Using neural networks to identify crystals from Raman spectroscopy data

November 4, 2022

Raman spectroscopy is an extremely useful technique used to identify molecules and crystals, because it is a quick, cheap and non-invasive probe. Indeed, Raman measurements have already become common place in the pharmaceutical industry for identifying the composition of tablets.

This notebook shows how machine learning can be used to identify materials from the 1D Raman spectra. The example data comes from the crystals ReS_2 and $ReSe_2$. These crystals were measured on their own and in comebination with our materials such as SiO_2 and graphite.

0.0.1 Import modules

```
import os
import zipfile
import random
import shutil
import tensorflow as tf
from tensorflow.keras.preprocessing.image import ImageDataGenerator
from shutil import copyfile
import matplotlib.pyplot as plt
import keras_tuner as kt
from tensorflow.keras.optimizers import Adam
import matplotlib.pyplot as plt
import matplotlib.pyplot as plt
import numpy as np
```

0.0.2 Create directories and copy in data

```
[2]: def folder_creater(folder, directory, SPLIT_SIZE = 0.8):
    parent_dir = os.getcwd()
    path = os.path.join(parent_dir, directory)

# Empty directory to prevent FileExistsError is the function is run several
times
    if os.path.exists(path):
        shutil.rmtree(path)

# Create top directory
os.makedirs(path)
```

```
path = os.path.join(parent_dir, directory)
# Find name of labels for folder names
path_images = parent_dir + '/' + folder
_tmp = os.listdir(path_images)
_tmp.remove('.DS_Store')
# Create the training and validation subdirectories
training = os.path.join(path, 'training')
os.makedirs(training)
if os.path.exists(os.path.join(training, '.DS_Store')):
    os.remove(os.path.join(training, '.DS_Store'))
validation = os.path.join(path, 'validation')
os.makedirs(validation)
if os.path.exists(os.path.join(validation, '.DS_Store')):
    os.remove(os.path.join(validation, '.DS_Store'))
# Loop over all different labels
for i in range(len(_tmp)):
    # Create subdirectories with name of labels in training
    # and validation folders
   new_train = os.path.join(training, _tmp[i])
    os.makedirs(new_train)
   new_validation = os.path.join(validation, _tmp[i])
    os.makedirs(new_validation)
    # Find names of image files
    _sub_folder = os.path.join(path_images, _tmp[i])
    _files = os.listdir(_sub_folder)
    if '.DS_Store' in _files:
        _files.remove('.DS_Store')
    # Shuffle the files
   random.sample(_files, len(_files))
    # Split into training and validation sets
    _train = _files[:int(SPLIT_SIZE*len(_files))]
    _validation = _files[int(SPLIT_SIZE*len(_files)):]
    # Copy files from original folders to new directories that
    # have been created for use with ImageGenerator
    for _file in _train:
        copyfile(_sub_folder + '/' + _file, new_train + '/' + _file)
    for _file in _validation:
        copyfile(_sub_folder + '/' + _file, new_validation + '/' + _file)
```

```
There are 67 images of ReS2 for training There are 17 images of ReS2 for validation
```

There are 72 images of ReSe2 for training There are 18 images of ReSe2 for validation

```
[3]: # Check the folders have been created successfully

parent_dir = os.getcwd()
directory = "Raman"

path = os.path.join(parent_dir, directory)

for rootdir, dirs, files in os.walk(path):
    for subdir in dirs:
        print(os.path.join(rootdir, subdir))
```

/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/Raman/training
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/Raman/validation
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/Raman/training/ReS2
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/Raman/training/ReS2
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/Raman/validation/ReS2
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/Raman/validation/ReS2

