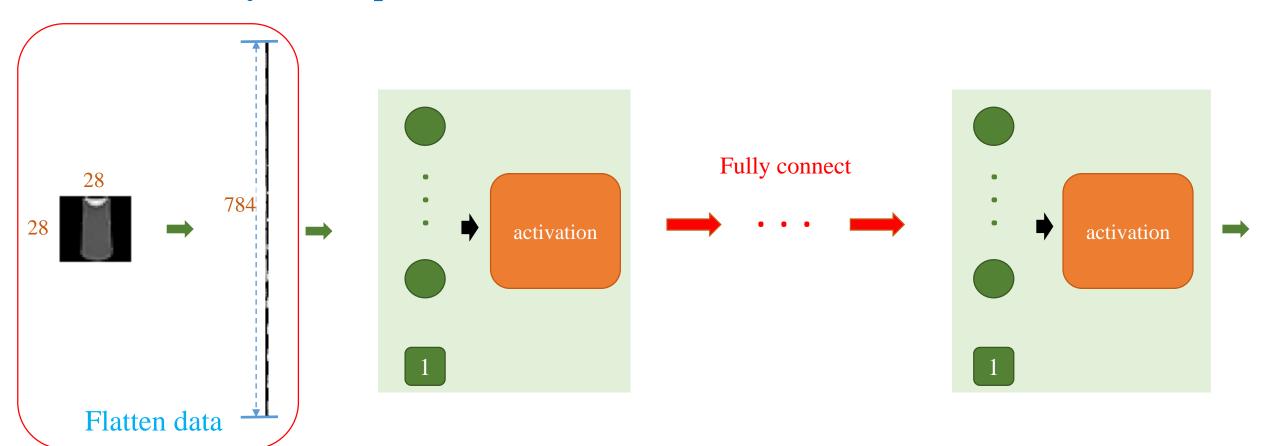
Convolutional Neural Network (Session 2)

Quang-Vinh Dinh Ph.D. in Computer Science

Outline

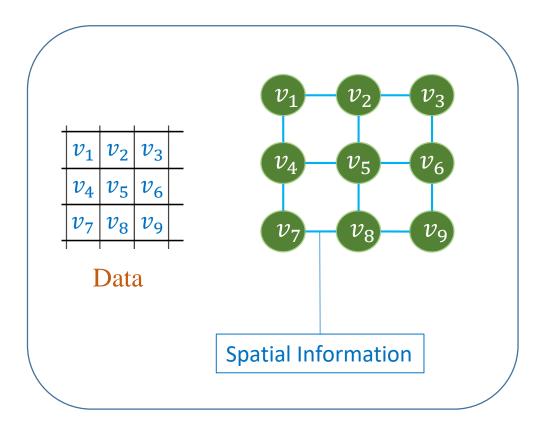
- > From MLP to CNN
- > Feature Map Down-sampling
- > Padding
- > 1x1 Convolution
- > Image classification: Cifar-10 data
- > Backpropagation

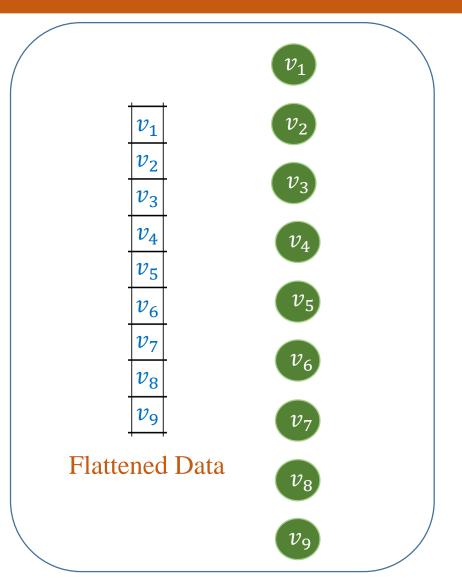
***** Multi-layer Perceptron

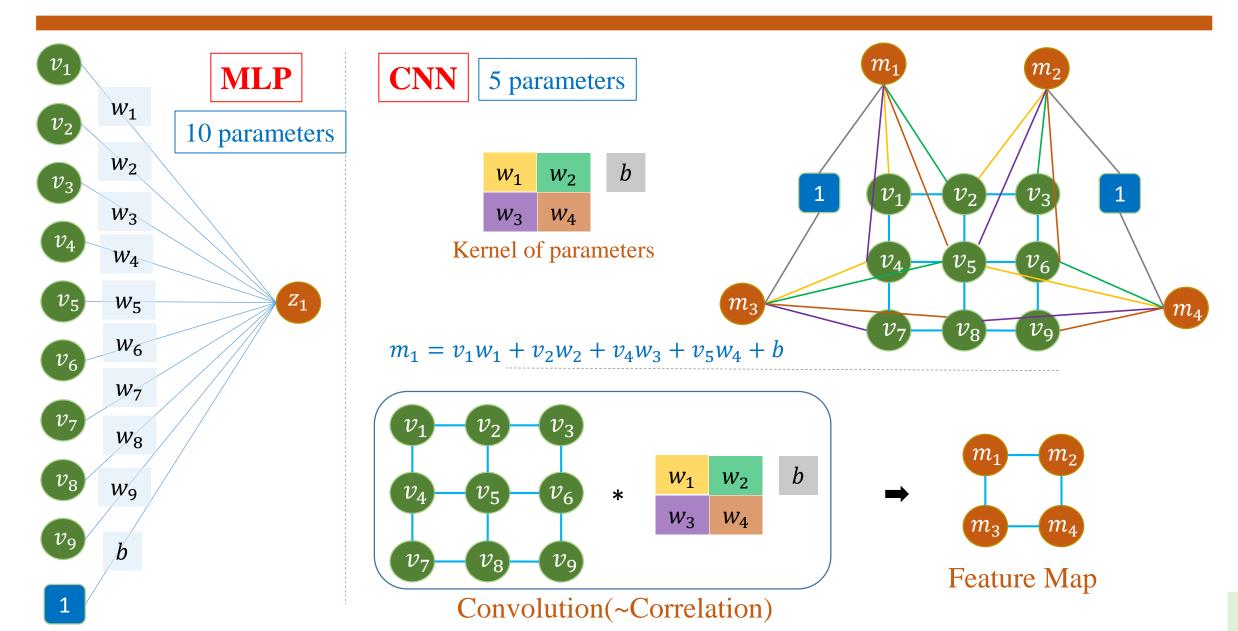


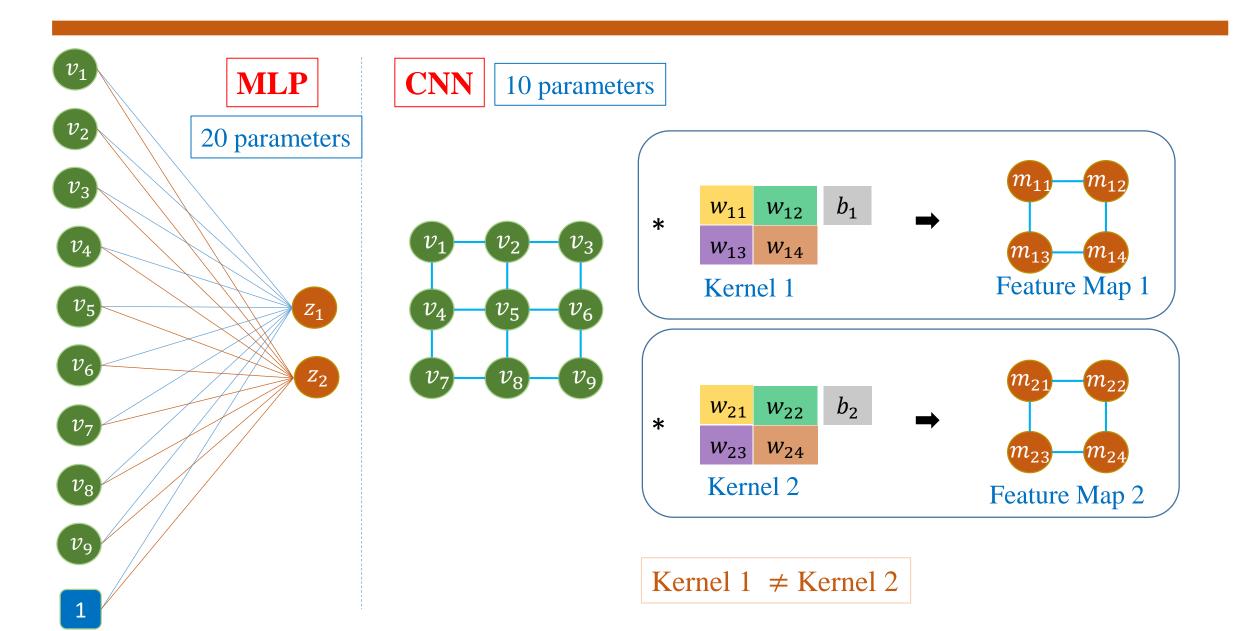
Problem: Remove spatial information of the data Inefficiently have a large amount of parameters

