# A. Overview of the OCL Semantics

# A.1. Introduction

This annex formally defines the semantics of OCL. It will proceed by describing the OCL semantics by a translation into a core language — called FeatherweightOCL— which has in itself a formally described semantics presented in Isabelle/HOL [25] <sup>1</sup>. The semantic definitions are in large parts executable, in some parts only provable, namely the essence of Set-constructions. The first goal of its construction is *consistency*, i. e. it should be possible to apply logical rules and/or evaluation rules for OCL in an arbitrary manner always yielding the same result. Moreover, except in pathological cases, this result should be unambiquously defined, i. e. represent a value.

In order to motivate the need for logical consistency and also the magnitude of the problem, we focus on one particular feature of the language as example: Tuples. Recall that tuples (in other languages known as records) are n-ary cartesian products with named components, where the component names are used also as projection functions: the special case Pair{x:First, y:Second} stands for the usual binary pairing operator Pair{true, null} and the two projection functions x.First() and x.Second(). For a developer of a compiler or proof-tool (based on, say, a connection to an SMT solver designed to animate OCL contracts) it would be natural to add the rules Pair{X,Y}.First() = X and Pair{X,Y}.Second() = Y to give pairings the usual semantics. At some place, the OCL Standard requires the existance of a constant symbol invalid and requires all operators to be strict. To implement this, the developer might be tempted to add a generator for corresponding strictness axioms, producing among hundreds of other rules Pair{invalid,Y}=invalid,Pair{X,invalid}=invalid,invalid.First()=invalid,invalid.Second etc. Unfortunately, this "natural" axiomatization of pairing and projection together with strictness is already

```
Pair{true, invalid}.First() = invalid.First() = invalid
```

and:

inconsistent. One can derive:

```
Pair{true, invalid}.First() = true
```

which then results in the absurd logical consequence that invalid = true. Obviously, we need to be more careful on the side-conditions of our rules<sup>2</sup>. And obviously, only a mechanized check of these definitions, following a rigourous methodology, can establish strong guarantees for logical consistency of the OCL language.

This leads us to our second goal of this annex: it should not only be usable by logicians, but also by developers of compilers and proof-tools. For this end, we *derived* from the Isabelle definitions also *logical rules* allowing

<sup>&</sup>lt;sup>1</sup>An updated, machine-checked version and formally complete version of this document is maintained by the Isabelle Archive of Formal Proofs (AFP), see http://afp.sourceforge.net/entries/Featherweight\_OCL.shtml

<sup>&</sup>lt;sup>2</sup>The solution to this little riddle can be found in Section B.2.7.

formal interactive and automated proofs on UML/OCL specifications, as well as *execution rules* and *test-cases* revealing corner-cases resulting from this semantics which give vital information for the implementor.

OCL is an annotation language for UML models, in particular class models allowing for specifying data and operations on them. As such, it is a *typed* object-oriented language. This means that it is — like Java or C++ — based on the concept of a *static type*, that is the type that the type-checker infers from a UML class model and its OCL annotation, as well as a *dynamic type*, that is the type at which an object is dynamically created <sup>3</sup>. Types are not only a means for efficient compilation and a support of separation of concerns in programming, there are of fundamental importance for our goal of logical consistency: it is impossible to have sets that contain themselves, i.e. to state Russels Paradox in OCL typed set-theory. Moreover, object-oriented typing means that types there can be in sub-typing relation; technically speaking, this means that they can be *casted* via oclisTypeOf(T) one to the other, and under particular conditions to be described in detail later, these casts are semantically *lossless*, i. e.

$$(X.oclAsType(C_i).oclAsType(C_i) = X)$$
(A.1)

(where  $C_j$  and  $C_i$  are class types.) Furthermore, object-orientedness means that operations and object-types can be grouped to *classes* on which an inheritance relation can be established; the latter induces a sub-type relation between the corresponding types.

Here is a feature-list of FeatherweightOCL:

- it specifies key built-in types such as Boolean, Void, Integer, Real and String as well as generic types such as Pair (T, T'), Sequence (T) and Set (T).
- it defines the semantics of the operations of these types in *denotational form* see explanation below —, and thus in an unambiguous (and in Isabelle/HOL executable or animatable) way.
- it develops the *theory* of these definitions, i.e. the collection of lemmas and theorems that can be proven from these definitions.
- all types in FeatherweightOCL contain the elements null and invalid; since this extends to Boolean type, this results in a four-valued logic. Consequently, FeatherweightOCL contains the derivation of the *logic* of OCL.
- collection types may contain null (so Set {null} is a defined set) but not invalid (Set {invalid} is just invalid).
- Wrt. to the static types, FeatherweightOCL is a strongly typed language in the Hindley-Milner tradition. We assume that a pre-process for full OCL eliminates all implicit conversions due to subtyping by introducing explicit casts (e. g., oclastype (Class)).
- FeatherweightOCL types may be arbitrarily nested. For example, the expression  $Set \{Set \{1, 2\}\} = Set \{Set \{2 \text{ is legal and true.}\}$

<sup>&</sup>lt;sup>3</sup>As side-effect free language, OCL has no object-constructors, but with OclisNew (), the effect of object creation can be expressed in a declarative way.

<sup>&</sup>lt;sup>4</sup>The details of such a pre-processing are described in [4].

- All objects types are represented in an object universe<sup>5</sup>. The universe construction also gives semantics to type casts, dynamic type tests, as well as functions such as oclAllInstances(), or oclIsNew(). The object universe onstruction is conceptually described and demonstrated at an example.
- As part of the OCL logic, FeatherweightOCL develops the theory of equality in UML/OCL. This includes
  the standard equality, which is a computable strict equality using the object references for comparison,
  and the not necessarily computable logical equality, which expresses the Leibniz principle that 'equals
  may be replaced by equals' in OCL terms.
- Technically, FeatherweightOCL is a *semantic embedding* into a powerful semantic meta-language and environment, namely Isabelle/HOL [25]. It is a so-called *shallow embedding* in HOL; this means that types in OCL were *injectively* represented by types in Isabelle/HOL. Ill-typed OCL specifications cannot therefore not be represented in FeatherweightOCL and a type in FeatherweightOCL contains exactly the values that are possible in OCL.

**Context.** This document stands in a more than fifteen years tradition of giving a formal semantics to the core of UML and its annotation language OCL, starting from Richters [30] and [17, 20, 24], leading to a number of formal, machine-checked versions, most notably HOL-OCL [5, 6, 9] and more recent approaches [14]. All of them have in common the attempt to reconcile the conflicting demands of an industrially used specification language and its various stakeholders, the needs of OMG standardization process and the desire for sufficient logical precision for tool-implementors, in particular from the Formal Methods research community.

To discuss the future directions of the standard, several OCL experts met in November 2013 in Aachen to discuss possible mid-term improvements of OCL, strategies of standardization of OCL within the OMG, and a vision for possible long-term developments of the language [13]. During this meeting, a Request for Proposals (RFP) for OCL 2.5 was finalized and meanwhile proposed. In particular, this RFP requires that the future OCL 2.5 standard document shall be generated from a machine-checked source. This will ensure

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- the absence of syntax errors,
- the consistency of the formal semantics,
- a suite of corner-cases relevant for OCL tool implementors.

**Organization of this document.** This document is organized as follows. After a brief background section introducing a running example and basic knowledge on Isabelle/HOL and its formal notations, we present the formal semantics of FeatherweightOCL introducing:

- 1. A conceptual description of the formal semantics, highlighting the essentials and avoiding the definitions in detail.
- 2. A detailed formal description. This covers:
  - a) OCL Types and their presentation in Isabelle/HOL,

<sup>&</sup>lt;sup>5</sup>following the tradition of HOL-OCL [6]

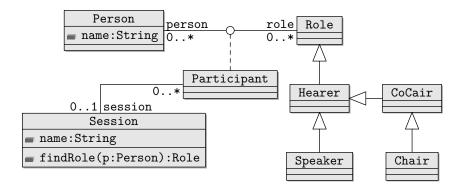


Figure A.1.: A simple UML class model representing a conference system for organizing conference sessions: persons can participate, in different roles, in a session.

- b) OCL Terms, i.e. the semantics of library operators, together with definitions, lemmas, and test cases for the implementor,
- c) UML/OCL Constructs, i. e. a core of UML class models plus user-defined constructions on them such as class-invariants and oppration constructs.
- 3. Since the latter, i.e. the construction of UML class models, has to be done on the meta-level (so not *inside* HOL, rather on the level of a pre-compiler), we will describe this process with two larger examples, namely formalizations of our running example.

# A.2. Background

# A.2.1. A Running Example for UML/OCL

The Unified Modeling Language (UML) [26, 27] comprises a variety of model types for describing static (e.g., class models, object models) and dynamic (e.g., state-machines, activity graphs) system properties. One of the more prominent model types of the UML is the *class model* (visualized as *class diagram*) for modeling the underlying data model of a system in an object-oriented manner. As a running example, we model a part of a conference management system. Such a system usually supports the conference organizing process, e.g., creating a conference Website, reviewing submissions, registering attendees, organizing the different sessions and tracks, and indexing and producing the resulting proceedings. In this example, we constrain ourselves to the process of organizing conference sessions; Figure A.1 shows the class model. We model the hierarchy of roles of our system as a hierarchy of classes (e.g., Hearer, Speaker, or Chair) using an *inheritance* relation (also called *generalization*). In particular, *inheritance* establishes a *subtyping* relationship, i. e., every Speaker (*subclass*) is also a Hearer (*superclass*).

A class does not only describe a set of *instances* (called *objects*), i. e., record-like data consisting of *attributes* such as name of class Session, but also *operations* defined over them. For example, for the class Session, representing a conference session, we model an operation findRole (p:Person): Role that should return the role of a Person in the context of a specific session; later, we will describe the behavior of this operation

FiXme: REWRITE THIS FOR THE ANNEX A: SHORTEN! in more detail using UML. In the following, the term object describes a (run-time) instance of a class or one of its subclasses.

Relations between classes (called associations in UML) can be represented in a class diagram by connecting lines, e.g., Participant and Session or Person and Role. Associations may be labeled by a particular constraint called multiplicity, e.g., 0..\* or 0..1, which means that in a relation between participants and sessions, each Participant object is associated to at most one Session object, while each Session object may be associated to arbitrarily many Participant objects. Furthermore, associations may be labeled by projection functions like person and role; these implicit function definitions allow for OCL-expressions like self.person, where self is a variable of the class Role. The expression self.person denotes persons being related to the specific object self of type role. A particular feature of the UML are association classes (Participant in our example) which represent a concrete tuple of the relation within a system state as an object; i.e., associations classes allow also for defining attributes and operations for such tuples. In a class diagram, association classes are represented by a dotted line connecting the class with the association. Associations classes can take part in other associations. Moreover, UML supports also n-ary associations (not shown in our example).

We refine this data model using the Object Constraint Language (OCL) for specifying additional invariants, preconditions and postconditions of operations. For example, we specify that objects of the class Person are uniquely determined by the value of the name attribute and that the attribute name is not equal to the empty string (denoted by ''):

```
context Person
  inv: name <> '' and
    Person::allInstances()->isUnique(p:Person | p.name)
```

Moreover, we specify that every session has exactly one chair by the following invariant (called onlyOneChair) of the class Session:

where p.role.ocllsTypeOf (Chair) evaluates to true, if p.role is of dynamic type Chair. Besides the usual static types (i.e., the types inferred by a static type inference), objects in UML and other object-oriented languages have a second dynamic type concept. This is a consequence of a family of casting functions (written  $o_{[C]}$  for an object o into another class type C) that allows for converting the static type of objects along the class hierarchy. The dynamic type of an object can be understood as its "initial static type" and is unchanged by casts. We complete our example by describing the behavior of the operation findRole as follows:

where in post-conditions, the operator <code>@pre</code> allows for accessing the previous state.

In UML, classes can contain attributes of the type of the defining class. Thus, UML can represent (mutually) recursive datatypes. Moreover, OCL introduces also recursively specified operations.

A key idea of defining the semantics of UML and extensions like SecureUML [10] is to translate the diagrammatic UML features into a combination of more elementary features of UML and OCL expressions [19]. For example, associations are usually represented by collection-valued class attributes together with OCL constraints expressing the multiplicity. Thus, having a semantics for a subset of UML and OCL is tantamount for the foundation of the entire method.

#### A.2.2. Formal Foundation

#### Isabelle

Isabelle [25] is a *generic* theorem prover. New object logics can be introduced by specifying their syntax and natural deduction inference rules. Among other logics, Isabelle supports first-order logic, Zermelo-Fraenkel set theory and the instance for Church's higher-order logic (HOL).

Isabelle's inference rules are based on the built-in meta-level implication  $\implies$  allowing to form constructs like  $A_1 \Longrightarrow \cdots \Longrightarrow A_n \Longrightarrow A_{n+1}$ , which are viewed as a *rule* of the form "from assumptions  $A_1$  to  $A_n$ , infer conclusion  $A_{n+1}$ " and which is written in Isabelle as

$$[\![A_1;\ldots;A_n]\!] \Longrightarrow A_{n+1}$$
 or, in mathematical notation,  $\frac{A_1 \cdots A_n}{A_{n+1}}$ . (A.2)

The built-in meta-level quantification  $\bigwedge x$ . x captures the usual side-constraints "x must not occur free in the assumptions" for quantifier rules; meta-quantified variables can be considered as "fresh" free variables. Meta-level quantification leads to a generalization of Horn-clauses of the form:

$$\bigwedge x_1, \dots, x_m. \ \llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A_{n+1}.$$
 (A.3)

Isabelle supports forward- and backward reasoning on rules. For backward-reasoning, a *proof-state* can be initialized and further transformed into others. For example, a proof of  $\phi$ , using the Isar [33] language, will look as follows in Isabelle:

lemma label: 
$$\phi$$
apply(case\_tac)
apply(simp\_all)
done

(A.4)

This proof script instructs Isabelle to prove  $\phi$  by case distinction followed by a simplification of the resulting proof state. Such a proof state is an implicitly conjoint sequence of generalized Horn-clauses (called *subgoals*)  $\phi_1, \ldots, \phi_n$  and a *goal*  $\phi$ . Proof states were usually denoted by:

label: 
$$\phi$$
1.  $\phi_1$ 

:

 $n. \phi_n$ 

(A.5)

Subgoals and goals may be extracted from the proof state into theorems of the form  $[\![\phi_1;\ldots;\phi_n]\!] \Longrightarrow \phi$  at any time; this mechanism helps to generate test theorems. Further, Isabelle supports meta-variables (written  $[x, y, \ldots)$ ), which can be seen as "holes in a term" that can still be substituted. Meta-variables are instantiated by Isabelle's built-in higher-order unification.

### **Higher-order Logic (HOL)**

Higher-order logic (HOL) [1, 15] is a classical logic based on a simple type system. It provides the usual logical connectives like  $\_\land\_$ ,  $\_\rightarrow\_$ ,  $\lnot\_$  as well as the object-logical quantifiers  $\forall x.\ Px$  and  $\exists x.\ Px$ ; in contrast to first-order logic, quantifiers may range over arbitrary types, including total functions  $f:: \alpha \Rightarrow \beta$ . HOL is centered around extensional equality  $\_=\_:: \alpha \Rightarrow \alpha \Rightarrow \text{bool}$ . HOL is more expressive than first-order logic, since, e. g., induction schemes can be expressed inside the logic. Being based on some polymorphically typed  $\lambda$ -calculus, HOL can be viewed as a combination of a programming language like SML or Haskell and a specification language providing powerful logical quantifiers ranging over elementary and function types.

Isabelle/HOL is a logical embedding of HOL into Isabelle. The (original) simple-type system underlying HOL has been extended by Hindley-Milner style polymorphism with type-classes similar to Haskell. While Isabelle/HOL is usually seen as proof assistant, we use it as symbolic computation environment. Implementations on top of Isabelle/HOL can re-use existing powerful deduction mechanisms such as higher-order resolution, tableaux-based reasoners, rewriting procedures, Presburger arithmetic, and via various integration mechanisms, also external provers such as Vampire [29] and the SMT-solver Z3 [18].

Isabelle/HOL offers support for a particular methodology to extend given theories in a logically safe way: A theory-extension is *conservative* if the extended theory is consistent provided that the original theory was consistent. Conservative extensions can be *constant definitions*, *type definitions*, *datatype definitions*, *primitive recursive definitions* and *wellfounded recursive definitions*.

For instance, the library includes the type constructor  $\tau_{\perp} := \bot \mid_{\sqsubseteq} : \alpha$  that assigns to each type  $\tau$  a type  $\tau_{\perp}$  disjointly extended by the exceptional element  $\bot$ . The function  $\Box : \alpha_{\perp} \to \alpha$  is the inverse of  $\Box$  (unspecified for  $\bot$ ). Partial functions  $\alpha \to \beta$  are defined as functions  $\alpha \to \beta_{\perp}$  supporting the usual concepts of domain (dom  $\_$ ) and range (ran  $\_$ ).

As another example of a conservative extension, typed sets were built in the Isabelle libraries conservatively on top of the kernel of HOL as functions to bool; consequently, the constant definitions for membership is as follows:<sup>6</sup>

types 
$$\alpha$$
 set  $= \alpha \Rightarrow \text{bool}$ 

definition Collect  $::(\alpha \Rightarrow \text{bool}) \Rightarrow \alpha$  set — set comprehension

where Collect  $S \equiv S$  — member since  $S \equiv S$  — membership test

where member  $S S \equiv S S$ 

Isabelle's syntax engine is instructed to accept the notation  $\{x \mid P\}$  for Collect  $\lambda x$ . P and the notation  $s \in S$  for member sS. As can be inferred from the example, constant definitions are axioms that introduce a fresh constant symbol by some closed, non-recursive expressions; this type of axiom is logically safe since it works like an abbreviation. The syntactic side conditions of this axiom are mechanically checked, of course. It is

<sup>&</sup>lt;sup>6</sup>To increase readability, we use a slightly simplified presentation.

straightforward to express the usual operations on sets like  $\_\cup\_,\_\cap\_::\alpha$  set  $\Rightarrow \alpha$  set  $\Rightarrow \alpha$  set as conservative extensions, too, while the rules of typed set theory were derived by proofs from these definitions.

Similarly, a logical compiler is invoked for the following statements introducing the types option and list:

datatype option = None | Some 
$$\alpha$$
  
datatype  $\alpha$  list = Nil | Cons  $\alpha$  (A.7)

Here, [] or a#l are an alternative syntax for Nil or Cons a l; moreover, [a,b,c] is defined as alternative syntax for a#b#c#[]. These (recursive) statements were internally represented in by internal type and constant definitions. Besides the *constructors* None, Some, [] and Cons, there is the match operation

case x of None 
$$\Rightarrow F \mid \text{Some } a \Rightarrow Ga$$
 (A.8)

respectively

case x of 
$$]\Rightarrow F \mid \text{Cons } ar \Rightarrow Gar.$$
 (A.9)

From the internal definitions (not shown here) several properties were automatically derived. We show only the case for lists:

$$(\operatorname{case} [] \text{ of } [] \Rightarrow F \mid (a\#r) \Rightarrow Gar) = F$$

$$(\operatorname{case} b\#t \text{ of } [] \Rightarrow F \mid (a\#r) \Rightarrow Gar) = Gbt$$

$$[] \neq a\#t \qquad - \text{ distinctness}$$

$$[a = [] \rightarrow P; \exists x t. \ a = x\#t \rightarrow P] \Longrightarrow P \qquad - \text{ exhaust}$$

$$[P[]; \forall at. \ Pt \rightarrow P(a\#t)] \Longrightarrow Px \qquad - \text{ induct}$$

$$(A.10)$$

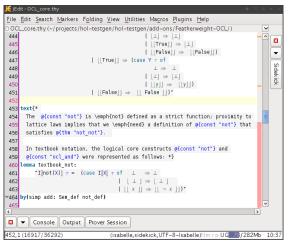
Finally, there is a compiler for primitive and wellfounded recursive function definitions. For example, we may define the sort operation of our running test example by:

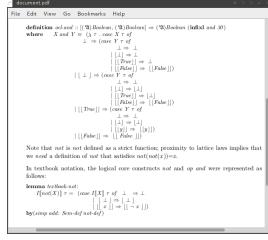
fun ins ::[
$$\alpha$$
::linorder,  $\alpha$  list]  $\Rightarrow \alpha$  list  
where ins  $x[]$  = [ $x$ ] (A.11)  
ins  $x(y\#ys)$  = if  $x < y$  then  $x\#y\#ys$  else  $y\#(ins xys)$ 

fun sort ::(
$$\alpha$$
::linorder) list  $\Rightarrow \alpha$  list  
where sort [] = [] (A.12)  
sort( $x\#xs$ ) = ins  $x$  (sort  $xs$ )

The internal (non-recursive) constant definition for these operations is quite involved; however, the logical compiler will finally derive all the equations in the statements above from this definition and make them available for automated simplification.

Thus, Isabelle/HOL also provides a large collection of theories like sets, lists, multisets, orderings, and various arithmetic theories which only contain rules derived from conservative definitions. In particular, Isabelle manages a set of *executable types and operators*, i. e., types and operators for which a compilation to SML, OCaml or Haskell is possible. Setups for arithmetic types such as int have been done; moreover any datatype and any recursive function were included in this executable set (providing that they only consist of executable operators). Similarly, Isabelle manages a large set of (higher-order) rewrite rules into which recursive function definitions were included. Provided that this rule set represents a terminating and confluent rewrite system, the Isabelle simplifier provides also a highly potent decision procedure for many fragments of theories underlying the constraints to be processed when constructing test theorems.





(a) The Isabelle ¡Edit environment.

(b) The generated formal document.

Figure A.2.: Generating documents with guaranteed syntactical and semantical consistency.

### A.2.3. How this Annex A was Generated from Isabelle/HOL Theories

Isabelle, as a framework for building formal tools [32], provides the means for generating *formal documents*. With formal documents (such as the one you are currently reading) we refer to documents that are machine-generated and ensure certain formal guarantees. In particular, all formal content (e.g., definitions, formulae, types) are checked for consistency during the document generation.

For writing documents, Isabelle supports the embedding of informal texts using a LATEX-based markup language within the theory files. To ensure the consistency, Isabelle supports to use, within these informal texts, antiquotations that refer to the formal parts and that are checked while generating the actual document as PDF. For example, in an informal text, the antiquotation  $@\{thm "not_not"\}$  will instruct Isabelle to lock-up the (formally proven) theorem of name ocl\_not\_not and to replace the antiquotation with the actual theorem, i.e., not (not x) = x.

Figure A.2 illustrates this approach: Figure A.2a shows the jEdit-based development environment of Isabelle with an excerpt of one of the core theories of FeatherweightOCL . Figure A.2b shows the generated PDF document where all antiquotations are replaced. Moreover, the document generation tools allows for defining syntactic sugar as well as skipping technical details of the formalization.

Thus, applying the FeatherweightOCL approach to writing an updated Annex A that provides a formal semantics of the most fundamental concepts of OCL would ensure

- 1. that all formal context is syntactically correct and well-typed, and
- 2. all formal definitions and the derived logical rules are semantically consistent.

Overall, this would contribute to one of the main goals of the OCL 2.5 RFP, as discussed at the OCL meeting in Aachen [13].

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# A.3. The Essence of UML-OCL Semantics

# A.3.1. The Theory Organization

The semantic theory is organized in a quite conventional manner in three layers. The first layer, called the *denotational semantics* comprises a set of definitions of the operators of the language. Presented as *definitional axioms* inside Isabelle/HOL, this part assures the logically consistency of the overall construction. The denotational definitions of types, constants and operations, and OCL contracts represent the "gold standard" of the semantics. The second layer, called *logical layer*, is derived from the former and centered around the notion of validity of an OCL formula P for a state-transition from pre-state  $\sigma$  to post-state  $\sigma'$ , validity statements were written  $(\sigma, \sigma') \models P$ . Its major purpose is to logically establish facts (lemmas and theorems) about the denotational definitions. The third layer, called *algebraic layer*, also derived from the former layers, tries to establish algebraic laws of the form P = P'; such laws are amenable to equational reasoning and also help for automated reasoning and code-generation. For an implementor of an OCL compiler, these consequences are of most interest.

For space reasons, we will restrict ourselves in this paper to a few operators and make a traversal through all three layers to give a high-level description of our formalization. Especially, the details of the semantic construction for sets and the handling of objects and object universes were excluded from a presentation here.

## **Denotational Semantics of Types**

The syntactic material for type expressions, called TYPES(C), is inductively defined as follows:

- $C \subseteq \text{TYPES}(C)$
- ullet Boolean, Integer, Real, Void, ... are elements of TYPES(C)
- Sequence(X), Set(X), et Pair(X, Y) (as example for a Tuple-type) are in TYPES(C) (if X,  $Y \in TYPES(C)$ ).

Types were directly represented in FeatherweightOCL by types in HOL; consequently, any FeatherweightOCL type must provide elements for a bottom element (also denoted  $\perp$ ) and a null element; this is enforced in Isabelle by a type-class null that contains two distinguishable elements bot and null (see Section B.1.1 for the details of the construction).

Moreover, the representation mapping from OCL types to FeatherweightOCL is one-to-one (i. e. injective), and the corresponding FeatherweightOCL types were constructed to represent *exactly* the elements ("no junk, no confucion elements") of their OCL counterparts. The corresponding FeatherweightOCL types were constructed in two stages: First, a *base type* is constructed whose carrier set contains exactly the elements of the OCL type. Secondly, this base type is lifted to a *valuation* type that we use for type-checking FeatherweightOCL constants, operations, and expressions. The valuation type takes into account that some UML-OCL functions of its OCL type (namely: accessors in path-expressions) depend on a pre- and a post-state.

For most base types like  $Boolean_{base}$  or  $Integer_{base}$ , it suffices to double-lift a HOL library type:

type<sub>s</sub>ynonym Boolean<sub>base</sub> := 
$$bool_{\perp}$$
 (A.13)

As a consequence of this definition of the type, we have the elements  $\bot$ ,  $\bot$ ,  $\bot$ ,  $\bot$ ,  $\bot$ true,  $\bot$ ,  $\bot$  in the carrier-set of Boolean<sub>base</sub>. We can therefore use the element  $\bot$  to define the generic type class element  $\bot$  and  $\bot$  for the generic type class null. For collection types and object types this definition is more evolved (see Section B.1.1).

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With respect the valuation types for OCL expression in general and Boolean expressions in particular, they depend on the pair  $(\sigma, \sigma')$  of pre-and post-state. Thus, we define valuation types by the synonym:

type<sub>s</sub>ynonym 
$$V_{??}(\alpha) := state(??) \times state(??) \rightarrow \alpha :: null$$
. (A.14)

The valuation type for boolean, integer, etc. OCL terms is therefore defined as:

type<sub>s</sub>ynonym Boolean<sub>??</sub> := 
$$V_{??}$$
(Boolean<sub>base</sub>)  
type<sub>s</sub>ynonym Integer<sub>??</sub> :=  $V_{??}$ (Integer<sub>base</sub>)

the other cases are analogous. In the subsequent subsections, we will drop the index ?? since it is constant in all formulas and expressions except for operations related to the object universe construction in ??

The rules of the logical layer (there are no algebraic rules related to the semantics of types), and more details can be found in Section B.1.1.

## A.3.2. Denotational Semantics of Constants and Operations

We use the notation  $I\llbracket E \rrbracket \tau$  for the semantic interpretation function as commonly used in mathematical textbooks and the variable  $\tau$  standing for pairs of pre- and post state  $(\sigma, \sigma')$ . OCL provides for all OCL types the constants invalid for the exceptional computation result and null for the non-existing value. Thus we define:

$$I[[ exttt{invalid}::V(lpha)]] au \equiv ext{bot} \qquad I[[ exttt{null}::V(lpha)]] au \equiv ext{null}$$

For the concrete Boolean-type, we define similarly the boolean constants true and false as well as the fundamental tests for definedness and validity (generically defined for all types):

$$I[[\texttt{true}::\texttt{Boolean}]]\tau = [\texttt{true}] \qquad I[[\texttt{false}]]\tau = [\texttt{false}]$$
 
$$I[[X.oclisUndefined()]]\tau = (\text{if} I[[X]]\tau \in \{\text{bot}, \text{null}\} \text{then} I[[\texttt{true}]]\tau \text{else} I[[\texttt{false}]]\tau)$$
 
$$I[[X.oclisInvalid()]]\tau = (\text{if} I[[X]]\tau = \text{botthen} I[[\texttt{true}]]\tau \text{else} I[[\texttt{false}]]\tau)$$

For reasons of conciseness, we will write  $\delta X$  for not  $(X \cdot \text{collsUndefined()})$  and v X for not  $(X \cdot \text{collsInvalid})$  throughout this document.

Due to the used style of semantic representation (a shallow embedding) I is in fact superfluous and defined semantically as the identity  $\lambda x.x$ ; instead of:

$$I[[true::Boolean]] au = \_true_{\bot}$$

we can therefore write:

true::Boolean = 
$$\lambda \tau_{...}$$
true\_...

In Isabelle theories, this particular presentation of definitions paves the way for an automatic check that the underlying equation has the form of an *axiomatic definition* and is therefore logically safe.

On this basis, one can define the core logical operators not and and as follows:

$$I[\![\mathsf{not}\,X]\!]\tau = (\mathsf{case}\,I[\![X]\!]\tau \mathsf{of}$$

$$\bot \qquad \Rightarrow \bot$$

$$|[\![\bot]\!] \Rightarrow [\![\bot]\!]$$

$$|[\![X\,\mathsf{and}\,Y]\!]\tau = (\mathsf{case}\,I[\![X]\!]\tau \mathsf{of}$$

$$\bot \qquad \Rightarrow (\mathsf{case}\,I[\![Y]\!]\tau \mathsf{of}$$

$$\bot \qquad \Rightarrow \bot$$

$$|[\![\bot]\!] \Rightarrow \bot$$

$$|[\![\mathsf{true}]\!] \Rightarrow \bot$$

$$|[\![\mathsf{false}]\!] \Rightarrow [\![\mathsf{false}]\!])$$

$$|[\![\bot]\!] \Rightarrow (\mathsf{case}\,I[\![Y]\!]\tau \mathsf{of}$$

$$\bot \qquad \Rightarrow \bot$$

$$|[\![\bot]\!] \Rightarrow [\![\bot]\!]$$

$$|[\![\mathsf{true}]\!] \Rightarrow [\![\mathsf{false}]\!])$$

$$|[\![\mathsf{true}]\!] \Rightarrow (\mathsf{case}\,I[\![Y]\!]\tau \mathsf{of}$$

$$\bot \qquad \Rightarrow \bot$$

$$|[\![\bot]\!] \Rightarrow [\![\bot]\!]$$

$$|[\![\mathsf{true}]\!] \Rightarrow (\mathsf{case}\,I[\![Y]\!]\tau \mathsf{of}$$

$$\bot \qquad \Rightarrow \bot$$

$$|[\![\bot]\!] \Rightarrow [\![\bot]\!]$$

$$|[\![\mathsf{false}]\!] \Rightarrow [\![\mathsf{false}]\!])$$

These non-strict operations were used to define the other logical connectives in the usual classical way:  $X \circ Y \equiv (\text{not } X) \text{ and } (\text{not } Y) \text{ or } X \text{ implies } Y \equiv (\text{not } X) \text{ or } Y.$ 

The default semantics for an OCL library operator is strict semantics; this means that the result of an operation f is invalid if one of its arguments is +invalid+ or +null+. The definition of the addition for integers as default variant reads as follows:

$$\begin{split} I[\![x+y]\!]\tau = & \quad \text{if} I[\![\delta \, x]\!]\tau = I[\![\texttt{true}]\!]\tau \wedge I[\![\delta \, y]\!]\tau = I[\![\texttt{true}]\!]\tau \\ & \quad \text{then} \lfloor \lfloor \lceil \lceil I[\![x]\!]\tau \rceil \rceil + \lceil \lceil I[\![y]\!]\tau \rceil \rceil \rfloor \rfloor \rfloor \\ & \quad \text{else} \, \bot \end{split}$$

where the operator "+" on the left-hand side of the equation denotes the OCL addition of type  $Integer \Rightarrow Integer \Rightarrow Integer \Rightarrow Integer while the "+" on the right-hand side of the equation of type <math>[int, int] \Rightarrow int denotes the integer-addition from the HOL library.$ 

There are cases where stricness is handled differently: For example, since Set's may contain the null-element, it is necessary to allow null as argument for \_->including():

$$I[\![S \operatorname{->including}(y)]\!]\tau = \quad \text{if} I[\![\delta S]\!]\tau = I[\![\mathtt{true}]\!]\tau \wedge I[\![\upsilon y]\!]\tau = I[\![\mathtt{true}]\!]\tau \\ \quad \text{then} \mathsf{Abs\_Set}_{\mathsf{base}} \sqsubseteq \mathsf{Rep\_Set}_{\mathsf{base}} I[\![S]\!]\tau^{\sqcap} \cup \{I[\![y]\!]\tau\}_{\sqcup l}$$

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we must uniformize the list vs. lfloor notation. Either the one or the other. Here, the operator  $\_\cup\_$  stems from the HOL set theory, together with the set inclusion  $\{\_\}$ . The operator  $Abs\_Set_{base}$  is the constructor for the FeatherweightOCL Set type, whereas  $Rep\_Set_{base}$  is its destructor (see Section B.1.1 for details). There is even one more variant of a strict basic OCL operation: the referential equality  $\_=\_$ . Since the comparison with must be possible and since the referential equality should be symmetric, should be allowed for *both* arguments and the expression:

$$null = null$$
 (A.15)

should be valid and true. The details were discussed in the next session.

### **Logical Layer**

The topmost goal of the logic for OCL is to define the *validity statement*:

$$(\sigma, \sigma') \models P$$
,

where  $\sigma$  is the pre-state and  $\sigma'$  the post-state of the underlying system and P is a formula, i.e. and OCL expression of type Boolean. Informally, a formula P is valid if and only if its evaluation in  $(\sigma, \sigma')$  (i.e.,  $\tau$  for short) yields true. Formally this means:

$$\tau \models P \equiv (I \llbracket P \rrbracket \tau = \operatorname{true}_{\sqcup}).$$

On this basis, classical, two-valued inference rules can be established for reasoning over the logical connectives, the different notions of equality, definedness and validity. Generally speaking, rules over logical validity can relate bits and pieces in various OCL terms and allow—via strong logical equality discussed below—the replacement of semantically equivalent sub-expressions. The core inference rules are:

$$\tau \models \texttt{true} \quad \neg(\tau \models \texttt{false}) \quad \neg(\tau \models \texttt{invalid}) \quad \neg(\tau \models \texttt{null})$$

$$\tau \models \texttt{not} \ P \Longrightarrow \neg(\tau \models P)$$

$$\tau \models P \ \texttt{and} \ Q \Longrightarrow \tau \models P \quad \tau \models P \ \texttt{and} \ Q \Longrightarrow \tau \models Q$$

$$\tau \models P \Longrightarrow \tau \models P \ \texttt{or} \ Q \quad \tau \models Q \tau \Longrightarrow \models P \ \texttt{or} \ Q$$

$$\tau \models P \Longrightarrow (\texttt{if} \ P \ \texttt{then} \ B_1 \ \texttt{else} \ B_2 \ \texttt{endif}) \tau = B_1 \tau$$

$$\tau \models \texttt{not} \ P \Longrightarrow (\texttt{if} \ P \ \texttt{then} \ B_1 \ \texttt{else} \ B_2 \ \texttt{endif}) \tau = B_2 \tau$$

$$\tau \models P \Longrightarrow \tau \models \delta P \quad \tau \models \delta X \Longrightarrow \tau \models \upsilon X$$

By the latter two properties it can be inferred that any valid property P (so for example: a valid invariant) is defined, which allows to infer for terms composed by strict operations that their arguments and finally the variables occurring in it are valid or defined.

The mandatory part of the OCL standard refers to an equality (written x = y or x <> y for its negation), which is intended to be a strict operation (thus: invalid = y evaluates to invalid) and which uses the references of objects in a state when comparing objects, similarly to C++ or Java. In order to avoid confusions, we will use the following notations for equality:

1. The symbol \_ = \_ remains to be reserved to the HOL equality, i. e. the equality of our semantic metalanguage,

- 2. The symbol  $\_ \triangleq \_$  will be used for the *strong logical equality*, which follows the general logical principle that "equals can be replaced by equals,"  $^7$  and is at the heart of the OCL logic,
- 3. The symbol  $\_ \doteq \_$  is used for the strict referential equality, i.e. the equality the mandatory part of the OCL standard refers to by the  $\_ = \_$  symbol.

The strong logical equality is a polymorphic concept which is defined polymorphically for all OCL types by:

$$I\llbracket X \triangleq Y \rrbracket \tau \equiv \prod I \llbracket X \rrbracket \tau = I \llbracket Y \rrbracket \tau \prod$$

It enjoys nearly the laws of a congruence:

$$\tau \models (x \triangleq x)$$

$$\tau \models (x \triangleq y) \Longrightarrow \tau \models (y \triangleq x)$$

$$\tau \models (x \triangleq y) \Longrightarrow \tau \models (y \triangleq z) \Longrightarrow \tau \models (x \triangleq z)$$

$$cp P \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow \tau \models (Px) \Longrightarrow \tau \models (Py)$$

where the predicate cp stands for *context-passing*, a property that is true for all pure OCL expressions (but not arbitrary mixtures of OCL and HOL) in FeatherweightOCL. The necessary side-calculus for establishing cp can be fully automated; the reader interested in the details is referred to Section B.2.1.

The strong logical equality of FeatherweightOCL give rise to a number of further rules and derived properties, that clarify the role of strong logical equality and the boolean constants in OCL specifications:

$$\tau \models \delta \, x \lor \tau \models x \triangleq \mathtt{invalid} \lor \tau \models x \triangleq \mathtt{null},$$
 
$$(\tau \models A \triangleq \mathtt{invalid}) = (\tau \models \mathtt{not}(\upsilon A))$$
 
$$(\tau \models A \triangleq \mathtt{true}) = (\tau \models A) \qquad (\tau \models A \triangleq \mathtt{false}) = (\tau \models \mathtt{not}A)$$
 
$$(\tau \models \mathtt{not}(\delta x)) = (\neg \tau \models \delta x) \qquad (\tau \models \mathtt{not}(\upsilon x)) = (\neg \tau \models \upsilon x)$$

The logical layer of the FeatherweightOCL rules gives also a means to convert an OCL formula living in its four-valued world into a representation that is classically two-valued and can be processed by standard SMT solvers such as CVC3 [2] or Z3 [18].  $\delta$ -closure rules for all logical connectives have the following format, e. g.:

$$\tau \models \delta x \Longrightarrow (\tau \models \text{not } x) = (\neg(\tau \models x))$$

$$\tau \models \delta x \Longrightarrow \tau \models \delta y \Longrightarrow (\tau \models x \text{ and } y) = (\tau \models x \land \tau \models y)$$

$$\tau \models \delta x \Longrightarrow \tau \models \delta y$$

$$\Longrightarrow (\tau \models (x \text{ implies } y)) = ((\tau \models x) \longrightarrow (\tau \models y))$$

Together with the already mentioned general case-distinction

$$\tau \models \delta x \lor \tau \models x \triangleq \text{invalid} \lor \tau \models x \triangleq \text{null}$$

which is possible for any OCL type, a case distinction on the variables in a formula can be performed; due to strictness rules, formulae containing somewhere a variable *x* that is known to be invalid or null reduce

<sup>&</sup>lt;sup>7</sup>Strong logical equality is also referred as "Leibniz"-equality.

usually quickly to contradictions. For example, we can infer from an invariant  $\tau \models x \doteq y - 3$  that we have  $\tau \models x \doteq y - 3 \land \tau \models \delta x \land \tau \models \delta y$ . We call the latter formula the  $\delta$ -closure of the former. Now, we can convert a formula like  $\tau \models x > 0$  or 3 \* y > x \* x into the equivalent formula  $\tau \models x > 0 \lor \tau \models 3 * y > x * x$  and thus internalize the OCL-logic into a classical (and more tool-conform) logic. This works—for the price of a potential, but due to the usually "rich"  $\delta$ -closures of invariants rare—exponential blow-up of the formula for all OCL formulas.

### **Algebraic Layer**

Based on the logical layer, we build a system with simpler rules which are amenable to automated reasoning. We restrict ourselves to pure equations on OCL expressions.

Our denotational definitions on not and and can be re-formulated in the following ground equations:

```
v invalid = false
                                   v null = true
             v true = true
                                  v false = true
         \delta invalid = false
                                  \delta null = false
             \delta true = true
                                 \delta false = true
      not invalid = invalid
                                    not null = null
          not true = false
                                   not false = true
(null and true) = null
                               (null and false) = false
(null and null) = null
                            (null and invalid) = invalid
                                 (false and false) = false
(false and true) = false
(false and null) = false
                              (false and invalid) = false
(true and true) = true
                               (true and false) = false
(true and null) = null
                            (true and invalid) = invalid
                (invalid and true) = invalid
               (invalid and false) = false
                (invalid and null) = invalid
            (invalid and invalid) = invalid
```

On this core, the structure of a conventional lattice arises:

```
X and X=X   X and Y=Y and X false and X= false   X and false = false true and X=X   X and true = X   X and X=X   X and X=X   X and X=X
```

as well as the dual equalities for  $_{\circ}$   $_{\circ}$   $_{\circ}$  and the De Morgan rules. This wealth of algebraic properties makes the understanding of the logic easier as well as automated analysis possible: it allows for, for example, computing a DNF of invariant systems (by clever term-rewriting techniques) which are a prerequisite for  $\delta$ -closures.

The above equations explain the behavior for the most-important non-strict operations. The clarification of the exceptional behaviors is of key-importance for a semantic definition of the standard and the major deviation point from HOL-OCL [5, 7], to FeatherweightOCL as presented here. Expressed in algebraic equations, "strictness-principles" boil down to:

Algebraic rules are also the key for execution and compilation of FeatherweightOCL expressions. We derived, e.g.:

As Set  $\{1, 2\}$  is only syntactic sugar for

```
Set{}->including(1)->including(2)
```

an expression like Set{1,2}->includes (null) becomes decidable in FeatherweightOCL by applying these algebraic laws (which can give rise to efficient compilations). The reader interested in the list of "test-statements" like:

```
value "\tau \models (Set{Set{2,null}}) \stackrel{\cdot}{=} Set{Set{null,2}}"
```

make consult Section B.2.8; these test-statements have been machine-checked and proven consistent with the denotational and logic semantics of FeatherweightOCL.

## A.3.3. Object-oriented Datatype Theories

In the following, we will refine the concepts of a user-defined data-model implied by a *class-model* (*visualized* by a *class-diagram*) as well as the notion of state used in the previous section to much more detail. UML class models represent in a compact and visual manner quite complex, object-oriented data-types with a surprisingly rich theory. In this section, this theory is made explicit and corner cases were pointed out.

A UML class model underlying a given OCL invariant or operation contract produces several implicit operations which become accessible via appropriate OCL syntax. A class model is a four-tuple  $(C, \_, Attrib, Assoc)$  where:

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- 1. C is a set of class names (written as  $\{C_1, \ldots, C_n\}$ ). To each class name a type of data in OCL is associated. Moreover, class names declare two projector functions to the set of all objects in a state:  $C_i$ .allInstances() and  $C_i$ .allInstances@pre(),
- 2. \_ < \_ is an inheritance relation on classes,
- 3.  $Attrib(C_i)$  is a collection of attributes associated to classes  $C_i$ . It declares two wo families of accessors; for each attribute  $a \in Attrib(C_i)$  in a class definition  $C_i$  (denoted  $X.a :: C_i \to A$  and  $X.a \oplus pre :: C_i \to A$  for  $A \in TYPES(C)$ ),
- 4.  $Assoc(C_i, C_j)$  is a collection of associations. <sup>8</sup> An association  $(n, rn_{from}, rn_{to}) \in Assoc(C_i, C_j)$  between to classes  $C_i$  and  $C_j$  is a triple consisting of a (unique) association name n, and the rolenames  $rn_{to}$  and  $rn_{from}$ . To each rolename belong two families of accessors denoted  $X.a:C_i \to A$  and  $X.a@pre::C_i \to A$  for  $A \in TYPES(C)$ ,
- 5. for each pair  $C_i < C_j$  ( $C_i, C_j < C$ ), there is a cast operation of type  $C_j \to C_i$  that can change the static type of an object of type  $C_i$ :  $obj :: C_i \cdot oclAsType(C_j)$ ,
- 6. for each class  $C_i \in C$ , there are two dynamic type tests  $(X.ocllsTypeOf(C_i))$  and  $X.ocllsKindOf(C_i)$ ),
- 7. and last but not least, for each class name  $C_i \in C$  there is an instance of the overloaded referential equality (written  $\_ \doteq \_$ ).

Assuming a strong static type discipline in the sense of Hindley-Milner types, FeatherweightOCL has no "syntactic subtyping." In contrast, subtyping can be expressed *semantically* in FeatherweightOCL; by adding suitable casts which do have a formal semantics, subtyping becomes an issue of the front-end that can make implicit type-coersions explicit by introducing explicit type-casts. Our perspective shifts the emphasis on the semantic properties of casting, and the necessary universe of object representations (induced by a class model) that allows to establish them.

As a pre-requisite of a denotational semantics for these operations induced by a class-model, we need an *object-universe* in which these operations can be defined in a denotational manner and from which the necessary properties can be derived. A concrete universe constructed from a class model will be used to instantiate the implicit type parameter ?? of all OCL operations discussed so far.

<sup>&</sup>lt;sup>8</sup>Given the fact that there is at present no consensus on the semantics of n-ary associations, FeatherweightOCL restricts itself to binary associations.

### A Denotational Space for Class-Models: Object Universes

It is natural to construct system states by a set of partial functions f that map object identifiers oid to some representations of objects:

typedef 
$$\alpha$$
 state := { $\sigma$  :: oid $\rightarrow \alpha$  | inv $_{\sigma}(\sigma)$ } (A.16)

where  $inv_{\sigma}$  is a to be discussed invariant on states.

The key point is that we need a common type  $\alpha$  for the set of all possible *object representations*. Object representations model "a piece of typed memory," i. e., a kind of record comprising administration information and the information for all attributes of an object; here, the primitive types as well as collections over them are stored directly in the object representations, class types and collections over them are represented by oid's (respectively lifted collections over them).

In a shallow embedding which must represent UML types injectively by HOL types, there are two fundamentally different ways to construct such a set of object representations, which we call an *object universe*  $\mathfrak{A}$ :

- 1. an object universe can be constructed from a given class model, leading to *closed world semantics*, and
- 2. an object universe can be constructed for a given class model *and all its extensions by new classes added into the leaves of the class hierarchy*, leading to an *open world semantics*.

For the sake of simplicity, the present semantics chose the first option for FeatherweightOCL, while HOL-OCL [6] used an involved construction allowing the latter.

A naïve attempt to construct  $\mathfrak{A}$  would look like this: the class type  $C_i$  induced by a class will be the type of such an object representation:  $C_i := (\operatorname{oid} \times A_{i_1} \times \cdots \times A_{i_k})$  where the types  $A_{i_1}, \ldots, A_{i_k}$  are the attribute types (including inherited attributes) with class types substituted by oid. The function  $\operatorname{OidOf}$  projects the first component, the oid, out of an object representation. Then the object universe will be constructed by the type definition:

$$\mathfrak{A} := C_1 + \dots + C_n. \tag{A.17}$$

It is possible to define constructors, accessors, and the referential equality on this object universe. However, the treatment of type casts and type tests cannot be faithful with common object-oriented semantics, be it in UML or Java: casting up along the class hierarchy can only be implemented by loosing information, such that casting up and casting down will *not* give the required identity:

$$X.$$
oclIsTypeOf( $C_k$ ) implies  $X.$ oclAsType( $C_i$ ).oclAsType( $C_k$ )  $\stackrel{.}{=} X$  (A.18)

whenever 
$$C_k < C_i$$
 and  $X$  is valid. (A.19)

To overcome this limitation, we introduce an auxiliary type  $C_{iext}$  for *class type extension*; together, they were inductively defined for a given class diagram:

Let  $C_i$  be a class with a possibly empty set of subclasses  $\{C_{j_1}, \ldots, C_{j_m}\}$ .

- Then the class type extension  $C_{i\text{ext}}$  associated to  $C_i$  is  $A_{i_1} \times \cdots \times A_{i_n} \times (C_{j_1\text{ext}} + \cdots + C_{j_m\text{ext}})_{\perp}$  where  $A_{i_k}$  ranges over the local attribute types of  $C_i$  and  $C_{j_1\text{ext}}$  ranges over all class type extensions of the subclass  $C_i$  of  $C_i$ .
- Then the *class type* for  $C_i$  is  $oid \times A_{i_1} \times \cdots \times A_{i_n} \times (C_{j_1 \text{ext}} + \cdots + C_{j_m \text{ext}})_{\perp}$  where  $A_{i_k}$  ranges over the inherited *and* local attribute types of  $C_i$  and  $C_{j_1 \text{ext}}$  ranges over all class type extensions of the subclass  $C_j$  of  $C_i$ .

Example instances of this scheme—outlining a compiler—can be found in Section B.4 and Section B.5.

This construction can *not* be done in HOL itself since it involves quantifications and iterations over the "set of class-types"; rather, it is a meta-level construction. Technically, this means that we need a compiler to be done in SML on the syntactic "meta-model"-level of a class model.

With respect to our semantic construction here, which above all means is intended to be type-safe, this has the following consequences:

- there is a generic theory of states, which must be formulated independently from a concrete object universe,
- there is a principle of translation (captured by the inductive scheme for class type extensions and class types above) that converts a given class model into an concrete object universe,
- there are fixed principles that allow to derive the semantic theory of any concrete object universe, called the *object-oriented datatype theory*.

We will work out concrete examples for the construction of the object-universes in Section B.4 and Section B.5 and the derivation of the respective datatype theories. While an automatization is clearly possible and desirable for concrete applications of FeatherweightOCL, we consider this out of the scope of this paper which has a focus on the semantic construction and its presentation.

## **Denotational Semantics of Accessors on Objects and Associations**

Our choice to use a shallow embedding of OCL in HOL and, thus having an injective mapping from OCL types to HOL types, results in type-safety of FeatherweightOCL. Arguments and results of accessors are based on type-safe object representations and *not* oid's. This implies the following scheme for an accessor:

- The *evaluation and extraction* phase. If the argument evaluation results in an object representation, the oid is extracted, if not, exceptional cases like invalid are reported.
- The *dereferentiation* phase. The oid is interpreted in the pre- or post-state, the resulting object is casted to the expected format. The exceptional case of nonexistence in this state must be treated.
- The *selection* phase. The corresponding attribute is extracted from the object representation.
- The *re-construction* phase. The resulting value has to be embedded in the adequate HOL type. If an attribute has the type of an object (not value), it is represented by an optional (set of) oid, which must be converted via dereferentiation in one of the states to produce an object representation again. The exceptional case of nonexistence in this state must be treated.

The first phase directly translates into the following formalization:

definition

For each class C, we introduce the dereferentiation phase of this form:

definition deref\_oid\_c fst\_snd f oid =  $(\lambda \tau$ . case (heap (fst\_snd  $\tau$ )) oid of

$$\lim_{C} obj_{\perp} \Rightarrow f obj \tau 
\mid_{-} \Rightarrow \text{invalid} \tau)$$
(A.21)

The operation yields undefined if the oid is uninterpretable in the state or referencing an object representation not conforming to the expected type.

We turn to the selection phase: for each class C in the class model with at least one attribute, and each attribute a in this class, we introduce the selection phase of this form:

definition select<sub>a</sub> 
$$f = (\lambda \mod \cdots \perp \cdots C_{X \text{ext}} \Rightarrow \text{null}$$
  
 $| \mod \cdots \perp a_{\perp} \cdots C_{X \text{ext}} \Rightarrow f(\lambda x_{-} \perp x_{\perp}) a)$  (A.22)

This works for definitions of basic values as well as for object references in which the a is of type oid. To increase readability, we introduce the functions:

Let  $\_$ . getBase be an accessor of class C yielding a value of base-type  $A_{base}$ . Then its definition is of the form:

Let \_.getObject be an accessor of class C yielding a value of object-type  $A_{object}$ . Then its definition is of the form:

The variant for an accessor yielding a collection is omitted here; its construction follows by the application of the principles of the former two. The respective variants \_.a@pre were produced when in\_post\_state is replaced by in\_pre\_state.

Examples for the construction of accessors via associations can be found in Section B.4.8, the construction of accessors via attributes in Section B.5.8. The construction of casts and type tests ->oclisTypeOf() and ->oclisKindOf() is similarly.

In the following, we discuss the role of multiplicities on the types of the accessors. Depending on the specified multiplicity, the evaluation of an attribute can yield just a value (multiplicity 0..1 or 1) or a collection type like Set or Sequence of values (otherwise). A multiplicity defines a lower bound as well as a possibly infinite upper bound on the cardinality of the attribute's values.

**Single-Valued Attributes** If the upper bound specified by the attribute's multiplicity is one, then an evaluation of the attribute yields a single value. Thus, the evaluation result is *not* a collection. If the lower bound specified by the multiplicity is zero, the evaluation is not required to yield a non-null value. In this case an evaluation of the attribute can return null to indicate an absence of value.

To facilitate accessing attributes with multiplicity 0..1, the OCL standard states that single values can be used as sets by calling collection operations on them. This implicit conversion of a value to a Set is not defined by the standard. We argue that the resulting set cannot be constructed the same way as when evaluating a Set literal. Otherwise, null would be mapped to the singleton set containing null, but the standard demands that the resulting set is empty in this case. The conversion should instead be defined as follows:

```
context OclAny::asSet():T
  post: if self = null then result = Set{}
    else result = Set{self} endif
```

Collection-Valued Attributes If the upper bound specified by the attribute's multiplicity is larger than one, then an evaluation of the attribute yields a collection of values. This raises the question whether null can belong to this collection. The OCL standard states that null can be owned by collections. However, if an attribute can evaluate to a collection containing null, it is not clear how multiplicity constraints should be interpreted for this attribute. The question arises whether the null element should be counted or not when determining the cardinality of the collection. Recall that null denotes the absence of value in the case of a cardinality upper bound of one, so we would assume that null is not counted. On the other hand, the operation size defined for collections in OCL does count null.

We propose to resolve this dilemma by regarding multiplicities as optional. This point of view complies with the UML standard, that does not require lower and upper bounds to be defined for multiplicities. In case a multiplicity is specified for an attribute, i. e., a lower and an upper bound are provided, we require any collection the attribute evaluates to not contain null. This allows for a straightforward interpretation of the multiplicity constraint. If bounds are not provided for an attribute, we consider the attribute values to not be restricted in any way. Because in particular the cardinality of the attribute's values is not bounded, the result of an evaluation of the attribute is of collection type. As the range of values that the attribute can assume is not restricted, the attribute can evaluate to a collection containing null. The attribute can also evaluate to invalid. Allowing multiplicities to be optional in this way gives the modeler the freedom to define attributes that can assume the full ranges of values provided by their types. However, we do not permit the omission of multiplicities for

<sup>&</sup>lt;sup>9</sup>We are however aware that a well-formedness rule of the UML standard does define a default bound of one in case a lower or upper bound is not specified.

association ends, since the values of association ends are not only restricted by multiplicities, but also by other constraints enforcing the semantics of associations. Hence, the values of association ends cannot be completely unrestricted.

**The Precise Meaning of Multiplicity Constraints** We are now ready to define the meaning of multiplicity constraints by giving equivalent invariants written in OCL. Let a be an attribute of a class  $\mathbb C$  with a multiplicity specifying a lower bound m and an upper bound n. Then we can define the multiplicity constraint on the values of attribute a to be equivalent to the following invariants written in OCL:

```
context C inv lowerBound: a->size() >= m
    inv upperBound: a->size() <= n
    inv notNull: not a->includes(null)
```

If the upper bound n is infinite, the second invariant is omitted. For the definition of these invariants we are making use of the conversion of single values to sets described in Section A.3.3. If  $n \le 1$ , the attribute a evaluates to a single value, which is then converted to a Set on which the size operation is called.

If a value of the attribute a includes a reference to a non-existent object, the attribute call evaluates to invalid. As a result, the entire expressions evaluate to invalid, and the invariants are not satisfied. Thus, references to non-existent objects are ruled out by these invariants. We believe that this result is appropriate, since we argue that the presence of such references in a system state is usually not intended and likely to be the result of an error. If the modeler wishes to allow references to non-existent objects, she can make use of the possibility described above to omit the multiplicity.

### **Logic Properties of Class-Models**

In this section, we assume to be  $C_z$ ,  $C_i$ ,  $C_j \in C$  and  $C_i < C_j$ . Let  $C_z$  be a smallest element with respect to the class hierarchy  $\_ < \_$ . The operations induced from a class-model have the following properties:

```
\<tau> \<Turnstile> X .oclAsType(C_i) \<triangleq> X
\<tau> \<Turnstile> invalid .oclAsType(C_i) \<triangleq> invalid
 \<tau> \<Turnstile> null .oclAsType(C_i) \<triangleq> null
\<tau> \<Turnstile> ((X::C_i) .oclAsType(C_j) .oclAsType(C_i) \<triangleq> X)
\<tau> \<Turnstile> X .oclAsType(C_j) .oclAsType(C_i) \<triangleq> X
 \<tau> \<Turnstile> \<upsilon> (X :: C_i) \<Longrightarrow> \<tau> \<Turnstile> (X .oclIs
 \<tau> \<Turnstile> (X::OclAny) .oclAsType(OclAny) \<triangleq> X
 \<tau> \<Turnstile> \<upsilon> (X :: C_i) \<Longrightarrow> \<tau> \<Turnstile> (X .oclIs
 \<tau> \<Turnstile> \<delta> X \<Longrightarrow> \<tau> \<Turnstile> X .oclAsType(C_j) .o
 \<tau> \<Turnstile> \<upsilon> X \<Longrightarrow> \<tau> \<Turnstile> X .oclIsTypeOf(C_i
\<tau> \<Turnstile> X .oclIsTypeOf(C_j) \<Longrightarrow> \<tau> \<Turnstile> \<delta> X
\<tau> \<Turnstile> invalid .oclIsTypeOf(C_i) \<triangleq> invalid
\<tau> \<Turnstile> null .oclIsTypeOf(C_i) \<triangleq> true
 \<tau> \<Turnstile> (Person .allInstances()->forAll(X|X .oclIsTypeOf(C_z)))
\\cappa \cappa \
\\capsilon \\capsilon \capsilon \cap
\\cappa \cappa \
\<tau> \<Turnstile> (X::C_i).oclIsTypeOf(C_j) \<Longrightarrow> \<tau> \<Turnstile> (X::C_i).oclIsTypeOf(C_j) \
```

```
(\<tau> \<Turnstile> (X::C_j) \<doteq> X) = (\<tau> \<Turnstile> if \<upsilon> X then true
  \<tau> \<Turnstile> (X::C_j) \<doteq> Y \<Longrightarrow> \<tau> \<Turnstile> Y \<doteq>
  \<Longrightarrow> \<tau> \<Turnstile> Y \<doteq>
  \<Longrightarrow> \<tau> \<Turnstile> Y \<doteq>
  \<Longrightarrow> \<tau> \<Turnstile> X \<doteq> Z
```

## **Algebraic Properties of the Class-Models**

In this section, we assume to be  $C_i, C_j \in C$  and  $C_i < C_j$ . The operations induced from a class-model have the following properties:

With respect to attributes \_.a or \_.a @pre and role-ends \_.r or \_.r @pre we have

```
\label{eq:null.a} invalid.a = invalid \\ invalid.a @pre = invalid \\ invalid.r = invalid \\ invalid.r = invalid \\ invalid.r @pre = invalid \\ null.r @pr
```

#### **Other Operations on States**

Defining\_.allInstances() is straight-forward; the only difference is the property *T*.allInstances() ->exclude which is a consequence of the fact that null's are values and do not "live" in the state. OCL semantics admits states with "dangling references,"; it is the semantics of accessors or roles which maps these references to invalid, which makes it possible to rule out these situations in invariants.

OCL does not guarantee that an operation only modifies the path-expressions mentioned in the postcondition, i. e., it allows arbitrary relations from pre-states to post-states. This framing problem is well-known (one of the suggested solutions is [21]). We define

```
(S:Set(OclAny))->oclIsModifiedOnly():Boolean
```

where S is a set of object representations, encoding a set of oid's. The semantics of this operator is defined such that for any object whose oid is *not* represented in S and that is defined in pre and post state, the corresponding object representation will not change in the state transition. A simplified presentation is as follows:

where  $X' = I[X](\sigma, \sigma')$  and  $M = (\text{dom } \sigma \cap \text{dom } \sigma') - \{\text{OidOf } x | x \in [X']\}$ . Thus, if we require in a postcondition Set  $\{\}$  ->oclIsModifiedOnly () and exclude via \_.oclIsNew () and \_.oclIsDeleted ()

the existence of new or deleted objects, the operation is a query in the sense of the OCL standard, i.e., the isQuery property is true. So, whenever we have  $\tau \vDash X \rightarrow \text{excluding}(s.a) \rightarrow \text{oclisModifiedOnly}()$  and  $\tau \vDash X \rightarrow \text{forAll}(x \text{notl}(x \doteq s.a))$ , we can infer that  $\tau \vDash s.a \triangleq s.a$  @pre.

### A.3.4. Data Invariants

Since the present OCL semantics uses one interpretation function <sup>10</sup>, we express the effect of OCL terms occurring in preconditions and invariants by a syntactic transformation \_pre which replaces:

- all accessor functions \_.a from the class model  $a \in Attrib(C)$  by their counterparts \_.i @pre. For example,  $(self. salary > 500)_{pre}$  is transformed to (self. salary @pre > 500).
- all role accessor functions  $\_.rn_{from}$  or  $\_.rn_{to}$  within the class model (i. e.  $(id, rn_{from}, rn_{to}) \in Assoc(C_i, C_j)$ ) were replaced by their counterparts  $\_.rn@pre$ . For example,  $(self.boss = null)_{pre}$  is transformed to self.boss@pre = null.
- The operation \_ .allInstances() is also substituted by its @pre counterpart.

Thus, we formulate the semantics of the invariant specification as follows:

$$I[[\texttt{context}\ c: C_i \ \texttt{inv}\ n: \phi(c)]]\tau \equiv \\ \tau \vDash (C_i \ \texttt{.allInstances}\ () \rightarrow \texttt{forall}(x|\phi(x))) \land \\ \tau \vDash (C_i \ \texttt{.allInstances}\ () \rightarrow \texttt{forall}(x|\phi(x)))_{pre}$$

$$(A.27)$$

Recall that expressions containing <code>@pre</code> constructs in invariants or preconditions are syntactically forbidden; thus, mixed forms cannot arise.

## A.3.5. Operation Contracts

Since operations have strict semantics in OCL, we have to distinguish for a specification of an operation op with the arguments  $a_1, \ldots, a_n$  the two cases where all arguments are valid and additionally, self is non-null (i. e. it must be defined), or not. In former case, a method call can be replaced by a result that satisfies the contract, in the latter case the result is invalid. This is reflected by the following definition of the contract semantics:

$$I[[\texttt{context}\ C\ :: op(a_1,\ldots,a_n): T$$

$$\texttt{pre}\ \phi(self,a_1,\ldots,a_n)$$

$$\texttt{post}\ \psi(self,a_1,\ldots,a_n,result)]] \equiv$$

$$\lambda s,x_1,\ldots,x_n,\tau.$$

$$\texttt{if}\ \tau \models \partial s \land \tau \models \upsilon\ x_1 \land \ldots \land \tau \models \upsilon\ x_n$$

$$\texttt{then SOME}\ result. \quad \tau \models \phi(s,x_1,\ldots,x_n)_{\texttt{pre}}$$

$$\land \tau \models \psi(s,x_1,\ldots,x_n,result))$$

$$\texttt{else}\ \bot$$

Fixme: Should we add in our notion of Class-Model also the Operations

<sup>&</sup>lt;sup>10</sup>This has been handled differently in previous versions of the Annex A.

where SOME x. P(x) is the Hilbert-Choice Operator that chooses an arbitrary element satisfying P; if such an element does not exist, it chooses an arbitrary one<sup>11</sup>. Thus, using the Hilbert-Choice Operator, a contract can be associated to a function definition:

$$f_{op} \equiv I[\![\mathsf{context}\ C\ :: op(a_1, \dots, a_n) : T \dots]\!] \tag{A.29}$$

provided that neither  $\phi$  nor  $\psi$  contain recursive method calls of op. In the case of a query operation (i. e.  $\tau$  must have the form:  $(\sigma, \sigma)$ , which means that query operations do not change the state; c.f. oclisModifiedOnly() in Section A.3.3), this constraint can be relaxed: the above equation is then stated as axiom. Note however, that the consistency of the overall theory is for recursive query constracts left to the user (it can be shown, for example, by a proof of termination, i. e. by showing that all recursive calls were applied to argument vectors that are smaller wrt. to a well-founded ordering). Under this condition, an  $f_{op}$  resulting from recursive query operations can be used safely inside pre- and post-conditions of other contracts.

For the general case of a user-defined contract, the following rule can be established that reduces the proof of a property E over a method call  $f_{op}$  to a proof of E(res) (where res must be one of the values that satisfy the post-condition  $\psi$ ):

$$[\tau \vDash \psi \ self \ a_1 \dots a_n \ res]_{res}$$

$$\vdots$$

$$\tau \vDash E(res)$$

$$\overline{\tau \vDash E(f_{op} \ self \ a_1 \dots a_n)}$$
(A.30)

under the conditions:

- E must be an OCL term and
- *self* must be defined, and the arguments valid in  $\tau$ :  $\vDash \partial \ self \land \tau \vDash \upsilon \ x_1 \land \ldots \land \tau \vDash \upsilon \ x_n$
- the post-condition must be satisfiable ("the operation must be implementable"):  $\exists res. \tau \models \psi \ self \ a_1 \dots a_n \ res.$

For the special case of a (recursive) query method, this rule can be specialized to the following executable "unfolding principle":

$$\frac{\tau \vDash \phi \ self \ a_1 \dots a_n}{(\tau \vDash E(f_{op} \ self \ a_1 \dots a_n)) = (\tau \vDash E(BODY \ self \ a_1 \dots a_n))}$$
(A.31)

where

- E must be an OCL term.
- *self* must be defined, and the arguments valid in  $\tau$ :  $\tau \vDash \partial self \land \tau \vDash \upsilon x_1 \land \ldots \land \tau \vDash \upsilon x_n$

<sup>&</sup>lt;sup>11</sup>In HOL, the Hilbert-Choice operator is a first-class element of the logical language.

• the postcondition  $\psi$  self  $a_1 \dots a_n$  result must be decomposable into a  $\psi'$  self  $a_1 \dots a_n$  and result  $\triangleq$  BODY self  $a_1 \dots a_n$ .

We do not model *overriding* of operations as in Java or C++ explicitly in FeatherweightOCL. However, it is easy expressed in this core-language by adding self.ocllsKindOf(C) in the pre-condition  $\phi$  (assuming that, as in the schema above, C is the context to which the contract is referring to). In order to avoid logical contradictions (inconsistencies) between different instances of an overriden operation, the user has to prove Liskov's principle for these situations: pre-conditions of the superclass must imply pre-conditions of the superclass, and post-conditions of a subclass must imply post-conditions of the superclass.

**FiXme**: correct?

# **B. Formal Semantics of OCL**

# **B.1. Formalization I: OCL Types and Core Definitions**

theory UML-Types imports Transcendental keywords Assert :: thy-decl and Assert-local :: thy-decl begin

#### **B.1.1. Preliminaries**

### **Notations for the Option Type**

First of all, we will use a more compact notation for the library option type which occur all over in our definitions and which will make the presentation more like a textbook:

```
no-notation ceiling (\lceil - \rceil)

no-notation floor (\lfloor - \rfloor)

notation Some (\lfloor (-) \rfloor)

notation None (\perp)
```

The following function (corresponding to *the* in the Isabelle/HOL library) is defined as the inverse of the injection *Some*.

```
fun drop :: '\alpha \ option \Rightarrow '\alpha \ (\lceil (-) \rceil) where drop\text{-}lift[simp]: \lceil \lfloor v \rfloor \rceil = v
```

The definitions for the constants and operations based on functions will be geared towards a format that Isabelle can check to be a "conservative" (i. e., logically safe) axiomatic definition. By introducing an explicit interpretation function (which happens to be defined just as the identity since we are using a shallow embedding of OCL into HOL), all these definitions can be rewritten into the conventional semantic textbook format. To say it in other words: The interpretation function *Sem* as defined below is just a textual marker for presentation purposes, i.e. intended for readers used to conventional textbook notations on semantics. Since we use a "shallow embedding", i.e. since we represent the syntax of OCL directly by HOL constants, the interpretation function is semantically not only superfluous, but from an Isabelle perspective strictly in the way for certain consistency checks performed by the definitional packages.

```
definition Sem :: 'a \Rightarrow 'a (I[-]) where I[x] \equiv x
```

### Common Infrastructure for all OCL Types

In order to have the possibility to nest collection types, such that we can give semantics to expressions like  $Set\{Set\{2\},null\}$ , it is necessary to introduce a uniform interface for types having the *invalid* (= bottom) element. The reason is that we impose a data-invariant on raw-collection **types\_code** which assures that the *invalid* element is not allowed inside the collection; all raw-collections of this form were identified with the *invalid* element itself. The construction requires that the new collection type is not comparable with the raw-types (consisting of nested option type constructions), such that the data-invariant must be expressed in terms of the interface. In a second step, our base-types will be shown to be instances of this interface.

This uniform interface consists in a type class requiring the existence of a bot and a null element. The construction proceeds by abstracting the null (defined by  $\lfloor \perp \rfloor$  on 'a option option) to a null element, which may have an arbitrary semantic structure, and an undefinedness element  $\perp$  to an abstract undefinedness element bot (also written  $\perp$  whenever no confusion arises). As a consequence, it is necessary to redefine the notions of invalid, defined, valuation etc. on top of this interface.

This interface consists in two abstract type classes *bot* and *null* for the class of all types comprising a bot and a distinct null element.

```
class bot =
fixes bot :: 'a
assumes nonEmpty : \exists x. x \neq bot

class null = bot +
fixes null :: 'a
assumes null = bot + bot
```

### Accommodation of Basic Types to the Abstract Interface

In the following it is shown that the "option-option" type is in fact in the *null* class and that function spaces over these classes again "live" in these classes. This motivates the default construction of the semantic domain for the basic types (Boolean, Integer, Real, ...).

```
instantiation option :: (type)bot
begin

definition bot-option-def: (bot::'a option) ≡ (None::'a option)
instance proof show ∃x::'a option. x ≠ bot
by(rule-tac x=Some x in exI, simp add:bot-option-def)
qed
end

instantiation option :: (bot)null
begin
definition null-option-def: (null::'a::bot option) ≡ [ bot ]
instance proof show (null::'a::bot option) ≠ bot
by( simp add : null-option-def bot-option-def)
```

```
qed
end
instantiation fun :: (type,bot) bot
begin
  definition bot-fun-def: bot \equiv (\lambda x. bot)
  instance proof show \exists (x::'a \Rightarrow 'b). x \neq bot
             apply(rule-tac x=\lambda -. (SOME y. y \neq bot) in exI, auto)
             apply(drule-tac \ x=x \ in \ fun-cong, auto \ simp:bot-fun-def)
             apply(erule contrapos-pp, simp)
             apply(rule some-eq-ex[THEN iffD2])
             apply(simp add: nonEmpty)
             done
        qed
end
instantiation fun :: (type,null) null
definition null-fun-def: (null:: 'a \Rightarrow 'b::null) \equiv (\lambda x. null)
instance proof
         show (null::'a \Rightarrow 'b::null) \neq bot
         apply(auto simp: null-fun-def bot-fun-def)
          apply(drule-tac \ x=x \ in \ fun-cong)
         apply(erule contrapos-pp, simp add: null-is-valid)
       ged
end
```

A trivial consequence of this adaption of the interface is that abstract and concrete versions of null are the same on base types (as could be expected).

### The Common Infrastructure of Object Types (Class Types) and States.

Recall that OCL is a textual extension of the UML; in particular, we use OCL as means to annotate UML class models. Thus, OCL inherits a notion of *data* in the UML: UML class models provide classes, inheritance, types of objects, and subtypes connecting them along the inheritance hierarchie.

For the moment, we formalize the most common notions of objects, in particular the existance of object-identifiers (oid) for each object under which it can be referenced in a *state*.

```
type-synonym oid = nat
```

We refrained from the alternative:

```
type-synonym oid = ind
```

which is slightly more abstract but non-executable.

States in UML/OCL are a pair of

- a partial map from oid's to elements of an *object universe*, i. e. the set of all possible object representations.
- and an oid-indexed family of *associations*, i. e. finite relations between objects living in a state. These relations can be n-ary which we model by nested lists.

For the moment we do not have to describe the concrete structure of the object universe and denote it by the polymorphic variable  $\mathfrak{A}$ .

```
record ({}^{\prime}\mathfrak{A})state = 
 heap :: oid \rightharpoonup {}^{\prime}\mathfrak{A}
 assocs :: oid \rightharpoonup ((oid list) list) list
```

In general, OCL operations are functions implicitly depending on a pair of pre- and post-state, i. e. *state transitions*. Since this will be reflected in our representation of OCL Types within HOL, we need to introduce the foundational concept of an object id (oid), which is just some infinite set, and some abstract notion of state.

```
type-synonym ({}^{\prime}\mathfrak{A})st = {}^{\prime}\mathfrak{A} state \times {}^{\prime}\mathfrak{A} state
```

We will require for all objects that there is a function that projects the oid of an object in the state (we will settle the question how to define this function later). We will use the Isabelle type class mechanism [?] to capture this:

```
FiXme:
Get Ap-
propriate
Refer-
ence!
```

```
class object = fixes oid - of :: 'a \Rightarrow oid
```

Thus, if needed, we can constrain the object universe to objects by adding the following type class constraint:

```
typ 'A :: object
```

The major instance needed are instances constructed over options: once an object, options of objects are also objects.

```
instantiation option :: (object)object
begin
  definition oid-of-option-def: oid-of x = oid-of (the x)
  instance ..
end
```

### Common Infrastructure for all OCL Types (II): Valuations as OCL Types

Since OCL operations in general depend on pre- and post-states, we will represent OCL types as *functions* from pre- and post-state to some HOL raw-type that contains exactly the data in the OCL type — see below. This gives rise to the idea that we represent OCL types by *Valuations*.

Valuations are functions from a state pair (built upon data universe  $\mathfrak{A}$ ) to an arbitrary null-type (i. e., containing at least a destinguished *null* and *invalid* element).

```
type-synonym ({}'\mathfrak{A}, {}'\alpha) val = {}'\mathfrak{A} st \Rightarrow {}'\alpha :: null
```

The definitions for the constants and operations based on valuations will be geared towards a format that Isabelle can check to be a "conservative" (i. e., logically safe) axiomatic definition. By introducing an explicit interpretation function (which happens to be defined just as the identity since we are using a shallow embedding of OCL into HOL), all these definitions can be rewritten into the conventional semantic textbook format as follows:

### The fundamental constants 'invalid' and 'null' in all OCL Types

As a consequence of semantic domain definition, any OCL type will have the two semantic constants *invalid* (for exceptional, aborted computation) and *null*:

```
definition invalid :: ({}'\mathfrak{A}, '\alpha :: bot) val where invalid \equiv \lambda \tau. bot
```

This conservative Isabelle definition of the polymorphic constant *invalid* is equivalent with the textbook definition:

```
lemma textbook-invalid: I[[invalid]]\tau = bot
by(simp add: invalid-def Sem-def)

Note that the definition:

definition null :: "('\mathfrak{A},'\alpha::null) val"

where "null \equiv \lambda \tau. null"
```

is not necessary since we defined the entire function space over null types again as null-types; the crucial definition is  $null \equiv \lambda x$ . null. Thus, the polymorphic constant null is simply the result of a general type class construction. Nevertheless, we can derive the semantic textbook definition for the OCL null constant based on the abstract null:

```
lemma textbook-null-fun: I[[null::('\mathfrak{A},'\alpha::null)\ val]] \tau = (null::('\alpha::null)) by(simp add: null-fun-def Sem-def)
```

## **B.1.2. Basic OCL Value Types**

The semantic domain of the (basic) boolean type is now defined as the Standard: the space of valuation to *bool option option*, i. e. the Boolean base type:

```
type-synonym Boolean_{base} = bool \ option \ option type-synonym ({}^{\prime}\mathfrak{A})Boolean = ({}^{\prime}\mathfrak{A},Boolean_{base}) \ val
```

Because of the previous class definitions, Isabelle type-inference establishes that '\( \mathbb{Q}\) Boolean lives actually both in the type class \( \mathbb{UML-Types.bot-class.bot } \) and \( null \); this type is sufficiently rich to contain at least these two elements. Analogously we build:

```
type-synonym Integer<sub>base</sub> = int option option
type-synonym ({}^{\prime}\mathfrak{A})Integer = ({}^{\prime}\mathfrak{A},Integer<sub>base</sub>) val
type-synonym String<sub>base</sub> = string option option
type-synonym ({}^{\prime}\mathfrak{A})String = ({}^{\prime}\mathfrak{A},String<sub>base</sub>) val
```

```
type-synonym Real_{base} = real \ option \ option type-synonym ({}^{t}\mathfrak{A})Real = ({}^{t}\mathfrak{A},Real_{base}) \ val
```

Since *Real* is again a basic type, we define its semantic domain as the valuations over *real option option* — i.e. the mathematical type of real numbers. The HOL-theory for *real* "Real" transcendental numbers such as  $\pi$  and e as well as infrastructure to reason over infinite convergent Cauchy-sequences (it is thus possible, in principle, to reason in Featherweight OCL that the sum of inverted two-s exponentials is actually 2.

If needed, a code-generator to compile *Real* to floating-point numbers can be added; this allows for mapping reals to an efficient machine representation; of course, this feature would be logically unsafe.

For technical reasons related to the Isabelle type inference for type-classes (we don't get the properties in the right order that class instantiation provides them, if we would follow the previous scheme), we give a slightly atypic definition:

```
typedef Void_{base} = \{X::unit\ option\ option.\ X = bot\ \lor X = null\ \} by(rule\text{-}tac\ x=bot\ in\ exI,\ simp) type-synonym (^{t}\mathfrak{A})Void = (^{t}\mathfrak{A},Void_{base})\ val
```

## **B.1.3. Some OCL Collection Types**

The construction of collection types is sligtly more involved: We need to define an concrete type, constrain it via a kind of data-invariant to "legitimate elements" (i. e. in our type will be "no junk, no confusion"), and abstract it to a new type constructor.

### The Construction of the Pair Type (Tuples)

The core of an own type construction is done via a type definition which provides the base-type  $(\alpha, \beta)$  *Pair<sub>base</sub>*. It is shown that this type "fits" indeed into the abstract type interface discussed in the previous section.

```
typedef ('\alpha, '\beta) Pair_{base} = \{X::('\alpha::null \times '\beta::null) option option. 
 <math>X = bot \lor X = null \lor (fst\lceil [X] \rceil \neq bot \land snd\lceil [X] \rceil \neq bot)\}

by (rule\text{-}tac \ x=bot \ \mathbf{in} \ exI, \ simp)
```

We "carve" out from the concrete type  $('\alpha \times '\beta)$  option option the new fully abstract type, which will not contain representations like  $\lfloor \lfloor (\bot, a) \rfloor \rfloor$  or  $\lfloor \lfloor (b, \bot) \rfloor \rfloor$ . The type constuctor  $Pair\{x,y\}$  to be defined later will identify these with *invalid*.

```
instantiation Pair_{base} :: (null,null)bot
begin
    definition bot-Pair_{base}-def: (bot-class.bot :: ('a::null,'b::null) Pair_{base}) \equiv Abs-Pair_{base} None

instance proof show \exists x::('a,'b) Pair_{base}. x \neq bot

apply(rule-tac x=Abs-Pair_{base} \lfloor None \rfloor in exI)

by(simp\ add:\ bot-Pair_{base}-def\ Abs-Pair_{base}-inject\ null-option-def\ bot-option-def)

qed
end

instantiation Pair_{base} :: (null,null)null
begin
```

```
definition null-Pair_{base}-def: (null::('a::null,'b::null) Pair_{base}) \equiv Abs-Pair_{base} \mid None \mid instance proof show (null::('a::null,'b::null) Pair_{base}) \neq bot by (simp\ add:\ bot-Pair_{base}-def\ null-Pair_{base}-def\ Abs-Pair_{base}-inject null-option-def\ bot-option-def) qed end ... and lifting this type to the format of a valuation gives us: type-synonym ('\mathfrak{A},'\alpha,'\beta) Pair = ('\mathfrak{A}, ('\alpha,'\beta) Pair_{base}) val
```

# The Construction of the Set Type

The core of an own type construction is done via a type definition which provides the raw-type ' $\alpha$  Set<sub>base</sub>. It is shown that this type "fits" indeed into the abstract type interface discussed in the previous section. Note that we make no restriction whatsoever to *finite* sets; the type constructor of Featherweight OCL is in fact infinite.

```
typedef '\alpha Set<sub>base</sub> ={X::('\alpha::null) set option option. X = bot \lor X = null \lor (\forall x \in [[X]]. x \neq bot)}
        by (rule-tac x=bot in exI, simp)
instantiation Set_{base} :: (null)bot
begin
  definition bot-Set<sub>base</sub>-def: (bot::('a::null) Set<sub>base</sub>) \equiv Abs-Set<sub>base</sub> None
  instance proof show \exists x::'a \ Set_{base}. \ x \neq bot
               apply(rule-tac \ x=Abs-Set_{base} \ [None] \ in \ exI)
               \mathbf{by}(simp\ add:\ bot\text{-}Set_{base}\text{-}def\ Abs\text{-}Set_{base}\text{-}inject\ null\text{-}option\text{-}def\ bot\text{-}option\text{-}def)
          qed
end
instantiation Set_{base} :: (null)null
begin
  definition null-Set<sub>base</sub>-def: (null::('a::null) Set_{base}) \equiv Abs-Set_{base} | None |
  instance proof show (null::('a::null) Set_{base}) \neq bot
               \mathbf{by}(simp\ add:null\text{-}Set_{base}\text{-}def\ bot\text{-}Set_{base}\text{-}def\ Abs\text{-}Set_{base}\text{-}inject
                          null-option-def bot-option-def)
          qed
end
    ... and lifting this type to the format of a valuation gives us:
type-synonym ('\mathfrak{A},'\alpha) Set = ('\mathfrak{A}, '\alpha \ Set_{base}) val
```

## The Construction of the Sequence Type

The core of an own type construction is done via a type definition which provides the base-type ' $\alpha$  Sequence<sub>base</sub>. It is shown that this type "fits" indeed into the abstract type interface discussed in the previous section.

```
typedef '\alpha Sequence<sub>base</sub> ={X::('\alpha::null) list option option.
                         X = bot \lor X = null \lor (\forall x \in set \lceil \lceil X \rceil \rceil, x \neq bot)
        by (rule-tac x=bot in exI, simp)
instantiation Sequence<sub>base</sub> :: (null)bot
begin
  definition bot-Sequence<sub>base</sub>-def: (bot::('a::null) Sequence<sub>base</sub>) \equiv Abs-Sequence<sub>base</sub> None
  instance proof show \exists x::'a \ Sequence_{base}. \ x \neq bot
               apply(rule-tac x=Abs-Sequence_{base} | None | in exI)
               \mathbf{by}(auto\ simp:bot\text{-}Sequence_{base}\text{-}def\ Abs\text{-}Sequence_{base}\text{-}inject
                         null-option-def bot-option-def)
         qed
end
instantiation Sequence_{base} :: (null)null
begin
  definition null-Sequence<sub>base</sub>-def: (null::('a::null) Sequence<sub>base</sub>) \equiv Abs-Sequence<sub>base</sub> | None |
  instance proof show (null::('a::null) Sequence<sub>base</sub>) \neq bot
               \mathbf{by} (auto\ simp:bot\text{-}Sequence_{base}\text{-}def\ null\text{-}Sequence_{base}\text{-}def\ Abs\text{-}Sequence_{base}\text{-}inject
                         null-option-def bot-option-def)
          qed
end
   ... and lifting this type to the format of a valuation gives us:
type-synonym ('\mathfrak{A},'\alpha) Sequence = ('\mathfrak{A},'\alpha) Sequence<sub>base</sub>) val
```

### Discussion: The Representation of UML/OCL Types in Featherweight OCL

In the introduction, we mentioned that there is an "injective representation mapping" between the types of OCL and the types of Featherweight OCL (and its meta-language: HOL). This injectivity is at the heart of our representation technique — a so-called *shallow embedding* — and means: OCL types were mapped one-to-one to types in HOL, ruling out a resentation where everything is mapped on some common HOL-type, say "OCL-expression", in which we would have to sort out the typing of OCL and its impact on the semantic representation function in an own, quite heavy side-calculus.

