#### UNIVERSITAT POLITÈCNICA DE VALÈNCIA INSTITUTO TECNOLÓGICO DE INFORMÁTICA

# PATTERN RECOGNITION AND HUMAN LANGUAGE TECHNOLOGY GROUP

IARFID Master Thesis

# Interactive Layout Analysis

Author: Lorenzo Quirós Díaz

Advisor: Carlos D. Martínez Hinarejos Co-advisor: Alejandro Héctor Toselli Co-advisor: Enrique Vidal Ruiz

August 10, 2016

Hi, nothing there yet:) ...

Acknowledgements

# **CONTENTS**

1	Introduct	tion	1
	1.1 Intro	oduction	2
	1.2 Mot	tivation	2
	1.3 Rela	ated Work	2
	1.4 Ove	erview of the Proposal Approach	2
	1.5 Con	text of Applications and Assumptions	2
		ected Outcomes/Results	2
	1		
2	Fundame	ents	3
			4
	2.2 Ima	ge Segmentation	4
	2.3 Con	ditional Random Fields	4
	2.3.	1 Definition	4
	2.3.	2 CRFsuit Toolkit	4
	2.4 Inte	ractive Pattern Recognition	4
			4
			4
		, ,	
3	Interactiv	ve Layout Analysis	5
	3.1 Inte	ractive Layout Analysis	6
	3.2 Syst	tem Architecture	6
	3.3 Prep	processing	7
			7
	3.5 CRI	F's Learning	7
			7
			7
			7
			7
			7
4			9
	4.1 Exp	eriments and Results	0
	4.2 Ove	rview	0
	4.3 Cor	pus Description	0
	4.4 Imp	lementation	1
		eriments	1
	4.5.		1
	4.5.		1
	4.5.		2
	4.5.		
		ults Discussion	
	Bibliogra		
	210110614	<del>p.</del> y	J
No	menclatui	re 1	.5
SI	hiect Inde	v 1	7

# LIST OF FIGURES

3.1	System Architecture Diagram	6
4.1	Plantas-1 Layout Zones; green for marginalia, red for paragraph and blue for catch-word	10
4.2	Site grouping approach results examples.	12
4.3	Proposed method results example, blue line for ground trough, red line for site grouping approach,	
	green line for proposed approach.	13

Lorenzo Quirós Díaz Interactive Layout Analysis

# LIST OF TABLES

4.1 CRF's Site level Results
------------------------------

Lorenzo Quirós Díaz Interactive Layout Analysis

# **INTRODUCTION**

## **Chapter Outline**

1.1	Introduction	
1.2	Motivation	
1.3	Related Work	
1.4	Overview of the Proposal Approach	
1.5	Context of Applications and Assumptions	
1.6	Expected Outcomes/Results	

Lorenzo Quirós Díaz

#### 1.1 Introduction

- Whats HTR and Layout Analysis
- Whats Interactive Pattern Recognition
- What are we going to do here:)
- Work structure (ie paper estructure)

#### 1.2 Motivation

- Why to focus on Layout Analysis and Interactive Pattern Recognition
- •

#### 1.3 Related Work

- Image Segmentation
- Heuristics
- HMMs, NN, grammars ....

### 1.4 Overview of the Proposal Approach

- 1.5 Context of Applications and Assumptions
- 1.6 Expected Outcomes/Results

## **FUNDAMENTS**

2.1	Fundaments	4
2.2	Image Segmentation	4
2.3	Conditional Random Fields	4
2.4	Interactive Pattern Recognition	4
2.5	Gradient Descent	4
Bibl	iography	4

- 2.1 Fundaments
- 2.2 Image Segmentation
- 2.3 Conditional Random Fields
- 2.3.1 Definition
- 2.3.2 CRFsuit Toolkit

This is the reference [1]

## 2.4 Interactive Pattern Recognition

#### 2.5 Gradient Descent

## **Bibliography**

[1] Okazaki, N. (2007). CRFsuite: a fast implementation of Conditional Random Fields (CRFs).

## INTERACTIVE LAYOUT ANALYSIS

### **Chapter Outline**

3.1	Interactive Layout Analysis	6
	System Architecture	
3.3	Preprocessing	7
3.4	Feature Extraction	7
3.5	CRF's Learning	7
3.6	GMM Learning	7
<b>3.7</b>	Decoding	7
3.8	User Interaction	7
3.9	Evaluation Measures	7
Bibl	liography	7

## 3.1 Interactive Layout Analysis

## 3.2 System Architecture

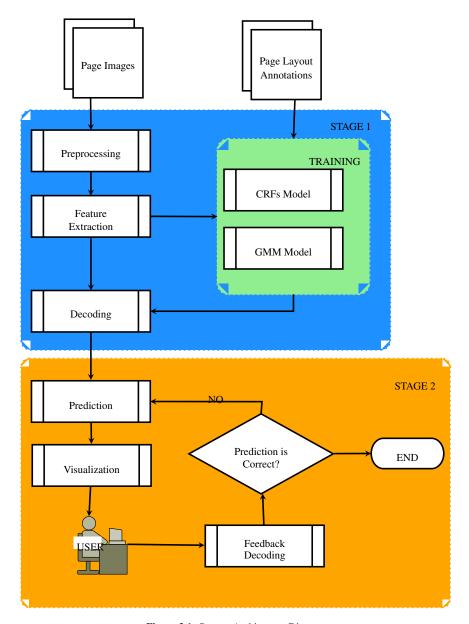


Figure 3.1: System Architecture Diagram

- 3.3 Preprocessing
- 3.4 Feature Extraction
- 3.5 CRF's Learning
- 3.6 GMM Learning
- 3.7 Decoding
- 3.8 User Interaction
- 3.9 Evaluation Measures

