## Report: Computational Intelligence Laboratories

Lorenzo Fezza s312689 January 31, 2024

## 1 Introduction

This report contains a detailed explanation of the activities carried out in the laboratories addressed during the 2023/2024 academic year for the Computational intelligence (PoliTo) course. Let's begin.

## 2 Laboratories

## Lab 1. Set Covering Problem

Submitted: 25/10/2023

Set Covering is an optimization problem which, given a finite set of elements, requires to find the minimum subset of elements whose union covers the entire initial set. This problem can be solved with different optimization strategies, such as the path search, the main topic addressed during the first period of lessons. In particular there are different path search algorithms, which can follow Informed or Uninformed strategies, which differs from the fact that, in Uninformed strategies, the algorithm has no additional information about the search space or the destination's location. Informed strategies instead, allow to find the solution in a more efficient way providing good heuristics which can guide the solution search.

The algorithm required for the implementation of this laboratory is A\* algorithm. It is an informed strategy which consist in computing the path with minimum cost by expanding only the nodes that bring you closer to the solution. It is complete and optimally efficient because it expands the minimum number of nodes, starting from the best one. In order to make decisions on what nodes to expand, an evaluation (fitness) function of the

actual state is needed. In the  $A^*$  algorithm, the fitness function is formulated as f(n) = g(n) + h(n) where n is the node in the tree, g is used to evaluate the node and h is a monotonic function used to estimate the cost of that node (so it is a heuristic). The  $A^*$  algorithm works only under reasonable assumptions:  $h(^*)$  is admissible (if it never overestimates the cost to reach the destination node) and the branching factor is finite. In the set covering problem the search space is a tree and choosing h(n) as the number of sets taken for the solution, it can be considered an admissible heuristic, which guarantees optimal solutions. The goal is to minimize the number of sets so, it's reasonable to choose it as a cost function. The more sets taken, the higher the cost.

The following code represents the fitness function f:

```
def f(new_state):
    return distance(new_state) + len(new_state.taken)
```

where:

- distance(new\_state) computes the union of the taken sets by computing the or operation element by element and returns the number of uncovered elements (PROBLEM\_SIZE covered elements)
- len(new\_state.taken) is the number of sets taken

The tree is explored in an iterative way with the following cycle, by putting a new set (represented by the action variable) one by one in the new\_state variable (removing it from the not taken list). The value of the new state is then put in the frontier (a priority queue) if it comes closer to the solution. The evaluation is performed by the f function presented above.

```
_, current_state = frontier.get()
  while not goal_check(current_state):
2
       for action in current_state[1]:
3
           new_state = State(
                current_state.taken ^ {action},
                current_state.not_taken ^ {action},
6
           if f(new_state) < d_curr:</pre>
8
               frontier.put((f(new_state), new_state))
9
       d_curr, current_state = frontier.get()
10
11
  print(
12
       f"{len(current_state.taken) tiles)"
13
14
```

The tree is expanded starting from the node with less distance from the full coverage state and has less number of sets.

## Halloween Challenge. Set Covering Problem with Hill Climber solution

Submitted: 31/10/2023

The set covering problem can be solved with many other different strategies, for example with the use of the Hill Climbing, a single-state method. It considers a single state at a time and it progressively improves the initial state until a local optima or the optimal result is reached.

The Hill Climbing method consists imaging the objective function as a hill. An agent is able to climb this hill tweaking the actual state finding a new one which is able to improve the actual state, getting better results in the objective function.

The goal of this challenge was to find the best solution with the fewest calls to the fitness functions and the Hill Climb can address this problem by tweaking instead of walking along the tree calling the fitness function each time.

The tweak(state, ffid) method is implemented as below:

```
def tweak(state, ffid):
      new_state = copy(state)
2
       rows_with_true_at_first_false_index = np.where(np.
3
          array(x[:, [ffid]].toarray()))[0]
       rows_not_in_new_state = np.setdiff1d(
4
          rows_with_true_at_first_false_index, np.where(
          new_state))
       if len(rows_not_in_new_state) > 0:
           random_row_index = np.random.choice(
7
              rows_not_in_new_state)
           # select a random row with first false index (
8
              ffid) not in the actual state
           new_state[random_row_index] = not new_state[
9
              random_row_index]
       else:
10
           # randomly remove an element from the actual
11
           random_row_index = np.random.choice(np.where(
              new_state))
           new_state[random_row_index] = not new_state[
13
              random_row_index]
14
       return new_state
```

A new set is randomly chosen from a set of rows which covers the first uncovered element at index ffid. It is not necessary to compute the line 4 of this code because it's clear that the rows selected in line 3 are not present in the current state, if that rows have that index equal to false (the union of the current state rows does not cover that index).

