

Research

Learning Based Energy Management Strategy for Hybrid Electric Vehicle

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◆ Contents

- 1 Introduction
- 2 HEV Powertrain Model
- 3 Rule-interposing DRL based EMS for power-split HEV
- 4 Cross-type transfer for DRL based HEV energy management
- 5 Hardware-in-the-loop validation
- 6 Future work

◆ Introduction

Energy management methods for hybrid electric vehicles(HEVs):

Rule-based methods

(deterministic /fuzzy rules, ...)

Easy implementation for real industry

Acceptable online calculation amount

Tightly depending on a certain vehicle parameter / certain driving cycle

Optimization-based methods

(DP, ECMS, MPC, ...)

Achieving optimal/suboptimal control strategies

Rely on the priori knowledge (for global optimal methods like DP and MPC)

Difficult for direct real-time implementation due to parameter tuning (like equivalence factor in ECMS) or calculation amount

Learning-based methods

(Q-learning, DQL, DDQL, DDPG, ...)

Close to the global optimum

Strong learning ability and adaptability under complex driving cycles

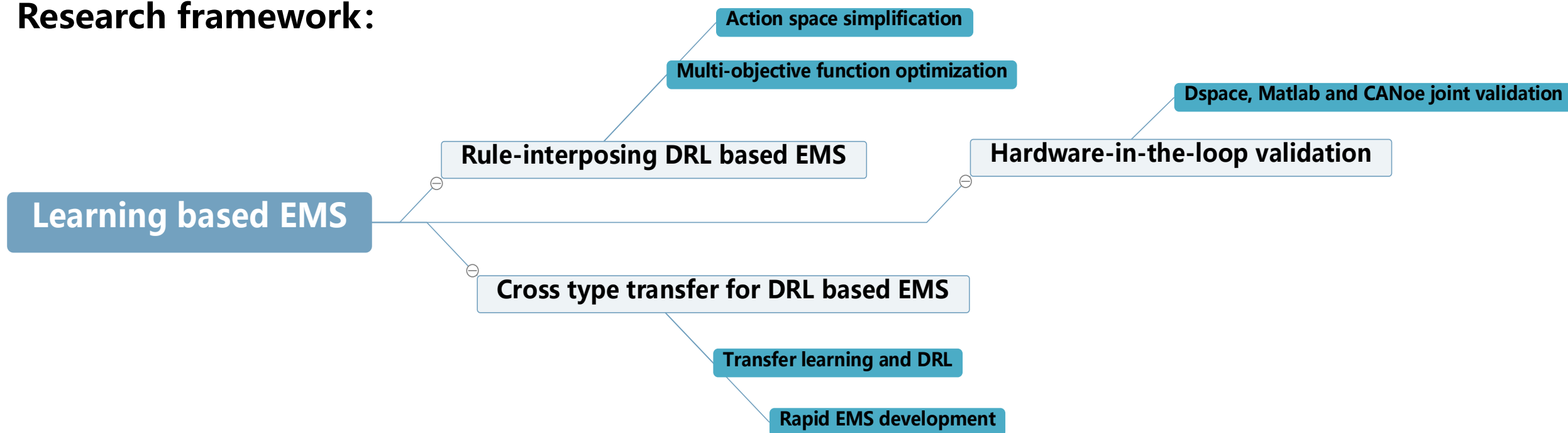
Consume less computational resources

◆ Introduction

Research motivation:

- Utilization of expert knowledge of HEV in deep reinforcement learning (DRL) based energy management strategy (EMS)
- Rapid development of EMS for different types of hybrid electric vehicles (HEVs)
- Real-world application of DRL-based EMS

Research framework:



◆ HEV Powertrain Model

A **backward HEV model** is built for the training and evaluation of EMS. Energy management system mainly deals with the power allocation among multiple power sources.

■ Vehicle Dynamics

modeled by longitudinal force balance equation:

$$F_t = F_a + F_r + F_i + F_w$$

$$F_a = ma, F_r = mgf \cos \theta, F_i = mgsin \theta, F_w = \frac{C_d A_f}{21.15} v^2$$

