

ENERGY SAVINGS FOR UAV FLIGHT IN UNSTEADY GUSTING  
CONDITIONS

THROUGH TRAJECTORY OPTIMIZATION

BY  
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## LIST OF SYMBOLS

Symbol	Definition
$C_l$	Lift coefficient
$C_d$	Drag coefficient
$y_i$	Vector of position and velocities at point $i$
$W_a$	Non-dimensional gust amplitude
$T_g$	Non-dimensional gust duration
$T$	Vehicle time scale ( $= \frac{V_{cr}}{g}$ )
$V_{cr}$	Vehicle cruise speed
$C_l^*, C_d^*$	Lift and drag coefficients at the optimal lift to drag ratio
$G$	lift to drag ratio
$G^*$	optimal (maximal) lift to drag ratio
$Q$	Non-dimensional dynamic pressure
$X, Z, U, W$	Non-dimensional positions and velocities
$v$	Relative wind velocity to the vehicle
$L', D'$	Lift and drag forces
$V^*$	Optimal glide speed
$\gamma$	Angle of the vehicle trajectory to the horizon
$U_g, W_g$	Non-dimensional horizontal and vertical gust velocity components
$u$	Free stream velocity
$c$	Airfoil chord
$t^+$	Airfoil time scale ( $= \frac{u}{c}$ )

$k$	Non-dimesional reduced frequency ( $= \pi c_u^f$ )
$x$	State vector used for the optimizatiion
$C_l^{qs}, C_d^{qs}$	Quasi-steady lift and drag coefficients
$x_0$	State variable x value for quasi-steady cases

## ABSTRACT

The purpose of this thesis is to show how micro unmanned aerial vehicles can extract energy from periodic wind gusts and how this energy extraction is affected by the effects of unsteady aerodynamics and the spatial structure of the gust component.

The trajectory of a small UAV flying through wind gusts is simulated with a two degree of freedom model. The non-dimensional model is set to include vertical and horizontal gusts of varying amplitudes and durations. From this model an optimization routine is performed in order to obtain the minimum gust amplitude needed to obtain a neutral energy trajectory. With these results, it is shown that neutral energy flight is possible through gust speeds of only 10 to 30% of the flying speed of the aircraft. Analysis of the results shows that the lift coefficient has to be changed very rapidly in order to perform these maneuvers in short duration gusts. Moreover high lift values are often required.

To achieve this kind of rapid change in the lift and drag forces, fast variations of the angle of attack are needed. The high lift values also require high angles of attacks that are likely to cause separation of the flow over the airfoil. These fast variations at high angle of attack are shown to cause unsteady non linear aerodynamic responses. Traditional CFD simulations are far too computationally expensive to be implemented into the optimization routine. To solve this issue a low order model based on a paper by Goman and Khrabrov [6] (GK) is developed and validated against experimental results. This model produces accurate predictions of the lift and drag coefficients for a wide range of angles of attack and for different type of pitch inputs.

With this GK model the influences of the unsteady aerodynamics on the energy extraction problem are highlighted. The main difference with quasi-steady aerodynamics model was found to be for gusts at a reduced frequency faster than  $k$  of 0.07. Around these values the potential performance is improved by introducing the un-

steady model. The trajectories obtained include more violent changes in angle of attack in order to take full advantage of the unsteady effects.

## CHAPTER 1

### INTRODUCTION

#### 1.1 Motivations

**1.1.1 Trajectory optimization through wind gusts.** The main challenge for electric small size unmanned aerial vehicle is the autonomy. Battery energy density is limited and can rapidly become a important part of the weight of vehicle. Since most of the energy is used by the electric engine for propulsion optimizing the control laws and trajectory could have a dramatic effect on endurance. With the progress in autonomous control software, successful attempts have been made by Allen [4] and Edwards [2] to extract energy from natural updrafts. These experiments have shown that a UAV can take advantage of localized vertical winds naturally produced by thermal convection.

However, within an urban environment, such as the one mini and micro-UAV aircrafts are designed for, the gust's velocity profile is vastly different. Wind blowing through an group of buildings produces turbulent conditions with both vertical and horizontal vortices. The turbulence levels can reach speeds representing a significant portion of micro-UAV's glide speed. In flow fields such as this, the gusts encountered are both faster and arguably more complex than the ones due to thermal convection.

The lack of low-order models for the unsteady aerodynamic effects means that all of the studies on trajectory optimization have been based on quasi-steady models to compute the aerodynamic forces. More computationally expensive models traditionally used for CFD are too unpractical considering the thousands of function evaluations needed for such algorithm. To solve this problem, a low-order model capturing the unsteady behavior of the flow over the aircraft is needed. Additionally this model needs to be able to handle flow separation and airfoil stalling since the

maneuvers required for energy extraction can be relatively violent and often involve high angle of attack.

**1.1.2 Pitching airfoil model.** The difficulty is that the lift and drag behavior in such condition is time dependent and non-linear. As such, finite element methods are often the only solution to get a good simulation of the lift and drag. Such solutions are useful, since they provide a lot of information about the flow field itself, but the computation time required to get the lift and drag out of them is several orders of magnitude too long.

Another solution explored by Brunton [11] is to perform linear approximations of the lift and drag behavior at different angles of attack. These linear models can then be patched together to include the non-linear behaviors. The appeal of this method is that the individual linear models can be easily analyzed using classical linear time invariant (LTI) system theory. It is however still fairly complicated and requires an extensive experimental study to identify the system at each set angle of attack.

The model developed by Goman and Khrabrov allows for a low-order non linear model to capture the features of the lift coefficient over a very wide range of angle of attack, as well as for any arbitrary pitch profile. One quasi-steady map of the lift and two time constants are all that is needed to get the full model. So far it seems that the use of this model has been limited to the lift coefficient predictions, however for the trajectory optimization the drag coefficient is also needed.

## 1.2 Previous investigations

As explained in the previous part 1.1, the bulk part of the research on trajectory optimization for small flying vehicles has been focused on either natural convection, such as the one glider pilots and some birds (Denny [5]) take advantage of in

plains, or wind gradients, such the ones found close to the surface of the ocean. The latter are often exploited by seabirds such as albatrosses.

Lissaman conducted a study for 3D trajectories in differently shaped wind gradients close to the ground [7] as well as 2D trajectories through sinusoidal wind gusts [8]. His optimization is performed on a non-dimensional set of equation [1] that has been reused in this study. He also uses different kind of profiles for the wind gradient in order to represent more accurately real wind gradients. The approach pioneered by Lissaman forms the basis of the following optimization study.

However these studies have all only used quasi-steady aerodynamic model. Airfoil interactions with more complex gusts structures such as the ones produced by vortices shed by upstream obstacles have also been studied with CFD tools by Golubev [13] [14].

Traditional low order models that try to circumvent the use of CFD are often based on Theodorsen's [12] and Greenberg's [3] derivations of the Navier-Stokes equations for potential flow. However experimental study of flow separation for pitching airfoils was started even earlier by researcher like Katzmayr in 1922 [10].

CHAPTER 2  
ENERGY EXTRACTION OPTIMIZATION

## 2.1 Two degree of freedom model

**2.1.1 Non-dimensional equations of motion.** The model chosen for the simulation is a simple two degree of freedom, two dimensions, point-mass model. The aircraft is assumed to be an unpowered glider to simplify the optimization routine. With such assumption the equations of motion in the ground reference frame is :

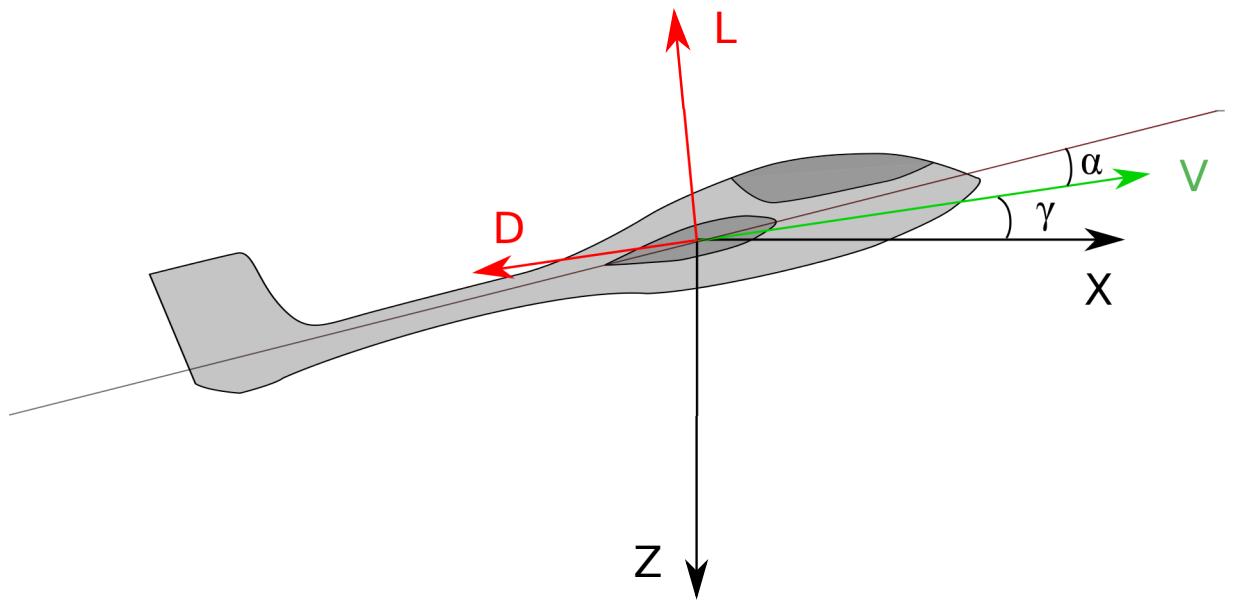


Figure 2.1. Coordinate system used for the optimization

All these values and vectors are defined in the inertial ground reference frame.

$$\begin{aligned}\ddot{x} &= -L' \cdot \sin(\gamma) + D' \cdot \cos(\gamma) \\ \ddot{z} &= L' \cdot \cos(\gamma) - D' \cdot \sin(\gamma) - m \cdot g\end{aligned}\tag{2.1}$$

The lift and drag are defined are:

$$\begin{aligned} L' &= \frac{1}{2}\rho v^2 C_l \\ D' &= \frac{1}{2}\rho v^2 C_d \end{aligned} \tag{2.2}$$

With  $v$  being the relative wind for the vehicle.

Since this simulation is mainly concerned with Newtonian physics (rather than fluid phenomena) the usual fluid dynamics non-dimensional variables based on  $c$  and  $U$  do not apply. Here the characteristic speed is the optimal glide speed. As such the equations are normalized by the optimal glide speed and  $g$ , the gravitational acceleration. This is more representative of the performances of the aircraft.

Following Lissaman's [7] implementation of the equation of motion we define  $V^*$  the optimal glide speed for the aircraft. This speed is achieved at the optimal lift to drag ratio of the aircraft. With  $C_l^*$  and  $C_d^*$  the angle of attack for the maximum lift to drag ratio and  $\gamma$  the pitch angle with respect to the horizon, the optimal glide speed is:

$$\begin{aligned} \gamma^* &= -\text{atan}\left(\frac{C_l^*}{C_d^*}\right) \\ V^* &= \sqrt{\frac{2mg}{\rho S(C_l^* \cos(\gamma^*) - C_d^* \sin(\gamma^*))}} \end{aligned} \tag{2.3}$$

We define  $U$  and  $W$  to be the non-dimensional horizontal and vertical speed in the inertial reference frame.

$$\begin{aligned} U &= \frac{\dot{x}}{V^*} \\ W &= \frac{\dot{z}}{V^*} \end{aligned} \tag{2.4}$$

The characteristic time for normalizing is

$$T = \frac{g}{V^*} \quad (2.5)$$

Since the speed is seen as a fraction of the optimal glide speed, it makes sense to also normalize the lift and drag coefficients by their corresponding values at the optimal lift to drag ratio.

$$\begin{aligned} L &= \frac{C_l}{C_l^*} \\ D &= \frac{C_d}{C_d^*} \end{aligned} \quad (2.6)$$

Finally we introduce  $Q$  the non-dimensional dynamic pressure as:

$$Q = \frac{L'}{MgL} = \frac{\frac{1}{2}\rho V^2 C_l C_l^*}{Mg} \quad (2.7)$$

From there the equation of motion 2.1 can be expressed as:

$$\begin{aligned} \frac{dU}{dT} &= -LQ \cdot \sin(\gamma) + DQ \cdot \cos(\gamma) \\ \frac{dW}{dT} &= LQ \cdot \cos(\gamma) - DQ \cdot \sin(\gamma) - 1 \end{aligned} \quad (2.8)$$

With

$$\gamma = -\text{atan}\left(\frac{W - W_g}{U - U_g}\right) \quad (2.9)$$

Figure 2.2. Definition of  $\gamma$  the flight path angle

$W_g$  and  $U_g$  are the vertical and horizontal wind speeds in the inertial reference frame.

Finally the remaining thing to consider is  $Q$  the dynamic pressure. If we define the speed of the wind gust as  $W_g$  and  $U_g$  we can express:

$$Q = V^2 = (W - W_g)^2 + (U - U_g)^2 \quad (2.10)$$

With these definitions we have the basic formulation of our non-dimensional equation of motions, normalized by the performance at the optimal glide trajectory in a calm environment.

**2.1.2 Lift and drag models.** The normalized equation of motion 2.8 are not accounting for the fluid dynamic part of the flight. The most important factor for glide performance is the lift to drag ratio. In his paper, Lissaman [8] is using a relatively simple quadratic model for the relationship between lift and drag. The only parameter is the optimal lift to drag ratio  $G^*$ :

$$D = \frac{Q}{2G^*}(1 + L^2) \quad (2.11)$$

This simple model works relatively well for simple airfoils, but is inadequate for more complex airfoil geometry. Moreover it fails to properly account for the effects of flow separation at high angles of attack. Finally, this model is only valid for the quasi-steady flow conditions.

Since the unsteady model developed in chapter 3 is based on experimental results for a NACA0009 airfoil, we will use simplified versions of the lift and drag characteristics of this airfoil.

The experimental results, while being arguably more realistic than a simple quadratic approach, are still only considering quasi-steady change in the angle of attack. This limitation will be discussed more in depth in the result discussion section

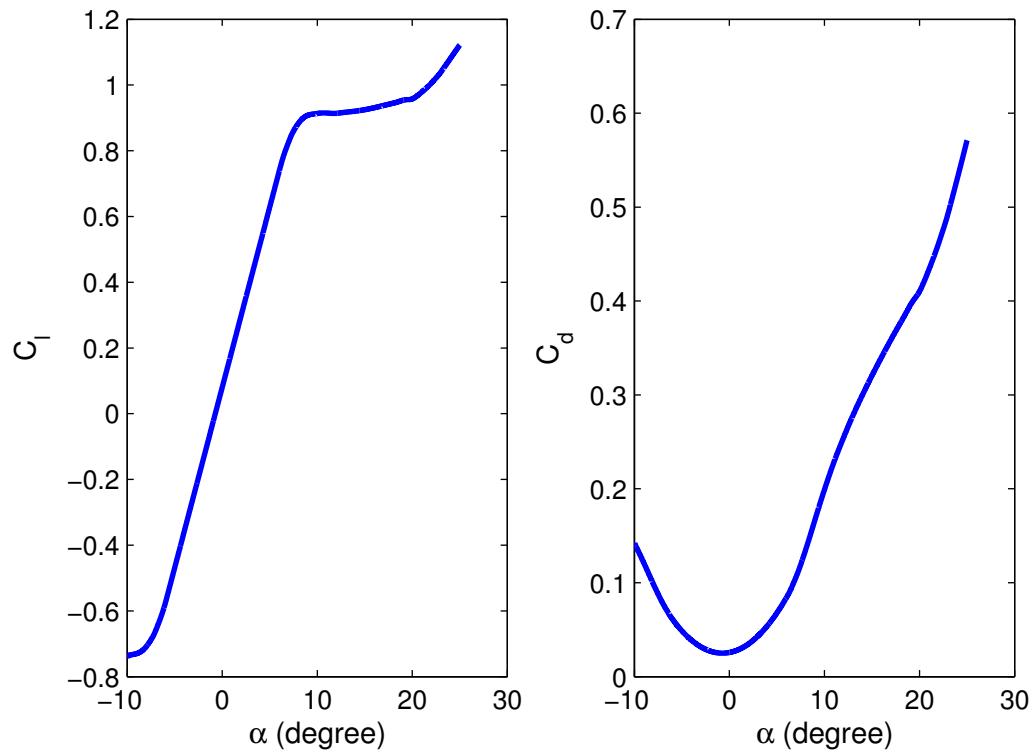


Figure 2.3. Lift and drag characteristics of the NACA0009

2.3.

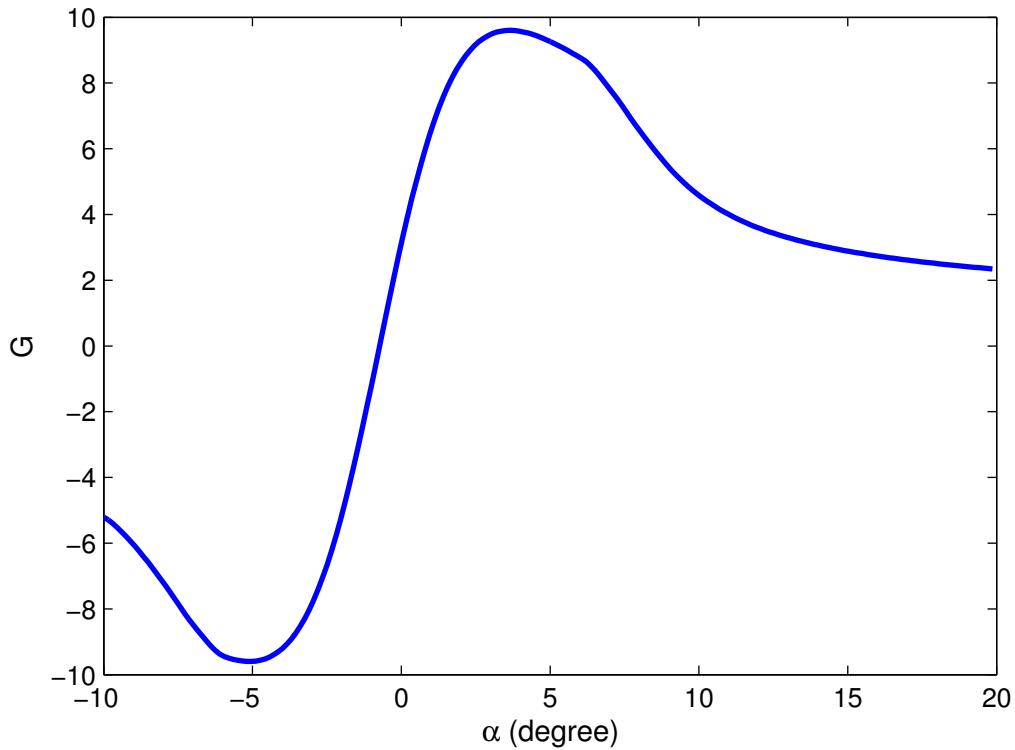


Figure 2.4. Lift to drag ratio for the NACA0009

**2.1.3 Wind profiles.** Most of the studies done on dynamic soaring were done with vertical wind gusts, thermal updraft or horizontal wind gradient fixed in time. In this optimization procedure we chose to consider three different wind profiles made out of first order sinusoidal gusts.

Our first gust profile is a simple vertical gust.

$$W_g = W_a \cdot \sin(2\pi T) \quad (2.12)$$

$$U_g = 0$$

Similarly the horizontal gust is defined as:

$$\begin{aligned} W_g &= 0 \\ U_g &= W_a \cdot \cos(2\pi T) \end{aligned} \tag{2.13}$$

Finally a more complex combined gust is defined. This gust profile is the sum of the two previously defined gusts. Moreover we introduce  $\varphi$ , a phase difference between the two component of the gust. Changing the phase between the vertical and horizontal components allows the recreation of a wide variety of wind gust profiles. Moreover, the results obtained here can serve as a proof of concept for even more complex wind structures such as the ones used by Golubev [13] [14].

$$\begin{aligned} W_g &= W_a \cdot \sin(2\pi T) \\ U_g &= W_a \cdot \cos(2\pi T + \varphi) \end{aligned} \tag{2.14}$$

Unless indicated otherwise the phase  $\varphi$  is set to zero for combined gusts.

## 2.2 Optimization process, cost function and constraints

**2.2.1 General consideration on optimization.** The general principle for the optimization routines resides in defining a so called “cost function” that will represent a quantity we want to minimize. While the algorithm tries to minimize this scalar, a set of constraints have to be respected. These constraints can represent physical limitations or specific requirements related to the system at hand. The cost and constraints are expressed as functions of a set of system state variables. The state variables can represent temporal or spatial values. The optimization is performed in a sequential fashion where different algorithms are used to step from one set of values for the state variables to another.

Optimization routines are divided into two families.

The first method is called the gradient method, it requires a good knowledge of the physics behind the problem. The cost function as well as the constraints have to be explicitly defined. In this method the gradient of the cost function and the constraints is used to determine the direction of the next step in the optimization. Different algorithms are used to chose the step size, and sometimes the direction of the previous step can influence the current step. The gradients for either the cost function or the constraints do not have to be explicitly defined as modern optimization routines, such as the one included in Matlab, can perform numerical gradient estimation. However inputting a user defined gradient into the routine will significantly speed up the overall process.

The second method uses the so called “evolutionary algorithms”. This method relies a lot less on knowing the underlying physical phenomenon. Its basic principle is a “try and see” process. Random changes are performed on the state variables and their effects on the cost function are assessed. The best steps are selected as a starting point for the next generation. While with this method each step is a less computation intensive than with the previous method, the number of steps is much higher.

The gradient method has been used in this optimization, because it provides more insight on the physics behind the problem. However it should be noted that the resulting “optimal” point is usually only assured to be *a local* minimum of the cost function. Several different starting states were tested to ensure that the optimization converges toward a reasonable minimum.

