MODULE *SSI*

Serializable Snapshot Isolation

Based on the algorithm described in the paper:

Serializable Isolation for Snapshot Databases, Michael *J*. Cahill, *Uwe R*öhm, *Alan D*. Fekete, *SIGMOD'*08, *June* 2008.

EXTENDS *MVCC*

VARIABLES *rds*, which transactions have performed reads on each object
outc, transactions that have an outbound anti-dependency
inc transactions that have an inbound anti-dependency

$$\begin{aligned}
&TypeOkS \triangleq \\
&\quad \land\ rds \in [Obj \to \text{SUBSET } Tr] \\
&\quad \land\ outc \subseteq Tr \\
&\quad \land\ inc \subseteq Tr
\end{aligned}$$

$$\begin{aligned}
&InitS \triangleq \\
&\quad \land\ Init \\
&\quad \land\ rds = [obj \in Obj \mapsto \{\}] \\
&\quad \land\ inc = \{\} \\
&\quad \land\ outc = \{\}
\end{aligned}$$

$$\begin{aligned}
&BeginRdS(t,\ obj) \triangleq \\
&\quad \text{LET}\ \ isActiveWrite \triangleq (\exists\, tw \in Tr \setminus \{t\} : ActiveWrite(tw,\ obj)) \\
&\qquad\ \ tw \triangleq \text{CHOOSE } tw \in Tr \setminus \{t\} : ActiveWrite(tw,\ obj) \\
&\qquad\ \ localWriteToObj \triangleq \exists\, ver \in db[obj] : ver.tr = t \\
&\quad \text{IN}\ \ \land\ BeginRd(t,\ obj) \\
&\qquad\qquad \text{if } t \text{ has written to } obj\text{, there's no anti-dependency} \\
&\qquad\ \ \land\ rds' = \text{IF } localWriteToObj \text{ THEN } rds \text{ ELSE } [rds \text{ EXCEPT } ![obj] = @ \cup \{t\}] \\
&\qquad\ \ \land\ inc' = \text{IF } isActiveWrite \text{ THEN } inc \cup \{tw\} \text{ ELSE } inc \\
&\qquad\ \ \land\ outc' = \text{IF } isActiveWrite \text{ THEN } outc \cup \{t\} \text{ ELSE } outc
\end{aligned}$$

True when transaction t creates a pivot transaction when reading *obj*

From *Cahill* et al.: for each version (*xNew*) of x that is newer than what T read:
if *xNew.creator* is committed and *xNew.creator.outConflict*:
abort(T)

$$\begin{aligned}
&ReadCreatesPivot(t,\ obj) \triangleq \\
&\quad \text{LET}\ \ vr \triangleq GetVer(obj,\ vis[t]) \\
&\quad \text{IN}\ \ \land\ vr.tr \neq t \quad \text{reading our own write cannot create a pivot} \\
&\qquad\ \ \land\ \exists\, vw \in db[obj] : \land\ Concurrent(t,\ vw.tr) \\
&\qquad\qquad\qquad\qquad\ \ \land\ tstate[vw.tr] = Committed \\
&\qquad\qquad\qquad\qquad\ \ \land\ vw.tr \in outc
\end{aligned}$$

$$\begin{aligned}
&AbortRdS(t,\ obj) \triangleq \\
&\quad \land\ op[t] = \text{“r”} \\
&\quad \land\ rval[t] = Busy \\
&\quad \land\ arg[t] = obj
\end{aligned}$$

$\land$ *ReadCreatesPivot*$(t,\ obj)$
$\land\ op' = [op$ EXCEPT $![t] =$ "a"$]$
$\land\ arg' = [arg$ EXCEPT $![t] = \langle\rangle]$
$\land\ rval' = [rval$ EXCEPT $![t] = Err]$
$\land\ tr' = t$
$\land\ tstate' = [tstate$ EXCEPT $![t] = Aborted]$
$\land$ UNCHANGED $\langle db,\ vis,\ tid,\ deadlocked,\ rds,\ inc,\ outc\rangle$

