Chapter 5

Design and Implementation

Section 4.3 presented requirements for structures and processes for identifying and managing co-evolution. This chapter describes the way in which the requirements have been addressed. Several related structures have been implemented, using domain-specific languages, metamodelling and model management operations. Figure 5.1 summarises the contents of the chapter. To facilitate the management of non-conformant models with existing modelling frameworks, a metamodel-independent syntax was devised and implemented (Section 5.1). To address some of the challenges faced in user-driven coevolution, an OMG specification for a textual modelling notation was implemented (Section 5.2). Finally, a model transformation language – tailored for model migration and centred around a novel approach to relating source and target model elements – was designed and implemented (Sections 5.3 and 5.4).

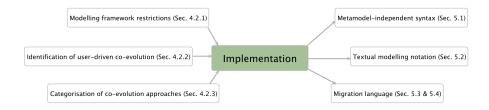


Figure 5.1: Implementation chapter overview.

The structures presented in this chapter are interoperable as shown in Figure 5.2. In particular, the modelling framework extensions provided by the metamodel-independent syntax are used to provide conformance checking for the textual modelling notation, and to enable partial migration for the model migration language. The structures were separated to facilitate re-use of the conformance checking services provided by the metamodel-independent syntax. Table 5 shows the relationship between the proposed structures and the thesis requirements (Section 4.3).

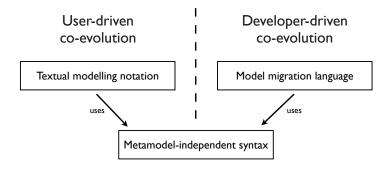


Figure 5.2: The relationships between the proposed structures

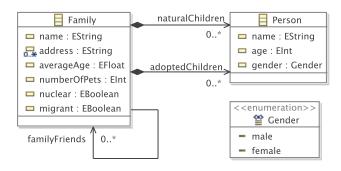
Structure (Section)	Requirement		
	This thesis must investigate the		
	extension of existing modelling		
Metamodel-independent syntax (5.1)	frameworks to support the loading		
Wetamodel-independent syntax (6.1)	of non-conformant models and		
	conformance checking of models		
	against other metamodels.		
	This thesis must demonstrate a		
	user-driven co-evolution process		
	that enables the editing of		
	non-conformant models without		
Textual Modelling Notation (5.2)	directly manipulating the		
	underlying storage representation		
	and provides a conformance report		
	for the original model and		
	evolved metamodel.		
	This thesis must compare and		
Model Migration Language (5.3)	evaluate existing languages		
Woder Wigration Language (5.5)	for specifying model		
	migration strategies.		
	This thesis must implement and		
	evaluate a domain-specific		
	language for specifying and		
Model Migration Language (5.4)	executing model migration		
	strategies, comparing it to		
	existing languages for specifying		
	model migration strategies.		

Table 5.1: The relationship between the thesis requirements and the proposed structures.

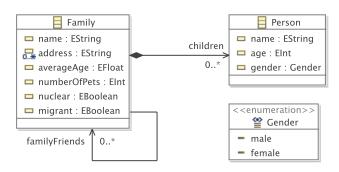
5.1 Metamodel-Independent Syntax

Section 4.2.1 discussed the way in which modelling frameworks implicitly enforce conformance, and hence prevent the loading of non-conformant models. Additionally, modelling frameworks provide little support for checking the conformance of a model with other versions of a metamodel, which is potentially useful during metamodel installation. In Section 4.3, these concerns lead to the identification of the following requirement: This thesis must investigate the extension of existing modelling frameworks to support the loading of non-conformant models and conformance checking of models against other metamodels.

This section describes the way in which existing modelling frameworks load and store models using metamodel-specific binding mechanisms, proposes an alternative binding mechanism using a metamodel-independent syntax, and demonstrates how this facilitates automatic consistency checking. The work presented in this section has been published in [Rose et al. 2009a].



(a) Original metamodel.



(b) Evolved metamodel.

Figure 5.3: Evolution of a families metamodel, based on the metamodel in [OMG 2004].

5.1.1 Metamodel Evolution Example: Families

This section uses the example of metamodel evolution in Figure 5.3. The metamodels in Figure ?? have been constructed in Ecore, the metamodelling language of EMF, which is based on MOF (Section ??). The metamodels use Ecore types such as EString and EFloat. The intent of most of the metamodel types and features (such as Family and numberOfPets) should be obvious from their names. The nuclear attribute on the Family type is used to indicate that the family "comprises only a father, a mother, and children." [Merriam-Webster 2010], and not extended family members (such as cousins or grandparents).

In Figure 5.13(a), naturalChildren and adoptedChildren are modelled as separate features, and, in Figure 5.13(b), they are modelled as a single feature, children.

Models that specify values for the naturalChildren or adoptedChildren features do not conform to the evolved metamodel. For example, the model in Figure 5.4 represents a Family comprising two Persons, conforms to the original metamodel, and does not conform to the evolved metamodel. Using the families metamodel and model, the sequel explains why existing modelling frameworks cannot be used to load non-conformant models.

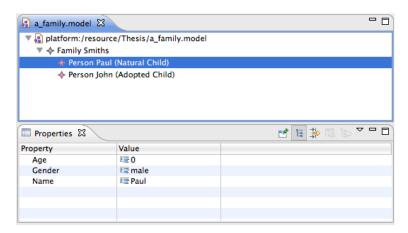


Figure 5.4: A family model, which conforms to the metamodel in Figure 5.13(a)

5.1.2 Binding to a Specific Metamodel

To load a model, existing modelling frameworks construct objects in the underlying programming language in a process termed *binding* (Section 4.2.1). The metamodel defines the way in which model elements will be bound, and binding is strongly-typed. Figure 5.5 illustrates the results of binding the fam-

ily model in Figure 5.4 to the original families metamodel in Figure 5.13(a). The objects in Figure 5.5 instantiate types that are defined in the metamodel, such as Family and Person. In other words, binding results in a *metamodel-specific* representation of the model.

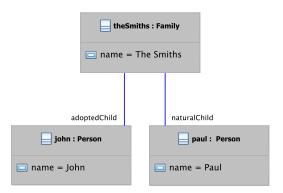


Figure 5.5: Objects resulting from the binding of a conformant model

Metamodel-specific binding fails for non-conformant models. For example, attempting to bind the family model in Figure 5.4 to the evolved families fails because the model uses naturalChildren and adoptedChildren features for the type Family, and these features are not defined by the metamodel in Figure 5.13(b).

Because non-conformant models cannot be loaded, model migration must be performed by editing the underlying storage representation, which can be error-prone and tedious (Section 4.2.2). The sequel discusses potential solutions for loading non-conformant models.

5.1.3 Potential Solutions for Loading Non-Conformant Models

Two potential approaches to binding (and hence loading) non-conformant models have been considered and are now discussed. The benefits and drawbacks of each approach have been compared, which resulted in the selection of the second approach, binding to a metamodel-independent syntax.

Store metamodel history

Presently, modelling frameworks are used to store only the latest version of a metamodel, and hence binding fails for models that conform to a previous version of the metamodel. If modelling frameworks could access old versions of a metamodel, models that do not conform to the current version of the metamodel could be loaded by binding to a previous version of the metamodel.

A metamodel-independent syntax

Models can always be successfully bound to a *metamodel-independent* representation, such as the one shown in Figure 5.6. Binding each model element results in the instantiation of a metamodel-independent type (Object in Figure 5.6) rather than of types defined in a specific metamodel, such as Family or Person. Hence, binding is independent of the types defined in metamodels, and will succeed for non-conformant models.



Figure 5.6: A minimal generic metamodel for MOF in Ecore, based on [OMG 2008a] and taken from [Rose *et al.* 2009a].

Benefits and drawbacks of the potential solutions

The two potential solutions for loading non-conformant models have different benefits and drawbacks, which are now discussed. Storing metamodel histories would use the binding and conformance checking services provided by existing modelling frameworks, and therefore require less implementation effort than a metamodel-independent syntax, which would require bespoke binding and conformance checking services. Furthermore, structures for managing metamodel histories might be integrated with existing approaches to managing co-evolution, such as metamodel differencing approaches (Sections 4.2.3), for switching between different versions of a MDE workflow.

Storing metamodel histories relies on the metamodel developer to enable model migration: if the metamodel developer does not provide a metamodel that contains historical data, then binding will fail for non-conformant models. Conversely, models can be bound to a metamodel-independent syntax irrespective of the actions of the metamodel developer.

A metamodel-independent syntax has been chosen because it makes fewer assumptions of the metamodel developer, and hence facilitates user-driven as well as developer-driven co-evolution.

5.1.4 Proposed Solution: A Metamodel-Independent Syntax

This section discusses the design and implementation of a metamodel-independent syntax, and of the binding and conformance checking services that are used to load non-conformant models. As discussed below, the design of the metamodel-independent syntax and conformance checking service is inspired by [OMG 2007a] and [Paige et al. 2007], respectively. As such, the primary contribution of this

section is the implementation and integration of the syntax and services with EMF. In addition, the syntax and services have been designed to be re-usable, and hence have been used to simplify the implementation of a textual modelling notation (Section 5.2) and a model migration language (Section 5.4).

Design

A high-level design for the way in which the metamodel-independent syntax, binding service and conformance checking service load models is shown in Figure 5.7. The **binding service** parses XMI (the canonical storage representation of models, Section 2.1.3) and produces a model that conforms to the **metamodel-independent syntax**. The **conformance checking service** is used to explicitly check the conformance of a model conforming to the metamodel-independent syntax.

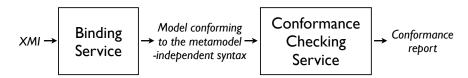


Figure 5.7: Loading models with the metamodel-independent syntax

Binding and conformance checking were split into separate services to facilitate re-use. For example, the textual modelling notation in Section 5.2 re-uses the metamodel-independent syntax and conformance checking service, in conjunction with a different binding service.

