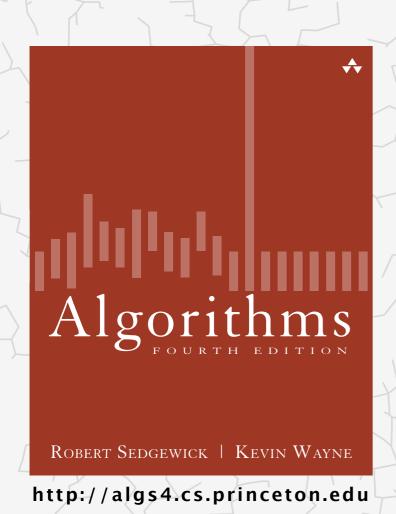
Algorithms



5.1 STRING SORTS

- strings in Java
- key-indexed counting
- LSD radix sort
- MSD radix sort
- 3-way radix quicksort
- suffix arrays

5.1 STRING SORTS

- strings in Java
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String processing

String. Sequence of characters.

Important fundamental abstraction.

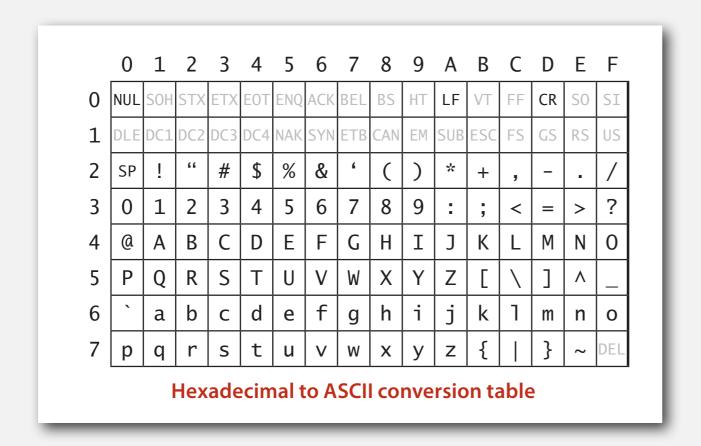
- Information processing.
- Genomic sequences.
- Communication systems (e.g., email).
- Programming systems (e.g., Java programs).
- ...

"The digital information that underlies biochemistry, cell biology, and development can be represented by a simple string of G's, A's, T's and C's. This string is the root data structure of an organism's biology." — M. V. Olson

The char data type

C char data type. Typically an 8-bit integer.

- Supports 7-bit ASCII.
- Can represent only 256 characters.





Unicode characters

Java char data type. A 16-bit unsigned integer.

- Supports original 16-bit Unicode.
- Supports 21-bit Unicode 3.0 (awkwardly).

I (heart) Unicode



The String data type

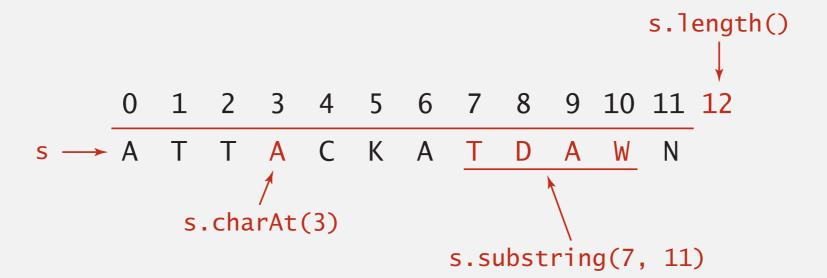
String data type in Java. Sequence of characters (immutable).

Length. Number of characters.

Indexing. Get the *i*th character.

Substring extraction. Get a contiguous subsequence of characters.

String concatenation. Append one character to end of another string.



The String data type: Java implementation

```
public final class String implements Comparable<String>
  private char[] value; // characters
  private int offset;  // index of first char in array
  private int length; // length of string
  private int hash;  // cache of hashCode()
                                                      length
  public int length()
                             value[]
                                         Χ
                                                 Т
                                                                     X
  { return length; }
                                          1 2
                                                  3
                                                                      8
  public char charAt(int i)
  { return value[i + offset]; }
                                            offset
  private String(int offset, int length, char[] value)
     this.offset = offset;
     this.length = length;
     this.value = value;
                                              copy of reference to
                                              original char array
  public String substring(int from, int to)
     return new String(offset + from, to - from, value); }
```

The String data type: performance

String data type (in Java). Sequence of characters (immutable). Underlying implementation. Immutable char[] array, offset, and length.

	String				
operation	guarantee	extra space			
length()	1	1			
charAt()	1	1			
substring()	1	1			
concat()	N	N			

Memory. 40 + 2N bytes for a virgin String of length N.

can use byte[] or char[] instead of String to save space
(but lose convenience of String data type)

The StringBuilder data type

StringBuilder data type. Sequence of characters (mutable). Underlying implementation. Resizing char[] array and length.

	Str	ing	StringBuilder		
operation	guarantee extra space		guarantee	extra space	
length()	1	1	1	1	
charAt()	1	1	1	1	
substring()	1	1	N	N	
concat()	N	N	1 *	1 *	

^{*} amortized

Remark. StringBuffer data type is similar, but thread safe (and slower).

String vs. StringBuilder

Q. How to efficiently reverse a string?

```
A. public static String reverse(String s)
{
    String rev = "";
    for (int i = s.length() - 1; i >= 0; i--)
        rev += s.charAt(i);
    return rev;
}
```

String challenge: array of suffixes

Q. How to efficiently form array of suffixes?

input string aacaagtttacaagc 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 suffixes aacaagtttacaagc acaagtttacaagc 2 caagtttacaagc 3 a a g t t t a c a a g c 4 agtttacaagc 5 gtttacaagc 6 tttacaagc 7 ttacaagc 8 tacaaqc acaagc 10 c a a g c 11 a a g c 12 a g c 13 **q** C 14 **C**

String vs. StringBuilder

Q. How to efficiently form array of suffixes?

```
public static String[] suffixes(String s)
{
   int N = s.length();
   String[] suffixes = new String[N];
   for (int i = 0; i < N; i++)
       suffixes[i] = s.substring(i, N);
   return suffixes;
}
</pre>
linear time and
linear space
```

Longest common prefix

Q. How long to compute length of longest common prefix?

р	r	е	f	е	t	С	h
0	1	2	3	4	5	6	7
р	r	е	f	i	X		

```
public static int lcp(String s, String t)
{
   int N = Math.min(s.length(), t.length());
   for (int i = 0; i < N; i++)
      if (s.charAt(i) != t.charAt(i))
        return i;
   return N;
}</pre>
linear time (worst case)
   sublinear time (typical case)
```

Running time. Proportional to length D of longest common prefix. Remark. Also can compute compareTo() in sublinear time.

Alphabets

Digital key. Sequence of digits over fixed alphabet. Radix. Number of digits *R* in alphabet.

name	R()	lgR()	characters
BINARY	2	1	01
OCTAL	8	3	01234567
DECIMAL	10	4	0123456789
HEXADECIMAL	16	4	0123456789ABCDEF
DNA	4	2	ACTG
LOWERCASE	26	5	abcdefghijklmnopqrstuvwxyz
UPPERCASE	26	5	ABCDEFGHIJKLMNOPQRSTUVWXYZ
PROTEIN	20	5	ACDEFGHIKLMNPQRSTVWY
BASE64	64	6	ABCDEFGHIJKLMNOPQRSTUVWXYZabcdef ghijklmnopqrstuvwxyz0123456789+/
ASCII	128	7	ASCII characters
EXTENDED_ASCII	256	8	extended ASCII characters
UNICODE16	65536	16	Unicode characters

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Review: summary of the performance of sorting algorithms

Frequency of operations = key compares.

algorithm	guarantee	random	extra space	stable?	operations on keys
insertion sort	½ N²	⅓ N²	1	yes	compareTo()
mergesort	N lg N	N lg N	N	yes	compareTo()
quicksort	1.39 N lg N *	1.39 N lg N	c lg N	no	compareTo()
heapsort	2 N lg N	2 N lg N	1	no	compareTo()

^{*} probabilistic

Lower bound. $\sim N \lg N$ compares required by any compare-based algorithm.

