# Self-Organizing Super-Peer Networks

Paweł Garbacki, Dick H. J. Epema, and Maarten van Steen

Abstract-Super-peer architectures exploit the heterogeneity of nodes in a peer-to-peer (P2P) network by assigning additional responsibilities to higher-capacity nodes. In the design of a superpeer network for file sharing, several issues have to be addressed: how client peers are related to super-peers, how super-peers locate files, how the load is balanced among the super-peers, and how the system deals with node failures. In this paper we introduce a self-organizing super-peer network architecture (SOSPNET) that solves these issues in a fully decentralized manner. SOSPNET maintains a super-peer network topology that reflects the semantic similarity of peers sharing content interests. Super-peers maintain semantic caches of pointers to files which are requested by peers with similar interests. Client peers, on the other hand, dynamically select super-peers offering the best search performance. We show how this simple approach can be employed not only to optimize searching, but also to solve generally difficult problems encountered in P2P architectures such as load balancing and fault tolerance. We evaluate SOSPNET using a model of the semantic structure derived from an 8-month traces of two large file-sharing communities. The obtained results indicate that SOSPNET achieves close-tooptimal file search performance, quickly adjusts to changes in the environment (node joins and leaves), survives even catastrophic node failures, and efficiently distributes the system load taking into account peer capacities.

*Index Terms*— peer-to-peer, super-peer architectures, semantic clustering, self-organizing systems.

#### I. INTRODUCTION

significant amount of work has been done in the field of optimizing the performance and reliability of content sharing peer-to-peer (P2P) networks [32], [39]. Among the proposed optimizations, the concept of leveraging the heterogeneity of peers by exploiting high-capacity nodes in the system design has proven to have great potential [49]. The resulting architectures break the symmetry of pure P2P systems by assigning additional responsibilities to high-capacity nodes called *super-peers*. In a super-peer network, a super-peer acts as a server to *client* (ordinary, weak) peers. Weak peers submit queries to their superpeers and receive results from them. Super-peers are connected to each other by an overlay network of their own, submitting and answering requests on behalf of the weak peers.

Several protocols have been proposed to exploit superpeers [33], [34], [48]<sup>1</sup>. We add to this work the design of a super-peer network capable of optimizing relationships between peers taking into account their content interests as deduced from their (possibly changing) behavior. We call our architecture the *Self-Organizing Super-Peer Network* (SOSPNET) because the relationships between peers are discovered, maintained, and exploited automatically, without any need for user intervention or explicit mechanisms.

P. Garbacki and Dick H.J. Epema are with the Delft University of Technology.

M. van Steen is with the Vrije Universiteit Amsterdam.

<sup>1</sup>MVS: Some obscure references. Aren't there better ones? Searching scholar.google already gave better refs.

While most of the interest of the research community is still focused on exploiting static properties of shared data [38], [43], [50]<sup>2</sup>, the first protocols utilizing patterns in dynamic peer behavior have recently been proposed [9], [12], [44], [45], [47]. Such patterns in peer behavior have been reported by several measurement studies [20]-[22], [24], which have revealed correlations between the search requests made by users of popular P2P systems. It was observed that by grouping peers interested in similar files and routing their search requests within these groups that the performance of locating content can be greatly improved [17], [29]. The semantic relationships between peers and files can be discovered relatively easily [9], [47]. The biggest challenge is, thus, to build an architecture that maintains and exploits the discovered semantic structure. In this paper we present the design and evaluation of a P2P architecture that combines the homogeneity of peer interests with the heterogeneity of peer capacities to solve the problem of efficient peer relationship management.

The design of our self-organizing super-peer network is guided by the following set of requirements. The self-organization property of SOSPNET requires that the system is able to discover and exploit the semantic structure present in the network no matter what the initial topology is. A new peer joining the network has no knowledge about the system and is connected to a set of randomly selected nodes. The longer a peer stays in the system, the more information it can collect and exploit for improving the performance of its searches. The time that it takes a new peer to achieve its optimal performance should be minimized.

SOSPNET uses two-level semantic caches deployed at both the super-peer and the weak-peer level to maintain relationships between related peers and files. The cache maintained by a super-peer contains references to those files which were recently requested by its weak peers, while the cache of a weak peer stores references to those super-peers that satisfied most of its requests. We propose a novel *mixed* caching policy that combines the advantages of traditional least-frequently used (LFU) and leastrecently used (LRU) policies to improve the cache hit rates for less popular files. Furthermore, SOSPNET incorporates in its design a mechanism for balancing the load between super-peers. Load balancing is fully integrated with the content search algorithm and does not require any additional information exchange between super-peers nor a separate, external control component. The load balancing decisions are made independently by individual superpeers based on the local information.

We also introduce a model of a P2P system with semantic relations between peers and files based on an 8-month-long measurement of a large P2P network. From the model we derive a bound on the performance of a super-peer network using semantic caches. In a series of simulations we show that the performance of SOSPNET is very close to the theoretical bound. In addition

 ${}^2\mathbf{MVS}$ : I don't believe this is true. In fact, the DHT community is fading out.

to the performance aspects, we evaluate in our simulations the fault tolerance, the clustering properties, and the load-balancing capabilities of SOSPNET. Finally, we compare SOSPNET with alternative architectures, assess its responsiveness to peer joins and leaves, and measure the time needed to find an optimal set of neighbors for each peer, which all helps to understand how the system would perform in a real environment.

The rest of the paper is organized as follows. In Section II we specify the problem domain and scope of the presented system. Section III describes in detail the architecture of our self-organizing super-peer network. Section IV introduces a model of P2P networks with semantic relationships between peers and files based on real-world traces. This model is further used in Section V to evaluate the performance of our architecture. The paper concludes in Section VI by exploring some opportunities for future work.

#### II. ORGANIZING PEER RELATIONSHIPS

The vast majority of mechanisms optimizing different performance aspects of P2P networks rely in one way or another on organizing the relations between peers. The relationships are organized by defining for each peer the set of other peers, called the *neighbors*, it interacts with.

In symmetric P2P networks such as Gnutella [2] and Freenet [14], any two peers are potential neighbors. In hybrid approaches such as Napster [4], all peers have a single neighbor — a central server that keeps information on all peers and responds to requests for that information. In super-peer networks [49] such as Kazaa [3], Gnutella ultrapeers [41], and Chord super-peers [33], neighbors are selected from the set of high-capacity peers called super-peers; low-capacity peers — the client peers — cannot become neighbors.

In this paper we aim at solving the problems of the existing super-peer networks related to the issue of establishing relationships between peers. Before presenting our approach we identify the weak points of existing super-peer architectures. Each of the popular super-peer protocols proposed in the literature, including Kazaa, Gnutella ultrapeers, and Chord super-peers, makes at least one of the following three assumptions:

- Every peer is assigned to a fixed, very small number (usually one) of super-peers. Consequently, super-peers become bottlenecks in terms of fault tolerance. Restoring the system structures such as routing tables back to a consistent state after a super-peer crash requires a considerable effort.
- 2) Peers are assigned to super-peers randomly and statically. The randomness of the assignment is explicit (as in Gnutella) or implicit (as in Chord, where the super-peer selection is based on peer identifiers, which are selected randomly). This static assignment does not adapt to the changes in the network structure or to peer characteristics (e.g., content interests).
- 3) The peer-to-super-peer assignment has the so-called *all-or-nothing* property. When a peer connects to a super-peer, the latter takes responsibility for all the content stored at the peer. Such an assignment does not catch the possible diversity of the peer's interests, and makes balancing the load among the super-peers difficult.

In the rest of this paper we show how to overcome all these limitations by introducing our self-organizing super-peer architecture, SOSPNET.

# III. ARCHITECTURE OF THE SELF-ORGANIZING SUPER-PEER NETWORK

In this section we present the SOSPNET system design. After a general overview of the SOSPNET architecture, we discuss in detail the employed data structures and protocols.

#### A. Architecture overview

The basic idea behind the system architecture proposed by us is simple and intuitive. Weak peers with similar interests are connected to the same super-peers. As a consequence, super-peers get many requests for the same files. The request locality suggests the usage of caches that store the results of recent searches. But not only super-peers are responsible for discovering semantic structure in the network. We also allow weak peers to collect statistics about the content indexed by the super-peers. Having this information, weak peers can make local decisions about which super-peers to connect to.

