Part I.

Annex A

1. Introduction

This annex formally defines the semantics of OCL. It will proceed by describing the OCL semantics by a translation into a core language — called FeatherweightOCL—which has in itself a formally described semantics presented in Isabelle/HOL [25] ¹. The semantic definitions are in large parts executable, in some parts only provable, namely the essence of Set-constructions. The first goal of its construction is *consistency*, i. e. it should be possible to apply logical rules and/or evaluation rules for OCL in an arbitrary manner always yielding the same result. Moreover, except in pathological cases, this result should be unambiquously defined, i. e. represent a value.

In order to motivate the need for logical consistency and also the magnitude of the problem, we focus on one particular feature of the language as example: Tuples. Recall that tuples (in other languages known as records) are n-ary cartesian products with named components, where the component names are used also as projection functions: the special case Pair{x:First, y:Second} stands for the usual binary pairing operator Pair{true, null} and the two projection functions x.First() and x.Second(). For a developer of a compiler or proof-tool (based on, say, a connection to an SMT solver designed to animate OCL contracts) it would be natural to add the rules Pair{X,Y}.First() = X and Pair{X,Y}.Second() = Y to give pairings the usual semantics. At some place, the OCL Standard requires the existence of a constant symbol invalid and requires all operators to be strict. To implement this, the developer might be tempted to add a generator for corresponding strictness axioms, producing among hundreds of other rules Pair{invalid,Y}=invalid,Pair{X,invalid}=invalid, invalid.First()=invalid, invalid.Second()=invalid, etc. Unfortunately, this "natural" axiomatization of pairing and projection together with strictness is already inconsistent. One can derive:

```
Pair{true,invalid}.First() = invalid.First() = invalid
```

and:

```
Pair{true,invalid}.First() = true
```

which then results in the absurd logical consequence that invalid = true. Obviously, we need to be more careful on the side-conditions of our rules². And obviously, only a mechanized check of these definitions, following a rigourous methodology, can establish strong guarantees for logical consistency of the OCL language.

¹An updated, machine-checked version and formally complete version of this document is maintained by the Isabelle Archive of Formal Proofs (AFP), see http://afp.sourceforge.net/entries/Featherweight_ OCLshtml

²The solution to this little riddle can be found in Section 5.7.

This leads us to our second goal of this annex: it should not only be usable by logicians, but also by developers of compilers and proof-tools. For this end, we *derived* from the Isabelle definitions also *logical rules* allowing formal interactive and automated proofs on UML/OCL specifications, as well as *execution rules* and *test-cases* revealing corner-cases resulting from this semantics which give vital information for the implementor.

OCL is an annotation language for UML models, in particular class models allowing for specifying data and operations on them. As such, it is a typed object-oriented language. This means that it is — like Java or C++ — based on the concept of a static type, that is the type that the type-checker infers from a UML class model and its OCL annotation, as well as a dynamic type, that is the type at which an object is dynamically created ³. Types are not only a means for efficient compilation and a support of separation of concerns in programming, there are of fundamental importance for our goal of logical consistency: it is impossible to have sets that contain themselves, i.e. to state Russels Paradox in OCL typed set-theory. Moreover, object-oriented typing means that types there can be in sub-typing relation; technically speaking, this means that they can be casted via oclistypeOf(T) one to the other, and under particular conditions to be described in detail later, these casts are semantically lossless. Furthermore, object-orientedness means that operations and object-types can be grouped to classes on which an inheritance relation can be established; the latter induces a sub-type relation between the corresponding types.

Here is a feature-list of FeatherweightOCL:

- it specifies key built-in types such as Boolean, Void, Integer, Real and String as well as generic types such as Pair(T,T'), Sequence(T) and Set(T).
- it defines the semantics of the operations of these types in *denotational form* see explanation below —, and thus in an unambiguous (and in Isabelle/HOL executable or animatable) way.
- it develops the *theory* of these definitions, i.e. the collection of lemmas and theorems that can be proven from these definitions.
- all types in FeatherweightOCL contain the elements null and invalid; since this extends to Boolean type, this results in a four-valued logic. Consequently, FeatherweightOCL contains the derivation of the *logic* of OCL.
- collection types may contain null (so Set{null} is a defined set) but not invalid (Set{invalid} is just invalid).
- Wrt. to the static types, FeatherweightOCL a strongly typed language in the Hindley-Milner tradition. We assume that a pre-process for full OCL eliminates all implicit conversions due to subtyping by introducing explicit casts (e.g., oclAsType()). ⁴

³As side-effect free language, OCL has no object-constructors, but with OclisNew(), the effect of object creation can be expressed in a declarative way.

⁴The details of such a pre-processing are described in [4].

- FeatherweightOCL types may be arbitrarily nested. For example, the expression Set{Set{1,2}} = Set{Set{2,1}} is legal and true.
- All objects types are represented in an object universe⁵. The universe construction also gives semantics to type casts, dynamic type tests, as well as functions such as oclallinstances(), or oclisNew(). The object universe onstruction is conceptually described and demonstrated at an example.
- As part of the OCL logic, FeatherweightOCL develops the theory of equality in UML/OCL. This includes the standard equality, which is a computable strict equality using the object references for comparison, and the not necessarily computable logical equality, which expresses the Leibniz principle that 'equals may be replaced by equals' in OCL terms.
- Technically, FeatherweightOCL is a semantic embedding into a powerful semantic meta-language and environment, namely Isabelle/HOL [25]. It is a so-called shallow embedding in HOL; this means that types in OCL were injectively represented by types in Isabelle/HOL. Ill-typed OCL specifications cannot therefore not be represented in FeatherweightOCL and a type in FeatherweightOCL contains exactly the values that are possible in OCL.

Context.

This document stands in a more than fifteen years tradition of giving a formal semantics to the core of UML and its annotation language OCL, starting from Richters [31] and [17, 20, 24], leading to a number of formal, machine-checked versions, most notably HOL-OCL [5, 6, 9] and more recent approaches [14]. All of them have in common the attempt to reconcile the conflicting demands of an industrially used specification language and its various stakeholders, the needs of OMG standardization process and the desire for sufficient logical precision for tool-implementors, in particular from the Formal Methods research community.

To discuss the future directions of the standard, several OCL experts met in November 2013 in Aachen to discuss possible mid-term improvements of OCL, strategies of standardization of OCL within the OMG, and a vision for possible long-term developments of the language [13]. During this meeting, a Request for Proposals (RFP) for OCL 2.5 was finalized and meanwhile proposed. In particular, this RFP requires that the future OCL 2.5 standard document shall be generated from a machine-checked source. This will ensure

- the absence of syntax errors,
- the consistency of the formal semantics,
- a suite of corner-cases relevant for OCL tool implementors.

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⁵ following the tradition of HOL-OCL [6]

Organization of this document.

This document is organized as follows. After a brief background section introducing a running example and basic knowledge on Isabelle/HOL and its formal notations, we present the formal semantics of FeatherweightOCL introducing:

- 1. A conceptual description of the formal semantics, highlighting the essentials and avoiding the definitions in detail.
- 2. A detailed formal description. This covers:
 - a) OCL Types and their presentation in Isabelle/HOL,
 - b) OCL Terms, i. e. the semantics of library operators, together with definitions, lemmas, and test cases for the implementor,
 - c) UML/OCL Constructs, i.e. a core of UML class models plus user-defined constructions on them such as class-invariants and operation constructs.
- 3. Since the latter, i.e. the construction of UML class models, has to be done on the meta-level (so not *inside* HOL, rather on the level of a pre-compiler), we will describe this process with two larger examples, namely formalizations of our running example.

2. Background

2.1. A Running Example for UML/OCL

The Unified Modeling Language (UML) [27, 28] comprises a variety of model types for describing static (e.g., class models, object models) and dynamic (e.g., state-machines, activity graphs) system properties. One of the more prominent model types of the UML is the class model (visualized as class diagram) for modeling the underlying data model of a system in an object-oriented manner. As a running example, we model a part of a conference management system. Such a system usually supports the conference organizing process, e.g., creating a conference Website, reviewing submissions, registering attendees, organizing the different sessions and tracks, and indexing and producing the resulting proceedings. In this example, we constrain ourselves to the process of organizing conference sessions; Figure 2.1 shows the class model. We model the hierarchy of roles of our system as a hierarchy of classes (e.g., Hearer, Speaker, or Chair) using an inheritance relation (also called generalization). In particular, inheritance establishes a subtyping relationship, i.e., every Speaker (subclass) is also a Hearer (superclass).

A class does not only describe a set of *instances* (called *objects*), i. e., record-like data consisting of *attributes* such as name of class Session, but also *operations* defined over them. For example, for the class Session, representing a conference session, we model an operation findRole(p:Person):Role that should return the role of a Person in the context of a specific session; later, we will describe the behavior of this operation in more detail using UML. In the following, the term object describes a (run-time) instance of a class or one of its subclasses.

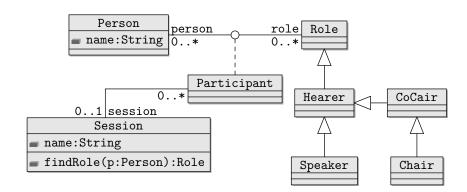


Figure 2.1.: A simple UML class model representing a conference system for organizing conference sessions: persons can participate, in different roles, in a session.

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Relations between classes (called associations in UML) can be represented in a class diagram by connecting lines, e.g., Participant and Session or Person and Role. Associations may be labeled by a particular constraint called *multiplicity*, e.g., 0..* or 0..1, which means that in a relation between participants and sessions, each Participant object is associated to at most one Session object, while each Session object may be associated to arbitrarily many Participant objects. Furthermore, associations may be labeled by projection functions like person and role; these implicit function definitions allow for OCL-expressions like self.person, where self is a variable of the class Role. The expression self.person denotes persons being related to the specific object self of type role. A particular feature of the UML are association classes (Participant in our example) which represent a concrete tuple of the relation within a system state as an object; i.e., associations classes allow also for defining attributes and operations for such tuples. In a class diagram, association classes are represented by a dotted line connecting the class with the association. Associations classes can take part in other associations. Moreover, UML supports also n-ary associations (not shown in our example).

We refine this data model using the Object Constraint Language (OCL) for specifying additional invariants, preconditions and postconditions of operations. For example, we specify that objects of the class Person are uniquely determined by the value of the name attribute and that the attribute name is not equal to the empty string (denoted by ''):

```
context Person
inv: name <> '' and
Person::allInstances()->isUnique(p:Person | p.name)
```

Moreover, we specify that every session has exactly one chair by the following invariant (called onlyOneChair) of the class Session:

where p.role.oclIsTypeOf(Chair) evaluates to true, if p.role is of dynamic type Chair. Besides the usual static types (i.e., the types inferred by a static type inference), objects in UML and other object-oriented languages have a second dynamic type concept. This is a consequence of a family of casting functions (written $o_{[C]}$ for an object o into another class type C) that allows for converting the static type of objects along the class hierarchy. The dynamic type of an object can be understood as its "initial static type" and is unchanged by casts. We complete our example by describing the behavior of the operation findRole as follows:

where in post-conditions, the operator **@pre** allows for accessing the previous state.

In UML, classes can contain attributes of the type of the defining class. Thus, UML can represent (mutually) recursive datatypes. Moreover, OCL introduces also recursively specified operations.

A key idea of defining the semantics of UML and extensions like SecureUML [10] is to translate the diagrammatic UML features into a combination of more elementary features of UML and OCL expressions [19]. For example, associations are usually represented by collection-valued class attributes together with OCL constraints expressing the multiplicity. Thus, having a semantics for a subset of UML and OCL is tantamount for the foundation of the entire method.

2.2. Formal Foundation

2.2.1. Isabelle

Isabelle [25] is a *generic* theorem prover. New object logics can be introduced by specifying their syntax and natural deduction inference rules. Among other logics, Isabelle supports first-order logic, Zermelo-Fraenkel set theory and the instance for Church's higher-order logic (HOL).

Isabelle's inference rules are based on the built-in meta-level implication \implies allowing to form constructs like $A_1 \Longrightarrow \cdots \Longrightarrow A_n \Longrightarrow A_{n+1}$, which are viewed as a *rule* of the form "from assumptions A_1 to A_n , infer conclusion A_{n+1} " and which is written in Isabelle as

$$[\![A_1;\ldots;A_n]\!] \Longrightarrow A_{n+1}$$
 or, in mathematical notation, $\frac{A_1 \cdots A_n}{A_{n+1}}$. (2.1)

The built-in meta-level quantification $\bigwedge x$. x captures the usual side-constraints "x must not occur free in the assumptions" for quantifier rules; meta-quantified variables can be considered as "fresh" free variables. Meta-level quantification leads to a generalization of Horn-clauses of the form:

$$\bigwedge x_1, \dots, x_m. [A_1; \dots; A_n] \Longrightarrow A_{n+1}.$$
 (2.2)

Isabelle supports forward- and backward reasoning on rules. For backward-reasoning, a *proof-state* can be initialized and further transformed into others. For example, a proof of ϕ , using the Isar [34] language, will look as follows in Isabelle:

lemma label:
$$\phi$$
 apply(case_tac) apply(simp_all) (2.3)

This proof script instructs Isabelle to prove ϕ by case distinction followed by a simplification of the resulting proof state. Such a proof state is an implicitly conjoint sequence

of generalized Horn-clauses (called *subgoals*) ϕ_1, \ldots, ϕ_n and a *goal* ϕ . Proof states were usually denoted by:

label:
$$\phi$$
1. ϕ_1
 \vdots
 $n. \phi_n$

$$(2.4)$$

Subgoals and goals may be extracted from the proof state into theorems of the form $[\![\phi_1;\ldots;\phi_n]\!] \Longrightarrow \phi$ at any time; this mechanism helps to generate test theorems. Further, Isabelle supports meta-variables (written $2x,2y,\ldots$), which can be seen as "holes in a term" that can still be substituted. Meta-variables are instantiated by Isabelle's built-in higher-order unification.

2.2.2. Higher-order Logic (HOL)

Higher-order logic (HOL) [1, 15] is a classical logic based on a simple type system. It provides the usual logical connectives like $_ \land _, _ \rightarrow _, \lnot _$ as well as the object-logical quantifiers $\forall x.\ P\ x$ and $\exists x.\ P\ x$; in contrast to first-order logic, quantifiers may range over arbitrary types, including total functions $f::\alpha \Rightarrow \beta$. HOL is centered around extensional equality $_=_::\alpha \Rightarrow \alpha \Rightarrow \text{bool}$. HOL is more expressive than first-order logic, since, e.g., induction schemes can be expressed inside the logic. Being based on some polymorphically typed λ -calculus, HOL can be viewed as a combination of a programming language like SML or Haskell and a specification language providing powerful logical quantifiers ranging over elementary and function types.

Isabelle/HOL is a logical embedding of HOL into Isabelle. The (original) simple-type system underlying HOL has been extended by Hindley-Milner style polymorphism with type-classes similar to Haskell. While Isabelle/HOL is usually seen as proof assistant, we use it as symbolic computation environment. Implementations on top of Isabelle/HOL can re-use existing powerful deduction mechanisms such as higher-order resolution, tableaux-based reasoners, rewriting procedures, Presburger arithmetic, and via various integration mechanisms, also external provers such as Vampire [30] and the SMT-solver Z3 [18].

Isabelle/HOL offers support for a particular methodology to extend given theories in a logically safe way: A theory-extension is *conservative* if the extended theory is consistent provided that the original theory was consistent. Conservative extensions can be *constant definitions*, type definitions, datatype definitions, primitive recursive definitions and wellfounded recursive definitions.

For instance, the library includes the type constructor $\tau_{\perp} := \perp \mid_{\ \ } : \alpha$ that assigns to each type τ a type τ_{\perp} disjointly extended by the exceptional element \bot . The function $\exists \alpha \to \alpha$ is the inverse of $\exists \alpha \to \alpha$ is the inverse of $\exists \alpha \to \alpha$. Partial functions $\alpha \to \beta$ are defined as functions $\alpha \to \beta_{\perp}$ supporting the usual concepts of domain (dom $\exists \alpha \to \beta_{\perp}$).

As another example of a conservative extension, typed sets were built in the Isabelle libraries conservatively on top of the kernel of HOL as functions to bool; consequently,

the constant definitions for membership is as follows:¹

types
$$\alpha$$
 set $= \alpha \Rightarrow \text{bool}$
definition Collect $::(\alpha \Rightarrow \text{bool}) \Rightarrow \alpha$ set — set comprehension
where Collect $S \equiv S$ (2.5)
definition member $::\alpha \Rightarrow \alpha \Rightarrow \text{bool}$ — membership test
where member $s S \equiv Ss$

Isabelle's syntax engine is instructed to accept the notation $\{x \mid P\}$ for Collect λx . P and the notation $s \in S$ for member s S. As can be inferred from the example, constant definitions are axioms that introduce a fresh constant symbol by some closed, non-recursive expressions; this type of axiom is logically safe since it works like an abbreviation. The syntactic side conditions of this axiom are mechanically checked, of course. It is straightforward to express the usual operations on sets like $0 \cup 0 \cap 0 = 0$: $0 \in S$ as $0 \in S$ as $0 \in S$ as $0 \in S$ as $0 \in S$ and $0 \in S$ are $0 \in S$ as $0 \in S$ and $0 \in S$ and $0 \in S$ are $0 \in S$ and $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$ are $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ and $0 \in S$ are $0 \in S$ are $0 \in S$

Similarly, a logical compiler is invoked for the following statements introducing the types option and list:

datatype option = None | Some
$$\alpha$$

datatype α list = Nil | Cons a l (2.6)

Here, [] or a#l are an alternative syntax for Nil or Cons a l; moreover, [a,b,c] is defined as alternative syntax for a#b#c#[]. These (recursive) statements were internally represented in by internal type and constant definitions. Besides the *constructors* None, Some, [] and Cons, there is the match operation

case
$$x$$
 of None $\Rightarrow F \mid \text{Some } a \Rightarrow G a$ (2.7)

respectively

case
$$x$$
 of $\Rightarrow F \mid \text{Cons } a r \Rightarrow G a r$. (2.8)

From the internal definitions (not shown here) several properties were automatically derived. We show only the case for lists:

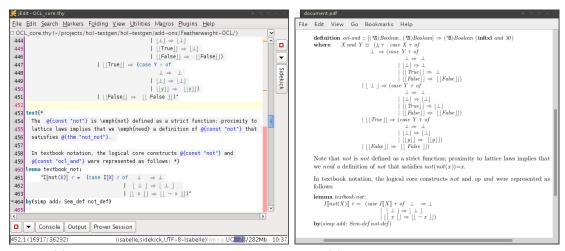
(case [] of []
$$\Rightarrow F \mid (a\#r) \Rightarrow G \ a \ r) = F$$

(case $b\#t$ of [] $\Rightarrow F \mid (a\#r) \Rightarrow G \ a \ r) = G \ b \ t$
[] $\neq a\#t$ - distinctness - distinctness - exhaust
[$a = [] \rightarrow P; \exists \ x \ t. \ a = x\#t \rightarrow P] \Longrightarrow P$ - exhaust - induct

Finally, there is a compiler for primitive and wellfounded recursive function definitions. For example, we may define the sort operation of our running test example by:

fun ins ::[
$$\alpha$$
 :: linorder, α list] $\Rightarrow \alpha$ list where ins x [] = [x] (2.10) ins x ($y \# ys$) = if $x < y$ then $x \# y \# ys$ else $y \#$ (ins x ys)

¹To increase readability, we use a slightly simplified presentation.



(a) The Isabelle jEdit environment.

(b) The generated formal document.

Figure 2.2.: Generating documents with guaranteed syntactical and semantical consistency.

fun sort ::(
$$\alpha$$
 :: linorder) list $\Rightarrow \alpha$ list
where sort [] = [] (2.11)
sort($x \# xs$) = ins x (sort xs)

The internal (non-recursive) constant definition for these operations is quite involved; however, the logical compiler will finally derive all the equations in the statements above from this definition and make them available for automated simplification.

Thus, Isabelle/HOL also provides a large collection of theories like sets, lists, multisets, orderings, and various arithmetic theories which only contain rules derived from conservative definitions. In particular, Isabelle manages a set of executable types and operators, i. e., types and operators for which a compilation to SML, OCaml or Haskell is possible. Setups for arithmetic types such as int have been done; moreover any datatype and any recursive function were included in this executable set (providing that they only consist of executable operators). Similarly, Isabelle manages a large set of (higher-order) rewrite rules into which recursive function definitions were included. Provided that this rule set represents a terminating and confluent rewrite system, the Isabelle simplifier provides also a highly potent decision procedure for many fragments of theories underlying the constraints to be processed when constructing test theorems.

2.3. How this Annex A was Generated from Isabelle/HOL Theories

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Isabelle, as a framework for building formal tools [33], provides the means for generating formal documents. With formal documents (such as the one you are currently reading)

we refer to documents that are machine-generated and ensure certain formal guarantees. In particular, all formal content (e.g., definitions, formulae, types) are checked for consistency during the document generation.

For writing documents, Isabelle supports the embedding of informal texts using a LaTeX-based markup language within the theory files. To ensure the consistency, Isabelle supports to use, within these informal texts, antiquotations that refer to the formal parts and that are checked while generating the actual document as PDF. For example, in an informal text, the antiquotation $@\{thm "not_not"\}$ will instruct Isabelle to lock-up the (formally proven) theorem of name ocl_not_not and to replace the antiquotation with the actual theorem, i.e., not (not x) = x.

Figure 2.2 illustrates this approach: Figure 2.2a shows the jEdit-based development environment of Isabelle with an excerpt of one of the core theories of FeatherweightOCL. Figure 2.2b shows the generated PDF document where all antiquotations are replaced. Moreover, the document generation tools allows for defining syntactic sugar as well as skipping technical details of the formalization.

Thus, applying the FeatherweightOCL approach to writing an updated Annex A that provides a formal semantics of the most fundamental concepts of OCL would ensure

- 1. that all formal context is syntactically correct and well-typed, and
- 2. all formal definitions and the derived logical rules are semantically consistent.

Overall, this would contribute to one of the main goals of the OCL 2.5 RFP, as discussed at the OCL meeting in Aachen [13].

3. The Essence of UML-OCL Semantics

3.1. The Theory Organization

The semantic theory is organized in a quite conventional manner in three layers. The first layer, called the *denotational semantics* comprises a set of definitions of the operators of the language. Presented as *definitional axioms* inside Isabelle/HOL, this part assures the logically consistency of the overall construction. The second layer, called *logical layer*, is derived from the former and centered around the notion of validity of an OCL formula P for a state-transition from pre-state σ to post-state σ' , validity statements were written $(\sigma, \sigma') \models P$. The third layer, called *algebraic layer*, also derived from the former layers, tries to establish algebraic laws of the form P = P'; such laws are amenable to equational reasoning and also help for automated reasoning and code-generation.

For space reasons, we will restrict ourselves in this paper to a few operators and make a traversal through all three layers to give a high-level description of our formalization. Especially, the details of the semantic construction for sets and the handling of objects and object universes were excluded from a presentation here.

3.1.1. Denotational Semantics

OCL is composed of

- 1. operators on built-in data structures such as Boolean, Integer, or Set(A),
- 2. operators of the user-defined data-model such as accessors, type-casts and tests, and
- 3. user-defined, side-effect-free methods.

Conceptually, an OCL expression in general and Boolean expressions in particular (i. e., formulae) depends on the pair (σ, σ') of pre-and post-state. The precise form of states is irrelevant for this paper (compare [11]) and will be left abstract in this presentation. We construct in Isabelle a type-class null that contains two distinguishable elements bot and null. Any type of the form $(\alpha_{\perp})_{\perp}$ is an instance of this type-class with bot $\equiv \bot$ and null $\equiv \lfloor \bot \rfloor$. Now, any OCL type can be represented by an HOL type of the form:

$$V(\alpha) := \text{state} \times \text{state} \to \alpha :: \text{null}$$
.

On this basis, we define $V((bool_{\perp})_{\perp})$ as the HOL type for the OCL type Boolean and define:

$$\begin{split} I[\![\text{invalid} :: V(\alpha)]\!]\tau &\equiv \text{bot} \qquad \qquad I[\![\text{null} :: V(\alpha)]\!]\tau \equiv \text{null} \\ I[\![\text{true} :: \text{Boolean}]\!]\tau &= \lfloor \lfloor \text{true} \rfloor \rfloor \qquad \qquad \qquad I[\![\text{false}]\!]\tau = \lfloor \lfloor \text{false} \rfloor \rfloor \end{split}$$

FiXme: Generate this chapter from Isabelle theories? Just for principle?

$$I[X.oclIsUndefined()]]\tau = (\text{if }I[X]]\tau \in \{\text{bot}, \text{null}\} \text{ then }I[[\texttt{true}]]\tau \text{ else }I[[\texttt{false}]]\tau)$$

$$I[X.oclIsInvalid()]]\tau = (\text{if }I[X]]\tau = \text{bot then }I[[\texttt{true}]]\tau \text{ else }I[[\texttt{false}]]\tau)$$

where $I[\![E]\!]$ is the semantic interpretation function commonly used in mathematical textbooks and τ stands for pairs of pre- and post state (σ, σ') . For reasons of conciseness, we will write δ X for not X.ocllsUndefined() and v X for not X.ocllsInvalid() throughout this paper.

Due to the used style of semantic representation (a shallow embedding) I is in fact superfluous and defined semantically as the identity; instead of:

$$I[[true :: Boolean]]\tau = ||true||$$

we can therefore write:

true :: Boolean =
$$\lambda \tau$$
. | | true | |

In Isabelle theories, this particular presentation of definitions paves the way for an automatic check that the underlying equation has the form of an axiomatic definition and is therefore logically safe. Since all operators of the assertion language depend on the context $\tau = (\sigma, \sigma')$ and result in values that can be \bot , all expressions can be viewed as evaluations from (σ, σ') to a type α which must posses a \bot and a null-element. Given that such constraints can be expressed in Isabelle/HOL via type classes (written: $\alpha :: \kappa$), all types for OCL-expressions are of a form captured by

$$V(\alpha) := \text{state} \times \text{state} \rightarrow \alpha :: \{bot, null\},\$$

where state stands for the system state and state \times state describes the pair of pre-state and post-state and $_ := _$ denotes the type abbreviation.

The current OCL semantics [26, Annex A] uses different interpretation functions for invariants and pre-conditions; we achieve their semantic effect by a syntactic transformation $_{-\text{pre}}$ which replaces, for example, all accessor functions $_{-}$ a by their counterparts $_{-}$ a $_{\text{pre}}$. For example, $(self.\,a>5)_{\text{pre}}$ is just $(self.\,a\,\text{Qpre}>5)$. This way, also invariants and pre-conditions can be interpreted by the same interpretation function and have the same type of an evaluation $V(\alpha)$.

On this basis, one can define the core logical operators not and and as follows:

$$\begin{split} I[\![\mathsf{not}\ X]\!]\tau &= (\operatorname{case}\ I[\![X]\!]\tau\operatorname{of} \\ & \perp \qquad \Rightarrow \perp \\ & |\lfloor \bot\rfloor \qquad \Rightarrow \lfloor \bot\rfloor \\ & |\lfloor \lfloor x\rfloor\rfloor \qquad \Rightarrow \lfloor \lfloor \neg x\rfloor\rfloor) \end{split}$$

$$I[\![X \text{ and } Y]\!]\tau = (\operatorname{case} I[\![X]\!]\tau \operatorname{of}$$

$$\bot \qquad \Rightarrow \bot$$

$$|[\![\bot\!]\rfloor \qquad \Rightarrow \bot$$

$$|[\![\operatorname{true}]\!] \qquad \Rightarrow [\![\operatorname{false}]\!])$$

$$|[\![\bot\!]] \qquad \Rightarrow (\operatorname{case} I[\![Y]\!]\tau \operatorname{of}$$

$$\bot \qquad \Rightarrow \bot$$

$$|[\![\bot\!]] \qquad \Rightarrow [\![\bot\!]]$$

$$|[\![\operatorname{true}]\!] \qquad \Rightarrow [\![\bot\!]]$$

$$|[\![\operatorname{false}]\!] \qquad \Rightarrow [\![\operatorname{false}]\!])$$

$$|[\![\operatorname{true}]\!] \qquad \Rightarrow (\operatorname{case} I[\![Y]\!]\tau \operatorname{of}$$

$$\bot \qquad \Rightarrow \bot$$

$$|[\![\bot\!]] \qquad \Rightarrow [\![\bot\!]]$$

$$|[\![\bot\!]] \qquad \Rightarrow [\![\bot\!]]$$

$$|[\![y\!]] \qquad \Rightarrow [\![y\!]])$$

$$|[\![\operatorname{false}]\!] \qquad \Rightarrow [\![\operatorname{false}]\!])$$

These non-strict operations were used to define the other logical connectives in the usual classical way: X or $Y \equiv (\text{not } X)$ and (not Y) or X implies $Y \equiv (\text{not } X)$ or Y.

The default semantics for an OCL library operator is strict semantics; this means that the result of an operation f is invalid if one of its arguments is invalid. For a semantics comprising null, we suggest to stay conform to the standard and define the addition for integers as follows:

$$I[\![x+y]\!]\tau = \quad \text{if } I[\![\delta\ x]\!]\tau = \lfloor \text{true} \rfloor \rfloor \wedge I[\![\delta\ y]\!]\tau = \lfloor \text{true} \rfloor \rfloor \\ \quad \quad \text{then} \lfloor \lfloor \lceil I[\![x]\!]\tau \rceil \rceil + \lceil \lceil I[\![y]\!]\tau \rceil \rceil \rfloor \rfloor \rfloor \\ \quad \quad \text{else} \ \rfloor$$

where the operator "+" on the left-hand side of the equation denotes the OCL addition of type $[V((\text{int}_{\perp})_{\perp}), V((\text{int}_{\perp})_{\perp})] \Rightarrow V((\text{int}_{\perp})_{\perp})$ while the "+" on the right-hand side of the equation of type $[\text{int}, \text{int}] \Rightarrow \text{int}$ denotes the integer-addition from the HOL library.

3.1.2. Logical Layer

The topmost goal of the logic for OCL is to define the *validity statement*:

$$(\sigma, \sigma') \vDash P$$
,

where σ is the pre-state and σ' the post-state of the underlying system and P is a formula. Informally, a formula P is valid if and only if its evaluation in (σ, σ') (i. e., τ for short) yields true. Formally this means:

$$\tau \vDash P \equiv (I \llbracket P \rrbracket \tau = || \text{true} ||).$$

On this basis, classical, two-valued inference rules can be established for reasoning over the logical connective, the different notions of equality, definedness and validity.

Generally speaking, rules over logical validity can relate bits and pieces in various OCL terms and allow—via strong logical equality discussed below—the replacement of semantically equivalent sub-expressions. The core inference rules are:

$$\tau \models \mathsf{true} \quad \neg(\tau \models \mathsf{false}) \quad \neg(\tau \models \mathsf{invalid}) \quad \neg(\tau \models \mathsf{null})$$

$$\tau \models \mathsf{not} \ P \Longrightarrow \neg(\tau \models P)$$

$$\tau \models P \ \mathsf{and} \ Q \Longrightarrow \tau \models P \quad \tau \models P \ \mathsf{and} \ Q \Longrightarrow \tau \models Q$$

$$\tau \models P \Longrightarrow (\mathsf{if} \ P \ \mathsf{then} \ B_1 \ \mathsf{else} \ B_2 \ \mathsf{endif})\tau = B_1 \ \tau$$

$$\tau \models \mathsf{not} \ P \Longrightarrow (\mathsf{if} \ P \ \mathsf{then} \ B_1 \ \mathsf{else} \ B_2 \ \mathsf{endif})\tau = B_2 \ \tau$$

$$\tau \models P \Longrightarrow \tau \models \delta \ P \quad \tau \models \delta \ X \Longrightarrow \tau \models v \ X$$

By the latter two properties it can be inferred that any valid property P (so for example: a valid invariant) is defined, which allows to infer for terms composed by strict operations that their arguments and finally the variables occurring in it are valid or defined.

We propose to distinguish the *strong logical equality* (written $_$ \triangleq $_$), which follows the general principle that "equals can be replaced by equals," from the *strict referential equality* (written $_$ \doteq $_$), which is an object-oriented concept that attempts to approximate and to implement the former. Strict referential equality, which is the default in the OCL language and is written $_$ = $_$ in the standard, is an overloaded concept and has to be defined for each OCL type individually; for objects resulting from class definitions, it is implemented by comparing the references to the objects. In contrast, strong logical equality is a polymorphic concept which is defined once and for all by:

$$I[\![X \triangleq Y]\!]\tau \equiv \lfloor \lfloor I[\![X]\!]\tau = I[\![Y]\!]\tau \rfloor \rfloor$$

It enjoys nearly the laws of a congruence:

$$\tau \models (x \triangleq x)$$

$$\tau \models (x \triangleq y) \Longrightarrow \tau \models (y \triangleq x)$$

$$\tau \models (x \triangleq y) \Longrightarrow \tau \models (y \triangleq z) \Longrightarrow \tau \models (x \triangleq z)$$

$$\operatorname{cp} P \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow \tau \models (P x) \Longrightarrow \tau \models (P y)$$

where the predicate cp stands for *context-passing*, a property that is characterized by P(X) equals $\lambda \tau$. $P(\lambda_-, X\tau)\tau$. It means that the state tuple $\tau = (\sigma, \sigma')$ is passed unchanged from surrounding expressions to sub-expressions. it is true for all pure OCL expressions (but not arbitrary mixtures of OCL and HOL) in FeatherweightOCL. The necessary side-calculus for establishing cp can be fully automated.

The logical layer of the FeatherweightOCL rules gives also a means to convert an OCL formula living in its four-valued world into a representation that is classically two-valued and can be processed by standard SMT solvers such as CVC3 [2] or Z3 [18]. δ -closure rules for all logical connectives have the following format, e. g.:

$$\tau \models \delta \, x \Longrightarrow (\tau \models \, \mathrm{not} \, x) = (\neg(\tau \models x))$$

$$\tau \models \delta \, x \Longrightarrow \tau \models \delta \, y \Longrightarrow (\tau \models x \, \mathrm{and} \, y) = (\tau \models x \wedge \tau \models y)$$

$$\begin{array}{c} \tau \models \delta \, x \Longrightarrow \tau \models \delta \, y \\ \Longrightarrow (\tau \models (x \, \mathtt{implies} \, y)) = ((\tau \models x) \longrightarrow (\tau \models y)) \end{array}$$

Together with the general case-distinction

$$\tau \models \delta \ x \lor \tau \models x \triangleq \mathtt{invalid} \lor \tau \models x \triangleq \mathtt{null},$$

which is possible for any OCL type, a case distinction on the variables in a formula can be performed; due to strictness rules, formulae containing somewhere a variable x that is known to be **invalid** or **null** reduce usually quickly to contradictions. For example, we can infer from an invariant $\tau \models x \doteq y - 3$ that we have $\tau \models x \doteq y - 3 \land \tau \models \delta x \land \tau \models \delta y$. We call the latter formula the δ -closure of the former. Now, we can convert a formula like $\tau \models x > 0$ or 3 * y > x * x into the equivalent formula $\tau \models x > 0 \lor \tau \models 3 * y > x * x$ and thus internalize the OCL-logic into a classical (and more tool-conform) logic. This works—for the price of a potential, but due to the usually "rich" δ -closures of invariants rare—exponential blow-up of the formula for all OCL formulas.

3.1.3. Algebraic Layer

Based on the logical layer, we build a system with simpler rules which are amenable to automated reasoning. We restrict ourselves to pure equations on OCL expressions, where the used equality is the meta-(HOL-)equality.

Our denotational definitions on **not** and **can** be re-formulated in the following ground equations:

```
v invalid = false
                                       v \text{ null} = \mathtt{true}
                                      v false = true
              v \text{ true} = \text{true}
          \delta invalid = false
                                       \delta \text{ null} = \mathtt{false}
              \delta \text{ true} = \text{true}
                                     \delta false = true
       not invalid = invalid
                                        not null = null
           not true = false
                                       not false = true
(null and true) = null
                                   (null and false) = false
(null and null) = null
                                (null and invalid) = invalid
(false and true) = false
                                     (false and false) = false
(false and null) = false
                                  (false and invalid) = false
(true and true) = true
                                   (true and false) = false
(true and null) = null
                                (true and invalid) = invalid
                  (invalid and true) = invalid
                 (invalid and false) = false
                  (invalid and null) = invalid
              (invalid and invalid) = invalid
```

On this core, the structure of a conventional lattice arises:

as well as the dual equalities for $_$ or $_$ and the De Morgan rules. This wealth of algebraic properties makes the understanding of the logic easier as well as automated analysis possible: it allows for, for example, computing a DNF of invariant systems (by clever term-rewriting techniques) which are a prerequisite for δ -closures.

The above equations explain the behavior for the most-important non-strict operations. The clarification of the exceptional behaviors is of key-importance for a semantic definition the standard and the major deviation point from HOLOCL [5, 7], to FeatherweightOCL as presented here. The standard expresses at many places that most operations are strict, i.e., enjoy the properties (exemplary for _ + _):

$$\begin{aligned} &\text{invalid} + X = \text{invalid} & X + \text{invalid} = \text{invalid} \\ &X + \text{null} = \text{invalid} & \text{null} + X = \text{invalid} \\ &\text{null}.oclAsType(X) = \text{invalid} \end{aligned}$$

besides "classical" exceptional behavior:

$$1/0 = invalid$$
 $1/null = invalid$ $null = invalid$ $null = invalid$

Moreover, there is also the proposal to use null as a kind of "don't know" value for all strict operations, not only in the semantics of the logical connectives. Expressed in algebraic equations, this semantic alternative (this is *not* FeatherweightOCL at present) would boil down to:

```
 \begin{array}{lll} \operatorname{invalid} + X = \operatorname{invalid} & X + \operatorname{invalid} = \operatorname{invalid} \\ X + \operatorname{null} = \operatorname{null} & \operatorname{null} + X = \operatorname{null} \\ & \operatorname{null.oclAsType}(X) = \operatorname{null} \\ & 1 \ / \ 0 = \operatorname{invalid} & 1 \ / \ \operatorname{null} = \operatorname{null} \\ & \operatorname{null->isEmpty}() = \operatorname{null} \\ \end{array}
```

While this is logically perfectly possible, while it can be argued that this semantics is "intuitive", and although we do not expect a too heavy cost in deduction when computing δ -closures, we object that there are other, also "intuitive" interpretations that are even more wide-spread: In classical spreadsheet programs, for example, the semantics tends to interpret null (representing empty cells in a sheet) as the neutral element of the type, so 0 or the empty string, for example.¹ This semantic alternative (this is not

¹In spreadsheet programs the interpretation of null varies from operation to operation; e. g., the average function treats null as non-existing value and not as 0.

FeatherweightOCL at present) would yield:

```
 \begin{array}{ll} \operatorname{invalid} + X = \operatorname{invalid} & X + \operatorname{invalid} = \operatorname{invalid} \\ X + \operatorname{null} = X & \operatorname{null} + X = X \\ & \operatorname{null.oclAsType}(X) = \operatorname{invalid} \\ 1 \ / \ 0 = \operatorname{invalid} & 1 \ / \ \operatorname{null} = \operatorname{invalid} \\ & \operatorname{null->isEmpty}() = \operatorname{true} \\ \end{array}
```

Algebraic rules are also the key for execution and compilation of FeatherweightOCL expressions. We derived, e.g.:

```
\delta \, \operatorname{Set} \{\} = \operatorname{true}
\delta \, (X \operatorname{->including}(x)) = \delta \, X \, \operatorname{and} \, v \, x
\operatorname{Set} \{\} \operatorname{->includes}(x) = (\operatorname{if} \, v \, x \, \operatorname{then} \, \operatorname{false}
\quad \operatorname{else} \, \operatorname{invalid} \, \operatorname{endif})
(X \operatorname{->including}(x) \operatorname{->includes}(y)) = \\ (\operatorname{if} \, \delta \, X
\quad \operatorname{then} \, \operatorname{if} \, x \doteq y
\quad \operatorname{then} \, \operatorname{true}
\quad \operatorname{else} \, X \operatorname{->includes}(y)
\quad \operatorname{endif}
\quad \operatorname{else} \, \operatorname{invalid}
\quad \operatorname{endif})
```

As Set{1,2} is only syntactic sugar for

```
Set{}->including(1)->including(2)
```

an expression like Set{1,2}->includes(null) becomes decidable in FeatherweightOCL by a combination of rewriting and code-generation and execution. The generated documentation from the theory files can thus be enriched by numerous "test-statements" like:

```
value "\tau \models (Set{Set{2, null}}) \doteq Set{Set{null, 2}})"
```

which have been machine-checked and which present a high-level and in our opinion fairly readable information for OCL tool manufactures and users.

3.2. Object-oriented Datatype Theories

As mentioned earlier, the OCL is composed of

- 1. operators on built-in data structures such as Boolean, Integer or Set(_), and
- 2. operators of the user-defined data model such as accessors, type casts and tests.

In the following, we will refine the concepts of a user-defined data-model (implied by a class-model, visualized by a class-diagram) as well as the notion of state used in the previous section to much more detail. In contrast to wide-spread opinions, UML class models represent in a compact and visual manner quite complex, object-oriented data-types with a surprisingly rich theory. It is part of our endeavor here to make this theory explicit and to point out corner cases. A UML class diagram—underlying a given OCL formula—produces several implicit operations which become accessible via appropriate OCL syntax:

- 1. Classes and class names (written as C_1, \ldots, C_n), which become types of data in OCL. Class names declare two projector functions to the set of all objects in a state: C_i .allInstances() and C_i .allInstances@pre(),
- 2. an inheritance relation $_<_$ on classes, a collection of attributes $Attrib(C_i)$ associated to classes C_i , and a collection of associations $Assoc(C_i, C_j)$, 2
- 3. two families of accessors; for each attribute $a \in Attrib(C_i)$ in a class definition C_i (denoted $X.a :: C_i \to A$ and X.a @pre $:: C_i \to A$ for $A \in \{V(..., C_n), C_1, ..., C_n\}$),
- 4. An association $(n, rn_{from}, rn_{to}) \in Assoc(C_i, C_j)$ between to classes C_i and C_j is a triple consisting of a (unique) association name n, and the rolenames rn_{to} and rn_{from} . To each rolename belong two families of accessors denoted $X.a :: C_i \to Collection(A)$ and $X.a \otimes pre :: C_i \to Colletion(A)$ for $A \in \{V(..., C_1, ..., C_n\}$,
- 5. type casts that can change the static type of an object of a class $(X. oclAsType(C_i))$ of type $C_i \to C_i$
- 6. two dynamic type tests $(X. ocllsTypeOf(C_i))$ and $X. ocllsKindOf(C_i)$,
- 7. and last but not least, for each class name C_i there is an instance of the overloaded referential equality (written $\dot{=}$ $\dot{=}$).

Assuming a strong static type discipline in the sense of Hindley-Milner types, FeatherweightOCL has no "syntactic subtyping." This does not mean that subtyping cannot be expressed *semantically* in FeatherweightOCL; by giving a formal semantics to type-casts, subtyping becomes an issue of the front-end that can make implicit type-coersions explicit by introducing explicit type-casts. Our perspective shifts the emphasis on the semantic properties of casting, and the necessary universe of object representations (induced by a class model) that allows to establish them.

3.2.1. Object Universes

It is natural to construct system states by a set of partial functions f that map object identifiers oid to some representations of objects:

typedef
$$\alpha$$
 state := $\{\sigma :: \text{oid} \rightharpoonup \alpha \mid \text{inv}_{\sigma}(\sigma)\}$ (3.1)

 $^{^2}$ Given the fact that there is at present no consensus on the semantics of n-ary associations, FeatherweightOCL restricts itself to binary associations.

where inv_{σ} is a to be discussed invariant on states.

The key point is that we need a common type α for the set of all possible *object representations*. Object representations model "a piece of typed memory," i. e., a kind of record comprising administration information and the information for all attributes of an object; here, the primitive types as well as collections over them are stored directly in the object representations, class types and collections over them are represented by oid's (respectively lifted collections over them).

In a shallow embedding which must represent UML types injectively by HOL types, there are two fundamentally different ways to construct such a set of object representations, which we call an *object universe* \mathfrak{A} :

- 1. an object universe can be constructed for a given class model, leading to *closed* world semantics, and
- 2. an object universe can be constructed for a given class model and all its extensions by new classes added into the leaves of the class hierarchy, leading to an open world semantics.

For the sake of simplicity, we chose the first option for FeatherweightOCL, while HOL-OCL [6] used an involved construction allowing the latter.

A naïve attempt to construct \mathfrak{A} would look like this: the class type C_i induced by a class will be the type of such an object representation: $C_i := (\operatorname{oid} \times A_{i_1} \times \cdots \times A_{i_k})$ where the types A_{i_1}, \ldots, A_{i_k} are the attribute types (including inherited attributes) with class types substituted by oid. The function OidOf projects the first component, the oid, out of an object representation. Then the object universe will be constructed by the type definition:

$$\mathfrak{A} := C_1 + \dots + C_n \,. \tag{3.2}$$

It is possible to define constructors, accessors, and the referential equality on this object universe. However, the treatment of type casts and type tests cannot be faithful with common object-oriented semantics, be it in UML or Java: casting up along the class hierarchy can only be implemented by loosing information, such that casting up and casting down will *not* give the required identity:

$$X.oclIsTypeOf(C_k)$$
 implies $X.oclAsType(C_i).oclAsType(C_k) \doteq X$ (3.3) whenever $C_k < C_i$ and X is valid. (3.4)

To overcome this limitation, we introduce an auxiliary type C_{iext} for class type extension; together, they were inductively defined for a given class diagram:

Let C_i be a class with a possibly empty set of subclasses $\{C_{j_1}, \ldots, C_{j_m}\}$.

• Then the class type extension $C_{i\text{ext}}$ associated to C_i is $A_{i_1} \times \cdots \times A_{i_n} \times (C_{j_1\text{ext}} + \cdots + C_{j_m\text{ext}})_{\perp}$ where A_{i_k} ranges over the local attribute types of C_i and $C_{j_l\text{ext}}$ ranges over all class type extensions of the subclass C_j of C_i .

• Then the class type for C_i is $oid \times A_{i_1} \times \cdots \times A_{i_n} \times (C_{j_1\text{ext}} + \cdots + C_{j_m\text{ext}})_{\perp}$ where A_{i_k} ranges over the inherited and local attribute types of C_i and $C_{j_l\text{ext}}$ ranges over all class type extensions of the subclass C_j of C_i .

Example instances of this scheme—outlining a compiler—can be found in Chapter 7 and Chapter 8.

This construction can *not* be done in HOL itself since it involves quantifications and iterations over the "set of class-types"; rather, it is a meta-level construction. Technically, this means that we need a compiler to be done in SML on the syntactic "meta-model"-level of a class model.

With respect to our semantic construction here, which above all means is intended to be type-safe, this has the following consequences:

- there is a generic theory of states, which must be formulated independently from a concrete object universe,
- there is a principle of translation (captured by the inductive scheme for class type extensions and class types above) that converts a given class model into an concrete object universe,
- there are fixed principles that allow to derive the semantic theory of any concrete object universe, called the *object-oriented datatype theory*.

We will work out concrete examples for the construction of the object-universes in Chapter 7 and Chapter 8 and the derivation of the respective datatype theories. While an automatization is clearly possible and desirable for concrete applications of FeatherweightOCL, we consider this out of the scope of this paper which has a focus on the semantic construction and its presentation.

3.2.2. Accessors on Objects and Associations

Our choice to use a shallow embedding of OCL in HOL and, thus having an injective mapping from OCL types to HOL types, results in type-safety of FeatherweightOCL . Arguments and results of accessors are based on type-safe object representations and not oid's. This implies the following scheme for an accessor:

- The evaluation and extraction phase. If the argument evaluation results in an object representation, the old is extracted, if not, exceptional cases like invalid are reported.
- The dereferentiation phase. The oid is interpreted in the pre- or post-state, the resulting object is casted to the expected format. The exceptional case of nonexistence in this state must be treated.
- The *selection* phase. The corresponding attribute is extracted from the object representation.

• The re-construction phase. The resulting value has to be embedded in the adequate HOL type. If an attribute has the type of an object (not value), it is represented by an optional (set of) oid, which must be converted via dereferentiation in one of the states to produce an object representation again. The exceptional case of nonexistence in this state must be treated.

The first phase directly translates into the following formalization:

definition

For each class C, we introduce the dereferentiation phase of this form:

The operation yields undefined if the oid is uninterpretable in the state or referencing an object representation not conforming to the expected type.

We turn to the selection phase: for each class C in the class model with at least one attribute, and each attribute a in this class, we introduce the selection phase of this form:

definition select_a
$$f = (\lambda \mod \cdots \perp \cdots C_{X \text{ext}} \Rightarrow \text{null}$$

$$| \mod_C oid \cdots \underline{a}_{\perp} \cdots C_{X \text{ext}} \Rightarrow f(\lambda x_{- \sqcup L} x_{\sqcup L}) a)$$
(3.7)

This works for definitions of basic values as well as for object references in which the a is of type oid. To increase readability, we introduce the functions:

Let _.getBase be an accessor of class C yielding a value of base-type A_{base} . Then its definition is of the form:

definition _.getBase ::
$$C \Rightarrow A_{base}$$

where $X.getBase$ = eval_extract X (deref_oid $_C$ in_post_state (3.9)
(select_getBase reconst_basetype))

Let $_.get0bject$ be an accessor of class C yielding a value of object-type A_{object} . Then its definition is of the form:

$$\begin{array}{lll} \text{definition} & _. \texttt{get0bject} & :: C \Rightarrow A_{object} \\ \text{where} & X. \texttt{get0bject} & = \texttt{eval_extract} \ X \ (\texttt{deref_oid}_C \ \texttt{in_post_state} \\ & (\texttt{select}_{\texttt{get0bject}} \ (\texttt{deref_oid}_C \ \texttt{in_post_state}))) \end{array}$$

The variant for an accessor yielding a collection is omitted here; its construction follows by the application of the principles of the former two. The respective variants _. a @pre were produced when in_post_state is replaced by in_pre_state.

Examples for the construction of accessors via associations can be found in Section 7.8, the construction of accessors via attributes in Section 8.8. The construction of casts and type tests ->oclisTypeOf() and ->oclisKindOf() is similarly.

In the following, we discuss the role of multiplicities on the types of the accessors. Depending on the specified multiplicity, the evaluation of an attribute can yield just a value (multiplicity 0..1 or 1) or a collection type like Set or Sequence of values (otherwise). A multiplicity defines a lower bound as well as a possibly infinite upper bound on the cardinality of the attribute's values.

Single-Valued Attributes

If the upper bound specified by the attribute's multiplicity is one, then an evaluation of the attribute yields a single value. Thus, the evaluation result is *not* a collection. If the lower bound specified by the multiplicity is zero, the evaluation is not required to yield a non-null value. In this case an evaluation of the attribute can return null to indicate an absence of value.

To facilitate accessing attributes with multiplicity 0..1, the OCL standard states that single values can be used as sets by calling collection operations on them. This implicit conversion of a value to a Set is not defined by the standard. We argue that the resulting set cannot be constructed the same way as when evaluating a Set literal. Otherwise, null would be mapped to the singleton set containing null, but the standard demands that the resulting set is empty in this case. The conversion should instead be defined as follows:

```
context OclAny::asSet():T
  post: if self = null then result = Set{}
    else result = Set{self} endif
```

Collection-Valued Attributes

If the upper bound specified by the attribute's multiplicity is larger than one, then an evaluation of the attribute yields a collection of values. This raises the question whether null can belong to this collection. The OCL standard states that null can be owned by collections. However, if an attribute can evaluate to a collection containing null, it is not clear how multiplicity constraints should be interpreted for this attribute. The question arises whether the null element should be counted or not when determining the cardinality of the collection. Recall that null denotes the absence of value in the case of a cardinality upper bound of one, so we would assume that null is not counted. On the other hand, the operation size defined for collections in OCL does count null.

We propose to resolve this dilemma by regarding multiplicities as optional. This point of view complies with the UML standard, that does not require lower and upper bounds to be defined for multiplicities.³ In case a multiplicity is specified for an attribute, i. e., a lower and an upper bound are provided, we require any collection the attribute evaluates to not contain null. This allows for a straightforward interpretation of the multiplicity constraint. If bounds are not provided for an attribute, we consider the attribute values to not be restricted in any way. Because in particular the cardinality of the attribute's values is not bounded, the result of an evaluation of the attribute is of collection type. As the range of values that the attribute can assume is not restricted, the attribute can evaluate to a collection containing null. The attribute can also evaluate to invalid. Allowing multiplicities to be optional in this way gives the modeler the freedom to define attributes that can assume the full ranges of values provided by their types. However, we do not permit the omission of multiplicities for association ends, since the values of association ends are not only restricted by multiplicities, but also by other constraints enforcing the semantics of associations. Hence, the values of association ends cannot be completely unrestricted.

The Precise Meaning of Multiplicity Constraints

We are now ready to define the meaning of multiplicity constraints by giving equivalent invariants written in OCL. Let ${\tt a}$ be an attribute of a class ${\tt C}$ with a multiplicity specifying a lower bound m and an upper bound n. Then we can define the multiplicity constraint on the values of attribute ${\tt a}$ to be equivalent to the following invariants written in OCL:

```
context C inv lowerBound: a->size() >= m
   inv upperBound: a->size() <= n
   inv notNull: not a->includes(null)
```

If the upper bound n is infinite, the second invariant is omitted. For the definition of these invariants we are making use of the conversion of single values to sets described in Section 3.2.2. If $n \leq 1$, the attribute a evaluates to a single value, which is then converted to a **Set** on which the **size** operation is called.

If a value of the attribute a includes a reference to a non-existent object, the attribute call evaluates to invalid. As a result, the entire expressions evaluate to invalid, and the invariants are not satisfied. Thus, references to non-existent objects are ruled out by these invariants. We believe that this result is appropriate, since we argue that the presence of such references in a system state is usually not intended and likely to be the result of an error. If the modeler wishes to allow references to non-existent objects, she can make use of the possibility described above to omit the multiplicity.

