

# Essential OCL - S Study a Consistent Semantics for UML/OCL inHOL.

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# 1 OCL Core Definitions

## 1.1 Foundational Notations

First of all, we will use a more compact notation for the library option type which occur all over in our definitions and which will make the presentation more "textbook"-like:

**syntax**

*lift*  $:: 'α \Rightarrow 'α \text{ option } ([(-)])$

**translations**

$[a] == \text{CONST Some } a$

**syntax**

*bottom*  $:: 'α \text{ option } (\perp)$

**translations**

$\perp == \text{CONST None}$

**fun** *drop*  $:: 'α \text{ option } \Rightarrow 'α ([(-)])$

**where** *drop* (*Some v*) = *v*

## 1.2 State, State Transitions, Well-formed States

Next we will introduce the foundational concept of an object id (oid), which is just some infinite set.

**type-synonym** *oid* = *ind*

States are just a partial map from oid's to elements of an object universe  $\mathcal{A}$ , and state transitions pairs of states...

**type-synonym** ( $\mathcal{A}$ ) *state* = *oid*  $\rightarrow$   $\mathcal{A}$

**type-synonym** ( $\mathcal{A}$ ) *st* =  $\mathcal{A} \text{ state} \times \mathcal{A} \text{ state}$

In certain contexts, we will require that the elements of the object universe have a particular structure; more precisely, we will require that there is a function that reconstructs the oid of an object in the state (we will settle the question how to define this function later).

**class** *object* =

**fixes** *oid-of*  $:: 'a \Rightarrow \text{oid}$

Thus, if needed, we can constrain the object universe to objects by adding the following type class constraint:

**typ**  $\mathcal{A} :: \text{object}$

All OCL expressions *denote* functions that map the underlying

**type-synonym** ( $\mathcal{A}, 'α$ ) *val* =  $\mathcal{A} \text{ st} \Rightarrow 'α \text{ option option}$

A key-concept for linking strict referential equality to logical equality: in well-formed states (i.e. those states where the self (oid-of) field contains the pointer to which the object is associated to in the state), referential equality coincides with logical equality.

**definition**  $WFF :: ('A::object)st \Rightarrow bool$   
**where**  $WFF \tau = ((\forall x \in dom(fst \tau). x = oid-of(the(fst \tau x))) \wedge$   
 $(\forall x \in dom(snd \tau). x = oid-of(the(snd \tau x))))$

This is a generic definition of referential equality: Equality on objects in a state is reduced to equality on the references to these objects. As in HOL-OCL, we will store the reference of an object inside the object in a (ghost) field. By establishing certain invariants ("consistent state"), it can be assured that there is a "one-to-one-correspondance" of objects to their references — and therefore the definition below behaves as we expect.

Generic Referential Equality enjoys the usual properties: (quasi) reflexivity, symmetry, transitivity, substitutivity for defined values. For type-technical reasons, for each concrete object type, the equality  $\doteq$  is defined by generic referential equality.

### 1.3 Basic Constants

**definition**  $invalid :: ('A, 'a) val$   
**where**  $invalid \equiv \lambda \tau. \perp$

**definition**  $null :: ('A, 'a) val$   
**where**  $null \equiv \lambda \tau. \lfloor \perp \rfloor$

### 1.4 Boolean Type and Logic

**type-synonym**  $('A)Boolean = ('A, bool) val$   
**type-synonym**  $('A)Integer = ('A, int) val$

**definition**  $true :: ('A)Boolean$   
**where**  $true \equiv \lambda \tau. \lfloor \text{True} \rfloor$

**definition**  $false :: ('A)Boolean$   
**where**  $false \equiv \lambda \tau. \lfloor \text{False} \rfloor$

**lemma**  $bool-split$ :  $X \tau = invalid \tau \vee X \tau = null \tau \vee$   
 $X \tau = true \tau \vee X \tau = false \tau$

$\langle proof \rangle$

**thm**  $bool-split$

**lemma**  $[simp]$ :  $false (a, b) = \lfloor \text{False} \rfloor$

$\langle proof \rangle$

**lemma**  $[simp]: true\ (a, b) = \llbracket True \rrbracket$   
 $\langle proof \rangle$

## 2 Logical (Strong) Equality and Definedness

**definition**  $StrongEq::('A, 'a)val, ('A, 'a)val] \Rightarrow ('A)Boolean\ (\text{infixl } \triangleq\ 30)$   
**where**  $X \triangleq Y \equiv \lambda \tau. \llbracket X\ \tau = Y\ \tau \rrbracket$

**lemma**  $cp\text{-}StrongEq: (X \triangleq Y)\ \tau = ((\lambda \tau. X\ \tau) \triangleq (\lambda \tau. Y\ \tau))\ \tau$   
 $\langle proof \rangle$

**lemma**  $StrongEq\text{-}refl\ [simp]: (X \triangleq X) = true$   
 $\langle proof \rangle$

**lemma**  $StrongEq\text{-}sym\ [simp]: (X \triangleq Y) = (Y \triangleq X)$   
 $\langle proof \rangle$

**lemma**  $StrongEq\text{-}trans\text{-}strong\ [simp]:$   
**assumes**  $A: (X \triangleq Y) = true$   
**and**  $B: (Y \triangleq Z) = true$   
**shows**  $(X \triangleq Z) = true$   
 $\langle proof \rangle$

**definition**  $valid :: ('A, 'a)val \Rightarrow ('A)Boolean\ (v - [100]100)$   
**where**  $v\ X \equiv \lambda \tau. \text{case } X\ \tau\ \text{of}$   
 $\quad \perp \Rightarrow false\ \tau$   
 $\quad | \llbracket \perp \rrbracket \Rightarrow true\ \tau$   
 $\quad | \llbracket x \rrbracket \Rightarrow true\ \tau$