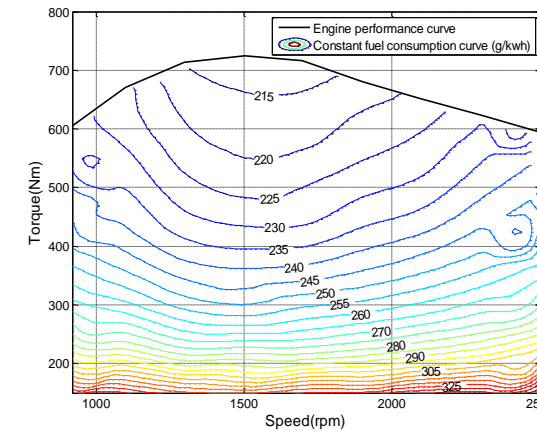
■ Engine, Generator, and Motors

modeled by corresponding efficiency maps from bench experiments

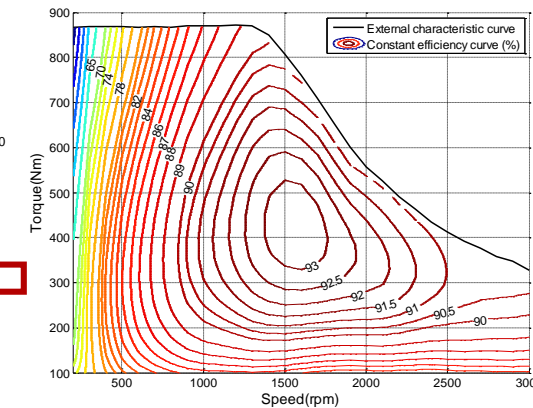
■ Battery Pack

modeled as equivalent circuit-based model:

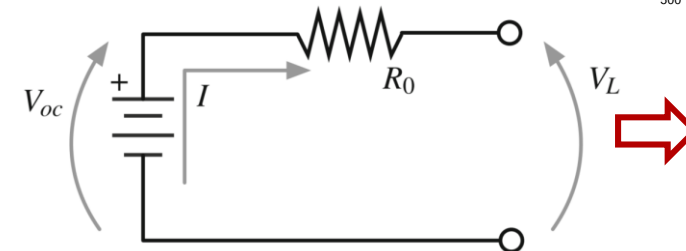
$$\dot{SoC} = \frac{\left(V_{oc} - \sqrt{V_{oc}^2 - 4R_{batt}P_{batt}} \right)}{2R_{batt}C_{batt}}$$



⇒ Engine fuel map



⇒ Motor/generator efficiency map



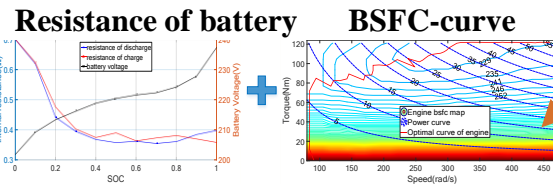
⇒ Battery equivalent circuit-based model

◆ Rule-interposing DRL based EMS for power-split HEV

Given the slow and resource-intensive training processes of DRL, an improved energy management framework that embeds **expert knowledge** into DDPG is proposed.

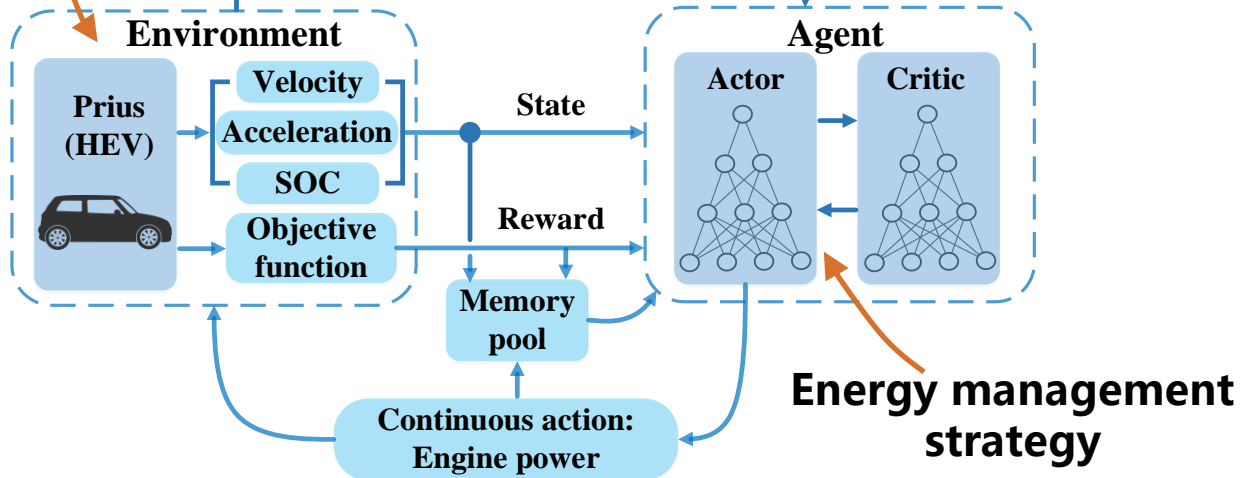
Rule-interposing DRL based EMS

Expert knowledge



Expert knowledge

HEV



- **State** (input of DRL-based EMS)
 $state = \{SoC, velocity, acceleration\}$

- **Action** (output of DRL-based EMS)
 $action = \{engine\ power\}$

- **Multi-objective reward function:**
 $reward = -\{\alpha[fuel(t)] + \beta[SoC_0 - SoC(t)]^2\}$
Charge-sustaining reference value of SoC Current SoC

