

## PSET 4 — 02/06/2023

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## Credit Statement

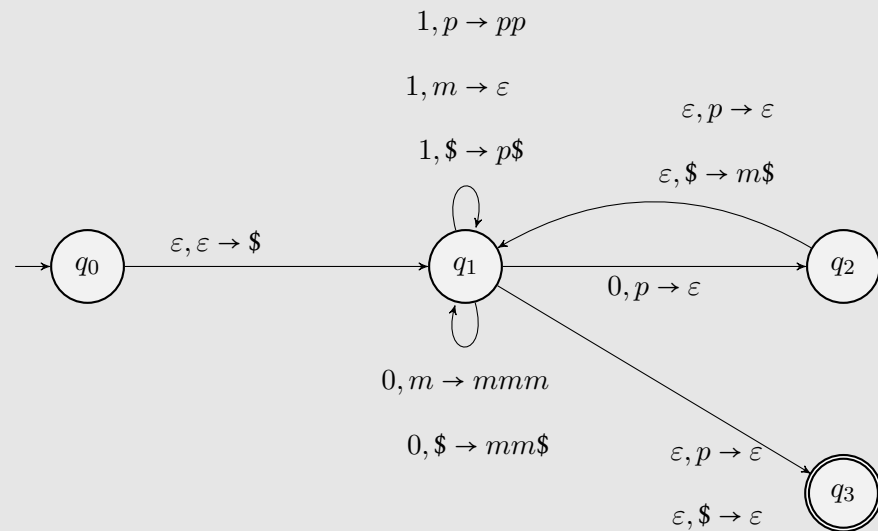
I discussed ideas for this homework assignment with Paul Shin.

I also referred to the following books:

- (a) **Introduction to the Theory of Computation** by Michael Sipser.
- (b) **A Mathematical Introduction to Logic** by Herbert Enderton.

## Problem 1.

Draw a PDA that recognizes the language  $L = \{x \in \{0, 1\}^* : N_1(x) \geq 2N_0(x)\}$ . Give a high-level proof that your PDA works correctly.

FIGURE 1. A PDA recognizing  $L$

**High-Level Idea and Proof of Correctness**

We use have stack variables:  $p$ ,  $m$ , and  $\$$ . Using these, we track the value of  $N_1(x) - 2N_0(x)$  as we read the string.

A  $p$  corresponds to a '+1', an  $m$  corresponds to a '-1', and  $\$$  corresponds to a 0. This is how the PDA works:

- First, we enforce that no stack state can contain both  $p$ 's and  $m$ 's at the same time. We do this by only starting to push  $p$ 's (or  $m$ 's in the alternate case) if the symbol at the top of the stack is  $\$$ , symbolizing a 0.
- We start by pushing a  $\$$  onto the stack, signifying a state of 0.
- Whenever we read a 0, we decrease the stack state by 2. This takes three forms:
  - We can remove two  $p$ 's from the stack.
  - If we only have a single  $p$  at the top of the tack, we remove it and push a single  $m$ .
  - If we have an  $m$  or the zero marker ( $\$$ ) at the top of the stack, we return it and push two more  $m$ 's.
- Whenever we read a 1, we increase the stack state by 1. We do this by:
  - If we have a  $p$  or a  $\$$  at the top of the stack, return it and push another  $p$ .
  - If we have an  $m$  at the top of the stack, remove it.
- Consequently, when we reach the end of the string:
  - If we have a  $\$$  at the top of the stack, that means we have encountered *exactly* twice as many 1's as 0's, so we accept the string.
  - If we have a  $p$  at the top of the stack, that means the number of 0's we have encountered is more than twice the number of 1's we have encountered, so we accept the string.
  - Otherwise, the number of 1's was less than twice the number 0's in the string, so we do not generate a transition to the accepting state.

**Problem 2.**

In class, we wrote a formal construction of a PDA that proves that context-free languages are closed under union.

Give similar constructions for PDAs to prove closure under:

- (a) concatenation.

Let  $L_1$  and  $L_2$  be context-free languages. Take  $M_1 = (Q_1, \Sigma_1, \Gamma_1, \delta_1, q_{01}, F_1)$  to be a PDA that recognizes  $L_1$  and  $M_2 = (Q_2, \Sigma_2, \Gamma_2, \delta_2, q_{02}, F_2)$  to be a PDA that recognizes  $L_2$ .

