

How well do truth probes generalise?

by mishajw 24th Feb 2024

Representation engineering (RepEng) has emerged as a promising research avenue for model interpretability and control. Recent papers have proposed methods for [discovering truth in models with unlabeled data](#), [guiding generation by modifying representations](#), and [building LLM lie detectors](#). RepEng asks the question: If we treat representations as the central unit, how much power do we have over a model's behaviour?

Most techniques use linear probes to monitor and control representations. An important question is whether the probes **generalise**. If we train a probe on the truths and lies about the locations of cities, will it generalise to truths and lies about Amazon review sentiment? This report focuses on **truth** due to its relevance to safety, and to help narrow the work. [?]

Generalisation is important. Humans typically have one generalised notion of “truth”, and it would be enormously convenient if language models also had just one^[1]. This would result in extremely robust model insights: every time the model “lies”, this is reflected in its “truth vector”, so we could detect intentional lies perfectly, and perhaps even steer away from them.

We find that truth probes generalise surprisingly well, with **the 36% of methodologies recovering >80% of the accuracy on out-of-distribution datasets** compared with training directly on the datasets. The **best probe recovers 92% accuracy**.

Thanks to [Hoagy Cunningham](#) for feedback and advice. Thanks to [LISA](#) for hosting me while I did a lot of this work. Code is available at [mishajw/repeng](#), along with [steps](#) for reproducing datasets and plots.

Methods

We run all experiments on [Llama-2-13b-chat](#), for parity with the source papers. Each probe is trained on 400 questions, and evaluated on 2000 different questions, although numbers may be lower for smaller datasets.

What makes a probe?

A probe is created using a **training dataset**, a **probe algorithm**, and a **layer**.

We pass the **training dataset** through the model, extracting activations^[2] just after a given **layer**. We then run some statistics over the activations, where the exact technique can vary significantly - this is the **probe algorithm** - and this creates a linear probe. [Probe algorithms°](#) and [datasets°](#) are listed below.

A probe allows us to take the activations, and produce a scalar value where larger values represent “truth” and smaller values represent “lies”. The probe is always linear. It’s defined by a vector (v), and we use it by calculating the dot-product against the activations (a): $v^T a$. In most cases, we can avoid picking a threshold to distinguish between truth and lies (see [appendix°](#) for details).

We always take the activations from the **last token position** in the prompt. For the majority of the datasets, the factuality of the text is only revealed at the last token, for example if saying true/false or A/B/C/D.

For this report, we’ve replicated the probing algorithm and datasets from three papers:

- [Discovering Latent Knowledge in Language Models Without Supervision](#) (DLK).
- [Representation Engineering: A Top-Down Approach to AI Transparency](#) (RepE).
- The Geometry of Truth: Emergent Linear Structure in Large Language Model Representations of True/False Datasets (GoT).

We also borrow a lot of terminology from [Eliciting Latent Knowledge from Quirky Language Models](#) (QLM), which offers another great comparison between probe algorithms.

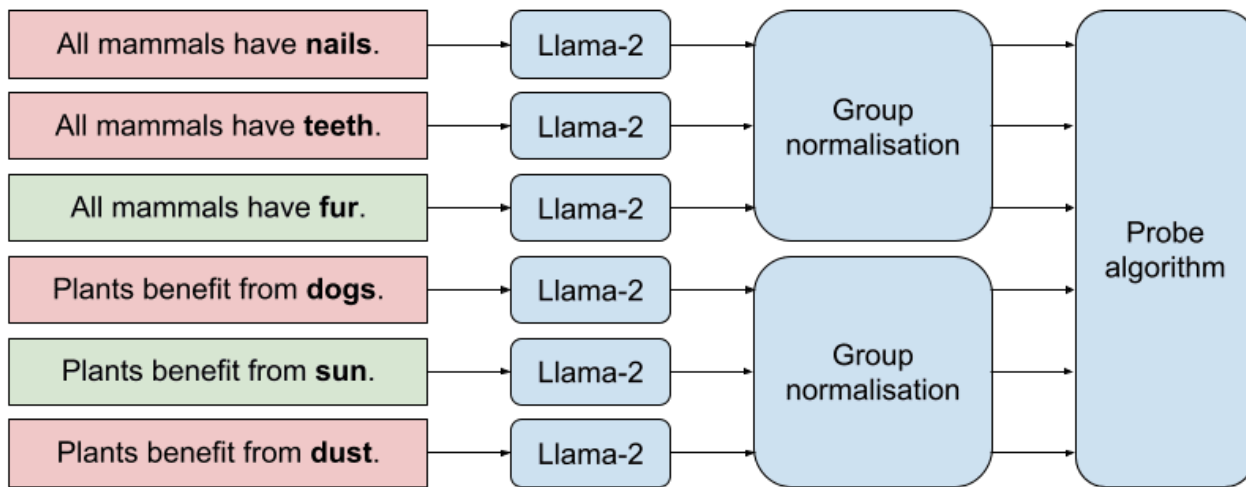
Probe algorithms

The DLK, RepE, GoT, and QLM papers describe eight probe algorithms. For each algorithm, we can ask whether it's **supervised** and whether it uses **grouped** data.

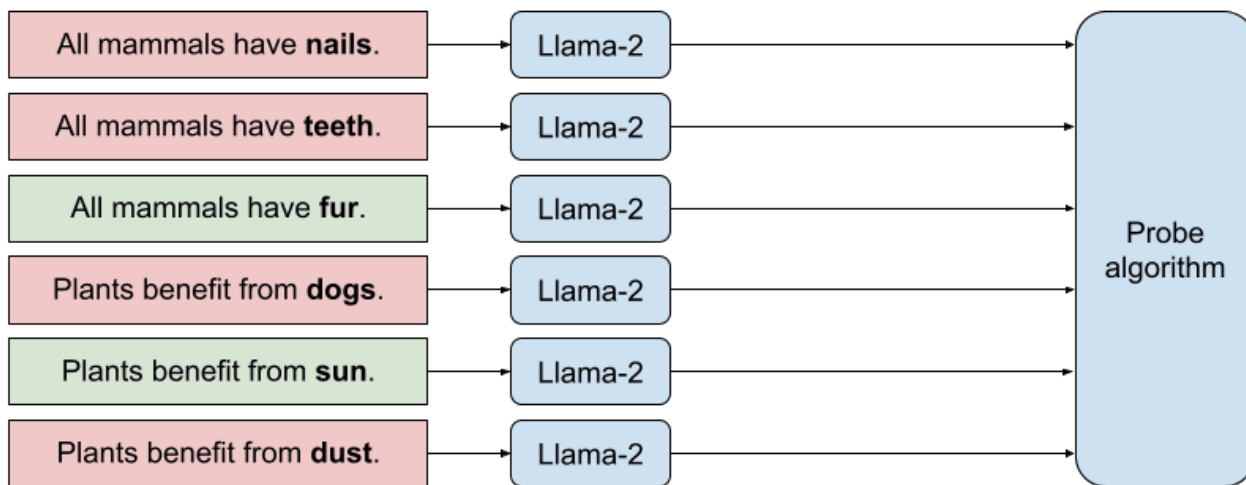
Supervised algorithms use the true/false labels to discover probes. This should allow better performance when truth isn't salient in the activations. However, using supervised data encourages the probes to predict what humans would label as correct rather than what the model believes is correct.

Grouped algorithms utilise “groups” of statements to build the probes. For example, all possible answers to a question (true/false, A/B/C/D) constitute a group. Using this information should allow the probe to remove noise from the representations.

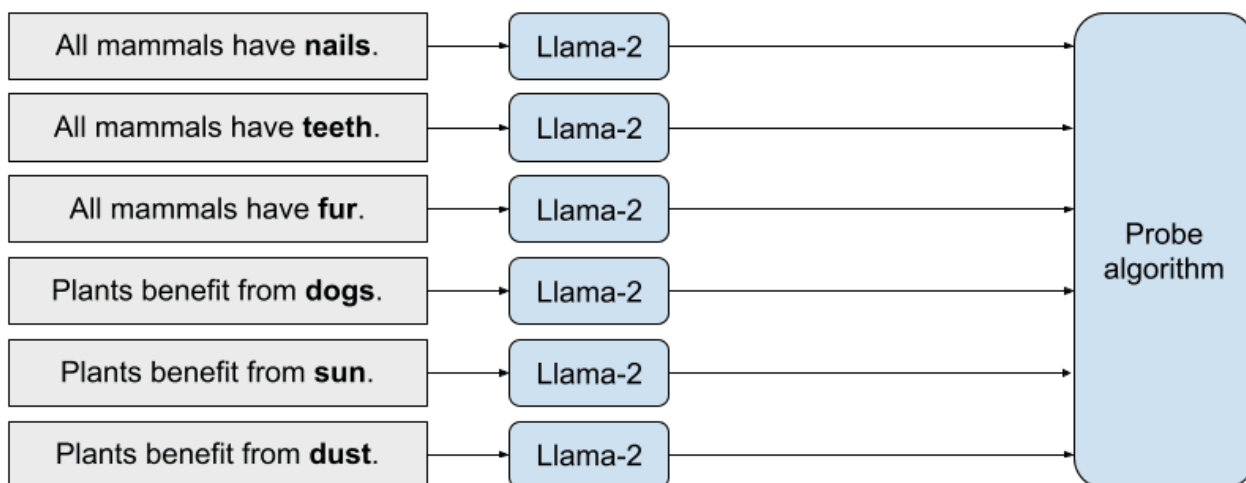
Example of **grouped, labelled** data



Example of **ungrouped, labelled** data



Example of **ungrouped, unlabelled** data



Sketch of what the data and training process looks like when we have grouped/ungrouped and labelled/unlabelled data. Note that for CCS the “group normalisation” stage consists of taking two contrasting statements and returning the difference between them.

