# Experimenting with Carmo and Jones' DDL

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Referencing Benzmuller and Parent's implementation: https://www.mi.fu-berlin.de/inf/groups/ag-ki/publications/dyadic-deontic-logic/C71.pdf

This theory contains the axiomatization of the system and some useful abbreviations.

```
theory carmojones-DDL
imports
Main
```

begin

# 1 System Definition

### 1.1 Definitions

This section contains definitions and constants necessary to construct a DDL model.

**typedecl** i — i is the type for a set of possible worlds."

```
type-synonym t = (i \Rightarrow bool)
```

- t represents a set of DDL formulas.
- this set is defined by its truth function, mapping the set of worlds to the formula set's truth value.

```
— accessibility relations map a set of worlds to:
```

**consts**  $av::i \Rightarrow t$  — actual versions of that world set

- these worlds represent what is "open to the agent"
- for example, the agent eating pizza or pasta for dinner might constitute two different actual worlds

**consts**  $pv::i \Rightarrow t$  — possible versions of that world set

- these worlds represent was was "potentially open to the agent"
- for example, what someone across the world eats for dinner might constitute a possible world, since the agent has no control over this

```
consts ob::t \Rightarrow (t \Rightarrow bool) — set of propositions obligatory in this "context" — ob(context)(term) is True if t is obligatory in the context
```

consts cw::i — current world

#### 1.2 Axiomatization

This subsection contains axioms. Because the embedding is semantic, these are just constraints on models.

This axiomatization comes from Carmo and Jones p 6 and the HOL embedding defined in Benzmuller and Parent

```
axiomatization where
ax-3a: \forall w.\exists x. av(w)(x)
— every world has some actual version
and ax-4a: \forall w \ x. \ av(w)(x) \longrightarrow pv(w)(x)
— all actual versions of a world are also possible versions of it
and ax-4b: \forall w. pv(w)(w)
— every world is a possible version of itself
and ax\text{-}5a: \forall X.\neg ob(X)(\lambda w. False)
— in any arbitrary context X, something will be obligatory
and ax-5b: \forall X Y Z. (\forall w. ((X(w) \land Y(w)) \longleftrightarrow (X(w) \land Z(w)))) \longrightarrow (ob(X)(Y))
\longleftrightarrow ob(X)(Z) — note that X(w) denotes w is a member of X
— X, Y, and Z are sets of formulas
— If X \cap Y = X \cap Z then the context X obliges Y iff it obliges Z
— ob(X)(\lambda \text{ w. Fw}) can be read as F \in ob(X)
and ax-5c2: \forall X Y Z. (((\exists w. (X(w) \land Y(w) \land Z(w))) \land ob(X)(Y) \land ob(X)(Z)))
\longrightarrow ob(X)(\lambda w. Y(w) \wedge Z(w))
and ax-5d: \forall X \ Y \ Z. \ ((\forall w. \ Y(w) \longrightarrow X(w)) \land ob(X)(Y) \land (\forall w. \ X(w) \longrightarrow Z(w)))
  \longrightarrow ob(Z)(\lambda w.(Z(w) \land \neg X(w)) \lor Y(w))
— If some subset Y of X is in ob(X) then in a larger context Z, any obligatory
proposition must either be in Y or in Z-X
and ax-5e: \forall X \ Y \ Z. ((\forall w. \ Y(w) \longrightarrow X(w)) \land ob(X)(Z) \land (\exists w. \ Y(w) \land Z(w)))
\longrightarrow ob(Y)(Z)
— If Z is obligatory in context X, then Z is obligatory in a subset of X called Y, if
```