**lemma**  $cp\text{-}valid: (v\ X)\ \tau = (v\ (\lambda \tau. X\ \tau))\ \tau$   
 $\langle proof \rangle$

**lemma**  $valid1[simp]: v\ invalid = false$   
 $\langle proof \rangle$

**lemma**  $valid2[simp]: v\ null = true$   
 $\langle proof \rangle$

**lemma**  $valid3[simp]: v\ v\ X = true$   
 $\langle proof \rangle$

**definition**  $defined :: ('A, 'a)val \Rightarrow ('A)Boolean\ (\delta - [100]100)$   
**where**  $\delta\ X \equiv \lambda \tau. \text{case } X\ \tau\ \text{of}$   
 $\quad \perp \Rightarrow false\ \tau$   
 $\quad | \llbracket \perp \rrbracket \Rightarrow false\ \tau$   
 $\quad | \llbracket x \rrbracket \Rightarrow true\ \tau$

**lemma** *cp-defined*:  $(\delta X)\tau = (\delta (\lambda \neg. X \tau)) \tau$   
 $\langle proof \rangle$

**lemma** *defined1[simp]*:  $\delta \text{ invalid} = \text{false}$   
 $\langle proof \rangle$

**lemma** *defined2[simp]*:  $\delta \text{ null} = \text{false}$   
 $\langle proof \rangle$

**lemma** *defined3[simp]*:  $\delta \delta X = \text{true}$   
 $\langle proof \rangle$

**lemma** *valid4[simp]*:  $v (X \triangleq Y) = \text{true}$   
 $\langle proof \rangle$

**lemma** *defined4[simp]*:  $\delta (X \triangleq Y) = \text{true}$   
 $\langle proof \rangle$

**lemma** *defined5[simp]*:  $\delta v X = \text{true}$   
 $\langle proof \rangle$

**lemma** *valid5[simp]*:  $v \delta X = \text{true}$   
 $\langle proof \rangle$

### 3 Logical Connectives and their Universal Properties

**definition** *not* ::  $(\mathfrak{A})\text{Boolean} \Rightarrow (\mathfrak{A})\text{Boolean}$   
**where**  $\text{not } X \equiv \lambda \tau . \text{case } X \tau \text{ of}$

$$\begin{array}{lcl} \perp & \Rightarrow & \perp \\ | \lfloor \perp \rfloor & \Rightarrow & \lfloor \perp \rfloor \\ | \lfloor \lfloor x \rfloor \rfloor & \Rightarrow & \lfloor \lfloor \neg x \rfloor \rfloor \end{array}$$

**lemma** *cp-not*:  $(\text{not } X)\tau = (\text{not } (\lambda \neg. X \tau)) \tau$   
 $\langle proof \rangle$

**lemma** *not1[simp]*:  $\text{not invalid} = \text{invalid}$   
 $\langle proof \rangle$

**lemma** *not2[simp]*:  $\text{not null} = \text{null}$   
 $\langle proof \rangle$

**lemma** *not3[simp]*:  $\text{not true} = \text{false}$   
 $\langle proof \rangle$

**lemma** *not4[simp]*:  $\text{not false} = \text{true}$

$\langle proof \rangle$

**lemma** *not-not[simp]*:  $not (not X) = X$   
 $\langle proof \rangle$

**definition** *ocl-and* ::  $[('A)Boolean, ('A)Boolean] \Rightarrow ('A)Boolean$  (**infixl** and 30)

**where**  $X \text{ and } Y \equiv (\lambda \tau . \text{case } X \ \tau \text{ of}$   
 $\quad \perp \Rightarrow (\text{case } Y \ \tau \text{ of}$   
 $\quad \quad \perp \Rightarrow \perp$   
 $\quad \quad | \lfloor \perp \rfloor \Rightarrow \perp$   
 $\quad \quad | \lfloor \text{True} \rfloor \Rightarrow \perp$   
 $\quad \quad | \lfloor \text{False} \rfloor \Rightarrow \lfloor \text{False} \rfloor)$   
 $| \lfloor \perp \rfloor \Rightarrow (\text{case } Y \ \tau \text{ of}$   
 $\quad \quad \perp \Rightarrow \perp$   
 $\quad \quad | \lfloor \perp \rfloor \Rightarrow \lfloor \perp \rfloor$   
 $\quad \quad | \lfloor \text{True} \rfloor \Rightarrow \lfloor \perp \rfloor$   
 $\quad \quad | \lfloor \text{False} \rfloor \Rightarrow \lfloor \text{False} \rfloor)$   
 $| \lfloor \text{True} \rfloor \Rightarrow (\text{case } Y \ \tau \text{ of}$   
 $\quad \quad \perp \Rightarrow \perp$   
 $\quad \quad | \lfloor \perp \rfloor \Rightarrow \lfloor \perp \rfloor$   
 $\quad \quad | \lfloor y \rfloor \Rightarrow \lfloor y \rfloor)$   
 $| \lfloor \text{False} \rfloor \Rightarrow \lfloor \text{False} \rfloor)$

**definition** *ocl-or* ::  $[('A)Boolean, ('A)Boolean] \Rightarrow ('A)Boolean$   
**(infixl** or 25)

**where**  $X \text{ or } Y \equiv not(not X \text{ and } not Y)$

**definition** *ocl-implies* ::  $[('A)Boolean, ('A)Boolean] \Rightarrow ('A)Boolean$   
**(infixl** implies 25)

**where**  $X \text{ implies } Y \equiv not X \text{ or } Y$

**lemma** *cp-ocl-and*:  $(X \text{ and } Y) \ \tau = ((\lambda -. X \ \tau) \text{ and } (\lambda -. Y \ \tau)) \ \tau$   
 $\langle proof \rangle$

**lemma** *cp-ocl-or*:  $((X :: ('A)Boolean) \text{ or } Y) \ \tau = ((\lambda -. X \ \tau) \text{ or } (\lambda -. Y \ \tau)) \ \tau$   
 $\langle proof \rangle$

**lemma** *cp-ocl-implies*:  $(X \text{ implies } Y) \ \tau = ((\lambda -. X \ \tau) \text{ implies } (\lambda -. Y \ \tau)) \ \tau$   
 $\langle proof \rangle$

**lemma** *ocl-and1[simp]*:  $(invalid \text{ and } true) = invalid$   
 $\langle proof \rangle$

**lemma** *ocl-and2[simp]*:  $(invalid \text{ and } false) = false$   
 $\langle proof \rangle$

**lemma** *ocl-and3[simp]*: (*invalid and null*) = *invalid*  
 ⟨*proof*⟩  
**lemma** *ocl-and4[simp]*: (*invalid and invalid*) = *invalid*  
 ⟨*proof*⟩  
  