**2.2.2 Cost function.** Our problem here consists in optimizing the trajectory in a gusting environment to minimize energy loss. The most obvious cost function would be something like

$$-\frac{1}{2}mV(T_f)^2 - gX(T_f) \quad (2.15)$$

Which would be equivalent to maximizing the total energy at the end of the gust. However after testing this has shown to leave too much freedom to the algorithm. As a result the local minima found are the result of unrealistic trajectories such as very steep dives clearly far from the optimum.

Once again we refer to the Lissaman paper [8], and instead of minimizing energy loss for a given gust condition, we choose to find the minimum gust amplitude required to satisfy an energy neutral trajectory over the gust period. This means that the cost function is the wind gust amplitude, which will have to be added to the state vector in order to be explicit, and that the neutral energy trajectory will have to be added to the constraints.

**2.2.3 State vector and constraints formulation.** In our case a gust cycle of duration  $T_f$  is divided into  $N$  discrete instants  $T_i$  (usually between 31 and 101). At each of these points we need to know the state of the vehicle. Since we are considering a two degree of freedom model, the two positions  $X, Z$  and speed  $U, W$  variables are the most simple choices. However this is not enough to describe the system completely. We also need to know what our input is going to be, in this case the lift available and where we are on the lift vs drag curve. There are two possible choices for this. If you consider only the quasi steady part then the angle of attack  $\alpha$  is obvious. However since the drag is a function of the lift (the inverse is not true), it is possible to use only the  $L$  to define our point on the lift to drag curve. This allows us to use one less variable.

With the five variables defined at each of the considered time points, the state and input vector look like:

$$x = \begin{bmatrix} \dots \\ X_i \\ Z_i \\ U_i \\ W_i \\ L_i \\ \dots \\ W_a \end{bmatrix} \quad i \in [1, N] \quad (2.16)$$

All of the variables have to be constrained to achieve a realistic trajectory. The first and most obvious constraint is done with the equation of motion 2.8. This equation has to be changed from a continuous differential equation to a discrete equation. This is done by using the Simpson's 1/3rd rule as derived by Zhao [15].

In order to satisfy the equations of motion we need to define the state variable at the time  $T_i$ :

$$y_i = \begin{bmatrix} X_i \\ Z_i \\ U_i \\ W_i \end{bmatrix} \quad (2.17)$$

Then with  $\dot{y}_i$  the derivative of the state variables, given by the equation of motion 2.8 and:

$$\begin{aligned} y_m &= \frac{1}{2}(y_k + Y_{k+1}) - \frac{1}{8}(y_{k+1} - y_k)\delta t \\ L_m &= \frac{1}{2}(L_i + L_{i+1}) \end{aligned} \quad (2.18)$$

The condition to satisfy the equation of motion becomes

$$0 = y_{k+1} - y_k - \frac{1}{6}(y_k + 4y_m + y_{k+1})\delta t \quad \forall i \in [1, N-1] \quad (2.19)$$

Another constraint is on the neutral energy loop condition. To account for the neutral energy loop requirements initial and final  $Z$  values are fixed at zero and the initial and final vertical and horizontal speeds are set to be equal.

Since we are looking at only one cycle, in order for it to be repeatable, we need to have a smooth transition from one to another. This means setting the derivative of the speed to be equal at the start and at the end of the cycle.

$$\begin{aligned} W_2 - W_1 &= W_N - W_{N-1} \\ U_2 - U_1 &= U_N - U_{N-1} \end{aligned} \quad (2.20)$$

Finally the last set of constraints is on the physical limits of the aircraft. Typically an aircraft flight envelope is limited by its maximum speed (depending on the dynamic pressure), its maximum load and its maximum lift (which determines the stalling speed). Since our aircraft will be flying around its optimal glide speed, over speeding is not going to be an issue. Moreover the drag increasing proportionally to the square of speed, high speeds will be avoided as much as possible by the optimization routine. The limit on the load can conveniently be expressed as:

$$L_i Q_i \leq g_{max} \quad \forall i \in [1, N] \quad (2.21)$$

With  $g_{max}$  the maximum load in Gs.

Finally the maximum lift condition can be expressed

$$L_i \leq \frac{C_l^{max}}{C_l^*} \quad \forall i \in [1, N] \quad (2.22)$$

As it will be seen in section 2.3 the value of  $C_l^{max}$  has a profound impact on the performances of the UAV.

It is also sometime advisable to limit  $\gamma$  in the  $\pm 90^\circ$  range to prevent loops and backtracking.

**2.2.4 Matlab optimization function.** Matlab offers several ways of doing optimization. Since this scripting language allows for easy parallelization, it is relatively painless to implement your own optimization code. However in most cases, “classical” optimization problems, such as weight reduction, topology optimization or mechanism design are reducible to a set of linear equations and constraints. In our case the equations of motions, as well as the lift and drag properties are not linear at all, and trying to linearize this problem would make any solution meaningless. For this reason an already existing optimization function has been chosen.

Since non-linear optimizations like that are a computationally intensive process dedicated tools have been developed to tackle the problem. SNOPT [9] is one of these software that is widely used. Another tool appearing in the literature is a Fortran library called NPSOL. Since our laboratory’s language of predilection is Matlab, the optimization toolbox from MathWorks was used.

The optimization toolbox provides a helpful function for non-linear optimization called *fmincon()*. This function needs an initial guess for the  $x$  vector. When using this function the initial guess has quite a big influence on the converging speed

and on the local optimal solution found. To account for that several educated guesses were made and tested for the different types of the wind profiles and gusts duration. These guesses are refined as new results are obtained.

### 2.3 Results for quasi-steady aerodynamics

**2.3.1 Implementation validation.** The first step is to validate the code implemented here against previous results. Even if the lift and drag profiles are different from Lissaman's assumptions a similar case is optimized. The gust duration is set to be  $T_g = 4T$ , for a purely vertical gust. Since it is only a validation test the same lift and drag characteristics are used. Equation 2.11 was substituted into the equation of motion part of the code. An optimal lift to drag ratio of  $G_{max} = 20$  is chosen, as seen in the original Lissaman paper [8].

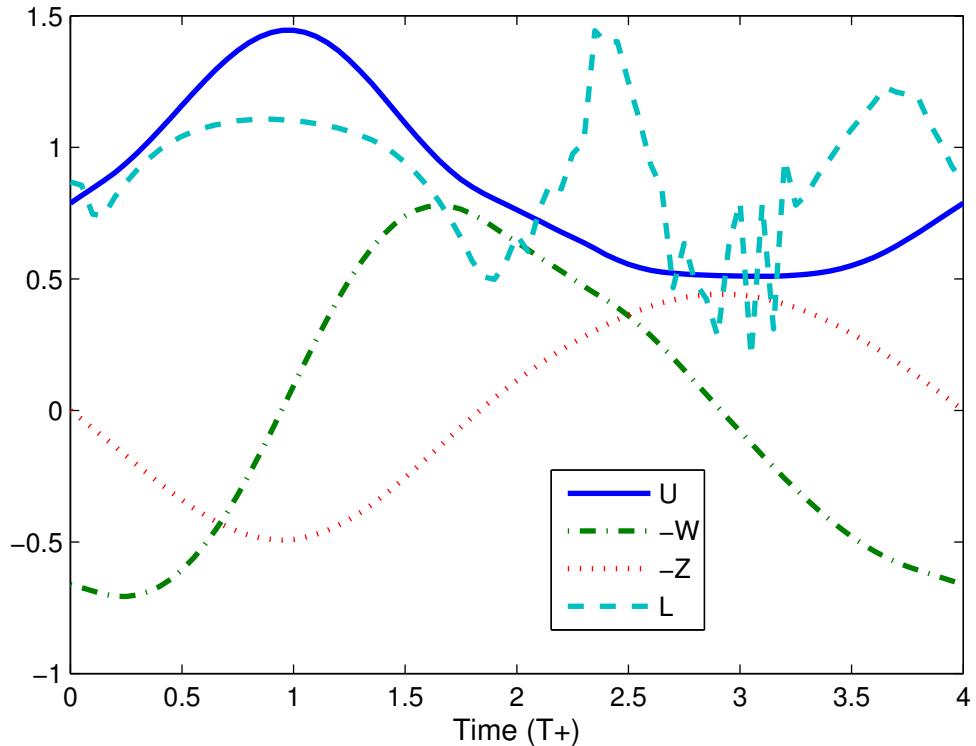


Figure 2.5. Optimization results for a  $4T$  long vertical gust

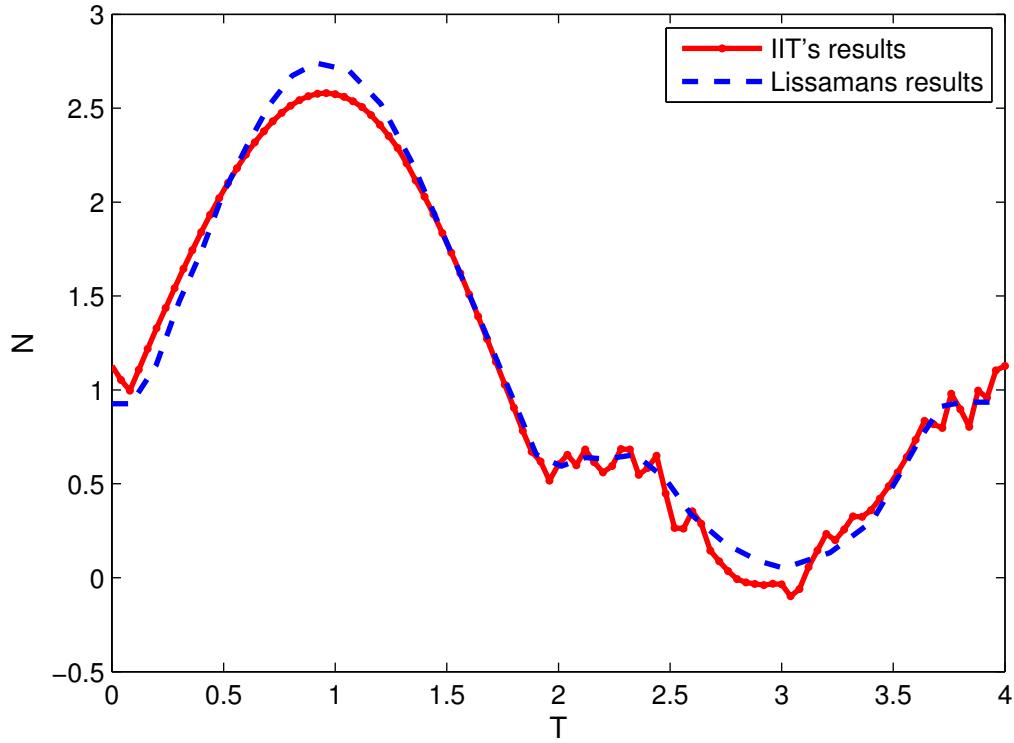


Figure 2.6. Comparison with Lissaman's [8] non-dimmesional normal force  $N$  for a  $4T$  long vertical gust

Lissaman, with an optimal lift to drag ratio of 20 found a wind gust amplitude of 0.129. Here the minimum gust amplitude required for neutral energy loop is 0.128 (see figure 2.5). The shape of the state and control parameters curves are also consistent with the Lissaman results.

Similarly an optimization was performed for a purely horizontal wind gust.

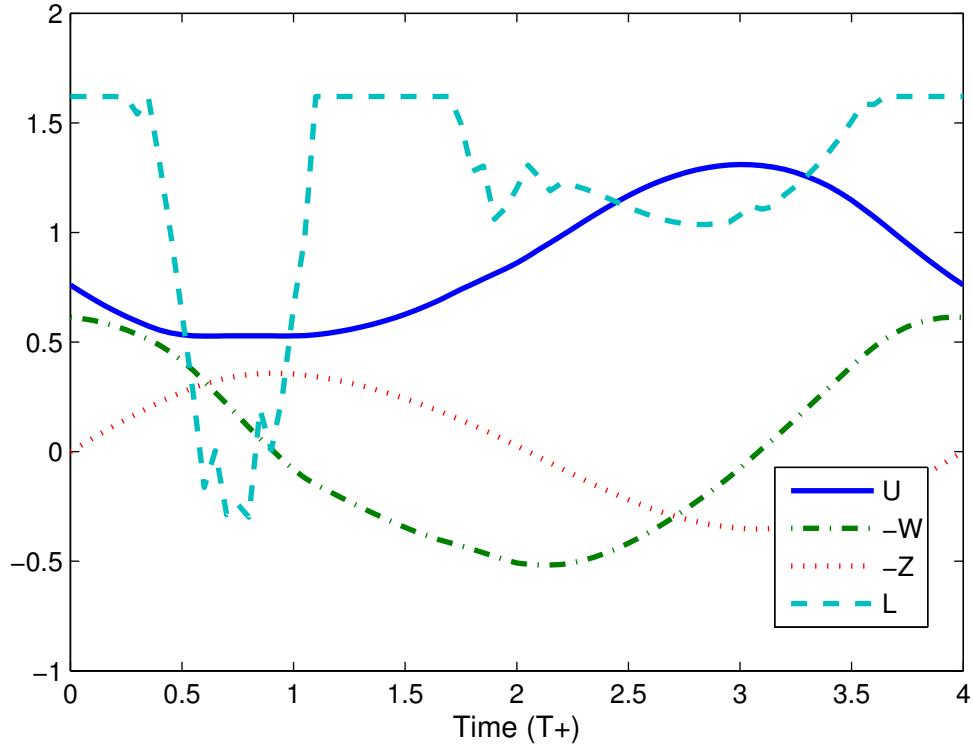


Figure 2.7.  $4T$  long horizontal gust for  $G = 20$ ,  $W_a = 0.246$

The resulting minimum wind amplitude is higher than for the vertical gust. However, this shows that it is possible to take advantage of horizontal wind gusts to save energy if the performances are high enough.

Finally a combined horizontal and vertical gust is simulated with no phase shift ( $\varphi = 0$ ).

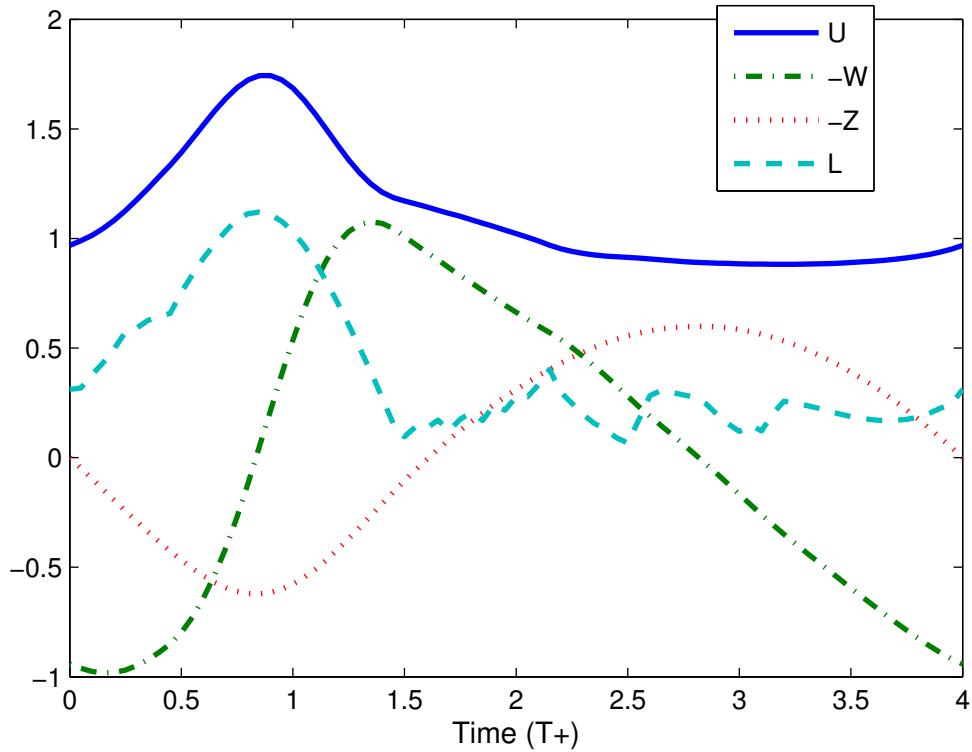


Figure 2.8.  $4T$  long combined gust for  $G = 20$ ,  $W_a = 0.232$

Unsurprisingly the neutral energy loop trajectory exists also for this case.

### 2.3.2 Typical results for the NACA0009 wing.

A similar batch of optimizations is done with the more realistic lift and drag profiles.

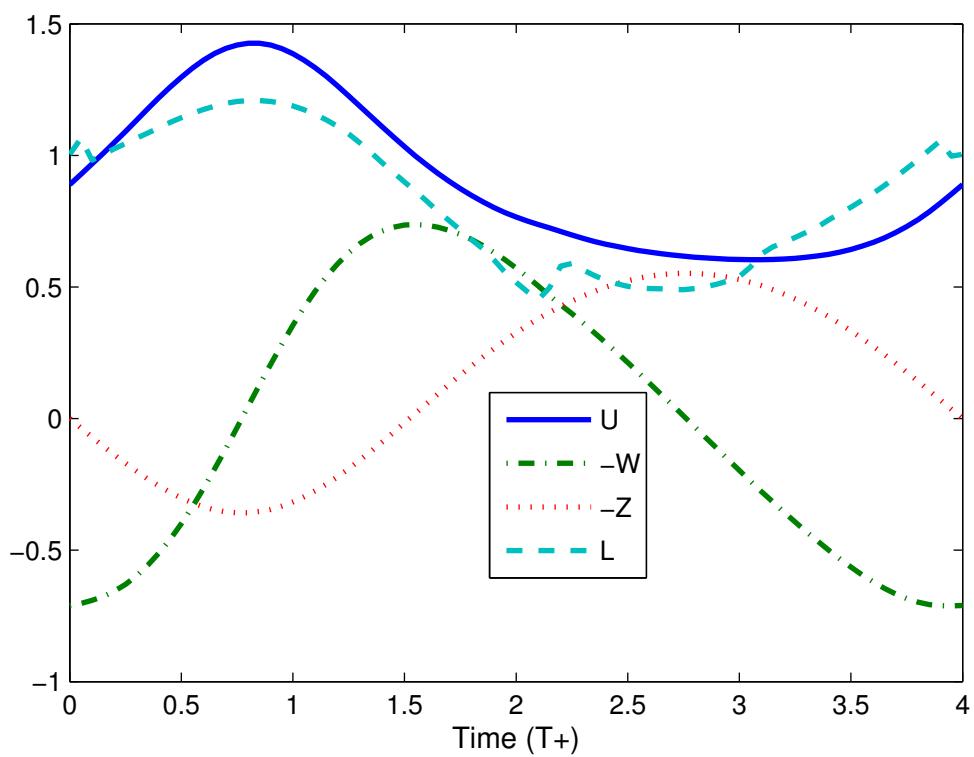


Figure 2.9.  $4T$  long vertical gust for the NACA0009 airfoil,  $W_a = 0.205$

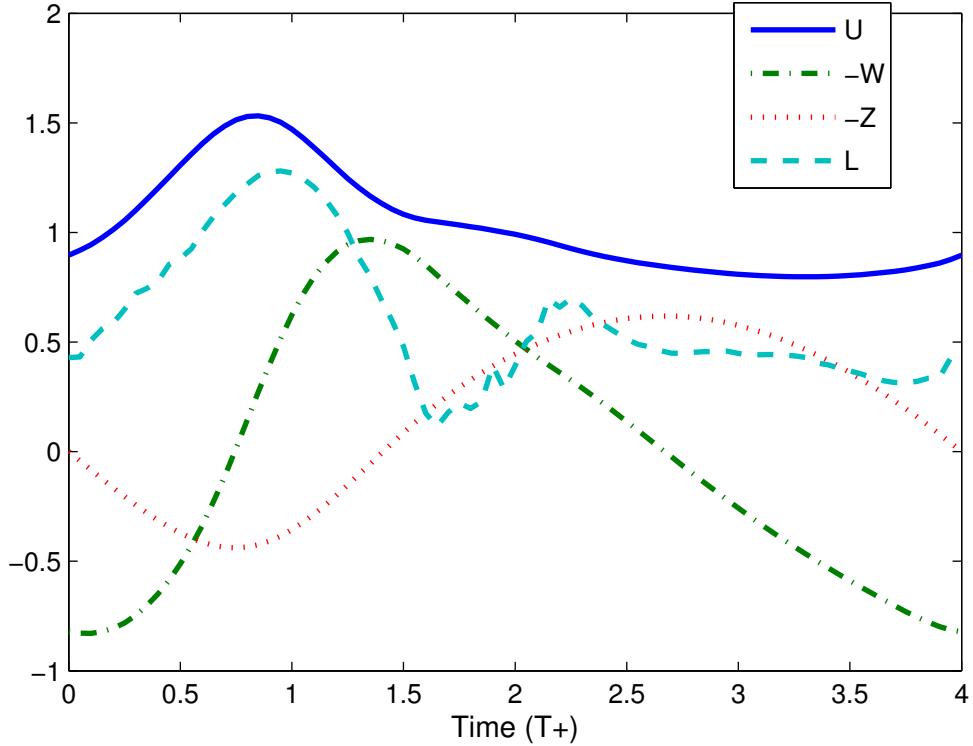


Figure 2.10.  $4T$  long combined gust for the NACA0009 airfoil,  $W_a = 0.387$

As it can be seen on the figures 2.9 and 2.10 the trajectories are similar in shape to the quadratic lift and drag model. However, the gust amplitude needed to achieve neutral energy flight are a lot higher. Such differences can be explained by looking at the maximum lift to drag ratio for both conceptual aircraft. The quadratic drag profile used by Lissaman has a  $G_{max}$  of 20.

With this kind of performance, a purely horizontal gust can not sustain a neutral energy loop.

**2.3.3 Influence of the gust duration.** From our literature review it was found that most of the studies done on gusting winds have been conducted on gusts wind duration greater than  $2T$ . Considering shorter gusts was considered unreasonable, since only quasi steady aerodynamic models were used. However since the purpose

of this research is to extend the energy extraction envelope, such cases should be considered.