Construct a new PDA  $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$  as follows:

- $Q = Q_1 \cup Q_2$  (after enforcing that  $Q_1 \cap Q_2 = \emptyset$ )
- $\Sigma = \Sigma_1 \cup \Sigma_2$  (we don't particularly care about equality of  $\Sigma_1$  and  $\Sigma_2$ )
- $\Gamma = \Gamma_1 \cup \Gamma_2$  (we don't particularly care about equality of  $\Gamma_1$  and  $\Gamma_2$ )
- $q_0 = q_{01}$
- $F = F_2$
- $\delta$  is defined as follows:

$$\delta(q, a, \gamma) = \begin{cases} \delta_1(q, a, \gamma) \cup \{q_{02}, \emptyset\} & \text{if } q \in F_1 \text{ and } a = \gamma = \varepsilon. \\ \delta_1(q, a, \gamma) & \text{if } q \in Q_1. \\ \delta_2(q, a, \gamma) & \text{if } q \in Q_2. \end{cases}$$

**Claim 2.1.**  $M$  recognizes  $L_1 \cup L_2$ .

*Proof.* Note that the starting state of  $M$  is  $q_{01}$ , while the accepting states of  $M$  are in  $F_2$ . The only transition that takes  $M$  from a state formerly in  $Q_1$  to a state formerly in  $Q_2$  is when (1) we are at a state  $q \in F_1$  (an accepting state of  $M_1$ ), (2) we read no input (epsilon transition), and (3) we clear the stack.

Since  $M_1$  recognizes  $L_1$ , we know that the computational path of  $M_1$  on all strings in  $L_1$  ends in an accepting state  $q_{f1} \in F_1$ . Likewise, since  $M_2$  recognizes  $L_2$ , we know that the computational path of  $M_2$  on all strings in  $L_2$  ends in an accepting state  $q_{f2} \in F_2$ .

- **Completeness:** If a string  $s$  is in  $L_1 L_2$ , then we can write it as  $s = xy$  for some  $x \in L_1$  and  $y \in L_2$ . Then, the computational path of  $M$  on  $x$  mimics that of  $M_1$  (since it starts at  $q_{01}$  and we use  $\delta_1$  for all states  $q \in Q_1$ ). Therefore,  $M$  has a computational path on  $x$  that ends in an accepting state  $q_{f1} \in F_1$ . Then,  $M$  takes the epsilon transition to  $q_{02}$ . In processing  $y$ ,  $M$  starts at  $q_{02}$  and uses  $\delta_2$  for all states  $q \in Q_2$ , so it has some computational path from  $q_{02}$  to  $q_{f2} \in F_2$ . Putting these two

paths together and the middle epsilon transition, we get a computational path of  $M$  from  $q_{01}$  to  $q_{f2} \in F_2$ , which is an accepting state of  $M$ . Therefore,  $M$  accepts the string.

- **Soundness:** Let  $s$  be a string accepted by  $M$ . Then there must exist some computational path  $p_1$  of  $M$  on  $s$ , taking  $M$  from  $q_{01}$  to a state in  $F_1$ , followed by the epsilon transition to  $q_{02}$ , and some computational path  $p_2$  of  $M$  from  $q_{02}$  to a state in  $F_2$ . By definition of  $M$ ,  $p_1$  corresponds to an accepting computational path of  $M_1$  and  $p_2$  corresponds to an accepting computational path of  $M_2$ . Meaning that  $M_1$  accepts some prefix  $x$  of  $s$  and  $M_2$  accepts some suffix  $y$  of  $s$ , and  $x$  and  $y$  form the entire string  $s$ , so  $s = xy$ ,  $x \in L_1$ ,  $y \in L_2$ . Therefore, any such  $s$  accepted by  $M$  is in  $L_1 L_2$ .

□

(b) Kleene star.

Let  $L$  be a context-free language. Take  $M = (Q, \Sigma, \Gamma, \delta, q_0, F)$  to be a PDA that recognizes  $L$ .

