

Extended Version

Featherweight OCL

A Study for a Consistent Semantics of UML/OCL 2.3 in HOL

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Abstract

At its origins, OCL was conceived as a strict semantics for undefinedness, with the exception of the logical connectives of type **Boolean** that constitute a three-valued propositional logic. Recent versions of the OCL standard added a second exception element, which, similar to the null references in programming languages, is given a non-strict semantics.

In this paper, we report on our results in formalizing the core of OCL in higher-order logic (HOL). This formalization revealed several inconsistencies and contradictions in the current version of the OCL standard. These inconsistencies and contradictions are reflected in the challenge to define and implement OCL tools in a uniform manner.

Further readings: This theory extends the paper “Featherweight OCL: A study for the consistent semantics of OCL 2.3 in HOL” [10] that is published as part of the proceedings of the OCL workshop 2012.

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Part I.

Introduction

1. Motivation

At its origins [14, 17], OCL was conceived as a strict semantics for undefinedness, with the exception of the logical connectives of type **Boolean** that constitute a three-valued propositional logic. Recent versions of the OCL standard [15, 16] added a second exception element, which is given a non-strict semantics. Unfortunately, this extension results in several inconsistencies and contradictions. These problems are reflected in difficulties to define interpreters, code-generators, specification animators or theorem provers for OCL in a uniform manner and resulting incompatibilities of various tools. For the OCL community, this results in the challenge to define a new formal semantics definition OCL that could replace the “Annex A” of the OCL standard [16].

In the paper “Extending OCL with Null-References” [4] we explored—based on mathematical arguments and paper and pencil proofs—a consistent formal semantics that comprises two exception elements: **invalid** (“bottom” in semantics terminology) and **null** (for “non-existing element”).

This short paper is based on a formalization of [4], called “Featherweight OCL,” in Isabelle/HOL [13]. This formalization is in its present form merely a semantical study and a proof of technology than a real tool. It focuses on the formalization of the key semantical constructions, i. e., the type **Boolean** and the logic, the type **Integer** and a standard strict operator library, and the collection type **Set(A)** with quantifiers, iterators and key operators.

2. Background

2.1. Formal Foundation

2.1.1. Isabelle

Isabelle [13] is a *generic* theorem prover. New object logics can be introduced by specifying their syntax and natural deduction inference rules. Among other logics, Isabelle supports first-order logic, Zermelo-Fraenkel set theory and the instance for Church’s higher-order logic HOL, which we choose as basis for HOL-TestGen and which is introduced in the subsequent section.

Isabelle’s inference rules are based on the built-in meta-level implication \Longrightarrow allowing to form constructs like $A_1 \Longrightarrow \dots \Longrightarrow A_n \Longrightarrow A_{n+1}$, which are viewed as a *rule* of the form “from assumptions A_1 to A_n , infer conclusion A_{n+1} ” and which is written in Isabelle as

$$\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A_{n+1} \quad \text{or, in mathematical notation,} \quad \frac{A_1 \quad \dots \quad A_n}{A_{n+1}}. \quad (2.1)$$

The built-in meta-level quantification $\bigwedge x. x$ captures the usual side-constraints “ x must not occur free in the assumptions” for quantifier rules; meta-quantified variables can be considered as “fresh” free variables. Meta-level quantification leads to a generalization of Horn-clauses of the form:

$$\bigwedge x_1, \dots, x_m. \llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A_{n+1}. \quad (2.2)$$

Isabelle supports forward- and backward reasoning on rules. For backward-reasoning, a *proof-state* can be initialized and further transformed into others. For example, a proof of ϕ , using the Isar [?] language, will look as follows in Isabelle:

```

lemma label:   $\phi$ 
  apply(case_tac)
  apply(simp_all)
done
  
```

(2.3)

This proof script instructs Isabelle to prove ϕ by case distinction followed by a simplification of the resulting proof state. Such a proof state is an implicitly conjoint sequence of generalized Horn-clauses (called *subgoals*) ϕ_1, \dots, ϕ_n and a *goal* ϕ . Proof states were

usually denoted by:

$$\begin{array}{lcl}
 \text{label :} & \phi & \\
 & 1. \ \phi_1 & \\
 & \vdots & \\
 & n. \ \phi_n &
 \end{array} \tag{2.4}$$

Subgoals and goals may be extracted from the proof state into theorems of the form $\llbracket \phi_1; \dots; \phi_n \rrbracket \Longrightarrow \phi$ at any time; this mechanism helps to generate test theorems. Further, Isabelle supports meta-variables (written $?x, ?y, \dots$), which can be seen as “holes in a term” that can still be substituted. Meta-variables are instantiated by Isabelle’s built-in higher-order unification.

2.1.2. Higher-order logic

Higher-order logic (HOL) [? ?] is a classical logic based on a simple type system. It provides the usual logical connectives like $_ \wedge _, _ \rightarrow _, \neg _$ as well as the object-logical quantifiers $\forall x. P\ x$ and $\exists x. P\ x$; in contrast to first-order logic, quantifiers may range over arbitrary types, including total functions $f :: \alpha \Rightarrow \beta$. HOL is centered around extensional equality $_ = _ :: \alpha \Rightarrow \alpha \Rightarrow \text{bool}$. HOL is more expressive than first-order logic, since, e.g., induction schemes can be expressed inside the logic. Being based on some polymorphically typed λ -calculus, HOL can be viewed as a combination of a programming language like SML or Haskell and a specification language providing powerful logical quantifiers ranging over elementary and function types.

Isabelle/HOL is a logical embedding of HOL into Isabelle. The (original) simple-type system underlying HOL has been extended by Hindley/Milner style polymorphism with type-classes similar to Haskell. While Isabelle/HOL is usually seen as proof assistant, we use it as symbolic computation environment. Implementations on top of Isabelle/HOL can re-use existing powerful deduction mechanisms such as higher-order resolution, tableaux-based reasoners, rewriting procedures, Presburger Arithmetic, and via various integration mechanisms, also external provers such as Vampire and the SMT-solver Z3.

Isabelle/HOL offers support for a particular methodology to extend given theories in a logically safe way: A theory-extension is *conservative* if the extended theory is consistent provided that the original theory was consistent. Conservative extensions can be *constant definitions*, *type definitions*, *datatype definitions*, *primitive recursive definitions* and *wellfounded recursive definitions*.

For example, typed sets were built in the Isabelle libraries conservatively on top of the kernel of HOL as functions to bool; consequently, the constant definitions for membership

is as follows:¹

$$\begin{array}{llll}
\text{types} & \alpha \text{ set} & = \alpha \Rightarrow \text{bool} & \\
\text{definition} & \text{Collect} & :: (\alpha \Rightarrow \text{bool}) \Rightarrow \alpha \text{ set} & \text{--- set comprehension} \\
\text{where} & \text{Collect } S & \equiv S & (2.5) \\
\text{definition} & \text{member} & :: \alpha \Rightarrow \alpha \Rightarrow \text{bool} & \text{--- membership test} \\
\text{where} & \text{member } s \ S & \equiv S s &
\end{array}$$

Isabelle's powerful syntax engine is instructed to accept the notation $\{x \mid P\}$ for $\text{Collect } \lambda x. P$ and the notation $s \in S$ for $\text{member } s \ S$. As can be inferred from the example, constant definitions are axioms that introduce a fresh constant symbol by some closed, non-recursive expressions; this type of axiom is logically safe since it works like an abbreviation. The syntactic side-conditions of this axiom are mechanically checked, of course. It is straight-forward to express the usual operations on sets like $_ \cup _, _ \cap _ :: \alpha \text{ set} \Rightarrow \alpha \text{ set} \Rightarrow \alpha \text{ set}$ as conservative extensions, too, while the rules of typed set-theory were derived by proofs from these definitions.

Similarly, a logical compiler is invoked for the following statements introducing the types option and list:

$$\begin{array}{ll}
\text{datatype} & \text{option} = \text{None} \mid \text{Some } \alpha \\
\text{datatype} & \alpha \text{ list} = \text{Nil} \mid \text{Cons } a \ l
\end{array} \quad (2.6)$$

Here, $[]$ or $a\#l$ are an alternative syntax for Nil or Cons $a \ l$; moreover, $[a, b, c]$ is defined as alternative syntax for $a\#b\#c\#[]$. These (recursive) statements were internally represented in by internal type- and constant definitions. Besides the *constructors* None, Some, $[]$ and Cons, there is the match-operation $\text{case } x \text{ of } \text{None} \Rightarrow F \mid \text{Some } a \Rightarrow G \ a$ respectively $\text{case } x \text{ of } [] \Rightarrow F \mid \text{Cons } a \ r \Rightarrow G \ a \ r$. From the internal definitions (not shown here) a number and properties were automatically derived. We show only the case for lists:

$$\begin{array}{ll}
(\text{case } [] \text{ of } [] \Rightarrow F \mid (a\#r) \Rightarrow G \ a \ r) = F & \\
(\text{case } b\#t \text{ of } [] \Rightarrow F \mid (a\#r) \Rightarrow G \ a \ r) = G \ b \ t & \\
[] \neq a\#t & \text{--- distinctness} \\
\llbracket a = [] \rightarrow P; \exists x \ t. a = x\#t \rightarrow P \rrbracket \Longrightarrow P & \text{--- exhaust} \\
\llbracket P[]; \forall at. P t \rightarrow P(a\#t) \rrbracket \Longrightarrow P x & \text{--- induct}
\end{array} \quad (2.7)$$

Finally, there is a compiler for primitive and well-founded recursive function definitions. For example, we may define the sort-operation of our running test example by:

$$\begin{array}{llll}
\text{fun} & \text{ins} & :: [\alpha :: \text{linorder}, \alpha \text{ list}] \Rightarrow \alpha \text{ list} & \\
\text{where} & \text{ins } x \ [] & = [x] & (2.8) \\
& \text{ins } x \ (y\#ys) & = \text{if } x < y \text{ then } x\#y\#ys \text{ else } y\#(\text{ins } x \ ys) &
\end{array}$$

$$\begin{array}{llll}
\text{fun} & \text{sort} & :: (\alpha :: \text{linorder}) \text{ list} \Rightarrow \alpha \text{ list} & \\
\text{where} & \text{sort } [] & = [] & (2.9) \\
& \text{sort}(x\#xs) & = \text{ins } x \ (\text{sort } xs) &
\end{array}$$

¹To increase readability, we use a slightly simplified presentation.

The internal (non-recursive) constant definition for these operations is quite involved; however, the logical compiler will finally derive all the equations in the statements above from this definition and make them available for automated simplification.

Thus, Isabelle/HOL also provides a large collection of theories like sets, lists, multisets, orderings, and various arithmetic theories which only contain rules derived from conservative definitions. In particular, Isabelle manages a set of *executable types and operators*, i. e., types and operators for which a compilation to SML, OCaml or Haskell is possible. Setups for arithmetic types such as int have been done; moreover any datatype and any recursive function were included in this executable set (providing that they only consist of executable operators). Similarly, Isabelle manages a large set of (higher-order) rewrite rules into which recursive function definitions were included. Provided that this rule-set represents a terminating and confluent rewrite-system, the Isabelle simplifier provides also a highly potent decision procedure for many fragments of theories underlying the constraints to be processed when constructing test-theorems.

2.1.3. Higher-order Logic and Isabelle

Higher-order Logic (HOL) [10] is a classical logic with equality enriched by total polymorphic higher-order functions. It is more expressive than first-order logic, e. g., induction schemes can be expressed inside the logic. Pragmatically, HOL can be viewed as “Haskell with Quantifiers.”

HOL is based on the typed λ -calculus, i. e., the *terms* of HOL are λ -expressions. Types of terms may be built from *type variables* (like α, β, \dots , optionally annotated by Haskell-like *type classes* as in $\alpha :: \text{order}$ or $\alpha :: \text{bot}$) or *type constructors*. Type constructors may have arguments (as in $\alpha \text{ list}$ or $\alpha \text{ set}$). The type constructor for the function space \Rightarrow is written infix: $\alpha \Rightarrow \beta$; multiple applications like $\tau_1 \Rightarrow (\dots \Rightarrow (\tau_n \Rightarrow \tau_{n+1}) \dots)$ have the alternative syntax $[\tau_1, \dots, \tau_n] \Rightarrow \tau_{n+1}$. HOL is centered around the extensional logical equality $=$ with type $[\alpha, \alpha] \Rightarrow \text{bool}$, where bool is the fundamental logical type. We use infix notation: instead of $(_ = _) E_1 E_2$ we write $E_1 = E_2$. The logical connectives \neg , \vee , \wedge , \Rightarrow of HOL have type $[\text{bool}, \text{bool}] \Rightarrow \text{bool}$, \neg has type $\text{bool} \Rightarrow \text{bool}$. The quantifiers \forall and \exists have type $[\alpha \Rightarrow \text{bool}] \Rightarrow \text{bool}$. The quantifiers may range over types of higher order, i. e., functions or sets. The definition of the element-hood \in , the set comprehension $\{ _ \}$, as well as \cup and \cap are standard.

Isabelle is a theorem prover, a generic interactive theorem proving system; Isabelle/HOL is an instance of the former with HOL. The Isabelle/HOL library contains formal definitions and theorems for a wide range of mathematical concepts used in computer science, including typed set theory, well-founded recursion theory, number theory and theories for data-structures like Cartesian products $\alpha \times \beta$ and disjoint type sums $\alpha + \beta$. The library also includes the type constructor $\tau_\perp := \perp \mid _ : \alpha$ that assigns to each type τ a type τ_\perp *disjointly extended* by the exceptional element \perp . The function $\lceil _ \rceil : \alpha_\perp \Rightarrow \alpha$ is the inverse of $_ \rceil$ (unspecified for \perp). Partial functions $\alpha \multimap \beta$ are defined as functions $\alpha \Rightarrow \beta_\perp$ supporting the usual concepts of domain ($\text{dom } _$) and range ($\text{ran } _$). The library is built entirely by logically safe, conservative definitions and derived rules. This methodology

is also applied to HOL-OCL [6] and Featherweight OCL.

2.1.4. Specification Constructs in Isabelle/HOL

2.2. Featherweight OCL: Design Goals

Featherweight OCL is a formalization of the core of OCL aiming at formally investigation the relationship between the different notions of “undefinedness,” i.e., `invalid` and `null`. As such, it does not attempt to define the complete OCL library. Instead, it concentrates on the core concepts of OCL as well as the types `Boolean`, `Integer`, and typed sets (`Set(T)`). Following the tradition of HOL-OCL [5, 6], Featherweight OCL is based on the following principles:

1. It is an embedding into a powerful semantic meta-language and environment, namely Isabelle/HOL [13].
2. It is a *shallow embedding* in HOL; types in OCL were injectively mapped to types in Featherweight OCL. Ill-typed OCL specifications cannot be represented in Featherweight OCL and a type in Featherweight OCL contains exactly the values that are possible in OCL. Thus, sets may contain `null` (`Set{null}` is a defined set) but not `invalid` (`Set{invalid}` is just `invalid`).
3. Any Featherweight OCL type contains at least `invalid` and `null` (the type `Void` contains only these instances). The logic is consequently four-valued, and there is a `null`-element in the type `Set(A)`.
4. It is a strongly typed language in the Hindley-Milner tradition. We assume that a pre-process eliminates all implicit conversions due to subtyping by introducing explicit casts (e.g., `oclAsType()`). The details of such a pre-processing are described in [2]. Casts are semantic functions, typically injections, that may convert data between the different Featherweight OCL types.
5. All objects are represented in an object universe in the HOL-OCL tradition [7] the universe construction also gives semantics to type casts, dynamic type tests, as well as functions such as `oclAllInstances()`, or `isNewInState()`.
6. Featherweight OCL types may be arbitrarily nested: `Set{Set{1,2}} = Set{Set{2,1}}` is legal and true.
7. For demonstration purposes, the set-type in Featherweight OCL may be infinite, allowing infinite quantification and a constant that contains the set of all `Integers`. Arithmetic laws like commutativity may therefore expressed in OCL itself. The iterator is only defined on finite sets.
8. It supports equational reasoning and congruence reasoning, but this requires a differentiation of the different equalities like strict equality, strong equality, meta-equality (HOL). Strict equality and strong equality require a subcalculus, “cp” (a detailed discussion of the different equalities as well the subcalculus “cp”—for three-valued OCL 2.0—is given in [9]), which is nasty but can be hidden from the user inside tools.

2.3. The Theory Organization

The semantic theory is organized in a quite conventional manner in three layers. The first layer, called the *denotational semantics* comprises a set of definitions of the operators of the language. Presented as *definitional axioms* inside Isabelle/HOL, this part assures the logical consistency of the overall construction. The second layer, called *logical layer*, is derived from the former and centered around the notion of validity of an OCL formula P for a state-transition from pre-state σ to post-state σ' , validity statements were written $(\sigma, \sigma') \models P$. The third layer, called *algebraic layer*, also derived from the former layers, tries to establish a number of algebraic laws of the form $P = P'$; such laws are amenable to equational reasoning and also help for automated reasoning and code-generation.

For space reasons, we will restrict ourselves in this paper to a few operators and make a traversal through all three layers in order to give a high-level description of our formalization. Especially, the details of the semantic construction for sets and the handling of objects and object universes were excluded from a presentation here.

2.3.1. Denotational Semantics

OCL is composed of 1) operators on built-in data structures such as Boolean, Integer or Set(A), 2) operators of the user-defined data-model such as accessors, type-casts and tests, and 3) user-defined, side-effect-free methods. Conceptually, an OCL expression in general and Boolean expressions in particular (i.e., *formulae*) that depends on the pair (σ, σ') of pre-and post-state. The precise form of states is irrelevant for this paper (compare [4]) and will be left abstract in this presentation. We construct in Isabelle a type-class null that contains two distinguishable elements bot and null. Any type of the form $(\alpha_{\perp})_{\perp}$ is an instance of this type-class with $\text{bot} \equiv \perp$ and $\text{null} \equiv \perp_{\perp}$. Now, any OCL type can be represented by an HOL type of the form:

$$V(\alpha) := \text{state} \times \text{state} \Rightarrow \alpha :: \text{null} .$$

On this basis, we define $V((\text{bool}_{\perp})_{\perp})$ as the HOL type for the OCL type **Boolean** by and define:

$$\begin{aligned} I[\text{invalid} :: V(\alpha)]\tau &\equiv \text{bot} & I[\text{null} :: V(\alpha)]\tau &\equiv \text{null} \\ I[\text{true} :: \text{Boolean}]\tau &= \lfloor \text{true} \rfloor & I[\text{false}]\tau &= \lfloor \text{false} \rfloor \end{aligned}$$

$$\begin{aligned} I[X.\text{oclIsUndefined}()]\tau &= \\ &(\text{if } I[X]\tau \in \{\text{bot}, \text{null}\} \text{ then } I[\text{true}]\tau \text{ else } I[\text{false}]\tau) \end{aligned}$$

$$\begin{aligned} I[X.\text{oclIsValid}()]\tau &= \\ &(\text{if } I[X]\tau = \text{bot} \text{ then } I[\text{true}]\tau \text{ else } I[\text{false}]\tau) \end{aligned}$$

where $I[E]$ is the semantic interpretation function commonly used in mathematical textbooks and τ stands for pairs of pre- and post state (σ, σ') . Due to the used style

of semantic representation (a shallow embedding) I is in fact superfluous and defined semantically as the identity; in Isabelle theories, it is usually left out in definitions to pave the way for Isabelle to checks that the underlying equations are axiomatic definitions and therefore logically safe. For reasons of conciseness, we will write δX for `not X.oclIsUndefined()` and $v X$ for `not X.oclIsValid()` throughout this paper. On this basis, one can define the core logical operators `not` and `and` as follows:

$$\begin{aligned}
I[\text{not } X]\tau &= (\text{case } I[X]\tau \text{ of} \\
&\quad \perp \Rightarrow \perp \\
&\quad |[\perp] \Rightarrow [\perp] \\
&\quad |[[x]] \Rightarrow [[\neg x]]) \\
I[X \text{ and } Y]\tau &= (\text{case } I[X]\tau \text{ of} \\
&\quad \perp \Rightarrow (\text{case } I[Y]\tau \text{ of} \\
&\quad \quad \perp \Rightarrow \perp \\
&\quad \quad |[\perp] \Rightarrow \perp \\
&\quad \quad |[[true]] \Rightarrow \perp \\
&\quad \quad |[[false]] \Rightarrow [[false]]) \\
&\quad |[\perp] \Rightarrow (\text{case } I[Y]\tau \text{ of} \\
&\quad \quad \perp \Rightarrow \perp \\
&\quad \quad |[\perp] \Rightarrow [\perp] \\
&\quad \quad |[[true]] \Rightarrow [\perp] \\
&\quad \quad |[[false]] \Rightarrow [[false]]) \\
&\quad |[[true]] \Rightarrow (\text{case } I[Y]\tau \text{ of} \\
&\quad \quad \perp \Rightarrow \perp \\
&\quad \quad |[\perp] \Rightarrow [\perp] \\
&\quad \quad |[[y]] \Rightarrow [[y]]) \\
&\quad |[[false]] \Rightarrow [[false]])
\end{aligned}$$

These non-strict operations were used to define the other logical connectives in the usual classical way: $X \text{ or } Y \equiv (\text{not } X) \text{ and } (\text{not } Y) \text{ or } X$ **implies** $Y \equiv (\text{not } X) \text{ or } Y$.

The default semantics for an OCL library operator is strict semantics; this means that the result of an operation f is invalid if one of its arguments is invalid. For a semantics comprising null, we suggest to stay conform to the standard and define the addition for integers as follows:

$$\begin{aligned}
I[x+y]\tau &= \text{if } I[\delta x]\tau = [[true]] \wedge I[\delta y]\tau = [[true]] \\
&\quad \text{then } [[\lceil I[x]\tau \rceil + \lceil I[y]\tau \rceil]] \\
&\quad \text{else } \perp
\end{aligned}$$

where the operator “+” on the left-hand side of the equation denotes the OCL addition of type $[V((\text{int}_\perp)_\perp), V((\text{int}_\perp)_\perp)] \Rightarrow V((\text{int}_\perp)_\perp)$ while the “+” on the right-hand side of the equation of type $[\text{int}, \text{int}] \Rightarrow \text{int}$ denotes the integer-addition from the HOL library.

2.3.2. Logical Layer

The topmost goal of the logic for OCL is to define the *validity statement*:

$$(\sigma, \sigma') \models P,$$

where σ is the pre-state and σ' the post-state of the underlying system and P is a formula. Informally, a formula P is valid if and only if its evaluation in (σ, σ') (i.e., τ for short) yields true. Formally this means:

$$\tau \models P \equiv (I[P]\tau = \llbracket \text{true} \rrbracket).$$

On this basis, classical, two-valued inference rules can be established for reasoning over the logical connective, the different notions of equality, definedness and validity. Generally speaking, rules over logical validity can relate bits and pieces in various OCL terms and allow—via strong logical equality discussed below—the replacement of semantically equivalent sub-expressions. The core inference rules are:

$$\begin{aligned} \tau \models \text{true} \quad & \neg(\tau \models \text{false}) \quad \neg(\tau \models \text{invalid}) \quad \neg(\tau \models \text{null}) \\ \tau \models \text{not } P & \implies \tau \neg \models P \\ \tau \models P \text{ and } Q & \implies \tau \models P \quad \tau \models P \text{ and } Q \implies \tau \models Q \\ \tau \models P & \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_1\tau \\ \tau \models \text{not } P & \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_2\tau \\ \tau \models P & \implies \tau \models \delta P \quad \tau \models (\delta X) \implies \tau \models vX \end{aligned}$$

By the latter two properties it can be inferred that any valid property P (so for example: a valid invariant) is actually defined, which allows to infer for terms composed by strict operations that their arguments and finally the variables occurring in it are valid or defined.

We propose to distinguish the *strong logical equality* (written \triangleq), which follows the general principle that “equals can be replaced by equals,” from the *strict referential equality* (written \doteq), which is an object-oriented concept that attempts to approximate and to implement the former. Strict referential equality, which is the default in the OCL language and is written simply $=$ in the standard, is an overloaded concept and has to be defined for each OCL type individually; for objects resulting from class definitions, it is implemented by simply comparing the references to the objects. In contrast, strong logical equality is a polymorphic concept which is defined once and for all by:

$$I[X \triangleq Y]\tau \equiv \llbracket I[X]\tau = I[Y]\tau \rrbracket$$

It enjoys nearly the laws of a congruence:

$$\begin{aligned} \tau \models (x \triangleq x) \\ \tau \models (x \triangleq y) & \implies \tau \models (y \triangleq x) \\ \tau \models (x \triangleq y) & \implies \tau \models (y \triangleq z) \implies \tau \models (x \triangleq z) \\ \text{cp } P & \implies \tau \models (x \triangleq y) \implies \tau \models (P x) \implies \tau \models (P y) \end{aligned}$$

where the predicate `cp` stands for *context-passing*, a property that is characterized by $P(X)$ equals $\lambda\tau. P(\lambda_. X\tau)\tau$. It means that the state tuple $\tau = (\sigma, \sigma')$ is passed unchanged from surrounding expressions to sub-expressions. It is true for all pure OCL expressions (but not arbitrary mixtures of OCL and HOL) in Featherweight OCL. The necessary side-calculus for establishing `cp` can be fully automated.

The logical layer of the Featherweight OCL rules gives also a means to convert an OCL formula living in its for-valued world into a representation that is classically two-valued and can be processed by standard SMT solvers such as `cvc3!` [?] or Z3 [11]. Delta-closure rules for all logical connectives have the following format, e. g.:

$$\begin{aligned} \tau \models \delta x &\implies (\tau \models \text{not } x) = (\neg(\tau \models x)) \\ \tau \models \delta x &\implies \tau \models \delta y \implies (\tau \models x \text{ and } y) = (\tau \models x \wedge \tau \models y) \\ \tau \models \delta x &\implies \tau \models \delta y \\ &\implies (\tau \models (x \text{ implies } y)) = ((\tau \models x) \longrightarrow (\tau \models y)) \end{aligned}$$

Together with the general case-distinction

$$\tau \models \delta x \vee \tau \models x \triangleq \text{invalid} \vee \tau \models x \triangleq \text{null},$$

which is possible for any OCL type, a case distinction on the variables in a formula can be performed; due to strictness rules, formulae containing somewhere a variable x that is known to be `invalid` or `null` reduce usually quickly to contradictions. For example, we can infer from an invariant $\tau \models x \doteq y - 3$ that we have actually $\tau \models x \doteq y - 3 \wedge \tau \models \delta x \wedge \tau \models \delta y$. We call the latter formula the δ -closure of the former. Now, we can convert a formula like $\tau \models x > 0 \text{ or } 3 * y > x * x$ into the equivalent formula $\tau \models x > 0 \vee \tau \models 3 * y > x * x$ and thus internalize the OCL-logic into a classical (and more tool-conform) logic. This works—for the price of a potential, but due to the usually “rich” δ -closures of invariants rare—exponential blow-up of the formula for all OCL formulas.

2.3.3. Algebraic Layer

Based on the logical layer, we build a system with simpler rules which are amenable to automated reasoning. We restrict ourselves to pure equations on OCL expressions, where the used equality is the meta-(HOL-)equality.

Our denotational definitions on `not` and `and` can be re-formulated in the following ground

equations:

$$\begin{aligned}
v \text{ invalid} &= \text{false} & v \text{ null} &= \text{true} \\
v \text{ true} &= \text{true} & v \text{ false} &= \text{true} \\
\delta \text{ invalid} &= \text{false} & \delta \text{ null} &= \text{false} \\
\delta \text{ true} &= \text{true} & \delta \text{ false} &= \text{true} \\
\text{not invalid} &= \text{invalid} & \text{not null} &= \text{null} \\
\text{not true} &= \text{false} & \text{not false} &= \text{true} \\
(\text{null and true}) &= \text{null} & (\text{null and false}) &= \text{false} \\
(\text{null and null}) &= \text{null} & (\text{null and invalid}) &= \text{invalid} \\
(\text{false and true}) &= \text{false} & (\text{false and false}) &= \text{false} \\
(\text{false and null}) &= \text{false} & (\text{false and invalid}) &= \text{false} \\
(\text{true and true}) &= \text{true} & (\text{true and false}) &= \text{false} \\
(\text{true and null}) &= \text{null} & (\text{true and invalid}) &= \text{invalid} \\
(\text{invalid and true}) &= \text{invalid} \\
(\text{invalid and false}) &= \text{false} \\
(\text{invalid and null}) &= \text{invalid} \\
(\text{invalid and invalid}) &= \text{invalid}
\end{aligned}$$

On this core, the structure of a conventional lattice arises:

$$\begin{aligned}
X \text{ and } X &= X & X \text{ and } Y &= Y \text{ and } X \\
\text{false and } X &= \text{false} & X \text{ and false} &= \text{false} \\
\text{true and } X &= X & X \text{ and true} &= X \\
X \text{ and } (Y \text{ and } Z) &= X \text{ and } Y \text{ and } Z
\end{aligned}$$

as well as the dual equalities for `or` and the De Morgan rules. This wealth of algebraic properties makes the understanding of the logic easier as well as automated analysis possible: it allows for, for example, computing a DNF of invariant systems (by clever term-rewriting techniques) which are a prerequisite for δ -closures.

The above equations explain the behavior for the most-important non-strict operations. The clarification of the exceptional behaviors is of key-importance for a semantic definition the standard and the major deviation point from HOL-OCL [5, 6], to Featherweight OCL as presented here. The standard expresses at many places that most operations are strict, i. e., enjoy the properties (exemplary for `_ + _`):

$$\begin{aligned}
\text{invalid} + x &= \text{invalid} & x + \text{invalid} &= \text{invalid} \\
x + \text{null} &= \text{invalid} & \text{null} + x &= \text{invalid} \\
\text{null.asType}(X) &= \text{invalid}
\end{aligned}$$

besides “classical” exceptional behavior:

$$\begin{aligned} 1 / 0 &= \text{invalid} & 1 / \text{null} &= \text{invalid} \\ \text{null} \rightarrow \text{isEmpty}() &= \text{true} \end{aligned}$$

Moreover, there is also the proposal to use `null` as a kind of “don’t know” value for all strict operations, not only in the semantics of the logical connectives. Expressed in algebraic equations, this semantic alternative (this is *not* Featherweight OCL at present) would boil down to:

$$\begin{aligned} \text{invalid} + x &= \text{invalid} & x + \text{invalid} &= \text{invalid} \\ x + \text{null} &= \text{null} & \text{null} + x &= \text{null} \\ 1/0 &= \text{invalid} & 1/\text{null} &= \text{null} \\ \text{null} \rightarrow \text{isEmpty}() &= \text{null} & \text{null.asType}(X) &= \text{null} \end{aligned}$$

While this is logically perfectly possible, while it can be argued that this semantics is “intuitive,” and although we do not expect a too heavy cost in deduction when computing δ -closures, we object that there are other, also “intuitive” interpretations that are even more wide-spread: In classical spreadsheet programs, for example, the semantics tend to interpret `null` (representing empty cells in a sheet) as the neutral element of the type, so 0 or the empty string, for example.² This semantic alternative (this is *not* Featherweight OCL at present) would yield:

$$\begin{aligned} \text{invalid} + x &= \text{invalid} & x + \text{invalid} &= \text{invalid} \\ x + \text{null} &= x & \text{null} + x &= x \\ 1/0 &= \text{invalid} & 1/\text{null} &= \text{invalid} \\ \text{null} \rightarrow \text{isEmpty}() &= \text{true} & \text{null.asType}(X) &= \text{invalid} \end{aligned}$$

Algebraic rules are also the key for execution and compilation of Featherweight OCL

²In spreadsheet programs the interpretation of `null` varies from operation to operation; e. g., the **average** function treats `null` as non-existing value and not as 0.

expressions. We derived, e.g.:

$$\begin{aligned} \delta \text{Set}\{\} &= \text{true} \\ \delta (X \rightarrow \text{including}(x)) &= \delta X \text{ and } \delta x \\ \text{Set}\{\} \rightarrow \text{includes}(x) &= (\text{if } v \ x \text{ then false} \\ &\quad \text{else invalid endif}) \\ (X \rightarrow \text{including}(x) \rightarrow \text{includes}(y)) &= \\ &(\text{if } \delta X \\ &\quad \text{then if } x \doteq y \\ &\quad \quad \text{then true} \\ &\quad \quad \text{else } X \rightarrow \text{includes}(y) \\ &\quad \quad \text{endif} \\ &\quad \text{else invalid} \\ &\quad \text{endif}) \end{aligned}$$

As $\text{Set}\{1,2\}$ is only syntactic sugar for

$\text{Set}\{\} \rightarrow \text{including}(1) \rightarrow \text{including}(2)$
--

an expression like $\text{Set}\{1,2\} \rightarrow \text{includes}(\text{null})$ becomes automatically decidable in Featherweight OCL by a combination of rewriting and code-generation and execution. The generated documentation from the theory files can thus be enriched by numerous “test-statements” like:

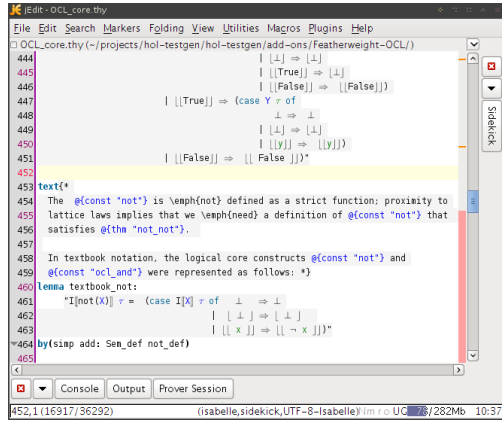
value $\tau \models (\text{Set}\{\text{Set}\{2, \text{null}\}\} \doteq \text{Set}\{\text{Set}\{\text{null}, 2\}\})$

which have been machine-checked and which present a high-level and in our opinion fairly readable information for OCL tool manufactures and users.

