

# Evolution in Model-Driven Engineering

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# Chapter 6

## Evaluation

Introduce evaluation strategy. Briefly describe each of the four evaluation methods.

### 6.1 Exemplar User-Driven Co-Evolution

The analysis presented in Chapter 4 led to the discovery of user-driven co-evolution, in which model migration is not executable and is instead performed by hand. Chapter 4 highlighted two challenges faced when user-driven co-evolution techniques are applied. Firstly, model storage representations have not been optimised for use by humans, and hence user-driven co-evolution can be error-prone and time consuming. Secondly, when a multi-pass parser is used to load models (as is the case with EMF), user-driven co-evolution is an iterative process, because not all conformance errors are reported at once. These challenges led to the derivation of the following research requirement: *This thesis must demonstrate a user-driven co-evolution process that enables the editing of non-conformant models without directly manipulating the underlying storage representation and provides a conformance report for the original model and evolved metamodel.*

Chapter 5 presented two tools that seek to fulfil the above research requirement. The first, metamodel-independent syntax, facilitates the conformance checking of a model against any metamodel. Conformance checking can be manual (invoked by the user) or automatic (via integration of the metamodel-independent syntax with a framework for monitoring workspace changes, as described in Section 5.1.4). The second tool, an implementation of the textual modelling notation HUTN, allows models to be managed in a format that is reputedly easier for humans to use than the canonical model storage format, XMI [OMG 2004].

This section demonstrates a user-driven co-evolution process which uses the conformance reporting and textual modelling notation described in this thesis. To this end, an example of co-evolution, based on changes observed in the process-oriented project described in Chapter 4, is used throughout the remainder of this section. The example is used to show the way in which user-driven co-evolution might be achieved with and without the conformance reporting and textual modelling notation described in Chapter 5.

### 6.1.1 Co-Evolution Example

The co-evolution example used throughout this section is based on changes observed in the process-oriented project, which was described in Chapter 4. The metamodel considered was developed in joint work with Adam Sampson, a research associate at the University of Kent. The work involved building a prototypical tool for editing graphical models of process-oriented programs. EuGENia [Kolovos *et al.* 2009] was used to automatically generate a graphical editor from the process-oriented metamodel. The metamodel was developed iteratively in the following manner:

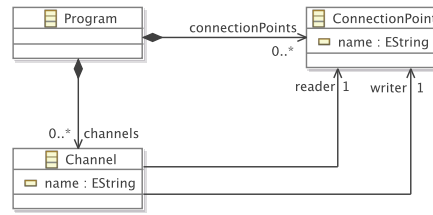
1. Draw by hand a desired graphical model for a simple process-oriented program.
2. Change the metamodel to capture any new or revised domain concepts.
3. Regenerate the graphical editor from the metamodel.
4. Use the editor to draw the desired graphical model.
5. Check that the current (and all previous) graphical models are satisfactory representations of their hand-drawn counterparts.

After step 5, work continued by returning to step 2 if the computerised graphical model was not a satisfactory representation of the hand-drawn model created in step 1. Otherwise, work continued by returning to step 1. The metamodel was completed after 6 iterations. Each iteration produced a new pair of hand-drawn and computerised graphical models. To prevent regressions, step 5 checked the current pair and all previous pairs of models.

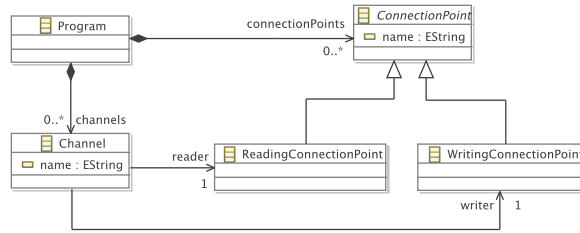
Here, one iteration of the process-oriented metamodel is considered, which led to the metamodel changes shown in Figure 6.1. In Figure 6.1(a), a `Program` is composed of `Channels` and `ConnectionPoints`. A `Channel` reads from and writes to exactly one `ConnectionPoint`. Further analysis of the domain revealed that `ConnectionPoints` may only be used for reading or for writing, and never both. To capture this constraint, `ConnectionPoint` was made abstract, and two subtypes, `ReadingConnectionPoint` and `WritingConnectionPoint`, were introduced. The reader and writer references of `Channel` then referred to the new subtypes, as shown in Figure 6.1(b).

In general, changes made during step 2 of the process described above could cause models previously created during step 5 to become non-conformant. For the process-oriented metamodel, a user-driven co-evolution process was preferred to a developer-driven co-evolution process because they were only a small number of models (at most 5) to be migrated.

When the process-oriented metamodel was developed, no tools were available for performing user-driven co-evolution. Migration was performed by editing the storage representation of the models, which was error-prone and time-consuming. This process is now described, and is then compared to a user-driven co-evolution process that uses the conformance checking tool and the textual modelling notation described in Chapter 5.



(a) Original metamodel.



(b) Evolved metamodel.

Figure 6.1: Process-oriented metamodel evolution.

### 6.1.2 Existing Process

The process-oriented metamodel was developed before the conformance reporting tool and textual modelling notation described in Chapter 5 were implemented. As such, model migration involved attempting to load existing, potentially non-conformant models with EMF, noting any conformance errors and changing the corresponding XMI to make the model conform to the evolved metamodel.

For the metamodel changes shown in Figure 6.1, conformance errors were reported for all of the existing models. Initially, two types of messages were received for non-conformant models. For every instance of `ConnectionPoint`, the following message was produced: “Class ‘`ConnectionPoint`’ is not found or is abstract.” For every instance of `Channel` that referenced a `ConnectionPoint`, the following message was produced: “Unresolved reference ‘<ID>’” where <ID> was the identifier of the referenced `ConnectionPoint`.

To fix both types of error, the XMI of each model was changed such that each instance of `ConnectionPoint` was replaced by an instance of `ReadingConnectionPoint` or `WritingConnectionPoint`. This involved adding an extra attribute, `xsi:type`, to each `ConnectionPoint`, a rather technical process, which is discussed further below.

In a small number of cases, the wrong subtype of `ConnectionPoint` was selected, probably because XMI identifies elements using randomly generated strings rather than a domain-specific naming scheme. In one model, two connection points named `a_reader` and `a_writer` had the very similar XMI IDs `_MeFREc8sEd69s-McmXQlqQ` and `_M7EvEC8sEd69s-McmXQlqQ`, respectively. Because of this, the two connection points were assigned the wrong types when the XMI was changed by hand.

Conformance errors are reported only when a model is loaded by EMF (and



hence, in this case, only when the graphical editor is used to open a model). In other words, when the XMI of a model is changed by hand, conformance is not checked when the model is saved to disk. When the wrong subtype of `ConnectionPoint` was selected, the following message was produced when the model was opened with the graphical editor: “Value ‘po.impl.ReadingConnectionPoint@7fde1684 (name: a\_writer)’ is not legal.” After changing `xsi:type` attributes to instantiate the correct subtypes of `ConnectionPoint`, all of the models could be opened without error by the graphical editor.

### 6.1.3 Proposed Process

A user-driven co-evolution process using the conformance reporting tool and the textual modelling notation, HUTN, presented in Chapter 5 is now described. The new process involves invoking the conformance reporting tool to determine which models have conformance problems, generating HUTN for each non-conformant model, and fixing the conformance problems in the HUTN.

For the metamodel changes shown in Figure 6.1, the conformance reporting tool reports three types of error message when invoked on non-conformant models<sup>1</sup>. For every instance of `ConnectionPoint`, the following message is produced: “Cannot instantiate the abstract class: `ConnectionPoint`.” For every instance of `Channel`, the following two error messages are produced: “Expected `ReadingConnectionPoint` for: reader” and “Expected `WritingConnectionPoint` for: writer.”

To fix the errors, a HUTN representation of each non-conformant model is generated by invoking the “Generate HUTN” context menu item. Figure 6.2 shows the HUTN generated for one of the non-conformant process-oriented models. Fixing the conformance problems involves changing the HUTN source by hand (and then regenerating the XMI using the “Generate Model” context menu item). For the model shown in Figure 6.2, fixing the conformance problems involved changing the type of `a_reader` to `ReadingConnectionPoint` and the type of `a_writer` to `WritingConnectionPoint`. Whenever the user saves the HUTN document, both syntax and conformance are checked by the background incremental compiler. Any problems are reported while the model is migrated.

### 6.1.4 Summary

This section has demonstrated existing and new user-driven co-evolution processes using an example of metamodel changes. Comparison of the two highlights several benefits of the new process, which used tools described in Chapter 5.

Firstly, the conformance reporting tool presented in Section 5.1 can report more types of conformance problem at once than the model loading mechanism of EMF because the former uses a multi-pass parser, while the latter uses a single-pass parser. For the metamodel changes shown in Figure 6.1(b), both the conformance reporting tool and the EMF loading mechanism reported that the evolved metamodel did not permit instantiation of the abstract class `ConnectionPoint`. Another type of conformance problem – the type of `Chan-`

<sup>1</sup>As described in Section 5.1.4, the conformance reporting tool can be invoked manually, via a context menu, or automatically as a result of integration with Concordance.

