```
import os
import pandas as pd
import numpy as np
from matplotlib import pyplot as plt
from sklearn.preprocessing import LabelEncoder

import torch
import torch.utils.data as data
import torch.optim as optim
import torch.nn as nn
```

Select run configurations

```
In [2]: # 'MF' - baseline ... just standard Matrix Factorization
    # 'NCF' - collaborative ... using NeuMF (GMF==MF + MLP) architecture according to the paper
    # 'hybrid' - final model ... duplicated NCF with one branch using pretrained book embeddings
    architecture = "NCF"

# 'colab' vs 'paperspace'
environment = "paperspace"
```

Load data

```
if environment == "colab":
    from google.colab import drive
    drive.mount("/content/drive", force_remount=True)
    data_path = "/content/drive/Shareddrives/KNN-Recommenders/data/"
else:
    data_path = "/notebooks/data/"

df = pd.read_csv(data_path + "book_interactions_kaggle.csv")
    df.head()
```

```
book_id user_id rating
0 2767052
              314
                       5
1 2767052
              439
                       3
2 2767052
                       5
              588
3 2767052
             1169
                       4
4 2767052
              1185
                       4
```

It's necessary to tranform ids to labels as they can have higher values than their count (index errors)

```
In [4]: labelEncoder = LabelEncoder()
df["user_label"] = labelEncoder.fit_transform(df["user_id"])
df["book_label"] = labelEncoder.fit_transform(df["book_id"])

df.head()
```

```
book_id user_id rating user_label book_label
Out[4]:
         0 2767052
                                          313
                                                     6191
                         314
                                 5
         1 2767052
                         439
                                 3
                                          438
                                                     6191
         2 2767052
                         588
                                 5
                                          587
                                                     6191
         3 2767052
                        1169
                                         1168
                                                     6191
          4 2767052
                        1185
                                 4
                                         1184
                                                     6191
```

Basic statistics

```
In [5]: num_users = df["user_id"].unique().shape[0]
    num_books = df["book_id"].unique().shape[0]
    df_len = df.shape[0]
    print("Users: {}".format(num_users))
    print("Books: {}".format(num_books))
    print("Interactions: {}".format(df_len))
    print("Density: {}/{} ... {} %".format(df_len, num_users*num_books, round(100*df_len/(num_users*num_books), 4))
```

