

Lin Mingdao 2020011156 Week 4 HW3

### Exercise 1.3.1

1. let an  $\mathbb{R}$ -basis of  $\mathbb{C}^2$  be

$$T = \left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} i \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ i \end{bmatrix} \right\}.$$

$$\text{Then } \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} a+bi \\ c+di \end{bmatrix} = T \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}.$$

$$A \begin{bmatrix} x \\ y \end{bmatrix} = T \begin{bmatrix} 1 & 0 & -1 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 1 & 0 & -1 \end{bmatrix} \hat{x},$$

where  $\hat{x}$  is the coordinate of  $\begin{bmatrix} x \\ y \end{bmatrix}$  under basis  $T$ .

$$\text{let } B = \begin{bmatrix} 1 & 0 & -1 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 1 & -1 & 0 \\ 0 & 1 & 0 & -1 \end{bmatrix}.$$

$$\det(B - \lambda I) = (\lambda + 1)^3 (\lambda - 1)$$

$$\text{Ker}(B - I) = \text{span} \left( \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} \right).$$

$$\text{Ker}(B + I) = \text{span} \left( \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \\ 2 \\ 0 \end{pmatrix} \right)$$

$$\text{Ker}((B + I)^2) = \text{span} \left( \begin{bmatrix} 0 \\ 0 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 2 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 4 \\ 0 \\ 8 \end{bmatrix} \right).$$

note that  $\begin{bmatrix} 1 \\ 4 \\ 0 \\ 0 \end{bmatrix} \in \text{Ker}((B + I)^2) - \text{Ker}(B + I)$

$$\text{calculate: } (B + I) \begin{bmatrix} 1 \\ 4 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 2 \\ 0 \\ 4 \\ 4 \end{bmatrix}.$$

note that  $\begin{bmatrix} 2 \\ 0 \\ 4 \\ 4 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 2 \\ 0 \end{bmatrix}$ , linearly independent.

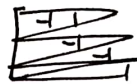
$$\text{let } X = \begin{bmatrix} 2 & 1 & 0 & 1 \\ 0 & 4 & 0 & 0 \\ 4 & 0 & 0 & 0 \\ 4 & 0 & 1 & 0 \end{bmatrix}.$$

$$\text{Then } B = X \begin{bmatrix} -1 & & & \\ & -1 & & \\ & & -1 & \\ & & & 1 \end{bmatrix} X^{-1}$$

$$\text{let } H = TX = \left\{ \begin{bmatrix} 2 \\ 4+4i \end{bmatrix}, \begin{bmatrix} 1+i \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right\}$$

so: under Basis  $H = \left\{ \begin{bmatrix} 2 \\ 4+4i \end{bmatrix}, \begin{bmatrix} 1+i \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right\}$ .

$A$  has Jordan normal Form  $\begin{bmatrix} -1 & & & \\ & -1 & & \\ & & -1 & \\ & & & 1 \end{bmatrix}$ .  $\square$



2. Pick a basis  $T = \{x^4, x^3, x^2, x, 1\}$ .

Then let  $p = T \hat{x}$ ,  $\hat{x}$  is the coordinate of  $p$  under basis  $T$ .

$$\text{Then: } A(T \hat{x}) = A(p) = A(TB \hat{x})$$

$$\text{where } B = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 4 & 0 & 0 & 0 & 0 \\ 0 & 3 & 0 & 1 & 0 \\ 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \end{bmatrix}$$

$$\det(B - \lambda I) = -(\lambda - 1)(\lambda + \sqrt{2})(\lambda - \sqrt{2})\lambda^2$$

$$\text{Ker}(B) = \text{span} \left( \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} \right)$$

$$\text{Ker}(B^2) = \text{span} \left( \begin{bmatrix} -4 \\ -6 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 1 \end{bmatrix} \right)$$

note that:  $\begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \in \text{Ker}(B^2) - \text{Ker}(B)$

$$\text{Then: } B \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 4 \\ 0 \\ 2 \\ 2 \end{bmatrix} =: \vec{x}_1$$

$$\text{let } \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} =: \vec{x}_2$$

$$\text{Ker}(B - I) = \text{span} \left( \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \right) =: \vec{x}_3$$