Metamodel-independent syntax The metamodel-independent syntax is used to represent a model without instantiating types defined by its metamodel. Its design was inspired by the metamodel for UML 2 [OMG 2007a] object diagrams, which describes objects in a generic, class-independent manner. UML 2 object diagrams are specified in terms of an abstract syntax (comprising, for example, InstanceSpecification and Link classes) and a concrete syntax (comprising, for example, boxes and lines). The metamodel-independent syntax proposed here is abstract. It is not used directly by metamodel developers or users and hence a concrete syntax was not required.

Abstract syntax is typically represented as a metamodel (Section 2.1.2). The metamodel in Figure 5.6 was used as an initial design for the metamodel-independent syntax, which contains a class for each type in the MOF metamodel that is instantiated in a model. In other words, Objects are used to represent each element of a model, and the type attribute is used to indicate the name of the metaclass that the Object intends to instantiate. Similarly, Slots are used to represent values in the model, and the feature attribute indicates the metafeature that the Slot intends to instantiate. The meta-

model was designed to capture the information needed to perform conformance checking (described below), and implementing the conformance checking service led to a refactored metamodel, which is presented in the sequel.

COPE (Section 4.2.3) is also built atop a metamodel-independent syntax. However, the metamodel-independent syntaxes used by COPE and proposed here were developed independently, and both were first published in 2008 (in [Rose *et al.* 2008a, Herrmannsdoerfer *et al.* 2008b]).

Metamodel-independent binding service Binding a textual representation of a model to a metamodel is a model-to-text (M2T) transformation. The metamodel-independent binding service is a M2T transformation that consumes XMI and produces a model conforming to the metamodel-independent syntax. The transformation iterates over each tag in the XMI, and creates instances of Object and Slot. For example, when encountering a tag that represent a model element, the transformation performs the steps in Figure 5.8.

Applying the metamodel-independent binding service to the families model (Figure 5.4) produces three instances of Object, illustrated as a UML object diagram in Figure 5.9. For clarity, instances of Object are shaded, and instances of Slot are unshaded. The first Object represents the Family model element and has three slots. Two of the slots are used to reference the Person model elements via the naturalChildren and adoptedChildren references.

Conformance checking service Conformance is a type of inter-model consistency, between a model and its metamodel (Section 2.1.2), and, in MDE, inter-model consistency is often validated using a set of constraints (Section 2.1.4). Furthermore, [Paige et al. 2007] demonstrates that conformance can be specified as a set of constraints between a model and its metamodel. As such, the conformance checking service has been designed as the set of constraints between models and metamodels in Figure 5.11.

The conformance checking service must be interoperable with the metamodel-independent syntax and, hence, the constraints are specified in terms of Objects and Slots. Clearly, to check conformance the constraints must refer to a (specific) metamodel, and the constraints are also specified in terms of concepts from the MOF metamodelling language (Section 2.1.3), such as Class and Property. Figure 5.10 shows a minimal version of the MOF metamodel.

After binding to the metamodel-independent syntax, the conformance of a model can be checked against any specific metamodel. To illustrate the value of the conformance checking service, consider again the metamodel evolution in Figure 5.3 and the bound model in Figure 5.9. For the evolved metamodel (Figure 5.13(b)), conformance checking for the model element representing the Family would fail. As illustrated in Figure 5.9, the Family Object defines slots for features named naturalChildren and adoptedChildren,

- 1. Constructs an instance of Object, o.
- 2. For each attribute of the tag:

Creates an instance of Slot, s.

Sets s.feature to the name of the attribute.

Sets s.value to the value of the attribute.

Adds s to o.slots.

3. For each child tag:

Creates an instance of Slot, s.

Sets s.feature to the name of the child tag.

Recursively constructs an instance of Object, c.

Sets s.value to c.

Adds s to o.slots.

Figure 5.8: Pseudo code for binding XMI tags to Objects.

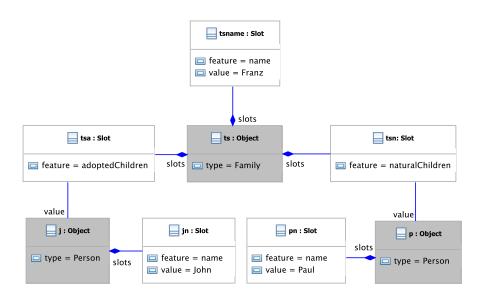


Figure 5.9: Result of binding the families model with the metamodel-independent syntax

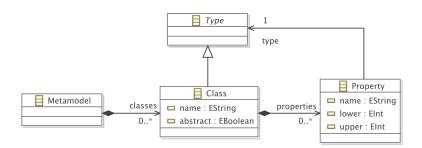


Figure 5.10: Minimal MOF metamodel, based on [OMG 2008a].

- 1. Each Object's type must be the name of some non-abstract metamodel Class.
- 2. Each Object must specify a Slot for each mandatory Property of its type.
- 3. Each Slot's feature must be the name of a metamodel Property. That Property must belong to the Slot's owner's type.
- 4. Each Slot must be multiplicity-compatible with its Property. More specifically, each Slot must contain at least as many values as its Property's lower bound, and at most as many values as its Property's upper bound.
- 5. Each Slot must be type-compatible with its Property. (The way in which type-compatibility is checked depends on the way in which the modelling framework is implemented).

Figure 5.11: The constraints of the conformance checking service.

which are not defined the metaclass Family in Figure 5.13(b). Specifically, the model element representing the Family does not satisfy conformance constraint 3, which states: each Slot's feature must be the name of a metamodel Property. That Property must belong to the Slot's owner's type.

Reference implementation in Java, EMF and Epsilon

Reference implementations of the three components were constructed with Java, EMF and Epsilon (Section 2.3). The way in which each component was implemented is now discussed.

Metamodel-independent syntax Ecore, the metamodelling language of EMF, was used to implement the metamodel-independent syntax. The final metamodel is shown in Figure 5.12, which differs slightly to the initial design (Figure 5.6). Specifically, Slot is abstract, has a generic type (T), and is the superclass of AttributeSlot, ReferenceSlot and ContainmentSlot. These changes simplified the implementation of the (abstract) typeCompatibileWith method, which is used by the conformance checking service, and returns true if and only if every element of the values attribute is type compatible with the EClassifier parameter (a metamodel type).

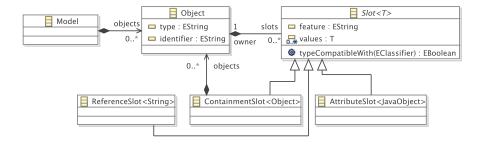


Figure 5.12: Implemented version of the metamodel-independent syntax, in Ecore $\,$

Binding service A text-to-model (T2M) transformation language (Section 2.1.4) could have been used to implement the binding service. However, in 2008 the Eclipse Modeling Project¹ did not provide a standard T2M language and using a T2M language that was not part of the Eclipse Modeling Project would have complicated installation of the service for users.

Instead, the binding service has been implemented by constructing in Java an XMI parser that emits objects conforming to the metamodel-independent

¹http://www.eclipse.org/modeling/

syntax. Listing 5.1 illustrates the way in which XMI attributes are parsed. The processAttributes method is called to generate instances of AttributeSlot from the metamodel-independent syntax. For each attribute in an XMI tag, the body of the loop is executed. If the attribute is not XMI metadata such as type information (line 4), the name and value of the attribute (lines 5 and 6) are extracted from the XMI, and used to add the value to an AttributeSlot with feature equal to the name of the attribute (line 8). Constructing Objects and Slots is the responsibility of the generator object, which is an instance variable of the parser.

```
private void processAttributes(Attributes atts) {
     for (int index = 0; index < atts.getLength(); index++) {</pre>
3
4
      if (!attributeIsMetadata(atts.getQName(index))) {
        final String feature = atts.getLocalName(index);
5
6
        final String value = atts.getValue(index);
7
        generator.addAttributeValue(feature, value);
8
9
10
     }
11
   }
```

Listing 5.1: Parsing XMI attributes (in Java)

Conformance checking service The conformance constraints (Figure 5.11) were implemented with EVL (Section 2.1.4), a language tailored for (inter) model verification and hence suitable for rapid prototyping of consistency constraints. Listing 5.2 shows the EVL constraint that checks whether each Object's type is a non-abstract class (constraint 1 in Figure 5.11). The check part (line 3) verifies that a particular Object (referenced via the self keyword) refers to a metamodel type that is not abstract. When the check fails, the message (line 4) is automatically added to a set of unsatisfied constraints. The toClass operation (lines 8-10) is used to determine the metamodel class (an instance of EClass) to which the type attribute (a String) of an Object refers. The conformance checking service returns a report of unsatisfied constraints.

```
context Object {
constraint ClassMustNotBeAbstract {
check: not self.toClass().isAbstract()
message: 'Cannot instantiate the abstract class: ' + self.type
}

operation Object toClass() : EClass {
return Metamodel!EClass.all.selectOne(c|c.name == self.type);
```

10 }

Listing 5.2: A constraint (in EVL) to check that only concrete metamodel types are instantiated.

Type-compatibility has been implemented by delegating to the type-checking methods provided by EMF. The EVL constraints call the isTypeCompatibleWith method on the Slot class. Each subclass of Slot provides an implementation of isTypeCompatibleWith, which delegates to EMF to perform type-checking.

5.1.5 Structures Built atop the Metamodel-Independent Syntax

There are many potential uses for the metamodel-independent syntax described in this section. Section 5.2 describes a textual modelling notation integrated with the metamodel-independent syntax to achieve live conformance checking. The migration language presented in Section 5.4 can be used with the metamodel independent syntax to perform partial migration.

In addition to these uses, the metamodel-independent syntax is potentially useful during metamodel installation. As discussed in Section 4.2.1, metamodel developers do not have access to downstream models, and conformance is implicitly enforced by modelling frameworks. Consequently, the conformance of models may be affected by the installation of a new version of a metamodel, and the conformance of models cannot be checked during installation. Typically, installing a new version of a metamodel can result in models that no longer conform to their metamodel and cannot be used with the modelling framework. Moreover, a user discovers conformance problems only when attempting to use a model after installation has completed, and not as part of the installation process.

