

### SMBUD Project - Spark

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# 1 | Introduction

In this chapter will be presented the problem specification and the hypothesis under which the database is implemented.



# 2 Data Structure

In this part of the project we used the same two JSONs used in the MONGODB implementation.



## 3 Dataframe Structure

#### 3.1. Article Structure

```
root
2 | -- _id: string (nullable = true)
3 | -- title: string (nullable = true)
4 | -- authors: array (nullable = true)
      |-- element: struct (containsNull = true)
      |-- idAuth: string (nullable = true)
            |-- org: string (nullable = true)
8 |-- n_citation: integer (nullable = true)
9 | -- abstract: string (nullable = true)
10 |-- doi: string (nullable = true)
11 | -- keywords: array (nullable = true)
      |-- element: string (containsNull = true)
13 | -- isbn: string (nullable = true)
14 |-- page_start: string (nullable = true)
|-- page_end: string (nullable = true)
16 | -- year: integer (nullable = true)
17 | -- fos: array (nullable = true)
| | | | -- element: string (containsNull = true)
19 | -- references: array (nullable = true)
      |-- element: string (containsNull = true)
| -- issue: string (nullable = true)
22 | -- volume: string (nullable = true)
23 | -- publisher: string (nullable = true)
24 |-- venue_raw: string (nullable = true)
```

The structure just shown represents an Article; its attributes are:

- **id** is the identifier of a publication.
- **title** represents the title of the publication.
- authors is an array that contains: idAuth of the authors of the article and the org field which represent the affiliation.

- n citation is the number of times that the publication has been mentioned.
- abstract is a string containing a brief summary of the contents of the paper.
- doi Digital Object Identifier is a persistent and standardized identifier.
- **keywords** is an array containing keywords of the publication.
- **isbn** is an identification code of the venue of the publication.
- page start defines the starting page of the publication.
- page end defines the last page of the publication.
- year represents the year of publication.
- fos is an array containing the fields of study of the publication.
- references set of ObjectIds of the referenced articles.
- issue refers to how many times a periodical has been published during that year.
- **volume** is the volume of the venue in which the article has been published.
- **publisher** is the name of the publisher of the article.
- **venue\_raw** is the name or the abbreviation of the venue (regardless the year, issue or volume) in which the publication was presented.

#### 3.2. Author Structure

The structure just shown represents an Author; its attributes are:

- **id** is the identifier of an author.
- name is the name of the author.

- nationality is the nationality of the author.
- articles is a set of articles identifier of the publications of the author.
- **bio** is a string that describes the author.
- email is the email address of the author.
- **orcid** Open Researcher and Contributor ID is a unique identifier for authors of scientific articles.
- **dob** is the birth date of the author.

#### 3.3. Venue Structure

```
root
| root
| -- raw: string (nullable = true)
| -- type: integer (nullable = true)
| -- artIds: array (nullable = false)
| -- element: string (containsNull = false)
| -- city: string (nullable = true)
```

The structure just shown represents a *Venue*. This dataframe was obtained using data imported from the article JSON shown before. *Venue* attributes are the following:

- raw is the name or the abbreviation of the venue (regardless the year, issue or volume) in which the publication was presented.
- type indicates the type of the publication.
- artIds is a set of articles identifier associated to the venue.
- city represents the location of the venue an it is randomly populated.



## 4 Commands and Queries

#### 4.1. Commands

We have identified the following INSERT and UPDATE commands to show the system basic functionalities.

#### 4.1.1. Insert a new author

Assuming it is not present in the dataset, we created a new row with the values for the new author and we added it to the dataframe.

```
new_author = Row(
      _id="638db170ae9ea0d19fad7a79",
      name="Emanuele Delle Valle ",
      nationality="it",
      articles=[],
      bio="Emanuele Della Valle holds a PhD in Computer Science from the \
          Vrije Universiteit Amsterdam and a Master degree in Computer
                                       Science\
          and Engineering from Politecnico di Milano. He is associate
                                       professor\
          at the Department of Electronics, Information and Bioengineering
          the Politecnico di Milano.",
1.0
      email="emanuele.dellavalle@gmail.com",
      orcid="0000-0002-5176 -5885",
12
      dob = datetime.strptime("March 7, 1975", "%B %d, %Y")
1.3
 )
14
16 df_authors = df_authors.union(spark.createDataFrame([new_author], schema
                                        = schemaAuthors))
```