- Q. Can we do better (despite the lower bound)?
- A. Yes, if we don't depend on key compares.

Key-indexed counting: assumptions about keys

Assumption. Keys are integers between 0 and R - 1. Implication. Can use key as an array index.

Applications.

- Sort string by first letter.
- Sort class roster by section.
- Sort phone numbers by area code.
- Subroutine in a sorting algorithm. [stay tuned]

Remark. Keys may have associated data ⇒ can't just count up number of keys of each value.

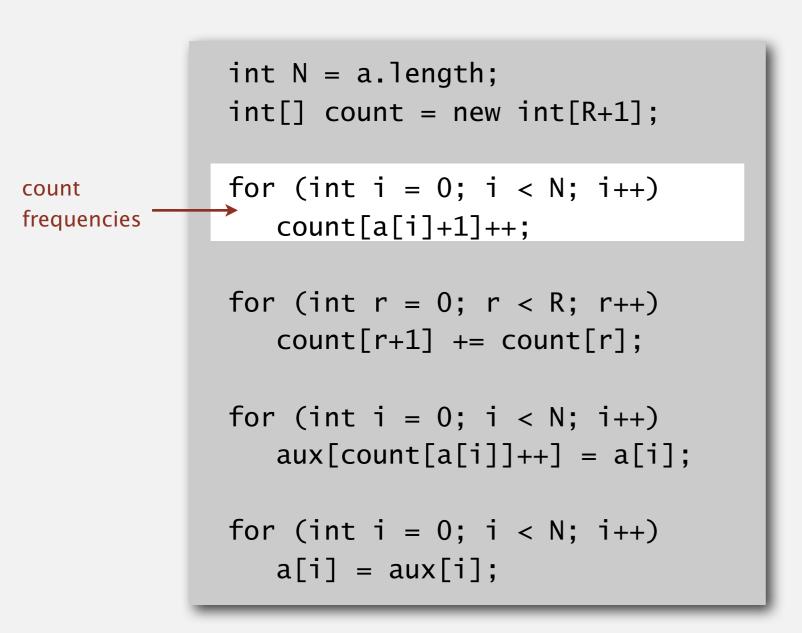
input		sorted result
name	section	(by section)
Anderson	2	Harris 1
Brown	3	Martin 1
Davis	3	Moore 1
Garcia	4	Anderson 2
Harris	1	Martinez 2
Jackson	3	Miller 2
Johnson	4	Robinson 2
Jones	3	White 2
Martin	1	Brown 3
Martinez	2	Davis 3
Miller	2	Jackson 3
Moore	1	Jones 3
Robinson	2	Taylor 3
Smith	4	Williams 3
Taylor	3	Garcia 4
Thomas	4	Johnson 4
Thompson	4	Smith 4
White	2	Thomas 4
Williams	3	Thompson 4
Wilson	4	Wilson 4
	†	
	keys ar	
SII	nall inte	gers

- Count frequencies of each letter using key as index.
- Compute frequency cumulates which specify destinations.
- Access cumulates using key as index to move items.
- Copy back into original array.

```
int N = a.length;
int[] count = new int[R+1];
for (int i = 0; i < N; i++)
   count[a[i]+1]++;
for (int r = 0; r < R; r++)
   count[r+1] += count[r];
for (int i = 0; i < N; i++)
   aux[count[a[i]]++] = a[i];
for (int i = 0; i < N; i++)
   a[i] = aux[i];
```

```
i a[i]
      d
      a 🔻
              use a for 0
     C
                  b for 1
                  c for 2
     f
                  d for 3
                  e for 4
                  f for 5
     b
     f
      b
10
     e
11
     a
```

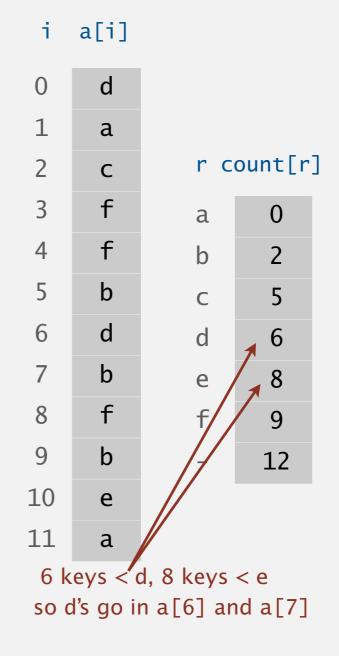
- Count frequencies of each letter using key as index.
- Compute frequency cumulates which specify destinations.
- Access cumulates using key as index to move items.
- Copy back into original array.



i	a[i]		set by 1 y tuned]
0	d		1
1	a		\
2	С	r c	ount[r]
3	f	a	0
4	f	b	2
5	b	С	3
6	d	d	1
7	b	е	2
8	f	f	1
9	b	-	3
10	е		
11	a		

- Count frequencies of each letter using key as index.
- Compute frequency cumulates which specify destinations.
- Access cumulates using key as index to move items.
- Copy back into original array.

```
int N = a.length;
             int[] count = new int[R+1];
             for (int i = 0; i < N; i++)
                count[a[i]+1]++;
             for (int r = 0; r < R; r++)
compute
                count[r+1] += count[r];
cumulates
             for (int i = 0; i < N; i++)
                aux[count[a[i]]++] = a[i];
             for (int i = 0; i < N; i++)
                a[i] = aux[i];
```



- Count frequencies of each letter using key as index.
- Compute frequency cumulates which specify destinations.
- Access cumulates using key as index to move items.
- Copy back into original array.

```
int N = a.length;
           int[] count = new int[R+1];
           for (int i = 0; i < N; i++)
              count[a[i]+1]++;
           for (int r = 0; r < R; r++)
              count[r+1] += count[r];
           for (int i = 0; i < N; i++)
move
              aux[count[a[i]]++] = a[i];
items
           for (int i = 0; i < N; i++)
              a[i] = aux[i];
```

i	a[i]			i	aux[i]
0	d			0	a
1	a			1	a
2	С	r c	ount[r] 2	b
3	f	a	2	3	b
4	f	b	5	4	b
5	b	С	6	5	С
6	d	d	8	6	d
7	b	е	9	7	d
8	f	f	12	8	е
9	b	_	12	9	f
10	е			10	f
11	a			11	f

- Count frequencies of each letter using key as index.
- Compute frequency cumulates which specify destinations.
- Access cumulates using key as index to move items.
- Copy back into original array.

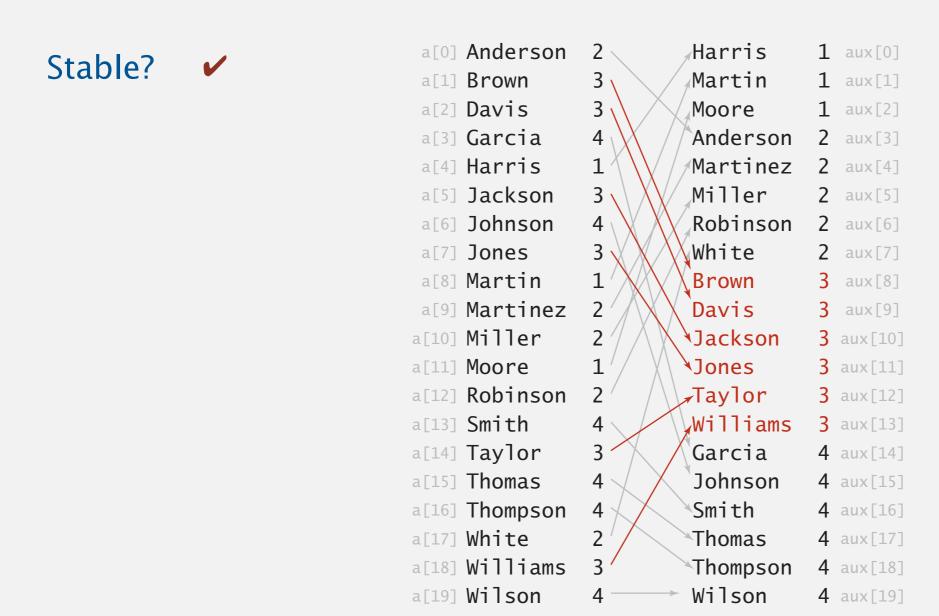
```
int N = a.length;
int[] count = new int[R+1];
for (int i = 0; i < N; i++)
   count[a[i]+1]++;
for (int r = 0; r < R; r++)
   count[r+1] += count[r];
for (int i = 0; i < N; i++)
   aux[count[a[i]]++] = a[i];
for (int i = 0; i < N; i++)
   a[i] = aux[i];
```

i	a[i]			i	aux[i]
0	a			0	a
1	a			1	a
2	b	r c	ount[r] 2	b
3	b	a	2	3	b
4	b	b	5	4	b
5	С	С	6	5	С
6	d	d	8	6	d
7	d	е	9	7	d
8	е	f	12	8	е
9	f	_	12	9	f
10	f			10	f
11	f			11	f