**lemma** *ocl-and5[simp]*: (*null and true*) = *null*  
 ⟨*proof*⟩  
**lemma** *ocl-and6[simp]*: (*null and false*) = *false*  
 ⟨*proof*⟩  
**lemma** *ocl-and7[simp]*: (*null and null*) = *null*  
 ⟨*proof*⟩  
**lemma** *ocl-and8[simp]*: (*null and invalid*) = *invalid*  
 ⟨*proof*⟩  
  
**lemma** *ocl-and9[simp]*: (*false and true*) = *false*  
 ⟨*proof*⟩  
**lemma** *ocl-and10[simp]*: (*false and false*) = *false*  
 ⟨*proof*⟩  
**lemma** *ocl-and11[simp]*: (*false and null*) = *false*  
 ⟨*proof*⟩  
**lemma** *ocl-and12[simp]*: (*false and invalid*) = *false*  
 ⟨*proof*⟩  
  
**lemma** *ocl-and13[simp]*: (*true and true*) = *true*  
 ⟨*proof*⟩  
**lemma** *ocl-and14[simp]*: (*true and false*) = *false*  
 ⟨*proof*⟩  
**lemma** *ocl-and15[simp]*: (*true and null*) = *null*  
 ⟨*proof*⟩  
**lemma** *ocl-and16[simp]*: (*true and invalid*) = *invalid*  
 ⟨*proof*⟩  
  
**lemma** *ocl-and-idem[simp]*: (*X and X*) = *X*  
 ⟨*proof*⟩  
  
**lemma** *ocl-and-commute*: (*X and Y*) = (*Y and X*)  
 ⟨*proof*⟩  
  
**lemma** *ocl-and-false1[simp]*: (*false and X*) = *false*  
 ⟨*proof*⟩  
  
**lemma** *ocl-and-false2[simp]*: (*X and false*) = *false*  
 ⟨*proof*⟩  
  
**lemma** *ocl-and-true1[simp]*: (*true and X*) = *X*  
 ⟨*proof*⟩

**lemma** *ocl-and-true2[simp]*:  $(X \text{ and } true) = X$   
 $\langle proof \rangle$

**lemma** *ocl-and-assoc*:  $(X \text{ and } (Y \text{ and } Z)) = (X \text{ and } Y \text{ and } Z)$   
 $\langle proof \rangle$

**lemma** *ocl-or-idem[simp]*:  $(X \text{ or } X) = X$   
 $\langle proof \rangle$

**lemma** *ocl-or-commute*:  $(X \text{ or } Y) = (Y \text{ or } X)$   
 $\langle proof \rangle$

**lemma** *ocl-or-false1[simp]*:  $(false \text{ or } Y) = Y$   
 $\langle proof \rangle$

**lemma** *ocl-or-false2[simp]*:  $(Y \text{ or } false) = Y$   
 $\langle proof \rangle$

**lemma** *ocl-or-true1[simp]*:  $(true \text{ or } Y) = true$   
 $\langle proof \rangle$

**lemma** *ocl-or-true2*:  $(Y \text{ or } true) = true$   
 $\langle proof \rangle$

**lemma** *ocl-or-assoc*:  $(X \text{ or } (Y \text{ or } Z)) = (X \text{ or } Y \text{ or } Z)$   
 $\langle proof \rangle$

**lemma** *deMorgan1*:  $not(X \text{ and } Y) = ((not\ X) \text{ or } (not\ Y))$   
 $\langle proof \rangle$

**lemma** *deMorgan2*:  $not(X \text{ or } Y) = ((not\ X) \text{ and } (not\ Y))$   
 $\langle proof \rangle$

## 4 Logical Equality and Referential Equality

Construction by overloading: for each base type, there is an equality.

**consts** *StrictRefEq* ::  $[(\mathfrak{A}, 'a)val, (\mathfrak{A}, 'a)val] \Rightarrow (\mathfrak{A})Boolean$  (**infixl**  $\doteq 30$ )

Generic referential equality - to be used for instantiations with concrete object types ...

**definition** *gen-ref-eq*  $(x::(\mathfrak{A}, 'a::object)val) (y::(\mathfrak{A}, 'a::object)val)$   
 $\equiv \lambda \tau. \text{ if } (\delta\ x)\ \tau = true\ \tau \wedge (\delta\ y)\ \tau = true\ \tau$   
 $\text{ then } \llbracket (oid\text{-of } \llbracket x\ \tau \rrbracket) = (oid\text{-of } \llbracket y\ \tau \rrbracket) \rrbracket$   
 $\text{ else } invalid\ \tau$

**lemma** *gen-ref-eq-object-strict1[simp]* :  
 $(gen\text{-ref-eq } (x::(\mathfrak{A}, 'a::object)val) \text{ invalid}) = invalid$



$\langle \text{proof} \rangle$

**lemma** *gen-ref-eq-object-strict2*[simp] :  
 $(\text{gen-ref-eq invalid } (x::('A, 'a::\text{object})\text{val})) = \text{invalid}$   
 $\langle \text{proof} \rangle$

**lemma** *gen-ref-eq-object-strict3*[simp] :  
 $(\text{gen-ref-eq } (x::('A, 'a::\text{object})\text{val}) \text{ null}) = \text{invalid}$   
 $\langle \text{proof} \rangle$

**lemma** *gen-ref-eq-object-strict4*[simp] :  
 $(\text{gen-ref-eq null } (x::('A, 'a::\text{object})\text{val})) = \text{invalid}$   
 $\langle \text{proof} \rangle$

**lemma** *cp-gen-ref-eq-object*:  
 $(\text{gen-ref-eq } x \ (y::('A, 'a::\text{object})\text{val})) \ \tau =$   
 $(\text{gen-ref-eq } (\lambda\cdot. x \ \tau) \ (\lambda\cdot. y \ \tau)) \ \tau$   
 $\langle \text{proof} \rangle$

## 5 Local Validity

**definition** *OclValid* ::  $[(\text{'A})\text{st}, (\text{'A})\text{Boolean}] \Rightarrow \text{bool } ((1(-)/ \models (-)) \ 50)$   
**where**  $\tau \models P \equiv ((P \ \tau) = \text{true} \ \tau)$

## 6 Global vs. Local Judgements

**lemma** *transform1*:  $P = \text{true} \implies \tau \models P$   
 $\langle \text{proof} \rangle$

**lemma** *transform2*:  $(P = Q) \implies ((\tau \models P) = (\tau \models Q))$   
 $\langle \text{proof} \rangle$

**lemma** *transform2-rev*:  $\forall \tau. (\tau \models \delta \ P) \wedge (\tau \models \delta \ Q) \wedge (\tau \models P) = (\tau \models Q) \implies$   
 $P = Q$   
 $\langle \text{proof} \rangle$

However, certain properties (like transitivity) can not be *transformed* from the global level to the local one, they have to be re-proven on the local level.