Users: 53423 Books: 9996 Interactions: 979104

Density: 979104/534016308 ... 0.1833 %

In case of final hybrid model, load also the pretrained book embeddings

```
In [6]: def csv_embedding_to_dict(filename):
    df_embed = pd.read_csv(data_path + filename)
    df_embed.set_index("book_id", inplace=True)
    return {book_id_to_label[id]:row.values.tolist() for id, row in df_embed.iterrows()}
```

```
if architecture == "hybrid":
    pretrained book embeddings = csv embedding to dict("book embedding kaggle 64.csv")
```

```
book_id_to_label = {row["book_id"]:row["book_label"] for i, row in df.drop_duplicates("book_id").iterrows()
          Use GPU if possible
          device = "cuda" if torch.cuda.is available() else "cpu"
 In [7]:
          device
          'cuda'
          Prepare torch dataloaders for the training
 In [8]: class DataSet(data.Dataset):
                  Base dataset for data loaders """
               def
                    __init__(self, users, books, ratings):
                   super(DataSet, self). init ()
                   self.users = torch.tensor(users, dtype=torch.long, device=device)
self.items = torch.tensor(books, dtype=torch.long, device=device)
                   self.ratings = torch.tensor(ratings, dtype=torch.float, device=device)
              def __len__(self):
                   return len(self.users)
              def __getitem (self, idx):
                   return self.users[idx], self.items[idx], self.ratings[idx]
 In [9]: batch_size = 256 # proposed in NCF paper + lower doesn't have better results, just trains longer (tried 32, 64,
          # split to train, validation, test datasets ... 70-20-10
          if not os.path.exists(data_path + "train-df.csv"):
               train_df, valid_df, test_df = np.split(df.sample(frac=1), [int(.7 * df_len), int(.9 * df_len)])
               # session may be terminated, so to remember
              train_df.to_csv(data_path + "train-df.csv", index=False)
valid_df.to_csv(data_path + "valid-df.csv", index=False)
test_df.to_csv(data_path + "test-df.csv", index=False)
              train df = pd.read csv(data path + "train-df.csv")
               valid_df = pd.read_csv(data_path + "valid-df.csv")
               test df = pd.read csv(data path + "test-df.csv")
          # create datasets
          train_dataset = DataSet(train_df["user_label"].values, train_df["book_label"].values, train_df["rating"].values
          valid dataset = DataSet(valid df["user label"].values, valid df["book label"].values, valid df["rating"].values
          test_dataset = DataSet(test_df["user_label"].values, test_df["book_label"].values, test_df["rating"].values)
          # create dataloaders
          train dataloader = data.DataLoader(train dataset, batch size=batch size, shuffle=True)
          valid_dataloader = data.DataLoader(valid_dataset, batch_size=batch_size, shuffle=True)
          Model - definition and training
In [10]: # model path for saving for given architecture
          model_path = "{}{}-model".format(data_path, architecture)
In [11]: # inspired by https://github.com/guoyang9/NCF/blob/master/model.py
```

```
class Model(nn.Module):
    def __init__(self, user_num, book_num, embedding_dim=32, num_of_layers=3):
        super(Model, self).__init__()
        # baseline MF embeddings
        self.embed user GMF = nn.Embedding(user num, embedding dim)
        self.embed_book_GMF = nn.Embedding(book_num, embedding_dim)
        if architecture == "MF":
            self.create_predict_layer_and_init_weights(embedding_dim)
            return
        # added MLP branch of NCF architecture
        embed mul lambda = lambda x: 2 ** (num of layers - x)
        self.embed_user_MLP = nn.Embedding(user_num, embedding_dim * embed_mul_lambda(1))
        self.embed_book_MLP = nn.Embedding(book_num, embedding_dim * embed_mul_lambda(1))
        MLP_layers = []
        dropouts = [0.5] + [0.3] * (num of layers - 1)
        for i in range(num_of_layers):
    dim = embedding_dim * embed_mul_lambda(i)
            MLP_layers.append(nn.Dropout(p=dropouts[i]))
            MLP_layers.append(nn.Linear(dim, dim // 2))
            MLP_layers.append(nn.ReLU())
        self.MLP_layers = nn.Sequential(*MLP_layers)
        if architecture == "NCF"
            self.create predict layer and init weights(2 * embedding dim) # GMF + MLP concatenates -> twice the
            return
```

```
# to NeuMF is concatenated pretrained book embedding of the same size
                 self.create_predict_layer_and_init_weights(4 * embedding_dim)
             def create predict layer and init weights(self, dimension):
                   " Helper method for creating last prediction layer and
                     initializing weights as different architectures are supported.
                 self.predict_layer = nn.Linear(dimension, 1)
                 self.init weights()
             def init_weights(self):
                   '" Initializes model according to original NCF paper. """
                 # Xavier for prediction - in paper they used kaiming but we don't have sigmoid activation
                 nn.init.xavier uniform (self.predict layer.weight)
                 # embeddings from normal distribution
                 standard_deviation = 0.01
                 nn.init.normal (self.embed user GMF.weight, std=standard deviation)
                 nn.init.normal_(self.embed_book_GMF.weight, std=standard_deviation)
                 if architecture == "MF":
                     return
                 nn.init.normal_(self.embed_user_MLP.weight, std=standard_deviation)
                 nn.init.normal_(self.embed_book_MLP.weight, std=standard_deviation)
                 # use Xavier for the MLP network
                 for layer in [x for x in self.MLP_layers if isinstance(x, nn.Linear)]:
                     nn.init.xavier_uniform_(layer.weight)
             def forward(self, users, books):
                    'Implementation of pytorch nn.Module forward method == computation. """
                 output GMF = self.embed user GMF(users) * self.embed book GMF(books)
                 if architecture == "MF"
                     return self.predict layer(output GMF).view(-1)
