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#### Motivation

Many list-related functions have constraints related to the list length. <sup>1</sup> Can we check them statically?

#### **Module List**

Raises

```
module List: sig .. end
List operations.
val tl : 'a list -> 'a list
    Return the given list without its first element.
    Raises Failure if the list is empty.
val nth : 'a list -> int -> 'a
    Return the n-th element of the given list. The first element (head of the list) is at position 0.
```

Failure if the list is too short.

```
val map2 : ('a -> 'b -> 'c) -> 'a list -> 'b list -> 'c list
   map2 f [a1; ...; an] [b1; ...; bn] is [f a1 b1; ...; f an bn].
  Raises Invalid argument if the two lists are determined to have different lengths.
```

Dependent Types

<sup>1</sup>https://ocaml.org/manual/5.1/api/List.html

#### Outline

Basic Language

## Syntax of Types

#### Note

$$A_1 \rightarrow A_2 \triangleq \Pi(_-: A_1).A_2$$

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```
\begin{array}{lll} \mathsf{Syn} & s & \coloneqq & x \mid s \ t \\ & \mid & \mathsf{head} \ \ell \ s \mid \mathsf{tail} \ \ell \ s \\ \mathsf{Chk} & t & \coloneqq & s \mid \lambda x.t \mid \mathsf{fix} \ x.t \\ & \mid & n \mid t_1 + ... + t_k \\ & \mid & (\mathsf{match} \ s \ \mathsf{with} \ \mid 0 \to t_1 \mid x + 1 \to t_2) \\ & \mid & \mathsf{nil} \ \mid \mathsf{cons} \ \ell \ t_1 \ t_2 \\ & \mid & (\mathsf{match} \ s \ \mathsf{with} \ \mid \mathsf{nil} \to t_1 \mid \mathsf{cons} \ x_1 \ x_2 \ x_3 \to t_2) \end{array}
```

## Example

```
cons: \Pi(n: \text{Nat}).\text{Nat} \to \text{Vec } n \to \text{Vec } (n+1)
i.e., cons: \Pi(n: \text{Nat}).\Pi(\_: \text{Nat}).\Pi(\_: \text{Vec } n).\text{Vec } (n+1)
i.g., [10] \triangleq \text{cons } (n=0) \text{ 10 nil}
```

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```
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cons: \Pi(n: \text{Nat}).\Pi(\underline{\ }: \text{Nat}).\Pi(\underline{\ }: \text{Vec } n).\text{Vec } (n+1)

[10] \triangleq \text{cons } (n=0).10.\text{ni}
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e.g., [10] \triangleq \mathtt{cons} \ (n=0) \ 10 \ \mathtt{nil}
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#### Example

e.g., drop 
$$(k = 2)$$
  $(n = 3)$   $[9, 8, 7, 6, 5] = [7, 6, 5]$ 

```
// Discard first k elements drop : \Pi(k \colon \mathtt{Nat}).\Pi(n \colon \mathtt{Nat}).\mathtt{Vec}\;(k+n) \to \mathtt{Vec}\;n =  fix drop.\lambda k.\lambda n.\lambda v. match k with \mid 0 \to \mathtt{nil} \mid k'+1 \to \mathtt{drop}\;k\;n\;(\mathtt{tail}\;(k'+n)\;v)
```

e.g., drop 
$$(k = 2)$$
  $(n = 3)$   $[9, 8, 7, 6, 5] = [7, 6, 5]$ 

#### Can you spot the mistakes?

```
// Discard first k elements drop : \Pi(k \colon \mathtt{Nat}).\Pi(n \colon \mathtt{Nat}).\mathtt{Vec}\; (k+n) \to \mathtt{Vec}\; n = \mathtt{fix}\; \mathtt{drop}.\lambda k.\lambda n.\lambda v. match k with \mid 0 \to \mathtt{nil} \mid k'+1 \to \mathtt{drop}\; k\; n\; (\mathtt{tail}\; (k'+n)\; v)
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e.g., drop 
$$(k = 2)$$
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#### Can you spot the mistakes?