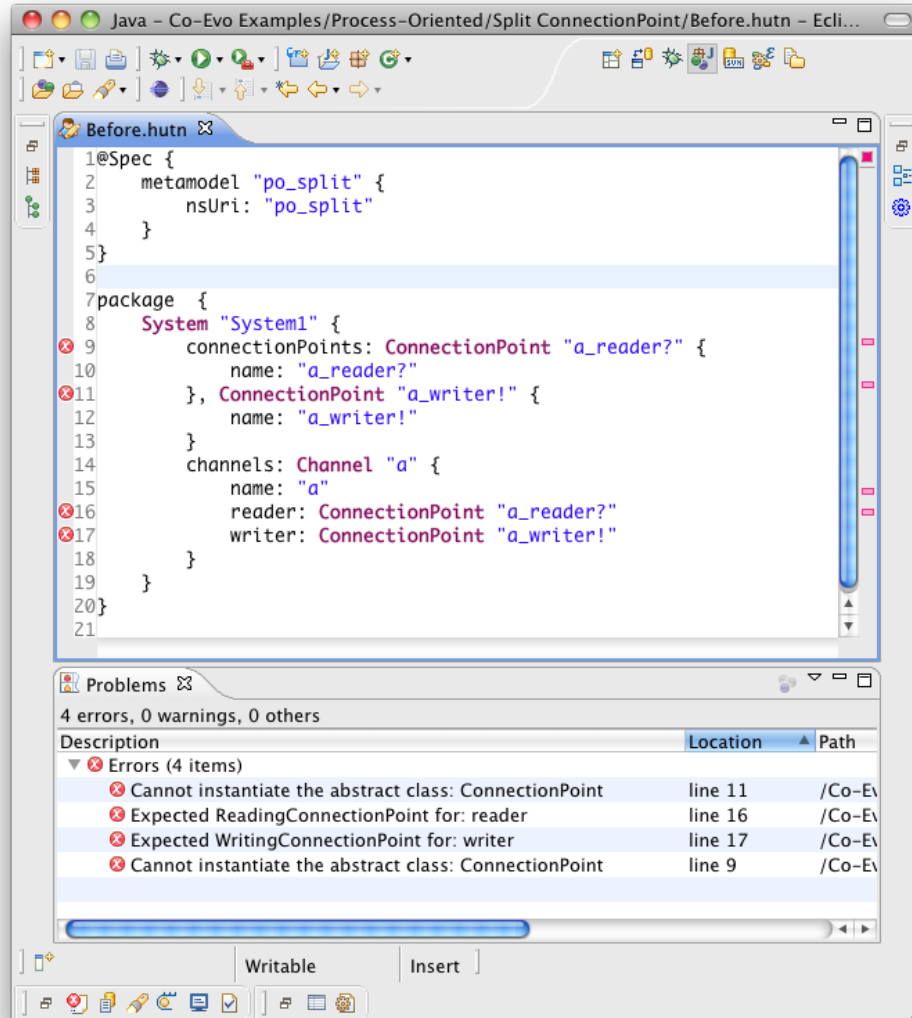


Figure 6.2: HUTN for a non-conformant process-oriented model.

`nel#reader (Channel#writer)` must be a `ReadingConnectionPoint (WritingConnectionPoint)` – was reported by the conformance reporting tool when it was first invoked and reported by the loading mechanism of EMF only when it was invoked after the first category of conformance problem was fixed.

Secondly, the implementation of HUTN described in Section 5.2 uses a background incremental compiler that checks both the syntax and conformance of the HUTN source code. On the other hand, EMF checks conformance only when a model is loaded (by the graphical model editor, in this case). Saving XMI to disk does not cause EMF to check its conformance.

Thirdly, migration involved changing the types of some model elements. In XMI, when the type of a model element can be inferred from the context in which it is instantiated, type information is omitted. This reduces the size of the model on disk, but can be problematic for model migration. For example, in the original process-oriented metamodel (Figure 6.1(a)) any model element contained in the `Program#connectionPoints` reference must be an instance of `ConnectionPoint`, and type information can be omitted from the XMI. When the process-oriented metamodel evolved to allow `ReadingConnectionPoint` and `WritingConnectionPoints` to be contained in the `Program#connectionPoints`, type information must be added. To add type information to XMI, the person performing migration must know the correct syntax, for example: `xsi:type="po.ReadingConnectionPoint"`. By contrast, the type of every model element is declared explicitly in HUTN. As such, every HUTN document contains examples of how type information should be specified. Hence, changing the type of a model element in HUTN is arguably more straightforward than in XMI.

Finally, migration involved understanding which `Channels` referenced which `ConnectionPoints`. By default, EMF uses universally unique identifiers (UUIDs) such as `_M7EvEC8sEd69s-McmXQlqQ` – or URI fragments (document-specific relative paths) such as

`@connectionPoints.0` – to identify model elements. By contrast, the implementation of HUTN described in Chapter 5, uses the value of a model element's name feature (where one is defined) to identify model elements. For example, in 6.2 the `Channel` on line 14 refers to `ConnectionPoints` by name (lines 16 and 17). Hence, referencing and dereferencing model elements in HUTN is arguably more straightforward than in XMI.

Further research is required to more rigorously assess the differences between the two user-driven co-evolution processes discussed in this section. In particular, the textual modelling notation used in the proposed process, HUTN, purports to be human-usable [OMG 2004], but no usability studies have compared HUTN with other model representations, such as XMI. The implementation of tools for performing user-driven co-evolution, described in Chapter 5, enable further comparisons, but a thorough investigation of their usability is beyond the scope of this thesis.

This section has used two of the tools described in Chapter 5 to demonstrate a user-driven co-evolution process that provides a conformance report and allows the editing of non-conformant models in a textual modelling notation. The benefits of the proposed process have been highlighted by comparison to an existing user-driven co-evolution process using a co-evolution example, taken from a project that used user-driven co-evolution.

## 6.2 Migration Tool Comparison

As discussed in Chapter 3, several tools for building migration strategy are described in the literature. Chapter 5 proposes a further migration tool, Epsilon Flock. While each migration tool has strengths and weaknesses, little is known about how migration tools compare in practice, which makes tool selection more challenging.

Chapter 4 contributes a categorisation based on theoretical aspects of existing model migration approaches. This section describes a comparison of four model migration tools, selected from those described in Chapter 4. Following the process outlined in Section 6.2.1, the tools were applied to two co-evolution examples to facilitate their comparison. To improve the validity of the comparison, the developers of each tool were invited to participate. The remainder of this section reports our experiences with each tool (Section 6.2.2), and synthesises advice and guidelines for identifying the most appropriate model migration tool in different situations (Section 6.2.3).

This section is based on joint work with Markus Herrmannsdörfer (a research student at Technische Universität München), James Williams (a research student in this department), Dimitrios Kolovos (a lecturer in this department) and Kelly Garcés (a research student at EMN-INRIA / LINA-INRIA in Nantes), and was published in [Rose *et al.* 2010a]. Kelly Garcés provided assistance with installing and configuration one of the migration tools, and commented on a draft of the paper. The remainder of this section is based on [Rose *et al.* 2010a], narrating that paper to make clear the contributions of Markus, James and Dimitrios.

### 6.2.1 Comparison Method

The comparison described in this section is based on practical application of the tools to the co-evolution examples described below. This section also discusses the tool selection and comparison processes. Markus and I identified the co-evolution examples, and formulated the comparison process.

#### Co-Evolution Examples

To compare migration tools, two examples of co-evolution were used. The first is a well-known problem in the model migration literature and was used to test the installation and configuration of the migration tools, as discussed in Section 6.2.1. The second is a larger example taken from a real-world model-driven development project, and was identified as a potentially useful example for co-evolution case studies in Chapter 4 and in [Herrmannsdörfer *et al.* 2009a].

**Petri Nets.** The first example is an evolution of a Petri net metamodel, previously used to describe the implementation of Epsilon Flock (Section 5.3), and in [Cicchetti *et al.* 2008, Garcés *et al.* 2009, Rose *et al.* 2010d, Wachsmuth 2007] to discuss co-evolution and model migration.

In Figure 6.3(a), a Petri Net comprises `Places` and `Transitions`. A `Place` has any number of `src` or `dst` `Transitions`. Similarly, a `Transition` has at least one `src` and `dst` `Place`. In this example, the metamodel in Fig-

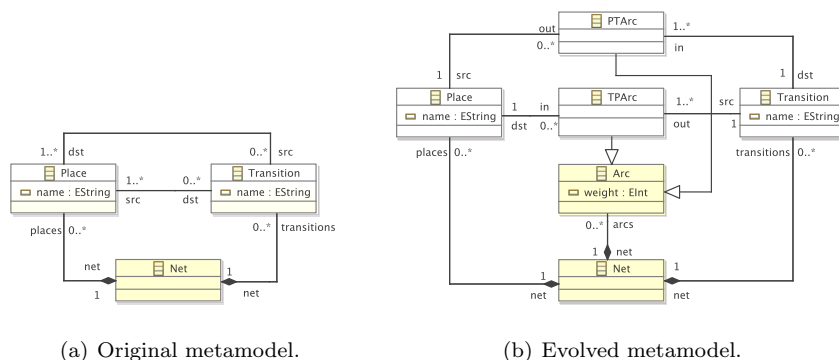


Figure 6.3: Petri nets metamodel evolution (taken from [Rose *et al.* 2010d]). Shading is irrelevant.

Figure 6.3(a) is to be evolved to support weighted connections between Places and Transitions and between Transitions and Places.

The evolved metamodel is shown in Figure 6.3(b). Places are connected to Transitions via instances of PTArc. Likewise, Transitions are connected to Places via TPArc. Both PTArc and TPArc inherit from Arc, and therefore can be used to specify a weight.

**GMF.** The second example is taken from the Graphical Modeling Framework (GMF) [Gronback 2009], an Eclipse project for generating graphical editors for models. The development of GMF is model-driven and utilises four domain-specific metamodels. Here, we consider one of those metamodels, GMF Graph, and its evolution between GMF versions 1.0 and 2.0.

The GMF Graph metamodel (not illustrated) describes the appearance of the generated graphical model editor. The metaclasses Canvas, Figure, Node, DiagramLabel, Connection, and Compartment are used to represent components of the graphical model editor to be generated. The evolution in the GMF Graph metamodel was driven by analysing the usage of the Figure#referencingElements reference, which relates Figures to the DiagramElements that use them. As described in the GMF Graph documentation<sup>2</sup>, the referencingElements reference increased the effort required to re-use figures, a common activity for users of GMF. Furthermore, referencingElements was used only by the GMF code generator to determine whether an accessor should be generated for nested Figures.

In GMF 2.0, the Graph metamodel was evolved to make re-using figures more straightforward by introducing a proxy [Gamma *et al.* 1995] for Figure, termed FigureDescriptor. The original referencingElements reference was removed, and an extra metaclass, ChildAccess, was added to make more explicit the original purpose of referencingElements (accessing nested Figures).

GMF provides a migrating algorithm that produces a model conforming to the evolved Graph metamodel from a model conforming to the original Graph metamodel. In GMF, migration is implemented using Java. The GMF source

<sup>2</sup>[http://wiki.eclipse.org/GMFGraph\\_Hints](http://wiki.eclipse.org/GMFGraph_Hints)

code includes two example editors, for which the source code management system contains versions conforming to GMF 1.0 and GMF 2.0. For the comparison of migration tools described in this paper, the migrating algorithm and example editors provided by GMF were used to determine the correctness of the migration strategies produced by using each model migration tool.

