exists a sentence  $\sigma$  such that  $f(\sigma,r) = f_{Rule}(\sigma,r) =$  $\{r_1, r_2\}$ , and so:

 $\mathcal{T}_p( au) = rac{\mathcal{T}_p( au_1) \qquad \mathcal{T}_p( au_2)}{\mathcal{T}(r)}$  Rule on  $\sigma$ 

**Theorem 5.** Given a classical tableaux development  $\tau$ ,  $\mathcal{T}_p(\tau)$  is a valid sequent proof

*Proof.* The proof goes by induction on the size of  $\tau$ :

- if  $\tau = r$  then there are  $T\sigma$  and  $F\sigma$  on r,  $\mathcal{T}_p(\tau) = \overline{\mathcal{T}(r)}^{Ax \sigma}$  is a valid sequent
- if r has a single child  $r_0$  with a corresponding subtree  $\tau_0$ :  $\mathcal{T}_p(\tau) = \frac{\mathcal{T}_p(\tau_0)}{\mathcal{T}(r)}$  Rule on  $\sigma$ is a valid sequent proof since  $\mathcal{T}_p(\tau_0)$  is valid by induction hypothesis and the rule is admissible by the previous theorem.
- if r has two children  $r_1$  and  $r_2$  with corresponding subtrees  $\tau_1$  and  $\tau_2$ :

 $\mathcal{T}_p(\tau) = \frac{\mathcal{T}_p(\tau_1) \quad \mathcal{T}_p(\tau_2)}{\mathcal{T}(r)}$  Rule on  $\sigma$  is a valid sequent proof since  $\mathcal{T}_p(\tau_1)$ 

and  $\mathcal{T}_p(\tau_2)$  are valid by induction hypothesis and the rule is valid by the previous theorem.

#### Intuitionistic Translation 5.2

When examining the tableaux proof of  $\neg (A \land \neg A) \land (\neg X \land \neg Y)$  and attempting to choose appropriate w's for translation, as we did for classical logic, we encounter an issue with the  $\neg R$  rule: "useless" terms from our cumulative tableaux method can "overcrowd" the right side of the sequent equation. A naive solution would be to remove all useless terms. Another way to view this is that the sequent calculus has a Weakening rule that we have not yet utilized.

Definition 18. Tree Thinning Function Given a tableaux proof tree development and its root r, we define  $\mathcal{F}(\tau)$ :

- If 
$$\tau = r$$
 then there are  $T_f \sigma$  and  $F_f \sigma$  on  $r$ :  
 $\mathcal{F}(\tau) = [T_f \sigma, F_f \sigma]$ 

14

In this case:

• If  $(f(\sigma,r)-r) \cap r_0' \neq \emptyset$   $\mathcal{F}(\tau) = a$  tree with root  $(r_0' - f(\sigma,\sigma)) : \sigma$  connected to the child  $r_0' : (f(\sigma,\sigma)-\sigma)$ , that has as a child the subtree  $\mathcal{F}(\tau_0)$ 

- If  $(f(\sigma, r) r) \cap r'_0 = \emptyset$  $\mathcal{F}(\tau) = \mathcal{F}(\tau_0)$
- If r has the children  $f(\sigma,r)[1]$  and  $f(\sigma,r)[2]$ with the corresponding subtrees  $\tau_1$  and  $\tau_2$ ,  $r'_1$  is the root of  $\mathcal{F}(\tau_1)$  and  $r'_2$  is the root of  $\mathcal{F}(\tau_2)$ , then  $((f(\sigma,r)[1]-r)\cap r'_1)\cup ((f(\sigma,r)[2]-r)\cap r'_2)$  also defines if f was "usefull":
  - If  $((f(\sigma,r)[1]-r)\cap r'_1)\cup ((f(\sigma,r)[2]-r)\cap r'_2)\neq\emptyset$ :  $\mathcal{F}(\tau)=a \ tree \ with \ root \ (r'_1-f(\sigma,\sigma)[1]): (r'_2-f(\sigma,\sigma)[2]): \sigma \ connected$ to the children  $r'_1: (f(\sigma,\sigma)[1]-\sigma) \ and \ r'_2: (f(\sigma,\sigma)[2]-\sigma), \ each \ having$   $\mathcal{F}(\tau_1) \ and \ \mathcal{F}(\tau_2), \ respectively, \ bellow \ them.$
  - If  $((f(\sigma,r)[1]-r)\cap r_1')\cup ((f(\sigma,r)[2]-r)\cap r_2')\neq\emptyset$ :  $\mathcal{F}(\tau)=\mathcal{F}(\tau_1)/TODO/sera$ ??