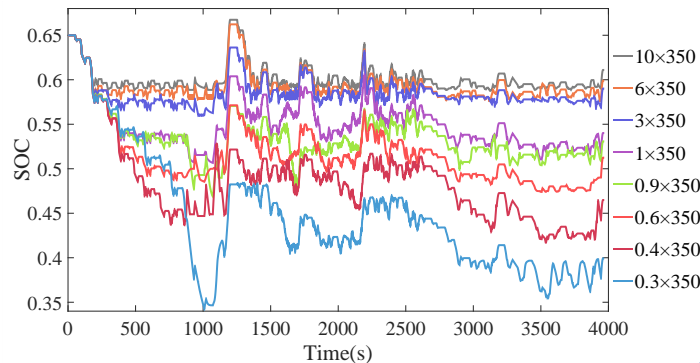
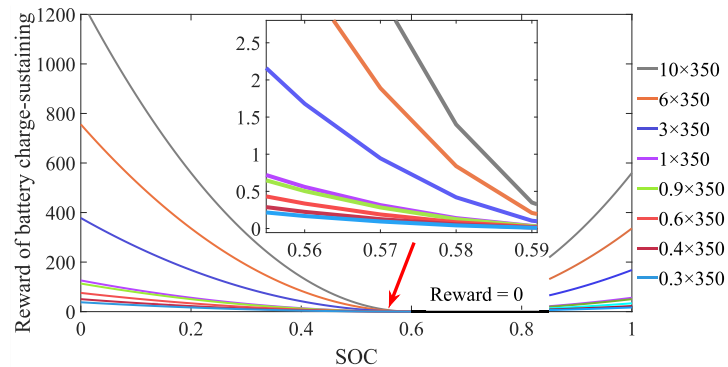
◆ Rule-interposing DRL based EMS for power-split HEV

Multi-objective reward function: by allocating weights between the fuel consumption and the battery charge sustaining properly, fuel consumption can be significantly reduced by up to 4%.

α : fixed to 1

β : from 0.3×350 to 10×350

The higher the β , the better battery charge-sustaining effect the SoC trajectory shows.



Comparison of different weight settings

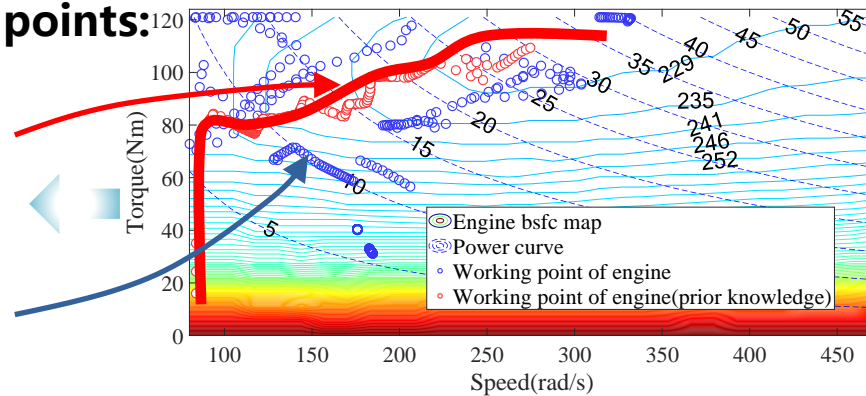
| The weight of battery charge-sustaining (β) | Fuel consumption (L/100Km) | Terminal SoC | Fuel consumption of DP(L/100Km) | Fuel economy (%) |
|---|----------------------------|--------------|---------------------------------|-----------------------|
| 0.3×350 | 3.755 | 0.403 | 3.359 | critical point 89.4 ✕ |
| 0.4×350 | 3.704 | 0.466 | 3.428 | 92.5 |
| 0.6×350 | 3.721 | 0.509 | 3.474 | 93.4 ✓ |
| 0.9×350 | 3.758 | 0.531 | 3.503 | 93.2 |
| 1×350 | 3.795 | 0.541 | 3.506 | 92.4 |
| 3×350 | 3.925 | 0.590 | 3.563 | critical point 90.8 ↓ |
| 6×350 | 3.942 | 0.603 | 3.585 | 90.9 |
| 10×350 | 3.958 | 0.611 | 3.602 | 91.0 |

◆ Rule-interposing DRL based EMS for power-split HEV

Simplified action space: with a limited number of training episodes, the performance of improved DDPG is better than that of original DDPG.

