# Assignment 2

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### Problem 1, 8.3

#### pseudo-polynomial

Let 
$$B = \sum_{i=1}^{n} a_i$$

Let  $f(i,s) = \begin{cases} 1 & \exists S \subseteq [1..n] \sum_{i \in S} a_i = s \\ 0 & otherwise \end{cases}$ 

Let  $c(i,s) = \begin{cases} S & f(i,s) = 1 \\ \emptyset & f(i,s) = 0 \end{cases}$ 

We can obtain f and c by a dynamic programming algorithm.

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\begin{array}{l} \mbox{for } i = 1 \ \mbox{to } n \\ \mbox{for } s = 0 \ \mbox{to } B \\ \mbox{if } f(i-1,\ s) = 1 \\ \mbox{c}(i,\ s) = 1 \\ \mbox{c}(i,\ s) = c(i-1,\ s) \\ \mbox{else if } s >= a[i] \ \mbox{and } f(i-1,\ s-a[i]) = 1 \\ \mbox{f}(i,\ s) = 1 \\ \mbox{c}(i,\ s) = \mbox{union}(c(i-1,\ s-a[i]),\ \{i\}) \\ \mbox{for } s = B/2 \ \mbox{to } B \\ \mbox{if } f(n,\ s) = 1 \\ \mbox{S1} = c(n,\ s) \\ \mbox{break} \\ \mbox{S2} = A/S1 \end{array}
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Obviously, this algorithm is pseudo-polynomial.

#### Approximation algorithm

For  $m=2,\dots,n$  let us denote by  $I_m$  the instance of Subset-Sums Ratio which consists of the m smallest numbers  $a_1,\dots,a_m$ . At the top level, the algorithm executes its main procedure on the inputs  $I_m$ , for  $m=2,\dots,n$ , and takes as solution the best among the solutions obtained for these instances.

Given any  $\varepsilon$  in the range  $0 < \varepsilon < 1$ , we set  $k(m) = \varepsilon^2 \cdot a_m/(2m)$ . Let  $n_0 \le n$  be the greatest integer such that  $k(n_0) < 1$ .

We now describe the algorithm on the instance  $I_m$ .

If  $m \leq n_0$ , then we apply the pseudo-polynomial algorithm of the previous subsection to  $I_m$ . Since  $a_{n_0} \leq 2n/\varepsilon^2$ , this will take polynomial time.

If  $n_0 < m \le n$ , then we transform the instance  $I_m$  into another one that contains only polynomial-size numbers. Set  $a_i' = \lfloor a_i/k(m) \rfloor$  for  $i=1,\cdots,m$ . Observe that  $a_m' = \lfloor 2m/\varepsilon^2 \rfloor$  is indeed of polynomial size. Let us denote by  $I_m'$  the instance of Subset-Sums Ratio that contains the numbers  $a_i'$  such that  $a_i' \ge m/\varepsilon$ . Suppose that  $I_m'$  contains t numbers,  $a_{m-t+1}', \cdots, a_m'$ . Since  $\varepsilon \le 1$ , we have  $a_m' \ge m/\varepsilon$ , and therefore t > 0. We will distinguish between two cases according to the value of t.

Case 1: t = 1. Let j be the smallest nonnegative integer such that  $a_{j+1} + \cdots + a_{m1} < a_m$ . If j = 0, then the solution will be  $S_1 = \{m\}$  and  $S_2 = \{1, \dots, m-1\}$ . Otherwise the solution will be  $S_1 = \{j, j+1, \dots, m-1\}$  and  $S_2 = \{m\}$ .

Case2: t > 1. We solve  $I'_m$ , using the pseudo-polynomial algorithm which will take only polynomial time on this instance. Then we distinguish between two cases, depending on the value of the optimum of  $I'_m$ .

Case2a:  $opt(I'_m) = 1$ . The algorithm returns the solution which realizes this optimum for  $I'_m$ .

Case2b:  $opt(I'_m) = 1$ . We consider all possible pairs of disjoint subsets P(m) and Q(m) of  $\{m - t + 1, \dots, m\}$  with sum of P(m) greater than Q(m). In the decreasing order, add  $m - t, \dots, 1$  to Q(m) until sum of Q(m) is greater than P(m) or all elements are added into Q(m). Then let  $S_1(m)$  be the one with greater sum in P(m) and Q(m),  $S_2(m)$  be the other.

Choose  $S_1$  and  $S_2$  among all  $S_1(m)$  and  $S_2(m)$  to minimize the ratio.

The above algorithm is FPTAS.

## Problem 2

**Problem:** In the 2-approximation algorithm for job schedule, what if we change the algorithm by considering jobs according to their processing time. Analyze the approximation ratio of this variant algorithm.

Suppose the jobs are ordered by processing time,  $p_1 \ge p_2 \ge \cdots \ge p_n$ . Let the last finished job be  $p_j$  with starting time s.

Remove all jobs with starting time greater than s. Let the new instance be I'.  $OPT(I') \leq OPT(I)$  and the algorithm should give the same answer in both instances.

If  $p_j \leq OPT(I')/3$ , since all jobs starting after s are removed,  $s \leq \frac{\sum_{i \neq j} q_i}{m} \leq OPT(I')$ ,  $p_j + s \leq \frac{4}{3}OPT(I') \leq OPT(I)$ 

If  $p_j > OPT(I')/3$ , there cannot be two jobs before  $p_j$ , so the algorithm gives the optimal solution.

Overall, the algorithm is  $\frac{4}{3} - approximation$