We must, of course, be sure we have not removed "too much":

**Theorem 6.** Given a tableaux proof  $\tau$ , the root r' of  $\mathcal{F}(\tau)$  is intuitionistically invalid

*Proof.* The proof goes by induction on the size of  $\tau$ :

- If  $\tau = r$ ,  $\mathcal{F}(\tau) = [T_f \sigma, F_f \sigma]$ . The theorem holds
- If r has a single child  $f(\sigma, r)$ :

with a corresponding subtree  $\tau_0$  and  $r'_0$  is the root of  $\mathcal{F}(\tau_0)$ :

by induction, that both are intuitionistically invalid.

• If  $(f(\sigma,r)-r)\cap r_0'\neq\emptyset$ :  $\mathcal{F}(\tau)$  has the root  $(r_0'-f(\sigma,\sigma)):\sigma$ By induction hipotesis, we know  $r_0'$  to be intuitionistically invalid. We also know that the intuitionistic validity of  $\sigma$  implies the intuitionistic validity of  $f(\sigma,\sigma)$ :

Assume r' to be valid, then  $f(\sigma, r')$  should be valid then  $r'_0 \supseteq f(\sigma, r')$  should be also valid

• If  $(f(\sigma, r) - r) \cap r'_0 = \emptyset$  $\mathcal{F}(\tau) = \mathcal{F}(\tau_0)$ 

And, by induction hipotesis, we know  $r'_0$  to be intuitionistically invalid

- if r has the children  $f(\sigma,r)[1]$  and  $f(\sigma,r)[2]$ :
  - If  $(f(\sigma,r)-r)\cap (r_1'\cup r_2')\neq\emptyset$ :  $\mathcal{F}(\tau)$  has the root  $(r_0'-f(\sigma,\sigma)):\sigma$ , Assume r' to be valid, then either  $f(\sigma,r')[1]$  or  $f(\sigma,r')[2]$  should be intuitionistically valid then either  $r_1'\supseteq f(\sigma,r')[2]$  or  $r_1'\supseteq f(\sigma,r')[2]$  should be intuitionistically valid. We know,

• If 
$$(f(\sigma, r) - r) \cap (\mathcal{F}(r'_1) \cup \mathcal{F}(r'_2)) = \emptyset$$
:  
 $\mathcal{F}(\tau) = \mathcal{F}(\tau_1)$  is valid by induction.

**Theorem 7.** If a tableaux proof tree  $\tau$  does not branch, the root r' of  $\mathcal{F}(\tau)$  has not more than 2 signed sentences

*Proof.* The proof goes by induction on the size of  $\tau$ :

- If  $\tau = r$ ,  $\mathcal{F}(\tau) = [T_f \sigma, F_f \sigma]$ . The theorem holds
- If r has a single child  $f(\sigma, r)$ :

with a corresponding subtree  $\tau_0$  and  $r'_0$  is the root of  $\mathcal{F}(\tau_0)$ :

- If  $(f(\sigma, r) r) \cap r'_0 \neq \emptyset$ : the root r' of  $\mathcal{F}(\tau)$  is  $(r'_0 - f(\sigma, \sigma)) : \sigma$ by induction hipotesis  $\#(r'_0) \leq 2$  and so  $\#[(r'_0 - f(\sigma, \sigma)) : \sigma] \leq 2$
- If  $(f(\sigma, r) r) \cap r'_0 = \emptyset$   $\mathcal{F}(\tau) = \mathcal{F}(\tau_0)$ and so  $\#(r') = \#(r'_0) \le 2$

**Theorem 8.** (proof/search for a counter ex) If a tableau proof  $\tau$  does not branch, the root r' of  $\mathcal{F}(\tau)$  has not more than 1 signed sentences F

Assume that r' has more than 1 signed sentences of type F, by the previous theorems,  $r' = [F_p A, F_q B]$  and is intuitionistically invalid.