In our architecture, super-peers store the information about the location of the content recently requested by their weak peers. Weak peers, on the other hand, sort the super-peers known to them according to the number of positive responses to their queries, and prefer to connect to super-peers that have satisfied most of their requests.

To accelerate the process of grouping peers with similar interests under the same super-peers, we allow weak peers to exchange their lists of super-peers. More precisely, if a search succeeds, the requesting peer asks the peer that has the requested file for its list of top-ranked super-peers. This list is then merged with the list of super-peers known to the requesting peer. The intuition here is that if both peers were interested in the same file, then it is highly probable that they will share interest for more files in the future.

#### B. System model

The information stored at a node in our system depends on the type of this node. Each weak peer maintains a *super-peer cache* which contains the identities of super-peers (e.g., their IP addresses and port numbers). Each super-peer has a *file cache* of pointers to files stored at the peers. The relationships between SOSPNET peers are presented in Figure 1.

All items in the super-peer and file caches are assigned *priorities*, which are non-negative integer numbers. The priority determines the importance of a particular item, the higher the better. The initial priority assigned to a data item when it is added to the cache and the way the priority is modified upon a cache hit are determined by the *caching policy*. There are two situations when the priorities are taken into account. First, when the cache capacity is exceeded, the item with the lowest priority is removed. Second, the priorities are used for optimizing query routing. Details are presented in Section III-D.

The last element of Figure 1 that has not been mentioned until now is the network interconnecting the super-peers. We do not specify precisely which of the P2P protocols should be used here. We assume however, that this protocol can efficiently deal with frequent changes of the information stored at the super-peers. Additionally, we require that the probability that a search succeeds is high when the requested information is present at least at one of the super-peers. Examples of protocols satisfying these criteria are Gnutella and epidemic-based approaches such as SCAMP [18].

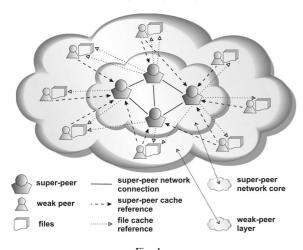


Fig. 1
The structure of SOSPNet.

The load-balancing mechanisms of SOSPNET require introducing some specific terminology. We assume that each superpeer specifies its capacity as a value in the interval (0,1], with higher values assigned to more capable peers. We do not make any further assumptions about the super-peer capacities, which may either reflect static node properties (e.g., CPU speed) or change dynamically based on the current situation in the system (e.g., available bandwidth). The particular method of computing the capacity values falls outside the scope of this paper. The current load of a super-peer is computed by counting the number of requests processed by the super-peer in a certain time frame called here the request history window. The size of the request history window is the same for all super-peers, thus making the current-load values consistent across all super-peers in the system. However, the values of the current load of the super-peers cannot be compared directly, as different super-peers may have different capacities. Instead, we compute for each super-peer the effective *load* by dividing the current load by the capacity of the super-peer. A super-peer controls its load simply by dropping some of the search requests. The fraction of accepted search requests among those sent to the super-peer is defined as the accepted load.

#### C. Two-level caching

The two-level caching architecture represented by super-peer and file caches allows to separate caching policies that can be optimized for a peer role. In SOSPNET, super-peer caches of the weak-peers and file caches of the super-peers are controlled according to different caching policies.

The priority of a super-peer in a super-peer cache is increased by one after every positive feedback provided by this super-peer. This leads to the *in-cache least frequently used (LFU)* [8] policy. The benefit of LFU is its inherent memory property — the priority of the super-peer is determined by the amount of successful feedbacks it has provided in the past. The priority changes slowly, so one positive response from an unknown super-peer will not discredit a well-proven super-peer that satisfied many requests in the past, which would be the case if one used a memoryless policy [28] such as *least recently used* (LRU).

The caching policy employed for file caches should meet some specific requirements. First, similarly to LRU, file caches of the super-peers have to adapt fast to the changing needs of the weak

peers. This is important, particularly in the initial stage of the super-peer lifetime, when it is contacted by random peers. Second, like LFU, the file caching policy should keep track of long-term file popularity. Addressing the specific requirements of file caches, we propose a *mixed* caching policy that combines the desired properties of LRU and LFU. According to the mixed policy, if the file pointer was already in the cache then the corresponding priority is increased by one as in LFU. Otherwise, the file pointer is added to the cache with its priority one higher than the highest priority of all other cached items as in LRU. The high initial priority of the inserted item and the slow alteration of the priorities of items in the cache result in a better caching performance for less popular files as we show in Section V.

#### D. Search protocol

Peers use the information collected during past searches to improve the performance of future requests. The contents of the super-peer and file caches are reorganized depending on the feedback provided by peers involved in the search process.

```
1 peer\_search(p : peer, f : file\_name):
       for s in p.S ordered according to decreasing priorities do
 3
           q \leftarrow \text{super-peer\_local\_search}(s, f)
          if super-peer_local_search succeeded then
 5
              t \leftarrow s
 6
              break
       if f was not found until now then
           s \leftarrow \text{super-peer in } p.S \text{ selected randomly with}
   probability proportional to its priority in p.S
           < q, t > \leftarrow \text{super-peer\_search}(s, f)
10
          if super-peer_search did not succeed then
              return ERROR "File f not found"
11
       if p.S contains t then
13
          increase the priority of t in p.S
14
       else
15
          insert t into p.S
16
       merge_super-peer_caches(p, q):
       return q
18
   super-peer_local_search(s : super-peer, f : file_name):
       if an entry < f, q > exists in cache s.F then
19
20
          increase the priority of < f, q > \text{in } s.F
21
          return q
22
       else
          return ERROR "File f not found"
23
24 super-peer_search(s, f):
       perform a search in the super-peer network to locate a
   super-peer t which has an entry \langle f, q \rangle in its cache
       if search succeeded then
26
27
          insert < f, q > into s.F
28
          return < q, t >
29
          return ERROR "File f not found"
31 merge\_super\_peer\_caches(p : peer, q : peer):
       for s in q.S do
32
          if p.S contains s then
              increase priority of s in p.S
34
              insert s into p.S
```

Fig. 2: Pseudo-code of the search protocol in SOSPNET.

The pseudo-code of the search algorithm employed in our selforganizing super-peer network presented in Figure 2 is divided into four subroutines. The super-peer cache of peer p is denoted by p.S, while the file cache of super-peer s is represented as s.F.

The main search algorithm is the function peer\_search. When a weak peer p looks for a file f, it first checks the file caches of the super-peers known to it (line 2). Note that p starts with the super-peers with the highest priorities. When the file is found (line 4), a pointer to super-peer s that knows the location of f is stored for future reference (line 5). However, if the file was not found with this method (line 7), the search request is forwarded to one of the super-peers in p's super-peer cache selected according to a random distribution biased towards super-peers with higher priority (line 8). This super-peer is further responsible for locating file f. If the search succeeds, a pair  $\langle q, t \rangle$ , where q is a peer that has f and t is a super-peer that has a pointer  $\langle f, q \rangle$  in its file cache, is returned to p (line 9). At this point the self-(re)organization process begins. This process is performed in two stages. First, peer p increases the priority of the super-peer t that satisfied the search request (lines 12-15). As a consequence, in the future p will direct more of its requests to t. Second, pintegrates the list of super-peers kept by the weak peer q with its own super-peer cache (line 16). We exploit here a simple, yet powerful principle called interest-based locality [42], which postulates that if p and q are interested in the same file, it is very likely that more of their requests will overlap. It is thus beneficial for both p and q to use the same set of super-peers.

The algorithm of the **super-peer\_local\_search** is straightforward. The search succeeds only if a pointer to file f is present in the file cache of super-peer s (line 19). Before returning the peer q that possesses file f (line 21), the priority of the corresponding cache item is increased (line 20).

