Operating systems

Interprocess communication (IPC)
Part 1 of 3: System V IPC
Semaphores
Lecture 3.1

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Unix System V (aka "System Five")

Unix System V is one of the first commercial versions of the Unix operating system. It was originally developed by AT&T and first released in 1983. Four major versions of System V were released, numbered 1, 2, 3, and 4. System V is sometimes abbreviated to SysV.

Interprocess communication (IPC)

Interprocess communication (IPC) refers to mechanisms that coordinate activities among cooperating processes. A common example of this need is managing access to a given system resource.





System V IPCs refers to three different mechanisms for interprocess communication:

- Semaphores let processes to synchronize their actions. A semaphore
 is a kernel-maintained value, which is appropriately modified by
 system's processes before performing some critical actions
- Shared memory enables multiple processes to share a their region of memory.
- Message queues can be used to pass messages among processes.

Other IPC

- Signals
- Pipes
- FIFOs





Creating and Opening





Creating and opening a System V IPC object

Each System V IPC mechanism has an associated *get* system call (msgget, semget, or shmget), which is analogous to the open system call.

Given an integer key (analogous to a filename), the *get* system call can either first create a new IPC, and then returns its unique identifier, or returns the identifier of an existing IPC.

An IPC *identifier* is analogous to a *file descriptor*. It is used in all subsequent system calls to refer to the IPC object.



Creating and opening a System V IPC object

Example showing how to create a semaphore (overview)

```
// PERM: rw-----
id = semget(key, 10 ,IPC_CREAT | S_IRUSR | S_IWUSR);
if (id == -1)
    errExit(semget);
```

As with all of the *get* calls, the *key* is the first argument. It is a value sensible for the application using the IPC object. The returned IPC *identifier* is a unique code identifying the IPC object in the system.

Mapping with the open(...) system call:

```
key ->filename id ->file descriptor
```



System V IPC keys

System V IPC keys are integer values represented using the data type key_t. The IPC get calls translate a key into the corresponding integer IPC identifier.

So, how do we provide a unique key that guarantees we do not accidentally obtain the identifier of an existing IPC object used by some other application?



System V IPC keys - IPC_PRIVATE flag

When creating a new IPC object, the key may be specified as IPC_PRIVATE. In this way, we delegate the problem of finding a unique key to the kernel.

Example of the usage of IPC_PRIVATE:

```
id = semget(IPC PRIVATE, 10, S IRUSR | S IWUSR):
```

This technique is especially useful in *multiprocess applications* where the parent process creates the IPC object prior to performing a fork(), with the result that the child inherits the identifier of the IPC object.





System V IPC keys - ftok()

The ftok (file to key) function converts a pathname and a proj_id (i.e., project identifier) to a System V IPC key.

```
#include <sys/ipc.h>

// Returns integer key on success, or -1 on error (check errno)
key_t ftok(char *pathname, int proj_id);
```

The provided pathname has to refer to an existing, accessible file. The last 8 bits of proj_id are actually used, and they have to be a nonzero value).

Typically, pathname refers to one of the files, or directories, created by the application.



System V IPC keys - ftok()

Example shows a typical usage of the function ftok

```
key_t key = ftok("/mydir/myfile", 'a');
if (key == -1)
    errExit("ftok failed");

int id = semget(key, 10, S_IRUSR | S_IWUSR);
if (id == -1)
    errExit("semget failed");
```

Example: Character "a"

- ASCII = 097
- Binary = 01100001



Data Structures





Associated Data Structure - ipc_perm

The kernel maintains an associated data structure (msqid_ds, semid_ds, shmid_ds) for each instance of a System V IPC object. As well as data specific to the type of IPC object, each associated data structure includes the substructure ipc_perm holding the granted permissions.

```
struct ipc_perm {
   key_t __key;
                     /* Key, as supplied to 'get' call */
   uid_t uid;
                      /* Owner's user ID */
   gid_t gid;
                   /* Owner's group ID */
   uid_t cuid; /* Creator's user ID */
   gid_t cgid;
             /* Creator's group ID */
   unsigned short mode; /* Permissions */
   unsigned short __seq; /* Sequence number */
};
```





Associated Data Structure - ipc_perm

- The uid and gid fields specify the ownership of the IPC object.
- The cuid and cgid fields hold the user and group IDs of the process that created the object.
- The mode field holds the permissions mask for the IPC object, which
 are initialized using the lower 9 bits of the flags specified in the get
 system call used to create the object.