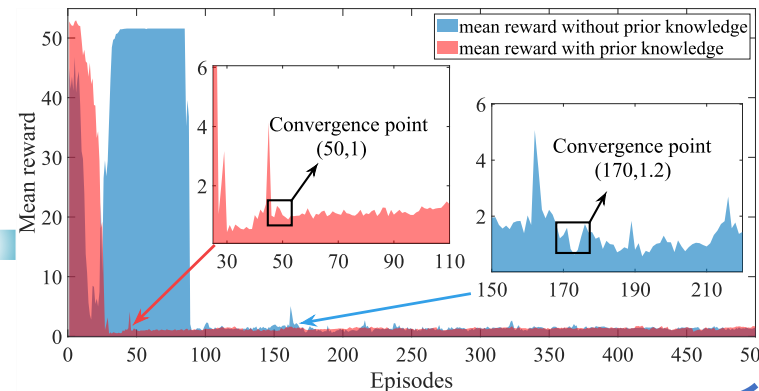
Distribution of engine working points:

- **Improved DDPG:** in the area of low fuel consumption rate
- **Original DDPG:** be scattered throughout the engine map



Convergence speed:

- **Improved DDPG:** 50th episode
- **Original DDPG:** 170th episode



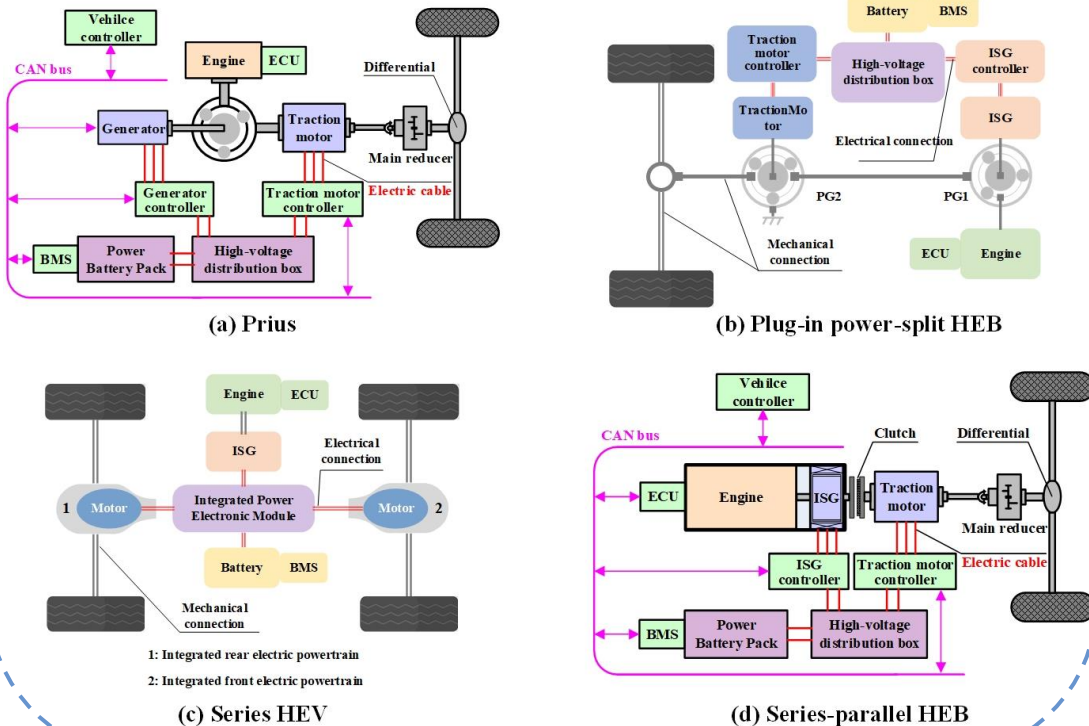
Comparison between two DDPG algorithms

| Algorithm | Improved DDPG | DDPG |
|----------------------------------|---------------|-------|
| Fuel consumption (L/100Km) | 3.64 ✓ | 3.70 |
| Terminal SoC | 0.596 | 0.598 |
| Fuel consumption of DP (L/100Km) | 3.47 | 3.48 |
| Fuel economy (%) | 95.3 ✓ | 94.1 |
| Convergence speed (episodes) | 50 ✓ | 170 |