## 1.3 Abbreviations

Z shares some elements with Y

These abbreviations are defined in Benzmuller and Parent, p9

These are all syntactic sugar for HOL expressions, so evaluating these symbols will be light-weight

```
— propositional logic symbols abbreviation ddlneg::t\Rightarrow t \ (\neg) where \neg A \equiv \lambda w. \ \neg A(w) abbreviation ddlor::t\Rightarrow t\Rightarrow t \ (\lor) where \lor A \ B \equiv \lambda w. \ (A(w) \lor B(w)) abbreviation ddland::t\Rightarrow t\Rightarrow t \ (-\land -) where A\land B \equiv \lambda w. \ (A(w) \land B(w)) abbreviation ddlif::t\Rightarrow t\Rightarrow t \ (-\rightarrow -) where A\rightarrow B \equiv (\lambda w. \ A(w) \longrightarrow B(w))
```

```
abbreviation ddlequiv::t\Rightarrow t\Rightarrow t (-\equiv -)
  where (A \equiv B) \equiv ((A \rightarrow B) \land (B \rightarrow A))
 — modal operators
abbreviation ddlbox::t \Rightarrow t (\square)
  where \Box A \equiv \lambda w. \forall y. A(y)
abbreviation ddldiamond::t \Rightarrow t \ (\lozenge)
  where \Diamond A \equiv \neg(\Box(\neg A))
— O\{B|A\} can be read as "B is obligatory in the context A"
abbreviation ddlob::t\Rightarrow t\Rightarrow t (O\{-|-\})
  where O\{B|A\} \equiv \lambda \ w. \ ob(A)(B)
— modal symbols over the actual and possible worlds relations
abbreviation ddlboxa::t\Rightarrow t (\square_a)
  where \Box_a A \equiv \lambda x. \forall y. (\neg av(x)(y) \lor A(y))
abbreviation ddldiamonda::t \Rightarrow t \ (\lozenge_a)
  where \lozenge_a A \equiv \neg(\Box_a(\neg A))
abbreviation ddlboxp::t\Rightarrow t (\square_p)
  where \Box_p A \equiv \lambda x. \forall y. (\neg pv(x)(y) \lor A(y))
abbreviation ddldiamondp::t \Rightarrow t \ (\lozenge_p)
  where \Diamond_p A \equiv \neg(\Box_a(\neg A))
— obligation symbols over the actual and possible worlds
abbreviation ddloba::t \Rightarrow t (O_a)
  where O_a A \equiv \lambda x. \ ob(av(x))(A) \wedge (\exists y.(av(x)(y) \wedge \neg A(y)))
abbreviation ddlobp::t \Rightarrow t (O_p)
  where O_p A \equiv \lambda x. \ ob(pv(x))(A) \wedge (\exists y.(pv(x)(y) \wedge \neg A(y)))
— syntactic sugar for a "monadic" obligation operator
abbreviation ddltrue::t(\top)
  where \top \equiv \lambda w. True
abbreviation ddlob-normal::t \Rightarrow t (O \{-\})
  where (O\{A\}) \equiv (O\{A|\top\})
— validity
abbreviation ddlvalid::t\Rightarrow bool (\models-)
  where \models A \equiv \forall w. A w
abbreviation ddlvalidcw::t \Rightarrow bool\ (\models_c-)
  where \models_c A \equiv A \ cw
```

# 1.4 Consistency

Consistency is so easy to show in Isabelle!

```
lemma True nitpick [satisfy,user-axioms,show-all,format=2] oops
```

- Nitpick successfully found a countermodel.
- It's not shown in the document printout, hence the oops.
- If you hover over "nitpick" in JEdit, the model will be printed to output.

 $\mathbf{end}$ 

theory carmojones-DDL-completeness imports carmojones-DDL

### begin

This theory shows completeness for this logic with respect to the models presented in carmojonesDDl.thy.

# 2 Inference Rules

#### 2.1 Basic Inference Rules

These inference rules are common to most modal and propostional logics

```
lemma modus-ponens: assumes \models A assumes \models (A \rightarrow B)
shows \models B
using assms(1) assms(2) by blast
— Because I have not defined a "derivable" operator, inference rules are written
```

- using assumptions.

   For further meta-logical work, defining metalogical operators may be useful
- lemma nec: assumes  $\models A$  shows  $\models (\Box A)$  by  $(simp\ add:\ assms)$

```
lemma nec-a: assumes \models A shows \models (\Box_a A) by (simp \ add: \ assms) lemma nec-p: assumes \models A shows \models (\Box_p A) by (simp \ add: \ assms)
```

