

Domain-Specific Multi-Agent Dialog Policy Learning in Multi-Domain Task-Oriented Scenarios

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Abstract

Traditional dialog policy learning methods train a generic dialog agent to address all situations. However, when the dialog agent encounters a complicated task that involves more than one domain, it becomes difficult to perform concordant actions due to the hybrid information in the multi-domain ontology. Inspired by a real-life scenario at a bank, there are always several specialized departments that deal with different businesses. In this paper, we propose Domain-Specific Multi-Agent Dialog Policy Learning (DSMADPL), in which the dialog system is composed of a set of agents where each agent represents a specialized skill in a particular domain. Every domain-specific agent is first pretrained with supervised learning using a dialog corpus, and then they are jointly improved with multi-agent reinforcement learning. When the dialog system interacts with the user, in each turn the system action is decided by the actions of relevant agents. Experiments conducted on the commonly used MultiWOZ dataset prove the effectiveness of the proposed method, in which dialog success rate increases from 55.0% for the traditional method to 67.2% for our method in multi-domain scenarios.

Index Terms: task-oriented, dialog policy, domain-specific, multi-agent, reinforcement learning

1. Introduction

In traditional research, a dialog system is usually built in a complex pipeline [1] that contains five components, namely, natural language understanding (NLU) [2, 3], dialog state tracking (DST) [4, 5], dialog policy learning (DPL) [6, 7] and natural language generation (NLG) [8, 9]. Dialog policy, which decides the next system action, plays a decisive role in pipeline structured dialog systems. More recently, dialog modeling has been viewed as a decision-making problem and formulated as a Partially Observable Markov Decision Problem (POMDP) [10, 11], which can be addressed by Reinforcement Learning (RL) [12, 13, 14]. RL based dialog agents require substantial interaction with real users and further adjust policy in an online fashion [15, 16, 8]. Researchers firstly proposed to train a user simulator which learns from large amounts of human conversations to imitate real users and interacts with the dialog agent in an offline scenario Later, to avoid explicitly building the user simulator with heavy domain expertise, some studies have proposed regarding the user simulator as another dialog agent and jointly training the user agent and the system agent [17, 18, 19]. The user agent and the system agent are first bootstrapped by

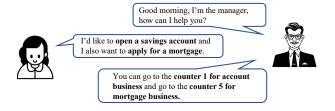


Figure 1: Dialog between a client and a lobby manager at a bank: a real-life scenario.

learning directly from human dialogs with supervised learning, and then they interact with each other in a collaborative pattern, in which the user agent and system agent are trained simultaneously.

In the past, task-oriented dialog agents were applied in simple scenarios which generally concerned only one domain, such as flight booking or restaurant reservation [20]. However, with the development of virtual assistants and smart life, there are widespread demands for dialog agents for application to complex scenarios. Considering a situation in which one is making a travel schedule, a traveler must order flight tickets, book hotels, choose attractions and plan other aspects. With so many subtasks to complete, a dialog agent must acquire multi-domain knowledge to fulfill the user goal. However, with more domains involved in the dialog, there are more contradictions and conflicts crossing the domain ontology. Traditional methods [17, 18, 19] generally train a universal agent to solve all the situations even if the user goal involves multiple domains. Although the universal agent can learn and accomplish tasks in many different domains, if the user and the agent do not share the correct domain information, they may misunderstand each other in many cases since the same words have different meanings in different domains.

