

CIS Oracle Database 18c Benchmark

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Overview

This is the archive of the CIS Oracle Database 18c Benchmark v1.1.0. CIS encourages you to migrate to a more recent, supported version of this technology.

All CIS Benchmarks focus on technical configuration settings used to maintain and/or increase the security of the addressed technology, and they should be used in **conjunction** with other essential cyber hygiene tasks like:

- Monitoring the base operating system for vulnerabilities and quickly updating with the latest security patches
- Monitoring applications and libraries for vulnerabilities and quickly updating with the latest security patches

In the end, the CIS Benchmarks are designed as a key **component** of a comprehensive cybersecurity program.

This document is intended to address the recommended security settings for Oracle Database 18c. This guide was tested against Oracle Database 18c installed with and without pluggable database support running on a Windows Server instance as a stand-alone system and running on an Oracle Linux instance also as a stand-alone system. Future Oracle Database 18c critical patch updates (CPUs) may impact the recommendations included in this document.

To obtain the latest version of this guide, please visit <http://benchmarks.cisecurity.org>. If you have questions, comments, or have identified ways to improve this guide, please write us at feedback@cisecurity.org.

Intended Audience

This benchmark is intended for system and application administrators, security specialists, auditors, help desk, and platform deployment personnel who plan to develop, deploy, assess, or secure solutions that incorporate Oracle Database 18c on Oracle Linux or Microsoft Windows Server.

Consensus Guidance

This CIS Benchmark was created using a consensus review process comprised of a global community of subject matter experts. The process combines real world experience with data-based information to create technology specific guidance to assist users to secure their environments. Consensus participants provide perspective from a diverse set of backgrounds including consulting, software development, audit and compliance, security research, operations, government, and legal.

Each CIS Benchmark undergoes two phases of consensus review. The first phase occurs during initial Benchmark development. During this phase, subject matter experts convene to discuss, create, and test working drafts of the Benchmark. This discussion occurs until consensus has been reached on Benchmark recommendations. The second phase begins after the Benchmark has been published. During this phase, all feedback provided by the Internet community is reviewed by the consensus team for incorporation in the Benchmark. If you are interested in participating in the consensus process, please visit <https://workbench.cisecurity.org/>.

Typographical Conventions

The following typographical conventions are used throughout this guide:

Convention	Meaning
<code>Stylized Monospace font</code>	Used for blocks of code, command, and script examples. Text should be interpreted exactly as presented.
<code>Monospace font</code>	Used for inline code, commands, or examples. Text should be interpreted exactly as presented.
<i><italic font in brackets></i>	Italic texts set in angle brackets denote a variable requiring substitution for a real value.
<i>Italic font</i>	Used to denote the title of a book, article, or other publication.
Note	Additional information or caveats

Recommendation Definitions

The following defines the various components included in a CIS recommendation as applicable. If any of the components are not applicable it will be noted or the component will not be included in the recommendation.

Title

Concise description for the recommendation's intended configuration.

Assessment Status

An assessment status is included for every recommendation. The assessment status indicates whether the given recommendation can be automated or requires manual steps to implement. Both statuses are equally important and are determined and supported as defined below:

Automated

Represents recommendations for which assessment of a technical control can be fully automated and validated to a pass/fail state. Recommendations will include the necessary information to implement automation.

Manual

Represents recommendations for which assessment of a technical control cannot be fully automated and requires all or some manual steps to validate that the configured state is set as expected. The expected state can vary depending on the environment.

Profile

A collection of recommendations for securing a technology or a supporting platform. Most benchmarks include at least a Level 1 and Level 2 Profile. Level 2 extends Level 1 recommendations and is not a standalone profile. The Profile Definitions section in the benchmark provides the definitions as they pertain to the recommendations included for the technology.

Description

Detailed information pertaining to the setting with which the recommendation is concerned. In some cases, the description will include the recommended value.

Rationale Statement

Detailed reasoning for the recommendation to provide the user a clear and concise understanding on the importance of the recommendation.

Impact Statement

Any security, functionality, or operational consequences that can result from following the recommendation.

Audit Procedure

Systematic instructions for determining if the target system complies with the recommendation

Remediation Procedure

Systematic instructions for applying recommendations to the target system to bring it into compliance according to the recommendation.

Default Value

Default value for the given setting in this recommendation, if known. If not known, either not configured or not defined will be applied.

References

Additional documentation relative to the recommendation.

CIS Critical Security Controls® (CIS Controls®)

The mapping between a recommendation and the CIS Controls is organized by CIS Controls version, Safeguard, and Implementation Group (IG). The Benchmark in its entirety addresses the CIS Controls safeguards of (v7) "5.1 - Establish Secure Configurations" and (v8) "4.1 - Establish and Maintain a Secure Configuration Process" so individual recommendations will not be mapped to these safeguards.

Additional Information

Supplementary information that does not correspond to any other field but may be useful to the user.

Profile Definitions

The following configuration profiles are defined by this Benchmark:

- **Level 1 - RDBMS using Traditional Auditing**

Items in this profile apply to Oracle Database 18c configured to use Traditional Auditing and intend to:

- Be practical and prudent;
- Provide a clear security benefit; and
- Not inhibit the utility of the technology beyond acceptable means.

- **Level 1 - Linux Host OS using Traditional Auditing**

This profile extends the “RDBMS using Traditional Auditing” profile. Items in this profile apply to RDBMS running on a Linux Host operating system with Oracle Database 18c configured to use Traditional Auditing and intend to:

- Be practical and prudent;
- Provide a clear security benefit; and
- Not inhibit the utility of the technology beyond acceptable means.

- **Level 1 - Windows Server Host OS using Traditional Auditing**

This profile extends the “RDBMS using Traditional Auditing” profile. Items in this profile apply to RDBMS running on a Windows Server operating system with Oracle Database 18c configured to use Traditional Auditing and intend to:

- Be practical and prudent;
- Provide a clear security benefit; and
- Not inhibit the utility of the technology beyond acceptable means.

- **Level 1 - RDBMS using Unified Auditing**

Items in this profile apply to Oracle Database 18c configured to use Unified Auditing and intend to:

- Be practical and prudent;
- Provide a clear security benefit; and
- Not inhibit the utility of the technology beyond acceptable means.

- **Level 1 - Linux Host OS using Unified Auditing**

This profile extends the “RDBMS using Unified Auditing” profile. Items in this profile apply to RDBMS running on a Linux Host operating system with Oracle Database 18c configured to use Unified and intend to:

- Be practical and prudent;
- Provide a clear security benefit; and
- Not inhibit the utility of the technology beyond acceptable means.

- **Level 1 - Windows Server Host OS using Unified Auditing**

This profile extends the “RDBMS using Unified Auditing” profile. Items in this profile apply to RDBMS running on a Windows Server operating system with Oracle Database 18c configured to use Unified and intend to:

- Be practical and prudent;
- Provide a clear security benefit; and
- Not inhibit the utility of the technology beyond acceptable means.

Acknowledgements

This Benchmark exemplifies the great things a community of users, vendors, and subject matter experts can accomplish through consensus collaboration. The CIS community thanks the entire consensus team with special recognition to the following individuals who contributed greatly to the creation of this guide:

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Recommendations

1 Oracle Database Installation and Patching Requirements

One of the best ways to ensure secure Oracle security is to implement Critical Patch Updates (CPUs) as they come out, along with any applicable OS patches that will not interfere with system operations. It is additionally prudent to remove Oracle sample data from production environments.

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1.1 Ensure the Appropriate Version/Patches for Oracle Software Is Installed (Manual)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle installation version and patches should be the most recent that are compatible with the organization's operational needs.

Rationale:

Using the most recent Oracle database software, along with all applicable patches can help limit the possibilities for vulnerabilities in the software, the installation version and/or patches applied during setup should be established according to the needs of the organization. Ensure you are using a release that is covered by a level of support that includes the generation of Critical Patch Updates.

Audit:

To assess this recommendation, use the following example shell command as appropriate for your environment.

For example, on Linux systems:

```
opatch lsinventory | grep -e "^.*<latest_patch_version_number>\s*.*$"
```

For example, on Windows systems:

```
opatch lsinventory | find "<latest_patch_version_number>"
```

Remediation:

Perform the following step for remediation:

Download and apply the latest quarterly Critical Patch Update patches.

References:

1. <http://www.oracle.com/us/support/assurance/fixing-policies/index.html>
2. <http://www.oracle.com/technetwork/topics/security/alerts-086861.html>
3. <http://www.oracle.com/us/support/library/lifetime-support-technology-069183.pdf>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	<p>2.2 <u>Ensure Authorized Software is Currently Supported</u></p> <p>Ensure that only currently supported software is designated as authorized in the software inventory for enterprise assets. If software is unsupported, yet necessary for the fulfillment of the enterprise's mission, document an exception detailing mitigating controls and residual risk acceptance. For any unsupported software without an exception documentation, designate as unauthorized. Review the software list to verify software support at least monthly, or more frequently.</p>	●	●	●
v7	<p>2.2 <u>Ensure Software is Supported by Vendor</u></p> <p>Ensure that only software applications or operating systems currently supported by the software's vendor are added to the organization's authorized software inventory. Unsupported software should be tagged as unsupported in the inventory system.</p>	●	●	●

2 Oracle Parameter Settings

The operation of the Oracle database instance is governed by numerous parameters that are set in specific configuration files and are instance-specific in scope. As alterations of these parameters can cause problems ranging from denial-of-service to theft of proprietary information, these configurations should be carefully considered and maintained.

Note: For all files that have parameters that can be modified with the OS and/or SQL commands/scripts, these will both be listed where appropriate.

2.1 Listener Settings

This section defines recommendations for the settings for the TNS Listener `listener.ora` file.

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2.1.1 Ensure 'SECURE_CONTROL_' Is Set In 'listener.ora' (Automated)

Profile Applicability:

- Level 1 - Linux Host OS using Traditional Auditing
- Level 1 - Windows Server Host OS using Traditional Auditing
- Level 1 - Linux Host OS using Unified Auditing
- Level 1 - Windows Server Host OS using Unified Auditing

Description:

The `SECURE_CONTROL_<listener_name>` setting determines the type of control connection the Oracle server requires for remote configuration of the listener.

Rationale:

Listener configuration changes via unencrypted remote connections can result in unauthorized users sniffing control configuration information from the network.

Audit:

To audit this recommendation, follow these steps:

1. Open the `$ORACLE_HOME/network/admin/listener.ora` file (or `%ORACLE_HOME%\network\admin\listener.ora` on Windows)
2. Ensure that each defined listener as an associated `SECURE_CONTROL_<listener_name>` directive.

For example:

```
LISTENER1 =
  (DESCRIPTION=
    (ADDRESS=(PROTOCOL=TCP) (HOST=sales-server) (PORT=1521))
    (ADDRESS=(PROTOCOL=IPC) (KEY=REGISTER))
    (ADDRESS=(PROTOCOL=TCPS) (HOST=sales-server) (PORT=1522)))
  SECURE_CONTROL_LISTENER1=TCPS
```

Remediation:



To remediate this recommendation:

Set the `SECURE_CONTROL_<listener_name>` for each defined listener in the `listener.ora` file.

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/18/netrf/Oracle-Net-Listener-parameters-in-listener-ora-file.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v7	<u>4.5 Use Multifactor Authentication For All Administrative Access</u> Use multi-factor authentication and encrypted channels for all administrative account access.			
v6	<u>3.4 Use Only Secure Channels For Remote System Administration</u> Perform all remote administration of servers, workstation, network devices, and similar equipment over secure channels. Protocols such as telnet, VNC, RDP, or others that do not actively support strong encryption should only be used if they are performed over a secondary encryption channel, such as SSL, TLS or IPSEC.			

2.1.2 Ensure 'extproc' Is Not Present in 'listener.ora' (Automated)

Profile Applicability:

- Level 1 - Linux Host OS using Traditional Auditing
- Level 1 - Windows Server Host OS using Traditional Auditing
- Level 1 - Linux Host OS using Unified Auditing
- Level 1 - Windows Server Host OS using Unified Auditing

Description:

`extproc` should be removed from the `listener.ora` to mitigate the risk that OS libraries can be invoked by the Oracle instance.

Rationale:

`extproc` allows the database to run procedures from OS libraries. These library calls can, in turn, run any OS command.

Audit:

To audit this recommendation, execute the following shell commands as appropriate for your Linux/Windows environment.

Linux environment:

```
grep -i extproc $ORACLE_HOME/network/admin/listener.ora
```

Windows environment:

```
find /I "extproc" %ORACLE_HOME%\network\admin\listener.ora
```

Ensure `extproc` does not exist.

Remediation:






To remediate this recommendation:

Remove `extproc` from the `listener.ora` file.

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/netag/configuring-and-administering-oracle-net-listener.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	4.4 <u>Implement and Manage a Firewall on Servers</u> Implement and manage a firewall on servers, where supported. Example implementations include a virtual firewall, operating system firewall, or a third-party firewall agent.			
v7	9.2 <u>Ensure Only Approved Ports, Protocols and Services Are Running</u> Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.			

2.1.3 Ensure 'ADMIN_RESTRICTIONS_' Is Set to 'ON' (Automated)

Profile Applicability:

- Level 1 - Linux Host OS using Traditional Auditing
- Level 1 - Windows Server Host OS using Traditional Auditing
- Level 1 - Linux Host OS using Unified Auditing
- Level 1 - Windows Server Host OS using Unified Auditing

Description:

The `admin_restrictions_<listener_name>` setting in the `listener.ora` file can require that any attempted real-time alteration of the parameters in the listener via the `set` command file be refused unless the `listener.ora` file is manually altered, then restarted by a privileged user.

Rationale:

Blocking unprivileged users from making alterations of the `listener.ora` file, where remote data/service settings are specified, will help protect data confidentiality.

Audit:

To audit this recommendation, execute the following shell commands as appropriate for your Linux/Windows environment.

Linux environment:

```
grep -i admin_restrictions $ORACLE_HOME/network/admin/listener.ora
```

Windows environment:

```
find /I "admin_restrictions" %ORACLE_HOME%\network\admin\listener.ora
```

Ensure `admin_restrictions_<listener_name>` is set to `ON` for all listeners.

Remediation:

To remediate this recommendation:

Use a text editor such as `vi` to set the `admin_restrictions_<listener_name>` to the value `ON`.







Default Value:

Not set.

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/netag/configuring-and-administering-oracle-net-listener.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.4 <u>Restrict Administrator Privileges to Dedicated Administrator Accounts</u> Restrict administrator privileges to dedicated administrator accounts on enterprise assets. Conduct general computing activities, such as internet browsing, email, and productivity suite use, from the user's primary, non-privileged account.			
v7	4.3 <u>Ensure the Use of Dedicated Administrative Accounts</u> Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.			

2.1.4 Ensure 'SECURE_REGISTER_' Is Set to 'TCPS' or 'IPC' (Automated)

Profile Applicability:

- Level 1 - Linux Host OS using Traditional Auditing
- Level 1 - Windows Server Host OS using Traditional Auditing
- Level 1 - Linux Host OS using Unified Auditing
- Level 1 - Windows Server Host OS using Unified Auditing

Description:

The `SECURE_REGISTER_<listener_name>` setting specifies the protocols used to connect to the TNS listener. Each setting should have a value of either TCPS or IPC based on the needs for its protocol.

Rationale:

Listener configuration changes via unencrypted remote connections can result in unauthorized users sniffing control configuration information from the network.

Audit:

To audit this recommendation, execute the following shell commands as appropriate for your Linux/Windows environment.

Linux environment:

```
grep -i SECURE_REGISTER $ORACLE_HOME/network/admin/listener.ora
```

Windows environment:

```
find /I "SECURE_REGISTER" %ORACLE_HOME%\network\admin\listener.ora
```

Ensure `SECURE_REGISTER_<listener_name>` is set to TCPS, IPC, (TCPS,IPC), or (IPC,TCPS).

Remediation:

To remediate this recommendation:

Use a text editor such as vi to set `SECURE_REGISTER_<listener_name>` to the required value for each listener found in `$ORACLE_HOME/network/admin/listener.ora`.

References:



1. <https://docs.oracle.com/en/database/oracle/oracle-database/18/netrf/Oracle-Net-Listener-parameters-in-listener-ora-file.html>

2. <https://support.oracle.com/epmos/faces/ui/km/DocumentDisplay.jspx?id=1453883.1>
3. <https://support.oracle.com/epmos/faces/ui/km/DocumentDisplay.jspx?id=1340831.1>
4. <http://www.joxeankoret.com/download/tnspoison.pdf>

Additional Information:

Oracle Real Application Cluster requires a different approach to fix the TNS Poisoning problem. See Oracle support note 1453883.1 for details.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v7	14.4 <u>Encrypt All Sensitive Information in Transit</u> Encrypt all sensitive information in transit.			
v6	14.2 <u>Encrypt All Sensitive Information Over Less-trusted Networks</u> All communication of sensitive information over less-trusted networks should be encrypted. Whenever information flows over a network with a lower trust level, the information should be encrypted.			

2.2 Database Settings

This section defines recommendations covering the general security configuration of the database instance. The recommendations ensure auditing is enabled, listeners are appropriately confined, and authentication is appropriately configured.

Note: The remediation procedures assume the use of a server parameter file, which is often a preferred method of storing server initialization parameters.

```
ALTER SYSTEM SET <configuration_item> = <value> SCOPE = SPFILE;
```

For your environment, leaving off the `SCOPE = SPFILE` directive or substituting it with `SCOPE = BOTH` might be preferred depending on the recommendation.

Archive

2.2.1 Ensure 'AUDIT_SYS_OPERATIONS' Is Set to 'TRUE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `AUDIT_SYS_OPERATIONS` setting provides for the auditing of all user activities conducted under the `SYSOPER` and `SYSDBA` accounts. The setting should be set to `TRUE` to enable this auditing.

Rationale:

If the parameter `AUDIT_SYS_OPERATIONS` is `FALSE`, all statements except for Startup/Shutdown and Logon by `SYSDBA/SYSOPER` users are not audited.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME) = 'AUDIT_SYS_OPERATIONS';
```

Ensure `VALUE` is set to `TRUE`.

Remediation:

To remediate this setting, execute the following SQL statement and restart the instance.

```
ALTER SYSTEM SET AUDIT_SYS_OPERATIONS = TRUE SCOPE=SPFILE;
```

Default Value:

`TRUE`

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/AUDIT_SYS_OPERATIONS.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	4.8 <u>Log and Alert on Changes to Administrative Group Membership</u> Configure systems to issue a log entry and alert when an account is added to or removed from any group assigned administrative privileges.		●	●
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

2.2.2 Ensure 'AUDIT_TRAIL' Is Set to 'DB', 'XML', 'OS', 'DB,EXTENDED', or 'XML,EXTENDED' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `audit_trail` setting determines whether or not Oracle's basic audit features are enabled. It can be set to "Operating System"(OS); DB; DB, EXTENDED; XML; or XML, EXTENDED. The value should be set according to the needs of the organization.

Rationale:

Enabling the basic auditing features for the Oracle instance permits the collection of data to troubleshoot problems, as well as provides valuable forensic logs in the case of a system breach this value should be set according to the needs of the organization.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='AUDIT_TRAIL';
```

Ensure `VALUE` is set to `DB` or `OS` or `XML` or `DB, EXTENDED` or `XML, EXTENDED`.

Remediation:











To remediate this setting, execute one of the following SQL statements and restart the instance.

```
ALTER SYSTEM SET AUDIT_TRAIL = DB, EXTENDED SCOPE = SPFILE;
ALTER SYSTEM SET AUDIT_TRAIL = OS SCOPE = SPFILE;
ALTER SYSTEM SET AUDIT_TRAIL = XML, EXTENDED SCOPE = SPFILE;
ALTER SYSTEM SET AUDIT_TRAIL = DB SCOPE = SPFILE;
ALTER SYSTEM SET AUDIT_TRAIL = XML SCOPE = SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/AUDIT_TRAIL.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

2.2.3 Ensure 'GLOBAL_NAMES' Is Set to 'TRUE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `global_names` setting requires that the name of a database link matches that of the remote database it will connect to. This setting should have a value of `TRUE`.

Rationale:

Not requiring database connections to match the domain that is being called remotely could allow unauthorized domain sources to potentially connect via brute-force tactics.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME) = 'GLOBAL_NAMES';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT DISTINCT UPPER(V.VALUE),
DECODE (V.CON_ID, 0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE V.CON_ID = B.CON_ID))
FROM V$SYSTEM_PARAMETER V
WHERE UPPER(NAME) = 'GLOBAL_NAMES';
```

Ensure `VALUE` is set to `TRUE`.

Remediation:







To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET GLOBAL_NAMES = TRUE SCOPE = SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/GLOBAL_NAMES.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

2.2.4 Ensure 'OS_ROLES' Is Set to 'FALSE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `os_roles` setting permits externally created groups to be applied to database management.

