$\label{eq:MATH251-Algebra2} MATH251 - Algebra2 \\ \textit{Vector spaces, linear (in) dependence, span, bases; linear transformations, kernel, image, isomorphisms, nilpotent operators.}$

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1 Introduction

Remark 1.1. This course is about vector spaces and linear transformations between them; a vector space involves multiplication by scalars, where the scalars come from some field. We recall first examples of fields, then vector spaces, as a motivation, before presenting a formal definition.

1.1 Vector Spaces

Remark 1.2. *Much of this is recall from Algebra 1.*

® Example 1.1: Examples of Fields

- 1. Q; the field of rational numbers.
- 2. \mathbb{R} ; the field of real numbers; $\mathbb{Q} \subseteq \mathbb{R}$.
- 3. \mathbb{C} ; the field of complex numbers; $\mathbb{Q} \subseteq \mathbb{R} \subseteq \mathbb{C}$.
- 4. $\mathbb{F}_p \equiv \mathbb{Z}/p\mathbb{Z} \equiv \{0, 1, \dots, p-1\}$; the (unique) field of pelements, where pprime.

(a)
$$p = 2$$
; $\mathbb{F}_2 \equiv \{0, 1\}$.

(b)
$$p = 3$$
; $\mathbb{F}_3 \equiv \{0, 1, 2\}$.

(c) ···

Remark 1.3. *Throughout the course, we will denote an abstract field as* \mathbb{F} *.*

 $[\]overline{a}$ where $a +_p b :=$ remainder of $\frac{a + b}{p}$, $a \cdot_p b :=$ remainder of $\frac{a \cdot b}{p}$.

® Example 1.2: Examples of Vector Spaces

- 1. $\mathbb{R}^3 := \{(x, y, z) : x, y, z \in \mathbb{R}\}$. We can add elements in \mathbb{R}^3 , and multiply them by real scalars.
- 2. $\mathbb{F}^n := \underbrace{\mathbb{F} \times \mathbb{F} \times \cdots \mathbb{F}}_{} := \{(a_1, a_2, \dots, a_n) : a_i \in \mathbb{F}\}, \text{ where } n \in \mathbb{N}^1; \text{ this is a generalization of the } \mathbb{F}^n := \underbrace{\mathbb{F} \times \mathbb{F} \times \cdots \mathbb{F}}_{} := \underbrace{\mathbb{F} \times \mathbb{F} \times \cdots \times \mathbb{F}}_{} := \underbrace{\mathbb{$

previous example, where we took n = 3, $\mathbb{F} = \mathbb{R}$. Operations follow identically; addition:

$$(a_1, a_2, \ldots, a_n) + (b_1, b_2, \ldots, b_n) := (a_1 + b_1, a_2 + b_2, \ldots, a_n + b_n)$$

and, taking a scalar $\lambda \in \mathbb{F}$, multiplication:

$$\lambda \cdot (a_1, a_2, \dots, a_n) := (\lambda \cdot a_1, \lambda \cdot a_2, \dots, \lambda \cdot a_n).$$

We refer to these elements (a_1, \dots, a_n) as *vectors* in \mathbb{F}^n ; the vector for which $a_i = 0 \,\forall i$ is the 0 *vector*, and is the additive identity, making \mathbb{F}^n an abelian group under addition, that admits multiplication by scalars from \mathbb{F} .

- 3. $C(\mathbb{R}) := \{f : \mathbb{R} \to \mathbb{R} : f \text{ continuous}\}$. Here, we have the constant zero function as our additive identity $(x \mapsto 0 \,\forall x)$, and addition/scalar multiplication of two continuous real functions are continuous.
- 4. $\mathbb{F}[t] := \{a_0 + a_1t + a_2t^2 + \cdots + a_nt^n : a_i \in \mathbb{F} \forall i, n \in \mathbb{N}\}$, ie, the set of all polynomials in t with coefficients from \mathbb{F} . Here, we can add two polynomials;

$$(a_0 + a_1t + \dots + a_nt^n) + (b_0 + b_1t + \dots + b_mt^m) := \sum_{i=0}^{\max\{n,m\}} (a_i + b_i)t^i,$$

(where we "take" undefined a_i/b_i 's as 0; that is, if m > n, then $a_{m-n}, a_{m-n+1}, \ldots, a_m$ are taken to be 0). Scalar multiplication is defined

$$\lambda \cdot (a_0 + a_1t + a_2t^2 + \dots + a_nt^n) := \lambda a_0 + \lambda a_1t + \lambda a_2t^2 + \dots + \lambda a_nt^n.$$

Here, the zero polynomial is simply 0 (that is, $a_i = 0 \forall i$).

¹Where we take $0 \in \mathbb{N}$, for sake of consistency. Moreover, by convention, we define \mathbb{F}^0 (that is, when n = 0) to be {0}; the trivial vector space.

○ Definition 1.1: Vector Space

A vector space V over a field \mathbb{F} is an abelian group with an operation denoted + (or $+_V$) and identity element²denoted 0_V , equipped with scalar multiplication for each scalar $\lambda \in \mathbb{F}$ satisfying the following axioms:

- 1. $1 \cdot v = v$ for $1 \in \mathbb{F}$, $\forall v \in V$.
- 2. $\alpha \cdot (\beta \cdot v) = (\alpha \cdot \beta)v, \forall \alpha, \beta \in \mathbb{F}, v \in V.$
- 3. $(\alpha + \beta) \cdot v = \alpha \cdot v + \beta \cdot v, \forall \alpha, \beta \in \mathbb{F}, v \in V.$
- 4. $\alpha \cdot (u+v) = \alpha \cdot u + \alpha \cdot v, \forall \alpha \in \mathbb{F}, u, v \in V.$

We refer to elements $v \in V$ as vectors.

→ Proposition 1.1

For a vector space V over a field \mathbb{F} , the following holds:

- 1. $0 \cdot v = 0_V$, $\forall v \in V$ (where $0 := 0_{\mathbb{F}}$)
- 2. $-1 \cdot v = -v$, $\forall v \in V \text{ (where } 1 := 1_{\mathbb{F}})^3$
- 3. $\alpha \cdot 0_V = 0_V$, $\forall \alpha \in \mathbb{F}$

Proof. 1. $0 \cdot v = (0+0) \cdot v = 0 \cdot v + 0 \cdot v \implies 0 \cdot v = 0_V$ (by "cancelling" one of the $0 \cdot v$ terms on each side).

- 2. $v + (-1 \cdot v) = (1 \cdot v + (-1) \cdot v) = (1 1) \cdot v = 0 \cdot v = 0_V \implies (-1 \cdot v) = -v$.
- 3. $\alpha \cdot 0_V = \alpha \cdot (0_V + 0_V) = \alpha \cdot 0_V + \alpha \cdot 0_V \implies \alpha \cdot 0_V = 0_V$ (by, again, cancelling a term on each side).

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1.2 Creating Spaces from Other Spaces

²The "zero vector".

³NB: "additive inverse"

→ **Definition** 1.2: Product/Direct Sum of Vector Spaces

For vector spaces U, V over the same field \mathbb{F} , we define their *product* (or *direct sum*) as the set

$$U \times V = \{(u, v) : u \in U, v \in V\},$$

with the operations:

$$(u_1, v_1) + (u_2, v_2) := (u_1 + u_2, v_1 + v_2)$$

 $\lambda \cdot (u, v) := (\lambda \cdot u, \lambda \cdot v)$

⊗ Example 1.3: F

 $\mathbb{F}^2 = \mathbb{F} \times \mathbb{F}$, where \mathbb{F} is considered as the vector space over \mathbb{F} (itself).

○ Definition 1.3: Subspace

For a vector space V over a field \mathbb{F} , a *subspace* of V is a subset $W \subseteq V$ s.t.

- 1. $0_V \in W^4$
- 2. $u + v \in W \ \forall u, v \in W$ (closed under addition)
- 3. $\alpha \cdot u \in W \ \forall \ u \in W, \alpha \in \mathbb{F}^5$

Then, W is a vector space in its own right.

⁴This is equivalent to requiring that $W \neq \emptyset$; stated this way, axiom 3. would necessitate that $0 \cdot w = 0_V \in W$.

⁵Note that these axioms are equivalent to saying that W is a subgroup of V with respect to vector addition; 2. ensures closed under addition, and 3. ensures the existence of additive inverses (as per $-1 \cdot v = -v$).

® Example 1.4: Examples of Subspaces

- 1. Let $V := \mathbb{F}^n$.
 - $W := \{(x_1, x_2, \dots, x_n) \in \mathbb{F}^n : x_1 = 0\} = \{(0, x_2, x_3, \dots, x_n) : x_i \in \mathbb{F}\}.$
 - $W := \{(x_1, x_2, \dots, x_n) \in \mathbb{F}^n : x_1 + 2 \cdot x_2 = 0\}$

<u>Proof.</u> Let $x = (x_1, ..., x_n)$, $y = (y_1, ..., y_n) \in W$. Then, $x + y = (x_1 + y_1, ..., x_n + y_n)$, and $x_1 + y_1 + 2 \cdot (x_2 + y_2) = x_1 + 2 \cdot x_2 + y_1 + 2 \cdot y_2 = 0 + 0 = 0 \implies x + y \in W$. Similar logic follows for axioms 2., 3.

• (More generally)

$$a_{11}x_1 + \dots + a_{1n}x_n = 0$$

$$W := \{(x_1, \dots, x_n) \in \mathbb{F}^n : a_{21}x_1 + \dots + a_{2n}x_n = 0 \},$$

$$\vdots$$

$$a_{k1}x_1 + \dots + a_{kn}x_n = 0$$

that is, a linear combination of homogenous "conditions" on each term.

- $W^* := \{(x_1, \dots, x_n) : x_1 + x_2 = 1\}$ is *not* a subspace; it is not closed under addition, nor under scalar multiplication.
- 2. Let $\mathbb{F}[t]_n := \{a_0 + a_1t + \dots + a_nt^n : a_i \in \mathbb{F}\}$. Then, $\mathbb{F}[t]_n$ is a subspace of $\mathbb{F}[t]$, the more general polynomial space. *However*, the set of all polynomials of degree *exactly n* (all axioms fail, in fact) is not a subspace of $\mathbb{F}[t]_n$.
 - $W := \{p(t) \in \mathbb{F}[t]_n : p(1) = 0\}.$
 - $W := \{p(t) \in \mathbb{F}[t]_n : p''(t) + p'(t) + 2p(t) = 0\}.$
- 3. Let $V := C(\mathbb{R})$ be the space of continuous function $\mathbb{R} \to \mathbb{R}$.
 - $W := \{ f \in C(\mathbb{R}) : f(\pi) + 7f(\sqrt{2}) = 0 \}.$
 - $W := C^1(\mathbb{R}) :=$ everywhere differentiable functions.
 - $W := \{ f \in C(\mathbb{R}) : \int_0^1 f \, \mathrm{d}x = 0 \}.$

→ Proposition 1.2

Let W_1 , W_2 be subspaces of a vector space V over \mathbb{F} . Then, define the following:

- 1. $W_1 + W_2 := \{w_1 + w_2 : w_1 \in W_1, w_2 \in W_2\}$
- 2. $W_1 \cap W_2 := \{ w \in V : w \in W_1 \land w \in W_2 \}$

These are both subspaces of V.

Proof. 1. (a) $0_V \in W_1$ and $0_V \in W_2 \implies 0_V = 0_V + 0_V \in W_1 + W_2$.

- (b) $(u_1 + u_2) + (v_1 + v_2) = (u_1 + v_1) + (u_2 + v_2) \in W_1 + W_2$.
- (c) $\alpha \cdot (u + v) = \alpha \cdot u + \alpha \cdot v \in W_1 + W_2$
- 2. (a) $0_V \in W_1$ and $0_V \in W_2 \implies 0_V = 0_V + 0_V \in W_1 \cap W_2$.
 - (b) $u, v \in W_1 \cap W_2 \implies u + v \in W_1 \wedge u + v \in W_2 \implies u + v \in W_1 \cap W_2$.
 - (c) $\alpha \cdot u \in W_1 \wedge \alpha \cdot u \in W_2 \implies \alpha \cdot u \in W_1 \cap W_2$.

1.3 Linear Combinations and Span

→ Definition 1.4: Linear Combination

Let V be a vector space over a field \mathbb{F} . For finitely many vectors v_1, v_2, \ldots, v_n , their *linear combination* is a sum of the form

$$\sum_{i=1}^n a_i v_i = a_1 \cdot v_1 + \dots + a_n \cdot v_n,$$

where $a_i \in \mathbb{F} \ \forall i$.