To handle the problem mentioned above, in this paper, we propose a multi-agent learning method to train a set of domain-specific agents where each agent concentrates on its own domain ontology and provides relevant domain suggestions to the user. The intuition is from the real-life scenario of going to the bank to transact some business: as presented in Figure 1, the lobby manager will first ask some questions and then tell us to go to specific counters to execute transactions. With professional staffs in their own fields to deal with our business, our questions will receive more specific and more detailed answers. Similar with the above situation, we proposed Domain-Specific Multi-Agent Dialog Policy Learning (DSMADPL) to deal with

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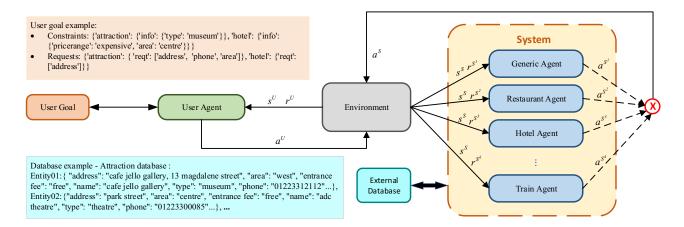


Figure 2: Illustration of the proposed DSMADPL. The user agent interacts with the system based on the user goal. The dialog system is composed of 8 domain-specific agents that concentrate on specific domain knowledge. The system actions at each turn are decided by the related specialized agents.

complex traveling scenarios and demonstrated the effectiveness in the commonly used MultiWOZ [21] dataset, which is a travel booking scenario with multiple domains. Specifically, we design individual dialog agent for each domain of the multi-domain corpus, and these agents first learn their own domain knowledge from the corpus with supervised learning, and then are jointly trained using multi-agent reinforcement learning to achieve maximal multi-domain dialog success. Since the domain-specific agent concentrates on its own domain knowledge, each agent only shares relevant domain information with the user, which avoids confusing messages being conveyed and misunderstood.

2. Domain-Specific Multi-Agent Dialog Policy Learning (DSMADPL)

Our DSMADPL model is illustrated in Figure 2 and consists of two parts: the user agent and the system agent, which is composed of several domain-specific agents. We deal with eight domains but only plot four domain-specific agents in the figure.

2.1. Multi-Domain Dialog Task

In this part, we describe the entire workflow of the multi-domain dialog task. Specifically, the user is first given a goal G=(C,R), where C represents the user constraints and R the user requests, and the system can access an external database DB containing available entities and their corresponding information. Taking the hotel booking task as an example, the constraints given to the user may include finding an expensive hotel in the center of the city, and the requests may include asking for the address of the hotel. When G contains constraints and requests from more than one domain, the dialog becomes complicated and it becomes a multi-domain task. The goal is fulfilled only when all subtasks in each domain are completed. The dialog process can be viewed as a trajectory of state-action pairs $\left\{(s_0^U, a_0^U, s_0^S, a_0^S,); (s_1^U, a_1^U, s_1^S, a_1^S,); \ldots\right\}^1$, where the user agent and the system agent make decisions according to their respective dialog policy $\pi_U(a^U|s^U), \pi_S(a^S|s^S)$. In our pro-

posed method, the system agent is composed of several domainspecific agents, so the system action is given from these domainspecific agents together according to the domains involved at the current turn. The details are presented in Section 2.3.

2.2. User Agent

2.2.1. User Policy

The policy of user agent π_U takes the user dialog state $s_t^U = [a_{t-1}^S; a_{t-1}^U; g_t; c_t]$ as the input, which concatenates four parts: (I) last turn system action a_{t-1}^S ; (II) last turn user action a_{t-1}^U ; (III) the goal state g_t that represents for indicates the remaining constraints C and requests R that need to be mentioned by the user; (IV) inconsistency vector c_t [22] that denotes the inconsistency between the system action and user constraints C, and outputs the current turn user action a^U and a terminal signal T that suggests that the dialog is finished when the user goal is fulfilled

$$\pi_U = \pi(a_t^U, T | s_t^U), \quad T = \begin{cases} 1, & \text{dialog finished} \\ 0, & \text{dialog continues} \end{cases} \tag{1}$$

2.2.2. User Reward

In the reinforcement learning algorithm, the agent policy updates according to the reward it receives, and its goal is to maximize the accumulative reward of a trajectory. In this paper, we handcraft the reward for each agent considering its unique characteristics. The reward of the user is designed considering the following two parts: (I) turn-level reward: a penalty score of -5 will be assigned if the user does not give any actions at the turn, and a penalty score of -1 will be assigned for every constraint not mentioned before requesting information; (II) dialog-level reward: the user agent will receive a score of 20 if it gives all the constraints C and requests R at the end of the dialog or a -5 penalty score if this is not the case.

