Essential OCL - A Study for a Consistent Semantics of UML/OCL 2.2 in HOL.

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1 OCL Core Definitions

theory
OCL-core
imports
Main
begin

2 Foundational Notations

2.1 Notations for the option type

First of all, we will use a more compact notation for the library option type which occur all over in our definitions and which will make the presentation more "textbook"-like:

```
notation Some (\lfloor (-) \rfloor) notation None (\perp)
```

```
fun drop :: '\alpha \ option \Rightarrow '\alpha \ (\lceil (-) \rceil)
where drop-lift[simp]: \lceil |v| \rceil = v
```

2.2 State, State Transitions, Well-formed States

Next we will introduce the foundational concept of an object id (oid), which is just some infinite set.

```
\mathbf{type}	ext{-}\mathbf{synonym}\ oid = ind
```

States are just a partial map from oid's to elements of an object universe 'A, and state transitions pairs of states...

```
type-synonym ('\mathfrak{A}) state = oid \rightarrow '\mathfrak{A}
type-synonym ('\mathfrak{A}) state \times '\mathfrak{A} state
```

In certain contexts, we will require that the elements of the object universe have a particular structure; more precisely, we will require that there is a function that reconstructs the oid of an object in the state (we will settle the question how to define this function later).

```
class object = fixes oid\text{-}of :: 'a \Rightarrow oid
```

Thus, if needed, we can constrain the object universe to objects by adding the following type class constraint:

```
typ 'A :: object
```

2.3 Prerequisite: An Abstract Interface for OCL Types

In order to have the possibility to nest collection types, such that we can give semantics to expressions like $Set\{Set\{2\},null\}$, it is necessary to introduce a uniform interface for types having the invalid (= bottom) element. The reason is that we impose a data-invariant on raw-collection types_code which assures that the invalid element is not allowed inside the collection; all raw-collections of this form were identified with the invalid element itself. The construction requires that the new collection type is un-comparable with the raw-types (consisting of nested option type constructions), such that the data-invariant mussed be expressed in terms of the interface. In a second step, our base-types will be shown to be instances of this interface.

This uniform interface consists in a type class requiring the existence of a bot and a null element. The construction proceeds by abstracting the null (which is defined by $\lfloor \perp \rfloor$ on 'a option option to a null - element, which may have an abritrary semantic structure, and an undefinedness element \perp to an abstract undefinedness element bot (also written \perp whenever no confusion arises). As a consequence, it is necessary to redefine the notions of invalid, defined, valuation etc. on top of this interface.

This interface consists in two abstract type classes *bot* and *null* for the class of all types comprising a bot and a distinct null element.

```
instance option :: (plus) plus \langle proof \rangle instance fun :: (type, plus) plus \langle proof \rangle class bot = fixes bot :: 'a
```

```
assumes nonEmpty : \exists x. x \neq bot
```

```
 \begin{aligned} \mathbf{class} & null = bot + \\ \mathbf{fixes} & null :: 'a \\ \mathbf{assumes} & null \text{-} is\text{-} valid : null} \neq bot \end{aligned}
```

2.4 Accomodation of Basic Types to the Abstract Interface

In the following it is shown that the option-option type type is in fact in the *null* class and that function spaces over these classes again "live" in these classes. This motivates the default construction of the semantic domain for the basic types (Boolean, Integer, Reals, ...).

```
instantiation option :: (type)bot
begin
   definition bot-option-def: (bot::'a\ option) \equiv (None::'a\ option)
  instance \langle proof \rangle
end
instantiation option :: (bot)null
   definition null-option-def: (null::'a::bot\ option) \equiv |bot|
  instance \langle proof \rangle
end
instantiation fun :: (type, bot) bot
begin
  definition bot-fun-def: bot \equiv (\lambda \ x. \ bot)
  instance \langle proof \rangle
end
instantiation fun :: (type, null) null
 definition null-fun-def: (null::'a \Rightarrow 'b::null) \equiv (\lambda \ x. \ null)
instance \langle proof \rangle
end
```

A trivial consequence of this adaption of the interface is that abstract and concrete versions of null are the same on base types (as could be expected).

2.5 The Semantic Space of OCL Types: Valuations.

Valuations are now functions from a state pair (built upon data universe \mathfrak{A}) to an arbitrary null-type (i.e. containing at least a destinguished *null* and *invalid* element.

```
type-synonym ({}'\mathfrak{A}, {}'\alpha) val = {}'\mathfrak{A} st \Rightarrow {}'\alpha
```

All OCL expressions denote functions that map the underlying

```
type-synonym ('\mathfrak{A},'\alpha) val' = '\mathfrak{A} st \Rightarrow '\alpha option option
```

As a consequence of semantic domain definition, any OCL type will have the two semantic constants *invalid* (for exceptional, aborted computation) and *null*; the latter, however is either defined

```
definition invalid :: (\mathfrak{A}, '\alpha :: bot) val where invalid \equiv \lambda \tau. bot
```

The definition:

```
definition null :: "('\<AA>,'\<alpha>::null) val"
where "null \<equiv> \<lambda> \<tau>. null"
```

is not necessary since we defined the entire function space over null types again as null-types; the crucial definition is $null \equiv \lambda x$. null.

2.6 Further requirements on States

A key-concept for linking strict referential equality to logical equality: in well-formed states (i.e. those states where the self (oid-of) field contains the pointer to which the object is associated to in the state), referential equality coincides with logical equality.

```
definition WFF :: ('\mathbb{A}::object)st \Rightarrow bool where WFF \tau = ((\forall x \in dom(fst \tau). x = oid-of(the(fst \tau x))) \lambda (\forall x \in dom(snd \tau). x = oid-of(the(snd \tau x))))
```

This is a generic definition of referential equality: Equality on objects in a state is reduced to equality on the references to these objects. As in HOL-OCL, we will store the reference of an object inside the object in a (ghost) field. By establishing certain invariants ("consistent state"), it can be assured that there is a "one-to-one-correspondance" of objects to their references — and therefore the definition below behaves as we expect.

Generic Referential Equality enjoys the usual properties: (quasi) reflexivity, symmetry, transitivity, substitutivity for defined values. For type-technical reasons, for each concrete object type, the equality \doteq is defined by generic referential equality.

3 The OCL Base Type Boolean.

4 Boolean Type and Logic

The semantic domain of the (basic) boolean type is now defined as standard: the space of valuation to *bool option option*:

```
type-synonym (\mathfrak{A})Boolean = (\mathfrak{A},bool option option) val
```

4.1 Basic Constants

```
lemma bot-Boolean-def : (bot::(\mathfrak{A})Boolean) = (\lambda \tau. \bot)
\langle proof \rangle
lemma null-Boolean-def : (null::(\mathfrak{A})Boolean) = (\lambda \tau. |\bot|)
\langle proof \rangle
definition true :: ('\mathfrak{A})Boolean
               true \equiv \lambda \tau. || True ||
where
definition false :: ('\mathfrak{A})Boolean
where
               false \equiv \lambda \tau. ||False||
lemma bool-split: X \tau = invalid \tau \lor X \tau = null \tau \lor
                     X \tau = true \tau \quad \lor X \tau = false \tau
\langle proof \rangle
lemma [simp]: false(a, b) = ||False||
\langle proof \rangle
lemma [simp]: true(a, b) = ||True||
\langle proof \rangle
```