Key-indexed counting: analysis

Proposition. Key-indexed counting uses $\sim 11 N + 4 R$ array accesses to sort N items whose keys are integers between 0 and R - 1.

Proposition. Key-indexed counting uses extra space proportional to N+R.



Algorithms

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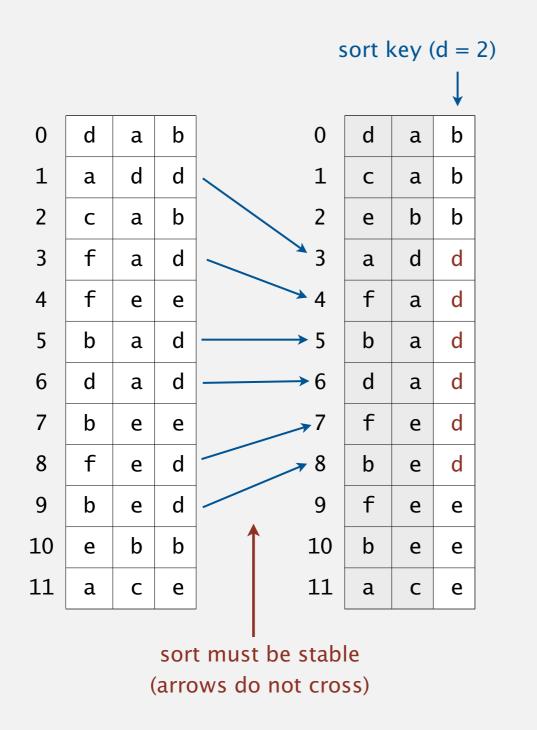
5.1 STRING SORTS

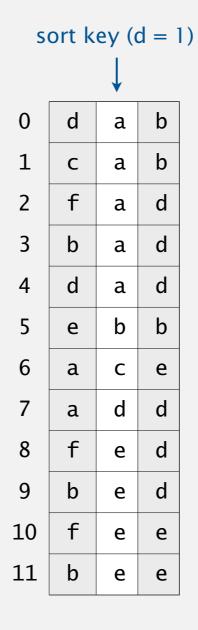
- strings in Java
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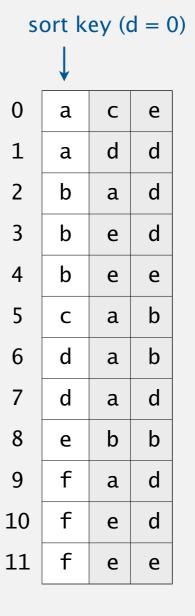
Least-significant-digit-first string sort

LSD string (radix) sort.

- Consider characters from right to left.
- Stably sort using d^{th} character as the key (using key-indexed counting).







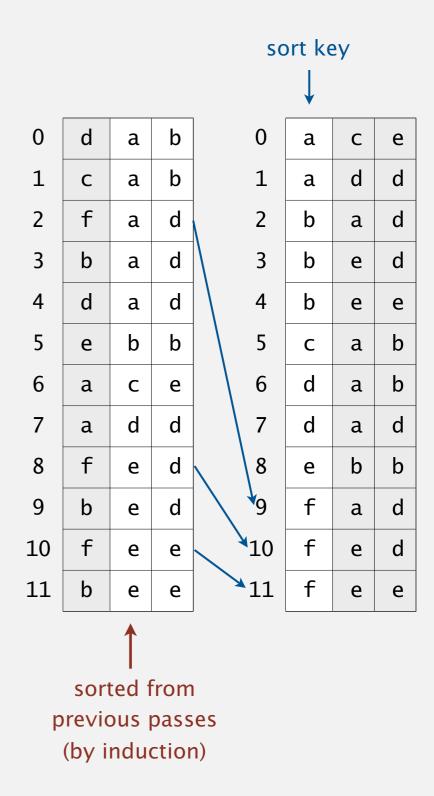
LSD string sort: correctness proof

Proposition. LSD sorts fixed-length strings in ascending order.

Pf. [by induction on i]

After pass i, strings are sorted by last i characters.

- If two strings differ on sort key, key-indexed sort puts them in proper relative order.
- If two strings agree on sort key,
 stability keeps them in proper relative order.



Proposition. LSD sort is stable.

LSD string sort: Java implementation

```
public class LSD
   public static void sort(String[] a, int W)
                                                            fixed-length W strings
                                                            radix R
      int R = 256;
      int N = a.length;
      String[] aux = new String[N];
                                                            do key-indexed counting
      for (int d = W-1; d >= 0; d--)
                                                            for each digit from right to left
         int[] count = new int[R+1];
          for (int i = 0; i < N; i++)
             count[a[i].charAt(d) + 1]++;
                                                            key-indexed counting
          for (int r = 0; r < R; r++)
             count[r+1] += count[r];
          for (int i = 0; i < N; i++)
             aux[count[a[i].charAt(d)]++] = a[i];
          for (int i = 0; i < N; i++)
             a[i] = aux[i];
```

Summary of the performance of sorting algorithms

Frequency of operations.

algorithm	guarantee	random	extra space	stable?	operations on keys
insertion sort	½ N ²	⅓ N²	1	yes	compareTo()
mergesort	N lg N	N lg N	N	yes	compareTo()
quicksort	1.39 N lg N *	1.39 N lg N	c lg N	no	compareTo()
heapsort	2 N lg N	2 N lg N	1	no	compareTo()
LSD †	2 W N	2 W N	N + R	yes	charAt()

^{*} probabilistic

Q. What if strings do not have same length?

[†] fixed-length W keys

String sorting interview question

Problem. Sort one million 32-bit integers.

Ex. Google (or presidential) interview.

Which sorting method to use?

- Insertion sort.
- Mergesort.
- Quicksort.
- · Heapsort.
- LSD string sort.



How to take a census in 1900s?

1880 Census. Took 1,500 people 7 years to manually process data.

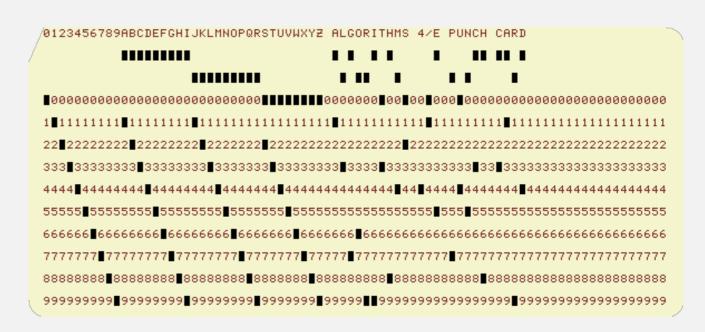


Herman Hollerith. Developed counting and sorting machine to automate.

- Use punch cards to record data (e.g., gender, age).
- Machine sorts one column at a time (into one of 12 bins).
- Typical question: how many women of age 20 to 30?