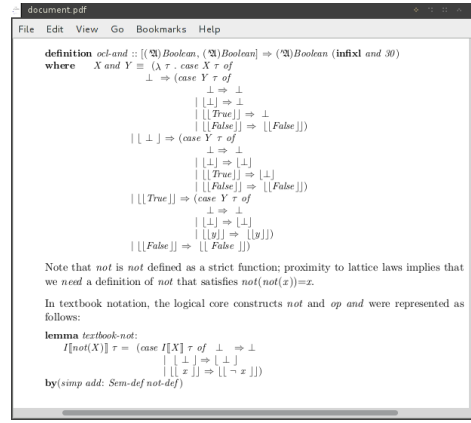
2.4. A Machine-checked Annex A

Isabelle, as a framework for building formal tools [?], provides the means for generating *formal documents*. With formal documents we refer to documents that are machine-generated and ensure certain formal guarantees. In particular, all formal content (e.g., definitions, formulae, types) are checked for consistency during the document generation. For writing documents, Isabelle supports the embedding of informal texts using a \LaTeX -based markup language within the theory files. To ensure the consistency, Isabelle supports to use, within these informal texts, *antiquotations* that refer to the formal parts and that are checked while generating the actual document as **pdf**!. For example, in an informal text, the antiquotation $\text{@\{thm "not_not"\}}$ will instruct Isabelle to lock-up the (formally proven) theorem of name `ocl_not_not` and to replace the antiquotation with the actual theorem, i.e., **not (not x) = x**.

Figure 2.1 illustrates this approach: 2.1a shows the jEdit-based development environment of Isabelle with an excerpt of one of the core theories of Featherweight OCL. 2.1b



(a) The Isabelle jEdit environment.



(b) The generated formal document.

Figure 2.1.: Generating documents with guaranteed syntactical and semantical consistency.

shows the generated **pdf!** document where all antiquotations are replaced. Moreover, the document generation tools allows for defining syntactic sugar as well as skipping technical details of the formalization.

Thus, applying the Featherweight OCL approach to writing an updated Annex A that provides a formal semantics of the most fundamental concepts of OCL would ensure 1. that all formal context is syntactically correct and well-typed, and 2. all formal definitions and the derived logical rules are semantically consistent.

Part II.

A Formal Semantics of OCL 2.3 in Isabelle/HOL

2.5. Formal and Technical Background

2.5.1. Validity and Evaluations

The topmost goal of the formal semantics is to define the *validity statement*:

$$(\sigma, \sigma') \models P,$$

where σ is the pre-state and σ' the post-state of the underlying system and P is a Boolean expression (a *formula*). The assertion language of P is composed of 1) operators on built-in data structures such as Boolean or set, 2) operators of the user-defined data-model such as accessors, type-casts and tests, and 3) user-defined, side-effect-free methods. Informally, a formula P is valid if and only if its evaluation in the context (σ, σ') yields true. As all types in HOL-OCL are extended by the special element \perp denoting undefinedness, we define formally:

$$(\sigma, \sigma') \models P \equiv (P(\sigma, \sigma') = \text{true}).$$

Since all operators of the assertion language depend on the context (σ, σ') and result in values that can be \perp , all expressions can be viewed as *evaluations* from (σ, σ') to a type τ_\perp . All types of expressions are of a form captured by

$$V(\alpha) := \text{state} \times \text{state} \Rightarrow \alpha_\perp,$$

where state stands for the system state and $\text{state} \times \text{state}$ describes the pair of pre-state and post-state and $_ := _$ denotes the type abbreviation.

The OCL semantics [?, Annex A] uses different interpretation functions for invariants and pre-conditions; we achieve their semantic effect by a syntactic transformation $_ \text{pre}$ which replaces all accessor functions $_.a$ by their counterparts $_.a \text{ @pre}$. For example, $(self.a > 5)_{\text{pre}}$ is just $(self.a \text{ @pre} > 5)$.

2.5.2. Strict Operations

An operation is called strict if it returns \perp if one of its arguments is \perp . Most OCL operations are strict, e.g., the Boolean negation is formally presented as:

$$I[\text{not } X] \tau \equiv \begin{cases} \neg I[X] \tau & \text{if } I[X] \tau \neq \perp, \\ \perp & \text{otherwise.} \end{cases}$$

where $\tau = (\sigma, \sigma')$ and $I[_]$ is a notation marking the HOL-OCL constructs to be defined. This notation is motivated by the definitions in the OCL standard [?]. In our case, $I[_]$ is just the identity, i.e., $I[X] \equiv X$. These constructs, i.e., $\text{not } _$ are HOL functions (in this case of HOL type $V(\text{bool}) \Rightarrow V(\text{bool})$) that can be viewed as *transformers on evaluations*.

The binary case of the integer addition is analogous:

$$I[X + Y] \tau \equiv \begin{cases} I[X] \tau + I[Y] \tau & \text{if } I[X] \tau \neq \perp \text{ and } I[Y] \tau \neq \perp, \\ \perp & \text{otherwise.} \end{cases}$$

Here, the operator $- + -$ on the right refers to the integer HOL operation with type $[\text{int}, \text{int}] \Rightarrow \text{int}$. The type of the corresponding strict HOL-OCL operator $- \text{+} -$ is $[V(\text{int}), V(\text{int})] \Rightarrow V(\text{int})$. A slight variation of this definition scheme is used for the operators on collection types such as HOL-OCL sets or sequences:

$$I\llbracket X \text{+union}(Y) \rrbracket \tau \equiv \begin{cases} S\llbracket I\llbracket X \rrbracket \tau \cup I\llbracket Y \rrbracket \tau \rrbracket & \text{if } I\llbracket X \rrbracket \tau \neq \perp \text{ and } I\llbracket Y \rrbracket \tau \neq \perp, \\ \perp & \text{otherwise.} \end{cases}$$

Here, S (“smash”) is a function that maps a lifted set $\llbracket X \rrbracket$ to \perp if and only if $\perp \in X$ and to the identity otherwise. Smashedness of collection types is the natural extension of the strictness principle for data structures.

Intuitively, the type expression $V(\tau)$ is a representation of the type that corresponds to the HOL-OCL type τ . We introduce the following type abbreviations:

$$\begin{aligned} \text{Boolean} &:= V(\text{bool}), & \alpha \text{ Set} &:= V(\alpha \text{ set}), \\ \text{Integer} &:= V(\text{int}), \text{ and} & \alpha \text{ Sequence} &:= V(\alpha \text{ list}). \end{aligned}$$

The mapping of an expression E of HOL-OCL type T to a HOL expression E of HOL type T is injective and preserves well-typedness.

2.5.3. Boolean Operators

There is a small number of explicitly stated exceptions from the general rule that HOL-OCL operators are strict: the strong equality, the definedness operator and the logical connectives. As a prerequisite, we define the logical constants for truth, absurdity and undefinedness. We write these definitions as follows:

$$I\llbracket \text{true} \rrbracket \tau \equiv \llbracket \text{true} \rrbracket, \quad I\llbracket \text{false} \rrbracket \tau \equiv \llbracket \text{false} \rrbracket, \text{ and} \quad I\llbracket \text{invalid} \rrbracket \tau \equiv \perp.$$

HOL-OCL has a *strict equality* $- \doteq -$. On the primitive types, it is defined similarly to the integer addition; the case for objects is discussed later. For logical purposes, we introduce also a *strong equality* $- \triangleq -$ which is defined as follows:

$$I\llbracket X \triangleq Y \rrbracket \tau \equiv (I\llbracket X \rrbracket \tau = I\llbracket Y \rrbracket \tau),$$

where the $- = -$ operator on the right denotes the logical equality of HOL. The undefinedness test is defined by $X.\text{oclIsInvalid}() \equiv (X \triangleq \text{invalid})$. The strong equality can be used to state reduction rules like: $\tau \models (\text{invalid} \doteq X) \triangleq \text{invalid}$. The OCL standard requires a Strong Kleene Logic. In particular:

$$I\llbracket X \text{ and } Y \rrbracket \tau \equiv \begin{cases} \llbracket x \rrbracket \wedge \llbracket y \rrbracket & \text{if } x \neq \perp \text{ and } y \neq \perp, \\ \llbracket \text{false} \rrbracket & \text{if } x = \llbracket \text{false} \rrbracket \text{ or } y = \llbracket \text{false} \rrbracket, \\ \perp & \text{otherwise.} \end{cases}$$

where $x = I\llbracket X \rrbracket \tau$ and $y = I\llbracket Y \rrbracket \tau$. The other Boolean connectives were just shortcuts: $X \text{ or } Y \equiv \text{not}(\text{not } X \text{ and not } Y)$ and $X \text{ implies } Y \equiv \text{not } X \text{ or } Y$.

2.5.4. Object-oriented Data Structures

Now we turn to several families of operations that the user implicitly defines when stating a class model as logical context of a specification. This is the part of the language where object-oriented features such as type casts, accessor functions, and tests for dynamic types come into play. Syntactically, a class model provides a collection of classes C , an inheritance relation $_ < _$ on classes and a collection of attributes A associated to classes. Semantically, a class model means a collection of accessor functions (denoted $_.a :: A \rightarrow B$ and $_.a \text{ @pre} :: A \rightarrow B$ for $a \in A$ and $A, B \in C$), type casts that can change the static type of an object of a class (denoted $_ \llbracket C \rrbracket$ of type $A \rightarrow C$) and dynamic type tests (denoted $\text{isType}_C _$). A precise formal definition can be found in [9].

Class models: A simplified semantics.

In this section, we will have to clarify the notions of *object identifiers*, *object representations*, *class types* and *state*. We will give a formal model for this, that will satisfy all properties discussed in the subsequent section except one (see [7] for the complete model).

First, object identifiers are captured by an abstract type *oid* comprising countably many elements and a special element `nullid`. Second, object representations model “a piece of typed memory,” i.e., a kind of record comprising administration information and the information for all attributes of an object; here, the primitive types as well as collections over them are stored directly in the object representations, class types and collections over them are represented by *oid*’s (respectively lifted collections over them). Third, the class type C will be the type of such an object representation: $C := (\text{oid} \times C_t \times A_1 \times \dots \times A_k)$ where a unique tag-type C_t (ensuring type-safety) is created for each class type, where the types A_1, \dots, A_k are the attribute types (including inherited attributes) with class types substituted by *oid*. The function `OidOf` projects the first component, the *oid*, out of an object representation. Fourth, for a class model M with the classes C_1, \dots, C_n , we define states as partial functions from *oid*’s to object representations satisfying a *state invariant* inv_σ :

$$\text{state} := \{f :: \text{oid} \rightarrow (C_1 + \dots + C_n) \mid \text{inv}_\sigma(f)\}$$

where $\text{inv}_\sigma(f)$ states two conditions: 1) there is no object representation for `nullid`: `nullid` $\notin (\text{dom } f)$. 2) there is a “one-to-one” correspondence between object representations and *oid*’s: $\forall \text{oid} \in \text{dom } f. \text{oid} = \text{OidOf } \lceil f(\text{oid}) \rceil$. The latter condition is also mentioned in [? , Annex A] and goes back to Mark Richters [17].

2.5.5. The Accessors

On states built over object universes, we can now define accessors, casts, and type tests of an object model. We consider the case of an attribute a of class C which has the

simple class type D (not a primitive type, not a collection):

$$I[\![self.a]\!](\sigma, \sigma') \equiv \begin{cases} \perp & \text{if } O = \perp \vee \text{OidOf } \ulcorner O \urcorner \notin \text{dom } \sigma' \\ \text{get}_D u & \text{if } \sigma'(\text{get}_C \ulcorner \sigma'(\text{OidOf } \ulcorner O \urcorner) \urcorner . a^{(0)}) = \ulcorner u \urcorner, \\ \perp & \text{otherwise.} \end{cases}$$

$$I[\![self.a@pre]\!](\sigma, \sigma') \equiv \begin{cases} \perp & \text{if } O = \perp \vee \text{OidOf } \ulcorner O \urcorner \notin \text{dom } \sigma \\ \text{get}_D u & \text{if } \sigma(\text{get}_C \ulcorner \sigma(\text{OidOf } \ulcorner O \urcorner) \urcorner . a) = \ulcorner u \urcorner, \\ \perp & \text{otherwise.} \end{cases}$$

where $O = I[\![self]\!](\sigma, \sigma')$. Here, get_D is the projection function from the object universe to D_\perp , and $x.a$ is the projection of the attribute from the class type (the Cartesian product). For simple class types, we have to evaluate expression *self*, get an object representation (or undefined), project the attribute, de-reference it in the pre or post state and project the class object from the object universe (get_D may yield \perp if the element in the universe does not correspond to a D object representation.) In the case for a primitive type attribute, the de-referentiation step is left out, and in the case of a collection over class types, the elements of the collection have to be point-wise de-referenced and smashed.

In our model accessors always yield (type-safe) object representations; not oid's. Thus, a dangling reference, i.e., one that is *not* in $\text{dom } \sigma$, results in *invalid* (this is a subtle difference to [? , Annex A] where the undefinedness is detected one de-referentiation step later). The strict equality $_ \doteq _$ must be defined via *OidOf* when applied to objects. It satisfies $(\text{invalid} \doteq X) \triangleq \text{invalid}$.

The definitions of casts and type tests can be found in [7], together with other details of the construction above and its automation in HOL-OCL.

2.6. A Proposal for an OCL 2.1 Semantics

In this section, we describe our OCL 2.1 semantics proposal as an increment to the OCL 2.0 semantics (underlying HOL-OCL and essentially formalizing [? , Annex A]). In later versions of the standard [15] the formal semantics appendix reappears although being incompatible with the normative parts of the standard. Not all rules shown here are formally proven; technically, these are informal proofs “with a glance” on the formal proofs shown in the previous section.

2.6.1. Revised Operations on Primitive Types

In UML, and since [15] in OCL, all primitive types comprise the *null*-element, modeling the possibility to be non-existent. From a functional language perspective, this corresponds to the view that each basic value is a type like *int option* as in SML. Technically,

this results in lifting any primitive type twice:

$$\text{Integer} := V(\text{int}_{\perp}), \text{ etc.}$$

and basic operations have to take the null elements into account. The distinguishable undefined and null-elements were defined as follows:

$$I[\![\text{invalid}]\!] \tau \equiv \perp \text{ and } I[\![\text{null}_{\text{Integer}}]\!] \tau \equiv \perp_{\perp}.$$

An interpretation (consistent with [15]) is that $\text{null}_{\text{Integer}} + 3 = \text{invalid}$, and due to commutativity, we postulate $3 + \text{null}_{\text{Integer}} = \text{invalid}$, too. The necessary modification of the semantic interpretation looks as follows:

$$I[\![X + Y]\!] \tau \equiv \begin{cases} \perp_{\perp} \lceil x \rceil + \lceil y \rceil_{\perp} & \text{if } x \neq \perp, y \neq \perp, \lceil x \rceil \neq \perp \text{ and } \lceil y \rceil \neq \perp \\ \perp & \text{otherwise.} \end{cases}$$

where $x = I[\![X]\!] \tau$ and $y = I[\![Y]\!] \tau$. The resulting principle here is that operations on the primitive types Boolean, Integer, Real, and String treat null as invalid (except $_ \doteq _$, $_.\text{oclIsInvalid}()$, $_.\text{oclIsUndefined}()$, casts between the different representations of null, and type-tests).

This principle is motivated by our intuition that invalid represents known errors, and null-arguments of operations for Boolean, Integer, Real, and String belong to this class. Thus, we must also modify the logical operators such that $\text{null}_{\text{Boolean}} \text{ and false} \triangleq \text{false}$ and $\text{null}_{\text{Boolean}} \text{ and true} \triangleq \perp$.

With respect to definedness reasoning, there is a price to pay. For most basic operations we have the rule:

$$\text{not } (X + Y).\text{oclIsInvalid}() \triangleq (\text{not } X.\text{oclIsUndefined}()) \text{ and } (\text{not } Y.\text{oclIsUndefined}())$$

where the test $x.\text{oclIsUndefined}()$ covers two cases: $x.\text{oclIsInvalid}()$ and $x \doteq \text{null}$ (i.e., x is invalid or null). As a consequence, for the inverse case $(X+Y).\text{oclIsInvalid}()$ ³ there are four possible cases for the failure instead of two in the semantics described in [?]: each expression can be an erroneous null, or report an error. However, since all built-in OCL operations yield non-null elements (e.g., we have the rule $\text{not } (X + Y \doteq \text{null}_{\text{Integer}})$), a pre-computation can drastically reduce the number of cases occurring in expressions except for the base case of variables (e.g., parameters of operations and *self* in invariants). For these cases, it is desirable that implicit pre-conditions were generated as default, ruling out the null case. A convenient place for this are the multiplicities, which can be set to 1 (i.e., 1..1) and will be interpreted as being non-null (see discussion in section 2.7 for more details).

Besides, the case for collection types is analogous: in addition to the invalid collection, there is a $\text{null}_{\text{Set}(T)}$ collection as well as collections that contain null values (such as $\text{Set}\{\text{null}_T\}$) but never invalid.

³The same holds for $(X + Y).\text{oclIsUndefined}()$.

2.6.2. Null in Class Types

It is a viable option to rule out undefinedness in object-graphs *as such*. The essential source for such undefinedness are oid’s which do not occur in the state, i. e., which represent “dangling references.” Ruling out undefinedness as result of object accessors would correspond to a world where an accessor is always set explicitly to `null` or to a defined object; in a programming language without explicit deletion and where constructors always initialize their arguments (e. g., Spec# [?]), this may suffice. Semantically, this can be modeled by strengthening the state invariant inv_σ by adding clauses that state that in each object representation all oid’s are either `nullid` or element of the domain of the state.

We deliberately decided against this option for the following reasons:

1. *methodologically* we do not like to constrain the semantics of OCL without clear reason; in particular, “dangling references” exist in C and C++ programs and it might be necessary to write contracts for them, and
2. *semantically*, the condition “no dangling references” can only be formulated with the complete knowledge of all classes and their layout in form of object representations. This restricts the OCL semantics to a closed world model.⁴

We can model `null`-elements as object-representations with `nullid` as their oid:

1 (Representation of null-Elements) *Let C_i be a class type with the attributes A_1, \dots, A_n . Then we define its null object representation by:*

$$I[\llbracket \text{null}_{C_i} \rrbracket \tau] \equiv \llbracket (\text{nullid}, \text{arb}_t, a_1, \dots, a_n) \rrbracket$$

where the a_i are \perp for primitive types and collection types, and `nullid` for simple class types. arb_t is an arbitrary underspecified constant of the tag-type.

Due to the outermost lifting, the null object representation is a defined value, and due to its special reference `nullid` and the state invariant, it is a typed value not “living” in the state. The `nullT`-elements are not equal, but isomorphic: Each type, has its own unique `nullT`-element; they can be mapped, i. e., casted, isomorphic to each other. In HOL-OCL, we can overload constants by parametrized polymorphism which allows us to drop the index in this environment.

The referential strict equality allows us to write *self* \doteq `null` in OCL. Recall that $_ \doteq _$ is based on the projection `OidOf` from object-representations.

⁴In our presentation, the definition of `state` in ?? assumes a closed world. This limitation can be easily overcome by leaving “polymorphic holes” in our object representation universe, i. e., by extending the type sum in the state definition to $C_1 + \dots + C_n + \alpha$. The details of the management of universe extensions are involved, but implemented in HOL-OCL (see [7] for details). However, these constructions exclude knowing the set of sub-oid’s in advance.

2.6.3. Revised Accessors

The modification of the accessor functions is now straight-forward:

$$I\llbracket obj.a \rrbracket(\sigma, \sigma') \equiv \begin{cases} \perp & \text{if } I\llbracket obj \rrbracket(\sigma, \sigma') = \perp \vee \text{OidOf}\ulcorner I\llbracket obj \rrbracket(\sigma, \sigma') \urcorner \notin \text{dom } \sigma' \\ \text{null}_D & \text{if } \text{get}_C\ulcorner \sigma'(\text{OidOf}\ulcorner I\llbracket obj \rrbracket(\sigma, \sigma') \urcorner) \urcorner.a^{(0)} = \text{nullid} \\ \text{get}_D u & \text{if } \sigma'(\text{get}_C\ulcorner \sigma'(\text{OidOf}\ulcorner I\llbracket obj \rrbracket(\sigma, \sigma') \urcorner) \urcorner.a^{(0)}) = \ulcorner u \urcorner, \\ \perp & \text{otherwise.} \end{cases}$$

The definitions for type-cast and dynamic type test—which are not explicitly shown in this paper, see [7] for details—can be generalized accordingly. In the sequel, we will discuss the resulting properties of these modified accessors.

All functions of the induced signature are strict. This means that this holds for accessors, casts and tests, too:

$$\begin{aligned} \text{invalid}.a &\triangleq \text{invalid} & \text{invalid}_{[C]} &\triangleq \text{invalid} \\ & & \text{isType}_C \text{ invalid} &\triangleq \text{invalid} \end{aligned}$$

Casts on `null` are always valid, since they have an individual dynamic type and can be casted to any other null-element due to their isomorphism.

$$\begin{aligned} \text{null}_A.a &\triangleq \text{invalid} & \text{null}_{A[B]} &\triangleq \text{null}_B \\ & & \text{isType}_A \text{ null}_A &\triangleq \text{true} \end{aligned}$$

for all attributes a and classes A, B, C where $C < B < A$. These rules are further exceptions from the standard's general rule that `null` may never be passed as first (“*self*”) argument.

2.6.4. Other Operations on States

Defining `_.allInstances()` is straight-forward; the only difference is the property $T.\text{allInstances}() \rightarrow \text{excludes}(\text{null})$ which is a consequence of the fact that `null`'s are values and do not “live” in the state. In our semantics which admits states with “dangling references,” it is possible to define a counterpart to `_.oclIsNew()` called `_.oclIsDeleted()` which asks if an object id (represented by an object representation) is contained in the pre-state, but not the post-state.

OCL does not guarantee that an operation only modifies the path-expressions mentioned in the postcondition, i.e., it allows arbitrary relations from pre-states to post-states. This framing problem is well-known (one of the suggested solutions is [?]). We define

$$(S : \text{Set}(\text{OclAny})) \rightarrow \text{modifiedOnly}() : \text{Boolean}$$

where S is a set of object representations, encoding a set of oid's. The semantics of this operator is defined such that for any object whose oid is *not* represented in S and that is defined in pre and post state, the corresponding object representation will not change in the state transition:

$$I[X \rightarrow \text{modifiedOnly}] (\sigma, \sigma') \equiv \begin{cases} \perp & \text{if } X' = \perp \\ \bigwedge_{i \in M} \sigma i = \sigma' i & \text{otherwise.} \end{cases}$$

where $X' = I[X](\sigma, \sigma')$ and $M = (\text{dom } \sigma \cap \text{dom } \sigma') - \{\text{OidOf } x \mid x \in \lceil X \rceil\}$. Thus, if we require in a postcondition `Set{} → modifiedOnly()` and exclude via `_.oclIsNew()` and `_.oclIsDeleted()` the existence of new or deleted objects, the operation is a query in the sense of the OCL standard, i.e., the `isQuery` property is true. So, whenever we have $\tau \models X \rightarrow \text{modifiedOnly}()$ and $\tau \models X \rightarrow \text{excludes}(s.a)$, we can infer that $\tau \models s.a = s.a \text{ @pre}$ (if they are valid).

2.7. Attribute Values

Depending on the specified multiplicity, the evaluation of an attribute can yield a value or a collection of values. A multiplicity defines a lower bound as well as a possibly infinite upper bound on the cardinality of the attribute's values.

2.7.1. Single-Valued Attributes

If the upper bound specified by the attribute's multiplicity is one, then an evaluation of the attribute yields a single value. Thus, the evaluation result is not a collection. If the lower bound specified by the multiplicity is zero, the evaluation is not required to yield a non-null value. In this case an evaluation of the attribute can return `null` to indicate an absence of value.

To facilitate accessing attributes with multiplicity `0..1`, the OCL standard states that single values can be used as sets by calling collection operations on them. This implicit conversion of a value to a `Set` is not defined by the standard. We argue that the resulting set cannot be constructed the same way as when evaluating a `Set` literal. Otherwise, `null` would be mapped to the singleton set containing `null`, but the standard demands that the resulting set is empty in this case. The conversion should instead be defined as follows:

```
context OclAny::asSet():T
  post: if self = null then result = Set{}
        else result = Set{self} endif
```

2.7.2. Collection-Valued Attributes

If the upper bound specified by the attribute's multiplicity is larger than one, then an evaluation of the attribute yields a collection of values. This raises the question whether `null` can belong to this collection. The OCL standard states that `null` can be owned by collections. However, if an attribute can evaluate to a collection containing `null`, it is not clear how multiplicity constraints should be interpreted for this attribute. The question arises whether the `null` element should be counted or not when determining the cardinality of the collection. Recall that `null` denotes the absence of value in the case of a cardinality upper bound of one, so we would assume that `null` is not counted. On the other hand, the operation `size` defined for collections in OCL does count `null`.

We propose to resolve this dilemma by regarding multiplicities as optional. This point of view complies with the UML standard, that does not require lower and upper bounds to be defined for multiplicities.⁵ In case a multiplicity is specified for an attribute, i. e., a lower and an upper bound are provided, we require any collection the attribute evaluates to to not contain `null`. This allows for a straightforward interpretation of the multiplicity

⁵We are however aware that a well-formedness rule of the UML standard does define a default bound of one in case a lower or upper bound is not specified.

constraint. If bounds are not provided for an attribute, we consider the attribute values to not be restricted in any way. Because in particular the cardinality of the attribute's values is not bounded, the result of an evaluation of the attribute is of collection type. As the range of values that the attribute can assume is not restricted, the attribute can evaluate to a collection containing `null`. The attribute can also evaluate to `invalid`. Allowing multiplicities to be optional in this way gives the modeler the freedom to define attributes that can assume the full ranges of values provided by their types. However, we do not permit the omission of multiplicities for association ends, since the values of association ends are not only restricted by multiplicities, but also by other constraints enforcing the semantics of associations. Hence, the values of association ends cannot be completely unrestricted.

2.7.3. The Precise Meaning of Multiplicity Constraints

We are now ready to define the meaning of multiplicity constraints by giving equivalent invariants written in OCL. Let `a` be an attribute of a class `C` with a multiplicity specifying a lower bound m and an upper bound n . Then we can define the multiplicity constraint on the values of attribute `a` to be equivalent to the following invariants written in OCL:

```
context C
  inv lowerBound: a->size() >= m
  inv upperBound: a->size() <= n
  inv notNull: not a->includes(null)
```

If the upper bound n is infinite, the second invariant is omitted. For the definition of these invariants we are making use of the conversion of single values to sets described in subsection 2.7.1. If $n \leq 1$, the attribute `a` evaluates to a single value, which is then converted to a `Set` on which the `size` operation is called.

If a value of the attribute `a` includes a reference to a non-existent object, the attribute call evaluates to `invalid`. As a result, the entire expressions evaluate to `invalid`, and the invariants are not satisfied. Thus, references to non-existent objects are ruled out by these invariants. We believe that this result is appropriate, since we argue that the presence of such references in a system state is usually not intended and likely to be the result of an error. If the modeler wishes to allow references to non-existent objects, she can make use of the possibility described above to omit the multiplicity.

3. Part I: Core Definitions

```
theory
  OCL-core
imports
  Main
begin
```

3.1. Preliminaries

3.1.1. Notations for the option type

First of all, we will use a more compact notation for the library option type which occur all over in our definitions and which will make the presentation more "textbook"-like:

```
notation Some ( $\lfloor(-)\rfloor$ )
notation None ( $\perp$ )
```

The following function (corresponding to *the* in the Isabelle/HOL library) is defined as the inverse of the injection *Some*.

```
fun drop :: 'α option ⇒ 'α ( $\lfloor(-)\rfloor$ )
where drop-lift[simp]:  $\lfloor\lfloor v \rfloor\rfloor = v$ 
```

3.1.2. Minimal Notions of State and State Transitions

Next we will introduce the foundational concept of an object id (oid), which is just some infinite set.

In order to assure executability of as much as possible formulas, we fixed the type of object id's to just natural numbers.

```
type-synonym oid = nat
```

We refrained from the alternative:

```
type-synonym oid = ind
```

which is slightly more abstract but non-executable.

States are just a partial map from oid's to elements of an object universe \mathfrak{A} , and state transitions pairs of states...

```
record ('A)state =
  heap  :: oid → 'A
  assocs :: oid → (oid × oid) list
```

```
type-synonym ('A)st = 'A state × 'A state
```

3.1.3. Prerequisite: An Abstract Interface for OCL Types

In order to have the possibility to nest collection types, such that we can give semantics to expressions like $Set\{Set\{\mathbf{2}\}, null\}$, it is necessary to introduce a uniform interface for types having the *invalid* (= bottom) element. The reason is that we impose a data-invariant on raw-collection `types_code` which assures that the *invalid* element is not allowed inside the collection; all raw-collections of this form were identified with the *invalid* element itself. The construction requires that the new collection type is uncomparable with the raw-types (consisting of nested option type constructions), such that the data-invariant must be expressed in terms of the interface. In a second step, our base-types will be shown to be instances of this interface.

This uniform interface consists in a type class requiring the existence of a bot and a null element. The construction proceeds by abstracting the null (which is defined by $\lfloor \perp \rfloor$ on *'a option option* to a null - element, which may have an arbitrary semantic structure, and an undefinedness element \perp to an abstract undefinedness element *bot* (also written \perp whenever no confusion arises). As a consequence, it is necessary to redefine the notions of invalid, defined, valuation etc. on top of this interface.

This interface consists in two abstract type classes *bot* and *null* for the class of all types comprising a bot and a distinct null element.

```
instance option  :: (plus) plus by intro-classes
instance fun     :: (type, plus) plus by intro-classes
```

```
class bot =
  fixes bot :: 'a
  assumes nonEmpty :  $\exists x. x \neq bot$ 
```

```
class null = bot +
  fixes null :: 'a
  assumes null-is-valid :  $null \neq bot$ 
```

3.1.4. Accomodation of Basic Types to the Abstract Interface

In the following it is shown that the option-option type type is in fact in the *null* class and that function spaces over these classes again "live" in these classes. This motivates the default construction of the semantic domain for the basic types (Boolean, Integer, Reals, ...).

```
instantiation option :: (type)bot
begin
  definition bot-option-def: (bot::'a option)  $\equiv$  (None::'a option)
  instance proof show  $\exists x::'a option. x \neq bot$ 
    by(rule-tac x=Some x in exI, simp add:bot-option-def)
  qed
end
```



```

instantiation option :: (bot)null
begin
  definition null-option-def: (null::'a::bot option)  $\equiv$  [ bot ]
  instance proof show (null::'a::bot option)  $\neq$  bot
    by( simp add:null-option-def bot-option-def)
  qed
end

instantiation fun :: (type,bot) bot
begin
  definition bot-fun-def: bot  $\equiv$  ( $\lambda$  x. bot)

  instance proof show  $\exists$  (x::'a  $\Rightarrow$  'b). x  $\neq$  bot
    apply(rule-tac x= $\lambda$  -. (SOME y. y  $\neq$  bot) in exI, auto)
    apply(drule-tac x=x in fun-cong, auto simp:bot-fun-def)
    apply(erule contrapos-pp, simp)
    apply(rule some-eq-ex[THEN iffD2])
    apply(simp add: nonEmpty)
    done
  qed
end

instantiation fun :: (type,null) null
begin
  definition null-fun-def: (null::'a  $\Rightarrow$  'b::null)  $\equiv$  ( $\lambda$  x. null)

  instance proof
    show (null::'a  $\Rightarrow$  'b::null)  $\neq$  bot
    apply(auto simp: null-fun-def bot-fun-def)
    apply(drule-tac x=x in fun-cong)
    apply(erule contrapos-pp, simp add: null-is-valid)
    done
  qed
end

```

A trivial consequence of this adaption of the interface is that abstract and concrete versions of null are the same on base types (as could be expected).

