

## Chapter 9

### Connectedness: When Things Don't Fall Into Pieces

**Theorem 9.1** The following are equivalent:

1.  $X$  is connected
2. there is no continuous function  $f : X \rightarrow \mathbb{R}_{\text{std}}$  such that  $f(X) = \{0, 1\}$
3.  $X$  is not the union of two disjoint nonempty separated sets
4.  $X$  is not the union of two disjoint nonempty closed sets
5. the only subsets of  $X$  that are both closed and open in  $X$  are both the empty set and  $X$  itself
6. for every pair of points  $p$  and  $q$  and every open cover  $\{U_\alpha\}_{\alpha \in \lambda}$  of  $X$  there exists a finite number of  $U_\alpha$ 's,  $\{U_{\alpha_1}, U_{\alpha_2}, \dots, U_{\alpha_n}\}$  such that  $p \in U_{\alpha_1}$ ,  $q \in U_{\alpha_n}$  for each  $i < n$ ,  $U_{\alpha_i} \cap U_{\alpha_{i+1}} \neq \emptyset$ .

**Proof:**

(1  $\implies$  2) Suppose  $X$  is connected, and for contradiction that there is a continuous function  $f : X \rightarrow \mathbb{R}_{\text{std}}$  such that  $f(X) = \{0, 1\}$ . However, this would imply that  $f^{-1}(1)$  and  $f^{-1}(0)$  are (1) disjoint open sets in  $X$  such that (2) their union is  $X$ . However, that contradicts the fact that  $X$  is connected by definition. Therefore, there is no continuous function  $f : X \rightarrow \mathbb{R}_{\text{std}}$  such that  $f(X) = \{0, 1\}$ .

(2  $\implies$  1) Now if there is no continuous function  $f : X \rightarrow \mathbb{R}_{\text{std}}$  such that  $f(X) = \{0, 1\}$ , then that means  $X$  cannot be split into two disjoint open sets whose union is  $X$ , which implies that  $X$  is connected.

(1  $\implies$  3) Since  $X$  is connected, it is not the union of two nonempty disjoint open subsets of  $X$ . However, suppose  $A, B$  are two separated sets such that  $A \cup B = X$ .

(3  $\implies$  1) Suppose now that  $X$  is not the union of two disjoint nonempty separated sets. Then  $X$  is not union of two disjoint open sets, so that  $X$  is connected.

(1  $\implies$  4) Suppose  $X$  is connected, and for contradiction that  $X = A \cup B$  where  $A$  and  $B$  are disjoint nonempty closed sets. Then we can construct a continuous function from  $f : X \rightarrow \{0, 1\}$ , where  $f^{-1}(0) = A$  and  $f^{-1}(1) = B$ . However, this contradicts the fact that  $X$  is connected, so that  $X$  is not the union of two disjoint nonempty closed sets.

(4  $\implies$  1) Suppose  $X$  is not the union of two disjoint nonempty closed sets. Then there is no continuous function  $f : X \rightarrow \{0, 1\}$  since  $f^{-1}(0)$  and  $f^{-1}(1)$  cannot be open or closed. Thus  $X$  must be connected.

(1  $\implies$  5) Suppose  $X$  is connected. Suppose there is a set such that  $A \neq X$

and  $A \neq \emptyset$  is open and closed. Then  $A^c \cup A = X$ . However, that would mean  $X$  is the union of two disjoint non empty open sets, which is a contradiction. Thus the only open and closed sets are  $X$  and  $\emptyset$ .

(5  $\implies$  1) Suppose the only open and closed sets in  $X$  are  $X$  and  $\emptyset$ . Suppose for contradiction that  $X$  is not connected, so that  $X = A \cup B$  for two disjoint nonempty open sets. Then  $X^c = (A \cup B)^c = A^c \cap B^c = \emptyset$ . However, this is a contradiction since their intersection must be nonempty. Therefore,  $X$  is connected. ■

**Exercise 9.2** Which of the following spaces are connected?

1.  $\mathbb{R}$  with the discrete topology?
2.  $\mathbb{R}$  with the indiscrete topology?
3.  $\mathbb{R}$  with the finite complement topology?
4.  $\mathbb{R}_{LL}$ ?
5.  $\mathbb{Q}$  as a subspace of  $\mathbb{R}_{std}$ ?
6.  $\mathbb{R} - \mathbb{Q}$  as a subspace of  $\mathbb{R}_{std}$ ?