$$\text{Ker}(B - \sqrt{2}I) = \text{span} \left( \begin{bmatrix} 0 \\ \sqrt{2}-1 \\ 2-\sqrt{2} \\ 0 \\ \sqrt{2} \end{bmatrix} \right) =: \vec{x}_4$$

$$\text{Ker}(B + \sqrt{2}I) = \text{span} \left( \begin{bmatrix} 0 \\ -\sqrt{2}-1 \\ 2+\sqrt{2} \\ 0 \\ -\sqrt{2} \end{bmatrix} \right) =: \vec{x}_5$$

$$\text{let } X = \begin{bmatrix} \vec{x}_1 & \vec{x}_2 & \vec{x}_3 & \vec{x}_4 & \vec{x}_5 \end{bmatrix}.$$

$$\text{let } H = TX.$$

$$\text{Then: } B = X \begin{bmatrix} 0 & 1 \\ 0 & 0 \\ & \sqrt{2} & -\sqrt{2} \\ & & 1 & \sqrt{2} \end{bmatrix} X^{-1}$$

so under basis  $H =$

$$\{x^3 - 2x + 2, x^4 - 6x^2 + 2, 1, (\sqrt{2}-1)x^2 + (2-\sqrt{2})x + \sqrt{2},$$

$$(-\sqrt{2}-1)x^2 + (2+\sqrt{2})x - \sqrt{2}\}$$

$A$  has Jordan Normal Form

$$\begin{bmatrix} 0 & 1 \\ 0 & 0 \\ & \sqrt{2} & -\sqrt{2} \\ & & 1 & \sqrt{2} \end{bmatrix}$$

$$\therefore \det(A - \lambda I) = (\lambda^2 - a_1 a_4)(\lambda^2 - a_2 a_3)$$

③. **Situation 0**  $a_1, \dots, a_4$  are all non-zero.

the eigenvalues of  $A$  are  $\pm\sqrt{a_1 a_4}, \pm\sqrt{a_2 a_3}$ .

though  $a_1 a_4 = a_2 a_3$  may be true.  
but that doesn't matter.

$$\text{under basis } \left\{ \begin{bmatrix} 0 \\ \sqrt{a_2} \\ \sqrt{a_3} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{a_2} \\ -\sqrt{a_3} \\ 0 \end{bmatrix}, \begin{bmatrix} \sqrt{a_1} \\ 0 \\ 0 \\ \sqrt{a_4} \end{bmatrix}, \begin{bmatrix} \sqrt{a_1} \\ 0 \\ 0 \\ -\sqrt{a_4} \end{bmatrix} \right\}$$

$$\text{Jordan Normal Form is } \begin{bmatrix} \sqrt{a_2 a_3} & & & \\ & \sqrt{a_2 a_3} & & \\ & & \sqrt{a_1 a_4} & \\ & & & -\sqrt{a_1 a_4} \end{bmatrix}$$

### **Situation 1**

1.1  $a_1 = 0, a_2, a_3, a_4$  non-zero.

~~pick base~~ the eigenvalue  $0, \pm\sqrt{a_2 a_3}$ .

algebraic multiplicity of  $0$  is  $2$

while  $\dim \text{Ker}(A) = 1$ .

$$\text{Ker}(A^2) = \text{span} \left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} \right\}$$

$$\begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \in \text{Ker}(A^2) - \text{Ker}(A)$$

$$\Rightarrow A \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ a_4 \end{pmatrix}$$

$$\text{so under basis } \left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \\ a_4 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{a_2} \\ \sqrt{a_3} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ -\sqrt{a_2} \\ \sqrt{a_3} \\ 0 \end{bmatrix} \right\}$$

$$\text{Jordan Normal Form is } \begin{bmatrix} 0 & 1 \\ 0 & 0 \\ & \sqrt{a_2 a_3} & \\ & & -\sqrt{a_2 a_3} \end{bmatrix}$$