The function **super-peer\_search** performs the search in the super-peer network (line 25). Upon receipt of the search results, a pointer to the requested file f and to the peer q holding file f are added to the file cache of s (line 27). The return value of the function (line 28) contains not only the peer q, but also the super-peer t that has a pointer to f in its file cache.

The last function presented in Figure 2, **merge\_super-peer\_caches**, takes two parameters representing two peers p and q. The super-peer cache of peer p is updated with the content of q's super-peer cache (lines 32 and 33). The functionality of merging the super-peer caches is not crucial for the system operation, but it accelerates the process of grouping weak peers under the same super-peers which improves the search performance.

#### E. Insert protocol

The insert protocol deployed by SOSPNET is very simple. Once in a while, each weak peer sends information on the files which it possesses to one of the super-peers in its super-peer cache. This super-peer is selected randomly with a probability proportional to its priority in the super-peer cache.

#### F. Balancing the load among super-peers

Load balancing is critical to the availability, accessibility, scalability, and throughput of a P2P system. Poor load balancing may gradually transform the super-peer network into a backbone network as was observed for Gnutella [11]. The idea here is to avoid overloading individual super-peers, which is the case when some super-peers are getting significantly more queries than others.

Before describing the load-balancing mechanism of SOSPNET, we first define the requirements of load balancing for a superpeer network in general. A minimal requirement is to prevent situations in which the load imposed on a super-peer exceeds its capacity limits. A more advanced load-balancing solution can further guarantee that the load assigned to each super-peer is proportional to its capacity. Finally, the performance overhead and implementation burden incurred by adding the load-balancing extensions should be low. In the remainder of this section we show how the above goals can be easily achieved by exploiting the properties of the self-organizing super-peer network.

At first sight the load-balancing problem that we face in the SOSPNET design seems to be more difficult than in other super-peer networks because the SOSPNET super-peers do not explicitly know their weak peers. Furthermore, in the SOSPNET architecture, the assignment of weak peers to super-peers is not fixed. As a consequence, the super-peers cannot transfer the weak peers between each other without the active cooperation of the weak-peer layer. Being aware of these limitations, we have built into the search protocol a mechanism that indirectly influences the set of super-peers contacted by the weak peers by discouraging directing requests to overloaded super-peers.

The basic idea behind the load-balancing mechanism of SOSP-NET relies on the observation that a super-peer may control the number of received requests by affecting its priority in the super-peer caches of weak peers. An overloaded super-peer can simply start dropping some of the requests, effectively decreasing its priority in the super-peer caches of the requesting peers. As the priority of a super-peer has a direct impact on the probability of that super-peer being selected as a request target, the load exercised on the overloaded super-peer will gradually decrease. Note that if a super-peer s refuses to service a request then eventually the client peer will ask another super-peer t to search for the file and to subsequently store a reference in its file cache. In other words, t will eventually take over some of the file references that were cached by s.

The requirement that the load experienced by a super-peer is proportional to its capacity involves relating the effective load of that super-peer to the loads of other super-peers in the system. To avoid introducing an independent load-information exchange protocol, we let super-peers gather load values of other nodes while performing the search.

The integration of the SOSPNET load-balancing functionality with the search protocol is presented in Figure 3. The function **super-peer\_local\_search** of Figure 2 is extended with lines 18.1 to 18.4, which control the fraction of requests that are handled by super-peer s. Only a fraction of  $s.accepted\_load$  randomly selected requests are accepted and processed as described in Section III-D. The remaining requests are dropped, forcing the requesters to decrease the priority of s. If a request is accepted, its timestamp is saved in the request history window denoted by s.W (line 18.4). Request timestamps are used later for computing the current load of the super-peer.

The value of the accepted load of super-peer s is updated every time s discovers another super-peer t during the invocation of **super-peer\_search** (line 26.1) by taking into account the load of t in the **update\_accepted\_load** function. The values of effective loads of s and t, denoted by  $s.effective\_load$  and  $t.effective\_load$ , respectively, are computed by dividing the number of requests in the request history windows of the two peers by their capacities

```
18 super-peer\_local\_search(s : super-peer, f : file\_name):
           \leftarrow random value from range (0,1)
18.1
         if r > s.accepted\_load then
18.2
             return ERROR "Super-peer s overloaded"
18.3
18.4
         add request timestamp to request history window s.W
         if an entry < f, q > exists in cache s.F then
 19
 24 super-peer_search(s, f):
 26
         if search succeeded then
            update_accepted_load(s, t)
26.1
             insert < f, q > into s.F
 27
 37 update_accepted_load(s : super-peer, t : super-peer):
         s.requests \leftarrow number of requests in window <math>s.W
 38
         t.requests \leftarrow \text{number of requests in window } t.W
 39
         s.effective\_load \leftarrow s.requests/s.capacity
 40
         t.effective\_load \leftarrow t.requests/t.capacity
 41
         \Delta \leftarrow (t.\textit{effective\_load} - s.\textit{effective\_load}) / (t.\textit{effective\_load})
 42
     +s.effective\_load)
         new\_accepted\_load \leftarrow s.accepted\_load + \Delta
 43
         if new\_accepted\_load > 1 then
 44
 45
             new\_accepted\_load \leftarrow 1
 46
         if new\_accepted\_load < 0 then
             new\_accepted\_load \leftarrow 0
 47
         s.accepted\_load \leftarrow
     \beta \cdot s.accepted\_load + (1 - \beta) \cdot new\_accepted\_load
```

Fig. 3: Pseudo-code of the super-peer load-balancing protocol in SOSPNET.

(lines 38 to 41). The imbalance between loads of s and t is then quantified by computing the relative difference  $\Delta$  between the effective loads (line 42), which is then used to compute the value of the parameter  $new\_accepted\_load$  of s (lines 43 to 47). Finally, the accepted load of s is updated by applying exponential smoothing [10] with weighting factor  $\beta \in (0,1)$  to the current value of the accepted load and  $new\_accepted\_load$  (line 48). We use exponential smoothing instead of just replacing the accepted loads with the new values to avoid drastic changes in the accepted loads, giving the system time to adapt to the new settings [16].

In one specific case the behavior of the load-balancing algorithm can be confusing. Let's assume that super-peer s is overloaded and that it has in its cache the pointer < f, q > to file f requested by p. The request will be forwarded to another superpeer, say t. Super-peer t will then perform a super-peer search, find s, store pointer to f in its own cache, but return < q, s > to p. As a consequence, peer p will increase the priority of s in its super-peer cache. This behavior is counterintuitive as p should be discouraged to contact s in the near future. However, the increase of the priority of s should be interpreted as a one-time tradeoff. If a different peer subsequently sends a request for file s to s0 to s1 super-peer s2 will satisfy the request from its local file cache. Our load-balancing algorithm has thus the highly desired property of replicating file pointers cached by the overloaded super-peers at lighter-loaded peers.

The load-balancing scheme that we presented here is simple yet powerful and extremely flexible. While many state-of-the-art load-balancing algorithms assume that all peers have equal capacities [26], [27], our self-organizing architecture can deal with arbitrary capacity values and even allows these values to be

changed during system operation. The load imbalance caused by a change of the parameters of the super-peers is automatically taken into account, and the system gradually adapts to the new circumstances. Because neither the weak peers nor the file pointers have to be explicitly reassigned from one super-peer to another, no complex overlay infrastructure such as *virtual servers* [37], [51] or *buckets* of file identifiers [7] needs to be introduced.

#### G. Discussion

The flexibility build into SOSPNET eliminates all three weak points of existing super-peer designs mentioned in Section II. First, by manipulating the size of its super-peer cache, a weak peer may decide to how many super-peers it is connected. The more connections maintained by the peer, the better is the resilience to crashes of multiple nodes.

Second, the problem of static peer-to-super-peer assignment is solved by the policy used for the super-peer caches. This policy prefers super-peers indexing content that is close to a user's interests. Possible changes in user interests or in the type of files cached at the super-peers result in restructuring the connections between peers.

Third, the all-or-nothing property is replaced with a property that we refer to as *partial responsibility*. The super-peers in our system index individually selected files rather than the entire sets of files stored at their weak peers. This type of architecture can deal with a situation in which a single weak peer has files of different semantic types. Pointers to these files can then be cached by different super-peers.

