

# Notes on Quiver Gauge Theories

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## Abstract

These notes are meant as a work support. The goal is to reproduce and regroup the basics of quiver gauge theories.

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# 1 | Physical setup

## Brane-world paradigm

We consider our world to be a slice in the ten-dimensional spacetime of type II superstring theory, i.e. the worldvolume of a D3-brane. More precisely, we consider a stack of  $N$  D3-branes in order to have a  $U(N)$  Chan-Paton factors resulting in a  $U(n)$  gauge group. To have D3-branes, we need to consider type IIB superstring theory. The spacetime is therefore not necessarily  $\mathbb{R}^{1,9}$  but of the more general form

$$M = \mathbb{R}^{1,3} \times M^{(6)}.$$

This is the so-called *brane-world paradigm*.

## Supersymmetry on the worldvolume: holonomy and Calabi-Yau compactification

Independently from string theory, we can ask for the worldvolume theory to be supersymmetric. Preserving any degree of supersymmetry constrains the transverse space  $M^{(6)}$  to be compact, complex, Kähler and to have  $G \subset SU(3)$  holonomy. Namely,  $M^{(6)}$  must be a Calabi-Yau threefold.

Let us discuss the the holonomy part of the constrain in more details as it will play an important role in the following. We start from type IIB superstring theory which is 10-dimensional and has  $\mathcal{N} = 2$  supersymmetry so it possesses 32 supercharges. As usual, they transform under the minimal spinor representation of the bulk Lorentz group, here  $SO(1, 9)$ . In ten dimensions this representation has 8-dimensional (complex) which is why there are  $\mathcal{N}(8 + 8) = 32$  supercharges: 8 transforming in the 8-dimensional minimal spinor representation and 8 transforming in the 16-dimensional conjugate minimal representation and whole thing two times since  $\mathcal{N} = 2$ . Let us now consider a four-dimensional field theory resulting from compactification of the transverse six-dimensional space. The number of supercharges that generate supersymmetries for this theory is the number of Killing spinor (covariantly constant spinor): each Killing spinor contracted with the local supersymmetry current generates a residual supersymmetry(?). Now the link with holonomy: a generic curved six-dimensional manifold has  $O(6)$  holonomy and  $SO(6)$  if it is orientable. Since  $SO(6) \cong SU(4)$ , minimal spinors can be viewed as having four complex component and as transforming under  $SU(4)$ . Indeed, minimal spinors in six dimensions have four complex components. In order to have one covariantly conserved spinor, we look for the biggest subgroup of  $SU(4)$  that leaves a component of the spinor invariant. This is clearly  $\{e\} \times SU(3) \subset SU(4)$  that acts trivially on the first component. The spinor  $(1, 0, 0, 0)$  is then covariantly conserved. Our transverse space must therefore have  $SU(3)$  holonomy such that the parallel transport of the spinor  $(1, 0, 0, 0)$  under any closed loop is a lower  $SU(3)$  rotation. We conclude that if the transverse Calabi-Yau has  $SU(3)$  holonomy, the worldvolume theory has  $\mathcal{N} = 1$  supersymmetry. The same reasoning can be used to obtain that  $SU(2)$  holonomy implies  $\mathcal{N} = 2$  supersymmetry.

## Non-compact transverse space

If we let the worldvolume of the D3-branes carry the requisite gauge theory while the bulk contains gravity, we can relax the compactness condition and study non-compact threefolds. In other words,  $M^{(6)}$  is taken to be Calabi-Yau variety, instead of a Calabi-Yau manifold. A Calabi-Yau variety is an affine variety that locally models a Calabi-Yau manifold, therefore allowing for singularities.

Using a non-compact transverse space can intuitively be understood as a Kaluza-Klein compactification where we take the size of the compact dimensions to infinity. The four-dimensional gravity coupling constant being inversely proportional to this quantity, there is no gravity in this limit. This makes the analysis much simpler and therefore also serves as an argument to ignore gravity in the worldvolume theory. Consequently, we will mostly ignore gravity and not care about the metric of the spacetime, see appendix I for more details. Thus far, we have  $N$  D3-branes on which there is a  $U(N)$  gauge group and transverse to which gravity propagates.

## Singular transverse space

The only smooth Calabi-Yau threefold is  $\mathbb{C}^3$  so we are lead to consider singular Calabi-Yau varieties. We usually denote  $S \equiv M^{(6)}$  to remind us of the singular aspect. String theory being a theory of extended

objects, it is well-defined on such singularities. In a sense that will be clarified later, this singular structure of the geometry requires to “project” the theory. As a result, the gauge group  $U(N)$  will be broken down into products of smaller gauge groups. The simplest examples of singular Calabi-Yau varieties are Calabi-Yau orbifolds. We will mainly be interested these examples.

From the point of view of the orbifold, the D3-brane is a point. Consequently, the D3-branes parametrize the transverse space. This is the first clue of the crucial relationship between the worldvolume theory and the Calabi-Yau singularity. Eventually, we will see that the classical vacuum of the gauge theory should be, in explicit coordinates, the defining equation of  $S$ . This is precisely the opposite of the projection manipulation we mentioned above: recovering the transverse space from the gauge theory.

### Mathematical formulation

Mathematically, this brane-world paradigm is the realization of branes as supports of vector bundles (sheaf). Gauge theories on branes are intimately related to algebraic constructions of stable bundles, i.e. holomorphic or algebraic vector bundles that are stable in the sense of geometric invariant theory. In particular, D-brane gauge theories manifest as a natural description of symplectic quotients and their resolutions in geometric invariant theory.

To summarize in more mathematical terms, our D-branes, together with the stable vector bundle (sheaf) supported thereupon, resolves the transverse Calabi-Yau orbifold which is the vacuum for the gauge theory on the worldvolume as a GIT quotient (?).

### Summary

We summarize all the elements that we introduced here above: we consider  $N$  D3-branes in type IIB superstring theory carrying a  $U(N)$  gauge group. The transverse space is take to be a non-compact singular Calabi-Yau variety.

## 2 | The simplest case: $S = \mathbb{C}^3$

### 2.1 | Generalities

We start by considering the simplest configuration were the transverse Calabi-Yau space is non-singular, i.e. it is a proper smooth Calabi-Yau threefold. As mentioned above, the only smooth Calabi-Yau threefold is  $S = \mathbb{C}^3$ . In this case, the spacetime is simply flat space  $\mathbb{R}^{1,9} = \mathbb{R}^{1,3} \times \mathbb{R}^6$  with a choice a complex structure on  $\mathbb{R}^6$ . From the  $U(N)$  Chan-Paton factors, the worldvolume theory inherits from a  $U(N)$  gauge group. Type IIB superstring theory is a ten-dimensional  $\mathcal{N} = 2$  theory so it has 32 supercharges. The presence of the branes breaks the Lorentz symmetry of  $\mathbb{R}^{1,9}$  as

$$SO(1, 9) \rightarrow SO(1, 3) \times SO(6), \quad (2.1)$$

whereby breaking half of the supersymmetries, as we explained in the previous section. We are thus left with 16 supercharges. In four dimensions, this corresponds to  $\mathcal{N} = 4$ . The worldvolume theory for  $S = \mathbb{C}^3$  is therefore  $D = 4, \mathcal{N} = 4$   $U(N)$  SCFT gauge theory. This worldvolume theory, obtained in the non-singular case  $S = \mathbb{C}^3$ , is called the *parent theory*.

Note that the D3-brane will warp the flat space metric to that of  $AdS_5 \times S^5$  and the bulk geometry is not strictly  $\mathbb{C}^3$ . However, as stated above, we are only concerned with the local gauge theory and not with gravitational back-reaction, therefore it suffices to consider  $S$  as  $\mathbb{C}^3$ .

### 2.2 | Matter content

As discussed in appendix B, there is only one  $D = 4, \mathcal{N} = 4$  SCFT theory, up to a choice of gauge group  $G$ . In our case,  $G = U(N)$ . The isometry group of the transverse space  $\mathbb{R}^6$  is  $SO(6) \cong SU(4)$ . It is a global symmetry of the field theory since the field living on the branes are to be interpreted as its transverse

oscillations. These global symmetries of worldvolume theory lead to the R-symmetry group  $SU(4)_R$ . The only  $\mathcal{N} = 4$  supermultiplet can be rewritten in terms of  $\mathcal{N} = 1$  supermultiplets as follows:

$$[\mathcal{N} = 4 \text{ vector multiplet}] : V = (\lambda_\alpha, A_\mu, D) \oplus \Phi_A = (\phi^A, \psi_\alpha^A, F^A). \quad (2.2)$$

with  $A = 1, 2, 3$ . In other words, after removing the auxiliary fields, the matter content is

- a  $U(N)$  gauge field  $A_\mu$  which transforms as a singlets under  $SU(4)_R$ :

$$\text{Gauge transformation} : A_\mu \mapsto U A_\mu U^{-1} + U \partial_\mu U^{-1}, \quad U \in U(N) \quad (2.3)$$

$$\text{R-symmetry} : A_\mu \mapsto A_\mu. \quad (2.4)$$

Note that the usual term

- 4 Weyl fermions  $\psi_\alpha^a \equiv (\lambda_\alpha, \psi_\alpha^1, \psi_\alpha^2, \psi_\alpha^3)$  that transform under the adjoint of  $U(N)$  and are mixed together under the representation **4** of  $SU(4)_R$ . This means that each fermion  $\psi^a$  takes values in  $\mathfrak{u}(N)$  and we denote the components by  $\psi_{IJ}^a$  ( $I, J = 1, \dots, N$ ). Explicitly:

$$\text{Gauge transformation} : \psi^a \mapsto U \psi^a U^\dagger, \quad U \in U(N), \quad (2.5)$$

$$\text{R-symmetry} : \psi^a \mapsto R^a_b \psi^b, \quad R \in SU(4)_R. \quad (2.6)$$

Note that this gives us  $4N^2$  Weyl fermions in total.

- 3 complex scalar fields  $\phi^A$  transforming under the adjoint representation of  $U(N)$  and under the two-times anti-symmetric representation of  $SU(4)_R$ . This means that each  $\phi^A$  takes values in  $\mathfrak{u}(N)$  and we denote the components by  $\phi_{IJ}^A$ . Recall that  $SU(4) \cong SO(6)$  so the action of the R-symmetry can be seen as the **3** of  $SU(3) \subset SU(4)_R$  acting on three complex scalars  $\phi^1$  or equivalently as the **6** of  $SO(6)_R$  acting on 6 real scalars  $X^m$ , the real and imaginary parts of the  $\phi^A$ . They are interpreted as the oscillations of the branes in the transverse space. Explicitly:

$$\text{Gauge transformation} : X^m \mapsto U X^m U^\dagger, \quad U \in U(N), \quad (2.7)$$

$$\text{R-symmetry} : X^m \mapsto R^m_n X^n, \quad R \in SO(6)_R. \quad (2.8)$$

Note that this gives  $6N^2$  real scalars in total. They are the superpartners of the fermions(?).

Note that the gauge group  $U(N)$  can also be seen as the group of isometries the metric space  $\mathbb{C}^N$  to itself, i.e.  $\text{Hom}(\mathbb{C}^N, \mathbb{C}^N)$ . From this point of view, the transformations (2.3)-(2.8) can be summarized as

$$A_\mu \in \text{Hom}(\mathbb{C}^N, \mathbb{C}^N), \quad (2.9)$$

$$\psi \in \mathbf{4} \otimes \text{Hom}(\mathbb{C}^N, \mathbb{C}^N), \quad (2.10)$$

$$X \in \mathbf{6} \otimes \text{Hom}(\mathbb{C}^N, \mathbb{C}^N). \quad (2.11)$$

## 3 | Projection to daughter theories

### 3.1 | Generalities

When the transverse space is singular, the worldvolume theory corresponds to a specific projection of the parent theory that we found in the smooth case  $S = \mathbb{C}^3$ . We call it the *daughter theory*. This projections depends on the type of singularity that one considers.

We now wish to pick a discrete group  $\Gamma$  and which acts non-trivially on  $\mathbb{R}^6$ . There are several possibilities:

- $\Gamma \subset SU(4)$  naturally acts on  $\mathbb{R}^6$ . This does not require a choice of complex structure. We get an  $\mathcal{N} = 0$  theory.
- $\Gamma \subset SU(3)$  naturally acts on  $\mathbb{C}^3$ , this also requires a choice of complex structure on  $\mathbb{R}^6$ . We get an  $\mathcal{N} = 1$  theory.
- $\Gamma \subset SU(2)$  naturally acts on the second factor of  $\mathbb{C} \times \mathbb{C}^2$ , so this requires a choice of complex structure on  $\mathbb{R}^6$ . We get an  $\mathcal{N} = 2$  theory.

For now, we are interested with  $\mathcal{N} = 1$  theories so we consider  $\Gamma \subset \text{SU}(3)$  with the action

$$\cdot : \begin{pmatrix} \Gamma \times \mathbb{C}^3 & \longrightarrow & \mathbb{C}^3 \\ (\gamma, z) & \longmapsto & \gamma \cdot z \end{pmatrix} \quad (3.1)$$

is the representation of  $\Gamma$  coming from the fundamental representation of  $\text{SU}(3)$ , so  $\cdot$  is just the matrix product. We can see that the origin is always a fixed point so this action is never free. Since  $\mathbb{C}^3$  is a smooth manifold, this makes  $\mathbb{C}^3/\Gamma$  an orbifold. Note this case naturally includes the case  $\Gamma \subset \text{SU}(2)$  too, just not the case  $\Gamma \in \text{SO}(6)$ .

Note that if  $\Gamma$  is a general finite group the condition that  $\mathbb{C}^3/\Gamma$  is an Calabi-Yau orbifold means that there must exist a resolution of this orbifold such that the corresponding smooth space is Calabi-Yau, i.e. a crepant resolution. Existence of such a resolution constrains  $\Gamma$  (?), see appendix F.

The prescription is straihght-forward: we can use the elements  $\gamma \in \Gamma$  to project out that states that are not  $\Gamma$ -invariant. That is, only the the fields such that

$$\rho(\gamma)A_\mu\rho(\gamma)^{-1} = A_\mu, \quad (3.2)$$

$$R(\gamma)\rho(\gamma)\psi_{IJ}\rho(\gamma)^{-1} = \psi_{IJ}, \quad (3.3)$$

$$R(\gamma)\rho(\gamma)X_{IJ}\rho(\gamma)^{-1} = X_{IJ} \quad (3.4)$$

are kept in the spectrum, where  $\rho$  is a unitary representation of  $\Gamma$  on  $\mathbb{C}^N$  and  $R = 4, 6$ . Note that the term  $U\partial_\mu U^{-1}$  is absent from 3.2. This comes from the fact that since  $\Gamma$  is a finite group, the only smooth functions  $x \mapsto \Gamma$  are the constant ones, so transformations of the gauge field under a finite subgroup of its gauge group cannot depend on  $x$ . This will be discussed in detail in the next section. Note that the fields that transform non-trivially under R-symmetry also have an extra induced action of  $\Gamma$ , in agreement with (2.9)-(2.11). The R-symmetry untouched by  $\Gamma$  will be the resulting R-symmetry of daughter theory.