To enable conformance checking as part of metamodel installation in EMF, the metamodel-independent syntax has been integrated with Concordance in [Rose et al. 2010c]. The work was conducted outside of the scope of the thesis, and is now summarised to indicate the usefulness of the metamodel-independent syntax for supporting the automation of co-evolution activities. Concordance provides a mechanism for resolving inter-model references (such as those between models and their metamodels). Without Concordance, determining the the instances of a metamodel is possible only by checking every model in the workspace. Integrating Concordance and the metamodel-independent syntax resulted in a service, which Epsilon (Section 2.3.2) executes after the installation of a metamodel to identify the models that are affected by the metamodel changes. All models that conform to the old version of the metamodel are checked for conformance with the new metamodel. As such, conformance checking occurs automatically and immediately after

metamodel installation. Conformance problems are detected and reported immediately, rather than when an affected model is next used.

Summary

Modelling frameworks implicitly enforce conformance, which presents challenges for managing co-evolution. In particular, detecting and reconciling conformance problems involves managing non-conformant models, which cannot be loaded by modelling frameworks and hence cannot be used with model editors or model management operations. The metamodel-independent syntax proposed in this section enables modelling frameworks to load non-conformant models, and has has been integrated with Concordance [Rose et al. 2010c] to facilitate the reporting of conformance problems during metamodel installation. The metamodel-independent syntax, binding service and conformance checking service underpin the implementation of the textual modelling notation presented in the sequel. The benefits and drawbacks of the metamodel-independent syntax in the context of user-driven co-evolution are explored in Chapter 6.

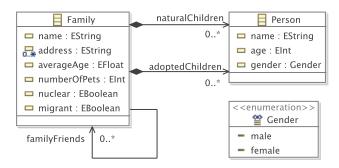
5.2 Textual Modelling Notation

The analysis of co-evolution examples in Chapter 4 highlighted two ways in which co-evolution is managed. In developer-driven co-evolution, migration is specified by the metamodel developer in an executable format; while in userdriven co-evolution migration is specified by the metamodel developer in prose or not at all. Performing user-driven co-evolution with modelling frameworks presents two key challenges that have not been explored by existing research. Firstly, user-driven co-evolution often involves editing the storage representation of the model, such as XMI. Model storage representations are typically not optimised for human use and hence user-driven co-evolution can be errorprone. Secondly, non-conformant model elements must be identified during user-driven co-evolution. When a multi-pass parser is used to load models, as is the case with EMF, not all conformance problems are reported at once, and user-driven co-evolution is an iterative process. In Section 4.3, these challenges led to the identification of the following requirement: This thesis must demonstrate a user-driven co-evolution process that enables the editing of non-conformant models without directly manipulating the underlying storage representation and provides a sound and complete conformance report for the original model and evolved metamodel.

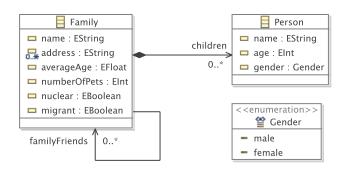
The remainder of this section describes a textual notation for models, which has been implemented for EMF, and discusses the way in which the notation has been integrated with the metamodel independent syntax described in Section 5.1 to produce conformance reports.

5.2.1 Model Migration with XMI

The co-evolution example from Section 5.1 is now used to illustrate the way in which model migration is performed by editing the underlying storage representation of a model, such as XMI (Section 2.1.3). Consider again the evolution of the families metamodel (Figure ??) and a model conforming to the original metamodel (Figure ??).



(a) Original metamodel.



(b) Evolved metamodel.

Figure 5.13: Evolution of a families metamodel, based on the metamodel in $[OMG\ 2004]$.

The model in Figure 5.14 does not conform to the evolved metamodel (because it uses the naturalChildren and adoptedChildren features, which are not defined for Person), and hence cannot be loaded by the modelling framework. Migration might be achieved by editing the underlying storage representation directly (i.e. manually manipulating XMI). Listing 5.3 shows the XMI for the model in Figure 5.14.

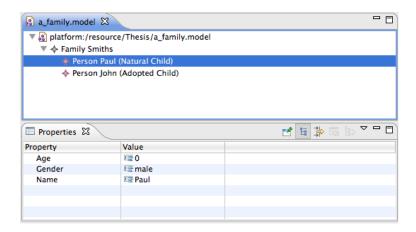


Figure 5.14: A family model, which conforms to the metamodel in Figure ??

Listing 5.3: XMI for the family model in Figure 5.14

XMI is a concrete syntax for models, which has been optimised for use by machines and not by humans [OMG 2004]. Models often contain information that is not relevant to the domain, such as the universally unique identifiers (xmi:id attributes) on lines 2, 3 and 4 of Listing 5.3. Furthermore, information is often omitted to reduce the size of the model on disk. For example, the model elements on lines 3 and 4 of Listing 5.3 do not specify their type (Person) and this is inferred from the type of the naturalChildren and adoptedChildren features (which is accessed by the modelling framework via the XML namespace import for the families metamodel on line 2). A model references its metamodel These issues affect the usability of XMI, and the evaluation presented in Section 6.1 further explores the suitability of XMI for user-driven co-evolution. The remainder of this section discusses the design and implementation of a syntax that provides an alternative to XMI.

5.2.2 Potential Alternatives to XMI

Two characteristics were considered when designing a notation that provides an alternative to representing models with XMI. Models can be represented textually or graphically (Section 2.1.2), and using a metamodel-specific or a metamodel-independent syntax (Section 5.1). The benefits and drawbacks of each option have been considered particularly with respect to their implications for user-driven co-evolution, and are now discussed.

Metamodel-independent vs metamodel-specific A metamodel-specific syntax is defined in terms from the metamodel, and is often more concise than a metamodel-independent syntax. A metamodel-specific (and textual) syntax for part of the evolved families metamodel (Figure ??) is shown in Listing ??. Notice that the syntax is defined in metamodel terms, such as Family and children. Consequently, the syntax definition can be affected by metamodel evolution, and hence cannot be used to load a model that does not conform to its metamodel. As the alternative syntax was to be used during user-driven coevolution (to manipulate models that do not conform to their metamodel), a metamodel-independent representation was preferred to a metamodel-specific representation.

Textual vs graphical For user-driven co-evolution, the usability of the notation is important because a metamodel user manipulates models with the notation to perform migration. The choice between a textual or graphical notation likely has a significant impact on usability, but it was not feasible to conduct a thorough user analysis given the time constraints of the thesis. Instead, a textual notation was selected (to reduce implementation effort). The textual notation was implemented such that the addition of an equivalent graphical notation could be added in future work.

Presently, several tools exist for representing models with textual, metamodel-specific syntaxes (such as the text-to-model transformation tools discussed in Section 2.1.4), but no tools exist for representing models in a metamodel-independent syntax other than XMI. [Steel & Raymond 2001] describe the Distributed Systems Technology Centre's TokTok project, which provided a human-usable textual modelling notation, and is now inactive. The metamodel-independent representation described in [Muller & Hassenforder 2005] has been abandoned in favour of Sintaks², a tool for constructing metamodel-specific representations. However, the metamodel-independent representations described in [Steel & Raymond 2001, Muller & Hassenforder 2005] were both based on an OMG standard, Human-Usable Textual Notation (HUTN) [OMG 2004], which defines a textual modelling notation that aims to conform to human-usability criteria [OMG 2004]. As a metamodel-independent, textual concrete syntax, HUTN was seen as an ideal starting point for designing a textual modelling notation for use in user-driven co-evolution.

5.2.3 OMG Human-Usable Textual Notation

The HUTN specification states its primary design goal as human-usability and "this is achieved through consideration of the successes and failures of common programming languages" [OMG 2004, Section 2.2]. The HUTN specification refers to two studies of programming language usability to justify design

²http://www.kermeta.org/sintaks/

decisions, but, because no reference implementation exists, the OMG specification does not evaluate the human-usability of the notation. As HUTN is optimised for human-usability, using HUTN rather than XMI for user-driven co-evolution should lead to increased developer productivity. This claim is explored in Chapter 6.

Like the generic metamodel presented in Section 5.1, HUTN is a metamodel-independent syntax for MOF. However, the OMG HUTN specification focuses on concrete syntax, whereas the metamodel-independent syntax presented in Section 5.1 focuses on abstract syntax. In this section, the key features of HUTN are introduced, and the sequel presents a new reference implementation of HUTN. Throughout the remainder of this section, the original families metamodel (Figure ??) is used to illustrate the notation.

Basic Notation

Listing 5.4 shows the construction of an *object* (an instance of a metamodel class) in OMG HUTN, here an instance of the Family class from Figure 5.15. Line 1 specifies the metamodel *package* containing the metamodel classes that can be instantiated by this model (FamilyPackage). A package declaration in OMG HUTN is equivalent to a namespace import at the start of an XMI document (e.g. line 2 of Listing 5.3). In Listing 5.4, line 2 names the metamodel class to be instantiated (Family) and gives an identifier for the object (The Smiths). Lines 3 to 7 define *attribute values*; in each case, the data value is assigned to the attribute with the specified name. The encoding of the value depends on its type: strings are delimited by any form of quotation mark; multi-valued attributes use comma separators, etc.

The metamodel in Figure ?? has a *simple reference* (familyFriends) and two *containment references* (adoptedChildren; naturalChildren). The OMG HUTN representation embeds a contained object directly in the parent object, as shown in Listing 5.5. A simple reference can be specified using the type and identifier of the referred object, as shown in Listing 5.6. Like attribute values, both styles of reference are preceded by the name of the meta-feature.

```
1
   FamilyPackage "families" {
2
      Family "The Smiths" {
3
         nuclear: true
         name: "The Smiths"
4
         averageAge: 25.7
5
         numberOfPets: 2
6
         address: "120 Main Street", "37 University Road"
7
8
      }
```

Listing 5.4: Specifying attributes with HUTN, taken from [Rose et al. 2008a]

Listing 5.5: Specifying a containment reference with HUTN, taken from [Rose $et\ al.\ 2008a$]

```
1 FamilyPackage "families" {
2    Family "The Smiths" {
3     familyFriends: Family "The Does"
4    }
5    Family "The Does" {}
6  }
```

Listing 5.6: Specifying a simple reference with HUTN, taken from $[Rose\ et\ al.\ 2008a]$

Keywords and Adjectives

In general, a metamodel-independent syntax (such as OMG HUTN) will not be as concise as a metamodel-specific concrete syntax. However, OMG HUTN does define optional syntactic shortcuts to make model specifications more compact. The OMG HUTN specification aims to make the syntactic shortcuts intuitive [OMG 2004, pg2-4].