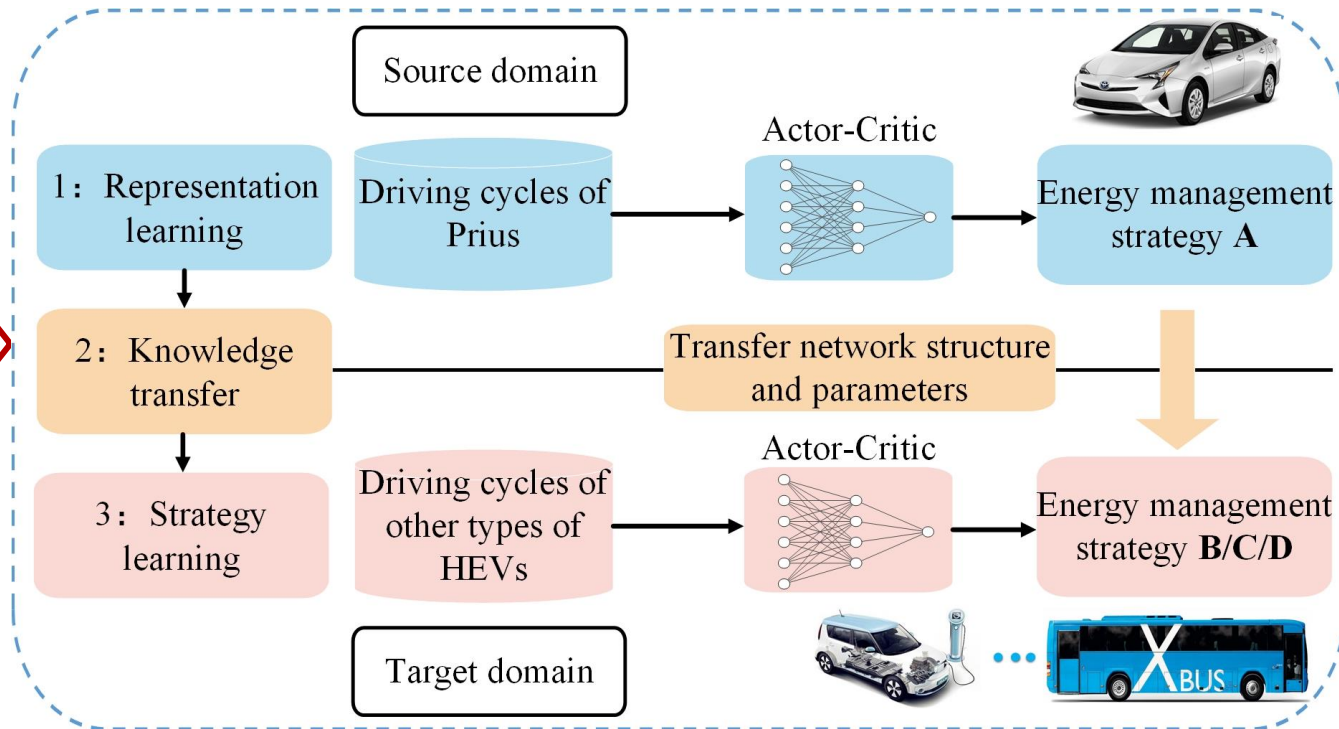
◆ Cross-type transfer for DRL based HEV energy management

Due to the complicated and time-consuming development process of EMSs, **deep transfer reinforcement learning** is proposed to implement EMSs for different types of HEVs.