After the previous sections, we are now able to exemplify this representation as follows: We do not formalize the representation map here; however, its principles are quite straight-forward:

- 1. cartesion products of arguments were curried,
- 2. constants of type T were mapped to valuations over the HOL-type for T,
- 3. functions  $T \to T'$  were mapped to functions in HOL, where T and T' were mapped to the valuations for them, and

OCL Type	HOL Type
Boolean	'A Boolean
Boolean -> Boolean	'A Boolean ⇒ 'A Boolean
(Integer, Integer) -> Boolean	$^{\prime}\mathfrak{A}$ Integer $\Rightarrow$ $^{\prime}\mathfrak{A}$ Integer $\Rightarrow$ $^{\prime}\mathfrak{A}$ Boolean
Set(Integer)	$({}^{\prime}\mathfrak{A},Integer_{base})$ Set
Set(Integer)-> Real	$({}^{\prime}\mathfrak{A}, Integer_{base})$ Set $\Rightarrow {}^{\prime}\mathfrak{A}$ Real
<pre>Set(Pair(Integer, Boolean))</pre>	$(\mathfrak{A}, (Integer_{base}, Boolean_{base}) Pair_{base}) Set$
Set( <t>)</t>	$({}^{\prime}\mathfrak{A},{}^{\prime}lpha)$ Set

Table B.1.: Basic semantic constant definitions of the logic (except *null*)

4. the arguments of type constructors Set (T) remain corresponding HOL base-types.

Note, furthermore, that our construction of "fully abstract types" (no junk, no confusion) assures that the logical equality to be defined in the next section works correctly and comes as element of the "lingua franca", i.e. HOL.

end

# **B.2. Formalization II: OCL Terms and Library Operations**

theory UML-Logic imports UML-Types begin

### B.2.1. The Operations of the Boolean Type and the OCL Logic

### **Basic Constants**

```
lemma bot-Boolean-def: (bot::(^{1}\!\Omega)Boolean) = (\lambda \ \tau. \perp)
by (simp\ add:\ bot-fun-def\ bot-option-def)
lemma null-Boolean-def: (null::(^{1}\!\Omega)Boolean) = (\lambda \ \tau. \lfloor \perp \rfloor)
by (simp\ add:\ null-fun-def\ null-option-def\ bot-option-def)
definition true::(^{1}\!\Omega)Boolean
where true \equiv \lambda \ \tau. \lfloor \lfloor True \rfloor \rfloor
definition false::(^{1}\!\Omega)Boolean
where false \equiv \lambda \ \tau. \lfloor \lfloor False \rfloor \rfloor
```

```
lemma bool-split-0: X \tau = invalid \tau \lor X \tau = null \tau \lor
            X \tau = true \tau \quad \lor X \tau = false \tau
apply(simp add: invalid-def null-def true-def false-def)
apply(case-tac X \tau,simp-all add: null-fun-def null-option-def bot-option-def)
apply(case-tac a,simp)
apply(case-tac aa,simp)
apply auto
done
lemma [simp]: false(a, b) = ||False||
by(simp add:false-def)
lemma [simp]: true(a, b) = ||True||
by(simp add:true-def)
lemma textbook-true: I[[true]] \tau = ||True||
by(simp add: Sem-def true-def)
lemma textbook-false: I[false] \tau = ||False||
by(simp add: Sem-def false-def)
```

Name	Theorem
textbook-invalid	$I[[invalid]] \  au = UML ext{-Types.bot-class.bot}$
textbook-null-fun	$I\llbracket null \rrbracket \ \tau = null$
textbook-true	$I[[true]] \  au = \lfloor \lfloor True  floor \rfloor$
textbook-false	$I\llbracket false rbracket = \lfloor \lceil False rbracket \rfloor$

Table B.2.: Basic semantic constant definitions of the logic (except *null*)

### **Validity and Definedness**

However, this has also the consequence that core concepts like definedness, validness and even cp have to be redefined on this type class:

```
    definition valid :: ('\mathbb{A}, 'a::null)val ⇒ ('\mathbb{A})Boolean (v - [100]100)
    where v X ≡ λ τ . if X τ = bot τ then false τ else true τ
    lemma valid1[simp]: v invalid = false
    by(rule ext,simp add: valid-def bot-fun-def bot-option-def invalid-def true-def false-def)
    lemma valid2[simp]: v null = true
```

```
null-fun-def invalid-def true-def false-def)
lemma valid3[simp]: v true = true
 by(rule ext,simp add: valid-def bot-fun-def bot-option-def null-is-valid
                null-fun-def invalid-def true-def false-def)
lemma valid4[simp]: v false = true
 by(rule ext,simp add: valid-def bot-fun-def bot-option-def null-is-valid
                null-fun-def invalid-def true-def false-def)
lemma cp-valid: (v X) \tau = (v (\lambda - X \tau)) \tau
by(simp add: valid-def)
definition defined :: ('\mathfrak{A}, 'a::null)val \Rightarrow ('\mathfrak{A})Boolean (\delta - [100]100)
where \delta X \equiv \lambda \tau. if X \tau = bot \tau \lor X \tau = null \tau then false \tau else true \tau
   The generalized definitions of invalid and definedness have the same properties as the old ones:
lemma defined1[simp]: \delta invalid = false
 by(rule ext,simp add: defined-def bot-fun-def bot-option-def
                null-def invalid-def true-def false-def)
lemma defined2[simp]: \delta null = false
 by(rule ext,simp add: defined-def bot-fun-def bot-option-def
                null-def null-option-def null-fun-def invalid-def true-def false-def)
lemma defined3[simp]: \delta true = true
 by(rule ext,simp add: defined-def bot-fun-def bot-option-def null-is-valid null-option-def
                null-fun-def invalid-def true-def false-def)
lemma defined4[simp]: \delta false = true
 by(rule ext,simp add: defined-def bot-fun-def bot-option-def null-is-valid null-option-def
                null-fun-def invalid-def true-def false-def)
lemma defined5[simp]: \delta \delta X = true
 by(rule ext,
                      defined-def true-def false-def
   auto simp:
          bot-fun-def bot-option-def null-option-def null-fun-def)
lemma defined6[simp]: \delta v X = true
 by(rule ext,
   auto simp: valid-def defined-def true-def false-def
          bot-fun-def bot-option-def null-option-def null-fun-def)
```

**by**(rule ext,simp add: valid-def bot-fun-def bot-option-def null-is-valid

The definitions above for the constants *defined* and *valid* can be rewritten into the conventional semantic "textbook" format as follows:

```
lemma textbook-defined: I[\![\delta(X)]\!] \tau = (if I[\![X]\!] \tau = I[\![bot]\!] \tau \vee I[\![X]\!] \tau = I[\![null]\!] \tau then I[\![false]\!] \tau else I[\![true]\!] \tau) by (simp add: Sem-def defined-def) lemma textbook-valid: I[\![\upsilon(X)]\!] \tau = (if I[\![X]\!] \tau = I[\![bot]\!] \tau then I[\![false]\!] \tau else I[\![true]\!] \tau) by (simp add: Sem-def valid-def)
```

Table B.3 and Table B.4 summarize the results of this section.

Name	Theorem
textbook-defined	$I\llbracket \delta X  rbracket{X}  riangle  au = (if I\llbracket X  rbracket{X}  riangle  au = I\llbracket UML ext{-Types.bot-class.bot}  rbracket{ riangle}  au ert I\llbracket X  rbracket{X}  au = I\llbracket null  rbracket{ riangle}  au then$
	$I\llbracket false \rrbracket \;  au \; else \; I\llbracket true \rrbracket \;  au)$
textbook-valid	$I\llbracket v \ X \rrbracket \ \tau = (if \ I\llbracket X \rrbracket \ \tau = I\llbracket UML-Types.bot-class.bot \rrbracket \ \tau \ then \ I\llbracket false \rrbracket \ \tau \ else \ I\llbracket true \rrbracket \ \tau)$

Table B.3.: Basic predicate definitions of the logic.

# The Equalities of OCL

The OCL contains a particular version of equality, written in Standard documents  $\_=$  and  $\_<>$  for its negation, which is referred as *weak referential equality* hereafter and for which we use the symbol  $\_\doteq$  throughout the formal part of this document. Its semantics is motivated by the desire of fast execution, and similarity to languages like Java and C, but does not satisfy the needs of logical reasoning over OCL expressions and specifications. We therefore introduce a second equality, referred as *strong equality* or *logical equality* and written  $\_\triangleq$  which is not present in the current standard but was discussed in prior texts on OCL like the Amsterdam Manifesto [17] and was identified as desirable extension of OCL in the Aachen Meeting [13] in

Name	Theorem	
defined1	$\delta$ invalid = false	
defined2	$\delta$ $null=false$	
defined3	$\delta$ true $=$ true	
defined4	$\delta$ false $=$ $true$	
defined5	$\delta \delta X = true$	
defined6	$\delta v X = true$	

Table B.4.: Laws of the basic predicates of the logic.

the future 2.5 OCL Standard. The purpose of strong equality is to define and reason over OCL. It is therefore a natural task in Featherweight OCL to formally investigate the somewhat quite complex relationship between these two.

Strong equality has two motivations: a pragmatic one and a fundamental one.

- 1. The pragmatic reason is fairly simple: users of object-oriented languages want something like a "shallow object value equality". You will want to say a.boss ≜ b.boss@pre instead of
  - a.boss  $\doteq$  b.boss@pre **and** (\* just the pointers are equal! \*)
  - a.boss.name =b.boss@pre.name@pre and
  - a.boss.age = b.boss@pre.age@pre

Breaking a shallow-object equality down to referential equality of attributes is cumbersome, error-prone, and makes specifications difficult to extend (add for example an attribute sex to your class, and check in your OCL specification everywhere that you did it right with your simulation of strong equality). Therefore, languages like Java offer facilities to handle two different equalities, and it is problematic even in an execution oriented specification language to ignore shallow object equality because it is so common in the code.

2. The fundamental reason goes as follows: whatever you do to reason consistently over a language, you need the concept of equality: you need to know what expressions can be replaced by others because they *mean the same thing*. People call this also "Leibniz Equality" because this philosopher brought this principle first explicitly to paper and shed some light over it. It is the theoretic foundation of what you do in an optimizing compiler: you replace expressions by *equal* ones, which you hope are easier to evaluate. In a typed language, strong equality exists uniformly over all types, it is "polymorphic" \_ = \_ :: α \* α → bool—this is the way that equality is defined in HOL itself. We can express Leibniz principle as one logical rule of surprising simplicity and beauty:

$$s = t \Longrightarrow P(s) = P(t)$$
 (B.1)

"Whenever we know, that s is equal to t, we can replace the sub-expression s in a term P by t and we have that the replacement is equal to the original."

While weak referential equality is defined to be strict in the OCL standard, we will define strong equality as non-strict. It is quite nasty (but not impossible) to define the logical equality in a strict way (the substitutivity rule above would look more complex), however, whenever references were used, strong equality is needed since references refer to particular states (pre or post), and that they mean the same thing can therefore not be taken for granted.

**Definition** The strict equality on basic types (actually on all types) must be exceptionally defined on *null*—otherwise the entire concept of null in the language does not make much sense. This is an important exception from the general rule that null arguments—especially if passed as "self"-argument—lead to invalid results.

We define strong equality extremely generic, even for types that contain a null or  $\bot$  element. Strong equality is simply polymorphic in Featherweight OCL, i. e., is defined identical for all types in OCL and HOL.

```
definition StrongEq::[^{t}\mathfrak{A} st \Rightarrow '\alpha, ^{t}\mathfrak{A} st \Rightarrow '\alpha] \Rightarrow (^{t}\mathfrak{A})Boolean (infixl \triangleq 30) where X \triangleq Y \equiv \lambda \tau. \lfloor X \tau = Y \tau \rfloor \rfloor
```

From this follow already elementary properties like:

```
lemma [simp,code-unfold]: (true \triangleq false) = false

by(rule\ ext,\ auto\ simp: StrongEq-def)

lemma [simp,code-unfold]: (false \triangleq true) = false

by(rule\ ext,\ auto\ simp: StrongEq-def)
```

**Fundamental Predicates on Strong Equality** Equality reasoning in OCL is not humpty dumpty. While strong equality is clearly an equivalence:

```
lemma StrongEq-refl [simp]: (X \triangleq X) = true

by(rule ext, simp add: null-def invalid-def true-def false-def StrongEq-def)

lemma StrongEq-sym: (X \triangleq Y) = (Y \triangleq X)

by(rule ext, simp add: eq-sym-conv invalid-def true-def false-def StrongEq-def)

lemma StrongEq-trans-strong [simp]:

assumes A: (X \triangleq Y) = true

and B: (Y \triangleq Z) = true

shows (X \triangleq Z) = true

apply(insert A B) apply(rule ext)

apply(simp add: null-def invalid-def true-def false-def StrongEq-def)

apply(drule-tac x=x in fun-cong)+

by auto
```

it is only in a limited sense a congruence, at least from the point of view of this semantic theory. The point is that it is only a congruence on OCL expressions, not arbitrary HOL expressions (with which we can mix Featherweight OCL expressions). A semantic—not syntactic—characterization of OCL expressions is that they are *context-passing* or *context-invariant*, i. e., the context of an entire OCL expression, i. e. the pre and post state it referes to, is passed constantly and unmodified to the sub-expressions, i. e., all sub-expressions inside an OCL expression refer to the same context. Expressed formally, this boils down to:

```
lemma StrongEq-subst :
 assumes cp: \Lambda X. P(X)\tau = P(\lambda - X \tau)\tau
         eq: (X \triangleq Y)\tau = true \tau
 shows (PX \triangleq PY)\tau = true \tau
 apply(insert cp eq)
 apply(simp add: null-def invalid-def true-def false-def StrongEq-def)
 apply(subst cp[of X])
 apply(subst\ cp[of\ Y])
 by simp
lemma defined7[simp]: \delta (X \triangleq Y) = true
 bv(rule ext.
   auto simp: defined-def
                                    true-def false-def StrongEq-def
           bot-fun-def bot-option-def null-option-def null-fun-def)
lemma valid7[simp]: \upsilon(X \triangleq Y) = true
 bv(rule ext.
   auto simp: valid-def true-def false-def StrongEq-def
           bot-fun-def bot-option-def null-option-def null-fun-def)
lemma cp-StrongEq: (X \triangleq Y) \tau = ((\lambda - X \tau) \triangleq (\lambda - Y \tau)) \tau
by(simp add: StrongEq-def)
```

## **Logical Connectives and their Universal Properties**

It is a design goal to give OCL a semantics that is as closely as possible to a "logical system" in a known sense; a specification logic where the logical connectives can not be understood other that having the truth-table aside when reading fails its purpose in our view.

Practically, this means that we want to give a definition to the core operations to be as close as possible to the lattice laws; this makes also powerful symbolic normalization of OCL specifications possible as a pre-requisite for automated theorem provers. For example, it is still possible to compute without any definedness and validity reasoning the DNF of an OCL specification; be it for test-case generations or for a smooth transition to a two-valued representation of the specification amenable to fast standard SMT-solvers, for example.

Thus, our representation of the OCL is merely a 4-valued Kleene-Logics with *invalid* as least, *null* as middle and *true* resp. *false* as unrelated top-elements.

```
definition OclNot :: ({}^{t}\mathfrak{A})Boolean \Rightarrow ({}^{t}\mathfrak{A})Boolean (not) where not X \equiv \lambda \tau . case X \tau of
\bot \Rightarrow \bot
| \bot \bot \rfloor \Rightarrow \bot \bot
| \bot \bot \rfloor \Rightarrow [\bot \bot ]
| \bot \bot \bot \Rightarrow [\bot \neg x \rfloor]
```

```
lemma cp-OclNot: (not X)\tau = (not (\lambda -. X \tau)) \tau by(simp add: OclNot-def)
```

```
lemma OclNot1[simp]: not invalid = invalid
 by(rule ext,simp add: OclNot-def null-def invalid-def true-def false-def bot-option-def)
lemma OclNot2[simp]: not null = null
 by(rule ext,simp add: OclNot-def null-def invalid-def true-def false-def
                   bot-option-def null-fun-def null-option-def )
lemma OclNot3[simp]: not true = false
 by(rule ext,simp add: OclNot-def null-def invalid-def true-def false-def)
lemma OclNot4[simp]: not false = true
 by(rule ext, simp add: OclNot-def null-def invalid-def true-def false-def)
lemma OclNot-not[simp]: not (not X) = X
 apply(rule ext,simp add: OclNot-def null-def invalid-def true-def false-def)
 apply(case-tac\ X\ x,\ simp-all)
 apply(case-tac a, simp-all)
 done
lemma OclNot-inject: \bigwedge x y. not x = not y \Longrightarrow x = y
 by(subst OclNot-not[THEN sym], simp)
definition OclAnd :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean (infix) and 30)
where X and Y \equiv (\lambda \tau \cdot case X \tau \circ f)
                    ||False|| \Rightarrow
                                                \lfloor \lfloor False \rfloor \rfloor
                   |\perp \Rightarrow (case\ Y\ \tau\ of
                              \lfloor \lfloor False \rfloor \rfloor \Rightarrow \lfloor \lfloor False \rfloor \rfloor
                             | - \Rightarrow \bot )
                   | | \bot | \Rightarrow (case \ Y \ \tau \ of \ )
                               \lfloor \lfloor False \rfloor \rfloor \Rightarrow \lfloor \lfloor False \rfloor \rfloor
                             |\perp \Rightarrow \perp
                             |- \Rightarrow |\perp|
                   | | | True | | \Rightarrow
                                              Y \tau
```

Note that *not* is *not* defined as a strict function; proximity to lattice laws implies that we *need* a definition of *not* that satisfies not(not(x))=x.

In textbook notation, the logical core constructs not and op and were represented as follows:

lemma textbook-OclNot:

$$I\llbracket not(X) \rrbracket \tau = (case I\llbracket X \rrbracket \tau \ of \perp \Rightarrow \perp \mid \lfloor \perp \rfloor \Rightarrow \lfloor \perp \rfloor \mid \lfloor \lfloor x \rfloor \rfloor \Rightarrow \lfloor \lfloor \neg x \rfloor \rfloor)$$

**by**(*simp add: Sem-def OclNot-def*)

lemma textbook-OclAnd:

$$I[X \text{ and } Y] \tau = (case I[X] \tau \text{ of} \\ \bot \Rightarrow (case I[Y] \tau \text{ of}$$

```
\perp \Rightarrow \perp
                                   | | \perp | \Rightarrow \perp
                                   | | | True | | \Rightarrow \bot
                                  | | | False | | \Rightarrow | False | |
                   | \ | \ \perp \ | \Rightarrow (case I \llbracket Y \rrbracket \ \tau \ of
                                    \perp \Rightarrow \perp
                                   | | \perp | \Rightarrow | \perp | | |
                                   | | | True | | \Rightarrow | \perp |
                                  |\lfloor False \rfloor| \Rightarrow \lfloor False \rfloor|
                   | | | True | | \Rightarrow (case I [Y] \tau of)
                                     \perp \Rightarrow \perp
                                   | \lfloor \perp \rfloor \Rightarrow \lfloor \perp \rfloor
                                  |[y]| \Rightarrow |[y]|
                   |\lfloor False \rfloor| \Rightarrow \lfloor False \rfloor|
by(simp add: OclAnd-def Sem-def split: option.split bool.split)
definition Oclor :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean
                                                                                              (infixl or 25)
where X \text{ or } Y \equiv not(not \ X \text{ and not } Y)
definition OclImplies :: [('\mathfrak{A})Boolean, ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A})Boolean
                                                                                                (infixl implies 25)
where X implies Y \equiv not X or Y
lemma cp-OclAnd:(X and Y) \tau = ((\lambda - X \tau) \text{ and } (\lambda - Y \tau)) \tau
by(simp add: OclAnd-def)
lemma cp-OclOr:((X::('\mathfrak{A})Boolean) \ or \ Y) \ \tau = ((\lambda - X \ \tau) \ or \ (\lambda - Y \ \tau)) \ \tau
apply(simp add: OclOr-def)
apply(subst cp-OclNot[of not (\lambda - X \tau) and not (\lambda - Y \tau)])
apply(subst cp-OclAnd[of not (\lambda - X \tau) not (\lambda - Y \tau)])
by(simp add: cp-OclNot[symmetric] cp-OclAnd[symmetric])
lemma cp-OclImplies:(X \text{ implies } Y) \tau = ((\lambda - X \tau) \text{ implies } (\lambda - Y \tau)) \tau
apply(simp add: OclImplies-def)
apply(subst cp-OclOr[of not (\lambda - X \tau) (\lambda - Y \tau)])
by(simp add: cp-OclNot[symmetric] cp-OclOr[symmetric])
lemma OclAnd1[simp]: (invalid and true) = invalid
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def)
lemma OclAnd2[simp]: (invalid and false) = false
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def)
lemma OclAnd3[simp]: (invalid and null) = invalid
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
                   null-fun-def null-option-def)
lemma OclAnd4[simp]: (invalid and invalid) = invalid
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def)
```

```
lemma OclAnd5[simp]: (null\ and\ true) = null
 by (rule ext, simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
               null-fun-def null-option-def)
lemma OclAnd6[simp]: (null\ and\ false) = false
 by (rule ext, simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
               null-fun-def null-option-def)
lemma OclAnd7[simp]: (null\ and\ null) = null
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
               null-fun-def null-option-def)
lemma OclAnd8[simp]: (null and invalid) = invalid
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
               null-fun-def null-option-def)
lemma OclAnd9[simp]: (false\ and\ true) = false
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)
lemma OclAnd10[simp]: (false\ and\ false) = false
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)
lemma OclAnd11[simp]: (false\ and\ null) = false
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)
lemma OclAnd12[simp]: (false\ and\ invalid) = false
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)
lemma OclAnd13[simp]: (true\ and\ true) = true
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)
lemma OclAnd14[simp]: (true\ and\ false) = false
 by(rule ext, simp add: OclAnd-def null-def invalid-def true-def false-def)
lemma OclAnd15[simp]: (true\ and\ null) = null
 by(rule ext, simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
               null-fun-def null-option-def)
lemma OclAnd16[simp]: (true and invalid) = invalid
 by(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def bot-option-def
               null-fun-def null-option-def)
lemma OclAnd\text{-}idem[simp]: (X and X) = X
 apply(rule ext,simp add: OclAnd-def null-def invalid-def true-def false-def)
 apply(case-tac\ X\ x,\ simp-all)
 apply(case-tac a, simp-all)
 apply(case-tac aa, simp-all)
 done
lemma OclAnd-commute: (X \text{ and } Y) = (Y \text{ and } X)
 by(rule ext,auto simp:true-def false-def OclAnd-def invalid-def
            split: option.split option.split-asm
                bool.split bool.split-asm)
```

**lemma** OclAnd-false1[simp]:  $(false\ and\ X) = false$ 

```
apply(rule ext, simp add: OclAnd-def)
 apply(auto simp:true-def false-def invalid-def
         split: option.split option.split-asm)
 done
lemma OclAnd-false2[simp]: (X and false) = false
 by(simp add: OclAnd-commute)
lemma OclAnd-true1[simp]: (true and X) = X
 apply(rule ext, simp add: OclAnd-def)
 apply(auto simp:true-def false-def invalid-def
         split: option.split option.split-asm)
 done
lemma OclAnd-true2[simp]: (X and true) = X
 by(simp add: OclAnd-commute)
lemma OclAnd-bot1[simp]: \land \tau. X \tau \neq false \tau \Longrightarrow (bot \ and \ X) \tau = bot \tau
 apply(simp add: OclAnd-def)
 apply(auto simp:true-def false-def bot-fun-def bot-option-def
         split: option.split option.split-asm)
done
lemma OclAnd-bot2[simp]: \land \tau. X \tau \neq false \tau \Longrightarrow (X \text{ and bot}) \tau = bot \tau
 by(simp add: OclAnd-commute)
lemma OclAnd-null1[simp]: \land \tau. X \tau \neq false \tau \Longrightarrow X \tau \neq bot \tau \Longrightarrow (null and X) \tau = null \tau
 apply(simp add: OclAnd-def)
 apply(auto simp:true-def false-def bot-fun-def bot-option-def null-fun-def null-option-def
         split: option.split option.split-asm)
done
lemma OclAnd-null2[simp]: \bigwedge \tau. X \tau \neq false \tau \Longrightarrow X \tau \neq bot \tau \Longrightarrow (X \text{ and null}) \tau = null \tau
 by(simp add: OclAnd-commute)
lemma OclAnd-assoc: (X \text{ and } (Y \text{ and } Z)) = (X \text{ and } Y \text{ and } Z)
 apply(rule ext, simp add: OclAnd-def)
 apply(auto simp:true-def false-def null-def invalid-def
         split: option.split option.split-asm
              bool.split bool.split-asm)
done
lemma OclOr1[simp]: (invalid or true) = true
by(rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def
                bot-option-def)
lemma OclOr2[simp]: (invalid or false) = invalid
```

```
by(rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def
              bot-option-def)
lemma OclOr3[simp]: (invalid or null) = invalid
by(rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def
              bot-option-def null-fun-def null-option-def)
lemma OclOr4[simp]: (invalid or invalid) = invalid
by(rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def
              bot-option-def)
lemma OclOr5[simp]: (null\ or\ true) = true
by (rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def
              bot-option-def null-fun-def null-option-def)
lemma OclOr6[simp]: (null\ or\ false) = null
by(rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def
              bot-option-def null-fun-def null-option-def)
lemma OclOr7[simp]: (null\ or\ null) = null
by(rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def
              bot-option-def null-fun-def null-option-def)
lemma OclOr8[simp]: (null or invalid) = invalid
by(rule ext, simp add: OclOr-def OclNot-def OclAnd-def null-def invalid-def true-def false-def
              bot-option-def null-fun-def null-option-def)
lemma OclOr-idem[simp]: (X or X) = X
 by(simp add: OclOr-def)
lemma OclOr-commute: (X or Y) = (Y or X)
 by(simp add: OclOr-def OclAnd-commute)
lemma OclOr-false1[simp]: (false or Y) = Y
 by(simp add: OclOr-def)
lemma OclOr-false2[simp]: (Y or false) = Y
 by(simp add: OclOr-def)
lemma OclOr-true1[simp]: (true or Y) = true
 by(simp add: OclOr-def)
lemma OclOr-true2: (Y or true) = true
 by(simp add: OclOr-def)
lemma OclOr-bot1[simp]: \land \tau. X \tau \neq true \tau \Longrightarrow (bot \ or \ X) \tau = bot \tau
 apply(simp add: OclOr-def OclAnd-def OclNot-def)
```

**lemma**  $OclOr-bot2[simp]: \land \tau. X \ \tau \neq true \ \tau \Longrightarrow (X \ or \ bot) \ \tau = bot \ \tau$  **by**( $simp \ add: OclOr-commute$ )

**apply**(auto simp:true-def false-def bot-fun-def bot-option-def

split: option.split option.split-asm)

done

```
lemma Oclor-null1[simp]: \land \tau. X \tau \neq true \tau \Longrightarrow X \tau \neq bot \tau \Longrightarrow (null \ or \ X) \tau = null \ \tau
 apply(simp add: OclOr-def OclAnd-def OclNot-def)
 apply(auto simp:true-def false-def bot-fun-def bot-option-def null-fun-def null-option-def
         split: option.split option.split-asm)
 apply (metis (full-types) bool.simps(3) bot-option-def null-is-valid null-option-def)
by (metis (full-types) bool.simps(3) option.distinct(1) the.simps)
lemma OclOr-null2[simp]: \land \tau. X \tau \neq true \tau \Longrightarrow X \tau \neq bot \tau \Longrightarrow (X or null) \tau = null \tau
 by(simp add: OclOr-commute)
lemma OclOr-assoc: (X \ or \ (Y \ or \ Z)) = (X \ or \ Y \ or \ Z)
 by(simp add: OclOr-def OclAnd-assoc)
lemma OclImplies-true: (X implies true) = true
 by (simp add: OclImplies-def OclOr-true2)
lemma deMorgan1: not(X \text{ and } Y) = ((not X) \text{ or } (not Y))
 by(simp add: OclOr-def)
lemma deMorgan2: not(X \text{ or } Y) = ((not X) \text{ and } (not Y))
 by(simp add: OclOr-def)
A Standard Logical Calculus for OCL
definition OclValid :: [({}^{\iota}\mathfrak{A})st, ({}^{\iota}\mathfrak{A})Boolean] \Rightarrow bool ((1(-)/\models (-)) 50)
where \tau \models P \equiv ((P \ \tau) = true \ \tau)
Global vs. Local Judgements lemma transform1: P = true \Longrightarrow \tau \models P
by(simp add: OclValid-def)
lemma transform1-rev: \forall \tau. \tau \models P \Longrightarrow P = true
by(rule ext, auto simp: OclValid-def true-def)
lemma transform2: (P = Q) \Longrightarrow ((\tau \models P) = (\tau \models Q))
by(auto simp: OclValid-def)
lemma transform2-rev: \forall \tau. (\tau \models \delta P) \land (\tau \models \delta Q) \land (\tau \models P) = (\tau \models Q) \Longrightarrow P = Q
apply(rule ext,auto simp: OclValid-def true-def defined-def)
apply(erule-tac x=a in all E)
apply(erule-tac x=b in all E)
apply(auto simp: false-def true-def defined-def bot-Boolean-def null-Boolean-def
            split: option.split-asm HOL.split-if-asm)
done
```

However, certain properties (like transitivity) can not be *transformed* from the global level to the local one, they have to be re-proven on the local level.

```
lemma
assumes H: P = true \Longrightarrow Q = true
shows \tau \models P \Longrightarrow \tau \models Q
apply(simp add: OclValid-def)
apply(rule H[THEN fun-cong])
apply(rule ext)
oops
Local Validity and Meta-logic lemma foundation1[simp]: \tau \models true
by(auto simp: OclValid-def)
lemma foundation2[simp]: \neg(\tau \models false)
by(auto simp: OclValid-def true-def false-def)
lemma foundation3[simp]: \neg(\tau \models invalid)
by(auto simp: OclValid-def true-def false-def invalid-def bot-option-def)
lemma foundation4[simp]: \neg(\tau \models null)
by(auto simp: OclValid-def true-def false-def null-def null-fun-def null-option-def bot-option-def)
lemma bool-split[simp]:
(\tau \models (x \triangleq invalid)) \lor (\tau \models (x \triangleq null)) \lor (\tau \models (x \triangleq true)) \lor (\tau \models (x \triangleq false))
apply(insert bool-split-0[of x \tau], auto)
apply(simp-all add: OclValid-def StrongEq-def true-def null-def invalid-def)
done
lemma defined-split:
(\tau \models \delta x) = ((\neg(\tau \models (x \triangleq invalid))) \land (\neg(\tau \models (x \triangleq null))))
by(simp add:defined-def true-def false-def invalid-def null-def
           StrongEq-def OclValid-def bot-fun-def null-fun-def)
lemma valid-bool-split: (\tau \models \upsilon A) = ((\tau \models A \triangleq null) \lor (\tau \models A) \lor (\tau \models not A))
by(auto simp:valid-def true-def false-def invalid-def null-def OclNot-def
         StrongEq-def OclValid-def bot-fun-def bot-option-def null-option-def null-fun-def)
lemma defined-bool-split: (\tau \models \delta A) = ((\tau \models A) \lor (\tau \models not A))
by(auto simp:defined-def true-def false-def invalid-def null-def OclNot-def
         StrongEq-def OclValid-def bot-fun-def bot-option-def null-option-def null-fun-def)
```

#### **lemma** foundation5:

$$\tau \models (P \ and \ Q) \Longrightarrow (\tau \models P) \land (\tau \models Q)$$

**by**(simp add: OclAnd-def OclValid-def true-def false-def defined-def split: option.split option.split-asm bool.split bool.split-asm)

## lemma foundation6:

```
\tau \models P \Longrightarrow \tau \models \delta P
by(simp add: OclNot-def OclValid-def true-def false-def defined-def
            null-option-def null-fun-def bot-option-def bot-fun-def
          split: option.split option.split-asm)
lemma foundation7[simp]:
(\tau \models not (\delta x)) = (\neg (\tau \models \delta x))
by(simp add: OclNot-def OclValid-def true-def false-def defined-def
         split: option.split option.split-asm)
lemma foundation7 '[simp]:
(\tau \models not (\upsilon x)) = (\neg (\tau \models \upsilon x))
by(simp add: OclNot-def OclValid-def true-def false-def valid-def
          split: option.split option.split-asm)
   Key theorem for the \delta-closure: either an expression is defined, or it can be replaced (substituted via StrongEq-L-subst2;
see below) by invalid or null. Strictness-reduction rules will usually reduce these substituted terms drastically.
lemma foundation8:
(\tau \models \delta x) \lor (\tau \models (x \triangleq invalid)) \lor (\tau \models (x \triangleq null))
proof –
 have I : (\tau \models \delta x) \lor (\neg(\tau \models \delta x)) by auto
 have 2: (\neg(\tau \models \delta x)) = ((\tau \models (x \triangleq invalid)) \lor (\tau \models (x \triangleq null)))
        by(simp only: defined-split, simp)
 show ?thesis by(insert 1, simp add:2)
qed
lemma foundation9:
\tau \models \delta x \Longrightarrow (\tau \models not x) = (\neg (\tau \models x))
apply(simp add: defined-split)
by(auto simp: OclNot-def null-fun-def null-option-def bot-option-def
             OclValid-def invalid-def true-def null-def StrongEq-def)
lemma foundation9':
\tau \models not \ x \Longrightarrow \neg \ (\tau \models x)
by(auto simp: foundation6 foundation9)
lemma foundation9":
         \tau \models not x \Longrightarrow \tau \models \delta x
by(metis OclNot3 OclNot-not OclValid-def cp-OclNot cp-defined defined4)
lemma foundation10:
\tau \models \delta x \Longrightarrow \tau \models \delta y \Longrightarrow (\tau \models (x \text{ and } y)) = ((\tau \models x) \land (\tau \models y))
apply(simp add: defined-split)
by(auto simp: OclAnd-def OclValid-def invalid-def
           true-def null-def StrongEq-def null-fun-def null-option-def bot-option-def
      split:bool.split-asm)
```

**lemma** foundation10':  $(\tau \models (A \text{ and } B)) = ((\tau \models A) \land (\tau \models B))$ **by**(auto dest:foundation5 simp:foundation6 foundation10)

**lemma** foundation11:

$$\tau \models \delta x \Longrightarrow \tau \models \delta y \Longrightarrow (\tau \models (x \text{ or } y)) = ((\tau \models x) \lor (\tau \models y))$$

apply(simp add: defined-split)

**by**(auto simp: OclNot-def OclOr-def OclAnd-def OclValid-def invalid-def true-def null-def StrongEq-def null-fun-def null-option-def bot-option-def split:bool.split-asm bool.split)

**lemma** foundation12:

$$\tau \models \delta x \Longrightarrow (\tau \models (x \text{ implies } y)) = ((\tau \models x) \longrightarrow (\tau \models y))$$

apply(simp add: defined-split)

by (auto simp: OclNot-def OclOr-def OclAnd-def OclImplies-def bot-option-def OclValid-def invalid-def true-def null-def StrongEq-def null-fun-def null-option-def split:bool.split-asm bool.split option.split-asm)

**lemma** foundation13:( $\tau \models A \triangleq true$ ) = ( $\tau \models A$ )

**by**(auto simp: OclNot-def OclValid-def invalid-def true-def null-def StrongEq-def split:bool.split-asm bool.split)

**lemma** foundation14:( $\tau \models A \triangleq false$ ) = ( $\tau \models not A$ )

**by**(auto simp: OclNot-def OclValid-def invalid-def false-def true-def null-def StrongEq-def split:bool.split-asm bool.split option.split)

**lemma** *foundation15*:( $\tau \models A \triangleq invalid$ ) = ( $\tau \models not(\upsilon A)$ )

**by**(auto simp: OclNot-def OclValid-def valid-def invalid-def false-def true-def null-def StrongEq-def bot-option-def null-fun-def null-option-def bot-option-def bot-fun-def split:bool.split-asm bool.split option.split)

**lemma** *foundation16*:  $\tau \models (\delta X) = (X \tau \neq bot \land X \tau \neq null)$ 

**by**(auto simp: OclValid-def defined-def false-def true-def bot-fun-def null-fun-def split:split-if-asm)

**lemma** *foundation16''*:  $\neg(\tau \models (\delta X)) = ((\tau \models (X \triangleq invalid)) \lor (\tau \models (X \triangleq null)))$  **apply**(*simp add*: *foundation16*)

**by**(auto simp:defined-def false-def true-def bot-fun-def null-fun-def OclValid-def StrongEq-def invalid-def)

 $\textbf{lemma} \textit{ foundation 16'} : (\tau \models (\delta \textit{ X})) = (\textit{X} \; \tau \neq \textit{invalid} \; \tau \land \textit{X} \; \tau \neq \textit{null} \; \tau)$ 

**apply**(*simp add:invalid-def null-def null-fun-def*)

**by**(auto simp: OclValid-def defined-def false-def true-def bot-fun-def null-fun-def split:split-if-asm)

```
lemma foundation18: (\tau \models (\upsilon X)) = (X \tau \neq invalid \tau)
by(auto simp: OclValid-def valid-def false-def true-def bot-fun-def invalid-def
      split:split-if-asm)
lemma foundation18': (\tau \models (\upsilon X)) = (X \tau \neq bot)
by(auto simp: OclValid-def valid-def false-def true-def bot-fun-def
      split:split-if-asm)
lemma foundation 18": (\tau \models (\upsilon X)) = (\neg(\tau \models (X \triangleq invalid)))
by(auto simp:foundation15)
lemma foundation20 : \tau \models (\delta X) \Longrightarrow \tau \models \upsilon X
by(simp add: foundation18 foundation16 invalid-def)
lemma foundation21: (not A \triangleq not B) = (A \triangleq B)
by(rule ext, auto simp: OclNot-def StrongEq-def
               split: bool.split-asm HOL.split-if-asm option.split)
lemma foundation22: (\tau \models (X \triangleq Y)) = (X \tau = Y \tau)
by(auto simp: StrongEq-def OclValid-def true-def)
lemma foundation23: (\tau \models P) = (\tau \models (\lambda - . P \tau))
by(auto simp: OclValid-def true-def)
lemma foundation24:(\tau \models not(X \triangleq Y)) = (X \tau \neq Y \tau)
by(simp add: StrongEq-def OclValid-def OclNot-def true-def)
lemma foundation25: \tau \models P \Longrightarrow \tau \models (P \text{ or } Q)
by(simp add: OclOr-def OclNot-def OclAnd-def OclValid-def true-def)
lemma foundation25': \tau \models Q \Longrightarrow \tau \models (P \text{ or } Q)
by(subst OclOr-commute, simp add: foundation25)
lemma foundation26:
assumes defP: \tau \models \delta P
assumes defQ: \tau \models \delta Q
assumes H: \tau \models (P \text{ or } Q)
assumes P: \tau \models P \Longrightarrow R
assumes Q: \tau \models Q \Longrightarrow R
shows R
```

```
lemma foundation27: (\tau \models (A \text{ and } B)) = ((\tau \models A) \land (\tau \models B))
by(auto dest:foundation5 simp:foundation6 foundation10)
lemma defined-not-I: \tau \models \delta(x) \Longrightarrow \tau \models \delta(not x)
 by(auto simp: OclNot-def null-def invalid-def defined-def valid-def OclValid-def
              true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
          split: option.split-asm HOL.split-if-asm)
lemma valid-not-I : \tau \models \upsilon(x) \Longrightarrow \tau \models \upsilon(not x)
 by(auto simp: OclNot-def null-def invalid-def defined-def valid-def OclValid-def
              true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
        split: option.split-asm option.split HOL.split-if-asm)
lemma defined-and-I: \tau \models \delta(x) \Longrightarrow \tau \models \delta(y) \Longrightarrow \tau \models \delta(x \text{ and } y)
 apply(simp add: OclAnd-def null-def invalid-def defined-def valid-def OclValid-def
              true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
          split: option.split-asm HOL.split-if-asm)
 apply(auto simp: null-option-def split: bool.split)
 by(case-tac ya,simp-all)
lemma valid-and-I: \tau \models \upsilon(x) \Longrightarrow \tau \models \upsilon(y) \Longrightarrow \tau \models \upsilon(x \text{ and } y)
 apply(simp add: OclAnd-def null-def invalid-def defined-def valid-def OclValid-def
              true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
          split: option.split-asm HOL.split-if-asm)
 by(auto simp: null-option-def split: option.split bool.split)
lemma defined-or-I: \tau \models \delta(x) \Longrightarrow \tau \models \delta(y) \Longrightarrow \tau \models \delta(x \text{ or } y)
by(simp add: OclOr-def defined-and-I defined-not-I)
lemma valid-or-I : \tau \models \upsilon(x) \Longrightarrow \tau \models \upsilon(y) \Longrightarrow \tau \models \upsilon(x \ or \ y)
by(simp add: OclOr-def valid-and-I valid-not-I)
Local Judgements and Strong Equality lemma StrongEq-L-reft: \tau \models (x \triangleq x)
by(simp add: OclValid-def StrongEq-def)
lemma StrongEq-L-sym: \tau \models (x \triangleq y) \Longrightarrow \tau \models (y \triangleq x)
by(simp add: StrongEq-sym)
lemma StrongEq-L-trans: \tau \models (x \triangleq y) \Longrightarrow \tau \models (y \triangleq z) \Longrightarrow \tau \models (x \triangleq z)
by(simp add: OclValid-def StrongEq-def true-def)
```

**by**(insert H, subst (asm) foundation11[OF defP defQ], erule disjE, simp-all add: P Q)

In order to establish substitutivity (which does not hold in general HOL formulas) we introduce the following predicate that allows for a calculus of the necessary side-conditions.

```
definition cp :: (('\mathfrak{A},'\alpha) \ val \Rightarrow ('\mathfrak{A},'\beta) \ val) \Rightarrow bool

where cp \ P \equiv (\exists \ f. \ \forall \ X \ \tau. \ P \ X \ \tau = f \ (X \ \tau) \ \tau)
```

The rule of substitutivity in Featherweight OCL holds only for context-passing expressions, i. e. those that pass the context  $\tau$  without changing it. Fortunately, all operators of the OCL language satisfy this property (but not all HOL operators).

```
not all HOL operators).
lemma StrongEq-L-subst1: \bigwedge \tau. cp P \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow \tau \models (P x \triangleq P y)
by(auto simp: OclValid-def StrongEq-def true-def cp-def)
lemma StrongEq-L-subst2:
\land \tau. \ cp \ P \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow \tau \models (P \ x) \Longrightarrow \tau \models (P \ y)
by(auto simp: OclValid-def StrongEq-def true-def cp-def)
lemma StrongEq-L-subst2-rev: \tau \models y \triangleq x \Longrightarrow cp P \Longrightarrow \tau \models P x \Longrightarrow \tau \models P y
apply(erule StrongEq-L-subst2)
apply(erule StrongEq-L-sym)
by assumption
lemma StrongEq-L-subst3:
assumes cp: cp P
and eq: \tau \models (x \triangleq y)
             (\tau \models P x) = (\tau \models P y)
shows
apply(rule iffI)
apply(rule StrongEq-L-subst2[OF cp,OF eq],simp)
apply(rule StrongEq-L-subst2[OF cp,OF eq[THEN StrongEq-L-sym]],simp)
done
lemma StrongEq-L-subst3-rev:
assumes eq: \tau \models (x \triangleq y)
and cp: cp P
shows
             (\tau \models P x) = (\tau \models P y)
by(insert cp, erule StrongEq-L-subst3, rule eq)
lemma StrongEq-L-subst4-rev:
assumes eq: \tau \models (x \triangleq y)
and cp: cp P
shows
             (\neg(\tau \models P x)) = (\neg(\tau \models P y))
thm arg-cong[of - - Not]
apply(rule arg-cong[of - - Not])
by(insert cp, erule StrongEq-L-subst3, rule eq)
lemma cp11:
(\forall X \tau. fX \tau = f(\lambda - X \tau) \tau) \Longrightarrow cp P \Longrightarrow cp(\lambda X. f(PX))
apply(auto simp: true-def cp-def)
apply(rule\ exI,\ (rule\ allI)+)
by(erule-tac x=P X in <math>allE, auto)
```

lemma cpI2:

```
(\forall X Y \tau. f X Y \tau = f(\lambda -. X \tau)(\lambda -. Y \tau) \tau) \Longrightarrow
cp P \Longrightarrow cp Q \Longrightarrow cp(\lambda X. f(PX)(QX))
apply(auto simp: true-def cp-def)
apply(rule\ exI,\ (rule\ allI)+)
by(erule-tac x=P X in <math>allE, auto)
lemma cpI3:
(\forall XYZ \tau. fXYZ \tau = f(\lambda -. X \tau)(\lambda -. Y \tau)(\lambda -. Z \tau) \tau) \Longrightarrow
cp \ P \Longrightarrow cp \ Q \Longrightarrow cp \ R \Longrightarrow cp(\lambda X. f(P X)(Q X)(R X))
apply(auto simp: cp-def)
apply(rule exI, (rule allI)+)
by(erule-tac x=P X in all E, auto)
lemma cp14:
(\forall WXYZ\tau. fWXYZ\tau = f(\lambda - W\tau)(\lambda - X\tau)(\lambda - Y\tau)(\lambda - Z\tau)\tau) \Longrightarrow
cp \ P \Longrightarrow cp \ Q \Longrightarrow cp \ R \Longrightarrow cp \ (\lambda X.f \ (P \ X) \ (Q \ X) \ (R \ X) \ (S \ X))
apply(auto simp: cp-def)
apply(rule exI, (rule allI)+)
by(erule-tac x=P X in <math>allE, auto)
lemma cp\text{-}const: cp(\lambda -. c)
 by (simp add: cp-def, fast)
lemma cp-id: cp(\lambda X. X)
 by (simp add: cp-def, fast)
lemmas cp-intro[intro!,simp,code-unfold] =
     cp-const
     cp-id
     cp-defined[THEN allI[THEN allI[THEN cpII], of defined]]
     cp-valid[THEN allI[THEN allI[THEN cpII], of valid]]
     cp-OclNot[THEN allI[THEN allI[THEN cpII], of not]]
     cp-OclAnd[THEN allI[THEN allI[THEN allI[THEN cpI2]], of op and]]
     cp-OclOr[THEN allI[THEN allI[THEN allI[THEN cpI2]], of op or]]
     cp-OclImplies[THEN allI[THEN allI[THEN allI[THEN cpI2]], of op implies]]
     cp-StrongEq[THEN allI[THEN allI[THEN allI[THEN cpI2]],
              of StrongEq
```

## OCL's if then else endif

```
definition Ocllf :: [('\mathbb{A}) Boolean , ('\mathbb{A},'\alpha::null) val, ('\mathbb{A},'\alpha) val] \Rightarrow ('\mathbb{A},'\alpha) val 
 (if (-) then (-) else (-) endif [10,10,10]50) 
 where (if C then B<sub>1</sub> else B<sub>2</sub> endif) = (\lambda \tau. \tau if (\delta C) \tau = true \tau 
 then (if (C \tau) = true \tau 
 then B<sub>1</sub> \tau 
 else B<sub>2</sub> \tau) 
 else invalid \tau)
```

```
lemma cp-OclIf:((if C then B_1 else B_2 endif) \tau =
            (if (\lambda - C \tau) then (\lambda - B_1 \tau) else (\lambda - B_2 \tau) endif (\tau)
by(simp only: OclIf-def, subst cp-defined, rule refl)
lemmas cp-intro '[intro!,simp,code-unfold] =
    cp-intro
    cp-Oclif [THEN alli [THEN alli [THEN alli [THEN alli [THEN cpi3]]]], of Oclif ]]
lemma OclIf-invalid [simp]: (if invalid then B_1 else B_2 endif) = invalid
by(rule ext, auto simp: OclIf-def)
lemma OclIf-null [simp]: (if null then B_1 else B_2 endif) = invalid
by(rule ext, auto simp: OclIf-def)
lemma OclIf-true [simp]: (if true then B_1 else B_2 endif) = B_1
by(rule ext, auto simp: OclIf-def)
lemma Oclif-true' [simp]: \tau \models P \Longrightarrow (if P then B_1 else B_2 endif) \tau = B_1 \tau
apply(subst cp-OclIf,auto simp: OclValid-def)
by(simp add:cp-OclIf[symmetric])
lemma OclIf-true'' [simp]: \tau \models P \Longrightarrow \tau \models (if P \text{ then } B_1 \text{ else } B_2 \text{ endif}) \triangleq B_1
by(subst OclValid-def, simp add: StrongEq-def true-def)
lemma OclIf-false [simp]: (if false then B_1 else B_2 endif) = B_2
by(rule ext, auto simp: OclIf-def)
lemma Oclif-false' [simp]: \tau \models not P \Longrightarrow (if P then B_1 else B_2 endif) \tau = B_2 \tau
apply(subst cp-OclIf)
apply(auto simp: foundation14[symmetric] foundation22)
by(auto simp: cp-OclIf[symmetric])
lemma OclIf-idem1[simp]:(if \delta X then A else A endif) = A
by(rule ext, auto simp: OclIf-def)
lemma Ocllf-idem2[simp]:(if v X then A else A endif) = A
by(rule ext, auto simp: OclIf-def)
lemma OclNot-if [simp]:
not(if P then C else E endif) = (if P then not C else not E endif)
 apply(rule OclNot-inject, simp)
 apply(rule ext)
 apply(subst cp-OclNot, simp add: OclIf-def)
 apply(subst cp-OclNot[symmetric])+
by simp
```

#### Fundamental Predicates on Basic Types: Strict (Referential) Equality

In contrast to logical equality, the OCL standard defines an equality operation which we call "strict referential equality". It behaves differently for all types—on value types, it is basically a strict version of strong equality, for defined values it behaves identical. But on object types it will compare their references within the store. We introduce strict referential equality as an *overloaded* concept and will handle it for each type instance individually.

```
consts StrictRefEq :: [(^{t}\mathfrak{A}, 'a)val, (^{t}\mathfrak{A}, 'a)val] \Rightarrow (^{t}\mathfrak{A})Boolean (infixl \doteq 30) with term "not" we can express the notation: 

syntax notequal :: (^{t}\mathfrak{A})Boolean \Rightarrow (^{t}\mathfrak{A})Boolean \Rightarrow (^{t}\mathfrak{A})Boolean (infix <> 40) translations a <> b == CONST\ OclNot(a \doteq b)
```

We will define instances of this equality in a case-by-case basis.

## Laws to Establish Definedness ( $\delta$ -closure)

```
For the logical connectives, we have — beyond \tau \models P \Longrightarrow \tau \models \delta P — the following facts:
```

```
lemma OclNot\text{-}defargs: \tau \models (not\ P) \Longrightarrow \tau \models \delta\ P by (auto simp: OclNot\text{-}def\ OclValid\text{-}def\ true\text{-}def\ invalid\text{-}def\ defined\text{-}def\ false\text{-}def\ bot\text{-}fun\text{-}def\ bot\text{-}option\text{-}def\ null\text{-}fun\text{-}def\ null\text{-}option\text{-}def\ split: bool.split\text{-}asm\ HOL.split\text{-}if\text{-}asm\ option.split\ option.split\text{-}asm)}
```

```
lemma OclNot\text{-}contrapos\text{-}nn:
assumes A: \tau \models \delta A
assumes B: \tau \models not B
assumes C: \tau \models A \Longrightarrow \tau \models B
shows \tau \models not A
proof -
have D: \tau \models \delta B by (rule\ B[THEN\ OclNot\text{-}defargs])
show ?thesis
apply (insert\ B,simp\ add:\ A\ D\ foundation9)
by (erule\ contrapos\text{-}nn,\ auto\ intro:\ C)
qed
```

## A Side-calculus for Constant Terms

**lemma** *const-subst*:

```
definition const X \equiv \forall \ \tau \ \tau'. \ X \ \tau = X \ \tau'

lemma const\text{-}charn: const X \Longrightarrow X \ \tau = X \ \tau'

by(auto \ simp: const\text{-}def)
```

```
assumes const-X: const X
   and const-Y: const Y
   and eq: X \tau = Y \tau
   and cp-P: cp P
   and pp: PY \tau = PY \tau'
  shows P X \tau = P X \tau'
proof -
  have A: \bigwedge Y. P Y \tau = P (\lambda -. Y \tau) \tau
    apply(insert cp-P, unfold cp-def)
    apply(elim\ exE, erule-tac\ x=Y in allE', erule-tac\ x=\tau in allE)
    apply(erule-tac x=(\lambda - Y \tau) in all E, erule-tac x=\tau in all E)
    by simp
  have B: \bigwedge Y. P Y \tau' = P(\lambda - Y \tau') \tau'
    apply(insert cp-P, unfold cp-def)
    apply(elim\ exE, erule-tac\ x=Y in allE', erule-tac\ x=\tau' in allE)
    apply(erule-tac x=(\lambda-. Y \tau') in allE, erule-tac x=\tau' in allE)
    by simp
  have C: X \tau' = Y \tau'
    apply(rule trans, subst const-charn[OF const-X],rule eq)
    by(rule const-charn[OF const-Y])
  show ?thesis
    apply(subst A, subst B, simp add: eq C)
    apply(subst A[symmetric],subst B[symmetric])
    by(simp add:pp)
qed
lemma const-imply2:
assumes \wedge \tau \tau'. P \tau = P \tau' \Longrightarrow Q \tau = Q \tau'
shows const P \Longrightarrow const Q
by(simp add: const-def, insert assms, blast)
lemma const-imply3 :
assumes \land \tau \tau'. P \tau = P \tau' \Longrightarrow Q \tau = Q \tau' \Longrightarrow R \tau = R \tau'
shows const P \Longrightarrow const Q \Longrightarrow const R
by(simp add: const-def, insert assms, blast)
lemma const-imply4:
assumes \land \tau \tau'. P \tau = P \tau' \Longrightarrow Q \tau = Q \tau' \Longrightarrow R \tau = R \tau' \Longrightarrow S \tau = S \tau'
shows const P \Longrightarrow const \ O \Longrightarrow const \ R \Longrightarrow const \ S
by(simp add: const-def, insert assms, blast)
lemma const-lam : const (\lambda - e)
by(simp add: const-def)
lemma const-true[simp] : const true
by(simp add: const-def true-def)
```

```
lemma const-false[simp] : const false
by(simp add: const-def false-def)
lemma const-null[simp] : const null
by(simp add: const-def null-fun-def)
lemma const-invalid [simp]: const invalid
by(simp add: const-def invalid-def)
lemma const-bot[simp] : const bot
by(simp add: const-def bot-fun-def)
lemma const-defined:
assumes const X
shows const (\delta X)
by(rule const-imply2[OF - assms],
 simp add: defined-def false-def true-def bot-fun-def bot-option-def null-fun-def null-option-def)
lemma const-valid:
assumes const X
shows const (v X)
by(rule const-imply2[OF - assms],
 simp add: valid-def false-def true-def bot-fun-def null-fun-def assms)
lemma const-OclAnd:
 assumes const X
 assumes const X'
 shows const(X and X')
by(rule const-imply3[OF - assms], subst (1 2) cp-OclAnd, simp add: assms OclAnd-def)
lemma const-OclNot:
  assumes const X
  shows const (not X)
by(rule const-imply2[OF - assms],subst cp-OclNot,simp add: assms OclNot-def)
lemma const-OclOr:
 assumes const X
 assumes const X'
 shows const(X or X')
by(simp add: assms OclOr-def const-OclNot const-OclAnd)
lemma const-OclImplies:
 assumes const X
```

```
assumes const X'
 shows const (X implies X')
by(simp add: assms OclImplies-def const-OclNot const-OclOr)
lemma const-StrongEq:
 assumes const X
 assumes const X'
 shows const(X \triangleq X')
 apply(simp only: StrongEq-def const-def, intro allI)
 apply(subst assms(1)[THEN const-charn])
 apply(subst assms(2)[THEN const-charn])
 by simp
lemma const-OclIf:
 assumes const B
   and const C1
    and const C2
  shows const (if B then C1 else C2 endif)
apply(rule const-imply4[OF - assms],
    subst (12) cp-OclIf, simp only: OclIf-def cp-defined[symmetric])
apply(simp add: const-defined[OF assms(1), simplified const-def, THEN spec, THEN spec]
           const-true[simplified const-def, THEN spec, THEN spec]
           assms[simplified const-def, THEN spec, THEN spec]
           const-invalid[simplified const-def, THEN spec, THEN spec])
by (metis (no-types) bot-fun-def OclValid-def const-def const-true defined-def
           foundation16[THEN iffD1,standard] null-fun-def)
lemma const-OclValid1:
assumes const x
shows (\tau \models \delta x) = (\tau' \models \delta x)
apply(simp add: OclValid-def)
apply(subst const-defined[OF assms, THEN const-charn])
by(simp add: true-def)
lemma const-OclValid2:
assumes const x
shows (\tau \models \upsilon x) = (\tau' \models \upsilon x)
apply(simp add: OclValid-def)
apply(subst const-valid[OF assms, THEN const-charn])
by(simp add: true-def)
lemma const-HOL-if: const C \Longrightarrow const D \Longrightarrow const F \Longrightarrow const (\lambda \tau. if C \tau then D \tau else F \tau)
    by(auto simp: const-def)
lemma const-HOL-and: const C \Longrightarrow const \ D \Longrightarrow const \ (\lambda \tau. \ C \ \tau \land D \ \tau)
```

```
by(auto simp: const-def)
lemma const-HOL-eq: const C \Longrightarrow const \ D \Longrightarrow const \ (\lambda \tau. \ C \ \tau = D \ \tau)
    apply(auto simp: const-def)
    apply(erule-tac x=\tau in allE)
    apply(erule-tac x=\tau in allE)
    apply(erule-tac x=\tau' in allE)
    apply(erule-tac x=\tau' in allE)
    apply simp
    apply(erule-tac x=\tau in allE)
    apply(erule-tac x=\tau in allE)
    apply(erule-tac x=\tau' in allE)
    apply(erule-tac x=\tau' in all E)
    by simp
lemmas const-ss = const-bot const-null const-invalid const-false const-true const-lam
            const-defined const-valid const-StrongEq const-OclNot const-OclAnd
            const-OclOr const-OclImplies const-OclIf
            const-HOL-if const-HOL-and const-HOL-eq
  Miscellaneous: Overloading the syntax of "bottom"
notation bot (\bot)
end
```

theory UML-PropertyProfiles imports UML-Logic begin

# **B.2.2.** Property Profiles for OCL Operators via Isabelle Locales

We use the Isabelle mechanism of a *Locale* to generate the common lemmas for each type and operator; Locales can be seen as a functor that takes a local theory and generates a number of theorems. In our case, we will instantiate later these locales by the local theory of an operator definition and obtain the common rules for strictness, definedness propagation, context-passingness and constance in a systematic way.

#### **Property Profiles for Monadic Operators**

```
locale profile-mono-scheme = fixes f :: (^{1}\mathfrak{A}, '\alpha :: null) val \Rightarrow (^{1}\mathfrak{A}, '\beta :: null) val fixes <math>g
```

```
assumes def-scheme: (f x) \equiv \lambda \tau. if (\delta x) \tau = true \tau then g(x \tau) else invalid \tau
locale \ profile-mono2 = profile-mono-scheme +
  assumes \bigwedge x. x \neq bot \Longrightarrow x \neq null \Longrightarrow g x \neq bot
begin
  lemma strict[simp,code-unfold]: finvalid = invalid
  by(rule ext, simp add: def-scheme true-def false-def)
  lemma null-strict[simp,code-unfold]: fnull = invalid
  by(rule ext, simp add: def-scheme true-def false-def)
  lemma cp0: fX \tau = f(\lambda - X \tau) \tau
  by(simp add: def-scheme cp-defined[symmetric])
  lemma cp[simp,code-unfold]: cp <math>P \Longrightarrow cp(\lambda X. f(PX))
  \mathbf{by}(rule\ cpI1[of\ f],\ intro\ all\ I,\ rule\ cp0,\ simp-all)
  lemma const[simp,code-unfold] :
       assumes C1 :const X
       shows
                  const(fX)
    proof -
     have const-g: const (\lambda \tau. g(X \tau)) by(insert C1, auto simp:const-def, metis)
     show ?thesis by(simp-all add : def-scheme const-ss C1 const-g)
    qed
end
locale profile-mono0 = profile-mono-scheme +
  assumes def-body: \bigwedge x. \ x \neq bot \Longrightarrow x \neq null \Longrightarrow g \ x \neq bot \land g \ x \neq null
sublocale profile-mono0 < profile-mono2
by(unfold-locales, simp add: def-scheme, simp add: def-body)
context profile-mono0
begin
  lemma def-homo[simp,code-unfold]: \delta(f x) = (\delta x)
  apply(rule ext, rename-tac \tau, subst foundation22[symmetric])
  apply(case-tac \neg(\tau \models \delta x), simp add:defined-split, elim disjE)
   apply(erule StrongEq-L-subst2-rev, simp,simp)
  apply(erule StrongEq-L-subst2-rev, simp,simp)
  apply(simp)
  apply(rule foundation13[THEN iffD2,THEN StrongEq-L-subst2-rev, where y = \delta x])
   apply(simp-all add:def-scheme)
  apply(simp add: OclValid-def)
  by(auto simp:foundation13 StrongEq-def false-def true-def defined-def bot-fun-def null-fun-def def-body
       split: split-if-asm)
  lemma def-valid-then-def: v(fx) = (\delta(fx))
  apply(rule ext, rename-tac \tau, subst foundation 22[symmetric])
```

```
apply(case-tac \neg(\tau \models \delta x), simp add:defined-split, elim disjE)
    apply(erule StrongEq-L-subst2-rev, simp,simp)
   apply(erule StrongEq-L-subst2-rev, simp,simp)
  apply simp
  apply(simp-all add:def-scheme)
  apply(simp add: OclValid-def valid-def, subst cp-StrongEq)
  apply(subst (2) cp-defined, simp, simp add: cp-defined[symmetric])
  by (auto simp: foundation 13 Strong Eq-def false-def true-def defined-def bot-fun-def null-fun-def def-body
        split: split-if-asm)
end
Property Profiles for Single
locale profile-single =
  fixes d:: (\mathfrak{A}, a::null)val \Rightarrow \mathfrak{A} Boolean
  assumes d-strict[simp,code-unfold]: d invalid = false
  assumes d-cp0: dX \tau = d(\lambda - X \tau) \tau
  assumes d-const[simp,code-unfold]: const X \Longrightarrow const(dX)
Property Profiles for Binary Operators
definition bin'fg d_x d_y X Y =
                  (fXY = (\lambda \tau. if (d_x X) \tau = true \tau \wedge (d_y Y) \tau = true \tau)
                              then g X Y \tau
                              else invalid \tau ))
definition bin f g = bin' f (\lambda X Y \tau, g (X \tau) (Y \tau))
lemmas [simp,code-unfold] = bin'-def bin-def
locale profile-bin-scheme =
  fixes d_x:: ('\mathfrak{A},'a::null)val \Rightarrow '\mathfrak{A} Boolean
  fixes d_v:: ('\mathfrak{A}, 'b::null)val \Rightarrow '\mathfrak{A} Boolean
  fixes f:({}^{t}\mathfrak{A},{}^{\prime}a::null)val \Rightarrow ({}^{t}\mathfrak{A},{}^{\prime}b::null)val \Rightarrow ({}^{t}\mathfrak{A},{}^{\prime}c::null)val
  fixes g
  assumes d_x': profile-single d_x
  assumes d_y': profile-single d_y
  assumes d_x-d_y-homo[simp,code-unfold]: cp(fX) \Longrightarrow
                    cp(\lambda x. fx Y) \Longrightarrow
                    fX invalid = invalid \Longrightarrow
                    f invalid Y = invalid \Longrightarrow
                     (\neg (\tau \models d_x X) \lor \neg (\tau \models d_y Y)) \Longrightarrow
                     \tau \models (\delta f X Y \triangleq (d_x X \text{ and } d_y Y))
  assumes def-scheme "[simplified]: bin f g d_x d_y X Y
  assumes 1: \tau \models d_x X \Longrightarrow \tau \models d_y Y \Longrightarrow \tau \models \delta f X Y
begin
     interpretation d_x: profile-single d_x by (rule d_x)
```

**interpretation**  $d_v$ : profile-single  $d_v$  by (rule  $d_v'$ )

```
lemma strict1[simp,code-unfold]: finvalid y = invalid
    by(rule ext, simp add: def-scheme" true-def false-def)
    lemma strict2[simp,code-unfold]: f x invalid = invalid
    by(rule ext, simp add: def-scheme" true-def false-def)
    lemma cp0: fXY \tau = f(\lambda - X \tau)(\lambda - Y \tau) \tau
    by(simp add: def-scheme" d_x.d-cp0[symmetric] d_y.d-cp0[symmetric] cp-defined[symmetric])
    lemma cp[simp,code-unfold]: cp P \Longrightarrow cp Q \Longrightarrow cp (\lambda X. f(PX)(QX))
    by(rule\ cpI2[off], intro\ allI, rule\ cp0, simp-all)
    lemma def-homo[simp,code-unfold]: \delta(f x y) = (d_x x \text{ and } d_y y)
      apply(rule ext, rename-tac \tau, subst foundation 22[symmetric])
      apply(case-tac \neg(\tau \models d_x x), simp)
      apply(case-tac \neg(\tau \models d_v y), simp)
      apply(simp)
      apply(rule foundation13[THEN iffD2,THEN StrongEq-L-subst2-rev, where y = d_x x])
       apply(simp-all)
      apply(rule foundation13[THEN iffD2,THEN StrongEq-L-subst2-rev, where y = d_v y])
       apply(simp-all add: 1 foundation13)
      done
    lemma def-valid-then-def: v(f x y) = (\delta(f x y))
      apply(rule ext, rename-tac \tau)
     apply(simp-all add: valid-def defined-def def-scheme"
                   true-def false-def invalid-def
                   null-def null-fun-def null-option-def bot-fun-def)
     by (metis 1 OclValid-def def-scheme" foundation16 true-def)
    lemma defined-args-valid: (\tau \models \delta (f x y)) = ((\tau \models d_x x) \land (\tau \models d_y y))
      by(simp add: foundation27)
    lemma const[simp,code-unfold] :
      assumes C1 :const X and C2 : const Y
      shows
                 const(fXY)
    proof -
      have const-g : const (\lambda \tau. g(X \tau)(Y \tau))
            by(insert C1 C2, auto simp:const-def, metis)
     show ?thesis
     by(simp-all add : def-scheme" const-ss C1 C2 const-g)
    qed
end
```

 property profiles represent a major structuring mechanism for the OCL library.

```
locale profile-bin-scheme-defined =
  fixes d_v:: ('\mathfrak{A}, 'b::null)val \Rightarrow '\mathfrak{A} Boolean
  fixes f:(\mathfrak{A}, a:null)val \Rightarrow (\mathfrak{A}, b:null)val \Rightarrow (\mathfrak{A}, c:null)val
  fixes g
  assumes d_{y} : profile-single d_{y}
  assumes d_v-homo[simp,code-unfold]: cp(fX) \Longrightarrow
                   fX invalid = invalid \Longrightarrow
                    \neg \tau \models d_{\nu} Y \Longrightarrow
                    \tau \models \delta f X Y \triangleq (\delta X \text{ and } d_{\nu} Y)
  assumes def-scheme [simplified]: bin f g defined d_v X Y
  assumes def-body': \bigwedge x \ y \ \tau. x \neq bot \Longrightarrow x \neq null \Longrightarrow (d_y \ y) \ \tau = true \ \tau \Longrightarrow g \ x \ (y \ \tau) \neq bot \ \land g \ x \ (y \ \tau) \neq null 
begin
    lemma strict3[simp,code-unfold]: f null y = invalid
    by(rule ext, simp add: def-scheme' true-def false-def)
end
sublocale profile-bin-scheme-defined < profile-bin-scheme defined
proof -
    interpret d_v: profile-single d_v by (rule d_v)
show profile-bin-scheme defined d_v f g
apply(unfold-locales)
    apply(simp) +
   apply(subst cp-defined, simp)
   apply(rule const-defined, simp)
  apply(simp add:defined-split, elim disjE)
   apply(erule StrongEq-L-subst2-rev, simp, simp)+
  apply(simp)
 apply(simp add: def-scheme')
 apply(simp add: defined-def OclValid-def false-def true-def
           bot-fun-def null-fun-def def-scheme' split: split-if-asm, rule def-body')
by(simp add: true-def)+
qed
locale profile-bin1 =
  fixes f:({}^{t}\mathfrak{A},{}^{\prime}a::null)val \Rightarrow ({}^{t}\mathfrak{A},{}^{\prime}b::null)val \Rightarrow ({}^{t}\mathfrak{A},{}^{\prime}c::null)val
  assumes def-scheme[simplified]: bin f g defined defined X Y
  assumes def-body: \bigwedge x y. g x y \neq bot \land g x y \neq null
begin
    lemma strict4[simp,code-unfold]: f x null = invalid
    by(rule ext, simp add: def-scheme true-def false-def)
end
sublocale profile-bin1 < profile-bin-scheme-defined defined
apply(unfold-locales)
    apply(simp)+
```

```
apply(subst cp-defined, simp)+
  apply(rule const-defined, simp)+
  apply(simp add:defined-split, elim disjE)
  apply(erule StrongEq-L-subst2-rev, simp, simp)+
 apply(simp add: def-scheme)
 bv(simp add: defined-def OclValid-def false-def true-def
          bot-fun-def null-fun-def def-scheme def-body)
locale profile-bin2 =
  fixes f:(\mathfrak{A}, a:null)val \Rightarrow (\mathfrak{A}, b:null)val \Rightarrow (\mathfrak{A}, c:null)val
  fixes g
  assumes def-scheme[simplified]: bin f g defined valid X Y
  assumes def-body: \bigwedge x y. x \neq bot \Longrightarrow x \neq null \Longrightarrow y \neq bot \Longrightarrow g x y \neq bot \land g x y \neq null
sublocale profile-bin2 < profile-bin-scheme-defined valid
 apply(unfold-locales)
    apply(simp)
   apply(subst cp-valid, simp)
  apply(rule const-valid, simp)
  apply(simp add:foundation18")
  apply(erule StrongEq-L-subst2-rev, simp, simp)
 apply(simp add: def-scheme)
 by (metis OclValid-def def-body foundation18')
locale profile-bin3 =
  fixes f:(\mathfrak{A}, \alpha::null)val \Rightarrow (\mathfrak{A}, \alpha::null)val \Rightarrow (\mathfrak{A}) Boolean
  assumes def-scheme[simplified]: bin'f StrongEq valid valid X Y
sublocale profile-bin3 < profile-bin-scheme valid valid f(\lambda x, y). ||x = y||
apply(unfold-locales)
    apply(simp)
   apply(subst cp-valid, simp)
  apply (simp add: const-valid)
   apply (metis (hide-lams, mono-tags) OclValid-def def-scheme defined5 defined6 defined-and-I foundation1 founda-
tion10' foundation16' foundation18 foundation21 foundation22 foundation9)
 apply(simp add: def-scheme, subst StrongEq-def, simp)
by (metis OclValid-def def-scheme defined7 foundation16)
context profile-bin3
  begin
    lemma idem[simp,code-unfold]: f null null = true
    by(rule ext, simp add: def-scheme true-def false-def)
    lemma defargs: \tau \models f x y \Longrightarrow (\tau \models \upsilon x) \land (\tau \models \upsilon y)
      by(simp add: def-scheme OclValid-def true-def invalid-def valid-def bot-option-def
          split: bool.split-asm HOL.split-if-asm)
```

```
lemma defined-args-valid': \delta(fxy) = (\upsilon x \text{ and } \upsilon y)
    by(auto intro!: transform2-rev defined-and-I simp:foundation10 defined-args-valid)
    lemma refl-ext[simp,code-unfold] : (f x x) = (if (v x) then true else invalid endif)
       by(rule ext, simp add: def-scheme OclIf-def)
    lemma sym : \tau \models (f x y) \Longrightarrow \tau \models (f y x)
       apply(case-tac \tau \models \upsilon x)
       apply(auto simp: def-scheme OclValid-def)
      by(fold OclValid-def, erule StrongEq-L-sym)
    lemma symmetric : (f x y) = (f y x)
       by(rule ext, rename-tac \tau, auto simp: def-scheme StrongEq-sym)
    lemma trans : \tau \models (f x y) \Longrightarrow \tau \models (f y z) \Longrightarrow \tau \models (f x z)
       apply(case-tac \tau \models v x)
       apply(case-tac \tau \models \upsilon y)
        apply(auto simp: def-scheme OclValid-def)
       by(fold OclValid-def, auto elim: StrongEq-L-trans)
    lemma StrictRefEq-vs-StrongEq: \tau \models (\upsilon x) \Longrightarrow \tau \models (\upsilon y) \Longrightarrow (\tau \models ((f x y) \triangleq (x \triangleq y)))
       apply(simp add: def-scheme OclValid-def)
       apply(subst cp-StrongEq[of - (x \triangleq y)])
       by simp
  end
\textbf{locale} \ \textit{profile-bin4} =
  fixes f:(\mathfrak{A}, \alpha::null)val \Rightarrow (\mathfrak{A}, \beta::null)val \Rightarrow (\mathfrak{A}, \gamma::null)val
  fixes g
  assumes def-scheme[simplified]: bin f g valid valid X Y
  assumes def-body: \bigwedge x y. x \neq bot \Longrightarrow y \neq bot \Longrightarrow g x y \neq bot \land g x y \neq null
sublocale profile-bin4 < profile-bin-scheme valid valid
apply(unfold-locales)
       apply(simp, subst cp-valid, simp, rule const-valid, simp)+
  apply (metis (hide-lams, mono-tags) OclValid-def def-scheme defined5 defined6 defined-and-I
      foundation1 foundation10' foundation16' foundation18 foundation21 foundation22 foundation9)
 apply(simp add: def-scheme)
 apply(simp add: defined-def OclValid-def false-def true-def
          bot-fun-def null-fun-def def-scheme split: split-if-asm, rule def-body)
 by (metis OclValid-def foundation18' true-def)+
```

end

```
theory UML-Boolean imports ../UML-PropertyProfiles begin
```

#### Fundamental Predicates on Basic Types: Strict (Referential) Equality

Here is a first instance of a definition of strict value equality—for the special case of the type  ${}^{t}\mathfrak{A}$  *Boolean*, it is just the strict extension of the logical equality:

```
defs StrictRefEq_{Boolean}[code-unfold]:
    (x::(\mathfrak{A})Boolean) \doteq y \equiv \lambda \tau. if (\mathfrak{v} x) \tau = true \tau \wedge (\mathfrak{v} y) \tau = true \tau
                        then (x \triangleq y)\tau
                        else invalid \tau
   which implies elementary properties like:
lemma [simp,code-unfold] : (true \doteq false) = false
by(simp\ add:StrictRefEq_{Boolean})
lemma [simp,code-unfold] : (false = true) = false
by(simp\ add:StrictRefEq_{Boolean})
lemma null-non-false [simp,code-unfold]:(null \doteq false) = false
apply(rule\ ext,\ simp\ add:\ StrictRefEq_{Boolean}\ StrongEq-def\ false-def)
by (metis drop.simps cp-valid false-def is-none-code(2) is-none-def valid4
       bot-option-def null-fun-def null-option-def)
lemma null-non-true [simp,code-unfold]:(null \doteq true) = false
apply(rule ext, simp add: StrictRefEq_{Boolean} StrongEq-def false-def)
by(simp add: true-def bot-option-def null-fun-def null-option-def)
lemma false-non-null [simp,code-unfold]:(false \doteq null) = false
apply(rule\ ext,\ simp\ add:\ StrictRefEq_{Boolean}\ StrongEq-def\ false-def)
by(metis drop.simps cp-valid false-def is-none-code(2) is-none-def valid4
       bot-option-def null-fun-def null-option-def )
lemma true-non-null [simp,code-unfold]:(true \doteq null) = false
```

by(simp add: true-def bot-option-def null-fun-def null-option-def)With respect to strictness properties and miscelleaneous side-calculi, strict referential equality behaves on booleans as described in the profile-bin3:

```
interpretation StrictRefEq_{Boolean} : profile-bin3 \ \lambda \ x \ y. (x::(^{1}\mathfrak{A})Boolean) \doteq y by unfold-locales (auto\ simp:StrictRefEq_{Boolean})
```

**apply**(rule ext, simp add:  $StrictRefEq_{Boolean}$  StrongEq-def false-def)

In particular, it is strict, cp-preserving and const-preserving. In particular, it generates the simplifier rules for terms like:

```
lemma (invalid \doteq false) = invalid by(simp)
```

```
lemma (invalid \doteq true) = invalid by(simp)
lemma (false \doteq invalid) = invalid by(simp)
lemma (true \doteq invalid) = invalid by(simp)
lemma ((invalid::({}^{t}\mathfrak{A})Boolean) \doteq invalid) = invalid by(simp)
```

Thus, the weak equality is *not* reflexive.

# Test Statements on Boolean Operations.

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on Boolean

```
Assert \tau \models \upsilon(true)

Assert \tau \models \delta(false)

Assert \neg(\tau \models \delta(null))

Assert \tau \models \upsilon((null::(^{\iota}\mathfrak{A})Boolean))

Assert \tau \models \upsilon((null::(^{\iota}\mathfrak{A})Boolean))

Assert \tau \models \upsilon(invalid))

Assert \tau \models (true \ and \ true)

Assert \tau \models (true \ and \ true \triangleq true)

Assert \tau \models ((null \ or \ null) \triangleq null)

Assert \tau \models ((null \ or \ null) = null)

Assert \tau \models ((invali \ or \ null) = null)

Assert \tau \models ((invali \ or \ false) \triangleq false)

Assert \tau \models ((invali \ or \ false) \triangleq invalid)

Assert \tau \models (true <> false)

Assert \tau \models (false <> true)
```

end

```
theory UML-Void imports ../UML-PropertyProfiles begin
```

## **B.2.3.** Basic Type Void

This *minimal* OCL type contains only two elements: *invalid* and *null*. *Void* could initially be defined as *unit option option*, however the cardinal of this type is more than two, so it would have the cost to consider *Some None* and *Some* (*Some* ()) seemingly everywhere.