4.2 Fundamental Predicates I: Validity and Definedness

However, this has also the consequence that core concepts like definedness, validness and even cp have to be redefined on this type class:

```
definition valid :: ('\mathbb{A},'a::null)val \Rightarrow ('\mathbb{A})Boolean (v - [100]100) where v \ X \equiv \lambda \ \tau . if X \ \tau = bot \ \tau then false \tau else true \tau lemma valid1[simp]: v invalid = false \langle proof \rangle lemma valid2[simp]: v null = true \langle proof \rangle lemma valid3[simp]: v true = true \langle proof \rangle
```

```
lemma valid_{4}[simp]: v false = true
  \langle proof \rangle
lemma cp-valid: (v \ X) \ \tau = (v \ (\lambda - X \ \tau)) \ \tau
\langle proof \rangle
definition defined :: ('\mathfrak{A},'a::null)val \Rightarrow ('\mathfrak{A})Boolean (\delta - [100]100)
where \delta X \equiv \lambda \tau if X \tau = bot \tau \lor X \tau = null \tau then false \tau else true \tau
The generalized definitions of invalid and definedness have the same prop-
erties as the old ones:
lemma defined1 [simp]: \delta invalid = false
  \langle proof \rangle
lemma defined2[simp]: \delta null = false
lemma defined3[simp]: \delta true = true
  \langle proof \rangle
lemma defined4[simp]: \delta false = true
  \langle proof \rangle
lemma defined5[simp]: \delta \delta X = true
  \langle proof \rangle
lemma defined6[simp]: \delta v X = true
  \langle proof \rangle
lemma defined7[simp]: \delta \delta X = true
  \langle proof \rangle
lemma valid6[simp]: v \delta X = true
  \langle proof \rangle
lemma cp\text{-}defined:(\delta\ X)\tau=(\delta\ (\lambda\ \text{-.}\ X\ \tau))\ \tau
\langle proof \rangle
```

4.3 Fundamental Predicates II: Logical (Strong) Equality

Note that we define strong equality extremely generic, even for types that contain an null or \bot element:

```
definition StrongEq::['\mathfrak{A} \ st \Rightarrow '\alpha,'\mathfrak{A} \ st \Rightarrow '\alpha] \Rightarrow ('\mathfrak{A})Boolean \ (infixl \triangleq 30) where X \triangleq Y \equiv \lambda \tau. ||X \tau = Y \tau||
```

Equality reasoning in OCL is not humpty dumpty. While strong equality is clearly an equivalence:

```
\begin{array}{l} \textbf{lemma} \ StrongEq\text{-}refl \ [simp] \colon (X \triangleq X) = true \\ \langle proof \rangle \\ \\ \textbf{lemma} \ StrongEq\text{-}sym \ [simp] \colon (X \triangleq Y) = (Y \triangleq X) \\ \langle proof \rangle \\ \\ \textbf{lemma} \ StrongEq\text{-}trans\text{-}strong \ [simp] \colon \\ \\ \textbf{assumes} \ A \colon (X \triangleq Y) = true \\ \\ \textbf{and} \quad B \colon (Y \triangleq Z) = true \\ \\ \langle proof \rangle \end{array}
```

... it is only in a limited sense a congruence, at least from the point of view of this semantic theory. The point is that it is only a congruence on OCL- expressions, not arbitrary HOL expressions (with which we can mix Essential OCL expressions. A semantic — not syntactic — characterization of OCL-expressions is that they are *context-passing* or *context-invariant*, i.e. the context of an entire OCL expression, i.e. the pre-and poststate it referes to, is passed constantly and unmodified to the sub-expressions, i.e. all sub-expressions inside an OCL expression refer to the same context. Expressed formally, this boils down to:

```
lemma StrongEq\text{-}subst:

assumes cp: \bigwedge X. \ P(X)\tau = P(\lambda -. \ X \ \tau)\tau

and eq: (X \triangleq Y)\tau = true \ \tau

shows (P \ X \triangleq P \ Y)\tau = true \ \tau

\langle proof \rangle
```

4.4 Fundamental Predicates III: (Generic) Referential Strict Equality

Construction by overloading: for each base type, there is an equality.

```
consts StrictRefEq :: [('\mathfrak{A},'a)val, ('\mathfrak{A},'a)val] \Rightarrow ('\mathfrak{A})Boolean (infixl <math>\doteq 30)
```

Generic referential equality - to be used for instantiations with concrete object types ...

```
definition gen\text{-}ref\text{-}eq :: ('\mathfrak{A}, 'a :: \{object, null\})val \Rightarrow ('\mathfrak{A}, 'a)val \Rightarrow ('\mathfrak{A})Boolean where gen\text{-}ref\text{-}eq \ x \ y
```

```
lemma gen-ref-eq-object-strict1[simp]:
(gen-ref-eq\ x\ invalid) = invalid
\langle proof \rangle
\mathbf{lemma} \ \textit{gen-ref-eq-object-strict2} [\textit{simp}] :
(gen-ref-eq\ invalid\ x) = invalid
\langle proof \rangle
lemma gen-ref-eq-object-strict3[simp]:
(gen-ref-eq x null) = invalid
\langle proof \rangle
lemma gen-ref-eq-object-strict \not = [simp]:
(gen-ref-eq\ null\ x) = invalid
\langle proof \rangle
lemma cp-gen-ref-eq-object:
(gen\text{-ref-eq }x\ y\ 	au)=(gen\text{-ref-eq }(\lambda\text{-.}\ x\ 	au)\ (\lambda\text{-.}\ y\ 	au))\ 	au
\langle proof \rangle
And, last but not least,
lemma defined8[simp]: \delta (X \triangleq Y) = true
lemma valid5[simp]: v (X \triangleq Y) = true
lemma cp-StrongEq: (X \triangleq Y) \tau = ((\lambda -. X \tau) \triangleq (\lambda -. Y \tau)) \tau
\langle proof \rangle
```

 $\equiv \lambda \ \tau. \ if \ (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau$ $then \ ||(oid\text{-}of \ (x \ \tau)) = (oid\text{-}of \ (y \ \tau)) \ ||$

else invalid τ

4.5 Logical Connectives and their Universal Properties

It is a design goal to give OCL a semantics that is as closely as possible to a "logical system" in a known sense; a specification logic where the logical connectives can not be understood other that having the truth-table aside when reading fails its purpose in our view.

Practically, this means that we want to give a definition to the core operations to be as close as possible to the lattice laws; this makes also powerful symbolic normalizations of OCL specifications possible as a pre-requisite for automated theorem provers. For example, it is still possible to compute without any definedness- and validity reasoning the DNF of an OCL specification; be it for test-case generations or for a smooth transition to

a two-valued representation of the specification amenable to fast standard SMT-solvers, for example.

Thus, our representation of the OCL is merely a 4-valued Kleene-Logics with *invalid* as least, *null* as middle and *true* resp. *false* as unrelated top-elements.

```
definition not :: ('\mathbb{A})Boolean \Rightarrow ('\mathbb{A})Boolean where not X \equiv \lambda \tau . case X \tau of \bot \Rightarrow \bot  \downarrow [ \bot \bot ] \Rightarrow [ \bot \bot ]  \downarrow [ \bot x \end{bmatrix} \Rightarrow [ \bot \gamma x ] ]
```

Note that not is not defined as a strict function; proximity to lattice laws implies that we need a definition of not that satisfies not(not(x))=x.

```
lemma cp\text{-}not: (not\ X)\tau = (not\ (\lambda\ \text{-.}\ X\ \tau))\ \tau \langle proof \rangle lemma not1[simp]: not\ invalid = invalid \langle proof \rangle
```