### 3.2 | Representation theory realization

Let  $\{(\rho_i, V_i)\}_{i \in I}$  be a complete set of irreducible representations of  $\Gamma$ . Since  $\Gamma$  is finite, it is particular compact and those representation can be taken to be unitary. Moreover,  $i$  takes a finite number of values. Let us consider a representation of  $\Gamma$  on  $\mathbb{C}^N$ , we denote it  $(\rho, \mathbb{C}^N)$  and also take it to be unitary. In that case,  $\rho(\gamma) \in \text{U}(N)$ . This is what we mean by “the embedding of  $\Gamma$  in  $\text{U}(N)$ ”. The adjoint representation of  $\text{U}(N)$  defined as

$$\text{Ad} : \begin{pmatrix} \text{U}(n) & \longrightarrow & \text{GL}(\mathfrak{u}(N)) \\ U & \longmapsto & \text{Ad}_U \end{pmatrix}, \quad \text{Ad}_U : \begin{pmatrix} \mathfrak{u}(N) & \longrightarrow & \mathfrak{u}(N) \\ \omega & \longmapsto & \text{Ad}_U(\omega) \equiv U\omega U^{-1} \end{pmatrix}, \quad (3.5)$$

now allows us to act with  $\Gamma$  on  $\mathfrak{u}(N)$ . We use this representation in the expression (3.2)-(3.4). Recall that the adjoint representations is well defined since for all  $\omega \in \mathfrak{u}(N)$  and  $U \in \text{U}(N)$ ,  $U\omega U^{-1} \in \mathfrak{u}(N)$ .

We can decompose  $(\rho, \mathbb{C}^N)$  as follows:

$$(\rho, \mathbb{C}^N) = \bigoplus_{i \in I} (\rho_i, V_i)^{N_i} \quad (3.6)$$

$$= \bigoplus_{i \in I} (\mathbf{1}^{N_i} \otimes \rho_i, \mathbb{C}^{N_i} \otimes V_i) \quad (3.7)$$

were  $N_i$  are integer multiplicities  $((\rho_i, V_i)^{N_i} \equiv (\rho_i, V_i)^{\oplus N_i})$  and  $\mathbf{1}$  is the trivial representation, so  $\Gamma$  acts trivially on the  $\mathbb{C}^{N_i}$ . We have  $\sum_i N_i \dim(\rho_i) = N$ . The rewritting (3.7) will be useful later on.

After the projection, the resulting gauge group is given by the  $\Gamma$ -invariant part of the gauge group, that is  $\text{Hom}(\mathbb{C}^N, \mathbb{C}^N)^\Gamma$ . We use the superscript  $\Gamma$  to indicate that we only keep the trivial representations in the decomposition, that is, we only keep that subspaces that transform trivially. First, we can see that by Schur's lemma (?)

$$(V_i \otimes V_j^*)^\Gamma = \delta_{ij} \quad (3.8)$$

Now since  $\text{Hom}(\mathbb{C}^N, \mathbb{C}^N) \cong \mathbb{C}^N \otimes (\mathbb{C}^N)^*$ , we get

$$\text{Hom}(\mathbb{C}^N, \mathbb{C}^N)^\Gamma = (\mathbb{C}^N \otimes (\mathbb{C}^N)^*)^\Gamma \quad (3.9)$$

$$= \bigoplus_{i,j \in I} ((\mathbb{C}^{N_i} \otimes V_i) \otimes (\mathbb{C}^{N_j} \otimes V_j)^*)^\Gamma \quad (3.10)$$

$$= \bigoplus_{i,j \in I} (\mathbb{C}^{N_i} \otimes (\mathbb{C}^{N_j})^* \otimes V_i \otimes V_j^*)^\Gamma \quad (3.11)$$

$$= \bigoplus_{i,j \in I} (\mathbb{C}^{N_i} \otimes (\mathbb{C}^{N_j})^*)^\Gamma \otimes (V_i \otimes V_j^*)^\Gamma \quad (3.12)$$

$$= \bigoplus_{i \in I} \mathbb{C}^{N_i} \otimes (\mathbb{C}^{N_i})^* \quad (3.13)$$

so the daughter gauge group is

$$G_{\text{proj}} = \bigotimes_{i \in I} \text{U}(N_i). \quad (3.14)$$

Now it turns out that in the low energy effective field theory the  $\text{U}(1)$  factor of every  $\text{U}(N_i)$  decouples (?) so the resulting gauge group is in fact

$$G_{\text{proj}} = \bigotimes_{i \in I} \text{SU}(N_i). \quad (3.15)$$

For the matter fields, the reasoning is similar but we now have to take into account the R-symmetry. Let  $\mathbf{4} \equiv (\rho_4, V_4)$  be the fundamental representation of  $\text{SU}(4)_R$  and  $\mathbf{6} \equiv (\rho_6, V_6)$  be the fundamental representation of  $\text{SO}(6)_R$ . Wish to compute  $(V_{\mathcal{R}} \otimes \text{Hom}(\mathbb{C}^N, \mathbb{C}^N))^\Gamma$  with  $\mathcal{R} = \mathbf{4}, \mathbf{6}$ :

$$(V_{\mathcal{R}} \otimes \text{Hom}(\mathbb{C}^N, \mathbb{C}^N))^\Gamma = \bigoplus_{i,j \in I} (V_{\mathcal{R}} \otimes (\mathbb{C}^{N_i} \otimes V_i) \otimes (\mathbb{C}^{N_j} \otimes V_j)^*)^\Gamma \quad (3.16)$$

$$= \bigoplus_{i,j \in I} (V_{\mathcal{R}} \otimes \mathbb{C}^{N_i} \otimes (\mathbb{C}^{N_j})^*)^\Gamma \otimes (V_i \otimes V_j^*)^\Gamma \quad (3.17)$$

$$= \bigoplus_{i,j \in I} a_{ij}^{\mathcal{R}} (\mathbb{C}^{N_i} \otimes (\mathbb{C}^{N_j})^*) \quad (3.18)$$

with

$$(\rho_{\mathcal{R}}, V_{\mathcal{R}}) \otimes (\rho_i, V_i) = \bigoplus_{j \in I} a_{ij}^{\mathcal{R}} (\rho_j, V_j). \quad (3.19)$$

This expression makes sense because  $(\rho_{\mathcal{R}}, V_{\mathcal{R}})$  is a representation of  $\text{SU}(4)$  so it is in particular a representation of  $\text{SU}(3)$  and therefore also in particular a representation of  $\Gamma$ .

Using the orthogonality of characters of irreducible non-equivalent representations, we obtain the explicit expression of the coefficient  $a_{ij}^{\mathcal{R}}$ :

$$a_{ij}^{\mathcal{R}} = \frac{1}{|\Gamma|} \sum_{\gamma=1}^r r_\gamma \chi^{\mathcal{R}}(\gamma) \chi^i(\gamma) \chi^j(\gamma)^* \quad (3.20)$$

where  $r_\gamma$  is the order of the conjugacy class containing  $\gamma$  and  $\chi^i$  is the character of  $\rho_i$ .

In the end, we can see that:

In the daughter theory, the matter fields become a total of  $a_{ij}^{\mathbf{4}}$  bi-fundamental fermions and  $a_{ij}^{\mathbf{6}}$  bi-fundamental bosons transforming as the  $(\mathbf{N}_i, \bar{\mathbf{N}}_j)$  of  $\text{SU}(N_i) \times \text{SU}(N_j)$  under the products of gauge groups.



### 3.3 | Field content, quivers and McKay graphs

A convenient way to represent the matter content of a daughter theory is to use *quiver diagrams*. A quiver is a finite oriented graph such that each node  $i$  represents a gauge factor  $SU(N_i)$  and each arrow  $i \rightarrow j$  represents a bi-fundamental field transforming under  $(\mathbf{N}_i, \bar{\mathbf{N}}_j)$ . The *adjacency matrix*  $A$  of the graph is a  $k \times k$  with  $k$  being the number of nodes (gauge factors) whose elements  $A_{ij}$  are the number of arrows (bi-fundamental fields) from  $i$  to  $j$ . In other words, from (3.18), the adjacency matrix of the fermions has elements  $A_{ij} = a_{ij}^4$  and the one of the scalars has elements  $A_{ij} = a_{ij}^6$ .

On the other hand, given finite group  $\Gamma$ , a representation  $(\rho_W, W)$  and a complete set of irreducible representations  $\{(\rho_i, V_i)\}_{i \in I}$  of the latter, one can construct a McKay graph (or quiver) as follows:

1. Draw a vertex for every representation  $(\rho_i, V_i)$ .
2. For every representation  $(\rho_i, V_i)$ , compute the decomposition

$$(\rho_W, V_W) \otimes (\rho_i, V_i) = \bigoplus_j (\rho_j, V_j)^{\oplus n_{ij}}$$

where  $n_{ij}$  is the multiplicity of  $(\rho_j, V_j)$  in the decomposition of  $(\rho_W, V_W) \otimes (\rho_i, V_i)$ .

3. For every  $n_{ij} > 0$ , draw  $n_{ij}$  arrows from the vertex of  $(\rho_i, V_i)$  to the one of  $(\rho_j, V_j)$ .

From (3.19) we see that  $n_{ij} = a_{ij}^{\mathcal{R}}$  and therefore that the matter quivers that we defined previously are exactly the McKay graph associated to the matter representation in question. Or, put differently, the matter content of the daughter theory is encapsulated in the McKay graphs of  $\Gamma$  and with respect to  $\mathcal{R}$  with  $\mathcal{R} = 4$  for spinors and  $\mathcal{R} = 6$  for scalars. This very important point allows us to use known results on McKay graphs such as the McKay correspondence for example.

### 3.4 | A simple example: $S = \mathbb{C}^3/\mathbb{Z}_3$

We illustrate the previous discussion by the simple case where  $\Gamma = \mathbb{Z}_3$  acts on  $\mathbb{C}^2$  as

$$\begin{bmatrix} z_1 \\ z_2 \\ z_3 \end{bmatrix} \mapsto \begin{bmatrix} \zeta_3 & 0 & 0 \\ 0 & \zeta_3 & 0 \\ 0 & 0 & \zeta_3 \end{bmatrix} \begin{bmatrix} z_1 \\ z_2 \\ z_3 \end{bmatrix} \quad (3.21)$$

i.e. the transverse space is the orbifold  $\mathbb{C}^3/\mathbb{Z}_3$ . This simple example is a good first approach in which we will to explain in details each step so that we can go faster afterwards.

#### 3.4.1 | Projection

Let us consider a representation  $(\rho, \mathbb{C}^N)$  of  $\mathbb{Z}_3$ . A complete set of irreducible representations of  $\mathbb{Z}_3$  is given by  $\{(\rho_k, V_k)\}_{k=0,1,2}$  with  $V_k = \mathbb{C}$  and

$$\rho_i(g) = \zeta_3^k \quad (3.22)$$

where  $g$  is the generator of  $\mathbb{Z}_3$ . The representation  $(\rho, V)$  can be decomposed as

$$(\rho, V) = \bigoplus_{i=0}^2 N_i(\rho_i, V_i). \quad (3.23)$$

In other words, it is equivalent to the representation

$$\rho(g) = \begin{bmatrix} 1 & & & \dots & & & 0 \\ & \ddots & & & & & \\ & & 1 & & & & \\ \vdots & & & \zeta_3 & & & \\ & & & & \ddots & & \\ & & & & & \zeta_3 & \\ & & & & & & \zeta_3^2 \\ & & & & & & \ddots \\ 0 & & & \dots & & & \zeta_3^2 \end{bmatrix} \begin{matrix} \left. \vphantom{\begin{matrix} 1 \\ \vdots \\ 0 \end{matrix}} \right\} N_0 \\ \left. \vphantom{\begin{matrix} \zeta_3 \\ \vdots \\ \zeta_3^2 \end{matrix}} \right\} N_1 \\ \left. \vphantom{\begin{matrix} \zeta_3^2 \\ \vdots \\ \zeta_3^2 \end{matrix}} \right\} N_2 \end{matrix} . \quad (3.24)$$

Since  $\dim \rho_i = 1$ , we have

$$N_0 + N_1 + N_2 = N. \quad (3.25)$$

The gauge field configurations that are left invariant under the action of  $\mathbb{Z}_n$  are therefore the ones that satisfy

$$\rho(g)A_\mu\rho(g)^{-1} = A_\mu. \quad (3.26)$$

We actually want this relation to be true for any element of  $\mathbb{Z}_n$  but in this case it is invariant under any element of  $\mathbb{Z}_n$  if and only if it is invariant under the generator  $g$  of  $\mathbb{Z}_3$ , so we only need to impose (3.26). The constrained is easily solved by using the bi-index notation  $A_{\mu;i\alpha_i,j\beta_j}$  ( $i, j = 0, \dots, n-1, \alpha_i, \beta_i = 1, \dots, N_i$ ) for the component of the gauge fields. From (4.2), we can see that

$$A_{\mu;i\alpha_i,j\beta_j} \mapsto \rho_i(g)A_{\mu;i\alpha_i,j\beta_j}\rho_j(g)^{-1} = \zeta_n^{i-j} A_{\mu;i\alpha_i,j\beta_j}. \quad (3.27)$$

thus only the configurations with  $A_{\mu;i\alpha_i,j\beta_j} = 0$  if  $i \neq j$  are invariant. The gauge field has therefore a block diagonal form:

$$A_\mu = \begin{bmatrix} A_{\mu;00} & & \\ & A_{\mu;11} & \\ & & A_{\mu;2,2} \end{bmatrix} \quad (3.28)$$

with  $A_{\mu;ij} \equiv (A_{\mu;i\alpha_i,j\beta_j})_{\alpha_i=0,\dots,N_i,\beta_j=0,\dots,N_j}$ . The block  $A_{ii}$  transforms under  $\mathbb{Z}_3$  as  $(\rho_i, V_i)^{N_i}$ . Consequently, the gauge group is now broken to

$$G_{\text{proj}} = \text{U}(N_0) \times \text{U}(N_1) \times \text{U}(N_1), \quad (3.29)$$

the biggest subgroup of  $\text{U}(N)$  that preserves those form of configurations.

