# Analysis of Health Survey for England (HSE) 2019

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## Abstract

This report provides an analysis of data related to health, age, socio-economic factors and lifestyle habits in adults (from the age of 16) from the population in England, derived from the Health Survey for England 2019. Please note, no generative AI technology was utilized in the creation of this report or the subsequent data analysis presented herein. All findings and conclusions are derived from human-driven methodologies.

## Summary

In England, smoking, "vaping" and alcohol consumption are widespread habits among adults, particularly younger adults. In fact, it is estimated that around 13.9% of adults in England identified as cigarette smokers (ONS 2019a), and a similar study found that there were 10.9 alcohol-related deaths per 100,000 people living in England in 2019 (ONS 2019c). Thus, it is crucial to be aware of the predictors and consequences of these habits, which is the motivation for this analysis.

We conducted this analysis on a subset containing 8,204 adults living in England (ages 16+), who were interviewed in their homes about their demographics and their smoking and drinking habits as part of the Health Survey for England (HSE) 2019. 4,947 of these participants who consented to an at-home nurse visit had vitals such as blood pressure, weight and height measured. We investigated which features of a participant are associated with smoking habits, and which habits are associated with high blood pressure.

We found alcohol consumption to be the most prevalent lifestyle habit under investigation. Older males were found to drink the most, especially those aged 65-74. Also, smoking tended to be more prevalent in areas of greater deprivation, whilst alcohol consumption was found to be more prevalent in less deprived areas. Socio-economic factors played an important role in the likelihood of smoking, with females 25% less likely to be smokers than males. Ethnicity also played a great role, as being Black or Asian decreased the likelihood of smoking by more than 70% and 65%, respectively. Females were much less likely to experience hypertension in comparison to males. However, systolic blood pressure levels were positively correlated with age and how often the respondent drinks alcohol, regardless of gender.

#### Introduction

The mechanisms which drive lifestyle habits are part of a complex and ever-changing field in behavioural psychology. What is known, however, is that such habits are driven by cues and cravings (Anastasia Droungas 1995; Kambouropoulos 2009). The HSE 2019 captures demographic and socioeconomic characteristics that have the potential to make such cues more visible and cravings harder to resist. For example, living in a region where smoking is more prevalent or not having a husband/wife for an accountability partner to help you quit or resist these habits (ONS 2019b).

In this report, we will use weighting variables to estimate prevalence of cigarette, e-cig, and alcohol users in our population, and compare it with the larger population of adults in England. We will then attempt to identify associations with a participant's current smoking status, explore whether predictive modelling can be used to identify smokers with a high accuracy, and investigate which lifestyle habits are associated with increased values of systolic blood pressure. Such an understanding would be crucial in enabling healthcare providers to implement preventative care for people at risk of developing

Table 1: Summary of the analysis variables used in our report. (D) indicates that a variable was derived.

Variable	Definition	Notation	
SerialA	Respondent serial	ID	
	number		
wt_int	Weight of observation	w	
Sex	Respondent sex (M/F)	s	
(D) Age35g	Respondent age grouped	$a^{(5)}$	
, ,	in 5 year bands for 16+		
(D) ag16g10	Respondent age grouped	$a^{(10)}$	
· / -0 -0-*	in 10 year bands for 16+		
(D) topqual2	Highest level	t	
	qualification		
(D) marstatD	Marital status	ms	
(D) qimd19	IMD score (a measure of	q	
. , -	deprivation)		
(D) urban14b	Level of rurality	u	
(D) origin2	Grouped ethnic category	0	
(D) cigdyal_19	# of cigarettes smoked	$n^{cig}$	
. ,	per day		
(D) cigsta3_19	Smoking status (Reg /	cig	
, , -	Ex-Reg / Never-Reg)		
(D)	Use of E-cigarettes and	ndp	
NDPNow_19	or NDPs		
(D)	Alcohol consumed in the	alc	
DrinkYN_19	last 12 months		
dnoft_19	Frequency of alcohol	f	
	consumed in the last 12		
	months (grouped)		
(D)	# of days alcohol was	$n^{alc}$	
d7many3_19	consumed in the last		
	week		
(D) GOR1	Government region office	g	
	number		
(D) BMIval	Valid BMI measurement	bmi	
	(weight estimated if		
	130kg)		
(D) omsysval	Valid mean systolic	sbp	
	blood pressure		
(D)	Current smoking status	S	
smoking_status	(binary)		
(D) age_estim	Estimated age	a	

hypertension.

