Essential OCL - S Study a Consistent Semantics for UML/OCL in HOL.

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1 OCL Core Definitions

1.1 Foundational Notations

First of all, we will use a more compact notation for the library option type which occur all over in our definitions and which will make the presentation more "textbook"-like:

```
\begin{array}{lll} \mathbf{syntax} & & & \\ \mathit{lift} & :: '\alpha \Rightarrow '\alpha \ \mathit{option} & (\lfloor (\text{-}) \rfloor) \\ \mathbf{translations} & & \\ \lfloor a \rfloor == \mathit{CONST} \ \mathit{Some} \ a \\ & & \\ \mathbf{syntax} & & \\ \mathit{bottom} & :: '\alpha \ \mathit{option} & (\bot) \\ \mathbf{translations} & & \\ \bot == \mathit{CONST} \ \mathit{None} \\ & \\ \mathbf{fun} & \mathit{drop} :: '\alpha \ \mathit{option} \Rightarrow '\alpha \ (\lceil (\text{-}) \rceil) \\ \mathbf{where} \ \mathit{drop} \ (\mathit{Some} \ v) = v \end{array}
```

1.2 State, State Transitions, Well-formed States

Next we will introduce the foundational concept of an object id (oid), which is just some infinite set.

```
type-synonym \ oid = ind
```

States are just a partial map from oid's to elements of an object universe 'A, and state transitions pairs of states...

```
type-synonym ('\mathfrak{A}) state = oid \rightharpoonup '\mathfrak{A}
```

```
type-synonym ('\mathfrak{A})st = '\mathfrak{A} state \times '\mathfrak{A} state
```

In certain contexts, we will require that the elements of the object universe have a particular structure; more precisely, we will require that there is a function that reconstructs the oid of an object in the state (we will settle the question how to define this function later).

```
class object = fixes oid\text{-}of :: 'a \Rightarrow oid
```

Thus, if needed, we can constrain the object universe to objects by adding the following type class constraint:

```
typ 'A :: object
```

All OCL expressions denote functions that map the underlying

```
type-synonym (\mathfrak{A}, \alpha) val = \mathfrak{A} st \Rightarrow \alpha option option
```

A key-concept for linking strict referential equality to logical equality: in well-formed states (i.e. those states where the self (oid-of) field contains the pointer to which the object is associated to in the state), referential equality coincides with logical equality.

```
definition WFF :: ('\mathbf{A}::object)st \Rightarrow bool 

where WFF \tau = ((\forall x \in dom(fst \ \tau). \ x = oid\text{-}of(the(fst \ \tau \ x))) \land (\forall x \in dom(snd \ \tau). \ x = oid\text{-}of(the(snd \ \tau \ x))))
```

This is a generic definition of referential equality: Equality on objects in a state is reduced to equality on the references to these objects. As in HOL-OCL, we will store the reference of an object inside the object in a (ghost) field. By establishing certain invariants ("consistent state"), it can be assured that there is a "one-to-one-correspondance" of objects to their references — and therefore the definition below behaves as we expect.

Generic Referential Equality enjoys the usual properties: (quasi) reflexivity, symmetry, transitivity, substitutivity for defined values. For type-technical reasons, for each concrete object type, the equality \doteq is defined by generic referential equality.

1.3 Basic Constants

```
definition invalid :: ('\mathfrak{A},'\alpha) val
where invalid \equiv \lambda \ \tau. \perp
definition null :: ('\mathfrak{A},'\alpha) val
where null \equiv \lambda \ \tau. | \perp |
```

done

1.4 Boolean Type and Logic

type-synonym (${}'\mathfrak{A}$)Boolean = (${}'\mathfrak{A}$,bool) val

```
type-synonym ('A) Integer = ('A,int) val

definition true :: ('A)Boolean
where true \equiv \lambda \tau. \lfloor \lfloor True \rfloor \rfloor

definition false :: ('A)Boolean
where false \equiv \lambda \tau. \lfloor \lfloor False \rfloor \rfloor

lemma bool-split: X \tau = invalid \tau \lor X \tau = null \tau \lor X \tau = true \tau \lor X \tau = false \tau
apply(simp\ add:\ invalid-def null-def true-def false-def)
apply(case-tac X \tau,simp)
apply(case-tac a,simp)
apply auto
```

```
{f thm}\ bool	ext{-}split
lemma [simp]: false(a, b) = ||False||
by(simp add:false-def)
lemma [simp]: true(a, b) = ||True||
\mathbf{by}(simp\ add:true-def)
\mathbf{2}
      Logical (Strong) Equality and Definedness
definition StrongEq::[(^{1}\mathfrak{A},'\alpha)val,(^{1}\mathfrak{A},'\alpha)val]\Rightarrow (^{1}\mathfrak{A})Boolean  (infixl \triangleq 30)
             X \triangleq Y \equiv \lambda \tau. ||X \tau = Y \tau||
where
lemma cp-StrongEq: (X \triangleq Y) \tau = ((\lambda - X \tau) \triangleq (\lambda - Y \tau)) \tau
by(simp add: StrongEq-def)
lemma StrongEq-refl [simp]: (X \triangleq X) = true
by(rule ext, simp add: null-def invalid-def true-def false-def StrongEq-def)
lemma StrongEq\text{-}sym \ [simp]: (X \triangleq Y) = (Y \triangleq X)
by(rule ext, simp add: eq-sym-conv invalid-def true-def false-def StrongEq-def)
lemma StrongEq-trans-strong [simp]:
  assumes A: (X \triangleq Y) = true
            B: (Y \triangleq Z) = true
 and
 shows (X \triangleq Z) = true
  apply(insert A B) apply(rule ext)
  apply(simp add: null-def invalid-def true-def false-def StrongEq-def)
  apply(drule-tac \ x=x \ in \ fun-conq)+
  by auto
definition valid :: ('\mathfrak{A},'a)val \Rightarrow ('\mathfrak{A})Boolean (v - [100]100)
where v X \equiv \lambda \tau \cdot case X \tau \ of
                          \perp \quad \Rightarrow false \ \tau
                       | \perp \perp \rfloor \Rightarrow true \ \tau
                       | \ | \ | \ x \ | \ | \Rightarrow true \ \tau
lemma cp-valid: (v \ X) \ \tau = (v \ (\lambda - X \ \tau)) \ \tau
\mathbf{by}(simp\ add:\ valid-def)
lemma valid1[simp]: v invalid = false
```

by(rule ext,simp add: valid-def null-def invalid-def true-def false-def)

by(rule ext,simp add: valid-def null-def invalid-def true-def false-def)