**lemma** *transform3*:  
**assumes**  $H : P = \text{true} \implies Q = \text{true}$   
**shows**  $\tau \models P \implies \tau \models Q$   
 $\langle \text{proof} \rangle$

## 7 Local Validity and Meta-logic

**lemma** *foundation1*[simp]:  $\tau \models \text{true}$   
 $\langle \text{proof} \rangle$

**lemma** *foundation2*[simp]:  $\neg(\tau \models \text{false})$

$\langle \text{proof} \rangle$

**lemma** *foundation3*[simp]:  $\neg(\tau \models \text{invalid})$

$\langle \text{proof} \rangle$

**lemma** *foundation4*[simp]:  $\neg(\tau \models \text{null})$

$\langle \text{proof} \rangle$

**lemma** *bool-split-local*[simp]:

$(\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null})) \vee (\tau \models (x \triangleq \text{true})) \vee (\tau \models (x \triangleq \text{false}))$

$\langle \text{proof} \rangle$

**lemma** *def-split-local*:

$(\tau \models \delta x) = ((\neg(\tau \models (x \triangleq \text{invalid}))) \wedge (\neg(\tau \models (x \triangleq \text{null}))))$

$\langle \text{proof} \rangle$

**lemma** *foundation5*:

$\tau \models (P \text{ and } Q) \implies (\tau \models P) \wedge (\tau \models Q)$

$\langle \text{proof} \rangle$

**lemma** *foundation6*:

$\tau \models P \implies \tau \models \delta P$

$\langle \text{proof} \rangle$

**lemma** *foundation7*[simp]:

$(\tau \models \text{not } (\delta x)) = (\neg(\tau \models \delta x))$

$\langle \text{proof} \rangle$

Key theorem for the Delta-closure: either an expression is defined, or it can be replaced (substituted via **StrongEq\_L\_subst2**; see below) by invalid or null. Strictness-reduction rules will usually reduce these substituted terms drastically.

**lemma** *foundation8*:

$(\tau \models \delta x) \vee (\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null}))$

$\langle \text{proof} \rangle$

**lemma** *foundation9*:

$\tau \models \delta x \implies (\tau \models \text{not } x) = (\neg(\tau \models x))$

$\langle \text{proof} \rangle$

**lemma** *foundation10*:

$\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ and } y)) = ((\tau \models x) \wedge (\tau \models y))$

$\langle \text{proof} \rangle$

**lemma** *foundation11*:

$\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ or } y)) = ( (\tau \models x) \vee (\tau \models y) )$   
 $\langle \text{proof} \rangle$

**lemma** *foundation12*:

$\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ implies } y)) = ( (\tau \models x) \longrightarrow (\tau \models y) )$   
 $\langle \text{proof} \rangle$

**lemma** *strictEqGen-vs-strongEq*:

$WFF \tau \implies \tau \models (\delta x) \implies \tau \models (\delta y) \implies$   
 $(\tau \models (\text{gen-ref-eq } (x :: ('b :: \text{object}, 'a :: \text{object}) \text{val}) y)) = (\tau \models (x \triangleq y))$   
 $\langle \text{proof} \rangle$

WFF and object must be defined strong enough that this can be proven!

## 8 Local Judgements and Strong Equality

**lemma** *StrongEq-L-refl*:  $\tau \models (x \triangleq x)$

$\langle \text{proof} \rangle$

**lemma** *StrongEq-L-sym*:  $\tau \models (x \triangleq y) \implies \tau \models (y \triangleq x)$

$\langle \text{proof} \rangle$

**lemma** *StrongEq-L-trans*:  $\tau \models (x \triangleq y) \implies \tau \models (y \triangleq z) \implies \tau \models (x \triangleq z)$

$\langle \text{proof} \rangle$

In order to establish substitutivity (which does not hold in general HOL-formulas we introduce the following predicate that allows for a calculus of the necessary side-conditions.

**definition** *cp* ::  $((\mathfrak{A}, \alpha) \text{ val} \Rightarrow (\mathfrak{A}, \beta) \text{ val}) \Rightarrow \text{bool}$

**where**  $cp P \equiv (\exists f. \forall X \tau. P X \tau = f (X \tau) \tau)$

The rule of substitutivity in HOL-OCL holds only for context-passing expressions - i.e. those, that pass the context  $\tau$  without changing it. Fortunately, all operators of the OCL language satisfy this property (but not all HOL operators).

**lemma** *StrongEq-L-subst1*:  $!! \tau. cp P \implies \tau \models (x \triangleq y) \implies \tau \models (P x \triangleq P y)$

$\langle \text{proof} \rangle$

**lemma** *StrongEq-L-subst2*:

$!! \tau. cp P \implies \tau \models (x \triangleq y) \implies \tau \models (P x) \implies \tau \models (P y)$

$\langle \text{proof} \rangle$

**lemma** *cpI1*:  
 $(\forall X \tau. f X \tau = f(\lambda-. X \tau) \tau) \implies cp P \implies cp(\lambda X. f (P X))$   
 $\langle proof \rangle$

**lemma** *cpI2*:  
 $(\forall X Y \tau. f X Y \tau = f(\lambda-. X \tau)(\lambda-. Y \tau) \tau) \implies$   
 $cp P \implies cp Q \implies cp(\lambda X. f (P X) (Q X))$   
 $\langle proof \rangle$