#### 4.1.2. Insert a new author

Assuming it is not present in the dataset, we created a new row with the values for a new article written by the author created in section 4.1.1. In order to set the authors, we instantiated an array new\_authors.

```
new_authors = [Row("638db170ae9ea0d19fad7a79", "Politecnico di Milano")
                                        , Row("638db170ae9ea0d19fad7a7a", "
                                        Politecnico di Milano")]
  new_article = Row(
      _{id} = "638db237d794b76f45c77916",
      title="An extensive study of C-SMOTE, a Continuous Synthetic
                                        Minority Oversampling Technique for
                                        Evolving Data Streams",
      authors = new_authors,
      n_citation=3,
      abstract = "Streaming Machine Learning (SML) studies algorithms that
                                         update their models,\
          given an unbounded and often non-stationary flow of data
                                        performing a single pass. Online \
          class imbalance learning is a branch of SML that combines the
                                        challenges of both class imbalance \
          and concept drift. In this paper, we investigate the binary
                                        classification problem by
                                        rebalancing \
          an imbalanced stream of data in the presence of concept drift,
12
                                        accessing one sample at a time.",
          doi = "10.1016/j.eswa.2022.116630",
1.3
      keywords = ["Evolving Data Stream", "Streaming", "Concept drift", "
14
                                        Balancing"],
      isbn="123-4-567-89012-3",
15
      page_start="39",
16
      page_end="46",
      year = 2022,
18
      fos = ["Computer Science", "Stream Reasoning", "Big Data"],
19
      references = [ "53e99fe4b7602d97028bf743", "53e99fddb7602d97028bc085"],
20
      issue="1",
      volume = "196",
22
      publisher="Elsevier",
      venue_raw = "ESA"
24
 )
26
```

```
df_articles = df_articles.union(spark.createDataFrame([new_article]))
```

#### 4.1.3. Insert a new venue

Assuming it is not present in the dataset, we created a new row with the values for a new venue *ESA* hosted in *Montreal*.

Note: in field artIds we set the article created in section 4.1.2.

```
new_venue = Row(
    raw="ESA",
    type=1,
    artIds=["638db237d794b76f45c77916"],
    city="Montreal"
)
df_venues = df_venues.union(spark.createDataFrame([new_venue]))
```

#### 4.1.4. Insert a new article in his author dataframe

Through this command we inserted the article created in section 4.1.2 to its authors. In order to do that, we selected the authors through the ids and we add the article id to their field articles.

**Note:** one of the author is the one created in section 4.1.1.

#### 4.1.5. Update the number of citations of referenced publications

Through the following snippet of code is possible to increment the  $n_{citations}$  field of the *Publications* referenced by the article created in section 4.1.2.

Note: field n\_citations is updated for both the referenced articles.

#### 4.1.6. Deleting an author from the database

Through the following snippet of code is possible to delete an author from the database. In order to do that we started from filtering on the identifier of the author to be removed and we deleted it.

```
df_authors.filter(f.col("_id") == "638db170ae9ea0d19fad7a79").show()
df_authors = df_authors.filter(f.col("_id") != "638db170ae9ea0d19fad7a79
")
```

#### 4.2. Queries

We have identified the following queries in order to show the system's basic functionalities. In the following sections title we wrote the basic requirements for every query, that, for ease of read, are represented as SQL clauses.

#### 4.2.1. Query 1 - WHERE, JOIN

This query returns the type of the venue of an article with a the following title: "Locality Sensitive Outlier Detection: A ranking driven approach".

**Description:** starting from the articles dataframe, a join is performed with the venues dataframe on the article's venue\_raw field. After that, we filter the articles with the given title. Finally, we project over title, venue raw and venue type.

```
Detection: A ranking driven approach
")\
.select("title", "raw", "type")\
.show(truncate=False)
```

#### 4.2.2. Query 2 - WHERE, LIMIT, LIKE

This query returns the articles whose title string contains "Machine Learning".

**Description:** we filter the articles whose title contains "Machine Learning" using the like operator. Results are then limited to 3 tuples and projected over the article title.