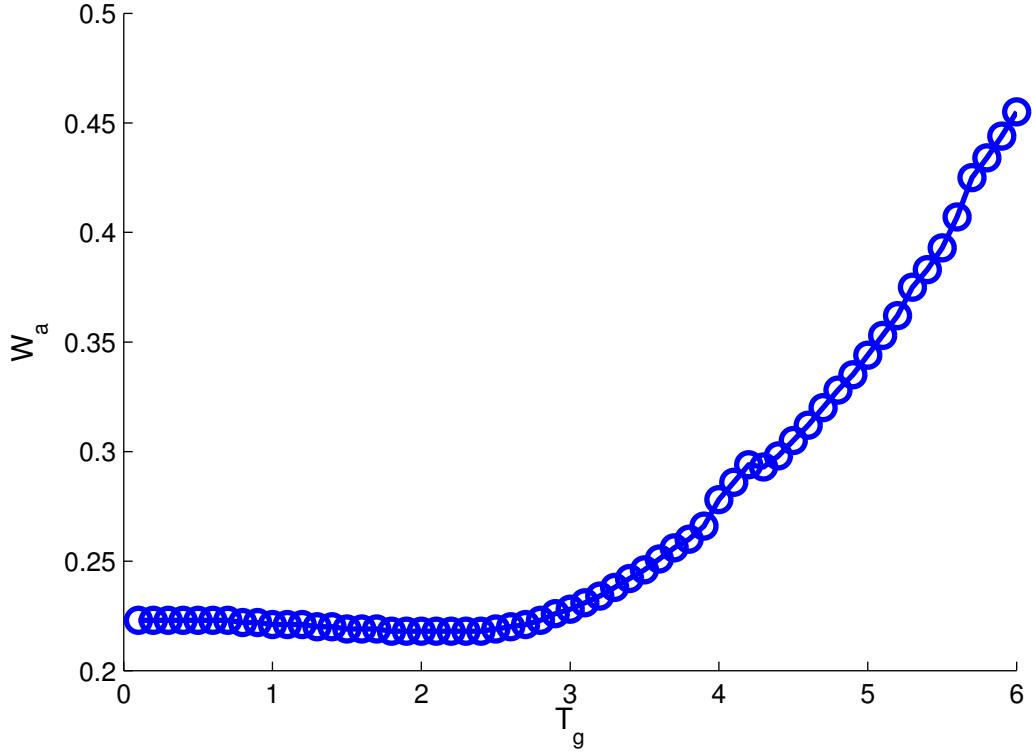


Figure 2.11. Influence of gust duration on the minimum gust amplitude for vertical gusts

Interestingly shorter gusts require less wind amplitude to execute the neutral energy loop than the long period ones. This indicates that most of the lost energy is due to the non-conservative drag force and not due to the time spent in unfavorable or favorable wind conditions. However the actual minimum gust amplitude required for neutral energy flight has a minimum for  $2.5T$  long vertical gusts.

In figure 2.12 no minimum is found. This seems to reinforce the idea that the losses are mainly due to the energy dissipated by the drag, since in this case the drag influence is mitigated by the horizontal component of the combined gust.

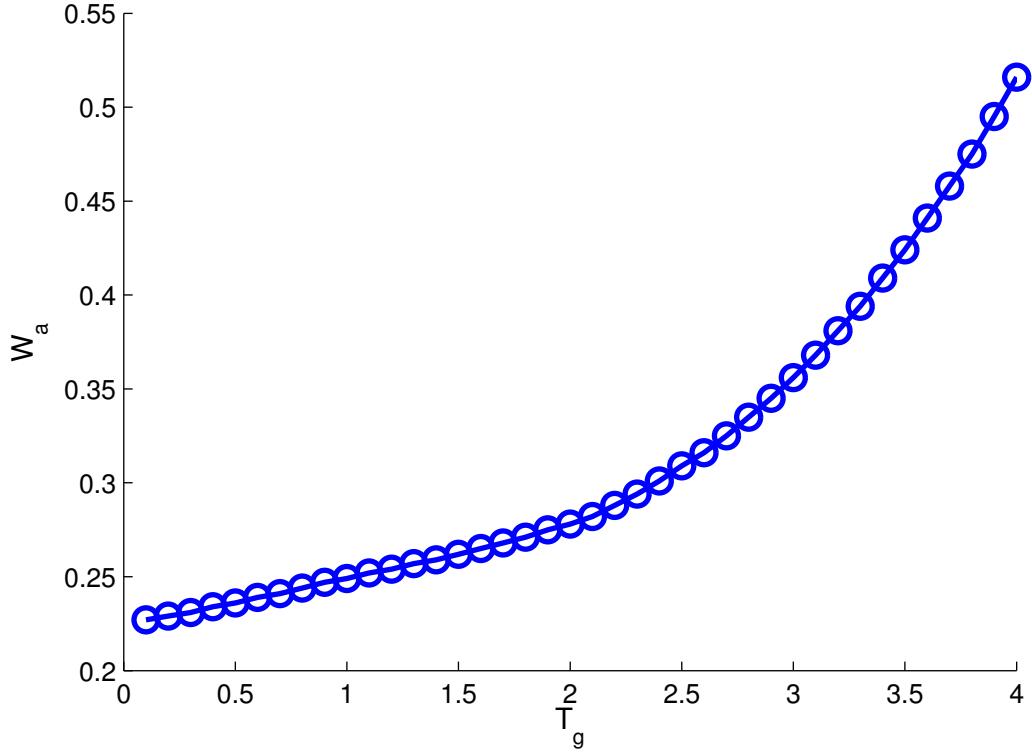


Figure 2.12. Influence of gust duration on the minimum gust amplitude for combined gusts

To understand the difference between the short gusts ( $T_g \leq 3$ ) and the long gusts ( $T_g \geq 3$ ) a closer look at the profile of the angle of attack over time is needed.

Figures 2.13 and 2.14 show the clear distinction between short and long gusts results. These profiles are representative of what happens left and right of the  $T_g = 3$  value.

For the longer duration gust the amplitude needed from the lift appears to be reduced. The algorithm minimizes the drag losses by flying close to either the maximum lift to drag ratio ( $\alpha = 3.5^\circ$ ) or the minimum drag point at the zero angle of attack point. Only a short period of negative lift is needed.

Shorter gusts need more amplitude to achieve the energy neutral loop. The angle of attack saturates at around  $6^\circ$  and its minimum also decreases. The value of

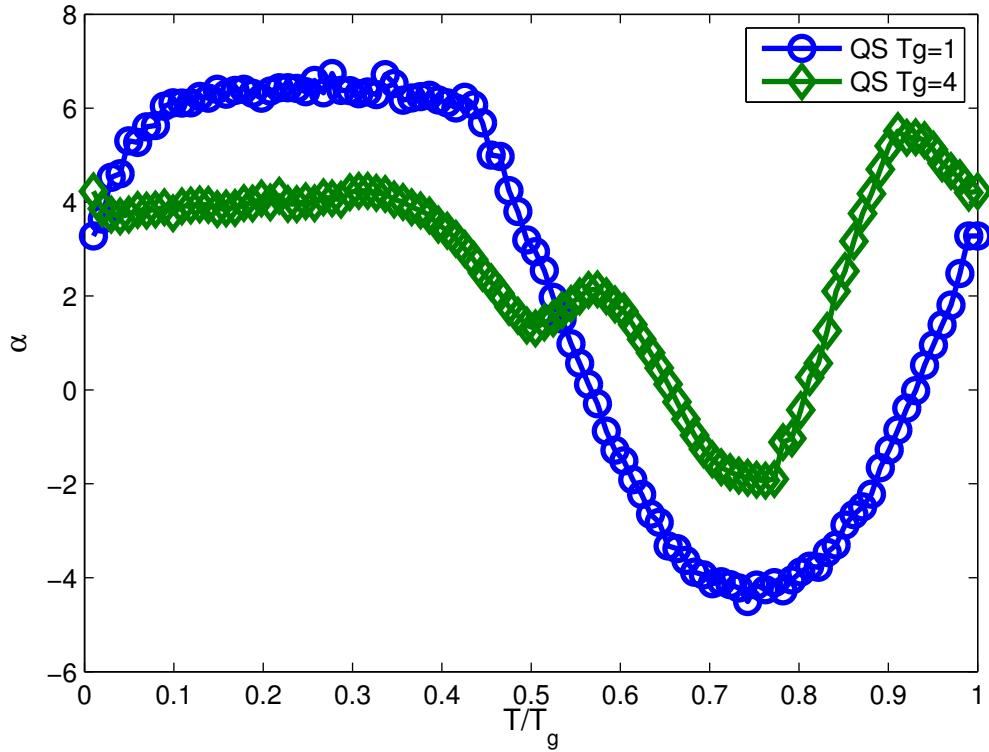


Figure 2.13. Difference between short and long gust angle of attack profile for vertical gusts

6 degrees corresponds to where the lift to drag ratio starts to really dip (see figure 2.4) so it is probable that the optimization routine deems these high drag regions to detrimental to the performances, even if the lift there is high.

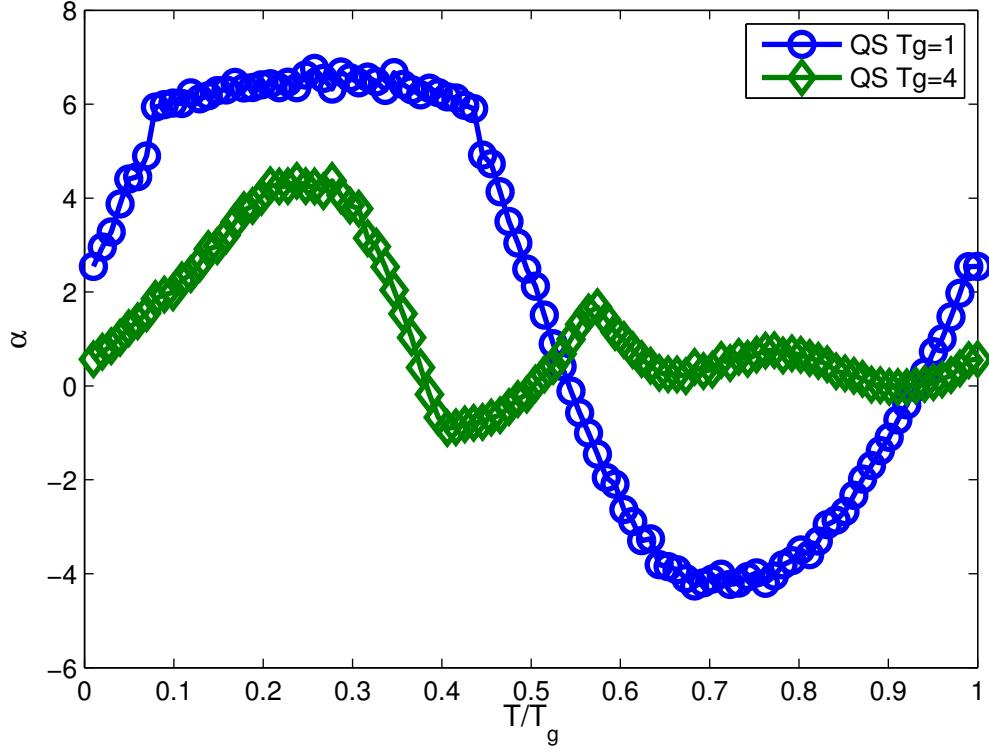


Figure 2.14. Difference between short and long gust angle of attack profile for combined gusts

**2.3.4 Influence of phase variation in the combined gust case.** For combined vertical and horizontal gusts another parameter can be changed. So far the phase between the two components of the gust has been constant.

For this we define the phase  $\phi$  as:

$$W_g = W_a \cos(2\pi T) \quad (2.23)$$

$$U_g = W_a \sin(2\pi T + \phi)$$

Simulations are performed each 30 degrees steps with the following results.

This shows that the performances differ depending on the actual gust shape.  $\varphi = 120^\circ$  is the best case scenario, where the gusts amplitude required to perform

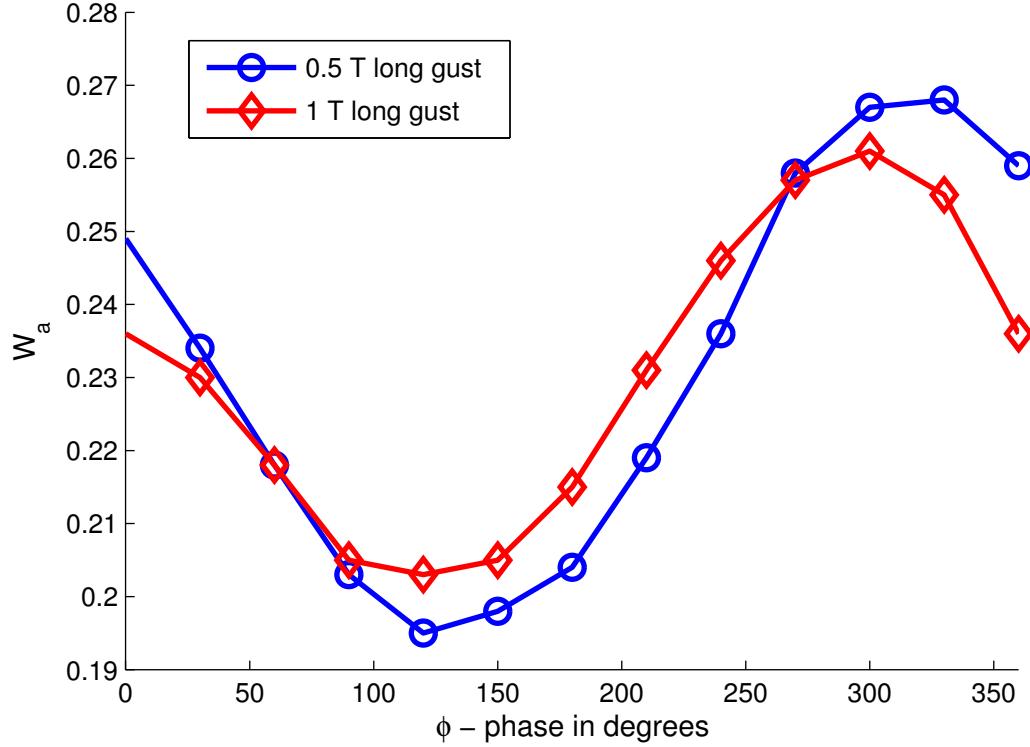


Figure 2.15. Influence of the phase between the components of the combined gust

the neutral energy loop is the smallest, while  $\varphi = 300^\circ$  requires significantly higher amplitude gusts.

Furthermore We can see that the results are different for different gust durations. One possible explanation is that at some point the inertia of the aircraft is too great and it starts to act as a low pass filter, introducing some phase shift in the trajectory.

**2.3.5 Effects of the maximum angle of attack allowed.** The previous results show that for shorter gusts, higher values of the angle of attack must be reached. The issue is that higher angles of attack can get close to a region where the flow is separated. To make sure no separation happens a new linear constraint is put on the angle of attack. The angle is limited to a range of  $\pm 5^\circ$ , in the linear part of the lift

curve.

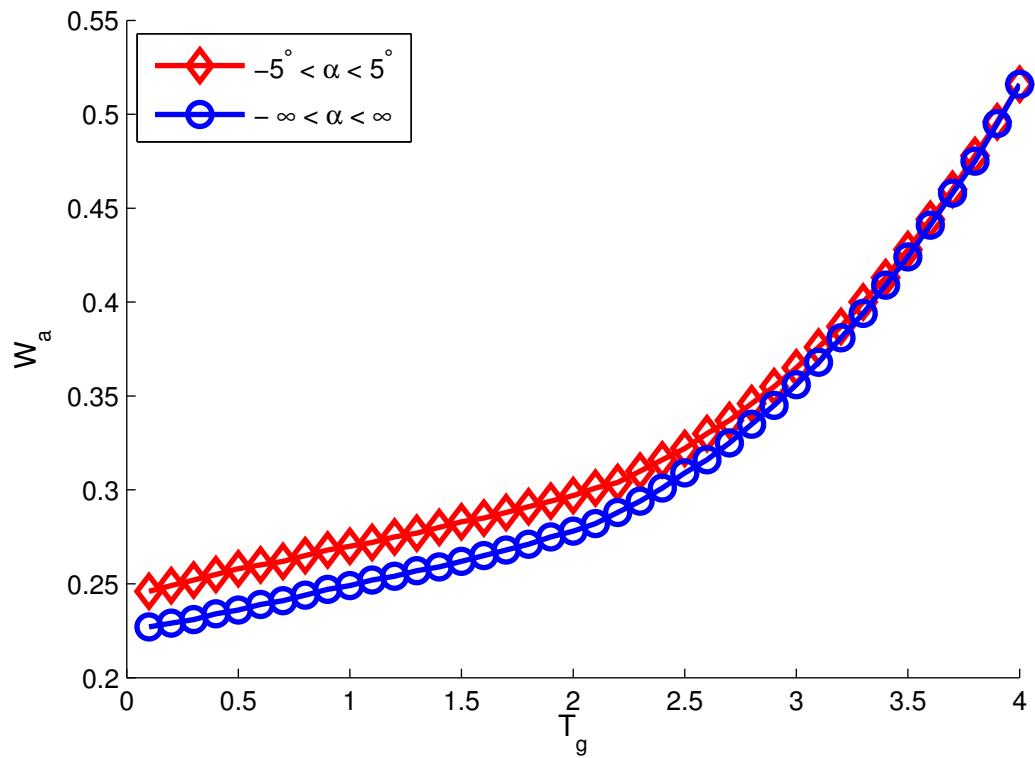


Figure 2.16. Difference in performance for combined wind gusts if no high angle of attack are allowed

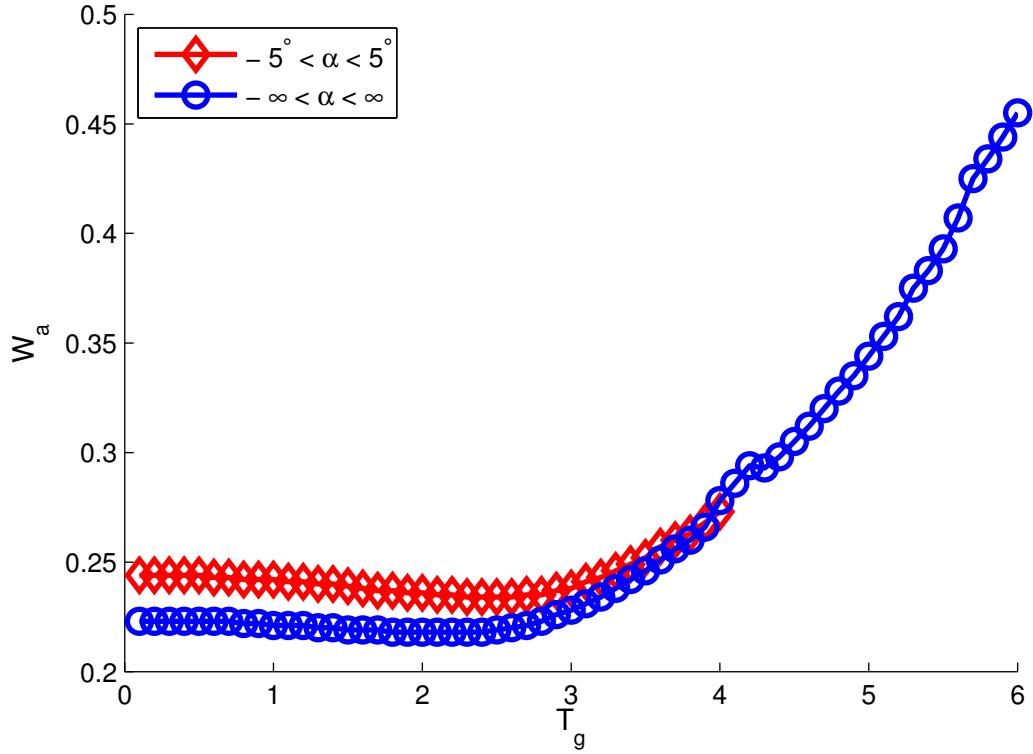


Figure 2.17. Difference in performance for vertical wind gusts if no high angle of attack are allowed

The results shown in figures 2.16 and 2.17 confirm the previous analysis of the difference between short and long period gusts. There is no difference in the longer gusts region because, as seen before the optimization results in no angle of attack bigger than  $4^\circ$ . The difference is that for shorter gusts duration, the more violent maneuvers are not available anymore. It can be seen on figure 2.18 that the angle of attack comes right against the limit of 5 degrees.

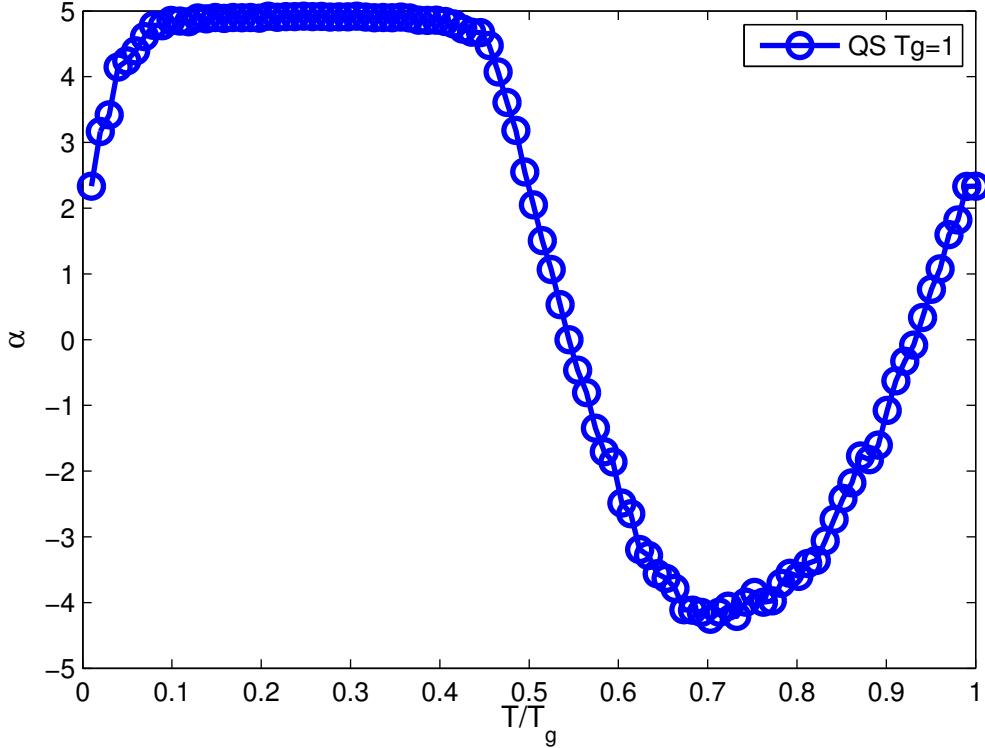


Figure 2.18. Result of limiting the angle of attack to  $5^\circ$  for  $T_g = 1$  in a combined gust

**2.3.6 Additional remarks.** Analyzing each of the solutions found, and notably the non optimal ones, it can be seen, as noticed by Lissaman, that the exact shape of the lift input isn't really important. In his paper he approximated the input with a simple sinusoidal curve with amplitude and phase control, similar inputs in this simulation have produced minimum gust amplitudes similar to the previous results. This raises the hope that even very basic controllers should be able to improve UAV endurance.