lemma valid2[simp]: v null = true

```
lemma valid3[simp]: v \ v \ X = true
  apply(rule ext,simp add: valid-def null-def invalid-def true-def false-def)
  apply(case-tac\ X\ x,\ simp-all\ add:\ true-def\ false-def)
 apply(case-tac a, simp-all add: true-def false-def)
  done
definition defined :: ({}^{\prime}\mathfrak{A}, {}^{\prime}a)val \Rightarrow ({}^{\prime}\mathfrak{A})Boolean (\delta - [100]100)
where \delta X \equiv \lambda \tau. case X \tau of
                       \begin{array}{ccc} \bot & \Rightarrow \mathit{false} \ \tau \\ |\ \lfloor\ \bot\ \rfloor & \Rightarrow \mathit{false} \ \tau \\ |\ \lfloor\ \lfloor\ x\ \rfloor\ \rfloor & \Rightarrow \mathit{true} \ \tau \end{array}
lemma cp-defined:(\delta X)\tau = (\delta (\lambda - X \tau)) \tau
by(simp add: defined-def)
lemma defined1[simp]: \delta invalid = false
 by(rule ext, simp add: defined-def null-def invalid-def true-def false-def)
lemma defined2[simp]: \delta null = false
 by (rule ext, simp add: defined-def null-def invalid-def true-def false-def)
lemma defined3[simp]: \delta \delta X = true
  apply(rule ext,simp add: defined-def null-def invalid-def true-def false-def)
  apply(case-tac X x, simp-all add: true-def false-def)
 apply(case-tac a, simp-all add: true-def false-def)
  done
lemma valid_{4}[simp]: v(X \triangleq Y) = true
  \mathbf{by}(rule\ ext,
     simp add: valid-def null-def invalid-def StrongEq-def true-def false-def)
lemma defined4 [simp]: \delta (X \triangleq Y) = true
  \mathbf{by}(rule\ ext,
     simp add: defined-def null-def invalid-def StrongEq-def true-def false-def)
lemma defined5[simp]: \delta v X = true
 apply(rule ext, simp add: valid-def defined-def null-def invalid-def true-def false-def)
 apply(case-tac\ X\ x,\ simp-all\ add:\ true-def\ false-def)
 apply(case-tac a, simp-all add: true-def false-def)
 done
lemma valid5[simp]: v \delta X = true
 apply(rule ext,simp add: valid-def defined-def null-def invalid-def true-def false-def)
 \mathbf{apply}(\mathit{case-tac}\ X\ x, \mathit{simp-all}\ \mathit{add}\colon \mathit{true-def}\ \mathit{false-def})
 apply(case-tac a, simp-all add: true-def false-def)
  done
```