# 2.2 Fancier Inference Rules

These are new rules that Carmo and Jones introduced for this logic.

```
\begin{array}{l} \mathbf{lemma} \ \ Oa\text{-}boxaO: \\ \mathbf{assumes} \models (B \rightarrow ((\neg(\Box((O_a\ A) \rightarrow ((\Box_a w) \land \ O\{A|w\})))))) \\ \mathbf{shows} \models (B \rightarrow (\neg(\Diamond(O_a\ A)))) \\ \mathbf{oops} \\ \mathbf{lemma} \ \ Oa\text{-}boxpO: \\ \mathbf{assumes} \models (B \rightarrow ((\neg(\Box((O_p\ A) \rightarrow ((\Box_p w) \land \ O\{A|w\})))))) \\ \mathbf{shows} \models (B \rightarrow (\neg(\Diamond(O_p\ A)))) \end{array}
```

— The oops indicates that we were not able to find a proof for these lemmas.

B and A must not contain w. not sure how to encode that requirement. one option is to define a new free variables predicate and use that, but that requires a deeper embedding than I have. If Benzmuller and Parent can survive without these inference rules, so can I

# 3 Axioms

# 3.1 Box

```
— \square is an S5 modal operator, which is where these axioms come from.
lemma K:
 shows \models ((\Box(A \rightarrow B)) \rightarrow ((\Box A) \rightarrow (\Box B)))
 by blast
lemma T:
 \mathbf{shows} \models ((\Box A) \rightarrow A)
 by blast
lemma 5:
  \mathbf{shows} \models ((\Diamond A) \to (\Box(\Diamond A)))
 by blast
3.2
        0
This characterization of O comes from Carmo and Jones p 593
lemma O-diamond:
  \mathbf{shows} \models (O\{A|B\} \rightarrow (\Diamond(B \land A)))
  using ax-5b ax-5a
 by metis
— A is only obligatory in a context if it can possibly be true in that context.
lemma O-C:
 shows \models (((\Diamond (A \land (B \land C))) \land (O\{B|A\} \land O\{C|A\})) \rightarrow (O\{B \land C|A\}))
 by (metis ax-5c2)
— The conjunction of obligations in a context is obligatory in that context.
— The restriction \Diamond(ABC) is to prevent contradictory obligations and contexts.
lemma O-SA:
  \mathbf{shows} \models (((\Box(A \rightarrow B)) \land ((\Diamond(A \land C)) \land O\{C|B\})) \rightarrow (O\{C|A\}))
  using ax-5e by blast
— The principle of strengthening the antecedent.
lemma O-REA:
  shows \models ((\Box(A \equiv B)) \rightarrow (O\{C|A\} \equiv O\{C|B\}))
 using O-diamond ax-5e by blast

    Equivalence for equivalent contexts.

lemma O-contextual-REA:
 \mathbf{shows} \models ((\Box(C \to (A \equiv B))) \to (O\{A|C\} \equiv O\{B|C\}))
 by (metis ax-5b)
— The above lemma, but in some context C.
lemma O-nec:
```

shows  $\models (O\{B|A\} \rightarrow (\Box O\{B|A\}))$ 

```
by simp
 — Obligations are necessarily obligated.
lemma ax-5b'':
     shows ob X Y \longleftrightarrow ob X (\lambda z. (Y z) \land (X z))
     by (metis (no-types, lifting) ax-5b)
lemma O-to-O:
     shows \models (O\{B|A\} \rightarrow O\{(A \rightarrow B)|\top\})
proof-
     have \forall X \ Y \ Z. \ (ob \ X \ Y \ \land \ (\forall \ w. \ X \ w \longrightarrow Z \ w)) \longrightarrow ob \ Z \ (\lambda w.(Z \ w \ \land \neg X \ w) \ \lor
 Y(w)
     by (smt ax-5d ax-5b ax-5b'')
     thus ?thesis
     proof -
          have f1: \forall p \ pa \ pb. \ ((\neg (ob \ p \ pa)) \lor (\exists i. \ (p \land (\neg pb)) \ i)) \lor (ob \ pb \ (\lor (pb \land (\neg pb)))) \lor (ob \ pb) \lor (o
p)) pa))
              using \forall X \ Y \ Z. \ ob \ X \ Y \ \land (\models(X \rightarrow Z)) \longrightarrow ob \ Z \ (\lor (Z \land (\neg X)) \ Y) \land by \ force
          obtain ii :: (i \Rightarrow bool) \Rightarrow (i \Rightarrow bool) \Rightarrow i where
                \forall x\theta \ x2. \ (\exists v3. \ (x2\land (\neg x\theta)) \ v3) = (x2\land (\neg x\theta)) \ (ii \ x\theta \ x2)
                by moura
          then have \forall p \ pa \ pb. ((\neg \ ob \ p \ pa) \lor (p \land (\neg \ pb)) \ (ii \ pb \ p)) \lor ob \ pb \ (\lor (pb \land (\neg \ pb)))
p)) pa)
                using f1 by presburger
          then show ?thesis
                by fastforce
     qed
qed
     - Moving from the dyadic to monadic obligation operators.
3.3
                      Possible Box
  — \square_p is a KT modal operator.
lemma K-boxp:
     shows \models ((\Box_p(A \to B)) \to ((\Box_p A) \to (\Box_p B)))
     by blast
lemma T-boxp:
     shows \models ((\Box_n A) \to A)
     using ax-4b by blast
3.4
                      Actual Box
— \square_a is a KD modal operator.
lemma K-boxa:
     shows \models ((\Box_a(A \to B)) \to ((\Box_a A) \to (\Box_a B)))
     by blast
lemma D-boxa:
     shows \models ((\Box_a A) \to (\Diamond_a A))
     using ax-3a by blast
```