While these results are supposed to be the optimal solution, it does not mean a controller can achieve such performances. Even if the trajectory and lift curves are physically possible, the optimization algorithm assumes a known gust shape and optimizes all of the time points at once. This means that contrary to a real controller the optimized trajectory can anticipate the wind change and preemptively react. Even

if the wind gusts were perfectly sinusoidal, it would not be able to anticipate since a controller is causal. Finally in a real life scenario the wind would of course not be a simple clean sinusoidal gust.

The simulation is also limited by its inability to account for the moment of inertia along the pitch axis. Fast pitching as seen for shorter gusts would require a huge amount of pitch authority.

Even with all this limitations, the results provide new insight into what would be needed implement energy extraction trajectories in UAVs.

## CHAPTER 3

### MODELLING OF THE LIFT COEFFICIENT UNDER UNSTEADY PITCHING MOTION

#### 3.1 The Goman and Khrabrov model

**3.1.1 Motivation.** In their 1994 paper entitled “State-Space Representation of Aerodynamic Characteristics of an Aircraft at High Angle of Attack” [6] Goman and Khrabrov introduce a new model for characterizing the lift and moment coefficients for slender delta wings. Their goal was to study the stability of delta wing fighter jets where maneuverability is important, and to link it to physical fluid dynamic phenomena such as vortex breakdown or flow separation.

The classical stability analysis method relies on a Taylor series expansion of the aerodynamic coefficients. This linear representation is relatively accurate for fully attached flows but the model breaks down at higher angles of attack when separation occurs. In the semi-separated region the aerodynamic effects are mainly driven by the degree of flow separation happening on the wing. For this reason they chose to define  $C_l$  as a function of  $\alpha$ , the angle of attack, and a state variable  $x$  representing the degree of separation. This degree of separation can be defined as the position of the vortex breakdown point if you are looking at delta wings, or the position of the reattachment point in the case of 2D airfoils. This allows for a model tightly defined by the physics of the flow.

**3.1.2 Flow physics and state variables.** Since this study was performed with a 2D NACA0009 airfoil, we define the state variable  $x$  as the position of the reattachment point. Its value changes from 1 when it is situated at the leading edge to 0 when it gets to the trailing edge and beyond. For quasi-steady cases the separation point is a function of the angle of attack. If we define  $x_0$  as the separation point position in a quasi-steady situation then

$$C_l^{qs} = f(\alpha, x_0(\alpha)) \quad (3.1)$$

The unsteady part of the flow physics can be divided into two groups of phenomena.

The first are the effects of the pitch rate,  $\dot{\alpha}$ , on the position of the separation point. Goman and Khrabrov argue that this is roughly proportional to the pitch rate  $\dot{\alpha}$ , and as such, they can be included by modifying the quasi-steady state value by using  $x_0(\alpha - \tau_2 \dot{\alpha})$

The second phenomenon is due to the dynamics of the separated flow. The flow has a certain relaxation characteristic under a disturbance input. This can be modeled using a first order differential equation.

$$\tau_1 \frac{dx}{dt} + x = x_0(\alpha - \tau_2 \dot{\alpha}) \quad (3.2)$$

This model will be tested with experimental data.

### 3.2 Experimental Setup

**3.2.1 Equipment and facilities.** The experimental part of this research was performed in the Andrew Fejer Unsteady Wind Tunnel at the Illinois Institute of Technology, Chicago. This is a low velocity wind tunnel with a 60cm by 60cm test section. The wind tunnel is mainly used for unsteady aerodynamic studies. Airfoils are mounted on a motorized sting outfitted with two linear electric servo-motors. These servos are powered by an amplifier with a integrated PID system and driven by an analog voltage input signal proportional to the desired position.

As seen on figure 3.1 combining the motion of the front and back servo allows

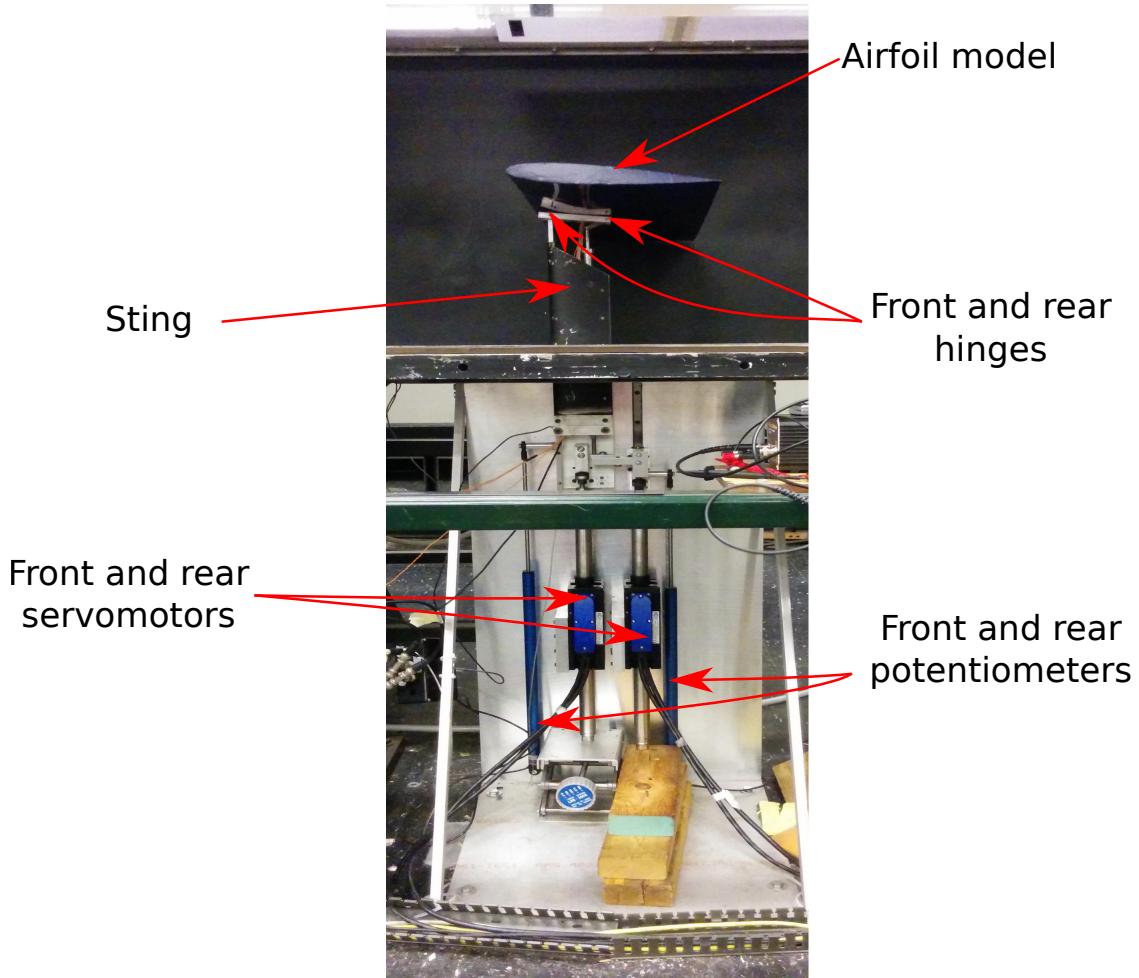


Figure 3.1. Airfoil model inside the wind tunnel

for the wing to be plunged as well as pitched around a range of axes. The tunnel is also equipped with a system of shutters that can be used to create wind gusts. However this feature will not be used in this project.

The input signal for the servos is made with Simulink® and fed through D-Space® to produce a control voltage for the servo tubes.

Several sensors are used for data acquisition. A pair of linear potentiometers measures the position of the servos in order to determine the airfoil pitch angle. The flow speed is measured via a Pitot tube and pressure transducer plugged into a

acquisition box. In parallel to this acquisition system the forces exerted on the airfoil can be measured. A piezoelectric ATI Nano17 force balance is located between the sting and the airfoil. This sensor measures both absolute forces and moments along 3 different axis.

The wing is made out of balsa wood with the structure wrapped in monocote, a heat-shrunk plastic film. Its chord length is 245 mm and its width 560 mm with a NACA0009 profile. It connects to the force balance at a point at 25 percent of the chord. The design was made to keep the weight and moment of inertia as small as possible to minimize the inertial effects when the wing is moving.

**3.2.2 Experimental procedure and data processing.** Different pitch input amplitudes have been tried. There was some concern that if the pitching axis wasn't on the axis symmetry, at the quarter chord of the airfoil, additional aerodynamic phenomenon would affect the data. After testing different pitching input that placed the rotation axis either at the top of the front servo, at the top of the force balance or at the top of the back servo, it was determined that the optimal way to drive the pitching mechanism was to move only the back servo. Other input methods induced too much mechanical vibrations.

The amplifier driving the electric servos has its own PID control system, however even after careful tuning some error exists between the commanded angle of attack and the actual angle of attack. To negate that effect the actual servo position, as given by the potentiometers, is used for our measurements. This data is used to transform the normal and tangent force into lift and drag (via a simple rotation matrix). They are then normalized (divided by  $\frac{1}{2}\rho Su^2$ , with  $S$  the surface area of the wing,  $\rho$  the air density and  $u$  the free stream velocity) to get the aerodynamics coefficients.

Unless specified otherwise, all the acquisitions have been done at a flow speed of 3m/s which correspond to a chord based Reynolds number of 50000.

For each experimental case the force balance as well as the servo position and a synchronization signal are simultaneously acquired. A first offset with the tunnel off and the wing pitching is taken to let us get the force balance offset as well as record the inertial effects. Even though the wing only weighs around 300 grams, these inertial effects represent the majority of the forces measured by the force balance. Moreover some of the force measured come from the springiness of the cables used for the active flow control part. After the first offset the real case is taken, followed by a second offset to account for the drift in the force balance measurement sometime seen over the course of several minutes.

During each acquisitions at least 50 cycles are recorded. This allows us to perform phase averaging. Phase averaging is done by slicing the files into individual cycles (thank to the synchronization signal) and then making an average of these cycles. With this technique the signal to noise ratio of greatly improved. Once this has been done with the two offsets and the proper acquisition itself, aerodynamic forces are obtained by subtracting the offsets. All processing is done with Matlab®.

The servo actuation system has a small but noticeable dead band as well as a delay between the input and output. This makes the actual pitching motion slightly different from the input. To account for the dead band the actual measured pitch angle is used as an input of the GK model when we want to compare its prediction with the experimental data.

Finally the GK model itself is also implemented in Matlab. The code can be seen in Appendix A.

### 3.3 Adapting the GK model to the NACA0009

**3.3.1 Steady lift and stalling behavior.** With the basics of the GK model defined, the goal is now to adapt it to our objectives. If this model is to be used for optimization purposes the drag also needs to be calculated. The original model defined by Goman and Khrabrov included the lift and pitching moment coefficients. Similar to their model the assumption is made that the lift and drag coefficients share the same state variable. As such we define  $f$  and  $g$  as

$$\begin{aligned} C_l &= f(\alpha, x) \\ C_d &= g(\alpha, x) \end{aligned} \tag{3.3}$$

The other difference with their case study is that we are considering a 2D airfoil whereas they modeled a 3D delta wing. This means that we can not reuse the same lift function  $f$  as the original paper.

In order to get an accurate equation for the lift and drag, a quasi-steady map of the lift and drag coefficients is made. This map is done by very slowly (0.1 degree per seconds) pitching the wing between -5 and 25 degrees. The free stream speed has to be corrected to account for the flow slowing down during the higher blockage ratio at high angle of attack.

Figure 3.2 shows how the aerodynamics behave for our NACA0009 airfoil. The lift coefficient is close to a clean linear function when the flow is attached. The separation begins around 8 degrees, and the lift coefficient remains constant in the 10 to 20 degrees zone when the flow is partially separated. At higher angle of attack the flow is totally separated and  $C_l$  is once again proportional to  $\alpha$  but with a different slope this time. Even though the NACA0009 has a symmetric profile, the measured lift coefficient for a angle of attack of zero is not null. It is suspected that the sting onto which the airfoil is fixed may disturb the flow and cause this asymmetry. Moreover

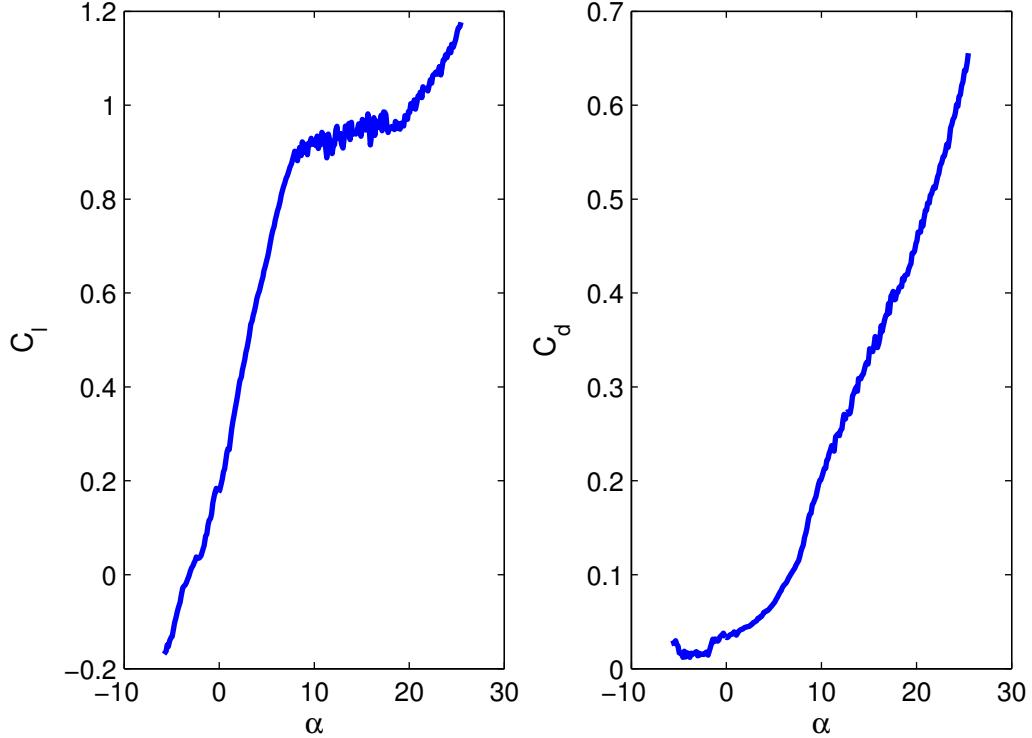


Figure 3.2. Lift and drag coefficient in the quasi-steady case

this curve differs slightly from the ones found in the literature. Once again this can be attributed to the experimental setup. Other than the sting effects the couple of millimeters of clearance between the wall of the wind tunnel and the edge of the airfoil are probably to blame as they induce some 3D effects. These gaps are necessary to allow for the both pitching and plunging of the wing.

From the static map we can approximate the part where the flow is still attached ( $|8$  degrees) by

$$\begin{aligned} C_l &= 2\pi \cdot \alpha + C_{l0} \\ C_d &= \frac{C_l^2}{2G_{max}} + C_{d0} \end{aligned} \tag{3.4}$$

Which is remarkably close to the classical theoretical result for a 2D airfoils

in a ideal inviscid attached flow.

**3.3.2 State variable approximation.** When the flow is still attached the value of  $x$  is 1, which means that we are considering the separation point to be at the trailing edge. Similarly when the flow is totally separated the separation point is at the leading edge and  $x = 0$ . Since for totally separated flow the slop of the lift coefficient as a function of  $\alpha$  can be approximated to about 0.4 of the slope for the attached flow, we choose to use the following equation for the lift over the whole range of angle of attack.

$$C_l(\alpha, x) = 2\pi \cdot \alpha(0.6x + 0.4) + C_{l0} \quad (3.5)$$

By inverting this equation the value of  $x_0$  can then be adjusted so that the output of this function matches the experimental data. The resulting profile for  $x_0(\alpha)$  can be seen in figure 3.3

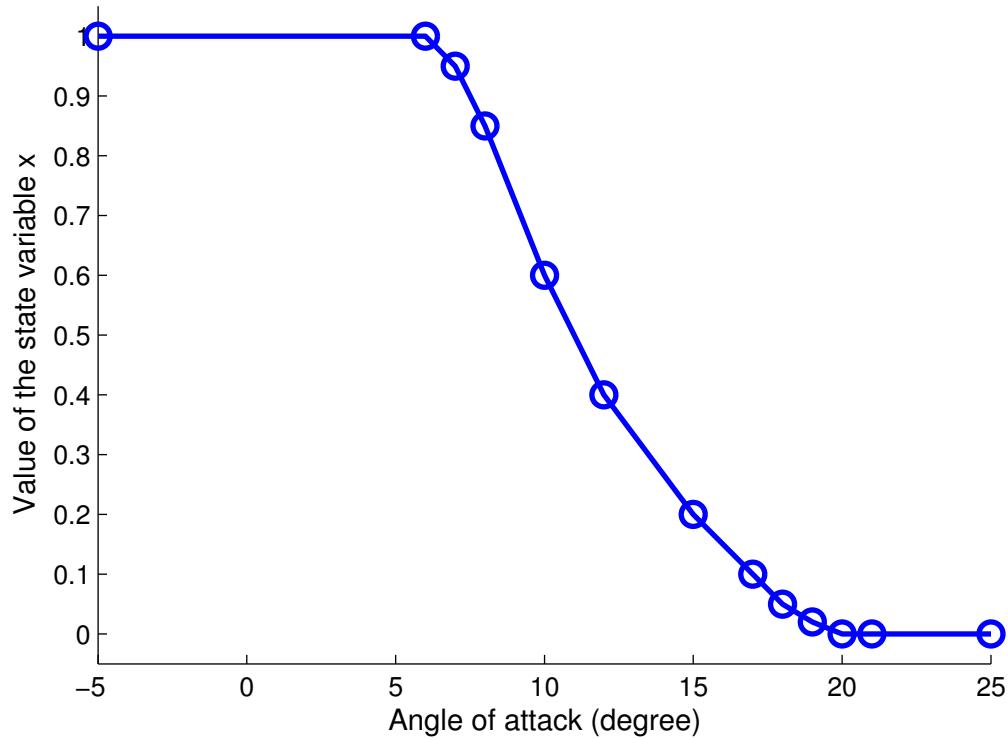


Figure 3.3. Quasi-steady profile for the state variable  $x$

With this profile we get a good approximation of the experimental  $C_l(\alpha)$  (cf figure 3.4) for quasi steady cases.

The assumption that the drag shares the same state variable as the lift is confirmed when the following equation produces similarly accurate results compared to experimental data, as seen on figure 3.5.

$$C_d(\alpha, x) = \frac{((2 - x) C_l)^2}{2G_{max}} + C_d 0 \quad (3.6)$$

The  $2\pi\alpha$  slope is a good first approximation of lift coefficient slope. However for a real airfoil the slope is usually less than this theoretical value. To correct this a better coefficient can be found by averaging the slope of  $C_l$  between 0 and 5 degrees of angle of attack. Then the slope for angles of attack larger than 20 degrees must

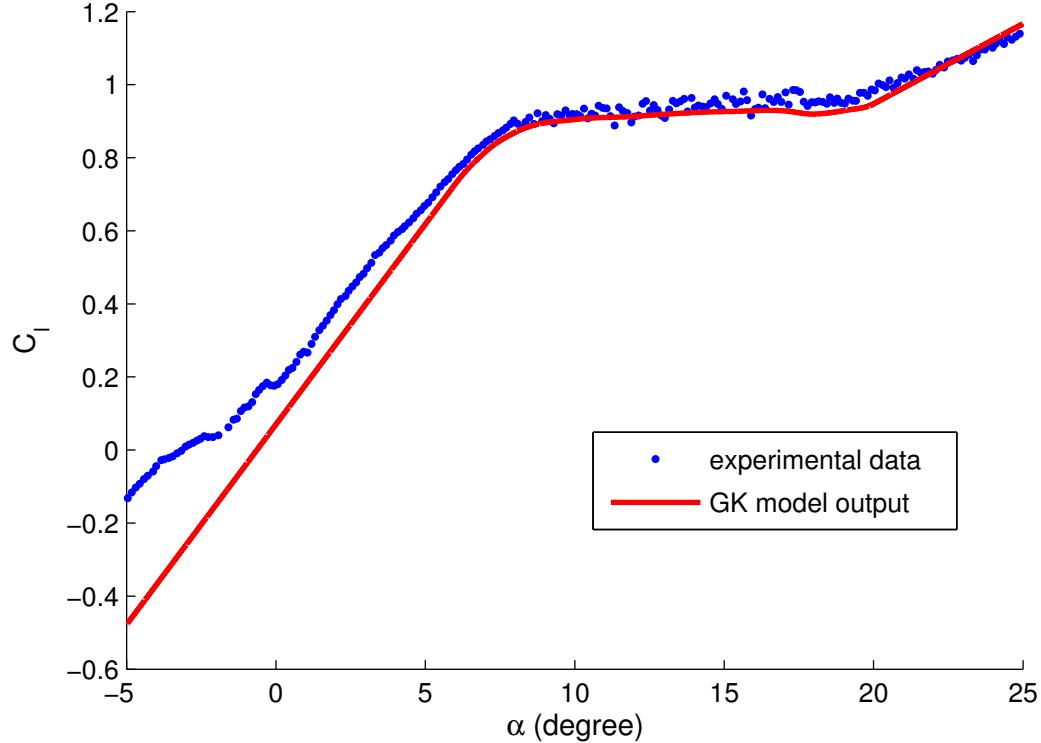


Figure 3.4. Comparison between the experimental and model quasi-steady lift

be taken into account to make sure the value of the state variable will converge to 0. From there the same procedure of inverting the lift coefficient function can be used to get the quasi-steady  $x$  curve.