lemma not2[simp]: not null = null $\langle proof \rangle$

lemma not3[simp]: $not true = false \langle proof \rangle$

lemma not4[simp]: $not false = true \langle proof \rangle$

```
lemma not-not[simp]: not (not X) = X \langle proof \rangle
```

```
syntax
```

```
notequal :: ('\mathbb{A})Boolean \Rightarrow ('\mathbb{A})Boolean \Rightarrow ('\mathbb{A})Boolean (infix <> 40) translations a <> b == CONST \ not(\ a \doteq b)
```

```
definition oct-and :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean \text{ (infix1 and } 30)
where X \text{ and } Y \equiv (\lambda \tau \cdot case \ X \tau \text{ of}
\bot \Rightarrow (case \ Y \tau \text{ of}
\bot \downarrow \bot \downarrow
| \bot \bot \downarrow \bot
| \bot True \end{bmatrix} \Rightarrow \bot
| \bot False \end{bmatrix} \Rightarrow | \bot False \end{bmatrix} 
| \bot \bot \Rightarrow \bot
```

```
 \begin{array}{c|c} | \; \lfloor [False] \rfloor \Rightarrow \; \; \lfloor [False] \rfloor ) \\ | \; \lfloor \lfloor True \rfloor \rfloor \Rightarrow (case \; Y \; \tau \; of \\ \qquad \qquad \perp \Rightarrow \; \perp \\ \qquad \qquad | \; \lfloor \bot \rfloor \Rightarrow \lfloor \bot \rfloor \\ \qquad \qquad | \; \lfloor \lfloor y \rfloor \rfloor \Rightarrow \; \lfloor \lfloor y \rfloor \rfloor ) \\ | \; \lfloor \lfloor False \rfloor \rfloor \Rightarrow \; \; \lfloor \; False \; \rfloor \rfloor ) \\ \end{array} 
definition ocl\text{-}or :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean
                                                                                   (infixl or 25)
                  X \text{ or } Y \equiv not(not \ X \text{ and not } Y)
where
definition ocl-implies :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean
                                                                                   (infixl implies 25)
                 X \text{ implies } Y \equiv \text{not } X \text{ or } Y
where
lemma cp-ocl-and:(X \text{ and } Y) \tau = ((\lambda \text{ -. } X \tau) \text{ and } (\lambda \text{ -. } Y \tau)) \tau
\langle proof \rangle
lemma cp-ocl-or:((X::('\mathfrak{A})Boolean) or Y) \tau = ((\lambda - X \tau) \text{ or } (\lambda - Y \tau)) \tau
\langle proof \rangle
lemma cp-ocl-implies:(X \text{ implies } Y) \tau = ((\lambda \text{ -. } X \tau) \text{ implies } (\lambda \text{ -. } Y \tau)) \tau
\langle proof \rangle
lemma ocl-and1[simp]: (invalid and true) = invalid
lemma ocl-and2[simp]: (invalid and false) = false
   \langle proof \rangle
lemma ocl-and3[simp]: (invalid and null) = invalid
   \langle proof \rangle
lemma ocl-and4[simp]: (invalid and invalid) = invalid
   \langle proof \rangle
lemma ocl-and5[simp]: (null\ and\ true) = null
   \langle proof \rangle
lemma ocl-and6[simp]: (null\ and\ false) = false
lemma ocl-and7[simp]: (null\ and\ null) = null
   \langle proof \rangle
lemma ocl-and8[simp]: (null\ and\ invalid) = invalid
   \langle proof \rangle
lemma ocl-and9[simp]: (false\ and\ true) = false
   \langle proof \rangle
lemma ocl-and10[simp]: (false and false) = false
   \langle proof \rangle
lemma ocl-and11[simp]: (false and null) = false
```

```
\langle proof \rangle
lemma ocl-and12[simp]: (false\ and\ invalid) = false
  \langle proof \rangle
lemma ocl-and13[simp]: (true \ and \ true) = true
lemma ocl-and14[simp]: (true\ and\ false) = false
  \langle proof \rangle
lemma ocl-and15[simp]: (true \ and \ null) = null
  \langle proof \rangle
lemma ocl-and16[simp]: (true\ and\ invalid) = invalid
  \langle proof \rangle
lemma ocl-and-idem[simp]: (X and X) = X
  \langle proof \rangle
lemma ocl-and-commute: (X \text{ and } Y) = (Y \text{ and } X)
  \langle proof \rangle
lemma ocl-and-false1 [simp]: (false and X) = false
  \langle proof \rangle
lemma ocl-and-false2[simp]: (X and false) = false
  \langle proof \rangle
lemma ocl-and-true1[simp]: (true and X) = X
  \langle proof \rangle
lemma ocl-and-true2[simp]: (X and true) = X
  \langle proof \rangle
lemma ocl-and-assoc: (X \text{ and } (Y \text{ and } Z)) = (X \text{ and } Y \text{ and } Z)
  \langle proof \rangle
lemma ocl\text{-}or\text{-}idem[simp]: (X \ or \ X) = X
  \langle proof \rangle
lemma ocl-or-commute: (X \text{ or } Y) = (Y \text{ or } X)
  \langle proof \rangle
lemma ocl-or-false1 [simp]: (false or Y) = Y
  \langle proof \rangle
lemma ocl\text{-}or\text{-}false2[simp]: (Y or false) = Y
  \langle proof \rangle
lemma ocl\text{-}or\text{-}true1[simp]: (true \ or \ Y) = true
```

```
\langle proof \rangle \mathbf{lemma} \ ocl\text{-}or\text{-}true2 \colon (Y \ or \ true) = true \langle proof \rangle \mathbf{lemma} \ ocl\text{-}or\text{-}assoc \colon (X \ or \ (Y \ or \ Z)) = (X \ or \ Y \ or \ Z) \langle proof \rangle \mathbf{lemma} \ deMorgan1 \colon not(X \ and \ Y) = ((not \ X) \ or \ (not \ Y)) \langle proof \rangle \mathbf{lemma} \ deMorgan2 \colon not(X \ or \ Y) = ((not \ X) \ and \ (not \ Y))
```

4.6 A Standard Logical Calculus for OCL

Besides the need for algebraic laws for OCL in order to normalize

definition OctValid ::
$$[({}^{\prime}\mathfrak{A})st, ({}^{\prime}\mathfrak{A})Boolean] \Rightarrow bool ((1(-)/\models (-)) 50)$$
 where $\tau \models P \equiv ((P \ \tau) = true \ \tau)$

5 Global vs. Local Judgements

```
lemma transform1: P = true \Longrightarrow \tau \models P
\langle proof \rangle
```

lemma transform2:
$$(P = Q) \Longrightarrow ((\tau \models P) = (\tau \models Q)) \langle proof \rangle$$

lemma transform2-rev:
$$\forall \tau$$
. $(\tau \models \delta P) \land (\tau \models \delta Q) \land (\tau \models P) = (\tau \models Q) \Longrightarrow P = Q \langle proof \rangle$

However, certain properties (like transitivity) can not be *transformed* from the global level to the local one, they have to be re-proven on the local level.

 $\mathbf{lemma}\ \mathit{transform3}\colon$

assumes
$$H: P = true \Longrightarrow Q = true$$

shows $\tau \models P \Longrightarrow \tau \models Q$
 $\langle proof \rangle$

5.0.1 Local Validity and Meta-logic

```
lemma foundation1[simp]: \tau \models true \langle proof \rangle
```

lemma
$$foundation2[simp]: \neg(\tau \models false) \langle proof \rangle$$

```
lemma foundation3[simp]: \neg(\tau \models invalid)
\langle proof \rangle
lemma foundation4[simp]: \neg(\tau \models null)
\langle proof \rangle
\mathbf{lemma}\ bool\text{-}split\text{-}local[simp]:
(\tau \models (x \triangleq invalid)) \lor (\tau \models (x \triangleq null)) \lor (\tau \models (x \triangleq true)) \lor (\tau \models (x \triangleq false))
\langle proof \rangle
\mathbf{lemma}\ def-split-local:
(\tau \models \delta \ x) = ((\neg(\tau \models (x \triangleq invalid))) \land (\neg \ (\tau \models (x \triangleq null))))
\langle proof \rangle
lemma foundation5:
\tau \models (P \ and \ Q) \Longrightarrow (\tau \models P) \land (\tau \models Q)
\langle proof \rangle
lemma foundation6:
\tau \models P \Longrightarrow \tau \models \delta P
\langle proof \rangle
lemma foundation7[simp]:
(\tau \models not (\delta x)) = (\neg (\tau \models \delta x))
\langle proof \rangle
Key theorem for the Delta-closure: either an expression is defined, or it can
be replaced (substituted via StrongEq_L_subst2; see below) by invalid or
null. Strictness-reduction rules will usually reduce these substituted terms
drastically.
lemma foundation8:
(\tau \models \delta x) \lor (\tau \models (x \triangleq invalid)) \lor (\tau \models (x \triangleq null))
\langle proof \rangle
lemma foundation9:
\tau \models \delta x \Longrightarrow (\tau \models not x) = (\neg (\tau \models x))
\langle proof \rangle
lemma foundation 10:
\tau \models \delta \stackrel{\circ}{x} \Longrightarrow \tau \models \delta y \Longrightarrow (\tau \models (x \text{ and } y)) = ((\tau \models x) \land (\tau \models y))
\langle proof \rangle
lemma foundation11:
```

 $\tau \models \delta \ x \Longrightarrow \ \tau \models \delta \ y \Longrightarrow (\tau \models (x \ or \ y)) = (\ (\tau \models x) \lor (\tau \models y))$