Construct a new PDA  $M_2 = (Q \cup q_{start}, \Sigma, \Gamma, \delta_2, q_0, F \cup q_{start})$  where:

- $q_{start} \notin Q$
- $\delta_2$  is defined as follows:

$$\delta_2(q, a, \gamma) = \begin{cases} \delta(q, a, \gamma) \cup \{(q_0, \emptyset)\} & \text{if } q \in F \text{ and } a = \gamma = \varepsilon. \\ \{(q_0, \emptyset)\} & \text{if } q = q_{start} \text{ and } a = \gamma = \varepsilon. \\ \delta(q, a, \gamma) & \text{otherwise.} \end{cases}$$

**Claim 2.2.**  $M_2$  recognizes  $L^*$ .

*Proof.* Note that:

**Completeness:** If a string  $s$  is in  $L^*$ , then, either:

- $s = \varepsilon$ . Since  $q_{start}$  is an accepting state of  $M_2$ ,  $M_2$  accepts  $s$ .
- $s = x_1 x_2 \dots x_n$ , with all  $x_i \in L$ . Then, for each  $x_i$ , there exists some computational path that takes  $M_2$  from  $(q_0, \emptyset)$  to some  $(q_f, \Gamma_0)$  where  $q_f \in F$  and  $\Gamma_0 \in \Gamma^*$ . Since  $(q_0, \emptyset)$  is in the set of possible next states for epsilon transitions on all accepting states (as defined in case 1 of  $\delta_2$ ), we have a connecting path from any such  $(q_f, \Gamma_0)$  to  $(q_0, \emptyset)$  between any  $x_i$  and  $x_{i+1}$ . Therefore,  $M_2$  has some computational path that:
  - starts at  $(q_{start}, \emptyset)$ ,
  - Advances to some accepting state  $(q_f, \Gamma_0)$  after reading  $x_1$ ,
  - Takes an  $\varepsilon$ -transition back to  $(q_0, \emptyset)$ ,
  - Advances to some accepting state  $(q_{f2}, \Gamma_1)$  after reading  $x_2$ ,

- (v) Takes an  $\varepsilon$ -transition back to  $(q_0, \emptyset)$  again,
- (vi) Repeats the process for  $x_3, \dots, x_n$ , and
- (vii) Is in some accepting state after finishing reading  $x_n$  (but not taking the  $\varepsilon$ -transition back to  $(q_0, \emptyset)$ ).

Therefore,  $M_2$  has a computational that accepts  $s$ , so  $M_2$  accepts  $s$ .

**Soundness:** If  $s$  is accepted by  $M_2$ , then, either:

- (i)  $s = \varepsilon$ , since  $q_{start}$  is an accepting state. Since  $\varepsilon \in L^*$  for any language, then  $s$  is a valid string in  $L^*$ .
- (ii) Otherwise, we claim that  $s = x_1x_2\cdots x_n$ , with all  $x_i \in L$ .

$M_2$  mimics the transitions of  $M$ , only adding a new start state and  $\varepsilon$ -transitions from accepting states to the old start state. Therefore, if  $s$  is accepted by  $M_2$ , then a suffix  $x_1$  of  $s$  (which might be the whole string) takes  $M_2$  from  $(q_0, \emptyset)$  to some accepting state  $(q_f, \Gamma_0)$  where  $q_f \in F$ . If the suffix is NOT the whole string, write the whole string  $s$  as  $s = px_1$ , then if we erase the suffix  $x_1$  then the prefix  $p$  must also end up in some accepting state of  $M_2$ . Continuing in the same way, we can extract a suffix  $x_2$  of  $p$ , and so on up to some  $x_n$  where we remain with the empty string. Therefore, we can write  $s = x_nx_{n-1}\cdots x_1$  where all  $x_i \in L$ . But this is exactly identical to writing  $s = x_1x_2\cdots x_n$  required for  $s$  to be in  $L^*$ , only that the numbering of  $x$  is reversed. Therefore, any such accepted string  $s$  must be in  $L^*$ .

□

**Problem 3.**

Give an alternate proof, using CFGs alone (no PDAs), to prove that context-free grammars are closed under:

(a) union.

Let  $G_1 = (V_1, \Sigma_1, R_1, S_1)$  and  $G_2 = (V_2, \Sigma_2, R_2, S_2)$  such that  $G_1$  and  $G_2$  generate  $L_1$  and  $L_2$ , respectively. Define  $G = (V, \Sigma, R, S)$  as follows:

- $V = V_1 \cup V_2 \cup \{S\}$ , where  $S \notin V_1 \cup V_2$  and  $V_1 \cap V_2 = \emptyset$ .
- $\Sigma = \Sigma_1 \cup \Sigma_2$
- $R = R_1 \cup R_2 \cup \{(S, S_1), (S, S_2)\}$

**Claim 3.1.**  $G$  generates  $L_1 \cup L_2$ .

*Proof.* We show that  $G$  is a CFG that generates  $L_1 \cup L_2$ .