3.2.3. Other Operations on States

Defining $_$.allInstances() is straight-forward; the only difference is the property T.allInstances() \rightarrow excludes(null) which is a consequence of the fact that null's

³We are however aware that a well-formedness rule of the UML standard does define a default bound of one in case a lower or upper bound is not specified.

are values and do not "live" in the state. In our semantics which admits states with "dangling references," it is possible to define a counterpart to _.ocllsNew() called _.ocllsDeleted() which asks if an object id (represented by an object representation) is contained in the pre-state, but not the post-state.

OCL does not guarantee that an operation only modifies the path-expressions mentioned in the postcondition, i.e., it allows arbitrary relations from pre-states to post-states. This framing problem is well-known (one of the suggested solutions is [21]). We define

```
(S:Set(OclAny))->oclIsModifiedOnly():Boolean
```

where S is a set of object representations, encoding a set of oid's. The semantics of this operator is defined such that for any object whose oid is *not* represented in S and that is defined in pre and post state, the corresponding object representation will not change in the state transition. A simplified presentation is as follows:

$$I[\![X \text{-} \text{oclIsModifiedOnly()}]\!](\sigma, \sigma') \equiv \begin{cases} \bot & \text{if } X' = \bot \vee \text{null} \in X' \\ \bot \forall \, i \in M. \, \sigma \, \, i = \sigma' \, \, i_\bot & \text{otherwise.} \end{cases}$$

where $X' = I[X](\sigma, \sigma')$ and $M = (\text{dom } \sigma \cap \text{dom } \sigma') - \{\text{OidOf } x | x \in [X']\}$. Thus, if we require in a postcondition Set{}->oclIsModifiedOnly() and exclude via _.oclIsNew() and _.oclIsDeleted() the existence of new or deleted objects, the operation is a query in the sense of the OCL standard, i.e., the isQuery property is true. So, whenever we have $\tau \models X \rightarrow \text{excluding}(s.a) \rightarrow \text{oclIsModifiedOnly()}$ and $\tau \models X \rightarrow \text{forAll}(x \text{not} | (x \doteq s.a))$, we can infer that $\tau \models s.a \triangleq s.a$ @pre.

3.3. Data Invariants

Since the present OCL semantics uses one interpretation function 4 , we express the effect of OCL terms occurring in preconditions and invariants by a syntactic transformation $_{-pre}$ which replaces:

- all accessor functions _. a from the class model $a \in Attrib(C)$ by their counterparts _. i @pre. For example, $(self. salary > 500)_{pre}$ is transformed to (self. salary @pre > 500).
- all role accessor functions $_.rn_{from}$ or $_.rn_{to}$ within the class model (i.e. $(id, rn_{from}, rn_{to}) \in Assoc(C_i, C_j)$) were replaced by their counterparts $_.rn @pre$. For example, $(self.boss = null)_{pre}$ is transformed to self.boss @pre = null.
- The operation _ .allInstances() is also substituted by its @pre counterpart.

Thus, we formulate the semantics of the invariant specification as follows:

$$I[[\texttt{context } c: C_i \texttt{ inv } n: \phi(c)]]\tau \equiv \\ \tau \vDash (C_i \texttt{.allInstances()} \Rightarrow \texttt{forall}(x|\phi(x))) \land \\ \tau \vDash (C_i \texttt{.allInstances()} \Rightarrow \texttt{forall}(x|\phi(x)))_{pre}$$
 (3.11)

⁴This has been handled differently in previous versions of the Annex A.

Recall that expressions containing Opre constructs in invariants or preconditions are syntactically forbidden; thus, mixed forms cannot arise.

3.4. Operation Contracts

Since operations have strict semantics in OCL, we have to distinguish for a specification of an operation op with the arguments a_1, \ldots, a_n the two cases where all arguments are valid and additionally, self is non-null (i.e. it must be defined), or not. In former case, a method call can be replaced by a result that satisfies the contract, in the latter case the result is invalid. This is reflected by the following definition of the contract semantics:

$$I[[\texttt{context} \ C \ :: op(a_1, \dots, a_n) : T$$

$$\texttt{pre} \ \phi(self, a_1, \dots, a_n)$$

$$\texttt{post} \ \psi(self, a_1, \dots, a_n, result)]] \equiv$$

$$\lambda s, x_1, \dots, x_n, \tau.$$

$$\texttt{if} \ \tau \vDash \partial s \land \tau \vDash v \ s \land \tau \vDash v \ x_1 \land \dots \land \tau \vDash v \ x_n$$

$$\texttt{then SOME} \ result. \quad \tau \vDash \phi(s, x_1, \dots, x_n)_{\texttt{pre}}$$

$$\land \tau \vDash \psi(s, x_1, \dots, x_n, result))$$

$$\texttt{else} \ \bot$$

where SOME x. P(x) is the Hilbert-Choice Operator that chooses an arbitrary element satisfying P; if such an element does not exist, it chooses an arbitrary one⁵. Thus, using the Hilbert-Choice Operator, a contract can be associated to a function definition:

$$f_{op} \equiv I[[\texttt{context } C :: op(a_1, \dots, a_n) : T \dots]]$$

$$(3.13)$$

provided that neither ϕ nor ψ contain recursive method calls of op. In the case of a query operation (i.e. τ must have the form: (σ, σ) , which means that query operations do not change the state; c.f. ocllsModifiedOnly() in Section 3.2.3), this constraint can be relaxed: the above equation is then stated as *axiom*. Note however, that the consistency of the overall theory is for recursive query constracts left to the user (it can be shown, for example, by a proof of termination, i.e. by showing that all recursive calls were applied to argument vectors that are smaller wrt. to a well-founded ordering). Under this condition, an f_{op} resulting from recursive query operations can be used safely inside pre- and post-conditions of other contracts.

⁵In HOL, the Hilbert-Choice operator is a first-class element of the logical language.

Part II.

A Proposal for Formal Semantics of OCL 2.5

4. Formalization I: OCL Types and Core Definitions

theory UML-Types
imports Transcendental
keywords Assert :: thy-decl
and Assert-local :: thy-decl
begin

4.1. Preliminaries

4.1.1. Notations for the Option Type

First of all, we will use a more compact notation for the library option type which occur all over in our definitions and which will make the presentation more like a textbook:

```
no-notation ceiling ([-])
no-notation floor ([-])
notation Some ([(-)])
notation None (\perp)
```

The following function (corresponding to *the* in the Isabelle/HOL library) is defined as the inverse of the injection *Some*.

```
fun drop :: '\alpha \ option \Rightarrow '\alpha \ (\lceil (-) \rceil)
where drop-lift[simp]: \lceil |v| \rceil = v
```

The definitions for the constants and operations based on functions will be geared towards a format that Isabelle can check to be a "conservative" (i. e., logically safe) axiomatic definition. By introducing an explicit interpretation function (which happens to be defined just as the identity since we are using a shallow embedding of OCL into HOL), all these definitions can be rewritten into the conventional semantic textbook format. To say it in other words: The interpretation function Sem as defined below is just a textual marker for presentation purposes, i.e. intended for readers used to conventional textbook notations on semantics. Since we use a "shallow embedding", i.e. since we represent the syntax of OCL directly by HOL constants, the interpretation function is semantically not only superfluous, but from an Isabelle perspective strictly in the way for certain consistency checks performed by the definitional packages.

```
definition Sem :: 'a \Rightarrow 'a \ (I[-]) where I[x] \equiv x
```

4.1.2. Common Infrastructure for all OCL Types

In order to have the possibility to nest collection types, such that we can give semantics to expressions like $Set\{Set\{2\},null\}$, it is necessary to introduce a uniform interface for types having the invalid (= bottom) element. The reason is that we impose a data-invariant on raw-collection **types_code** which assures that the invalid element is not allowed inside the collection; all raw-collections of this form were identified with the invalid element itself. The construction requires that the new collection type is not comparable with the raw-types (consisting of nested option type constructions), such that the data-invariant must be expressed in terms of the interface. In a second step, our base-types will be shown to be instances of this interface.

This uniform interface consists in a type class requiring the existence of a bot and a null element. The construction proceeds by abstracting the null (defined by $\lfloor \perp \rfloor$ on 'a option option) to a null element, which may have an arbitrary semantic structure, and an undefinedness element \perp to an abstract undefinedness element bot (also written \perp whenever no confusion arises). As a consequence, it is necessary to redefine the notions of invalid, defined, valuation etc. on top of this interface.

This interface consists in two abstract type classes *bot* and *null* for the class of all types comprising a bot and a distinct null element.

```
class bot =
fixes bot :: 'a
assumes nonEmpty : \exists x. x \neq bot

class null = bot +
fixes null :: 'a
assumes null - is - valid : null \neq bot
```

4.1.3. Accommodation of Basic Types to the Abstract Interface

In the following it is shown that the "option-option" type is in fact in the *null* class and that function spaces over these classes again "live" in these classes. This motivates the default construction of the semantic domain for the basic types (Boolean, Integer, Real, ...).

```
instantiation option :: (type)bot
begin
definition bot-option-def: (bot::'a\ option) \equiv (None::'a\ option)
instance \langle proof \rangle
end
instantiation option :: (bot)null
begin
definition null-option-def: (null::'a::bot\ option) \equiv \lfloor\ bot\ \rfloor
instance \langle proof \rangle
```

```
instantiation fun :: (type,bot) \ bot
begin
  definition bot-fun-def: bot \equiv (\lambda \ x. \ bot)
instance \langle proof \rangle
end
instantiation fun :: (type,null) \ null
begin
definition null-fun-def: (null::'a \Rightarrow 'b::null) \equiv (\lambda \ x. \ null)
instance \langle proof \rangle
end
```

A trivial consequence of this adaption of the interface is that abstract and concrete versions of null are the same on base types (as could be expected).

4.1.4. The Common Infrastructure of Object Types (Class Types) and States.

Recall that OCL is a textual extension of the UML; in particular, we use OCL as means to annotate UML class models. Thus, OCL inherits a notion of *data* in the UML: UML class models provide classes, inheritance, types of objects, and subtypes connecting them along the inheritance hierarchie.

For the moment, we formalize the most common notions of objects, in particular the existance of object-identifiers (oid) for each object under which it can be referenced in a *state*.

```
type-synonym \ oid = nat
```

We refrained from the alternative:

```
type-synonym oid = ind
```

which is slightly more abstract but non-executable.

States in UML/OCL are a pair of

- a partial map from oid's to elements of an *object universe*, i. e. the set of all possible object representations.
- and an oid-indexed family of *associations*, i.e. finite relations between objects living in a state. These relations can be n-ary which we model by nested lists.

For the moment we do not have to describe the concrete structure of the object universe and denote it by the polymorphic variable \mathfrak{A} .

```
record ('\mathfrak{A}) state =
heap :: oid \rightharpoonup '\mathfrak{A}
assocs :: oid \rightharpoonup ((oid \ list) \ list) \ list
```

In general, OCL operations are functions implicitly depending on a pair of pre- and post-state, i.e. *state transitions*. Since this will be reflected in our representation of OCL Types within HOL, we need to introduce the foundational concept of an object id (oid), which is just some infinite set, and some abstract notion of state.

```
type-synonym ({}^{\prime}\mathfrak{A})st = {}^{\prime}\mathfrak{A} state \times {}^{\prime}\mathfrak{A} state
```

We will require for all objects that there is a function that reconstructs the oid of an object in the state (we will settle the question how to define this function later). We will use the Isabelle type class mechanism [?] to capture this:

FiXme: Get Appropriate Reference!

```
class object =  fixes oid-of :: 'a \Rightarrow oid
```

Thus, if needed, we can constrain the object universe to objects by adding the following type class constraint:

```
typ 'A :: object
```

The major instance needed are instances constructed over options: once an object, options of objects are also objects.

```
\begin{array}{ll} \textbf{instantiation} & option :: (object)object \\ \textbf{begin} & \\ \textbf{definition} & oid\text{-}of\text{-}option\text{-}def\text{:}} & oid\text{-}of \ x = oid\text{-}of \ (the \ x) \\ \textbf{instance} \ \langle proof \rangle \\ \textbf{end} & \end{array}
```

4.1.5. Common Infrastructure for all OCL Types (II): Valuations as OCL Types

Since OCL operations in general depend on pre- and post-states, we will represent OCL types as *functions* from pre- and post-state to some HOL raw-type that contains exactly the data in the OCL type — see below. This gives rise to the idea that we represent OCL types by *Valuations*.

Valuations are functions from a state pair (built upon data universe \mathfrak{A}) to an arbitrary null-type (i. e., containing at least a destinguished *null* and *invalid* element).

```
type-synonym ('\mathfrak{A},'\alpha) val = '\mathfrak{A} st \Rightarrow '\alpha::null
```

The definitions for the constants and operations based on valuations will be geared towards a format that Isabelle can check to be a "conservative" (i.e., logically safe) axiomatic definition. By introducing an explicit interpretation function (which happens to be defined just as the identity since we are using a shallow embedding of OCL into HOL), all these definitions can be rewritten into the conventional semantic textbook format as follows:

4.1.6. The fundamental constants 'invalid' and 'null' in all OCL Types

As a consequence of semantic domain definition, any OCL type will have the two semantic constants *invalid* (for exceptional, aborted computation) and *null*:

```
definition invalid :: ('\mathfrak{A},'\alpha::bot) val
where invalid \equiv \lambda \tau. bot
```

This conservative Isabelle definition of the polymorphic constant *invalid* is equivalent with the textbook definition:

```
lemma textbook-invalid: I[[invalid]]\tau = bot \langle proof \rangle

Note that the definition:

definition null :: "('\mathfrak{A},'\alpha::null) val"

where "null \equiv \lambda \tau. null"
```

is not necessary since we defined the entire function space over null types again as null-types; the crucial definition is $null \equiv \lambda x$. null. Thus, the polymorphic constant null is simply the result of a general type class construction. Nevertheless, we can derive the semantic textbook definition for the OCL null constant based on the abstract null:

```
lemma textbook-null-fun: I[[null::('\mathfrak{A},'\alpha::null)\ val]]\ \tau=(null::('\alpha::null))\ \langle proof \rangle
```

4.2. Basic OCL Value Types

The semantic domain of the (basic) boolean type is now defined as the Standard: the space of valuation to *bool option option*, i.e. the Boolean base type:

```
type-synonym Boolean_{base} = bool \ option \ option
type-synonym (\mathfrak{A})Boolean = (\mathfrak{A}, Boolean_{base}) \ val
```

Because of the previous class definitions, Isabelle type-inference establishes that ${}^{t}\mathfrak{A}$ Boolean lives actually both in the type class UML-Types.bot-class.bot and null; this type is sufficiently rich to contain at least these two elements. Analogously we build:

```
type-synonym Integer_{base} = int \ option \ option

type-synonym ('\mathfrak{A}) Integer = ('\mathfrak{A}, Integer_{base}) \ val

type-synonym String_{base} = string \ option \ option

type-synonym ('\mathfrak{A}) String = ('\mathfrak{A}, String_{base}) \ val

type-synonym Real_{base} = real \ option \ option

type-synonym ('\mathfrak{A}) Real_{base} = ('\mathfrak{A}, Real_{base}) \ val
```

Since Real is again a basic type, we define its semantic domain as the valuations over real option option — i.e. the mathematical type of real numbers. The HOL-theory for real "Real" transcendental numbers such as π and e as well as infrastructure to reason over infinite convergent Cauchy-sequences (it is thus possible, in principle, to reason in Featherweight OCL that the sum of inverted two-s exponentials is actually 2.

If needed, a code-generator to compile *Real* to floating-point numbers can be added; this allows for mapping reals to an efficient machine representation; of course, this feature would be logically unsafe.

For technical reasons related to the Isabelle type inference for type-classes (we don't get the properties in the right order that class instantiation provides them, if we would follow the previous scheme), we give a slightly atypic definition:

```
typedef Void_{base} = \{X::unit\ option\ option.\ X = bot\ \lor\ X = null\ \}\ \langle proof\rangle
type-synonym ('\mathfrak{I}) Void = ('\mathfrak{I}, Void_{base})\ val
```

4.3. Some OCL Collection Types

The construction of collection types is sligtly more involved: We need to define an concrete type, constrain it via a kind of data-invariant to "legitimate elements" (i. e. in our type will be "no junk, no confusion"), and abstract it to a new type constructor.

4.3.1. The Construction of the Pair Type (Tuples)

The core of an own type construction is done via a type definition which provides the base-type (' α , ' β) $Pair_{base}$. It is shown that this type "fits" indeed into the abstract type interface discussed in the previous section.

```
\mathbf{typedef}\ ('\alpha,\ '\beta)\ Pair_{base} = \{X :: ('\alpha :: null \times '\beta :: null)\ option\ option. \\ X = bot \vee X = null \vee (fst\lceil X\rceil\rceil \neq bot \wedge snd\lceil X\rceil\rceil \neq bot)\} \\ \langle proof \rangle
```

We "carve" out from the concrete type $(\alpha \times \beta)$ option option the new fully abstract type, which will not contain representations like $\lfloor \lfloor (\bot, a) \rfloor \rfloor$ or $\lfloor \lfloor (b, \bot) \rfloor \rfloor$. The type constuctor $Pair\{x,y\}$ to be defined later will identify these with *invalid*.

```
instantiation Pair_{base} :: (null,null)bot
begin definition bot\text{-}Pair_{base}\text{-}def: (bot\text{-}class.bot :: ('a::null,'b::null) Pair_{base}) \equiv Abs\text{-}Pair_{base} None instance \langle proof \rangle end instantiation Pair_{base} :: (null,null)null begin definition null\text{-}Pair_{base}\text{-}def: (null::('a::null,'b::null) Pair_{base}) \equiv Abs\text{-}Pair_{base} \mid None \mid instance \langle proof \rangle end ... and lifting this type to the format of a valuation gives us: type-synonym ('\mathfrak{A},'\alpha,'\beta) Pair = ('\mathfrak{A}, ('\alpha,'\beta) Pair_{base}) val
```

4.3.2. The Construction of the Set Type

The core of an own type construction is done via a type definition which provides the raw-type ' α Set_{base}. It is shown that this type "fits" indeed into the abstract type interface discussed in the previous section. Note that we make no restriction whatsoever to finite sets; the type constructor of Featherweight OCL is in fact infinite.

```
typedef '\alpha Set<sub>base</sub> ={X::('\alpha::null) set option option. X = bot \lor X = null \lor (\forall x \in \lceil [X] \rceil). x \neq a
bot)
           \langle proof \rangle
instantiation Set_{base} :: (null)bot
begin
   definition bot-Set<sub>base</sub>-def: (bot::('a::null) Set<sub>base</sub>) \equiv Abs-Set<sub>base</sub> None
   instance \langle proof \rangle
end
instantiation Set_{base} :: (null) null
begin
   definition null-Set_{base}-def: (null::('a::null) Set_{base}) \equiv Abs-Set_{base} \mid None \mid
   instance \langle proof \rangle
end
   ... and lifting this type to the format of a valuation gives us:
                        (\mathfrak{A}, \alpha) Set = (\mathfrak{A}, \alpha) Set<sub>base</sub> val
type-synonym
```

4.3.3. The Construction of the Sequence Type

The core of an own type construction is done via a type definition which provides the base-type ' α Sequence_{base}. It is shown that this type "fits" indeed into the abstract type interface discussed in the previous section.

```
begin
```

```
definition null-Sequence_{base}-def: (null::('a::null)\ Sequence_{base}) \equiv Abs-Sequence_{base} \mid None

instance \langle proof \rangle
end

... and lifting this type to the format of a valuation gives us:

type-synonym ('\mathfrak{A},'\alpha)\ Sequence = ('\mathfrak{A},\ '\alpha\ Sequence_{base})\ val
```

4.3.4. Discussion: The Representation of UML/OCL Types in Featherweight OCL

In the introduction, we mentioned that there is an "injective representation mapping" between the types of OCL and the types of Featherweight OCL (and its meta-language: HOL). This injectivity is at the heart of our representation technique — a so-called shallow embedding — and means: OCL types were mapped one-to-one to types in HOL, ruling out a resentation where everything is mapped on some common HOL-type, say "OCL-expression", in which we would have to sort out the typing of OCL and its impact on the semantic representation function in an own, quite heavy side-calculus.

After the previous sections, we are now able to exemplify this representation as follows:

OCL Type	HOL Type
Boolean	'A Boolean
Boolean -> Boolean	$^{\prime}\mathfrak{A}$ Boolean \Rightarrow $^{\prime}\mathfrak{A}$ Boolean
(Integer, Integer) -> Boolean	\mathfrak{A} Integer \Rightarrow \mathfrak{A} Integer \Rightarrow \mathfrak{A} Boolean
Set(Integer)	$('\mathfrak{A}, Integer_{base}) Set$
Set(Integer)-> Real	$('\mathfrak{A}, Integer_{base}) Set \Rightarrow '\mathfrak{A} Real$
<pre>Set(Pair(Integer,Boolean))</pre>	$(\mathfrak{A}, (Integer_{base}, Boolean_{base}) Pair_{base}) Set$
Set(<t>)</t>	$('\mathfrak{A}, '\alpha)$ Set

Table 4.1.: Basic semantic constant definitions of the logic (except null)

We do not formalize the representation map here; however, its principles are quite straight-forward:

- 1. cartesion products of arguments were curried,
- 2. constants of type T were mapped to valuations over the HOL-type for T,
- 3. functions T -> T' were mapped to functions in HOL, where T and T' were mapped to the valuations for them, and
- 4. the arguments of type constructors Set(T) remain corresponding HOL base-types.

Note, furthermore, that our construction of "fully abstract types" (no junk, no confusion) assures that the logical equality to be defined in the next section works correctly and comes as element of the "lingua franca", i.e. HOL.

 $\langle ML \rangle$

 \mathbf{end}

5. Formalization II: OCL Terms and Library Operations

```
theory UML-Logic imports UML-Types begin
```

5.1. The Operations of the Boolean Type and the OCL Logic

5.1.1. Basic Constants

```
lemma bot-Boolean-def : (bot::('\mathfrak{A})Boolean) = (\lambda \tau. \perp)
\langle proof \rangle
lemma null-Boolean-def : (null::(\mathfrak{A})Boolean) = (\lambda \tau. |\bot|)
\langle proof \rangle
definition true :: (\mathfrak{A})Boolean
where true \equiv \lambda \tau. \lfloor \lfloor True \rfloor \rfloor
definition false :: ('\mathfrak{A})Boolean
               false \equiv \lambda \tau. \lfloor \lfloor False \rfloor \rfloor
lemma bool-split-0: X \tau = invalid \tau \lor X \tau = null \tau \lor
                       X \tau = true \tau \quad \lor X \tau = false \tau
\langle proof \rangle
lemma [simp]: false(a, b) = ||False||
\langle proof \rangle
lemma [simp]: true(a, b) = ||True||
\langle proof \rangle
lemma textbook\text{-}true: I[[true]] \tau = ||True||
\langle proof \rangle
lemma textbook\text{-}false: I[false] \tau = ||False||
\langle proof \rangle
```

Name	Theorem
$textbook\mbox{-}invalid$	$I[[invalid]] \tau = UML-Types.bot-class.bot$
textbook- $null$ - fun	$I\llbracket null rbracket au = null$
textbook-true	$I[[true]] \ \tau = \lfloor \lfloor True \rfloor \rfloor$
textbook-false	$I[[false]] \tau = \lfloor \lfloor False \rfloor \rfloor$

Table 5.1.: Basic semantic constant definitions of the logic (except null)

5.1.2. Validity and Definedness

However, this has also the consequence that core concepts like definedness, validness and even cp have to be redefined on this type class:

```
definition valid :: ('\mathfrak{A}, 'a::null)val \Rightarrow ('\mathfrak{A})Boolean (v - [100]100)
where v X \equiv \lambda \tau if X \tau = bot \tau then false \tau else true \tau
lemma valid1[simp]: v invalid = false
  \langle proof \rangle
lemma valid2[simp]: v null = true
lemma valid3[simp]: v true = true
  \langle proof \rangle
lemma valid_{4}[simp]: v false = true
  \langle proof \rangle
lemma cp-valid: (v \ X) \ \tau = (v \ (\lambda - X \ \tau)) \ \tau
\langle proof \rangle
definition defined :: ('\mathfrak{A}, 'a::null)val \Rightarrow ('\mathfrak{A})Boolean (\delta - [100]100)
where \delta X \equiv \lambda \tau. if X \tau = bot \tau \lor X \tau = null \tau then false \tau else true \tau
   The generalized definitions of invalid and definedness have the same properties as the
old ones:
lemma defined1[simp]: \delta invalid = false
  \langle proof \rangle
lemma defined2[simp]: \delta null = false
  \langle proof \rangle
lemma defined3[simp]: \delta true = true
  \langle proof \rangle
```

```
lemma defined4 [simp]: \delta false = true \langle proof \rangle

lemma defined5 [simp]: \delta \delta X = true \langle proof \rangle

lemma defined6 [simp]: \delta v X = true \langle proof \rangle

lemma valid5 [simp]: v v X = true \langle proof \rangle

lemma valid6 [simp]: v \delta X = true \langle proof \rangle
```

The definitions above for the constants *defined* and *valid* can be rewritten into the conventional semantic "textbook" format as follows:

Table 5.2 and Table 5.3 summarize the results of this section.

Name	Theorem
textbook-defined	$I\llbracket \delta X \rrbracket \ \tau = (if \ I\llbracket X \rrbracket \ \tau = I\llbracket UML\text{-}Types.bot\text{-}class.bot \rrbracket \ \tau \ \lor \ I\llbracket X \rrbracket \ \tau$
textbook-valid	$= I[[null]] \tau \text{ then } I[[false]] \tau \text{ else } I[[true]] \tau)$ $I[[v X]] \tau = (if I[X]] \tau = I[[UML-Types.bot-class.bot]] \tau \text{ then }$ $I[[false]] \tau \text{ else } I[[true]] \tau)$

Table 5.2.: Basic predicate definitions of the logic.

5.1.3. The Equalities of OCL

The OCL contains a particular version of equality, written in Standard documents _ = _ and _ <> _ for its negation, which is referred as weak referential equality hereafter and

Name	Theorem	
-defined1	δ invalid = false	
defined 2	$\delta \ null = false$	
defined 3	$\delta \ true = true$	
defined 4	$\delta \ false = true$	
defined 5	$\delta \delta X = true$	
defined 6	$\delta \ v \ X = true$	

Table 5.3.: Laws of the basic predicates of the logic.

for which we use the symbol $_$ \doteq $_$ throughout the formal part of this document. Its semantics is motivated by the desire of fast execution, and similarity to languages like Java and C, but does not satisfy the needs of logical reasoning over OCL expressions and specifications. We therefore introduce a second equality, referred as *strong equality* or *logical equality* and written $_$ \triangleq $_$ which is not present in the current standard but was discussed in prior texts on OCL like the Amsterdam Manifesto [17] and was identified as desirable extension of OCL in the Aachen Meeting [13] in the future 2.5 OCL Standard. The purpose of strong equality is to define and reason over OCL. It is therefore a natural task in Featherweight OCL to formally investigate the somewhat quite complex relationship between these two.

Strong equality has two motivations: a pragmatic one and a fundamental one.

1. The pragmatic reason is fairly simple: users of object-oriented languages want something like a "shallow object value equality". You will want to say a .boss \triangleq b.boss@pre instead of

```
a.boss = b.boss@pre and (* just the pointers are equal! *)
a.boss.name = b.boss@pre.name@pre and
a.boss.age = b.boss@pre.age@pre
```

Breaking a shallow-object equality down to referential equality of attributes is cumbersome, error-prone, and makes specifications difficult to extend (add for example an attribute sex to your class, and check in your OCL specification everywhere that you did it right with your simulation of strong equality). Therefore, languages like Java offer facilities to handle two different equalities, and it is problematic even in an execution oriented specification language to ignore shallow object equality because it is so common in the code.

2. The fundamental reason goes as follows: whatever you do to reason consistently over a language, you need the concept of equality: you need to know what expressions can be replaced by others because they mean the same thing. People call this also "Leibniz Equality" because this philosopher brought this principle first explicitly to paper and shed some light over it. It is the theoretic foundation of what you do in an optimizing compiler: you replace expressions by equal ones,

which you hope are easier to evaluate. In a typed language, strong equality exists uniformly over all types, it is "polymorphic" $_=_::\alpha*\alpha\to bool$ —this is the way that equality is defined in HOL itself. We can express Leibniz principle as one logical rule of surprising simplicity and beauty:

$$s = t \Longrightarrow P(s) = P(t) \tag{5.1}$$

"Whenever we know, that s is equal to t, we can replace the sub-expression s in a term P by t and we have that the replacement is equal to the original."

While weak referential equality is defined to be strict in the OCL standard, we will define strong equality as non-strict. It is quite nasty (but not impossible) to define the logical equality in a strict way (the substitutivity rule above would look more complex), however, whenever references were used, strong equality is needed since references refer to particular states (pre or post), and that they mean the same thing can therefore not be taken for granted.

Definition

The strict equality on basic types (actually on all types) must be exceptionally defined on *null*—otherwise the entire concept of null in the language does not make much sense. This is an important exception from the general rule that null arguments—especially if passed as "self"-argument—lead to invalid results.

We define strong equality extremely generic, even for types that contain a null or \bot element. Strong equality is simply polymorphic in Featherweight OCL, i. e., is defined identical for all types in OCL and HOL.

```
definition StrongEq::['\mathfrak{A} \ st \Rightarrow '\alpha,'\mathfrak{A} \ st \Rightarrow '\alpha] \Rightarrow ('\mathfrak{A})Boolean \ (infixl \triangleq 30) where X \triangleq Y \equiv \lambda \tau. \lfloor \lfloor X \tau = Y \tau \rfloor \rfloor
```

From this follow already elementary properties like:

```
lemma [simp,code-unfold]: (true \triangleq false) = false (proof)
```

lemma [simp,code-unfold]: ($false \triangleq true$) = false (proof)

Fundamental Predicates on Strong Equality

Equality reasoning in OCL is not humpty dumpty. While strong equality is clearly an equivalence:

```
lemma StrongEq\text{-}refl [simp]: (X \triangleq X) = true \langle proof \rangle
```

lemma
$$StrongEq$$
- sym : $(X \triangleq Y) = (Y \triangleq X)$ $\langle proof \rangle$

```
lemma StrongEq-trans-strong [simp]: assumes A: (X \triangleq Y) = true and B: (Y \triangleq Z) = true shows (X \triangleq Z) = true \langle proof \rangle
```

it is only in a limited sense a congruence, at least from the point of view of this semantic theory. The point is that it is only a congruence on OCL expressions, not arbitrary HOL expressions (with which we can mix Featherweight OCL expressions). A semantic—not syntactic—characterization of OCL expressions is that they are *context-passing* or *context-invariant*, i. e., the context of an entire OCL expression, i. e. the pre and post state it referes to, is passed constantly and unmodified to the sub-expressions, i. e., all sub-expressions inside an OCL expression refer to the same context. Expressed formally, this boils down to:

```
lemma StrongEq\text{-}subst:

assumes cp: \bigwedge X.\ P(X)\tau = P(\lambda \text{-.} X\ \tau)\tau
and
eq: (X \triangleq Y)\tau = true\ \tau
shows (P\ X \triangleq P\ Y)\tau = true\ \tau
\langle proof \rangle

lemma defined7[simp]: \delta\ (X \triangleq Y) = true
\langle proof \rangle

lemma valid7[simp]: v\ (X \triangleq Y) = true
\langle proof \rangle

lemma cp\text{-}StrongEq: (X \triangleq Y)\ \tau = ((\lambda \text{-.} X\ \tau) \triangleq (\lambda \text{-.} Y\ \tau))\ \tau
\langle proof \rangle
```

5.1.4. Logical Connectives and their Universal Properties

It is a design goal to give OCL a semantics that is as closely as possible to a "logical system" in a known sense; a specification logic where the logical connectives can not be understood other that having the truth-table aside when reading fails its purpose in our view.

Practically, this means that we want to give a definition to the core operations to be as close as possible to the lattice laws; this makes also powerful symbolic normalization of OCL specifications possible as a pre-requisite for automated theorem provers. For example, it is still possible to compute without any definedness and validity reasoning the DNF of an OCL specification; be it for test-case generations or for a smooth transition to a two-valued representation of the specification amenable to fast standard SMT-solvers, for example.

Thus, our representation of the OCL is merely a 4-valued Kleene-Logics with *invalid* as least, *null* as middle and *true* resp. *false* as unrelated top-elements.

```
definition OclNot :: ('\mathfrak{A})Boolean \Rightarrow ('\mathfrak{A})Boolean (not)
```

```
where
                    not \ X \equiv \lambda \ \tau \ . \ case \ X \ \tau \ of
                                               \perp \Rightarrow \perp
                                          | \lfloor \bot \rfloor \Rightarrow \lfloor \bot \rfloor
                                          | \mid \mid \mid x \mid \mid \Rightarrow \mid \mid \neg x \mid \mid
lemma cp-OclNot: (not\ X)\tau = (not\ (\lambda - X\ \tau))\ \tau
```

 $\langle proof \rangle$

 $lemma \ OclNot1[simp]: \ not \ invalid = invalid$ $\langle proof \rangle$

lemma OclNot2[simp]: not null = null $\langle proof \rangle$

lemma OclNot3[simp]: not true = false $\langle proof \rangle$

lemma OclNot4[simp]: not false = true $\langle proof \rangle$

lemma OclNot-not[simp]: $not\ (not\ X) = X$ $\langle proof \rangle$

lemma OclNot-inject: $\bigwedge x y$. not $x = not y \Longrightarrow x = y$

definition $OclAnd :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean (infix] and 30)$ $X \ and \ Y \equiv (\lambda \ \tau \ . \ case \ X \ \tau \ of$ where $\lfloor \lfloor False \rfloor \rfloor \Rightarrow$ $\lfloor \lfloor False \rfloor \rfloor$ \Rightarrow (case Y τ of $\lfloor \lfloor False \rfloor \rfloor \Rightarrow \lfloor \lfloor False \rfloor \rfloor$ $| - \longrightarrow \bot \rangle$ $\Rightarrow (case \ Y \ \tau \ of)$ $| \bot \bot |$ $\begin{array}{c} \downarrow \quad (case \ 1 \ \) \ \downarrow \\ \mid \lfloor False \rfloor \rfloor \Rightarrow \lfloor \lfloor False \rfloor \rfloor \\ \mid \perp \qquad \Rightarrow \perp \\ \mid - \qquad \Rightarrow \lfloor \perp \rfloor) \end{array}$ $| | | True | | \Rightarrow$

Note that not is not defined as a strict function; proximity to lattice laws implies that we need a definition of not that satisfies not(not(x))=x.

In textbook notation, the logical core constructs not and op and were represented as follows:

 $\mathbf{lemma}\ textbook ext{-}OclNot:$

$$I[[not(X)]] \tau = (case \ I[[X]] \tau \ of \ \bot \Rightarrow \bot \\ | \ \lfloor \ \bot \ \rfloor \Rightarrow \lfloor \ \bot \ \rfloor \\ | \ \lfloor \ L \ \rfloor \rfloor \Rightarrow \lfloor \lfloor \ \neg \ x \ \rfloor \rfloor)$$

```
\langle proof \rangle
lemma textbook-OclAnd:
         I[X \text{ and } Y] \tau = (\text{case } I[X] \tau \text{ of }
                                                    \perp \Rightarrow (case \ I [Y] \ \tau \ of
                                                                                   \perp \Rightarrow \perp
                                                                              | \perp \perp \Rightarrow \perp
                                                                              | | | True | | \Rightarrow \bot
                                                                              | | | False | | \Rightarrow | False | |
                                            | \perp \perp \rfloor \Rightarrow (case \ I[[Y]] \ \tau \ of
                                                                                   \perp \Rightarrow \perp
                                                                              | | \perp | \Rightarrow | \perp |
                                                                              |\lfloor \lfloor True \rfloor \rfloor \Rightarrow \lfloor \perp \rfloor
                                                                              | [[False]] \Rightarrow [[False]] 
                                            | \lfloor \lfloor True \rfloor \rfloor \Rightarrow (case \ I \llbracket Y \rrbracket \ \tau \ of \rfloor \downarrow \Rightarrow \bot
                                           |\begin{array}{c} \bot \xrightarrow{} \xrightarrow{} \\ |\begin{array}{c} \bot \\ \downarrow \xrightarrow{} \Rightarrow \begin{array}{c} \bot \\ \downarrow \\ |\begin{array}{c} \bot \\ \downarrow y \\ \end{bmatrix} \end{array} \Rightarrow \begin{array}{c} \bot \\ |\begin{array}{c} \bot \\ \downarrow y \\ \end{bmatrix} \end{array} \rangle
|\begin{array}{c} \bot \xrightarrow{} \xrightarrow{} \xrightarrow{} \\ |\begin{array}{c} \bot \\ \downarrow y \\ \end{bmatrix} \Rightarrow \begin{array}{c} \bot \xrightarrow{} \xrightarrow{} \xrightarrow{} \\ |\begin{array}{c} \bot \\ \downarrow y \\ \end{bmatrix} \end{array} \rangle
\langle proof \rangle
definition OclOr :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean
                                                                                                                                                                       (infixl or 25)
                       X \text{ or } Y \equiv not(not \ X \text{ and not } Y)
where
definition OclImplies :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean
                                                                                                                                                                       (infixl implies 25)
                       X \text{ implies } Y \equiv \text{not } X \text{ or } Y
where
lemma cp-OclAnd:(X and Y) \tau = ((\lambda - X \tau) \text{ and } (\lambda - Y \tau)) \tau
\langle proof \rangle
lemma cp-OclOr:((X::(\mathfrak{A})Boolean) \ or \ Y) \ \tau = ((\lambda - X \ \tau) \ or \ (\lambda - Y \ \tau)) \ \tau
\langle proof \rangle
lemma cp-OclImplies:(X \text{ implies } Y) \tau = ((\lambda - X \tau) \text{ implies } (\lambda - Y \tau)) \tau
\langle proof \rangle
lemma OclAnd1[simp]: (invalid and true) = invalid
    \langle proof \rangle
lemma OclAnd2[simp]: (invalid and false) = false
   \langle proof \rangle
lemma OclAnd3[simp]: (invalid and null) = invalid
```

lemma OclAnd5[simp]: $(null\ and\ true) = null$

lemma OclAnd4[simp]: (invalid and invalid) = invalid

 $\langle proof \rangle$

 $\langle proof \rangle$

```
\langle proof \rangle
lemma OclAnd6[simp]: (null\ and\ false) = false
  \langle proof \rangle
lemma OclAnd7[simp]: (null\ and\ null) = null
  \langle proof \rangle
lemma OclAnd8[simp]: (null\ and\ invalid) = invalid
  \langle proof \rangle
lemma OclAnd9[simp]: (false\ and\ true) = false
  \langle proof \rangle
lemma OclAnd10[simp]: (false\ and\ false) = false
  \langle proof \rangle
lemma OclAnd11[simp]: (false\ and\ null) = false
  \langle proof \rangle
lemma OclAnd12[simp]: (false\ and\ invalid) = false
  \langle proof \rangle
lemma OclAnd13[simp]: (true\ and\ true) = true
  \langle proof \rangle
lemma OclAnd14[simp]: (true\ and\ false) = false
  \langle proof \rangle
lemma OclAnd15[simp]: (true\ and\ null) = null
  \langle proof \rangle
lemma OclAnd16[simp]: (true and invalid) = invalid
  \langle proof \rangle
lemma OclAnd\text{-}idem[simp]: (X and X) = X
lemma OclAnd\text{-}commute: (X and Y) = (Y and X)
  \langle proof \rangle
lemma OclAnd-false1[simp]: (false\ and\ X) = false
  \langle proof \rangle
lemma OclAnd-false2[simp]: (X and false) = false
  \langle proof \rangle
lemma OclAnd-true1[simp]: (true \ and \ X) = X
  \langle proof \rangle
lemma OclAnd-true2[simp]: (X and true) = X
  \langle proof \rangle
lemma OclAnd-bot1[simp]: \land \tau. X \tau \neq false \tau \Longrightarrow (bot \ and \ X) \tau = bot \tau
  \langle proof \rangle
```

```
lemma OclAnd-bot2[simp]: \land \tau. X \tau \neq false \tau \Longrightarrow (X \text{ and bot}) \tau = bot \tau
  \langle proof \rangle
lemma OclAnd-null1 [simp]: \land \tau. X \tau \neq false \tau \Longrightarrow X \tau \neq bot \tau \Longrightarrow (null and X) \tau = null \tau
  \langle proof \rangle
lemma OclAnd-null2[simp]: \land \tau. X \tau \neq false \tau \Longrightarrow X \tau \neq bot \tau \Longrightarrow (X and null) \tau = null \tau
  \langle proof \rangle
lemma OclAnd-assoc: (X \ and \ (Y \ and \ Z)) = (X \ and \ Y \ and \ Z)
  \langle proof \rangle
lemma OclOr1[simp]: (invalid or true) = true
\langle proof \rangle
lemma OclOr2[simp]: (invalid or false) = invalid
\langle proof \rangle
lemma OclOr3[simp]: (invalid or null) = invalid
\langle proof \rangle
lemma OclOr4[simp]: (invalid or invalid) = invalid
\langle proof \rangle
lemma OclOr5[simp]: (null\ or\ true) = true
lemma OclOr6[simp]: (null\ or\ false) = null
\langle proof \rangle
lemma OclOr7[simp]: (null or null) = null
\langle proof \rangle
lemma OclOr8[simp]: (null\ or\ invalid) = invalid
\langle proof \rangle
lemma OclOr\text{-}idem[simp]: (X or X) = X
  \langle proof \rangle
lemma OclOr\text{-}commute: (X \ or \ Y) = (Y \ or \ X)
  \langle proof \rangle
lemma OclOr-false1[simp]: (false \ or \ Y) = Y
  \langle proof \rangle
lemma OclOr-false2[simp]: (Y or false) = Y
  \langle proof \rangle
lemma OclOr-true1[simp]: (true \ or \ Y) = true
  \langle proof \rangle
lemma OclOr-true2: (Y or true) = true
  \langle proof \rangle
```

```
 | \mathbf{lemma} \ OclOr\text{-}bot1[simp] \colon \bigwedge \tau. \ X \ \tau \neq true \ \tau \Longrightarrow (bot \ or \ X) \ \tau = bot \ \tau \\ \langle proof \rangle | \mathbf{lemma} \ OclOr\text{-}bot2[simp] \colon \bigwedge \tau. \ X \ \tau \neq true \ \tau \Longrightarrow (X \ or \ bot) \ \tau = bot \ \tau \\ \langle proof \rangle | \mathbf{lemma} \ OclOr\text{-}null1[simp] \colon \bigwedge \tau. \ X \ \tau \neq true \ \tau \Longrightarrow X \ \tau \neq bot \ \tau \Longrightarrow (null \ or \ X) \ \tau = null \ \tau \\ \langle proof \rangle | \mathbf{lemma} \ OclOr\text{-}null2[simp] \colon \bigwedge \tau. \ X \ \tau \neq true \ \tau \Longrightarrow X \ \tau \neq bot \ \tau \Longrightarrow (X \ or \ null) \ \tau = null \ \tau \\ \langle proof \rangle | \mathbf{lemma} \ OclOr\text{-}assoc \colon (X \ or \ (Y \ or \ Z)) = (X \ or \ Y \ or \ Z) \\ \langle proof \rangle | \mathbf{lemma} \ OclImplies\text{-}true \colon (X \ implies \ true) = true \\ \langle proof \rangle | \mathbf{lemma} \ deMorgan1 \colon not(X \ and \ Y) = ((not \ X) \ or \ (not \ Y)) \\ \langle proof \rangle |
```

5.1.5. A Standard Logical Calculus for OCL

lemma deMorgan2: not(X or Y) = ((not X) and (not Y))

definition OclValid ::
$$[({}^{\prime}\mathfrak{A})st, ({}^{\prime}\mathfrak{A})Boolean] \Rightarrow bool ((1(-)/\models (-)) 50)$$
 where $\tau \models P \equiv ((P \tau) = true \tau)$

Global vs. Local Judgements

```
lemma transform1: P = true \Longrightarrow \tau \models P
\langle proof \rangle
```

lemma transform1-rev: $\forall \tau. \tau \models P \Longrightarrow P = true \langle proof \rangle$

lemma transform2: $(P = Q) \Longrightarrow ((\tau \models P) = (\tau \models Q))$ $\langle proof \rangle$

lemma transform2-rev: $\forall \tau$. $(\tau \models \delta P) \land (\tau \models \delta Q) \land (\tau \models P) = (\tau \models Q) \Longrightarrow P = Q \langle proof \rangle$

However, certain properties (like transitivity) can not be *transformed* from the global level to the local one, they have to be re-proven on the local level.

lemma

 $\langle proof \rangle$

assumes
$$H: P = true \Longrightarrow Q = true$$

shows $\tau \models P \Longrightarrow \tau \models Q$
 $\langle proof \rangle$

Local Validity and Meta-logic

```
lemma foundation1[simp]: \tau \models true
\langle proof \rangle
lemma foundation2[simp]: \neg(\tau \models false)
\langle proof \rangle
lemma foundation3[simp]: \neg(\tau \models invalid)
\langle proof \rangle
lemma foundation4 [simp]: \neg(\tau \models null)
\langle proof \rangle
lemma bool-split[simp]:
(\tau \models (x \triangleq invalid)) \lor (\tau \models (x \triangleq null)) \lor (\tau \models (x \triangleq true)) \lor (\tau \models (x \triangleq false))
\langle proof \rangle
lemma defined-split:
(\tau \models \delta \ x) = ((\neg(\tau \models (x \triangleq invalid))) \land (\neg \ (\tau \models (x \triangleq null))))
\langle proof \rangle
lemma valid-bool-split: (\tau \models v \ A) = ((\tau \models A \triangleq null) \lor (\tau \models A) \lor (\tau \models not \ A))
\langle proof \rangle
lemma defined-bool-split: (\tau \models \delta A) = ((\tau \models A) \lor (\tau \models not A))
\langle proof \rangle
lemma foundation5:
\tau \models (P \ and \ Q) \Longrightarrow (\tau \models P) \land (\tau \models Q)
\langle proof \rangle
lemma foundation6:
\tau \models P \Longrightarrow \tau \models \delta P
\langle proof \rangle
lemma foundation 7[simp]:
(\tau \models not (\delta x)) = (\neg (\tau \models \delta x))
\langle proof \rangle
lemma foundation 7'[simp]:
(\tau \models not \ (v \ x)) = (\neg \ (\tau \models v \ x))
\langle proof \rangle
```

Key theorem for the δ -closure: either an expression is defined, or it can be replaced (substituted via StrongEq-L-subst2; see below) by invalid or null. Strictness-reduction rules will usually reduce these substituted terms drastically.

$$(\tau \models \delta \ x) \lor (\tau \models (x \triangleq invalid)) \lor (\tau \models (x \triangleq null))$$

$$\langle proof \rangle$$

lemma foundation9:

$$\tau \models \delta \ x \Longrightarrow (\tau \models not \ x) = (\neg \ (\tau \models x))$$

$$\langle proof \rangle$$

lemma foundation9':

$$\tau \models not \ x \Longrightarrow \neg \ (\tau \models x)$$
$$\langle proof \rangle$$

$\mathbf{lemma}\ foundation 9\ ^{\prime\prime}:$

$$\tau \models not \ x \Longrightarrow \tau \models \delta \ x$$

 $\langle proof \rangle$

lemma foundation10:

$$\tau \models \delta \ x \Longrightarrow \tau \models \delta \ y \Longrightarrow (\tau \models (x \ and \ y)) = (\ (\tau \models x) \land (\tau \models y)) \ \langle proof \rangle$$

lemma foundation10':
$$(\tau \models (A \text{ and } B)) = ((\tau \models A) \land (\tau \models B)) \land (proof)$$

lemma foundation11:

$$\tau \models \delta \stackrel{?}{x} \Longrightarrow \tau \models \delta y \Longrightarrow (\tau \models (x \ or \ y)) = (\ (\tau \models x) \lor (\tau \models y)) \land (proof)$$

lemma foundation12:

$$\tau \models \delta \ x \Longrightarrow (\tau \models (x \ implies \ y)) = (\ (\tau \models x) \longrightarrow (\tau \models y))$$

$$\langle proof \rangle$$

lemma foundation13:
$$(\tau \models A \triangleq true) = (\tau \models A)$$
 $\langle proof \rangle$

lemma
$$foundation14: (\tau \models A \triangleq false) = (\tau \models not A) \langle proof \rangle$$

lemma
$$foundation15: (\tau \models A \triangleq invalid) = (\tau \models not(v \ A)) \langle proof \rangle$$

lemma foundation16:
$$\tau \models (\delta X) = (X \tau \neq bot \land X \tau \neq null) \langle proof \rangle$$

lemma foundation16":
$$\neg(\tau \models (\delta X)) = ((\tau \models (X \triangleq invalid)) \lor (\tau \models (X \triangleq null)))$$

```
\langle proof \rangle
lemma foundation16': (\tau \models (\delta X)) = (X \tau \neq invalid \tau \land X \tau \neq null \tau)
\langle proof \rangle
lemma foundation18: (\tau \models (v X)) = (X \tau \neq invalid \tau)
\langle proof \rangle
lemma foundation 18': (\tau \models (v \ X)) = (X \ \tau \neq bot)
\langle proof \rangle
lemma foundation 18": (\tau \models (v \mid X)) = (\neg(\tau \models (X \triangleq invalid)))
\langle proof \rangle
lemma foundation20 : \tau \models (\delta X) \Longrightarrow \tau \models v X
\langle proof \rangle
lemma foundation21: (not A \triangleq not B) = (A \triangleq B)
\langle proof \rangle
lemma foundation22: (\tau \models (X \triangleq Y)) = (X \tau = Y \tau)
\langle proof \rangle
lemma foundation23: (\tau \models P) = (\tau \models (\lambda - ... P \tau))
\langle proof \rangle
lemma foundation24: (\tau \models not(X \triangleq Y)) = (X \tau \neq Y \tau)
\langle proof \rangle
lemma foundation25: \tau \models P \Longrightarrow \tau \models (P \text{ or } Q)
\langle proof \rangle
lemma foundation 25': \tau \models Q \Longrightarrow \tau \models (P \text{ or } Q)
\langle proof \rangle
```

lemma foundation26: assumes $defP: \tau \models \delta P$ assumes $defQ: \tau \models \delta Q$ assumes $H: \tau \models (P \ or \ Q)$ assumes $P: \tau \models P \Longrightarrow R$ assumes $Q: \tau \models Q \Longrightarrow R$

```
shows R \langle proof \rangle

lemma foundation27: (\tau \models (A \text{ and } B)) = ((\tau \models A) \land (\tau \models B)) \langle proof \rangle

lemma defined-not-I : \tau \models \delta(x) \Longrightarrow \tau \models \delta \text{ (not } x) \langle proof \rangle

lemma valid-not-I : \tau \models v(x) \Longrightarrow \tau \models v(\text{not } x) \langle proof \rangle

lemma defined-and-I : \tau \models \delta(x) \Longrightarrow \tau \models \delta(y) \Longrightarrow \tau \models \delta(x \text{ and } y) \langle proof \rangle

lemma valid-and-I : \tau \models v(x) \Longrightarrow \tau \models v(y) \Longrightarrow \tau \models v(x \text{ and } y) \langle proof \rangle

lemma defined-or-I : \tau \models \delta(x) \Longrightarrow \tau \models \delta(y) \Longrightarrow \tau \models \delta(x \text{ or } y) \langle proof \rangle

lemma valid-or-I : \tau \models v(x) \Longrightarrow \tau \models v(y) \Longrightarrow \tau \models v(x \text{ or } y) \langle proof \rangle
```

Local Judgements and Strong Equality

```
\begin{array}{l} \mathbf{lemma} \ \mathit{StrongEq\text{-}L\text{-}refl:} \ \tau \ \models \ (x \triangleq x) \\ \langle \mathit{proof} \rangle \\ \\ \mathbf{lemma} \ \mathit{StrongEq\text{-}L\text{-}sym:} \ \tau \ \models \ (x \triangleq y) \Longrightarrow \tau \ \models \ (y \triangleq x) \\ \langle \mathit{proof} \rangle \\ \\ \mathbf{lemma} \ \mathit{StrongEq\text{-}L\text{-}trans:} \ \tau \ \models \ (x \triangleq y) \Longrightarrow \tau \ \models \ (y \triangleq z) \Longrightarrow \tau \ \models \ (x \triangleq z) \\ \langle \mathit{proof} \rangle \end{array}
```

In order to establish substitutivity (which does not hold in general HOL formulas) we introduce the following predicate that allows for a calculus of the necessary side-conditions.

definition
$$cp$$
 :: $(('\mathfrak{A},'\alpha) \ val \Rightarrow ('\mathfrak{A},'\beta) \ val) \Rightarrow bool$
where $cp \ P \equiv (\exists \ f. \ \forall \ X \ \tau. \ P \ X \ \tau = f \ (X \ \tau) \ \tau)$

The rule of substitutivity in Featherweight OCL holds only for context-passing expressions, i.e. those that pass the context τ without changing it. Fortunately, all operators of the OCL language satisfy this property (but not all HOL operators).