Hollerith tabulating machine and sorter



punch card (12 holes per column)

1890 Census. Finished months early and under budget!

How to get rich sorting in 1900s?

Punch cards. [1900s to 1950s]

- Also useful for accounting, inventory, and business processes.
- Primary medium for data entry, storage, and processing.

Hollerith's company later merged with 3 others to form Computing Tabulating Recording Corporation (CTRC); company renamed in 1924.





IBM 80 Series Card Sorter (650 cards per minute)

LSD string sort: a moment in history (1960s)







punched cards



card reader



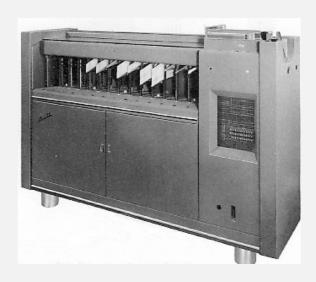
mainframe



line printer

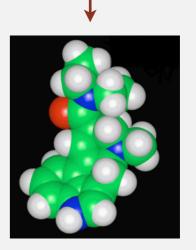
To sort a card deck

- start on right column
- put cards into hopper
- machine distributes into bins
- pick up cards (stable)
- move left one column
- continue until sorted



card sorter

not related to sorting



Lysergic Acid Diethylamide (Lucy in the Sky with Diamonds)

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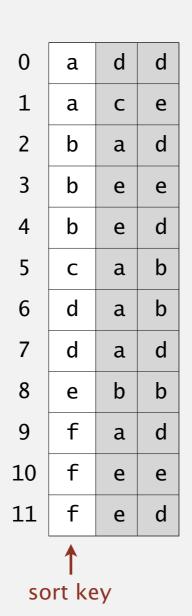
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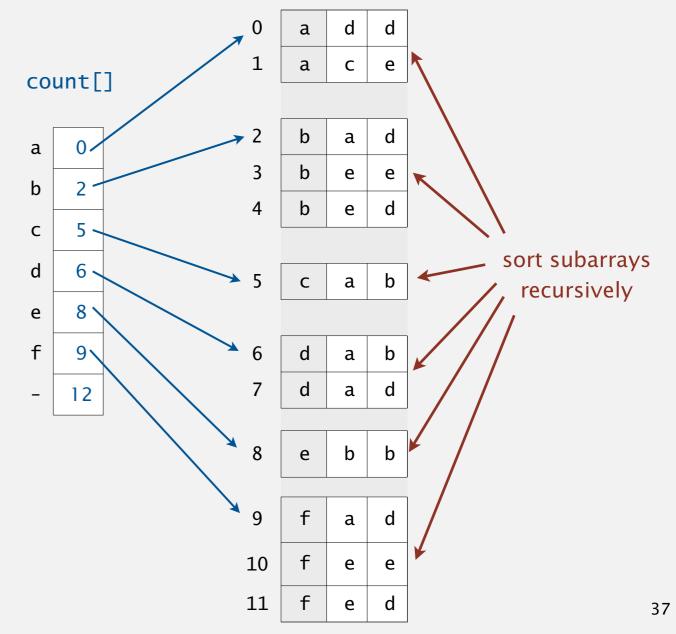
Most-significant-digit-first string sort

MSD string (radix) sort.

- Partition array into R pieces according to first character (use key-indexed counting).
- Recursively sort all strings that start with each character (key-indexed counts delineate subarrays to sort).

0	d	a	b
1	a	d	d
2	С	a	b
3	f	a	d
4	f	е	е
5	b	a	d
6	d	a	d
7	b	e	e
8	f	е	d
9	b	е	d
10	е	b	b
11	a	С	e





MSD string sort: example

input		d						
she	are	are	are	are	are	are	are	are
sells	by 10_	by	by	by	by	by	by	by
seashells	she	sells	se a shells	sea	sea	sea	seas	sea
by	s ells	s e ashells	sea	sea s hells	seas h ells	seash e lls	seashe 1 1s	seashells
the	seashells	s s e a	se a shells	sea s hells	seas h ells	seash e lls	seashe11s	seashells
sea	sea	s e lls	se 1 1s	sells	sells	sells	sells	sells
shore	shore	s e ashells	se 1 1s	sells	sells	sells	sells	sells
the	s hells	s h e	she	she	she	she	she	she
shells	she	s h ore	shore	shore	shore	shore	shore	shore
she	s ells	s h ells	shells	shells	shells	shells	shore	shells
sells	surely	s h e	she	she	she	she	she	she
are	seashells	surely	surely	surely	surely	surely	surely	surely
surely	the hi	the	the	the	the	the	the	the
seashells	the	the	the	the	the	the	the	the
			need to examin				f string fore any	
		/	every character			goes be	f string fore any value	output
	are	are			are	goes be	fore any	<mark>output</mark> are
	are by		every character in equal keys		are by	goes be	fore any value	-
		are	every character in equal keys are	are		goes be char	fore any value are	are
	by sea	are by	every character in equal keys are by	are by	by	goes be char	fore any value are by sea	are by sea
	by sea seashells	are by sea	every character in equal keys are by sea	are by sea	by sea	goes be char are by	fore any value are by sea seashells	are by sea seashells
	by sea seashells	are by sea seashells	every character in equal keys are by sea seashells	are by sea seashells	by sea seashells	goes be char are by sea seashells	fore any value are by sea seashells	are by sea seashells
	by sea seashells seashells	are by sea seashells seashells	every character in equal keys are by sea seashells seashells	are by sea seashells seashells	by sea seashells seashells	goes be char are by sea seashells	fore any value are by sea seashells	are by sea seashells seashells
	by sea seashells seashells sells	are by sea seashells seashells sells	every character in equal keys are by sea seashells seals	are by sea seashells seashells	by sea seashells seashells sells	goes be char are by sea seashells sells	fore any value are by sea seashells sells	are by sea seashells seashells sells
	by sea seashells seashells sells sells	are by sea seashells seashells sells sells	every character in equal keys are by sea seashells sells sells	are by sea seashells seashells sells sells	by sea seashells seashells sells sells	goes be char are by sea seashells sells sells	fore any value are by sea seashells sells sells	are by sea seashells seashells sells sells
	by sea seashells seashells sells sells sells she	are by sea seashells seashells sells sells she	every character in equal keys are by sea seashells seals sells she	are by sea seashells seashells sells sells she	by sea seashells seashells sells sells she she she	goes be char are by sea seashells sells she	fore any value are by sea seashells sells sells she	are by sea seashells seashells sells sells she she she
	by sea seashells seashells sells sells she shore	are by sea seashells seashells sells sells she sshore hells she	every character in equal keys are by sea seashells seashells sells she shore	are by sea seashells seashells sells sells she shells	by sea seashells seashells sells sells she she she she shore	goes be char are by sea seashells sells she she	fore any value are by sea seashells sells sells she she shells shore	are by sea seashells seashells sells sells sells she she
	by sea seashells seashells sells sells she shore shells she surely	are by sea seashells seashells sells sells she sshore hells she surely	every character in equal keys are by sea seashells seashells sells she shore shells she surely	are by sea seashells seashells sells sells she shells she shore surely	by sea seashells seashells sells sells she she she she sher surely	goes be char are by sea seashells sells she she shells shore surely	fore any value are by sea seashells sells sells she she she shore surely	are by sea seashells seashells sells sells she she she she shore surely
	by sea seashells seashells sells sells she shore shells she	are by sea seashells seashells sells sells she sshore hells she	every character in equal keys are by sea seashells seashells sells she shore shells she	are by sea seashells seashells sells sells she shells she shore	by sea seashells seashells sells sells she she she she shore	goes be char are by sea seashells sells she she shells shore	fore any value are by sea seashells sells sells she she shells shore	are by sea seashells seashells sells sells she she she shells

Variable-length strings

Treat strings as if they had an extra char at end (smaller than any char).

```
why smaller?
                -1
0
    S
        e
            a
                                         -1
1
                    h
                         e
                S
                                     S
        е
            a
2
            1
                         -1
        e
3
        h
                -1
            e
                                         she before shells
                -1
        h
            e
                             -1
        h
                         S
            e
                         -1
6
7
                             -1
    S
                         У
        u
            r
                 e
```

```
private static int charAt(String s, int d)
{
   if (d < s.length()) return s.charAt(d);
   else return -1;
}</pre>
```

C strings. Have extra char '\0' at end \Rightarrow no extra work needed.