 $\langle proof \rangle$

 $\mathbf{lemma}\ foundation 12:$

$$\tau \models \delta \ x \Longrightarrow \ \tau \models \delta \ y \Longrightarrow (\tau \models (x \ implies \ y)) = (\ (\tau \models x) \longrightarrow (\tau \models y)) \ \langle proof \rangle$$

lemma foundation13: $(\tau \models A \triangleq true) = (\tau \models A)$ $\langle proof \rangle$

lemma foundation14: $(\tau \models A \triangleq false) = (\tau \models not A) \langle proof \rangle$

lemma foundation15: $(\tau \models A \triangleq invalid) = (\tau \models not(v \ A)) \langle proof \rangle$

lemma foundation 16: $\tau \models (\delta X) = (X \ \tau \neq bot \land X \ \tau \neq null) \ \langle proof \rangle$

 $\mathbf{lemmas}\ foundation 17 = foundation 16 [\mathit{THEN}\ iff D1, standard]$

lemma foundation18: $\tau \models (v \ X) = (X \ \tau \neq bot)$ $\langle proof \rangle$

lemmas foundation 19 = foundation 18[THEN iff D1, standard]

lemma $foundation20 : \tau \models (\delta X) \Longrightarrow \tau \models v X \langle proof \rangle$

 ${\bf theorem}\ strictEqGen\text{-}vs\text{-}strongEq:$

WFF
$$\tau \Longrightarrow \tau \models (\delta \ x) \Longrightarrow \tau \models (\delta \ y) \Longrightarrow (\tau \models (gen\text{-ref-eq} \ x \ y)) = (\tau \models (x \triangleq y)) \langle proof \rangle$$

WFF and ref_eq must be defined strong enough defined that this can be proven!

6 Local Judgements and Strong Equality

lemma StrongEq-L- $reft: \tau \models (x \triangleq x) \langle proof \rangle$

lemma StrongEq-L-sym: $\tau \models (x \triangleq y) \Longrightarrow \tau \models (y \triangleq x) \langle proof \rangle$

```
lemma StrongEq-L-trans: \tau \models (x \triangleq y) \Longrightarrow \tau \models (y \triangleq z) \Longrightarrow \tau \models (x \triangleq z) \langle proof \rangle
```

In order to establish substitutivity (which does not hold in general HOLformulas we introduce the following predicate that allows for a calculus of the necessary side-conditions.

```
definition cp :: (('\mathfrak{A},'\alpha) \ val \Rightarrow ('\mathfrak{A},'\beta) \ val) \Rightarrow bool

where cp \ P \equiv (\exists \ f. \ \forall \ X \ \tau. \ P \ X \ \tau = f \ (X \ \tau) \ \tau)
```

The rule of substitutivity in HOL-OCL holds only for context-passing expressions - i.e. those, that pass the context τ without changing it. Fortunately, all operators of the OCL language satisfy this property (but not all HOL operators).

```
lemma StrongEq-L-subst1: \bigwedge \tau. cp P \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow \tau \models (P x \triangleq P y)
\langle proof \rangle
lemma StrongEq-L-subst2:
\bigwedge \tau. \ cp \ P \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow \tau \models (P \ x) \Longrightarrow \tau \models (P \ y)
\langle proof \rangle
lemma cvI1:
(\forall X \tau. f X \tau = f(\lambda - X \tau) \tau) \Longrightarrow cp P \Longrightarrow cp(\lambda X. f (P X))
\langle proof \rangle
lemma cpI2:
(\forall X Y \tau. f X Y \tau = f(\lambda -. X \tau)(\lambda -. Y \tau) \tau) \Longrightarrow
 cp \ P \Longrightarrow cp \ Q \Longrightarrow cp(\lambda X. \ f \ (P \ X) \ (Q \ X))
\langle proof \rangle
lemma cp\text{-}const: cp(\lambda\text{-}.c)
  \langle proof \rangle
lemma cp-id:
                         cp(\lambda X. X)
  \langle proof \rangle
lemmas cp-intro[simp,intro!] =
        cp\text{-}const
        cp-id
        cp-defined[THEN allI[THEN allI[THEN cpI1], of defined]]
        cp	ext{-}valid[THEN\ allI[THEN\ allI[THEN\ cpI1],\ of\ valid]]}
        cp-not[THEN allI[THEN allI[THEN cpI1], of not]]
        cp-ocl-and[THEN allI[THEN allI[THEN allI[THEN cp12]], of op and]]
        cp-ocl-or[THEN allI[THEN allI[THEN allI[THEN cpI2]], of op or]]
       cp-ocl-implies[THEN allI[THEN allI[THEN allI[THEN cpI2]], of op implies]]
```

cp-StrongEq[THEN allI[THEN allI[THEN allI[THEN cpI2]],

of StrongEq

```
cp-gen-ref-eq-object[THEN allI[THEN allI[THEN allI[THEN cpI2]], of gen-ref-eq]]
```

7 Laws to Establish Definedness (Delta-Closure)

For the logical connectives, we have — beyond $?\tau \models ?P \implies ?\tau \models \delta ?P$ — the following facts:

```
lemma ocl-not-defargs:

\tau \models (not \ P) \Longrightarrow \tau \models \delta \ P

\langle proof \rangle
```

begin

So far, we have only one strict Boolean predicate (-family): The strict equality.

8 Miscellaneous: OCL's if then else endif

```
definition if-ocl :: [(\mathfrak{A})Boolean, (\mathfrak{A}, \alpha::null) val, (\mathfrak{A}, \alpha) val \Rightarrow (\mathfrak{A}, \alpha) val
                     (if (-) then (-) else (-) endif [10,10,10]50)
where (if C then B_1 else B_2 endif) = (\lambda \tau) if (\delta C) \tau = true \tau
                                            then (if (C \tau) = true \tau
                                                 then B_1 \tau
                                                 else B_2 \tau)
                                            else invalid \tau)
lemma if-ocl-invalid: (if invalid then B_1 else B_2 endif) = invalid
\langle proof \rangle
lemma if-ocl-null: (if null then B_1 else B_2 endif) = invalid
\langle proof \rangle
lemma if-ocl-true : (if true then B_1 else B_2 endif) = B_1
\langle proof \rangle
lemma if-ocl-false: (if false then B_1 else B_2 endif) = B_2
end
theory OCL-lib
imports OCL-core
```

9 Simple, Basic Types like Void, Boolean and Integer

Since Integer is again a basic type, we define its semantic domain as the valuations over *int option option*

```
type-synonym ('\mathfrak{A}) Integer = ('\mathfrak{A}, int option option) val
```

```
type-synonym ({}'\mathfrak{A}) Void = ({}'\mathfrak{A}, unit\ option)\ val
```

Note that this *minimal* OCL type contains only two elements: undefined and null. For technical reasons, he does not contain to the null-class yet.

10 Strict equalities.

Note that the strict equality on basic types (actually on all types) must be exceptionally defined on null — otherwise the entire concept of null in the language does not make much sense. This is an important exception from the general rule that null arguments — especially if passed as "self"-argument — lead to invalid results.

```
defs StrictRefEq\text{-}int: (x::(^{\mathfrak{A}})Integer) \doteq y \equiv \lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \ then \ (x \triangleq y)\tau \ else invalid \ \tau
```

defs
$$StrictRefEq\text{-bool}: (x::(^{\mathfrak{A}})Boolean) \doteq y \equiv \lambda \tau. if (v x) \tau = true \tau \wedge (v y) \tau = true \tau then $(x \triangleq y)\tau$ else invalid $\tau$$$

 $\begin{array}{l} \textbf{lemma} \ \textit{StrictRefEq-int-strict1} [\textit{simp}] : ((x::('\mathfrak{A}) \textit{Integer}) \doteq \textit{invalid}) = \textit{invalid} \\ \langle \textit{proof} \, \rangle \end{array}$

lemma StrictRefEq-int-strict2[simp] : $(invalid \doteq (x::('\mathfrak{A})Integer)) = invalid \langle proof \rangle$

lemma strictEqBool-vs-strongEq: $\tau \models (v \ x) \Longrightarrow \tau \models (v \ y) \Longrightarrow (\tau \models ((x::('\mathfrak{A})Boolean) \doteq y)) = (\tau \models (x \triangleq y))$ $\langle proof \rangle$

lemma strictEqInt-vs-strongEq: $\tau \models (v \ x) \implies \tau \models (v \ y) \implies (\tau \models ((x::('\mathfrak{A})Integer) \doteq y)) = (\tau \models (x \triangleq y))$ $\langle proof \rangle$