lemma StrongEq-L-subst1:
$$\bigwedge \tau$$
. $cp \ P \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow \tau \models (P \ x \triangleq P \ y) \langle proof \rangle$

```
lemma StrongEq-L-subst2:
\land \tau. \ cp \ P \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow \tau \models (P \ x) \Longrightarrow \tau \models (P \ y)
\langle proof \rangle
lemma StrongEq-L-subst2-rev: \tau \models y \triangleq x \Longrightarrow cp \ P \Longrightarrow \tau \models P \ x \Longrightarrow \tau \models P \ y
\langle proof \rangle
lemma StrongEq-L-subst3:
assumes cp: cp P
              eq: \tau \models (x \triangleq y)
\mathbf{and}
                    (\tau \models P x) = (\tau \models P y)
shows
\langle proof \rangle
\mathbf{lemma} \ \ \mathit{StrongEq-L-subst3-rev}:
assumes eq: \tau \models (x \triangleq y)
              cp: cp P
                    (\tau \models P x) = (\tau \models P y)
shows
\langle proof \rangle
lemma StrongEq-L-subst \cancel{4}-rev:
assumes eq: \tau \models (x \triangleq y)
              cp: cp P
and
                    (\neg(\tau \models P \ x)) = (\neg(\tau \models P \ y))
shows
thm arg\text{-}cong[of - Not]
\langle proof \rangle
lemma cpI1:
(\forall X \tau. f X \tau = f(\lambda -. X \tau) \tau) \Longrightarrow cp P \Longrightarrow cp(\lambda X. f (P X))
\langle proof \rangle
lemma cpI2:
(\forall X Y \tau. f X Y \tau = f(\lambda -. X \tau)(\lambda -. Y \tau) \tau) \Longrightarrow
 cp \ P \Longrightarrow cp \ Q \Longrightarrow cp(\lambda X. \ f \ (P \ X) \ (Q \ X))
\langle proof \rangle
lemma cpI3:
(\forall X Y Z \tau. f X Y Z \tau = f(\lambda -. X \tau)(\lambda -. Y \tau)(\lambda -. Z \tau) \tau) \Longrightarrow
 cp \ P \Longrightarrow cp \ Q \Longrightarrow cp \ R \Longrightarrow cp(\lambda X. \ f \ (P \ X) \ (Q \ X) \ (R \ X))
\langle proof \rangle
lemma cpI_4:
(\forall WXYZ\tau.fWXYZ\tau=f(\lambda-.W\tau)(\lambda-.X\tau)(\lambda-.Y\tau)(\lambda-.Z\tau)\tau)\Longrightarrow
 cp \ P \Longrightarrow cp \ Q \Longrightarrow cp \ R \Longrightarrow cp \ S \Longrightarrow cp(\lambda X. \ f \ (P \ X) \ (Q \ X) \ (R \ X))
\langle proof \rangle
lemma cp\text{-}const: cp(\lambda\text{-}.c)
```

 $\langle proof \rangle$

```
lemma cp-id:
                      cp(\lambda X. X)
  \langle proof \rangle
lemmas cp-intro[intro!, simp, code-unfold] =
      cp\text{-}const
      cp-id
      cp-defined[THEN allI[THEN allI[THEN cpI1], of defined]]
      cp-valid[THEN allI[THEN allI[THEN cpI1], of valid]]
      cp-OclNot[THEN allI[THEN allI[THEN cpI1], of not]]
      cp-OclAnd[THEN allI[THEN allI[THEN allI[THEN cp12]], of op and]]
      cp-OclOr[THEN allI[THEN allI[THEN allI[THEN cpI2]], of op or]]
      cp-OclImplies[THEN allI[THEN allI[THEN allI[THEN cpI2]], of op implies]]
      cp-StrongEq[THEN allI[THEN allI[THEN allI[THEN cp12]],
                  of StrongEq]
5.1.6. OCL's if then else endif
definition OclIf :: [('\mathfrak{A})Boolean, ('\mathfrak{A},'\alpha::null) val, ('\mathfrak{A},'\alpha) val] \Rightarrow ('\mathfrak{A},'\alpha) val
                    (if (-) then (-) else (-) endif [10,10,10]50)
where (if C then B_1 else B_2 endif) = (\lambda \tau). if (\delta C) \tau = true \tau
                                         then (if (C \tau) = true \tau
                                              then B_1 \tau
                                              else B_2 \tau)
                                          else invalid \tau)
lemma cp-OclIf:((if C then B_1 else B_2 endif) \tau =
                 (if (\lambda - C \tau) then (\lambda - B_1 \tau) else (\lambda - B_2 \tau) endif (\tau)
\langle proof \rangle
lemmas cp-intro'[intro!, simp, code-unfold] =
      cp-intro
      cp-Oclif [THEN alli [THEN alli [THEN alli [THEN alli [THEN cpi3]]], of Oclif]]
lemma OclIf-invalid [simp]: (if invalid then B_1 else B_2 endif) = invalid
\langle proof \rangle
lemma OclIf-null [simp]: (if null then <math>B_1 else B_2 endif) = invalid
\langle proof \rangle
lemma OclIf-true [simp]: (if true then B_1 else B_2 endif) = B_1
\langle proof \rangle
lemma OclIf-true' [simp]: \tau \models P \Longrightarrow (if \ P \ then \ B_1 \ else \ B_2 \ endif)\tau = B_1 \ \tau
\langle proof \rangle
lemma OclIf-true'' [simp]: \tau \models P \Longrightarrow \tau \models (if \ P \ then \ B_1 \ else \ B_2 \ endif) \triangleq B_1
```

 $\langle proof \rangle$

```
lemma OclIf-false [simp]: (if \ false \ then \ B_1 \ else \ B_2 \ endif) = B_2 \ \langle proof \rangle

lemma OclIf-false' [simp]: \tau \models not \ P \Longrightarrow (if \ P \ then \ B_1 \ else \ B_2 \ endif)\tau = B_2 \ \tau \ \langle proof \rangle

lemma OclIf-idem1[simp]:(if \ \delta \ X \ then \ A \ else \ A \ endif) = A \ \langle proof \rangle

lemma OclIf-idem2[simp]:(if \ v \ X \ then \ A \ else \ A \ endif) = A \ \langle proof \rangle

lemma OclNot-if[simp]:not(if \ P \ then \ C \ else \ E \ endif) = (if \ P \ then \ not \ C \ else \ not \ E \ endif)
```

5.1.7. Fundamental Predicates on Basic Types: Strict (Referential) Equality

In contrast to logical equality, the OCL standard defines an equality operation which we call "strict referential equality". It behaves differently for all types—on value types, it is basically a strict version of strong equality, for defined values it behaves identical. But on object types it will compare their references within the store. We introduce strict referential equality as an *overloaded* concept and will handle it for each type instance individually.

```
consts StrictRefEq :: [('\mathfrak{A},'a)val,('\mathfrak{A},'a)val] \Rightarrow ('\mathfrak{A})Boolean (infixl \doteq 30) with term "not" we can express the notation: syntax

notequal :: ('\mathfrak{A})Boolean \Rightarrow ('\mathfrak{A})Boolean \Rightarrow ('\mathfrak{A})Boolean \quad (infix <> 40) translations
a <> b == CONST\ OclNot(\ a \doteq b)
We will define instances of this equality in a case-by-case basis.
```

5.1.8. Laws to Establish Definedness (δ -closure)

For the logical connectives, we have — beyond $\tau \models P \Longrightarrow \tau \models \delta P$ — the following facts:

```
\begin{array}{l} \textbf{lemma} \ \textit{OclNot-defargs} \colon \\ \tau \models (\textit{not} \ P) \Longrightarrow \tau \models \delta \ P \\ \langle \textit{proof} \rangle \end{array}
```

```
lemma OclNot\text{-}contrapos\text{-}nn:
assumes A: \tau \models \delta A
assumes B: \tau \models not B
```

```
assumes C: \tau \models A \Longrightarrow \tau \models B
shows \tau \models not A
\langle proof \rangle
```

5.1.9. A Side-calculus for Constant Terms

```
definition const X \equiv \forall \ \tau \ \tau'. X \ \tau = X \ \tau'
lemma const-charn: const X \Longrightarrow X \tau = X \tau'
\langle proof \rangle
lemma const-subst:
assumes const-X: const X
     and const-Y: const Y
     and eq: X \tau = Y \tau
     and cp-P: cp P
     and pp: PY \tau = PY \tau'
   shows P X \tau = P X \tau'
\langle proof \rangle
lemma const-imply2:
assumes \wedge \tau \tau'. P \tau = P \tau' \Longrightarrow Q \tau = Q \tau'
\mathbf{shows} \ const \ P \Longrightarrow const \ Q
\langle proof \rangle
lemma const-imply3:
assumes \land \tau \tau'. P \tau = P \tau' \Longrightarrow Q \tau = Q \tau' \Longrightarrow R \tau = R \tau'
shows const P \Longrightarrow const Q \Longrightarrow const R
\langle proof \rangle
lemma const-imply4:
assumes \land \tau \tau'. P \tau = P \tau' \Longrightarrow Q \tau = Q \tau' \Longrightarrow R \tau = R \tau' \Longrightarrow S \tau = S \tau'
\mathbf{shows}\ const\ P \Longrightarrow const\ Q \Longrightarrow const\ R \Longrightarrow const\ S
\langle proof \rangle
lemma const-lam : const (<math>\lambda-. e)
\langle proof \rangle
lemma const-true[simp] : const true
\langle proof \rangle
lemma const-false[simp] : const false
\langle proof \rangle
lemma const-null[simp] : const null
\langle proof \rangle
```

```
lemma const-invalid [simp]: const invalid
\langle proof \rangle
lemma \ const-bot[simp] : const \ bot
\langle proof \rangle
lemma const-defined:
assumes const X
shows const (\delta X)
\langle proof \rangle
lemma const-valid :
assumes const X
shows const (v X)
\langle proof \rangle
\mathbf{lemma}\ \mathit{const-OclAnd}\ :
  assumes const X
 assumes const X'
 shows const(X and X')
\langle proof \rangle
\mathbf{lemma}\ const	ext{-}OclNot:
   assumes const X
    shows const (not X)
\langle proof \rangle
\mathbf{lemma}\ const\text{-}OclOr:
 assumes const X
 assumes const X'
 shows const(X or X')
\langle proof \rangle
\mathbf{lemma}\ \mathit{const-OclImplies}:
  assumes const X
  assumes const X'
 shows const (X implies X')
\langle proof \rangle
lemma const-StrongEq:
  \mathbf{assumes}\ const\ X
  assumes const X'
  shows const(X \triangleq X')
  \langle proof \rangle
```

```
{f lemma}\ const	ext{-}OclIf:
  assumes const B
      and const C1
      and const\ C2
    shows const (if B then C1 else C2 endif)
 \langle proof \rangle
lemma const-OclValid1:
\mathbf{assumes}\ const\ x
shows (\tau \models \delta x) = (\tau' \models \delta x)
 \langle proof \rangle
lemma const-OclValid2:
assumes const x
shows (\tau \models v \ x) = (\tau' \models v \ x)
 \langle proof \rangle
lemma const-HOL-if: const C \Longrightarrow const \ D \Longrightarrow const \ F \Longrightarrow const \ (\lambda \tau. \ if \ C \ \tau \ then \ D \ \tau \ else
F \tau
       \langle proof \rangle
lemma const-HOL-and: const C \Longrightarrow const \ D \Longrightarrow const \ (\lambda \tau. \ C \ \tau \land D \ \tau)
lemma const-HOL-eq : const C \Longrightarrow const \ D \Longrightarrow const \ (\lambda \tau. \ C \ \tau = D \ \tau)
      \langle proof \rangle
{f lemmas}\ const-ss=const-bot\ const-null\ \ const-invalid\ \ const-false\ \ const-true\ \ const-lam
                    const-defined const-valid const-StrongEq const-OclNot const-OclAnd
                    const-OclOr const-OclImplies const-OclIf
                    const\text{-}HOL\text{-}if\ const\text{-}HOL\text{-}and\ const\text{-}HOL\text{-}eq
   Miscellaneous: Overloading the syntax of "bottom"
notation bot (\bot)
end
```

theory UML-PropertyProfiles

imports UML-Logic

begin

5.2. Property Profiles for OCL Operators via Isabelle Locales

We use the Isabelle mechanism of a *Locale* to generate the common lemmas for each type and operator; Locales can be seen as a functor that takes a local theory and generates a number of theorems. In our case, we will instantiate later these locales by the local theory of an operator definition and obtain the common rules for strictness, definedness propagation, context-passingness and constance in a systematic way.

5.2.1. mono

```
locale profile-mono-scheme =
   fixes f :: (\mathfrak{A}, \alpha::null)val \Rightarrow (\mathfrak{A}, \beta::null)val
   fixes q
   assumes def-scheme: (f x) \equiv \lambda \tau. if (\delta x) \tau = true \tau then g(x \tau) else invalid \tau
locale profile-mono2 = profile-mono-scheme +
   assumes \bigwedge x. \ x \neq bot \Longrightarrow x \neq null \Longrightarrow g \ x \neq bot
begin
   lemma strict[simp,code-unfold]: finvalid = invalid
   \langle proof \rangle
   lemma null-strict[simp,code-unfold]: f null = invalid
   \langle proof \rangle
   lemma cp\theta: fX \tau = f(\lambda - X \tau) \tau
   \langle proof \rangle
   lemma cp[simp,code-unfold]: cp P \Longrightarrow cp (\lambda X. f (P X))
   lemma \ const[simp, code-unfold]:
          assumes C1:const\ X
          shows
                          const(f X)
      \langle proof \rangle
end
\label{locale} \textbf{profile-mono-scheme} \ +
   assumes def-body: \bigwedge x. \ x \neq bot \Longrightarrow x \neq null \Longrightarrow g \ x \neq bot \land g \ x \neq null
sublocale profile-mono\theta < profile-mono\theta
\langle proof \rangle
context profile-mono0
begin
   lemma def-homo[simp,code-unfold]: \delta(f x) = (\delta x)
   lemma def-valid-then-def: v(f x) = (\delta(f x))
   \langle proof \rangle
```

5.2.2. single

locale profile-single =

```
fixes d:: ('\mathfrak{A}, 'a::null)val \Rightarrow '\mathfrak{A} Boolean
   assumes d-strict[simp,code-unfold]: d invalid = false
   assumes d-cp0: d X \tau = d (\lambda - X \tau) \tau
   assumes d-const[simp, code-unfold]: const X \implies const (d X)
5.2.3. bin
definition bin' f g d_x d_y X Y =
                         (f X Y = (\lambda \tau. if (d_x X) \tau = true \tau \land (d_y Y) \tau = true \tau
                                          then g X Y \tau
                                          else invalid \tau ))
definition bin f g = bin' f (\lambda X Y \tau. g (X \tau) (Y \tau))
lemmas [simp,code-unfold] = bin'-def bin-def
locale profile-bin-scheme =
   fixes d_x:: (\mathfrak{A}, 'a::null)val \Rightarrow \mathfrak{A} Boolean
   fixes d_y:: (\mathfrak{A}, b::null)val \Rightarrow \mathfrak{A} Boolean
   fixes f:(\mathfrak{A}, a::null)val \Rightarrow (\mathfrak{A}, b::null)val \Rightarrow (\mathfrak{A}, c::null)val
   fixes g
   assumes d_x': profile-single d_x
   assumes d_y': profile-single d_y
   assumes d_x-d_y-homo[simp,code-unfold]: cp (fX) \Longrightarrow
                            cp (\lambda x. f x Y) \Longrightarrow
                            f X invalid = invalid \Longrightarrow
                            f invalid Y = invalid \Longrightarrow
                            (\neg (\tau \models d_x X) \lor \neg (\tau \models d_y Y)) \Longrightarrow
                            \tau \models (\delta \ f \ X \ Y \triangleq (d_x \ X \ and \ d_y \ Y))
   assumes def-scheme "[simplified]: bin f g d_x d_y X Y
   assumes 1: \tau \models d_x X \Longrightarrow \tau \models d_y Y \Longrightarrow \tau \models \delta f X Y
begin
      interpretation d_x: profile-single d_x \langle proof \rangle
      interpretation d_y: profile-single d_y \langle proof \rangle
      lemma strict1[simp,code-unfold]: finvalid y = invalid
      \langle proof \rangle
      lemma strict2[simp,code-unfold]: f x invalid = invalid
      \langle proof \rangle
      lemma cp\theta: fX\ Y\ \tau = f\ (\lambda - X\ \tau)\ (\lambda - Y\ \tau)\ \tau
      \langle proof \rangle
      lemma cp[simp,code-unfold]: cp P \Longrightarrow cp Q \Longrightarrow cp (\lambda X. f (P X) (Q X))
```

```
\langle proof \rangle
\mathbf{lemma} \ def-homo[simp,code-unfold] \colon \delta(f \ x \ y) = (d_x \ x \ and \ d_y \ y)
\langle proof \rangle
\mathbf{lemma} \ def-valid-then-def \colon v(f \ x \ y) = (\delta(f \ x \ y))
\langle proof \rangle
\mathbf{lemma} \ defined-args-valid \colon (\tau \models \delta \ (f \ x \ y)) = ((\tau \models d_x \ x) \land (\tau \models d_y \ y))
\langle proof \rangle
\mathbf{lemma} \ const[simp,code-unfold] \colon
\mathbf{assumes} \ C1 : const \ X \ \mathbf{and} \ C2 : const \ Y
\mathbf{shows} \quad const(f \ X \ Y)
\langle proof \rangle
\mathbf{end}
```

In our context, we will use Locales as "Property Profiles" for OCL operators; if an operator f is of profile profile-bin-scheme defined f g we know that it satisfies a number of properties like strict1 or strict2 i.e. f invalid y = invalid and f null y = invalid. Since some of the more advanced Locales come with 10 - 15 theorems, property profiles represent a major structuring mechanism for the OCL library.

```
locale profile-bin-scheme-defined =
   fixes d_y:: ('\mathfrak{A}, 'b::null)val \Rightarrow '\mathfrak{A} Boolean
   fixes f:(\mathfrak{A}, a::null)val \Rightarrow (\mathfrak{A}, b::null)val \Rightarrow (\mathfrak{A}, c::null)val
   fixes g
   assumes d_y: profile-single d_y
   assumes d_v-homo[simp,code-unfold]: cp(fX) \Longrightarrow
                             f \ X \ invalid = invalid \Longrightarrow

\neg \tau \models d_y Y \Longrightarrow 

\tau \models \delta f X Y \triangleq (\delta X and d_y Y)

   assumes def-scheme'[simplified]: bin f g defined d_y X Y
   assumes def-body': \bigwedge x \ y \ \tau. x \neq bot \implies x \neq null \implies (d_y \ y) \ \tau = true \ \tau \implies g \ x \ (y \ \tau) \neq bot
\land g \ x \ (y \ \tau) \neq null
begin
      lemma strict3[simp,code-unfold]: f null y = invalid
       \langle proof \rangle
end
sublocale profile-bin-scheme-defined < profile-bin-scheme defined
\langle proof \rangle
locale profile-bin1 =
   fixes f::(\mathfrak{A}, a::null)val \Rightarrow (\mathfrak{A}, b::null)val \Rightarrow (\mathfrak{A}, c::null)val
   assumes def-scheme[simplified]: bin f g defined defined X Y
   assumes def-body: \bigwedge x y. g x y \neq bot \land g x y \neq null
      lemma strict_4[simp,code-unfold]: f x null = invalid
```

```
\langle proof \rangle
end
sublocale profile-bin1 < profile-bin-scheme-defined defined
 \langle proof \rangle
locale profile-bin2 =
   fixes f::('\mathfrak{A},'a::null)val \Rightarrow ('\mathfrak{A},'b::null)val \Rightarrow ('\mathfrak{A},'c::null)val
   fixes g
   assumes def-scheme[simplified]: bin f g defined valid X Y
   assumes def-body: \bigwedge x \ y. \ x \neq bot \implies x \neq null \implies y \neq bot \implies g \ x \ y \neq bot \land g \ x \ y \neq null
sublocale profile-bin2 < profile-bin-scheme-defined valid
 \langle proof \rangle
locale profile-bin 3 =
   fixes f::(\mathfrak{A}, \alpha::null)val \Rightarrow (\mathfrak{A}, \alpha::null)val \Rightarrow (\mathfrak{A}) Boolean
   assumes def-scheme[simplified]: bin' f StrongEq valid valid X Y
sublocale profile-bin3 < profile-bin-scheme valid valid f \lambda x y. ||x = y||
 \langle proof \rangle
context profile-bin3
   begin
      lemma idem[simp,code-unfold]: f null null = true
      lemma defargs: \tau \models f \ x \ y \Longrightarrow (\tau \models v \ x) \land (\tau \models v \ y)
      lemma defined-args-valid': \delta (f x y) = (v x and v y)
       \langle proof \rangle
      lemma refl-ext[simp,code-unfold]: (f x x) = (if (v x) \text{ then true else invalid endif})
          \langle proof \rangle
      lemma sym : \tau \models (f x y) \Longrightarrow \tau \models (f y x)
          \langle proof \rangle
      lemma symmetric : (f x y) = (f y x)
          \langle proof \rangle
      lemma trans : \tau \models (f x y) \Longrightarrow \tau \models (f y z) \Longrightarrow \tau \models (f x z)
          \langle proof \rangle
      lemma StrictRefEq.vs-StrongEq: \tau \models (v \ x) \implies \tau \models (v \ y) \implies (\tau \models ((f \ x \ y) \triangleq (x \triangleq y)))
          \langle proof \rangle
```

```
locale profile-bin4 =
    fixes f :: ('\mathfrak{A}, '\alpha :: null) val \Rightarrow ('\mathfrak{A}, '\beta :: null) val \Rightarrow ('\mathfrak{A}, '\gamma :: null) val
    fixes g
    assumes def-scheme[simplified]: bin f g valid valid X Y
    assumes def-body: \bigwedge x y. x \neq bot \implies y \neq bot \implies g x y \neq bot \land g x y \neq null

sublocale profile-bin4 < profile-bin-scheme valid valid
\langle proof \rangle

end

theory UML-Boolean
imports ../UML-PropertyProfiles
begin
```

5.2.4. Fundamental Predicates on Basic Types: Strict (Referential) Equality

Here is a first instance of a definition of strict value equality—for the special case of the type \mathfrak{A} Boolean, it is just the strict extension of the logical equality:

```
defs StrictRefEq_{Boolean}[code-unfold]: (x::(\mathfrak{A})Boolean) \doteq y \equiv \lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau  then (x \triangleq y)\tau else invalid \tau
```

which implies elementary properties like:

```
lemma [simp,code-unfold]: (true \doteq false) = false \ \langle proof \rangle
lemma [simp,code-unfold]: (false \doteq true) = false \ \langle proof \rangle
lemma null-non-false \ [simp,code-unfold]: (null \doteq false) = false \ \langle proof \rangle
lemma null-non-true \ [simp,code-unfold]: (null \doteq true) = false \ \langle proof \rangle
lemma false-non-null \ [simp,code-unfold]: (false \doteq null) = false \ \langle proof \rangle
lemma false-non-null \ [simp,code-unfold]: (true \doteq null) = false \ \langle proof \rangle
```

With respect to strictness properties and miscelleaneous side-calculi, strict referential equality behaves on booleans as described in the *profile-bin3*:

```
interpretation StrictRefEq_{Boolean}: profile-bin3 \ \lambda \ x \ y. \ (x::('\mathfrak{A})Boolean) \doteq y \ \langle proof \rangle
```

In particular, it is strict, cp-preserving and const-preserving. In particular, it generates the simplifier rules for terms like:

```
lemma (invalid \doteq false) = invalid \langle proof \rangle
lemma (invalid \dot{=} true) = invalid \langle proof \rangle
lemma (false \dot{=} invalid) = invalid \langle proof \rangle
lemma (true \dot{=} invalid) = invalid \langle proof \rangle
lemma ((invalid::('\mathbb{A})Boolean) \dot{=} invalid) = invalid \langle proof \rangle
```

Thus, the weak equality is *not* reflexive.

5.2.5. Test Statements on Boolean Operations.

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on Boolean

```
Assert \tau \models v(true)

Assert \tau \models \delta(false)

Assert \neg(\tau \models \delta(invalid))

Assert \tau \models v((null::(^{\circ}\!\!\underline{\mathfrak{A}})Boolean))

Assert \tau \models v(invalid)

Assert \tau \models (true \ and \ true)

Assert \tau \models (true \ and \ true \triangleq true)

Assert \tau \models ((null \ or \ null) \triangleq null)

Assert \tau \models ((null \ or \ null) \doteq null)

Assert \tau \models ((true \triangleq false) \triangleq false)

Assert \tau \models ((invalid \triangleq false) \triangleq false)

Assert \tau \models ((invalid \triangleq false) \triangleq invalid)

Assert \tau \models (true <> false)

Assert \tau \models (true <> false)
```

end

```
theory UML-Void
imports ../ UML-PropertyProfiles
begin
```

5.3. Basic Type Void

This minimal OCL type contains only two elements: invalid and null. Void could initially be defined as unit option option, however the cardinal of this type is more than two, so it would have the cost to consider Some None and Some (Some ()) seemingly everywhere.

5.3.1. Fundamental Properties on Basic Types: Strict Equality

Definition

```
instantiation Void_{base} :: bot
begin
   definition bot\text{-}Void\text{-}def: (bot\text{-}class.bot :: Void_{base}) \equiv Abs\text{-}Void_{base} None
   instance \langle proof \rangle
end
instantiation Void_{base} :: null
begin
   definition null\text{-}Void\text{-}def: (null::Void_{base}) \equiv Abs\text{-}Void_{base} \mid None \mid
   instance \langle proof \rangle
end
```

The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the 'A Void-case as strict extension of the strong equality:

```
defs StrictRefEq<sub>Void</sub>[code-unfold]:

(x::({}^{\iota}\mathfrak{A})Void) \doteq y \equiv \lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau
then \ (x \triangleq y) \ \tau
else \ invalid \ \tau
```

Property proof in terms of profile-bin3

```
interpretation StrictRefEq<sub>V oid</sub> : profile-bin3 \lambda x y. (x::('\mathbb{A}) Void) \doteq y \langle proof \rangle
```

5.3.2. Test Statements

```
Assert \tau \models ((null :: ('\mathfrak{A}) Void) \doteq null)
```

end

```
\begin{array}{ll} \textbf{theory} & \textit{UML-Integer} \\ \textbf{imports} & ../\textit{UML-PropertyProfiles} \\ \textbf{begin} \end{array}
```

5.4. Basic Type Integer: Operations

5.4.1. Basic Integer Constants

Although the remaining part of this library reasons about integers abstractly, we provide here as example some convenient shortcuts.

```
definition OclInt0 ::('\mathbb{A})Integer (0)
                  \mathbf{0} = (\lambda - . | | \theta :: int | |)
where
definition OclInt1 :: ('\mathfrak{A})Integer (1)
where
               \mathbf{1} = (\lambda - . \lfloor \lfloor 1 :: int \mid |)
definition OclInt2 :: ('\mathfrak{A})Integer (2)
where
                  \mathbf{2} = (\lambda - . \lfloor \lfloor 2 :: int \rfloor \rfloor)
definition OclInt3 ::('\mathbb{A})Integer (3)
                  \mathbf{3} = (\lambda - . | | \beta :: int | |)
where
definition OclInt4 ::('\mathbb{A})Integer (4)
                  \mathbf{4} = (\lambda - . \lfloor \lfloor 4 :: int \rfloor \rfloor)
definition OclInt5 ::('\mathbb{A})Integer (5)
                  \mathbf{5} = (\lambda - . ||5::int||)
where
definition OclInt6 ::('\mathbb{A})Integer (6)
where
                  \mathbf{6} = (\lambda - . \lfloor \lfloor 6 :: int \rfloor \rfloor)
definition OclInt7 ::('\mathfrak{I})Integer (7)
where
                  \mathbf{7} = (\lambda - . \lfloor \lfloor 7 :: int \rfloor \rfloor)
definition OclInt8 ::('\mathfrak{I})Integer (8)
                 \mathbf{8} = (\lambda - . \lfloor \lfloor 8 :: int \rfloor \rfloor)
where
definition OclInt9 ::('\mathbb{A})Integer (9)
                  9 = (\lambda - . | | 9 :: int | |)
definition OclInt10 :: ('\mathfrak{A})Integer (10)
where
                  \mathbf{10} = (\lambda - . | | 10 :: int | |)
```

5.4.2. Validity and Definedness Properties

```
lemma \delta(null::(\mathfrak{A})Integer) = false \langle proof \rangle
lemma v(null::(\mathfrak{A})Integer) = true \langle proof \rangle
lemma [simp,code-unfold]: \delta(\lambda-. \lfloor \lfloor n \rfloor \rfloor) = true \langle proof \rangle
lemma [simp,code-unfold]: v(\lambda-. \lfloor \lfloor n \rfloor \rfloor) = true \langle proof \rangle
```

```
lemma [simp,code-unfold]: \delta 0 = true \langle proof \rangle lemma [simp,code-unfold]: v 0 = true \langle proof \rangle lemma [simp,code-unfold]: v 1 = true \langle proof \rangle lemma [simp,code-unfold]: v 1 = true \langle proof \rangle lemma [simp,code-unfold]: v 2 = true \langle proof \rangle lemma [simp,code-unfold]: v 2 = true \langle proof \rangle lemma [simp,code-unfold]: v 6 = true \langle proof \rangle lemma [simp,code-unfold]: v 6 = true \langle proof \rangle lemma [simp,code-unfold]: v 8 = true \langle proof \rangle lemma [simp,code-unfold]: v 8 = true \langle proof \rangle lemma [simp,code-unfold]: v 9 = true \langle proof \rangle lemma [simp,code-unfold]: v 9 = true \langle proof \rangle lemma [simp,code-unfold]: v 9 = true \langle proof \rangle
```

5.4.3. Arithmetical Operations

Definition

Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of the OCL Standard for Isabelle technical reasons; these operators are heavily overloaded in the HOL library that a further overloading would lead to heavy technical buzz in this document.

```
definition OclAdd_{Integer} :: (\mathfrak{A})Integer \Rightarrow (\mathfrak{A})Integer \Rightarrow (\mathfrak{A})Integer (infix +_{int} 40)
where x +_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                                then ||\lceil x \tau \rceil| + \lceil y \tau \rceil|||
                                else invalid \tau
interpretation OclAdd_{Integer}: profile-bin1 \ op +_{int} \lambda \ x \ y. ||\lceil \lceil x \rceil \rceil + \lceil \lceil y \rceil \rceil||
            \langle proof \rangle
definition OclMinus_{Integer} :: (\mathfrak{A})Integer \Rightarrow (\mathfrak{A})Integer \Rightarrow (\mathfrak{A})Integer (infix -_{int} 41)
where x -_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                                then ||\lceil \lceil x \tau \rceil \rceil - \lceil \lceil y \tau \rceil \rceil||
                                else invalid\tau
interpretation OclMinus_{Integer} : profile-bin1 \ op \ -_{int} \ \lambda \ x \ y. \ \lfloor \lfloor \lceil \lceil x \rceil \rceil - \lceil \lceil y \rceil \rfloor \rfloor \rfloor
            \langle proof \rangle
definition OclMult_{Integer} :: (\mathfrak{A})Integer \Rightarrow (\mathfrak{A})Integer \Rightarrow (\mathfrak{A})Integer (infix *_{int} 45)
where x *_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                                then \lfloor \lfloor \lceil \lceil x \ \tau \rceil \rceil * \lceil \lceil y \ \tau \rceil \rceil \rfloor \rfloor
                                else invalid \tau
interpretation OclMult_{Integer} : profile-bin1 \ op *_{int} \lambda \ x \ y. \ || [[x]] * [[y]] ||
    Here is the special case of division, which is defined as invalid for division by zero.
```

definition $OclDivision_{Integer} :: ({}^{\prime}\mathfrak{A})Integer \Rightarrow ({}^{\prime}\mathfrak{A})Integer \Rightarrow ({}^{\prime}\mathfrak{A})Integer$ (infix div_{int} 45)

```
where x \ div_{int} \ y \equiv \lambda \ \tau. if (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau
                                   then if y \tau \neq OclInt0 \tau then ||[[x \tau]]| div [[y \tau]]|| else invalid \tau
                                   else invalid \tau
\textbf{definition} \ \textit{OclModulus}_{Integer} :: ('\mathfrak{A}) Integer \Rightarrow ('\mathfrak{A}) Integer \Rightarrow ('\mathfrak{A}) Integer \ (\textbf{infix} \ mod_{int} \ \textit{45})
where x \ mod_{int} \ y \equiv \lambda \ \tau. if (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau
                                   then if y \tau \neq OclInt0 \tau then ||[[x \tau]] \mod [[y \tau]]|| else invalid \tau
                                   else invalid \tau
definition OclLess_{Integer} :: (\mathfrak{A})Integer \Rightarrow (\mathfrak{A})Integer \Rightarrow (\mathfrak{A})Boolean (infix <_{int} 35)
where x <_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                                   then \lfloor \lfloor \lceil \lceil x \ \tau \rceil \rceil < \lceil \lceil y \ \tau \rceil \rceil \rfloor \rfloor
                                   else invalid \tau
interpretation OclLess_{Integer} : profile-bin1 \ op <_{int} \lambda \ x \ y. \ \lfloor \lfloor \lceil \lceil x \rceil \rceil < \lceil \lceil y \rceil \rceil \rfloor \rfloor
             \langle proof \rangle
definition OclLe_{Integer} :: (\mathfrak{A})Integer \Rightarrow (\mathfrak{A})Integer \Rightarrow (\mathfrak{A})Boolean (infix <math>\leq_{int} 35)
where x \leq_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                                   then \ \lfloor \lfloor \lceil \lceil x \ \tau \rceil \rceil \leq \lceil \lceil y \ \tau \rceil \rceil \rfloor \rfloor
                                   else invalid \tau
interpretation OclLe_{Integer}: profile-bin1 \ op \leq_{int} \lambda \ x \ y. ||\lceil \lceil x \rceil \rceil \leq \lceil \lceil y \rceil \rceil||
             \langle proof \rangle
```

Basic Properties

lemma $OclAdd_{Integer}$ -commute: $(X +_{int} Y) = (Y +_{int} X)$ $\langle proof \rangle$

Execution with Invalid or Null or Zero as Argument

```
lemma OclAdd_{Integer}-zero1[simp,code-unfold]: (x +_{int} \mathbf{0}) = (if \ v \ x \ and \ not \ (\delta \ x) \ then \ invalid \ else \ x \ endif) \langle proof \rangle
lemma OclAdd_{Integer}-zero2[simp,code-unfold]: (\mathbf{0} +_{int} x) = (if \ v \ x \ and \ not \ (\delta \ x) \ then \ invalid \ else \ x \ endif) \langle proof \rangle
```

Test Statements

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to True.

```
Assert \tau \models (\mathbf{9} \leq_{int} \mathbf{10})

Assert \tau \models ((\mathbf{4} +_{int} \mathbf{4}) \leq_{int} \mathbf{10})

Assert \neg(\tau \models ((\mathbf{4} +_{int} (\mathbf{4} +_{int} \mathbf{4})) <_{int} \mathbf{10}))

Assert \tau \models not (v (null +_{int} \mathbf{1}))
```

```
Assert \tau \models (((\mathbf{9} *_{int} \mathbf{4}) \ div_{int} \ \mathbf{10}) \leq_{int} \mathbf{4})
Assert \tau \models not \ (\delta \ (\mathbf{1} \ div_{int} \ \mathbf{0}))
Assert \tau \models not \ (v \ (\mathbf{1} \ div_{int} \ \mathbf{0}))
```

5.4.4. Fundamental Predicates on Integers: Strict Equality

Definition

The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the \mathfrak{A} Boolean-case as strict extension of the strong equality:

```
defs StrictRefEq_{Integer}[code-unfold]:
      (x::({}^{\prime}\mathfrak{A})Integer) \doteq y \equiv \lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \wedge (v \ y) \ \tau = true \ \tau
                                       then (x \triangleq y) \tau
                                       else invalid \tau
   Property proof in terms of profile-bin3
interpretation StrictRefEq_{Integer}: profile-bin3 \ \lambda \ x \ y. \ (x::('\mathfrak{A})Integer) \doteq y
          \langle proof \rangle
lemma integer-non-null [simp]: ((\lambda - ||n||) \doteq (null::(\mathfrak{A})Integer)) = false
\langle proof \rangle
lemma null-non-integer [simp]: ((null::(\mathfrak{A})Integer) \doteq (\lambda - ||n||)) = false
\langle proof \rangle
lemma OclInt0-non-null [simp, code-unfold]: (\mathbf{0} \doteq null) = false \langle proof \rangle
lemma null-non-OclInt0 [simp,code-unfold]: (null \doteq \mathbf{0}) = false \langle proof \rangle
lemma OclInt1-non-null [simp,code-unfold]: (1 \doteq null) = false \langle proof \rangle
lemma null-non-OclInt1 [simp,code-unfold]: (null \doteq 1) = false \langle proof \rangle
lemma OclInt2-non-null [simp,code-unfold]: (2 = null) = false \langle proof \rangle
lemma null-non-OclInt2 [simp,code-unfold]: (null \doteq 2) = false \langle proof \rangle
lemma OclInt6-non-null [simp,code-unfold]: (\mathbf{6} \doteq null) = false \langle proof \rangle
lemma null-non-OclInt6 [simp,code-unfold]: (null \doteq \mathbf{6}) = false \langle proof \rangle
lemma OclInt8-non-null [simp,code-unfold]: (8 \doteq null) = false \langle proof \rangle
lemma null-non-OclInt8 [simp,code-unfold]: (null \doteq 8) = false \langle proof \rangle
lemma OclInt9-non-null [simp,code-unfold]: (\mathbf{9} \doteq null) = false \langle proof \rangle
lemma null-non-OclInt9 [simp,code-unfold]: (null \doteq 9) = false \langle proof \rangle
```

5.4.5. Test Statements on Basic Integer

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on Integer

```
Assert \tau \models ((\mathbf{0} <_{int} \mathbf{2}) \ and \ (\mathbf{0} <_{int} \mathbf{1}))
Assert \tau \models \mathbf{1} <> \mathbf{2}
```

```
Assert \tau \models \mathbf{2} <> \mathbf{1}
Assert \tau \models 2 \doteq 2
Assert \tau \models v \mathbf{4}
Assert \tau \models \delta \mathbf{4}
Assert \tau \models v \ (null::(\mathfrak{A})Integer)
Assert \tau \models (invalid \triangleq invalid)
Assert \tau \models (null \triangleq null)
Assert \tau \models (\mathbf{4} \triangleq \mathbf{4})
Assert \neg(\tau \models (\mathbf{9} \triangleq \mathbf{10}))
Assert \neg(\tau \models (invalid \triangleq \mathbf{10}))
Assert \neg(\tau \models (null \triangleq 10))
Assert \neg(\tau \models (invalid \doteq (invalid :: ('\mathfrak{A})Integer)))
Assert \neg(\tau \models \upsilon \ (invalid \doteq (invalid::(\mathfrak{A})Integer)))
Assert \neg(\tau \models (invalid <> (invalid::('\mathfrak{A})Integer)))
Assert \neg(\tau \models \upsilon \ (invalid <> (invalid::('\mathfrak{A})Integer)))
Assert \tau \models (null \doteq (null :: ('\mathfrak{A})Integer))
Assert \tau \models (null \doteq (null :: ('\mathfrak{A})Integer))
Assert \tau \models (\mathbf{4} \doteq \mathbf{4})
Assert \neg(\tau \models (\mathbf{4} <> \mathbf{4}))
Assert \neg(\tau \models (\mathbf{4} \doteq \mathbf{10}))
Assert \tau \models (4 \iff 10)
Assert \neg(\tau \models (\mathbf{0} <_{int} null))
Assert \neg(\tau \models (\delta \ (\mathbf{0} <_{int} null)))
```

end

```
theory UML-Real imports ../ UML-PropertyProfiles begin
```

5.5. Basic Type Real: Operations

5.5.1. Basic Real Constants

Although the remaining part of this library reasons about reals abstractly, we provide here as example some convenient shortcuts.

```
definition OclReal0 ::('\mathfrak{A})Real \ (0.0)
where 0.0 = (\lambda - . \lfloor \lfloor \theta :: real \rfloor \rfloor)
definition OclReal1 ::('\mathfrak{A})Real \ (1.0)
where 1.0 = (\lambda - . \lfloor \lfloor 1 :: real \rfloor \rfloor)
definition OclReal2 ::('\mathfrak{A})Real \ (2.0)
```

```
2.0 = (\lambda - . || 2 :: real ||)
where
definition OclReal3 ::('\mathfrak{I})Real (3.0)
where
                3.0 = (\lambda - . || 3::real ||)
definition OclReal4 ::('\mathfrak{A})Real (4.0)
where
                4.0 = (\lambda - . | | 4 :: real | |)
definition OclReal5 :: ('\mathfrak{A})Real (5.0)
                \mathbf{5.0} = (\lambda - . \lfloor \lfloor 5 :: real \rfloor)
where
definition OclReal6 :: ('\mathfrak{A})Real (6.0)
                6.0 = (\lambda - . | |6::real|)
definition OclReal7 ::('\mathfrak{I})Real (7.0)
                7.0 = (\lambda - . | | 7 :: real | |)
where
definition OclReal8 :: ('\mathfrak{A})Real (8.0)
where
                8.0 = (\lambda - . \lfloor \lfloor 8 :: real \rfloor)
definition OclReal9 ::('\mathfrak{A})Real (9.0)
where
                9.0 = (\lambda - \lfloor \lfloor 9 :: real \rfloor)
definition OclReal10 ::('\mathfrak{A})Real (\mathbf{10.0})
where
                10.0 = (\lambda - . || 10 :: real ||)
definition OclRealpi :: ('\mathfrak{A})Real (\pi)
                \pi = (\lambda - . ||pi||)
```

5.5.2. Validity and Definedness Properties

```
lemma \delta(null::(\mathfrak{A})Real) = false \langle proof \rangle lemma v(null::(\mathfrak{A})Real) = true \langle proof \rangle lemma [simp,code-unfold]: \delta (\lambda-. \lfloor \lfloor n \rfloor \rfloor) = true \langle proof \rangle lemma [simp,code-unfold]: v (\lambda-. \lfloor \lfloor n \rfloor \rfloor) = true \langle proof \rangle lemma [simp,code-unfold]: v (\lambda-. \lfloor \lfloor n \rfloor \rfloor) = true \langle proof \rangle lemma [simp,code-unfold]: v (0.0) = true \langle proof \rangle lemma [simp,code-unfold]: v (0.0) = true \langle proof \rangle lemma [simp,code-unfold]: v (0.0) = true \langle proof \rangle lemma [simp,code-unfold]: v (0.0) = true \langle proof \rangle lemma [simp,code-unfold]: v (0.0) = true \langle proof \rangle lemma [simp,code-unfold]: v (0.0) = true \langle proof \rangle lemma [simp,code-unfold]: v (0.0) = true \langle proof \rangle lemma [simp,code-unfold]: v (0.0) = true \langle proof \rangle lemma [simp,code-unfold]: v (0.0) = true \langle proof \rangle lemma [simp,code-unfold]: v (0.0) = true \langle proof \rangle
```

```
lemma [simp,code\text{-}unfold]: \delta 8.0 = true \langle proof \rangle lemma [simp,code\text{-}unfold]: v 8.0 = true \langle proof \rangle lemma [simp,code\text{-}unfold]: \delta 9.0 = true \langle proof \rangle lemma [simp,code\text{-}unfold]: v 9.0 = true \langle proof \rangle
```

5.5.3. Arithmetical Operations

Definition

Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of the OCL Standard for Isabelle technical reasons; these operators are heavily overloaded in the HOL library that a further overloading would lead to heavy technical buzz in this document.

```
definition OclAdd_{Real} :: ({}^{\prime}\mathfrak{A})Real \Rightarrow ({}^{\prime}\mathfrak{A})Real \Rightarrow ({}^{\prime}\mathfrak{A})Real \text{ (infix } +_{real } 40)
where x +_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                                 then \lfloor \lfloor \lceil \lceil x \ \tau \rceil \rceil + \lceil \lceil y \ \tau \rceil \rceil \rfloor \rfloor
                                 else invalid \tau
interpretation OclAdd_{Real}: profile-bin1 \ op +_{real} \lambda \ x \ y. ||[[x]] + [[y]]||
             \langle proof \rangle
definition OclMinus_{Real} :: (\mathfrak{A})Real \Rightarrow (\mathfrak{A})Real \Rightarrow (\mathfrak{A})Real (infix -_{real} 41)
where x -_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                                 then \lfloor \lfloor \lceil \lceil x \ \tau \rceil \rceil - \lceil \lceil y \ \tau \rceil \rceil \rfloor \rfloor
                                 else invalid \tau
interpretation OclMinus_{Real}: profile-bin1 op -_{real} \lambda x y. ||[[x]] - [[y]]||
            \langle proof \rangle
definition OclMult_{Real} :: (\mathfrak{A})Real \Rightarrow (\mathfrak{A})Real \Rightarrow (\mathfrak{A})Real (infix *_{real} 45)
where x *_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                                 then \lfloor \lfloor \lceil \lceil x \ \tau \rceil \rceil * \lceil \lceil y \ \tau \rceil \rceil \rfloor \rfloor
                                 else invalid \tau
interpretation OclMult_{Real} : profile-bin1 \ op *_{real} \lambda \ x \ y. \ \lfloor \lfloor \lceil \lceil x \rceil \rceil * \lceil \lceil y \rceil \rfloor \rfloor \rfloor
   Here is the special case of division, which is defined as invalid for division by zero.
definition OclDivision_{Real} :: (\mathfrak{A})Real \Rightarrow (\mathfrak{A})Real \Rightarrow (\mathfrak{A})Real (infix div_{real} 45)
where x \ div_{real} \ y \equiv \lambda \ \tau. if (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau
                                 then if y \tau \neq OclReal0 \tau then ||[[x \tau]] / [[y \tau]]|| else invalid \tau
                                 else invalid \tau
definition mod-float a b = a - real (floor (a / b)) * b
definition OclModulus_{Real} :: ({}^{\prime}\mathfrak{A})Real \Rightarrow ({}^{\prime}\mathfrak{A})Real \Rightarrow ({}^{\prime}\mathfrak{A})Real (infix mod_{real} 45)
where x \ mod_{real} \ y \equiv \lambda \ \tau. if (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau
                                 then if y \tau \neq OclReal0 \tau then ||mod-float \lceil \lceil x \tau \rceil \rceil \lceil \lceil y \tau \rceil \rceil|| else invalid \tau
```

```
definition OclLess_{Real} :: (^{\mathfrak{A}})Real \Rightarrow (^{\mathfrak{A}})Real \Rightarrow (^{\mathfrak{A}})Boolean \text{ (infix } <_{real} 35)
where x <_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
then \left\lfloor \lfloor \lceil x \tau \rceil \rceil < \lceil y \tau \rceil \rceil \rfloor \right\rfloor
else invalid \tau
interpretation OclLess_{Real} : profile-bin1 \ op <_{real} \lambda x y . \left\lfloor \lfloor \lceil x \rceil \rceil < \lceil y \rceil \rceil \rfloor \rfloor
\langle proof \rangle
definition OclLe_{Real} :: (^{\mathfrak{A}})Real \Rightarrow (^{\mathfrak{A}})Real \Rightarrow (^{\mathfrak{A}})Boolean \text{ (infix } \leq_{real} 35)
where x \leq_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
then \left\lfloor \lfloor \lceil x \tau \rceil \rceil \leq \lceil y \tau \rceil \rceil \rfloor \rfloor
else invalid \tau
interpretation OclLe_{Real} : profile-bin1 \ op \leq_{real} \lambda x y . \left\lfloor \lfloor \lceil x \rceil \rceil \leq \lceil y \rceil \rceil \rfloor \rfloor
\langle proof \rangle
```

Basic Properties

```
lemma OclAdd_{Real}-commute: (X +_{real} Y) = (Y +_{real} X)  \langle proof \rangle
```

Execution with Invalid or Null or Zero as Argument

```
lemma OclAdd_{Real}-zero1[simp,code-unfold]: (x +_{real} \mathbf{0.0}) = (if \ v \ x \ and \ not \ (\delta \ x) \ then \ invalid \ else \ x \ endif) \langle proof \rangle
lemma OclAdd_{Real}-zero2[simp,code-unfold]: (\mathbf{0.0} +_{real} x) = (if \ v \ x \ and \ not \ (\delta \ x) \ then \ invalid \ else \ x \ endif) \langle proof \rangle
```

Test Statements

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

```
\begin{array}{lll} \textbf{Assert} & \tau \models (\ 9.0 \leq_{real} \ 10.0\ ) \\ \textbf{Assert} & \tau \models ((\ 4.0 +_{real} \ 4.0\ ) \leq_{real} \ 10.0\ ) \\ \textbf{Assert} & \neg (\tau \models ((\ 4.0 +_{real} \ (\ 4.0 +_{real} \ 4.0\ )) <_{real} \ 10.0\ )) \\ \textbf{Assert} & \tau \models not\ (\upsilon\ (null +_{real} \ 1.0)) \\ \textbf{Assert} & \tau \models (((9.0 *_{real} \ 4.0)\ div_{real} \ 10.0) \leq_{real} \ 4.0) \\ \textbf{Assert} & \tau \models not\ (\delta\ (1.0\ div_{real} \ 0.0)) \\ \textbf{Assert} & \tau \models not\ (\upsilon\ (1.0\ div_{real} \ 0.0)) \end{array}
```

5.5.4. Fundamental Predicates on Reals: Strict Equality

Definition

The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the A Boolean-case as strict extension of the strong equality:

```
defs StrictRefEq_{Real} [code-unfold]:
      (x::(\mathfrak{A})Real) \doteq y \equiv \lambda \tau. \text{ if } (v x) \tau = true \tau \wedge (v y) \tau = true \tau
                                      then (x \triangleq y) \tau
                                      else invalid \tau
  Property proof in terms of profile-bin3
interpretation StrictRefEq_{Real} : profile-bin3 \ \lambda \ x \ y. \ (x::('\mathfrak{A})Real) \doteq y
         \langle proof \rangle
lemma real-non-null [simp]: ((\lambda -. ||n||) \doteq (null::(\mathfrak{A})Real)) = false
\langle proof \rangle
lemma null-non-real [simp]: ((null::(\mathfrak{A})Real) \doteq (\lambda -. ||n||)) = false
\langle proof \rangle
lemma OclReal0-non-null [simp,code-unfold]: (\mathbf{0.0} \doteq null) = false \langle proof \rangle
lemma null-non-OclReal0 [simp,code-unfold]: (null \doteq 0.0) = false \langle proof \rangle
lemma OclReal1-non-null [simp,code-unfold]: (\mathbf{1.0} \doteq null) = false \langle proof \rangle
lemma null-non-OclReal1 [simp,code-unfold]: (null \doteq 1.0) = false \langle proof \rangle
lemma OclReal2-non-null [simp,code-unfold]: (\mathbf{2.0} \doteq null) = false \langle proof \rangle
lemma null-non-OclReal2 [simp,code-unfold]: (null \doteq 2.0) = false \langle proof \rangle
lemma OclReal6-non-null [simp,code-unfold]: (6.0 \pm null) = false \langle proof \rangle
lemma null-non-OclReal6 [simp, code-unfold]: (null \doteq 6.0) = false \langle proof \rangle
lemma OclReal8-non-null [simp,code-unfold]: (8.0 = null) = false \langle proof \rangle
lemma null-non-OclReal8 [simp,code-unfold]: (null \doteq 8.0) = false \langle proof \rangle
lemma OclReal9-non-null [simp,code-unfold]: (9.0 \doteq null) = false \langle proof \rangle
lemma null-non-OclReal9 [simp,code-unfold]: (null \doteq 9.0) = false \langle proof \rangle
Const
```

```
lemma [simp,code-unfold]: const(\mathbf{0.0}) \langle proof \rangle
lemma [simp,code-unfold]: const(1.0) \langle proof \rangle
lemma [simp,code-unfold]: const(\mathbf{2.0}) \langle proof \rangle
\mathbf{lemma} \; [simp, code-unfold] \colon \; const(\mathbf{6.0}) \; \langle proof \rangle
lemma [simp,code-unfold]: const(8.0) \langle proof \rangle
lemma [simp,code-unfold]: const(9.0) \langle proof \rangle
```

5.5.5. Test Statements on Basic Real

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to True.