**lemma** *cp-const* :  $cp(\lambda-. c)$   
 $\langle proof \rangle$

**lemma** *cp-id* :  $cp(\lambda X. X)$   
 $\langle proof \rangle$

**lemmas** *cp-intro*[*simp, intro!*] =  
*cp-const*  
*cp-id*  
*cp-defined*[*THEN allI*[*THEN allI*[*THEN cpI1*], *of defined*]]  
*cp-valid*[*THEN allI*[*THEN allI*[*THEN cpI1*], *of valid*]]  
*cp-not*[*THEN allI*[*THEN allI*[*THEN cpI1*], *of not*]]  
*cp-ocl-and*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]], *of op and*]]  
*cp-ocl-or*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]], *of op or*]]  
*cp-ocl-implies*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]], *of op implies*]]  
*cp-StrongEq*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]],  
*of StrongEq*]]  
*cp-gen-ref-eq-object*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]],  
*of gen-ref-eq*]]

## 9 Laws to Establish Definedness (Delta-Closure)

For the logical connectives, we have — beyond  $? \tau \models ?P \implies ? \tau \models \delta ?P$  — the following facts:

**lemma** *ocl-not-defargs*:  
 $\tau \models (not P) \implies \tau \models \delta P$   
 $\langle proof \rangle$

**lemma** *ocl-and-defargs*:  
 $\tau \models (P and Q) \implies (\tau \models \delta P) \wedge (\tau \models \delta Q)$   
 $\langle proof \rangle$

So far, we have only one strict Boolean predicate (-family): The strict equality.

**end**  
**theory** *OCL-lib*  
**imports** *OCL-core*

**begin**

**syntax**

*notequal* :: (' $\mathfrak{A}$ )Boolean  $\Rightarrow$  (' $\mathfrak{A}$ )Boolean  $\Rightarrow$  (' $\mathfrak{A}$ )Boolean (**infix** <> 40)

**translations**

$a <> b == \text{CONST not}(a \doteq b)$

**defs** *StrictRefEq-int* : ( $x :: (' $\mathfrak{A}$ ,int)val$ )  $\doteq y \equiv$   
 $\lambda \tau. \text{if } (\delta x) \tau = \text{true} \tau \wedge (\delta y) \tau = \text{true} \tau$   
 $\text{then } (x \triangleq y) \tau$   
 $\text{else invalid } \tau$

**defs** *StrictRefEq-bool* : ( $x :: (' $\mathfrak{A}$ ,bool)val$ )  $\doteq y \equiv$   
 $\lambda \tau. \text{if } (\delta x) \tau = \text{true} \tau \wedge (\delta y) \tau = \text{true} \tau$   
 $\text{then } (x \triangleq y) \tau$   
 $\text{else invalid } \tau$

**lemma** *StrictRefEq-int-strict1*[simp] : (( $x :: (' $\mathfrak{A}$ ,int)val$ )  $\doteq \text{invalid}$ ) = *invalid*  
*<proof>*

**lemma** *StrictRefEq-int-strict2*[simp] : (*invalid*  $\doteq$  ( $x :: (' $\mathfrak{A}$ ,int)val$ )) = *invalid*  
*<proof>*

**lemma** *StrictRefEq-int-strict3*[simp] : (( $x :: (' $\mathfrak{A}$ ,int)val$ )  $\doteq \text{null}$ ) = *invalid*  
*<proof>*

**lemma** *StrictRefEq-int-strict4*[simp] : (*null*  $\doteq$  ( $x :: (' $\mathfrak{A}$ ,int)val$ )) = *invalid*  
*<proof>*

**lemma** *strictEqBool-vs-strongEq*:

$\tau \models (\delta x) \implies \tau \models (\delta y) \implies (\tau \models ((x :: (' $\mathfrak{A}$ ,bool)val) \doteq y)) = (\tau \models (x \triangleq y))$   
*<proof>*

**lemma** *strictEqInt-vs-strongEq*:

$\tau \models (\delta x) \implies \tau \models (\delta y) \implies (\tau \models ((x :: (' $\mathfrak{A}$ ,int)val) \doteq y)) = (\tau \models (x \triangleq y))$   
*<proof>*

**lemma** *strictEqBool-defargs*:

$\tau \models ((x :: (' $\mathfrak{A}$ ,bool)val) \doteq y) \implies (\tau \models (\delta x)) \wedge (\tau \models (\delta y))$   
*<proof>*

**lemma** *strictEqInt-defargs*:

$\tau \models ((x :: (' $\mathfrak{A}$ ,int)val) \doteq y) \implies (\tau \models (\delta x)) \wedge (\tau \models (\delta y))$   
*<proof>*

**lemma** *gen-ref-eq-defargs*:

$\tau \models (\text{gen-ref-eq } x \ (y::('A, 'a::\text{object})\text{val})) \implies (\tau \models (\delta \ x)) \wedge (\tau \models (\delta \ y))$   
 $\langle \text{proof} \rangle$

**lemma** *StrictRefEq-int-strict* :

**assumes**  $A: \delta \ (x::('A, \text{int})\text{val}) = \text{true}$

**and**  $B: \delta \ y = \text{true}$

**shows**  $\delta \ (x \doteq y) = \text{true}$

$\langle \text{proof} \rangle$

**lemma** *StrictRefEq-int-strict'* :

**assumes**  $A: \delta \ ((x::('A, \text{int})\text{val}) \doteq y) = \text{true}$

**shows**  $\delta \ x = \text{true} \wedge \delta \ y = \text{true}$

$\langle \text{proof} \rangle$

**lemma** *StrictRefEq-bool-strict1[simp]* :  $((x::('A, \text{bool})\text{val}) \doteq \text{invalid}) = \text{invalid}$   
 $\langle \text{proof} \rangle$

**lemma** *StrictRefEq-bool-strict2[simp]* :  $(\text{invalid} \doteq (x::('A, \text{bool})\text{val})) = \text{invalid}$   
 $\langle \text{proof} \rangle$

**lemma** *StrictRefEq-bool-strict3[simp]* :  $((x::('A, \text{bool})\text{val}) \doteq \text{null}) = \text{invalid}$   
 $\langle \text{proof} \rangle$

**lemma** *StrictRefEq-bool-strict4[simp]* :  $(\text{null} \doteq (x::('A, \text{bool})\text{val})) = \text{invalid}$   
 $\langle \text{proof} \rangle$