Some important notes about ipc_perm:

- The cuid and cgid fields are immutable.
- Only read and write permissions are meaningful for IPC objects. Execute permission is meaningless, and it is ignored.





Associated Data Structure - ipc_perm - Example

Example shows a typical usage of the semctl to change the owner of a semaphore.

```
struct semid_ds semq;
// get the data structure of a semaphore from the kernel
if (semctl(semid, 0, IPC_STAT, &semq) == -1)
    errExit("semctl get failed");
// change the owner of the semaphore
semq.sem_perm.uid = newuid;
// update the kernel copy of the data structure
if (semctl(semid, IPC_SET, &semq) == -1)
    errExit("semctl set failed");
```

Similarly, the shmctl and msgctl system calls are applied to update the kernel data structure of a *shared memory* and *message queue*.



IPCs Commands





IPCs Commands

ipcs





The ipcs command

Using ipcs, we can obtain information about IPC objects on the system. By default, ipcs displays all objects, as in the following example:

```
user@localhost[~]$ ipcs
----- Message Queues -----
key msqid owner
                                used-bytes messages
                    perms
0x1235 26 student
                        620
                                 12
                                           20
----- Shared Memory Segments -----
kev
      shmid
               owner
                        perms
                                bytes
                                         nattch
                                                  status
0x1234 0
              professor 600
                                 8192
----- Semaphore Arrays ------
      semid
key
               owner
                        perms
                                 nsems
0x1111 102 professor 330
                                 20
```



IPCs Commands

ipcrm





The ipcrm command

Using ipcrm, we can remove IPC objects from the system. Remove a message queue:

```
ipcrm -Q 0x1235 ( 0x1235 is the key of a queue )
ipcrm -q 26 ( 26 is the identifier of a queue )
```

Remove a shared memory segment

```
ipcrm -M 0x1234 ( 0x1234 is the key of a shared memory seg. )
ipcrm -m 0 ( 0 is the identifier of a shared memory seg. )
```

Remove a semaphore array

```
ipcrm -S 0x1111 ( 0x1111 is the key of a semaphore array )
ipcrm -s 102 ( 102 is the identifier of a semaphore array )
```





Semaphores





Semaphores

Creating and Opening





Creating/Opening a Semaphore Set

The semget system call creates a new **semaphore set** or obtains the identifier of an existing set.

```
#include <sys/sem.h>
// Returns semaphore set identifier on success, or -1 error
int semget(key_t key, int nsems, int semflg);
```

The key arguments are: an IPC key, nsems specifies the number of semaphores in that set, and must be greater than 0. semflg is a bit mask specifying the permissions (see open(...) system call, mode argument) to be places on a new semaphore set or checked against an existing set. In additions, the following flags can be ORed (|) in semflg:

- IPC_CREAT: If no semaphore set with the specified key exists, create a new set.
- IPC_EXCL: in conjunction with IPC_CREAT, it makes semget fail if a semaphore set exists with the specified key.



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Creating/Opening a Semaphore Set

Example showing how to create a semaphore set having 10 semaphores

```
int semid:
ket_t key = //... (generate a key in some way, i.e. with ftok)
// A) delegate the problem of finding a unique key to the kernel
semid = semget(IPC_PRIVATE, 10, S_IRUSR | S_IWUSR);
// B) create a semaphore set with identifier key, if it doesn't already exist
semid = semget(key, 10, IPC_CREAT | S_IRUSR | S_IWUSR);
//C) create a semaphore set with identifier key, but fail if it exists already
semid = semget(key, 10, IPC_CREAT | IPC_EXCL | S_IRUSR | S_IWUSR);
```



The semctl system call performs a variety of control operations on a semaphore set or on an individual semaphore within a set.

```
#include <sys/sem.h>

// Returns nonnegative integer on success, or -1 error
int semctl(int semid, int semnum, int cmd, ... /* union semun arg */);
```

The semid argument is the identifier of the semaphore set on which the operation is to be performed.