Elementary computations on Real

```
Assert \tau \models 1.0 <> 2.0
Assert \tau \models 2.0 <> 1.0
Assert \tau \models 2.0 \doteq 2.0
Assert \tau \models v \ 4.0
Assert \tau \models \delta 4.0
Assert \tau \models \upsilon \; (null::(\mathfrak{A})Real)
Assert \tau \models (invalid \triangleq invalid)
Assert \tau \models (null \triangleq null)
Assert \tau \models (4.0 \triangleq 4.0)
Assert \neg(\tau \models (9.0 \triangleq 10.0))
Assert \neg(\tau \models (invalid \triangleq \mathbf{10.0}))
Assert \neg(\tau \models (null \triangleq 10.0))
Assert \neg(\tau \models (invalid \doteq (invalid::('\mathfrak{A})Real)))
Assert \neg(\tau \models \upsilon \ (invalid \doteq (invalid::('\mathfrak{A})Real)))
Assert \neg(\tau \models (invalid <> (invalid::('\mathfrak{A})Real)))
Assert \neg(\tau \models v \ (invalid <> (invalid::('\mathfrak{A})Real)))
Assert \tau \models (null \doteq (null :: ('\mathfrak{A})Real))
Assert \tau \models (null \doteq (null :: ('\mathfrak{A})Real))
Assert \tau \models (4.0 \doteq 4.0)
Assert \neg(\tau \models (4.0 <> 4.0))
Assert \neg(\tau \models (4.0 \doteq 10.0))
Assert \tau \models (4.0 <> 10.0)
Assert \neg(\tau \models (0.0 <_{real} null))
Assert \neg(\tau \models (\delta \ (\mathbf{0.0} <_{real} null)))
```

 \mathbf{end}

```
theory UML-String imports ../ UML-PropertyProfiles begin
```

5.6. Basic Type String: Operations

5.6.1. Basic String Constants

Although the remaining part of this library reasons about integers abstractly, we provide here as example some convenient shortcuts.

```
definition OclStringa ::(\mathfrak{A})String (a) where a = (\lambda - . \lfloor \lfloor ''a'' \rfloor \rfloor) definition OclStringb ::(\mathfrak{A})String (b) where b = (\lambda - . \lfloor \lfloor ''b'' \rfloor \rfloor)
```

```
definition OclStringc ::({}^{\prime}\mathfrak{A})String (c) where c = (\lambda - . | | {}^{\prime\prime}c^{\prime\prime}|)
```

5.6.2. Validity and Definedness Properties

```
lemma \delta(null::(\mathfrak{A})String) = false \langle proof \rangle

lemma v(null::(\mathfrak{A})String) = true \langle proof \rangle

lemma [simp,code-unfold]: \delta(\lambda-. \lfloor \lfloor n \rfloor \rfloor) = true \langle proof \rangle

lemma [simp,code-unfold]: v(\lambda-. \lfloor \lfloor n \rfloor \rfloor) = true \langle proof \rangle

lemma [simp,code-unfold]: \delta a = true \langle proof \rangle

lemma [simp,code-unfold]: v a = true \langle proof \rangle
```

5.6.3. String Operations

Definition

Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of the OCL Standard for Isabelle technical reasons; these operators are heavily overloaded in the HOL library that a further overloading would lead to heavy technical buzz in this document.

```
definition OclAdd_{String} :: (\mathfrak{A})String \Rightarrow (\mathfrak{A})String \Rightarrow (\mathfrak{A})String \text{ (infix } +_{string} 40)
where x +_{string} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
then \lfloor \lfloor concat \lceil \lceil \lceil x \tau \rceil \rceil, \lceil \lceil y \tau \rceil \rceil \rfloor \rfloor \rfloor
else invalid \tau
interpretation OclAdd_{String} : profile-bin1 \ op +_{string} \lambda x y. \lfloor \lfloor concat \lceil \lceil x \rceil \rceil, \lceil \lceil y \rceil \rceil \rfloor \rfloor \rfloor
\langle proof \rangle
```

Basic Properties

```
lemma OclAdd_{String}-not-commute: \exists X \ Y. \ (X +_{string} Y) \neq (Y +_{string} X) \land proof \rangle
```

Test Statements

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

5.6.4. Fundamental Properties on Strings: Strict Equality

Definition

The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the 'A Boolean-case as strict extension of the strong equality:

```
defs StrictRefEq_{String}[code-unfold]:
(x::(\mathfrak{A})String) \doteq y \equiv \lambda \tau. \text{ if } (v \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau
then \ (x \triangleq y) \ \tau
else invalid \ \tau

Property proof in terms of profile-bin3
interpretation StrictRefEq_{String}: profile-bin3 \ \lambda \ x \ y. \ (x::(\mathfrak{A})String) \doteq y
\langle proof \rangle
```

5.6.5. Test Statements on Basic String

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on String

```
Assert \tau \models a \iff b
Assert \tau \models b \iff a
Assert \tau \models b \doteq b
Assert \tau \models v a
Assert \tau \models \delta a
Assert \tau \models v \ (null :: ('\mathfrak{A}) String)
Assert \tau \models (invalid \triangleq invalid)
Assert \tau \models (null \triangleq null)
Assert \tau \models (a \triangleq a)
Assert \neg(\tau \models (a \triangleq b))
Assert \neg(\tau \models (invalid \triangleq b))
Assert \neg(\tau \models (null \triangleq b))
Assert \neg(\tau \models (invalid \doteq (invalid::('\mathfrak{A})String)))
Assert \neg(\tau \models v \ (invalid \doteq (invalid::('\mathfrak{A})String)))
Assert \neg(\tau \models (invalid <> (invalid::('\mathfrak{A})String)))
Assert \neg(\tau \models v \ (invalid <> (invalid::('\mathfrak{A})String)))
Assert \tau \models (null \doteq (null::('\mathfrak{A})String))
Assert \tau \models (null \doteq (null :: (\mathfrak{A})String))
Assert \tau \models (b \doteq b)
Assert \neg(\tau \models (b \iff b))
Assert \neg(\tau \models (b \doteq c))
Assert \tau \models (b \iff c)
```

end

```
\begin{array}{ccc} \textbf{theory} & \textit{UML-Pair} \\ \textbf{imports} & ../\textit{basic-types} / \textit{UML-Boolean} \\ & ../\textit{basic-types} / \textit{UML-Integer} \\ \textbf{begin} \end{array}
```

5.7. Collection Type Pairs: Operations

The OCL standard provides the concept of *Tuples*, i.e. a family of record-types with projection functions. In FeatherWeight OCL, only the theory of a special case is developed, namely the type of Pairs, which is, however, sufficient for all applications since it can be used to mimick all tuples. In particular, it can be used to express operations with multiple arguments, roles of n-ary associations, ...

5.7.1. Semantic Properties of the Type Constructor

```
lemma A[simp]:Rep-Pair_{base} \ x \neq None \implies Rep-Pair_{base} \ x \neq null \implies (fst \lceil Rep-Pair_{base} \ x \rceil) \neq bot \ \langle proof \rangle
lemma A'[simp]: x \neq bot \implies x \neq null \implies (fst \lceil Rep-Pair_{base} \ x \rceil) \neq bot \ \langle proof \rangle
lemma B[simp]:Rep-Pair_{base} \ x \neq None \implies Rep-Pair_{base} \ x \neq null \implies (snd \lceil Rep-Pair_{base} \ x \rceil) \neq bot \ \langle proof \rangle
lemma B'[simp]: x \neq bot \implies x \neq null \implies (snd \lceil Rep-Pair_{base} \ x \rceil) \neq bot \ \langle proof \rangle
```

5.7.2. Strict Equality

Definition

After the part of foundational operations on sets, we detail here equality on sets. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

```
defs StrictRefEq_{Pair}: ((x::('\mathfrak{A},'\alpha::null,'\beta::null)Pair) \doteq y) \equiv (\lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \land (v \ y) \ \tau \rightarrow (v \ v) \ \tau \rightarrow (v \ v) \ \tau \rightarrow (v \ v) \ \tau \rightarrow (
```

Property proof in terms of profile-bin3

interpretation $StrictRefEq_{Pair}: profile-bin3 \ \lambda \ x \ y. \ (x::('\mathfrak{A},'\alpha::null,'\beta::null)Pair) \doteq y \ \langle proof \rangle$

5.7.3. Standard Operations

This part provides a collection of operators for the Pair type.

Definition: OclPair Constructor

```
definition OclPair::({}^{\prime}\mathfrak{A}, {}^{\prime}\alpha) \ val \Rightarrow ({}^{\prime}\mathfrak{A}, {}^{\prime}\beta) \ val \Rightarrow ({}^{\prime}\mathfrak{A}, {}^{\prime}\alpha) \ val \Rightarrow ({}^{\prime}\mathfrak{A}, {}^{\prime}\alpha::null, {}^{\prime}\beta::null) \ Pair \ (Pair\{(-),(-)\}) where Pair\{X,Y\} \equiv (\lambda \ \tau. \ if \ (v \ X) \ \tau = true \ \tau \wedge (v \ Y) \ \tau = true \ \tau + then \ Abs-Pair_{base} \ \lfloor \lfloor (X \ \tau, \ Y \ \tau) \rfloor \rfloor + else \ invalid \ \tau)
```

$$\begin{array}{c} \textbf{interpretation} \ \ OclPair : profile-bin4 \\ OclPair \ \lambda \ x \ y. \ Abs-Pair_{base} \ \lfloor \lfloor (x, \ y) \rfloor \rfloor \\ \langle proof \rangle \end{array}$$

Definition: OclFst

```
definition OclFirst:: ('\mathbb{A},'\alpha::null,'\beta::null) Pair \Rightarrow ('\mathbb{A}, '\alpha) \ val \ ( - .First'(')) where X .First() \equiv (\lambda \ \tau . \ if \ (\delta \ X) \ \tau = true \ \tau then fst \ \lceil \lceil Rep-Pair_{base} \ (X \ \tau) \rceil \rceil else invalid \tau)
```

interpretation OclFirst : profile-mono2 OclFirst λx . fst $\lceil \lceil Rep-Pair_{base}(x) \rceil \rceil \langle proof \rangle$

Definition: OclSnd

```
definition OclSecond:: ({}^{\backprime}\mathfrak{A}, {}^{\prime}\alpha::null, {}^{\backprime}\beta::null) \ Pair \Rightarrow ({}^{\backprime}\mathfrak{A}, {}^{\backprime}\beta) \ val \ (-.Second'({}^{\backprime})) where X .Second() \equiv (\lambda \ \tau . \ if \ (\delta \ X) \ \tau = true \ \tau
then \ snd \ \lceil \lceil Rep-Pair_{base} \ (X \ \tau) \rceil \rceil
else \ invalid \ \tau)
```

interpretation $OclSecond: profile-mono2\ OclSecond\ \lambda x.\ snd\ \lceil\lceil Rep-Pair_{base}\ (x)\rceil\rceil$ $\langle proof \rangle$

5.7.4. Logical Properties

```
\begin{array}{l} \textbf{lemma} \ 1: \tau \models v \ Y \Longrightarrow \tau \models Pair\{X,Y\} \ .First() \triangleq X \\ \langle proof \rangle \\ \\ \textbf{lemma} \ 2: \tau \models v \ X \Longrightarrow \tau \models Pair\{X,Y\} \ .Second() \triangleq Y \\ \langle proof \rangle \end{array}
```

5.7.5. Execution Properties

lemma proj1-exec $[simp, code-unfold] : Pair{X,Y} .First() = (if (v Y) then X else invalid endif)$

```
\langle proof \rangle

lemma proj2\text{-}exec [simp, code\text{-}unfold]: Pair{X,Y} .Second() = (if (v X) then Y else invalid endif)

<math>\langle proof \rangle
```

5.7.6. Test Statements

```
Assert \tau \models invalid .First() \triangleq invalid

Assert \tau \models null .First() \triangleq invalid

Assert \tau \models null .Second() \triangleq invalid .Second()

Assert \tau \models Pair\{invalid, true\} \triangleq invalid

Assert \tau \models v(Pair\{null, true\}.First())

Assert \tau \models (Pair\{null, true\}).First() \triangleq null

Assert \tau \models (Pair\{null, Pair\{true, invalid\}\}).First() \triangleq invalid

end
```

```
theory UML-Set
imports ../basic-types/UML-Boolean
../basic-types/UML-Integer
begin
no-notation None (\(\perp\))
```

5.8. Collection Type Set: Operations

5.8.1. As a Motivation for the (infinite) Type Construction: Type-Extensions as Sets

Our notion of typed set goes beyond the usual notion of a finite executable set and is powerful enough to capture the extension of a type in UML and OCL. This means we can have in Featherweight OCL Sets containing all possible elements of a type, not only those (finite) ones representable in a state. This holds for base types as well as class types, although the notion for class-types — involving object id's not occuring in a state — requires some care.

In a world with *invalid* and *null*, there are two notions extensions possible:

- 1. the set of all defined values of a type T (for which we will introduce the constant T)
- 2. the set of all valid values of a type T, so including null (for which we will introduce the constant T_{null}).

We define the set extensions for the base type *Integer* as follows:

```
definition Integer :: ('\mathbb{A}\), Integer base) Set where Integer \equiv (\lambda \ \tau. \ (Abs\text{-}Set_{base} \ o \ Some \ o \ Some) \ ((Some \ o \ Some) \ `(UNIV::int \ set))) definition Integer _{null} :: ('\mathbb{A}\), Integer base) Set where Integer _{null} := (\lambda \ \tau. \ (Abs\text{-}Set_{base} \ o \ Some \ o \ Some) \ (Some \ `(UNIV::int \ option \ set))) lemma Integer defined: \delta \ Integer = true \ \langle proof \rangle

lemma Integer _{null} -defined: \delta \ Integer = true \ \langle proof \rangle

This allows the theorems:

\tau \models \delta \ x \implies \tau \models (Integer -> includes(x)) \ \tau \models \delta \ x \implies \tau \models Integer \triangleq (Integer -> including(x)) \ and \ \tau \models v \ x \implies \tau \models (Integer \ null -> includes(x)) \ \tau \models v \ x \implies \tau \models Integer \ null \triangleq (Integer \ null -> including(x)) \ which characterize the infiniteness of these sets by a recursive property on these sets.
```

5.8.2. Validity and Definedness Properties

Every element in a defined set is valid.

```
lemma Set-inv-lemma: \tau \models (\delta X) \Longrightarrow \forall x \in \lceil \lceil Rep\text{-Set}_{base}(X \tau) \rceil \rceil. x \neq bot
\langle proof \rangle
lemma Set-inv-lemma':
 assumes x-def : \tau \models \delta X
      and e-mem : e \in \lceil \lceil Rep\text{-}Set_{base} \ (X \ \tau) \rceil \rceil
   shows \tau \models \upsilon \ (\lambda - e)
 \langle proof \rangle
lemma abs-rep-simp':
 assumes S-all-def : \tau \models \delta S
   shows Abs\text{-}Set_{base} \left[ \left[ \left[ \left[ Rep\text{-}Set_{base} \left( S \ \tau \right) \right] \right] \right] \right] = S \ \tau
\langle proof \rangle
lemma S-lift':
 assumes S-all-def : (\tau :: \mathfrak{A} st) \models \delta S
   shows \exists S'. (\lambda a (-::'\mathfrak{A} st). a) ' [[Rep\text{-}Set_{base} (S \tau)]] = (\lambda a (-::'\mathfrak{A} st). |a|) ' S'
   \langle proof \rangle
lemma invalid-set-OclNot-defined [simp,code-unfold]:\delta(invalid::('\(\frac{\pi}{\pi}\),'\(\alpha::null\) Set) = false
\langle proof \rangle
lemma null-set-OclNot-defined [simp,code-unfold]:\delta(null::(\mathfrak{A}, \alpha::null) Set) = false
lemma invalid-set-valid [simp,code-unfold]:v(invalid::('\mathfrak{A},'\alpha::null) Set) = false
\langle proof \rangle
```

```
lemma null-set-valid [simp, code-unfold]:v(null::('\mathfrak{A},'\alpha::null) Set) = true \langle proof \rangle
```

... which means that we can have a type (${}^{\prime}\mathfrak{A},({}^{\prime}\mathfrak{A})$ Integer) Set) Set corresponding exactly to Set(Set(Integer)) in OCL notation. Note that the parameter ${}^{\prime}\mathfrak{A}$ still refers to the object universe; making the OCL semantics entirely parametric in the object universe makes it possible to study (and prove) its properties independently from a concrete class diagram.

5.8.3. Constants on Sets

```
definition mtSet::(\mathfrak{A}, '\alpha::null) \ Set \ (Set\{\}) where Set\{\} \equiv (\lambda \ \tau. \ Abs-Set_{base} \ \lfloor \lfloor \{\}::'\alpha \ set \rfloor \rfloor \ ) lemma mtSet-defined [simp,code-unfold]:\delta(Set\{\}) = true \ \langle proof \rangle lemma mtSet-valid [simp,code-unfold]:v(Set\{\}) = true \ \langle proof \rangle lemma mtSet-rep-set: \lceil \lceil Rep-Set_{base} \ (Set\{\} \ \tau) \rceil \rceil = \{\} \ \langle proof \rangle lemma [simp,code-unfold]: const \ Set\{\} \ \langle proof \rangle
```

Note that the collection types in OCL allow for null to be included; however, there is the null-collection into which inclusion yields invalid.

5.8.4. Operations

This part provides a collection of operators for the Set type.

Definition: OclIncluding

```
definition OclIncluding :: [(\mathfrak{A},'\alpha::null) \ Set, (\mathfrak{A},'\alpha) \ val] \Rightarrow (\mathfrak{A},'\alpha) \ Set where OclIncluding \ x \ y = (\lambda \ \tau. \ if \ (\delta \ x) \ \tau = true \ \tau \ \wedge (v \ y) \ \tau = true \ \tau then Abs\text{-}Set_{base} \ [\lfloor \lceil \lceil Rep\text{-}Set_{base} \ (x \ \tau) \rceil \rceil \ \cup \ \{y \ \tau\} \ ]\rfloor else invalid \ \tau ) notation OclIncluding \ (-->including'(-')) interpretation OclIncluding : profile\text{-}bin2 \ OclIncluding \ \lambda x \ y. \ Abs\text{-}Set_{base} \ [\lfloor \lceil \lceil Rep\text{-}Set_{base} \ x \rceil \rceil \ \cup \ \{y\} \rfloor \rfloor \langle proof \rangle syntax OclFinset :: args => (\mathfrak{A},'a::null) \ Set \ (Set\{(-)\})
```

translations

```
Set\{x, xs\} == CONST \ OclIncluding \ (Set\{xs\}) \ x
Set\{x\} == CONST \ OclIncluding \ (Set\{\}) \ x
```

Definition: OclExcluding

```
 \begin{array}{ll} \textbf{definition} \ \ \textit{OclExcluding} & :: \left[ (\c^t \mathfrak{A}, \c' \alpha : null) \ \textit{Set}, \c' \mathfrak{A}, \c' \alpha) \ \textit{val} \right] \Rightarrow (\c^t \mathfrak{A}, \c' \alpha) \ \textit{Set} \\ \textbf{where} & \textit{OclExcluding} \ \textit{x} \ \textit{y} = (\lambda \ \tau. \ \textit{if} \ (\delta \ \textit{x}) \ \tau = \textit{true} \ \tau \land (\upsilon \ \textit{y}) \ \tau = \textit{true} \ \tau \\ & \textit{then} \ \textit{Abs-Set}_{base} \ \lfloor \lfloor \lceil \lceil \textit{Rep-Set}_{base} \ (\textit{x} \ \tau) \rceil \rceil - \{\textit{y} \ \tau\} \ \rfloor \rfloor \\ & \textit{else} \ \bot \ ) \\ \textbf{notation} & \textit{OclExcluding} \ \ (-->\textit{excluding}'(-')) \\ \end{aligned}
```

Definition: OclIncludes

Definition: OclExcludes

```
definition OclExcludes :: [('\mathfrak{A},'\alpha::null)\ Set,('\mathfrak{A},'\alpha)\ val] \Rightarrow '\mathfrak{A}\ Boolean where OclExcludes\ x\ y = (not(OclIncludes\ x\ y)) notation OclExcludes\ (-->excludes'(-')\ )
```

The case of the size definition is somewhat special, we admit explicitly in Featherweight OCL the possibility of infinite sets. For the size definition, this requires an extra condition that assures that the cardinality of the set is actually a defined integer.

Definition: OclSize

The following definition follows the requirement of the standard to treat null as neutral element of sets. It is a well-documented exception from the general strictness rule and the rule that the distinguished argument self should be non-null.

Definition: OcllsEmpty

```
definition OclIsEmpty :: ('\mathbb{A}, '\alpha::null) Set \Rightarrow '\mathbb{A} Boolean where OclIsEmpty x = ((v \ x \ and \ not \ (\delta \ x)) \ or \ ((OclSize \ x) \doteq \mathbf{0})) notation OclIsEmpty (-->isEmpty'('))
```

```
Definition: OclNotEmpty
```

```
definition OclNotEmpty :: ('\mathbb{A},'\alpha::null) Set \Rightarrow '\mathbb{A} Boolean
            OclNotEmpty \ x = not(OclIsEmpty \ x)
notation OclNotEmpty (-->notEmpty'('))
```

Definition: OcIANY

```
definition OclANY :: [('\mathfrak{A},'\alpha::null) Set] \Rightarrow ('\mathfrak{A},'\alpha) val
where
               OclANY x = (\lambda \tau) if (v x) \tau = true \tau
                                then if (\delta x \text{ and } OclNotEmpty x) \tau = true \tau
                                      then SOME y. y \in \lceil \lceil Rep\text{-}Set_{base}(x \tau) \rceil \rceil
                                      else null \tau
                                else \perp)
notation OclANY
                                (--> any'('))
```

Definition: OclForall

The definition of OclForall mimics the one of op and: OclForall is not a strict operation.

```
:: [('\mathfrak{A}, '\alpha :: null) Set, ('\mathfrak{A}, '\alpha) val \Rightarrow ('\mathfrak{A}) Boolean] \Rightarrow '\mathfrak{A} Boolean
definition OclForall
where
                  OclForall SP = (\lambda \tau) if (\delta S) \tau = true \tau
                                             then if (\exists x \in [\lceil Rep\text{-}Set_{base} (S \tau) \rceil]]. P(\lambda - x) \tau = false \tau)
                                                    then false \tau
                                                    else if (\exists x \in [\lceil Rep\text{-}Set_{base} (S \tau) \rceil]]. P(\lambda - x) \tau = invalid \tau)
                                                           then invalid \tau
                                                           else if (\exists x \in [\lceil Rep\text{-}Set_{base} (S \tau) \rceil]]. P(\lambda - x) \tau = null \tau)
                                                                  then null \tau
                                                                  else true \tau
                                             else \perp)
syntax
```

```
-OclForall :: [('\mathfrak{A},'\alpha::null) \ Set,id,('\mathfrak{A})Boolean] \Rightarrow '\mathfrak{A} \ Boolean \ ((-)->forAll'(-|-'))
translations
  X - > forAll(x \mid P) == CONST \ OclForall \ X \ (\%x. \ P)
```

Definition: OclExists

Like OclForall, OclExists is also not strict.

```
definition OclExists
                                       :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ val \Rightarrow ('\mathfrak{A}) \ Boolean] \Rightarrow '\mathfrak{A} \ Boolean
where
                 OclExists \ S \ P = not(OclForall \ S \ (\lambda \ X. \ not \ (P \ X)))
syntax
   -OclExist :: [('\mathfrak{A}, '\alpha :: null) \ Set, id, ('\mathfrak{A}) Boolean] \Rightarrow '\mathfrak{A} \ Boolean \ ((-)->exists'(-|-'))
translations
  X \rightarrow exists(x \mid P) == CONST \ OclExists \ X \ (\%x. \ P)
```

Definition: Ocllterate

```
definition OclIterate :: [('\mathfrak{A}, '\alpha::null)] Set,('\mathfrak{A}, '\beta::null) val,
                                                            (\mathfrak{A}, \alpha)val \Rightarrow (\mathfrak{A}, \beta)val \Rightarrow (\mathfrak{A}, \beta)val \Rightarrow (\mathfrak{A}, \beta)val \Rightarrow (\mathfrak{A}, \beta)val
```

```
where OclIterate S A F = (\lambda \tau) if (\delta S) \tau = true \tau \wedge (v A) \tau = true \tau \wedge finite [Rep-Set_{base}]
(S \tau)
                                           then (Finite-Set.fold (F) (A) ((\lambda a \ \tau. \ a) ' [[Rep-Set<sub>base</sub> (S \tau)]]))\tau
                                           else \perp)
syntax
  -OclIterate :: [('\mathfrak{A},'\alpha::null) Set, idt, idt, '\alpha, '\beta] => ('\mathfrak{A},'\gamma)val
                               (-->iterate'(-;-=-|-'))
translations
  X \rightarrow iterate(a; x = A \mid P) == CONST \ OclIterate \ X \ A \ (\% a. \ (\% \ x. \ P))
Definition: OclSelect
definition OclSelect :: [('\mathfrak{A}, '\alpha :: null)Set, ('\mathfrak{A}, '\alpha)val \Rightarrow ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A}, '\alpha)Set
where OclSelect SP = (\lambda \tau. if (\delta S) \tau = true \tau
                                      then if (\exists x \in [[Rep\text{-}Set_{base} (S \tau)]]. P(\lambda - x) \tau = invalid \tau)
                                             then invalid \tau
                                             else Abs-Set<sub>base</sub> | | \{x \in [\lceil Rep\text{-}Set_{base} (S \tau)] \}. P(\lambda - x) \tau \neq false
\tau
                                      else invalid \tau)
syntax
   -OclSelect :: [('\mathfrak{A}, '\alpha :: null) \ Set, id, ('\mathfrak{A}) Boolean] \Rightarrow '\mathfrak{A} \ Boolean \ ((-)->select'(-|-'))
translations
  X -> select(x \mid P) == CONST \ OclSelect \ X \ (\% \ x. \ P)
Definition: OclReject
definition OclReject :: [('\mathfrak{A}, '\alpha :: null) Set, ('\mathfrak{A}, '\alpha) val \Rightarrow ('\mathfrak{A}) Boolean] \Rightarrow ('\mathfrak{A}, '\alpha :: null) Set
where OclReject \ S \ P = OclSelect \ S \ (not \ o \ P)
syntax
   -OclReject :: [('\mathfrak{A}, '\alpha :: null) \ Set, id, ('\mathfrak{A}) Boolean] \Rightarrow '\mathfrak{A} \ Boolean \ ((-) -> reject'(-|-'))
translations
  X \rightarrow reject(x \mid P) == CONST \ OclReject \ X \ (\% \ x. \ P)
Definition (futur operators)
consts
     OclCount
                             :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow '\mathfrak{A} \ Integer
     OclSum
                            :: (\mathfrak{A}, \alpha::null) Set \Rightarrow \mathfrak{A} Integer
     OclIncludesAll :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow '\mathfrak{A} \ Boolean
     OclExcludesAll :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow '\mathfrak{A} \ Boolean
     OclComplement :: ('\mathfrak{A}, '\alpha :: null) Set \Rightarrow ('\mathfrak{A}, '\alpha) Set
                             :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow ('\mathfrak{A}, '\alpha) \ Set
     OclIntersection:: [('\mathfrak{A},'\alpha::null)\ Set,('\mathfrak{A},'\alpha)\ Set] \Rightarrow ('\mathfrak{A},'\alpha)\ Set
notation
                             (--> count'(-'))
     OclCount
notation
                             (-->sum'('))
     OclSum
notation
     OclIncludesAll (-->includesAll'(-'))
```

```
notation
    OclExcludesAll (-->excludesAll'(-'))
notation
    OclComplement (-->complement'('))
notation
                        (-−>union′(-′)
    OclUnion
notation
    OclIntersection(-->intersection'(-'))
Validity and Definedness Properties
OclIncluding
lemma OclIncluding-defined-args-valid:
(\tau \models \delta(X - > including(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
\langle proof \rangle
lemma OclIncluding-valid-args-valid:
(\tau \models \upsilon(X -> including(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
\langle proof \rangle
lemma OclIncluding-defined-args-valid [simp,code-unfold]:
\delta(X->including(x)) = ((\delta X) \text{ and } (v x))
\langle proof \rangle
lemma OclIncluding-valid-args-valid''[simp,code-unfold]:
v(X->including(x)) = ((\delta X) \text{ and } (v x))
\langle proof \rangle
   OclExcluding
lemma OclExcluding-defined-args-valid:
(\tau \models \delta(X -> excluding(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
\langle proof \rangle
\mathbf{lemma} \ \mathit{OclExcluding-valid-args-valid} :
(\tau \models \upsilon(X -> excluding(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
\langle proof \rangle
\textbf{lemma} \ \textit{OclExcluding-valid-args-valid'} [simp, code-unfold]:
\delta(X -> excluding(x)) = ((\delta X) \text{ and } (v x))
\langle proof \rangle
```

lemma OclExcluding-valid-args-valid''[simp,code-unfold]:

 $v(X \rightarrow excluding(x)) = ((\delta X) \text{ and } (v x))$

 $\langle proof \rangle$

OclIncludes

```
lemma OclIncludes-defined-args-valid:
(\tau \models \delta(X - > includes(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
\langle proof \rangle
\mathbf{lemma} \ \mathit{OclIncludes-valid-args-valid} :
(\tau \models \upsilon(X - > includes(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
\langle proof \rangle
\textbf{lemma} \ \textit{OclIncludes-valid-args-valid'} [simp, code-unfold]:
\delta(X->includes(x)) = ((\delta X) \text{ and } (v x))
\langle proof \rangle
\textbf{lemma} \ \textit{OclIncludes-valid-args-valid''} [simp, code-unfold]:
\upsilon(X->includes(x)) = ((\delta X) \ and \ (\upsilon \ x))
\langle proof \rangle
    OclExcludes
lemma OclExcludes-defined-args-valid:
(\tau \models \delta(X -> excludes(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
\langle proof \rangle
\mathbf{lemma} \ \mathit{OclExcludes-valid-args-valid} :
(\tau \models \upsilon(X -> excludes(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
\langle proof \rangle
\textbf{lemma} \ \textit{OclExcludes-valid-args-valid'} [simp, code-unfold]:
\delta(X -> excludes(x)) = ((\delta X) \text{ and } (\upsilon x))
\langle proof \rangle
lemma OclExcludes-valid-args-valid''[simp,code-unfold]:
v(X -> excludes(x)) = ((\delta X) \text{ and } (v x))
\langle proof \rangle
    OclSize
lemma OclSize-defined-args-valid: \tau \models \delta \ (X - > size()) \Longrightarrow \tau \models \delta \ X
\langle proof \rangle
lemma OclSize-infinite:
assumes non\text{-}finite:\tau \models not(\delta(S->size()))
shows (\tau \models not(\delta(S))) \lor \neg finite \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil
\langle proof \rangle
lemma \tau \models \delta X \Longrightarrow \neg finite \lceil \lceil Rep\text{-}Set_{base} (X \tau) \rceil \rceil \Longrightarrow \neg \tau \models \delta (X -> size())
\langle proof \rangle
lemma size-defined:
 assumes X-finite: \land \tau. finite \lceil \lceil Rep\text{-}Set_{base} (X \tau) \rceil \rceil
 shows \delta (X -> size()) = \delta X
```

```
\langle proof \rangle
lemma size-defined':
 assumes X-finite: finite \lceil \lceil Rep\text{-}Set_{base} (X \tau) \rceil \rceil
 shows (\tau \models \delta (X -> size())) = (\tau \models \delta X)
 \langle proof \rangle
   OclIsEmpty
lemma OclIsEmpty-defined-args-valid:\tau \models \delta \ (X - > isEmpty()) \Longrightarrow \tau \models v \ X
  \langle proof \rangle
lemma \tau \models \delta (null -> isEmpty())
\langle proof \rangle
lemma OclIsEmpty-infinite: \tau \models \delta X \implies \neg \text{ finite } \lceil \lceil \text{Rep-Set}_{base} (X \tau) \rceil \rceil \implies \neg \tau \models \delta
(X->isEmpty())
  \langle proof \rangle
   OclNotEmpty
lemma OclNotEmpty-defined-args-valid:\tau \models \delta \ (X -> notEmpty()) \Longrightarrow \tau \models \upsilon \ X
\langle proof \rangle
lemma \tau \models \delta (null -> notEmpty())
\langle proof \rangle
lemma OclNotEmpty-infinite: \tau \models \delta \ X \implies \neg \ finite \ \lceil \lceil Rep-Set_{base} \ (X \ \tau) \rceil \rceil \implies \neg \ \tau \models \delta
(X->notEmpty())
 \langle proof \rangle
lemma OclNotEmpty-has-elt : \tau \models \delta X \Longrightarrow
                                 \tau \models X -> notEmpty() \Longrightarrow
                                 \exists e. e \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil
 \langle proof \rangle
   OclANY
lemma OclANY-defined-args-valid: \tau \models \delta \ (X -> any()) \Longrightarrow \tau \models \delta \ X
\langle proof \rangle
lemma \tau \models \delta X \Longrightarrow \tau \models X -> isEmpty() \Longrightarrow \neg \tau \models \delta (X -> any())
\langle proof \rangle
lemma OclANY-valid-args-valid:
(\tau \models \upsilon(X -> any())) = (\tau \models \upsilon X)
\langle proof \rangle
lemma OclANY-valid-args-valid''[simp,code-unfold]:
\upsilon(X -> any()) = (\upsilon X)
\langle proof \rangle
```

Execution with Invalid or Null or Infinite Set as Argument

OclIncluding

lemma $OclIncluding-invalid[simp,code-unfold]:(invalid->including(x)) = invalid \langle proof \rangle$

 $\begin{array}{l} \textbf{lemma} \ \ OclIncluding\text{-}invalid\text{-}args[simp,code\text{-}unfold]\text{:}} (X -> including(invalid)) = invalid \\ \langle proof \rangle \end{array}$

 $\begin{array}{ll} \textbf{lemma} & \textit{OclIncluding-null}[simp, code-unfold] \text{:} (null -> including(x)) = invalid \\ \langle proof \rangle \end{array}$

OclExcluding

 $\begin{array}{ll} \textbf{lemma} \ \ OclExcluding-null[simp,code-unfold]:} (null->excluding(x)) = invalid \\ \langle proof \rangle \end{array}$

OclIncludes

 $\begin{array}{l} \textbf{lemma} \ \ OclIncludes-invalid[simp,code-unfold]:} (invalid->includes(x)) = invalid \\ \langle proof \rangle \end{array}$

 $\label{eq:condition} \begin{array}{ll} \textbf{lemma} \ \textit{OclIncludes-invalid-args}[\textit{simp}, \textit{code-unfold}] : & (X -> \textit{includes}(\textit{invalid})) = \textit{invalid} \\ & \langle \textit{proof} \rangle \end{array}$

 $\begin{array}{ll} \textbf{lemma} \ \ OclIncludes-null[simp,code-unfold]:}(null->includes(x)) = invalid \\ \langle proof \rangle \end{array}$

OclExcludes

 $\begin{tabular}{ll} \bf lemma & \it OclExcludes-invalid[simp,code-unfold]: (invalid->excludes(x)) = invalid \\ \langle proof \rangle \\ \end{tabular}$

 $\begin{array}{ll} \textbf{lemma} & \textit{OclExcludes-invalid-args}[simp, code-unfold] : (X -> excludes(invalid)) = invalid \\ \langle proof \rangle \end{array}$

 $\begin{array}{ll} \textbf{lemma} \ \ OclExcludes-null[simp,code-unfold]:}(null->excludes(x)) = invalid \\ \langle proof \rangle \end{array}$

OclSize

 $\begin{array}{l} \textbf{lemma} \ \ OclSize\text{-}invalid[simp,code\text{-}unfold]\text{:}(invalid\text{--}>size()) = invalid\\ \langle proof \rangle \end{array}$

 $\begin{array}{ll} \textbf{lemma} & OclSize\text{-}null[simp,code\text{-}unfold]\text{:}(null->\!size()) = invalid \\ \langle proof \rangle \end{array}$

OclIsEmpty

 $\begin{array}{l} \textbf{lemma} \ \textit{OclIsEmpty-invalid}[simp,code-unfold] : (invalid -> isEmpty()) = invalid \\ \langle \textit{proof} \rangle \end{array}$

 $\begin{array}{ll} \textbf{lemma} & \textit{OclIsEmpty-null}[simp,code-unfold] : (null -> isEmpty()) = true \\ \langle proof \rangle \end{array}$

OclNotEmpty

 $\begin{array}{l} \textbf{lemma} \ \ OclNotEmpty-invalid[simp,code-unfold]:} (invalid->notEmpty()) = invalid \\ \langle proof \rangle \end{array}$

 $\begin{array}{ll} \textbf{lemma} \ \ OclNotEmpty-null[simp,code-unfold]:} (null->notEmpty()) = false \\ \langle proof \rangle \end{array}$

OclANY

 $\begin{array}{ll} \textbf{lemma} & OclANY\text{-}invalid [simp,code-unfold]\text{:}(invalid->any()) = invalid \\ \langle proof \rangle \end{array}$

 $\begin{array}{l} \textbf{lemma} \ \textit{OclANY-null}[simp,code-unfold]:(null->any()) = null \\ \langle \textit{proof} \rangle \end{array}$

OclForall

 $\begin{array}{ll} \textbf{lemma} \ \textit{OclForall-invalid}[\textit{simp}, \textit{code-unfold}] : \textit{invalid} -> \textit{forAll}(a|\ P\ a) = \textit{invalid} \\ \langle \textit{proof} \rangle \end{array}$

lemma OclForall-null[simp,code-unfold]:null- $>forAll(a \mid P \mid a) = invalid \langle proof \rangle$

OclExists

 $\begin{array}{lll} \textbf{lemma} & \textit{OclExists-invalid}[simp, code-unfold] : invalid -> exists(a|\ P\ a) = invalid \\ \langle proof \rangle \end{array}$

 $\begin{array}{lll} \textbf{lemma} & \textit{OclExists-null}[simp,code-unfold]: null -> exists(a \mid P \mid a) = invalid \\ \langle proof \rangle \end{array}$

OclIterate

lemma $OclIterate-invalid[simp,code-unfold]:invalid->iterate(a; x = A \mid P \ a \ x) = invalid \langle proof \rangle$

lemma $OclIterate-null[simp,code-unfold]:null->iterate(a; x = A \mid P \ a \ x) = invalid \langle proof \rangle$

An open question is this ...

lemma $S->iterate(a; x = null \mid P \mid a \mid x) = invalid \langle proof \rangle$

```
lemma OclIterate-infinite:
```

assumes non-finite: $\tau \models not(\delta(S->size()))$ shows (OclIterate S A F) $\tau = invalid \ \tau$

 $\langle proof \rangle$

OclSelect

 $\begin{array}{lll} \textbf{lemma} & \textit{OclSelect-invalid}[simp, code-unfold] : invalid -> select(a \mid P \mid a) = invalid \\ \langle proof \rangle \end{array}$

 $\begin{array}{ll} \textbf{lemma} \ \textit{OclSelect-null}[simp, code-unfold]: null -> select(a \mid P \ a) = invalid \\ \langle proof \rangle \end{array}$

OclReject

lemma OclReject-invalid[simp,code-unfold]:invalid $->reject(a \mid P \mid a) = invalid \mid proof \mid$

lemma OclReject-null[simp,code-unfold]:null- $>reject(a \mid P \mid a) = invalid \langle proof \rangle$

Context Passing

 \mathbf{lemma} $\mathit{cp-OclIncluding}$:

$$\begin{array}{l} (X->\!including(x))\ \tau=((\lambda\ \text{-.}\ X\ \tau)->\!including(\lambda\ \text{-.}\ x\ \tau))\ \tau \\ \langle proof \rangle \end{array}$$

 $\mathbf{lemma} \ \textit{cp-OclExcluding} \colon$

$$(X->excluding(x))\ \tau=((\lambda -.\ X\ \tau)->excluding(\lambda -.\ x\ \tau))\ \tau$$
 $\langle proof \rangle$

 ${f lemma}$ $cp ext{-}OclIncludes:$

$$\begin{array}{l} (X-> includes(x)) \ \tau = ((\lambda \ \text{-.} \ X \ \tau)-> includes(\lambda \ \text{-.} \ x \ \tau)) \ \tau \\ \langle proof \rangle \end{array}$$

 $\mathbf{lemma}\ \textit{cp-OclIncludes1}$:

$$(X->includes(x)) \ \tau = (X->includes(\lambda -. x \ \tau)) \ \tau \ \langle proof \rangle$$

lemma cp-OclExcludes:

$$\begin{array}{l} (X->\!excludes(x))\ \tau=((\lambda\ \text{-.}\ X\ \tau)->\!excludes(\lambda\ \text{-.}\ x\ \tau))\ \tau \\ \langle proof \rangle \end{array}$$

lemma cp-OclSize: X -> size() $\tau = ((\lambda \text{--} X \ \tau) -> \text{size}()) \ \tau \ \langle \textit{proof} \rangle$

lemma cp-OclIsEmpty: X -> isEmpty() $\tau = ((\lambda - X \tau) -> isEmpty()) \tau \langle proof \rangle$

lemma cp-OclNotEmpty: X->notEmpty() $\tau = ((\lambda - X \tau) - notEmpty()) \tau \langle proof \rangle$

```
lemma cp-OclANY: X \rightarrow any() \tau = ((\lambda - X \tau) - any()) \tau
 \langle proof \rangle
lemma cp-OclForall:
(S->forAll(x\mid P\mid x)) \ \tau = ((\lambda - S \ \tau) - >forAll(x\mid P\mid (\lambda - x \ \tau))) \ \tau
\langle proof \rangle
lemma cp-OclForall1 [simp,intro!]:
cp \ S \Longrightarrow cp \ (\lambda X. \ ((S \ X) -> for All(x \mid P \ x)))
\langle proof \rangle
lemma
cp\ (\lambda X\ St\ x.\ P\ (\lambda \tau.\ x)\ X\ St) \Longrightarrow cp\ S \Longrightarrow cp\ (\lambda X.\ (S\ X) -> for All(x|P\ x\ X))
\langle proof \rangle
lemma
cp S \Longrightarrow
(\bigwedge x. cp(P x)) \Longrightarrow
 cp(\lambda X. ((S X) - > forAll(x \mid P x X)))
\langle proof \rangle
lemma cp-OclExists:
(S->exists(x\mid P\ x))\ \tau=((\lambda\ \text{-.}\ S\ \tau)->exists(x\mid P\ (\lambda\ \text{-.}\ x\ \tau)))\ \tau
\langle proof \rangle
lemma cp-OclExists1 [simp, intro!]:
cp \ S \Longrightarrow cp \ (\lambda X. \ ((S \ X) -> exists(x \mid P \ x)))
\langle proof \rangle
lemma cp-OclIterate: (X->iterate(a; x = A \mid P \mid a \mid x)) \tau =
                  ((\lambda - X \tau) - )iterate(a; x = A \mid P \mid a \mid x)) \tau
\langle proof \rangle
lemma cp-OclSelect: (X -> select(a \mid P \mid a)) \tau =
                  ((\lambda - X \tau) - select(a \mid P a)) \tau
\langle proof \rangle
lemma cp	ext{-}OclReject: (X	ext{-}	ext{-}reject(a \mid P \ a)) \ \tau =
                  ((\lambda - X \tau) - \text{reject}(a \mid P a)) \tau
\langle proof \rangle
lemmas cp-intro''_{Set}[intro!, simp, code-unfold] =
        cp-OclIncluding [THEN allI[THEN allI[THEN allI[THEN cp12]], of OclIncluding]]
        cp-OclExcluding [THEN allI[THEN allI[THEN allI[THEN cpI2]], of OclExcluding]]
```

```
cp-OclIncludes [THEN allI[THEN allI[THEN allI[THEN cpI2]], of OclIncludes]]
cp-OclExcludes [THEN allI[THEN allI[THEN allI[THEN cpI2]], of OclExcludes]]
cp-OclSize [THEN allI[THEN allI[THEN cpI1], of OclSize]]
cp-OclIsEmpty [THEN allI[THEN allI[THEN cpI1], of OclIsEmpty]]
cp-OclNotEmpty [THEN allI[THEN allI[THEN cpI1], of OclNotEmpty]]
cp-OclANY [THEN allI[THEN allI[THEN cpI1], of OclANY]]
```

Const

```
 \begin{array}{l} \textbf{lemma} \ const\text{-}OclIncluding[simp,code-unfold]:} \\ \textbf{assumes} \ const\text{-}x: \ const \ x \\ \textbf{and} \ const\text{-}S: \ const \ S \\ \textbf{shows} \ \ const \ (S->including(x)) \\ \langle proof \rangle \\ \end{array}
```

5.8.5. Strict Equality

Definition

After the part of foundational operations on sets, we detail here equality on sets. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

```
defs StrictRefEq_{Set}:

(x::({}^t\mathfrak{A},{}^t\alpha::null})Set) \doteq y \equiv \lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau 
then \ (x \triangleq y)\tau
else \ invalid \ \tau
```

One might object here that for the case of objects, this is an empty definition. The answer is no, we will restrain later on states and objects such that any object has its oid stored inside the object (so the ref, under which an object can be referenced in the store will represented in the object itself). For such well-formed stores that satisfy this invariant (the WFF-invariant), the referential equality and the strong equality—and therefore the strict equality on sets in the sense above—coincides.

```
Property proof in terms of profile-bin3
```

```
interpretation StrictRefEq_{Set}: profile-bin3 \ \lambda \ x \ y. \ (x::('\mathfrak{A},'\alpha::null)Set) \doteq y \ \langle proof \rangle
```

Execution Rules on OclIncluding

```
lemma OclIncluding-finite-rep-set:

assumes X-def: \tau \models \delta X

and x-val: \tau \models v x

shows finite \lceil \lceil Rep\text{-}Set_{base} \ (X->including(x) \ \tau) \rceil \rceil = finite \ \lceil \lceil Rep\text{-}Set_{base} \ (X \ \tau) \rceil \rceil \rceil

\langle proof \rangle

lemma OclIncluding-rep-set:
assumes S-def: \tau \models \delta S
```

```
shows \lceil \lceil Rep\text{-}Set_{base} \ (S - > including(\lambda -. \lfloor \lfloor x \rfloor \rfloor) \ \tau) \rceil \rceil = insert \lfloor \lfloor x \rfloor \rfloor \lceil \lceil Rep\text{-}Set_{base} \ (S \ \tau) \rceil \rceil
 \langle proof \rangle
lemma OclIncluding-notempty-rep-set:
 assumes X-def: \tau \models \delta X
     and a-val: \tau \models v a
  shows \lceil \lceil Rep\text{-}Set_{base} (X -> including(a) \tau) \rceil \rceil \neq \{ \}
 \langle proof \rangle
\mathbf{lemma} \ \mathit{OclIncluding-includes0} \colon
assumes \tau \models X -> includes(x)
   shows X->including(x) \tau=X \tau
\langle proof \rangle
{\bf lemma} \ \ OclIncluding\mbox{-}includes:
assumes \tau \models X -> includes(x)
   shows \tau \models X -> including(x) \triangleq X
\langle proof \rangle
lemma \ OclIncluding-commute0 :
 assumes S-def : \tau \models \delta S
     and i-val : \tau \models v i
     and j-val : \tau \models v j
                                                               'a::null) Set)->including(i)->including(j)
            shows \tau \models ((S :: 
                                                      ('21,
(S->including(j)->including(i)))
\langle proof \rangle
lemma OclIncluding-commute[simp,code-unfold]:
((S :: ('\mathfrak{A}, 'a :: null) \ Set) -> including(i) -> including(j) = (S -> including(j) -> including(i)))
\langle proof \rangle
Execution Rules on OclExcluding
{f lemma} OclExcluding-finite-rep-set:
  assumes X-def : \tau \models \delta X
       and x-val : \tau \models v x
    shows finite \lceil \lceil Rep\text{-}Set_{base} (X - > excluding(x) \tau) \rceil \rceil = finite \lceil \lceil Rep\text{-}Set_{base} (X \tau) \rceil \rceil
 \langle proof \rangle
{\bf lemma}\ {\it OclExcluding-rep-set}:
 assumes S-def: \tau \models \delta S
   shows \lceil \lceil Rep\text{-}Set_{base} (S - > excluding(\lambda - ||x||) \tau) \rceil \rceil = \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil - \{ ||x|| \}
 \langle proof \rangle
lemma OclExcluding-excludes\theta:
 assumes \tau \models X -> excludes(x)
   shows X \rightarrow excluding(x) \tau = X \tau
\langle proof \rangle
```

```
{\bf lemma}\ {\it OclExcluding-excludes}:
 assumes \tau \models X -> excludes(x)
   shows \tau \models X -> excluding(x) \triangleq X
\langle proof \rangle
lemma OclExcluding-charn0[simp]:
assumes val-x:\tau \models (v \ x)
                 \tau \models ((Set\{\}->excluding(x)) \triangleq Set\{\})
shows
\langle proof \rangle
\mathbf{lemma} \ \mathit{OclExcluding-commute0} \ :
 assumes S-def : \tau \models \delta S
     and i-val : \tau \models v i
     and j-val : \tau \models v j
          shows \tau \models ((S ::
                                               (\mathfrak{A}, \mathfrak{a}::null) Set)->excluding(i)->excluding(j)
(S -> excluding(j) -> excluding(i)))
\langle proof \rangle
lemma OclExcluding-commute[simp,code-unfold]:
((S :: ('\mathfrak{A}, 'a::null) \ Set) -> excluding(i) -> excluding(j) = (S -> excluding(j) -> excluding(i)))
\langle proof \rangle
\textbf{lemma} \ \textit{OclExcluding-charn0-exec} [simp, code-unfold] :
(Set\{\}->excluding(x)) = (if (v x) then Set\{\} else invalid endif)
\langle proof \rangle
\textbf{lemma} \ \textit{OclExcluding-charn1} :
assumes def - X : \tau \models (\delta X)
and
          val-x:\tau \models (v \ x)
and
          val-y:\tau \models (v \ y)
          neq : \tau \models not(x \triangleq y)
and
               \tau \models ((X -> including(x)) -> excluding(y)) \triangleq ((X -> excluding(y)) -> including(x))
shows
\langle proof \rangle
lemma OclExcluding-charn2:
assumes def-X:\tau \models (\delta X)
          val-x:\tau \models (v \ x)
\mathbf{and}
                 \tau \models (((X -> including(x)) -> excluding(x)) \triangleq (X -> excluding(x)))
shows
\langle proof \rangle
theorem OclExcluding-charm3: ((X->including(x))->excluding(x)) = (X->excluding(x))
```

```
\langle proof \rangle
```

One would like a generic theorem of the form:

lemma OclExcluding_charn_exec:

```
\label{eq:continuity} \begin{split} \text{``}(X->&\mathrm{including}(x::(`\mathfrak{A},'a::\mathrm{null})\mathrm{val})->&\mathrm{excluding}(y)) = \\ &(\mathrm{if}\ \delta\ X\ \mathrm{then}\ \mathrm{if}\ x \doteq y\\ &\quad \mathrm{then}\ X->&\mathrm{excluding}(y)\\ &\quad \mathrm{else}\ X->&\mathrm{excluding}(y)->&\mathrm{including}(x)\\ &\quad \mathrm{endif}\\ &\quad \mathrm{else}\ \mathrm{invalid}\ \mathrm{endif})\text{''} \end{split}
```

Unfortunately, this does not hold in general, since referential equality is an overloaded concept and has to be defined for each type individually. Consequently, it is only valid for concrete type instances for Boolean, Integer, and Sets thereof...