A linear combination is called *trivial* if $a_i = 0 \,\forall i$, that is, all coefficients are 0.

If n = 0 (ie, we are "summing up" 0 vectors), we define the sum as the zero vector; $\sum_{i=1}^{0} a_i v_i := 0_V$.

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→ Definition 1.5: A More General Definition of Linear Combination

For a (possibly infinite) set S of vectors from V, a *linear combination* of vectors in S is a linear combination of $a_1v_1 + \cdots + a_nv_n$ for some finite subset $\{v_1, \ldots, v_n\} \subseteq S$.

⁶That is, we do not allow infinite sums.

○→ Definition 1.6: Span

For a subset $S \subseteq V$, we define its *span* as

Span(
$$S$$
) := set of all linear combinations of S := { $a_1v_1 + \cdots + a_nv_n : a_i \in \mathbb{F}, v_i \in S$ }.

By convention, we set $Span(\emptyset) = \{0_V\}$.

⊗ Example 1.5

Let $S := \{(1,0,-1), (0,1,-1), (1,1,-2)\} \subseteq \mathbb{R}^3$. Then,

$$0_{\mathbb{R}^3} = (0,0,0) = 1 \cdot (1,0,-1) + 1 \cdot (0,1,-1) + -1 \cdot (1,1,-2).$$

We claim, moreover, that $\operatorname{Span}(S) = U := \{(x, y, z) \in \mathbb{R}^3 : x + y + z = 0\}$ (a plane through the origin).

Proof. Note that $S \subseteq U$, hence $S \subseteq \operatorname{Span} S \subseteq U$. OTOH, if $(x, y, z) \in U$, we have z = -x - y, and so

$$(x, y, z) = (x, y, -x - y) = x \cdot (1, 0, -1) + y \cdot (0, 1, -1) \in \text{Span}(S)$$

hence $U \subseteq \operatorname{Span}(S)$ and thus $\operatorname{Span}(S) = U$.

Remark 1.4. We implicitly used the following claim in the proof above; we prove it more generally.

→ Proposition 1.3

Let V be a vector space over \mathbb{F} and let $S \subseteq V$. Then, $\operatorname{Span}(S)$ is always a subspace. Moreover, it is the smallest (minimal) subspace containing S (that is, for any subspace $U \supseteq S$, we have that $U \supseteq \operatorname{Span} S$).

<u>Proof.</u> Because adding/scalar multiplying linear combinations of elements of S again results in a linear combination of elements of S, and $O_V \in \text{Span}(S)$ by definition, we have that Span(S) is indeed a subspace.

If $U \supset S$ is a subspace of V containing S, then by definition U is closed under addition, that is, taking linear combinations of its elements (in particular, of elements of S); hence, $U \supset \operatorname{Span}(S)$.

→ Lemma 1.1

For $S \subseteq V$ and $v \in V$, $v \in \operatorname{Span}(S) \iff \operatorname{Span}(S \cup \{v\}) = \operatorname{Span}(S)$.

Proof. (\Longrightarrow) Let $v \in \text{Span}(S) \Longrightarrow v = a_1v_1 + \cdots + a_nv_n$, $a_i \in \mathbb{F}$, $v_i \in V$. Then, for any linear combination

$$b_1u_1 + \cdots + b_mu_m + b \cdot v = b_1u_1 + \cdots + b_mu_m + b(a_1v_1 + \cdots + a_nv_n)$$

is a linear combination of vectors in $S \cup \{v\}$ (first equality) or equivalently, a combination of vectors in S (second equality) and thus Span $(S \cup \{v\}) \subseteq$ Span S. The reverse inclusion follows trivially.

 $(\longleftarrow) \operatorname{Span}(S \cup \{v\}) = \operatorname{Span} S \implies v \in \operatorname{Span}(S).$

⊗ Example 1.6

(From the above example) We have

$$Span(\{(1,0,-1),(0,1,-1)\} \cup \{(1,1,-2)\}) = Span(\{(1,0,-1),(0,1,-1)\}),$$

since $(1, 1, -2) \in \text{Span}(\{(1, 0, -1), (0, 1, -1)\})$ (it was redundant, as it could be generated by the other two vectors).

→ <u>Definition</u> 1.7: Spanning Set

Let *V* be a vector space over a field \mathbb{F} . We call $S \subseteq V$ a *spanning set* for *V* if Span(S) = V. We call such a spanning set *minimal* if no proper subset of *S* is a spanning set $(\nexists v \in S \text{ s.t. } S \setminus \{v\} \text{ spanning})$.

Remark 1.5. Note that any $S \subseteq V$ is a spanning for Span(S). But, S may not be minimal; indeed, consider the previous example. We were able to remove a vector from S while having the same span.

⊗ Example 1.7

For \mathbb{F}^n as a vector space over \mathbb{F} , the *standard spanning set*

$$St := \{\underbrace{(1,\ldots,0)}_{:=e_1}, \underbrace{(0,1,0,\ldots,0)}_{:=e_2}, \ldots, \underbrace{(0,\ldots,1)}_{e_n}\}.$$

Given any $x := (x_1, \dots, x_n) \in \mathbb{F}^n$, we can write

$$x = x_1 \cdot e_1 + \cdots \times x_n \cdot e_n$$
.

This is clearly minimal; removing any e_i would then result in a 0 in the ith "coordinate" of a vector, hence St \{ e_i } would span only vectors whose ith coordinate is 0.

→ <u>Definition</u> 1.8: Linear Dependence

Let V be a vector space over a field \mathbb{F} . A set $S \subseteq V$ is said to be *linearly dependent* if there is a nontrivial linear combination of vectors in S that is equal to 0_V .

Conversely, S is called *linearly independent* if there is no nontrivial linear combination of vectors in S that is equal to 0_V ; all linear combinations of vectors in S that equal 0_V are trivial.

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SExample 1.8

- 1. The empty set \emptyset is linearly independent; there are no non-trivial linear combinations that equal 0_V (there are no linear combinations at all).
- 2. For $v \in V$, the set $\{v\}$ is linearly dependent iff $v = 0_V$.
- 3. $S := \{(1,0,-1),(0,1,-1),(1,1,-2)\} := \{v_1,v_2,v_3\}; S \text{ is linearly dependent } (v_1+v_2-v_3=(0,0,0)).$
- 4. $V := \mathbb{F}^3$; $S := \{(1, 0, -1), (0, 1, -1), (0, 0, 1)\} = \{v_1, v_2, v_3\}$ is linearly independent.

Proof. Suppose

$$a_1v_1 + a_2v_2 + a_3v_3 = 0_V$$

$$\implies a_1 = 0 \land a_2 = 0 \land -a_1 - a_2 + a_3 = 0 \implies a_3 = 0$$

$$\implies a_1 = a_2 = a_3 = 0$$

Hence only a trivial linear combination is possible.

5. St_n is linearly independent.

Proof.

$$\sum_{i=1}^{n} a_i e_i = 0_{\mathbb{F}^n} \implies a_i = 0 \,\forall i$$

← Lemma 1.2

Let *V* be a vector space over a field \mathbb{F} , and $S \subseteq V$ (possibly infinite).

- 1. *S* is linearly dependent \iff there is a finite subset $S_0 \subseteq S$ that is linearly dependent.
- 2. S is linearly independent \iff all finite subsets of S are linearly independent.

Proof. 2. follows from the negation of 1.

 (\longleftarrow) Trivial.

(\Longrightarrow) Suppose S linearly dependent. Then, 0_V = some nontrivial linear combination of vectors v_1, \ldots, v_n in S. Let $S_0 = \{v_1, \ldots, v_n\}$, then, S_0 is linearly dependent itself.

1.4 Linear Dependence and Span

→ Proposition 1.4

Let *V* be a vector space over a field \mathbb{F} and $S \subseteq V$.

- 1. *S* linearly dependent $\iff \exists v \in \text{Span}(S \setminus \{v\}).$
- 2. *S* linearly independent \iff there is no $v \in \text{Span}(S \setminus \{v\})$.

Proof. 2. follows from the negation of 1.

(\Longrightarrow) Suppose S linearly dependent. Then, $0_V = \sum_{i=1}^n a_i v_i$ for some nontrivial linear combination of distinct vectors S. At least one of $a_i \ne 0$; we can assume wlog (reindexing) $a_1 \ne 0$. Then,

$$a_1v_1 = -\sum_{i=2}^n a_iv_i \implies v_1 = (-a_1^{-1})\sum_{i=2}^n a_iv_i = \sum_{i=2}^n (-a_1^{-1}a_i)v_i,$$

hence, $v_1 \in \operatorname{Span}(\{v_2, \dots, v_n\}) \subseteq \operatorname{Span}(S \setminus \{v\})$

 (\longleftarrow) Suppose $v \in \text{Span}(S \setminus \{v\})$, then $v = a_1v_1 + \cdots + a_nv_n$, with $v_1, \ldots, v_n \in S \setminus \{v\}$, thus

$$0_V = a_1 v_1 + \cdots + a_n v_n - v_n$$

which is not a trivial combination (-1 on the v; v cannot "merge" with the other vectors), hence S is linearly dependent.

← Corollary 1.1

 $S \subseteq V$ is linearly independent $\iff S$ a minimal spanning set of Span S.

Proof. Follows from proposition 1.4, 2.

→ **Definition** 1.9: Maximally Independent

Let V be a vector space over a field \mathbb{F} . A set $S \subseteq V$ is called *maximally independent* if S is linearly independent and $\nexists v \in V \setminus S$ s.t. $S \cup \{v\}$ is still linearly independent.

In other words, there is no proper supset $\tilde{S} \supseteq S$ that is still independent.

← Lemma 1.3

If $S \subseteq V$ maximally independent, then S is spanning for V.

<u>Proof.</u> Let $S \subseteq V$ be maximally independent. Let $v \in V$; supposing $v \notin S$ (in the case that $v \in S$, then $v \in S$ (in the case that $v \in S$). By maximality, $S \cup \{v\}$ is linearly dependent, hence there exists a nontrivial linear

combination that equals 0_V . Since S independent, this combination must include v, with a nonzero coefficient. We can write

$$av + \sum_{i=1}^{n} a_i v_i = 0_V \quad a \neq 0, v_i \in S$$

$$\implies v = \sum_{i=1}^{n} (-a^{-1}a_i)v_i \in \operatorname{Span} S.$$

\hookrightarrow Theorem 1.1

Let *V* be a vector space over a field \mathbb{F} and let $S \subseteq V$. TFAE:

- 1. *S* is a minimal spanning set;
- 2. *S* is linearly independent and spanning;
- 3. *S* is a maximally linearly independent set;
- 4. Every vector in *V* is equal to *unique* linear combination of vectors in *S*.

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<u>Proof.</u> (1. \implies 2.) Suppose *S* is spanning for *V* and is minimal. Then, by corollary 1.1, we have that *S* is linearly independent, and is thus both linearly independent and spanning.

(2. \Longrightarrow 3.) Suppose *S* is linearly independent and spanning. Let $v \in V \setminus S$; *S* is spanning, hence $v \in Span S$, that is, there exists a linear combination of vectors in *S* that is equal to v:

$$v = a_1v_1 + \cdots + a_nv_n, a_i \in \mathbb{F}, v_i \in S.$$

Thus, $0_V = a_1v_1 + \cdots + a_nv_n - v$, thus $S \cup \{v\}$ is linearly dependent, and so S is maximally linearly independent.

(3. \implies 1.) Suppose *S* is maximally linearly independent. By lemma 1.3, *S* is spanning, and since *S* is linearly independent, by corollary 1.1, *S* is minimally spanning for Span *S*.

(2. \implies 4.) Suppose *S* is linearly independent and spans *V*, and let $v \in V$. We have that $v \in \operatorname{Span} S$ and hence is equal to a linear combination of vectors in *S*. This gives existence; we now need to prove uniqueness.

Suppose there exist two linear combinations that equal *v*,

$$v = a_1v_1 + \cdots + a_nv_n = b_1u_1 + \cdots + b_mu_m,$$

 $a_i, b_j \in \mathbb{F}$, $v_i, u_j \in S$. With appropriate reindexing/relabelling and allowing certain scalars to equal 0, we can assume that the combinations use the same vectors (with potentially different coefficients), that is,

$$v = a_1 w_1 + \cdots + a_k w_k = b_1 w_1 + \cdots + a_k w_k$$
.