Rationale:

Allowing the OS to use external groups for database management could cause privilege overlaps and generally weaken security.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='OS_ROLES';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT DISTINCT UPPER(V.VALUE),
DECODE (V.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE V.CON_ID = B.CON_ID))
FROM V$SYSTEM_PARAMETER V
WHERE UPPER(NAME) = 'OS_ROLES';
```

Ensure `VALUE` is set to `FALSE`.

Remediation:







To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET OS_ROLES = FALSE SCOPE = SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/OS_ROLES.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

2.2.5 Ensure 'REMOTE_LISTENER' Is Empty (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `remote_listener` setting determines whether or not a valid listener can be established on a system separate from the database instance. This setting should be empty unless the organization specifically needs a valid listener on a separate system or on nodes running Oracle RAC instances.

Rationale:

Permitting a remote listener for connections to the database instance can allow for the potential spoofing of connections and that could compromise data confidentiality and integrity.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='REMOTE_LISTENER' AND VALUE IS NOT NULL;
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT DISTINCT UPPER(V.VALUE),
DECODE (V.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE V.CON_ID = B.CON_ID))
FROM V$SYSTEM_PARAMETER V
WHERE UPPER(NAME) = 'REMOTE_LISTENER' AND VALUE IS NOT NULL;
```

Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET REMOTE_LISTENER = '' SCOPE = SPFILE;
```









References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/REMOTE_LISTENER.html

Additional Information:

If set as `remote_listener=true`, the address/address list is taken from the `TNSNAMES.ORA` file.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	4.4 <u>Implement and Manage a Firewall on Servers</u> Implement and manage a firewall on servers, where supported. Example implementations include a virtual firewall, operating system firewall, or a third-party firewall agent.			
v8	4.5 <u>Implement and Manage a Firewall on End-User Devices</u> Implement and manage a host-based firewall or port-filtering tool on end-user devices, with a default-deny rule that drops all traffic except those services and ports that are explicitly allowed.			
v7	9.2 <u>Ensure Only Approved Ports, Protocols and Services Are Running</u> Ensure that only network ports, protocols, and services listening on a system with validated business needs, are running on each system.			

2.2.6 Ensure 'REMOTE_LOGIN_PASSWORDFILE' Is Set to 'NONE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `remote_login_passwordfile` setting specifies whether or not Oracle checks for a password file during login and how many databases can use the password file. The setting should have a value of `NONE` or in the event you are running DR/Data Guard, `EXCLUSIVE` is an allowable value.

Rationale:

The use of this sort of password login file could permit unsecured, privileged connections to the database.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='REMOTE_LOGIN_PASSWORDFILE';
```

Ensure `VALUE` is set to `NONE` or in the event you are running DR/Data Guard, `EXCLUSIVE` is an allowable `VALUE`.

Remediation:

To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET REMOTE_LOGIN_PASSWORDFILE = 'NONE' SCOPE = SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/REMOTE_LOGIN_PASSWORDFILE.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.6 Centralize Account Management Centralize account management through a directory or identity service.		●	●
v7	16.2 Configure Centralized Point of Authentication Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.		●	●

2.2.7 Ensure 'REMOTE_OS_AUTHENT' Is Set to 'FALSE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `remote_os_authent` setting determines whether or not OS 'roles' with the attendant privileges are allowed for remote client connections. This setting should have a value of `FALSE`.

Note: This parameter has been deprecated in 12.1 and higher versions.

Rationale:

Permitting OS roles for database connections can allow the spoofing of connections and permit granting the privileges of an OS role to unauthorized users to make connections, this value should be restricted according to the needs of the organization.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME) = 'REMOTE_OS_AUTHENT';
```

Ensure `VALUE` is set to `FALSE`.

Remediation:





To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET REMOTE_OS_AUTHENT = FALSE SCOPE = SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/REMOTE_OS_AUTHENT.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.6 Centralize Account Management Centralize account management through a directory or identity service.			
v7	16.2 Configure Centralized Point of Authentication Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.			

2.2.8 Ensure 'REMOTE_OS_ROLES' Is Set to 'FALSE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `remote_os_roles` setting permits remote users' OS roles to be applied to database management. This setting should have a value of `FALSE`.

Rationale:

Allowing remote clients OS roles to have permissions for database management could cause privilege overlaps and generally weaken security.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='REMOTE_OS_ROLES';
```

Ensure `VALUE` is set to `FALSE`.

Remediation:

To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET REMOTE_OS_ROLES = FALSE SCOPE = SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/REMOTE_OS_ROLES.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.6 Centralize Account Management Centralize account management through a directory or identity service.		●	●
v7	16.2 Configure Centralized Point of Authentication Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.		●	●

2.2.9 Ensure 'SEC_CASE_SENSITIVE_LOGON' Is Set to 'TRUE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `SEC_CASE_SENSITIVE_LOGON` information determines whether or not case-sensitivity is required for passwords during login.

Note: This parameter has been deprecated in 12.1 and higher versions.

Rationale:

Oracle database password case-sensitivity increases the pool of characters that can be chosen for the passwords, making brute-force password attacks quite difficult.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='SEC_CASE_SENSITIVE_LOGON';
```

Ensure VALUE is set to TRUE.

Remediation:






To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET SEC_CASE_SENSITIVE_LOGON = TRUE SCOPE = SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/SEC_CASE_SENSITIVE_LOGON.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.2 Use Unique Passwords Use unique passwords for all enterprise assets. Best practice implementation includes, at a minimum, an 8-character password for accounts using MFA and a 14-character password for accounts not using MFA.			
v7	4.4 Use Unique Passwords Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.			

2.2.10 Ensure 'SEC_MAX_FAILED_LOGIN_ATTEMPTS' Is '3' or Less (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `SEC_MAX_FAILED_LOGIN_ATTEMPTS` parameter determines how many failed login attempts are allowed before Oracle closes the login connection.

Rationale:

Allowing an unlimited number of login attempts for a user connection can facilitate both brute-force login attacks and the occurrence of denial-of-service.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='SEC_MAX_FAILED_LOGIN_ATTEMPTS';
```

Ensure `VALUE` is set to 3.

Remediation:






To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET SEC_MAX_FAILED_LOGIN_ATTEMPTS = 3 SCOPE = SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/SEC_MAX_FAILED_LOGIN_ATTEMPTS.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	6.2 <u>Establish an Access Revoking Process</u> Establish and follow a process, preferably automated, for revoking access to enterprise assets, through disabling accounts immediately upon termination, rights revocation, or role change of a user. Disabling accounts, instead of deleting accounts, may be necessary to preserve audit trails.			
v7	16.7 <u>Establish Process for Revoking Access</u> Establish and follow an automated process for revoking system access by disabling accounts immediately upon termination or change of responsibilities of an employee or contractor . Disabling these accounts, instead of deleting accounts, allows preservation of audit trails.			

2.2.11 Ensure 'SEC_PROTOCOL_ERROR_FURTHER_ACTION' Is Set to '(DROP,3)' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `SEC_PROTOCOL_ERROR_FURTHER_ACTION` setting determines the Oracle server's response to bad/malformed packets received from the client. This setting should have a value of `(DROP,3)` or `(DROP, 3)`, which will cause a connection to be dropped after three bad/malformed packets.

Rationale:

Bad packets received from the client can potentially indicate packet-based attacks on the system, such as "TCP SYN Flood" or "Smurf" attacks, which could result in a denial-of-service condition, this value should be set according to the needs of the organization.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='SEC_PROTOCOL_ERROR_FURTHER_ACTION';
```

Ensure `VALUE` is set to `(DROP,3)` or `(DROP, 3)`.

Remediation:

To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET SEC_PROTOCOL_ERROR_FURTHER_ACTION = '(DROP,3)' SCOPE =
SPFILE;
```

Or

```
ALTER SYSTEM SET SEC_PROTOCOL_ERROR_FURTHER_ACTION = '(DROP, 3)' SCOPE =
SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/SEC_PROTOCOL_ERROR_FURTHER_ACTION.html

2.2.12 Ensure 'SEC_PROTOCOL_ERROR_TRACE_ACTION' Is Set to 'LOG' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `SEC_PROTOCOL_ERROR_TRACE_ACTION` setting determines the Oracle's server's logging response level to bad/malformed packets received from the client by generating `ALERT`, `LOG`, or `TRACE` levels of detail in the log files. This setting should have a value of `LOG` unless the organization has a compelling reason to use a different value because `LOG` should cause the necessary information to be logged. Setting the value as `TRACE` can generate an enormous amount of log output and should be reserved for debugging only.

Rationale:

Bad packets received from the client can potentially indicate packet-based attacks on the system, which could result in a denial-of-service condition.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='SEC_PROTOCOL_ERROR_TRACE_ACTION';
```

Ensure `VALUE` is set to `LOG`.

Remediation:











To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET SEC_PROTOCOL_ERROR_TRACE_ACTION=LOG SCOPE = SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/SEC_PROTOCOL_ERROR_TRACE_ACTION.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

2.2.13 Ensure 'SEC_RETURN_SERVER_RELEASE_BANNER' Is Set to 'FALSE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The information about patch/update release number provides information about the exact patch/update release that is currently running on the database. This is sensitive information that should not be revealed to anyone who requests it.

Rationale:

Allowing the database to return information about the patch/update release number could facilitate unauthorized users' attempts to gain access based upon known patch weaknesses.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='SEC_RETURN_SERVER_RELEASE_BANNER';
```

Ensure VALUE is set to FALSE.

Remediation:







To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET SEC_RETURN_SERVER_RELEASE_BANNER = FALSE SCOPE = SPFILE;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/SEC_RETURN_SERVER_RELEASE_BANNER.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

2.2.14 Ensure 'SQL92_SECURITY' Is Set to 'TRUE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `SQL92_SECURITY` parameter setting `TRUE` requires that a user must also be granted the `SELECT` object privilege before being able to perform `UPDATE` or `DELETE` operations on tables that have `WHERE` or `SET` clauses. The setting should have a value of `TRUE`.

Rationale:

A user without `SELECT` privilege can still infer the value stored in a column by referring to that column in a `DELETE` or `UPDATE` statement. This setting prevents inadvertent information disclosure by ensuring that only users who already have `SELECT` privilege can execute the statements that would allow them to infer the stored values.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='SQL92_SECURITY';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT DISTINCT UPPER(V.VALUE),
DECODE (V.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE V.CON_ID = B.CON_ID))
FROM V$SYSTEM_PARAMETER V
WHERE UPPER(NAME) = 'SQL92_SECURITY';
```

Remediation:

To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET SQL92_SECURITY = TRUE SCOPE = SPFILE;
```







Default Value:

`TRUE`

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/SQL92_SECURITY.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

2.2.15 Ensure '_trace_files_public' Is Set to 'FALSE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `_trace_files_public` setting determines whether or not the system's trace file is world readable. This setting should have a value of `FALSE` to restrict trace file access.

Rationale:

Making the file world readable means anyone can read the instance's trace file, which could contain sensitive information about instance operations.

Audit:

To assess this recommendation, execute the following SQL statement.

```
SELECT A.KSPPINM, B.KSPSTVL
FROM SYS.X_$KSPPI a, SYS.X_$KSPPCV b
WHERE A.INDX=B.INDX
AND A.KSPPINM LIKE '\_%trace_files_public' escape '\';
```

A VALUE equal to `FALSE` or lack of results implies compliance.

Please note that the assessment SQL relies on `x_$` views which should be created per Appendix 7.

BELOW SQL NO LONGER WORKS FOR Oracle12c FOR UNDOCUMENTED PARAMETERS.

```
SELECT VALUE
FROM V$SYSTEM_PARAMETER
WHERE NAME='_trace_files_public';
```

Remediation:







To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET "_trace_files_public" = FALSE SCOPE = SPFILE;
```

References:

1. http://asktom.oracle.com/pls/asktom/f?p=100:11:0::::P11_QUESTION_ID:4295521746131

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

2.2.16 Ensure 'RESOURCE_LIMIT' Is Set to 'TRUE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

`RESOURCE_LIMIT` determines whether resource limits are enforced in database profiles. This setting should have a value of `TRUE`.

Rationale:

If `RESOURCE_LIMIT` is set to `FALSE`, none of the system resource limits that are set in any database profiles are enforced. If `RESOURCE_LIMIT` is set to `TRUE`, the limits set in database profiles are enforced.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='RESOURCE_LIMIT';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT DISTINCT UPPER(V.VALUE),
DECODE (V.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE V.CON_ID = B.CON_ID))
FROM V$SYSTEM_PARAMETER V
WHERE UPPER(NAME) = 'RESOURCE_LIMIT';
```

Ensure `VALUE` is set to `TRUE`.

Remediation:

To remediate this setting, execute the following SQL statement.

```
ALTER SYSTEM SET RESOURCE_LIMIT = TRUE SCOPE = SPFILE;
```







Default Value:

`FALSE`

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/RESOURCE_LIMIT.html

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

2.2.17 Ensure 'PDB_OS_CREDENTIAL' is NOT null (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `pdb_os_credential` setting determines what OS user will be utilized to run jobs at the OS level from within the Oracle database.

Rationale:

Permitting a job to run at the OS level with default credential, Oracle OS user, can allow for excessive privileges that a job should not have.

Audit:

Container database:

To assess this recommendation, execute the following SQL statement.

```
SELECT UPPER(VALUE)
FROM V$SYSTEM_PARAMETER
WHERE UPPER(NAME)='PDB_OS_CREDENTIAL' AND VALUE IS NOT NULL;
```

For the standalone, CDB and every PDB inside of it. there should be an entry, no rows returned implies compliance.

Remediation:

Using the `DBMS_CREDENTIAL` package, ensure credentials are set for stand alone, container and pluggable databases.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.5 <u>Establish and Maintain an Inventory of Service Accounts</u> Establish and maintain an inventory of service accounts. The inventory, at a minimum, must contain department owner, review date, and purpose. Perform service account reviews to validate that all active accounts are authorized, on a recurring schedule at a minimum quarterly, or more frequently.		●	●

2.3 SQLNET.ORA Settings

Archive

2.3.1 Ensure 'ENCRYPTION_SERVER' Is Set to 'REQUIRED' (Automated)

Profile Applicability:

- Level 1 - Linux Host OS using Traditional Auditing
- Level 1 - Windows Server Host OS using Traditional Auditing
- Level 1 - Linux Host OS using Unified Auditing
- Level 1 - Windows Server Host OS using Unified Auditing

Description:

The setting `sqlnet.encryption_server=required` requires that the connections to the database are encrypted through Oracle SQL*Net native encryption. The encryption setting implements data-in-transit encryption for the Oracle database connections. This setting is configured in the `sqlnet.ora` file on the database server.

Rationale:

Without this setting, malicious users could potentially eavesdrop on the database connections, compromising the confidentiality of the data.

Impact:

The setting `sqlnet.encryption_server=required` could reject/deny connection requests from those database users who don't support the Oracle native network encryption.

Audit:

To audit this recommendation, execute the following shell commands as appropriate for your Linux/Windows environment, and ensure that the setting is configured to required.
Linux environment:

```
grep -i "encryption_server=required" $ORACLE_HOME/network/admin/sqlnet.ora
```

Windows environment:

```
find /I "encryption_server=required" %ORACLE_HOME%\network\admin\ sqlnet.ora
```

Remediation:

To remediate this recommendation:



Use a text editor such as `vi` to set the `sqlnet`.

```
encryption_server = required
```

Additional Information:

Oracle database network encryption configured through TLS/SSL is also an acceptable mechanism and may be implemented in lieu of this setting.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.10 <u>Encrypt Sensitive Data in Transit</u> Encrypt sensitive data in transit. Example implementations can include: Transport Layer Security (TLS) and Open Secure Shell (OpenSSH).			

2.3.2 Ensure 'SQLNET.CRYPTO_CHECKSUM_SERVER' Is Set to 'REQUIRED' (Automated)

Profile Applicability:

- Level 1 - Linux Host OS using Traditional Auditing
- Level 1 - Linux Host OS using Unified Auditing
- Level 1 - Windows Server Host OS using Traditional Auditing
- Level 1 - Windows Server Host OS using Unified Auditing

Description:

The setting `sqlnet.crypto_checksum_server=required` requires that the connections to the database are encrypted through Oracle SQL*Net native encryption. The encryption setting implements data-in-transit encryption for the Oracle database connections. This setting is configured in the `sqlnet.ora` file on the database server.

Rationale:

Without this setting, malicious users could potentially eavesdrop on the database connections, compromising the confidentiality of the data.

Impact:

The setting `sqlnet.encryption_server=required` could reject/deny connection requests from those database users who don't support the Oracle native network encryption.

Audit:

To audit this recommendation, execute the following shell commands as appropriate for your Linux/Windows environment, and ensure that the setting is configured to required.
Linux environment:

```
grep -i "crypto_checksum_server=required"  
$ORACLE_HOME/network/admin/sqlnet.ora
```

Windows environment:

```
find /I "crypto_checksum_server=required"  
%ORACLE_HOME%\network\admin\sqlnet.ora
```

Remediation:

To remediate this recommendation:



Use a text editor such as `vi` to set:

```
sqlnet.crypto_checksum_server = required
```

Additional Information:

Oracle database network encryption configured through TLS/SSL is also an acceptable mechanism and may be implemented in lieu of this setting.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.10 <u>Encrypt Sensitive Data in Transit</u> Encrypt sensitive data in transit. Example implementations can include: Transport Layer Security (TLS) and Open Secure Shell (OpenSSH).			

3 Oracle Connection and Login Restrictions

The restrictions on Client/User connections to the Oracle database help block unauthorized access to data and services by setting access rules. These security measures help to ensure that successful logins cannot be easily made through brute-force password attacks or intuited by clever social engineering exploits. Settings are generally recommended to be applied to all defined profiles rather than by using only the `DEFAULT` profile. All values assigned below are the recommended minimums or maximums; higher, more restrictive values can be applied at the discretion of the organization by creating a separate profile to assign to a different user group.

Archive

3.1 Ensure 'FAILED_LOGIN_ATTEMPTS' Is Less than or Equal to '5' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `FAILED_LOGIN_ATTEMPTS` setting determines how many failed login attempts are permitted before the system locks the user's account. While different profiles can have different and more restrictive settings, such as `USERS` and `APPS`, the minimum(s) recommended here should be set on the `DEFAULT` profile.

Rationale:

Repeated failed login attempts can indicate the initiation of a brute-force login attack, this value should be set according to the needs of the organization. (See the **Notes** for a warning on a known bug that can make this security measure backfire.)

Impact:

One concern is the possibility of this setting being exploited to craft a DDoS attack by using the row-locking delay between failed login attempts (see Oracle Bug 7715339 – Logon failures causes “row cache lock” waits – Allow disable of logon delay [ID 7715339.8], so the configuration of this setting depends on using the bug workaround).

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT
FROM DBA_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT', (SELECT DISTINCT
                                DECODE(LIMIT, 'UNLIMITED', 9999, LIMIT)
                                FROM DBA_PROFILES
                                WHERE PROFILE='DEFAULT'
                                AND RESOURCE_NAME='FAILED_LOGIN_ATTEMPTS'),
                        'UNLIMITED', '9999',
                        P.LIMIT)) > 5
AND P.RESOURCE_NAME = 'FAILED_LOGIN_ATTEMPTS'
AND EXISTS ( SELECT 'X' FROM DBA_USERS U WHERE U.PROFILE = P.PROFILE ) ;
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT,
DECODE (P.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE P.CON_ID = B.CON_ID)) DATABASE
FROM CDB_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT',(SELECT DECODE(LIMIT,'UNLIMITED',9999,LIMIT)
                                      FROM CDB_PROFILES
                                      WHERE PROFILE='DEFAULT'
                                      AND RESOURCE_NAME='FAILED_LOGIN_ATTEMPTS'
                                      AND CON_ID = P.CON_ID),
                        'UNLIMITED','9999',P.LIMIT)) > 5
AND P.RESOURCE_NAME = 'FAILED_LOGIN_ATTEMPTS'
AND EXISTS ( SELECT 'X' FROM CDB_USERS U WHERE U.PROFILE = P.PROFILE )
ORDER BY CON_ID, PROFILE, RESOURCE_NAME;

```

Lack of results implies compliance.

Remediation:

Remediate this setting by executing the following SQL statement for each PROFILE returned by the audit procedure.

```

ALTER PROFILE <profile_name> LIMIT FAILED_LOGIN_ATTEMPTS 5;

```






References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/sqlrf/CREATE-PROFILE.html#d346953e684>

Additional Information:

Warning: While the setting for the FAILED_LOGIN_ATTEMPTS value can also be set in sqlnet.ora, this only applies to listed users. The similar setting used to block a DDoS, the SEC_MAX_FAILED_LOGIN_ATTEMPTS initialization parameter, can be used to protect unauthorized intruders from attacking the server processes for applications, but this setting does not protect against unauthorized attempts via valid usernames.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	6.2 <u>Establish an Access Revoking Process</u> Establish and follow a process, preferably automated, for revoking access to enterprise assets, through disabling accounts immediately upon termination, rights revocation, or role change of a user. Disabling accounts, instead of deleting accounts, may be necessary to preserve audit trails.			
v7	16.7 <u>Establish Process for Revoking Access</u> Establish and follow an automated process for revoking system access by disabling accounts immediately upon termination or change of responsibilities of an employee or contractor . Disabling these accounts, instead of deleting accounts, allows preservation of audit trails.			

3.2 Ensure 'PASSWORD_LOCK_TIME' Is Greater than or Equal to '1' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `PASSWORD_LOCK_TIME` setting determines how many days must pass for the user's account to be unlocked after the set number of failed login attempts has occurred. The suggested value for this is one day or greater.

Rationale:

Locking the user account after repeated failed login attempts can block further brute-force login attacks, but can create administrative headaches as this account unlocking process always requires DBA intervention.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT
FROM DBA_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT', (SELECT DISTINCT
                                DECODE(LIMIT, 'UNLIMITED', 9999, LIMIT)
                                FROM DBA_PROFILES
                                WHERE PROFILE='DEFAULT'
                                AND RESOURCE_NAME='PASSWORD_LOCK_TIME'),
                        'UNLIMITED', '9999',
                        P.LIMIT)) < 1
AND P.RESOURCE_NAME = 'PASSWORD_LOCK_TIME'
AND EXISTS ( SELECT 'X' FROM DBA_USERS U WHERE U.PROFILE = P.PROFILE );
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT,
DECODE (P.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE P.CON_ID = B.CON_ID)) DATABASE
FROM CDB_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT',(SELECT DECODE(LIMIT,'UNLIMITED',9999,LIMIT)
                                      FROM CDB_PROFILES
                                      WHERE PROFILE='DEFAULT'
                                      AND RESOURCE_NAME='PASSWORD_LOCK_TIME'
                                      AND CON_ID = P.CON_ID),
                        'UNLIMITED','9999',P.LIMIT)) < 1
AND P.RESOURCE_NAME = 'PASSWORD_LOCK_TIME'
AND EXISTS ( SELECT 'X' FROM CDB_USERS U WHERE U.PROFILE = P.PROFILE )
ORDER BY CON_ID, PROFILE, RESOURCE_NAME;

```

Lack of results implies compliance.

Remediation:






Remediate this setting by executing the following SQL statement for each PROFILE returned by the audit procedure.

