

Homework assigned January 30, 2023

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Problem 5.

Show that the formula

$$x = y \rightarrow Pzf x \rightarrow Pzf y$$

(where f is a one-place function symbol and P is a two-place predicate symbol) is valid.

Suppose the formula is not valid. Then there exists some structure \mathfrak{A} and some variable assignment s such that $\not\models_{\mathfrak{A}} x = y \rightarrow Pzf x \rightarrow Pzf y$, meaning:

$$\models_{\mathfrak{A}} (x = y)[s] \tag{5.1}$$

$$\models_{\mathfrak{A}} Pzf x[s] \tag{5.2}$$

$$\not\models_{\mathfrak{A}} Pzf y[s] \tag{5.3}$$

Since $\models_{\mathfrak{A}} (x = y)[s]$, we have that $\bar{s}(x) = \bar{s}(y)$.

However, since $\bar{s}(x) = \bar{s}(y)$, we have that $\bar{s}(fx) = \bar{s}(fy)$:

$$\bar{s}(fx) = f^{\mathfrak{A}}(\bar{s}(x)) = f^{\mathfrak{A}}(\bar{s}(y)) = \bar{s}(fy).$$

Therefore, $Pzf x[s]$ and $Pzf y[s]$ are logically equivalent, so 5.2 and 5.3 are a contradiction, meaning that the formula is valid and any structure which does not satisfy the formula is inconsistent.

Problem 26.

- (a) Consider a fixed structure \mathfrak{A} and define its *elementary type* to be the class of structures elementarily equivalent to \mathfrak{A} . Show that this class is EC_Δ .

Hint: Show that it is $\text{Mod Thm } \mathfrak{A}$

By definition, two structures \mathfrak{A} and \mathfrak{B} are elementarily equivalent if whenever $\models_{\mathfrak{A}} \varphi$, we also have that $\models_{\mathfrak{B}} \varphi$. That is, whenever φ is tautologically implied by \mathfrak{A} then it is also tautologically implied by \mathfrak{B} .

Let $[\mathfrak{A}]$ be the class of all structures elementarily equivalent to \mathfrak{A} , and let $\text{Thm } \mathfrak{A}$ be the set of all consequences of \mathfrak{A} . Then every structure \mathfrak{B} in $[\mathfrak{A}]$ is a model of $\text{Thm } \mathfrak{A}$, since it must satisfy all the consequences of \mathfrak{A} . Therefore, $[\mathfrak{A}] = \text{Mod Thm } \mathfrak{A}$, so it is EC_Δ .

- (b) Call a class \mathcal{K} of structures *elementarily closed* or ECL if whenever a structure belongs to \mathcal{K} then all elementarily equivalent structures also belong. Show that any such class is a union of EC_Δ classes. (A class that is a union of EC_Δ classes is said to be an $EC_{\Delta\Sigma}$ class; this notation is derived from topology.)

Let K be an ECL class, and \mathfrak{A} be a structure in K . Since $\mathfrak{A} \in K$, K satisfies all the consequences of \mathfrak{A} , i.e. $\text{Mod Thm } \mathfrak{A} \subseteq K$.

Let \mathfrak{B} be an elementarily equivalent structure to \mathfrak{A} . This means that \mathfrak{B} is a model of $\text{Thm } \mathfrak{A}$. However, K is elementarily closed, so \mathfrak{B} must also be in K , meaning $\text{Mod Thm } \mathfrak{B} \subseteq K$.

By extension, every other structure \mathfrak{D}_i that is elementarily equivalent to some structure \mathfrak{D} that belongs in K must also belong in K . Therefore;

$$K = \text{Mod Thm } \mathfrak{A} \cup \text{Mod Thm } \mathfrak{B} \cup \text{Mod Thm } \mathfrak{D}_1 \cup \text{Mod Thm } \mathfrak{D}_2 \cup \dots$$

for every other structure \mathfrak{D}_i in K , so it is $EC_{\Delta\Sigma}$.

- (c) Conversely show that any class that is the union of EC_Δ classes is ECL.

Let K be a class that is $EC_{\Delta\Sigma}$. Then

$$K = \bigcup_{\mathfrak{A} \text{ belongs in } K} \text{Mod Thm } \mathfrak{A}.$$

Consequently, whenever a structure \mathfrak{A} belongs in K , $\text{Mod Thm } \mathfrak{A} \subseteq K$.

Take any structure \mathfrak{B} that is elementarily equivalent to \mathfrak{A} , then \mathfrak{B} tautologically implies the same set of consequences as \mathfrak{A} , i.e. $\text{Mod Thm } \mathfrak{B} = \text{Mod Thm } \mathfrak{A}$. Therefore, $\text{Mod Thm } \mathfrak{B} \subseteq K$ so \mathfrak{B} also belongs in K . Therefore, K is ECL.

Problem 27.

Let \mathcal{L} be the language for first-order logic with two-place predicate symbols E and P and one-place function symbol f . (We are not assuming that \mathcal{L} has the equality symbol. On the other hand, we are not ruling out the possibility that \mathcal{L} has the equality symbol and/or any number of parameter symbols in addition to \forall , E , P , and f . Other symbols are not relevant to this question.)