This implies, then,

$$(a_1 - b_1)w_1 + \cdots + (a_k - b_k)w_k = 0_V$$

and by the assumed linear independent of S, each coefficient $(a_i - b_i) = 0 \,\forall i \implies a_i = b_i \,\forall i$, hence, these are indeed the same representations, and thus this representation is unique.

(4. \implies 2.) Suppose every vector in V admits a unique linear combination of vectors in S. Clearly, then, S is spanning. It remains to show S is linearly independent. Suppose

$$0_V = a_1 v_1 + \cdots + a_n v_n$$

for $v_i \in S$. But we have that every vector has a unique representation, and we know that $a_i = 0 \,\forall i$ is a (valid) linear combination that gives 0_V ; hence, this must be the unique combination, $a_i = 0 \,\forall i$, and the linear combination above is trivial. Hence, S is linearly independent and spanning.

→ Definition 1.10: Basis

If any (hence all) of the above statements hold, we call *S* a *basis* for *V*.

In the words of 4., we call the unique linear combination of vectors in S that is equal to v the unique representation of v in S. Its coefficients are called the Fourier coefficients of v in S.

⊗ Example 1.9

- 1. St_n = { e_i : $1 \le i \le n$ } is a basis for \mathbb{F}^n .
- 2. In \mathbb{F}^3 , the set

$$\{(1,0,-1),(0,1,-1),(0,0,1)\}$$

is a basis; it is linearly independent and spanning.

3. For $\mathbb{F}[t]_n$, the standard basis is

$$\{1, t, t^2, \dots, t^n\}.$$

4. For $\mathbb{F}[t]$, the standard basis is

$$S := \{1, t, t^2, \dots\} = \{t^n : n \in \mathbb{N}\}.$$

5. Let $\mathbb{F}[\![t]\!]$ denote the space of all formal power series $\sum_{n\in\mathbb{N}}a_nt^n$; polynomials are an example, but with only finite nonzero coefficients. Note that, then, the set S defined above is not a basis for this "extended" set. We *can* in fact find a basis for this set; we need more tools first.

p. 13

← Theorem 1.2

Every vector space has a basis.

Remark 1.6. This theorem relies on assuming the Axiom of Choice.

Proof (Attempt). (Of theorem 1.2) We will try to "inductively" build a maximally independent set, as follows:

Begin with an empty set $S_0 := \emptyset$, and iteratively add more vectors to it. Let $v_0 \in V$ be a non-zero vector, and let $S_1 := \{v_0\}$.

If S_1 is maximal, then we are done. Otherwise, there exists a new vector $v_1 \in V \setminus S_1$ s.t. $S_2 := \{v_0, v_1\}$ is still independent.

If S_2 is maximal, then we are done. Otherwise, there exists a new vector $v_2 \in V \setminus S_2$ s.t. $S_3 := \{v_0, v_1, v_2\}$ is still independent.

Continue in this manner; this would take arbitrarily many finite, or even infinite, steps; we would need some "choice function" that would "allow" us to choose any particular ith vector v_i .

We can make this construction precise via the Axiom of Choice and transfinite induction (on ordinals); alternatively, we will prove a statement equivalent to the Axiom of Choice, Zorn's Lemma.

Remark 1.7. Before stating Zorn's Lemma, we introduce the following terminology.

→ Axiom 1.1: Axiom of Choice

Let *X* be a set of nonempty sets. Then, there exists a choice function *f* defined on *X* that maps each set of *X* to an element of that set.

→ Definition 1.11: Inclusion-Maximal Element

A *inclusion-maximal* element of *I* is a set $S \in I$ s.t. there is no strict super set $S' \supseteq S$ s.t. $S' \in I$.

→ Definition 1.12: Chain

Let *X* a set. Call a collection $C \subseteq \mathcal{P}(X)$ a *chain* if any two $A, B \in C$ are comparable, ie, $A \subseteq B$ or $B \subseteq A$.

→ <u>Definition</u> 1.13: Upper Bound

An *upper bound* of a collection $\tau \subseteq \mathcal{P}(X)$ is a set $U \subseteq X$ s.t. $U \supseteq J \forall J \in \tau$; U contains the union of all sets in J.

® Example 1.10: Of The Previous Definitions

Let $X := \mathbb{N}, I := \{\emptyset, \{0\}, \{1, 2\}, \{1, 2, 3\}\} \subseteq \mathcal{P}(\mathbb{N}).$

The maximal elements of I would be $\{0\}$ and $\{1,2,3\}$.

Chains would include $C_0 := \{\emptyset, \{1,2\}, \{1,2,3\}\}, C_1 := \{\emptyset, \{0\}\}, C_2 := \{\emptyset\}$ (or any set containing a single element).

The sets $\{0,1,2,3\}$ and $\{0,1,2,3,4,5\}$ are upper bounds for I, while neither is an element of I. The set $\{1,2,3\}$ is an upper bound for C_0 . A chain $\{\emptyset, \{0\}, \{0,1\}, \{0,1,2\}, \dots\}$ has an upper bound of \mathbb{N} .

Let *X* be an ambient set and $I \subseteq \mathcal{P}(X)$ be a nonempty collection of subsets of *X*. If every chain $C \subseteq I$ has an upper bound in *I*, then *I* has a maximal element.

"Proof". This is equivalent to the Axiom of Choice; proving it is beyond the scope of this course :(.

Proof of theorem 1.2, cnt'd. We obtain a maximal independent set using Zorn's Lemma.

Let I be the collection of all linearly independent subsets of V. I is nonempty; $\emptyset \in I$, as is $\{v\} \in I$ for any nonzero $v \in V$. To apply Zorn's, we need to show that every chain C if sets in I has an upper bound in I; that is, every linearly independent set has an upper bound that itself is linearly independent.

Let C be a chain in I. Let $S := \bigcup C$ be the union of all sets in C. To show S is linearly independent, it suffices to show that every finite subset $\{v_1, \ldots, v_n\} \subseteq S$ is linearly independent. Let $S_i \in C$ be s.t. $v_i \in S_i$ for each i. Because C a chain, for each i, j we have either $S_i \subseteq S_j$ or $S_j \subseteq S_i$, and so we can order S_1, \ldots, S_n in increasing order w.r.t \subseteq . This implies, then, there is a maximal S_{i_0} s.t. $S_{i_0} \supseteq S_i \ \forall i \in \{1, \ldots, n\}$. Moreover, we have that $\{v_1, \ldots, v_n\} \in S_{i_0}$, and that S_{i_0} is linearly independent and thus $\{v_1, v_2, \ldots, v_n\}$ is also linearly independent.

Thus, as we can apply Zorn's Lemma, we conclude that I has a maximal element, ie, there is a maximal independent set, and thus a V indeed has a basis.

← Lecture 06; Last Updated: Fri Jan 19 13:36:58 EST 2024

\hookrightarrow Theorem 1.3

For every vector space V over a field \mathbb{F} , any two bases \mathcal{B}_1 , \mathcal{B}_2 are equinumerous/of equal size/cardinality, ie, there is a bijection between \mathcal{B}_1 and \mathcal{B}_2 .

Remark 1.8. We will only prove this for vector spaces that admit a finite basis.

→ Lemma 1.5: Steinitz Substitution

Let *V* be a vector space over a field \mathbb{F} . Let $Y \subseteq V$ be a (possibly infinite) linearly independent set and let $Z \subseteq V$ be a finite spanning set. Then:

- 1. $k := |Y| \le |Z| =: n$
- 2. There is $Z' \subseteq Z$ of size n k s.t. $Y \cup Z'$ is still spanning.

Proof. We prove by induction on *k*.

k = 0 gives that $Y = \emptyset$, and so Z' = Z itself works $(Z' \cup Y = Z)$ as a spanning set.

Suppose the statement holds for some $k \ge 0$. Let Y be an independent set such that |Y| = k + 1, ie

$$Y := \{y_1, y_2, \dots, y_k, y_{k+1}\}, y \in V.$$

By our inductive assumption, we can consider $Y' := \{y_1, \dots, y_k\} \subseteq Y$ of size k, to obtain a set

$$Z' = \{z_1, z_2, \dots, z_{n-k}\} \subseteq Z$$
, s.t. $Y' \cup Z' = \{y_1, \dots, y_k, z_1, \dots, z_{n-k}\}$

is spanning. As this is spanning, we can write y_{k+1} as a linear combination of vectors in $Y' \cup Z'$, ie

$$y_{k+1} = a_1 y_1 + \dots + a_k y_k + b_1 z_1 + \dots + b_{n-k} z_{n-k}, \quad a_i, b_i \in \mathbb{F}.$$

It must be that at least one of b_j 's must be nonzero; if they were all zero, then y_{k+1} would simply be a linear combination of vector y_i giving that y_{k+1} linearly dependent, contradicting our construction of Y linearly independent.

Assume, wlog, $b_{n-k} \neq 0$. Then, we can write

$$z_{n-k} = b_{n-k}^{-1} y_{k+1} - b_{n-k}^{-1} a_1 y_1 - \dots - b_{n-k}^{-1} a_k y_k - b_{n-k}^{-1} b_1 z_1 - \dots - b_{n-k}^{-1} b_{n-k-1} z_{n-k-1},$$

and hence

$$z_{n-k} \in \text{Span}\{y_1, \dots, y_{k+1}, z_1, \dots, z_{n-k-1}\} = \text{Span}\left(\underbrace{\{y_1, \dots, y_{k+1}\}}_{Y} \cup \underbrace{\{z_1, \dots, z_{n-k-1}\}}_{:=Z''}\right).$$

We had that $Y' \cup Z'$ was spanning, and $(Y' \cup Z') \setminus (Y \cup Z'') = \{z_{n-k}\} \subseteq \operatorname{Span}(Y \cup Z'')$, and we thus have that $Y \cup Z''$ is also spanning.

Corollary 1.2: Finite Basis Case for theorem 1.3

Let *V* be a vector space that admits a finite basis. Then, any two bases of *V* are equinumerous.

Proof. Let *Y* , *Z* be two finite bases for *V* . Then, *Y* is independent and *Z* is spanning, so by Steinitz Substitution, $|\overline{Y}| \le |Z|$. OTOH, *Z* is independent, and *Y* is spanning, so by Steinitz Substitution, $|Z| \le |Y|$, and we conclude

that |Y| = |Z|. Let n := |Y|.

It remains to show that there exist no infinite bases for V; it suffices to show that there is no independent set of size n + 1. To this end, let $I \subseteq V$ such that |I| = n + 1 be an independent set. Y is still spanning, hence, by the substitution lemma, $n + 1 \le n$, a contradiction. Hence, I as defined cannot exist and so any basis of V must be of size n.

→ Definition 1.14: Dimension

Let V be a vector space over a field \mathbb{F} . The *dimension* of V, denote

dim(V)

as the cardinality/size of any basis for V. We call V finite dimensional if $\dim(V)$ is a natural number, i.e. V admits a finite basis. Otherwise, we say V is infinite dimensional.

→ Corollary 1.3: of Steinitz Substitution

Let *V* be a finite dimensional vector space over \mathbb{F} and denote $n := \dim(V)$. Then:

- 1. Every linearly independent subset $I \subseteq V$ has size $\leq n$;
- 2. Every spanning set $S \subseteq V$ for V has size $\ge n$;
- 3. Every independent set *I* can be completed to a basis to *V*, ie, there exists a basis *B* for *V* s.t. $I \subseteq B$.

Proof. Fix a basis B for V, |B| =: n.

- 1. If *I* is a independent set, then because *B* spanning, Steinitz Substitution gives $|I| \leq |B|$.
- 2. If *S* spanning for *V*, then because *B* is linearly independent, Steinitz Substitution gives $|B| \leq |S|$.
- 3. Let I be an independent set. Then, because B is spanning, Steinitz Substitution gives $B' \subseteq B$ of size n |I| s.t. $I \cup B'$ is spanning. Moreover, $|I \cup B'| \le n$, and by 2. it must have size $\ge n$, and thus has size precisely n and is thus a minimally spanning set and thus a basis.

Corollary 1.4: Monotonicity of Dimension

Let *V* be a vector space over a field \mathbb{F} . For any subspace $W \subseteq$, dim $W \leq$ dim *V*, and

 $\dim W = \dim V \iff W = V.$

<u>Proof.</u> Let $B \subseteq W$ be a basis for W. Because B is independent, $|B| \leq \dim(V)$ by 1. of corollary 1.3, so $\dim(W) = |B| \leq \dim(V)$.