Problem of flattening data



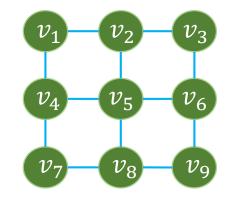






*

Understand convolution



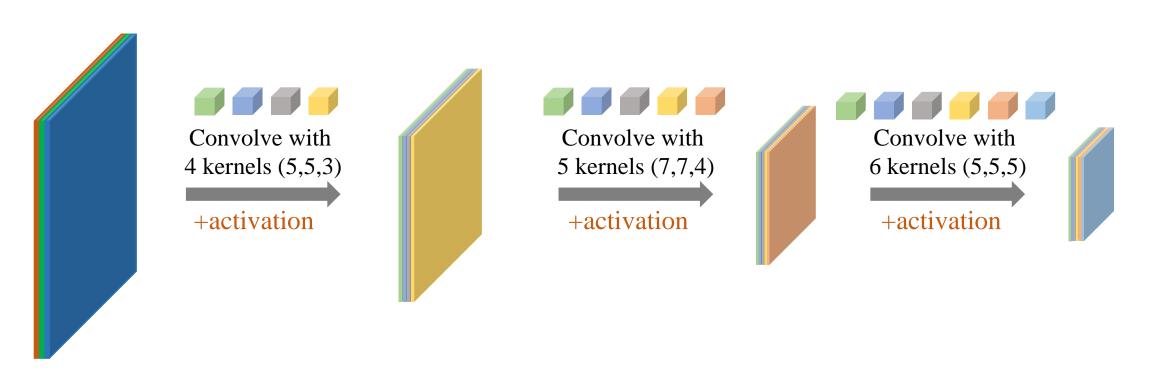
(Height=3, Width=3, Channel=1) Shape=(3,3,1)



Shape=(2,2,1)
#parameters (including bias) = 5

#channels of data = #channels of kernel

A stack of pairs of convolution+activation



Input Data (32,32,3)

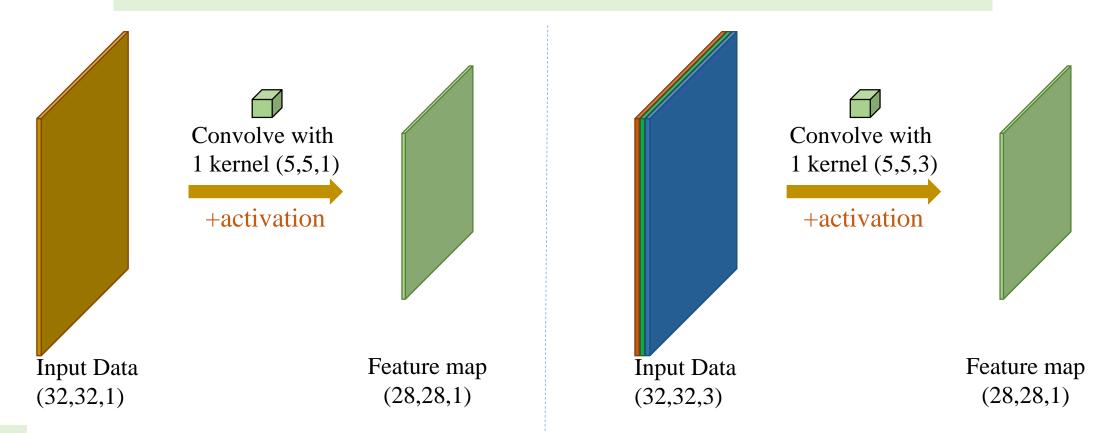
Feature maps (28,28,4)

Feature maps (22,22,5)

Feature maps (18,18,6)

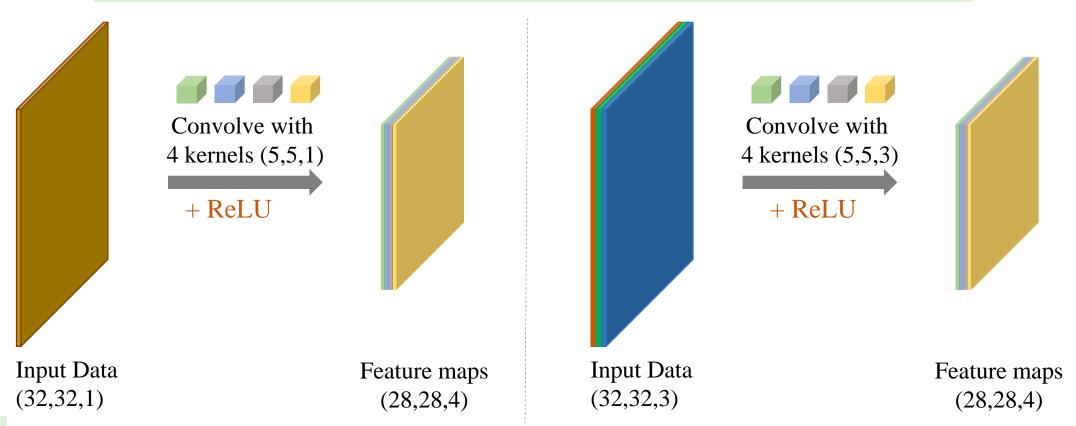
Convolution layer in Keras

keras.layers.Conv2D(filters=1, kernel_size=5, activation='relu')



Convolution layer in Keras

keras.layers.Conv2D(filters=4, kernel_size=5, activation='relu')



T-shirt

t





















Trouser

Pullover

















0

Fashion-MNIST dataset

Dress

















Grayscale images

Resolution=28x28

Training set: 60000 samples

Testing set: 10000 samples

Coat























Shirt









































Year 2020













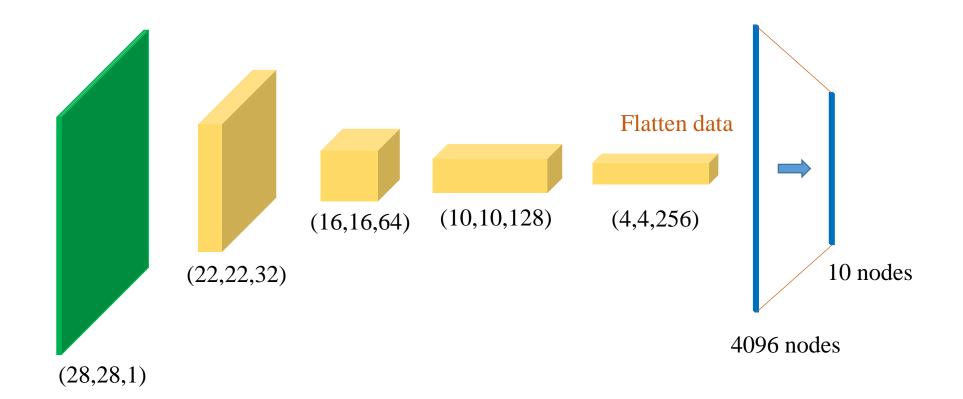




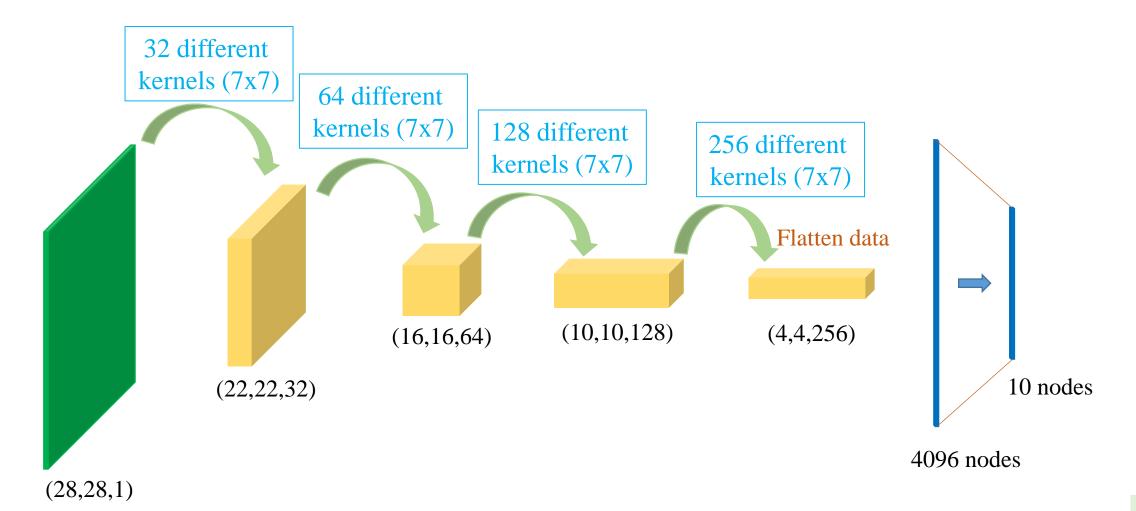




Apply for Fashion-MNIST dataset



Apply for Fashion-MNIST dataset



```
# model
   model = keras.models.Sequential()
    # input is with the shape of (28, 28, 1)
   model.add(tf.keras.Input(shape=(28, 28, 1)))
    # Convolve with 32 (7x7) kernel; Output: (22x22x32)
   model.add(keras.layers.Conv2D(32, (7, 7), activation='relu'))
    # Convolve with 64 (7x7) kernel; Output: (16x16x64)
   model.add(keras.layers.Conv2D(64, (7, 7), activation='relu'))
    # Convolve with 128 (7x7) kernel; Output: (10x10x128)
   model.add(keras.layers.Conv2D(128, (7, 7), activation='relu'))
    # Convolve with 256 (7x7) kernel; Output: (4x4x256)
   model.add(keras.layers.Conv2D(256, (7, 7), activation='relu'))
15
   # flatten
   model.add(keras.layers.Flatten())
   model.add(keras.layers.Dense(10, activation='softmax'))
19
    # compile and train
   model.compile(optimizer='adam', metrics=['accuracy'],
22
                 loss='sparse categorical crossentropy')
   model.fit(train images, train labels, epochs=10)
24
    # testing
   test loss, test acc = model.evaluate(test images,
                                         test labels, verbose=2)
2.7
   print('Test accuracy:', test acc)
```

```
Model: "sequential"
                          Output Shape
Layer (type)
                                                 Param #
conv2d (Conv2D)
                          (None, 22, 22, 32)
                                                 1600
                          (None, 16, 16, 64)
conv2d 1 (Conv2D)
                                                 100416
conv2d 2 (Conv2D)
                          (None, 10, 10, 128)
                                                 401536
conv2d 3 (Conv2D)
                          (None, 4, 4, 256)
                                                 1605888
flatten (Flatten)
                          (None, 4096)
                                                 0
dense (Dense)
                          (None, 10)
                                                 40970
______
Total params: 2,150,410
Trainable params: 2,150,410
Non-trainable params: 0
```