MSD string sort: Java implementation

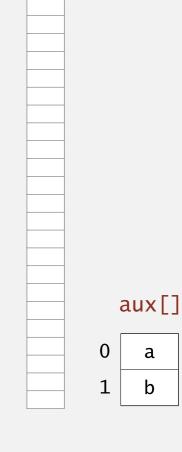
```
public static void sort(String[] a)
   aux = new String[a.length];
                                                       can recycle aux[] array
   sort(a, aux, 0, a.length-1, 0);
                                                       but not count[] array
}
private static void sort(String[] a, String[] aux, int lo, int hi, int d)
   if (hi <= lo) return;
   int[] count = new int[R+2];
                                                              key-indexed counting
   for (int i = lo; i <= hi; i++)
      count[charAt(a[i], d) + 2]++;
   for (int r = 0; r < R+1; r++)
      count[r+1] += count[r];
   for (int i = lo; i <= hi; i++)
      aux[count[charAt(a[i], d) + 1]++] = a[i];
   for (int i = lo; i <= hi; i++)
      a[i] = aux[i - lo];
                                                         sort R subarrays recursively
   for (int r = 0; r < R; r++)
      sort(a, aux, lo + count[r], lo + count[r+1] - 1, d+1);
```

MSD string sort: potential for disastrous performance

Observation 1. Much too slow for small subarrays.

- Each function call needs its own count[] array.
- ASCII (256 counts): 100x slower than copy pass for N = 2.
- Unicode (65,536 counts): 32,000x slower for N = 2.

Observation 2. Huge number of small subarrays because of recursion.



a[]

0

count[]

Cutoff to insertion sort

Solution. Cutoff to insertion sort for small subarrays.

- Insertion sort, but start at *d*th character.
- Implement less() so that it compares starting at *dth* character.

```
public static void sort(String[] a, int lo, int hi, int d)
{
   for (int i = lo; i <= hi; i++)
     for (int j = i; j > lo && less(a[j], a[j-1], d); j--)
        exch(a, j, j-1);
}

private static boolean less(String v, String w, int d)
{ return v.substring(d).compareTo(w.substring(d)) < 0; }</pre>
```

in Java, forming and comparing substrings is faster than directly comparing chars with charAt()

MSD string sort: performance

Number of characters examined.

- MSD examines just enough characters to sort the keys.
- · Number of characters examined depends on keys.
- Can be sublinear in input size!

<pre>compareTo()</pre>	based sorts
can also be	sublinear!

Random (sublinear)	Non-random with duplicates (nearly linear)	Worst case (linear)		
1E I0402	are	1DNB377		
1H YL490	by	1DNB377		
1R0Z572	sea	1DNB377		
2H XE734	seashells	1DNB377		
2I YE230	seashells	1DNB377		
2X0R846	sells	1DNB377		
3CDB573	sells	1DNB377		
3CVP720	she	1DNB377		
3I GJ319	she	1DNB377		
3KNA382	shells	1DNB377		
3TAV879	shore	1DNB377		
4CQP781	surely	1DNB377		
4Q GI284	the	1DNB377		
4YHV229	the	1DNB377		

Characters examined by MSD string sort

Summary of the performance of sorting algorithms

Frequency of operations.

algorithm	guarantee	random	extra space	stable?	operations on keys
insertion sort	½ N²	⅓ N²	1	yes	compareTo()
mergesort	N lg N	N lg N	N	yes	compareTo()
quicksort	1.39 N lg N *	1.39 N lg N	c lg N	no	compareTo()
heapsort	2 N lg N	2 N lg N	1	no	compareTo()
LSD †	2 N W	2 N W	N + R	yes	charAt()
MSD ‡	2 N W	N log _R N	N + D R	yes	charAt()

D = function-call stack depth (length of longest prefix match)

^{*} probabilistic

[†] fixed-length W keys

[‡] average-length W keys

MSD string sort vs. quicksort for strings

Disadvantages of MSD string sort.

- Extra space for aux[].
- Extra space for count[].
- Inner loop has a lot of instructions.
- Accesses memory "randomly" (cache inefficient).

Disadvantage of quicksort.

- · Linearithmic number of string compares (not linear).
- Has to rescan many characters in keys with long prefix matches.

Goal. Combine advantages of MSD and quicksort.

Algorithms

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5.1 STRING SORTS

- strings in Java
- key-indexed counting
- ISD radix sort
- MSD radix sort
 - 3-way radix quicksort
- suffix arrays

Algorithms

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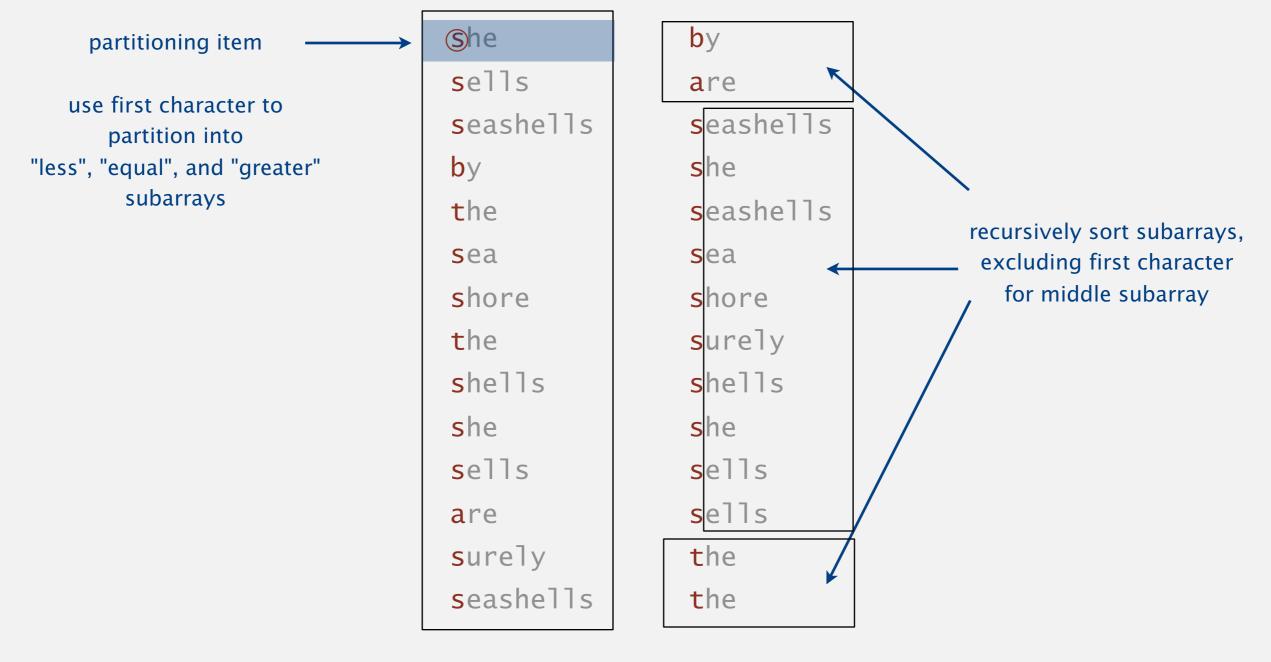
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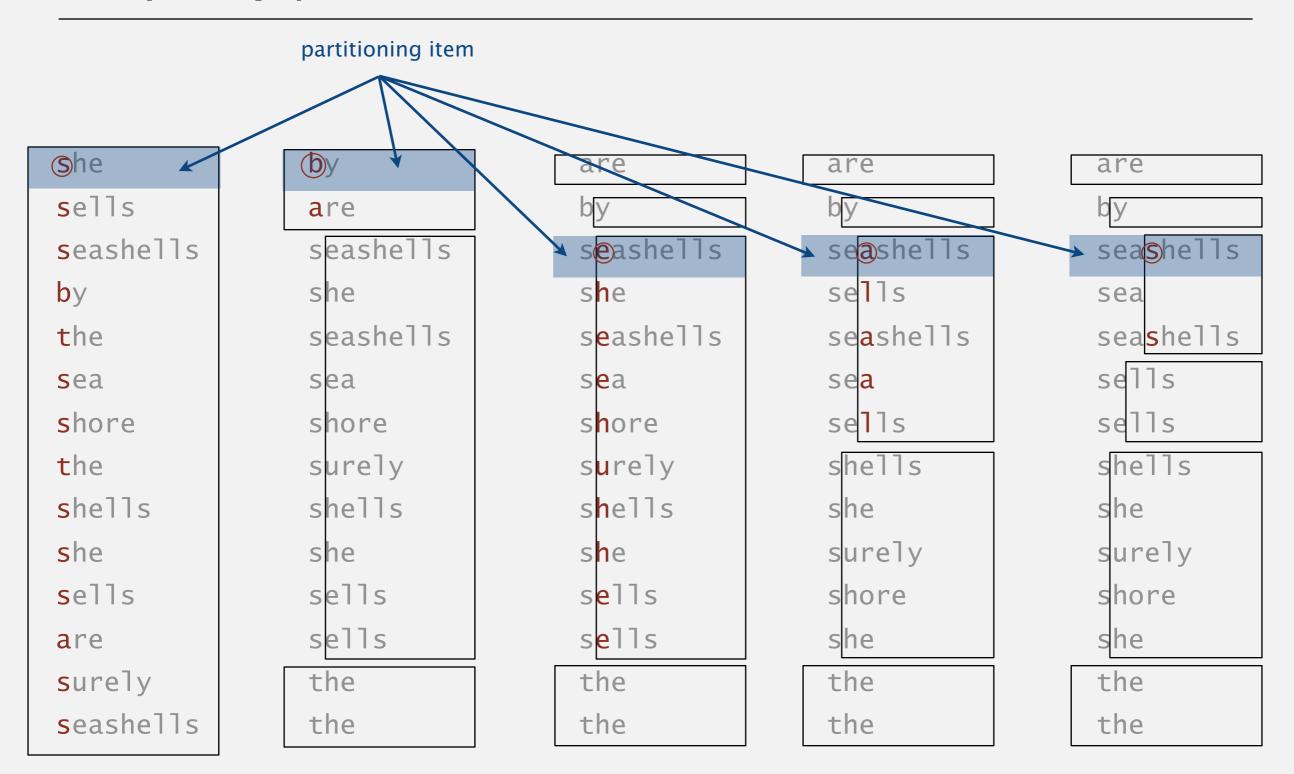
3-way string quicksort (Bentley and Sedgewick, 1997)