## Methodology

#### **Exploratory Analysis**

The full HSE 2019 cross-sectional data set contains 10,299 observations across thousands of variables (NatCen Social Research and Health 2019), but we only studied patients over the age of 16 among key variables. Our subset included 8,204 participants and 19 variables, which are described in Table 1.

#### Duplicates

We found 36 pairs of observations with exactly equal variables (excluding ID variables and lab measurements), but we did not remove these from our analysis because the supporting documentation didn't state a protocol for repeated visits. We assumed these were genuine observations from different participants and thus included them in our dataset. However, there were 3 pairs of observations that had duplicate lab variables also. Due to the high precision of measurements, we believed these to be true duplicates and removed one observation from each

Table 2: Missing values in the training dataset

Variable	Missing Values	% Missing
omsysval	4036	61.5%
BMIVal	1519	23.2%
dnoft_19	1496	22.8%
cigdyal_19	57	0.869%
cigsta3_19	56	0.854%
NDPNow_19	53	0.808%
d7many3_19	52	0.793%
drinkYN_19	51	0.777%
topqual2	46	0.701%
origin2	29	0.442%
marstatD	1	0.0152%

of the three pairs, resulting in a dataset of 8201 observations.

#### **Dichotomy of Factors**

All variables were originally coded as numeric in our dataset, so we recoded these to factor variables accordingly. Some of the variables used for analysis were dichotomised by us for easier interpretation. We coded a binary variable indicating current smoking status, which served as a response variable. Also, we dichotomised urbanity into two levels being 'Rural' and 'Urban', and finally marital status into 'Married' and 'Not Married'. We grouped respondent's level of education into 'Higher Education', 'Further Education', 'A-Level equiv.', 'GCSE equiv.', 'No qualification' and 'Foreign/other', and we grouped alcohol frequency into "Frequent", "Occasional" and "Rarely" based on the response to the question "How often did you consume alcohol in the last 12 months?".

#### Missingness

There is significant missingness in the lab values, as shown in Table 2. These can be explained by the fact additional consent was needed from the participant to allow a nurse visit. One variable with a substantial amount of missing data was the frequency of drinking in the last 12 months, which due to the retrospective and sensitive nature of the question could be explained by either recall bias or a participant's refusal to answer. As a result, we decided to remove this variable from analysis in favour of a binary variable which simply states whether the respondent has drunk alcohol in the last 12 months. Education level, marital status and ethnicity are the only socioeconomic variables with any missing entries, with 46 observations (0.701\% of the data) missing at least one of the three. These were not necessary for identifiability and as they are sensitive, we did not expect every participant to answer these questions. Therefore, we did not remove these observations.

#### **Outliers and Data Issues**

We attempted to determine whether the two lab measurement variables contained potential outliers, and as can be seen in Figure 1, there were many outliers for BMI. We note that calculated BMI used self-estimated weight from the participant if this weight exceeded 130kg. Additionally, weight measurements

were taken in inconsistent environments such as on different flooring in the participants' homes which is known to impact measurement accuracy (Scientist 2002). We believed this could have explained the extremely high BMI values in the range of 54.82 to 73.49. We coded such values as missing in the analysis dataset, but kept the observation.

The readings for systolic blood pressure were collected by taking an average of three readings, each five minutes apart, performed by a trained nurse who had to declare each reading to be valid. For this reason, it seemed highly unlikely that these readings were mistakes, and so we included them in our analysis. The measurement device used has been validated for use in this environment (Yechiam Ostchega PhD 2009). We did, however, note that values of systolic blood pressure that were this consistently high (>140mmHg) were indicators for hypertensive crisis, and so a part of our population may have serious underlying health conditions that could affect the generalisability of our findings (Association 2023).

