# MATH455 - Analysis 4

Based on lectures from Winter 2025 by Prof. Jessica Lin. Notes by Louis Meunier

## Contents

Abstract Metric and Topological Spaces	2
1.1 Review of Metric Spaces	
1.2 Compactness, Separability	3
1.3 Arzelà-Ascoli	5
1.4 Baire Category Theorem	7
1.4.1 Applications of Baire Category Theorem	7
1.5 Topological Spaces	7
1.6 Separation, Countability, Separability	9
1.7 Continuity and Compactness	

# $\S 1$ Abstract Metric and Topological Spaces

## §1.1 Review of Metric Spaces

Throughout fix *X* a nonempty set.

 $\hookrightarrow$  **Definition 1.1** (Metric):  $\rho: X \times X \to \mathbb{R}$  is called a *metric*, and thus  $(X, \rho)$  a *metric space*, if for all  $x, y, z \in X$ ,

- $\rho(x,y) \geq 0$ ,
- $\rho(x,y) = 0 \Leftrightarrow x = y$ ,
- $\rho(x, y) = \rho(y, x)$ , and
- $\rho(x,y) \le \rho(x,z) + \rho(z,y)$ .

 $\hookrightarrow$  Definition 1.2 (Norm): Let *X* a linear space. A function  $\|\cdot\|: X \to [0, \infty)$  is called a *norm* if for all *u*, *v* ∈ *X* and *α* ∈  $\mathbb{R}$ ,

- $\bullet \|u\| = 0 \Leftrightarrow u = 0,$
- $||u+v|| \le ||u|| + ||v||$ , and
- $\bullet \|\alpha u\| = |\alpha| \|u\|.$

**Remark 1.1**: A norm induces a metric by  $\rho(x, y) := ||x - y||$ .

 $\hookrightarrow$  Definition 1.3: Given two metrics  $\rho$ ,  $\sigma$  on X, we say they are *equivalent* if  $\exists$  C > 0 such that  $\frac{1}{C}\sigma(x,y) \le \rho(x,y) \le C\sigma(x,y)$  for every  $x,y \in X$ . A similar definition follows for equivalence of norms.

Given a metric space  $(X, \rho)$ , then, we have the notion of

- open balls  $B(x,r) = \{ y \in X : \rho(x,y) < r \}$ ,
- open sets (subsets of X with the property that for every  $x \in X$ , there is a constant r > 0 such that  $B(x,r) \subseteq X$ ), closed sets, closures, and
- convergence.

 $\hookrightarrow$  Definition 1.4 (Convergence):  $\{x_n\}\subseteq X$  converges to  $x\in X$  if  $\lim_{n\to\infty}\rho(x_n,x)=0$ .

We have several (equivalent) notions, then, of continuity; via sequences,  $\varepsilon - \delta$  definition, and by pullbacks (inverse images of open sets are open).

1.1 Review of Metric Spaces

 $\hookrightarrow$  **Definition 1.5** (Uniform Continuity):  $f:(X,\rho)\to (Y,\sigma)$  uniformly continuous if f has a "modulus of continuity", i.e. there is a continuous function  $\omega:[0,\infty)\to [0,\infty)$  such that  $\sigma(f(x_1),f(x_2))\leq \omega(\rho(x_1,x_2))$ 

for every  $x_1, x_2 \in X$ .

**Remark 1.2**: For instance, we say f Lipschitz continuous if there is a constant C>0 such that  $\omega(\cdot)=C(\cdot)$ . Let  $\alpha\in(0,1)$ . We say f  $\alpha$ -Holder continuous if  $\omega(\cdot)=C(\cdot)^{\alpha}$  for some constant C.

 $\hookrightarrow$  **Definition 1.6** (Completeness): We say  $(X, \rho)$  *complete* if every cauchy sequence in  $(X, \rho)$  converges to a point in X.

**Remark 1.3**: If  $(X, \rho)$  complete and  $E \subseteq X$ , then  $(E, \rho)$  is complete iff E closed in X.

# §1.2 Compactness, Separability

 $\hookrightarrow$  **Definition 1.7** (Open Cover, Compactness):  $\{X_{\lambda}\}_{\lambda \in \Lambda} \subseteq 2^{X}$ , where  $X_{\lambda}$  open in X and  $\Lambda$  an arbitrary index set, an *open cover* of X if for every  $x \in X$ ,  $\exists \lambda \in \Lambda$  such that  $x \in X_{\lambda}$ .