Certain control operations (cmd) require a third/fourth argument. Before presenting the available control operations on a semaphore set, the union semun is introduced.



Semaphore Control Operations - union semun

The union semun must be **explicitly defined by the programmer** before calling the semctl system call.

```
#ifndef SEMUN_H
#define SEMUN_H
#include <sys/sem.h>
// definition of the union semun
union semun {
   int val;
   struct semid_ds * buf;
   unsigned short * array;
};
#endif
```



Semaphores

Control Operations





Generic control operations

```
int semctl(semid, 0 /*ignored*/, cmd, arg);
```

- IPC_RMID: Immediately remove the semaphore set. Any processes blocked is awakened (error set to EIDRM). The arg argument is not required.
- IPC_STAT: Place a copy of the semid_ds data structure associated with this semaphore set in the buffer pointed to by arg.buf.
- ICP_SET: Update selected fields of the semid_ds data structure associated with this semaphore set using values in the buffer pointed to by arg.buf.





Generic control operations

```
struct semid ds {
   struct ipc_perm sem_perm; /* Ownership and permissions */
   time_t sem_otime; /* Time of last semop() */
   time_t sem_ctime; /* Time of last change */
   unsigned long sem_nsems; /* Number of semaphores in set */
};
```

Only the subfields *uid*, *gid*, and *mode* of the substructure *sem_perm* can be updated via IPC_SET.





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Generic control operations (Example)

Example showing how to **change the permissions** of a semaphore set

```
ket_t key = //... (generate a key in some way, i.e. with ftok)
// get, or create, the semaphore set
int semid = semget(key, 10, IPC_CREAT | S_IRUSR | S_IWUSR);
// instantiate a semid_ds struct
struct semid_ds ds;
// instantiate a semun union (defined manually somewhere)
union semun arg;
arg.buf = &ds;
// get a copy of semid_ds structure belonging to the kernel
if (semctl(semid, 0 /*ignored*/, IPC_STAT, arg) == -1)
   errExit("semctl IPC STAT failed"):
// update permissions to guarantee read access to the group
arg.buf->sem_perms.mode |= S_IRGRP;
// update the semid_ds structure of the kernel
if (semctl(semid, 0 /*ignored*/, IPC_SET, arg) == -1)
   errExit("semctl IPC SET failed"):
```



Generic control operations (Example)

Example showing how to remove semaphore set

```
if (semctl(semid, 0/*ignored*/, IPC_RMID, 0/*ignored*/) == -1)
    errExit("semctl failed");
else
    printf("semaphore set removed successfully\n");
```





Retrieving and initializing semaphore values

```
int semctl(semid, semnum, cmd, arg);
```

- SETVAL: the value of the *semnum-th* semaphore in the set referred to by semid is initialized to the value specified in arg.val.
- GETVAL: as its function result, semctl returns the value of the semnum-th semaphore in the semaphore set specified by semid. The arg argument is not required.

```
int semctl(semid, 0 /*ignored*/, cmd, arg);
```

- SETALL: initialize all semaphores in the set referred to by *semid*, using the values supplied in the array pointed to by *arg.array*.
- GETALL: retrieve the values of all of the semaphores in the set referred to by semid, placing them in the array pointed to by arg.array.

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Retrieving and initializing semaphore values (Example)

Example showing how to **initialize a specific semaphore** in a semaphore set

```
ket_t key = //... (generate a key in some way, i.e. with ftok)
// get, or create, the semaphore set
int semid = semget(key, 10, IPC_CREAT | S_IRUSR | S_IWUSR);
// set the semaphore value to 0
union semun arg;
arg.val = 0;
// initialize the 5-th semaphore to 0
if (semctl(semid, 5, SETVAL, arg) == -1)
    errExit("semctl SETVAL");
```

A semaphore set must be always initialized before using it!



Operating systems

Retrieving and initializing semaphore values (Example)

Example showing how to **get the current state** of a specific semaphore in a semaphore set.

```
ket_t key = //... (generate a key in some way, i.e. with ftok)
// get, or create, the semaphore set
int semid = semget(key, 10, IPC_CREAT | S_IRUSR | S_IWUSR);

// get the current state of the 5-th semaphore
int value = semctl(semid, 5, GETVAL, 0/*ignored*/);
if (value == -1)
    errExit("semctl GETVAL");
```

Once returned, the semaphore may already have changed state!