Overview. Do 3-way partitioning on the d^{th} character.

- Less overhead than R-way partitioning in MSD string sort.
- Does not re-examine characters equal to the partitioning char (but does re-examine characters not equal to the partitioning char).



3-way string quicksort: trace of recursive calls



Trace of first few recursive calls for 3-way string quicksort (subarrays of size 1 not shown)

3-way string quicksort: Java implementation

```
private static void sort(String[] a)
{ sort(a, 0, a.length - 1, 0); }
private static void sort(String[] a, int lo, int hi, int d)
   if (hi <= lo) return;</pre>
                                                    3-way partitioning
   int lt = lo, gt = hi;
                                                   (using dth character)
   int v = charAt(a[lo], d);
   int i = 10 + 1;
   while (i <= qt)
                                          to handle variable-length strings
   {
      int t = charAt(a[i], d);
      if (t < v) exch(a, lt++, i++);
      else if (t > v) exch(a, i, gt--);
                       i++;
      else
   sort(a, lo, lt-1, d);
   if (v >= 0) sort(a, 1t, gt, d+1); \leftarrow sort 3 subarrays recursively
   sort(a, gt+1, hi, d);
```

3-way string quicksort vs. standard quicksort

Standard quicksort.

- Uses $\sim 2N \ln N$ string compares on average.
- Costly for keys with long common prefixes (and this is a common case!)

3-way string (radix) quicksort.

- Uses $\sim 2N \ln N$ character compares on average for random strings.
- Avoids re-comparing long common prefixes.

Fast Algorithms for Sorting and Searching Strings

Jon L. Bentley*

Robert Sedgewick#

Abstract

We present theoretical algorithms for sorting and searching multikey data, and derive from them practical C implementations for applications in which keys are character strings. The sorting algorithm blends Quicksort and radix sort; it is competitive with the best known C sort codes. The searching algorithm blends tries and binary

that is competitive with the most efficient string sorting programs known. The second program is a symbol table implementation that is faster than hashing, which is commonly regarded as the fastest symbol table implementation. The symbol table implementation is much more space-efficient than multiway trees, and supports more advanced searches.

3-way string quicksort vs. MSD string sort

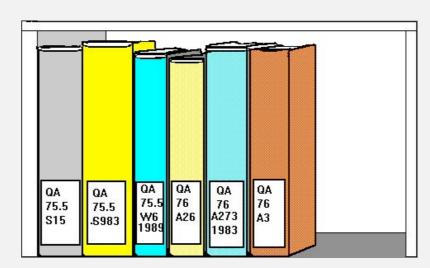
MSD string sort.

- Is cache-inefficient.
- Too much memory storing count[].
- Too much overhead reinitializing count[] and aux[].

3-way string quicksort.

- · Has a short inner loop.
- Is cache-friendly.
- Is in-place.

library of Congress call numbers



Bottom line. 3-way string quicksort is method of choice for sorting strings.

Summary of the performance of sorting algorithms

Frequency of operations.

algorithm	guarantee	random	extra space	stable?	operations on keys
insertion sort	½ N²	⅓ N²	1	yes	compareTo()
mergesort	N lg N	N lg N	N	yes	compareTo()
quicksort	1.39 N lg N *	1.39 N lg N	c lg N	no	compareTo()
heapsort	2 N lg N	2 N lg N	1	no	compareTo()
LSD †	2 N W	2 N W	N + R	yes	charAt()
MSD ‡	2 N W	N log _R N	N + D R	yes	charAt()
3-way string quicksort	1.39 W N lg R *	1.39 N lg N	log N + W	no	charAt()

^{*} probabilistic

[†] fixed-length W keys

[‡] average-length W keys

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5.1 STRING SORTS

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- MSD radix sort
 - 3-way radix-quicksort
- suffix arrays

Keyword-in-context search

Given a text of N characters, preprocess it to enable fast substring search (find all occurrences of query string context).

```
% more tale.txt
it was the best of times
it was the worst of times
it was the age of wisdom
it was the age of foolishness
it was the epoch of belief
it was the epoch of incredulity
it was the season of light
it was the season of darkness
it was the spring of hope
it was the winter of despair
:
```

Applications. Linguistics, databases, web search, word processing,

Keyword-in-context search

Given a text of N characters, preprocess it to enable fast substring search (find all occurrences of query string context).

```
% java KWIC tale.txt 15 ← characters of
                            surrounding context
search
o st giless to search for contraband
her unavailing search for your fathe
le and gone in search of her husband
t provinces in search of impoverishe
 dispersing in search of other carri
n that bed and search the straw hold
better thing
t is a far far better thing that i do than
 some sense of better things else forgotte
was capable of better things mr carton ent
```

Applications. Linguistics, databases, web search, word processing,

Suffix sort

input string

itwasbest 6 10 11 12 13 14

form suffixes

itwasbestitwasw

- twasbestitwasw
- wasbestitwasw
- asbestitwasw
- sbestitwasw
- bestitwasw
- estitwasw
- stitwasw
- titwasw
- itwasw
- twasw
- wasw
- a s w
- S W
- 14 W

sort suffixes to bring repeated substrings together

- asbest
- a s w 12
- bestitwasw
- itwasw
- i twasbestitwasw
- i t w a s w
- bestitwasw
- stitwasw
- S W 13
- titwasw
- twasbestitwasw
- twasw
- 14 W
- wasbestitwasw
- wasw

Keyword-in-context search: suffix-sorting solution

- Preprocess: suffix sort the text.
- Query: binary search for query; scan until mismatch.