X is *compact* if every open cover of X admits a compact subcover. We say  $E\subseteq X$  compact if  $(E,\rho)$  compact.

 $\hookrightarrow$  Definition 1.8 (Totally Bounded, ε-nets):  $(X, \rho)$  totally bounded if  $\forall \varepsilon > 0$ , there is a finite cover of X of balls of radius  $\varepsilon$ . If  $E \subseteq X$ , an ε-net of E is a collection  $\{B(x_i, \varepsilon)\}_{i=1}^N$  such that  $E \subseteq \bigcup_{i=1}^N B(x_i, \varepsilon)$  and  $x_i \in X$  (note that  $x_i$  need not be in E).

 $\hookrightarrow$  **Definition 1.9** (Sequentially Compact):  $(X, \rho)$  *sequentially compact* if every sequence in X has a convergence subsequence whose limit is in X.

 $\hookrightarrow$  **Definition 1.10** (Relatively / Pre-Compact):  $E \subseteq X$  relatively compact if  $\overline{E}$  compact.

#### $\hookrightarrow$ **Theorem 1.1**: TFAE:

- *X* complete and totally bounded;
- *X* compact;
- *X* sequentially compact.

**Remark 1.4**:  $E \subseteq X$  relatively compact if every sequence in E has a convergent subsequence.

Let  $f:(X,\rho)\to (Y,\sigma)$  continuous with  $(X,\rho)$  compact. Then,

- f(X) compact in Y;
- if  $Y = \mathbb{R}$ , the max and min of f over X are achieved;
- *f* is uniformly continuous.

Let  $C(X) := \{f : X \to \mathbb{R} \mid f \text{ continuous}\}$  and  $||f||_{\infty} := \max_{x \in X} |f(x)|$  the sup (max, in this case) norm. Then,

 $\hookrightarrow$  Theorem 1.2: Let  $(X, \rho)$  compact. Then,  $(C(X), \|\cdot\|_{\infty})$  is complete.

PROOF. Let  $\{f_n\}\subseteq C(X)$  Cauchy with respect to  $\|\cdot\|_\infty$ . Then, there exists a subsequence  $\{f_{n_k}\}$  such that for each  $k\geq 1$ ,  $\|f_{n_{k+1}}-f_{n_k}\|_\infty\leq 2^{-k}$  (to construct this subsequence, let  $n_1\geq 1$  be such that  $\|f_n-f_{n_1}\|_\infty<\frac{1}{2}$  for all  $n\geq n_1$ , which exists since  $\{f_n\}$  Cauchy. Then, for each  $k\geq 1$ , define inductively  $n_{k+1}$  such that  $n_{k+1}>n_k$  and  $\|f_n-f_{n_{k+1}}\|_\infty<\frac{1}{2^{k+1}}$  for each  $n\geq n_{k+1}$ . Then, for any  $k\geq 1$ ,  $\|f_{n_{k+1}}-f_{n_k}\|_\infty<2^{-k}$ , since  $n_{k+1}>n_k$ .).

Let  $j \in \mathbb{N}$ . Then, for any  $k \geq 1$ ,

$$\|f_{n_{k+j}} - f_{n_k}\|_{\infty} \leq \sum_{\ell=k}^{k+j-1} \|f_{n_{\ell+1}} - f_{n_{\ell}}\|_{\infty} \leq \sum_{\ell} 2^{-\ell}$$

and hence for each  $x \in X$ , with  $c_k \coloneqq f_{n_k}(x)$ ,

$$|c_{k+j}-c_k| \leq \sum_{\ell=k}^{\infty} 2^{-\ell}.$$

The RHS is the tail of a converging series, and thus  $|c_{k+j}-c_k|\to 0$  as  $k\to\infty$  i.e.  $\{c_k\}$  a Cauchy sequence, in  $\mathbb{R}$ .  $(\mathbb{R},|\cdot|)$  complete, so  $\lim_{k\to\infty}c_k=:f(x)$  exists for each  $x\in X$ . So, for each  $x\in X$ , we find

$$|f_{n_k}(x)-f(x)|\leq \sum_{\ell=k}^\infty 2^{-\ell},$$

and since the RHS is independent of x, we may pass to the sup norm, and find

$$\|f_{n_k}-f\|_{\infty}\leq \sum_{\ell=k}^{\infty}2^{-\ell},$$

with the RHS  $\to 0$  as  $k \to \infty$ . Hence,  $f_{n_k} \to f$  in C(X) as  $k \to \infty$ . In other words, we have uniform convergence of  $\left\{f_{n_k}\right\}$ . Each  $\left\{f_{n_k}\right\}$  continuous, and thus f also continuous, and thus  $f \in C(X)$ .