0.0.3 Display graphs

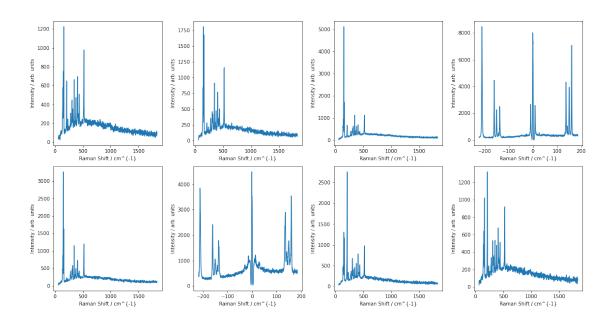
```
[26]: def Graph_display(crystal, number = 8):

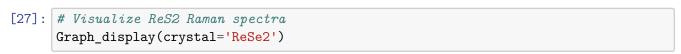
A function to visualize the Raman spectra

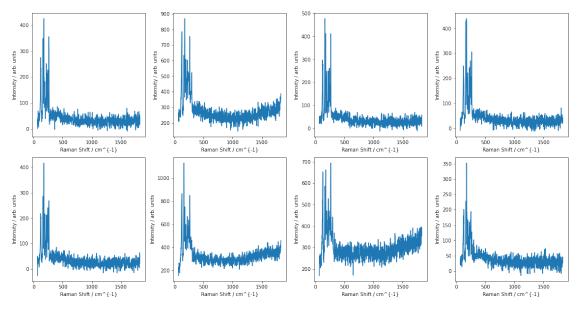
Parameters:

Crystal - Type of material the Raman spectra belongs to
```

```
number - Number of spectra to display
    111
    # Index for iterating over images
   pic_index = 0
    # Directory containing the data
   dire = os.path.join(parent_dir, 'Raman/training/'+crystal)
   # Filenames
   name = os.listdir(dire)
   names = name[:number]
   # Parameters for our graph; we'll output images in a 4x4 configuration
   nrows = int(len(names)/4) + 1
   ncols = 4
   # Set up matplotlib fig, and size it to fit 4x4 pics
   fig = plt.gcf()
   fig.set_size_inches(ncols * 4, nrows * 4)
   # Create a list of all filenames
   pix = [os.path.join(dire, fname) for fname in names]
   for i, img path in enumerate(pix):
        # Set up subplot; subplot indices start at 1
        sp = plt.subplot(nrows, ncols, i + 1)
        # Load Raman spectra skipping the first row which contains labels
       img = np.loadtxt(img_path, skiprows=1)
        # Plot Raman spectra
       plt.plot(img[:,0],img[:,1])
       plt.xlabel('Raman Shift / cm^{-1}')
       plt.ylabel('Intensity / arb. units')
       plt.tight_layout()
   plt.show()
# Visualize ReS2 Raman spectra
Graph_display(crystal='ReS2')
```







```
[6]: class DataGenerator(tf.keras.utils.Sequence):

Generates data for Keras from the folders structure previously defined

def __init__(self, path, batch_size=32, dim=(1000,2), n_channels=1,
```

```
shuffle=True, training=True):
       # Dimension of the Raman spectra
       self.dim = dim
       # Batch size, default = 32
      self.batch_size = batch_size
       # Path to the directory containing the Raman spectra
       if training:
           self.path = path + '/training'
       else:
           self.path = path + '/validation'
       # Need to read the location of all files and the name of the folder foru
\hookrightarrow labels
       # Create containers for filename list, a list of labels
      self.list_IDs = []
       _labels_cont = []
       # Identify all labels
      labels = os.listdir(self.path)
       # Create a dictionary with keys: labels and an identifying number
      self.labels_dic = dict(zip(labels,range(len(labels))))
      for label in labels:
           # folder containing all files of a label
           _file_path = os.path.join(self.path, label)
           # All the filenames corresponding to a label
           _file = os.listdir(_file_path)
           # Add filenames to a list
           self.list_IDs.extend(_file)
           # list that is the length of the number of files all containing the
⇔label
           _labels_cont.extend(np.full(len(_file),label))
       # Dictionary with keys: filename and label
       self.labels = dict(zip(self.list_IDs,_labels_cont))
       # Number of channels
      self.n_channels = n_channels
       # Reorder list of files
       self.shuffle = shuffle
```

```
self.on_epoch_end()
    def __len__(self):
        'Denotes the number of batches per epoch'
        return int(np.floor(len(self.list_IDs) / self.batch_size))
    def __getitem__(self, index):
        'Generate one batch of data'
        # Generate indexes of the batch
        indexes = self.indexes[index*self.batch size:(index+1)*self.batch size]
        # Find list of IDs
        list_IDs_temp = [self.list_IDs[k] for k in indexes]
        # Generate data
        X, y = self.__data_generation(list_IDs_temp)
        return X, y
    def on_epoch_end(self):
        'Updates indexes after each epoch'
        self.indexes = np.arange(len(self.list_IDs))
        if self.shuffle == True:
            np.random.shuffle(self.indexes)
    def __data_generation(self, list_IDs_temp):
        'Generates data containing batch_size samples' # X : (n_samples, *dim,_
 \hookrightarrow n_{channels}
        # Initialization
        X = np.empty((self.batch_size, *self.dim))
        y = np.empty((self.batch_size), dtype=int)
        # Generate data
        for i, ID in enumerate(list_IDs_temp):
            # Store sample
            X[i,] = np.loadtxt(os.path.join(os.path.join(self.path, self.
 →labels[ID]),ID), skiprows=1, max_rows=1000)
            # Store label
            y[i] = self.labels_dic[self.labels[ID]]
        return X, y #tf.keras.utils.to_categorical(y, num_classes=len(self.
 → labels_dic))
# Parameters
params = {'dim': (1000,2)},
```