If $|B| = \dim(V)$, then B is a basis for V again by 1. of corollary 1.3, so $W = \operatorname{Span}(B) = V$.

2 Linear Transformations

2.1 Definitions

→ **Definition 2.1: Linear Transformation**

Let V, W be vector spaces over a field \mathbb{F} . A function $T: V \to W$ is called a *linear transformation* if it preserves the vector space structures, that is,

- 1. $T(v_0 + v_1) = T(v_0) + T(v_1), \forall v_0, v_1 \in V$;
- 2. $T(\alpha \cdot v) = \alpha \cdot T(v), \forall \alpha \in \mathbb{F}, v \in V$;
- 3. $T(0_V) = 0_W$.

Remark 2.1. *Note that 3. is redundant, implied by 2., but included for emphasis:*

$$T(0_V) = T(0_{\mathbb{F}} \cdot 0_V) = 0_{\mathbb{F}} \cdot T(0_V) = 0_W.$$

® Example 2.1: Linear Transformations

- 1. $T: \mathbb{F}^2 \to \mathbb{F}^2, T(a_1, a_2) := (a_1 + 2a_2, a_1).$
- 2. Let $\theta \in \mathbb{R}$, and let $T_{\theta} : \mathbb{R}^2 \to \mathbb{R}^2$ be the rotation by θ . The linearity of this is perhaps most obvious in polar coordinates, ie $v \in \mathbb{R}^2$, $v = r(\cos \alpha, \sin \alpha)$ for appropriate r, α , and $T_{\theta}(v) = r(\cos(\alpha + \theta), \sin(\alpha + \theta))$.
- 3. $T: \mathbb{R}^2 \to \mathbb{R}^2$, a reflection about the *x*-axis, ie, T(x, y) = (x, -y).
- 4. Projections, $T: \mathbb{F}^n \to \mathbb{F}^n$.
- 5. The transpose on $M_n(\mathbb{F})$, ie, $T:M_n(\mathbb{F})\to M_n(\mathbb{F})$, where $A\mapsto A^t$.
- 6. The derivative on space of polynomials of degree leq n, $D : \mathbb{F}[t]_{n+1} \to \mathbb{F}[t]_n$, $p(t) \mapsto p'(t)$.

\hookrightarrow Theorem 2.1

Linear transformations are completely determined by their values on a basis.

That is, let $\mathcal{B} := \{v_1, \dots, v_n\}$ be a basis for a vector space V over \mathbb{F} . Let W also be a vector space over \mathbb{F} and let $w_1, \dots, w_n \in W$ be arbitrary vectors. Then, there is a unique linear transformation $T: V \to W$ s.t. $T(v_i) = w_i \ \forall i = 1, \dots, n$.

Proof. We aim to define T(v) for arbitrary $v \in V$. We can write

$$v = a_1v_1 + \cdots + a_nv_n$$

as the unique representation of v in terms of the basis \mathcal{B} . Then, we simply define

$$T(v) := a_1 w_1 + \cdots + a_n w_n$$

for our given w_i 's. Then, $T(v_i) = 1 \cdot w_i = w_i$, as desired, and T is linear;

1. Let $u, v \in V$; $u := \sum_n a_i v_i$, $v := \sum_n b_i v_i$. Then,

$$T(u+v) = T(\sum_{n} a_{i}v_{i} + \sum_{n} b_{i}v_{i}) = T(\sum_{n} (a_{i} + b_{i})v_{i}) = \sum_{n} (a_{i} + b_{i})w_{i} = \sum_{n} a_{i}w_{i} + \sum_{n} b_{i}w_{i} = T(u) + T(v).$$

2. Scalar multiplication follows similarly.

To show uniqueness, suppose T_0 , T_1 are two linear transformations satisfying $T_0(v_i) = w_i = T_1(v_i)$. Let $v \in V$, and write $v = \sum_i a_i v_i$. By linearity,

$$T_k(v) = T_k(\sum_n a_i v_i) = \sum_n a_i T(v_i) = \sum_n a_i w_i,$$

for k = 0, 1, hence, $T_1(v) = T_0(v)$ for arbitrary v, hence the transformations are equivalent.

○ <u>Definition</u> 2.2: Some Important Transformations

We denote $T_0: V \to W$ by $T_0(v) := 0_W \forall v \in V$ the zero transformation. We denote $I_V: V \to V$, $I_V(v) := v \forall v \in V$, as the identity transformation.

 $\hookrightarrow Lecture~08; Last~Updated:~Thu~Jan~25~12:38:49~EST~2024$

2.2 Isomorphisms, Kernel, Image

\hookrightarrow <u>Definition</u> 2.3: Isomorphism

Let V, W be vector spaces over \mathbb{F} . An *isomorphism* from V to W is a linear transformation $T: V \to W$ (a homomorphism for vector spaces) which admits an inverse T^{-1} that is also linear.

If such an isomorphism exists, we say *V* and *W* are *isomorphic*.

→ Proposition 2.1

 $T: V \to W$ is an isomorphism $\iff T$ is linear and bijective.

Proof. The direction \implies is trivial.

Suppose $T:V\to W$ is linear and bijective, ie T^{-1} exists. We need to show that T^{-1} is linear. Let $w_1,w_2\in W, a_1,a_2\in \mathbb{F}$. Then:

$$T^{-1}(a_1w_1 + a_2w_2) = T^{-1}(a_1T(T^{-1}(w_1)) + a_2T(T^{-1}(w_2)))$$
(by linearity of T) = $T^{-1}(T(a_1T^{-1}(w_1) + a_2T^{-1}(w_2)))$

$$= a_1T^{-1}(w_1) + a_2T^{-1}(w_2).$$

Remark 2.2. This proposition holds for all structures that only have operations; it does not for those with relations, such as graphs, orders, etc..

← Theorem 2.2

For $n \in \mathbb{N}$, every n-dimensional vector space V over \mathbb{F} is isomorphic to \mathbb{F}^n . In particular, all n-dim vector spaces over \mathbb{F} are isomorphic.

Proof. Fix a basis $\mathcal{B} := \{v_1, \dots, v_n\}$ for V, and let $T : V \to \mathbb{F}^n$ be the unique linear transformation determined by $\overline{\mathcal{B}}$ with $T(v_i) = e_i$, where $\{e_1, \dots, e_n\}$ is the standard basis for \mathbb{F}^n . We show that T is a bijection.

(Injective) Suppose $T(x) = T(y), x, y \in V$. Write $x = a_1v_1 + \cdots + a_nv_n, y = b_1v_1 + \cdots + b_nv_n$, the unique representation of x, y in the basis \mathcal{B} . We have:

$$a_1e_1 + \cdots + a_ne_n = a_1T(v_1) + \cdots + a_nT(v_n) = T(a_1v_1 + \cdots + a_nv_n) = T(x) = T(y) = \cdots = b_1e_1 + \cdots + b_ne_n$$

but by the uniqueness of representation in a basis, it follows that each $a_i = b_i$, hence, x = y.

(Surjective) Let $w \in \mathbb{F}^n$. Then, $w = a_1 e_1 + \cdots + a_n e_n$ (uniquely). But then,

$$w = a_1 T(v_1) + \cdots + a_n T(v_n) = T(a_1 v_1 + \cdots + a_n v_n),$$

where $a_1v_1 + \cdots + a_nv_n \in V$, hence T indeed surjective.

Remark 2.3. Replacing \mathbb{F}^n with an arbitrary n-dim vector space W over \mathbb{F} yields the following.

→ Theorem 2.3: Freeness of Vector Space

Let W, V be vector spaces over \mathbb{F} and let β , γ be bases for V, W respectively. Every bijection $T: \beta \to \gamma$ can be extended to an isomorphism $\hat{T}: V \to W$.

In particular, all vector spaces over $\ensuremath{\mathbb{F}}$ with equinumerous bases are isomorphic.

Remark 2.4. The proof follows very similarly to the previous theorem, but extended to arbitrary, possible infinite, spaces.

Proof.

→ Definition 2.4: Image/Kernel

For a linear transformation $T: V \to W$, where V, W are vector spaces over \mathbb{F} , we define the *image*

$$Im(T) := T(V),$$

and its kernel

$$Ker(T) = T^{-1}(\{0_W\}).$$

→ Proposition 2.2

Ker(T) and Im T are subspaces of V, W resp.

Proof. (Ker(T)) Let $v_0, v_1 \in \text{Ker } T$ and $a_0, a_1 \in \mathbb{F}$, then

$$T(a_0v_0 + a_1v_1) = a_0T(v_0) + a_1T(v_1) = 0_W \implies a_0v_0 + a_1v_1 \in \text{Ker } T.$$

 $(\operatorname{Im}(T))$ Let $w_0, w_1 \in \operatorname{Im} T$, $a_0, a_1 \in \mathbb{F}$. Then $w_i = T(v_i), v_i \in V$, and so

$$a_0w_0 + a_1w_1 = a_0T(v_0) + a_1T(v_1) = T(a_0v_0 + a_1v_1) \implies a_0w_0 + a_1w_1 \in \operatorname{Im} T.$$

\hookrightarrow Proposition 2.3

Let $T: V \to W$ be a linear transformation, where V, W vector spaces over \mathbb{F} . Let β be a (possibly infinite) basis for V. Then, T(B) spans Im(T).

In particular, T is surjective iff $T(\beta)$ spans W.

Proof. Let $w \in \text{Im}(T)$, so w = T(v) for some $v \in V$, where we have $v := a_1v_1 + \cdots + a_nv_n$, $v_i \in \beta$. Then,

$$w = T(v) = a_1 T(v_1) + \dots + a_n T(v_n) \in \operatorname{Span}(\{T(v_1), \dots, T(v_n)\}) \subseteq \operatorname{Span}(T(\beta)).$$

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→ Proposition 2.4

Let $T: V \to W$ be a linear transformation, where V, W vector spaces over \mathbb{F} . TFAE:

- 1. *T* is injective.
- 2. Ker(T) is the trivial subspace $\{0_V\}$.
- 3. $T(\beta)$ is independent for each basis β for V.
- 3'. $T(\beta)$ is independent for some basis β for V.

Proof. (1. \Longrightarrow 2.) Trivial; only 0_V can be mapped to 0_W .

(2. \Longrightarrow 1.) Suppose $Ker(T) = \{0_V\}$ and let T(x) = T(y), $x, y \in V$. By linearity,

$$T(x-y) = T(x) - T(y) = 0_W \implies x-y \in \operatorname{Ker}(T) \implies x-y = 0_V \implies x = y.$$

(2. \Longrightarrow 3.) Fix a basis β for V. To show that $T(\beta)$ linearly independent, take an arbitrary linear combination $a_1w_1 + \cdots + a_nw_n \in T(\beta)$. Suppose $\sum_i a_iw_i = 0_W$. Since $w_i \in T(\beta)$, $w_i = T(v_i)$, $v_i \in \beta$, hence

$$0_W = a_1 w_1 + \dots + a_n w_n = a_1 T(v_1) + \dots + a_n T(v_n) = T(a_1 v_1 + \dots + a_n v_n)$$

$$\implies a_1 v_1 + \dots + a_n v_n \in \text{Ker}(T)$$

$$\implies a_1 v_1 + \dots + a_n v_n = 0_V,$$

but each v_i is linearly independent, hence this must be a trivial linear combination, and thus $a_i = 0 \,\forall i$.

- (3) \implies (3') Trivial; stronger statement implies weaker statement.
- $(3') \Longrightarrow (2)$ Suppose $T(\beta)$ linearly independent for some basis β for V. Suppose $T(v) = 0_W$, $v \in V$. We write

$$v = a_1v_1 + \cdots + a_nv_n, v_i \in \beta.$$

Then,

$$0_W = T(v) = T(a_1v_1 + \dots + a_nv_n) = a_1T(v_1) + \dots + a_nT(v_n),$$

but $\{T(v_i)\}\subseteq T(\beta)$ is linearly independent, hence, this combination must be trivial and each $a_i=0$, and thus $v=0_V$ and so $\mathrm{Ker}(T)=\{0_V\}$ is trivial.