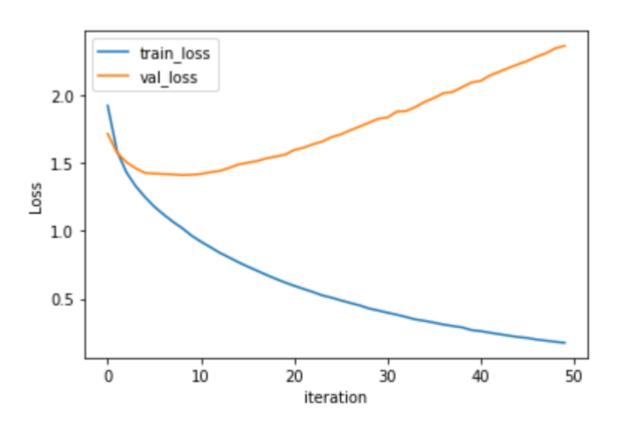
```
Train on 60000 samples
Epoch 1/10
60000/60000 - 577s 10ms/sample - loss: 0.5021 - accuracy: 0.8138
Epoch 2/10
60000/60000 - 578s 10ms/sample - loss: 0.3388 - accuracy: 0.8757
Epoch 3/10
60000/60000 - 567s 9ms/sample - loss: 0.2993 - accuracy: 0.8880
Epoch 4/10
60000/60000 - 545s 9ms/sample - loss: 0.2726 - accuracy: 0.8995
Epoch 5/10
60000/60000 - 1254s 21ms/sample - loss: 0.2475 - accuracy: 0.9083
Epoch 6/10
60000/60000 - 563s 9ms/sample - loss: 0.2201 - accuracy: 0.9172
Epoch 7/10
60000/60000 - 571s 10ms/sample - loss: 0.1983 - accuracy: 0.9254
Epoch 8/10
60000/60000 - 581s 10ms/sample - loss: 0.1806 - accuracy: 0.9340
Epoch 9/10
60000/60000 - 581s 10ms/sample - loss: 0.1517 - accuracy: 0.9431
Epoch 10/10
60000/60000 - 2145s 36ms/sample - loss: 0.1378 - accuracy: 0.9495
10000/1 - 19s - loss: 1.2228 - accuracy: 0.8858
```

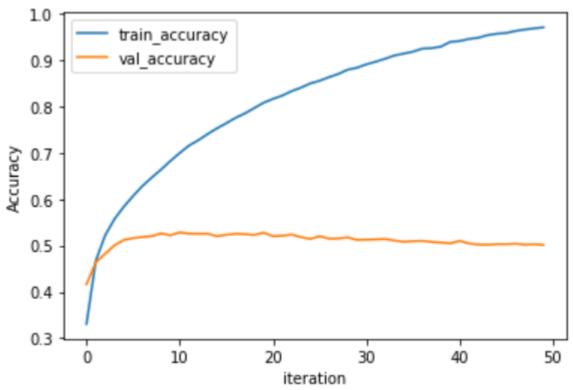
Test accuracy: 0.8858

Classic feature extractors vs.CNN filters

```
import tensorflow as tf
   from tensorflow import keras
   def construct model():
        inp = keras.layers.Input(shape=[32,32,3])
        # get edges
       edges = tf.image.sobel edges(inp)
       edges = tf.keras.layers.Reshape((32,32,6))(edges)
10
11
       dx, dy = tf.image.image gradients(inp)
       x = tf.keras.layers.Concatenate(axis=3)([dx, dy, edges])
12
13
14
       x = keras.layers.MaxPooling2D(2)(x)
       x = keras.layers.Flatten()(x)
15
       x = keras.layers.Dense(128, activation='relu')(x)
16
17
       x = keras.layers.Dense(10, activation='softmax')(x)
18
19
       return tf.keras.Model(inputs=[inp], outputs=x)
20
    cifar10 = keras.datasets.cifar10
    (train images, train labels), (test images, test labels) = cifar10.load data()
23
   train images = train images / 255.0
   test images = test images / 255.0
26
   train images = tf.reshape(train images, (50000, 32, 32, 3))
   test images = tf.reshape(test images, (10000, 32, 32, 3))
29
    # model
   model = construct model()
   model.summary()
33
   model.compile(optimizer='adam',
35
                  loss=tf.keras.losses.SparseCategoricalCrossentropy(),
36
                  metrics=['accuracy'])
   history data = model.fit(train images, train labels, batch size=1024,
38
                             validation data=(test images, test labels), epochs=50)
```

Classic feature extractors vs. CNN filters

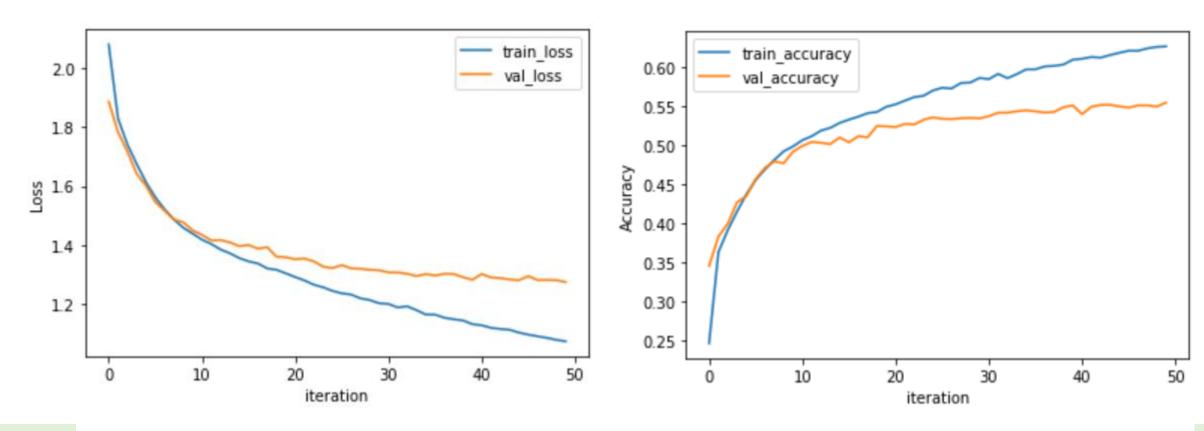




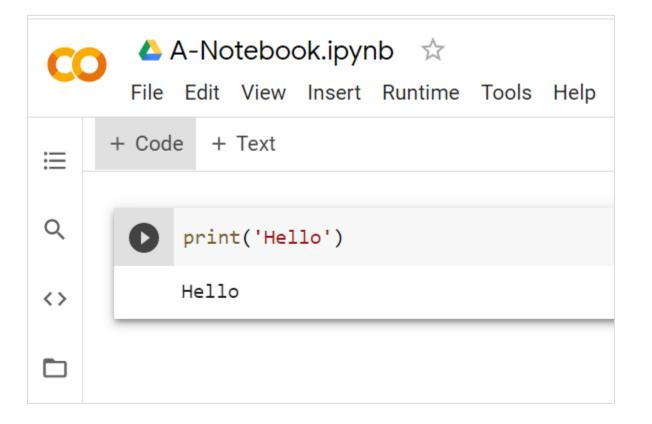
Classic feature extractors vs.CNN filters

```
import tensorflow as tf
   from tensorflow import keras
   cifar10 = keras.datasets.cifar10
    (train images, train labels), (test images, test labels) = cifar10.load data()
 6
   train images = train images / 255.0
   test images = test images / 255.0
 9
   train images = tf.reshape(train images, (50000, 32, 32, 3))
   test images = tf.reshape(test images, (10000, 32, 32, 3))
12
13
   # model
  model = keras.models.Sequential()
   model.add(tf.keras.Input(shape=(32, 32, 3)))
   model.add(keras.layers.Conv2D(4, (3, 3), activation='relu'))
   model.add(keras.layers.MaxPooling2D(2))
18
19
   # flatten
   model.add(keras.layers.Flatten())
   model.add(keras.layers.Dense(128, activation='relu'))
   model.add(keras.layers.Dense(10, activation='softmax'))
23
   model.summary()
25
   model.compile(optimizer='adam',
27
                  loss=tf.keras.losses.SparseCategoricalCrossentropy(),
                  metrics=['accuracy'])
28
   history data = model.fit(train images, train labels, batch size=1024,
30
                             validation data=(test images, test labels), epochs=50)
```