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// Discard first k elements drop : \Pi(k: \text{Nat}).\Pi(n: \text{Nat}).\text{Vec}\ (k+n) \to \text{Vec}\ n = \text{fix drop}.\lambda k.\lambda n.\lambda v.

match k with |0 \to v| \quad v: \text{Vec}\ (0+n)
|k'+1 \to \text{drop}\ k\ n\ (\text{tail}\ (k'+n)\ v)
```

e.g., drop 
$$(k = 2)$$
  $(n = 3)$   $[9, 8, 7, 6, 5] = [7, 6, 5]$ 

#### Can you spot the mistakes?

```
e.g., drop (k = 2) (n = 3) [9, 8, 7, 6, 5] = [7, 6, 5]
All good now:)
      // Discard first k elements
      drop : \Pi(k: \text{Nat}).\Pi(n: \text{Nat}).\text{Vec}(k+n) \rightarrow \text{Vec}(n)
            fix drop.\lambda k.\lambda n.\lambda v.
                  match k with
                  \mid 0 \rightarrow v \qquad v : Vec (0 + n)
                  |k'+1 \rightarrow \text{drop } k' n \text{ (tail } (k'+n) \text{ } v)
      v : Vec(k'+1+n), tail ... : Vec(k'+n), drop ... : Vec n
```

### **Judgements**

 $\Gamma \vdash s \Longrightarrow A$ 

(In context  $\Gamma$ , s synthesizes type A)

 $\Gamma \vdash t \Longleftarrow A$ 

(In context  $\Gamma$ , check that t has type A)

 $A_1 \equiv A_2$ 

(Types are equivalent)

 $\ell_1 \equiv \ell_2$ 

(Lengths are equivalent)

$$\Gamma \vdash t \Longleftarrow A$$

$$\overline{\Gamma \vdash \mathtt{nil} \Longleftarrow \mathtt{Vec} \ \mathtt{0}}$$

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$$\Gamma \vdash t \Longleftarrow A$$

$$\overline{\Gamma \vdash \mathtt{nil} \longleftarrow \mathtt{Vec} \ \mathsf{0}}$$

What about 
$$\Gamma \vdash \mathtt{nil} \longleftarrow \mathtt{Vec} \ (0+0)$$
?

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$$\Gamma \vdash t \Longleftarrow A$$

$$\frac{\ell \equiv 0}{\Gamma \vdash \mathtt{nil} \Longleftarrow \mathtt{Vec} \; \ell}$$

$$\Gamma \vdash t \Longleftarrow A$$

$$\frac{\ell \equiv 0}{\Gamma \vdash \mathtt{nil} \Longleftarrow \mathtt{Vec}\,\ell}$$

$$\Gamma \vdash x \Longrightarrow \text{Nat} \quad \Gamma \vdash t_0 \Longleftarrow A$$
  
 $\Gamma' = (\Gamma, \gamma : \text{Nat}) \quad \Gamma' \vdash t_1 \Longleftarrow A$ 

$$\overline{\Gamma \vdash (\text{match } x \text{ with } | 0 \rightarrow t_0 | y + 1 \rightarrow t_1) \longleftarrow A}$$

$$\Gamma \vdash t \longleftarrow A$$

$$\frac{\ell \equiv 0}{\Gamma \vdash \mathtt{nil} \Longleftarrow \mathtt{Vec}\, \ell}$$

$$\Gamma \vdash x \Longrightarrow \text{Nat} \quad \Gamma \vdash t_0 \Longleftarrow A$$

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$$\frac{\Gamma \vdash x \Longrightarrow \mathtt{Nat} \quad [0/x]\Gamma \vdash [0/x]t_0 \Longleftarrow [0/x]A}{\Gamma' = (\Gamma, y : \mathtt{Nat}) \quad \Gamma' \vdash t_1 \Longleftarrow A}$$
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```
\begin{array}{l} \texttt{drop} \; : \; \mathsf{\Pi}(k \colon \mathtt{Nat}).\mathsf{\Pi}(n \colon \mathtt{Nat}).\mathsf{Vec}\; (k+n) \to \mathtt{Vec}\; n = \\ & \texttt{fix}\; \mathtt{drop}.\lambda k.\lambda n.\lambda v. \\ & \texttt{match}\; k \; \mathtt{with} \\ & \mid 0 \to v \qquad v \colon \mathtt{Vec}\; (0+n) \\ & \mid k'+1 \to \mathtt{drop}\; k'\; n \; (\mathtt{tail}\; (k'+n)\; v) \\ & v \colon \mathtt{Vec}\; (k'+1+n), \mathtt{tail}\; \dots \colon \mathtt{Vec}\; (k'+n) \end{array}
```