Suppose \mathfrak{A} is a structure for \mathcal{L} that is a model of the sentence

$$\forall x Exx$$

and of every sentence of the form

$$\forall x \forall y \forall z_1 \forall z_2 \dots \forall z_n (Exy \rightarrow (\alpha \rightarrow \alpha')).$$

where α is an atomic formula with variables included among $\{x, y, z_1, z_2, \dots, z_n\}$, and α' is obtained from α by replacing some (possibly none, possibly some but not all, possibly all) occurrences of x by y . Examples of sentences of this form are

$$\forall x \forall y (Exy \rightarrow (Exx \rightarrow Eyx)) \text{ and } \forall x \forall y \forall z (Exy \rightarrow (Ezfz \rightarrow Ezfz)).$$

An example of a sentence *not* of this form is

$$\forall x \forall y \forall z (Exy \rightarrow (Ezfy \rightarrow Ezfz)).$$

Show that $E^{\mathfrak{A}}$ is an equivalence relation on the universe $|\mathfrak{A}|$, that $P^{\mathfrak{A}}$ induces a well-defined relation on equivalence classes, and that $f^{\mathfrak{A}}$ induces a well-defined function on equivalence classes.

Let s be a variable assignment satisfying the conditions as stated. Then:

- (a) $E^{\mathfrak{A}}$ is an equivalence relation on the universe $|\mathfrak{A}|$.
- (i) E is reflexive: This follows from the sentence $\forall x Exx$.
- (ii) E is symmetric: Suppose $\models_{\mathfrak{A}} Exy[s]$. Take the following sentence, which is valid in \mathfrak{A} :

$$\forall x \forall y (Exy \rightarrow (Exx \rightarrow Eyx)).$$

Since we have that $\forall x Exx$, for any such x, y pair we have that:

- $\bar{s}(Exy) = T$
- $\bar{s}(Exx) = T$

we can deduce Eyx as follows:

$$Exy \quad (\text{from choice of } x \text{ and } y) \tag{27.1}$$

$$\forall x \forall y (Exy \rightarrow (Exx \rightarrow Eyx)) \tag{27.2}$$

$$(Exx \rightarrow Eyx) \quad (\text{modus ponens on 27.1 and 27.2}) \tag{27.3}$$

$$Exx \quad (\text{from the first sentence in the model}) \tag{27.4}$$

$$Eyx \quad (\text{modus ponens on 27.3 and 27.4}) \tag{27.5}$$

(iii) Transitive: the following sentence is valid in \mathfrak{A} :

$$\forall x \forall y \forall z (Exy \rightarrow (Ezfx \rightarrow Ezfy)) \quad (27.6)$$

Let $\models_{\mathfrak{A}} Exy[s]$ and take $z \in |\mathfrak{A}|$ such that $\models_{\mathfrak{A}} Ezx$. Define a one-place function $f : |\mathfrak{A}| \rightarrow |\mathfrak{A}|$ by

$$f(a) = a$$

Then, sentence 27.6 is equivalent to

$$\forall x \forall y \forall z (Exy \rightarrow (Ezx \rightarrow Ezy)).$$

Since E is symmetric, this means whenever $\models_{\mathfrak{A}} Eyx$ and $\models_{\mathfrak{A}} Ezx$, then $\models_{\mathfrak{A}} Eyz$, so E is transitive.

(b) $P^{\mathfrak{A}}$ is a well-defined relation on equivalence classes.

Let $x, y \in |\mathfrak{A}|$ such that $\models_{\mathfrak{A}} Exy[s]$. We show that $P^{\mathfrak{A}}xa = P^{\mathfrak{A}}ya$ for all $a \in |\mathfrak{A}|$.

Suppose not, then there exists some $z \in |\mathfrak{A}|$ such that $\models_{\mathfrak{A}} Pxa[s]$ and $\not\models_{\mathfrak{A}} Pya[s]$.

Define a function $f : |\mathfrak{A}| \rightarrow |\mathfrak{A}|$ by

$$f(n) = Ean,$$

then clearly $f(x) = T$ and $f(y) = F$, so $f(x) \neq f(y)$.

However, we have the sentence

$$\forall x \forall y \forall z (Exy \rightarrow (Ezfx \rightarrow Ezfy)),$$

which does not hold when

(c) $f^{\mathfrak{A}}$ is a well-defined function on equivalence classes.

Let $x, y \in |\mathfrak{A}|$ be such that $\models_{\mathfrak{A}} Exy$. First, $E^{\mathfrak{A}}$ is symmetric, so $E^{\mathfrak{A}}xy \leftrightarrow E^{\mathfrak{A}}yx$.

We also have the sentence $\forall x \forall y \forall z (Exy \rightarrow (Ezfx \rightarrow Ezfy))$ as a valid axiom. But since $E^{\mathfrak{A}}xy \leftrightarrow E^{\mathfrak{A}}yx$, whenever the above formula is valid the same for Eyx is also valid, i.e. $\forall x \forall y \forall z (Eyx \rightarrow (Ezfy \rightarrow Ezfx))$.

This means $\bar{s}(Ezfx) = \bar{s}(Ezfy)$ whenever $\bar{s}(Exy) = T$. Since $E^{\mathfrak{A}}$ is transitive, this means $E(fx)(fy) = T$, therefore $f(x)$ and $f(y)$ are in the same equivalence class whenever x and y are in the same equivalence class so $f^{\mathfrak{A}}$ is well-defined.