### Compared Tools

The comparison described in this section included one tool from each of the three categories identified in Chapter 4 – *manual specification*, *operator-based* and *metamodel matching* approaches. The tools selected were Epsilon Flock, COPE [Herrmannsdoerfer *et al.* 2009b] and the AtlanMod Matching Language (AML) [Garcés *et al.* 2009], respectively. A further tool from the manual specification category, Ecore2Ecore, was included because it is distributed with the Eclipse Modeling Framework (EMF), arguably the most widely used modelling framework. AML, COPE and Ecore2Ecore were discussed in Chapter 4, and Epsilon Flock in Chapter 5.

### Comparison Process

The comparison of migration tools was conducted by applying each of the four tools (Ecore2Ecore, AML, COPE and Flock) to the two examples of co-evolution (Petri nets and GMF). The developers of each tool were invited to participate in the comparison. The authors of COPE and Flock were able to participate fully, while the authors of Ecore2Ecore and AML were available for guidance, advice, and to comment on preliminary results.

Each tool developer was assigned a migration tool to apply to the two co-evolution examples. Because the authors of Ecore2Ecore and AML were not able to participate fully in the comparison, two colleagues experienced in model transformation and migration, James Williams and Dimitrios Kolovos, stood in. To improve the validity of the comparison, each tool was used by someone other than its developer. Other than this restriction, the tools were allocated arbitrarily.

The comparison was conducted in three phases. In the first phase, criteria against which the tools would be compared were identified by discussion between the tool developers. In the second phase, the first example of co-evolution (Petri nets) was used for familiarisation with the migration tools and to assess the suitability of the comparison criteria. In the third phase, the tools were applied to the larger example of co-evolution (GMF) and results were drawn from the experiences of the tool developers. Table 6.1 summarises the comparison criteria used, which provide a foundation for future comparisons. The next section presents, for each criterion, observations from applying the migration tools to the co-evolution examples.

## 6.2.2 Comparison Results

This section reports the similarities and differences of each tool, using the nine criteria described above. The migration strategies formulated with each tool are available online<sup>3</sup>.

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<sup>3</sup>[http://github.com/louismrose/migration\\_comparison](http://github.com/louismrose/migration_comparison)

Table 6.1: Summary of comparison criteria.

Name	Description
Construction	Ways in which tool supports the development of migration strategies
Change	Ways in which tool supports change to migration strategies
Extensibility	Extent to which user-defined extensions are supported
Re-use	Mechanisms for re-using migration patterns and logic
Conciseness	Size of migration strategies produced with tool
Clarity	Understandability of migration strategies produced with tool
Expressiveness	Extent to which migration problems can be codified with tool
Interoperability	Technical dependencies and procedural assumptions of tool
Performance	Time taken to execute migration

Each subsection below considers one criterion. This section reports the experiences of the developer to which each tool was allocated. As such, this section contains the work of others. Specifically, Markus Herrmannsdörfer wrote about Epsilon Flock, James Williams wrote about COPE and Dimitrios Kolovos wrote about Ecore2Ecore. (I wrote about AML, and the introductions to each criterion).

### Constructing the migration strategy

Facilitating the specification and execution of migration strategies is the primary function of model migration tools. This section reports the process for and challenges faced in constructing migration strategies with each tool.

**AML.** An AML user specifies a combination of match heuristics from which AML infers a migrating transformation by comparing original and evolved meta-models. Matching strategies are written in a textual syntax, which AML compiles to produce an executable workflow. The workflow is invoked to generate the migrating transformation, codified in the Atlas Transformation Language (ATL) [Jouault & Kurtev 2005]. Devising correct matching strategies was difficult, as AML lacks documentation that describes the input, output and effects of each heuristic. Papers describing AML (such as [Garcés *et al.* 2009]) discuss each heuristic, but mostly in a high-level manner. A semantically invalid combination of heuristics can cause a runtime error, while an incorrect combination results in the generation of an incorrect migration transformation. However, once a matching strategy is specified, it can be re-used for similar cases of metamodel evolution. To devise the matching strategies used in this paper, AML’s author provided considerable guidance.

**COPE.** A COPE user applies *coupled operations* to the original metamodel to form the evolved metamodel. Each coupled operation specifies a metamodel evolution along with a corresponding fragment of the model migration strategy. A history of applied operations is later used to generate a complete migration strategy. As COPE is meant for co-evolution of models and metamodels, reverse engineering a large metamodel can be difficult. Determining which sequence of operations will produce a correct migration is not always straightforward. To aid the user, COPE allows operations to be undone. To help with the migration

process, COPE offers the *Convergence View* which utilises EMF Compare to display the differences between two metamodels. While this was useful, it can, understandably, only provide a list of explicit differences and not the semantics of a metamodel change. Consequently, reverse-engineering a large and unfamiliar metamodel is challenging, and migration for the GMF Graph example could only be completed with considerable guidance from the author of COPE.

**Ecore2Ecore.** In Ecore2Ecore model migration is specified in two steps. In the first step, a graphical mapping editor is used to construct a model that declares basic migrations. In this step only very simple migrations such as class and feature renaming can be declared. In the next step, the developer needs to use Java to specify a customised parser (resource handler, in EMF terminology) that can parse models that conform to the original metamodel and migrate them so that they conform to the new metamodel. This customised parser exploits the basic migration information specified in the first step and delegates any changes that it cannot recognise to a particular Java method in the parser for the developer to handle. Handling such changes is tedious as the developer is only provided with the string contents of the unrecognised features and then needs to use low-level techniques – such as data-type checking and conversion, string splitting and concatenation – to address them. Here it is worth mentioning that Ecore2Ecore cannot handle all migration scenarios and is limited to cases where only a certain degree of structural change has been introduced between the original and the evolved metamodel. For cases which Ecore2Ecore cannot handle, developers need to specify a custom parser without any support for automated element copying.

**Flock.** In Flock, model migration is specified manually. Flock automatically copies only those model elements which still conform to the evolved metamodel. Hence, the user specifies migration only for model elements which no longer conform to the evolved metamodel. Due to the automatic copying algorithm, an empty Flock migration strategy always yields a model conforming to the evolved metamodel. Consequently, a user typically starts with an empty migration strategy and iteratively refines it to migrate non-conforming elements. However, there is no support to ensure that all non-conforming elements are migrated. In the GMF Graph example, completeness could only be ensured by testing with numerous models. Using this method, a migration strategy can be easily encoded for the Petri net example. For the GMF Graph example whose metamodels are larger, it was more difficult, since there is no tool support for analysing the changes between original and evolved metamodel.

### Changing the migration strategy

Migration strategies can change in at least two ways. Firstly, as a migration strategy is developed, testing might reveal errors which need to be corrected. Secondly, further metamodel changes might require changes to an existing migration strategy.

**AML.** Because AML automatically generates migrating transformations, changing the transformation, for example after discovering an error in the matching



strategy, is trivial. To migrate models over several versions of a metamodel at once, the migrating transformations generated by AML can be composed by the user. AML provides no tool support for composing transformations.

**COPE.** As mentioned previously, COPE provides an undo feature, meaning that any incorrect migrations can be easily fixed. COPE stores a history of *releases* – a set of operations that has been applied between versions of the metamodel. Because the migration code generated from the release history can migrate models conforming to any previous metamodel release, COPE provides a comprehensive means for chaining migration strategies.

**Ecore2Ecore.** Migrations specified using Ecore2Ecore can be modified via the graphical mapping editor and the Java code in the custom model parser. Therefore, developers can use the features of the Eclipse Java IDE to modify and debug migrations. Ecore2Ecore provides no tool support for composing migrations, but composition can be achieved by modifying the resource handler.

**Flock.** There is comprehensive support for fixing errors. A migration strategy can easily be re-executed using a launch configuration, and migration errors are linked to the line in the migration strategy that caused the error to occur. If the metamodel is further evolved, the original migration strategy has to be extended, since there is no explicit support to chain migration strategies. The full migration strategy may need to be read to know where to extend it.

### Extensibility

The fundamental constructs used for specifying migration in COPE and AML (operators and match heuristics, respectively) are extensible. Flock and Ecore2Ecore use a more imperative (rather than declarative) approach, and as such do not provide extensible constructs.

**AML.** An AML user can specify additional matching heuristics. This requires understanding of AML's domain-specific language for manipulating the data structures from which migrating transformations are generated.

**COPE** provides the user with a large number of operations. If there is no applicable operation, a COPE user can write their own operations using an in-place transformation language embedded into Groovy<sup>4</sup>.

### Re-use

Each migration tool capture patterns that commonly occur in model migration. This section considers the extent to which the patterns captured by each tool facilitate re-use between migration strategies.

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<sup>4</sup><http://groovy.codehaus.org/>

**AML.** Once a matching strategy is specified, it can potentially be re-used for further cases of metamodel evolution. Match heuristics provide a re-usable and extensible mechanism for capturing metamodel change and model migration patterns.

**COPE.** An operation in COPE represents a commonly occurring pattern in metamodel migration. Each operation captures the metamodel evolution and model migration steps. Custom operations can be written and re-used.

**Ecore2Ecore.** Mapping models cannot be reused or extended in Ecore2Ecore but as the custom model parser is specified in Java, developers can decompose it into reusable parts some of which can potentially be reused in other migrations.

**Flock.** A migration strategy encoded in Flock is modularised according to the classes whose instances need migration. There is support to reuse code within a strategy by means of operations with parameters and across strategies by means of imports. Re-use in Flock captures only migration patterns, and not the higher level co-evolution patterns captured in COPE or AML.

### Conciseness

A concise migration strategy is arguably more readable and requires less effort to write than a verbose migration strategy. This section comments on the conciseness of migration strategies produced with each tool, and reports the lines of code (without comments and blank lines) used.

**AML.** 117 lines were automatically generated for the Petri nets example. 563 lines were automatically generated for the GMF Graph example, and a further 63 lines of code were added by hand to complete the transformation. Approximately 10 lines of the user-defined code could be removed by restructuring the generated transformation.

**COPE** requires the user to apply operations. Each operation application generates one line of code. The user may also write additional migration code. For the Petri net example, 11 operations were required to create the migrator and no additional code. The author of COPE migrated the GMF Graph example using 76 operations and 73 lines of additional code.