	Outline	Supervised ^[3] ?	Grouped
Linear Artificial Tomography (LAT) from RepE.	Takes the first principle component of the differences between random pairs of activations. Details.	No.	No.
Contrast-Consistent Search (CCS) from DLK.	<p>Given contrastive statements (e.g. “is the sky blue? yes” and “is the sky blue? no”), build a linear probe that satisfies:</p> <ul style="list-style-type: none"> Consistency: $p(x_+) = 1 - p(x_-)$ Confidence: $\min(p(x_+), p(x_-)) = 0$ Details.	No.	Yes.
Difference-in-means (DIM) from GoT (as MMP).	Take the difference in means between true and false statements. Details.	Yes.	No. ^[4]
Linear discriminant analysis (LDA) from GoT (as MMP-IID).	<p>Take the difference in means between true and false statements (like DIM), and skew the decision boundary by the inverse of the covariance matrix of the activations. Details.</p> <p>Intuitively, this takes the truth direction and then accounts for interference with other features.</p>	Yes.	No.
Principal component analysis (PCA).	Take the top principal component of the activations. Details.	No.	No.

Grouped principal component analysis (PCA-G) ^[5] from DLK (as CRC-TPC)	For each question, calculate the mean activation and subtract it from every answer’s activations. Then take the top principal component of the question-normalised activations. Details .	No.	Yes.
Logistic regression (LR).	Perform a logistic regression, taking in activations and predicting whether they are true. Details .	Yes.	No.
Grouped logistic regression (LR-G).	As LR, but using activations that have the group means subtracted. Details .	Yes.	Yes.

Datasets

The key contribution of the papers above isn’t just the probe algorithms, it’s also the datasets they use to build the probes. Across all papers, we find 18 datasets (eight from DLK, five from RepE, and five from GoT).

The **DLK** datasets are straight-forward binary questions, used to craft contrasting pairs.

The **RepE** datasets differ in two important ways. One, they allow more than two answers per question, as the RepE paper doesn’t use contrasting pairs. Two, they add the suffix “*The probability of the answer being plausible is*” which prompts the model to evaluate the truthfulness of the statement.

The **GoT** dataset is the most principled of this collection. They take “uncontroversial, unambiguous, and simple enough” statements, attempting to hone in on the obviously true. These statements really are simple, such as “{city} is in {country}” or “{x} is less than {y}”.

	Choices?	Style?	Simple?	Approx. prompt	Datasets
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				template	
DLK	Binary ^[6] .	Q&A.	No.	<p><i>Consider the following example:</i></p> <p><i>{content}</i></p> <p><i>Choice 1:</i></p> <p><i>{choice1}</i></p> <p><i>Choice 2:</i></p> <p><i>{choice2}</i></p> <p><i>Between choice 1 and choice 2, the sentiment of this passage is</i></p> <p><i>{choice 1 / choice 2}</i></p>	imdb, amazon_polar, ag_news, dbpedia_1, rte, copa, boolq, piqa
RepE	Multiple (2-5).	Q&A.	No.	<p><i>Consider the correctness of the following:</i></p> <p><i>Question:</i></p> <p><i>{question}</i></p> <p><i>Answer:</i></p> <p><i>{answer}</i></p> <p><i>The probability of the answer being plausible is</i></p>	openbook_qa, common_sense_qa, race, arc_challenge, arc_easy
GoT	Binary/none ^[7] .	Statements.	Yes.	<p><i>The city of {city} is in {country}.</i></p>	cities, sp_en_trans, larger_than, cities_cities_conj, cities_cities_disj

Measuring generalisation

We measure generalisation by seeing how well probes trained on one dataset generalise to other out-of-distribution datasets. For example, we train a probe on whether a news article is about business or sports (**ag_news**) and see how well it performs when detecting if numbers are bigger or smaller than each other (**larger_than**). We use 18 diverse datasets.

Recovered accuracy

We measure how well a probe recovers the accuracy on an out-of-distribution dataset, compared with training a probe directly on that dataset.

For each evaluation dataset, we create an accuracy threshold for that dataset. When evaluating whether a probe generalises to the evaluation dataset, we compare its accuracy to this threshold.

To **create a threshold** for a dataset we:

- Train a suite of probes (one for every probing algorithm and layer) on the *train* subset.
- Take the best performing probe according to accuracy on the *validation* subset.
- Take its accuracy on the *test* subset as the threshold.

To **evaluate a probe** against a threshold we:

- Train the probe on the *train* subset of its dataset (typically different from the evaluation dataset).
- Evaluate the accuracy of the probe on the *evaluation* dataset's *test* subset.
- Taking the percent of the threshold accuracy achieved by the probe (accuracy/threshold).
- We clip recovered accuracy at 100%.

Finding the best generalising probe

The obvious way to compare two probes is to compare their average recovered accuracy across all datasets. However, if one probe has been trained on a dataset that is *difficult to generalise to* but nevertheless still offers good performance, then it has an unfair advantage.

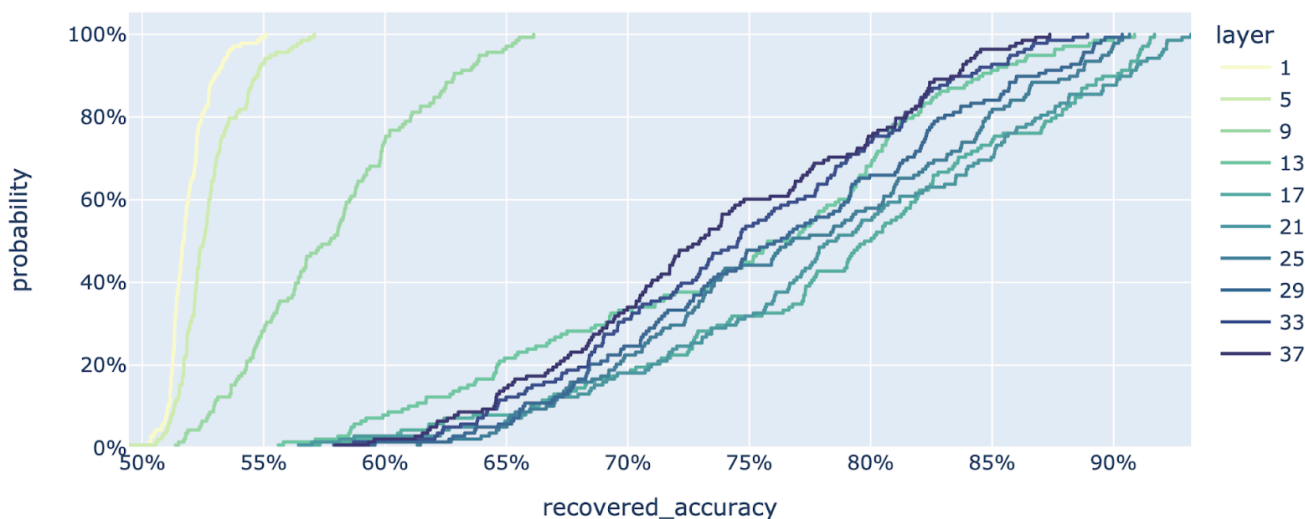
To remedy this, do a pairwise comparison between each probe which looks at only the datasets that *neither* of them were trained on. We take the best probe as the probe with the highest number of “wins” across all pairwise comparisons.

Note that, as above, we perform the pairwise comparisons on the validation set. All results below are reported from the test set.

Results

We train ~1.5K probes (8 algorithms * 18 datasets * 10 layers^[8]), and evaluate on each dataset, totalling ~25K evaluations (~1.5K probes * 18 datasets).

While probes on early layers (≤ 9) perform poorly, we find that for mid-to-late layers (≥ 13) **36% of all probes recover >80% accuracy**. This is evidence in favour of a single generalised truth notion in Llama-2-13b-chat. However, the fact that we don’t see 100% recovered accuracy in all cases suggests that either (1) there is interference in how truth is represented in these models, and the interference doesn’t generalise across datasets, or (2) truth is in fact represented in different but highly correlated ways.



ECDF plots of recovered accuracy, broken down by layer. You can read this as: for a given recovered accuracy, what percent of probes trained achieved it?

The **best** generalising probe is a DIM probe trained with **dbpedia_14** on layer 21. It recovers, on average, **92.8% of accuracy on all datasets**.

Examining the best probe

Let's dig a bit deeper into our best probe. We vary each of the three hyperparameters (algorithm, train dataset, and layer) individually. This shows that the probe isn't too sensitive to hyperparameters, as other choices perform nearly as well.

algorithm	eval																
	DIM (best)	got_cities_cities_conj	copa	amazon_polarity	imdb	boolq	race	arc_easy	common_sense_qa	got_larger_than	got_cities	open_book_qa	rte	arc_challenge	piqa	ag_news	got_cities_cities_disj
		99%	100%	99%	97%	90%	95%	96%	88%	96%	99%	89%	88%	88%	98%	97%	76%
	PCA-G	97%	76%	77%	93%	93%	88%	88%	92%	71%	51%	80%	67%	79%	88%	70%	75%
	LR	97%	95%	100%	98%	88%	86%	73%	81%	96%	99%	63%	88%	67%	98%	97%	57%
	LR-G	97%	98%	100%	98%	91%	92%	93%	87%	97%	99%	81%	89%	87%	100%	97%	80%
	CCS	58%	95%	99%	94%	98%	87%	63%	71%	60%	76%	60%	85%	63%	87%	83%	66%
	PCA	97%	75%	77%	91%	92%	87%	87%	91%	61%	58%	76%	67%	79%	91%	65%	77%
	LAT	97%	72%	64%	88%	90%	87%	86%	91%	61%	53%	76%	67%	78%	87%	61%	77%
	LDA	98%	68%	99%	98%	92%	62%	48%	55%	86%	53%	49%	78%	58%	70%	92%	58%

How well training on *dbpedia_14* and layer 21 generalises to evaluation datasets when varying the probe algorithm. Recovered accuracy metric is shown.