(i) **Completeness:** Let  $w$  be a string in  $L_1 \cup L_2$ . This means that, either:

- $w \in L_1$ , so there exists some derivation  $S_1 \Rightarrow^* w$  from  $G_1$ , or
- $w \in L_2$ , so there exists some derivation  $S_2 \Rightarrow^* w$  from  $G_2$ .

Note that  $G$  is defined such that  $V_1 \subset V$  and  $V_2 \subset V$ . Likewise,  $R_1 \subset R$  and  $R_2 \subset R$ . Therefore, any such derivation can be deduced in  $G$  *starting from the relevant symbol, of either  $S_1$  or  $S_2$* . However, the start symbol in  $G$  is  $S$ , so a derivation  $S \Rightarrow^* S_1$  or  $S \Rightarrow^* S_2$  is needed to be able to derive strings from  $L_1$  or  $L_2$  respectively. Since the definition of  $G$  adds two new rules,  $(S, S_1)$  and  $(S, S_2)$ , the derivation  $S \Rightarrow^* S_1$  and  $S \Rightarrow^* S_2$  are possible. So any string that can be generated by  $G_1$  can also be generated by  $G$ , and any string that can be generated by  $G_2$  can also be generated by  $G$ , meaning  $G$  can generate any string in  $L_1 \cup L_2$ .

(ii) **Soundness:** If a string is generated by  $G$ , we claim that it is in  $L_1 \cup L_2$ . Note that  $G$  has a single start symbol,  $S$ , and the only rules including  $S$  are  $(S, S_1)$  and  $(S, S_2)$ . This means from  $S$  we can only derive *either  $S_1$  or  $S_2$ , but not both, and not any other symbol*. Since  $S_1 \in V_1$  and  $S_2 \in V_2$  and we defined  $V_1$  and  $V_2$  to be disjoint, the only strings that can be generated from  $S_1$  must be in  $L_1$  (using the rules in  $R_1$ ) and the only strings that can be generated from  $S_2$  must be in  $L_2$  (using the rules in  $R_2$ ). Therefore, any string that can be generated by  $G$  must be either in  $L_1$  or in  $L_2$ , meaning any string  $G$  generates is in  $L_1 \cup L_2$ .

□

(b) concatenation.

Let  $G_1 = (V_1, \Sigma_1, R_1, S_1)$  and  $G_2 = (V_2, \Sigma_2, R_2, S_2)$  such that  $G_1$  and  $G_2$  generate  $L_1$  and  $L_2$ , respectively. Define  $G = (V, \Sigma, R, S)$  as follows:

- $V = V_1 \cup V_2 \cup \{S\}$ , where  $S \notin V_1 \cup V_2$  and  $V_1 \cap V_2 = \emptyset$ .
- $\Sigma = \Sigma_1 \cup \Sigma_2$
- $R = R_1 \cup R_2 \cup \{(S, S_1 S_2)\}$

**Claim 3.2.**  $G$  generates  $L_1 L_2$ .

*Proof.* We show that  $G$  is a CFG that generates  $L_1 L_2$ .

- (i) **Completeness:** Let  $w$  be a string in  $L_1 L_2$ . This means that, for some  $u \in L_1$  and  $v \in L_2$ ,  $w = uv$ . Therefore, there exists some derivation  $S_1 \Rightarrow^* u$  in  $G_1$  and some derivation  $S_2 \Rightarrow^* v$  from  $G_2$ . Since  $V_1 \subset V$ ,  $V_2 \subset V$ ,  $R_1 \subset R$ , and  $R_2 \subset R$ , these derivations are also possible in  $G$  *starting from the relevant symbol, of either  $S_1$  or  $S_2$* . But the start symbol in  $G$  is  $S$ , so a derivation  $S \Rightarrow^* S_1 S_2$  is needed to be able to derive strings from  $L_1 L_2$ . The definition of  $G$  adds this rule,  $(S, S_1 S_2)$ , so the derivation  $S \Rightarrow^* S_1 S_2$  is possible. Therefore, any string in  $L_1 L_2$  can be generated by  $G$ .
- (ii) **Soundness:** If a string is generated by  $G$ , we claim that it is in  $L_1 L_2$ .  $G$  has a single start symbol,  $S$ , and the only rule from  $S$  is  $(S, S_1 S_2)$ . This means from  $S$  we can only derive  $S_1 S_2$ . Since  $S_1 \in V_1$  and  $S_2 \in V_2$  and we defined  $V_1$  and  $V_2$  to be disjoint, the only strings that can be generated from  $S_1$  must be in  $L_1$  (using the rules in  $R_1$ ) and the only strings that can be generated from  $S_2$  must be in  $L_2$  (using the rules in  $R_2$ ). Therefore, any string that can be generated by  $G$  must be the concatenation of a string in  $L_1$  and a string in  $L_2$ , so any string  $G$  generates is in  $L_1 L_2$ .