3 Logical Connectives and their Universal Properties

```
definition not :: ({}^{\prime}\mathfrak{A})Boolean \Rightarrow ({}^{\prime}\mathfrak{A})Boolean
where
                 not X \equiv \lambda \tau \cdot case X \tau \ of
                                           \perp \Rightarrow \perp
                                      \begin{array}{c|c} & & & \\ & \downarrow & \bot & \downarrow & \bot & \downarrow \\ & \downarrow & \downarrow & \downarrow & \downarrow & \uparrow & \downarrow \\ & \downarrow & \downarrow & \downarrow & \downarrow & \uparrow & \downarrow & \downarrow \\ \end{array}
lemma cp-not: (not \ X)\tau = (not \ (\lambda - X \ \tau)) \ \tau
\mathbf{by}(simp\ add:\ not\text{-}def)
lemma not1[simp]: not invalid = invalid
  by(rule ext,simp add: not-def null-def invalid-def true-def false-def)
lemma not2[simp]: not null = null
   by(rule ext,simp add: not-def null-def invalid-def true-def false-def)
lemma not3[simp]: not true = false
   by(rule ext,simp add: not-def null-def invalid-def true-def false-def)
lemma not4[simp]: not false = true
   by(rule ext,simp add: not-def null-def invalid-def true-def false-def)
lemma not-not[simp]: not (not X) = X
   apply(rule ext,simp add: not-def null-def invalid-def true-def false-def)
   apply(case-tac\ X\ x,\ simp-all)
  apply(case-tac\ a,\ simp-all)
   done
definition ocl-and :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean (infix] and 30)
                   X \text{ and } Y \equiv (\lambda \tau \cdot \text{case } X \tau \text{ of }
                                        \perp \Rightarrow (case\ Y\ \tau\ of
                                                                \perp \Rightarrow \perp
                                                            \begin{array}{c} | \; \lfloor \bot \rfloor \Rightarrow \bot \\ | \; \lfloor \lfloor \mathit{True} \rfloor \rfloor \Rightarrow \; \bot \end{array}
                                                            |\lfloor False \rfloor| \Rightarrow \lfloor False | |
                                  | \perp \perp | \Rightarrow (case \ Y \ \tau \ of )
                                                                 \perp \Rightarrow \perp
                                                             | \; [\bot] \Rightarrow \overline{[\bot]}
                                                            | | | True | | \Rightarrow (case \ Y \ \tau \ of \ )
                                                            \begin{array}{c} \bot \Rightarrow \bot \\ | \ \lfloor \bot \rfloor \Rightarrow \lfloor \bot \rfloor \\ | \ \lfloor \lfloor y \rfloor \rfloor \Rightarrow \lfloor \lfloor y \rfloor \rfloor) \end{array}
                                   | | | False | | \Rightarrow | | False | |
```

```
definition ocl\text{-}or :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean
                                                        (infixl or 25)
            X \text{ or } Y \equiv not(not \ X \text{ and not } Y)
where
definition ocl-implies :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean
                                                        (infixl implies 25)
            X \text{ implies } Y \equiv \text{not } X \text{ or } Y
where
lemma cp-ocl-and:(X \text{ and } Y) \tau = ((\lambda - X \tau) \text{ and } (\lambda - Y \tau)) \tau
\mathbf{by}(simp\ add:\ ocl-and-def)
lemma cp-ocl-or:((X::('\mathfrak{A})Boolean) \ or \ Y) \ \tau = ((\lambda - X \ \tau) \ or \ (\lambda - Y \ \tau)) \ \tau
apply(simp add: ocl-or-def)
apply(subst cp-not[of not (\lambda-. X \tau) and not (\lambda-. Y \tau)])
apply(subst cp-ocl-and[of not (\lambda - X \tau) not (\lambda - Y \tau)])
by(simp add: cp-not[symmetric] cp-ocl-and[symmetric])
lemma cp-ocl-implies:(X \text{ implies } Y) \tau = ((\lambda - X \tau) \text{ implies } (\lambda - Y \tau)) \tau
apply(simp add: ocl-implies-def)
apply(subst\ cp\text{-}ocl\text{-}or[of\ not\ (\lambda\text{-}.\ X\ \tau)\ (\lambda\text{-}.\ Y\ \tau)])
by(simp add: cp-not[symmetric] cp-ocl-or[symmetric])
lemma ocl-and1[simp]: (invalid and true) = invalid
 by(rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and2[simp]: (invalid and false) = false
 by(rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and3[simp]: (invalid and null) = invalid
  by (rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and4[simp]: (invalid and invalid) = invalid
  by (rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and5[simp]: (null\ and\ true) = null
  by (rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and6[simp]: (null\ and\ false) = false
  by(rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
\mathbf{lemma} \ \mathit{ocl-and7}[\mathit{simp}] \colon (\mathit{null} \ \mathit{and} \ \mathit{null}) = \mathit{null}
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and8[simp]: (null\ and\ invalid) = invalid
  by (rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and9[simp]: (false\ and\ true) = false
 by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and10[simp]: (false and false) = false
 by(rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and11[simp]: (false and null) = false
```

```
by (rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and12[simp]: (false\ and\ invalid) = false
 by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and13[simp]: (true \ and \ true) = true
 by(rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and14[simp]: (true \ and \ false) = false
  by(rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
\mathbf{lemma} \ \mathit{ocl-and15}[\mathit{simp}] \colon (\mathit{true} \ \mathit{and} \ \mathit{null}) = \mathit{null}
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and16[simp]: (true\ and\ invalid) = invalid
 by(rule ext, simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and-idem[simp]: (X and X) = X
  apply(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
 apply(case-tac\ X\ x,\ simp-all)
 apply(case-tac\ a,\ simp-all)
 apply(case-tac\ aa,\ simp-all)
 done
lemma ocl-and-commute: (X \text{ and } Y) = (Y \text{ and } X)
 by(rule ext, auto simp:true-def false-def ocl-and-def invalid-def
                 split: option.split option.split-asm
                       bool.split bool.split-asm)
lemma ocl-and-false1[simp]: (false and X) = false
 apply(rule ext, simp add: ocl-and-def)
 apply(auto simp:true-def false-def invalid-def
           split: option.split option.split-asm)
  done
lemma ocl-and-false2[simp]: (X and false) = false
 by(simp add: ocl-and-commute)
lemma ocl-and-true1[simp]: (true \ and \ X) = X
  apply(rule ext, simp add: ocl-and-def)
 apply(auto simp:true-def false-def invalid-def
           split: option.split option.split-asm)
 done
lemma ocl-and-true2[simp]: (X \text{ and true}) = X
 \mathbf{by}(simp\ add:\ ocl-and-commute)
lemma ocl-and-assoc: (X \text{ and } (Y \text{ and } Z)) = (X \text{ and } Y \text{ and } Z)
 apply(rule ext, simp add: ocl-and-def)
 \mathbf{apply}(\mathit{auto\ simp:true-def\ false-def\ null-def\ invalid-def})
```

```
split: option.split option.split-asm
                     bool.split bool.split-asm)
done
lemma ocl\text{-}or\text{-}idem[simp]: (X \ or \ X) = X
  by(simp add: ocl-or-def)
lemma ocl-or-commute: (X or Y) = (Y or X)
  by(simp add: ocl-or-def ocl-and-commute)
lemma ocl-or-false1 [simp]: (false or Y) = Y
  \mathbf{by}(simp\ add:\ ocl\ or\ def)
lemma ocl-or-false2[simp]: (Y or false) = Y
  \mathbf{by}(simp\ add:\ ocl\ or\ def)
lemma ocl\text{-}or\text{-}true1[simp]: (true \ or \ Y) = true
  \mathbf{by}(simp\ add:\ ocl\ or\ def)
lemma ocl-or-true2: (Y \text{ or } true) = true
  \mathbf{by}(simp\ add:\ ocl\ or\ def)
lemma ocl-or-assoc: (X \text{ or } (Y \text{ or } Z)) = (X \text{ or } Y \text{ or } Z)
  by(simp add: ocl-or-def ocl-and-assoc)
lemma deMorgan1: not(X \text{ and } Y) = ((not X) \text{ or } (not Y))
  \mathbf{by}(simp\ add:\ ocl\ or\ def)
lemma deMorgan2: not(X \text{ or } Y) = ((not X) \text{ and } (not Y))
  \mathbf{by}(simp\ add:\ ocl\ or\ def)
       Logical Equality and Referential Equality
4
Construction by overloading: for each base type, there is an equality.
consts StrictRefEq :: [('\mathfrak{A},'a)val, ('\mathfrak{A},'a)val] \Rightarrow ('\mathfrak{A})Boolean (infixl <math>\doteq 30)
Generic referential equality - to be used for instantiations with concrete
object types ...
definition gen\text{-}ref\text{-}eq\ (x::(^{\prime}\mathfrak{A},^{\prime}a::object)val)\ (y::(^{\prime}\mathfrak{A},^{\prime}a::object)val)
             \equiv \lambda \ \tau. \ if \ (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau
                    then \ \lfloor \lfloor \ (\textit{oid-of} \ \lceil \lceil x \ \tau \rceil \rceil) = (\textit{oid-of} \ \lceil \lceil y \ \tau \rceil \rceil) \ \rfloor \rfloor
                    else invalid \tau
lemma gen-ref-eq-object-strict1[simp]:
```

 $(gen\text{-}ref\text{-}eq\ (x::('\mathfrak{A},'a::object)val)\ invalid) = invalid$ $\mathbf{by}(rule\ ext,\ simp\ add:\ gen\text{-}ref\text{-}eq\text{-}def\ true\text{-}def\ false\text{-}def)}$

lemma gen-ref-eq-object-strict2[simp]:

```
(gen-ref-eq\ invalid\ (x::('\mathfrak{A},'a::object)val)) = invalid
by(rule ext, simp add: gen-ref-eq-def true-def false-def)
lemma gen-ref-eq-object-strict3[simp]:
(gen-ref-eq\ (x::('\mathfrak{A},'a::object)val)\ null) = invalid
by(rule ext, simp add: gen-ref-eq-def true-def false-def)
lemma gen-ref-eq-object-strict 4 [simp]:
(gen-ref-eq\ null\ (x::('\mathfrak{A},'a::object)val)) = invalid
by(rule ext, simp add: gen-ref-eq-def true-def false-def)
lemma cp-gen-ref-eq-object:
(gen-ref-eq\ x\ (y::('\mathfrak{A},'a::object)val))\ \tau =
 (gen-ref-eq (\lambda -. x \tau) (\lambda -. y \tau)) \tau
by(auto simp: gen-ref-eq-def StrongEq-def invalid-def cp-defined[symmetric])
5
      Local Validity
definition OclValid :: [('\mathfrak{A})st, ('\mathfrak{A})Boolean] \Rightarrow bool ((1(-)/\models (-)) 50)
            \tau \models P \equiv ((P \ \tau) = true \ \tau)
6
      Global vs. Local Judgements
lemma transform1: P = true \Longrightarrow \tau \models P
by(simp add: OclValid-def)
lemma transform2: (P = Q) \Longrightarrow ((\tau \models P) = (\tau \models Q))
by(auto simp: OclValid-def)
lemma transform2-rev: \forall \tau. (\tau \models \delta P) \land (\tau \models \delta Q) \land (\tau \models P) = (\tau \models Q) \Longrightarrow
P = Q
apply(rule ext, auto simp: OclValid-def true-def defined-def)
apply(erule-tac \ x=a \ in \ all E)
apply(erule-tac \ x=b \ in \ all E)
apply(auto simp: false-def true-def defined-def
                split: option.split option.split-asm)
done
However, certain properties (like transitivity) can not be transformed from
the global level to the local one, they have to be re-proven on the local level.
```

```
lemma transform3: assumes H: P = true \Longrightarrow Q = true shows \tau \models P \Longrightarrow \tau \models Q apply(simp\ add:\ OclValid-def) apply(rule\ H[THEN\ fun-cong]) apply(rule\ ext) oops
```