## Rules: Equality

$$A_1 \equiv A_2$$

$$\begin{cases} \mathtt{Nat} \equiv \mathtt{Nat} \\ \Pi(x \colon A_1).B_1 \equiv \Pi(x \colon A_2).B_2 & \mathsf{if } A_1 \equiv A_2 \mathsf{ and } B_1 \equiv B_2 \\ \mathtt{Vec} \ \ell_1 \equiv \mathtt{Vec} \ \ell_2 & \mathsf{if } \ell_1 \equiv \ell_2 \end{cases}$$

$$\ell_1 \equiv \ell_2$$

Normalize and compare syntactically

 $1 + n + (2 + m) \longrightarrow 3 + n + m$   $(n + 0) + 3 + m \longrightarrow 3 + n + m$ 

#### Rules: Equality

$$A_1 \equiv A_2$$

$$\begin{cases} \mathtt{Nat} \equiv \mathtt{Nat} \\ \Pi(x\colon A_1).B_1 \equiv \Pi(x\colon A_2).B_2 & \text{if } A_1 \equiv A_2 \text{ and } B_1 \equiv B_2 \\ \mathtt{Vec}\ \ell_1 \equiv \mathtt{Vec}\ \ell_2 & \text{if } \ell_1 \equiv \ell_2 \end{cases}$$

$$\ell_1 \equiv \ell_2$$

- Normalize and compare syntactically.
  - $1 + n + (2 + m) \longrightarrow 3 + n + m$
  - $(n+0)+3+m \longrightarrow 3+n+m$

#### Outline

Better Pattern Matching

#### An Unfortunate Restriction

- Subject of match must be a variable x or synthesize Vec x
  - Needed for substitution
- This is why head and tail must be built-in!

```
tail : \Pi(n: \text{Nat}).\text{Vec}(n+1) \rightarrow \text{Vec}(n)
       \lambda n. \lambda v.
             match v with v : Vec (n+1)
               cons n' \times v' \rightarrow v'
```

tail : 
$$\Pi(n: \mathtt{Nat}).\mathtt{Vec}\ (n+1) o \mathtt{Vec}\ n = \lambda n.\lambda v.$$
 match  $v$  with  $| \mathtt{cons}\ n' \ \_v' o v'$ 

#### A Better Approach

tail : 
$$\Pi(n : \mathtt{Nat}).\mathtt{Vec}\ (n+1) o \mathtt{Vec}\ n = \lambda n.\lambda v.$$

match v with

 $\mid \mathtt{cons} \; n' \; \_ \; v' \to v'$ 

Is the cons branch correct? (i.e., Vec  $n \equiv \text{Vec } n'$ ?)

$$\forall n, n' \in \mathbb{N}.(n'+1=n+1) \implies n=n'$$

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tail : 
$$\Pi(n: \mathtt{Nat}).\mathtt{Vec}\ (n+1) o \mathtt{Vec}\ n = \lambda n.\lambda v.$$

$$\mid$$
 cons  $n'$   $\mid$   $v' \rightarrow v'$ 

Is the cons branch correct? (i.e., Vec  $n \equiv \text{Vec } n'$ ?)

$$\forall n, n' \in \mathbb{N}.(n'+1=n+1) \implies n=n'$$

Is the nil branch unreachable? (i.e., Vec  $(n+1) \not\equiv \text{Vec } 0$ ?)

$$\neg (\exists n \in \mathbb{N}.n + 1 = 0)$$

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#### In General

- Add a new context  $\Delta := \cdot \mid \Delta, \ell_1 = \ell_2$ 
  - When entering a match, extend Δ
  - $\ell \equiv \ell'$ : make a  $\forall$  proposition
  - Reachability: make a  $\exists$  proposition

$$egin{aligned} \Gamma | \Delta dash s \Longrightarrow A \ & \Gamma | \Delta dash t \Longleftarrow A \ & \Delta dash A_1 \equiv A_2 \ & \Delta dash \ell_1 \equiv \ell_2 \ & \Delta dash \ell_2 \end{aligned}$$

- To decide whether propositions are true, use Z3 solver <sup>2</sup>

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- Add a new context  $\Delta ::= \cdot \mid \Delta, \ell_1 = \ell_2$ 
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  - Reachability: make a ∃ proposition

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- To decide whether propositions are true, use Z3 solver <sup>2</sup>
- Propositions in Presburger arithmetic are always decidable!