```
ALTER PROFILE <profile_name> LIMIT PASSWORD_LOCK_TIME 1;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/sqlrf/CREATE-PROFILE.html#d346953e795>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	6.2 Establish an Access Revoking Process Establish and follow a process, preferably automated, for revoking access to enterprise assets, through disabling accounts immediately upon termination, rights revocation, or role change of a user. Disabling accounts, instead of deleting accounts, may be necessary to preserve audit trails.			
v7	16.7 Establish Process for Revoking Access Establish and follow an automated process for revoking system access by disabling accounts immediately upon termination or change of responsibilities of an employee or contractor . Disabling these accounts, instead of deleting accounts, allows preservation of audit trails.			

3.3 Ensure 'PASSWORD_LIFE_TIME' Is Less than or Equal to '90' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `PASSWORD_LIFE_TIME` setting determines how long a password may be used before the user is required to be change it. The suggested value for this is 90 days or less.

Rationale:

Allowing passwords to remain unchanged for long periods makes the success of brute-force login attacks more likely.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT
FROM DBA_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT', (SELECT DISTINCT
                                DECODE(LIMIT, 'UNLIMITED', 9999, LIMIT)
                                FROM DBA_PROFILES
                                WHERE PROFILE='DEFAULT'
                                AND RESOURCE_NAME='PASSWORD_LIFE_TIME'),
                        'UNLIMITED', '9999', P.LIMIT)) > 90 AND
      P.RESOURCE_NAME = 'PASSWORD_LIFE_TIME' AND
      EXISTS ( SELECT 'X' FROM DBA_USERS U WHERE U.PROFILE = P.PROFILE );
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT,
DECODE (P.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE P.CON_ID = B.CON_ID)) DATABASE
FROM CDB_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT',(SELECT DECODE(LIMIT,'UNLIMITED',9999,LIMIT)
                                      FROM CDB_PROFILES
                                      WHERE PROFILE='DEFAULT'
                                      AND RESOURCE_NAME='PASSWORD_LIFE_TIME'
                                      AND CON_ID = P.CON_ID),
                        'UNLIMITED','9999',
                        P.LIMIT)) > 90
AND P.RESOURCE_NAME = 'PASSWORD_LIFE_TIME'
AND EXISTS ( SELECT 'X' FROM CDB_USERS U WHERE U.PROFILE = P.PROFILE )
ORDER BY CON_ID, PROFILE, RESOURCE_NAME;

```

Lack of results implies compliance.

Remediation:




Remediate this setting by executing the following SQL statement for each PROFILE returned by the audit procedure.

```
ALTER PROFILE <profile_name> LIMIT PASSWORD_LIFE_TIME 90;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/sqlrf/CREATE-PROFILE.html#d346953e694>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	6.2 Establish an Access Revoking Process Establish and follow a process, preferably automated, for revoking access to enterprise assets, through disabling accounts immediately upon termination, rights revocation, or role change of a user. Disabling accounts, instead of deleting accounts, may be necessary to preserve audit trails.			

3.4 Ensure 'PASSWORD_REUSE_MAX' Is Greater than or Equal to '20' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `PASSWORD_REUSE_MAX` setting determines how many different passwords must be used before the user is allowed to reuse a prior password. The suggested value for this is 20 passwords or greater.

Rationale:

Allowing reuse of a password within a short period of time after the password's initial use can make the success of both social-engineering and brute-force password-based attacks more likely.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT
FROM DBA_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT', (SELECT DISTINCT
                                DECODE(LIMIT, 'UNLIMITED', 9999, LIMIT)
                                FROM DBA_PROFILES
                                WHERE PROFILE='DEFAULT'
                                AND RESOURCE_NAME='PASSWORD_REUSE_MAX'),
                        'UNLIMITED', '9999', P.LIMIT)) < 20 AND
      P.RESOURCE_NAME = 'PASSWORD_REUSE_MAX' AND
      EXISTS ( SELECT 'X' FROM DBA_USERS U WHERE U.PROFILE = P.PROFILE );
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT,
DECODE (P.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE P.CON_ID = B.CON_ID)) DATABASE
FROM CDB_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT',(SELECT DECODE(LIMIT,'UNLIMITED',9999,LIMIT)
                                      FROM CDB_PROFILES
                                      WHERE PROFILE='DEFAULT'
                                      AND RESOURCE_NAME='PASSWORD_REUSE_MAX'
                                      AND CON_ID = P.CON_ID),
                        'UNLIMITED','9999',P.LIMIT)) < 20
AND P.RESOURCE_NAME = 'PASSWORD_REUSE_MAX'
AND EXISTS ( SELECT 'X' FROM CDB_USERS U WHERE U.PROFILE = P.PROFILE )
ORDER BY CON_ID, PROFILE, RESOURCE_NAME;

```

Lack of results implies compliance.

Remediation:

Remediate this setting by executing the following SQL statement for each PROFILE returned by the audit procedure.

```
ALTER PROFILE <profile_name> LIMIT PASSWORD_REUSE_MAX 20;
```






References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/sqlrf/CREATE-PROFILE.html#d346953e716>

Additional Information:

The above restriction should be applied along with the PASSWORD_REUSE_TIME setting.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.2 Use Unique Passwords Use unique passwords for all enterprise assets. Best practice implementation includes, at a minimum, an 8-character password for accounts using MFA and a 14-character password for accounts not using MFA.			
v7	4.4 Use Unique Passwords Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.			

3.5 Ensure 'PASSWORD_REUSE_TIME' Is Greater than or Equal to '365' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `PASSWORD_REUSE_TIME` setting determines the amount of time in days that must pass before the same password may be reused. The suggested value for this is 365 days or greater.

Rationale:

Reusing the same password after only a short period of time has passed makes the success of brute-force login attacks more likely.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT
FROM DBA_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT', (SELECT DISTINCT
                                DECODE(LIMIT, 'UNLIMITED', 9999, LIMIT)
                                FROM DBA_PROFILES
                                WHERE PROFILE='DEFAULT'
                                AND RESOURCE_NAME='PASSWORD_REUSE_TIME'),
                        'UNLIMITED', '9999', P.LIMIT)) < 365 AND
      P.RESOURCE_NAME = 'PASSWORD_REUSE_TIME' AND
      EXISTS ( SELECT 'X' FROM DBA_USERS U WHERE U.PROFILE = P.PROFILE );
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT,
DECODE (P.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE P.CON_ID = B.CON_ID)) DATABASE
FROM CDB_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT',(SELECT DECODE(LIMIT,'UNLIMITED',9999,LIMIT)
                                      FROM CDB_PROFILES
                                      WHERE PROFILE='DEFAULT'
                                      AND RESOURCE_NAME='PASSWORD_REUSE_TIME'
                                      AND CON_ID = P.CON_ID),
                        'UNLIMITED','9999',P.LIMIT)) < 365
AND P.RESOURCE_NAME = 'PASSWORD_REUSE_TIME'
AND EXISTS ( SELECT 'X' FROM CDB_USERS U WHERE U.PROFILE = P.PROFILE )
ORDER BY CON_ID, PROFILE, RESOURCE_NAME;

```

Lack of results implies compliance.

Remediation:

Remediate this setting by executing the following SQL statement for each PROFILE returned by the audit procedure.

```
ALTER PROFILE <profile_name> LIMIT PASSWORD_REUSE_TIME 365;
```






References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/sqlrf/CREATE-PROFILE.html#d346953e716>

Additional Information:

The above restriction should be applied along with the PASSWORD_REUSE_MAX setting.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.2 Use Unique Passwords Use unique passwords for all enterprise assets. Best practice implementation includes, at a minimum, an 8-character password for accounts using MFA and a 14-character password for accounts not using MFA.			
v7	4.4 Use Unique Passwords Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.			

3.6 Ensure 'PASSWORD_GRACE_TIME' Is Less than or Equal to '5' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `PASSWORD_GRACE_TIME` setting determines how many days can pass after the user's password expires before the user's login capability is automatically locked out. The suggested value for this is five days or less.

Rationale:

Locking the user account after the expiration of the password change requirement's grace period can help prevent password-based attacks against any forgotten or disused accounts, while still allowing the account and its information to be accessible by DBA intervention.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT
FROM DBA_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT', (SELECT DISTINCT
                                DECODE(LIMIT, 'UNLIMITED', 9999, LIMIT)
                                FROM DBA_PROFILES
                                WHERE PROFILE='DEFAULT'
                                AND RESOURCE_NAME='PASSWORD_GRACE_TIME'),
                        'UNLIMITED', '9999', P.LIMIT)) > 5 AND
      P.RESOURCE_NAME = 'PASSWORD_GRACE_TIME' AND
      EXISTS ( SELECT 'X' FROM DBA_USERS U WHERE U.PROFILE = P.PROFILE );
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT,
DECODE (P.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE P.CON_ID = B.CON_ID)) DATABASE
FROM CDB_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT',(SELECT DECODE(LIMIT,'UNLIMITED',9999,LIMIT)
                                      FROM CDB_PROFILES
                                      WHERE PROFILE='DEFAULT'
                                      AND RESOURCE_NAME='PASSWORD_GRACE_TIME'
                                      AND CON_ID = P.CON_ID),
                        'UNLIMITED','9999',P.LIMIT)) > 5
AND P.RESOURCE_NAME = 'PASSWORD_GRACE_TIME'
AND EXISTS ( SELECT 'X' FROM CDB_USERS U WHERE U.PROFILE = P.PROFILE )
ORDER BY CON_ID, PROFILE, RESOURCE_NAME;

```

Lack of results implies compliance.

Remediation:











Remediate this setting by executing the following SQL statement for each PROFILE returned by the audit procedure.

```
ALTER PROFILE <profile_name> LIMIT PASSWORD_GRACE_TIME 5;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/sqlrf/CREATE-PROFILE.html#d346953e806>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.3 Disable Dormant Accounts Delete or disable any dormant accounts after a period of 45 days of inactivity, where supported.			
v7	16.2 Configure Centralized Point of Authentication Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.			
v7	16.9 Disable Dormant Accounts Automatically disable dormant accounts after a set period of inactivity.			
v7	16.10 Ensure All Accounts Have An Expiration Date Ensure that all accounts have an expiration date that is monitored and enforced.			

3.7 Ensure 'PASSWORD_VERIFY_FUNCTION' Is Set for All Profiles (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `PASSWORD_VERIFY_FUNCTION` determines password settings requirements when a user password is changed at the SQL command prompt. It should be set for all profiles. Note that this setting does not apply for users managed by the Oracle password file.

Rationale:

Through Oracle database profiles, password complexity rules (mixed cases with digits and special characters), blocking of simple combinations, and enforcing change/history settings can potentially thwart unauthorized logins by an unauthorized user.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT
FROM DBA_PROFILES P
WHERE DECODE(P.LIMIT,
             'DEFAULT', (SELECT LIMIT
                        FROM DBA_PROFILES
                        WHERE PROFILE='DEFAULT'
                        AND RESOURCE_NAME = P.RESOURCE_NAME),
             LIMIT) = 'NULL'
AND P.RESOURCE_NAME = 'PASSWORD_VERIFY_FUNCTION'
AND EXISTS ( SELECT 'X'
             FROM DBA_USERS U
             WHERE U.PROFILE = P.PROFILE );
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT,
DECODE (P.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE P.CON_ID = B.CON_ID)) DATABASE
FROM CDB_PROFILES P
WHERE DECODE(P.LIMIT,
             'DEFAULT',(SELECT LIMIT
                        FROM CDB_PROFILES
                        WHERE PROFILE='DEFAULT'
                        AND RESOURCE_NAME = P.RESOURCE_NAME
                        AND CON_ID = P.CON_ID),
             LIMIT) = 'NULL'
AND P.RESOURCE_NAME = 'PASSWORD_VERIFY_FUNCTION'
AND EXISTS ( SELECT 'X'
            FROM CDB_USERS U
            WHERE U.PROFILE = P.PROFILE )
ORDER BY CON_ID, PROFILE, RESOURCE_NAME;

```

Lack of results implies compliance.






Remediation:

Create a custom password verification function which fulfills the password requirements of the organization.

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/sqlrf/CREATE-PROFILE.html#d346953e824>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.2 Use Unique Passwords Use unique passwords for all enterprise assets. Best practice implementation includes, at a minimum, an 8-character password for accounts using MFA and a 14-character password for accounts not using MFA.			
v7	4.4 Use Unique Passwords Where multi-factor authentication is not supported (such as local administrator, root, or service accounts), accounts will use passwords that are unique to that system.			

3.8 Ensure 'SESSIONS_PER_USER' Is Less than or Equal to '10' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `SESSIONS_PER_USER` setting determines the maximum number of user sessions that are allowed to be open concurrently. The suggested value for this is 10 or less.

Rationale:

Limiting the number of the `SESSIONS_PER_USER` can help prevent memory resource exhaustion by poorly formed requests or intentional denial-of-service attacks.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT
FROM DBA_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT', (SELECT DISTINCT
                                DECODE(LIMIT, 'UNLIMITED', 9999, LIMIT)
                                FROM DBA_PROFILES
                                WHERE PROFILE='DEFAULT'
                                AND RESOURCE_NAME='SESSIONS_PER_USER'),
                        'UNLIMITED', '9999', P.LIMIT)) > 10
AND P.RESOURCE_NAME = 'SESSIONS_PER_USER'
AND EXISTS ( SELECT 'X' FROM DBA_USERS U WHERE U.PROFILE = P.PROFILE );
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT,
DECODE (P.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE P.CON_ID = B.CON_ID)) DATABASE
FROM CDB_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT',(SELECT DECODE(LIMIT,'UNLIMITED',9999,LIMIT)
                                      FROM CDB_PROFILES
                                      WHERE PROFILE='DEFAULT'
                                      AND RESOURCE_NAME='SESSIONS_PER_USER'
                                      AND CON_ID = P.CON_ID),
                        'UNLIMITED','9999',P.LIMIT)) > 10
AND P.RESOURCE_NAME = 'SESSIONS_PER_USER'
AND EXISTS ( SELECT 'X' FROM CDB_USERS U WHERE U.PROFILE = P.PROFILE )
ORDER BY CON_ID, PROFILE, RESOURCE_NAME;

```

Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement for each PROFILE returned by the audit procedure.

```

ALTER PROFILE <profile_name> LIMIT SESSIONS_PER_USER 10;

```






References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/sqlrf/CREATE-PROFILE.html#GUID-ABC7AE4D-64A8-4EA9-857D-BEF7300B64C3_GUID-E39008BB-092E-49B2-AAC2-3C4A98FC9A03

Additional Information:

The SESSIONS_PER_USER profile management capability was created to prevent resource(s) exhaustion at a time when resource usage was very expensive. As current database design may require much higher limits on this parameter if one "user" handles all processing for specific types of batch/customer connections, this must be handled via a new user profile.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	6.2 <u>Establish an Access Revoking Process</u> Establish and follow a process, preferably automated, for revoking access to enterprise assets, through disabling accounts immediately upon termination, rights revocation, or role change of a user. Disabling accounts, instead of deleting accounts, may be necessary to preserve audit trails.			
v7	16.7 <u>Establish Process for Revoking Access</u> Establish and follow an automated process for revoking system access by disabling accounts immediately upon termination or change of responsibilities of an employee or contractor . Disabling these accounts, instead of deleting accounts, allows preservation of audit trails.			

3.9 Ensure 'INACTIVE_ACCOUNT_TIME' Is Less than or Equal to '120' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `INACTIVE_ACCOUNT_TIME` setting determines the maximum number of days of inactivity (no logins at all) after which the account will be locked. The suggested value for this is 120 or less.

Rationale:

Setting `INACTIVE_ACCOUNT_TIME` can help with deactivation of "inactive" or "unused" accounts.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT P.PROFILE, P.RESOURCE_NAME, P.LIMIT
FROM DBA_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT, 'DEFAULT', (SELECT DISTINCT
DECODE(LIMIT, 'UNLIMITED', 9999, LIMIT)
                                FROM DBA_PROFILES
                                WHERE PROFILE='DEFAULT'
                                AND
RESOURCE_NAME='INACTIVE_ACCOUNT_TIME'),
                'UNLIMITED', '9999',
                P.LIMIT)) > 120
AND P.RESOURCE_NAME = 'INACTIVE_ACCOUNT_TIME'
AND EXISTS ( SELECT 'X' FROM DBA_USERS U WHERE U.PROFILE = P.PROFILE );
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT DISTINCT P.PROFILE, P.RESOURCE_NAME, P.LIMIT,
DECODE (P.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B
         WHERE P.CON_ID = B.CON_ID)) DATABASE
FROM CDB_PROFILES P
WHERE TO_NUMBER(DECODE(P.LIMIT,
                        'DEFAULT',(SELECT DISTINCT
DECODE(LIMIT,'UNLIMITED',9999,LIMIT)
FROM CDB_PROFILES
WHERE PROFILE='DEFAULT'
AND RESOURCE_NAME='INACTIVE_ACCOUNT_TIME'
AND CON_ID = P.CON_ID),
'UNLIMITED','9999',
P.LIMIT)) > 120
AND P.RESOURCE_NAME = 'INACTIVE_ACCOUNT_TIME'
AND EXISTS ( SELECT 'X' FROM CDB_USERS U WHERE U.PROFILE = P.PROFILE );

```

Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement for each PROFILE returned by the audit procedure.

```
ALTER PROFILE <profile_name> LIMIT INACTIVE_ACCOUNT_TIME 120;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/sqlrf/CREATE-PROFILE.html#GUID-ABC7AE4D-64A8-4EA9-857D-BEF7300B64C3_INACTIVE_ACCOUNT_TIME-585837A4

Additional Information:

The SESSIONS_PER_USER profile management capability was created to prevent resource(s) exhaustion at a time when resource usage was very expensive. As current database design may require much higher limits on this parameter if one "user" handles all processing for specific types of batch/customer connections, this must be handled via a new user profile.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.3 Disable Dormant Accounts Delete or disable any dormant accounts after a period of 45 days of inactivity, where supported.	●	●	●
v7	16.9 Disable Dormant Accounts Automatically disable dormant accounts after a set period of inactivity.	●	●	●

4 Users

Archive

4.1 Ensure All Default Passwords Are Changed (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

Default passwords should not be used by Oracle database users.

Rationale:

Default passwords should be considered "well known" to attackers. Consequently, if default passwords remain in place, any attacker with access to the database can authenticate as the user with that default password.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT DISTINCT A.USERNAME
FROM DBA_USERS_WITH_DEFPWD A, DBA_USERS B
WHERE A.USERNAME = B.USERNAME
AND B.ACCOUNT_STATUS = 'OPEN';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT DISTINCT A.USERNAME,
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_USERS_WITH_DEFPWD A, CDB_USERS C
WHERE A.USERNAME = C.USERNAME
AND C.ACCOUNT_STATUS = 'OPEN';
```

Lack of results implies compliance.

The view called `CDB_USERS_WITH_DEFPWD` and `DBA_USERS_WITH_DEFPWD` shows a list of all database users making use of default passwords. Lack of results implies compliance.

Note: Per Oracle Support Document 2173962.1, "after creation of a new...database, the `SYS` and `SYSTEM` accounts [may be] listed in `DBA_USERS_WITH_DEFPWD` even though the accounts were created with non-default passwords. Setting the same passwords again with `ALTER USER` correctly recognizes that the accounts do not have default passwords."

Note: If you have set `remote_password_file=NONE`, then you won't be able to change `SYS` password through `ALTER USER`. Since `remote_password_file` is set to `NONE`, `SYS`

account is effectively disabled. However, if you would like to change `sys` password, then you will need to change `remote_password_file` to exclusive and then change `sys` password.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.







- Manually issue the following SQL statement for each `USERNAME` returned in the Audit Procedure:
- Execute the following SQL script to assign a randomly generated password to each account using a default password:

```
begin
  for r_user in (select username
                 from dba_users_with_defpwd
                 where username not like '%XS$NULL%')
  loop
    DBMS_OUTPUT.PUT_LINE('Password for user '||r_user.username||'
will be changed. ');
    execute immediate 'alter user "'||r_user.username||'"
identified by "'||
      DBMS_RANDOM.string('a',16)||'"account lock password expire';
    end loop;
end;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/keeping-your-oracle-database-secure.html>
2. <https://support.oracle.com/epmos/faces/DocumentDisplay?id=2173962.1>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	<u>4.7 Manage Default Accounts on Enterprise Assets and Software</u> Manage default accounts on enterprise assets and software, such as root, administrator, and other pre-configured vendor accounts. Example implementations can include: disabling default accounts or making them unusable.			
v7	<u>4.2 Change Default Passwords</u> Before deploying any new asset, change all default passwords to have values consistent with administrative level accounts.			

4.2 Ensure All Sample Data And Users Have Been Removed (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

Oracle sample schemas can be used to create sample users (BI,HR,IX,OE,PM,SCOTT,SH), with well-known default passwords, particular views, and procedures/functions, in addition to tables and fictitious data. The sample schemas should be removed.

Rationale:

The sample schemas are typically not required for production operations of the database. The default users, views, and/or procedures/functions created by sample schemas could be used to launch exploits against production environments.

Impact:

The Oracle sample usernames may be in use on a production basis. It is important that you first verify that BI, HR, IX, OE, PM, SCOTT, and/or SH are not valid production usernames before executing the dropping SQL scripts. This may be particularly true with the HR and BI users. **If any of these users are present, it is important to be cautious and confirm the schemas present are, in fact, Oracle sample schemas and not production schemas being relied upon by business operations.**

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT USERNAME
FROM DBA_USERS
WHERE USERNAME IN ('BI','HR','IX','OE','PM','SCOTT','SH');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT DISTINCT A.USERNAME,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_USERS A
WHERE A.USERNAME IN ('BI','HR','IX','OE','PM','SCOTT','SH');
```

Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to run the drop script.

```
$ORACLE_HOME/demo/schema/drop_sch.sql
```

Then, execute the following SQL statement.

