

Homework assigned January 30, 2023

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Problem 5.

Show that the formula

$$x = y \rightarrow Pzf x \rightarrow Pzf y$$

(where f is a one-place function symbol and P is a two-place predicate symbol) is valid.

Suppose the formula is not valid. Then there exists some structure \mathfrak{A} and some variable assignment s such that $\not\models_{\mathfrak{A}} x = y \rightarrow Pzf x \rightarrow Pzf y$, meaning:

$$\models_{\mathfrak{A}} (x = y)[s] \tag{5.1}$$

$$\models_{\mathfrak{A}} Pzf x[s] \tag{5.2}$$

$$\not\models_{\mathfrak{A}} Pzf y[s] \tag{5.3}$$

Since $\models_{\mathfrak{A}} (x = y)[s]$, we have that $\bar{s}(x) = \bar{s}(y)$.

However, since $\bar{s}(x) = \bar{s}(y)$, we have that $\bar{s}(fx) = \bar{s}(fy)$:

$$\bar{s}(fx) = f^{\mathfrak{A}}(\bar{s}(x)) = f^{\mathfrak{A}}(\bar{s}(y)) = \bar{s}(fy).$$

Therefore, $Pzf x[s]$ and $Pzf y[s]$ are logically equivalent, so 5.2 and 5.3 are a contradiction, meaning that the formula is valid and any structure which does not satisfy the formula is inconsistent.

Problem 26.

- (a) Consider a fixed structure \mathfrak{A} and define its *elementary type* to be the class of structures elementarily equivalent to \mathfrak{A} . Show that this class is EC_{Δ} .

Hint: Show that it is $\text{Mod Thm } \mathfrak{A}$

By definition, two structures \mathfrak{A} and \mathfrak{B} are elementarily equivalent if whenever $\models_{\mathfrak{A}} \varphi$, we also have that $\models_{\mathfrak{B}} \varphi$. That is, whenever φ is tautologically implied by \mathfrak{A} then it is also tautologically implied by \mathfrak{B} .

Let $[\mathfrak{A}]$ be the class of all structures elementarily equivalent to \mathfrak{A} , and let $\text{Thm } \mathfrak{A}$ be the set of all consequences of \mathfrak{A} . Then every structure \mathfrak{B} in $[\mathfrak{A}]$ is a model of $\text{Thm } \mathfrak{A}$, since it must satisfy all the consequences of \mathfrak{A} . Therefore, $[\mathfrak{A}] = \text{Mod Thm } \mathfrak{A}$, so it is EC_{Δ} .

- (b) Call a class \mathcal{K} of structures *elementarily closed* or ECL if whenever a structure belongs to \mathcal{K} then all elementarily equivalent structures also belong. Show that any such class is a union of EC_{Δ} classes. (A class that is a union of EC_{Δ} classes is said to be an $EC_{\Delta\Sigma}$ class; this notation is derived from topology.)

Let K be an ECL class, and \mathfrak{A} be a structure in K . Since $\mathfrak{A} \in K$, K satisfies all the consequences of \mathfrak{A} , i.e. $\text{Mod Thm } \mathfrak{A} \subseteq K$.

Let \mathfrak{B} be an elementarily equivalent structure to \mathfrak{A} . This means that \mathfrak{B} is a model of $\text{Thm } \mathfrak{A}$. However, K is elementarily closed, so \mathfrak{B} must also be in K , meaning $\text{Mod Thm } \mathfrak{B} \subseteq K$.

By extension, every other structure \mathfrak{D}_i that is elementarily equivalent to some structure \mathfrak{D} that belongs in K must also belong in K . Therefore;

$$K = \text{Mod Thm } \mathfrak{A} \cup \text{Mod Thm } \mathfrak{B} \cup \text{Mod Thm } \mathfrak{D}_1 \cup \text{Mod Thm } \mathfrak{D}_2 \cup \dots$$

for every other structure \mathfrak{D}_i in K , so it is $EC_{\Delta\Sigma}$.

- (c) Conversely show that any class that is the union of EC_{Δ} classes is ECL.

Let K be a class that is $EC_{\Delta\Sigma}$. Then

$$K = \bigcup_{\mathfrak{A} \text{ belongs in } K} \text{Mod Thm } \mathfrak{A}.$$

Consequently, whenever a structure \mathfrak{A} belongs in K , $\text{Mod Thm } \mathfrak{A} \subseteq K$.

Take any structure \mathfrak{B} that is elementarily equivalent to \mathfrak{A} , then \mathfrak{B} tautologically implies the same set of consequences as \mathfrak{A} , i.e. $\text{Mod Thm } \mathfrak{B} = \text{Mod Thm } \mathfrak{A}$. Therefore, $\text{Mod Thm } \mathfrak{B} \subseteq K$ so \mathfrak{B} also belongs in K . Therefore, K is ECL.

Problem 27.

Let \mathcal{L} be the language for first-order logic with two-place predicate symbols E and P and one-place function symbol f . (We are not assuming that \mathcal{L} has the equality symbol. On the other hand, we are not ruling out the possibility that \mathcal{L} has the equality symbol and/or any number of parameter symbols in addition to \forall , E , P , and f . Other symbols are not relevant to this question.)

