

# Notes of the Introduction To Algorithms

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**Part I**

**Foundations**



## **Chapter 1**

# **The Role of Algorithms in Computing**

## 1.1 Algorithms

### Exercies

1.1-1 Give a real-world example that requires sorting or a real-world example that requires computing a convex hull.

**Answer:** One example that requires sorting is that teachers will sort our scores after the exam.

1.1-2 Other than speed, what other measures of efficiency might one use in a real-world setting ?

**Answer:** cost, space, manpower, material resources. In different cases, each can be the key of measures of efficiency.

**Reference:** <https://www.quora.com/Other-than-speed-what-other-measures-of-efficiency-might-one-use-in-a-real-world-setting>

1.1-3 Select a data structure that you have seen previously, and discuss its strengths and limitations.

**Answer:** Array

strengths: access directly

limitations: costs lot when insert or delete

1.1-4 How are the [shortest-path](#) and [traveling-salesman](#) problems given [similar](#)? How they are [different](#)?

**Answer:**

1.1-5 Come up with a real-world problem in which only the best solution will do. Then come up with one in which a solution that is "approximately" the best is good enough.

**Answer:**



## **1.2 Algorithms as a technology**



## **Chapter 2**

# **Getting Started**



## **Chapter 3**

# **Growth of Functions**



## **Chapter 4**

# **Divide-and-conquer**





## **Chapter 5**

# **Probabilistic Analysis and Randomized Algorithms**



## **Part II**

# **Sorting and Order Statistics**



## **Chapter 6**

# **Heapsort**



## **Part III**

# **Data Structures**





## **Part IV**

# **Advanced Design and Analysis Techniques**



## **Part V**

# **Advanced Data Structures**



## **Part VI**

# **Graph Algorithms**



## **Chapter 7**

# **Minimum Spanning Tree**

## 7.1 Notes

- (i) There may be more than one MST in a forest.
- (ii) The number of all the edges in the MST is equal to  $V - 1$ .

## 7.2 Growing a minimum spanning tree

### 7.2.1 Definition

**A**

A is a subset of some minimum spanning tree.

#### Safe edge

Safe edge is an edge that can be added to A and A is also a subset of some minimum spanning tree.

### 7.2.2 Generic-MST

**GENERIC-MST**(G,  $w$ )

```

1  $A = \emptyset$ 
2 while A does not form a spanning tree
3   find an edge  $(u, v)$  that is safe edge for A
4    $A = A \cup \{(u, v)\}$ 
5 return A
```

**Initialization:** After line 1, the set A trivially satisfies the loop invariant.

**Maintenance:** The loop in lines 2-4 maintains the invariant by adding only safe edges.

**Termination:** All edges added to A are in a minimum spanning tree, and so the set A returned in line 5 must be a minimum spanning tree.



### 7.2.3 Theorem 1.

Let  $G = (V, E)$  be a connected, undirected graph with a real-valued weight function  $\omega$  defined on  $E$ . Let  $A$  be a subset of  $E$  that is included in some minimum spanning tree for  $G$ , let  $(S, V - S)$  be any cut of  $G$  that respects  $A$ , and let  $(u, v)$  be a light edge crossing  $(S, V - S)$ . Then, edge  $(u, v)$  is **safe** for  $A$ . **Namely,  $A \cup (u, v)$  is also included in some minimum spanning tree for  $G$ .**

**Proof** Let  $T$  be a minimum spanning tree that includes  $A$ , and **assume that  $T$  does not contain the light edge  $(u, v)$** , since if it does, the edge is obviously **safe** for  $A$ . We shall construct another minimum spanning tree  $T'$  that includes  $A \cup (u, v)$  by using cut-and-paste technique, thereby showing that  $(u, v)$  is a **safe** edge for  $A$ .

The edge  $(u, v)$  forms a **cycle** with the edges on the simple path  $p$  from  $u$  to  $v$  in  $T$ . Since  $u$  and  $v$  are on opposite sides of the cut  $(S, V - S)$ , at least one edge in  $T$  lies on the simple path  $p$  and also crosses the cut. Let  $(x, y)$  be any such edge. The edge  $(x, y)$  is not in  $A$ , because the cut respects  $A$ . Since  $(x, y)$  is on the unique simple path from  $u$  to  $v$  in  $T$ , removing  $(x, y)$  breaks  $T$  into two components. Adding  $(u, v)$  reconnects them to form a new spanning tree  $T' = T - \{(x, y)\} \cup \{(u, v)\}$ .

We next show that  $T'$  is a minimum spanning tree. Since  $(u, v)$  is a light edge crossing  $(S, V - S)$  and  $(x, y)$  also crosses this cut,  $w(u, v) \leq w(x, y)$ . Therefore,  $w(T') = w(T) - w(x, y) + w(u, v) \leq w(T)$ .

When  $w(T') = w(T)$ , we know that  $T'$  is also a minimum spanning tree, so the edge  $(u, v)$  is **safe** for  $A$ .