Two of the syntactic shortcuts relate to Boolean-valued attributes and are now discussed; a complete list of syntactic shortcuts is given in [OMG 2004]. OMG HUTN permits the use of an attribute name to represent the value true, or the attribute name prefixed with a tilde to represent the value false). When used in the body of the object, this style of Boolean-valued attribute represents a *keyword*. A keyword used to prefix an object declaration is called an *adjective*. Listing 5.7 shows the use of both an attribute keyword ("nuclear on line 6) and adjective ("migrant on line 2), and states that The Smiths are migrant and that The Does are not nuclear.

9

Listing 5.7: Using keywords and adjectives in HUTN, taken from [Rose $et\ al.\ 2008a$]

Alternative Reference Syntax

In addition to the syntax defined in Listings 5.5 and 5.6, OMG HUTN defines two alternative syntactic constructs for specifying the value of references. For example, Listing 5.9 demonstrates the use of a reference block for defining The Does as friends with both The Smiths and The Bloggs.

```
1 FamilyPackage "families" {
2    Family "The Smiths" {}
3    Family "The Does" {}
4    Family "The Bloggs" {}
5
6    familyFriends {
7     "The Does" "The Smiths"
8     "The Does" "The Bloggs"
9    }
10 }
```

Listing 5.8: Using a reference block in HUTN, taken from [Rose et al. 2008a]

Listing 5.10 illustrates a further alternative syntax for references, which employs an infix notation.

```
1 FamilyPackage "families" {
2    Family "The Smiths" {}
3    Family "The Does" {}
4    Family "The Bloggs" {}
5
6    Family "The Smiths" familyFriends Family "The Does";
7    Family "The Smiths" familyFriends Family "The Bloggs";
8  }
```

Listing 5.9: Using an infix reference in HUTN, taken from [Rose et al. 2008a]

The reference block (Listing 5.9) and infix (Listing 5.10) notations are syntactic variations on – and have identical semantics to – the reference notation shown in Listings 5.5 and 5.6.

Customisation via Configuration

The OMG HUTN specification allows some limited, metamodel-specific customisation of the notation, using *configuration files*. Customisations include a parametric form of object instantiation; renaming of metamodel elements;

specifying the default value of a feature; and providing a default identifier for classes of object.

5.2.4 Reference Implementation: Epsilon Human-Usable Textual Notation

To investigate the extent to which HUTN can be used during user-driven co-evolution, an implementation, Epsilon HUTN, was constructed. This section describes the way in which Epsilon HUTN was implemented using a combination of model-management operations. From text conforming to the HUTN syntax (described above), Epsilon HUTN produces an equivalent model that can be managed with the Eclipse Modeling Framework (EMF) [Steinberg et al. 2008]. The sequel demonstrates the way in which Epsilon HUTN can be used for user-driven co-evolution.

Implementation of Epsilon HUTN

Epsilon HUTN, makes extensive use of the Epsilon model management platform, which was introduced in Section 2.3.2. Epsilon provides infrastructure for implementing uniform and interoperable model management languages, for performing tasks such as model merging, model transformation and inter-model consistency checking. Epsilon HUTN is implemented using the model-to-model transformation (ETL),model-to-text transformation (EGL) and model validation (EVL) languages of Epsilon. Although any languages for model-to-model transformation (M2M), model-to-text transformation (M2T) and model validation could have been used, Epsilon's existing domain-specific languages are tightly integrated and inter-operable, making it feasible to chain model management operations together to implement Epsilon HUTN.

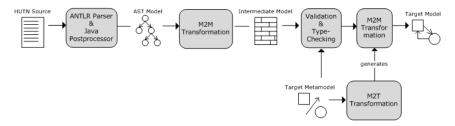


Figure 5.15: The architecture of Epsilon HUTN.

Figure 5.16 outlines the workflow through Epsilon HUTN, from HUTN source text to instantiated target model. The HUTN model specification is parsed to an abstract syntax tree using a HUTN parser specified in ANTLR [Parr 2007]. From this, a Java postprocessor is used to construct an instance of

a simple AST metamodel (which comprises two meta-classes, Tree and Node). Using ETL, M2M transformations are then applied to produce an intermediate model, which is an instance of the generic metamodel discussed in Section 5.1. Finally, a M2T transformation on the target metamodel, specified in EGL, produces a further M2M transformation, which consumes the intermediate model and produces the target model.

The workflow uses an extension of the generic metamodel defined in Section 5.1. Because the HUTN specification allows the use of packages, an extra element, PackageObject, was added to the generic metamodel. A PackageObject has a type, an optional identifier and contains any number of Objects. To avoid confusion with PackageObjects, the Object class in the generic metamodel was renamed to ClassObject.

Using two M2M transformation stages with the (extended) generic metamodel as an intermediary has two advantages. Firstly, the form of the AST metamodel is not suited to a one-step transformation. There is a mismatch between the features of the AST metamodel and the needs of the target model – for example, between the Node class in the AST metamodel and classes in the target metamodel. If a one-step transformation were used, each transformation rule would need a lengthly guard statement, which is hard to understand and verify. Secondly, Section 5.1 discussed a mechanism for binding XMI to the generic metamodel, which can be used in conjunction with the latter half of the Epsilon HUTN workflow (Figure 5.16) to generate HUTN from XMI. This process is discussed further in Section 5.2.4.

Throughout the remainder of this section, instances of the generic metamodel producing during the execution of the HUTN workflow are termed an *intermediate model*. The two M2M transformations are now discussed in depth, along with a model validation phase which is performed prior to the second transformation.

AST Model to Intermediate Model Epsilon HUTN uses ETL [Kolovos et al. 2008b] for specifying M2M transformation. One of the transformation rules from Epsilon HUTN is shown in Listing 5.11. The rule transforms a name node in the AST model (which could represent a package or a class object) to a package object in the intermediate model. The guard (line 5) specifies that a name node will only be transformed to a package object if the node has no parent (i.e. it is a top-level node, and hence a package rather than a class). The body of the rule states that the type, line number and column number of the package are determined from the text, line and column attributes of the node object. On line 11, a containment slot is instantiated to hold the children of this package object. The children of the node object are transformed to the intermediate model (using a built-in method, equivalent()), and added to the containment slot.

¹ rule NameNode2PackageObject

```
transform n : AntlrAst!NameNode
      to p : Intermediate!PackageObject {
3
4
5
      quard : n.parent.isUndefined()
6
      p.type := n.text;
      p.line := n.line;
      p.col := n.column;
10
11
      var slot := new Intermediate!ContainmentSlot;
      for (child in n.children) {
12
13
          slot.objects.add(child.equivalent());
14
      if (slot.objects.notEmpty()) {
15
          p.slots.add(slot);
16
17
       }
18
```

Listing 5.10: Transforming Nodes to PackageObjects with ETL.

Intermediate Model Validation An advantage of the two-stage transformation is that contextual analysis can be specified in an abstract manner – that is, without having to express the traversal of the AST. This gives clarity and minimises the amount of code required to define syntatic constraints.

Listing 5.11: A constraint (in EVL) to check that all identifiers are unique.

Epsilon HUTN uses EVL [Kolovos et al. 2009] to specify verification, resulting in highly expressive syntactic constraints. An EVL constraint comprises a guard, the logic that specifies the constraint, and a message to be displayed if the constraint is not met. For example, Listing 5.12 specifies the constraint that every HUTN class object has a unique identifier.

In addition to the syntactic constraints defined in the HUTN specification, the conformance constraints described in Section 5.1 are also specified in EVL and are also executed on the model at this stage.

Intermediate Model to Target Model When the intermediate model conforms to the target metamodel, the intermediate model can be transformed

to an instance of the target metamodel. In generating the target model from the intermediate model (Figure 5.16), the transformation uses information from the target metamodel, such as the names of classes and features. A typical approach to this category of problem is to use a higher-order transformation on the target metamodel to generate the desired transformation. Epsilon HUTN uses a different approach: the transformation to the target model is produced by executing a M2T transformation on the target metamodel. EGL [Rose et al. 2008b] is a template-based M2T language. [% %] tag pairs are used to denote dynamic sections, which may produce text when executed. Any code not enclosed in a [% %] tag pair is included verbatim in the generated text.

Listing 5.13 shows part of the M2T transformation used by HUTN. The M2T transformation generates a M2M transformation which specifies the way in which the intermediate model is transformed to the target model. The loop beginning on line 1 of Listing 5.13 iterates over each meta-class in the target metamodel, producing a M2M transformation rule. The generated transformation rule consumes a class objects in the intermediate model and produces an element of the target model. The guard of the generated transformation rule (line 6) ensures that only class objects with a type equal to the current meta-class are transformed by the generated rule. To generate the body of the rule, the M2T transformation iterates over each structural feature of the current meta-class, and generates appropriate transformation code for populating the values of each structural feature from the slots on the class object in the intermediate model. The part of the M2T transformation that generates the body of M2M transformation rule is omitted in Listing 5.13 because it contains a large amount of code for interacting with EMF, which is not relevant to this discussion.

```
1 [% for (class in EClass.allInstances()) { %]
2 rule Object2[%=class.name%]
3  transform o : Intermediate!ClassObject
4  to t : Model![%=class.name%] {
5
6  guard: o.type = `[%=class.name%]'
7
8  -- body omitted
9  }
10 [% } %]
```

Listing 5.12: Part of the M2T transformation (in EGL) for generating the intermediate model to target model transformation (in ETL).