7 Local Validity and Meta-logic

```
lemma foundation1[simp]: \tau \models true
by(auto simp: OclValid-def)
lemma foundation2[simp]: \neg(\tau \models false)
by(auto simp: OclValid-def true-def false-def)
lemma foundation3[simp]: \neg(\tau \models invalid)
by(auto simp: OclValid-def true-def false-def invalid-def)
lemma foundation4 [simp]: \neg(\tau \models null)
by(auto simp: OclValid-def true-def false-def null-def)
lemma bool-split-local[simp]:
(\tau \models (x \triangleq invalid)) \lor (\tau \models (x \triangleq null)) \lor (\tau \models (x \triangleq true)) \lor (\tau \models (x \triangleq false))
apply(insert bool-split[of x \tau], auto)
apply(simp-all add: OclValid-def StrongEq-def true-def null-def invalid-def)
done
\mathbf{lemma}\ \textit{def-split-local}:
(\tau \models \delta \ x) = ((\neg(\tau \models (x \triangleq invalid))) \land (\neg \ (\tau \models (x \triangleq null))))
apply(simp add:defined-def true-def false-def invalid-def null-def
              StrongEq-def OclValid-def)
apply(case-tac \ x \ \tau, simp, simp \ add: false-def)
apply(case-tac a,simp only:)
apply(simp-all add:false-def true-def)
done
lemma foundation5:
\tau \models (P \text{ and } Q) \Longrightarrow (\tau \models P) \land (\tau \models Q)
by(simp add: ocl-and-def OclValid-def true-def false-def defined-def
             split: option.split option.split-asm bool.split bool.split-asm)
lemma foundation6:
\tau \models P \Longrightarrow \tau \models \delta P
by(simp add: not-def OclValid-def true-def false-def defined-def
             split: option.split option.split-asm)
lemma foundation 7[simp]:
(\tau \models not (\delta x)) = (\neg (\tau \models \delta x))
by (simp add: not-def OclValid-def true-def false-def defined-def
             split: option.split option.split-asm)
```

Key theorem for the Delta-closure: either an expression is defined, or it can be replaced (substituted via StrongEq_L_subst2; see below) by invalid or null. Strictness-reduction rules will usually reduce these substituted terms drastically.

```
lemma foundation8:
(\tau \models \delta x) \lor (\tau \models (x \triangleq invalid)) \lor (\tau \models (x \triangleq null))
proof -
  have 1: (\tau \models \delta x) \lor (\neg(\tau \models \delta x)) by auto
  have 2: (\neg(\tau \models \delta x)) = ((\tau \models (x \triangleq invalid)) \lor (\tau \models (x \triangleq null)))
            by(simp only: def-split-local, simp)
  show ?thesis by(insert 1, simp add:2)
qed
lemma foundation 9:
\tau \models \delta x \Longrightarrow (\tau \models not x) = (\neg (\tau \models x))
apply(simp add: def-split-local)
by(auto simp: not-def OclValid-def invalid-def true-def null-def StrongEq-def)
lemma foundation10:
\tau \models \delta x \Longrightarrow \tau \models \delta y \Longrightarrow (\tau \models (x \text{ and } y)) = ((\tau \models x) \land (\tau \models y))
apply(simp add: def-split-local)
\mathbf{by}(auto\ simp:\ ocl-and-def\ OclValid-def\ invalid-def
                true-def null-def StrongEq-def
         split:bool.split-asm)
lemma foundation11:
\tau \models \delta x \Longrightarrow \tau \models \delta y \Longrightarrow (\tau \models (x \text{ or } y)) = ((\tau \models x) \lor (\tau \models y))
apply(simp add: def-split-local)
by (auto simp: not-def ocl-or-def ocl-and-def OclValid-def invalid-def
                true-def null-def StrongEq-def
         split:bool.split-asm bool.split)
lemma foundation12:
\tau \models \delta x \Longrightarrow \tau \models \delta y \Longrightarrow (\tau \models (x \text{ implies } y)) = ((\tau \models x) \longrightarrow (\tau \models y))
apply(simp add: def-split-local)
by(auto simp: not-def ocl-or-def ocl-and-def ocl-implies-def
                OclValid-def invalid-def true-def null-def StrongEq-def
         split:bool.split-asm bool.split)
\mathbf{lemma} \ strictEqGen\text{-}vs\text{-}strongEq:
WFF \tau \Longrightarrow \tau \models (\delta \ x) \Longrightarrow \tau \models (\delta \ y) \Longrightarrow
(\tau \models (gen\text{-ref-eq }(x::('b::object,'a::object)val)\ y)) = (\tau \models (x \triangleq y))
apply(auto simp: gen-ref-eq-def OclValid-def WFF-def StrongEq-def true-def)
sorry
```

WFF and object must be defined strong enough that this can be proven!

8 Local Judgements and Strong Equality

```
lemma StrongEq\text{-}L\text{-}refl: \tau \models (x \triangleq x)

by (simp \ add: \ OclValid\text{-}def \ StrongEq\text{-}def)

lemma StrongEq\text{-}L\text{-}sym: \tau \models (x \triangleq y) \Longrightarrow \tau \models (y \triangleq x)

by (simp \ add: \ OclValid\text{-}def \ StrongEq\text{-}def)

lemma StrongEq\text{-}L\text{-}trans: \tau \models (x \triangleq y) \Longrightarrow \tau \models (y \triangleq z) \Longrightarrow \tau \models (x \triangleq z)

by (simp \ add: \ OclValid\text{-}def \ StrongEq\text{-}def \ true\text{-}def)

In order to establish substitutivity (which does not hold in general formulas we introduce the following predicate that allows for a color
```

In order to establish substitutivity (which does not hold in general HOLformulas we introduce the following predicate that allows for a calculus of the necessary side-conditions.

```
definition cp :: (('\mathfrak{A},'\alpha) \ val \Rightarrow ('\mathfrak{A},'\beta) \ val) \Rightarrow bool

where cp \ P \equiv (\exists \ f. \ \forall \ X \ \tau. \ P \ X \ \tau = f \ (X \ \tau) \ \tau)
```