The computational law *OclExcluding-charn-exec* becomes generic since it uses strict equality which in itself is generic. It is possible to prove the following generic theorem and instantiate it later (using properties that link the polymorphic logical strong equality with the concrete instance of strict quality).

```
lemma OclExcluding-charn-exec:
 assumes strict1: (invalid = y) = invalid
 and
              strict2: (x \doteq invalid) = invalid
              StrictRefEq-valid-args-valid: \bigwedge (x::(\mathfrak{A}, 'a::null)val) \ y \ \tau.
 and
             (\tau \models \delta \stackrel{(}{(x \doteq y)}) = ((\tau \models (v \stackrel{\cdot}{x})) \land (\tau \models v \stackrel{\cdot}{y}))
cp\text{-}StrictRefEq: \bigwedge (X::(\mathfrak{A},'a::null)val) } Y \tau. (X \doteq Y) \tau = ((\lambda -. X \tau) \doteq (\lambda -. Y \tau)) \tau
 and
 and
              StrictRefEq\text{-}vs\text{-}StrongEq: \bigwedge (x::(\mathfrak{A},'a::null)val) \ y \ \tau.
                                               \tau \models v \ x \Longrightarrow \tau \models v \ y \Longrightarrow (\tau \models ((x \doteq y) \triangleq (x \triangleq y)))
shows (X->including(x::('\mathfrak{A},'a::null)val)->excluding(y)) =
          (if \delta X then if x \doteq y
                          then X \rightarrow excluding(y)
                          else X \rightarrow excluding(y) \rightarrow including(x)
                          end if
                    else invalid endif)
\langle proof \rangle
schematic-lemma OclExcluding-charn-exec_{Integer}[simp,code-unfold]: ?X
\langle proof \rangle
schematic-lemma OclExcluding-charn-exec_{Boolean}[simp,code-unfold]: ?X
\langle proof \rangle
schematic-lemma OclExcluding-charn-exec_{Set}[simp,code-unfold]: ?X
\langle proof \rangle
```

Execution Rules on OclIncludes

```
lemma OclIncludes-charn0[simp]:
assumes val-x:\tau \models (v \ x)
shows
                  \tau \models not(Set\{\}->includes(x))
\langle proof \rangle
lemma OclIncludes-charn0'[simp,code-unfold]:
Set\{\}->includes(x)=(if\ v\ x\ then\ false\ else\ invalid\ endif)
\langle proof \rangle
lemma OclIncludes-charn1:
assumes def - X : \tau \models (\delta X)
assumes val-x:\tau \models (v x)
                  \tau \models (X -> including(x) -> includes(x))
shows
\langle proof \rangle
lemma OclIncludes-charn2:
assumes def - X : \tau \models (\delta X)
and
           val-x:\tau \models (v \ x)
           val-y:\tau \models (v \ y)
and
           neq : \tau \models not(x \triangleq y)
and
                  \tau \models (X -> including(x) -> includes(y)) \triangleq (X -> includes(y))
shows
\langle proof \rangle
   Here is again a generic theorem similar as above.
{\bf lemma} \ \ OclIncludes\text{-}execute\text{-}generic:
assumes strict1: (invalid = y) = invalid
and
           strict2: (x = invalid) = invalid
and
           cp\text{-}StrictRefEq: \bigwedge (X::(\mathfrak{A},'a::null)val) \ Y \ \tau. \ (X \doteq Y) \ \tau = ((\lambda -. \ X \ \tau) \doteq (\lambda -. \ Y \ \tau)) \ \tau
           StrictRefEq\text{-}vs\text{-}StrongEq: \bigwedge (x::('\mathfrak{A},'a::null)val) \ y \ \tau.
and
                                         \tau \models v \ x \Longrightarrow \tau \models v \ y \Longrightarrow (\tau \models ((x \doteq y) \triangleq (x \triangleq y)))
shows
      (X->including(x::('\mathfrak{A},'a::null)val)->includes(y)) =
       (if \delta X then if x \doteq y then true else X \rightarrow includes(y) endif else invalid endif)
\langle proof \rangle
schematic-lemma OclIncludes-execute_{Integer}[simp,code-unfold]: ?X
\langle proof \rangle
schematic-lemma OclIncludes-execute Boolean[simp,code-unfold]: ?X
\langle proof \rangle
```

```
schematic-lemma OclIncludes-execute<sub>Set</sub>[simp,code-unfold]: ?X
\langle proof \rangle
{\bf lemma} OclIncludes-including-generic:
assumes OclIncludes-execute-generic [simp] : \bigwedge X \times y.
           (X->including(x::('\mathfrak{A},'a::null)val)->includes(y)) =
           (if \delta X then if x \doteq y then true else X -> includes(y) endif else invalid endif)
    and StrictRefEq\text{-}strict'': \bigwedge x\ y.\ \delta\ ((x::('\mathfrak{A},'a::null)val) \doteq y) = (v(x)\ and\ v(y))
    and a-val : \tau \models v \ a
    and x-val : \tau \models v \ x
    and S-incl : \tau \models (S) -> includes((x::('\mathfrak{A},'a::null)val))
  shows \tau \models S -> including((a::('\mathfrak{A},'a::null)val)) -> includes(x)
\langle proof \rangle
lemmas OclIncludes-includingInteger =
     OclIncludes-including-generic [OF OclIncludes-execute I_{Integer} StrictRefEq_{Integer}. def-homo]
Execution Rules on OclExcludes
lemma OclExcludes-charn1:
assumes def-X:\tau \models (\delta X)
assumes val-x:\tau \models (v x)
                 \tau \models (X -> excluding(x) -> excludes(x))
shows
\langle proof \rangle
Execution Rules on OclSize
lemma [simp,code-unfold]: Set\{\} -> size() = \mathbf{0}
 \langle proof \rangle
lemma OclSize-including-exec[simp,code-unfold]:
((X -> including(x)) -> size()) = (if \delta X and v x then
                                     X \rightarrow size() +_{int} if X \rightarrow includes(x) then 0 else 1 endif
                                     invalid
                                   endif)
\langle proof \rangle
Execution Rules on OcllsEmpty
lemma [simp,code-unfold]: Set\{\}->isEmpty()=true
\langle proof \rangle
lemma OclIsEmpty-including [simp]:
assumes X-def: \tau \models \delta X
   and X-finite: finite \lceil \lceil Rep\text{-}Set_{base} (X \tau) \rceil \rceil
    and a-val: \tau \models v a
shows X -> including(a) -> isEmpty() \tau = false \tau
\langle proof \rangle
```

Execution Rules on OclNotEmpty

```
lemma [simp,code\_unfold]: Set\{\}->notEmpty()=false\ \langle proof \rangle

lemma OclNotEmpty\_including\ [simp,code\_unfold]: assumes X\_def: \tau \models \delta\ X and X\_finite: finite\ [\lceil Rep\_Set_{base}\ (X\ \tau) \rceil \rceil and a\_val: \tau \models v\ a shows X->including(a)->notEmpty()\ \tau = true\ \tau\ \langle proof \rangle
```

Execution Rules on OcIANY

```
 \begin{tabular}{ll} \textbf{lemma} & [simp,code-unfold]: Set\{\}->any() = null \\ \langle proof \rangle \\ \\ \textbf{lemma} & OclANY-singleton-exec[simp,code-unfold]: \\ & (Set\{\}->including(a))->any() = a \\ & \langle proof \rangle \\ \\ \end{tabular}
```

Execution Rules on OclForall

```
lemma OclForall-mtSet-exec[simp,code-unfold]:((Set\{\})->forAll(z|P(z))) = true \langle proof \rangle
```

The following rule is a main theorem of our approach: From a denotational definition that assures consistency, but may be — as in the case of the $OclForall\ X\ P$ — dauntingly complex, we derive operational rules that can serve as a gold-standard for operational execution, since they may be evaluated in whatever situation and according to whatever strategy. In the case of $OclForall\ X\ P$, the operational rule gives immediately a way to evaluation in any finite (in terms of conventional OCL: denotable) set, although the rule also holds for the infinite case:

```
Integer_null—>forAll(x|Integer_null—>forAll(y|x +_{int} y 	riangleq y +_{int} x)) or even:

Integer—>forAll(x|Integer—>forAll(y|x +_{int} y 	riangleq y +_{int} x)) are valid OCL statements in any context \tau.

theorem OclForall-including-exec[simp,code-unfold]:

assumes cp\theta: cp P

shows ((S->including(x))->forAll(z | P(z))) = (if \delta S \ and \ v \ x then P x \ and \ (S->forAll(z | P(z))) else invalid endif)
\langle proof \rangle
```

Execution Rules on OclExists

```
lemma OclExists-mtSet-exec[simp,code-unfold]: ((Set\{\})->exists(z \mid P(z)))=false
```

```
lemma OclIterate-empty[simp,code-unfold]: ((Set{})->iterate(a; x = A \mid P \ a \ x)) = A \langle proof \rangle
```

In particular, this does hold for A = null.

```
lemma OclIterate-including: assumes S-finite: \tau \models \delta(S -> size()) and F-valid-arg: (v \ A) \ \tau = (v \ (F \ a \ A)) \ \tau and F-commute: comp-fun-commute F and F-cp: \bigwedge x \ y \ \tau . \ F \ x \ y \ \tau = F \ (\lambda \ -. \ x \ \tau) \ y \ \tau shows ((S -> including(a)) -> iterate(a; x = A \ | F \ a \ x)) \ \tau = ((S -> excluding(a)) -> iterate(a; x = F \ a \ A \ | F \ a \ x)) \ \tau \ \langle proof \rangle
```

Execution Rules on OclSelect

```
lemma OclSelect\text{-}mtSet\text{-}exec[simp,code\text{-}unfold]: OclSelect\ mtSet\ P=mtSet\ \langle proof \rangle

definition OclSelect\text{-}body:: -\Rightarrow -\Rightarrow -\Rightarrow ('\mathfrak{A}, 'a\ option\ option)\ Set\ \equiv (\lambda P\ x\ acc.\ if\ P\ x\ \dot=\ false\ then\ acc\ else\ acc->including(x)\ endif)

theorem OclSelect\text{-}including\text{-}exec[simp,code\text{-}unfold]:
assumes P\text{-}cp:cp\ P
shows OclSelect\ (X->including(y))\ P=OclSelect\text{-}body\ P\ y\ (OclSelect\ (X->excluding(y))\ P)
(is -=?select)
\langle proof \rangle
```

Execution Rules on OclReject

Execution Rules Combining Previous Operators

OclIncluding $\mathbf{lemma} \ \mathit{OclIncluding-idem0} \ :$ assumes $\tau \models \delta S$ and $\tau \models v i$ shows $\tau \models (S->including(i)->including(i) \triangleq (S->including(i)))$ $\langle proof \rangle$ **theorem** $OclIncluding-idem[simp,code-unfold]: ((S::('\mathfrak{A},'a::null)Set)->including(i)->including(i)$ = (S - > including(i))) $\langle proof \rangle$ OclExcluding $\mathbf{lemma} \ \mathit{OclExcluding-idem0} \ :$ assumes $\tau \models \delta S$ and $\tau \models v i$ shows $\tau \models (S -> excluding(i) -> excluding(i) \triangleq (S -> excluding(i)))$ $\langle proof \rangle$ theorem OclExcluding-idem[simp,code-unfold]: ((S->excluding(i))->excluding(i)) $(S \rightarrow excluding(i))$ $\langle proof \rangle$ OclIncludes **lemma** OclIncludes-any[simp,code-unfold]: $X -> includes(X -> any()) = (if \delta X then$ if δ (X->size()) then not(X->isEmpty())else X -> includes(null) endifelse invalid endif) $\langle proof \rangle$ OclSize **lemma** [simp,code-unfold]: δ $(Set\{\} -> size()) = true$ $\langle proof \rangle$ **lemma** [simp,code-unfold]: $\delta ((X -> including(x)) -> size()) = (\delta(X -> size()) and v(x))$

```
\langle proof \rangle
```

```
lemma [simp,code-unfold]: \delta((X -> excluding(x)) -> size()) = (\delta(X -> size()) \ and \ v(x))
\langle proof \rangle
```

```
lemma [simp]:
 assumes X-finite: \land \tau. finite \lceil \lceil Rep\text{-}Set_{base} (X \tau) \rceil \rceil
shows \delta ((X -> including(x)) -> size()) = (\delta(X) \text{ and } v(x))
\langle proof \rangle
```

OclForall

```
{\bf lemma} \ {\it OclForall-rep-set-false}:
assumes \tau \models \delta X
 shows (OclForall X P \tau = false \ \tau) = (\exists x \in \lceil \lceil Rep - Set_{base} \ (X \ \tau) \rceil \rceil]. P (\lambda \tau. x) \ \tau = false \ \tau)
\langle proof \rangle
{\bf lemma}\ {\it OclForall-rep-set-true}:
assumes \tau \models \delta X
shows (\tau \models OclForall\ X\ P) = (\forall\ x \in \lceil\lceil Rep\text{-}Set_{base}\ (X\ \tau)\rceil\rceil,\ \tau \models P\ (\lambda\tau.\ x))
\langle proof \rangle
{f lemma} OclForall-includes:
 assumes x-def : \tau \models \delta x
      and y-def : \tau \models \delta y
   shows (\tau \models OclForall\ x\ (OclIncludes\ y)) = (\lceil\lceil Rep-Set_{base}\ (x\ \tau)\rceil\rceil\rceil \subseteq \lceil\lceil Rep-Set_{base}\ (y\ \tau)\rceil\rceil)
 \langle proof \rangle
{f lemma} OclForall-not-includes:
 assumes x-def : \tau \models \delta x
      and y-def : \tau \models \delta y
   shows (OclForall x (OclIncludes y) \tau = false \ \tau) = (\neg \lceil \lceil Rep\text{-}Set_{base} \ (x \ \tau) \rceil \rceil \subseteq \lceil \lceil Rep\text{-}Set_{base} \ (x \ \tau) \rceil \rceil
(y \tau)
 \langle proof \rangle
lemma OclForall-iterate:
 assumes S-finite: finite \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil
   shows S - > forAll(x \mid P \mid x) \tau = (S - > iterate(x; acc = true \mid acc and P \mid x)) \tau
\langle proof \rangle
lemma OclForall-cong:
 assumes \bigwedge x. \ x \in \lceil \lceil Rep\text{-}Set_{base} \ (X \ \tau) \rceil \rceil \Longrightarrow \tau \models P \ (\lambda \tau. \ x) \Longrightarrow \tau \models Q \ (\lambda \tau. \ x)
 assumes P: \tau \models OclForall \ X \ P
 shows \tau \models OclForall \ X \ Q
\langle proof \rangle
lemma OclForall-cong':
 assumes \bigwedge x. \ x \in \lceil \lceil Rep\text{-}Set_{base} \ (X \ \tau) \rceil \rceil \Longrightarrow \tau \models P \ (\lambda \tau. \ x) \Longrightarrow \tau \models Q \ (\lambda \tau. \ x) \Longrightarrow \tau \models R
(\lambda \tau. x)
 assumes P: \tau \models OclForall \ X \ P
 assumes Q: \tau \models OclForall \ X \ Q
 \mathbf{shows} \ \tau \models \mathit{OclForall} \ X \ R
\langle proof \rangle
   Strict Equality
\mathbf{lemma} \ \mathit{StrictRefEq}_{Set}\text{-}\mathit{defined} :
assumes x-def: \tau \models \delta x
 assumes y-def: \tau \models \delta y
 shows ((x::('\mathfrak{A},'\alpha::null)Set) \doteq y) \tau =
                     (x->forAll(z|y->includes(z))) and (y->forAll(z|x->includes(z)))) \tau
```

```
\langle proof \rangle
lemma StrictRefEq_{Set}-exec[simp,code-unfold]:
((x::('\mathfrak{A},'\alpha::null)Set) \doteq y) =
  (if \delta x then (if \delta y
                      then ((x->forAll(z|y->includes(z))) and (y->forAll(z|x->includes(z)))))
                      else if v y
                              then false (* x'->includes = null *)
                              else invalid
                              end if
                      endif)
            else if v x (* null = ??? *)
                   then if v y then not(\delta y) else invalid endif
                   else\ invalid
                   end if
            endif)
\langle proof \rangle
lemma \mathit{StrictRefEq}_{\mathit{Set}}-L-\mathit{subst1}: \mathit{cp}\ P \Longrightarrow \tau \models \upsilon\ x \Longrightarrow \tau \models \upsilon\ y \Longrightarrow \tau \models \upsilon\ P\ x \Longrightarrow \tau \models \upsilon
     \tau \models (x::('\mathfrak{A},'\alpha::null)Set) \doteq y \Longrightarrow \tau \models (P \ x ::('\mathfrak{A},'\alpha::null)Set) \doteq P \ y
 \langle proof \rangle
lemma OclIncluding-cong':
shows \tau \models \delta s \Longrightarrow \tau \models \delta t \Longrightarrow \tau \models \upsilon x \Longrightarrow
     \tau \models ((s::(\mathfrak{A},'a::null)Set) \doteq t) \Longrightarrow \tau \models (s->including(x) \doteq (t->including(x)))
\langle proof \rangle
lemma OclIncluding\text{-}cong: \bigwedge(s::({}^{\prime}\mathfrak{A}, {}^{\prime}a::null)Set) \ t \ x \ y \ \tau. \ \tau \models \delta \ t \Longrightarrow \tau \models v \ y \Longrightarrow
                                       \tau \models s \doteq t \Longrightarrow x = y \Longrightarrow \tau \models s -> including(x) \doteq (t -> including(y))
 \langle proof \rangle
lemma const-StrictRefEq_{Set}-empty: const X <math>\Longrightarrow const (X \doteq Set\{\})
 \langle proof \rangle
lemma\ const-StrictRefEq_{Set}-including:
 const \ a \Longrightarrow const \ S \Longrightarrow const \ X \Longrightarrow const \ (X \doteq S -> including(a))
 \langle proof \rangle
5.8.6. Test Statements
               (\tau \models (Set\{\lambda -. \mid \mid x \mid \mid) \doteq Set\{\lambda -. \mid \mid x \mid \mid\}))
               (\tau \models (Set\{\lambda -. |x|\} \doteq Set\{\lambda -. |x|\}))
```

end

```
\begin{array}{ccc} \textbf{theory} & \textit{UML-Sequence} \\ \textbf{imports} & ../\textit{basic-types/UML-Boolean} \\ & ../\textit{basic-types/UML-Integer} \\ \textbf{begin} \end{array}
```

5.9. Collection Type Sequence: Operations

5.9.1. Constants: mtSequence

```
definition mtSequence :: (\mathfrak{A}, \alpha::null) \ Sequence \ (Sequence \{\}) \  where Sequence \{\} \equiv (\lambda \ \tau. \ Abs-Sequence_{base} \ \lfloor \lfloor \parallel :: \alpha \ list \rfloor \rfloor) declare mtSequence-def[code-unfold] lemma mtSequence-defined[simp,code-unfold]: \delta(Sequence \{\}) = true \ \langle proof \rangle lemma mtSequence-valid[simp,code-unfold]: v(Sequence \{\}) = true \ \langle proof \rangle lemma mtSequence-rep-set: \lceil \lceil Rep-Sequence_{base} \ (Sequence \{\} \ \tau) \rceil \rceil = \lceil \langle proof \rangle \rceil lemma [simp,code-unfold]: const \ Sequence \{\} \ \langle proof \rangle
```

Note that the collection types in OCL allow for null to be included; however, there is the null-collection into which inclusion yields invalid.

 $lemmas \ cp-intro''_{Sequence}[intro!, simp, code-unfold] = cp-intro'$

Properties of Sequence Type:

Every element in a defined sequence is valid.

```
lemma Sequence-inv-lemma: \tau \models (\delta X) \Longrightarrow \forall x \in set \lceil \lceil Rep\text{-}Sequence_{base}(X \tau) \rceil \rceil. x \neq bot \langle proof \rangle
```

5.9.2. Strict Equality

Definition

After the part of foundational operations on sets, we detail here equality on sets. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

```
defs StrictRefEq<sub>Sequence</sub> [code-unfold]:

((x::('\mathfrak{A},'\alpha::null)Sequence) \doteq y) \equiv (\lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau 
then \ (x \triangleq y)\tau
else \ invalid \ \tau)
```

```
Property proof in terms of profile-bin3
```

```
\textbf{interpretation} \quad \textit{StrictRefEq}_{Sequence}: \textit{profile-bin3} \ \lambda \ \textit{x y.} \ (\textit{x::}('\mathfrak{A}, '\alpha :: \textit{null}) Sequence) \doteq \textit{y}
```

5.9.3. Standard Operations

```
Definition: including
```

```
definition OclIncluding :: [('\mathfrak{A}, '\alpha :: null) \ Sequence, ('\mathfrak{A}, '\alpha) \ val] \Rightarrow ('\mathfrak{A}, '\alpha) \ Sequence
where
              OclIncluding x y = (\lambda \tau) if (\delta x) \tau = true \tau \wedge (v y) \tau = true \tau
                                       then Abs-Sequence<sub>base</sub> || [[Rep-Sequence_{base} (x \tau)]] @ [y \tau] ||
                                       else invalid \tau)
notation OclIncluding (-->including_{Seq}'(-'))
interpretation OclIncluding:
                profile-bin2 OclIncluding \lambda x \ y. Abs-Sequence<sub>base</sub> ||\lceil \lceil Rep\text{-}Sequence_{base} \ x \rceil \rceil \otimes \lceil y \rceil ||
\langle proof \rangle
syntax
  -OclFinsequence :: args = ('\mathfrak{A}, 'a::null) Sequence
                                                                        (Sequence\{(-)\})
translations
  Sequence\{x, xs\} == CONST\ OclIncluding\ (Sequence\{xs\})\ x
                      == CONST\ OclIncluding\ (Sequence\{\})\ x
  Sequence\{x\}
  typ int
  \mathbf{typ} num
Definition: excluding
```

Definition: union Definition: append

identical to including

Definition: prepend

Definition: subSequence

Definition: at **Definition: first Definition: last**

Definition: asSet

```
instantiation Sequence_{base} :: (equal) equal
 definition HOL.equal\ k\ l\longleftrightarrow\ (k::('a::equal)Sequence_{base})=\ l
 instance \langle proof \rangle
```

end

```
lemma equal-Sequence<sub>base</sub>-code [code]:

HOL.equal\ k\ (l::('a::\{equal,null\})Sequence_{base}) \longleftrightarrow Rep-Sequence_{base}\ k=Rep-Sequence_{base}\ l

\langle proof \rangle
```

5.9.4. Test Statements

```
Assert (\tau \models (Sequence\{\} \doteq Sequence\{\}))

Assert \tau \models (Sequence\{1,invalid,2\} \triangleq invalid)
```

end

```
theory UML-Library
imports

basic-types/UML-Boolean
basic-types/UML-Void
basic-types/UML-Integer
basic-types/UML-Real
basic-types/UML-String

collection-types/UML-Pair
collection-types/UML-Set
collection-types/UML-Sequence
begin
```

5.10. Miscellaneous Stuff

5.10.1. Properties on Collection Types: Strict Equality

The structure of this chapter roughly follows the structure of Chapter 10 of the OCL standard [29], which introduces the OCL Library.

5.10.2. MOVE TEXT : Collection Types

For the semantic construction of the collection types, we have two goals:

1. we want the types to be *fully abstract*, i.e., the type should not contain junkelements that are not representable by OCL expressions, and 2. we want a possibility to nest collection types (so, we want the potential to talking about Set(Set(Sequences(Pairs(X,Y)))))).

The former principle rules out the option to define ' α Set just by ('\mathbb{A}, ('\alpha option option) set) val. This would allow sets to contain junk elements such as $\{\bot\}$ which we need to identify with undefinedness itself. Abandoning fully abstractness of rules would later on produce all sorts of problems when quantifying over the elements of a type. However, if we build an own type, then it must conform to our abstract interface in order to have nested types: arguments of type-constructors must conform to our abstract interface, and the result type too.

```
\begin{aligned} \mathbf{lemmas} & \ cp\text{-}intro'' \ [intro!, simp, code\text{-}unfold] = \\ & \ cp\text{-}intro'' \\ & \ cp\text{-}intro''_{Set} \\ & \ cp\text{-}intro''_{Sequence} \end{aligned}
```

5.10.3. MOVE TEXT: Test Statements

```
 \begin{array}{l} \textbf{lemma} \ syntax\text{-}test: \ Set\{\textbf{2}, \textbf{1}\} = (Set\{\} -> including(\textbf{1}) -> including(\textbf{2})) \\ \langle proof \rangle \end{array}
```

Here is an example of a nested collection. Note that we have to use the abstract null (since we did not (yet) define a concrete constant *null* for the non-existing Sets):

```
lemma semantic-test2:
assumes H:(Set\{2\} \doteq null) = (false::('\mathfrak{A})Boolean)
shows (\tau :: (\mathfrak{A})st) \models (Set\{Set\{2\}, null\} -> includes(null))
\langle proof \rangle
lemma short-cut'[simp,code-unfold]: (8 \doteq 6) = false
 \langle proof \rangle
lemma short-cut''[simp,code-unfold]: (2 \doteq 1) = false
 \langle proof \rangle
lemma short-cut'''[simp,code-unfold]: (1 \doteq 2) = false
 \langle proof \rangle
   Elementary computations on Sets.
declare OclSelect-body-def [simp]
Assert \neg (\tau \models \upsilon(invalid::('\mathfrak{A},'\alpha::null) Set))
            \tau \models \upsilon(null::(\mathfrak{A}, \alpha::null) \ Set)
Assert \neg (\tau \models \delta(null::('\mathfrak{A},'\alpha::null) Set))
Assert \tau \models \upsilon(Set\{\})
Assert \tau \models \upsilon(Set\{Set\{2\},null\})
Assert \tau \models \delta(Set\{Set\{2\}, null\})
Assert \tau \models (Set\{2,1\} -> includes(1))
```

```
Assert \neg (\tau \models (Set\{2\} -> includes(1)))
Assert \neg (\tau \models (Set\{2,1\} -> includes(null)))
Assert \tau \models (Set\{2,null\} -> includes(null))
Assert
             \tau \models (Set\{null, \mathbf{2}\} -> includes(null))
              \tau \models ((Set\{\}) - > forAll(z \mid \mathbf{0} <_{int} z))
Assert
             \tau \models ((Set\{2,1\}) - > forAll(z \mid 0 <_{int} z))
Assert
Assert \tau \models (\mathbf{0} <_{int} \mathbf{2}) \text{ and } (\mathbf{0} <_{int} \mathbf{1})
Assert \neg (\tau \models ((Set\{2,1\}) -> exists(z \mid z <_{int} \mathbf{0}))))
Assert \neg (\tau \models (\delta(Set\{2,null\}) - > forAll(z \mid 0 <_{int} z)))
Assert \neg (\tau \models ((Set\{2,null\}) - > forAll(z \mid \mathbf{0} <_{int} z)))
Assert \tau \models ((Set\{2,null\}) -> exists(z \mid 0 <_{int} z))
Assert \neg (\tau \models (Set\{null::'a\ Boolean\} \doteq Set\{\}))
Assert \neg (\tau \models (Set\{null::'a\ Integer\} \doteq Set\{\}))
Assert \neg (\tau \models (Set\{true\} \doteq Set\{false\}))
Assert \neg (\tau \models (Set\{true, true\} \doteq Set\{false\}))
Assert \neg (\tau \models (Set\{2\} \doteq Set\{1\}))
Assert
             \tau \models (Set\{2, null, 2\} \doteq Set\{null, 2\})
Assert
             \tau \models (Set\{1, null, 2\} \iff Set\{null, 2\})
              \tau \models (Set\{Set\{2,null\}\} \doteq Set\{Set\{null,2\}\})
Assert
Assert
              \tau \models (Set\{Set\{2,null\}\}) <> Set\{Set\{null,2\},null\})
Assert
              \tau \models (Set\{null\} -> select(x \mid not \ x) \doteq Set\{null\})
              \tau \models (Set\{null\} -> reject(x \mid not \ x) \doteq Set\{null\})
Assert
               const (Set{Set{2,null}, invalid}) \langle proof \rangle
lemma
```

end

6. Formalization III: UML/OCL constructs: State Operations and Objects

```
theory UML-State imports UML-Library begin no-notation None \ (\bot)
```

6.1. Introduction: States over Typed Object Universes

In the following, we will refine the concepts of a user-defined data-model (implied by a class-diagram) as well as the notion of state used in the previous section to much more detail. Surprisingly, even without a concrete notion of an objects and a universe of object representation, the generic infrastructure of state-related operations is fairly rich.

6.1.1. Fundamental Properties on Objects: Core Referential Equality

Definition

```
Generic referential equality - to be used for instantiations with concrete object types ...
```

```
definition StrictRefEq_{Object} :: (\mathfrak{A}, 'a:: \{object, null\})val \Rightarrow (\mathfrak{A}, 'a)val \Rightarrow (\mathfrak{A})Boolean where StrictRefEq_{Object} \ x \ y
\equiv \lambda \ \tau . \ if \ (v \ x) \ \tau = true \ \tau \wedge (v \ y) \ \tau = true \ \tau
then \ if \ x \ \tau = null \ \lor \ y \ \tau = null
then \ \lfloor \lfloor x \ \tau = null \ \land \ y \ \tau = null \rfloor \rfloor
else \ \lfloor \lfloor (oid\text{-}of \ (x \ \tau)) = (oid\text{-}of \ (y \ \tau)) \ \rfloor \rfloor
else \ invalid \ \tau
```

Strictness and context passing

```
\begin{array}{l} \textbf{lemma} \ \textit{StrictRefEq_{Object}} \cdot \textit{strict1} \left[ \textit{simp,code-unfold} \right] : \\ \left( \textit{StrictRefEq_{Object}} \ x \ \textit{invalid} \right) = \textit{invalid} \\ \left\langle \textit{proof} \right\rangle \\ \\ \textbf{lemma} \ \textit{StrictRefEq_{Object}} \cdot \textit{strict2} \left[ \textit{simp,code-unfold} \right] : \\ \left( \textit{StrictRefEq_{Object}} \ \textit{invalid} \ x \right) = \textit{invalid} \\ \left\langle \textit{proof} \right\rangle \\ \\ \textbf{lemma} \ \textit{cp-StrictRefEq_{Object}} : \\ \left( \textit{StrictRefEq_{Object}} \ x \ y \ \tau \right) = \left( \textit{StrictRefEq_{Object}} \ (\lambda\text{-.} \ x \ \tau) \ (\lambda\text{-.} \ y \ \tau) \right) \tau \end{array}
```

```
 \begin{split} &\langle proof \rangle \\ &\textbf{lemmas} \quad cp0\text{-}StrictRefEq_{Object} = \quad cp\text{-}StrictRefEq_{Object}[THEN \quad allI[THEN \quad allI[
```

6.1.2. Logic and Algebraic Layer on Object

Validity and Definedness Properties

We derive the usual laws on definedness for (generic) object equality:

```
lemma StrictRefEq_{Object}-defargs:

\tau \models (StrictRefEq_{Object} \ x \ (y::('\mathfrak{A},'a::\{null,object\})val)) \Longrightarrow (\tau \models (v \ x)) \land (\tau \models (v \ y))
\langle proof \rangle

lemma defined-StrictRefEq_{Object}-I:
assumes val-x : \tau \models v \ x
assumes val-x : \tau \models v \ y
shows \tau \models \delta \ (StrictRefEq_{Object} \ x \ y)
\langle proof \rangle

lemma StrictRefEq_{Object}-def-homo:
\delta (StrictRefEq_{Object} \ x \ (y::('\mathfrak{A},'a::\{null,object\})val)) = ((v \ x) \ and \ (v \ y))
\langle proof \rangle
```

Symmetry

```
 \begin{array}{l} \textbf{lemma} \ \textit{StrictRefEq_Object-sym} : \\ \textbf{assumes} \ \textit{x-val} : \tau \models v \ \textit{x} \\ \textbf{shows} \ \tau \models \textit{StrictRefEq_Object} \ \textit{x} \ \textit{x} \\ \langle \textit{proof} \rangle \\ \end{array}
```

Behavior vs StrongEq

It remains to clarify the role of the state invariant $\operatorname{inv}_{\sigma}(\sigma)$ mentioned above that states the condition that there is a "one-to-one" correspondence between object representations and oid's: $\forall oid \in \operatorname{dom} \sigma$. $oid = \operatorname{OidOf} \lceil \sigma(oid) \rceil$. This condition is also mentioned in [29, Annex A] and goes back to Richters [31]; however, we state this condition as an invariant on states rather than a global axiom. It can, therefore, not be taken for granted that an oid makes sense both in pre- and post-states of OCL expressions.

We capture this invariant in the predicate WFF:

```
definition WFF :: ({}'\mathfrak{A}::object)st \Rightarrow bool
```

```
where WFF \tau = ((\forall x \in ran(heap(fst \ \tau)), \lceil heap(fst \ \tau) \ (oid\text{-}of \ x) \rceil = x) \land (\forall x \in ran(heap(snd \ \tau)), \lceil heap(snd \ \tau) \ (oid\text{-}of \ x) \rceil = x))
```

It turns out that WFF is a key-concept for linking strict referential equality to logical equality: in well-formed states (i.e. those states where the self (oid-of) field contains the pointer to which the object is associated to in the state), referential equality coincides with logical equality.

We turn now to the generic definition of referential equality on objects: Equality on objects in a state is reduced to equality on the references to these objects. As in HOL-OCL [5, 7], we will store the reference of an object inside the object in a (ghost) field. By establishing certain invariants ("consistent state"), it can be assured that there is a "one-to-one-correspondence" of objects to their references—and therefore the definition below behaves as we expect.

Generic Referential Equality enjoys the usual properties: (quasi) reflexivity, symmetry, transitivity, substitutivity for defined values. For type-technical reasons, for each concrete object type, the equality \doteq is defined by generic referential equality.

```
theorem StrictRefEq_{Object}-vs-StrongEq:
assumes WFF: WFF \tau
and valid-x: \tau \models (v \ x)
and valid-y: \tau \models (v \ y)
and x-present-pre: x \tau \in ran (heap(fst \tau))
and y-present-pre: y \tau \in ran (heap(fst \tau))
and x-present-post:x \tau \in ran (heap(snd \tau))
and y-present-post:y \tau \in ran (heap(snd \tau))
shows (\tau \models (StrictRefEq_{Object} \ x \ y)) = (\tau \models (x \triangleq y))
\langle proof \rangle
theorem StrictRefEq_{Object}-vs-StrongEq':
assumes WFF: WFF \tau
and valid-x: \tau \models (v \ (x :: ('\mathfrak{A}::object, '\alpha::\{null, object\})val))
and valid-y: \tau \models (v \ y)
and oid-preserve: \bigwedge x. x \in ran\ (heap(fst\ \tau)) \lor x \in ran\ (heap(snd\ \tau)) \Longrightarrow
                          H x \neq \bot \Longrightarrow oid\text{-}of (H x) = oid\text{-}of x
and xy-together: x \tau \in H 'ran (heap(fst \tau)) \land y \tau \in H 'ran (heap(fst \tau)) \lor
                   x \tau \in H 'ran (heap(snd \tau)) \land y \tau \in H 'ran (heap(snd \tau))
shows (\tau \models (StrictRefEq_{Object} \ x \ y)) = (\tau \models (x \triangleq y))
 \langle proof \rangle
```

So, if two object descriptions live in the same state (both pre or post), the referential equality on objects implies in a WFF state the logical equality.

6.2. Operations on Object

6.2.1. Initial States (for testing and code generation)

```
definition \tau_0 :: (\mathfrak{A})st
where \tau_0 \equiv ((|heap=Map.empty, assocs = Map.empty), (|heap=Map.empty, assocs = Map.empty))
```

6.2.2. OclAllInstances

To denote OCL types occurring in OCL expressions syntactically—as, for example, as "argument" of oclallinstances()—we use the inverses of the injection functions into the object universes; we show that this is a sufficient "characterization."

```
definition OclAllInstances-generic :: (('\mathbb{A}::object) st \Rightarrow '\mathbb{A} state) \Rightarrow ('\mathbb{A}::object \rightarrow '\alpha) \Rightarrow
                                           ('\mathfrak{A}, '\alpha option option) Set
where OclAllInstances-generic fst-snd H =
                      (\lambda \tau. \ Abs\text{-}Set_{base} \mid \mid Some \ ((H \ ran \ (heap \ (fst\text{-}snd \ \tau))) - \{ \ None \}) \mid \mid)
lemma OclAllInstances-generic-defined: \tau \models \delta (OclAllInstances-generic pre-post H)
 \langle proof \rangle
lemma OclAllInstances-generic-init-empty:
assumes [simp]: \bigwedge x. pre\text{-post}(x, x) = x
shows \tau_0 \models OclAllInstances-generic pre-post H \triangleq Set\{\}
\langle proof \rangle
lemma represented-generic-objects-nonnull:
assumes A: \tau \models ((OclAllInstances-generic\ pre-post\ (H:('\mathfrak{A}::object \rightharpoonup '\alpha))) -> includes(x))
shows
               \tau \models not(x \triangleq null)
\langle proof \rangle
lemma represented-generic-objects-defined:
assumes A: \tau \models ((OclAllInstances-generic\ pre-post\ (H:('\mathfrak{A}::object \rightharpoonup '\alpha))) ->includes(x))
               \tau \models \delta \ (\textit{OclAllInstances-generic pre-post } H) \land \tau \models \delta \ x
shows
\langle proof \rangle
   One way to establish the actual presence of an object representation in a state is:
{\bf lemma}\ represented\hbox{-} generic\hbox{-} objects\hbox{-} in\hbox{-} state\colon
assumes A: \tau \models (OclAllInstances-generic\ pre-post\ H) -> includes(x)
shows
               x \tau \in (Some \ o \ H) \ `ran \ (heap(pre-post \ \tau))
\langle proof \rangle
lemma state-update-vs-allInstances-generic-empty:
assumes [simp]: \bigwedge a. pre-post (mk \ a) = a
           (mk \ (heap=empty, assocs=A)) \models OclAllInstances-generic \ pre-post \ Type \doteq Set\{\}
\mathbf{shows}
\langle proof \rangle
```

Here comes a couple of operational rules that allow to infer the value of oclAllInstances

from the context τ . These rules are a special-case in the sense that they are the only rules that relate statements with different τ 's. For that reason, new concepts like "constant contexts P" are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

```
lemma state-update-vs-allInstances-generic-including':
assumes [simp]: \bigwedge a. pre-post (mk a) = a
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
   and Type\ Object \neq None
 shows (OclAllInstances-generic pre-post Type)
        (mk \ (heap = \sigma'(oid \mapsto Object), \ assocs = A))
        ((OclAllInstances-generic\ pre-post\ Type) -> including(\lambda -. [[\ drop\ (Type\ Object)\ ]]))
        (mk \ (heap=\sigma', assocs=A))
\langle proof \rangle
{\bf lemma}\ state-update-vs-all Instances-generic-including:
assumes [simp]: \bigwedge a. pre-post (mk \ a) = a
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
   and Type\ Object \neq None
shows (OclAllInstances-generic pre-post Type)
        (mk \ (heap = \sigma'(oid \mapsto Object), \ assocs = A))
        ((\lambda -. (OclAllInstances-generic pre-post Type))
                (mk \ (heap=\sigma', assocs=A))) -> including(\lambda -. \mid drop \ (Type \ Object) \mid |))
        (mk \ (heap = \sigma'(oid \mapsto Object), assocs = A))
 \langle proof \rangle
lemma state-update-vs-allInstances-generic-noincluding':
assumes [simp]: \bigwedge a. pre-post (mk \ a) = a
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
   and Type\ Object = None
 shows (OclAllInstances-generic pre-post Type)
        (mk \ (|heap=\sigma'(oid \mapsto Object), \ assocs=A|))
        (OclAllInstances-generic pre-post Type)
        (mk \ (heap = \sigma', assocs = A))
\langle proof \rangle
theorem state-update-vs-allInstances-generic-ntc:
assumes [simp]: \bigwedge a. pre-post (mk a) = a
assumes oid\text{-}def: oid\notin dom \sigma'
and non-type-conform: Type\ Object=None
and cp-ctxt:
                     cp P
and const-ctxt: \bigwedge X. const X \Longrightarrow const (P X)
```

```
shows (mk \ (heap = \sigma'(oid \mapsto Object), assocs = A)) \models P \ (OclAllInstances-generic \ pre-post \ Type)) =
       (mk \ (heap=\sigma', assocs=A))
                                                      \models P (OclAllInstances-generic pre-post Type))
      (is (?\tau \models P ?\varphi) = (?\tau' \models P ?\varphi))
\langle proof \rangle
theorem state-update-vs-allInstances-generic-tc:
assumes [simp]: \bigwedge a. pre-post (mk \ a) = a
assumes oid-def: oid\notindom \sigma'
and type-conform: Type Object \neq None
                       cp P
and cp-ctxt:
and const-ctxt: \bigwedge X. const X \Longrightarrow const (P X)
shows (mk \ (heap = \sigma'(oid \mapsto Object), assocs = A)) \models P \ (OclAllInstances-generic pre-post Type)) =
       (mk \ (heap=\sigma', assocs=A))
                                              \models P ((OclAllInstances-generic pre-post Type)
                                                                    ->including(\lambda -. \lfloor (Type\ Object) \rfloor)))
       (is (?\tau \models P ?\varphi) = (?\tau' \models P ?\varphi'))
\langle proof \rangle
declare OclAllInstances-generic-def [simp]
OclAllInstances (@post)
definition OclAllInstances-at-post :: ('\mathfrak{A} :: object \rightharpoonup '\alpha) \Rightarrow ('\mathfrak{A}, '\alpha option option) Set
                            (- .allInstances'('))
where OclAllInstances-at-post = OclAllInstances-generic snd
lemma OclAllInstances-at-post-defined: \tau \models \delta (H .allInstances())
\langle proof \rangle
lemma \tau_0 \models H \ .allInstances() \triangleq Set\{\}
\langle proof \rangle
lemma represented-at-post-objects-nonnull:
assumes A: \tau \models (((H::('\mathfrak{A}::object \rightharpoonup '\alpha)).allInstances()) ->includes(x))
shows
              \tau \models not(x \triangleq null)
\langle proof \rangle
lemma represented-at-post-objects-defined:
assumes A: \tau \models (((H::('\mathfrak{A}::object \rightharpoonup '\alpha)).allInstances()) ->includes(x))
shows
              \tau \models \delta \ (H \ .allInstances()) \land \tau \models \delta \ x
\langle proof \rangle
   One way to establish the actual presence of an object representation in a state is:
assumes A: \tau \models H \ .allInstances() -> includes(x)
              x \tau \in (Some \ o \ H) \ `ran \ (heap(snd \ \tau))
\mathbf{shows}
\langle proof \rangle
\mathbf{lemma}\ state-update-vs-allInstances-at-post-empty:
```

```
shows (\sigma, (heap=empty, assocs=A)) \models Type .allInstances() \doteq Set{} \{ proof \}
```

Here comes a couple of operational rules that allow to infer the value of oclAllInstances from the context τ . These rules are a special-case in the sense that they are the only rules that relate statements with *different* τ 's. For that reason, new concepts like "constant contexts P" are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

```
lemma state-update-vs-allInstances-at-post-including':
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
   and Type Object \neq None
 shows (Type .allInstances())
         (\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A))
         ((Type \ .allInstances()) -> including(\lambda -. [ drop \ (Type \ Object) ]]))
         (\sigma, (heap=\sigma', assocs=A))
\langle proof \rangle
\mathbf{lemma}\ state\text{-}update\text{-}vs\text{-}allInstances\text{-}at\text{-}post\text{-}including};
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
    and Type\ Object \neq None
shows (Type .allInstances())
         (\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A))
         ((\lambda - (Type \ .allInstances()))
                 (\sigma, (heap=\sigma', assocs=A))) -> including(\lambda -. || drop (Type Object) ||))
         (\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A))
\langle proof \rangle
lemma state-update-vs-allInstances-at-post-noincluding':
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
   and Type \ Object = None
 shows (Type .allInstances())
         (\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A))
         (Type \ .allInstances())
         (\sigma, (heap = \sigma', assocs = A))
\langle proof \rangle
{\bf theorem}\ state-update-vs-all Instances-at-post-ntc:
assumes oid-def: oid\notindom \sigma'
and non-type-conform: Type\ Object=None
and cp-ctxt:
                       cp P
and const-ctxt: \bigwedge X. const X \Longrightarrow const (P X)
shows ((\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A))) \models (P(Type .allInstances()))) =
```

```
((\sigma, (heap=\sigma', assocs=A))
                                                      \models (P(Type \ .allInstances())))
\langle proof \rangle
theorem state-update-vs-allInstances-at-post-tc:
assumes oid-def: oid\notin dom \sigma'
and type-conform: Type Object \neq None
                       cp P
and cp-ctxt:
and const-ctxt: \bigwedge X. const X \Longrightarrow const (P X)
shows ((\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A)) \models (P(Type \ .allInstances()))) =
         ((\sigma, (heap=\sigma', assocs=A))
                                                       \models (P((Type .allInstances()))
                                                                 ->including(\lambda -. | (Type Object)|)))
\langle proof \rangle
OclAllInstances (@pre)
definition OclAllInstances-at-pre :: ('\mathbf{A} :: object \rightarrow '\alpha) \Rightarrow ('\mathbf{A}, '\alpha option option) Set
                            (- .allInstances@pre'('))
where OclAllInstances-at-pre = OclAllInstances-generic fst
lemma OclAllInstances-at-pre-defined: \tau \models \delta (H .allInstances@pre())
\langle proof \rangle
lemma \tau_0 \models H .allInstances@pre() \triangleq Set\{\}
lemma represented-at-pre-objects-nonnull:
assumes A: \tau \models (((H::('\mathfrak{A}::object \rightarrow '\alpha)).allInstances@pre()) ->includes(x))
              \tau \models not(x \triangleq null)
shows
\langle proof \rangle
lemma represented-at-pre-objects-defined:
assumes A: \tau \models (((H::('\mathfrak{A}::object \rightharpoonup '\alpha)).allInstances@pre()) ->includes(x))
shows
              \tau \models \delta \ (H \ .allInstances@pre()) \land \tau \models \delta \ x
\langle proof \rangle
   One way to establish the actual presence of an object representation in a state is:
assumes A: \tau \models H .allInstances@pre() -> includes(x)
              x \tau \in (Some \ o \ H) \ `ran \ (heap(fst \ \tau))
shows
\langle proof \rangle
\mathbf{lemma}\ state-update-vs-allInstances-at-pre-empty:
          ((heap=empty, assocs=A), \sigma) \models Type .allInstances@pre() \doteq Set\{\}
\mathbf{shows}
\langle proof \rangle
```

Here comes a couple of operational rules that allow to infer the value of oclAllInstances@pre from the context τ . These rules are a special-case in the sense that they are the only rules that relate statements with different τ 's. For that reason, new

concepts like "constant contexts P" are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

```
\mathbf{lemma}\ state-update-vs-allInstances-at-pre-including':
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
    and Type\ Object \neq None
 shows (Type .allInstances@pre())
         ((heap = \sigma'(oid \mapsto Object), assocs = A), \sigma)
         ((Type \ .allInstances@pre()) -> including(\lambda -. [ drop (Type \ Object) ]]))
         ((heap = \sigma', assocs = A), \sigma)
\langle proof \rangle
\mathbf{lemma}\ state\text{-}update\text{-}vs\text{-}allInstances\text{-}at\text{-}pre\text{-}including};
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
    and Type\ Object \neq None
shows (Type .allInstances@pre())
         ((|heap = \sigma'(oid \mapsto Object), assocs = A|), \sigma)
         ((\lambda -. (Type .allInstances@pre())
                 ((|heap=\sigma', assocs=A|), \sigma)) -> including(\lambda -. [[drop (Type Object)]))
         ((heap = \sigma'(oid \mapsto Object), assocs = A), \sigma)
\langle proof \rangle
lemma state-update-vs-allInstances-at-pre-noincluding':
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
    and Type \ Object = None
 shows (Type .allInstances@pre())
         ((|heap=\sigma'(oid \mapsto Object), assocs=A), \sigma)
         (Type \ .allInstances@pre())
         ((heap = \sigma', assocs = A), \sigma)
\langle proof \rangle
{\bf theorem}\ state-update-vs-all Instances-at-pre-ntc:
assumes oid-def: oid\notin dom \sigma'
and non-type-conform: Type\ Object = None
                      cp P
and cp-ctxt:
and const-ctxt: \bigwedge X. const X \Longrightarrow const (P X)
shows (((heap = \sigma'(oid \mapsto Object), assocs = A)), \sigma) \models (P(Type .allInstances@pre()))) =
         (((heap=\sigma', assocs=A), \sigma)
                                                       \models (P(Type .allInstances@pre())))
\langle proof \rangle
\textbf{theorem} \ state-update-vs-all Instances-at-pre-tc:
assumes oid-def: oid\notindom \sigma'
and type-conform: Type Object \neq None
```

```
\begin{array}{ll} \mathbf{and} & \textit{cp-ctxt:} & \textit{cp P} \\ \mathbf{and} & \textit{const-ctxt:} & \bigwedge X. \; \textit{const} \; X \Longrightarrow \textit{const} \; (P \; X) \\ \mathbf{shows} & (((\lceil \textit{heap} = \sigma'(\textit{oid} \mapsto \textit{Object}), \textit{assocs} = A \rceil), \; \sigma) \; \models \; (P(\textit{Type .allInstances@pre()})))) = \\ & (((\lceil \textit{heap} = \sigma', \; \textit{assocs} = A \rceil), \; \sigma) \; & \vdash \; (P((\textit{Type .allInstances@pre()}))))) \\ & (proof) \\ & \langle \textit{proof} \rangle \end{array}
```

Opost or Opre

```
theorem StrictRefEq_{Object}-vs-StrongEq'':
assumes WFF: WFF \tau
and valid-x: \tau \models (v \ (x :: ('\mathfrak{A}::object, '\alpha::object \ option \ option) val))
and valid-y: \tau \models (v \ y)
and oid-preserve: \bigwedge x \ x \in ran \ (heap(fst \ \tau)) \lor x \in ran \ (heap(snd \ \tau)) \Longrightarrow oid-of \ (H \ x) = oid-of \ x
and xy-together: \tau \models ((H \ .allInstances()->includes(x) \ and \ H \ .allInstances()->includes(y))
or
(H \ .allInstances@pre()->includes(x) \ and \ H \ .allInstances@pre()->includes(y)))
shows (\tau \models (StrictRefEq_{Object} \ x \ y)) = (\tau \models (x \triangleq y))
\langle proof \rangle
```

6.2.3. OcllsNew, OcllsDeleted, OcllsMaintained, OcllsAbsent

```
definition OclIsNew:: (\mathfrak{A}, '\alpha::\{null,object\})val \Rightarrow (\mathfrak{A})Boolean \quad ((-).oclIsNew'(')) where X .oclIsNew() \equiv (\lambda \tau . if \ (\delta \ X) \ \tau = true \ \tau then \ \lfloor \lfloor oid\text{-}of \ (X \ \tau) \notin dom(heap(fst \ \tau)) \ \land oid\text{-}of \ (X \ \tau) \in dom(heap(snd \ \tau)) \rfloor \rfloor else \ invalid \ \tau)
```

The following predicates — which are not part of the OCL standard descriptions — complete the goal of oclIsNew by describing where an object belongs.

```
definition OclIsDeleted:: ('\mathbb{A}, '\alpha::{null,object})val \Rightarrow ('\mathbb{A})Boolean ((-).oclIsDeleted'(')) where X .oclIsDeleted() \Rightarrow (\lambda \tau \) if (\delta X) \tau = true \ 	au then \lfloor \lfloor oid\text{-}of \ (X \ 	au) \in dom(heap(fst \ 	au)) \land oid\text{-}of \ (X \ 	au) \notin dom(heap(snd \ 	au)) \rfloor \rfloor else invalid \tau)
```

```
definition OclIsMaintained:: ('\mathbb{A}, '\alpha::\{null,object\}\)val \Rightarrow ('\mathbb{A})Boolean((-).oclIsMaintained'('))

where X .oclIsMaintained() \equiv (\lambda \tau . if (\delta X) \tau = true \tau

then \lfloor \lfloor \text{oid-of}(X \tau) \in \text{dom}(\text{heap}(\text{fst }\tau)) \land \text{oid-of}(X \tau) \in \text{dom}(\text{heap}(\text{snd }\tau)) \rfloor \rfloor

else invalid \tau)
```

```
definition OclIsAbsent:: ('\mathfrak{A}, '\alpha::\{null, object\})val \Rightarrow ('\mathfrak{A})Boolean ((-).oclIsAbsent'('))

where X .oclIsAbsent() \equiv (\lambda \tau : if (\delta X) \tau = true \tau

then \mid \lfloor oid\text{-}of (X \tau) \notin dom(heap(fst \tau)) \land oid\text{-}of (X \tau) \notin dom(heap(snd \tau)) \rfloor \rfloor

else invalid \tau)
```

```
 \begin{array}{c} \textbf{lemma} \ state\text{-}split: \tau \models \delta \ X \Longrightarrow \\ \tau \models (X \ .oclIsNew()) \lor \tau \models (X \ .oclIsDeleted()) \lor \\ \tau \models (X \ .oclIsMaintained()) \lor \tau \models (X \ .oclIsAbsent()) \\ \langle proof \rangle \\ \\ \textbf{lemma} \ notNew\text{-}vs\text{-}others: \tau \models \delta \ X \Longrightarrow \\ (\lnot \tau \models (X \ .oclIsNew())) = (\tau \models (X \ .oclIsDeleted()) \lor \\ \tau \models (X \ .oclIsMaintained()) \lor \tau \models (X \ .oclIsAbsent())) \\ \langle proof \rangle \\ \end{aligned}
```

6.2.4. OcllsModifiedOnly

Definition

The following predicate—which is not part of the OCL standard—provides a simple, but powerful means to describe framing conditions. For any formal approach, be it animation of OCL contracts, test-case generation or die-hard theorem proving, the specification of the part of a system transition that *does not change* is of primordial importance. The following operator establishes the equality between old and new objects in the state (provided that they exist in both states), with the exception of those objects.

```
definition OclIsModifiedOnly :: ('\mathfrak{A}::object,'\alpha::\{null,object\})Set \Rightarrow '\mathfrak{A} Boolean (-->oclIsModifiedOnly'(')) where X->oclIsModifiedOnly() \equiv (\lambda(\sigma,\sigma'). let \ X' = (oid-of \ `\lceil\lceil Rep-Set_{base}(X(\sigma,\sigma'))\rceil\rceil); S = ((dom \ (heap \ \sigma) \cap dom \ (heap \ \sigma')) - X') in \ if \ (\delta \ X) \ (\sigma,\sigma') = true \ (\sigma,\sigma') \wedge (\forall \ x \in \lceil\lceil Rep-Set_{base}(X(\sigma,\sigma'))\rceil\rceil. \ x \neq null) then \lfloor \lfloor \forall \ x \in S. \ (heap \ \sigma) \ x = (heap \ \sigma') \ x \rfloor \rfloor else invalid \ (\sigma,\sigma')
```

Execution with Invalid or Null or Null Element as Argument

```
 \begin{aligned} &\mathbf{lemma} \ invalid -> oclIsModifiedOnly() = invalid \\ &\langle proof \rangle \end{aligned} \\ &\mathbf{lemma} \ null -> oclIsModifiedOnly() = invalid \\ &\langle proof \rangle \end{aligned} \\ &\mathbf{lemma} \\ &\mathbf{assumes} \ X\text{-}null : \tau \models X -> includes(null) \\ &\mathbf{shows} \ \tau \models X -> oclIsModifiedOnly() \triangleq invalid \\ &\langle proof \rangle \end{aligned}
```

Context Passing

```
lemma cp-OclIsModifiedOnly : X->oclIsModifiedOnly() \tau = (\lambda-. X \tau)->oclIsModifiedOnly() \tau \langle proof \rangle
```

6.2.5. OclSelf

The following predicate—which is not part of the OCL standard—explicitly retrieves in the pre or post state the original OCL expression given as argument.

```
 \begin{aligned} \textbf{definition} & [simp] \colon OclSelf \ x \ H \ fst\text{-}snd = (\lambda\tau \ . \ if \ (\delta \ x) \ \tau = true \ \tau \\ & then \ if \ oid\text{-}of \ (x \ \tau) \in dom(heap(fst \ \tau)) \land oid\text{-}of \ (x \ \tau) \in dom(heap \ (snd \ \tau)) \\ & then \ H \ \lceil (heap(fst\text{-}snd \ \tau))(oid\text{-}of \ (x \ \tau)) \rceil \\ & else \ invalid \ \tau \\ & else \ invalid \ \tau \\ & else \ invalid \ \tau \\ \end{aligned} 
 \begin{aligned} \textbf{definition} & OclSelf\text{-}at\text{-}pre :: ('\mathfrak{A}::object,'\alpha::\{null,object\})val \Rightarrow \\ & ('\mathfrak{A} \Rightarrow '\alpha) \Rightarrow \\ & ('\mathfrak{A}::object,'\alpha::\{null,object\})val \ ((\text{-})@pre(\text{-})) \end{aligned} 
 \begin{aligned} \textbf{where} \ x \ @pre \ H = OclSelf \ x \ H \ fst \\ \end{aligned} 
 \begin{aligned} \textbf{definition} & OclSelf\text{-}at\text{-}post :: ('\mathfrak{A}::object,'\alpha::\{null,object\})val \Rightarrow \\ & ('\mathfrak{A} \Rightarrow '\alpha) \Rightarrow \\ & ('\mathfrak{A}::object,'\alpha::\{null,object\})val \ ((\text{-})@post(\text{-})) \end{aligned} 
 \end{aligned} 
 \end{aligned} 
 \end{aligned} 
 \end{aligned} 
 \end{aligned} 
 \end{aligned} \end{aligned} 
 \end{aligned} \end{aligned} 
 \end{aligned} \end{aligned} 
 \end{aligned} \end{aligned}
```

6.2.6. Framing Theorem

```
lemma all\text{-}oid\text{-}diff:
   assumes def\text{-}x:\tau\models\delta x
   assumes def\text{-}X:\tau\models\delta X
   assumes def\text{-}X':\bigwedge x.\ x\in\lceil\lceil Rep\text{-}Set_{base}\ (X\ \tau)\rceil\rceil\implies x\neq null

defines P\equiv(\lambda a.\ not\ (StrictRefEq_{Object}\ x\ a))
   shows (\tau\models X->forAll(a|\ P\ a))=(oid\text{-}of\ (x\ \tau)\notin oid\text{-}of\ `\lceil\lceil Rep\text{-}Set_{base}\ (X\ \tau)\rceil\rceil\rceil)

\langle proof\rangle

theorem framing:
   assumes modifiesclause:\tau\models(X->excluding(x))->oclIsModifiedOnly()
   and oid\text{-}is\text{-}typerepr:\tau\models X->forAll(a|\ not\ (StrictRefEq_{Object}\ x\ a))
   shows \tau\models(x\ @pre\ P\ \triangleq\ (x\ @post\ P))
\langle proof\rangle
```