For example, executing the M2T transformation in Listing 5.13 on the Families metamodel (Figure 5.15) generates the two M2M transformation rules shown in Listing 5.14. The rules produce instances of Family and Person from instances of ClassObject in the intermediate model. The body of each rule

copies the values from the slots of the ClassObject to the Family or Person in the target model. Lines 7-9, for example, copy the value of the name slot (if one is specified) to the target Family.

```
1 rule Object2Family
     transform o : Intermediate!ClassObject
     to t : Model!Family {
3
4
       quard: o.type = 'Family'
5
6
       if (o.hasSlot('name')) {
        t.name := o.findSlot('name').values.first;
10
11
      if (o.hasSlot('address')) {
        for (value in o.findSlot('address').values) {
12
13
          t.address.add(value);
14
        }
15
       }
17
       -- remainder of body omitted
18
19
20
   rule Object2Person
21
     transform o : Intermediate!ClassObject
     to t : Model!Person {
22
      guard: o.type = 'Person'
24
25
26
      if (o.hasSlot('name')) {
27
        t.name := o.findSlot('name').values.first;
28
29
       -- remainder of body omitted
30
31
```

Listing 5.13: The M2M transformation generated for the Families metamodel

Presently, Epsilon HUTN can be used only to generate EMF models. Support for other modelling languages would require different transformations between intermediate and target model. In other words, for each target modelling language, a new EGL template would be required. The transformation from AST to intermediate model is independent of the target modelling language and would not need to change.

5.2.5 Migration with HUTN

Used in combination with the metamodel-independent syntax presented in Section 5.1, Epsilon HUTN facilitates user-driven co-evolution. To this end, Epsilon HUTN provides development tools (menu items and user-interface wizards) to realise the workflow shown in Figure 5.17, which provides an alternative to the user-driven co-evolution workflow observed in Section 4.2.2. (The workflow in Figure 5.17 assumes a graphical model editor, such as those generated by GMF, but any editor built atop EMF will exhibit the same behaviour). First, the user attempts to load a model in the graphical editor. If the model is non-conformant and cannot be loaded, the user clicks the "Generate HUTN" menu item, and the model is loaded with the metamodelindependent syntax and then a HUTN representation of the model is generated by Epsilon HUTN. The generated HUTN is presented in an editor that automatically reports conformance problems using the metamodel-independent syntax. The user edits the HUTN to reconcile conformance problems, and the conformance report is automatically updated as the user edits the model. When the conformance problems are fixed, XMI for the conformant model is automatically generated by Epsilon HUTN, and migration is complete. The model can then be loaded in the graphical editor.

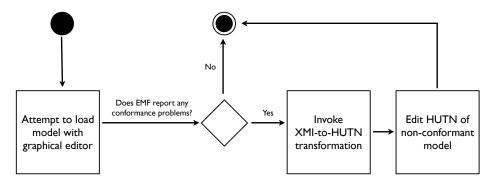


Figure 5.16: User-driven co-evolution with dedicated structures

To demonstrate the way in which HUTN can be used to perform migration, the exemplar XMI shown in Listing 5.3 is represented using HUTN in Listing 5.15. Recall that the XMI describes three Persons, Franz, Julie and Hermann. Julie and Hermann are the mother and father of Franz.

```
Persons "kafkas" {

Person "Franz" { name: "Franz" }

Person "Julie" { name: "Julie" }

Person "Hermann" { name: "Hermann" }

Person "Franz" mother Person "Julie";

Person "Franz" father Person "Hermann";
```

3 }

Listing 5.14: HUTN for people with mothers and fathers.

Note that, by using a configuration file to specify that a Person's name is taken from its identifier, the body of the Person objects could be omitted.

If the Persons metamodel now evolves such that mother and father are merged to form a parents reference, Epsilon HUTN reports conformance problems on the HUTN document, as illustrated by the screenshot in Figure 5.18.

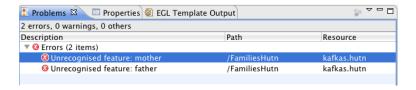


Figure 5.17: Conformance problem reporting in Epsilon HUTN.

Resolving the conformance problems requires the user to change the feature named in the infix associations from mother (father) to parents. The Epsilon HUTN development tools provide content assistance, which might be useful in this situation. Listing 5.16 shows a HUTN document that conforms to the metamodel defining parents rather than mother and father.

```
Persons "kafkas" {
    Person "Franz" { name: "Franz" }
    Person "Julie" { name: "Julie" }
    Person "Hermann" { name: "Hermann" }
}
Person "Franz" parents Person "Julie";
Person "Franz" parents Person "Hermann";
}
```

Listing 5.15: HUTN for people with parents.

5.2.6 Limitations

During the development of Epsilon HUTN, two primary limitations of the notation became apparent. The first relates to the nature of a metamodel-independent syntax, and the latter to the suitability of HUTN for performing user-driven co-evolution.

Generic vs specific concrete syntax

Notwithstanding the power of genericity, there are situations where a metamodel-specific concrete syntax is preferable. An example of where HUTN is unhelpful

arose when developing a metamodel for the recording of failure behaviour of components in complex systems, based on the work of [Wallace 2005].

Failure behaviours comprise a number of expressions that specify how each component reacts to system faults, and there is an established concrete syntax for expressing failure behaviours. The failure syntax allows various shortcuts, such as the use of underscore to denote a wildcard. For example, the syntax for a possible failure behaviour of a component that receives input from two other components (on the left-hand side of the expression), and produces output for a single component is denoted:

$$(\{ _ \}, \{ _ \}) \rightarrow (\{late\}) \tag{5.1}$$

The above expression is written using a domain-specific syntax. In HUTN, the specification of these behaviours is less concise. For example, Listing 5.17 gives the HUTN syntax for failure behaviour (5.1), above.

```
Behaviour {
2
       lhs: Tuple {
3
          contents: IdentifierSet { contents: Wildcard {} },
                    IdentifierSet { contents: Wildcard {} }
4
5
6
7
       rhs: Tuple {
8
          contents: IdentifierSet { contents: Fault "late" {} }
9
10
   }
```

Listing 5.16: Failure behaviour specified in HUTN.

The domain-specific syntax exploits two characteristics of failure expressions to achieve a compact notation. Firstly, structural domain concepts are mapped to symbols: tuples to parentheses and identifier sets to braces. Secondly, little syntactic sugar is needed for many domain concepts, as they define only one feature: a fault is referred to only by its name, the contents of identifier sets and tuples are separated using only commas.

In general, HUTN is less concise than a domain-specific syntax for metamodels containing a large number of classes with few attributes, and in cases where most attributes are used to define structural relationships among concepts. However, a domain-specific syntax is specified using metamodel concepts and hence can be affected by metamodel evolution.

Optimised XMI omits type information

When HUTN is used for user-driven co-evolution, non-conformant models (represented in XMI) are transformed to HUTN source. The default EMF serialisation mechanism is configure to reduce the size of models on disk and

consequently the XMI produced by EMF omits type information when it may be inferred from a metamodel. This is problematic for managing co-evolution because, when a metamodel evolves, type information might be erased. The implementation of Epsilon HUTN has been extended to account for optimised XMI, and reports type inference errors along with conformance problems.

5.2.7 Summary

In this section, HUTN was introduced and its syntax described. An implementation of HUTN for EMF, built atop Epsilon, was discussed. Integration of HUTN for the metamodel-independent syntax discussed in Section 5.1 facilitates user-driven co-evolution with a textual modelling notation other than XMI, as demonstrated by the example above. The remainder of this chapter focuses on developer-driven co-evolution, in which model migration strategies are executable.

5.3 Analysis of Languages used for Migration

In contrast to the previous section, this section focuses on developer-driven coevolution, in which migration is specified as a program that metamodel users execute to migrate their models. Section 4.2.3 discussed existing approaches to model migration, highlighting variation in the languages used for specifying migration strategies. In this section, the results of comparing migration strategy languages are described, using a new example of metamodel evolution (Section 5.3.1). From the comparison, requirements for a domain-specific language for specifying and executing model migration strategies are derived (Section 5.3.3). The sequel describes an implementation of a model migration language based on the analysis presented here. The work described in this section has been published in [Rose et al. 2010f].

5.3.1 Co-Evolution Example

This section uses the Petri net metamodel evolution to compare model migration languages. The example is also used in co-evolution literature [Cicchetti et al. 2008, Garcés et al. 2009, Wachsmuth 2007].

In Figure 5.19(a), a Petri Net is defined to comprise Places and Transitions. A Place has any number of src or dst Transitions. Similarly, a Transition has at least one src and dst Place. The metamodel is to be evolved to support weighted connections between Places and Transitions and between Transitions and Places, as shown in Figure 5.19(b). Places are connected to Transitions via instances of PTArc. Likewise, Transitions are connected to Places via TPArc. Both PTArc and TPArc inherit from Arc, and therefore can be used to specify a weight.

Models that conform to the original metamodel might not conform to the evolved metamodel. The following strategy can be used to migrate models from the original to the evolved metamodel:

1. For every instance, t, of Transition:

For every Place, s, referenced by the src feature of t:

Create a new instance, arc, of PTArc.

Set s as the src of arc.

Set t as the dst of arc.

Add arc to the arcs reference of the Net referenced by t.

For every Place, d, referenced by the dst feature of t:

Create a new instance, arc, of TPArc.

Set t as the src of arc.

Set d as the dst. of arc.

Add arc to the arcs reference of the Net referenced by t.

2. And nothing else changes.

5.3.2 Existing Model Migration Languages

Using the above example, the existing approaches for specifying and executing model migration strategies are now compared. From this comparison, the strengths and weakness of each approach are highlighted and requirements for a model migration language are synthesised in the sequel.

Manual Specification with M2M Transformation

Model migration can be specified using M2M transformation. For example, the Petri net migration has been specified in the Atlas Transformation Language (ATL) [Jouault & Kurtev 2005]. This is reproduced in Listing 5.18. Rules for migrating Places and TPArcs have been omitted for brevity, but are similar to the Nets and PTArcs rules.

Model transformation in ATL is specified using rules, which transform source model elements (specified using the from keyword) to target model elements (specified using to keyword). For example, the Nets rule on line 1 of Listing 5.18 transforms an instance of Net from the original (source) model to an instance of Net in the evolved (target) model. The source model element (the variable o in the Net rule) is used to populate the target model element (the variable m). ATL allows rules to be specified as *lazy* (not scheduled automatically and applied only when called by other rules).