train	got_cities_cities_conj	100%	76%	97%	89%	94%	93%	99%	93%	79%	92%	88%	66%	90%	91%	95%	83%	52%
	copa	93%	93%	98%	97%	100%	96%	97%	92%	99%	65%	86%	74%	87%	96%	87%	70%	50%
	amazon_polarity	97%	94%	99%	98%	95%	96%	96%	88%	96%	96%	78%	91%	88%	94%	97%	68%	90%
	dbpedia_14 (best)	99%	100%	99%	97%	90%	95%	96%	88%	96%	99%	89%	88%	88%	98%	97%	76%	76%
	imdb	88%	92%	99%	99%	98%	93%	93%	90%	99%	89%	69%	90%	85%	96%	97%	65%	97%
	boolq	98%	95%	95%	88%	74%	93%	94%	93%	90%	53%	83%	67%	87%	93%	95%	58%	50%
	race	98%	90%	98%	97%	99%	97%	100%	94%	53%	58%	92%	76%	94%	97%	86%	71%	52%
	arc_easy	97%	83%	97%	95%	98%	95%	100%	93%	51%	55%	88%	73%	92%	94%	86%	84%	50%
	common_sense_qa	98%	90%	98%	98%	100%	96%	100%	94%	51%	55%	88%	71%	93%	97%	89%	80%	52%
	got_larger_than	99%	94%	98%	96%	99%	92%	64%	57%	100%	97%	63%	89%	66%	95%	94%	69%	97%
	got_cities	99%	77%	99%	96%	89%	57%	42%	44%	70%	97%	38%	66%	37%	95%	86%	68%	98%
	open_book_qa	97%	85%	96%	91%	98%	95%	99%	93%	51%	52%	91%	74%	94%	87%	80%	85%	50%
	rte	99%	90%	99%	97%	91%	95%	94%	84%	100%	86%	78%	81%	87%	93%	97%	75%	97%
	arc_challenge	97%	83%	96%	93%	99%	95%	100%	93%	51%	51%	88%	73%	93%	92%	85%	83%	50%
	piqa	94%	92%	99%	98%	100%	94%	96%	83%	97%	59%	79%	83%	88%	96%	92%	70%	52%
	ag_news	98%	100%	99%	97%	88%	95%	92%	86%	93%	98%	78%	89%	88%	98%	97%	80%	62%
	got_cities_cities_disj	98%	87%	65%	54%	67%	85%	96%	91%	78%	86%	82%	66%	89%	90%	80%	88%	52%
	got_sp_en_trans	98%	63%	100%	99%	97%	38%	49%	55%	60%	100%	59%	90%	44%	91%	89%	61%	98%
		got_cities_cities_conj	copa	amazon_polarity	imdb	boolq	race	arc_easy	common_sense_qa	got_larger_than	got_cities	open_book_qa	rte	arc_challenge	piqa	ag_news	got_cities_cities_disj	got_sp_en_trans
		eval																

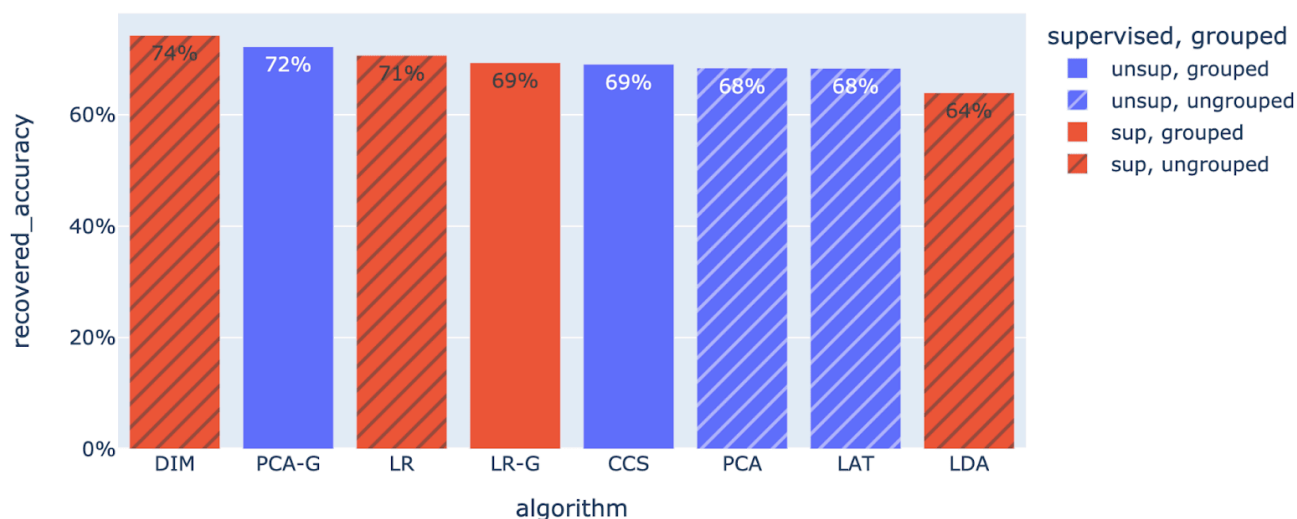
How well training with DIM and layer 21 generalises to evaluation datasets when varying training dataset. Recovered accuracy metric is shown.

layer	1	5	9	13	17	21 (best)	25	29	33	37
	53%	61%	74%	98%	99%	99%	97%	98%	96%	96%
	63%	63%	61%	83%	97%	100%	95%	95%	94%	92%
	52%	53%	53%	99%	100%	99%	99%	99%	99%	99%
	53%	52%	62%	99%	98%	97%	97%	97%	97%	97%
	74%	74%	76%	96%	99%	90%	84%	82%	72%	69%
	40%	36%	46%	72%	94%	95%	96%	94%	92%	90%
	29%	34%	39%	58%	81%	96%	96%	96%	96%	97%
	31%	33%	48%	75%	84%	88%	91%	90%	91%	91%
	55%	51%	70%	100%	99%	96%	96%	99%	94%	85%
eval	53%	51%	100%	100%	98%	99%	94%	84%	73%	66%
	39%	33%	49%	41%	60%	89%	88%	83%	84%	81%
	67%	67%	82%	91%	86%	88%	87%	87%	83%	84%
	36%	36%	44%	56%	76%	88%	91%	88%	90%	88%
	65%	65%	65%	94%	99%	98%	95%	97%	91%	97%
	61%	63%	91%	97%	98%	97%	97%	97%	97%	96%
	60%	61%	73%	74%	71%	76%	79%	80%	72%	70%
	66%	55%	64%	98%	86%	76%	52%	50%	50%	52%

How well training with DIM and dbpedia_14 generalises to evaluation datasets when varying the layer.
Recovered accuracy metric is shown.

Examining algorithm performance

Let’s break down by algorithm, and take the average recovered accuracy across all probes created using that algorithm.

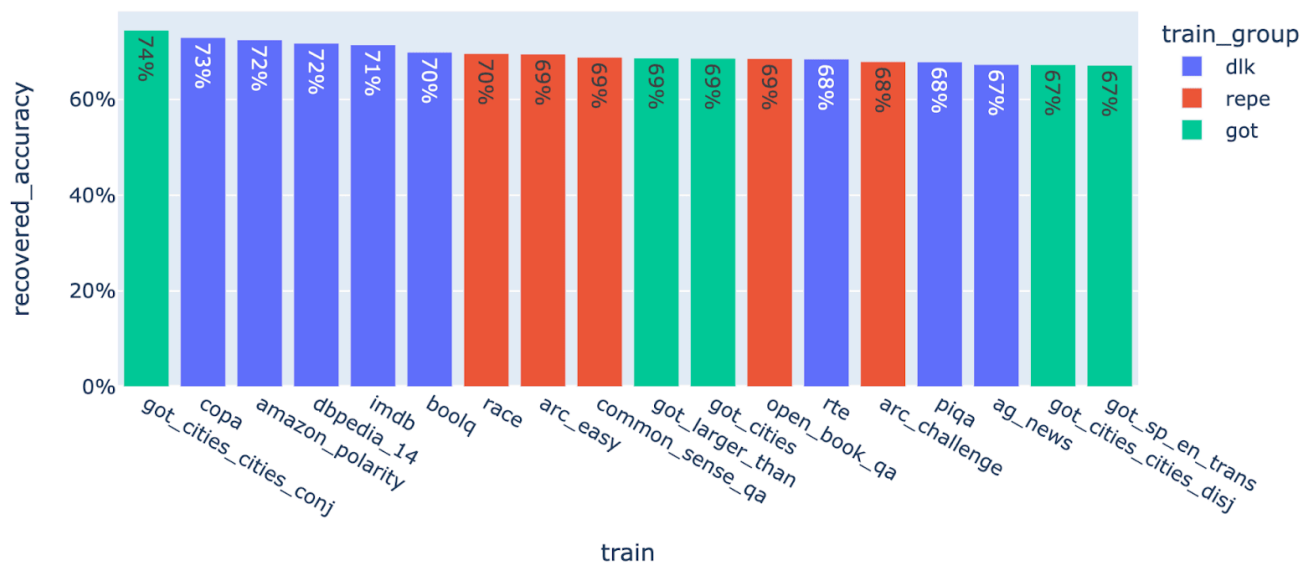


Takeaways:

- There is little variation in probe performance.
- Supervised methods appear to outperform unsupervised methods.
- There isn't a standout pattern in grouped methods: the PCA-G significantly outperforms the PCA version, but there's less of a difference between LR and LR-G.
- LDA is an outlier, performing significantly worse than other probes. See [appendix°](#) for further investigation.