Let us study the scalars.  $\mathbb{Z}_3$  acts on the three complex scalars through

$$R(g) = \rho_1^{\oplus 3}(g) = \zeta_3 \mathbb{1}_3 = \begin{bmatrix} \zeta_3 & 0 & 0 \\ 0 & \zeta_3 & 0 \\ 0 & 0 & \zeta_3 \end{bmatrix} \quad (3.30)$$

or, equivalently, on the real scalars as  $R(g) = \zeta_3 \mathbb{1}_6$ . According to (2.7)-(2.8), the scalar field configurations that are left invariant satisfy

$$R(g)^m {}_n \rho(g) X^n \rho(g)^{-1} = X^m \quad (3.31)$$

for all  $g \in \mathbb{Z}_n$ . Using the bi-index notations, this becomes

$$X_{i\alpha_i,j\beta_j}^m \mapsto \zeta_n \delta_n^m {}_n \rho_i(g) X_{i\alpha_i,j\beta_j}^n \rho_j(g)^{-1} = \zeta_3^{i-j+1} X_{i\alpha_i,j\beta_j}^m \quad (3.32)$$

$$\bar{X}_{i\alpha_i,j\beta_j}^m \mapsto \zeta_3^{-1} \delta_n^m {}_n \rho_i(g) \bar{X}_{i\alpha_i,j\beta_j}^n \rho_j(g)^{-1} = \zeta_3^{i-j-1} \bar{X}_{i\alpha_i,j\beta_j}^m \quad (3.33)$$

thus only the configurations with  $X_{i\alpha_i,j\beta_j}^n = 0$  if  $i-j+1 \neq 0$  are left invariant and only the configurations with  $\bar{X}_{i\alpha_i,j\beta_j}^n = 0$  if  $i-j-1 \neq 0$  are left invariant. The scalar fields  $X$  have a block off-diagonal form:

$$X^m = \begin{bmatrix} 0 & X_{01}^m & 0 \\ 0 & 0 & X_{12}^m \\ X_{21}^m & 0 & 0 \end{bmatrix}, \quad \bar{X}^m = \begin{bmatrix} 0 & 0 & \bar{X}_{02}^m \\ \bar{X}_{10}^m & 0 & 0 \\ 0 & \bar{X}_{21}^m & 0 \end{bmatrix} \quad (3.34)$$

with the block notations

$$\begin{aligned} X_{ij}^m &\equiv (X_{i\alpha_i, j\beta_j}^m)_{\alpha_i=0, \dots, N_i, \beta_j=0, \dots, N_j} \\ \overline{X}_{ij}^m &\equiv (\overline{X}_{i\alpha_i, j\beta_j}^m)_{\alpha_i=0, \dots, N_i, \beta_j=0, \dots, N_j}. \end{aligned}$$

The block  $X_{ij}^m$  is an  $N_i \times N_j$  block and transforms under the representation  $(\mathbf{N}_i, \overline{\mathbf{N}}_j)$  of  $U(N_i) \times U(N_j)$ :

$$X_{i,i+1}^m \in \mathbf{N}_{i+1} \otimes \overline{\mathbf{N}}_i \cong \text{Hom}(V_{i+1}, V_i), \quad (3.35)$$

$$\overline{X}_{i+1,i}^m \in \mathbf{N}_i \otimes \overline{\mathbf{N}}_{i+1} \cong \text{Hom}(V_i, V_{i+1}). \quad (3.36)$$

Let us make an important observation: the form of the scalar fields are the same for every  $m = 0, \dots, 5$ . This can be traced back to the fact that  $R(g) = \zeta_3 \mathbb{1}_6$  so the R-symmetry action of  $\mathbb{Z}_n$  is the same for all  $m$ .

Let us now study the four Weyl fermions  $\psi^a$ . They

### 3.4.2 | Quiver

We can draw the quiver of this daughter theory. We have three types of bi-fundamental scalar fields:

$$X_{01}^m \in (\mathbf{N}_1, \overline{\mathbf{N}}_0), \quad X_{12}^m \in (\mathbf{N}_2, \overline{\mathbf{N}}_1), \quad X_{20}^m \in (\mathbf{N}_0, \overline{\mathbf{N}}_2). \quad (3.37)$$

In each representation bi-fundamental representation there are six real scalars, i.e. 3 complex scalars. They are each represented by an arrow between the right representations, see fig. 1.

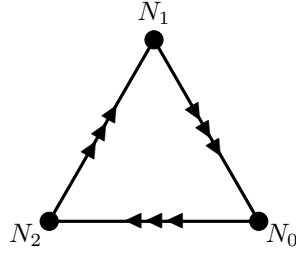


Figure 1: Quiver of the  $\mathbb{C}^3/\mathbb{Z}_3$  daughter theory.

The adjacency matrix of this quiver is

$$A = \begin{bmatrix} 0 & 3 & 0 \\ 0 & 0 & 3 \\ 3 & 0 & 0 \end{bmatrix} \quad (3.38)$$

which is coherent with the fact that the coefficients of the McKay decomposition of  $\rho_1 \oplus \rho_1 \oplus \rho_1$  are

$$\begin{aligned} n_{00} &= 0, & n_{01} &= 3, & n_{02} &= 0, \\ n_{10} &= 0, & n_{11} &= 0, & n_{12} &= 3, \\ n_{20} &= 3, & n_{21} &= 0, & n_{22} &= 0. \end{aligned} \quad (3.39)$$

### 3.4.3 | Gauge anomaly cancellation

Finally, let us discuss the gauge anomaly cancellation. Our fields transform under the adjoint representation of  $SU(n)$ , under the fundamentals of  $SU(N_i)$  and under the anti-fundamentals of  $SU(N_i)$ . The adjoint representation being real, it is self-conjugate and therefore do not contribute to the anomaly. Fundamentals of  $SU(N_i)$  have a +1 contribution to the anomaly and anti-fundamentals of  $SU(N_i)$  have a -1 contribution to the anomaly. Anomaly cancellation therefore imposes that the contribution of the fundamental and of the anti-fundamental of  $SU(N_i)$  cancel each other for each  $i = 0, 1, 2$ , see appendix

(A). The bi-fundamental representation  $(\mathbf{N}_i, \bar{\mathbf{N}}_j)$  counts as  $N_j$  fundamentals of  $SU(N_i)$  and as  $N_i$  anti-fundamentals  $SU(N_j)$ . We get the three following conditions:

$$SU(N_0) : N_2 - N_1 = 0, \quad (3.40)$$

$$SU(N_1) : N_0 - N_2 = 0, \quad (3.41)$$

$$SU(N_2) : N_1 - N_0 = 0. \quad (3.42)$$

which immediately imply

$$N_0 = N_1 = N_2. \quad (3.43)$$

From (3.25), we get that  $N_0 = N_1 = N_2 = N/3$ , meaning that the daughter theory has quantum gauge symmetry if and only if the parent theory has gauge group  $SU(N)$  where  $N$  is a multiple of 3. Or, in other words, if the number of D-branes is a multiple of 3.

## 4 | Orbifold constructions of $\mathcal{N} = 2$ daughter theories

### 4.1 | $S = \mathbb{C} \times \mathbb{C}^2 / \mathbb{Z}_n$

We consider a representation  $(\rho, \mathbb{C}^N)$  of  $\mathbb{Z}_n$ . We decompose it on the set of irreducible representations of  $\mathbb{Z}_n$  as

$$(\rho, V) = \bigoplus_{i=0}^{n-1} N_i(\rho_i, V_i). \quad (4.1)$$

In other words, it is equivalent to the representation

$$\rho(g) = \begin{bmatrix} 1 & & \cdots & & 0 \\ & \ddots & & & \\ & & 1 & & \\ \vdots & & & \ddots & \vdots \\ 0 & & & \zeta_n^{n-1} & \vdots \\ & & & & \ddots & \zeta_n^{n-1} \end{bmatrix} \left. \begin{array}{l} \\ \\ \\ \\ \end{array} \right\} \begin{array}{l} N_0 \\ \\ \\ N_{n-1} \end{array}. \quad (4.2)$$

Since  $\dim \rho_i = 1$ ,  $\sum_i N_i = N$ .

The gauge field configurations that are left invariant under the action of  $\mathbb{Z}_n$  are therefore the ones that satisfy

$$\rho(g) A_\mu \rho(g)^{-1} = A_\mu. \quad (4.3)$$

The constrained is easily solved by using the bi-index notation:

$$A_{\mu; i\alpha_i, j\beta_j} \mapsto \rho_i(g) A_{\mu; i\alpha_i, j\beta_j} \rho_j(g)^{-1} = \zeta_n^{i-j} A_{\mu; i\alpha_i, j\beta_j}. \quad (4.4)$$

thus only the configurations with  $A_{\mu; i\alpha_i, j\beta_j} = 0$  if  $i \neq j$  are invariant. The gauge field has therefore a block diagonal form:

$$A_\mu = \begin{bmatrix} A_{\mu; 00} & & & \\ & A_{\mu; 11} & & \\ & & \ddots & \\ & & & A_{\mu; n-1, n-1} \end{bmatrix} \quad (4.5)$$

with  $A_{\mu; ij} \equiv (A_{\mu; i\alpha_i, j\beta_j})_{\alpha_i=0, \dots, N_i, \beta_j=0, \dots, N_j}$ . The block  $A_{ii}$  transforms under  $\mathbb{Z}_n$  as  $(\rho_i, V_i)^{N_i}$ . For now it is only a simple generalization of the case  $\mathbb{C}^3 / \mathbb{Z}_3$ , the first steps are extremely similar. This makes sense: since the gauge field does not transform under R-symmetry, the specific way by which  $\mathbb{Z}_n$  acts on  $\mathbb{C}^3$  does not matter.

The gauge group is now broken to

$$G_{\text{proj}} = \prod_{i=0}^{n-1} \text{U}(N_i). \quad (4.6)$$

Now for the scalar fields. The action of  $\mathbb{Z}_n$  that we consider leaves the first component of  $\mathbb{C}^3$  untouched so we take the action  $\mathbf{1} \oplus \mathbf{2}$  where  $\mathbf{2}$  is the usual action of  $\mathbb{Z}_n$  on  $\mathbb{C}^2$ . In other words,

$$R(g) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \zeta_n & 0 \\ 0 & 0 & \zeta_n^{-1} \end{bmatrix}. \quad (4.7)$$

Or, equivalently,  $R(g)^m_n = \delta^m_n A_n$  with  $A_m = (1, 1, \zeta_n, \zeta_n, \zeta_n^{-1}, \zeta_n^{-1})$ . The scalar field configurations that are left invariant satisfy

$$R(g)^m_n \rho(g) X^n \rho(g)^{-1} = X^m \quad (4.8)$$

for all  $g \in \mathbb{Z}_n$ . Using the bi-index notations, this becomes

$$X_{i\alpha_i, j\beta_j}^m \mapsto \delta^m_n A_n \rho_i(g) X_{i\alpha_i, j\beta_j}^m \rho_j(g)^{-1} = \delta^m_n A_n \zeta_n^{i-j} X_{i\alpha_i, j\beta_j}^m = \begin{cases} \zeta_n^{i-j} X_{i\alpha_i, j\beta_j}^m, & m = 0, 1 \\ \zeta_n^{i-j+1} X_{i\alpha_i, j\beta_j}^m, & m = 2, 3 \\ \zeta_n^{i-j-1} X_{i\alpha_i, j\beta_j}^m, & m = 4, 5 \end{cases} \quad (4.9)$$

$$\bar{X}_{i\alpha_i, j\beta_j}^m \mapsto \delta^m_n \bar{A}_n \rho_i(g) \bar{X}_{i\alpha_i, j\beta_j}^m \rho_j(g)^{-1} = \delta^m_n \bar{A}_n \zeta_n^{i-j} \bar{X}_{i\alpha_i, j\beta_j}^m = \begin{cases} \zeta_n^{i-j} \bar{X}_{i\alpha_i, j\beta_j}^m, & m = 0, 1 \\ \zeta_n^{i-j-1} \bar{X}_{i\alpha_i, j\beta_j}^m, & m = 2, 3 \\ \zeta_n^{i-j+1} \bar{X}_{i\alpha_i, j\beta_j}^m, & m = 4, 5 \end{cases} \quad (4.10)$$

thus only the configurations with  $X_{i\alpha_i, j\beta_j}^{0,1} = 0$  if  $i - j \neq 0$ ,  $X_{i\alpha_i, j\beta_j}^{2,3} = 0$  if  $i - j + 1 \neq 0$  and  $X_{i\alpha_i, j\beta_j}^{4,5} = 0$  if  $i - j - 1 \neq 0$  are left invariant (and similarly for the conjugated fields). The scalar fields  $X$  have a the following forms:

$$X^{0,1} = \begin{bmatrix} X_{00}^{0,1} & & 0 \\ & \ddots & \\ 0 & & X_{n-1, n-1}^{0,1} \end{bmatrix}, \quad (4.11)$$

$$X^{2,3} = \begin{bmatrix} 0 & X_{01}^{2,3} & & 0 \\ & \ddots & \ddots & \\ & & 0 & X_{n-2, n-1}^{2,3} \\ X_{n-1, 0}^{2,3} & & & 0 \end{bmatrix}, \quad X^{4,5} = \begin{bmatrix} 0 & & & X_{0, n-1}^{4,5} \\ X_{10}^{4,5} & 0 & & \\ & \ddots & \ddots & \\ 0 & & X_{n-1, n-2}^{4,5} & 0 \end{bmatrix}, \quad (4.12)$$

$$\bar{X}^{0,1} = \begin{bmatrix} \bar{X}_{00}^{0,1} & & 0 \\ & \ddots & \\ 0 & & \bar{X}_{n-1, n-1}^{0,1} \end{bmatrix}, \quad (4.13)$$

$$\bar{X}^{2,3} = \begin{bmatrix} 0 & & & \bar{X}_{0, n-1}^{2,3} \\ \bar{X}_{10}^{2,3} & 0 & & \\ & \ddots & \ddots & \\ 0 & & \bar{X}_{n-1, n-2}^{2,3} & 0 \end{bmatrix}, \quad \bar{X}^{4,5} = \begin{bmatrix} 0 & \bar{X}_{01}^{4,5} & & 0 \\ & \ddots & \ddots & \\ & & 0 & \bar{X}_{n-2, n-1}^{4,5} \\ \bar{X}_{n-1, 0}^{4,5} & & & 0 \end{bmatrix} \quad (4.14)$$

so  $X_{ij}^m$  is an  $N_i \times N_j$  block and transforms under the representation  $(\mathbf{N}_i, \bar{\mathbf{N}}_j)$  of  $\text{U}(N_i) \times \text{U}(N_j)$ :

$$X_{i,i}^{0,1} \in \mathbf{N}_i \otimes \bar{\mathbf{N}}_i \cong \text{Hom}(V_i, V_i), \quad (4.15)$$

$$X_{i,i+1}^{2,3} \in \mathbf{N}_{i+1} \otimes \bar{\mathbf{N}}_i \cong \text{Hom}(V_{i+1}, V_i), \quad (4.16)$$

$$X_{i+1,i}^{4,5} \in \mathbf{N}_i \otimes \bar{\mathbf{N}}_{i+1} \cong \text{Hom}(V_i, V_{i+1}). \quad (4.17)$$

So the scalar fields are split up in three families depending on the way they transform under a gauge transformation. We now see a big difference with the case  $\mathbb{C}^3 / \mathbb{Z}_3$ : since the R-symmetry does not act

the same way on each directions in  $\mathbb{C}^3$ , the invariant scalar field configurations are not the same in each direction either.