□

(c) Kleene star.

Let  $G_1 = (V_1, \Sigma_1, R_1, S_1)$  be a CFG that generates  $L$ . Define  $G = (V, \Sigma, R, S)$  as follows:

- $V = V_1 \cup \{S\}$ , where  $S \notin V_1$ .
- $\Sigma = \Sigma_1$
- $R = R_1 \cup \{(S, S_1 S), (S, \varepsilon)\}$

**Claim 3.3.**  $G$  generates  $L^*$ .

*Proof.* We show that  $G$  is a CFG that generates  $L^*$ .

(i) **Completeness:** Let  $w$  be a string in  $L^*$ . There are two possible scenarios:

- (i)  $w = \varepsilon$ : Since we have the rule  $S \Rightarrow \varepsilon$ ,  $G$  can generate  $\varepsilon$ .
- (ii)  $w = w_1, \dots, w_n$  with all  $w_i \in L$ . This means that there exists some derivation  $S_1 \Rightarrow^* w_1$  in  $G_1$ ,  $S_1 \Rightarrow^* w_2$  in  $G_1$ , ..., and  $S_1 \Rightarrow^* w_n$  in  $G_1$ . Since  $V_1 \subset V$  and  $R_1 \subset R$ , each one of these derivations is also possible in  $G$  starting from the relevant symbol,  $S_1$ . To derive their concatenations starting from  $S$ , we need a rule that can recursively derive  $S_1$  multiple times from  $S$ . We define this rule in the definition of  $G$  as  $(S, S_1 S)$ , allowing  $G$  to derive  $S_1 S_1 S_1 \dots S_1 S$  from  $S$ , then eventually replace the  $S$  with  $\varepsilon$  and derive each  $w_i$  from the corresponding  $S_1$ .

(ii) **Soundness:** If a string is generated by  $G$ , we claim that it is in  $L^*$ .  $G$  has a single start symbol,  $S$ , which yields either  $\varepsilon$  or  $S_1 S$ . the first case generates  $\varepsilon$ , which is in  $L^*$ . In the second case, repeated expansion of  $S$  in the expression yields  $S_1 S_1 S_1 \dots S_1 S$ . Each  $S_1$  eventually yields a string in  $L$ , and the final  $S$  yields  $\varepsilon$ . Therefore, any string that can be generated by  $G$  must either be the empty string or a concatenation of strings from  $L$  — meaning it is in  $L^*$ .

□



**Problem 4.**

A string  $x \in \Sigma^*$  is called a *square* if  $x = w^2$  for some  $w \in \Sigma^*$ . Let  $L_{sq} = \{w^2 : w \in \{0, 1\}^*\}$ . Consider its complement:

$$\overline{L}_{sq} = \{x \in \{0, 1\}^* : x \text{ is not of the form } w^2 \text{ for any } w \in \{0, 1\}^*\}.$$

- (a) Prove that every even-length string in  $\overline{L}_{sq}$  can be decomposed as  $x = uv$  where the middle symbol of  $u$  differs from the middle symbol of  $v$ .

Let  $x = uv$  be a string in  $\overline{L}_{sq}$  such that  $|u| = |v|$ . Suppose the string  $x$  has length  $2n$ , such that  $x = u_1u_2\cdots u_nv_1v_2\cdots v_n$ . Since  $u \neq v$  (by definition of  $\overline{L}_{sq}$ ), it must be the case that  $u_i \neq v_i$  for some  $1 \leq i \leq n$  (maybe multiple values of  $i$ , but we only care about one).