It remains to show convergence along the whole sequence. Suppose otherwise. Then, there is some  $\alpha>0$  and a subsequence  $\left\{f_{n_j}\right\}\subseteq \{f_n\}$  such that  $\|f_{n_j}-f\|_\infty>$ 

 $\alpha > 0$  for every  $j \ge 1$ . Then, let k be sufficiently large such that  $||f - f_{n_k}||_{\infty} \le \frac{\alpha}{2}$ . Then, for every  $j \ge 1$  and k sufficiently large,

$$\begin{split} \|f_{n_j}-f_{n_k}\|_{\infty} &\geq \|f_{n_j}-f\|_{\infty} - \|f-f_{n_k}\|_{\infty} \\ &> \alpha - \frac{\alpha}{2} > 0, \end{split}$$

which contradicts the Cauchy-ness of  $\{f_n\}$ , completing the proof.

## §1.3 Arzelà-Ascoli

The goal in this section is to find conditions for a sequence of functions  $\{f_n\} \subseteq C(X)$  to be precompact, namely, to have a uniformly convergent subsequence.

**Corollary 1.1**: Any Cauchy sequence converges if it has a convergent subsequence.

PROOF. Let  $\{x_n\}$  be a Cauchy sequence in a metric space  $(X,\rho)$  with convergent subsequence  $\big\{x_{n_k}\big\}$  which converges to some  $x\in X$ . Fix  $\varepsilon>0$ . Let  $N\geq 1$  be such that if  $m,n\geq N$ ,  $\rho(x_n,x_m)<\frac{\varepsilon}{2}$ . Let  $K\geq 1$  be such that if  $k\geq K$ ,  $\rho\big(x_{n_k},x\big)<\frac{\varepsilon}{2}$ . Let  $n,n_k\geq \max\{N,K\}$ , then

$$\rho(x,x_n) \leq \rho\Big(x,x_{n_k}\Big) + \rho\Big(x_{n_k},x_n\Big) < \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon.$$

**Definition 1.11** (Equicontinuous): A family  $\mathcal{F} \subseteq C(X)$  is called *equicontinuous* at  $x \in X$  if  $\forall \varepsilon > 0$  there exists a  $\delta = \delta(x, \varepsilon) > 0$  such that if  $\rho(x, x') < \delta$  then  $|f(x) - f(x')| < \varepsilon$  for every  $f \in \mathcal{F}$ .

**Remark 1.5**:  $\mathcal{F}$  equicontinuous at x iff every  $f \in \mathcal{F}$  share the same modulus of continuity.

⇒ Definition 1.12 (Pointwise/uniformly bounded):  $\{f_n\}$  pointwise bounded if  $\forall x \in X$ ,  $\exists M(x) > 0$  such that  $|f_n(x)| \leq M(x) \, \forall \, n$ , and uniformly bounded if such an M exists independent of x.

**→Lemma 1.1** (Arzelà-Ascoli Lemma): Let X separable and let  $\{f_n\} \subseteq C(X)$  be pointwise bounded and equicontinuous. Then, there is a subsequence  $\{f_{n_k}\}$  and a function f which converges pointwise to f on all of X.

PROOF. Let  $D=\left\{x_j\right\}_{j=1}^\infty\subseteq X$  be a countable dense subset of X. Since  $\{f_n\}$  p.w. bounded,  $\{f_n(x_1)\}$  as a sequence of real numbers is bounded and so by the Bolzano-Weierstrass (BW) Theorem there is a convergent subsequence  $\left\{f_{n(1,k)}(x_1)\right\}_k$  that converges to some  $a_1\in\mathbb{R}$ . Consider now  $\left\{f_{n(1,k)}(x_2)\right\}_k$ , which is again a bounded