After the new row is selected, the fitness function (used to evaluate the quality of the solution) is called on the new state and on the current state and the values are compared. If the quality increases, the new state becomes the actual state, the agent moves upper to the hill and the new first false index is calculated again, in order to pass it to the new tweak call. The algorithm stops when the full set is covered.

```
# the initial state is initialized with 0 taken sets,
     but it can be modified to choose some random sets,
     which later on can be evaluated as useful or not
  current_state = [choice([False, False, False, False,
     False, False]) for _ in range(NUM_SETS)]
3
  cnt = 0
  diff = 0
  first_false_index = 0
  fit_cnt = 0
  for step in range(10_000):
9
       new_state = tweak(current_state, first_false_index)
10
       fn = fitness(new_state)
11
       fc = fitness(current_state)
12
       fit_cnt += 1
13
       if fn >= fc:
14
           result_of_reduce = reduce(
15
               np.logical_or,
16
               [np.array(x.getrow(i).toarray()[0]) for i, t
17
                   in enumerate(new_state) if t],
               np.array([False for _ in range(PROBLEM_SIZE)
18
                  ]),
           )
19
20
           first_false_index = None
           for i, value in enumerate(result_of_reduce):
22
               if not value:
23
                    first_false_index = i
24
                   break
25
```

```
26
            if first_false_index is None:
27
                print("Tutti i valori sono veri.")
28
                current_state = new_state
                break
30
31
            cnt += 1
32
            current_state = new_state
33
            #print(fitness(current_state))
34
35
  print(f"Steps: {cnt}")
36
  print(f"Fitness calls: {fit_cnt}")
37
```

Unfortunately the implementation of this algorithm does not give the optimal result, but reaches a local optimum, in fact for 5000 sets with 5000 elements with a density of covered elements equal to 0.3, it takes about 20/21 sets as solution, but it calls the fitness function only 19/20 times (one time less than the number of selected sets).

## Lab 2. Nim Game with Evolutionary Strategy

Submitted: 17/11/2023

For this laboratory, it was necessary to implement an evolutionary strategy to play the nim game with a rule based system. In particular the implemented algorithm involves a phase of training and than the parameters learned and optimized are used to test the performances obtained.

The training phase aims to determine optimal parameters for the algorithm. The process involves an evolutionary strategy that uses a population of agents that plays the nim game to determine the best parameters. Before the implementation of the Evolutionary Strategy, the phenotype of the agent is defined. The phenotype is defined by the following properties:

- weights: is a list of 3 values, which represents the probabilities to choose a rule with respect to another one;
- fitness: is a value which represents the percentage of victories using my strategy with respect to the optimal one;
- sigma: is the standard deviation of the gaussian distribution used to mutate the weights.

The first method implemented is the fitness function which consists of a function that, given the number of rows (n\_rows) used to build the nim game

and the parameters to optimize (vals), plays the game for (trainings) times using the given optimal strategy (player = 0) and my\_strategy (player = 1) implemented later. The percentage of victories is returned and used to determine the fitness value in the phenotype of the evolutionary strategy.

```
strategy = (optimal, my_strategy)
2
  def fitness( weights) -> float:
3
       """The fitness function"""
       win_cnt = 0 # number of wins for the candidate
5
       for _ in range(trainings):
6
                  Nim(N_ROWS)
           nim =
           player = 0
           while nim:
9
               if player == 0:
10
                    ply = strategy[player](nim)
11
               else:
12
                    ply = strategy[player](nim, weights)
               nim.nimming(ply)
14
               player = 1 - player
15
           if player == 1:
16
               win_cnt += 1
17
       return win_cnt / trainings * 100
```

The second method implemented is the my\_strategy() function, which takes two parameters as input: the state (nim table) and the weights. The state is used to determine all the decisions that the player can take. The choice is given by the weights list. Thanks to the numpy.rand.choice() function, given that three rules are defined and the weights list represents the probabilities to take an action, a rule is chosen. At the beginning, different rules were used in the strategy, but only three of them are significant and used in the code:

- 1. Take all the items from the row with more items.
- 2. Take one item from the row with fewer items.
- 3. Take a random choice.

```
def my_strategy(state: Nim, weights) -> Nimply:
    spicy_moves = [Nimply(r, o) for r, c in enumerate(
        state.rows) for o in range(1, c + 1) if c > 0]
# for each value of weights, assign a decision to
        take
```

```
#
               for example:
4
               0: take all the elements from the row with
5
          the most objects
               1: take one item from the row with less
6
       #
          objects
               else: take a random row
7
8
       rule = np.random.choice(len(weights), p=weights)
9
       if rule == 0:
10
           return max(spicy_moves, key=lambda move: move.
11
              num_objects)
       if rule == 1:
12
           return min(spicy_moves, key=lambda move: move.
13
              num_objects)
       return random.choice(spicy_moves)
```

The third method implemented is the ES() function. The evolutionary strategy  $(\mu + \lambda)$  follows these three steps:

- 1. Initialization: The function initializes mu individuals in the population, giving the same probability for each rule. Thus, each element of weights is the same. The fitness is calculated using these weights. Then, (weights, fitness, sigma) are put in the population as new individuals.
- 2. Child generation or mutation: In this second step, the population is mutated using the mutation() function, which takes as input the initial population and generates lamb children. The new individuals are concatenated to the initial population and put in the offspring variable (new population composed of mu + lamb individuals), and the individual with the best fitness value is selected and compared to the best solution. If the new individual is better than the best one, it is replaced.
- 3. New population selection: The best mu individuals are selected and put in the new population as survivors.

```
mu = 5 # number of population
lamb = 5 * mu # size of children
sigma = 1 # mutation strength
trainings = 20 # number of training games per candidate
N_ROWS = 5 # number of rows in the game
```

```
def ES():
7
       P = []
8
       history = list()
10
       #initialize population
11
       for _ in range(mu):
12
           weights = np.random.dirichlet(np.ones(3))
13
              because I'm using 3 rules
           fit = fitness(weights)
14
           # print(fit)
15
           P.append((weights, fit, sigma))
16
17
       Best = None
18
       for step in tqdm(range(300)):
19
           offspring = P + mutation(P) # (mu + lambda)
20
               strategy
           offspring = sorted(offspring, key=lambda i : i
21
           if Best is None or offspring[-1][1] > Best[1]:
22
               Best = offspring[-1]
23
               print(f"Best weights: {Best[0]}, fitness: {
24
                   Best[1]}, sigma: {Best[2]}")
               history.append((step, Best[1]))
25
           P = offspring[-mu :]
26
       history = np.array(history)
27
       plt.figure(figsize=(14, 4))
28
       plt.plot(history[:, 0], history[:, 1], marker=".")
29
       return Best
30
```

The last method implemented is the mutation() function. Initially empty, it was implemented to generate new individuals starting from the initial population. The function takes as input the initial population and generates lamb/mu children for each parent. Since the starting population is composed of mu parents, the new individuals generated will be lamb in total. The mutation is done by introducing random noise in the weights, randomly varying the weights to find a combination that maximizes the fitness value. The sigma variable is used to vary the amount of mutation applied to the weights during the evolution of the population, but it's constant over time.

```
def mutation(P):
    """Mutate the population"""
    offspring = []
    for i in P:
        for _ in range(lamb//mu):
```

The following image shows how the best fitness value changes during the evolution of the population:

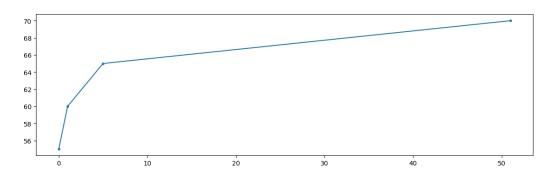


Figure 1: Fitness value evolution over iterations

A game can be played as following:

```
strategy = (optimal, adaptive)
2
  nim = Nim(N_ROWS)
3
  logging.info(f"init : {nim}")
  player = 0
  while nim:
7
      ply = strategy[player](nim)
8
       logging.info(f"ply: player {player} plays {ply}")
9
      nim.nimming(ply)
10
       logging.info(f"status: {nim}")
11
      player = 1 - player
12
  logging.info(f"status: Player {player} won!")
13
```

where adaptive is initialized as following:

```
genome = ES()
```

After the training phase is complete, the precomputed optimal weights are used to play the adaptive strategy against optimal, pure\_random, and gabriele strategies.

The evolutionary strategy generates promising results playing against the optimal strategy during the learning phase. However, these results are good against Gabriele, but not as good against optimal and pure random players in the test phase.

The results over 100 test games are shown in the following table:

Strategy	%w
Optimal	34
Pure_Random	61
Gabriele	89

#### Peer review Lab 2.

Submitted: 22/11/2023

# Lab 9. Abstract Problem with Evolutionary Algorithm solution

Submitted: 03/12/2023

This laboratory requires the implementation of a local-search algorithm able to solve the Problem instances 1, 2, 5 and 10 (a black box task) on a 1000-loci genomes using a minimum number of fitness calls.

A local search, a heuristic method for solving computationally hard optimization problems. Local search can be used on problems that can be formulated as finding a solution maximizing a criterion among a number of candidate solutions. Local search algorithms move from solution to solution in the space of candidate solutions (the search space) by applying local changes, until a solution deemed optimal is found or a time bound is elapsed.

The local-search implemented in this laboratory is a genetic algorithm.

The first idea to solve this black box task was to think the fitness function as an eye to look for what pieces of the bitstring are important and which are not and therefore to preserve the important pieces and throw away the useless ones. Unfortunately this last idea is not covered in the following implementation but the most of the code is related to this.

Another important consideration to solve this task is the promotion of diversity. The evaluation of different individuals in the population can help to get closer to the solution when the problem is not known.

Diversity is evaluated using a distance metric, which indicates how far the individual is from a subset of the population to the whole population and therefore it is a property of the population.

Three levels of diversity can be exploited:

- phenotype
- genotype
- fitness

For the implementation of this laboratory, different values, such as the population size  $\mu$ , problems *problems*, the size of the bitstring l and others are parametrized in order to simplify the evaluation of the performances of the algorithm.

#### Methods:

#### genetic\_algorithm():

The first method implemented is the skeleton of the <code>genetic\_algorithm()</code> function. At the beginning this function only had 1 parameter, namely the fitness function. After that, other parameters were introduced to evaluate different mutations, crossovers or parent selections in a simpler way.