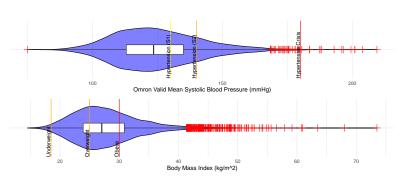


Figure 1: Distribution of BMI and Mean Systolic Blood Pressure

## Analysis

### What is the prevelence of drinking, smoking and E-cig usage?

To calculate the prevalence of these three habits we assumed each of the *n* observations,  $x_1, \ldots, x_n$ , to be independent, identically distributed (iid) random variables (RVs) where  $x_i \sim$  $Bern(p) \, \forall i = 1, \dots, n$  and p denotes the probability of a habit being present for the given observation.

We used the household-level weighting variable to calculate a weighted Maximum Likelihood Estimate (MLE) of p. That is, letting  $w_i$  denote the weight of the  $i^{th}$  observation, we altered the standard likelihood function of a Bernoulli distribution as below:

$$L(p|\mathbf{x}) = \prod_{i=1}^{n} (p^{x_i}(1-p)^{1-x_i})^{w_i}$$

From this, we calculated our weighted MLE as  $\hat{p} = \frac{\sum_{i=1}^{n} x_i w_i}{\sum_{i=1}^{n} w_i}$ . It can also be shown that the MLE has variance given by  $var(p) = \frac{p(1-p)}{\sum_{i=1}^{n} w_i}$ , which we estimated using  $\hat{p}$ . We used large sample properties of the MLE to get a normal approximation and estimated 95% confidence intervals for each habit, which are shown in Table 3.

Table 3: Estimates and 95% Confidence Intervals for % of Population

Habit	Estimate	C.I.
Drinking	80.4%	(79.5%, 81.2%)
Smoking	16.5%	(15.7%, 17.3%)
Smoking E-cigarettes	4.28%	(3.84%, 4.72%)

We found that the e-cigarette usage among adults is relatively low, making it challenging to dissect any significant trends within the data. However, since drinking and smoking were so prevalent among the respondents, we had sufficient data to identify any potential trends even within smaller groups of our sample.

Next, we worked to uncover factors that may have associations with smoking prevalence. We started with the demographic factors of age and gender, plotting the smoking prevalence across combinations of these groups to identify any patterns. Figure 4 suggests a negative correlation between age and the proportion of current cigarette smokers, across both genders. Interestingly, the proportion of males who quit smoking in later-life was much greater than that of females (75yrs+; M: 50%, F: 31%).

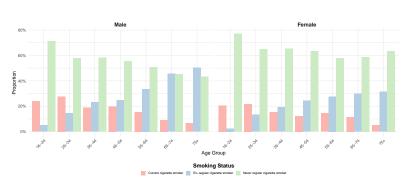


Figure 2: Smoking and drinking status proportions by age group and gender

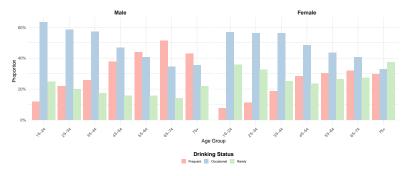


Figure 3: Smoking and drinking status proportions by age group and gender

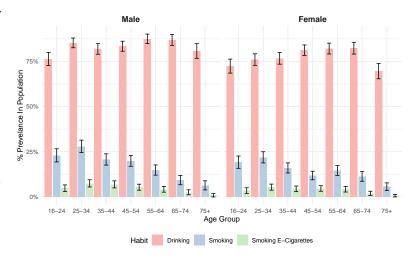


Figure 4: Estimation of the prevelance of habits by age group and gender.

Males demonstrated a higher prevalence of drinking across nearly all demographic categories in comparison to females. We observed a positive correlation between smoking and deprivation levels, with 25.76% of individuals in the most deprived quintile being smokers, a significant increase from 9.33% in the least deprived.