0.0.4 Get an idea of a good learning rate

```
[7]: def adjust_learning_rate(dataset):
         model = tf.keras.models.Sequential([
             tf.keras.layers.Conv1D(16, (3), activation='relu', input_shape=(1000,_u
      \hookrightarrow 2))
             tf.keras.layers.MaxPooling1D(2),
             tf.keras.layers.Conv1D(32, (3), activation='relu'),
             tf.keras.layers.MaxPooling1D(2),
             tf.keras.layers.Conv1D(64, (3), activation='relu'),
             tf.keras.layers.MaxPooling1D(2),
             # Flatten the results to feed into a DNN
             tf.keras.layers.Flatten(),
             # 512 neuron hidden layer
             tf.keras.layers.Dense(units = 512, activation='relu'),
             # Only 1 output neuron. It will contain a value from 0-1
             # where 0 for 1 class ('ReS2') and 1 for the other ('ReSe2')
             tf.keras.layers.Dense(1, activation='sigmoid')
         ])
         model.summary()
         lr_schedule = tf.keras.callbacks.LearningRateScheduler(lambda epoch: 1e-4 *u
      \rightarrow 10**(epoch / 4))
         model.compile(optimizer=Adam(),
                   loss='binary_crossentropy',
                   metrics = ['accuracy'])
         history = model.fit(training_generator, epochs=20, callbacks=[lr_schedule])
         return history
```

```
[8]: # Run the training with dynamic LR lr_history = adjust_learning_rate(training_generator)
```

2022-11-04 13:16:41.752084: I

tensorflow/core/common_runtime/pluggable_device/pluggable_device_factory.cc:305] Could not identify NUMA node of platform GPU ID 0, defaulting to 0. Your kernel may not have been built with NUMA support.

2022-11-04 13:16:41.752688: I

tensorflow/core/common_runtime/pluggable_device/pluggable_device_factory.cc:271]
Created TensorFlow device (/job:localhost/replica:0/task:0/device:GPU:0 with 0
MB memory) -> physical PluggableDevice (device: 0, name: METAL, pci bus id:
<undefined>)

Metal device set to: Apple M1

Model: "sequential"

Layer (type)	Output Shape	Param #
conv1d (Conv1D)	(None, 998, 16)	112
<pre>max_pooling1d (MaxPooling1D)</pre>	(None, 499, 16)	0
conv1d_1 (Conv1D)	(None, 497, 32)	1568
<pre>max_pooling1d_1 (MaxPooling 1D)</pre>	(None, 248, 32)	0
conv1d_2 (Conv1D)	(None, 246, 64)	6208
<pre>max_pooling1d_2 (MaxPooling 1D)</pre>	(None, 123, 64)	0
flatten (Flatten)	(None, 7872)	0
dense (Dense)	(None, 512)	4030976
dense_1 (Dense)	(None, 1)	513