1.3 Arzelà-Ascoli 5

sequence of  $\mathbb R$  and so has a convergent subsequence, call it  $\left\{f_{n(2,k)}(x_2)\right\}_k$  which converges to some  $a_2 \in \mathbb R$ . Note that  $\left\{f_{n(2,k)}\right\} \subseteq \left\{f_{n(1,k)}\right\}$ , so also  $f_{n(2,k)}(x_1) \to a_1$  as  $k \to \infty$ . We can repeat this procedure, producing a sequence of real numbers  $\{a_\ell\}$ , and for each  $j \in \mathbb N$  a subsequence  $\left\{f_{n(j,k)}\right\}_k \subseteq \{f_n\}$  such that  $f_{n(j,k)}(x_\ell) \to a_\ell$  for each  $1 \le \ell \le j$ . Define then

$$f: D \to \mathbb{R}, f(x_j) := a_j.$$

Consider now

$$f_{n_k} \coloneqq f_{n(k,k)}, k \ge 1,$$

the "diagonal sequence", and remark that  $f_{n_k}\big(x_j\big) \to a_j = f\big(x_j\big)$  as  $k \to \infty$  for every  $j \geq 1$ . Hence,  $\big\{f_{n_k}\big\}_k$  converges to f on D, pointwise.

We claim now that  $\left\{f_{n_k}\right\}$  converges on all of X to some function  $f:X\to\mathbb{R}$ , pointwise. Put  $g_k:=f_{n_k}$  for notational convenience. Fix  $x_0\in X$ ,  $\varepsilon>0$ , and let  $\delta>0$  be such that if  $x\in X$  such that  $\rho(x,x_0)<\delta$ ,  $|g_k(x)-g_k(x_0)|<\frac{\varepsilon}{3}$  for every  $k\geq 1$ , which exists by equicontinuity. Since D dense in X, there is some  $x_j\in D$  such that  $\rho(x_j,x_0)<\delta$ . Then, since  $g_k(x_j)\to f(x_j)$  (pointwise),  $\left\{g_k(x_j)\right\}_k$  is Cauchy and so there is some  $K\geq 1$  such that for every  $k,\ell\geq K$ ,  $|g_\ell(x_j)-g_k(x_j)|<\frac{\varepsilon}{3}$ . And hence, for every  $k,\ell\geq K$ ,

$$|g_k(x_0) - g_\ell(x_0)| \le |g_k(x_0) - g_k(x_i)| + |g_k(x_i) - g_\ell(x_i)| + |g_\ell(x_i) - g_\ell(x_0)| < \varepsilon,$$

so namely  $\left\{g_k(x_0)\right\}_k$  Cauchy as a sequence in  $\mathbb{R}$ . Since  $\mathbb{R}$  complete, then  $\left\{g_k(x_0)\right\}_k$  also converges, to, say,  $f(x_0) \in \mathbb{R}$ . Since  $x_0$  was arbitrary, this means there is some function  $f: X \to \mathbb{R}$  such that  $g_k \to f$  pointwise on X as we aimed to show.

 $\hookrightarrow$  Definition 1.13 (Uniformly Equicontinuous):  $\mathcal{F} \subseteq C(X)$  is said to be uniformly equicontinuous if for every  $\varepsilon < 0$ , there exists a  $\delta > 0$  such that  $\forall \, x,y \in X$  with  $\rho(x,y) < \delta$ ,  $|f(x) - f(y)| < \varepsilon$  for every  $f \in \mathcal{F}$ . That is, every function in  $\mathcal{F}$  has the same modulus of continuity.

**→Proposition 1.1** (Sufficient Conditions for Uniform Equicontinuity):

- 1.  $\mathcal{F} \subseteq C(X)$  uniformly Lipschitz
- 2.  $\mathcal{F} \subseteq C(X) \cap C^1(X)$  has a uniform  $L^{\infty}$  bound on the first derivative
- 3.  $\mathcal{F} \subseteq C(X)$  uniformly Holder continuous
- 4.  $(X, \rho)$  compact and  $\mathcal{F}$  equicontinuous

 $\hookrightarrow$  Theorem 1.3 (Arzelà-Ascoli): Let  $(X, \rho)$  a compact metric space and  $\{f_n\} \subseteq C(X)$  be a uniformly bounded and (uniformly) equicontinuous family of functions. Then,  $\{f_n\}$  is precompact in C(X), i.e. there exists  $\{f_{n_k}\} \subseteq \{f_n\}$  such that  $f_{n_k}$  is uniformly convergent on X.