# How is smoking associated with socioeconomic factors and age?

We reserved 80% of our data to train the model, and used the remaining 20% to test the model, which was made possible due to the large size of our dataset. The training set contained 6561 observations and the test set contained 1640. Table 4 summarises the key variables between the test and train dataset to illustrate that both are representative of the whole dataset. This reduced the risk of overfitting and allowed us to test the predictive power of each model we proposed.

To develop a predictive model for identifying smokers, we used the binary variable for current smoking status as a response, with various socioeconomic and demographic factors as predictors. These were selected based on the associations suggested by our prior analysis.

Under the assumption that the age of participants were approximately uniformly distributed within their respective age bands, we defined the estimated age of the  $i^{th}$  observation as the midpoint of the participant's respective 5-year age group (taking the estimation of the 90+ category as 92.5), denoted  $a_i$ . We found that age may represent a somewhat quadratic effect on the probability of smoking, leading us to include a  $a_i^2$  term in our model. A comparison of these models are summarised in Table 5.

We selected the model based on AIC, which enabled us to balance model complexity and model fit (quantified by likelihood),

Table 4: Comparison of the characteristics of the training set compared with the test set.

	Proportion (%)		
Variable:Label	Test	Train	
Sex:Male	43.5	45.1	
Sex:Female	56.5	54.8	
topqual2:No qualification	19.7	19.8	
topqual2:GCSE equiv.	21.2	20.9	
topqual2:A-Level equiv.	14.0	13.4	
topqual2:Further Education	28.5	28.4	
topqual2:Higher Education	15.9	16.1	
topqual2:Foreign/Other	0.7	1.3	
marstatD:Married	55.4	51.9	
marstatD:Not Married	44.6	48.1	
urban14b:Urban	80.3	81.4	
urban14b:Not Urban	19.7	18.6	
origin2:White	85.9	85.8	
origin2:Black	3.1	2.9	
origin2:Asian	8.8	8.7	
origin2:Multiple	1.4	1.7	
origin2:Other	0.8	0.9	
	Mean	Mean	
Age(Estimated)	51.2	51.0	

Table 5: Comparison of selected model evaluations, wherein  $\eta_i = \text{logit}(\mu_i)$ , and Acc. refers to the model accuracy on test data.

			RMSE		
Model	AIC	AUC	Train	Test	Acc.
$\eta_i \sim a_i + a_i^2 + ms_i +$	4987.3	0.759	0.342	0.324	0.861
$q_i + u_i + o_i + t_i + s_i$					
$\eta_i \sim a_i + ms_i + q_i +$	5036.4	0.740	0.343	0.327	0.861
$u_i + o_i + t_i + s_i$					
$\eta_i \sim a_i^{(5)} + ms_i +$	4994.3	0.759	0.341	0.325	0.861
$q_i + u_i + o_i + t_i + s_i$					
$\eta_i \sim a_i^{(10)} + ms_i +$	5005.9	0.753	0.342	0.324	0.860
$q_i + u_i + o_i + t_i + s_i$					

which further reduced the risk of overfitting. This model is:

$$logit(\mu_i) \sim \beta_0 + \beta_1 a + \beta_2 a^2 + \beta_3 q + \alpha_j^{ms} + \alpha_k^u + \alpha_l^o + \alpha_m^t + \alpha_n^s$$
  
where  $j \in \{Married, Not Married\}, k \in \{Urban, Not Urban\},$   
 $l \in \{White, \dots, Black\}, n \in \{Male, Female\}$  and  $m \in \{Higher Education, \dots, No qualification\}.$ 

To evaluate the predictive performance of our final model using our test data, Figure 4 demonstrates the predicted probability of each 'probability bin' against the mean actual outcomes. As we can see the calibration curve closely follows the line y=x, which is indicative of a well-calibrated model.