Total params: 4,039,377 Trainable params: 4,039,377 Non-trainable params: 0

Epoch 1/20

2022-11-04 13:16:43.025240: W

tensorflow/core/platform/profile_utils/cpu_utils.cc:128] Failed to get CPU

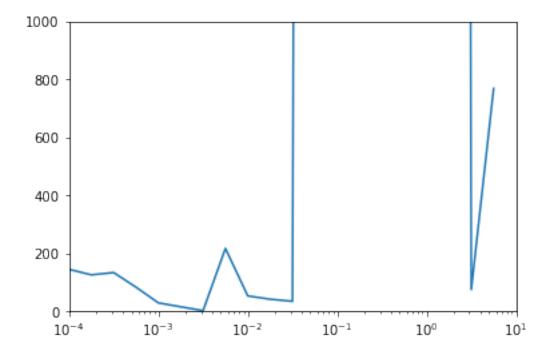
frequency: 0 Hz

2022-11-04 13:16:43.345865: I

tensorflow/core/grappler/optimizers/custom_graph_optimizer_registry.cc:113] Plugin optimizer for device_type GPU is enabled.

```
0.4844 - lr: 1.0000e-04
Epoch 2/20
0.5938 - lr: 1.7783e-04
Epoch 3/20
0.5312 - lr: 3.1623e-04
Epoch 4/20
0.6797 - lr: 5.6234e-04
Epoch 5/20
0.7578 - lr: 0.0010
Epoch 6/20
0.9219 - lr: 0.0018
Epoch 7/20
0.9844 - lr: 0.0032
Epoch 8/20
0.8125 - lr: 0.0056
Epoch 9/20
0.8594 - lr: 0.0100
Epoch 10/20
0.8750 - lr: 0.0178
Epoch 11/20
0.9844 - lr: 0.0316
Epoch 12/20
accuracy: 0.8281 - lr: 0.0562
Epoch 13/20
accuracy: 0.7109 - lr: 0.1000
Epoch 14/20
accuracy: 0.6328 - lr: 0.1778
Epoch 15/20
accuracy: 0.4922 - lr: 0.3162
Epoch 16/20
accuracy: 0.5234 - 1r: 0.5623
Epoch 17/20
```

[18]: (0.0001, 10.0, 0.0, 1000.0)



0.0.5 Vary parameters to find best model

The number of dropout units is varied to identify the best model that limits overfitting.

```
[10]: def model_builder(hp):
    hp_units = hp.Float('units', min_value=0.0, max_value=0.3, step=0.1)
    model = tf.keras.models.Sequential([
```

```
# Note the input shape is the desired size of the image 150x150 with 3_{\sqcup}
 ⇔bytes color
        tf.keras.layers.Conv1D(16, (3), activation='relu', u
 \rightarrowinput shape=(1000,2)),
        tf.keras.layers.MaxPooling1D(2),
        tf.keras.layers.Conv1D(32, (3), activation='relu'),
        tf.keras.layers.MaxPooling1D(2),
        tf.keras.layers.Conv1D(64, (3), activation='relu'),
        tf.keras.layers.MaxPooling1D(2),
        # Flatten the results to feed into a DNN
        tf.keras.layers.Flatten(),
        # 512 neuron hidden layer
        tf.keras.layers.Dense(512, activation='relu'),
        tf.keras.layers.Dropout(hp_units),
        # Only 1 output neuron. It will contain a value from 0-1 where 0 for 1_{\sqcup}
 ⇔class ('cats') and 1 for the other ('dogs')
        tf.keras.layers.Dense(1, activation='sigmoid')
    ])
    # Tune the learning rate for the optimizer
    # Choose an optimal value from 0.01, 0.001, or 0.0001
    #hp_learning_rate = hp.Choice('learning_rate', values=[1e-2, 1e-3, 1e-4])
    model.compile(optimizer=Adam(learning_rate=0.001),
              loss='binary_crossentropy',
              metrics = ['accuracy'])
    return(model)
tuner = kt.RandomSearch(model_builder,
                     objective='val_accuracy',
                     directory='my_dir4',
                     project_name='Raman')
#Stop the training if the validation loss does not improve after epochs
stop_early = tf.keras.callbacks.EarlyStopping(monitor='val_loss', patience=5)
tensor_board = tf.keras.callbacks.TensorBoard()
tuner.search(training_generator, epochs=50, validation_data =_
 ⇔validation_generator, callbacks=[stop_early, tensor_board])
# Get the optimal hyperparameters
best_hps=tuner.get_best_hyperparameters(num_trials=1)[0]
```

INFO:tensorflow:Reloading Oracle from existing project my_dir4/Raman/oracle.json INFO:tensorflow:Reloading Tuner from my_dir4/Raman/tuner0.json INFO:tensorflow:Oracle triggered exit

The hyperparameter search is complete. The optimal number of dropout units in the first densely-connected layer is 0.2.