The diversity in powertrain structures of HEVs

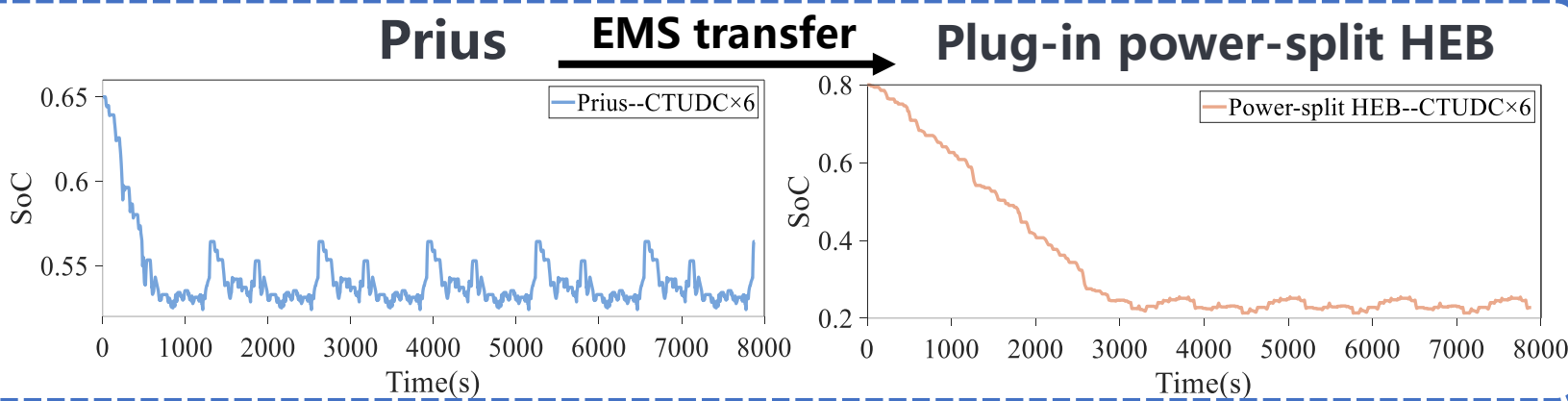


Sketch map of network-based deep transfer learning

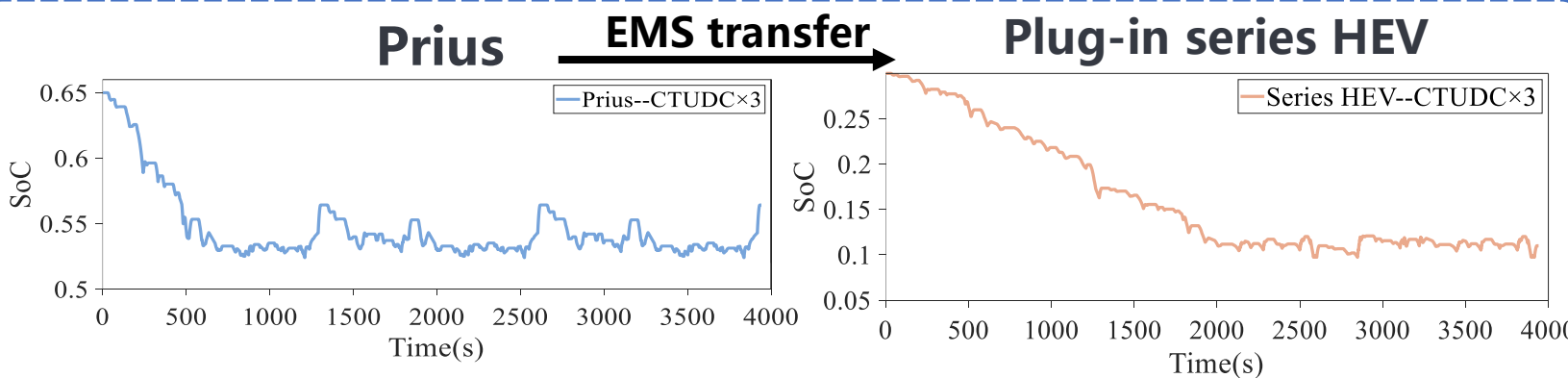


◆ Cross-type transfer for DRL based HEV energy management

By incorporating transfer learning into DRL-based EMS for HEVs, **an average 70% gap** from the baseline in respect of **convergence efficiency** has been achieved.



| EMS | Training time |
|---------------------------------|---------------|
| baseline | 100% |
| Transfer the first layer | 45.5% |
| Transfer the first two layers | 36.4% |
| Transfer the first three layers | 20.0% |
| Transfer all layers | 37.3% |



Training time

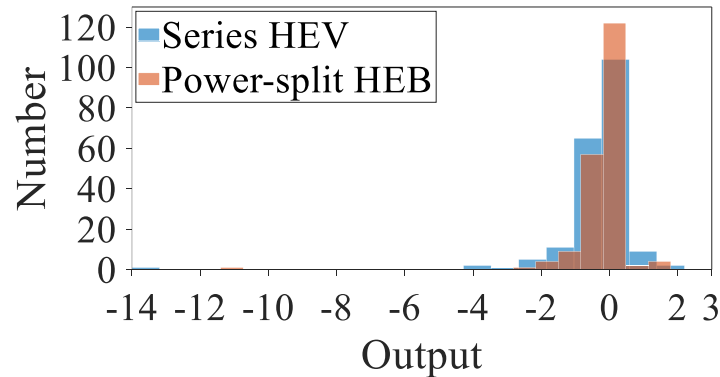


| | |
|-----|---|
| 30% | Transfer learning and DRL |
| | Baseline: DRL 100% |
| | Compared to baseline: Training time reduced by 70% on average |

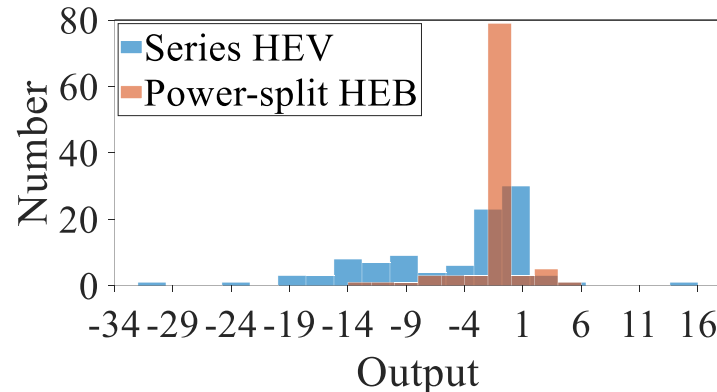
| EMS | Training time |
|---------------------------------|---------------|
| baseline | 100% |
| Transfer the first layer | 30.5% |
| Transfer the first two layers | 22.5% |
| Transfer the first three layers | 19.5% |
| Transfer all layers | 23.5% |

◆ Cross-type transfer for DRL based HEV energy management

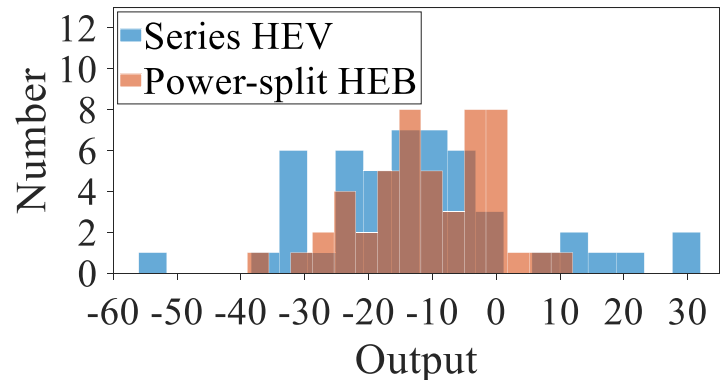
Interpretability of EMS transfer: representations will gradually transition from **general** to **specific** with the depth increase of neural network layers.