Retrieving and initializing semaphore values (Example)

Example showing how to initialize a semaphore set having 10 semaphores

```
ket_t key = //... (generate a key in some way, i.e. with ftok)
// get, or create, the semaphore set
int semid = semget(key, 10, IPC_CREAT | S_IRUSR | S_IWUSR);
// set the first 5 semaphores to 1, and the remaining to 0
int values[] = \{1,1,1,1,1,0,0,0,0,0,0\};
union semun arg;
arg.array = values;
// initialize the semaphore set
if (semctl(semid, 0/*ignored*/, SETALL, arg) == -1)
   errExit("semctl SETALL");
```

A semaphore set must be always initialized before using it!



Semaphore Control Operations

Retrieving and initializing semaphore values (Example)

Example showing how to **get the current state** of a semaphore set having 10 semaphores

```
ket_t key = //... (generate a key in some way, i.e. with ftok)
// get, or create, the semaphore set
int semid = semget(key, 10, IPC_CREAT | S_IRUSR | S_IWUSR);
// declare an array big enought to store the semaphores' value
int values[10];
union semun arg;
arg.array = values;
// get the current state of a semaphore set
if (semctl(semid, 0/*ignored*/, GETALL, arg) == -1)
    errExit("semctl GETALL");
```

Once returned, a semaphore may already have changed state!



Semaphore Control Operations

Retrieving per-semaphore information

```
int semctl(semid, semnum, cmd, 0);
```

- GETPID: return the process ID of the last process to perform a semop on the *semnum-th* semaphore
- GETNCNT: return the number of processes currently waiting for the value of the *semnum-th* semaphore to increase
- GETZCNT: return the number of processes currently waiting for the value of the *semnum-th* semaphore to become 0;





Semaphore Control Operations

Retrieving per-semaphore information (Example)

Example showing how to **get information about a semaphore** of the semaphore set

```
ket_t key = //... (generate a key in some way, i.e. with ftok)
// get, or create, the semaphore set
int semid = semget(key, 10, IPC_CREAT | S_IRUSR | S_IWUSR);
// ...
// get information about the first semaphore of the semaphore set
printf("Sem:%d getpid:%d getnent:%d getzent:%d\n",
semid,
semctl(semid, 0, GETPID, NULL),
semctl(semid, 0, GETNCNT, NULL),
semctl(semid, 0, GETZCNT, NULL));
```

Once returned, the semaphore may already have changed state!



Semaphores

Other Operations





The semop system call performs one or more operations (wait (P) and signal (V)) on semaphores.

```
#include <sys/sem.h>

// Returns 0 on success, or -1 on error
int semop(int semid, struct sembuf *sops, unsigned int nsops);
```

The sops argument is a pointer to an array that contains a sorted sequence of operations to be performed atomically, and nsops (> 0) gives the size of this array. The elements of the sops array are structures of the following form:

```
struct sembuf {
   unsigned short sem_num; /* Semaphore number */
   short sem_op; /* Operation to be performed */
   short sem_flg; /* Operation flags */
};
```

Operating systems

The sem_num field identifies the semaphore within the set upon which the operation is to be performed. The sem_op field specifies the operation to be performed:

- sem_op > 0: value of sem_op is added to the value of the sem_num-th semaphore.
- sem_op = 0: the value of the sem_num-th semaphore is checked to see whether it currently equals 0. If it doesn't, the calling process is blocked until the semaphore is 0.
- sem_op < 0: decrease the value of the sem_num-th semaphore by the amount specified in sem_op. it blocks the calling process until the semaphore value has been increased to a level that permits the operation to be performed without resulting in a negative value.



When a semop(...) call blocks, the process remains blocked until on of the following occurs:

- Another process modifies the value of the semaphore such that the requested operation can proceed.
- A signal interrupts the semop(...) call. In this case, the error EINTR results.
- Another process deletes the semaphore referred to by semid. In this case, semop(...) fails with the error EIDRM.