Classic feature extractors vs. CNN filters



***** Introduction



https://colab.research.google.com/

***** Introduction

```
[2] import tensorflow as tf
   if tf.test.gpu_device_name():
        print('Default GPU Device: {}'.format(tf.test.gpu_device_name()))
   else:
        print("Please install GPU version of TF")

Default GPU Device: /device:GPU:0
```

```
import tensorflow as tf

print("Num GPUs Available: ",
        len(tf.config.experimental.list_physical_devices('GPU')))

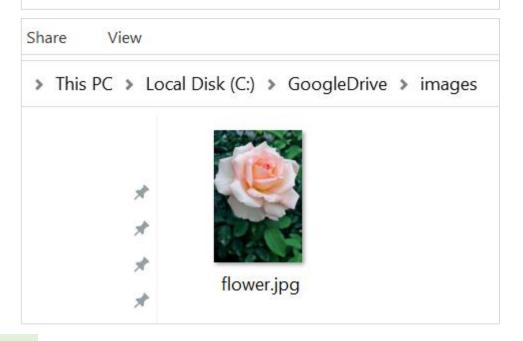
Num GPUs Available: 1
```

Year 2020

! Introduction

```
from google.colab import drive
drive.mount('/content/drive')
```

Mounted at /content/drive



```
import matplotlib.pyplot as plt
import matplotlib.image as mpimg
img = mpimg.imread('drive/My Drive/images/flower.jpg')
imgplot = plt.imshow(img)
Populating the interactive namespace from numpy and matplotlib
200
 400
 600
800
         200
               400
```

T-shirt



















Trouser

















Fashion-MNIST dataset

Pullover

Dress

















Grayscale images

Resolution=28x28

Year 2020

Training set: 60000 samples

Testing set: 10000 samples

Coat























Shirt



















Bag

Sneaker



























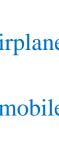








Ankle **Boot**













































Cifar-10 dataset



























Training set: 50000 samples

Testing set: 10000 samples



































































truck

ship

















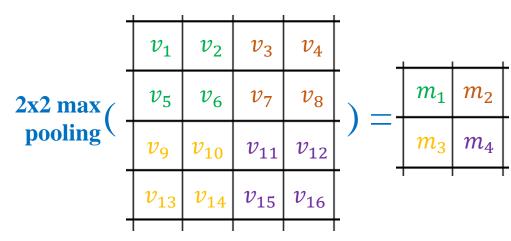


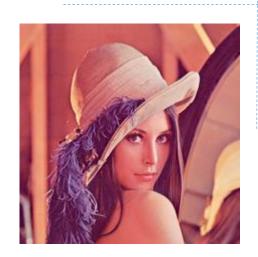
Outline

- > From MLP to CNN
- > Feature Map Down-sampling
- > Padding
- > 1x1 Convolution
- > Image classification: Cifar-10 data
- > Backpropagation

Max pooling: Features are preserved

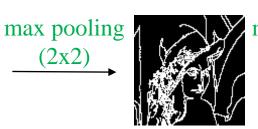
	v_1	v_2	v_3	v_4				
	v_5	v_6	v_7	v_8				
	v_9	v_{10}	v_{11}	v_{12}				
	$oxed{v_{13}} oxed{v_{14}} oxed{v_{15}} oxed{v_{16}}$							
Data								



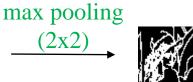




Feature map (220x220)

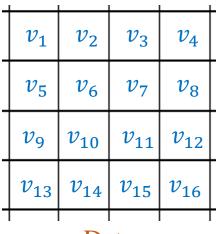


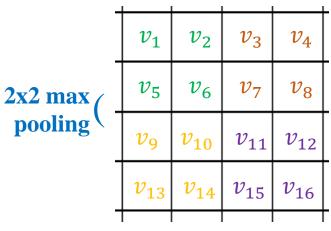
Feature map (110x110)

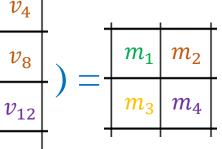


Feature map (55x55)

Max pooling: Features are preserved



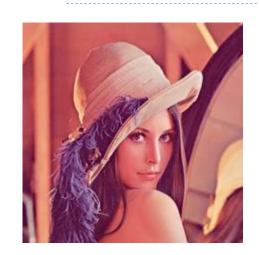




$m_1 = \max(v_1, v_2, v_5, v_6)$
$m_2 = \max(v_3, v_4, v_7, v_8)$
$m_3 = \max(v_9, v_{10}, v_{13}, v_{14})$
$m_4 = \max(v_{11}, v_{12}, v_{15}, v_{16})$

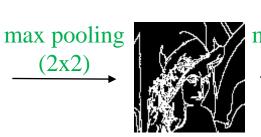
Data

keras.layers.MaxPooling2D(pool_size=2)

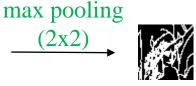




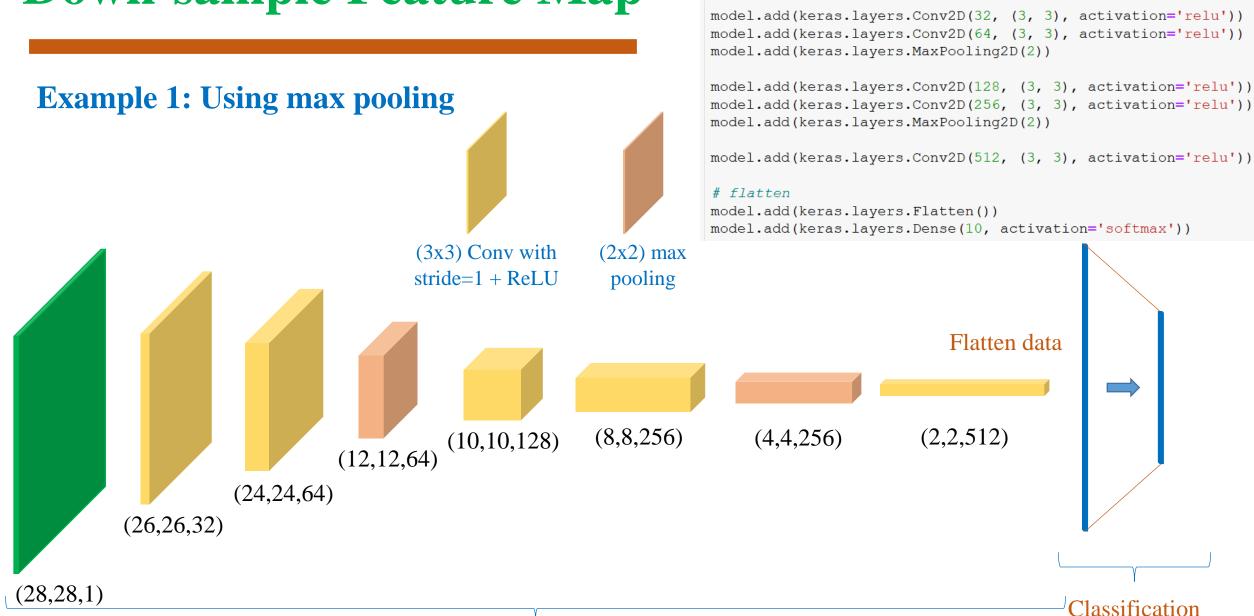
Feature map (220x220)



Feature map (110x110)



Feature map (55x55)



mode1

model = keras.models.Sequential()

model.add(tf.keras.Input(shape=(28, 28, 1)))

Example 1: Using max pooling

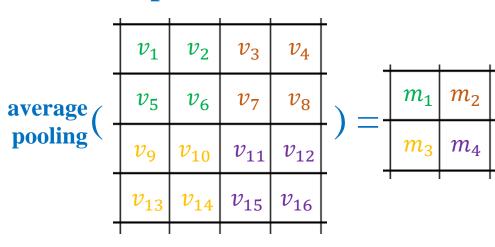
```
Epoch 1/10
Epoch 2/10
Epoch 3/10
Epoch 4/10
Epoch 5/10
Epoch 6/10
Epoch 7/10
Epoch 8/10
Epoch 9/10
Epoch 10/10
10000/1 - 8s - loss: 0.4003 - accuracy: 0.9125
```

Test accuracy: 0.9125

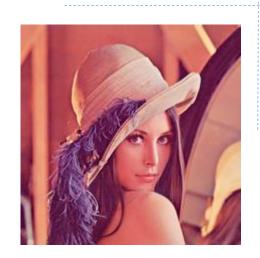
Uear 2020

Average pooling: Features are preserved

+							
	v_1	v_2	v_3	v_4			
	v_5	v_6	v_7	v_8			
	v_9	v_{10}	v_{11}	v_{12}			
$oxed{v_{13}} oxed{v_{14}} oxed{v_{15}} oxed{v_{16}}$							
Data							



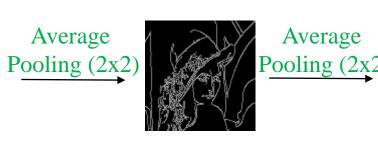
$m_1 = \text{mean}(v_1, v_2, v_5, v_6)$
$m_2 = \text{mean}(v_3, v_4, v_7, v_8)$
$m_3 = \mathrm{mean}(v_9, v_{10}, v_{13}, v_{14})$
$m_4 = \text{mean}(v_{11}, v_{12}, v_{15}, v_{16})$