The rule of substitutivity in HOL-OCL holds only for context-passing expressions - i.e. those, that pass the context τ without changing it. Fortunately, all operators of the OCL language satisfy this property (but not all HOL operators).

```
lemma StrongEq-L-subst1: !! \tau. cp \ P \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow \tau \models (P \ x \triangleq P \ y) by (auto simp: OclValid-def StrongEq-def true-def cp-def)
```

```
lemma StrongEq-L-subst2:
!! \tau. \ cp \ P \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow \tau \models (P \ x) \Longrightarrow \tau \models (P \ y)
\mathbf{by}(auto\ simp:\ OclValid-def\ StrongEq-def\ true-def\ cp-def)
lemma cpI1:
(\forall X \tau. f X \tau = f(\lambda - X \tau) \tau) \Longrightarrow cp P \Longrightarrow cp(\lambda X. f (P X))
apply(auto simp: true-def cp-def)
apply(rule\ exI,\ (rule\ allI)+)
\mathbf{by}(erule\text{-}tac\ x=P\ X\ \mathbf{in}\ all E,\ auto)
lemma cpI2:
(\forall X Y \tau. f X Y \tau = f(\lambda -. X \tau)(\lambda -. Y \tau) \tau) \Longrightarrow
 cp \ P \Longrightarrow cp \ Q \Longrightarrow cp(\lambda X. \ f \ (P \ X) \ (Q \ X))
apply(auto simp: true-def cp-def)
apply(rule exI, (rule allI)+)
by(erule-tac x=P X in <math>allE, auto)
lemma cp\text{-}const: cp(\lambda\text{-}.c)
  by (simp add: cp-def, fast)
```

 $cp(\lambda X. X)$

lemma cp-id:

by (simp add: cp-def, fast)

```
lemmas cp-intro[simp,intro!] =
     cp\text{-}const
     cp-id
     cp-defined[THEN allI[THEN allI[THEN cpI1], of defined]]
     cp-valid[THEN allI[THEN allI[THEN cpI1], of valid]]
     cp-not[THEN allI[THEN allI[THEN cpI1], of not]]
     cp-ocl-and[THEN allI[THEN allI[THEN allI[THEN cpI2]], of op and]]
     cp-ocl-or[THEN allI[THEN allI[THEN allI[THEN cpI2]], of op or]]
    cp-ocl-implies[THEN allI[THEN allI[THEN allI[THEN cpI2]], of op implies]]
     cp-StrongEq[THEN allI[THEN allI[THEN allI[THEN cpI2]],
          of StrongEq]]
     cp-gen-ref-eq-object[THEN allI[THEN allI[THEN allI[THEN cpI2]],
          of gen-ref-eq]]
```

Laws to Establish Definedness (Delta-Closure) 9

For the logical connectives, we have — beyond $?\tau \models ?P \implies ?\tau \models \delta ?P$ —

```
the followinf facts:
lemma ocl-not-defargs:
\tau \models (not \ P) \Longrightarrow \tau \models \delta \ P
by (auto simp: not-def OclValid-def true-def invalid-def defined-def false-def
         split: bool.split-asm HOL.split-if-asm option.split option.split-asm)
\mathbf{lemma} \ \mathit{ocl-and-defargs} \colon
\tau \models (P \text{ and } Q) \Longrightarrow (\tau \models \delta P) \land (\tau \models \delta Q)
by(auto dest: foundation5 foundation6)
So far, we have only one strict Boolean predicate (-family): The strict equal-
ity.
end
theory OCL-lib
imports OCL-core
begin
syntax
                     :: (\mathfrak{A})Boolean \Rightarrow (\mathfrak{A})Boolean \Rightarrow (\mathfrak{A})Boolean \quad (infix <> 40)
  notequal
translations
  a \iff b == CONST \ not(a \doteq b)
defs StrictRefEq-int: (x::(\mathfrak{A},int)val) \doteq y \equiv
                               \lambda \ \tau. if (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau
                                     then (x \triangleq y)\tau
                                     else invalid \tau
```

```
\lambda \ \tau. \ if \ (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau
then \ (x \triangleq y)\tau
else \ invalid \ \tau
```

lemma $StrictRefEq-int-strict1[simp]: ((x::('\mathfrak{A},int)val) \doteq invalid) = invalid$ **by** $(rule\ ext,\ simp\ add:\ StrictRefEq-int\ true-def\ false-def)$

lemma $StrictRefEq-int-strict2[simp]: (invalid \doteq (x::('\mathfrak{A},int)val)) = invalid$ **by** $(rule\ ext,\ simp\ add:\ StrictRefEq-int\ true-def\ false-def)$

lemma $StrictRefEq-int-strict3[simp]: ((x::('\mathfrak{A},int)val) \doteq null) = invalid$ **by** $(rule\ ext,\ simp\ add:\ StrictRefEq-int\ true-def\ false-def)$

lemma $StrictRefEq-int-strict4[simp]: (null \doteq (x::('\mathfrak{A},int)val)) = invalid$ **by** $(rule\ ext,\ simp\ add:\ StrictRefEq-int\ true-def\ false-def)$

 $\mathbf{lemma} \ strictEqBool\text{-}vs\text{-}strongEq:$

$$\tau \models (\delta \ x) \Longrightarrow \tau \models (\delta \ y) \Longrightarrow (\tau \models ((x::(\mathfrak{A},bool)val) \doteq y)) = (\tau \models (x \triangleq y))$$

by $(simp \ add: StrictRefEq-bool \ OclValid-def)$

 $\mathbf{lemma}\ strictEqInt\text{-}vs\text{-}strongEq:$

$$\tau \models (\delta \ x) \Longrightarrow \tau \models (\delta \ y) \Longrightarrow (\tau \models ((x::(\mathfrak{A},int)val) \doteq y)) = (\tau \models (x \triangleq y))$$

by(simp add: StrictRefEq-int OclValid-def)

 $\mathbf{lemma}\ strictEqBool\text{-}defargs$:

```
\tau \models ((x::('\mathfrak{A},bool)val) \stackrel{.}{=} y) \Longrightarrow (\tau \models (\delta \ x)) \land (\tau \models (\delta \ y)) \mathbf{by}(simp \ add: StrictRefEq-bool \ OclValid-def \ true-def \ invalid-def split: \ bool.split-asm \ HOL.split-if-asm)
```

 $\mathbf{lemma} \ \mathit{strictEqInt-defargs} \colon$

$$\tau \models ((x::({}^{\prime}\mathfrak{A},int)val) \doteq y) \Longrightarrow (\tau \models (\delta x)) \land (\tau \models (\delta y))$$

 $\mathbf{by}(simp\ add:\ StrictRefEq\text{-}int\ OclValid\text{-}def\ true\text{-}def\ invalid\text{-}def\ split:\ bool.split\text{-}asm\ HOL.split\text{-}if\text{-}asm)$

lemma gen-ref-eq-defargs:

```
\tau \models (gen\text{-}ref\text{-}eq\ x\ (y::(^{\prime}\mathfrak{A},'a::object)val)) \Longrightarrow (\tau \models (\delta\ x)) \land (\tau \models (\delta\ y)) \mathbf{by}(simp\ add:\ gen\text{-}ref\text{-}eq\text{-}def\ OclValid\text{-}def\ true\text{-}def\ invalid\text{-}def} split:\ bool.split\text{-}asm\ HOL.split\text{-}if\text{-}asm)
```