1.3 Arzelà-Ascoli 6

**Remark 1.6**: If  $K \subseteq X$  a compact set, then K bounded and closed.

**→Theorem 1.4**: Let  $(X, \rho)$  compact and  $\mathcal{F} \subseteq C(X)$ . Then,  $\mathcal{F}$  a compact subspace of C(X) iff  $\mathcal{F}$  closed, uniformly bounded, and (uniformly) equicontinuous.

# §1.4 Baire Category Theorem

We'll say a set  $E \subseteq X$  hollow if int  $E = \emptyset$ , or equivalently if  $E^c$  dense in X.

- $\hookrightarrow$  Theorem 1.5 (Baire Category Theorem): Let X be a complete metric space.
  - (a) Let  $\{F_n\}$  a collection of closed hollow sets. Then,  $\bigcup_{n=1}^{\infty} F_n$  also hollow.
  - (b) Let  $\{O_n\}$  a collection of open dense sets. Then,  $\bigcap_{n=1}^{\infty} O_n$  also dense.

 $\hookrightarrow$  Corollary 1.2: Let X complete and  $\{F_n\}$  a sequence of closed sets in X. If  $X = \bigcup_{n \geq 1} F_n$ , there is some  $n_0$  such that  $\operatorname{int}(F_{n_0}) \neq \emptyset$ .

 $\hookrightarrow$  Corollary 1.3: Let X complete and  $\{F_n\}$  a sequence of closed sets in X. Then,  $\bigcup_{n=1}^{\infty} \partial F_n$  hollow.

## 1.4.1 Applications of Baire Category Theorem

**→Theorem 1.6**: Let  $\mathcal{F} \subset C(X)$  where X complete. Suppose  $\mathcal{F}$  pointwise bounded. Then, there exists a nonempty, open set  $\mathcal{O} \subseteq X$  such that  $\mathcal{F}$  uniformly bounded on  $\mathcal{O}$ .

**Theorem 1.7**: Let X complete, and  $\{f_n\}$  ⊆ C(X) such that  $f_n \to f$  pointwise on X. Then, there exists a dense subset  $D \subseteq X$  such that  $\{f_n\}$  equicontinuous on D and f continuous on D.

## §1.5 Topological Spaces

Throughout, assume  $X \neq \emptyset$ .

- $\hookrightarrow$  **Definition 1.14** (Topology): Let  $X \neq \emptyset$ . A *topology*  $\mathcal{T}$  on X is a collection of subsets of X, called *open sets*, such that
- $X, \emptyset \in \mathcal{T}$ ;
- If  $\{E_n\} \subseteq \mathcal{T}$ ,  $\bigcap_{n=1}^N E_n \in \mathcal{T}$  (closed under *finite* intersections);
- If  $\{E_n\}\subseteq\mathcal{T}$ ,  $\bigcup_n E_n\in\mathcal{T}$  (closed under arbitrary unions).

If  $x \in X$ , a set  $E \in \mathcal{T}$  containing x is called a neighborhood of x.

1.5 Topological Spaces 7

 $\hookrightarrow$  Proposition 1.2:  $E \subseteq X$  open  $\Leftrightarrow$  for every  $x \in X$ , there is a neighborhood of x contained E.

- **Example 1.1**: Every metric space induces a natural topology given by open sets under the metric. The *discrete topology* is given by  $\mathcal{T} = 2^X$  (and is actually induced by the discrete metric), and is the largest topology. The *trivial topology*  $\{\emptyset, X\}$  is the smallest. The *relative topology* defined on a subset  $Y \subseteq X$  is given by  $\mathcal{T}_Y := \{E \cap Y : E \in \mathcal{T}\}$ .
- $\hookrightarrow$  **Definition 1.15** (Base): Given a topological space  $(X,\mathcal{T})$ , let  $x\in X$ . A collection  $\mathcal{B}_x$  of neighborhoods of x is called a *base* of  $\mathcal{T}$  at x if for every neighborhood  $\mathcal{U}$  of x, there is a set  $B\in\mathcal{B}_x$  siuch that  $B\subseteq\mathcal{U}$ .

We say a collection  $\mathcal{B}$  a base for all of  $\mathcal{T}$  if for every  $x \in X$ , there is a base for  $x, \mathcal{B}_x \subseteq \mathcal{B}$ .