Let us draw the quiver for the case  $n = 3$  so that we can compare to 1. We have  $2 \cdot 9 = 18$  real scalar fields in 9 different representations:

$$X_{00}^0, X_{00}^1 \in (\mathbf{N}_0, \bar{\mathbf{N}}_0), \quad X_{11}^0, X_{11}^1 \in (\mathbf{N}_1, \bar{\mathbf{N}}_1), \quad X_{22}^0, X_{22}^1 \in (\mathbf{N}_2, \bar{\mathbf{N}}_2), \quad (4.18)$$

$$X_{01}^2, X_{01}^3 \in (\mathbf{N}_1, \bar{\mathbf{N}}_0), \quad X_{12}^2, X_{12}^3 \in (\mathbf{N}_2, \bar{\mathbf{N}}_1), \quad X_{20}^2, X_{20}^3 \in (\mathbf{N}_0, \bar{\mathbf{N}}_2), \quad (4.19)$$

$$X_{10}^4, X_{10}^5 \in (\mathbf{N}_0, \bar{\mathbf{N}}_1), \quad X_{21}^4, X_{21}^5 \in (\mathbf{N}_1, \bar{\mathbf{N}}_2), \quad X_{02}^4, X_{02}^5 \in (\mathbf{N}_2, \bar{\mathbf{N}}_0), \quad (4.20)$$

We now only have 1 complex scalar in each representation and the quiver is given by 2.

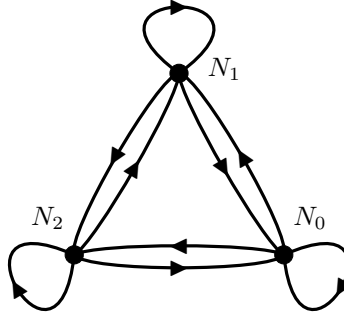


Figure 2: Quiver of the  $\mathbb{C} \times \mathbb{C}^2/\mathbb{Z}_3$  daughter theory.

It is easy to see how the construction of the the quiver generalizes for arbitrary  $n$ . The adjacency matrix is

$$A = \begin{bmatrix} 1 & \cdots & 1 \\ \vdots & \ddots & \vdots \\ 1 & \cdots & 1 \end{bmatrix} \quad (4.21)$$

which is, as it should, coincides with the McKay decomposition of  $\mathbf{1} \oplus \mathbf{2}$ :

$$\begin{aligned} n_{00} = 1, \quad \dots, \quad n_{0,n-1} = 1, \\ \vdots \quad \quad \quad \vdots \\ n_{n-1,0} = 1, \quad \dots, \quad n_{n-1,n-1} = 1. \end{aligned} \quad (4.22)$$

Gauge anomaly cancellation now imposes that

$$N_{i-1} - N_{i-1} + N_{i+1} + N_{i+1} = 0 \quad (4.23)$$

for  $i = 0, \dots, n-1$ . Those constrains are always satisfied so the the factors  $N_i$  are arbitrary, as long as  $\sum_i N_i = N$  of course.

## 4.2 | $S = \mathbb{C} \times \mathbb{C}^2/\mathcal{D}_n$

We quotient  $\mathbb{C}^3$  by the binary dihedral group  $\mathcal{D}_n$  that acts on the last two components. A set of irreducible representations of  $\mathcal{D}_n$  is given by

$$\{(\rho_i, V_i)\}_{i=0, \dots, n+2} \quad (4.24)$$

with  $V_i = \mathbb{C}$  for  $i = 0, \dots, 3$  and  $V_i = \mathbb{C}^2$  for  $i = 4, \dots, n+2$ , so there are 4 one-dimensional representations and  $n-1$  two-dimensional representations. They are explicitly given in section D.1.2. Using

the bi-index notations  $A_{\mu; i\alpha_i, j\beta_j}$  with  $i, j = 0, \dots, n+2$  and  $\alpha_i, \beta_j = 0, \dots, \dim \rho_i \cdot N_i - 1$ , the invariant configurations must have  $A_{\mu; ij} = 0$  if  $i \neq j$ , i.e. it must have a diagonal block-form, with block of size  $N_i \times N_i$  for  $i = 0, \dots, 3$  and of size  $2N_i \times 2N_i$  for  $i = 4, \dots, n+1$ . The blocks transforming under the 2-dimensional representations must have the form

$$A_{\mu; ii} = \begin{bmatrix} A_{\mu; i0, i0} & A_{\mu; i0, i1} & \cdots & A_{\mu; i0, i, 2N_i-2} & A_{\mu; i0, i, 2N_i-1} \\ \mp A_{\mu; i0, i1} & A_{\mu; i0, i0} & \cdots & \mp A_{\mu; i0, i, 2N_i-1} & A_{\mu; i0, i, 2N_i-2} \\ \vdots & \cdots & \ddots & \vdots & \vdots \\ A_{\mu; i, 2N_i-2, i, 0} & A_{\mu; i, 2N_i-2, i, 1} & \cdots & A_{\mu; i, 2N_i-2, i, 2N_i-2} & A_{\mu; i, 2N_i-2, i, 2N_i-1} \\ \mp A_{\mu; i, 2N_i-2, i, 1} & A_{\mu; i, 2N_i-2, i, 0} & \cdots & \mp A_{\mu; i, 2N_i-2, i, 2N_i-1} & A_{\mu; i, 2N_i-2, i, 2N_i-2} \end{bmatrix} \quad (4.25)$$

so each block is composed of  $N_i^2$   $2 \times 2$  matrices of the form

$$\begin{bmatrix} A & B \\ \mp B & A \end{bmatrix}. \quad (4.26)$$

We take the “−” signs when  $i$  is even and the “+” signs when  $i$  is odd. This comes from the fact that the 2-dimensional representations involve alternating signs.

R-symmetry acts on the three complex scalar fields as

$$R(A) = A \quad (4.27)$$

### 4.3 | $S = \mathbb{C} \times \mathbb{C}^2/\mathcal{T}, \mathcal{O}, \mathcal{I}$

## 5 | Orbifold constructions of $\mathcal{N} = 1$ daughter theories

### 5.1 | $S = \mathbb{C}^3/\mathbb{Z}_n$

Let us now consider the general case of  $\mathbb{Z}_n$  acting on  $\mathbb{C}^3$ , i.e. we want to generalize the case that we treated in section 3.4.

#### 5.1.1 | Actions of $\mathbb{Z}_n$ on $\mathbb{C}^3$

The first thing to do is to specify a representation of  $\mathbb{Z}_n$  on  $\mathbb{C}^3$ . Any representation  $(R, \mathbb{C}^3)$  can be decomposed as

$$R = \bigoplus_{k=0}^{n-1} \rho_k^{\oplus n_k} \quad (5.1)$$

and is therefore equivalent to a block-diagonal representation. This implies that  $\sum_k n_k = 3$  so  $R$  can always be written as

$$R(g) = (\rho_a \oplus \rho_b \oplus \rho_c)(g) = \begin{bmatrix} \zeta_n^a & 0 & 0 \\ 0 & \zeta_n^b & 0 \\ 0 & 0 & \zeta_n^c \end{bmatrix} \quad (5.2)$$

with  $a, b, c$  some arbitrary exponents. We denote the representation (5.2) by  $(a, b, c)$ . Let us make a few remarks on these representations:

- Since we consider  $\mathbb{Z}_n$  as a subgroup of  $SU(3)$ , we must have

$$(a + b + c) \mod n = 0 \quad (5.3)$$

such that  $\det(R(g)) = 1$ .

- Taking  $a$  or  $a + n$  gives the same representation and the same is true for  $b$  and  $c$ , so we can actually restrict ourselves to  $0 < a, b, c < n$ . We can trade the strict inequalities and allow the values 0 or  $n$  if we want to consider trivial representations as well. In this case, we will find that at least one direction of  $\mathbb{C}^3$  is left untouched, i.e. we are in the situation where the orbifold is actually  $\mathbb{C} \times \mathbb{C}^2/\mathbb{Z}_n$ , which we will not consider here.

- Permuting  $a, b, c$  gives equivalent representations so we can take  $a, b, c$  to be ordered, so  $0 < a \leq b \leq c < n$ .

The problem has now been reformulated as follows: we are looking for all possible ordered triplets  $(a, b, c)$  such that  $0 < a \leq b \leq c < n$  and (5.3). For  $n = 1$  and  $n = 2$ , it is clear that this is not possible, the possibilities involve at least one trivial representation. For  $n = 3$ , the only possibility is  $(1, 1, 1)$ , the one we used in 3.4. What about bigger values of  $n$ ? First, note that  $0 < a \leq b \leq c < n$  implies that the maximum value of  $a + b + c$  is  $3n - 1$ . From equation (5.3), the only two possibilities are then  $a + b + c = n$  or  $a + b + c = 2n$ . What simplifies the analysis is that the second case can actually be ignored because it is already being taken care of by the first case. Let us explain that in more details: (?)

Now that we have seen that the only possibility is  $a + b + c = n$ , we find that the maximum value that  $a$  can take is  $\lfloor n/3 \rfloor$ , so  $a = 1, \dots, \lfloor n/3 \rfloor$ .  $c$  can then be expressed in terms of  $a$  and  $b$  as  $c = n - a - b$ . The constraint  $b \leq c$  then implies  $b \leq (n - a)/2$ , i.e.  $b = a, \dots, \lfloor (n - a)/2 \rfloor$ . To summarize, the representations are all the representations of the form

$$(a, b, n - a - b) \quad (5.4)$$

with  $a = 1, \dots, \lfloor n/3 \rfloor$  and  $b = a, \dots, \lfloor (n - a)/2 \rfloor$ . For small values, we get

$$\begin{aligned} \mathbb{Z}_1 &: \text{necessarily involves a trivial representation} \\ \mathbb{Z}_2 &: \text{necessarily involves a trivial representation} \\ \mathbb{Z}_3 &: (1, 1, 1) \\ \mathbb{Z}_4 &: (1, 1, 2) \\ \mathbb{Z}_5 &: (1, 1, 3), (1, 2, 2) \\ \mathbb{Z}_6 &: (1, 1, 4), (1, 2, 3), (2, 2, 2) \\ \mathbb{Z}_7 &: (1, 1, 5), (1, 2, 4), (1, 3, 3), (2, 2, 3) \\ &\vdots \end{aligned}$$

For an arbitrary  $n$ , the total number of different representation is

$$\sum_{a=1}^{\lfloor n/3 \rfloor} \left\lfloor \frac{n - 3a}{2} + 1 \right\rfloor = \begin{cases} 3k^2, & \text{if } n = 6k \\ 3k^2 + k, & \text{if } n = 6k + 1, \\ 3k^2 + 2k, & \text{if } n = 6k + 2, \\ 3k^2 + 3k + 1, & \text{if } n = 6k + 3, \\ 3k^2 + 4k + 1, & \text{if } n = 6k + 4, \\ 3k^2 + 5k + 2, & \text{if } n = 6k + 5 \end{cases} \quad (5.5)$$

The details are presented in appendix J.1.

### 5.1.2 | Example: $\mathbb{Z}_5$

For  $\mathbb{Z}_5$ , we saw that there are two nonequivalent ways of acting on  $\mathbb{C}^3$ , see (??). Let us first consider the action  $(1, 1, 3)$

$$R(g) = \begin{bmatrix} \zeta_5 & 0 & 0 \\ 0 & \zeta_5 & 0 \\ 0 & 0 & \zeta_5^3 \end{bmatrix} \quad (5.6)$$

i.e.  $R = \rho_1 \oplus \rho_1 \oplus \rho_3$ .

For the gauge field, the reasoning is exactly the same than for  $\mathbb{Z}_3$  and we get

$$A_\mu = \begin{bmatrix} A_{\mu;00} & & \\ & \ddots & \\ & & A_{\mu;44} \end{bmatrix} \quad (5.7)$$

where each block  $A_{\mu;ii}$  is of size  $N_i \times N_i$ . The projected gauge group is

$$G_{\text{proj}} = \text{U}(N_0) \times \text{U}(N_1) \times \text{U}(N_2) \times \text{U}(N_3) \times \text{U}(N_4). \quad (5.8)$$



The scalar fields transform as

$$X_{i\alpha_i, j\beta_j}^m \rightarrow R(g)^m {}_n\rho_i(g) X_{i\alpha_i, j\beta_j}^n \rho_j(g)^{-1} \quad (5.9)$$

so the invariant configurations must satisfy

$$X_{i\alpha_i, j\beta_j}^m = R(g)^m {}_n\zeta_4^{i-j} \rho_i(g) X_{i\alpha_i, j\beta_j}^n = \begin{cases} \zeta^{i-j+1} X_{i\alpha_i, j\beta_j}^m, & \text{if } m = 0, 1, 2, 3 \\ \zeta^{i-j+3} X_{i\alpha_i, j\beta_j}^m, & \text{if } m = 4, 5. \end{cases} \quad (5.10)$$

and are therefore of the form

$$X^m = \begin{bmatrix} 0 & X_{01}^m & 0 & 0 & 0 \\ 0 & 0 & X_{12}^m & 0 & 0 \\ 0 & 0 & 0 & X_{23}^m & 0 \\ 0 & 0 & 0 & 0 & X_{34}^m \\ X_{40}^m & 0 & 0 & 0 & 0 \end{bmatrix} \quad (5.11)$$

for  $m = 0, 1, 2, 3$  and

$$X^m = \begin{bmatrix} 0 & 0 & 0 & X_{03}^m & 0 \\ 0 & 0 & 0 & 0 & X_{14}^m \\ X_{20}^m & 0 & 0 & 0 & 0 \\ 0 & X_{31}^m & 0 & 0 & 0 \\ 0 & 0 & X_{42}^m & 0 & 0 \end{bmatrix} \quad (5.12)$$

for  $m = 4, 5$ . Gauge anomaly cancellation imposes

$$-N_{i-2} + N_{i+2} - 2N_{i+1} + 2N_{i-1} = 0 \quad (5.13)$$

for  $i = 0, 1, 2, 3, 4$  which implies that

$$N_0 = N_1 = N_2 = N_3 = N_4. \quad (5.14)$$

Now if we choose the representation  $(1, 2, 2)$ , i.e.