 $\mathbf{lemma}\ \mathit{StrictRefEq-int-strict}:$

```
assumes A: \delta (x::({}^{\prime}\mathfrak{A},int)val) = true
and B: \delta y = true
shows \delta (x \doteq y) = true
apply(insert A B)
apply(rule ext, simp add: StrongEq-def StrictRefEq-int true-def defined-def)
```

done

```
lemma StrictRefEq-int-strict':
  assumes A: \delta ((x::(\mathfrak{A},int)val) \doteq y) = true
               \delta x = true \wedge \delta y = true
  apply(insert A, rule conjI)
  apply(rule\ ext,\ drule-tac\ x=xa\ in\ fun-cong)
  prefer 2
  apply(rule\ ext,\ drule-tac\ x=xa\ in\ fun-cong)
  apply(simp-all add: StrongEq-def StrictRefEq-int
                          false-def true-def defined-def)
  apply(case-tac\ y\ xa,\ auto)
  apply(simp-all add: true-def invalid-def)
  apply(case-tac aa, auto simp:true-def false-def invalid-def
                           split: option.split option.split-asm)
  done
lemma StrictRefEq-bool-strict1[simp]: ((x::(^{1}24,bool)val) \doteq invalid) = invalid
by(rule ext, simp add: StrictRefEq-bool true-def false-def)
lemma StrictRefEq-bool-strict2[simp]: (invalid <math>\doteq (x::(\mathfrak{A},bool)val)) = invalid
by(rule ext, simp add: StrictRefEq-bool true-def false-def)
lemma StrictRefEq-bool-strict3[simp]: ((x::(\mathfrak{A},bool)val) \doteq null) = invalid
by(rule ext, simp add: StrictRefEq-bool true-def false-def)
lemma StrictRefEq-bool-strict4[simp]: (null \doteq (x::(\mathfrak{A},bool)val)) = invalid
by(rule ext, simp add: StrictRefEq-bool true-def false-def)
lemma cp-StrictRefEq-bool:
((X::({}^{\prime}\mathfrak{A},bool)val) \doteq Y) \ \tau = ((\lambda -. \ X \ \tau) \doteq (\lambda -. \ Y \ \tau)) \ \tau
by(auto simp: StrictRefEq-bool StrongEq-def invalid-def cp-defined[symmetric])
\mathbf{lemma}\ \mathit{cp-StrictRefEq-int}:
((X :: (^{\prime}\mathfrak{A}, int) val) \stackrel{.}{=} Y) \ \tau = ((\lambda \ \text{-.} \ X \ \tau) \stackrel{.}{=} (\lambda \ \text{-.} \ Y \ \tau)) \ \tau
by(auto simp: StrictRefEq-int StrongEq-def invalid-def cp-defined[symmetric])
lemmas cp-rules =
      cp-StrictRefEq-bool[THEN allI[THEN allI[THEN allI[THEN cpI2]],
            of StrictRefEq]]
      cp-StrictRefEq-int[THEN allI[THEN allI[THEN allI[THEN cpI2]],
            of StrictRefEq]
```

```
\mathbf{lemma}\ StrictRefEq\text{-}strict:
  assumes A: \delta(x::(\mathfrak{A},int)val) = true
              B: \delta y = true
  and
  shows \delta(x \doteq y) = true
  apply(insert\ A\ B)
  apply(rule ext, simp add: StrongEq-def StrictRefEq-int true-def defined-def)
  done
definition ocl-zero ::('\mathbb{A})Integer (0)
where
                \mathbf{0} = (\lambda - . \lfloor \lfloor \theta :: int \rfloor \rfloor)
definition ocl\text{-}one :: ('\mathfrak{A})Integer (1)
                1 = (\lambda - . | | 1 :: int | |)
where
definition ocl-two ::('\mathbb{A})Integer (2)
                \mathbf{2} = (\lambda - . \lfloor \lfloor 2 :: int \rfloor \rfloor)
definition ocl-three ::(\mathfrak{A})Integer (3)
where
                \mathbf{3} = (\lambda - . | | \beta :: int | |)
definition ocl-four ::('A)Integer (4)
                \mathbf{4} = (\lambda - . \lfloor \lfloor 4 :: int \rfloor \rfloor)
where
definition ocl-five ::('21)Integer (5)
                \mathbf{5} = (\lambda - . | | 5 :: int | |)
where
definition ocl-six ::('A)Integer (6)
                \mathbf{6} = (\lambda - . | | 6 :: int | |)
where
definition ocl-seven ::('\mathbb{A})Integer (7)
                \mathbf{7} = (\lambda - . \lfloor \lfloor \gamma :: int \rfloor \rfloor)
definition ocl-eight ::('\mathbb{A})Integer (8)
                \mathbf{8} = (\lambda - . \lfloor \lfloor 8 :: int \rfloor \rfloor)
where
definition ocl-nine ::('\mathbb{A})Integer (9)
where
                \mathbf{9} = (\lambda - . \lfloor \lfloor 9 :: int \rfloor \rfloor)
definition ten-nine :: (\mathfrak{A})Integer (10)
                10 = (\lambda - . | | 10 :: int | |)
where
Here is a way to cast in standard operators via the type class system of
Isabelle.
lemma [simp]:\delta \mathbf{0} = true
by(simp add:ocl-zero-def defined-def true-def)
lemma [simp]: v \mathbf{0} = true
by(simp add:ocl-zero-def valid-def true-def)
```

```
instance option :: (plus) plus
by intro-classes
instance fun
                        :: (type, plus) plus
by intro-classes
definition ocl-less-int ::('\mathbb{A}) Integer \Rightarrow ('\mathbb{A}) Integer \Rightarrow ('\mathbb{A}) Boolean (infix \prec 40)
where x \prec y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                     then \lfloor \lfloor \lceil \lceil x \ \tau \rceil \rceil < \lceil \lceil y \ \tau \rceil \rceil \rfloor \rfloor
                     else invalid \tau
definition ocl-le-int ::('\mathbb{A})Integer \Rightarrow ('\mathbb{A})Integer \Rightarrow ('\mathbb{A})Boolean (infix \leq 40)
where x \leq y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                     then \lfloor \lfloor \lceil \lceil x \ \tau \rceil \rceil \leq \lceil \lceil y \ \tau \rceil \rceil \rfloor \rfloor
                     else invalid \tau
lemma zero-non-null[simp]: \mathbf{0} \neq null
apply(auto simp:ocl-zero-def null-def)
apply(drule-tac \ x=x \ in \ fun-cong, \ simp)
done
```

10 Collection Types

10.1 Prerequisite: An Abstract Interface for OCL Types

In order to have the possibility to nest collection types, it is necessary to introduce a uniform interface for types having the "invalid" (= bottom) element. In a second step, our base-types will be shown to be instances of this class.