**lemma** *cp-StrictRefEq-bool*:

$((X::('A, \text{bool})\text{val}) \doteq Y) \ \tau = ((\lambda \ -. \ X \ \tau) \doteq (\lambda \ -. \ Y \ \tau)) \ \tau$

$\langle \text{proof} \rangle$

**lemma** *cp-StrictRefEq-int*:

$((X::('A, \text{int})\text{val}) \doteq Y) \ \tau = ((\lambda \ -. \ X \ \tau) \doteq (\lambda \ -. \ Y \ \tau)) \ \tau$

$\langle \text{proof} \rangle$

**lemmas** *cp-rules* =

*cp-StrictRefEq-bool*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]],  
*of StrictRefEq*]]  
*cp-StrictRefEq-int*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]],  
*of StrictRefEq*]]

**lemma** *StrictRefEq-strict* :  
 assumes  $A: \delta (x::('A, int) val) = true$   
 and  $B: \delta y = true$   
 shows  $\delta (x \doteq y) = true$   
 $\langle proof \rangle$

**definition** *ocl-zero* ::  $('A) Integer$  (**0**)  
 where  $\mathbf{0} = (\lambda - . \lfloor \lfloor 0::int \rfloor \rfloor)$

**definition** *ocl-one* ::  $('A) Integer$  (**1**)  
 where  $\mathbf{1} = (\lambda - . \lfloor \lfloor 1::int \rfloor \rfloor)$

**definition** *ocl-two* ::  $('A) Integer$  (**2**)  
 where  $\mathbf{2} = (\lambda - . \lfloor \lfloor 2::int \rfloor \rfloor)$

**definition** *ocl-three* ::  $('A) Integer$  (**3**)  
 where  $\mathbf{3} = (\lambda - . \lfloor \lfloor 3::int \rfloor \rfloor)$

**definition** *ocl-four* ::  $('A) Integer$  (**4**)  
 where  $\mathbf{4} = (\lambda - . \lfloor \lfloor 4::int \rfloor \rfloor)$

**definition** *ocl-five* ::  $('A) Integer$  (**5**)  
 where  $\mathbf{5} = (\lambda - . \lfloor \lfloor 5::int \rfloor \rfloor)$

**definition** *ocl-six* ::  $('A) Integer$  (**6**)  
 where  $\mathbf{6} = (\lambda - . \lfloor \lfloor 6::int \rfloor \rfloor)$

**definition** *ocl-seven* ::  $('A) Integer$  (**7**)  
 where  $\mathbf{7} = (\lambda - . \lfloor \lfloor 7::int \rfloor \rfloor)$

**definition** *ocl-eight* ::  $('A) Integer$  (**8**)  
 where  $\mathbf{8} = (\lambda - . \lfloor \lfloor 8::int \rfloor \rfloor)$

**definition** *ocl-nine* ::  $('A) Integer$  (**9**)  
 where  $\mathbf{9} = (\lambda - . \lfloor \lfloor 9::int \rfloor \rfloor)$

**definition** *ten-nine* ::  $('A) Integer$  (**10**)  
 where  $\mathbf{10} = (\lambda - . \lfloor \lfloor 10::int \rfloor \rfloor)$

Here is a way to cast in standard operators via the type class system of Isabelle.

**lemma**  $[simp]: \delta \mathbf{0} = true$   
 $\langle proof \rangle$

**lemma**  $[simp]: v \mathbf{0} = true$   
 $\langle proof \rangle$

**instance** *option* :: (*plus*) *plus*  
 <proof>

**instance** *fun* :: (*type*, *plus*) *plus*  
 <proof>

**definition** *ocl-less-int* :: ('*A*)Integer  $\Rightarrow$  ('*A*)Integer  $\Rightarrow$  ('*A*)Boolean (**infix**  $\prec$  40)  
**where**  $x \prec y \equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true } \tau \wedge (\delta y) \tau = \text{true } \tau$   
           *then*  $\llbracket \llbracket x \tau \rrbracket < \llbracket y \tau \rrbracket \rrbracket$   
           *else* *invalid*  $\tau$

**definition** *ocl-le-int* :: ('*A*)Integer  $\Rightarrow$  ('*A*)Integer  $\Rightarrow$  ('*A*)Boolean (**infix**  $\preceq$  40)  
**where**  $x \preceq y \equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true } \tau \wedge (\delta y) \tau = \text{true } \tau$   
           *then*  $\llbracket \llbracket x \tau \rrbracket \leq \llbracket y \tau \rrbracket \rrbracket$   
           *else* *invalid*  $\tau$

**lemma** *zero-non-null[simp]*:  $0 \neq \text{null}$   
 <proof>

## 10 Collection Types

### 10.1 Prerequisite: An Abstract Interface for OCL Types

In order to have the possibility to nest collection types, it is necessary to introduce a uniform interface for types having the "invalid" (= bottom) element. In a second step, our base-types will be shown to be instances of this class.

This uniform interface consists in abstracting the null (which is defined by  $\lfloor \perp \rfloor$  on '*a option option*' to a NULL - element, which may have an arbitrary semantic structure, and an undefinedness element  $\perp$  to an abstract undefinedness element *UU* (also written  $\perp$  whenever no confusion arises). As a consequence, it is necessary to redefine the notions of invalid, defined, valuation etc. on top of this interface.

This interface consists in two abstract type classes *bottom* and *null* for the class of all types comprising a bottom and a distinct null element.