```
DROP USER SCOTT CASCADE;
```

Note: The `recyclebin` is not set to `OFF` within the default drop script, which means that the data will still be present in your environment until the `recyclebin` is emptied.

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/comsc/toc.htm>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	2.7 Allowlist Authorized Scripts Use technical controls, such as digital signatures and version control, to ensure that only authorized scripts, such as specific .ps1, .py, etc., files, are allowed to execute. Block unauthorized scripts from executing. Reassess bi-annually, or more frequently.			●
v7	4.7 Limit Access to Script Tools Limit access to scripting tools (such as Microsoft PowerShell and Python) to only administrative or development users with the need to access those capabilities.		●	●

4.3 Ensure 'DBA_USERS.AUTHENTICATION_TYPE' Is Not Set to 'EXTERNAL' for Any User (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The `authentication_type='EXTERNAL'` setting determines whether or not a user can be authenticated by a remote OS to allow access to the database with full authorization. This setting should not be used.

Rationale:

Allowing remote OS authentication of a user to the database can potentially allow supposed "privileged users" to connect as "authenticated," even when the remote system is compromised.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT USERNAME FROM DBA_USERS WHERE AUTHENTICATION_TYPE = 'EXTERNAL';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT A.USERNAME,  
       DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),  
              1,(SELECT NAME FROM V$DATABASE),  
              (SELECT NAME FROM V$PDBS B  
                WHERE A.CON_ID = B.CON_ID))  
FROM CDB_USERS A  
WHERE AUTHENTICATION_TYPE = 'EXTERNAL';
```

Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
ALTER USER <username> IDENTIFIED BY <password>;
```

References:

1. https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/DBA_USERS.html#d1365273e525

Additional Information:

The `PASSWORD` keyword (column) used in the SQL for prior Oracle versions has been deprecated from version 11.2 onward in favor of the new `AUTHENTICATION_TYPE` keyword (column) for the `DBA_USERS` table. However, the `PASSWORD` column has still been retained for backward compatibility.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	5.6 <u>Centralize Account Management</u> Centralize account management through a directory or identity service.		●	●
v7	16.2 <u>Configure Centralized Point of Authentication</u> Configure access for all accounts through as few centralized points of authentication as possible, including network, security, and cloud systems.		●	●

4.4 Ensure No Users Are Assigned the 'DEFAULT' Profile (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

Upon creation database users are assigned to the `DEFAULT` profile unless otherwise specified. No users should be assigned to that profile.

Rationale:

Users should be created with function-appropriate profiles. The `DEFAULT` profile, being defined by Oracle, is subject to change at any time (e.g. by patch or version update). The `DEFAULT` profile has unlimited settings that are often required by the `SYS` user when patching; such unlimited settings should be tightly reserved and not applied to unnecessary users.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT USERNAME
FROM DBA_USERS
WHERE PROFILE='DEFAULT'
AND ACCOUNT_STATUS='OPEN'
AND ORACLE_MAINTAINED = 'N';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT A.USERNAME,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_USERS A
WHERE A.PROFILE='DEFAULT'
AND A.ACCOUNT_STATUS='OPEN'
AND A.ORACLE_MAINTAINED = 'N';
```







Lack of results implies compliance.

Remediation:

To remediate this recommendation, execute the following SQL statement for each user returned by the audit query using a functional-appropriate profile, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
ALTER USER <username> PROFILE <appropriate_profile>;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	<u>5.4 Restrict Administrator Privileges to Dedicated Administrator Accounts</u> Restrict administrator privileges to dedicated administrator accounts on enterprise assets. Conduct general computing activities, such as internet browsing, email, and productivity suite use, from the user's primary, non-privileged account.			
v7	<u>4.3 Ensure the Use of Dedicated Administrative Accounts</u> Ensure that all users with administrative account access use a dedicated or secondary account for elevated activities. This account should only be used for administrative activities and not internet browsing, email, or similar activities.			

4.5 Ensure 'SYS.USER\$MIG' Has Been Dropped (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The table `sys.user$mig` is created during migration and contains the Oracle password hashes before the migration starts. This table should be dropped.

Rationale:

The table `sys.user$mig` is not deleted after the migration. An attacker could access the table containing the Oracle password hashes.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT OWNER, TABLE_NAME
FROM DBA_TABLES
WHERE TABLE_NAME='USER$MIG' AND OWNER='SYS';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT OWNER, TABLE_NAME,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TABLES A
WHERE TABLE_NAME='USER$MIG' AND OWNER='SYS';
```





Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
DROP TABLE SYS.USER$MIG;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.11 <u>Encrypt Sensitive Data at Rest</u> Encrypt sensitive data at rest on servers, applications, and databases containing sensitive data. Storage-layer encryption, also known as server-side encryption, meets the minimum requirement of this Safeguard. Additional encryption methods may include application-layer encryption, also known as client-side encryption, where access to the data storage device(s) does not permit access to the plain-text data.			
v7	16.4 <u>Encrypt or Hash all Authentication Credentials</u> Encrypt or hash with a salt all authentication credentials when stored.			

4.6 Ensure No Public Database Links Exist (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

Public Database links are used to allow connections between databases.

Rationale:

Using public database links in the database can allow anyone with a connection to the database to query, update, insert, delete data on a remote database depending on the userid that is part of the link.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT DB_LINK, HOST FROM DBA_DB_LINKS WHERE OWNER = 'PUBLIC';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT DB_LINK, HOST,  
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),  
        1, (SELECT NAME FROM V$DATABASE),  
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))  
FROM CDB_DB_LINKS A  
WHERE OWNER = 'PUBLIC';
```







Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
DROP PUBLIC DATABASE LINK <DB_LINK>;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5 Privileges & Grants & ACLs

The capability to use database resources at a given level, or user authorization rules, allows for user manipulation of the various parts of the Oracle database. These authorizations must be structured to block unauthorized use and/or corruption of vital data and services by setting restrictions on user capabilities, particularly those of the user `PUBLIC`. Such security measures help to ensure successful logins cannot be easily redirected.

IMPORTANT: Use caution when revoking privileges from `PUBLIC`. Oracle and third-party products explicitly require default grants to `PUBLIC` for commonly used functions, objects, and in view definitions. After revoking any privilege from `PUBLIC`, verify that applications keep running properly and recompile invalid database objects. Specific grants to users and roles may be needed to make all objects valid. Please see the following Oracle support document which provides further information and SQL statements that can be used to determine dependencies that require explicit grants: Be Cautious When Revoking Privileges Granted to `PUBLIC` (Doc ID 247093.1) Always test database changes in development and test environments before making changes to production databases.

5.1 Excessive Table, View and Package Privileges

This section contains recommendations that revoke default public execute privileges from powerful packages and object types.

5.1.1 Public Privileges

This section contains recommendations that revoke default public execute privileges from powerful packages and object types.

5.1.1.1 Ensure 'EXECUTE' is revoked from 'PUBLIC' on "Network" Packages (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

As described below, Oracle Database PL/SQL "Network" packages - `DBMS_LDAP`, `UTL_INADDR`, `UTL_TCP`, `UTL_MAIL`, `UTL_SMTP`, `UTL_DBWS`, `UTL_ORAMTS`, `UTL_HTTP` and type `HTTPURITYPE` – provide PL/SQL APIs to interact or access remote servers. The `PUBLIC` should not be able to execute these packages.

- The Oracle database `DBMS_LDAP` package contains functions and procedures that enable programmers to access data from LDAP servers.
- The Oracle database `UTL_INADDR` package provides an API to retrieve host names and IP addresses of local and remote hosts.
- The Oracle database `UTL_TCP` package can be used to read/write file to TCP sockets on the server where the Oracle instance is installed.
- The Oracle database `UTL_MAIL` package can be used to send email from the server where the Oracle instance is installed.
- The Oracle database `UTL_SMTP` package can be used to send email from the server where the Oracle instance is installed. The user `PUBLIC` should not be able to execute `UTL_SMTP`.
- The Oracle database `UTL_DBWS` package can be used to read/write file to web-based applications on the server where the Oracle instance is installed. This package is not automatically installed for security reasons.
- The Oracle database `UTL_ORAMTS` package can be used to perform HTTP requests. This could be used to send information to the outside.
- The Oracle database `UTL_HTTP` package can be used to perform HTTP requests. This could be used to send information to the outside.
- The Oracle database `HTTPURITYPE` object type can be used to perform HTTP requests.

Rationale:

As described below, Oracle Database PL/SQL packages - `DBMS_LDAP`, `UTL_INADDR`, `UTL_TCP`, `UTL_MAIL`, `UTL_SMTP`, `UTL_DBWS`, `UTL_ORAMTS`, `UTL_HTTP` and type `HTTPURITYPE` can be used by unauthorized users to create specially crafted error messages or send information to external servers. The `PUBLIC` should not be able to execute these packages.

- The use of the `DBMS_LDAP` package can be used to create specially crafted error messages or send information via DNS to the outside.
- The `UTL_INADDR` package can be used to create specially crafted error messages or send information via DNS to the outside.
- The `UTL_TCP` package could allow an unauthorized user to corrupt the TCP stream used to carry the protocols that communicate with the instance's external communications.
- The `UTL_MAIL` package could allow an unauthorized user to corrupt the SMTP function to accept or generate junk mail that can result in a denial-of-service condition due to network saturation.
- The `UTL_SMTP` package could allow an unauthorized user to corrupt the SMTP function to accept or generate junk mail that can result in a denial-of-service condition due to network saturation.
- The `UTL_DBWS` package could allow an unauthorized user to corrupt the HTTP stream used to carry the protocols that communicate for the instance's web-based external communications.
- The `UTL_ORAMTS` package could be used to send (sensitive) information to external websites. The use of this package should be restricted according to the needs of the organization.
- The `UTL_HTTP` package could be used to send (sensitive) information to external websites.
- The use of this package should be restricted according to the needs of the organization.
- The ability to perform HTTP requests could be used to leak information from the database to an external destination.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE
FROM DBA_TAB_PRIVS
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN
('DBMS_LDAP', 'UTL_INADDR', 'UTL_TCP', 'UTL_MAIL', 'UTL_SMTP', 'UTL_DBWS', 'UTL_ORAMTS', 'UTL_HTTP', 'HTTPURITYPE');
```

Lack of results implies compliance.

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT TABLE_NAME, PRIVILEGE, GRANTEE, DECODE (A.CON_ID, 0, (SELECT NAME FROM
V$DATABASE),
          1, (SELECT NAME FROM V$DATABASE),
          (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TAB_PRIVS A
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN
('DBMS_LDAP', 'UTL_INADDR', 'UTL_TCP', 'UTL_MAIL', 'UTL_SMTP', 'UTL_DBWS', 'UTL_ORA
MTS', 'UTL_HTTP', 'HTTPURITYPE')
ORDER BY CON_ID, TABLE_NAME;

```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```

REVOKE EXECUTE ON DBMS_LDAP FROM PUBLIC;
REVOKE EXECUTE ON UTL_INADDR FROM PUBLIC;
REVOKE EXECUTE ON UTL_TCP FROM PUBLIC;
REVOKE EXECUTE ON UTL_MAIL FROM PUBLIC;
REVOKE EXECUTE ON UTL_SMTP FROM PUBLIC;
REVOKE EXECUTE ON UTL_DBWS FROM PUBLIC;
REVOKE EXECUTE ON UTL_ORAMTS FROM PUBLIC;
REVOKE EXECUTE ON UTL_HTTP FROM PUBLIC;
REVOKE EXECUTE ON HTTPURITYPE FROM PUBLIC;

```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.1.1.2 Ensure 'EXECUTE' is revoked from 'PUBLIC' on "File System" Packages (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

As described below, Oracle Database PL/SQL "File System" packages - `DBMS_ADVISOR`, `DBMS_LOB` and `UTL_FILE` – provide PL/SQL APIs to access files on the servers. The user `PUBLIC` should not be able to execute these packages.

- The Oracle database `DBMS_ADVISOR` package can be used to write files located on the server where the Oracle instance is installed. The user `PUBLIC` should not be able to execute `DBMS_ADVISOR`.
- The Oracle database `DBMS_LOB` package provides subprograms that can manipulate and read/write on `BLOB`'s, `CLOB`'s, `NCLOB`'s, `BFILE`'s, and temporary `LOB`'s. The user `PUBLIC` should not be able to execute `DBMS_LOB`.
- The Oracle database `UTL_FILE` package can be used to read/write files located on the server where the Oracle instance is installed. The user `PUBLIC` should not be able to execute `UTL_FILE`.

Rationale:

As described below, Oracle Database PL/SQL "File System" packages - `DBMS_ADVISOR`, `DBMS_LOB` and `UTL_FILE` – should not be granted to `PUBLIC`.

- Use of the `DBMS_ADVISOR` package could allow an unauthorized user to corrupt operating system files on the instance's host.
- Use of the `DBMS_LOB` package could allow an unauthorized user to manipulate `BLOB`'s, `CLOB`'s, `NCLOB`'s, `BFILE`'s, and temporary `LOB`s on the instance, either destroying data or causing a denial-of-service condition due to corruption of disk space.
- Use of the `UTL_FILE` package could allow a user to read OS files. These files could contain sensitive information (e.g. passwords in `.bash_history`)

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE
FROM DBA_TAB_PRIVS
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN ('DBMS_ADVISOR','DBMS_LOB','UTL_FILE');
```

Lack of results implies compliance.

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE, DECODE (A.CON_ID, 0, (SELECT NAME FROM V$DATABASE),
1, (SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TAB_PRIVS A
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN ('DBMS_ADVISOR','DBMS_LOB','UTL_FILE')
ORDER BY CON_ID, TABLE_NAME;
```







Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE EXECUTE ON DBMS_ADVISOR FROM PUBLIC;
REVOKE EXECUTE ON DBMS_LOB FROM PUBLIC;
REVOKE EXECUTE ON UTL_FILE FROM PUBLIC;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 Configure Data Access Control Lists Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 Protect Information through Access Control Lists Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.1.1.3 Ensure 'EXECUTE' is revoked from 'PUBLIC' on "Encryption" Packages (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

As described below, Oracle Database PL/SQL "Encryption" packages - `DBMS_CRYPTO`, `DBMS_OBFUSCATION_TOOLKIT` and `DBMS_RANDOM` – provide PL/SQL APIs to perform functions related to cryptography. The `PUBLIC` should not be able to execute these packages.

- The `DBMS_CRYPTO` settings provide a toolset that determines the strength of the encryption algorithm used to encrypt application data and is part of the `SYS` schema. The `DES` (56-bit key), `3DES` (168-bit key), `3DES-2KEY` (112-bit key), `AES` (128/192/256-bit keys), and `RC4` are available.
- The `DBMS_OBFUSCATION_TOOLKIT` provides one of the tools that determine the strength of the encryption algorithm used to encrypt application data and is part of the `SYS` schema. The `DES` (56-bit key) and `3DES` (168-bit key) are the only two types available.
- The Oracle database `DBMS_RANDOM` package is used for generating random numbers but should not be used for cryptographic purposes.

Rationale:

As described below, Oracle Database PL/SQL Encryption packages - `DBMS_CRYPTO`, `DBMS_OBFUSCATION_TOOLKIT` and `DBMS_RANDOM` – should not be granted to `PUBLIC`.

- Execution of the `DBMS_CRYPTO` procedures by the `PUBLIC` can potentially endanger portions of or all of the data storage.
- Allowing the `PUBLIC` privileges to access this capability can be potentially harm data storage.
- Use of the `DBMS_RANDOM` package can allow the unauthorized application of the random number-generating function.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE
FROM DBA_TAB_PRIVS
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN ('DBMS_CRYPTO','DBMS_OBFUSCATION_TOOLKIT', 'DBMS_RANDOM');
```

Lack of results implies compliance.

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE,DECODE (A.CON_ID,0,(SELECT NAME FROM
V$DATABASE),
1,(SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TAB_PRIVS A
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN ('DBMS_CRYPTO','DBMS_OBFUSCATION_TOOLKIT', 'DBMS_RANDOM')
ORDER BY CON_ID, TABLE_NAME;
```







Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE EXECUTE ON DBMS_CRYPTO FROM PUBLIC;
REVOKE EXECUTE ON DBMS_OBFUSCATION_TOOLKIT FROM PUBLIC;
REVOKE EXECUTE ON DBMS_RANDOM FROM PUBLIC;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 Configure Data Access Control Lists Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 Protect Information through Access Control Lists Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.1.1.4 Ensure 'EXECUTE' is revoked from 'PUBLIC' on "Java" Packages (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

As described below, Oracle Database PL/SQL "Java" packages - `DBMS_JAVA` and `DBMS_JAVA_TEST` – provide APIs to run Java classes or grant Java packages. The user `PUBLIC` should not be able to execute these packages.

- The Oracle database `DBMS_JAVA` package can run Java classes (e.g. OS commands) or grant Java privileges. The user `PUBLIC` should not be able to execute `DBMS_JAVA`.
- The Oracle database `DBMS_JAVA_TEST` package can run Java classes (e.g. OS commands) or grant Java privileges. The user `PUBLIC` should not be able to execute `DBMS_JAVA_TEST`.

Rationale:

As described below, Oracle Database PL/SQL "Java" packages - `DBMS_JAVA` and `DBMS_JAVA_TEST` – should not be granted to `PUBLIC`.

- The `DBMS_JAVA` package could allow an attacker to run OS commands from the database.
- The `DBMS_JAVA_TEST` package could allow an attacker to run operating system commands from the database.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE
FROM DBA_TAB_PRIVS
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN ('DBMS_JAVA', 'DBMS_JAVA_TEST');
```

Lack of results implies compliance.

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT TABLE_NAME, PRIVILEGE, GRANTEE, DECODE (A.CON_ID, 0, (SELECT NAME FROM
V$DATABASE),
          1, (SELECT NAME FROM V$DATABASE),
          (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TAB_PRIVS A
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN ('DBMS_JAVA', 'DBMS_JAVA_TEST')
ORDER BY CON_ID, TABLE_NAME;

```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```

REVOKE EXECUTE ON DBMS_JAVA FROM PUBLIC;
REVOKE EXECUTE ON DBMS_JAVA_TEST FROM PUBLIC;

```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.1.1.5 Ensure 'EXECUTE' is revoked from 'PUBLIC' on "Job Scheduler" Packages (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

As described below, Oracle Database PL/SQL "Job Scheduler" packages - `DBMS_SCHEDULER` and `DBMS_JOB` – provide APIs to schedule jobs. The user `PUBLIC` should not be able to execute these packages.

- The Oracle database `DBMS_SCHEDULER` package schedules and manages the database and operating system jobs. The user `PUBLIC` should not be able to execute `DBMS_SCHEDULER`.
- The Oracle database `DBMS_JOB` package schedules and manages the jobs sent to the job queue and has been superseded by the `DBMS_SCHEDULER` package, even though `DBMS_JOB` has been retained for backwards compatibility. The user `PUBLIC` should not be able to execute `DBMS_JOB`.

Rationale:

As described below, Oracle Database PL/SQL "Job Scheduler" packages - `DBMS_SCHEDULER` and `DBMS_JOB` – should not be granted to the user `PUBLIC`.

- Use of the `DBMS_SCHEDULER` package could allow an unauthorized user to run database or operating system jobs.
- Use of the `DBMS_JOB` package could allow an unauthorized user to disable or overload the job queue. It has been superseded by the `DBMS_SCHEDULER` package.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE
FROM DBA_TAB_PRIVS
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN ('DBMS_SCHEDULER','DBMS_JOB');
```

Lack of results implies compliance.

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE, DECODE (A.CON_ID, 0, (SELECT NAME FROM V$DATABASE),
1, (SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TAB_PRIVS A
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN ('DBMS_SCHEDULER', 'DBMS_JOB')
ORDER BY CON_ID, TABLE_NAME;
```







Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE EXECUTE ON DBMS_JOB FROM PUBLIC;
REVOKE EXECUTE ON DBMS_SCHEDULER FROM PUBLIC;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.1.1.6 Ensure 'EXECUTE' is revoked from 'PUBLIC' on "SQL Injection Helper" Packages (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

As described below, Oracle Database PL/SQL "SQL Injection Helper Packages" packages - `DBMS_SQL`, `DBMS_XMLGEN`, `DBMS_XMLQUERY`, `DBMS_XLMSTORE`, `DBMS_XLMSAVE` and `DBMS_REDACT` – provide APIs to schedule jobs. The user `PUBLIC` should not be able to execute these packages.

- The Oracle database `DBMS_SQL` package is used for running dynamic SQL statements.
- The `DBMS_XMLGEN` package takes an arbitrary SQL query as input, converts it to XML format, and returns the result as a `CLOB`.
- The Oracle package `DBMS_XMLQUERY` takes an arbitrary SQL query, converts it to XML format, and returns the result. This package is similar to `DBMS_XMLGEN`.
- The `DBMS_XLMSTORE` package provides XML functionality. It accepts a table name and XML as input to perform `DML` operations against the table.
- The `DBMS_XLMSAVE` package provides XML functionality. It accepts a table name and XML as input and then inserts into or updates that table.
- The `DBMS_REDACT` package provides an interface to Oracle Data Redaction, which enables you to mask (redact) data that is returned from queries issued by low-privileged users or an application.

Rationale:

As described below, Oracle Database PL/SQL "SQL Injection Helper Packages" packages - `DBMS_SQL`, `DBMS_XMLGEN`, `DBMS_XMLQUERY`, `DBMS_XLMSTORE`, `DBMS_XLMSAVE` and '`DBMS_REDACT`' – should not be granted to `PUBLIC`.

- The `DBMS_SQL` package could allow privilege escalation if input validation is not done properly.
- The package `DBMS_XMLGEN` can be used to search the entire database for sensitive information like credit card numbers
- The package `DBMS_XMLQUERY` can be used to search the entire database for sensitive information like credit card numbers. Malicious users may be able to exploit this package as an auxiliary inject function in a SQL injection attack.
- Malicious users may be able to exploit the `DBMS_XLMSTORE` package as an auxiliary inject function in a SQL injection attack.