**Ecore2Ecore.** As discussed above, handling changes that cannot be declared in the mapping model is a tedious task and involves a significant amount of low level code. For the PetriNets example, the Ecore2Ecore solution involved a mapping model containing 57 lines of (automatically generated) XMI and a custom hand-written resource handler containing 78 lines of Java code.

**Flock.** 16 lines of code were necessary to encode the Petri nets example, and 140 lines of code were necessary to encode the GMF Graph example. In the GMF Graph example, approximately 60 lines of code implement missing built-in support for rule inheritance, even after duplication was removed by extracting and re-using a subroutine.

### Clarity

Because migration strategies can change and might serve as documentation for the history of a metamodel, their clarity is important. This section reports on aspects of each tool that might affect the clarity of migration strategies.

**AML.** The AML code generator takes a conservative approach to naming variables, to minimise the chances of duplicate variable names. Hence, some of the generated code can be difficult to read and hard to re-use if the generated transformation has to be completed by hand. When a complete transformation can be generated by AML, clarity is not as important.

**COPE.** Migration strategies in COPE are defined as a sequence of operations. The release history stores the set of operations that have been applied, so the user is clearly able to see the changes they have made, and find where any issues may have been introduced.

**Ecore2Ecore.** The graphical mapping editor provided by Ecore2Ecore allows developers to have a high-level visual overview of the simple mappings involved in the migration. However, migrations expressed in the Java part of the solution can be far more obscure and difficult to understand as they mix high-level intention with low-level string management operations.

**Flock** clearly states the migration strategy from the source to the target metamodel. However, the boilerplate code necessary to implement rule inheritance slightly obfuscates the real migration code.

### Expressiveness

Migration strategies are easier to infer for some categories of metamodel change than others [Gruschko *et al.* 2007]. This section reports on the ability of each tool to migrate the examples considered in this comparison.

**AML.** A complete migrating transformation could be generated for the Petri nets example, but not for the GMF Graph example. The latter contains examples of two complex changes that AML does not currently support<sup>5</sup>. Successfully expressing the GMF Graph example in AML would require changes to at least one of AML's heuristics. However, AML provided an initial migration transformation that was completed by hand.

In general, AML cannot be used to generate complete migration strategies for co-evolution examples that contain *breaking and non-resolvable changes*, according to the categorisation proposed in [Gruschko *et al.* 2007].

**COPE.** The expressiveness of COPE is defined by the set of operations available. The Petri net example was migrated using only built-in operations. The GMF Graph example was migrated using 76 built-in operations and 2 user-defined migration actions. Custom migration actions allow users to specify any migration strategy.

---

<sup>5</sup>[http://www.eclipse.org/forums/index.php?t=rvview&goto=526894#msg\\_526894If](http://www.eclipse.org/forums/index.php?t=rvview&goto=526894#msg_526894If)

**Ecore2Ecore.** A complete migration strategy could be generated for the Petri nets example, but not for the GMF Graph example. The developers of Ecore2Ecore have advised that the latter involves significant structural changes between the two versions and recommended implementing a custom model parser from scratch.

**Flock.** Since Flock extends EOL, it is expressive enough to encode both examples. However, Flock does not provide an explicit construct to copy model elements and thus it was necessary to call Java code from within Flock for the GMF Graph example.

### Interoperability

Migration occurs in a variety of settings with differing requirements. This section considers the technical dependencies and procedural assumptions of each tool, and seeks to answer questions such as: “Which modelling technologies can be used?” and “What assumptions does the tool make on the migration process?”

**AML** depends only on ATL, while its development tools also require Eclipse. AML assumes that the original and target metamodels are available for comparison, and does not require a record of metamodel changes. AML can be used with either Ecore (EMF) or KM3 metamodels.

**COPE** depends on EMF and Groovy, while its development tools also require Eclipse and EMF Compare. COPE does not require both the original and target metamodels to be available. When COPE is used to create a migration strategy after metamodel evolution has already occurred, the metamodel changes must be reverse-engineered. To facilitate this, the target metamodel can be used with the Convergence View, as discussed in Section 6.2.2. COPE targets EMF, and does not support other modelling technologies.

**Ecore2Ecore** depends only on EMF. Both the original and the evolved versions of the metamodel are required to specify the mapping model with the Ecore2Ecore development tools. Alternatively, the Ecore2Ecore mapping model can be constructed programmatically and without using the original metamodel<sup>6</sup>. Unlike the other tools considered, Ecore2Ecore does not require the original metamodel to be available in the workspace of the metamodel user.

**Flock** depends on Epsilon and its development tools also require Eclipse. Flock assumes that the original and target metamodels are available for encoding the migration strategy, and does not require a record of metamodel changes. Flock can be used to migrate models represented in EMF, MDR, XML and Z (CZT), although we only encoded a migration strategy for EMF metamodels in the presented examples.

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<sup>6</sup>Private communication with Marcelo Paternostro, an Ecore2Ecore developers.

### Performance

The time taken to execute model migration is important, particularly once a migration strategy has been distributed to metamodel users. Ideally, migration tools will produce migration strategies whose execution time is quick and scales well with large models.

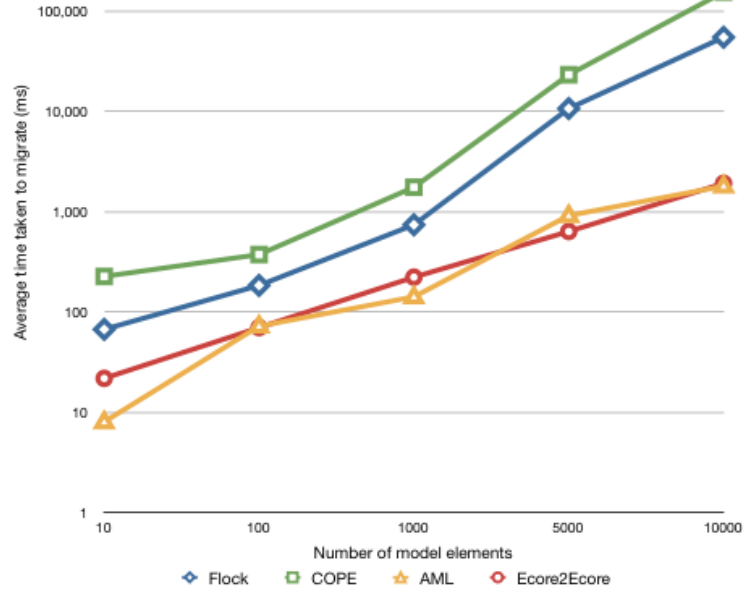


Figure 6.4: Migration tool performance comparison.

To measure performance, five sets of Petri net models were generated at random. Models in each set contained 10, 100, 1000, 5,000, and 10,000 model elements. Figure 6.4 shows the average time taken by each tool to execute migration across 10 repetitions for models of different sizes. Note that the Y axis has a logarithmic scale. The results indicate that, for the Petri nets co-evolution example, AML and Ecore2Ecore execute migration significantly more quickly than COPE and Flock, particularly when the model to be migrated contains more than 1,000 model elements. Figure 6.4 indicates that, for the Petri nets co-evolution example, Flock executes migration between two and three times faster than COPE, although the author of COPE reports that turning off validation causes COPE to perform similarly to Flock.

### 6.2.3 Discussion

The comparison described above highlights similarities and differences between a representative sample of model migration approaches. From this comparison, guidance for selecting between tools was synthesised. The guidance is presented below, and was produced by all four participants in the comparison (Markus, James, Dimitrios and myself).

COPE captures co-evolution patterns (which apply to both model and meta-model), while Ecore2Ecore, AML and Flock capture only model migration pat-

terns (which apply just to models). Because of this, COPE facilitates a greater degree of re-use in model migration than other approaches. However, the order in which the user applies patterns with COPE impacts on both metamodel evolution and model migration, which can complicate pattern selection particularly when a large amount of evolution occurs at once. The re-usable co-evolution patterns in COPE make it well suited to migration problems in which metamodel evolution is frequent and in small steps.

Flock, AML and Ecore2Ecore are preferable to COPE when metamodel evolution has occurred before the selection of a migration approach. Because of its use of co-evolution patterns, we conclude that COPE is better suited to forward- rather than reverse-engineering.

Through its Convergence View and integration with the EMF metamodel editor, COPE facilitates metamodel analysis that is not possible with the other approaches considered in this paper. COPE is well-suited to situations in which measuring and reasoning about co-evolution is important.

In situations where migration involves modelling technologies other than EMF, AML and Flock are preferable to COPE and Ecore2Ecore. AML can be used with models represented in KM3, while Flock can be used with models represented in MDR, XML and CZT. Via the connectivity layer of Epsilon, Flock can be extended to support further modelling technologies.

There are situations in which Ecore2Ecore or AML might be preferable to Flock and COPE. For large models, Ecore2Ecore and AML might execute migration significantly more quickly than Flock and COPE. Ecore2Ecore is the only tool that has no technical dependencies (other than a modelling framework). In situations where migration must be embedded in another tool, Ecore2Ecore offers a smaller footprint than other migration approaches. Compared to the other approaches considered in this paper, AML automatically generates migration strategies with the least guidance from the user.

Despite these advantages, Ecore2Ecore and AML are unsuitable for some types of migration problem, because they are less expressive than Flock and COPE. Specifically, changes to the containment of model elements typically cannot be expressed with Ecore2Ecore and changes that are classified by [Herrmannsdorfer *et al.* 2008] as *metamodel-specific* cannot be expressed with AML. Because of this, it is important to investigate metamodel changes before selecting a migration tool. Furthermore, it might be necessary to anticipate which types of metamodel change are likely to arise before selecting a migration tool. Investing in one tool to discover later that it is no longer suitable causes wasted effort.

Table 6.2: Summary of tool selection advice. (Tools are ordered alphabetically).

Requirement	Recommended Tools
Frequent, incremental co-evolution	COPE
Reverse-engineering	AML, Ecore2Ecore, Flock
Modelling technology diversity	Flock
Quicker migration for larger models	AML, Ecore2Ecore
Minimal dependencies	Ecore2Ecore
Minimal hand-written code	AML, COPE
Minimal guidance from user	AML
Support for metamodel-specific migrations	COPE, Flock

### 6.2.4 Summary

The work presented in this section compared a representative sample of approaches to automating model migration. The comparison was performed by following a methodical process and using an example from a real-world MDE project. Some preliminary recommendations and guidelines in choosing a migration tool were synthesised from the presented results and are summarised in Table 6.2. The comparison was carried out by the developers of the migration tools (or stand-ins where the developers were unable to participate fully). Each developer used a tool other than their own so that the comparison could more closely emulate the level of expertise of a typical user.