We can prevent semop(...) from blocking when performing an operation on a particular semaphore by specifying the IPC_NOWAIT flag in the corresponding sem_flg field. In this case, if semop(...) would have blocked, it instead fails with the error EAGAIN.



Example showing how to initialize an array of sembuf operations

```
struct sembuf sops[3];
sops[0].sem_num = 0;
sops[0].sem_op = -1; // subtract 1 from semaphore 0
sops[0].sem_flg = 0;
sops[1].sem_num = 1;
sops[1].sem_op = 2; // add 2 to semaphore 1
sops[1].sem_flg = 0;
sops[2].sem_num = 2;
sops[2].sem_op = 0; // wait for semaphore 2 to equal 0
sops[2].sem_flg = IPC_NOWAIT; // but don't block if operation cannot be
    performed immediately
```



Example showing how to perform operations on a semaphore set

```
struct sembuf sops[3];

// .. see the previous slide to initilize sembuf

if (semop(semid, sops, 3) == -1) {
    if (errno == EAGAIN) // Semaphore 2 would have blocked
        printf("Operation would have blocked\n");
    else
        errExit("semop"); // Some other error
}
```



Shared memory



Shared memory

Fundamental concepts





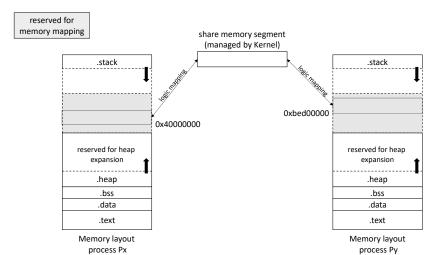
Fundamental concepts

A shared memory is a **memory segment** of **physical memory** managed by Kernel, which allows two or more processes to **exchange data**. Once attached, even more then once, the shared memory is **part of the process's virtual address space**, and no kernel intervention is required.

Data written in a shared memory is **immediately** available to all other process sharing the same segment. Typically, some method of **synchronization** is required so that processes **don't simultaneously access** the shared memory (for instance, semaphores!).



Fundamental concepts







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Shared memory

Creating and Opening





Creating/Opening a shared memory segment

The shmget system call creates a new shared memory segment or obtains the identifier of an existing one. The content of a newly created shared memory segment is initialized to 0.

```
#include <sys/shm.h>
// Returns a shared memory segment identifier on success, or -1 on error
int shmget(key_t key, size_t size, int shmflg);
```

The key arguments are: an IPC key, size specifies the desired size ¹ of the of segment, in bytes. If we are using shmget to obtain the identifier of an existing segment, then size has no effect on the segment, but it must be less than or equal to the size of the segment.



¹size is rounded up to the next multiple of the system page size () ()

Creating/Opening a shared memory segment

shmflg is a bit mask specifying the permissions (see open(...) system call, mode argument) to be places on a new shared memory segment or checked against an existing segment. In additions, the following flags can be ORed (|) in shmflg:

- IPC_CREAT: If no segment with the specified key exists, create a new segment
- IPC_EXCL: in conjunction with IPC_CREAT, it makes shmget fail if a segment exists with the specified key.





Creating/Opening a Semaphore Set

Example showing how to create a shared memory segment

```
int shmid:
ket_t key = //... (generate a key in some way, i.e. with ftok)
size_t size = //... (compute size value in some way)
// A) delegate the problem of finding a unique key to the kernel
shmid = shmget(IPC PRIVATE, size, S IRUSR | S IWUSR);
// B) create a shared memory with identifier key, if it doesn't already exist
shmid = shmget(key, size, IPC_CREAT | S_IRUSR | S_IWUSR);
// C) create a shared memory with identifier key, but fail if it exists already
shmid = shmget(key, size, IPC_CREAT | IPC_EXCL | S_IRUSR | S_IWUSR);
```



Shared memory

Attaching a segment





Attaching a shared memory segment

The shmat system call attaches the shared memory segment identified by shmid to the calling process's virtual address space.

```
#include <sys/shm.h>

// Returns address at which shared memory is attached on success

// or (void *)-1 on error
void *shmat(int shmid, const void *shmaddr, int shmflg);
```

- shmaddr NULL: the segment is attached at a suitable address selected by the kernel (shmaddr and shmflg are ignored)
- shmaddr not NULL: the segment is attached at shmaddr address (, but if also)
 - shmflg SHM_RND: shmaddr is rounded down to the nearest multiple of the constant SHMLBA (shared memory low boundary address)



Attaching a shared memory segment

Normally, shmaddr is NULL, for the following reasons:

- It reduces the portably of an application. An address valid on one UNIX implementation may be invalid on another.
- An attempt to attach a shared memory segment at a particular address will fail if that address is already in use.