object version $v1$ is newer than object version $v2$

$Newer(v1,\ v2) \triangleq tid[v1.tr] > tid[v2.tr]$

$EndRdS(t,\ obj,\ val) \triangleq$
LET $ver \triangleq GetVer(obj,\ vis[t])$
$Ab(w) \triangleq w.tr = Aborted$
$newer \triangleq$ IF $ver.tr \neq t$ THEN $\{w \in db[obj] : Newer(w,\ ver) \land \neg Ab(w)\}$ ELSE $\{\}$
IN
$\land\ EndRd(t,\ obj,\ val)$
$\land\ \neg ReadCreatesPivot(t,\ obj)$
each later transaction that wrote has an inbound conflict
$\land\ inc' = inc \cup \{w.tr : w \in newer\}$
if there are any newer versions, t has an outbound conflict
$\land\ outc' =$ IF $newer = \{\}$ THEN $outc$ ELSE $outc \cup \{t\}$
$\land$ UNCHANGED rds

True when transaction t creates a pivot transaction when reading obj

From *Cahill* et al.: if there is a *SIREAD lock*(rl) on x
with $rl.owner$ is running or $commit(rl.owner) > begin(T)$:
if $rl.owner$ is committed and $rl.owner.inConflict$:
$abort(T)$

$WriteCreatesPivot(t,\ obj) \triangleq$
$\exists\, tt \in rds[obj] \setminus \{t\} :$
$\land\ \lor\ tstate[tt] = Open$
$\lor\ tstate[tt] = Committed \land Concurrent(t,\ tt)$
$\land\ tt \in inc$

$AbortWrS(t,\ obj) \triangleq$
$\land\ \lor\ AbortWr(t,\ obj)$
$\lor\ \land\ op[t] =$ "w"
$\land\ rval[t] = Busy$
$\land\ WriteCreatesPivot(t,\ obj)$
$\land\ op' = [op$ EXCEPT $![t] =$ "a"$]$
$\land\ arg' = [arg$ EXCEPT $![t] = \langle\rangle]$
$\land\ rval' = [rval$ EXCEPT $![t] = Err]$
$\land\ tr' = t$
$\land\ tstate' = [tstate$ EXCEPT $![t] = Aborted]$

$\land$ UNCHANGED $\langle db,\ deadlocked,\ tid,\ vis\rangle$
$\land$ UNCHANGED $\langle rds,\ inc,\ outc\rangle$

$EndWrS(t,\ obj,\ val) \triangleq$
active transactions
LET $active \triangleq \{u \in Tr \setminus \{t\} : tstate[u] = Open\}$
active transactions that are reading obj
$ards \triangleq rds[obj] \cap active$
IN $\land\ EndWr(t,\ obj,\ val)$
$\land\ \neg\, WriteCreatesPivot(t,\ obj)$
$\land\ outc' = outc \cup ards$
$\land\ inc' =$ IF $ards = \{\}$ THEN inc ELSE $inc \cup \{t\}$
$\land$ UNCHANGED rds

$BeginCommit(t) \triangleq$
$\land\ tstate[t] = Open$
$\land\ rval[t] \neq Busy$
$\land\ op' = [op$ EXCEPT $![t] =$ "c"$]$
$\land\ arg' = [arg$ EXCEPT $![t] = \langle\rangle]$
$\land\ rval' = [rval$ EXCEPT $![t] = Busy]$
$\land\ tr' = t$
$\land$ UNCHANGED $\langle db,\ vis,\ tid,\ deadlocked,\ tstate,\ rds,\ outc,\ inc\rangle$

Abort if commit would create a pivot transaction.
$AbortCommit(t) \triangleq$
$\land\ op[t] =$ "c"
$\land\ rval[t] = Busy$
$\land\ t \in inc \cap outc$ pivot check
$\land\ op' = [op$ EXCEPT $![t] =$ "a"$]$
$\land\ arg' = [arg$ EXCEPT $![t] = \langle\rangle]$
$\land\ rval' = [rval$ EXCEPT $![t] = Err]$
$\land\ tr' = t$
$\land\ tstate' = [tstate$ EXCEPT $![t] = Aborted]$
$\land$ UNCHANGED $\langle db,\ vis,\ tid,\ deadlocked,\ rds,\ outc,\ inc\rangle$