3.1.5. The Semantic Space of OCL Types: Valuations.

Valuations are now functions from a state pair (built upon data universe \mathfrak{A}) to an arbitrary null-type (i.e. containing at least a distinguished *null* and *invalid* element).

type-synonym ($\mathfrak{A}, 'a$) val = \mathfrak{A} st \Rightarrow 'a::null

The definitions for the constants and operations based on valuations will be geared towards a format that Isabelle can check to be a "conservative" (i.e. logically safe)

axiomatic definition. By introducing an explicit interpretation function (which happens to be defined just as the identity since we are using a shallow embedding of OCL into HOL), all these definitions can be rewritten into the conventional semantic "textbook" format as follows:

definition $Sem :: 'a \Rightarrow 'a$ ($I[-]$)
where $I[x] \equiv x$

As a consequence of semantic domain definition, any OCL type will have the two semantic constants *invalid* (for exceptional, aborted computation) and *null*; the latter, however is either defined

definition $invalid :: ('A, 'A::bot) \text{ val}$
where $invalid \equiv \lambda \tau. bot$

This conservative Isabelle definition of the polymorphic constant *invalid* is equivalent with the textbook definition:

lemma *invalid-def-textbook*: $I[invalid]\tau = bot$
by(*simp add: invalid-def Sem-def*)

Note that the definition :

definition $null :: ('A, 'A::null) \text{ val}$
where $null \equiv \lambda \tau. null$

is not necessary since we defined the entire function space over null types again as null-types; the crucial definition is $null \equiv \lambda x. null$. Thus, the polymorphic constant *null* is simply the result of a general type class construction. Nevertheless, we can derive the semantic textbook definition for the OCL null constant based on the abstract null:

lemma *null-def-textbook*: $I>null::('A, 'A::null) \text{ val}$ $\tau = (null::'A::null)$
by(*simp add: null-fun-def Sem-def*)

3.2. Boolean Type and Logic

The semantic domain of the (basic) boolean type is now defined as standard: the space of valuation to *bool option option*:

type-synonym $('A)Boolean = ('A, bool \text{ option option}) \text{ val}$

3.2.1. Basic Constants

lemma *bot-Boolean-def* : $(bot::('A)Boolean) = (\lambda \tau. \perp)$
by(*simp add: bot-fun-def bot-option-def*)

lemma *null-Boolean-def* : $(null::('A)Boolean) = (\lambda \tau. \lfloor \perp \rfloor)$
by(*simp add: null-fun-def null-option-def bot-option-def*)

definition $true :: ('A)Boolean$

where $true \equiv \lambda \tau. \llbracket True \rrbracket$

definition $false :: (\mathfrak{A}) Boolean$
where $false \equiv \lambda \tau. \llbracket False \rrbracket$

lemma *bool-split*: $X \tau = invalid \tau \vee X \tau = null \tau \vee$
 $X \tau = true \tau \vee X \tau = false \tau$
apply(*simp add: invalid-def null-def true-def false-def*)
apply(*case-tac X τ , simp-all add: null-fun-def null-option-def bot-option-def*)
apply(*case-tac a, simp*)
apply(*case-tac aa, simp*)
apply *auto*
done

lemma [*simp*]: $false (a, b) = \llbracket False \rrbracket$
by(*simp add: false-def*)

lemma [*simp*]: $true (a, b) = \llbracket True \rrbracket$
by(*simp add: true-def*)

lemma *true-def-textbook*: $I\llbracket true \rrbracket \tau = \llbracket True \rrbracket$
by(*simp add: Sem-def true-def*)

lemma *false-def-textbook*: $I\llbracket false \rrbracket \tau = \llbracket False \rrbracket$
by(*simp add: Sem-def false-def*)

Summary:

Name	Theorem
<i>invalid-def-textbook</i>	$I\llbracket invalid \rrbracket ?\tau = OCL-core.bot-class.bot$
<i>null-def-textbook</i>	$I\llbracket null \rrbracket ?\tau = null$
<i>true-def-textbook</i>	$I\llbracket true \rrbracket ?\tau = \llbracket True \rrbracket$
<i>false-def-textbook</i>	$I\llbracket false \rrbracket ?\tau = \llbracket False \rrbracket$

Table 3.1.: Basic semantic constant definitions of the logic (except *null*)

3.2.2. Fundamental Predicates I: Validity and Definedness

However, this has also the consequence that core concepts like definedness, validness and even *cp* have to be redefined on this type class:

definition *valid* :: ($\mathfrak{A}, 'a::\text{null}$)*val* \Rightarrow (\mathfrak{A})*Boolean* (*v* - [100]100)
where $v\ X \equiv \lambda\ \tau.\ \text{if } X\ \tau = \text{bot } \tau \text{ then false } \tau \text{ else true } \tau$

lemma *valid1[simp]*: $v\ \text{invalid} = \text{false}$
by(*rule ext, simp add: valid-def bot-fun-def bot-option-def*
invalid-def true-def false-def)

lemma *valid2[simp]*: $v\ \text{null} = \text{true}$
by(*rule ext, simp add: valid-def bot-fun-def bot-option-def null-is-valid*
null-fun-def invalid-def true-def false-def)

lemma *valid3[simp]*: $v\ \text{true} = \text{true}$
by(*rule ext, simp add: valid-def bot-fun-def bot-option-def null-is-valid*
null-fun-def invalid-def true-def false-def)

lemma *valid4[simp]*: $v\ \text{false} = \text{true}$
by(*rule ext, simp add: valid-def bot-fun-def bot-option-def null-is-valid*
null-fun-def invalid-def true-def false-def)

lemma *cp-valid*: $(v\ X)\ \tau = (v\ (\lambda\ -. X\ \tau))\ \tau$
by(*simp add: valid-def*)

definition *defined* :: ($\mathfrak{A}, 'a::\text{null}$)*val* \Rightarrow (\mathfrak{A})*Boolean* (δ - [100]100)
where $\delta\ X \equiv \lambda\ \tau.\ \text{if } X\ \tau = \text{bot } \tau \vee X\ \tau = \text{null } \tau \text{ then false } \tau \text{ else true } \tau$

The generalized definitions of invalid and definedness have the same properties as the old ones :

lemma *defined1[simp]*: $\delta\ \text{invalid} = \text{false}$
by(*rule ext, simp add: defined-def bot-fun-def bot-option-def*
null-def invalid-def true-def false-def)

lemma *defined2[simp]*: $\delta\ \text{null} = \text{false}$
by(*rule ext, simp add: defined-def bot-fun-def bot-option-def*
null-def null-option-def null-fun-def invalid-def true-def false-def)

lemma *defined3[simp]*: $\delta\ \text{true} = \text{true}$
by(*rule ext, simp add: defined-def bot-fun-def bot-option-def null-is-valid null-option-def*
null-fun-def invalid-def true-def false-def)

lemma *defined4[simp]*: $\delta\ \text{false} = \text{true}$
by(*rule ext, simp add: defined-def bot-fun-def bot-option-def null-is-valid null-option-def*
null-fun-def invalid-def true-def false-def)

lemma *defined5[simp]*: $\delta\ \delta\ X = \text{true}$

by(*rule ext*,
auto simp: *defined-def true-def false-def*
bot-fun-def bot-option-def null-option-def null-fun-def)

lemma *defined6*[*simp*]: $\delta \vee X = \text{true}$

by(*rule ext*,
auto simp: *valid-def defined-def true-def false-def*
bot-fun-def bot-option-def null-option-def null-fun-def)

lemma *valid5*[*simp*]: $v \vee X = \text{true}$

by(*rule ext*,
auto simp: *valid-def true-def false-def*
bot-fun-def bot-option-def null-option-def null-fun-def)

lemma *valid6*[*simp*]: $v \delta X = \text{true}$

by(*rule ext*,
auto simp: *valid-def defined-def true-def false-def*
bot-fun-def bot-option-def null-option-def null-fun-def)

lemma *cp-defined*: $(\delta X)\tau = (\delta (\lambda \cdot. X \tau)) \tau$

by(*simp add*: *defined-def*)

The definitions above for the constants *defined* and *valid* can be rewritten into the conventional semantic "textbook" format as follows:

lemma *defined-def-textbook*: $I[\delta(X)] \tau = (\text{if } I[X] \tau = I[\text{bot}] \tau \vee I[X] \tau = I[\text{null}] \tau$
 $\text{then } I[\text{false}] \tau$
 $\text{else } I[\text{true}] \tau)$

by(*simp add*: *Sem-def defined-def*)

lemma *valid-def-textbook*: $I[v(X)] \tau = (\text{if } I[X] \tau = I[\text{bot}] \tau$
 $\text{then } I[\text{false}] \tau$
 $\text{else } I[\text{true}] \tau)$

by(*simp add*: *Sem-def valid-def*)

Summary: These definitions lead quite directly to the algebraic laws on these predicates:

Name	Theorem
<i>defined-def-textbook</i>	$I[\delta X] \tau = (\text{if } I[X] \tau = I[\text{OCL-core.bot-class.bot}] \tau \vee I[X] \tau = I[\text{null}] \tau \text{ then } I[\text{false}] \tau$
<i>valid-def-textbook</i>	$I[v X] \tau = (\text{if } I[X] \tau = I[\text{OCL-core.bot-class.bot}] \tau \text{ then } I[\text{false}] \tau \text{ else } I[\text{true}] \tau)$

Table 3.2.: Basic predicate definitions of the logic.)

Name	Theorem
<i>defined1</i>	$\delta \text{ invalid} = \text{false}$
<i>defined2</i>	$\delta \text{ null} = \text{false}$
<i>defined3</i>	$\delta \text{ true} = \text{true}$
<i>defined4</i>	$\delta \text{ false} = \text{true}$
<i>defined5</i>	$\delta \delta ?X = \text{true}$
<i>defined6</i>	$\delta v ?X = \text{true}$

Table 3.3.: Laws of the basic predicates of the logic.)

3.2.3. Fundamental Predicates II: Logical (Strong) Equality

Note that we define strong equality extremely generic, even for types that contain an *null* or \perp element:

definition *StrongEq*:: $[\mathfrak{A} \text{ st} \Rightarrow ' \alpha, \mathfrak{A} \text{ st} \Rightarrow ' \alpha] \Rightarrow (\mathfrak{A})\text{Boolean}$ (**infixl** $\triangleq 30$)
where $X \triangleq Y \equiv \lambda \tau. \llbracket X \tau = Y \tau \rrbracket$

Equality reasoning in OCL is not humpty dumpty. While strong equality is clearly an equivalence:

lemma *StrongEq-refl* [*simp*]: $(X \triangleq X) = \text{true}$
by(*rule ext, simp add: null-def invalid-def true-def false-def StrongEq-def*)

lemma *StrongEq-sym*: $(X \triangleq Y) = (Y \triangleq X)$
by(*rule ext, simp add: eq-sym-conv invalid-def true-def false-def StrongEq-def*)

lemma *StrongEq-trans-strong* [*simp*]:
assumes $A: (X \triangleq Y) = \text{true}$
and $B: (Y \triangleq Z) = \text{true}$
shows $(X \triangleq Z) = \text{true}$
apply(*insert A B*) **apply**(*rule ext*)
apply(*simp add: null-def invalid-def true-def false-def StrongEq-def*)
apply(*drule-tac x=x in fun-cong*)+
by *auto*

... it is only in a limited sense a congruence, at least from the point of view of this semantic theory. The point is that it is only a congruence on OCL- expressions, not arbitrary HOL expressions (with which we can mix Essential OCL expressions. A semantic — not syntactic — characterization of OCL-expressions is that they are *context-passing* or *context-invariant*, i.e. the context of an entire OCL expression, i.e. the pre-and post-state it refers to, is passed constantly and unmodified to the sub-expressions, i.e. all sub-expressions inside an OCL expression refer to the same context. Expressed formally, this boils down to:

lemma *StrongEq-subst* :
assumes *cp*: $\bigwedge X. P(X)\tau = P(\lambda \cdot. X \tau)\tau$
and *eq*: $(X \triangleq Y)\tau = \text{true} \tau$

```

shows  (P X  $\triangleq$  P Y)  $\tau$  = true  $\tau$ 
apply(insert cp eq)
apply(simp add: null-def invalid-def true-def false-def StrongEq-def)
apply(subst cp[of X])
apply(subst cp[of Y])
by simp

```

3.2.4. Fundamental Predicates III

And, last but not least,

```

lemma defined7[simp]:  $\delta$  (X  $\triangleq$  Y) = true
by(rule ext,
    auto simp: defined-def true-def false-def StrongEq-def
    bot-fun-def bot-option-def null-option-def null-fun-def)

```

```

lemma valid7[simp]:  $v$  (X  $\triangleq$  Y) = true
by(rule ext,
    auto simp: valid-def true-def false-def StrongEq-def
    bot-fun-def bot-option-def null-option-def null-fun-def)

```

```

lemma cp-StrongEq: (X  $\triangleq$  Y)  $\tau$  = (( $\lambda$  -. X  $\tau$ )  $\triangleq$  ( $\lambda$  -. Y  $\tau$ ))  $\tau$ 
by(simp add: StrongEq-def)

```

The semantics of strict equality of OCL is constructed by overloading: for each base type, there is an equality.

3.2.5. Logical Connectives and their Universal Properties

It is a design goal to give OCL a semantics that is as closely as possible to a "logical system" in a known sense; a specification logic where the logical connectives can not be understood other than having the truth-table aside when reading fails its purpose in our view.

Practically, this means that we want to give a definition to the core operations to be as close as possible to the lattice laws; this makes also powerful symbolic normalizations of OCL specifications possible as a pre-requisite for automated theorem provers. For example, it is still possible to compute without any definedness- and validity reasoning the DNF of an OCL specification; be it for test-case generations or for a smooth transition to a two-valued representation of the specification amenable to fast standard SMT-solvers, for example.

Thus, our representation of the OCL is merely a 4-valued Kleene-Logics with *invalid* as least, *null* as middle and *true* resp. *false* as unrelated top-elements.

```

definition not :: (' $\mathfrak{A}$ )Boolean  $\Rightarrow$  (' $\mathfrak{A}$ )Boolean
where not X  $\equiv$   $\lambda$   $\tau$  . case X  $\tau$  of
     $\perp$   $\Rightarrow$   $\perp$ 
    |  $\lfloor \perp \rfloor$   $\Rightarrow$   $\lfloor \perp \rfloor$ 
    |  $\lfloor \lfloor x \rfloor \rfloor$   $\Rightarrow$   $\lfloor \lfloor \neg x \rfloor \rfloor$ 

```

lemma *cp-not*: $(\text{not } X)\tau = (\text{not } (\lambda \neg. X \tau)) \tau$
by(*simp add: not-def*)

lemma *not1*[*simp*]: *not invalid = invalid*
by(*rule ext, simp add: not-def null-def invalid-def true-def false-def bot-option-def*)

lemma *not2*[*simp*]: *not null = null*
by(*rule ext, simp add: not-def null-def invalid-def true-def false-def
bot-option-def null-fun-def null-option-def*)

lemma *not3*[*simp*]: *not true = false*
by(*rule ext, simp add: not-def null-def invalid-def true-def false-def*)

lemma *not4*[*simp*]: *not false = true*
by(*rule ext, simp add: not-def null-def invalid-def true-def false-def*)

lemma *not-not*[*simp*]: *not (not X) = X*
apply(*rule ext, simp add: not-def null-def invalid-def true-def false-def*)
apply(*case-tac X x, simp-all*)
apply(*case-tac a, simp-all*)
done

lemma *not-inject*: $\bigwedge x y. \text{not } x = \text{not } y \implies x = y$
by(*subst not-not[THEN sym], simp*)

definition *ocl-and* :: $[(\mathfrak{A})\text{Boolean}, (\mathfrak{A})\text{Boolean}] \Rightarrow (\mathfrak{A})\text{Boolean}$ (**infixl** and 30)

where $X \text{ and } Y \equiv (\lambda \tau. \text{case } X \tau \text{ of}$
 $\quad \llbracket \text{False} \rrbracket \Rightarrow \quad \llbracket \text{False} \rrbracket$
 $\quad | \perp \quad \Rightarrow (\text{case } Y \tau \text{ of}$
 $\quad \quad \llbracket \text{False} \rrbracket \Rightarrow \llbracket \text{False} \rrbracket$
 $\quad \quad | - \quad \Rightarrow \perp)$
 $\quad | \llbracket \perp \rrbracket \quad \Rightarrow (\text{case } Y \tau \text{ of}$
 $\quad \quad \llbracket \text{False} \rrbracket \Rightarrow \llbracket \text{False} \rrbracket$
 $\quad \quad | \perp \quad \Rightarrow \perp$
 $\quad \quad | - \quad \Rightarrow \llbracket \perp \rrbracket)$
 $\quad | \llbracket \text{True} \rrbracket \Rightarrow \quad Y \tau)$

Note that *not* is *not* defined as a strict function; proximity to lattice laws implies that we *need* a definition of *not* that satisfies $\text{not}(\text{not}(x))=x$.

In textbook notation, the logical core constructs *not* and *op and* were represented as follows:

lemma *textbook-not*:
 $I[\llbracket \text{not}(X) \rrbracket] \tau = (\text{case } I[\llbracket X \rrbracket] \tau \text{ of } \perp \Rightarrow \perp$
 $\quad | \llbracket \perp \rrbracket \Rightarrow \llbracket \perp \rrbracket$
 $\quad | \llbracket x \rrbracket \Rightarrow \llbracket \neg x \rrbracket)$

by(simp add: Sem-def not-def)

lemma textbook-and:

$$\begin{aligned}
I\llbracket X \text{ and } Y \rrbracket \tau &= (\text{case } I\llbracket X \rrbracket \tau \text{ of} \\
&\quad \perp \Rightarrow (\text{case } I\llbracket Y \rrbracket \tau \text{ of} \\
&\quad \quad \perp \Rightarrow \perp \\
&\quad \quad | \llbracket \perp \rrbracket \Rightarrow \perp \\
&\quad \quad | \llbracket \text{True} \rrbracket \Rightarrow \perp \\
&\quad \quad | \llbracket \text{False} \rrbracket \Rightarrow \llbracket \text{False} \rrbracket)) \\
&| \llbracket \perp \rrbracket \Rightarrow (\text{case } I\llbracket Y \rrbracket \tau \text{ of} \\
&\quad \perp \Rightarrow \perp \\
&\quad | \llbracket \perp \rrbracket \Rightarrow \llbracket \perp \rrbracket \\
&\quad | \llbracket \text{True} \rrbracket \Rightarrow \llbracket \perp \rrbracket \\
&\quad | \llbracket \text{False} \rrbracket \Rightarrow \llbracket \text{False} \rrbracket)) \\
&| \llbracket \text{True} \rrbracket \Rightarrow (\text{case } I\llbracket Y \rrbracket \tau \text{ of} \\
&\quad \perp \Rightarrow \perp \\
&\quad | \llbracket \perp \rrbracket \Rightarrow \llbracket \perp \rrbracket \\
&\quad | \llbracket y \rrbracket \Rightarrow \llbracket y \rrbracket \\
&\quad | \llbracket \text{False} \rrbracket \Rightarrow \llbracket \text{False} \rrbracket))
\end{aligned}$$

apply(simp add: ocl-and-def)

apply(subst Sem-def)+

apply(split option.split, simp)

apply(subgoal-tac $Y \tau = (\text{case } Y \tau \text{ of } \perp \Rightarrow \perp \mid \llbracket \perp \rrbracket \Rightarrow \llbracket \perp \rrbracket \mid \llbracket y \rrbracket \Rightarrow \llbracket y \rrbracket)$)

apply metis

by (metis not-Some-eq option.simps(4) option.simps(5))

definition ocl-or :: $((\mathfrak{A})\text{Boolean}, (\mathfrak{A})\text{Boolean}) \Rightarrow (\mathfrak{A})\text{Boolean}$
(**infixl** or 25)

where $X \text{ or } Y \equiv \text{not}(\text{not } X \text{ and not } Y)$

definition ocl-implies :: $((\mathfrak{A})\text{Boolean}, (\mathfrak{A})\text{Boolean}) \Rightarrow (\mathfrak{A})\text{Boolean}$
(**infixl** implies 25)

where $X \text{ implies } Y \equiv \text{not } X \text{ or } Y$

lemma cp-ocl-and: $(X \text{ and } Y) \tau = ((\lambda -. X \tau) \text{ and } (\lambda -. Y \tau)) \tau$
by(simp add: ocl-and-def)

lemma cp-ocl-or: $((X :: (\mathfrak{A})\text{Boolean}) \text{ or } Y) \tau = ((\lambda -. X \tau) \text{ or } (\lambda -. Y \tau)) \tau$
apply(simp add: ocl-or-def)

apply(subst cp-not[of not $(\lambda -. X \tau)$ and not $(\lambda -. Y \tau)$])

apply(subst cp-ocl-and[of not $(\lambda -. X \tau)$ not $(\lambda -. Y \tau)$])

by(simp add: cp-not[symmetric] cp-ocl-and[symmetric])

lemma cp-ocl-implies: $(X \text{ implies } Y) \tau = ((\lambda -. X \tau) \text{ implies } (\lambda -. Y \tau)) \tau$
apply(simp add: ocl-implies-def)

apply(subst cp-ocl-or[of not $(\lambda -. X \tau)$ $(\lambda -. Y \tau)$])

by(simp add: cp-not[symmetric] cp-ocl-or[symmetric])

```

lemma ocl-and1[simp]: (invalid and true) = invalid
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def bot-option-def)
lemma ocl-and2[simp]: (invalid and false) = false
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def bot-option-def)
lemma ocl-and3[simp]: (invalid and null) = invalid
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def bot-option-def
    null-fun-def null-option-def)
lemma ocl-and4[simp]: (invalid and invalid) = invalid
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def bot-option-def)

lemma ocl-and5[simp]: (null and true) = null
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def bot-option-def
    null-fun-def null-option-def)
lemma ocl-and6[simp]: (null and false) = false
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def bot-option-def
    null-fun-def null-option-def)
lemma ocl-and7[simp]: (null and null) = null
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def bot-option-def
    null-fun-def null-option-def)
lemma ocl-and8[simp]: (null and invalid) = invalid
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def bot-option-def
    null-fun-def null-option-def)

lemma ocl-and9[simp]: (false and true) = false
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and10[simp]: (false and false) = false
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and11[simp]: (false and null) = false
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and12[simp]: (false and invalid) = false
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)

lemma ocl-and13[simp]: (true and true) = true
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and14[simp]: (true and false) = false
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
lemma ocl-and15[simp]: (true and null) = null
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def bot-option-def
    null-fun-def null-option-def)
lemma ocl-and16[simp]: (true and invalid) = invalid
  by(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def bot-option-def
    null-fun-def null-option-def)

lemma ocl-and-idem[simp]: (X and X) = X
  apply(rule ext,simp add: ocl-and-def null-def invalid-def true-def false-def)
  apply(case-tac X x, simp-all)
  apply(case-tac a, simp-all)
  apply(case-tac aa, simp-all)
  done

```

```

lemma ocl-and-commute:  $(X \text{ and } Y) = (Y \text{ and } X)$ 
  by(rule ext, auto simp:true-def false-def ocl-and-def invalid-def
      split: option.split option.split-asm
      bool.split bool.split-asm)

lemma ocl-and-false1[simp]:  $(\text{false and } X) = \text{false}$ 
  apply(rule ext, simp add: ocl-and-def)
  apply(auto simp:true-def false-def invalid-def
      split: option.split option.split-asm)
  done

lemma ocl-and-false2[simp]:  $(X \text{ and false}) = \text{false}$ 
  by(simp add: ocl-and-commute)

lemma ocl-and-true1[simp]:  $(\text{true and } X) = X$ 
  apply(rule ext, simp add: ocl-and-def)
  apply(auto simp:true-def false-def invalid-def
      split: option.split option.split-asm)
  done

lemma ocl-and-true2[simp]:  $(X \text{ and true}) = X$ 
  by(simp add: ocl-and-commute)

lemma ocl-and-bot1[simp]:  $\bigwedge \tau. X \ \tau \neq \text{false} \ \tau \implies (\text{bot and } X) \ \tau = \text{bot} \ \tau$ 
  apply(simp add: ocl-and-def)
  apply(auto simp:true-def false-def bot-fun-def bot-option-def
      split: option.split option.split-asm)
  done

lemma ocl-and-bot2[simp]:  $\bigwedge \tau. X \ \tau \neq \text{false} \ \tau \implies (X \text{ and bot}) \ \tau = \text{bot} \ \tau$ 
  by(simp add: ocl-and-commute)

lemma ocl-and-null1[simp]:  $\bigwedge \tau. X \ \tau \neq \text{false} \ \tau \implies X \ \tau \neq \text{bot} \ \tau \implies (\text{null and } X) \ \tau = \text{null} \ \tau$ 
  apply(simp add: ocl-and-def)
  apply(auto simp:true-def false-def bot-fun-def bot-option-def null-fun-def null-option-def
      split: option.split option.split-asm)
  done

lemma ocl-and-null2[simp]:  $\bigwedge \tau. X \ \tau \neq \text{false} \ \tau \implies X \ \tau \neq \text{bot} \ \tau \implies (X \text{ and null}) \ \tau = \text{null} \ \tau$ 
  by(simp add: ocl-and-commute)

lemma ocl-and-assoc:  $(X \text{ and } (Y \text{ and } Z)) = (X \text{ and } Y \text{ and } Z)$ 
  apply(rule ext, simp add: ocl-and-def)
  apply(auto simp:true-def false-def null-def invalid-def
      split: option.split option.split-asm
      bool.split bool.split-asm)

```

done

lemma *ocl-or-idem*[simp]: $(X \text{ or } X) = X$
by(simp add: ocl-or-def)

lemma *ocl-or-commute*: $(X \text{ or } Y) = (Y \text{ or } X)$
by(simp add: ocl-or-def ocl-and-commute)

lemma *ocl-or-false1*[simp]: $(\text{false} \text{ or } Y) = Y$
by(simp add: ocl-or-def)

lemma *ocl-or-false2*[simp]: $(Y \text{ or } \text{false}) = Y$
by(simp add: ocl-or-def)

lemma *ocl-or-true1*[simp]: $(\text{true} \text{ or } Y) = \text{true}$
by(simp add: ocl-or-def)

lemma *ocl-or-true2*: $(Y \text{ or } \text{true}) = \text{true}$
by(simp add: ocl-or-def)

lemma *ocl-or-assoc*: $(X \text{ or } (Y \text{ or } Z)) = (X \text{ or } Y \text{ or } Z)$
by(simp add: ocl-or-def ocl-and-assoc)

lemma *deMorgan1*: $\text{not}(X \text{ and } Y) = ((\text{not } X) \text{ or } (\text{not } Y))$
by(simp add: ocl-or-def)

lemma *deMorgan2*: $\text{not}(X \text{ or } Y) = ((\text{not } X) \text{ and } (\text{not } Y))$
by(simp add: ocl-or-def)

3.3. A Standard Logical Calculus for OCL

Besides the need for algebraic laws for OCL in order to normalize

definition *OclValid* :: $[(\mathcal{A})st, (\mathcal{A})Boolean] \Rightarrow \text{bool}$ $((I(-)/ \models (-)) \ 50)$
where $\tau \models P \equiv ((P \ \tau) = \text{true} \ \tau)$

3.3.1. Global vs. Local Judgements

lemma *transform1*: $P = \text{true} \implies \tau \models P$
by(simp add: OclValid-def)

lemma *transform1-rev*: $\forall \tau. \tau \models P \implies P = \text{true}$
by(rule ext, auto simp: OclValid-def true-def)

lemma *transform2*: $(P = Q) \implies ((\tau \models P) = (\tau \models Q))$
by(auto simp: OclValid-def)

```

lemma transform2-rev:  $\forall \tau. (\tau \models \delta P) \wedge (\tau \models \delta Q) \wedge (\tau \models P) = (\tau \models Q) \implies P = Q$ 
apply(rule ext, auto simp: OclValid-def true-def defined-def)
apply(erule-tac x=a in allE)
apply(erule-tac x=b in allE)
apply(auto simp: false-def true-def defined-def bot-Boolean-def null-Boolean-def
  split: option.split-asm HOL.split-if-asm)
done

```

However, certain properties (like transitivity) can not be *transformed* from the global level to the local one, they have to be re-proven on the local level.

```

lemma transform3:
assumes  $H : P = \text{true} \implies Q = \text{true}$ 
shows  $\tau \models P \implies \tau \models Q$ 
apply(simp add: OclValid-def)
apply(rule H[THEN fun-cong])
apply(rule ext)
oops

```

3.3.2. Local Validity and Meta-logic

```

lemma foundation1[simp]:  $\tau \models \text{true}$ 
by(auto simp: OclValid-def)

```

```

lemma foundation2[simp]:  $\neg(\tau \models \text{false})$ 
by(auto simp: OclValid-def true-def false-def)

```

```

lemma foundation3[simp]:  $\neg(\tau \models \text{invalid})$ 
by(auto simp: OclValid-def true-def false-def invalid-def bot-option-def)

```

```

lemma foundation4[simp]:  $\neg(\tau \models \text{null})$ 
by(auto simp: OclValid-def true-def false-def null-def null-fun-def null-option-def bot-option-def)

```

```

lemma bool-split-local[simp]:
 $(\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null})) \vee (\tau \models (x \triangleq \text{true})) \vee (\tau \models (x \triangleq \text{false}))$ 
apply(insert bool-split[of x  $\tau$ ], auto)
apply(simp-all add: OclValid-def StrongEq-def true-def null-def invalid-def)
done

```

```

lemma def-split-local:
 $(\tau \models \delta x) = ((\neg(\tau \models (x \triangleq \text{invalid}))) \wedge (\neg(\tau \models (x \triangleq \text{null}))))$ 
by(simp add: defined-def true-def false-def invalid-def null-def
  StrongEq-def OclValid-def bot-fun-def null-fun-def)

```

```

lemma foundation5:
 $\tau \models (P \text{ and } Q) \implies (\tau \models P) \wedge (\tau \models Q)$ 
by(simp add: ocl-and-def OclValid-def true-def false-def defined-def
  split: option.split option.split-asm bool.split bool.split-asm)

```

```

lemma foundation6:

```

$\tau \models P \implies \tau \models \delta P$
by(simp add: not-def OclValid-def true-def false-def defined-def
null-option-def null-fun-def bot-option-def bot-fun-def
split: option.split option.split-asm)

lemma foundation7[simp]:
 $(\tau \models \text{not } (\delta x)) = (\neg (\tau \models \delta x))$
by(simp add: not-def OclValid-def true-def false-def defined-def
split: option.split option.split-asm)

lemma foundation7'[simp]:
 $(\tau \models \text{not } (v x)) = (\neg (\tau \models v x))$
by(simp add: not-def OclValid-def true-def false-def valid-def
split: option.split option.split-asm)

Key theorem for the Delta-closure: either an expression is defined, or it can be replaced (substituted via **StrongEq_L_subst2**; see below) by invalid or null. Strictness-reduction rules will usually reduce these substituted terms drastically.

lemma foundation8:
 $(\tau \models \delta x) \vee (\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null}))$
proof –
have 1 : $(\tau \models \delta x) \vee (\neg(\tau \models \delta x))$ **by** auto
have 2 : $(\neg(\tau \models \delta x)) = ((\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null})))$
by(simp only: def-split-local, simp)
show ?thesis **by**(insert 1, simp add:2)
qed

lemma foundation9:
 $\tau \models \delta x \implies (\tau \models \text{not } x) = (\neg (\tau \models x))$
apply(simp add: def-split-local)
by(auto simp: not-def null-fun-def null-option-def bot-option-def
OclValid-def invalid-def true-def null-def StrongEq-def)

lemma foundation10:
 $\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ and } y)) = ((\tau \models x) \wedge (\tau \models y))$
apply(simp add: def-split-local)
by(auto simp: ocl-and-def OclValid-def invalid-def
true-def null-def StrongEq-def null-fun-def null-option-def bot-option-def
split:bool.split-asm)

lemma foundation11:
 $\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ or } y)) = ((\tau \models x) \vee (\tau \models y))$
apply(simp add: def-split-local)
by(auto simp: not-def ocl-or-def ocl-and-def OclValid-def invalid-def
true-def null-def StrongEq-def null-fun-def null-option-def bot-option-def
split:bool.split-asm bool.split)

lemma *foundation12*:

$\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ implies } y)) = ((\tau \models x) \longrightarrow (\tau \models y))$

apply(*simp add: def-split-local*)

by(*auto simp: not-def ocl-or-def ocl-and-def ocl-implies-def bot-option-def*
OclValid-def invalid-def true-def null-def StrongEq-def null-fun-def null-option-def
split:bool.split-asm bool.split)

lemma *foundation13*: $(\tau \models A \triangleq \text{true}) = (\tau \models A)$

by(*auto simp: not-def OclValid-def invalid-def true-def null-def StrongEq-def*
split:bool.split-asm bool.split)

lemma *foundation14*: $(\tau \models A \triangleq \text{false}) = (\tau \models \text{not } A)$

by(*auto simp: not-def OclValid-def invalid-def false-def true-def null-def StrongEq-def*
split:bool.split-asm bool.split option.split)

lemma *foundation15*: $(\tau \models A \triangleq \text{invalid}) = (\tau \models \text{not}(v A))$

by(*auto simp: not-def OclValid-def valid-def invalid-def false-def true-def null-def*
StrongEq-def bot-option-def null-fun-def null-option-def bot-option-def bot-fun-def
split:bool.split-asm bool.split option.split)

lemma *foundation16*: $\tau \models (\delta X) = (X \tau \neq \text{bot} \wedge X \tau \neq \text{null})$

by(*auto simp: OclValid-def defined-def false-def true-def bot-fun-def null-fun-def*
split:split-if-asm)

lemmas *foundation17* = *foundation16*[*THEN iffD1,standard*]

lemma *foundation18*: $\tau \models (v X) = (X \tau \neq \text{invalid } \tau)$

by(*auto simp: OclValid-def valid-def false-def true-def bot-fun-def invalid-def*
split:split-if-asm)

lemma *foundation18'*: $\tau \models (v X) = (X \tau \neq \text{bot})$

by(*auto simp: OclValid-def valid-def false-def true-def bot-fun-def*
split:split-if-asm)

lemmas *foundation19* = *foundation18*[*THEN iffD1,standard*]

lemma *foundation20* : $\tau \models (\delta X) \implies \tau \models v X$

by(*simp add: foundation18 foundation16 invalid-def*)

lemma *foundation21*: $(\text{not } A \triangleq \text{not } B) = (A \triangleq B)$

by(*rule ext, auto simp: not-def StrongEq-def*
split: bool.split-asm HOL.split-if-asm option.split)

lemma *foundation22*: $(\tau \models (X \triangleq Y)) = (X \tau = Y \tau)$
by(*auto simp: StrongEq-def OclValid-def true-def*)

lemma *foundation23*: $(\tau \models P) = (\tau \models (\lambda \cdot . P \tau))$
by(*auto simp: OclValid-def true-def*)

lemmas *cp-validity=foundation23*

lemma *defined-not-I* : $\tau \models \delta(x) \implies \tau \models \delta(\text{not } x)$
by(*auto simp: not-def null-def invalid-def defined-def valid-def OclValid-def
true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
split: option.split-asm HOL.split-if-asm*)

lemma *valid-not-I* : $\tau \models v(x) \implies \tau \models v(\text{not } x)$
by(*auto simp: not-def null-def invalid-def defined-def valid-def OclValid-def
true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
split: option.split-asm option.split HOL.split-if-asm*)

lemma *defined-and-I* : $\tau \models \delta(x) \implies \tau \models \delta(y) \implies \tau \models \delta(x \text{ and } y)$
apply(*simp add: ocl-and-def null-def invalid-def defined-def valid-def OclValid-def
true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
split: option.split-asm HOL.split-if-asm*)
apply(*auto simp: null-option-def split: bool.split*)
by(*case-tac ya,simp-all*)

lemma *valid-and-I* : $\tau \models v(x) \implies \tau \models v(y) \implies \tau \models v(x \text{ and } y)$
apply(*simp add: ocl-and-def null-def invalid-def defined-def valid-def OclValid-def
true-def false-def bot-option-def null-option-def null-fun-def bot-fun-def
split: option.split-asm HOL.split-if-asm*)
by(*auto simp: null-option-def split: option.split bool.split*)

3.3.3. Local Judgements and Strong Equality

lemma *StrongEq-L-refl*: $\tau \models (x \triangleq x)$
by(*simp add: OclValid-def StrongEq-def*)

lemma *StrongEq-L-sym*: $\tau \models (x \triangleq y) \implies \tau \models (y \triangleq x)$
by(*simp add: StrongEq-sym*)

lemma *StrongEq-L-trans*: $\tau \models (x \triangleq y) \implies \tau \models (y \triangleq z) \implies \tau \models (x \triangleq z)$
by(*simp add: OclValid-def StrongEq-def true-def*)

In order to establish substitutivity (which does not hold in general HOL-formulas we introduce the following predicate that allows for a calculus of the necessary side-conditions.

definition *cp* :: $((\mathfrak{A}, \alpha) \text{ val} \Rightarrow (\mathfrak{A}, \beta) \text{ val}) \Rightarrow \text{bool}$
where $\text{cp } P \equiv (\exists f. \forall X \tau. P X \tau = f (X \tau) \tau)$

The rule of substitutivity in HOL-OCL holds only for context-passing expressions - i.e.

those, that pass the context τ without changing it. Fortunately, all operators of the OCL language satisfy this property (but not all HOL operators).

lemma *StrongEq-L-subst1*: $\bigwedge \tau. cp\ P \implies \tau \models (x \triangleq y) \implies \tau \models (P\ x \triangleq P\ y)$
by(*auto simp: OclValid-def StrongEq-def true-def cp-def*)

lemma *StrongEq-L-subst2*:
 $\bigwedge \tau. cp\ P \implies \tau \models (x \triangleq y) \implies \tau \models (P\ x) \implies \tau \models (P\ y)$
by(*auto simp: OclValid-def StrongEq-def true-def cp-def*)

lemma *cpI1*:
 $(\forall X\ \tau. f\ X\ \tau = f(\lambda\cdot. X\ \tau)\ \tau) \implies cp\ P \implies cp(\lambda X. f\ (P\ X))$
apply(*auto simp: true-def cp-def*)
apply(*rule exI, (rule allI)+*)
by(*erule-tac x=P\ X in allE, auto*)

lemma *cpI2*:
 $(\forall X\ Y\ \tau. f\ X\ Y\ \tau = f(\lambda\cdot. X\ \tau)(\lambda\cdot. Y\ \tau)\ \tau) \implies$
 $cp\ P \implies cp\ Q \implies cp(\lambda X. f\ (P\ X)\ (Q\ X))$
apply(*auto simp: true-def cp-def*)
apply(*rule exI, (rule allI)+*)
by(*erule-tac x=P\ X in allE, auto*)

lemma *cp-const* : $cp(\lambda\cdot. c)$
by (*simp add: cp-def, fast*)

lemma *cp-id* : $cp(\lambda X. X)$
by (*simp add: cp-def, fast*)

lemmas *cp-intro*[*simp,intro!*] =
cp-const
cp-id
cp-defined[*THEN allI[THEN allI[THEN cpI1], of defined]*]
cp-valid[*THEN allI[THEN allI[THEN cpI1], of valid]*]
cp-not[*THEN allI[THEN allI[THEN cpI1], of not]*]
cp-ocl-and[*THEN allI[THEN allI[THEN allI[THEN cpI2]], of op and]*]
cp-ocl-or[*THEN allI[THEN allI[THEN allI[THEN cpI2]], of op or]*]
cp-ocl-implies[*THEN allI[THEN allI[THEN allI[THEN cpI2]], of op implies]*]
cp-StrongEq[*THEN allI[THEN allI[THEN allI[THEN cpI2]],*
of StrongEq]]

3.3.4. Laws to Establish Definedness (Delta-Closure)

For the logical connectives, we have — beyond $? \tau \models ?P \implies ? \tau \models \delta\ ?P$ — the following facts:

lemma *ocl-not-defargs*:
 $\tau \models (not\ P) \implies \tau \models \delta\ P$
by(*auto simp: not-def OclValid-def true-def invalid-def defined-def false-def*)

bot-fun-def bot-option-def null-fun-def null-option-def
split: bool.split-asm HOL.split-if-asm option.split option.split-asm)

So far, we have only one strict Boolean predicate (-family): The strict equality.

3.4. Miscellaneous: OCL's if then else endif

definition *if-ocl* :: $[(\mathfrak{A})\text{Boolean}, (\mathfrak{A}, 'a::\text{null}) \text{val}, (\mathfrak{A}, 'a) \text{val}] \Rightarrow (\mathfrak{A}, 'a) \text{val}$
 $(\text{if } (-) \text{ then } (-) \text{ else } (-) \text{ endif } [10,10,10]50)$
where $(\text{if } C \text{ then } B_1 \text{ else } B_2 \text{ endif}) = (\lambda \tau. \text{if } (\delta C) \tau = \text{true } \tau$
 $\quad \text{then } (\text{if } (C \tau) = \text{true } \tau$
 $\quad \quad \text{then } B_1 \tau$
 $\quad \quad \text{else } B_2 \tau)$
 $\quad \text{else invalid } \tau)$

lemma *cp-if-ocl*: $((\text{if } C \text{ then } B_1 \text{ else } B_2 \text{ endif}) \tau =$
 $\quad (\text{if } (\lambda \tau. C \tau) \text{ then } (\lambda \tau. B_1 \tau) \text{ else } (\lambda \tau. B_2 \tau) \text{ endif}) \tau)$
by(*simp only: if-ocl-def, subst cp-defined, rule refl*)

lemma *if-ocl-invalid* [*simp*]: $(\text{if invalid then } B_1 \text{ else } B_2 \text{ endif}) = \text{invalid}$
by(*rule ext, auto simp: if-ocl-def*)

lemma *if-ocl-null* [*simp*]: $(\text{if null then } B_1 \text{ else } B_2 \text{ endif}) = \text{invalid}$
by(*rule ext, auto simp: if-ocl-def*)

lemma *if-ocl-true* [*simp*]: $(\text{if true then } B_1 \text{ else } B_2 \text{ endif}) = B_1$
by(*rule ext, auto simp: if-ocl-def*)

lemma *if-ocl-true'* [*simp*]: $\tau \models P \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_1 \tau$
apply(*subst cp-if-ocl, auto simp: OclValid-def*)
by(*simp add: cp-if-ocl[symmetric]*)

lemma *if-ocl-false* [*simp*]: $(\text{if false then } B_1 \text{ else } B_2 \text{ endif}) = B_2$
by(*rule ext, auto simp: if-ocl-def*)

lemma *if-ocl-false'* [*simp*]: $\tau \models \text{not } P \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_2 \tau$
apply(*subst cp-if-ocl*)
apply(*auto simp: foundation14[symmetric] foundation22*)
by(*auto simp: cp-if-ocl[symmetric]*)

lemma *if-ocl-idem1* [*simp*]: $(\text{if } \delta X \text{ then } A \text{ else } A \text{ endif}) = A$
by(*rule ext, auto simp: if-ocl-def*)

lemma *if-ocl-idem2* [*simp*]: $(\text{if } v X \text{ then } A \text{ else } A \text{ endif}) = A$
by(*rule ext, auto simp: if-ocl-def*)

end

4. Part II: Library Definitions

```
theory OCL-lib
imports OCL-core
begin
```

4.1. Basic Types like Void, Boolean and Integer

Since Integer is again a basic type, we define its semantic domain as the valuations over *int option option*

```
type-synonym ('A)Integer = ('A,int option option) val
```

```
type-synonym ('A)Void = ('A,unit option) val
```

Note that this *minimal* OCL type contains only two elements: undefined and null. For technical reasons, he does not contain to the null-class yet.

4.1.1. Strict equalities on Basic Types.

Note that the strict equality on basic types (actually on all types) must be exceptionally defined on null — otherwise the entire concept of null in the language does not make much sense. This is an important exception from the general rule that null arguments — especially if passed as "self"-argument — lead to invalid results.

```
consts StrictRefEq :: [('A,'a)val,('A,'a)val] ⇒ ('A)Boolean (infixl ≐ 30)
```

syntax

```
notequal :: ('A)Boolean ⇒ ('A)Boolean ⇒ ('A)Boolean (infix <> 40)
```

translations

```
a <> b == CONST not( a ≐ b)
```

defs *StrictRefEq-int[code-unfold]* :

```
(x::('A)Integer) ≐ y ≡ λ τ. if (v x) τ = true τ ∧ (v y) τ = true τ
                        then (x ≐ y) τ
                        else invalid τ
```

defs *StrictRefEq-bool[code-unfold]* :

```
(x::('A)Boolean) ≐ y ≡ λ τ. if (v x) τ = true τ ∧ (v y) τ = true τ
                        then (x ≐ y) τ
                        else invalid τ
```

4.1.2. Logic and algebraic layer on Basic Types.

lemma *RefEq-int-refl*[simp,code-unfold] :
 $((x::('A)Integer) \doteq x) = (if (v x) then true else invalid endif)$
by(rule ext, simp add: StrictRefEq-int if-ocl-def)

lemma *RefEq-bool-refl*[simp,code-unfold] :
 $((x::('A)Boolean) \doteq x) = (if (v x) then true else invalid endif)$
by(rule ext, simp add: StrictRefEq-bool if-ocl-def)

lemma *StrictRefEq-int-strict1*[simp] : $((x::('A)Integer) \doteq invalid) = invalid$
by(rule ext, simp add: StrictRefEq-int true-def false-def)

lemma *StrictRefEq-int-strict2*[simp] : $(invalid \doteq (x::('A)Integer)) = invalid$
by(rule ext, simp add: StrictRefEq-int true-def false-def)

lemma *StrictRefEq-int-strictEq-valid-args-valid*:
 $(\tau \models \delta ((x::('A)Integer) \doteq y)) = ((\tau \models (v x)) \wedge (\tau \models v y))$
proof –
have A: $\tau \models \delta (x \doteq y) \implies \tau \models v x \wedge \tau \models v y$
apply(simp add: StrictRefEq-int valid-def OclValid-def defined-def)
apply(simp add: invalid-def bot-fun-def split: split-if-asm)
done
have B: $(\tau \models v x) \wedge (\tau \models v y) \implies \tau \models \delta (x \doteq y)$
apply(simp add: StrictRefEq-int, elim conjE)
apply(drule foundation13[THEN iffD2],drule foundation13[THEN iffD2])
apply(rule cp-validity[THEN iffD2])
apply(subst cp-defined, simp add: foundation22)
apply(simp add: cp-defined[symmetric] cp-validity[symmetric])
done
show ?thesis **by**(auto intro!: A B)
qed

lemma *StrictRefEq-bool-strict1*[simp] : $((x::('A)Boolean) \doteq invalid) = invalid$
by(rule ext, simp add: StrictRefEq-bool true-def false-def)

lemma *StrictRefEq-bool-strict2*[simp] : $(invalid \doteq (x::('A)Boolean)) = invalid$
by(rule ext, simp add: StrictRefEq-bool true-def false-def)

lemma *StrictRefEq-bool-strictEq-valid-args-valid*:
 $(\tau \models \delta ((x::('A)Boolean) \doteq y)) = ((\tau \models (v x)) \wedge (\tau \models v y))$
proof –
have A: $\tau \models \delta (x \doteq y) \implies \tau \models v x \wedge \tau \models v y$
apply(simp add: StrictRefEq-bool valid-def OclValid-def defined-def)
apply(simp add: invalid-def bot-fun-def split: split-if-asm)
done
have B: $(\tau \models v x) \wedge (\tau \models v y) \implies \tau \models \delta (x \doteq y)$
apply(simp add: StrictRefEq-bool, elim conjE)
apply(drule foundation13[THEN iffD2],drule foundation13[THEN iffD2])
apply(rule cp-validity[THEN iffD2])

```

    apply(subst cp-defined, simp add: foundation22)
    apply(simp add: cp-defined[symmetric] cp-validity[symmetric])
  done
  show ?thesis by(auto intro!: A B)
qed

```

```

lemma strictEqBool-vs-strongEq:
 $\tau \models (v\ x) \implies \tau \models (v\ y) \implies (\tau \models (((x::('A)\ Boolean) \doteq y) \triangleq (x \triangleq y)))$ 
  apply(simp add: StrictRefEq-bool OclValid-def)
  apply(subst cp-StrongEq)back
  by simp

```

```

lemma strictEqInt-vs-strongEq:
 $\tau \models (v\ x) \implies \tau \models (v\ y) \implies (\tau \models (((x::('A)\ Integer) \doteq y) \triangleq (x \triangleq y)))$ 
  apply(simp add: StrictRefEq-int OclValid-def)
  apply(subst cp-StrongEq)back
  by simp

```

```

lemma strictEqBool-defargs:
 $\tau \models ((x::('A)\ Boolean) \doteq y) \implies (\tau \models (v\ x)) \wedge (\tau \models (v\ y))$ 
  by(simp add: StrictRefEq-bool OclValid-def true-def invalid-def
    bot-option-def
    split: bool.split-asm HOL.split-if-asm)

```

```

lemma strictEqInt-defargs:
 $\tau \models ((x::('A)\ Integer) \doteq y) \implies (\tau \models (v\ x)) \wedge (\tau \models (v\ y))$ 
  by(simp add: StrictRefEq-int OclValid-def true-def invalid-def valid-def bot-option-def
    split: bool.split-asm HOL.split-if-asm)

```

```

lemma strictEqBool-valid-args-valid:
 $(\tau \models \delta((x::('A)\ Boolean) \doteq y)) = ((\tau \models (v\ x)) \wedge (\tau \models (v\ y)))$ 
  by(auto simp: StrictRefEq-bool OclValid-def true-def valid-def false-def StrongEq-def
    defined-def invalid-def null-fun-def bot-fun-def null-option-def bot-option-def
    split: bool.split-asm HOL.split-if-asm option.split)

```

```

lemma strictEqInt-valid-args-valid:
 $(\tau \models \delta((x::('A)\ Integer) \doteq y)) = ((\tau \models (v\ x)) \wedge (\tau \models (v\ y)))$ 
  by(auto simp: StrictRefEq-int OclValid-def true-def valid-def false-def StrongEq-def
    defined-def invalid-def null-fun-def bot-fun-def null-option-def bot-option-def
    split: bool.split-asm HOL.split-if-asm option.split)

```

```

lemma StrictRefEq-int-strict :
  assumes A:  $v\ (x::('A)\ Integer) = true$ 
  and      B:  $v\ y = true$ 
  shows     $v\ (x \doteq y) = true$ 

```

```

apply(insert A B)
apply(rule ext, simp add: StrongEq-def StrictRefEq-int true-def valid-def defined-def
      bot-fun-def bot-option-def)
done

```

```

lemma StrictRefEq-int-strict' :
  assumes A: v ((x::('A)Integer))  $\doteq$  y) = true
  shows      v x = true  $\wedge$  v y = true
  apply(insert A, rule conjI)
  apply(rule ext, drule-tac x=xa in fun-cong)
  prefer 2
  apply(rule ext, drule-tac x=xa in fun-cong)
  apply(simp-all add: StrongEq-def StrictRefEq-int
      false-def true-def valid-def defined-def)
  apply(case-tac y xa, auto)
  apply(simp-all add: true-def invalid-def bot-fun-def)
  done

```

```

lemma StrictRefEq-int-strict'' :  $\delta$  ((x::('A)Integer)  $\doteq$  y) = (v(x) and v(y))
by(auto intro!: transform2-rev defined-and-I simp: foundation10 strictEqInt-valid-args-valid)

```

```

lemma StrictRefEq-bool-strict'' :  $\delta$  ((x::('A)Boolean)  $\doteq$  y) = (v(x) and v(y))
by(auto intro!: transform2-rev defined-and-I simp: foundation10 strictEqBool-valid-args-valid)

```

```

lemma cp-StrictRefEq-bool:
  ((X::('A)Boolean)  $\doteq$  Y)  $\tau$  = (( $\lambda$  -. X  $\tau$ )  $\doteq$  ( $\lambda$  -. Y  $\tau$ ))  $\tau$ 
by(auto simp: StrictRefEq-bool StrongEq-def defined-def valid-def cp-defined[symmetric])

```

```

lemma cp-StrictRefEq-int:
  ((X::('A)Integer)  $\doteq$  Y)  $\tau$  = (( $\lambda$  -. X  $\tau$ )  $\doteq$  ( $\lambda$  -. Y  $\tau$ ))  $\tau$ 
by(auto simp: StrictRefEq-int StrongEq-def valid-def cp-defined[symmetric])

```

```

lemmas cp-intro[simp,intro!] =
  cp-intro
  cp-StrictRefEq-bool[THEN allI[THEN allI[THEN allI[THEN cpI2]], of StrictRefEq]]
  cp-StrictRefEq-int[THEN allI[THEN allI[THEN allI[THEN cpI2]], of StrictRefEq]]

```

```

definition ocl-zero :: ('A)Integer (0)
where      0 = ( $\lambda$  -. [| 0::int |])

```

```

definition ocl-one :: ('A)Integer (1)
where      1 = ( $\lambda$  -. [| 1::int |])

```


definition *ocl-two* :: (' \mathcal{A})Integer (2)
where **2** = (λ - . $\llbracket 2::int \rrbracket$)

definition *ocl-three* :: (' \mathcal{A})Integer (3)
where **3** = (λ - . $\llbracket 3::int \rrbracket$)

definition *ocl-four* :: (' \mathcal{A})Integer (4)
where **4** = (λ - . $\llbracket 4::int \rrbracket$)

definition *ocl-five* :: (' \mathcal{A})Integer (5)
where **5** = (λ - . $\llbracket 5::int \rrbracket$)

definition *ocl-six* :: (' \mathcal{A})Integer (6)
where **6** = (λ - . $\llbracket 6::int \rrbracket$)

definition *ocl-seven* :: (' \mathcal{A})Integer (7)
where **7** = (λ - . $\llbracket 7::int \rrbracket$)

definition *ocl-eight* :: (' \mathcal{A})Integer (8)
where **8** = (λ - . $\llbracket 8::int \rrbracket$)

definition *ocl-nine* :: (' \mathcal{A})Integer (9)
where **9** = (λ - . $\llbracket 9::int \rrbracket$)

definition *ocl-ten* :: (' \mathcal{A})Integer (10)
where **10** = (λ - . $\llbracket 10::int \rrbracket$)

Here is a way to cast in standard operators via the type class system of Isabelle.

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to "True".

4.1.3. Test Statements on Basic Types.

Elementary computations on Booleans

value $\tau_0 \models v(true)$
value $\tau_0 \models \delta(false)$
value $\neg(\tau_0 \models \delta(null))$
value $\neg(\tau_0 \models \delta(invalid))$
value $\tau_0 \models v((null::('A)Boolean))$
value $\neg(\tau_0 \models v(invalid))$
value $\tau_0 \models (true \text{ and } true)$
value $\tau_0 \models (true \text{ and } true \triangleq true)$
value $\tau_0 \models ((null \text{ or } null) \triangleq null)$
value $\tau_0 \models ((null \text{ or } null) \doteq null)$
value $\tau_0 \models ((true \triangleq false) \triangleq false)$
value $\tau_0 \models ((invalid \triangleq false) \triangleq false)$
value $\tau_0 \models ((invalid \doteq false) \triangleq invalid)$

Elementary computations on Integer

```

value  $\tau_0 \models v(4)$ 
value  $\tau_0 \models \delta(4)$ 
value  $\tau_0 \models v((null::('A)Integer))$ 
value  $\tau_0 \models (invalid \triangleq invalid)$ 
value  $\tau_0 \models (null \triangleq null)$ 
value  $\tau_0 \models (4 \triangleq 4)$ 
value  $\neg(\tau_0 \models (9 \triangleq 10))$ 
value  $\neg(\tau_0 \models (invalid \triangleq 10))$ 
value  $\neg(\tau_0 \models (null \triangleq 10))$ 
value  $\neg(\tau_0 \models (invalid \doteq (invalid::('A)Integer)))$ 
value  $\tau_0 \models (null \doteq (null::('A)Integer))$ 
value  $\tau_0 \models (null \doteq (null::('A)Integer))$ 
value  $\tau_0 \models (4 \doteq 4)$ 
value  $\neg(\tau_0 \models (4 \doteq 10))$ 

```

lemma $\delta(null::('A)Integer) = false$ **by** *simp*

lemma $v(null::('A)Integer) = true$ **by** *simp*

4.1.4. More algebraic and logical layer on basic types

lemma $[simp, code-unfold]: v\ 0 = true$
by (*simp add: ocl-zero-def valid-def true-def*
bot-fun-def bot-option-def null-fun-def null-option-def)

lemma $[simp, code-unfold]: \delta\ 1 = true$
by (*simp add: ocl-one-def defined-def true-def*
bot-fun-def bot-option-def null-fun-def null-option-def)

lemma $[simp, code-unfold]: v\ 1 = true$
by (*simp add: ocl-one-def valid-def true-def*
bot-fun-def bot-option-def null-fun-def null-option-def)

lemma $[simp, code-unfold]: \delta\ 2 = true$
by (*simp add: ocl-two-def defined-def true-def*
bot-fun-def bot-option-def null-fun-def null-option-def)

lemma $[simp, code-unfold]: v\ 2 = true$
by (*simp add: ocl-two-def valid-def true-def*
bot-fun-def bot-option-def null-fun-def null-option-def)

lemma $[simp, code-unfold]: v\ 6 = true$
by (*simp add: ocl-six-def valid-def true-def*
bot-fun-def bot-option-def null-fun-def null-option-def)

lemma $[simp, code-unfold]: v\ 8 = true$
by (*simp add: ocl-eight-def valid-def true-def*)

bot-fun-def bot-option-def null-fun-def null-option-def)

lemma *[simp,code-unfold]: v 9 = true*
by(*simp add:ocl-nine-def valid-def true-def*
bot-fun-def bot-option-def null-fun-def null-option-def)

lemma *zero-non-null [simp]: (0 ≐ null) = false*
by(*rule ext,auto simp:ocl-zero-def null-def StrictRefEq-int valid-def invalid-def*
bot-fun-def bot-option-def null-fun-def null-option-def StrongEq-def)

lemma *null-non-zero [simp]: (null ≐ 0) = false*
by(*rule ext,auto simp:ocl-zero-def null-def StrictRefEq-int valid-def invalid-def*
bot-fun-def bot-option-def null-fun-def null-option-def StrongEq-def)

lemma *one-non-null [simp]: (1 ≐ null) = false*
by(*rule ext,auto simp:ocl-one-def null-def StrictRefEq-int valid-def invalid-def*
bot-fun-def bot-option-def null-fun-def null-option-def StrongEq-def)

lemma *null-non-one [simp]: (null ≐ 1) = false*
by(*rule ext,auto simp:ocl-one-def null-def StrictRefEq-int valid-def invalid-def*
bot-fun-def bot-option-def null-fun-def null-option-def StrongEq-def)

lemma *two-non-null [simp]: (2 ≐ null) = false*
by(*rule ext,auto simp:ocl-two-def null-def StrictRefEq-int valid-def invalid-def*
bot-fun-def bot-option-def null-fun-def null-option-def StrongEq-def)

lemma *null-non-two [simp]: (null ≐ 2) = false*
by(*rule ext,auto simp:ocl-two-def null-def StrictRefEq-int valid-def invalid-def*
bot-fun-def bot-option-def null-fun-def null-option-def StrongEq-def)

Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of standard OCL for Isabelle- technical reasons; these operators are heavily overloaded in the library that a further overloading would lead to heavy technical buzz in this document...

definition *ocl-add-int :: ('A)Integer ⇒ ('A)Integer ⇒ ('A)Integer (infix ⊕ 40)*
where *x ⊕ y ≡ λ τ. if (δ x) τ = true τ ∧ (δ y) τ = true τ*
then [[⌊x τ⌋] + ⌊y τ⌋]]
else invalid τ

definition *ocl-less-int :: ('A)Integer ⇒ ('A)Integer ⇒ ('A)Boolean (infix < 40)*
where *x < y ≡ λ τ. if (δ x) τ = true τ ∧ (δ y) τ = true τ*
then [[⌊x τ⌋] < ⌊y τ⌋]]
else invalid τ

definition *ocl-le-int :: ('A)Integer ⇒ ('A)Integer ⇒ ('A)Boolean (infix ≤ 40)*
where *x ≤ y ≡ λ τ. if (δ x) τ = true τ ∧ (δ y) τ = true τ*
then [[⌊x τ⌋] ≤ ⌊y τ⌋]]
else invalid τ

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to "True".

```

value  $\tau_0 \models (9 \preceq 10)$ 
value  $\tau_0 \models ((4 \oplus 4) \preceq 10)$ 
value  $\neg(\tau_0 \models ((4 \oplus (4 \oplus 4)) \prec 10))$ 

```

4.2. Example for Complex Types: The Set-Collection Type

```

no-notation None ( $\perp$ )
notation bot ( $\perp$ )

```

4.2.1. The construction of the Set-Collection Type

For the semantic construction of the collection types, we have two goals:

1. we want the types to be *fully abstract*, i.e. the type should not contain junk-elements that are not representable by OCL expressions.
2. We want a possibility to nest collection types (so, we want the potential to talking about *Set(Set(Sequences(Pairs(X,Y))))*), and

The former principle rules out the option to define *' α Set* just by (*' \mathcal{A}* , (*' α option option*) *set*) *val*. This would allow sets to contain junk elements such as $\{\perp\}$ which we need to identify with undefinedness itself. Abandoning fully abstractness of rules would later on produce all sorts of problems when quantifying over the elements of a type. However, if we build an own type, then it must conform to our abstract interface in order to have nested types: arguments of type-constructors must conform to our abstract interface, and the result type too.

The core of an own type construction is done via a type definition which provides the raw-type *' α Set-0*. it is shown that this type "fits" indeed into the abstract type interface discussed in the previous section.

```

typedef ' $\alpha$  Set-0 = {X :: (' $\alpha$  :: null) set option option.
    X = bot  $\vee$  X = null  $\vee$  ( $\forall x \in [X]. x \neq bot$ )}
    by (rule-tac x=bot in exI, simp)

```

```

instantiation Set-0 :: (null)bot
begin

```

```

    definition bot-Set-0-def: (bot :: (' $\alpha$  :: null) Set-0)  $\equiv$  Abs-Set-0 None

```

```

instance proof show  $\exists x :: ' $\alpha$  Set-0. x \neq bot$ 
    apply(rule-tac x=Abs-Set-0 [None] in exI)
    apply(simp add:bot-Set-0-def)
    apply(subst Abs-Set-0-inject)
    apply(simp-all add: Set-0-def bot-Set-0-def
        null-option-def bot-option-def)
    done

```

```

      qed
end

instantiation Set-0 :: (null)null
begin

  definition null-Set-0-def: (null::('a::null) Set-0)  $\equiv$  Abs-Set-0 [ None ]

  instance proof show (null::('a::null) Set-0)  $\neq$  bot
    apply(simp add:null-Set-0-def bot-Set-0-def)
    apply(subst Abs-Set-0-inject)
    apply(simp-all add: Set-0-def bot-Set-0-def
      null-option-def bot-option-def)
    done
  qed
end

```

... and lifting this type to the format of a valuation gives us:

```

type-synonym ('A,' $\alpha$ ) Set = ('A, ' $\alpha$  Set-0) val

```

```

lemma Set-inv-lemma:  $\tau \models (\delta X) \implies (X \tau = \text{Abs-Set-0 [bot]})$ 
   $\vee (\forall x \in [\text{Rep-Set-0 } (X \tau)]. x \neq \text{bot})$ 
apply(insert OCL-lib.Set-0.Rep-Set-0 [of X  $\tau$ ], simp add:Set-0-def)
apply(auto simp: OclValid-def defined-def false-def true-def cp-def
  bot-fun-def bot-Set-0-def null-Set-0-def null-fun-def
  split:split-if-asm)
apply(erule contrapos-pp [of Rep-Set-0 (X  $\tau$ ) = bot])
apply(subst Abs-Set-0-inject[symmetric], simp add:Rep-Set-0)
apply(simp add: Set-0-def)
apply(simp add: Rep-Set-0-inverse bot-Set-0-def bot-option-def)
apply(erule contrapos-pp [of Rep-Set-0 (X  $\tau$ ) = null])
apply(subst Abs-Set-0-inject[symmetric], simp add:Rep-Set-0)
apply(simp add: Set-0-def)
apply(simp add: Rep-Set-0-inverse null-option-def)
done

lemma invalid-set-not-defined [simp,code-unfold]: $\delta(\text{invalid::('A,'}\alpha\text{:null) Set}) = \text{false}$  by simp
lemma null-set-not-defined [simp,code-unfold]: $\delta(\text{null::('A,'}\alpha\text{:null) Set}) = \text{false}$ 
by(simp add: defined-def null-fun-def)
lemma invalid-set-valid [simp,code-unfold]: $v(\text{invalid::('A,'}\alpha\text{:null) Set}) = \text{false}$ 
by simp
lemma null-set-valid [simp,code-unfold]: $v(\text{null::('A,'}\alpha\text{:null) Set}) = \text{true}$ 
apply(simp add: valid-def null-fun-def bot-fun-def bot-Set-0-def null-Set-0-def)
apply(subst Abs-Set-0-inject,simp-all add: Set-0-def null-option-def bot-option-def)
done

```

... which means that we can have a type ($'A, ('A, ('A \text{ Integer}) \text{ Set}) \text{ Set}$) corresponding exactly to $\text{Set}(\text{Set}(\text{Integer}))$ in OCL notation. Note that the parameter A still refers to

the object universe; making the OCL semantics entirely parametric in the object universe makes it possible to study (and prove) its properties independently from a concrete class diagram.