0.0.6 Build the model using the best parameters found earlier

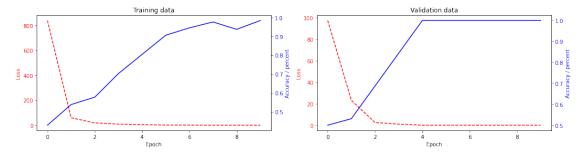
```
[12]: # Build the model with the optimal hyperparameters and train it on the data for
       →50 epochs
      model = tuner.hypermodel.build(best_hps)
      model_weights = os.path.join(os.getcwd(), 'model_weights')
      # Empty directory to prevent FileExistsError is the function is run several,
       \hookrightarrow times
      if os.path.exists(model_weights):
          shutil.rmtree(model_weights)
      os.makedirs(model_weights)
      # Create a callback that saves the model's weights
      cp_callback = tf.keras.callbacks.ModelCheckpoint(filepath=model_weights,
                                                         save_weights_only=True,
                                                         save_best_only=True,
                                                         verbose=1)
      history = model.fit(
                  training_generator,
                  epochs=10,
                  validation_data=validation_generator,
                  verbose=1,
                  callbacks=[cp_callback]
```

```
Epoch 1: val_loss improved from inf to 97.55345, saving model to
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/model_weights
2022-11-04 13:16:54.296503: I
tensorflow/core/grappler/optimizers/custom_graph_optimizer_registry.cc:113]
Plugin optimizer for device_type GPU is enabled.
accuracy: 0.4297 - val_loss: 97.5535 - val_accuracy: 0.5000
Epoch 2/10
0.5391
Epoch 2: val_loss improved from 97.55345 to 23.16003, saving model to
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/model_weights
0.5391 - val_loss: 23.1600 - val_accuracy: 0.5312
Epoch 3/10
Epoch 3: val_loss improved from 23.16003 to 2.49795, saving model to
/Users/lewishart/LinkedInLearning/Tensor flow/Raman/model weights
0.5781 - val_loss: 2.4980 - val_accuracy: 0.6875
Epoch 4/10
Epoch 4: val_loss improved from 2.49795 to 1.00331, saving model to
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/model_weights
0.7031 - val_loss: 1.0033 - val_accuracy: 0.8438
Epoch 5: val_loss improved from 1.00331 to 0.00027, saving model to
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/model_weights
0.8047 - val_loss: 2.6624e-04 - val_accuracy: 1.0000
Epoch 6/10
Epoch 6: val loss improved from 0.00027 to 0.00000, saving model to
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/model_weights
0.9062 - val_loss: 5.2676e-07 - val_accuracy: 1.0000
Epoch 7/10
Epoch 7: val loss improved from 0.00000 to 0.00000, saving model to
/Users/lewishart/LinkedInLearning/Tensor_flow/Raman/model_weights
0.9453 - val_loss: 0.0000e+00 - val_accuracy: 1.0000
Epoch 8/10
```