Suppose  $k$  is the smallest such  $i$  with  $u_k \neq v_k$ .

- (i) If  $k \leq \frac{n}{2}$ , take  $s_1 = u_1, u_2, \dots, u_{2k-1}, \dots, u_n$  and  $s_2 = v_1, v_2, \dots, v_{2k-1}, \dots, v_n$ . Then  $|s_1| = 2k - 1$  meaning the middle symbol of  $s_1$  is  $u_k$ . Likewise,  $|s_2| = n + n - (2k - 1) = 2n - 2k + 1$ , meaning the middle element is at position  $n - k$ . Since  $s_1$  starts at  $2k$ , the middle element is at position  $2k + n - k = n + k$ . This is the element corresponding to  $v_k$  and  $u_k \neq v_k$  so the two strings  $s_1$  and  $s_2$  have differing middle symbols.
- (ii) If  $k > \frac{n}{2}$ , proceed as above but counting up to the corresponding element in  $v$  from the end of the string.

- (b) Using this property, design a context-free grammar that generates  $\overline{L}_{sq}$ .

**Derivations**

$$S \Rightarrow AB \mid BA \mid X$$

$$A \Rightarrow 0A0 \mid 0A1 \mid 1A0 \mid 1A1 \mid 0$$

$$B \Rightarrow 0B0 \mid 0B1 \mid 1B0 \mid 1B1 \mid 1$$

$$X \Rightarrow 0X0 \mid 0X1 \mid 1X0 \mid 1X1 \mid 0 \mid 1$$

**CFG**

$G = (V, \Sigma, R, S)$  where:

$V = \{S, A, B, X\}$

$\Sigma = \{0, 1\}$

$R = R_S \cup R_A \cup R_B \cup R_X$

$R_S = \{(S, AB), (S, BA), (S, X)\}$

$R_A = \{(A, 0A0), (A, 0A1), (A, 1A0), (A, 1A1), (A, 0)\}$

$R_B = \{(B, 0B0), (B, 0B1), (B, 1B0), (B, 1B1), (B, 1)\}$

$R_X = \{(X, 0X0), (X, 0X1), (X, 1X0), (X, 1X1), (X, 0), (X, 1)\}$

**Idea Behind CFG**

First, note that odd-length strings cannot be squares, so they are all in  $\overline{L}_{sq}$ . To generate these, we add rules to generate strings of odd length, without restriction to the middle symbol (as defined in  $R_X$ ).

To handle strings of even length that are not squares, we use the property that they must be decomposable into two odd-sized strings with differing middle symbols. We add the rules  $S \Rightarrow AB \mid BA$  to generate such strings, where  $A$  generates odd-length strings with a 0 as a middle symbol while  $B$  generates odd-length strings with a 1 as a middle symbol.

**Problem 5.**

Let  $\Sigma$  be an alphabet,  $L \subseteq \Sigma^*$ , and  $\# \notin \Sigma$ . Define the language

$$\text{INTERSPERSE}(\#, L) := \{a_1 \# a_2 \# \dots \# a_n\}, \text{ each } a_i \in \Sigma \text{ and } a_1 a_2 \dots a_n \in L.$$

Let  $M_1 = (Q, \Sigma, \Gamma, \delta, q_0, F)$  be a PDA that recognizes  $L$ . Formally describe a PDA that recognizes  $\text{INTERSPERSE}(\#, L)$ .

Also give a high-level proof that your PDA works correctly.

Let  $M_2 = (\bigcup_{q \in Q} \{q, q_\#, q_\varepsilon\}, \Sigma \cup \{\#\}, \Gamma, \delta_2, q_0, F_2)$  be a PDA such that:

- $F_2 = \{q_\varepsilon : q \in F\} \cup \{q_\# : q \in F\}$
- $\delta_2$  is defined as follows:

$$\delta_2(q, \varepsilon, \gamma) = \delta(q, a, \gamma)_\varepsilon$$

$$\delta_2(q, x, \gamma) = \delta(q, a, \gamma)_\# \text{ if } x \in \Sigma$$

$$\delta_2(q_\#, \#, \varepsilon) = q$$

$$\delta_2(q_\varepsilon, \varepsilon, \varepsilon) = q$$

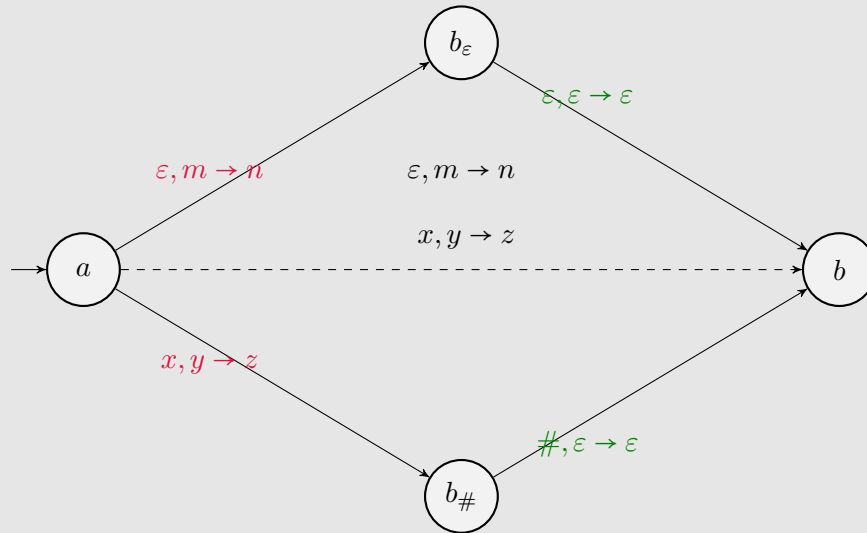


FIGURE 2. Modification of Transitions to Require  $\#$  between any two non-epsilon Symbols.

**Claim 5.1.**  $M_2$  recognizes  $INTERSPERSE(\#, L)$ .

*Proof.* In contrast to  $M_1$ ,  $M_2$  makes the following modifications:

- (i) Any transition that takes the PDA from a state  $a$  to a state  $b$ , now does one of the following:
  - Takes the PDA from  $a$  to  $b_\epsilon$  if the transition reads an epsilon input. From  $b_\epsilon$ , the PDA can choose to take a transition to  $b$  without reading any input or interfering with the stack, so  $b$  is still reachable.
  - Takes the PDA from  $a$  to  $b_\#$  if the transition reads a non-epsilon input. From  $b_\#$ , the only transition forward reads a  $\#$  symbol but does not interfere with the stack, thus ensuring that  $b$  is still reachable only if a  $\#$  symbol exists between the consecutive letters in the input string.
- (ii) For all accepting states  $f \in F_1$ , we define the states  $f_\#$  and  $f_\epsilon$  to be accepting states in  $M_2$ , and make  $f$  non-accepting in  $M_2$ . This ensures that:
  - If  $a_1 a_2 \dots a_n$  is accepted in  $M_1$ , then  $a_1 \# a_2 \# \dots \# a_n$  is accepted in  $M_2$ .
  - We do not accept strings ending with a  $\#$  symbol, e.g.  $a_1 \# a_2 \# \dots \# a_n \#$ , since the state  $f$  itself is non-accepting.

□

**Problem 6.**

Consider the following CFG:

$$S \rightarrow 1S00 \mid 00S1 \mid SS \mid 0S1S0 \mid \varepsilon$$

- (a) Give a simple description of the language it generates using set-builder notation.

$$L = \{x \in \{0, 1\}^* : N_0(x) = 2N_1(x)\}$$

- (b) Now for the hard and fun part: prove the correctness of your answer.

We shall prove this in two parts. First, we show by induction on the form of the string that the condition holds for all the derivations of  $S$ , then we show that every string can be written as one of the derivations of  $S$ .

**Claim 6.1.**  $N_0(S) = 2N_1(S)$  *for all derivations of  $S$ .*

*Proof.* We shall show this by induction on the form of  $S$ .

**Base Case:**  $S \Rightarrow \varepsilon$

In this case,  $N_0(S) = 0$  and  $N_1(S) = 0$ , so the condition  $N_1(S) = 2N_0(S)$  holds.

**Inductive Step:**

- (i)  $S \Rightarrow 1S00$

Assuming  $N_0(S) = 2N_1(S)$  for the smaller case, let  $x = N_1(S)$ .

Then  $N_0(S) = N_0(S) + 2 = 2x + 2 = 2(x + 1)$ , and  $N_1(S) = N_1(S) + 1 = x + 1$ .