Some criteria were excluded from the comparison because of the method employed. For instance, the learnability of a tool affects the productivity of users, and, as such, affects tool selection. However, drawing conclusions about learnability (and also productivity and usability) is challenging with the comparison method employed because of the subjective nature of these characteristics. A comprehensive user study (with 100s of users) would be more suitable for assessing these types of criteria.

## 6.3 Transformation Tools Contest

The Transformation Tools Contest (TTC) is a workshop series that seeks to compare and contrast tools for performing model and graph transformation. At TTC 2010, two rounds of submissions were invited: cases (transformation problems, three of which are selected by the workshop organisers) and solutions to the selected cases. In addition, TTC 2010 include a *live contest*: during the workshop a further transformation problem was announced and solutions submitted.

Participation in TTC 2010 facilitated further evaluation of Flock. Flock and 8 other transformation tools were assessed for a model migration problem based on a real-world example of metamodel evolution from the UML [OMG 2007b]. As part of the live contest, Flock was also assessed along with 13 transformation tools for a model transformation problem. Compared to the evaluation described in Section 6.2, the evaluation in this section compares Flock to a wider range of tools (model and graph transformation tools, and not just model migration tools), and investigates the suitability of Flock for specifying model transformation.

The remainder of this section describes the model migration problem (Section 6.3.1) and Flock solution (Section 6.3.2), and the use of Flock for specifying a model transformation in the live contest (Section 6.3.3).

### 6.3.1 Model Migration Case

To compare Flock with other transformation tools for specifying model migration, the thesis author submitted a case to TTC based on the evolution of the UML. The way in which activity diagrams are modelled in the UML changed significantly between versions 1.4 and 2.1 of the specification. In the former, activities were defined as a special case of state machines, while in the latter

they are defined atop a more general semantic base<sup>7</sup> [Selic 2005].

The remainder of this section briefly introduces UML activity diagrams, describes their evolution, and discusses the way in which solutions were assessed. The work presented in this section is based on the case submitted to TTC 2010 [Rose *et al.* 2010c].

### Activity Diagrams in UML

Activity diagrams are used for modelling lower-level behaviours, emphasising sequencing and co-ordination conditions. They are used to model business processes and logic [OMG 2007b]. Figure 6.5 shows an activity diagram for filling orders. The diagram is partitioned into three *swimlanes*, representing different organisational units. *Activities* are represented with rounded rectangles and *transitions* with directed arrows. *Fork* and *join* nodes are specified using a solid black rectangle. *Decision* nodes are represented with a diamond. Guards on transitions are specified using square brackets. For example, in Figure 6.5 the transition to the restock activity is guarded by the condition [not in stock]. Text on transitions that is not enclosed in square brackets represents a trigger event. In Figure 6.5, the transition from the restock activity occurs on receipt of the asynchronous signal called `receive stock`. Finally, the transitions between activities might involve interaction with objects. In Figure 6.5, the Fill Order activity leads to an interaction with an object called `Filled Object`.

Between versions 1.4 and 2.2 of the UML specification, the metamodel for activity diagrams has changed significantly. The sequel summarises most of the changes, and details can be found in [OMG 2001] and [OMG 2007b].

### Evolution of Activity Diagrams

Figures 6.6 and 6.7 are simplifications of the activity diagram metamodels from versions 1.4 and 2.2 of the UML specification, respectively. In the interest of clarity, some features and abstract classes have been removed from Figures 6.6 and 6.7.

Some differences between Figures 6.6 and 6.7 are: activities have been changed such that they comprise nodes and edges, actions replace states in UML 2.2, and the subtypes of control node replace pseudostates.

To facilitate the comparison of solutions, the exemplar model shown in Figure 6.5 was used. Figure 6.5 is based on [OMG 2001, pg3-165]. Solutions migrated the activity diagram shown in Figure 6.5 – which conforms to UML 1.4 – to conform to UML 2.2. The UML 1.4 model, the migrated UML 2.2 model, and the UML 1.4 and 2.2 metamodels are available from<sup>8</sup>.

Submissions were evaluated using the following four criteria, which were decided by the thesis author and the workshop organisers:

- **Correctness:** Does the transformation produce a model equivalent to the migrated UML 2.2. model included in the case resources?

<sup>7</sup>A variant of generalised coloured Petri nets.

<sup>8</sup><http://www.cs.york.ac.uk/~louis/ttc/>



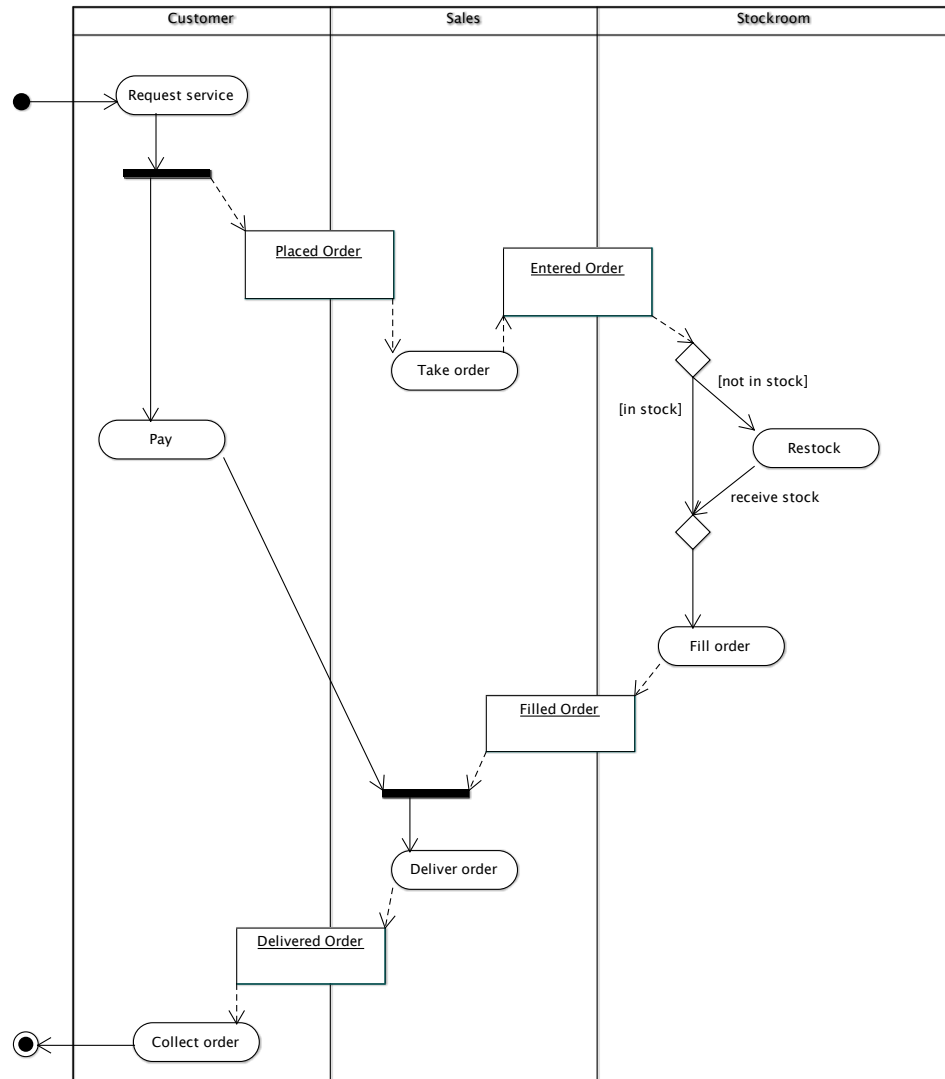
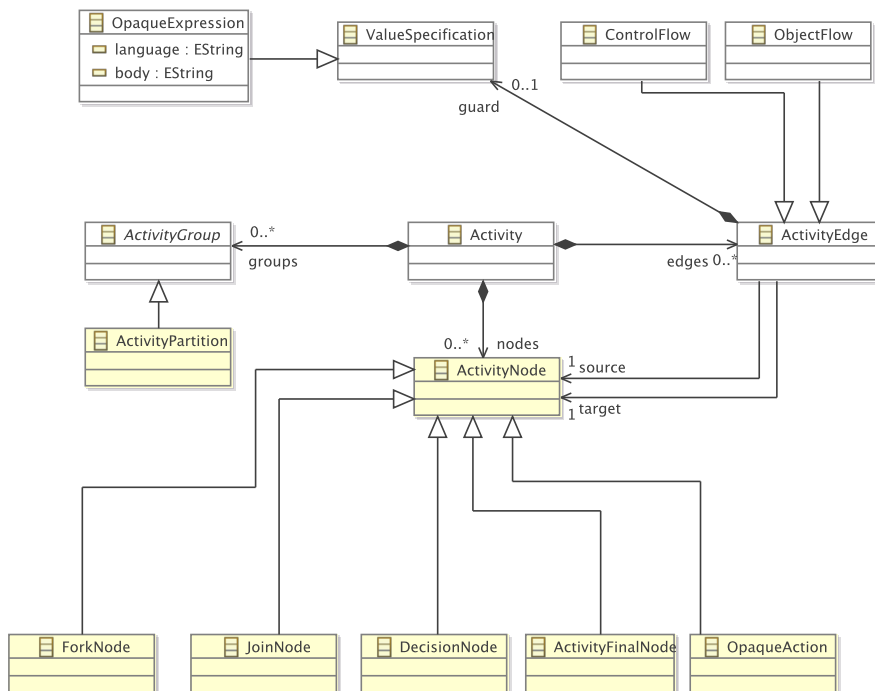
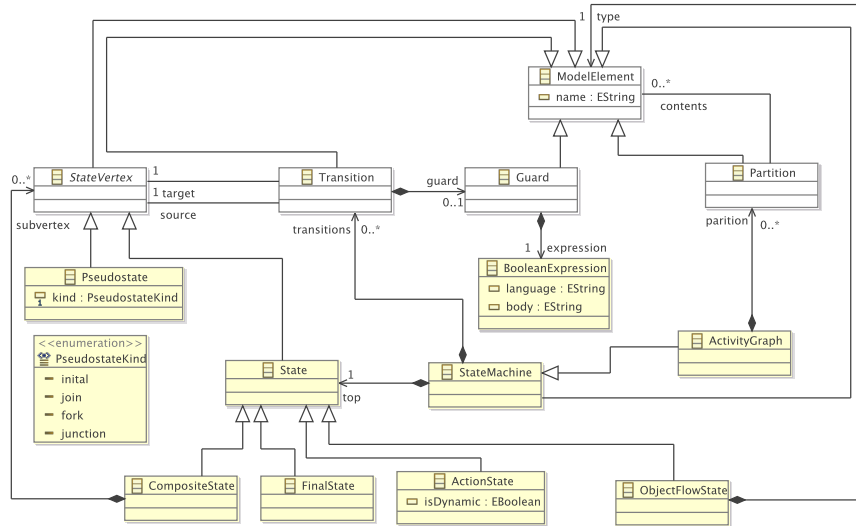


Figure 6.5: Activity model to be migrated.