- Malicious users may be able to exploit the DBMS_XMLSAVE package as an auxiliary inject function in a SQL injection attack.
- Malicious users may be able to exploit DBMS_REDACT as an auxiliary inject function in a SQL injection attack.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE
FROM DBA_TAB_PRIVS
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN ('DBMS_SQL', 'DBMS_XMLGEN',
'DBMS_XMLQUERY', 'DBMS_XMLSTORE', 'DBMS_XMLSAVE', 'DBMS_AW', 'OWA_UTIL', 'DBMS_RED
ACT');
```

Lack of results implies compliance.

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE, DECODE (A.CON_ID, 0, (SELECT NAME FROM
V$DATABASE),
1, (SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TAB_PRIVS A
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN ('DBMS_SQL', 'DBMS_XMLGEN',
'DBMS_XMLQUERY', 'DBMS_XMLSTORE', 'DBMS_XMLSAVE', 'DBMS_AW', 'OWA_UTIL', 'DBMS_RED
ACT')
ORDER BY CON_ID, TABLE_NAME;
```







Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE EXECUTE ON DBMS_SQL FROM PUBLIC;
REVOKE EXECUTE ON DBMS_XMLGEN FROM PUBLIC;
REVOKE EXECUTE ON DBMS_XMLQUERY FROM PUBLIC;
REVOKE EXECUTE ON DBMS_XMLSAVE FROM PUBLIC;
REVOKE EXECUTE ON DBMS_XMLSTORE FROM PUBLIC;
REVOKE EXECUTE ON DBMS_AW FROM PUBLIC;
REVOKE EXECUTE ON OWA_UTIL FROM PUBLIC;
REVOKE EXECUTE ON DBMS_REDACT FROM PUBLIC;
```


CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.1.1.7 Ensure 'EXECUTE' is revoked from 'PUBLIC' on 'DBMS_CREDENTIAL' Package (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

As described below, Oracle Database PL/SQL "DBMS_CREDENTIAL" package – should not be granted to PUBLIC.

- Use of the DBMS_CREDENTIAL package could allow an unauthorized user to add, create, drop, enable and update credentials allowing jobs to run on the operating system.

Rationale:

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE
FROM DBA_TAB_PRIVS
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME = 'DBMS_CREDENTIAL';
```

Lack of results implies compliance.

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE, DECODE (A.CON_ID, 0, (SELECT NAME FROM V$DATABASE),
1, (SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TAB_PRIVS A
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME = 'DBMS_CREDENTIAL'
ORDER BY CON_ID, TABLE_NAME;
```

Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE EXECUTE ON DBMS_CREDENTIAL FROM PUBLIC;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	6.8 Define and Maintain Role-Based Access Control Define and maintain role-based access control, through determining and documenting the access rights necessary for each role within the enterprise to successfully carry out its assigned duties. Perform access control reviews of enterprise assets to validate that all privileges are authorized, on a recurring schedule at a minimum annually, or more frequently.			●

5.1.2 Non-Default Privileges

Archive

5.1.2.1 Ensure 'EXECUTE' is not granted to 'PUBLIC' on "Non-default" Packages (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The packages described in this control are not granted to `PUBLIC` by default ("Non-default" packages). These packages should not be granted to `PUBLIC`.

- The Oracle database `DBMS_BACKUP_RESTORE` package is used for applying PL/SQL commands to the native `RMAN` sequences.
- The Oracle database `DBMS_FILE_TRANSFER` package allows a user to transfer files from one database server to another.
- The Oracle database `DBMS_SYS_SQL`, `DBMS_REPCAT_SQL_UTL`, `INITJVMAUX`, `DBMS_AQADM_SYS`, `DBMS_STREAMS_RPC`, `DBMS_PRIVTAQIM`, `LTADM` and `DBMS_IJOB` packages are shipped as undocumented.

Rationale:

As described below, these "non-default" group of PL/SQL packages, which are not granted to `PUBLIC` by default, packages should not be granted to `PUBLIC`.

- The `DBMS_BACKUP_RESTORE` package can allow access to OS files.
- The `DBMS_FILE_TRANSFER` package could allow to transfer files from one database server to another without authorization to do so.
- The `DBMS_SYS_SQL` package could allow a user to run code as a different user without entering valid credentials.
- The `DBMS_REPCAT_SQL_UTL` package could allow an unauthorized user to run SQL commands as user `SYS`.
- The `INITJVMAUX` package could allow an unauthorized user to run SQL commands as user `SYS`.
- The `DBMS_AQADM_SYS` package could allow an unauthorized user to run SQL commands as user `SYS`.
- The `DBMS_STREAMS_RPC` package could allow an unauthorized user to run SQL commands as user `SYS`.
- The `DBMS_PRIVTAQIM` package could allow an unauthorized user to escalate privileges because any SQL statements could be executed as user `SYS`.
- The `LTADM` package could allow an unauthorized user to run any SQL command as user `SYS`. It allows privilege escalation if granted to unprivileged users.

- The `DBMS_IJOB` package could allow an attacker to change identities by using a different username to execute a database job. It allows a user to run database jobs in the context of another user.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE
FROM DBA_TAB_PRIVS
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN
('DBMS_BACKUP_RESTORE','DBMS_FILE_TRANSFER','DBMS_SYS_SQL','DBMS_REPCAT_SQL_U
TL','INITJVMAUX',
'DBMS_AQADM_SYS','DBMS_STREAMS_RPC','DBMS_PRVTAQIM','LTADM',
'DBMS_IJOB','DBMS_PDB_EXEC_SQL');
```

Lack of results implies compliance.

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT TABLE_NAME, PRIVILEGE, GRANTEE,DECODE (A.CON_ID,0,(SELECT NAME FROM
V$DATABASE),
1,(SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TAB_PRIVS A
WHERE GRANTEE='PUBLIC'
AND PRIVILEGE='EXECUTE'
AND TABLE_NAME IN
('DBMS_BACKUP_RESTORE','DBMS_FILE_TRANSFER','DBMS_SYS_SQL','DBMS_REPCAT_SQL_U
TL','INITJVMAUX',
'DBMS_AQADM_SYS','DBMS_STREAMS_RPC','DBMS_PRVTAQIM','LTADM',
'DBMS_IJOB','DBMS_PDB_EXEC_SQL')
ORDER BY CON_ID, TABLE_NAME;
```

Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```

REVOKE EXECUTE ON DBMS_BACKUP_RESTORE FROM PUBLIC;
REVOKE EXECUTE ON DBMS_FILE_TRANSFER FROM PUBLIC;
REVOKE EXECUTE ON DBMS_SYS_SQL FROM PUBLIC;
REVOKE EXECUTE ON DBMS_REPCAT_SQL_UTL FROM PUBLIC;
REVOKE EXECUTE ON INITJVMAUX FROM PUBLIC;
REVOKE EXECUTE ON DBMS_AQADM_SYS FROM PUBLIC;
REVOKE EXECUTE ON DBMS_STREAMS_RPC FROM PUBLIC;
REVOKE EXECUTE ON DBMS_PRVTAQIM FROM PUBLIC;
REVOKE EXECUTE ON LTADM FROM PUBLIC;
REVOKE EXECUTE ON DBMS_IJOB FROM PUBLIC;
REVOKE EXECUTE ON DBMS_PDB_EXEC_SQL FROM PUBLIC;

```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.	●	●	●
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.	●	●	●

5.1.3 Other Privileges

5.1.3.1 Ensure 'ALL' Is Revoked from Unauthorized 'GRANTEE' on 'AUD\$' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `SYS.AUD$` table contains all the audit records for the database of the non-Data Manipulation Language (DML) events, such as `ALTER`, `DROP`, and `CREATE`, and so forth. (DML changes need trigger-based audit events to record data alterations.) Unauthorized grantees should not have full access to that table.

Rationale:

Permitting non-privileged users the authorization to manipulate the `SYS.AUD$` table can allow distortion of the audit records, hiding unauthorized activities.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_TAB_PRIVS
WHERE TABLE_NAME='AUD$'
AND OWNER = 'SYS';
```

Lack of results implies compliance.

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TAB_PRIVS A
WHERE TABLE_NAME='AUD$'
AND OWNER = 'SYS';
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE ALL ON AUD$ FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/administering-the-audit-trail.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.1.3.2 Ensure 'ALL' Is Revoked from Unauthorized 'GRANTEE' on 'DBA_%' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `DBA_` views show all information which is relevant to administrative accounts. Unauthorized grantees should not have full access to those views.

Rationale:

Permitting users the authorization to manipulate the `DBA_` views can expose sensitive data.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, TABLE_NAME
FROM DBA_TAB_PRIVS
WHERE TABLE_NAME LIKE 'DBA\_%' ESCAPE '\\'
AND OWNER = 'SYS'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SSELECT GRANTEE, TABLE_NAME,
DECODE (A.CON_ID, 0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_TAB_PRIVS A
WHERE TABLE_NAME LIKE 'DBA\_%' ESCAPE '\\'
AND OWNER = 'SYS'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







Replace `<Non-DBA/SYS grantee>` in the query below, with the Oracle login(s) or role(s) returned from the associated audit procedure and execute, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke:

```
REVOKE ALL ON <DBA_%> FROM <Non-DBA/SYS grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/refrn/static-data-dictionary-views.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 Configure Data Access Control Lists Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 Protect Information through Access Control Lists Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.1.3.3 Ensure 'ALL' Is Revoked on 'Sensitive' Tables (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database tables listed below may contain sensitive information, and should not be accessible to unauthorized users.

- USER\$, USER_HISTORY\$, XS\$VERIFIERS and DEFAULT_PWD\$ may contain password hashes.
- CDB_LOCAL_ADMINAUTH\$ and PDB_SYNC\$ may contain DDLs.
- LINK\$ and SCHEDULER\$_CREDENTIAL may contain encrypted passwords.
- ENC\$ may contains encryption keys.
- HISTGRM\$ and HIST_HEAD\$ may contain sensitive data.

Rationale:

Access to sensitive information such as hashed passwords may allow unauthorized users to decrypt the passwords hashes which could potentially result in complete compromise of the database.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE, TABLE_NAME
FROM DBA_TAB_PRIVS
WHERE TABLE_NAME in
('CDB_LOCAL_ADMINAUTH$', 'DEFAULT_PWD$', 'ENC$', 'HISTGRM$', 'HIST_HEAD$', 'LINK$',
'PDB_SYNC$', 'SCHEDULER$_CREDENTIAL', 'USER$', 'USER_HISTORY$', 'XS$VERIFIERS')
AND OWNER = 'SYS'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT TABLE_NAME, PRIVILEGE, GRANTEE, DECODE (A.CON_ID, 0, (SELECT NAME FROM
V$DATABASE),
          1, (SELECT NAME FROM V$DATABASE),
          (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
DATABASE
FROM CDB_TAB_PRIVS A
WHERE TABLE_NAME in
('CDB_LOCAL_ADMINAUTH$', 'DEFAULT_PWD$', 'ENC$', 'HISTGRM$', 'HIST_HEAD$', 'LINK$',
'PDB_SYNC$', 'SCHEDULER$_CREDENTIAL', 'USER$', 'USER_HISTORY$', 'XS$VERIFIERS')
AND OWNER = 'SYS'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y')
ORDER BY CON_ID, TABLE_NAME;

```

Lack of results implies compliance.

Remediation:







Execute applicable SQLs listed below to remediate:

```

REVOKE ALL ON SYS.CDB_LOCAL_ADMINAUTH$ FROM <grantee>;
REVOKE ALL ON SYS.DEFAULT_PWD$ FROM <grantee>;
REVOKE ALL ON SYS.ENC$ FROM <grantee>;
REVOKE ALL ON SYS.HISTGRM$ FROM <grantee>;
REVOKE ALL ON SYS.HIST_HEAD$ FROM <grantee>;
REVOKE ALL ON SYS.LINK$ FROM <grantee>;
REVOKE ALL ON SYS.PDB_SYNC$ FROM <grantee>;
REVOKE ALL ON SYS.SCHEDULER$_CREDENTIAL FROM <grantee>;
REVOKE ALL ON SYS.USER$ FROM <grantee>;
REVOKE ALL ON SYS.USER_HISTORY$ FROM <grantee>;
REVOKE ALL ON SYS.XS$VERIFIERS FROM <grantee>;

```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 Configure Data Access Control Lists Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 Protect Information through Access Control Lists Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2 Excessive System Privileges

The recommendations within this section revoke excessive system privileges.

Archive

5.2.1 Ensure '%ANY%' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `ANY` keyword provides the user the capability to alter any item in the catalog of the database. Unauthorized grantees should not have that keyword assigned to them.

Rationale:

Authorization to use the `ANY` expansion of a privilege can allow an unauthorized user to potentially change confidential data or damage the data catalog.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE LIKE '%ANY%'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE LIKE '%ANY%'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE '<ANY Privilege>' FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-privilege-and-role-authorization.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.2 Ensure 'DBA_SYS_PRIVS.%' Is Revoked from Unauthorized 'GRANTEE' with 'ADMIN_OPTION' Set to 'YES' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `WITH_ADMIN` privilege allows the designated user to grant another user the same privileges. Unauthorized grantees should not have that privilege.

Rationale:

Assignment of the `WITH_ADMIN` privilege can allow the granting of a restricted privilege to an unauthorized user.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE ADMIN_OPTION='YES'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
1,(SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE ADMIN_OPTION='YES'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```







Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE <privilege> FROM <grantee>;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 Configure Data Access Control Lists Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 Protect Information through Access Control Lists Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.3 Ensure 'EXECUTE ANY PROCEDURE' Is Revoked from 'OUTLN' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

Remove unneeded `EXECUTE ANY PROCEDURE` privileges from `OUTLN`.

Rationale:

Migrated `OUTLN` users have more privileges than required.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='EXECUTE ANY PROCEDURE'
AND GRANTEE='OUTLN';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='EXECUTE ANY PROCEDURE'
AND GRANTEE='OUTLN';
```







Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE EXECUTE ANY PROCEDURE FROM OUTLN;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.4 Ensure 'EXECUTE ANY PROCEDURE' Is Revoked from 'DBSNMP' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

Remove unneeded `EXECUTE ANY PROCEDURE` privileges from `DBSNMP`.

Rationale:

Migrated `DBSNMP` users have more privileges than required.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='EXECUTE ANY PROCEDURE'
AND GRANTEE='DBSNMP';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='EXECUTE ANY PROCEDURE'
AND GRANTEE='DBSNMP';
```







Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE EXECUTE ANY PROCEDURE FROM DBSNMP;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.5 Ensure 'SELECT ANY DICTIONARY' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `SELECT ANY DICTIONARY` privilege allows the designated user to access `sys` schema objects. Unauthorized grantees should not have that privilege.

Rationale:

`SELECT ANY DICTIONARY` is a powerful system privilege which would allow an unauthorized user to gather information about the database through data dictionary objects. Information collected could potentially be used to exploit the database.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='SELECT ANY DICTIONARY'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='SELECT ANY DICTIONARY'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE SELECT ANY DICTIONARY FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-privilege-and-role-authorization.html>
2. <http://arup.blogspot.de/2011/07/difference-between-select-any.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 Configure Data Access Control Lists Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 Protect Information through Access Control Lists Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.6 Ensure 'SELECT ANY TABLE' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `SELECT ANY TABLE` privilege allows the designated user to open any table, except `SYS`, to view it. Unauthorized grantees should not have that privilege.

Rationale:

Assignment of the `SELECT ANY TABLE` privilege can allow the unauthorized viewing of sensitive data.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='SELECT ANY TABLE'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
1,(SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='SELECT ANY TABLE'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE SELECT ANY TABLE FROM <grantee>;
```







References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-privilege-and-role-authorization.html>

Additional Information:

In Oracle versions before 19c, `O7_DICTIONARY_ACCESSIBILITY` parameter had a side effect that it allowed access to the `SYS` objects when the `SELECT ANY TABLE` privilege was granted.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 Configure Data Access Control Lists Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 Protect Information through Access Control Lists Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.7 Ensure 'AUDIT SYSTEM' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `AUDIT SYSTEM` privilege allows changes to auditing activities on the system. Unauthorized grantees should not have that privilege.

Rationale:

The `AUDIT SYSTEM` privilege can allow the unauthorized alteration of system audit activities, such as disabling the creation of audit trails.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='AUDIT SYSTEM'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
1,(SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='AUDIT SYSTEM'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE AUDIT SYSTEM FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/sqlrf/AUDIT-Traditional-Auditing.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.8 Ensure 'EXEMPT ACCESS POLICY' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `EXEMPT ACCESS POLICY` keyword provides the user the capability to access all the table rows regardless of row-level security lockouts. Unauthorized grantees should not have that keyword assigned to them.

Rationale:

The `EXEMPT ACCESS POLICY` privilege can allow an unauthorized user to potentially access and change data.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='EXEMPT ACCESS POLICY'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
1,(SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='EXEMPT ACCESS POLICY'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE EXEMPT ACCESS POLICY FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-audit-policies.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.9 Ensure 'BECOME USER' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `BECOME USER` privilege allows the designated user to inherit the rights of another user. Unauthorized grantees should not have that privilege.

Rationale:

The `BECOME USER` privilege can allow the unauthorized use of another user's privileges, this capability should be restricted according to the needs of the organization.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='BECOME USER'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='BECOME USER'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE BECOME USER FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-audit-policies.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.10 Ensure 'CREATE PROCEDURE' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `CREATE PROCEDURE` privilege allows the designated user to create a stored procedure that will fire when given the correct command sequence. Unauthorized grantees should not have that privilege.

Rationale:

The `CREATE PROCEDURE` privilege can lead to severe problems in unauthorized hands, such as rogue procedures facilitating data theft or denial-of-service by corrupting data tables.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='CREATE PROCEDURE'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
1,(SELECT NAME FROM V$DATABASE),
(SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='CREATE PROCEDURE'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE CREATE PROCEDURE FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-audit-policies.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.11 Ensure 'ALTER SYSTEM' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `ALTER SYSTEM` privilege allows the designated user to dynamically alter the instance's running operations. Unauthorized grantees should not have that privilege.

Rationale:

The `ALTER SYSTEM` privilege can lead to severe problems, such as the instance's session being killed or the stopping of redo log recording, which would make transactions unrecoverable.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='ALTER SYSTEM'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='ALTER SYSTEM'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE ALTER SYSTEM FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-audit-policies.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.12 Ensure 'CREATE ANY LIBRARY' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `CREATE ANY LIBRARY` privilege allows the designated user to create objects that are associated to the shared libraries. Unauthorized grantees should not have that privilege.

Rationale:

The `CREATE ANY LIBRARY` privilege can allow the creation of numerous library-associated objects and potentially corrupt the libraries' integrity.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='CREATE ANY LIBRARY'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='CREATE ANY LIBRARY'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE CREATE ANY LIBRARY FROM <grantee>;
```







References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-audit-policies.html>

Additional Information:

Oracle has two identical privileges: `CREATE LIBRARY` and `CREATE ANY LIBRARY`.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.13 Ensure 'CREATE LIBRARY' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `CREATE LIBRARY` privilege allows the designated user to create objects that are associated to the shared libraries. Unauthorized grantees should not have that privilege.

Rationale:

The `CREATE LIBRARY` privilege can allow the creation of numerous library-associated objects and potentially corrupt the libraries' integrity.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='CREATE LIBRARY'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='CREATE LIBRARY'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:

To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE CREATE LIBRARY FROM <grantee>;
```







References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-audit-policies.html>

Additional Information:

Oracle has two identical privileges: `CREATE LIBRARY` and `CREATE ANY LIBRARY`.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.14 Ensure 'GRANT ANY OBJECT PRIVILEGE' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `GRANT ANY OBJECT PRIVILEGE` keyword provides the grantee the capability to grant access to any single or multiple combinations of objects to any grantee in the catalog of the database. Unauthorized grantees should not have that keyword assigned to them.

Rationale:

The `GRANT ANY OBJECT PRIVILEGE` capability can allow an unauthorized user to potentially access or change confidential data, or damage the data catalog due to potential complete instance access.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='GRANT ANY OBJECT PRIVILEGE'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='GRANT ANY OBJECT PRIVILEGE'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE GRANT ANY OBJECT PRIVILEGE FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-privilege-and-role-authorization.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.15 Ensure 'GRANT ANY ROLE' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `GRANT ANY ROLE` keyword provides the grantee the capability to grant any single role to any grantee in the catalog of the database. Unauthorized grantees should not have that keyword assigned to them.

Rationale:

The `GRANT ANY ROLE` capability can allow an unauthorized user to potentially access or change confidential data or damage the data catalog due to potential complete instance access.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='GRANT ANY ROLE'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='GRANT ANY ROLE'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE GRANT ANY ROLE FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-privilege-and-role-authorization.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.2.16 Ensure 'GRANT ANY PRIVILEGE' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `GRANT ANY PRIVILEGE` keyword provides the grantee the capability to grant any single privilege to any item in the catalog of the database. Unauthorized grantees should not have that privilege.

Rationale:

The `GRANT ANY PRIVILEGE` capability can allow an unauthorized user to potentially access or change confidential data or damage the data catalog due to potential complete instance access.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE
FROM DBA_SYS_PRIVS
WHERE PRIVILEGE='GRANT ANY PRIVILEGE'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, PRIVILEGE,
DECODE (A.CON_ID,0,(SELECT NAME FROM V$DATABASE),
        1,(SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_SYS_PRIVS A
WHERE PRIVILEGE='GRANT ANY PRIVILEGE'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE GRANT ANY PRIVILEGE FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-privilege-and-role-authorization.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.3 Excessive Role Privileges

The recommendations within this section intend to revoke powerful roles where they are likely not needed.

Archive

5.3.1 Ensure 'SELECT_CATALOG_ROLE' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `SELECT_CATALOG_ROLE` provides `SELECT` privileges on all data dictionary views held in the `SYS` schema. Unauthorized grantees should not have that role.

Rationale:

Permitting unauthorized access to the `SELECT_CATALOG_ROLE` can allow the disclosure of all dictionary data.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, GRANTED_ROLE
FROM DBA_ROLE_PRIVS
WHERE GRANTED_ROLE='SELECT_CATALOG_ROLE'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, GRANTED_ROLE,
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_ROLE_PRIVS A
WHERE GRANTED_ROLE='SELECT_CATALOG_ROLE'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE SELECT_CATALOG_ROLE FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-privilege-and-role-authorization.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.3.2 Ensure 'EXECUTE_CATALOG_ROLE' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `EXECUTE_CATALOG_ROLE` provides `EXECUTE` privileges for a number of packages and procedures in the data dictionary in the `sys` schema. Unauthorized grantees should not have that role.