**class** *bottom* =  
   **fixes** *UU* :: '*a*  
   **assumes** *nonEmpty* :  $\exists x. x \neq \text{UU}$



```

begin
  notation (xsymbols) UU ( $\perp$ )
end

class null = bottom +
  fixes NULL :: 'a
  assumes null-is-valid : NULL  $\neq$  UU

```

In the following it is shown that the option-option type type is in fact in the *null* class and that function spaces over these classes again "live" in these classes.

```

instantiation option :: (type)bottom
begin
  definition UU-option-def: (UU::'a option)  $\equiv$  (None::'a option)

  instance <proof>
end

instantiation option :: (bottom)null
begin
  definition NULL-option-def: (NULL::'a::bottom option)  $\equiv$  [ UU ]

  instance <proof>
end

instantiation fun :: (type,bottom) bottom
begin
  definition UU-fun-def: UU  $\equiv$  ( $\lambda$  x. UU)

  instance <proof>
end

instantiation fun :: (type,null) null
begin
  definition NULL-fun-def: (NULL::'a  $\Rightarrow$  'b::null)  $\equiv$  ( $\lambda$  x. NULL)

  instance <proof>
end

```

A trivial consequence of this adaption of the interface is that abstract and concrete versions of NULL are the same on base types (as could be expected).

```

lemma [simp]: null = (NULL::('a)Integer)
<proof>

```

**lemma** [simp]:  $null = (NULL::('a)Boolean)$   
 $\langle proof \rangle$

**lemma** [simp]:  $0 \neq NULL$   
 $\langle proof \rangle$

Now, on this basis we generalize the concept of a valuation: we do no longer care that the  $\perp$  and  $NULL$  were actually constructed by the type constructor option; rather, we require that the type is just from the null-class:

**type-synonym** ( $'\mathfrak{A}, 'a$ )  $val' = 'a \Rightarrow 'a::null$

However, this has also the consequence that core concepts like definedness or validity have to be redefined on this type class:

**definition**  $valid' :: ('\mathfrak{A}, 'a::null)val' \Rightarrow ('\mathfrak{A})Boolean (v' - [100]100)$   
**where**  $v' X \equiv \lambda \tau . \text{if } X \tau = UU \tau \text{ then false } \tau \text{ else true } \tau$

**definition**  $defined' :: ('\mathfrak{A}, 'a::null)val' \Rightarrow ('\mathfrak{A})Boolean (\delta' - [100]100)$   
**where**  $\delta' X \equiv \lambda \tau . \text{if } X \tau = UU \tau \vee X \tau = NULL \tau \text{ then false } \tau \text{ else true } \tau$

The generalized definitions of invalid and definedness have the same properties as the old ones :

**lemma**  $defined1[simp]$ :  $\delta' invalid = false$   
 $\langle proof \rangle$

**lemma**  $defined2[simp]$ :  $\delta' null = false$   
 $\langle proof \rangle$

**lemma**  $defined3[simp]$ :  $\delta' \delta' X = true$   
 $\langle proof \rangle$

**lemma**  $valid4[simp]$ :  $v' (X \triangleq Y) = true$   
 $\langle proof \rangle$

**lemma**  $defined4[simp]$ :  $\delta' (X \triangleq Y) = true$   
 $\langle proof \rangle$

**lemma**  $defined5[simp]$ :  $\delta' v' X = true$   
 $\langle proof \rangle$

**lemma**  $valid5[simp]$ :  $v' \delta' X = true$   
 $\langle proof \rangle$

**lemma**  $cp-valid'$ :  $(v' X) \tau = (v' (\lambda \tau . X \tau)) \tau$   
 $\langle proof \rangle$

**lemma** *cp-defined'*:  $(\delta' X)\tau = (\delta' (\lambda \cdot X \tau)) \tau$   
 $\langle proof \rangle$

**lemmas** *cp-intro*[*simp, intro!*] =  
 $cp\_defined'[THEN\ all[THEN\ all[THEN\ cpI1],\ of\ defined']]$   
 $cp\_valid'[THEN\ all[THEN\ all[THEN\ cpI1],\ of\ valid']]$   
*cp-intro*

In fact, it can be proven for the base types that both versions of undefined and invalid are actually the same:

**lemma** *defined-is-defined'*:  $\delta X = \delta' X$   
 $\langle proof \rangle$

**lemma** *valid-is-valid'*:  $v' X = v' X$   
 $\langle proof \rangle$

## 10.2 Example: The Set-Collection Type

For the semantic construction of the collection types, we have two goals:

1. we want the types to be *fully abstract*, i.e. the type should not contain junk-elements that are not representable by OCL expressions.
2. We want a possibility to nest collection types (so, we want the potential to talking about  $Set(Set(Sequences(Pairs(X, Y))))$ ), and

The former principe rules out the option to define  $'\alpha\ Set$  just by  $(\mathcal{A}, ('_{\alpha}\ option\ option)\ set)\ val$ . This would allow sets to contain junk elements such as  $\{\perp\}$  which we need to identify with undefinedness itself. Abandoning fully abstractness of rules would later on produce all sorts of problems when quantifying over the elements of a type. However, if we build an own type, then it must conform to our abstract interface in order to have nested types: arguments of type-constructors must conform to our abstract interface, and the result type too.

The core of an own type construction is done via a type definition which provides the raw-type  $'_{\alpha}\ Set-0$ . it is shown that this type "fits" indeed into the abstract type interface discussed in the previous section.

**typedef**  $'_{\alpha}\ Set-0 = \{X :: ('_{\alpha} :: null)\ set\ option\ option.$   
 $X = UU \vee X = NULL \vee (\forall x \in [X]. x \neq UU)\}$

$\langle proof \rangle$

**instantiation**  $Set-0 :: (null)bottom$   
**begin**

**definition** *bot-Set-0-def*:  $(UU::('a::null) \text{ Set-0}) \equiv \text{Abs-Set-0 None}$

**instance**  $\langle \text{proof} \rangle$   
**end**

**instantiation** *Set-0* ::  $(null) \text{ null}$   
**begin**

**definition** *NULL-Set-0-def*:  $(NULL::('a::null) \text{ Set-0}) \equiv \text{Abs-Set-0 } [ \text{None} ]$

**instance**  $\langle \text{proof} \rangle$   
**end**

... and lifting this type to the format of a valuation gives us:

**type-synonym**  $(\mathfrak{A}, 'a) \text{ Set} = (\mathfrak{A}, 'a \text{ Set-0}) \text{ val}'$

... which means that we can have a type  $(\mathfrak{A}, (\mathfrak{A}, (\mathfrak{A}) \text{ Integer}) \text{ Set}) \text{ Set}$  corresponding exactly to  $\text{Set}(\text{Set}(\text{Integer}))$  in OCL notation. Note that the parameter  $\mathfrak{A}$  still refers to the object universe; making the OCL semantics entirely parametric in the object universe makes it possible to study (and prove) its properties independently from a concrete class diagram.