 $\mathbf{lemma}\ strictEqBool\text{-}defargs$:

```
\tau \models ((x::(\mathfrak{A})Boolean) \doteq y) \Longrightarrow (\tau \models (\upsilon x)) \land (\tau \models (\upsilon y))
\langle proof \rangle
\mathbf{lemma}\ strictEqInt\text{-}defargs:
\tau \models ((x::(\mathfrak{A})Integer) \doteq y) \Longrightarrow (\tau \models (v \ x)) \land (\tau \models (v \ y))
\langle proof \rangle
\mathbf{lemma}\ strictEqBool\text{-}valid\text{-}args\text{-}valid:
(\tau \models \upsilon((x::(\mathfrak{A})Boolean) \doteq y)) = ((\tau \models (\upsilon x)) \land (\tau \models (\upsilon y)))
\langle proof \rangle
\mathbf{lemma}\ strictEqInt	ext{-}valid	ext{-}args	ext{-}valid:
(\tau \models \upsilon((x::(\mathfrak{A})Integer) \doteq y)) = ((\tau \models (\upsilon \ x)) \land (\tau \models (\upsilon \ y)))
\langle proof \rangle
lemma gen-ref-eq-defargs:
\tau \models (gen\text{-}ref\text{-}eq\ x\ (y::('\mathfrak{A},'a::\{null,object\})val)) \Longrightarrow (\tau \models (\delta\ x))\ \land\ (\tau \models (\delta\ y))
\langle proof \rangle
\mathbf{lemma}\ StrictRefEq	entrict:
  assumes A: v(x::(\mathfrak{A})Integer) = true
                B: v \ y = true
  and
  shows v(x \doteq y) = true
  \langle proof \rangle
lemma StrictRefEq-int-strict':
  assumes A: v(((x::(\mathfrak{A})Integer)) \doteq y) = true
                    v x = true \wedge v y = true
  shows
  \langle proof \rangle
lemma StrictRefEq-bool-strict1[simp]: ((x::('\mathfrak{A})Boolean) \doteq invalid) = invalid
\langle proof \rangle
lemma StrictRefEq-bool-strict2[simp]: (invalid <math>\doteq (x::('\mathfrak{A})Boolean)) = invalid
\langle proof \rangle
\mathbf{lemma}\ \textit{cp-StrictRefEq-bool}\colon
((X::(\mathfrak{A})Boolean) \doteq Y) \tau = ((\lambda - X \tau) \doteq (\lambda - Y \tau)) \tau
\langle proof \rangle
lemma cp-StrictRefEq-int:
((X::('\mathfrak{A})Integer) \doteq Y) \tau = ((\lambda - X \tau) \doteq (\lambda - Y \tau)) \tau
\langle proof \rangle
```

```
lemmas cp-intro[simp,intro!] =
                   cp	ext{-}intro
                cp	ext{-}StrictRefEq-bool[THEN\ allI[THEN\ allI[THEN\ allI[THEN\ cpI2]],\ of\ StrictRefEq-bool[THEN\ allI[THEN\ allI[TH
                 cp-StrictRefEq-int[THEN allI[THEN allI[THEN allI[THEN cp12]], of Stric-
tRefEq]]
\mathbf{lemma}\ StrictRefEq\text{-}strict:
     assumes A: v(x::(\mathfrak{A})Integer) = true
     and
                                 B: v y = true
     shows
                                          v(x \doteq y) = true
     \langle proof \rangle
definition ocl\text{-}zero ::({}^{\prime}\mathfrak{A})Integer (\mathbf{0})
                                      \mathbf{0} = (\lambda - . | | \theta :: int | |)
definition ocl\text{-}one ::('\mathfrak{A})Integer (1)
where
                                      1 = (\lambda - . || 1 :: int ||)
definition ocl-two ::('A)Integer (2)
                                      \mathbf{2} = (\lambda - . \lfloor \lfloor 2 :: int \rfloor \rfloor)
where
definition ocl-three ::('A)Integer (3)
                                      \mathbf{3} = (\lambda - . | | \beta :: int | |)
where
definition ocl-four ::('A)Integer (4)
                                      \mathbf{4} = (\lambda - . | | 4 :: int | |)
where
definition ocl-five ::(\mathfrak{A})Integer (5)
                                      \mathbf{5} = (\lambda - \lfloor \lfloor 5 :: int \rfloor \rfloor)
definition ocl-six ::('A)Integer (6)
                                      \mathbf{6} = (\lambda - . \lfloor \lfloor 6 :: int \rfloor \rfloor)
where
definition ocl-seven ::('\mathbb{A})Integer (7)
                                      7 = (\lambda - . \lfloor \lfloor \gamma :: int \rfloor)
where
definition ocl-eight ::('\mathbb{A})Integer (8)
where
                                      8 = (\lambda - . | |8::int| |)
definition ocl-nine ::('\mathbb{A})Integer (9)
where
                                      \mathbf{9} = (\lambda - . \lfloor \lfloor 9 :: int \rfloor \rfloor)
definition ten-nine :: (\mathfrak{A})Integer (10)
                                      10 = (\lambda - . | | 10 :: int | |)
```

Here is a way to cast in standard operators via the type class system of

```
Isabelle.
lemma \delta null = false \langle proof \rangle
lemma v null = true \langle proof \rangle
lemma [simp]:\delta \mathbf{0} = true
\langle proof \rangle
lemma [simp]: v \mathbf{0} = true
\langle proof \rangle
lemma [simp]:\delta \mathbf{1} = true
\langle proof \rangle
lemma [simp]: v \mathbf{1} = true
\langle proof \rangle
lemma [simp]:\delta \mathbf{2} = true
\langle proof \rangle
lemma [simp]: v \mathbf{2} = true
\langle proof \rangle
lemma one-non-null[simp]: \mathbf{0} \neq null
\langle proof \rangle
lemma zero-non-null[simp]: 1 \neq null
\langle proof \rangle
Here is a common case of a built-in operation on built-in types. Note that
the arguments must be both defined (non-null, non-bot).
definition ocl-less-int ::('\mathfrak{A})Integer \Rightarrow ('\mathfrak{A})Integer \Rightarrow ('\mathfrak{A})Boolean (infix \prec 40)
where x \prec y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                     then \lfloor \lfloor \lceil \lceil x \ \tau \rceil \rceil < \lceil \lceil y \ \tau \rceil \rceil \rfloor \rfloor
                     else invalid \tau
definition ocl-le-int ::('\mathfrak{A})Integer \Rightarrow ('\mathfrak{A})Integer \Rightarrow ('\mathfrak{A})Boolean (infix \leq 40)
where x \leq y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                     then \lfloor \lfloor \lceil \lceil x \ \tau \rceil \rceil \leq \lceil \lceil y \ \tau \rceil \rceil \rfloor \rfloor
```

10.1 Example: The Set-Collection Type on the Abstract Interface

```
no-notation None (\bot) notation bot (\bot)
```

else invalid τ

For the semantic construction of the collection types, we have two goals:

- 1. we want the types to be *fully abstract*, i.e. the type should not contain junk-elements that are not representable by OCL expressions.
- 2. We want a possibility to nest collection types (so, we want the potential to talking about Set(Set(Sequences(Pairs(X,Y))))), and

The former principe rules out the option to define α Set just by (\mathfrak{A} , (α option option) set) val. This would allow sets to contain junk elements such as $\{\bot\}$ which we need to identify with undefinedness itself. Abandoning fully abstractness of rules would later on produce all sorts of problems when quantifying over the elements of a type. However, if we build an own type, then it must conform to our abstract interface in order to have nested types: arguments of type-constructors must conform to our abstract interface, and the result type too.