Rationale:

Permitting unauthorized access to the `EXECUTE_CATALOG_ROLE` can allow the disruption of operations by initialization of rogue procedures, this capability should be restricted according to the needs of the organization.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, GRANTED_ROLE
FROM DBA_ROLE_PRIVS
WHERE GRANTED_ROLE='EXECUTE_CATALOG_ROLE'
AND GRANTEE NOT IN (SELECT USERNAME FROM DBA_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM DBA_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```
SELECT GRANTEE, GRANTED_ROLE,
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_ROLE_PRIVS A
WHERE GRANTED_ROLE='EXECUTE_CATALOG_ROLE'
AND GRANTEE NOT IN (SELECT USERNAME FROM CDB_USERS WHERE
ORACLE_MAINTAINED='Y')
AND GRANTEE NOT IN (SELECT ROLE FROM CDB_ROLES WHERE ORACLE_MAINTAINED='Y');
```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE EXECUTE_CATALOG_ROLE FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-privilege-and-role-authorization.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 <u>Configure Data Access Control Lists</u> Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 <u>Protect Information through Access Control Lists</u> Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.3.3 Ensure 'DBA' Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `DBA` role is the default database administrator role provided for the allocation of administrative privileges. Unauthorized grantees should not have that role.

Rationale:

Assignment of the `DBA` role to an ordinary user can provide a great number of unnecessary privileges to that user and open the door to data breaches, integrity violations, and denial-of-service conditions.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT 'GRANT' AS PATH, GRANTEE, GRANTED_ROLE
FROM DBA_ROLE_PRIVS
WHERE GRANTED_ROLE = 'DBA' AND GRANTEE NOT IN ('SYS', 'SYSTEM')
UNION
SELECT 'PROXY', PROXY || '-' || CLIENT, 'DBA'
FROM DBA_PROXIES
WHERE CLIENT IN (SELECT GRANTEE
                  FROM DBA_ROLE_PRIVS
                  WHERE GRANTED_ROLE = 'DBA');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT 'GRANT' AS PATH, GRANTEE, GRANTED_ROLE,
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID)) CON
FROM CDB_ROLE_PRIVS A
WHERE GRANTED_ROLE='DBA'
AND GRANTEE NOT IN ('SYS', 'SYSTEM')
UNION
SELECT 'PROXY', PROXY || '-' || CLIENT, 'DBA',
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID)) CON
FROM CDB_PROXIES A
WHERE CLIENT IN (SELECT GRANTEE
                 FROM CDB_ROLE_PRIVS B
                 WHERE GRANTED_ROLE = 'DBA'
                 AND A.CON_ID = B.CON_ID);

```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE DBA FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-privilege-and-role-authorization.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 Configure Data Access Control Lists Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 Protect Information through Access Control Lists Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

5.3.4 Ensure *AUDIT_ADMIN* Is Revoked from Unauthorized 'GRANTEE' (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing
- Level 1 - RDBMS using Unified Auditing

Description:

The Oracle database `AUDIT_ADMIN` enables you to create unified and fine-grained audit policies, use the `AUDIT` and `NOAUDIT` SQL statements, view audit data, and manage the audit trail administration. Grant this role only to trusted users. Unauthorized grantees should not have this role.

Rationale:

Assignment of the `AUDIT_ADMIN` role to an ordinary user can provide a great number of unnecessary privileges to that user and open the door to data breaches, integrity violations, and denial-of-service conditions.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT 'GRANT' AS PATH, GRANTEE, GRANTED_ROLE
FROM DBA_ROLE_PRIVS
WHERE GRANTED_ROLE = 'AUDIT_ADMIN' AND GRANTEE NOT IN ('SYS', 'SYSTEM')
UNION
SELECT 'PROXY', PROXY || '-' || CLIENT, 'AUDIT_ADMIN'
FROM DBA_PROXIES
WHERE CLIENT IN (SELECT GRANTEE
                  FROM DBA_ROLE_PRIVS
                  WHERE GRANTED_ROLE = 'AUDIT_ADMIN');
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has the issue. To assess this recommendation, execute the following SQL statement.

```

SELECT 'GRANT' AS PATH, GRANTEE, GRANTED_ROLE,
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID)) CON
FROM CDB_ROLE_PRIVS A
WHERE GRANTED_ROLE='AUDIT_ADMIN'
AND GRANTEE NOT IN ('SYS', 'SYSTEM')
UNION
SELECT 'PROXY', PROXY || '-' || CLIENT, 'AUDIT_ADMIN',
DECODE (A.CON_ID,0, (SELECT NAME FROM V$DATABASE),
        1, (SELECT NAME FROM V$DATABASE),
        (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID)) CON
FROM CDB_PROXIES A
WHERE CLIENT IN (SELECT GRANTEE
                 FROM CDB_ROLE_PRIVS B
                 WHERE GRANTED_ROLE = 'AUDIT_ADMIN'
                 AND A.CON_ID = B.CON_ID);

```

Lack of results implies compliance.

Remediation:







To remediate this setting, execute the following SQL statement, keeping in mind if this is granted in both container and pluggable database, you must connect to both places to revoke.

```
REVOKE AUDIT_ADMIN FROM <grantee>;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-privilege-and-role-authorization.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	3.3 Configure Data Access Control Lists Configure data access control lists based on a user's need to know. Apply data access control lists, also known as access permissions, to local and remote file systems, databases, and applications.			
v7	14.6 Protect Information through Access Control Lists Protect all information stored on systems with file system, network share, claims, application, or database specific access control lists. These controls will enforce the principle that only authorized individuals should have access to the information based on their need to access the information as a part of their responsibilities.			

6 Audit/Logging Policies and Procedures

The ability to audit database activities is among the most important of all database security features. Decisions must be made regarding the scope of auditing since auditing has costs - in storage for the audit trail and in performance impact on audited operations - and perhaps even the database or system in general. There is also the additional cost to manage (store, backup, secure) and review the data in the audit trail.

Measures must be taken to protect the audit trail itself, for it may be targeted for alteration or destruction to hide unauthorized activity. For an audit destination outside the database, the recommendations are elsewhere in this document. Auditing recommendations for potential database audit destinations are below.

Auditing "by session" typically creates fewer (until 11g) and slightly smaller audit records, but is discouraged in most situations since there is some loss of fidelity (e.g. object privilege `GRANTEE`). More detailed auditing creates larger audit records. The `AUDIT_TRAIL` initialization parameter (for DB|XML, extended - or not) is the main determining factor for the size of a given audit record - and a notable factor in the performance cost, although the largest of the latter is DB versus OS or XML.

This section deals with standard Oracle auditing since auditing of privileged connections (as `sysdba` or `sysoper`) is configured via the `AUDIT_SYS_OPERATIONS` initialization parameter and is otherwise not configurable. The basic types of standard auditing are object, statement and privilege auditing, and each behaves differently.

Object auditing applies to specific objects for which it is invoked and always applies to all users. This type of auditing is usually employed to audit application-specific sensitive objects, but can also be used to protect the audit trail in the database.

Privilege auditing audits the use of specific system privileges, but typically only if the user actually possesses the audited privilege. Attempts that fail for lack of the audited privilege are typically not audited. This is the main weakness of privilege auditing and why statement auditing is usually preferred, if the option exists.

Statement auditing audits the issuance of certain types of statements, usually without regard to privilege or lack thereof. Both privilege and statement audits may be specified for specific users or all users (the default).

6.1 Traditional Auditing

The recommendations in this section should be followed if traditional auditing is implemented.

Archive

6.1.1 Ensure the 'USER' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `USER` object allows for creating accounts that can interact with the database according to the roles and privileges allotted to the account. It may also own database objects. Enabling the audit option causes auditing of all activities and requests to create, drop or alter a user, including a user changing their own password. (The latter is not audited by `audit ALTER USER`.)

Rationale:

Any unauthorized attempts to create, drop or alter a user should cause concern, whether successful or not. Auditing can also be useful in forensics if an account is compromised, and auditing is mandated by many common security initiatives. An abnormally high number of these activities in a given period might be worth investigation. Any failed attempt to drop a user or create a user may be worth further review.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='USER';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```

SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='USER';

```

Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT USER;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.1.2 Ensure the 'ROLE' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `ROLE` object allows for the creation of a set of privileges that can be granted to users or other roles. Enabling the audit option causes auditing of all attempts, successful or not, to create, drop, alter or set roles.

Rationale:

Roles are a key database security infrastructure component. Any attempt to create, drop or alter a role should be audited. This statement auditing option also audits attempts, successful or not, to set a role in a session. Any unauthorized attempts to create, drop or alter a role may be worthy of investigation. Attempts to set a role by users without the role privilege may warrant investigation.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='ROLE';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='ROLE';
```

Lack of results implies a finding.

Remediation:











To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT ROLE;
```

Additional Information:

This option does not audit role grants and revokes.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.3 Ensure the 'SYSTEM GRANT' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

Enabling the audit option for the `SYSTEM GRANT` object causes auditing of any attempt, successful or not, to grant or revoke any system privilege or role, regardless of privilege held by the user attempting the operation.

Rationale:

Logging of all grant and revokes (roles and system privileges) can provide forensic evidence about a pattern of suspect/unauthorized activities. Any unauthorized attempt may be cause for further investigation.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='SYSTEM GRANT';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='SYSTEM GRANT';
```





Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT SYSTEM GRANT;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	4.8 <u>Log and Alert on Changes to Administrative Group Membership</u> Configure systems to issue a log entry and alert when an account is added to or removed from any group assigned administrative privileges.			

6.1.4 Ensure the 'PROFILE' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `PROFILE` object allows for the creation of a set of database resource limits that can be assigned to a user, so that that user cannot exceed those resource limitations. Enabling the audit option causes auditing of all attempts, successful or not, to create, drop or alter any profile.

Rationale:

As profiles are part of the database security infrastructure, auditing the creation, modification, and deletion of profiles is recommended.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='PROFILE';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='PROFILE';
```

Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT PROFILE;
```

Additional Information:

The statement auditing option `audit PROFILE` audits everything that the three privilege audits `audit CREATE PROFILE`, `audit DROP PROFILE` and `audit ALTER PROFILE` do, but also audits:

1. Attempts to create a profile by a user without the `CREATE PROFILE` system privilege.
2. Attempts to drop a profile by a user without the `DROP PROFILE` system privilege
3. Attempts to alter a profile by a user without the `ALTER PROFILE` system privilege.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.1.5 Ensure the 'DATABASE LINK' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

Enabling the audit option for the DATABASE LINK object causes all activities on database links to be audited.

Rationale:

As the logging of user activities involving the creation or dropping of a DATABASE LINK can provide forensic evidence about a pattern of unauthorized activities, the audit capability should be enabled.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='DATABASE LINK';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='DATABASE LINK';
```

Lack of results implies a finding.

Remediation:











To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT DATABASE LINK;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-audit-policies.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.6 Ensure the 'PUBLIC DATABASE LINK' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `PUBLIC DATABASE LINK` object allows for the creation of a public link for an application-based "user" to access the database for connections/session creation. Enabling the audit option causes all user activities involving the creation, alteration, or dropping of public links to be audited.

Rationale:

As the logging of user activities involving the creation, alteration, or dropping of a `PUBLIC DATABASE LINK` can provide forensic evidence about a pattern of unauthorized activities, the audit capability should be enabled.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='PUBLIC DATABASE LINK';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='PUBLIC DATABASE LINK';
```











Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT PUBLIC DATABASE LINK;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.7 Ensure the 'PUBLIC SYNONYM' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `PUBLIC SYNONYM` object allows for the creation of an alternate description of an object. Public synonyms are accessible by all users that have the appropriate privileges to the underlying object. Enabling the audit option causes all user activities involving the creation or dropping of public synonyms to be audited.

Rationale:

As the logging of user activities involving the creation or dropping of a `PUBLIC SYNONYM` can provide forensic evidence about a pattern of unauthorized activities, the audit capability should be enabled.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='PUBLIC SYNONYM';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='PUBLIC SYNONYM';
```











Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT PUBLIC SYNONYM;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.8 Ensure the 'SYNONYM' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `SYNONYM` operation allows for the creation of an alternative name for a database object such as a Java class schema object, materialized view, operator, package, procedure, sequence, stored function, table, view, user-defined object type, or even another synonym. This synonym puts a dependency on its target and is rendered invalid if the target object is changed/dropped. Enabling the audit option causes all user activities involving the creation or dropping of synonyms to be audited.

Rationale:

As the logging of user activities involving the creation or dropping of a `SYNONYM` can provide forensic evidence about a pattern of suspect/unauthorized activities, the audit capability should be enabled.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='SYNONYM';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='SYNONYM';
```


Lack of results implies a finding.

Remediation:











To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT SYNONYM;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-audit-policies.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.9 Ensure the 'DIRECTORY' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `DIRECTORY` object allows for the creation of a directory object that specifies an alias for a directory on the server file system, where the external binary file `LOBs` (`BFILEs`)/table data are located. Enabling this audit option causes all user activities involving the creation or dropping of a directory alias to be audited.

Rationale:

As the logging of user activities involving the creation or dropping of a `DIRECTORY` can provide forensic evidence about a pattern of unauthorized activities, the audit capability should be enabled.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='DIRECTORY';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='DIRECTORY';
```

Lack of results implies a finding.

Remediation:











To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT DIRECTORY;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-audit-policies.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.10 Ensure the 'SELECT ANY DICTIONARY' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `SELECT ANY DICTIONARY` capability allows the user to view the definitions of all schema objects in the database. Enabling the audit option causes all user activities involving this capability to be audited.

Rationale:

As the logging of user activities involving the capability to access the description of all schema objects in the database can provide forensic evidence about a pattern of unauthorized activities, the audit capability should be enabled.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='SELECT ANY DICTIONARY';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='SELECT ANY DICTIONARY';
```

Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT SELECT ANY DICTIONARY;
```

References:

1. <https://docs.oracle.com/en/database/oracle/oracle-database/19/dbseg/configuring-audit-policies.html>

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.1.11 Ensure the 'GRANT ANY OBJECT PRIVILEGE' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

GRANT ANY OBJECT PRIVILEGE allows the user to grant or revoke any object privilege, which includes privileges on tables, directories, mining models, etc. Enabling this audit option causes auditing of all uses of that privilege.

Rationale:

Logging of privilege grants that can lead to the creation, alteration, or deletion of critical data, the modification of objects, object privilege propagation and other such activities can be critical to forensic investigations.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='GRANT ANY OBJECT PRIVILEGE';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='GRANT ANY OBJECT PRIVILEGE';
```

Lack of results implies a finding.

Remediation:











To remediate this setting, execute the following SQL statement, keeping in mind if this needs to be done in both container and pluggable database, you must connect to both places to do the audit statement.

```
AUDIT GRANT ANY OBJECT PRIVILEGE;
```

Additional Information:

This does NOT audit all attempts to grant or revoke object privileges since this can also be done by anyone who was granted an object privilege with the grant option. Also, this never creates an audit record for anyone who does not hold the `GRANT ANY OBJECT PRIVILEGE` system privilege. Therefore, many attempts, successful or not, to grant and revoke object privileges are not audited by this.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.12 Ensure the 'GRANT ANY PRIVILEGE' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

GRANT ANY PRIVILEGE allows a user to grant any system privilege, including the most powerful privileges typically available only to administrators - to change the security infrastructure, to drop/add/modify users and more.

Rationale:

Auditing the use of this privilege is part of a comprehensive auditing policy that can help in detecting issues and can be useful in forensics.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='GRANT ANY PRIVILEGE';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='GRANT ANY PRIVILEGE';
```

Lack of results implies a finding.

Remediation:













To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT GRANT ANY PRIVILEGE;
```

Additional Information:

This does NOT audit all attempts to grant or revoke system privileges since this can also be done by anyone who was granted a system privilege with the admin option. Also, this never creates an audit record for anyone who does not hold the `GRANT ANY PRIVILEGE` system privilege. Thus, many attempts, successful or not, to grant and revoke system privileges are not audited by this.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	4.8 <u>Log and Alert on Changes to Administrative Group Membership</u> Configure systems to issue a log entry and alert when an account is added to or removed from any group assigned administrative privileges.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.13 Ensure the 'DROP ANY PROCEDURE' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The `AUDIT DROP ANY PROCEDURE` command is auditing the dropping of procedures. Enabling the option causes auditing of all such activities.

Rationale:

Dropping procedures of another user could be part of a privilege escalation exploit and should be audited.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='DROP ANY PROCEDURE';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.











```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='DROP ANY PROCEDURE';
```

Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.14 Ensure the 'ALL' Audit Option on 'SYS.AUD\$' Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

The logging of attempts to alter the audit trail in the `SYS.AUD$` table (open for read/update/delete/view) will provide a record of any activities that may indicate unauthorized attempts to access the audit trail. Enabling the audit option will cause these activities to be audited.

Rationale:

As the logging of attempts to alter the `SYS.AUD$` table can provide forensic evidence of the initiation of a pattern of unauthorized activities, this logging capability should be enabled.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT *  
FROM DBA_OBJ_AUDIT_OPTS  
WHERE OBJECT_NAME='AUD$'  
AND ALT='A/A'  
AND AUD='A/A'  
AND COM='A/A'  
AND DEL='A/A'  
AND GRA='A/A'  
AND IND='A/A'  
AND INS='A/A'  
AND LOC='A/A'  
AND REN='A/A'  
AND SEL='A/A'  
AND UPD='A/A'  
AND FBK='A/A';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```

SELECT *
FROM CDB_OBJ_AUDIT_OPTS
WHERE OBJECT_NAME='AUD$'
AND ALT='A/A'
AND AUD='A/A'
AND COM='A/A'
AND DEL='A/A'
AND GRA='A/A'
AND IND='A/A'
AND INS='A/A'
AND LOC='A/A'
AND REN='A/A'
AND SEL='A/A'
AND UPD='A/A'
AND FBK='A/A';

```

Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT ALL ON SYS.AUD$ BY ACCESS;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.1.15 Ensure the 'PROCEDURE' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

In this statement audit, `PROCEDURE` means any procedure, function, package or library. Enabling this audit option causes any attempt, successful or not, to create or drop any of these types of objects to be audited, regardless of privilege or lack thereof. Java schema objects (sources, classes, and resources) are considered the same as procedures for the purposes of auditing SQL statements.

Rationale:

Any unauthorized attempts to create or drop a procedure in another's schema should cause concern, whether successful or not. Changes to critical stored code can dramatically change the behavior of the application and produce serious security consequences, including enabling privilege escalation and introducing SQL injection vulnerabilities. Audit records of such changes can be helpful in forensics.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='PROCEDURE';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='PROCEDURE';
```

Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.











```
AUDIT PROCEDURE;
```

Additional Information:

Not all auditing options work alike. In particular, the statement auditing option `audit PROCEDURE` does indeed audit create and drop library as well as all types of procedures and java schema objects. However, privilege audits do not work this way. So, for example, none of `audit CREATE ANY PROCEDURE`, `audit DROP ANY PROCEDURE`, or `audit CREATE PROCEDURE` will audit create or drop library activities. In statement auditing, `PROCEDURE` has a larger scope than in privilege auditing, where it is specific to functions, packages and procedures, but excludes libraries and perhaps other object types.

`Audit PROCEDURE` does not audit altering procedures, either in your own schema or in another via the `ALTER ANY PROCEDURE` system privilege. There seems to be no statement audit that is a better replacement for `Audit ALTER ANY PROCEDURE`, but beware that will not create any audit records for users that do not have the privilege. Thus, attempts to alter procedures in one's own schema are never audited, and attempts to alter procedures in another's schema that fail for lack of the `ALTER ANY PROCEDURE` privilege are not audited. This is simply a weakness in the current state of Oracle auditing. Fortunately, though, all that the `ALTER` command can be used for regarding procedures, functions, packages and libraries is compile options, so the inability to comprehensively audit alter procedure activities and requests is not as bad as it would be for other object types (USER, PROFILE, etc.)

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.16 Ensure the 'ALTER SYSTEM' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

`ALTER SYSTEM` allows one to change instance settings, including security settings and auditing options. Additionally, `ALTER SYSTEM` can be used to run operating system commands using undocumented Oracle functionality. Enabling the audit option will audit all attempts to perform `ALTER SYSTEM`, whether successful or not and regardless of whether or not the `ALTER SYSTEM` privilege is held by the user attempting the action.

Rationale:

Any unauthorized attempt to alter the system should be cause for concern. Alterations outside of some specified maintenance window may be of concern. In forensics, these audit records could be quite useful.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='ALTER SYSTEM';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='ALTER SYSTEM';
```











Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT ALTER SYSTEM;
```

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.1.17 Ensure the 'TRIGGER' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

A `TRIGGER` may be used to modify `DML` actions or invoke other (recursive) actions when some types of user-initiated actions occur. Enabling this audit option will cause auditing of any attempt, successful or not, to create, drop, enable or disable any schema trigger in any schema regardless of privilege or lack thereof. For enabling and disabling a trigger, it covers both `ALTER TRIGGER` and `ALTER TABLE`.

Rationale:

Triggers are often part of schema security, data validation and other critical constraints upon actions and data. A trigger in another schema may be used to escalate privileges, redirect operations, transform data and perform other sorts of perhaps undesired actions. Any unauthorized attempt to create, drop or alter a trigger in another schema may be cause for investigation.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='TRIGGER';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='TRIGGER';
```

Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT TRIGGER;
```

Additional Information:

There is no current CIS recommendation to audit the use of the system privilege `CREATE TRIGGER`, as there is for `CREATE SYNONYM`, `CREATE PROCEDURE` and some other types of objects, so this is actually a scope escalation also - to audit such actions in one's own schema. However, this is the only way to comprehensively audit things like attempts to create, drop or alter triggers in another's schema if the user attempting to operation does not hold the required `ANY` privilege - and these are exactly the sorts of things that should raise a large red flag.

The statement auditing option `audit TRIGGER` audits almost everything that the three privilege audits `audit CREATE ANY TRIGGER`, `audit ALTER ANY TRIGGER` and `audit DROP ANY TRIGGER` do, but also audits:

1. Statements to create, drop, enable or disable a trigger in the user's own schema.
2. Attempts to create a trigger by a user without the `CREATE TRIGGER` system privilege.
3. Attempts to create a trigger in another schema by users without the `CREATE ANY TRIGGER` privilege.
4. Attempts to drop a trigger in another schema by users without the `DROP ANY TRIGGER` privilege.
5. Attempts to disable or enable a trigger in another schema by users without the `ALTER ANY TRIGGER` privilege.

The one thing is audited by any of the three privilege audits that is not audited by this is `ALTER TRIGGER ...COMPILE` if the trigger is in another's schema, which is audited by `audit ALTER ANY TRIGGER`, but only if the user attempting the alteration actually holds the `ALTER ANY TRIGGER` system privilege. `Audit TRIGGER` only audits `ALTER TABLE` or `ALTER TRIGGER` statements used to enable or disable triggers. It does not audit `ALTER TRIGGER` or `ALTER TABLE` statements used only with compile options.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.1.18 Ensure the 'CREATE SESSION' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Traditional Auditing

Description:

Enabling this audit option will cause auditing of all attempts to connect to the database, whether successful or not, as well as audit session disconnects/logoffs. The commands to audit `SESSION`, `CONNECT` or `CREATE SESSION` all accomplish the same thing - they initiate statement auditing of the connect statement used to create a database session.

Rationale:

Auditing attempts to connect to the database is basic and mandated by most security initiatives. Any attempt to logon to a locked account, failed attempts to logon to default accounts or an unusually high number of failed logon attempts of any sort, for any user, in a particular time period may indicate an intrusion attempt. In forensics, the logon record may be first in a chain of evidence and contain information found in no other type of audit record for the session. Logon and logoff in the audit trail define the period and duration of the session.

Audit:

Non multi-tenant or pluggable database only:

To assess this recommendation, execute the following SQL statement.