The Transitions rule in Listing 5.18 codifies in ATL the migration strategy described previously. The rule is executed for each Transition in

the original model, o, and constructs a PTArc (TPArc) for each reference to a Place in o.src (o.dst). Lazy rules must be used to produce the arcs to prevent circular dependencies with the Transitions and Places rules. Here, ATL, a typical rule-based transformation language, is considered and model migration would be similar in QVT. With Kermeta, migration would be specified in an imperative style using statements for copying Nets, Places and Transitions, and for creating PTArcs and TPArcs.

```
rule Nets {
2
     from o : Before!Net
3
     to m : After!Net ( places <- o.places, transitions <- o.transitions )</pre>
4
5
6
   rule Transitions {
7
     from o : Before!Transition
8
     to m : After!Transition (
9
        name <- o.name,
10
        "in" <- o.src->collect(p | thisModule.PTArcs(p,o)),
        out <- o.dst->collect(p | thisModule.TPArcs(o,p))
11
12
13
   }
14
15
   unique lazy rule PTArcs {
     from place : Before!Place, destination : Before!Transition
16
17
     to ptarcs : After!PTArc (
        src <- place, dst <- destination, net <- destination.net</pre>
18
19
20
```

Listing 5.17: Fragment of the Petri nets model migration in ATL, taken from [Rose $et\ al.\ 2010f$]

In model transformation, [Czarnecki & Helsen 2006] identifies two common categories of relationship between source and target model, new-target and existing-target. In the former, the target model is constructed afresh by the execution of the transformation, while in the latter, the target model contains the same data as the source model before the transformation is executed. M2M transformation languages typically support new-target transformations. Some M2M transformation languages also support existing-target transformations, but typically require the source and target metamodel to be identical.

In model migration, source and target metamodels differ, and hence existing-target transformations cannot be used to specify model migration strategies. Consequently, model migration strategies are specified with new-target model-to-model transformation languages, and often contain sections for copying from original to migrated model those model elements that have not been affected by metamodel evolution. For the Petri nets example, the Nets rule (in Listing 5.18) and the Places rule (not shown) exist only for this reason.

Manual Specification with a Metamodel Mapping

Model migration can be undertaken using the model loading mechanisms of EMF [Hussey & Paternostro 2006], with a tool that is termed *Ecore2Ecore* here. The default model loading mechanism provided by EMF binds models to their metamodel (Section 4.2.1), and hence cannot be used to load models that have been affected by metamodel evolution. Therefore, Ecore2Ecore requires the metamodel developer to provide a mapping between the metamodelling language of EMF (Ecore) and the concrete syntax used to persist models (XMI). Mappings are specified using Hussey and Paternostro's tool, which can suggest relationships between source and target metamodel elements by comparing names and types. For the Petri nets example, Figure 5.20 shows mappings between the original and evolved metamodels.

The mappings are used by the EMF XMI parser to determine the metamodel types to which pieces of the XMI will be bound. When a type or feature is not bound, the user must specify a custom migration strategy in Java. For the Petri nets metamodel, the src and dst features of Place and Transition are not bound, because migration is more complicated than a one-to-one mapping.

In Ecore2Ecore, model migration is specified on the XMI representation of the model and requires some knowledge of the XMI standard. For example, in XMI, references to other model elements are serialised as a space delimited collection of URI fragments [Steinberg et al. 2008]. Listing 5.19 shows a fragment of the code used to migrate Petri net models with Ecore2Ecore. The method shown converts a String containing URI fragments to a Collection of Places. The method is used to access the src and dst features of Transition, which no longer exist in the evolved metamodel and hence are not loaded automatically by EMF. To specify the migration strategy for the Petri nets example, the metamodel developer must know the way in which the src and dst features are represented in XMI. The complete listing, not shown here, exceeds 200 lines of code.

```
private Collection<Place> toCollectionOfPlaces
   (String value, Resource resource) {
3
     final String[] uriFragments = value.split("_");
     final Collection<Place> places = new LinkedList<Place>();
5
6
     for (String uriFragment : uriFragments) {
7
      final EObject eObject = resource.getEObject(uriFragment);
      final EClass place = PetriNetsPackage.eINSTANCE.getPlace();
9
10
11
      if (eObject == null || !place.isInstance(eObject))
        // throw an exception
12
```

```
13
14     places.add((Place)eObject);
15   }
16
17   return places;
18 }
```

Listing 5.18: Java method for deserialising a reference.

Operator-based Co-evolution with COPE

Operator-based approaches to managing co-evolution, such as COPE [Herrmannsdoerfer et al. 2009a], provide a library of co-evolutionary operators. Each co-evolutionary operator specifies both a metamodel evolution and a corresponding model migration strategy. For example, the "Make Reference Containment" operator from COPE [Herrmannsdoerfer et al. 2009a] evolves the metamodel such that a non-containment reference becomes a containment reference and migrates models such that the values of the evolved reference are replaced by copies. By composing co-evolutionary operators, metamodel evolution can be performed and a migration strategy can be generated without writing any code.

To perform metamodel evolution using an operator-based approach, the library of co-evolutionary operators must be integrated with tools for editing metamodels. COPE provides integration with the EMF tree-based metamodel editor. Operators may be applied to an EMF metamodel, and COPE tracks their application. Once metamodel evolution is complete, a migration strategy can be generated automatically from the record of changes maintained by COPEs. The migration strategy is distributed along with the updated metamodel, and metamodel users choose when to execute the migration strategy on their models.

To be effective, operator-based approaches must provide a rich yet navigable library of co-evolutionary operators (Section 4.2.3). COPE allows model migration strategies to be specified manually when no co-evolutionary operator is appropriate. COPE employs a fundamentally different approach to M2M transformation and Ecore2Ecore, using an existing-target transformation. As discussed above, existing-target transformations cannot be used for specifying model migration strategies as the source (original) and target (evolved) metamodels differ. However, models can be structured independently of their metamodel using a metamodel-independent syntax (such as the one introduced in Section 5.1).

Listing 5.20 shows the COPE model migration strategy for the Petri net example given above³. Most notably, slots for features that no longer exist

 $^{^{3}}$ In Listing 5.20, some of the concrete syntax has been changed in the interest of readability.

must be explicitly unset. In Listing 5.20, slots are unset on four occasions (on lines 2, 9, 18 and 19), once for each feature that is in the original metamodel but not in the evolved metamodel. These features are: src and dst of Transition and of Place. Failing to unset slots that do not conform with the evolved metamodel causes migration to fail with an error.

```
1
   for (transition in petrinets.Transition.allInstances) {
2
     for (source in transition.unset('src')) {
3
      def arc = petrinets.PTArc.newInstance()
      arc.src = source
4
      arc.dst = transition
      arc.net = transition.net
6
7
8
9
     for (destination in transition.unset('dst')) {
10
      def arc = petrinets.TPArc.newInstance()
11
      arc.src = transition
12
     arc.dst = destination
      arc.net = transition.net
13
14
   }
15
16
17
   for (place in petrinets.Place.allInstances) {
     place.unset('src')
18
19
     place.unset('dst')
20
```

Listing 5.19: Petri nets model migration in COPE

5.3.3 Requirements Identification

Requirements for a domain-specific for model migration were identified from the review of existing languages (Section 5.3.2). The derivation of the requirements is now summarised, by considering two orthogonal concerns: the source-target relationship of the language used for specifying migration strategies and the way in which models are represented during migration.

Source-Target Relationship Requirements

When migration is specified as a new-target transformation, as in ATL (Listing 5.18), model elements that have not been affected by metamodel evolution must be explicitly copied from the original to the migrated model. When migration is specified as an existing-target transformation, as in COPE (Listing 5.20), model elements and values that no longer conform to the target metamodel must be explicitly removed from the migrated model. Ecore2Ecore does not require explicit copying or unsetting code; instead, the relationship

between original and evolved metamodel elements is captured in a mapping model specified by the metamodel developer. The mapping model can be derived automatically and customised by the metamodel developer. To explore the appropriateness for model migration of an alternative to new- and existing-target transformations, the following requirement was derived:

The migration language must **automatically** copy every model element that conforms to the evolved metamodel from original to migrated model, and must automatically not copy any model element that does not conform to the evolved metamodel from original to migrated model.

Model Representation Requirements

With Ecore2Ecore, migration is achieved by manipulating XMI. Consequently, the metamodel developer must be familiar with XMI and must perform tasks such as dereferencing URI fragments (Listing 5.19) and type conversion. Transformation languages abstract away from the underlying storage representation of models (such as XMI) by using a modelling framework to load, store and access models. Decoupling a transformation language from the model representation facilitates interoperability with more than one modelling technology, as demonstrated by the languages of the Epsilon platform [Kolovos 2009b]. Consequently, the following requirement was identified:

The migration language must not expose the underlying representation of original or migrated models.

To apply co-evolution operators, COPE requires the metamodel developer to use a specialised metamodel editor. The editor can manipulate only metamodels defined with EMF. Similarly, the mapping tool used in the Ecore2Ecore approach can be used only with metamodels defined with EMF. Although EMF is arguably very widely-used, other modelling frameworks exist. Adapting to interoperate with new systems is recognised as a common reason for software evolution [Sjøberg 1993], and migration between modelling frameworks is as a possible use case for a model migration language. To better support integration with modelling frameworks other than EMF, the following requirement was derived:

The migration language must be loosely coupled with modelling frameworks and must not assume that models and metamodels will be represented in EMF.

5.4 Epsilon Flock: A Model Migration Language

Driven by the analysis presented above, a domain-specific language for model migration, Epsilon Flock (subsequently referred to as Flock), has been designed and implemented. Section 5.4.1 discusses the principle tenets of Flock, which include user-defined migration rules and a novel algorithm for relating source and target model elements. In Section 5.4.2, Flock is demonstrated via

application to three examples of model migration. The work described in this section has been published in [Rose *et al.* 2010f].

5.4.1 Design and Implementation

Flock has been designed to be a rule-based transformation language that mixes declarative and imperative parts. Consequently, Flock should be familiar to developers who have used hybrid-style M2M transformation languages, such as ATL and ETL [Kolovos et al. 2008b]. Flock has a compact syntax. The way in which Flock relates source to target elements is novel; it is neither a new- nor an existing-target relationship. Instead, elements are copied conservatively, as described below.

Like Epsilon HUTN (Section 5.2.3), Flock is built atop Epsilon. In particular, Flock uses EMC to provide interoperability with several modelling frameworks, and EOL for specifying the imperative part of user-defined migration rules.