4.2.2. Constants on Sets

definition $mtSet::('A, 'α::null) Set (Set\{\})$
where $Set\{\} \equiv (\lambda \tau. Abs-Set-0 \llbracket \{\}::'α \text{ set} \rrbracket)$

lemma $mtSet-defined[simp, code-unfold]: \delta(Set\{\}) = true$
apply(rule ext, auto simp: mtSet-def defined-def null-Set-0-def
 bot-Set-0-def bot-fun-def null-fun-def)
apply(simp-all add: Abs-Set-0-inject Set-0-def bot-option-def null-Set-0-def null-option-def)
done

lemma $mtSet-valid[simp, code-unfold]: v(Set\{\}) = true$
apply(rule ext, auto simp: mtSet-def valid-def null-Set-0-def
 bot-Set-0-def bot-fun-def null-fun-def)
apply(simp-all add: Abs-Set-0-inject Set-0-def bot-option-def null-Set-0-def null-option-def)
done

Note that the collection types in OCL allow for null to be included; however, there is the null-collection into which inclusion yields invalid.

4.2.3. Library Operations on Sets

consts

$OclUnion :: [('A, 'α::null) Set, ('A, 'α) Set] \Rightarrow ('A, 'α) Set$
 $OclIntersection :: [('A, 'α::null) Set, ('A, 'α) Set] \Rightarrow ('A, 'α) Set$
 $OclIncludesAll :: [('A, 'α::null) Set, ('A, 'α) Set] \Rightarrow 'A Boolean$
 $OclExcludesAll :: [('A, 'α::null) Set, ('A, 'α) Set] \Rightarrow 'A Boolean$
 $OclComplement :: ('A, 'α::null) Set \Rightarrow ('A, 'α) Set$
 $OclSum :: ('A, 'α::null) Set \Rightarrow 'A Integer$
 $OclCount :: [('A, 'α::null) Set, ('A, 'α) Set] \Rightarrow 'A Integer$

notation

$OclCount \quad (\dashrightarrow count'(-) [66,65] 65)$

notation

$OclSum \quad (\dashrightarrow sum'(-) [66])$

notation

$OclIncludesAll \quad (\dashrightarrow includesAll'(-) [66,65] 65)$

notation

OclExcludesAll ($-->excludesAll'(-)$ [66,65]65)

notation

OclComplement ($-->complement'()$)

notation

OclUnion ($-->union'(-)$ [66,65]65)

notation

OclIntersection ($-->intersection'(-)$ [71,70]70)

definition *OclSize* $:: ('A, 'A::null) Set \Rightarrow 'A Integer$

where $OclSize\ x = (\lambda\ \tau. \text{if } (\delta\ x)\ \tau = \text{true } \tau \wedge \text{finite}([Rep\text{-}Set\text{-}0\ (x\ \tau)]))$
 $\text{then } \llbracket \text{int}(\text{card } [Rep\text{-}Set\text{-}0\ (x\ \tau)]) \rrbracket$
 $\text{else } \perp$)

notation

OclSize ($-->size'()$ [66])

definition *OclIncluding* $:: [('A, 'A::null) Set, ('A, 'A) val] \Rightarrow ('A, 'A) Set$

where $OclIncluding\ x\ y = (\lambda\ \tau. \text{if } (\delta\ x)\ \tau = \text{true } \tau \wedge (v\ y)\ \tau = \text{true } \tau$
 $\text{then } Abs\text{-}Set\text{-}0\ \llbracket [Rep\text{-}Set\text{-}0\ (x\ \tau)] \cup \{y\ \tau\} \rrbracket$
 $\text{else } \perp$)

notation *OclIncluding* ($-->including'(-)$)

definition *OclIncludes* $:: [('A, 'A::null) Set, ('A, 'A) val] \Rightarrow 'A Boolean$

where $OclIncludes\ x\ y = (\lambda\ \tau. \text{if } (\delta\ x)\ \tau = \text{true } \tau \wedge (v\ y)\ \tau = \text{true } \tau$
 $\text{then } \llbracket (y\ \tau) \in [Rep\text{-}Set\text{-}0\ (x\ \tau)] \rrbracket$
 $\text{else } \perp$)

notation *OclIncludes* ($-->includes'(-)$ [66,65]65)

definition *OclExcluding* $:: [('A, 'A::null) Set, ('A, 'A) val] \Rightarrow ('A, 'A) Set$

where $OclExcluding\ x\ y = (\lambda\ \tau. \text{if } (\delta\ x)\ \tau = \text{true } \tau \wedge (v\ y)\ \tau = \text{true } \tau$
 $\text{then } Abs\text{-}Set\text{-}0\ \llbracket [Rep\text{-}Set\text{-}0\ (x\ \tau)] - \{y\ \tau\} \rrbracket$
 $\text{else } \perp$)

notation *OclExcluding* ($-->excluding'(-)$)

definition *OclExcludes* $:: [('A, 'A::null) Set, ('A, 'A) val] \Rightarrow 'A Boolean$

where $OclExcludes\ x\ y = (\text{not}(OclIncludes\ x\ y))$

notation *OclExcludes* ($-->excludes'(-)$ [66,65]65)

The following definition follows the requirement of the standard to treat null as neutral element of sets. It is a well-documented exception from the general strictness rule and the rule that the distinguished argument self should be non-null.

definition *OclIsEmpty* $:: ('A, 'A::null) Set \Rightarrow 'A Boolean$

where $OclIsEmpty\ x = ((x \doteq null) \text{ or } ((OclSize\ x) \doteq 0))$

notation *OclIsEmpty* ($-->isEmpty'()$ [66])

definition *OclNotEmpty* $:: ('A, 'A::null) Set \Rightarrow 'A Boolean$

where $OclNotEmpty\ x = \text{not}(OclIsEmpty\ x)$

notation *OclNotEmpty* ($-->notEmpty'()$ [66])

definition $OclIterate_{Set} :: [(\mathfrak{A}, ' \alpha :: null) Set, (\mathfrak{A}, ' \beta :: null) val, (\mathfrak{A}, ' \alpha) val \Rightarrow (\mathfrak{A}, ' \beta) val \Rightarrow (\mathfrak{A}, ' \beta) val] \Rightarrow (\mathfrak{A}, ' \beta) val$
where $OclIterate_{Set} S A F = (\lambda \tau. \text{if } (\delta S) \tau = true \ \tau \wedge (v A) \tau = true \ \tau \wedge finite \llbracket Rep-Set-0 (S \ \tau) \rrbracket$

$\text{then } (Finite-Set.fold \ (F) \ (A) \ ((\lambda a \ \tau. a) \ ' \llbracket Rep-Set-0 (S \ \tau) \rrbracket)) \tau$
 $\text{else } \perp)$

syntax

$-OclIterate :: [(\mathfrak{A}, ' \alpha :: null) Set, idt, idt, ' \alpha, ' \beta] \Rightarrow (\mathfrak{A}, ' \gamma) val$
 $(- \rightarrow iterate'(-; == - \mid -') \ [71, 100, 70] 50)$

translations

$X \rightarrow iterate(a; x = A \mid P) == CONST \ OclIterate_{Set} \ X \ A \ (\%a. (\%x. P))$

lemma $OclIterate_{Set-strict1} [simp]: invalid \rightarrow iterate(a; x = A \mid P \ a \ x) = invalid$
by ($simp \ add: bot-fun-def \ invalid-def \ OclIterate_{Set-def} \ defined-def \ valid-def \ false-def \ true-def$)

lemma $OclIterate_{Set-null1} [simp]: null \rightarrow iterate(a; x = A \mid P \ a \ x) = invalid$
by ($simp \ add: bot-fun-def \ invalid-def \ OclIterate_{Set-def} \ defined-def \ valid-def \ false-def \ true-def$)

lemma $OclIterate_{Set-strict2} [simp]: S \rightarrow iterate(a; x = invalid \mid P \ a \ x) = invalid$
by ($simp \ add: bot-fun-def \ invalid-def \ OclIterate_{Set-def} \ defined-def \ valid-def \ false-def \ true-def$)

An open question is this ...

lemma $OclIterate_{Set-null2} [simp]: S \rightarrow iterate(a; x = null \mid P \ a \ x) = invalid$
oops

In the definition above, this does not hold in general. And I believe, this is how it should be ...

definition $OclForall :: [(\mathfrak{A}, ' \alpha :: null) Set, (\mathfrak{A}, ' \alpha) val \Rightarrow (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} \ Boolean$
where $OclForall S P = (\lambda \tau. \text{if } (\delta S) \tau = true \ \tau$
 $\text{then if } (\exists x \in \llbracket Rep-Set-0 (S \ \tau) \rrbracket. P(\lambda -. x) \ \tau = false \ \tau$
 $\text{then false } \tau$
 $\text{else if } (\exists x \in \llbracket Rep-Set-0 (S \ \tau) \rrbracket. P(\lambda -. x) \ \tau = \perp \ \tau$
 $\text{then } \perp \ \tau$
 $\text{else if } (\exists x \in \llbracket Rep-Set-0 (S \ \tau) \rrbracket. P(\lambda -. x) \ \tau = null \ \tau$
 $\text{then null } \tau$
 $\text{else true } \tau$
 $\text{else } \perp)$

syntax

$-OclForall :: [(\mathfrak{A}, ' \alpha :: null) Set, id, (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} \ Boolean \quad ((-) \rightarrow forall'(- \mid -'))$

translations

$X \rightarrow forall(x \mid P) == CONST \ OclForall \ X \ (\%x. P)$

definition $OclExists :: [(\mathfrak{A}, ' \alpha :: null) Set, (\mathfrak{A}, ' \alpha) val \Rightarrow (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} \ Boolean$
where $OclExists S P = not(OclForall S (\lambda X. not (P X)))$

syntax

$-OclExist :: [(\mathcal{A}, \alpha :: null) Set, id, (\mathcal{A}) Boolean] \Rightarrow \mathcal{A} Boolean \quad ((-) \rightarrow exists' (-|-))$
translations
 $X \rightarrow exists(x \mid P) == CONST OclExists X (\%x. P)$

lemma *cp-OclIncluding*:
 $(X \rightarrow including(x)) \tau = ((\lambda -. X \tau) \rightarrow including(\lambda -. x \tau)) \tau$
by(*auto simp: OclIncluding-def StrongEq-def invalid-def*
cp-defined[symmetric] cp-valid[symmetric])

lemma *cp-OclExcluding*:
 $(X \rightarrow excluding(x)) \tau = ((\lambda -. X \tau) \rightarrow excluding(\lambda -. x \tau)) \tau$
by(*auto simp: OclExcluding-def StrongEq-def invalid-def*
cp-defined[symmetric] cp-valid[symmetric])

lemma *cp-OclIncludes*:
 $(X \rightarrow includes(x)) \tau = (OclIncludes (\lambda -. X \tau) (\lambda -. x \tau) \tau)$
by(*auto simp: OclIncludes-def StrongEq-def invalid-def*
cp-defined[symmetric] cp-valid[symmetric])

lemma *cp-OclForall*:
 $(X \rightarrow forall(x \mid P x)) \tau = ((\lambda -. X \tau) \rightarrow forall(x \mid P (\lambda -. x \tau))) \tau$
by(*simp add: OclForall-def cp-defined[symmetric]*)

4.2.4. Logic and Algebraic Layer on Set Operations

lemma *including-strict1*[*simp,code-unfold*]:(*invalid* $\rightarrow including(x)$) = *invalid*
by(*simp add: bot-fun-def OclIncluding-def invalid-def defined-def valid-def false-def true-def*)

lemma *including-strict2*[*simp,code-unfold*]:(*X* $\rightarrow including(invalid)$) = *invalid*
by(*simp add: OclIncluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def*)

lemma *including-strict3*[*simp,code-unfold*]:(*null* $\rightarrow including(x)$) = *invalid*
by(*simp add: OclIncluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def*)

lemma *excluding-strict1*[*simp,code-unfold*]:(*invalid* $\rightarrow excluding(x)$) = *invalid*
by(*simp add: bot-fun-def OclExcluding-def invalid-def defined-def valid-def false-def true-def*)

lemma *excluding-strict2*[*simp,code-unfold*]:(*X* $\rightarrow excluding(invalid)$) = *invalid*
by(*simp add: OclExcluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def*)

lemma *excluding-strict3*[*simp,code-unfold*]:(*null* $\rightarrow excluding(x)$) = *invalid*
by(*simp add: OclExcluding-def invalid-def bot-fun-def defined-def valid-def false-def true-def*)

lemma *includes-strict1*[*simp,code-unfold*]:(*invalid* $\rightarrow includes(x)$) = *invalid*
by(*simp add: bot-fun-def OclIncludes-def invalid-def defined-def valid-def false-def true-def*)

lemma *includes-strict2*[simp,code-unfold]:($X \rightarrow \text{includes}(\text{invalid})$) = *invalid*
by(simp add: OclIncludes-def invalid-def bot-fun-def defined-def valid-def false-def true-def)

lemma *includes-strict3*[simp,code-unfold]:($\text{null} \rightarrow \text{includes}(x)$) = *invalid*
by(simp add: OclIncludes-def invalid-def bot-fun-def defined-def valid-def false-def true-def)

lemma *including-defined-args-valid*:

($\tau \models \delta(X \rightarrow \text{including}(x))$) = (($\tau \models (\delta X)$) \wedge ($\tau \models (v x)$))

proof –

have $A : \perp \in \text{Set-0}$ **by**(simp add: Set-0-def bot-option-def)

have $B : [\perp] \in \text{Set-0}$ **by**(simp add: Set-0-def null-option-def bot-option-def)

have $C : (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies [[\text{insert } (x \ \tau) \ [\text{Rep-Set-0 } (X \ \tau)]]] \in \text{Set-0}$

apply(frule Set-inv-lemma)

apply(simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def
foundation18 foundation16 invalid-def)

done

have $D : (\tau \models \delta(X \rightarrow \text{including}(x))) \implies ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

by(auto simp: OclIncluding-def OclValid-def true-def valid-def false-def StrongEq-def
defined-def invalid-def bot-fun-def null-fun-def

split: bool.split-asm HOL.split-if-asm option.split)

have $E : (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies (\tau \models \delta(X \rightarrow \text{including}(x)))$

apply(frule C, simp)

apply(auto simp: OclIncluding-def OclValid-def true-def false-def StrongEq-def
defined-def invalid-def valid-def bot-fun-def null-fun-def

split: bool.split-asm HOL.split-if-asm option.split)

apply(simp-all add: null-Set-0-def bot-Set-0-def bot-option-def)

apply(simp-all add: Abs-Set-0-inject A B bot-option-def[symmetric],
simp-all add: bot-option-def)

done

show ?thesis **by**(auto dest:D intro:E)

qed

lemma *including-valid-args-valid*:

($\tau \models v(X \rightarrow \text{including}(x))$) = (($\tau \models (\delta X)$) \wedge ($\tau \models (v x)$))

proof –

have $A : \perp \in \text{Set-0}$ **by**(simp add: Set-0-def bot-option-def)

have $B : [\perp] \in \text{Set-0}$ **by**(simp add: Set-0-def null-option-def bot-option-def)

have $C : (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies [[\text{insert } (x \ \tau) \ [\text{Rep-Set-0 } (X \ \tau)]]] \in \text{Set-0}$

apply(frule Set-inv-lemma)

apply(simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def
foundation18 foundation16 invalid-def)

done

have $D : (\tau \models v(X \rightarrow \text{including}(x))) \implies ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

by(auto simp: OclIncluding-def OclValid-def true-def valid-def false-def StrongEq-def

```

      defined-def invalid-def bot-fun-def null-fun-def
      split: bool.split-asm HOL.split-if-asm option.split)
have E: ( $\tau \models (\delta X)$ )  $\implies$  ( $\tau \models (v\ x)$ )  $\implies$  ( $\tau \models v(X \rightarrow \text{including}(x))$ )
  apply(frul C, simp)
  apply(auto simp: OclIncluding-def OclValid-def true-def false-def StrongEq-def
    defined-def invalid-def valid-def bot-fun-def null-fun-def
    split: bool.split-asm HOL.split-if-asm option.split)
  apply(simp-all add: null-Set-0-def bot-Set-0-def bot-option-def)
  apply(simp-all add: Abs-Set-0-inject A B bot-option-def[symmetric],
    simp-all add: bot-option-def)
  done
show ?thesis by(auto dest:D intro:E)
qed

lemma including-defined-args-valid'[simp,code-unfold]:
 $\delta(X \rightarrow \text{including}(x)) = ((\delta X) \text{ and } (v\ x))$ 
by(auto intro!: transform2-rev simp:including-defined-args-valid foundation10 defined-and-I)

lemma including-valid-args-valid''[simp,code-unfold]:
 $v(X \rightarrow \text{including}(x)) = ((\delta X) \text{ and } (v\ x))$ 
by(auto intro!: transform2-rev simp:including-valid-args-valid foundation10 defined-and-I)

lemma excluding-defined-args-valid:
( $\tau \models \delta(X \rightarrow \text{excluding}(x))$ ) = (( $\tau \models (\delta X)$ )  $\wedge$  ( $\tau \models (v\ x)$ ))
proof -
  have A :  $\perp \in \text{Set-0}$  by(simp add: Set-0-def bot-option-def)
  have B :  $\lfloor \perp \rfloor \in \text{Set-0}$  by(simp add: Set-0-def null-option-def bot-option-def)
  have C : ( $\tau \models (\delta X)$ )  $\implies$  ( $\tau \models (v\ x)$ )  $\implies$   $\lfloor \lfloor [\text{Rep-Set-0 } (X\ \tau)] \rfloor - \{x\ \tau\} \rfloor \in \text{Set-0}$ 
    apply(frul Set-inv-lemma)
    apply(simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def
      foundation18 foundation16 invalid-def)
  done
  have D: ( $\tau \models \delta(X \rightarrow \text{excluding}(x))$ )  $\implies$  (( $\tau \models (\delta X)$ )  $\wedge$  ( $\tau \models (v\ x)$ ))
    by(auto simp: OclExcluding-def OclValid-def true-def valid-def false-def StrongEq-def
      defined-def invalid-def bot-fun-def null-fun-def
      split: bool.split-asm HOL.split-if-asm option.split)
  have E: ( $\tau \models (\delta X)$ )  $\implies$  ( $\tau \models (v\ x)$ )  $\implies$  ( $\tau \models \delta(X \rightarrow \text{excluding}(x))$ )
    apply(frul C, simp)
    apply(auto simp: OclExcluding-def OclValid-def true-def false-def StrongEq-def
      defined-def invalid-def valid-def bot-fun-def null-fun-def
      split: bool.split-asm HOL.split-if-asm option.split)
    apply(simp-all add: null-Set-0-def bot-Set-0-def bot-option-def)
    apply(simp-all add: Abs-Set-0-inject A B bot-option-def[symmetric],
      simp-all add: bot-option-def)
  done
show ?thesis by(auto dest:D intro:E)
qed

```

lemma *excluding-valid-args-valid*:

$(\tau \models v(X \rightarrow \text{excluding}(x))) = ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

proof –

have $A : \perp \in \text{Set-0}$ **by** (*simp add: Set-0-def bot-option-def*)

have $B : \lfloor \perp \rfloor \in \text{Set-0}$ **by** (*simp add: Set-0-def null-option-def bot-option-def*)

have $C : (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies \lfloor \lfloor [\text{Rep-Set-0 } (X \ \tau)] \rfloor - \{x \ \tau\} \rfloor \in \text{Set-0}$
apply (*frule Set-inv-lemma*)

apply (*simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def*
foundation18 foundation16 invalid-def)

done

have $D : (\tau \models v(X \rightarrow \text{excluding}(x))) \implies ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

by (*auto simp: OclExcluding-def OclValid-def true-def valid-def false-def StrongEq-def*
defined-def invalid-def bot-fun-def null-fun-def
split: bool.split-asm HOL.split-if-asm option.split)

have $E : (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies (\tau \models v(X \rightarrow \text{excluding}(x)))$

apply (*frule C, simp*)

apply (*auto simp: OclExcluding-def OclValid-def true-def false-def StrongEq-def*
defined-def invalid-def valid-def bot-fun-def null-fun-def
split: bool.split-asm HOL.split-if-asm option.split)

apply (*simp-all add: null-Set-0-def bot-Set-0-def bot-option-def*)

apply (*simp-all add: Abs-Set-0-inject A B bot-option-def[symmetric],*
simp-all add: bot-option-def)

done

show *?thesis* **by** (*auto dest:D intro:E*)

qed

lemma *excluding-valid-args-valid'[simp,code-unfold]*:

$\delta(X \rightarrow \text{excluding}(x)) = ((\delta X) \text{ and } (v x))$

by (*auto intro!: transform2-rev simp: excluding-defined-args-valid foundation10 defined-and-I*)

lemma *excluding-valid-args-valid''[simp,code-unfold]*:

$v(X \rightarrow \text{excluding}(x)) = ((\delta X) \text{ and } (v x))$

by (*auto intro!: transform2-rev simp: excluding-valid-args-valid foundation10 defined-and-I*)

lemma *includes-defined-args-valid*:

$(\tau \models \delta(X \rightarrow \text{includes}(x))) = ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

proof –

have $A : (\tau \models \delta(X \rightarrow \text{includes}(x))) \implies ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

by (*auto simp: OclIncludes-def OclValid-def true-def valid-def false-def StrongEq-def*
defined-def invalid-def bot-fun-def null-fun-def
split: bool.split-asm HOL.split-if-asm option.split)

have $B : (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies (\tau \models \delta(X \rightarrow \text{includes}(x)))$

by (*auto simp: OclIncludes-def OclValid-def true-def false-def StrongEq-def*)

```

defined-def invalid-def valid-def bot-fun-def null-fun-def
bot-option-def null-option-def
split: bool.split-asm HOL.split-if-asm option.split)
show ?thesis by(auto dest:A intro:B)
qed

lemma includes-valid-args-valid:
( $\tau \models v(X \rightarrow \text{includes}(x))$ ) = ( $(\tau \models (\delta X)) \wedge (\tau \models (v x))$ )
proof -
have A: ( $\tau \models v(X \rightarrow \text{includes}(x))$ )  $\implies$  ( $(\tau \models (\delta X)) \wedge (\tau \models (v x))$ )
by(auto simp: OclIncludes-def OclValid-def true-def valid-def false-def StrongEq-def
defined-def invalid-def bot-fun-def null-fun-def
split: bool.split-asm HOL.split-if-asm option.split)
have B: ( $\tau \models (\delta X)$ )  $\implies$  ( $\tau \models (v x)$ )  $\implies$  ( $\tau \models v(X \rightarrow \text{includes}(x))$ )
by(auto simp: OclIncludes-def OclValid-def true-def false-def StrongEq-def
defined-def invalid-def valid-def bot-fun-def null-fun-def
bot-option-def null-option-def
split: bool.split-asm HOL.split-if-asm option.split)
show ?thesis by(auto dest:A intro:B)
qed

lemma includes-valid-args-valid'[simp,code-unfold]:
 $\delta(X \rightarrow \text{includes}(x)) = ((\delta X) \text{ and } (v x))$ 
by(auto intro!: transform2-rev simp:includes-defined-args-valid foundation10 defined-and-I)

```

```

lemma includes-valid-args-valid''[simp,code-unfold]:
 $v(X \rightarrow \text{includes}(x)) = ((\delta X) \text{ and } (v x))$ 
by(auto intro!: transform2-rev simp:includes-valid-args-valid foundation10 defined-and-I)

```

Some computational laws:

```

lemma including-chn0[simp]:
assumes val-x: $\tau \models (v x)$ 
shows  $\tau \models \text{not}(\text{Set}\{\} \rightarrow \text{includes}(x))$ 
using val-x
apply(auto simp: OclValid-def OclIncludes-def not-def false-def true-def)
apply(auto simp: mtSet-def OCL-lib.Set-0.Abs-Set-0-inverse Set-0-def)
done

```

```

lemma including-chn0'[simp,code-unfold]:
 $\text{Set}\{\} \rightarrow \text{includes}(x) = (\text{if } v x \text{ then false else invalid endif})$ 
proof -
have A:  $\bigwedge \tau. (\text{Set}\{\} \rightarrow \text{includes}(\text{invalid})) \tau = (\text{if } (v \text{ invalid}) \text{ then false else invalid endif}) \tau$ 
by simp
have B:  $\bigwedge \tau x. \tau \models (v x) \implies (\text{Set}\{\} \rightarrow \text{includes}(x)) \tau = (\text{if } v x \text{ then false else invalid endif}) \tau$ 
 $\tau$ 
apply(frule including-chn0, simp add: OclValid-def)
apply(rule foundation21[THEN fun-cong, simplified StrongEq-def,simplified],

```

```

      THEN iffD1, of - - false])
    by simp
  show ?thesis
    apply(rule ext, rename-tac  $\tau$ )
    apply(case-tac  $\tau \models (v\ x)$ )
    apply(simp-all add: B foundation18)
    apply(subst cp-OclIncludes, simp add: cp-OclIncludes[symmetric] A)
  done
qed

lemma including-cha1:
  assumes def-X: $\tau \models (\delta\ X)$ 
  assumes val-x: $\tau \models (v\ x)$ 
  shows  $\tau \models (X \rightarrow \text{including}(x) \rightarrow \text{includes}(x))$ 
  proof -
    have A :  $\perp \in \text{Set-0}$  by(simp add: Set-0-def bot-option-def)
    have B :  $\lfloor \perp \rfloor \in \text{Set-0}$  by(simp add: Set-0-def null-option-def bot-option-def)
    have C :  $\lfloor \lfloor \text{insert } (x\ \tau) \rfloor \rfloor \text{Rep-Set-0 } (X\ \tau) \rfloor \rfloor \in \text{Set-0}$ 
      apply(insert def-X[THEN foundation17] val-x[THEN foundation19] Set-inv-lemma[OF
def-X])
      apply(simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def invalid-def)
    done
  show ?thesis
    apply(insert def-X[THEN foundation17] val-x[THEN foundation19])
    apply(auto simp: OclValid-def bot-fun-def OclIncluding-def OclIncludes-def false-def true-def
      invalid-def defined-def valid-def
      bot-Set-0-def null-fun-def null-Set-0-def bot-option-def)
    apply(simp-all add: Abs-Set-0-inject A B C bot-option-def[symmetric],
      simp-all add: bot-option-def Abs-Set-0-inverse C)
  done
qed

```

```

lemma including-cha2:
  assumes def-X: $\tau \models (\delta\ X)$ 
  and val-x: $\tau \models (v\ x)$ 
  and val-y: $\tau \models (v\ y)$ 
  and neq : $\tau \models \text{not}(x \triangleq y)$ 
  shows  $\tau \models (X \rightarrow \text{including}(x) \rightarrow \text{includes}(y)) \triangleq (X \rightarrow \text{includes}(y))$ 
  proof -
    have A :  $\perp \in \text{Set-0}$  by(simp add: Set-0-def bot-option-def)
    have B :  $\lfloor \perp \rfloor \in \text{Set-0}$  by(simp add: Set-0-def null-option-def bot-option-def)
    have C :  $\lfloor \lfloor \text{insert } (x\ \tau) \rfloor \rfloor \text{Rep-Set-0 } (X\ \tau) \rfloor \rfloor \in \text{Set-0}$ 
      apply(insert def-X[THEN foundation17] val-x[THEN foundation19] Set-inv-lemma[OF
def-X])
      apply(simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def invalid-def)
    done
  
```

```

have D : y  $\tau$   $\neq$  x  $\tau$ 
  apply(insert neg)
  by(auto simp: OclValid-def bot-fun-def OclIncluding-def OclIncludes-def
    false-def true-def defined-def valid-def bot-Set-0-def
    null-fun-def null-Set-0-def StrongEq-def not-def)
show ?thesis
  apply(insert def-X[THEN foundation17] val-x[THEN foundation19])
  apply(auto simp: OclValid-def bot-fun-def OclIncluding-def OclIncludes-def false-def true-def
    invalid-def defined-def valid-def bot-Set-0-def null-fun-def null-Set-0-def
    StrongEq-def)
  apply(simp-all add: Abs-Set-0-inject Abs-Set-0-inverse A B C D)
  apply(simp-all add: Abs-Set-0-inject A B C bot-option-def[symmetric],
    simp-all add: bot-option-def Abs-Set-0-inverse C)
done
qed

```

One would like a generic theorem of the form:

```

lemma includes_execute[code_unfold]:
"(X->including(x)->includes(y)) = (if \<delta> X then if x \<doteq> y
                                then true
                                else X->includes(y)
                                endif
  else invalid endif)"

```

Unfortunately, this does not hold in general, since referential equality is an overloaded concept and has to be defined for each type individually. Consequently, it is only valid for concrete type instances for Boolean, Integer, and Sets thereof..