1.2 similarly,  $a_2 = 0; a_1, a_3, a_4$  non-zero

$$\text{basis: } \left\{ \begin{bmatrix} 0 \\ 0 \\ a_3 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} \sqrt{a_1} \\ 0 \\ 0 \\ \sqrt{a_4} \end{bmatrix}, \begin{bmatrix} \sqrt{a_1} \\ 0 \\ 0 \\ -\sqrt{a_4} \end{bmatrix} \right\}$$

$$\text{Jordan Normal Form } \begin{bmatrix} 0 & 1 \\ 0 & 0 \\ & \sqrt{a_1 a_4} & \\ & & -\sqrt{a_1 a_4} \end{bmatrix}$$

1.3  $a_3 = 0, a_1, a_2, a_4$  non-zero.

$$\text{basis: } \left\{ \begin{bmatrix} 0 \\ a_2 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} \sqrt{a_1} \\ 0 \\ 0 \\ \sqrt{a_4} \end{bmatrix}, \begin{bmatrix} \sqrt{a_1} \\ 0 \\ 0 \\ -\sqrt{a_4} \end{bmatrix} \right\}$$

Jordan Normal Form.

$$\begin{bmatrix} 0 & 1 \\ 0 & 0 \\ & \sqrt{a_1 a_4} & \\ & & -\sqrt{a_1 a_4} \end{bmatrix}$$

2.6  $a_2 = a_4 = 0$ .

basis  $\left\{ \begin{bmatrix} a_1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ a_3 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} \right\}$   
 Jordan Normal Form: Ditto

### Situation 3

3.1  $a_1 = a_2 = a_3 = 0, a_4 \neq 0$ . all eigenvalues = 0.

$A = \begin{bmatrix} 0 & 0 & 0 & 0 \\ a_4 & 0 & 0 & 0 \end{bmatrix}$ .  $A^2 = 0$ .

under basis  $\left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \\ a_4 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} \right\}$

Jordan Normal Form:  $\begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$

3.2  $a_1 = a_2 = a_4 = 0, a_3 \neq 0$ .

basis:  $\left\{ \begin{bmatrix} 0 \\ 0 \\ a_3 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \right\}$

Jordan Normal Form: Ditto.

3.3  $a_1 = a_3 = a_4 = 0, a_2 \neq 0$

basis:  $\left\{ \begin{bmatrix} 0 \\ a_2 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \right\}$

Jordan Normal Form: Ditto.

3.4  $a_2 = a_3 = a_4 = 0, a_1 \neq 0$ .

basis:  $\left\{ \begin{bmatrix} a_1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} \right\}$

Jordan Normal Form: Ditto.

### Situation 4

$a_1 = a_2 = a_3 = a_4 = 0$

$A = 0$ .

basis:  $\{\vec{e}_1, \vec{e}_2, \vec{e}_3, \vec{e}_4\}$ .

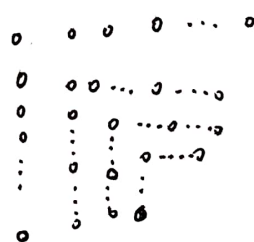
Jordan Normal Form:  $\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$   $\square$

### Exercise 1.3.2.

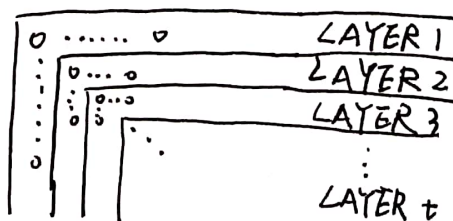
1. The two diagrams  $\Phi$  are transposition of the other.  
 (When zero content is omitted).

### 2. Proof.

Suppose  $n$  has a self-conjugate partition,  $\Phi$ .



because of its symmetric structure, it can always be divided into different layers.  
 as follows:

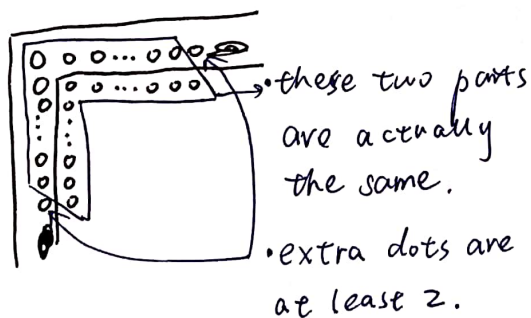


note the following facts:

① the number of dots in each layer is odd:

~~be~~ First, omit the dot on the main ~~diagonal~~ diagonal, then the symmetric parts have the same number of dots, ~~then~~ then add the dot on the diagonal. We got an odd number.