                 output_MLP = self.MLP_layers(torch.cat([self.embed_user_MLP(users), self.embed_book_MLP(books)], -1))
                 if architecture == "NCF"
                     return self.predict layer(torch.cat((output GMF, output MLP), -1)).view(-1)
                 pretrained_embeddings = [pretrained_book_embeddings[label] for label in books.tolist()]
                 pretrained_embeddings = torch.tensor(pretrained_embeddings, device=device)
                 return self.predict layer(torch.cat((pretrained embeddings, output GMF, output MLP), -1)).view(-1)
In [12]: class ModelTrainer:
```

```
Class responsible for training the model. """
     init (self, model, train dataloader, valid dataloader):
    \overline{\text{self.model}} = \text{model}
    self.train_data = train_dataloader
    self.valid_data = valid_dataloader
    self.batch_iters = {"Train": len(train dataloader), "Valid": len(valid dataloader)}
    self.epochs = 1
    self.loss_values = {"Train": [], "Valid": []}
    self.best_loss = 1e6
    self.criterion = nn.MSELoss()
    self.optimizer = optim.Adam(model.parameters(), lr=1e-3)
def train(self. epochs=6):
      " Standard model training. In each batch are updated statistics.
       At the end of each epoch the current model is saved and validation run.
    self.epochs = epochs
    for epoch in range(1, epochs + 1):
        self.model.train()
        # Adam overfits extremely quickly here (almost done after first epoch) -> SGD to slow down
        if epoch == 2:
            self.optimizer = optim.SGD(model.parameters(), lr=5e-4)
        loss sum = 0
        for users, books, ratings in self.train data:
            self.optimizer.zero_grad()
            predictions = self.model(users, books)
            loss = self.criterion(predictions, ratings)
            loss_sum += loss.item()
            loss.backward()
            self.optimizer.step()
        self.eval epoch(loss sum, "Train", epoch)
        self.validate(epoch)
```

```
def validate(self, epoch):
                     " Validates the model after each epoch on validation dataset. """
                   self.model.eval()
                   loss_sum = 0
                   for users, books, ratings in self.valid_data:
                       predictions = self.model(users, books)
                       loss = self.criterion(predictions, ratings)
                       loss sum += loss.item()
                   self.eval epoch(loss sum, "Valid", epoch)
              def eval_epoch(self, loss_sum, phase, epoch):
                    "" Helper method for finalizing and printing epoch statistics. """
                   data = self.train data if phase == "Train" else self.valid data
                   count = len(data.dataset.items)
                   loss = loss_sum / self.batch_iters[phase]
                   self.loss_values[phase].append(loss) # update for plot
                  # save the best in case we overtrain - quite fast in collaborative filtering
if phase == "Valid" and loss < self.best_loss:</pre>
                       self.best_loss = loss
                       self.save model(model path)
                   print_stats = [phase, epoch, self.epochs, loss]
                   print("{}: Epoch: [{}/{}] Loss: {:.6f} ".format(*print_stats))
              def plot_loss(self):
                      ' Plots loss during training and validation. """
                  plt.plot(self.loss_values["Train"], label = "Train")
plt.plot(self.loss_values["Valid"], label = "Val")
                   plt.legend()
                  plt.show()
              def save_model(self, location="model"):
                   """ Saves model to specified location. """
                  torch.save(self.model.state_dict(), location)
          model = Model(num_users, num_books).to(device)
In [13]:
          trainer = ModelTrainer(model, train_dataloader, valid_dataloader)
          trainer.train()
          Train: Epoch: [1/6] Loss: 1.029509
          Valid: Epoch: [1/6]
                                Loss: 0.743566
                               Loss: 0.655466
          Train: Epoch: [2/6]
          Valid: Epoch: [2/6]
                               Loss: 0.734033
          Train: Epoch: [3/6]
                                Loss: 0.655215
          Valid: Epoch: [3/6]
                               Loss: 0.734277
          Train: Epoch: [4/6]
                                Loss: 0.654303
          Valid: Epoch: [4/6]
                                Loss: 0.733410
          Train: Epoch: [5/6]
                                Loss: 0.653463
          Valid: Epoch: [5/6]
                                Loss: 0.733865
          Train: Epoch: [6/6]
                               Loss: 0.653338
          Valid: Epoch: [6/6] Loss: 0.732945
In [14]: trainer.plot_loss()
                                                        Train
          1.00
                                                       - Val
          0.95
          0.90
          0.85
          0.80
          0.75
          0.70
          0.65
          Evaluate the model on the test dataset
```

```
In [15]: class ModelTester:
    """ Loads a trained model and runs it against test dataset. """

def __init__(self, model, location):
    self.model = model.to(device)
    self.model.load_state_dict(torch.load(location))
    self.model.eval()
    self.criterion = nn.MSELoss()
    self.stats = {}
```

```
self.predictions = []

def test(self, test_dataset, test_df):
    """ Tests the model against given data """

self.stats = {"loss": 0, "hits": 0}
self.predictions = []

for user, book, rating in test_dataset:
    # make prediction
    prediction = self.model(torch.reshape(user, (-1,)), torch.reshape(book, (-1,)))
    self.predictions.append(prediction.item())

# compute statistics
self.stats["loss"] += self.criterion(prediction.squeeze(), rating).item()
if rating == round(prediction.item()):
    self.stats["hits"] += 1

# print results
count = len(test_dataset.items)
print("Test_dataset metrics: ")
print("Loss: {:.6f}".format(self.stats["loss"] / count_))
print("Hit acc: {:.3f} %".format(100 * self.stats["hits"] / count))
```

In [16]: tester = ModelTester(Model(num_users, num_books), model_path)
 tester.test(test_dataset, test_df)

Test dataset metrics: Loss: 0.732287 Hit acc: 43.630 %