These two relatively simple equations show that a physics-based GK model can be implemented for both lift and drag, and that they indeed depend on the same state variable. The two time constants  $\tau_1$  and  $\tau_2$  will be determined in the next section when the wing undergoes unsteady pitching.

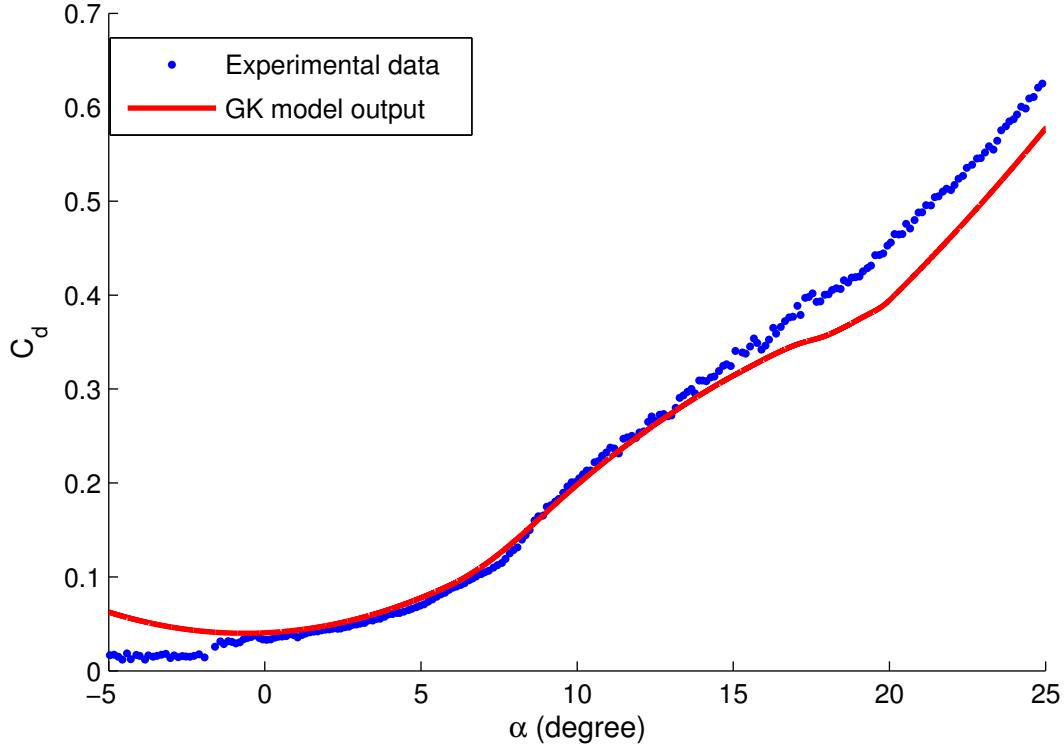


Figure 3.5. Comparison between the experimental and model quasi-steady drag

**3.3.3 Correlation between the state variable and the pressure surface.** In their paper Goman and Khrabrov [6] mention that the state variable should reflect the position of the separation point, but they show little evidence of this theory. To check this assumption a wing model fitted with pressure sensors is used to map the position of the separation point for the quasi-steady map.

This airfoil has an array of 6 sensors situated on the top surface. One additional sensor is fitted on the bottom of the wind tunnel to get the static pressure of the free stream. These sensors measure the differential pressure between the measuring point and the lab pressure.

As seen in figure 3.6 the identification of the separation point isn't obvious. There is clearly some sort jumps in the pressure that we can see creeping up from

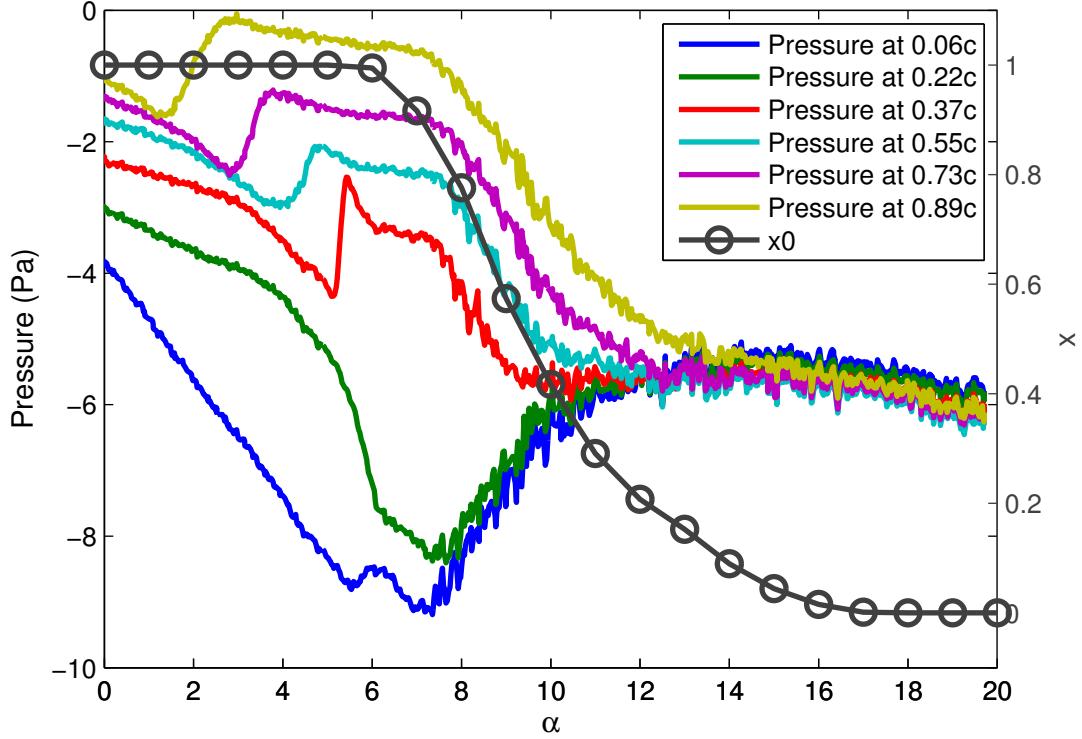


Figure 3.6. Pressure relative to the position on the wing for the quasi-steady map

the trailing edge of the airfoil. However this jump happens at angles where the lift coefficient is still linear with respect to the angle of attack.

For higher angles of attack the pressure data is even harder to interpret. Something happens around 8 to 10 degrees for the pressure close to the leading edge but it is hard to correlate it to any feature on the state function.

From these results it is clear that inferring  $x$  from the pressure would require a more in depth analysis of the pressure distribution on the airfoil. Some work has been and is still being conducted on linking POD decomposition of the pressure to the lift forces but so far no definitive results seems to emerge.

### 3.4 Model validation

**3.4.1 Time constants.** While the ability to predict lift and drag based on

separation can be useful, the real strength of the GK model resides in its ability to match the unsteady cases. The first step is to determine the two time constants  $\tau_1$  and  $\tau_2$ . To do that a series of pitching cases are performed at different reduced frequency  $k$ .  $k$  is defined as

$$k = \frac{\pi c f}{u} \quad (3.7)$$

With  $f$  the frequency of the oscillation.

The pitching inputs are the following

$$\alpha(t) = A \sin\left(2\pi \frac{t}{f}\right) + \alpha_0 \quad (3.8)$$

With  $A = 2^\circ$  and  $\alpha_0 = 12^\circ$ . The frequency  $f$  is set to 0.25, 0.5, 1 and 2 Hz (respectively  $k$  0.064, 0.128, 0.257 and 0.513)

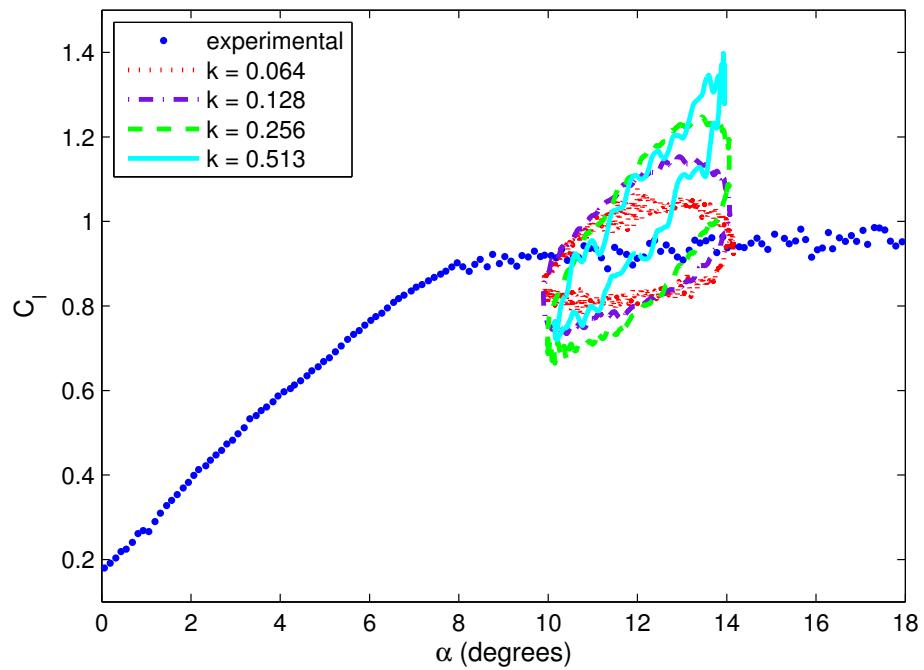


Figure 3.7. Unsteady effects on the lift of sinusoidal pitching around 12 degree

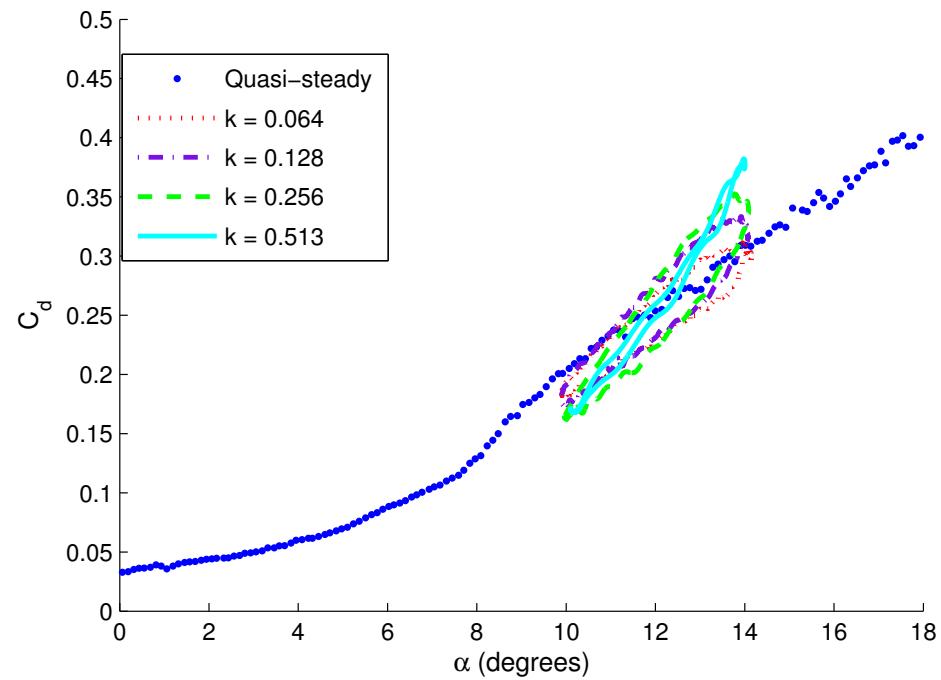


Figure 3.8. Unsteady effects on the drag of sinusoidal pitching around 12 degree

On these figures it is easy to notice the influence of the time delays on the aerodynamic coefficients. At the lower frequencies the loops are quite open and a significant difference exists between the lift obtained during the pitch up and the pitch down phase. The lift values circulate on these loops, rotating in an anti-clockwise direction. This means that the lift is higher during pitch down maneuver. In contrast this behavior disappears at higher frequencies. For  $k$  values of 0.257 and 0.513 the difference between the pitch up and pitch down is lower. However the lift variation amplitude is more pronounced in those cases.

Before using the GK model as a predictive tool, the time constants need to be found. This is done by trial and error. The two time constants are determined manually, and are tuned to produce the best results at the different frequencies tested.  $\tau_1$  is found to be equal to  $3.1 t + (0.25s)$  and  $\tau_2$  is  $4.29 t + (0.35s)$ .

The reason for the difference in behavior between the high and low frequencies is that at low frequencies the flow has the time to separate from the airfoil, this can be checked by the fact that state variable  $x$  is driven by the time constant  $\tau_1$ . The characteristic frequency corresponding to this time constant is about  $k = 1.02$ . For  $k$  values of 0.257 and up, the low order filter described by the state variable equation 3.2 starts to be more apparent. At higher frequencies the flow does not have the time to separate and the lift coefficient is mainly driven by the  $2\pi\alpha$  multiplier. This can be seen by overlaying a line with a  $2\pi\alpha$  slope on the results.

Theoretically the value of  $\tau_1$  could be found by analyzing the output of a small step input for the angle of attack. In this situation  $\dot{\alpha}$  at the time of the step is large but it doesn't last long enough affect the value of the state variable since the first order differential equation for  $x$  acts as a low pass filter. This means that for a small step  $C_l$  from 12 to 13 degrees the output lift looks like the following figure.

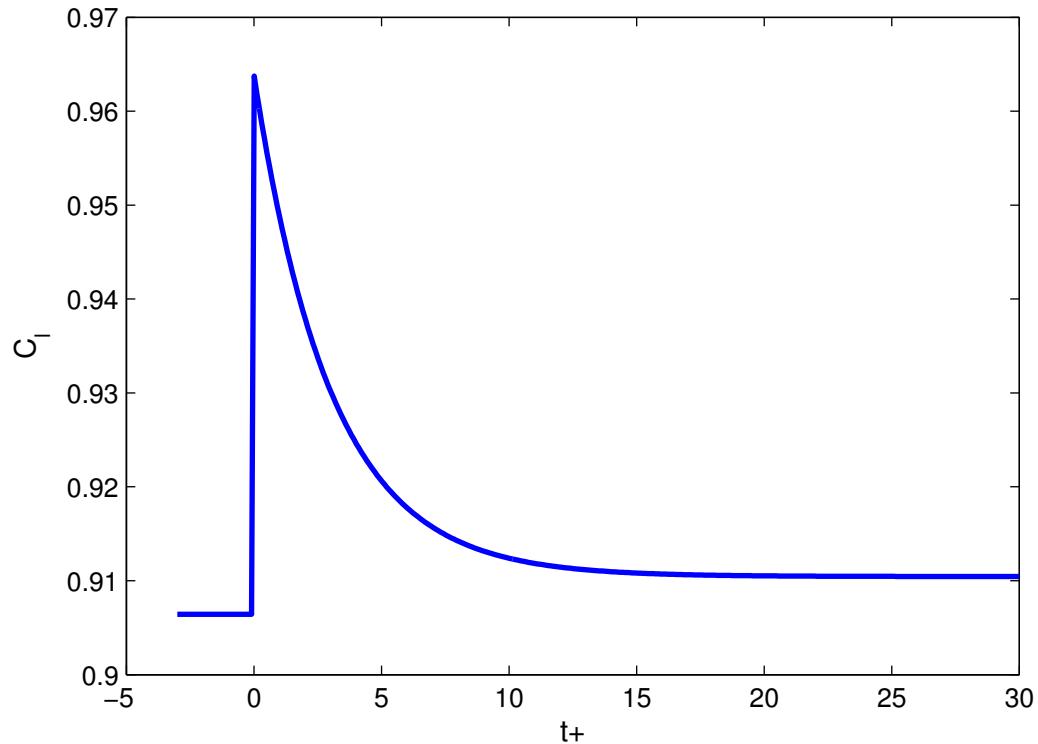


Figure 3.9. Cl behavior for a instantaneous step from 12 to 13 degrees at  $t+ = 0$ , as simulated by the GK model

The classical methods of measuring the time it take to reach 63% of the final value is used to find the time constant of this first order system. As you can see in the figure 3.10, the  $\tau_1$  constant found from the simulated step GK model output is close to the one used in the model.

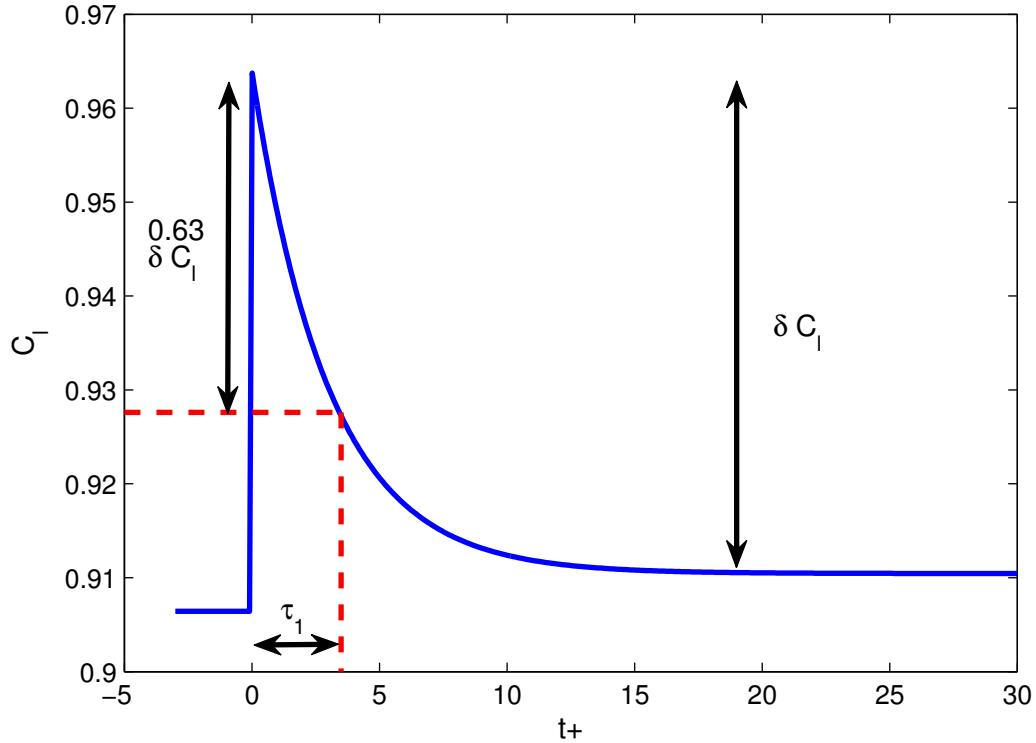


Figure 3.10. Identification of  $\tau_1 = 3.3t^+$  from the theoretical step response

While this method is fine in theory, it is impossible to implement experimentally. The force balance used to measure lift and drag is very fragile, so a fast step could be enough to break it. Furthermore any slower or even smoothed step input modifies the lift response enough to make the time constant identification impossible. While this method is unpractical for experimental cases it should be applicable in the case of CFD simulations.

**3.4.2 Model comparison at different frequencies mean angle and amplitudes.** Now that the model is complete its accuracy can be checked. The most obvious result is that the shape of the lift and drag versus angle of attack curves are similar to the experimental results.

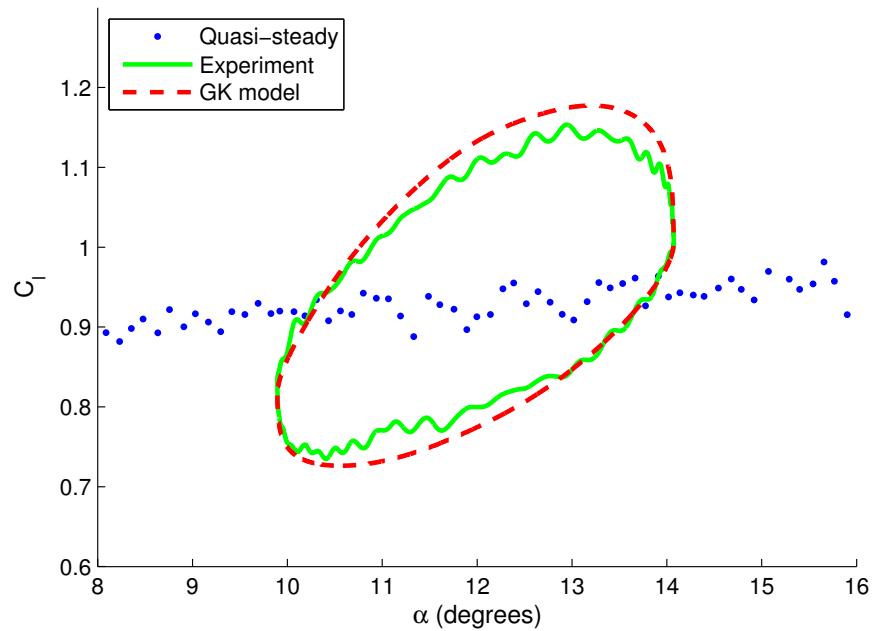


Figure 3.11. Comparison of experimental lift coefficient and model prediction after tuning of the time constant at  $k = 0.128$

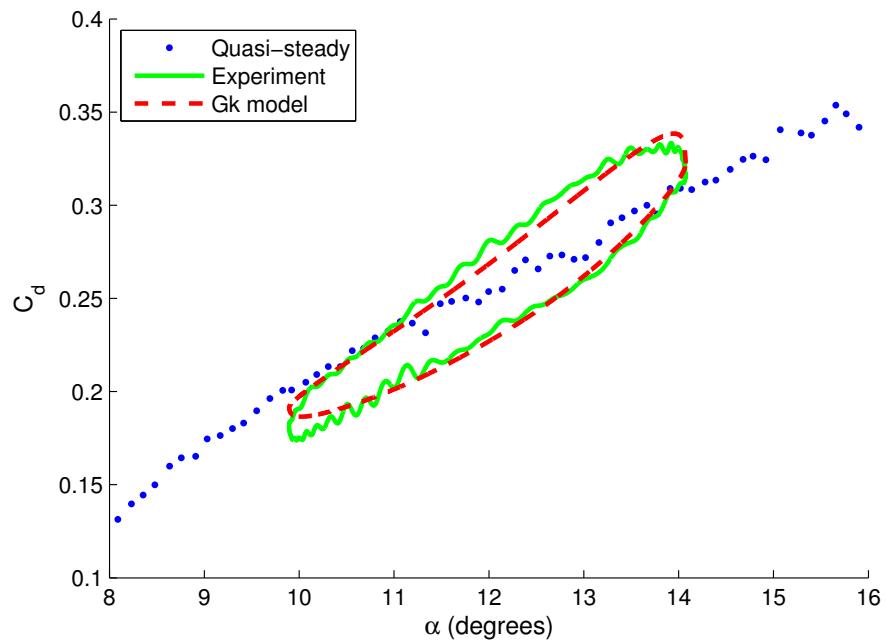


Figure 3.12. Comparison of experimental drag coefficient and model prediction after tuning of the time constant at  $k = 0.128$

This behavior can be checked for other frequencies as well.