By theorem [],  $\mathcal{T}(min(p,q), [F_pA, F_qB]) = \vdash A, B$  is valid. Thus, either  $\vdash B$  or  $\vdash A$  is valid. That leads to a contradiction, as if  $r' = [F_pA]$  is invalid then the "useless"  $F_qB$  should not be in r'.

**Theorem 9.** Given a tableaux proof  $\tau$ , the root r' of  $\mathcal{F}(\tau)$  is intuitionistically invalid

*Proof.* The proof goes by induction on the size of  $\tau$ :

- If  $\tau = r$ ,  $\mathcal{F}(\tau) = [T_f \sigma, F_f \sigma]$ . The theorem holds
- If r has a single child  $f(\sigma, r)$ :

with a corresponding subtree  $\tau_0$  and  $r'_0$  is the root of  $\mathcal{F}(\tau_0)$ :

• If  $(f(\sigma,r)-r)\cap r_0'\neq\emptyset$ :  $\mathcal{F}(\tau)=$  a tree with root  $(r_0'-f(\sigma,\sigma)):\sigma$  connected to the child  $r_0':(f(\sigma,\sigma)-\sigma)$ , that has as a child the subtree  $\mathcal{F}(\tau_0)$ 

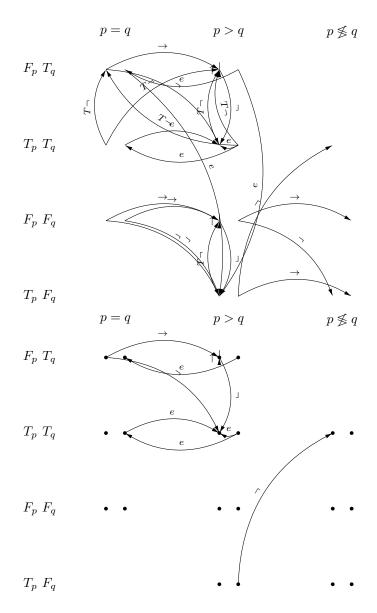
By induction hipotesis, we know  $r_0'$  to . We also know that the intuitionistic validity of  $\sigma$  implies the intuitionistic validity of  $f(\sigma, \sigma)$ :

Assume r' to be valid, then  $f(\sigma, r')$  should be valid then  $r'_0 \supseteq f(\sigma, r')$  should be also valid

• If  $(f(\sigma, r) - r) \cap r'_0 = \emptyset$  $\mathcal{F}(\tau) = \mathcal{F}(\tau_0)$ 

And, by induction hipotesis, we know  $r'_0$  to be intuitionistically invalid

- if r has the children  $f(\sigma, r)[1]$  and  $f(\sigma, r)[2]$ :



## References

- Nerode, A., Shore, R.A.: Logic for Applications. 2nd edn. Texts in Computer Science. Springer, New York, NY (1997). https://doi.org/10.1007/978-1-4612-0649-1
- 2. Dummett, M.: Elements of Intuitionism. Oxford Logic Guides. Clarendon Press (2000). https://books.google.fr/books?id=JVFzknbGBVAC
- 3. Kripke, S.A.: Semantical analysis of intuitionistic logic I. In: Studies in Logic and the Foundations of Mathematics. Elsevier (1965)

4. Svejdar, V.: On Sequent Calculi for Intuitionistic Propositional Logic. CMUC (2005). https://www.muni.cz/en/research/publications/1234567