Out distribution **the first layer**



Out distribution **the second layer**



Out distribution **the third layer**

➡ The similarity degrees of neural network outputs between the two HEVs decrease with the depth increase of neural network layers.



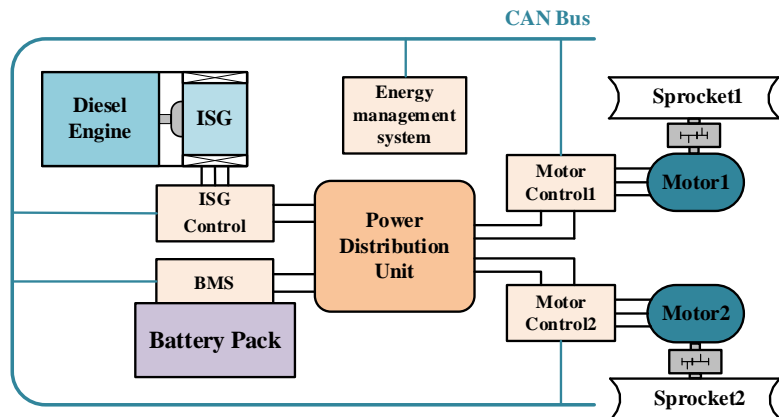
| Output | Euclidean distance |
|----------------------------|--------------------|
| Output of the first layer | 20.9 |
| Output of the second layer | 82.7 |
| Output of the third layer | 146.1 |
| Action | 168.0 |

general

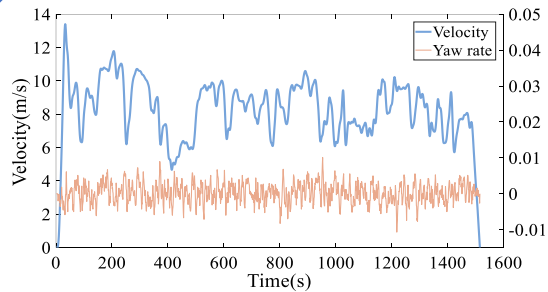
specific

◆ Hardware-in-the-loop validation

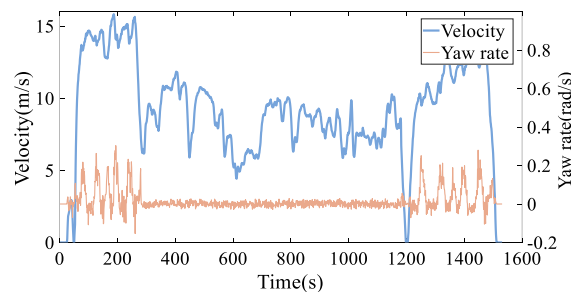
The real-world application of DRL-based EMSs is verified by a HiL platform through the Dspace, Matlab and CANoe joint validation method.