This model doesn't predict high values due to the low prevalence of smoking within the population. The highest likelihood our model predicts is 63.75% All results should be interpreted with the population average in mind, which is ~16.5%. For example, if the model predicts the likelihood of an individual being a

smoker at 33%, said individual is twice as more likely to be a smoker than the average. This effect can be seen in the following calibration chart.

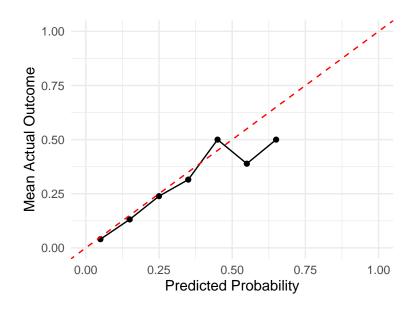


Figure 5: Calibration chart for Binomial model

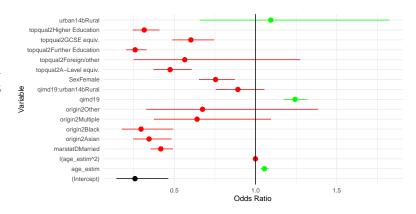


Figure 6: Forest plot of Odds Ratios for the binomial model

For interpretability, we decided to include a forest plot of the odds ratio for each variable in our model, as the log-odds can be difficult to interpret. The intercept 0.257 can be interpreted as the probability of a white, non-married, unqualified male living in urban area being a smoker, using the reference levels of each factor. On average, the blue factors increase the probability and the red factors decrease the probability. Being Black, Asian, Married or a female significantly decreases this probability, so this is evidence of a socioeconomic effect.

# Which lifestyle habits are associated with systolic blood pressure?

We chose to model the systolic blood pressure (BP) using the Inverse-Normal distribution with a  $1/\mu_i^2$  link function. This distribution was a suitable choice because this variable has a lot of outliers, is right-skewed and strictly positive. We also tested a Log-Normal distribution, a Normal distribution with identity link and a Gamma distribution with an inverse link but found that the Inverse-Normal distribution was best able

to account for the higher values of BP in the Q-Q plots. The data we used to fit this model was the same training data set as the Binomial model. We filtered out NA values of BMI and BP as we only want to consider respondents that had lab measurements taken, leaving us with 2960 observations.

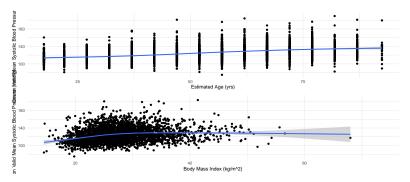


Figure 7: Relationship of BMI and Age with Mean Systolic Blood Pressure

We studied the relationship between both age and BMI with BP, shown in Figure 7. There was a quadratic relationship between BMI and BP so we decided to include a quadratic term in our model. To account for possible dependencies between variables, we tried fitting models with different interaction terms and found three that were significant. These were between BMI & Age (Medicine 2017), BMI & gender (K. et al. 2019) and Age & Sex (M. et al. 2019), which are supported by previous research.

To determine the predictors in the final model, we used a stepwise approach starting with the full model and eliminating variables based on AIC. The final model selected was

$$\begin{split} &\eta_{ijklm} \sim cig_{ij} + a_i + ms_{ik} + u_{il} + bmi_i + bmi_i^2 + s_{im} + n_i^{alc} + \\ &\gamma_{im}^{bmi:s}bmi_i + \gamma_{im}^{a:s}a_i + \alpha_i^{a:bmi}\$ \end{split}$$

omsysval ~ cigsta3\_19 + age\_estim + marstatD + urban14b + BMIVal + I(BMIVal ^ 2) + Sex + d7many3\_19 + BMIVal:Sex + age\_estim:Sex + BMIVal:age\_estim

The Q-Q plot in Figure 8 shows a good fit to the distribution and, despite some deviation at both ends of the scale, the model captured the outliers more accurately than other distributions we tried. On our testing dataset we achieved a RMSE of 14.3mmHg, which was relatively small compared to the threshold for hypertensive crisis of 140mmHg+.