→ Definition 2.5: Rank, nullity

Let V, W be vector spaces over \mathbb{F} and $T:V\to W$ be linear. Define *rank* of T as

$$rank(T) := dim(Im(T)),$$

and *nullity* of *T* as

$$\operatorname{nullity}(T) := \dim(\operatorname{Ker}(T)).$$

→ Theorem 2.4: Rank-Nullity Theorem

Let V, W be vector spaces over \mathbb{F} , $\dim(V) < \infty$. Let $T: V \to W$ be a linear transformation. Then,

$$\operatorname{nullity}(T) + \operatorname{rank}(T) = \dim(V).$$

Remark 2.5. Intuitively: the nullity is the number of vectors we "collapse"; the rank is what is left. Together, we have the entire space.

Remark 2.6. This follows directly from the first isomorphism theorem for vector spaces, and the fact that $\dim(V/\ker(T)) = \dim(V) - \dim(\ker(T))$; however, we will prove it without this result below.

<u>Proof.</u> Let $\{v_1, \ldots, v_k\}$ be a basis for Ker(T), and complete it to a basis $\beta := \{v_1, \ldots, v_k, u_1, \ldots, u_{n-k}\}$ for V, where $n := \dim(V)$. We need to show that $\dim(\text{Im}(T)) = n - k$.

Recall that $\{T(v_1), \ldots, T(v_k), T(u_1), \ldots, T(u_{n-k})\}$ spans $\operatorname{Im}(T)$. But $v_1, \ldots, v_k \in \operatorname{Ker}(T)$, so $T(v_i) = 0_W \ \forall i = 1, \ldots, k$. Hence, letting $\gamma := \{T(u_1), \ldots, T(u_{n-k})\}$ spans $\operatorname{Im}(T)$. It remains to show that γ is independent.

Let $a_1T(u_1) + \cdots + a_{n-k}T(u_{n-k}) = 0_W$; by linearity,

$$T(a_1u_1 + \dots + a_{n-k}u_{n-k}) = 0_W$$

$$\implies a_1u_1 + \dots + a_{n-k}u_{n-k} \in \text{Ker}(T)$$

$$\implies a_1u_1 + \dots + a_{n-k}u_{n-k} = b_1v_1 + \dots + b_kv_k,$$

but each of these $u_i, v_j \in \beta$, hence, each coefficient must be identically zero as β linearly independent, and thus $\dim(\operatorname{Im}(T)) = n - k$. This completes the proof.

Corollary 2.1: Pigeonhole Principle for Dimension

Let $T: V \to W$ be a linear transformation. If T injective, then $\dim(W) \ge \dim(V)$.

Proof. If dim(V) < ∞, then dim(Im(T)) = dim(V), and we have that dim(Im(T)) ≤ dim(W) and conclude $\overline{\dim}(V) \le \dim(W)$.

If $\dim(V) = \infty$, then $\dim(\operatorname{Im}(T)) = \infty$ and $\dim(W) \ge \dim(\operatorname{Im}(T)) = \infty$.

← Corollary 2.2

Let $n \in \mathbb{N}$ and V, W be n-dimensional vector spaces over \mathbb{F} . For a linear transformation $T: V \to W$, TFAE:

- 1. *T* injective;
- 2. *T* surjective;
- 3. rank(T) = n.

Proof. (2. \iff 3.) Follows from rank(T) = dim(Im(T)) = $n \iff$ Im(T) = W.

(1. \implies 3.) We have nullity(T) = 0 so rank(T) = dim(V) = n.

(3. \implies 1.) If rank(T) = n, then nullity(T) = 0.

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— Theorem 2.5: First Isomorphism Theorem for Vector Spaces

Let V, W be vector spaces over \mathbb{F} . Let $T:V\to W$ be a linear transformation. Then,

$$V/\mathrm{Ker}(T) \cong \mathrm{Im}(T)$$
,

by the isomorphism given by $v + \text{Ker}(T) \mapsto T(v)$.

<u>Proof.</u> From group theory, we know that $\hat{T}: V/\mathrm{Ker}(T) \to \mathrm{Im}(T)$, where $\hat{T}(v + \mathrm{Ker}(T)) := T(v)$ is well-defined, and is an isomorphism of abelian groups. We need only to check that \hat{T} is linear, namely, that is respects scalar multiplication. We have

$$\hat{T}(a \cdot (v + \text{Ker}(T))) = \hat{T}((a \cdot v) + \text{Ker}(T))$$
$$= T(av) = a \cdot T(v)$$
$$= a\hat{T}(v + \text{Ker}(T)),$$

as desired.

2.3 The Space Hom(V, W)

→ Definition 2.6: Homomorphism Space

For vector spaces V, W over \mathbb{F} , let Hom(V,W) (also denoted $\ell(V,W)$) denote the set of all linear transformations from V to W. We can turn this into a vector space over \mathbb{F} as follows:

1. Addition of linear transformations: for $T_0, T_1 \in \text{Hom}(V, W)$, define

$$(T_0 + T_1): V \to W, \quad v \mapsto T_0(v) + T_1(v).$$

 $(T_0 + T_1)$ is clearly a linear transformation, as the linear combination of linear transformations T_0 , T_1 .

2. Scalar multiplication of linear transformations: for $T \in \text{Hom}(V, W)$, $a \in \mathbb{F}$, define

$$(a \cdot T) : V \to W, \quad v \mapsto a \cdot T(v),$$

which is again clearly linear in its own right.

← Proposition 2.5

Endowed with the operations described above, Hom(V, W) is a vector space over \mathbb{F} .

Proof. Follows easily from the definitions.

\hookrightarrow Theorem 2.6: Basis for Hom(V, W)

For vector spaces V, W over \mathbb{F} and bases β , γ for V, W resp., the following set

$$\{T_{v,w}=v\in\beta,w\in\gamma\},$$

is a basis for $\operatorname{Hom}(V, W)$, where for each $v \in \beta$ and $w \in \gamma$, $T_{v,w} \in \operatorname{Hom}(V, W)$ defined as the unique linear transformation such that

$$T_{v,w}(v') = \begin{cases} w & v' = v \\ 0_W & v' \neq v \iff \in \beta \setminus \{v\} \end{cases}.$$

Proof. Left as a (homework) exercise.

← Corollary 2.3

If V, W finite dimensional, then $\dim(\operatorname{Hom}(V,W)) = \dim(V) \cdot \dim(W)$.

→ Proposition 2.6

Let $\beta = \{v_1, \dots, v_n\}$, $\gamma = \{w_1, \dots, w_m\}$ be bases for V, W resp. Then, by theorem 2.6,

$$\{T_{v_i,w_j}: i \in \{1,\ldots,n\}, j \in \{1,\ldots,m\}\}$$

is a basis for Hom(V, W), and it has $n \cdot m$ vectors by construction.

2.4 Matrix Representation of Linear Transformations, Finite Fields

Consider a linear transformation $T: \mathbb{F}^n \to \mathbb{F}^m$ between finite fields. We know that T is uniquely determined by its value of basis vectors, so fix the standard bases

$$\beta = \{e_1^{(n)}, \dots, e_n^{(n)}\} = \{v_1, \dots, v_n\},\$$

and note that *T* is determined by $\{T(v_1), \ldots, T(v_n)\} \subseteq \mathbb{F}^m$.

Remark 2.7. We denote vectors in \mathbb{F}^n as column vectors, ie $\begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix} \in \mathbb{F}^n$.

Each $T(v_i)$ is a column vector in \mathbb{F}^m , and we an put these into a $m \times n$ matrix, namely:⁷

$$[T] := \begin{pmatrix} | & & | \\ T(v_1) & \cdots & T(v_n) \\ | & & | \end{pmatrix} = \underbrace{\begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{m1} & \cdots & a_{mn} \end{pmatrix}}_{T_n}$$

We call this the *matrix representation* of T in the standard bases. The operation of multiplying an $m \times n$ matrix and a $n \times 1$ vector is precisely defined so that

→ Proposition 2.7

 $T(v) = [T] \cdot v \text{ for all } v \in \mathbb{F}^n.$

⁷Where [T] denotes a matrix named "T".

Proof. Let
$$v = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$$
, where $v = x_1 v_1 + \dots + x_n v_n$. Then

$$T(v) = x_1 T(v_1) + \dots + x_n T(v_n)$$

$$T(v_i) = \begin{pmatrix} a_{1i} \\ \vdots \\ a_{mi} \end{pmatrix}$$

so

$$T(v) = \begin{pmatrix} a_{11} \cdot x_1 + \dots + a_{1n} \cdot x_n \\ & \ddots \\ a_{m1} \cdot x_1 + \dots + a_{mn} \cdot x_n \end{pmatrix} = [T] \cdot v$$

○→ Definition 2.7

For a given $m \times n$ matrix A over \mathbb{F} , define $L_A : \mathbb{F}^n \to \mathbb{F}^m$ by $L_A(v) := A \cdot v$, where v is viewed as an $n \times 1$ column. It follows from definition that the L_A is linear.

In other words, every $T \in \text{Hom}(\mathbb{F}^n, \mathbb{F}^m)$ is equal to L_A for some A.

← Lecture 11; Last Updated: Fri Feb 9 14:12:09 EST 2024

→ Proposition 2.8

The map

$$\operatorname{Hom}(\mathbb{F}^n, \mathbb{F}^m) \to M_{m \times n}(\mathbb{F})$$

$$T \mapsto [T]$$

is an isomorphism of vector spaces, with inverse

$$M_{m\times n}(\mathbb{F}) \to \operatorname{Hom}(\mathbb{F}^n, \mathbb{F}^m)$$

 $A \mapsto L_A.$

Proof. Linearity: Let $\beta = \{v_1, \dots, v_n\}$ be the standard basis for \mathbb{F}^n . Fix $T_1, T_2 \in \text{Hom}(\mathbb{F}^n, \mathbb{F}^m)$ and $\alpha \in \mathbb{F}$.

1.

$$[T_1 + T_2] = \begin{pmatrix} & & | & & | \\ \cdots & (T_1 + T_2)(v_i) & \cdots \end{pmatrix} = \begin{pmatrix} & & | & \\ \cdots & T_1(v_i) + T_2(v_i) & \cdots \end{pmatrix}$$
$$= \begin{pmatrix} & & | & & \\ \cdots & T_1(v_i) & \cdots \end{pmatrix} + \begin{pmatrix} & & | & \\ \cdots & T_2(v_i) & \cdots \end{pmatrix}$$
$$= [T_1] + [T_2]$$

2. It remains to show that $\alpha \cdot [T] = [\alpha \cdot T]$; the proof follows similarly to 1.

<u>Inverse:</u> We need to show that 1. $A \mapsto L_A \mapsto [L_A]$ is the identity on $M_{m \times n}(\mathbb{F})$, and conversely, that 2. $T \mapsto [T] \mapsto L_{[T]}$ is the identity on $\text{Hom}(\mathbb{F}^n, \mathbb{F}^m)$.

- 1. We need to show that $[L_A] = A$. The jth column of $[L_A]$ is $L_A(v_j) = A \cdot v_j = j$ th column of $A =: A^{(j)}$. Hence, the jth column of $[L_A]$ is equal to the jth column of A, and thus they are equal.
- 2. We showed this in proposition 2.7.

 \hookrightarrow Corollary 2.4

 $\dim(\operatorname{Hom}(\mathbb{F}^n,\mathbb{F}^m))=\dim(M_{m\times n}(\mathbb{F}))=m\cdot n.$

Remark 2.8. This was stated previously in proposition 2.6 by constructing an explicit basis. Indeed, this basis is precisely the image of the standard basis for $M_{m \times n}(\mathbb{F})$ under the map $A \mapsto L_A$.

2.5 Matrix Representation of Linear Transformations, General Spaces

Remark 2.9. The previous section was concerned with representing transformations between finite fields \mathbb{F}^n , \mathbb{F}^m ; this section aims to make the same construction for any finite dimensional V, W.

→ Definition 2.8: Coordinate Vector

Let V be a finite dimensional space over \mathbb{F} and let $\beta := \{v_1, \dots, v_n\}$ be a basis for V. Let $v \in V$, with (unique) representation $v = a_1v_1 + \dots + a_nv_n$. We denote

$$[v]_{\beta} := \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix} \in \mathbb{F}^n$$

the *coordinate vector* of v in base β .

Remark 2.10. Recall that $V \cong \mathbb{F}^n$ where $\dim(V) = n$, by the unique linear transformation $v_i \mapsto e_i$, where $\{e_1, \dots, e_n\}$ the standard basis for \mathbb{F}^n . We denote this transformation

$$I_{\beta}:V\to\mathbb{F}.$$

For an arbitrary $v \in V$, $I_{\beta}(v)$ maps v to its coordinate vector:

$$I_{\beta}(v) = I_{\beta}(a_1v_1 + \dots + a_nv_n) = a_1I_{\beta}(v_1) + \dots + a_nI_{\beta}(v_n)$$

= $a_1e_1 + \dots + a_ne_n = [v]_{\beta}$.

