

# Experimenting with Carmo and Jones' DDL

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Referencing Benzmuller and Parent's implementation: <https://www.mi.fu-berlin.de/inf/groups/ag-ki/publications/dyadic-deontic-logic/C71.pdf>

This theory contains the axiomatization of the system and some useful abbreviations.

```
theory carmojones-DDL
imports
  Main
```

```
begin
```

## 1 System Definition

### 1.1 Definitions

This section contains definitions and constants necessary to construct a DDL model.

**typedec1**  $i$  —  $i$  is the type for a set of possible worlds.”

**type-synonym**  $t = (i \Rightarrow \text{bool})$

—  $t$  represents a set of DDL formulas.

— this set is defined by its truth function, mapping the set of worlds to the formula set's truth value.

— accessibility relations map a set of worlds to:

**consts**  $av::i \Rightarrow t$  — actual versions of that world set

— these worlds represent what is "open to the agent"

— for example, the agent eating pizza or pasta for dinner might constitute two different actual worlds

**consts**  $pv::i \Rightarrow t$  — possible versions of that world set

— these worlds represent what was "potentially open to the agent"

— for example, what someone across the world eats for dinner might constitute a possible world, — since the agent has no control over this

**consts**  $ob::t \Rightarrow (t \Rightarrow \text{bool})$  — set of propositions obligatory in this "context"

—  $ob(\text{context})(\text{term})$  is True if  $t$  is obligatory in the context

**consts**  $cw::i$  — current world

### 1.2 Axiomatization

This subsection contains axioms. Because the embedding is semantic, these are just constraints on models.

This axiomatization comes from Carmo and Jones p 6 and the HOL embedding defined in Benzmuller and Parent

**axiomatization where**

*ax-3a*:  $\exists x. av(w)(x)$

— every world has some actual version

**and** *ax-4a*:  $\forall x. av(w)(x) \longrightarrow pv(w)(x)$

— all actual versions of a world are also possible versions of it

**and** *ax-4b*:  $pv(w)(w)$

— every world is a possible version of itself

**and** *ax-5a*:  $\neg ob(X)(\lambda w. False)$

— in any arbitrary context X, something will be obligatory

**and** *ax-5b*:  $\forall w. ((X(w) \wedge Y(w)) \longleftrightarrow (X(w) \wedge Z(w))) \longrightarrow (ob(X)(Y) \longleftrightarrow ob(X)(Z))$  — note that  $X(w)$  denotes  $w$  is a member of  $X$

—  $X$ ,  $Y$ , and  $Z$  are sets of formulas

— If  $X \cap Y = X \cap Z$  then the context  $X$  obliges  $Y$  iff it obliges  $Z$

—  $ob(X)(\lambda w. Fw)$  can be read as  $F \in ob(X)$

**and** *ax-5c*:  $(\forall Z. \beta(Z) \longrightarrow ob(X)(Z) \wedge (\exists Z. \beta(Z))) \longrightarrow$

$(\exists y. (\forall Z. (\beta(Z) \longrightarrow Z(y)) \wedge X(y)) \longrightarrow ob(X)(\lambda w. \forall Z. (\beta(Z) \longrightarrow Z(w)))$

— For any nonempty subset  $\beta$  of  $ob(X)$ , if its members share members with  $X$  then its members are all in  $ob(X)$

**and** *ax-5d*:  $(\forall w. (Y(w) \longrightarrow X(w)) \wedge (ob(X)(Y)) \wedge (\forall w. (X(w) \longrightarrow Z(w)))) \longrightarrow (ob(Y)(\lambda w. Y(w) \vee (Z(w) \wedge \neg X(w))))$

— If some subset  $Y$  of  $X$  is in  $ob(X)$  then in a larger context  $Z$ , any obligatory proposition must either be in  $Y$  or in  $Z-X$

**and** *ax-5e*:  $((\forall w. (Y(w) \longrightarrow X(w))) \wedge ob(X)(Z) \wedge (\exists w. (Y(w) \wedge Z(w)))) \longrightarrow ob(Y)(Z)$