- **Conciseness:** How much code is required to specify the transformation? (In [Sprinkle & Karsai 2004] et al. propose that the amount of effort required to codify migration should be directly proportional to the number of changes between original and evolved metamodel).
- **Clarity:** How easy is it to read and understand the transformation? (For example, is a well-known or standardised language?)
- **Extensions:** Which of the case extensions (described below) were implemented in the solution?

To further distinguish between solutions, three extensions to the core task were proposed. The first extension was added after the case was submitted, and was proposed by the workshop organisers and the solution authors. The second and third extension were included in the case by the thesis author.

### Extension 1: Alternative Object Flow State Migration Semantics

Following the submission of the case, discussion on the TTC forums<sup>9</sup> revealed an ambiguity in the UML 2.2 specification indicating that the migration semantics for the ObjectFlowState UML 1.4 concept are not clear from the UML 2.2 specification.

In the core task described above, instances of ObjectFlowState were migrated to instances of ObjectNode. Any instances of Transition that had an ObjectFlowState as their source or target were migrated to instances of ObjectFlow. Listing 6.1 shows an example application of this migration semantics. The top line of Listing 6.1 shows instances of UML 1.4 metaclasses, include an instance of ObjectFlowState. The bottom line of Listing 6.1 shows the equivalent UML 2.2 instances according to this migration semantics. Note that the Transitions, t1 and t2, are migrated to an instance of ObjectFlow. Likewise, the instance of ObjectFlowState, s2, is migrated to an instance of ObjectFlow.

```

1  s1:State <- t1:Transition -> s2:ObjectFlowState <- t2:Transition -> s3:State
2
3  s1:ActivityNode <- t1:ObjectFlow -> s2:ObjectNode <- t2:ObjectFlow -> s3:
    ActivityNode
```

Listing 6.1: Migrating Actions

This extension considered an alternative migration semantics for ObjectFlowState. For this extension, instances of ObjectFlowState (and any connected Transitions) were migrated to instances ObjectFlow, as shown by the example in Listing 6.2 in which the UML 2.2 ObjectFlow, f1, replaces t1, t2 and s2.

```

1  s1:State <- t1:Transition -> s2:ObjectFlowState <- t2:Transition -> s3:State
2
3  s1:ActivityNode <- f1:ObjectFlow -> s3:ActivityNode
```

Listing 6.2: Migrating Actions

The alternative semantics were proposed on the TTC 2010 forums, and agreed as an extension to the core task by consensus between the solution authors and the workshop organisers.

<sup>9</sup>[http://planet-research20.org/ttc2010/index.php?option=com\\_community&view=groups&task=viewgroup&group\\_id=4&Itemid=150](http://planet-research20.org/ttc2010/index.php?option=com_community&view=groups&task=viewgroup&group_id=4&Itemid=150) (registration required)

**Extension 2: Concrete Syntax**

The second extension relates to the appearance of activity diagrams. The UML specifications provide no formally defined metamodel for the concrete syntax of UML diagrams. However, some UML tools store diagrammatic information in a structured manner using XML or a modelling tool. For example, the Eclipse UML 2 tools [Eclipse 2009b] store diagrams as GMF [Gronback 2009] diagram models.

As such, submissions were invited to explore the feasibility of migrating the concrete syntax of the activity diagram shown in Figure 6.5 to the concrete syntax in their chosen UML 2 tool. To facilitate this, the case resources included an ArgoUML project<sup>10</sup> containing the activity diagram shown in Figure 6.5.

**Extension 3: XMI**

The UML specifications indicate that UML models should be stored using XMI. However, because XMI has evolved at the same time as UML, UML 1.4 tools most likely produce XMI of a different version to UML 2.2 tools. For instance, ArgoUML produces XMI 1.2 for UML 1.4 models, while the Eclipse UML2 tools produce XMI 2.1 for UML 2.2.

As an extension to the core task, submissions were invited to consider how to migrate a UML 1.4 model represented in XMI 1.x to a UML 2.1 model represented in XMI 2.x. To facilitate this, the UML 1.4 model shown in Figure 6.5 was made available in XMI 1.2 as part of the case resources.

Following the submission of the case, solutions were encouraged to solve this extension by Tom Morris, the project leader for ArgoEclipse and a committer on ArgoUML. On the TTC forums, Morris stated that “We have nothing available to fill this hole currently, so any contributions would be hugely valuable. Not only would achieve academic fame and glory from the contest, but you’d get to see your code benefit users of one of the oldest (10+ yrs) open source UML modeling tools.”<sup>11</sup>

**6.3.2 Model Migration Solution in Epsilon Flock****6.3.3 Epsilon Flock in the Live Contest****6.3.4 Summary**

- Summarise work
  - Contributions – Established a real-world example of migration that can be used in further comparison / evaluation work. – Flock won two awards.
  - Threats to validity – I chose the migration case. Some mitigation: based on real world example, discussion on the forums helped to identify ambiguities.

<sup>10</sup><http://argouml.tigris.org/>

<sup>11</sup>[http://www.planet-research20.org/ttc2010/index.php?option=com\\_community&view=groups&task=viewdiscussion&groupid=4&topicid=20&Itemid=150](http://www.planet-research20.org/ttc2010/index.php?option=com_community&view=groups&task=viewdiscussion&groupid=4&topicid=20&Itemid=150) (registration required)

## 6.4 Quantitative Comparison of Model Migration Languages

In Section 4.3, the following research requirement was identified: *This thesis must implement and evaluate a domain-specific language for specifying and executing model migration strategies, comparing it to existing languages for specifying model migration strategies.* As discussed in Section 5.3.4, this thesis contributes Epsilon Flock, a domain-specific language for model migration. This section fulfils the second part of the above research requirement, comparing Flock with languages that are used in contemporary migration tools.

In developer-driven migration, a programming language codifies the migration strategy. Because migration involves deriving the migrated model from the original, migration strategies typically access information from the original model and, based on that information, update the migrated model in some way. As such, migration is written in a language with constructs for accessing and updating the original and migrated models. Here, those language constructs are termed *model operations*. Using examples of co-evolution, this section explores the variation in frequency of *model operation* over different model migration languages, and discusses to what extent the results of this comparison can be used to assess the suitability of the languages considered for model migration.

As discussed in Chapter 5, the languages currently used for model migration vary. Model-to-model transformation languages are used in some migration tools (e.g. [Cicchetti *et al.* 2008, Garcés *et al.* 2009]); general-purpose languages in others (e.g. [Herrmannsdoerfer *et al.* 2009b, Hussey & Paternostro 2006]). Irrespective of the language used for migration, the way in which a migration tool relates original and migrated model elements falls into one of two categories: new- or existing-target, which were first introduced in Section 5.3.2. In the former, the migrated model is created afresh by the execution of the migration strategy. In the latter, the migrated model is initialised as a copy of the original model and then the migration strategy is executed.

Flock contributes a novel approach for relating original and migrated model elements, termed conservative copy. Conservative copy is a hybrid of new- and existing-target approaches. This section compares new-target, existing-target and conservative copy in the context of model migration. Section 6.4.1 describes the data used in the comparison. The method for the comparison is discussed in Section 6.4.2. Section 6.4.3 identifies model operations for each of the migration languages used in the comparison, and Section 6.4.4 presents and analysis the results.

### 6.4.1 Data

Five examples of co-evolution were used to compare new-target, existing-target and conservative copy. This section briefly discusses the data used in the comparison.

#### Co-evolution Examples

To remove one of the possible threats to the validity of the comparison, the examples used were distinct from those identified in Chapter 4, which were used

to define requirements for Flock and conservative copy. The five examples used in this section are taken from three projects.

Two examples were taken from the *Newsgroup* project, which performs statistical analysis of NNTP newsgroups and is developed by Dimitris Kolovos, a lecturer in this department. One example was taken from *UML* (the Unified Modeling Language), an OMG specification of a language for modelling software systems. Two examples were taken from *GMF* (Graphical Modeling Framework) [Gronback 2009], an Eclipse project for generating graphical model editors.

### Selection of Migration Languages

As discussed above, there are two ways in which existing migration languages relate original and migrated model elements, new- and existing-target. Flock contributes a third way, conservative copy. For the comparison with Flock, one new- and one existing-target language was chosen.

The Atlas Transformation Language (ATL), a model-to-model transformation language has been used in [Cicchetti *et al.* 2008, Garcés *et al.* 2009] for model migration. As discussed in Section 5.3.2, model-to-model transformation languages support only new-target transformations for model migration<sup>12</sup>.

The author is aware of two approaches to migration that use existing-target transformations. In COPE [Herrmannsdoerfer *et al.* 2009b], migration strategies are hand-written in Groovy when no co-evolutionary operator can be applied. As discussed in Section 5.3.2, COPE's Groovy migration strategies use an existing-target approach. COPE provides six operations for interacting with model elements, such as *set*, for changing the value of a feature, and *unset*, for removing all values from a feature. In the remainder of this section, the term *Groovy-for-COPE* is used to refer to the combination of the Groovy programming language and the operators provided by COPE for use in hand-written migration strategies. In Ecore2Ecore [Hussey & Paternostro 2006], migration is performed when the original model is loaded, effectively an existing-target approach.