2.2.3. Optimization

The optimization of the user agent includes two stages. First, the user policy is pretrained with supervised learning using dialogs from the MultiWOZ dataset. We improve the user policy

 $^{^{1}}$ In this paper, the U and S in the upper right of variables represent the user and the system, respectively, and the number/letter in the bottom right denotes the turn of dialog.

with β -weighted logistic regression as follow:

$$L(X, Y; \beta) = -\left[\beta \cdot Y^{T} log \sigma(X) + (I - Y)^{T} log (I - \sigma(X))\right],$$
(2)

where X is the user state and Y is the user action. During the second stage, we use Actor-Critic reinforcement learning to improve the user policy. In Actor-Critic, the actor is the user policy π_U that generates the user actions, and the critic is a value function $V(s_t)$ that evaluates the behavior of the actor. We improve the value function by minimizing the mean squared loss function, defined as follow:

$$L_V^U = (r^U + \gamma V_{\theta'}^U(s^{'U}) - V_{\theta}^U(s^U))^2, \tag{3}$$

where $\gamma \in [0,1]$ is a discount factor, and $V^U_{\theta'}(s^{'U})$ is the target value function [23] that is only periodically updated. Then we can obtain the *Actor-Critic* loss as follow:

$$L_{\pi_U}(\phi) = log \pi_{\phi}(a^U | s^U)[r + \gamma V_{\theta'}^U(s^U) - V_{\theta}^U(s^U)], \quad (4)$$

where the user policy is parameterized by ϕ .

2.3. Domain-Specific System Agents

2.3.1. System Policy of Domain-Specific agents

The policy of system agent π_S takes system dialog state $s_s^S = [a_{t-1}^S; a_t^U; b_t; b_t; q_t]$ as input, which is the concatenation of four parts: (I) last turn system action a_{t-1}^S ; (II) current turn user action a_t^U ; (III) the belief state b_t [24] that keeps track of constraints and requests mentioned by the user in dialog history; (IV) embedding vectors of the number of query results q_t from DB, and outputs system action a^S at the current turn. The system action is composed of a set of dialog act, which is an abstract representation of an intention and is represented in the form of domain-intent-slot-value (e.g., hotel-inform-number-00001111).

Traditional methods train a universal agent to process all the domain knowledge, which treats the inputs from different domains identically. However, in this way it is difficult for the system agent to distinguish and utilize the information from different domains. Therefore, in this paper, we propose to use several domain-specific agents to cooperatively determine the system actions for every turn. Specifically, we design 7 agents for each domain in the ontology of the MultiWOZ dataset and a generic agent for those that do not belong to these 7 domains (such as general-greet-none). At every turn of the conversation with the user agent, each domain-specific agent will generate actions a^{S^k} based on the system state s^S , and the system action a^S of the current turn is composed of the domain-specific agents involved at the current turn. We consider whether the domain-specific agent is involved at every turn according to the domains mentioned in the current turn user action:

$$\pi_{S^k} = \pi(a_t^{S^k}|s_t^S),\tag{5}$$

$$a^{S} = (a^{S^{1}} \cup a^{S^{2}} \dots \cup a^{S^{k}}), k \in [1, 8]$$
(6)

2.3.2. System Reward for Domain-Specific agents

Considering that system agents aim to fulfill the user's goal by querying DB, system rewards are designed for the following three situations: (I) for the domain not involved in the current turn, the domain-specific agent will receive a penalty score of -5 if it gives actions; (II) for the domain involved in the current

turn, the domain-specific agent will receive a penalty score of -5 if it gives an empty action, and it will receive a penalty score of -1 for not answering the user's request; (III) at the end of dialogs, the involved domain-specific agent will receive 20 scores for successful dialog or a -5 penalty score for failure.