## **Fundamental Properties on Basic Types: Strict Equality**

**Definition** instantiation  $Void_{base} :: bot$ 

```
begin
  definition bot-Void-def: (bot-class.bot :: Void<sub>base</sub>) \equiv Abs-Void<sub>base</sub> None
  instance proof show \exists x :: Void_{base}. x \neq bot
             apply(rule-tac x=Abs-Void_{base} | None | in exI)
             apply(simp add:bot-Void-def, subst Abs-Void<sub>base</sub>-inject)
             apply(simp-all add: null-option-def bot-option-def)
             done
        qed
end
instantiation Voidbase :: null
begin
  definition null-Void-def: (null::Void_{base}) \equiv Abs-Void_{base} \mid None \mid
  instance proof show (null:: Void_{base}) \neq bot
             apply(simp add:null-Void-def bot-Void-def, subst Abs-Void<sub>base</sub>-inject)
             {\bf apply}(\textit{simp-all add: null-option-def bot-option-def})
             done
        qed
end
   The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak
equality, which is defined similar to the 'A Void-case as strict extension of the strong equality:
defs StrictRefEq_{Void}[code-unfold]:
    (x::({}^{t}\mathfrak{A})Void) \doteq y \equiv \lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \wedge (v \ y) \ \tau = true \ \tau
                       then (x \triangleq y) \tau
                        else invalid τ
   Property proof in terms of profile-bin3
interpretation StrictRefEq<sub>Void</sub>: profile-bin3 \lambda x y. (x::(\mathfrak{A})Void) \doteq y
     by unfold-locales (auto simp: StrictRefEq_{Void})
Test Statements
Assert \tau \models ((null::(\mathfrak{A})Void) \doteq null)
end
theory UML-Integer
imports ../UML-PropertyProfiles
begin
```

# **B.2.4.** Basic Type Integer: Operations

# **Basic Integer Constants**

Although the remaining part of this library reasons about integers abstractly, we provide here as example some convenient shortcuts.

```
definition OclInt0 ::('\mathbb{A})Integer (0)
where \mathbf{0} = (\lambda - . \lfloor \lfloor 0 :: int \rfloor \rfloor)
definition OclInt1 ::('\mathbb{A})Integer (1)
where 1 = (\lambda - . | |1::int| |)
definition OclInt2 ::('\mathbb{A})Integer (2)
where
             \mathbf{2} = (\lambda - . | |2::int| |)
definition OclInt3 ::('\mathfrak{I})Integer (3)
where 3 = (\lambda - . | |3::int| |)
definition OclInt4 ::('A)Integer (4)
where 4 = (\lambda - . | |4::int| |)
definition OclInt5 ::('\mathbb{A})Integer (5)
           5 = (\lambda - . | |5::int| |)
where
definition OclInt6 ::('\mathbb{A})Integer (6)
where
            \mathbf{6} = (\lambda - . \lfloor \lfloor 6 :: int \rfloor \rfloor)
definition OclInt7 ::('\mathfrak{I})Integer (7)
           7 = (\lambda - . | |7::int| |)
definition OclInt8 ::('\mathfrak{1})Integer (8)
where 8 = (\lambda - . \lfloor \lfloor 8 :: int \rfloor \rfloor)
definition OclInt9 ::('\mathbb{A})Integer (9)
where
           9 = (\lambda - . ||9::int||)
definition OclInt10 ::('\mathfrak{U})Integer (10)
where
            10 = (\lambda - . | | 10 :: int | |)
Validity and Definedness Properties
lemma \delta(null::(\mathfrak{A})Integer) = false by simp
lemma v(null::({}^{t}\mathfrak{A})Integer) = true by simp
```

**lemma** [simp,code-unfold]:  $\delta(\lambda-.|n||) = true$ 

bot-fun-def bot-option-def null-fun-def null-option-def)

**by**(*simp add:defined-def true-def* 

```
lemma [simp,code-unfold]: \delta 0 = true by(simp add:OclIntO-def) lemma [simp,code-unfold]: \upsilon 0 = true by(simp add:OclIntO-def) lemma [simp,code-unfold]: \upsilon 1 = true by(simp add:OclInt1-def) lemma [simp,code-unfold]: \upsilon 2 = true by(simp add:OclInt2-def) lemma [simp,code-unfold]: \upsilon 2 = true by(simp add:OclInt2-def) lemma [simp,code-unfold]: \upsilon 6 = true by(simp add:OclInt0-def) lemma [simp,code-unfold]: \upsilon 6 = true by(simp add:OclInt0-def) lemma [simp,code-unfold]: \upsilon 8 = true by(simp add:OclInt0-def) lemma [simp,code-unfold]: \upsilon 8 = true by(simp add:OclInt0-def) lemma [simp,code-unfold]: \upsilon 9 = true by(simp add:OclInt0-def) lemma [simp,code-unfold]: \upsilon 9 = true by(simp add:OclInt0-def) lemma [simp,code-unfold]: \upsilon 9 = true by(simp add:OclInt0-def)
```

**lemma** [simp,code-unfold]:  $v(\lambda - ||n||) = true$ 

**by**(*simp add:valid-def true-def* 

#### **Arithmetical Operations**

**Definition** Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of the OCL Standard for Isabelle technical reasons; these operators are heavily overloaded in the HOL library that a further overloading would lead to heavy technical buzz in this document.

```
definition OclAdd_{Integer} :: ({}^{\prime}\mathfrak{A})Integer \Rightarrow ({}^{\prime}\mathfrak{A})Integer \Rightarrow ({}^{\prime}\mathfrak{A})Integer (infix +_{int} 40)
where x +_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                         then \lfloor \lfloor \lceil \lceil x \tau \rceil \rceil + \lceil \lceil y \tau \rceil \rceil \rfloor \rfloor
                         else invalid \tau
interpretation OclAdd<sub>Integer</sub>: profile-bin1 op +_{int} \lambda x y. \lfloor \lfloor \lceil \lceil x \rceil \rceil + \lceil \lceil y \rceil \rceil \rfloor \rfloor
          by unfold-locales (auto simp:OclAdd<sub>Integer</sub>-def bot-option-def null-option-def)
definition OclMinus_{Integer} :: ({}^{t}\mathfrak{A})Integer \Rightarrow ({}^{t}\mathfrak{A})Integer \Rightarrow ({}^{t}\mathfrak{A})Integer (infix -_{int} 41)
where x -_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                         then ||\lceil \lceil x \tau \rceil \rceil - \lceil \lceil y \tau \rceil \rceil||
                         else invalid \tau
interpretation OclMinus<sub>Integer</sub>: profile-bin1 op -_{int} \lambda xy. ||\lceil [x] \rceil - \lceil [y] \rceil||
          by unfold-locales (auto simp:OclMinus<sub>Integer</sub>-def bot-option-def null-option-def)
definition OclMult_{Integer} :: ({}^{\prime}\mathfrak{A})Integer \Rightarrow ({}^{\prime}\mathfrak{A})Integer \Rightarrow ({}^{\prime}\mathfrak{A})Integer (infix *_{int} 45)
where x *_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                         then \lfloor \lfloor \lceil \lfloor x \tau \rceil \rceil * \lceil \lfloor y \tau \rceil \rceil \rfloor \rfloor
                         else invalid \tau
interpretation OclMult<sub>Integer</sub>: profile-bin1 op *_{int} \lambda x y. || \lceil \lceil x \rceil \rceil * \lceil \lceil y \rceil \rceil ||
          by unfold-locales (auto simp:OclMult<sub>Integer</sub>-def bot-option-def null-option-def)
```

```
Here is the special case of division, which is defined as invalid for division by zero.
```

```
definition OclDivision_{Integer} :: ({}^{\iota}\mathfrak{A})Integer \Rightarrow ({}^{\iota}\mathfrak{A})Integer \Rightarrow ({}^{\iota}\mathfrak{A})Integer (infix div_{int} 45)
where x \, div_{int} \, y \equiv \lambda \, \tau. if (\delta x) \, \tau = true \, \tau \wedge (\delta y) \, \tau = true \, \tau
                       then if y \tau \neq OclInt0 \tau then || \lceil \lceil x \tau \rceil \rceil div \lceil \lceil y \tau \rceil \rceil || else invalid \tau
                       else invalid \tau
definition OclModulus_{Integer} :: ({}^{\prime}\mathfrak{A})Integer \Rightarrow ({}^{\prime}\mathfrak{A})Integer \Rightarrow ({}^{\prime}\mathfrak{A})Integer (infix mod_{int} 45)
where x \mod_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                       then if y \tau \neq OclInt0 \tau then ||\lceil [x \tau] \rceil \mod \lceil [y \tau] \rceil|| else invalid \tau
                       else invalid \tau
definition OclLess_{Integer} :: ({}^{\backprime}\mathfrak{A})Integer \Rightarrow ({}^{\backprime}\mathfrak{A})Boolean (infix <_{int} 35)
where x <_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                       then ||\lceil x \tau \rceil| < \lceil y \tau \rceil||
                       else invalid \tau
interpretation OclLess_{Integer} : profile-bin1 op <_{int} \lambda x y. \lfloor \lfloor \lceil \lceil x \rceil \rceil < \lceil \lceil y \rceil \rceil \rfloor \rfloor
         by unfold-locales (auto simp:OclLess<sub>Integer</sub>-def bot-option-def null-option-def)
definition OclLe_{Integer} :: ({}^{\prime}\mathfrak{A})Integer \Rightarrow ({}^{\prime}\mathfrak{A})Integer \Rightarrow ({}^{\prime}\mathfrak{A})Boolean (infix \leq_{int} 35)
where x \leq_{int} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                       then \left| \left| \left[ \left[ x \tau \right] \right] \leq \left[ \left[ y \tau \right] \right] \right| \right|
                       else invalid \tau
interpretation OclLe_{Integer} : profile-bin1 op \leq_{int} \lambda x y. \lfloor \lfloor \lceil \lceil x \rceil \rceil \leq \lceil \lceil y \rceil \rceil \rfloor \rfloor
         by unfold-locales (auto simp:OclLe<sub>Integer</sub>-def bot-option-def null-option-def)
Basic Properties lemma OclAdd_{Integer}-commute: (X +_{int} Y) = (Y +_{int} X)
  by(rule ext,auto simp:true-def false-def OclAdd<sub>Integer</sub>-def invalid-def
                   split: option.split option.split-asm
                          bool.split bool.split-asm)
\textbf{Execution with Invalid or Null or Zero as Argument} \quad \textbf{lemma } \textit{OclAdd}_{Integer}\text{-}\textit{zero1}[\textit{simp}, code-\textit{unfold}]:
(x +_{int} \mathbf{0}) = (if \ \mathbf{v} \ x \ and \ not \ (\delta \ x) \ then \ invalid \ else \ x \ endif)
 proof (rule ext, rename-tac \tau, case-tac (\upsilon x and not (\delta x)) \tau = true \tau)
  fix \tau show (\upsilon x \text{ and not } (\delta x)) \tau = true \tau \Longrightarrow
              (x +_{int} \mathbf{0}) \tau = (if \upsilon x \text{ and not } (\delta x) \text{ then invalid else } x \text{ endif}) \tau
   apply(subst OclIf-true', simp add: OclValid-def)
  by (metis OclAdd_{Integer}-def OclNot-defargs OclValid-def foundation5 foundation9)
  apply-end assumption
 next fix \tau
  have A: \land \tau. (\tau \models not \ (\upsilon \ x \ and \ not \ (\delta \ x))) = (x \ \tau = invalid \ \tau \lor \tau \models \delta \ x)
  by (metis OclNot-not OclOr-def defined5 defined6 defined-not-I foundation11 foundation18'
            foundation6 foundation7 foundation9 invalid-def)
  have B: \tau \models \delta x \Longrightarrow ||\lceil \lceil x \tau \rceil \rceil|| = x \tau
   apply(cases x \tau, metis bot-option-def foundation 16)
```

```
 \begin{aligned} & \mathbf{apply}(rename\text{-}tac\ x',\ case\text{-}tac\ x',\ metis\ bot\text{-}option\text{-}def\ foundation16\ null\text{-}option\text{-}def\ )} \\ & \mathbf{by}(simp) \\ & \mathbf{show}\ \tau \models not\ (v\ x\ and\ not\ (\delta\ x)) \Longrightarrow \\ & (x+_{int}\ \mathbf{0})\ \tau = (if\ v\ x\ and\ not\ (\delta\ x)\ then\ invalid\ else\ x\ endif)\ \tau \\ & \mathbf{apply}(subst\ Ocllf\text{-}false',\ simp,\ simp\ add:\ A,\ auto\ simp:\ OclAdd_{Integer}\text{-}def\ OclInt0\text{-}def) \\ & \mathbf{apply}(simp\ add:\ foundation16'[simplified\ OclValid\text{-}def]) \\ & \mathbf{apply}(simp\ add:\ B) \\ & \mathbf{by}(simp\ add:\ OclValid\text{-}def) \\ & \mathbf{apply\text{-}end}(metis\ OclValid\text{-}def) \\ & \mathbf{apply\text{-}end}(metis\ OclValid\text{-}def\ defined5\ defined6\ defined\text{-}and\text{-}I\ defined\text{-}not\text{-}I\ foundation9}) \\ & \mathbf{qed} \\ & \mathbf{lemma}\ OclAdd_{Integer}\text{-}zero2[simp,code\text{-}unfold]:\ (\mathbf{0}+_{int}\ x) = (if\ v\ x\ and\ not\ (\delta\ x)\ then\ invalid\ else\ x\ endif) \\ & \mathbf{by}(subst\ OclAdd_{Integer}\text{-}commute,\ simp) \end{aligned}
```

**Test Statements** Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

```
Assert \tau \models (9 \leq_{int} \mathbf{10})

Assert \tau \models ((\mathbf{4} +_{int} \mathbf{4}) \leq_{int} \mathbf{10})

Assert \neg(\tau \models ((\mathbf{4} +_{int} (\mathbf{4} +_{int} \mathbf{4})) <_{int} \mathbf{10}))

Assert \tau \models not (\upsilon (null +_{int} \mathbf{1}))

Assert \tau \models (((\mathbf{9} *_{int} \mathbf{4}) \operatorname{div}_{int} \mathbf{10}) \leq_{int} \mathbf{4})

Assert \tau \models not (\delta (\mathbf{1} \operatorname{div}_{int} \mathbf{0}))

Assert \tau \models not (\upsilon (\mathbf{1} \operatorname{div}_{int} \mathbf{0}))
```

## **Fundamental Predicates on Integers: Strict Equality**

**Definition** The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the  ${}^{\prime}\mathfrak{A}$  *Boolean*-case as strict extension of the strong equality:

```
defs StrictRefEq_{Integer}[code-unfold]:

(x::(^{l}\mathfrak{A})Integer) \doteq y \equiv \lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau

then \ (x \triangleq y) \ \tau

else \ invalid \ \tau

Property proof in terms of profile-bin3

interpretation StrictRefEq_{Integer}: profile-bin3 \ \lambda \ x \ y. \ (x::(^{l}\mathfrak{A})Integer) \doteq y

by unfold-locales \ (auto \ simp: \ StrictRefEq_{Integer})

lemma integer-non-null \ [simp]: \ ((\lambda -. \ \lfloor \lfloor n \rfloor \rfloor) \doteq (null::(^{l}\mathfrak{A})Integer)) = false

by (rule \ ext, auto \ simp: \ StrictRefEq_{Integer} \ valid-def

bot-fun-def \ bot-option-def \ null-fun-def \ null-option-def \ StrongEq-def)

lemma null-non-integer \ [simp]: \ ((null::(^{l}\mathfrak{A})Integer) \doteq (\lambda -. \ \lfloor \lfloor n \rfloor \rfloor)) = false

by (rule \ ext, auto \ simp: \ StrictRefEq_{Integer} \ valid-def)
```

```
lemma OclInt0-non-null [simp,code\text{-}unfold]: (\mathbf{0} \doteq null) = false by (simp\ add:\ OclInt0\text{-}def) lemma null-non-OclInt0\ [simp,code\text{-}unfold]: (null \doteq \mathbf{0}) = false by (simp\ add:\ OclInt0\text{-}def) lemma OclInt1-non-null [simp,code\text{-}unfold]: (\mathbf{1} \doteq null) = false by (simp\ add:\ OclInt1\text{-}def) lemma null-non-OclInt1\ [simp,code\text{-}unfold]: (null \doteq \mathbf{1}) = false by (simp\ add:\ OclInt1\text{-}def) lemma OclInt2-non-null [simp,code\text{-}unfold]: (\mathbf{2} \doteq null) = false by (simp\ add:\ OclInt2\text{-}def) lemma OclInt6-non-null [simp,code\text{-}unfold]: (null \doteq \mathbf{2}) = false by (simp\ add:\ OclInt6\text{-}def) lemma OclInt6-non-null [simp,code\text{-}unfold]: (null \doteq \mathbf{6}) = false by (simp\ add:\ OclInt6\text{-}def) lemma OclInt8-non-null [simp,code\text{-}unfold]: (\mathbf{8} \doteq null) = false by (simp\ add:\ OclInt8\text{-}def) lemma OclInt8-non-null [simp,code\text{-}unfold]: (null \doteq \mathbf{8}) = false by (simp\ add:\ OclInt8\text{-}def) lemma OclInt9-non-null [simp,code\text{-}unfold]: (null \doteq \mathbf{8}) = false by (simp\ add:\ OclInt9\text{-}def) lemma (oclInt9\text{-}non\text{-}null) (oclInt9\text{-}def) lemma (oclInt9\text{-}def) (oclInt9\text{-}def) lemma (oclInt9\text{-}def) (oclInt9\text{-}def)
```

# **Test Statements on Basic Integer**

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on Integer

```
Assert \tau \models ((\mathbf{0} <_{int} \mathbf{2}) \text{ and } (\mathbf{0} <_{int} \mathbf{1}))
Assert \tau \models 1 <> 2
Assert \tau \models 2 <> 1
Assert \tau \models 2 \doteq 2
Assert \tau \models \upsilon 4
Assert \tau \models \delta 4
Assert \tau \models \upsilon (null::({}^{\prime}\mathfrak{A})Integer)
Assert \tau \models (invalid \triangleq invalid)
Assert \tau \models (null \triangleq null)
Assert \tau \models (\mathbf{4} \triangleq \mathbf{4})
Assert \neg(\tau \models (9 \triangleq 10))
Assert \neg(\tau \models (invalid \triangleq 10))
Assert \neg(\tau \models (null \triangleq 10))
Assert \neg(\tau \models (invalid \doteq (invalid::('\mathfrak{A})Integer)))
Assert \neg(\tau \models \upsilon \ (invalid \doteq (invalid::('\mathfrak{A})Integer)))
Assert \neg(\tau \models (invalid <> (invalid::('\mathfrak{A})Integer)))
Assert \neg(\tau \models \upsilon \ (invalid <> (invalid::('\mathfrak{A})Integer)))
Assert \tau \models (null \doteq (null :: ('\mathfrak{A})Integer))
Assert \tau \models (null \doteq (null :: ('\mathfrak{A})Integer))
Assert \tau \models (4 \doteq 4)
Assert \neg(\tau \models (4 <> 4))
Assert \neg(\tau \models (4 \doteq 10))
Assert \tau \models (4 <> 10)
Assert \neg(\tau \models (\mathbf{0} <_{int} null))
Assert \neg(\tau \models (\delta (0 <_{int} null)))
```

## end

```
theory UML-Real imports ../UML-PropertyProfiles begin
```

# **B.2.5.** Basic Type Real: Operations

### **Basic Real Constants**

Although the remaining part of this library reasons about reals abstractly, we provide here as example some convenient shortcuts.

```
definition OclReal0 ::('\mathfrak{U})Real (0.0)
               0.0 = (\lambda - . | |0::real| |)
definition OclReal1 :: (^{\prime}\mathfrak{A})Real (1.0)
               \mathbf{1.0} = (\lambda - . \lfloor \lfloor 1 :: real \rfloor \rfloor)
where
definition OclReal2 ::('\mathbb{A})Real (2.0)
where 2.0 = (\lambda - . | |2::real| |)
definition OclReal3 :: ({}^{\prime}\mathfrak{A})Real (3.0)
                3.0 = (\lambda - . \lfloor \lfloor 3 :: real \rfloor)
where
definition OclReal4 ::('\mathfrak{I})Real (4.0)
               \mathbf{4.0} = (\lambda - . \lfloor \lfloor 4 :: real \rfloor \rfloor)
where
definition OclReal5 :: ('\mathfrak{A})Real (5.0)
               \mathbf{5.0} = (\lambda - . \lfloor \lfloor 5 :: real \rfloor \rfloor)
definition OclReal6 ::('\mathfrak{I})Real (6.0)
               6.0 = (\lambda - . | |6::real| |)
where
definition OclReal7 :: ({}^{\prime}\mathfrak{A})Real (7.0)
where
               \mathbf{7.0} = (\lambda - . \lfloor \lfloor 7 :: real \rfloor \rfloor)
definition OclReal8 ::('\mathfrak{1}\mathfrak{1}\)Real (8.0)
where 8.0 = (\lambda - . \lfloor \lfloor 8 :: real \rfloor)
definition OclReal9 ::('\mathbb{A})Real (9.0)
             9.0 = (\lambda - . \lfloor \lfloor 9 :: real \rfloor \rfloor)
definition OclReal10 ::('\mathbb{A})Real (10.0)
```

```
10.0 = (\lambda - . | | 10 :: real | |)
where
definition OclRealpi :: ({}^{\prime}\mathfrak{A})Real (\pi)
where \pi = (\lambda - ||pi||)
Validity and Definedness Properties
lemma \delta(null::({}^{\prime}\mathfrak{A})Real) = false by simp
lemma v(null::({}^{\prime}\mathfrak{A})Real) = true by simp
lemma [simp,code-unfold]: \delta(\lambda - ||n||) = true
by(simp add:defined-def true-def
         bot-fun-def bot-option-def null-fun-def null-option-def)
lemma [simp,code-unfold]: \upsilon(\lambda-.|n||) = true
by(simp add:valid-def true-def
         bot-fun-def bot-option-def)
lemma [simp,code-unfold]: \delta 0.0 = true by(simp add:OclReal0-def)
lemma [simp,code-unfold]: v 0.0 = true by(simp add:OclReal0-def)
lemma [simp,code-unfold]: \delta 1.0 = true by(simp add:OclReal1-def)
lemma [simp,code-unfold]: v 1.0 = true by(simp add:OclReal1-def)
lemma [simp,code-unfold]: \delta 2.0 = true by(simp add:OclReal2-def)
lemma [simp,code-unfold]: v 2.0 = true by(simp add:OclReal2-def)
lemma [simp,code-unfold]: \delta 6.0 = true by(simp add:OclReal6-def)
lemma [simp,code-unfold]: v 6.0 = true by(simp add:OclReal6-def)
lemma [simp,code-unfold]: \delta 8.0 = true by(simp add:OclReal8-def)
lemma [simp,code-unfold]: v 8.0 = true by(simp add:OclReal8-def)
lemma [simp,code-unfold]: \delta 9.0 = true by(simp add:OclReal9-def)
lemma [simp,code-unfold]: v 9.0 = true by(simp add:OclReal9-def)
```

## **Arithmetical Operations**

**Definition** Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of the OCL Standard for Isabelle technical reasons; these operators are heavily overloaded in the HOL library that a further overloading would lead to heavy technical buzz in this document.

```
definition OclAdd_{Real} :: ({}^{t}\mathfrak{A})Real \Rightarrow ({}^{t}\mathfrak{A})Real \Rightarrow ({}^{t}\mathfrak{A})Real \text{ (infix} +_{real} 40)
where x +_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
then \left[ \lfloor \lceil x \tau \rceil \rceil + \lceil y \tau \rceil \rceil \rfloor \right]
else invalid \tau
interpretation OclAdd_{Real}: profile-bin1 op +_{real} \lambda x y. \left[ \lfloor \lceil x \rceil \rceil + \lceil \lceil y \rceil \rceil \rfloor \rfloor \right]
by unfold-locales (auto simp: OclAdd_{Real}-def bot-option-def null-option-def)
```

```
definition OclMinus_{Real} :: ({}^{\prime}\mathfrak{A})Real \Rightarrow ({}^{\prime}\mathfrak{A})Real \Rightarrow ({}^{\prime}\mathfrak{A})Real (infix -_{real} 41)
where x -_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                       then ||\lceil [x \tau] \rceil - \lceil [y \tau] \rceil||
                       else invalid \tau
interpretation OclMinus<sub>Real</sub>: profile-bin1 op -_{real} \lambda x y. ||[[x]] - [[y]]||
         by unfold-locales (auto simp:OclMinus<sub>Real</sub>-def bot-option-def null-option-def)
definition OclMult_{Real} :: ({}^{\backprime}\mathfrak{A})Real \Rightarrow ({}^{\backprime}\mathfrak{A})Real \Rightarrow ({}^{\backprime}\mathfrak{A})Real  (infix *_{real} 45)
where x *_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                       then ||\lceil \lceil x \tau \rceil \rceil * \lceil \lceil y \tau \rceil \rceil||
                       else invalid \tau
interpretation OclMult_{Real} : profile-bin1 op *_{real} \lambda x y. \lfloor \lfloor \lceil \lceil x \rceil \rceil * \lceil \lceil y \rceil \rfloor \rfloor \rfloor
         by unfold-locales (auto simp:OclMult_{Real}-def bot-option-def null-option-def)
    Here is the special case of division, which is defined as invalid for division by zero.
definition OclDivision_{Real} :: ({}^{\prime}\mathfrak{A})Real \Rightarrow ({}^{\prime}\mathfrak{A})Real \Rightarrow ({}^{\prime}\mathfrak{A})Real (infix div_{real} 45)
where x \, div_{real} \, y \equiv \lambda \, \tau. if (\delta \, x) \, \tau = true \, \tau \wedge (\delta \, y) \, \tau = true \, \tau
                       then if y \tau \neq OclReal0 \tau then \lfloor \lfloor \lceil \lceil x \tau \rceil \rceil / \lceil \lceil y \tau \rceil \rceil \rfloor \rfloor else invalid \tau
                       else invalid \tau
definition mod-float ab = a - real (floor <math>(a / b)) * b
definition OclModulus_{Real} :: ({}^{t}\mathfrak{A})Real \Rightarrow ({}^{t}\mathfrak{A})Real \Rightarrow ({}^{t}\mathfrak{A})Real (infix mod_{real} 45)
where x \mod_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                       then if y \tau \neq OclReal0 \tau then ||mod-float [[x \tau]] [[y \tau]]|| else invalid \tau
                       else invalid \tau
definition OclLess_{Real} :: ({}^{t}\mathfrak{A})Real \Rightarrow ({}^{t}\mathfrak{A})Real \Rightarrow ({}^{t}\mathfrak{A})Boolean (infix <_{real} 35)
where x <_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                       then ||\lceil x \tau \rceil| < \lceil y \tau \rceil|||
                       else invalid \tau
interpretation OclLess<sub>Real</sub>: profile-bin1 op <_{real} \lambda x y. \lfloor \lfloor \lceil \lceil x \rceil \rceil < \lceil \lceil y \rceil \rfloor \rfloor \rfloor
         by unfold-locales (auto simp:OclLess<sub>Real</sub>-def bot-option-def null-option-def)
definition OclLe_{Real} :: ({}^{t}\mathfrak{A})Real \Rightarrow ({}^{t}\mathfrak{A})Real \Rightarrow ({}^{t}\mathfrak{A})Boolean (infix \leq_{real} 35)
where x \leq_{real} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau
                       then ||\lceil x \tau \rceil| \leq \lceil y \tau \rceil||
                       else invalid \tau
interpretation OclLe_{Real}: profile-bin1 \ op \leq_{real} \lambda \ x \ y. \ \lfloor \lfloor \lceil \lceil x \rceil \rceil \leq \lceil \lceil y \rceil \rceil \rfloor \rfloor
         by unfold-locales (auto simp:OclLe<sub>Real</sub>-def bot-option-def null-option-def)
Basic Properties lemma OclAdd_{Real}-commute: (X +_{real} Y) = (Y +_{real} X)
  by(rule ext,auto simp:true-def false-def OclAdd<sub>Real</sub>-def invalid-def
                   split: option.split option.split-asm
                          bool.split bool.split-asm)
```

```
Execution with Invalid or Null or Zero as Argument lemma OclAdd<sub>Real</sub>-zero1[simp,code-unfold]:
(x +_{real} \mathbf{0.0}) = (if \ v \ x \ and \ not \ (\delta \ x) \ then \ invalid \ else \ x \ endif)
proof (rule ext, rename-tac \tau, case-tac (\upsilon x and not (\delta x)) \tau = true \tau)
 fix \tau show (\upsilon x and not (\delta x)) \tau = true \tau \Longrightarrow
           (x +_{real} \mathbf{0.0}) \tau = (if \ v \ x \ and \ not \ (\delta \ x) \ then \ invalid \ else \ x \ endif) \ \tau
  apply(subst OclIf-true', simp add: OclValid-def)
 by (metis OclAdd<sub>Real</sub>-def OclNot-defargs OclValid-def foundation5 foundation9)
 apply-end assumption
 next fix \tau
 have A: \land \tau. (\tau \models not \ (\upsilon \ x \ and \ not \ (\delta \ x))) = (x \ \tau = invalid \ \tau \lor \tau \models \delta \ x)
 by (metis OclNot-not OclOr-def defined5 defined6 defined-not-I foundation11 foundation18'
         foundation6 foundation7 foundation9 invalid-def)
 have B: \tau \models \delta x \Longrightarrow ||\lceil \lceil x \tau \rceil \rceil|| = x \tau
  apply(cases x \tau, metis bot-option-def foundation 16)
  apply(rename-tac x', case-tac x', metis bot-option-def foundation 16 null-option-def)
 \mathbf{by}(simp)
 show \tau \models not (v \ x \ and \ not (\delta \ x)) \Longrightarrow
           (x +_{real} \mathbf{0.0}) \tau = (if \upsilon x \text{ and not } (\delta x) \text{ then invalid else } x \text{ endif}) \tau
  apply(subst OclIf-false', simp, simp add: A, auto simp: OclAdd<sub>Real</sub>-def OclReal0-def)
    apply(simp add: foundation16'[simplified OclValid-def])
   apply(simp add: B)
 bv(simp add: OclValid-def)
 apply-end(metis OclValid-def defined5 defined6 defined-and-I defined-not-I foundation9)
lemma OclAdd_{Real}-zero2[simp,code-unfold]:
(\mathbf{0.0} +_{real} x) = (if \ v \ x \ and \ not \ (\delta \ x) \ then \ invalid \ else \ x \ endif)
by(subst OclAdd_{Real}-commute, simp)
```

**Test Statements** Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

```
Assert \tau \models (9.0 \leq_{real} 10.0)

Assert \tau \models ((4.0 +_{real} 4.0) \leq_{real} 10.0)

Assert \neg(\tau \models ((4.0 +_{real} (4.0 +_{real} 4.0)) <_{real} 10.0))

Assert \tau \models not (\upsilon (null +_{real} 1.0))

Assert \tau \models (((9.0 *_{real} 4.0) div_{real} 10.0) \leq_{real} 4.0)

Assert \tau \models not (\delta (1.0 div_{real} 0.0))

Assert \tau \models not (\upsilon (1.0 div_{real} 0.0))
```

## **Fundamental Predicates on Reals: Strict Equality**

**Definition** The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the  ${}^{\prime}\!\mathfrak{A}$  *Boolean*-case as strict extension of the strong equality:

```
defs StrictRefEq<sub>Real</sub> [code-unfold]:  (x::({}^{t}\mathfrak{A})Real) \doteq y \equiv \lambda \ \tau. \ if \ (\mathfrak{v} \ x) \ \tau = true \ \tau \wedge (\mathfrak{v} \ y) \ \tau = true \ \tau
```

```
then (x \triangleq y) \tau
                       else invalid \tau
Property proof in terms of profile-bin3
```

```
interpretation StrictRefEq<sub>Real</sub>: profile-bin3 \lambda x y. (x::('\mathfrak{A})Real) \doteq y
       by unfold-locales (auto simp: StrictRefEq_{Real})
```

```
lemma real-non-null [simp]: ((\lambda -. \lfloor \lfloor n \rfloor)) \doteq (null::({}^{\prime}\mathfrak{A})Real)) = false
by(rule ext, auto simp: StrictRefEq_{Real} valid-def
                   bot-fun-def bot-option-def null-fun-def null-option-def StrongEq-def)
lemma null-non-real [simp]: ((null::(\mathfrak{A})Real) \doteq (\lambda - ||n||)) = false
\mathbf{by}(rule\ ext, auto\ simp:\ StrictRefEq_{Real}\ valid-def
                   bot-fun-def bot-option-def null-fun-def null-option-def StrongEq-def)
```

```
lemma OclReal0-non-null [simp,code-unfold]: (\mathbf{0.0} \doteq null) = false by(simp\ add:\ OclReal0-def)
lemma null-non-OclReal0 [simp,code-unfold]: (null \doteq 0.0) = false by (simp add: OclReal0-def)
lemma OclReal1-non-null [simp,code-unfold]: (1.0 = null) = false by (simp add: OclReal1-def)
\textbf{lemma} \ \textit{null-non-OclReal1} \ [\textit{simp,code-unfold}] : (\textit{null} \doteq \textbf{1.0}) = \textit{false} \ \textbf{by}(\textit{simp add} : \textit{OclReal1-def})
lemma OclReal2-non-null [simp,code-unfold]: (2.0 = null) = false by (simp add: OclReal2-def)
lemma null-non-OclReal2 [simp,code-unfold]: (null \doteq 2.0) = false by (simp \ add: OclReal2-def)
lemma OclReal6-non-null [simp,code-unfold]: (6.0 = null) = false by(simp add: OclReal6-def)
lemma null-non-OclReal6 [simp,code-unfold]: (null \doteq 6.0) = false by (simp add: OclReal6-def)
lemma OclReal8-non-null [simp,code-unfold]: (8.0 \pm null) = false by (simp add: OclReal8-def)
lemma null-non-OclReal8 [simp,code-unfold]: (null \doteq 8.0) = false by (simp \ add: OclReal8-def)
lemma OclReal9-non-null [simp,code-unfold]: (9.0 \pm null) = false by (simp add: OclReal9-def)
lemma null-non-OclReal9 [simp,code-unfold]: (null \doteq 9.0) = false by (simp add: OclReal9-def)
```

```
Const lemma [simp,code-unfold]: const(0.0) by(simp add: const-ss OclReal0-def)
lemma [simp,code-unfold]: const(1.0) by(simp add: const-ss OclReal1-def)
lemma [simp,code-unfold]: const(2.0) by(simp add: const-ss OclReal2-def)
lemma [simp,code-unfold]: const(6.0) by(simp add: const-ss OclReal6-def)
lemma [simp,code-unfold]: const(8.0) by(simp add: const-ss OclReal8-def)
lemma [simp,code-unfold]: const(9.0) by(simp add: const-ss OclReal9-def)
```

### Test Statements on Basic Real

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to True.

Elementary computations on Real

```
Assert \tau = 1.0 <> 2.0
Assert \tau \models 2.0 <> 1.0
Assert \tau \models 2.0 \doteq 2.0
Assert \tau \models v \ 4.0
Assert \tau \models \delta 4.0
```

```
Assert \tau \models \upsilon (null::('\mathfrak{A})Real)
Assert \tau \models (invalid \triangleq invalid)
Assert \tau \models (null \triangleq null)
Assert \tau \models (4.0 \triangleq 4.0)
Assert \neg(\tau \models (9.0 \triangleq 10.0))
Assert \neg(\tau \models (invalid \triangleq 10.0))
Assert \neg(\tau \models (null \triangleq 10.0))
Assert \neg(\tau \models (invalid \doteq (invalid::('\mathfrak{A})Real)))
Assert \neg(\tau \models \upsilon \ (invalid \doteq (invalid :: ('\mathfrak{A})Real)))
Assert \neg(\tau \models (invalid <> (invalid::('\mathfrak{A})Real)))
Assert \neg(\tau \models \upsilon (invalid <> (invalid::('\mathfrak{A})Real)))
Assert \tau \models (null \doteq (null :: ('\mathfrak{A})Real))
Assert \tau \models (null \doteq (null :: ('\mathfrak{A})Real))
Assert \tau \models (4.0 \doteq 4.0)
Assert \neg(\tau \models (4.0 <> 4.0))
Assert \neg(\tau \models (4.0 \doteq 10.0))
Assert \tau \models (4.0 <> 10.0)
Assert \neg(\tau \models (0.0 <_{real} null))
Assert \neg(\tau \models (\delta \ (0.0 <_{real} null)))
```

end

```
theory UML-String imports ../UML-PropertyProfiles begin
```

# **B.2.6.** Basic Type String: Operations

# **Basic String Constants**

Although the remaining part of this library reasons about integers abstractly, we provide here as example some convenient shortcuts.

```
definition OclStringa :: (^{\mathfrak{A}})String (a) where a = (\lambda - . \lfloor \lfloor ''a'' \rfloor \rfloor) definition OclStringb :: (^{l}\mathfrak{A})String (b) where b = (\lambda - . \lfloor \lfloor ''b'' \rfloor \rfloor) definition OclStringc :: (^{l}\mathfrak{A})String (c) where c = (\lambda - . \lfloor \lfloor ''c'' \rfloor \rfloor)
```

## **Validity and Definedness Properties**

```
lemma \delta(null::({}^{\prime}\mathfrak{A})String) = false by simp
```

```
| lemma v(null::('\mathbb{\alpha})String) = true | by simp |
| lemma [simp,code-unfold]: δ (\lambda -. \left[ \left[ \left[ \left] \right] \right) = true |
| by(simp add:defined-def true-def | bot-fun-def bot-option-def null-fun-def null-option-def |
| lemma [simp,code-unfold]: v (\lambda -. \left[ \left[ \left[ \left] \right] \right) = true |
| by(simp add:valid-def true-def | bot-fun-def bot-option-def |
| lemma [simp,code-unfold]: δ a = true | by(simp add:OclStringa-def) |
| lemma [simp,code-unfold]: v a = true | by(simp add:OclStringa-def) |
```

## **String Operations**

**Definition** Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of the OCL Standard for Isabelle technical reasons; these operators are heavily overloaded in the HOL library that a further overloading would lead to heavy technical buzz in this document.

```
where x +_{string} y \equiv \lambda \tau. if (\delta x) \tau = true \tau \land (\delta y) \tau = true \tau
then \lfloor \lfloor concat \lceil \lceil \lceil x \tau \rceil \rceil, \lceil \lceil y \tau \rceil \rceil \rceil \rfloor \rfloor \rfloor
else invalid \tau
interpretation OclAdd_{String}: profile-bin1 op +_{string} \lambda xy. \lfloor \lfloor concat \lceil \lceil x \rceil \rceil, \lceil \lceil y \rceil \rceil \rceil \rfloor \rfloor \rfloor
by unfold-locales (auto simp:OclAdd_{String}-def bot-option-def null-option-def)

Basic Properties lemma OclAdd_{String}-not-commute: \exists XY. (X +_{string} Y) \neq (Y +_{string} X)
apply(rule-tac x = \lambda-. \lfloor \lfloor l'b'' \rfloor \rfloor in exI)
apply(simp-all add:OclAdd_{String}-def)
by(simp-all add:OclAdd_{String}-def)
by(simp-auto)
```

**definition**  $OclAdd_{String}$  ::('\mathfrak{A})String  $\Rightarrow$  ('\mathfrak{A})String (infix +<sub>string</sub> 40)

**Test Statements** Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

### **Fundamental Properties on Strings: Strict Equality**

**Definition** The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the  ${}^{\prime}\mathfrak{A}$  *Boolean*-case as strict extension of the strong equality:

```
defs StrictRefEq<sub>String</sub>[code-unfold]: (x::({}^{t}\mathfrak{A})String) \doteq y \equiv \lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \land (v \ y) \ \tau = true \ \tau \\ then \ (x \triangleq y) \ \tau \\ else invalid \ \tau
```

Property proof in terms of profile-bin3

```
interpretation StrictRefEq<sub>String</sub>: profile-bin3 \lambda x y. (x::('\mathfrak{A})String) \doteq y by unfold-locales (auto simp: StrictRefEq<sub>String</sub>)
```

## **Test Statements on Basic String**

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on String

```
Assert \tau \models a <> b
Assert \tau \models b <> a
Assert \tau \models b \doteq b
Assert \tau \models \upsilon a
Assert \tau \models \delta a
Assert \tau \models \upsilon (null::(\mathfrak{A})String)
Assert \tau \models (invalid \triangleq invalid)
Assert \tau \models (null \triangleq null)
Assert \tau \models (a \triangleq a)
Assert \neg(\tau \models (a \triangleq b))
Assert \neg(\tau \models (invalid \triangleq b))
Assert \neg(\tau \models (null \triangleq b))
Assert \neg(\tau \models (invalid \doteq (invalid::('\mathfrak{A})String)))
Assert \neg(\tau \models \upsilon \ (invalid \doteq (invalid :: ('\mathfrak{A})String)))
Assert \neg(\tau \models (invalid <> (invalid::('\mathfrak{A})String)))
Assert \neg(\tau \models \upsilon \ (invalid <> (invalid::('\mathfrak{A})String)))
Assert \tau \models (null \doteq (null :: ('\mathfrak{A})String))
Assert \tau \models (null \doteq (null :: ('\mathfrak{A})String))
Assert \tau \models (b \doteq b)
Assert \neg(\tau \models (b <> b))
Assert \neg(\tau \models (b \doteq c))
Assert \tau \models (b <> c)
```

end

```
theory UML-Pair
imports ../basic-types/UML-Boolean
../basic-types/UML-Integer
begin
```

# **B.2.7. Collection Type Pairs: Operations**

The OCL standard provides the concept of *Tuples*, i. e. a family of record-types with projection functions. In FeatherWeight OCL, only the theory of a special case is developed, namely the type of Pairs, which is, however, sufficient for all applications since it can be used to mimick all tuples. In particular, it can be used to express operations with multiple arguments, roles of n-ary associations, ...

## **Semantic Properties of the Type Constructor**

```
lemma A[simp]:Rep-Pair_{base} \ x \neq None \Longrightarrow Rep-Pair_{base} \ x \neq null \Longrightarrow (fst \lceil [Rep-Pair_{base} \ x \rceil]) \neq bot
by(insert Rep-Pair<sub>base</sub>[of x],auto simp:null-option-def bot-option-def)
lemma A'[simp]: x \neq bot \Longrightarrow x \neq null \Longrightarrow (fst \lceil [Rep-Pair_{base} x \rceil]) \neq bot
apply(insert Rep-Pair<sub>base</sub>[of x], simp add: bot-Pair<sub>base</sub>-def null-Pair<sub>base</sub>-def)
apply(auto simp:null-option-def bot-option-def)
apply(erule\ contrapos-np[of\ x=Abs-Pair_{base}\ None])
apply(subst Rep-Pair<sub>base</sub>-inject[symmetric], simp)
apply(subst Pair<sub>base</sub>.Abs-Pair<sub>base</sub>-inverse, simp-all,simp add: bot-option-def)
apply(erule contrapos-np[of x = Abs-Pair_{base} | None |])
apply(subst Rep-Pair<sub>base</sub>-inject[symmetric], simp)
apply(subst Pair<sub>base</sub>.Abs-Pair<sub>base</sub>-inverse, simp-all,simp add: null-option-def bot-option-def)
done
\textbf{lemma} \ B[simp]: Rep-Pair_{base} \ x \neq None \Longrightarrow Rep-Pair_{base} \ x \neq null \Longrightarrow (snd \ \lceil \lceil Rep-Pair_{base} \ x \rceil \rceil) \neq bot
by(insert Rep-Pair<sub>base</sub>[of x],auto simp:null-option-def bot-option-def)
lemma B'[simp]: x \neq bot \Longrightarrow x \neq null \Longrightarrow (snd \lceil [Rep-Pair_{base} x \rceil]) \neq bot
apply(insert Rep-Pair<sub>base</sub>[of x], simp add: bot-Pair<sub>base</sub>-def null-Pair<sub>base</sub>-def)
apply(auto simp:null-option-def bot-option-def)
apply(erule\ contrapos-np[of\ x = Abs-Pair_{base}\ None])
\mathbf{apply}(\mathit{subst}\,\mathit{Rep-Pair}_{\mathit{base}}\text{-}\mathit{inject}[\mathit{symmetric}],\,\mathit{simp})
apply(subst Pair<sub>base</sub>.Abs-Pair<sub>base</sub>-inverse, simp-all, simp add: bot-option-def)
apply(erule contrapos-np[of x = Abs-Pair_{base} | None | ])
apply(subst Rep-Pair<sub>base</sub>-inject[symmetric], simp)
apply(subst Pair<sub>base</sub>.Abs-Pair<sub>base</sub>-inverse, simp-all,simp add: null-option-def bot-option-def)
done
```

# **Strict Equality**

**Definition** After the part of foundational operations on sets, we detail here equality on sets. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

```
defs StrictRefEq<sub>Pair</sub>: ((x::({}^t\mathfrak{A},{}^t\alpha::null,{}^t\beta::null})Pair) \doteq y) \equiv (\lambda \ \tau. \ if \ (\upsilon \ x) \ \tau = true \ \tau \wedge (\upsilon \ y) \ \tau = true \ \tau \\ then \ (x \triangleq y)\tau \\ else \ invalid \ \tau)
```

Property proof in terms of *profile-bin3* 

```
interpretation StrictRefEq<sub>Pair</sub>: profile-bin3 \lambda x y. (x::('\mathbb{A},'\alpha::null,'\beta::null)Pair) \doteq y by unfold-locales (auto simp: StrictRefEq<sub>Pair</sub>)
```

## **Standard Operations**

This part provides a collection of operators for the Pair type.

```
Definition: OclPair Constructor definition OclPair:({}^{t}\mathfrak{A}, {}^{\prime}\alpha) \ val \Rightarrow
                   ('\mathfrak{A}, '\beta) \ val \Rightarrow
                   ('\mathfrak{A}, '\alpha::null, '\beta::null) Pair (Pair\{(-), (-)\})
             Pair\{X,Y\} \equiv (\lambda \ \tau. \ if \ (\upsilon \ X) \ \tau = true \ \tau \land (\upsilon \ Y) \ \tau = true \ \tau
                          then Abs-Pair_{base} \lfloor \lfloor (X \tau, Y \tau) \rfloor \rfloor
                          else invalid \tau)
interpretation OclPair: profile-bin4
             OclPair \lambda x y. Abs-Pair<sub>base</sub> \lfloor \lfloor (x, y) \rfloor \rfloor
             apply(unfold-locales, auto simp: OclPair-def bot-Pair<sub>base</sub>-def null-Pair<sub>base</sub>-def)
             by(auto simp: Abs-Pair<sub>base</sub>-inject null-option-def bot-option-def)
Definition: OclFst definition OclFirst:: (^{1}\mathfrak{A}, '\alpha::null, '\beta::null) Pair <math>\Rightarrow (^{1}\mathfrak{A}, '\alpha) val \ (-.First'('))
where X . First() \equiv (\lambda \tau . if (\delta X) \tau = true \tau
                          then fst \lceil \lceil Rep - Pair_{base}(X \tau) \rceil \rceil
                          else invalid \tau)
interpretation OclFirst: profile-mono2 OclFirst \lambda x. fst \lceil \lceil Rep-Pair_{base}(x) \rceil \rceil
                       by unfold-locales (auto simp: OclFirst-def)
Definition: OclSnd definition OclSecond:: ({}^{\prime}\mathfrak{A}, {}^{\prime}\alpha::null, {}^{\prime}\beta::null) Pair \Rightarrow ({}^{\prime}\mathfrak{A}, {}^{\prime}\beta) val (-.Second'({}^{\prime}))
where X . Second() \equiv (\lambda \tau . if (\delta X) \tau = true \tau
                           then snd \lceil \lceil Rep-Pair_{base}(X \tau) \rceil \rceil
                           else invalid \tau)
interpretation OclSecond: profile-mono2 OclSecond \lambda x. snd [[Rep-Pair<sub>base</sub> (x)]]
                       by unfold-locales (auto simp: OclSecond-def)
Logical Properties
lemma 1: \tau \models \upsilon Y \Longrightarrow \tau \models Pair\{X,Y\} .First() \triangleq X
apply(case-tac \neg(\tau \models \upsilon X))
apply(erule foundation7'[THEN iffD2, THEN foundation15|THEN iffD2,
                                  THEN StrongEq-L-subst2-rev], simp-all add:foundation18')
apply(auto simp: OclValid-def valid-def defined-def StrongEq-def OclFirst-def OclPair-def
              true-def false-def invalid-def bot-fun-def null-fun-def)
apply(auto simp: Abs-Pair<sub>base</sub>-inject null-option-def bot-option-def bot-Pair<sub>base</sub>-def null-Pair<sub>base</sub>-def)
by(simp add: Abs-Pair<sub>base</sub>-inverse)
```

```
 \begin{array}{l} \textbf{lemma} \ 2: \tau \models v \ X \Longrightarrow \tau \models Pair\{X,Y\} \ . Second() \triangleq Y \\ \textbf{apply}(case\text{-}tac \neg (\tau \models v \ Y)) \\ \textbf{apply}(erule \ foundation7'[THEN \ iffD2, THEN \ foundation15[THEN \ iffD2, THEN \ StrongEq\text{-}L\text{-}subst2\text{-}rev]], simp-all \ add: foundation18') \\ \textbf{apply}(auto \ simp: \ OclValid\text{-}def \ valid\text{-}def \ defined\text{-}def \ StrongEq\text{-}def \ OclSecond\text{-}def \ OclPair\text{-}def \ true\text{-}def \ false\text{-}def \ invalid\text{-}def \ bot\text{-}fun\text{-}def \ null\text{-}fun\text{-}def)} \\ \textbf{apply}(auto \ simp: \ Abs\text{-}Pair_{base}\text{-}inject \ null\text{-}option\text{-}def \ bot\text{-}option\text{-}def \ bot\text{-}Pair_{base}\text{-}def \ null\text{-}Pair_{base}\text{-}def)} \\ \textbf{by}(simp \ add: \ Abs\text{-}Pair_{base}\text{-}inverse) \end{aligned}
```

# **Execution Properties**

```
lemma proj1-exec [simp, code-unfold]: Pair\{X,Y\}. First() = (if (v Y) then X else invalid endif)
apply(rule ext, rename-tac \tau, simp add: foundation22[symmetric])
apply(case-tac \neg(\tau \models \upsilon Y))
apply(erule foundation7'[THEN iffD2, THEN foundation15]THEN iffD2,
                      THEN StrongEq-L-subst2-rev]], simp-all)
apply(subgoal-tac \tau \models \upsilon Y)
apply(erule foundation13[THEN iffD2, THEN StrongEq-L-subst2-rev], simp-all)
by(erule 1)
lemma proj2-exec [simp, code-unfold]: Pair{X,Y}. Second() = (if <math>(v X) then Y else invalid endif)
apply(rule ext, rename-tac \tau, simp add: foundation22[symmetric])
applv(case-tac \neg (\tau \models \upsilon X))
apply(erule foundation7'[THEN iffD2, THEN foundation15]THEN iffD2,
                      THEN StrongEq-L-subst2-rev]],simp-all)
apply(subgoal-tac \tau \models \upsilon X)
apply(erule foundation13[THEN iffD2, THEN StrongEq-L-subst2-rev], simp-all)
by(erule 2)
```

## **Test Statements**

```
Assert \tau \models invalid . First() \triangleq invalid

Assert \tau \models null . First() \triangleq invalid

Assert \tau \models null . Second() \triangleq invalid . Second()

Assert \tau \models Pair\{invalid, true\} \triangleq invalid

Assert \tau \models v(Pair\{null, true\}. First())

Assert \tau \models (Pair\{null, true\}). First() \triangleq null

Assert \tau \models (Pair\{null, Pair\{true, invalid\}\}). First() \triangleq invalid
```

end

```
theory UML-Set imports ../basic-types/UML-Boolean
```

```
../basic-types/UML-Integer begin no-notation None (\bot)
```

# **B.2.8. Collection Type Set: Operations**

# As a Motivation for the (infinite) Type Construction: Type-Extensions as Sets

Our notion of typed set goes beyond the usual notion of a finite executable set and is powerful enough to capture *the extension of a type* in UML and OCL. This means we can have in Featherweight OCL Sets containing all possible elements of a type, not only those (finite) ones representable in a state. This holds for base types as well as class types, although the notion for class-types — involving object id's not occurring in a state — requires some care.

In a world with *invalid* and *null*, there are two notions extensions possible:

- 1. the set of all defined values of a type T (for which we will introduce the constant T)
- 2. the set of all valid values of a type T, so including null (for which we will introduce the constant  $T_{null}$ ).

We define the set extensions for the base type *Integer* as follows:

```
definition Integer :: ({}^{\prime}\mathfrak{A}, Integer<sub>base</sub>) Set
where Integer \equiv (\lambda \tau. (Abs\text{-Set}_{base} \text{ o Some o Some}) ((Some \text{ o Some}) \cdot (UNIV::int \text{ set})))
definition Integer_{null} :: ({}^{\prime}\mathfrak{A}, Integer_{base}) Set
where Integer_{null} \equiv (\lambda \tau. (Abs-Set_{base} \ o \ Some \ o \ Some) \ (Some \ `(UNIV::int \ option \ set)))
lemma Integer-defined : \delta Integer = true
apply(rule ext, auto simp: Integer-def defined-def false-def true-def
                         bot-fun-def null-fun-def null-option-def)
by(simp-all add: Abs-Set<sub>base</sub>-inject bot-option-def bot-Set<sub>base</sub>-def null-Set<sub>base</sub>-def null-option-def)
lemma Integer<sub>null</sub>-defined : \delta Integer<sub>null</sub> = true
\mathbf{apply}(\mathit{rule}\;\mathit{ext}, \mathit{auto}\;\mathit{simp}: \mathit{Integer}_{\mathit{null}}\text{-}\mathit{def}\,\mathit{defined}\text{-}\mathit{def}\,\mathit{false}\text{-}\mathit{def}\,\mathit{true}\text{-}\mathit{def}
                         bot-fun-def null-fun-def null-option-def)
\mathbf{by}(\textit{simp-all add: Abs-Set}_{\textit{base}}\text{-}\textit{inject bot-option-def bot-Set}_{\textit{base}}\text{-}\textit{def null-Set}_{\textit{base}}\text{-}\textit{def null-option-def})
    This allows the theorems:
    \tau \models \delta x \implies \tau \models (Integer - > includes(x)) \ \tau \models \delta x \implies \tau \models Integer \triangleq (Integer - > including(x))
    \tau \models v \ x \implies \tau \models (Integer_{null} - > includes(x)) \ \tau \models v \ x \implies \tau \models Integer_{null} \triangleq (Integer_{null} - > including(x))
    which characterize the infiniteness of these sets by a recursive property on these sets.
```

## **Validity and Definedness Properties**

Every element in a defined set is valid.

```
lemma Set-inv-lemma: \tau \models (\delta X) \Longrightarrow \forall x \in \lceil \lceil Rep\text{-Set}_{base}(X \tau) \rceil \rceil. x \neq bot
apply(insert Rep-Set<sub>base</sub> [of X \tau], simp)
apply(auto simp: OclValid-def defined-def false-def true-def cp-def
              bot-fun-def bot-Set<sub>base</sub>-def null-Set<sub>base</sub>-def null-fun-def
         split:split-if-asm)
 apply(erule contrapos-pp [of Rep-Set<sub>base</sub> (X \tau) = bot])
 \mathbf{apply}(\mathit{subst}\,\mathit{Abs-Set}_{\mathit{base}}.\mathit{inject}[\mathit{symmetric}],\,\mathit{rule}\,\mathit{Rep-Set}_{\mathit{base}},\,\mathit{simp})
apply(simp add: Rep-Set<sub>base</sub>-inverse bot-Set<sub>base</sub>-def bot-option-def)
apply(erule contrapos-pp [of Rep-Set<sub>base</sub> (X \tau) = null])
apply(subst Abs-Set<sub>base</sub>-inject[symmetric], rule Rep-Set<sub>base</sub>, simp)
apply(simp add: Rep-Set<sub>base</sub>-inverse null-option-def)
by (simp add: bot-option-def)
lemma Set-inv-lemma':
assumes x-def : \tau \models \delta X
    and e-mem : e \in \lceil \lceil Rep-Set<sub>base</sub> (X \tau) \rceil \rceil
  shows \tau \models \upsilon \ (\lambda - e)
 apply(rule Set-inv-lemma[OF x-def, THEN ballE[where x = e]])
 apply(simp add: foundation18')
by(simp add: e-mem)
lemma abs-rep-simp':
 assumes S-all-def : \tau \models \delta S
  shows Abs-Set<sub>base</sub> \lfloor \lfloor \lceil \lceil Rep\text{-Set}_{base} (S \tau) \rceil \rceil \rfloor \rfloor = S \tau
have discr-eq-false-true: \land \tau. (false \tau = true \tau) = False by(simp add: false-def true-def)
 show ?thesis
 apply(insert S-all-def, simp add: OclValid-def defined-def)
 apply(rule mp[OF Abs-Set<sub>base</sub>-induct[where P = \lambda S. (if S = \perp \tau \vee S = null \tau
                                           then false \tau else true \tau) = true \tau \longrightarrow
                                          Abs-Set_{base} [\lfloor \lceil [Rep-Set_{base} S \rceil \rceil \rfloor \rfloor = S]],
      rename-tac S')
  apply(simp\ add:\ Abs-Set_{base}-inverse\ discr-eq-false-true)
  apply(case-tac S') apply(simp add: bot-fun-def bot-Set<sub>base</sub>-def)+
  apply(rename-tac S'', case-tac S'') apply(simp add: null-fun-def null-Set<sub>base</sub>-def)+
 done
qed
lemma S-lift':
 assumes S-all-def : (\tau :: {}^{t}\mathfrak{A} st) \models \delta S
  shows \exists S'. (\lambda a (-::'\mathfrak{A} st). a) ' [[Rep\text{-}Set_{base} (S \tau)]] = (\lambda a (-::'\mathfrak{A} st). [a]) ' S'
  apply(rule-tac x = (\lambda a. [a]) \cdot [[Rep-Set_{base} (S \tau)]] in exI)
  apply(simp only: image-comp[symmetric])
  apply(simp add: comp-def)
  apply(rule image-cong, fast)
 apply(drule Set-inv-lemma'[OF S-all-def])
by(case-tac x, (simp add: bot-option-def foundation 18')+)
```

```
lemma invalid-set-OclNot-defined [simp,code-unfold]:\delta(invalid::('\mathbb{A}, '\alpha::null) Set) = false by simp lemma null-set-OclNot-defined [simp,code-unfold]:\delta(null::('\mathbb{A}, '\alpha::null) Set) = false by (simp add: defined-def null-fun-def) lemma invalid-set-valid [simp,code-unfold]:\upsilon(invalid::('\mathbb{A}, '\alpha::null) Set) = false by simp lemma null-set-valid [simp,code-unfold]:\upsilon(null::('\mathbb{A}, '\alpha::null) Set) = true apply(simp add: valid-def null-fun-def bot-fun-def bot-Set_base-def null-Set_base-def) apply(subst Abs-Set_base-inject,simp-all add: null-option-def bot-option-def) done
```

... which means that we can have a type ( ${}^{\prime}\mathfrak{A}$ ,( ${}^{\prime}\mathfrak{A}$ ),( ${}^{\prime}\mathfrak{A}$ ), Integer) Set) Set corresponding exactly to Set(Set(Integer)) in OCL notation. Note that the parameter  ${}^{\prime}\mathfrak{A}$  still refers to the object universe; making the OCL semantics entirely parametric in the object universe makes it possible to study (and prove) its properties independently from a concrete class diagram.

### **Constants on Sets**

Note that the collection types in OCL allow for null to be included; however, there is the null-collection into which inclusion yields invalid.

## **Operations**

This part provides a collection of operators for the Set type.

```
Definition: Ocllncluding definition Ocllncluding :: [(^{1}\mathfrak{A},'\alpha::null) Set,(^{1}\mathfrak{A},'\alpha) val] \Rightarrow (^{1}\mathfrak{A},'\alpha) Set
            OclIncluding x y = (\lambda \tau). if (\delta x) \tau = true \tau \wedge (\upsilon y) \tau = true \tau
                               then Abs-Set<sub>base</sub> || \lceil [Rep\text{-Set}_{base}(x \tau)] \rceil \cup \{y \tau\} ||
                               else invalid \tau)
notation OclIncluding (-->including'(-'))
interpretation OclIncluding: profile-bin2 OclIncluding \lambda x y. Abs-Set<sub>base</sub> ||\lceil [Rep-Set_{base} x \rceil] \cup \{y\}||
proof -
have A : None \in \{X. \ X = bot \lor X = null \lor (\forall x \in \lceil \lceil X \rceil], x \neq bot)\} by(simp add: bot-option-def)
have B: |None| \in \{X. \ X = bot \lor X = null \lor (\forall x \in [X] \ x \neq bot)\}
         by(simp add: null-option-def bot-option-def)
 have C: \land x \ y. \ x \neq \bot \Longrightarrow x \neq null \Longrightarrow y \neq \bot \Longrightarrow
          ||insert\ y\ \lceil [Rep\text{-}Set_{base}\ x]]|| \in \{X.\ X = bot\ \lor\ X = null\ \lor\ (\forall x \in \lceil [X]].\ x \neq bot)\}
         by(auto intro!:Set-inv-lemma[simplified OclValid-def
                                   defined-def false-def true-def null-fun-def bot-fun-def])
        show profile-bin2 OclIncluding (\lambda x \ y. \ Abs-Set_{base} | | \lceil \lceil Rep-Set_{base} \ x \rceil \rceil \cup \{y\} | |)
        apply unfold-locales
        apply(auto simp:OclIncluding-def bot-option-def null-option-def null-Set<sub>base</sub>-def bot-Set<sub>base</sub>-def)
         apply(erule-tac \ Q=Abs-Set_{base} | | insert \ y \lceil \lceil Rep-Set_{base} \ x \rceil \rceil \rceil | = Abs-Set_{base} \ None \ in \ contrapos-pp)
         apply(subst Abs-Set_{base}-inject[OF C A])
           apply(simp-all add: null-Set<sub>base</sub>-def bot-Set<sub>base</sub>-def bot-option-def)
        apply(erule-tac \ Q=Abs-Set_{base} | | insert \ y \lceil \lceil Rep-Set_{base} \ x \rceil \rceil \rceil | = Abs-Set_{base} | None | in contrapos-pp)
        apply(subst Abs-Set_{base}-inject[OF C B])
          apply(simp-all add: null-Set_{base}-def bot-Set_{base}-def bot-option-def)
        done
qed
syntax
  -OclFinset :: args = (\mathfrak{A}, 'a::null) Set (Set\{(-)\})
translations
 Set\{x, xs\} == CONST\ OclIncluding\ (Set\{xs\})\ x
 Set\{x\} = CONST\ OclIncluding\ (Set\{\})\ x
Definition: OclExcluding definition OclExcluding :: [({}^{\prime}\mathfrak{A},{}^{\prime}\alpha::null) Set,({}^{\prime}\mathfrak{A},{}^{\prime}\alpha) val] \Rightarrow ({}^{\prime}\mathfrak{A},{}^{\prime}\alpha) Set
             OclExcluding x y = (\lambda \tau). if (\delta x) \tau = true \tau \wedge (v y) \tau = true \tau
                                then Abs-Set<sub>base</sub> || \lceil [Rep\text{-Set}_{base}(x \tau)] \rceil - \{y \tau\} ||
                                else \perp)
notation OclExcluding (-->excluding'(-'))
Definition: Ocllncludes definition Ocllncludes :: [({}^{\prime}\mathfrak{A},'\alpha::null) Set,({}^{\prime}\mathfrak{A},'\alpha) val] \Rightarrow {}^{\prime}\mathfrak{A} Boolean
            OclIncludes x y = (\lambda \tau). if (\delta x) \tau = true \tau \wedge (v y) \tau = true \tau
                                then ||(y \tau) \in \lceil \lceil Rep\text{-}Set_{base}(x \tau) \rceil \rceil||
                                else \perp)
notation OclIncludes (-->includes'(-'))
Definition: OclExcludes definition OclExcludes :: [({}^{\prime}\mathfrak{A},{}^{\prime}\alpha::null)\ Set,({}^{\prime}\mathfrak{A},{}^{\prime}\alpha)\ val] \Rightarrow {}^{\prime}\mathfrak{A}\ Boolean
           OclExcludes\ x\ y = (not(OclIncludes\ x\ y))
```

```
notation OclExcludes (-->excludes'(-'))
```

The case of the size definition is somewhat special, we admit explicitly in Featherweight OCL the possibility of infinite sets. For the size definition, this requires an extra condition that assures that the cardinality of the set is actually a defined integer.

```
Definition: OclSize definition OclSize :: ({}^{\prime}\mathfrak{A},'\alpha::null})Set \Rightarrow {}^{\prime}\mathfrak{A} Integer where OclSize x = (\lambda \ \tau. \ if \ (\delta \ x) \ \tau = true \ \tau \land finite(\lceil\lceil Rep-Set_{base} \ (x \ \tau)\rceil\rceil\rceil) \downarrow \downarrow then \ \lfloor \ int(card \ \lceil\lceil Rep-Set_{base} \ (x \ \tau)\rceil\rceil\rceil) \ \rfloor \rfloor notation OclSize (-->size'('))
```

The following definition follows the requirement of the standard to treat null as neutral element of sets. It is a well-documented exception from the general strictness rule and the rule that the distinguished argument self should be non-null.

```
Definition: OcllsEmpty definition OcllsEmpty :: (^{\backprime}\mathfrak{A},'\alpha::null) Set \Rightarrow ^{\backprime}\mathfrak{A} Boolean where OcllsEmpty x = ((\mathfrak{v} \ x \ and \ not \ (\delta \ x)) \ or \ ((OclSize \ x) \doteq \mathbf{0})) notation OcllsEmpty (-->isEmpty'('))

Definition: OclNotEmpty definition OclNotEmpty :: (^{\backprime}\mathfrak{A},'\alpha::null) Set \Rightarrow ^{\backprime}\mathfrak{A} Boolean where OclNotEmpty x = not(OcllsEmpty \ x) notation OclNotEmpty (-->notEmpty'('))

Definition: OclANY definition OclANY :: [(^{\backprime}\mathfrak{A},'\alpha::null) Set] \Rightarrow (^{\backprime}\mathfrak{A},'\alpha) val where OclANY x = (\lambda \ \tau . \ if \ (\mathfrak{v} \ x) \ \tau = true \ \tau
then \ if \ (\delta \ x \ and \ OclNotEmpty \ x) \ \tau = true \ \tau
then \ SOME \ y. \ y \in \lceil\lceil Rep-Set_{base} \ (x \ \tau)\rceil\rceil
else \ null \ \tau
else \ \bot)

notation OclANY (-->any'('))
```

**Definition: OclForall** The definition of OclForall mimics the one of *op and*: OclForall is not a strict operation.

```
definition OclForall :: [(^{1}\!\mathfrak{A},'\alpha::null)Set,(^{1}\!\mathfrak{A},'\alpha)val\Rightarrow(^{1}\!\mathfrak{A})Boolean]\Rightarrow ^{1}\!\mathfrak{A}\ Boolean}
where OclForall\ S\ P=(\lambda\ \tau.\ if\ (\delta\ S)\ \tau=true\ \tau
then\ if\ (\exists x\in \lceil\lceil Rep-Set_{base}\ (S\ \tau)\rceil\rceil.\ P(\lambda\ -.\ x)\ \tau=false\ \tau)
then\ false\ \tau
else\ if\ (\exists x\in \lceil\lceil Rep-Set_{base}\ (S\ \tau)\rceil\rceil.\ P(\lambda\ -.\ x)\ \tau=invalid\ \tau)
then\ invalid\ \tau
else\ if\ (\exists x\in \lceil\lceil Rep-Set_{base}\ (S\ \tau)\rceil\rceil.\ P(\lambda\ -.\ x)\ \tau=null\ \tau)
then\ null\ \tau
else\ true\ \tau
```

```
translations
```

$$X->$$
 for  $All(x \mid P) == CONST \ Ocl For all \ X \ (%x. P)$ 

**Definition: OclExists** Like OclForall, OclExists is also not strict.

```
definition OclExists :: [({}^{\prime}\mathfrak{A}, {}^{\prime}\alpha :: null) \; Set, ({}^{\prime}\mathfrak{A}, {}^{\prime}\alpha)val \Rightarrow ({}^{\prime}\mathfrak{A}) Boolean] \Rightarrow {}^{\prime}\mathfrak{A} \; Boolean} where OclExists SP = not(OclForall \; S \; (\lambda \; X. \; not \; (P \; X)))
```

syntax

$$-OclExist :: [('\mathfrak{A}, '\alpha :: null) \ Set, id, ('\mathfrak{A}) Boolean] \Rightarrow '\mathfrak{A} \ Boolean \ \ ((-)->exists'(-|-'))$$
 translations

$$X->exists(x \mid P) == CONST\ OclExists\ X\ (\%x.\ P)$$

**Definition: Ocliterate** definition *Ocliterate* ::  $[('\mathfrak{A}, '\alpha :: null) Set, ('\mathfrak{A}, '\beta :: null) val,$ 

$$('\mathfrak{A}, '\alpha)val \Rightarrow ('\mathfrak{A}, '\beta)val \Rightarrow ('\mathfrak{A}, '\beta)val \Rightarrow ('\mathfrak{A}, '\beta)val$$

where OclIterate 
$$SAF = (\lambda \ \tau. \ if \ (\delta \ S) \ \tau = true \ \tau \land (\upsilon \ A) \ \tau = true \ \tau \land finite \lceil \lceil Rep-Set_{base} \ (S \ \tau) \rceil \rceil$$
  
then (Finite-Set.fold  $(F) \ (A) \ ((\lambda a \ \tau. \ a) \ (\lceil Rep-Set_{base} \ (S \ \tau) \rceil \rceil))\tau$   
else  $\bot$ )

syntax

-OclIterate :: 
$$[('\mathfrak{A}, '\alpha :: null) \text{ Set, } idt, idt, '\alpha, '\beta] => ('\mathfrak{A}, '\gamma) val$$
  $(-->iterate'(-; -=- | -'))$ 

### translations

$$X->iterate(a; x = A \mid P) == CONST\ OclIterate\ X\ A\ (\%a.\ (\%\ x.\ P))$$

**Definition: OclSelect** definition  $OclSelect :: [({}^{t}\mathfrak{A}, {}^{\prime}\alpha :: null)Set, ({}^{t}\mathfrak{A}, {}^{\prime}\alpha)val \Rightarrow ({}^{t}\mathfrak{A})Boolean] \Rightarrow ({}^{t}\mathfrak{A}, {}^{\prime}\alpha)Set$ 

where OclSelect S P = 
$$(\lambda \tau. if (\delta S) \tau = true \tau$$

then if 
$$(\exists x \in [\lceil Rep\text{-}Set_{base} (S \tau) \rceil]]$$
.  $P(\lambda - x) \tau = invalid \tau$   
then invalid  $\tau$ 

else Abs-Set<sub>base</sub> 
$$\lfloor \lfloor \{x \in \lceil \lceil Rep\text{-Set}_{base} (S \tau) \rceil \rceil, P (\lambda - x) \tau \neq false \tau \} \rfloor \rfloor$$
 else invalid  $\tau$ )

svntax

-OclSelect :: 
$$[(^{\prime}\mathfrak{A}, ^{\prime}\alpha::null) \ Set, id, (^{\prime}\mathfrak{A}) Boolean] \Rightarrow ^{\prime}\mathfrak{A} \ Boolean \ ((-)->select'(-|-'))$$

translations

$$X->select(x \mid P) == CONST\ OclSelect\ X\ (\%\ x.\ P)$$

**Definition: OclReject** definition  $OclReject :: [('\mathfrak{A}, '\alpha :: null)Set, ('\mathfrak{A}, '\alpha)val \Rightarrow ('\mathfrak{A})Boolean] \Rightarrow ('\mathfrak{A}, '\alpha :: null)Set$  where  $OclReject \ S \ P = OclSelect \ S \ (not \ o \ P)$ 

syntax

$$-OclReject :: [('\mathfrak{A},'\alpha::null) \; Set, id, ('\mathfrak{A}) Boolean] \Rightarrow '\mathfrak{A} \; Boolean \quad ((-)->reject'(-|-'))$$

translations

$$X->reject(x \mid P) == CONST\ OclReject\ X\ (\%\ x.\ P)$$

## **Definition (futur operators)** consts

*OclCount* :: 
$$[('\mathfrak{A},'\alpha::null) Set,('\mathfrak{A},'\alpha) Set] \Rightarrow '\mathfrak{A} Integer$$

*OclSum* :: 
$$('\mathfrak{A}, '\alpha :: null)$$
 *Set*  $\Rightarrow$  ' $\mathfrak{A}$  *Integer*

OclIncludesAll :: 
$$[('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow '\mathfrak{A} \ Boolean$$
  
OclExcludesAll ::  $[('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow '\mathfrak{A} \ Boolean$ 

```
OclComplement :: ('\mathfrak{A}, '\alpha :: null) Set \Rightarrow ('\mathfrak{A}, '\alpha) Set
                     :: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow ('\mathfrak{A}, '\alpha) \ Set
   OclUnion
   OclIntersection:: [('\mathfrak{A}, '\alpha :: null) \ Set, ('\mathfrak{A}, '\alpha) \ Set] \Rightarrow ('\mathfrak{A}, '\alpha) \ Set
notation
                     (-->count'(-'))
   OclCount
notation
                     (-->sum'('))
   OclSum
notation
   OclIncludesAll (-->includesAll'(-'))
notation
   OclExcludesAll (-->excludesAll'(-'))
notation
   OclComplement (-->complement'('))
notation
   OclUnion
                     (-−>union′(-′)
notation
   OclIntersection(-->intersection'(-')
Validity and Definedness Properties OclIncluding
lemma OclIncluding-defined-args-valid:
(\tau \models \delta(X - > including(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
by(simp add: foundation10')
lemma OclIncluding-valid-args-valid:
(\tau \models \upsilon(X->including(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
by (metis (hide-lams, no-types) OclIncluding.def-valid-then-def OclIncluding-defined-args-valid)
lemma OclIncluding-defined-args-valid'[simp,code-unfold]:
\delta(X->including(x)) = ((\delta X) \text{ and } (\upsilon x))
by simp
lemma OclIncluding-valid-args-valid"[simp,code-unfold]:
v(X->including(x)) = ((\delta X) \text{ and } (v x))
by(auto intro!: transform2-rev simp:OclIncluding-valid-args-valid foundation10 defined-and-I)
   OclExcluding
lemma OclExcluding-defined-args-valid:
(\tau \models \delta(X - > excluding(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
proof -
have A : \bot \in \{X. \ X = bot \lor X = null \lor (\forall x \in [X] \ x \neq bot)\} by(simp add: bot-option-def)
have B: |\bot| \in \{X. \ X = bot \lor X = null \lor (\forall x \in [[X]]. \ x \neq bot)\}
        by(simp add: null-option-def bot-option-def)
have C: (\tau \models (\delta X)) \Longrightarrow (\tau \models (\upsilon X)) \Longrightarrow
         \lfloor \lfloor \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil - \{x \tau\} \rfloor = \{X. X = bot \lor X = null \lor (\forall x \in \lceil \lceil X \rceil \rceil. x \neq bot) \}
```

```
by(frule Set-inv-lemma, simp add: foundation18 invalid-def)
 have D: (\tau \models \delta(X -> excluding(x))) \Longrightarrow ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
       by(auto simp: OclExcluding-def OclValid-def true-def valid-def false-def StrongEq-def
                  defined-def invalid-def bot-fun-def null-fun-def
              split: bool.split-asm HOL.split-if-asm option.split)
 have E: (\tau \models (\delta X)) \Longrightarrow (\tau \models (\upsilon x)) \Longrightarrow (\tau \models \delta(X -> excluding(x)))
       apply(subst OclExcluding-def, subst OclValid-def, subst defined-def)
       apply(auto simp: OclValid-def null-Set<sub>base</sub>-def bot-Set<sub>base</sub>-def null-fun-def bot-fun-def)
        apply(frule Abs-Set<sub>base</sub>-inject[OF C A, simplified OclValid-def, THEN iffD1],
             simp-all add: bot-option-def)
       apply(frule Abs-Set<sub>base</sub>-inject[OF C B, simplified OclValid-def, THEN iffD1],
            simp-all add: bot-option-def)
       done
show ?thesis by(auto dest:D intro:E)
qed
lemma OclExcluding-valid-args-valid:
(\tau \models \upsilon(X -> excluding(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
proof -
have D: (\tau \models \upsilon(X -> excluding(x))) \Longrightarrow ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
       by(auto simp: OclExcluding-def OclValid-def true-def valid-def false-def StrongEq-def
                  defined-def invalid-def bot-fun-def null-fun-def
              split: bool.split-asm HOL.split-if-asm option.split)
have E: (\tau \models (\delta X)) \Longrightarrow (\tau \models (\upsilon X)) \Longrightarrow (\tau \models \upsilon (X -> excluding(x)))
       by(simp add: foundation20 OclExcluding-defined-args-valid)
show ?thesis by(auto dest:D intro:E)
qed
lemma OclExcluding-valid-args-valid'[simp,code-unfold]:
\delta(X->excluding(x)) = ((\delta X) \text{ and } (\upsilon x))
by(auto intro!: transform2-rev simp:OclExcluding-defined-args-valid foundation10 defined-and-I)
lemma OclExcluding-valid-args-valid''[simp,code-unfold]:
v(X->excluding(x)) = ((\delta X) \text{ and } (v x))
by(auto intro!: transform2-rev simp:OclExcluding-valid-args-valid foundation10 defined-and-I)
   OclIncludes
lemma OclIncludes-defined-args-valid:
(\tau \models \delta(X - > includes(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
proof -
have A: (\tau \models \delta(X - > includes(x))) \Longrightarrow ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
       by(auto simp: OclIncludes-def OclValid-def true-def valid-def false-def StrongEq-def
                  defined-def invalid-def bot-fun-def null-fun-def
             split: bool.split-asm HOL.split-if-asm option.split)
have B: (\tau \models (\delta X)) \Longrightarrow (\tau \models (\upsilon x)) \Longrightarrow (\tau \models \delta(X - > includes(x)))
```

```
by(auto simp: OclIncludes-def OclValid-def true-def false-def StrongEq-def
                   defined-def invalid-def valid-def bot-fun-def null-fun-def
                   bot-option-def null-option-def
               split: bool.split-asm HOL.split-if-asm option.split)
show ?thesis by(auto dest:A intro:B)
ged
lemma OclIncludes-valid-args-valid:
(\tau \models \upsilon(X - > includes(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
proof -
have A: (\tau \models \upsilon(X - > includes(x))) \Longrightarrow ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
       by(auto simp: OclIncludes-def OclValid-def true-def valid-def false-def StrongEq-def
                 defined-def invalid-def bot-fun-def null-fun-def
            split: bool.split-asm HOL.split-if-asm option.split)
have B: (\tau \models (\delta X)) \Longrightarrow (\tau \models (\upsilon x)) \Longrightarrow (\tau \models \upsilon(X - > includes(x)))
       by(auto simp: OclIncludes-def OclValid-def true-def false-def StrongEq-def
                   defined-def invalid-def valid-def bot-fun-def null-fun-def
                   bot-option-def null-option-def
               split: bool.split-asm HOL.split-if-asm option.split)
show ?thesis by(auto dest:A intro:B)
qed
lemma OclIncludes-valid-args-valid'[simp,code-unfold]:
\delta(X->includes(x)) = ((\delta X) \text{ and } (\upsilon x))
by(auto intro!: transform2-rev simp:OclIncludes-defined-args-valid foundation10 defined-and-I)
lemma OclIncludes-valid-args-valid''[simp,code-unfold]:
v(X->includes(x)) = ((\delta X) \text{ and } (v x))
by(auto intro!: transform2-rev simp:OclIncludes-valid-args-valid foundation10 defined-and-I)
   OclExcludes
lemma OclExcludes-defined-args-valid:
(\tau \models \delta(X - > excludes(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
by (metis (hide-lams, no-types)
   OclExcludes-def OclAnd-idem OclOr-def OclOr-idem defined-not-I OclIncludes-defined-args-valid)
lemma OclExcludes-valid-args-valid:
(\tau \models \upsilon(X - > excludes(x))) = ((\tau \models (\delta X)) \land (\tau \models (\upsilon x)))
bv (metis (hide-lams, no-types)
   OclExcludes-def OclAnd-idem OclOr-def OclOr-idem valid-not-I OclIncludes-valid-args-valid)
lemma OclExcludes-valid-args-valid'[simp,code-unfold]:
\delta(X->excludes(x)) = ((\delta X) \text{ and } (\upsilon x))
by(auto intro!: transform2-rev simp:OclExcludes-defined-args-valid foundation10 defined-and-I)
lemma OclExcludes-valid-args-valid''[simp,code-unfold]:
v(X->excludes(x)) = ((\delta X) \text{ and } (v x))
by(auto intro!: transform2-rev simp:OclExcludes-valid-args-valid foundation10 defined-and-I)
```

```
OclSize
```

```
lemma OclSize-defined-args-valid: \tau \models \delta (X->size()) \Longrightarrow \tau \models \delta X
by(auto simp: OclSize-def OclValid-def true-def valid-def false-def StrongEq-def
          defined-def invalid-def bot-fun-def null-fun-def
      split: bool.split-asm HOL.split-if-asm option.split)
lemma OclSize-infinite:
assumes non-finite:\tau \models not(\delta(S->size()))
shows (\tau \models not(\delta(S))) \lor \neg finite \lceil \lceil Rep-Set_{base}(S \tau) \rceil \rceil
apply(insert non-finite, simp)
apply(rule impI)
apply(simp add: OclSize-def OclValid-def defined-def)
apply(case-tac finite \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil,
    simp-all add:null-fun-def null-option-def bot-fun-def bot-option-def)
done
lemma \tau \models \delta X \Longrightarrow \neg finite \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil \Longrightarrow \neg \tau \models \delta (X -> size())
by(simp add: OclSize-def OclValid-def defined-def bot-fun-def false-def true-def)
lemma size-defined:
assumes X-finite: \land \tau. finite \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil
shows \delta (X->size()) = \delta X
apply(rule ext, simp add: cp-defined[of X->size()] OclSize-def()
apply(simp add: defined-def bot-option-def bot-fun-def null-option-def null-fun-def X-finite)
done
lemma size-defined':
assumes X-finite: finite \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil
shows (\tau \models \delta (X - > size())) = (\tau \models \delta X)
apply(simp\ add:\ cp\ -defined[of\ X->size()]\ OclSize\ -def\ OclValid\ -def)
apply(simp add: defined-def bot-option-def bot-fun-def null-option-def null-fun-def X-finite)
done
   OclIsEmpty
lemma OclIsEmpty-defined-args-valid: \tau \models \delta (X - > isEmpty()) \Longrightarrow \tau \models \upsilon X
 apply(auto simp: OclIsEmpty-def OclValid-def defined-def valid-def false-def true-def
              bot-fun-def null-fun-def OclAnd-def OclOr-def OclNot-def
         split: split-if-asm)
 apply(case-tac (X->size() \doteq \mathbf{0}) \tau, simp add: bot-option-def, simp, rename-tac x)
 apply(case-tac x, simp add: null-option-def bot-option-def, simp)
 apply(simp add: OclSize-def StrictRefEq<sub>Integer</sub> valid-def)
by (metis (hide-lams, no-types)
        bot-fun-def OclValid-def defined-def foundation2 invalid-def)
lemma \tau \models \delta (null - > isEmpty())
by(auto simp: OclIsEmpty-def OclValid-def defined-def valid-def false-def true-def
          bot-fun-def null-fun-def OclAnd-def OclOr-def OclNot-def null-is-valid
      split: split-if-asm)
```

```
lemma OclIsEmpty-infinite: \tau \models \delta X \Longrightarrow \neg finite \lceil \lceil Rep\text{-Set}_{base}(X \tau) \rceil \rceil \Longrightarrow \neg \tau \models \delta (X -> isEmpty())
 apply(auto simp: OclIsEmpty-def OclValid-def defined-def valid-def false-def true-def
             bot-fun-def null-fun-def OclAnd-def OclOr-def OclNot-def
         split: split-if-asm)
 apply(case-tac (X->size() \doteq \mathbf{0}) \tau, simp add: bot-option-def, simp, rename-tac x)
 apply(case-tac x, simp add: null-option-def bot-option-def, simp)
by(simp add: OclSize-def StrictRefEq<sub>Integer</sub> valid-def bot-fun-def false-def true-def invalid-def)
   OclNotEmpty
lemma OclNotEmpty-defined-args-valid:\tau \models \delta (X -  notEmpty()) \Longrightarrow \tau \models v X
by (metis (hide-lams, no-types) OclNotEmpty-def OclNot-defargs OclNot-not foundation6 foundation9
                       OclIsEmpty-defined-args-valid)
lemma \tau \models \delta (null -> notEmpty())
by (metis (hide-lams, no-types) OclNotEmpty-def OclAnd-false1 OclAnd-idem OclIsEmpty-def
                       OclNot3 OclNot4 OclOr-def defined2 defined4 transform1 valid2)
lemma OclNotEmpty-infinite: \tau \models \delta X \Longrightarrow \neg finite \lceil \lceil Rep-Set_{base}(X \tau) \rceil \rceil \Longrightarrow \neg \tau \models \delta (X->notEmpty())
apply(simp add: OclNotEmpty-def)
apply(drule OclIsEmpty-infinite, simp)
by (metis OclNot-defargs OclNot-not foundation6 foundation9)
lemma OclNotEmpty-has-elt : \tau \models \delta X \Longrightarrow
                  \tau \models X -> notEmpty() \Longrightarrow
                  \exists e. \ e \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil
apply(simp add: OclNotEmpty-def OclIsEmpty-def deMorgan1 deMorgan2, drule foundation5)
 apply(subst (asm) (2) OclNot-def,
     simp add: OclValid-def StrictRefEq<sub>Integer</sub> StrongEq-def
        split: split-if-asm)
 prefer 2
 apply(simp add: invalid-def bot-option-def true-def)
 apply(simp add: OclSize-def valid-def split: split-if-asm,
     simp-all add: false-def true-def bot-option-def bot-fun-def OclInt0-def)
by (metis equals0I)
   OclANY
lemma OclANY-defined-args-valid: \tau \models \delta (X->any()) \Longrightarrow \tau \models \delta X
by(auto simp: OclANY-def OclValid-def true-def valid-def false-def StrongEq-def
          defined-def invalid-def bot-fun-def null-fun-def OclAnd-def
     split: bool.split-asm HOL.split-if-asm option.split)
lemma \tau \models \delta X \Longrightarrow \tau \models X -> isEmpty() \Longrightarrow \neg \tau \models \delta (X -> any())
apply(simp add: OclANY-def OclValid-def)
apply(subst cp-defined, subst cp-OclAnd, simp add: OclNotEmpty-def, subst (1 2) cp-OclNot,
     simp add: cp-OclNot[symmetric] cp-OclAnd[symmetric] cp-defined[symmetric],
     simp add: false-def true-def)
by(drule foundation20[simplified OclValid-def true-def], simp)
```

```
lemma OclANY-valid-args-valid:
(\tau \models \upsilon(X -> any())) = (\tau \models \upsilon X)
proof -
have A: (\tau \models \upsilon(X->any())) \Longrightarrow ((\tau \models (\upsilon X)))
      by (auto simp: OclANY-def OclValid-def true-def valid-def false-def StrongEq-def
               defined-def invalid-def bot-fun-def null-fun-def
           split: bool.split-asm HOL.split-if-asm option.split)
have B: (\tau \models (\upsilon X)) \Longrightarrow (\tau \models \upsilon(X -> any()))
       apply(auto simp: OclANY-def OclValid-def true-def false-def StrongEq-def
                  defined-def invalid-def valid-def bot-fun-def null-fun-def
                  bot-option-def null-option-def null-is-valid
                  OclAnd-def
              split: bool.split-asm HOL.split-if-asm option.split)
       apply(frule Set-inv-lemma[OF foundation16[THEN iffD2], OF conjI], simp)
       apply(subgoal-tac (\delta X) \tau = true \tau)
       prefer 2
       apply (metis (hide-lams, no-types) OclValid-def foundation16)
       apply(simp add: true-def,
           drule OclNotEmpty-has-elt[simplified OclValid-def true-def], simp)
      by(erule\ exE,
        insert some I2 [where Q = \lambda x. x \neq \bot and P = \lambda y. y \in [[Rep-Set_{base}(X \tau)]]],
show ?thesis by(auto dest:A intro:B)
qed
lemma OclANY-valid-args-valid''[simp,code-unfold]:
\upsilon(X->any())=(\upsilon X)
by(auto intro!: OclANY-valid-args-valid transform2-rev)
Execution with Invalid or Null or Infinite Set as Argument OclIncluding
lemma OclIncluding-invalid[simp,code-unfold]:(invalid->including(x)) = invalid
by(simp add: bot-fun-def OclIncluding-def invalid-def defined-def valid-def false-def true-def)
lemma OclIncluding-invalid-args[simp,code-unfold]:(X->including(invalid)) = invalid
by(simp add: OclIncluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def)
lemma OclIncluding-null[simp,code-unfold]:(null->including(x)) = invalid
by(simp add: OclIncluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def)
  OclExcluding
lemma OclExcluding-invalid[simp,code-unfold]:(invalid->excluding(x)) = invalid
by(simp add: bot-fun-def OclExcluding-def invalid-def defined-def valid-def false-def true-def)
lemma OclExcluding-invalid-args[simp,code-unfold]:(X->excluding(invalid)) = invalid
by(simp add: OclExcluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def)
```

```
\label{lemma:colerang} \begin{minipage}{ll} \textbf{lemma} & \textit{OclExcluding-null}[simp,code-unfold]: (null->excluding(x)) = invalid \\ \textbf{by}(simp add: OclExcluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def) \\ \end{minipage}
```

## OclIncludes

**lemma** OclIncludes-invalid[simp,code-unfold]:(invalid->includes(x)) = invalid **by**(simp add: bot-fun-def OclIncludes-def invalid-def defined-def valid-def false-def true-def)

**lemma** OclIncludes-invalid-args[simp,code-unfold]:(X->includes(invalid)) = invalid **by** (simp add: OclIncludes-def invalid-def bot-fun-def defined-def valid-def false-def true-def)

**lemma** OclIncludes-null[simp,code-unfold]:(null->includes(x)) = invalid **by**  $(simp\ add:\ OclIncludes-def\ invalid-def\ bot-fun-def\ defined-def\ valid-def\ false-def\ true-def)$ 

### **OclExcludes**

**lemma** OclExcludes-invalid[simp,code-unfold]:(invalid->excludes(x)) = invalid **by**(simp add: OclExcludes-def OclNot-def, simp add: invalid-def bot-option-def)

**lemma** OclExcludes-invalid-args[simp,code-unfold]:(X->excludes(invalid)) = invalid **by**(simp add: OclExcludes-def OclNot-def, simp add: invalid-def bot-option-def)

**lemma** OclExcludes-null[simp,code-unfold]:(null->excludes(x)) = invalid **by**(simp add: OclExcludes-def OclNot-def, simp add: invalid-def bot-option-def)

### OclSize

**lemma** *OclSize-invalid*[*simp*,*code-unfold*]:(*invalid*->*size*()) = *invalid* **by**(*simp add*: *bot-fun-def OclSize-def invalid-def defined-def valid-def false-def true-def*)

**lemma** OclSize-null[simp,code-unfold]:(null->size()) = invalid **by** $(rule\ ext,$ 

simp add: bot-fun-def null-fun-def null-is-valid OclSize-def invalid-def defined-def valid-def false-def true-def)

### **OclIsEmpty**

**lemma** *OclIsEmpty-invalid*[*simp*,*code-unfold*]:(*invalid*—>*isEmpty*()) = *invalid* **by**(*simp add*: *OclIsEmpty-def*)

 $\label{lemma:collsempty-null} \begin{center} \textbf{lemma } \textit{OcllsEmpty-null}[\textit{simp,code-unfold}]:(\textit{null}->\textit{isEmpty}()) = \textit{true } \\ \textbf{by}(\textit{simp add: OcllsEmpty-def}) \end{center}$ 

# OclNotEmpty

**lemma** *OclNotEmpty-invalid*[*simp*,*code-unfold*]:(*invalid*—>*notEmpty*()) = *invalid* **by**(*simp add*: *OclNotEmpty-def*)

 $\label{lemma:convergence} \begin{center} \textbf{lemma:} OclNotEmpty-null[simp,code-unfold]:(null->notEmpty()) = false\\ \textbf{by}(simp:add:OclNotEmpty-def) \end{center}$ 

## OclANY

**lemma** OclANY-invalid[simp,code-unfold]:(invalid -> any()) = invalid

**by**(simp add: bot-fun-def OclANY-def invalid-def defined-def valid-def false-def true-def)

**lemma** OclANY-null[simp,code-unfold]:(null->any()) = null **by** $(simp\ add:\ OclANY$ - $def\ false$ - $def\ true$ -def)

### OclForall

**lemma** OclForall-invalid[simp,code-unfold]:invalid->forAll(a|Pa) = invalid **by**(simp add: bot-fun-def invalid-def OclForall-def defined-def valid-def false-def true-def)

 $\label{lemma:colored} \begin{subarray}{l} \textbf{lemma:} OclForall-null[simp,code-unfold]:null->forAll(a\mid P\ a)=invalid \\ \textbf{by}(simp\ add:\ bot\mbox{-}fun\mbox{-}def\ invalid\mbox{-}def\ OclForall\mbox{-}def\ defined\mbox{-}def\ valid\mbox{-}def\ false\mbox{-}def\ true\mbox{-}def) \\ \end{subarray}$ 

# **OclExists**

**lemma** OclExists-invalid[simp,code-unfold]:invalid->exists(a|Pa)=invalid **by** $(simp\ add:\ OclExists$ -def)

**lemma**  $OclExists-null[simp,code-unfold]:null->exists(a \mid P \ a) = invalid$  **by** $(simp \ add: OclExists-def)$ 

## OclIterate

**lemma** OclIterate-invalid[simp,code-unfold]:invalid->iterate $(a; x = A \mid P \mid a \mid x) = invalid$  **by** $(simp \mid add: bot$ -fun-def invalid-def OclIterate-def defined-def valid-def false-def true-def)

**lemma**  $OclIterate-null[simp,code-unfold]:null->iterate(a; x = A \mid P \ a \ x) = invalid$  **by**  $(simp \ add: bot-fun-def \ invalid-def \ OclIterate-def \ defined-def \ valid-def \ false-def \ true-def)$ 

**lemma** OclIterate-invalid-args[simp,code-unfold]:S->iterate(a; x = invalid | P a x) = invalid **by**(simp add: bot-fun-def invalid-def OclIterate-def defined-def valid-def false-def true-def)

An open question is this ...