 $\hookrightarrow$  **Proposition 1.3**: If  $(X, \mathcal{T})$  a topological space, then  $\mathcal{B} \subseteq \mathcal{T}$  a base for  $\mathcal{T} \Leftrightarrow$  every nonempty open set  $\mathcal{U} \in \mathcal{T}$  can be written as a union of elements of  $\mathcal{B}$ .

 $\hookrightarrow$  **Proposition 1.4**:  $\mathcal{B} \subseteq \mathcal{T}$  a base  $\Leftrightarrow$ 

- $X = \bigcup_{B \in \mathcal{B}} B$
- If  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$ , then there is a  $B \in \mathcal{B}$  such that  $x \in B \subseteq B_1 \cap B_2$ .
- $\hookrightarrow$  **Definition 1.16**: If  $\mathcal{T}_1 \subsetneq \mathcal{T}_2$ , we say  $\mathcal{T}_1$  weaker/coarser and  $\mathcal{T}_2$  stronger/finer.

Given a subset  $S \subseteq 2^X$ , define

 $\mathcal{T}(S) = \bigcap \text{all topologies containing } S = \text{unique weakest topology containing } S$  to be the topology *generated* by S.

 $\hookrightarrow$ **Proposition 1.5**: If  $S \subseteq 2^X$ ,

 $\mathcal{T}(S) = \bigcup \{ \text{finite intersection of elts of } S \}.$ 

⇒ Definition 1.17 (Point of closure/accumulation point): If  $E \subseteq X, x \in X$ , x is called a *point* of closure if  $\forall \mathcal{U}_x, \mathcal{U}_x \cap E \neq \emptyset$ . The collection of all such sets is called the closure of E, denote  $\overline{E}$ . We say E closed if  $E = \overline{E}$ .

1.5 Topological Spaces

 $\hookrightarrow$ **Proposition 1.6**: Let  $E \subseteq X$ , then

- $\overline{E}$  closed,
- $\overline{E}$  is the smallest closed set containing E,
- E open  $\Leftrightarrow E^c$  closed.

# §1.6 Separation, Countability, Separability

 $\hookrightarrow$  **Definition 1.18**: A neighborhood of a set  $K \subseteq X$  is any open set containing K.

 $\hookrightarrow$  **Definition 1.19** (Notions of Separation): We say  $(X, \mathcal{T})$ :

- *Tychonoff Separable* if  $\forall x,y \in X, \exists \mathcal{U}_x, \mathcal{U}_y$  such that  $y \notin \mathcal{U}_x, x \notin \mathcal{U}_y$
- Hausdorff Separable if  $\forall x,y \in X$  can be separated by two disjoint open sets i.e.  $\exists \mathcal{U}_x \cap \mathcal{U}_y = \emptyset$
- Normal if Tychonoff and in addition any 2 disjoint closed sets can be separated by disjoint neighborhoods.

**Remark 1.7**: Metric space  $\subseteq$  normal space  $\subseteq$  Hausdorff space  $\subseteq$  Tychonoff space.

 $\hookrightarrow$ **Proposition 1.7**: Tychonoff  $\Leftrightarrow \forall x \in X, \{x\}$  closed.

→ Proposition 1.8: Every metric space normal.

 $\hookrightarrow$  **Proposition 1.9**: Let X Tychonoff. Then X normal  $\Leftrightarrow \forall F \subseteq X$  closed and neighborhood  $\mathcal{U}$  of F, there exists an open set  $\mathcal{O}$  such that

$$F\subseteq\mathcal{O}\subseteq\overline{\mathcal{O}}\subseteq\mathcal{U}.$$

This is called the "nested neighborhood property" of normal spaces.

 $\hookrightarrow$  **Definition 1.20** (Separable): A space *X* is called *separable* if it contains a countable dense subset.

 $\hookrightarrow$  **Definition 1.21** (1st, 2nd Countable): A topological space  $(X, \mathcal{T})$  is called

- 1st countable if there is a countable base at each point
- 2nd countable if there is a countable base for all of  $\mathcal{T}$ .

# **Example 1.2**: Every metric space is first countable.

⇒ Definition 1.22 (Convergence): Let  $\{x_n\} \subseteq X$ . Then, we say  $x_n \to x$  in  $\mathcal{T}$  if for every neighborhood  $\mathcal{U}_x$ , there exists an N such that  $\forall n \geq N, x_n \in \mathcal{U}_x$ .