$$R(g) = \begin{bmatrix} \zeta_5 & 0 & 0 \\ 0 & \zeta_5^2 & 0 \\ 0 & 0 & \zeta_5^2 \end{bmatrix} \quad (5.15)$$

we get instead that the invariant field configurations must satisfy

$$X_{i\alpha_i, j\beta_j}^m = R(g)^m {}_n\zeta_4^{i-j} \rho_i(g) X_{i\alpha_i, j\beta_j}^n = \begin{cases} \zeta^{i-j+1} X_{i\alpha_i, j\beta_j}^m, & \text{if } m = 0, 1 \\ \zeta^{i-j+2} X_{i\alpha_i, j\beta_j}^m, & \text{if } m = 2, 3, 4, 5. \end{cases} \quad (5.16)$$

and are therefore of the form

$$X^m = \begin{bmatrix} 0 & X_{01}^m & 0 & 0 & 0 \\ 0 & 0 & X_{12}^m & 0 & 0 \\ 0 & 0 & 0 & X_{23}^m & 0 \\ 0 & 0 & 0 & 0 & X_{34}^m \\ X_{40}^m & 0 & 0 & 0 & 0 \end{bmatrix} \quad (5.17)$$

for  $m = 0, 1$  and

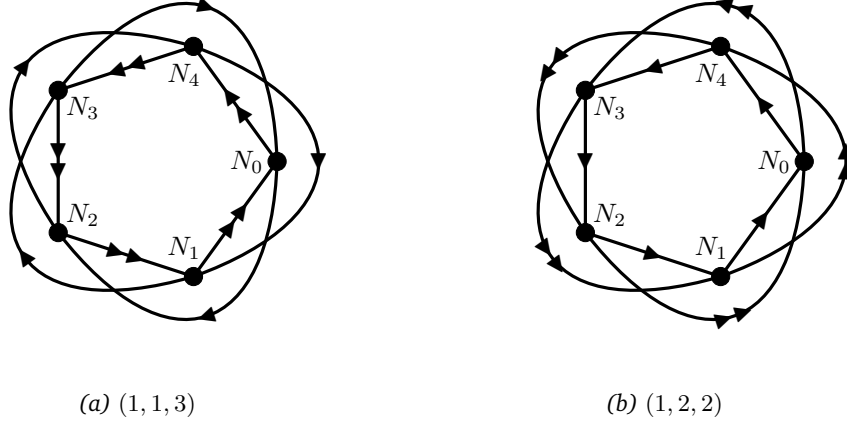
$$X^m = \begin{bmatrix} 0 & 0 & X_{02}^m & 0 & 0 \\ 0 & 0 & 0 & X_{13}^m & 0 \\ 0 & 0 & 0 & 0 & X_{24}^m \\ X_{30}^m & 0 & 0 & 0 & 0 \\ 0 & X_{41}^m & 0 & 0 & 0 \end{bmatrix} \quad (5.18)$$

for  $m = 2, 3, 4, 5$ . Gauge anomaly cancellation imposes

$$-2N_{i+2} + 2N_{i-2} - N_{i+1} + N_{i-1} = 0(?) \quad (5.19)$$

for  $i = 0, 1, 2, 3, 4$  which implies that

$$N_0 = N_1 = N_2 = N_3 = N_4. \quad (5.20)$$

Figure 3: Quivers of the  $\mathbb{C}^3/\mathbb{Z}_5$  daughter theories.

### 5.1.3 | General $\mathbb{Z}_n$

Let us now consider the general action

$$R(g) = \begin{bmatrix} \zeta_n^a & 0 & 0 \\ 0 & \zeta_n^b & 0 \\ 0 & 0 & \zeta_n^c \end{bmatrix} \quad (5.21)$$

of  $\mathbb{Z}_n$  on  $\mathbb{C}^3$ , where  $(a, b, c)$  one of the representation that we studied before. In particular, recall that  $a + b + c = n$ . Following the same reasoning than before, we get that the gauge field of the form

$$A_\mu = \begin{bmatrix} A_{\mu;00} & & \\ & \ddots & \\ & & A_{\mu;n-1,n-1} \end{bmatrix}. \quad (5.22)$$

Invariant scalar field configurations transform as

$$X_{i\alpha_i, j\beta_j}^m = \begin{cases} \zeta_n^{i-j+a} X_{i\alpha_i, j\beta_j}^m, & \text{if } m = 0, 1 \\ \zeta_n^{i-j+b} X_{i\alpha_i, j\beta_j}^m, & \text{if } m = 2, 3 \\ \zeta_n^{i-j+c} X_{i\alpha_i, j\beta_j}^m, & \text{if } m = 4, 5 \end{cases} \quad (5.23)$$

so

$$X_{j-a, j}^{0,1} \in (\mathbf{N}_j, \bar{\mathbf{N}}_{j-a}), \quad (5.24)$$

$$X_{j-b, j}^{2,3} \in (\mathbf{N}_j, \bar{\mathbf{N}}_{j-b}), \quad (5.25)$$

$$X_{j-c, j}^{4,5} \in (\mathbf{N}_j, \bar{\mathbf{N}}_{j-c}) \quad (5.26)$$

are the only possible non-vanishing components. This allows us to quickly draw all the possible quivers for a given  $n$ .

## 5.2 | $S = \mathbb{C}^3/\Delta(3n^2), \Delta(6n^2)$

### 5.3 | $S = \mathbb{C}^3/\Sigma_{36 \times 3}, \Sigma_{60 \times 3}, \Sigma_{168 \times 3}, \Sigma_{216 \times 3}, \Sigma_{360 \times 3}$

### 5.4 | $S = \mathbb{C}^3/(\mathbb{Z}_m \times \mathbb{Z}_n)$

#### 5.4.1 | Representations of $\mathbb{Z}_m \times \mathbb{Z}_n$

We consider the group  $\mathbb{Z}_m \times \mathbb{Z}_n$ . Let us denote by  $\{\mu_i\}_{i=0, \dots, m-1}$  and  $\{\sigma_j\}_{j=0, \dots, n-1}$  two complete set of irreducible representation of  $\mathbb{Z}_m$  and  $\mathbb{Z}_n$  respectively, with

$$\mu(g_1) = \zeta_m^i \quad (5.27)$$

$$\sigma_j(g_2) = \zeta_n^j \quad (5.28)$$

where  $g_1$  and  $g_2$  are the generating elements of  $\mathbb{Z}_m$  and  $\mathbb{Z}_n$  respectively.  $\mathbb{Z}_m \times \mathbb{Z}_n$  is of order  $mn$  and possesses the same number of equivalency classes (abelian). It has therefore  $mn$  irreducible representations. Since the group is abelian, they are all of dimension 1. Let us denote by  $\{T_k\}_{k=0,\dots,m+n-1}$  a complete set of irreducible representations. Since we have a product group, for any  $k$  there exists indices  $i(k)$  and  $j(k)$  such that  $T_k = \mu_{i(k)} \otimes \sigma_{j(k)}$ . We choose the indices  $i(k)$  and  $j(k)$  such that

$$\begin{aligned} T_0 &= \mu_0 \otimes \mu_0, \\ T_1 &= \mu_0 \otimes \mu_1, \\ T_2 &= \mu_0 \otimes \mu_2, \\ &\vdots \\ T_{n-1} &= \mu_0 \otimes \mu_{n-1}, \\ T_n &= \mu_1 \otimes \mu_0, \\ &\vdots \\ T_{2n-1} &= \mu_1 \otimes \mu_{n-1}, \\ &\vdots \\ T_{mn-1} &= \mu_{m-1} \otimes \mu_{n-1}. \end{aligned}$$

That is, we take

$$\begin{cases} i(k) = \lfloor k/n \rfloor \\ j(k) = k \bmod n \end{cases} \Leftrightarrow k = i(k)n + j(k). \quad (5.29)$$

Note that this is simply a dictionary between a line notation and a matrix notation and that it is indeed a bijection  $k \Leftrightarrow i, j$ . In this way, we can proceed to similar manipulations than before, where we used line notations.

Any representation  $R$  of  $\mathbb{Z}_m \times \mathbb{Z}_n$  can be decomposed as

$$R = \bigoplus_{i,j} N_k T_k = \bigoplus_{i,j} N_{ij} (\mu_i \otimes \sigma_j) \quad (5.30)$$

with  $N_k = N_{i(k)j(k)}$ . We must have  $\sum_k N_k = 3$ . In other words, any representation of  $\mathbb{Z}_m \times \mathbb{Z}_n$  on  $\mathbb{C}^3$  is equivalent to

$$R(g_1, g_2) = [(\mu_a \otimes \sigma_{a'}) \oplus (\mu_{b'} \otimes \sigma_{b'}) \oplus (\mu_c \otimes \sigma_{c'})](g_1, g_2) = \begin{bmatrix} \xi_m^a \xi_n^{a'} & 0 & 0 \\ 0 & \xi_m^b \xi_n^{b'} & 0 \\ 0 & 0 & \xi_m^c \xi_n^{c'} \end{bmatrix}. \quad (5.31)$$

The determinant condition is

$$\xi_m^{a+b+c} = \xi_n^{-a'-b'-c'} \quad (5.32)$$

$$\Leftrightarrow (a+b+c) \bmod m = (a'+b'+c') \bmod n \quad (5.33)$$

$$R(g_1, g_2) = \begin{bmatrix} \xi_m & 0 & 0 \\ 0 & \xi_n & 0 \\ 0 & 0 & \xi_m^{-1} \xi_n^{-1} \end{bmatrix}. \quad (5.34)$$

### 5.4.2 | Projection

Let us start by the gauge field. We consider a unitary representation  $(\rho, \mathbb{C}^N)$  of  $\mathbb{Z}_m \times \mathbb{Z}_n$  on  $\mathbb{C}^n$  and decompose it as

$$\rho = \bigoplus_{i,j} N_k T_k \quad (5.35)$$

such that

$$\rho(g) = \begin{bmatrix} T_0(g) & & \cdots & & 0 \\ & \ddots & & & \\ & & T_0(g) & & \\ \vdots & & & \ddots & \vdots \\ & & & & T_{mn-1}(g) \\ 0 & & \cdots & & \ddots & T_{mn-1}(g) \end{bmatrix} \left. \begin{array}{l} \vdots \\ \vdots \\ \vdots \end{array} \right\} N_0 \quad \left. \begin{array}{l} \vdots \\ \vdots \\ \vdots \end{array} \right\} N_{mn-1} \quad (5.36)$$

We can now use our usual bi-index notation  $A_{\mu; k\alpha_k, l\beta_l}$  with  $k, l = 0, \dots, mn-1$  and  $\alpha_k, \beta_k = 0, \dots, N_k$  but instead it is more convenient to come back to our matrix notation by writing the block  $A_{\mu; k, l}$  as  $A_{\mu; i(k)j(k), i(l)j(l)}$  that we simply denote by  $A_{\mu; ij, i'j'}$  with  $i, i' \in \{0, \dots, n-1\}$  and  $j, j' \in \{0, \dots, n-1\}$ . So for  $m=2, n=3$  for example, the link between the two notations is

$$\begin{bmatrix} A_{\mu;00} & A_{\mu;01} & A_{\mu;02} & A_{\mu;03} & A_{\mu;04} & A_{\mu;05} \\ A_{\mu;10} & A_{\mu;11} & A_{\mu;12} & A_{\mu;13} & A_{\mu;14} & A_{\mu;15} \\ A_{\mu;20} & A_{\mu;21} & A_{\mu;22} & A_{\mu;23} & A_{\mu;24} & A_{\mu;25} \\ A_{\mu;30} & A_{\mu;31} & A_{\mu;32} & A_{\mu;33} & A_{\mu;34} & A_{\mu;35} \\ A_{\mu;40} & A_{\mu;41} & A_{\mu;42} & A_{\mu;43} & A_{\mu;44} & A_{\mu;45} \\ A_{\mu;50} & A_{\mu;51} & A_{\mu;52} & A_{\mu;53} & A_{\mu;54} & A_{\mu;55} \end{bmatrix} = \begin{bmatrix} A_{\mu;00,00} & A_{\mu;00,01} & A_{\mu;00,02} \\ A_{\mu;01,00} & A_{\mu;01,01} & A_{\mu;01,02} \\ A_{\mu;02,00} & A_{\mu;02,01} & A_{\mu;02,02} \\ A_{\mu;10,00} & A_{\mu;10,01} & A_{\mu;10,02} \\ A_{\mu;11,00} & A_{\mu;11,01} & A_{\mu;11,02} \\ A_{\mu;12,00} & A_{\mu;12,01} & A_{\mu;12,02} \end{bmatrix} \begin{bmatrix} A_{\mu;00,10} & A_{\mu;00,11} & A_{\mu;00,12} \\ A_{\mu;01,10} & A_{\mu;01,11} & A_{\mu;01,12} \\ A_{\mu;02,10} & A_{\mu;02,11} & A_{\mu;02,12} \\ A_{\mu;10,10} & A_{\mu;10,11} & A_{\mu;10,12} \\ A_{\mu;11,10} & A_{\mu;11,11} & A_{\mu;11,12} \\ A_{\mu;12,10} & A_{\mu;12,11} & A_{\mu;12,12} \end{bmatrix} \quad (5.37)$$

So instead of considering  $A_\mu$  to be a single  $mn \times mn$  matrix of element  $A_{\mu;kl}$ , where  $A_{\mu;kl}$  are  $N_k \times N_l$  matrices, we consider it as an  $m \times m$  where each element  $A_{\mu;ii'}$  (line  $i$  column  $i'$ ) is itself an  $n \times n$  matrices with elements  $A_{\mu;ij, i'j'}$  (line  $j$  column  $j'$ ), as shown above.

Using these notations, the gauge field transforms as

$$A_{\mu;ij, i'j'} \mapsto (\mu_i(g) \otimes \sigma_j(g)) A_{\mu;ij, i'j'} (\mu_{i'}(g) \otimes \sigma_{j'}(g))^{-1} = \zeta_m^{i-i'} \zeta_n^{j'-j} A_{\mu;ij, i'j'} \quad (5.38)$$

so invariant configurations can possess non-vanishing components  $A_{\mu;ij, i'j'}$  only if

$$\zeta_m^{i-i'} = \zeta_n^{j'-j} \quad (5.39)$$

$$\Leftrightarrow (i - i') \bmod m = (j' - j) \bmod n \quad (5.40)$$

$$\Leftrightarrow j' = j + |i' - i|. \quad (5.41)$$

This means that the submatrices  $A_{\mu;ii'}$  has an off-diagonal block form with offset  $|i' - i|$ .