**lemma** S->iterate(a; x = null | P a x) = invalid **oops** 

lemma OclIterate-infinite:

**assumes** non-finite:  $\tau \models not(\delta(S->size()))$  **shows** (OclIterate S A F)  $\tau = invalid \tau$  **apply**(insert non-finite [THEN OclSize-infinite]) **apply**(subst (asm) foundation9, simp) **by**(metis OclIterate-def OclValid-def invalid-def)

### OclSelect

**lemma** OclSelect-invalid[simp,code-unfold]:invalid->select( $a \mid P \mid a$ ) = invalid **by**(simp add: bot-fun-def invalid-def OclSelect-def defined-def valid-def false-def true-def)

**lemma**  $OclSelect-null[simp,code-unfold]:null->select(a \mid P a) = invalid$  **by**(simp add: bot-fun-def invalid-def OclSelect-def defined-def valid-def false-def true-def)

```
OclReject
```

```
lemma OclReject-invalid[simp,code-unfold]:invalid->reject(a \mid P \mid a) = invalid
by(simp add: OclReject-def)
lemma OclReject-null[simp,code-unfold]:null->reject(a | P a) = invalid
by(simp add: OclReject-def)
Context Passing lemma cp-OclIncluding:
(X->including(x)) \tau = ((\lambda - X \tau) - >including(\lambda - X \tau)) \tau
by(auto simp: OclIncluding-def StrongEq-def invalid-def
           cp-defined[symmetric] cp-valid[symmetric])
lemma cp-OclExcluding:
(X->excluding(x)) \tau = ((\lambda - X \tau) - >excluding(\lambda - X \tau)) \tau
by(auto simp: OclExcluding-def StrongEq-def invalid-def
           cp-defined[symmetric] cp-valid[symmetric])
lemma cp-OclIncludes:
(X->includes(x)) \tau = ((\lambda - X \tau) - >includes(\lambda - X \tau)) \tau
by(auto simp: OclIncludes-def StrongEq-def invalid-def
           cp-defined[symmetric] cp-valid[symmetric])
lemma cp-OclIncludes1:
(X->includes(x)) \ \tau = (X->includes(\lambda -. x \ \tau)) \ \tau
by(auto simp: OclIncludes-def StrongEq-def invalid-def
           cp-defined[symmetric] cp-valid[symmetric])
lemma cp-OclExcludes:
(X->excludes(x)) \tau = ((\lambda - X \tau) - >excludes(\lambda - X \tau)) \tau
by(simp add: OclExcludes-def OclNot-def, subst cp-OclIncludes, simp)
lemma cp-OclSize: X->size() \tau = ((\lambda - X \tau) - >size()) \tau
by(simp add: OclSize-def cp-defined[symmetric])
lemma cp-OclIsEmpty: X->isEmpty() \tau = ((\lambda - X \tau) - >isEmpty()) \tau
apply(simp only: OclIsEmpty-def)
apply(subst (2) cp-OclOr,
    subst cp-OclAnd.
    subst cp-OclNot,
    subst\ StrictRefEq_{Integer}.cp0)
by(simp add: cp-defined|symmetric| cp-valid|symmetric| StrictRefEq<sub>Integer</sub>.cp0|symmetric|
        cp-OclSize[symmetric] cp-OclNot[symmetric] cp-OclAnd[symmetric] cp-OclOr[symmetric])
lemma cp-OclNotEmpty: X->notEmpty() \tau = ((\lambda - X \tau) - >notEmpty()) \tau
apply(simp only: OclNotEmpty-def)
apply(subst (2) cp-OclNot)
by(simp add: cp-OclNot[symmetric] cp-OclIsEmpty[symmetric])
```

```
lemma cp-OclANY: X->any() \tau = ((\lambda - X \tau) - >any()) \tau
apply(simp only: OclANY-def)
apply(subst (2) cp-OclAnd)
by(simp only: cp-OclAnd[symmetric] cp-defined[symmetric] cp-valid[symmetric]
          cp-OclNotEmpty[symmetric])
lemma cp-OclForall:
(S->forAll(x \mid Px)) \tau = ((\lambda - S\tau) - >forAll(x \mid P(\lambda - x\tau))) \tau
by(simp add: OclForall-def cp-defined[symmetric])
lemma cp-OclForall1 [simp,intro!]:
cp \ S \Longrightarrow cp \ (\lambda X. \ ((S \ X) - > for All(x \mid P \ x)))
apply(simp add: cp-def)
apply(erule exE, rule exI, intro allI)
apply(erule-tac \ x=X \ in \ all E)
by(subst cp-OclForall, simp)
lemma
cp(\lambda X St x. P(\lambda \tau. x) X St) \Longrightarrow cp S \Longrightarrow cp(\lambda X. (S X) -> for All(x|P x X))
apply(simp only: cp-def)
oops
lemma
cp S \Longrightarrow
(\land x. cp(P x)) \Longrightarrow
cp(\lambda X. ((SX) - > forAll(x \mid PxX)))
oops
lemma cp-OclExists:
(S->exists(x \mid Px)) \tau = ((\lambda - S\tau) - >exists(x \mid P(\lambda - x\tau))) \tau
by(simp add: OclExists-def OclNot-def, subst cp-OclForall, simp)
lemma cp-OclExists1 [simp,intro!]:
cp S \Longrightarrow cp (\lambda X. ((S X) -> exists(x \mid P x)))
apply(simp add: cp-def)
apply(erule exE, rule exI, intro allI)
apply(erule-tac x=X in all E)
by(subst cp-OclExists,simp)
lemma cp-OclIterate: (X->iterate(a; x = A \mid P \mid a \mid x)) \tau =
           ((\lambda - X \tau) - )iterate(a; x = A \mid P a x)) \tau
by(simp add: OclIterate-def cp-defined[symmetric])
```

```
lemma cp-OclSelect: (X->select(a \mid P a)) \tau =
          ((\lambda - X \tau) - select(a \mid P a)) \tau
by(simp add: OclSelect-def cp-defined[symmetric])
lemma cp-OclReject: (X->reject(a \mid Pa)) \tau =
          ((\lambda - X \tau) - > reject(a \mid P a)) \tau
by(simp add: OclReject-def, subst cp-OclSelect, simp)
lemmas cp-intro''_{Set}[intro!, simp, code-unfold] =
    cp-OclIncluding [THEN allI[THEN allI[THEN allI[THEN cpi2]], of OclIncluding]]
    cp-OclExcluding [THEN allI[THEN allI[THEN allI[THEN cpi2]], of OclExcluding]]
    cp-OclIncludes [THEN allI[THEN allI[THEN allI[THEN cpI2]], of OclIncludes]]
    cp-OclExcludes [THEN allI[THEN allI[THEN allI[THEN cpI2]], of OclExcludes]]
    cp-OclSize
                   [THEN allI[THEN allI[THEN cp11], of OclSize]]
    cp-OclisEmpty [THEN alli[THEN alli[THEN cpi1], of OclisEmpty]]
    cp-OclNotEmpty [THEN allI[THEN allI[THEN cpII], of OclNotEmpty]]
                    [THEN allI[THEN allI[THEN cpII], of OclANY]]
    cp-OclANY
Const lemma const-OclIncluding[simp,code-unfold]:
assumes const-x : const x
   and const-S: const S
  shows const (S->including(x))
  proof -
   have A: \land \tau'. \neg (\tau \models \upsilon x) \Longrightarrow (S->including(x) \tau) = (S->including(x) \tau')
       apply(simp add: foundation18)
       apply(erule const-subst[OF const-x const-invalid],simp-all)
       by(rule const-charn[OF const-invalid])
   have B: \land \tau \tau'. \neg (\tau \models \delta S) \Longrightarrow (S -> including(x) \tau) = (S -> including(x) \tau')
       apply(simp add: foundation16', elim disjE)
       apply(erule const-subst[OF const-S const-invalid],simp-all)
       apply(rule const-charn[OF const-invalid])
       apply(erule const-subst[OF const-S const-null],simp-all)
       by(rule const-charn[OF const-invalid])
   show ?thesis
    apply(simp only: const-def,intro allI, rename-tac \tau \tau')
    apply(case-tac \neg (\tau \models \upsilon x), simp add: A)
    apply(case-tac \neg (\tau \models \delta S), simp-all add: B)
    apply(frule-tac \tau' l = \tau' in const-OclValid2[OF const-x, THEN iffD1])
    apply(frule-tac \tau' l = \tau' in const-OclValid1[OF const-S, THEN iffD1])
    apply(simp add: OclIncluding-def OclValid-def)
    apply(subst const-charn[OF const-x])
    apply(subst const-charn[OF const-S])
    by simp
qed
```

# **Strict Equality**

**Definition** After the part of foundational operations on sets, we detail here equality on sets. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

```
defs StrictRefEq<sub>Set</sub>:  (x::({}^{t}\mathfrak{A},{}^{\prime}\alpha::null)Set) \stackrel{.}{=} y \equiv \lambda \ \tau. \ if \ (\upsilon \ x) \ \tau = true \ \tau \wedge (\upsilon \ y) \ \tau = true \ \tau \\  then \ (x \stackrel{\triangle}{=} y)\tau \\  else \ invalid \ \tau
```

One might object here that for the case of objects, this is an empty definition. The answer is no, we will restrain later on states and objects such that any object has its oid stored inside the object (so the ref, under which an object can be referenced in the store will represented in the object itself). For such well-formed stores that satisfy this invariant (the WFF-invariant), the referential equality and the strong equality—and therefore the strict equality on sets in the sense above—coincides.

Property proof in terms of *profile-bin3* 

**shows**  $\lceil \lceil Rep\text{-}Set_{base}(X->including(a) \tau) \rceil \rceil \neq \{ \}$ 

```
interpretation StrictRefEq<sub>Set</sub> : profile-bin3 \lambda x y. (x::('\mathfrak{A},'\alpha::null)Set) \doteq y by unfold-locales (auto simp: StrictRefEq_{Set})
```

```
Execution Rules on Oclincluding lemma Oclincluding-finite-rep-set :
 assumes X-def: \tau \models \delta X
    and x-val: \tau \models v x
   shows finite \lceil \lceil Rep\text{-}Set_{base}(X->including(x)\tau) \rceil \rceil = finite \lceil \lceil Rep\text{-}Set_{base}(X\tau) \rceil \rceil
proof -
 have C: ||insert(x \tau)||[Rep-Set_{base}(X \tau)]||| \in \{X. X = bot \lor X = null \lor (\forall x \in [[X]]. x \neq bot)\}
       by(insert X-def x-val, frule Set-inv-lemma, simp add: foundation18 invalid-def)
show ?thesis
 by(insert\ X-def\ x-val,
   auto simp: OclIncluding-def Abs-Set<sub>base</sub>-inverse[OF C]
       dest: foundation13[THEN iffD2, THEN foundation22[THEN iffD1]])
qed
lemma OclIncluding-rep-set:
assumes S-def: \tau \models \delta S
  shows \lceil \lceil Rep\text{-}Set_{base} (S-) \text{including}(\lambda - ||x||) \tau \rceil \rceil = \text{insert} ||x|| \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil
apply(simp add: OclIncluding-def S-def[simplified OclValid-def])
apply(subst Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def null-option-def)
apply(insert Set-inv-lemma[OF S-def], metis bot-option-def not-Some-eq)
\mathbf{by}(simp)
lemma OclIncluding-notempty-rep-set:
assumes X-def: \tau \models \delta X
   and a-val: \tau \models v a
```

**apply**(simp add: OclIncluding-def X-def[simplified OclValid-def] a-val[simplified OclValid-def])

**apply**(subst Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def null-option-def)

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```
apply(insert Set-inv-lemma[OF X-def], metis a-val foundation18')
\mathbf{by}(simp)
lemma OclIncluding-includes0:
assumes \tau \models X -> includes(x)
  shows X->including(x) \tau = X \tau
proof -
have includes-def: \tau \models X->includes(x) \Longrightarrow \tau \models \delta X
by (metis bot-fun-def OclIncludes-def OclValid-def defined3 foundation16)
have includes-val: \tau \models X->includes(x) \Longrightarrow \tau \models v x
 by (metis (hide-lams, no-types) foundation6
      OclIncludes-valid-args-valid OclIncluding-valid-args-valid OclIncluding-valid-args-valid')
 show ?thesis
 apply(insert includes-def [OF assms] includes-val[OF assms] assms,
      simp add: OclIncluding-def OclIncludes-def OclValid-def true-def)
 apply(drule insert-absorb, simp, subst abs-rep-simp')
 by(simp-all add: OclValid-def true-def)
qed
lemma OclIncluding-includes:
assumes \tau \models X -> includes(x)
  shows \tau \models X -> including(x) \triangleq X
by(simp add: StrongEq-def OclValid-def true-def OclIncluding-includes0[OF assms])
lemma OclIncluding-commute0:
assumes S-def : \tau \models \delta S
    and i-val: \tau \models \upsilon i
    and j-val: \tau \models v j
  shows \tau \models ((S :: (^t\mathfrak{A}, 'a :: null) \ Set) -> including(i) -> including(j) \triangleq (S -> including(j) -> including(i)))
proof -
 have A: ||insert(i \tau)| \lceil [Rep-Set_{base}(S \tau)] \rceil || \in \{X. X = bot \lor X = null \lor (\forall x \in \lceil [X] \rceil, x \neq bot) \}
        by(insert S-def i-val, frule Set-inv-lemma, simp add: foundation18 invalid-def)
 have B: ||insert(j \tau) \lceil \lceil Rep-Set_{base}(S \tau) \rceil \rceil || \in \{X. X = bot \lor X = null \lor (\forall x \in \lceil [X] \rceil. x \neq bot)\}
        by(insert S-def j-val, frule Set-inv-lemma, simp add: foundation18 invalid-def)
 have G1: Abs\text{-}Set_{base} \mid \lfloor insert \ (i \ \tau) \mid \lceil Rep\text{-}Set_{base} \ (S \ \tau) \rceil \rceil \rfloor \rfloor \neq Abs\text{-}Set_{base} \ None
        \mathbf{by}(insert\ A, simp\ add: Abs-Set_{base}-inject bot-option-def null-option-def)
 have G2: Abs\text{-}Set_{base} \mid | insert (i \tau) \lceil [Rep\text{-}Set_{base} (S \tau)] \rceil \mid | \neq Abs\text{-}Set_{base} | None |
        \mathbf{by}(insert\ A, simp\ add:\ Abs-Set_{base}-inject\ bot-option-def\ null-option-def)
 have G3: Abs-Set<sub>base</sub> \lfloor insert (j \tau) \lceil [Rep-Set_{base} (S \tau)] \rceil \rfloor \rfloor \neq Abs-Set_{base} None
        \mathbf{by}(insert\ B, simp\ add: Abs-Set_{base}-inject\ bot-option-def\ null-option-def)
 have G4: Abs\text{-}Set_{base} \mid \lfloor insert (j \tau) \mid \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil \rfloor \rfloor \neq Abs\text{-}Set_{base} \mid None \rfloor
        by(insert B, simp add: Abs-Set<sub>base</sub>-inject bot-option-def null-option-def)
 have * : (\delta (\lambda - Abs-Set_{base} | | insert (i \tau) \lceil [Rep-Set_{base} (S \tau)] \rceil | |)) \tau = | | True | |
          by(auto simp: OclValid-def false-def defined-def null-fun-def true-def
```

```
bot-fun-def bot-Set<sub>base</sub>-def null-Set<sub>base</sub>-def S-def i-val G1 G2)
```

```
have ** : (\delta (\lambda - Abs-Set_{base} | | insert (j \tau) \lceil [Rep-Set_{base} (S \tau)]] | |)) \tau = | | True | |
          by (auto simp: OclValid-def false-def defined-def null-fun-def true-def
                       bot-fun-def bot-Set<sub>base</sub>-def null-Set<sub>base</sub>-def S-def i-val G3 G4)
 \mathbf{have} *** : Abs\text{-}Set_{base} \mid |insert(j \ \tau) \lceil \lceil Rep\text{-}Set_{base}(Abs\text{-}Set_{base} \mid |insert(i \ \tau) \lceil \lceil Rep\text{-}Set_{base}(S \ \tau) \rceil \rceil \mid |) \rceil \rceil \mid | =
           Abs\text{-}Set_{base} \mid \lfloor insert(i \ \tau) \lceil \lceil Rep\text{-}Set_{base}(Abs\text{-}Set_{base} \lfloor \lfloor insert(j \ \tau) \lceil \lceil Rep\text{-}Set_{base}(S \ \tau) \rceil \rceil \rfloor \rfloor \rfloor \rceil \rceil \rfloor \rfloor
           by(simp add: Abs-Set<sub>base</sub>-inverse[OF A] Abs-Set<sub>base</sub>-inverse[OF B] Set.insert-commute)
 show ?thesis
    apply(simp add: OclIncluding-def S-def[simplified OclValid-def]
              i-val[simplified OclValid-def] j-val[simplified OclValid-def]
              true-def OclValid-def StrongEq-def)
    apply(subst cp-defined,
        simp add: S-def[simplified OclValid-def]
                i-val[simplified OclValid-def] j-val[simplified OclValid-def] true-def *)
    apply(subst cp-defined,
        simp add: S-def[simplified OclValid-def]
                i-val[simplified OclValid-def] j-val[simplified OclValid-def] true-def ** ***)
    apply(subst cp-defined,
        simp add: S-def[simplified OclValid-def]
                i-val[simplified OclValid-def] j-val[simplified OclValid-def] true-def *)
   apply(subst cp-defined,
        simp add: S-def[simplified OclValid-def]
                i-val[simplified OclValid-def] j-val[simplified OclValid-def] true-def * )
   apply(subst cp-defined,
        simp add: S-def[simplified OclValid-def]
                i-val[simplified OclValid-def] j-val[simplified OclValid-def] true-def * **)
   done
qed
lemma OclIncluding-commute[simp,code-unfold]:
((S :: (^{1}A, 'a :: null) Set) -> including(i) -> including(j) = (S -> including(j) -> including(i)))
proof -
 have A: \land \tau. \tau \models (i \triangleq invalid) \implies (S->including(i)->including(j)) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp, simp)
 have A': \land \tau. \tau \models (i \triangleq invalid) \implies (S->including(i)->including(i)) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp, simp)
 have B: \land \tau. \tau \models (j \triangleq invalid) \implies (S->including(i)->including(j)) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp, simp)
 have B': \land \tau. \tau \models (j \triangleq invalid) \implies (S->including(j)->including(i)) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp,simp)
 have C: \land \tau. \tau \models (S \triangleq invalid) \implies (S->including(i)->including(j)) \tau = invalid \tau
```

```
apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have C': \land \tau. \tau \models (S \triangleq invalid) \implies (S->including(j)->including(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have D: \land \tau. \tau \models (S \triangleq null) \implies (S->including(i)->including(j)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have D': \land \tau. \tau \models (S \triangleq null) \implies (S->including(j)->including(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 show ?thesis
   apply(rule ext, rename-tac \tau)
   apply(case-tac \tau \models (\upsilon i))
   apply(case-tac \tau \models (\upsilon j))
    apply(case-tac \tau \models (\delta S))
     apply(simp only: OclIncluding-commute0[THEN foundation22[THEN iffD1]])
    apply(simp\ add: foundation16', elim\ disjE)
   apply(simp add: C[OF foundation22[THEN iffD2]] C'[OF foundation22[THEN iffD2]])
   apply(simp add: D[OF foundation22[THEN iffD2]] D'[OF foundation22[THEN iffD2]])
  apply(simp add:foundation18 B[OF foundation22[THEN iffD2]] B'[OF foundation22[THEN iffD2]])
 apply(simp add:foundation18 A[OF foundation22[THEN iffD2]] A'[OF foundation22[THEN iffD2]])
 done
qed
Execution Rules on OclExcluding lemma OclExcluding-finite-rep-set:
 assumes X-def : \tau \models \delta X
    and x-val : \tau \models \upsilon x
  shows finite \lceil \lceil Rep\text{-}Set_{base}(X - > excluding(x) \tau) \rceil \rceil = finite \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil
 proof -
 have C: \lfloor \lfloor \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil - \{x \tau\} \rfloor = \{X. X = bot \lor X = null \lor (\forall x \in \lceil \lceil X \rceil \rceil. x \neq bot) \}
       apply(insert X-def x-val, frule Set-inv-lemma)
       apply(simp add: foundation18 invalid-def)
       done
show ?thesis
 by(insert\ X-def\ x-val,
   auto simp: OclExcluding-def Abs-Set<sub>base</sub>-inverse[OF C]
       dest: foundation13[THEN iffD2, THEN foundation22[THEN iffD1]])
qed
lemma OclExcluding-rep-set:
assumes S-def: \tau \models \delta S
  shows \lceil \lceil Rep\text{-}Set_{base} (S - > excluding(\lambda - \cdot ||x||) \tau) \rceil \rceil = \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil - \{ ||x|| \}
 apply(simp add: OclExcluding-def S-def[simplified OclValid-def])
 apply(subst Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def null-option-def)
 apply(insert Set-inv-lemma[OF S-def], metis Diff-iff bot-option-def not-None-eq)
\mathbf{by}(simp)
```

```
lemma OclExcluding-excludes0:
assumes \tau \models X -> excludes(x)
  shows X->excluding(x) \tau=X \tau
proof -
have excludes-def: \tau \models X -> excludes(x) \Longrightarrow \tau \models \delta X
by (metis (hide-lams, no-types) OclExcludes-defined-args-valid foundation6)
have excludes-val: \tau \models X -> excludes(x) \Longrightarrow \tau \models \upsilon x
by (metis (hide-lams, no-types) OclExcludes-def OclIncludes-defined-args-valid OclNot-defargs)
show ?thesis
 apply(insert excludes-def [OF assms] excludes-val[OF assms] assms,
      simp add: OclExcluding-def OclExcludes-def OclIncludes-def OclNot-def OclValid-def true-def)
by (metis (hide-lams, no-types) abs-rep-simp' assms excludes-def)
qed
lemma OclExcluding-excludes:
assumes \tau \models X -> excludes(x)
  shows \tau \models X -> excluding(x) \triangleq X
by(simp add: StrongEq-def OclValid-def true-def OclExcluding-excludes0[OF assms])
lemma OclExcluding-charn0[simp]:
assumes val-x: \tau \models (\upsilon x)
              \tau \models ((Set\{\}->excluding(x)) \triangleq Set\{\})
shows
proof -
 have A: |None| \in \{X. \ X = bot \lor X = null \lor (\forall x \in \lceil \lceil X \rceil \rceil. \ x \neq bot)\}
 by(simp add: null-option-def bot-option-def)
 have B: ||\{\}|| \in \{X. \ X = bot \lor X = null \lor (\forall x \in [[X]]. \ x \neq bot)\} by(simp add: mtSet-def)
 show ?thesis using val-x
   apply(auto simp: OclValid-def OclIncludes-def OclNot-def false-def true-def StrongEq-def
                OclExcluding-def mtSet-def defined-def bot-fun-def null-fun-def null-Set<sub>base</sub>-def)
   apply(auto simp: mtSet-def Set<sub>base</sub>.Abs-Set<sub>base</sub>-inverse
                Set_{base}.Abs-Set_{base}-inject[OF\ B\ A])
 done
qed
lemma OclExcluding-commute0:
assumes S-def : \tau \models \delta S
   and i-val: \tau \models \upsilon i
   and j-val : \tau \models \upsilon j
  shows \tau \models ((S :: (^t\mathfrak{A}, ^ta::null) Set) -> excluding(i) -> excluding(j) \triangleq (S -> excluding(j) -> excluding(i)))
proof -
 have A: ||\lceil [Rep-Set_{base}(S \tau)]\rceil - \{i \tau\}|| \in \{X. \ X = bot \lor X = null \lor (\forall x \in \lceil [X]\rceil, x \neq bot)\}|
        by(insert S-def i-val, frule Set-inv-lemma, simp add: foundation18 invalid-def)
 have B: \lfloor \lfloor \lceil Rep\text{-}Set_{base}(S \tau) \rceil \rceil - \{j \tau\} \rfloor \rfloor \in \{X. \ X = bot \lor X = null \lor (\forall x \in \lceil X \rceil \rceil. \ x \neq bot) \}
        by(insert S-def j-val, frule Set-inv-lemma, simp add: foundation18 invalid-def)
```

```
have G1: Abs\text{-}Set_{base} \mid |\lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil - \{i \tau\} \mid | \neq Abs\text{-}Set_{base} None
                 by(insert A, simp add: Abs-Set<sub>base</sub>-inject bot-option-def null-option-def)
   have G2: Abs\text{-}Set_{base} \mid \mid \lceil \lceil Rep\text{-}Set_{base} \mid S\tau \rceil \rceil - \{i\tau\} \mid \tau \neq Abs\text{-}Set_{base} \mid None \mid \tau \mid T
                 by(insert A, simp add: Abs-Set<sub>base</sub>-inject bot-option-def null-option-def)
   have G3: Abs\text{-}Set_{base} \mid \mid \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil - \{j \tau\} \mid \mid \neq Abs\text{-}Set_{base} None
                 by(insert B, simp add: Abs-Set<sub>base</sub>-inject bot-option-def null-option-def)
   have G4: Abs\text{-}Set_{base} \mid \mid \lceil \lceil Rep\text{-}Set_{base} \mid S\tau \rceil \rceil - \{j\tau\} \mid j \neq Abs\text{-}Set_{base} \mid None \mid Start \mid Star
                 by(insert B, simp add: Abs-Set<sub>base</sub>-inject bot-option-def null-option-def)
   have * : (\delta(\lambda - Abs-Set_{base} | | \lceil \lceil Rep-Set_{base}(S\tau) \rceil \rceil - \{i\tau\} | |)) \tau = | | True | |
                    by(auto simp: OclValid-def false-def defined-def null-fun-def true-def
                                              bot-fun-def bot-Set<sub>base</sub>-def null-Set<sub>base</sub>-def S-def i-val G1 G2)
   have ** : (\delta (\lambda - Abs-Set_{base} \lfloor \lfloor \lceil \lceil Rep-Set_{base} (S \tau) \rceil \rceil - \{j \tau\} \rfloor \rfloor)) \tau = \lfloor \lfloor True \rfloor \rfloor
                    by(auto simp: OclValid-def false-def defined-def null-fun-def true-def
                                              bot-fun-def bot-Set<sub>base</sub>-def null-Set<sub>base</sub>-def S-def i-val G3 G4)
   have *** : Abs\text{-}Set_{base} \ \lfloor \lfloor \lceil \lceil Rep\text{-}Set_{base}(Abs\text{-}Set_{base}(S\tau) \rceil \rceil - \lceil i\tau \rceil \rfloor \rfloor \rfloor - \lceil i\tau \rceil \rfloor \rfloor = 1
                      Abs\text{-}Set_{base} \mid \mid \lceil \lceil Rep\text{-}Set_{base}(Abs\text{-}Set_{base}) \mid \lceil \lceil Rep\text{-}Set_{base}(S \tau) \rceil \rceil - \{j \tau\} \mid \mid \rangle \rceil \rceil - \{i \tau\} \mid \mid
                     apply(simp add: Abs-Set<sub>base</sub>-inverse[OF A] Abs-Set<sub>base</sub>-inverse[OF B])
                    by (metis Diff-insert2 insert-commute)
   show ?thesis
        apply(simp add: OclExcluding-def S-def[simplified OclValid-def]
                            i-val[simplified OclValid-def] j-val[simplified OclValid-def]
                            true-def OclValid-def StrongEq-def)
       apply(subst cp-defined,
                 simp add: S-def[simplified OclValid-def]
                                i-val[simplified OclValid-def] j-val[simplified OclValid-def] true-def *)
        apply(subst cp-defined,
                 simp add: S-def[simplified OclValid-def]
                                i-val[simplified OclValid-def] j-val[simplified OclValid-def] true-def ** ***)
        apply(subst cp-defined,
                 simp add: S-def[simplified OclValid-def]
                                i-val[simplified OclValid-def] j-val[simplified OclValid-def] true-def *)
        apply(subst cp-defined,
                 simp add: S-def[simplified OclValid-def]
                                 i-val[simplified OclValid-def] j-val[simplified OclValid-def] true-def * )
        apply(subst cp-defined,
                 simp add: S-def[simplified OclValid-def]
                                i-val[simplified OclValid-def] j-val[simplified OclValid-def] true-def * **)
       done
qed
lemma OclExcluding-commute[simp,code-unfold]:
((S :: (^{\prime}\mathfrak{A}, 'a :: null) \ Set) -> excluding(i) -> excluding(j) = (S -> excluding(j) -> excluding(i)))
proof -
   have A: \land \tau. \tau \models i \triangleq invalid \implies (S->excluding(i)->excluding(j)) \tau = invalid \tau
```

```
apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have A': \land \tau. \tau \models i \triangleq invalid \implies (S->excluding(i)->excluding(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have B: \land \tau. \tau \models j \triangleq invalid \implies (S->excluding(i)->excluding(j)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have B': \land \tau. \tau \models j \triangleq invalid \implies (S->excluding(j)->excluding(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have C: \land \tau. \tau \models S \triangleq invalid \implies (S->excluding(i)->excluding(j)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have C': \land \tau. \tau \models S \triangleq invalid \implies (S->excluding(j)->excluding(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have D: \land \tau. \tau \models S \triangleq null \implies (S->excluding(i)->excluding(j)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have D': \land \tau. \tau \models S \triangleq null \implies (S->excluding(i)->excluding(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        bv(erule StrongEq-L-subst2-rev, simp, simp)
 show ?thesis
  apply(rule ext, rename-tac \tau)
  apply(case-tac \tau \models (\upsilon i))
   apply(case-tac \tau \models (\upsilon j))
    apply(case-tac \tau \models (\delta S))
    apply(simp only: OclExcluding-commute0[THEN foundation22[THEN iffD1]])
    apply(simp add: foundation16', elim disjE)
   apply(simp add: C[OF foundation22[THEN iffD2]] C'[OF foundation22[THEN iffD2]])
  apply(simp add: D[OF foundation22[THEN iffD2]] D'[OF foundation22[THEN iffD2]])
  apply(simp add:foundation18 B[OF foundation22[THEN iffD2]] B'[OF foundation22[THEN iffD2]])
 apply(simp add:foundation18 A[OF foundation22[THEN iffD2]] A'[OF foundation22[THEN iffD2]])
 done
qed
lemma OclExcluding-charn0-exec[simp,code-unfold]:
(Set\{\}->excluding(x))=(if(v x) then Set\{\} else invalid endif)
proof -
 have A: \land \tau. (Set{}->excluding(invalid)) \tau = (if(\upsilon invalid) then Set{} else invalid endif) <math>\tau
       by simp
 have B: \land \tau x. \tau \models (\upsilon x) \Longrightarrow
            (Set\{\}->excluding(x)) \tau = (if(\upsilon x) then Set\{\} else invalid endif) \tau
       by(simp add: OclExcluding-charn0[THEN foundation22[THEN iffD1]])
 show ?thesis
  apply(rule ext, rename-tac \tau)
```

```
apply(case-tac \tau \models (\upsilon x))
    apply(simp add: B)
   apply(simp add: foundation18)
   apply(subst cp-OclExcluding, simp)
   apply(simp add: cp-OclIf[symmetric] cp-OclExcluding[symmetric] cp-valid[symmetric] A)
qed
lemma OclExcluding-charn1:
assumes def-X:\tau \models (\delta X)
        val-x:\tau \models (\upsilon x)
and
        val-y:\tau \models (\upsilon y)
and
        neq : \tau \models not(x \triangleq y)
and
               \tau \models ((X->including(x))->excluding(y)) \triangleq ((X->excluding(y))->including(x))
shows
proof –
have C: ||insert(x \tau) \lceil [Rep-Set_{base}(X \tau)] \rceil|| \in \{X. \ X = bot \lor X = null \lor (\forall x \in \lceil [X] \rceil, x \neq bot)\}
        by(insert def-X val-x, frule Set-inv-lemma, simp add: foundation18 invalid-def)
have D: ||\lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil - \{y \tau\}|| \in \{X. X = bot \lor X = null \lor (\forall x \in \lceil [X] \rceil, x \neq bot)\}|
        by(insert def-X val-x, frule Set-inv-lemma, simp add: foundation18 invalid-def)
 have E: x \tau \neq y \tau
        by(insert neq,
          auto simp: OclValid-def bot-fun-def OclIncluding-def OclIncludes-def
                  false-def true-def defined-def valid-def bot-Set<sub>base</sub>-def
                  null-fun-def null-Set<sub>base</sub>-def StrongEq-def OclNot-def)
have G1: Abs\text{-}Set_{base} \mid \lfloor insert (x \tau) \mid \lceil Rep\text{-}Set_{base} (X \tau) \rceil \rceil \rfloor \rfloor \neq Abs\text{-}Set_{base} None
        by(insert C, simp add: Abs-Set<sub>base</sub>-inject bot-option-def null-option-def)
have G2: Abs\text{-}Set_{base} \mid |insert(x \tau) \lceil [Rep\text{-}Set_{base}(X \tau)] \rceil \mid | \neq Abs\text{-}Set_{base} \mid None \mid
        by(insert C, simp add: Abs-Set<sub>base</sub>-inject bot-option-def null-option-def)
have G: (\delta(\lambda - Abs-Set_{base} | [insert(x \tau) | [Rep-Set_{base}(X \tau)]]])) \tau = true \tau
        by(auto simp: OclValid-def false-def true-def defined-def
                  bot-fun-def bot-Set<sub>base</sub>-def null-fun-def null-Set<sub>base</sub>-def G1 G2)
by(insert D, simp add: Abs-Set<sub>base</sub>-inject bot-option-def null-option-def)
 have H2: Abs\text{-}Set_{base} \mid | \lceil \lceil Rep\text{-}Set_{base} \mid (X \tau) \rceil \rceil - \{y \tau\} \mid | \neq Abs\text{-}Set_{base} \mid None \mid |
        \mathbf{by}(insert\ D, simp\ add: Abs-Set_{base}-inject\ bot-option-def\ null-option-def)
 have H: (\delta(\lambda - Abs-Set_{base} \lfloor \lfloor \lceil \lceil Rep-Set_{base}(X \tau) \rceil \rceil - \{y \tau\} \rfloor \rfloor)) \tau = true \tau
        by(auto simp: OclValid-def false-def true-def defined-def
                     bot-fun-def bot-Set<sub>base</sub>-def null-fun-def null-Set<sub>base</sub>-def H1 H2)
 have Z: insert (x \tau) \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil - \{y \tau\} = insert(x \tau) (\lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil - \{y \tau\})
        by(auto\ simp: E)
 show ?thesis
 apply(insert def-X[THEN foundation13[THEN iffD2]] val-x[THEN foundation13[THEN iffD2]]
            val-y[THEN foundation13[THEN iffD2]])
 apply(simp add: foundation22 OclIncluding-def OclExcluding-def def-X[THEN foundation16[THEN iffD1,standard]])
 apply(subst cp-defined, simp)+
```

```
done
qed
lemma OclExcluding-charn2:
assumes def-X:\tau \models (\delta X)
        val-x:\tau \models (\upsilon x)
and
              \tau \models (((X->including(x))->excluding(x)) \triangleq (X->excluding(x)))
shows
proof -
have C: \lfloor (insert (x \tau) \lceil [Rep-Set_{base} (X \tau)] \rceil \rfloor \rfloor \in \{X. X = bot \lor X = null \lor (\forall x \in \lceil [X] \rceil, x \neq bot) \}
       by(insert def-X val-x, frule Set-inv-lemma, simp add: foundation18 invalid-def)
have G1: Abs\text{-}Set_{base} \mid \lfloor insert (x \tau) \mid \lceil Rep\text{-}Set_{base} (X \tau) \rceil \rceil \rfloor \rfloor \neq Abs\text{-}Set_{base} None
       by(insert C, simp add: Abs-Set<sub>base</sub>-inject bot-option-def null-option-def)
 have G2: Abs\text{-}Set_{base} \mid |insert(x \tau)| \lceil [Rep\text{-}Set_{base}(X \tau)] \rceil \mid | \neq Abs\text{-}Set_{base}| |None|
       by(insert C, simp add: Abs-Set<sub>base</sub>-inject bot-option-def null-option-def)
show ?thesis
  apply(insert def-X[THEN foundation16[THEN iffD1,standard]]
            val-x[THEN foundation18[THEN iffD1,standard]])
  apply(auto simp: OclValid-def bot-fun-def OclIncluding-def OclIncludes-def false-def true-def
               invalid-def defined-def valid-def bot-Set<sub>base</sub>-def null-fun-def null-Set<sub>base</sub>-def
               StrongEq-def)
  apply(subst cp-OclExcluding)
  apply(auto simp:OclExcluding-def)
         apply(simp\ add:\ Abs-Set_{base}-inverse[OF\ C])
        apply(simp-all add: false-def true-def defined-def valid-def
                       null-fun-def bot-fun-def null-Set<sub>base</sub>-def bot-Set<sub>base</sub>-def
                   split: bool.split-asm HOL.split-if-asm option.split)
  apply(auto simp: G1 G2)
 done
qed
theorem OclExcluding-charn3: ((X->including(x))->excluding(x)) = (X->excluding(x))
have A1: \land \tau. \tau \models (X \triangleq invalid) \Longrightarrow (X->including(x)->excluding(x)) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp, simp)
have A1': \land \tau. \tau \models (X \triangleq invalid) \Longrightarrow (X -> excluding(x)) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp, simp)
have A2: \land \tau. \ \tau \models (X \triangleq null) \Longrightarrow (X->including(x)->excluding(x)) \ \tau = invalid \ \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp, simp)
have A2': \land \tau. \tau \models (X \triangleq null) \Longrightarrow (X -> excluding(x)) \tau = invalid \tau
```

**apply**( $simp\ add$ :  $G\ H\ Abs\ -Set_{base}$ - $inverse[OF\ C]\ Abs\ -Set_{base}$ - $inverse[OF\ D]\ Z)$ 

```
apply(rule foundation22[THEN iffD1])
       by(erule StrongEq-L-subst2-rev, simp, simp)
have A3: \land \tau : \tau \models (x \triangleq invalid) \Longrightarrow (X->including(x)->excluding(x)) \tau = invalid \tau
       apply(rule foundation22[THEN iffD1])
       by(erule StrongEq-L-subst2-rev, simp, simp)
have A3': \land \tau. \tau \models (x \triangleq invalid) \Longrightarrow (X->excluding(x)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
       by(erule StrongEq-L-subst2-rev, simp, simp)
show ?thesis
apply(rule ext, rename-tac \tau)
apply(case-tac \tau \models (\upsilon x))
 apply(case-tac \tau \models (\delta X))
  apply(simp only: OclExcluding-charn2[THEN foundation22[THEN iffD1]])
  apply(simp add: foundation16', elim disjE)
  apply(simp add: A1[OF foundation22[THEN iffD2]] A1'[OF foundation22[THEN iffD2]])
 apply(simp add: A2[OF foundation22[THEN iffD2]] A2'[OF foundation22[THEN iffD2]])
apply(simp add:foundation18 A3[OF foundation22[THEN iffD2]] A3'[OF foundation22[THEN iffD2]])
done
qed
  One would like a generic theorem of the form:
lemma OclExcluding charn exec:
         (X-)including(x:('\mathfrak{A},'a::null)val)->excluding(y))=
          (if \delta X then if x \doteq y
                           then X\rightarrow excluding(y)
                           else X\rightarrow excluding(y)\rightarrow including(x)
                           endif
```

Unfortunately, this does not hold in general, since referential equality is an overloaded concept and has to be defined for each type individually. Consequently, it is only valid for concrete type instances for Boolean, Integer, and Sets thereof...

The computational law *OclExcluding-charn-exec* becomes generic since it uses strict equality which in itself is generic. It is possible to prove the following generic theorem and instantiate it later (using properties that link the polymorphic logical strong equality with the concrete instance of strict quality).

```
lemma OclExcluding\text{-}charn\text{-}exec:

assumes strict1: (invalid \doteq y) = invalid

and strict2: (x \doteq invalid) = invalid

and StrictRefEq\text{-}valid\text{-}args\text{-}valid: } \land (x::(^{'}\mathfrak{A}, 'a::null)val) \ y \ \tau.
(\tau \models \delta \ (x \doteq y)) = ((\tau \models (\upsilon \ x)) \land (\tau \models \upsilon \ y))
and cp\text{-}StrictRefEq\text{-}vs\text{-}StriongEq\text{:} } \land (x::(^{'}\mathfrak{A}, 'a::null)val) \ Y \ \tau. \ (X \doteq Y) \ \tau = ((\lambda -. \ X \ \tau) \doteq (\lambda -. \ Y \ \tau)) \ \tau
and StrictRefEq\text{-}vs\text{-}StrongEq\text{:} \land (x::(^{'}\mathfrak{A}, 'a::null)val) \ y \ \tau.
\tau \models \upsilon \ x \Longrightarrow \tau \models \upsilon \ y \Longrightarrow (\tau \models ((x \doteq y) \triangleq (x \triangleq y)))
shows (X->including(x::(^{'}\mathfrak{A}, 'a::null)val) ->excluding(y)) =
(if \ \delta \ X \ then \ if \ x \doteq y
```

else invalid endif)"

```
then X \rightarrow excluding(y)
                else\ X->excluding(y)->including(x)
                endif
            else invalid endif)
proof -
have A1: \land \tau. \ \tau \models (X \triangleq invalid) \Longrightarrow
         (X->including(x)->includes(y)) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp, simp)
 have B1: \land \tau. \ \tau \models (X \triangleq null) \Longrightarrow
         (X->including(x)->includes(y)) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp,simp)
have A2: \land \tau. \tau \models (X \triangleq invalid) \Longrightarrow X -> including(x) -> excluding(y) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp, simp)
 have B2: \land \tau. \tau \models (X \triangleq null) \Longrightarrow X -> including(x) -> excluding(y) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp, simp)
note [simp] = cp-StrictRefEq [THEN allI[THEN allI[THEN allI[THEN cp12]], of StrictRefEq]]
have C: \land \tau. \tau \models (x \triangleq invalid) \Longrightarrow
        (X->including(x)->excluding(y)) \tau =
        (if x = y then X - > excluding(y) else X - > excluding(y) - > including(x) endif) \tau
         apply(rule foundation22[THEN iffD1])
         apply(erule StrongEq-L-subst2-rev,simp,simp)
         by(simp add: strict1)
 have D: \land \tau. \ \tau \models (y \triangleq invalid) \Longrightarrow
        (X->including(x)->excluding(y)) \tau =
        (if x = y then X \rightarrow excluding(y) else X \rightarrow excluding(y) \rightarrow including(x) endif) \tau
         apply(rule foundation22[THEN iffD1])
         apply(erule StrongEq-L-subst2-rev,simp,simp)
         by (simp add: strict2)
have E: \land \tau. \tau \models \upsilon x \Longrightarrow \tau \models \upsilon y \Longrightarrow
           (if x = y then X -> excluding(y) else X -> excluding(y) -> including(x) endif) \tau =
           (if x \triangleq y then X \rightarrow excluding(y) else X \rightarrow excluding(y) \rightarrow including(x) endif) \tau
        apply(subst cp-OclIf)
        apply(subst StrictRefEq-vs-StrongEq[THEN foundation22[THEN iffD1]])
        by(simp-all add: cp-OclIf[symmetric])
have F: \land \tau. \tau \models \delta X \Longrightarrow \tau \models \upsilon x \Longrightarrow \tau \models (x \triangleq y) \Longrightarrow
```

```
(X->including(x)->excluding(y) \tau)=(X->excluding(y) \tau)
       apply(drule StrongEq-L-sym)
       apply(rule foundation22[THEN iffD1])
       apply(erule StrongEq-L-subst2-rev,simp)
       by(simp add: OclExcluding-charn2)
 show ?thesis
  apply(rule ext, rename-tac \tau)
  apply(case-tac \neg (\tau \models (\delta X)), simp add:defined-split,elim disjE A1 B1 A2 B2)
  apply(case-tac \neg (\tau \models (\upsilon x)),
       simp add:foundation18 foundation22[symmetric],
       drule StrongEq-L-sym)
   apply(simp add: foundation22 C)
  apply(case-tac \neg (\tau \models (\upsilon y)),
       simp add:foundation18 foundation22[symmetric],
       drule StrongEq-L-sym, simp add: foundation22 D, simp)
  apply(subst\ E, simp-all)
  apply(case-tac \tau \models not (x \triangleq y))
   apply(simp add: OclExcluding-charn1[simplified foundation22]
              OclExcluding-charn2[simplified foundation22])
  apply(simp \ add: foundation 9 F)
 done
qed
schematic-lemma OclExcluding-charn-exec<sub>Integer</sub>[simp,code-unfold]: ?X
\mathbf{by}(rule\ OclExcluding\text{-}charn\text{-}exec[OF\ StrictRefEq_{Integer}.strict1\ StrictRefEq_{Integer}.strict2]
                      StrictRefEq_{Integer}.defined-args-valid
                      StrictRefEq_{Integer}.cp0\ StrictRefEq_{Integer}.StrictRefEq-vs-StrongEq],\ simp-all)
schematic-lemma OclExcluding-charn-exec<sub>Boolean</sub>[simp,code-unfold]: ?X
\textbf{by} (\textit{rule OclExcluding-charn-exec}[\textit{OF StrictRefEq}_{\textit{Boolean}}.\textit{strict1 StrictRefEq}_{\textit{Boolean}}.\textit{strict2}
                      StrictRefEq_{Boolean}.defined-args-valid
                    StrictRefEq_{Boolean}.cp0\ StrictRefEq_{Boolean}.StrictRefEq-vs-StrongEq],\ simp-all)
schematic-lemma OclExcluding-charn-exec_{Set}[simp,code-unfold]: ?X
by(rule OclExcluding-charn-exec[OF StrictRefEq<sub>Set</sub>.strict1 StrictRefEq<sub>Set</sub>.strict2
                      StrictRefEq<sub>Set</sub>.defined-args-valid
                      StrictRefEq_{Set}.cp0\ StrictRefEq_{Set}.StrictRefEq-vs-StrongEq],\ simp-all)
Execution Rules on Oclincludes lemma OclIncludes-charn0[simp]:
assumes val-x:\tau \models (\upsilon x)
shows
             \tau \models not(Set\{\}->includes(x))
using val-x
apply(auto simp: OclValid-def OclIncludes-def OclNot-def false-def true-def)
apply(auto simp: mtSet-def Set<sub>base</sub>.Abs-Set<sub>base</sub>-inverse)
```

```
lemma OclIncludes-charn0'[simp,code-unfold]:
Set\{\}->includes(x)=(if\ v\ x\ then\ false\ else\ invalid\ endif)
proof –
 have A: \land \tau. (Set{}->includes(invalid)) \tau = (if \ (\upsilon \ invalid) \ then false \ else \ invalid \ endif) \ \tau
 have B: \land \tau x. \tau \models (\upsilon x) \Longrightarrow (Set\{\}->includes(x)) \tau = (if \upsilon x then false else invalid endif) \tau
       apply(frule OclIncludes-charn0, simp add: OclValid-def)
       apply(rule foundation21[THEN fun-cong, simplified StrongEq-def, simplified,
                THEN\ iffD1,\ of\ -\ -\ false)
       by simp
 show ?thesis
  apply(rule ext, rename-tac \tau)
  apply(case-tac \tau \models (\upsilon x))
   apply(simp-all add: B foundation 18)
  apply(subst cp-OclIncludes, simp add: cp-OclIncludes[symmetric] A)
 done
qed
lemma OclIncludes-charn1:
assumes def-X: \tau \models (\delta X)
assumes val-x:\tau \models (\upsilon x)
shows
              \tau \models (X->including(x)->includes(x))
proof -
have C: ||insert(x \tau) \lceil [Rep-Set_{base}(X \tau)] \rceil|| \in \{X. \ X = bot \lor X = null \lor (\forall x \in \lceil [X] \rceil, x \neq bot)\}
       by(insert def-X val-x, frule Set-inv-lemma, simp add: foundation18 invalid-def)
 show ?thesis
 apply(subst OclIncludes-def, simp add: foundation10[simplified OclValid-def] OclValid-def
                         def-X[simplified OclValid-def] val-x[simplified OclValid-def])
 apply(simp add: OclIncluding-def def-X[simplified OclValid-def] val-x[simplified OclValid-def]
             Abs-Set<sub>base</sub>-inverse[OF C] true-def)
 done
qed
lemma OclIncludes-charn2:
assumes def-X: \tau \models (\delta X)
and
        val-x:\tau \models (\upsilon x)
and
        val-y:\tau \models (\upsilon y)
        neq : \tau \models not(x \triangleq y)
and
              \tau \models (X->including(x)->includes(y)) \triangleq (X->includes(y))
shows
proof -
have C: \lfloor \lfloor insert \ (x \ \tau) \ \lceil \lceil Rep\text{-}Set_{base} \ (X \ \tau) \rceil \rceil \rceil \mid \mid \in \{X. \ X = bot \ \lor \ X = null \ \lor \ (\forall x \in \lceil \lceil X \rceil \rceil. \ x \neq bot) \}
       by(insert def-X val-x, frule Set-inv-lemma, simp add: foundation18 invalid-def)
```

```
show ?thesis
 apply(subst OclIncludes-def,
      simp add: def-X[simplified OclValid-def] val-x[simplified OclValid-def]
             val-y[simplified OclValid-def] foundation10[simplified OclValid-def]
             OclValid-def StrongEq-def)
 apply(simp add: OclIncluding-def OclIncludes-def def-X[simplified OclValid-def]
             val-x[simplified OclValid-def] val-y[simplified OclValid-def]
             Abs-Set_{base}-inverse[OF C] true-def)
 by(metis foundation22 foundation6 foundation9 neq)
   Here is again a generic theorem similar as above.
lemma OclIncludes-execute-generic:
assumes strict1: (invalid = y) = invalid
        strict2: (x = invalid) = invalid
and
        cp-StrictRefEq: \wedge (X::({}^{\prime}\mathfrak{A},{}^{\prime}a::null)val) Y \tau. (X \doteq Y) \tau = ((\lambda - X \tau) \doteq (\lambda - Y \tau)) \tau
and
        StrictRefEq-vs-StrongEq: \bigwedge (x::(\mathfrak{A}, 'a::null)val) y \tau.
and
                            \tau \models \upsilon x \Longrightarrow \tau \models \upsilon y \Longrightarrow (\tau \models ((x \doteq y) \triangleq (x \triangleq y)))
shows
    (X->including(x::('\mathfrak{A},'a::null)val)->includes(y)) =
     (if \delta X then if x \doteq y then true else X -> includes(y) endif else invalid endif)
 have A: \land \tau. \ \tau \models (X \triangleq invalid) \Longrightarrow
         (X->including(x)->includes(y)) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev,simp,simp)
 have B: \land \tau. \ \tau \models (X \triangleq null) \Longrightarrow
         (X->including(x)->includes(y)) \tau = invalid \tau
         apply(rule foundation22[THEN iffD1])
         by(erule StrongEq-L-subst2-rev, simp, simp)
 note [simp] = cp-StrictRefEq [THEN allI[THEN allI[THEN allI[THEN cp12]], of StrictRefEq]]
 have C: \land \tau. \tau \models (x \triangleq invalid) \Longrightarrow
        (X->including(x)->includes(y)) \tau =
        (if x \doteq y then true else X->includes(y) endif) \tau
         apply(rule foundation22[THEN iffD1])
         apply(erule StrongEq-L-subst2-rev,simp,simp)
         bv (simp add: strict1)
 have D: \land \tau. \tau \models (y \triangleq invalid) \Longrightarrow
        (X->including(x)->includes(y)) \tau =
        (if x = y then true else X - > includes(y) endif) \tau
         apply(rule foundation22[THEN iffD1])
         apply(erule StrongEq-L-subst2-rev,simp,simp)
         by (simp add: strict2)
 have E: \land \tau. \ \tau \models \upsilon \ x \Longrightarrow \tau \models \upsilon \ y \Longrightarrow
          (if x = y then true else X - includes(y) endif) \tau =
          (if x \triangleq y then true else X -> includes(y) endif) \tau
```

```
apply(subst cp-OclIf)
       apply(subst StrictRefEq-vs-StrongEq[THEN foundation22[THEN iffD1]])
       by(simp-all add: cp-OclIf[symmetric])
 have F: \land \tau. \tau \models (x \triangleq y) \Longrightarrow
          (X->including(x)->includes(y)) \tau = (X->including(x)->includes(x)) \tau
       apply(rule foundation22[THEN iffD1])
       by(erule StrongEq-L-subst2-rev,simp, simp)
 show ?thesis
  apply(rule ext, rename-tac \tau)
  apply(case-tac \neg (\tau \models (\delta X)), simp add:defined-split,elim disjE A B)
  apply(case-tac \neg (\tau \models (\upsilon x)),
       simp add:foundation18 foundation22[symmetric],
       drule StrongEq-L-sym)
   apply(simp add: foundation22 C)
  apply(case-tac \neg (\tau \models (\upsilon y)),
       simp add:foundation18 foundation22[symmetric],
       drule StrongEq-L-sym, simp add: foundation22 D, simp)
  apply(subst\ E, simp-all)
  apply(case-tac \tau \models not(x \triangleq y))
   apply(simp add: OclIncludes-charn2[simplified foundation22])
  apply(simp add: foundation9 F
              OclIncludes-charn1[THEN foundation13[THEN iffD2],
                          THEN foundation22[THEN iffD1]])
 done
qed
schematic-lemma OclIncludes-executeInteger[simp,code-unfold]: ?X
\textbf{by} (\textit{rule OclIncludes-execute-generic}[\textit{OF StrictRefEq}_{Integer}.\textit{strict1 StrictRefEq}_{Integer}.\textit{strict2}]
                         StrictRefEq_{Integer}.cp0
                         StrictRefEq_{Integer}.StrictRefEq-vs-StrongEq], simp-all)
schematic-lemma OclIncludes-execute_{Boolean}[simp,code-unfold]: ?X
\textbf{by}(\textit{rule OclIncludes-execute-generic}[\textit{OF StrictRefEq}_{\textit{Boolean}}.\textit{strict1 StrictRefEq}_{\textit{Boolean}}.\textit{strict2}]
                         StrictRefEq_{Boolean}.cp0
                         StrictRefEq_{Boolean}.StrictRefEq-vs-StrongEq], simp-all)
schematic-lemma OclIncludes-execute<sub>Set</sub>[simp, code-unfold]: ?X
\mathbf{by}(rule\ OclIncludes-execute-generic[OF\ StrictRefEq_{Set}.strict1\ StrictRefEq_{Set}.strict2]
                         StrictRefEq<sub>Set</sub>.cp0
                         StrictRefEq_{Set}.StrictRefEq-vs-StrongEq], simp-all)
lemma OclIncludes-including-generic:
assumes OclIncludes-execute-generic [simp] : \bigwedge X \times Y.
        (X->including(x::('\mathfrak{A},'a::null)val)->includes(y)) =
```

```
(if \delta X then if x \doteq y then true else X -> includes(y) endif else invalid endif)
   and StrictRefEq-strict": \bigwedge x \ v. \ \delta \ ((x::(^{1}\mathfrak{A},^{\prime}a::null)val) \doteq v) = (v(x) \ and \ v(y))
   and a-val: \tau \models v a
   and x-val: \tau \models v x
   and S-incl: \tau \models (S)->includes((x::('\mathfrak{A},'a::null)val))
  shows \tau \models S->including((a::('\mathfrak{A},'a::null)val))->includes(x)
proof -
have discr-eq-bot1-true : \land \tau. (\perp \tau = true \tau) = False
by (metis bot-fun-def foundation1 foundation18' valid3)
have discr-eq-bot2-true : \land \tau. (\bot = true \ \tau) = False
by (metis bot-fun-def discr-eq-bot1-true)
have discr-neg-invalid-true : \Delta \tau. (invalid \tau \neq true \tau) = True
by (metis discr-eq-bot2-true invalid-def)
have discr-eq-invalid-true : \wedge \tau. (invalid \tau = true \tau) = False
by (metis bot-option-def invalid-def option.simps(2) true-def)
show ?thesis
 apply(simp)
 apply(subgoal-tac \ \tau \models \delta \ S)
  prefer 2
  apply(insert S-incl[simplified OclIncludes-def], simp add: OclValid-def)
  apply(metis discr-eq-bot2-true)
 apply(simp add: cp-OclIf[of \delta S] OclValid-def OclIf-def x-val[simplified OclValid-def]
             discr-neg-invalid-true discr-eg-invalid-true)
 by (metis OclValid-def S-incl StrictRefEq-strict" a-val foundation10 foundation6 x-val)
qed
lemmas \ OclIncludes-including_{Integer} =
     OclIncludes-including-generic OF OclIncludes-execute<sub>Integer</sub> StrictRefEq<sub>Integer</sub>.def-homo
Execution Rules on OclExcludes lemma OclExcludes-charn1:
assumes def-X:\tau \models (\delta X)
assumes val-x:\tau \models (\upsilon x)
shows
              \tau \models (X -> excluding(x) -> excludes(x))
proof -
let ?OclSet = \lambda S. \mid \mid S \mid \mid \in \{X. \ X = \bot \lor X = null \lor (\forall x \in \lceil \lceil X \rceil \rceil. \ x \neq \bot)\}
have diff-in-Set<sub>base</sub>: ?OclSet(\lceil \lceil Rep-Set_{base}(X \tau) \rceil \rceil - \{x \tau\})
 apply(simp, (rule disjI2)+)
 by (metis (hide-lams, no-types) Diff-iff Set-inv-lemma def-X)
 show ?thesis
 apply(subst OclExcludes-def, simp add: foundation10[simplified OclValid-def] OclValid-def
                        def-X[simplified OclValid-def] val-x[simplified OclValid-def])
 apply(subst OclIncludes-def, simp add: OclNot-def)
 apply(simp add: OclExcluding-def def-X[simplified OclValid-def] val-x[simplified OclValid-def]
             Abs-Set<sub>base</sub>-inverse[OF diff-in-Set<sub>base</sub>] true-def)
 by(simp add: OclAnd-def def-X[simplified OclValid-def] val-x[simplified OclValid-def] true-def)
qed
```

```
Execution Rules on OclSize lemma [simp,code-unfold]: Set\{\} -> size() = \mathbf{0}
apply(rule ext)
apply(simp add: defined-def mtSet-def OclSize-def
             bot-Set<sub>base</sub>-def bot-fun-def
             null-Set<sub>base</sub>-def null-fun-def)
apply(subst Abs-Set<sub>base</sub>-inject, simp-all add: bot-option-def null-option-def) +
by(simp add: Abs-Set<sub>base</sub>-inverse bot-option-def null-option-def OclInt0-def)
lemma OclSize-including-exec[simp,code-unfold]:
 ((X -> including(x)) -> size()) = (if \delta X and v x then
                             X -> size() +_{int} if X -> includes(x) then 0 else 1 endif
                           else
                             invalid
                           endif)
proof -
have valid-inject-true: \land \tau P. (\upsilon P) \tau \neq true \tau \Longrightarrow (\upsilon P) \tau = false \tau
    apply(simp add: valid-def true-def false-def bot-fun-def bot-option-def
                 null-fun-def null-option-def)
    by (case-tac P \tau = \bot, simp-all add: true-def)
 have defined-inject-true : \land \tau P. (\delta P) \tau \neq true \tau \Longrightarrow (\delta P) \tau = false \tau
    apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def
                 null-fun-def null-option-def)
    by (case-tac P \tau = \bot \lor P \tau = null, simp-all add: true-def)
 show ?thesis
 apply(rule ext, rename-tac \tau)
 proof -
 fix \tau
 have includes-notin: \neg \tau \models X -> includes(x) \Longrightarrow (\delta X) \tau = true \tau \land (\upsilon x) \tau = true \tau \Longrightarrow
                  x \tau \notin \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil
 by(simp add: OclIncludes-def OclValid-def true-def)
 have includes-def: \tau \models X -> includes(x) \Longrightarrow \tau \models \delta X
 by (metis bot-fun-def OclIncludes-def OclValid-def defined3 foundation16)
 have includes-val: \tau \models X -> includes(x) \Longrightarrow \tau \models \upsilon x
 by (metis (hide-lams, no-types) foundation6
      OclIncludes-valid-args-valid' OclIncluding-valid-args-valid OclIncluding-valid-args-valid'')
 have ins-in-Set<sub>base</sub>: \tau \models \delta X \Longrightarrow \tau \models \upsilon x \Longrightarrow
   || insert (x \tau) \lceil \lceil Rep\text{-Set}_{base}(X \tau) \rceil \rceil || \in \{X. \ X = \bot \lor X = null \lor (\forall x \in \lceil [X] \rceil. \ x \neq \bot) \}
  apply(simp add: bot-option-def null-option-def)
 by (metis (hide-lams, no-types) Set-inv-lemma foundation18' foundation5)
 have m: \Lambda \tau. (\lambda -. \perp) = (\lambda -. invalid \tau) by(rule ext, simp add:invalid-def)
 show X->including(x)->size() \tau=(if\ \delta\ X\ and\ \upsilon\ X)
```

```
then X->size() +<sub>int</sub> if X->includes(x) then 0 else 1 endif
                        else invalid endif) \tau
  apply(case-tac \tau \models \delta X and v x, simp)
  apply(subst\ OclAdd_{Integer}.cp0)
  apply(case-tac \tau \models X->includes(x), simp add: OclAdd<sub>Integer</sub>.cp0[symmetric])
   apply(case-tac \tau \models ((\upsilon (X->size())) \text{ and not } (\delta (X->size()))), simp)
   apply(drule foundation5[where P = v X -> size()], erule conjE)
    apply(drule OclSize-infinite)
    apply(frule includes-def, drule includes-val, simp)
   apply(subst OclSize-def, subst OclIncluding-finite-rep-set, assumption+)
   apply (metis (hide-lams, no-types) invalid-def)
   apply(subst OclIf-false',
       metis (hide-lams, no-types) defined5 defined6 defined-and-I defined-not-I
                         foundation1 foundation9)
  apply(subst cp-OclSize, simp add: OclIncluding-includes0 cp-OclSize[symmetric])
  apply(subst OclIf-false', subst foundation9,
      metis (hide-lams, no-types) OclIncludes-valid-args-valid', simp, simp add: OclSize-def)
  apply(drule foundation5)
  apply(subst (12) OclIncluding-finite-rep-set, fast+)
  apply(subst (12) cp-OclAnd, subst (12) OclAdd<sub>Integer</sub>.cp0, simp)
  apply(rule conjI)
   apply(simp add: OclIncluding-def)
   apply(subst\ Abs-Set_{base}-inverse[OF\ ins-in-Set_{base}],fast+)
   apply(subst (asm) (2 3) OclValid-def, simp add: OclAdd<sub>Integer</sub>-def OclInt1-def)
   apply(rule impI)
   apply(drule Finite-Set.card.insert[where x = x \tau])
   apply(rule includes-notin, simp, simp)
   apply (metis Suc-eq-plus1 int-1 of-nat-add)
  apply(subst\ (1\ 2)\ m[of\ \tau], simp\ only: OclAdd_{Integer}.cp0[symmetric], simp\ simp\ add:invalid-def)
  apply(subst OclIncluding-finite-rep-set, fast+, simp add: OclValid-def)
  apply(subst OclIf-false', metis (hide-lams, no-types) defined6 foundation1 foundation9
                                     OclExcluding-valid-args-valid'')
 by (metis cp-OclSize foundation18' OclIncluding-valid-args-valid" invalid-def OclSize-invalid)
qed
qed
Execution Rules on OcllsEmpty lemma [simp,code-unfold]: Set\{\}->isEmpty()=true
by(simp add: OclIsEmpty-def)
lemma OclIsEmpty-including [simp]:
assumes X-def: \tau \models \delta X
  and X-finite: finite \lceil \lceil Rep\text{-}Set_{base} (X \tau) \rceil \rceil
  and a-val: \tau \models v a
shows X->including(a)->isEmpty() \tau=false \tau
```

```
proof -
have A1: \land \tau X. X \tau = true \tau \lor X \tau = false \tau \Longrightarrow (X \text{ and not } X) \tau = false \tau
by (metis (no-types) OclAnd-false1 OclAnd-idem OclImplies-def OclNot3 OclNot-not OclOr-false1
               cp-OclAnd cp-OclNot deMorgan1 deMorgan2)
 have defined-inject-true : \bigwedge \tau P. (\delta P) \tau \neq true \tau \Longrightarrow (\delta P) \tau = false \tau
    apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def
               null-fun-def null-option-def)
    by (case-tac P \tau = \bot \lor P \tau = null, simp-all add: true-def)
have B: \bigwedge X \tau. \tau \models \upsilon X \Longrightarrow X \tau \neq \mathbf{0} \tau \Longrightarrow (X \doteq \mathbf{0}) \tau = false \tau
    apply(simp add: foundation22[symmetric] foundation14 foundation9)
    apply(erule StrongEq-L-subst4-rev[THEN iffD2, OF StrictRefEq<sub>Integer</sub>.StrictRefEq-vs-StrongEq])
    \mathbf{by}(simp-all)
 show ?thesis
 apply(simp add: OclIsEmpty-def del: OclSize-including-exec)
 apply(subst cp-OclOr, subst A1)
  apply(metis (hide-lams, no-types) defined-inject-true OclExcluding-valid-args-valid')
 apply(simp add: cp-OclOr[symmetric] del: OclSize-including-exec)
 apply(rule\ B,
     rule foundation 20,
     metis (hide-lams, no-types) OclIncluding-defined-args-valid OclIncluding-finite-rep-set
                        X-def X-finite a-val size-defined')
 apply(simp add: OclSize-def OclIncluding-finite-rep-set[OF X-def a-val] X-finite OclInt0-def)
 by (metis OclValid-def X-def a-val foundation10 foundation6
       OclIncluding-notempty-rep-set[OF X-def a-val])
qed
Execution Rules on OclNotEmpty lemma [simp,code-unfold]: Set{}->notEmpty() = false
by(simp add: OclNotEmpty-def)
lemma OclNotEmpty-including [simp,code-unfold]:
assumes X-def: \tau \models \delta X
  and X-finite: finite \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil
  and a-val: \tau \models \upsilon a
shows X->including(a)->notEmpty() \tau = true \tau
apply(simp add: OclNotEmpty-def)
apply(subst cp-OclNot, subst OclIsEmpty-including, simp-all add: assms)
by (metis OclNot4 cp-OclNot)
Execution Rules on OclANY lemma [simp,code-unfold]: Set\{\}->any()=null
by(rule ext, simp add: OclANY-def, simp add: false-def true-def)
lemma OclANY-singleton-exec[simp,code-unfold]:
    (Set\{\}->including(a))->any()=a
apply(rule ext, rename-tac \tau, simp add: mtSet-def OclANY-def)
 apply(case-tac \tau \models \upsilon a)
```

```
apply(simp add: OclValid-def mtSet-defined[simplified mtSet-def]
           mtSet-valid[simplified mtSet-def] mtSet-rep-set[simplified mtSet-def])
 apply(subst (12) cp-OclAnd,
     subst (1 2) OclNotEmpty-including[where X = Set\{\}, simplified mtSet-def])
   apply(simp add: mtSet-defined[simplified mtSet-def])
  apply(metis (hide-lams, no-types) finite.emptyI mtSet-def mtSet-rep-set)
  apply(simp add: OclValid-def)
 apply(simp add: OclIncluding-def)
 apply(rule conjI)
  apply(subst (12) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def null-option-def)
  apply(simp, metis OclValid-def foundation 18')
  apply(simp)
apply(simp add: mtSet-defined[simplified mtSet-def])
apply(subgoal-tac a \tau = \bot)
 prefer 2
 apply(simp add: OclValid-def valid-def bot-fun-def split: split-if-asm)
apply(simp)
apply(subst (1 2 3 4) cp-OclAnd,
    simp add: mtSet-defined[simplified mtSet-def] valid-def bot-fun-def)
by(simp add: cp-OclAnd[symmetric], rule impI, simp add: false-def true-def)
Execution Rules on OclForall lemma OclForall-mtSet-exec[simp,code-unfold]:((Set\{\})->forAll(z|P(z))) = true
apply(simp add: OclForall-def)
apply(subst mtSet-def)+
apply(subst Abs-Set<sub>base</sub>-inverse, simp-all add: true-def)+
```

The following rule is a main theorem of our approach: From a denotational definition that assures consistency, but may be — as in the case of the  $OclForall\ X\ P$  — dauntingly complex, we derive operational rules that can serve as a gold-standard for operational execution, since they may be evaluated in whatever situation and according to whatever strategy. In the case of  $OclForall\ X\ P$ , the operational rule gives immediately a way to evaluation in any finite (in terms of conventional OCL: denotable) set, although the rule also holds for the infinite case:

```
have cp-eq: \land \tau v. (P \times \tau = v) = (P (\lambda - x \tau) \tau = v) by(subst cp, simp)
have cp-OclNot-eq: <math>\land \tau \ v. \ (P \ x \ \tau \neq v) = (P \ (\lambda - x \ \tau) \ \tau \neq v) by(subst \ cp, \ simp)
have insert-in-Set<sub>base</sub>: \land \tau. (\tau \models (\delta S)) \Longrightarrow (\tau \models (\upsilon x)) \Longrightarrow
                          ||insert(x \tau)[[Rep-Set_{base}(S \tau)]]|| \in
                           {X. X = bot \lor X = null \lor (\forall x \in \lceil [X] \rceil. x \neq bot)}
       by(frule Set-inv-lemma, simp add: foundation18 invalid-def)
have for all-including-invert: \wedge \tau f. (f \times \tau = f (\lambda - x \tau) \tau) \Longrightarrow
                                   \tau \models (\delta S \text{ and } v x) \Longrightarrow
                                   (\forall x \in [[Rep\text{-}Set_{base} (S - > including(x) \tau)]]. f(\lambda - x) \tau) =
                                     (fx \tau \land (\forall x \in [\lceil Rep\text{-}Set_{base} (S \tau) \rceil], f (\lambda -. x) \tau))
       apply(drule foundation5, simp add: OclIncluding-def)
       apply(subst Abs-Set<sub>base</sub>-inverse)
       apply(rule insert-in-Set<sub>base</sub>, fast+)
       by(simp add: OclValid-def)
have exists-including-invert: \bigwedge \tau f. (f \times \tau = f (\lambda - x \tau) \tau) \Longrightarrow
                                   \tau \models (\delta S \text{ and } v x) \Longrightarrow
                                   (\exists x \in [[Rep\text{-}Set_{base} (S - > including(x) \tau)]]. f(\lambda - x) \tau) =
                                     (fx \tau \lor (\exists x \in [[Rep\text{-}Set_{base}(S \tau)]].f(\lambda -. x) \tau))
       apply(subst arg-cong[where f = \lambda x. \neg x,
                          OF forall-including-invert[where f = \lambda x \tau . \neg (f x \tau)],
                          simplified)
       by simp-all
have contradict-Rep-Set<sub>base</sub>: \land \tau Sf. \exists x \in \lceil [Rep\text{-Set}_{base} S \rceil]. f(\lambda - x) \tau \Longrightarrow
                                 (\forall x \in \lceil \lceil Rep\text{-}Set_{base} S \rceil \rceil, \neg (f(\lambda - x) \tau)) = False
       by(case-tac (\forall x \in \lceil \lceil Rep\text{-}Set_{base} S \rceil \rceil, \neg (f(\lambda - x) \tau)) = True, simp-all)
have bot-invalid: \bot = invalid by(rule ext, simp add: invalid-def bot-fun-def)
have bot-invalid2 : \land \tau. \bot = invalid \tau by(simp add: invalid-def)
have C1: \land \tau. \ P \ x \ \tau = false \ \tau \lor (\exists x \in \lceil [Rep-Set_{base} \ (S \ \tau)] \rceil. \ P \ (\lambda -. \ x) \ \tau = false \ \tau) \Longrightarrow
             \tau \models (\delta S \text{ and } v x) \Longrightarrow
             false \tau = (P x \text{ and OclForall } S P) \tau
       apply(simp\ add:\ cp\mbox{-}OclAnd[of\ P\ x])
       apply(elim disjE, simp)
        apply(simp only: cp-OclAnd[symmetric], simp)
       apply(subgoal-tac OclForall S P \tau = false \tau)
        apply(simp only: cp-OclAnd[symmetric], simp)
       apply(simp add: OclForall-def)
       apply(fold OclValid-def, simp add: foundation27)
       done
```

**have**  $C2: \land \tau. \ \tau \models (\delta \ S \ and \ \upsilon \ x) \Longrightarrow$ 

```
P x \tau = null \tau \lor (\exists x \in [Rep\text{-}Set_{base} (S \tau)]] . P (\lambda -. x) \tau = null \tau) \Longrightarrow
           P x \tau = invalid \tau \lor (\exists x \in [\lceil Rep\text{-}Set_{base} (S \tau) \rceil] . P (\lambda - x) \tau = invalid \tau) \Longrightarrow
           \forall x \in [[Rep\text{-}Set_{base} (S->including(x) \tau)]]. P(\lambda - x) \tau \neq false \tau \Longrightarrow
           invalid \tau = (P x \text{ and OclForall } S P) \tau
      apply(subgoal-tac (\delta S)\tau = true \tau)
      prefer 2 apply(simp add: foundation27, simp add: OclValid-def)
      apply(drule forall-including-invert of \lambda x \tau. P x \tau \neq false \tau, OF cp-OclNot-eq, THEN iffD1)
      apply(assumption)
      apply(simp add: cp-OclAnd[of P x], elim disjE, simp-all)
        apply(simp add: invalid-def null-fun-def null-option-def bot-fun-def bot-option-def)
       apply(subgoal-tac OclForall S P \tau = invalid \tau)
        apply(simp only:cp-OclAnd[symmetric],simp,simp add:invalid-def bot-fun-def)
       apply(unfold OclForall-def, simp add: invalid-def false-def bot-fun-def, simp)
      apply(simp add:cp-OclAnd[symmetric],simp)
      apply(erule conjE)
      apply(subgoal-tac (Px \tau = invalid \tau) \lor (Px \tau = null \tau) \lor (Px \tau = true \tau) \lor (Px \tau = false \tau))
      prefer 2 apply(rule bool-split-0)
      apply(elim\ disjE,\ simp-all)
      apply(simp only:cp-OclAnd[symmetric],simp)+
      done
have A : \land \tau. \tau \models (\delta S \text{ and } \upsilon x) \Longrightarrow
           OclForall (S->including(x)) P \tau = (P x \text{ and OclForall } S P) \tau
    proof – fix \tau
          assume 0 : \tau \models (\delta S \text{ and } v x)
          let ?S = \lambda ocl. \ P \ x \ \tau \neq ocl \ \tau \land (\forall x \in \lceil \lceil Rep\text{-}Set_{base} \ (S \ \tau) \rceil \rceil \rceil. \ P \ (\lambda -. \ x) \ \tau \neq ocl \ \tau)
          let ?S' = \lambda ocl. \ \forall x \in [[Rep\text{-}Set_{base} (S - > including(x) \tau)]]. \ P(\lambda - x) \ \tau \neq ocl \ \tau
          let ?assms-1 = ?S'null
          let ?assms-2 = ?S' invalid
          let ?assms-3 = ?S' false
          have 4: ?assms-3 \Longrightarrow ?S false
              apply(subst forall-including-invert[of \lambda x \tau. P x \tau \neq false \tau, symmetric])
              by(simp-all add: cp-OclNot-eq 0)
          have 5: ?assms-2 \Longrightarrow ?S invalid
              apply(subst forall-including-invert[of \lambda x \tau. P x \tau \neq invalid \tau, symmetric])
              by(simp-all add: cp-OclNot-eq 0)
          have 6: ?assms-1 \Longrightarrow ?S null
              apply(subst for all-including-invert [of \lambda x \tau. P x \tau \neq null \tau, symmetric])
              by(simp-all add: cp-OclNot-eq 0)
           have 7 : (\delta S) \tau = true \tau
              by(insert 0, simp add: foundation27, simp add: OclValid-def)
    show ?thesis τ
      apply(subst OclForall-def)
      apply(simp add: cp-OclAnd[THEN sym] OclValid-def contradict-Rep-Set<sub>base</sub>)
      apply(intro conjI impI,fold OclValid-def)
      apply(simp-all add: exists-including-invert[where f = \lambda x \tau. P x \tau = null \tau, OF cp-eq])
      apply(simp-all add: exists-including-invert[where f = \lambda x \tau. P x \tau = invalid \tau, QF cp-eq])
      apply(simp-all add: exists-including-invert[where f = \lambda x \tau. P x \tau = false \tau, OF cp-eq])
```