$EndCommit(t) \triangleq$
$\land\ op[t] =$ "c"
$\land\ rval[t] = Busy$
$\land\ t \notin inc \cap outc$
$\land\ op' = [op$ EXCEPT $![t] =$ "c"$]$
$\land\ arg' = [arg$ EXCEPT $![t] = \langle\rangle]$
$\land\ rval' = [rval$ EXCEPT $![t] = Ok]$
$\land\ tr' = t$
$\land\ tstate' = [tstate$ EXCEPT $![t] = Committed]$
$\land$ UNCHANGED $\langle db,\ vis,\ tid,\ deadlocked,\ rds,\ outc,\ inc\rangle$

$$
\begin{array}{l}
BeginWrS(t,\ obj,\ val) \triangleq \\
\quad \land\ BeginWr(t,\ obj,\ val) \\
\quad \land\ \text{UNCHANGED}\ \langle rds,\ outc,\ inc\rangle
\end{array}
$$

$$
\begin{array}{l}
AbortS(t) \triangleq\ Abort(t) \land \text{UNCHANGED}\ \langle rds,\ outc,\ inc\rangle \\
DetectDeadlockS \triangleq\ DetectDeadlock \land \text{UNCHANGED}\ \langle rds,\ outc,\ inc\rangle \\
TerminationS \triangleq\ Termination \land \text{UNCHANGED}\ \langle rds,\ outc,\ inc\rangle \\
StartTransactionS(t) \triangleq\ StartTransaction(t) \land \text{UNCHANGED}\ \langle rds,\ outc,\ inc\rangle
\end{array}
$$

$$
\begin{array}{l}
NextS \triangleq\ \lor \exists\, t \in Tr,\ obj \in Obj,\ val \in Val : \\
\qquad\qquad \lor\ StartTransactionS(t) \\
\qquad\qquad \lor\ BeginRdS(t,\ obj) \\
\qquad\qquad \lor\ AbortRdS(t,\ obj) \\
\qquad\qquad \lor\ EndRdS(t,\ obj,\ val) \\
\qquad\qquad \lor\ BeginWrS(t,\ obj,\ val) \\
\qquad\qquad \lor\ AbortWrS(t,\ obj) \\
\qquad\qquad \lor\ EndWrS(t,\ obj,\ val) \\
\qquad\qquad \lor\ BeginCommit(t) \\
\qquad\qquad \lor\ AbortCommit(t) \\
\qquad\qquad \lor\ EndCommit(t) \\
\qquad\qquad \lor\ AbortS(t) \\
\qquad\ \lor\ DetectDeadlockS \\
\qquad\ \lor\ TerminationS
\end{array}
$$

$$
vS \triangleq\ \langle op,\ arg,\ rval,\ tr,\ db,\ tstate,\ tid,\ vis,\ deadlocked,\ rds,\ inc,\ outc\rangle
$$

$$
\begin{array}{l}
LS \triangleq\ \land \mathrm{WF}_{vS}(\exists\, t \in Tr :\ \lor\ StartTransactionS(t) \\
\qquad\qquad\qquad\qquad\qquad\qquad\ \lor\ AbortCommit(t) \\
\qquad\qquad\qquad\qquad\qquad\qquad\ \lor\ EndCommit(t) \\
\qquad\qquad\qquad\qquad\qquad\qquad\ \lor\ AbortS(t)) \\
\qquad\ \land \mathrm{WF}_{vS}(\exists\, t \in Tr,\ obj \in Obj : \\
\qquad\qquad\ \lor\ AbortRdS(t,\ obj) \\
\qquad\qquad\ \lor\ AbortWrS(t,\ obj)) \\
\qquad\ \land \mathrm{WF}_{vS}(\exists\, t \in Tr,\ obj \in Obj,\ val \in Val : \\
\qquad\qquad\ \lor\ EndRdS(t,\ obj,\ val) \\
\qquad\qquad\ \lor\ EndWrS(t,\ obj,\ val)) \\
\qquad\ \land \mathrm{WF}_{vS}(DetectDeadlockS) \\
\qquad\ \land \mathrm{SF}_{vS}(\exists\, t \in Tr : BeginCommit(t) \lor AbortS(t))
\end{array}
$$

$$
SpecS \triangleq\ InitS \land \Box[NextS]_{vS} \land LS
$$