For the scalar fields, we have

$$X_{ij, i'j'}^m \mapsto R(g)^m (\mu_i(g) \otimes \sigma_j(g)) X_{ij, i'j'}^m (\mu_{i'}(g) \otimes \sigma_{j'}(g))^{-1} \quad (5.42)$$

$$= \begin{cases} \zeta_m^{i-i'+1} \zeta_n^{j-j'} X_{ij, i'j'}^m, & m = 0, 1 \\ \zeta_m^{i-i'} \zeta_n^{j-j'+1} X_{ij, i'j'}^m, & m = 2, 3 \\ \zeta_m^{i-i'-1} \zeta_n^{j-j'-1} X_{ij, i'j'}^m, & m = 4, 5. \end{cases} \quad (5.43)$$

So a configuration is invariant if and inly if the only non-vanishing component satisfy

$$m = 0, 1 : (i - i' + 1) \bmod m = (j' - j) \bmod n \quad (5.44)$$

$$m = 2, 3 : (i - i') \bmod m = (j' - j - 1) \bmod n \quad (5.45)$$

$$m = 4, 5 : (i - i' - 1) \bmod m = (j' - j + 1) \bmod n. \quad (5.46)$$

## 6 | Correspondence between gauge theory and singularity

Above, we presented all the possible orbifold constructions of supersymmetric quiver gauge theories in four dimensions. We started from quotienting the transverse space and we found the corresponding (supersymmetric) gauge theory. In other words, we started from the singularity a found the gauge theory. We can therefore consider that the orbifold singularities are understood. However, not all singularities are orbifold one, such as the conifold for example. We an then ask ourselves how to obtain the gauge

theory for more general singularities than the orbifold ones. Is a general approach possible? On the other hand, we can also study the converse question; is it possible to obtain the singularity from the gauge theory? And if it is, how so? In general we will see that there is a bijection between the four-dimensional supersymmetric worldvolume gauge theory and the Calabi-Yau singularity. We now detail this bijection.

## 6.1 | From gauge theory to singularity: forward algorithm

We start with the simplest question: how to recover the singularity from the gauge theory? We already mentioned that the vacuum parameter space of the scalar fields of the gauge theory is the so-called moduli space, denoted  $\mathcal{M}$ . Because our D3-brane is a point in the Calabi-Yau threefold, the vacuum moduli space  $\mathcal{M}$  is the affine coordinates of the Calabi-Yau singularity  $S$ .

$$6.1.1 \quad S = \mathbb{C} \times \mathbb{C}^2 / \mathbb{Z}_n$$

### 6.1.2 | The conifold

## 6.2 | From singularity to gauge theory: inverse algorithm

Mathematically, a quiver gauge theory is a representation of a finite quiver with relations. The labels are  $\{N_i \in \mathbb{Z}_+\}$ , they correspond to the dimension of the vector space  $\{V_i\}$ . The gauge group is  $\prod_i \text{SU}(N_i)$ . The gauge fields are self-adjoint arrows  $\text{Hom}(V_i, V_i)$  while the matter fields are bi-fundamentals fermions/bosons and are arrows  $X_{ij} \in \text{Hom}(V_j, V_i)$ . For a quiver with adjacency matrix  $a_{ij}$ , the gauge anomaly cancellation condition can be generally expressed as

$$(a_{ij} - a_{ji})N_i = 0. \quad (6.1)$$

At last, there are some relations that arises the superpotential  $W(\{X_{ij}\})$ . The vacuum is the minima of the superpotential. In other words,

$$\frac{\partial W}{\partial X_{ij}} = 0. \quad (6.2)$$

## 7 | Generalizations: beyond the orbifolds construction

Let us discuss the different ways our previous discussions can be generalized.

### 7.1 | $(p+1)$ -dimensional quiver gauge theories

First, we can generalize our initial brane-world paradigm and consider  $Dp$ -branes in type II string theory (type IIA if  $p$  is even and type IIB if  $p$  is odd) instead of just D3-branes. The spacetime is then of the form

$$M = \mathbb{R}^{1,p} \times \mathbb{R}^{9-p} / \Gamma \quad (7.1)$$

where  $\Gamma$  is a discrete subgroup of  $\text{Spin}(9-p)$ . If  $\Gamma$  is a subgroup of a special holonomy group, we recover a somewhat generalized version of the paradigm that we discussed above. In this case the transverse space is a Calabi-Yau orbifold and some degree of supersymmetry is preserved. Note that the fermionic and bosonic quivers that coincides. If  $\Gamma$  is not a subgroup of a special holonomy group, then the  $(p+1)$ -dimensional quiver gauge theory that we obtain in the low-energy limit is not supersymmetric. We then have different quivers for the fermions and the bosons, although with the same vertices, by definition.

One can also consider replacing the orbifold by a conifold by deforming singular algebraic description of the orbifold with a field into a family smooth surfaces. The total space is then the conifold.

Recall that the degree of supersymmetry that is preserved by compactifying in a Calabi-Yau  $n$ -fold (having  $\text{SU}(n)$ ) is  $2^{1-n}$ . Starting from the  $\mathcal{N} = 2$  10-dimensional type IIB string theory with 32 supercharges, this means that

- if we compactify on a 1-fold, we get 32 supercharges in 8 dimensions so  $\mathcal{N} = 2$ ,
- if we compactify on a 2-fold, we get 16 supercharges in 6 dimensions so  $\mathcal{N} = 2$ ,

- if we compactify on a 3-fold, we get 8 supercharges in 4 dimensions so  $\mathcal{N} = 2$ ,
- is we compactify on a 4, we get 2 supercharges in 2 dimensions so  $\mathcal{N} = 2$ .

**7.2 | Projective representations and discrete torsion****7.3 | Quiver gauge theories deformations and conifold**

## A | Gauge anomaly

The *anomaly degree*  $A(\rho)$  of a representation  $\rho$  is defined as

$$\frac{1}{2} \text{tr}(T_a \{T_b, T_c\}) = A(\rho) d_{abc} \quad (\text{A.1})$$

where  $d_{abc}$  is an invariant symmetric tensor of the Lie algebra of  $G$ , independent of the representation. One can show that  $A(\rho^*) = -A(\rho)$  so self dual representation have  $A(\rho) = 0$  in particular. The only simple Lie groups that allow for a complex non-self-conjugate representation are  $\text{SU}(n)$  with  $n \geq 3$ . We can normalize  $d_{abc}$  such that  $A(\rho) = 1$  for the fundamental  $n$ -dimensional representations.

## B | Reminder on $\mathcal{N} = 4$ super Yang-Mills theory in $D = 4$

### B.1 | Superconformal group $\text{SU}(2, 2|4)$ and its representations

Conformal transformations and supersymmetries do not commute so the presence of conformal symmetry in addition to  $\mathcal{N} = 4$  supersymmetry leads to an even larger group of symmetry known as the *superconformal group*. In the  $D = 4, \mathcal{N} = 4$  case, the superconformal group is the super group<sup>1</sup>  $\text{SU}(2, 2|4)$ . The different component of the latter are

- **Conformal symmetries:** they form the 15-dimensional subgroup  $\text{SO}(2, 4)$  and are generated by  $P_\mu, M_{\mu\nu}, K_\mu$  and  $D$ .
- **R-symmetry:** they form the 15-dimensional subgroup  $\text{SO}(6)_R$  and are generated by  $T^A$  ( $A = 1, \dots, 15$ ).
- **Poincaré supersymmetries:** they form the 16-dimensional sub group (?) and are generated by  $Q_\alpha^I$  and  $\bar{Q}_{\dot{\alpha}}^I$ .
- **Conformal supersymmetries:** they form the 16-dimensional subgroup (?) and are generated by  $S_{\alpha I}$  and  $\bar{S}^{\dot{\alpha} I}$ .

Conformal invariance of this theory can be seen as a consequence of the non-renormalization theorems.

### B.2 | Matter content

For  $D = 4, \mathcal{N} = 4$ , there is one kind of supermultiplet, the vector multiplet. The decomposition of the  $\mathcal{N} = 4$  vector superfield in terms of  $\mathcal{N} = 1$  representations is as follows:

$$[\mathcal{N} = 4 \text{ vector multiplet}] : V = (\lambda_\alpha, A_\mu, D) \oplus \Phi^A = (\phi^A, \psi_\alpha^A, F^A). \quad (\text{B.1})$$

with  $A = 1, 2, 3$ , i.e. in terms of one vector supermultiplet and three chiral scalar supermultiplets. The propagating degrees of freedom are therefore a vector field, three complex scalars and four gauginos.

The Lagrangian is very much constrained by  $\mathcal{N} = 4$  supersymmetry. First, the chiral superfields  $\Phi^A$  should transform in the adjoint representation of the gauge group  $G$ , since internal symmetries commute with supersymmetry. This means that all fields transform in the adjoint of  $G$ .

Moreover, there is a large R-symmetry group,  $\text{SU}(4)_R$ . The four Weyl fermions transform in the fundamental of  $\text{SU}(4)_R$ , while the six real scalars in the two times anti-symmetric representation, which is nothing but the fundamental representation of  $\text{SO}(6)$ . The auxiliary fields are singlets under the R-symmetry group. Using  $\mathcal{N} = 1$  superfield formalism the Lagrangian reads

$$\begin{aligned} \mathcal{L}_{\text{SYM}}^{\mathcal{N}=4} = & \frac{1}{32\pi} \text{Im} \left( \tau \int d^4x \text{tr}(W^\alpha W_\alpha) \right) + \int d^2\theta d^2\bar{\theta} \text{tr} \sum_{A=1}^3 \bar{\Phi}^A e^{2gV} \Phi^A \\ & - \int d^2\theta \sqrt{2g} \text{tr} \Phi_1 [\Phi_2, \Phi_3] + \text{h.c.} \end{aligned} \quad (\text{B.2})$$

<sup>1</sup>Supermanifold which is also a group with smooth product and inverse maps.

where the commutator in the third term appears for the same reason as for the  $\mathcal{N} = 2$  Lagrangian. Notice that the choice of a single  $\mathcal{N} = 1$  supersymmetry generator breaks the full  $SU(4)_R$  R-symmetry to  $SU(3) \times U(1)_R$ . The three chiral superfields transform in the  $\mathbf{3}$  of  $SU(3)$  and have R-charge  $R = 2/3$  under the  $U(1)_R$ . It is an easy but tedious exercise to perform the integration in superspace and get an explicit expression in terms of fields. Finally, one can solve for the auxiliary fields and get an expression where only propagating degrees of freedom are present, and where  $SU(4)_R$  invariance is manifest (the fact that the scalar fields transform under the fundamental representation of  $SO(6)$ , which is real, makes the R-symmetry group of the  $\mathcal{N} = 4$  theory being at most  $SU(4)$  and not  $U(4)$ , in fact).

### B.3 | Dynamical phases

The scalar potential in (B.2) can be written in a rather compact form in terms of the six real scalars  $X^i$  making up the three complex scalars  $\phi^A$  and reads

$$V = \frac{1}{2}g^2 \text{tr} \sum_{i,j=1}^6 [X_i, X_j]^2. \quad (\text{B.3})$$

The positive definite behavior of the Cartan-Killing form on the compact gauge algebra  $\mathfrak{g}$  implies that each term in the sum is positive or zero. In other words,  $V = 0$  is equivalent to

$$[X^i, X^j] = 0, \quad i, j = 1, \dots, 6. \quad (\text{B.4})$$

This equations admit two classes of solutions:

- $\langle X^i \rangle = 0$  for all  $i = 1, \dots, 6$ . This is the *superconformal phase*. Neither the gauge symmetry nor the superconformal symmetry is broken. The physical states and operators are gauge invariant and transform under unitary representations of  $SU(2, 2|4)$ .
- $\langle X^i \rangle \neq 0$  for at least one  $i$ . This is the *spontaneously broken Coulomb phase*. The gauge algebra  $\mathfrak{g}$  is going to be broken to  $U(1)^r$ , where  $r \equiv \text{rank } \mathfrak{g}$ . The low energy behavior is then the one of  $r$  copies of  $\mathcal{N} = 4$   $U(1)$  gauge theories. Superconformal symmetry is spontaneously broken since the non-zero VEV  $\langle X^i \rangle$  sets a scale.

**$\mathcal{N} = 4$  Yang-Mills theory.** There is only one  $D = 4, \mathcal{N} = 4$  Yang-Mills theory and it contains 3  $\mathcal{N} = 1$  chiral scalar supermultiplet and 1  $\mathcal{N} = 1$  vector supermultiplet (up to  $g$  and  $\tau$ ). This theory is conformal and can be recovered from dimensional reduction of  $D = 10, \mathcal{N} = 1$  Yang-Mills on  $\mathbb{T}^6$ .

## C | Properties of D-branes in type II theories

The minimal irreducible representation in 10 dimensions is a Majorana-Weyl representation of dimension 8. In type II theories, we have  $\mathcal{N} = (1, 1)$  for IIA and  $\mathcal{N} = (2, 0)$  for IIB. Because of the string origin of the generators, the two supersymmetry generators  $\epsilon_L$  and  $\epsilon_R$  (Majorana-Weyl spinors) satisfy

$$\epsilon_L = \Gamma_{11}\epsilon_L, \quad \epsilon_R = \eta\Gamma_{11}\epsilon_R \quad (\text{C.1})$$

with  $\eta = +1$  for IIB and  $\eta = -1$  for IIA theory. For a  $Dp$ -brane, the supersymmetry projections is the following:

$$\epsilon_L = \Gamma_0 \dots \Gamma_p \epsilon_R. \quad (\text{C.2})$$

In other words, the supersymmetries with generators of the form

$$Q_\alpha + \Gamma_0 \dots \Gamma_p \bar{Q}_{\dot{\alpha}} \quad (\text{C.3})$$

are preserved by the  $Dp$ -brane while the one with generators of the form

$$Q_\alpha - \Gamma_0 \dots \Gamma_p \bar{Q}_{\dot{\alpha}} \quad (\text{C.4})$$



are broken. They violate the boundary conditions. Since there is the same number of generators of the form (C.3) than of the form (C.4), exactly half of the supersymmetry is broken. The idea that one spacetime direction would break one supercharge could be reasonable if supersymmetries were transforming as vectors which not the case; supercharges transform as spinors. It would also be incompatible with the T-duality because two branes of different dimensions must have the same number of unbroken supercharges if there is a T-duality relating them: the number of unbroken supercharges is the same for all dual descriptions (a necessary condition for the equivalence). And indeed, in the correct theory, that's the case. Every type II D-brane breaks half of the supercharges.

To obtain the previous relations, we start by the ones from M-theory and compactify the 11th direction, getting type IIA theory.  $\Gamma_{11}$  then plays the role of the chiral projector in 10 dimensions; the supersymmetry parameters are related by  $\epsilon_L = \frac{1}{2}(1 + \Gamma_{11})\epsilon$  and  $\epsilon_R = \frac{1}{2}(1 - \Gamma_{11})\epsilon$ . The relations for type IIB theory are then obtained by T-duality. Under a T-duality over the  $\hat{i}$  direction, the supersymmetry parameters transform as

$$\begin{aligned}\epsilon_L &\mapsto \epsilon_L, \\ \epsilon_R &\mapsto \Gamma_i \epsilon_R.\end{aligned}$$

The tension of a Dp-brane is given by

$$T_p = \frac{1}{(2\pi)^p g_s l_s^{p+1}}. \quad (\text{C.5})$$

This completely fixes the Newton constant: the tension of electric-magnetic duals must satisfy:

$$T_p T_{D-p-4} = \frac{2\pi}{16\pi G_D}. \quad (\text{C.6})$$

In ten dimensions, this gives  $G_{10} = 8\pi^6 g_s^2 l_s^8$ .