# 3.5 Relations Between the Modal Operators

```
— Relation between \square, \square_a, and \square_p.
lemma box-boxp:
  shows \models ((\Box A) \to (\Box_p A))
  by auto
lemma boxp-boxa:
  shows \models ((\Box_p A) \to (\Box_a A))
  using ax-4a by blast
— Relation between actual and possible O and \square.
lemma not-Oa:
  shows \models ((\Box_a A) \rightarrow ((\neg(O_a \ A)) \land (\neg(O_a \ (\neg A)))))
  using O-diamond by blast
lemma not-Op:
\mathbf{shows} \models ((\Box_p A) \rightarrow ((\neg(O_p\ A)) \land (\neg(O_p\ (\neg A)))))
  using O-diamond by blast
lemma equiv-Oa:
  shows \models ((\Box_a (A \equiv B)) \rightarrow ((O_a \ A) \equiv (O_a \ B)))
  using O-contextual-REA by blast
lemma equiv-Op:
  shows \models ((\Box_p(A \equiv B)) \rightarrow ((O_p A) \equiv (O_p B)))
  using O-contextual-REA by blast
— relationships between actual and possible O and \square and O proper.
lemma factual-detach-a:
  shows \models (((O\{B|A\} \land (\Box_a A)) \land ((\Diamond_a B) \land (\Diamond_a (\neg B)))) \rightarrow (O_a B))
  using O-SA by auto
\mathbf{lemma}\ \mathit{factual}\text{-}\mathit{detach}\text{-}\mathit{p}\text{:}
  shows \models (((O\{B|A\} \land (\square_p A)) \land ((\lozenge_p B) \land (\lozenge_p (\neg B)))) \rightarrow (O_p B))
  by (smt O-SA boxp-boxa)
```

end

begin

# 4 The Categorical Imperative

# 4.1 Simple Formulation of the Kingdom of Ends

This is my first attempt at formalizing the concept of the Kingdom of Ends

NOTE: this attempt revealed a bug in my embedding. I've included it as an artifact, but none of these theorems hold anymore (hence the oops).

```
abbreviation ddlpermissable::t\Rightarrow t \ (P-)
where (P\ A) \equiv (\neg(O\ \{\neg A\}))
```

- This operator represents permissibility
- Will be useful when discussing the categorical imperative
- Something is permissible if it is not prohibited
- Something is prohibited if its negation is obligatory

```
lemma kingdom-of-ends-1:
```

```
\mathbf{shows} \models ((O\ \{A\}) \rightarrow (\Box\ (P\ A)))oops
```

- One interpretation of the categorical imperative is that something is obligatory only if it is permissible in every ideal world
- This formulation mirrors the kingdom of ends.
- This formulation is already a theorem of carmo and jones' DDL!.
- It can be shown using the O diamond rule, which just says that obligatory things must be possible.
- There are two possibilities: either the logic is already quite powerful OR this formulation is "empty".

```
\begin{array}{l} \mathbf{lemma} \ \textit{kingdom-of-ends-2:} \\ \mathbf{shows} \models ((\Box \ (P \ A)) \rightarrow (O \ \{A\})) \\ \mathbf{oops} \end{array}
```