← Proposition 2.9

The map

$$I_{\beta}: V \to \mathbb{F}^n, \quad v \mapsto [v]_{\beta}$$

is an isomorphism.

Suppose we are given a linear transformation $T:V\to W$, where V,W finite dimensional spaces over \mathbb{F} . Fix $\beta:=\{v_1,\ldots,v_n\}$ and $\gamma:=\{w_1,\ldots,w_m\}$ as bases for V,W resp. We can denote $[T(v_i)]_{\gamma}$ as $T(v_i)$ in base γ (in the field m), and construct a matrix for T:8

$$[T]^{\gamma}_{\beta} := \begin{pmatrix} | & | \\ [T(v_1)]_{\gamma} & \cdots & [T(v_n)]_{\gamma} \end{pmatrix}$$

We call this the *matrix representation* of T from β to γ .

\hookrightarrow Theorem 2.7

Let $T: V \to W$, β , γ as above.

1. The following diagram commutes:

$$\begin{array}{ccc}
\bullet V & \xrightarrow{T} & \bullet W \\
I_{\beta} \downarrow & & \downarrow I_{\gamma} \\
\bullet \mathbb{F}^{n} & \xrightarrow{L_{[T]_{\beta}^{\gamma}}} & \bullet \mathbb{F}^{m}
\end{array}$$

Namely, $I_{\gamma} \circ T = L_{[T]_{\beta}^{\gamma}} \circ I_{\beta}$, or equivalently, given $v \in V$, $[T(v)]_{\gamma} = [T]_{\beta}^{\gamma} \cdot [v]_{\beta}$.

2. The map $\operatorname{Hom}(V,W) \to M_{m \times n}(\mathbb{F}), T \mapsto [T]_{\beta}^{\gamma}$ is a vector space isomorphism with inverse begin the map $M_{m \times n}(\mathbb{F}) \to \operatorname{Hom}(V,W), A \mapsto I_{\gamma}^{-1} \circ L_A \circ I_{\beta}$

⁸Where we denote $[T]^{\gamma}_{\beta}$ as the matrix representation of the transform $T:V\to W$, with basis β , γ for V, W respectively.

Proof. 2. is left as a (homework) exercise; it follows directly from 1.

Fix $v \in V$. We need to show that $I_{\gamma} \circ T(v) = L_{[T]_{\beta}^{\gamma}} \circ I_{\beta}(v)$. We have

$$I_{\gamma} \circ T(v) = [T(v)]_{\gamma}.$$

OTOH,

$$L_{[T]^\gamma_\beta}\circ I_\beta(v)=L_{[T]^\gamma_\beta}([v]_\beta)=[T]^\gamma_\beta\cdot [v]_\beta.$$

We need to show, then, that $[T(v)]_{\gamma} = [T]_{\beta}^{\gamma} \cdot [v]_{\beta}$. Let $v = a_1v_1 + \cdots + a_nv_n$, so $[v]_{\beta} = \begin{pmatrix} a_1 \\ \vdots \\ a_n \end{pmatrix}$. Recall that

$$[T]_{\beta}^{\gamma} = \begin{pmatrix} | & | \\ [T(v_1)]_{\gamma} & \cdots & [T(v_n)]_{\gamma} \end{pmatrix}$$
. Thus, we have

$$[T]_{\beta}^{\gamma} \cdot [v]_{\beta} = a_1 [T(v_1)]_{\gamma} + \dots + a_n [T(v_n)]_{\gamma} = [a_1 T(v_1) + \dots + a_n T(v_n)]_{\gamma} \quad (by \ linearly \ of \ I_{\gamma})$$

$$= [T(a_1 v_1 + \dots + a_n v_n)]_{\gamma} \quad (by \ linearity \ of \ T)$$

$$= [T(v)]_{\gamma},$$

which is precisely what we wanted to show.

Remark 2.11. For $A \in M_{m \times n}(\mathbb{F})$ and $x = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} \in \mathbb{F}^n$, we have

$$A \cdot x = x_1 \cdot A^{(1)} + x_2 \cdot A^{(2)} + \dots + x_n \cdot A^{(n)}$$

where $A^{(j)}$ is the jth column of A; thus $A \cdot x$ is a linear combination of A, with coefficients given by the vector x; this interpretation can make it easier to make sense of computations.

← Lecture 12; Last Updated: Fri Feb 9 11:12:11 EST 2024

2.6 Composition of Linear Transformations, Matrix Multiplication

\hookrightarrow Proposition 2.10

Composition is associative; given $T: V \to W$, $S: W \to U$, and $R: U \to X$, then

$$(R \circ S) \circ T = R \circ (S \circ T).$$

Proof. Fix $v \in V$. Then

$$(R \circ S) \circ T(v) = (R \circ S)(T(v)) = R(S(T(v)))$$

OTOH:

$$R \circ (S \circ T)(v) = R((S \circ T)(v)) = R(S(T(v))).$$

Let $A \in M_{m \times n}(\mathbb{F})$ and $B \in M_{l \times m}(\mathbb{F})$. Then, $L_A : \mathbb{F}^n \to \mathbb{F}^m$ and $L_B : \mathbb{F}^m \to \mathbb{F}^l$, and have composition $L_B \circ L_A : \mathbb{F}^n \to \mathbb{F}^l$. We know that $L_B \circ L_A$ is a linear transformation, and thus must be equal to L_C for some matrix $C \in M_{l \times n}(\mathbb{F})$. Indeed, C is the matrix representation of the transformation $[L_B \circ L_A]$, as proven previously.

Let $\beta = \{e_1, \dots, e_n\}$ for \mathbb{F}^n , then

$$[L_B \circ L_A] = \begin{pmatrix} & & & & | \\ L_B \circ L_A(e_1) & \cdots & L_B \circ L_A(e_n) \end{pmatrix} = \begin{pmatrix} & & & | \\ B \cdot (A \cdot e_1) & \cdots & B \cdot (A \cdot e_n) \end{pmatrix}$$

→ Definition 2.9: Matrix Multiplication

For matrices $A \in M_{m \times n}(\mathbb{F})$ and $B \in M_{l \times m}(\mathbb{F})$, define their product $B \cdot A$ to be the matrix

$$[L_B \circ L_A] = \begin{pmatrix} | & | & | \\ B \cdot (A \cdot e_1) & \cdots & B \cdot (A \cdot e_n) \end{pmatrix} = \begin{pmatrix} | & | & | \\ B \cdot A^{(1)} & \cdots & B \cdot A^{(2)} \\ | & | & | \end{pmatrix} = \begin{pmatrix} c_{ij} \end{pmatrix}_{\substack{1 \le j \le n \\ 1 \le i \le l}}^{1 \le j \le n}$$

where $A^{(j)}$ is the jth column of A, $c_{ij} := \begin{pmatrix} - & B_{(i)} & - \end{pmatrix} \cdot \begin{pmatrix} & & \\ & A^{(j)} & \\ & & & \end{pmatrix}$.

\hookrightarrow Proposition 2.11

 $[L_B \circ L_A] = B \cdot A$, ie $L_B \circ L_A = L_{B \cdot A}$.

Proof. Follows from our definition.

← Corollary 2.5

Matrix multiplication is association; $C \cdot (B \cdot A) = (C \cdot B) \cdot A$ for $A \in M_{m \times n}(\mathbb{F})$, $B \in M_{l \times m}(\mathbb{F})$, $C \in M_{k \times l}(\mathbb{F})$.

Proof.
$$C \cdot (B \cdot A) = [L_C \circ (L_B \circ L_A)] = [(L_C \circ L_B) \circ L_A] = (C \cdot B) \cdot A.$$

Remark 2.12. This is proven by the linear transformation representation of matrices; try proving this directly from our definition.

Corollary 2.6

Let V, W, U be finite-dimensional vector spaces over \mathbb{F} , $T:V\to W$, $S:W\to U$ be linear transformations and α , β , γ be bases for V, W, U resp. Then,

$$[S \circ T]_{\alpha}^{\gamma} = [S]_{\beta}^{\gamma} \cdot [T]_{\alpha}^{\beta}.$$

Proof. Follows from the commutativity of the diagrams:

In "words", for $v \in V$,

$$[S \circ T]^{\gamma}_{\alpha} \cdot [v]_{\alpha} = [(S \circ T)(v)]^{\gamma}_{\alpha} = [S(T(v))]_{\alpha} = [S]^{\gamma}_{\beta} \cdot [T(v)]_{\beta} = [S]^{\gamma}_{\beta} \cdot [T]^{\beta}_{\alpha} \cdot [v]_{\alpha},$$

ie we have shown that $L_{[S \circ T]^{\gamma}_{\alpha}} = L_{[S]^{\gamma}_{\beta} \cdot [T]^{\beta}_{\alpha}}$. Because $A \mapsto L_A$ is an isomorphism, it follows that $[S \circ T]^{\gamma}_{\alpha} = [S]^{\gamma}_{\beta} \cdot [T]^{\beta}_{\alpha}$.

← Lecture 13; Last Updated: Sat Feb 3 22:20:36 EST 2024

2.7 Inverses of Transformations and Matrices

Remark 2.13. Recall that, given a function $f: X \to Y$, a function $g: Y \to X$ is called

- 1. a left inverse of f if $g \circ f = Id_X$;
- 2. a right inverse of f if $f \circ g = Id_X$;
- 3. a (two-sided) inverse of f if g both a left and right inverse of f.

If an inverse exists, it is unique; let g_0 , g_1 be inverse of f, then, $g_0 = g_0 \circ (f \circ g_1) = (g_0 \circ f) \circ g_1 = g_1$.

→ Proposition 2.12

Let $f: X \to Y$. Then,

- 1. f has a left-inverse \iff f injective;
- 2. f has a right-inverse \iff f surjective;
- 3. f has an inverse \iff f bijective.

<u>Proof.</u> ((a), \Longrightarrow) Suppose $g: Y \to X$ is a left-inverse of f and $f(x_1) = f(x_2)$. Then, $g \circ f(x_1) = g \circ f(x_2) \Longrightarrow x_1 = x_2$ and so f injective.

((b), \Longrightarrow) Suppose $g: Y \to X$ is a right-inverse of f and let $y \in Y$. Then, $f(g(y)) = y \Longrightarrow y \in f(X)$.

The remainder of the cases and directions are left as an exercise.

Remark 2.14. *Proof of* (b), \iff *uses Axiom of Choice.*

⊗ Example 2.2

- 1. The differentiation transform $\delta : \mathbb{F}[t]_{n+1} \to \mathbb{F}[t]_n$, $p(t) \mapsto p'(t)$ has a right inverse, the integration transform, $\iota : \mathbb{F}[t]_n \to \mathbb{F}[t]_{n+1}$, $p(t) \mapsto$ antiderivative of p(t); conversely, ι has left inverse δ ; they do not admit inverses.
- 2. Let $f : \mathbb{F}[\![t]\!] \to \mathbb{F}[\![t]\!]$ be the left-shift map, where $\sum_{n=0}^{\infty} a_n t^n \mapsto \sum_{n=1}^{\infty} a_n t^{n-1}$. Then, $g : \mathbb{F}[\![t]\!] \to \mathbb{F}[\![t]\!]$ with $\sum_{n=0}^{\infty} a_n t^n \mapsto \sum_{n=0}^{\infty} a_n t^{n+1}$, the right-shift map, is a right inverse of f, but f has no left inverse (it is not injective).

Remark 2.15. The existence of only one-sided inverses existing happens only when in infinite-dimensional vectors spaces, or when the dimension of the domain is not the same as the dimension of the codomain.

← Corollary 2.7: Of Rank-Nullity Theorem

Let $T: V \to W$ s.t. $\dim(V) = \dim(W) < \infty$. TFAE:

- 1. *T* has a left-inverse;
- 2. *T* has a right-inverse;
- 3. *T* is invertible (has an inverse).

Proof. We have already that T injective $\iff T$ surjective $\iff T$ bijective.

→ Definition 2.10: Matrix Inverse

We call a $n \times n$ matrix B over \mathbb{F} the *inverse* of an $n \times n$ matrix A over \mathbb{F} if $A \cdot B = B \cdot A = I_n$. We denote $B = A^{-1}$.