```
proof -
  assume 1: P \times \tau = null \ \tau \vee (\exists x \in [\lceil Rep\text{-}Set_{base} \ (S \ \tau) \rceil \rceil] . P \ (\lambda -. x) \ \tau = null \ \tau)
  and 2: ?assms-2
  and 3: ?assms-3
  show null \tau = (P x \text{ and OclForall } S P) \tau
  proof -
    note 4 = 4[OF 3]
   note 5 = 5[OF 2]
   have 6: Px \tau = null \tau \lor Px \tau = true \tau
      by(metis 4 5 bool-split-0)
    show ?thesis
    apply(insert 6, elim disjE)
    apply(subst cp-OclAnd)
    apply(simp add: OclForall-def 7 4[THEN conjunct2] 5[THEN conjunct2])
    apply(simp-all add:cp-OclAnd[symmetric])
    apply(subst cp-OclAnd, simp-all add:cp-OclAnd[symmetric] OclForall-def)
    apply(simp add:4[THEN conjunct2] 5[THEN conjunct2] 0[simplified OclValid-def] 7)
    apply(insert 1, elim disjE, auto)
    done
  qed
next
  assume 1: ?assms-1
  and 2: P \times \tau = invalid \ \tau \lor (\exists x \in [\lceil Rep-Set_{base} \ (S \ \tau) \rceil] . \ P \ (\lambda -. \ x) \ \tau = invalid \ \tau)
  and 3: ?assms-3
  show invalid \tau = (P x \text{ and OclForall } S P) \tau
  proof -
    note 4 = 4[OF 3]
   note 6 = 6[OF 1]
   have 5: Px \tau = invalid \tau \lor Px \tau = true \tau
      by(metis 4 6 bool-split-0)
    show ?thesis
    apply(insert\ 5,\ elim\ disjE)
    apply(subst cp-OclAnd)
    apply(simp add: OclForall-def 4[THEN conjunct2] 6[THEN conjunct2] 7)
    apply(simp-all add:cp-OclAnd[symmetric])
    apply(subst cp-OclAnd, simp-all add:cp-OclAnd[symmetric] OclForall-def)
    apply(insert 2, elim disjE, simp add: invalid-def true-def bot-option-def)
    apply(simp add: 0[simplified OclValid-def] 4[THEN conjunct2] 6[THEN conjunct2] 7)
   by(auto)
  ged
next
  assume 1: ?assms-1
  and 2: ?assms-2
  and 3: ?assms-3
  show true \tau = (P x \text{ and OclForall } S P) \tau
  proof -
   note 4 = 4[OF 3]
   note 5 = 5[OF 2]
```

```
note 6 = 6[OF 1]
           have 8: Px \tau = true \tau
              by(metis 4 5 6 bool-split-0)
           show ?thesis
           apply(subst cp-OclAnd, simp add: 8 cp-OclAnd[symmetric])
           by(simp add: OclForall-def 4 5 6 7)
         qed
       apply-end( simp add: 0
             | rule C1, simp+
             | rule C2, simp add: 0)+
       qed
     qed
  have B : \land \tau . \neg (\tau \models (\delta S \text{ and } \upsilon x)) \Longrightarrow
           OclForall (S->including(x)) P \tau = invalid \tau
       apply(rule foundation22[THEN iffD1])
       apply(simp only: foundation10' de-Morgan-conj foundation18", elim disjE)
       apply(simp add: defined-split, elim disjE)
        apply(erule StrongEq-L-subst2-rev, simp+)+
       done
  show ?thesis
       apply(rule ext, rename-tac \tau)
       apply(simp add: OclIf-def)
       apply(simp add: cp-defined[of \delta S and v x] cp-defined[THEN sym])
       apply(intro conjI impI)
       by(auto intro!: A B simp: OclValid-def)
qed
Execution Rules on OclExists lemma OclExists-mtSet-exec[simp,code-unfold] :
((Set\{\}) - > exists(z \mid P(z))) = false
by(simp add: OclExists-def)
lemma OclExists-including-exec[simp,code-unfold] :
assumes cp: cp P
shows ((S->including(x))->exists(z \mid P(z)))=(if \delta S and v x)
                                then P x or (S->exists(z \mid P(z)))
                                else invalid
                                endif)
by(simp add: OclExists-def OclOr-def cp OclNot-inject)
Execution Rules on Ocliterate lemma Ocliterate-empty[simp,code-unfold]: ((Set{})->iterate(a; x = A | P | a x))
=A
proof -
have C : \land \tau. (\delta (\lambda \tau. Abs-Set_{base} \lfloor \lfloor \{\} \rfloor \rfloor)) \tau = true \tau
by (metis (no-types) defined-def mtSet-def mtSet-defined null-fun-def)
show ?thesis
    apply(simp add: OclIterate-def mtSet-def Abs-Set<sub>base</sub>-inverse valid-def C)
```

```
apply(rule ext, rename-tac \tau)
     apply(case-tac A \tau = \perp \tau, simp-all, simp add:true-def false-def bot-fun-def)
     apply(simp add: Abs-Set<sub>base</sub>-inverse)
 done
qed
    In particular, this does hold for A = null.
lemma OclIterate-including:
assumes S-finite: \tau \models \delta(S->size())
          F-valid-arg: (\upsilon A) \tau = (\upsilon (F a A)) \tau
and
          F-commute: comp-fun-commute F
and
and
         F-cp:
                          \bigwedge x y \tau \cdot F x y \tau = F (\lambda - x \tau) y \tau
shows ((S->including(a))->iterate(a; x = A | F | a | x)) \tau =
         ((S->excluding(a))->iterate(a; x = F \ a \ A \mid F \ a \ x)) \ \tau
proof -
have insert-in-Set<sub>base</sub>: \land \tau. (\tau \models (\delta S)) \Longrightarrow (\tau \models (\upsilon a)) \Longrightarrow
    \lfloor \lfloor insert (a \tau) \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil \rfloor \rfloor \rfloor \in \{X. X = bot \lor X = null \lor (\forall x \in \lceil [X] \rceil. x \neq bot)\}
 by(frule Set-inv-lemma, simp add: foundation18 invalid-def)
 have insert-defined : \land \tau. (\tau \models (\delta S)) \Longrightarrow (\tau \models (\upsilon a)) \Longrightarrow
           (\delta (\lambda - Abs-Set_{base} | | insert (a \tau) \lceil [Rep-Set_{base} (S \tau)] \rceil | |)) \tau = true \tau
 apply(subst defined-def)
  apply(simp add: bot-Set<sub>base</sub>-def bot-fun-def null-Set<sub>base</sub>-def null-fun-def)
  \mathbf{by}(subst\ Abs\text{-}Set_{base}\text{-}inject,
    rule insert-in-Set<sub>base</sub>, simp-all add: null-option-def bot-option-def)+
have remove-finite : finite \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil \Longrightarrow
                     finite ((\lambda a \tau. a) \cdot (\lceil [Rep-Set_{base} (S \tau)] \rceil - \{a \tau\}))
 \mathbf{by}(simp)
 have remove-in-Set<sub>base</sub>: \land \tau. (\tau \models (\delta S)) \Longrightarrow (\tau \models (\upsilon a)) \Longrightarrow
   ||\lceil [Rep\text{-}Set_{base}(S \tau)] \rceil - \{a \tau\}|| \in \{X.\ X = bot \lor X = null \lor (\forall x \in \lceil [X] \rceil, x \neq bot)\}|
 by(frule Set-inv-lemma, simp add: foundation 18 invalid-def)
 have remove-defined: \land \tau. (\tau \models (\delta S)) \Longrightarrow (\tau \models (\upsilon a)) \Longrightarrow
           (\delta (\lambda -. Abs-Set_{base} \lfloor \lfloor \lceil \lceil Rep-Set_{base}(S \tau) \rceil \rceil - \{a \tau\} \rfloor \rfloor)) \tau = true \tau
  apply(subst defined-def)
  apply(simp add: bot-Set<sub>base</sub>-def bot-fun-def null-Set<sub>base</sub>-def null-fun-def)
  by(subst Abs-Set_{base}-inject,
    rule remove-in-Set<sub>base</sub>, simp-all add: null-option-def bot-option-def)+
 have abs-rep: \land x. ||x|| \in \{X. \ X = bot \lor X = null \lor (\forall x \in [[X]]. \ x \neq bot)\} \Longrightarrow
                   \lceil \lceil Rep\text{-}Set_{base} (Abs\text{-}Set_{base} \lfloor \lfloor x \rfloor) \rceil \rceil = x
 \mathbf{by}(subst\ Abs\text{-}Set_{base}\text{-}inverse,\ simp\text{-}all)
have inject : inj (\lambda a \tau . a)
 by(rule inj-fun, simp)
```

```
show ?thesis
 apply(subst (1 2) cp-OclIterate, subst OclIncluding-def, subst OclExcluding-def)
 apply(case-tac \neg ((\delta S) \tau = true \tau \land (\upsilon a) \tau = true \tau), simp add: invalid-def)
  apply(subgoal-tac OclIterate (\lambda -. \perp) A F \tau = OclIterate (\lambda -. \perp) (F a A) F \tau, simp)
  apply(rule coniI, blast+)
 apply(simp add: OclIterate-def defined-def bot-option-def bot-fun-def false-def true-def)
 apply(simp add: OclIterate-def)
 apply((subst abs-rep[OF insert-in-Set<sub>base</sub>[simplified OclValid-def], of \tau], simp-all)+,
      (subst abs-rep[OF remove-in-Set<sub>base</sub>[simplified OclValid-def], of \tau], simp-all)+,
      (subst insert-defined, simp-all add: OclValid-def)+,
      (subst remove-defined, simp-all add: OclValid-def)+)
 apply(case-tac \neg ((\upsilon A) \tau = true \tau), (simp add: F-valid-arg)+)
 apply(rule\ impI,
      subst Finite-Set.comp-fun-commute.fold-fun-left-comm[symmetric, OF F-commute],
      rule remove-finite, simp)
 apply(subst image-set-diff[OF inject], simp)
 apply(subgoal-tac Finite-Set,fold F A (insert (\lambda \tau'. a \tau) ((\lambda a \tau. a) '[[Rep-Set_{base} (S \tau)]])) \tau =
    F(\lambda \tau'. a \tau) (Finite-Set.fold FA((\lambda a \tau. a) \cdot \lceil \lceil Rep-Set_{base}(S \tau) \rceil \rceil - \{\lambda \tau'. a \tau\})) \tau)
  apply(subst\ F-cp,\ simp)
by(subst Finite-Set.comp-fun-commute.fold-insert-remove[OF F-commute], simp+)
qed
Execution Rules on OclSelect lemma OclSelect-mtSet-exec[simp,code-unfold]: OclSelect mtSet P = mtSet
apply(rule ext, rename-tac \tau)
apply(simp add: OclSelect-def mtSet-def defined-def false-def true-def
            bot-Set<sub>base</sub>-def bot-fun-def null-Set<sub>base</sub>-def null-fun-def)
by(( subst (1 2 3 4 5) Abs-Set<sub>base</sub>-inverse
  | subst Abs-Set<sub>base</sub>-inject), (simp add: null-option-def bot-option-def)+)+
definition OclSelect-body :: - \Rightarrow - \Rightarrow - \Rightarrow ('\mathfrak{A}, 'a \ option \ option) Set
        \equiv (\lambda P \ x \ acc. \ if \ P \ x = false \ then \ acc \ else \ acc->including(x) \ endif)
theorem OclSelect-including-exec[simp,code-unfold]:
assumes P-cp: cp P
shows OclSelect(X->including(y)) P = OclSelect-body P y (OclSelect(X->excluding(y))) P)
 (is -= ?select)
proof -
have P-cp: \bigwedge x \tau. P x \tau = P(\lambda - x \tau) \tau by(insert P-cp, auto simp: cp-def)
have ex-including: \bigwedge f X y \tau. \tau \models \delta X \Longrightarrow \tau \models v y \Longrightarrow
                          (\exists x \in [[Rep\text{-}Set_{base}(X->including(y) \tau)]]. f(P(\lambda-.x)) \tau) =
                          (f(P(\lambda-.y\tau))\tau \lor (\exists x \in [[Rep-Set_{base}(X\tau)]].f(P(\lambda-.x))\tau))
    apply(simp add: OclIncluding-def OclValid-def)
```

```
apply(subst Abs-Set_{base}-inverse, simp, (rule disj12)+)
    by (metis (hide-lams, no-types) OclValid-def Set-inv-lemma foundation 18', simp)
have al-including: \bigwedge f X y \tau. \tau \models \delta X \Longrightarrow \tau \models v y \Longrightarrow
                              (\forall x \in [[Rep\text{-}Set_{base}(X->including(y) \tau)]]. f(P(\lambda-.x)) \tau) =
                               (f(P(\lambda-y\tau))\tau \wedge (\forall x \in \lceil \lceil Rep-Set_{base}(X\tau) \rceil \rceil, f(P(\lambda-x))\tau))
    apply(simp add: OclIncluding-def OclValid-def)
     apply(subst Abs-Set_{base}-inverse, simp, (rule disjI2)+)
    by (metis (hide-lams, no-types) OclValid-def Set-inv-lemma foundation 18', simp)
have ex-excluding l : \bigwedge fXy \tau. \tau \models \delta X \Longrightarrow \tau \models \upsilon y \Longrightarrow \neg (f(P(\lambda - y \tau)) \tau) \Longrightarrow
                               (\exists x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil, f(P(\lambda - x)) \tau) =
                              (\exists x \in [\lceil Rep\text{-}Set_{base} (X - > excluding(y) \tau) \rceil] \cdot f(P(\lambda - x)) \tau)
    apply(simp add: OclExcluding-def OclValid-def)
     apply(subst Abs-Set<sub>base</sub>-inverse, simp, (rule disjI2)+)
    by (metis (no-types) Diff-iff OclValid-def Set-inv-lemma) auto
have al-excluding l: \bigwedge fXy \ \tau. \ \tau \models \delta X \Longrightarrow \tau \models v \ y \Longrightarrow f(P(\lambda -. y \ \tau)) \ \tau \Longrightarrow
                              (\forall x \in [\lceil Rep\text{-}Set_{base}(X \tau) \rceil], f(P(\lambda - x)) \tau) =
                              (\forall x \in \lceil \lceil Rep\text{-}Set_{base} (X - > excluding(y) \tau) \rceil \rceil . f(P(\lambda - x)) \tau)
    apply(simp add: OclExcluding-def OclValid-def)
    apply(subst Abs-Set_{base}-inverse, simp, (rule disj12)+)
    by (metis (no-types) Diff-iff OclValid-def Set-inv-lemma) auto
have in-including: \bigwedge fXy \tau. \tau \models \delta X \Longrightarrow \tau \models v y \Longrightarrow
                             \{x \in \lceil \lceil Rep\text{-}Set_{base} (X - > including(y) \tau) \rceil \rceil, f(P(\lambda - x) \tau) \} =
                              (let s = \{x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil, f(P(\lambda - x) \tau) \} in
                               if f(P(\lambda - y \tau) \tau) then insert (y \tau) s else s)
    apply(simp add: OclIncluding-def OclValid-def)
    apply(subst Abs-Set<sub>base</sub>-inverse, simp, (rule disjI2)+)
     apply (metis (hide-lams, no-types) OclValid-def Set-inv-lemma foundation18')
    by(simp add: Let-def, auto)
let ?OclSet = \lambda S. \mid \mid S \mid \mid \in \{X. \ X = \bot \lor X = null \lor (\forall x \in \lceil \lceil X \rceil \rceil. \ x \neq \bot)\}
have diff-in-Set<sub>base</sub>: \land \tau. (\delta X) \tau = true \ \tau \Longrightarrow ?OclSet (\lceil \lceil Rep-Set_{base} (X \tau) \rceil \rceil - \{ \gamma \tau \} )
    apply(simp, (rule disjI2)+)
    by (metis (mono-tags) Diff-iff OclValid-def Set-inv-lemma)
have ins-in-Set<sub>base</sub>: \land \tau. (\delta X) \tau = true \ \tau \Longrightarrow (\upsilon \ y) \ \tau = true \ \tau \Longrightarrow
                       ?OclSet (insert (y \tau) \{x \in \lceil \lceil Rep\text{-Set}_{base}(X \tau) \rceil \rceil \}. P(\lambda - x) \tau \neq false \tau \})
    apply(simp, (rule disj12)+)
    by (metis (hide-lams, no-types) OclValid-def Set-inv-lemma foundation 18')
have ins-in-Set<sub>base</sub>': \land \tau. (\delta X) \tau = true \tau \Longrightarrow (\upsilon y) \tau = true \tau \Longrightarrow
      ?OclSet (insert (y \tau) \{x \in [[Rep\text{-}Set_{base}(X \tau)]] : x \neq y \tau \land P(\lambda - x) \tau \neq false \tau\})
    apply(simp, (rule disj12)+)
    by (metis (hide-lams, no-types) OclValid-def Set-inv-lemma foundation18')
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have ins-in-Set<sub>base</sub>": \land \tau. (\delta X) \tau = true \tau \Longrightarrow
      ?OclSet \{x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil \mid P(\lambda - x) \tau \neq false \tau \}
    apply(simp, (rule disj12)+)
    by (metis (hide-lams, no-types) OclValid-def Set-inv-lemma)
have ins-in-Set<sub>base</sub>"': \land \tau. (\delta X) \tau = true \tau \Longrightarrow
      ?OclSet \{x \in \lceil \lceil Rep\text{-Set}_{base}(X \tau) \rceil \rceil . x \neq y \tau \land P(\lambda - x) \tau \neq false \tau \}
    apply(simp, (rule disjI2)+)
    by(metis (hide-lams, no-types) OclValid-def Set-inv-lemma)
have if-same: \land a \ b \ c \ d \ \tau. \tau \models \delta \ a \Longrightarrow b \ \tau = d \ \tau \Longrightarrow c \ \tau = d \ \tau \Longrightarrow
                        (if a then b else c endif) \tau = d \tau
    by(simp add: OclIf-def OclValid-def)
have invert-including: \bigwedge P \ y \ \tau. P \ \tau = \bot \Longrightarrow P -> including(y) \ \tau = \bot
    by (metis (hide-lams, no-types) foundation16[THEN iffD1, standard]
            foundation 18' OclIncluding-valid-args-valid)
have exclude-defined : \land \tau. \tau \models \delta X \Longrightarrow
        (\delta(\lambda - Abs-Set_{base} \mid | \{x \in \lceil [Rep-Set_{base} \mid (X \tau)] \mid x \neq y \tau \land P(\lambda - x) \tau \neq false \tau\} \mid |)) \tau = true \tau
    apply(subst defined-def,
         simp add: false-def true-def bot-Set<sub>base</sub>-def bot-fun-def null-Set<sub>base</sub>-def null-fun-def)
    \mathbf{by}(\mathit{subst}\,\mathit{Abs-Set}_{\mathit{base}}\mathit{-inject}[\mathit{OF}\,\mathit{ins-in-Set}_{\mathit{base}}\mathit{'''}[\mathit{simplified}\,\mathit{false-def}]],
       (simp\ add:\ OclValid-def\ bot-option-def\ null-option-def)+)+
have if-eq: \bigwedge x \land B \tau. \tau \models \upsilon x \Longrightarrow \tau \models ((if x \doteq false then \land else B endif) \triangleq
                                   (if x \triangleq false then A else B endif))
    \mathbf{apply}(\mathit{simp\ add} \colon \mathit{StrictRefEq_{Boolean}\ OclValid\text{-}def})
    apply(subst (2) StrongEq-def)
    by(subst cp-OclIf, simp add: cp-OclIf[symmetric] true-def)
have OclSelect-body-bot: \land \tau. \tau \models \delta X \Longrightarrow \tau \models \upsilon y \Longrightarrow P y \tau \neq \bot \Longrightarrow
                          (\exists x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil] \cdot P(\lambda - x) \tau = \bot) \Longrightarrow \bot = ?select \tau
    apply(drule ex-excluding1[where X = X and y = y and f = \lambda x \tau . x \tau = \bot],
         (simp\ add:\ P-cp[symmetric])+)
    apply(subgoal-tac \tau \models (\bot \triangleq ?select), simp add: OclValid-def StrongEq-def true-def bot-fun-def)
    apply(simp add: OclSelect-body-def)
    apply(subst StrongEq-L-subst3[OF - if-eq], simp, metis foundation18')
    apply(simp add: OclValid-def, subst StrongEq-def, subst true-def, simp)
    apply(subgoal-tac \exists x \in [[Rep-Set_{base} (X->excluding(y) \tau)]]. P(\lambda-.x) \tau = \bot \tau)
     prefer 2 apply (metis bot-fun-def )
     apply(subst if-same[where d = \bot])
      apply (metis defined7 transform1)
     apply(simp add: OclSelect-def bot-option-def bot-fun-def invalid-def)
    apply(subst invert-including)
    by(simp add: OclSelect-def bot-option-def bot-fun-def invalid-def)+
```

```
have d-and-v-inject: \wedge \tau X y. (\delta X and v y) \tau \neq true \tau \Longrightarrow (\delta X and v y) \tau = false \tau
    apply(fold OclValid-def, subst foundation22[symmetric])
    apply(auto simp:foundation27 defined-split)
     apply(erule StrongEq-L-subst2-rev,simp,simp)
    apply(erule StrongEq-L-subst2-rev,simp,simp)
    by(erule foundation7'[THEN iffD2, THEN foundation15]THEN iffD2,
                            THEN StrongEq-L-subst2-rev], simp, simp)
have OclSelect-body-bot': \land \tau. (\delta X and v y) \tau \neq true \tau \Longrightarrow \bot = ?select \tau
    apply(drule d-and-v-inject)
    apply(simp add: OclSelect-def OclSelect-body-def)
    apply(subst cp-OclIf, subst cp-OclIncluding, simp add: false-def true-def)
    apply(subst cp-OclIf[symmetric], subst cp-OclIncluding[symmetric])
    by (metis (lifting, no-types) OclIf-def foundation18 foundation18' invert-including)
have conj-split2: \land a \ b \ c \ \tau. \ ((a \triangleq false) \ \tau = false \ \tau \longrightarrow b) \land ((a \triangleq false) \ \tau = true \ \tau \longrightarrow c) \Longrightarrow
                      (a \ \tau \neq false \ \tau \longrightarrow b) \land (a \ \tau = false \ \tau \longrightarrow c)
    by (metis OclValid-def defined7 foundation14 foundation22 foundation9)
have defined-inject-true : \land \tau P. (\delta P) \tau \neq true \tau \Longrightarrow (\delta P) \tau = false \tau
    apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def
                null-fun-def null-option-def)
    by (case-tac P \tau = \bot \lor P \tau = null, simp-all add: true-def)
have cp-OclSelect-body: \wedge \tau. ?select \tau = OclSelect-body P y (\lambda - (OclSelect (X->excluding(y))P)\tau)\tau
    apply(simp add: OclSelect-body-def)
    by(subst (12) cp-OclIf, subst (12) cp-OclIncluding, blast)
have OclSelect-body-strict1: OclSelect-body P y invalid = invalid
    by(rule ext, simp add: OclSelect-body-def OclIf-def)
have bool-invalid: \bigwedge(x:({}^t\mathfrak{A})Boolean) \ y \ \tau. \ \neg \ (\tau \models v \ x) \Longrightarrow \tau \models ((x \doteq y) \triangleq invalid)
    \mathbf{by}(simp\ add:\ StrictRefEq_{Boolean}\ OclValid-def\ StrongEq-def\ true-def)
have conj-comm : \bigwedge p \ q \ r. (p \land q \land r) = ((p \land q) \land r) by blast
have inv-bot : \wedge \tau. invalid \tau = \perp \tau by (metis bot-fun-def invalid-def)
have inv-bot': \wedge \tau. invalid \tau = \bot by (simp add: invalid-def)
show ?thesis
apply(rule ext, rename-tac \tau)
apply(subst OclSelect-def)
 apply(case-tac (\delta (X->including(y))) \tau = true \tau, simp)
 apply(( subst ex-including | subst in-including),
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metis OclValid-def foundation5,
   metis OclValid-def foundation5)+
apply(simp add: Let-def inv-bot)
apply(subst (2 4 7 9) bot-fun-def)
apply(subst (4) false-def, subst (4) bot-fun-def, simp add: bot-option-def P-cp[symmetric])
apply(case-tac \neg (\tau \models (\upsilon P \upsilon)))
apply(subgoal-tac P y \tau \neq false \tau)
prefer 2
apply (metis (hide-lams, no-types) foundation1 foundation18' valid4)
apply(simp)
apply(subst conj-comm, rule conjI)
 apply(drule-tac\ y = false\ in\ bool-invalid)
 apply(simp only: OclSelect-body-def,
    metis OclIf-def OclValid-def defined-def foundation2 foundation22
        bot-fun-def invalid-def)
apply(drule foundation5[simplified OclValid-def],
    subst al-including[simplified OclValid-def],
    simp,
    simp)
apply(simp add: P-cp[symmetric])
apply (metis bot-fun-def foundation 18')
apply(simp add: foundation18' bot-fun-def OclSelect-body-bot OclSelect-body-bot')
apply(subst (12) al-including, metis OclValid-def foundation5, metis OclValid-def foundation5)
apply(simp add: P-cp[symmetric], subst (4) false-def, subst (4) bot-option-def, simp)
apply(simp add: OclSelect-def [simplified inv-bot'] OclSelect-body-def StrictRefEq<sub>Boolean</sub>)
apply(subst (1 2 3 4) cp-OclIf,
   subst (1 2 3 4) foundation 18' [THEN iffD2, simplified OclValid-def],
   simp,
   simp only: cp-OclIf[symmetric] refl if-True)
apply(subst (1 2) cp-OclIncluding, rule conj-split2, simp add: cp-OclIf[symmetric])
apply(subst (1 2 3 4 5 6 7 8) cp-OclIf[symmetric], simp)
apply(( subst ex-excluding1[symmetric]
   | subst al-excluding [symmetric]),
   metis OclValid-def foundation5,
   metis OclValid-def foundation5,
   simp add: P-cp[symmetric] bot-fun-def)+
apply(simp add: bot-fun-def)
apply(subst (1 2) invert-including, simp+)
apply(rule conjI, blast)
apply(intro impI conjI)
```

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apply(subst OclExcluding-def)
  apply(drule foundation5[simplified OclValid-def], simp)
  apply(subst\ Abs-Set_{base}-inverse[OF\ diff-in-Set_{base}],fast)
  apply(simp add: OclIncluding-def cp-valid[symmetric])
  apply((erule conjE)+, frule exclude-defined[simplified OclValid-def], simp)
  apply(subst\ Abs-Set_{base}-inverse[OF\ ins-in-Set_{base}""],\ simp+)
  apply(subst Abs-Set<sub>base</sub>-inject[OF ins-in-Set<sub>base</sub> ins-in-Set<sub>base</sub>^{\prime}], fast+)
  apply(simp add: OclExcluding-def)
  apply(simp add: foundation10[simplified OclValid-def])
  apply(subst\ Abs-Set_{base}-inverse[OF\ diff-in-Set_{base}],\ simp+)
  \mathbf{apply}(\mathit{subst}\,\mathit{Abs-Set}_{\mathit{base}}\mathit{-inject}[\mathit{OF}\,\mathit{ins-in-Set}_{\mathit{base}}{''}\mathit{ins-in-Set}_{\mathit{base}}{'''}],\mathit{simp}+)
  apply(subgoal-tac P(\lambda - y \tau) \tau = false \tau)
  prefer 2
  apply(subst P-cp[symmetric], metis OclValid-def foundation22)
  apply(rule equalityI)
  apply(rule subsetI, simp, metis)
  apply(rule subsetI, simp)
 apply(drule defined-inject-true)
 apply(subgoal-tac \neg (\tau \models \delta X) \lor \neg (\tau \models \upsilon y))
  prefer 2
  apply (metis bot-fun-def OclValid-def foundation 18' OclIncluding-defined-args-valid valid-def)
 apply(subst cp-OclSelect-body, subst cp-OclSelect, subst OclExcluding-def)
 apply(simp add: OclValid-def false-def true-def, rule conjI, blast)
 apply(simp add: OclSelect-invalid[simplified invalid-def]
            OclSelect-body-strict1[simplified invalid-def]
            inv-bot')
done
qed
Execution Rules on OclReject lemma OclReject-mtSet-exec[simp,code-unfold]: OclReject mtSet P = mtSet
by(simp add: OclReject-def)
lemma OclReject-including-exec[simp,code-unfold]:
assumes P-cp: cp P
shows OclReject(X->including(y)) P = OclSelect-body (not o P) y (OclReject(X->excluding(y)) P)
apply(simp add: OclReject-def comp-def, rule OclSelect-including-exec)
by (metis assms cp-intro'(5))
Execution Rules Combining Previous Operators OclIncluding
lemma OclIncluding-idem0:
assumes \tau \models \delta S
   and \tau \models \upsilon i
  shows \tau \models (S->including(i)->including(i) \triangleq (S->including(i)))
by(simp add: OclIncluding-includes OclIncludes-charn1 assms)
```

```
theorem OclIncluding-idem[simp,code-unfold]: ((S:: ('\mathbb{A}, 'a::null)Set) -> including(i) -> including(i) = (S-> including(i)))
proof -
 have A: \land \tau. \tau \models (i \triangleq invalid) \implies (S->including(i)->including(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        bv(erule StrongEq-L-subst2-rev, simp, simp)
 have A': \land \tau. \tau \models (i \triangleq invalid) \implies (S->including(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp,simp)
 have C: \land \tau. \tau \models (S \triangleq invalid) \implies (S->including(i)->including(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have C': \land \tau. \tau \models (S \triangleq invalid) \implies (S->including(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp,simp)
 have D: \land \tau. \tau \models (S \triangleq null) \implies (S->including(i)->including(i)) \tau = invalid \tau
        {\bf apply}({\it rule\ foundation} 22[{\it THEN\ iff} D1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have D': \land \tau. \tau \models (S \triangleq null) \implies (S->including(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 show ?thesis
  apply(rule ext, rename-tac \tau)
  apply(case-tac \tau \models (\upsilon i))
   apply(case-tac \tau \models (\delta S))
   apply(simp only: OclIncluding-idem0[THEN foundation22[THEN iffD1]])
    apply(simp add: foundation16', elim disjE)
   apply(simp add: C[OF foundation22[THEN iffD2]] C'[OF foundation22[THEN iffD2]])
   apply(simp add: D[OF foundation22[THEN iffD2]] D'[OF foundation22[THEN iffD2]])
  apply(simp add:foundation18 A[OF foundation22[THEN iffD2]] A'[OF foundation22[THEN iffD2]])
 done
qed
   OclExcluding
lemma OclExcluding-idem0 :
assumes \tau \models \delta S
   and \tau \models \upsilon i
  shows \tau \models (S->excluding(i)->excluding(i) \triangleq (S->excluding(i)))
by(simp add: OclExcluding-excludes OclExcludes-charn1 assms)
theorem OclExcluding-idem[simp,code-unfold]: ((S->excluding(i))->excluding(i))=(S->excluding(i))
 have A: \land \tau. \tau \models (i \triangleq invalid) \implies (S->excluding(i)->excluding(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have A': \land \tau. \tau \models (i \triangleq invalid) \implies (S->excluding(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
```

```
by(erule StrongEq-L-subst2-rev, simp, simp)
 have C: \land \tau. \tau \models (S \triangleq invalid) \implies (S->excluding(i)->excluding(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have C': \land \tau. \tau \models (S \triangleq invalid) \implies (S -> excluding(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have D: \land \tau. \tau \models (S \triangleq null) \implies (S -> excluding(i) -> excluding(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 have D': \land \tau. \tau \models (S \triangleq null) \implies (S -> excluding(i)) \tau = invalid \tau
        apply(rule foundation22[THEN iffD1])
        by(erule StrongEq-L-subst2-rev, simp, simp)
 show ?thesis
   apply(rule ext, rename-tac \tau)
   apply(case-tac \ \tau \models (\upsilon \ i))
   apply(case-tac \tau \models (\delta S))
    apply(simp only: OclExcluding-idem0[THEN foundation22[THEN iffD1]])
    apply(simp add: foundation16', elim disjE)
    apply(simp add: C[OF foundation22[THEN iffD2]] C'[OF foundation22[THEN iffD2]])
   apply(simp add: D[OF foundation22[THEN iffD2]] D'[OF foundation22[THEN iffD2]])
  apply(simp add:foundation18 A[OF foundation22[THEN iffD2]] A'[OF foundation22[THEN iffD2]])
 done
qed
   OclIncludes
lemma OclIncludes-any[simp,code-unfold]:
    X->includes(X->any())=(if \delta X then
                        if \delta(X->size()) then not(X->isEmpty())
                        else X -> includes(null) endif
                       else invalid endif)
proof -
have defined-inject-true: \land \tau P. (\delta P) \tau \neq true \tau \Longrightarrow (\delta P) \tau = false \tau
    apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def
                null-fun-def null-option-def)
    by (case-tac P \tau = \bot \lor P \tau = null, simp-all add: true-def)
 have valid-inject-true: \forall \tau P. (\upsilon P) \tau \neq true \tau \Longrightarrow (\upsilon P) \tau = false \tau
    apply(simp add: valid-def true-def false-def bot-fun-def bot-option-def
                null-fun-def null-option-def)
    by (case-tac P \tau = \bot, simp-all add: true-def)
 have notempty': \land \tau X. \tau \models \delta X \Longrightarrow finite \lceil \lceil Rep\text{-Set}_{base}(X \tau) \rceil \rceil \Longrightarrow not (X->isEmpty()) \tau \neq true \tau \Longrightarrow
                 X \tau = Set\{\} \tau
 apply(case-tac X \tau, rename-tac X', simp add: mtSet-def Abs-Set<sub>base</sub>-inject)
 apply(erule disjE, metis (hide-lams, mono-tags) bot-Set<sub>base</sub>-def bot-option-def foundation16)
```

```
apply(erule disjE, metis (hide-lams, no-types) bot-option-def
                                null-Set<sub>base</sub>-def null-option-def foundation16[THEN iffD1,standard])
apply(case-tac\ X', simp, metis\ (hide-lams, no-types)\ bot-Set_{base}-def foundation 16[THEN\ iffD1, standard])
apply(rename-tac\ X'', case-tac\ X'', simp)
 apply (metis (hide-lams, no-types) foundation16[THEN iffD1,standard] null-Set<sub>hase</sub>-def)
apply(simp add: OclIsEmpty-def OclSize-def)
apply(subst (asm) cp-OclNot, subst (asm) cp-OclOr, subst (asm) StrictRefEq<sub>Integer</sub>.cp0,
    subst (asm) cp-OclAnd, subst (asm) cp-OclNot)
apply(simp only: OclValid-def foundation20[simplified OclValid-def]
            cp-OclNot[symmetric] cp-OclAnd[symmetric] cp-OclOr[symmetric])
apply(simp add: Abs-Set<sub>base</sub>-inverse split: split-if-asm)
by(simp add: true-def OclInt0-def OclNot-def StrictRefEq<sub>Integer</sub> StrongEq-def)
have B: \bigwedge X \tau. \neg finite \lceil \lceil Rep\text{-}Set_{base}(X\tau) \rceil \rceil \Longrightarrow (\delta(X->size())) \tau = false \tau
apply(subst cp-defined)
apply(simp add: OclSize-def)
by (metis bot-fun-def defined-def)
show ?thesis
apply(rule ext, rename-tac \tau, simp only: OclIncludes-def OclANY-def)
apply(subst cp-OclIf, subst (2) cp-valid)
apply(case-tac (\delta X) \tau = true \tau,
    simp only: foundation20[simplified OclValid-def] cp-OclIf[symmetric], simp.
    subst (1 2) cp-OclAnd, simp add: cp-OclAnd[symmetric])
 apply(case-tac finite \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil)
 apply(frule size-defined'[THEN iffD2, simplified OclValid-def], assumption)
 apply(subst (1 2 3 4) cp-OclIf, simp)
 apply(subst (1 2 3 4) cp-OclIf[symmetric], simp)
 apply(case-tac (X->notEmpty()) \tau = true \tau, simp)
  apply(frule OclNotEmpty-has-elt[simplified OclValid-def], simp)
  apply(simp add: OclNotEmpty-def cp-OclIf[symmetric])
  apply(subgoal-tac\ (SOME\ y.\ y \in \lceil\lceil Rep-Set_{base}\ (X\ \tau)\rceil\rceil) \in \lceil\lceil Rep-Set_{base}\ (X\ \tau)\rceil\rceil, simp add: true-def)
  apply(metis OclValid-def Set-inv-lemma foundation18' null-option-def true-def)
  apply(rule someI-ex, simp)
  apply(simp add: OclNotEmpty-def cp-valid[symmetric])
 apply(subgoal-tac \neg (null \tau \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil), simp)
  apply(subst OclIsEmpty-def, simp add: OclSize-def)
  apply(subst cp-OclNot, subst cp-OclOr, subst StrictRefEq<sub>Integer</sub>.cp0, subst cp-OclAnd,
      subst cp-OclNot, simp add: OclValid-def foundation20[simplified OclValid-def]
                         cp-OclNot[symmetric] cp-OclAnd[symmetric] cp-OclOr[symmetric])
  apply(frule notempty'[simplified OclValid-def],
      (simp add: mtSet-def Abs-Set<sub>base</sub>-inverse OclInt0-def false-def)+)
 apply(drule notempty'[simplified OclValid-def], simp, simp)
 apply (metis (hide-lams, no-types) empty-iff mtSet-rep-set)
 apply(frule B)
 apply(subst (1 2 3 4) cp-OclIf, simp)
 apply(subst (1 2 3 4) cp-OclIf[symmetric], simp)
```

```
apply(case-tac (X->notEmpty()) \tau = true \tau, simp)
   apply(frule OclNotEmpty-has-elt[simplified OclValid-def], simp)
  apply(simp add: OclNotEmpty-def OclIsEmpty-def)
   apply(subgoal-tac X->size() \tau = \bot)
   prefer 2
   apply (metis (hide-lams, no-types) OclSize-def)
   apply(subst (asm) cp-OclNot, subst (asm) cp-OclOr, subst (asm) StrictRefEq<sub>Integer</sub>.cp0,
       subst (asm) cp-OclAnd, subst (asm) cp-OclNot)
  apply(simp add: OclValid-def foundation20[simplified OclValid-def]
               cp-OclNot[symmetric] cp-OclAnd[symmetric] cp-OclOr[symmetric])
  \mathbf{apply}(\mathit{simp}\ \mathit{add}: \mathit{OclNot-def}\ \mathit{StrongEq-def}\ \mathit{StrictRefEq}_{Integer}\ \mathit{valid-def}\ \mathit{false-def}\ \mathit{true-def}
               bot-option-def bot-fun-def invalid-def)
  apply (metis bot-fun-def null-fun-def null-is-valid valid-def)
 by(drule defined-inject-true,
  simp add: false-def true-def OclIf-false[simplified false-def] invalid-def)
ged
   OclSize
lemma [simp,code-unfold]: \delta (Set\{\} -> size()) = true
by simp
lemma [simp,code-unfold]: \delta((X->including(x))->size())=(\delta(X->size())) and v(x)
proof -
have defined-inject-true : \land \tau P. (\delta P) \tau \neq true \tau \Longrightarrow (\delta P) \tau = false \tau
    apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def
                null-fun-def null-option-def)
    by (case-tac P \tau = \bot \lor P \tau = null, simp-all add: true-def)
have valid-inject-true : \land \tau P. (\upsilon P) \tau \neq true \tau \Longrightarrow (\upsilon P) \tau = false \tau
    apply(simp add: valid-def true-def false-def bot-fun-def bot-option-def
                null-fun-def null-option-def)
    by (case-tac P \tau = \bot, simp-all add: true-def)
 have OclIncluding-finite-rep-set: \land \tau. (\delta X \text{ and } v x) \tau = true \tau \Longrightarrow
            finite \lceil \lceil Rep\text{-}Set_{base}(X->including(x) \tau) \rceil \rceil = finite \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil
 apply(rule OclIncluding-finite-rep-set)
 by(metis OclValid-def foundation5)+
 have card-including-exec: \land \tau. (\delta (\lambda-. \lfloor \lfloor int (card \lceil \lceil Rep\text{-Set}_{base} (X->including(x) \tau) \rceil \rceil) \rfloor \rfloor)) <math>\tau =
                         (\delta (\lambda -. || int (card \lceil \lceil Rep - Set_{base} (X \tau) \rceil \rceil) ||)) \tau
 by(simp add: defined-def bot-fun-def bot-option-def null-fun-def null-option-def)
 show ?thesis
 apply(rule ext, rename-tac \tau)
 apply(case-tac (\delta (X->including(x)->size())) \tau = true \tau, simp del: OclSize-including-exec)
  apply(subst\ cp-OclAnd,\ subst\ cp-defined,\ simp\ only:\ cp-defined[of\ X->including(x)->size()],
```

```
simp add: OclSize-def)
  apply(case-tac ((\delta X \text{ and } v x) \tau = true \tau \land finite \lceil \lceil Rep-Set_{base}(X->including(x) \tau) \rceil \rceil), simp)
   apply(erule conjE,
       simp add: OclIncluding-finite-rep-set[simplified OclValid-def] card-including-exec
              cp-OclAnd[of \delta X v x]
              cp-OclAnd[of true, THEN sym])
  apply(subgoal-tac (\delta X) \tau = true \ \tau \land (\upsilon x) \ \tau = true \ \tau, simp)
   apply(rule foundation5[of - \delta X v x, simplified OclValid-def],
       simp only: cp-OclAnd[THEN sym])
  apply(simp, simp add: defined-def true-def false-def bot-fun-def bot-option-def)
 apply(drule defined-inject-true[of X->including(x)->size()],
     simp del: OclSize-including-exec,
     simp only: cp-OclAnd[of \delta (X->size()) v x],
     simp\ add:\ cp\ -defined[of\ X->including(x)->size()]\ cp\ -defined[of\ X->size()]
         del: OclSize-including-exec,
     simp add: OclSize-def card-including-exec
         del: OclSize-including-exec)
 apply(case-tac (\delta X and v x) \tau = true \tau \land finite \lceil \lceil Rep-Set_{base}(X \tau) \rceil \rceil,
     simp add: OclIncluding-finite-rep-set[simplified OclValid-def] card-including-exec,
     simp only: cp-OclAnd[THEN sym],
     simp add: defined-def bot-fun-def)
 apply(split split-if-asm)
  apply(simp add: OclIncluding-finite-rep-set[simplified OclValid-def] card-including-exec)+
 apply(simp only: cp-OclAnd[THEN sym], simp, rule impI, erule conjE)
 apply(case-tac (\upsilon x) \tau = true \tau, simp add: cp-OclAnd[of \delta X \upsilon x])
 by(drule valid-inject-true[of x], simp add: cp-OclAnd[of - v x])
qed
lemma [simp,code-unfold]: \delta ((X ->excluding(x)) ->size()) = (\delta(X->size()) and v(x))
proof -
have defined-inject-true : \land \tau P. (\delta P) \tau \neq true \tau \Longrightarrow (\delta P) \tau = false \tau
    apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def
                null-fun-def null-option-def)
    by (case-tac P \tau = \bot \lor P \tau = null, simp-all add: true-def)
 have valid-inject-true: \forall \tau P. (\upsilon P) \tau \neq true \tau \Longrightarrow (\upsilon P) \tau = false \tau
    apply(simp add: valid-def true-def false-def bot-fun-def bot-option-def
                null-fun-def null-option-def)
    by (case-tac P \tau = \bot, simp-all add: true-def)
have OclExcluding-finite-rep-set: \wedge \tau. (\delta X and v x) \tau = true \tau \Longrightarrow
                          finite \lceil \lceil Rep\text{-}Set_{base}(X->excluding(x) \tau) \rceil \rceil =
                          finite \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil
 apply(rule OclExcluding-finite-rep-set)
 by(metis OclValid-def foundation5)+
```

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have card-excluding-exec : \land \tau. (\delta (\lambda -. \lfloor int (card \lceil \lceil Rep-Set_{base} (X -> excluding(x) \tau) \rceil \rceil) \rfloor))) \tau =
                         (\delta (\lambda - || int (card \lceil \lceil Rep - Set_{base} (X \tau) \rceil \rceil) ||)) \tau
 by(simp add: defined-def bot-fun-def bot-option-def null-fun-def null-option-def)
 show ?thesis
 apply(rule ext, rename-tac \tau)
 apply(case-tac (\delta (X->excluding(x)->size())) \tau = true \tau, simp)
  apply(subst\ cp\ -OclAnd,\ subst\ cp\ -defined,\ simp\ only:\ cp\ -defined[of\ X->excluding(x)->size()],
      simp add: OclSize-def)
  apply(case-tac ((\delta X \text{ and } v x) \tau = true \tau \land finite \lceil [Rep-Set_{hase}(X->excluding(x) \tau) \rceil \rceil), simp)
  apply(erule conjE,
       simp add: OclExcluding-finite-rep-set[simplified OclValid-def] card-excluding-exec
              cp-OclAnd[of \delta X \upsilon x]
              cp-OclAnd[of true, THEN sym])
   apply(subgoal-tac (\delta X) \tau = true \ \tau \land (\upsilon x) \ \tau = true \ \tau, simp)
   apply(rule foundation5[of - \delta X \upsilon x, simplified OclValid-def],
       simp only: cp-OclAnd[THEN sym])
  apply(simp, simp add: defined-def true-def false-def bot-fun-def bot-option-def)
 apply(drule\ defined-inject-true[of\ X->excluding(x)->size()],
     simp,
     simp only: cp-OclAnd[of \delta (X->size()) \upsilon x],
     simp\ add:\ cp\ defined\ [of\ X->excluding\ (x)->size\ ()\ ]\ cp\ defined\ [of\ X->size\ ()\ ],
     simp add: OclSize-def card-excluding-exec)
 apply(case-tac (\delta X and v x) \tau = true \tau \land finite \lceil \lceil Rep-Set_{base}(X \tau) \rceil \rceil,
     simp add: OclExcluding-finite-rep-set[simplified OclValid-def] card-excluding-exec,
     simp only: cp-OclAnd[THEN sym],
     simp add: defined-def bot-fun-def)
 apply(split split-if-asm)
  apply(simp add: OclExcluding-finite-rep-set[simplified OclValid-def] card-excluding-exec)+
 apply(simp only: cp-OclAnd[THEN sym], simp, rule impI, erule conjE)
 apply(case-tac (\upsilon x) \tau = true \tau, simp add: cp-OclAnd[of \delta X \upsilon x])
 by(drule valid-inject-true[of x], simp add: cp-OclAnd[of - vx])
qed
lemma [simp]:
assumes X-finite: \land \tau. finite \lceil \lceil Rep - Set_{base}(X \tau) \rceil \rceil
shows \delta ((X -> including(x)) -> size()) = (\delta(X) \text{ and } \upsilon(x))
by(simp add: size-defined[OF X-finite] del: OclSize-including-exec)
   OclForall
lemma OclForall-rep-set-false:
assumes \tau \models \delta X
shows (OclForall X P \tau = false \tau) = (\exists x \in [[Rep-Set_{base}(X \tau)]]]. P (\lambda \tau. x) \tau = false \tau)
by (insert assms, simp add: OclForall-def OclValid-def false-def true-def invalid-def
                   bot-fun-def bot-option-def null-fun-def null-option-def)
```

```
lemma OclForall-rep-set-true:
assumes \tau \models \delta X
shows (\tau \models OclForall\ X\ P) = (\forall x \in \lceil [Rep\text{-}Set_{base}\ (X\ \tau)] \rceil, \ \tau \models P\ (\lambda\tau.\ x))
proof -
have destruct-ocl: \bigwedge x \tau. x = true \tau \lor x = false \tau \lor x = null \tau \lor x = \bot \tau
 apply(case-tac x) apply (metis bot-Boolean-def)
 apply(rename-tac x', case-tac x') apply (metis null-Boolean-def)
 apply(rename-tac x'', case-tac x'') apply (metis (full-types) true-def)
 by (metis (full-types) false-def)
have disjE4 : \land P1 P2 P3 P4 R.
  (P1 \lor P2 \lor P3 \lor P4) \Longrightarrow (P1 \Longrightarrow R) \Longrightarrow (P2 \Longrightarrow R) \Longrightarrow (P3 \Longrightarrow R) \Longrightarrow (P4 \Longrightarrow R) \Longrightarrow R
 by metis
 show ?thesis
 apply(simp add: OclForall-def OclValid-def true-def false-def invalid-def
               bot-fun-def bot-option-def null-fun-def null-option-def split: split-if-asm)
 apply(rule conjI, rule impI) apply (metis drop.simps option.distinct(1) invalid-def)
 apply(rule\ impI,\ rule\ conjI,\ rule\ impI)\ apply\ (metis\ option.distinct(1))
 apply(rule impI, rule conjI, rule impI) apply (metis drop.simps)
 apply(intro conjI impI ballI)
  proof – fix x show \forall x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil. P(\lambda - x) \tau \neq |None| \Longrightarrow
                   \forall x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil. \exists y. P(\lambda - x) \tau = |y| \Longrightarrow
                   \forall x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil. P(\lambda - x) \tau \neq || \lceil False \rangle| \Longrightarrow
                  x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil \Longrightarrow P(\lambda \tau. x) \tau = \lceil \lceil True \rceil \rceil
  apply(erule-tac\ x = x\ in\ ballE)+
  by(rule disjE4[OF destruct-ocl[of P(\lambda \tau. x) \tau]],
     (simp add: true-def false-def null-fun-def null-option-def bot-fun-def bot-option-def)+)
 apply-end(simp add: assms[simplified OclValid-def true-def])+
 aed
qed
lemma OclForall-includes:
assumes x-def : \tau \models \delta x
    and y-def : \tau \models \delta v
  shows (\tau \models OclForall\ x\ (OclIncludes\ y)) = (\lceil [Rep-Set_{base}\ (x\ \tau)] \rceil \subseteq \lceil [Rep-Set_{base}\ (y\ \tau)] \rceil)
 apply(simp add: OclForall-rep-set-true[OF x-def],
     simp add: OclIncludes-def OclValid-def y-def[simplified OclValid-def])
apply(insert Set-inv-lemma[OF x-def], simp add: valid-def false-def true-def bot-fun-def)
by(rule iffI, simp add: subsetI, simp add: subsetD)
lemma OclForall-not-includes:
assumes x-def : \tau \models \delta x
    and y-def: \tau \models \delta y
  shows (OclForall x (OclIncludes y) \tau = false \ \tau) = (\neg \lceil \lceil Rep\text{-Set}_{base} \ (x \ \tau) \rceil \rceil \subseteq \lceil \lceil Rep\text{-Set}_{base} \ (y \ \tau) \rceil \rceil)
 apply(simp\ add: OclForall-rep-set-false[OF\ x-def],
     simp add: OclIncludes-def OclValid-def y-def[simplified OclValid-def])
apply(insert Set-inv-lemma[OF x-def], simp add: valid-def false-def true-def bot-fun-def)
by(rule iffI, metis set-rev-mp, metis subsetI)
```

```
lemma OclForall-iterate:
assumes S-finite: finite \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil
  shows S->forAll(x \mid Px) \tau = (S->iterate(x; acc = true \mid acc and Px)) \tau
proof -
have and-comm : comp-fun-commute (\lambda x \ acc. \ acc \ and \ P \ x)
 apply(simp add: comp-fun-commute-def comp-def)
by (metis OclAnd-assoc OclAnd-commute)
have ex-insert : \bigwedge x F P. (\exists x \in insert x F. P x) = (P x \lor (\exists x \in F. P x))
by (metis insert-iff)
have destruct-ocl : \bigwedge x \tau. x = true \tau \lor x = false \tau \lor x = null \tau \lor x = \bot \tau
 apply(case-tac x) apply (metis bot-Boolean-def)
 apply(rename-tac x', case-tac x') apply (metis null-Boolean-def)
 apply(rename-tac x'', case-tac x'') apply (metis (full-types) true-def)
 by (metis (full-types) false-def)
 have disjE4 : \land P1 P2 P3 P4 R.
  (P1 \lor P2 \lor P3 \lor P4) \Longrightarrow (P1 \Longrightarrow R) \Longrightarrow (P2 \Longrightarrow R) \Longrightarrow (P3 \Longrightarrow R) \Longrightarrow (P4 \Longrightarrow R) \Longrightarrow R
 by metis
let P-eq = \lambda x b \tau. P(\lambda - x) \tau = b \tau
let P = \lambda set b \tau. \exists x \in set. P - eq x b \tau
let ?if = \lambda f b c. if f b \tau then b \tau else c
let ?forall = \lambda P. ?if P false (?if P invalid (?if P null (true \tau)))
 show ?thesis
 apply(simp only: OclForall-def OclIterate-def)
 apply(case-tac \tau \models \delta S, simp only: OclValid-def)
  apply(subgoal-tac let set = \lceil \lceil Rep\text{-}Set_{base} (S \tau) \rceil \rceil in
                ?forall(?Pset) =
                Finite-Set.fold (\lambda x acc. acc and Px) true ((\lambda a \tau. a) 'set) \tau,
      simp only: Let-def, simp add: S-finite, simp only: Let-def)
  apply(case-tac \lceil \lceil Rep-Set_{base} (S \tau) \rceil \rceil = \{\}, simp\}
  apply(rule finite-ne-induct[OF S-finite], simp)
  apply(simp only: image-insert)
   apply(subst comp-fun-commute.fold-insert[OF and-comm], simp)
   apply (metis empty-iff image-empty)
  apply(simp add: invalid-def)
  apply (metis bot-fun-def destruct-ocl null-fun-def)
  apply(simp only: image-insert)
  apply(subst comp-fun-commute.fold-insert[OF and-comm], simp)
  apply (metis (mono-tags) imageE)
  apply(subst cp-OclAnd) apply(drule sym, drule sym, simp only:, drule sym, simp only:)
```

```
apply(simp only: ex-insert)
  apply(subgoal-tac \exists x. x \in F) prefer 2
   apply(metis all-not-in-conv)
  proof - fix x F show (\delta S) \tau = true \tau \Longrightarrow \exists x. x \in F \Longrightarrow
         ?forall (\lambda b \tau. ?P-eq x b \tau \lor ?P F b \tau) =
         ((\lambda -. ?forall (?P F)) and (\lambda -. P (\lambda \tau. x) \tau)) \tau
   apply(rule disjE4[OF destruct-ocl[where x = P(\lambda \tau. x) \tau]])
     apply(simp-all add: true-def false-def invalid-def OclAnd-def
                     null-fun-def null-option-def bot-fun-def bot-option-def)
  by (metis (lifting) option.distinct(1))+
  apply-end(simp add: OclValid-def)+
 qed
qed
lemma OclForall-cong:
assumes \bigwedge x. x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil \Longrightarrow \tau \models P(\lambda \tau. x) \Longrightarrow \tau \models Q(\lambda \tau. x)
assumes P: \tau \models OclForall X P
shows \tau \models OclForall X Q
proof -
have def-X: \tau \models \delta X
by(insert P, simp add: OclForall-def OclValid-def bot-option-def true-def split: split-if-asm)
show ?thesis
 apply(insert P)
 apply(subst (asm) OclForall-rep-set-true[OF def-X], subst OclForall-rep-set-true[OF def-X])
by (simp add: assms)
qed
lemma OclForall-cong':
assumes \land x. x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil \Longrightarrow \tau \models P(\lambda \tau. x) \Longrightarrow \tau \models Q(\lambda \tau. x) \Longrightarrow \tau \models R(\lambda \tau. x)
assumes P: \tau \models OclForall X P
assumes Q: \tau \models OclForall \ X \ Q
shows \tau \models OclForall X R
proof -
have def-X: \tau \models \delta X
by(insert P, simp add: OclForall-def OclValid-def bot-option-def true-def split: split-if-asm)
show ?thesis
 apply(insert P Q)
 apply(subst (asm) (12) OclForall-rep-set-true[OF def-X], subst OclForall-rep-set-true[OF def-X])
 by (simp add: assms)
ged
   Strict Equality
lemma StrictRefEq_{Set}-defined:
assumes x-def : \tau \models \delta x
assumes y-def: \tau \models \delta y
shows ((x::('\mathfrak{A},'\alpha::null)Set) \doteq y) \tau =
            (x-) for All(z|y-) includes(z)) and (y-) for All(z|x-) includes(z)))) \tau
proof -
```

```
have rep-set-inj: \wedge \tau. (\delta x) \tau = true \tau \Longrightarrow
                  (\delta y) \tau = true \tau \Longrightarrow
                  x \tau \neq y \tau \Longrightarrow
                  \lceil \lceil Rep\text{-}Set_{base}(y \tau) \rceil \rceil \neq \lceil \lceil Rep\text{-}Set_{base}(x \tau) \rceil \rceil
 apply(simp add: defined-def)
 apply(split split-if-asm, simp add: false-def true-def)+
 apply(simp add: null-fun-def null-Set<sub>base</sub>-def bot-fun-def bot-Set<sub>base</sub>-def)
 apply(case-tac x \tau, rename-tac x')
 apply(case-tac x', simp-all, rename-tac x'')
 apply(case-tac x'', simp-all)
 apply(case-tac y \tau, rename-tac y')
 apply(case-tac\ y', simp-all, rename-tac\ y'')
 apply(case-tac\ y'', simp-all)
 apply(simp\ add: Abs-Set_{base}-inverse)
 \mathbf{by}(blast)
 show ?thesis
 apply(simp add: StrictRefEq<sub>Set</sub> StrongEq-def
  foundation20[OF x-def, simplified OclValid-def]
  foundation20[OF y-def, simplified OclValid-def])
 apply(subgoal-tac ||x \tau = y \tau|| = true \tau \lor ||x \tau = y \tau|| = false \tau)
  prefer 2
  apply(simp add: false-def true-def)
 apply(erule disjE)
  apply(simp add: true-def)
  apply(subgoal-tac\ (\tau \models OclForall\ x\ (OclIncludes\ y)) \land (\tau \models OclForall\ y\ (OclIncludes\ x)))
  apply(subst cp-OclAnd, simp add: true-def OclValid-def)
  apply(simp add: OclForall-includes[OF x-def y-def]
             OclForall-includes[OF y-def x-def])
 apply(simp)
 apply(subgoal-tac OclForall x (OclIncludes y) \tau = false \tau \lor
               OclForall v (OclIncludes x) \tau = \text{false } \tau)
  apply(subst cp-OclAnd, metis OclAnd-false1 OclAnd-false2 cp-OclAnd)
 apply(simp only: OclForall-not-includes[OF x-def y-def , simplified OclValid-def]
             OclForall-not-includes[OF y-def x-def , simplified OclValid-def],
     simp add: false-def)
by (metis OclValid-def rep-set-inj subset-antisym x-def y-def)
lemma StrictRefEq_{Set}-exec[simp, code-unfold]:
```

```
((x::('\mathfrak{A},'\alpha::null)Set) \doteq y) =
 (if \delta x then (if \delta y
             then ((x->forAll(z|y->includes(z))) and (y->forAll(z|x->includes(z)))))
                  then false (* x'->includes = null *)
                  else invalid
                  endif
             endif)
       else if v \times (*null = ??? *)
           then if v y then not(\delta y) else invalid endif
           else invalid
           endif
       endif)
proof -
have defined-inject-true : \land \tau P. (\neg (\tau \models \delta P)) = ((\delta P) \tau = false \tau)
by (metis bot-fun-def OclValid-def defined-def foundation16 null-fun-def)
have valid-inject-true : \land \tau P. (\neg (\tau \models \upsilon P)) = ((\upsilon P) \tau = false \tau)
 by (metis bot-fun-def OclIf-true' OclIncludes-charn0 OclIncludes-charn0' OclValid-def valid-def
        foundation6 foundation9)
 show ?thesis
 apply(rule ext, rename-tac \tau)
 apply(simp add: OclIf-def
               defined-inject-true[simplified OclValid-def]
               valid-inject-true[simplified OclValid-def],
      subst false-def, subst true-def, simp)
 apply(subst (12) cp-OclNot, simp, simp add: cp-OclNot[symmetric])
 apply(simp add: StrictRefEq<sub>Set</sub>-defined[simplified OclValid-def])
 by(simp add: StrictRefEq<sub>Set</sub> StrongEq-def false-def true-def valid-def defined-def)
qed
lemma StrictRefEq<sub>Set</sub>-L-subst1 : cp P \Longrightarrow \tau \models \upsilon x \Longrightarrow \tau \models \upsilon P x \Longrightarrow \tau \models \upsilon P x \Longrightarrow \tau \models \upsilon P y
   \tau \models (x::('\mathfrak{A},'\alpha::null)Set) \doteq y \Longrightarrow \tau \models (Px::('\mathfrak{A},'\alpha::null)Set) \doteq Py
apply(simp\ only: StrictRefEq_{Set}\ OclValid-def)
apply(split split-if-asm)
 apply(simp add: StrongEq-L-subst1[simplified OclValid-def])
by (simp add: invalid-def bot-option-def true-def)
lemma OclIncluding-cong':
shows \tau \models \delta s \Longrightarrow \tau \models \delta t \Longrightarrow \tau \models \upsilon x \Longrightarrow
   \tau \models ((s::('\mathfrak{A},'a::null)Set) \stackrel{.}{=} t) \Longrightarrow \tau \models (s->including(x) \stackrel{.}{=} (t->including(x)))
proof -
have cp: cp (\lambda s. (s->including(x)))
 apply(simp add: cp-def, subst cp-OclIncluding)
by (rule-tac x = (\lambda xab \ ab. ((\lambda - xab) - > including(\lambda - xab)) \ ab) in exI, simp)
show \tau \models \delta s \Longrightarrow \tau \models \delta t \Longrightarrow \tau \models \upsilon x \Longrightarrow \tau \models (s \doteq t) \Longrightarrow ?thesis
```

```
apply(rule-tac\ P = \lambda s.\ (s->including(x)) in StrictRefEq_{Set}-L-subst1)
     apply(rule cp)
    apply(simp add: foundation20) apply(simp add: foundation20)
   apply (simp add: foundation10 foundation6)+
 done
ged
lemma OclIncluding-cong : \bigwedge(s::(\mathfrak{A}, 'a::null)Set) t \times y \tau. \tau \models \delta t \Longrightarrow \tau \models v y \Longrightarrow
                      \tau \models s \doteq t \Longrightarrow x = y \Longrightarrow \tau \models s -> including(x) \doteq (t -> including(y))
apply(simp only:)
apply(rule OclIncluding-cong', simp-all only:)
by(auto simp: OclValid-def OclIf-def invalid-def bot-option-def OclNot-def split: split-if-asm)
lemma const-StrictRefEq<sub>Set</sub>-empty : const X \Longrightarrow const (X \doteq Set\{\})
apply(rule\ StrictRefEq_{Set}.const,\ assumption)
\mathbf{by}(simp)
lemma const-StrictRefEq_{Set}-including:
const \ a \Longrightarrow const \ S \Longrightarrow const \ X \Longrightarrow const \ (X \doteq S -> including(a))
apply(rule\ StrictRefEq_{Set}.const,\ assumption)
by(rule const-OclIncluding)
Test Statements
Assert (\tau \models (Set\{\lambda -. \lfloor \lfloor x \rfloor \rfloor) \doteq Set\{\lambda -. \lfloor \lfloor x \rfloor \rfloor\}))
Assert (\tau \models (Set\{\lambda -. |x|\} \doteq Set\{\lambda -. |x|\}))
end
theory UML-Sequence
imports ../basic-types/UML-Boolean
      ../basic-types/UML-Integer
begin
B.2.9. Collection Type Sequence: Operations
Constants: mtSequence
definition mtSequence ::({}'\mathfrak{A},{}'\alpha::null) Sequence (Sequence\{\})
          Sequence\{\} \equiv (\lambda \tau. Abs\text{-Sequence}_{base} | | [] :: '\alpha list | | )
declare mtSequence-def [code-unfold]
lemma mtSequence-defined[simp,code-unfold]: \delta(Sequence{}) = true
apply(rule ext, auto simp: mtSequence-def defined-def null-Sequence<sub>base</sub>-def
```

```
bot-Sequence<sub>base</sub>-def bot-fun-def null-fun-def)

by(simp-all add: Abs-Sequence<sub>base</sub>-inject bot-option-def null-option-def)

lemma mtSequence-valid[simp,code-unfold]:υ(Sequence{}) = true
apply(rule ext,auto simp: mtSequence-def valid-def null-Sequence<sub>base</sub>-def
bot-Sequence<sub>base</sub>-def bot-fun-def null-fun-def)

by(simp-all add: Abs-Sequence<sub>base</sub>-inject bot-option-def null-option-def)

lemma mtSequence-rep-set: [[Rep-Sequence<sub>base</sub> (Sequence{} τ)]] = []
apply(simp add: mtSequence-def, subst Abs-Sequence<sub>base</sub>-inverse)
by(simp add: bot-option-def)+

lemma [simp,code-unfold]: const Sequence{}
by(simp add: const-def mtSequence-def)
```

Note that the collection types in OCL allow for null to be included; however, there is the null-collection into which inclusion yields invalid.

**lemmas** *cp-intro* "Sequence [intro!, simp, code-unfold] = cp-intro'

**Properties of Sequence Type:** Every element in a defined sequence is valid.

```
lemma Sequence-inv-lemma: \tau \models (\delta X) \Longrightarrow \forall x \in set \lceil \lceil Rep\text{-Sequence}_{base} \ (X \ \tau) \rceil \rceil. x \neq bot apply (insert Rep-Sequence_{base} [of X \ \tau], simp) apply (auto simp: OclValid-def defined-def false-def true-def cp-def bot-fun-def bot-Sequence_{base}-def null-Sequence_{base}-def null-fun-def split:split-if-asm) apply (erule contrapos-pp [of Rep-Sequence_{base} (X \ \tau) = bot]) apply (subst Abs-Sequence_{base}-inject[symmetric], rule Rep-Sequence_{base}, simp) apply (simp add: Rep-Sequence_{base}-inverse bot-Sequence_{base}-def bot-option-def) apply (subst Abs-Sequence_{base}-inject[symmetric], rule Rep-Sequence_{base}, simp) apply (subst Abs-Sequence_{base}-inject[symmetric], rule Rep-Sequence_{base}, simp) apply (simp add: Rep-Sequence_{base}-inverse null-option-def) by (simp add: bot-option-def)
```

## **Strict Equality**

**Definition** After the part of foundational operations on sets, we detail here equality on sets. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

```
defs StrictRefEq<sub>Sequence</sub> [code-unfold]: ((x::(^{t}\mathfrak{A},^{t}\alpha::null)Sequence) \doteq y) \equiv (\lambda \ \tau. \ if \ (\mathfrak{v} \ x) \ \tau = true \ \tau \wedge (\mathfrak{v} \ y) \ \tau = true \ \tau \\ then \ (x \triangleq y)\tau \\ else \ invalid \ \tau)
```

Property proof in terms of *profile-bin3* 

```
interpretation StrictRefEq<sub>Sequence</sub>: profile-bin3 \lambda x y. (x::({}^{t}\mathfrak{A}, {}^{\prime}\alpha::null)Sequence) \doteq y by unfold-locales (auto simp: StrictRefEq<sub>Sequence</sub>)
```

## **Standard Operations**

**Definition: subSequence** 

```
Definition: including definition OclIncluding :: [('\mathfrak{A}, '\alpha :: null) \ Sequence, ('\mathfrak{A}, '\alpha) \ val] \Rightarrow ('\mathfrak{A}, '\alpha) \ Sequence
where OclIncluding x y = (\lambda \tau) if (\delta x) \tau = true \tau \wedge (\upsilon y) \tau = true \tau
                              then Abs-Sequence<sub>base</sub> [\lceil [Rep\text{-Sequence}_{base}(x \tau)] \rceil @ [y \tau] \rfloor]
                              else invalid \tau)
notation OclIncluding (-->including_{Seq}'(-'))
interpretation OclIncluding:
            profile-bin2 OclIncluding \lambda x y. Abs-Sequence<sub>base</sub> || \lceil \lceil Rep\text{-Sequence}_{base} x \rceil \rceil \otimes \lceil y \rceil ||
proof -
have A: \land x \ y. \ x \neq bot \Longrightarrow x \neq null \Longrightarrow y \neq bot \Longrightarrow
         ||\lceil [Rep\text{-}Sequence_{base} \ x \rceil] \otimes [y]|| \in \{X.\ X = bot \lor X = null \lor (\forall x \in set \lceil [X]].\ x \neq bot)\}
        by(auto intro!:Sequence-inv-lemma|simplified OclValid-def
                     defined-def false-def true-def null-fun-def bot-fun-def])
       show profile-bin2 OclIncluding (\lambda x y. Abs-Sequence<sub>base</sub> | | [ [Rep-Sequence<sub>base</sub> x] ] @ [y] | | )
       apply unfold-locales
        apply(auto simp:OclIncluding-def bot-option-def null-option-def null-Sequence<sub>base</sub>-def bot-Sequence<sub>base</sub>-def)
      \mathbf{apply}(erule\text{-}tac\ Q = Abs\text{-}Sequence_{base}\ \lfloor \lfloor \lceil \lceil Rep\text{-}Sequence_{base}\ x \rceil \rceil \otimes \lceil y \rfloor \rfloor \rfloor = Abs\text{-}Sequence_{base}\ None\ \mathbf{in}\ contrapos\text{-}pp)
        apply(subst Abs-Sequence_{base}-inject [OF A])
           apply(simp-all add: null-Sequence<sub>base</sub>-def bot-Sequence<sub>base</sub>-def bot-option-def)
      \mathbf{apply}(erule\text{-}tac\ Q = Abs\text{-}Sequence_{base} \ \lfloor \lceil \lceil Rep\text{-}Sequence_{base}\ x \rceil \rceil \ @ \ [y] \rfloor \rfloor = Abs\text{-}Sequence_{base}\ \lfloor None \rfloor \ \mathbf{in}\ contrapos\text{-}pp)
       apply(subst Abs-Sequence_{base}-inject[OF A])
          apply(simp-all add: null-Sequence<sub>base</sub>-def bot-Sequence<sub>base</sub>-def bot-option-def null-option-def)
       done
qed
syntax
  -OclFinsequence :: args = (\mathfrak{A}, 'a::null) Sequence (Sequence\{(-)\})
translations
 Sequence\{x, xs\} == CONST\ OclIncluding\ (Sequence\{xs\})\ x
 Sequence\{x\} = CONST\ OclIncluding\ (Sequence\{\})\ x
 typ int
 typ num
Definition: excluding
Definition: union
Definition: append identical to including
Definition: prepend
```

```
Definition: at

Definition: first

Definition: asSet instantiation Sequence_{base} :: (equal)equal
begin
definition HOL.equal \ k \ l \longleftrightarrow (k::('a::equal)Sequence_{base}) = l
instance by default (rule \ equal-Sequence_{base}-def)
end

lemma equal-Sequence_{base}-code [code]:
HOL.equal \ k \ (l::('a::{equal,null})Sequence_{base}) \longleftrightarrow Rep-Sequence_{base} \ k = Rep-Sequence_{base} \ l
by (auto \ simp \ add: \ equal \ Sequence_{base}.Rep-Sequence_{base}-inject)

Test Statements

Assert. (\tau \vDash (Sequence)) \doteq Sequence(s))
```

```
Assert (\tau \models (Sequence\{\} \doteq Sequence\{\}))

Assert \tau \models (Sequence\{1,invalid,2\} \triangleq invalid)
```

end

```
theory UML-Library
imports

basic-types/UML-Boolean
basic-types/UML-Void
basic-types/UML-Integer
basic-types/UML-Real
basic-types/UML-String

collection-types/UML-Pair
collection-types/UML-Set
collection-types/UML-Sequence
begin
```

#### **B.2.10. Miscellaneous Stuff**

## **Properties on Collection Types: Strict Equality**

The structure of this chapter roughly follows the structure of Chapter 10 of the OCL standard [28], which introduces the OCL Library.

## **MOVE TEXT: Collection Types**

For the semantic construction of the collection types, we have two goals:

- 1. we want the types to be *fully abstract*, i. e., the type should not contain junk-elements that are not representable by OCL expressions, and
- 2. we want a possibility to nest collection types (so, we want the potential to talking about Set(Set(Sequences(Pairs(X,Y))))

The former principle rules out the option to define ' $\alpha$  Set just by (' $\mathfrak{A}$ , (' $\alpha$  option option) set) val. This would allow sets to contain junk elements such as  $\{\bot\}$  which we need to identify with undefinedness itself. Abandoning fully abstractness of rules would later on produce all sorts of problems when quantifying over the elements of a type. However, if we build an own type, then it must conform to our abstract interface in order to have nested types: arguments of type-constructors must conform to our abstract interface, and the result type too.

```
lemmas cp-intro" [intro!,simp,code-unfold] =
    cp-intro'
    cp-intro"<sub>Set</sub>
    cp-intro"<sub>Sequence</sub>
```

#### **MOVE TEXT: Test Statements**

```
lemma syntax-test: Set\{2,1\} = (Set\{\}->including(1)->including(2)) by (rule refl)
```

Here is an example of a nested collection. Note that we have to use the abstract null (since we did not (yet) define a concrete constant *null* for the non-existing Sets):

```
lemma semantic-test2:

assumes H:(Set\{2\} \doteq null) = (false::(^{\circ}\mathfrak{A})Boolean)

shows (\tau::(^{\circ}\mathfrak{A})st) \models (Set\{Set\{2\},null\}->includes(null))

by (simp\ add:\ OclIncludes-execute_{Set}\ H)

lemma short-cut'[simp,code-unfold]: (\mathbf{8} \doteq \mathbf{6}) = false

apply (rule\ ext)

apply (simp\ add:\ StrictRefEq_{Integer}\ StrongEq-def\ OclInt8-def\ OclInt6-def

true-def false-def invalid-def bot-option-def)

done
```

```
lemma short-cut''[simp,code-unfold]: (2 = 1) = false
apply(rule ext)
apply(simp add: StrictRefEq<sub>Integer</sub> StrongEq-def OclInt2-def OclInt1-def
              true-def false-def invalid-def bot-option-def)
done
lemma short-cut'''[simp,code-unfold]: (1 \doteq 2) = false
apply(rule ext)
apply(simp add: StrictRefEq<sub>Integer</sub> StrongEq-def OclInt2-def OclInt1-def
              true-def false-def invalid-def bot-option-def)
done
   Elementary computations on Sets.
declare OclSelect-body-def [simp]
Assert \neg (\tau \models \upsilon(invalid::('\mathfrak{A}, '\alpha::null) Set))
Assert \tau \models \upsilon(null::('\mathfrak{A}, '\alpha::null) Set)
Assert \neg (\tau \models \delta(null::('\mathfrak{A},'\alpha::null) Set))
Assert \tau \models \upsilon(Set\{\})
Assert \tau \models \upsilon(Set\{Set\{2\},null\})
Assert \tau \models \delta(Set\{Set\{2\},null\})
Assert \tau \models (Set\{2,1\} -> includes(1))
Assert \neg (\tau \models (Set\{2\} - > includes(1)))
Assert \neg (\tau \models (Set\{2,1\} - > includes(null)))
Assert \tau \models (Set\{2,null\} -> includes(null))
Assert \tau \models (Set\{null, 2\} - > includes(null))
Assert \tau \models ((Set\{\}) - > forAll(z \mid \mathbf{0} <_{int} z))
Assert \tau \models ((Set\{2,1\}) - > forAll(z \mid 0 <_{int} z))
Assert \tau \models (\mathbf{0} <_{int} \mathbf{2}) \text{ and } (\mathbf{0} <_{int} \mathbf{1})
Assert \neg (\tau \models ((Set\{2,1\}) -> exists(z \mid z <_{int} 0)))
Assert \neg (\tau \models (\delta(Set\{2,null\}) - > forAll(z \mid \mathbf{0} <_{int} z)))
Assert \neg (\tau \models ((Set\{2,null\}) - > forAll(z \mid \mathbf{0} <_{int} z)))
Assert \tau \models ((Set\{2,null\}) -> exists(z \mid \mathbf{0} <_{int} z))
Assert \neg (\tau \models (Set\{null::'a Boolean\} \doteq Set\{\}))
Assert \neg (\tau \models (Set\{null::'a\ Integer\} \doteq Set\{\}))
Assert \neg (\tau \models (Set\{true\} \doteq Set\{false\}))
Assert \neg (\tau \models (Set\{true,true\} \doteq Set\{false\}))
Assert \neg (\tau \models (Set\{2\} \stackrel{.}{=} Set\{1\}))
Assert \tau \models (Set\{2,null,2\} \doteq Set\{null,2\})
Assert \tau \models (Set\{1,null,2\} <> Set\{null,2\})
Assert \tau \models (Set\{Set\{2,null\}\}) \doteq Set\{Set\{null,2\}\})
Assert \tau \models (Set\{Set\{2,null\}\}\} <> Set\{Set\{null,2\},null\})
Assert \tau \models (Set\{null\} -> select(x \mid not x) \doteq Set\{null\})
Assert \tau \models (Set\{null\} - > reject(x \mid not x) \doteq Set\{null\})
```

```
lemma const (Set{Set{2,null}, invalid}) by(simp add: const-ss)
```

end

## B.3. Formalization III: UML/OCL constructs: State Operations and Objects

```
theory UML-State imports UML-Library begin no-notation None \ (\bot)
```

## **B.3.1. Introduction: States over Typed Object Universes**

In the following, we will refine the concepts of a user-defined data-model (implied by a class-diagram) as well as the notion of state used in the previous section to much more detail. Surprisingly, even without a concrete notion of an objects and a universe of object representation, the generic infrastructure of state-related operations is fairly rich.

## **Fundamental Properties on Objects: Core Referential Equality**

**Definition** Generic referential equality - to be used for instantiations with concrete object types ...

```
definition StrictRefEq_{Object}:: ('\mathfrak{A}, 'a::\{object, null\})val \Rightarrow ('\mathfrak{A}, 'a)val \Rightarrow ('\mathfrak{A})Boolean where StrictRefEq_{Object} x y \equiv \lambda \ \tau. \ if \ (v \ x) \ \tau = true \ \tau \wedge (v \ y) \ \tau = true \ \tau then \ if \ x \ \tau = null \ \lor y \ \tau = null then \ \lfloor \lfloor x \ \tau = null \ \land y \ \tau = null \rfloor \rfloor else \ \lfloor \lfloor (oid-of \ (x \ \tau)) = (oid-of \ (y \ \tau)) \ \rfloor \rfloor else \ invalid \ \tau
```

```
Strictness and context passing lemma StrictRefEq_{Object}-strict1[simp,code-unfold]:
```

```
 \begin{aligned} \mathbf{by}(\textit{rule ext}, \textit{simp add}: \textit{StrictRefEq}_{Object}\text{-}\textit{def true-def false-def}) \\ \mathbf{lemma} & \textit{StrictRefEq}_{Object}\text{-}\textit{strict2}[\textit{simp}, code-unfold}]: \\ & (\textit{StrictRefEq}_{Object} & \textit{invalid} & x) = \textit{invalid} \\ & \mathbf{by}(\textit{rule ext}, \textit{simp add}: & \textit{StrictRefEq}_{Object}\text{-}\textit{def true-def false-def}) \\ \\ \mathbf{lemma} & \textit{cp-StrictRefEq}_{Object}: \end{aligned}
```

 $(StrictRefEq_{Object} \ x \ y \ \tau) = (StrictRefEq_{Object} \ (\lambda -. \ x \ \tau) \ (\lambda -. \ y \ \tau)) \ \tau$ 

**by**(auto simp: StrictRefEq<sub>Object</sub>-def cp-valid[symmetric])

 $(StrictRefEq_{Object} \ x \ invalid) = invalid$ 

```
 \begin{aligned} \textbf{lemmas} & \ cp0\text{-}\textit{StrictRefEq_{Object}} = cp\text{-}\textit{StrictRefEq_{Object}}[THEN \ allI[THEN \ allI[THEN \ allI[THEN \ allI[THEN \ cp12]]], \\ & \ of \ StrictRefEq_{Object}]] \end{aligned} 
 \begin{aligned} \textbf{lemmas} & \ cp\text{-}\textit{intro}''[\textit{intro}!, simp, code\text{-}\textit{unfold}] = \\ & \ cp\text{-}\textit{intro}'' \\ & \ cp\text{-}\textit{StrictRefEq_{Object}}[THEN \ allI[THEN \ allI[THEN \ allI[THEN \ cp12]]], \\ & \ of \ StrictRefEq_{Object}]] \end{aligned}
```

## Logic and Algebraic Layer on Object

Validity and Definedness Properties We derive the usual laws on definedness for (generic) object equality:

```
lemma StrictRefEq<sub>Object</sub>-defargs:
\tau \models (StrictRefEq_{Object} \ x \ (y::(^{1}\mathfrak{A}, 'a::\{null, object\}) val)) \Longrightarrow (\tau \models (\upsilon \ x)) \land (\tau \models (\upsilon \ y))
by(simp add: StrictRefEq<sub>Object</sub>-def OclValid-def true-def invalid-def bot-option-def
      split: bool.split-asm HOL.split-if-asm)
lemma defined-StrictRefEq<sub>Object</sub>-I:
assumes val-x : \tau \models v x
 assumes val-x : \tau \models v y
shows \tau \models \delta (StrictRefEq<sub>Object</sub> x y)
 apply(insert assms, simp add: StrictRefEq<sub>Object</sub>-def OclValid-def)
by(subst cp-defined, simp add: true-def)
lemma StrictRefEq<sub>Object</sub>-def-homo:
\delta(StrictRefEq_{Object} \ x \ (y::(^{1}\mathfrak{A}, 'a::\{null, object\}) val)) = ((v \ x) \ and \ (v \ y))
sorry
Symmetry lemma StrictRefEq_{Object}-sym:
assumes x-val : \tau \models v x
shows \tau \models StrictRefEq_{Object} x x
by(simp add: StrictRefEq<sub>Object</sub>-def true-def OclValid-def
          x-val[simplified OclValid-def])
```

**Behavior vs StrongEq** It remains to clarify the role of the state invariant  $\operatorname{inv}_{\sigma}(\sigma)$  mentioned above that states the condition that there is a "one-to-one" correspondence between object representations and oid's:  $\forall oid \in \operatorname{dom} \sigma. oid = \operatorname{OidOf}^{\vdash} \sigma(oid)^{\vdash}$ . This condition is also mentioned in [28, Annex A] and goes back to Richters [30]; however, we state this condition as an invariant on states rather than a global axiom. It can, therefore, not be taken for granted that an oid makes sense both in pre- and post-states of OCL expressions.

We capture this invariant in the predicate WFF:

```
definition WFF :: ({}^t\mathfrak{A}::object)st \Rightarrow bool where WFF \tau = ((\forall x \in ran(heap(fst \tau)). \lceil heap(fst \tau) (oid-of x) \rceil = x) \land (\forall x \in ran(heap(snd \tau)). \lceil heap(snd \tau) (oid-of x) \rceil = x))
```

It turns out that WFF is a key-concept for linking strict referential equality to logical equality: in well-formed states (i.e. those states where the self (oid-of) field contains the pointer to which the object is associated to in the state), referential equality coincides with logical equality.

We turn now to the generic definition of referential equality on objects: Equality on objects in a state is reduced to equality on the references to these objects. As in HOL-OCL [5, 7], we will store the reference of an object inside the object in a (ghost) field. By establishing certain invariants ("consistent state"), it can be assured that there is a "one-to-one-correspondence" of objects to their references—and therefore the definition below behaves as we expect.

Generic Referential Equality enjoys the usual properties: (quasi) reflexivity, symmetry, transitivity, substitutivity for defined values. For type-technical reasons, for each concrete object type, the equality  $\doteq$  is defined by generic referential equality.