The computational law `includes_execute` becomes generic since it uses strict equality which in itself is generic. It is possible to prove the following generic theorem and instantiate it if a number of properties that link the polymorphic logical, Strong Equality with the concrete instance of strict quality.

```

lemma includes_execute-generic:
assumes strict1: (x  $\doteq$  invalid) = invalid
and      strict2: (invalid  $\doteq$  y) = invalid
and      cp-StrictRefEq:  $\bigwedge$  (X::('A,'a::null)val) Y  $\tau$ . (X  $\doteq$  Y)  $\tau$  = (( $\lambda$ -. X  $\tau$ )  $\doteq$  ( $\lambda$ -. Y  $\tau$ ))  $\tau$ 
and      strictEq-vs-strongEq:  $\bigwedge$  (x::('A,'a::null)val) y  $\tau$ .
                                 $\tau \models v x \implies \tau \models v y \implies (\tau \models ((x \doteq y) \triangleq (x \triangleq y)))$ 
shows
  (X->including(x::('A,'a::null)val)->includes(y)) =
    (if  $\delta$  X then if x  $\doteq$  y then true else X->includes(y) endif else invalid endif)
proof -
  have A:  $\bigwedge \tau$ .  $\tau \models (X \triangleq \text{invalid}) \implies$ 
    (X->including(x)->includes(y))  $\tau$  = invalid  $\tau$ 
  apply(subst cp-OclIncludes, subst cp-OclIncluding)
  apply(drule foundation22[THEN iffD1], simp)

```

```

    apply(simp only: cp-OclIncluding[symmetric] cp-OclIncludes[symmetric])
  by simp
have B:  $\bigwedge \tau. \tau \models (X \triangleq \text{null}) \implies$ 
  ( $X \rightarrow \text{including}(x) \rightarrow \text{includes}(y)$ )  $\tau = \text{invalid } \tau$ 
  apply(subst cp-OclIncludes, subst cp-OclIncluding)
  apply(drule foundation22[THEN iffD1], simp)
  apply(simp only: cp-OclIncluding[symmetric] cp-OclIncludes[symmetric])
  by simp
have C:  $\bigwedge \tau. \tau \models (x \triangleq \text{invalid}) \implies$ 
  ( $X \rightarrow \text{including}(x) \rightarrow \text{includes}(y)$ )  $\tau =$ 
  ( $\text{if } x \doteq y \text{ then true else } X \rightarrow \text{includes}(y) \text{ endif}$ )  $\tau$ 
  apply(subst cp-if-ocl, subst cp-StrictRefEq)
  apply(subst cp-OclIncludes, subst cp-OclIncluding)
  apply(drule foundation22[THEN iffD1], simp)
  apply(simp only: cp-if-ocl[symmetric] cp-OclIncluding[symmetric]
    cp-StrictRefEq[symmetric] cp-OclIncludes[symmetric] )
  by (simp add: strict2)
have D:  $\bigwedge \tau. \tau \models (y \triangleq \text{invalid}) \implies$ 
  ( $X \rightarrow \text{including}(x) \rightarrow \text{includes}(y)$ )  $\tau =$ 
  ( $\text{if } x \doteq y \text{ then true else } X \rightarrow \text{includes}(y) \text{ endif}$ )  $\tau$ 
  apply(subst cp-if-ocl, subst cp-StrictRefEq)
  apply(subst cp-OclIncludes, subst cp-OclIncluding)
  apply(drule foundation22[THEN iffD1], simp)
  apply(simp only: cp-if-ocl[symmetric] cp-OclIncluding[symmetric]
    cp-StrictRefEq[symmetric] cp-OclIncludes[symmetric] )
  by (simp add: strict1)
have E:  $\bigwedge \tau. \tau \models v \ x \implies \tau \models v \ y \implies$ 
  ( $\text{if } x \doteq y \text{ then true else } X \rightarrow \text{includes}(y) \text{ endif}$ )  $\tau =$ 
  ( $\text{if } x \triangleq y \text{ then true else } X \rightarrow \text{includes}(y) \text{ endif}$ )  $\tau$ 
  apply(subst cp-if-ocl)
  apply(subst strictEq-vs-strongEq[THEN foundation22[THEN iffD1]])
  by(simp-all add: cp-if-ocl[symmetric])
have F:  $\bigwedge \tau. \tau \models (x \triangleq y) \implies$ 
  ( $X \rightarrow \text{including}(x) \rightarrow \text{includes}(y)$ )  $\tau = (X \rightarrow \text{including}(x) \rightarrow \text{includes}(x)) \tau$ 
  apply(subst cp-OclIncludes)
  apply(drule foundation22[THEN iffD1], drule sym, simp)
  by(simp add: cp-OclIncludes[symmetric])
show ?thesis
  apply(rule ext, rename-tac  $\tau$ )
  apply(case-tac  $\neg (\tau \models (\delta \ X))$ , simp add: def-split-local, elim disjE A B)
  apply(case-tac  $\neg (\tau \models (v \ x))$ ,
    simp add: foundation18 foundation22[symmetric],
    drule StrongEq-L-sym)
  apply(simp add: foundation22 C)
  apply(case-tac  $\neg (\tau \models (v \ y))$ ,
    simp add: foundation18 foundation22[symmetric],
    drule StrongEq-L-sym, simp add: foundation22 D, simp)
  apply(subst E, simp-all)
  apply(case-tac  $\tau \models \text{not}(x \triangleq y)$ )

```



```

apply(simp add: including-chn2[simplified foundation22])
apply(simp add: foundation9 F
      including-chn1[THEN foundation13[THEN iffD2],
      THEN foundation22[THEN iffD1]])
done
qed

```

4.2.5. Strict Equality on Sets

This section of foundational operations on sets is closed with a paragraph on equality. Strong Equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

```

defs StrictRefEq-set :
  (x::('A,'α::null)Set) ≐ y ≡ λ τ. if (v x) τ = true τ ∧ (v y) τ = true τ
    then (x ≐ y)τ
    else invalid τ

```

```

lemma RefEq-set-refl[simp,code-unfold]:
  ((x::('A,'α::null)Set) ≐ x) = (if (v x) then true else invalid endif)
by(rule ext, simp add: StrictRefEq-set if-ocl-def)

```

```

lemma StrictRefEq-set-strict1: ((x::('A,'α::null)Set) ≐ invalid) = invalid
by(simp add:StrictRefEq-set false-def true-def)

```

```

lemma StrictRefEq-set-strict2: (invalid ≐ (y::('A,'α::null)Set)) = invalid
by(simp add:StrictRefEq-set false-def true-def)

```

```

lemma StrictRefEq-set-strictEq-valid-args-valid:
  (τ ⊨ δ ((x::('A,'α::null)Set) ≐ y)) = ((τ ⊨ (v x)) ∧ (τ ⊨ v y))

```

proof –

```

have A: τ ⊨ δ (x ≐ y) ⇒ τ ⊨ v x ∧ τ ⊨ v y
  apply(simp add: StrictRefEq-set valid-def OclValid-def defined-def)
  apply(simp add: invalid-def bot-fun-def split: split-if-asm)
  done
have B: (τ ⊨ v x) ∧ (τ ⊨ v y) ⇒ τ ⊨ δ (x ≐ y)
  apply(simp add: StrictRefEq-set, elim conjE)
  apply(drule foundation13[THEN iffD2],drule foundation13[THEN iffD2])
  apply(rule cp-validity[THEN iffD2])
  apply(subst cp-defined, simp add: foundation22)
  apply(simp add: cp-defined[symmetric] cp-validity[symmetric])
  done
show ?thesis by(auto intro!: A B)
qed

```

```

lemma cp-StrictRefEq-set:((X::('A,'α::null)Set) ≐ Y) τ = ((λ-. X τ) ≐ (λ-. Y τ)) τ
by(simp add:StrictRefEq-set cp-StrongEq[symmetric] cp-valid[symmetric])

```

lemma *strictRefEq-set-vs-strongEq*:
 $\tau \models v \ x \implies \tau \models v \ y \implies (\tau \models ((x::(\mathfrak{A}, \alpha::\text{null})\text{Set}) \doteq y) \triangleq (x \triangleq y)))$
apply(*drule foundation13[THEN iffD2], drule foundation13[THEN iffD2]*)
by(*simp add:StrictRefEq-set foundation22*)

4.2.6. Algebraic Properties on Strict Equality on Sets

One might object here that for the case of objects, this is an empty definition. The answer is no, we will restrain later on states and objects such that any object has its id stored inside the object (so the ref, under which an object can be referenced in the store will be represented in the object itself). For such well-formed stores that satisfy this invariant (the WFF - invariant), the referential equality and the strong equality — and therefore the strict equality on sets in the sense above) coincides.

To become operational, we derive:

lemma *StrictRefEq-set-refl* :
 $((x::(\mathfrak{A}, \alpha::\text{null})\text{Set}) \doteq x) = (\text{if } (v \ x) \text{ then true else invalid endif})$
by(*rule ext, simp add: StrictRefEq-set if-ocl-def*)

The key for an operational definition is *OclForall* given below.

The case of the size definition is somewhat special, we admit explicitly in Essential OCL the possibility of infinite sets. For the size definition, this requires an extra condition that assures that the cardinality of the set is actually a defined integer.

schematic-lemma *includes-execute-int[code-unfold]: ?X*
by(*rule includes-execute-generic[OF StrictRefEq-int-strict1 StrictRefEq-int-strict2*
cp-StrictRefEq-int
strictEqInt-vs-strongEq], simp-all)

schematic-lemma *includes-execute-bool[code-unfold]: ?X*
by(*rule includes-execute-generic[OF StrictRefEq-bool-strict1 StrictRefEq-bool-strict2*
cp-StrictRefEq-bool
strictEqBool-vs-strongEq], simp-all)

schematic-lemma *includes-execute-set[code-unfold]: ?X*
by(*rule includes-execute-generic[OF StrictRefEq-set-strict1 StrictRefEq-set-strict2*
cp-StrictRefEq-set
strictRefEq-set-vs-strongEq], simp-all)

lemma *excluding-cha0[simp]*:
assumes *val-x:τ ⊨ (v x)*
shows $\tau \models ((\text{Set}\{x\} \rightarrow \text{excluding}(x)) \triangleq \text{Set}\{\})$

```

proof –
  have  $A : \lfloor \text{None} \rfloor \in \text{Set-0}$  by (simp add: Set-0-def null-option-def bot-option-def)
  have  $B : \lfloor \lfloor \{\} \rfloor \rfloor \in \text{Set-0}$  by (simp add: Set-0-def bot-option-def)
  show ?thesis using val-x
    apply (auto simp: OclValid-def OclIncludes-def not-def false-def true-def StrongEq-def
      OclExcluding-def mtSet-def defined-def bot-fun-def null-fun-def null-Set-0-def)
    apply (auto simp: mtSet-def Set-0-def OCL-lib.Set-0.Abs-Set-0-inverse
      OCL-lib.Set-0.Abs-Set-0-inject[OF B, OF A])
  done
qed

```

lemma *excluding-chn0-exec*[code-unfold]:

$(\text{Set}\{\}->\text{excluding}(x)) = (\text{if } (v\ x) \text{ then } \text{Set}\{\} \text{ else } \text{invalid } \text{endif})$

proof –

```

  have  $A : \bigwedge \tau. (\text{Set}\{\}->\text{excluding}(\text{invalid})) \tau = (\text{if } (v\ \text{invalid}) \text{ then } \text{Set}\{\} \text{ else } \text{invalid } \text{endif})$ 
   $\tau$ 
    by simp
  have  $B : \bigwedge \tau\ x. \tau \models (v\ x) \implies (\text{Set}\{\}->\text{excluding}(x)) \tau = (\text{if } (v\ x) \text{ then } \text{Set}\{\} \text{ else } \text{invalid } \text{endif}) \tau$ 
    by (simp add: excluding-chn0[THEN foundation22[THEN iffD1]])
  show ?thesis
    apply (rule ext, rename-tac  $\tau$ )
    apply (case-tac  $\tau \models (v\ x)$ )
    apply (simp add: B)
    apply (simp add: foundation18)
    apply (subst cp-OclExcluding, simp)
    apply (simp add: cp-if-ocl[symmetric] cp-OclExcluding[symmetric] cp-valid[symmetric] A)
  done
qed

```

lemma *excluding-chn1*:

assumes $\text{def-X}:\tau \models (\delta\ X)$

and $\text{val-x}:\tau \models (v\ x)$

and $\text{val-y}:\tau \models (v\ y)$

and $\text{neq}:\tau \models \text{not}(x \triangleq y)$

shows $\tau \models ((X->\text{including}(x))->\text{excluding}(y)) \triangleq ((X->\text{excluding}(y))->\text{including}(x))$

proof –

```

  have  $A : \perp \in \text{Set-0}$  by (simp add: Set-0-def bot-option-def)
  have  $B : \lfloor \perp \rfloor \in \text{Set-0}$  by (simp add: Set-0-def null-option-def bot-option-def)
  have  $C : \lfloor \lfloor \text{insert } (x\ \tau) \lfloor \lfloor \text{Rep-Set-0 } (X\ \tau) \rfloor \rfloor \rfloor \rfloor \in \text{Set-0}$ 
    apply (insert def-X[THEN foundation17] val-x[THEN foundation19] Set-inv-lemma[OF
      def-X])
    apply (simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def invalid-def)
    done
  have  $D : \lfloor \lfloor \lfloor \text{Rep-Set-0 } (X\ \tau) \rfloor \rfloor - \{y\ \tau\} \rfloor \rfloor \in \text{Set-0}$ 
    apply (insert def-X[THEN foundation17] val-x[THEN foundation19] Set-inv-lemma[OF
      def-X])
    apply (simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def invalid-def)

```

```

done
have E : x  $\tau$   $\neq$  y  $\tau$ 
  apply(insert neg)
  by(auto simp: OclValid-def bot-fun-def OclIncluding-def OclIncludes-def
    false-def true-def defined-def valid-def bot-Set-0-def
    null-fun-def null-Set-0-def StrongEq-def not-def)
have G : ( $\delta$  ( $\lambda$ -. Abs-Set-0  $\llbracket$ insert (x  $\tau$ )  $\llbracket$ Rep-Set-0 (X  $\tau$ ) $\rrbracket$  $\rrbracket$ ))  $\tau$  = true  $\tau$ 
  apply(auto simp: OclValid-def false-def true-def defined-def
    bot-fun-def bot-Set-0-def null-fun-def null-Set-0-def )
  by(simp-all add: Abs-Set-0-inject A B C bot-option-def[symmetric],
    simp-all add: bot-option-def)
have H : ( $\delta$  ( $\lambda$ -. Abs-Set-0  $\llbracket$  $\llbracket$ Rep-Set-0 (X  $\tau$ ) $\rrbracket$  - {y  $\tau$ } $\rrbracket$ ))  $\tau$  = true  $\tau$ 
  apply(auto simp: OclValid-def false-def true-def defined-def
    bot-fun-def bot-Set-0-def null-fun-def null-Set-0-def )
  by(simp-all add: Abs-Set-0-inject A B D bot-option-def[symmetric],
    simp-all add: bot-option-def)
have Z:insert (x  $\tau$ )  $\llbracket$ Rep-Set-0 (X  $\tau$ ) $\rrbracket$  - {y  $\tau$ } = insert (x  $\tau$ ) ( $\llbracket$ Rep-Set-0 (X  $\tau$ ) $\rrbracket$  - {y
 $\tau$ })
  by(auto simp: E)
show ?thesis
  apply(insert def-X[THEN foundation13[THEN iffD2]] val-x[THEN foundation13[THEN
iffD2]]
    val-y[THEN foundation13[THEN iffD2]])
  apply(simp add: foundation22 OclIncluding-def OclExcluding-def def-X[THEN foundation17])
  apply(subst cp-defined, simp) apply(subst cp-defined, simp)
  apply(subst cp-defined, simp) apply(subst cp-defined, simp)
  apply(subst cp-defined, simp)
  apply(simp add: G H Abs-Set-0-inverse[OF C] Abs-Set-0-inverse[OF D] Z)
done
qed

lemma excluding-charn2:
assumes def-X: $\tau \models (\delta X)$ 
and val-x: $\tau \models (v x)$ 
shows  $\tau \models (((X \rightarrow \text{including}(x)) \rightarrow \text{excluding}(x)) \triangleq (X \rightarrow \text{excluding}(x)))$ 
proof -
have A :  $\perp \in \text{Set-0}$  by(simp add: Set-0-def bot-option-def)
have B :  $\llbracket \perp \rrbracket \in \text{Set-0}$  by(simp add: Set-0-def null-option-def bot-option-def)
have C :  $\llbracket$ insert (x  $\tau$ )  $\llbracket$ Rep-Set-0 (X  $\tau$ ) $\rrbracket$  $\rrbracket \in \text{Set-0}$ 
  apply(insert def-X[THEN foundation17] val-x[THEN foundation19] Set-inv-lemma[OF
def-X])
  apply(simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def invalid-def)
done
show ?thesis
  apply(insert def-X[THEN foundation17] val-x[THEN foundation19])
  apply(auto simp: OclValid-def bot-fun-def OclIncluding-def OclIncludes-def false-def true-def
    invalid-def defined-def valid-def bot-Set-0-def null-fun-def null-Set-0-def
    StrongEq-def)
  apply(subst cp-OclExcluding) back

```

```

apply(auto simp: OclExcluding-def)
apply(simp add: Abs-Set-0-inverse[OF C])
apply(simp-all add: false-def true-def defined-def valid-def
      null-fun-def bot-fun-def null-Set-0-def bot-Set-0-def
      split: bool.split-asm HOL.split-if-asm option.split)
apply(simp-all add: Abs-Set-0-inject A B C bot-option-def[symmetric],
      simp-all add: bot-option-def Abs-Set-0-inverse C)
done
qed

lemma excluding-chaen-exec[code-unfold]:
assumes strict1: (x ≐ invalid) = invalid
and strict2: (invalid ≐ y) = invalid
and strictEq-valid-args-valid:  $\bigwedge (x::('A, 'a::null)val) y \tau.$ 
       $(\tau \models \delta (x \doteq y)) = ((\tau \models (v x)) \wedge (\tau \models v y))$ 
and cp-StrictRefEq:  $\bigwedge (X::('A, 'a::null)val) Y \tau. (X \doteq Y) \tau = ((\lambda-. X \tau) \doteq (\lambda-. Y \tau)) \tau$ 
and strictEq-vs-strongEq:  $\bigwedge (x::('A, 'a::null)val) y \tau.$ 
       $\tau \models v x \implies \tau \models v y \implies (\tau \models ((x \doteq y) \triangleq (x \triangleq y)))$ 
shows  $(X \rightarrow \text{including}(x::('A, 'a::null)val) \rightarrow \text{excluding}(y)) =$ 
       $(\text{if } \delta X \text{ then if } x \doteq y$ 
        then  $X \rightarrow \text{excluding}(y)$ 
        else  $X \rightarrow \text{excluding}(y) \rightarrow \text{including}(x)$ 
        endif
        else invalid endif)

proof –

have A1:  $\bigwedge \tau. \tau \models (X \triangleq \text{invalid}) \implies$ 
       $(X \rightarrow \text{including}(x) \rightarrow \text{includes}(y)) \tau = \text{invalid } \tau$ 
      apply(subst cp-OclIncludes, subst cp-OclIncluding)
      apply(drule foundation22[THEN iffD1], simp)
      apply(simp only: cp-OclIncluding[symmetric] cp-OclIncludes[symmetric])
      by simp

have B1:  $\bigwedge \tau. \tau \models (X \triangleq \text{null}) \implies$ 
       $(X \rightarrow \text{including}(x) \rightarrow \text{includes}(y)) \tau = \text{invalid } \tau$ 
      apply(subst cp-OclIncludes, subst cp-OclIncluding)
      apply(drule foundation22[THEN iffD1], simp)
      apply(simp only: cp-OclIncluding[symmetric] cp-OclIncludes[symmetric])
      by simp

have A2:  $\bigwedge \tau. \tau \models (X \triangleq \text{invalid}) \implies X \rightarrow \text{including}(x) \rightarrow \text{excluding}(y) \tau = \text{invalid } \tau$ 
      apply(subst cp-OclExcluding, subst cp-OclIncluding)
      apply(drule foundation22[THEN iffD1], simp)
      apply(simp only: cp-OclIncluding[symmetric] cp-OclExcluding[symmetric])
      by simp

have B2:  $\bigwedge \tau. \tau \models (X \triangleq \text{null}) \implies X \rightarrow \text{including}(x) \rightarrow \text{excluding}(y) \tau = \text{invalid } \tau$ 
      apply(subst cp-OclExcluding, subst cp-OclIncluding)
      apply(drule foundation22[THEN iffD1], simp)

```

apply(simp only: cp-OclIncluding[symmetric] cp-OclExcluding[symmetric])
by simp

have C: $\bigwedge \tau. \tau \models (x \triangleq \text{invalid}) \implies$
 $(X \rightarrow \text{including}(x) \rightarrow \text{excluding}(y)) \tau =$
 $(\text{if } x \doteq y \text{ then } X \rightarrow \text{excluding}(y) \text{ else } X \rightarrow \text{excluding}(y) \rightarrow \text{including}(x) \text{ endif}) \tau$
apply(subst cp-if-ocl, subst cp-StrictRefEq)
apply(subst cp-OclIncluding, subst cp-OclExcluding, subst cp-OclIncluding)
apply(drule foundation22[THEN iffD1], simp)
apply(simp only: cp-if-ocl[symmetric] cp-OclIncluding[symmetric]
cp-StrictRefEq[symmetric] cp-OclExcluding[symmetric])
by (simp add: strict2)

have D: $\bigwedge \tau. \tau \models (y \triangleq \text{invalid}) \implies$
 $(X \rightarrow \text{including}(x) \rightarrow \text{excluding}(y)) \tau =$
 $(\text{if } x \doteq y \text{ then } X \rightarrow \text{excluding}(y) \text{ else } X \rightarrow \text{excluding}(y) \rightarrow \text{including}(x) \text{ endif}) \tau$
apply(subst cp-if-ocl, subst cp-StrictRefEq)
apply(subst cp-OclIncluding, subst cp-OclExcluding, subst cp-OclIncluding)
apply(drule foundation22[THEN iffD1], simp)
apply(simp only: cp-if-ocl[symmetric] cp-OclIncluding[symmetric]
cp-StrictRefEq[symmetric] cp-OclExcluding[symmetric])
by (simp add: strict1)

have E: $\bigwedge \tau. \tau \models v \ x \implies \tau \models v \ y \implies$
 $(\text{if } x \doteq y \text{ then } X \rightarrow \text{excluding}(y) \text{ else } X \rightarrow \text{excluding}(y) \rightarrow \text{including}(x) \text{ endif}) \tau =$
 $(\text{if } x \triangleq y \text{ then } X \rightarrow \text{excluding}(y) \text{ else } X \rightarrow \text{excluding}(y) \rightarrow \text{including}(x) \text{ endif}) \tau$
apply(subst cp-if-ocl)
apply(subst strictEq-vs-strongEq[THEN foundation22[THEN iffD1]])
by(simp-all add: cp-if-ocl[symmetric])

have F: $\bigwedge \tau. \tau \models \delta \ X \implies \tau \models v \ x \implies \tau \models (x \triangleq y) \implies$
 $(X \rightarrow \text{including}(x) \rightarrow \text{excluding}(y)) \tau = (X \rightarrow \text{excluding}(y)) \tau$
apply(simp add: cp-OclExcluding[of X \rightarrow including(x)] cp-OclExcluding[of X])
apply(drule foundation22[THEN iffD1], drule sym, simp)
by(simp add: cp-OclExcluding[symmetric] excluding-charn2[simplified foundation22])

show ?thesis

apply(rule ext, rename-tac τ)
apply(case-tac $\neg (\tau \models (\delta \ X))$, simp add: def-split-local, elim disjE A1 B1 A2 B2)
apply(case-tac $\neg (\tau \models (v \ x))$,
simp add: foundation18 foundation22[symmetric],
drule StrongEq-L-sym)
apply(simp add: foundation22 C)
apply(case-tac $\neg (\tau \models (v \ y))$,
simp add: foundation18 foundation22[symmetric],
drule StrongEq-L-sym, simp add: foundation22 D, simp)
apply(subst E, simp-all)
apply(case-tac $\tau \models \text{not } (x \triangleq y)$)
apply(simp add: excluding-charn1[simplified foundation22])

```

    excluding-cha22[simplified foundation22])
  apply(simp add: foundation9 F)
done
qed

```

```

schematic-lemma excluding-cha2n-exec-int[code-unfold]: ?X
by(rule excluding-cha2n-exec[OF StrictRefEq-int-strict1 StrictRefEq-int-strict2
    StrictRefEq-int-strictEq-valid-args-valid
    cp-StrictRefEq-int strictEqInt-vs-strongEq], simp-all)

```

```

schematic-lemma excluding-cha2n-exec-bool[code-unfold]: ?X
by(rule excluding-cha2n-exec[OF StrictRefEq-bool-strict1 StrictRefEq-bool-strict2
    StrictRefEq-bool-strictEq-valid-args-valid
    cp-StrictRefEq-bool strictEqBool-vs-strongEq], simp-all)

```

```

schematic-lemma excluding-cha2n-exec-set[code-unfold]: ?X
by(rule excluding-cha2n-exec[OF StrictRefEq-set-strict1 StrictRefEq-set-strict2
    StrictRefEq-set-strictEq-valid-args-valid
    cp-StrictRefEq-set strictRefEq-set-vs-strongEq], simp-all)

```

```

syntax
  -OclFinset :: args => ('A,'a::null) Set (Set{(-)})
translations

```

```

  Set{x, xs} == CONST OclIncluding (Set{xs}) x
  Set{x}      == CONST OclIncluding (Set{ }) x

```

```

lemma syntax-test: Set{2,1} = (Set{ }->including(1)->including(2))
by (rule refl)

```

```

lemma set-test1:  $\tau \models (Set\{2,null\} \rightarrow includes(null))$ 
by(simp add: includes-execute-int)

```

```

lemma set-test2:  $\neg(\tau \models (Set\{2,1\} \rightarrow includes(null)))$ 
by(simp add: includes-execute-int)

```

Here is an example of a nested collection. Note that we have to use the abstract null (since we did not (yet) define a concrete constant *null* for the non-existing Sets) :

```

lemma semantic-test2:
assumes H:(Set{2}  $\doteq$  null) = (false::('A)Boolean)
shows ( $\tau::('A)st \models (Set\{Set\{2\},null\} \rightarrow includes(null))$ )
by(simp add: includes-execute-set H)

```

```

lemma semantic-test3:  $\tau \models (Set\{null,2\} \rightarrow includes(null))$ 
by(simp-all add: including-cha21 including-defined-args-valid)

```

lemma *StrictRefEq-set-exec*[simp,code-unfold] :

```
((x::('A,'α::null)Set) ≐ y) =
  (if δ x then (if δ y
    then ((x->forall(z| y->includes(z)) and (y->forall(z| x->includes(z))))
    else if v y
      then false (* x'->includes = null *)
      else invalid
      endif
    endif)
  else if v x (* null = ??? *)
    then if v y then not(δ y) else invalid endif
    else invalid
    endif
  endif)
```

proof –

have *defined-inject-true* : $\bigwedge \tau P. \neg (\tau \models \delta P) \implies (\delta P) \tau = \text{false } \tau$
by (metis bot-fun-def defined-def foundation16 null-fun-def)

have *valid-inject-true* : $\bigwedge \tau P. \neg (\tau \models v P) \implies (v P) \tau = \text{false } \tau$
by (metis bot-fun-def foundation18' valid-def)

have *valid-inject-defined* : $\bigwedge \tau P. \neg (\tau \models v P) \implies \neg (\tau \models \delta P)$
by (metis foundation20)

have *null-simp* : $\bigwedge \tau y. \tau \models v y \implies \neg (\tau \models \delta y) \implies y \tau = \text{null } \tau$
by (simp add: foundation16 foundation18' null-fun-def)

have *bot-in-set-0* : $\lfloor \perp \rfloor \in \text{Set-0}$ **by** (simp add: Set-0-def null-option-def bot-option-def)

have *discr-eq-false-true* : $\bigwedge \tau. (\text{false } \tau = \text{true } \tau) = \text{False}$ **by** (metis OclValid-def foundation2)

have *discr-eq-null-false* : $\bigwedge \tau. (\text{null } \tau = \text{false } \tau) = \text{False}$ **by** (metis defined4 foundation1 foundation16 null-fun-def)

have *discr-eq-null-true* : $\bigwedge \tau. (\text{null } \tau = \text{true } \tau) = \text{False}$ **by** (metis OclValid-def foundation4)

have *discr-eq-bot1-true* : $\bigwedge \tau. (\perp \tau = \text{true } \tau) = \text{False}$ **by** (metis defined3 defined-def discr-eq-false-true)

have *discr-eq-bot2-true* : $\bigwedge \tau. (\perp = \text{true } \tau) = \text{False}$ **by** (metis bot-fun-def discr-eq-bot1-true)

have *discr-eq-bot1-false* : $\bigwedge \tau. (\perp \tau = \text{false } \tau) = \text{False}$ **by** (metis OCL-core.bot-fun-def defined4 foundation1 foundation16)

have *discr-eq-bot2-false* : $\bigwedge \tau. (\perp = \text{false } \tau) = \text{False}$ **by** (metis foundation1 foundation18' valid4)

have *discr-neq-false-true* : $\bigwedge \tau. (\text{false } \tau \neq \text{true } \tau) = \text{True}$ **by** (metis discr-eq-false-true)

have *discr-neq-true-false* : $\bigwedge \tau. (\text{true } \tau \neq \text{false } \tau) = \text{True}$ **by** (metis discr-eq-false-true)

have *not-bot-in-set* : $\bigwedge \tau x e. (\tau \models \delta x) \implies \neg (\tau \models v (\lambda \cdot e)) \implies e \in \llbracket \text{Rep-Set-0 } (x \tau) \rrbracket$


```

 $\implies$  False
apply(frule Set-inv-lemma)
apply(erule disjE)
apply(simp add: OclValid-def valid-def)
apply(simp add: Abs-Set-0-inverse[OF bot-in-set-0])
apply(split split-if-asm)
apply(simp add: cp-defined[of x])
apply(simp add: defined-def bot-option-def null-fun-def null-Set-0-def false-def true-def)
apply(simp)

apply(drule-tac Q = False and x = e in ballE, simp-all add: OclValid-def valid-def bot-fun-def)
done

have forall-exec-true :  $\bigwedge \tau \ x \ y. \tau \models \delta \ x \implies$ 
 $\tau \models \delta \ y \implies$ 
 $(\tau \models \text{OclForall } x \ (\text{OclIncludes } y)) = ([[\text{Rep-Set-0 } (x \ \tau)]] \subseteq [[\text{Rep-Set-0 } (y$ 
 $\tau)]])$ 
apply(case-tac  $\tau \models \text{OclForall } x \ (\text{OclIncludes } y)$ )

apply(simp add: OclValid-def OclForall-def)
apply(split split-if-asm, simp add: discr-eq-false-true discr-eq-bot1-true discr-eq-null-true)+
apply(simp)
apply(subgoal-tac  $\forall x \in [[\text{Rep-Set-0 } (x \ \tau)]] . (\tau \models y \text{-->includes}((\lambda \cdot. x)))$ )
prefer 2
apply(simp add: OclValid-def)
apply (metis (full-types) bot-fun-def bool-split invalid-def null-fun-def)
apply(rule subsetI, rename-tac e)
apply(drule bspec)
apply(assumption)
apply(erule-tac x = e and P =  $\lambda x. (\tau \models y \text{-->includes}((\lambda \cdot. x)))$  in ballE)
apply(simp add: OclIncludes-def OclValid-def)
apply(case-tac  $\tau \models v \ (\lambda \cdot. e)$ , simp add: OclValid-def)
apply(metis option.inject true-def)
apply(simp add: OclValid-def bot-option-def true-def)
apply(simp)

apply(simp add: OclValid-def OclForall-def)
apply(subgoal-tac  $(\exists x \in [[\text{Rep-Set-0 } (x \ \tau)]] . (y \text{-->includes}((\lambda \cdot. x))) \ \tau = \text{false } \tau$ 
 $\vee (y \text{-->includes}((\lambda \cdot. x))) \ \tau = \perp \ \tau$ 
 $\vee (y \text{-->includes}((\lambda \cdot. x))) \ \tau = \text{null } \tau)$ )

prefer 2
apply metis
apply(erule bexE, rename-tac e)
apply(simp add: OclIncludes-def)

apply(case-tac  $\tau \models v \ (\lambda \cdot. e)$ , simp add: OclValid-def)
apply(erule disjE)
apply(metis (mono-tags) discr-eq-false-true false-def set-mp true-def)

```

```

apply(simp add: bot-fun-def bot-option-def null-fun-def null-option-def)

apply(subgoal-tac False, simp)
apply(rule not-bot-in-set)
prefer 3
apply(assumption, simp-all add: OclValid-def)
done

have forall-exec-false :  $\bigwedge \tau \ x \ y. \tau \models \delta \ x \implies$ 
 $\tau \models \delta \ y \implies$ 
 $(\text{OclForall } x \ (\text{OclIncludes } y) \ \tau = \text{false } \tau) = (\neg \llbracket \text{Rep-Set-0 } (x \ \tau) \rrbracket \subseteq \llbracket \text{Rep-Set-0}$ 
 $(y \ \tau) \rrbracket)$ 

apply(subgoal-tac  $\neg (\text{OclForall } x \ (\text{OclIncludes } y) \ \tau = \text{false } \tau) = (\neg (\neg \llbracket \text{Rep-Set-0 } (x \ \tau) \rrbracket$ 
 $\subseteq \llbracket \text{Rep-Set-0 } (y \ \tau) \rrbracket))$ )
apply(simp)
apply(subst forall-exec-true[symmetric]) apply(simp)+
apply(subst OclValid-def)
apply(simp add: OclForall-def
discr-neq-false-true
discr-neq-true-false
discr-eq-bot1-false
discr-eq-bot2-false
discr-eq-bot1-true
discr-eq-bot2-true
discr-eq-null-false
discr-eq-null-true)
apply(simp add: OclValid-def)
apply(subgoal-tac  $(\forall x \in \llbracket \text{Rep-Set-0 } (x \ \tau) \rrbracket. ((y \text{--> includes } ((\lambda \cdot. x))) \ \tau = \text{true } \tau \vee (y \text{--> includes } ((\lambda \cdot. x))) \ \tau = \text{false } \tau)))$ )
apply(metis bot-fun-def discr-eq-bot2-true discr-eq-null-true null-fun-def)
apply(rule ballI, rename-tac e)
apply(simp add: OclIncludes-def, rule conjI)
apply (metis (full-types) false-def true-def)

apply(rule impI)
apply(subgoal-tac False, simp)
apply(rule not-bot-in-set)
prefer 3
apply(assumption, simp-all add: OclValid-def)
done

have strongeq-true :  $\bigwedge \tau \ x \ y. (\llbracket x \ \tau = y \ \tau \rrbracket = \text{true } \tau) = (x \ \tau = y \ \tau)$ 
by(simp add: foundation22[simplified OclValid-def StrongEq-def])

have strongeq-false :  $\bigwedge \tau \ x \ y. (\llbracket x \ \tau = y \ \tau \rrbracket = \text{false } \tau) = (x \ \tau \neq y \ \tau)$ 
apply(case-tac  $x \ \tau \neq y \ \tau$ , simp add: false-def)
apply(simp add: false-def true-def)
done