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<sup>&</sup>lt;sup>2</sup>https://github.com/Z3Prover/z3

<sup>&</sup>lt;sup>3</sup>Presburger 1929

#### Outline

Extending the Language

Updated syntax:

```
Type A ::= ... | Bool

Chk t ::= ...

| true | false
| (match s with | true \rightarrow t_1 | false \rightarrow t_2)
```

New typing rules

$$\begin{array}{c|c} \hline \Gamma|\Delta\vdash \mathsf{true} & \Longleftrightarrow \mathsf{Bool} & \hline \Gamma|\Delta\vdash \mathsf{false} & \Longleftrightarrow \mathsf{Bool} \\ \hline \Gamma|\Delta\vdash s & \Longrightarrow \mathsf{Bool} & \hline \Gamma|\Delta\vdash t_1 & \Longleftrightarrow A & \hline \Gamma|\Delta\vdash t_2 & \Longleftrightarrow A \\ \hline \Gamma|\Delta\vdash (\mathsf{match}\, s\, \mathsf{with} \, | \, \mathsf{true} & \to t_1 \, | \, \mathsf{false} & \to t_2) & \Longleftrightarrow A \end{array}$$

- New case for type equality :  $\Delta \vdash Bool \equiv Bool$
- All pretty straightforward!

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#### Booleans

Updated syntax:

```
Type A ::= ... | Bool

Chk t ::= ... | true | false | (match s with | true 	o t_1 | false 	o t_2)
```

New typing rules:

- New case for type equality :  $\Delta \vdash Bool \equiv Bool$
- All pretty straightforward!

#### Outline

Conclusion

#### Limitations

- Vectors can only contain natural numbers
  - Can add polymorphism (using Hindley-Milner)
- Impossible to implement filter—what should the output length be?
  - Can do this using dependent pairs
- Explicit parameters are not ergonomic
  - Could add implicit parameters (as in Agda)
- Only addition allowed in lengths
  - Cannot implement split, join, etc.

- You can check simple constraints on list sizes using dependent types!
  - Enforce constraints at compile time
  - Catch some (but obviously not all) common typos
- How to check equivalence of lengths? How to prevent infinite loops in type checker?
  - Presburger arithmetic + Z3

## Polymorphism

We implement the Hindley-Milner algorithm.

$$\frac{\Gamma(x) = \hat{A} \quad \Gamma \vdash \hat{A} \sqsubseteq A}{\Gamma \vdash x \Longrightarrow A} \qquad \frac{\Gamma, x : \forall ... A \vdash t \Longleftarrow B}{\Gamma \vdash \lambda x . t \Longleftarrow \Pi(x : A) . B}$$

- Generalization:  $\alpha \to \alpha$  generalizes to  $\forall \alpha.\alpha \to \alpha$ .
- Instantiation:  $\forall \alpha.\alpha \rightarrow \alpha$  can be instantiated to Nat  $\rightarrow$  Nat.
- Unification: unify( $\alpha \to \alpha$ , Nat  $\to$  Nat) = {Nat/ $\alpha$ }

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## Polymorphism

We implement the Hindley-Milner algorithm.

Type scheme 
$$\hat{A}$$
 ::= ... |  $\forall \alpha_1, \alpha_2, \ldots, \alpha_N.A$   
Type  $A$  ::= ... |  $\alpha$   
Context  $\Gamma$  ::=  $\cdot$  |  $\Gamma, x$ :  $\hat{A}$   
Type subst.  $\sigma$  ::=  $\cdot$  |  $\sigma, A/\alpha$ 

$$\frac{\Gamma(x) = \hat{A} \quad \Gamma \vdash \hat{A} \sqsubseteq A}{\Gamma \vdash x \Longrightarrow A} \qquad \frac{\Gamma, x : \forall ... A \vdash t \Longleftarrow B}{\Gamma \vdash \lambda x . t \Longleftarrow \Pi(x : A) . B}$$

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