Average



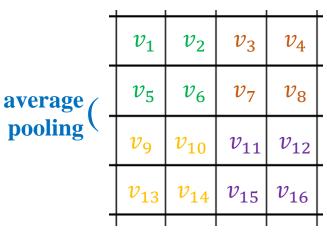
Feature map (110x110)

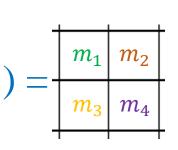


Feature map (55x55)

Average pooling: Features are preserved

v_1	v_2	v_3	v_4			
v_5	v_6	v_7	v_8			
v_9	v_{10}	v_{11}	v_{12}			
v_{13}	v_{14}	v_{15}	v_{16}			

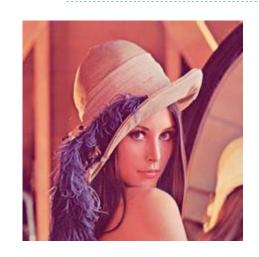




$m_1 = \text{mean}(v_1, v_2, v_5, v_6)$
$m_2 = \text{mean}(v_3, v_4, v_7, v_8)$
$m_3 = \mathrm{mean}(v_9, v_{10}, v_{13}, v_{14})$
$m_4 = \mathrm{mean}(v_{11}, v_{12}, v_{15}, v_{16})$

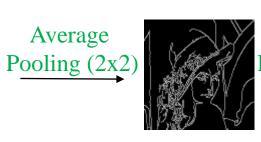
Data

keras.layers. AveragePooling2D (pool_size=2)





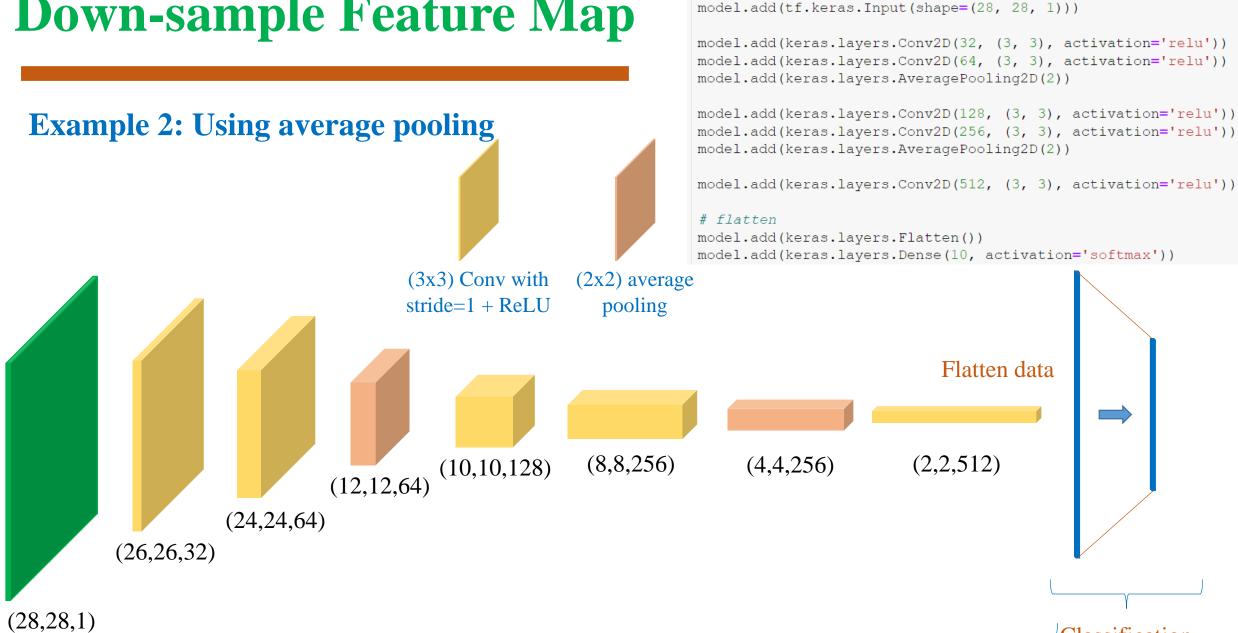
Feature map (220x220)



Average
Pooling (2x2)

Feature map (110x110)

Feature map (55x55)



model

model = keras.models.Sequential()

Classification

Max pooling vs. Average pooling





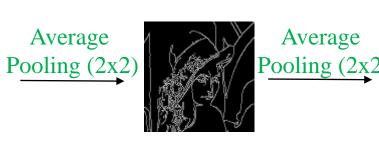
Feature map (220x220)



Feature map (55x55)



Feature map (220x220)



Feature map (110x110)

Average

Feature map (55x55)

Convolve with stride

v_1	v_2	v_3	v_4
v_5	v_6	v_7	v_8
v_9	v_{10}	v_{11}	v_{12}
v_{13}	v_{14}	v_{15}	v_{16}

 $\begin{array}{c|cc}
w_1 & w_2 & b \\
w_3 & w_4
\end{array}$

Kernel of parameters

Data **D**

Convolve **D** with stride=1

m_1	m_2	m_3			
m_4	m_5	m_6			
m_7	m_8	m_9			
Output					

		ı	I	I .	L _	1				L _	I.	ı	ı
	v_1	v_2	v_3	v_4		v_1	v_2	v_3	v_4		v_1	v_2	
	v_5	v_6	v_7	v_8		v_5	v_6	v_7	v_8	_	v_5	v_6	
	v_9	v_{10}	v_{11}	v_{12}		v_9	v_{10}	v_{11}	v_{12}		v_9	v_{10}	
	v_{13}	v_{14}	v_{15}	v_{16}		v_{13}	v_{14}	v_{15}	v_{16}	_	v_{13}	v_{14}	
I		l		l	l	l	l	l	l	l	l	l	l
	v_1	v_2	v_3	v_4		v_1	v_2	v_3	v_4	_	v_1	v_2	
	v_5	v_6	v_7	v_8		v_5	v_6	v_7	v_8		v_5	v_6	
	v_9	v_{10}	v_{11}	v_{12}		v_9	v_{10}	v_{11}	v_{12}		v_9	v_{10}	
	v_{13}	v_{14}	v_{15}	v_{16}		v_{13}	v_{14}	v_{15}	v_{16}		v_{13}	v_{14}	
I		l	l	l	l	l 	l	l	l	I	I	l	I
	v_1	v_2	v_3	v_4		v_1	v_2	v_3	v_4		v_1	v_2	
	v_5	v_6	v_7	v_8		v_5	v_6	v_7	v_8		v_5	v_6	
	v_9	v_{10}	v_{11}	v_{12}		v_9	v_{10}	v_{11}	v_{12}		v_9	v_{10}	
	v_{13}	v_{14}	v_{15}	v ₁₆		v_{13}	$ v_{14} $	v_{15}	v_{16}	_	v_{13}	v_{14}	
	T	Т	П	Т		ı l	I	I	I		ı 7		l

 v_{11}

 v_{15}

 v_3

 v_{11}

 v_{15}

 v_3

 v_{15}

 v_{12}

 v_{16}

 v_4

 v_8

 v_{12}

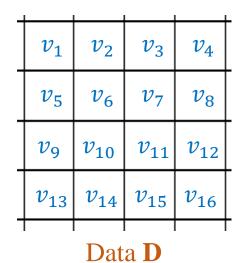
 v_{16}

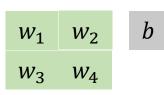
 v_4

 v_{12}

 v_{16}

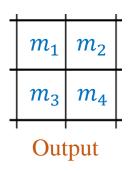
Convolve with stride





Kernel of parameters

Convolve **D** with stride=2



_				
	v_1	v_2	v_3	v_4
	v_5	v_6	v_7	v_8
	v_9	v_{10}	v_{11}	v_{12}
	v_{13}	v_{14}	v_{15}	v_{16}

$\perp v$	1	v_2	v_3	v_4
	5	v_6	v_7	v_8
v	9	v_{10}	v_{11}	v_{12}
v_1	13	v_{14}	v_{15}	v_{16}

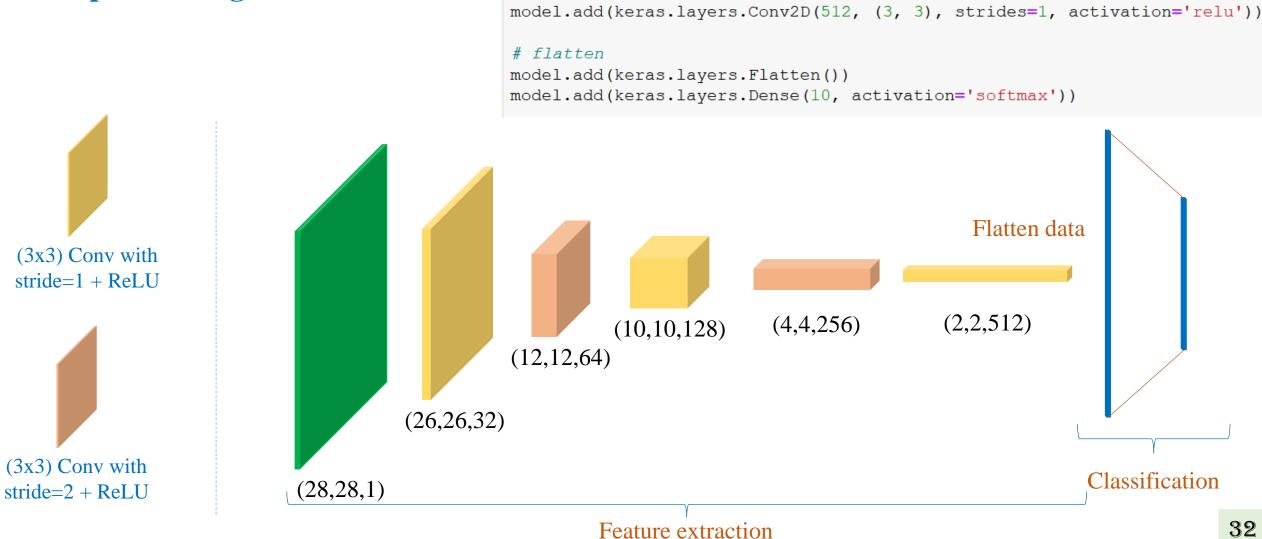
_	1				L
	v_1	v_2	v_3	v_4	
	v_5	v_6	v_7	v_8	
-	v_9	v_{10}	v_{11}	v_{12}	
	v_{13}	v_{14}	v_{15}	v_{16}	
					г