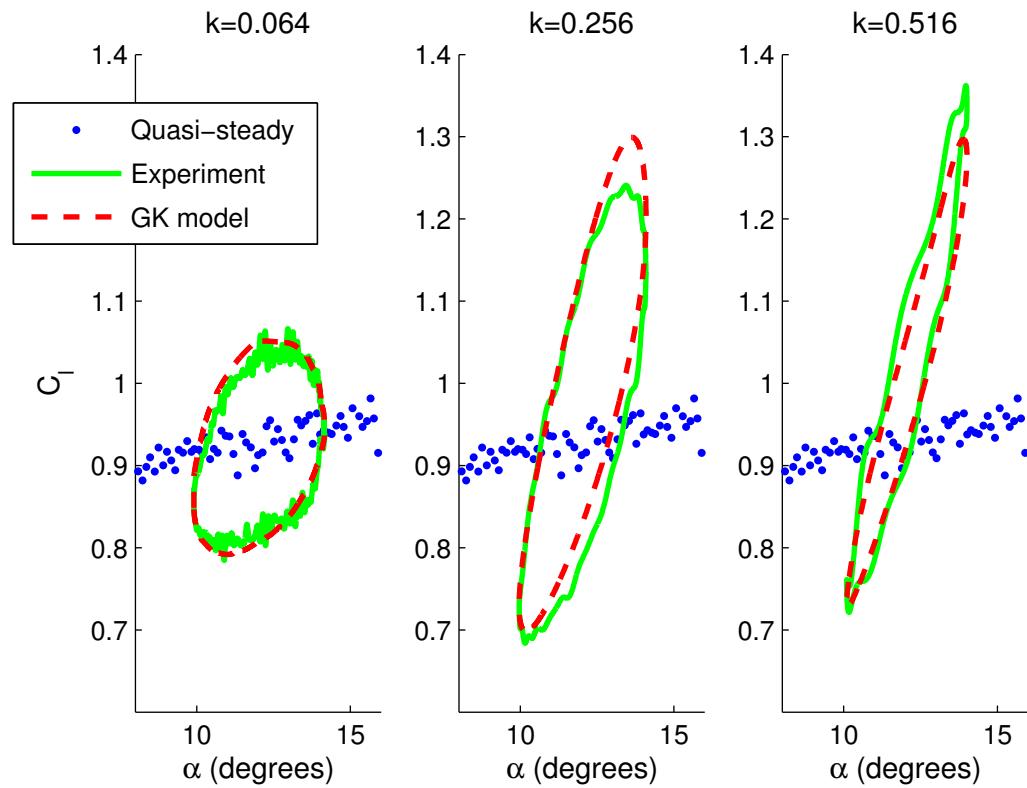


Figure 3.13. Lift measurement and prediction during sinusoidal pitching around 12 degree

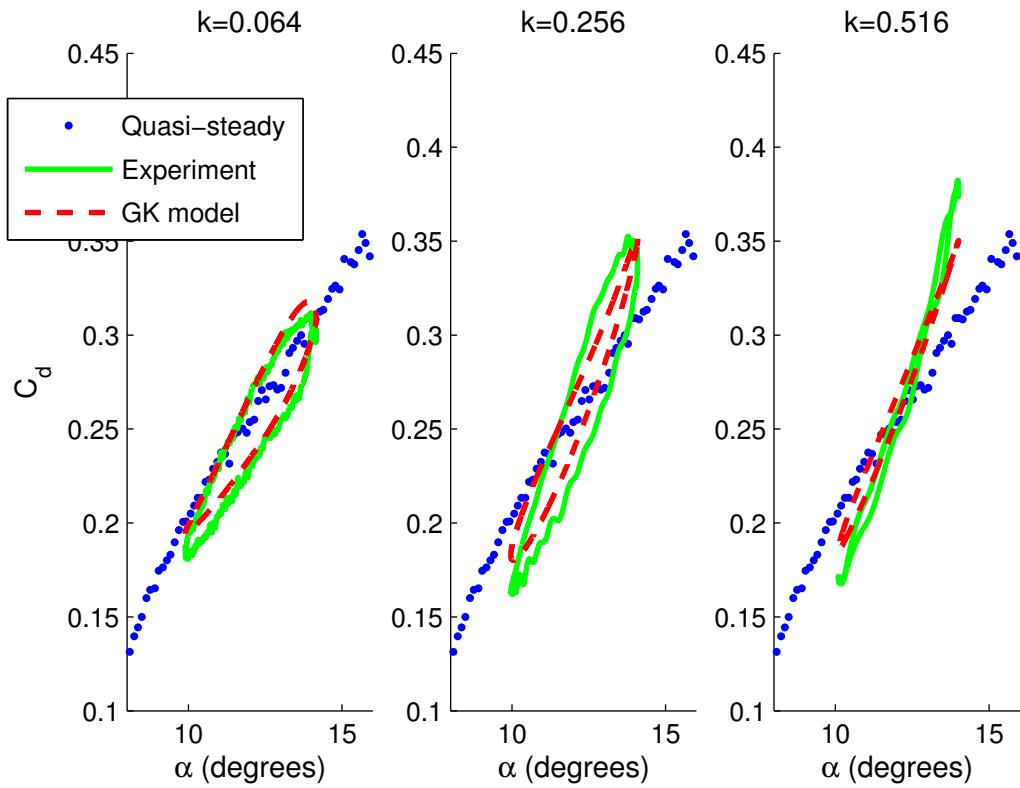


Figure 3.14. Drag measurement and prediction during sinusoidal pitching around 12 degree

Similarly another set of acquisitions is made at a mean angle of 10 degrees (see figures 3.15 and 3.16). For some unknown reason the model does not account very well for the behavior of the drag at this frequency.

The area around 8 degrees is interesting, because this is where the flow just starts to become separated. The transition between attached flow and separated flow means that the state variable  $x$  should not have any effect for the lower angles and as a consequence the loop seen in the  $C_l$  versus  $\alpha$  plots should be “pinched” on its left side. This can be further illustrated by plotting the value of the state variable during this kind of maneuvers, it is constrained by the saturation of this value at one. This kind of behavior is particularly apparent on high amplitude (tens of degrees) pitch maneuver as it is seen in the original Goman and Khrabrov paper. The behavior is

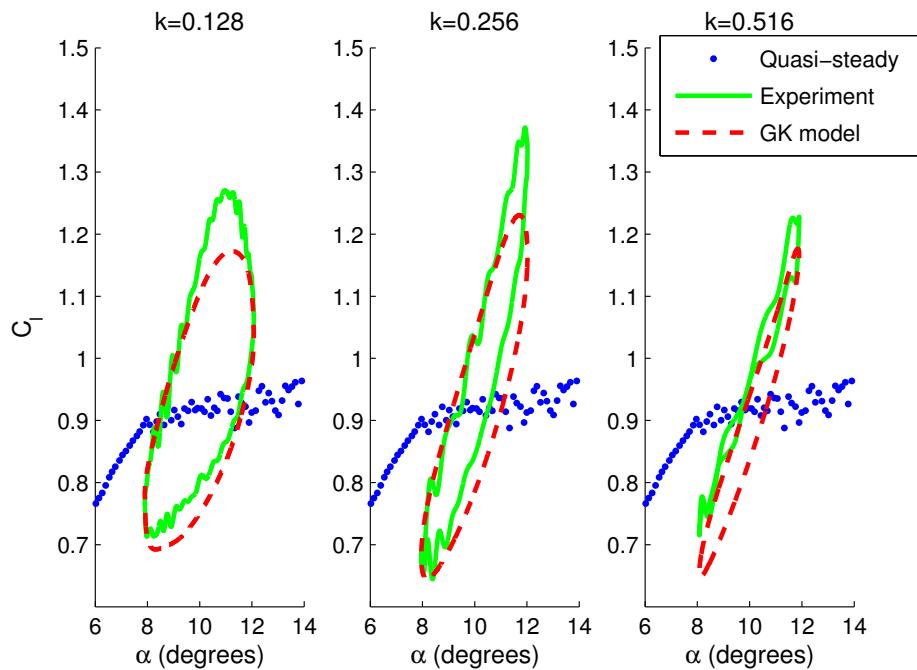


Figure 3.15. Lift measurement and prediction during sinusoidal pitching around 10 degree

comparable at  $k$  of 0.257 and 0.513 but the drag has a noticeably different shape at  $k$  of 0.128.

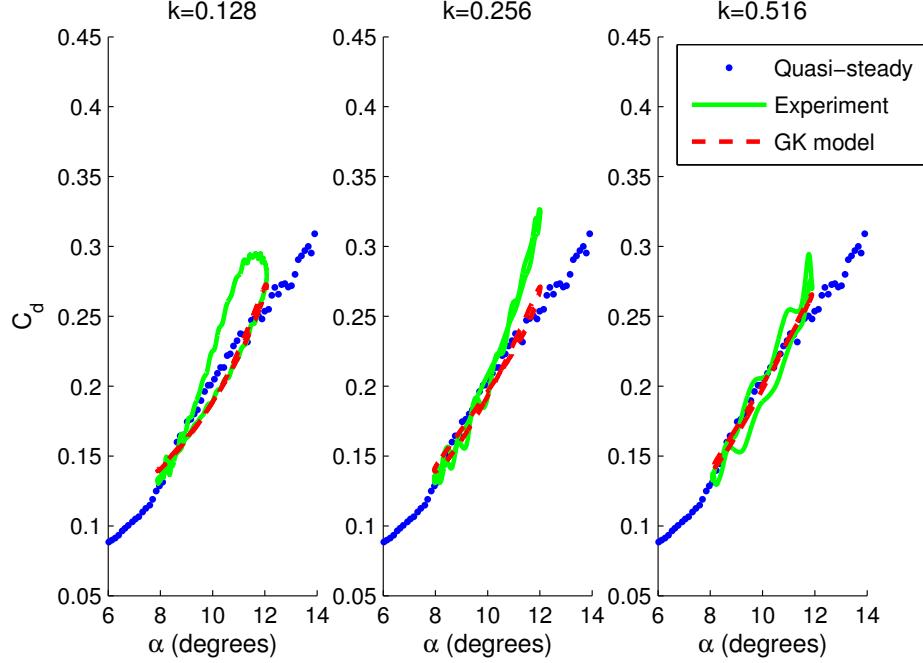


Figure 3.16. Drag measurement and prediction during sinusoidal pitching around 10 degree

**3.4.3 Non-periodic pitch input.** To simulate a more realistic pitch profile, a pseudo-random pitch profile is designed. The input is constructed as seen in equation 3.9 with a randomized phase difference  $\varphi_i$  between each of the harmonic components.

$$\alpha_{random} = \frac{\sum_{i=1}^{10} \sin\left(\frac{2\pi t}{f_i} + \varphi_i\right)}{B} + \alpha_0 \quad (3.9)$$

The frequencies regularly spaced between 0.25 and 2 Hz and the constant  $B$  is chosen to make sure the maximum deviation from the  $\alpha_0$  value is no more than 2 degrees. This is done so that the bandwidth of the input signal is limited to reasonable levels and to keep the force balance safe.

The accuracy of these results means that this model could be used in real time during arbitrary pitching maneuvers. This is potentially very useful since a lot of systems rely on the periodicity of the pitching motion to predict the lift and can

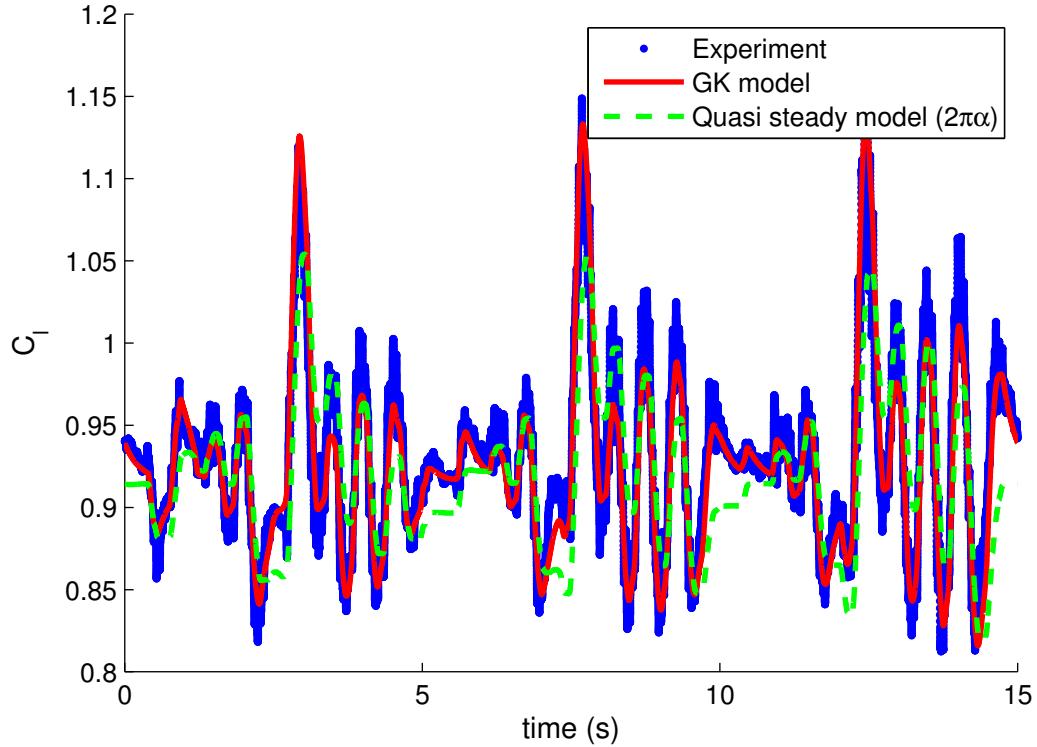


Figure 3.17. Unsteady effects of random pitching on the lift

be inaccurate in case of non periodic disturbances such as wind gusts changing the effective angle of attack.

This model is producing accurate results that account for both the dynamic effects and the flow separation. Moreover the procedure is simple enough to be implemented into the optimization algorithm without increasing too much the computation cost.

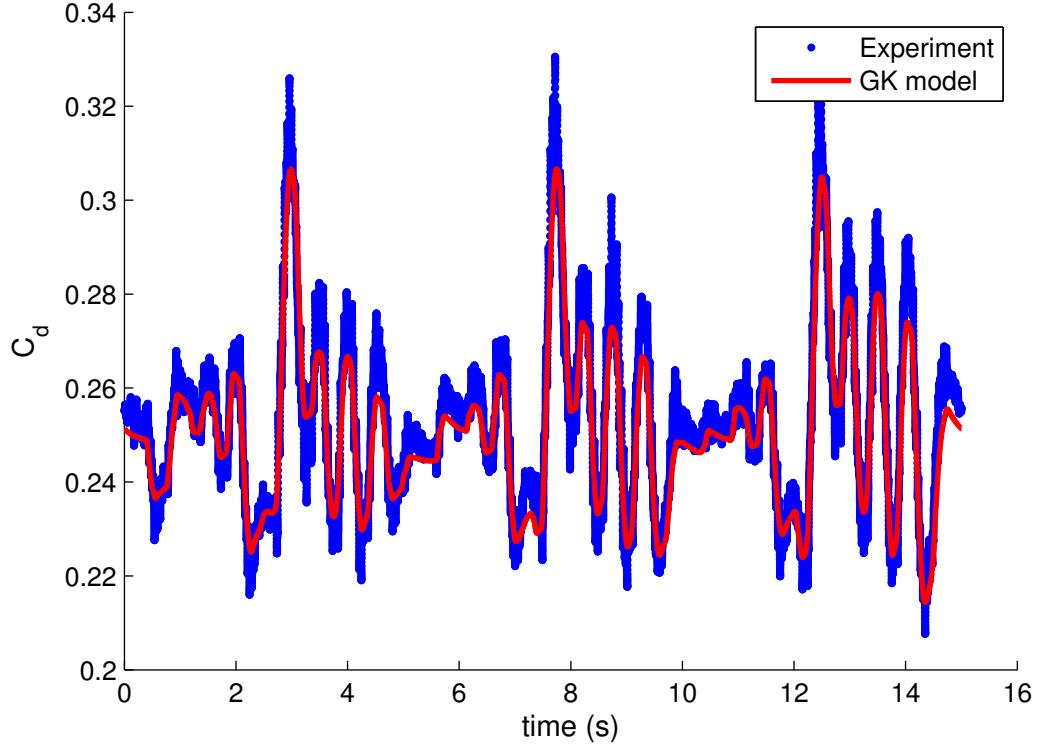


Figure 3.18. Unsteady effects of random pitching on the drag

### 3.5 Model limitations

While this model produces impressive results for a lot of different cases, it is not without its limitations.

**3.5.1 Inertial and added mass effects.** One of the biggest issues of the experimental part for the pitching experiment are the inertial effects due to the mass of the wing. These inertial effects represent very high loads compared to the actual aerodynamic loads. To measure the inertial effects we get the weight of the wing being added to the force balance measurement.

Since we are only interested in the aerodynamic forces, we need to eliminate these mechanical forces. As explained before we remove these by averaging over several cycles both an acquisition with the tunnel on and one without the model

on. Assuming that the inertial effects are reproducible (this has been a fairly solid assumption) then the forces due to the momentum and weight are removed. However the acquisition done when the wind tunnel is not running are not done in vacuum. As such the added mass effects are also subtracted from the final data.

**3.5.2 Center of rotation.** Traditionally whenever span-wise data are considered, all moments and rotation centers are taken at the quarter chord point. With our double servomotor system we are able to find a relation which will allow us to rotate the wing around any arbitrary point (provided it is within the mechanical range of the actuators). A batch of measurements was performed with the rotation point set at the location of the force balance to minimize the effects of the inertial tangential and normal forces on the measurements. However the results were deemed not as good as simply moving the rear servo. The simultaneous motion of the two servos introduce a lot of vibrations, especially when small and slow motions are needed. This is due to the electric servos being based on stepper motors and the fact that the two servo rods are very close together.

Attempts to move only the back servo (instead of the front) produced worst data than moving the back one. A possible explanation is that the front servo has to support the whole wing and sting assembly whereas the back one has a much lighter load. Under the higher load the servo positioning system is not as precise.

Any unwanted forces created by rotating the airfoil in front of the quarter chord point should be subtracted by the procedure described in the previous section 3.5.1.

## CHAPTER 4

### TRAJECTORY OPTIMIZATION WITH THE UNSTEADY MODEL

#### 4.1 Implementation in the energy extraction algorithm

As seen in the previous chapter the optimization process for the energy extraction trajectory only requires a way to calculate the relationship between the lift and drag coefficient. In our case these two variables depend only on the angle of attack and its change rate over time, it is fairly easy to implement it into the algorithm. However the non-dimensional time constants are different for fluid dynamics and vehicle dynamics. The energy extraction one considers the optimal glide speed and the gravity acceleration, whereas the one used for the GK model uses the flight speed and the chord length.

**4.1.1 Relation between the different time scales.** As said before the time scale used in the two models are different. To solve this issue the ratio of the two time constants are plotted (see figure 4.1) for a wide variety of flying objects.

$$\frac{T}{t+} = \frac{V^*}{g} \cdot \frac{u}{c} \quad (4.1)$$

Or if the aircraft flies near its optimal glide speed

$$\frac{T}{t+} = \frac{V^{*2}}{g \cdot c} \quad (4.2)$$

This ratio happens to be the Froude number.

It is interesting to notice that this ratio is in the same order of magnitude for all these objects. The value of 90 is chosen as a default Froude number for this ratio, as it represents a good average of the data compiled.