#### IV. PERFORMANCE MODEL

This section presents the data models that we use in evaluating the performance of SOSPNET. We start with introducing some terminology and notation. Then we describe the two datasets used in our experiments. Finally, we estimate the theoretical upper bound on the caching performance that can be achieved by SOSPNET.

#### A. Notation

It has been observed [17] that user content interests as well as file popularities in file sharing P2P networks are not independent. The similarities in user request patterns can be modeled by assigning semantic types to both files and peers.

We use the symbols D, U, and N to denote the total number of files (data items), of peers (users), and of semantic types in the system. The number of files and peers of (semantic) type  $n \in \{1,\ldots,N\}$  are denoted by  $d_n$  and  $u_n$ , respectively. Files of type n are numbered sequentially from 1 to  $d_n$ . Each peer periodically generates a request for a file, which is selected according to a distribution that depends on the peer's type only. We denote by p(m) the overall probability that a random peer requests a file of type m, and by p(m,k) the overall probability that a random peer asks for the k-th file of type m, for  $k=1,\ldots,d_m$ . Note that the distribution of p(m) can be computed from the values p(m,k) in the following way:

$$p(m) = \sum_{k=1}^{d_m} p(m, k).$$
 (1)

Further, we use the symbol  $p_n(m,k)$  to denote the probability that a peer of type n requests the k-th file of type m. We will define this probability in Section IV-B.

#### B. Models of the semantic structure

In our experiments we use four datasets to model file popularities. The first two of them are based on real-world traces, while the second two are created synthetically. The properties of the datasets are presented in Table I. There are two reasons for using both models. First, a broader spectrum of the simulation data increases the credibility of our results. Second, we use the opportunity to assess the usefulness of synthetic datasets in the evaluation of system designs based on the semantic paradigm. The validation is performed by comparing the behavior of SOSPNET with real and synthetic datasets.

1) Model based on real traces: Before presenting the method of computing the distributions p(m) and p(m,k) from the actual data traces, we describe how we have obtained these traces. For a period of eight months we have collected the download statistics provided by the suprnova.org [5] and piratebay.org [6] websites, which at the time of gathering the data were the most popular [25], [36] websites used for searching files in the BitTorrent [15] network. BitTorrent is currently the largest P2P network with over one third of the world's P2P traffic [35]. Each file registered at suprnova.org or piratebay.org is categorized by human volunteers called moderators. We treat the categories defined by the moderators as the semantic types.

each file registered at suprnova.org piratebay.org we were able to obtain the number of peers downloading this file. The fraction of downloaders for a file can be interpreted as the file popularity p(m,k). In order to reduce the influence of temporal interest localities such as flashcrowds [36] on the value of p(m, k), we compute for each file the average number of downloads observed during the whole measuring period. The average is obtained by dividing the total number of downloads of the file by the duration of the period in which the file was accessible for download. The distributions of the file popularities p(m,k) extracted from the suprnova.org and piratebay.org traces are presented in Figure 4(a) and Figure 4(b), respectively. The files are sorted by semantic type (see the third column of Table I).

Although collecting the access patterns for a particular file is possible, obtaining complete statistics about the content downloaded by a specific peer is infeasible. First, many users are behind NAT boxes which prevents us from discovering their peer IP addresses. Second, we cannot guarantee that a user is not using other websites to look for the files he is interested in. Consequently, the behavior of users needs to be modeled synthetically, taking, however, file popularities into account.

We propose the following formulas for  $u_n$  and  $p_n(m, k)$ :

$$u_n = p(n) \cdot U \tag{2}$$

$$p_n(m,k) = \begin{cases} (1-\alpha) \cdot p(m,k), & m \neq n, \\ \left[ (1-\alpha) + \frac{\alpha}{p(n)} \right] \cdot p(n,k), & m = n. \end{cases}$$
(3)

Eq. (2) says that the number of users of a certain semantic type is proportional to the popularity of this type. The parameter  $\alpha \in$ [0, 1] in Eq. (3) characterizes how strong the interest of users is for files of their own type. When  $\alpha$  equals 0, peers of all types behave indifferently, while at the other extreme with  $\alpha$  equal to 1, peers of type n request only files of type n.

Clearly, the values  $p_n(m,k)$  define valid probability distributions because the sum  $\sum_{m,k} p_n(m,k)$  equals 1 for every  $n \in$ 

$$\begin{split} &\sum_{m,k} p_n(m,k) = \sum_k p_n(n,k) + \sum_{m \neq n,k} p_n(m,k) = \\ &\sum_k \left[ (1-\alpha) + \frac{\alpha}{p(n)} \right] p(n,k) + \sum_{m \neq n,k} (1-\alpha) p(m,k) = \\ &\frac{\alpha}{p(n)} \sum_k p(n,k) + (1-\alpha) \sum_{m,k} p(m,k) = 1. \end{split}$$

In the above formulas, we use Eq. (1) and the fact that p(m, k) is a probability distribution, which implies that  $\sum_{m,k} p(m,k)$  equals

A very important property of our model of the semantic structure is that the frequency of queries to files generated by all the peers in the system follows the distribution p(m,k). To prove this fact we compute the probability that a randomly and uniformly chosen peer selects the k-th file of type m:

$$\sum_{n} \frac{u_n}{U} p_n(m,k) = \sum_{n} p(n) \cdot p_n(m,k) =$$

$$p(m) \left[ (1-\alpha) + \frac{\alpha}{p(m)} \right] p(m,k) + \sum_{n \neq m} p(n)(1-\alpha)p(m,k) =$$

$$\alpha p(m,k) + (1-\alpha)p(m,k) \sum_{n} p(n) = p(m,k).$$

In the above reasoning we make use of the fact that p(n) is a valid probability distribution, and so  $\sum_{n} p(n)$  equals 1.

2) Synthetic model: The synthetic model of the semantic structure which we use in our experiments was previously introduced in [19]. This model assumes that the numbers of files of each semantic type are the same, and that the distribution of the file popularity within one type, the file popularities without type partitioning, and the numbers of peers of each type follow Zipf's law. We note that most related studies have assumed a Zipf distribution (e.g., [13], [24], [31], [40]), with the notable exception of an evaluation of Kazaa [20] that tends to indicate that content popularity follows a different type of distribution.

The number of files and peers of each semantic type, and the request characteristics in the synthetic model are given by the following formulas:

$$u_n = \frac{U}{n \cdot H_N} \tag{4}$$

$$d_n = \frac{D}{n \cdot H_N} \tag{5}$$

$$p(m) = \frac{1}{m \cdot H_N} \tag{6}$$

$$p(m,k) = \frac{1}{m \cdot H_N} \cdot \frac{1}{k \cdot H_{d_m}} \tag{7}$$

$$p(m) = \frac{1}{m \cdot H_N}$$

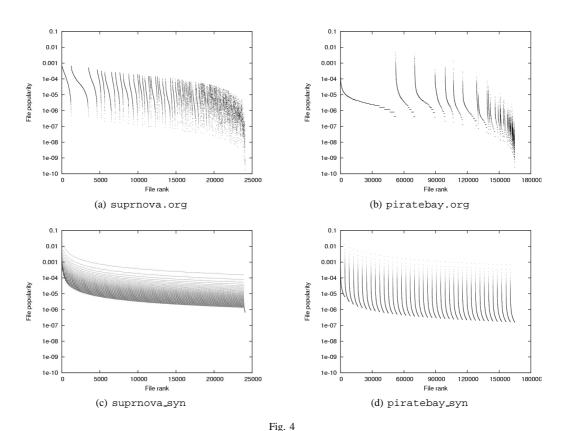
$$p(m,k) = \frac{1}{m \cdot H_N} \cdot \frac{1}{k \cdot H_{d_m}}$$

$$p(m,k) = \left\{ \frac{1}{k \cdot H_{d_m}} \frac{1-\alpha}{m} \cdot \frac{1}{Z}, \quad m \neq n, \\ \frac{1}{k \cdot H_{d_n}} \left(\alpha + \frac{1-\alpha}{n}\right) \cdot \frac{1}{Z}, \quad m = n, \end{cases}$$
(8)

where  $H_i = \sum_{j=1}^{i} 1/j$  is the *i*-th harmonic number, and Z is a normalizing constant chosen so that  $\sum_{m,k} p_n(m,k)$  equals 1 for  $n=1,\ldots,N$ . It can be shown that Z equals  $(1-\alpha)\cdot H_N+\alpha$ , independent of n.