-	v_1	v_2	v_3	v_4
	v_5	v_6	v_7	v_8
П				
	v_9	v_{10}	v_{11}	v_{12}
	v_{9} v_{13}	v_{10} v_{14}	v_{11} v_{15}	v_{12} v_{16}

keras.layers.Conv2D(32, (3, 3), strides=1, activation='relu')

keras.layers.Conv2D(32, (3, 3), strides=2, activation='relu')

Example 2: Using Conv with strides



model

model = keras.models.Sequential()

model.add(tf.keras.Input(shape=(28, 28, 1)))

model.add(keras.layers.Conv2D(32, (3, 3), strides=1, activation='relu')) model.add(keras.layers.Conv2D(64, (3, 3), strides=2, activation='relu')) model.add(keras.layers.Conv2D(128, (3, 3), strides=1, activation='relu'))

model.add(keras.layers.Conv2D(256, (3, 3), strides=2, activation='relu'))

Example 2: Using Conv with strides

```
Epoch 1/10
Epoch 2/10
Epoch 3/10
Epoch 4/10
Epoch 5/10
Epoch 6/10
Epoch 7/10
Epoch 8/10
Epoch 9/10
Epoch 10/10
10000/1 - 5s - loss: 0.3381 - accuracy: 0.9055
```

Test accuracy: 0.9055

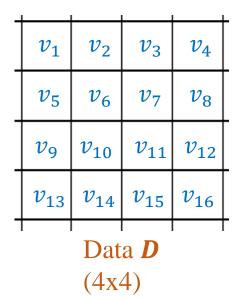
Uear 2020

Outline

- > From MLP to CNN
- > Feature Map Down-sampling
- > Padding
- > 1x1 Convolution
- > Image classification: Cifar-10 data
- > Backpropagation

Goal: Keep resolution of feature map

b



w_1	w_2 w_3	
W_4	w_5 w_6	
w_7	w_8	W_9

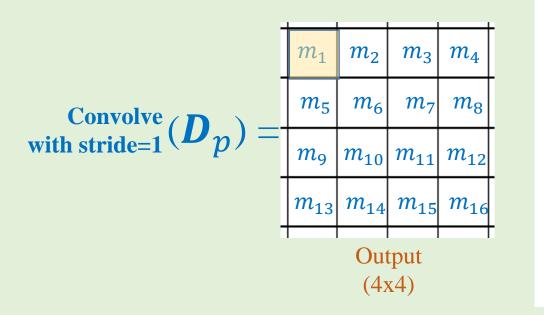
Kernel of parameters

Without using padding or padding=0

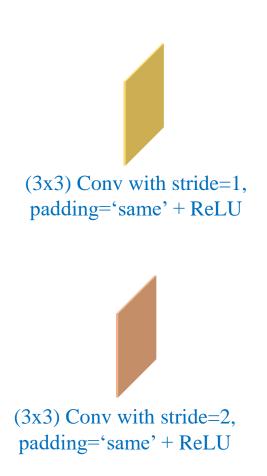
Convolve with stride=1
$$(D) = \begin{bmatrix} m_1 & m_2 \\ m_4 & m_5 \end{bmatrix}$$
Output (2x2)

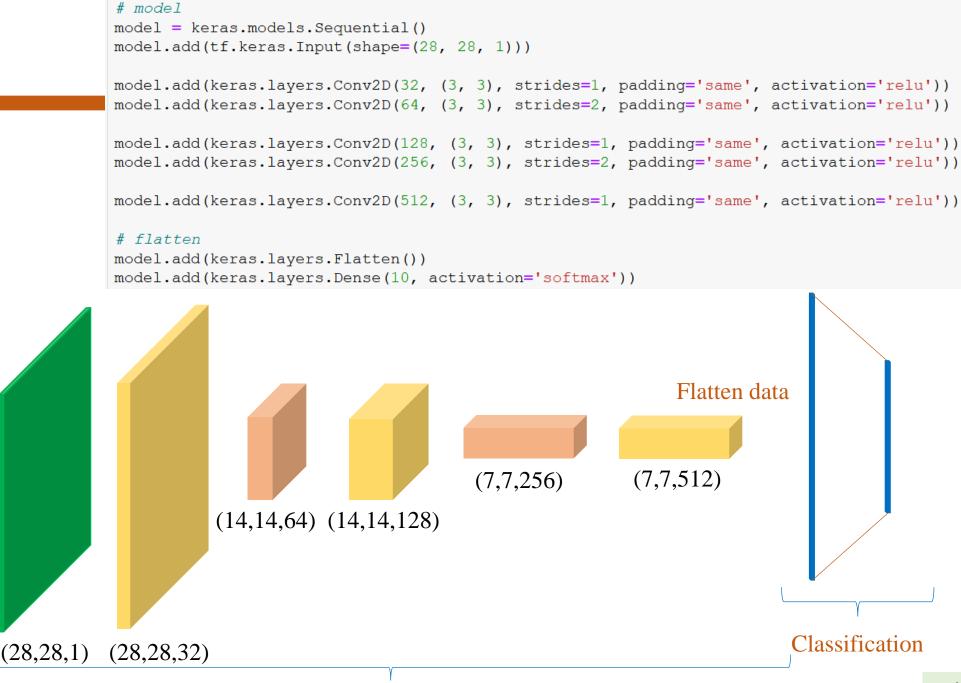
v	v	v	v	v	υ
v	v_1	v_2	v_3	v_4	v
v	v_5	v_6	v_7	v_8	v
v	v_9	v_{10}	v_{11}	v_{12}	v
v	v_{13}	v_{14}	v_{15}	v_{16}	v
v	v	v	v	v	v
	v v v	$egin{array}{c cccc} v & v_1 & & & \\ \hline v & v_5 & & \\ v & v_9 & & \\ v & v_{13} & & \\ \hline \end{array}$	$egin{array}{c c c c c c c c c c c c c c c c c c c $	$egin{array}{c c c c c c c c c c c c c c c c c c c $	$egin{array}{ c c c c c c c c c c c c c c c c c c c$

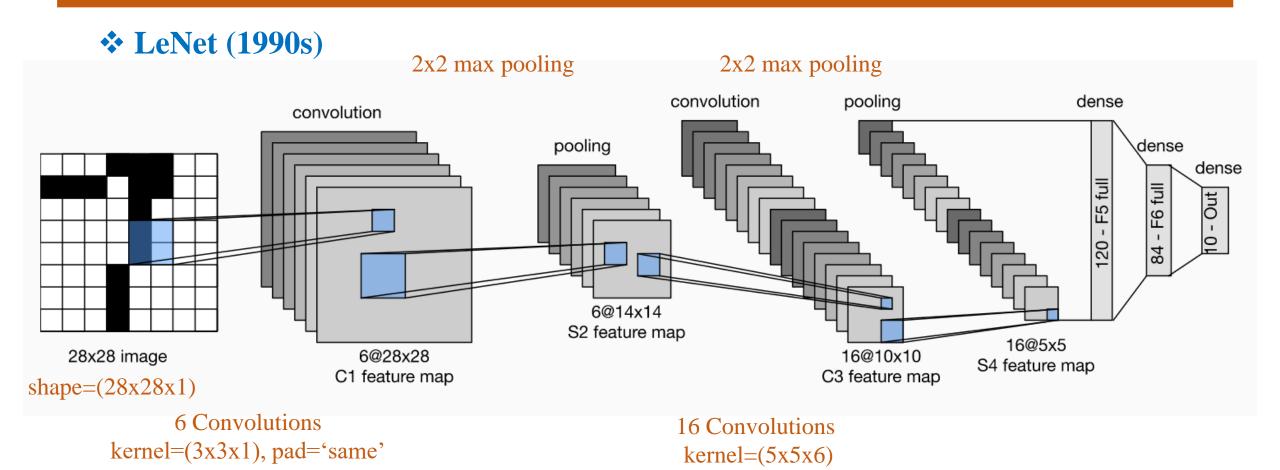
Data D_p



Example





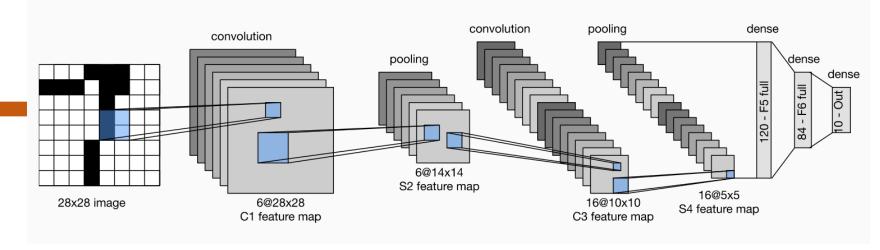


6 Convolutions kernel=(5x5x1), pad='same'

Year 2020

LeNet (1990s)