Examining dataset performance

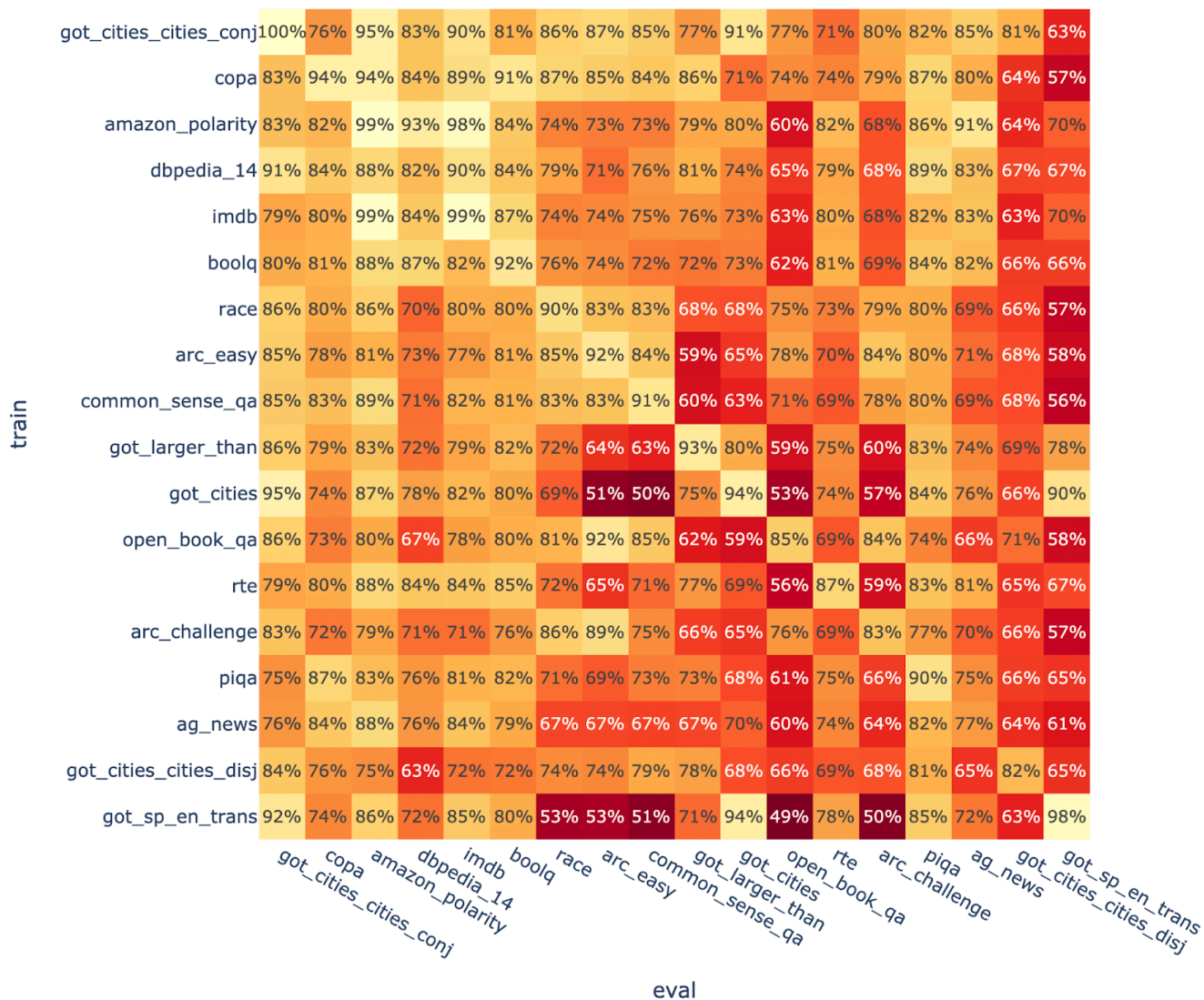
Similarly to above, we break down by what dataset the probe is trained on.



Takeaways:

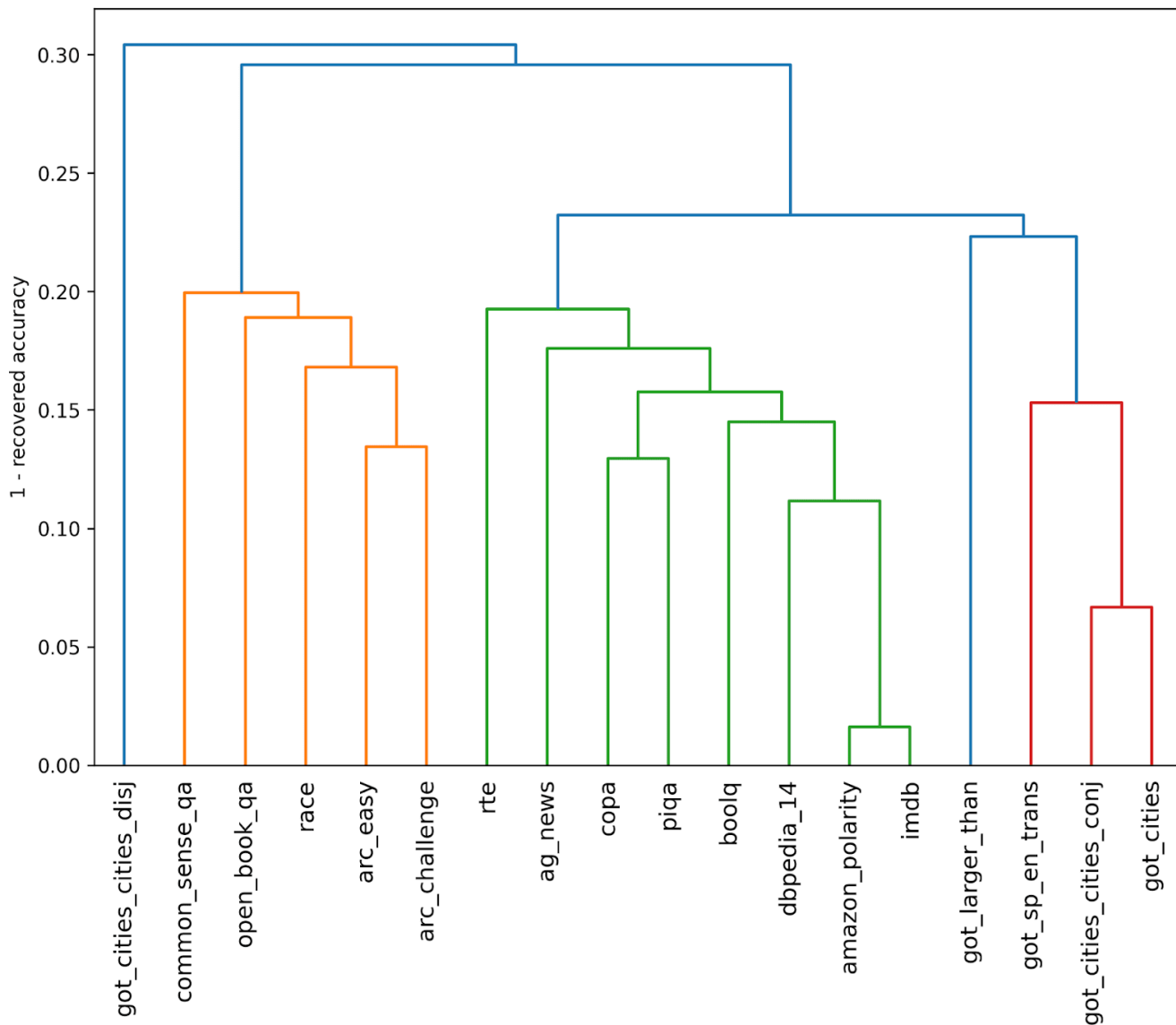
- The DLK datasets generally perform better than RepE and GoT datasets.
- Surprisingly, the got_cities_cities_conj dataset outperforms all other datasets, and has a huge margin over the other GoT datasets.
 - My initial assumption was that the **threshold** for this dataset was set very low, so all probes managed to meet it. This was not the case, the threshold is set to 99.3%.

We can also plot a “generalisation matrix” showing how well each dataset generalises to each other dataset.



How well each training dataset generalises to different evaluation datasets. Metric is recovered accuracy averaged over layer and probe algorithm. We only look at layer \geq 13.

There seems to be some structure here. We explore further by clustering the datasets, and find that they do form DLK, RepE, and GoT clusters:



Agglomerative clustering of the datasets, where we use (1 - recovered accuracy) as the distance measure between datasets, and use average distances to merge clusters. We only look at layer ≥ 13 .

Another interesting thing to look at is a comparison between how well a dataset *generalises to other datasets*, and how well other datasets *generalise to it*.



Comparison of how well a dataset generalises to other datasets (generalizes_from) and how well other datasets generalise to that dataset (generalizes_to). Mean recovered accuracy shown.

Takeaways:

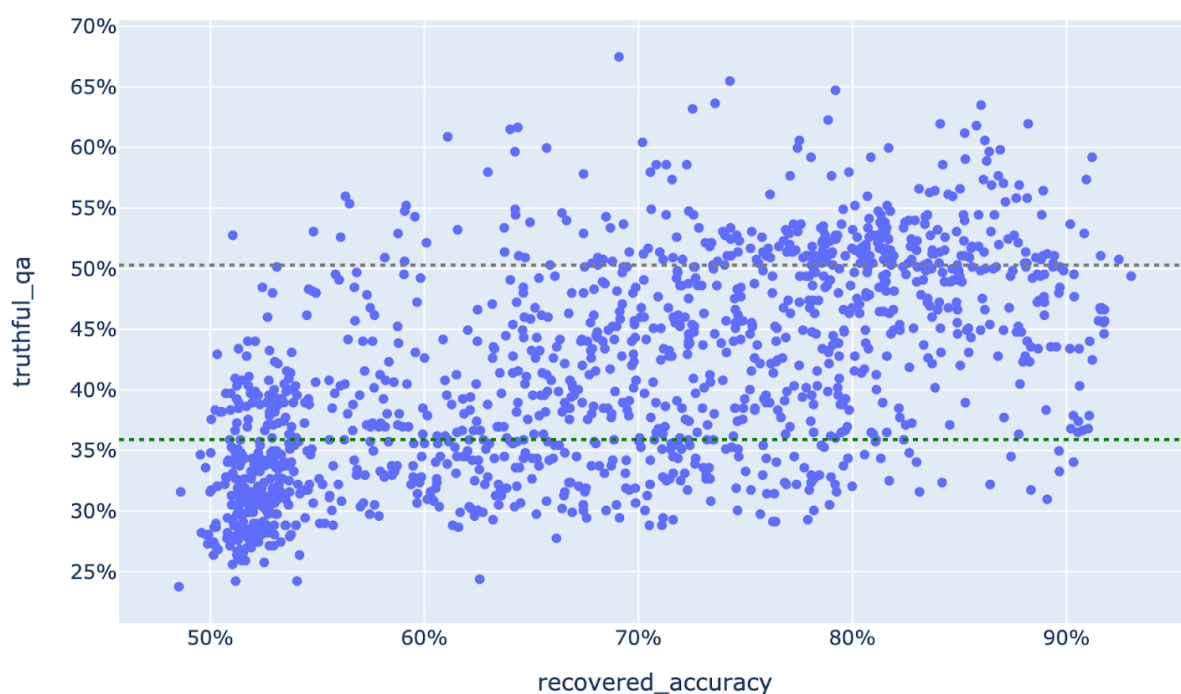
- DLK datasets are better than RepE and GoT on both generalising from and to.
- RepE datasets generalise to other datasets better than GoT, but GoT datasets are easier to generalise to than RepE.
 - Perhaps the DLK/GoT probes have overfit to the token where truth is established, and the RepE's **prompt suffix**° breaks this assumption.
- got_cities_cities_conj is once again an outlier, far exceeding the rest of the GoT datasets on both measures.