Dataset name	Data collecting period	Number of semantic types	Number of files
suprnova.org	February 2004 – April 2004	198	24,081
piratebay.org	November 2005 – May 2006	40	164,821
suprnova_syn	_	198	24,081
piratebay_syn	_	40	164,821

TABLE I DETAILS OF THE FOUR DATASETS.



The file popularity distribution p(m,k) for the four datasets. The visible "tail" of the suprnova\_syn synthetic distribution is caused by the fact that the last semantic type is represented by more files than the other types in the dataset.

For the sake of comparison with the trace-based datasets, we generate two synthetic datasets which we shall further call suprnova\_syn and piratebay\_syn. The numbers of files and semantic types in the synthetic datasets are the same as in the corresponding trace-based datasets (see Table I). Figures 4(c) and 4(d) show the request frequencies per file in the suprnova\_syn and piratebay\_syn datasets, respectively.

#### C. Optimal caching performance

Having the formal description of the model of the semantic structure, we can compute the *optimal caching performance* of SOSPNET, defined as the expected cache hit rate when the arrangement of items in the caches is optimal. It is generally not obvious how to define the *optimality* of a particular arrangement of cached items. Here, we describe the optimality in terms of performance and fairness by considering *Pareto optimality* [23]. An arrangement of items in caches is Pareto optimal if it is not possible to modify the contents of the cache of one peer in such a way that the fraction of requests produced by this peer that can be satisfied by the super-peers in its super-peer cache increases,

while for all other peers this fraction does not decrease. Note that the optimality is determined by the arrangement of the items in the super-peer caches as the contents of the file caches are fully determined by the arrangements of items in the super-peer caches. To support this claim we note that the content of a file cache of a super-peer depends only on the set of weak-peers that send requests to this super-peer. A weak-peer contacts a specific super-peer only if the pointer to this super-peer is in the weak-peer's super-peer cache.

1) Existence of the optimum: Now we will prove that there always exists an arrangement of items in the super-peer caches that is optimal. Consider the file cache s.F of super-peer s, and denote by  $r_n(s)$  the fraction of all requests submitted to s that are issued by peers of type n. Assuming the Independent Reference Model [46] of requests<sup>3</sup> and a reasonable caching policy<sup>4</sup> at the file caches, the cache hit ratio of a peer of type n is non-decreasing function of  $r_n(s)$  — the more requests are produced by peers of

<sup>3</sup>**MVS:** Why is this a valid assumption? <sup>4</sup>**MVS:** What the heck is reasonable?

type n, the better cache s.F adapts to the needs of peers of this type.

We say that an arrangement of items in the super-peer caches is *structured* if the caches of all peers of the same semantic type are the same. Consider a non-optimal structured arrangement of items in the super-peer caches. Non-optimality of an arrangement implies that it is possible to modify a super-peer cache of one of the peers, say p, in such a way that two conditions hold. First, the fraction of requests produced by p that can be satisfied by the super-peers in p's super-peer cache increases. Second, for all other peers this fraction does not decrease.

A cache modification for a peer p of type n influences the values of the  $r_n(s)$  of some of the file caches at the super-peers. Removing any super-peer s from p's cache decreases (or does not influence)  $r_n(s)$ , and increases (or does not influence)  $r_m(s)$ , where m is different from n. Subsequently adding any superpeer s to p's cache has the opposite effect —  $r_n(s)$  increases (or does not change), and  $r_m(s)$  decreases (or does not change). Furthermore, if for some l,  $r_l(s)$  increased (decreased) after modifying p's cache, then it will also increase (decrease) when we perform the same modification to the cache in p', where p' is a peer of the same type as p. We showed earlier that the cache hit ratio of a peer of type l at super-peer s is a monotonic function of  $r_l(s)$ . We conclude that if a certain modification in a peer's super-peer cache improves the hit ratios at some peers and does not decrease the hit ratios at all other peers, then applying the same modification to the cache in a peer of the same type will improve the same hit ratios, and will not decrease the other.

We have just shown that if a certain modification of a superpeer cache of peer p improves the non-optimal, structured arrangement of super-peer caches, then by applying the same modification to the super-peer caches of all peers of the same type as p the arrangement can be improved by at least the same amount. Such a modification results in an arrangement that is again structured. There is, however, a finite number of (structured) arrangements, which means that we cannot endlessly improve. At some point we will end up with a structured arrangement which is optimal. Note that in the optimal arrangement, the super-peer caches of all weak-peers of the same semantic type are the same.

2) Upper bound: After proving its existence, we will provide an upper bound on the optimal caching performance. In an ideal situation, each weak peer p has its own "private" set of superpeers that cache pointers to files which are most likely to be requested by p. Let's assume for simplicity that the sizes of all super-peer caches in the system are equal to  $\sigma$ , and the sizes of all file caches are equal to  $\phi$ . Consequently, the super-peers of p can index in total at most  $\sigma \cdot \phi$  unique files. Now we only have to find the set of  $\sigma \cdot \phi$  files which are most likely to be requested by p. According to Eq. (3), the probability that the k-th file of type mis requested by p is  $p_n(m, k)$ , where n is the semantic type of p. We sort all values  $p_n(m,k)$  in a descending order:  $p_n(m_1,k_1) \ge$  $p_n(m_2, k_2) \ge \cdots \ge p_n(m_D, k_D), (m_i, k_i) \ne (m_j, k_j) \text{ for } i \ne j.$ The probability that a file requested by p is satisfied by one of its super-peers, denoted by ocp(n) (optimal caching performance of a peer of type n) can be expressed as

$$ocp(n) = \frac{\sum_{i=1}^{\sigma \cdot \phi} p_n(m_i, k_i)}{\sum_{i=1}^{D} p_n(m_i, k_i)} = \sum_{i=1}^{\sigma \cdot \phi} p_n(m_i, k_i).$$
(9)

The optimal caching performance of the whole system, ocp, is the weighted average of the values ocp(n), where the weights

represent the numbers of peers  $u_n$  of type n:

$$ocp = \sum_{n} \frac{u_n}{U} \cdot ocp(n) = \sum_{n} p(n) \cdot ocp(n).$$
 (10)

The optimal caching performance in an ideal situation quantified in Eq. (10) provides an upper bound on the optimal caching performance value in any (non-ideal) situation.

#### V. PERFORMANCE EVALUATION

We have built a discrete-time simulator to evaluate our selforganizing super-peer network. In the simulations we use the model of the semantic structure introduced in Section IV.

#### A. Experimental setup

The simulated system consists of 100,000 peers and 1,000 super-peers. The selection of the number of peers relative to the number of super-peers is guided by what was learned from the study of Kazaa [30] — the most popular super-peer network ever deployed, in which the peer-to-super-peer ratio is around 100. The sets of files and semantic types are obtained with the method described in Sections IV-B.1 and IV-B.2. The numbers of peers of a particular semantic type follow the distribution defined by Eq. (2). The value of the parameter  $\alpha$  is set to 0.8. The size of the super-peer cache in any weak peer is 10 while the size of the file cache in any super-peer is 1,000. Before the simulation starts, all the super-peer caches have been filled with the identities of super-peers selected randomly and uniformly from the set of all super-peers. The file caches are initially empty. Each peer initially stores 10 files selected randomly, taking into account the file popularities and peer type. The super-peers are organized into a Gnutella-like network. Simple request flooding is employed for locating files that are not found in the local file caches.

The simulation is executed in phases. In each phase, every weak peer requests one file. The target of the request is determined by the distributions  $p_n(m,k)$ . Although in SOSPNET searching and load balancing are integrated in one protocol, in the experiments we evaluate these two mechanisms separately by disabling load balancing during all experiments but the last one.