When  $w(T') < w(T)$ , since we let  $T$  be a minimum spanning tree and **assume** that  $T$  does not contain the light edge  $(u, v)$ . Therefore, the **assume** is false, so  $T$  must contain the light edge  $(u, v)$ , and the edge  $(u, v)$  is **safe** for  $A$ .

### 7.2.4 Exercises

#### 23.1-1

Let  $(u, v)$  be a minimum-weight edge in a connected graph  $G$ . Show that  $(u, v)$  belongs to some minimum spanning tree of  $G$ .

**Solution**

Let  $E_u$  be all the edges that connected to the point  $u$ .

- a. If there is only one edge connected to the point  $u$ , the edge belongs to **all** the minimum spanning tree of  $G$ .
- b. If there is more than one edge connected to the point  $u$ , we assume that  $(u, v)$  is not in any minimum spanning trees of  $G$ . There must be one edge  $(u, x)$   $x \neq v$  that is in some minimum spanning tree of  $G$ , since  $w(u, v) < w(u, x)$ , therefore, the edge  $(u, x)$  can not be in some minimum spanning tree of  $G$ . So there is conflict and the assume is false. So, the  $(u, v)$  belongs to some minimum spanning tree of  $G$ .

### 23.1-2

Professor Sabatier conjectures the following converse of Theorem 1. in Minimum Spanning Tree. Let  $G = (V, E)$  be a connected, undirected graph with a real-valued weight function  $w$  defined on  $E$ . Let  $A$  be a subset of  $E$  that is included in some minimum spanning tree for  $G$ , let  $(S, V - S)$  be any cut of  $G$  that respects  $A$ , and let  $(u, v)$  be a safe edge for  $A$  crossing  $(S, V - S)$ . Then,  $(u, v)$  is a light edge for the cut. Show that the professor's conjecture is incorrect by giving a counterexample.

#### Solution

- a. Here is a special case, the point  $v$  of  $(u, v)$  only has one edge, and  $w(u, v)$  is the largest, let  $(x, y)$  be any other edge that crosses the cut, obviously,  $(u, v)$  is not a light edge for the cut.
- b. Here is a generic case, assume that there is a light edge  $(u', v')$  crossing the cut and the edge has no common point with  $(u, v)$ , so  $w(u', v') < w(u, v)$ . After combine  $A$  with  $(u', v')$ , there is another cut  $cut'$  that crossing  $(u, v)$ , and it is a light edge for  $cut'$ . The previous case shows that  $(u, v)$  is not a light edge for any cut but some cut when  $(u, v)$  is a safe edge for  $A$ .

### 23.1-3

Show that if an edge  $(u, v)$  is contained in some minimum spanning tree, then it is a light edge crossing some cut of the graph.

#### Solution

Let  $T$  be the minimum spanning tree that contains the edge  $(u, v)$ , if we remove the edge from  $T$ , and the other edges are  $A$ , obviously there is some cut that crosses the edge  $(u, v)$  which respects  $A$ . Then we are going to show that the edge  $(u, v)$  is a light edge crossing these cut.

If there is only one edge crossing the cut, obviously the edge  $(u, v)$  is a light edge crossing the cut.

If there is more than one edge crossing the cut, let  $(x, y)$  be any edges crossing the cut other than  $(u, v)$ . Assume that  $w(x, y) < w(u, v)$ , there will another minimum spanning tree  $T'$  and  $w(T') = w(T) - \{(u, v)\} + \{(x, y)\} < w(T)$  which is impossible since the  $T$  is a minimum spanning tree. So the assume is contradiction and  $w(x, y) \geq w(u, v)$ , so the edge  $(u, v)$  is a light edge crossing some cut of the graph.

#### 23.1-4

Give a simple example of a connected graph such that the set of edges  $\{(u, v): \text{there exists a cut } (S, V - S) \text{ such that } (u, v) \text{ is a light edge crossing } (S, V - S)\}$  does not form a minimum spanning tree.

#### Solution

There is a quadrangle:  $V = A, B, C, D, E = (A, B), (A, C), (B, C), (B, D), (C, D)$ ,  $w(A, B) = w(A, C) = w(B, C) = 1, w(B, D) = w(C, D) = 2$ . Obviously,  $(A, B), (A, C)$  and  $(B, C)$  are lights edges crossing some cut. So the tree edges can join the set. And they construct a circle, so the set can not form a minimum spanning tree.

I think if we add **respect** to the set, then the set will form a minimum spanning tree. Such as,  $\{(u, v): \text{there exists a cut } (S, V - S) \text{ which respects this set such that } (u, v) \text{ is a light edge crossing } (S, V - S)\}$ , and the set will form a minimum spanning tree.

TODO: Prim -> Kruskal TODO: Kruskal -> Prim



**Part VII**

**Selected Topics**



## **Part VIII**

# **Appendix: Mathematical Background**