← Proposition 2.13

Let $A \in M_n(\mathbb{F})$. Then,

- 1. L_A is invertible \iff A is invertible, in which case $L_A^{-1} = L_{A^{-1}}$;
- 2. *A* is invertible \iff it has a left-inverse, ie $B \cdot A = I_n \iff$ it has a right-inverse, ie $A \cdot B = I_n$.

- <u>Proof.</u> 1. L_A invertible $\iff \exists T : \mathbb{F}^n \to \mathbb{F}^n$ -linear s.t. $L_A \circ T = T \circ L_A = I_{\mathbb{F}^n} \iff \exists$ a matrix $B \in M_n(\mathbb{F})$ such that $L_A \circ L_B = L_B \circ L_A = I_{\mathbb{F}^n} \iff$ there is a matrix $B \in M_n(\mathbb{F})$ s.t. $L_{AB} = L_{BA} = I_{\mathbb{F}^n} \iff$ there is a $B \in M_n(\mathbb{F})$ s.t. $A \cdot B = B \cdot A = I_n$.
 - 2. Follows directly from corollary 2.7 and part 1.

2.8 Invariant Subspaces and Nilpotent Transformations

○ Definition 2.11: *T*-Invariant

Let $T: V \to V$ be a linear transformation. We call a subspace $W \subseteq V$ *T-invariant* if $T(W) \subseteq W$.

® Example 2.3: Examples of Invariant Subspaces

- 1. For any $T: V \to V$, $\operatorname{Im}(T)$ is T-invariant.
- 2. For any $T: V \to V$, Ker(T) is T-invariant, since $T(v) = 0_V \in Ker(T) \, \forall \, v \in Ker(T)$. Moreover, for any $n \in \mathbb{N}$, the space $Ker(T^n)$ is T-invariant. 10

← Lecture 14; Last Updated: Mon Feb 12 08:34:27 EST 2024

→ Proposition 2.14

For a linear operator $T: V \to V$, the following hold:

- 1. $V \supseteq \operatorname{Im}(T) \supseteq \operatorname{Im}(T^2) \supseteq \cdots \supseteq \operatorname{Im}(T^n) \supseteq \cdots$. Moreover, $\operatorname{Im}(T^n)$ is T-invariant for any $n \in \mathbb{N}$.
- 2. $\{0_V\} \subseteq \operatorname{Ker}(T) \subseteq \operatorname{Ker}(T^2) \subseteq \cdots \subseteq \operatorname{Ker}(T^n) \subseteq \cdots$. Moreover, $\operatorname{Ker}(T^n)$ is T-invariant for any $n \in \mathbb{N}$.
- <u>Proof.</u> 1. If $x \in \text{Im}(T^{n+1})$, then $x = T^{n+1}(y) = T^n(T(y)) \in \text{Im}(T^n)$ for some $y \in V$, hence $\text{Im}(T^{n+1}) \subseteq \text{Im}(T^n)$. If $x \in \text{Im}(T^n)$, then $x = T^n(y)$ so $T(x) = T(T^n(y)) = T^n(T(y)) \in \text{Im}(T^n)$, so $T(\text{Im}(T^n)) \subseteq \text{Im}(T^n)$.
 - 2. If $x \in \text{Ker}(T^n)$, then $T^{n+1}(x) = T(T^n(x)) = T(0_V) = 0_V$ hence $x \in \text{Ker}(T^{n+1})$ so $\text{Ker}(T^n) \subseteq \text{Ker}(T^{n+1})$. Moreover, $T(x) \in \text{Ker}(T^n)$ since $T(x) \in \text{Ker}(T^{n-1}) \subseteq \text{Ker}(T^n)$, since $T^{n-1}(T(x)) = T^n(x) = 0_V$ so $T(\text{Ker}(T^n)) \subseteq \text{Ker}(T^n)$.

⁹Because the domain and codomain are the same, we often call T a "linear operator". $^{10}T^n := T \circ T \circ \cdots \circ T$, n times; $T^0 := I_V$.

® Example 2.4: More Examples of Invariant Subspaces

Let $T: \mathbb{R}^3 \to \mathbb{R}^3$ by T(x,y,z) := (2x+y,3x-y,7z). Then, the x-y plane, $\{(x,y,z) \in \mathbb{R}^3 : z=0\}$ is T-invariant, as is the z axis, $\{(x,y,z) \in \mathbb{R}^3 : x=y=0\}$. Hence, we can decompose \mathbb{R}^3 into two T-invariant subspaces, namely x-y plane $\oplus z$ -axis.

○ <u>Definition</u> 2.12: Nilpotent

In a ring R, an element $r \in R$ is called *nilpotent* if $r^n = 0$ for some $n \in \mathbb{N}^+$.

A linear transformation $T: V \to V$ is called nilpotent if $T^n = 0$ for some $n \in \mathbb{N}^+$. 11

For a matrix $A \in M_n(\mathbb{F})$, A is called nilpotent if $A^n = 0_n$ for some $n \in \mathbb{N}^+$.

¹¹One can verify that all linear transformations $T: V \to V$ from a vector space to itself form a ring with (∘, +), ie composition and ("standard") addition of transformations. The same holds for linear operators defined over an abelian group (where the same + operation is endowed by the ring).

® Example 2.5: Examples of Nilpotent Transformations

- 1. Let V, n-dimensional vector space over \mathbb{F} with basis $\beta := \{v_1, \dots, v_n\}$. Let $T : V \to V$ be the unique linear transformation that "shifts" β : ie, $T(v_1) := 0_V$, $T(v_2) := v_1, \dots, T(v_n) = v_{n-1}$.
- 2. The differentiation operation, $\delta : \mathbb{F}[t]_n \to \mathbb{F}[t]_n$ is nilpotent, since $\delta^{n+1} = 0$ for any polynomial.
- 3. For any matrix $A \in M_n(\mathbb{F})$, A is nilpotent iff $L_A : \mathbb{F}^n \to \mathbb{F}^n$ is nilpotent.

$$\underline{Proof.}$$
 $L_{A^k} = L_A^k \implies A^k = 0 \iff L_{A^k} = 0 \iff L_A^k = 0$

4. $n \times n$ matrices that are strictly upper triangular¹² are nilpotent. For instance, for 3×3 , we need to show¹³

$$\begin{pmatrix} 0 & * & * \\ 0 & 0 & * \\ 0 & 0 & 0 \end{pmatrix}^{3} = 0 \iff \begin{pmatrix} 0 & * & * \\ 0 & 0 & * \\ 0 & 0 & 0 \end{pmatrix} \cdot \begin{pmatrix} \star \\ \star \\ \star \end{pmatrix} = 0$$

We have:

$$\begin{pmatrix} 0 & * & * \\ 0 & 0 & * \\ 0 & 0 & 0 \end{pmatrix}^{2} \begin{pmatrix} 0 & * & * \\ 0 & 0 & * \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \star \\ \star \\ \star \\ 0 & 0 & * \\ 0 & 0 & 0 \end{pmatrix}^{2} \begin{pmatrix} \star \\ \star \\ \star \\ 0 \end{pmatrix}$$

$$= \begin{pmatrix} 0 & * & * \\ 0 & 0 & * \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & * & * \\ \star \\ 0 & 0 & * \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \star \\ \star \\ 0 \end{pmatrix}$$

$$= \begin{pmatrix} 0 & * & * \\ 0 & 0 & * \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \star \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$= \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}.$$

\hookrightarrow Proposition 2.15

If *V* is *n*-dimensional and $T: V \to V$ is a linear nilpotent transformation, then $T^n = 0$.

Proof. Left as a (homework) exercise.

¹³ie zeros everywhere except cells strictly above diagonal.

¹³Where we denote arbitrary elements ★; different ★s are not necessarily equal.

→ Definition 2.13: Domain Restriction

For a function $f: X \to Y$ and $A \subseteq X$, we define the *restriction* of f to A as the function $f|_A: A \to Y$ given by $a \mapsto f(a)$.

→ Definition 2.14: Direct Sum

Let *V* be a vector space over \mathbb{F} , and let $W_0, W_1 \subseteq V$ be subspaces of *V*. If

- 1. $W_0 \cap W_1 = \{0_V\}$ (the subspaces are linearly independent), and
- 2. $W_0 + W_1 = \{w_0 + w_1 : w_0 \in W_0, w_1 \in W_1\} = V$

we write $V = W_0 \oplus W_1$, and say V is the *direct sum* if W_0, W_1 .

→ Theorem 2.8: Fitting's Lemma

For finite dimensional vector space V over \mathbb{F} and a linear transformation $T:V\to V$, there is a decomposition

$$V = U \oplus W$$

as a direct sum of *T*-invariant subspaces *U*, *W* such that $T|_U : U \to U$ is nilpotent and $T|_W : W \to W$ is an isomorphism.

<u>Proof.</u> Recall that $\text{Im}(T) \supseteq \cdots \supseteq \text{Im}(T^n)$ and $\text{Ker}(T) \subseteq \cdots \subseteq \text{Ker}(T^n)$. Both of these become constant eventually, ie the inequalities become strict equalities, hence $\exists N \in \mathbb{N}^+$ such that $\forall k \in \mathbb{N}$, $\text{Im}(T^{N+k}) = \text{Im}(T^N)$ and $\text{Ker}(T^{N+k}) = \text{Ker}(T^N)$.

Let $U := Ker(T^N)$ and $W := Im(T^N)$. These are clearly T-invariant.

 $T^N(\text{Ker}(T^N)) = \{0_V\}$, and $T(\text{Im}(T^N)) = \text{Im}(T^{N+1}) = \text{Im}(T^N) = W$ and thus $T|_W : W \to W$ is surjective and hence $T|_W$ must be injective and thus an isomorphism.

It remains to show that $V = U \oplus W$. If $v \in U \cap W$, $T^N(v) = 0_V$ but $T|_W$ an isomorphism so $T^N(v) = 0 \iff v = 0_V$, hence $U \cap W = \{0_V\}$.

Thus, we have $\dim(U+W) = \dim(U) + \dim(W) - \dim(U\cap W) = \dim(U) + \dim(W) = \dim(V)$; moreover, it must be that U+W=V.¹⁴

 $\hookrightarrow Lecture~15; Last~Updated:~Fri~Feb~9~13:40:20~EST~2024$

2.9 Dual Spaces

¹⁴It is precisely here that we use finiteness of V.

→ Definition 2.15: Dual Space

For a vector space V over a field \mathbb{F} , linear transformations from $V \to \mathbb{F}$ (where we view \mathbb{F} as a one-dimensional vector space over \mathbb{F}) are called *linear functionals*. The space of linear functionals (namely, $\operatorname{Hom}(V,\mathbb{F})$) is denoted V^* , and called the *dual space* of V.

← Proposition 2.16

If *V* is finite dimensional, $\dim(V^*) = \dim(V)$.¹⁵

Proof. For finite dimensional V, we know that $\dim(\operatorname{Hom}(V,\mathbb{F})) = \dim(V) \cdot \dim(\mathbb{F}) = \dim(V)$, hence $\dim(V^*) = \overline{\dim(V)}$. In the same notation with which we proved this originally in proposition 2.6; fix a basis $\beta := \{v_1, \ldots, v_n\}$ for V and the standard basis $\gamma := \{1\}$ for \mathbb{F} , and defined $\beta^* := \{f_1, \ldots, f_n\}$, where $f_i := T_{v_i,1} : V \to \mathbb{F}$ maps $v_i \mapsto 1$ and every other basis vector to $0_{\mathbb{F}}$.

Remark 2.16. The basis β^* for V^* is called the dual basis. Explicitly, we have:

Let *V* be a finite dimensional vector space over \mathbb{F} and let $\beta := \{v_1, \dots, v_n\}$ be a basis for *V*. Then,

$$\beta^* := \{f_1, \ldots, f_n\}$$

is a basis for V^* . Moreover, for each linear functional $f \in V^*$,

$$f = \sum_{i=1}^{n} f(v_i) \cdot f_i.$$

Proof. Linear independence: let $a_1f_1 + \cdots + a_nf_n = 0_{V^*} =: 0$. Then,

$$(a_1f_1 + \cdots + a_nf_n)(v_i) = a_if_i(v_i) = a_i \cdot 1 = a_i \implies a_i = 0,$$

hence β^* indeed linearly independent.