As corollary, the framing property can be expressed with only the strong equality as comparison operator.

```
theorem framing':
    assumes wff: WFF \ \tau
    assumes modifiesclause: \tau \models (X -> excluding(x)) -> oclIsModifiedOnly()
    and oid\text{-}is\text{-}typerepr: \tau \models X -> forAll(a|\ not\ (x \triangleq a))
    and oid\text{-}preserve: \bigwedge x.\ x \in ran\ (heap(fst\ \tau)) \lor x \in ran\ (heap(snd\ \tau)) \Longrightarrow oid\text{-}of\ (H\ x) = oid\text{-}of\ x
    and xy\text{-}together:
    \tau \models X -> forAll(y \mid (H\ .allInstances() -> includes(x)\ and\ H\ .allInstances() -> includes(y)) or (H\ .allInstances@pre() -> includes(x)\ and\ H\ .allInstances@pre() -> includes(y)))
    shows \tau \models (x\ @pre\ P\ \triangleq\ (x\ @post\ P))
```

6.2.7. Miscellaneous

```
lemma pre-post-new: \tau \models (x . oclIsNew()) \Longrightarrow \neg (\tau \models v(x @pre H1)) \land \neg (\tau \models v(x @post))
H2))
\langle proof \rangle
lemma pre-post-old: \tau \models (x \text{ .oclIsDeleted}()) \Longrightarrow \neg (\tau \models v(x @pre H1)) \land \neg (\tau \models v(x @post))
H2))
\langle proof \rangle
lemma pre-post-absent: \tau \models (x . ocllsAbsent()) \Longrightarrow \neg (\tau \models v(x @pre H1)) \land \neg (\tau \models v(x @post))
\langle proof \rangle
lemma pre-post-maintained: (\tau \models v(x @pre H1) \lor \tau \models v(x @post H2)) \Longrightarrow \tau \models (x)
.oclIsMaintained())
\langle proof \rangle
lemma pre-post-maintained':
\tau \models (x \ .ocllsMaintained()) \Longrightarrow (\tau \models v(x \ @pre \ (Some \ o \ H1)) \land \tau \models v(x \ @post \ (Some \ o \ H2)))
\langle proof \rangle
lemma framing-same-state: (\sigma, \sigma) \models (x @pre H \triangleq (x @post H))
\langle proof \rangle
end
theory UML-Tools
imports UML-Logic
begin
lemmas substs1 = StrongEq-L-subst2-rev
                 foundation15[THEN iffD2, THEN StrongEq-L-subst2-rev]
                 foundation?'[THEN iffD2, THEN foundation15]THEN iffD2,
                                       THEN\ StrongEq\text{-}L\text{-}subst2\text{-}rev]]
                 foundation14[THEN iffD2, THEN StrongEq-L-subst2-rev]
                 foundation13[THEN iffD2, THEN StrongEq-L-subst2-rev]
lemmas substs2 = StrongEq-L-subst3-rev
                 foundation15[THEN iffD2, THEN StrongEq-L-subst3-rev]
                 foundation?' [THEN iffD2, THEN foundation15] THEN iffD2,
```

 $THEN\ StrongEq-L-subst3-rev]$

```
foundation13[THEN iffD2, THEN StrongEq-L-subst3-rev]
\mathbf{lemmas}\ substs4 = StrongEq\text{-}L\text{-}subst4\text{-}rev
                    foundation15[THEN iffD2, THEN StrongEq-L-subst4-rev]
                    foundation?'[THEN iffD2, THEN foundation15]THEN iffD2,
                                              THEN\ StrongEq-L-subst4-rev]]
                    foundation14 [THEN iffD2, THEN StrongEq-L-subst4-rev]
                    foundation13[THEN iffD2, THEN StrongEq-L-subst4-rev]
lemmas \ substs = substs1 \ substs2 \ substs4 \ [THEN iffD2] \ substs4
\mathbf{thm} substs
\langle ML \rangle
lemma test1: \tau \models A \Longrightarrow \tau \models (A \ and \ B \triangleq B)
lemma test2: \tau \models A \Longrightarrow \tau \models (A \ and \ B \triangleq B)
\langle proof \rangle
lemma test3: \tau \models A \Longrightarrow \tau \models (A \ and \ A)
\langle proof \rangle
lemma test4: \tau \models not \ A \Longrightarrow \tau \models (A \ and \ B \triangleq false)
\langle proof \rangle
lemma test5: \tau \models (A \triangleq null) \Longrightarrow \tau \models (B \triangleq null) \Longrightarrow \neg (\tau \models (A \ and \ B))
\langle proof \rangle
lemma test6 : \tau \models not A \Longrightarrow \neg (\tau \models (A \ and \ B))
lemma test 7 : \neg (\tau \models (v \ A)) \Longrightarrow \tau \models (not \ B) \Longrightarrow \neg (\tau \models (A \ and \ B))
\langle proof \rangle
lemma X: \neg (\tau \models (invalid \ and \ B))
\langle proof \rangle
lemma X': \neg (\tau \models (invalid \ and \ B))
\langle proof \rangle
lemma Y: \neg (\tau \models (null \ and \ B))
```

foundation14 [THEN iffD2, THEN StrongEq-L-subst3-rev]

```
\langle proof \rangle

lemma Z: \neg (\tau \models (false \ and \ B))

\langle proof \rangle

lemma Z': (\tau \models (true \ and \ B)) = (\tau \models B)

\langle proof \rangle
```

end

```
theory UML-Contracts imports UML-State UML-Library begin
```

Modeling of an operation contract for an operation with 2 arguments, (so depending on three parameters if one takes "self" into account).

```
locale contract\theta =
   fixes f :: ('\mathfrak{A}, '\alpha \theta :: null) val \Rightarrow
                      ('\mathfrak{U},'res::null)val
   fixes PRE :: ('\mathfrak{A}, '\alpha\theta :: null) val \Rightarrow
                      (\mathfrak{A}, Boolean_{base})val
   fixes POST :: ('\mathfrak{A}, '\alpha\theta :: null)val \Rightarrow
                      ('\mathfrak{A},'res::null)val \Rightarrow
                      ('A, Boolean<sub>base</sub>)val
   assumes def-scheme: f self \equiv (\lambda \tau. if (\tau \models (\delta self)))
                                                   then SOME res. (\tau \models PRE \ self) \land
                                                                      (\tau \models POST \ self \ (\lambda -. \ res))
                                                   else invalid \tau)
   assumes \forall \ \sigma \ \sigma' \ \sigma''. ((\sigma, \sigma') \models PRE \ self) = ((\sigma, \sigma'') \models PRE \ self)
   assumes cp_{PRE}: PRE (self) \tau = PRE (\lambda - self \tau) \tau
   assumes cp_{POST}:POST (self) (res) \tau = POST (\lambda -. self \tau) (\lambda -. res \tau) \tau
begin
   lemma strict0 [simp]: finvalid = invalid
   \langle proof \rangle
```

```
lemma \ nullstrict0[simp]: f \ null = invalid
   \langle proof \rangle
   lemma cp-pre: cp self' \implies cp (\lambda X. PRE (self' X)
   \langle proof \rangle
   lemma cp-post: cp self' \Longrightarrow cp res' \Longrightarrow cp (\lambda X. POST (self' X) (res' X))
   \langle proof \rangle
   lemma cp\theta : f self \ \tau = f \ (\lambda - self \ \tau) \ \tau
   \langle proof \rangle
   lemma cp [simp]: cp self' \Longrightarrow cp res' \Longrightarrow cp (\lambda X. f (self' X))
       \langle proof \rangle
   {f theorem} \ {\it unfold} :
       assumes context-ok:
                                         cp E
       and args-def-or-valid: (\tau \models \delta \ self)
       and pre-satisfied:
                                     \tau \models PRE \ self
       and post-satisfiable: \exists res. (\tau \models POST \ self \ (\lambda -. \ res))
       and sat-for-sols-post: (\land res. \ \tau \models POST \ self \ (\lambda \ -. \ res) \implies \tau \models E \ (\lambda \ -. \ res))
       shows
                                       \tau \models E(f self)
   \langle proof \rangle
   lemma unfold2:
       assumes context-ok:
                                              cp E
       and args-def-or-valid: (\tau \models \delta \ self)
       and pre-satisfied:
                                       \tau \models PRE \ self
       and postsplit-satisfied: \tau \models POST' self
       and post-decomposable : \land res. (POST self res) =
                                               ((POST' self) \ and \ (res \triangleq (BODY self)))
       shows (\tau \models E(f self)) = (\tau \models E(BODY self))
   \langle proof \rangle
end
locale contract1 =
   fixes f :: ('\mathfrak{A}, '\alpha\theta :: null)val \Rightarrow
                      ('\mathfrak{A}, '\alpha 1::null)val \Rightarrow
                      ('\mathfrak{U},'res::null)val
   fixes PRE :: (\mathfrak{A}, \alpha \theta :: null) val \Rightarrow
                      (\mathfrak{A}, \alpha 1::null)val \Rightarrow
                      ('\mathbb{A}, Boolean_{base})val
   fixes POST :: ('\mathfrak{A}, '\alpha\theta :: null)val \Rightarrow
                      ('\mathfrak{A},'\alpha 1::null)val \Rightarrow
                      ('\mathfrak{A},'res::null)val \Rightarrow
                      (^{\prime}\!\mathfrak{A},\,Boolean_{base})val
```

```
assumes def-scheme: f self a1 \equiv
                                   (\lambda \tau. if (\tau \models (\delta self)) \land (\tau \models \upsilon a1)
                                           then SOME res. (\tau \models PRE \ self \ a1) \land
                                                            (\tau \models POST \ self \ a1 \ (\lambda -. \ res))
                                           else invalid \tau)
   assumes \forall \sigma \sigma' \sigma''. ((\sigma, \sigma') \models PRE \ self \ a1) = ((\sigma, \sigma'') \models PRE \ self \ a1)
   assumes cp_{PRE}: PRE (self) (a1) \tau = PRE (\lambda -. self \tau) (\lambda -. a1 \tau) \tau
   assumes cp_{POST}: POST (self) (a1) (res) \tau = POST (\lambda -. self \tau)(\lambda -. a1 \tau) (\lambda -. res \tau) \tau
begin
   lemma strict0 [simp]: f invalid X = invalid
   \langle proof \rangle
   lemma nullstrict0[simp]: f null X = invalid
   \langle proof \rangle
   lemma strict1[simp]: f self invalid = invalid
   \langle proof \rangle
   lemma cp-pre: cp self' \Longrightarrow cp a1' \Longrightarrow cp (\lambda X. PRE (self' X) (a1' X) )
   \langle proof \rangle
   lemma cp-post: cp self' \implies cp a1' \implies cp res'
                      \implies cp \ (\lambda X. \ POST \ (self' \ X) \ (a1' \ X) \ (res' \ X))
   \langle proof \rangle
   lemma cp\theta : f self a1 \tau = f (\lambda - self \tau) (\lambda - a1 \tau) \tau
   \langle proof \rangle
   lemma cp [simp]: cp self' \Longrightarrow cp a1' \Longrightarrow cp res' \Longrightarrow cp (\lambda X. f(self' X)(a1' X))
       \langle proof \rangle
   theorem unfold:
      assumes context-ok:
                                         cp E
      and args-def-or-valid: (\tau \models \delta \ self) \land (\tau \models v \ a1)
      and pre-satisfied:
                                    \tau \models PRE \ self \ a1
      and post-satisfiable: \exists res. (\tau \models POST \ self \ a1 \ (\lambda -. \ res))
      and sat-for-sols-post: (\land res. \ \tau \models POST \ self \ a1 \ (\lambda \ -. \ res) \implies \tau \models E \ (\lambda \ -. \ res))
                                     \tau \models E(f self a1)
      shows
   \langle proof \rangle
   lemma unfold2:
      assumes context-ok:
                                           cp E
      and args-def-or-valid: (\tau \models \delta \ self) \land (\tau \models \upsilon \ a1)
      and pre-satisfied:
                                      \tau \models PRE \ self \ a1
      and postsplit-satisfied: \tau \models POST' self a1
```

```
and post-decomposable : \land res. (POST self a1 res) =
                                                  ((POST' self a1) \ and \ (res \triangleq (BODY self a1)))
       shows (\tau \models E(f self a1)) = (\tau \models E(BODY self a1))
    \langle proof \rangle
end
locale contract2 =
   fixes f :: ('\mathfrak{A}, '\alpha\theta :: null)val \Rightarrow
                       ('\mathfrak{A}, '\alpha 1 :: null) val \Rightarrow ('\mathfrak{A}, '\alpha 2 :: null) val \Rightarrow
                       ('\mathfrak{U},'res::null)val
   fixes PRE :: (\mathfrak{A}, \alpha \theta :: null) val \Rightarrow
                       ('\mathfrak{A}, '\alpha 1 :: null) val \Rightarrow ('\mathfrak{A}, '\alpha 2 :: null) val \Rightarrow
                       (\mathfrak{A}, Boolean<sub>base</sub>)val
   fixes POST :: ('\mathfrak{A}, '\alpha \theta :: null) val \Rightarrow
                       ('\mathfrak{A}, '\alpha 1 :: null) val \Rightarrow ('\mathfrak{A}, '\alpha 2 :: null) val \Rightarrow
                       ('\mathfrak{A},'res::null)val \Rightarrow
                       (\mathfrak{A}, Boolean_{base})val
   assumes def-scheme: f self a1 a2 \equiv
                                       (\lambda \tau. if (\tau \models (\delta self)) \land (\tau \models v \ a1) \land (\tau \models v \ a2)
                                               then SOME res. (\tau \models PRE \ self \ a1 \ a2) \land
                                                                   (\tau \models POST \ self \ a1 \ a2 \ (\lambda -. \ res))
                                               else invalid \tau)
   assumes \forall \sigma \sigma' \sigma''. ((\sigma, \sigma') \models PRE \ self \ a1 \ a2) = ((\sigma, \sigma'') \models PRE \ self \ a1 \ a2)
   assumes cp_{PRE}: PRE (self) (a1) (a2) \tau = PRE (\lambda -. self \tau) (\lambda -. a1 \tau) (\lambda -. a2 \tau) \tau
   assumes cp_{POST}:\land res. POST (self) (a1) (a2) (res) <math>\tau =
                                POST (\lambda - self \tau)(\lambda - a1 \tau)(\lambda - a2 \tau)(\lambda - res \tau) \tau
begin
   lemma strict0 [simp]: f invalid X Y = invalid
    \langle proof \rangle
   lemma nullstrict0[simp]: f null X Y = invalid
    \langle proof \rangle
   lemma strict1[simp]: f self invalid Y = invalid
    \langle proof \rangle
   lemma strict2[simp]: f self X invalid = invalid
    \langle proof \rangle
   lemma cp\text{-}pre: cp \ self' \Longrightarrow cp \ a1' \Longrightarrow cp \ a2' \Longrightarrow cp \ (\lambda X. \ PRE \ (self' X) \ (a1' X) \ (a2' X)
    \langle proof \rangle
   lemma cp-post: cp self'\Longrightarrow cp a1'\Longrightarrow cp a2'\Longrightarrow cp res'
```

```
\implies cp (\lambda X. POST (self' X) (a1' X) (a2' X) (res' X))
   \langle proof \rangle
   lemma cp\theta : f self a1 a2 \tau = f (\lambda - self \tau) (\lambda - a1 \tau) (\lambda - a2 \tau) \tau
   \langle proof \rangle
   lemma cp [simp]: cp self' \Longrightarrow cp a1' \Longrightarrow cp a2' \Longrightarrow cp res'
                         \implies cp \ (\lambda X. \ f \ (self' \ X) \ (a1' \ X) \ (a2' \ X))
      \langle proof \rangle
   {f theorem} \ unfold:
      assumes context-ok:
                                        cp E
      and args-def-or-valid: (\tau \models \delta \ self) \land (\tau \models \upsilon \ a1) \land (\tau \models \upsilon \ a2)
      and pre-satisfied:
                                 \tau \models PRE \ self \ a1 \ a2
      and post-satisfiable: \exists res. (\tau \models POST \ self \ a1 \ a2 \ (\lambda -. \ res))
      and sat-for-sols-post: (\land res. \ \tau \models POST \ self \ a1 \ a2 \ (\lambda \ \text{-.} \ res) \implies \tau \models E \ (\lambda \ \text{-.} \ res))
                                    \tau \models E(f self a1 a2)
   \langle proof \rangle
   lemma unfold2:
      assumes context-ok:
                                          cp E
      and args-def-or-valid: (\tau \models \delta \ self) \land (\tau \models v \ a1) \land (\tau \models v \ a2)
      and pre-satisfied:
                                      \tau \models PRE \ self \ a1 \ a2
      and postsplit-satisfied: \tau \models POST' self a1 a2
      and post-decomposable : \land res. (POST self a1 a2 res) =
                                            ((POST' self a1 a2) \ and \ (res \triangleq (BODY self a1 a2)))
      shows (\tau \models E(f self a1 a2)) = (\tau \models E(BODY self a1 a2))
   \langle proof \rangle
end
end
theory UML-Main
imports UML-State UML-Tools UML-Contracts
begin
```

end

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7. Example I : The Employee Analysis Model (UML)

theory
Analysis-UML
imports
../.././src/UML-Main
begin

7.1. Introduction

For certain concepts like classes and class-types, only a generic definition for its resulting semantics can be given. Generic means, there is a function outside HOL that "compiles" a concrete, closed-world class diagram into a "theory" of this data model, consisting of a bunch of definitions for classes, accessors, method, casts, and tests for actual types, as well as proofs for the fundamental properties of these operations in this concrete data model.

Such generic function or "compiler" can be implemented in Isabelle on the ML level. This has been done, for a semantics following the open-world assumption, for UML 2.0 in [4, 6]. In this paper, we follow another approach for UML 2.4: we define the concepts of the compilation informally, and present a concrete example which is verified in Isabelle/HOL.

7.1.1. Outlining the Example

We are presenting here an "analysis-model" of the (slightly modified) example Figure 7.3, page 20 of the OCL standard [29]. Here, analysis model means that associations were really represented as relation on objects on the state—as is intended by the standard—rather by pointers between objects as is done in our "design model" (see Chapter 8). To be precise, this theory contains the formalization of the data-part covered by the UML class model (see Figure 7.1):

This means that the association (attached to the association class EmployeeRanking) with the association ends boss and employees is implemented by the attribute boss and the operation employees (to be discussed in the OCL part captured by the subsequent theory).

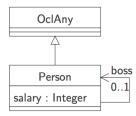


Figure 7.1.: A simple UML class model drawn from Figure 7.3, page 20 of [29].

7.2. Example Data-Universe and its Infrastructure

Ideally, the following is generated automatically from a UML class model.

Our data universe consists in the concrete class diagram just of node's, and implicitly of the class object. Each class implies the existence of a class type defined for the corresponding object representations as follows:

```
datatype type_{Person} = mk_{Person} oid int option
```

```
datatype type_{OclAny} = mk_{OclAny} oid (int option) option
```

Now, we construct a concrete "universe of OclAny types" by injection into a sum type containing the class types. This type of OclAny will be used as instance for all respective type-variables.

```
datatype \mathfrak{A} = in_{Person} \ type_{Person} \mid in_{OclAny} \ type_{OclAny}
```

Having fixed the object universe, we can introduce type synonyms that exactly correspond to OCL types. Again, we exploit that our representation of OCL is a "shallow embedding" with a one-to-one correspondence of OCL-types to types of the meta-language HOL.

```
type-synonym Boolean = \mathfrak{A} Boolean

type-synonym Integer = \mathfrak{A} Integer

type-synonym Void = \mathfrak{A} Void

type-synonym OclAny = (\mathfrak{A}, type_{OclAny} \ option \ option) \ val

type-synonym Person = (\mathfrak{A}, type_{Person} \ option \ option) \ val

type-synonym Set-Integer = (\mathfrak{A}, int \ option \ option) \ Set

type-synonym Set-Person = (\mathfrak{A}, type_{Person} \ option \ option) \ Set
```

Just a little check:

 $\mathbf{typ}\ \mathit{Boolean}$

To reuse key-elements of the library like referential equality, we have to show that the

object universe belongs to the type class "oclany," i. e., each class type has to provide a function oid-of yielding the object id (oid) of the object.

```
instantiation type_{Person} :: object
begin
   definition oid-of-type<sub>Person</sub>-def: oid-of x = (case \ x \ of \ mk_{Person} \ oid \ - \Rightarrow oid)
   instance \langle proof \rangle
end
instantiation type_{OclAny} :: object
begin
   definition oid-of-type<sub>OclAny</sub>-def: oid-of x = (case \ x \ of \ mk_{OclAny} \ oid \rightarrow oid)
   instance \langle proof \rangle
end
instantiation \mathfrak{A} :: object
begin
   definition oid-of-\mathfrak{A}-def: oid-of x = (case \ x \ of \ x)
                                                 in_{Person} person \Rightarrow oid\text{-}of person
                                               |in_{OclAny}| oclany \Rightarrow oid-of oclany)
   instance \langle proof \rangle
end
```

7.3. Instantiation of the Generic Strict Equality

We instantiate the referential equality on Person and OclAny

```
StrictRefEq_{Object\ -Person}: (x::Person) \doteq y \equiv StrictRefEq_{Object} \ x \ y
defs(overloaded)
defs(overloaded)
                       StrictRefEq_{Object}-OclAny : (x::OclAny) \doteq y \equiv StrictRefEq_{Object} \ x \ y
lemmas
   cp-StrictRefEq_{Object}[of x::Person y::Person <math>\tau,
                       simplified\ StrictRefEq_{Object\ -Person}[symmetric]]
   cp-intro(9)
                        [of P::Person \Rightarrow PersonQ::Person \Rightarrow Person,]
                       simplified\ StrictRefEq_{Object\ \ Person}[symmetric]\ ]
                                 [of x::Person y::Person,
   StrictRefEq_{Object}-def
                       simplified\ StrictRefEq_{Object\ -Person}[symmetric]]
   StrictRefEq_{Object}-defargs [of - x::Person y::Person,
                       simplified\ StrictRefEq_{Object\ -Person}[symmetric]]
   StrictRefEq_{Object}-strict1
                      [of x::Person,
                       simplified\ StrictRefEq_{Object\mbox{-}Person}[symmetric]]
   StrictRefEq_{Object}-strict2
                      [of x::Person,
                       simplified\ StrictRefEq_{Object\ -Person}[symmetric]]
```

For each Class C, we will have a casting operation .oclAsType(C), a test on the actual type .oclIsTypeOf(C) as well as its relaxed form .oclIsKindOf(C) (corresponding exactly to Java's instanceof-operator.

Thus, since we have two class-types in our concrete class hierarchy, we have two operations to declare and to provide two overloading definitions for the two static types.

7.4. OclAsType

7.4.1. Definition

```
consts OclAsType_{OclAny} :: '\alpha \Rightarrow OclAny ((-) .oclAsType'(OclAny'))
consts OclAsType_{Person} :: '\alpha \Rightarrow Person ((-) .oclAsType'(Person'))
definition OclAsType_{OclAny}-\mathfrak{A} = (\lambda u. \mid case \ u \ of \ in_{OclAny} \ a \Rightarrow a
                                                 \mid in_{Person} \ (mk_{Person} \ oid \ a) \Rightarrow mk_{OclAny} \ oid \ \lfloor a \rfloor \rfloor
lemma OclAsType_{OclAny}-A-some: OclAsType_{OclAny}-A x \neq None
\langle proof \rangle
defs (overloaded) OclAsType_{OclAny}-OclAny:
         (X::OclAny) .oclAsType(OclAny) \equiv X
defs (overloaded) OclAsType_{OclAny}-Person:
         (X::Person) .oclAsType(OclAny) \equiv
                     (\lambda \tau. \ case \ X \ \tau \ of
                               definition OclAsType_{Person}-\mathfrak{A} = (\lambda u. case \ u \ of \ in_{Person} \ p \Rightarrow \lfloor p \rfloor
                                               \mid in_{OclAny} \ (mk_{OclAny} \ oid \ \lfloor a \rfloor) \Rightarrow \lfloor mk_{Person} \ oid \ a \rfloor
defs (overloaded) OclAsType_{Person}-OclAny:
         (X::OclAny) .oclAsType(Person) \equiv
                     (\lambda \tau. case X \tau of
                                  \perp \Rightarrow invalid \ \tau
                               | \perp \perp | \Rightarrow null \ \tau
                               \lceil \lfloor \lfloor mk_{OclAny} \text{ oid } \perp \rfloor \rfloor \Rightarrow \text{ invalid } \tau \pmod{* \text{ (* down-cast exception *)}}
                               |\lfloor \lfloor mk_{OclAny} \text{ oid } \lfloor a \rfloor \rfloor \rfloor \Rightarrow \lfloor \lfloor mk_{Person} \text{ oid } a \rfloor \rfloor |
defs (overloaded) OclAsType_{Person}-Person:
         (X::Person) . oclAsType(Person) \equiv X
lemmas [simp] =
 OclAsType_{OclAny}-OclAny
 Ocl As Type_{Person}\hbox{-} Person
```

7.4.2. Context Passing

```
lemma cp\text{-}OclAsType_{OclAny}\text{-}Person\text{-}Person: cp P \Longrightarrow cp(\lambda X. (P (X::Person)::Person)
.oclAsType(OclAny))
\langle proof \rangle
lemma cp-OclAsType_{OclAny}-OclAny-OclAny: cp P \implies cp(\lambda X. (P (X::OclAny)::OclAny)
.oclAsType(OclAny))
\langle proof \rangle
lemma cp-OclAsType_{Person}-Person-Person: cp P \implies cp(\lambda X. (P (X::Person)::Person)
.oclAsType(Person))
\langle proof \rangle
lemma cp-OclAsType_{Person}-OclAny-OclAny: cp P \implies cp(\lambda X. (P (X::OclAny)::OclAny)
.oclAsType(Person))
\langle proof \rangle
lemma cp-OclAsType_{OclAny}-Person-OclAny: cp P \implies cp(\lambda X. (P (X::Person)::OclAny)
.oclAsType(OclAny))
\langle proof \rangle
lemma cp-OclAsType_{OclAny}-OclAny-Person: cp P \implies cp(\lambda X. (P (X::OclAny)::Person)
.oclAsType(OclAny))
\langle proof \rangle
lemma cp-OclAsType_{Person}-Person-OclAny: cp P \implies cp(\lambda X. (P (X::Person)::OclAny)
.oclAsType(Person))
\langle proof \rangle
lemma cp-OclAsType_{Person}-OclAny-Person: cp P \implies cp(\lambda X. (P (X::OclAny)::Person)
.oclAsType(Person))
\langle proof \rangle
lemmas [simp] =
 cp	ext{-}OclAsType_{OclAny}	ext{-}Person	ext{-}Person
 cp-OclAsType_{OclAny}-OclAny-OclAny
 cp\hbox{-}Ocl As Type_{Person}\hbox{-}Person\hbox{-}Person
 cp	ext{-}OclAsType_{Person}	ext{-}OclAny	ext{-}OclAny
 cp-OclAsType_{OclAny}-Person-OclAny
 cp-OclAsType_{OclAny}-OclAny-Person
 cp\hbox{-}Ocl As Type_{Person}\hbox{-}Person\hbox{-}Ocl Any
 cp	ext{-}OclAsType_{Person}	ext{-}OclAny	ext{-}Person
```

7.4.3. Execution with Invalid or Null as Argument

```
 \begin{array}{ll} \textbf{lemma} \ \textit{OclAsType}_{\textit{OclAny}} \text{-} \textit{OclAny-strict} : (invalid::OclAny) \ .oclAsType(\textit{OclAny}) = invalid \ \langle \textit{proof} \rangle \end{array}
```

lemma $OclAsType_{OclAny}$ -OclAny-nullstrict : (null::OclAny) . $oclAsType(OclAny) = null \langle proof \rangle$

```
\label{eq:condition} \begin{array}{ll} \textbf{lemma} & \textit{OclAsType}_{OclAny}\text{-}\textit{Person-nullstrict}[\textit{simp}]:(\textit{null}::Person) \; .oclAsType(\textit{OclAny}) = \textit{null} \\ \langle \textit{proof} \rangle \end{array}
```

 $\begin{array}{ll} \textbf{lemma} & \textit{OclAsType}_{\textit{Person}}\text{-}\textit{OclAny-nullstrict}[\textit{simp}]:(\textit{null}::\textit{OclAny}) \; .oclAsType(\textit{Person}) = \textit{null} \\ \langle \textit{proof} \rangle \end{array}$

 $\begin{array}{l} \textbf{lemma} \ \textit{OclAsType}_{\textit{Person}}\text{-}\textit{Person-strict}: (\textit{invalid}::Person) \ .oclAsType(\textit{Person}) = \textit{invalid} \\ \langle \textit{proof} \rangle \end{array}$

lemma $OclAsType_{Person}$ -Person- $nullstrict:(null::Person) .oclAsType(Person) = null \langle proof \rangle$

7.5. OcllsTypeOf

7.5.1. Definition

```
consts OclIsTypeOf_{OclAny} :: '\alpha \Rightarrow Boolean ((-).oclIsTypeOf'(OclAny'))
consts OclIsTypeOf_{Person} :: '\alpha \Rightarrow Boolean ((-).oclIsTypeOf'(Person'))
defs (overloaded) OclIsTypeOf_{OclAny}-OclAny:
          (X::OclAny) .oclIsTypeOf(OclAny) \equiv
                         (\lambda \tau. \ case \ X \ \tau \ of
                                        \bot \quad \Rightarrow \textit{invalid} \ \tau
                                     | \perp | \perp | \Rightarrow true \ \tau \ (* invalid ?? *)
                                     \left[\lfloor mk_{OclAny} \text{ oid } \perp \rfloor\right] \Rightarrow true \ \tau
                                     | \lfloor \lfloor mk_{OclAny} \text{ oid } \lfloor - \rfloor \rfloor \rfloor \Rightarrow false \ \tau )
defs (overloaded) OclIsTypeOf_{OclAny}-Person:
          (X::Person) .oclIsTypeOf(OclAny) \equiv
                         (\lambda \tau. case X \tau of
                                       \perp \Rightarrow invalid \ \tau
                                     | \perp \rfloor \Rightarrow true \ \tau \quad (* invalid ?? *)
                                     | [ [ - ] ] \Rightarrow false \tau )
defs (overloaded) OclIsTypeOf_{Person}-OclAny:
          (X::OclAny) .oclIsTypeOf(Person) \equiv
                         (\lambda \tau. case X \tau of
                                        \bot \quad \Rightarrow \textit{invalid} \ \tau
                                     | \perp | \perp | \Rightarrow true \ \tau
                                     | \lfloor \lfloor mk_{OclAny} \text{ oid } \perp \rfloor \rfloor \Rightarrow false \ \tau
                                     |\lfloor mk_{OclAny} \text{ oid } \lfloor - \rfloor \rfloor| \Rightarrow true \ \tau
\mathbf{defs}\ (\mathbf{overloaded})\ \mathit{OclIsTypeOf}_{\mathit{Person}}\text{-}\mathit{Person}\text{:}
          (X::Person) .oclIsTypeOf(Person) \equiv
                         (\lambda \tau. case X \tau of
                                        \perp \Rightarrow invalid \ \tau
```

7.5.2. Context Passing

```
cp	ext{-}OclIsTypeOf_{OclAny}	ext{-}Person	ext{-}Person:
                                                                                                       P
lemma
                                                                                       cp
cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(OclAny))
\langle proof \rangle
lemma
                       cp	ext{-}OclIsTypeOf_{OclAny}	ext{-}OclAny	ext{-}OclAny:
                                                                                                        P
                                                                                        cp
cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(OclAny))
\langle proof \rangle
lemma
                       cp-OclIsTypeOf_{Person}-Person-Person:
                                                                                                       P
                                                                                       cp
cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(Person))
\langle proof \rangle
                                                                                                       P
                       cp\hbox{-}OclIsTypeOf_{Person}\hbox{-}OclAny\hbox{-}OclAny:
lemma
                                                                                        cp
cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(Person))
\langle proof \rangle
                       cp\hbox{-} Ocl Is Type Of {\it Ocl Any}\hbox{-} Person\hbox{-} Ocl Any:
lemma
                                                                                                       P
                                                                                       cp
cp(\lambda X.(P(X::Person)::OclAny).oclIsTypeOf(OclAny))
\langle proof \rangle
                       cp	ext{-}OclIsTypeOf_{OclAny}	ext{-}OclAny	ext{-}Person:
                                                                                                       P
lemma
                                                                                       cp
cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(OclAny))
\langle proof \rangle
                                                                                                       P
lemma
                       cp	ext{-}OclIsTypeOf_{Person}	ext{-}Person	ext{-}OclAny:
                                                                                       cp
cp(\lambda X.(P(X::Person)::OclAny).oclIsTypeOf(Person))
\langle proof \rangle
                       cp\hbox{-}Ocl Is Type Of_{Person}\hbox{-}Ocl Any\hbox{-}Person:
                                                                                                       P
lemma
                                                                                       cp
cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(Person))
\langle proof \rangle
lemmas [simp] =
 cp	ext{-}OclIsTypeOf_{OclAny}	ext{-}Person	ext{-}Person
 cp\hbox{-}Ocl Is Type Of {\tiny Ocl Any}\hbox{-}Ocl Any\hbox{-}Ocl Any
 cp\hbox{-}Ocl Is Type Of_{Person}\hbox{-}Person\hbox{-}Person
 cp-OclIsTypeOf Person-OclAny-OclAny
 cp-OclIsTypeOf<sub>OclAny</sub>-Person-OclAny
 cp	ext{-}OclIsTypeOf_{OclAny}	ext{-}OclAny	ext{-}Person
 cp	ext{-}OclIsTypeOf_{Person}	ext{-}Person	ext{-}OclAny
```

7.5.3. Execution with Invalid or Null as Argument

cp- $OclIsTypeOf_{Person}$ -OclAny-Person

```
lemma OclIsTypeOf_{OclAny}-Person-strict1[simp]:
    (invalid::Person) .oclIsTypeOf(OclAny) = invalid
\langle proof \rangle
lemma OclIsTypeOf_{OclAnu}-Person-strict2[simp]:
    (null::Person) . oclIsTypeOf(OclAny) = true
lemma OclIsTypeOf_{Person}-OclAny-strict1[simp]:
    (invalid::OclAny) .oclIsTypeOf(Person) = invalid
\langle proof \rangle
lemma OclIsTypeOf_{Person}-OclAny-strict2[simp]:
    (null::OclAny) .oclIsTypeOf(Person) = true
\langle proof \rangle
lemma OclIsTypeOf_{Person}-Person-strict1[simp]:
    (invalid::Person) . oclIsTypeOf(Person) = invalid
lemma OclIsTypeOf_{Person}-Person-strict2[simp]:
    (null::Person) . oclIsTypeOf(Person) = true
\langle proof \rangle
```

7.5.4. Up Down Casting

```
\mathbf{lemma}\ \mathit{actualType-larger-staticType:}
assumes isdef : \tau \models (\delta X)
shows
                  \tau \models (X::Person) .oclIsTypeOf(OclAny) \triangleq false
\langle proof \rangle
lemma down-cast-type:
assumes isOclAny: \tau \models (X::OclAny) .oclIsTypeOf(OclAny)
          non-null: \tau \models (\delta X)
and
                     \tau \models (X . oclAsType(Person)) \triangleq invalid
shows
\langle proof \rangle
lemma down-cast-type':
assumes isOclAny: \tau \models (X::OclAny) .oclIsTypeOf(OclAny)
          non-null: \tau \models (\delta X)
shows
                     \tau \models not (\upsilon (X .oclAsType(Person)))
\langle proof \rangle
lemma up-down-cast:
assumes isdef: \tau \models (\delta X)
shows \tau \models ((X::Person) .oclAsType(OclAny) .oclAsType(Person) \triangleq X)
\langle proof \rangle
lemma up-down-cast-Person-OclAny-Person [simp]:
shows ((X::Person) .oclAsType(OclAny) .oclAsType(Person) = X)
 \langle proof \rangle
```

lemma up-down-cast-Person-OclAny-Person': assumes $\tau \models v X$

```
shows \tau \models (((X :: Person) . oclAsType(OclAny) . oclAsType(Person)) \doteq X)
 \langle proof \rangle
lemma up-down-cast-Person-OclAny-Person'': assumes \tau \models v \ (X :: Person)
shows \tau \models (X .oclIsTypeOf(Person) implies (X .oclAsType(OclAny) .oclAsType(Person)) \doteq
X
 \langle proof \rangle
7.6. OcllsKindOf
7.6.1. Definition
consts OclIsKindOf_{OclAny} :: '\alpha \Rightarrow Boolean ((-).oclIsKindOf'(OclAny'))
consts OclIsKindOf_{Person} :: '\alpha \Rightarrow Boolean ((-).oclIsKindOf'(Person'))
\mathbf{defs} \ (\mathbf{overloaded}) \ \mathit{OclIsKindOf}_{\mathit{OclAny}}\text{-}\mathit{OclAny}\text{:}
         (X::OclAny) .oclIsKindOf(OclAny) \equiv
                       (\lambda \tau. case X \tau of
                                     \perp \Rightarrow invalid \ \tau
                                   | \rightarrow true \tau )
\mathbf{defs}\ (\mathbf{overloaded})\ \mathit{OclIsKindOf}_{\mathit{OclAny}}\text{-}\mathit{Person}\text{:}
         (X::Person) .oclIsKindOf(OclAny) \equiv
                       (\lambda \tau. case X \tau of
                                     \perp \Rightarrow invalid \ \tau
                                   | \rightarrow true \tau )
\mathbf{defs}\ (\mathbf{overloaded})\ \mathit{OclIsKindOf}_{\mathit{Person}}\text{-}\mathit{OclAny}\text{:}
         (X::OclAny) .oclIsKindOf(Person) \equiv
                       (\lambda \tau. \ case \ X \ \tau \ of
                                     \perp \Rightarrow invalid \tau
                                   | \perp | \perp | \Rightarrow true \ \tau
                                   |\lfloor \lfloor mk_{OclAny} \text{ oid } \perp \rfloor \rfloor \Rightarrow false \ \tau
                                   |\lfloor mk_{OclAny} \ oid \ \lfloor - \rfloor \rfloor \Rightarrow true \ \tau)
\mathbf{defs}\ (\mathbf{overloaded})\ \mathit{OclIsKindOf}_{\mathit{Person}}\text{-}\mathit{Person} \text{:}
         (X::Person) .oclIsKindOf(Person) \equiv
                       (\lambda \tau. case X \tau of
                                     \perp \Rightarrow invalid \ \tau
                                   | - \Rightarrow true \tau )
```

7.6.2. Context Passing

```
cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(OclAny))
\langle proof \rangle
lemma
                      cp	ext{-}OclIsKindOf_{Person}	ext{-}Person	ext{-}Person:
                                                                                                    P
                                                                                     cp
                                                                                                                    \Longrightarrow
cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(Person))
\langle proof \rangle
                                                                                                     P
                      cp-OclIsKindOf_{Person}-OclAny-OclAny:
lemma
                                                                                     cp
cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(Person))
\langle proof \rangle
                                                                                                     P
lemma
                      cp-OclIsKindOf_{OclAny}-Person-OclAny:
                                                                                     cp
cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(OclAny))
\langle proof \rangle
lemma
                      cp-OclIsKindOf<sub>OclAny</sub>-OclAny-Person:
                                                                                                     P
                                                                                     cp
cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(OclAny))
\langle proof \rangle
                      cp-OclIsKindOf_{Person}-Person-OclAny:
                                                                                                     P
lemma
                                                                                     cp
cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(Person))
\langle proof \rangle
                      cp	ext{-}OclIsKindOf_{Person}	ext{-}OclAny	ext{-}Person:
                                                                                                     P
lemma
                                                                                     cp
cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(Person))
\langle proof \rangle
lemmas [simp] =
 cp-OclIsKindOf_{OclAnu}-Person-Person
 cp	ext{-}OclIsKindOf_{OclAny}	ext{-}OclAny	ext{-}OclAny
 cp	ext{-}OclIsKindOf_{Person}	ext{-}Person	ext{-}Person
 cp-OclIsKindOf_{Person}-OclAny-OclAny
 cp	ext{-}OclIsKindOf_{OclAny}	ext{-}Person	ext{-}OclAny
 cp	ext{-}OclIsKindOf_{OclAny}	ext{-}OclAny	ext{-}Person
 cp\hbox{-}OclIsKindOf_{Person}\hbox{-}Person\hbox{-}OclAny
 cp\hbox{-} Ocl Is Kind Of \, _{Person}\hbox{-} Ocl Any\hbox{-} Person
7.6.3. Execution with Invalid or Null as Argument
\mathbf{lemma} \ \mathit{OclIsKindOf}_{\mathit{OclAny}}\text{-}\mathit{OclAny-strict1}[\mathit{simp}]:(\mathit{invalid}::\mathit{OclAny}) \ \mathit{.oclIsKindOf}(\mathit{OclAny}) =
invalid
\langle proof \rangle
lemma OclIsKindOf_{OclAny}-OclAny-strict2[simp] : (null::OclAny) .oclIsKindOf(OclAny) =
true
\langle proof \rangle
```

 $\mathbf{lemma} \ \mathit{OclIsKindOf}_{\mathit{OclAny}}\text{-}\mathit{Person-strict1}[\mathit{simp}] : (\mathit{invalid}::\mathit{Person}) \ \mathit{.oclIsKindOf}(\mathit{OclAny}) = (\mathit{oclIsKindOf}_{\mathit{OclAny}}) = (\mathit{oclIsKindOf}_{\mathit{OclAny}})$

 $\mathbf{lemma}\ \mathit{OclIsKindOf}_{\mathit{OclAny}}\text{-}\mathit{Person-strict2}[\mathit{simp}]:(\mathit{null}::\mathit{Person})\ .\mathit{oclIsKindOf}(\mathit{OclAny}) = \mathit{true}$

 $invalid \ \langle proof \rangle$

 $\langle proof \rangle$

7.6.4. Up Down Casting

```
\begin{array}{lll} \textbf{lemma} & actual Kind-larger\text{-}static Kind: \\ \textbf{assumes} & isdef\colon \tau \models (\delta \ X) \\ \textbf{shows} & \tau \models ((X::Person) \ .oclIsKindOf(OclAny) \triangleq true) \\ \langle proof \rangle \\ \\ \textbf{lemma} & down\text{-}cast\text{-}kind: \\ \textbf{assumes} & isOclAny: \neg (\tau \models ((X::OclAny).oclIsKindOf(Person))) \\ \textbf{and} & non\text{-}null: \tau \models (\delta \ X) \\ \textbf{shows} & \tau \models ((X \ .oclAsType(Person)) \triangleq invalid) \\ \langle proof \rangle \\ \end{array}
```

7.7. OclAllInstances

To denote OCL-types occurring in OCL expressions syntactically—as, for example, as "argument" of oclAllInstances ()—we use the inverses of the injection functions into the object universes; we show that this is sufficient "characterization."

7.7.1. OcllsTypeOf

```
lemma OclAny-allInstances-generic-oclIsTypeOf_{OclAny}1:
assumes [simp]: \bigwedge x. pre-post (x, x) = x
shows \exists \tau. (\tau \models
                                        ((OclAllInstances-generic pre-post OclAny) -> forAll(X|X)
.oclIsTypeOf(OclAny))))
 \langle proof \rangle
lemma OclAny-allInstances-at-post-oclIsTypeOf_{OclAny}1:
\exists \tau. (\tau \models
               (OclAny \ .allInstances() -> forAll(X|X \ .oclIsTypeOf(OclAny))))
\langle proof \rangle
lemma OclAny-allInstances-at-pre-oclIsTypeOf_{OclAny}1:
               (OclAny .allInstances@pre() -> forAll(X|X .oclIsTypeOf(OclAny))))
\exists \tau. (\tau \models
\langle proof \rangle
lemma OclAny-allInstances-generic-oclIsTypeOf_{OclAny}2:
assumes [simp]: \bigwedge x. pre-post (x, x) = x
shows \exists \tau. (\tau \models not ((OclAllInstances-generic pre-post OclAny) -> forAll(X|X)
.oclIsTypeOf(OclAny))))
\langle proof \rangle
lemma OclAny-allInstances-at-post-oclIsTypeOf_{OclAny}2:
\exists \tau. (\tau \models not (OclAny .allInstances() -> forAll(X|X .oclIsTypeOf(OclAny))))
\langle proof \rangle
lemma OclAny-allInstances-at-pre-oclIsTypeOf_{OclAny}2:
\exists \tau. \ (\tau \models not \ (OclAny \ .allInstances@pre() -> forAll(X|X \ .oclIsTypeOf(OclAny))))
\langle proof \rangle
lemma Person-allInstances-generic-ocllsTypeOf_{Person}:
\tau \models ((OclAllInstances-generic\ pre-post\ Person) -> forAll(X|X\ .oclIsTypeOf(Person)))
 \langle proof \rangle
lemma Person-allInstances-at-post-oclIsTypeOf_{Person}:
\tau \models (Person \ .allInstances() -> forAll(X|X \ .oclIsTypeOf(Person)))
\langle proof \rangle
lemma Person-allInstances-at-pre-oclIsTypeOf_{Person}:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsTypeOf(Person)))
\langle proof \rangle
7.7.2. OcllsKindOf
\mathbf{lemma}\ \mathit{OclAny-allInstances-generic-oclIsKindOf}_{\mathit{OclAny}}:
\tau \models ((OclAllInstances-generic\ pre-post\ OclAny) - > forAll(X \mid X\ .oclIsKindOf(OclAny)))
 \langle proof \rangle
```

lemma OclAny-allInstances-at-post-ocl $IsKindOf_{OclAny}$:

 $\tau \models (OclAny \ .allInstances() -> forAll(X|X \ .oclIsKindOf(OclAny)))$

```
\langle proof \rangle
lemma OclAny-allInstances-at-pre-oclIsKindOf_{OclAny}:
\tau \models (OclAny .allInstances@pre() -> forAll(X|X .oclIsKindOf(OclAny)))
\langle proof \rangle
lemma Person-allInstances-generic-oclIsKindOf_{OclAny}:
\tau \models ((OclAllInstances-generic\ pre-post\ Person) -> forAll(X|X\ .oclIsKindOf(OclAny)))
\langle proof \rangle
lemma Person-allInstances-at-post-oclIsKindOf_{OclAny}:
\tau \models (Person \ .allInstances() -> forAll(X|X \ .oclIsKindOf(OclAny)))
lemma Person-allInstances-at-pre-oclIsKindOf_{OclAny}:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsKindOf(OclAny)))
\langle proof \rangle
\mathbf{lemma}\ \mathit{Person-allInstances-generic-ocllsKindOf}_{\mathit{Person}} \colon
\tau \models ((OclAllInstances-generic\ pre-post\ Person) -> forAll(X|X\ .oclIsKindOf(Person)))
\langle proof \rangle
\mathbf{lemma}\ \mathit{Person-allInstances-at-post-oclIsKindOf}_{\mathit{Person}} \colon
\tau \models (Person .allInstances() -> forAll(X|X .oclIsKindOf(Person)))
\langle proof \rangle
lemma Person-allInstances-at-pre-oclIsKindOf_{Person}:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsKindOf(Person)))
\langle proof \rangle
```

7.8. The Accessors (any, boss, salary)

Should be generated entirely from a class-diagram.