- Notice also that ideally, this relationship does not hold in the reverse direction.
- Plenty of things are necessarily permissible (drinking water) but not obligatory.
- Very strange that this is a theorem in this logic.....
- That being said, Isabelle seems quite upset with this proof and is very slow to resconstruct it
- I am struggling to recreate this proof on paper

```
lemma permissible-to-ob:

shows \models ((P \ A) \rightarrow (O \ \{A\}))

oops
```

- Uh-oh.....this shouldn't be true...
- Not all permissable things are obligatory.....

**lemma** weaker-permissible-to-ob:

```
\mathbf{shows} \models ((\lozenge (P A)) \to O \{A\})
```

- Makes sense that this follows from the reverse kingdom of ends.
- Obligation and necessity/possibility are separated in this logic
- Both the dyadic obligation and necessity operator are world agnostic

 ${\bf lemma}\ contradictory-obligations:$ 

```
shows \models (\neg ((O \{A\}) \land (O \{\neg A\}))) oops
```

- What is the cause of the above strangeness?
- This very intuitive theorem holds in my logic but not in Benzmuller Parent's
- It's clear that this theorem results in the strange results above.
- Conclusion: There is a bug in my embedding

Sidebar: the above theorem is really intuitive - it seems like we wouldn't want contradictory things to be obligatory in any logic. But for some reason, not only is it not a theorem of Carmo and Jones' logic, it actually implies some strange conclusions, including that everything is either permissible or obligatory. It's not clear to me from a semantic perspective why this would be the case. In fact this theorem seems like a desirable property. Potential avenue for exploration

Did some debugging. What was the problem? A misplaced parentheses in the definition of ax5b that led to a term being on the wrong side of an implication. Computer Science:(

After the debugging, all of this is no longer true! On to the next attempt :) end

begin

#### 5 The Categorical Imperative

#### Simple Formulation of the Formula of Universal Law 5.1

This is my second attempt at formalizing the Formula of Universal Law

```
abbreviation ddlpermissable::t \Rightarrow t (P-)
  where (P A) \equiv (\neg (O \{\neg A\}))
```

- This operator represents permissibility
- Will be useful when discussing the categorical imperative
- Something is permissible if it is not prohibited
- Something is prohibited if its negation is obligatory

Let's consider a naive reading of the Formula of Universal Law (FUL). From the Groundwork, 'act only in accordance with that maxim through which you can at the same time will that it become a universal law'. What does this mean in DDL? One interpretation is if A is not necessarily permissible, then its negation is obligated.

```
axiomatization where
FUL-1: \models ((\neg(\Box (P A))) \rightarrow (O \{(\neg A)\}))
```

#### 5.2**Basic Tests**

```
lemma True nitpick [satisfy,user-axioms,format=2] oops
— "Nitpick found a model for card i = 1:
Empty assignment"
— Nitpick tells us that the FUL is consistent
— "oops" after Nitpick does not mean Nitpick failed.
lemma something-is-obligatory:
 shows \forall w. \exists A. O \{A\} w
 nitpick [user-axioms]
 oops
— We might think that in every world we want something to be obligated.
— Sadly, Sledgehammer times out trying to prove this. Let's relax this
— "Nitpick found a counterexample for card i = 1:
Empty assignment"
— Nitpick to the rescue! The formula is in fact not valid.
lemma something-is-obligatory-2:
 shows \forall w. \exists A. O \{A\} w
 nitpick [user-axioms, falsify=false]
— "Nitpick found a model for card i = 1:
Skolem constant: A = (\lambda x.)(i_1 := True)"
```

- Nitpick tells us that the formula is consistent it found a model where the formula is true.
- This means that our model is underspecified this formula is neither valid nor inconsistent.

```
lemma something-is-obligatory-relaxed:
 shows \exists A w. O \{A\} w
 nitpick [user-axioms]
 oops
— "Nitpick found a counterexample for card i = 1:
Empty assignment"
— The relaxed version definitely isn't valid.
\mathbf{lemma}\ something\ is\ obligatory\ relaxed\ -2:
 shows \exists A w. O \{A\} w
 nitpick [user-axioms, falsify=false]
 oops
 - "Nitpick found a model for card i = 1:
Skolem constant: A = (\lambda x.)(i_1 := True)"
— Nitpick tells us that the formula is consistent - it found a model where the
formula is true.

    The model seems underspecified.

5.3
       Specifying the Model
```