#### 4.2.3. Query 3 - WHERE, IN, NESTED QUERY

This query finds authors that has the same nationality of at least one of the authors of "Locality Sensitive Outlier Detection: A ranking driven approach" article.

**Description:** this query has been splitted in 2 queries:

• First query: articles are filtered to find the article with the given title. After that, the authors array is exploded to perform a join on its idAuth field with the authors dataframe. Finally, nationalities of the article's authors are collected into a list using the collect\_set.

collect\_set, as the name suggests, discards duplicates, so the final list is a set of nationalities.

• Second query: starting from the authors' dataframe, we filtered all the authors whose nationality is present inside the list created with the previous query.

```
nationalities_list = df_articles.filter(f.col("title") == "Locality
                                       Sensitive Outlier Detection: A
                                       ranking driven approach") \
                                   .select(f.explode(df_articles.authors.
                                       idAuth).alias("idAuth"))\
                                   .join(df_authors, on=f.col("idAuth") ==
                                       df_authors._id) \
                                   .select("nationality")\
                                   .agg(f.collect_set("nationality")).
                                       collect()[0][0]
  df_authors.filter(f.col("nationality")\
            .isin(nationalities_list))\
            .select("name","nationality")\
9
            .show(truncate=False)
10
```

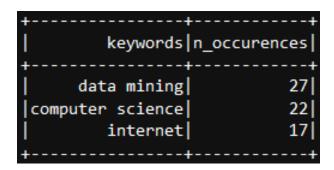
| +                        |             |
|--------------------------|-------------|
| name                     | nationality |
| +                        | ++          |
| Ye Wang                  | dk          |
| Srinivasan Parthasarathy | jp          |
| Shirish Tatikonda        | gr          |
| Moshe Zukerman           | jp          |
| Michael Wiegand          | jp          |
| GeunSik Jo               | jp          |
| Carla Achury             | gr          |
| Kong-Aik Lee             | ljp l       |
| Shahram Shah-Heydari     | gr          |
| Wenfang Tan              | dk          |
| Ayoub Alsarhan           | gr          |
| Anjali Agarwal           | jp          |
| David Haccoun            | jp          |
| Silvio Macedo            | dk          |
| John Wan Tung Lee        | gr          |
| Geoff Holmes             | dk          |
| Zornitsa Kozareva        | jp          |
| Peter Murray-Rust        | jp          |
| Rajkumar Buyya           | jp          |
| Srikumar Venugopal       | ljp l       |
| +                        | ++          |
| only showing top 20 rows |             |
|                          |             |

#### 4.2.4. Query 4 - GROUP BY, JOIN, AS

This query finds the 3 most frequent keywords of articles written by italian authors.

**Description:** starting from the authors dataframe, we keep only italian authors and explode the articles field, renaming the new obtained field to articles. After that, duplicates are discarded.

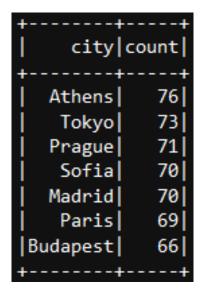
In the second part of the query, we load the full articles's rows using a join. Then, keywords array is exploded. Keywords are grouped and counted. The groups are finally sorted and limited to show the top 3 keywords.



#### 4.2.5. Query 5 - WHERE, GROUP BY

This query finds the cities with more than 65 venues.

**Description:** the venues dataframe is grouped with respect to the city to perform the count. After that, we keep only cities with more than 65 venues and sort the result in descending order.



#### 4.2.6. Query 6 - GROUP BY, HAVING, AS

This query finds the field of studies that appears more than 15 times.

**Description:** We use the explode function to convert the fos array into multiple rows, then we rename the resulting column to fos, group by fos and count the number of occurrences.

After that, we keep rows with more than 15 occurrences, sort the remaining rows in descending order based on the number of occurrences, and show the top rows.

| fos  | n_occurencies                               |
|--|---|
| Computer science   Artificial intelligence   Mathematics   Algorithm   Computer network   Computer vision   Distributed computing                          | 3988   3988   1246   1194                   |
| Engineering<br> Pattern recognition<br> Data mining<br> Theoretical computer science<br> Discrete mathematics<br> Mathematical optimization                | 374  <br> 333  <br> 327  <br> 326  <br> 294 |
| World Wide Web<br>  Machine learning<br>  Combinatorics<br>  Control theory<br>  Information retrieval<br>  Programming language<br>  Knowledge management | 264   |
| only showing top 20 rows   | +   |

#### 4.2.7. Query 7 - WHERE, GROUP BY, HAVING, AS

This query finds all the volumes with at least 5 articles in the dataset, published after 2000.