This function executes the following steps:

- Initialization of the population
- Evaluation (fitness) of each individual
- Loop until the time is over or the stopping criterion (100% returned by fitness) is met:
  - Update of the best individual
  - Initialize a new population (initially empty)
  - Loop for half of the population size:

- \* Selection of two parents
- \* Crossover of the parents, generating two children (offspring)
- \* Mutation of the offspring
- \* Add the offspring to the new population
- Evaluation (fitness) of the new population
- Update of the population

Than the best individual fitness value, with the count of fitness and the iteration where the best individual is found is returned. This results can be significant in order to reduce the external loops.

Code:

```
def genetic_algorithm(fitness, selection, crossover):
       global saved_matrices
2
       saved_matrices = []
3
       Best = None
       # 1. Initialize population
       population = init_population()
6
       population = evaluate_population(population, fitness
          )
       # 2. Repeat
8
       found = -1
       x = -1
10
       for i in range(iterations):
11
           for p in population:
12
                if Best is None or p[1] > Best[1]:
13
                    if i!=0:
14
                        print_matrix()
15
                    print(f"Best: {p[0][0:5]}...\tfitness:{p
16
                       [1]}\tcalls:{fitness._calls}")
                    Best = p
17
                    found = fitness._calls
18
                    x = i
19
           if Best is not None and Best[1] == 1:
21
                break
22
23
           q = list()
24
           for _ in range(mu//2):
25
                # 2.1 Select parents
26
               parent_a, parent_b = selection(population)
27
                # 2.2 Crossover
28
```

```
child_a, child_b = crossover(copy(parent_a),
29
                    copy(parent_b))
30
                # 2.3 Mutate
31
                mutated_a = mutate(child_a)
32
                mutated_b = mutate(child_b)
33
                q.append(mutated_a)
34
                q.append(mutated_b)
35
36
           population = evaluate_population(q, fitness)
37
38
       # 4. Return best individual
39
       plot()
40
       return Best, found, x
41
```

Some global variables are used to save data used to evaluate the process and results and the method used inside were implemented in a second time, than modified more and more times.

#### init\_population():

Initializes randomly the population, composed by  $\mu$  individuals, each one with a bitstring of l bits and a fitness value of 0 at the beginning.

#### evaluate\_population():

Evaluates the population using the fitness function. The fitness value is calculated for each individual and the tuple is updated.

#### select\_with\_replacement() :

This method initially took two random individuals from the population but now selects elements based on their mutual diversity. This diversity is computed for each individual and all the other individuals. The diversity is then weighted with the fitness value and evaluated, and the two individuals with the highest value are selected.

**Remember:** the diversity can be evaluated considering

- Genotype: bit-string of *l* bits
- Phenotype: bit-string of *l* bits
- Fitness: function fitness returned by lab9\_lib.make\_problem(problem)

#### compute\_diversity():

In order to evaluate diversity, a XOR operation is performed between two individuals. The result of the XOR is then used to calculate the percentage of different bits with respect to the total number of bits (l=1000). It represents genotype diversity.

```
def compute_diversity(e1, e2):
    xor_res = e1 ^ e2
    return np.sum(xor_res) / 1
```

#### Considerations:

During the select\_with\_replacement() implementation, the diversity matrix was printed in order to understand if the diversity was correctly computed and if the fitness value could influence the selection. Using the colorama library it was possible to highlight the maximum diversity value of the matrix and the value selected weighting with the fitness value. From this test it was possible to understand that usually the selected values are also the most different ones.

Later on, the diversity matrix of the population that generated the children that gave rise to the best (that matrix which is not weighted with the fitness value) was plotted in order to understand how the diversiry is distributed during the evolution of the algorithm. Those graphs are very nice and some of them are shown below (Fig. 2, 3, 4).

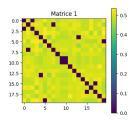


Figure 2: Diversity matrix which provided the best population for solving Problem 2. with std crossover and std select with replacement

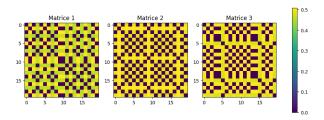


Figure 3: Diversity matrices which provided the best population for solving Problem 5. with cyclic shift crossover and selection based on diversity (evolution of div\_matr)

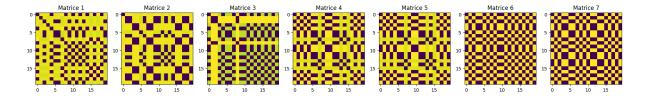


Figure 4: Diversity matrices which provided the best population for solving Problem 1. with cyclic shift crossover and selection based on diversity (evolution of div\_matr)