Spanning: let $f \in V^*$. We claim that $f = \sum_{i=1}^n f(v_i)f_i$. It suffices to show these two sides are equal on the basis vectors, as linear transformations are determined by their effect on basis vectors. We have:

$$\left(\sum_{i=1}^{n} f(v_i) f_i\right)(v_j) = \sum_{i=1}^{n} f(v_i) f_i(v_j) = \sum_{i=1}^{n} f(v_i) \cdot \delta_{ij} = f(v_j),$$

as desired. 16

¹⁵This does *not* hold for infinite dimensional spaces.

¹⁶Where $\delta_{ij} := \begin{cases} 1 & i = j \\ 0 & i \neq j \end{cases}$ is the Kronecker delta.

⊗ Example 2.6

- 1. Let $V := \mathbb{F}^n$ and $\beta := \{v_1, \dots, v_n\}$ be a basis for \mathbb{F}^n , viewed as column vectors, and let $\beta^* := \{f_1, \dots, f_n\}$ be the dual basis for V^* . Recall that $f_i : \mathbb{F}^n \to \mathbb{F}$, hence $f_i := L_{A_i}$ for some matrix $A_i \in M_{1 \times n}(\mathbb{F}) := \text{space of } 1 \times n \text{ row vectors. Hence, } A_i = e_i^t$.
- 2. Consider V^{**} , the dual of the dual. If V is finite-dimensional, then as $\dim(V) = \dim(V^*)$, we have $\dim(V) = \dim(V^*) = \dim(V^{**})$, ie, they are (abstractly) isomorphic.

We have that $T: V \to V^*$, $v_i \mapsto f_i$ is an isomorphism; we define an explicit isomorphism to V^{**} below.

○ Definition 2.16

Let *V* be an arbitrary vector space over \mathbb{F} . For each $x \in V$, define $\hat{x} \in V^{**}$ by $\hat{x} : V^* \to \mathbb{F}$, where $\hat{x}(f) := f(x)$.

Remark 2.17. *Note that* \hat{x} *is linear.*

→ Theorem 2.9

The map $x \mapsto \hat{x} : V \to V^{**}$ is a linear injection. In particular, if V is finite dimensional, it is an isomorphism.

<u>Proof.</u> Let $x \in V$ and suppose $\hat{x} = 0_{V^*}$. Let β be a basis for V and β^* its dual basis. Let $x = a_1v_1 + \cdots + a_nv_n$ for $v_i \in \beta$, $a_i \in \mathbb{F}$. Let f_i such that $f_i(v_j) = \delta_{ij}v_j$. Then,

$$\hat{x}f_i = f_i(x) = f_i(a_1v_1 + \cdots + a_nv_n) = a_i = 0,$$

hence, $a_i = 0 \,\forall i$. Hence, x = 0, and thus \hat{x} has a trivial kernel and is thus injective.

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Remark 2.18. Notice that to get an isomorphism $V \cong V^*$, we fixed a basis for V to define it. However, for $V \cong V^{**}$, we had a canonical isomorphism independent of choice of basis. Writing $S \subseteq V$, $\hat{S} := \{\hat{x} : x \in S\} \subseteq V^{**}$, our theorem says that $\hat{V} = V^{**}$ for finite-dimensional V.

→ Definition 2.17: Annihilator

Let *V* be a vector space over \mathbb{F} and $S \subseteq V$. We call

$$S^{\perp} := \{ f \in V^* : f|_S = 0 \} = \{ f \in V^* : f(u) = 0 \, \forall \, u \in S \}$$

the annihilator of S.

→ Proposition 2.17

Let *V* be a vector space over \mathbb{F} and $S \subseteq V$.

- 1. S^{\perp} is a subspace of V^{*17}
- $2. \ S_1 \subseteq S_2 \subseteq V \implies S_1^{\perp} \supseteq S_2^{\perp}$
- 3. $S^{\perp} = (\operatorname{Span}(S))^{\perp}$

Proof. 1. If $f_1, f_2 \in S^{\perp}, a \in \mathbb{F}$, then $\forall u \in S$,

$$(af_1 + f_2)(u) = af_1(u) + f_2(u) = a \cdot 0 + 0,$$

so $a f_1 + f_2 \in S^{\perp}$.

- 2. Clear.
- 3. If $f \in V^*$ takes all vectors in S to 0, then it does the same for linear combinations.

← Proposition 2.18

If *V* is finite dimensional and $U \subseteq V$ a subspace, then $(U^{\perp})^{\perp} = \hat{U}$.

Proof. We know that $V^{**} = \hat{V}$, so we fix $\hat{x} \in \hat{V}$ and show that

$$\hat{x} \in (U^{\perp})^{\perp} \iff \hat{x} \in \hat{U} \iff x \in U.$$

We have

$$\hat{x} \in (U^{\perp})^{\perp} : \iff \forall \, f \in U^{\perp}, \hat{x}(f) = f(x) = 0$$

hence if $x \in U$, then $\hat{x} \in (U^{\perp})^{\perp}$, so $\hat{U} \subseteq (U^{\perp})^{\perp}$.

Conversely, let $\hat{x} \in (U^{\perp})^{\perp}$. Then, $\forall f \in U^{\perp}$, f(x) = 0.

Suppose towards a contradiction that $x \notin U$. We aim to define $f \in U^{\perp}$ s.t. f(x) = 1, obtaining a contradiction. Let $\{u_1, \ldots, u_k\}$ be a basis for U, noting that $\{u_1, \ldots, u_k, x\}$ still linearly independent by assumption of $x \notin U = \mathrm{Span}(\{u_1, \ldots, u_k\})$. Thus, we can extend this to a basis $\beta = \{u_1, \ldots, u_k, x, v_1, \ldots, v_m\}$ for V. Define $f: V \to \mathbb{F} \in V^*$ as the unique linear transformation such that $f(u_i) = f(v_j) = 0$ and f(x) = 1. Then, $f \in U^{\perp}$ by definition, and f(x) = 1 by definition. This is a contradiction that $x \notin U$.

¹⁷Even if *S* is not a subspace itself.

For a finite dimensional V and subspace $U \subseteq V$,

$$U = \{ x \in V : \forall f \in U^{\perp}, f(x) = 0 \}.$$

\hookrightarrow **Definition 2.18: Dual/Transpose of** T

Let V, W be vector spaces over a field \mathbb{F} and $T:V\to W$. We define the *dual/transpose* of T is the map $T^t:W^*\to V^*$, given by $g\mapsto g\circ T$. Ie, $T^t(g)(v):=g\circ T(v)=g(T(v))$.

→ Proposition 2.19

Let V, W be vector spaces over a field \mathbb{F} and $T:V\to W$.

- 1. T^t is linear.
- 2. $Ker(T^t) = (Im(T))^{\perp}$.
- 3. $\operatorname{Im}(T^t) \subseteq (\operatorname{Ker}(T))^{\perp}$ and is equal if V, W are finite dimensional.
- 4. If V, W are finite dimensional and β , γ are bases resp., then

$$[T^t]_{\gamma^*}^{\beta^*} = ([T]_{\beta}^{\gamma})^t.$$

Proof. 1.
$$T^t(ag_1 + g_2) = (ag_1 + g_2) \circ T = a \cdot g_1 \circ T + g_2 \circ T = a \cdot T^t(g_1) + T^*(g_2), \forall g_1, g_2 \in W^*, a \in \mathbb{F}.$$

2. For $g \in W^*$,

$$g \in \operatorname{Ker}(T^*) : \iff T^t(g) = 0_{V^*} \iff T^t(g)(v) = 0 \,\forall \, v \in V$$

$$\iff g(T(v)) = 0 \,\forall \, v \in V$$

$$\iff g(w) = 0 \,\forall \, w \in \operatorname{Im}(T)$$

$$\iff g \in (\operatorname{Im}(T))^{\perp}$$

3. Fix $f = T^t(g) \in \text{Im}(T^t)$, $g \in W^*$, and $u \in \text{Ker}(T)$, noting that $f(u) = T^t(g)(u) = g(T(u)) = g(0_W) = 0$ so $f \in (\text{Ker}(T))^{\perp}$.

Suppose now V, W are finite dimensional; we've shown an inclusion, so it suffices now to show that

 $\dim(\operatorname{Im}(T^t)) = \dim(\operatorname{Ker}(T))^{\perp}$. We have:

$$\begin{aligned} \dim(\operatorname{Im}(T^t)) &= \dim(W^*) - \dim(\operatorname{Ker}(T^t)) \\ &= \dim(W) - \dim(\operatorname{Im}(T)^{\perp}) \\ &= \dim(W) - \dim(W) + \dim(\operatorname{Im}(T)) \\ &= \dim(\operatorname{Im}(T)) \end{aligned}$$

OTOH:

$$\dim(\operatorname{Ker}(T)^{\perp}) = \dim(V) - \dim(\operatorname{Ker}(T)) = \dim(\operatorname{Im}(T)),$$

and thus $\dim(\operatorname{Im}(T^t)) = \dim(\operatorname{Ker}(T))^{\perp}$ (remarking that the first equality follows from 1. of the following theorem, and 2. from the dimension theorem).

4. Let $\beta := \{v_1, \dots, v_n\}, \gamma := \{w_1, \dots, w_m\}$ be finite bases for V, W resp. Recall that

$$A := [T]_{\beta}^{\gamma} := \begin{pmatrix} | & | \\ [T(v_1)]_{\gamma} & \cdots & [T(v_n)]_{\gamma} \end{pmatrix},$$

ie $A^{(j)} = [T(v_j)]_{\gamma}$ hence $T(v_j) = \sum_{k=1}^{m} A_{kj} w_k$.

Similarly, write $\gamma^* := \{g_1, \dots, g_m\}$ and $\beta := \{f_1, \dots, f_n\}$, then

$$B := [T^t]_{\gamma^*}^{\beta^*} := \begin{pmatrix} | & | & | \\ [T^t(g_1)]_{\beta^*} & \cdots & [T^t(g_m)]_{\beta^*} \end{pmatrix},$$

so $T^t(g_i) = \sum_{\ell=1}^n B_{\ell i} f_\ell = \sum_{\ell=1}^n T^t(g_i)(v_\ell) f_\ell$, so $B_{\ell i} = T^t(g_i)(v_\ell)$. To complete the proof, we must show that $A_{ij} = B_{ji}$ for all i, j:

$$B_{ji} = T^{t}(g_{i})(v_{j}) = g_{i}(T(v_{j})) = g_{i}(\sum_{k=1}^{m} A_{kj}w_{k}) = \sum_{k=1}^{m} A_{kj}g_{i}(w_{k}) = A_{ij},$$

where the last equality $g_i(w_k) = \delta_{ik}$, by construction.

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\hookrightarrow Theorem 2.10

Let *V* be a finite-dimensional vector space over \mathbb{F} and $U \subseteq V$ be a subspace.

- 1. $\dim(U^{\perp}) = \dim(V) \dim(U)$. In fact, if $\{v_1, \dots, v_k\}$ is a basis for U and $\beta := \{v_1, \dots, v_k, v_{k+1}, \dots, v_n\}$ is a basis for V with the dual basis $\beta^* = \{f_1, \dots, f_n\}$, then $\{f_{k+1}, \dots, f_n\}$ is a basis for U^{\perp} .
- 2. $(V/U)^* \cong U^{\perp}$ by the map $f \mapsto f_U$, where $f_U : V \to \mathbb{F}$ given by $f_U(v) := f(v + U)$.

Proof. Left as a (homework) exercise.

Corollary 2.10: of proposition 2.19

Let V, W be vector spaces over \mathbb{F} and $T:V\to W$ be a linear transformation.

- 1. T^t injective $\iff T$ surjective.
- 2. If V, W finite dimensional, then T^t surjective $\iff T$ injective.

<u>Proof.</u> 1. T^t injective \iff $\operatorname{Ker}(T^t) = \{0_{W^*}\}$ \iff $\operatorname{Im}(T)^{\perp} = \{0_{W^*}\}$ \implies ${}^{\circledast}\operatorname{Im}(T) = W$ \iff T surjective. Conversely, if $\operatorname{Im}(T) = W$ \implies $(\operatorname{Im}(T))^t = \{0_{W^*}\}$ (and the rest follows identically).

2. $\operatorname{Im}(T^t) = \operatorname{Ker}(T)^{\perp} \implies \operatorname{Im}(T^{\perp}) = V^* \iff \operatorname{Ker}(T) = \{0_V\}$, following similar logic to above.

Remark 2.19. Part 4. of proposition 2.19 establishes a dependency between the columns and rows of a matrix; precisely:

 $\hookrightarrow \textit{Lecture 18; Last Updated: Wed Feb 14 14:26:12 EST 2024}$