KWIC search for "search" in Tale of Two Cities

```
632698 sealed_my_letter_and_...
   seamstress_is_lifted_...
713727
660598
   seamstress_of_twenty_...
67610 seamstress_who_was_wi...
(4430) search_for_contraband...
42705 search _ for _ your _ fathe ...
   search_of_her_husband...
499797
   search_of_impoverishe…
182045
   search_of_other_carri…
143399
   search_the_straw_hold...
411801
158410
   seared_marking_about_...
691536 seas_and_madame_defar...
536569
   sease_a_terrible_pass...
   sease_that_had_brough...
484763
```

Longest repeated substring

Given a string of N characters, find the longest repeated substring.

Applications. Bioinformatics, cryptanalysis, data compression, ...

Longest repeated substring: a musical application

Visualize repetitions in music. http://www.bewitched.com

Mary Had a Little Lamb



Bach's Goldberg Variations

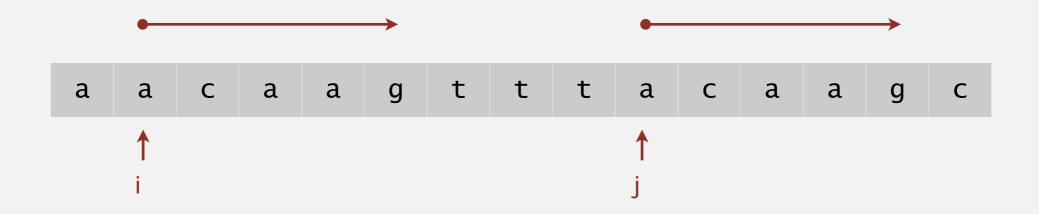


Longest repeated substring

Given a string of N characters, find the longest repeated substring.

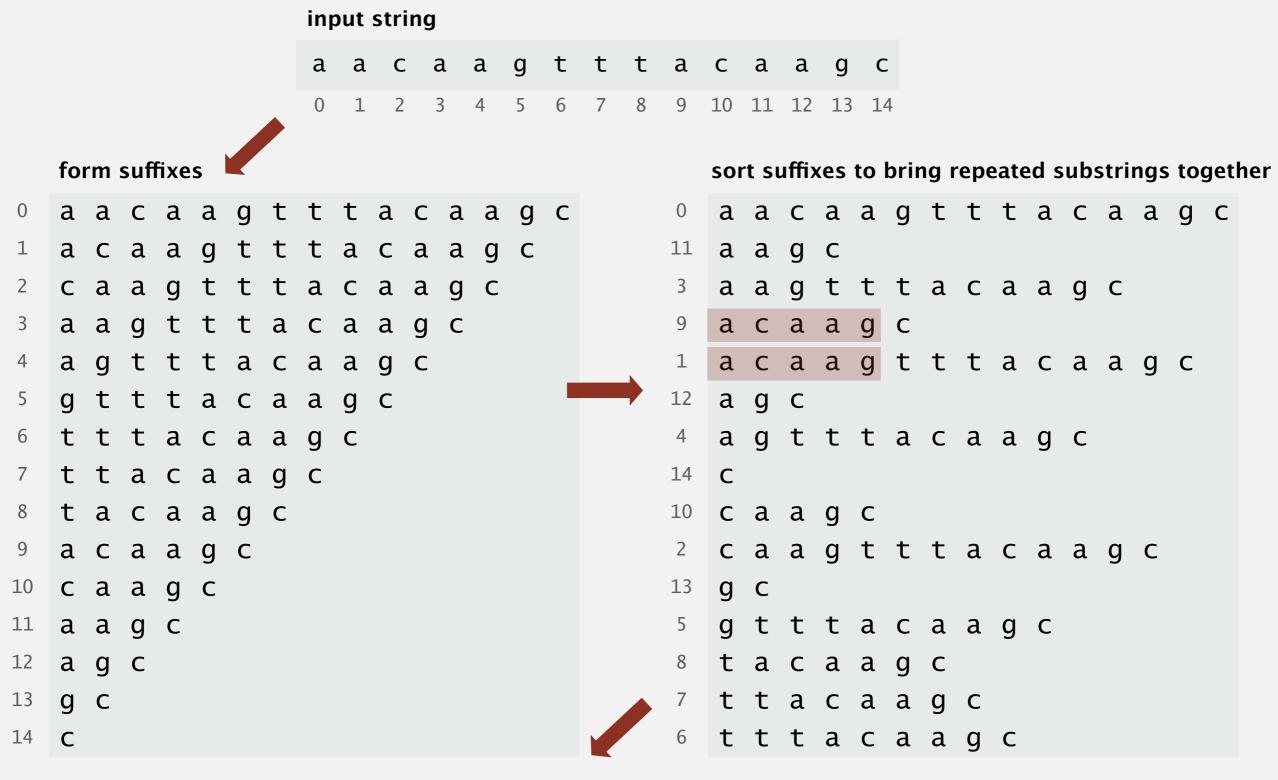
Brute-force algorithm.

- Try all indices *i* and *j* for start of possible match.
- · Compute longest common prefix (LCP) for each pair.

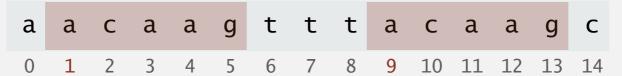


Analysis. Running time $\leq D N^2$, where D is length of longest match.

Longest repeated substring: a sorting solution



compute longest prefix between adjacent suffixes



Longest repeated substring: Java implementation

```
public String lrs(String s)
 int N = s.length();
  String[] suffixes = new String[N];
                                                                create suffixes
  for (int i = 0; i < N; i++)
                                                                (linear time and space)
     suffixes[i] = s.substring(i, N);
                                                                sort suffixes
 Arrays.sort(suffixes);
                                                                find LCP between
  String lrs = "";
                                                                adjacent suffixes in
  for (int i = 0; i < N-1; i++)
                                                                sorted order
     int len = lcp(suffixes[i], suffixes[i+1]);
     if (len > lrs.length())
        lrs = suffixes[i].substring(0, len);
  return lrs;
```

```
% java LRS < mobydick.txt
,- Such a funny, sporty, gamy, jesty, joky, hoky-poky lad, is the Ocean, oh! Th</pre>
```

Sorting challenge

Problem. Five scientists A, B, C, D, and E are looking for long repeated substring in a genome with over 1 billion nucleotides.

- *A* has a grad student do it by hand.
- *B* uses brute force (check all pairs).
- C uses suffix sorting solution with insertion sort.
- D uses suffix sorting solution with LSD string sort.
- • E uses suffix sorting solution with 3-way string quicksort.

but only if LRS is not long (!)

Q. Which one is more likely to lead to a cure cancer?

Longest repeated substring: empirical analysis

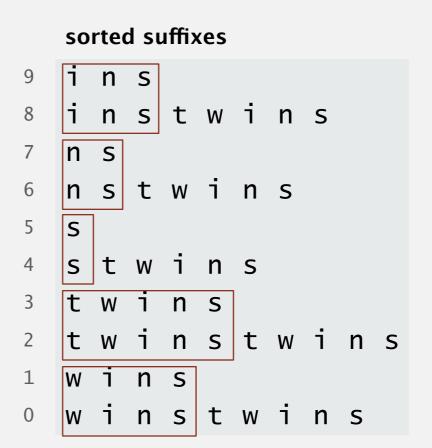
input file	characters	brute	suffix sort	length of LRS
LRS.java	2,162	0.6 sec	0.14 sec	73
amendments.txt	18,369	37 sec	0.25 sec	216
aesop.txt	191,945	1.2 hours	1.0 sec	58
mobydick.txt	1.2 million	43 hours †	7.6 sec	79
chromosome11.txt	7.1 million	2 months †	61 sec	12,567
pi.txt	10 million	4 months †	84 sec	14
pipi.txt	20 million	forever †	???	10 million

† estimated

Suffix sorting: worst-case input

Bad input: longest repeated substring very long.