#### diversity\_selection():

It is used to select the most different individuals, weighting the difference with the fitness value. Also in this case the diff\_matrix is modified in order to evaluate the diversity convergence over the generations. A study\_diversity\_selection() method was used at the beginning to study the evolution.

```
def study_diversity_selection(population):
       global fit1, fit2, max1, max2, diff_matrix
2
       # find the individuals with
3
       # the highest fitness and the highest diversity
       div_matr = np.zeros((mu, mu))
       diff_matrix = np.zeros((mu, mu))
6
       for i1, p1 in enumerate(population):
           for i2, p2 in enumerate(population):
8
               if i1 != i2:
                    #the matrix is not symmetric since is
                       added only for p2[1]
                   div =compute_diversity(p1[0], p2[0])
11
                   div_matr[i1][i2] = (div + p1[1] + p2
12
                       [1]) / 3
                   diff_matrix[i1][i2] = div
13
       # find index of the individuals with highest fitness
15
           and highest diversity
       i1, i2 = np.unravel_index(np.argmax(div_matr),
16
          div_matr.shape)
       fit1, fit2 = i1, i2
17
       max1, max2 = np.unravel_index(np.argmax(diff_matrix)
18
          , div_matr.shape)
19
       return population[i1], population[i2]
20
21
  def diversity_selection(population):
22
       global fit1, fit2, max1, max2, diff_matrix
23
       diff_matrix = np.zeros((mu, mu))
24
       max_wdiv = 0
25
       max_div = 0
26
       i1, i2 = None, None
27
       for i, p1 in enumerate(population):
           for j, p2 in enumerate(population):
29
               if i < j:
30
                   div_val = compute_diversity(p1[0], p2
31
                       [0])
                   if div_val > max_div:
32
                        max_div = div_val
                        max1, max2 = i, j
34
                   wdiv = (div_val + p1[1] + p2[1]) / 3
35
                   diff_matrix[i][j], diff_matrix[j][i]
36
```

```
div_val, div_val
if wdiv > max_wdiv:
max_wdiv = wdiv
fit1, fit2 = i, j
i1, i2 = p1, p2
return i1, i2
```

#### mutation():

This method takes as input an individual and a probability of mutation (set by default to 'O.5') and computes a bit-flip mutation, returning a new mutated individual. The mutation is performed on each bit of the bitstring with the given probability in a for loop. If the random number generated is less than the probability, the bit is flipped.

```
def mutate(individual, mutation_prob=0.5):
    # bit flip mutation for now
    v = individual[0]
    for i in range(1):
        if mutation_prob >= random.random():
            v[i] = 1 - v[i]
    return individual
```

Two methods of crossover are implemented and their performance is evaluated in order to understand which one is better:

#### std\_crossover():

This method performs a two-point crossover between two individuals. The two points are randomly generated and the two sections of the bit-string are swapped between the two points.

```
def std_crossover(parent1, parent2):
       # a two (rand) point crossover now
2
       v = parent1[0]
       w = parent2[0]
       c = random.randint(0, 1)
       d = random.randint(0, 1)
6
       if c > d:
7
           c, d = d, c
       if c!=d:
           v[c:d], w[c:d] = w[c:d], v[c:d]
10
       return (v, 0.0), (w, 0.0)
11
```

```
crossover_cyclic_shift():
```

This method performs a two-point crossover between two individuals but the two sections of swapping are shifted and the bit-string is treated as a circular array.

```
def crossover_cyclic_shift(parent1, parent2):
       # circular translated swapping
2
       v = parent1[0]
3
       w = parent2[0]
       c = random.randint(0, 1)
       d = random.randint(0, 1)
6
       s = random.randint(0, 1)
       if c < d:
8
           for i in range(c, d):
9
                v[i\%1], w[(i+s)\%1] = w[(i+s)\%1], v[i\%1]
10
       else:
11
           for i in range(c, d+1):
12
                v[i\%1], w[(i+s)\%1] = w[(i+s)\%1], v[i\%1]
13
       return (v, 0.0), (w, 0.0)
14
```

The cyclic shift crossover appears to work better than the standard crossover by increasing the fitness percentage by a few units.

#### Island Model:

After the implementation of the genetic algorithms with variants of parent selection and crossover, a non-parallelized island model is implemented, in order to observe how different populations can evolve, passing promising individuals among themselves. In the implemented model, an individual is promising if it has a high fitness value. Since this promising value is passed from one population to another it is likely that it is also different from other individuals in another population. Clearly, if the population is not very large, convergence to very similar individuals is rapid.