— If  $Z$  is obligatory in context  $X$ , then  $Z$  is obligatory in a subset of  $X$  called  $Y$ , if  $Z$  shares some elements with  $Y$

### 1.3 Abbreviations

These abbreviations are defined in Benzmueller and Parent, p9

These are all syntactic sugar for HOL expressions, so evaluating these symbols will be light-weight

— propositional logic symbols

**abbreviation** *ddlneg*:: $t \Rightarrow t$  ( $\neg$ )

**where**  $\neg A \equiv \lambda w. \neg A(w)$

**abbreviation** *ddl or*:: $t \Rightarrow t \Rightarrow t$  ( $\vee$ )

**where**  $A \vee B \equiv \lambda w. (A(w) \vee B(w))$

**abbreviation** *ddl and*:: $t \Rightarrow t \Rightarrow t$  ( $\wedge$ )

**where**  $A \wedge B \equiv \lambda w. (A(w) \wedge B(w))$

**abbreviation** *ddl if*:: $t \Rightarrow t \Rightarrow t$  ( $\longrightarrow$ )

**where**  $A \longrightarrow B \equiv \lambda w. (\neg A(w) \vee B(w))$

**abbreviation**  $ddlequiv::t \Rightarrow t \Rightarrow t$  ( $\equiv$ )  
**where**  $(A \equiv B) \equiv ((A \rightarrow B) \wedge (B \rightarrow A))$

— modal operators

**abbreviation**  $ddlbox::t \Rightarrow t$  ( $\Box$ )  
**where**  $\Box A \equiv \lambda w. \forall y. A(y)$   
**abbreviation**  $ddlloob::t \Rightarrow t$  ( $\Diamond$ )  
**where**  $\Diamond A \equiv \neg(\Box(\neg A))$

—  $O\{B|A\}$  can be read as “B is obligatory in the context A”

**abbreviation**  $ddllob::t \Rightarrow t \Rightarrow t$  ( $O\{-|\cdot\}$ )  
**where**  $O\{B|A\} \equiv \lambda w. ob(A)(B)$

— modal symbols over the actual and possible worlds relations

**abbreviation**  $ddlboxa::t \Rightarrow t$  ( $\Box_a$ )  
**where**  $\Box_a A \equiv \lambda x. \forall y. (\neg av(x)(y) \vee A(y))$   
**abbreviation**  $ddllooba::t \Rightarrow t$  ( $\Diamond_a$ )  
**where**  $\Diamond_a A \equiv \neg(\Box_a(\neg A))$   
**abbreviation**  $ddlboxp::t \Rightarrow t$  ( $\Box_p$ )  
**where**  $\Box_p A \equiv \lambda x. \forall y. (\neg pv(x)(y) \vee A(y))$   
**abbreviation**  $ddlloobp::t \Rightarrow t$  ( $\Diamond_p$ )  
**where**  $\Diamond_p A \equiv \neg(\Box_p(\neg A))$

— obligation symbols over the actual and possible worlds

**abbreviation**  $ddlloba::t \Rightarrow t$  ( $O_a$ )  
**where**  $O_a A \equiv \lambda x. ob(av(x))(A) \wedge (\exists y. (av(x)(y) \wedge \neg A(y)))$   
**abbreviation**  $ddllobp::t \Rightarrow t$  ( $O_p$ )  
**where**  $O_p A \equiv \lambda x. ob(pv(x))(A) \wedge (\exists y. (pv(x)(y) \wedge \neg A(y)))$

— syntactic sugar for a “monadic” obligation operator

**abbreviation**  $ddltrue::t$  ( $\top$ )  
**where**  $\top \equiv \lambda w. True$   
**abbreviation**  $ddllob-normal::t \Rightarrow t$  ( $O-$ )  
**where**  $(O A) \equiv (O\{A|\top\})$

— validity

**abbreviation**  $ddlvalid::t \Rightarrow bool$  ( $\models$ )  
**where**  $\models A \equiv \forall w. A(w)$   
**abbreviation**  $ddlvalidcw::t \Rightarrow bool$  ( $\models_c$ )  
**where**  $\models_c A \equiv A(cw)$

## 1.4 Consistency

Consistency is so easy to show in Isabelle!