Abstract Syntax

As illustrated by Figure 5.21, Flock migration strategies are organised into modules (FlockModule). Flock modules inherit from EOL modules (EolModule) and hence provide language constructs for specifying user-defined operations and for re-using modules. Flock modules comprise any number of rules (Rule). Each rule has an original metamodel type (originalType) and can optionally specify a guard, which is either an EOL statement or a block of EOL statements. MigrateRules must specify an evolved metamodel type (evolvedType) and/or a body comprising a block of EOL statements.

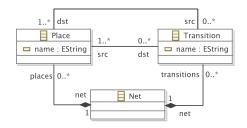
Concrete Syntax

Listing 5.21 shows the concrete syntax of migrate and delete rules. All rules begin with a keyword indicating their type (either migrate or delete), followed by the original metamodel type. Guards are specified using the when keywords. Migrate rules may also specify an evolved metamodel type using the to keyword and a body as a (possibly empty) sequence of EOL statements.

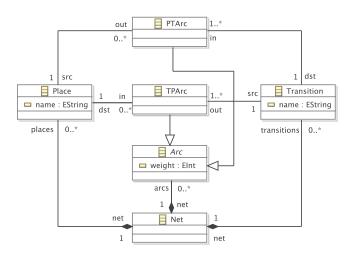
Note that Flock does not define a create rule. The creation of new model elements is instead encoded in the imperative part of a migrate rule specified on the containing type.

Execution Semantics

When executed, a Flock module consumes an original model, O, and constructs a migrated model, O. The transformation is performed in three phases: rule selection, equivalence establishment and rule execution. The behaviour of each



(a) Original metamodel.



(b) Evolved metamodel.

Figure 5.18: Exemplar metamodel evolution. Taken from [Rose et al. 2010f].

```
migrate <originalType> (to <evolvedType>)?
(when (:<eolExpression>)|({<eolStatement>+}))? {
      <eolStatement>*
}

delete <originalType>
(when (:<eolExpression>)|({<eolStatement>+}))?
```

Listing 5.20: Concrete syntax of migrate and delete rules.

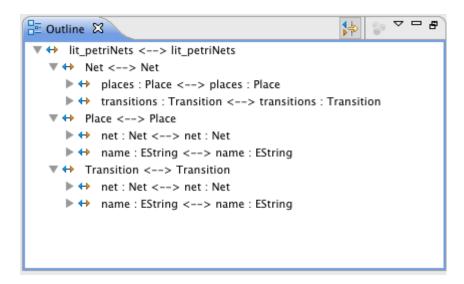


Figure 5.19: Mappings between the original and evolved Petri nets metamodels, constructed with the tool described in [Hussey & Paternostro 2006]

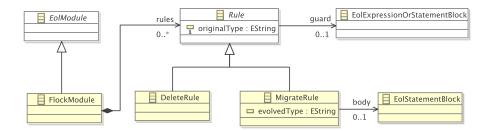


Figure 5.20: The abstract syntax of Flock.

phase is described below, and the first example in Section 5.4.2 demonstrates the way in which a Flock module is executed.

Rule Selection The rule selection phase determines an *applicable* rule for every model element, e, in O. As such, the result of the rule selection phase is a set of pairs of the form <r, e> where r is a migration rule.

A rule, r, is *applicable* for a model element, e, when the original type of r is the same type as (or is a supertype of) the type of e; and the guard part of r is satisfied by e.

The rule selection phase has the following behaviour:

- For each original model element, e, in O:
 - Identify for e the set of all applicable rules, R. Order R by the occurrence of rules in the Flock source file.

- \circ If R is empty, let r be a default rule, which has the type of e as both its original and evolved type, and an empty body.
 - o Otherwise, let r be the first element of R.
 - Add the pair $\langle r, e \rangle$ to the set of selected rules.

Equivalence Establishment The equivalence establishment phase creates an equivalent model element, e', in M for every pair of rules and original model elements, $\langle r, e \rangle$. The equivalent establishment phase produces a set of triples of the form $\langle r, e, e' \rangle$, and has the following behaviour:

- For each pair <r, e> produced by the rule selection phase:
 - If r is a delete rule, do nothing.
 - − If r is a migrate rule:
 - \circ Create a model element, e', in M. The type of e' is determined from the the evolvedType (or the originalType when no evolvedType has been specified) of r.
 - \circ Copy the data contained in \in to \in ' (using the *conservative copy* algorithm described in the sequel).
 - Add the triple <r, e, e'> to the set of equivalences.

Rule Execution The final phase executes the imperative part of the user-defined migration rules on the set of triples $\langle r, e, e' \rangle$, and has the following behaviour:

- For each triple <r, e, e'> produced by the equivalence establishment phase:
 - Bind e and e' to EOL variables named original and migrated, respectively.
 - Execute the body of r with EOL.

Conservative Copy

Flock contributes a novel algorithm, termed *conservative copy*, that copies model elements from original to migrated model only when those model elements conform to the evolved metamodel. Conservative copy is a hybrid of the new- and existing-target source-target relationships that are commonly used in M2M transformation [Czarnecki & Helsen 2006].

Conservative copy operates on an original model element, e, and its equivalent model element in the migrated model, e', and has the following behaviour:

- For each metafeature, f for which e has specified a value:
 - Find a metafeature, f', of e' with the same name as f.
 - If no equivalent metafeature can be found, do nothing.
 - o Otherwise, copy the original value (e.f) to produce a migrated value (e'.f') if and only if the migrated value conforms to f'.

The definition of conformance varies over modelling frameworks. Typically, conformance between a value, v, and a feature, f, specifies at least the following constraints:

- The size of v must be greater than or equal to the lowerbound of f.
- The size of v must be less than or equal to the upperbound of f.
- The type of v must be the same as or a subtype of the type of f.

EMC provides drivers for several modelling frameworks, permitting management of models defined with EMF, the Metadata Repository (MDR), Z or XML. To support migration between metamodels defined in heterogenous modelling frameworks, EMC has been extended to include a conformance checking service; each EMC driver provides conformance checking semantics specific to its modelling framework. Specifically, EMC define Java interfaces for specifying the way in which model values are written to a model, and an additional, conformance-checking Java method has been added to the interface. When a Flock module is executed, conformance checking responsibilities are delegated to EMC drivers by calling the new method.

In response to some types of metamodel evolution, some categories of model value must be converted before being copied from the original to the migrated model. Again, the need for and semantics of this conversion varies over modelling frameworks. For example, reference values typically require conversion before copying because, once copied, they must refer to elements of the migrated rather than the original model. In this case, the set of equivalences ($\langle r, e, e' \rangle$) can be used to perform the conversion. In other cases, the target modelling framework must be used to perform the conversion, such as when EMF enumeration literals are copied.

Development and User Tools

As discussed in Section 4.2, models and metamodels are typically kept separate. Flock migration strategies can be distributed by the metamodel developer in two ways. An extension point defined by Flock provides a generic user interface for migration strategy execution. Alternatively, metamodel developers can integrate model migration with other tools by accessing FlockModule

```
2 migrate ConnectionPoint to WritingConnectionPoint when: original.
    incoming.isDefined()
```

Listing 5.21: Redefining equivalences for the Component model migration.

programmatically. The latter approach facilities interoperability with, for example, model and source code management systems, and was used to provide a workflow architecture for the Epsilon languages in [Kolovos 2009b].

5.4.2 Examples of Flock Migration

Flock is now demonstrated using three examples of model migration. The first example demonstrates the way in which a Flock module is executed and illustrates the semantics of conservative copy. The second describes the way in which the migration of the Petri net co-evolution example (Section 5.3.1) can be specified with Flock, and is included for direct comparison with the other languages discussed in Section 5.3. The final, larger example demonstrates all of the features of Flock, and is based on changes made to UML class diagrams between versions 1.5 and 2.0 of the UML specification.

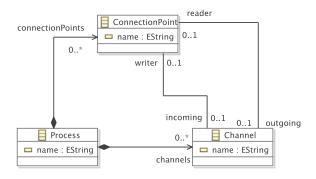
Process-Oriented Migration in Flock

The first example considers the evolution of a process-oriented metamodel, introduced in 4.1.3 and described in . The process-oriented metamodel was developed to explore the feasibility of a graphical model editor for representing programs written in process-oriented programming languages, such as occam π [Welch & Barnes 2005].

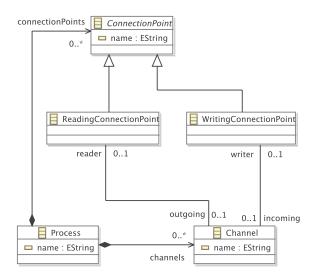
The original metamodel, shown in Figure 5.22(a), has been evolved to distinguish between ConnectionPoints that are a reader for a Channel and ConnectionPoints that are a writer for a Channel by making ConnectionPoint abstract and introducing two subtypes, ReadingConnectionPoint and WritingConnectionPoint, as shown in Figure 5.22(b).

The model shown in Figure 5.23 conforms to the original metamodel in Figure 5.22(a) and is to be migrated. The model comprises three Processes named *delta*, *prefix* and *minus*; three Channels named a, b and c; and six ConnectionPoints named a?, a!, b?, b!, c? and c!.

For the migration strategy shown in Listing 5.22, the Flock module will perform the following steps. Firstly, the rule selection phase produces a set of pairs <r,e>. For each ConnectionPoint, the guard part of the user-defined rules control which rule will be selected. ConnectionPoints a!, b! and c! have outgoing Channels (a, b and c respectively) and hence the migration rule on line 1 is selected. Similarly, the ConnectionPoints a?,



(a) Original metamodel.



(b) Evolved metamodel.