In particular this model iterates iteration times and, for each iteration, each island computes a cycle of evolve() method, in which two parents are selected thanks to the selection methods implemented above, than crossover and mutation are computed and the new population is evaluated in order to select the best individual to pass to another population.

```
iterations = 100
  def i_diversity_selection(population):
     # find the individuals with
     # the highest fitness and the highest diversity
9
     max_div = 0
10
     i1, i2 = None, None
11
     for i, p1 in enumerate(population):
12
         for j, p2 in enumerate(population):
13
             if i < j:
14
                div = (compute_diversity(p1[0], p2[0]) + p1
15
                   [1] + p2[1]) / 3
                if div > max_div:
16
                  max_div = div
17
                  i1, i2 = p1, p2
18
     return i1, i2
19
20
  def evolve(population, fitness):
21
     Best = None
22
     q = list()
23
     for _ in range(mu//2):
24
         # Select parents
25
         parent_a, parent_b = i_diversity_selection(
26
            population)
         # Crossover
27
         child_a, child_b = crossover_cyclic_shift(copy(
28
            parent_a), copy(parent_b))
         # Mutate
29
         mutated_a = mutate(child_a)
30
         mutated_b = mutate(child_b)
31
         q.append(mutated_a)
32
         q.append(mutated_b)
     population = evaluate_population(q, fitness)
34
     idx_p = None
35
     for i, p in enumerate(population):
36
       if Best is None or p[1] > Best[1]:
37
         Best = p
38
         idx_p = i
39
     return Best, idx_p
40
41
  def island_method(fitness, n_islands=2):
42
     populations = []
43
     Best = None
44
```

```
tmp_best = None
45
46
    for _ in range(n_islands):
47
       populations.append(init_population())
49
    for _ in range(iterations):
50
       for i in range(n_islands):
51
          for j in range(n_islands):
52
             if i != j:
53
               i1, idx_1 = evolve(populations[i], fitness)
               i2, idx_2 = evolve(populations[j], fitness)
55
               populations[i][idx_1], populations[j][idx_2]
56
                   = i2, i1 # exchange of the individual
                          = max([i1, i2], key=lambda i: i
               tmp_best
57
                   [1])
               if Best is None or tmp_best[1] > Best[1]:
                  Best = tmp_best
59
    return Best
60
61
  for p in problems:
62
    fitness = lab9_lib.make_problem(p)
63
    b = island_method(fitness)
64
    print(f"Problem {p}:{fitness.calls}, best:{b[1]:.2%}")
65
```

#### Results:

The results are evaluated using the percentage of fitness reached. Unfortunately, the professor implementation, which generates 10 random bitstrings, calling only 10 times the fitness function, generates solutions slightly lower than the solutions obtained using the genetic algorithm which calls the fitness many more times.

#### **Statistics:**

Professor results (random search):

Problem	Fitness	Fit calls
1	53.90%	10
2	48.60%	10
5	19.50%	10
10	5.29%	10

## Cyclic shift crossover using selection based on diversity:

Problem	Fitness	Fit calls
1	54.40%	2020
2	52.60%	2020
5	22.34%	2020
10	17.11%	2020

Standard crossover (two pt) using selection with replacement:

Problem	Fitness	Fit calls
1	54.00%	2020
2	52.40%	2020
5	20.62%	2020
10	16.20%	2020

Island model (using Cyclic shift crossover using selection based on diversity because of the best performances):

Problem	Fitness	Fit calls
1	54.40%	8000
2	52.00%	8000
5	31.24%	8000
10	25.43%	8000

## Lab 10. Tic Tac Toe Player with Q-Learning

Submitted: 25/12/2023

This laboratory there is the implementation of a tic-tac-toe player using reinforcement learning, a type of machine learning where an agent learns to make decisions by interacting with an environment. The agent receives feedback in the form of rewards or penalties, allowing it to learn optimal strategies through trial and error and his goal is to maximize cumulative rewards over time by discovering effective actions in different situations. In particular the player is trained using the Q-Learning algorithm.

Let's start from the beginning.

The starting professor's code is not modified and the baseline of this laboratory is represented by the magic square (explained below). For the implementation of a reinforcement learning algorithm, it is necessary to define the following elements:

• Environment: the environment in this case is the tic-tac-toe game, represented by a board, filled with Xs and Os. The board can be easily printed with the provided method print\_board(), which takes as input the actual state of the board and prints it putting dots for white spaces.

- States: the states are the possible configurations of the environment. In this case the states are the possible configurations of the tic-tac-toe game, represented by the provided code State = namedtuple('State', ['x', 'o']) where x and o are lists of the actions chosen by the two players.
- Actions: the possible actions that a player can perform on the environment are represented by MAGIC = [2, 7, 6, 9, 5, 1, 4, 3, 8]. Each number corresponds to a position on the board. This list is used to evaluate the winner of the game by using the sum method. The player that has a sum of 3 elements equal to 15 wins.

$$\begin{bmatrix} 2 & 7 & 6 \\ 9 & 5 & 1 \\ 4 & 3 & 8 \end{bmatrix}$$

- Reward: The reward is the value that the agent receives for each action. The reward is implemented in the get\_reward() method. Different versions of this method have been implemented to evaluate the performances of the agent learning.
  - The first version is the simplest one (state\_value()), in which the agent receives a reward of 1 if it wins, -1 if it loses, and 0 if it draws.
  - The second version is an evolution of the first, implemented in get\_reward\_0(). It assigns +5 if the agent wins, -10 if it loses, and 0 if it draws.

```
def get_reward_0(state, done):
    if win(state.o):
        return -10, True
    elif win(state.x):
        return 5, True
    else:
        return 0, done
```