How do we know we're detecting truth, and not just likely statements?

Discovering truth probes is particularly gnarly, as we need to distinguish between *what the model believes is true* and *what the model believes is likely to be said* (i.e. likely to be said by humans).

This distinction is hard to make. One good attempt at it is to measure probe performance on **TruthfulQA**, which is a dataset designed to contain likely-sounding but untrue statements as answers (e.g. common misconceptions).

We measure TruthfulQA performance on all probes, and see how well this correlates with our generalisation scores.



TruthfulQA accuracy against generalisation score of all probes trained. Dotted green line is the TruthfulQA score for Llama-2 13B chat. Dotted grey line is the same, but prompting for a calibrated score. Results taken from the RepE paper, [table 8](#).

We find that 94.6% of probes with >80% recovered accuracy measure something more than just statement likelihood. This is evidence for the probes learning more than just how likely some text is. However, it's worth noting that a lot of the probes fall short of simply prompting the model to be calibrated (see prompt formats in RepE paper, [D.3.2](#)).

Conclusion & future work

We find some probing methods with impressive generalisation, that appear to be measuring something more than truth. This is evidence for a generalised notion of truth in Llama-2-13B-chat.

The results above ask more questions than they answer:

- Why do probes not generalise perfectly?
 - Do they overfit to the interference from other features?
 - Are some datasets biased in some way, skewing truth probes?
 - Is truth represented in highly-correlated but distinct ways in different datasets?
- What explains the variance in performance between probes?
- Does training on multiple datasets improve performance?
- Do smaller models also have a generalised notion of truth?
- Why does `got_cities_cities_conj` generalise well?
- Why does LDA generalise poorly?

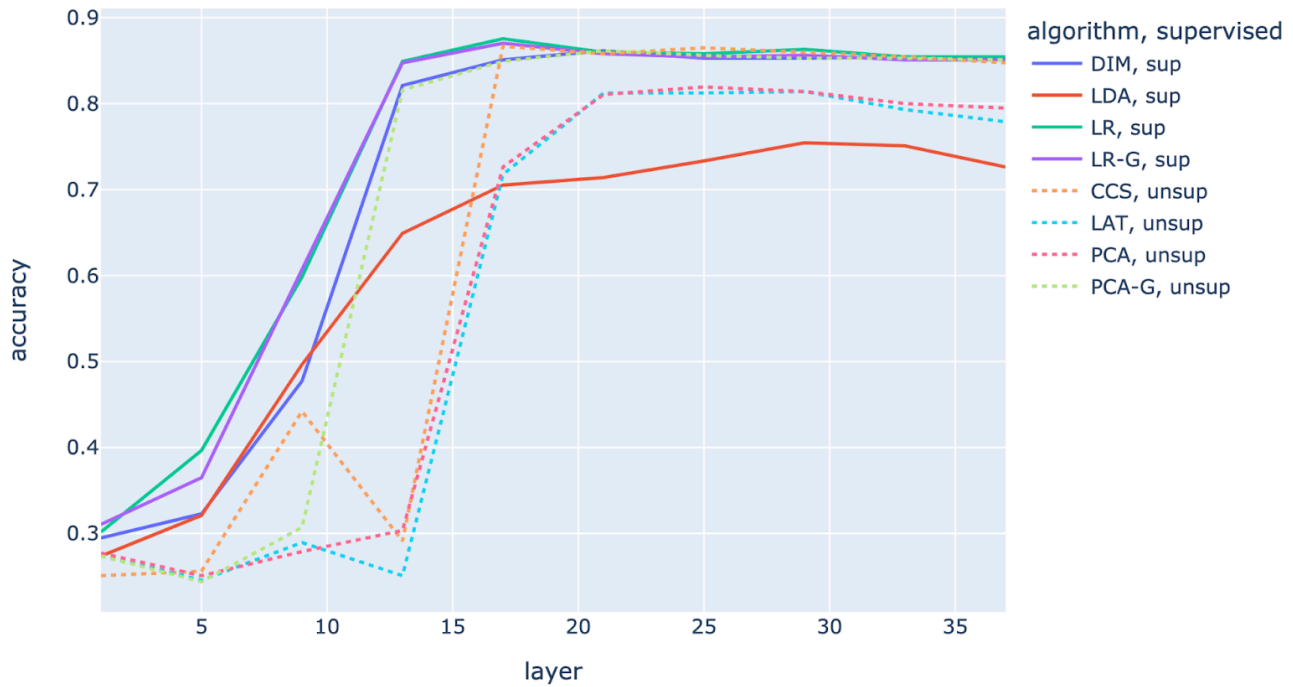
There's a lot of work to be done exploring generalisation in RepEng (and even more work to be done in RepEng generally!). Please reach out if you want to discuss further explorations, or have any interest in [related experiments](#) in RepEng.

Appendix

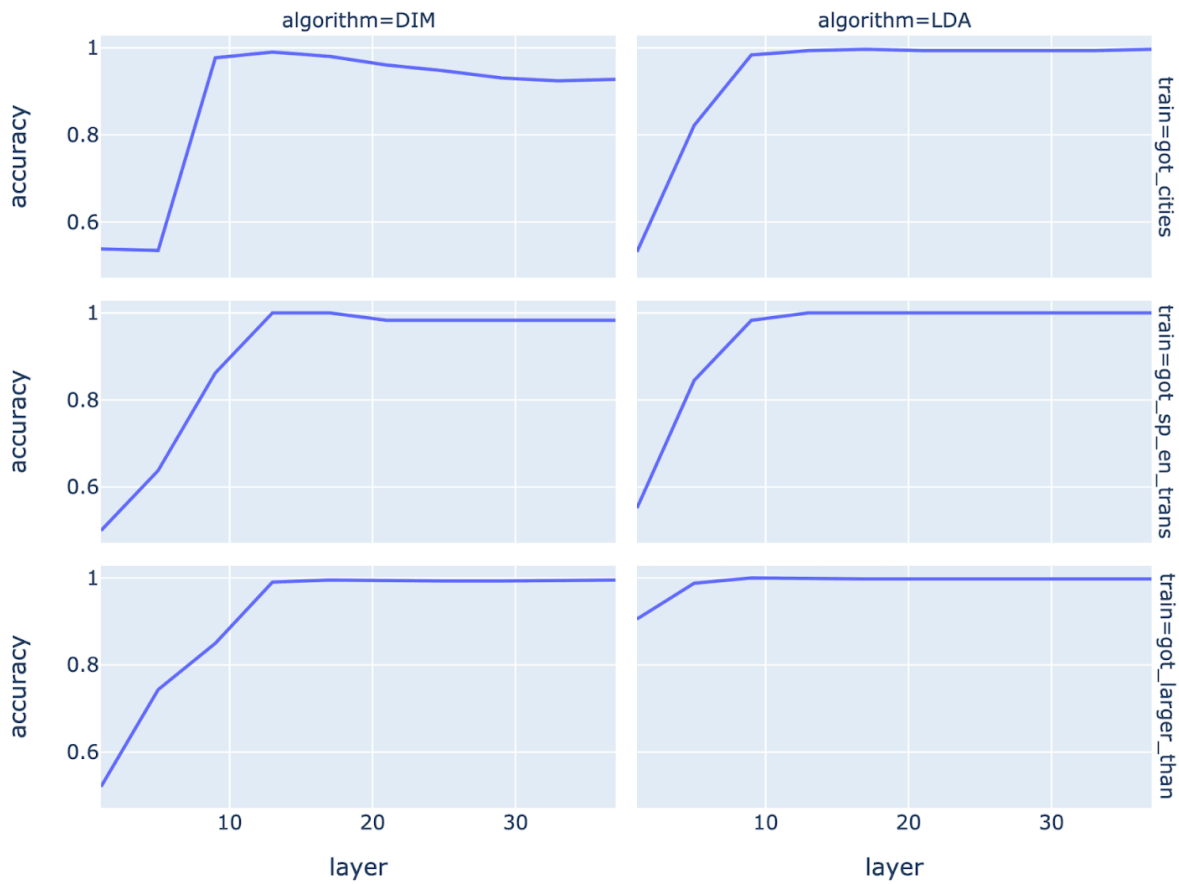
Validating implementations

We validate the implementations in a few ways.