The comparison to Flock described in this section uses ATL to represent new-target approaches and Groovy-for-COPE to represent existing-target approaches. Groovy-for-COPE was preferred to Ecore2Ecore because the latter is not as expressive<sup>13</sup> and cannot be used for migration in the co-evolution examples considered in this section.

### 6.4.2 Method

For each example of co-evolution, a migration strategy was written using each migration language (namely ATL, Groovy-for-COPE and Flock). The correctness of the migration strategy was assured by comparing the migrated models provided by the co-evolution example with the result of executing the migration strategy on the original models provided by the co-evolution example.

<sup>12</sup>Because, in model migration, the source and target metamodels are not the same.

<sup>13</sup>Communication with Ed Merks, Eclipse Modeling Project leader, 2009, available at <http://www.eclipse.org/forums/index.php?t=tree&goto=486690&S=b1fdb2853760c9ce6b6b48d3a01b9aac>

For each migration language, a set of model operations were identified, as described in Section 6.4.3. A program was written to count the number of *model operations* appearing in each migration strategy. The counting program was tested by writing migration strategies in each language for the co-evolution examples identified in Chapter 4.

There is one non-trivial threat to the validity of the comparison performed in this section. The author wrote the migration strategies for Flock (a migration language that the author developed) and for the other migration languages considered (which the author has not developed). Therefore, it is possible that the migration strategies written in the latter may contain more model operations than necessary. In some cases, it was possible to reduce the effects of this threat by re-using or adapting existing migration strategy code written by the migration language authors. This is discussed further in the sequel.

### 6.4.3 Model Operations

The variation in frequency of model operations was explored across three model migration languages, ATL, Groovy-for-COPE and Flock. Here, the model operations of each language are identified. In addition, the extent to which the comparison described in this section was able to use code written by the authors of each language is discussed.

The comparison described in this section counts two categories of model operation: copying operations, deletion operations. The former are used to assign values to elements of the migrated model, while the latter are used to remove values from elements of the migrated model.

#### Atlas Transformation Language (ATL)

For the Atlas Transformation Language (ATL), the following model operations were counted:

- Assignment to a feature:

```
<feature> <- <value>
```

Deletion operations are not used in new-target migration strategies. A new-target migration strategy specifies only those values that must appear in the migrated model and, unlike existing-target approaches and conservative copy, no values are copied automatically prior to the execution of the migration.

TODO discuss whether it was possible to use AML to generate ATL and hence reduce the impact of the threat to validity identified above.

#### Groovy-for-COPE

For Groovy-for-COPE, the following model operations were counted:

- Assignment to a feature:

```
<element>.<feature> = <value>
<element>.<feature>.add(<value>)
<element>.<feature>.addAll(<collection.of.values>)
<element>.set(<feature>) = <value>
```

- Unsetting a feature:

```
<element>.<feature>.unset()
```

- Removing a model element:

```
delete <element>
```

Deletion operations (unset and remove above) are necessary for some existing-target migration strategies, because the migrated model (which is initialised as a copy of the original model) may contain data that is no longer captured in the evolved metamodel.

COPE provides a library of built-in, reusable co-evolutionary operators. Each co-evolutionary operator specifies a metamodel evolution along with a corresponding model migration strategy. For example, the “Make Reference Containment” operator evolves the metamodel such that a non-containment reference becomes a containment reference and migrates models such that the values of the evolved reference are replaced by copies.

As such, writing the Groovy migration strategy for the examples of co-evolution considered in this section involved, where possible, applying an appropriate COPE co-evolutionary operator and counting the number of model operations in the generated migration strategy. Not all examples could be completely specified using COPE co-evolutionary operator. In these cases, the Groovy migration strategy was written by the author.

### Epsilon Flock

Epsilon Flock, a transformation language tailored for model migration, was developed in this thesis and discussed in Chapter 5. Flock uses the Epsilon Object Language (EOL) [Kolovos *et al.* 2006c] to access and update model values. In addition, Flock defines migrate rules, which can be used to change the type of a model element. For Flock, the following model operations were counted:

- Assignment to a feature:

```
<element>.<feature> := <value>
```

```
<element>.<feature>.add(<value>)
```

```
<element>.<feature>.addAll(<collection.of.values>)
```

- Removing a model element:

```
delete <element>
```

Flock provides a remove operation but not an unset. The former is required to remove model elements that no longer conform to the target metamodel. The latter is not necessary because conservative copy will never copy to the migrated model any value that does not conform the evolved metamodel.

### 6.4.4 Results

By measuring the number of model operations in model migration strategies, the way in which each co-evolution approach relates original and migrated model elements was investigated. Five examples of model migration were measured



(Project) Example	Migration Language Source-Target Relationship		
	ATL New	G-f-C Existing	Flock Conservative
(Newsgroup) Extract Person	9	6	5
(Newsgroup) Resolve Replies	8	3	2
(UML) Activity Diagrams	15	15	8
(GMF) Graph	101	11	14
(GMF) Gen2009	310	16	16

Table 6.3: Model operation frequency (evaluation examples).

(Project) Example	Migration Language Source-Target Relationship		
	ATL New	G-f-C Existing	Flock Conservative
(FPTC) Connections	6	6	3
(FPTC) Fault Sets	7	5	3
(GADIN) Enum to Classes	4	1	0
(GADIN) Partition Cont	5	3	2
(Literature) PetriNets	12	10	6
(Newsgroup) Extract Person	9	6	5
(Newsgroup) Resolve Replies	8	3	2
(Process-Oriented) Split CP	8	1	1
(Refactor) Cont to Ref	4	5	3
(Refactor) Ref to Cont	3	4	3
(Refactor) Extract Class	5	4	2
(Refactor) Extract Subclass	6	0	0
(Refactor) Inline Class	4	5	2
(Refactor) Move Feature	6	2	1
(Refactor) Push Down Feature	6	0	0

Table 6.4: Model operation frequency (analysis examples).

to obtain the results shown in Table 6.4.4. The results from measuring the examples identified from the analysis chapter are shown in Table 6.4.4.

Because the examples used to produce the measurements shown in Table 6.4.4 were used to design Flock, the measurements in Table 6.4.4 are less relevant to the evaluation presented here than the measurements shown in Table 6.4.4. Nevertheless, the measurements made in Table 6.4.4 are included in the interest of transparency, and because they were used to test the program which performed the measurements.

For all but one of the examples shown above, conservative copy requires less model operations than new-target and existing-target. For the majority of examples, no migration strategy specified with existing-target contained less model operations when encoded with new-target. These results are now investigated, starting by discussing the differences between the source-target relationships. Investigating the results led to the discovery of two limitations of the conserva-

tive copy implementation in Flock, relating to sub-typing and side-effects during initialisation. These limitations are also discussed below.

### Source-Target Relationships

New-target, existing-target and conservative copy initialise the migrated model in a different way. New-target initialises an empty model, while existing-target initialises a complete copy of the original model. Conservative copy initialises the migrated model by copying only those model elements from the original model that conform to the migrated metamodel.

New- and existing-target are opposites. In the former, explicit assignment operations must be used to copy values from original to migrated model for each feature that is not affected by the metamodel evolution. By contrast, in the latter unset operations must be used when the value of a feature should not have been copied.

In situations where a large number of metamodel features have not been affected by evolution, expressing migration with a new-target transformation language requires more model operations than using an existing-target transformation language. This is particularly noticeable in the GMF examples shown in Table 6.4.4, where ATL requires many more model operations than Groovy-for-COPE and Flock.

In situations where a large number of metamodel features have been renamed, expressing migration with an existing-target transformation language requires more model operations than using a new-target transformation language. This is because, in an existing-target transformation language, two model operations (an unset and an assignment) are needed to migrate values in response to the renaming of a feature:

```
<element>.<newFeature> = <element>.unset(<oldFeature>)
```

By contrast, a new-target transformation language requires only one model operation (an assignment):

```
<migrated_element>.<feature> = <original_element>.<feature>
```

The UML (Table 6.4.4) and Refactor Inline Class (Table 6.4.4) examples contained several feature renamings, and consequently the existing-target figure was nearer to the new-target figure than the conservative copy figure. This is contrary to the trend in Tables 6.4.4 and 6.4.4.

Conservative copy is a hybrid of new- and existing target. Model values that have been affected by evolution are not copied to the migrated model, and so the migration strategy need not unset affected model values. Model values that have not been affected by evolution are copied to the migrated model, and so the migration strategy need not explicitly copy unaffected model values.

Two conclusions can be drawn from this discussion. Firstly, in general, less model operations are used when specifying a migration strategy with a conservative copy migration language than when specifying the same migration strategy with a new- or existing-target migration language. Secondly, in the examples studied here, there are often more features unaffected by metamodel evolution than affected. Consequently, specifying model migration with a new-target migration language requires more model operations than in an existing-target migration language for the examples shown in Tables 6.4.4 and 6.4.4.

### Subtyping

The GMF Graph example shown in Table 6.4.4 is the one case where conservative copy requires more model operations than existing-target. Investigating this result revealed a limitation in conservative copy limitation in Flock, relating to the way in subtypes are migrated.

Figure 6.8 shows a simplified part of the GMF Graph metamodel prior to evolution. When the metamodel evolved, the type of the figure and accessor features were changed. Consequently, the migration strategy needed to change the values stored in the figure and accessor features. In the simplified example presented here, the type of the figure and accessor features was changed from string to integer. The intended migration semantics are for the integer value to be the length of the original string value. This is representative of the actual GMF Graph metamodel evolution.

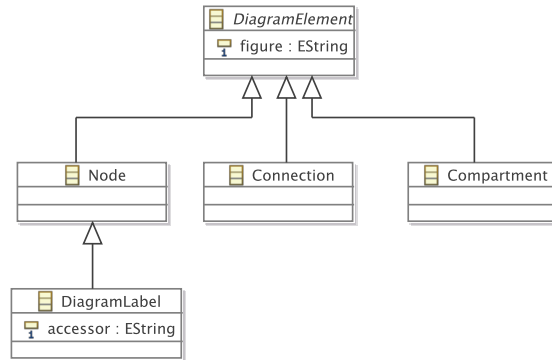


Figure 6.8: Simplified fragment of the GMF Graph metamodel.