```
SELECT AUDIT_OPTION, SUCCESS, FAILURE
FROM DBA_STMT_AUDIT_OPTS
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='CREATE SESSION';
```

Multi-tenant in the container database:

This query will also give you the name of the CDB/PDB that has auditing turned on. To assess this recommendation, execute the following SQL statement.

```

SELECT AUDIT_OPTION, SUCCESS, FAILURE,
       DECODE (A.CON_ID,
               0, (SELECT NAME FROM V$DATABASE),
               1, (SELECT NAME FROM V$DATABASE),
               (SELECT NAME FROM V$PDBS B WHERE A.CON_ID = B.CON_ID))
FROM CDB_STMT_AUDIT_OPTS A
WHERE USER_NAME IS NULL
AND PROXY_NAME IS NULL
AND SUCCESS = 'BY ACCESS'
AND FAILURE = 'BY ACCESS'
AND AUDIT_OPTION='CREATE SESSION';

```

Lack of results implies a finding.

Remediation:

To remediate this setting, execute the following SQL statement in either the non multi-tenant or container database, it does NOT need run in the pluggable.

```
AUDIT SESSION;
```

Additional Information:

Although listed in the documentation as a privilege audit, `audit CREATE SESSION` actually audits the `CONNECT` statement. This is evidenced by the undocumented `audit CONNECT` which has the same result as `audit SESSION` or `audit CREATE SESSION`. There is no system privilege named either `SESSION` or `CONNECT` (`CONNECT` is a role, not a system privilege). Also, it behaves as statement auditing rather than privilege auditing in that it audits all attempts to create a session, even if the user does not hold the `CREATE SESSION` system privilege.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2 Unified Auditing

The recommendations in this section should be followed if unified auditing is implemented.

Archive

6.2.1 Ensure the 'CREATE USER' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

The `CREATE USER` statement is used to create Oracle database accounts and assign database properties to them. Enabling this unified action audit causes logging of all `CREATE USER` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to create user accounts, whether successful or unsuccessful, may provide clues and forensic evidences about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all activities involving `CREATE USER`.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('CREATE USER' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ('CREATE USER' )
   AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL ;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
CREATE USER;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.2 Ensure the 'ALTER USER' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

The `ALTER USER` statement is used to change database users' password, lock accounts, and expire passwords. In addition, this statement is used to change database properties of user accounts such as database profiles, default and temporary tablespaces, and tablespace quotas. This unified audit action enables logging of all `ALTER USER` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to alter user accounts, whether successful or unsuccessful, may provide clues and forensic evidences about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all activities involving `ALTER USER`.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
  SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('ALTER USER' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ('ALTER USER' )
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
ALTER USER;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.3 Ensure the 'DROP USER' Audit Option Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

The `DROP USER` statement is used to drop Oracle database accounts and schemas associated with them. Enabling this unified action audit enables logging of all `DROP USER` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to drop user, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all activities involving `DROP USER`.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('DROP USER' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ('DROP USER' )
   AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
DROP USER;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.4 Ensure the 'CREATE ROLE' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

An Oracle database role is a collection or set of privileges that can be granted to users or other roles. Roles may include system privileges, object privileges or other roles. Enabling this unified audit action enables logging of all `CREATE ROLE` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to create roles, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving `CREATE ROLE`.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('CREATE ROLE' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ('CREATE ROLE' )
   AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
CREATE ROLE;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.5 Ensure the 'ALTER ROLE' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

An Oracle database role is a collection or set of privileges that can be granted to users or other roles. Roles may include system privileges, object privileges or other roles. The `ALTER ROLE` statement is used to change the authorization needed to enable a role. Enabling this unified action audit causes logging of all `ALTER ROLE` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to alter roles, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving alteration of roles.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('ALTER ROLE' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ( 'ALTER ROLE' )
   AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND  ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
ALTER ROLE;
```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.6 Ensure the 'DROP ROLE' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

An Oracle database role is a collection or set of privileges that can be granted to users or other roles. Roles may include system privileges, object privileges or other roles. Enabling this unified audit action enables logging of all `DROP ROLE` statements, successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to drop roles, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving `DROP ROLE`.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('DROP ROLE' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ('DROP ROLE' )
   AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
DROP ROLE;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.7 Ensure the 'GRANT' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

GRANT statements are used to grant privileges to Oracle database users and roles, including the most powerful privileges and roles typically available to the database administrators. Enabling this unified action audit enables logging of all **GRANT** statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

With unauthorized grants and permissions, a malicious user may be able to change the security of the database, access/update confidential data, or compromise the integrity of the database. Logging and monitoring of all attempts to grant system privileges, object privileges or roles, whether successful or unsuccessful, may provide forensic evidence about potential suspicious/unauthorized activities as well as privilege escalation activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving **GRANT**.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('GRANT' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ('GRANT' )
   AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND  ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
GRANT;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.8 Ensure the 'REVOKE' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

REVOKE statements are used to revoke privileges from Oracle database users and roles. Enabling this unified action audit enables logging of all **REVOKE** statements, successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to revoke system privileges, object privileges or roles, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving **REVOKE**.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('REVOKE' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ('REVOKE' )
   AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND  ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
REVOKE;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.9 Ensure the 'CREATE PROFILE' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database profiles are used to enforce resource usage limits and implement password policies such as password complexity rules and reuse restrictions. Enabling this unified action audit enables logging of all `CREATE PROFILE` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to create profiles, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving creation of database profiles.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
  SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('CREATE PROFILE' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ('CREATE PROFILE')
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
CREATE PROFILE;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.10 Ensure the 'ALTER PROFILE' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database profiles are used to enforce resource usage limits and implement password policies such as password complexity rules and reuse restrictions. Enabling this unified action audit enables logging of all `ALTER PROFILE` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to alter profiles, whether successful or unsuccessful, may provide forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving alteration of database profiles.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('ALTER PROFILE' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ('ALTER PROFILE' )
   AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
ALTER PROFILE;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.11 Ensure the 'DROP PROFILE' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database profiles are used to enforce resource usage limits and implement password policies such as password complexity rules and reuse restrictions. Enabling this unified action audit enables logging of all `DROP PROFILE` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to drop profiles, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving dropping database profiles.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
  SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('DROP PROFILE' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ( 'DROP PROFILE' )
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
DROP PROFILE;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.12 Ensure the 'CREATE DATABASE LINK' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database links are used to establish database-to-database connections to other databases. These connections are available without further authentication once the link is established. Enabling this unified action audit causes logging of all `CREATE DATABASE` and `CREATE PUBLIC DATABASE` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to create database links, whether successful or unsuccessful, may provide forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving creation of database links.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('CREATE DATABASE LINK' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ('CREATE DATABASE LINK' )
   AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
CREATE DATABASE LINK;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.13 Ensure the 'ALTER DATABASE LINK' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database links are used to establish database-to-database connections to other databases. These connections are always available without further authentication once the link is established. Enabling this unified action audit causes logging of all `ALTER DATABASE` and `ALTER PUBLIC DATABASE` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to alter database links, whether successful or unsuccessful, may provide forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving alteration of database links.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
  SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('ALTER DATABASE LINK' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ( 'ALTER DATABASE LINK' )
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND  ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
ALTER DATABASE LINK;
```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.14 Ensure the 'DROP DATABASE LINK' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database links are used to establish database-to-database connections to other databases. These connections are always available without further authentication once the link is established. Enabling this unified action audit causes logging of all `DROP DATABASE` and `DROP PUBLIC DATABASE`, whether successful or unsuccessful, statements issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to drop database links, whether successful or unsuccessful, may provide forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving dropping database links.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
  SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('DROP DATABASE LINK' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ( 'DROP DATABASE LINK' )
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
DROP DATABASE LINK;
```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.15 Ensure the 'CREATE SYNONYM' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

An Oracle database synonym is used to create an alternative name for a database object such as table, view, procedure, java object or even another synonym, etc. Enabling this unified action audit causes logging of all `CREATE SYNONYM` and `CREATE PUBLIC SYNONYM` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to create synonyms, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving creation of synonyms or public synonyms.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
  SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY( 'CREATE SYNONYM' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ( 'CREATE SYNONYM' )
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
CREATE SYNONYM;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.16 Ensure the 'ALTER SYNONYM' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

An Oracle database synonym is used to create an alternative name for a database object such as table, view, procedure, or java object, or even another synonym.

Enabling this unified action audit causes logging of all `ALTER SYNONYM` and `ALTER PUBLIC SYNONYM` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to alter synonyms, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving alteration of synonyms or public synonyms.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
  SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY('ALTER SYNONYM' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ( 'ALTER SYNONYM' )
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```


Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
ALTER SYNONYM;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.17 Ensure the 'DROP SYNONYM' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

An Oracle database synonym is used to create an alternative name for a database object such as table, view, procedure, or java object, or even another synonym. Enabling this unified action audit causes logging of all `DROP SYNONYM` and `DROP PUBLIC SYNONYM` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to drop synonyms, whether successful or unsuccessful, may provide forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving dropping of synonyms or public synonyms.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY( 'DROP SYNONYM' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ( 'DROP SYNONYM' )
   AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
DROP SYNONYM;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.18 Ensure the 'SELECT ANY DICTIONARY' Privilege Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

The `SELECT ANY DICTIONARY` system privilege allows the user to view the definition of all schema objects in the database. It grants `SELECT` privileges on the data dictionary objects to the grantees, including `SELECT` on `DBA_` views, `V$` views, `X$` views and underlying `SYS` tables such as `TAB$` and `OBJ$`. This privilege also allows grantees to create stored objects such as procedures, packages and views on the underlying data dictionary objects. Please note that this privilege does not grant `SELECT` on tables with password hashes such as `USER$`, `DEFAULT_PWD$`, `LINK$`, and `USER_HISTORY$`. Enabling this audit causes logging of activities that exercise this privilege.

Rationale:

Logging and monitoring of all attempts to access a data dictionary, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving access to the database.

Audit:

To assess this recommendation, execute the following SQL statement.

```

WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY( 'SELECT ANY DICTIONARY' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ( 'SELECT ANY DICTIONARY' )
   AND AUD.AUDIT_OPTION_TYPE = 'SYSTEM PRIVILEGE'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND  ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL ;

```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.











```

ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY
ADD
PRIVILEGES
SELECT ANY DICTIONARY;

```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.2.19 Ensure the 'AUDSYS.AUD\$UNIFIED' Access Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

The `AUDSYS.AUD$UNIFIED` holds audit trail records generated by the database. Enabling this audit action causes logging of all access attempts to the `AUDSYS.AUD$UNIFIED`, whether successful or unsuccessful, regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to access the `AUDSYS.AUD$UNIFIED`, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving access to this table.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY( 'AUD$UNIFIED' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT OBJECT_NAME
  FROM AUDIT_UNIFIED_POLICIES AUD
 WHERE AUD.AUDIT_OPTION IN ( 'ALL' )
   AND AUD.AUDIT_OPTION_TYPE = 'OBJECT ACTION'
   AND AUD.OBJECT_SCHEMA = 'AUDSYS'
   AND AUD.OBJECT_NAME = 'AUD$UNIFIED'
   AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.OBJECT_NAME
WHERE E.OBJECT_NAME IS NULL;
```

Lack of results implies compliance.

Remediation:

For Oracle 12.2 and above, execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
ALL on AUDSYS.AUD$UNIFIED;
```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.20 Ensure the 'CREATE PROCEDURE/FUNCTION/PACKAGE/PACKAGE BODY' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database procedures, function, packages, and package bodies, which are stored within the database, are created to perform business functions and access database as defined by PL/SQL code and SQL statements contained within these objects. Enabling this unified action audit causes logging of all `CREATE PROCEDURE`, `CREATE FUNCTION`, `CREATE PACKAGE` and `CREATE PACKAGE BODY` statements, successful or unsuccessful, statements issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to create procedures, functions, packages or package bodies, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving creation of procedures, functions, packages or package bodies.

Audit:

To assess this recommendation, execute the following SQL statement.

```

WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY(
  'CREATE PROCEDURE','CREATE FUNCTION','CREATE PACKAGE','CREATE PACKAGE BODY'
) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ('CREATE PROCEDURE','CREATE FUNCTION','CREATE
PACKAGE','CREATE PACKAGE BODY' )
  AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
  AND EXISTS (SELECT *
              FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
              WHERE ENABLED.SUCCESS = 'YES'
                AND ENABLED.FAILURE = 'YES'
                AND ENABLED.ENABLED_OPTION = 'BY USER'
                AND ENABLED.ENTITY_NAME = 'ALL USERS'
                AND  ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;

```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```

ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY
ADD
ACTIONS
CREATE PROCEDURE,
CREATE FUNCTION,
CREATE PACKAGE,
CREATE PACKAGE BODY;

```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.21 Ensure the 'ALTER PROCEDURE/FUNCTION/PACKAGE/PACKAGE BODY' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database procedures, functions, packages, and package bodies, which are stored within the database, are created to carry out business functions and access database as defined by PL/SQL code and SQL statements contained within these objects. Enabling this unified action audit causes logging of all `ALTER PROCEDURE`, `ALTER FUNCTION`, `ALTER PACKAGE` and `ALTER PACKAGE BODY` statements, successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Unauthorized alteration of procedures, functions, packages or package bodies may impact critical business functions or compromise integrity of the database. Logging and monitoring of all attempts, whether successful or unsuccessful, to alter procedures, functions, packages or package bodies may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving alteration of procedures, functions, packages or package bodies.

Audit:

To assess this recommendation, execute the following SQL statement.

```

WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY(
  'ALTER PROCEDURE','ALTER FUNCTION','ALTER PACKAGE','ALTER PACKAGE BODY' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ('ALTER PROCEDURE','ALTER FUNCTION','ALTER
PACKAGE','ALTER PACKAGE BODY' )
  AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
  AND EXISTS (SELECT *
               FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
               WHERE ENABLED.SUCCESS = 'YES'
                 AND ENABLED.FAILURE = 'YES'
                 AND ENABLED.ENABLED_OPTION = 'BY USER'
                 AND ENABLED.ENTITY_NAME = 'ALL USERS'
                 AND  ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;

```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.











```

ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY
ADD
ACTIONS
ALTER PROCEDURE,
ALTER FUNCTION,
ALTER PACKAGE,
ALTER PACKAGE BODY;

```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.2.22 Ensure the 'DROP PROCEDURE/FUNCTION/PACKAGE/PACKAGE BODY' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database procedures, functions, packages, and package bodies, which are stored within the database, are created to carry out business functions and access database as defined by PL/SQL code and SQL statements contained within these objects. Enabling this unified action audit causes logging of all `DROP PROCEDURE`, `DROP FUNCTION`, `DROP PACKAGE` or `DROP PACKAGE BODY` statements, successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts, whether successful or unsuccessful, to drop procedures, functions, packages or package bodies may provide forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving dropping procedures, functions, packages or package bodies.

Audit:

To assess this recommendation, execute the following SQL statement.

```

WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY(
'DROP PROCEDURE','DROP FUNCTION','DROP PACKAGE','DROP PACKAGE BODY' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
FROM AUDIT_UNIFIED_POLICIES AUD
WHERE AUD.AUDIT_OPTION IN ('DROP PROCEDURE','DROP FUNCTION','DROP
PACKAGE','DROP PACKAGE BODY' )
AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
AND EXISTS (SELECT *
FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
WHERE ENABLED.SUCCESS = 'YES'
AND ENABLED.FAILURE = 'YES'
AND ENABLED.ENABLED_OPTION = 'BY USER'
AND ENABLED.ENTITY_NAME = 'ALL USERS'
AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;

```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.











```

ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY
ADD
ACTIONS
DROP PROCEDURE,
DROP FUNCTION,
DROP PACKAGE,
DROP PACKAGE BODY;

```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.2.23 Ensure the 'ALTER SYSTEM' Action Audit is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

The `ALTER SYSTEM` privilege allows the user to change instance settings which could impact security posture, performance or normal operation of the database. Additionally, the `ALTER SYSTEM` privilege may be used to run operating system commands using undocumented Oracle functionality. Enabling this unified audit action causes logging of activities that involve the exercise of this privilege, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to execute `ALTER SYSTEM` statements, whether successful or unsuccessful, may provide forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities that involve `ALTER SYSTEM` statements.

Audit:

To assess this recommendation, execute the following SQL statement.

```

WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY( 'ALTER SYSTEM' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
FROM AUDIT_UNIFIED_POLICIES AUD
WHERE AUD.AUDIT_OPTION IN ( 'ALTER SYSTEM' )
AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
AND EXISTS (SELECT *
FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
WHERE ENABLED.SUCCESS = 'YES'
AND ENABLED.FAILURE = 'YES'
AND ENABLED.ENABLED_OPTION = 'BY USER'
AND ENABLED.ENTITY_NAME = 'ALL USERS'
AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL

```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.











```

ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY
ADD
ACTIONS
ALTER SYSTEM;

```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.			
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.			
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.			
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.			

6.2.24 Ensure the 'CREATE TRIGGER' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database triggers are executed automatically when specified conditions on the underlying objects occur. Trigger bodies contain the code, quite often to perform data validation, ensure data integrity/security or enforce critical constraints on allowable actions on data. Enabling this unified audit causes logging of all `CREATE TRIGGER` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to create triggers, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving creation of triggers.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
  SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY( 'CREATE TRIGGER' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ( 'CREATE TRIGGER' )
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND  ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
CREATE TRIGGER;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.25 Ensure the 'ALTER TRIGGER' Action Audit IS Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database triggers are executed automatically when specified conditions on the underlying objects occur. Trigger bodies contain the code, quite often to perform data validation, ensure data integrity/security or enforce critical constraints on allowable actions on data. Enabling this unified audit causes logging of all `ALTER TRIGGER` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Unauthorized alteration of triggers may impact critical business functions or compromise integrity/security of the database. Logging and monitoring of all attempts to alter triggers, whether successful or unsuccessful, may provide clues and forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving alteration of triggers.

Audit:

To assess this recommendation, execute the following SQL statement.

```

WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY( 'ALTER TRIGGER' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ( 'ALTER TRIGGER' )
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND  ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;

```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```

ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY
ADD
ACTIONS
ALTER TRIGGER;

```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 <u>Collect Audit Logs</u> Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 <u>Collect Detailed Audit Logs</u> Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 <u>Activate audit logging</u> Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 <u>Enable Detailed Logging</u> Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.26 Ensure the 'DROP TRIGGER' Action Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database triggers are executed automatically when specified conditions on the underlying objects occur. Trigger bodies contain the code, quite often to perform data validation, ensure data integrity/security or enforce critical constraints on allowable actions on data. Enabling this unified audit causes logging of all `DROP TRIGGER` statements, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to issue such statements.

Rationale:

Logging and monitoring of all attempts to drop triggers, whether successful or unsuccessful, may provide forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving dropping triggers.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
  SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY( 'DROP TRIGGER' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ( 'DROP TRIGGER' )
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
DROP TRIGGER;
```

Note: If you do not have `CIS_UNIFIED_AUDIT_POLICY`, please create one using the `CREATE AUDIT POLICY` statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

6.2.27 Ensure the 'LOGON' AND 'LOGOFF' Actions Audit Is Enabled (Automated)

Profile Applicability:

- Level 1 - RDBMS using Unified Auditing

Description:

Oracle database users log on to the database to perform their work. Enabling this unified audit causes logging of all `LOGON` actions, whether successful or unsuccessful, issued by the users regardless of the privileges held by the users to log into the database. In addition, `LOGOFF` action audit captures logoff activities. This audit action also captures logon/logoff to the open database by `SYSDBA` and `SYSOPER`.

Rationale:

Logging and monitoring of all attempts to logon to the database, whether successful or unsuccessful, may provide forensic evidence about potential suspicious/unauthorized activities. Any such activities may be a cause for further investigation. In addition, organization security policies and industry/government regulations may require logging of all user activities involving `LOGON` and `LOGOFF`.

Audit:

To assess this recommendation, execute the following SQL statement.

```
WITH
CIS_AUDIT(AUDIT_OPTION) AS
(
  SELECT * FROM TABLE( DBMSOUTPUT_LINESARRAY( 'LOGON','LOGOFF' ) )
),
AUDIT_ENABLED AS
( SELECT DISTINCT AUDIT_OPTION
  FROM AUDIT_UNIFIED_POLICIES AUD
  WHERE AUD.AUDIT_OPTION IN ( 'LOGON','LOGOFF' )
    AND AUD.AUDIT_OPTION_TYPE = 'STANDARD ACTION'
    AND EXISTS (SELECT *
                FROM AUDIT_UNIFIED_ENABLED_POLICIES ENABLED
                WHERE ENABLED.SUCCESS = 'YES'
                  AND ENABLED.FAILURE = 'YES'
                  AND ENABLED.ENABLED_OPTION = 'BY USER'
                  AND ENABLED.ENTITY_NAME = 'ALL USERS'
                  AND ENABLED.POLICY_NAME = AUD.POLICY_NAME)
)
SELECT C.AUDIT_OPTION
FROM CIS_AUDIT C
LEFT JOIN AUDIT_ENABLED E
ON C.AUDIT_OPTION = E.AUDIT_OPTION
WHERE E.AUDIT_OPTION IS NULL;
```

Lack of results implies compliance.

Remediation:

Execute the following SQL statement to remediate this setting.