The dualities are defined as follows:

$$\begin{aligned}\text{S-duality} : g_s &\mapsto \frac{1}{g_s}, & l_s^2 &\mapsto g_s l_s^2, \\ \text{T-duality} : R &\mapsto \frac{l_s^2}{R}, & g &\mapsto g_s \frac{l_s}{R}.\end{aligned}$$

## D | Some finite subgroups

### D.1 | Finite subgroups of $\text{SU}(2)$ and $\text{SL}(2, \mathbb{C})$

#### D.1.1 | Finite subgroups

The first thing to recall is that every finite subgroup of  $\text{SL}(2, \mathbb{C})$  is isomorphic to a subgroup of  $\text{SU}(2, \mathbb{C})$  and vice-versa, so we equivalently talk about the subgroups of  $\text{SU}(2)$ . The finite subgroups of  $\text{SU}(2)$ , called the *binary polyhedral groups*, are the double covers of the finite subgroups of  $\text{SO}(3)$  that are called *polyhedral groups*. They simply constitute the symmetries of the Platonic solids. The groups fall into two infinite series, associated to the regular polygons, as well as three exceptional, associated with the 5 regular polyhedra: the tetrahedron (self-dual), the cube (and its dual octahedron), the icosahedron (and its dual dodecahedron).

More precisely, the finite subgroups of  $\text{SL}(2, \mathbb{C})$  are

- $\mathbb{Z}_n$  : cyclic group of order  $n$  ( $n \geq 2$ ) generated by

$$\begin{bmatrix} \zeta_n & 0 \\ 0 & \zeta_n^{-1} \end{bmatrix} \quad (\text{D.1})$$

- $2\mathcal{D}_n$  : *binary dihedral groups* (also known as the *dicyclic group*) of order  $4n$  ( $n \geq 1$ ) generated by

$$A \equiv \begin{bmatrix} \zeta_{2n} & 0 \\ 0 & \zeta_{2n}^{-1} \end{bmatrix} \quad \text{and} \quad B \equiv \begin{bmatrix} 0 & i \\ i & 0 \end{bmatrix} \quad (\text{D.2})$$

One can show that  $A^n = B^2$  and that  $AB = BA^{-1}$  so that  $2\mathcal{D}_n = \{B^b A^a | 0 \leq b \leq 3, 0 \leq a \leq n-1\}$ . This rewriting of the most general element of the group will be useful.

- $2\mathcal{T}$  : *binary tetrahedral group* of order 24 generated by  $D_2$  and

$$C \equiv \frac{1}{\sqrt{2}} \begin{bmatrix} \zeta_8 & \zeta_8^3 \\ \zeta_8 & \zeta_8^7 \end{bmatrix} \quad (\text{D.3})$$

- $2\mathcal{O}$  : *binary octahedral group* of order 48 generated by  $\mathcal{T}$  and

$$D \equiv \begin{bmatrix} \zeta_8^3 & 0 \\ 0 & \zeta_8^5 \end{bmatrix} \quad (\text{D.4})$$

- $2\mathcal{I}$  : *binary icosahedral group* of order 120 generated by

$$E \equiv -\frac{1}{\sqrt{5}} \begin{bmatrix} \zeta_5^4 - \zeta_5 & \zeta_5^2 - \zeta_5^3 \\ \zeta_5^2 - \zeta_5^3 & \zeta_5 - \zeta_5^4 \end{bmatrix} \quad \text{and} \quad F \equiv -\frac{1}{\sqrt{5}} \begin{bmatrix} \zeta_5^2 - \zeta_5^4 & \zeta_5^4 - 1 \\ 1 - \zeta_5 & \zeta_5^3 - \zeta_5 \end{bmatrix} \quad (\text{D.5})$$

with  $\zeta_m \equiv e^{i\frac{2\pi}{m}}$  such that  $(\zeta_m)^m = 1$ . Note that the orders are all divisible by 2. This is because the center of  $SU(2)$  is  $\mathbb{Z}_2$ .

### D.1.2 | Irreducible representations

- $\mathbb{Z}_n$  has  $n$  irreducible representations. They are all 1-dimensional (since  $\mathbb{Z}_n$  is abelian) and are given by

$$\rho_k(g) = \zeta_n^k \quad (\text{D.6})$$

with  $k = 0, \dots, n-1$ .

- $2\mathcal{D}_n$  has  $n+3$  irreducible representations: 4 of dimension 1 and  $n-1$  of dimension 2. The 1-dimensional ones are given by

$n$	$\rho(A)$	$\rho(B)$	$\rho(B^b A^a)$
even	1	1	1
		-1	$(-1)^b$
	-1	1	$(-1)^a$
		-1	$(-1)^{a+b}$
odd	1	1	1
		-1	$(-1)^b$
	-1	$i$	$(-1)^a i^b$
		$-i$	$(-1)^a (-i)^b$

and the 2-dimensional ones are given binary by

$$\rho_r(A) = \begin{bmatrix} e^{i\frac{\pi}{n}r} & 0 \\ 0 & e^{-i\frac{\pi}{n}r} \end{bmatrix}$$

$$\rho_r(B) = \begin{bmatrix} 0 & (-1)^r \\ 1 & 0 \end{bmatrix}$$

with  $r = 1, \dots, n-1$ .

## D.1.3 | Character tables

conj. class repr.	$e$	$M$	$M^2$	...	$M^{n-1}$
conj. class order	1	1	1	...	1
$V_0$	1	1	1	...	1
$V_1$	1	$\zeta_n$	$\zeta_n^2$	...	$\zeta_n^{n-1}$
$V_2$	1	$\zeta_n^2$	$\zeta_n^4$	...	$\zeta_n^{2(n-1)}$
$V_3$	1	$\zeta_n^3$	$\zeta_n^6$	...	$\zeta_n^{3(n-1)}$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$
$V_{n-1}$	1	$\zeta_n^{(n-1)}$	$\zeta_n^{2(n-1)}$	...	$\zeta_n^{(n-1)^2}$
$W$	2	$2 \cos\left(\frac{2\pi}{n}\right)$	$2 \cos\left(\frac{4\pi}{n}\right)$	...	$2 \cos\left(\frac{2\pi(n-1)}{n}\right)$

Table 1: Character table of  $\mathbb{Z}_n$ .

conj. class repr.	$e$	$B^2$	$B$	$BA$	$A$	$A^2$	...	$A^{n-1}$
conj. class order	1	1	$n$	$n$	2	2	...	2
$V_0$	1	1	1	1	1	1	...	1
$V_1$	1	1	-1	-1	1	1	...	1
$V_2$	1	1 ou -1	1 ou $i$	-1 ou $-i$	-1	1	...	$(-1)^{n-1}$
$V_3$	1	1 ou -1	-1 ou $-i$	1 ou $i$	-1	1	...	$(-1)^{n-1}$
$V_4$	2	-2	0	0	$2 \cos \frac{\pi}{n}$	$2 \cos \frac{2\pi}{n}$	...	$2 \cos \frac{(n-1)\pi}{n}$
$V_5$	2	2	0	0	$2 \cos \frac{2\pi}{n}$	$2 \cos \frac{4\pi}{n}$	...	$2 \cos \frac{2(n-1)\pi}{n}$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$
$V_{n+2}$	2	$2(-1)^{n-1}$	0	0	$2 \cos \frac{(n-1)\pi}{n}$	$2 \cos \frac{2(n-1)\pi}{n}$	...	$2 \cos \frac{(n-1)^2\pi}{n}$
$W$	2	-2	0	0	$2 \cos\left(\frac{\pi}{n}\right)$	$2 \cos\left(\frac{2\pi}{n}\right)$	...	$2 \cos\left(\frac{\pi}{n}(n-1)\right)$

Table 2: Character table of  $2D_n$ .

conj. class repr.	$e$	$B^2$	$B$	$C$	$C^2$	$C^4$	$C^5$
conj. class order	1	1	6	4	4	4	4
$V_0$	1	1	1	1	1	1	1
$V_1$	2	-2	0	1	-1	-1	1
$V_2$	3	3	-1	0	0	0	0
$V_3$	2	-2	0	$e^{i\frac{2\pi}{3}}$	$-e^{i\frac{2\pi}{3}}$	$-e^{i\frac{4\pi}{3}}$	$e^{i\frac{4\pi}{3}}$
$V_3^\vee$	2	-2	0	$e^{i\frac{4\pi}{3}}$	$-e^{i\frac{4\pi}{3}}$	$-e^{i\frac{2\pi}{3}}$	$e^{i\frac{2\pi}{3}}$
$V_4$	1	1	1	$e^{i\frac{2\pi}{3}}$	$e^{i\frac{2\pi}{3}}$	$e^{i\frac{4\pi}{3}}$	$e^{i\frac{4\pi}{3}}$
$V_4^\vee$	1	1	1	$e^{i\frac{4\pi}{3}}$	$e^{i\frac{4\pi}{3}}$	$e^{i\frac{2\pi}{3}}$	$e^{i\frac{2\pi}{3}}$
$W$	2	-2	0	1	-1	-1	1

Table 3: Character table of  $2T$ .

conj. class repr.	$e$	$B^2$	$B$	$C$	$C^2$	$D$	$BD$	$D^3$
conj. class order	1	1	6	8	8	6	12	6
$V_0$	1	1	1	1	1	1	1	1
$V_1$	2	-2	0	1	-1	$-\sqrt{2}$	0	$\sqrt{2}$
$V_2$	3	3	-1	0	0	1	-1	1
$V_3$	4	-4	0	-1	1	0	0	0
$V_4$	3	3	-1	0	0	-1	1	-1
$V_5$	2	-2	0	1	-1	$\sqrt{2}$	0	$-\sqrt{2}$
$V_6$	1	1	1	1	1	-1	-1	-1
$V_7$	2	2	2	-1	-1	0	0	0
$W$	2	-2	0	1	-1	$-\sqrt{2}$	0	$\sqrt{2}$

Table 4: Character table of  $2\mathcal{O}$ .

conj. class repr.	$e$	$E^2$	$E$	$F$	$F^2$	$EF$	$(EF)^2$	$(EF)^3$	$(EF)^4$
conj. class order	1	1	30	20	20	12	12	12	12
$V_0$	1	1	1	1	1	1	1	1	1
$V_1$	2	-2	0	1	-1	$\varphi^+$	$-\varphi^-$	$\varphi^-$	$-\varphi^+$
$V_2$	3	3	-1	0	0	$\varphi^+$	$\varphi^-$	$\varphi^-$	$\varphi^+$
$V_3$	4	-4	0	-1	1	1	-1	1	-1
$V_4$	5	5	1	-1	-1	0	0	0	0
$V_5$	6	-6	0	0	0	-1	1	-1	1
$V_6$	4	4	0	1	1	-1	-1	-1	-1
$V_7$	2	-2	0	1	-1	$\varphi^-$	$-\varphi^+$	$\varphi^+$	$-\varphi^-$
$V_8$	3	3	-1	0	0	$\varphi^-$	$\varphi^+$	$\varphi^+$	$\varphi^-$
$W$	2	-2	0	1	-1	$\varphi^+$	$-\varphi^-$	$\varphi^-$	$-\varphi^+$

Table 5: Character table of  $2\mathcal{I}$ , with  $\varphi^\pm \equiv (1 \pm \sqrt{5})/2$ .

## D.2 | Finite subgroups of $SU(3)$

The finite subgroups of  $SU(3)$  are

- the finite subgroups of  $SU(2)$

so there are 2 infinite series and 5 exceptional subgroups. Note that they are all divisible by 3 because the center of  $SU(3)$  is  $\mathbb{Z}_3$ .

## E | The McKay correspondence

### E.1 | Classical correspondence

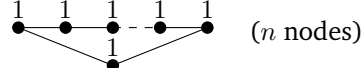
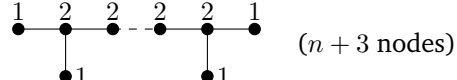
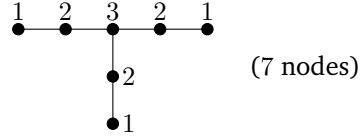
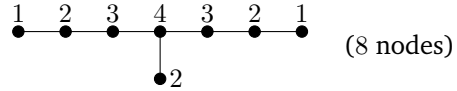
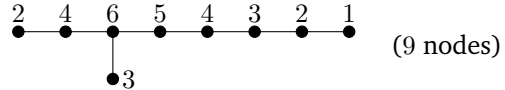
$\Gamma \subset \mathrm{SU}(2)$	Platonic solids	McKay graph	Variety
$\mathbb{Z}_n$		 ( $n$ nodes)	$z^n + xy = 0$
$2\mathcal{D}_n$	$n$ -polygon	 ( $n + 3$ nodes)	$x^2 + y^2z + z^{n-1} = 0$
$2\mathcal{T}$	tetrahedron	 (7 nodes)	$x^2 + y^3 + z^4 = 0$
$2\mathcal{O}$	cube octahedron	 (8 nodes)	$x^2 + y^3 + yz^3 = 0$
$2\mathcal{I}$	icosahedron dodecahedron	 (9 nodes)	$x^2 + y^3 + z^5 = 0$

Table 6: Binary polyhedral groups and their McKay graphs. Labels over the vertices are the dimension of the representation. We erase the arrow ends if they go in both directions and erase the label if it is equal to 1.