- Ex: same letter repeated *N* times.
- Ex: two copies of the same Java codebase.



LRS needs at least 1 + 2 + 3 + ... + D character compares, where D = length of longest match.

Running time. Quadratic (or worse) in *D* for LRS (and also for sort).

Suffix sorting challenge

Problem. Suffix sort an arbitrary string of length *N*.

- Q. What is worst-case running time of best algorithm for problem?
 - Quadratic.
- ✓ Linearithmic. ← Manber-Myers algorithm
- ✓ Linear.

 ✓ suffix trees (beyond our scope)
 - Nobody knows.

Suffix sorting in linearithmic time

Manber-Myers MSD algorithm overview.

- Phase 0: sort on first character using key-indexed counting sort.
- Phase i: given array of suffixes sorted on first 2^{i-1} characters, create array of suffixes sorted on first 2^i characters.

Worst-case running time. $N \lg N$.

- Finishes after lg N phases.
- Can perform a phase in linear time. (!) [ahead]

original suffixes

```
babaaaabcbabaaaa0
  abaaabcbabaaaa0
  baaaabcbabaaaa0
  aaaabcbabaaaa0
  aaabcbabaaaa0
  aabcbabaaaa0
  abcbabaaaaa0
  bcbabaaaaa0
  cbabaaaaa0
  babaaaaa0
  abaaaa0
  baaaaa0
11
12 aaaaa 0
13 aaaa 0
14 a a a 0
15 a a 0
16 a 0
  0
17
```

key-indexed counting sort (first character)

```
0
17
  a b a a a a b c b a b a a a a a 0
  a 0
16
  a a a a b c b a b a a a a a 0
4 a a a b c b a b a a a a a 0
  a a b c b a b a a a a a 0
   abcbabaaaa0
15 a a 0
14 a a a 0
  a a a a 0
13
  a a a a a 0
  abaaaaa0
10
   b a b a a a a b c b a b a a a a a 0
   babaaaaa0
11 b a a a a a 0
   b c b a b a a a a a 0
  baaaabcbabaaaa0
  cbabaaaaa0
```



original suffixes

```
babaaaabcbabaaaa0
  abaaabcbabaaaa0
  baaaabcbabaaaa0
  aaaabcbabaaaa0
  aaabcbabaaaa0
  aabcbabaaaa0
  abcbabaaaa0
  bcbabaaaaa0
 cbabaaaaa0
  babaaaaa0
 abaaaaa0
  baaaaa0
11
12 aaaaa 0
13 aaaa 0
14 a a a 0
15 a a 0
16 a 0
  0
17
```

index sort (first two characters)

```
0
17
16 a 0
  a a a a a 0
  aaaabcbabaaaaa0
 aaabcbabaaaa0
  aabcbabaaaa0
 a a a a 0
  a a 0
 a a a 0
14
  abcbabaaaa0
  abaaabcbabaaaa0
  abaaaaa0
  babaaabcbabaaaa0
  babaaaaa0
11 baaaaa0
  baaaabcbabaaaa0
  bcbabaaaaa0
  cbabaaaaa0
```



original suffixes

```
babaaaabcbabaaaa0
  abaaabcbabaaaa0
  baaaabcbabaaaa0
  aaaabcbabaaaa0
  aaabcbabaaaa0
  aabcbabaaaa0
  abcbabaaaa0
  bcbabaaaaa0
  cbabaaaaa0
  babaaaaa0
  abaaaa0
  baaaaa0
11
12 aaaaa 0
13 aaaa 0
14 a a a 0
15 a a 0
16 a 0
  0
17
```

index sort (first four characters)

```
17 0
16 a 0
 a a 0
14 a a a 0
  aaaa b c b a b a a a a a 0
 aaaaa0
  aaaa0
  aaabcbabaaaa0
  aabcbabaaaa0
  abaaaabcbabaaaa0
  abaaaaa0
  abcbabaaaa0
  baaaabcbabaaaa0
 baaalaa 0
  babaaaabcbabaaaa0
  babaaaaa 0
  bcbabaaaaa0
  cbabaaaaa0
```



original suffixes

```
babaaaabcbabaaaa0
  abaaabcbabaaaa0
  baaaabcbabaaaa0
  aaaabcbabaaaaa0
  aaabcbabaaaa0
  aabcbabaaaa0
 abcbabaaaa0
  bcbabaaaaa0
  cbabaaaaa0
  babaaaaa0
  abaaaa0
  baaaaa0
11
12 aaaaa 0
13 aaaa 0
14 a a a 0
15 a a 0
16 a 0
  0
17
```

index sort (first eight characters)

```
17 0
16 a 0
 a a 0
14 a a a 0
 aaaa0
 aaaaa0
  aaaabcbabaaaa0
  aaabcbabaaaa0
  aabcbabaaaa0
 abaaaaa0
  abaaabcbabaaaa0
  abcbabaaaa0
 baaaaa0
11
  baaaabcbabaaaaa0
  babaaaaa 0
  babaaaabcbabaaaa0
  bcbabaaaaa0
  cbabaaaa 0
```

finished (no equal keys)

Constant-time string compare by indexing into inverse

```
original suffixes
                                index sort (first four characters)
                                                           inverse[]
  babaaaabcbabaaaa0
                                                             14
                               0
                             17
                                                           0
  abaaabcbabaaaa0
                               a 0
                                                              9
                             16
  baaaabcbabaaaa0
                                                             12
                               a a 0
  aaaabcbabaaaa0
                                                              4
                               aaa0
  aaabcbabaaaa0
                                                              7
                                aaaabcbabaaaaa0
  aabcbabaaaa0
                                                              8
                               aaaaa0
                             12
  abcbabaaaaa0
                                                             11
                             13
                               a a a a 0
                               aaabcbabaaaa0
  bcbabaaaa0
                                                             16
                                aabcbabaaaa0
  cbabaaaaa0
                                                             17
                                abaaabcbabaaaa0
  babaaaaa0
                                                             15
  abaaaa0
                                abaaaaa0
                                                             10
                                                          10
  baaaaa0
                                abcbabaaaa0
                                                             13
11
                                                          11
                                baaaabcbabaaaa0
                   0 + 4 = 4
                                                              5
12
  aaaaa0
                                                          12
                               baaaaa0
                                                              6
  aaaa0
                                                          13
13
                               babaaaabcbabaaaa0
  aaa0
                   9 + 4 = 13
                                                              3
14
                                                          14
                               babaaaa0
  a a 0
                                                              2
15
                                                          15
                                b c b a b a a a a a 0
16 a 0
                                                              1
                                                          16
                                cbabaaaaa0
  0
                                                              0
17
                                                          17
```

String sorting summary

We can develop linear-time sorts.

- Key compares not necessary for string keys.
- Use characters as index in an array.

We can develop sublinear-time sorts.

- Input size is amount of data in keys (not number of keys).
- Not all of the data has to be examined.

3-way string quicksort is asymptotically optimal.

• 1.39 *N* lg *N* chars for random data.

Long strings are rarely random in practice.

- Goal is often to learn the structure!
- May need specialized algorithms.

Algorithms

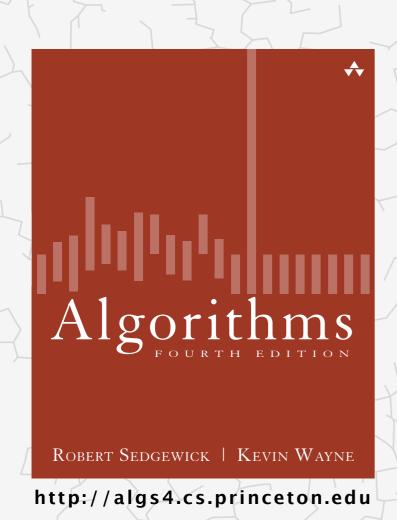
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