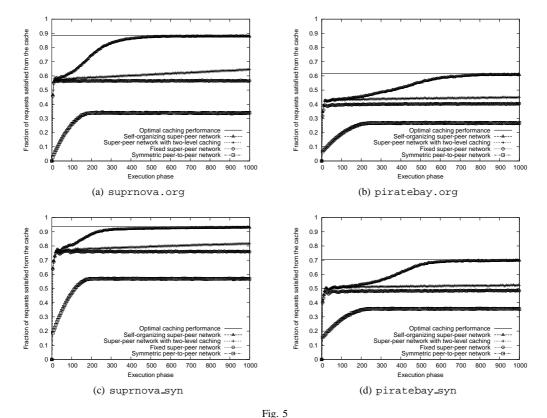
#### B. Results

This section presents the results of the experimental evaluation of SOSPNET.

1) Caching performance: In the first series of experiments we compare the performance of searching in SOSPNET with three other systems that exploit a semantic structure in the P2P network.

The first of these systems, the *symmetric peer-to-peer network*, does not make use of super-peers. Similarly as in [47], each peer in this network maintains a cache of nodes that answered their requests in the past. The caching policy used here is the same as the policy of the super-peer caches in SOSPNET. The size of the peer caches is set to 40. The total number of items cached in the symmetric network is 4,000,000 (100,000 peers with caches of size 40 each), which is twice as high as the total number of items cached in SOSPNET, which is 2,000,000 (100,000 super-peer caches of size 10 each, and 1,000 file caches of size 1,000 each).

The second system, the *fixed super-peer network*, used for comparison exploits super-peers, but assumes that the set of super-peers assigned to a weak peer is fixed. Similar to SOSPNET,



CACHE HIT RATIO FOR FOUR TYPES OF P2P ARCHITECTURES EXPLOITING THE SEMANTIC RELATIONS BETWEEN PEERS.

weak peers are initially assigned a list of 10 randomly and uniformly selected super-peers, but this list stays unmodified during the whole simulation. The fixed super-peer architecture is comparable with the Gnutella network with ultrapeers [41], with the addition that the responses to peer requests are cached. The size of the file cache at each super-peer is the same as in our self-organizing network, and equals 1,000.

Finally, the third reference system, the *super-peer network* with two-level caching, follows the approach described in [19], exploiting two-level caching of semantic information, without weak peers exchanging information about their super-peers.

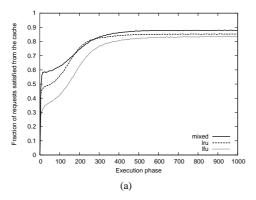
Figure 5 presents a comparison of the performance of SOSP-NET and the three reference systems. The results are shown separately for all four datasets. For each execution phase we present the fraction of search requests that are satisfied by one of the peer's direct neighbors (cache hit ratio). The direct neighbors in the symmetric system are the nodes stored in the peer's cache. In the super-peer architectures, the direct neighbors are the superpeers contained in the super-peer cache. To improve the clarity, we only plot every fifth point. Also, we organize the labels in the legends to reflect the order of the lines in the plots. The solid line represents the value of the optimal caching performance given by Eq. (10).

All three super-peer architectures outperform the symmetric design. The cache hit ratios of the self-organizing, two-level caching based, and fixed super-peer networks are very similar in the early execution phases. However, at some point, around phase 30, the performance of the fixed system stabilizes. This is the point where the items in the file caches are arranged optimally

and further improvement could only be done by modifying the peer-to-super-peer assignments. In the two-level caching network, weak peers are not allowed to merge the contents of their super-peer caches, which has a significant performance impact. Among the evaluated systems, only SOSPNET reaches the theoretical performance upper bound.

An interesting observation regarding the optimal caching performance introduced in Section IV-C can be made at this point. The value of *ocp* is defined with the simplifying assumption that peers of different types are using distinct sets of super-peers. Consequently, the value estimated by Eq. (10) may be higher than the actual achievable performance of a SOSPNET-like system where super-peers are shared among peers of different semantic types. The experimental validation using a realistic system model shows, however, that this is not the case. The fact that the cache hit ratio of SOSPNET converges to *ocp* has two consequences. First, the formula in Eq. (10), which gives the performance upper bound, is also an accurate approximation of the actual value of the optimal caching performance. Second, the performance achieved by SOSPNET is close to optimal.

2) File caching policy: From here on, we study the performance of SOSPNET on the suprnova.org dataset, leaving the alternative designs and datasets aside. In the following series of experiments we investigate the properties of the novel mixed caching policy by comparing it to traditional policies represented by LFU and LRU. The comparative results are obtained by running the simulation described in Section V-B.1 for the SOSPNET architecture with the mixed file caching policy replaced first by LFU and then by LRU.



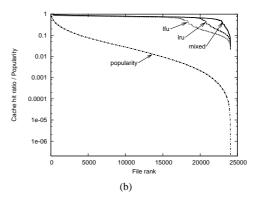


Fig. 6

THE IMPACT OF THE FILE CACHING POLICY ON THE CONVERGENCE SPEED (A) AND THE CACHE HIT RATIO FOR INDIVIDUAL FILES (B).

The convergence of the cache hit rates in the course of the simulation for the three caching policies is presented in Figure 6(a). According with the expectations discussed in Section III-C, LRU converges faster than the other two policies. Although the converged cache hit ratio is the highest for the mixed policy, the improvement over LRU and LFU is not significant.

The real advantage of the mixed policy is visible only after presenting the cache hit rates for individual files. Figure 6(b) decomposes the converged cache hit ratio into cache hit rates of requests targeting each single file. Files in this figure are ranked based on their popularity. It is clearly visible that the mixed policy, compared with LFU and LRU, leads to higher cache hit rates for less popular files. This property of the mixed policy decreases the dependency of the system on the presence of a strong semantic bias in content popularity and reduces the variance of the caching performance across files. The mixed policy has thus a positive impact on the system performance in case of searches for files with heavy tailed popularity distributions.

3) Peer joins and leaves: The number of execution phases needed for SOSPNET to achieve its peak performance does not say much about the bootstrapping period of an individual peer. In the next experiment we measure how long it takes for a peer joining the system to find its optimal set of super-peers. This time we only use the suprnova.org dataset. We assume that the new peer joins the network when the system has reached its maximum performance, i.e., in phase 1,000 of the experiment described in Section V-B.1. Figure 7(a) shows how fast the cache hit ratio of the new peer increases with the phase number of this peer.

We expect that the self-organizing super-peer network is resilient to node failures (unexpected leaves) because of the redundancy built into the architecture. A weak peer that looses some of its super-peers can still connect to the network using the remaining nodes in its super-peer cache. We simulate a catastrophic system failure by killing simultaneously half of the weak peers and half of the super-peers, both selected randomly and uniformly. As a consequence, on average 50% of the pointers stored in super-peer and file caches become invalid. We measure how long it takes before the system replaces the broken references with valid ones.

Figure 7(b) shows how the performance of the system is affected by the failure of 50% of the nodes in execution phase

500. In spite of the scale of the failure, the cache hit ratio does not decrease much. In the next 30 phases, the performance of the system returns to the performance level observed before the failure.

4) Clustering of peers and content: In Section III-A we claimed that the mutual dependency established between the super-peer and the file caches results in semantically related peers and files being clustered together. Here we validate the correctness of this claim. We first investigate the correlation between the super-peer caches of weak peers of the same semantic type. Also in this experiment we use the suprnova.org dataset.

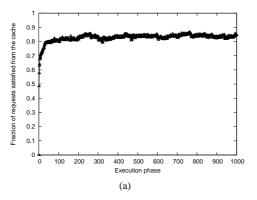
Figure 8(a) presents the value of the *peer clustering coefficient* defined for each semantic type. The semantic types are sorted according to the decreasing values of the clustering coefficients. The peer clustering coefficient is the average number of identical items in the super-peer caches of peers of one semantic type divided by the size of the super-peer cache. The statistics are collected in execution phase 1,000. For the sake of comparison, we also present the peer clustering coefficient computed at the beginning of the simulation for randomly initialized super-peer caches.