**Remark 1.8**: In general spaces, such a limit may not be unique. For instance, under the trivial topology, the only nonempty neighborhood is the whole space, so every sequence converges to every point in the space.

 $\hookrightarrow$ **Proposition 1.10**: Let  $(X, \mathcal{T})$  be Hausdorff. Then, all limits are unique.

 $\hookrightarrow$  **Proposition 1.11**: Let X be 1st countable and  $E \subseteq X$ . Then,  $x \in \overline{E} \Leftrightarrow$  there exists  $\{x_j\} \subseteq E$  such that  $x_j \to x$ .

# §1.7 Continuity and Compactness

 $\hookrightarrow$  **Definition 1.23**: Let  $(X,\mathcal{T}), (Y,\mathcal{S})$  be two topological spaces. Then, a function  $f: X \to Y$  is said to be continuous at  $x_0$  if for every neighborhood  $\mathcal{O}$  of  $f(x_0)$  there exists a neighborhood  $\mathcal{U}(x_0)$  such that  $f(\mathcal{U}) \subseteq \mathcal{O}$ . We say f continuous on X if it is continuous at every point in X.

**→Proposition 1.12**: f continuous  $\Leftrightarrow \forall \mathcal{O}$  open in Y,  $f^{-1}(\mathcal{O})$  open in X.

 $\hookrightarrow$  **Definition 1.24** (Weak Topology): Consider  $\mathcal{F} \coloneqq \{f_{\lambda}: X \to X_{\lambda}\}_{\lambda \in \Lambda}$  where  $X, X_{\lambda}$  topological spaces. Then, let

$$S\coloneqq \left\{f_\lambda^{-1}(\mathcal{O}_\lambda)\mid f_\lambda\in\mathcal{F}, \mathcal{O}_\lambda\in X_\lambda\right\}\subseteq X.$$

We say that the topology  $\mathcal{T}(S)$  generated by S is the *weak topology* for X induced by the family  $\mathcal{F}$ .

 $\hookrightarrow$  **Proposition 1.13**: The weak topology is the weakest topology in which each  $f_{\lambda}$  continuous on X.

**Example 1.3**: The key example of the weak topology is given by the product topology. Consider  $\{X_\lambda\}_{\lambda\in\Lambda}$  a collection of topological spaces. We can defined a "natural" topology on the product  $X:=\prod_{\lambda\in\Lambda}X_\lambda$  by consider the weak topology induced by the family of projection maps, namely, if  $\pi_\lambda:X\to X_\lambda$  a coordinate-wise projection and  $\mathcal{F}=\{\pi_\lambda:\lambda\in\Lambda\}$ , then we say the weak topology induced by  $\mathcal{F}$  is the *product topology* on X. In particular, a base for this topology is given, by previous discussions,

$$\mathcal{B} = \left\{ \bigcap_{j=1}^n \pi_{\lambda_j}^{-1} \left( \mathcal{O}_j \right) \right\} = \left\{ \prod_{\lambda \in \Lambda} \mathcal{U}_{\lambda} : \mathcal{U}_{\lambda} \text{ open and all by finitely many } U_{\lambda'} s = X_{\lambda} \right\}.$$

 $\hookrightarrow$  **Definition 1.25** (Compactness): A space *X* is said to be *compact* if every open cover of *X* admits a finite subcover.

# $\hookrightarrow$ Proposition 1.14:

- Closed subsets of compact spaces are compact
- X compact  $\Leftrightarrow$  if  $\{F_k\} \subseteq X$ -nested and closed,  $\bigcap_{k=1}^{\infty} F_k \neq \emptyset$ .
- Continuous images of compact sets are compact
- Continuous real-valued functions on a compact topological space achieve their min, max.

 $\hookrightarrow$  **Proposition 1.15**: Let *K* be contained in a Hausdorff space *X*. Then, *K* closed in *X*.

 $\hookrightarrow$  **Definition 1.26** (Sequential Compactness): We say  $(X, \mathcal{T})$  sequentially compact if every sequence in X has a converging subsequence with limit contained in X.

 $\hookrightarrow$  Proposition 1.16: Let  $(X, \mathcal{T})$  second countable. Then, X compact  $\Leftrightarrow$  sequentially compact.