**lemma** *True* **nitpick** [*satisfy,user-axioms,show-all,format=2*] **oops**

— Nitpick successfully found a countermodel.

— It’s not shown in the document printout, hence the oops.

— If you hover over “nitpick” in JEdit, the model will be printed to output.

**end**

**theory** *carmojones-DDL-completeness* **imports** *carmojones-DDL*

**begin**

This theory shows completeness for this logic with respect to the models presented in *carmojonesDDL.thy*.

## 2 Inference Rules

### 2.1 Basic Inference Rules

These inference rules are common to most modal and propostional logics

**lemma** *modus-ponens*: **assumes**  $\models A$  **assumes**  $\models (A \rightarrow B)$

**shows**  $\models B$

**using** *assms(1) assms(2)* **by** *blast*

— Because I have not defined a “derivable” operator, inference rules are written using assumptions.

— For further meta-logical work, defining metalogical operators may be useful

**lemma** *nec*: **assumes**  $\models A$  **shows**  $\models (\Box A)$

**by** (*simp add: assms*)

**lemma** *nec-a*: **assumes**  $\models A$  **shows**  $\models (\Box_a A)$

**by** (*simp add: assms*)

**lemma** *nec-p*: **assumes**  $\models A$  **shows**  $\models (\Box_p A)$

**by** (*simp add: assms*)

### 2.2 Fancier Inference Rules

These are new rules that Carmo and Jones introduced for this logic.

**lemma** *Oa-boxaO*:

**assumes**  $\models (B \rightarrow ((\neg(\Box((O_a A) \rightarrow ((\Box_a w) \wedge O\{A|w\}))))))$

**shows**  $\models (B \rightarrow (\neg(\Diamond(O_a A))))$

**by** (*metis ax-5a ax-5b*)

**lemma** *Oa-boxpO*:

**assumes**  $\models (B \rightarrow ((\neg(\Box((O_p A) \rightarrow ((\Box_p w) \wedge O\{A|w\}))))))$

**shows**  $\models (B \rightarrow (\neg(\Diamond(O_p A))))$

**by** (*metis ax-5a ax-5b*)

— B and A must not contain w. not sure how to encode that requirement.

## 3 Axioms

### 3.1 Box

— is an S5 modal operator, which is where these axioms come from.

**lemma** *K*:

**shows**  $\models ((\Box(A \rightarrow B)) \rightarrow ((\Box A) \rightarrow (\Box B)))$

by *blast*

**lemma** *T*:

shows  $\models ((\Box A) \rightarrow A)$

by *blast*

**lemma** *5*:

shows  $\models ((\Diamond A) \rightarrow (\Box(\Diamond A)))$

by *blast*

### 3.2 O

This characterization of O comes from Carmo and Jones p 593

**lemma** *O-diamond*:

shows  $\models (O\{A|B\} \rightarrow (\Diamond(B \wedge A)))$

using *ax-5b ax-5a*

by *metis*

— A is only obligatory in a context if it can possibly be true in that context.

**lemma** *O-C*:

shows  $\models (((\Diamond(A \wedge (B \wedge C))) \wedge (O\{B|A\} \wedge O\{C|A\})) \rightarrow (O\{B \wedge C|A\}))$

by (*metis (no-types, lifting) ax-5b*)

— The conjunction of obligations in a context is obligatory in that context.

— The restriction  $\Diamond(ABC)$  is to prevent contradictory obligations and contexts.

**lemma** *O-SA*:

shows  $\models (((\Box(A \rightarrow B)) \wedge ((\Diamond(A \wedge C)) \wedge O\{C|B\})) \rightarrow (O\{C|A\}))$

using *ax-5e* by *blast*

— The principle of strengthening the antecedent.