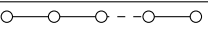
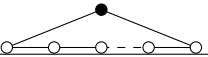
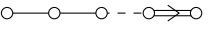
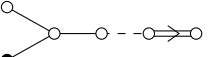
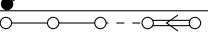
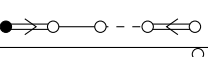
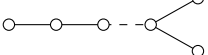
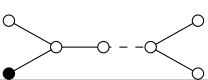
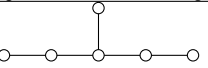
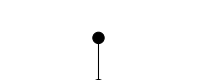
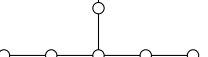
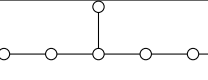

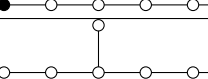
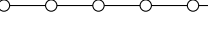
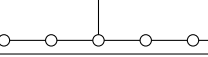
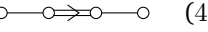
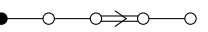
Simple Lie algebra	Simply laced	Dynkin diagram Extended Dynkin diagram
$\mathfrak{sl}(n+1, \mathbb{C}), n \geq 1$	yes	$A_n$ :  ( $n$ nodes) $\tilde{A}_n$ :  ( $n+1$ nodes)
$\mathfrak{so}(2n+1, \mathbb{R}), n \geq 2$	no	$B_n$ :  ( $n$ nodes) $\tilde{B}_n$ :  ( $n+1$ nodes)
$\mathfrak{sp}(2n, \mathbb{C}), n \geq 3$	no	$C_n$ :  ( $n$ nodes) $\tilde{C}_n$ :  ( $n+1$ nodes)
$\mathfrak{so}(2n, \mathbb{R}), n \geq 4$	yes	$D_n$ :  ( $n$ nodes) $\tilde{D}_n$ :  ( $n+1$ nodes)
$\mathfrak{e}_6$	yes	$E_6$ :  (6 nodes) $\tilde{E}_6$ :  (7 nodes)
$\mathfrak{e}_7$	yes	$E_7$ :  (7 nodes) $\tilde{E}_7$ :  (8 nodes)
$\mathfrak{e}_8$	yes	$E_8$ :  (8 nodes) $\tilde{E}_8$ :  (9 nodes)
$\mathfrak{f}_4$	no	$F_4$ :  (4 nodes) $\tilde{F}_4$ :  (5 nodes)
$\mathfrak{g}_2$	no	$G_2$ :  (2 nodes) $\tilde{G}_2$ :  (3 nodes)

Figure 4: Simple Lie algebras and their (extended) Dynkin diagrams. The first four algebras are the classical simple Lie algebras and the last five are the exceptional simple Lie algebras.

Finally, we can see the following correspondence between the extended Dynkin diagrams and the McKay graphs.

Simply Lie group	Simply laced Lie algebra	Extended Dynkin diagram	Finite subgroup of $SO(3)$	Finite subgroup of $SU(2)$
$SU(n+1)$	$\mathfrak{sl}(n+1, \mathbb{C})$	$\widetilde{A}_n$	$\mathbb{Z}_{n+1}$	$\mathbb{Z}_{n+1}$
$SO(2n), Spin(2n)$	$\mathfrak{so}(2n, \mathbb{R})$	$\widetilde{D}_n$	$\mathcal{D}_{2(n-2)}$	$2\mathcal{D}_{2(n-2)}$
$E_6$	$\mathfrak{e}_6$	$\widetilde{E}_6$	$\mathcal{T}$	$2\mathcal{T}$
$E_7$	$\mathfrak{e}_7$	$\widetilde{E}_7$	$\mathcal{O}$	$2\mathcal{O}$
$E_8$	$\mathfrak{e}_8$	$\widetilde{E}_8$	$\mathcal{I}$	$2\mathcal{I}$

Figure 5: Classical McKay correspondence.

## E.2 | Geometrical McKay correspondence

## F | Calabi-Yau manifolds, orbifolds and crepant resolutions

Simply put, a *Calabi-Yau manifold* is a Kähler manifold with trivial canonical bundle or, equivalently, with a Kähler metric whose global holonomy is contained in  $SU(n)$ . This is equivalent to having a trivial canonical bundle.

A *Calabi-Yau orbifold* is the quotient of a smooth Calabi-Yau manifold by a discrete group action which generically has fixed points. From an algebraic geometry perspective we can try to resolve the orbifold singularity. A resolution  $(X, \pi)$  of  $\mathbb{C}^n/\Gamma$  is a non-singular complex manifold  $X$  of dimension  $n$  with a proper biholomorphic map

$$\pi : X \rightarrow \mathbb{C}^n/\Gamma \quad (\text{F.1})$$

that induces a biholomorphism between dense open sets. A resolution  $(X, \pi)$  of  $\mathbb{C}^n/\Gamma$  is called a *crepant resolution*<sup>2</sup> if the canonical bundles of  $X$  and  $\mathbb{C}^n/\Gamma$  are isomorphic, i.e.

$$K_X \cong \pi^*(K_{\mathbb{C}^n/\Gamma}).$$

Since Calabi-Yau manifolds have trivial canonical bundle, to obtain a Calabi-Yau structure on  $X$  one must choose a crepant resolution of singularities.

It turns out that the amount of information we know about a crepant resolution of singularities of  $\mathbb{C}^n/\Gamma$  depends dramatically on the dimension  $n$  of the orbifold:

- $n = 2$ : a crepant always exists and is unique. Its topology is entirely described in terms of the finite group  $\Gamma$  (via the McKay correspondence).
- $n = 3$ : a crepant resolution always exists but it is not unique; they are related by flops. However all the crepant resolutions have the same Euler and Betti numbers: the *stringy* Betti and Hodge numbers of the orbifold.
- $n \geq 4$ : very little is known; crepant resolution exists in rather special cases. Many singularity are terminal, which implies that they admit no crepant resolution.

## G | Notions of graph theory

### G.1 | Quiver diagrams

### G.2 | Toric diagrams

### G.3 | Dimer diagrams

A *bipartite graph* is a graph such that all vertices can be coloured black or white such that any vertex of one color is only adjacent to vertices of the other color. A *matching* is a subset of edges without common vertices. A matching is *perfect* if every vertex is covered. The statistical mechanics of random perfect

<sup>2</sup>For a resolution of singularities we can define a notion of discrepancy. A crepant resolution is a resolution without discrepancy.

matchings is called a *dimer model*. The difference between any two closed perfect matching is a closed curve.

The *dimer diagram* of a quiver gauge theory is a graph whose faces represent the gauge groups, the edges represent the bi-fundamental fields and the vertices represent the superpotentials.

## H | Toric and non-toric singularities

All abelian orbifolds are toric varieties.

## I | Spacetime geometry: ALE space and orbifolds

Asymptotically locally euclidean (ALE) spaces are a particularly interesting choice of string background to probe with branes for mainly four reasons

- (i) they are the resolution (blow-ups) of orbifolds
- (ii) there are completely classified: they fall in the ADE classification
- (iii) they only break half of the supersymmetry
- (iv) they are non-compact therefore we can study them for self-dual type II theory(?).

Mathematically, an ALE space is complete riemannian  $n$ -manifold  $M$  such that there exists a compact set  $K \subset M$  such that  $M \setminus K$  is diffeomorphic to  $(\mathbb{R}^n \setminus B_0(R))/G$ , where  $R \in \mathbb{R}_0^+$  is a radius and  $G \subset O(n)$  a subgroup. Additionally, it is asked that the pulled back metric on  $\mathbb{R}^n \setminus B_0(R)$  tends to the euclidean flat metric at infinity.

If one considers string theory on the orbifold  $\mathbb{R}^4/\Gamma$  where  $\Gamma$  is a finite sub group of  $SU(2)$ , massless states appear from the twisted sector. They are precisely the moduli needed to deform the theory to the one with smooth spacetime, i.e. the resolution of the orbifold. In that sense, it is said that the strings know about the metric ALE space and that it is said that strings resolve the singularity. The metric of the ALE space can be recovered if the lagrangian of the resulting field theory is explicitly known, such as for the Wess-Zumino-Witten model. However, it is often not the case.

## J | Some derivations

### J.1 |

We want to compute the sum

$$\sum_{a=1}^{\lfloor n/3 \rfloor} \left\lfloor \frac{n-3a}{2} + 1 \right\rfloor = \left\lfloor \frac{n}{3} \right\rfloor + \sum_{a=1}^{\lfloor n/3 \rfloor} \left\lfloor \frac{n-3a}{2} \right\rfloor. \quad (\text{J.1})$$

Let us write  $n \in \mathbb{N}$  as  $n = 3m + r$  with  $r = 0, 1$  or  $2$  and  $m \in \mathbb{N}$ . Regardless of  $r$ , we have  $\lfloor n/3 \rfloor = m$  and

$$\sum_{a=1}^{\lfloor n/3 \rfloor} \left\lfloor \frac{n-3a}{2} \right\rfloor = \sum_{a=1}^m \left\lfloor \frac{3}{2}(m-a) + \frac{r}{2} \right\rfloor = \sum_{a=0}^{m-1} \left\lfloor \frac{3}{2}a + \frac{r}{2} \right\rfloor. \quad (\text{J.2})$$

- if  $r = 0$ , then (J.2) becomes

$$\sum_{a=0}^{m-1} \left\lfloor \frac{3}{2}a \right\rfloor = \sum_{a=0}^{m-1} a + \sum_{a=0}^{m-1} \left\lfloor \frac{a}{2} \right\rfloor = \frac{(m-1)m}{2} + \sum_{a=0}^{m-1} \left\lfloor \frac{a}{2} \right\rfloor. \quad (\text{J.3})$$

Now if  $m$  is even, we have

$$\sum_{a=0}^{m-1} \left\lfloor \frac{a}{2} \right\rfloor = 2 \sum_{a=0}^{\lfloor \frac{m-1}{2} \rfloor} a = 2 \sum_{a=0}^{\frac{m}{2}-1} a = \left( \frac{m}{2} - 1 \right) \frac{m}{2} \quad (\text{J.4})$$



and if  $m$  is odd,

$$\sum_{a=0}^{m-1} \left\lfloor \frac{a}{2} \right\rfloor = 2 \sum_{a=0}^{\left\lfloor \frac{m-2}{2} \right\rfloor} a + \left\lfloor \frac{m-1}{2} \right\rfloor = 2 \sum_{a=0}^{\frac{m-3}{2}} a + \frac{m-1}{2} = \frac{(m-1)^2}{4} \quad (\text{J.5})$$

so

$$\sum_{a=0}^{m-1} \left\lfloor \frac{a}{2} \right\rfloor = \begin{cases} \left( \frac{m}{2} - 1 \right) \frac{m}{2}, & \text{if } m \text{ is even} \\ \frac{(m-1)^2}{4}, & \text{if } m \text{ is odd} \end{cases} \quad (\text{J.6})$$

and

$$\sum_{a=0}^{m-1} \left\lfloor \frac{3a}{2} \right\rfloor = \begin{cases} \frac{m(3m-4)}{4}, & \text{if } m \text{ is even} \\ \frac{(m-1)(3m-1)}{4}, & \text{if } m \text{ is odd} \end{cases} \quad (\text{J.7})$$

- if  $r = 1$ , then (J.2) becomes

$$\sum_{a=0}^{m-1} \left\lfloor \frac{3}{2}a + \frac{1}{2} \right\rfloor = \sum_{a=0}^{m-1} a + \sum_{a=0}^{m-1} \left\lfloor \frac{a+1}{2} \right\rfloor = \frac{(m-1)m}{2} + \sum_{a=0}^{m-1} \left\lfloor \frac{a+1}{2} \right\rfloor \quad (\text{J.8})$$

and

$$\sum_{a=0}^{m-1} \left\lfloor \frac{a+1}{2} \right\rfloor = \sum_{a=1}^m \left\lfloor \frac{a}{2} \right\rfloor = \sum_{a=0}^m \left\lfloor \frac{a}{2} \right\rfloor = \begin{cases} \frac{m^2}{4}, & \text{if } m \text{ is even} \\ \frac{m^2-1}{4}, & \text{if } m \text{ is odd} \end{cases} \quad (\text{J.9})$$

by (J.6) so

$$\sum_{a=0}^{m-1} \left\lfloor \frac{3}{2}a + \frac{1}{2} \right\rfloor = \begin{cases} \frac{m(3m-2)}{4}, & \text{if } m \text{ is even} \\ \frac{3m^2-2m-1}{4}, & \text{if } m \text{ is odd} \end{cases} \quad (\text{J.10})$$

- if  $r = 2$ , then (J.2) becomes

$$\sum_{a=0}^{m-1} \left\lfloor \frac{3}{2}a + 1 \right\rfloor = m + \sum_{a=0}^{m-1} \left\lfloor \frac{3}{2}a \right\rfloor \quad (\text{J.11})$$

so

$$\sum_{a=0}^{m-1} \left\lfloor \frac{3}{2}a + 1 \right\rfloor = \begin{cases} \frac{3m^2}{4}, & \text{if } m \text{ is even} \\ \frac{3m^2+1}{4}, & \text{if } m \text{ is odd} \end{cases} \quad (\text{J.12})$$

from (J.7).

Finally, we can write  $m = 2k$  if  $m$  is even and  $m = 2k + 1$  if  $m$  is odd in order to distinguish the six different cases. We get

$$a(n) \equiv \sum_{a=1}^{\lfloor n/3 \rfloor} \left\lfloor \frac{n-3a}{2} + 1 \right\rfloor = \begin{cases} 2k + \frac{2k(6k-4)}{4}, & \text{if } n = 6k \\ 2k + \frac{2k(6k-2)}{4}, & \text{if } n = 6k + 1, \\ 2k + \frac{12k^2}{4}, & \text{if } n = 6k + 2, \\ (2k+1) + \frac{2k(6k+2)}{4}, & \text{if } n = 6k + 3, \\ (2k+1) + \frac{3(2k+1)^2 - 2(2k+1) - 1}{4}, & \text{if } n = 6k + 4, \\ (2k+1) + \frac{3(2k+1)^2 + 1}{4}, & \text{if } n = 6k + 5 \end{cases} \quad (\text{J.13})$$

$$= \begin{cases} 3k^2, & \text{if } n = 6k \\ 3k^2 + k, & \text{if } n = 6k + 1, \\ 3k^2 + 2k, & \text{if } n = 6k + 2, \\ 3k^2 + 3k + 1, & \text{if } n = 6k + 3, \\ 3k^2 + 4k + 1, & \text{if } n = 6k + 4, \\ 3k^2 + 5k + 2, & \text{if } n = 6k + 5 \end{cases} \quad (\text{J.14})$$

Starting from  $n = 1$ , the first value of this sequence is : 0, 0, 1, 1, 2, 3, 4, 5, 7, 8, 10, 12, ... Upon further analysis, this correspond to the sequence [A001399](#), that have several interpretations:

- the number of partitions of  $n$  into at most 3 parts. This makes sense with our initial problem: finding all the  $a, b, c$ 's such that  $a + b + c = n$ ,
- the number of connected graphs with 3 nodes and  $n$  edges (where multiple edges between the same nodes are allowed),
- the number of non-negative solutions to  $b + 2c + 3d = n$ ,

as well as many others. Finally, we note that we can simply write

$$a(n) = \text{round} \left( \frac{n^2}{12} \right). \quad (\text{J.15})$$

## K | References guide

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- Orbifold construction for  $\Gamma \subset \text{SU}(2)$ : type  $A$  : [DM96], type  $D$  and  $E$ : [JM97]
- Orbifold construction for  $\Gamma \subset \text{SU}(3)$ : [HH99]
- Formalization of projection to daughter theories: [LNV98], [KS98]
- On toric singularities: [Ful93], [Oda88]
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- Toric diagrams, dimer diagrams and Higgsing: [AFP08]
- Fractional branes: [Arg+08]
- Quiver representations and quiver varieties: [Kir16]

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