https://d21.ai/chapter_convolutiona l-neural-networks/lenet.html



```
# model architecture
model = tf.keras.Sequential()
# input shape (28,28,1)
model.add(tf.keras.Input(shape=(28, 28, 1)))
# convolution 1 and max pooling 1
model.add(tf.keras.layers.Conv2D(6, (5,5), padding='same', activation='relu'))
model.add(tf.keras.layers.MaxPooling2D(pool size=2))
# convolution 2 and max pooling 2
model.add(tf.keras.layers.Conv2D(filters=16, kernel size=5, activation='relu'))
model.add(tf.keras.layers.MaxPooling2D(pool size=2))
# Flatten
model.add(tf.keras.layers.Flatten())
# fully connected
model.add(tf.keras.layers.Dense(120, activation='relu'))
model.add(tf.keras.layers.Dense(84, activation='relu'))
model.add(tf.keras.layers.Dense(10, activation='softmax'))
```

Outline

- > From MLP to CNN
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- > 1x1 Convolution
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1x1 Convolution

Why 1x1 Convolution

***** Flexible input size



Yann LeCun April 7, 2015 · 🐊

In Convolutional Nets, there is no such thing as "fully-connected layers". There are only convolution layers with 1x1 convolution kernels and a full connection table.

It's a too-rarely-understood fact that ConvNets don't need to have a fixed-size input. You can train them on inputs that happen to produce a single output vector (with no spatial extent), and then apply them to larger images. Instead of a single output vector, you then get a spatial map of output vectors. Each vector sees input windows at different locations on the input.

In that scenario, the "fully connected layers" really act as 1x1 convolutions.

Yann LeCun



Yann LeCun in 2018

Born July 8, 1960 (age 60)

Soisy-sous-Montmorency, France

Alma mater ESIEE Paris (MSc)

Pierre and Marie Curie University

(PhD)

Known for Deep learning

Awards Turing Award (2018)

AAAI Fellow (2019)

Legion of Honour (2020)

Scientific career

Institutions Bell Labs (1988-1996)

New York University

Facebook

Thesis Modèles connexionnistes de

l'apprentissage (connectionist

learning models) (1987a)

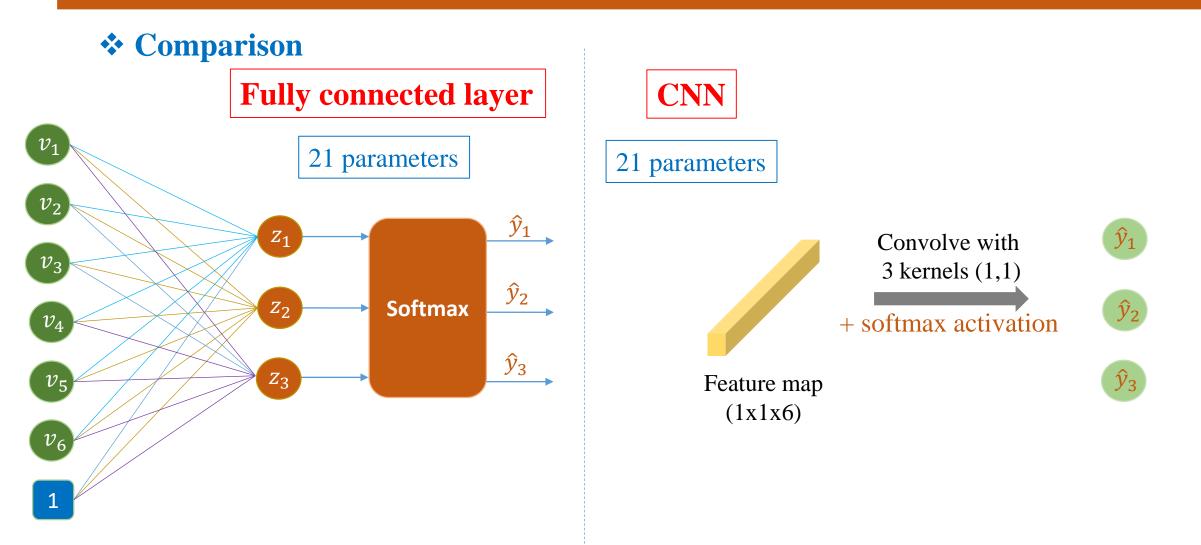
Doctoral

Maurice Milgram

advisor

Website vann.lecun.com ₽

1x1 Convolution



Replace FC by 1x1 Conv

```
(3x3) Conv with stride=1,
  padding='same' + ReLU
(3x3) Conv with stride=2,
padding='same' + ReLU
(7x7) Conv + ReLU
```

```
# model
                                   model = keras.models.Sequential()
model = keras.models.Sequential()
model.add(tf.keras.Input(shape=(28, 28, 1)))
                                   model.add(keras.layers.Conv2D(32, (3, 3), strides=1, padding='same', activation='relu'))
                                   model.add(keras.layers.Conv2D(64, (3, 3), strides=2, padding='same', activation='relu'))
                                   model.add(keras.layers.Conv2D(128, (3, 3), strides=1, padding='same', activation='relu'))
                                   model.add(keras.layers.Conv2D(256, (3, 3), strides=2, padding='same', activation='relu'))
                                   model.add(keras.layers.Conv2D(512, (7, 7), strides=1, activation='relu'))
                                   model.add(keras.layers.Conv2D(10, (1, 1), strides=1, activation='softmax'))
                                                                           (7,7,256)
                                                                                               (1,1,512)
                                                                                                            (1,1,10)
                                                (14,14,64) (14,14,128)
                                                                                                              Classification
                           (28,28,1)
                                      (28,28,32)
```

1x1 Convolution

Dynamic input sizes

```
# mode1
mode1 = keras.models.Sequential()
model.add(tf.keras.Input shape=(None, None, 1)))

model.add(keras.layers.Conv2D(32, (3, 3), strides=1, padding='same', activation='relu'))
model.add(keras.layers.Conv2D(64, (3, 3), strides=2, padding='same', activation='relu'))
model.add(keras.layers.Conv2D(128, (3, 3), strides=1, padding='same', activation='relu'))
model.add(keras.layers.Conv2D(256, (3, 3), strides=2, padding='same', activation='relu'))
model.add(keras.layers.Conv2D(512, (7, 7), strides=1, activation='relu'))
model.add(keras.layers.Conv2D(10, (1, 1), strides=1, activation='softmax'))
```

Shape=(batch size, height, width, channel)

1x1 Convolution

Dynamic input sizes

```
# model
model = keras.models.Sequential()
model.add(tf.keras.Input(shape=(None, None, 1)))
model.add(keras.layers.Conv2D(32, (3, 3), activation='relu'))
model.add(keras.layers.Conv2D(64, (3, 3), activation='relu'))
model.add(keras.layers.MaxPooling2D(2))
model.add(keras.layers.Conv2D(128, (3, 3), activation='relu'))
model.add(keras.layers.Conv2D(256, (3, 3), activation='relu'))
model.add(keras.layers.MaxPooling2D(2))
model.add(keras.layers.Conv2D(512, (3, 3), activation='relu'))
# flatten
model.add(keras.layers.Flatten())
model.add(keras.layers.Dense(10, activation='softmax'))
```

Outline

- > From MLP to CNN
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Size of Feature Maps

Summary. To summarize, the Conv Layer:

- ullet Accepts a volume of size $W_1 imes H_1 imes D_1$
- Requires four hyperparameters:
 - \circ Number of filters K,
 - \circ their spatial extent F,
 - \circ the stride S,
 - \circ the amount of zero padding P.
- ullet Produces a volume of size $W_2 imes H_2 imes D_2$ where:
 - $W_2 = (W_1 F + 2P)/S + 1$
 - $\circ H_2 = (H_1 F + 2P)/S + 1$ (i.e. width and height are computed equally by symmetry)
 - $\circ D_2 = K$
- With parameter sharing, it introduces $F \cdot F \cdot D_1$ weights per filter, for a total of $(F \cdot F \cdot D_1) \cdot K$ weights and K biases.
- In the output volume, the d-th depth slice (of size $W_2 imes H_2$) is the result of performing a valid convolution of the d-th filter over the input volume with a stride of S, and then offset by d-th bias.