**lemma** *O-REA*:

shows  $\models ((\Box(A \equiv B)) \rightarrow (O\{C|A\} \equiv O\{C|B\}))$

using *O-diamond ax-5e* by *blast*

— Equivalence for equivalent contexts.

**lemma** *O-contextual-REA*:

shows  $\models ((\Box(C \rightarrow (A \equiv B))) \rightarrow (O\{A|C\} \equiv O\{B|C\}))$

by (*metis ax-5b*)

— The above lemma, but in some context C.

**lemma** *O-nec*:

shows  $\models (O\{B|A\} \rightarrow (\Box O\{B|A\}))$

by *simp*

— Obligations are necessarily obligated.

**lemma** *O-to-O*:

shows  $\models (O\{B|A\} \rightarrow O(A \rightarrow B))$

by (*metis (no-types, lifting) O-REA ax-5a ax-5b*)

— Moving from the dyadic to monadic obligation operators.

### 3.3 Possible Box

—  $\Box_p$  is a KT modal operator.

**lemma** *K-boxp*:

**shows**  $\models ((\Box_p(A \rightarrow B)) \rightarrow ((\Box_p A) \rightarrow (\Box_p B)))$

**by** *blast*

**lemma** *T-boxp*:

**shows**  $\models ((\Box_p A) \rightarrow A)$

**using** *ax-4b* **by** *blast*

### 3.4 Actual Box

—  $\Box_a$  is a KD modal operator.

**lemma** *K-boxa*:

**shows**  $\models ((\Box_a(A \rightarrow B)) \rightarrow ((\Box_a A) \rightarrow (\Box_a B)))$

**by** *blast*

**lemma** *D-boxa*:

**shows**  $\models ((\Box_a A) \rightarrow (\Diamond_a A))$

**using** *ax-3a* **by** *blast*

### 3.5 Relations Between the Modal Operators

— Relation between  $\Box$ ,  $\Box_a$ , and  $\Box_p$ .

**lemma** *box-boxp*:

**shows**  $\models ((\Box A) \rightarrow (\Box_p A))$

**by** *auto*

**lemma** *boxp-boxa*:

**shows**  $\models ((\Box_p A) \rightarrow (\Box_a A))$

**using** *ax-4a* **by** *blast*

— Relation between actual/possible O and  $\Box$ .

**lemma** *not-Oa*:

**shows**  $\models ((\Box_a A) \rightarrow ((\neg(O_a A)) \wedge (\neg(O_a (\neg A)))))$

**using** *O-diamond* **by** *blast*

**lemma** *not-Op*:

**shows**  $\models ((\Box_p A) \rightarrow ((\neg(O_p A)) \wedge (\neg(O_p (\neg A)))))$

**using** *O-diamond* **by** *blast*

**lemma** *equiv-Oa*:

**shows**  $\models ((\Box_a(A \equiv B)) \rightarrow ((O_a A) \equiv (O_a B)))$

**using** *O-contextual-REA* **by** *blast*

**lemma** *equiv-Op*:

**shows**  $\models ((\Box_p(A \equiv B)) \rightarrow ((O_p A) \equiv (O_p B)))$

**using** *O-contextual-REA* **by** *blast*

— relationships between actual/possible O and  $\Box$  and O proper.

**lemma** *factual-detach-a*:

**shows**  $\models (((O\{B|A\}) \wedge (\Box_a A)) \wedge ((\Diamond_a B) \wedge (\Diamond_a (\neg B)))) \rightarrow (O_a B)$

**using** *O-SA* **by** *auto*

**lemma** *factual-detach-p*:

**shows**  $\models (((O\{B|A\}) \wedge (\Box_p A)) \wedge ((\Diamond_p B) \wedge (\Diamond_p (\neg B)))) \rightarrow (O_p B)$



**by** (*smt O-SA boxp-boxa*)

**end**