0.0.7 Visualize accuracy and loss as a function of epoch

```
# Retrieve a list of list results on training and test data
# sets for each training epoch
acc=history.history['accuracy']
val_acc=history.history['val_accuracy']
loss=history.history['loss']
val_loss=history.history['val_loss']
epochs=range(len(acc)) # Get number of epochs
# Visualize loss and accuracy history
fig, ax = plt.subplots(nrows=1,ncols=2, figsize=(15,4))
ax2 = ax[0].twinx()
ax[0].plot(history.history['loss'], 'r--',)
ax2.plot(history.history['accuracy'], 'b-')
ax[0].set_xlabel('Epoch')
ax[0].tick_params(axis='y', labelcolor='r')
ax2.set_ylabel('Accuracy / percent',c='b')
ax[0].set_ylabel('Loss', c='r')
ax2.tick_params(axis='y', labelcolor='b')
ax[0].set_title('Training data')
ax3 = ax[1].twinx()
ax[1].plot(history.history['val_loss'], 'r--',)
ax3.plot(history.history['val_accuracy'], 'b-')
ax[1].set_xlabel('Epoch')
ax[1].tick_params(axis='y', labelcolor='r')
ax3.tick_params(axis='y', labelcolor='b')
ax3.set_ylabel('Accuracy / percent',c='b')
ax[1].set ylabel('Loss', c='r')
```

```
ax[1].set_title('Validation data')
plt.tight_layout()
```



0.0.8 Evaluate the model

```
[14]: # Create a basic model instance
model_best = tuner.hypermodel.build(best_hps)

# Loads the weights
model_best.load_weights(model_weights)

# Evaluate the model
loss, acc = model_best.evaluate(validation_generator, verbose=2)
print("Trained model, accuracy: {:5.2f}%".format(100 * acc))
```

```
1/1 - 0s - loss: 0.0000e+00 - accuracy: 1.0000 - 261ms/epoch - 261ms/step Trained model, accuracy: 100.00%

2022-11-04 13:16:59.185926: I
tensorflow/core/grappler/optimizers/custom_graph_optimizer_registry.cc:113]
Plugin optimizer for device_type GPU is enabled.
```

0.0.9 Test the model

```
[20]: import numpy as np

test_dir = os.path.join(os.getcwd(), 'test_images')
test_files = os.listdir(test_dir)
test_files.remove('.DS_Store')

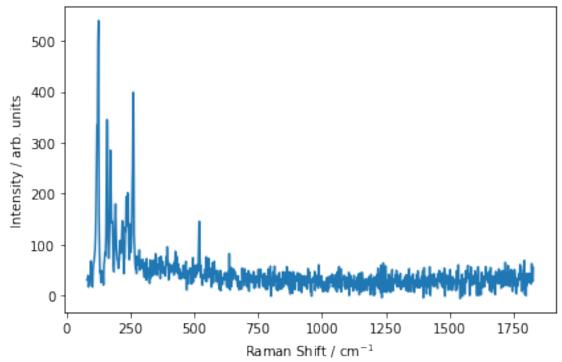
for fn in test_files:
    # predicting images
    t = test_dir + '/' + fn
    img=np.loadtxt(t, skiprows=1, max_rows=1000)

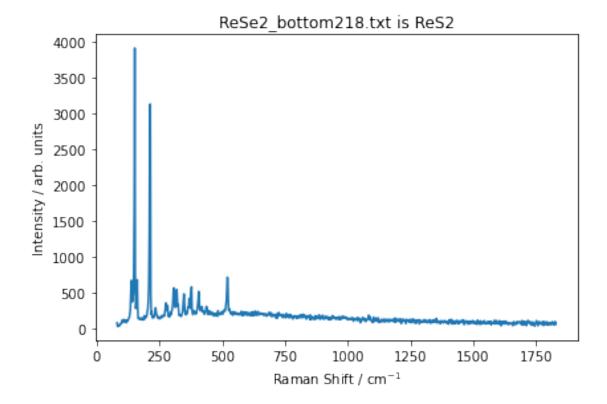
x=np.expand_dims(img, axis=0)
images = np.vstack([x])
```

```
classes = model_best.predict(images, batch_size=1, verbose=3)
plt.figure()
plt.plot(img[:,0],img[:,1])
plt.xlabel('Raman Shift / cm$^{-1}$')
plt.ylabel('Intensity / arb. units')
plt.tight_layout()

if classes[0]>0.5:
    plt.title(fn + " is ReSe2")
else:
    plt.title(fn + " is ReS2")
```

ReSe220.txt is ReSe2





0.0.10 Conclusions

This model is able to determine whether Raman spectra belongs to ReS_2 or $ReSe_2$, even when other compounds are measured at the same time. This is a simple model and the next steps will be to expand this a multiclass problem where multiple compounds can be identified.