The third version get\_reward\_1() changes the values of the previous get\_reward with +50 if it wins and -100 if it loses. In addition, it adds a penalty of -3 for each action of x on its turn that did not block the opponent from winning because the opponent will win in the next move. If the action of x is blocking the winning of o, reward +=1. If x sets 3 elements at the corner (a configuration that facilitates the win), reward +=1. If x wins, reward +=50.

```
target_set = \{2, 4, 6, 8\}
  def get_reward_1(state, done, available_actions,
3
      turn=1):
       if win(state.o):
4
         return -100, True
       reward = 0
       count_combinations = 0
       if turn == 1: # if turn of x
         # if o can win with one action from the
11
            remaining and x is not blocking it =>
            reward -= 3
         # o can perform it the next turn !
12
         elements = list(state.o)
13
         for act in available_actions:
           elements.append(act+1)
           if any(sum(c) == 15 for c in
16
              combinations(elements, 3)):
             reward -= 3
17
           elements.remove(act+1)
18
       # if the action of x is blocking the winning
20
           of o => reward += 1
       for x in state.x:
21
         elements = list(state.o)
22
23
         elements.append(x)
         count_combinations += sum(1 for c in
            combinations(elements, 3) if sum(c) ==
            15)
         elements.remove(x)
25
       reward += count_combinations
26
       # if x sets 3 elements at the corner (config
27
           that facilitates the win)
       cmn = state.x & target_set
          reward += sum(1 for c in combinations(
          state.x, 3) if c in target_vector)
       if len(cmn) >= 3:
29
         reward += len(cmn) - 2
       if win(state.x):
32
```

- The fourth version get\_reward\_2() is similar to the previous one, but the order of the operations is performed in a different way to evaluate the loss and victory at the beginning. If o wins, the reward returned is -100; if x wins, the reward returned is +50. Then, all the following things are evaluated:
  - \* If during the turn of x, there still remains actions that can make o win in a single move, reward returned is -100.
  - \* Reward += 10 if x blocks the winning of o.
  - \* Reward += 5 if x sets 3 elements at the corner.

```
def get_reward_2(state, done, available_actions,
       turn=1):
     if win(state.o):
2
       return -100, True
3
     if win(state.x):
       return 50, True
     if turn == 1: \# if turn of x
       # if o can win with one action from the
          remaining and x is not blocking it =>
          reward = 100
       # o can perform it the next turn !
10
       elements = list(state.o)
11
       for act in available_actions:
12
         elements.append(act+1)
13
         if any(sum(c) == 15 for c in combinations(
14
            elements, 3)):
           return -100, done
15
         elements.remove(act+1)
16
17
     reward = 0
18
     # reward += 10 for each action of x that
20
        blocks the winning of o
     elements = list(state.o)
21
     for x in state.x:
22
```

```
elements.append(x)
23
       reward += 10 * sum(1 for c in combinations(
24
          elements, 3) if sum(c) == 15)
       elements.remove(x)
26
      if x sets 3 elements at the corner (config
27
        that facilitates the win)
       cmn = state.x & target_set
28
          reward += sum(1 for c in combinations(
          state.x, 3) if c in target_vector)
       if len(cmn) >= 3:
29
         reward += 5*(len(cmn) - 2)
30
31
32
     return reward, done
```

#### Training Phase:

The training phase consists of a loop of episodes iterations, where the agent constantly updates his table to find the best strategy for the maximum reward. Initially, the training is performed using a random\_player() as an opponent, but later, a more expert player, my\_player(), is used to train the agent for better strategies. The expert player performs random actions, wins when possible, and blocks the opponent when it can win.

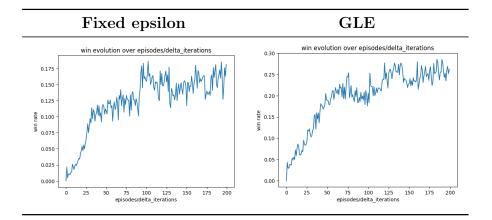
The agent begins learning from a random state and gradually chooses the best action based on the table. To balance exploration and exploitation, the GLIE strategy (Greedy in the Limit with Infinite Exploration) is adopted. Initially, the agent lacks knowledge and chooses random actions more often for given states to explore. As the training progresses, the agent updates the table, assigning values to each state-action pair, gradually increasing the exploitation phase.

To implement this, at each episode, epsilon  $(\epsilon)$  is gradually decreased using the formula

$$\epsilon_k = \frac{b}{b+k}$$

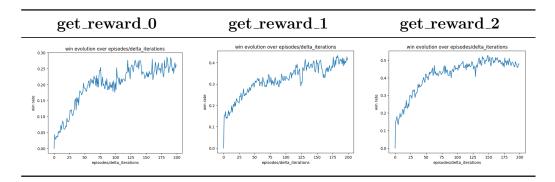
where k is the episode where  $\epsilon$  will be used, and b is a constant chosen to make the value reach 0.1 at the end of the training phase.