```
theorem StrictRefEq<sub>Object</sub>-vs-StrongEq:
assumes WFF: WFF \tau
and valid-x: \tau \models (\upsilon x)
and valid-y: \tau \models (\upsilon y)
and x-present-pre: x \tau \in ran (heap(fst \tau))
and y-present-pre: y \tau \in ran (heap(fst \tau))
and x-present-post:x \tau \in ran (heap(snd \tau))
and y-present-post:y \tau \in ran (heap(snd \tau))
shows (\tau \models (StrictRefEq_{Object} \ x \ y)) = (\tau \models (x \triangleq y))
apply(insert WFF valid-x valid-y x-present-pre y-present-pre x-present-post y-present-post)
apply(auto simp: StrictRefEq<sub>Object</sub>-def OclValid-def WFF-def StrongEq-def true-def Ball-def)
apply(erule-tac x=x \tau in all E', simp-all)
done
theorem StrictRefEq<sub>Object</sub>-vs-StrongEq':
assumes WFF: WFF \tau
and valid-x: \tau \models (\upsilon (x :: ('\mathfrak{A}::object, '\alpha :: \{null, object\}) \lor al))
and valid-y: \tau \models (\upsilon y)
and oid-preserve: \bigwedge x. \ x \in ran \ (heap(fst \ \tau)) \lor x \in ran \ (heap(snd \ \tau)) \Longrightarrow
                   Hx \neq \bot \Longrightarrow oid\text{-}of(Hx) = oid\text{-}ofx
and xy-together: x \tau \in H 'ran (heap(fst \tau)) \land y \tau \in H 'ran (heap(fst \tau)) \lor
              x \tau \in H 'ran (heap(snd \tau)) \land y \tau \in H 'ran (heap(snd \tau))
shows (\tau \models (StrictRefEq_{Object} \ x \ y)) = (\tau \models (x \triangleq y))
 apply(insert WFF valid-x valid-y xy-together)
apply(simp add: WFF-def)
\mathbf{apply}(\textit{auto simp: StrictRefEq}_{Object}\text{-}\textit{def OclValid-def WFF-def StrongEq-def true-def Ball-def})
by (metis foundation 18' oid-preserve valid-x valid-y)+
```

So, if two object descriptions live in the same state (both pre or post), the referential equality on objects implies in a WFF state the logical equality.

## **B.3.2.** Operations on Object

## Initial States (for testing and code generation)

```
definition \tau_0 :: (\mathfrak{A})st

where \tau_0 \equiv (\{|heap=Map.empty, assocs = Map.empty\}\}, (|heap=Map.empty, assocs = Map.empty\})
```

#### **OcIAIIInstances**

To denote OCL types occurring in OCL expressions syntactically—as, for example, as "argument" of oclAllInstances (we use the inverses of the injection functions into the object universes; we show that this is a sufficient "characterization."

```
definition OclAllInstances-generic :: (('\mathfrak{A}::object)\ st \Rightarrow '\mathfrak{A}\ state) \Rightarrow ('\mathfrak{A}::object \rightarrow '\alpha) \Rightarrow
                             ('\mathfrak{A}, '\alpha option option) Set
where OclAllInstances-generic fst-snd H =
              (\lambda \tau. Abs\text{-}Set_{base} \mid \mid Some '((H 'ran (heap (fst\text{-}snd \tau))) - \{ None \}) \mid \mid)
lemma OclAllInstances-generic-defined: \tau \models \delta (OclAllInstances-generic pre-post H)
apply(simp add: defined-def OclValid-def OclAllInstances-generic-def false-def true-def
            bot-fun-def bot-Set<sub>base</sub>-def null-fun-def null-Set<sub>base</sub>-def)
 apply(rule conjI)
 apply(rule notI, subst (asm) Abs-Set<sub>base</sub>-inject, simp,
     (rule\ disj12)+,
     metis bot-option-def option.distinct(1),
     (simp\ add:\ bot\ -option\ -def\ null\ -option\ -def)+)+
done
lemma OclAllInstances-generic-init-empty:
assumes [simp]: \bigwedge x. pre-post (x, x) = x
shows \tau_0 \models OclAllInstances-generic pre-post H \triangleq Set\{\}
by(simp add: StrongEq-def OclAllInstances-generic-def OclValid-def \tau_0-def mtSet-def)
lemma represented-generic-objects-nonnull:
assumes A: \tau \models ((OclAllInstances-generic pre-post (H::('\mathbb{\pi}::object \rightharpoonup'\alpha))) ->includes(x))
           \tau \models not(x \triangleq null)
proof -
  have B: \tau \models \delta (OclAllInstances-generic pre-post H)
      by(insert A[THEN foundation6,
                simplified OclIncludes-defined-args-valid], auto)
  have C: \tau \models \upsilon x
      by(insert A[THEN foundation6,
                simplified OclIncludes-defined-args-valid, auto)
  show ?thesis
   apply(insert A)
   apply(simp add: StrongEq-def OclValid-def
              OclNot-def null-def true-def OclIncludes-def B[simplified OclValid-def]
                                                C[simplified OclValid-def])
```

```
apply(simp add:OclAllInstances-generic-def)
  apply(erule contrapos-pn)
  apply(subst\ Set_{base}.Abs-Set_{base}-inverse,
      auto simp: null-fun-def null-option-def bot-option-def)
  done
ged
lemma represented-generic-objects-defined:
assumes A: \tau \models ((OclAllInstances-generic pre-post (H::('\mathbb{\pi}::object \rightharpoonup'\alpha))) ->includes(x))
          \tau \models \delta \ (OclAllInstances-generic\ pre-post\ H) \land \tau \models \delta \ x
apply(insert A[THEN foundation6,
          simplified OclIncludes-defined-args-valid])
apply(simp add: foundation16 foundation18 invalid-def, erule conjE)
apply(insert A[THEN represented-generic-objects-nonnull])
by(simp add: foundation24 null-fun-def)
   One way to establish the actual presence of an object representation in a state is:
lemma represented-generic-objects-in-state:
assumes A: \tau \models (OclAllInstances-generic\ pre-post\ H) -> includes(x)
        x \tau \in (Some \ o \ H) 'ran (heap(pre-post \ \tau))
shows
proof -
  have B: (\delta (OclAllInstances-generic pre-post H)) \tau = true \tau
       by(simp add: OclValid-def[symmetric] OclAllInstances-generic-defined)
  have C: (v x) \tau = true \tau
       by(insert A[THEN] foundation6,
                  simplified OclIncludes-defined-args-valid,
           auto simp: OclValid-def)
  have F: Rep-Set_{base} (Abs-Set_{base} | | Some '(H 'ran (heap (pre-post <math>\tau)) - \{None\})| |) =
        \lfloor \lfloor Some \cdot (H \cdot ran (heap (pre-post \tau)) - \{None\}) \rfloor \rfloor
       \mathbf{by}(subst\ Set_{base}.Abs-Set_{base}-inverse,simp-all\ add:\ bot-option-def)
  show ?thesis
  apply(insert A)
  apply(simp add: OclIncludes-def OclValid-def ran-def B C image-def true-def)
  apply(simp add: OclAllInstances-generic-def)
  apply(simp \ add: F)
  apply(simp add: ran-def)
  by(fastforce)
ged
lemma state-update-vs-allInstances-generic-empty:
assumes [simp]: \bigwedge a. pre-post (mk a) = a
shows (mk (heap=empty, assocs=A)) \models OclAllInstances-generic pre-post Type <math>\doteq Set \{ \}
proof -
have state-update-vs-allInstances-empty:
 (OclAllInstances-generic\ pre-post\ Type)\ (mk\ (heap=empty,\ assocs=A)) =
  Set\{\} (mk (heap=empty, assocs=A))
```

Here comes a couple of operational rules that allow to infer the value of oclAllInstances from the context  $\tau$ . These rules are a special-case in the sense that they are the only rules that relate statements with *different*  $\tau$ 's. For that reason, new concepts like "constant contexts P" are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

```
lemma state-update-vs-allInstances-generic-including':
assumes [simp]: \bigwedge a. pre-post (mk a) = a
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
  and Type Object \neq None
 shows (OclAllInstances-generic pre-post Type)
      (mk (|heap=\sigma'(oid \mapsto Object), assocs=A))
      ((OclAllInstances-generic\ pre-post\ Type) -> including(\lambda -. \mid |\ drop\ (Type\ Object)\ \mid |))
      (mk (|heap=\sigma',assocs=A|))
proof -
have drop-none: \bigwedge x. \ x \neq None \Longrightarrow |\lceil x \rceil| = x
by(case-tac x, simp+)
have insert-diff: \bigwedge x \ S. insert |x| \ (S - \{None\}) = (insert \ |x| \ S) - \{None\}
 by (metis insert-Diff-if option.distinct(1) singletonE)
show ?thesis
 apply(simp add: UML-Set.OclIncluding-def OclAllInstances-generic-defined[simplified OclValid-def],
     simp add: OclAllInstances-generic-def)
 apply(subst Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def, simp add: comp-def,
     subst image-insert[symmetric],
     subst drop-none, simp add: assms)
 apply(case-tac Type Object, simp add: assms, simp only:,
     subst insert-diff, drule sym, simp)
 apply(subgoal-tac ran (\sigma'(oid \mapsto Object)) = insert Object (ran \sigma'), simp)
 apply(case-tac \neg (\exists x. \sigma' oid = Some x))
  apply(rule ran-map-upd, simp)
 apply(simp, erule exE, frule assms, simp)
 apply(subgoal-tac Object \in ran \sigma') prefer 2
  apply(rule ranI, simp)
 by(subst insert-absorb, simp, metis fun-upd-apply)
```

qed

```
lemma state-update-vs-allInstances-generic-including:
assumes [simp]: \bigwedge a. pre-post (mk a) = a
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
  and Type Object \neq None
shows (OclAllInstances-generic pre-post Type)
      (mk \ (heap = \sigma'(oid \mapsto Object), assocs = A))
      ((\lambda -. (OclAllInstances-generic pre-post Type))
            (mk (heap = \sigma', assocs = A))) - > including(\lambda -. || drop (Type Object) ||))
      (mk (|heap=\sigma'(oid \mapsto Object), assocs=A))
 apply(subst state-update-vs-allInstances-generic-including', (simp add: assms)+,
     subst cp-OclIncluding,
     simp add: UML-Set.OclIncluding-def)
 apply(subst (1 3) cp-defined[symmetric],
     simp add: OclAllInstances-generic-defined[simplified OclValid-def])
 apply(simp add: defined-def OclValid-def OclAllInstances-generic-def invalid-def
            bot-fun-def null-fun-def bot-Set<sub>base</sub>-def null-Set<sub>base</sub>-def)
apply(subst (1 3) Abs-Set<sub>base</sub>-inject)
by(simp add: bot-option-def null-option-def)+
lemma state-update-vs-allInstances-generic-noincluding':
assumes [simp]: \bigwedge a. pre-post (mk a) = a
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
  and Type\ Object = None
 shows (OclAllInstances-generic pre-post Type)
      (mk (heap = \sigma'(oid \mapsto Object), assocs = A))
      (OclAllInstances-generic pre-post Type)
      (mk (|heap=\sigma', assocs=A|))
proof -
have drop-none: \bigwedge x. \ x \neq None \Longrightarrow |\lceil x \rceil| = x
\mathbf{by}(case\text{-}tac\ x, simp+)
have insert-diff: \land x \ S. insert \lfloor x \rfloor \ (S - \{None\}) = (insert \ |x| \ S) - \{None\}
 by (metis insert-Diff-if option.distinct(1) singletonE)
 show ?thesis
 apply(simp add: OclIncluding-def OclAllInstances-generic-defined[simplified OclValid-def]
            OclAllInstances-generic-def)
 apply(subgoal-tac ran (\sigma'(oid \mapsto Object)) = insert Object (ran \sigma'), simp add: assms)
 apply(case-tac \neg (\exists x. \sigma' oid = Some x))
  apply(rule ran-map-upd, simp)
 apply(simp, erule exE, frule assms, simp)
```

```
apply(subgoal-tac Object ∈ ran \sigma') prefer 2
  apply(rule ranI, simp)
 apply(subst insert-absorb, simp)
 by (metis fun-upd-apply)
qed
theorem state-update-vs-allInstances-generic-ntc:
assumes [simp]: \bigwedge a. pre-post (mk a) = a
assumes oid-def: oid\notindom \sigma'
and non-type-conform: Type Object = None
                   cp P
and cp-ctxt:
and const-ctxt: \bigwedge X. const X \Longrightarrow const (P X)
shows (mk \ (heap = \sigma'(oid \mapsto Object), assocs = A)) \models P(OclAllInstances-generic pre-post Type)) =
     (mk (heap = \sigma', assocs = A))
                                              \models P(OclAllInstances-generic\ pre-post\ Type))
    (is (?\tau \models P ?\varphi) = (?\tau' \models P ?\varphi))
proof -
have P-cp: \bigwedge x \tau. P x \tau = P (\lambda - x \tau) \tau
         by (metis (full-types) cp-ctxt cp-def)
have A
           : const (P(\lambda - ... ? \varphi ? \tau))
         by(simp add: const-ctxt const-ss)
           (?\tau \models P ?\varphi) = (?\tau \models \lambda - P ?\varphi ?\tau)
have
         by(subst foundation23, rule refl)
 also have ... = (?\tau \models \lambda - P(\lambda - ?\varphi ?\tau) ?\tau)
         by(subst P-cp, rule refl)
 also have ... = (?\tau' \models \lambda - P(\lambda - ?\varphi ?\tau) ?\tau')
         apply(simp add: OclValid-def)
         by(subst A[simplified const-def], subst const-true[simplified const-def], simp)
 finally have X: (?\tau \models P ?\varphi) = (?\tau' \models \lambda - P (\lambda - ?\varphi ?\tau) ?\tau')
         by simp
show ?thesis
 apply(subst X) apply(subst foundation23[symmetric])
 apply(rule StrongEq-L-subst3[OF cp-ctxt])
 apply(simp add: OclValid-def StrongEq-def true-def)
apply(rule state-update-vs-allInstances-generic-noincluding')
by(insert oid-def, auto simp: non-type-conform)
qed
theorem state-update-vs-allInstances-generic-tc:
assumes [simp]: \bigwedge a. pre-post (mk a) = a
assumes oid-def: oid\notindom \sigma'
and type-conform: Type Object \neq None
and cp-ctxt:
                   cp P
and const-ctxt: \bigwedge X. const X \Longrightarrow const (PX)
shows (mk \ (heap = \sigma'(oid \mapsto Object), assocs = A)) \models P(OclAllInstances-generic pre-post Type)) =
     (mk (heap = \sigma', assocs = A))
                                              \models P((OclAllInstances-generic\ pre-post\ Type)
                                               ->including(\lambda -. | (Type Object)|)))
     (is (?\tau \models P ?\varphi) = (?\tau' \models P ?\varphi'))
proof -
```

```
have P-cp: \bigwedge x \tau. P x \tau = P (\lambda - x \tau) \tau
         by (metis (full-types) cp-ctxt cp-def)
have A
           : const (P (\lambda -. ?\varphi ?\tau))
         by(simp add: const-ctxt const-ss)
           (?\tau \models P ?\varphi) = (?\tau \models \lambda - P ?\varphi ?\tau)
have
         by(subst foundation23, rule refl)
 also have ... = (?\tau \models \lambda - P(\lambda - ?\varphi ?\tau) ?\tau)
         by(subst P-cp, rule refl)
 also have ... = (?\tau' \models \lambda - P(\lambda - ?\varphi?\tau) ?\tau')
         apply(simp add: OclValid-def)
         by(subst A[simplified const-def], subst const-true[simplified const-def], simp)
 finally have X: (?\tau \models P ?\varphi) = (?\tau' \models \lambda - P (\lambda - ?\varphi ?\tau) ?\tau')
         by simp
let
          ?allInstances = OclAllInstances-generic pre-post Type
 have
            ?allInstances ?\tau = \lambda-. ?allInstances ?\tau'->including(\lambda-.||[Type\ Object]||) ?\tau
         apply(rule state-update-vs-allInstances-generic-including)
         by(insert oid-def, auto simp: type-conform)
 also have ... = ((\lambda - .?allInstances ?\tau') - >including(\lambda - .(\lambda - .||[Type Object]||) ?\tau') ?\tau')
         by(subst const-OclIncluding[simplified const-def], simp+)
 also have ... = (?allInstances->including(\lambda -. |Type Object|) ?\tau')
         apply(subst cp-OclIncluding[symmetric])
         by(insert type-conform, auto)
 finally have Y: ?allInstances ?\tau = (?allInstances -> including(\lambda -. | Type Object |) ?\tau')
         by auto
show ?thesis
    apply(subst X) apply(subst foundation23[symmetric])
    apply(rule StrongEq-L-subst3[OF cp-ctxt])
    apply(simp add: OclValid-def StrongEq-def Y true-def)
done
qed
declare OclAllInstances-generic-def [simp]
OclAllInstances (@post) definition OclAllInstances-at-post :: ({}^{\prime}\mathfrak{A} :: object \rightarrow {}^{\prime}\alpha) \Rightarrow ({}^{\prime}\mathfrak{A}, {}^{\prime}\alpha \ option \ option) Set
                    (- .allInstances'('))
where OclAllInstances-at-post = OclAllInstances-generic snd
lemma OclAllInstances-at-post-defined: \tau \models \delta (H .allInstances())
unfolding OclAllInstances-at-post-def
by(rule OclAllInstances-generic-defined)
lemma \tau_0 \models H .allInstances() \triangleq Set\{\}
unfolding OclAllInstances-at-post-def
by(rule OclAllInstances-generic-init-empty, simp)
lemma represented-at-post-objects-nonnull:
assumes A: \tau \models ((H:('\mathfrak{A}::object \rightharpoonup '\alpha)).allInstances()) ->includes(x))
```

```
\tau \models not(x \triangleq null)
by(rule represented-generic-objects-nonnull[OF A[simplified OclAllInstances-at-post-def]])
lemma represented-at-post-objects-defined:
assumes A: \tau \models (((H::('\mathfrak{A}::object \rightharpoonup '\alpha)).allInstances()) ->includes(x))
          \tau \models \delta (H .allInstances()) \land \tau \models \delta x
unfolding OclAllInstances-at-post-def
by(rule represented-generic-objects-defined[OF A[simplified OclAllInstances-at-post-def]])
   One way to establish the actual presence of an object representation in a state is:
lemma
assumes A: \tau \models H .allInstances()->includes(x)
          x \tau \in (Some \ o \ H) ' ran \ (heap(snd \ \tau))
by(rule represented-generic-objects-in-state[OF A[simplified OclAllInstances-at-post-def]])
lemma state-update-vs-allInstances-at-post-empty:
shows (\sigma, (|heap=empty, assocs=A|)) \models Type .allInstances() \doteq Set{}
unfolding OclAllInstances-at-post-def
by(rule state-update-vs-allInstances-generic-empty[OF snd-conv])
```

Here comes a couple of operational rules that allow to infer the value of oclAllInstances from the context  $\tau$ . These rules are a special-case in the sense that they are the only rules that relate statements with *different*  $\tau$ 's. For that reason, new concepts like "constant contexts P" are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

```
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
  and Type Object \neq None
 shows (Type .allInstances())
       (\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A))
       ((Type \ .allInstances()) -> including(\lambda -. || drop (Type \ Object) ||))
       (\sigma, (heap = \sigma', assocs = A))
unfolding OclAllInstances-at-post-def
by(rule state-update-vs-allInstances-generic-including'[OF snd-conv], insert assms)
lemma state-update-vs-allInstances-at-post-including:
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
   and Type Object \neq None
shows (Type .allInstances())
      (\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A))
      ((\lambda -. (Type .allInstances()))
            (\sigma, (heap = \sigma', assocs = A))) - > including(\lambda -. || drop (Type Object) ||))
       (\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A))
```

**lemma** state-update-vs-allInstances-at-post-including':

```
lemma state-update-vs-allInstances-at-post-noincluding':
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
  and Type\ Object = None
 shows (Type .allInstances())
      (\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A))
      (Type .allInstances())
      (\sigma, (heap = \sigma', assocs = A))
unfolding OclAllInstances-at-post-def
by(rule state-update-vs-allInstances-generic-noincluding [OF snd-conv], insert assms)
theorem state-update-vs-allInstances-at-post-ntc:
assumes oid-def: oid\notindom \sigma'
and non-type-conform: Type Object = None
and cp-ctxt:
                  cp P
and const-ctxt: \bigwedge X. const X \Longrightarrow const (PX)
shows ((\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A)) \models (P(Type .allInstances()))) =
      ((\sigma, (heap = \sigma', assocs = A)))
                                               \models (P(Type .allInstances())))
unfolding OclAllInstances-at-post-def
by(rule state-update-vs-allInstances-generic-ntc[OF snd-conv], insert assms)
theorem state-update-vs-allInstances-at-post-tc:
assumes oid-def: oid\notindom \sigma'
and type-conform: Type Object \neq None
and cp-ctxt:
                  cp P
and const-ctxt: \bigwedge X. const X \Longrightarrow const (P X)
shows ((\sigma, (heap = \sigma'(oid \mapsto Object), assocs = A)) \models (P(Type .allInstances()))) =
                                               \models (P((Type .allInstances()))
      ((\sigma, (heap = \sigma', assocs = A))
                                            ->including(\lambda -. | (Type Object)|))))
unfolding OclAllInstances-at-post-def
by(rule state-update-vs-allInstances-generic-tc[OF snd-conv], insert assms)
OciAllInstances (@pre) definition OciAllInstances-at-pre :: ('\mathfrak{A} :: object \rightarrow '\alpha) \Rightarrow ('\mathfrak{A}, '\alpha \ option \ option) Set
                   (- .allInstances@pre'('))
where OclAllInstances-at-pre = OclAllInstances-generic fst
lemma OclAllInstances-at-pre-defined: \tau \models \delta (H .allInstances@pre())
unfolding OclAllInstances-at-pre-def
by(rule OclAllInstances-generic-defined)
lemma \tau_0 \models H .allInstances@pre() \triangleq Set\{\}
unfolding OclAllInstances-at-pre-def
by(rule OclAllInstances-generic-init-empty, simp)
```

unfolding OclAllInstances-at-post-def

**by**(rule state-update-vs-allInstances-generic-including[OF snd-conv], insert assms)

```
lemma represented-at-pre-objects-nonnull:
assumes A: \tau \models (((H::('\mathfrak{A}::object \rightharpoonup '\alpha)).allInstances@pre()) ->includes(x))
           \tau \models not(x \triangleq null)
shows
by(rule represented-generic-objects-nonnull[OF A[simplified OclAllInstances-at-pre-def]])
lemma represented-at-pre-objects-defined:
assumes A: \tau \models (((H::('\mathfrak{A}::object \rightharpoonup '\alpha)).allInstances@pre()) ->includes(x))
           \tau \models \delta \ (H \ .allInstances@pre()) \land \tau \models \delta \ x
unfolding OclAllInstances-at-pre-def
by(rule represented-generic-objects-defined[OF A[simplified OclAllInstances-at-pre-def]])
   One way to establish the actual presence of an object representation in a state is:
lemma
assumes A: \tau \models H .allInstances@pre()->includes(x)
shows x \tau \in (Some \ o \ H) ' ran (heap(fst \tau))
by(rule represented-generic-objects-in-state[OF A[simplified OclAllInstances-at-pre-def]])
lemma state-update-vs-allInstances-at-pre-empty:
shows ((|heap=empty, assocs=A|), \sigma) \models Type .allInstances@pre() \doteq Set{}
unfolding OclAllInstances-at-pre-def
\mathbf{by}(\textit{rule state-update-vs-allInstances-generic-empty}[OF \textit{fst-conv}])
```

Here comes a couple of operational rules that allow to infer the value of oclAllInstances@pre from the context  $\tau$ . These rules are a special-case in the sense that they are the only rules that relate statements with different  $\tau$ 's. For that reason, new concepts like "constant contexts P" are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

```
((\lambda -. (Type .allInstances@pre()))
            ((|heap=\sigma', assocs=A|), \sigma)) - > including(\lambda -. \lfloor \lfloor drop (Type Object) \rfloor \rfloor))
      ((|heap=\sigma'(oid\mapsto Object), assocs=A), \sigma)
unfolding OclAllInstances-at-pre-def
by(rule state-update-vs-allInstances-generic-including[OF fst-conv], insert assms)
lemma state-update-vs-allInstances-at-pre-noincluding':
assumes \bigwedge x. \sigma' oid = Some x \Longrightarrow x = Object
   and Type\ Object = None
 shows (Type .allInstances@pre())
      ((heap = \sigma'(oid \mapsto Object), assocs = A), \sigma)
      (Type .allInstances@pre())
      ((heap = \sigma', assocs = A), \sigma)
unfolding OclAllInstances-at-pre-def
by(rule state-update-vs-allInstances-generic-noincluding'[OF fst-conv], insert assms)
theorem state-update-vs-allInstances-at-pre-ntc:
assumes oid-def: oid\notindom \sigma'
and non-type-conform: Type Object = None
and cp-ctxt:
                  cp P
and const-ctxt: \bigwedge X. const X \Longrightarrow const (PX)
shows (((\|heap = \sigma'(oid \mapsto Object), assocs = A)), \sigma) \models (P(Type .allInstances@pre()))) =
      (((heap=\sigma', assocs=A), \sigma)
                                                \models (P(Type .allInstances@pre())))
unfolding OclAllInstances-at-pre-def
by(rule state-update-vs-allInstances-generic-ntc[OF fst-conv], insert assms)
theorem state-update-vs-allInstances-at-pre-tc:
assumes oid-def: oid\notindom \sigma'
and type-conform: Type Object \neq None
and cp-ctxt:
                  cp P
and const-ctxt: \bigwedge X. const X \Longrightarrow const (P X)
shows (((heap = \sigma'(oid \mapsto Object), assocs = A)), \sigma) \models (P(Type .allInstances@pre()))) =
      (((heap=\sigma', assocs=A), \sigma)
                                                \models (P((Type .allInstances@pre()))
                                             ->including(\lambda -. | (Type Object)|))))
unfolding OclAllInstances-at-pre-def
by(rule state-update-vs-allInstances-generic-tc[OF fst-conv], insert assms)
@post or @pre theorem StrictRefEq<sub>Object</sub>-vs-StrongEq'':
assumes WFF: WFF \tau
and valid-x: \tau \models (v \ (x :: ('\mathfrak{A}::object, '\alpha::object \ option \ option)val))
and valid-y: \tau \models (\upsilon y)
and oid-preserve: \bigwedge x. \ x \in ran \ (heap(fst \ \tau)) \lor x \in ran \ (heap(snd \ \tau)) \Longrightarrow
                 oid-of(Hx) = oid-ofx
and xy-together: \tau \models ((H . allInstances() -> includes(x) \ and \ H . allInstances() -> includes(y)) \ or
```

```
(H.allInstances@pre()->includes(x) and H.allInstances@pre()->includes(y)))
shows (\tau \models (StrictRefEq_{Object} \ x \ y)) = (\tau \models (x \triangleq y))
proof -
  have at-post-def: \bigwedge x. \tau \models v x \Longrightarrow \tau \models \delta (H .allInstances()->includes(x))
   apply(simp add: OclIncludes-def OclValid-def
              OclAllInstances-at-post-defined[simplified OclValid-def])
  by(subst cp-defined, simp)
  have at-pre-def: \land x. \ \tau \models v \ x \Longrightarrow \tau \models \delta \ (H .allInstances@pre()->includes(x))
  apply(simp add: OclIncludes-def OclValid-def
              OclAllInstances-at-pre-defined[simplified OclValid-def])
  by(subst cp-defined, simp)
  have F: Rep-Set<sub>base</sub> (Abs-Set<sub>base</sub> | | Some '(H 'ran (heap (fst \tau)) - {None})||) =
        ||Some '(H 'ran (heap (fst \tau)) - \{None\})||
       \mathbf{by}(subst\ Set_{base}.Abs-Set_{base}-inverse,simp-all\ add:\ bot-option-def)
  have F': Rep-Set<sub>base</sub> (Abs-Set<sub>base</sub> | | Some '(H' ran (heap (snd \tau)) - {None})||) =
        ||Some '(H 'ran (heap (snd \tau)) - \{None\})||
       \mathbf{by}(subst\ Set_{base}.Abs-Set_{base}-inverse,simp-all\ add:\ bot-option-def)
 show ?thesis
 apply(rule StrictRefEq_{Object}-vs-StrongEq'[OFWFF\ valid-x\ valid-y,\ where\ H=Some\ o\ H])
 apply(subst oid-preserve[symmetric], simp, simp add: oid-of-option-def)
 apply(insert xy-together,
     subst (asm) foundation11.
     metis at-post-def defined-and-I valid-x valid-y,
     metis at-pre-def defined-and-I valid-x valid-y)
 apply(erule disjE)
 by(drule foundation5,
  simp add: OclAllInstances-at-pre-def OclAllInstances-at-post-def
          OclValid-def OclIncludes-def true-def F F'
          valid-x[simplified OclValid-def] valid-y[simplified OclValid-def] bot-option-def
      split: split-if-asm,
  simp add: comp-def image-def , fastforce)+
qed
OcllsNew, OcllsDeleted, OcllsMaintained, OcllsAbsent
definition OcllsNew:: ('\mathfrak{A}, '\alpha::\{null,object\}) val \Rightarrow ('\mathfrak{A}) Boolean ((-).ocllsNew'('))
where X .oclIsNew() \equiv (\lambda \tau \cdot if (\delta X) \tau = true \tau
                     then || oid - of(X \tau) \notin dom(heap(fst \tau)) \wedge
                          oid\text{-}of\ (X\ \tau) \in dom(heap(snd\ \tau))
                     else invalid \tau)
   The following predicates — which are not part of the OCL standard descriptions — complete the goal of
oclIsNew by describing where an object belongs.
definition OclIsDeleted:: ('\alpha, '\alpha::\{null,object\})val \Rightarrow ('\alpha)Boolean ((-).oclIsDeleted'('))
where X .ocllsDeleted() \equiv (\lambda \tau \cdot if (\delta X) \tau = true \tau
                     then ||oid\text{-}of(X \tau)| \in dom(heap(fst \tau)) \wedge
                          oid\text{-}of(X \tau) \notin dom(heap(snd \tau)) | |
```

```
definition OclIsMaintained:: ('\mathfrak{A}, '\alpha::\{null, object\}) )val \Rightarrow ('\mathfrak{A})Boolean((-).oclIsMaintained'('))
where X .oclIsMaintained() \equiv (\lambda \tau . if (\delta X) \tau = true \tau
                         then || oid - of(X \tau) \in dom(heap(fst \tau)) \wedge |
                               oid-of (X \tau) \in dom(heap(snd \tau))
                         else invalid \tau)
definition OclIsAbsent:: ({}^{\prime}\mathfrak{A}, {}^{\prime}\alpha::{null,object})val \Rightarrow ({}^{\prime}\mathfrak{A})Boolean ((-).oclIsAbsent'({}^{\prime}))
where X . ocllsAbsent() \equiv (\lambda \tau . if (\delta X) \tau = true \tau
                         then || oid - of(X \tau) \notin dom(heap(fst \tau)) \wedge
                               oid-of (X \tau) \notin dom(heap(snd \tau))
                         else invalid \tau)
lemma state-split : \tau \models \delta X \Longrightarrow
                 \tau \models (X . oclIsNew()) \lor \tau \models (X . oclIsDeleted()) \lor
                  \tau \models (X . ocllsMaintained()) \lor \tau \models (X . ocllsAbsent())
by(simp add: OcllsDeleted-def OcllsNew-def OcllsMaintained-def OcllsAbsent-def
           OclValid-def true-def, blast)
lemma notNew-vs-others : \tau \models \delta X \Longrightarrow
                     (\neg \tau \models (X . oclIsNew())) = (\tau \models (X . oclIsDeleted()) \lor
                     \tau \models (X . ocllsMaintained()) \lor \tau \models (X . ocllsAbsent()))
by(simp add: OcllsDeleted-def OcllsNew-def OcllsMaintained-def OcllsAbsent-def
             OclNot-def OclValid-def true-def, blast)
```

else invalid  $\tau$ )

## OcllsModifiedOnly

**Definition** The following predicate—which is not part of the OCL standard—provides a simple, but powerful means to describe framing conditions. For any formal approach, be it animation of OCL contracts, test-case generation or die-hard theorem proving, the specification of the part of a system transition that *does not change* is of primordial importance. The following operator establishes the equality between old and new objects in the state (provided that they exist in both states), with the exception of those objects.

```
definition OclIsModifiedOnly :: ('\mathbb{A}:::object,'\alpha:::\{null,object\})Set \Rightarrow '\mathbb{A} Boolean (-->oclIsModifiedOnly'('))

where X->oclIsModifiedOnly() \equiv (\lambda(\sigma,\sigma').

let X' = (oid\text{-}of ` \lceil \text{Rep-Set}_{base}(X(\sigma,\sigma')) \rceil \rceil);

S = ((dom (heap \sigma) \cap dom (heap \sigma')) - X')

in if (\delta X) (\sigma,\sigma') = true (\sigma,\sigma') \wedge (\forall x \in \lceil \text{Rep-Set}_{base}(X(\sigma,\sigma')) \rceil \rceil . x \neq null)

then \lfloor \lfloor \forall x \in S. (heap \sigma) x = (heap \sigma') x \rfloor \rfloor

else invalid (\sigma,\sigma'))
```

**Execution with Invalid or Null or Null Element as Argument** lemma invalid -> oclIsModifiedOnly() = invalid by(simp add: OclIsModifiedOnly-def)

```
lemma null—>oclIsModifiedOnly() = invalid by(simp add: OclIsModifiedOnly-def)
```

#### lemma

```
assumes X-null : \tau \models X->includes(null)

shows \tau \models X->oclIsModifiedOnly() \triangleq invalid

apply(insert\ X-null,

simp\ add : OclIncludes-def\ OclIsModifiedOnly-def\ StrongEq-def\ OclValid-def\ true-def)

apply(simp\ split: split-if-asm)

by(simp\ add: null-fun-def\ , blast)
```

**Context Passing** lemma cp- $OclIsModifiedOnly: X->oclIsModifiedOnly() <math>\tau = (\lambda - X \tau) - >oclIsModifiedOnly() \tau$  by  $(simp\ only:\ OclIsModifiedOnly-def,\ case-tac\ \tau,\ simp\ only:\ subst\ cp$ - $defined,\ simp)$ 

#### **OclSelf**

The following predicate—which is not part of the OCL standard—explicitly retrieves in the pre or post state the original OCL expression given as argument.

```
 \begin{aligned} \textbf{definition} & [simp] : OclSelf \ x \ H \ fst\text{-}snd = (\lambda \tau \ . \ if \ (\delta \ x) \ \tau = true \ \tau \\ & then \ if \ oid\text{-}of \ (x \ \tau) \in dom(heap(fst \ \tau)) \land oid\text{-}of \ (x \ \tau) \in dom(heap \ (snd \ \tau)) \\ & then \ H \ \lceil (heap(fst\text{-}snd \ \tau))(oid\text{-}of \ (x \ \tau)) \rceil \\ & else \ invalid \ \tau \\ & else \ invalid \ \tau \end{aligned}   else \ invalid \ \tau   else \ invalid \ to \ else \ the \ else \ else \ the \ else \ the \ else \ else \ the \ else \ else \ the \ else \ else \ else \ else \ the \ else \ els
```

## **Framing Theorem**

```
lemma all-oid-diff: assumes def-X: \tau \models \delta X assumes def-X: \tau \models \delta X assumes def-X': \land x. x \in \lceil \lceil Rep-Set_{base}(X \tau) \rceil \rceil \Longrightarrow x \neq null defines P \equiv (\lambda a.\ not\ (StrictRefEq_{Object}\ x\ a)) shows (\tau \models X->forAll(a|P\ a)) = (oid\text{-}of\ (x\ \tau) \notin oid\text{-}of\ `\lceil \lceil Rep\text{-}Set_{base}\ (X\ \tau) \rceil \rceil) proof — have P-null-bot: \land b. b = null\ \lor b = \bot \Longrightarrow \neg\ (\exists x \in \lceil \lceil Rep\text{-}Set_{base}\ (X\ \tau) \rceil \rceil .\ P\ (\lambda(\text{-}:: 'a\ state\ \times 'a\ state).\ x)\ \tau = b\ \tau) apply(erule\ disjE) apply(simp, rule\ ballI,
```

```
simp add: P-def StrictRefEq_{Object}-def, rename-tac x',
       subst cp-OclNot, simp,
       subgoal-tac x \tau \neq null \land x' \neq null, simp,
       simp add: OclNot-def null-fun-def null-option-def bot-option-def bot-fun-def invalid-def,
       ( metis def-X' def-x foundation16[THEN iffD1]
       (metis bot-fun-def OclValid-def Set-inv-lemma def-X def-x defined-def valid-def.
         metis def-X' def-x foundation16[THEN iffD1])))+
done
have not-inj: \bigwedge x \ y. ((not \ x) \ \tau = (not \ y) \ \tau) = (x \ \tau = y \ \tau)
by (metis foundation21 foundation22)
have P-false : \exists x \in \lceil \lceil Rep - Set_{base}(X \tau) \rceil \rceil. P(\lambda - x) \tau = false \tau \Longrightarrow
             oid\text{-}of\ (x\ \tau) \in oid\text{-}of\ `\lceil\lceil Rep\text{-}Set_{base}\ (X\ \tau)\rceil\rceil
 apply(erule\ bexE,\ rename-tac\ x')
 apply(simp add: P-def)
 apply(simp only: OclNot3[symmetric], simp only: not-inj)
 apply(simp add: StrictRefEq<sub>Object</sub>-def split: split-if-asm)
  apply(subgoal-tac x \tau \neq null \land x' \neq null, simp)
  apply (metis (mono-tags) drop.simps def-x foundation16[THEN iffD1] true-def)
by(simp add: invalid-def bot-option-def true-def)+
have P-true : \forall x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil. P(\lambda - x) \tau = true \tau \Longrightarrow
            oid\text{-}of\ (x\ \tau) \notin oid\text{-}of\ `\lceil \lceil Rep\text{-}Set_{base}\ (X\ \tau) \rceil \rceil
 apply(subgoal-tac \forall x' \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil). oid-of x' \neq oid\text{-}of(x \tau))
 apply (metis imageE)
 apply(rule ballI, drule-tac x = x' in ballE) prefer 3 apply assumption
  apply(simp add: P-def)
  apply(simp only: OclNot4[symmetric], simp only: not-inj)
  apply(simp add: StrictRefEq<sub>Object</sub>-def false-def split: split-if-asm)
  apply(subgoal-tac x \tau \neq null \land x' \neq null, simp)
  apply (metis def-X' def-x foundation16[THEN iffD1])
by(simp add: invalid-def bot-option-def false-def)+
have bool-split: \forall x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil. P(\lambda - x) \tau \neq null \tau \Longrightarrow
                \forall x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil. P(\lambda - x) \tau \neq \perp \tau \Longrightarrow
               \forall x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil. P(\lambda - x) \tau \neq false \tau \Longrightarrow
               \forall x \in \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil. P(\lambda - x) \tau = true \tau
 apply(rule ballI)
 apply(drule-tac\ x = x\ in\ ball E)\ prefer\ 3\ apply\ assumption
  apply(drule-tac \ x = x \ in \ ball E) \ prefer 3 \ apply \ assumption
  apply(drule-tac\ x = x\ in\ ball E)\ prefer\ 3\ apply\ assumption
   apply (metis (full-types) bot-fun-def OclNot4 OclValid-def foundation16
                        foundation9 not-inj null-fun-def)
\mathbf{by}(fast+)
show ?thesis
```

```
apply(subst OclForall-rep-set-true[OF def-X], simp add: OclValid-def)
 apply(rule iffI, simp add: P-true)
 by (metis P-false P-null-bot bool-split)
qed
theorem framing:
    assumes modifies clause: \tau \models (X - > excluding(x)) - > oclIsModifiedOnly()
    and oid-is-typerepr: \tau \models X - > forAll(a| not (StrictRefEq_{Object} x a))
    shows \tau \models (x @pre P \triangleq (x @post P))
 apply(case-tac \ \tau \models \delta \ x)
 proof – show \tau \models \delta x \Longrightarrow ?thesis proof – assume def-x : \tau \models \delta x show ?thesis proof –
 have def - X : \tau \models \delta X
 apply(insert oid-is-typerepr, simp add: OclForall-def OclValid-def split: split-if-asm)
 by(simp add: bot-option-def true-def)
 have def-X': \land x. \ x \in \lceil \lceil Rep-Set_{base} \ (X \ \tau) \rceil \rceil \Longrightarrow x \neq null
 apply(insert modifiesclause, simp add: OclIsModifiedOnly-def OclValid-def split: split-if-asm)
 apply(case-tac \tau, simp split: split-if-asm)
  apply(simp add: OclExcluding-def split: split-if-asm)
  apply(subst (asm) (2) Abs-Set<sub>base</sub>-inverse)
   apply(simp, (rule disj12)+)
   apply (metis (hide-lams, mono-tags) Diff-iff Set-inv-lemma def-X)
  apply(simp)
  apply(erule ballE[where P = \lambda x. x \neq null]) apply(assumption)
  apply(simp)
  apply (metis (hide-lams, no-types) def-x foundation16[THEN iffD1])
  apply (metis (hide-lams, no-types) OclValid-def def-X def-x foundation20
                          OclExcluding-valid-args-valid OclExcluding-valid-args-valid"
 by(simp add: invalid-def bot-option-def)
 have oid-is-typerepr : oid-of (x \tau) \notin oid\text{-of} ' \lceil \lceil Rep\text{-}Set_{base}(X \tau) \rceil \rceil
 by(rule all-oid-diff[THEN iffD1, OF def-x def-X def-X' oid-is-typerepr])
 show ?thesis
 apply(simp add: StrongEq-def OclValid-def true-def OclSelf-at-pre-def OclSelf-at-post-def
            def-x[simplified OclValid-def])
 apply(rule conjI, rule impI)
  apply(rule-tac f = \lambda x. P[x] in arg-cong)
  apply(insert modifiesclause[simplified OclIsModifiedOnly-def OclValid-def])
  apply(case-tac \tau, rename-tac \sigma \sigma', simp split: split-if-asm)
  apply(subst (asm) (2) OclExcluding-def)
  apply(drule foundation5[simplified OclValid-def true-def], simp)
  apply(subst (asm) Abs-Set<sub>base</sub>-inverse, simp)
   apply(rule disjI2)+
   apply (metis (hide-lams, no-types) DiffD1 OclValid-def Set-inv-lemma def-x
                           foundation16 foundation18')
  apply(simp)
```

```
apply(erule-tac x = oid\text{-}of(x(\sigma, \sigma')) in ballE) apply simp+
  apply (metis (hide-lams, no-types)
           DiffD1 image-iff image-insert insert-Diff-single insert-absorb oid-is-typerepr)
  apply(simp add: invalid-def bot-option-def)+
 by blast
 ged ged
apply-end(simp add: OclSelf-at-post-def OclSelf-at-pre-def OclValid-def StrongEq-def true-def)+
   As corollary, the framing property can be expressed with only the strong equality as comparison operator.
theorem framing ':
 assumes wff: WFF \tau
 assumes modifiesclause:\tau \models (X -> excluding(x)) -> oclIsModifiedOnly()
 and oid-is-typerepr: \tau \models X - > forAll(a| not (x \triangleq a))
 and oid-preserve: \land x. \ x \in ran\ (heap(fst\ \tau)) \lor x \in ran\ (heap(snd\ \tau)) \Longrightarrow
                 oid-of(Hx) = oid-ofx
 and xy-together:
 \tau \models X - sorAll(y \mid (H.allInstances() - sincludes(x))  and H.allInstances() - sincludes(y))  or
              (H.allInstances@pre()->includes(x) \ and \ H.allInstances@pre()->includes(y)))
 shows \tau \models (x @pre P \triangleq (x @post P))
proof -
have def-X : \tau \models \delta X
 apply(insert oid-is-typerepr, simp add: OclForall-def OclValid-def split: split-if-asm)
 by(simp add: bot-option-def true-def)
 show ?thesis
 apply(case-tac \tau \models \delta x, drule foundation20)
  apply(rule framing[OF modifiesclause])
  apply(rule OclForall-cong'[OF - oid-is-typerepr xy-together], rename-tac y)
  apply(cut-tac Set-inv-lemma'[OF def-X]) prefer 2 apply assumption
  apply(rule OclNot-contrapos-nn, simp add: StrictRefEq<sub>Object</sub>-def)
   apply(simp add: OclValid-def, subst cp-defined, simp,
       assumption)
  apply(rule StrictRefEq<sub>Object</sub>-vs-StrongEq''[THEN iffD1, OF wff - oid-preserve], assumption+)
 by(simp add: OclSelf-at-post-def OclSelf-at-pre-def OclValid-def StrongEq-def true-def)+
qed
Miscellaneous
lemma pre-post-new: \tau \models (x . ocllsNew()) \Longrightarrow \neg (\tau \models \upsilon(x @pre H1)) \land \neg (\tau \models \upsilon(x @post H2))
bv(simp add: OclIsNew-def OclSelf-at-pre-def OclSelf-at-post-def
        OclValid-def StrongEq-def true-def false-def
        bot-option-def invalid-def bot-fun-def valid-def
    split: split-if-asm)
```

**lemma** pre-post-old:  $\tau \models (x . ocllsDeleted()) \Longrightarrow \neg (\tau \models v(x @pre H1)) \land \neg (\tau \models v(x @post H2))$ 

**by**(simp add: OclIsDeleted-def OclSelf-at-pre-def OclSelf-at-post-def

OclValid-def StrongEq-def true-def false-def bot-option-def invalid-def bot-fun-def valid-def

```
split: split-if-asm)
lemma pre-post-absent: \tau \models (x . ocllsAbsent()) \Longrightarrow \neg (\tau \models v(x @pre H1)) \land \neg (\tau \models v(x @post H2))
by(simp add: OclIsAbsent-def OclSelf-at-pre-def OclSelf-at-post-def
         OclValid-def StrongEq-def true-def false-def
         bot-option-def invalid-def bot-fun-def valid-def
    split: split-if-asm)
lemma pre-post-maintained: (\tau \models v(x @ pre H1) \lor \tau \models v(x @ post H2)) \Longrightarrow \tau \models (x .ocllsMaintained())
by(simp add: OclIsMaintained-def OclSelf-at-pre-def OclSelf-at-post-def
         OclValid-def StrongEq-def true-def false-def
         bot-option-def invalid-def bot-fun-def valid-def
    split: split-if-asm)
lemma pre-post-maintained':
\tau \models (x . ocllsMaintained()) \Longrightarrow (\tau \models \upsilon(x @pre (Some \ o \ H1)) \land \tau \models \upsilon(x @post (Some \ o \ H2)))
by(simp add: OclIsMaintained-def OclSelf-at-pre-def OclSelf-at-post-def
         OclValid-def StrongEq-def true-def false-def
         bot-option-def invalid-def bot-fun-def valid-def
    split: split-if-asm)
lemma framing-same-state: (\sigma, \sigma) \models (x \otimes pre H \triangleq (x \otimes post H))
by(simp add: OclSelf-at-pre-def OclSelf-at-post-def OclValid-def StrongEq-def)
end
```

theory UML-Contracts imports UML-State begin

Modeling of an operation contract for an operation with 2 arguments, (so depending on three parameters if one takes "self" into account).

```
assumes cp_{PRE}': PRE (self) x \tau = PRE (\lambda -. self \tau) (f-lam x \tau) \tau
  assumes cp_{POST}':POST (self) x (res) \tau = POST (\lambda -. self \tau) (f-lam x \tau) (\lambda -. res \tau) \tau
  assumes f-v-val: \land a1. f-v (f-lam\ a1\ <math>\tau) \tau = f-v\ a1\ \tau
begin
  lemma strict0 [simp]: finvalid X = invalid
  by(rule ext, rename-tac \tau, simp add: def-scheme')
  lemma null strict 0[simp]: f null X = invalid
  by(rule ext, rename-tac \tau, simp add: def-scheme')
  lemma cp0: f self a1 \tau = f (\lambda -. self \tau) (f-lam a1 \tau) \tau
  proof -
    have A: (\tau \models \delta (\lambda -. self \tau)) = (\tau \models \delta self) by(simp add: OclValid-def cp-defined[symmetric])
    have B: f-v (f-lam\ a1\ \tau) \tau = f-v\ a1\ \tau by (rule\ f-v-val)
    have D: (\tau \models PRE (\lambda -. self \tau) (f-lam \ a1 \ \tau)) = (\tau \models PRE \ self \ a1)
                                    by(simp add: OclValid-def cp_{PRE} [symmetric])
    show ?thesis
     apply(auto simp: def-scheme' A B D)
     apply(simp add: OclValid-def)
     by(subst\ cp_{POST}', simp)
    qed
  theorem unfold':
    assumes context-ok: cp E
    and args-def-or-valid: (\tau \models \delta \ self) \land f-\upsilon \ al \ \tau
    and pre-satisfied: \tau \models PRE \ self \ a1
    and post-satisfiable: \exists res. (\tau \models POST \ self \ a1 \ (\lambda -. \ res))
    and sat-for-sols-post: (\land res. \ \tau \models POST \ self \ a1 \ (\lambda -. \ res) \implies \tau \models E \ (\lambda -. \ res))
    shows
                         \tau \models E(f self a1)
  proof -
    have cp0: \bigwedge X \tau. E X \tau = E(\lambda - X \tau) \tau by(insert context-ok[simplified cp-def], auto)
    show ?thesis
      apply(simp add: OclValid-def, subst cp0, fold OclValid-def)
      apply(simp add:def-scheme' args-def-or-valid pre-satisfied)
      apply(insert post-satisfiable, elim exE)
      apply(rule Hilbert-Choice.someI2, assumption)
      by(rule sat-for-sols-post, simp)
  qed
  lemma unfold2':
    assumes context-ok:
                                 cp E
    and args-def-or-valid: (\tau \models \delta \ self) \land (f - \upsilon \ al \ \tau)
                               \tau \models PRE \ self \ a1
    and pre-satisfied:
    and postsplit-satisfied: \tau \models POST' self a1
    and post-decomposable : \land res. (POST self a1 res) =
                             ((POST'selfal) \ and \ (res \triangleq (BODYselfal)))
```

```
shows (\tau \models E(f self a1)) = (\tau \models E(BODY self a1))
  proof -
    have cp0: \bigwedge X \tau. EX \tau = E(\lambda - X \tau) \tau by(insert context-ok[simplified cp-def], auto)
    show ?thesis
       apply(simp add: OclValid-def, subst cp0, fold OclValid-def)
       apply(simp add:def-scheme' args-def-or-valid pre-satisfied
                  post-decomposable postsplit-satisfied foundation27)
       apply(subst some-equality)
      apply(simp add: OclValid-def StrongEq-def true-def)+
       by(subst(2) cp0, rule refl)
  qed
end
locale contract0 =
  fixes f :: ('\mathfrak{A}, '\alpha 0::null)val \Rightarrow
             ('\mathfrak{U},'res::null)val
  fixes PRE
  fixes POST
  assumes def-scheme: f self \equiv (\lambda \tau. if (\tau \models (\delta self))
                               then SOME res. (\tau \models PRE \ self) \land
                                          (\tau \models POST self (\lambda -. res))
                               else invalid \tau)
  assumes all-post: \forall \sigma \sigma' \sigma''. ((\sigma, \sigma') \models PRE \ self) = ((\sigma, \sigma'') \models PRE \ self)
  assumes cp_{PRE}: PRE (self) \tau = PRE (\lambda -. self \tau) \tau
  assumes cp_{POST}:POST (self) (res) \tau = POST (\lambda -. self \tau) (\lambda -. res \tau) \tau
sublocale contract0 < contract-scheme \lambda- -. True \lambda x -. x \lambda x -. fx \lambda x -. PRE x \lambda x -. POST x
apply(unfold-locales)
    apply(simp add: def-scheme, rule all-post, rule cp_{PRE}, rule cp_{POST})
by simp
context contract0
begin
  lemma cp-pre: cp self' \implies cp (\lambda X. PRE (self'X))
  by(rule-tac f=PRE in cpI1, auto intro: cp_{PRE})
  lemma cp-post: cp self' \Longrightarrow cp res' \Longrightarrow cp (\lambda X. POST (self'X) (res'X))
  by(rule-tac f=POST in cpI2, auto intro: cp_{POST})
  lemma cp [simp]: cp self' \Longrightarrow cp res' \Longrightarrow cp (\lambda X. f (self'X))
    by(rule-tac f = f in cpI1, auto intro:cp0)
  lemmas unfold = unfold'[simplified]
  lemma unfold2:
```

```
assumes
                               cp E
     and
                            (\tau \models \delta \ self)
                            \tau \models PRE \ self
     and
     and
                            \tau \models POST' self
                            \land res. (POST self res) =
     and
                                ((POST'self) \ and \ (res \triangleq (BODYself)))
     shows (\tau \models E(f self)) = (\tau \models E(BODY self))
      apply(rule unfold2'[simplified])
     by((rule assms)+)
end
locale contract1 =
  fixes f :: ('\mathfrak{A}, '\alpha 0::null)val \Rightarrow
               ('\mathfrak{A}, '\alpha 1::null)val \Rightarrow
               ('\mathfrak{U},'res::null)val
  fixes PRE
  fixes POST
  assumes def-scheme: f self a1 \equiv
                         (\lambda \ \tau. \ if \ (\tau \models (\delta \ self)) \land \ (\tau \models \upsilon \ a1)
                              then SOME res. (\tau \models PRE \ self \ a1) \land a
                                           (\tau \models POST \ self \ a1 \ (\lambda -. \ res))
                              else invalid \tau)
  assumes all-post: \forall \sigma \sigma' \sigma''. ((\sigma, \sigma') \models PRE \ self \ a1) = ((\sigma, \sigma'') \models PRE \ self \ a1)
  assumes cp_{PRE}: PRE (self) (a1) \tau = PRE (\lambda -. self \tau) (\lambda -. a1 \tau) \tau
  assumes cp_{POST}:POST (self) (a1) (res) \tau = POST (\lambda -. self \tau)(\lambda -. a1 \tau) (\lambda -. res \tau) \tau
sublocale contract1 < contract-scheme \lambda a1 \tau. (\tau \models \upsilon a1) \lambda a1 \tau. (\lambda -. a1 \tau)
apply(unfold-locales)
    apply(rule def-scheme, rule all-post, rule cp_{PRE}, rule cp_{POST})
by(simp add: OclValid-def cp-valid[symmetric])
context contract1
begin
  lemma strict1[simp]: f self invalid = invalid
  by(rule ext, rename-tac \tau, simp add: def-scheme)
  lemma cp-pre: cp self' \Longrightarrow cp a1' \Longrightarrow cp (\lambda X. PRE (self'X) (a1'X))
  by(rule-tac f=PRE in cpI2, auto intro: cp<sub>PRE</sub>)
  lemma cp-post: cp self'\Longrightarrow cp a1'\Longrightarrow cp res'
               \implies cp (\lambda X. POST (self'X) (al'X) (res'X))
  by(rule-tac f=POST in cpI3, auto intro: cp_{POST})
  lemma cp [simp]: cp self' \Longrightarrow cp a1' \Longrightarrow cp res' \Longrightarrow cp (\lambda X. f (self'X) (a1'X))
     by(rule-tac f = f in cpI2, auto intro:cp0)
```

```
lemmas unfold = unfold'
  lemmas unfold2 = unfold2'
end
locale contract2 =
  fixes f :: ('\mathfrak{A}, '\alpha 0::null)val \Rightarrow
               ('\mathfrak{A},'\alpha 1::null)val \Rightarrow ('\mathfrak{A},'\alpha 2::null)val \Rightarrow
               ('\mathfrak{U},'res::null)val
  fixes PRE
  fixes POST
  assumes def-scheme: f self a1 a2 \equiv
                         (\lambda \ \tau. \ if \ (\tau \models (\delta \ self)) \land \ (\tau \models \upsilon \ a1) \land \ (\tau \models \upsilon \ a2)
                               then SOME res. (\tau \models PRE \ self \ a1 \ a2) \land
                                           (\tau \models POST \ self \ a1 \ a2 \ (\lambda -. \ res))
                               else invalid \tau)
  assumes all-post: \forall \sigma \sigma' \sigma''. ((\sigma, \sigma') \models PRE \ self \ a1 \ a2) = ((\sigma, \sigma'') \models PRE \ self \ a1 \ a2)
  assumes cp_{PRE}: PRE (self) (a1) (a2) \tau = PRE (\lambda -. self \tau) (\lambda -. a1 \tau) (\lambda -. a2 \tau) \tau
  assumes cp_{POST}: \land res. POST (self) (a1) (a2) (res) \tau =
                     POST (\lambda - self \tau)(\lambda - al \tau)(\lambda - a2 \tau)(\lambda - res \tau) \tau
sublocale contract2 < contract-scheme \lambda(a1,a2) \tau. (\tau \models \upsilon \ a1) \land (\tau \models \upsilon \ a2)
                               \lambda(a1,a2) \tau. (\lambda -.a1 \tau, \lambda -.a2 \tau)
                               (\lambda x (a,b). fx a b)
                               (\lambda x (a,b). PRE x a b)
                               (\lambda x (a,b). POST x a b)
 apply(unfold-locales)
    apply(auto simp add: def-scheme)
      apply (metis all-post, metis all-post)
     apply(subst\ cp_{PRE},\ simp)
    apply(subst\ cp_{POST}, simp)
by(simp-all add: OclValid-def cp-valid[symmetric])
context contract2
begin
  lemma strictO[simp] : finvalid X Y = invalid
  by(insert\ strictO[of\ (X,Y)],\ simp)
  lemma null strict 0 [simp]: f null X Y = invalid
  by(insert nullstrict0[of (X,Y)], simp)
  lemma strict1[simp]: f self invalid Y = invalid
  by(rule ext, rename-tac \tau, simp add: def-scheme)
  lemma strict2[simp]: f self X invalid = invalid
```

```
by(rule ext, rename-tac \tau, simp add: def-scheme)
  lemma cp-pre: cp self' \Longrightarrow cp a1' \Longrightarrow cp a2' \Longrightarrow cp (\lambda X. PRE (self'X) (a1'X) (a2'X))
  by(rule-tac f=PRE in cpI3, auto intro: cp_{PRE})
  lemma cp-post: cp self'\Longrightarrow cp a1'\Longrightarrow cp a2'\Longrightarrow cp res'
                \implies cp (\lambda X. POST (self'X) (a1'X) (a2'X) (res'X))
  by(rule-tac f=POST in cpI4, auto intro: cp_{POST})
  lemma cp0: f self a1 a2 \tau = f(\lambda - self \tau)(\lambda - a1 \tau)(\lambda - a2 \tau) \tau
  by (rule cp0[of - (a1,a2), simplified])
  lemma cp [simp]: cp self' \Longrightarrow cp a1' \Longrightarrow cp a2' \Longrightarrow cp res'
                   \implies cp (\lambda X. f (self'X) (a1'X) (a2'X))
     by(rule-tac f = f in cpI3, auto intro:cp0)
  theorem unfold :
     assumes
                              cp E
                           (\tau \models \delta \ self) \land (\tau \models \upsilon \ a1) \land (\tau \models \upsilon \ a2)
     and
     and
                           \tau \models PRE \ self \ a1 \ a2
     and
                           \exists res. (\tau \models POST \ self \ a1 \ a2 \ (\lambda -. \ res))
                           (\land res. \ \tau \models POST \ self \ a1 \ a2 \ (\lambda -. \ res) \implies \tau \models E \ (\lambda -. \ res))
     and
                            \tau \models E(f self a1 a2)
     shows
     apply(rule unfold'[of - - - (a1, a2), simplified])
     \mathbf{by}((rule\ assms)+)
  lemma unfold2:
     assumes
                               cp E
     and
                            (\tau \models \delta \ self) \land (\tau \models \upsilon \ a1) \land (\tau \models \upsilon \ a2)
                            \tau \models PRE \ self \ a1 \ a2
     and
     and
                            \tau \models POST' self a1 a2
                             \land res. (POST self a1 a2 res) =
     and
                                ((POST'self\ a1\ a2)\ and\ (res \triangleq (BODY\ self\ a1\ a2)))
     shows (\tau \models E(f self a1 \ a2)) = (\tau \models E(BODY self a1 \ a2))
     apply(rule unfold2'[of - - - (a1, a2), simplified])
     by((rule\ assms)+)
end
end
```

theory UML-Tools imports UML-Logic begin

```
lemmas substs1 = StrongEq-L-subst2-rev
          foundation15[THEN iffD2, THEN StrongEq-L-subst2-rev]
          foundation7'[THEN iffD2, THEN foundation15]THEN iffD2,
                         THEN StrongEq-L-subst2-rev]]
          foundation14[THEN iffD2, THEN StrongEq-L-subst2-rev]
          foundation13[THEN iffD2, THEN StrongEq-L-subst2-rev]
lemmas substs2 = StrongEq-L-subst3-rev
          foundation15[THEN iffD2, THEN StrongEq-L-subst3-rev]
          foundation7'[THEN iffD2, THEN foundation15]THEN iffD2,
                         THEN StrongEq-L-subst3-rev]
          foundation14[THEN iffD2, THEN StrongEq-L-subst3-rev]
          foundation13[THEN iffD2, THEN StrongEq-L-subst3-rev]
lemmas substs4 = StrongEq-L-subst4-rev
          foundation15[THEN iffD2, THEN StrongEq-L-subst4-rev]
          foundation7'[THEN iffD2, THEN foundation15]THEN iffD2,
                         THEN StrongEq-L-subst4-rev]]
          foundation14[THEN iffD2, THEN StrongEq-L-subst4-rev]
          foundation13[THEN iffD2, THEN StrongEq-L-subst4-rev]
lemmas substs = substs1 substs2 substs4 [THEN iffD2] substs4
thm substs
ML \langle \langle
fun ocl-subst-asm-tac ctxt = FIRST'(map (fn C => (etac C) THEN' (simp-tac ctxt))
                           @{thms substs})
val\ ocl\text{-}subst\text{-}asm = fn\ ctxt => SIMPLE\text{-}METHOD\ (ocl\text{-}subst\text{-}asm\text{-}tac\ ctxt\ 1);
val - = Theory.setup
        (Method.setup (Binding.name ocl-subst-asm)
        (Scan.succeed (ocl-subst-asm))
        ocl substition step)
\rangle\rangle
lemma test1: \tau \models A \Longrightarrow \tau \models (A \ and \ B \triangleq B)
apply(tactic ocl-subst-asm-tac @{context} 1)
apply(simp)
done
lemma test2: \tau \models A \Longrightarrow \tau \models (A \ and \ B \triangleq B)
by(ocl-subst-asm, simp)
lemma test3 : \tau \models A \Longrightarrow \tau \models (A \text{ and } A)
by(ocl-subst-asm, simp)
```

```
lemma test4 : \tau \models not A \Longrightarrow \tau \models (A \ and \ B \triangleq false)
by(ocl-subst-asm, simp)
lemma test5 : \tau \models (A \triangleq null) \Longrightarrow \tau \models (B \triangleq null) \Longrightarrow \neg (\tau \models (A \text{ and } B))
by(ocl-subst-asm, ocl-subst-asm, simp)
lemma test6 : \tau \models not A \Longrightarrow \neg (\tau \models (A \ and \ B))
by(ocl-subst-asm, simp)
lemma test7 : \neg (\tau \models (\upsilon A)) \Longrightarrow \tau \models (not B) \Longrightarrow \neg (\tau \models (A \ and \ B))
by(ocl-subst-asm, ocl-subst-asm, simp)
lemma X: \neg (\tau \models (invalid \ and \ B))
apply(insert foundation8[of \tau B], elim disjE,
     simp add:defined-bool-split, elim disjE)
apply(ocl-subst-asm, simp)
apply(ocl-subst-asm, simp)
apply(ocl-subst-asm, simp)
apply(ocl-subst-asm, simp)
done
lemma X': \neg (\tau \models (invalid \ and \ B))
by(simp add:foundation10')
lemma Y: \neg (\tau \models (null \ and \ B))
by(simp add: foundation10')
```

end

 $\mathbf{by}(simp)$ 

**lemma** Z:  $\neg (\tau \models (false \ and \ B))$ **by**( $simp \ add$ : foundation 10')

**lemma** Z':  $(\tau \models (true \ and \ B)) = (\tau \models B)$ 

theory UML-Main imports UML-Contracts UML-Tools begin

end

# **B.4. Example I : The Employee Analysis Model (UML)**

theory
Analysis-UML
imports
../../src/UML-Main
begin

## **B.4.1. Introduction**

For certain concepts like classes and class-types, only a generic definition for its resulting semantics can be given. Generic means, there is a function outside HOL that "compiles" a concrete, closed-world class diagram into a "theory" of this data model, consisting of a bunch of definitions for classes, accessors, method, casts, and tests for actual types, as well as proofs for the fundamental properties of these operations in this concrete data model.

Such generic function or "compiler" can be implemented in Isabelle on the ML level. This has been done, for a semantics following the open-world assumption, for UML 2.0 in [4, 6]. In this paper, we follow another approach for UML 2.4: we define the concepts of the compilation informally, and present a concrete example which is verified in Isabelle/HOL.

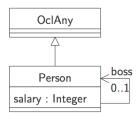


Figure B.1.: A simple UML class model drawn from Figure 7.3, page 20 of [28].

## **Outlining the Example**

We are presenting here an "analysis-model" of the (slightly modified) example Figure 7.3, page 20 of the OCL standard [28]. Here, analysis model means that associations were really represented as relation on objects on the state—as is intended by the standard—rather by pointers between objects as is done in our "design model" (see Section B.5). To be precise, this theory contains the formalization of the data-part covered by the UML class model (see Figure B.1):

This means that the association (attached to the association class EmployeeRanking) with the association ends boss and employees is implemented by the attribute boss and the operation employees (to be discussed in the OCL part captured by the subsequent theory).

## **B.4.2. Example Data-Universe and its Infrastructure**

Ideally, the following is generated automatically from a UML class model.

Our data universe consists in the concrete class diagram just of node's, and implicitly of the class object. Each class implies the existence of a class type defined for the corresponding object representations as follows:

```
datatype type_{Person} = mk_{Person} oid int option
```

```
datatype type_{OclAny} = mk_{OclAny} oid (int option) option
```

Now, we construct a concrete "universe of OclAny types" by injection into a sum type containing the class types. This type of OclAny will be used as instance for all respective type-variables.

```
datatype \mathfrak{A} = in_{Person} type_{Person} \mid in_{OclAny} type_{OclAny}
```

Having fixed the object universe, we can introduce type synonyms that exactly correspond to OCL types. Again, we exploit that our representation of OCL is a "shallow embedding" with a one-to-one correspondance of OCL-types to types of the meta-language HOL.

```
type-synonym Boolean = \mathfrak{A} Boolean
```

```
type-synonym Integer = \mathfrak{A} Integer

type-synonym Void = \mathfrak{A} Void

type-synonym OclAny = (\mathfrak{A}, type_{OclAny} option option) val

type-synonym Person = (\mathfrak{A}, type_{Person} option option) val

type-synonym Set-Integer = (\mathfrak{A}, int option option) Set

type-synonym Set-Person = (\mathfrak{A}, type_{Person} option option) Set

Just a little check:

typ Person
```

To reuse key-elements of the library like referential equality, we have to show that the object universe belongs to the type class "oclany," i. e., each class type has to provide a function *oid-of* yielding the object id (oid) of the object.

```
instantiation type_{Person} :: object
  definition oid-of-type<sub>Person</sub>-def: oid-of x = (case \ x \ of \ mk_{Person} \ oid \rightarrow oid)
  instance ..
end
instantiation type_{OclAny} :: object
begin
  definition oid-of-type<sub>OclAnv</sub>-def: oid-of x = (case \ x \ of \ mk_{OclAnv} \ oid - \Rightarrow oid)
  instance ..
end
instantiation \mathfrak{A}::object
begin
  definition oid-of-\mathfrak{A}-def: oid-of x = (case x of
                                     in_{Person} person \Rightarrow oid\text{-}of person
                                   |in_{OclAny} \ oclany \Rightarrow oid \text{-} of \ oclany)
  instance..
end
```

## **B.4.3. Instantiation of the Generic Strict Equality**

We instantiate the referential equality on *Person* and *OclAny* 

```
 \begin{array}{ll} \textbf{defs(overloaded)} & \textit{StrictRefEq_{Object^-Person}} : (x::Person) \doteq y \equiv \textit{StrictRefEq_{Object}} \ x \ y \\ \textbf{defs(overloaded)} & \textit{StrictRefEq_{Object^-OclAny}} : (x::OclAny) \doteq y \equiv \textit{StrictRefEq_{Object}} \ x \ y \\ \textbf{lemmas} \\ & \textit{cp-StrictRefEq_{Object}} [\textit{of x::Person y::Person } \tau, \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}]] \\ & \textit{cp-intro(9)} & [\textit{of P::Person} \Rightarrow \textit{PersonQ::Person} \Rightarrow \textit{Person}, \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}]] \\ & \textit{StrictRefEq_{Object^-def}} & [\textit{of x::Person y::Person}, \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}]] \\ & \textit{StrictRefEq_{Object^-def}} & [\textit{of x::Person y::Person}, \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}]] \\ & \textit{StrictRefEq_{Object^-def}} & [\textit{of x::Person y::Person}, \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}]] \\ & \textit{StrictRefEq_{Object^-def}} & [\textit{of x::Person y::Person}, \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}]] \\ & \textit{StrictRefEq_{Object^-def}} & [\textit{of x::Person y::Person}, \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}]] \\ & \textit{StrictRefEq_{Object^-def}} & [\textit{of x::Person y::Person}, \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}]] \\ & \textit{StrictRefEq_{Object^-def}} & [\textit{of x::Person y::Person}, \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}]] \\ & \textit{StrictRefEq_{Object^-def}} & [\textit{of x::Person y::Person}, \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}]] \\ & \textit{simplified StrictRefEq_{Object^-Person}} \\ & \textit{simplified StrictRefEq_{Object^-Person}} [\textit{symmetric}] \\ & \textit{simplified StrictRefEq_Object^-Person} [\textit{symmetric}] \\
```

```
simplified \ StrictRefEq_{Object\ -Person}[symmetric]] StrictRefEq_{Object\ -Person}[symmetric]] [of \ x:: Person, simplified \ StrictRefEq_{Object\ -Person}[symmetric]] StrictRefEq_{Object\ -Person}[symmetric] [of \ x:: Person, simplified \ StrictRefEq_{Object\ -Person}[symmetric]]
```

For each Class C, we will have a casting operation .oclAsType (C), a test on the actual type .oclIsTypeOf (C) as well as its relaxed form .oclIsKindOf (C) (corresponding exactly to Java's instanceof-operator.

Thus, since we have two class-types in our concrete class hierarchy, we have two operations to declare and to provide two overloading definitions for the two static types.

# B.4.4. OclAsType

#### **Definition**

```
consts OclAsType_{OclAny} :: '\alpha \Rightarrow OclAny ((-) .oclAsType'(OclAny'))
consts OclAsType_{Person} :: '\alpha \Rightarrow Person ((-) .oclAsType'(Person'))
definition OclAsType_{OclAny}-\mathfrak{A} = (\lambda u. \mid case \ u \ of \ in_{OclAny} \ a \Rightarrow a
                                            |in_{Person} (mk_{Person} \ oid \ a) \Rightarrow mk_{OclAnv} \ oid \ |a||)
lemma OclAsType_{OclAny}-\mathfrak{A}-some: OclAsType_{OclAny}-\mathfrak{A} x \neq None
by(simp add: OclAsType_{OclAny}-\mathfrak{A}-def)
defs (overloaded) OclAsType_{OclAny}-OclAny:
        (X::OclAny) .oclAsType(OclAny) \equiv X
defs (overloaded) OclAsType_{OclAny}-Person:
        (X::Person) .oclAsType(OclAny) \equiv
                   (\lambda \tau. case X \tau of
                             \perp \Rightarrow invalid \tau
                            | \mid \perp \mid \Rightarrow null \ \tau
                            ||mk_{Person} \text{ oid } a|| \Rightarrow || (mk_{OclAny} \text{ oid } |a|) ||)
definition OclAsType_{Person}-\mathfrak{A} = (\lambda u. \ case \ u \ of \ in_{Person} \ p \Rightarrow |p|)
                                           |in_{OclAny}(mk_{OclAny} \ oid \ \lfloor a \rfloor) \Rightarrow \lfloor mk_{Person} \ oid \ a \rfloor
                                          | - \Rightarrow None \rangle
\textbf{defs} \; (\textbf{overloaded}) \; \textit{OclAsType}_{\textit{Person}} \text{-} \textit{OclAny} \text{:}
        (X::OclAny) .oclAsType(Person) \equiv
                   (\lambda \tau. case X \tau of
                              \perp \Rightarrow invalid \tau
                            | \mid \perp \mid \Rightarrow null \ \tau
                            |\lfloor \lfloor mk_{OclAny} \ oid \perp \rfloor \rfloor \Rightarrow invalid \tau \ (*down-cast \ exception *)
                           |\lfloor \lfloor mk_{OclAny} \ oid \ \lfloor a \rfloor \rfloor \rfloor \Rightarrow \lfloor \lfloor mk_{Person} \ oid \ a \rfloor \rfloor \rangle
```

```
defs (overloaded) OclAsType<sub>Person</sub>-Person:
     (X::Person) .oclAsType(Person) \equiv X
lemmas [simp] =
OclAsType_{OclAny}-OclAny
OclAsType_{Person}-Person
Context Passing
lemma cp-OclAsType_{OclAnv}-Person-Person: cp P \Longrightarrow cp(\lambda X. (P(X::Person)::Person) .oclAsType(OclAny))
by(rule cpI1, simp-all add: OclAsType<sub>OclAny</sub>-Person)
lemma cp-OclAsType_{OclAny}-OclAny-OclAny: cp P \Longrightarrow cp(\lambda X. (P(X::OclAny)::OclAny) .oclAsType(OclAny))
by(rule cpI1, simp-all add: OclAsType<sub>OclAny</sub>-OclAny)
lemma cp-OclAsType_{Person}-Person-Person: cp P \Longrightarrow cp(\lambda X. (P(X::Person)::Person) .oclAsType(Person))
by(rule cp11, simp-all add: OclAsType<sub>Person</sub>-Person)
lemma cp-OclAsType_{Person}-OclAny-OclAny: cp P \Longrightarrow cp(\lambda X. (P(X::OclAny)::OclAny) .oclAsType(Person))
by(rule cp11, simp-all add: OclAsType<sub>Person</sub>-OclAny)
lemma cp-OclAsType_{OclAny}-Person-OclAny: cp P \Longrightarrow cp(\lambda X. (P(X::Person)::OclAny) .oclAsType(OclAny))
by(rule cp11, simp-all add: OclAsType_{OclAny}-OclAny)
lemma cp-OclAsType_{OclAny}-OclAny-Person: cp P \Longrightarrow cp(\lambda X. (P(X::OclAny)::Person) .oclAsType(OclAny))
by(rule cpI1, simp-all add: OclAsType_{OclAny}-Person)
\textbf{lemma} \ \textit{cp-OclAsType}_{\textit{Person-Person-OclAny: } \textit{cp} \ P \Longrightarrow \textit{cp}(\lambda X. \ (\textit{P} \ (\textit{X::Person}) :: \textit{OclAny}) \ .oclAsType(\textit{Person}))
by(rule cp11, simp-all add: OclAsType_{Person}-OclAny)
lemma cp-OclAsType_{Person}-OclAny-Person: cp P \Longrightarrow cp(\lambda X. (P(X::OclAny)::Person) .oclAsType(Person))
by(rule cpI1, simp-all add: OclAsType<sub>Person</sub>-Person)
lemmas [simp] =
cp-OclAsType<sub>OclAny</sub>-Person-Person
cp-OclAsType_{OclAny}-OclAny-OclAny
 cp-OclAsType<sub>Person</sub>-Person-Person
cp-OclAsType<sub>Person</sub>-OclAny-OclAny
cp-OclAsType<sub>OclAny</sub>-Person-OclAny
 cp-OclAsType<sub>OclAny</sub>-OclAny-Person
 cp-OclAsType<sub>Person</sub>-Person-OclAny
 cp-OclAsType<sub>Person</sub>-OclAny-Person
Execution with Invalid or Null as Argument
lemma OclAsType_{OclAny}-OclAny-strict: (invalid::OclAny) .oclAsType(OclAny) = invalid
\mathbf{by}(simp)
lemma OclAsType_{OclAny}-OclAny-nullstrict: (null::OclAny) .oclAsType(OclAny) = null
```

 $\mathbf{by}(simp)$ 

```
lemma OclAsType_{OclAny}-Person-strict[simp] : (invalid::Person) .oclAsType(OclAny) = invalid
by(rule ext, simp add: bot-option-def invalid-def
                  OclAsType_{OclAny}-Person)
lemma OclAsType_{OclAny}-Person-nullstrict[simp]: (null::Person) .oclAsType(OclAny) = null
by(rule ext, simp add: null-fun-def null-option-def bot-option-def
                   OclAsType_{OclAny}-Person)
\textbf{lemma} \ \textit{OclAsType}_{\textit{Person}} - \textit{OclAny-strict}[\textit{simp}] : (\textit{invalid} :: \textit{OclAny}) \ . \textit{oclAsType}(\textit{Person}) = \textit{invalid}
by(rule ext, simp add: bot-option-def invalid-def
                  OclAsType_{Person}-OclAny)
lemma OclAsType_{Person}-OclAny-nullstrict[simp] : (null::OclAny) .oclAsType(Person) = null
by(rule ext, simp add: null-fun-def null-option-def bot-option-def
                   OclAsType_{Person}-OclAny)
lemma OclAsType_{Person}-Person-strict: (invalid::Person) .oclAsType(Person) = invalid
\mathbf{by}(simp)
lemma OclAsType_{Person}-Person-nullstrict: (null::Person) .oclAsType(Person) = null
\mathbf{by}(simp)
B.4.5. OcllsTypeOf
Definition
consts OcllsTypeOf_{OclAny} :: '\alpha \Rightarrow Boolean ((-).ocllsTypeOf'(OclAny'))
consts OcllsTypeOf_{Person} :: '\alpha \Rightarrow Boolean ((-).ocllsTypeOf'(Person'))
defs (overloaded) OclIsTypeOf<sub>OclAny</sub>-OclAny:
      (X::OclAny) .oclIsTypeOf(OclAny) \equiv
               (\lambda \tau. case X \tau of
                        \perp \Rightarrow invalid \tau
                       | \perp | \perp | \Rightarrow true \tau \ (* invalid ?? *)
                       |\lfloor \lfloor mk_{OclAny} \ oid \perp \rfloor \rfloor \Rightarrow true \ \tau
                      |\lfloor \lfloor mk_{OclAny} \ oid \ \lfloor - \rfloor \rfloor \rfloor \Rightarrow false \ \tau)
defs (overloaded) OclIsTypeOf <sub>OclAny</sub>-Person:
      (X::Person) .oclIsTypeOf(OclAny) \equiv
               (\lambda \tau. case X \tau of
                        \perp \Rightarrow invalid \tau
                       | \lfloor \perp \rfloor \Rightarrow true \ \tau \quad (* invalid ?? *)
                      | | | - | | \Rightarrow false \tau )
\textbf{defs} \; (\textbf{overloaded}) \; \textit{OclIsTypeOf}_{\textit{Person}} \text{-} \textit{OclAny} \text{:} \\
       (X::OclAny) .oclIsTypeOf(Person) \equiv
```

 $(\lambda \tau. case X \tau of$ 

 $\perp \Rightarrow invalid \tau$ 

```
| \mid \perp \mid \Rightarrow true \ \tau
                       | | | mk_{OclAny} \ oid \perp | | \Rightarrow false \ \tau
                       |\lfloor \lfloor mk_{OclAny} \ oid \lfloor - \rfloor \rfloor \rfloor \Rightarrow true \ \tau)
defs (overloaded) OclIsTypeOf<sub>Person</sub>-Person:
       (X::Person) .oclIsTypeOf(Person) \equiv
                (\lambda \tau. case X \tau of
                         \perp \Rightarrow invalid \tau
                       | - \Rightarrow true \tau )
Context Passing
lemma cp-OclIsTypeOf_{OclAny}-Person-Person: cp P \Longrightarrow cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(OclAny))
by(rule cp11, simp-all add: OclIsTypeOf<sub>OclAny</sub>-Person)
\textbf{lemma} \ cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}OclAny: } cp\ P \Longrightarrow cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(OclAny))
by(rule cpI1, simp-all add: OclIsTypeOf<sub>OclAny</sub>-OclAny)
\textbf{lemma} \ \textit{cp-OclIsTypeOf} \ \textit{Person-Person-Person:} \ \textit{cp} \ \textit{P} \Longrightarrow \textit{cp}(\lambda \textit{X}.(\textit{P}(\textit{X}::Person)::Person).oclIsTypeOf(\textit{Person}))
by(rule cpI1, simp-all add: OclIsTypeOf<sub>Person</sub>-Person)
\textbf{lemma} \ \textit{cp-OclIsTypeOf}_{\textit{Person}} - \textit{OclAny-OclAny: cp} \ P \Longrightarrow \textit{cp}(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(Person))
by(rule cp11, simp-all add: OclIsTypeOf<sub>Person</sub>-OclAny)
lemma cp-OclIsTypeOf_{OclAny}-Person-OclAny: cp P \Longrightarrow cp(\lambda X.(P(X::Person)::OclAny).oclIsTypeOf(OclAny))
by(rule cp11, simp-all add: OclIsTypeOf<sub>OclAny</sub>-OclAny)
\textbf{lemma} \ \textit{cp-OclIsTypeOf} \ \textit{OclAny-Person:} \ \textit{cp} \ P \Longrightarrow \textit{cp}(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(OclAny))
by(rule cp11, simp-all add: OclIsTypeOf<sub>OclAny</sub>-Person)
\textbf{lemma} \ \textit{cp-OclIsTypeOf}_{\textit{Person}} - \textit{Person-OclAny} : \textit{cp} \ \textit{P} \Longrightarrow \textit{cp}(\lambda X.(\textit{P}(\textit{X} :: \textit{Person}) :: \textit{OclAny}).oclIsTypeOf(\textit{Person}))
by(rule cpI1, simp-all add: OclIsTypeOf<sub>Person</sub>-OclAny)
lemma cp-OclIsTypeOf_{Person}-OclAny-Person: cp P \Longrightarrow cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(Person))
by(rule cpI1, simp-all add: OclIsTypeOf<sub>Person</sub>-Person)
lemmas [simp] =
 cp-OclIsTypeOf<sub>OclAny</sub>-Person-Person
 cp-OclIsTypeOf<sub>OclAny</sub>-OclAny-OclAny
 cp-OclIsTypeOf<sub>Person</sub>-Person-Person
 cp-OclIsTypeOf<sub>Person</sub>-OclAny-OclAny
 cp-OclIsTypeOf <sub>OclAny</sub>-Person-OclAny
 cp-OclIsTypeOf<sub>OclAny</sub>-OclAny-Person
 cp-OclIsTypeOf<sub>Person</sub>-Person-OclAny
 cp-OclIsTypeOf<sub>Person</sub>-OclAny-Person
Execution with Invalid or Null as Argument
\textbf{lemma} \ OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}strict1[simp]:
    (invalid::OclAny) .oclIsTypeOf(OclAny) = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
```

 $OclIsTypeOf_{OclAny}$ -OclAny)

```
lemma OclIsTypeOf OclAny-OclAny-strict2[simp]:
   (null::OclAny) .oclIsTypeOf(OclAny) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
               OclIsTypeOf_{OclAny}-OclAny)
lemma OclIsTypeOf OclAny-Person-strict1[simp]:
   (invalid::Person) .oclIsTypeOf(OclAny) = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
               OclIsTypeOf<sub>OclAny</sub>-Person)
lemma OclIsTypeOf<sub>OclAny</sub>-Person-strict2[simp]:
   (null::Person) .oclIsTypeOf(OclAny) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
               OclIsTypeOf<sub>OclAny</sub>-Person)
lemma OclIsTypeOf Person-OclAny-strict1[simp]:
   (invalid::OclAny) .oclIsTypeOf(Person) = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
               OclIsTypeOf Person-OclAny)
lemma OclIsTypeOf Person-OclAny-strict2[simp]:
   (null::OclAny) .oclIsTypeOf(Person) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
               OclIsTypeOf Person-OclAny)
lemma OclIsTypeOf<sub>Person</sub>-Person-strict1[simp]:
   (invalid::Person) .oclIsTypeOf(Person) = invalid
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
               OclIsTypeOf<sub>Person</sub>-Person)
lemma OclIsTypeOf<sub>Person</sub>-Person-strict2[simp]:
   (null::Person) . oclIsTypeOf(Person) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
               OclIsTypeOf Person-Person)
Up Down Casting
lemma actualType-larger-staticType:
assumes isdef: \tau \models (\delta X)
shows
             \tau \models (X::Person) . oclIsTypeOf(OclAny) \triangleq false
using isdef
by(auto simp: null-option-def bot-option-def
          OclIsTypeOf <sub>OclAny</sub>-Person foundation22 foundation16)
lemma down-cast-type:
assumes isOclAny: \tau \models (X::OclAny) .oclIsTypeOf(OclAny)
       non-null: \tau \models (\delta X)
               \tau \models (X . oclAsType(Person)) \triangleq invalid
shows
using isOclAny non-null
apply(auto simp: bot-fun-def null-fun-def null-option-def bot-option-def null-def invalid-def
            OclAsType<sub>OclAny</sub>-Person OclAsType<sub>Person</sub>-OclAny foundation22 foundation16
       split: option.split type_{OclAnv}.split type_{Person}.split)
by(simp add: OclIsTypeOf<sub>OclAny</sub>-OclAny OclValid-def false-def true-def)
```