*Solution:*

1. Every subset of  $\mathbb{R}$  is open and closed. This violates Theorem 9.1(5) so that  $\mathbb{R}$  is not connected under the discrete topology.
2. The only sets which are open and closed are  $\mathbb{R}$  and  $\emptyset$ . Thus by Theorem 9.1(5)  $\mathbb{R}$  is connected under the indiscrete topology.
3. For contradiction suppose there is a set  $U \subset \mathbb{R}$  which is open and closed and not  $\mathbb{R}$  or the emptyset.

Since  $U$  is open,  $U^c$  is finite. However  $(U^c)^c = U$  is infinite and hence  $U^c$  is not an open set. But this contradicts the assumption that  $U$  was open and closed. Thus  $\mathbb{R}$  is connected on the finite complement topology.

4. Consider a basic open set  $[a, b)$ . Observe that

$$[a, b)^c = (-\infty, a) \cup [b, \infty)$$

which is the union of two open sets, and hence is open. Thus  $[a, b)$  is open and closed. By Theorem 9.1.5, we have that  $\mathbb{R}_{LL}$  is not connected.

5. Observe that  $(\mathbb{Q} \cap (-\infty, \pi))$  and  $(\mathbb{Q} \cap (\pi, \infty))$  are disjoint, separated sets in the subspace  $\mathbb{Q}$  and

$$(\mathbb{Q} \cap (-\infty, \pi)) \cap (\mathbb{Q} \cap (\pi, \infty)) = \emptyset.$$

Thus  $\mathbb{Q}$  is not open as a subspace of  $\mathbb{R}_{std}$ .

6. Observe that  $(\mathbb{R} - \mathbb{Q}) \cap (-\infty, 0)$  and  $(\mathbb{R} - \mathbb{Q}) \cap (0, \infty)$  are disjoint separated sets and

$$((\mathbb{R} - \mathbb{Q}) \cap (-\infty, 0)) \cup ((\mathbb{R} - \mathbb{Q}) \cap (0, \infty)) = \mathbb{R} - \mathbb{Q}.$$

Thus  $\mathbb{R} - \mathbb{Q}$  is not connected.

□

**Theorem 9.3** The space  $\mathbb{R}_{\text{std}}$  is connected.

**Proof:** The only closed and open sets in  $\mathbb{R}_{\text{std}}$  are the emptyset and  $\mathbb{R}$  itself, so that by Theorem 9.1(5) we can conclude that  $\mathbb{R}_{\text{std}}$  is connected.

■

**Theorem 9.4** Let  $A$  and  $B$  be separated subsets of a space  $X$ . If  $C$  is a connected subset of  $A \cup B$ , then either  $C \subset A$  or  $C \subset B$ .

**Proof:** Observe that if  $C$  is a connected subset of  $A \cup B$ , where  $A$  and  $B$  are separated in  $X$ , then  $C$  is not the union of two disjoint open sets in the  $A \cup B$  subspace topology.

Suppose for the sake of contradiction that  $C \subset A$  and  $C \subset B$ . Then observe that

$$C \subset A \cap B = \emptyset$$

which is a contradiction since  $C$  is nonempty. Thus it must be that  $C \subset A$  or  $C \subset B$ .

■

**Theorem 9.5** Let  $\{C_\alpha\}_{\alpha \in \lambda}$  be a collection of connected subsets of  $X$  and  $E$  another connected subset of  $X$  that for each  $\alpha \in \lambda$ ,  $E \cap C_\alpha \neq \emptyset$ . Then  $E \cup (\bigcup_{\alpha \in \lambda} C_\alpha)$  is connected.

**Proof:** Suppose for the sake of contradiction that  $E \cup (\bigcup_{\alpha \in \lambda} C_\alpha)$  is not connected. Then  $E \cup (\bigcup_{\alpha \in \lambda} C_\alpha) = A \cup B$  where  $A$  and  $B$  are some separated sets in  $X$ . Observe that since  $E$  is a connected subset of  $X$ , we have by Theorem 9.4 that  $E \subset A$  or  $E \subset B$ . Without loss of generality suppose  $E \subset A$ . Then since each  $C_\alpha$  is a connected subset of  $A \cup B$ , Theorem 9.4 implies that  $C_\alpha \subset B$  for at least one  $\alpha \in \lambda$ . However, this is a contradiction since  $E \cap C_\alpha \neq \emptyset$  for all  $\alpha \in \lambda$ , while  $A \cap B = \emptyset$ . Therefore, we must have that  $E \cup (\bigcup_{\alpha \in \lambda} C_\alpha)$  is connected.

■

**Theorem 9.6** Let  $C$  be a connected subset of the topological space  $X$ . If  $D$  is a subset of  $X$  such that  $C \subset D \subset \overline{C}$ , then  $D$  is connected.