The benefits of this approach are displayed in the following images, where the agent is trained for 200,000 episodes with a random beginner using get\_reward\_0(), my\_player().



```
for i in tqdm(range(episodes)):
1
       state = State(set(), set())
2
       done = False
       available_actions = list(range(9))
       turn = np.random.choice([0,1])
5
       epsilon = b / (b + i)
6
       while not done:
           flag = 0
8
           if turn == 1: \# if turn of x
             # epsilon greedy player
10
             action = a.get_action(state, available_actions
11
                , epsilon)
             # fixed eps => don't pass epsilon parameter to
12
                 qet_action because it's fixed by default
             # action = a.get_action(state,
13
                available\_actions)
14
           else:
                  # if turn of o
15
             action, flag = my_player(state,
16
                available_actions, flag)
17
           next_state, done = perform_action(action,
18
              available_actions, deepcopy(state), turn)
19
           reward, done = get_reward_0(next_state, done)
20
21
           a.update(state, action, reward, next_state, done
22
              )
           state = next_state
23
```

Different training loops can be visualized by plotting wins over the episodes. Considering an episode interval of d\_iter = 1000, the percentage of wins in that interval is calculated and plotted. The results obtained are as follows:



The training is performed using:

- GLIE approach
- The first turn is random
- episodes
- my\_player()
- get\_reward\_0/1/2()

Performing the same training multiple times does not always provide the same results due to the random exploration phase, which differs in each training. In the same number of episodes, the agent may learn more or less important things, making it more or less expert in the game.



#### Saving and Loading Phase:

In order to evaluate the performance of the agent and use the knowledge acquired during the training phase for many tests, the table is saved in a json file by the agent using the save\_q\_table() method. The table can be loaded using the load\_q\_table() method and used to test the knowledge learnt.

```
agent.save_q_table("q_table.json")
agent.load_q_table("q_table.json")
```

#### Testing Phase:

For this phase, the agent plays 1000 games against a random player and against the player from which it learns. Two different types of tests have been performed:

- Random Player Test: The agent plays 1000 games against a random player.
- My Player Test: The agent plays 1000 games against the player from which it learns.

The results obtained are reported in Table 1.

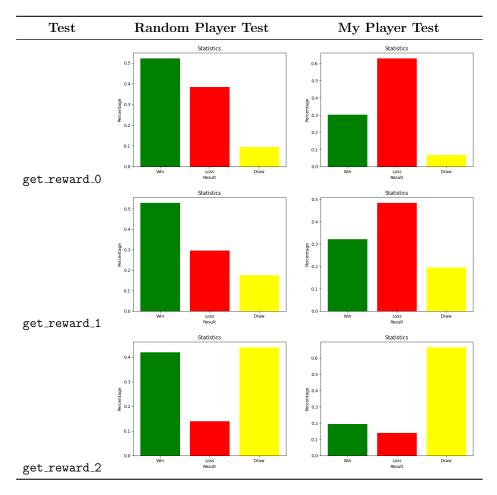


Table 1: Different training results

#### **Exploiting Symmetries:**

In order to exploit the symmetries, the update function is modified to update the table for all symmetric states. A rotation of  $90^{\circ}$ ,  $180^{\circ}$ , and  $270^{\circ}$  is performed on the board using a support dictionary SYMM, which is used in a cycle to map the state to the corresponding rotated one. The rotation is performed only if it makes sense, i.e., if the only action performed at state s is 5 (the center), the rotation is not performed. If there is more than one action performed, the update is propagated to the other symmetric statesactions. The results obtained are shown below in Table 2. and compared with training without exploiting symmetries.

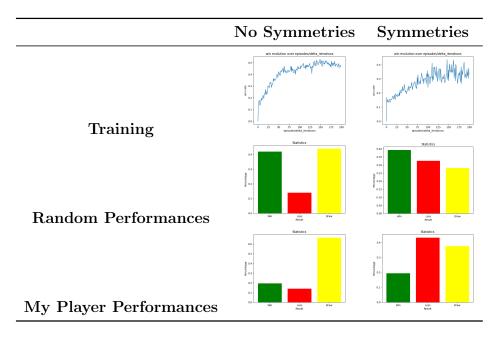


Table 2: Comparisons in exploiting symmetries

#### Results:

The agent seems to have learned how to block most of the opponent's moves, but it still has some problems in winning. Symmetries can be exploited to propagate the knowledge acquired to other different (but symmetric) states, but unfortunately, performances decrease.

## 3 Peer Reviews:

During the course, laboratories were accompanied by peer reviews. This activity, carried out for the last three laboratories and for two colleagues for each lab, proved to be not only interesting and stimulating but also immensely helpful in fostering a collaborative learning environment.

This process provided a valuable opportunity to assess and analyze the coding approaches taken by others, enabling me to improve my own level. The diverse perspectives presented in the peer-reviewed codes expanded my understanding of different problem-solving strategies and allowed me to consider alternative approaches.

The feedback received through the peer reviews was really useful in refining my coding skills. Constructive criticism highlighted potential improvements. Additionally, positive feedback served as encouragement and reinforcement of effective coding practices.

To illustrate this collaborative learning experience, I have included screenshots of the peer reviews I conducted and received. These snapshots capture the constructive dialogue that took place, showcasing the communal effort to enhance our collective understanding of computational intelligence concepts.

In conclusion, the incorporation of peer reviews not only enriched my learning experience but also provided a platform for constructive feedback, fostering a culture of collaboration within the Computational Intelligence course.