7.8.1. Definition (of the association Employee-Boss)

We start with a oid for the association; this oid can be used in presence of association classes to represent the association inside an object, pretty much similar to the Design_UML, where we stored an oid inside the class as "pointer."

```
definition oid_{Person} BOSS :: oid where oid_{Person} BOSS = 10
```

From there on, we can already define an empty state which must contain for $oid_{Person}\mathcal{BOSS}$ the empty relation (encoded as association list, since there are associations with a Sequence-like structure).

```
definition eval-extract :: ({}^{1}\mathfrak{A},({}^{\prime}a::object) option option) val

\Rightarrow (oid \Rightarrow ({}^{1}\mathfrak{A},{}^{\prime}c::null) val)

\Rightarrow ({}^{1}\mathfrak{A},{}^{\prime}c::null) val
```

```
where eval-extract X f = (\lambda \tau. case X \tau of
                                            \perp \Rightarrow invalid \ \tau \quad (* exception \ propagation \ *)
                                      | \ | \ \perp \ | \Rightarrow invalid \ \tau \ (* dereferencing null pointer *)
                                      | \ | \ | \ | \ | \ | \Rightarrow f \ (oid\text{-}of \ obj) \ \tau)
definition choose_2-1 = fst
definition choose_2-2 = snd
definition List-flatten = (\lambda l. (foldl ((\lambda acc. (\lambda l. (foldl ((\lambda acc. (\lambda l. (Cons (l) (acc)))))) (acc))
((rev\ (l))))))\ (Nil)\ ((rev\ (l))))
definition deref-assocs_2 :: ('\mathfrak{A} state \times '\mathfrak{A} state \Rightarrow '\mathfrak{A} state)
                                     \Rightarrow (oid list list \Rightarrow oid list \times oid list)
                                     \Rightarrow oid
                                     \Rightarrow (oid list \Rightarrow ('\mathfrak{A},'f)val)
                                     \Rightarrow oid
                                     \Rightarrow ('\mathfrak{A}, 'f::null)val
where
                 deref-assocs<sub>2</sub> pre-post to-from assoc-oid f oid =
                     (\lambda \tau. \ case \ (assocs \ (pre-post \ \tau)) \ assoc-oid \ of
                           |S| \Rightarrow f (List\text{-flatten } (map (choose_2 - 2 \circ to\text{-}from))
                                             (filter (\lambda p. List.member (choose<sub>2</sub>-1 (to-from p)) oid) S)))
                         | - \Rightarrow invalid \tau |
```

The pre-post-parameter is configured with fst or snd, the to-from-parameter either with the identity id or the following combinator switch:

```
definition switch_2-1 = (\lambda[x,y] \Rightarrow (x,y))
definition switch_2-2 = (\lambda[x,y] \Rightarrow (y,x))
definition switch_3-1 = (\lambda[x,y,z] \Rightarrow (x,y))
definition switch_3-2 = (\lambda[x,y,z] \Rightarrow (x,z))
definition switch_3-3 = (\lambda[x,y,z] \Rightarrow (y,x))
definition switch_3-4 = (\lambda[x,y,z] \Rightarrow (y,z))
definition switch_3-5 = (\lambda[x,y,z] \Rightarrow (z,x))
definition switch_3-6 = (\lambda[x,y,z] \Rightarrow (z,y))
definition select\text{-}object :: (('\mathfrak{A}, 'b::null)val)
                             \Rightarrow (('\mathfrak{A},'b)val \Rightarrow ('\mathfrak{A},'c)val \Rightarrow ('\mathfrak{A},'b)val)
                             \Rightarrow (('\mathfrak{A}, 'b)val \Rightarrow ('\mathfrak{A}, 'd)val)
                             \Rightarrow (oid \Rightarrow ('\mathfrak{A},'c::null)val)
                              \Rightarrow oid list
                             \Rightarrow ('\mathfrak{A}, 'd)val
where select-object mt incl smash deref l = smash(foldl incl mt (map deref l))
 (* smash returns null with mt in input (in this case, object contains null pointer) *)
```

The continuation f is usually instantiated with a smashing function which is either the identity id or, for 0..1 cardinalities of associations, the OclANY-selector which also handles the null-cases appropriately. A standard use-case for this combinator is for example:

term (select-object mtSet UML-Set.OclIncluding OclANY f l oid)::('\mathbb{A}, 'a::null) val

```
definition deref\text{-}oid_{Person} :: (\mathfrak{A} \ state \times \mathfrak{A} \ state \Rightarrow \mathfrak{A} \ state)
                                 \Rightarrow (type_{Person} \Rightarrow (\mathfrak{A}, 'c::null)val)
                                 \Rightarrow oid
                                 \Rightarrow (\mathfrak{A}, 'c::null)val
where deref-oid Person fst-snd f oid = (\lambda \tau. case (heap (fst-snd \tau)) oid of
                       definition deref\text{-}oid_{OclAny} :: (\mathfrak{A} \ state \times \mathfrak{A} \ state \Rightarrow \mathfrak{A} \ state)
                                 \Rightarrow (type_{OclAny} \Rightarrow (\mathfrak{A}, 'c::null)val)
                                 \Rightarrow oid
                                 \Rightarrow (\mathfrak{A}, 'c::null)val
where deref-oid OclAny fst-snd f oid = (\lambda \tau. case (heap (fst-snd \tau)) oid of
                       pointer undefined in state or not referencing a type conform object representation
definition select_{OclAny}\mathcal{ANY} f = (\lambda X. case X of
                      (mk_{OclAny} - \bot) \Rightarrow null 
 | (mk_{OclAny} - \lfloor any \rfloor) \Rightarrow f (\lambda x - . \lfloor \lfloor x \rfloor \rfloor) any) 
definition select Person \mathcal{BOSS} f = select-object mtSet UML-Set. OclIncluding OclANY (f (<math>\lambda x-.
\lfloor \lfloor x \rfloor \rfloor)
definition select_{Person} SALARY f = (\lambda X. case X of
                       (mk_{Person} - \bot) \Rightarrow null
                     |(mk_{Person} - \lfloor salary \rfloor) \Rightarrow f(\lambda x - \lfloor |x||) salary)
definition deref-assocs<sub>2</sub>\mathcal{BOSS} fst-snd f = (\lambda \ mk_{Person} \ oid - \Rightarrow
                deref-assocs<sub>2</sub> fst-snd switch_2-1 oid_{Person}BOSS f oid)
definition in-pre-state = fst
definition in\text{-}post\text{-}state = snd
definition reconst-basetype = (\lambda \ convert \ x. \ convert \ x)
definition dot_{OclAny}ANY :: OclAny \Rightarrow - ((1(-).any) 50)
  where (X). any = eval\text{-}extract X
                        (deref-oid_{OclAny} in-post-state)
                          (select_{OclAny}ANY)
                            reconst-basetype))
```

definition $dot_{Person} \mathcal{BOSS} :: Person \Rightarrow Person ((1(-).boss) 50)$

```
where (X).boss = eval-extract X
                       (deref-oid_{Person} in-post-state)
                         (deref-assocs_2\mathcal{BOSS}\ in-post-state
                           (select_{Person}\mathcal{BOSS})
                             (deref-oid_{Person} in-post-state))))
definition dot_{Person} SALARY :: Person \Rightarrow Integer ((1(-).salary) 50)
  where (X).salary = eval-extract X
                         (deref-oid_{Person} in-post-state)
                           (select_{Person} SALARY)
                             reconst-basetype))
definition dot_{OclAny}AN\mathcal{Y}-at-pre :: OclAny \Rightarrow -((1(-).any@pre) 50)
  where (X).any@pre = eval-extract X
                          (deref-oid_{OclAny} in-pre-state)
                            (select_{OclAny}\mathcal{ANY})
                              reconst-basetype))
definition dot_{Person} \mathcal{BOSS}-at-pre:: Person \Rightarrow Person \ ((1(-).boss@pre) \ 50)
  where (X).boss@pre = eval-extract X
                           (deref-oid_{Person} in-pre-state)
                             (deref-assocs_2 \mathcal{BOSS} in-pre-state)
                               (select_{Person}\mathcal{BOSS}
                                 (deref-oid_{Person} in-pre-state))))
definition dot_{Person} SALARY-at-pre:: Person \Rightarrow Integer \ ((1(-).salary@pre) \ 50)
  where (X).salary@pre = eval-extract X
                             (deref-oid_{Person} in-pre-state)
                               (select_{Person}\mathcal{SALARY}
                                 reconst-basetype))
lemmas [simp] =
  dot_{OclAny}\mathcal{ANY}-def
  dot_{Person} \mathcal{BOSS}-def
  dot_{Person} SALARY-def
  dot_{OclAnu}ANY-at-pre-def
  dot_{Person} \mathcal{BOSS}-at-pre-def
  dot_{Person} SALARY-at-pre-def
7.8.2. Context Passing
lemmas [simp] = eval-extract-def
lemma cp\text{-}dot_{OclAny}\mathcal{ANY}: ((X).any) \ \tau = ((\lambda -. \ X \ \tau).any) \ \tau \ \langle proof \rangle
lemma cp\text{-}dot_{Person}\mathcal{BOSS}: ((X).boss) \tau = ((\lambda - X \tau).boss) \tau \langle proof \rangle
lemma cp\text{-}dot_{Person}\mathcal{SALARY}: ((X).salary) \tau = ((\lambda - X \tau).salary) \tau \langle proof \rangle
lemma cp\text{-}dot_{Ocl}An_{\mathcal{V}}\mathcal{ANY}\text{-}at\text{-}pre: ((X).any@pre) \ \tau = ((\lambda -. \ X \ \tau).any@pre) \ \tau \ \langle proof \rangle
lemma cp\text{-}dot_{Person}\mathcal{BOSS}\text{-}at\text{-}pre: ((X).boss@pre) \ \tau = ((\lambda -. \ X \ \tau).boss@pre) \ \tau \ \langle proof \rangle
```

```
lemma cp\text{-}dot_{Person}\mathcal{SALARY}-at-pre:((X).salary@pre)\ \tau=((\lambda\text{-}.\ X\ \tau).salary@pre)\ \tau\ \langle proof\rangle
lemmas cp\text{-}dot_{OclAny}\mathcal{ANY}\text{-}I \ [simp, intro!]=
        cp\text{-}dot_{OclAny}\mathcal{ANY}[THEN\ allI[THEN\ allI],
                             of \lambda X -. X \lambda - \tau. \tau, THEN\ cpI1]
\mathbf{lemmas} \ \mathit{cp-dot}_{OclAny} \mathcal{ANY} \textit{-at-pre-I} \ [\mathit{simp}, \ \mathit{intro!}] =
        cp\text{-}dot_{OclAny}\mathcal{ANY}\text{-}at\text{-}pre[THEN\ allI[THEN\ allI]},
                             of \lambda X - X \lambda - \tau \cdot \tau, THEN cpI1]
lemmas cp-dot_{Person} \mathcal{BOSS}-I [simp, intro!]=
        cp\text{-}dot_{Person}\mathcal{BOSS}[THEN\ allI[THEN\ allI],
                             of \lambda X - X \lambda - \tau \cdot \tau, THEN cpI1]
lemmas cp\text{-}dot_{Person}\mathcal{BOSS}\text{-}at\text{-}pre\text{-}I [simp, intro!]=
       cp\text{-}dot_{Person}\mathcal{BOSS}\text{-}at\text{-}pre[THEN\ allI[THEN\ allI],
                             of \lambda X -. X \lambda - \tau. \tau, THEN cpI1
lemmas cp\text{-}dot_{Person}\mathcal{SALARY}\text{-}I [simp, intro!]=
        cp\text{-}dot_{Person}\mathcal{SALARY}[THEN\ allI[THEN\ allI],
                             of \lambda X -. X \lambda - \tau. \tau, THEN cpI1]
lemmas cp\text{-}dot_{Person}\mathcal{SALARY}\text{-}at\text{-}pre\text{-}I \ [simp, intro!]=
        cp\text{-}dot_{Person}\mathcal{SALARY}\text{-}at\text{-}pre[THEN\ allI[THEN\ allI]},
                             of \lambda X -. X \lambda - \tau. \tau, THEN cpI1
7.8.3. Execution with Invalid or Null as Argument
lemma dot_{OclAny}\mathcal{ANY}-nullstrict [simp]: (null).any = invalid
\langle proof \rangle
lemma dot_{OclAny}\mathcal{ANY}-at-pre-nullstrict [simp] : (null).any@pre = invalid
\langle proof \rangle
lemma dot_{OclAny} \mathcal{ANY}-strict [simp]: (invalid).any = invalid
\langle proof \rangle
lemma dot_{OclAny}\mathcal{ANY}-at-pre-strict [simp]: (invalid).any@pre = invalid
\langle proof \rangle
lemma dot_{Person} \mathcal{BOSS}-nullstrict [simp]: (null).boss = invalid
\langle proof \rangle
lemma dot_{Person} \mathcal{BOSS}-at-pre-nullstrict [simp] : (null).boss@pre = invalid
\langle proof \rangle
lemma dot_{Person} \mathcal{BOSS}-strict [simp]: (invalid).boss = invalid
\langle proof \rangle
\mathbf{lemma} \ dot_{Person} \mathcal{BOSS}\text{-}at\text{-}pre\text{-}strict \ [simp]: (invalid).} boss@pre = invalid
\langle proof \rangle
lemma dot_{Person} SALARY-nullstrict [simp]: (null).salary = invalid
\langle proof \rangle
lemma dot_{Person} \mathcal{SALARY}-at-pre-nullstrict [simp] : (null).salary@pre = invalid
\langle proof \rangle
```

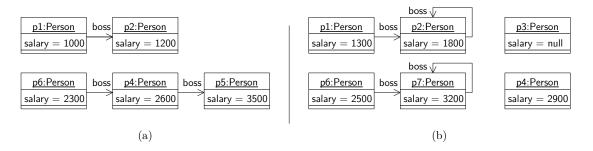


Figure 7.2.: (a) pre-state σ_1 and (b) post-state σ'_1 .

```
lemma dot_{Person} SALARY-strict [simp]: (invalid).salary = invalid \langle proof \rangle lemma dot_{Person} SALARY-at-pre-strict [simp]: (invalid).salary@pre = invalid \langle proof \rangle
```

7.9. A Little Infra-structure on Example States

The example we are defining in this section comes from the figure 7.2.

```
definition OclInt1000 (1000) where OclInt1000 = (\lambda - . || 1000 ||)
definition OclInt1200 (1200) where OclInt1200 = (\lambda - . || 1200 ||)
definition OclInt1300 (1300) where OclInt1300 = (\lambda - . | | 1300 | |)
definition OclInt1800 (1800) where OclInt1800 = (\lambda - . | | 1800 | |)
definition OclInt2600 (2600) where OclInt2600 = (\lambda - . | | 2600 | |)
definition OclInt2900 (2900) where OclInt2900 = (\lambda - . \lfloor \lfloor 2900 \rfloor \rfloor)
definition OclInt3200 (3200) where OclInt3200 = (\lambda - \lfloor \lfloor 3200 \rfloor \rfloor)
definition OclInt3500 (3500) where OclInt3500 = (\lambda - . \lfloor \lfloor 3500 \rfloor \rfloor)
definition oid\theta \equiv \theta
definition oid1 \equiv 1
definition oid2 \equiv 2
definition oid3 \equiv 3
definition oid4 \equiv 4
definition oid5 \equiv 5
definition oid6 \equiv 6
definition oid ? \equiv ?
definition oid8 \equiv 8
definition person1 \equiv mk_{Person} \ oid0 \ | 1300 |
definition person2 \equiv mk_{Person} \ oid1 \ | 1800 |
definition person3 \equiv mk_{Person} \ oid2 \ None
definition person 4 \equiv mk_{Person} \ oid 3 \ \lfloor 2900 \rfloor
definition person5 \equiv mk_{Person} \ oid4 \ \lfloor 3500 \rfloor
definition person6 \equiv mk_{Person} \ oid5 \ \lfloor 2500 \rfloor
definition person 7 \equiv mk_{OclAny} \ oid 6 \ \lfloor \lfloor 3200 \rfloor \rfloor
definition person8 \equiv mk_{OclAny} oid? None
```

```
definition person9 \equiv mk_{Person} \ oid8 \ |\theta|
definition
      \sigma_1 \equiv (|heap = empty(oid0 \mapsto in_{Person} (mk_{Person} oid0 | 1000 |)))
                             (oid1 \mapsto in_{Person} (mk_{Person} oid1 | 1200 |))
                            (*oid2*)
                             (oid3 \mapsto in_{Person} \ (mk_{Person} \ oid3 \ \lfloor 2600 \rfloor))
                             (oid4 \mapsto in_{Person} \ person5)
                             (oid5 \mapsto in_{Person} (mk_{Person} \ oid5 \ | 2300 |))
                            (*oid6*)
                            (*oid7*)
                             (oid8 \mapsto in_{Person} \ person9),
                assocs = empty(oid_{Person}\mathcal{BOSS} \mapsto [[[oid0],[oid1]],[[oid3],[oid4]],[[oid5],[oid3]]]))
definition
      \sigma_1' \equiv (heap = empty(oid0 \mapsto in_{Person} person1))
                             (oid1 \mapsto in_{Person} \ person2)
                             (oid2 \mapsto in_{Person} person3)
                             (oid3 \mapsto in_{Person} \ person4)
                            (*oid4*)
                             (oid5 \mapsto in_{Person} \ person6)
                             (oid6 \mapsto in_{OclAny} \ person7)
                             (oid7 \mapsto in_{OclAny} \ person8)
                             (oid8 \mapsto in_{Person} \ person9),
                                                                                        empty(oid_{Person}\mathcal{BOSS})
                                                                    assocs
[[[oid0], [oid1]], [[oid1], [oid1]], [[oid5], [oid6]], [[oid6], [oid6]]])
definition \sigma_0 \equiv (| heap = empty, assocs = empty |)
lemma basic-\tau-wff: WFF(\sigma_1, \sigma_1')
\langle proof \rangle
\mathbf{lemma} \ [simp,code\text{-}unfold]: \ dom \ (heap \ \sigma_1) = \{oid0,oid1,(*,oid2*)oid3,oid4,oid5(*,oid6,oid7*),oid8\}
\langle proof \rangle
lemma [simp.code-unfold]: dom(heap \sigma_1') = \{oid0,oid1,oid2,oid3,(*,oid4*)oid5,oid6,oid7,oid8\}
\langle proof \rangle
definition X_{Person}1 :: Person \equiv \lambda - \lfloor \lfloor person1 \rfloor \rfloor
definition X_{Person} 2 :: Person \equiv \lambda - . | person 2
definition X_{Person} 3 :: Person \equiv \lambda - \lfloor person 3 \rfloor
definition X_{Person} 4 :: Person \equiv \lambda - . \lfloor \lfloor person / 4 \rfloor \rfloor
definition X_{Person}5 :: Person \equiv \lambda - \lfloor \lfloor person5 \rfloor \rfloor
definition X_{Person}\theta :: Person \equiv \lambda - || person\theta ||
definition X_{Person}? :: OclAny \equiv \lambda - .|| person? ||
definition X_{Person}8 :: OclAny \equiv \lambda - . | | person8 | |
definition X_{Person}9 :: Person \equiv \lambda - . | | person9 | |
```

```
lemma [code-unfold]: ((x::Person) \doteq y) = StrictRefEq_{Object} \ x \ y \ \langle proof \rangle
lemma [code-unfold]: ((x::OclAny) \doteq y) = StrictRefEq_{Object} \ x \ y \ \langle proof \rangle
lemmas [simp, code-unfold] =
 OclAsType_{OclAny}-OclAny
 OclAsType_{OclAny}-Person
 OclAsType_{Person}	ext{-}OclAny
 OclAsType_{Person}-Person
 Ocl Is Type Of_{Ocl Any} \hbox{-} Ocl Any
 Ocl Is Type Of_{O\,cl\,A\,n\,y}\text{-}Person
 OclIsTypeOf_{Person}-OclAny
 OclIsTypeOf_{Person}-Person
 OclIsKindOf_{O\,clAny}\text{-}OclAny
 OclIsKindOf_{OclAny}-Person
 OclIsKindOf_{Person}-OclAny
 OclIsKindOf_{Person}\text{-}Person
                                                      (X_{Person}1.salary
                                                                                    <> 1000)
Assert \bigwedge s_{pre}
                      (s_{pre},\sigma_1') \models
Assert \bigwedge s_{pre} . (s_{pre}, \sigma_1') \models
                                                      (X_{Person}1 . salary \doteq 1300)
                                                      (X_{Person}1.salary@pre
                                                                                             \doteq 1000)
Assert \bigwedge s_{post}. (\sigma_1, s_{post}) \models
Assert \wedge
                s_{post}. (\sigma_1, s_{post}) \models
                                                      (X_{Person}1.salary@pre
                                                                                             <> 1300)
                            (\sigma_1,\sigma_1') \models
                                                  (X_{Person}1 . oclIsMaintained())
lemma
\langle proof \rangle
lemma \bigwedge s_{pre} \ s_{post}. (s_{pre}, s_{post}) \models
                                                       ((X_{Person}1 . oclAsType(OclAny) . oclAsType(Person))
\doteq X_{Person}1)
\langle proof \rangle
Assert \bigwedge s_{pre} \ s_{post}. (s_{pre}, s_{post}) \models (X_{Person}1 \ .oclIsTypeOf(Person))
Assert \bigwedge s_{pre} \ s_{post}. (s_{pre}, s_{post}) \models not(X_{Person}1 .oclIsTypeOf(OclAny))
 \begin{array}{lll} \textbf{Assert} \ \bigwedge s_{pre} \ s_{post}. & (s_{pre}, s_{post}) \models & (X_{Person} 1 \ .oclIsKindOf(Person)) \\ \textbf{Assert} \ \bigwedge s_{pre} \ s_{post}. & (s_{pre}, s_{post}) \models & (X_{Person} 1 \ .oclIsKindOf(OclAny)) \\ \end{array} 
\mathbf{Assert} \quad \bigwedge s_{pre} \quad s_{post}. \qquad (s_{pre}, s_{post}) \quad \models \quad not(X_{Person}1 \quad .oclAsType(OclAny))
.oclIsTypeOf(OclAny))
Assert \bigwedge s_{pre} . (s_{pre}, \sigma_1') \models
                                                      (X_{Person}2.salary
                                                      (X_{Person} 2 .salary@pre \doteq 1200)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                             (\sigma_1, \sigma_1') \models (X_{Person} 2 .oclIsMaintained())
lemma
\langle proof \rangle
Assert \bigwedge s_{pre} . (s_{pre}, \sigma_1') \models (X_{Person} 3 . salary)
Assert \bigwedge s_{post}. (\sigma_1, s_{post}) \models not(v(X_{Person}3.salary@pre))
                            (\sigma_1, \sigma_1') \models (X_{Person} 3 . ocllsNew())
lemma
```

```
\langle proof \rangle
                         (\sigma_1, \sigma_1') \models
                                        (X_{Person4} . oclls Maintained())
lemma
\langle proof \rangle
Assert \bigwedge s_{pre} . (s_{pre}, \sigma_1') \models not(v(X_{Person}5 .salary))
Assert \land s_{post}. (\sigma_1, s_{post}) \models (X_{Person}5 .salary@pre \doteq 3500)
                         (\sigma_1, \sigma_1') \models (X_{Person} 5 .oclIsDeleted())
lemma
\langle proof \rangle
lemma
                         (\sigma_1,\sigma_1') \models
                                        (X_{Person} 6 .oclIsMaintained())
\langle proof \rangle
Assert \bigwedge s_{pre} \ s_{post}. (s_{pre}, s_{post}) \models v(X_{Person} \% \ .oclAsType(Person))
.oclAsType(Person))
                                      \doteq (X_{Person} \% .oclAsType(Person)))
\langle proof \rangle
                         (\sigma_1, \sigma_1') \models (X_{Person} 7 .oclIsNew())
lemma
\langle proof \rangle
Assert \bigwedge s_{pre} \ s_{post}. (s_{pre}, s_{post}) \models
                                                   (X_{Person}8 \iff X_{Person}7)
Assert \bigwedge s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(v(X_{Person}8 .oclAsType(Person)))
Assert \bigwedge s_{pre} \ s_{post}.
                           (s_{pre}, s_{post}) \models (X_{Person} 8 .ocllsTypeOf(OclAny))
                           (s_{pre}, s_{post}) \models not(X_{Person}8 .oclIsTypeOf(Person))
Assert \bigwedge s_{pre} s_{post}.
                           (s_{pre}, s_{post}) \models not(X_{Person} 8 .oclIsKindOf(Person))
Assert \bigwedge s_{pre} s_{post}.
                                                (X_{Person}8 .oclIsKindOf(OclAny))
Assert \bigwedge s_{pre} \ s_{post}. (s_{pre}, s_{post}) \models
```

```
lemma \sigma-modifiedonly: (\sigma_1, \sigma_1') \models (Set\{X_{Person}1 . oclAsType(OclAny), X_{Person}2 . oclAsType(OclAny) 
(*, X_{Person}3 . oclAsType(OclAny)*)
, X_{Person}4 . oclAsType(OclAny)
(*, X_{Person}5 . oclAsType(OclAny)*)
, X_{Person}6 . oclAsType(OclAny)
(*, X_{Person}7 . oclAsType(OclAny)*)
```

```
(*, X_{Person}8 .oclAsType(OclAny)*)
                      (*, X_{Person}9 .oclAsType(OclAny)*)}->oclIsModifiedOnly())
 \langle proof \rangle
lemma (\sigma_1, \sigma_1') \models ((X_{Person} 9 \oplus pre (\lambda x. \mid OclAsType_{Person} - \mathfrak{A} x \mid)) \triangleq X_{Person} 9)
\langle proof \rangle
lemma (\sigma_1, \sigma_1') \models ((X_{Person} 9 @post (\lambda x. | OclAsType_{Person} - \mathfrak{A} x|)) \triangleq X_{Person} 9)
\langle proof \rangle
\mathbf{lemma} \ (\sigma_1, \sigma_1') \models (((X_{Person} 9 \ .oclAsType(OclAny)) \ @pre \ (\lambda x. \ \lfloor OclAsType_{OclAny} - \mathfrak{A} \ x \rfloor)) \triangleq
                     ((X_{Person}9 .oclAsType(OclAny)) @post (\lambda x. [OclAsType_{OclAny}-\mathfrak{A} x])))
\langle proof \rangle
lemma perm - \sigma_1' : \sigma_1' = (|heap = empty)
                             (oid8 \mapsto in_{Person} \ person9)
                             (oid7 \mapsto in_{OclAny} \ person8)
                             (oid6 \mapsto in_{OclAny} \ person7)
                             (oid5 \mapsto in_{Person} \ person6)
                             (*oid4*)
                             (oid3 \mapsto in_{Person} person4)
                             (oid2 \mapsto in_{Person} person3)
                             (oid1 \mapsto in_{Person} person2)
                             (oid0 \mapsto in_{Person} \ person1)
                         , assocs = assocs \sigma_1'
\langle proof \rangle
declare const-ss [simp]
lemma \wedge \sigma_1.
 (\sigma_1, \sigma_1') \models (Person \ .allInstances() \doteq Set\{ X_{Person}1, X_{Person}2, X_{Person}3, X_{Person}4(*,
X_{Person} 5*), X_{Person} 6,
                                              X_{Person}7 .oclAsType(Person)(*, X_{Person}8*), X_{Person}9 })
 \langle proof \rangle
lemma \Lambda \sigma_1.
  (\sigma_1, \sigma_1') \models (OclAny \ .allInstances() \doteq Set\{ X_{Person}1 \ .oclAsType(OclAny), X_{Person}2
.oclAsType(OclAny),
                                           X_{Person}3 .oclAsType(OclAny), X_{Person}4 .oclAsType(OclAny)
                                               (*, X_{Person}5*), X_{Person}6 .oclAsType(OclAny),
                                               X_{Person}7, X_{Person}8, X_{Person}9 .oclAsType(OclAny) })
 \langle proof \rangle
end
```

theory

```
\begin{array}{c} Analysis\text{-}OCL\\ \textbf{imports}\\ Analysis\text{-}UML\\ \textbf{begin} \end{array}
```

7.10. OCL Part: Standard State Infrastructure

Ideally, these definitions are automatically generated from the class model.

7.11. Invariant

These recursive predicates can be defined conservatively by greatest fix-point constructions—automatically. See [4, 5] for details. For the purpose of this example, we state them as axioms here.

```
context Person
   inv label : self .boss <> null implies (self .salary
((self .boss) .salary))
definition Person-label<sub>inv</sub> :: Person \Rightarrow Boolean
where
             Person-label_{inv} (self) \equiv
                (\textit{self .boss} \textit{<> null implies (self .salary } \leq_{int} ((\textit{self .boss}) .\textit{salary})))
definition Person-label_{invATpre} :: Person \Rightarrow Boolean
where
             Person-label_{invATpre} (self) \equiv
                       (self .boss@pre <> null implies (self .salary@pre \leq_{int} ((self .boss@pre)
.salary@pre)))
definition Person-label_{globalinv} :: Boolean
            Person-label_{globalinv} \equiv (Person . allInstances() -> forAll(x \mid Person-label_{inv} (x)) \ and
                               (Person .allInstances@pre() -> forAll(x \mid Person-label_{invATpre}(x))))
lemma \tau \models \delta (X .boss) \Longrightarrow \tau \models Person .allInstances()->includes(X .boss) \land
                           \tau \models Person .allInstances() -> includes(X)
\langle proof \rangle
lemma REC-pre : \tau \models Person-label<sub>globalinv</sub>
      \Rightarrow \tau \models Person \ .allInstances() -> includes(X) \ (* X \ represented \ object \ in \ state \ *)
     \Rightarrow \exists REC. \ \tau \models REC(X) \triangleq (Person-label_{inv}(X) \ and \ (X \ .boss <> null implies REC(X)
.boss)))
\langle proof \rangle
```

This allows to state a predicate:

```
axiomatization inv_{Person-label} :: Person \Rightarrow Boolean
where inv_{Person-label}-def:
(\tau \models Person \ .allInstances() -> includes(self)) \Longrightarrow
 (\tau \models (inv_{Person-label}(self) \triangleq (self .boss <> null implies)
                                     (self . salary \leq_{int} ((self . boss) . salary)) and
                                     inv_{Person-label}(self.boss))))
axiomatization inv_{Person-labelATpre} :: Person \Rightarrow Boolean
where inv_{Person-labelATpre}-def:
(\tau \models Person .allInstances@pre()->includes(self)) \Longrightarrow
(\tau \models (inv_{Person-labelATpre}(self) \triangleq (self .boss@pre <> null implies)
                                      (self\ .salary@pre\ \leq_{int}\ ((self\ .boss@pre)\ .salary@pre)) and
                                       inv_{Person-labelATpre}(self.boss@pre))))
lemma inv-1:
(\tau \models Person .allInstances() -> includes(self)) \Longrightarrow
    (\tau \models inv_{Person-label}(self) = ((\tau \models (self .boss \doteq null)) \lor
                                 (\tau \models (self .boss <> null) \land
                                   \tau \models ((self . salary) \leq_{int} (self . boss . salary)) \land
                                   \tau \models (inv_{Person-label}(self .boss)))))
\langle proof \rangle
lemma inv-2:
(\tau \models Person .allInstances@pre()->includes(self)) \Longrightarrow
    (\tau \models inv_{Person-labelATpre}(self)) = ((\tau \models (self .boss@pre \doteq null)) \lor
                                        (\tau \models (\mathit{self}\ .\mathit{boss@pre} <> \mathit{null}) \ \land
                                        (\tau \models (\mathit{self}\ .\mathit{boss@pre}\ .\mathit{salary@pre} \leq_{int} \mathit{self}\ .\mathit{salary@pre})) \ \land
                                        (\tau \models (inv_{Person-labelATpre}(self .boss@pre)))))
\langle proof \rangle
   A very first attempt to characterize the axiomatization by an inductive definition -
this can not be the last word since too weak (should be equality!)
coinductive inv :: Person \Rightarrow (\mathfrak{A})st \Rightarrow bool where
(\tau \models (\delta \ self)) \Longrightarrow ((\tau \models (self \ .boss \doteq null)) \lor
                       (\tau \models (self .boss <> null) \land (\tau \models (self .boss .salary \leq_{int} self .salary)) \land
                      ((inv(self .boss))\tau))
                      \implies ( inv self \tau)
```

7.12. The Contract of a Recursive Query

The original specification of a recursive query:

For the case of recursive queries, we use at present just axiomatizations:

```
axiomatization contents :: Person \Rightarrow Set\text{-}Integer \ ((1(-).contents'(')) \ 50)
where contents-def:
(self .contents()) = (\lambda \tau. (if \tau \models (\delta self))
                              then SOME res.((\tau \models true) \land
                                             (\tau \models (\lambda - . res) \triangleq if (self .boss \doteq null)
                                                                 then (Set\{self .salary\})
                                                                 else (self .boss .contents()
                                                                           ->including(self .salary))
                                                                 endif))
                              else invalid \tau))
declare dot_{Person} SALARY-def [simp \ del]
declare dot_{Person} \mathcal{BOSS}-def [simp del]
interpretation contents: contract0 contents \lambda self. true
                          \lambda \ self \ res. \ res \triangleq if \ (self .boss \doteq null)
                                                                 then (Set\{self .salary\})
                                                                 else (self .boss .contents()
                                                                           ->including(self .salary))
                                                                 endif
         \langle proof \rangle
```

Specializing $\llbracket cp \ E; \tau \models \delta \ self; \tau \models true; \tau \models POST' \ self; \land res. \ (res \triangleq if \ self.boss \Rightarrow null \ then \ Set\{self.salary\} \ else \ self.boss.contents()->including(self.salary) \ endif) = (POST' \ self \ and \ (res \triangleq BODY \ self)) \implies (\tau \models E \ (self.contents())) = (\tau \models E \ (BODY \ self)), \ one gets \ the following more practical rewrite rule that is amenable to symbolic evaluation:$

```
{\bf theorem}\ {\it unfold-contents}:
```

```
 \begin{array}{ll} \textbf{assumes} \ cp \ E \\ \textbf{and} & \tau \models \delta \ self \\ \textbf{shows} & (\tau \models E \ (self \ .contents())) = \\ & (\tau \models E \ (if \ self \ .boss \doteq null \\ & then \ Set\{self \ .salary\} \\ & else \ self \ .boss \ .contents() -> including(self \ .salary) \ endif)) \\ & \langle proof \rangle \\ \end{array}
```

Since we have only one interpretation function, we need the corresponding operation on the pre-state:

```
consts contentsATpre :: Person \Rightarrow Set-Integer ((1(-).contents@pre'(')) 50)
```

axiomatization where contentsATpre-def:

```
then \ Set\{(self).salary@pre\}\\else\ (self).boss@pre\ .contents@pre()\\->including(self\ .salary@pre)\\endif)))
else\ invalid\ \tau))
declare\ dot_{Person}SALARY-at-pre-def\ [simp\ del]\\declare\ dot_{Person}BOSS-at-pre-def\ [simp\ del]\\interpretation\ contentsATpre\ :\ contract0\ contentsATpre\ \lambda\ self\ .true\\\lambda\ self\ res.\ res\triangleq if\ (self\ .boss@pre\doteq null)\\then\ (Set\{self\ .salary@pre\})\\else\ (self\ .boss@pre\ .contents@pre()\\->including(self\ .salary@pre))\\endif\\\langle proof \rangle
```

Again, we derive via *contents.unfold2* a Knaster-Tarski like Fixpoint rule that is amenable to symbolic evaluation:

```
theorem unfold\text{-}contentsATpre:
assumes cp\ E
and \tau \models \delta\ self
shows (\tau \models E\ (self\ .contents@pre())) =
(\tau \models E\ (if\ self\ .boss@pre\ \dot{=}\ null
then\ Set\{self\ .salary@pre\}
else\ self\ .boss@pre\ .contents@pre()->including(self\ .salary@pre)\ endif))
\langle proof \rangle
```

Note that these **@pre** variants on methods are only available on queries, i. e., operations without side-effect.

7.13. The Contract of a User-defined Method

The example specification in high-level OCL input syntax reads as follows:

```
context Person::insert(x:Integer)
pre: true
post: contents():Set(Integer)
contents() = contents@pre()->including(x)
```

This boils down to:

```
 \begin{array}{l} \textbf{definition} \ insert :: Person \Rightarrow Integer \Rightarrow Void \ ((1(-).insert'(-')) \ 50) \\ \textbf{where} \ self \ .insert(x) \equiv \\ (\lambda \ \tau. \ if \ (\tau \models (\delta \ self)) \land \ (\tau \models \upsilon \ x) \\ then \ SOME \ res. \ (\tau \models true \land \\ (\tau \models ((self).contents() \triangleq (self).contents@pre()->including(x)))) \\ else \ invalid \ \tau) \\ \end{array}
```

The semantic consequences of this definition were computed inside this locale interpretation:

The result of this locale interpretation for our *Analysis-OCL insert* contract is the following set of properties, which serves as basis for automated deduction on them:

Name	Theorem				
insert.strict0	(invalid.insert(X)) = invalid				
insert.null strict 0	(null.insert(X)) = invalid				
insert.strict1	(self.insert(invalid)) = invalid				
$insert.cp_{PRE}$	$true \ au = true \ au$				
$insert.cp_{POST}$	$(self.contents() \triangleq self.contents@pre() -> including(a1.0)) \ \tau =$				
	$(\lambda$ $self \ \tau.contents() \triangleq \lambda$ $self$				
	$ au.contents@pre()->including(\lambda$ $a1.0 \ au)) \ au$				
$insert.cp ext{-}pre$	$\llbracket cp \; self'; \; cp \; a1' \rrbracket \Longrightarrow cp \; (\lambda X. \; true)$				
insert.cp-post	$\llbracket cp \; self'; \; cp \; a1'; \; cp \; res' \rrbracket \Longrightarrow cp \; (\lambda X. \; self' \; X. contents() \triangleq self'$				
	X.contents@pre() -> including(a1'X))				
insert.cp	$\llbracket cp \; self'; \; cp \; a1'; \; cp \; res' \rrbracket \Longrightarrow cp \; (\lambda X. \; self' \; X.insert(a1' \; X))$				
insert.cp0	$(self.insert(a1.0)) \ \tau = (\lambda \ self \ \tau.insert(\lambda \ a1.0 \ \tau)) \ \tau$				
insert.def-scheme	$res. \ \tau \models true \land \tau \models self.contents() \triangleq$				
	self.contents@pre()->including(a1.0) else invalid $ au$				
insert.unfold	$\llbracket cp \ E; \tau \models \delta \ self \land \tau \models v \ a1.0; \tau \models true; \exists res. \ \tau \models$				
	$self.contents() \triangleq self.contents@pre() -> including(a1.0); \land res.$				
	$\tau \models self.contents() \triangleq self.contents@pre() -> including(a1.0)$				
	$\Rightarrow \tau \models E \ (\lambda \text{ res}) \implies \tau \models E \ (self.insert(a1.0))$				
insert.unfold 2	$\llbracket cp \; E; \; \tau \models \delta \; self \; \land \; \tau \models v \; a1.0; \; \tau \models true; \; \tau \models POST' \; self$				
	$a1.0$; $\land res. (self.contents() \triangleq$				
	self.contents@pre()->including(a1.0)) = (POST' self a1.0 and				
	$(res \triangleq BODY \ self \ a1.0))] \Longrightarrow (\tau \models E \ (self.insert(a1.0))) =$				
	$(\tau \models E \ (BODY \ self \ a1.0))$				

Table 7.1.: Basic semantic constant definitions of the logic (except null)

end

8. Example II: The Employee Design Model (UML)

theory
Design-UML
imports
../.././src/UML-Main
begin

8.1. Introduction

For certain concepts like classes and class-types, only a generic definition for its resulting semantics can be given. Generic means, there is a function outside HOL that "compiles" a concrete, closed-world class diagram into a "theory" of this data model, consisting of a bunch of definitions for classes, accessors, method, casts, and tests for actual types, as well as proofs for the fundamental properties of these operations in this concrete data model.

Such generic function or "compiler" can be implemented in Isabelle on the ML level. This has been done, for a semantics following the open-world assumption, for UML 2.0 in [4, 6]. In this paper, we follow another approach for UML 2.4: we define the concepts of the compilation informally, and present a concrete example which is verified in Isabelle/HOL.

8.1.1. Outlining the Example

We are presenting here a "design-model" of the (slightly modified) example Figure 7.3, page 20 of the OCL standard [29]. To be precise, this theory contains the formalization of the data-part covered by the UML class model (see Figure 8.1):

This means that the association (attached to the association class EmployeeRanking) with the association ends boss and employees is implemented by the attribute boss and the operation employees (to be discussed in the OCL part captured by the subsequent theory).

8.2. Example Data-Universe and its Infrastructure

Ideally, the following is generated automatically from a UML class model.

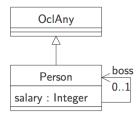


Figure 8.1.: A simple UML class model drawn from Figure 7.3, page 20 of [29].

Our data universe consists in the concrete class diagram just of node's, and implicitly of the class object. Each class implies the existence of a class type defined for the corresponding object representations as follows:

```
datatype type_{Person} = mk_{Person} oid int option oid option
```

```
datatype type_{OclAny} = mk_{OclAny} oid 
 (int\ option \times oid\ option) option
```

Now, we construct a concrete "universe of OclAny types" by injection into a sum type containing the class types. This type of OclAny will be used as instance for all respective type-variables.

```
datatype \mathfrak{A} = in_{Person} \ type_{Person} \mid in_{OclAny} \ type_{OclAny}
```

Having fixed the object universe, we can introduce type synonyms that exactly correspond to OCL types. Again, we exploit that our representation of OCL is a "shallow embedding" with a one-to-one correspondence of OCL-types to types of the meta-language HOL.

```
\begin{array}{lll} \textbf{type-synonym} \ \textit{Boolean} &= \mathfrak{A} \ \textit{Boolean} \\ \textbf{type-synonym} \ \textit{Integer} &= \mathfrak{A} \ \textit{Integer} \\ \textbf{type-synonym} \ \textit{Void} &= \mathfrak{A} \ \textit{Void} \\ \textbf{type-synonym} \ \textit{OclAny} &= (\mathfrak{A}, \ \textit{type}_{OclAny} \ \textit{option option}) \ \textit{val} \\ \textbf{type-synonym} \ \textit{Person} &= (\mathfrak{A}, \ \textit{type}_{Person} \ \textit{option option}) \ \textit{val} \\ \textbf{type-synonym} \ \textit{Set-Integer} &= (\mathfrak{A}, \ \textit{int option option}) \ \textit{Set} \\ \textbf{type-synonym} \ \textit{Set-Person} &= (\mathfrak{A}, \ \textit{type}_{Person} \ \textit{option option}) \ \textit{Set} \\ \textbf{type-synonym} \ \textit{Set-Person} &= (\mathfrak{A}, \ \textit{type}_{Person} \ \textit{option option}) \ \textit{Set} \\ \textbf{type-synonym} \ \textit{Set-Person} &= (\mathfrak{A}, \ \textit{type}_{Person} \ \textit{option option}) \ \textit{Set} \\ \textbf{type-synonym} \ \textit{Set-Person} &= (\mathfrak{A}, \ \textit{type}_{Person} \ \textit{option option}) \ \textit{Set} \\ \textbf{type-synonym} \ \textit{Set-Person} &= (\mathfrak{A}, \ \textit{type}_{Person} \ \textit{option option}) \ \textit{Set} \\ \textbf{type-synonym} \ \textit{Set-Person} &= (\mathfrak{A}, \ \textit{type}_{Person} \ \textit{option option}) \ \textit{Set} \\ \textbf{type-synonym} \ \textit{Set-Person} &= (\mathfrak{A}, \ \textit{type}_{Person} \ \textit{option option}) \ \textit{Set} \\ \textbf{type-synonym} \ \textit{Set-Person} &= (\mathfrak{A}, \ \textit{type}_{Person} \ \textit{option option}) \ \textit{Set} \\ \textbf{type-synonym} \ \textit{Set-Person} &= (\mathfrak{A}, \ \textit{type}_{Person} \ \textit{option}) \ \textit{Set} \\ \textbf{type-synonym} \ \textit{Set-Person} \ \textit{Set-Person} \ \textit{Set-Person} \ \textit{Set-Person} \\ \textbf{type-synonym} \ \textit{Set-Person} \ \textit{Set-Pe
```

Just a little check:

typ Boolean

To reuse key-elements of the library like referential equality, we have to show that the object universe belongs to the type class "oclany," i. e., each class type has to provide a function *oid-of* yielding the object id (oid) of the object.

```
instantiation type_{Person} :: object
begin
   definition oid-of-type<sub>Person</sub>-def: oid-of x = (case \ x \ of \ mk_{Person} \ oid - - \Rightarrow oid)
   instance \langle proof \rangle
end
instantiation type_{OclAny} :: object
   definition oid-of-type<sub>OclAny</sub>-def: oid-of x = (case \ x \ of \ mk_{OclAny} \ oid \ - \Rightarrow oid)
   instance \langle proof \rangle
end
instantiation \mathfrak{A} :: object
begin
   definition oid-of-\mathfrak{A}-def: oid-of x = (case \ x \ of \ x)
                                                  in_{Person} person \Rightarrow oid\text{-}of person
                                               |in_{OclAny}| oclany \Rightarrow oid-of oclany)
   instance \langle proof \rangle
end
```

8.3. Instantiation of the Generic Strict Equality

We instantiate the referential equality on Person and OclAny

```
defs(overloaded)
                       StrictRefEq_{Object\ -Person}: (x::Person) \doteq y \equiv StrictRefEq_{Object} \ x \ y
defs(overloaded)
                       StrictRefEq_{Object}-OclAny: (x::OclAny) \doteq y \equiv StrictRefEq_{Object} \times y
lemmas
   cp-StrictRefEq_{Object}[of x::Person y::Person <math>\tau,
                       simplified\ StrictRefEq_{Object\ -Person}[symmetric]]
   cp-intro(9)
                        [of P::Person \Rightarrow PersonQ::Person \Rightarrow Person,
                       simplified\ StrictRefEq_{Object\ -Person}[symmetric]\ ]
                                 [of x::Person y::Person,
   StrictRefEq_{Object}-def
                       simplified\ StrictRefEq_{Object}-Person[symmetric]]
   StrictRefEq_{Object}-defargs [of - x::Person y::Person,
                      simplified\ StrictRefEq_{Object\ -Person}[symmetric]]
   StrictRefEq_{Object}-strict1
                      [of x::Person,
                       simplified \ StrictRefEq_{Object\mbox{-}Person}[symmetric]]
   StrictRefEq_{Object}-strict2
                      [of x::Person,
                       simplified\ StrictRefEq_{Object\ -Person}[symmetric]]
```

For each Class C, we will have a casting operation .oclAsType(C), a test on the actual type .oclIsTypeOf(C) as well as its relaxed form .oclIsKindOf(C) (corresponding exactly to Java's instanceof-operator.

Thus, since we have two class-types in our concrete class hierarchy, we have two operations to declare and to provide two overloading definitions for the two static types.

8.4. OclAsType

8.4.1. Definition

```
consts OclAsType_{OclAny} :: '\alpha \Rightarrow OclAny ((-) .oclAsType'(OclAny'))
consts OclAsType_{Person} :: '\alpha \Rightarrow Person ((-) .oclAsType'(Person'))
definition OclAsType_{OclAny}-\mathfrak{A} = (\lambda u. \mid case \ u \ of \ in_{OclAny} \ a \Rightarrow a
                                                    |in_{Person} (mk_{Person} \ oid \ a \ b) \Rightarrow mk_{OclAny} \ oid \ |(a,b)||)
lemma OclAsType_{OclAny}-A-some: OclAsType_{OclAny}-A x \neq None
\langle proof \rangle
\mathbf{defs}\ (\mathbf{overloaded})\ \mathit{OclAsType}_{\mathit{OclAny}}\text{-}\mathit{OclAny}\text{:}
         (X::OclAny) \cdot oclAsType(OclAny) \equiv X
\mathbf{defs}\ (\mathbf{overloaded})\ \mathit{OclAsType}_{\mathit{OclAny}}\text{-}\mathit{Person}\text{:}
         (X::Person) .oclAsType(OclAny) \equiv
                      (\lambda \tau. case X \tau of
                                   \perp \Rightarrow invalid \ \tau
                                 | \mid \perp \mid \Rightarrow null \ \tau
                                  | [[mk_{Person} \ oid \ a \ b \ ]] \Rightarrow [[mk_{OclAny} \ oid \ [(a,b)]) \ ]] ) 
definition OclAsType_{Person}-\mathfrak{A} = (\lambda u. \ case \ u \ of \ in_{Person} \ p \Rightarrow \lfloor p \rfloor
                                                  |in_{OclAny} (mk_{OclAny} \ oid \ \lfloor (a,b) \rfloor) \Rightarrow \lfloor mk_{Person} \ oid \ a \ b \rfloor
                                                  | \rightarrow None \rangle
defs (overloaded) OclAsType_{Person}-OclAny:
         (X::OclAny) .oclAsType(Person) \equiv
                      (\lambda \tau. \ case \ X \ \tau \ of
                                   \perp \Rightarrow invalid \ \tau
                                 | \perp | \perp | \Rightarrow null \ \tau
                                 | \lfloor \lfloor mk_{OclAny} \ oid \perp \rfloor \rfloor \Rightarrow invalid \tau \quad (* down-cast exception *)
                                  | [ [mk_{OclAny} \ oid \ [(a,b)] ]] \Rightarrow [ [mk_{Person} \ oid \ a \ b ]] ) 
defs (overloaded) OclAsType_{Person}-Person:
         (X::Person) . oclAsType(Person) \equiv X
lemmas [simp] =
 OclAsType_{OclAny}-OclAny
 OclAsType_{Person}-Person
```

8.4.2. Context Passing

```
lemma cp\text{-}OclAsType_{OclAny}\text{-}Person\text{-}Person: } cp\ P \implies cp(\lambda X.\ (P\ (X::Person)::Person) . oclAsType(OclAny)) \langle proof \rangle lemma cp\text{-}OclAsType_{OclAny}\text{-}OclAny\text{-}OclAny: } cp\ P \implies cp(\lambda X.\ (P\ (X::OclAny)::OclAny) . oclAsType(OclAny))
```

```
\langle proof \rangle
lemma cp-OclAsType_{Person}-Person-Person: cp P \implies cp(\lambda X. (P (X::Person)::Person)
.oclAsType(Person))
\langle proof \rangle
lemma cp-OclAsType_{Person}-OclAny-OclAny: cp P \implies cp(\lambda X. (P (X::OclAny)::OclAny)
.oclAsType(Person))
\langle proof \rangle
lemma cp-OclAsType<sub>OclAny</sub>-Person-OclAny: cp P \implies cp(\lambda X. (P (X::Person)::OclAny)
.oclAsType(OclAny))
\langle proof \rangle
lemma cp\text{-}OclAsType_{OclAny}\text{-}OclAny\text{-}Person: <math>cp\ P\implies cp(\lambda X.\ (P\ (X::OclAny)::Person)
.oclAsType(OclAny))
\langle proof \rangle
lemma cp-OclAsType_{Person}-Person-OclAny: cp P \implies cp(\lambda X. (P (X::Person)::OclAny)
.oclAsType(Person))
\langle proof \rangle
lemma cp-OclAsType_{Person}-OclAny-Person: cp P \implies cp(\lambda X. (P (X::OclAny)::Person)
.oclAsType(Person))
\langle proof \rangle
lemmas [simp] =
 cp	ext{-}OclAsType_{OclAny}	ext{-}Person	ext{-}Person
 cp-OclAsType<sub>OclAny</sub>-OclAny-OclAny
 cp-OclAsType_{Person}-Person-Person
 cp	ext{-}OclAsType_{Person}	ext{-}OclAny	ext{-}OclAny
 cp\hbox{-}Ocl As Type_{O\,cl\,A\,n\,y}\hbox{-}Person\hbox{-}Ocl A\,n\,y
 cp\hbox{-}Ocl As Type_{Ocl Any}\hbox{-}Ocl Any\hbox{-}Person
 cp\hbox{-}Ocl As Type_{Person}\hbox{-}Person\hbox{-}Ocl Any
 cp-OclAsType_{Person}-OclAny-Person
8.4.3. Execution with Invalid or Null as Argument
\mathbf{lemma} \ \mathit{OclAsType}_{\mathit{OclAny}}\text{-}\mathit{OclAny-strict}: (\mathit{invalid}::\mathit{OclAny}) \ \mathit{.oclAsType}(\mathit{OclAny}) = \mathit{invalid}
\langle proof \rangle
```

lemma $OclAsType_{OclAny}$ -OclAny-nullstrict : (null::OclAny) . $oclAsType(OclAny) = null \langle proof \rangle$

 $\begin{array}{l} \textbf{lemma} \ \textit{OclAsType}_{\textit{OclAny}} \text{-} \textit{Person-nullstrict}[\textit{simp}] : (\textit{null}::Person) \ .oclAsType(\textit{OclAny}) = \textit{null} \\ \langle \textit{proof} \rangle \end{array}$

```
lemma OclAsType_{Person}-OclAny-nullstrict[simp] : (null::OclAny) .oclAsType(Person) = null \langle proof \rangle
lemma OclAsType_{Person}-Person-strict : (invalid::Person) .oclAsType(Person) = invalid \langle nroof \rangle
```

 $\mathbf{lemma}\ \mathit{OclAsType}_{\mathit{Person}}\text{-}\mathit{Person-nullstrict}: (\mathit{null}::\mathit{Person})\ .\mathit{oclAsType}(\mathit{Person}) = \mathit{null}$

 $\langle proof
angle$

8.5. OcllsTypeOf

8.5.1. Definition

```
consts OclIsTypeOf_{OclAny} :: '\alpha \Rightarrow Boolean ((-).oclIsTypeOf'(OclAny'))
consts OclIsTypeOf_{Person} :: '\alpha \Rightarrow Boolean ((-).oclIsTypeOf'(Person'))
\mathbf{defs}\ (\mathbf{overloaded})\ \mathit{OclIsTypeOf}_{\mathit{OclAny}}\text{-}\mathit{OclAny}\text{:}
          (X::OclAny) .oclIsTypeOf(OclAny) \equiv
                         (\lambda \tau. case X \tau of
                                       \perp \Rightarrow invalid \ \tau
                                     | \perp \perp  \Rightarrow true \ \tau \ (* invalid ?? *)
                                    |\lfloor \lfloor mk_{OclAny} \ oid \perp \rfloor \rfloor \Rightarrow true \ \tau
                                     |\lfloor mk_{OclAny} \ oid \lfloor - \rfloor \rfloor | \Rightarrow false \ \tau)
defs (overloaded) OclIsTypeOf_{OclAny}-Person:
          (X::Person) .oclIsTypeOf(OclAny) \equiv
                         (\lambda \tau. case X \tau of
                                       \perp \Rightarrow invalid \ \tau
                                     | \perp | \perp | \Rightarrow true \ \tau \quad (* invalid ?? *)
                                    | \ | \ | - \ | \ | \Rightarrow false \ \tau )
\mathbf{defs}\ (\mathbf{overloaded})\ \mathit{OclIsTypeOf}_{\mathit{Person}}\text{-}\mathit{OclAny}\text{:}
          (X::OclAny) .oclIsTypeOf(Person) \equiv
                         (\lambda \tau. case X \tau of
                                       \perp \Rightarrow invalid \ \tau
                                     | \perp \rfloor \Rightarrow true \ \tau
                                     |\lfloor mk_{OclAny} \text{ oid } \perp \rfloor | \Rightarrow \text{ false } \tau
                                    | | | mk_{OclAny} \text{ oid } | - | | | \Rightarrow true \tau |
defs (overloaded) OclIsTypeOf_{Person}-Person:
          (X::Person) .oclIsTypeOf(Person) \equiv
                         (\lambda \tau. \ case \ X \ \tau \ of
                                       \perp \Rightarrow invalid \ \tau
```

 $| - \Rightarrow true \tau)$

8.5.2. Context Passing

```
lemma cp	ext{-}OclIsTypeOf_{OclAny}	ext{-}Person	ext{-}Person: <math>cp P \Longrightarrow cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(OclAny))
```

```
\langle proof \rangle
lemma
                      cp-OclIsTypeOf_{OclAny}-OclAny-OclAny:
                                                                                                    P
                                                                                     cp
cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(OclAny))
\langle proof \rangle
                                                                                                    P
                      cp-OclIsTypeOf_{Person}-Person-Person:
lemma
                                                                                    cp
cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(Person))
\langle proof \rangle
                                                                                                    P
lemma
                      cp-OclIsTypeOf_{Person}-OclAny-OclAny:
                                                                                     cp
cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(Person))
\langle proof \rangle
                                                                                                    P
lemma
                      cp-OclIsTypeOf_{OclAny}-Person-OclAny:
                                                                                     cp
cp(\lambda X.(P(X::Person)::OclAny).oclIsTypeOf(OclAny))
\langle proof \rangle
                      cp	ext{-}OclIsTypeOf_{OclAny}	ext{-}OclAny	ext{-}Person:
                                                                                                    P
lemma
                                                                                     cp
cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(OclAny))
\langle proof \rangle
lemma
                      cp-OclIsTypeOf_{Person}-Person-OclAny:
                                                                                                    P
                                                                                    cp
cp(\lambda X.(P(X::Person)::OclAny).oclIsTypeOf(Person))
\langle proof \rangle
lemma
                      cp	ext{-}OclIsTypeOf_{Person}	ext{-}OclAny	ext{-}Person:
                                                                                                    P
                                                                                    cp
cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(Person))
\langle proof \rangle
lemmas [simp] =
 cp	ext{-}OclIsTypeOf_{OclAny}	ext{-}Person	ext{-}Person
 cp\hbox{-}Ocl Is Type Of {\tiny Ocl Any}\hbox{-}Ocl Any\hbox{-}Ocl Any
 cp\hbox{-} Ocl Is Type Of_{Person}\hbox{-} Person\hbox{-} Person
 cp-OclIsTypeOf Person-OclAny-OclAny
 cp\hbox{-} Ocl Is Type Of {\it Ocl Any} \hbox{-} Person\hbox{-} Ocl Any
 cp	ext{-}OclIsTypeOf_{OclAny}	ext{-}OclAny	ext{-}Person
 cp	ext{-}OclIsTypeOf_{Person}	ext{-}Person	ext{-}OclAny
 cp	ext{-}OclIsTypeOf_{Person}	ext{-}OclAny	ext{-}Person
```

8.5.3. Execution with Invalid or Null as Argument

```
 \begin{array}{l} \textbf{lemma} \ \textit{OclIsTypeOf}_{\textit{OclAny}}\text{-}\textit{OclAny-strict1}[\textit{simp}]\text{:} \\ & (\textit{invalid}::\textit{OclAny}) \ .\textit{oclIsTypeOf}(\textit{OclAny}) = \textit{invalid} \\ & \langle \textit{proof} \rangle \\ \textbf{lemma} \ \textit{OclIsTypeOf}_{\textit{OclAny}}\text{-}\textit{OclAny-strict2}[\textit{simp}]\text{:} \\ & (\textit{null}::\textit{OclAny}) \ .\textit{oclIsTypeOf}(\textit{OclAny}) = \textit{true} \\ & \langle \textit{proof} \rangle \\ \textbf{lemma} \ \textit{OclIsTypeOf}_{\textit{OclAny}}\text{-}\textit{Person-strict1}[\textit{simp}]\text{:} \\ & (\textit{invalid}::Person) \ .\textit{oclIsTypeOf}(\textit{OclAny}) = \textit{invalid} \\ & \langle \textit{proof} \rangle \\ \textbf{lemma} \ \textit{OclIsTypeOf}_{\textit{OclAny}}\text{-}\textit{Person-strict2}[\textit{simp}]\text{:} \\ & (\textit{null}::Person) \ .\textit{oclIsTypeOf}(\textit{OclAny}) = \textit{true} \\ \end{array}
```

```
lemma OclIsTypeOf Person-OclAny-strict1[simp]:
     (invalid::OclAny) .oclIsTypeOf(Person) = invalid
lemma OclIsTypeOf Person-OclAny-strict2[simp]:
     (null::OclAny) .oclIsTypeOf(Person) = true
\langle proof \rangle
lemma OclIsTypeOf_{Person}-Person-strict1[simp]:
     (invalid::Person) . oclIsTypeOf(Person) = invalid
\langle proof \rangle
lemma OclIsTypeOf_{Person}-Person-strict2[simp]:
     (null::Person) . oclIsTypeOf(Person) = true
\langle proof \rangle
8.5.4. Up Down Casting
lemma actual Type-larger-static Type:
assumes isdef : \tau \models (\delta X)
shows
                 \tau \models (X::Person) .oclIsTypeOf(OclAny) \triangleq false
\langle proof \rangle
lemma down-cast-type:
assumes isOclAny: \tau \models (X::OclAny) oclIsTypeOf(OclAny)
and
          non-null: \tau \models (\delta X)
                    \tau \models (X . oclAsType(Person)) \triangleq invalid
shows
\langle proof \rangle
lemma down-cast-type':
assumes isOclAny: \tau \models (X::OclAny) .oclIsTypeOf(OclAny)
          non-null: \tau \models (\delta X)
and
                    \tau \models not (\upsilon (X .oclAsType(Person)))
shows
\langle proof \rangle
\mathbf{lemma}\ up\text{-}down\text{-}cast:
assumes isdef: \tau \models (\delta X)
shows \tau \models ((X::Person) .oclAsType(OclAny) .oclAsType(Person) \triangleq X)
\langle proof \rangle
```

```
lemma up-down-cast-Person-OclAny-Person': assumes \tau \models v \ X shows \tau \models (((X :: Person) .oclAsType(OclAny) .oclAsType(Person)) \doteq X) \langle proof \rangle
```

```
lemma up-down-cast-Person-OclAny-Person'': assumes \tau \models v \ (X :: Person) shows \tau \models (X .oclIsTypeOf(Person) implies \ (X .oclAsType(OclAny) .oclAsType(Person)) <math>\doteq
```

```
X) \langle proof \rangle
```