The core of an own type construction is done via a type definition which provides the raw-type ' α Set-0. it is shown that this type "fits" indeed into the abstract type interface discussed in the previous section.

```
typedef '\alpha Set-0 = {X::('\alpha::null) set option option.
                        X = bot \lor X = null \lor (\forall x \in \lceil \lceil X \rceil \rceil, x \neq bot)
instantiation Set-\theta :: (null)bot
begin
   definition bot-Set-0-def: (bot::('a::null) Set-0) \equiv Abs-Set-0 None
   instance \langle proof \rangle
end
instantiation Set-0 :: (null)null
begin
   definition null-Set-0-def: (null::('a::null) Set-0) <math>\equiv Abs-Set-0 \mid None \mid
   instance \langle proof \rangle
... and lifting this type to the format of a valuation gives us:
                         (\mathfrak{A}, \alpha) Set = (\mathfrak{A}, \alpha) Set-0 val
type-synonym
lemma Set-inv-lemma: \tau \models (\delta X) \Longrightarrow (X \tau = Abs\text{-}Set\text{-}\theta \mid bot \mid) \lor (\forall x \in \lceil \lceil Rep\text{-}Set\text{-}\theta \mid bot \mid)
(X \tau)]. x \neq bot
\langle proof \rangle
```

... which means that we can have a type ($\mathfrak{A},(\mathfrak{A},(\mathfrak{A}) Integer)$ Set) Set corresponding exactly to Set(Set(Integer)) in OCL notation. Note that the

parameter \mathfrak{A} still refers to the object universe; making the OCL semantics entirely parametric in the object universe makes it possible to study (and prove) its properties independently from a concrete class diagram.

```
definition mtSet::(\mathfrak{A}, \alpha::null) Set (Set\{\}) where Set\{\} \equiv (\lambda \tau. Abs-Set-0 | | \{\}:: \alpha set | | \}
```

lemma mtSet- $defined[simp]:\delta(Set\{\}) = true \langle proof \rangle$

 $\begin{array}{l} \textbf{lemma} \ \mathit{mtSet-valid}[\mathit{simp}] : \!\! v(\mathit{Set}\{\}) = \mathit{true} \\ \langle \mathit{proof} \rangle \end{array}$

Note that the collection types in OCL allow for null to be included; however, there is the null-collection into which inclusion yields invalid.

The case of the size definition is somewhat special, we admit explicitly in Essential OCL the possibility of infinite sets. For the size definition, this requires an extra condition that assures that the cardinality of the set is actually a defined integer.

```
definition OclSize :: ('\mathfrak{1}\mathfrak{1}\sigma' \alpha::null)Set \Rightarrow '\mathfrak{1}\text{ Integer} where OclSize x = (\lambda \ \tau. \ if \ (\delta \ x) \ \tau = true \ \tau \ \land \ finite(\lceil \lceil Rep\text{-Set-0} \ (x \ \tau) \rceil \rceil) \ ) \ 
then \ \lfloor \ \ int(card \ \lceil \lceil Rep\text{-Set-0} \ (x \ \tau) \rceil \rceil) \ \rfloor \rfloor
else \ \bot \ )
```

```
definition OclIncluding :: [(\mathfrak{A}, '\alpha :: null) \ Set, (\mathfrak{A}, '\alpha) \ val] \Rightarrow (\mathfrak{A}, '\alpha) \ Set

where OclIncluding x \ y = (\lambda \ \tau. \ if \ (\delta \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau

then \ Abs-Set-0 \ \lfloor \lfloor \lceil \lceil Rep-Set-0 \ (x \ \tau) \rceil \rceil \ \cup \ \{y \ \tau\} \ \rfloor \rfloor

else \ \bot \ )
```

```
definition OclIncludes :: [({}^{\prime}\mathfrak{A},'\alpha::null) \; Set,({}^{\prime}\mathfrak{A},'\alpha) \; val] \Rightarrow {}^{\prime}\mathfrak{A} \; Boolean} where OclIncludes x \; y = (\lambda \; \tau. \; if \; (\delta \; x) \; \tau = true \; \tau \wedge (v \; y) \; \tau = true \; \tau  then \lfloor \lfloor (y \; \tau) \in \lceil \lceil Rep\text{-}Set\text{-}\theta \; (x \; \tau) \rceil \rceil \rfloor \rfloor else \perp)
```

```
definition OclExcluding :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ val] \Rightarrow ('\mathfrak{A}, '\alpha) \ Set

where OclExcluding x \ y = (\lambda \ \tau. \ if \ (\delta \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau

then \ Abs-Set-0 \ \lfloor \lfloor \lceil \lceil Rep-Set-0 \ (x \ \tau) \rceil \rceil - \{y \ \tau\} \rfloor \rfloor

else \ \bot \ )
```

definition OclExcludes :: $[('\mathfrak{A},'\alpha::null) \ Set,('\mathfrak{A},'\alpha) \ val] \Rightarrow '\mathfrak{A} \ Boolean$ where OclExcludes $x \ y = (not(OclIncludes \ x \ y))$

```
definition OclIsEmpty :: ('\mathbb{A},'\alpha::null) Set \Rightarrow '\mathbb{A} Boolean where OclIsEmpty \ x = ((OclSize \ x) \doteq \mathbf{0})
```

```
definition OclNotEmpty :: ('\mathbb{A},'\alpha::null) Set \Rightarrow '\mathbb{A} Boolean
                  OclNotEmpty \ x = not(OclIsEmpty \ x)
where
                                    :: [('\mathfrak{A}, '\alpha :: null) Set, ('\mathfrak{A}, '\alpha) val \Rightarrow ('\mathfrak{A}) Boolean] \Rightarrow '\mathfrak{A} Boolean
definition OclForall
where
                 OclForall S P = (\lambda \tau. if (\delta S) \tau = true \tau
                                         then if (\forall x \in \lceil \lceil Rep\text{-}Set\text{-}\theta \ (S \ \tau) \rceil \rceil]. P(\lambda - x) \tau = true \tau)
                                                  then true \tau
                                                else if (\forall x \in \lceil \lceil Rep\text{-}Set\text{-}\theta \ (S \ \tau) \rceil \rceil]. P(\lambda - x) \ \tau = true
\tau \ \lor
                                                                                            P(\lambda - x) \tau = false \tau
                                                        then false \tau
                                                         else \perp
                                           else \perp)
definition OclExists :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) val \Rightarrow ('\mathfrak{A}) Boolean] \Rightarrow '\mathfrak{A} Boolean
                 OclExists \ S \ P = not(OclForall \ S \ (\lambda \ X. \ not \ (P \ X)))
where
syntax
  -OclForall :: [('\mathfrak{A},'\alpha::null) \ Set,id,('\mathfrak{A}) \ Boolean] \Rightarrow '\mathfrak{A} \ Boolean \ ((-)->forall'(-|-'))
translations
  X - > forall(x \mid P) == CONST \ OclForall \ X \ (\%x. \ P)
syntax
  -OclExist :: [('\mathfrak{A}, '\alpha :: null) \ Set, id, ('\mathfrak{A}) Boolean] \Rightarrow '\mathfrak{A} \ Boolean \ ((-)->exists'(-|-'))
translations
  X \rightarrow exists(x \mid P) == CONST \ OclExists \ X \ (\%x. \ P)
```