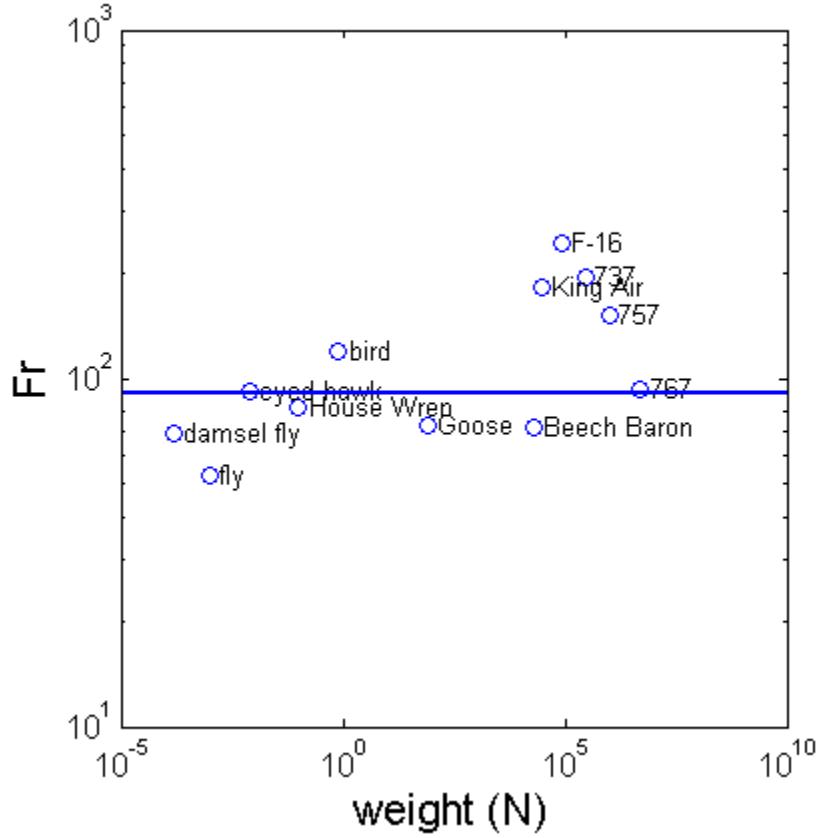


Figure 4.1. T to  $t_+$  ratio for various flying objects

Another issue is that the GK model is dependent on the initial value of the state variable  $x$ . The initial value of  $x$  is taken as the quasi-steady value. To minimize the effect of the transition from quasi-steady to unsteady flow at the beginning of the maneuver, the cycle is simulated twice and then only the second cycle is considered. This is possible to do since the conditions applied on the trajectory constrain the initial and final angles of attack and pitch rate to be the same.

**4.1.2 Expected effects of considering unsteady aerodynamics on the optimal trajectory.** Unsteady aerodynamics allows access to new areas on the  $C_l$  versus  $\alpha$  map as well as on the lift to drag ratio map. This affects the lift and drag characteristics that will influence the optimization process if the pitching rate is fast

enough to trigger them. The effects on the lift can divided into two categories.

The first one is the time lag for the flow separation. If the airfoil is pitched up fast enough, the flow doesn't have the time to separate and high values of  $C_l$  can be attained. If the flow separates, then behaviors like those in the periodic pitching around 12 degrees (see figure 3.7) will be seen.

The second effect occurs when high pitch rates are present. In these cases the  $\alpha - \tau_2 \dot{\alpha}$  term in the state variable equation 3.2 starts to be influenced by the  $\dot{\alpha}$  term. For example, for a 4 degrees amplitude sinusoidal pitching around a mean angle of attack of zero at a frequency of  $k = 0.5$  will produce unsteady effects as seen on the following figures 4.2 and 4.3.

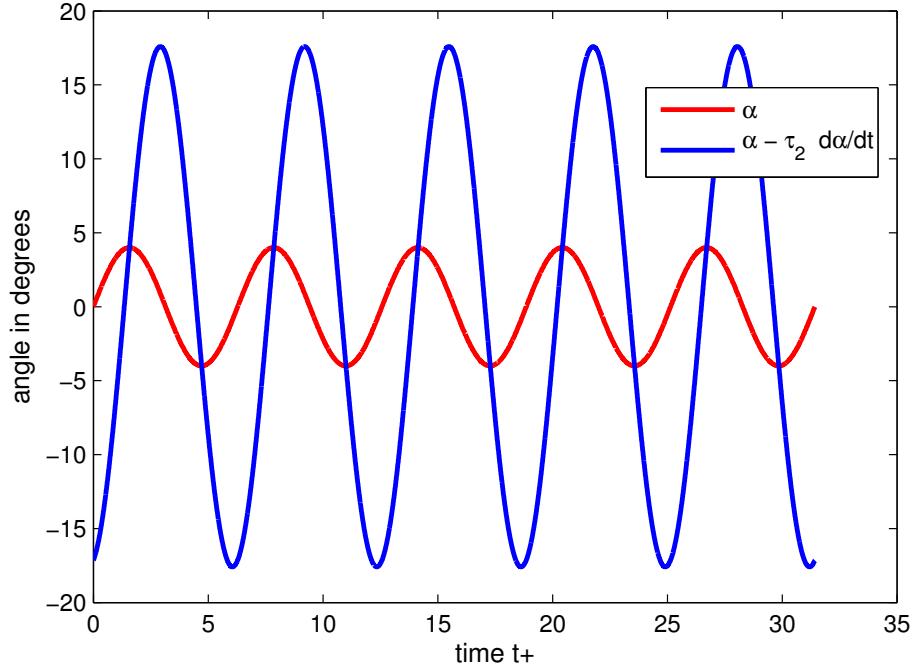


Figure 4.2. Effects of  $\tau_2 \dot{\alpha}$  for high pitching rate

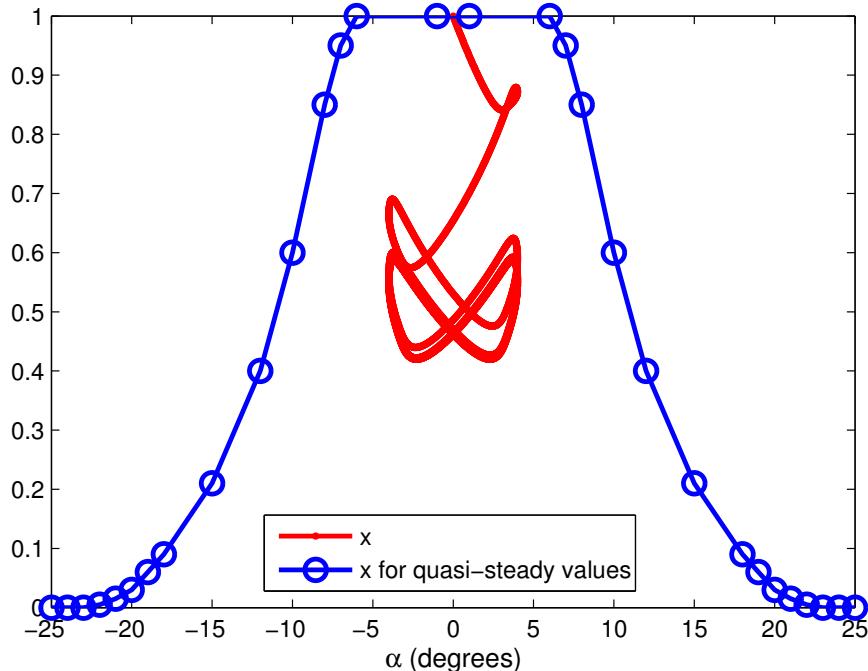


Figure 4.3. State variable during fast sinusoidal pitching

The state variable value starts at the quasi-steady value (as designed in the algorithm implementation) but after a couple of cycles it orbits close to an average value that would correspond to separated flows in a quasi-steady case. This also leads to lower lift overall (see figure 4.4) since the value of  $x$  is smaller.

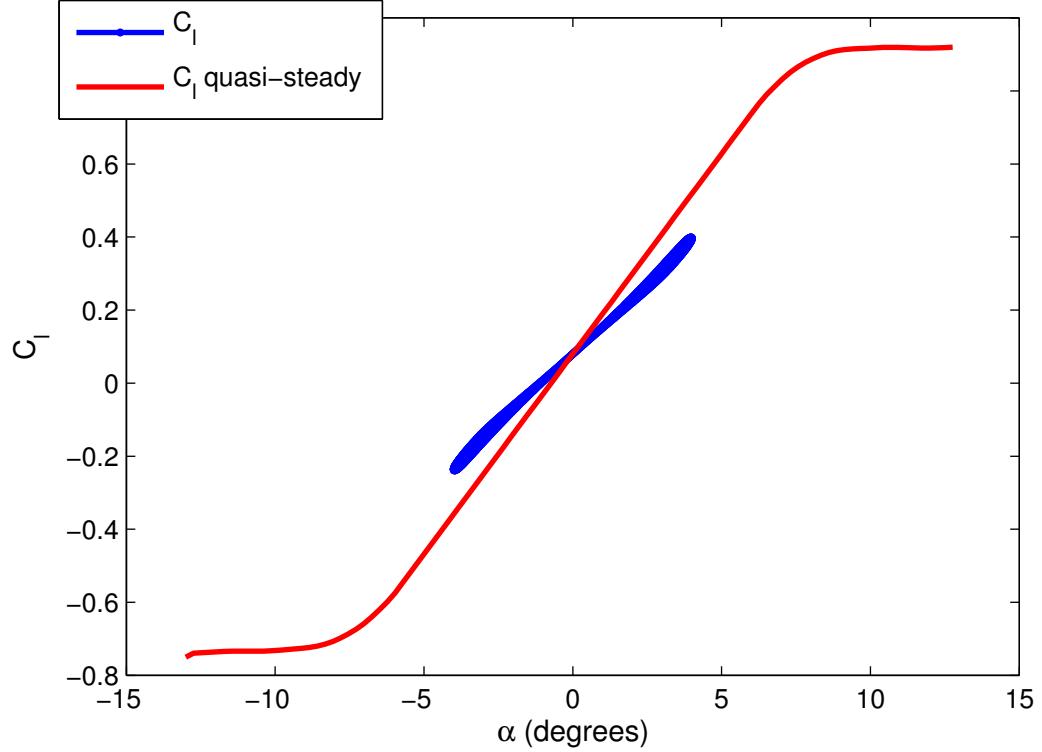


Figure 4.4.  $C_l$  is decreased compared to quasi-steady values for high pitching rate maneuvers (the transient part has been removed for clarity)

**4.1.3 The “staircase” optimization issue.** The pitch rate dependent effects add a lot of complexity to the optimization problem. One of the biggest is that to keep a reasonably low execution time for the optimization, the number of points has to be kept relatively low (less than a hundred). Such discretization of the interval can cause issues in the discrete integration parts of the algorithm. It seems that for most optimization cases this effect is avoided.

However the results of the optimization for short gusts ( $0.2 < T_g < 0.5$ ) sometime shows a “staircase” pattern in the angle of attack.

In those cases the algorithm attempts to “game” the GK model with this jerky pitch motion. Our theory is that this jerky motion avoids the decrease of the lift coefficient caused by high pitch rates by doing those for only short amount of

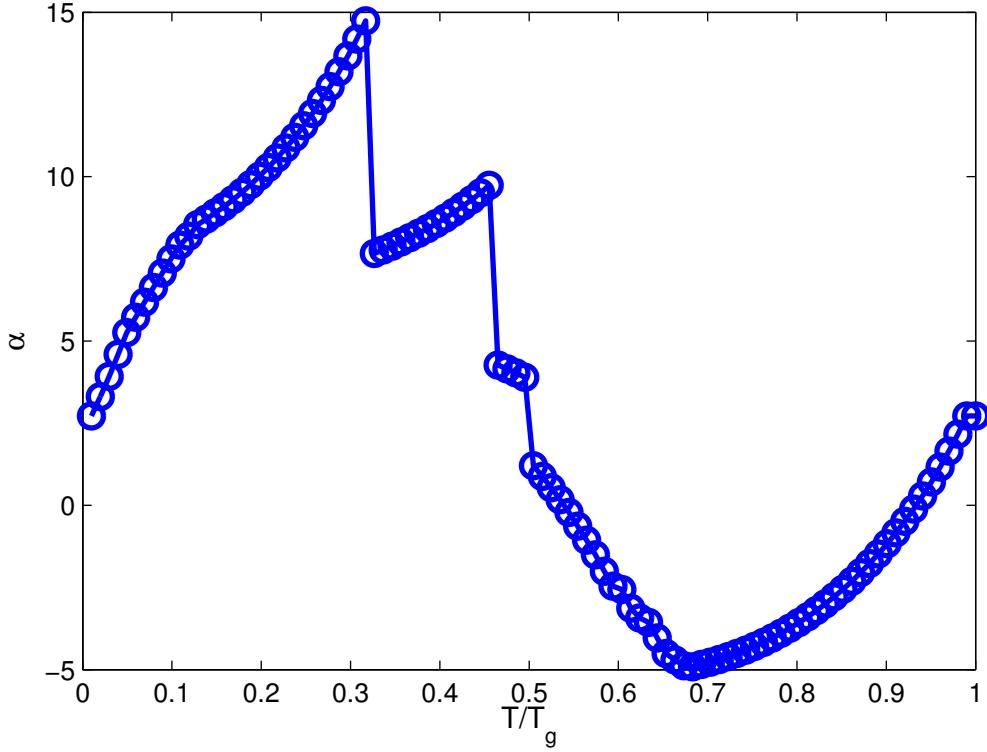


Figure 4.5. Staircase pattern seen for combined wind gust with  $T_g = 0.3$

time. These spikes in the pitch rate are too short to be able to influence the low pass equation regulating the value of  $x$  and as such maintain higher lift coefficient than it would be possible with quasi-steady assumption.

While this behavior is unrealistic, nothing is done to prevent it. This is a valuable clue on what the perfect pitch input should be, and if it is not possible with traditional control system, some solution involving active flow control could be in order.

#### 4.2 A closer look at the high performing short gusts

The difference between the quasi-steady model and the unsteady one only appears close for  $T_g < 0.7$ . This result is reassuring as it confirms that for long gusts ( $T_g > 0.7$  or  $k < 0.05$ ) the two models are equivalent. We can confirm that there

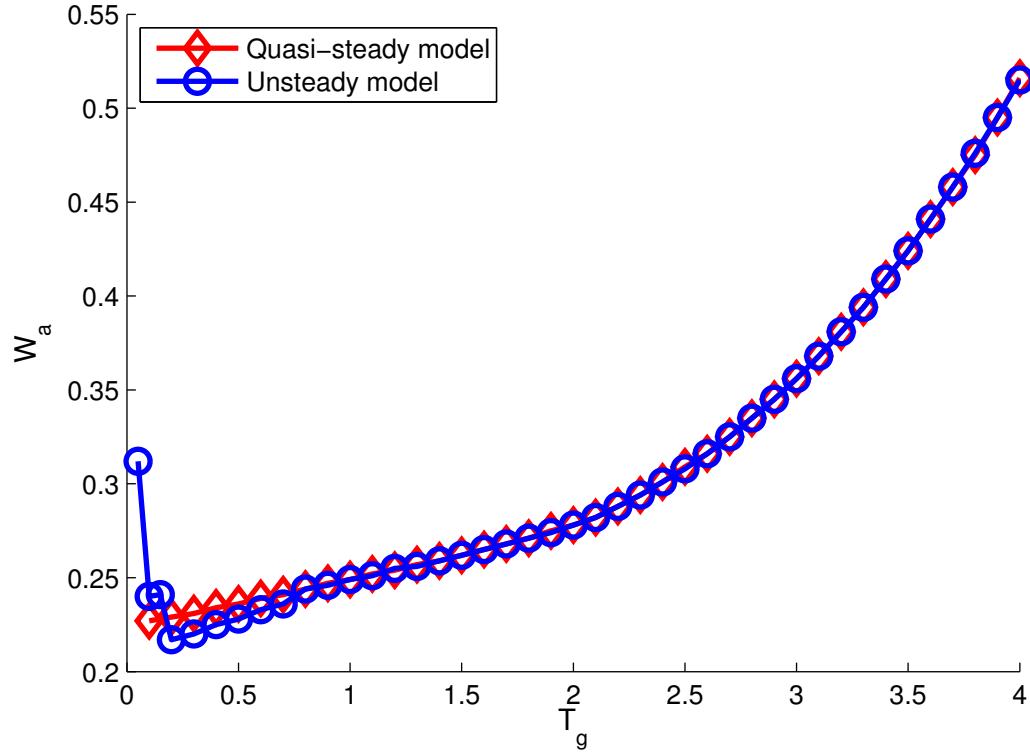


Figure 4.6. Performance difference between quasi-steady and unsteady model for combined gusts

are no unsteady effects active by looking at the  $C_l$  versus  $\alpha$  curve compared to the quasi-steady map, or even better  $G$  the lift to drag ratio. On figure 4.8 it can be seen that the lift to drag ratio for  $T_g = 1$  is following the quasi-steady values.

However for shorter gusts the results are more interesting. We can see that for shorter gusts ( $T_g < 0.7$ ) the performances are better with the GK model than with the quasi-steady model. This is true for both vertical and combined wind gusts, which indicates that this is due to the unsteady effects starting to be significant at this frequency.

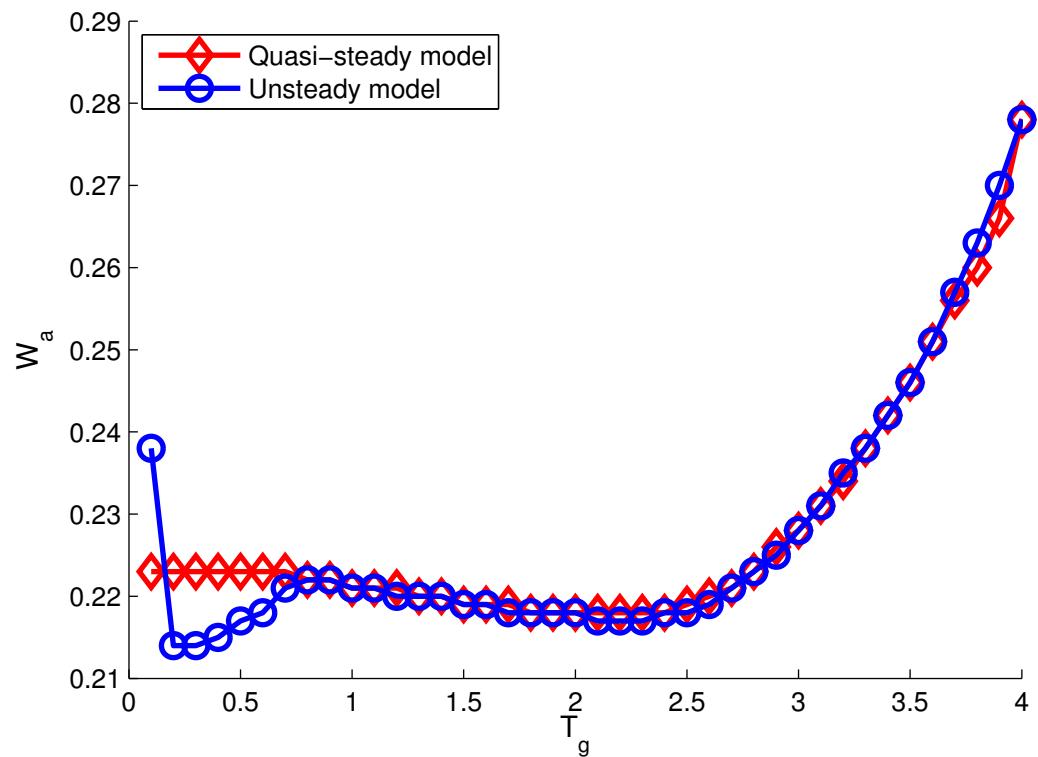


Figure 4.7. Performance difference between quasi-steady and unsteady model for vertical gusts

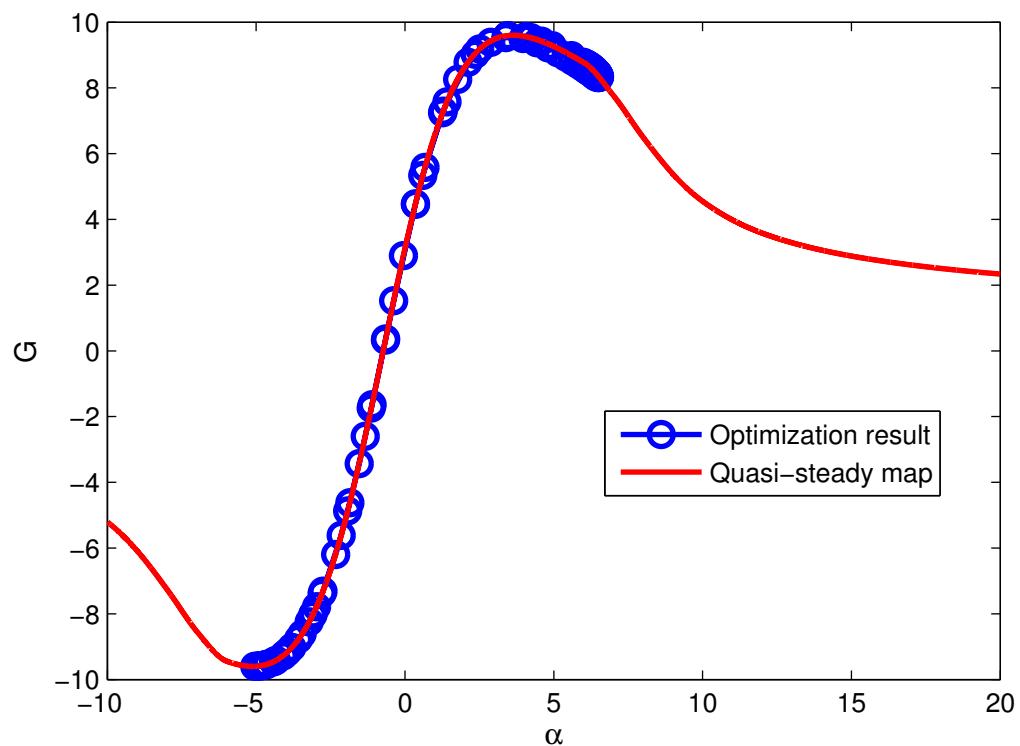


Figure 4.8. Lift to drag ratio for the unsteady model, vertical wind gust and gust duration of  $1T$

Looking more closely at the results around  $T_g = 0.3$ , we try to highlight the differences between the quasi-steady and the unsteady model. First we can look at the optimization parameter  $\alpha$  for both vertical and combined gusts.