② ~~the inner~~ each inner layer's number of dots must be ~~at least~~ smaller than its ~~adjacent~~ adjacent outer layer by at least 2.



**I** From ①, ②, we conclude that all the number of dots in ~~each~~ each layer are odd numbers and are distinct,

And from outer layer to inner layer the number decrease strictly.

So every self-~~conjugate~~ conjugate diagram of dots induces a distinct odd partition.

**II**

Suppose  $n$  is partition to several distinct odd numbers  $\alpha_1, \dots, \alpha_k$ . we place dots, s.t. (from larger to small) number of ~~dot's~~ dots in Layer- $i$   $= \alpha_i$ . So every distinct odd partition induces a self-conjugate diagram of dots.

From **I**, **II**, we get a bijection. the number of self-conjugate partition  $=$  the number of distinct odd partition.  $\square$

3.



[1.4]  $a_4=0$ ,  $a_2, a_1, a_3$  non-zero.

$$\text{basis: } \left\{ \begin{bmatrix} a_1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{a_2} \\ \sqrt{a_3} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{a_2} \\ -\sqrt{a_3} \\ 0 \end{bmatrix} \right\}$$

Jordan Normal Form:

$$\begin{bmatrix} 0 & 1 & & \\ & 0 & & \\ & & \sqrt{a_2 a_3} & \\ & & & \sqrt{a_2 a_3} \end{bmatrix}$$

## Situation 2

[2.1]  $a_1=a_4=0$ ,  $a_2, a_3$  ~~non-zero~~ ~~zero~~

$$\text{basis: } \left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{a_2} \\ \sqrt{a_3} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{a_2} \\ -\sqrt{a_3} \\ 0 \end{bmatrix} \right\}$$

Jordan Normal Form:

$$\begin{bmatrix} 0 & & & \\ & 0 & & \\ & & \sqrt{a_2 a_3} & \\ & & & \sqrt{a_2 a_3} \end{bmatrix}$$

[2.2]  $a_2=a_3=0$ ,  $a_1, a_4$  non-zero.

$$\text{basis: } \left\{ \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} \sqrt{a_1} \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \sqrt{a_1} \\ 0 \\ 0 \\ 0 \end{bmatrix} \right\}$$

Jordan Normal Form

$$\begin{bmatrix} 0 & & & \\ & 0 & & \\ & & \sqrt{a_1 a_4} & \\ & & & \sqrt{a_1 a_4} \end{bmatrix}$$

[2.3]  $a_1=a_2=0$ , all eigenvalues are zero.  
 $a_3, a_4$  non-zero

$$A = \begin{bmatrix} 0 & 0 \\ a_3 & a_4 \end{bmatrix}, A^2 = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} = 0.$$

$$\text{Ker}(A) = \text{span} \left\{ \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \right\}$$

$\text{Ker}(A^2) = \text{domain of } A$ .

select:  $\begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} \in \text{Ker}(A^2) \setminus \text{Ker}(A)$

$$A \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ a_3 \\ 0 \end{bmatrix}, A \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ a_4 \end{bmatrix}.$$

So, under basis

$$\left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \\ a_4 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ a_3 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} \right\}$$

$$\text{Jordan Normal Form} \begin{bmatrix} 0 & 1 & & \\ & 0 & & \\ & & 0 & \\ & & & 0 \end{bmatrix}$$

[2.4]  $a_1=a_3=0$ ,  $a_2, a_4$  non-zero.

~~$$\text{basis: } \left\{ \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ a_2 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ a_4 \end{bmatrix} \right\}$$~~

$$\text{basis: } \left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \\ a_4 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ a_2 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \right\}$$

Jordan Normal Form: Ditto.

[2.5]  $a_4=a_3=0$ ,  $a_2, a_1$  non-zero:

$$\text{basis: } \left\{ \begin{bmatrix} a_1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ a_2 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \right\}$$

Jordan Normal Form: Ditto.