Bibliography

## **EXPERIMENTS AND RESULTS**

## **Chapter Outline**

4.1	Experiments and Results	
4.2	Overview	
4.3	Corpus Description	
4.4	Implementation	
4.5	<b>Experiments</b>	
4.6	Results Discussion	
Bibl	iography	

Lorenzo Quirós Díaz

#### 4.1 Experiments and Results

#### 4.2 Overview

### 4.3 Corpus Description

First tome of a seven volume manuscript entitled "Historia de las Plantas" –PLANTAS for short– was selected due it's well structured layout and becouse ground trouht layout is already available. PLANTAS is a XVII century handwritten botanical specimen book compiled by Bernardo Cienfuegos, one of the most outstanding Spanish botanists in the XVII century. The first volume of PLANTAS consists of a prologue and 152 chapters which make over 1 000 pages with layout ground trouth already labeled using the following categories: catch-word, heading, marginalia, page-number, paragraph, signature-mark, float (drawings); see Figure ?? for reference.

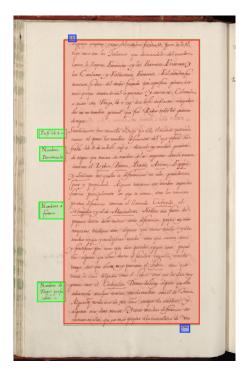


Figure 4.1: Plantas-1 Layout Zones; green for marginalia, red for paragraph and blue for catch-word

### 4.4 Implementation

## 4.5 Experiments

#### 4.5.1 Conditional Random Fields

Table 4.1: CRF's Site level Results

Page	Precision	Recall	F1
0944	0.908446	0.914158	0.907493
0945	0.952957	0.952866	0.952905
0946	0.957523	0.955519	0.955996
0947	0.950765	0.951733	0.951163
0948	0.948534	0.950719	0.949605
0956	0.893606	0.896160	0.890686
0957	0.946151	0.945495	0.945381
0958	0.930706	0.927327	0.927419
0959	0.952251	0.951662	0.951921
0960	0.954842	0.953900	0.953925
0961	0.950211	0.950488	0.950346
0962	0.909336	0.920790	0.910282
0963	0.919310	0.914148	0.915994
0964	0.909319	0.910156	0.907617
0965	0.942231	0.941867	0.942029
0966	0.947065	0.946779	0.946860
0967	0.944621	0.946028	0.945210
Global	0.936154	0.936629	0.935977

#### 4.5.2 Site Grouping Approach

In order to have a point of comparison, a simple site grouping rectangle generator have been implemented, this is all adjacent sites classified as "paragraph" are grouped, then we search for the minimum rectangle where all sites of the same group fits and finally we select only the biggest rectangle, see Figure 4.2 for some examples.

Change images to model z0.3\_w33\_g3

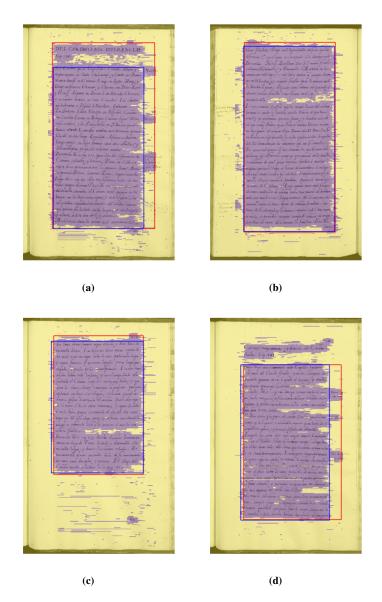


Figure 4.2: Site grouping approach results examples.

### 4.5.3 Prior-Probability Approach

Prior-Probability is used to estimate the best "paragraph" coordinates, this is we maximize Eq. (Add reference!!!) over all  $(u_k, b_k)$  in the image range using Brute Force approach (Time expended on this stage:  $\approx 25$  seconds using a non-vectorized function). See Figure 4.3

Add numerical results based on [1] method

Remove axis from images

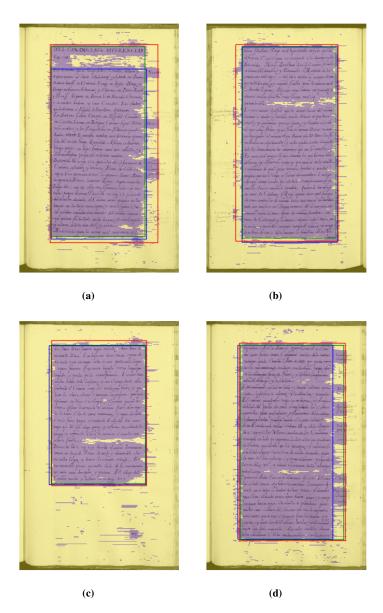


Figure 4.3: Proposed method results example, blue line for ground trough, red line for site grouping approach, green line for proposed approach.

#### 4.5.4 Interactive Approach

#### 4.6 Results Discussion

### **Bibliography**

[1] Stamatopoulos, N., Louloudis, G., and Gatos, B. (2015). Goal-Oriented Performance Evaluation Methodology for Page Segmentation Techniques. In *13th International Confrence on Document Analysis and Recognition - ICDAR'15*, pages 281–285.

# **NOMENCLATURE**

CRF Conditional Random Field

GMM Gaussian Mixture Model

Lorenzo Quirós Díaz Interactive Layout Analysis