```
lemma down-cast-type':
assumes isOclAny: \tau \models (X::OclAny) .oclIsTypeOf(OclAny)
and
       non-null: \tau \models (\delta X)
shows
               \tau \models not (\upsilon (X .oclAsType(Person)))
by(rule foundation15[THEN iffD1], simp add: down-cast-type[OF assms])
lemma up-down-cast :
assumes isdef: \tau \models (\delta X)
shows \tau \models ((X::Person) . oclAsType(OclAny) . oclAsType(Person) \triangleq X)
using isdef
by(auto simp: null-fun-def null-option-def bot-option-def null-def invalid-def
          OclAsType<sub>OclAny</sub>-Person OclAsType<sub>Person</sub>-OclAny foundation22 foundation16
     split: option.split type_{Person}.split)
lemma up-down-cast-Person-OclAny-Person [simp]:
shows ((X::Person) .oclAsType(OclAny) .oclAsType(Person) = X)
apply(rule ext, rename-tac \tau)
apply(rule foundation22[THEN iffD1])
 apply(case-tac \tau \models (\delta X), simp add: up-down-cast)
apply(simp add: defined-split, elim disjE)
apply(erule StrongEq-L-subst2-rev, simp, simp)+
done
lemma up-down-cast-Person-OclAny-Person':
assumes \tau \models \upsilon X
shows \tau \models (((X :: Person) . oclAsType(OclAny) . oclAsType(Person)) \doteq X)
apply(simp only: up-down-cast-Person-OclAny-Person StrictRefEq<sub>Object-Person</sub>)
by(rule StrictRefEq<sub>Object</sub>-sym, simp add: assms)
lemma up-down-cast-Person-OclAny-Person'':
assumes \tau \models \upsilon (X :: Person)
shows \tau \models (X . ocllsTypeOf(Person) implies (X . oclAsType(OclAny) . oclAsType(Person)) <math>\doteq X)
apply(simp add: OclValid-def)
apply(subst cp-OclImplies)
apply(simp add: StrictRefEq<sub>Object</sub>-Person StrictRefEq<sub>Object</sub>-sym[OF assms, simplified OclValid-def])
apply(subst cp-OclImplies[symmetric])
by (simp add: OclImplies-true)
B.4.6. OcllsKindOf
Definition
consts OclIsKindOf_{OclAny} :: '\alpha \Rightarrow Boolean ((-).oclIsKindOf'(OclAny'))
consts OclIsKindOf_{Person} :: '\alpha \Rightarrow Boolean ((-).oclIsKindOf'(Person'))
defs (overloaded) OclIsKindOf<sub>OclAny</sub>-OclAny:
     (X::OclAny) .oclIsKindOf(OclAny) \equiv
```

```
(\lambda \tau. case X \tau of
                         \perp \Rightarrow invalid \ \tau
                       | - \Rightarrow true \tau )
\textbf{defs} \; (\textbf{overloaded}) \; \textit{OclIsKindOf}_{\textit{OclAny}} \textit{-Person} \text{:}
       (X::Person) .oclIsKindOf(OclAny) \equiv
                (\lambda \tau. case X \tau of
                         \perp \Rightarrow invalid \tau
                        | \rightarrow true \tau )
defs (overloaded) OclIsKindOf<sub>Person</sub>-OclAny:
       (X::OclAny) .oclIsKindOf(Person) \equiv
                (\lambda \tau. case X \tau of
                         \perp \Rightarrow invalid \tau
                        | \mid \perp \mid \Rightarrow true \ \tau
                        | | | mk_{OclAny} \ oid \perp | | \Rightarrow false \ \tau
                        | | | mk_{OclAny} \ oid | - | | | \Rightarrow true \ \tau |
defs (overloaded) OcllsKindOf_{Person}-Person:
      (X::Person) .oclIsKindOf(Person) \equiv
                (\lambda \tau. case X \tau of
                         \perp \Rightarrow invalid \tau
                        | - \Rightarrow true \tau )
Context Passing
lemma cp-OclIsKindOf_{OclAnv}-Person-Person: cp P \Longrightarrow cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(OclAnv))
by(rule cp11, simp-all add: OclIsKindOf<sub>OclAnv</sub>-Person)
lemma cp-OclIsKindOf_{OclAny}-OclAny-OclAny: cp P \Longrightarrow cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(OclAny))
\textbf{by}(\textit{rule cp11}, \textit{simp-all add: OclIsKindOf}_{\textit{OclAny}}\text{-}\textit{OclAny})
lemma cp-OclIsKindOf_{Person}-Person-Person: cp P \Longrightarrow cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(Person))
\mathbf{by}(\textit{rule cp11}, \textit{simp-all add: OclIsKindOf}_{\textit{Person}}\text{-}\textit{Person})
lemma cp-OclIsKindOf_{Person}-OclAny-OclAny: cp P \Longrightarrow cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(Person))
by(rule cpI1, simp-all add: OclIsKindOf<sub>Person</sub>-OclAny)
lemma cp-OclIsKindOf_{OclAny}-Person-OclAny: cp P \Longrightarrow cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(OclAny))
by(rule cpI1, simp-all add: OclIsKindOf<sub>OclAny</sub>-OclAny)
lemma cp-OclIsKindOf_{OclAny}-OclAny-Person: cp P \Longrightarrow cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(OclAny))
by(rule cp11, simp-all add: OclIsKindOf<sub>OclAny</sub>-Person)
\textbf{lemma} \ \textit{cp-OclIsKindOf}_{\textit{Person-Person-OclAny: } \textit{cp} \ P \Longrightarrow \textit{cp}(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(Person))}
by(rule cp11, simp-all add: OclIsKindOf<sub>Person</sub>-OclAny)
\textbf{lemma} \ \textit{cp-OclIsKindOf}_{\textit{Person}} - \textit{OclAny-Person} : \textit{cp} \ \textit{P} \Longrightarrow \textit{cp}(\lambda \textit{X}.(\textit{P}(\textit{X}::OclAny}) :: \textit{Person}).oclIs\textit{KindOf}(\textit{Person}))
by(rule cp11, simp-all add: OclIsKindOf Person-Person)
lemmas [simp] =
 cp-OclIsKindOf<sub>OclAny</sub>-Person-Person
```

```
cp\text{-}OclIsKindOf_{OclAny}\text{-}OclAny\text{-}OclAny}\\ cp\text{-}OclIsKindOf_{Person}\text{-}Person\text{-}Person}\\ cp\text{-}OclIsKindOf_{Person}\text{-}OclAny\text{-}OclAny}\\ cp\text{-}OclIsKindOf_{OclAny}\text{-}Person\text{-}OclAny}\\ cp\text{-}OclIsKindOf_{OclAny}\text{-}OclAny\text{-}Person}\\ cp\text{-}OclIsKindOf_{Person}\text{-}Person\text{-}OclAny}\\ cp\text{-}OclIsKindOf_{Person}\text{-}OclAny\text{-}Person}
```

## **Execution with Invalid or Null as Argument**

```
 \begin{array}{l} \textbf{lemma} \ \textit{OclIsKindOf}_{\textit{OclAny}} \text{-} \textit{OclAny-strict1}[\textit{simp}] : (\textit{invalid}::OclAny) \ .oclIsKindOf(\textit{OclAny}) = \textit{invalid} \\ \textbf{by}(\textit{rule ext, simp add: invalid-def bot-option-def} \\ \textit{OclIsKindOf}_{\textit{OclAny}} \text{-} \textit{OclAny}) \end{array}
```

```
 \begin{array}{l} \textbf{lemma} \ \textit{OclIsKindOf}_{\textit{OclAny}} - \textit{OclAny-strict2}[\textit{simp}] : (\textit{null}::OclAny) \ .oclIsKindOf(\textit{OclAny}) = \textit{true} \\ \textbf{by}(\textit{rule ext}, \textit{simp add}: \textit{null-fun-def null-option-def} \\ \textit{OclIsKindOf}_{\textit{OclAny}} - \textit{OclAny}) \end{array}
```

 $\begin{array}{l} \textbf{lemma} \ \textit{OclIsKindOf} \ \textit{OclAny-Person-strict1}[\textit{simp}] : (\textit{invalid}::Person) \ .oclIsKindOf(\textit{OclAny}) = \textit{invalid} \\ \textbf{by}(\textit{rule ext}, \textit{simp add}: \textit{bot-option-def invalid-def} \\ \textit{OclIsKindOf} \ \textit{OclIsKindOf} \ \textit{OclAny-Person}) \end{array}$ 

 $\begin{array}{l} \textbf{lemma} \ OclIsKindOf_{OclAny}\text{-}Person\text{-}strict2[simp]: (null::Person) .oclIsKindOf(OclAny) = true \\ \textbf{by}(rule \ ext, simp \ add: null\text{-}fun\text{-}def \ null\text{-}option\text{-}def \ bot\text{-}option\text{-}def \ } \\ OclIsKindOf_{OclAny}\text{-}Person) \end{array}$ 

**lemma**  $OclIsKindOf_{Person}$ -OclAny-strict1[simp]: (invalid::OclAny) .oclIsKindOf(Person) = invalid **by**( $rule\ ext,\ simp\ add$ : null-fun- $def\ null$ -option- $def\ bot$ -option- $def\ null$ - $def\ invalid$ - $def\ OclIsKindOf_{Person}$ -OclAny)

 $\begin{array}{l} \textbf{lemma} \ \textit{OclIsKindOf}_{\textit{Person}}\text{-}\textit{OclAny-strict2}[\textit{simp}]\text{:} \ (\textit{null}\text{::}\textit{OclAny}) \ .oclIs\textit{KindOf}(\textit{Person}) = \textit{true} \\ \textbf{by}(\textit{rule ext}, \textit{simp add} : \textit{null-fun-def null-option-def bot-option-def null-def invalid-def} \\ \textit{OclIsKindOf}_{\textit{Person}}\text{-}\textit{OclAny}) \end{array}$ 

**lemma**  $OclIsKindOf_{Person}$ -Person-strict1[simp]: (invalid::Person) .oclIsKindOf(Person) = invalid **by**(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def  $OclIsKindOf_{Person}$ -Person)

 $\begin{array}{l} \textbf{lemma} \ \textit{OclIsKindOf}_{\textit{Person}}\text{-}\textit{Person-strict2}[\textit{simp}]\text{:} \ (\textit{null::Person}) \ .oclIsKindOf(\textit{Person}) = \textit{true} \\ \textbf{by}(\textit{rule ext}, \textit{simp add: null-fun-def null-option-def bot-option-def null-def invalid-def} \\ \textit{OclIsKindOf}_{\textit{Person-Person}}) \end{array}$ 

## **Up Down Casting**

```
lemma actualKind-larger-staticKind: assumes isdef: \tau \models (\delta X) shows \tau \models (X::Person) .oclIsKindOf(OclAny) \triangleq true)
```

```
 \begin{array}{l} \textbf{using } \textit{isdef} \\ \textbf{by}(\textit{auto } \textit{simp} : \textit{bot-option-def} \\ \textit{OclIsKindOf}_{\textit{OclAny}}\text{-}\textit{Person } \textit{foundation22 } \textit{foundation16}) \\ \\ \textbf{lemma } \textit{down-cast-kind} : \\ \textbf{assumes } \textit{isOclAny}: \neg \left(\tau \models ((X::OclAny).oclIsKindOf(\textit{Person}))\right) \\ \textbf{and } \textit{non-null: } \tau \models (\delta X) \\ \textbf{shows} \qquad \tau \models ((X .oclAsType(\textit{Person})) \triangleq \textit{invalid}) \\ \textbf{using } \textit{isOclAny } \textit{non-null} \\ \textbf{apply}(\textit{auto } \textit{simp} : \textit{bot-fun-def } \textit{null-fun-def } \textit{null-option-def } \textit{bot-option-def } \textit{null-def } \textit{invalid-def} \\ \textit{OclAsType}_{\textit{OclAny}}\text{-}\textit{Person } \textit{OclAsType}_{\textit{Person}}\text{-}\textit{OclAny } \textit{foundation22 } \textit{foundation16} \\ \textit{split: option.split } \textit{type}_{\textit{OclAny}}.\textit{split } \textit{type}_{\textit{Person}}.\textit{split} \right) \\ \textbf{by}(\textit{simp } \textit{add: OclIsKindOf}_{\textit{Person}}\text{-}\textit{OclAny } \textit{OclValid-def } \textit{false-def } \textit{true-def}) \\ \end{aligned}
```

## **B.4.7. OclAllInstances**

To denote OCL-types occuring in OCL expressions syntactically—as, for example, as "argument" of oclAllInstances ()—we use the inverses of the injection functions into the object universes; we show that this is sufficient "characterization."

```
definition Person \equiv OclAsType_{Person}-\mathfrak{A}
definition OclAny \equiv OclAsType_{OclAny}-\mathfrak{A}
lemmas [simp] = Person-def OclAny-def
\textbf{lemma} \ \textit{OclAllInstances-generic} \ \textit{OclAny-exec:} \ \textit{OclAllInstances-generic} \ \textit{pre-post} \ \textit{OclAny} = \\ \textbf{lemma} \ \textit{OclAllInstances-generic} \ \textit{OclAny-exec:} \ \textit{Oc
                                 (\lambda \tau. \ Abs\text{-}Set_{base} \ [\ ] \ Some 'OclAny 'ran (heap (pre-post <math>\tau)) \ |\ ])
proof -
  let ?SI = \lambda \tau. OclAny 'ran (heap (pre-post \tau))
  let ?S2 = \lambda \tau. ?S1 \tau - \{None\}
  have B: \wedge \tau. ?S2 \tau \subseteq ?S1 \tau by auto
  have C: \land \tau. ?S1 \tau \subseteq ?S2 \tau by(auto simp: OclAsType<sub>OclAny</sub>-\mathfrak{A}-some)
  show ?thesis by(insert equalityI[OF B C], simp)
qed
lemma OclAllInstances-at-post_{OclAny}-exec: OclAny .allInstances() =
                                (\lambda \tau. \ Abs\text{-}Set_{base} \mid \mid Some \ OclAny \ ran \ (heap \ (snd \ \tau)) \mid \mid)
unfolding OclAllInstances-at-post-def
by(rule OclAllInstances-generic_{OclAny}-exec)
lemma OclAllInstances-at-pre_{OclAny}-exec: OclAny .allInstances@pre() =
                                (\lambda \tau. \ Abs\text{-}Set_{base} \ [\ Some 'OclAny 'ran (heap (fst \tau))\ ]])
unfolding OclAllInstances-at-pre-def
\mathbf{by}(rule\ OclAllInstances-generic_{OclAny}-exec)
```

## OcllsTypeOf

**lemma** OclAny-allInstances-generic-oclIsTypeOf<sub>OclAny</sub>1:

```
assumes [simp]: \bigwedge x. pre-post (x, x) = x
shows \exists \tau. (\tau \models ((OclAllInstances-generic pre-post OclAny)->forAll(X|X .oclIsTypeOf(OclAny))))
apply(rule-tac x = \tau_0 in exI, simp add: \tau_0-def OclValid-def del: OclAllInstances-generic-def)
apply(simp only: assms OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
\mathbf{by}(simp\ add:\ OclIsTypeOf_{OclAny}\text{-}OclAny)
lemma OclAny-allInstances-at-post-oclIsTypeOf<sub>OclAny</sub>1:
\exists \tau. (\tau \models (OclAny .allInstances() -> forAll(X|X .oclIsTypeOf(OclAny))))
unfolding OclAllInstances-at-post-def
by(rule OclAny-allInstances-generic-oclIsTypeOf_{OclAny}1, simp)
lemma OclAny-allInstances-at-pre-oclIsTypeOf<sub>OclAny</sub>1:
\exists \tau. (\tau \models (OclAny .allInstances@pre() -> forAll(X|X .oclIsTypeOf(OclAny))))
unfolding OclAllInstances-at-pre-def
\mathbf{by}(rule\ OclAny-allInstances-generic-oclIsTypeOf_{OclAny}1, simp)
lemma OclAny-allInstances-generic-oclIsTypeOf<sub>OclAny</sub>2:
assumes [simp]: \bigwedge x. pre-post (x, x) = x
shows \exists \tau. (\tau \models not ((OclAllInstances-generic pre-post OclAny) -> forAll(X|X .oclIsTypeOf(OclAny))))
proof – fix oid a let ?t0 = (heap = empty(oid \mapsto in_{OclAny}(mk_{OclAny} oid |a|)),
                    assocs = empty) show ?thesis
apply(rule-tac x = (?t0, ?t0) in exI, simp add: OclValid-def del: OclAllInstances-generic-def)
apply(simp only: OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def OclAsType<sub>OclAnv</sub>-𝔄-def)
 apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp add: OclIsTypeOf<sub>OclAny</sub>-OclAny OclNot-def OclAny-def)
qed
\textbf{lemma} \ \textit{OclAny-allInstances-at-post-oclIsTypeOf}_{\textit{OclAny}} 2:
\exists \tau. (\tau \models not (OclAny .allInstances() -> forAll(X|X .oclIsTypeOf(OclAny))))
unfolding OclAllInstances-at-post-def
by(rule OclAny-allInstances-generic-oclIsTypeOf_{OclAny}2, simp)
lemma OclAny-allInstances-at-pre-oclIsTypeOf<sub>OclAny</sub>2:
\exists \tau. (\tau \models not (OclAny .allInstances@pre() -> forAll(X|X .oclIsTypeOf(OclAny))))
unfolding OclAllInstances-at-pre-def
by(rule OclAny-allInstances-generic-oclIsTypeOf<sub>OclAny</sub>2, simp)
lemma Person-allInstances-generic-oclIsTypeOf<sub>Person</sub>:
\tau \models ((OclAllInstances-generic\ pre-post\ Person) - > forAll(X|X\ .oclIsTypeOf(Person)))
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
 apply(simp only: OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
 apply(simp only: OclAllInstances-generic-def)
```

```
apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp add: OclIsTypeOf<sub>Person</sub>-Person)
lemma Person-allInstances-at-post-oclIsTypeOf_{Person}:
\tau \models (Person . allInstances() -> forAll(X|X . oclIsTypeOf(Person)))
unfolding OclAllInstances-at-post-def
by(rule Person-allInstances-generic-oclIsTypeOf<sub>Person</sub>)
lemma Person-allInstances-at-pre-oclIsTypeOf<sub>Person</sub>:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsTypeOf(Person)))
unfolding OclAllInstances-at-pre-def
\mathbf{by}(rule\ Person-allInstances-generic-ocllsTypeOf_{Person})
OcllsKindOf
lemma OclAny-allInstances-generic-oclIsKindOf_{OclAny}:
\tau \models ((OclAllInstances-generic\ pre-post\ OclAny) -> forAll(X|X\ .oclIsKindOf(OclAny)))
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
apply(simp only: OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp\ add: OclIsKindOf\ _{OclAny}-OclAny)
lemma OclAny-allInstances-at-post-oclIsKindOf<sub>OclAny</sub>:
\tau \models (OclAny .allInstances() - > forAll(X|X .oclIsKindOf(OclAny)))
unfolding OclAllInstances-at-post-def
by(rule OclAny-allInstances-generic-oclIsKindOf_{OclAny})
lemma OclAny-allInstances-at-pre-oclIsKindOf<sub>OclAny</sub>:
\tau \models (OclAny .allInstances@pre() -> forAll(X|X .oclIsKindOf(OclAny)))
unfolding OclAllInstances-at-pre-def
by(rule OclAny-allInstances-generic-oclIsKindOf_{OclAny})
lemma Person-allInstances-generic-oclIsKindOf<sub>OclAny</sub>:
\tau \models ((OclAllInstances-generic\ pre-post\ Person) - > forAll(X|X\ .oclIsKindOf(OclAny)))
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
apply(simp only: OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp add: OcllsKindOf<sub>OclAny</sub>-Person)
lemma Person-allInstances-at-post-oclIsKindOf<sub>OclAnv</sub>:
\tau \models (Person .allInstances() - > forAll(X|X .oclIsKindOf(OclAny)))
unfolding OclAllInstances-at-post-def
by(rule Person-allInstances-generic-oclIsKindOf_{OclAnv})
```

```
lemma Person-allInstances-at-pre-oclIsKindOf<sub>OclAny</sub>:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsKindOf(OclAny)))
unfolding OclAllInstances-at-pre-def
\mathbf{by}(rule\ Person-allInstances-generic-oclIsKindOf_{OclAnv})
lemma Person-allInstances-generic-oclIsKindOf<sub>Person</sub>:
\tau \models ((OclAllInstances-generic\ pre-post\ Person) - > forAll(X|X\ .oclIsKindOf(Person)))
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
apply(simp only: OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp add: OclIsKindOf<sub>Person</sub>-Person)
lemma Person-allInstances-at-post-oclIsKindOf<sub>Person</sub>:
\tau \models (Person .allInstances() -> forAll(X|X .oclIsKindOf(Person)))
unfolding OclAllInstances-at-post-def
by(rule Person-allInstances-generic-oclIsKindOf<sub>Person</sub>)
lemma Person-allInstances-at-pre-oclIsKindOf_{Person}:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsKindOf(Person)))
unfolding OclAllInstances-at-pre-def
by(rule Person-allInstances-generic-oclIsKindOf<sub>Person</sub>)
```

# B.4.8. The Accessors (any, boss, salary)

Should be generated entirely from a class-diagram.

## **Definition (of the association Employee-Boss)**

We start with a oid for the association; this oid can be used in presence of association classes to represent the association inside an object, pretty much similar to the Design\_UML, where we stored an oid inside the class as "pointer."

```
definition oid_{Person} \mathscr{BOSS} :: oid where oid_{Person} \mathscr{BOSS} = 10
```

From there on, we can already define an empty state which must contain for  $oid_{Person} \mathcal{BOSS}$  the empty relation (encoded as association list, since there are associations with a Sequence-like structure).

```
definition eval-extract :: ({}^t\mathfrak{A},({}'a::object) option option) val \Rightarrow (oid \Rightarrow ({}^t\mathfrak{A},{}'c::null) val) \Rightarrow ({}^t\mathfrak{A},{}^tc::null) val \text{where } eval\text{-extract } Xf = (\lambda \ \tau.\ case\ X\ \tau \ of \\ \bot \Rightarrow invalid\ \tau \ (*\ exception\ propagation\ *) \\ |\ \bot\ \bot \Rightarrow invalid\ \tau \ (*\ dereferencing\ null\ pointer\ *) \\ |\ \bot\ \bot \Rightarrow f\ (oid\text{-of\ obj})\ \tau)
```

```
definition choose_2-1 = fst
definition choose_2-2 = snd
(l)))))
definition deref-assocs<sub>2</sub> :: ('\mathfrak{A} state \times '\mathfrak{A} state \Rightarrow '\mathfrak{A} state)
                     \Rightarrow (oid list list \Rightarrow oid list \times oid list)
                     \Rightarrow oid
                     \Rightarrow (oid list \Rightarrow ('\mathfrak{1},'f)val)
                     \Rightarrow oid
                     \Rightarrow ('\mathfrak{A}, 'f::null)val
where
           deref-assocs2 pre-post to-from assoc-oid f oid =
            (\lambda \tau. case (assocs (pre-post \tau)) assoc-oid of
                |S| \Rightarrow f (List-flatten (map (choose<sub>2</sub>-2 \circ to-from)
                           (filter (\lambda p. List.member (choose_2-1 (to-from p)) oid) S)))
               | - \Rightarrow invalid \tau )
```

The *pre-post*-parameter is configured with *fst* or *snd*, the *to-from*-parameter either with the identity *id* or the following combinator *switch*:

```
definition switch<sub>2</sub>-1 = (\lambda[x,y] \Rightarrow (x,y))
definition switch<sub>2</sub>-2 = (\lambda[x,y] \Rightarrow (y,x))
definition switch<sub>3</sub>-1 = (\lambda[x,y,z] \Rightarrow (x,y))
definition switch_3-2 = (\lambda[x,y,z] \Rightarrow (x,z))
definition switch_3-3 = (\lambda[x,y,z] \Rightarrow (y,x))
definition switch<sub>3</sub>-4 = (\lambda[x,y,z] \Rightarrow (y,z))
definition switch<sub>3</sub>-5 = (\lambda[x,y,z] \Rightarrow (z,x))
definition switch<sub>3</sub>-6 = (\lambda[x,y,z] \Rightarrow (z,y))
definition select-object :: (('\mathfrak{A}, 'b::null)val)
                        \Rightarrow (('\mathfrak{A}, 'b)val \Rightarrow ('\mathfrak{A}, 'c)val \Rightarrow ('\mathfrak{A}, 'b)val)
                         \Rightarrow (('\mathfrak{A}, 'b)val \Rightarrow ('\mathfrak{A}, 'd)val)
                        \Rightarrow (oid \Rightarrow ('\mathfrak{A},'c::null)val)
                         \Rightarrow oid list
                         \Rightarrow ('\mathfrak{A}, 'd)val
where select-object mt incl smash deref l = smash(foldl incl mt (map deref l))
(* smash returns null with mt in input (in this case, object contains null pointer) *)
```

The continuation f is usually instantiated with a smashing function which is either the identity id or, for 0..1 cardinalities of associations, the OclANY-selector which also handles the null-cases appropriately. A standard use-case for this combinator is for example:

term (select-object mtSet UML-Set.OclIncluding OclANY f l oid )::('\mathbb{A}, 'a::null)val

```
definition deref\text{-}oid_{Person} :: (\mathfrak{A} \ state \times \mathfrak{A} \ state \Rightarrow \mathfrak{A} \ state)
\Rightarrow (type_{Person} \Rightarrow (\mathfrak{A}, 'c::null)val)
\Rightarrow oid
\Rightarrow (\mathfrak{A}, 'c::null)val
where deref\text{-}oid_{Person} \ fst\text{-}snd \ foid = (\lambda \tau. \ case \ (heap \ (fst\text{-}snd \ \tau)) \ oid \ of
```

```
definition deref\text{-}oid_{OclAny} :: (\mathfrak{A} \textit{ state} \times \mathfrak{A} \textit{ state} \Rightarrow \mathfrak{A} \textit{ state})
                           \Rightarrow (type_{OclAny} \Rightarrow (\mathfrak{A}, 'c::null)val)
                           \Rightarrow oid
                           \Rightarrow (\mathfrak{A}, 'c::null)val
where \textit{deref-oid}_{\textit{OclAny}} \textit{fst-snd} \, f \, \textit{oid} = (\lambda \tau. \, \textit{case} \, (\textit{heap} \, (\textit{fst-snd} \, \tau)) \, \textit{oid} \, \textit{of}
                   pointer undefined in state or not referencing a type conform object representation
definition select<sub>OclAnv</sub> \mathscr{A} \mathscr{N} \mathscr{Y} f = (\lambda X. case X of
                   (mk_{OclAnv} - \bot) \Rightarrow null
                 |(mk_{OclAny} - \lfloor any \rfloor) \Rightarrow f(\lambda x - \lfloor \lfloor x \rfloor) any)
definition select<sub>Person</sub>\mathscr{BOSS} f = select-object mtSet UML-Set.OclIncluding OclANY (f(\lambda x - ||x||))
definition select<sub>Person</sub>\mathscr{SALARY} f = (\lambda X. case X of
                   (mk_{Person} - \bot) \Rightarrow null
                 |(mk_{Person} - \lfloor salary \rfloor) \Rightarrow f(\lambda x - \cdot ||x||) salary)
definition deref-assocs<sub>2</sub>\mathscr{BOSS} fst-snd f = (\lambda \ mk_{Person} \ oid - \Rightarrow
             deref-assocs<sub>2</sub> fst-snd switch_2-1 oid_{Person} \mathcal{BOSS} foid)
definition in-pre-state = fst
definition in-post-state = snd
definition reconst-basetype = (\lambda \ convert \ x. \ convert \ x)
definition dot_{OclAny} \mathscr{A} \mathscr{N} \mathscr{Y} :: OclAny \Rightarrow - ((1(-).any) 50)
  where (X).any = eval-extract X
                   (deref-oid_{OclAny} in-post-state)
                     (select_{OclAnv} \mathcal{A} \mathcal{N} \mathcal{Y})
                       reconst-basetype))
definition dot_{Person} \mathcal{BOSS} :: Person \Rightarrow Person \ ((1(-).boss) \ 50)
  where (X).boss = eval-extract X
                    (deref-oid<sub>Person</sub> in-post-state
                      (deref-assocs2BOSS in-post-state
```

 $\lfloor in_{Person} \ obj \ \rfloor \Rightarrow f \ obj \ \tau$  $\mid - \Rightarrow invalid \ \tau)$ 

 $(select_{Person}\mathcal{BOSS})$ 

 $(deref-oid_{Person} in-post-state))))$ 

```
definition dot_{Person} \mathcal{SALARY} :: Person \Rightarrow Integer ((1(-).salary) 50)
  where (X).salary = eval-extract X
                     (deref-oid_{Person} in-post-state)
                      (select_{Person}\mathcal{S}\mathcal{A}\mathcal{L}\mathcal{A}\mathcal{R}\mathcal{Y}
                        reconst-basetype))
definition dot_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y}-at-pre :: OclAny \Rightarrow -((1(-).any@pre) 50)
  where (X).any@pre = eval-extract X
                     (deref-oid_{OclAny} in-pre-state)
                       (select_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y})
                         reconst-basetype))
definition dot_{Person} \mathscr{BOSS}-at-pre:: Person \Rightarrow Person \ ((1(-).boss@pre)\ 50)
  where (X).boss@pre = eval-extract X
                      (deref-oid_{Person} in-pre-state)
                        (deref-assocs_2\mathcal{BOSS}) in-pre-state
                         (select_{Person}\mathcal{BOSS})
                           (deref-oid_{Person} in-pre-state))))
definition dot_{Person} \mathcal{SALARY} -at-pre:: Person \Rightarrow Integer ((1(-).salary@pre) 50)
  where (X).salary@pre = eval-extract X
                        (deref-oid_{Person} in-pre-state
                         (select_{Person}\mathcal{S}\mathcal{A}\mathcal{L}\mathcal{A}\mathcal{R}\mathcal{Y}
                           reconst-basetype))
\mathbf{lemmas}\ dot\text{-}accessor =
 dot_{OclAnv} \mathcal{A} \mathcal{N} \mathcal{Y}-def
 dot_{Person} \mathcal{BOSS}-def
 dotPerson SALARY-def
 dot_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y}-at-pre-def
 dot_{Person} \mathcal{BOSS}-at-pre-def
 dot_{Person}\mathcal{SALARY}-at-pre-def
Context Passing
lemmas [simp] = eval-extract-def
lemma cp-dot_{OclAny} \mathscr{A} \mathscr{N} \mathscr{Y} : ((X).any) \ \tau = ((\lambda - X \ \tau).any) \ \tau \ by (simp add: dot-accessor)
lemma cp\text{-}dot_{Person}\mathcal{BOSS}(\mathcal{S}:((X).boss) \tau = ((\lambda -. X \tau).boss) \tau by (simp add: dot-accessor)
lemma cp\text{-}dot_{Person}\mathscr{S}\mathscr{ALARY}: ((X).salary) \ \tau = ((\lambda -. X \ \tau).salary) \ \tau \ \mathbf{by} \ (simp \ add: \ dot-accessor)
lemma cp\text{-}dot_{OclAny} \mathscr{A} \mathscr{N} \mathscr{Y}\text{-}at\text{-}pre: ((X).any@pre) \tau = ((\lambda -. X \tau).any@pre) \tau by (simp add: dot-accessor)
lemma cp\text{-}dot_{Person}\mathcal{BOSS} -at\text{-}pre: ((X).boss@pre) \tau = ((\lambda - X \tau).boss@pre) \tau by (simp\ add:\ dot\text{-}accessor)
lemma cp-dot_{Person}\mathscr{S}\mathscr{ALARY}-at-pre: ((X).salary@pre) \tau = ((\lambda - X \tau).salary@pre) \tau by (simp\ add:\ dot-accessor)
lemmas cp-dot_{OclAnv} \mathscr{A} \mathscr{N} \mathscr{Y}-I[simp, intro!]=
      cp-dot_{OclAnv} \mathcal{ANY}[THEN\ allI[THEN\ allI],
                      of \lambda X - X \lambda - \tau \cdot \tau, THEN cp11
```

```
 \begin{array}{l} \textit{cp-dot}_{OclAny} \mathscr{A} \mathscr{N} \mathscr{Y} \textit{-at-pre}[\textit{THEN allI}[\textit{THEN allI}], \\ \textit{of } \chi \textit{X} \cdot . \textit{X} \ \chi \cdot \tau . \ \tau . \ \textit{THEN cpII}] \\ \\ \textbf{lemmas } \textit{cp-dot}_{Person} \mathscr{BOSS} \cdot \mathscr{S} - I \ [\textit{simp}, intro!] = \\ \textit{cp-dot}_{Person} \mathscr{BOSS} \cdot \mathscr{S} + [\textit{THEN allI}[\textit{THEN allI}], \\ \textit{of } \chi \textit{X} \cdot . \ X \ \chi \cdot \tau . \ \tau . \ \textit{THEN cpII}] \\ \textbf{lemmas } \textit{cp-dot}_{Person} \mathscr{BOSS} \cdot \mathscr{S} - \textit{at-pre-I} \ [\textit{simp}, intro!] = \\ \textit{cp-dot}_{Person} \mathscr{BOSS} \cdot \mathscr{S} - \textit{at-pre}[\textit{THEN allI}[\textit{THEN allI}], \\ \\ \end{aligned}
```

**lemmas** cp- $dot_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y}$ -at-pre-I [simp, intro!]=

```
lemmas cp\text{-}dot_{Person}\mathcal{S}\mathcal{A}\mathcal{L}\mathcal{A}\mathcal{R}\mathcal{Y}\text{-}I [simp, intro!] = cp\text{-}dot_{Person}\mathcal{S}\mathcal{A}\mathcal{L}\mathcal{A}\mathcal{R}\mathcal{Y}[THEN \ all I[THEN \ all I], of <math>\lambda X - X \lambda - \tau \cdot \tau, THEN \ cpII]

lemmas cp\text{-}dot_{Person}\mathcal{S}\mathcal{A}\mathcal{L}\mathcal{A}\mathcal{R}\mathcal{Y}\text{-}at\text{-}preI [simp, intro!] = cp\text{-}dot_{Person}\mathcal{S}\mathcal{A}\mathcal{L}\mathcal{A}\mathcal{R}\mathcal{Y}\text{-}at\text{-}pre[THEN \ all I[THEN \ all I], of <math>\lambda X - X \lambda - \tau \cdot \tau, THEN \ cpII
```

of  $\lambda X - X \lambda - \tau \cdot \tau$ , THEN cp11

## **Execution with Invalid or Null as Argument**

 $\begin{array}{l} \textbf{lemma} \ dot_{OclAny} \mathscr{A} \mathscr{N} \mathscr{Y} \text{-nullstrict} \ [simp] : (null).any = invalid \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option-def \ bot - option-def \ null-def \ invalid-def) \\ \textbf{lemma} \ dot_{OclAny} \mathscr{A} \mathscr{N} \mathscr{Y} \text{-at-pre-nullstrict} \ [simp] : (null).any@pre = invalid \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option-def \ bot - option-def \ null-def \ invalid-def) \\ \textbf{lemma} \ dot_{OclAny} \mathscr{A} \mathscr{N} \mathscr{Y} \text{-at-pre-strict} \ [simp] : (invalid).any@pre = invalid \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option-def \ bot - option-def \ null-def \ invalid-def) \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option-def \ bot - option-def \ null - def \ invalid-def) \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option - def \ bot - option - def \ null - def \ invalid - def) \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option - def \ bot - option - def \ null - def \ invalid - def) \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option - def \ bot - option - def \ null - def \ invalid - def) \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option - def \ bot - option - def \ null - def \ invalid - def) \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option - def \ bot - option - def \ null - def \ invalid - def) \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option - def \ bot - option - def \ null - def \ invalid - def) \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option - def \ bot - option - def \ null - def) \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot -accessor \ null - fun-def \ null - option - def \ bot - option - def \ null - def) \\ \textbf{by} (rule \ ext, \ simp \ add: \ dot - accessor \ null - option - def \ bot - option - def \ null - option - def \ bot -$ 

```
lemma dot_{Person} \mathscr{BOSS}-nullstrict [simp]: (null).boss = invalid by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) lemma dot_{Person} \mathscr{BOSS}-at-pre-nullstrict [simp]: (null).boss@pre = invalid by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) lemma dot_{Person} \mathscr{BOSS}-strict [simp]: (invalid).boss = invalid by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) lemma dot_{Person} \mathscr{BOSS}-at-pre-strict [simp]: (invalid).boss@pre = invalid by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def)
```

**lemma**  $dot_{Person} \mathscr{SALARY}$  -nullstrict [simp]: (null).salary = invalid **by**(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) **lemma**  $dot_{Person} \mathscr{SALARY}$  -at-pre-nullstrict [simp] : (null).salary@pre = invalid **by**(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) **lemma**  $dot_{Person} \mathscr{SALARY}$  -strict [simp] : (invalid).salary = invalid **by**(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) **lemma**  $dot_{Person} \mathscr{SALARY}$  -at-pre-strict [simp] : (invalid).salary@pre = invalid **by**(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def)

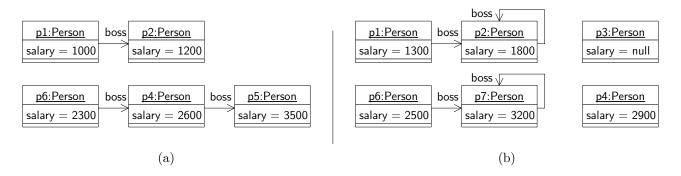


Figure B.2.: (a) pre-state  $\sigma_1$  and (b) post-state  $\sigma'_1$ .

# **B.4.9.** A Little Infra-structure on Example States

The example we are defining in this section comes from the figure B.2.

```
definition OclInt1000 (1000) where OclInt1000 = (\lambda - . | |1000| |)
definition OclInt1200 (1200) where OclInt1200 = (\lambda - . | |1200| |)
definition OclInt1300 (1300) where OclInt1300 = (\lambda - . | |1300| |)
definition OclInt1800 (1800) where OclInt1800 = (\lambda - . | | 1800 | |)
definition OclInt2600 (2600) where OclInt2600 = (\lambda - . | |2600| |)
definition OclInt2900 (2900) where OclInt2900 = (\lambda - . | |2900| |)
definition OclInt3200 (3200) where OclInt3200 = (\lambda - . ||3200||)
definition OclInt3500 (3500) where OclInt3500 = (\lambda - . ||3500||)
definition oid0 \equiv 0
definition oid1 \equiv 1
definition oid2 \equiv 2
definition oid3 \equiv 3
definition oid4 \equiv 4
definition oid5 \equiv 5
definition oid6 \equiv 6
definition oid7 \equiv 7
definition oid8 \equiv 8
definition person1 \equiv mk_{Person} \ oid0 \ |1300|
definition person2 \equiv mk_{Person} \ oid1 \ |1800|
definition person3 \equiv mk_{Person} oid2 None
definition person4 \equiv mk_{Person} \ oid3 \ | 2900
definition person5 \equiv mk_{Person} \ oid4 \ |3500|
definition person6 \equiv mk_{Person} \ oid5 \ \lfloor 2500 \rfloor
definition person7 \equiv mk_{OclAny} \ oid6 \ | \ | \ | \ | \ | \ | \ |
definition person8 \equiv mk_{OclAny} oid7 None
definition person9 \equiv mk_{Person} \ oid8 \ \lfloor 0 \rfloor
definition
    \sigma_1 \equiv (|heap = empty(oid0 \mapsto in_{Person} (mk_{Person} oid0 | 1000 |)))
```

```
(oid1 \mapsto in_{Person} (mk_{Person} oid1 | 1200 |))
                    (*oid2*)
                     (oid3 \mapsto in_{Person} (mk_{Person} oid3 \lfloor 2600 \rfloor))
                     (oid4 \mapsto in_{Person} \ person5)
                     (oid5 \mapsto in_{Person} (mk_{Person} oid5 | 2300 |))
                    (*oid6*)
                    (*oid7*)
                     (oid8 \mapsto in_{Person} person9),
           assocs = empty(oid_{Person} \mathcal{BOSS} \mapsto [[[oid0], [oid1]], [[oid3], [oid4]], [[oid5], [oid3]]]) )
definition
    \sigma_1' \equiv (| heap = empty(oid0 \mapsto in_{Person} person1)
                     (oid1 \mapsto in_{Person} person2)
                     (oid2 \mapsto in_{Person} person3)
                     (oid3 \mapsto in_{Person} person4)
                     (*oid4*)
                     (oid5 \mapsto in_{Person} person6)
                     (oid6 \mapsto in_{OclAny} person7)
                     (oid7 \mapsto in_{OclAny} person8)
                     (oid8 \mapsto in_{Person} person9),
           assocs = empty(oid_{Person} \mathcal{BOSS} \mapsto [[[oid0], [oid1]], [[oid1], [oid1]], [[oid5], [oid6]], [[oid6], [oid6]]]) \mid \}
definition \sigma_0 \equiv (|heap = empty, assocs = empty)
lemma basic-\tau-wff: WFF(\sigma_1, \sigma_1')
by(auto simp: WFF-def \sigma_1-def \sigma_1'-def
           oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
           oid-of-\mathfrak{A}-def oid-of-type<sub>Person</sub>-def oid-of-type<sub>OclAny</sub>-def
           person1-def person2-def person3-def person4-def
          person5-def person6-def person7-def person8-def person9-def)
lemma [simp,code-unfold]: dom(heap \sigma_1) = \{oid0,oid1,(*,oid2*)oid3,oid4,oid5(*,oid6,oid7*),oid8\}
by(auto simp: \sigma_1-def)
lemma [simp,code-unfold]: dom (heap \sigma_1) = {oid0,oid1,oid2,oid3,(*,oid4*)oid5,oid6,oid7,oid8}
by(auto simp: \sigma_1'-def)
definition X_{Person}1 :: Person \equiv \lambda - . | | person1 | |
definition X_{Person}2 :: Person \equiv \lambda - . | | person2 | |
definition X_{Person}3 :: Person \equiv \lambda - \lfloor \lfloor person3 \rfloor \rfloor
definition X_{Person}4 :: Person \equiv \lambda - . | | person4 | |
definition X_{Person}5 :: Person \equiv \lambda - \lfloor \lfloor person5 \rfloor \rfloor
definition X_{Person}6 :: Person \equiv \lambda - || person6 ||
definition X_{Person}7 :: OclAny \equiv \lambda - .|| person7||
definition X_{Person}8 :: OclAny \equiv \lambda - . | | person8 | |
definition X_{Person}9 :: Person \equiv \lambda - . | | person 9 | |
```

```
lemma [code-unfold]: ((x::Person) \doteq y) = StrictRefEq_{Object} \times y by (simp only: StrictRefEq_{Object-Person})
lemma [code-unfold]: ((x::OclAny) = y) = StrictRefEq_{Object} \times y by (simp only: StrictRefEq_{Object} \cdot OclAny)
lemmas [simp,code-unfold] =
OclAsType_{OclAny}-OclAny
 OclAsType<sub>OclAny</sub>-Person
 OclAsType<sub>Person</sub>-OclAny
 OclAsType<sub>Person</sub>-Person
 OclIsTypeOf<sub>OclAny</sub>-OclAny
 OclIsTypeOf OclAny-Person
 OclIsTypeOf Person-OclAny
 OclIsTypeOf Person-Person
 OclIsKindOf OclAny-OclAny
 OclIsKindOf<sub>OclAny</sub>-Person
 OclIsKindOf Person-OclAny
 OclIsKindOf<sub>Person</sub>-Person
Assert \land s_{pre} . (s_{pre}, \sigma_1) \models
                                         (X_{Person}1.salary <> 1000)
Assert \land s_{pre} . (s_{pre}, \sigma_1) \models
                                        (X_{Person}1 . salary \doteq 1300)
                                         (X_{Person}1.salary@pre
                                                                       \doteq 1000)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                                         (X_{Person}1.salary@pre
                                                                       <> 1300)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                   (\sigma_1,\sigma_1') \models
                                     (X_{Person}1 . oclIsMaintained())
by(simp add: OclValid-def OclIsMaintained-def
         \sigma_1-def \sigma_1'-def
         X_{Person}1-def person1-def
         oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
         oid-of-option-def oid-of-type<sub>Person</sub>-def)
by(rule up-down-cast-Person-OclAny-Person', simp add: X_{Person}1-def)
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models (X_{Person}1 . oclIsTypeOf(Person))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(X_{Person}1 .ocllsTypeOf(OclAny))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models (X_{Person}1 . ocllsKindOf(Person))
Assert \land s_{pre} \ s_{post}. (s_{pre}, s_{post}) \models (X_{Person}1 \ .ocllsKindOf(OclAny))
Assert \bigwedge s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(X_{Person}1 . oclAsType(OclAny) . oclIsTypeOf(OclAny))
Assert \land s_{pre} . (s_{pre}, \sigma_1') \models (X_{Person}2 . salary)
                                                                 \doteq 1800)
                                        (X_{Person}2.salary@pre \doteq 1200)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                   (\sigma_1, \sigma_1') \models (X_{Person} 2 . oclls Maintained())
by(simp add: OclValid-def OclIsMaintained-def
         \sigma_1-def \sigma_1'-def
         X_{Person}2-def person2-def
```

```
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid-of-option-def oid-of-type<sub>Person</sub>-def)
```

```
Assert \land s_{pre} . (s_{pre}, \sigma_1') \models (X_{Person}3 . salary)
                                                                    \doteq null)
Assert \land s_{post}. (\sigma_1, s_{post}) \models not(v(X_{Person}3 .salary@pre))
                   (\sigma_1, \sigma_1') \models (X_{Person}3.ocllsNew())
lemma
by(simp add: OclValid-def OclIsNew-def
         \sigma_1-def \sigma_1'-def
         X_{Person}3-def person3-def
         oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid8-def
         oid-of-option-def oid-of-type<sub>Person</sub>-def)
lemma
                                      (X_{Person}4.oclIsMaintained())
                    (\sigma_1,\sigma_1') \models
by(simp add: OclValid-def OclIsMaintained-def
         \sigma_1-def \sigma_1'-def
         X_{Person}4-def person4-def
         oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def
         oid-of-option-def oid-of-type<sub>Person</sub>-def)
Assert \land s_{pre} . (s_{pre}, \sigma_1') \models not(\upsilon(X_{Person}5.salary))
                                        (X_{Person}5.salary@pre \doteq 3500)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                    (\sigma_1, \sigma_1') \models (X_{Person} 5 . oclls Deleted())
lemma
by(simp add: OclNot-def OclValid-def OclIsDeleted-def
         \sigma_1-def \sigma_1'-def
         X_{Person}5-def person5-def
         oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
         oid-of-option-def oid-of-type<sub>Person</sub>-def)
```

**lemma**  $(\sigma_1, \sigma_1') \models (X_{Person}6 .oclIsMaintained())$ **by**(simp add: OclValid-def OclIsMaintained-def  $\sigma_1$ -def  $\sigma_1'$ -def  $X_{Person}6$ -def person6-def oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid-of-option-def oid-of-type<sub>Person</sub>-def)

**Assert** 
$$\land s_{pre} s_{post}$$
.  $(s_{pre}, s_{post}) \models v(X_{Person} 7 . oclAsType(Person))$ 

```
lemma \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models ((X_{Person}7 .oclAsType(Person) .oclAsType(OclAny))
                                                 .oclAsType(Person))
                            \doteq (X_{Person}7 .oclAsType(Person)))
by(rule up-down-cast-Person-OclAny-Person', simp add: X<sub>Person</sub>7-def OclValid-def valid-def person7-def)
                    (\sigma_1,\sigma_1') \models
                                       (X_{Person}7.oclIsNew())
by(simp add: OclValid-def OclIsNew-def
         \sigma_1-def \sigma_1'-def
         X_{Person}7-def person7-def
         oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid8-def
         oid-of-option-def oid-of-type_{OclAnv}-def)
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models (X_{Person} 8 <> X_{Person} 7)
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(v(X_{Person}8 .oclAsType(Person)))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models (X_{Person} 8 .ocllsTypeOf(OclAny))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(X_{Person} 8 .oclIsTypeOf(Person))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(X_{Person} 8 .oclIsKindOf(Person))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models
                                           (X_{Person}8.oclIsKindOf(OclAny))
lemma \sigma-modifiedonly: (\sigma_1, \sigma_1') \models (Set\{X_{Person}1 . oclAsType(OclAny)\})
                , X_{Person} 2 . oclAsType(OclAny)
               (*, X_{Person}3.oclAsType(OclAny)*)
                , X_{Person}4 .oclAsType(OclAny)
               (*, X_{Person}5.oclAsType(OclAny)*)
                , X_{Person}6 .oclAsType(OclAny)
               (*, X_{Person}7 .oclAsType(OclAny)*)
               (*, X_{Person} 8.oclAsType(OclAny)*)
               (*, X_{Person}9.oclAsType(OclAny)*)}->oclIsModifiedOnly())
 apply(simp add: OclIsModifiedOnly-def OclValid-def
            oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
            X_{Person}1-def X_{Person}2-def X_{Person}3-def X_{Person}4-def
            X_{Person}5-def X_{Person}6-def X_{Person}7-def X_{Person}8-def X_{Person}9-def
            person1-def person2-def person3-def person4-def
            person5-def person6-def person7-def person8-def person9-def
            image-def)
 apply(simp add: OclIncluding-rep-set mtSet-rep-set null-option-def bot-option-def)
 apply(simp add: oid-of-option-def oid-of-type<sub>OclAny</sub>-def, clarsimp)
 apply(simp add: \sigma_1-def \sigma_1'-def
            oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)
done
lemma (\sigma_1, \sigma_1') \models ((X_{Person} 9 \otimes pre (\lambda x. | OclAsType_{Person} - \mathfrak{A} x|)) \triangleq X_{Person} 9)
by(simp add: OclSelf-at-pre-def \sigma_1-def oid-of-option-def oid-of-type<sub>Person</sub>-def
         X_{Person}9-def person9-def oid8-def OclValid-def StrongEq-def OclAsType<sub>Person</sub>-\mathfrak{A}-def)
lemma (\sigma_1, \sigma_1') \models ((X_{Person}9 \otimes post (\lambda x. | OclAsType_{Person}-\mathfrak{A} x|)) \triangleq X_{Person}9)
```

```
by(simp add: OclSelf-at-post-def \sigma_1'-def oid-of-option-def oid-of-type<sub>Person</sub>-def
           X_{Person}9-def person9-def oid8-def OclValid-def StrongEq-def OclAsType<sub>Person</sub>-\mathfrak{A}-def)
lemma (\sigma_1, \sigma_1') \models (((X_{Person}9 .oclAsType(OclAny)) @pre (\lambda x. | OclAsType_{OclAny} - \mathfrak{A} x|)) \triangleq
                ((X_{Person}9.oclAsType(OclAny)) @post(\lambda x. | OclAsType_{OclAny}-\mathfrak{A} x|)))
proof -
 have including4 : \land a \ b \ c \ d \ \tau.
       Set\{\lambda \tau. \lfloor \lfloor a \rfloor \rfloor, \lambda \tau. \lfloor \lfloor b \rfloor \rfloor, \lambda \tau. \lfloor \lfloor c \rfloor \rfloor, \lambda \tau. \lfloor \lfloor d \rfloor \rfloor \} \tau = Abs-Set_{base} \lfloor \lfloor \{\lfloor \lfloor a \rfloor \rfloor, \lfloor \lfloor b \rfloor \rfloor, \lfloor \lfloor c \rfloor \rfloor, \lfloor \lfloor d \rfloor \rfloor \} \rfloor \rfloor
 apply(subst abs-rep-simp'[symmetric], simp)
  apply(simp add: OclIncluding-rep-set mtSet-rep-set)
  by(rule arg-cong[of - - \lambda x. (Abs-Set<sub>base</sub>(|| x ||))], auto)
 have excluding1: \bigwedge S a b c d e \tau.
                (\lambda -. Abs-Set_{base} \mid \mid \{ \lfloor \lfloor a \rfloor \rfloor, \lfloor \lfloor b \rfloor \rfloor, \lfloor \lfloor c \rfloor \rfloor, \lfloor \lfloor d \rfloor \} \} \rfloor) -> excluding(\lambda \tau. \lfloor \lfloor e \rfloor \rfloor) \tau =
                Abs\text{-}Set_{base} \mid \mid \{ \lfloor \lfloor a \rfloor \rfloor, \lfloor \lfloor b \rfloor \rfloor, \lfloor \lfloor c \rfloor \rfloor, \lfloor \lfloor d \rfloor \} - \{ \lfloor \lfloor e \rfloor \rfloor \} \rfloor \rfloor
  apply(simp add: OclExcluding-def)
  apply(simp add: defined-def OclValid-def false-def true-def
                bot-fun-def bot-Set<sub>base</sub>-def null-fun-def null-Set<sub>base</sub>-def)
  apply(rule conjI)
  apply(rule impI, subst (asm) Abs-Set<sub>base</sub>-inject) apply( simp add: bot-option-def)+
  apply(rule conjI)
  apply(rule impI, subst (asm) Abs-Set<sub>base</sub>-inject) apply( simp add: bot-option-def null-option-def)+
  apply(subst Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def, simp)
 done
 show ?thesis
 apply(rule\ framing[where\ X = Set\{\ X_{Person}1\ .oclAsType(OclAny)
                    , X_{Person}2 . oclAsType(OclAny)
                  (*, X_{Person}3 . oclAsType(OclAny)*)
                    , X_{Person}4 .oclAsType(OclAny)
                  (*, X_{Person}5 . oclAsType(OclAny)*)
                    , X_{Person}6.oclAsType(OclAny)
                  (*, X_{Person}7 . oclAsType(OclAny)*)
                  (*, X_{Person}8.oclAsType(OclAny)*)
                  (*, X_{Person}9.oclAsType(OclAny)*)}])
  apply(cut-tac \sigma-modifiedonly)
  apply(simp only: OclValid-def
                 X_{Person}1-def X_{Person}2-def X_{Person}3-def X_{Person}4-def
                 X_{Person}5-def X_{Person}6-def X_{Person}7-def X_{Person}8-def X_{Person}9-def
                 person1-def person2-def person3-def person4-def
                 person5-def person6-def person7-def person8-def person9-def
                 OclAsType_{OclAny}-Person)
  apply(subst cp-OclIsModifiedOnly, subst cp-OclExcluding,
    subst (asm) cp-OclIsModifiedOnly, simp add: including4 excluding1)
  apply(simp only: X_{Person}1-def X_{Person}2-def X_{Person}3-def X_{Person}4-def
                X_{Person}5-def X_{Person}6-def X_{Person}7-def X_{Person}8-def X_{Person}9-def
```

```
person1-def person2-def person3-def person4-def
                        person5-def person6-def person7-def person8-def person9-def)
   apply(simp add: OclIncluding-rep-set mtSet-rep-set
                       oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)
   apply(simp add: StrictRefEq<sub>Object</sub>-def oid-of-option-def oid-of-type<sub>OclAny</sub>-def OclNot-def OclN
                      null-option-def bot-option-def)
 done
qed
lemma perm - \sigma_1' : \sigma_1' = (|heap = empty)
                                  (oid8 \mapsto in_{Person} person9)
                                   (oid7 \mapsto in_{OclAny} person8)
                                   (oid6 \mapsto in_{OclAnv} person7)
                                  (oid5 \mapsto in_{Person} person6)
                                  (*oid4*)
                                   (oid3 \mapsto in_{Person} person4)
                                   (oid2 \mapsto in_{Person} person3)
                                   (oid1 \mapsto in_{Person} person2)
                                   (oid0 \mapsto in_{Person} person1)
                             , assocs = assocs \, \sigma_1'
proof -
 note P = fun-upd-twist
 show ?thesis
  apply(simp add: \sigma_1'-def
                       oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)
  apply(subst (1) P, simp)
   apply(subst(2) P, simp) apply(subst(1) P, simp)
  apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst (1) P, simp)
  apply(subst (4) P, simp) apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst (1) P, simp)
   apply(subst (5) P, simp) apply(subst (4) P, simp) apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst (1)
P, simp)
  apply(subst (6) P, simp) apply(subst (5) P, simp) apply(subst (4) P, simp) apply(subst (3) P, simp) apply(subst (2)
P, simp) apply(subst (1) P, simp)
  apply(subst (7) P, simp) apply(subst (6) P, simp) apply(subst (5) P, simp) apply(subst (4) P, simp) apply(subst (3)
P, simp) apply(subst (2) P, simp) apply(subst (1) P, simp)
 \mathbf{by}(simp)
qed
declare const-ss [simp]
lemma \wedge \sigma_1.
 (\sigma_1, \sigma_1') \models (Person \ .allInstances() \doteq Set\{\ X_{Person}1, X_{Person}2, X_{Person}3, X_{Person}4(*, X_{Person}5*), X_{Person}6, \}
                                                      X_{Person}7 .oclAsType(Person)(*, X_{Person}8*), X_{Person}9 })
 apply(subst perm-\sigma_1)
 apply(simp only: oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
                       X_{Person}1-def X_{Person}2-def X_{Person}3-def X_{Person}4-def
                       X_{Person}5-def X_{Person}6-def X_{Person}7-def X_{Person}8-def X_{Person}9-def
                      person7-def)
```

 $\textbf{apply}(\textit{subst state-update-vs-allInstances-at-post-tc}, \textit{simp}, \textit{simp}, \textit{add: OclAsType}_{\textit{Person}} \text{-} \mathfrak{A} \text{-} \textit{def}, \textit{simp}, \textit{rule const-StrictRefEq}_{\textit{Set}} \text{-} \textit{including.} \\ \textit{simp}, \textit{simp}, \textit{simp}, \textit{rule OclIncluding-cong}, \textit{simp}, \textit{simp})$ 

**apply**(subst state-update-vs-allInstances-at-post-tc, simp, simp add:  $OclAsType_{Person}$ - $\mathfrak{A}$ -def, simp, rule const-StrictRefEq<sub>Set</sub>-including simp, simp, rule OclIncluding-cong, simp, simp)

**apply**(subst state-update-vs-allInstances-at-post-tc, simp, simp add:  $OclAsType_{Person}$ - $\mathfrak{A}$ -def, simp, rule const-StrictRefEq<sub>Set</sub>-including simp, simp, rule OclIncluding-cong, simp, simp)

**apply**(subst state-update-vs-allInstances-at-post-tc, simp, simp add:  $OclAsType_{Person}$ - $\mathfrak{A}$ -def, simp, rule const-StrictRefEq<sub>Set</sub>-including simp, simp, rule OclIncluding-cong, simp, simp)

**apply**(subst state-update-vs-allInstances-at-post-tc, simp, simp add:  $OclAsType_{Person}$ - $\mathfrak{A}$ -def, simp, rule const-StrictRefEq<sub>Set</sub>-including simp, simp, rule OclIncluding-cong, simp, simp)

**apply**(subst state-update-vs-allInstances-at-post-tc, simp, simp add:  $OclAsType_{Person}$ - $\mathfrak{A}$ -def, simp, rule const-StrictRefEq<sub>Set</sub>-includir simp, simp, rule OclIncluding-cong, simp, simp)

apply(subst state-update-vs-allInstances-at-post-ntc, simp, simp add: OclAsType<sub>Person</sub>-A-def

person8-def, simp,  $rule\ const-StrictRefEq_{Set}$ -including, simp, simp, simp)

 $\mathbf{apply}(\mathit{subst\ state-update-vs-allInstances-at-post-tc}, \mathit{simp}, \mathit{simp}\ \mathit{add}: \mathit{OclAsType}_{\mathit{Person}}\text{-}\mathfrak{A}\text{-}\mathit{def}\ , \mathit{simp}, \mathit{rule\ const-StrictRefEq}_{\mathit{Set}}\text{-}\mathit{includi} \\ \mathit{simp}, \mathit{simp}, \mathit{rule\ OclIncluding-cong}\ , \mathit{simp}, \mathit{simp})$ 

**apply**(rule state-update-vs-allInstances-at-post-empty) **by**(simp-all add:  $OclAsType_{Person}-\mathfrak{A}-def$ )

lemma  $\wedge \sigma_1$ .

```
(\sigma_{1},\sigma_{1}') \models (OclAny .allInstances() \doteq Set\{ X_{Person}1 .oclAsType(OclAny), X_{Person}2 .oclAsType(OclAny), X_{Person}3 .oclAsType(OclAny), X_{Person}4 .oclAsType(OclAny) \\ (*, X_{Person}5*), X_{Person}6 .oclAsType(OclAny), \\ X_{Person}7, X_{Person}8, X_{Person}9 .oclAsType(OclAny) \})
```

apply(subst perm- $\sigma_1$ ')

apply(simp only: oid0-def oid1-def oid2-def oid3-def oid5-def oid5-def oid6-def oid7-def oid8-def

 $X_{Person} 1 - def \ X_{Person} 2 - def \ X_{Person} 3 - def \ X_{Person} 4 - def \ X_{Person} 5 - def \ X_{Person} 6 - def \ X_{Person} 7 - def \ X_{Person} 8 - def$ 

 $X_{Person}9$ -def

person1-def person2-def person3-def person4-def person5-def person6-def person9-def)

**apply**(subst state-update-vs-allInstances-at-post-tc, simp, simp add:  $OclAsType_{OclAny}$ - $\mathfrak{A}$ -def, simp, rule const-StrictRefEq<sub>Set</sub>-including simp, simp, rule OclIncluding-cong, simp, simp)+

**apply**(rule state-update-vs-allInstances-at-post-empty) **by**(simp-all add:  $OclAsType_{OclAny}$ - $\mathfrak{A}$ -def)

end

theory
Analysis-OCL
imports
Analysis-UML
begin

#### B.4.10. OCL Part: Standard State Infrastructure

Ideally, these definitions are automatically generated from the class model.

## **B.4.11.** Invariant

These recursive predicates can be defined conservatively by greatest fix-point constructions—automatically. See [4, 5] for details. For the purpose of this example, we state them as axioms here.

```
context Person
   inv label : self .boss <> null implies (self .salary
                                                                                                \<le>
                                                                                                             ((self .boss) .salary))
definition Person-label<sub>inv</sub> :: Person \Rightarrow Boolean
where
          Person-label_{inv} (self) \equiv
            (self.boss <> null implies (self.salary \leq_{int} ((self.boss).salary)))
definition Person-label_{invATpre} :: Person \Rightarrow Boolean
where
          Person-label_{invATpre} (self) \equiv
            (self.boss@pre <> null implies (self.salary@pre \leq_{int} ((self.boss@pre).salary@pre)))
definition Person-label<sub>globalinv</sub> :: Boolean
where Person-label_{globalinv} \equiv (Person .allInstances() -> forAll(x | Person-label_{inv}(x)) and
                        (Person .allInstances@pre() - > forAll(x \mid Person-label_{invATpre}(x))))
lemma \tau \models \delta(X.boss) \Longrightarrow \tau \models Person.allInstances()->includes(X.boss) \land
                    \tau \models Person .allInstances() -> includes(X)
sorry
lemma REC-pre : \tau \models Person-label_{globalinv}
     \Rightarrow \tau \models Person .allInstances() -> includes(X) (* X represented object in state *)
     \Rightarrow \exists REC. \ \tau \models REC(X) \triangleq (Person-label_{inv}(X) \ and \ (X \ .boss <> null implies REC(X \ .boss)))
sorry
   This allows to state a predicate:
axiomatization inv_{Person-label} :: Person \Rightarrow Boolean
where inv<sub>Person-label</sub>-def:
(\tau \models Person .allInstances() -> includes(self)) \Longrightarrow
(\tau \models (inv_{Person-label}(self) \triangleq (self.boss <> null implies))
                        (self .salary \leq_{int} ((self .boss) .salary)) and
                         inv_{Person-label}(self.boss))))
axiomatization inv_{Person-labelAT\ pre} :: Person \Rightarrow Boolean
where inv_{Person-labelATpre}-def:
(\tau \models Person .allInstances@pre()->includes(self)) \Longrightarrow
(\tau \models (inv_{Person-labelATpre}(self) \triangleq (self.boss@pre <> null implies)
```

```
(self .salary@pre \leq_{int} ((self .boss@pre) .salary@pre)) and inv_{Person-labelATpre}(self .boss@pre))))
```

```
 \begin{array}{l} \textbf{lemma} \ \textit{inv-1}: \\ (\tau \models \textit{Person} \ . \textit{allInstances}() -> \textit{includes}(\textit{self})) \Longrightarrow \\ (\tau \models \textit{inv}_{\textit{Person-label}}(\textit{self}) = ((\tau \models (\textit{self} \ . \textit{boss} \doteq \textit{null})) \lor \\ (\tau \models (\textit{self} \ . \textit{boss} <> \textit{null}) \land \\ \tau \models ((\textit{self} \ . \textit{salary}) \leq_{\textit{int}} (\textit{self} \ . \textit{boss} \ . \textit{salary})) \land \\ \tau \models (\textit{inv}_{\textit{Person-label}}(\textit{self} \ . \textit{boss})))) \\ \textbf{sorry} \\ \\ \textbf{lemma} \ \textit{inv-2}: \\ (\tau \models \textit{Person} \ . \textit{allInstances}@\textit{pre}() -> \textit{includes}(\textit{self})) \Longrightarrow \\ (\tau \models \textit{inv}_{\textit{Person-label}}(\textit{AT}_{\textit{pre}}(\textit{self})) = ((\tau \models (\textit{self} \ . \textit{boss}@\textit{pre} \doteq \textit{null})) \lor \\ (\tau \models (\textit{self} \ . \textit{boss}@\textit{pre} <> \textit{null}) \land \\ (\tau \models (\textit{self} \ . \textit{boss}@\textit{pre} \leq_{\textit{int}} \textit{self} \ . \textit{salary}@\textit{pre})) \land \\ (\tau \models (\textit{inv}_{\textit{Person-label}}(\textit{AT}_{\textit{pre}}(\textit{self} \ . \textit{boss}@\textit{pre}))))) \\ \textbf{sorry} \\ \\ \end{array}
```

A very first attempt to characterize the axiomatization by an inductive definition - this can not be the last word since too weak (should be equality!)

```
coinductive inv :: Person \Rightarrow (\mathfrak{A})st \Rightarrow bool where (\tau \models (\delta \ self)) \Longrightarrow ((\tau \models (self \ .boss \doteq null)) \lor (\tau \models (self \ .boss <> null) \land (\tau \models (self \ .boss \ .salary \leq_{int} self \ .salary)) \land ((inv(self \ .boss))\tau))) \Longrightarrow (inv \ self \ \tau)
```

## **B.4.12.** The Contract of a Recursive Query

The original specification of a recursive query:

For the case of recursive queries, we use at present just axiomatizations:

```
axiomatization contents :: Person \Rightarrow Set-Integer ((1(-).contents'(')) 50)

where contents-def:

(self .contents()) = (\lambda \ \tau. \ (if \ \tau \models (\delta \ self)

then \ SOME \ res.((\tau \models true) \land (\tau \models (\lambda - .res) \triangleq if \ (self .boss \doteq null)

then \ (Set\{self .salary\})
```

```
else (self .boss .contents()
                                                        ->including(self .salary))
                                                 endif))
                      else invalid \tau))
interpretation contents : contract0 contents \lambda self. true
                    \lambda \text{ self res. } res \triangleq if \text{ (self .boss} \doteq null)
                                                 then (Set{self .salary})
                                                 else (self .boss .contents()
                                                         ->including(self .salary))
                                                 endif
       proof (unfold-locales)
         show \land self \tau. true \tau = true \tau by auto
       next
         show \land self. \forall \sigma \sigma' \sigma''. ((\sigma, \sigma') \models true) = ((\sigma, \sigma'') \models true) by auto
       next
         show \land self. self. contents() \equiv
                   \lambda \tau. if \tau \models \delta self
                      then SOME res.
                             \tau \models true \land
                             \tau \models (\lambda - res) \triangleq (if self .boss = null then Set\{self .salary\})
                                    else self .boss .contents()—>including(self .salary)
                                    endif)
                      else invalid \tau
              by(auto simp: contents-def)
       next
         have A: \land self \tau. ((\lambda-. self \tau) .boss \doteq null) \tau = (\lambda-. (self .boss \doteq null) \tau) \tau sorry
         have B: \land self \tau. (\lambda-. Set\{(\lambda-. self \tau) .salary\} \tau) = (\lambda-. Set\{ self .salary\} \tau) sorry
         have C: \land self \ \tau. ((\lambda -. self \ \tau).boss.contents() -> including((\lambda -. self \ \tau).salary) \ \tau) =
                       (self .boss .contents() ->including(self .salary) \tau) sorry
         show \land self res \tau.
               (res \triangleq if (self .boss) \doteq null then Set{self .salary}
                     else self .boss .contents()->including(self .salary) endif) \tau =
               ((\lambda - res \tau) \triangleq if (\lambda - self \tau) .boss = null then Set\{(\lambda - self \tau) .salary\}
                           else(\lambda -. self \tau) .boss .contents() -> including((\lambda -. self \tau) .salary) endif) \tau
        apply(subst cp-StrongEq)
        apply(subst (2) cp-StrongEq)
        apply(subst cp-OclIf)
        apply(subst (2)cp-OclIf)
        by(simp\ add: A\ B\ C)
       qed
   Specializing [cp \ E; \tau \models \delta \ self; \tau \models true; \tau \models POST' self; \land res. (res \triangleq if self.boss = null then Set{self.salary}
else self.boss.contents()->including(self.salary) endif) = (POST'self and (res \triangleq BODY self)) \implies (\tau \models E
(self.contents())) = (\tau \models E(BODY self)), one gets the following more practical rewrite rule that is amenable
to symbolic evaluation:
theorem unfold-contents :
  assumes cp E
```

```
and \tau \models \delta self
  shows (\tau \models E (self .contents())) =
         (\tau \models E \ (if \ self \ .boss \doteq null
               then Set{self .salary}
               else self .boss .contents()—>including(self .salary) endif))
by(rule contents.unfold2[of - - - \lambda X. true], simp-all add: assms)
   Since we have only one interpretation function, we need the corresponding operation on the pre-state:
consts contentsATpre :: Person \Rightarrow Set-Integer ((1(-).contents@pre'(')) 50)
axiomatization where contentsATpre-def:
(self).contents@pre() = (\lambda \tau).
    (if \tau \models (\delta \text{ self})
     then SOME res.((\tau \models true) \land
                                                                    (* pre *)
                 (\tau \models ((\lambda - res) \triangleq if (self).boss@pre \doteq null (*post*)
                               then Set{(self).salary@pre}
                               else (self).boss@pre .contents@pre()
                                        ->including(self .salary@pre)
                               endif)))
      else invalid \tau))
interpretation contentsATpre : contract0 contentsATpre \lambda self . true
                    \lambda self res. res \triangleq if (self .boss@pre \doteq null)
                                                then (Set{self .salary@pre})
                                                else (self .boss@pre .contents@pre()
                                                        ->including(self .salary@pre))
                                                endif
       proof (unfold-locales)
         show \land self \tau. true \tau = true \tau by auto
       next
         show \land self. \ \forall \sigma \ \sigma' \ \sigma''. \ ((\sigma, \sigma') \models true) = ((\sigma, \sigma'') \models true) by auto
       next
         show \land self. self. contents@pre() \equiv
                   \lambda \tau. if \tau \models \delta self
                      then SOME res.
                             \tau \models true \land
                             \tau \models (\lambda - res) \triangleq (if self .boss@pre = null then Set{self .salary@pre})
                                   else self .boss@pre .contents@pre()->including(self .salary@pre)
                      else invalid \tau
              by(auto simp: contentsATpre-def)
       next
         have A: \land self \ \tau. \ ((\lambda -. self \ \tau) .boss@pre = null) \ \tau = (\lambda -. (self .boss@pre = null) \ \tau) \ \tau sorry
         have B: \land self \ \tau. (\lambda -. Set \{ (\lambda -. self \ \tau) .salary@pre \} \ \tau) = (\lambda -. Set \{ self .salary@pre \} \ \tau) sorry
         have C: \land self \ \tau. ((\lambda -. self \ \tau).boss@pre.contents@pre() -> including((\lambda -. self \ \tau).salary@pre() \ \tau) =
                       (self .boss@pre .contents@pre() ->including(self .salary@pre) \tau) sorry
         show \land self res \tau.
              (res \triangleq if (self .boss@pre) \doteq null then Set{self .salary@pre}
```

```
else self .boss@pre .contents@pre()—>including(self .salary@pre) endif) \tau = ((\lambda - res \ \tau) \triangleq if \ (\lambda - self \ \tau) .boss@pre \doteq null then Set \{(\lambda - self \ \tau) .salary@pre\}  else(\lambda - self \ \tau) .boss@pre .contents@pre()—>including((\lambda - self \ \tau) .salary@pre) endif) \tau apply(subst cp-StrongEq) apply(subst (2) cp-StrongEq) apply(subst cp-Ocllf) apply(subst (2)cp-Ocllf) by(simp add: A B C) qed
```

Again, we derive via *contents.unfold2* a Knaster-Tarski like Fixpoint rule that is amenable to symbolic evaluation:

```
theorem unfold-contentsATpre: 

assumes cp \ E

and \tau \models \delta \ self

shows (\tau \models E \ (self \ .contents@pre())) =

(\tau \models E \ (if \ self \ .boss@pre \doteq null

then Set\{self \ .salary@pre\}

else self \ .boss@pre \ .contents@pre()->including(self \ .salary@pre) \ endif))

by (rule \ contentsATpre \ .unfold2[of - - - \lambda \ X \ .true], \ simp-all \ add: \ assms)
```

Note that these @pre variants on methods are only available on queries, i.e., operations without side-effect.

#### B.4.13. The Contract of a User-defined Method

The example specification in high-level OCL input syntax reads as follows:

```
context Person::insert(x:Integer)
pre: true
post: contents():Set(Integer)
contents() = contents@pre()->including(x)
```

This boils down to:

```
definition insert :: Person \Rightarrow Integer \Rightarrow Void ((1(-).insert'(-')) 50)

where self .insert(x) \equiv

(\lambda \ \tau. if \ (\tau \models (\delta \ self)) \land \ (\tau \models \upsilon \ x)

then SOME res. (\tau \models true \land (\tau \models ((self).contents() \triangleq (self).contents@pre()->including(x))))

else invalid \tau)
```

The semantic consequences of this definition were computed inside this locale interpretation:

```
interpretation insert : contract1 insert \lambda self x. true \lambda self x res. ((self .contents()) \triangleq (self .contents@pre()->including(x))) apply unfold-locales apply(auto simp:insert-def) apply(subst cp-StrongEq) apply(subst (2) cp-StrongEq) apply(subst contents.cp0) apply(subst UML-Set.OcIIncluding.cp0)
```

```
apply(subst (2) UML-Set.OclIncluding.cp0)
apply(subst contentsATpre.cp0)
by(simp)
```

The result of this locale interpretation for our *Analysis-OCL.insert* contract is the following set of properties, which serves as basis for automated deduction on them:

Name	Theorem
insert.strict0	(invalid.insert(X)) = invalid
insert.nullstrict0	(null.insert(X)) = invalid
insert.strict1	(self.insert(invalid)) = invalid
$insert.cp_{PRE}$	$true \  au = true \  au$
insert.cp <sub>POST</sub>	$(self.contents() \triangleq self.contents@pre() -> including(a1.0)) \ \tau = (\lambda self \ \tau.contents()$
	$\triangleq \lambda$ self $\tau$ .contents@pre()->including( $\lambda$ a1.0 $\tau$ )) $\tau$
insert.cp-pre	$\llbracket cp \ self'; cp \ al' \rrbracket \Longrightarrow cp \ (\lambda X. \ true)$
insert.cp-post	$\llbracket cp \ self'; cp \ a1'; cp \ res' \rrbracket \Longrightarrow cp \ (\lambda X. \ self' X. contents() \triangleq self'$
	X.contents@pre()->including(al'X))
insert.cp	$\llbracket cp \ self'; cp \ al'; cp \ res' \rrbracket \Longrightarrow cp \ (\lambda X. \ self' X. insert (al' X))$
insert.cp0	$(self.insert(a1.0)) \ \tau = (\lambda \ self \ \tau.insert(\lambda \ a1.0 \ \tau)) \ \tau$
insert.def-scheme	$self.insert(a1.0) \equiv \lambda \tau. if \ \tau \models \delta \ self \land \tau \models \upsilon \ a1.0 \ then \ SOME \ res. \ \tau \models true \land \tau \models$
	$self.contents() \triangleq self.contents@pre() -> including(a1.0) else invalid \tau$
insert.unfold	$\llbracket cp\ E; \tau \models \delta\ self \land \tau \models \upsilon\ a1.0; \tau \models true; \exists res.\ \tau \models self.contents() \triangleq$
	$self.contents@pre()->including(a1.0); \land res. \ \tau \models self.contents() \triangleq$
	$self.contents@pre()->including(a1.0) \Longrightarrow \tau \models E\ (\lambda\ res)]] \Longrightarrow \tau \models E$
	(self.insert(a1.0))
insert.unfold2	$\llbracket cp\ E; \tau \models \delta\ self \land \tau \models v\ a1.0; \tau \models true; \tau \models POST'\ self\ a1.0; \land res.\ (self\ .contents()$
	$\triangleq$ self.contents@pre()->including(a1.0)) = (POST' self a1.0 and (res $\triangleq$ BODY self
	$(a1.0)$ $] \Longrightarrow (\tau \models E (self.insert(a1.0))) = (\tau \models E (BODY self a1.0))$

Table B.5.: Semantic properties resulting from a user-defined operation contract.

end

# **B.5. Example II: The Employee Design Model (UML)**

```
theory

Design-UML

imports

../../src/UML-Main

begin
```

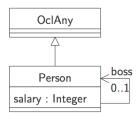


Figure B.3.: A simple UML class model drawn from Figure 7.3, page 20 of [28].

#### **B.5.1.** Introduction

For certain concepts like classes and class-types, only a generic definition for its resulting semantics can be given. Generic means, there is a function outside HOL that "compiles" a concrete, closed-world class diagram into a "theory" of this data model, consisting of a bunch of definitions for classes, accessors, method, casts, and tests for actual types, as well as proofs for the fundamental properties of these operations in this concrete data model.

Such generic function or "compiler" can be implemented in Isabelle on the ML level. This has been done, for a semantics following the open-world assumption, for UML 2.0 in [4, 6]. In this paper, we follow another approach for UML 2.4: we define the concepts of the compilation informally, and present a concrete example which is verified in Isabelle/HOL.

## **Outlining the Example**

We are presenting here a "design-model" of the (slightly modified) example Figure 7.3, page 20 of the OCL standard [28]. To be precise, this theory contains the formalization of the data-part covered by the UML class model (see Figure B.3):

This means that the association (attached to the association class <code>EmployeeRanking</code>) with the association ends <code>boss</code> and <code>employees</code> is implemented by the attribute <code>boss</code> and the operation <code>employees</code> (to be discussed in the OCL part captured by the subsequent theory).

## **B.5.2.** Example Data-Universe and its Infrastructure

Ideally, the following is generated automatically from a UML class model.

Our data universe consists in the concrete class diagram just of node's, and implicitly of the class object. Each class implies the existence of a class type defined for the corresponding object representations as follows:

```
datatype type_{Person} = mk_{Person} oid int option oid option
```

```
datatype type_{OclAny} = mk_{OclAny} oid 
 (int option \times oid option) option
```

Now, we construct a concrete "universe of OclAny types" by injection into a sum type containing the class types. This type of OclAny will be used as instance for all respective type-variables.

```
datatype \mathfrak{A} = in_{Person} type_{Person} \mid in_{OclAny} type_{OclAny}
```

Having fixed the object universe, we can introduce type synonyms that exactly correspond to OCL types. Again, we exploit that our representation of OCL is a "shallow embedding" with a one-to-one correspondance of OCL-types to types of the meta-language HOL.

```
type-synonym Boolean = \mathfrak{A} Boolean

type-synonym Integer = \mathfrak{A} Integer

type-synonym Void = \mathfrak{A} Void

type-synonym OclAny = (\mathfrak{A}, type_{OclAny} \ option \ option) \ val

type-synonym Person = (\mathfrak{A}, type_{Person} \ option \ option) \ val

type-synonym Set-Integer = (\mathfrak{A}, int \ option \ option) \ Set

type-synonym Set-Person = (\mathfrak{A}, type_{Person} \ option \ option) \ Set

Just a little check:
```

typ Boolean

To reuse key-elements of the library like referential equality, we have to show that the object universe belongs to the type class "oclany," i. e., each class type has to provide a function *oid-of* yielding the object id (oid) of the object.