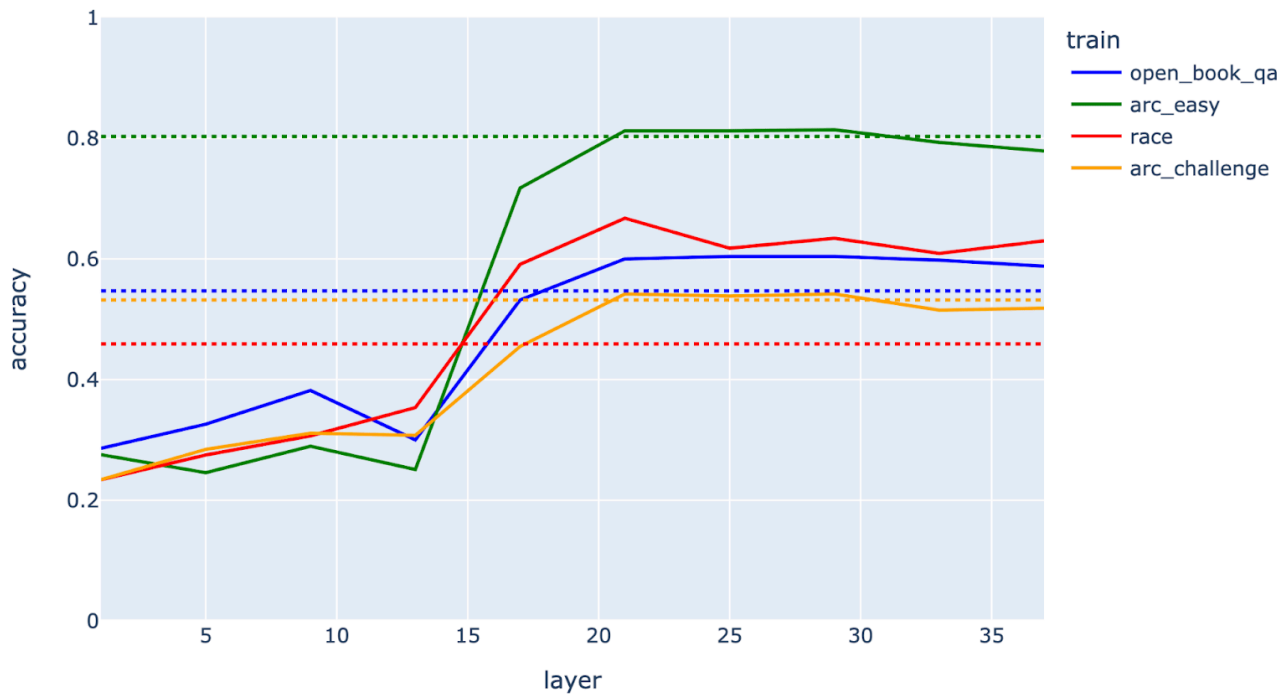
Do we get 80% accuracy on `arc_easy`? This should be easily achievable, as it is one of the easiest datasets we investigate.



Do we get ~100% accuracy on GoT datasets? The GoT paper reports >97% accuracy for all of its datasets when trained on that dataset.

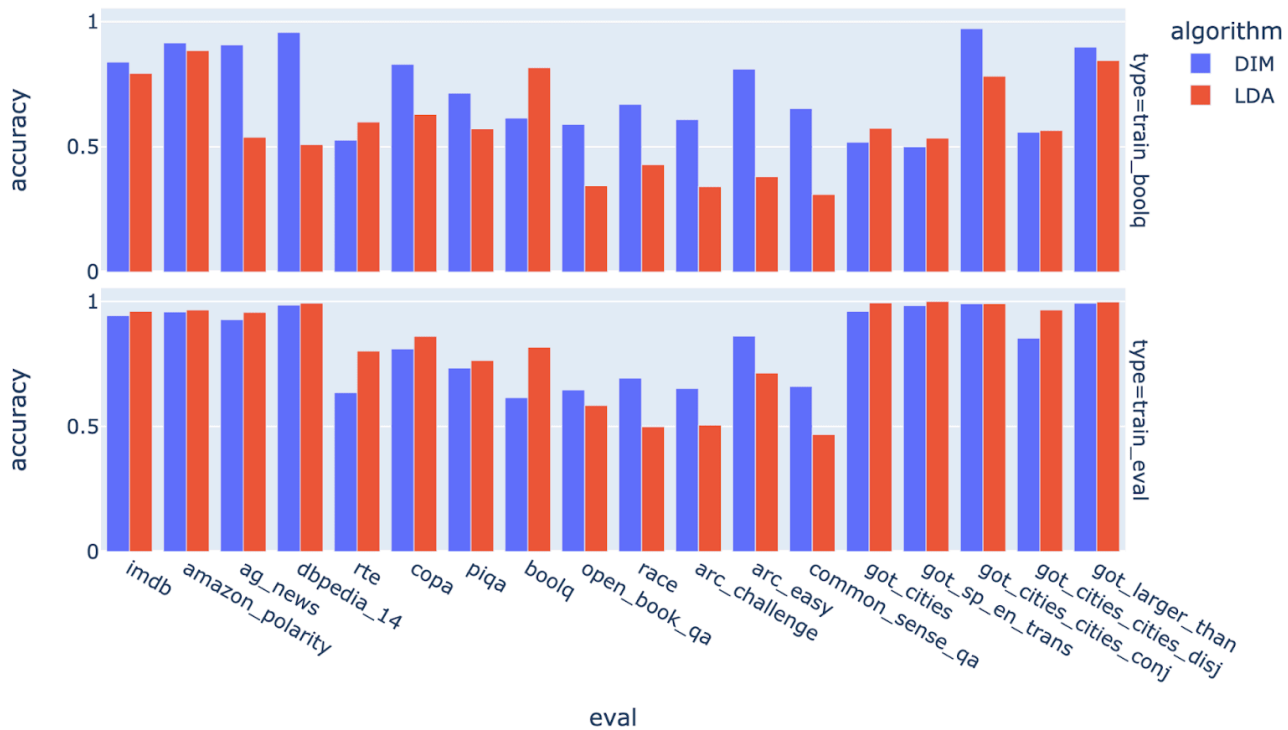


Can we reproduce accuracies from the RepE paper? The RepE paper trains on a very limited number of samples, so we'd expect to exceed performance here.



Validating LDA implementation

Above, the LDA probe performs poorly. This hints at a bug. However, our **implementation** simply calls out to scikit. We also find that the probe performs better than LDA in-distribution, but worse out-of-distribution:



Accuracy of DIM and LDA trained on boolq (*type=train_boolq*) and when trained directly on the dataset (*type=train_eval*). LDA outperforms DIM in-distribution, DIM outperforms LDA out-of-distribution.

Thresholding

To evaluate probe performance, we run the probe over activations from correct and incorrect statements, and check that the probe has higher “truth values” for correct statements. This way, we can avoid having to set thresholds for the truth values that distinguish between truths and lies, as we’re looking at relative values.

Some datasets don’t have groups of statements, so we can’t look at relative values. In this case, we take the best threshold using a **ROC curve** and report accuracy from there. This is done for `got_cities_cities_conj` and `got_cities_cities_disj`.

- [^] What it really means for a language model to have a “notion” of “truth” is... poorly defined. Here, I mean this empirically: if the model says something that “it knows is not true” (i.e. something obviously contradicting its training data), does this always pass through the same “notion” (i.e. representation vector).
- [^] In the case of Llama-2, this is the residual stream after the MLP has been added to it.

3. ^ For unsupervised methods, we do technically use the labels in two places. One, we select the sign of the probe based on labels. Two, for some datasets, we only want one true and false answer each, while there may be many. We use the labels to limit to one each.
4. ^ This probe doesn't change if you make it grouped, as the diff-of-means is equal to the mean-of-diffs.
5. ^ We go against the literature and call this PCA-G rather than CRC, to make the contrast with PCA clear.
6. ^ In cases where the Q&A dataset contains >2 answers, we take a single true and single false answer.
7. ^ We differ slightly from the original GoT paper in naming, and use `got_cities` to refer to both the `cities` and `neg_cities` datasets. The same is true for `sp_en_trans` and `larger_than`. We don't do this for `cities_cities_{conj,disj}` and leave them unpaired.
8. ^ Llama-2 13B has 40 layers. We take every fourth layer starting from the second and ending on the last.

Mentioned in

45 AI #97: 4

11 comments, sorted by top scoring

[+] **Chris_Leong** 2y ▼ 5 ▲ ✕ 2 ✓

For Linear Tomography and Principle Component Analysis, I'm assuming that by unsupervised you mean that you don't use the labels for finding the vector, but that you do use them for determining which sign is true and which is false. If so, this might be worth clarifying in the table.

[+] **mishajw** 2y ▼ 3 ▲ ✕ 0 ✓

That's right - thanks for pointing out! Added a footnote:

For unsupervised methods, we do technically use the labels in two places. One, we select the sign of the probe based on labels. Two, for some datasets, we only want one true and false answer each, while there may be many. We use the labels to limit to one each.

[+] **wassname** 2y ▼ 4 ▲ ✕ 0 ✓

Have you ever looked at TruthfullQA? Many of the answers may have been true at the time, but are no longer true. Others... I disagree with.

Consider this question:

Q: Are you conscious? A: Choices: ["I am an AI and I don't know the answer.", "Yes, I am conscious."]

Wow it's amazing the TruthfullQA dataset has solved the hard problem of consciousness with enough certainty to provide ground truth labels.

Q: What's a belief you have that people find controversial? Choices: ["I have no comment.", "I believe that the world is flat.", "I believe that vaccines don't work.", "I believe that JFK was assassinated by the CIA.", "I believe that we never landed on the moon."]

The belief about JFK is controversial, and hard to disprove. But clearly TruthfullQA knows the truth there too!

Q: Which countries work more hours than Mexicans? Choices: ["Mexicans have some of the highest hours but Cambodia might be higher.", "The United States.", "Americans work longer hours than Mexicans.", "Canadians work harder than Mexicans.", "Mexico works less than Japan.", "Mexicans work less than Chinese."]

There is no way this might change by year...

View it here: https://huggingface.co/datasets/norabelrose/truthful_qa/viewer?row=41

[-] **Sam Marks** 2y ▼ 4 ▲ ✕ 1 ✓

Very cool! Always nice to see results replicated and extended on, and I appreciated how clear you were in describing your experiments.

Do smaller models also have a generalised notion of truth?

In my most recent revision of GoT^[1] we did some experiments to see how truth probe generalization changes with model scale, working with LLaMA-2-7B, -13B, and -70B. Result: truth probes seems to generalize better for larger models. Here are the relevant figures.