This uniform interface consists in abstracting the null (which is defined by $\lfloor \perp \rfloor$ on 'a option option to a NULL - element, which may have an abritrary semantic structure, and an undefinedness element \perp to an abstract undefinedness element UU (also written \perp whenever no confusion arises). As a consequence, it is necessary to redefine the notions of invalid, defined, valuation etc. on top of this interface.

This interface consists in two abstract type classes bottom and null for the

```
class of all types comprising a bottom and a distinct null element.
class bottom =
  fixes UU :: 'a
  assumes nonEmpty: \exists x. x \neq UU
begin
  notation (xsymbols) UU (\bot)
end
class null = bottom +
  fixes NULL :: 'a
  assumes null-is-valid : NULL \neq UU
In the following it is shown that the option-option type type is in fact in the
null class and that function spaces over these classes again "live" in these
classes.
instantiation option :: (type)bottom
begin
  definition UU-option-def: (UU::'a\ option) \equiv (None::'a\ option)
  instance proof
           show \exists x::'a \ option. \ x \neq UU
           \mathbf{by}(rule\text{-}tac\ x=Some\ x\ \mathbf{in}\ exI,\ simp\ add:UU\text{-}option\text{-}def)
          qed
end
instantiation option :: (bottom)null
begin
  definition NULL-option-def: (NULL::'a::bottom\ option) \equiv \mid UU\mid
  instance proof show (NULL::'a::bottom\ option) \neq UU
                by( simp add:NULL-option-def UU-option-def)
          qed
\mathbf{end}
instantiation fun :: (type,bottom) bottom
begin
  definition UU-fun-def: UU \equiv (\lambda x. UU)
  instance proof show \exists (x::'a \Rightarrow 'b). \ x \neq UU
                apply(rule-tac \ x=\lambda -. \ (SOME \ y. \ y \neq UU) \ in \ exI, \ auto)
                apply(drule-tac \ x=x \ in \ fun-cong, auto \ simp: UU-fun-def)
                apply(erule\ contrapos-pp,\ simp)
                apply(rule some-eq-ex[THEN iffD2])
                apply(simp add: nonEmpty)
```

done

```
qed
```

 \mathbf{end}

```
instantiation fun :: (type,null) null begin definition NULL-fun-def: (NULL::'a \Rightarrow 'b::null) \equiv (\lambda \ x. \ NULL) instance proof show (NULL::'a \Rightarrow 'b::null) \neq \bot apply (auto \ simp: \ NULL-fun-def (uu) upply (drule-tac \ x=x \ in \ fun-cong) apply (drule-tac \ x=x \ in \ fun-cong) apply (erule \ contrapos-pp, \ simp \ add: \ null-is-valid) done qed end
```

A trivial consequence of this adaption of the interface is that abstract and concrete versions of NULL are the same on base types (as could be expected).

```
lemma [simp]: null = (NULL::('a)Integer)
by(rule ext,simp add: UU-option-def NULL-option-def null-def NULL-fun-def)
lemma [simp]: null = (NULL::('a)Boolean)
by(rule ext,simp add: UU-option-def NULL-option-def null-def NULL-fun-def)
```

```
lemma [simp]: 0 \neq NULL
by (simp \ add: zero-non-null[simplified])
```

Now, on this basis we generalize the concept of a valuation: we do no longer care that the \perp and NULL were actually constructed by the type constructor option; rather, we require that the type is just from the null-class:

```
type-synonym (^{\prime}\mathfrak{A},^{\prime}\alpha) val' = ^{\prime}\mathfrak{A} st \Rightarrow ^{\prime}\alpha::null
```

However, this has also the consequence that core concepts like defined ned or validness have to be redefined on this type class:

```
definition valid':: (\mathfrak{A}, 'a::null) val' \Rightarrow (\mathfrak{A}) Boolean \ (v' - [100]100)

where v' X \equiv \lambda \tau. if X \tau = UU \tau then false \tau else true \tau

definition defined':: (\mathfrak{A}, 'a::null) val' \Rightarrow (\mathfrak{A}) Boolean \ (\delta' - [100]100)

where \delta' X \equiv \lambda \tau. if X \tau = UU \tau \vee X \tau = NULL \tau then false \tau else true \tau
```

The generalized definitions of invalid and definedness have the same properties as the old ones:

```
 \begin{array}{l} \textbf{lemma} \ defined1[simp] \colon \delta' \ invalid = false \\ \textbf{by}(rule \ ext, simp \ add: \ defined'-def \ UU-fun-def \ UU-option-def \\ null-def \ invalid-def \ true-def \ false-def) \end{array}
```

lemma $defined2[simp]: \delta' null = false$

```
by (rule ext, simp add: defined'-def bot-fun-def UU-option-def
                       null-def NULL-option-def NULL-fun-def invalid-def true-def
false-def)
lemma defined3[simp]: \delta' \delta' X = true
 by(rule ext, auto simp: defined'-def true-def false-def
                       UU-fun-def UU-option-def NULL-option-def NULL-fun-def)
lemma valid_{4}[simp]: v'(X \triangleq Y) = true
  \mathbf{by}(rule\ ext,
    auto simp: valid'-def true-def false-def StrongEq-def
                       UU-fun-def UU-option-def NULL-option-def NULL-fun-def)
lemma defined4 [simp]: \delta'(X \triangleq Y) = true
 by(rule ext,
    auto simp: valid'-def defined'-def true-def false-def StrongEq-def
                       UU-fun-def UU-option-def NULL-option-def NULL-fun-def)
lemma defined5[simp]: \delta' v' X = true
 \mathbf{by}(rule\ ext,
    auto simp: valid'-def defined'-def true-def false-def StrongEq-def
                       UU-fun-def UU-option-def NULL-option-def NULL-fun-def)
lemma valid5[simp]: v' \delta' X = true
 \mathbf{by}(rule\ ext,
    auto simp: valid'-def defined'-def true-def false-def StrongEq-def
                       UU-fun-def UU-option-def NULL-option-def NULL-fun-def)
lemma cp-valid': (v'X) \tau = (v'(\lambda - X \tau)) \tau
by(simp add: valid'-def)
lemma cp-defined':(\delta' X)\tau = (\delta' (\lambda - X \tau)) \tau
by(simp add: defined'-def)
lemmas cp-intro[simp,intro!] =
      cp-defined'[THEN allI[THEN allI[THEN cpI1], of defined']
      cp	ext{-}valid'[THEN\ allI[THEN\ allI[THEN\ cpI1],\ of\ valid']}
      cp-intro
In fact, it can be proven for the base types that both versions of undefined
and invalid are actually the same:
lemma defined-is-defined': \delta X = \delta' X
\mathbf{by}(rule\ ext,
  auto simp: defined'-def defined-def true-def false-def false-def true-def
```

UU-fun-def UU-option-def NULL-option-def NULL-fun-def)

```
lemma valid-is-valid': v' X = v' X
by(rule ext,
auto simp: valid'-def valid-def true-def false-def false-def true-def
UU-fun-def UU-option-def NULL-option-def NULL-fun-def)
```

10.2 Example: The Set-Collection Type

For the semantic construction of the collection types, we have two goals:

- 1. we want the types to be *fully abstract*, i.e. the type should not contain junk-elements that are not representable by OCL expressions.
- 2. We want a possibility to nest collection types (so, we want the potential to talking about Set(Set(Sequences(Pairs(X,Y))))), and

The former principe rules out the option to define ' α Set just by (' \mathfrak{A} , (' α option option) set) val. This would allow sets to contain junk elements such as $\{\bot\}$ which we need to identify with undefinedness itself. Abandoning fully abstractness of rules would later on produce all sorts of problems when quantifying over the elements of a type. However, if we build an own type, then it must conform to our abstract interface in order to have nested types: arguments of type-constructors must conform to our abstract interface, and the result type too.