```
instantiation type_{Person} :: object
begin
    definition oid\text{-}of\text{-}type_{Person}\text{-}def: oid\text{-}of x = (case \ x \ of \ mk_{Person} \ oid \ - \ - \ \Rightarrow oid)
instance ..
end

instantiation type_{OclAny} :: object
begin
    definition oid\text{-}of\text{-}type_{OclAny}\text{-}def: oid\text{-}of x = (case \ x \ of \ mk_{OclAny} \ oid \ - \ \Rightarrow oid)
instance ..
end

instantiation \mathfrak A :: object
begin
    definition oid\text{-}of\text{-}\mathfrak A\text{-}def: oid\text{-}of x = (case \ x \ of \ in_{Person} \ person \ \Rightarrow oid\text{-}of \ person \ | in_{OclAny} \ oclany \ \Rightarrow oid\text{-}of \ oclany})
instance ..
end
```

# **B.5.3.** Instantiation of the Generic Strict Equality

We instantiate the referential equality on *Person* and *OclAny* 

```
defs(overloaded) StrictRefEq_{Object} \cdot Person : (x::Person) = y \equiv StrictRefEq_{Object} \cdot x \cdot y
defs(overloaded) StrictRefEq_{Object} - OclAny : (x::OclAny) = y \equiv StrictRefEq_{Object} x y
lemmas
   cp-StrictRefEq<sub>Object</sub>[of x::Person y::Person \tau,
                    simplified StrictRefEq<sub>Object</sub>-<sub>Person</sub>[symmetric]]
                         [of P::Person \Rightarrow Person Q::Person \Rightarrow Person,]
   cp-intro(9)
                    simplified StrictRefEq<sub>Object-Person</sub>[symmetric]]
   StrictRefEq<sub>Object</sub>-def
                                    [of x::Person y::Person,
                    simplified StrictRefEq<sub>Object</sub>-<sub>Person</sub>[symmetric]]
   StrictRefEq<sub>Object</sub>-defargs [of - x::Person y::Person,
                    simplified StrictRefEq<sub>Object</sub>-<sub>Person</sub>[symmetric]]
   StrictRefEq<sub>Object</sub>-strict1
                    [of x::Person,
                    simplified StrictRefEq<sub>Object-Person</sub>[symmetric]]
   StrictRefEq<sub>Object</sub>-strict2
                    [of x::Person,
                    simplified StrictRefEq<sub>Object</sub>-<sub>Person</sub>[symmetric]]
```

For each Class C, we will have a casting operation .oclAsType (C), a test on the actual type .oclIsTypeOf (C) as well as its relaxed form .oclIsKindOf (C) (corresponding exactly to Java's instanceof-operator.

Thus, since we have two class-types in our concrete class hierarchy, we have two operations to declare and to provide two overloading definitions for the two static types.

## B.5.4. OclAsType

## **Definition**

```
| \mid \perp \mid \Rightarrow null \ \tau
                      | | | mk_{Person} \text{ oid } a \text{ } b \text{ } | | \Rightarrow | | \text{ } (mk_{OclAnv} \text{ oid } | (a,b)|) \text{ } | | |
definition OclAsType_{Person}-\mathfrak{A} = (\lambda u. \ case \ u \ of \ in_{Person} \ p \Rightarrow \lfloor p \rfloor
                                 |in_{OclAny}(mk_{OclAny} oid | (a,b)|) \Rightarrow |mk_{Person} oid | ab|
defs (overloaded) OclAsType_{Person}-OclAny:
      (X::OclAny) .oclAsType(Person) \equiv
               (\lambda \tau. case X \tau of
                        \perp \Rightarrow invalid \tau
                      | \mid \perp \mid \Rightarrow null \tau
                      | | | mk_{OclAnv} \ oid \perp | | \Rightarrow invalid \tau \ (*down-cast \ exception *)
                      |\lfloor \lfloor mk_{OclAny} \ oid \ \lfloor (a,b) \rfloor \rfloor| \Rightarrow \lfloor \lfloor mk_{Person} \ oid \ a \ b \rfloor \rfloor|
\textbf{defs} \; (\textbf{overloaded}) \; \textit{OclAsType}_{\textit{Person}} \textit{-Person} \text{:}
      (X::Person) . oclAsType(Person) \equiv X
lemmas [simp] =
OclAsType<sub>OclAny</sub>-OclAny
OclAsType<sub>Person</sub>-Person
Context Passing
lemma cp-OclAsType_{OclAny}-Person-Person: cp P \Longrightarrow cp(\lambda X. (P(X::Person)::Person) .oclAsType(OclAny))
by(rule cpI1, simp-all add: OclAsType<sub>OclAny</sub>-Person)
lemma cp-OclAsType_{OclAny}-OclAny-OclAny: cp P \Longrightarrow cp(\lambda X. (P(X::OclAny)::OclAny) .oclAsType(OclAny))
by(rule cp11, simp-all add: OclAsType_{OclAny}-OclAny)
lemma cp-OclAsType_{Person}-Person-Person: cp P \Longrightarrow cp(\lambda X. (P(X::Person)::Person) .oclAsType(Person))
by(rule cp11, simp-all add: OclAsType<sub>Person</sub>-Person)
lemma cp-OclAsType_{Person}-OclAny-OclAny: cp P \Longrightarrow cp(\lambda X. (P(X::OclAny)::OclAny) .oclAsType(Person))
by(rule cpI1, simp-all add: OclAsType<sub>Person</sub>-OclAny)
lemma cp-OclAsType_{OclAny}-Person-OclAny: cp P \Longrightarrow cp(\lambda X. (P (X::Person)::OclAny) .oclAsType(OclAny))
by(rule cpI1, simp-all add: OclAsType_{OclAny}-OclAny)
lemma cp-OclAsType_{OclAny}-OclAny-Person: cp P \Longrightarrow cp(\lambda X. (P(X::OclAny)::Person) .oclAsType(OclAny))
by(rule\ cpII, simp-all\ add: OclAsType_{OclAny}-Person)
lemma cp-OclAsType_{Person}-Person-OclAny: cp P \Longrightarrow cp(\lambda X. (P(X::Person)::OclAny) .oclAsType(Person))
by(rule cpI1, simp-all add: OclAsType<sub>Person</sub>-OclAny)
lemma cp-OclAsType_{Person}-OclAny-Person: cp P \Longrightarrow cp(\lambda X. (P(X::OclAny)::Person) .oclAsType(Person))
by(rule cp11, simp-all add: OclAsType<sub>Person</sub>-Person)
lemmas [simp] =
cp-OclAsType<sub>OclAny</sub>-Person-Person
cp-OclAsType<sub>OclAny</sub>-OclAny-OclAny
 cp-OclAsType<sub>Person</sub>-Person-Person
 cp-OclAsType<sub>Person</sub>-OclAny-OclAny
```

```
cp	ext{-}OclAsType_{OclAny}	ext{-}Person	ext{-}OclAny cp	ext{-}OclAsType_{OclAny}	ext{-}OclAny	ext{-}Person	ext{-}OclAsType_{Person}	ext{-}Person	ext{-}OclAny+Person cp	ext{-}OclAsType_{Person}	ext{-}OclAny	ext{-}Person
```

# **Execution with Invalid or Null as Argument**

```
lemma OclAsType_{OclAny}-OclAny-strict: (invalid::OclAny) .oclAsType(OclAny) = invalid \mathbf{by}(simp)
```

```
lemma OclAsType_{OclAny}-OclAny-nullstrict: (null::OclAny) .oclAsType(OclAny) = null \mathbf{by}(simp)
```

```
 \begin{array}{l} \textbf{lemma} \ \textit{OclAsType}_{\textit{OclAny}}\text{-}\textit{Person-strict}[\textit{simp}]: (\textit{invalid}::Person) \ .oclAsType(\textit{OclAny}) = \textit{invalid} \\ \textbf{by}(\textit{rule ext, simp add: bot-option-def invalid-def} \\ \textit{OclAsType}_{\textit{OclAny}}\text{-}\textit{Person}) \end{array}
```

```
\label{eq:classympequality} \begin{subarray}{l} \textbf{lemma} & \textit{OclAsType}_{\textit{OclAny}}\text{-}\textit{Person-nullstrict}[\textit{simp}]: (\textit{null}::\textit{Person}) & .oclAsType(\textit{OclAny}) = \textit{null} \\ \textbf{by}(\textit{rule} & \textit{ext}, \textit{simp} & \textit{add}: \textit{null-fun-def} & \textit{null-option-def} \\ & \textit{OclAsType}_{\textit{OclAny}}\text{-}\textit{Person}) \\ \end{subarray}
```

```
lemma OclAsType_{Person}-OclAny-strict[simp] : (invalid::OclAny) .oclAsType(Person) = invalid by(rule\ ext,\ simp\ add:\ bot-option-def\ invalid-def OclAsType_{Person}-OclAny)
```

```
 \begin{array}{l} \textbf{lemma} \ \textit{OclAsType}_{\textit{Person}}\text{-}\textit{OclAny-nullstrict}[\textit{simp}]: (\textit{null}::\textit{OclAny}) \ .oclAsType(\textit{Person}) = \textit{null} \\ \textbf{by}(\textit{rule ext}, \textit{simp add}: \textit{null-fun-def null-option-def bot-option-def} \\ \textit{OclAsType}_{\textit{Person}}\text{-}\textit{OclAny}) \end{array}
```

```
 \begin{array}{l} \textbf{lemma} \ \textit{OclAsType}_{\textit{Person}} \textit{-Person-strict} : (\textit{invalid} :: \textit{Person}) \ .oclAsType(\textit{Person}) = \textit{invalid} \\ \textbf{by}(\textit{simp}) \\ \textbf{lemma} \ \textit{OclAsType}_{\textit{Person}} \textit{-Person-nullstrict} : (\textit{null} :: \textit{Person}) \ .oclAsType(\textit{Person}) = \textit{null} \\ \end{array}
```

## B.5.5. OcllsTypeOf

#### **Definition**

 $\mathbf{by}(simp)$ 

```
consts OcllsTypeOf_{OclAny}:: '\alpha \Rightarrow Boolean\ ((-).ocllsTypeOf'(OclAny'))
consts OcllsTypeOf_{Person}:: '\alpha \Rightarrow Boolean\ ((-).ocllsTypeOf'(Person'))

defs (overloaded) OcllsTypeOf_{OclAny}\text{-}OclAny:
(X::OclAny).ocllsTypeOf\ (OclAny) \equiv
(\lambda\tau.\ case\ X\ \tau\ of
\bot \Rightarrow invalid\ \tau
|\ \bot\rfloor \Rightarrow true\ \tau\ (*\ invalid\ ??\ *)
|\ |\ |mk_{OclAny}\ oid\ \bot\ |\ \Rightarrow true\ \tau
```

```
defs (overloaded) OclIsTypeOf<sub>OclAny</sub>-Person:
       (X::Person) .oclIsTypeOf(OclAny) \equiv
               (\lambda \tau. case X \tau of
                        \perp \Rightarrow invalid \ \tau
                       | \lfloor \perp \rfloor \Rightarrow true \ \tau \quad (* invalid ?? *)
                      | \lfloor \lfloor - \rfloor \rfloor \Rightarrow false \tau )
\textbf{defs} \ (\textbf{overloaded}) \ \textit{OclIsTypeOf}_{\textit{Person}} \text{-} \textit{OclAny} \text{:}
       (X::OclAny) .oclIsTypeOf(Person) \equiv
               (\lambda \tau. case X \tau of
                        \perp \Rightarrow invalid \ \tau
                       | \mid \perp \mid \Rightarrow true \ \tau
                       | | | mk_{OclAnv} \ oid \perp | | \Rightarrow false \ \tau
                      | | | mk_{OclAnv} \ oid | - | | | \Rightarrow true \ \tau |
defs (overloaded) OcllsTypeOf<sub>Person</sub>-Person:
      (X::Person) .oclIsTypeOf(Person) \equiv
               (\lambda \tau. case X \tau of
                        \perp \Rightarrow invalid \ \tau
                       | - \Rightarrow true \tau \rangle
Context Passing
lemma cp-OclIsTypeOf_{OclAny}-Person-Person: cp P \Longrightarrow cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(OclAny))
by(rule cpI1, simp-all add: OclIsTypeOf<sub>OclAny</sub>-Person)
lemma cp-OclIsTypeOf_{OclAny}-OclAny-OclAny: cp P \Longrightarrow cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(OclAny))
by(rule cpI1, simp-all add: OclIsTypeOf<sub>OclAny</sub>-OclAny)
lemma cp-OclIsTypeOf_{Person}-Person-Person: cp P \Longrightarrow cp(\lambda X.(P(X::Person)::Person).oclIsTypeOf(Person))
by(rule cp11, simp-all add: OclIsTypeOf<sub>Person</sub>-Person)
lemma cp-OclIsTypeOf_{Person}-OclAny-OclAny: cp P \Longrightarrow cp(\lambda X.(P(X::OclAny)::OclAny).oclIsTypeOf(Person))
by(rule cpI1, simp-all add: OclIsTypeOf<sub>Person</sub>-OclAny)
lemma cp-OclIsTypeOf_{OclAny}-Person-OclAny: cp P \Longrightarrow cp(\lambda X.(P(X::Person)::OclAny).oclIsTypeOf(OclAny))
by(rule cpI1, simp-all add: OclIsTypeOf<sub>OclAny</sub>-OclAny)
lemma cp-OclIsTypeOf_{OclAny}-OclAny-Person: cp P \Longrightarrow cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(OclAny))
by(rule cpI1, simp-all add: OclIsTypeOf<sub>OclAny</sub>-Person)
\textbf{lemma} \ \textit{cp-OclIsTypeOf}_{\textit{Person}} - \textit{Person-OclAny: } \textit{cp} \ \textit{P} \Longrightarrow \textit{cp}(\lambda \textit{X}.(\textit{P}(\textit{X}::Person})::OclAny).oclIsTypeOf(\textit{Person}))
by(rule cpI1, simp-all add: OclIsTypeOf<sub>Person</sub>-OclAny)
lemma cp-OclIsTypeOf_{Person}-OclAny-Person: cp P \Longrightarrow cp(\lambda X.(P(X::OclAny)::Person).oclIsTypeOf(Person))
by(rule cp11, simp-all add: OclIsTypeOf Person-Person)
lemmas [simp] =
cp-OclIsTypeOf<sub>OclAny</sub>-Person-Person
```

 $| | | mk_{OclAnv} \ oid \ | - | | | \Rightarrow false \ \tau )$ 

cp-OclIsTypeOf<sub>OclAny</sub>-OclAny-OclAny

```
cp\text{-}OcllsTypeOf_{Person}\text{-}Person\text{-}Person}\\ cp\text{-}OcllsTypeOf_{Person}\text{-}OclAny\text{-}OclAny}\\ cp\text{-}OcllsTypeOf_{OclAny}\text{-}Person\text{-}OclAny}\\ cp\text{-}OcllsTypeOf_{OclAny}\text{-}OclAny\text{-}Person}\\ cp\text{-}OcllsTypeOf_{Person}\text{-}Person\text{-}OclAny}\\ cp\text{-}OcllsTypeOf_{Person}\text{-}OclAny\text{-}Person}\\ occupancy of the person of t
```

#### **Execution with Invalid or Null as Argument**

```
 \begin{array}{l} \textbf{lemma} \ OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}strict1[simp]:} \\ (invalid::OclAny) \ .oclIsTypeOf(OclAny) = invalid \\ \textbf{by}(rule \ ext, simp \ add: null\text{-}fun\text{-}def \ null\text{-}option\text{-}def \ bot\text{-}option\text{-}def \ null\text{-}def \ invalid\text{-}def \ OclIsTypeOf_{OclAny}\text{-}OclAny}) \\ \textbf{lemma} \ OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}strict2[simp]:} \\ (null::OclAny) \ .oclIsTypeOf(OclAny) = true \\ \textbf{by}(rule \ ext, simp \ add: null\text{-}fun\text{-}def \ null\text{-}option\text{-}def \ bot\text{-}option\text{-}def \ null\text{-}def \ invalid\text{-}def \ OclIsTypeOf_{OclAny}\text{-}OclAny}) \end{array}
```

**lemma** OclIsTypeOf<sub>OclAny</sub>-Person-strict1[simp]:

(invalid::Person) .oclIsTypeOf(OclAny) = invalid

**by**(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def OclIsTypeOf<sub>OclAnv</sub>-Person)

 $\textbf{lemma} \ \textit{OclIsTypeOf}_{\textit{OclAny}} \textbf{-Person-strict2} [\textit{simp}] :$ 

(null::Person) .oclIsTypeOf(OclAny) = true

**by**(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def OclIsTypeOf<sub>OclAny</sub>-Person)

**lemma** *OclIsTypeOf* <sub>Person</sub>-OclAny-strict1[simp]:

(invalid::OclAny) .oclIsTypeOf(Person) = invalid

**by**(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def OclIsTypeOf<sub>Person</sub>-OclAny)

**lemma** *OclIsTypeOf* <sub>Person</sub>-OclAny-strict2[simp]:

(null::OclAny) .oclIsTypeOf(Person) = true

**by**(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def OclIsTypeOf<sub>Person</sub>-OclAny)

**lemma** *OclIsTypeOf* <sub>Person</sub>-Person-strict1[simp]:

(invalid::Person) . oclIsTypeOf(Person) = invalid

 $\textbf{by}(\textit{rule ext}, \textit{simp add}: \textit{null-fun-def null-option-def bot-option-def null-def invalid-def} \\ \textit{OclIsTypeOf}_{\textit{Person}}\text{-Person})$ 

**lemma** *OclIsTypeOf* <sub>Person</sub>-Person-strict2[simp]:

(null::Person) .oclIsTypeOf(Person) = true

**by**(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def OclIsTypeOf<sub>Person</sub>-Person)

#### **Up Down Casting**

```
lemma actualType-larger-staticType: assumes isdef: \tau \models (\delta X) shows \tau \models (X::Person) .oclIsTypeOf(OclAny) \triangleq false
```

```
using isdef
by(auto simp: null-option-def bot-option-def
          OclIsTypeOf<sub>OclAny</sub>-Person foundation22 foundation16)
lemma down-cast-type:
assumes isOclAny: \tau \models (X::OclAny) .oclIsTypeOf(OclAny)
       non-null: \tau \models (\delta X)
and
                \tau \models (X . oclAsType(Person)) \triangleq invalid
shows
using isOclAny non-null
apply(auto simp: bot-fun-def null-fun-def null-option-def bot-option-def null-def invalid-def
            OclAsType<sub>OclAny</sub>-Person OclAsType<sub>Person</sub>-OclAny foundation22 foundation16
       split: option.split \ type_{OclAny}.split \ type_{Person}.split)
by(simp add: OclIsTypeOf<sub>OclAny</sub>-OclAny OclValid-def false-def true-def)
lemma down-cast-type':
assumes isOclAny: \tau \models (X::OclAny) .oclIsTypeOf(OclAny)
and non-null: \tau \models (\delta X)
               \tau \models not (\upsilon (X .oclAsType(Person)))
shows
by(rule foundation15[THEN iffD1], simp add: down-cast-type[OF assms])
lemma up-down-cast :
assumes isdef: \tau \models (\delta X)
shows \tau \models ((X::Person) . oclAsType(OclAny) . oclAsType(Person) \triangleq X)
using isdef
by(auto simp: null-fun-def null-option-def bot-option-def null-def invalid-def
          OclAsType_{OclAny}-Person OclAsType_{Person}-OclAny foundation 22 foundation 16
     split: option.split type<sub>Person</sub>.split)
lemma up-down-cast-Person-OclAny-Person [simp]:
shows ((X::Person) .oclAsType(OclAny) .oclAsType(Person) = X)
apply(rule ext, rename-tac \tau)
 apply(rule foundation22[THEN iffD1])
apply(case-tac \tau \models (\delta X), simp add: up-down-cast)
 apply(simp add: defined-split, elim disjE)
apply(erule StrongEq-L-subst2-rev, simp, simp)+
done
lemma up-down-cast-Person-OclAny-Person': assumes \tau \models v X
shows \tau \models (((X :: Person) . oclAsType(OclAny) . oclAsType(Person)) \doteq X)
apply(simp only: up-down-cast-Person-OclAny-Person StrictRefEq<sub>Object-Person</sub>)
by(rule StrictRefEq_{Object}-sym, simp add: assms)
lemma up-down-cast-Person-OclAny-Person'': assumes \tau \models \upsilon (X :: Person)
shows \tau \models (X . ocllsTypeOf(Person) implies (X . oclAsType(OclAny) . oclAsType(Person)) <math>\doteq X)
apply(simp add: OclValid-def)
apply(subst cp-OclImplies)
 apply(simp add: StrictRefEq<sub>Object-Person</sub> StrictRefEq<sub>Object</sub>-sym[OF assms, simplified OclValid-def])
```

```
apply(subst cp-OclImplies[symmetric])
by (simp add: OclImplies-true)
```

#### B.5.6. OcllsKindOf

#### **Definition**

```
consts OcllsKindOf_{OclAny} :: '\alpha \Rightarrow Boolean ((-).ocllsKindOf'(OclAny'))
consts OcllsKindOf_{Person} :: '\alpha \Rightarrow Boolean ((-).ocllsKindOf'(Person'))
defs (overloaded) OclIsKindOf<sub>OclAny</sub>-OclAny:
       (X::OclAny) .oclIsKindOf(OclAny) \equiv
                  (\lambda \tau. case X \tau of
                             \perp \Rightarrow invalid \ \tau
                           | - \Rightarrow true \tau )
defs (overloaded) OcllsKindOf<sub>OclAny</sub>-Person:
       (X::Person) .oclIsKindOf(OclAny) \equiv
                  (\lambda \tau. case X \tau of
                             \perp \Rightarrow invalid \ \tau
                           | \rightarrow true \tau )
defs (overloaded) OclIsKindOf<sub>Person</sub>-OclAny:
       (X::OclAny) .oclIsKindOf(Person) \equiv
                  (\lambda \tau. case X \tau of
                            \perp \Rightarrow invalid \tau
                           | \mid \perp \mid \Rightarrow true \ \tau
                           |\lfloor \lfloor mk_{OclAny} \ oid \perp \rfloor \rfloor \Rightarrow false \ \tau
                           |\lfloor \lfloor mk_{OclAny} \ oid \lfloor - \rfloor \rfloor \rfloor \Rightarrow true \ \tau)
\textbf{defs} \; (\textbf{overloaded}) \; \textit{OclIsKindOf}_{\textit{Person}}\text{-}\textit{Person} \text{:}
       (X::Person) .oclIsKindOf(Person) \equiv
                  (\lambda \tau. case X \tau of
                             \perp \Rightarrow invalid \tau
                           | - \Rightarrow true \tau )
```

#### **Context Passing**

```
lemma cp\text{-}OcllsKindOf_{OclAny}\text{-}Person\text{-}Person: }cp\ P\Longrightarrow cp(\lambda X.(P(X::Person)::Person).ocllsKindOf(OclAny))
by (rule\ cpII,\ simp\text{-}all\ add:\ OcllsKindOf_{OclAny}\text{-}Person)
lemma cp\text{-}OcllsKindOf_{OclAny}\text{-}OclAny: }cp\ P\Longrightarrow cp(\lambda X.(P(X::OclAny)::OclAny).ocllsKindOf(OclAny))
by (rule\ cpII,\ simp\text{-}all\ add:\ OcllsKindOf_{OclAny}\text{-}OclAny)
lemma cp\text{-}OcllsKindOf_{Person}\text{-}Person.erson:cp\ P\Longrightarrow cp(\lambda X.(P(X::Person)::Person).ocllsKindOf(Person))
by (rule\ cpII,\ simp\text{-}all\ add:\ OcllsKindOf_{Person}\text{-}Person)
lemma cp\text{-}OcllsKindOf_{Person}\text{-}OclAny:\ }cp\ P\Longrightarrow cp(\lambda X.(P(X::OclAny)::OclAny).ocllsKindOf(Person))
by (rule\ cpII,\ simp\text{-}all\ add:\ OcllsKindOf_{Person}\text{-}OclAny)
```

```
lemma cp-OclIsKindOf_{OclAny}-Person-OclAny: cp P \Longrightarrow cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(OclAny))
by(rule cp11, simp-all add: OclIsKindOf<sub>OclAny</sub>-OclAny)
lemma cp-OclIsKindOf_{OclAny}-OclAny-Person: cp P \Longrightarrow cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(OclAny))
by(rule cpI1, simp-all add: OclIsKindOf<sub>OclAny</sub>-Person)
lemma cp-OclIsKindOf_{Person}-Person-OclAny: cp P \Longrightarrow cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(Person))
by(rule cpI1, simp-all add: OclIsKindOf<sub>Person</sub>-OclAny)
lemma cp-OclIsKindOf_{Person}-OclAny-Person: cp P \Longrightarrow cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(Person))
by(rule cpI1, simp-all add: OclIsKindOf<sub>Person</sub>-Person)
lemmas [simp] =
cp\hbox{-}OclIsKindOf_{OclAny}\hbox{-}Person\hbox{-}Person
cp-OclIsKindOf<sub>OclAny</sub>-OclAny-OclAny
 cp	ext{-}OclIsKindOf_{Person}	ext{-}Person-Person
 cp-OclIsKindOf<sub>Person</sub>-OclAny-OclAny
 cp-OclIsKindOf<sub>OclAny</sub>-Person-OclAny
 cp-OclIsKindOf<sub>OclAny</sub>-OclAny-Person
 cp-OclIsKindOf<sub>Person</sub>-Person-OclAny
 cp-OclIsKindOf<sub>Person</sub>-OclAny-Person
Execution with Invalid or Null as Argument
lemma OcllsKindOf_{OclAny}-OclAny-strict1[simp]: (invalid::OclAny) .ocllsKindOf(OclAny) = invalid
by(rule ext, simp add: invalid-def bot-option-def
                OclIsKindOf_{OclAny}-OclAny)
\textbf{lemma} \ \textit{OclIsKindOf} \ \textit{OclAny-OclAny-strict2} [\textit{simp}] : (\textit{null} :: \textit{OclAny}) \ . \textit{oclIsKindOf} \ (\textit{OclAny}) = \textit{true}
by(rule ext, simp add: null-fun-def null-option-def
                OclIsKindOf<sub>OclAny</sub>-OclAny)
\textbf{lemma} \ \textit{OclIsKindOf} \ \textit{OclAny-Person-strict1} [\textit{simp}] : (\textit{invalid} :: \textit{Person}) \ . \textit{oclIsKindOf} \ (\textit{OclAny}) = \textit{invalid}
by(rule ext, simp add: bot-option-def invalid-def
                OclIsKindOf_{OclAny}-Person)
lemma OclIsKindOf_{OclAny}-Person-strict2[simp]: (null::Person) .oclIsKindOf(OclAny) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def
                OclIsKindOf<sub>OclAny</sub>-Person)
lemma OclIsKindOf_{Person}-OclAny-strict1[simp]: (invalid::OclAny) .oclIsKindOf(Person) = invalid
by (rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
                OclIsKindOf<sub>Person</sub>-OclAny)
lemma OclIsKindOf_{Person}-OclAny-strict2[simp]: (null::OclAny) .oclIsKindOf(Person) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
                OclIsKindOf Person-OclAny)
```

**lemma**  $OclIsKindOf_{Person}$ -Person-StrictI[simp]: (invalid::Person) .oclIsKindOf(Person) = invalid

```
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
               OclIsKindOf<sub>Person</sub>-Person)
lemma OclIsKindOf_{Person}-Person-strict2[simp]: (null::Person) .oclIsKindOf(Person) = true
by(rule ext, simp add: null-fun-def null-option-def bot-option-def null-def invalid-def
               OclIsKindOf<sub>Person</sub>-Person)
Up Down Casting
lemma actualKind-larger-staticKind:
assumes isdef: \tau \models (\delta X)
shows
             \tau \models ((X::Person) .oclIsKindOf(OclAny) \triangleq true)
using isdef
by(auto simp : bot-option-def
          OclIsKindOf<sub>OclAny</sub>-Person foundation22 foundation16)
lemma down-cast-kind:
assumes isOclAny: \neg (\tau \models ((X::OclAny).oclIsKindOf(Person)))
       non-null: \tau \models (\delta X)
and
                \tau \models ((X . oclAsType(Person)) \triangleq invalid)
shows
using isOclAny non-null
apply(auto simp: bot-fun-def null-fun-def null-option-def bot-option-def null-def invalid-def
            OclAsType<sub>OclAny</sub>-Person OclAsType<sub>Person</sub>-OclAny foundation22 foundation16
       split: option.split type_{OclAny}.split type_{Person}.split)
by(simp add: OclIsKindOf<sub>Person</sub>-OclAny OclValid-def false-def true-def)
B.5.7. OclAllInstances
To denote OCL-types occurring in OCL expressions syntactically—as, for example, as "argument" of oclAllInstances ()—
we use the inverses of the injection functions into the object universes; we show that this is sufficient "charac-
terization."
definition Person \equiv OclAsType_{Person}-\mathfrak{A}
definition OclAny \equiv OclAsType_{OclAny}-\mathfrak{A}
lemmas [simp] = Person-def OclAny-def
lemma OclAllInstances-genericOclAny-exec: OclAllInstances-generic pre-post OclAny =
         (\lambda \tau. \ Abs-Set_{base} \mid \mid Some \ OclAny \ ran \ (heap \ (pre-post \ \tau)) \mid \mid)
proof -
let ?SI = \lambda \tau. OclAny 'ran (heap (pre-post \tau))
let ?S2 = \lambda \tau. ?S1 \tau - \{None\}
```

**have**  $C: \land \tau$ . ?S1  $\tau \subseteq ?S2$   $\tau$  **by**(auto simp: OclAsType<sub>OclAny</sub>- $\mathfrak{A}$ -some)

**have**  $B : \land \tau$ . ?S2  $\tau \subseteq ?S1 \tau$  **by** auto

qed

**show** ?thesis **by**(insert equalityI[OF B C], simp)

```
(\lambda \tau. \ Abs\text{-}Set_{base} \mid \mid Some \ OclAny \ ran \ (heap \ (snd \ \tau)) \mid \mid)
unfolding OclAllInstances-at-post-def
\mathbf{by}(rule\ OclAllInstances-generic_{OclAny}\text{-}exec)
lemma OclAllInstances-at-pre_{OclAny}-exec: OclAny .allInstances@pre() =
         (\lambda \tau. Abs\text{-}Set_{base} \mid \mid Some 'OclAny 'ran (heap (fst \tau)) \mid \mid)
unfolding OclAllInstances-at-pre-def
by(rule OclAllInstances-generic_{OclAnv}-exec)
OcllsTypeOf
lemma OclAny-allInstances-generic-oclIsTypeOf<sub>OclAny</sub>1:
assumes [simp]: \bigwedge x. pre-post (x, x) = x
shows \exists \tau. (\tau \models
                   ((OclAllInstances-generic\ pre-post\ OclAny) -> forAll(X|X\ .oclIsTypeOf(OclAny))))
apply(rule-tac x = \tau_0 in exI, simp add: \tau_0-def OclValid-def del: OclAllInstances-generic-def)
apply(simp only: assms OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp add: OclIsTypeOf<sub>OclAny</sub>-OclAny)
lemma OclAny-allInstances-at-post-oclIsTypeOf<sub>OclAny</sub>1:
\exists \tau. (\tau \models (OclAny .allInstances() -> forAll(X|X .oclIsTypeOf(OclAny))))
unfolding OclAllInstances-at-post-def
by(rule OclAny-allInstances-generic-oclIsTypeOf<sub>OclAny</sub>1, simp)
lemma OclAny-allInstances-at-pre-oclIsTypeOf<sub>OclAny</sub>1:
           (OclAny .allInstances@pre()->forAll(X|X .oclIsTypeOf(OclAny))))
unfolding OclAllInstances-at-pre-def
by(rule OclAny-allInstances-generic-oclIsTypeOf_{OclAny}1, simp)
lemma OclAny-allInstances-generic-oclIsTypeOf<sub>OclAny</sub>2:
assumes [simp]: \bigwedge x. pre-post (x, x) = x
shows \exists \tau. (\tau \models not ((OclAllInstances-generic pre-post OclAny) -> forAll(X|X .oclIsTypeOf(OclAny))))
proof – fix oid a let ?t0 = (|heap| = empty(oid \mapsto in_{OclAny}(mk_{OclAny} oid \lfloor a \rfloor)),
                    assocs = empty) show ?thesis
 apply(rule-tac\ x = (?t0, ?t0)\ in\ exI, simp\ add:\ OclValid-def\ del:\ OclAllInstances-generic-def)
 apply(simp only: OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
 apply(simp only: OclAllInstances-generic-def OclAsType_{OclAnv}-\mathfrak{A}-def)
 apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp add: OclIsTypeOf<sub>OclAny</sub>-OclAny OclNot-def OclAny-def)
lemma OclAny-allInstances-at-post-oclIsTypeOf<sub>OclAny</sub>2:
\exists \tau. (\tau \models not (OclAny .allInstances() -> forAll(X|X .oclIsTypeOf(OclAny))))
unfolding OclAllInstances-at-post-def
\mathbf{by}(rule\ OclAny-allInstances-generic-oclIsTypeOf_{OclAny}2, simp)
```

```
lemma OclAny-allInstances-at-pre-oclIsTypeOf<sub>OclAny</sub>2:
\exists \tau. (\tau \models not (OclAny .allInstances@pre() -> forAll(X|X .oclIsTypeOf(OclAny))))
unfolding OclAllInstances-at-pre-def
\mathbf{by}(\textit{rule OclAny-allInstances-generic-oclIsTypeOf}_{\textit{OclAny}}2, \textit{simp})
lemma Person-allInstances-generic-oclIsTypeOf<sub>Person</sub>:
\tau \models ((OclAllInstances-generic\ pre-post\ Person) -> forAll(X|X\ .oclIsTypeOf(Person)))
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
apply(simp only: OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp add: OclIsTypeOf<sub>Person</sub>-Person)
lemma Person-allInstances-at-post-oclIsTypeOf_{Person}:
\tau \models (Person .allInstances() -> forAll(X|X .oclIsTypeOf(Person)))
unfolding OclAllInstances-at-post-def
by(rule Person-allInstances-generic-oclIsTypeOf<sub>Person</sub>)
lemma Person-allInstances-at-pre-oclIsTypeOf<sub>Person</sub>:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsTypeOf(Person)))
unfolding OclAllInstances-at-pre-def
\mathbf{by}(rule\ Person-allInstances-generic-ocllsTypeOf_{Person})
OcllsKindOf
lemma OclAny-allInstances-generic-oclIsKindOf_{OclAny}:
\tau \models ((OclAllInstances-generic\ pre-post\ OclAny) -> forAll(X|X\ .oclIsKindOf\ (OclAny)))
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
 apply(simp only: OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp add: OclIsKindOf<sub>OclAny</sub>-OclAny)
lemma OclAny-allInstances-at-post-oclIsKindOf<sub>OclAny</sub>:
\tau \models (OclAny .allInstances() -> forAll(X|X .oclIsKindOf(OclAny)))
unfolding OclAllInstances-at-post-def
by(rule OclAny-allInstances-generic-oclIsKindOf<sub>OclAny</sub>)
lemma OclAny-allInstances-at-pre-oclIsKindOf<sub>OclAny</sub>:
\tau \models (OclAny .allInstances@pre() -> forAll(X|X .oclIsKindOf(OclAny)))
unfolding OclAllInstances-at-pre-def
by(rule OclAny-allInstances-generic-oclIsKindOf_{OclAny})
lemma Person-allInstances-generic-oclIsKindOf<sub>OclAnv</sub>:
\tau \models ((OclAllInstances-generic\ pre-post\ Person) -> forAll(X|X\ .oclIsKindOf\ (OclAny)))
```

```
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
 apply(simp only: OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
 apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp\ add: OclIsKindOf_{OclAny}-Person)
lemma Person-allInstances-at-post-oclIsKindOf<sub>OclAnv</sub>:
\tau \models (Person .allInstances() -> forAll(X|X .oclIsKindOf(OclAny)))
unfolding OclAllInstances-at-post-def
by(rule Person-allInstances-generic-oclIsKindOf<sub>OclAny</sub>)
lemma Person-allInstances-at-pre-oclIsKindOf<sub>OclAnv</sub>:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsKindOf(OclAny)))
unfolding OclAllInstances-at-pre-def
\mathbf{by}(rule\ Person-allInstances-generic-oclIsKindOf_{OclAnv})
lemma Person-allInstances-generic-oclIsKindOf<sub>Person</sub>:
\tau \models ((OclAllInstances-generic\ pre-post\ Person) - > forAll(X|X\ .oclIsKindOf(Person)))
apply(simp add: OclValid-def del: OclAllInstances-generic-def)
 apply(simp only: OclForall-def refl if-True
            OclAllInstances-generic-defined[simplified OclValid-def])
apply(simp only: OclAllInstances-generic-def)
apply(subst (1 2 3) Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def)
by(simp add: OclIsKindOf<sub>Person</sub>-Person)
lemma Person-allInstances-at-post-oclIsKindOf<sub>Person</sub>:
\tau \models (\textit{Person .allInstances}() - > \textit{forAll}(X | X .oclIsKindOf(Person)))
unfolding OclAllInstances-at-post-def
\mathbf{by}(rule\ Person-allInstances-generic-ocllsKindOf_{Person})
lemma Person-allInstances-at-pre-oclIsKindOf<sub>Person</sub>:
\tau \models (Person .allInstances@pre() -> forAll(X|X .oclIsKindOf(Person)))
unfolding OclAllInstances-at-pre-def
\mathbf{by}(\textit{rule Person-allInstances-generic-oclIsK} \textit{indOf}_{\textit{Person}})
```

#### B.5.8. The Accessors (any, boss, salary)

Should be generated entirely from a class-diagram.

#### **Definition**

```
definition eval-extract :: ({}^{t}\mathfrak{A},({}^{\prime}a::object) option option) val

\Rightarrow (oid \Rightarrow ({}^{t}\mathfrak{A},{}^{\prime}c::null) val)

\Rightarrow ({}^{t}\mathfrak{A},{}^{\prime}c::null) val

where eval-extract Xf = (\lambda \ \tau . \ case \ X \ \tau \ of

\bot \Rightarrow invalid \ \tau \quad (* \ exception \ propagation \ *)
```

```
| | | obj | | \Rightarrow f (oid\text{-}of obj) \tau
definition deref\text{-}oid_{Person} :: (\mathfrak{A} \textit{ state} \times \mathfrak{A} \textit{ state} \Rightarrow \mathfrak{A} \textit{ state})
                            \Rightarrow (type_{Person} \Rightarrow (\mathfrak{A}, 'c::null)val)
                            \Rightarrow oid
                           \Rightarrow (\mathfrak{A}, 'c::null)val
where deref-oid_{Person} fst-snd f oid = (\lambda \tau. case (heap (fst-snd \tau)) oid of
                      \lfloor in_{Person} obj \rfloor \Rightarrow f obj \tau
                    \mid - \Rightarrow invalid \tau)
definition deref\text{-}oid_{OclAny} :: (\mathfrak{A} state \times \mathfrak{A} state \Rightarrow \mathfrak{A} state)
                           \Rightarrow (type_{OclAny} \Rightarrow (\mathfrak{A}, 'c::null)val)
                            \Rightarrow oid
                           \Rightarrow (\mathfrak{A}, 'c::null)val
where deref-oid_{OclAny} fst-snd f oid = (\lambda \tau. case (heap (fst-snd \tau)) <math>oid of
                      |in_{OclAnv} obj| \Rightarrow f obj \tau
                           \Rightarrow invalid \tau)
    pointer undefined in state or not referencing a type conform object representation
definition select<sub>OclAny</sub> \mathscr{A} \mathscr{N} \mathscr{Y} f = (\lambda X. \ case X \ of \ )
                    (mk_{OclAny} - \bot) \Rightarrow null
                  |(mk_{OclAny} - |any|) \Rightarrow f(\lambda x - ||x||) any)
definition select_{Person} \mathcal{BOSS} f = (\lambda X. case X of
                    (mk_{Person} - - \bot) \Rightarrow null \ (* object contains null pointer *)
                  |(mk_{Person} - - |boss|) \Rightarrow f(\lambda x - \cdot ||x||) boss
definition select<sub>Person</sub>\mathscr{SALARY} f = (\lambda X. case X of
                    (mk_{Person} - \bot -) \Rightarrow null
                  |(mk_{Person} - \lfloor salary \rfloor -) \Rightarrow f(\lambda x - ||x||) salary)
definition in-pre-state = fst
definition in-post-state = snd
definition reconst-basetype = (\lambda \ convert \ x. \ convert \ x)
definition dot_{OclAny} \mathscr{A} \mathscr{N} \mathscr{Y} :: OclAny \Rightarrow - ((1(-).any) 50)
  where (X).any = eval-extract X
```

 $(deref-oid_{OclAny} in-post-state \\ (select_{OclAny} \mathcal{ANY})$ 

 $| \ | \ \perp \ | \Rightarrow invalid \ \tau \ (* dereferencing null pointer *)$ 

```
reconst-basetype))
definition dot_{Person} \mathscr{BOSS} :: Person \Rightarrow Person ((1(-).boss) 50)
 where (X).boss = eval-extract X
                 (deref\text{-}oid_{Person}\ in\text{-}post\text{-}state
                   (select_{Person} \mathcal{BOSS})
                     (deref-oid_{Person} in-post-state)))
definition dot_{Person} \mathcal{SALARY} :: Person \Rightarrow Integer ((1(-).salary) 50)
 where (X).salary = eval-extract X
                   (deref-oid<sub>Person</sub> in-post-state
                     (select_{Person}\mathcal{S}\mathcal{A}\mathcal{L}\mathcal{A}\mathcal{R}\mathcal{Y}
                      reconst-basetype))
definition dot_{OclAny} \mathscr{A} \mathscr{N} \mathscr{Y}-at-pre :: OclAny \Rightarrow - ((1(-).any@pre) 50)
 where (X).any@pre = eval-extract X
                    (deref-oid_{OclAny} in-pre-state)
                      (select_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y})
                       reconst-basetype))
definition dot_{Person} \mathscr{BOSS}-at-pre:: Person \Rightarrow Person \ ((1(-).boss@pre) \ 50)
 where (X).boss@pre = eval-extract X
                     (deref-oid<sub>Person</sub> in-pre-state
                       (select_{Person} \mathcal{BOSS})
                        (deref-oid_{Person} in-pre-state)))
definition dot_{Person} \mathcal{SALARY} -at-pre:: Person \Rightarrow Integer ((1(-).salary@pre) 50)
 where (X).salary@pre = eval-extract X
                       (deref-oid<sub>Person</sub> in-pre-state
                        (select_{Person}\mathcal{SALARY})
                         reconst-basetype))
lemmas dot-accessor =
 dot_{OclAnv} \mathcal{A} \mathcal{N} \mathcal{Y}-def
 dot_{Person} \mathcal{BOSS}-def
 dotPersonSALARY-def
 dot_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y}-at-pre-def
 dot<sub>Person</sub> BOSS-at-pre-def
 dot<sub>Person</sub>SALARY-at-pre-def
Context Passing
lemmas [simp] = eval-extract-def
lemma cp\text{-}dot_{OclAny} \mathcal{ANY}: ((X).any) \tau = ((\lambda -. X \tau).any) \tau by (simp\ add:\ dot\text{-}accessor)
lemma cp\text{-}dot_{Person} \mathcal{BOSS}: ((X).boss) \tau = ((\lambda - X \tau).boss) \tau by (simp add: dot-accessor)
lemma cp\text{-}dot_{Person}\mathscr{S}\mathscr{ALARY}: ((X).salary) \tau = ((\lambda - X \tau).salary) \tau by (simp\ add:\ dot\text{-}accessor)
```

```
lemma cp\text{-}dot_{OclAny} \mathscr{A} \mathscr{N} \mathscr{Y}\text{-}at\text{-}pre: ((X).any@pre) \tau = ((\lambda -. X \tau).any@pre) \tau  by (simp\ add:\ dot\text{-}accessor)
lemma cp-dot_{Person} \mathcal{BOSS} -at-pre: ((X).boss@pre) \tau = ((\lambda - X \tau).boss@pre) \tau by (simp\ add:\ dot-accessor)
lemma cp-dot_{Person}\mathscr{I}\mathscr{A}\mathscr{L}\mathscr{A}\mathscr{R}\mathscr{Y}-at-pre: ((X).salary@pre) \tau = ((\lambda -. X \tau).salary@pre) \tau by (simp\ add:\ dot-accessor)
lemmas cp-dot_{OclAnv} \mathcal{A} \mathcal{N} \mathcal{Y}-I [simp, intro!]=
      cp-dot_{OclAnv} \mathscr{ANY}[THEN\ allI[THEN\ allI],
                     of \lambda X - X \lambda - \tau. \tau, THEN cpII]
lemmas cp-dot_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y}-at-pre-I [simp, intro!]=
      cp-dot_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y}-at-pre[THEN allI]THEN allI],
                     of \lambda X - X \lambda - \tau \cdot \tau, THEN cp11
lemmas cp-dot_{Person} \mathcal{BOSS}-I [simp, intro!]=
      cp-dot_{Person} \mathscr{BOSS}[THEN\ allI[THEN\ allI],
                     of \lambda X - X \lambda - \tau \cdot \tau, THEN cp11
lemmas cp-dot_{Person} \mathcal{BOSS}-at-pre-I [simp, intro!]=
      cp-dot_{Person} \mathcal{BOSS}-at-pre[THEN allI]THEN allI],
                     of \lambda X - X \lambda - \tau \cdot \tau, THEN cp11
lemmas cp-dot_{Person} \mathcal{SALARY}-I[simp, intro!]=
      cp-dot_{Person} \mathcal{SALARY}[THEN\ allI[THEN\ allI],
                     of \lambda X - X \lambda - \tau \cdot \tau, THEN cp11
lemmas cp-dot_{Person} \mathcal{SALARY}-at-pre-I [simp, intro!]=
      cp-dot_{Person} \mathcal{SALARY}-at-pre[THEN allI]THEN allI],
```

#### **Execution with Invalid or Null as Argument**

of  $\lambda X - X \lambda - \tau \cdot \tau$ , THEN cp11

```
lemma dot_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y}-nullstrict [simp]: (null).any = invalid 
by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) 
lemma dot_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y}-at-pre-nullstrict [simp] : (null).any@pre = invalid 
by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) 
lemma dot_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y}-strict [simp] : (invalid).any = invalid 
by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) 
lemma dot_{OclAny} \mathcal{A} \mathcal{N} \mathcal{Y}-at-pre-strict [simp] : (invalid).any@pre = invalid 
by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def)
```

```
lemma dot_{Person}\mathcal{BOSS}-nullstrict [simp]: (null).boss = invalid by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) lemma dot_{Person}\mathcal{BOSS}-at-pre-nullstrict [simp]: (null).boss@pre = invalid by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) lemma dot_{Person}\mathcal{BOSSS}-strict [simp]: (invalid).boss = invalid by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def) lemma dot_{Person}\mathcal{BOSSS}-at-pre-strict [simp]: (invalid).boss@pre = invalid by(rule ext, simp add: dot-accessor null-fun-def null-option-def bot-option-def null-def invalid-def)
```

**lemma**  $dot_{Person} \mathcal{SALARY}$  -nullstrict [simp]: (null).salary = invalid

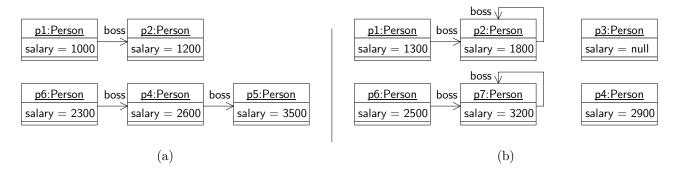


Figure B.4.: (a) pre-state  $\sigma_1$  and (b) post-state  $\sigma'_1$ .

#### B.5.9. A Little Infra-structure on Example States

The example we are defining in this section comes from the figure B.4.

```
definition OclInt1000 (1000) where OclInt1000 = (\lambda - . | |1000| |)
definition OclInt1200 (1200) where OclInt1200 = (\lambda - . | |1200| |)
definition OclInt1300 (1300) where OclInt1300 = (\lambda - . | |1300| |)
definition OclInt1800 (1800) where OclInt1800 = (\lambda - . ||1800||)
definition OclInt2600 (2600) where OclInt2600 = (\lambda - . | |2600| |)
definition OclInt2900 (2900) where OclInt2900 = (\lambda - . | |2900| |)
definition OclInt3200 (3200) where OclInt3200 = (\lambda - . ||3200||)
definition OclInt3500 (3500) where OclInt3500 = (\lambda - . ||3500||)
definition oid0 \equiv 0
definition oid1 \equiv 1
definition oid2 \equiv 2
definition oid3 \equiv 3
definition oid4 \equiv 4
definition oid5 \equiv 5
definition oid6 \equiv 6
definition oid7 \equiv 7
definition oid8 \equiv 8
definition person1 \equiv mk_{Person} \ oid0 \ |\ 1300 \ |\ |\ oid1 \ |
definition person2 \equiv mk_{Person} \ oid1 \ |1800| \ |oid1|
definition person3 \equiv mk_{Person} oid2 None None
```

```
definition person4 \equiv mk_{Person} \ oid3 \ |2900| \ None
definition person5 \equiv mk_{Person} \ oid4 \ |3500| \ None
definition person6 \equiv mk_{Person} \ oid5 \ \lfloor 2500 \rfloor \ \lfloor oid6 \rfloor
definition person7 \equiv mk_{OclAny} \ oid6 \ |(|3200|, |oid6|)|
definition person8 \equiv mk_{OclAny} oid7 None
definition person9 \equiv mk_{Person} \ oid8 \ |0| \ None
definition
    \sigma_1 \equiv (|heap = empty(oid0 \mapsto in_{Person} (mk_{Person} oid0 | 1000 | |oid1|))
                     (oid1 \mapsto in_{Person} (mk_{Person} oid1 | 1200 | None))
                    (*oid2*)
                     (oid3 \mapsto in_{Person} \ (mk_{Person} \ oid3 \ \lfloor 2600 \rfloor \ \lfloor oid4 \rfloor))
                     (oid4 \mapsto in_{Person} \ person5)
                     (oid5 \mapsto in_{Person} (mk_{Person} oid5 \lfloor 2300 \rfloor \lfloor oid3 \rfloor))
                    (*oid6*)
                    (*oid7*)
                     (oid8 \mapsto in_{Person} person9),
           assocs = empty
definition
    \sigma_1' \equiv (|heap = empty(oid0 \mapsto in_{Person} person1))
                     (oid1 \mapsto in_{Person} person2)
                     (oid2 \mapsto in_{Person} person3)
                     (oid3 \mapsto in_{Person} person4)
                    (*oid4*)
                     (oid5 \mapsto in_{Person} person6)
                     (oid6 \mapsto in_{OclAny} person7)
                     (oid7 \mapsto in_{OclAnv} person8)
                     (oid8 \mapsto in_{Person} person9),
           assocs = empty
definition \sigma_0 \equiv (|heap = empty, assocs = empty)
lemma basic-\tau-wff: WFF(\sigma_1, \sigma_1')
by(auto simp: WFF-def \sigma_1-def \sigma_1'-def
           oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
           oid-of-\mathfrak{A}-def oid-of-type<sub>Person</sub>-def oid-of-type<sub>OclAny</sub>-def
          person1-def person2-def person3-def person4-def
          person5-def person6-def person7-def person8-def person9-def)
lemma [simp,code-unfold]: dom(heap \sigma_1) = \{oid0,oid1,(*,oid2*)oid3,oid4,oid5(*,oid6,oid7*),oid8\}
by(auto simp: \sigma_1-def)
lemma [simp,code-unfold]: dom(heap \sigma_1') = \{oid0,oid1,oid2,oid3,(*,oid4*)oid5,oid6,oid7,oid8\}
by(auto simp: \sigma_1'-def)
definition X_{Person}1 :: Person \equiv \lambda - \lfloor \lfloor person1 \rfloor \rfloor
```

```
definition X_{Person} 2 :: Person \equiv \lambda - . | | person 2 | |
definition X_{Person}3 :: Person \equiv \lambda - . | | person3 | |
definition X_{Person}4 :: Person \equiv \lambda - . | | person4 | |
definition X_{Person}5 :: Person \equiv \lambda - . | | person5 | |
definition X_{Person}6 :: Person \equiv \lambda - . | | person6 | |
definition X_{Person}7 :: OclAny \equiv \lambda - . | | person7 | |
definition X_{Person}8 :: OclAny \equiv \lambda - . | | person8 | |
definition X_{Person}9 :: Person \equiv \lambda - \lfloor \lfloor person9 \rfloor \rfloor
lemma [code-unfold]: ((x::Person) \doteq y) = StrictRefEq_{Object} \times y by (simp only: StrictRefEq_{Object}-Person)
lemma [code-unfold]: ((x::OclAny) \doteq y) = StrictRefEq_{Object} \ x \ y \ by(simp \ only: StrictRefEq_{Object} - OclAny)
lemmas [simp,code-unfold] =
OclAsType<sub>OclAny</sub>-OclAny
 OclAsType<sub>OclAny</sub>-Person
 OclAsType<sub>Person</sub>-OclAny
 OclAsType_{Person}-Person
 OclIsTypeOf<sub>OclAny</sub>-OclAny
 OclIsTypeOf OclAny-Person
 OclIsTypeOf Person-OclAny
 OclIsTypeOf<sub>Person</sub>-Person
 OclIsKindOf OclAny-OclAny
 OclIsKindOf<sub>OclAnv</sub>-Person
 OclIsKindOf Person-OclAny
 OclIsKindOf<sub>Person</sub>-Person
Assert \land s_{pre}
                    (s_{pre},\sigma_1') \models
                                              (X_{Person}1.salary <> 1000)
Assert \land s_{pre}
                   (s_{pre},\sigma_1') \models
                                              (X_{Person}1.salary \doteq 1300)
                                                                               \doteq 1000)
                                              (X_{Person}1.salary@pre
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                                              (X_{Person}1.salary@pre
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                                                                               <> 1300)
                                              (X_{Person}1.boss <> X_{Person}1)
Assert \land s_{pre} . (s_{pre}, \sigma_1') \models
                                              (X_{Person}1.boss.salary \doteq 1800)
Assert \bigwedge s_{pre}
                   (s_{pre},\sigma_1') \models
                   (s_{pre},\sigma_1') \models
Assert \bigwedge s_{pre}
                                              (X_{Person}1.boss.boss <> X_{Person}1)
                    (s_{pre},\sigma_1') \models
                                              (X_{Person}1.boss.boss \doteq X_{Person}2)
Assert \bigwedge s_{pre}
                     (\sigma_1,\sigma_1') \models
Assert
                                         (X_{Person}1.boss@pre.salary \doteq 1800)
                                              (X_{Person}1.boss@pre.salary@pre \doteq 1200)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                                              (X_{Person}1.boss@pre.salary@pre <> 1800)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                                              (X_{Person}1.boss@pre \doteq X_{Person}2)
Assert
                     (\sigma_1,\sigma_1') \models
                                         (X_{Person}1.boss@pre.boss \doteq X_{Person}2)
                                              (X_{Person}1.boss@pre.boss@pre \doteq null)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
Assert \land s_{post}. (\sigma_1, s_{post}) \models not(v(X_{Person}1.boss@pre.boss@pre.boss@pre.boss@pre))
lemma
                      (\sigma_1,\sigma_1') \models
                                          (X_{Person}1.oclIsMaintained())
by(simp add: OclValid-def OclIsMaintained-def
          \sigma_1-def \sigma_1'-def
```

```
oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
          oid-of-option-def oid-of-type<sub>Person</sub>-def)
lemma \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models ((X_{Person}1 . oclAsType(OclAny) . oclAsType(Person)) \doteq X_{Person}1)
by(rule up-down-cast-Person-OclAny-Person', simp add: X_{Person}1-def)
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models (X_{Person}1 . ocllsTypeOf(Person))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(X_{Person}1 .oclIsTypeOf(OclAny))
                                              (X_{Person}1.ocllsKindOf(Person))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models (X_{Person}1 . oclIsKindOf(OclAny))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(X_{Person}1 .oclAsType(OclAny) .oclIsTypeOf(OclAny))
Assert \land s_{pre} . (s_{pre}, \sigma_1') \models
                                             (X_{Person}2.salary
                                                                        \doteq 1800)
                                             (X_{Person}2.salary@pre \doteq 1200)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
Assert \land s_{pre} . (s_{pre}, \sigma_1) \models
                                             (X_{Person}2.boss
                                                                   \doteq X_{Person}2)
                                        (X_{Person}2.boss.salary@pre \doteq 1200)
                     (\sigma_1,\sigma_1') \models
Assert
Assert
                     (\sigma_1,\sigma_1') \models
                                        (X_{Person}2.boss.boss@pre = null)
                                             (X_{Person}2.boss@pre \doteq null)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                                             (X_{Person}2.boss@pre <> X_{Person}2)
Assert
                     (\sigma_1,\sigma_1') \models
                                        (X_{Person}2.boss@pre <> (X_{Person}2.boss))
Assert \land s_{post}. (\sigma_{1}, s_{post}) \models not(v(X_{Person}2.boss@pre.boss))
Assert \land s_{post}. (\sigma_1, s_{post}) \models not(v(X_{Person}2.boss@pre.salary@pre))
                                         (X_{Person}2.oclIsMaintained())
                     (\sigma_1,\sigma_1') \models
by(simp add: OclValid-def OclIsMaintained-def
          \sigma_1-def \sigma_1'-def
          X_{Person}2-def person2-def
          oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
          oid-of-option-def oid-of-type<sub>Person</sub>-def)
Assert \land s_{pre} . (s_{pre}, \sigma_1) \models
                                            (X_{Person}3.salary)
Assert \land s_{post}. (\sigma_1, s_{post}) \models not(v(X_{Person}3 .salary@pre))
Assert \land s_{pre} . (s_{pre}, \sigma_1') \models (X_{Person}3 .boss)
Assert \land s_{pre} . (s_{pre}, \sigma_1') \models not(v(X_{Person}3 .boss .salary))
Assert \land s_{post}. (\sigma_{1}, s_{post}) \models not(v(X_{Person}3.boss@pre))
                     (\sigma_1, \sigma_1') \models (X_{Person}3.oclIsNew())
lemma
by(simp add: OclValid-def OclIsNew-def
          \sigma_1-def \sigma_1'-def
          X_{Person}3-def person3-def
          oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid8-def
          oid-of-option-def oid-of-type<sub>Person</sub>-def)
                                             (X_{Person}4.boss@pre \doteq X_{Person}5)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
Assert
                     (\sigma_1, \sigma_1') \models not(v(X_{Person} 4 .boss@pre .salary))
                                             (X_{Person}4.boss@pre.salary@pre \doteq 3500)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
lemma
                     (\sigma_1, \sigma_1') \models (X_{Person} 4 . oclls Maintained())
```

 $X_{Person}1$ -def person1-def

```
\sigma_1-def \sigma_1'-def
         X_{Person}4-def person4-def
         oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
         oid-of-option-def oid-of-type<sub>Person</sub>-def)
Assert \land s_{pre} . (s_{pre}, \sigma_1') \models not(v(X_{Person}5.salary))
                                        (X_{Person}5.salary@pre \doteq 3500)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
Assert \land s_{pre} . (s_{pre}, \sigma_1') \models not(v(X_{Person}5.boss))
                   (\sigma_1, \sigma_1') \models (X_{Person} 5 . oclls Deleted())
lemma
by(simp add: OclNot-def OclValid-def OclIsDeleted-def
         \sigma_1-def \sigma_1'-def
         X_{Person}5-def person5-def
         oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
         oid-of-option-def oid-of-type<sub>Person</sub>-def)
Assert \land s_{pre} . (s_{pre}, \sigma_1') \models not(v(X_{Person}6 .boss .salary@pre))
                                           (X_{Person}6.boss@pre \doteq X_{Person}4)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
                    (\sigma_1, \sigma_1') \models (X_{Person}6 .boss@pre .salary \doteq 2900)
Assert
Assert \land s_{post}. (\sigma_1, s_{post}) \models (X_{Person}6.boss@pre.salary@pre <math>\doteq 2600)
                                          (X_{Person}6.boss@pre.boss@pre \doteq X_{Person}5)
Assert \land s_{post}. (\sigma_1, s_{post}) \models
lemma
                    (\sigma_1, \sigma_1') \models (X_{Person}6 . oclls Maintained())
by(simp add: OclValid-def OclIsMaintained-def
          \sigma_1-def \sigma_1'-def
         X_{Person}6-def person6-def
         oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def
         oid-of-option-def oid-of-type<sub>Person</sub>-def)
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models v(X_{Person} 7 . oclAsType(Person))
Assert \land s_{post}. (\sigma_1, s_{post}) \models not(v(X_{Person}7 .oclAsType(Person) .boss@pre))
lemma \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models ((X_{Person}7 .oclAsType(Person) .oclAsType(OclAny))
                                                  .oclAsType(Person))
                            \doteq (X_{Person}7 .oclAsType(Person)))
by(rule up-down-cast-Person-OclAny-Person', simp add: X<sub>Person</sub>7-def OclValid-def valid-def person7-def)
                                        (X_{Person}7.oclIsNew())
lemma
                    (\sigma_1,\sigma_1') \models
by(simp add: OclValid-def OclIsNew-def
          \sigma_1-def \sigma_1'-def
         X_{Person}7-def person7-def
         oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid8-def
         oid-of-option-def oid-of-type_{OclAnv}-def)
```

**by**(simp add: OclValid-def OclIsMaintained-def

```
(X_{Person}8 <> X_{Person}7)
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(v(X_{Person}8 .oclAsType(Person)))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models
                                                 (X_{Person}8.oclIsTypeOf(OclAny))
Assert \bigwedge s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(X_{Person}8 .ocllsTypeOf(Person))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models not(X_{Person} 8 .ocllsKindOf(Person))
                                                (X_{Person}8.oclIsKindOf(OclAny))
Assert \land s_{pre} s_{post}. (s_{pre}, s_{post}) \models
lemma \sigma-modified only: (\sigma_1, \sigma_1') \models (Set\{X_{Person}1 . oclAsType(OclAny)\})
                  , X_{Person} 2 . oclAsType(OclAny)
                (*, X_{Person}3.oclAsType(OclAny)*)
                 , X_{Person}4 .oclAsType(OclAny)
                (*, X_{Person}5.oclAsType(OclAny)*)
                 , X_{Person}6 .oclAsType(OclAny)
                (*, X_{Person}7 . oclAsType(OclAny)*)
                (*, X_{Person}8 . oclAsType(OclAny)*)
                (*, X_{Person}9.oclAsType(OclAny)*)}->oclIsModifiedOnly())
 apply(simp add: OclIsModifiedOnly-def OclValid-def
             oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
             X_{Person}1-def X_{Person}2-def X_{Person}3-def X_{Person}4-def
             X_{Person}5-def X_{Person}6-def X_{Person}7-def X_{Person}8-def X_{Person}9-def
             person1-def person2-def person3-def person4-def
             person5-def person6-def person7-def person8-def person9-def
             image-def)
 apply(simp add: OclIncluding-rep-set mtSet-rep-set null-option-def bot-option-def)
 apply(simp add: oid-of-option-def oid-of-type<sub>OclAnv</sub>-def, clarsimp)
apply(simp add: \sigma_1-def \sigma_1'-def
             oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)
done
lemma (\sigma_1, \sigma_1') \models ((X_{Person} 9 \otimes pre(\lambda x. | OclAsType_{Person} - \mathfrak{A} x|)) \triangleq X_{Person} = (\lambda x. | OclAsType_{Person} - \mathfrak{A} x|)
by(simp add: OclSelf-at-pre-def \sigma_1-def oid-of-option-def oid-of-type<sub>Person</sub>-def
          X_{Person}9-def person9-def oid8-def OclValid-def StrongEq-def OclAsType<sub>Person</sub>-\mathfrak{A}-def)
lemma (\sigma_1, \sigma_1) \models ((X_{Person} 9 \otimes post (\lambda x. | OclAsType_{Person} - \mathfrak{A} x|)) \triangleq X_{Person} = (X_{Person} \otimes x)
by(simp add: OclSelf-at-post-def \sigma_1'-def oid-of-option-def oid-of-type<sub>Person</sub>-def
          X_{Person}9-def person9-def oid8-def OclValid-def StrongEq-def OclAsType<sub>Person</sub>-\mathfrak{A}-def)
lemma (\sigma_1, \sigma_1') \models (((X_{Person}9 .oclAsType(OclAny)) @pre(\lambda x. | OclAsType_{OclAny} \cdot \mathfrak{A} x|)) \triangleq
               ((X_{Person}9.oclAsType(OclAny)) @post(\lambda x. | OclAsType_{OclAny}-\mathfrak{A}|x|)))
proof -
have including4 : \bigwedge a \ b \ c \ d \ \tau.
      Set\{\lambda \tau. ||a||, \lambda \tau. ||b||, \lambda \tau. ||c||, \lambda \tau. ||d||\} \tau = Abs-Set_{base} ||\{||a||, ||b||, ||c||, ||d||\} ||
 apply(subst abs-rep-simp'[symmetric], simp)
 apply(simp add: OclIncluding-rep-set mtSet-rep-set)
 by(rule arg-cong[of - - \lambda x. (Abs-Set<sub>base</sub>(\lfloor \lfloor x \rfloor \rfloor))], auto)
```

```
have excluding1: \bigwedge S a b c d e \tau.
              (\lambda -. Abs-Set_{base} [ [\{ \lfloor \lfloor a \rfloor \rfloor, \lfloor \lfloor b \rfloor \rfloor, \lfloor \lfloor c \rfloor \rfloor, \lfloor \lfloor d \rfloor \} ]]) -> excluding(\lambda \tau. \lfloor \lfloor e \rfloor \rfloor) \tau =
              Abs\text{-}Set_{base} \mid \mid \{ \mid \mid a \mid \mid, \mid \mid b \mid \mid, \mid \mid c \mid \mid, \mid \mid d \mid \mid \} - \{ \mid \mid e \mid \mid \} \mid \mid
 apply(simp add: OclExcluding-def)
 apply(simp add: defined-def OclValid-def false-def true-def
             bot-fun-def bot-Set_{base}-def null-fun-def null-Set_{base}-def)
 apply(rule conjI)
  apply(rule impI, subst (asm) Abs-Set<sub>base</sub>-inject) apply( simp add: bot-option-def)+
 apply(rule conjI)
  apply(rule impI, subst (asm) Abs-Set<sub>base</sub>-inject) apply(simp add: bot-option-def null-option-def)+
 apply(subst Abs-Set<sub>base</sub>-inverse, simp add: bot-option-def, simp)
 done
 show ?thesis
 apply(rule framing [where X = Set\{X_{Person}1 . oclAsType(OclAny)\}
                 , X_{Person}2 .oclAsType(OclAny)
                (*, X_{Person}3 .oclAsType(OclAny)*)
                 X_{Person}4 .oclAsType(OclAny)
                (*, X_{Person}5 . oclAsType(OclAny)*)
                 , X_{Person}6 .oclAsType(OclAny)
                (*, X_{Person}7 . oclAsType(OclAny)*)
                (*, X_{Person}8 . oclAsType(OclAny)*)
                (*, X_{Person}9.oclAsType(OclAny)*)}])
  apply(cut-tac \sigma-modifiedonly)
  apply(simp only: OclValid-def
               X_{Person}1-def X_{Person}2-def X_{Person}3-def X_{Person}4-def
              X_{Person}5-def X_{Person}6-def X_{Person}7-def X_{Person}8-def X_{Person}9-def
              person1-def person2-def person3-def person4-def
              person5-def person6-def person7-def person8-def person9-def
               OclAsType_{OclAny}-Person)
  apply(subst cp-OclIsModifiedOnly, subst cp-OclExcluding,
   subst (asm) cp-OclIsModifiedOnly, simp add: including4 excluding1)
 apply(simp\ only: X_{Person}1-def\ X_{Person}2-def\ X_{Person}3-def\ X_{Person}4-def
              X_{Person}5-def X_{Person}6-def X_{Person}7-def X_{Person}8-def X_{Person}9-def
              person1-def person2-def person3-def person4-def
              person5-def person6-def person7-def person8-def person9-def)
 apply(simp add: OclIncluding-rep-set mtSet-rep-set
             oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)
 apply(simp add: StrictRefEq<sub>Object</sub>-def oid-of-option-def oid-of-type<sub>OclAny</sub>-def OclNot-def OclValid-def
             null-option-def bot-option-def)
 done
qed
lemma perm - \sigma_1' : \sigma_1' = (|heap = empty)
                    (oid8 \mapsto in_{Person} person9)
                    (oid7 \mapsto in_{OclAny} person8)
```

```
(oid6 \mapsto in_{OclAny} person7)
                  (oid5 \mapsto in_{Person} person6)
                 (*oid4*)
                  (oid3 \mapsto in_{Person} person4)
                  (oid2 \mapsto in_{Person} person3)
                  (oid1 \mapsto in_{Person} person2)
                  (oid0 \mapsto in_{Person} \ person1)
               , assocs = assocs \sigma_1'
proof -
note P = fun-upd-twist
show ?thesis
 apply(simp add: \sigma_1'-def
            oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def)
 apply(subst(1) P, simp)
 apply(subst (2) P, simp) apply(subst (1) P, simp)
 apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst (1) P, simp)
 apply(subst (4) P, simp) apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst (1) P, simp)
 apply(subst (5) P, simp) apply(subst (4) P, simp) apply(subst (3) P, simp) apply(subst (2) P, simp) apply(subst (1)
P, simp)
 apply(subst (6) P, simp) apply(subst (5) P, simp) apply(subst (4) P, simp) apply(subst (3) P, simp) apply(subst (2)
P, simp) apply(subst (1) P, simp)
 apply(subst (7) P, simp) apply(subst (6) P, simp) apply(subst (5) P, simp) apply(subst (4) P, simp) apply(subst (3)
P, simp) apply(subst(2) P, simp) apply(subst(1) P, simp)
\mathbf{by}(simp)
qed
declare const-ss [simp]
lemma \wedge \sigma_1.
(\sigma_1, \sigma_1') \models (Person \ .allInstances) \doteq Set\{X_{Person}1, X_{Person}2, X_{Person}3, X_{Person}4(*, X_{Person}5*), X_{Person}6,
                             X_{Person}7 .oclAsType(Person)(*, X_{Person}8*), X_{Person}9 })
apply(subst perm-\sigma_1)
 apply(simp only: oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
            X_{Person}1-def X_{Person}2-def X_{Person}3-def X_{Person}4-def
            X_{Person}5-def X_{Person}6-def X_{Person}7-def X_{Person}8-def X_{Person}9-def
            person7-def)
apply(subst\ state-update-vs-allInstances-at-post-tc, simp, simp\ add:\ OclAsType_{Person}-\mathfrak{A}-def, simp, rule\ const-StrictRefEq_{Set}-including.
simp, simp, rule OclIncluding-cong, simp, simp)
```

 $apply(subst\ state-update-vs-allInstances-at-post-tc, simp, simp\ add:\ OclAsType_{Person}-\mathfrak{A}-def$ , simp, rule const-StrictRefEq<sub>Set</sub>-including simp, simp, rule OclIncluding-cong, simp, simp)

 $apply(subst\ state-update-vs-allInstances-at-post-tc, simp, simp\ add:\ OclAsType_{Person}-\mathfrak{A}-def, simp, rule\ const-StrictRefEq_{Set}-including$ simp, simp, simp, rule OclIncluding-cong, simp, simp)

 $\mathbf{apply}(\mathit{subst\ state-update-vs-allInstances-at-post-tc}, \mathit{simp}, \mathit{simp\ add:}\ OclAsType_{Person} - \mathfrak{A} - \mathit{def}\,, \mathit{simp}, \mathit{rule\ const-StrictRefEq}_{Set} - \mathit{including}, \mathit{simp\ add:}\ OclAsType_{Person} - \mathfrak{A} - \mathit{def}\,, \mathit{simp\ add:}\ OclasType_{Person} - \mathsf{A} - \mathit{$ simp, simp, rule OclIncluding-cong, simp, simp)

 $apply(subst\ state-update-vs-allInstances-at-post-tc, simp, simp\ add:\ OclAsType_{Person}-\mathfrak{A}-def$ , simp, rule const-StrictRefEq<sub>Set</sub>-including simp, simp, simp, rule OclIncluding-cong, simp, simp)

 $apply(subst\ state-update-vs-allInstances-at-post-tc, simp, simp\ add:\ OclAsType_{Person}-\mathfrak{A}-def, simp, rule\ const-StrictRefEq_{Set}-including simp, s$ simp, simp, rule OclIncluding-cong, simp, simp)

```
apply(subst state-update-vs-allInstances-at-post-ntc, simp, simp add: OclAsType<sub>Person</sub>-A-def
                                                                                                                      person8-def, simp, rule const-StrictRefEq<sub>Set</sub>-including, simp, simp, simp)
         \textbf{apply}(\textit{subst state-update-vs-allInstances-at-post-tc}, \textit{simp}, \textit{simp add}: OclAsType_{Person} - \mathfrak{A} - def, \textit{simp}, \textit{rule const-StrictRefEq}_{Set} - includition + includition
simp, simp, rule OclIncluding-cong, simp, simp)
            apply(rule state-update-vs-allInstances-at-post-empty)
by(simp-all add: OclAsType<sub>Person</sub>-\mathfrak{A}-def)
lemma \wedge \sigma_1.
 (\sigma_1, \sigma_1') \models (OclAny . allInstances() \doteq Set\{X_{Person}1 . oclAsType(OclAny), X_{Person}2 . oclAsType(OclAny),
                                                                   X_{Person}3.oclAsType(OclAny), X_{Person}4.oclAsType(OclAny)
                                                                    (*, X_{Person}5*), X_{Person}6 .oclAsType(OclAny),
                                                                   X_{Person}7, X_{Person}8, X_{Person}9 .oclAsType(OclAny) })
  apply(subst perm-\sigma_1)
  apply(simp only: oid0-def oid1-def oid2-def oid3-def oid4-def oid5-def oid6-def oid7-def oid8-def
                                  X_{Person}I-def X_{Person}2-def X_{Person}3-def X_{Person}4-def X_{Person}5-def X_{Person}6-def X_{Person}7-def X_{Person}8-def
X_{Person}9-def
                            person1-def person2-def person3-def person4-def person5-def person6-def person9-def)
 apply(subst\ state-update-vs-allInstances-at-post-tc, simp, simp\ add:\ OclAsType_{OclAnv}-\mathfrak{A}-def, simp, rule const-StrictRefEq<sub>Set</sub>-including
simp, simp, rule OclIncluding-cong, simp, simp)+
              apply(rule state-update-vs-allInstances-at-post-empty)
by(simp-all add: OclAsType_{OclAny}-\mathfrak{A}-def)
end
```

theory
Design-OCL
imports
Design-UML
begin

#### **B.5.10. OCL Part: Standard State Infrastructure**

Ideally, these definitions are automatically generated from the class model.

#### B.5.11. Invariant

These recursive predicates can be defined conservatively by greatest fix-point constructions—automatically. See [4, 5] for details. For the purpose of this example, we state them as axioms here.

```
context Person inv label : self .boss <> null implies (self .salary \<le> ((self .boss) .salary))

definition Person-label_{inv} :: Person \Rightarrow Boolean

where Person-label_{inv} (self) \equiv
```

```
definition Person-label_{invAT\ pre} :: Person \Rightarrow Boolean
           Person-label_{invATpre} (self) \equiv
where
             (self.boss@pre <> null implies (self.salary@pre \leq_{int} ((self.boss@pre).salary@pre)))
definition Person-label<sub>globalinv</sub> :: Boolean
where Person-label_{globalinv} \equiv (Person .allInstances() -> forAll(x | Person-label_{inv}(x)) and
                          (Person .allInstances@pre() - > forAll(x \mid Person-label_{invATpre}(x))))
lemma \tau \models \delta(X.boss) \Longrightarrow \tau \models Person.allInstances()->includes(X.boss) \land
                      \tau \models Person .allInstances() -> includes(X)
sorry
lemma REC-pre : \tau \models Person-label_{globalinv}
     \Rightarrow \tau \models Person .allInstances() -> includes(X) (* X represented object in state *)
     \Rightarrow \exists REC. \ \tau \models REC(X) \triangleq (Person-label_{inv}(X) \ and \ (X \ .boss <> null implies REC(X \ .boss)))
sorry
   This allows to state a predicate:
axiomatization inv_{Person-label} :: Person \Rightarrow Boolean
where inv_{Person-label}-def:
(\tau \models Person .allInstances()->includes(self)) \Longrightarrow
(\tau \models (inv_{Person-label}(self) \triangleq (self .boss <> null implies)
                          (self .salary \leq_{int} ((self .boss) .salary)) and
                           inv_{Person-label}(self.boss))))
axiomatization inv_{Person-labelAT\ pre} :: Person \Rightarrow Boolean
where inv_{Person-labelATpre}-def:
(\tau \models Person .allInstances@pre()->includes(self)) \Longrightarrow
(\tau \models (inv_{Person-labelATpre}(self) \triangleq (self .boss@pre <> null implies))
                           (self .salary@pre \leq_{int} ((self .boss@pre) .salary@pre)) and
                            inv_{Person-labelATpre}(self.boss@pre))))
lemma inv-1:
(\tau \models Person .allInstances()->includes(self)) \Longrightarrow
   (\tau \models \mathit{inv}_{\mathit{Person-label}}(\mathit{self}) = ((\tau \models (\mathit{self}.\mathit{boss} \doteq \mathit{null})) \lor 
                        (\tau \models (self.boss <> null) \land
                          \tau \models ((self . salary) \leq_{int} (self . boss . salary)) \land
                          \tau \models (inv_{Person-label}(self.boss))))
sorry
```

 $(self.boss <> null implies (self.salary \leq_{int} ((self.boss).salary)))$ 

22

lemma inv-2:

```
 \begin{array}{l} (\tau \models \textit{Person .allInstances}@\textit{pre}() - > \textit{includes}(\textit{self})) \Longrightarrow \\ (\tau \models \textit{inv}_{\textit{Person-labelATpre}}(\textit{self})) = ((\tau \models (\textit{self .boss}@\textit{pre} \doteq \textit{null})) \lor \\ (\tau \models (\textit{self .boss}@\textit{pre} <> \textit{null}) \land \\ (\tau \models (\textit{self .boss}@\textit{pre .salary}@\textit{pre} \leq_{\textit{int}} \textit{self .salary}@\textit{pre})) \land \\ (\tau \models (\textit{inv}_{\textit{Person-labelATpre}}(\textit{self .boss}@\textit{pre}))))) \\ \textbf{sorry} \end{array}
```

A very first attempt to characterize the axiomatization by an inductive definition - this can not be the last word since too weak (should be equality!)

```
coinductive inv :: Person \Rightarrow (\mathfrak{A})st \Rightarrow bool where (\tau \models (\delta \ self)) \Rightarrow ((\tau \models (self \ .boss \doteq null)) \lor (\tau \models (self \ .boss <> null) \land (\tau \models (self \ .boss \ .salary \leq_{int} self \ .salary)) \land ((inv(self \ .boss))\tau))) \Rightarrow (inv \ self \ \tau)
```

#### **B.5.12.** The Contract of a Recursive Query

This part is analogous to the Analysis Model and skipped here.

end

### C. Conclusion

#### C.1. Lessons Learned and Contributions

We provided a typed and type-safe shallow embedding of the core of UML [26, 27] and OCL [28]. Shallow embedding means that types of OCL were injectively, i. e., mapped by the embedding one-to-one to types in Isabelle/HOL [25]. We followed the usual methodology to build up the theory uniquely by conservative extensions of all operators in a denotational style and to derive logical and algebraic (execution) rules from them; thus, we can guarantee the logical consistency of the library and instances of the class model construction, i. e., closed-world object-oriented datatype theories, as long as it follows the described methodology. Moreover, all derived execution rules are by construction type-safe (which would be an issue, if we had chosen to use an object universe construction in Zermelo-Fraenkel set theory as an alternative approach to subtyping.). In more detail, our theory gives answers and concrete solutions to a number of open major issues for the UML/OCL standardization:

- 1. the role of the two exception elements invalid and null, the former usually assuming strict evaluation while the latter ruled by non-strict evaluation.
- 2. the functioning of the resulting four-valued logic, together with safe rules (for example foundation9 foundation12 in Section B.2.1) that allow a reduction to two-valued reasoning as required for many automated provers. The resulting logic still enjoys the rules of a strong Kleene Logic in the spirit of the Amsterdam Manifesto [17].
- 3. the complicated life resulting from the two necessary equalities: the standard's "strict weak referential equality" as default (written  $\_ \doteq \_$  throughout this document) and the strong equality (written  $\_ \triangleq \_$ ), which follows the logical Leibniz principle that "equals can be replaced by equals." Which is not necessarily the case if invalid or objects of different states are involved.
- 4. a type-safe representation of objects and a clarification of the old idea of a one-to-one correspondence between object representations and object-id's, which became a state invariant.
- 5. a simple concept of state-framing via the novel operator \_->oclIsModifiedOnly() and its consequences for strong and weak equality.
- 6. a semantic view on subtyping clarifying the role of static and dynamic type (aka *apparent* and *actual* type in Java terminology), and its consequences for casts, dynamic type-tests, and static types.

<sup>&</sup>lt;sup>1</sup>Our two examples of Employee\_AnalysisModel and Employee\_DesignModel (see Section B.4 and Figure B as well as Section B.5 and Figure B) sketch how this construction can be captured by an automated process.

- 7. a semantic view on path expressions, that clarify the role of invalid and null as well as the tricky issues related to de-referentiation in pre- and post state.
- 8. an optional extension of the OCL semantics by *infinite* sets that provide means to represent "the set of potential objects or values" to state properties over them (this will be an important feature if OCL is intended to become a full-blown code annotation language in the spirit of JML [23] for semi-automated code verification, and has been considered desirable in the Aachen Meeting [13]).

Moreover, we managed to make our theory in large parts executable, which allowed us to include mechanically checked value-statements that capture numerous corner-cases relevant for OCL implementors. Among many minor issues, we thus pin-pointed the behavior of null in collections as well as in casts and the desired isKindOf-semantics of allInstances().

#### C.2. Lessons Learned

While our paper and pencil arguments, given in [11], turned out to be essentially correct, there had also been a lesson to be learned: If the logic is not defined as a Kleene-Logic, having a structure similar to a complete partial order (CPO), reasoning becomes complicated: several important algebraic laws break down which makes reasoning in OCL inherent messy and a semantically clean compilation of OCL formulae to a two-valued presentation, that is amenable to animators like KodKod [31] or SMT-solvers like Z3 [18] completely impractical. Concretely, if the expression not (null) is defined invalid (as is the case in the present standard [28]), than standard involution does not hold, i.e., not (not (A)) = A does not hold universally. Similarly, if null and null is invalid, then not even idempotence X and X = X holds. We strongly argue in favor of a lattice-like organization, where null represents "more information" than invalid and the logical operators are monotone with respect to this semantical "information ordering."

A similar experience with prior paper and pencil arguments was our investigation of the object-oriented data-models, in particular path-expressions [14]. The final presentation is again essentially correct, but the technical details concerning exception handling lead finally to a continuation-passing style of the (in future generated) definitions for accessors, casts and tests. Apparently, OCL semantics (as many other "real" programming and specification languages) is meanwhile too complex to be treated by informal arguments solely.

Featherweight OCL makes several minor deviations from the standard and showed how the previous constructions can be made correct and consistent, and the DNF-normalization as well as  $\delta$ -closure laws (necessary for a transition into a two-valued presentation of OCL specifications ready for interpretation in SMT solvers (see [12] for details)) are valid in Featherweight OCL.

#### C.3. Conclusion and Future Work

Featherweight OCL concentrates on formalizing the semantics of a core subset of OCL in general and in particular on formalizing the consequences of a four-valued logic (i.e., OCL versions that support, besides the truth values true and false also the two exception values invalid and null).

In the following, we outline the necessary steps for turning Featherweight OCL into a fully fledged tool for OCL, e.g., similar to HOL-OCL as well as for supporting test case generation similar to HOL-TestGen [8]. There are essentially five extensions necessary:

- extension of the library to support all OCL data types, e.g., OrderedSet (T) or Sequence (T). This formalization of the OCL standard library can be used for checking the consistency of the formal semantics (known as "Annex A") with the informal and semi-formal requirements in the normative part of the OCL standard.
- development of a compiler that compiles a textual or CASE tool representation (e.g., using XMI or the textual syntax of the USE tool [30]) of class models. Such compiler could also generate the necessary casts when converting standard OCL to Featherweight OCL as well as providing "normalizations" such as converting multiplicities of class attributes to into OCL class invariants.
- a setup for translating Featherweight OCL into a two-valued representation as described in [12]. As, in real-world scenarios, large parts of UML/OCL specifications are defined (e.g., from the default multiplicity 1 of an attributes x, we can directly infer that for all valid states x is neither invalid nor null), such a translation enables an efficient test case generation approach.
- a setup in Featherweight OCL of the Nitpick animator [3]. It remains to be shown that the standard, Kodkod [31] based animator in Isabelle can give a similar quality of animation as the OCLexec Tool [22]
- a code-generator setup for Featherweight OCL for Isabelle's code generator. For example, the Isabelle code generator supports the generation of F#, which would allow to use OCL specifications for testing arbitrary .net-based applications.

The first two extensions are sufficient to provide a formal proof environment for OCL 2.5 similar to HOL-OCL while the remaining extensions are geared towards increasing the degree of proof automation and usability as well as providing a tool-supported test methodology for UML/OCL.

Our work shows that developing a machine-checked formal semantics of recent OCL standards still reveals significant inconsistencies—even though this type of research is not new. In fact, we started our work already with the 1.x series of OCL. The reasons for this ongoing consistency problems of OCL standard are manifold. For example, the consequences of adding an additional exception value to OCL 2.2 are widespread across the whole language and many of them are also quite subtle. Here, a machine-checked formal semantics is of great value, as one is forced to formalize all details and subtleties. Moreover, the standardization process of the OMG, in which standards (e. g., the UML infrastructure and the OCL standard) that need to be aligned closely are developed quite independently, are prone to ad-hoc changes that attempt to align these standards. And, even worse, updating a standard document by voting on the acceptance (or rejection) of isolated text changes does not help either. Here, a tool for the editor of the standard that helps to check the consistency of the whole standard after each and every modifications can be of great value as well.

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