**definition** *mtSet*:: $(\mathfrak{A}, 'a::null) \text{ Set} \rightarrow (\text{Set}\{\})$   
**where**  $\text{Set}\{\} \equiv (\lambda \tau. \text{Abs-Set-0 } [\{ \{ \} :: 'a \text{ set} \} ] )$

Note that the collection types in OCL allow for NULL to be included; however, there is the NULL-collection into which inclusion yields invalid.

**definition** *OclIncluding* ::  $(\mathfrak{A}, 'a::null) \text{ Set}, (\mathfrak{A}, 'a) \text{ val} \Rightarrow (\mathfrak{A}, 'a) \text{ Set}$   
**where**  $\text{OclIncluding } x \ y = (\lambda \tau. \text{ if } (\delta' x) \ \tau = \text{true} \ \tau \wedge (v' y) \ \tau = \text{true} \ \tau$   
 $\text{ then Abs-Set-0 } [\{ \{ \text{Rep-Set-0 } (x \ \tau) \} \cup \{y \ \tau\} \} ]$   
 $\text{ else } UU )$

**definition** *OclIncludes* ::  $(\mathfrak{A}, 'a::null) \text{ Set}, (\mathfrak{A}, 'a) \text{ val} \Rightarrow \mathfrak{A} \text{ Boolean}$   
**where**  $\text{OclIncludes } x \ y = (\lambda \tau. \text{ if } (\delta' x) \ \tau = \text{true} \ \tau \wedge (v' y) \ \tau = \text{true} \ \tau$   
 $\text{ then } UU$   
 $\text{ else } [\{ (y \ \tau) \in [\text{Rep-Set-0 } (x \ \tau)] \} ] )$

**consts**

*OclSize* ::  $(\mathfrak{A}, 'a::null) \text{ Set} \Rightarrow \mathfrak{A} \text{ Integer}$   
*OclCount* ::  $(\mathfrak{A}, 'a::null) \text{ Set}, (\mathfrak{A}, 'a) \text{ Set} \Rightarrow \mathfrak{A} \text{ Integer}$   
*OclExcludes* ::  $(\mathfrak{A}, 'a::null) \text{ Set}, (\mathfrak{A}, 'a) \text{ val} \Rightarrow \mathfrak{A} \text{ Boolean}$   
*OclExcluding* ::  $(\mathfrak{A}, 'a::null) \text{ Set}, (\mathfrak{A}, 'a) \text{ val} \Rightarrow (\mathfrak{A}, 'a) \text{ Set}$   
*OclSum* ::  $(\mathfrak{A}, 'a::null) \text{ Set} \Rightarrow \mathfrak{A} \text{ Integer}$   
*OclIncludesAll* ::  $(\mathfrak{A}, 'a::null) \text{ Set}, (\mathfrak{A}, 'a) \text{ Set} \Rightarrow \mathfrak{A} \text{ Boolean}$   
*OclExcludesAll* ::  $(\mathfrak{A}, 'a::null) \text{ Set}, (\mathfrak{A}, 'a) \text{ Set} \Rightarrow \mathfrak{A} \text{ Boolean}$

$OclIsEmpty :: ('A, 'a :: null) Set \Rightarrow 'A Boolean$   
 $OclNotEmpty :: ('A, 'a :: null) Set \Rightarrow 'A Boolean$   
 $OclComplement :: ('A, 'a :: null) Set \Rightarrow ('A, 'a) Set$   
 $OclUnion :: [('A, 'a :: null) Set, ('A, 'a) Set] \Rightarrow ('A, 'a) Set$   
 $OclIntersection :: [('A, 'a :: null) Set, ('A, 'a) Set] \Rightarrow ('A, 'a) Set$

#### notation

$OclSize \quad (- \rightarrow size'(') [66])$   
**and**  
 $OclCount \quad (- \rightarrow count'(-') [66,65]65)$   
**and**  
 $OclIncludes \quad (- \rightarrow includes'(-') [66,65]65)$   
**and**  
 $OclExcludes \quad (- \rightarrow excludes'(-') [66,65]65)$   
**and**  
 $OclSum \quad (- \rightarrow sum'(') [66])$   
**and**  
 $OclIncludesAll \quad (- \rightarrow includesAll'(-') [66,65]65)$   
**and**  
 $OclExcludesAll \quad (- \rightarrow excludesAll'(-') [66,65]65)$   
**and**  
 $OclIsEmpty \quad (- \rightarrow isEmpty'(') [66])$   
**and**  
 $OclNotEmpty \quad (- \rightarrow notEmpty'(') [66])$   
**and**  
 $OclIncluding \quad (- \rightarrow including'(- '))$   
**and**  
 $OclExcluding \quad (- \rightarrow excluding'(- '))$   
**and**  
 $OclComplement \quad (- \rightarrow complement'('))$   
**and**  
 $OclUnion \quad (- \rightarrow union'(- ')) \quad [66,65]65)$   
**and**  
 $OclIntersection \quad (- \rightarrow intersection'(- ')) \quad [71,70]70)$

**lemma** *including-strict1*[simp]: $(\perp \rightarrow including(x)) = \perp$   
 $\langle proof \rangle$

**lemma** *including-strict2*[simp]: $(X \rightarrow including(\perp)) = \perp$   
 $\langle proof \rangle$

**lemma** *including-strict3*[simp]: $(NULL \rightarrow including(x)) = \perp$   
 $\langle proof \rangle$

#### syntax

$-OclFinset :: args \Rightarrow ('A, 'a :: null) Set \quad (Set\{-\})$

**translations**

$Set\{x, xs\} == CONST\ OclIncluding\ (Set\{xs\})\ x$

$Set\{x\} == CONST\ OclIncluding\ (Set\{\})\ x$

**lemma** *syntax-test*:  $Set\{\mathbf{2}, \mathbf{1}\} = (Set\{\} \rightarrow including(\mathbf{1}) \rightarrow including(\mathbf{2}))$   
*<proof>*

**end**

**theory** *OCL-tools*

**imports** *OCL-core*

**begin**

**end**

**theory** *OCL-main*

**imports** *OCL-lib OCL-tools*

**begin**

**end**