The core of an own type construction is done via a type definition which provides the raw-type ' α Set-0. it is shown that this type "fits" indeed into the abstract type interface discussed in the previous section.

```
instantiation Set-\theta :: (null)null
begin
   definition NULL-Set-\theta-def: (NULL::('a::null) Set-\theta) \equiv Abs-Set-\theta | None |
   instance proof show (NULL::('a::null) Set-0) \neq \bot
                   apply(simp add:NULL-Set-0-def bot-Set-0-def)
                   apply(subst\ Abs-Set-0-inject)
                   apply(simp-all add: Set-0-def bot-Set-0-def
                                          NULL-option-def UU-option-def)
                    done
             qed
end
... and lifting this type to the format of a valuation gives us:
type-synonym
                         (\mathfrak{A}, '\alpha) Set = (\mathfrak{A}, '\alpha) Set-0) val'
... which means that we can have a type (\mathfrak{A}, (\mathfrak{A}, \mathfrak{A}) Integer) Set) Set
corresponding exactly to Set(Set(Integer)) in OCL notation. Note that the
parameter 21 still refers to the object universe; making the OCL semantics
entirely parametric in the object universe makes it possible to study (and
prove) its properties independently from a concrete class diagram.
definition mtSet::('\mathfrak{A},'\alpha::null) Set (Set\{\})
where Set\{\} \equiv (\lambda \tau. Abs-Set-\theta | | \{\} :: '\alpha set | | )
Note that the collection types in OCL allow for NULL to be included; how-
ever, there is the NULL-collection into which inclusion yields invalid.
definition OclIncluding :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ val'] \Rightarrow ('\mathfrak{A}, '\alpha) \ Set
where
               OclIncluding x y = (\lambda \tau) if (\delta' x) \tau = true \tau \wedge (\upsilon' y) \tau = true \tau
                                         then Abs-Set-0 || \lceil [Rep\text{-Set-0}(x \tau)] \rceil \cup \{y \tau\} ||
                                         else UU)
definition OclIncludes :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ val'] \Rightarrow '\mathfrak{A} \ Boolean
where
               OclIncludes x y = (\lambda \tau) if (\delta' x) \tau = true \tau \wedge (v' y) \tau = true \tau
                                        else ||(y \tau) \in \lceil \lceil Rep\text{-}Set\text{-}\theta (x \tau) \rceil \rceil ||)
consts
                       :: ('\mathfrak{A}, '\alpha :: null) \ Set \Rightarrow '\mathfrak{A} \ Integer
    OclSize
    OclCount
                        :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow '\mathfrak{A} \ Integer
                        :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ val'] \Rightarrow '\mathfrak{A} \ Boolean
    OclExcludes
    OclExcluding :: [('\mathfrak{A},'\alpha::null) \ Set,('\mathfrak{A},'\alpha) \ val'] \Rightarrow ('\mathfrak{A},'\alpha) \ Set
                        :: (\mathfrak{A}, \alpha::null) Set \Rightarrow \mathfrak{A} Integer
    OclIncludesAll :: [('\mathfrak{A},'\alpha::null) \ Set,('\mathfrak{A},'\alpha) \ Set] \Rightarrow '\mathfrak{A} \ Boolean
```

```
OclExcludesAll :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow '\mathfrak{A} \ Boolean
    OclIsEmpty
                    :: ('\mathfrak{A}, '\alpha :: null) \ Set \Rightarrow '\mathfrak{A} \ Boolean
    OclNotEmpty :: ('\mathbb{A},'\alpha::null) Set \Rightarrow '\mathbb{A} Boolean
    OclComplement :: (\mathfrak{A}, \alpha::null) Set \Rightarrow (\mathfrak{A}, \alpha) Set
                     :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow ('\mathfrak{A}, '\alpha) \ Set
    OclIntersection:: [('\mathfrak{A}, '\alpha::null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow ('\mathfrak{A}, '\alpha) \ Set
notation
                    (- -> size '(') [66])
    OclSize
and
    OclCount
                      (-->count'(-') [66,65]65)
and
                    (-->includes'(-') [66,65]65)
    OclIncludes
and
                     (-->excludes'(-')) [66,65]65)
    OclExcludes
and
    OclSum
                      (- ->sum'(') [66])
and
    OclIncludesAll\ (-->includesAll'(-')\ [66,65]65)
and
    OclExcludesAll\ (-->excludesAll'(-')\ [66,65]65)
and
                      (- -> isEmpty'(') [66])
    OclIsEmpty
and
                      (--> notEmpty'(') [66])
    OclNotEmpty
and
    OclIncluding (-->including'(-'))
and
    OclExcluding (-->excluding'(-'))
and
    OclComplement (-->complement'('))
and
    OclUnion
                      (- -> union'( - ')
                                                    [66,65]65
and
    OclIntersection(-->intersection'(-') [71,70]70)
lemma including-strict1[simp]:(\bot -> including(x)) = \bot
by (simp add: UU-fun-def OclIncluding-def defined'-def valid'-def false-def true-def)
lemma including-strict2[simp]:(X->including(\bot)) = \bot
by (simp add: OclIncluding-def UU-fun-def defined'-def valid'-def false-def true-def)
lemma including-strict3[simp]:(NULL->including(x)) = \bot
by(simp add: OclIncluding-def UU-fun-def defined'-def valid'-def false-def true-def)
```

syntax

```
-OclFinset :: args => (^{\prime}\mathfrak{A},'a::null) \; Set \quad (Set\{(\cdot)\})  translations Set\{x, \, xs\} == CONST \; OclIncluding \; (Set\{xs\}) \; x  Set\{x\} == CONST \; OclIncluding \; (Set\{\}) \; x  lemma syntax\text{-}test: Set\{2,1\} = (Set\{\}->including(1)->including(2)) by simp end  theory \; OCL\text{-}tools \\ imports \; OCL\text{-}core \\ begin \\ end \\ theory \; OCL\text{-}main \\ imports \; OCL\text{-}lib \; OCL\text{-}tools \\ begin \\ end
```