In ATL, the migration strategy for the metamodel evolution discussed above can be expressed using two model operations, because an ATL transformation rule may inherit the body of another. The `DiagramElements` rule on lines 1-4 of Listing 6.3 specifies that the value of the figure feature should be the length of the original value. For Nodes, Connections and Compartments, migration can be specified simply by extending the `DiagramElements` rule. For `DiagramLabels`, the values of both the accessor and figure feature must be migrated. On lines X-Y of Listing 6.3, the `DiagramLabels` extends Nodes and hence `DiagramElements` to inherit the body of the latter for migrating figures. In addition, the `DiagramLabels` rule defines the migration for the value of the accessor feature.

```

1  abstract rule DiagramElements {
2    from o : Before!DiagramElement
3    to m : After!DiagramElement ( figure <- o.figure.length() )
4  }
5
6  rule Nodes extends DiagramElements {
7    from o : Before!Node
8    to m : After!Node
9  }
10
11 rule Connections extends DiagramElements {

```

```

12   from o : Before!Connection
13   to m : After!Connection
14 }
15
16 rule Compartments extends DiagramElements {
17   from o : Before!Compartment
18   to m : After!Compartment
19 }
20
21 rule DiagramLabels extends Nodes {
22   from o : Before!DiagramLabel
23   to m : After!DiagramLabel ( accessor <- o.accessor.length() )
24 }

```

Listing 6.3: Simplified GMF Graph model migration in ATL

In Groovy-for-COPE, the migration is similar to ATL. However, Groovy-for-COPE is entirely imperative, and so the migration, Listing 6.4 is more concise than the ATL migration in Listing 6.3. In Listing 6.4, the loop iterates over each instance of `DiagramElement`, migrating the value of its `figure` feature (line 2). If the `DiagramElement` is also a `DiagramLabel` (line 4), the value of its `accessor` feature is also migrated (line 5).

```

1 for (diagramElement in subtyping.DiagramElement.allInstances()) {
2   diagramElement.figure = diagramElement.figure.length()
3
4   if (subtyping.DiagramLabel.allInstances().contains(diagramElement)) {
5     diagramElement.accessor = diagramElement.accessor.length()
6   }
7 }

```

Listing 6.4: Simplified GMF Graph model migration in COPE

In both ATL and COPE, only 2 model operations are required for this migration: an assignment for each of the two features being migrated. However, the equivalent Flock migration strategy, shown in Listing 6.5, requires 5 model operations. In Flock, a migrate rule must be specified for each concrete subtype of `DiagramElement`. A migrate `DiagramElement` rule cannot be used because the semantics of Flock migrate rules state that, when no `to` part is specified, Flock will create an instance of the type named after the keyword `migrate` (`DiagramElement` here). Because `DiagramElement` is abstract, this will fail. Furthermore, because only one rule can be applied to each original model element, the `DiagramLabel` rule (lines 9-12) must migrate the values of both the `figure` and `accessor` features, and cannot exploit the kind of re-use provided by ATL with rule inheritance.

```

1 migrate Compartment {
2   migrated.figure := original.figure.length();
3 }
4
5 migrate Connection {
6   migrated.figure := original.figure.length();
7 }
8
9 migrate DiagramLabel {
10  migrated.figure := original.figure.length();
11  migrated.accessor := original.accessor.length();
12 }
13

```

```

14 migrate Node {
15   migrated.figure := original.figure.length();
16 }

```

Listing 6.5: Simplified GMF Graph model migration in Flock

The example presented in this section highlights a limitation of the conservative copy algorithm as it is implemented in Flock. The extent to which this limitation can be addressed in Flock, and in general, is discussed in Section 7.1. This section now considers one further limitation of existing-target and conservative copy, relative to new-target.

### Side-Effects during Initialisation

The measurements observed for one of the examples of co-evolution from Chapter 4, Change Reference to Containment, cannot be explained by the conceptual differences between source-target relationship. Instead, the way in which the source-target relationship is implemented must be considered.

When a reference feature is changed to a containment reference during meta-model evolution, constructing the migrated model by starting from the original model (as is the case with existing-target and conservative copy) can have side-effects which complicate migration.

In the Change Reference to Containment example, a System initially comprises Ports and Signatures (Figure 6.9). A Signature references any number of ports. The metamodel is to be evolved so that Ports can no longer be shared between Signatures.

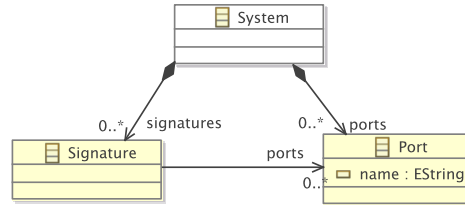


Figure 6.9: Original metamodel.

The evolved metamodel is shown in Figure 6.10. Signatures now contain - rather than reference - Ports. Consequently, the ports feature of System is no longer required and is removed.



Figure 6.10: Evolved metamodel.

The migration strategy is straightforward in a new-target migration language: for each Signature in the original model, each member of the ports feature is cloned, using a lazy rule, and added to the ports feature of the equivalent Signature.

```

1  rule Systems {
2    from
3      o : Before!System
4    to
5      m : After!System ( signatures <- o.signatures )
6  }
7
8  rule Signature {
9    from
10     o : Before!Signature
11   to
12     m : After!Signature (
13       ports <- o.ports->collect(p | thisModule.Port(p))
14     )
15  }
16
17  lazy rule Port {
18    from
19      o : Before!Port
20    to
21      m : After!Port ( name <- o.name )

```

Listing 6.6: Change R to C model migration in ATL

In existing-target and conservative copy migration languages, migration is less straightforward because the value of a containment reference (`Signature#ports`) is set automatically by the migration strategy execution engine. When a containment reference is set, the contained objects are removed from their previous containment reference (i.e. setting a containment reference can have side-effects). Therefore, in a `System` where more than one `Signature` references the same `Port`, the migrated model cannot be formed by copying the contents of `Signature#ports` from the original model. Attempting to do so causes each `Port` to be contained only in the last referencing `Signature` that was copied.

In existing-target migration languages, conformance is most likely only checked following the execution of the migration strategy, when the model is transformed to a metamodel-specific representation. Therefore, the containment nature of the reference is not enforced until after the migration strategy is executed. Hence, the migration strategy discussed here can be specified by unsetting the contents of the `ports` reference (line 4 of Listing 6.7), and creating a copy of each referenced `Port` (lines 5-7 of Listing 6.7).

Unlike the ATL migration strategy, the ports in the Groovy-for-COPE migration strategy are cloned in the same model as the original port. Consequently, the Groovy-for-COPE migration strategy must either only clone ports that are referenced by more than one signature or clone every referenced port, but delete all of the original ports. The latter approach requires 2 more model operations (to populate and delete the original ports) than the former (shown in Listing 6.7).

```

1  def contained = []
2
3  for(signature in refactorings_changeRefToCont.Signature.
4    allInstances) {
5    for(port in signature.ports) {
6      // when more than one Signature references this port
7      if (contained.contains(port)) {
8        def clone = Port.newInstance()

```

```

8      clone.name = port.name
9      signature.ports.add(clone)
10     signature.ports.remove(port)
11   } else {
12     contained.add(port)
13   }
14 }
15 }
16
17 for (port in refactorings_changeRefToCont.Port.allInstances) {
18   if (not refactorings_changeRefToCont.Signature.allInstances.any {
19     it.ports.contains(port) }) {
20     port.delete()
21   }

```

Listing 6.7: Change R to C model migration in COPE

In Flock, the containment nature of the reference is enforced when the migrated model is initialised. Because changing the contents of a containment reference can have side-effects, a Port that appears in the ports reference of a Signature in the original model may not have been automatically copied to the ports reference of the equivalent Signature in the migrated model during initialisation. Consequently, the migration strategy must check the ports reference of each migrated Signature, cloning only those Ports that have not been automatically copied during initialisation (see line 3 of Listing 6.8).

```

1 migrate Signature {
2   for (port in original.ports) {
3     if (migrated.ports.excludes(port.equivalent())) {
4       var clone := new Migrated!Port;
5       clone.name := port.name;
6       migrated.ports.add(clone);
7     }
8   }
9 }
10
11 delete Port when: not Original!Signature.all.exists(s|s.ports.
    includes(original))

```

Listing 6.8: Change R to C model migration in Flock

The Groovy-for-COPE and Flock migration strategies must also remove any Ports which are not referenced by any Signature (lines 17-21 of Listing 6.7, and line 11 of Listing 6.8 respectively), whereas the ATL migration strategy, which initialises any empty migrated model, does not copy unreferenced Ports.

When a non-containment reference is changed to a containment reference, producing a corresponding migration strategy in Flock and Groovy-for-COPE requires the user to be aware of the side-effects that can occur during initialisation. It may be possible to extend the existing-target and conservative copy algorithms used in COPE and Flock, respectively, to automatically perform cloning when a reference is changed to be a containment reference. This is discussed further, for conservative copy, in Section 7.1.

### 6.4.5 Summary

By measuring frequency of model operations, this section has compared, in the context of model migration, three approaches to relating source-target relation-

ship: new-target, existing-target and conservative copy. The results have been analysed and the measurement method described thoroughly.

The analysis of the measurements obtained has shown that a new- and existing-target migration languages are most suitable for specifying migration strategies for different types of migration language. New-target requires less model operations than existing-target when metamodel evolution involves the renaming of features. Conversely, existing-target requires less model operations than new-target when metamodel evolution does not affect most model elements. Conservative copy requires less model operations than both new- and existing-target in almost all of the examples studied here.

This section has highlighted two limitations of the conservative copy algorithm implemented in Epsilon Flock, and shown how these limitations are problematic for specifying some types of migration strategy.

The author is not aware of any existing quantitative comparisons of migration languages, and, as such, the best practices for conducting such comparisons are not clear. The method used in obtaining these measurements has been described, in the hope that similar comparisons might be conducted in the future.

## 6.5 Limitations

### 6.5.1 Threats to validity

## 6.6 Summary

– Summarise chapter.

In addition to the evaluation described in this chapter, the work presented in this thesis has been subjected to peer review by the academic and Eclipse communities. The thesis research has been published in papers at XX workshops, YY European conferences and ZZ international conferences. HUTN, Flock and Concordance (Chapter 5) are part of the Epsilon project, a member of the research incubator for the Eclipse Modeling Project (EMP), which is arguably the most active MDE community at present. EMP's research incubator hosts a limited number of participants, selected through a rigorous process and contributions made to the incubator undergo regular technical review.





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