```

```

have rep-set-inj :  $\bigwedge \tau. (\delta x) \tau = \text{true} \tau \implies$ 
   $(\delta y) \tau = \text{true} \tau \implies$ 
   $x \tau \neq y \tau \implies$ 
   $\llbracket \text{Rep-Set-0 } (y \tau) \rrbracket \neq \llbracket \text{Rep-Set-0 } (x \tau) \rrbracket$ 
apply(simp add: defined-def)
apply(split split-if-asm, simp add: false-def true-def)+
apply(simp add: null-fun-def null-Set-0-def bot-fun-def bot-Set-0-def)

apply(case-tac x  $\tau$ )
apply(case-tac ya, simp-all)
apply(case-tac a, simp-all)

apply(case-tac y  $\tau$ )
apply(case-tac yaa, simp-all)
apply(case-tac ab, simp-all)

apply(simp add: Abs-Set-0-inverse)

apply(blast)
done

show ?thesis
apply(rule ext, rename-tac  $\tau$ )

apply(simp add: cp-if-ocl[of  $\delta x$ ])
apply(case-tac  $\neg (\tau \models v x)$ )
apply(subgoal-tac  $\neg (\tau \models \delta x)$ )
prefer 2
apply(metis foundation20)
apply(simp add: defined-inject-true)
apply(simp add: cp-if-ocl[symmetric] OclValid-def StrictRefEq-set)

apply(simp)

apply(case-tac  $\neg (\tau \models v y)$ )
apply(subgoal-tac  $\neg (\tau \models \delta y)$ )
prefer 2
apply(metis foundation20)
apply(simp add: defined-inject-true)
apply(simp add: cp-if-ocl[symmetric] OclValid-def StrictRefEq-set)

apply(simp)

apply(simp add: cp-if-ocl[of  $\delta y$ ])
apply(simp add: cp-if-ocl[symmetric])

apply(simp add: cp-if-ocl[of  $\delta x$ ])

```

```

apply(case-tac  $\neg (\tau \models \delta \ x)$ )
apply(simp add: defined-inject-true)
apply(simp add: cp-if-ocl[symmetric])
apply(simp add: cp-not[of  $\delta \ y$ ])
apply(case-tac  $\neg (\tau \models \delta \ y)$ )
apply(simp add: defined-inject-true)
apply(simp add: cp-not[symmetric])
apply(metis (hide-lams, no-types) OclValid-def StrongEq-sym foundation22 null-fun-def null-simp
strictRefEq-set-vs-strongEq true-def)
apply(simp add: OclValid-def cp-not[symmetric])

apply(simp add: null-simp[simplified OclValid-def, of  $x$ ] StrictRefEq-set StrongEq-def false-def)
apply(simp add: defined-def[of  $y$ ])
apply(metis discr-neq-true-false)

apply(simp)
apply(simp add: OclValid-def)
apply(simp add: cp-if-ocl[of  $\delta \ y$ ])
apply(case-tac  $\neg (\tau \models \delta \ y)$ )
apply(simp add: defined-inject-true)
apply(simp add: cp-if-ocl[symmetric])

apply(drule null-simp[simplified OclValid-def, of  $y$ ])
apply(simp add: OclValid-def)
apply(simp add: cp-StrictRefEq-set[of  $x$ ])
apply(simp add: cp-StrictRefEq-set[symmetric])
apply(simp add: null-simp[simplified OclValid-def, of  $y$ ] StrictRefEq-set StrongEq-def false-def)
apply(simp add: defined-def[of  $x$ ])
apply(metis discr-neq-true-false)

apply(simp add: OclValid-def)

apply(simp add: StrictRefEq-set StrongEq-def)

apply(subgoal-tac  $[[x \ \tau = y \ \tau]] = \text{true} \ \tau \vee [[x \ \tau = y \ \tau]] = \text{false} \ \tau$ )
prefer 2
apply(case-tac  $x \ \tau = y \ \tau$ )
apply(rule disjI1, simp add: true-def)
apply(rule disjI2, simp add: false-def)

apply(erule disjE)
apply(simp add: strongeq-true)

apply(subgoal-tac  $(\tau \models \text{OclForall } x \ (\text{OclIncludes } y)) \wedge (\tau \models \text{OclForall } y \ (\text{OclIncludes } x))$ )
apply(simp add: cp-ocl-and[of OclForall  $x \ (\text{OclIncludes } y)$ ] true-def OclValid-def)
apply(simp add: OclValid-def)

```

```

apply(simp add: forall-exec-true[simplified OclValid-def])

apply(simp add: strongeq-false)

apply(subgoal-tac OclForall x (OclIncludes y)  $\tau = \text{false}$   $\tau \vee \text{OclForall } y \text{ (OclIncludes } x) \tau = \text{false}$   $\tau$ )
apply(simp add: cp-ocl-and[of OclForall x (OclIncludes y)] false-def)
apply(erule disjE)
apply(simp)
apply(subst cp-ocl-and[symmetric])
apply(simp only: ocl-and-false1[simplified false-def])

apply(simp)
apply(subst cp-ocl-and[symmetric])
apply(simp only: ocl-and-false2[simplified false-def])
apply(simp add: forall-exec-false[simplified OclValid-def] rep-set-inj)
done
qed

lemma forall-set-null-exec[simp,code-unfold] :
  ( $\text{null} \rightarrow \text{forall}(z \mid P(z))$ ) = invalid
by(simp add: OclForall-def invalid-def false-def true-def)

lemma forall-set-mt-exec[simp,code-unfold] :
  ( $(\text{Set}\{\}) \rightarrow \text{forall}(z \mid P(z))$ ) = true
apply(simp add: OclForall-def)
apply(subst mtSet-def)+
apply(subst Abs-Set-0-inverse, simp-all add: Set-0-def true-def)+
done

lemma exists-set-null-exec[simp,code-unfold] :
  ( $\text{null} \rightarrow \text{exists}(z \mid P(z))$ ) = invalid
by(simp add: OclExists-def)

lemma exists-set-mt-exec[simp,code-unfold] :
  ( $(\text{Set}\{\}) \rightarrow \text{exists}(z \mid P(z))$ ) = false
by(simp add: OclExists-def)

lemma forall-set-including-exec[simp,code-unfold] :
  assumes cp:  $\bigwedge \tau. P \ x \ \tau = P \ (\lambda \cdot. x \ \tau) \ \tau$ 
  shows ( $(S \rightarrow \text{including}(x)) \rightarrow \text{forall}(z \mid P(z))$ ) = (if  $\delta \ S$  and  $v \ x$ 
    then  $P \ x$  and  $(S \rightarrow \text{forall}(z \mid P(z)))$ 
    else invalid
    endif)

proof —

```

```

have insert-in-Set-0 :  $\bigwedge \tau. \tau \models \delta S \implies \tau \models v x \implies [[\text{insert } (x \ \tau) \ [\text{Rep-Set-0 } (S \ \tau)]]] \in \text{Set-0}$ 
apply(frule Set-inv-lemma)
apply(simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def
        foundation18 foundation16 invalid-def)
done

have d-and-v-destruct-defined :  $\bigwedge \tau S x. \tau \models (\delta S \text{ and } v x) \implies \tau \models \delta S$ 
by (simp add: foundation5[THEN conjunct1])
have d-and-v-destruct-valid :  $\bigwedge \tau S x. \tau \models (\delta S \text{ and } v x) \implies \tau \models v x$ 
by (simp add: foundation5[THEN conjunct2])

have forall-including-invert :  $\bigwedge \tau f. (f x \ \tau = f (\lambda -. x \ \tau) \ \tau) \implies$ 
 $\tau \models (\delta S \text{ and } v x) \implies$ 
 $(\forall x \in [[\text{Rep-Set-0 } (S \text{-->including}(x) \ \tau)]]]. f (\lambda -. x) \ \tau =$ 
 $(f x \ \tau \wedge (\forall x \in [[\text{Rep-Set-0 } (S \ \tau)]]]. f (\lambda -. x) \ \tau))$ 
apply(simp add: OclIncluding-def)
apply(subst Abs-Set-0-inverse)
apply(rule insert-in-Set-0)
apply(rule d-and-v-destruct-defined, assumption)
apply(rule d-and-v-destruct-valid, assumption)
apply(simp add: d-and-v-destruct-defined d-and-v-destruct-valid)
apply(frule d-and-v-destruct-defined, drule d-and-v-destruct-valid)
apply(simp add: OclValid-def)
done

have exists-including-invert :  $\bigwedge \tau f. (f x \ \tau = f (\lambda -. x \ \tau) \ \tau) \implies$ 
 $\tau \models (\delta S \text{ and } v x) \implies$ 
 $(\exists x \in [[\text{Rep-Set-0 } (S \text{-->including}(x) \ \tau)]]]. f (\lambda -. x) \ \tau =$ 
 $(f x \ \tau \vee (\exists x \in [[\text{Rep-Set-0 } (S \ \tau)]]]. f (\lambda -. x) \ \tau))$ 
apply(subst arg-cong[where  $f = \lambda x. \neg x$ ,
        OF forall-including-invert[where  $f = \lambda x \ \tau. \neg (f x \ \tau)$ ],
        simplified])
by simp-all

have cp-eq :  $\bigwedge \tau v. (P x \ \tau = v) = (P (\lambda -. x \ \tau) \ \tau = v)$  by(subst cp, simp)
have cp-not-eq :  $\bigwedge \tau v. (P x \ \tau \neq v) = (P (\lambda -. x \ \tau) \ \tau \neq v)$  by(subst cp, simp)

have foundation10':  $\bigwedge \tau x y. (\tau \models x) \wedge (\tau \models y) \implies \tau \models (x \text{ and } y)$ 
apply(erule conjE)
apply(subst foundation10)
apply(rule foundation6, simp)
apply(rule foundation6, simp)
by simp

have contradict-Rep-Set-0:  $\bigwedge \tau S f.$ 
 $\exists x \in [[\text{Rep-Set-0 } S]]. f (\lambda -. x) \ \tau \implies$ 
 $(\forall x \in [[\text{Rep-Set-0 } S]]. \neg (f (\lambda -. x) \ \tau)) = \text{False}$ 
by(case-tac  $(\forall x \in [[\text{Rep-Set-0 } S]]. \neg (f (\lambda -. x) \ \tau)) = \text{True}$ , simp-all)

```

show ?thesis

```

apply(rule ext, rename-tac  $\tau$ )
apply(simp add: if-ocl-def)
apply(simp add: cp-defined[of  $\delta$   $S$  and  $v$   $x$ ])
apply(simp add: cp-defined[THEN sym])
apply(rule conjI, rule impI)

apply(subgoal-tac  $\tau \models \delta$   $S$ )
  prefer 2
apply(drule foundation5[simplified OclValid-def], erule conjE)+ apply(simp add: OclValid-def)

apply(subst OclForall-def)
apply(simp add: cp-ocl-and[THEN sym] OclValid-def
  foundation10'[where  $x = \delta$   $S$  and  $y = v$   $x$ , simplified OclValid-def])

apply(subgoal-tac  $\tau \models (\delta$   $S$  and  $v$   $x$ ))
  prefer 2
apply(simp add: OclValid-def)

apply(case-tac  $\exists x \in [[\text{Rep-Set-0 } (S \rightarrow \text{including}(x) \tau)]]$ .  $P$  ( $\lambda \cdot$   $x$ )  $\tau = \text{false } \tau$ , simp-all)
apply(subst contradict-Rep-Set-0[where  $f = \lambda x \tau$ .  $P x \tau = \text{false } \tau$ ], simp)+
apply(simp add: exists-including-invert[where  $f = \lambda x \tau$ .  $P x \tau = \text{false } \tau$ , OF cp-eq])

apply(simp add: cp-ocl-and[of  $P$   $x$ ])
apply(erule disjE)
apply(simp only: cp-ocl-and[symmetric], simp)

apply(subgoal-tac OclForall  $S$   $P$   $\tau = \text{false } \tau$ )
apply(simp only: cp-ocl-and[symmetric], simp)
apply(simp add: OclForall-def)

apply(simp add: forall-including-invert[where  $f = \lambda x \tau$ .  $P x \tau \neq \text{false } \tau$ , OF cp-not-eq],
  erule conjE)

apply(case-tac  $\exists x \in [[\text{Rep-Set-0 } (S \rightarrow \text{including}(x) \tau)]]$ .  $P$  ( $\lambda \cdot$   $x$ )  $\tau = \text{bot } \tau$ , simp-all)
apply(subst contradict-Rep-Set-0[where  $f = \lambda x \tau$ .  $P x \tau = \text{bot } \tau$ ], simp)+
apply(simp add: exists-including-invert[where  $f = \lambda x \tau$ .  $P x \tau = \text{bot } \tau$ , OF cp-eq])

apply(simp add: cp-ocl-and[of  $P$   $x$ ])
apply(erule disjE)

apply(subgoal-tac OclForall  $S$   $P$   $\tau \neq \text{false } \tau$ )

```

apply(simp only: cp-ocl-and[symmetric], simp)
apply(simp add: OclForall-def null-fun-def null-option-def bot-fun-def bot-option-def true-def false-def)

apply(subgoal-tac OclForall S P $\tau = \text{bot } \tau$)
apply(simp only: cp-ocl-and[symmetric], simp)
apply(simp add: OclForall-def null-fun-def null-option-def bot-fun-def bot-option-def true-def false-def)

apply(simp add: forall-including-invert[**where** $f = \lambda x \tau. P x \tau \neq \text{bot } \tau$, OF cp-not-eq],
erule conjE)

apply(case-tac $\exists x \in [\text{Rep-Set-0 } (S \rightarrow \text{including}(x) \tau)]$. $P (\lambda-. x) \tau = \text{null } \tau$, simp-all)
apply(subst contradict-Rep-Set-0[**where** $f = \lambda x \tau. P x \tau = \text{null } \tau$], simp)+
apply(simp add: exists-including-invert[**where** $f = \lambda x \tau. P x \tau = \text{null } \tau$, OF cp-eq])

apply(simp add: cp-ocl-and[of P x])
apply(erule disjE)

apply(subgoal-tac OclForall S P $\tau \neq \text{false } \tau \wedge \text{OclForall } S P \tau \neq \text{bot } \tau$)
apply(simp only: cp-ocl-and[symmetric], simp)
apply(simp add: OclForall-def null-fun-def null-option-def bot-fun-def bot-option-def true-def false-def)

apply(subgoal-tac OclForall S P $\tau = \text{null } \tau$)
apply(simp only: cp-ocl-and[symmetric], simp)
apply(simp add: OclForall-def null-fun-def null-option-def bot-fun-def bot-option-def true-def false-def)

apply(simp add: forall-including-invert[**where** $f = \lambda x \tau. P x \tau \neq \text{null } \tau$, OF cp-not-eq],
erule conjE)

apply(simp add: cp-ocl-and[of P x] OclForall-def)
apply(subgoal-tac $P x \tau = \text{true } \tau$, simp)
apply(metis bot-fun-def bool-split foundation18' foundation2 valid1)

by(metis OclForall-def including-defined-args-valid' invalid-def)
qed

lemma not-if[simp]:
not(if P then C else E endif) = (if P then not C else not E endif)

apply(rule not-inject, simp)


```

apply(rule ext)
apply(subst cp-not, simp add: if-ocl-def)
apply(subst cp-not[symmetric] not-not)+
by simp

lemma exists-set-including-exec[simp,code-unfold] :
assumes cp:  $\bigwedge \tau. P\ x\ \tau = P\ (\lambda-. x\ \tau)\ \tau$ 
shows  $((S \rightarrow \text{including}(x)) \rightarrow \text{exists}(z \mid P(z))) = (\text{if } \delta\ S \text{ and } v\ x$ 
 $\text{ then } P\ x \text{ or } (S \rightarrow \text{exists}(z \mid P(z)))$ 
 $\text{ else invalid}$ 
 $\text{ endif})$ 
apply(simp add: OclExists-def ocl-or-def)

apply(rule not-inject)
apply(simp)
apply(rule forall-set-including-exec)
apply(rule sym, subst cp-not)
apply(simp only: cp[symmetric] cp-not[symmetric])
done

```

```

lemma set-test4 :  $\tau \models (\text{Set}\{\mathbf{2}, \text{null}, \mathbf{2}\} \doteq \text{Set}\{\text{null}, \mathbf{2}\})$ 
proof –

```

```

have cp-1:  $\bigwedge x\ \tau. (\text{if } \text{null} \doteq x \text{ then true else if } \mathbf{2} \doteq x \text{ then true else if } v\ x \text{ then false else invalid}$ 
 $\text{ endif endif endif})\ \tau =$ 
 $(\text{if } \text{null} \doteq (\lambda-. x\ \tau) \text{ then true else if } \mathbf{2} \doteq (\lambda-. x\ \tau) \text{ then true else if } v\ (\lambda-. x\ \tau)$ 
 $\text{ then false else invalid endif endif endif})\ \tau$ 
apply(subgoal-tac  $(\text{null} \doteq x)\ \tau = (\text{null} \doteq (\lambda-. x\ \tau))\ \tau \wedge (\mathbf{2} \doteq x)\ \tau = (\mathbf{2} \doteq (\lambda-. x\ \tau))\ \tau \wedge (v$ 
 $x)\ \tau = (v\ (\lambda-. x\ \tau))\ \tau)$ 
apply(subst cp-if-ocl[of  $\text{null} \doteq x$ ])
apply(subst cp-if-ocl[of  $\mathbf{2} \doteq x$ ])
apply(subst cp-if-ocl[of  $v\ x$ ])
apply(simp)

apply(subst if-ocl-def)
apply(rule sym, subst if-ocl-def)

apply(simp only: cp-if-ocl[symmetric])
apply(subgoal-tac  $(\delta\ (\text{null} \doteq (\lambda-. x\ \tau)))\ \tau = (\delta\ (\lambda-. (\text{null} \doteq (\lambda-. x\ \tau))\ \tau))\ \tau$ )
apply(simp only:)
apply(rule cp-defined)

apply(subst cp-StrictRefEq-int[of  $\text{null } x$ ])
apply(simp add: null-fun-def)

apply(subst cp-StrictRefEq-int[of  $\mathbf{2}$  ])
apply(simp add: ocl-two-def)

```

```

    apply(rule cp-valid)
done

have cp-2: ( $\bigwedge x \tau. (if \mathbf{2} \doteq x \text{ then true else if null } \doteq x \text{ then true else if } \mathbf{2} \doteq x \text{ then true else if } v \ x \text{ then false else invalid endif endif endif endif) \tau =$ 
    ( $if \mathbf{2} \doteq (\lambda-. x \ \tau) \text{ then true else if null } \doteq (\lambda-. x \ \tau) \text{ then true else }$ 
     $if \mathbf{2} \doteq (\lambda-. x \ \tau) \text{ then true else if } v \ (\lambda-. x \ \tau) \text{ then }$ 
     $false else invalid endif endif endif endif) \tau$ )
    apply(subgoal-tac (null  $\doteq x$ )  $\tau = (null \doteq (\lambda-. x \ \tau)) \ \tau \wedge (\mathbf{2} \doteq x) \ \tau = (\mathbf{2} \doteq (\lambda-. x \ \tau)) \ \tau \wedge (v \ x) \ \tau = (v \ (\lambda-. x \ \tau)) \ \tau$ )
    apply(subst cp-if-ocl[of  $\mathbf{2} \doteq x$ ])
    apply(subst cp-if-ocl[of null  $\doteq x$ ])
    apply(subst cp-if-ocl[of  $\mathbf{2} \doteq x$ ])
    apply(subst cp-if-ocl[of  $v \ x$ ])
    apply(simp)

    apply(subst if-ocl-def)
    apply(rule sym, subst if-ocl-def)

    apply(simp only: cp-if-ocl[symmetric])
    apply(subgoal-tac ( $\delta (\mathbf{2} \doteq (\lambda-. x \ \tau))$ )  $\tau = (\delta (\lambda-. (\mathbf{2} \doteq (\lambda-. x \ \tau)) \ \tau)) \ \tau$ )
    apply(simp only:)
    apply(rule cp-defined)

    apply(subst cp-StrictRefEq-int[of null  $x$ ])
    apply(simp add: null-fun-def)

    apply(subst cp-StrictRefEq-int[of  $\mathbf{2}$  ])
    apply(simp add: ocl-two-def)

    apply(rule cp-valid)
done

show ?thesis
    apply(simp add: includes-execute-int)
    apply(simp add: forall-set-including-exec[where  $P = \lambda z. if \text{null } \doteq z \text{ then true else if } \mathbf{2} \doteq z \text{ then true else if } v \ z \text{ then false else invalid endif endif endif,}$ 
    OF cp-1])
    apply(simp add: forall-set-including-exec[where  $P = \lambda z. if \mathbf{2} \doteq z \text{ then true else if null } \doteq z \text{ then true else if } \mathbf{2} \doteq z \text{ then true else if } v \ z \text{ then false else invalid endif endif endif endif,}$ 
    OF cp-2])
done
qed

lemma OclSize-infinite:
assumes non-finite: $\tau \models not(\delta(S \rightarrow size()))$ 
shows ( $\tau \models not(\delta(S))$ )  $\vee \neg finite \ [Rep-Set-0 \ (S \ \tau)]$ 
apply(insert non-finite, simp)

```

```

apply(rule impI)
apply(simp add: OclSize-def OclValid-def defined-def)
apply(case-tac finite [[Rep-Set-0 (S  $\tau$ )]],
      simp-all add:null-fun-def null-option-def bot-fun-def bot-option-def)
done

```

```

lemma OclIterateSet-infinite:
assumes non-finite:  $\tau \models \text{not}(\delta(S \rightarrow \text{size}()))$ 
shows (OclIterateSet S A F)  $\tau = \text{invalid } \tau$ 
apply(insert non-finite [THEN OclSize-infinite])
apply(erule disjE)
apply(simp-all add: OclIterateSet-def invalid-def)
apply(erule contrapos-np)
apply(simp add: OclValid-def)
done

```

```

lemma OclIterateSet-empty[simp]: ((Set{ $\{\}$ })  $\rightarrow$  iterate( $a$ ;  $x = A \mid P a x$ )) = A
proof -
  have A1 : [ $\{\}$ ]  $\in$  Set-0 by(simp add: Set-0-def)
  have A2 : None  $\in$  Set-0 by(simp add: Set-0-def bot-option-def)
  have A3 : [None]  $\in$  Set-0 by(simp add: Set-0-def bot-option-def null-option-def)
  have C :  $\bigwedge \tau. (\delta (\lambda \tau. \text{Abs-Set-0 } [\{\}])) \tau = \text{true } \tau$ 
    by(simp add: defined-def bot-Set-0-def null-Set-0-def
      bot-fun-def null-fun-def A1 A2 A3 Abs-Set-0-inject)
  show ?thesis
    apply(simp add: OclIterateSet-def mtSet-def Abs-Set-0-inverse Set-0-def valid-def C)
    apply(rule ext)
    apply(case-tac A  $\tau = \perp \tau$ , simp-all, simp add:true-def false-def bot-fun-def)
    apply(simp add: A1 Abs-Set-0-inverse)
  done
qed

```

In particular, this does hold for $A = \text{null}$.

```

lemma cp-OclIterateSet: (X  $\rightarrow$  iterate( $a$ ;  $x = A \mid P a x$ ))  $\tau =$ 
  (( $\lambda \tau. X \tau$ )  $\rightarrow$  iterate( $a$ ;  $x = A \mid P a x$ ))  $\tau$ 
by(simp add: OclIterateSet-def cp-defined[symmetric])

```

```

lemma OclIterateSet-including:
assumes S-finite:  $\tau \models \delta(S \rightarrow \text{size}())$ 
and F-valid-arg: ( $v A$ )  $\tau = (v (F a A)) \tau$ 
and F-commute: comp-fun-commute F
and F-cp:  $\bigwedge x y \tau. F x y \tau = F (\lambda \tau. x \tau) y \tau$ 
shows ((S  $\rightarrow$  including( $a$ ))  $\rightarrow$  iterate( $a$ ;  $x = A \mid F a x$ ))  $\tau =$ 
  ((S  $\rightarrow$  excluding( $a$ ))  $\rightarrow$  iterate( $a$ ;  $x = F a A \mid F a x$ ))  $\tau$ 
proof -

```

```

  have valid-inject-true :  $\bigwedge \tau P. (v P) \tau \neq \text{true } \tau \implies (v P) \tau = \text{false } \tau$ 
    apply(simp add: valid-def true-def false-def
      bot-fun-def bot-option-def)

```

```

      null-fun-def null-option-def)
by (case-tac P  $\tau = \perp$ , simp-all add: true-def)

have insert-in-Set-0 :  $\bigwedge \tau. (\tau \models (\delta S)) \implies (\tau \models (v a)) \implies \llbracket \text{insert } (a \ \tau) \llbracket \text{Rep-Set-0 } (S \ \tau) \rrbracket \rrbracket$ 
 $\in \text{Set-0}$ 
  apply (frule Set-inv-lemma)
  apply (simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def
    foundation18 foundation16 invalid-def)
done

have insert-defined :  $\bigwedge \tau. (\tau \models (\delta S)) \implies (\tau \models (v a)) \implies$ 
   $(\delta (\lambda \cdot. \text{Abs-Set-0 } \llbracket \text{insert } (a \ \tau) \llbracket \text{Rep-Set-0 } (S \ \tau) \rrbracket \rrbracket)) \ \tau = \text{true } \tau$ 
  apply (subst defined-def)
  apply (simp add: bot-fun-def bot-option-def bot-Set-0-def null-Set-0-def null-option-def null-fun-def
    false-def true-def)
  apply (subst Abs-Set-0-inject)
  apply (rule insert-in-Set-0, simp-all add: Set-0-def bot-option-def)

  apply (subst Abs-Set-0-inject)
  apply (rule insert-in-Set-0, simp-all add: Set-0-def null-option-def bot-option-def)
done

have remove-finite : finite  $\llbracket \text{Rep-Set-0 } (S \ \tau) \rrbracket \implies \text{finite } ((\lambda a \ \tau. a) \ ' (\llbracket \text{Rep-Set-0 } (S \ \tau) \rrbracket - \{a \ \tau\}))$ 
by (simp add: Set-0-def)

have remove-in-Set-0 :  $\bigwedge \tau. (\tau \models (\delta S)) \implies (\tau \models (v a)) \implies \llbracket \llbracket \text{Rep-Set-0 } (S \ \tau) \rrbracket - \{a \ \tau\} \rrbracket$ 
 $\in \text{Set-0}$ 
  apply (frule Set-inv-lemma)
  apply (simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def
    foundation18 foundation16 invalid-def)
done

have remove-defined :  $\bigwedge \tau. (\tau \models (\delta S)) \implies (\tau \models (v a)) \implies$ 
   $(\delta (\lambda \cdot. \text{Abs-Set-0 } \llbracket \llbracket \text{Rep-Set-0 } (S \ \tau) \rrbracket - \{a \ \tau\} \rrbracket)) \ \tau = \text{true } \tau$ 
  apply (subst defined-def)
  apply (simp add: bot-fun-def bot-option-def bot-Set-0-def null-Set-0-def null-option-def null-fun-def
    false-def true-def)
  apply (subst Abs-Set-0-inject)
  apply (rule remove-in-Set-0, simp-all add: Set-0-def bot-option-def)

  apply (subst Abs-Set-0-inject)
  apply (rule remove-in-Set-0, simp-all add: Set-0-def null-option-def bot-option-def)
done

have abs-rep :  $\bigwedge x. \llbracket x \rrbracket \in \text{Set-0} \implies \llbracket \text{Rep-Set-0 } (\text{Abs-Set-0 } \llbracket x \rrbracket) \rrbracket = x$ 
by (subst Abs-Set-0-inverse, simp-all)

have inject : inj  $(\lambda a \ \tau. a)$ 

```

```

by(rule inj-fun, simp)

show ?thesis
apply(simp only: cp-OclIterateSet[of  $S \rightarrow \text{including}(a)$ ] cp-OclIterateSet[of  $S \rightarrow \text{excluding}(a)$ ])
apply(subst OclIncluding-def, subst OclExcluding-def)
apply(case-tac  $\neg ((\delta S) \tau = \text{true } \tau \wedge (v a) \tau = \text{true } \tau)$ , simp)

apply(subgoal-tac OclIterateSet ( $\lambda \cdot. \perp$ )  $A F \tau = \text{OclIterate}_{Set} (\lambda \cdot. \perp) (F a A) F \tau$ , simp)
apply(rule conjI)
apply(blast)
apply(blast)
apply(auto)

apply(simp add: OclIterateSet-def) apply(auto)
apply(simp add: defined-def bot-option-def bot-fun-def false-def true-def)
apply(simp add: defined-def bot-option-def bot-fun-def false-def true-def)
apply(simp add: defined-def bot-option-def bot-fun-def false-def true-def)

apply(simp add: OclIterateSet-def) apply(auto)
apply(simp add: defined-def bot-option-def bot-fun-def false-def true-def)
apply(simp add: defined-def bot-option-def bot-fun-def false-def true-def)
apply(simp add: defined-def bot-option-def bot-fun-def false-def true-def)

apply(simp add: OclIterateSet-def)

apply(subst abs-rep[OF insert-in-Set-0[simplified OclValid-def], of  $\tau$ ], simp-all)+
apply(subst abs-rep[OF remove-in-Set-0[simplified OclValid-def], of  $\tau$ ], simp-all)+
apply(subst insert-defined, simp-all add: OclValid-def)+
apply(subst remove-defined, simp-all add: OclValid-def)+

apply(case-tac  $\neg ((v A) \tau = \text{true } \tau)$ , simp add: F-valid-arg)
apply(simp add: valid-inject-true F-valid-arg)
apply(rule impI)

apply(subst Finite-Set.comp-fun-commute.fold-fun-comm[where  $f = F$  and  $z = A$  and  $x =$ 
 $a$  and  $A = ((\lambda a \tau. a) ' ([Rep-Set-0 (S \tau)]) - \{a \tau\})$ , symmetric, OF F-commute])
apply(rule remove-finite, simp)

apply(subst image-set-diff[OF inject], simp)
apply(subgoal-tac Finite-Set.fold F A (insert ( $\lambda \tau'. a \tau$ ) (( $\lambda a \tau. a$ ) ' ([Rep-Set-0 (S \tau)])))  $\tau$ 
=
  F ( $\lambda \tau'. a \tau$ ) (Finite-Set.fold F A (( $\lambda a \tau. a$ ) ' ([Rep-Set-0 (S \tau)]) -  $\{\lambda \tau'. a \tau\}$ )  $\tau$ )
apply(subst F-cp)
apply(simp)

apply(subst Finite-Set.comp-fun-commute.fold-insert-remove[OF F-commute])
apply(simp)+
done
qed

```

```

lemma [simp]:  $\delta (Set\{\} \rightarrow size()) = true$ 
proof -
  have A1 :  $[[\{\}]] \in Set-0$  by(simp add: Set-0-def)
  have A2 :  $None \in Set-0$  by(simp add: Set-0-def bot-option-def)
  have A3 :  $[None] \in Set-0$  by(simp add: Set-0-def bot-option-def null-option-def)
  show ?thesis
  apply(rule ext)
  apply(simp add: defined-def mtSet-def OclSize-def
    bot-Set-0-def bot-fun-def
    null-Set-0-def null-fun-def)
  apply(subst Abs-Set-0-inject, simp-all add: A1 A2 A3) +
  by(simp add: A1 Abs-Set-0-inverse bot-fun-def bot-option-def null-fun-def null-option-def)
qed

lemma including-size-defined[simp]:  $\delta ((X \rightarrow including(x)) \rightarrow size()) = (\delta(X \rightarrow size()) \text{ and } v(x))$ 
proof -

  have defined-inject-true :  $\bigwedge \tau P. (\delta P) \tau \neq true \tau \implies (\delta P) \tau = false \tau$ 
    apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def
      null-fun-def null-option-def)
    by (case-tac  $P \tau = \perp \vee P \tau = null$ , simp-all add: true-def)

  have valid-inject-true :  $\bigwedge \tau P. (v P) \tau \neq true \tau \implies (v P) \tau = false \tau$ 
    apply(simp add: valid-def true-def false-def bot-fun-def bot-option-def
      null-fun-def null-option-def)
    by (case-tac  $P \tau = \perp$ , simp-all add: true-def)

  have C :  $\bigwedge \tau. (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies [[insert (x \tau) [[Rep-Set-0 (X \tau)]]]] \in Set-0$ 
    apply(frule Set-inv-lemma)
    apply(simp add: Set-0-def bot-option-def null-Set-0-def null-fun-def
      foundation18 foundation16 invalid-def)
    done

  have finite-including-exec' :
     $\bigwedge \tau. (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies$ 
       $finite \ [[Rep-Set-0 (X \rightarrow including(x) \tau)]] = finite \ [[Rep-Set-0 (X \tau)]]$ 
    apply(simp add: OclIncluding-def Abs-Set-0-inverse C)
    apply(drule foundation13[THEN iffD2, THEN foundation22[THEN iffD1]], simp)+
    done