In addition to SHM_RND, the flag SHM_RDONLY can be specified for attaching a the shared memory for reading only. If shmflg has value zero, the shared memory is attached in read and write mode.

A child process inherits its parent's attached shared memory segments. Shared memory provides an easy method of IPC between parent and child!



Attaching a shared memory segment

Example showing how to attach a shared memory segment (twice)²

```
// attach the shared memory in read/write mode
int *ptr_1 = (int *)shmat(shmid, NULL, 0);
// attach the shared memory in read only mode
int *ptr_2 = (int *)shmat(shmid, NULL, SHM_RDONLY);
// N.B. ptr_1 and ptr_2 are different!
// But they refer to the same shared memory!
// write 10 integers to shared memory segment
for (int i = 0; i < 10; ++i)
    ptr_1[i] = i;
// read 10 integers from shared memory segment
for (int i = 0; i < 10; ++i)
    printf("integer: %d\n", ptr_2[i]);</pre>
```

What will code program print?

Can we use ptr_2 to write in the shared memory segment? Why?



²error checking statements were omitted

Detaching a shared memory segment

When a process no longer needs to access a shared memory segment, it can call shmdt to detach the segment from its virtual address space. The shmaddr argument identifies the segment to be detached, and it is a value returned by a previous call to shmat.

```
#include <sys/shm.h>
// Returns 0 on success, or -1 on error
int shmdt(const void *shmaddr);
```

During an exec, all attached shared memory segments are detached. Shared memory segments are also automatically detached on process termination.



Detaching a shared memory segment

Example showing how to detach a shared memory segment

```
// attach the shared memory in read/write mode
int *ptr_1 = (int *)shmat(shmid, NULL, 0);
if (ptr_1 == (void *)-1)
    errExit("first shmat failed");
// attach the shared memory in read only mode
int *ptr_2 = (int *)shmat(shmid, NULL, SHM_RDONLY);
if (ptr_2 == (void *)-1)
    errExit("second shmat failed");
//...
// detach the shared memory segments
if (shmdt(ptr_1) == -1 || shmdt(ptr_2) == -1)
    errExit("shmdt failed");
```



Shared memory control operations

The shmctl system call performs control operations on a shared memory segment.

```
#include <sys/msg.h>

// Returns 0 on success, or -1 error
int shmctl(int shmid, int cmd, struct shmid_ds *buf);
```

The shmid argument is a shared memory identifier. The cmd argument specifies the operation to be performed on the shared memory:

- IPC_RMID: Mark for deletion the shared memory. The segment is removed as soon as all processes have detached from it
- IPC_STAT: Place a copy of the shmid_ds data structure associated with this shared memory in the buffer pointed to by buf
- IPC_SET: Update selected fields of the shmid_ds data structure associated with this shared memory using values provided in the buffer pointed to by buf



Shared memory control operations - Example

Example showing how to remove a shared memory segment

```
if (shmctl(shmid, IPC_RMID, NULL) == -1)
    errExit("shmctl failed");
else
    printf("shared memory segment removed successfully\n");
```





Operating systems

Shared memory control operations

For each shared memory segment the kernel has an associated shmid_ds data structure of the following form:

```
struct msqid_ds {
  struct ipc_perm shm_perm; /* Ownership and permissions */
  size_t shm_segsz;
                      /* Size of segment in bytes */
  time_t shm_atime;
                     /* Time of last shmat() */
  time_t shm_dtime; /* Time of last shmdt() */
  time_t shm_ctime; /* Time of last change */
  pid_t shm_cpid;
                    /* PID of creator */
  shmatt_t shm_nattch;  // Number of currently attached
};
                       // processes
```

With IPC_STAT and IPC_SET we can respectively get and update³ this data structure.

³Only the field shm_perm can be modified