**Proof:** Suppose that  $C$  is a connected subset of  $X$  and for the sake of contradiction that  $D$  such that  $C \subset D \subset \overline{C}$  is not connected. Then there exists disjoint open sets  $A$  and  $B$  such that  $A \cup B = D$ . Since  $C$  is connected, we know by Theorem 9.5 that  $C \cap A = \emptyset$  or  $C \cap B = \emptyset$ . Without loss of generality, suppose that  $C \cap A = \emptyset$ . Then this is a contradiction since  $A \subset D \subset \overline{C}$ . Therefore, we must have that  $D$  is connected. ■

**Theorem 9.8** Let  $X$  be a topological space,  $C$  a connected subset of  $X$ , and  $X - C = A \mid B$ . Then  $A \cup C$  and  $B \cup C$  are each connected

**Proof:** Suppose that  $X - C = A \cup B$  where  $A$  and  $B$  are separated. Now suppose that  $A \cup C$  is not connected, so that  $A \cap C = U \cup V$  where  $U, V$  are open. Now suppose that  $U \cap C \neq \emptyset$  and  $V \cap C \neq \emptyset$ . Then  $(U \cap C) \cup (V \cap C) = A \cap C$  ■

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**Theorem 9.12** Let  $f : X \rightarrow Y$  be a continuous, surjective function. If  $X$  is connected, then  $Y$  is connected.

**Proof:** Suppose  $f : X \rightarrow Y$  is a continuous, surjective function. We can do proof by contradiction. Suppose  $X$  is connected but  $Y$  is not connected. By Theorem 9.1 part 5, there exists a set  $V \subset Y$ ,  $V \neq \emptyset$ ,  $V \neq Y$ , such that  $V$  is open and closed in  $Y$ . By continuity,  $f^{-1}(V)$  is both open and closed in  $X$ , and by surjectivity,  $f^{-1}(V)$  is a proper subset of  $X$ . Thus  $X$  has an open and closed set, one which is not  $\emptyset$  or  $X$ , which contradicts the fact that  $X$  is not connected by Theorem 9.1 part 5. Thus if  $X$  is connected,  $Y$  is connected, as desired. ■

**Theorem 9.13** (Intermediate Value Theorem!) Let  $f : \mathbb{R}_{\text{std}} \rightarrow \mathbb{R}_{\text{std}}$  be a continuous map. If  $a, b \in \mathbb{R}$  and  $r$  is a point of  $\mathbb{R}$  such that  $f(a) < r < f(b)$  then there exists a point  $c$  in  $(a, b)$  such that  $f(c) = r$

**Proof:** Observe that  $\mathbb{R}_{\text{std}}$  is connected. Since  $f : \mathbb{R}_{\text{std}} \rightarrow \mathbb{R}_{\text{std}}$ , connected should be preserved.

Suppose there does not exist a point  $c \in (a, b)$  such that  $f(c) = r$ . Then  $f(x) < r$  or  $r < f(x)$  for all  $x \in (a, b)$ . However since  $f(\mathbb{R}) = \mathbb{R}$ , this implies that  $\mathbb{R}_{\text{std}}$  is not connected, which contradicts the fact that  $\mathbb{R}_{\text{std}}$  is connected. Therefore such a  $c$  must exist. ■

**Theorem 9.18** Each component of  $X$  is connected, closed, and not contained in any strictly larger connected subset of  $X$ .

**Proof:** Consider a component  $C = \bigcup_{\alpha \in \lambda} C_\alpha$  of  $p$  in  $X$ , where each  $C_\alpha$  is connected and  $p \in C_\alpha$  for all  $\alpha \in \lambda$ . Observe that we can apply Theorem 9.5 to conclude that  $C$  is connected, since (1) no member of the union of  $C$  is disjoint from any other member (as they all contain  $p$ ) and (2) each member is connected.

Suppose that  $C$  is not closed. Then there is a point  $q \notin C$  and an open set  $U$  containing  $q$  such that  $(U - \{q\}) \cap C \neq \emptyset$ .

■

**Theorem 9.35** A path connected space is connected.

**Proof:** Suppose  $X$  is path connected but not connected. Then there exist two disjoint open subsets  $A, B$  such that  $A \cup B = X$ . Observe that any point in  $A$  cannot be joined together with any point in  $B$  by a path, a contradiction to the path connectivity of  $X$ . Thus  $X$  must be connected.

■

**Theorem 9.36** The flea and comb space is connected but not pathwise connected. (The flea and comb space is the union of the topologist's comb and the point  $(0, 1)$ .)

**Proof:** Let  $A$  be the set of the comb space. This is obviously path connected, and so it is connected by Theorem 9.35. Observe now that

$$A \subset A \cup flea \subset \overline{A}$$

so that  $A \cup flea$ , the flea and comb space, must be connected.

■