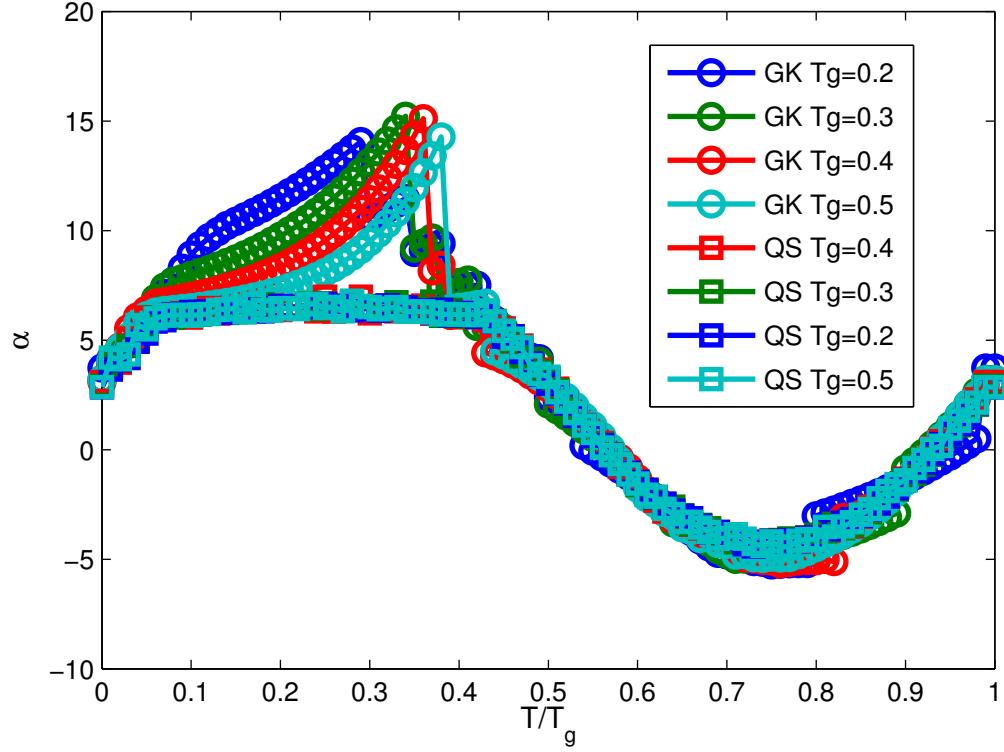


Figure 4.9. Angle of attack for short vertical gusts with the quasi-steady (QS) and unsteady (GK) model

It is immediately apparent that the main difference is in the high angle of attack area. Alpha increases exponentially before a sharp decrease happens around 30 to 40% of the gust duration. The angle of attack then falls back to the quasi-steady values.

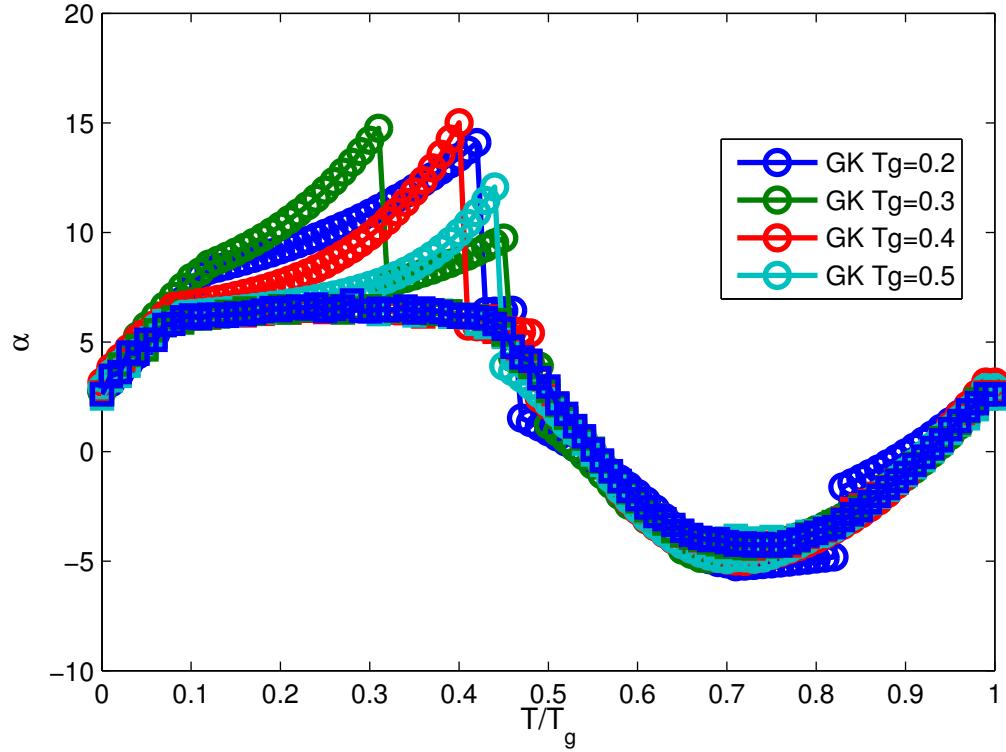


Figure 4.10. Angle of attack for short combined gusts with the quasi-steady (QS) and unsteady (GK) model

To understand what happens to the lift when such a maneuver is performed we have to refer to the lift coefficient versus angle of attack plot.

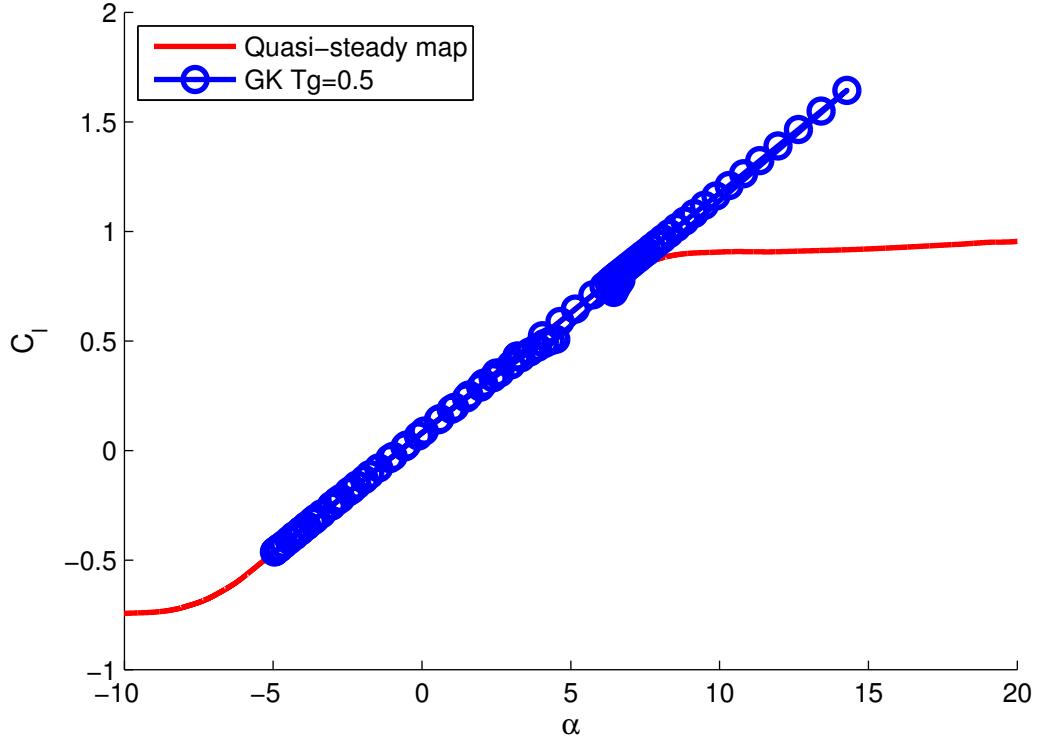


Figure 4.11. Lift coefficient versus angle of attack for  $0.5T$  long vertical wind gusts with the unsteady model

Figure 4.11 illustrates perfectly the effects of the unsteady aerodynamic model and the difference with the quasi-steady model. The spiking in angle of attack allows the flow to remain attached to the airfoil, and lets the lift coefficient reach values much higher than the quasi-steady (red curve) model would ever permit. Sharply decreasing the angle of attack at the end of this maneuver means that the flow doesn't have time to separate. Similar results are observed for vertical and horizontal gusts in the  $T_g = 0.2$  to  $0.7$  region.

### 4.3 Bad performance for $T_g \leq 0.2$

As seen on figures 4.6 and 4.7 while the unsteady model optimizations are showing a more efficient energy extraction than the quasi-steady for  $0.2 \leq T_g \leq 0.7$  ( $0.175 \leq k \leq 0.05$ ) it is not the case for  $T_g \leq 0.2$  ( $k \geq 0.175$ ).

To understand what happens we need to take a look at the  $C_l$  versus  $\alpha$  plot for these cases and compare it to the quasi-steady one.

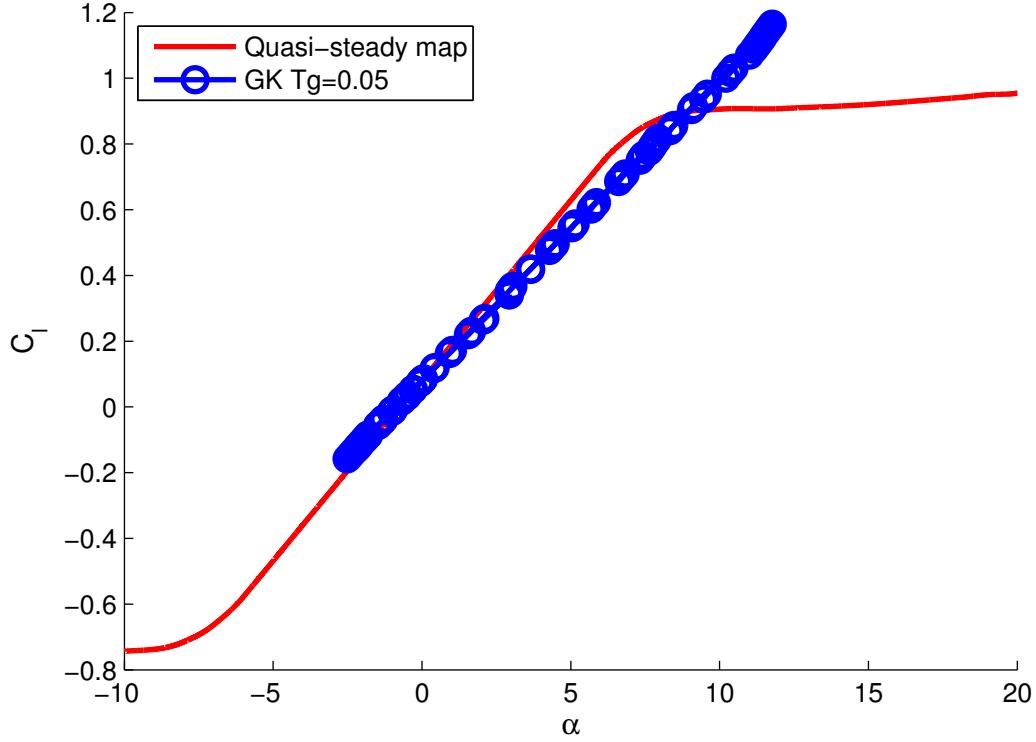


Figure 4.12. Lift coefficient versus angle of attack for a 0.05T long combined gust

This results is consistent to what was shown on figure 4.4 and explained in section 4.1.2.

It should be noted that the results found for these very short gusts duration are highly dependent on the initial guess used in the optimization algorithm. As such, while everything was done to ensure the best results, it is possible that the solutions presented here are only a local, and not absolute minima.

**4.4 Influence of the phase in the unsteady model optimization** Similarly to the quasi-steady case, a sweep of the phase angles  $\varphi$  is done for the combined gust cases of  $T_g = 0.5$  and  $T_g = 1$ .

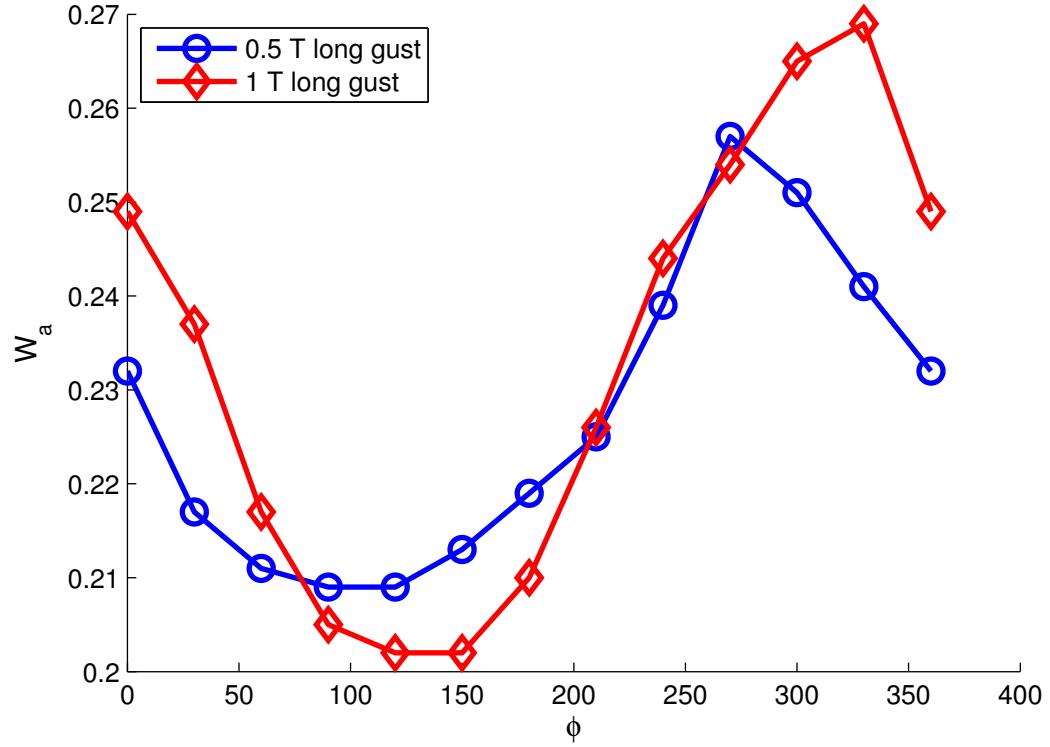


Figure 4.13. Influence of the phase between the components of the combined gust in the unsteady model case

The general shape is similar to the quasi-steady model. The minima and maxima are around the same values. However the curve is less “smooth”. To explain that it is again useful to look at  $\alpha$  versus  $T_g$  plots.

One of the possible explanation for this irregular look in the  $W_a$  versus  $\varphi$  curve is that, as seen on figure 4.14, different values of the phase don’t excite the unsteady behavior the same way. Even if care has been taken to test different initial conditions as the optimization starting point, there is the possibility that some of the results seen here are not fully representative of the actual optimal result.

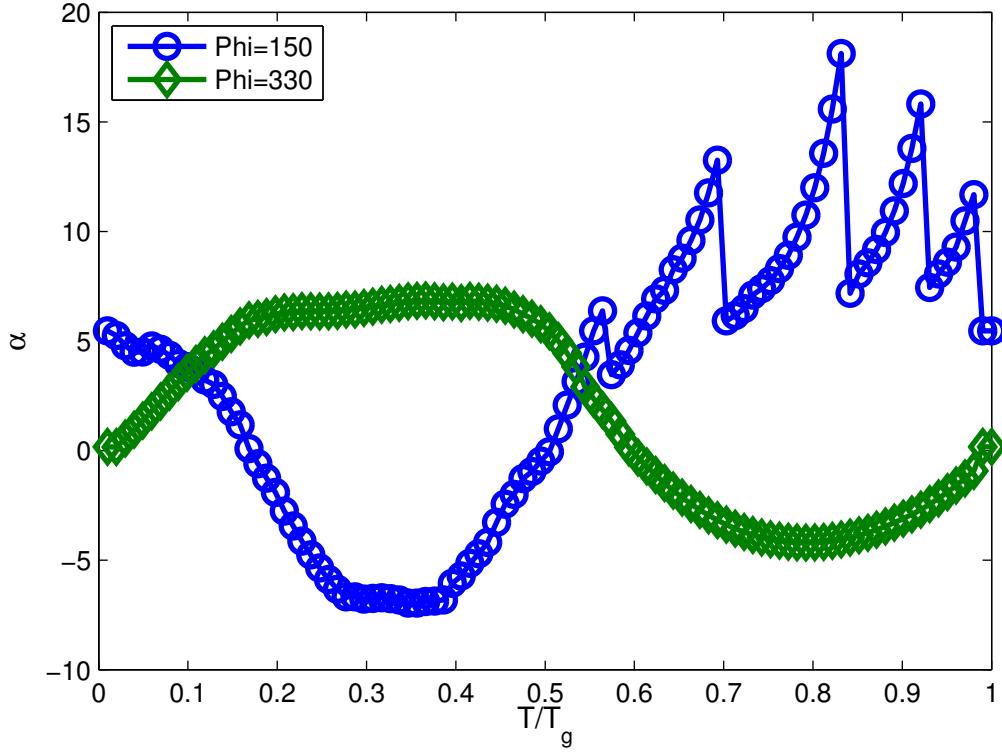


Figure 4.14. Angle of attack profile for different phase angle when  $T_g = 1$

## 4.5 Limitations of the unsteady GK model

**4.5.1 Considering the gusting and plunging component.** One of the major issues with this optimization is that it misses are the unsteady effects due to gusting and plunging. In our case we have major gusts present at the same time as the pitching motion. While the speed of the UAV changes too, the relative wind amplitude is in the order of 20%. We know that gusts as gentle as 5% of the free stream speed can have a large influence on the lift characteristics, and that the lift response to such gusts depends strongly on the frequency of the gusts. The same can be said for the plunging motion.

While these variations in  $C_l$  are large it is suspected that they are caused by the same kind of mechanism as the lift variations caused by pitch angle changes. With that in mind there is a fairly high probability that the resulting mechanism could be

described by a model inspired by the GK model. It is very likely that the state variable for such a model would be tied in some way to the state variable presented in this thesis. If  $x$  could be expressed as a function of  $\alpha$ ,  $\dot{\alpha}$  and  $i$  (for example), this model could be applicable to a whole new range of situations.

## CHAPTER 5

### CONCLUSION

#### **5.1 Low order model for unsteady flow**

The Goman and Khrabrov model shows good agreement in both shape and magnitude for the lift and drag of a pitching airfoil in a wide range of conditions. It is fast and light and can be adjusted to a new airfoil (or even a whole aircraft) without requiring extensive experimental studies. In fact in theory only a static map and one unsteady case should be enough to obtain the whole model.

The work in this thesis has proven that the drag can also be easily modeled and there is little doubt that the moment coefficient  $C_m$  could be modeled too.

Implementing this model is simple enough that it can be used in computationally heavy applications such as optimization problems or real time applications. It is however non-linear and as such isn't easily invertible or analyzable with traditional control system methods.

#### **5.2 Energy extraction optimization**

From the results presented in this thesis it can be seen that energy extraction can be performed for complex temporal wind gusts with vertical and horizontal components. In these gusts overall neutral energy trajectories are possible and require less and less wind gust amplitude the shorter the gust is. For short gust ( $T_g \leq 3$ ) high angles of attack are needed for maximum performance, which can lead to flow separation.

The introduction of an unsteady aerodynamic model is possible and proves necessary when gusts are very short ( $T_g \leq 0.7$  or  $k \geq 0.05$ )). At this point unsteady effects such as lag in the flow separation can be observed and even taken advantage of.

While the maneuvers required to obtain such trajectories are unlikely to be realistically done on aircrafts, these results could be exploited in vertical wind turbine pitch optimization for example. The results for gusts shorter than  $T_g = 0.2$  ( $k = 0.175$ ) are less obvious to interpret and will require further study, perhaps with a problem more representative of the system operating at such frequencies.

While these results shows the optimal trajectory found by the algorithm it is important to keep in mind that the algorithm optimize the trajectory globally over the whole period. This means that it can take preemptive actions because it “knows” what will happen later in time. A real controller flying in an environment where the wind gust shape can’t be predicted would not be able to reach the same energy extraction performances.

### 5.3 Possible improvements and additional work

One of the weakness of the optimization with the GK model is that it does not account for the unsteady effects due to the surging and gusting components of the relative wind. I believe that the GK model could be modified to include these effects but a complete experimental campaign would be needed.

The “staircase” effect described in section 4.1.3 could be mitigated by introducing a more realistic model for the aircraft dynamics that include the moment of inertia. Going even further, a basic elevator model could be implemented.

Finally replacing the temporal wind profile by a spatial one would allow for the use of more realistic and complex wind fields.

## APPENDIX A

### GOMAN KHRABROV MODEL MATLAB® IMPLEMENTATION

```

function [Cl,Cd,t]=NACA0009_GK(alpha,dt)

% Output Cl and Cd the NACA0009 airfoil according to the GK model.

% Inputs:
%   - alpha : vector of angle of attack in degrees.
%   The points must be sampled at a regular interval.
%   - dt : time interval between the points, in t+;
%
% Outputs:
%   - Cl : lift coefficient vector
%   - Cd : drag cooefficient vector
%   - t : vector containing the sample time in t+, starting at 0
%
% Written by Lou the 12/03/2014

m=length(alpha);

tau1=3.06; %GK time constant in t+
tau2= 4.29;
G_max=10.13;

t=linspace(0,m*dt,m);

% experimental x0 vs alpha curve
x0ref=[1 6 7     8     10    12    15    18    19    20    21    22    23 25;
        1 1 0.95 0.85 0.60 0.4 0.21 0.09 0.06 0.03 0.015 0.005 0 0];
x0ref=[ -1*flipud(x0ref); x0ref];
x0ref(:,2)=abs(x0ref(:,2));

% initialize x (only the first value is usefull)

```

```
x=ones(length(t),1)*interp1(x0ref(:,1),x0ref(:,2),alpha(1));  
X0=interp1(x0ref(:,1),x0ref(:,2),alpha(2:end)-diff(alpha)./dt.*tau2);  
  
for i=2:length(t)  
    x(i)=x(i-1)+dt*(X0(i-1)-x(i-1))/tau1;  
end  
  
x(x<0)=0; % remove impossible values of x  
x(x>1)=1;  
  
Cl=2*pi*pi/180*alpha.* (0.4+0.6*x.^1) +0.08; % custom Cl function  
Cd=((2-x).*Cl).^2)/(G_max) +0.025;
```

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