2.3.3. Optimization for Domain-Specific agents

The optimization of the system agents includes two stages. Firstly, each domain-specific agent is pretrained with supervised learning using a dialog corpus. The loss used to improve the agents' policy is the same as formula (1). The policy of each domain-specific agent is then improved with *Actor-Critic* reinforcement learning by interacting with the user agent and generating new dialog. The value network for each domain-specific agent is the same: $V_{\beta}^{S}(s^{S})$, and the critic optimization aims is to minimize the squared error between the temporal difference (TD) target and the estimated value:

$$L_V^{S^k} = (r^{S^k} + \gamma V_{\beta'}^S(s'^S) - V_{\beta}^S(s^S))^2,$$
 (7)

where $k \in [1,8]$ represents the k^{th} domain-specific agent, $\gamma \in [0,1]$ is a discount factor, and $V_{\theta'}^{S^k}(s^{'S^k})$ is the target value function that is only periodically updated. We then obtain the Actor-Critic loss for each domain-specific agent as follow:

$$L_{\pi_{S^k}}(\omega^{S^k}) = log \pi_{\omega^{S^k}}(a^{S^k}|s^S)[r^{S^k} + \gamma V_{\beta'}^S(s^S) - V_{\beta}^S(s^S)],$$
(8)

where the policy for each domain-specific agent is parameterized by ω^{S^k} .

3. Experiments

3.1. Dataset

Experiments are conducted on MultiWOZ [21], a multi-domain task-oriented dialog corpus that contains 7 domains: restaurant, hotel, attraction, taxi, train, hospital and police. All the dialog turns are split into 7 specific domains based on the domain tags. The dialog turns that do not belong to these 7 domains are included into a generic domain. The system is thus composed of a total of 8 domain-specific agents in our experimental setting.

3.2. Experimental Settings

SL: Supervised learning directly uses the dialog act annotations and trains the user and the system agents simply by behavior cloning.

MADPL: Multi-agent dialog policy learning [19] trains a user agent and a generic system agent with RL.

SL-DSMADPL (**our model**): The user and 8 domain-specific system agents are trained with supervised learning using Eq (2). Every agent policy is implemented with two-hidden-layer MLPs. The action space for user agents is 80, and 18, 32, 33, 41, 3, 31, 10, and 4 for domain-specific agents with the respective order of generic, restaurant, attraction, hotel, hospital, train, taxi, and police.

DSMADPL (our model): Based on the SL, we improve the user and domain-specific system agents with *Actor-Critic* RL using Eq (4) and Eq (8), respectively. The value function in *Actor-Critic* is implemented in two hidden layers, and the activation function is *Relu. RMSprop* is used for optimization, and batch size is set to 32. Learning rate for user policy and

Table 1: Performance of the interaction between user agent and system agents according to the different numbers of domains in the user goal. There are 746 and 252 test cases containing two domains and three domains, respectively. The overall results include all 1000 test cases.

	Turn Success		Two domains	Inform		Turn	Success	Three domain Match	s Inform		Turn	Success	Overall Match	Inform	
				Rec	F1				Rec	F1				Rec	F1
SL SL-DSMDPL (our)	7.353 6.393	36.6 42.2	82.613 74.456	74.215 78.523	68.377 64.986	10.778 8.071	13.1 19.0	57.333 55.647	61.019 66.889	71.442 69.505	8.214 6.819	30.7 36.4	76.688 70.049	69.534 74.408	69.343 66.408
MADPL DSMADPL (our)	9.826 6.899	66.4 72.5	94.274 89.404	90.369 94.308	70.951 67.757	18.373 10.611	21.0 51.1	71.333 76.174	75.083 90.753	78.586 78.234	11.975 7.831	55.0 67.2	88.906 86.321	84.945 93.054	73.245 71.110

Table 2: Performance of the interaction between benchmark user simulator Agenda and the system agents according to the different numbers of domains in the user goal. The same user goals are sampled as Table 1.