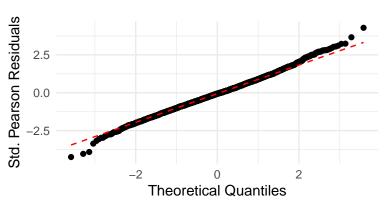


Figure 8: Q-Q plot of Inverse-Normal model residuals

We found that, on average, young females are predicted lower blood pressure than young men but as they age, their blood pressure increases at a faster rate. Controlling for other lifestyle choices included in the model, a female's blood pressure can be expected to exceed an equivalent male's at around 72 years old. Figure 9 shows this relationship. One of the biggest influences on BP was smoking status, and we found smoking was associated with higher blood pressure for both males and females, also shown in Figure 10. This effect is largest for males and a typical male can expect a 1.69% increase in BP by taking up smoking.

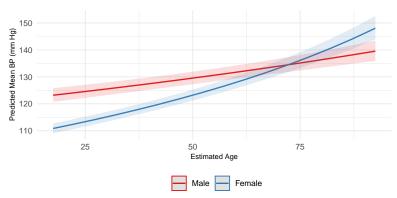


Figure 9: Marginal effects of Age on Systolic Blood Pressure

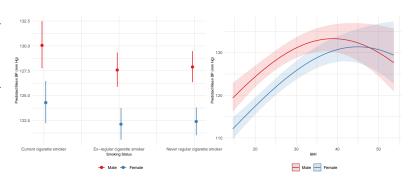


Figure 10: Marginal effects of Smoking Status and BMI on Systolic Blood Pressure

Drinking was also related to higher BP, and we observed a linear relationship in Figure 11 with the number of days that alcohol was consumed in the previous week. On average, one extra day of drinking led to an increase of 0.74 mmHg.

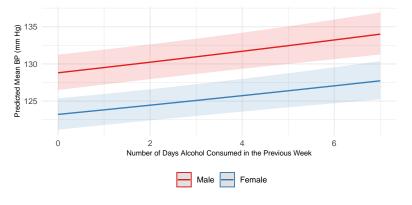


Figure 11: Marginal effects of alcohol consumption on Systolic Blood Pressure

### Results/Conclusion

Our analysis showed that alcohol consumption is highly common in our sample, with approximately 80.4% being consumers, while smoking and vaping rates were lower at 16.5% and 4.3%, respectively. Older males showed the highest tendencies for alcohol consumption, with 'frequent' drinking the most prevalent among males aged 65-74. Unlike 'frequent' alcohol consumption, the prevalence of smoking decreases with age. Individuals aged 16-24 appear to be the most susceptible to smoking cigarettes, indicating a significant issue within youth culture. Moreover, we found interesting, opposing associations between deprivation levels and smoking and drinking behaviours. Smoking prevalence seemed to increase as deprivation levels decreased, whereas alcohol consumption appeared to do the opposite.

To help us uncover the underlying socio-economic factors that may drive the prevalence of smoking, we looked at the most 'comparable' respondent type in our dataset. This 'respondent' is a white, single male who has no qualifications and lives in an urban area. We found that the probability of our hypothetical respondent being a smoker is approximately 25%, which can be seen from Figure 6. This is notably higher than the population average of 16.5. The smoking prevalence estimate in our sample is significantly higher than that of the population of interest (16.5\% vs. 13.9\%, outside of the CI in Table 3). The only socioeconomic variables to certainly increase this probability is the deprivation level our respondent falls under. The rest of the socio-economic variables we have access to, appear to decrease the likelihood of smoking. For example, if our respondent is any of the following: Asian, black, married, or went on to further education, the chances of them being a smoker is at-least halved.

If we had more observations from current smokers, we may have been able to increase the positive predictive value of our final model for smoking status, and hence obtain increased accuracy. Due to the cross-sectional format of the study, we are unable to comment on temporal changes in smoking habits or establish a causal relationship. Furthermore, access to data from the whole of the UK could improve generalisability, but including participants with hypertensive crisis helps to generalise our findings to those who may need the most attention and preventative care from healthcare providers.

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