8.6. OcllsKindOf

8.6.1. Definition

```
consts OclIsKindOf_{OclAny} :: '\alpha \Rightarrow Boolean ((-).oclIsKindOf'(OclAny'))
consts OcllsKindOf_{Person} :: '\alpha \Rightarrow Boolean ((-).ocllsKindOf'(Person'))
\mathbf{defs}\ (\mathbf{overloaded})\ \mathit{OclIsKindOf}_{\mathit{OclAny}}\text{-}\mathit{OclAny}\text{:}
          (X::OclAny) .oclIsKindOf(OclAny) \equiv
                        (\lambda \tau. \ case \ X \ \tau \ of
                                      \perp \Rightarrow invalid \ \tau
                                   | - \Rightarrow true \tau )
defs (overloaded) OclIsKindOf_{OclAny}-Person:
          (X::Person) .oclIsKindOf(OclAny) \equiv
                        (\lambda \tau. case X \tau of
                                      \perp \Rightarrow invalid \ \tau
                                    | \rightarrow true \tau )
\mathbf{defs}\ (\mathbf{overloaded})\ \mathit{OclIsKindOf}_{Person}\text{-}\mathit{OclAny}:
          (X::OclAny) .oclIsKindOf(Person) \equiv
                        (\lambda \tau. case X \tau of
                                      \bot \quad \Rightarrow invalid \ \tau
                                    | \perp | \perp | \Rightarrow true \ \tau
                                    |\lfloor \lfloor mk_{OclAny} \ oid \perp \rfloor \rfloor \Rightarrow false \ \tau
                                   |\lfloor \lfloor mk_{OclAny} \text{ oid } \lfloor - \rfloor \rfloor | \Rightarrow true \tau
defs (overloaded) OclIsKindOf_{Person}-Person:
          (X::Person) .oclIsKindOf(Person) \equiv
                        (\lambda \tau. \ case \ X \ \tau \ of
                                      \perp \Rightarrow invalid \ \tau
                                    | - \Rightarrow true \tau )
```

8.6.2. Context Passing

```
P
                      cp	ext{-}OclIsKindOf_{OclAny}	ext{-}Person	ext{-}Person:
                                                                                      cp
cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(OclAny))
\langle proof \rangle
                      cp	ext{-}OclIsKindOf_{OclAny}	ext{-}OclAny	ext{-}OclAny:
                                                                                                      P
lemma
                                                                                      cp
cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(OclAny))
\langle proof \rangle
                      cp	ext{-}OclIsKindOf_{Person}	ext{-}Person	ext{-}Person:
                                                                                                      P
lemma
                                                                                      cp
cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(Person))
\langle proof \rangle
```

```
P
lemma
                                                          cp-OclIsKindOf_{Person}-OclAny-OclAny:
                                                                                                                                                                                                                                 cp
cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(Person))
\langle proof \rangle
                                                                                                                                                                                                                                                                          P
                                                           cp	ext{-}OclIsKindOf_{OclAny}	ext{-}Person	ext{-}OclAny:
lemma
                                                                                                                                                                                                                                 cp
cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(OclAny))
\langle proof \rangle
                                                                                                                                                                                                                                                                          P
lemma
                                                           cp-OclIsKindOf_{OclAny}-OclAny-Person:
                                                                                                                                                                                                                                 cp
cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(OclAny))
\langle proof \rangle
                                                           cp\hbox{-} OclIsKindOf_{Person}\hbox{-} Person\hbox{-} OclAny:
                                                                                                                                                                                                                                                                          P
lemma
                                                                                                                                                                                                                                 cp
cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(Person))
\langle proof \rangle
lemma
                                                           cp	ext{-}OclIsKindOf_{Person}	ext{-}OclAny	ext{-}Person:
                                                                                                                                                                                                                                                                          P
                                                                                                                                                                                                                                cp
cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(Person))
\langle proof \rangle
lemmas [simp] =
   cp-OclIsKindOf_{OclAny}-Person-Person
   cp-OclIsKindOf<sub>OclAny</sub>-OclAny-OclAny
   cp\hbox{-} Ocl Is Kind Of \, _{Person}\hbox{-} Person\hbox{-} Person
   cp	ext{-}OclIsKindOf_{Person}	ext{-}OclAny	ext{-}OclAny
   cp-OclIsKindOf_{OclAny}-Person-OclAny
   cp	ext{-}OclIsKindOf_{OclAny}	ext{-}OclAny	ext{-}Person
   cp\hbox{-}OclIsKindOf_{Person}\hbox{-}Person\hbox{-}OclAny
   cp	ext{-}OclIsKindOf_{Person}	ext{-}OclAny	ext{-}Person
8.6.3. Execution with Invalid or Null as Argument
\mathbf{lemma} \ \mathit{OclIsKindOf}_{\mathit{OclAny}}\text{-}\mathit{OclAny-strict1}[\mathit{simp}] : (\mathit{invalid}::\mathit{OclAny}) \ .\mathit{oclIsKindOf}(\mathit{OclAny}) =
invalid
\langle proof \rangle
\mathbf{lemma} \ \ \mathit{OclIsKindOf}_{\mathit{OclAny}}\text{-}\mathit{OclAny-strict2}[\mathit{simp}] \ : \ (\mathit{null}::\mathit{OclAny}) \ \ .\mathit{oclIsKindOf}(\mathit{OclAny}) \ = \\ \\ \mathbf{lemma} \ \ \mathit{OclIsKindOf}(\mathit{OclAny}) \ = \\ \\ \mathbf{lemma} \ \ \mathit{OclIsKindOf}(
true
\langle proof \rangle
lemma\ OclIsKindOf_{OclAny}-Person-strict1[simp]: (invalid::Person)\ .oclIsKindOf(OclAny) =
invalid
\langle proof \rangle
\mathbf{lemma} \ \mathit{OclIsKindOf}(\mathit{OclAny}) - \mathit{Person-strict2}[\mathit{simp}] : (\mathit{null} :: \mathit{Person}) \ .\mathit{oclIsKindOf}(\mathit{OclAny}) = \mathit{true}
\langle proof \rangle
```

 $\mathbf{lemma} \ \ \mathit{OclIsKindOf}_{Person}\text{-}\mathit{OclAny}\text{-}\mathit{strict1}[\mathit{simp}]\text{:} \ (\mathit{invalid}::\mathit{OclAny}) \ \ \mathit{.oclIsKindOf}(\mathit{Person}) = \\ \mathbf{lemma} \ \ \mathit{OclIsKindOf}(\mathit{Person}) = \\ \mathbf{lemma} \ \ \mathit$

 $invalid \ \langle proof \rangle$

8.6.4. Up Down Casting

```
\begin{array}{lll} \textbf{lemma} & actual Kind-larger\text{-}static Kind: \\ \textbf{assumes} & isdef\colon \tau \models (\delta \ X) \\ \textbf{shows} & \tau \models ((X::Person) \ .oclIsKindOf(OclAny) \triangleq true) \\ \langle proof \rangle \\ \\ \textbf{lemma} & down\text{-}cast\text{-}kind: \\ \textbf{assumes} & isOclAny: \neg (\tau \models ((X::OclAny).oclIsKindOf(Person))) \\ \textbf{and} & non\text{-}null: \tau \models (\delta \ X) \\ \textbf{shows} & \tau \models ((X \ .oclAsType(Person)) \triangleq invalid) \\ \langle proof \rangle \\ \end{array}
```

8.7. OclAllInstances

To denote OCL-types occurring in OCL expressions syntactically—as, for example, as "argument" of oclAllInstances ()—we use the inverses of the injection functions into the object universes; we show that this is sufficient "characterization."

```
definition Person \equiv OclAsType_{Person}-\mathfrak{A} definition OclAny \equiv OclAsType_{OclAny}-\mathfrak{A} lemmas [simp] = Person-def OclAny-def lemma OclAllInstances-generic_{OclAny}-exec: OclAllInstances-generic_{DclAny}-exec: OclAny 'ran (heap (pre-post \tau)) \rfloor \rfloor) \langle proof \rangle lemma OclAllInstances-at-post_{OclAny}-exec: OclAny ·allInstances() = (\lambda \tau. \ Abs-Set_{base} \ [[\ Some \ `OclAny \ `ran \ (heap \ (snd \ \tau)) \ ]]) \langle proof \rangle lemma OclAllInstances-at-pre_{OclAny}-exec: OclAny ·allInstances@pre() = (\lambda \tau. \ Abs-Set_{base} \ [[\ Some \ `OclAny \ `ran \ (heap \ (fst \ \tau)) \ ]]) \langle proof \rangle
```

8.7.1. OcllsTypeOf

```
lemma OclAny-allInstances-generic-oclIsTypeOf_{OclAny}1: assumes [simp]: \bigwedge x. pre-post (x, x) = x
```

```
shows \exists \tau. (\tau \models
                                        ((OclAllInstances-generic pre-post OclAny) -> forAll(X|X)
.oclIsTypeOf(OclAny))))
 \langle proof \rangle
lemma OclAny-allInstances-at-post-oclIsTypeOf_{OclAny}1:
               (OclAny \ .allInstances() -> forAll(X|X \ .oclIsTypeOf(OclAny))))
\exists \tau. (\tau \models
\langle proof \rangle
lemma OclAny-allInstances-at-pre-oclIsTypeOf_{OclAny}1:
               (OclAny .allInstances@pre() -> forAll(X|X .oclIsTypeOf(OclAny))))
\exists \tau. (\tau \models
\langle proof \rangle
lemma OclAny-allInstances-generic-oclIsTypeOf_{OclAny}2:
assumes [simp]: \bigwedge x. pre-post (x, x) = x
shows \exists \tau. (\tau \models not ((OclAllInstances-generic)))
                                                                       pre-post \quad OclAny) -> forAll(X|X)
.oclIsTypeOf(OclAny))))
\langle proof \rangle
lemma OclAny-allInstances-at-post-oclIsTypeOf_{OclAny}2:
\exists \tau. (\tau \models not (OclAny .allInstances() -> forAll(X|X .oclIsTypeOf(OclAny))))
\langle proof \rangle
lemma OclAny-allInstances-at-pre-oclIsTypeOf_{OclAny}2:
\exists \tau. (\tau \models not (OclAny .allInstances@pre() -> forAll(X | X .oclIsTypeOf(OclAny))))
\langle proof \rangle
\mathbf{lemma}\ Person-all Instances-generic-ocl Is Type Of_{Person}:
\tau \models ((OclAllInstances-generic\ pre-post\ Person) -> forAll(X|X\ .oclIsTypeOf(Person)))
 \langle proof \rangle
lemma Person-allInstances-at-post-oclIsTypeOf_{Person}:
\tau \models (Person \ .allInstances() -> forAll(X|X \ .oclIsTypeOf(Person)))
\langle proof \rangle
lemma Person-allInstances-at-pre-ocllsTypeOf_{Person}:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsTypeOf(Person)))
\langle proof \rangle
8.7.2. OcllsKindOf
\mathbf{lemma}\ \mathit{OclAny-allInstances-generic-oclIsKindOf}_{\mathit{OclAny}}:
\tau \models ((OclAllInstances-generic\ pre-post\ OclAny) - > forAll(X \mid X\ .oclIsKindOf(OclAny)))
 \langle proof \rangle
lemma OclAny-allInstances-at-post-oclIsKindOf_{OclAny}:
\tau \models (OclAny \ .allInstances() -> forAll(X|X \ .oclIsKindOf(OclAny)))
\langle proof \rangle
lemma OclAny-allInstances-at-pre-oclIsKindOf_{OclAny}:
```

```
\tau \models (OclAny .allInstances@pre() -> forAll(X|X .oclIsKindOf(OclAny)))
\langle proof \rangle
lemma Person-allInstances-generic-oclIsKindOf_{OclAny}:
\tau \models ((OclAllInstances-generic\ pre-post\ Person) - > forAll(X|X\ .oclIsKindOf(OclAny)))
\langle proof \rangle
lemma Person-allInstances-at-post-oclIsKindOf_{OclAny}:
\tau \models (Person .allInstances() -> forAll(X|X .oclIsKindOf(OclAny)))
\langle proof \rangle
lemma Person-allInstances-at-pre-oclIsKindOf_{OclAny}:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsKindOf(OclAny)))
\langle proof \rangle
lemma Person-allInstances-generic-oclIsKindOf_{Person}:
\tau \models ((OclAllInstances-qeneric\ pre-post\ Person) -> forAll(X|X\ .oclIsKindOf(Person)))
\langle proof \rangle
lemma Person-allInstances-at-post-oclIsKindOf_{Person}:
\tau \models (Person .allInstances() -> forAll(X|X .oclIsKindOf(Person)))
\langle proof \rangle
lemma Person-allInstances-at-pre-oclIsKindOf Person:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsKindOf(Person)))
\langle proof \rangle
```

8.8. The Accessors (any, boss, salary)

Should be generated entirely from a class-diagram.

8.8.1. Definition

```
definition eval-extract :: (\mathfrak{A},('a::object) option option) val

\Rightarrow (oid \Rightarrow (\mathfrak{A},'c::null) val)

\Rightarrow (\mathfrak{A},'c::null) val

where eval-extract X f = (\lambda \tau. \ case \ X \tau \ of

\bot \Rightarrow invalid \ \tau \ (* \ exception \ propagation \ *)

| \ \bot \ \bot \ \Rightarrow invalid \ \tau \ (* \ dereferencing \ null \ pointer \ *)

| \ \bot \ \cup \ bij \ \bot \ \Rightarrow f \ (oid\text{-of obj}) \ \tau)

definition deref\text{-oid}_{Person} :: (\mathfrak{A} \ state \times \mathfrak{A} \ state \Rightarrow \mathfrak{A} \ state)

\Rightarrow (type_{Person} \Rightarrow (\mathfrak{A}, \ 'c::null)val)

\Rightarrow oid
```

 $\Rightarrow (\mathfrak{A}, 'c::null)val$

where deref-oid Person fst-snd f $oid = (\lambda \tau. case (heap (fst$ -snd $\tau))$ oid of

```
definition deref\text{-}oid_{OclAny} :: (\mathfrak{A} \ state \times \mathfrak{A} \ state \Rightarrow \mathfrak{A} \ state)
                              \Rightarrow (type_{OclAny} \Rightarrow (\mathfrak{A}, 'c::null)val)
                              \Rightarrow oid
                              \Rightarrow (\mathfrak{A}, 'c::null)val
where deref-oid<sub>OclAny</sub> fst-snd f oid = (\lambda \tau. case (heap (fst-snd \tau)) oid of
                      pointer undefined in state or not referencing a type conform object representation
definition select<sub>OclAny</sub>\mathcal{ANY} f = (\lambda X. case X of
                     (mk_{OclAny} - \bot) \Rightarrow null
                    |(mk_{OclAny} - |any|) \Rightarrow f(\lambda x - ||x||) any)
definition select<sub>Person</sub>\mathcal{BOSS} f = (\lambda X. case X of
                      (mk_{Person} - - \bot) \Rightarrow null \ (* object contains null pointer *)
                    |(mk_{Person} - - |boss|) \Rightarrow f(\lambda x - ||x||) boss)
definition select_{Person} SALARY f = (\lambda X. case X of
                      (mk_{Person} - \bot -) \Rightarrow null
                    |(mk_{Person} - |salary| -) \Rightarrow f(\lambda x - ||x||) salary)
definition in-pre-state = fst
definition in\text{-}post\text{-}state = snd
definition reconst-basetype = (\lambda \ convert \ x. \ convert \ x)
definition dot_{OclAny} \mathcal{ANY} :: OclAny \Rightarrow - ((1(-).any) 50)
  where (X). any = eval\text{-}extract\ X
                      (deref-oid_{OclAny} in-post-state)
                        (select_{OclAny}\mathcal{ANY}
                          reconst-basetype))
definition dot_{Person} \mathcal{BOSS} :: Person \Rightarrow Person ((1(-).boss) 50)
  where (X).boss = eval-extract X
                      (deref-oid_{Person} in-post-state)
```

 $(select_{Person}\mathcal{BOSS}$

where (X).salary = eval-extract X

 $(deref-oid_{Person} in-post-state)))$

definition $dot_{Person} SALARY :: Person \Rightarrow Integer ((1(-).salary) 50)$

 $(deref-oid_{Person} in-post-state)$

 $\lfloor in_{Person} \ obj \rfloor \Rightarrow f \ obj \ \tau$

 $| \cdot \rangle \Rightarrow invalid \tau$

```
(select_{Person} SALARY)
                               reconst-basetype))
definition dot_{OclAny} ANY-at-pre :: OclAny \Rightarrow -((1(-).any@pre) 50)
  where (X). any @pre = eval-extract X
                           (deref-oid_{OclAny} in-pre-state
                              (select_{OclAny}\mathcal{ANY})
                                reconst-basetype))
definition dot_{Person}\mathcal{BOSS}-at-pre:: Person \Rightarrow Person \ ((1(-).boss@pre) \ 50)
  where (X).boss@pre = eval-extract X
                            (deref-oid_{Person} in-pre-state)
                               (select_{Person}\mathcal{BOSS})
                                 (deref-oid_{Person} in-pre-state)))
definition dot_{Person} SALARY-at-pre:: Person \Rightarrow Integer ((1(-).salary@pre) 50)
  where (X).salary@pre = eval-extract X
                               (deref-oid_{Person} in-pre-state)
                                 (select_{Person}\mathcal{SALARY}
                                   reconst-basetype))
lemmas [simp] =
  dot_{OclAny}\mathcal{ANY}-def
  dot_{Person} \mathcal{BOSS}-def
  dot_{Person} SALARY-def
  dot_{OclAny}\mathcal{ANY}-at-pre-def
  dot_{Person} \mathcal{BOSS}-at-pre-def
  dot_{Person} SALARY-at-pre-def
8.8.2. Context Passing
lemmas [simp] = eval-extract-def
lemma cp\text{-}dot_{OclAny}\mathcal{ANY}: ((X).any) \tau = ((\lambda - X \tau).any) \tau \langle proof \rangle
lemma cp\text{-}dot_{Person}\mathcal{BOSS}: ((X).boss)\ \tau = ((\lambda - X \ \tau).boss)\ \tau \ \langle proof \rangle
lemma cp\text{-}dot_{Person}\mathcal{SALARY}: ((X).salary) \ \tau = ((\lambda -. \ X \ \tau).salary) \ \tau \ \langle proof \rangle
lemma cp\text{-}dot_{OclAny}\mathcal{ANY}\text{-}at\text{-}pre: ((X).any@pre) \ \tau = ((\lambda -. \ X \ \tau).any@pre) \ \tau \ \langle proof \rangle
\mathbf{lemma} \ cp\text{-}dot_{Person}\mathcal{BOSS}\text{-}at\text{-}pre: ((X).boss@pre) \ \tau = ((\lambda\text{-}.\ X\ \tau).boss@pre) \ \tau \ \langle proof \rangle
lemma cp\text{-}dot_{Person}\mathcal{SALARY}-at-pre:((X).salary@pre)\ \tau=((\lambda\text{-}.\ X\ \tau).salary@pre)\ \tau\ \langle proof\rangle
lemmas cp\text{-}dot_{OclAny}\mathcal{ANY}\text{-}I [simp, intro!] =
       cp\text{-}dot_{OclAny}\mathcal{ANY}[\mathit{THEN\ allI[THEN\ allI]},
                            of \lambda X - X \lambda - \tau \cdot \tau, THEN cpI1]
lemmas cp\text{-}dot_{OclAny}\mathcal{ANY}\text{-}at\text{-}pre\text{-}I \ [simp, intro!]=
       cp\text{-}dot_{OclAny}\mathcal{ANY}\text{-}at\text{-}pre[THEN\ allI[THEN\ allI]},
                            of \lambda X -. X \lambda - \tau. \tau, THEN cpI1
lemmas cp-dot_{Person} \mathcal{BOSS}-I[simp, intro!]=
```

```
cp\text{-}dot_{Person}\mathcal{BOSS}[THEN\ allI[THEN\ allI],\\ of\ \lambda\ X\ -.\ X\ \lambda\ -\tau.\ \tau,\ THEN\ cpII]\\ \textbf{lemmas}\ cp\text{-}dot_{Person}\mathcal{BOSS}\text{-}at\text{-}pre\text{-}I\ [simp,\ intro!]=}\\ cp\text{-}dot_{Person}\mathcal{BOSS}\text{-}at\text{-}pre\ [THEN\ allI\ [THEN\ allI],}\\ of\ \lambda\ X\ -.\ X\ \lambda\ -\tau.\ \tau,\ THEN\ cpII]\\ \textbf{lemmas}\ cp\text{-}dot_{Person}\mathcal{SALARY}\text{-}I\ [simp,\ intro!]=}\\ cp\text{-}dot_{Person}\mathcal{SALARY}\text{-}at\text{-}pre\text{-}I\ [simp,\ intro!]=}\\ cp\text{-}dot_{Person}\mathcal{SALARY}\text{-}at\text{-}pre\text{-}I\ [simp,\ intro!]=}\\ cp\text{-}dot_{Person}\mathcal{SALARY}\text{-}at\text{-}pre\ [THEN\ allI\ [THEN\ allI\ ],}\\ of\ \lambda\ X\ -.\ X\ \lambda\ -\tau.\ \tau,\ THEN\ cpII\ ]}\\ of\ \lambda\ X\ -.\ X\ \lambda\ -\tau.\ \tau,\ THEN\ cpII\ ]
```

8.8.3. Execution with Invalid or Null as Argument

```
lemma dot_{OclAny}\mathcal{ANY}-nullstrict [simp]: (null).any = invalid
\langle proof \rangle
lemma dot_{OclAny} \mathcal{ANY}-at-pre-nullstrict [simp] : (null).any@pre = invalid
\langle proof \rangle
lemma dot_{OclAny} ANY-strict [simp] : (invalid).any = invalid
lemma dot_{OclAny}\mathcal{ANY}-at-pre-strict [simp]: (invalid).any@pre = invalid
\langle proof \rangle
lemma dot_{Person} \mathcal{BOSS}-nullstrict [simp]: (null).boss = invalid
\langle proof \rangle
lemma dot_{Person} \mathcal{BOSS}-at-pre-nullstrict [simp] : (null).boss@pre = invalid
\langle proof \rangle
\mathbf{lemma}\ dot_{Person}\mathcal{BOSS}\text{-strict}\ [simp]: (invalid).boss = invalid
lemma dot_{Person} BOSS-at-pre-strict [simp] : (invalid).boss@pre = invalid
\langle proof \rangle
lemma dot_{Person} SALARY-nullstrict [simp]: (null).salary = invalid
lemma dot_{Person} SALARY-at-pre-nullstrict [simp] : (null).salary@pre = invalid
\langle proof \rangle
lemma dot_{Person} SALARY-strict [simp]: (invalid).salary = invalid
\langle proof \rangle
lemma dot_{Person} SALARY-at-pre-strict [simp]: (invalid).salary@pre = invalid
\langle proof \rangle
```

8.9. A Little Infra-structure on Example States

The example we are defining in this section comes from the figure 8.2. **definition** OclInt1000 (1000) where $OclInt1000 = (\lambda - . || 1000 ||)$

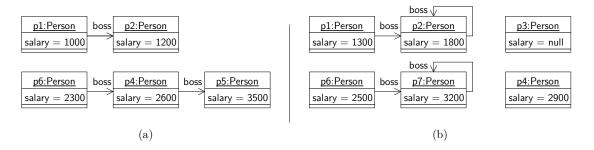


Figure 8.2.: (a) pre-state σ_1 and (b) post-state σ'_1 .

```
definition OclInt1200 (1200) where OclInt1200 = (\lambda - . \lfloor \lfloor 1200 \rfloor \rfloor)
definition OclInt1300 (1300) where OclInt1300 = (\lambda - . || 1300 ||)
definition OclInt1800 (1800) where OclInt1800 = (\lambda - . | | 1800 | |)
definition OclInt2600 (2600) where OclInt2600 = (\lambda - . | | 2600 | |)
definition OclInt2900 (2900) where OclInt2900 = (\lambda - . | | 2900 | |)
definition OclInt3200 (3200) where OclInt3200 = (\lambda - . || 3200 ||)
definition OclInt3500 (3500) where OclInt3500 = (\lambda - . | | 3500 | |)
definition oid\theta \equiv \theta
definition oid1 \equiv 1
definition oid2 \equiv 2
definition oid3 \equiv 3
definition oid4 \equiv 4
definition oid5 \equiv 5
definition oid6 \equiv 6
definition oid 7 \equiv 7
definition oid8 \equiv 8
definition person1 \equiv mk_{Person} \ oid0 \ \lfloor 1300 \rfloor \ \lfloor oid1 \rfloor
definition person2 \equiv mk_{Person} \ oid1 \ \lfloor 1800 \rfloor \ \lfloor oid1 \rfloor
definition person3 \equiv mk_{Person} oid2 None None
definition person4 \equiv mk_{Person} oid3 | 2900 | None
definition person5 \equiv mk_{Person} \ oid4 \ \lfloor 3500 \rfloor \ None
definition person6 \equiv mk_{Person} \ oid5 \ |\ 2500 \ |\ |\ oid6 \ |
definition person7 \equiv mk_{OclAny} \ oid6 \ |(|3200|, |oid6|)|
definition person8 \equiv mk_{OclAny} oid7 None
definition person9 \equiv mk_{Person} \ oid8 \ | \theta | \ None
definition
     \sigma_1 \equiv ( | heap = empty(oid0 \mapsto in_{Person} (mk_{Person} oid0 \lfloor 1000 \rfloor \lfloor oid1 \rfloor) )
                            (oid1 \mapsto in_{Person} \ (mk_{Person} \ oid1 \ \lfloor 1200 \rfloor \ None))
                           (*oid2*)
                            (oid3 \mapsto in_{Person} \ (mk_{Person} \ oid3 \ \lfloor 2600 \rfloor \ \lfloor oid4 \rfloor))
                            (oid4 \mapsto in_{Person} \ person5)
                            (oid5 \mapsto in_{Person} \ (mk_{Person} \ oid5 \ \lfloor 2300 \rfloor \ \lfloor oid3 \rfloor))
                           (*oid6*)
```

```
(*oid7*)
                             (oid8 \mapsto in_{Person} person9),
                assocs = empty
definition
      \sigma_1{'} \equiv (|\textit{heap} = \textit{empty}(\textit{oid0} \, \mapsto \textit{in}_{\textit{Person}} \; \textit{person1})
                             (oid1 \mapsto in_{Person} person2)
                             (oid2 \mapsto in_{Person} person3)
                             (oid3 \mapsto in_{Person} \ person4)
                            (*oid4*)
                             (oid5 \mapsto in_{Person} \ person6)
                             (oid6 \mapsto in_{OclAny} \ person7)
                             (oid7 \mapsto in_{OclAny} \ person8)
                             (oid8 \mapsto in_{Person} \ person9),
                assocs = empty
definition \sigma_0 \equiv (|heap = empty, assocs = empty)
lemma basic-\tau-wff: WFF(\sigma_1, \sigma_1')
\langle proof \rangle
lemma [simp, code-unfold]: dom (heap \sigma_1) = {oid0, oid1, (*, oid2*)oid3, oid4, oid5(*, oid6, oid7*), oid8}
\langle proof \rangle
lemma [simp,code-unfold]: dom(heap \sigma_1') = \{oid0,oid1,oid2,oid3,(*,oid4*)oid5,oid6,oid7,oid8\}
\langle proof \rangle
definition X_{Person}1 :: Person \equiv \lambda - \lfloor \lfloor person1 \rfloor \rfloor
definition X_{Person} 2 :: Person \equiv \lambda - \lfloor person 2 \rfloor
definition X_{Person}3 :: Person \equiv \lambda - || person3 ||
definition X_{Person} \not 4 :: Person \equiv \lambda - \lfloor person \not 4 \rfloor \rfloor
definition X_{Person}5 :: Person \equiv \lambda - \lfloor person5 \rfloor \rfloor
definition X_{Person}6 :: Person \equiv \lambda - \lfloor \lfloor person6 \rfloor \rfloor
definition X_{Person}? :: OclAny \equiv \lambda - .|| person? ||
definition X_{Person}8 :: OclAny \equiv \lambda - . | | person8 | |
definition X_{Person}9 :: Person \equiv \lambda - || person9 ||
lemma [code-unfold]: ((x::Person) \doteq y) = StrictRefEq_{Object} \ x \ y \ \langle proof \rangle
lemma [code-unfold]: ((x::OclAny) \doteq y) = StrictRefEq_{Object} \ x \ y \ \langle proof \rangle
lemmas [simp, code-unfold] =
 OclAsType_{OclAny}-OclAny
 OclAsType_{OclAny}-Person
 OclAsType_{Person}-OclAny
 Ocl As Type_{Person} \hbox{-} Person
 OclIsTypeOf_{OclAny}-OclAny
 OclIsTypeOf_{OclAny}-Person
```

```
OclIsTypeOf_{Person}-OclAny
 OclIsTypeOf_{Person}-Person
 OclIsKindOf OclAny - OclAny
 OclIsKindOf_{OclAny}-Person
 OclIsKindOf_{Person}-OclAny
 Ocl Is Kind Of_{Person}\text{-}Person
                                                    (X_{Person}1.salary
Assert \bigwedge s_{pre}
                                                                                 <> 1000)
                            (s_{pre},\sigma_1') \models
                            (s_{pre},\sigma_1') \models
Assert \bigwedge s_{pre}
                                                    (X_{Person}1.salary)
                                                                                 \doteq 1300)
                                                    (X_{Person}1.salary@pre
                                                                                         \doteq 1000)
Assert \wedge
               s_{post}.
                            (\sigma_1, s_{post}) \models
Assert \wedge
                 s_{post}.
                            (\sigma_1, s_{post})
                                                    (X_{Person}1.salary@pre
                                                                                         <> 1300)
Assert \bigwedge s_{pre}
                            (s_{pre},\sigma_1') \models
                                                    (X_{Person}1 .boss <> X_{Person}1)
                            (s_{pre},\sigma_1') \models
Assert \bigwedge s_{pre}
                                                    (X_{Person}1 .boss .salary \doteq 1800)
                            (s_{pre},\sigma_1') \models
                                                    (X_{Person}1 .boss .boss <> X_{Person}1)
Assert \bigwedge s_{pre}
Assert \bigwedge s_{pre}
                           (s_{pre},\sigma_1') \models
                                                    (X_{Person}1.boss.boss \doteq X_{Person}2)
                                                (X_{Person}1 .boss@pre .salary \doteq 1800)
                           (\sigma_1,\sigma_1') \models
Assert
Assert ∧
                                                    (X_{Person}1 .boss@pre .salary@pre \doteq 1200)
                            (\sigma_1, s_{post}) \models
                  s_{post}.
                            (\sigma_1, s_{post}) \models
                                                    (X_{Person}1 .boss@pre .salary@pre <> 1800)
Assert \wedge
                 s_{post}.
                                                    (X_{Person}1 .boss@pre \doteq X_{Person}2)
Assert \wedge
                            (\sigma_1, s_{post}) \models
                  s_{post}.
Assert
                           (\sigma_1,\sigma_1') \models
                                                (X_{Person}1 .boss@pre .boss \doteq X_{Person}2)
                                                    (X_{Person}1 .boss@pre .boss@pre \doteq null)
Assert ∧
                            (\sigma_1, s_{post}) \models
                  s_{post}.
Assert ∧
                           (\sigma_1, s_{post}) \models not(v(X_{Person}1 .boss@pre .boss@pre .boss@pre))
                  s_{post}.
lemma
                           (\sigma_1,\sigma_1') \models
                                                (X_{Person}1 . oclIsMaintained())
\langle proof \rangle
lemma \bigwedge s_{pre} \ s_{post}. (s_{pre}, s_{post}) \models
                                                       ((X_{Person}1 . oclAsType(OclAny) . oclAsType(Person))
\doteq X_{Person}1)
\langle proof \rangle
Assert \bigwedge s_{pre} \ s_{post}.
                              (s_{pre}, s_{post}) \models
                                                       (X_{Person}1 . oclIsTypeOf(Person))
Assert \bigwedge s_{pre} \ s_{post}.
                              (s_{pre}, s_{post}) \models not(X_{Person}1 .oclIsTypeOf(OclAny))
Assert \bigwedge s_{pre} \ s_{post}.
                                                       (X_{Person}1 .oclIsKindOf(Person))
                              (s_{pre}, s_{post}) \models
                              (s_{pre}, s_{post}) \models
                                                       (X_{Person}1 .oclIsKindOf(OclAny))
Assert \bigwedge s_{pre} \ s_{post}.
                                              (s_{pre}, s_{post}) \models
                                                                             not(X_{Person}1 \quad .oclAsType(OclAny)
            \bigwedge s_{pre} \quad s_{post}.
.oclIsTypeOf(OclAny))
                                                    (X_{Person}2.salary)
                                                                                    \doteq 1800)
Assert \bigwedge s_{pre}
                        (s_{pre},\sigma_1') \models
Assert \wedge
                                                    (X_{Person} 2 . salary@pre \doteq 1200)
               s_{post}.
                            (\sigma_1, s_{post}) \models
                                                                             \doteq X_{Person}2)
Assert \bigwedge s_{pre}
                            (s_{pre},\sigma_1') \models
                                                    (X_{Person}2.boss
                           (\sigma_1, \sigma_1') \models
Assert
                                                (X_{Person}2.boss.salary@pre
                                                                                              \doteq 1200)
Assert
                           (\sigma_1,\sigma_1') \models
                                                (X_{Person}2.boss.boss@pre
                                                                                           \doteq null)
Assert \( \)
                            (\sigma_1, s_{post}) \models
                                                    (X_{Person}2.boss@pre \doteq null)
                  s_{post}.
                                                    (X_{Person}2.boss@pre <> X_{Person}2)
Assert ∧
                            (\sigma_1, s_{post}) \models
                  s_{post}.
                                                (X_{Person}2.boss@pre <> (X_{Person}2.boss))
Assert
                           (\sigma_1,\sigma_1') \models
                           (\sigma_1, s_{post}) \models not(v(X_{Person} 2 .boss@pre .boss))
Assert ∧
                 s_{post}.
                           (\sigma_1, s_{post}) \models not(v(X_{Person}2 .boss@pre .salary@pre))
Assert ∧
```

```
(\sigma_1, \sigma_1') \models
                                             (X_{Person} 2 . oclls Maintained())
lemma
\langle proof \rangle
Assert \bigwedge s_{pre}
                    (s_{pre},\sigma_1') \models
                                                 (X_{Person} 3 . salary)
                                                                                 \doteq null
Assert \bigwedge s_{post}. (\sigma_1, s_{post}) \models not(v(X_{Person}3 .salary@pre))
Assert \bigwedge s_{pre} . (s_{pre}, \sigma_1') \models (X_{Person} 3 .boss)
                    (s_{pre}, \sigma_1') \models not(v(X_{Person}\beta .boss .salary))
Assert \bigwedge s_{pre}
Assert \bigwedge s_{post}. (\sigma_1, s_{post}) \models not(v(X_{Person}3.boss@pre))
                          (\sigma_1, \sigma_1') \models (X_{Person} \mathcal{J} .oclIsNew())
lemma
\langle proof \rangle
                                                  (X_{Person} 4 .boss@pre \doteq X_{Person} 5)
Assert \wedge
                 s_{post}. (\sigma_1, s_{post}) \models
Assert
                          (\sigma_1, \sigma_1') \models not(v(X_{Person} 4 .boss@pre .salary))
Assert ∧
                                                  (X_{Person} 4 .boss@pre .salary@pre \doteq 3500)
                 s_{post}. (\sigma_1, s_{post}) \models
lemma
                          (\sigma_1,\sigma_1') \models
                                              (X_{Person} 4 .oclIsMaintained())
\langle proof \rangle
Assert \bigwedge s_{pre} . (s_{pre}, \sigma_1') \models not(v(X_{Person}5 . salary))
Assert \land s_{post}. (\sigma_1, s_{post}) \models (X_{Person}5 .salary@pre \doteq 3500)
Assert \bigwedge s_{pre} . (s_{pre}, \sigma_1') \models not(v(X_{Person}5 .boss))
lemma
                          (\sigma_1, \sigma_1') \models (X_{Person} 5 . oclls Deleted())
\langle proof \rangle
Assert \bigwedge s_{pre} . (s_{pre}, \sigma_1') \models not(v(X_{Person}6 .boss .salary@pre))
Assert \wedge
                                                (X_{Person} 6 .boss@pre \doteq X_{Person} 4)
                s_{post}. (\sigma_1, s_{post}) \models
Assert
                          (\sigma_1,\sigma_1') \models
                                              (X_{Person}6 .boss@pre .salary \doteq 2900)
                                                  (X_{Person}6 .boss@pre .salary@pre \doteq 2600)
                 s_{post}. (\sigma_1, s_{post}) \models
Assert \wedge
                                                  (X_{Person}6 .boss@pre .boss@pre \doteq X_{Person}5)
Assert \wedge
                 s_{post}. (\sigma_1, s_{post}) \models
lemma
                          (\sigma_1,\sigma_1') \models
                                              (X_{Person} 6 .oclIsMaintained())
\langle proof \rangle
Assert \bigwedge s_{pre} \ s_{post}. (s_{pre}, s_{post}) \models v(X_{Person} \% \ .oclAsType(Person))
Assert \bigwedge s_{post}. (\sigma_1, s_{post}) \models not(v(X_{Person}7 .oclAsType(Person) .boss@pre))
.oclAsType(Person))
                                        \doteq (X_{Person} 7 .oclAsType(Person)))
\langle proof \rangle
                          (\sigma_1, \sigma_1') \models (X_{Person} ? .oclIsNew())
lemma
\langle proof \rangle
```

```
Assert \bigwedge s_{pre} \ s_{post}.
                               (s_{pre}, s_{post}) \models
                                                         (X_{Person}8 \iff X_{Person}7)
                               (s_{pre}, s_{post}) \models \mathit{not}(\upsilon(X_{Person}8 \ .oclAsType(Person)))
Assert \bigwedge s_{pre} \ s_{post}.
Assert \bigwedge s_{pre} \ s_{post}.
                               (s_{pre}, s_{post}) \models
                                                         (X_{Person}8 . oclIsTypeOf(OclAny))
                                                      not(X_{Person}8 .oclIsTypeOf(Person))
Assert \bigwedge s_{pre} \ s_{post}.
                               (s_{pre}, s_{post}) \models
                                                      not(X_{Person}8 .oclIsKindOf(Person))
Assert \bigwedge s_{pre} \ s_{post}.
                               (s_{pre}, s_{post}) \models
Assert \bigwedge s_{pre} \ s_{post}.
                                                         (X_{Person}8 .oclIsKindOf(OclAny))
                              (s_{pre}, s_{post}) \models
lemma \sigma-modified only: (\sigma_1, \sigma_1') \models (Set\{X_{Person}1 . oclAsType(OclAny)\})
                        , X_{Person} 2 .oclAsType(OclAny)
                      (*, X_{Person} 3 . oclAsType(OclAny)*)
                        , X_{Person4} .oclAsType(OclAny)
                      (*, X_{Person}5 .oclAsType(OclAny)*)
                        , X_{Person} 6 .oclAsType(OclAny)
                      (*, X_{Person} 7 .oclAsType(OclAny)*)
                      (*, X_{Person}8 .oclAsType(OclAny)*)
                      (*, X_{Person}9 . oclAsType(OclAny)*)}->oclIsModifiedOnly())
\langle proof \rangle
lemma (\sigma_1, \sigma_1') \models ((X_{Person} 9 \oplus pre (\lambda x. \mid OclAsType_{Person} - \mathcal{X} x \mid)) \triangleq X_{Person} = 0
\langle proof \rangle
lemma (\sigma_1, \sigma_1') \models ((X_{Person} \theta @ post (\lambda x. | OclAsType_{Person} - \mathfrak{A} x|)) \triangleq X_{Person} \theta)
\langle proof \rangle
\mathbf{lemma} \ (\sigma_1, \sigma_1') \models (((X_{Person} 9 \ .oclAsType(OclAny)) \ @pre \ (\lambda x. \mid OclAsType_{OclAny} \cdot \mathfrak{A} \ x|)) \triangleq
                     ((X_{Person}9 .oclAsType(OclAny)) @post (\lambda x. | OclAsType_{OclAny} - \mathfrak{A} x|)))
\langle proof \rangle
lemma perm - \sigma_1' : \sigma_1' = (|heap = empty)
                              (oid8 \mapsto in_{Person} \ person9)
                              (oid7 \mapsto in_{OclAny} \ person8)
                              (oid6 \mapsto in_{OclAny} \ person7)
                              (oid5 \mapsto in_{Person} \ person6)
                             (*oid4*)
                              (oid3 \mapsto in_{Person} person4)
                              (oid2 \mapsto in_{Person} person3)
                              (oid1 \mapsto in_{Person} \ person2)
                              (oid0 \mapsto in_{Person} \ person1)
                         , assocs = assocs \sigma_1'
\langle proof \rangle
declare const-ss [simp]
lemma \wedge \sigma_1.
 (\sigma_1, \sigma_1') \models (Person \ .allInstances() \doteq Set\{ X_{Person}1, X_{Person}2, X_{Person}3, X_{Person}4(*,
X_{Person}5*), X_{Person}6,
                                              X_{Person}7 .oclAsType(Person)(*, X_{Person}8*), X_{Person}9 })
```

```
\begin{array}{l} |\operatorname{lemma} \wedge \sigma_1. \\ (\sigma_1, \sigma_1') \models (\operatorname{OclAny} \ .allInstances() \doteq \operatorname{Set}\{ \ X_{\operatorname{Person}}1 \ .oclAsType(\operatorname{OclAny}), \ X_{\operatorname{Person}}2 \ .oclAsType(\operatorname{OclAny}), \ X_{\operatorname{Person}}3 \ .oclAsType(\operatorname{OclAny}), \ X_{\operatorname{Person}}4 \ .oclAsType(\operatorname{OclAny}) \\ (*, \ X_{\operatorname{Person}}5*), \ X_{\operatorname{Person}}6 \ .oclAsType(\operatorname{OclAny}), \ X_{\operatorname{Person}}7, \ X_{\operatorname{Person}}8, \ X_{\operatorname{Person}}9 \ .oclAsType(\operatorname{OclAny}) \ \}) \\ \langle \operatorname{proof} \rangle \\ \text{end} \\ \\ \text{theory} \\ \operatorname{Design-OCL} \\ \text{imports} \\ \operatorname{Design-UML} \\ \text{begin} \end{array}
```

8.10. OCL Part: Standard State Infrastructure

Ideally, these definitions are automatically generated from the class model.

8.11. Invariant

These recursive predicates can be defined conservatively by greatest fix-point constructions—automatically. See [4, 5] for details. For the purpose of this example, we state them as axioms here.

```
Person-label_{qlobalinv} \equiv (Person . allInstances() -> forAll(x \mid Person-label_{inv}(x))  and
where
                                (Person .allInstances@pre() -> forAll(x \mid Person-label_{invATpre}(x))))
lemma \tau \models \delta (X .boss) \Longrightarrow \tau \models Person .allInstances()->includes(X .boss) \land
                             \tau \models Person .allInstances() -> includes(X)
\langle proof \rangle
lemma REC-pre : \tau \models Person-label<sub>globalinv</sub>
       \Rightarrow \tau \models Person \ .allInstances()->includes(X) \ (* X \ represented \ object \ in \ state \ *)
      \implies \exists REC. \ \tau \models REC(X) \triangleq (Person-label_{inv}(X) \ and \ (X \ .boss <> null implies REC(X))
.boss)))
\langle proof \rangle
   This allows to state a predicate:
axiomatization inv_{Person-label} :: Person \Rightarrow Boolean
where inv_{Person-label}-def:
(\tau \models Person \ .allInstances() -> includes(self)) \Longrightarrow
(\tau \models (inv_{Person-label}(self) \triangleq (self .boss <> null implies)
                                   (self . salary \leq_{int} ((self . boss) . salary)) and
                                    inv_{Person-label}(self.boss))))
axiomatization inv_{Person-labelATpre} :: Person \Rightarrow Boolean
where inv_{Person-labelATpre}-def:
(\tau \models Person .allInstances@pre() -> includes(self)) \Longrightarrow
(\tau \models (inv_{Person-labelATpre}(self) \triangleq (self .boss@pre <> null implies)
                                    (self . salary@pre \leq_{int} ((self . boss@pre) . salary@pre)) and
                                     inv_{Person-labelATpre}(self.boss@pre))))
lemma inv-1:
(\tau \models Person .allInstances() -> includes(self)) \Longrightarrow
    (\tau \models inv_{Person-label}(self) = ((\tau \models (self .boss \doteq null)) \lor
                                (\tau \models (self .boss <> null) \land
                                  \tau \models ((self . salary) \leq_{int} (self . boss . salary)) \land
                                  \tau \models (inv_{Person-label}(self .boss))))
\langle proof \rangle
lemma inv-2:
(\tau \models Person .allInstances@pre()->includes(self)) \Longrightarrow
   (\tau \models inv_{Person-labelATpre}(self)) = ((\tau \models (self .boss@pre \doteq null)) \lor
                                      (\tau \models (self .boss@pre <> null) \land
                                      (\tau \models (self .boss@pre .salary@pre \leq_{int} self .salary@pre)) \land
                                      (\tau \models (inv_{Person-labelATpre}(self.boss@pre)))))
\langle proof \rangle
```

A very first attempt to characterize the axiomatization by an inductive definition -

this can not be the last word since too weak (should be equality!)

```
coinductive inv :: Person \Rightarrow (\mathfrak{A})st \Rightarrow bool \text{ where}
(\tau \models (\delta \ self)) \Longrightarrow ((\tau \models (self \ .boss \doteq null)) \lor \\ (\tau \models (self \ .boss <> null) \land (\tau \models (self \ .boss \ .salary \leq_{int} self \ .salary)) \land \\ (\ (inv(self \ .boss))\tau \ ))) \\ \Longrightarrow (\ inv \ self \ \tau)
```

8.12. The Contract of a Recursive Query

This part is analogous to the Analysis Model and skipped here. end

Part III.

Conclusion

9. Conclusion

9.1. Lessons Learned and Contributions

We provided a typed and type-safe shallow embedding of the core of UML [27, 28] and OCL [29]. Shallow embedding means that types of OCL were injectively, i.e., mapped by the embedding one-to-one to types in Isabelle/HOL [25]. We followed the usual methodology to build up the theory uniquely by conservative extensions of all operators in a denotational style and to derive logical and algebraic (execution) rules from them; thus, we can guarantee the logical consistency of the library and instances of the class model construction, i.e., closed-world object-oriented datatype theories, as long as it follows the described methodology. Moreover, all derived execution rules are by construction type-safe (which would be an issue, if we had chosen to use an object universe construction in Zermelo-Fraenkel set theory as an alternative approach to subtyping.). In more detail, our theory gives answers and concrete solutions to a number of open major issues for the UML/OCL standardization:

- 1. the role of the two exception elements invalid and null, the former usually assuming strict evaluation while the latter ruled by non-strict evaluation.
- 2. the functioning of the resulting four-valued logic, together with safe rules (for example foundation9 foundation12 in Section 5.1.5) that allow a reduction to two-valued reasoning as required for many automated provers. The resulting logic still enjoys the rules of a strong Kleene Logic in the spirit of the Amsterdam Manifesto [17].
- 3. the complicated life resulting from the two necessary equalities: the standard's "strict weak referential equality" as default (written _ = _ throughout this document) and the strong equality (written _ = _), which follows the logical Leibniz principle that "equals can be replaced by equals." Which is not necessarily the case if invalid or objects of different states are involved.
- 4. a type-safe representation of objects and a clarification of the old idea of a one-toone correspondence between object representations and object-id's, which became a state invariant.
- 5. a simple concept of state-framing via the novel operator _->oclIsModifiedOnly() and its consequences for strong and weak equality.

¹Our two examples of Employee_AnalysisModel and Employee_DesignModel (see Chapter 7 and Figure II as well as Chapter 8 and Figure II) sketch how this construction can be captured by an automated process.

- 6. a semantic view on subtyping clarifying the role of static and dynamic type (aka apparent and actual type in Java terminology), and its consequences for casts, dynamic type-tests, and static types.
- 7. a semantic view on path expressions, that clarify the role of invalid and null as well as the tricky issues related to de-referentiation in pre- and post state.
- 8. an optional extension of the OCL semantics by *infinite* sets that provide means to represent "the set of potential objects or values" to state properties over them (this will be an important feature if OCL is intended to become a full-blown code annotation language in the spirit of JML [23] for semi-automated code verification, and has been considered desirable in the Aachen Meeting [13]).

Moreover, we managed to make our theory in large parts executable, which allowed us to include mechanically checked value-statements that capture numerous corner-cases relevant for OCL implementors. Among many minor issues, we thus pin-pointed the behavior of null in collections as well as in casts and the desired <code>isKindOf-semantics</code> of allInstances().

9.2. Lessons Learned

While our paper and pencil arguments, given in [11], turned out to be essentially correct, there had also been a lesson to be learned: If the logic is not defined as a Kleene-Logic, having a structure similar to a complete partial order (CPO), reasoning becomes complicated: several important algebraic laws break down which makes reasoning in OCL inherent messy and a semantically clean compilation of OCL formulae to a two-valued presentation, that is amenable to animators like KodKod [32] or SMT-solvers like Z3 [18] completely impractical. Concretely, if the expression not(null) is defined invalid (as is the case in the present standard [29]), than standard involution does not hold, i.e., not(not(A)) = A does not hold universally. Similarly, if null and null is invalid, then not even idempotence X and X = X holds. We strongly argue in favor of a lattice-like organization, where null represents "more information" than invalid and the logical operators are monotone with respect to this semantical "information ordering."

A similar experience with prior paper and pencil arguments was our investigation of the object-oriented data-models, in particular path-expressions [14]. The final presentation is again essentially correct, but the technical details concerning exception handling lead finally to a continuation-passing style of the (in future generated) definitions for accessors, casts and tests. Apparently, OCL semantics (as many other "real" programming and specification languages) is meanwhile too complex to be treated by informal arguments solely.

Featherweight OCL makes several minor deviations from the standard and showed how the previous constructions can be made correct and consistent, and the DNFnormalization as well as δ -closure laws (necessary for a transition into a two-valued presentation of OCL specifications ready for interpretation in SMT solvers (see [12] for details)) are valid in Featherweight OCL.

9.3. Conclusion and Future Work

Featherweight OCL concentrates on formalizing the semantics of a core subset of OCL in general and in particular on formalizing the consequences of a four-valued logic (i. e., OCL versions that support, besides the truth values true and false also the two exception values invalid and null).

In the following, we outline the necessary steps for turning Featherweight OCL into a fully fledged tool for OCL, e.g., similar to HOL-OCL as well as for supporting test case generation similar to HOL-TestGen [8]. There are essentially five extensions necessary:

- extension of the library to support all OCL data types, e.g., OrderedSet(T) or Sequence(T). This formalization of the OCL standard library can be used for checking the consistency of the formal semantics (known as "Annex A") with the informal and semi-formal requirements in the normative part of the OCL standard.
- development of a compiler that compiles a textual or CASE tool representation (e.g., using XMI or the textual syntax of the USE tool [31]) of class models. Such compiler could also generate the necessary casts when converting standard OCL to Featherweight OCL as well as providing "normalizations" such as converting multiplicities of class attributes to into OCL class invariants.
- a setup for translating Featherweight OCL into a two-valued representation as described in [12]. As, in real-world scenarios, large parts of UML/OCL specifications are defined (e.g., from the default multiplicity 1 of an attributes x, we can directly infer that for all valid states x is neither invalid nor null), such a translation enables an efficient test case generation approach.
- a setup in Featherweight OCL of the Nitpick animator [3]. It remains to be shown that the standard, Kodkod [32] based animator in Isabelle can give a similar quality of animation as the OCLexec Tool [22]
- a code-generator setup for Featherweight OCL for Isabelle's code generator. For example, the Isabelle code generator supports the generation of F#, which would allow to use OCL specifications for testing arbitrary .net-based applications.

The first two extensions are sufficient to provide a formal proof environment for OCL 2.5 similar to HOL-OCL while the remaining extensions are geared towards increasing the degree of proof automation and usability as well as providing a tool-supported test methodology for UML/OCL.

Our work shows that developing a machine-checked formal semantics of recent OCL standards still reveals significant inconsistencies—even though this type of research is not new. In fact, we started our work already with the 1.x series of OCL. The reasons for this ongoing consistency problems of OCL standard are manifold. For example, the

consequences of adding an additional exception value to OCL 2.2 are widespread across the whole language and many of them are also quite subtle. Here, a machine-checked formal semantics is of great value, as one is forced to formalize all details and subtleties. Moreover, the standardization process of the OMG, in which standards (e.g., the UML infrastructure and the OCL standard) that need to be aligned closely are developed quite independently, are prone to ad-hoc changes that attempt to align these standards. And, even worse, updating a standard document by voting on the acceptance (or rejection) of isolated text changes does not help either. Here, a tool for the editor of the standard that helps to check the consistency of the whole standard after each and every modifications can be of great value as well.

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