	Turn Success	Two domain Match	Inform		Turn	Success	Three domain Match	ns Inform		Turn	Success	Overall Match	Inform		
				Rec	F1				Rec	F1				Rec	F1
SL SL-DSMDPL (our)	7.453 9.106	94.5 81.9	95.473 85.871	98.646 92.062	80.240 69.727	15.611 11.571	42.5 68.7	55.180 75.862	79.181 88.538	82.971 80.432	9.509 9.726	81.4 78.5	86.089 83.597	91.713 90.805	81.089 73.146
MADPL DSMADPL (our)	7.704 6.595	95.4 96.1	96.005 96.907	98.615 99.077	75.465 71.184	13.607 10.718	58.0 83.7	65.146 92.188	85.382 95.847	83.827 80.568	9.193 7.636	86.0 93.0	88.819 95.833	93.903 97.928	78.016 74.230

domain-specific agents' policy are 0.0001 and 0.00001, respectively. The discount factor γ is 0.99, and the target network is updated every C=400 training iterations.

3.3. Evaluation Metrics

In this paper, we use the following 5 metrics to automatically evaluate the performance of dialog agents:

- 1. Dialog turn: Dialog turn indicates the costs of a dialog agent accomplishing the user's tasks.
- 2. Match rate: Match rate checks whether the booked entities match all the constraints *C* given by the user.
- 3. Inform recall: Inform recall measures whether all the user requests *R* are informed by the system.
- 4. Inform F1: Inform F1 is averaged with inform recall and precision.
- 5. Success rate: Success rate is the most important metric to automatically evaluate the performance of a dialog agent. We consider the overall dialog tasks to be successful if and only if both inform recall and match rate are 1.

3.4. Experimental Results and Analysis

To evaluate the ability of dialog agents in dealing with multidomain scenarios, we use a set of 1000 user goals which contains 746 and 252 sample tests for two domains and three domains, respectively. The user agent starts the conversation with the user goal and the system agent interacts with the user to collaboratively complete the user goal. The results of interaction between user agent and system agents with different numbers of domains contained in the goal are presented in Table 1. During the supervised learning stage, compared to the baseline of SL, our domain-specific system agent spends less dialog turns and achieves higher success rates in both two and three domain scenarios: in particular, the success rates exceed the baseline by 6% on average. SL-DSMADPL requires the least dialog turns to complete user goals among all the methods. DS-MADPL improves the success rate of the SL pretrained method from 36.4% to 67.2% overall, and achieves the highest success rate among all the methods. Especially in three domain scenarios, DSMADPL exceeds MADPL by approximately 30% of success rate. Among all the methods, DSMADPL achieves the highest inform recall, which shows that our model can better understand the user requests and provide answers. The results in multi-domain scenarios indicate that our proposed model builds a dialog system that can effectively handle complicated tasks.

To evaluate the scalability of dialog agents, we use the benchmark policy in the standardized task-oriented dialog system platform Convlab [25] which is implemented with Agenda [26] to interact with the system agents in all the methods. Table 3 shows the results of interaction between Agenda and the system agents. Our proposed method DSMADPL spends the least dialog turns and achieves the highest success rate, match rate and inform recall in both two and three domains among all the methods, which indicates that our proposed domain-specific dialog agents can implicitly share correct domain information with the user. In three-domain scenarios, DSMADPL exceeds the success rate of the baseline MADPL by about 25%, which highlights the great advantages of DSMADPL in dealing with complex multi-domain tasks.

4. Conclusions and Future Work

In this paper, we proposed a novel approach to build a high-quality multi-domain dialog system based on multi-agent reinforcement learning. To handle the problem of generic agent inability to effectively learn the knowledge in multi-domain ontology, we build several specialized domain-specific agents that only concentrate on their own specific domain knowledge. These agents are first bootstrapped from the dialog corpus, and then *Actor-Critic* reinforcement learning is utilized to train these agents simultaneously. When the system agents interact with the user, the actions from domain-specific agents related to the current topic are together considered as the current turn system actions. In future work, we will focus on how to enable all domain-specific agents to collaborate better.

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