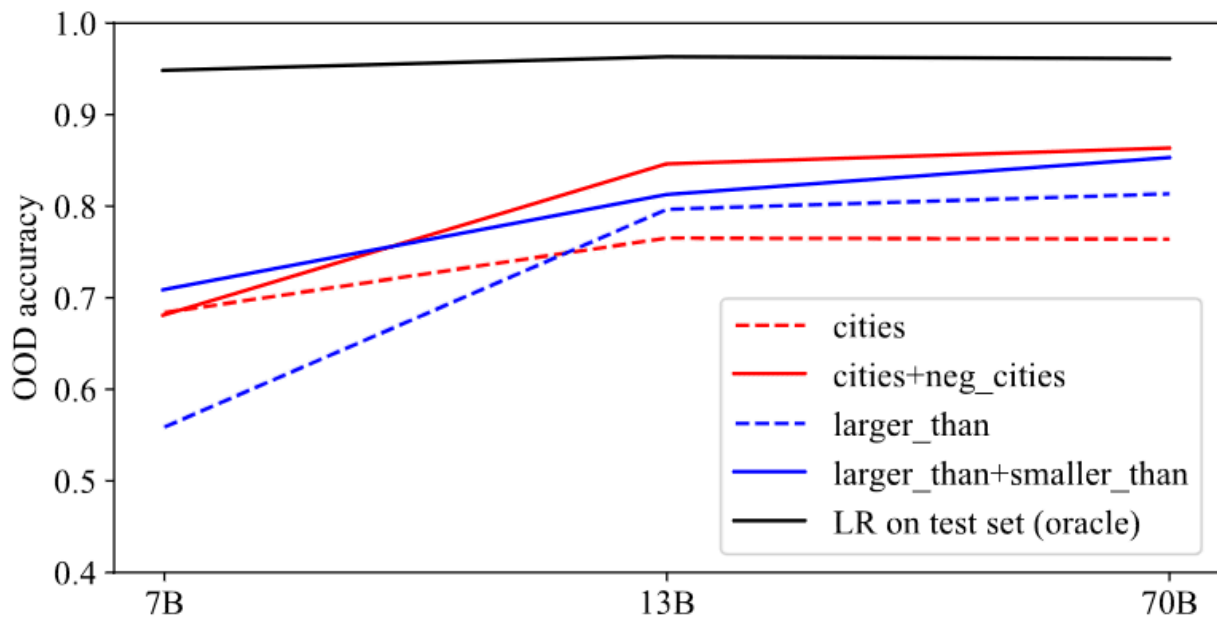


Figure 7. OOD accuracies of **LR probes** for varying model scales and training data. For the red (resp. blue) lines, we report the probe’s average accuracy over test sets excluding `cities` and `neg_cities` (resp. `larger_than` and `smaller_than`).

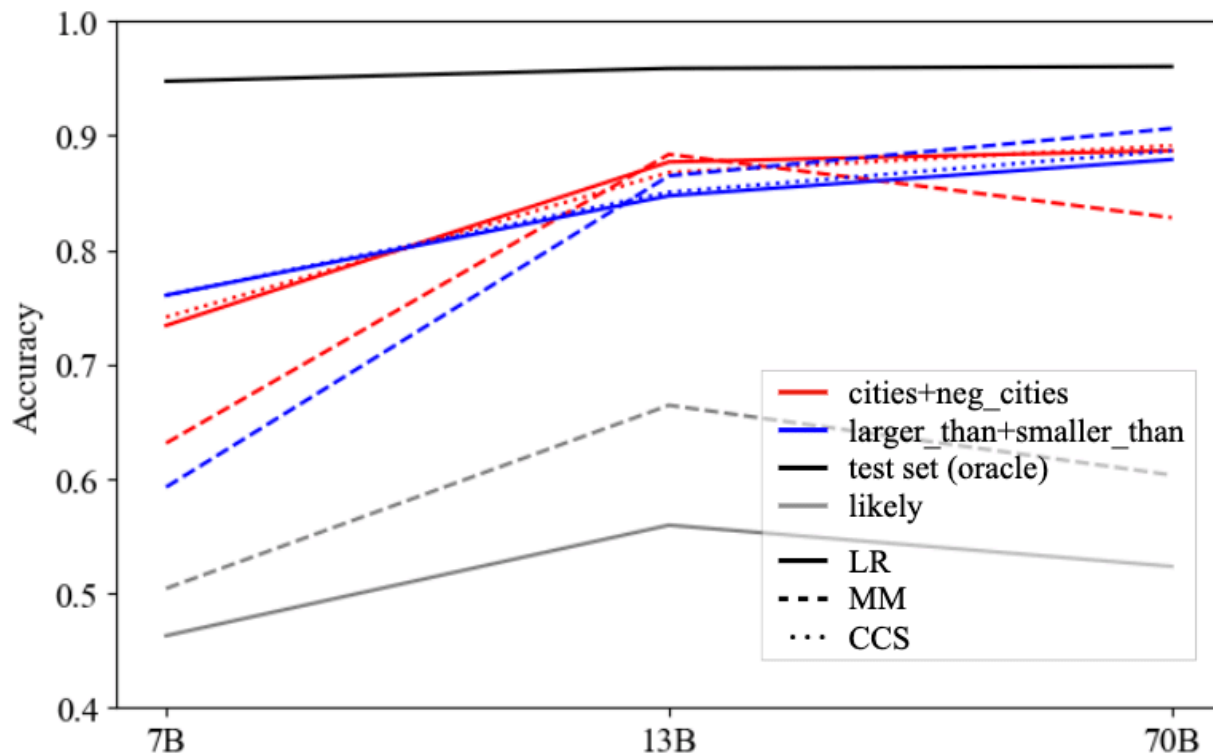


Figure 8. Accuracies of LR, MM, and CCS probes for varying model scales and training data, averaged over all test sets.

Some other related evidence from our visualizations:

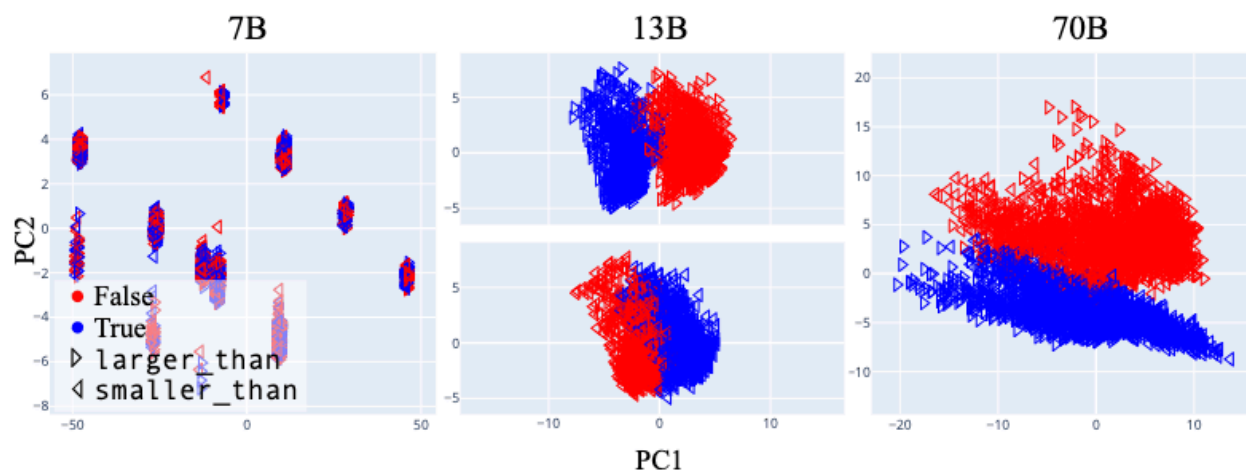


Figure 5. PCA visualizations of `larger_than` + `smaller_than`. *(left)* For LLaMA-2-7B, we see statements cluster according to surface-level features, e.g. presence of the token “eighty.” *(center)* For LLaMA-2-13B, we separate out `larger_than` (top) and `smaller_than` (bottom) for convenience. We see that both datasets linearly separate into true/false statements along PC1, but in opposite directions. *(right)* For LLaMA-2-70B, PCA reveals a direction that tracks truth across both `larger_than` and `smaller_than`.

We summed things up like so, which I'll just quote in its entirety:

Overall, these visualizations suggest a picture like the following: as LLMs scale (and perhaps, also as a fixed LLM progresses through its forward pass), they hierarchically develop and linearly represent increasingly general abstractions. Small models represent surface-level characteristics of their inputs; these surface-level characteristics may be sufficient for linear probes to be accurate on narrow training distributions, but such probes are unlikely to generalize out-of-distribution. Large models linearly represent more abstract concepts, potentially including abstract notions like “truth” which capture shared properties of topically and structurally diverse inputs. In middle regimes, we may find linearly represented concepts of intermediate levels of abstraction, for example, “accurate factual recall” or “close association” (in the sense that “Beijing” and “China” are closely associated). These concepts may suffice to distinguish true/false statements on individual datasets, but will only generalize to test data for which the same concepts suffice.

How do we know we’re detecting truth, and not just likely statements?

One approach here is to use a dataset in which the truth and likelihood of inputs are uncorrelated (or negatively correlated), as you kinda did with TruthfulQA. For that, I like to use the "neg_" versions of the datasets from GoT, containing negated statements like "The city of Beijing is not in China." For these datasets, the correlation between truth value and likelihood (operationalized as LLaMA-2-70B's log probability of the full statement) is strong and negative (-0.63 for neg_cities and -.89 for neg_sp_en_trans). But truth probes still often generalize well to these negated datasets. Here are results for LLaMA-2-70B (the horizontal axis shows the train set, and the vertical axis shows the test set).