 \mathbf{consts}

```
and
    OclCount
                    (--> count'(-') [66,65]65)
and
                    (-->includes'(-') [66,65]65)
    OclIncludes
and
                    (-->excludes'(-') [66,65]65)
    OclExcludes
and
                    (-->sum'(') [66])
    OclSum
and
    OclIncludesAll\ (-->includesAll'(-')\ [66,65]65)
and
    OclExcludesAll (-->excludesAll'(-') [66,65]65)
and
                     (-->isEmpty'(') [66])
    OclIsEmpty
and
    OclNotEmpty
                     (--> notEmpty'(') [66])
and
    OclIncluding (-->including'(-'))
and
    OclExcluding \quad (-->excluding'(-'))
and
    OclComplement (--> complement'('))
and
    OclUnion
                     (-−>union'(-')
                                              [66,65]65)
and
    OclIntersection(-->intersection'(-') [71,70]70)
lemma cp-OclIncluding:
(X->including(x)) \ \tau = ((\lambda - X \ \tau) - >including(\lambda - X \ \tau)) \ \tau
\langle proof \rangle
lemma cp-OclExcluding:
(X->excluding(x)) \ \tau = ((\lambda - X \ \tau) - >excluding(\lambda - X \ \tau)) \ \tau
\langle proof \rangle
lemma cp-OclIncludes:
(X->includes(x)) \tau = (OclIncludes(\lambda - X \tau)(\lambda - x \tau) \tau)
\langle proof \rangle
lemma including\text{-}strict1[simp]:(\bot -> including(x)) = \bot
\langle proof \rangle
lemma including-strict2[simp]:(X->including(\bot)) = \bot
\langle proof \rangle
```

```
lemma including-strict3[simp]:(null->including(x)) = \bot
\langle proof \rangle
\mathbf{lemma}\ including\text{-}valid\text{-}args\text{-}valid\text{:}
(\tau \models \delta(X - > including(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
\langle proof \rangle
lemma excluding-strict1[simp]:(\bot -> excluding(x)) = \bot
\langle proof \rangle
lemma excluding-strict2[simp]:(X -> excluding(\bot)) = \bot
\langle proof \rangle
lemma excluding-strict3[simp]:(null->excluding(x)) = \bot
\langle proof \rangle
10.2
           Some computational laws:
lemma including-charn0[simp]:
assumes val-x:\tau \models (v x)
shows
                  \tau \models not(Set\{\}->includes(x))
\langle proof \rangle
lemma including-charn1:
assumes def - X : \tau \models (\delta X)
assumes val-x:\tau \models (v x)
                  \tau \models (X -> including(x) -> includes(x))
shows
\langle proof \rangle
\mathbf{lemma}\ including\text{-}charn2\colon
assumes def - X : \tau \models (\delta X)
and
          val-x:\tau \models (v \ x)
and
           val-y:\tau \models (v \ y)
          neq : \tau \models not(x \triangleq y)
and
                  \tau \models (X - > including(x) - > includes(y)) \triangleq (X - > includes(y))
shows
\langle proof \rangle
syntax
  -OclFinset :: args => ('\mathfrak{A}, 'a::null) Set (Set\{(-)\})
```

```
translations
```

```
Set\{x, xs\} == CONST \ OclIncluding \ (Set\{xs\}) \ x

Set\{x\} == CONST \ OclIncluding \ (Set\{\}) \ x
```

 $\begin{array}{l} \textbf{lemma} \ syntax\text{-}test: \ Set\{\textbf{2}, \textbf{1}\} = (Set\{\} -> including(\textbf{1}) -> including(\textbf{2})) \\ \langle proof \rangle \end{array}$

lemma semantic-test: $\tau \models (Set\{2,null\} -> includes(null)) \langle proof \rangle$

Here is an example of a nested collection. Note that we have to use the abstract null (since we did not (yet) define a concrete constant *null* for the non-existing Sets):

lemma semantic-test: $\tau \models (Set\{Set\{2\}, null\} -> includes(null)) \land proof \rangle$

 $\begin{array}{l} \textbf{lemma} \ hurx: \tau \models Set\{Set\{\mathbf{2}\}, null\} \triangleq Set\{null, Set\{\mathbf{2}\}\} \\ \langle proof \rangle \end{array}$

lemma semantic-test: $\tau \models (Set\{null, 2\} -> includes(null)) \land proof \rangle$

find-theorems fold

term comp-fun-commute

term undefined

definition $OclIterate_{Set} :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\beta :: null) val, ('\mathfrak{A}, '\alpha) val \Rightarrow ('\mathfrak{A}, '\beta) val \Rightarrow ('\mathfrak{A}, '\beta) val) \Rightarrow ('\mathfrak{A}, '\beta) val$

where $OclIterate_{Set} \ S \ A \ F = (\lambda \ \tau. \ if \ (\delta \ S) \ \tau = true \ \tau \land (v \ A) \ \tau = true \ \tau \land finite \lceil \lceil Rep-Set-0 \ (S \ \tau) \rceil \rceil$ $then \ (fold \ F \ A \ ((\lambda a \ \tau. \ a) \ ` \lceil \lceil Rep-Set-0 \ (S \ \tau) \rceil \rceil))\tau$

lemma $OclIterate_{Set}$ -strict1[simp]: $(OclIterate_{Set} \perp A F) = \perp \langle proof \rangle$

 $else \perp$)

lemma $OclIterate_{Set}$ -null1[simp]: $(OclIterate_{Set} \ null \ A \ F) = \bot \langle proof \rangle$

lemma $OclIterate_{Set}$ -strict2[simp]: $(OclIterate_{Set} \ S \perp F) = \bot \langle proof \rangle$

An open question is this ...

lemma $OclIterate_{Set}$ -null2[simp]: $(OclIterate_{Set}\ S\ null\ F) = \bot \langle proof \rangle$

```
In the definition above, this does not hold in general. And I believe, this is
how it should be ...
\mathbf{lemma} \ \mathit{OclIterate}_{\mathit{Set}}\text{-}\mathit{infinite} \colon
assumes non-finite: \tau \models not(\delta(S->size()))
shows (OclIterate_{Set} \ S \ A \ F) = \bot
\langle proof \rangle
lemma OclIterate_{Set}-empty:
assumes non-finite: \tau \models \delta(S - > size())
shows (OclIterate_{Set} (Set\{\}) A F) = A
\langle proof \rangle
In particular, this does hold for A = \text{null}.
lemma OclIterate_{Set}-including:
assumes S-finite: \tau \models \delta(S - > size())
and
          F-strict1:\bigwedge x. \tau \models (F x \perp \triangleq \bot)
and
          F-strict2:\bigwedge x. \tau \models (F \perp x \triangleq \bot)
          F-commute: \bigwedge x y. F y \circ F x = F x \circ F y
and
                       \bigwedge x y \tau. F x y \tau = F (\lambda - x \tau) (\lambda - y \tau) \tau
and
shows (OclIterate_{Set}(S->including(a)) \ A \ F) = F \ a \ (OclIterate_{Set}(S->excluding(a))
A F
\langle proof \rangle
end
theory OCL-tools
imports OCL-core
begin
end
theory OCL-main
\mathbf{imports}\ \mathit{OCL}\text{-}\mathit{lib}\ \mathit{OCL}\text{-}\mathit{tools}
begin
end
theory
  OCL-linked-list
imports
  ../OCL-main
```

11 Example Data-Universe

begin

Should be generated entirely from a class-diagram.

Our data universe consists in the concrete class diagram just of node's.

```
datatype node = Node oid
                   int
                   oid
type-synonym Boolean
                               = (node)Boolean
type-synonym Integer
                              = (node)Integer
                              = (node) Void
type-synonym Void
                              = (node, node option option)val
type-synonym Node
type-synonym Set-Integer = (node, int option option)Set
instantiation node :: object
  definition oid-of-def: oid-of x = (case \ x \ of \ Node \ oid - - \Rightarrow oid)
  instance \langle proof \rangle
end
instantiation option :: (object)object
begin
  definition oid\text{-}of\text{-}option\text{-}def: oid\text{-}of \ x = oid\text{-}of \ (the \ x)
  instance \langle proof \rangle
end
```

12 Instantiation of the generic strict equality

Should be generated entirely from a class-diagram.

```
StrictRefEq\text{-}node: (x::Node) \doteq y \equiv gen\text{-}ref\text{-}eq \ x \ y
\mathbf{lemmas}\ strict\text{-}eq\text{-}node =
    cp-gen-ref-eq-object[of x::Node y::Node <math>\tau,
                         simplified StrictRefEq-node[symmetric]]
    cp-intro(9)
                          [of P::Node \Rightarrow NodeQ::Node \Rightarrow Node,
                         simplified StrictRefEq-node[symmetric]]
    gen-ref-eq-def
                          [of x::Node\ y::Node,
                         simplified StrictRefEq-node[symmetric]]
    gen-ref-eq-defargs [of - x::Node y::Node,
                         simplified\ StrictRefEq-node[symmetric]]
    gen\text{-}ref\text{-}eq\text{-}object\text{-}strict1
                        [of x::Node,
                         simplified\ StrictRefEq-node[symmetric]]
    gen\text{-}ref\text{-}eq\text{-}object\text{-}strict2
                        [of x::Node,
                         simplified\ StrictRefEq-node[symmetric]]
    gen-ref-eq-object-strict3
                        [of x::Node,
                         simplified\ StrictRefEq-node[symmetric]]
    gen-ref-eq-object-strict3
                        [of x::Node,
```

```
simplified \ StrictRefEq-node[symmetric]] gen-ref-eq-object-strict4 [of \ x::Node, simplified \ StrictRefEq-node[symmetric]]
```