The series hybrid tracked vehicle



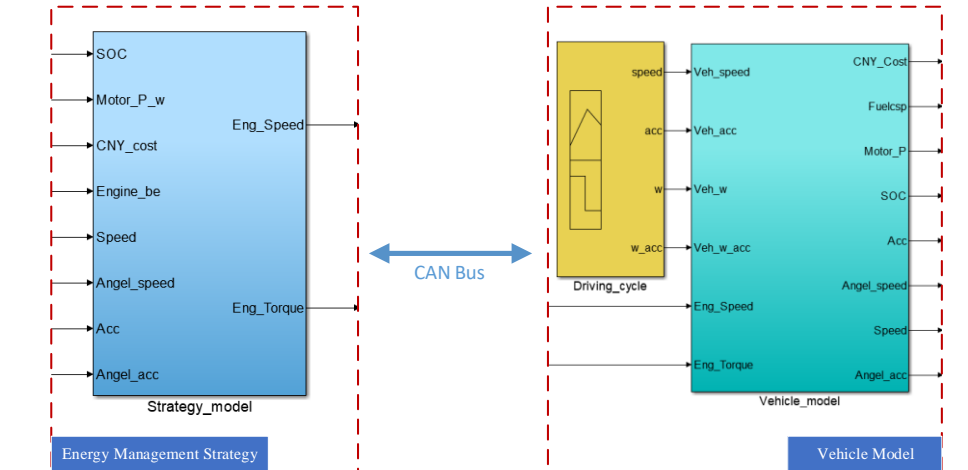
(a) Driving cycle 0



(b) Driving cycle 1

Real driving cycles

Strategy Validation



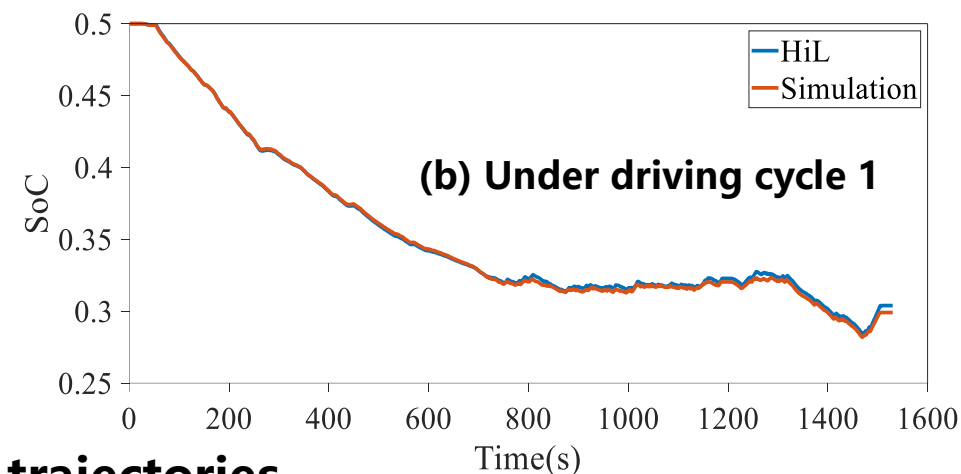
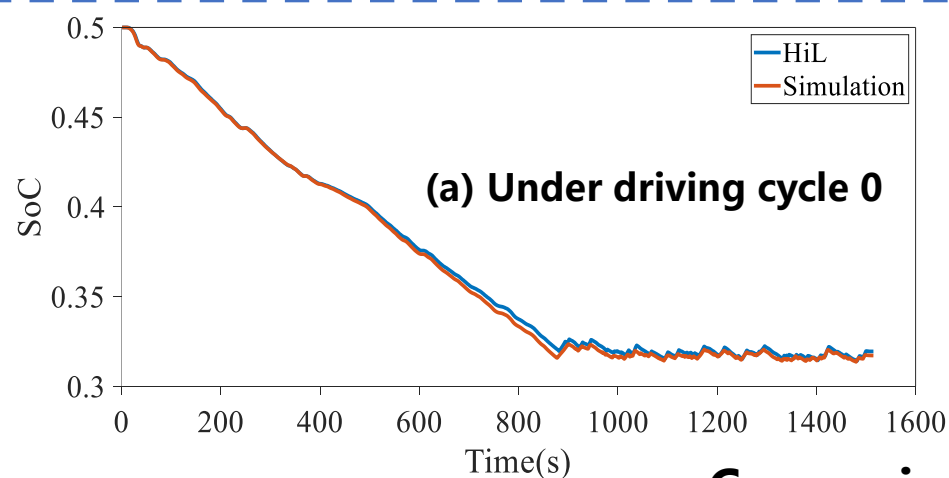
Rapid Control Prototype



HiL Platform

◆ Hardware-in-the-loop validation

The embedded EMS keeps the same level of the well-developed simulation EMS, which can ensure the performance of fuel economy and battery charge sustaining throughout the trip.



Comparison of SoC trajectories

| Platform | Driving cycle | CNY cost (¥) |
|------------|-----------------|--------------|
| HiL | Driving cycle 0 | 16.86 |
| | Driving cycle 1 | 20.28 |
| Simulation | Driving cycle 0 | 17.64 |
| | Driving cycle 1 | 20.75 |

◆ Future work

Generating and deploying packages for DRL-based EMS at real HEVs

- **Cloud computing:** training and generating packages
- **Internet of vehicles:** communication
- **Vehicle:** implementation of EMS、collecting data

Human-like autonomous car-following model

- **Human-like driving study**
- **Vehicle longitudinal control**
- **Energy saving**

◆ Publication

R. Lian, J. Peng, Y. Wu, H. Tan, and H. Zhang, "Rule-interposing deep reinforcement learning based energy management strategy for power-split hybrid electric vehicle," Energy, vol. 197, p. 117297, 2020.



■ **Code:** <https://github.com/lryz0612/DRL-Energy-Management>

File(Click to open)

R. Lian, H. Tan, J. Peng, Q. Li, Y. Wu, "Cross-type transfer for deep reinforcement learning based hybrid electric vehicle energy management," IEEE Transactions on Vehicular Technology. (Under review)



File(Click to open)

R. Han, R. Lian, H. He, X. Han, "Deep reinforcement learning based energy management strategy for a hybrid electric tracked vehicle including lateral dynamics," Applied Energy. (Under review)

Thank you for your attention!

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