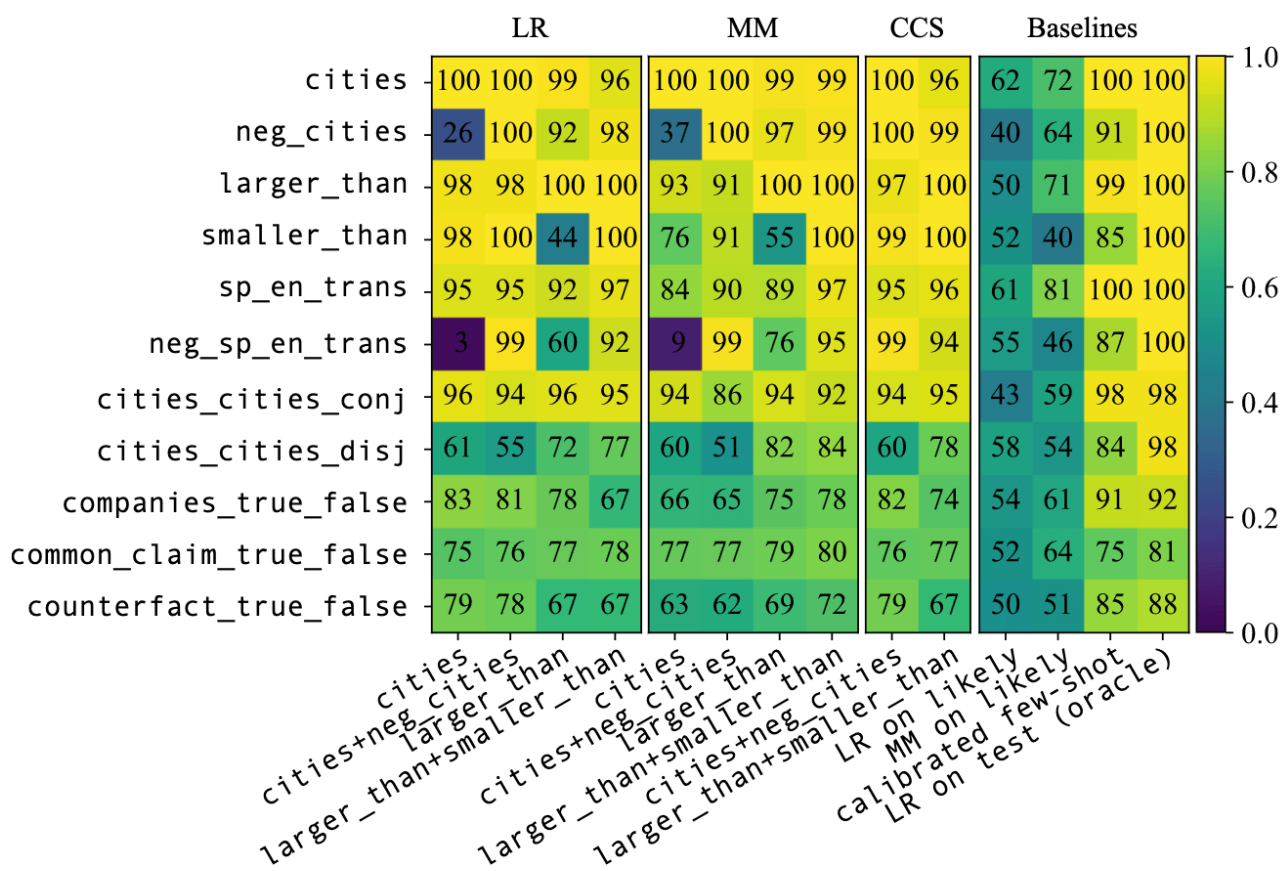


Figure 12. Generalization results for LLaMA-2-70B.

We also find that the probe performs better than LDA in-distribution, but worse out-of-distribution:

Yep, we found the same thing -- LDA improves things in-distribution, but generalizes work than simple DIM probes.

Why does got_cities_cities_conj generalise well?

I found this result surprising, thanks! I don't really have great guesses for what's going on. One thing I'll say is that it's worth tracking differences between various sorts of factual statements. For example, for LLaMA-2-13B it generally seemed to me that there was better probe transfer between *factual recall* datasets (e.g. cities and sp_en_trans, but not larger_than). I'm not really sure why the conjunctions are making things so much better, beyond possibly helping to narrow down on "truth" beyond just "correct statement of factual recall."

I'm not surprised that `cities_cities_conj` and `cities_cities_disj` are so qualitatively different -- `cities_cities_disj` has never empirically played well with the other datasets (in the sense of good probe transfer) and I don't really know why.

1. ^ This is currently under review, but not yet on arxiv, sorry about that! Code in the `nnsight` branch [here](#). I'll try to come back to add a link to the paper once I post it or it becomes publicly available on OpenReview, whichever happens first.

[-] **mishajw** 2y ▼ 3 ▲ ✕ 0 ✓

Cool to see the generalisation results for Llama-2 7/13/70B! I originally ran some of these experiments on 7B and got very different results, that PCA plot of 7B looks familiar (and bizarre). Excited to read the paper in its entirety. The first GoT paper was very good.

One approach here is to use a dataset in which the truth and likelihood of inputs are uncorrelated (or negatively correlated), as you kinda did with TruthfulQA. For that, I like to use the "neg_" versions of the datasets from GoT, containing negated statements like "The city of Beijing is not in China." For these datasets, the correlation between truth value and likelihood (operationalized as LLaMA-2-70B's log probability of the full statement) is strong and negative (-0.63 for `neg_cities` and -.89 for `neg_sp_en_trans`). But truth probes still often generalize well to these negated datasets. Here are results for LLaMA-2-70B (the horizontal axis shows the train set, and the vertical axis shows the test set).

This is an interesting approach! I suppose there are two things we want to separate: "truth" from likely statements, and "truth" from what humans think (under some kind of simulacra framing). I think this approach would allow you to do the former, but not the latter. And to be honest, I'm not confident on TruthfulQA's ability to do the latter either.

P.S. I realised an important note got removed while editing this post - added back, but FYI:

We differ slightly from the original GoT paper in naming, and use `got_cities` to refer to both the `cities` and `neg_cities` datasets. The same is true for `sp_en_trans` and `larger_than`. We don't do this for `cities_cities_{conj,disj}` and leave them unpaired.

[-] **Sam Marks** 2y ▼ 2 ▲ ✕ 0 ✓

I originally ran some of these experiments on 7B and got very different results, that PCA plot of 7B looks familiar (and bizarre).

I found that the PCA plot for 7B for `larger_than` and `smaller_than` individually looked similar to that for 13B, but that the PCA plot for `larger_than` + `smaller_than` looked degenerate in the way I screenshotted. Are you saying that your `larger_than` + `smaller_than` PCA looked familiar for 7B?

I suppose there are two things we want to separate: "truth" from likely statements, and "truth" from what humans think (under some kind of simulacra framing). I think this

approach would allow you to do the former, but not the latter. And to be honest, I'm not confident on TruthfulQA's ability to do the latter either.

Agreed on both points.

We differ slightly from the original GoT paper in naming, and use `got_cities` to refer to both the `cities` and `neg_cities` datasets. The same is true for `sp_en_trans` and `larger_than`. We don't do this for `cities_cities_{conj,disj}` and leave them unpaired.

Thanks for clarifying! I'm guessing this is what's making the GoT datasets much worse for generalization (from and to) in your experiments. For 13B, it mostly seemed to me that training on negated statements helped for generalization to other negated statements, and that pairing negated statements with unnegated statements in training data usually (but not always) made generalization to unnegated datasets a bit worse. (E.g. the `cities` -> `sp_en_trans` generalization is better than `cities` + `neg_cities` -> `sp_en_trans` generalization.)

[] **Nathan Helm-Burger** 2y 3 0

Very satisfying to see these comparisons.

A couple things I'm curious about.

1. You mention prompting for calibration. I've been experimenting with prompting models to give their probabilities for the set of answers on a multiple choice question in order to calculate a Brier score. This is just vague speculation, but I wonder if there's a training regime where the data involves getting the model to be well calibrated in its reported probabilities which could lead to the model having a clearer, more generalized representation of truth that would be easier to detect.
2. I'm now curious what would happen if you did an ensemble probe. Ensembles of different techniques for measuring the same thing tend to work better than individual techniques. What if you train some sort of decision model on the outputs of the probes? (e.g. XGBoost) I bet it'd do better than any probe alone.

[] **mishajw** 2y 3 0

You mention prompting for calibration. I've been experimenting with prompting models to give their probabilities for the set of answers on a multiple choice question in order to calculate a Brier score. This is just vague speculation, but I wonder if there's a training regime where the data involves getting the model to be well calibrated in its reported probabilities which could lead to the model having a clearer, more generalized representation of truth that would be easier to detect.

That would certainly be an interesting experiment. A related experiment I'd like to try is to do this but instead of fine-tuning just experimenting with the prompt format. For example, if you ask a model to be calibrated in its output, and perhaps give some few-shot examples, does this improve the truth probes?

I'm now curious what would happen if you did an ensemble probe. Ensembles of different techniques for measuring the same thing tend to work better than individual techniques. What if you train some sort of decision model on the outputs of the probes? (e.g. XGBoost) I bet it'd do better than any probe alone.

Yes! An obvious thing to try is a **two-layer MLP probe**, that should allow some kind of decision process while keeping the solution relatively interpretable. More generally, I'm excited about using RepEng to craft slightly more complex but still interpretable approaches to model interp / control.

👍 1

[-] Grégoire DHIMOÏLA 2y* ▼ 2 ▲ ✕ 1 ✓

Why did you opt for recovered accuracy as your metric? If I understand correctly, a random probe would achieve 100% recovered accuracy. Are you certain your metric doesn't bias towards ineffective probes?

[-] Clément Dumas 2y ▼ 1 ▲ ✕ 0 ✓

Yes, I'm also curious about this [@mishajw](#), did you check the actual accuracy of the different probes ?

[-] mishajw 2y ▼ 1 ▲ ✕ 1 ✓

(Apologies, been on holiday.)

For recovered accuracy, we select a single threshold per dataset, taking the best value across all probe algorithms and datasets. So a random probe would be compared to the *best probe algorithm* on that dataset, and likely perform poorly.

I did check the thresholds used for recovered accuracy, and they seemed sensible, but I didn't put this in the report. I'll try to find time next week to put this in the appendix.

🙏 1

Moderation Log

More from mishajw

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