```

have *finite-including-exec''* :
 $\bigwedge \tau. (\tau \models (\delta X)) \implies (\tau \models (v x)) \implies$
 $\text{finite } [[\text{Rep-Set-0 } (X \multimap \text{including}(x) \tau)]] = \text{finite } [[\text{Rep-Set-0 } (X \tau)]]$
by (*auto simp: OclIncluding-def Abs-Set-0-inverse C*
dest: foundation13[THEN iffD2, THEN foundation22[THEN iffD1]])

term $\bigwedge xa. (\delta X \text{ and } v x) xa = \text{true } xa$
term $\bigwedge \tau. (\delta X \text{ and } v x) \tau = \text{true } \tau$
term $\bigwedge \tau. \tau \models (\delta X \text{ and } v x) \triangleq \text{true}$
term $\bigwedge \tau. \tau \models (\delta X \text{ and } v x)$
term $\bigwedge \tau. \tau \models (\delta X) \wedge \tau \models (v x)$

have *finite-including-exec* : $\bigwedge \tau. (\delta X \text{ and } v x) \tau = \text{true } \tau \implies$
 $\text{finite } [[\text{Rep-Set-0 } (X \multimap \text{including}(x) \tau)]] = \text{finite } [[\text{Rep-Set-0 } (X \tau)]]$
apply (*simp add: OclIncluding-def*)
apply (*subst Abs-Set-0-inverse*)
apply (*simp add: Set-0-def*)
apply (*rule disjI2*) +
apply (*rule conjI*)
apply (*simp add: cp-ocl-and[of $\delta X v x$]*)
apply (*simp add: cp-valid[of x] valid-def*)
apply (*rule notI, simp add: bot-fun-def*)
apply (*simp add: cp-ocl-and[THEN sym]*)
apply (*simp add: false-def true-def*)

apply (*rule disjE[OF Set-inv-lemma[OF foundation5[of - $\delta X v x$, THEN conjunct1]]]*)
apply (*simp add: OclValid-def cp-ocl-and[THEN sym]*)

apply (*simp only: cp-ocl-and[of $\delta X v x$]*)
apply (*simp add: cp-defined[of x] defined-def*)
apply (*split split-if-asm*)
apply (*simp only: cp-ocl-and[of - $v x$, THEN sym]*)
apply (*simp*)
apply (*simp add: false-def true-def*)

apply (*simp add: bot-option-def null-Set-0-def null-fun-def*)
apply (*assumption*)

apply (*simp*)
apply (*case-tac (δX) $\tau = \text{true } \tau$, simp*)
apply (*simp only: cp-ocl-and[of $\delta X v x$]*)
apply (*simp add: cp-ocl-and[of - $v x$, THEN sym]*)
apply (*drule defined-inject-true[of X -]*)
apply (*simp only: cp-ocl-and[of $\delta X v x$]*)
apply (*simp add: cp-ocl-and[of - $v x$, THEN sym]*)
apply (*simp add: false-def true-def*)

```

done

have card-including-exec :  $\bigwedge \tau. (\delta (\lambda-. \llbracket \text{int } (\text{card } [\text{Rep-Set-0 } (X \rightarrow \text{including}(x) \tau)] \rrbracket) \rrbracket)) \tau$ 
=  $(\delta (\lambda-. \llbracket \text{int } (\text{card } [\text{Rep-Set-0 } (X \tau)] \rrbracket) \rrbracket)) \tau$ 
  apply(simp add: defined-def bot-fun-def bot-option-def null-fun-def null-option-def)
done

show ?thesis

  apply(rule ext, rename-tac  $\tau$ )
  apply(case-tac  $(\delta (X \rightarrow \text{including}(x) \rightarrow \text{size}())) \tau = \text{true } \tau, \text{ simp}$ )
  apply(subst cp-ocl-and)
  apply(subst cp-defined)
  apply(simp only: cp-defined[of  $X \rightarrow \text{including}(x) \rightarrow \text{size}()$ ])
  apply(simp add: OclSize-def)
  apply(case-tac  $((\delta X \text{ and } v x) \tau = \text{true } \tau \wedge \text{finite } [\text{Rep-Set-0 } (X \rightarrow \text{including}(x) \tau)] \rrbracket),$ 
simp)
  prefer 2
  apply(simp)
  apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def)
  apply(erule conjE)
  apply(simp add: finite-including-exec card-including-exec
    cp-ocl-and[of  $\delta X v x$ ]
    cp-ocl-and[of true, THEN sym])
  apply(subgoal-tac  $(\delta X) \tau = \text{true } \tau \wedge (v x) \tau = \text{true } \tau, \text{ simp}$ )
  apply(rule foundation5[of -  $\delta X v x$ , simplified OclValid-def], simp only: cp-ocl-and[THEN
sym])

  apply(drule defined-inject-true[of  $X \rightarrow \text{including}(x) \rightarrow \text{size}()$ ], simp)
  apply(simp only: cp-ocl-and[of  $\delta (X \rightarrow \text{size}()) v x$ ])
  apply(simp add: cp-defined[of  $X \rightarrow \text{including}(x) \rightarrow \text{size}()$ ] cp-defined[of  $X \rightarrow \text{size}()$ ])
  apply(simp add: OclSize-def finite-including-exec card-including-exec)
  apply(case-tac  $(\delta X \text{ and } v x) \tau = \text{true } \tau \wedge \text{finite } [\text{Rep-Set-0 } (X \tau)] \rrbracket,$ 
    simp add: finite-including-exec card-including-exec)
  apply(simp only: cp-ocl-and[THEN sym])
  apply(simp add: defined-def bot-fun-def)

  apply(split split-if-asm)
  apply(simp add: finite-including-exec)
  apply(simp add: finite-including-exec card-including-exec)
  apply(simp only: cp-ocl-and[THEN sym])
  apply(simp)
  apply(rule impI)
  apply(erule conjE)
  apply(case-tac  $(v x) \tau = \text{true } \tau, \text{ simp add: cp-ocl-and[of } \delta X v x])$ 
  apply(drule valid-inject-true[of  $x$ ], simp add: cp-ocl-and[of -  $v x$ ])
  apply(simp add: cp-ocl-and[THEN sym])
done
qed

```


lemma *excluding-size-defined*[simp]: $\delta ((X \rightarrow \text{excluding}(x)) \rightarrow \text{size}()) = (\delta(X \rightarrow \text{size}())) \text{ and } v(x))$

proof –

have *defined-inject-true* : $\bigwedge \tau P. (\delta P) \tau \neq \text{true } \tau \implies (\delta P) \tau = \text{false } \tau$

apply(simp add: *defined-def true-def false-def bot-fun-def*
bot-option-def null-fun-def null-option-def)

by (*case-tac* $P \tau = \perp \vee P \tau = \text{null}$, simp-all add: *true-def*)

have *valid-inject-true* : $\bigwedge \tau P. (v P) \tau \neq \text{true } \tau \implies (v P) \tau = \text{false } \tau$

apply(simp add: *valid-def true-def false-def bot-fun-def bot-option-def*
null-fun-def null-option-def)

by(*case-tac* $P \tau = \perp$, simp-all add: *true-def*)

have *finite-excluding-exec* : $\bigwedge \tau. (\delta X \text{ and } v x) \tau = \text{true } \tau \implies$
 $\text{finite } \llbracket \text{Rep-Set-0 } (X \rightarrow \text{excluding}(x) \tau) \rrbracket =$
 $\text{finite } \llbracket \text{Rep-Set-0 } (X \tau) \rrbracket$

apply(simp add: *OclExcluding-def*)

apply(subst *Abs-Set-0-inverse*)

apply(simp add: *Set-0-def*)

apply(rule *disjI2*)+

apply(rule *disjE*[*OF Set-inv-lemma*[*OF foundation5*[*of* - $\delta X v x$, *THEN conjunctI*]]])

apply(simp add: *OclValid-def cp-ocl-and*[*THEN sym*])

apply(simp only: *cp-ocl-and*[*of* $\delta X v x$])

apply(simp add: *cp-defined*[*of* x] *defined-def*)

apply(split *split-if-asm*)

apply(simp only: *cp-ocl-and*[*of* - $v x$, *THEN sym*])

apply(simp)

apply(simp add: *false-def true-def*)

apply(simp add: *bot-option-def null-Set-0-def null-fun-def*)

apply(rule *ballI*)

apply(*drule-tac* $Q = xa \neq \perp \text{ and } x = xa \text{ in ballE}$)

apply(*assumption*)

apply(simp)

apply(simp)

apply(*case-tac* $(\delta X) \tau = \text{true } \tau$, simp)

apply(simp only: *cp-ocl-and*[*of* $\delta X v x$])

apply(simp add: *cp-ocl-and*[*of* - $v x$, *THEN sym*])

apply(*drule defined-inject-true*[*of* X -])

apply(simp only: *cp-ocl-and*[*of* $\delta X v x$])

apply(simp add: *cp-ocl-and*[*of* - $v x$, *THEN sym*])

apply(simp add: *false-def true-def*)

done

```

have card-excluding-exec :  $\bigwedge \tau. (\delta (\lambda-. \llbracket \text{int } (\text{card } \llbracket \text{Rep-Set-0 } (X \rightarrow \text{excluding}(x) \tau) \rrbracket) \rrbracket)) \tau$ 
=
       $(\delta (\lambda-. \llbracket \text{int } (\text{card } \llbracket \text{Rep-Set-0 } (X \tau) \rrbracket) \rrbracket)) \tau$ 
apply(simp add: defined-def bot-fun-def bot-option-def null-fun-def null-option-def)
done

show ?thesis

apply(rule ext, rename-tac  $\tau$ )
apply(case-tac  $(\delta (X \rightarrow \text{excluding}(x) \rightarrow \text{size}())) \tau = \text{true } \tau, \text{simp}$ )
apply(subst cp-ocl-and)
apply(subst cp-defined)
apply(simp only: cp-defined[of X  $\rightarrow$  excluding(x)  $\rightarrow$  size()])
apply(simp add: OclSize-def)
apply(case-tac  $((\delta X \text{ and } v x) \tau = \text{true } \tau \wedge \text{finite } \llbracket \text{Rep-Set-0 } (X \rightarrow \text{excluding}(x) \tau) \rrbracket),$ 
simp)
prefer 2
apply(simp)
apply(simp add: defined-def true-def false-def bot-fun-def bot-option-def)
apply(erule conjE)
apply(simp add: finite-excluding-exec card-excluding-exec
      cp-ocl-and[of  $\delta X v x$ ]
      cp-ocl-and[of true, THEN sym])
apply(subgoal-tac  $(\delta X) \tau = \text{true } \tau \wedge (v x) \tau = \text{true } \tau, \text{simp}$ )
apply(rule foundation5[of -  $\delta X v x$ , simplified OclValid-def], simp only: cp-ocl-and[THEN
sym])

apply(drule defined-inject-true[of X  $\rightarrow$  excluding(x)  $\rightarrow$  size()], simp)
apply(simp only: cp-ocl-and[of  $\delta (X \rightarrow \text{size}()) v x$ ])
apply(simp add: cp-defined[of X  $\rightarrow$  excluding(x)  $\rightarrow$  size() ] cp-defined[of X  $\rightarrow$  size() ])
apply(simp add: OclSize-def finite-excluding-exec card-excluding-exec)
apply(case-tac  $(\delta X \text{ and } v x) \tau = \text{true } \tau \wedge \text{finite } \llbracket \text{Rep-Set-0 } (X \tau) \rrbracket,$ 
      simp add: finite-excluding-exec card-excluding-exec)
apply(simp only: cp-ocl-and[THEN sym])
apply(simp add: defined-def bot-fun-def)

apply(split split-if-asm)
apply(simp add: finite-excluding-exec)
apply(simp add: finite-excluding-exec card-excluding-exec)
apply(simp only: cp-ocl-and[THEN sym])
apply(simp)
apply(rule impI)
apply(erule conjE)
apply(case-tac  $(v x) \tau = \text{true } \tau, \text{simp add: cp-ocl-and[of } \delta X v x])$ 
apply(drule valid-inject-true[of x], simp add: cp-ocl-and[of - v x])
apply(simp add: cp-ocl-and[THEN sym])
done
qed

```

```

lemma size-defined:
  assumes X-finite:  $\bigwedge \tau. \text{finite } [[\text{Rep-Set-0 } (X \ \tau)]]$ 
  shows  $\delta (X \rightarrow \text{size}()) = \delta X$ 
  apply(rule ext, simp add: cp-defined[of  $X \rightarrow \text{size}()$ ] OclSize-def)
  apply(simp add: defined-def bot-option-def bot-fun-def null-option-def null-fun-def X-finite)
done

```

```

lemma [simp]:
  assumes X-finite:  $\bigwedge \tau. \text{finite } [[\text{Rep-Set-0 } (X \ \tau)]]$ 
  shows  $\delta ((X \rightarrow \text{including}(x)) \rightarrow \text{size}()) = (\delta(X) \text{ and } v(x))$ 
by(simp add: size-defined[OF X-finite])

```

4.2.7. Test Statements

```

lemma short-cut'[simp]:  $(8 \doteq 6) = \text{false}$ 
  apply(rule ext)
  apply(simp add: StrictRefEq-int StrongEq-def ocl-eight-def ocl-six-def
    true-def false-def invalid-def bot-option-def)
  apply(simp only: ocl-eight-def[THEN sym] ocl-six-def[THEN sym])
  apply(simp add: true-def)
done

```

```

lemma GogollasChallenge-on-sets:
   $\tau \models (\text{Set}\{ \mathbf{6}, \mathbf{8} \} \rightarrow \text{iterate}(i; r1 = \text{Set}\{ \mathbf{9} \} |$ 
     $r1 \rightarrow \text{iterate}(j; r2 = r1 |$ 
       $r2 \rightarrow \text{including}(\mathbf{0}) \rightarrow \text{including}(i) \rightarrow \text{including}(j))) \doteq \text{Set}\{ \mathbf{0}, \mathbf{6}, \mathbf{8},$ 
 $\mathbf{9} \})$ 
proof –
  have GogollasChallenge :
     $\text{Set}\{ \mathbf{6}, \mathbf{8} \} \rightarrow \text{iterate}(i; r1 = \text{Set}\{ \mathbf{9} \} |$ 
       $r1 \rightarrow \text{iterate}(j; r2 = r1 |$ 
         $r2 \rightarrow \text{including}(\mathbf{0}) \rightarrow \text{including}(i) \rightarrow \text{including}(j))) = \text{Set}\{ \mathbf{0}, \mathbf{8}, \mathbf{0},$ 
 $\mathbf{6}, \mathbf{8}, \mathbf{0}, \mathbf{9}, \mathbf{8}, \mathbf{0}, \mathbf{9}, \mathbf{6}, \mathbf{0}, \mathbf{9} \}$ 
  sorry
  show ?thesis
  apply(simp add: GogollasChallenge)
  sorry
qed

```

Elementary computations on Sets.

```

value  $\neg (\tau_0 \models v(\text{invalid}::(\mathfrak{A}, \alpha::\text{null}) \text{ Set}))$ 
value  $\tau_0 \models v(\text{null}::(\mathfrak{A}, \alpha::\text{null}) \text{ Set})$ 

```

```

value  $\neg (\tau_0 \models \delta(\text{null}::(\mathfrak{A}, \alpha::\text{null}) \text{ Set}))$ 
value  $\tau_0 \models v(\text{Set}\{\})$ 
value  $\tau_0 \models v(\text{Set}\{\text{Set}\{\mathbf{2}\}, \text{null}\})$ 
value  $\tau_0 \models \delta(\text{Set}\{\text{Set}\{\mathbf{2}\}, \text{null}\})$ 
value  $\tau_0 \models (\text{Set}\{\mathbf{2}, \mathbf{1}\} \rightarrow \text{includes}(\mathbf{1}))$ 
value  $\neg (\tau_0 \models (\text{Set}\{\mathbf{2}\} \rightarrow \text{includes}(\mathbf{1})))$ 
value  $\neg (\tau_0 \models (\text{Set}\{\mathbf{2}, \mathbf{1}\} \rightarrow \text{includes}(\text{null})))$ 
value  $\tau_0 \models (\text{Set}\{\mathbf{2}, \text{null}\} \rightarrow \text{includes}(\text{null}))$ 

end

```

5. Part III: State Operations and Objects

```
theory OCL-state
imports OCL-lib
begin
```

5.0.8. Recall: The generic structure of States

Next we will introduce the foundational concept of an object id (oid), which is just some infinite set.

```
type-synonym oid = nat
```

States are pair of a partial map from oid's to elements of an object universe \mathcal{A} — the heap — and a map to relations of objects. The relations were encoded as lists of pairs in order to leave the possibility to have Bags, OrderedSets or Sequences as association ends.

Recall:

```
record ('\<AA>)state =
  heap    :: "oid  $\rightarrow$  '\<AA> "
  assocs  :: "oid  $\rightarrow$  (oid  $\times$  oid) list"
```

```
type-synonym ('A)st = 'A state  $\times$  'A state
```

Now we refine our state-interface. In certain contexts, we will require that the elements of the object universe have a particular structure; more precisely, we will require that there is a function that reconstructs the oid of an object in the state (we will settle the question how to define this function later).

```
class object = fixes oid-of :: 'a  $\Rightarrow$  oid
```

Thus, if needed, we can constrain the object universe to objects by adding the following type class constraint:

```
typ 'A :: object
```

5.0.9. Referential Object Equality in States

Generic referential equality - to be used for instantiations with concrete object types ...

```
definition gen-ref-eq :: ('A, 'a :: {object, null})val  $\Rightarrow$  ('A, 'a)val  $\Rightarrow$  ('A)Boolean
where
  gen-ref-eq x y
     $\equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true } \tau \wedge (\delta y) \tau = \text{true } \tau$ 
      then if  $x \tau = \text{null} \vee y \tau = \text{null}$ 
```

$$\begin{aligned} & \text{then } \llbracket x \tau = \text{null} \wedge y \tau = \text{null} \rrbracket \\ & \text{else } \llbracket (\text{oid-of } (x \tau)) = (\text{oid-of } (y \tau)) \rrbracket \\ & \text{else invalid } \tau \end{aligned}$$

lemma *gen-ref-eq-object-strict1*[simp] :
 (gen-ref-eq x invalid) = invalid
by(rule ext, simp add: gen-ref-eq-def true-def false-def)

lemma *gen-ref-eq-object-strict2*[simp] :
 (gen-ref-eq invalid x) = invalid
by(rule ext, simp add: gen-ref-eq-def true-def false-def)

lemma *gen-ref-eq-object-strict3*[simp] :
 (gen-ref-eq x null) = invalid
by(rule ext, simp add: gen-ref-eq-def true-def false-def)

lemma *gen-ref-eq-object-strict4*[simp] :
 (gen-ref-eq null x) = invalid
by(rule ext, simp add: gen-ref-eq-def true-def false-def)

lemma *cp-gen-ref-eq-object*:
 (gen-ref-eq x y τ) = (gen-ref-eq ($\lambda\cdot. x \tau$) ($\lambda\cdot. y \tau$)) τ
by(auto simp: gen-ref-eq-def StrongEq-def invalid-def cp-defined[symmetric])

lemmas *cp-intro*[simp,intro!] =
 OCL-core.cp-intro
 cp-gen-ref-eq-object[THEN allI[THEN allI[THEN allI[THEN cpI2]],
 of gen-ref-eq]]

Finally, we derive the usual laws on definedness for (generic) object equality:

lemma *gen-ref-eq-defargs*:
 $\tau \models (\text{gen-ref-eq } x (y :: (\mathcal{A}, 'a :: \{\text{null}, \text{object}\}) \text{val})) \implies (\tau \models (\delta x)) \wedge (\tau \models (\delta y))$
by(simp add: gen-ref-eq-def OclValid-def true-def invalid-def
 defined-def invalid-def bot-fun-def bot-option-def
 split: bool.split-asm HOL.split-if-asm)

5.0.10. Further requirements on States

A key-concept for linking strict referential equality to logical equality: in well-formed states (i.e. those states where the self (oid-of) field contains the pointer to which the object is associated to in the state), referential equality coincides with logical equality.

definition *WFF* :: ($\mathcal{A} :: \text{object}$)st \Rightarrow bool
where *WFF* τ = (($\forall x \in \text{ran}(\text{heap}(\text{fst } \tau)). \lceil \text{heap}(\text{fst } \tau) (\text{oid-of } x) \rceil = x$) \wedge
 ($\forall x \in \text{ran}(\text{heap}(\text{snd } \tau)). \lceil \text{heap}(\text{snd } \tau) (\text{oid-of } x) \rceil = x$))

This is a generic definition of referential equality: Equality on objects in a state is reduced to equality on the references to these objects. As in HOL-OCL, we will store the reference

of an object inside the object in a (ghost) field. By establishing certain invariants ("consistent state"), it can be assured that there is a "one-to-one-correspondance" of objects to their references — and therefore the definition below behaves as we expect.

Generic Referential Equality enjoys the usual properties: (quasi) reflexivity, symmetry, transitivity, substitutivity for defined values. For type-technical reasons, for each concrete object type, the equality \doteq is defined by generic referential equality.

theorem *strictEqGen-vs-strongEq:*

WFF $\tau \implies \tau \models (\delta \ x) \implies \tau \models (\delta \ y) \implies$
 $(x \ \tau \in \text{ran} \ (\text{heap}(\text{fst} \ \tau)) \wedge y \ \tau \in \text{ran} \ (\text{heap}(\text{fst} \ \tau))) \wedge$
 $(x \ \tau \in \text{ran} \ (\text{heap}(\text{snd} \ \tau)) \wedge y \ \tau \in \text{ran} \ (\text{heap}(\text{snd} \ \tau))) \implies (* \ x \text{ and } y \text{ must be object representations}$
*that exist in either the pre or post state *)*
 $(\tau \models (\text{gen-ref-eq} \ x \ y)) = (\tau \models (x \triangleq y))$
apply(*auto simp: gen-ref-eq-def OclValid-def WFF-def StrongEq-def true-def Ball-def*)
apply(*erule-tac x=x \tau in allE', simp-all*)
done

So, if two object descriptions live in the same state (both pre or post), the referential equality on objects implies in a WFF state the logical equality. Uffz.

5.1. Miscillaneous: Initial States (for Testing and Code Generation)

definition $\tau_0 :: ('A)st$

where $\tau_0 \equiv (\langle \text{heap} = \text{Map.empty}, \text{assocs} = \text{Map.empty} \rangle,$
 $\langle \text{heap} = \text{Map.empty}, \text{assocs} = \text{Map.empty} \rangle)$

5.1.1. Generic Operations on States

In order to denote OCL-types occuring in OCL expressions syntactically — as, for example, as "argument" of `allInstances` — we use the inverses of the injection functions into the object universes; we show that this is sufficient "characterization".

definition $\text{allinstances} :: ('A \Rightarrow 'a) \Rightarrow ('A :: \text{object}, 'a \text{ option option}) \text{ Set}$
 $(\cdot . \text{oclAllInstances}'(\cdot))$

where $((H). \text{oclAllInstances}()) \ \tau =$
 $\text{Abs-Set-0} \ [\langle (\text{Some } o \ \text{Some } o \ H) \ \langle (\text{ran}(\text{heap}(\text{snd} \ \tau)) \cap \{x. \exists y. y = H \ x\}) \ \rangle \ \rangle]$

definition $\text{allinstancesATpre} :: ('A \Rightarrow 'a) \Rightarrow ('A :: \text{object}, 'a \text{ option option}) \text{ Set}$
 $(\cdot . \text{oclAllInstances}@pre'(\cdot))$

where $((H). \text{oclAllInstances}@pre()) \ \tau =$
 $\text{Abs-Set-0} \ [\langle (\text{Some } o \ \text{Some } o \ H) \ \langle (\text{ran}(\text{heap}(\text{fst} \ \tau)) \cap \{x. \exists y. y = H \ x\}) \ \rangle \ \rangle]$

lemma $\tau_0 \models H . \text{oclAllInstances}() \triangleq \text{Set}\{\}$

sorry

lemma $\tau_0 \models H .oclAllInstances@pre() \triangleq Set\{\}$
sorry

theorem *state-update-vs-allInstances:*

assumes $oid \notin dom \sigma'$

and $cp P$

shows $((\sigma, (\lceil heap = \sigma' (oid \mapsto Object), assoc = A \rceil)) \models (P(Type .oclAllInstances()))) =$
 $((\sigma, (\lceil heap = \sigma', assoc = A \rceil)) \models (P((Type .oclAllInstances()) \rightarrow including(\lambda -. Some(Some((the-inv$
 $Type) Object))))))$

sorry

theorem *state-update-vs-allInstancesATpre:*

assumes $oid \notin dom \sigma$

and $cp P$

shows $((\lceil heap = \sigma (oid \mapsto Object), assoc = A \rceil, \sigma') \models (P(Type .oclAllInstances@pre()))) =$
 $((\lceil heap = \sigma, assoc = A \rceil, \sigma') \models (P((Type .oclAllInstances@pre()) \rightarrow including(\lambda -.$
 $Some(Some((the-inv Type) Object))))))$

sorry

definition *oclisnew* :: $('A, 'a :: \{null, object\})val \Rightarrow ('A)Boolean \quad ((-).oclisNew')$

where $X .oclisNew() \equiv (\lambda \tau . \text{if } (\delta X) \tau = true \tau$
 $\text{then } [\lceil oid-of (X \tau) \notin dom(heap(fst \tau)) \wedge$
 $oid-of (X \tau) \in dom(heap(snd \tau)) \rceil]$
 $\text{else invalid } \tau)$

The following predicate — which is not part of the OCL standard descriptions — provides a simple, but powerful means to describe framing conditions. For any formal approach, be it animation of OCL contracts, test-case generation or die-hard theorem proving, the specification of the part of a system transistion that DOES NOT CHANGE is of premordial importance. The following operator establishes the equality between old and new objects in the state (provided that they exist in both states), with the exception of those objects

definition *oclismodified* :: $('A :: object, 'a :: \{null, object\})Set \Rightarrow 'A Boolean$
 $(\rightarrow oclisModifiedOnly')$

where $X \rightarrow oclisModifiedOnly() \equiv (\lambda(\sigma, \sigma'). \text{let } X' = (oid-of ' [\lceil Rep-Set-0(X(\sigma, \sigma')) \rceil]);$
 $S = ((dom(heap \sigma) \cap dom(heap \sigma')) - X')$
 $\text{in if } (\delta X) (\sigma, \sigma') = true (\sigma, \sigma')$
 $\text{then } [\lceil \forall x \in S. (heap \sigma) x = (heap \sigma') x \rceil]$
 $\text{else invalid } (\sigma, \sigma')$

definition *atSelf* :: $('A :: object, 'a :: \{null, object\})val \Rightarrow$

$('A \Rightarrow 'a) \Rightarrow$

$('A :: object, 'a :: \{null, object\})val ((-)@pre(-))$

where $x @pre H = (\lambda \tau . \text{if } (\delta x) \tau = true \tau$

$\text{then if } oid-of (x \tau) \in dom(heap(fst \tau)) \wedge oid-of (x \tau) \in dom(heap(snd \tau))$
 $\text{then } H [\lceil (heap(fst \tau))(oid-of (x \tau)) \rceil]$

else invalid τ
else invalid τ)

theorem *framing:*

assumes *modifiesclause:* $\tau \models (X \rightarrow \text{excluding}(x)) \rightarrow \text{ocIsModifiedOnly}()$
and *represented-x:* $\tau \models \delta(x \text{ @pre } H)$
and *H-is-typeprepr:* *inj H*
shows $\tau \models (x \stackrel{\Delta}{=} (x \text{ @pre } H))$

sorry

end

theory *OCL-tools*
imports *OCL-core*
begin

end

theory *OCL-main*
imports *OCL-lib OCL-state OCL-tools*
begin

end

Part III.

Conclusion

6. Conclusion

6.1. Lessons Learned

While our paper and pencil arguments, given in [4], turned out to be essentially correct, there had also been a lesson to be learned: If the logic is not defined as a Kleene-Logic, having a structure similar to a complete partial order (CPO), reasoning becomes complicated: several important algebraic laws break down which makes reasoning in OCL inherent messy and a semantically clean compilation of OCL formulae to a two-valued presentation, that is amenable to animators like KodKod [18] or SMT-solvers like Z3 [11] completely impractical. Concretely, if the expression `not(null)` is defined `invalid` (as is the case in the present standard [16]), then standard involution does not hold, i.e., `not(not(A)) = A` does not hold universally. Similarly, if `null and null` is `invalid`, then not even idempotence `X and X = X` holds. We strongly argue in favor of a lattice-like organization, where `null` represents “more information” than `invalid` and the logical operators are monotone with respect to this semantical “information ordering.”

Featherweight OCL makes these two deviations from the standard, builds all logical operators on Kleene-`not` and Kleene-`and`, and shows that the entire construction of our paper “Extending OCL with Null-References” [4] is then correct, and the DNF-normaliation as well as δ -closure laws (necessary for a transition into a two-valued presentation of OCL specifications ready for interpretation in SMT solvers (see [3] for details) are valid in Featherweight OCL.

6.2. Conclusion and Future Work

Featherweight OCL concentrates on formalizing the semantics of a core subset of OCL in general and in particular on formalizing the consequences of a four-valued logic (i.e., OCL versions that support, besides the truth values `true` and `false` also the two exception values `invalid` and `null`).

In the following, we outline the necessary steps for turning Featherweight OCL into a fully fledged tool for OCL, e.g., similar to HOL-OCL as well as for supporting test case generation similar to HOL-TestGen [8]. There are essentially five extensions necessary:

- extension of the library to support all OCL data types, e.g., `Sequence(T)`, `OrderedSet(T)`. This formalization of the OCL standard library can be used for checking the consistency of the formal semantics (known as “Annex A”) with the informal and semi-formal requirements in the normative part of the OCL standard.
- development of a compiler that compiles a textual or CASE tool representation

(e.g., using XMI or the textual syntax of the USE tool [17]) of class models. Such compiler could also generate the necessary casts when converting standard OCL to Featherweight OCL as well as providing “normalizations” such as converting multiplicities of class attributes to into OCL class invariants.

- a setup for translating Featherweight OCL into a two-valued representation as described in [3]. As, in real-world scenarios, large parts of UML/OCL specifications are defined (e.g., from the default multiplicity 1 of an attributes x , we can directly infer that for all valid states x is neither `invalid` nor `null`), such a translation enables an efficient test case generation approach.
- a setup in Featherweight OCL of the Nitpick animator [1]. It remains to be shown that the standard, Kodkod [18] based animator in Isabelle can give a similar quality of animation as the OCLexec Tool [12]
- a code-generator setup for Featherweight OCL for Isabelle’s code generator. For example, the Isabelle code generator supports the generation of F#, which would allow to use OCL specifications for testing arbitrary .net-based applications.

The first two extensions are sufficient to provide a formal proof environment for OCL 2.3 similar to HOL-OCL while the remaining extensions are geared towards increasing the degree of proof automation and usability as well as providing a tool-supported test methodology for UML/OCL.

Our work shows that developing a machine-checked formal semantics of recent OCL standards still reveals significant inconsistencies—even though this type of research is not new. In fact, we started our work already with the 1.x series of OCL. The reasons for this ongoing consistency problems of OCL standard are manifold. For example, the consequences of adding an additional exception value to OCL 2.2 are widespread across the whole language and many of them are also quite subtle. Here, a machine-checked formal semantics is of great value, as one is forced to formalize all details and subtleties. Moreover, the standardization process of the OMG, in which standards (e.g., the UML infrastructure and the OCL standard) that need to be aligned closely are developed quite independently, are prone to ad-hoc changes that attempt to align these standards. And, even worse, updating a standard document by voting on the acceptance (or rejection) of isolated text changes does not help either. Here, a tool for the editor of the standard that helps to check the consistency of the whole standard after each and every modifications can be of great value as well.

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