

Homework2

Qinglin Li, 5110309074

Problem 1

Protocol:

Round 1

The sender sends message m to everybody

Round 2—Round $f + 2$

The sender and all processes that have received the message m propose for m , others propose for SF

Run the consensus protocol with the decision function $f(V) = \begin{cases} m & (m \in V) \\ SF & (m \notin V) \end{cases}$

Proof:

Termination

Since the consensus protocol terminates, this protocol also terminates.

Agreement

If a correct process have delivered m , it must have decided m in the consensus protocol.

According to the agreement of the consensus protocol, all correct processes must have decided m . So all correct processes have delivered m .

Validity

According to the validity of the consensus protocol, if the sender is correct, all correct processes should eventually have decided m and delivered m ;

Integrity

According to the consensus protocol, every correct process delivers at most one message.

If a correct process have delivered m , it must have decided m in the consensus protocol. According to the integrity of the consensus protocol, there must be some process have proposed m which is sent form the sender.

Problem 2

Protocol:

Round 1

The sender sends message m to everybody

Round 2—Round $f + 1$

The sender sends message m to everybody.

The sender and all processes that have received the message m propose for m , others propose for SF

Run the consensus protocol for f round as if there are at most $f - 1$ processes

crash with the decision function
$$f(V) = \begin{cases} m & (m \in V) \\ SF & (m \notin V) \end{cases}$$

Proof:

Termination

According to the termination of the consensus protocol, this protocol must terminate.

Agreement

If the sender hadn't crashed until round 2, every correct process should have received m . So every correct process should decide m and then deliver m .

If the sender crashed before the second round, there are at most $f - 1$ faults remaining. So the consensus protocol can ensure agreement.

Validity

If the sender is correct, all correct processes must have received the message m from the sender in the second round. So all of them deliver m .

Integrity

The decision function f ensures every correct process delivers at most one message. If it delivers $m \neq SF$, then sender must have broadcast m .

Problem 3

1. Protocol:

Suppose sender sends the message m and p is an arbitrary process.

In round k ($1 \leq k \leq t + 1$):

- (a) If p is the sender or p has received m in the previous rounds, p sends m to all.
- (b) p receives messages sent in round k .

In round $t + 2$:

- (a) If p is sender or p has received m in the previous rounds, p sends m to all. Otherwise, p sends SF to all.
- (b) p receives messages sent in round $t + 2$.
- (c) If p have received some message m for more than $\frac{n}{2}$ times, p delivers m .

Proof:

Termination

Since the first $t + 1$ rounds are same as the TRB protocol except for message delivering, all correct processes should send the same message in round $t + 2$ and then they eventually deliver the message.

Uniform Agreement

If a process p delivered $m \neq SF$, it must have received m for more than $\frac{n}{2}$ times in round $t + 2$. So more than $\frac{n}{2}$ processes sent m in round $t + 2$ and they must have received m before round $t + 2$.

Since the first $t + 1$ rounds are same as the TRB protocol except for message delivering, by the end of round $t + 1$, all correct processes should have received m .

So all correct processes should deliver m in round $t + 2$.

If a process p delivered $m = SF$, it must have received SF for more than $\frac{n}{2}$ times in round $t + 2$. So more than $\frac{n}{2}$ processes sent SF in round $t + 2$ and they must haven't received m before round $t + 2$.

Since the first $t + 1$ rounds are same as the TRB protocol except for message delivering, by the end of round $t + 1$, all correct processes should have received m if any correct process have received m .

So none of the correct process have ever received m and all correct processes should deliver SF in round $t + 2$.

Validity

If the sender is correct, all correct processes should have received m .

So in round $t + 2$ every correct process should have received m for more than $\frac{n}{2}$ times because $n > 2t$.

All correct processes delivered m

Integrity

In round $t + 2$, every correct process should deliver at most one message.

If a correct process delivered m , then the sender must have sent m .

2. **Proof:**

(By contradiction)

Suppose there is an protocol with $n \leq 2t$

The processes can be divided to two groups X and Y whose size are less than or equal to t . WLOG, let the sender in X .

If all processes in X crashed at the beginning, all the processes in Y should deliver SF .

But if all processes in X are correct and all process in Y cannot receive messages. Because these two situations are similar for every process in Y , they should deliver SF . However, every process in X should deliver m .

Contradiction to **uniform agreement**.