**Description:** This query filters the articles in the articles dataframe to only those published after the year 2000, then groups the remaining articles by venue\_raw and volume, counts the number of articles per group, filters the groups to only those with more than 4 articles, and finally displays the results.

```
df_articles\
    .filter(f.col("year") > 2000)\
    .groupby("venue_raw", "volume")\
    .agg(f.count("volume").alias("num_articles"))\
    .filter(f.col("num_articles") > 4)\
```

```
.show(truncate = False)
```

#### 4.2.8. Query 8 - WHERE, NESTED QUERY, GROUP BY

The following query is divided in two queries:

- 8a. find the venue with highest number of articles
- 8b. find the number of articles published per year on that venue

#### 4.2.9. Query 9 - WHERE, GROUP BY, HAVING, 1 JOIN

The following query finds the articles, published after 2000, with more than 13 different nationalities of its authors.

```
df_articles_nationalities = df_articles.alias("art")\
                                .filter(f.col("year") > 2000)\
                                 .select("art._id","art.title", f.explode
                                     ("art.authors.idAuth").alias("author
                                     "))\
                                 .join(df_authors.alias("auth"), on=f.col
                                     ("author") == df_authors._id) \
                                 .groupBy("art._id")\
                                 .agg(f.first("title").alias("title"),f.
                                     countDistinct("nationality").alias("
                                     different_nationalities"), f.
                                     collect_set("nationality").alias("
                                     nationalities list"))\
                                 .filter(f.col("different_nationalities")
                                 .orderBy("different_nationalities",
                                     ascending=False) \
                                 .select("title","different_nationalities
                                     ",f.sort_array("nationalities_list")
                                     .alias("nationalities_list"))\
```

```
.show(truncate=False)
```

#### 4.2.10. Query 10 - WHERE, GROUP BY, HAVING, 2 JOINS

This query finds all the authors that published on more than 2 Journals.

```
df_exploded_authors = df_authors.alias("auth")\
                        .select("auth._id","auth.name", f.explode("auth.
                                     articles").alias("article"))\
                        .join(df_articles.alias("art"), on=f.col("
                                     article") == df_articles._id)\
                        .select("auth._id","auth.name","art._id","art.
                                     venue_raw")\
                        .join(df_venues.alias("ven"), on=f.col("
                                     venue_raw") == df_venues.raw) \
                        .filter(f.col("type") == 1)\
                        .groupBy("auth._id")\
                        .agg(f.first("name").alias("name"),f.
                                     countDistinct("raw").alias("
                                     venue_count"),f.concat_ws(" - ",f.
                                     collect_set("raw")).alias("
                                     venues_list"))\
                        .filter(f.col("venue_count") > 2)\
                        .orderBy("venue_count", ascending=False).show(3,
                                     truncate=False)
```

#### 4.2.11. Query 11 - EXTRA

This query returns all the articles written by authors whose names combined have all 26 letters of the alphabet.

#### 4.2.12. Query 12 - EXTRA

This query returns all articles written in affiliation with *Politecnico of Milano*.

```
df_articles\
    .select("title",f.explode("authors").alias("affiliation"))\
    .filter(f.col("affiliation.org").like("%Poli%Mil%"))\
    .join(df_authors, on=f.col("affiliation.idAuth") == df_authors._id)
    .select("title", "name", "affiliation.org") \
    .orderBy("title","name")\
    .show(truncate=False)
```

| +   | +                         | +         |
|---|---------------------------|-----------|
| title   | +<br> name                | org       |
|   | Traine                    | Tot 8     |
| <del></del>   | .+                        | +         |
|   | +                         |           |
| "The Fire and The Mountain": tangible and social interaction in a museum exhibition for children  | Franca Garzotto           | Polite    |
| cnico of Milano, Mlano, Italy   | <br> Francesca Rizzo      | Polite    |
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| Risk analysis of underground infrastructures in urban areas   | Ottavio Grande            | Depart    |
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