A high value of the peer clustering coefficient indicates that the locality property of the weak peer requests is indeed exploited by the architecture of SOSPNET. For more than 90% of the types, peers of the same type have on average at least 3 (out of 10) identical items in their super-peer caches, which is remarkable given that there are 1,000 super-peers in the system.

In the following experiment we evaluate the correlation between the semantic types of files in the file caches. We define the file clustering coefficient of a semantic type as the average of the Jaccard's coefficients of pairs of files of this type. The Jaccard's coefficient [1] is a commonly accepted measure of similarity between sample data. Jaccard's coefficient  $J(f_1, f_2)$  of the pair of files  $(f_1, f_2)$  can be expressed as:

$$J(f_1, f_2) = \frac{|Q(f_1) \cap Q(f_2)|}{|Q(f_1)| + |Q(f_2)|},\tag{11}$$

where  $Q(f_i)$  is the set of super-peers that have a pointer to  $f_i$  in their file cache. In other words, the Jaccard's coefficient of two files is the ratio between the number of co-occurrences of both files and the total number of individual occurrences of these files in the file caches. The values of the file clustering coefficients observed in execution phase 1,000 are compared in Figure 8(b)



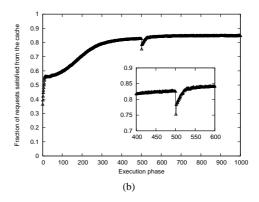
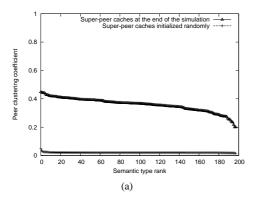


Fig. 7

THE CACHING PERFORMANCE OF A WEAK PEER JOINING THE SYSTEM (A), AND THE DROP IN PERFORMANCE WHEN HALF OF THE PEERS SIMULTANEOUSLY FAIL IN PHASE 500 (B).



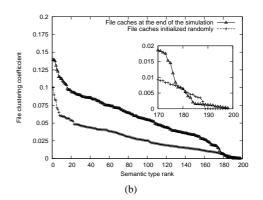


Fig. 8

THE CORRELATION BETWEEN THE SUPER-PEER CACHES OF THE WEAK PEERS OF A CERTAIN TYPE (A) AND BETWEEN THE ITEMS IN FILE CACHES OF THE SUPER-PEERS (B).

with clustering coefficients of file caches initialized with pointers to files selected randomly with a bias towards more popular files. Also in this figure the semantic types are sorted according to the decreasing values of the clustering coefficients. The use of biased instead of uniform random distribution during the file cache initialization makes the comparison more representative for an environment where the number of file occurrences in the system is proportional to its popularity.

The smaller extent of file clustering in SOSPNET compared to peer clustering is expected. The targets of peer requests are not limited to files of one semantic type. Even if all peers of one semantic type use the same super-peers, the file caches of those super-peers will still maintain pointers to files of different types.

5) Load balancing: In the last experiment we activate the loadbalancing functionality of SOSPNET to assess its ability to deal with super-peers with heterogeneous capacities.

Every super-peer is randomly and uniformly assigned one of four capacity groups. The capacity values of the number of super-peers in each group are presented in Table II. The results were obtained for the suprnova.org dataset. All super-peers start with the same value of the accepted load equal to 1, resulting in super-peers accepting all requests. The parameter  $\beta$  controlling the speed of convergence of the exponential smoothing employed

to correct the accepted loads of the super-peers (see Section III-F) is set to 0.9. We let the simulation run for 1,000 phases before measuring the average effective load, the standard deviation of the effective load, and the cache hit ratio of the super-peers in the different capacity groups.

Ideally, the effective loads of all super-peers in the system should be the same. The results of the experiment, which are presented in Table II, indicate that the load-balancing mechanism of SOSPNET is able to distribute the system load among the superpeers according to their capacities. Furthermore, the low value of the standard deviation indicates that there are no significant differences in the amounts of load assigned to super-peers with the same capacities. Finally, the last column of Table II shows that the load-balancing mechanism does not significantly affect the search performance by retaining cache hit rates which are close to those observed when load balancing was disabled (see Section V-B.1).

## VI. CONCLUSIONS

We have introduced a self-organizing super-peer network architecture called SOSPNET built on top of an unstructured topology with semantic correlations between peers and files. Starting with random sets of neighbors, peers are always able to find super-

Super-peer capacity	Number of super-peers	Average effective load	Standard deviation	Cache hit ratio
0.25	242	172.516	30.212	0.843
0.5	252	163.754	20.864	0.835
0.75	243	148.226	19.185	0.847
1	263	159.374	21.549	0.851

TABLE II

THE PERFORMANCE OF THE LOAD-BALANCING ALGORITHM OF SOSPNET.

peers which guarantee the highest performance of their searches. All decisions in our system are made locally by each peer based on the information collected during previous searches. We have also proposed a novel performance model of a P2P network where peer requests exhibit semantic patterns. Through simulations with real-world trace-based data, we have shown that in SOSPNET not only very popular files, but also less popular content can be located very efficiently. Further, we have demonstrated that a new peer that joins the system can very quickly find the set of superpeers that guarantee the highest performance. Finally, we have shown that our system is robust to catastrophic failures, and that it supports super-peers with heterogeneous capacities by controlling the amounts of load delegated to individual super-peers.

#### REFERENCES

- [1] http://en.wikipedia.org/wiki/jaccard\_similarity\_coefficent.
- [2] http://gnutella.wego.com.
- [3] http://www.kazaa.com.
- [4] http://www.napster.com.
- [5] http://www.suprnova.org.
- [6] http://www.thepiratebay.org.
- [7] Yuri Breitbart, Radek Vingralek, and Gerhard Weikum. Load control in scalable distributed file structures. *Distributed and Parallel Databases*, 4(4):319 – 354, October 1996.
- [8] Lee Breslau, Pei Cao, Li Fan, Graham Phillips, and Scott Shenker. Web caching and zipf-like distributions: Evidence and implications. In INFOCOM, pages 126–134, March 1999.
- [9] Yann Busnel and Anne-Marie Kermarrec. Proxsem: Interest-based proximity measure to improve search efficiency in p2p systems. In ECUMN 2007, Toulouse, France, February 2007.
- [10] C. Chatfield, A.B. Koehler, J.K. Ord, and R.D. Snyder. A new look at models for exponential smoothing. *Journal of the Royal Statistical Society, Series D*, 50:147–159, 2001.
- [11] Yatin Chawathe, Sylvia Ratnasamy, Lee Breslau, and Scott Shenker. Making gnutella-like p2p systems scalable. In SIGCOMM 2003, Karlsruhe, Germany, August 2003.
- [12] Yan Chen, Zhichen Xu, and Chengxiang Zhai. A scalable semantic indexing framework for peer-to-peer information retrieval. In *Heteroge-neous and Distributed Information Retrieval*, Salvador, Brazil, August 2005.
- [13] Vicent Cholvi, Pascal Felber, and Ernst Biersack. Efficient search in unstructured peer-to-peer networks. In SPAA 2004, Barcelona, Spain, June 2004
- [14] Ian Clarke, Oskar Sandberg, Brandon Wiley, and Theodore W. Hong. Freenet: A distributed anonymous information storage and retrieval system. Lecture Notes in Computer Science, 2009:46+, 2001.
- [15] B. Cohen. Incentives build robustness in bittorrent. In 1st Workshop on Economics of Peer-to-Peer Systems, Berkeley, CA, May 2003.
- [16] T. Decker, R. Luling, and S. Tschoke. A distributed load balancing algorithm for heterogeneous parallel computing system. In *The Inter*national Conference on Parallel and Distributed Processing Techniques and Applications, Las Vegas, Nevada, November 2000.
- [17] F. Le Fessant, S. Handurukande, A.-M. Kermarrec, and L. Massoulie. Clustering in peer-to-peer file sharing workloads. In 3rd International Workshop on Peer-to-Peer Systems (IPTPS), San Diego, CA, February 2004.
- [18] A.J. Ganesh, A.-M. Kermarrec, and L. Massouli. Peer-to-peer membership management for gossip-based protocols. *IEEE Transactions on Computers*, 52(2), February 2003.