Therefore,  $N_0(S) = 2N_1(S)$  and the condition holds.

- (ii)  $S \Rightarrow 00S1$

Assuming  $N_0(S) = 2N_1(S)$  for the smaller case, proceeding as in case (i) above we also see that  $N_0(S) = 2N_1(S)$ .

- (iii)  $S \Rightarrow SS$

Assuming that  $N_0(S) = 2N_1(S)$  and  $N_0(S) = 2N_1(S)$  for the two smaller cases, let  $x = N_1(S)$  and  $y = N_1(S)$ .

We see that  $N_0(S) = N_0(S) + N_0(S) = 2x + 2y = 2(x + y)$ , and  $N_1(S) = N_1(S) + N_1(S) = x + y$ .

Therefore,  $N_0(S) = 2N_1(S)$  and the condition holds.

(iv)  $S \Rightarrow 0\textcolor{red}{S}1\textcolor{green}{S}0$

Assuming that  $N_0(\textcolor{red}{S}) = 2N_1(\textcolor{red}{S})$  and  $N_0(\textcolor{green}{S}) = 2N_1(\textcolor{green}{S})$  for the two smaller cases, let  $x = N_1(\textcolor{red}{S})$  and  $y = N_1(\textcolor{green}{S})$ .

Then  $N_0(S) = N_0(\textcolor{red}{S}) + N_0(\textcolor{green}{S}) + 2 = 2x + 2y + 2 = 2(x + y + 1)$ , and  $N_1(S) = N_1(\textcolor{red}{S}) + N_1(\textcolor{green}{S}) + 1 = x + y + 1$ .

Therefore,  $N_0(S) = 2N_1(S)$  and the condition holds. □

**Claim 6.2.** *Every string  $x \in L$  can be expressed as a derivation of  $S$ .*

*Proof.* First, let's define a useful metric. For any string  $x$ , let  $f(x) = N_0(x) - 2N_1(x)$ . This means that  $f(x) = 0$  if and only if  $x \in L$ .

Now let's look at the strings in  $L$  and see how the metric changes over the length of the strings. Let  $x$  be an arbitrary string in  $L$ . There are two general cases:

(i)  $x$  starts and ends with the same symbol. Write  $x = x_1x_2 \dots x_n$  so that  $x_1 = x_n$ . Since  $x \in L$ ,  $f(x) = 0$ .

- If  $x_1 = 0$  and  $x_n = 0$ , that means  $f(x_1) = 1$  and  $f(x_1x_2 \dots x_{n-1}) = -1$ . For the metric to move from 1 to  $-1$ , we must have crossed the zero line at some point. However, since the function decreases by 2, there are 2 possible scenarios:
  - If the metric equals 0 at some point, we can split the string as in the previous case.
  - If the metric does not equal 0 at any point, then it must transition from 1 to  $-1$  at the point where it crossed the zero line (say,  $k$ ). Therefore, ignoring the 0 causing the decrease and the zeros at the beginning and the end of the string, we have that  $f(x_2 \dots x_{k-1}) = 0$  and  $f(x_{k+1} \dots x_{n-1}) = 0$ . Therefore, we can write the string as  $s = 0S1S0$ .
- If  $x_1 = 1$  and  $x_n = 1$ , that means  $f(x_1) = -2$  and  $f(x_1x_2 \dots x_{n-1}) = 2$ . For the metric to move from  $-2$  to 2, we must have crossed the zero line. However, the metric always increases by 1 so there must exist some  $j$  between 1 and  $n - 1$  so that  $f(x_1x_2 \dots x_j) = 0$ . We can therefore split the string  $x_1x_2 \dots x_n$  into  $x_1x_2 \dots x_j$  and  $x_jx_{j+1} \dots x_n$ , with each of the substrings being a member of  $L$ .

(ii)  $x$  starts and ends with differing symbols.

Write  $x = x_1x_2 \dots x_n$  so that  $x_1 \neq x_n$ . Suppose  $x_0 = 0$  and  $x_n = 1$ . Then  $f(x_1) = 1$  and  $f(x_{n-1}) = 2$ . if  $x_2 = 1$ , we can split the sentence into two! Therefore,  $x_2$  must be 0 so we can write the sentence as  $00S1$ . The same argument applies for when 0 and 1 are interchanged.

□