```
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY  
ADD  
ACTIONS  
LOGON,  
LOGOFF;
```

Note: If you do not have CIS_UNIFIED_AUDIT_POLICY, please create one using the CREATE AUDIT POLICY statement.

CIS Controls:

Controls Version	Control	IG 1	IG 2	IG 3
v8	8.2 Collect Audit Logs Collect audit logs. Ensure that logging, per the enterprise's audit log management process, has been enabled across enterprise assets.	●	●	●
v8	8.5 Collect Detailed Audit Logs Configure detailed audit logging for enterprise assets containing sensitive data. Include event source, date, username, timestamp, source addresses, destination addresses, and other useful elements that could assist in a forensic investigation.		●	●
v7	6.2 Activate audit logging Ensure that local logging has been enabled on all systems and networking devices.	●	●	●
v7	6.3 Enable Detailed Logging Enable system logging to include detailed information such as an event source, date, user, timestamp, source addresses, destination addresses, and other useful elements.		●	●

7 Appendix: Establishing an Audit/Scan User

This document has been authored with the expectation that a user with appropriate permissions will be used to execute the queries and perform other assessment actions. While this could be accomplished by granting `DBA` privileges to a given user, the preferred approach is to create a dedicated user and grant only the specific permissions required to perform the assessments expressed herein. Doing this avoids the necessity for any user assessing the system to be granted `DBA` privileges.

NON-MULTI-TENANT Create this in non-container or Pluggable Database (if you do not have access to the container database).

The recommendations expressed in this document assume the presence of a role named `CISSCANROLE` and a user named `CISSCAN`. This role and user should be created by executing the following SQL statements, being careful to substitute an appropriate password for `<password>`.

```
-- Create the role
CREATE ROLE CISSCANROLE;
-- Grant necessary privileges to the role
GRANT CREATE SESSION TO CISSCANROLE ;
GRANT SELECT ON V_$PARAMETER TO CISSCANROLE ;
GRANT SELECT ON DBA_TAB_PRIVS TO CISSCANROLE ;
GRANT SELECT ON DBA_TABLES TO CISSCANROLE;
GRANT SELECT ON DBA_PROFILES TO CISSCANROLE ;
GRANT SELECT ON DBA_SYS_PRIVS TO CISSCANROLE ;
GRANT SELECT ON DBA_STMT_AUDIT_OPTS TO CISSCANROLE ;
GRANT SELECT ON DBA_ROLE_PRIVS TO CISSCANROLE ;
GRANT SELECT ON DBA_OBJ_AUDIT_OPTS TO CISSCANROLE ;
GRANT SELECT ON DBA_PRIV_AUDIT_OPTS TO CISSCANROLE ;
GRANT SELECT ON DBA_PROXIES TO CISSCANROLE ;
GRANT SELECT ON DBA_USERS TO CISSCANROLE ;
GRANT SELECT ON DBA_USERS_WITH_DEFPWD TO CISSCANROLE ;
GRANT SELECT ON DBA_DB_LINKS TO CISSCANROLE ;
GRANT SELECT ON DBA_ROLES TO CISSCANROLE;
GRANT SELECT ON V_$INSTANCE to CISSCANROLE ;
GRANT SELECT ON V_$DATABASE to CISSCANROLE ;
GRANT SELECT ON V_$PDBS to CISSCANROLE ;
GRANT SELECT ON V_$SYSTEM_PARAMETER TO CISSCANROLE ;
GRANT AUDIT_VIEWER TO CISSCANROLE ;
-- Create the user and assign the user to the role
CREATE USER CISSCAN IDENTIFIED BY <password>;
GRANT CISSCANROLE TO CISSCAN;
CREATE VIEW SYS.X_$KSPPI AS SELECT * FROM SYS.X_$KSPPI;
CREATE VIEW SYS.X_$KSPPCV AS SELECT * FROM SYS.X_$KSPPCV;
GRANT SELECT ON SYS.X_$KSPPI to CISSCANROLE;
GRANT SELECT ON SYS.X_$KSPPCV to CISSCANROLE;
```

MULTI-TENANT - Create this in the Container Database.

The recommendations expressed in this document assume the presence of a common role named `C##CISSCANROLE` and a common user named `C##CISSCAN`. This common role and common user should be created by executing the following SQL statements, being careful to substitute an appropriate password for `<password>`.

```
-- Create the role
CREATE ROLE C##CISSCANROLE CONTAINER=ALL;
-- Grant necessary privileges to the role
GRANT CREATE SESSION TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON V_$PARAMETER TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_TAB_PRIVS TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_TABLES TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_PROFILES TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_SYS_PRIVS TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_STMT_AUDIT_OPTS TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_ROLE_PRIVS TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_OBJ_AUDIT_OPTS TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_PRIV_AUDIT_OPTS TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_PROXIES TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_USERS TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_ROLES TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_USERS_WITH_DEFPWD TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON CDB_DB_LINKS TO C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON V_$INSTANCE to C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON V_$DATABASE to C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON V_$PDBS to C##CISSCANROLE CONTAINER=ALL;
GRANT SELECT ON V_$SYSTEM_PARAMETER TO C##CISSCANROLE CONTAINER=ALL;
GRANT AUDIT_VIEWER TO C##CISSCANROLE CONTAINER=ALL;
-- Create the user and assign the user to the role
CREATE USER C##CISSCAN IDENTIFIED BY <password> CONTAINER=ALL;
GRANT C##CISSCANROLE TO C##CISSCAN CONTAINER=ALL;
ALTER USER C##CISSCAN SET CONTAINER_DATA=ALL CONTAINER=CURRENT;
-- THE NEXT FOUR GRANTS MUST BE PERFORMED BY SYS:
CREATE VIEW SYS.X_$KSPPI AS SELECT * FROM SYS.X_$KSPPI;
CREATE VIEW SYS.X_$KSPPCV AS SELECT * FROM SYS.X_$KSPPCV;
GRANT SELECT ON SYS.X_$KSPPI to C##CISSCANROLE;
GRANT SELECT ON SYS.X_$KSPPCV to C##CISSCANROLE;
```

If you rely on similar roles and/or users, but they are not named `C##CISSCANROLE` or `C##CISSCAN`, or if you have roles or users named `C##CISSCANROLE` or `C##CISSCAN` intended to be used for different purposes, be aware that some recommendations herein explicitly name `C##CISSCANROLE` and `C##CISSCAN`.

These are:

- 4.4 Ensure No Users Are Assigned the `DEFAULT` Profile
- 5.1.3.2 Ensure 'ALL' Is Revoked from Unauthorized `GRANTEE` on `DBA_ %`

Note: Different organizations may wish to follow the instructions in this appendix in different ways. For more permanent or regular assessment scans, it may be acceptable to retain the `CISSCANROLE` and `CISSCAN` user indefinitely. However, in a consultative context where an assessment is perhaps run at the outset of the consulting engagement and again closer to the end, after any remediation has been performed, the `CISSCANROLE` role and `CISSCAN` user may be dropped. Such a decision is ultimately left up to the implementing organization.

Archive

8 Appendix: Establishing a Unified Audit Policy

This document has been authored with the expectation that an audit policy has been created for Unified Auditing. If you do not already have a Unified Audit Policy, you can use this as an example for your database.

NON-MULTI-TENANT Create this in non-container or Pluggable Database (if you do not have access to the container database).

```
-- Create policy and add actions
CREATE AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY PRIVILEGES CREATE USER;
```

MULTI-TENANT Create this in the container database.

```
CREATE AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY PRIVILEGES CREATE USER
CONTAINER=ALL;
```

All of the rest of the commands can be run in either container or pluggable databases.

```
AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS ALTER USER;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS DROP USER;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS CREATE ROLE;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS ALTER ROLE;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS DROP ROLE;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS GRANT;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS REVOKE;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS CREATE PROFILE;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS ALTER PROFILE;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS DROP PROFILE;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS CREATE DATABASE LINK;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS ALTER DATABASE LINK;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS DROP DATABASE LINK;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS CREATE SYNONYM;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS ALTER SYNONYM;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS DROP SYNONYM;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD PRIVILEGES SELECT ANY
DICTIONARY;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS ALL ON
AUDSYS.AUD$UNIFIED;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS CREATE PROCEDURE,
CREATE FUNCTION, CREATE PACKAGE, CREATE PACKAGE BODY;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS ALTER PROCEDURE,
ALTER FUNCTION, ALTER PACKAGE, ALTER PACKAGE BODY;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS DROP PROCEDURE, DROP
FUNCTION, DROP PACKAGE, DROP PACKAGE BODY;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS ALTER SYSTEM;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS CREATE TRIGGER;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS ALTER TRIGGER;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS DROP TRIGGER;
ALTER AUDIT POLICY CIS_UNIFIED_AUDIT_POLICY ADD ACTIONS LOGON, LOGOFF;
```

Note: Different organizations may wish to follow the instructions in this appendix in different ways. Such a decision is ultimately left up to the implementing organization for example, you may wish to have different unified auditing policies.

Appendix: Summary Table

CIS Benchmark Recommendation		Set Correctly	
		Yes	No
1	Oracle Database Installation and Patching Requirements		
1.1	Ensure the Appropriate Version/Patches for Oracle Software Is Installed (Manual)	<input type="checkbox"/>	<input type="checkbox"/>
2	Oracle Parameter Settings		
2.1	Listener Settings		
2.1.1	Ensure 'SECURE_CONTROL_<listener_name>' Is Set In 'listener.ora' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.1.2	Ensure 'extproc' Is Not Present in 'listener.ora' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.1.3	Ensure 'ADMIN_RESTRICTIONS_<listener_name>' Is Set to 'ON' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.1.4	Ensure 'SECURE_REGISTER_<listener_name>' Is Set to 'TCPS' or 'IPC' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2	Database Settings		
2.2.1	Ensure 'AUDIT_SYS_OPERATIONS' Is Set to 'TRUE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.2	Ensure 'AUDIT_TRAIL' Is Set to 'DB', 'XML', 'OS', 'DB,EXTENDED', or 'XML,EXTENDED' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.3	Ensure 'GLOBAL_NAMES' Is Set to 'TRUE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.4	Ensure 'OS_ROLES' Is Set to 'FALSE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.5	Ensure 'REMOTE_LISTENER' Is Empty (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.6	Ensure 'REMOTE_LOGIN_PASSWORDFILE' Is Set to 'NONE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>

CIS Benchmark Recommendation		Set Correctly	
		Yes	No
2.2.7	Ensure 'REMOTE_OS_AUTHENT' Is Set to 'FALSE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.8	Ensure 'REMOTE_OS_ROLES' Is Set to 'FALSE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.9	Ensure 'SEC_CASE_SENSITIVE_LOGON' Is Set to 'TRUE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.10	Ensure 'SEC_MAX_FAILED_LOGIN_ATTEMPTS' Is '3' or Less (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.11	Ensure 'SEC_PROTOCOL_ERROR_FURTHER_ACTION' Is Set to '(DROP,3)' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.12	Ensure 'SEC_PROTOCOL_ERROR_TRACE_ACTION' Is Set to 'LOG' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.13	Ensure 'SEC_RETURN_SERVER_RELEASE_BANNER' Is Set to 'FALSE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.14	Ensure 'SQL92_SECURITY' Is Set to 'TRUE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.15	Ensure '_trace_files_public' Is Set to 'FALSE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.16	Ensure 'RESOURCE_LIMIT' Is Set to 'TRUE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.2.17	Ensure 'PDB_OS_CREDENTIAL' is NOT null (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.3	SQLNET.ORA Settings		
2.3.1	Ensure 'ENCRYPTION_SERVER' Is Set to 'REQUIRED' (Automated) (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
2.3.2	Ensure 'SQLNET.CRYPTO_CHECKSUM_SERVER' Is Set to 'REQUIRED' (Automated) (Automated)	<input type="checkbox"/>	<input type="checkbox"/>

CIS Benchmark Recommendation		Set Correctly	
		Yes	No
3	Oracle Connection and Login Restrictions		
3.1	Ensure 'FAILED_LOGIN_ATTEMPTS' Is Less than or Equal to '5' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
3.2	Ensure 'PASSWORD_LOCK_TIME' Is Greater than or Equal to '1' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
3.3	Ensure 'PASSWORD_LIFE_TIME' Is Less than or Equal to '90' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
3.4	Ensure 'PASSWORD_REUSE_MAX' Is Greater than or Equal to '20' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
3.5	Ensure 'PASSWORD_REUSE_TIME' Is Greater than or Equal to '365' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
3.6	Ensure 'PASSWORD_GRACE_TIME' Is Less than or Equal to '5' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
3.7	Ensure 'PASSWORD_VERIFY_FUNCTION' Is Set for All Profiles (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
3.8	Ensure 'SESSIONS_PER_USER' Is Less than or Equal to '10' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
3.9	Ensure 'INACTIVE_ACCOUNT_TIME' Is Less than or Equal to '120' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
4	Users		
4.1	Ensure All Default Passwords Are Changed (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
4.2	Ensure All Sample Data And Users Have Been Removed (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
4.3	Ensure 'DBA_USERS.AUTHENTICATION_TYPE' Is Not Set to 'EXTERNAL' for Any User (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
4.4	Ensure No Users Are Assigned the 'DEFAULT' Profile (Automated)	<input type="checkbox"/>	<input type="checkbox"/>

CIS Benchmark Recommendation		Set Correctly	
		Yes	No
4.5	Ensure 'SYS.USER\$MIG' Has Been Dropped (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
4.6	Ensure No Public Database Links Exist (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5	Privileges & Grants & ACLs		
5.1	Excessive Table, View and Package Privileges		
5.1.1	Public Privileges		
5.1.1.1	Ensure 'EXECUTE' is revoked from 'PUBLIC' on "Network" Packages (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.1.1.2	Ensure 'EXECUTE' is revoked from 'PUBLIC' on "File System" Packages (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.1.1.3	Ensure 'EXECUTE' is revoked from 'PUBLIC' on "Encryption" Packages (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.1.1.4	Ensure 'EXECUTE' is revoked from 'PUBLIC' on "Java" Packages (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.1.1.5	Ensure 'EXECUTE' is revoked from 'PUBLIC' on "Job Scheduler" Packages (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.1.1.6	Ensure 'EXECUTE' is revoked from 'PUBLIC' on "SQL Injection Helper" Packages (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.1.1.7	Ensure 'EXECUTE' is revoked from 'PUBLIC' on "DBMS_CREDENTIAL" Package (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.1.2	Non-Default Privileges		
5.1.2.1	Ensure 'EXECUTE' is not granted to 'PUBLIC' on "Non-default" Packages (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.1.3	Other Privileges		
5.1.3.1	Ensure 'ALL' Is Revoked from Unauthorized 'GRANTEE' on 'AUD\$' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>

CIS Benchmark Recommendation		Set Correctly	
		Yes	No
5.1.3.2	Ensure 'ALL' Is Revoked from Unauthorized 'GRANTEE' on 'DBA_%' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.1.3.3	Ensure 'ALL' Is Revoked on 'Sensitive' Tables (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2	Excessive System Privileges		
5.2.1	Ensure '%ANY%' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.2	Ensure 'DBA_SYS_PRIVS.%' Is Revoked from Unauthorized 'GRANTEE' with 'ADMIN_OPTION' Set to 'YES' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.3	Ensure 'EXECUTE ANY PROCEDURE' Is Revoked from 'OUTLN' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.4	Ensure 'EXECUTE ANY PROCEDURE' Is Revoked from 'DBSNMP' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.5	Ensure 'SELECT ANY DICTIONARY' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.6	Ensure 'SELECT ANY TABLE' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.7	Ensure 'AUDIT SYSTEM' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.8	Ensure 'EXEMPT ACCESS POLICY' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.9	Ensure 'BECOME USER' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.10	Ensure 'CREATE PROCEDURE' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.11	Ensure 'ALTER SYSTEM' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>

CIS Benchmark Recommendation		Set Correctly	
		Yes	No
5.2.12	Ensure 'CREATE ANY LIBRARY' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.13	Ensure 'CREATE LIBRARY' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.14	Ensure 'GRANT ANY OBJECT PRIVILEGE' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.15	Ensure 'GRANT ANY ROLE' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.2.16	Ensure 'GRANT ANY PRIVILEGE' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.3	Excessive Role Privileges		
5.3.1	Ensure 'SELECT_CATALOG_ROLE' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.3.2	Ensure 'EXECUTE_CATALOG_ROLE' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.3.3	Ensure 'DBA' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
5.3.4	Ensure AUDIT_ADMIN' Is Revoked from Unauthorized 'GRANTEE' (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6	Audit/Logging Policies and Procedures		
6.1	Traditional Auditing		
6.1.1	Ensure the 'USER' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.2	Ensure the 'ROLE' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.3	Ensure the 'SYSTEM GRANT' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.4	Ensure the 'PROFILE' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>

CIS Benchmark Recommendation		Set Correctly	
		Yes	No
6.1.5	Ensure the 'DATABASE LINK' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.6	Ensure the 'PUBLIC DATABASE LINK' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.7	Ensure the 'PUBLIC SYNONYM' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.8	Ensure the 'SYNONYM' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.9	Ensure the 'DIRECTORY' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.10	Ensure the 'SELECT ANY DICTIONARY' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.11	Ensure the 'GRANT ANY OBJECT PRIVILEGE' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.12	Ensure the 'GRANT ANY PRIVILEGE' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.13	Ensure the 'DROP ANY PROCEDURE' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.14	Ensure the 'ALL' Audit Option on 'SYS.AUD\$' Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.15	Ensure the 'PROCEDURE' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.16	Ensure the 'ALTER SYSTEM' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.17	Ensure the 'TRIGGER' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.1.18	Ensure the 'CREATE SESSION' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>

CIS Benchmark Recommendation		Set Correctly	
		Yes	No
6.2	Unified Auditing		
6.2.1	Ensure the 'CREATE USER' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.2	Ensure the 'ALTER USER' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.3	Ensure the 'DROP USER' Audit Option Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.4	Ensure the 'CREATE ROLE' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.5	Ensure the 'ALTER ROLE' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.6	Ensure the 'DROP ROLE' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.7	Ensure the 'GRANT' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.8	Ensure the 'REVOKE' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.9	Ensure the 'CREATE PROFILE' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.10	Ensure the 'ALTER PROFILE' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.11	Ensure the 'DROP PROFILE' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.12	Ensure the 'CREATE DATABASE LINK' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.13	Ensure the 'ALTER DATABASE LINK' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>

CIS Benchmark Recommendation		Set Correctly	
		Yes	No
6.2.14	Ensure the 'DROP DATABASE LINK' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.15	Ensure the 'CREATE SYNONYM' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.16	Ensure the 'ALTER SYNONYM' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.17	Ensure the 'DROP SYNONYM' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.18	Ensure the 'SELECT ANY DICTIONARY' Privilege Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.19	Ensure the 'AUDSYS.AUD\$UNIFIED' Access Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.20	Ensure the 'CREATE PROCEDURE/FUNCTION/PACKAGE/PACKAGE BODY' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.21	Ensure the 'ALTER PROCEDURE/FUNCTION/PACKAGE/PACKAGE BODY' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.22	Ensure the 'DROP PROCEDURE/FUNCTION/PACKAGE/PACKAGE BODY' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.23	Ensure the 'ALTER SYSTEM' Action Audit is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.24	Ensure the 'CREATE TRIGGER' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.25	Ensure the 'ALTER TRIGGER' Action Audit IS Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
6.2.26	Ensure the 'DROP TRIGGER' Action Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>

CIS Benchmark Recommendation		Set Correctly	
		Yes	No
6.2.27	Ensure the 'LOGON' AND 'LOGOFF' Actions Audit Is Enabled (Automated)	<input type="checkbox"/>	<input type="checkbox"/>
7	Appendix: Establishing an Audit/Scan User		
8	Appendix: Establishing a Unified Audit Policy		

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Appendix: Change History

Date	Version	Changes for this version
Sep 20, 2021	1.0.0	Initial Release
Feb 25, 2021	1.1.0	AUDIT_ADMIN role should be also considered (Ticket 11665)
Aug 25, 2021	1.1.0	Excessive Privilege check for CREATE PROCEDURE does not user ORACLE_MAINTAINED (Ticket 13584)
May 9, 2022	1.1.0	Unable to compliant check 6.2.9 in Oracle Database 18c Benchmarks 1.0.0
Nov 16, 2022	1.1.0	6.2.23:Ensure the 'ALTER SYSTEM' Privilege Audit Is Enabled:Remediation command is not working (Ticket 14141)
Nov 16, 2022	1.1.0	Unified Audit Type should be STANDARD ACTION for 'ALTER SYSTEM' Audit Option (Ticket 13261)
Nov 16, 2022	1.1.0	Update Assessment SQL for SEC_PROTOCOL_ERROR_FURTHER_ACTION Control (2.2.11) (Ticket 13309)
Nov 16, 2022	1.1.0	Data-in-transit Encryption Parameters (Ticket 14098)
Nov 16, 2022	1.1.0	5.1.3.2 Ensure 'ALL' Is Revoked from Unauthorized 'GRANTEE' on 'DBA_%' -- Returning False Positives Due to Mistaken Wildcard in Query (Ticket 14535)
Nov 30, 2022	1.1.0	PDB_OS_CREDENTIAL (Ticket 11508)
Nov 30, 2022	1.1.0	Audit Procedure needs an update (Ticket 14026)
May 5, 2023	1.1.0	Schema only account feature in 18c (Ticket 10633)
Jun 9, 2023	1.1.0	Are we missing on handling of one use case (Ticket 15498)

Date	Version	Changes for this version
Jun 29, 2023	1.1.0	Update Published

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