- [19] P. Garbacki, D.H.J. Epema, and M. van Steen. Two-level semantic caching scheme for super-peer networks. In *IEEE 10th International Workshop on Web Content Caching and Distribution*, Sophia Antipolis, France, September 2005.
- [20] Krishna P. Gummadi, Richard J. Dunn, Stefan Saroiu, Steven D. Gribble, Henry M. Levy, and John Zahorjan. Measurement, modeling, and analysis of a peer-to-peer file-sharing workload. In SOSP 2003, Bolton Landing, NY, October 2003.
- [21] S. Handurukande, A.-M. Kermarrec, F. Le Fessant, and L. Massoulie. Exploiting semantic clustering in the edonkey p2p network. In 11th ACM SIGOPS European Workshop, Leuven, Belgium, September 2004.
- [22] S. B. Handurukande, A. M. Kermarrec, F. Le Fessant, L. Massoulie, and S. Patarin. Peer sharing behaviour in the edonkey network, and implications for the design of server-less file sharing systems. In *EuroSys*, Leuven, Belgium, April 2006.
- [23] Marcus Hutter. Self-optimizing and Pareto-optimal policies in general environments based on Bayes-mixtures. In 15th Annual Conference on Computational Learning Theory (COLT 2002), Lecture Notes in Artificial Intelligence. Springer, 2002.
- [24] Adriana Iamnitchi, Matei Ripeanu, and Ian Foster. Small-world filesharing communities. In *IEEE INFOCOM 2004*, Hong Kong, China, March 2004.
- [25] A. Iosup, P. Garbacki, J. Pouwelse, and D. Epema. Correlating topology and path characteristics of overlay networks and the internet. In GP2PC'06 Workshop in conjunction with the IEEE/ACM CCGrid'06, Singapore, May 2006.
- [26] Márk Jelasity, Alberto Montresor, and Ozalp Babaoglu. A modular paradigm for building self-organizing peer-to-peer applications. In Giovanna Di Marzo Serugendo, Anthony Karageorgos, Omer F. Rana, and Franco Zambonelli, editors, Engineering Self-Organising Systems: Nature-Inspired Approaches to Software Engineering, number 2977 in Lecture Notes in Artificial Intelligence, pages 265–282. Springer-Verlag, April 2004.
- [27] David R. Karger and Matthias Ruhl. Simple efficient load balancing algorithms for peer-to-peer systems. In 16th Annual ACM Symposium on Parallelism in Algorithms and Architectures (SPAA'04), pages 36–43, New York, NY, 2004. ACM Press.
- [28] N. Laoutaris, S. Syntila, and I. Stavrakakis. Meta algorithms for hierarchical web caches. In *IEEE International Performance Computing* and Communications Conference (IEEE IPCCC), Phoenix, Arizona, April 2004.
- [29] Stevens Le-Blond, Jean-Loup Guillaume, and Matthieu Latapy. Clustering in p2p exchanges and consequences on performances. In 4-th International workshop on Peer-to-Peer Systems IPTPS'05, Ithaka, NY, February 2005.
- [30] J. Liang, R. Kumar, and K. W. Ross. The kazaa overlay: A measurement study. Computer Networks, Special Issue on Overlays, 49(6), October 2005
- [31] Q. Lv, P. Cao, E. Cohen, K. Li, and S. Shenker. Search and replication in unstructured peer-to-peer networks. In *ICS* 2002, Ney York City, NY, June 2002.
- [32] Dejan S. Milojicic, Vana Kalogeraki, Rajan Lukose, Kiran Nagaraja, Jim Pruyne, Bruno Richard, Sami Rollins, and Zhichen Xu. Peer-topeer computing. Technical Report HPL-2002-57, HP, March 2002.
- [33] Alper Tugay Mizrak, Yuchung Cheng, Vineet Kumar, and Stefan Savage. Structured superpeers: Leveraging heterogeneity to provide constanttime lookup. In 3rd IEEE Workshop on Internet Applications, San Jose, CA, June 2003.
- [34] Wolfgang Nejdl, Wolf Siberski, Martin Wolpers, and Christoph Schmitz. Routing and clustering in schema-based super peer networks, 2002.
- [35] A. Parker. P2p in 2005, 2005. http://www.cachelogic.com/ research/.
- [36] J.A. Pouwelse, P. Garbacki, D.H.J. Epema, and H.J. Sips. The bittorrent

- p2p file-sharing system: Measurements and analysis. In *IPTPS'05*, Ithaca, New York, February 2005.
- [37] Ananth Rao, Karthik Lakshminarayanan, Sonesh Surana, Richard Karp, and Ion Stoica. Load balancing in dynamic structured p2p systems. In IEEE INFOCOM 2004, Hong Kong, China, March 2004.
- [38] Sylvia Ratnasamy, Paul Francis, Mark Handley, Richard Karp, and Scott Shenker. A scalable content addressable network. In SIGCOMM 2001, 2001
- [39] J. Risson and T. Moors. Survey of Research towards Robust Peer-to-Peer Networks: Search Methods. *Computer Networks*, 50(17):3485–3521, 2006
- [40] Mario T. Schlosser, Tyson E. Condie, and Sepandar D. Kamvar. Simulating a file-sharing p2p network. In First Workshop on Semantics in P2P and Grid Computing, Budapest, Hungary, May 2003.
- [41] A. Singla and Ch. Rohrs. Ultrapeers: Another step towards gnutella scalability, 2001. http://www.limewire.com/developer/Ultrapeers.html.
- [42] K. Sripanidkulchai, B. Maggs, and H. Zhang. Efficient content location using interest-based locality in peer-to-peer systems. In *INFOCOM'03*, San Fransisco, CA, April 2003.
- [43] Ion Stoica, Robert Morris, David Karger, M. Frans Kaashoek, and Hari Balakrishnan. Chord: A scalable peer-to-peer lookup service for Internet applications. In SIGCOMM 2001, pages 149–160. ACM Press, 2001.
- [44] C. Tang, Z. Xu, and S. Dwarkadas. Peer-to-peer information retrieval using self-organizing semantic overlay networks. In SIGCOMM 2003, Karlsruhe, Germany, august 2003.
- [45] C. Tempich, S. Staab, and A. Wranik. Remindin': Semantic query routing in peer-to-peer networks based on social metaphors. In 13th International World Wide Web Conference, New York, NY, May 2004.
- [46] Sarut Vanichpun and Armand M. Makowski. The output of a cache under the independent reference model — where did the locality of reference go? In SIGMETRICS 2004/PERFORMANCE 2004: Proceedings of the joint international conference on Measurement and modeling of computer systems, pages 295–306, New York, 2004. ACM Press.
- [47] S. Voulgaris, A.-M. Kermarrec, L. Massoulie, and M. van Steen. Exploiting semantic proximity in peer-to-peer content searching. In FTDCS 2004, Suzhou, China, May 2004.
- [48] Z. Xu and Y. Hu. Sbarc: A supernode based peer-to-peer file sharing system. In Eighth IEEE International Symposium on Computers and Communications, Kemer-Antalya, Turkey, Jun–July 2003.
- [49] Beverly Yang and Hector Garcia-Molina. Designing a super-peer network. In *IEEE International Conference on Data Engineering*, Bangalore, India, March 2003.
- [50] Ben Y. Zhao, Ling Huang, Jeremy Stribling, Sean C. Rhea, Anthony D. Joseph, and John D. Kubiatowicz. Tapestry: A resilient global-scale overlay for service deployment. *IEEE Journal on Selected Areas in Communications*, 22(1):41–53, January 2004.
- [51] Yingwu Zhu and Yiming Hu. Efficient, proximity-aware load balancing for dht-based p2p systems. *IEEE Transactions on Parallel and Distributed Systems*, 16(4):349 361, April 2005.

#### APPENDIX I

#### PROOF OF THE FIRST ZONKLAR EQUATION

Appendix one text goes here.

## APPENDIX II

Appendix two text goes here.

# ACKNOWLEDGMENT

The authors would like to thank... This work was supported by the IEEE.

Paweł Garbacki Biography text here.

PLACE PHOTO HERE

Dick H. J. Epema Biography text here.

Maarten van Steen Biography text here.