13 Selector Definition

```
Should be generated entirely from a class-diagram.
```

```
fun dot-next:: Node \Rightarrow Node ((1(-).next) 50)
  where (X).next = (\lambda \tau. case X \tau of
                 None \Rightarrow None
           | \ | \ None \ | \Rightarrow None
           | \ | \ | \ Node \ oid \ i \ next \ | \ | \Rightarrow if \ next \in dom \ (snd \ \tau)
                                              then \mid (snd \ \tau) \ next \mid
                                              else None)
fun dot-i:: Node \Rightarrow Integer ((1(-).i) 50)
  where (X).i = (\lambda \tau. case X \tau of
                 None \Rightarrow None
           | \ | \ None \ | \Rightarrow None
           | [ [ Node \ oid \ i \ next ] ] \Rightarrow
                         if oid \in dom \ (snd \ \tau)
                         then (case (snd \tau) oid of
                                     None \Rightarrow None
                                | [ Node \ oid \ i \ next ] \Rightarrow [[ \ i \ ]])
                         else None)
fun dot-next-at-pre:: Node \Rightarrow Node ((1(-).next@pre) 50)
  where (X).next@pre = (\lambda \tau. case X \tau of
                             None \Rightarrow None
                         | \ | \ None \ | \Rightarrow None
                        | | | Node \ oid \ i \ next | | \Rightarrow if \ next \in dom \ (fst \ \tau)
                                                    then \lfloor (fst \ \tau) \ next \rfloor
                                                    else None)
fun dot-i-at-pre:: Node \Rightarrow Integer ((1(-).i@pre) 50)
where (X).i@pre = (\lambda \tau. case X \tau of
               None \Rightarrow None
           | \ | \ None \ | \Rightarrow None
           | [ [ Node \ oid \ i \ next ] ] \Rightarrow
                         if oid \in dom (fst \ \tau)
                         then (case (fst \tau) oid of
                                    None \Rightarrow None
                                | [ Node \ oid \ i \ next ] \Rightarrow [[ \ i \ ]])
                         else None)
lemma cp-dot-next:
((X).next) \ \tau = ((\lambda - X \ \tau).next) \ \tau \ \langle proof \rangle
```

```
lemma cp-dot-i:
((X).i) \ \tau = ((\lambda - X \ \tau).i) \ \tau \ \langle proof \rangle
lemma cp-dot-next-at-pre:
((X).next@pre) \ \tau = ((\lambda -. \ X \ \tau).next@pre) \ \tau \ \langle proof \rangle
lemma cp-dot-i-pre:
((X).i@pre) \tau = ((\lambda -. X \tau).i@pre) \tau \langle proof \rangle
lemmas cp-dot-nextI [simp, intro!]=
       cp-dot-next[THEN allI[THEN allI], of <math>\lambda X -. X \lambda - \tau. \tau, THEN cpI1]
lemmas cp-dot-nextI-at-pre [simp, intro!]=
       cp-dot-next-at-pre[THEN allI[THEN allI],
                          of \lambda X - X \lambda - \tau \cdot \tau, THEN cp11]
lemma dot-next-nullstrict [simp]: (null).next = invalid
\langle proof \rangle
lemma dot-next-at-pre-null strict [simp] : (null).next@pre = invalid
\langle proof \rangle
lemma dot-next-strict[simp] : (invalid).next = invalid
\langle proof \rangle
lemma dot-nextATpre-strict[simp] : (invalid).next@pre = invalid
\langle proof \rangle
```

14 Standard State Infrastructure

These definitions should be generated — again — from the class diagram.

15 Invariant

These recursive predicates can be defined conservatively by greatest fix-point constructions - automatically. See HOL-OCL Book for details. For the purpose of this example, we state them as axioms here.

```
 \begin{array}{l} \textbf{axiomatization} \ inv\text{-}Node :: Node \Rightarrow Boolean \\ \textbf{where} \ A: (\tau \models (\delta \ self)) \longrightarrow \\ (\tau \models inv\text{-}Node(self)) = \\ ((\tau \models (self \ .next \doteq null)) \lor \\ (\tau \models (self \ .next <> null) \land (\tau \models (self \ .next \ .i \prec self \ .i)) \land \\ (\tau \models (inv\text{-}Node(self \ .next))))) \end{array}
```

```
axiomatization inv-Node-at-pre :: Node \Rightarrow Boolean
where B: (\tau \models (\delta \ self)) \longrightarrow
              (\tau \models inv\text{-}Node\text{-}at\text{-}pre(self)) =
                  ((\tau \models (self .next@pre \doteq null)) \lor
                   (\tau \models (self .next@pre <> null) \land (\tau \models (self .next@pre .i@pre \prec))
self.i@pre)) \land
                    (\tau \models (inv\text{-}Node\text{-}at\text{-}pre(self .next@pre)))))
A very first attempt to characterize the axiomatization by an inductive
definition - this can not be the last word since too weak (should be equality!)
coinductive inv :: Node \Rightarrow (node)st \Rightarrow bool where
(\tau \models (\delta \ self)) \Longrightarrow ((\tau \models (self \ .next \doteq null)) \lor
                     (\tau \models (self .next <> null) \land (\tau \models (self .next .i \prec self .i)) \land
                    ((inv(self .next))\tau))
                    \implies ( inv self \tau)
16
         The contract of a recursive query:
The original specification of a recursive query:
context Node::contents():Set(Integer)
post: result = if self.next = null
                         then Set{i}
                         else self.next.contents()->including(i)
                         endif
consts dot-contents :: Node \Rightarrow Set-Integer ((1(-).contents'(')) 50)
axiomatization dot-contents-def where
(\tau \models ((self).contents() \triangleq result)) =
 (if (\delta \ self) \ \tau = true \ \tau
  then ((\tau \models true) \land
       (\tau \models (result \triangleq if (self .next \doteq null))
                       then (Set\{self.i\})
                       else\ (self\ .next\ .contents() -> including(self\ .i))
                       endif)))
  else \ \tau \models result \triangleq invalid)
consts dot-contents-AT-pre :: Node \Rightarrow Set-Integer ((1(-).contents@pre'(')) 50)
axiomatization where dot-contents-AT-pre-def:
(\tau \models (self).contents@pre() \triangleq result) =
 (if (\delta \ self) \ \tau = true \ \tau
  then \tau \models true \land
                                                     (* pre *)
```

 $\tau \models (result \triangleq if \ (self).next@pre \doteq null \ (* \ post \ *)$ $then \ Set\{(self).i@pre\}$

```
else~(self).next@pre~.contents@pre()->including(self~.i@pre)\\endif)\\else~\tau \models result \triangleq invalid)
```

Note that these @pre variants on methods are only available on queries, i.e. operations without side-effect.

17 The contract of a method.

The specification in high-level OCL input syntax reads as follows:

```
context Node::insert(x:Integer)
post: contents():Set(Integer)
contents() = contents@pre()->including(x)

consts dot-insert:: Node \Rightarrow Integer \Rightarrow Void ((1(-).insert'(-')) 50)

axiomatization where dot-insert-def:
(\tau \models (self).insert(x) \triangleq result) = \\ (if (\delta self) \tau = true \ \tau \land (v \ x) \ \tau = true \ \tau \\ then \ \tau \models true \ \wedge \\ \tau \models (self).contents() \triangleq (self).contents@pre()->including(x) \\ else \ \tau \models (self).insert(x) \triangleq invalid)

lemma H: (\tau \models (self).insert(x) \triangleq result)
nitpick
thm dot-insert-def \langle proof \rangle
```

end