Suppose \mathfrak{A} is a structure for \mathcal{L} that is a model of the sentence

$$\forall x Exx$$

and of every sentence of the form

$$\forall x \forall y \forall z_1 \forall z_2 \dots \forall z_n (Exy \rightarrow (\alpha \rightarrow \alpha')).$$

where α is an atomic formula with variables included among $\{x, y, z_1, z_2, \dots, z_n\}$, and α' is obtained from α by replacing some (possibly none, possibly some but not all, possibly all) occurrences of x by y . Examples of sentences of this form are

$$\forall x \forall y (Exy \rightarrow (Exx \rightarrow Eyx)) \text{ and } \forall x \forall y \forall z (Exy \rightarrow (Ezfz \rightarrow Ezyfz)).$$

An example of a sentence *not* of this form is

$$\forall x \forall y \forall z (Exy \rightarrow (Ezfy \rightarrow Ezfz)).$$

Show that $E^{\mathfrak{A}}$ is an equivalence relation on the universe $|\mathfrak{A}|$, that $P^{\mathfrak{A}}$ induces a well-defined relation on equivalence classes, and that $f^{\mathfrak{A}}$ induces a well-defined function on equivalence classes.

Let s be a variable assignment satisfying the conditions as stated. Then:

- (a) $E^{\mathfrak{A}}$ is an equivalence relation on the universe $|\mathfrak{A}|$.
- (i) E is reflexive: This follows from the sentence $\forall x Exx$.
- (ii) E is symmetric: Suppose $\models_{\mathfrak{A}} Exy[s]$. Take the following sentence, which is valid in \mathfrak{A} :

$$\forall x \forall y (Exy \rightarrow (Exx \rightarrow Eyx)).$$

We can deduce Eyx as follows:

$$Exy \quad (\text{from choice of } x \text{ and } y) \tag{27.1}$$

$$\forall x \forall y (Exy \rightarrow (Exx \rightarrow Eyx)) \quad (\text{As derived above}) \tag{27.2}$$

$$Exy \rightarrow (Exx \rightarrow Eyx) \quad (\text{Generalization theorem on 27.2}) \tag{27.3}$$

$$(Exx \rightarrow Eyx) \quad (\text{modus ponens on 27.1 and 27.3}) \tag{27.4}$$

$$\forall x Exx \quad (\text{first sentence in the model}) \tag{27.5}$$

$$Exx \quad (\text{Generalization theorem on 27.5}) \tag{27.6}$$

$$Eyx \quad (\text{modus ponens on 27.4 and 27.6}) \tag{27.7}$$

(iii) Transitive: the following sentence is valid in \mathfrak{A} :

$$\forall x \forall y \forall z (Exy \rightarrow (Ezx \rightarrow Ezy)) \quad (27.8)$$

Since E is symmetric; the formula is logically equivalent to

$$\forall x \forall y \forall z (Eyx \rightarrow (Exz \rightarrow Eyz)),$$

so $E^{\mathfrak{A}}$ is transitive.

(b) $P^{\mathfrak{A}}$ is a well-defined relation on equivalence classes.

Let $x, y \in |\mathfrak{A}|$ such that $\models_{\mathfrak{A}} Exy[s]$. We show that $E^{\mathfrak{A}}(Pxa)(Pya)$ for all $a \in |\mathfrak{A}|$.

Suppose not, then there exists some $z \in |\mathfrak{A}|$ such that $\neg E^{\mathfrak{A}}(Pxa)(Pya)$, meaning Pxa and Pya are not in the same equivalence class. However, we have a rule in our structure that

$$\forall x \forall y \forall z_1 \forall z_2 \dots \forall z_n (Exy \rightarrow (\alpha \rightarrow \alpha'))$$

where α' is obtained by replacing none, some, or all occurrences of x in α with y . This means

$$\forall x \forall y \forall z_1 \forall z_2 \dots \forall z_n (Exy \rightarrow (Pxa \rightarrow Pya))$$

is a valid axiom in \mathfrak{A} . Since E is symmetric, Exy implies Eyx , so we can also deduce that

$$\forall x \forall y \forall z_1 \forall z_2 \dots \forall z_n (Eyx \rightarrow (Pya \rightarrow Pxa)).$$

The two conditions only hold when Pya and Pxa are in the same equivalence class.

(c) $f^{\mathfrak{A}}$ is a well-defined function on equivalence classes.

Let $x, y \in |\mathfrak{A}|$ be such that $\models_{\mathfrak{A}} Exy$.

The sentence

$$\forall x \forall y \forall z (Exy \rightarrow (Ezfx \rightarrow Ezfy))$$

as a valid axiom.

Since E is symmetric, Eyx is true whenever Exy is true, so the sentence

$$\forall x \forall y \forall z (Eyx \rightarrow (Ezfy \rightarrow Ezfx))$$

is also valid in \mathfrak{A} .

This means that whenever Exy is satisfied, $Ezfx$ is satisfied if and only if $Ezfy$ is satisfied, so fx and fy are in the same equivalence class and $f^{\mathfrak{A}}$ is well-defined on equivalence classes.