Figure 5.21: Evolution of the Process-Oriented metamodel (Appendix A)

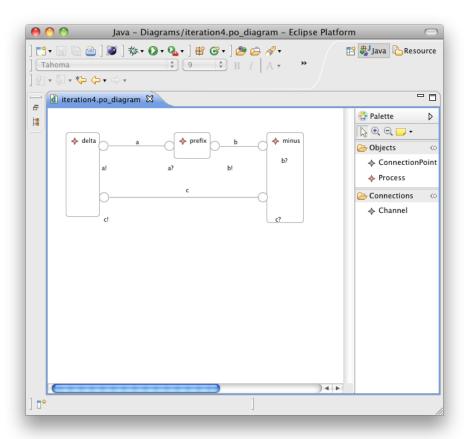


Figure 5.22: Process-Oriented model prior to migration

b? and c? have incoming Channels (a, b and c respectively) and hence the migration rule on line 2 is selected. There is no ConnectionPoint with both an outgoing and an incoming Channel, but if there were, the first applicable rule (i.e. the rule on line 1) would be selected. For the other model elements (the Processes and Channels) no user-defined rules are applicable, and so default rules are used instead. A default rule has an empty body and identical original and evolved types. In other words, a default rule for the Process type is equivalent to the user-defined rule: migrate Process to Process {}

Secondly, the equivalence establishment phase creates an element, e', in the migrated model for each pair <r,e>. For each ConnectionPoint, the evolved type of the selected rule (r) controls the type of e'. The rule on line 1 of Listing 5.22 was selected for the ConnectionPoints a!, b! and c! and hence an equivalent element of type ReadingConnectionPoint is created for a!, b! and c!. Similarly, an equivalent element of type

WritingConnectionPoint is created for a?, b? and c?. For the other model elements (the Processes and Channels) a default rule was selected, and hence the equivalent model element has the same type as the original model element.

Finally, the rule execution phase performs a conservative copy for each original and equivalent model element in the set of triples <r, e, e' > produced by the equivalent establishment phase. The metamodel evolution shown in Figure 5.22 has not affected the Process type, and hence for each Process in the original model, conservative copy will create a Process in the migrated model and copy the values of all features. For each Channel in the original model, conservative copy will create an equivalent Channel in the migrated model and copy the value of the name feature from original to migrated model element. However, the values of the reader and writer features will not be copied by conservative copy because the type of these features has changed (from ConnectionPoint to ReadingConnectionPoint and WritingConnectionPoint, respectively). The values of the reader and writer features in the original model will not conform to the reader and writer features in the evolved metamodel. Finally, the values of the name, incoming and outgoing features of the ConnectionPoint class have not evolved, and hence are copied directly from original to equivalent model elements.

The rule execution phase also executes the body of each rule, r, for every triple in the set $\langle r, e, e' \rangle$. The user-defined rules in Listing 5.22 have no body, and hence no further execution is performed in this case.

Petri Nets Migration in Flock

The Petri net metamodel evolution demonstrates the core functionality of Flock. In Listing 5.23, Nets and Places are migrated automatically. Unlike the ATL migration strategy (Listing 5.18), no explicit copying rules are required. Compared to the COPE migration strategy (Listing 5.20), the Flock migration strategy does not need to unset the original src and dst features of Transition.

```
migrate Transition {
2
     for (source in original.src) {
3
      var arc := new Migrated!PTArc;
4
      arc.src := source.equivalent(); arc.dst := migrated;
      arc.net := original.net.equivalent();
5
6
7
     for (destination in original.dst) {
8
      var arc := new Migrated!TPArc;
9
10
      arc.src := migrated; arc.dst := destination.equivalent();
      arc.net := original.net.equivalent();
11
12
```

13 }

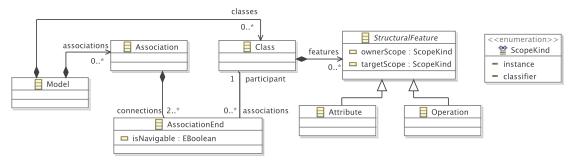
Listing 5.22: Petri nets model migration in Flock

UML Class Diagram Migration in Flock

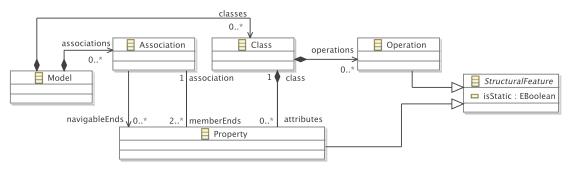
Figure 5.24 illustrates a subset of the changes made between UML 1.5 and UML 2.0. Only class diagrams are considered, and features that did not change are omitted. In Figure 5.24(a), association ends and attributes are specified separately. In Figure 5.24(b), the Property class is used instead. The Flock migration strategy (Listing 5.24) for Figure 5.24 is now discussed.

```
migrate Association {
2
     migrated.memberEnds := original.connections.equivalent();
3
4
5
   migrate Class {
     var fs := original.features.equivalent();
6
     migrated.operations := fs.select(f|f.isKindOf(Operation));
     migrated.attributes := fs.select(f|f.isKindOf(Property));
     migrated.attributes.addAll(original.associations.equivalent())
10
11
12
   delete StructuralFeature when: original.targetScope <> #instance
13
14 migrate Attribute to Property {
15
     if (original.ownerScope = #classifier) {
      migrated.isStatic = true;
16
17
18
  }
19
   migrate Operation {
     if (original.ownerScope = #classifier) {
20
21
      migrated.isStatic = true;
22
23
   }
24
25 migrate AssociationEnd to Property {
26
    if (original.isNavigable) {
27
      original.association.equivalent().navigableEnds.add(migrated)
28
```

Listing 5.23: UML model migration in Flock



(a) Original, UML 1.5 metamodel.



(b) Evolved, UML 2.0 metamodel.

Figure 5.23: UML metamodel evolution

Firstly, Attributes and AssociationEnds are migrated to be Properties (lines 14 to 18, and 25 to 29). In particular, the Association#navigableEnds reference replaces the AssociationEnd#isNavigable attribute; following migration, each navigable AssociationEnd must be referenced via the navigableEnds feature of its Association (lines 26-28).

In UML 2.0, StructuralFeature#ownerScope has been replaced by #isStatic (lines 15-17 and 20-22). The UML 2.0 specification states that the UML 1.5 values ScopeKind#classifier and #instance should be migrated to true and to false, respectively.

The UML 1.5 StructuralFeature#targetScope feature is no longer supported in UML 2.0, and no migration path is provided. Consequently, line 14 deletes any model element whose targetScope is not the default value.

Finally, Class#features has been split to form Class#operations and #attributes. Lines 7 and 8 partition features on the original Class into Operations and Propertys. Class#associations has been removed in UML 2.0, and AssociationEnds are instead stored in Class#attributes (line 9).

Summary

Table 5.4.2 illustrates several characterising differences between Flock and the pre-existing languages presented in Section 5.3. Due to its conservative copying algorithm, Flock is the only language to provide both automatic copying and unsetting. The evaluation presented in Section 6.2 explores the extent to which automatic copying and unsetting affect the conciseness of migration strategies.

All of the approaches considered in Section 5.3 support EMF. Both Flock and ATL support further modelling technologies, such as MDR and XML. However, ATL does not automatically copy model elements that have not been affected by metamodel changes. Therefore, migration between models of different technologies with ATL requires extra statements in the migration strategy to ensure that the conformance constraints of the target technology are satisfied. Because it delegates conformance checking to an EMC driver, Flock requires no such checks.

A more thorough examination of the similarities and differences between Flock and other migration strategy languages is provided by the evaluation presented in Chapter 6.

5.5 Chapter Summary

Three structures for identifying and managing co-evolution have been designed and implemented to approach the thesis requirements outlined in Chapter 4. The way in which modelling frameworks implicitly enforce conformance makes managing non-conformant models challenging, and the proposed metamodel-independent syntax (Section 5.1) extends modelling frameworks to facilitate the management of non-conformant models. The proposed textual modelling notation, Epsilon HUTN (Section 5.2), provides a human-usable notation as an alternative to XMI for performing user-driven co-evolution. Finally, Epsilon Flock (Section 5.4) contributes a domain-specific language for describing model migration.

The metamodel-independent syntax is a modelling framework extension that makes explicit the conformance relationship between models and metamodels. By binding models not to their metamodel but to a generic metamodel, the metamodel-independent syntax allows non-conformant models to be managed with modelling tools and model management operations. Furthermore, conformance checking is provided as a service, which can be scheduled at any time, and not just when models are loaded. The metamodel-independent syntax has been integrated with Concordance [Rose et al. 2010c] to provide a metamodel installation process that automatically reports conformance problems, and underpins the implementation of the second structure described in this chapter, a textual modelling notation.

For performing user-driven co-evolution, the textual modelling notation described in Section 5.2 provides an alternative to XMI. Unlike XMI, the notation introduced in this chapter implements the OMG standard for Human-Usable Textual Notation (HUTN) [OMG 2004] and is optimised for human usability. Epsilon HUTN, introduced here, is presently the sole reference implementation of HUTN. Constructing Epsilon HUTN atop the metamodel-independent syntax allows Epsilon HUTN to provide incremental and background conformance checking, and an XMI-to-HUTN transformation for loading non-conformant models. Section 6.1 explores the benefits and drawbacks of using the metamodel-independent syntax and Epsilon HUTN together to perform user-driven co-evolution.

The domain-specific language described in Section 5.4, Epsilon Flock, combines several concepts from existing model-to-model transformation languages to form a language tailored to model migration. In particular, Flock contributes a novel mechanism for relating source and target model elements termed conservative copy, which is a hybrid of new- and existing-target styles

	Automatic		Modelling
Tool	Copy	Unset	${f technologies}$
Ecore2Ecore	1	Х	XMI
ATL	Х	✓	EMF, MDR, KM3, XML
COPE	1	Х	EMF
Flock	✓	✓	EMF, MDR, XML, Z

Table 5.2: Properties of model migration approaches

of model-to-model transformation. Flock is built atop Epsilon and hence interoperates transparently with several modelling technologies via the Epsilon Model Connectivity layer.

The metamodel-independent syntax, Epsilon HUTN, Epsilon Flock and Concordance have been released as part of Epsilon in the Eclipse GMT⁴ project, which is the research incubator of arguably the most widely used MDE modelling framework, EMF. By re-using parts of Epsilon, the structures were implemented more rapidly than would have been possible when developing the structures independently. In particular, re-using the Epsilon Model Connectivity layer facilitated interoperability of Flock with several MDE modelling frameworks, which was exploited to manage a practical case of model migration in Section 6.4.

⁴http://www.eclipse.org/gmt

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