Let's specify the model. What if we add something impermissible?

```
consts M::t abbreviation murder\text{-}wrong::bool where murder\text{-}wrong \equiv \models (O \{ \neg M \})
```

```
lemma something-is-obligatory-2: assumes murder-wrong shows \forall w. \exists A. O \{A\} w
```

using assms by auto

— It works this time, but I think "murder wrong" might be too strong of an assumption

Let's try a weaker assumption: Not everyone can lie.

```
typedecl person consts lies::person \Rightarrow t consts me::person

lemma breaking\text{-}promises: assumes \neg \ (\forall x. \ lie(x) \ cw) \land \ (lie(me) \ cw) shows (O \ \{ \neg \ (lie(me)) \}) \ cw nitpick [user\text{-}axioms] oops

— No proof found. Makes sense:
```

— This version of FUL simply universalizes across worlds (using the  $\square$  operator),

```
— But NOT across people, which is really what the most obvious reading of FUL implies
```

```
— "Nitpick found a counterexample for card person = 2 and card i = 2:
```

```
Free variable: lie = (\lambda x._{-})(p_1 := (\lambda x._{-})(i_1 := \text{True}, i_2 := \text{False}), p_2 := (\lambda x._{-})(i_1 := \text{False}, i_2 := \text{False}))"
```

# 5.4 Consistent Sentences

The above section tested validity. We might also be interested in some weaker properties

Let's test whether certain sentences are consistent - can we find a model that makes them true?

```
lemma permissible:
```

```
fixes A
```

```
shows ((\neg (O \{A\})) \land (\neg (O \{\neg A\}))) w
```

nitpick [user-axioms, falsify=false] oops

— "Nitpick found a model for card i = 1:

Free variable:  $A = (\lambda x.)(i_1 := False)$ "

— Awesome! Permissible things are consistent - clearly we've fixed the bug from categorical\_imperative\_1

**lemma** conflicting-obligations:

```
fixes A
```

```
\mathbf{shows}\ (O\ \{A\}\ \land\ O\ \{\lnot\ A\})\ w
```

nitpick [user-axioms, falsify=false] oops

— "Nitpick found a model for card i = 2:

Free variable: A =  $(\lambda x.)(i_1 := \text{False}, i_2 := \text{True})$ "

— Oh no! Nitpick found a model with conflicting obligations - that's bad!

### 5.5 Metaethical Tests

```
\mathbf{lemma}\ FUL\text{-}alternate:
```

```
\mathbf{shows} \models ((\lozenge \ (O \ \{\neg\ A\})) \to (O \ \{\neg\ A\}))
```

by simp

- One problem becomes obvious if we look at the definition of permissible
- Expanding the FUL gives us:  $\sim \square \sim O(\sim A) \longrightarrow O(\sim A)$
- By modal duals we get that  $\diamond O(\sim A) \longrightarrow O(\sim A)$
- This means that if something is possibly prohibited, it is in fact prohibited.
- I'm not convinced that this is a desirable property of an ethical theory.

**lemma** arbitrary-obligations:

```
fixes A::t
```

shows  $O\{A\}$  w

nitpick [user-axioms=true] oops

— "Nitpick found a counterexample for card i = 1:

Free variable:  $A = (\lambda x.)(i_1 := False)$ "

— This is good! Shows us that any arbitrary term isn't obligatory.

# ${\bf axiomatization\ where}$

 $\textit{ax-morally-neutral} : \exists \ A.(((\lnot \ (O \ \{A\})) \ \land \ (O \ \{\lnot \ A\}))) \ w$ 

lemma True nitpick [satisfy,user-axioms,show-all,format=2] oops

- We might imagine that we want to allow for "morally neutral" statements
- Ex: it is neither obligated nor prohibited that I eat lunch today.
- Nitpick successfully finds a model with morally neutral statements!

end