airplane

























automobile





























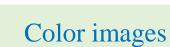












Resolution=32x32

Testing set: 10000 samples











































truck



















Cifar-10 Image Classification

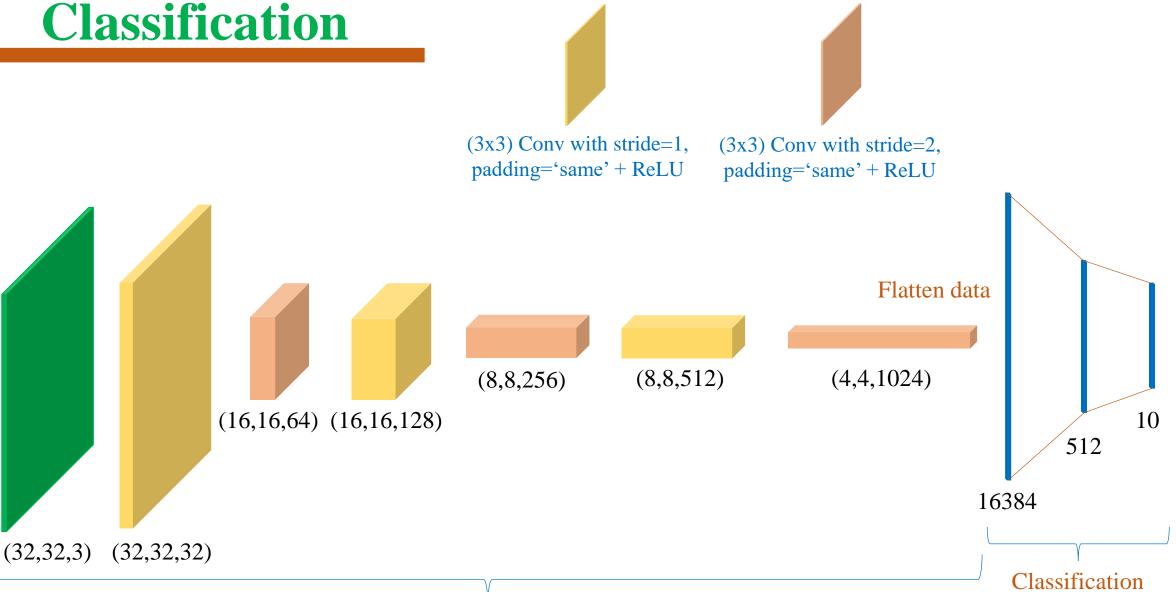


Image Classification

Cifar-10

```
import tensorflow as tf

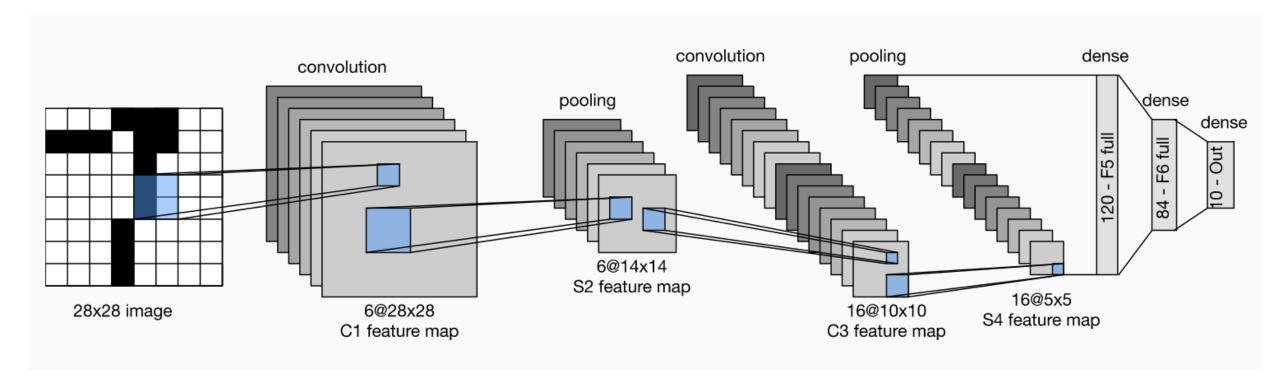
data preparation
cifar10 = tf.keras.datasets.cifar10
(x_train, y_train),(x_test, y_test) = cifar10.load_data()

normalize
x_train, x_test = x_train / 255.0, x_test / 255.0
```

```
# model
model = keras.models.Sequential()
model.add(tf.keras.Input(shape=(height, width, 3)))
model.add(keras.layers.Conv2D(32, (3, 3), strides=1, padding='same', activation = 'relu'))
model.add(keras.layers.Conv2D(64, (3, 3), strides=2, padding='same', activation='relu'))
model.add(keras.layers.Conv2D(128, (3, 3), strides=1, padding='same', activation='relu'))
model.add(keras.layers.Conv2D(256, (3, 3), strides=2, padding='same', activation='relu'))
model.add(keras.layers.Conv2D(512, (3, 3), strides=1, padding='same', activation='relu'))
model.add(keras.layers.Conv2D(1024, (3, 3), strides=2, padding='same', activation='relu'))
# flatten
model.add(keras.layers.Flatten())
model.add(keras.layers.Dense(512, activation='relu'))
model.add(keras.layers.Dense(10, activation='softmax'))
model.summary()
```

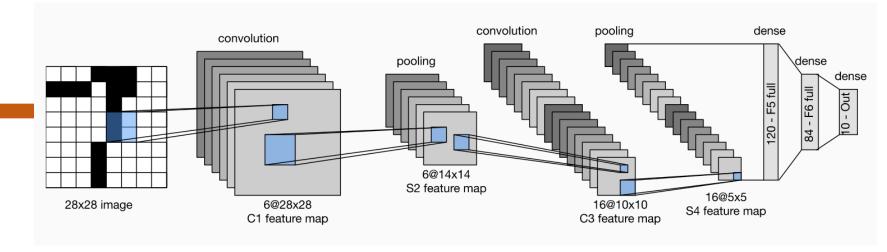
LeNet Architecture

***** Model Construction



LeNet Architecture

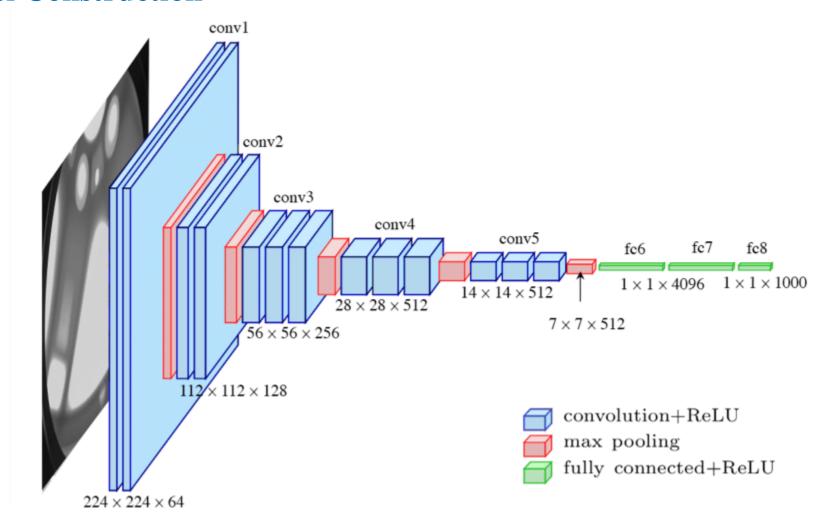
***** Model Construction



```
# model architecture
model = tf.keras.Sequential()
# input shape (28,28,1)
model.add(tf.keras.Input(shape=(28, 28, 1)))
# convolution 1 and max pooling 1
model.add(tf.keras.layers.Conv2D(6, (5,5), padding='same', activation='relu'))
model.add(tf.keras.layers.MaxPooling2D(pool size=2))
# convolution 2 and max pooling 2
model.add(tf.keras.layers.Conv2D(filters=16, kernel size=5, activation='relu'))
model.add(tf.keras.layers.MaxPooling2D(pool size=2))
# Flatten
model.add(tf.keras.layers.Flatten())
# fully connected
model.add(tf.keras.layers.Dense(120, activation='relu'))
model.add(tf.keras.layers.Dense(84, activation='relu'))
model.add(tf.keras.layers.Dense(10, activation='softmax'))
```

VGG16 Architecture

***** Model Construction



VGG16 Architecture

Model Construction

```
def VGG 16():
   model = Sequential()
   model.add(Convolution2D(64, 3, padding='same', activation='relu',
                            input shape=(224,224, 1)))
   model.add(Convolution2D(64, 3, padding='same', activation='relu'))
   model.add(MaxPooling2D((2,2), strides=(2,2)))
   model.add(Convolution2D(128, 3, padding='same', activation='relu'))
   model.add(Convolution2D(128, 3, padding='same', activation='relu'))
   model.add(MaxPooling2D((2,2), strides=(2,2)))
   model.add(Convolution2D(256, 3, padding='same', activation='relu'))
   model.add(Convolution2D(256, 3, padding='same', activation='relu'))
   model.add(Convolution2D(256, 3, padding='same', activation='relu'))
   model.add(MaxPooling2D((2,2), strides=(2,2)))
   model.add(Convolution2D(512, 3, padding='same', activation='relu'))
   model.add(Convolution2D(512, 3, padding='same', activation='relu'))
   model.add(Convolution2D(512, 3, padding='same', activation='relu'))
   model.add(MaxPooling2D((2,2), strides=(2,2)))
   model.add(Convolution2D(512, 3, padding='same', activation='relu'))
   model.add(Convolution2D(512, 3, padding='same', activation='relu'))
   model.add(Convolution2D(512, 3, padding='same', activation='relu'))
   model.add(MaxPooling2D((2,2), strides=(2,2)))
   model.add(Flatten())
   model.add(Dense(4096, activation='relu'))
   model.add(Dense(4096, activation='relu'))
   model.add(Dense(1000, activation='softmax'))
    return model
```

Image Classification

Demo

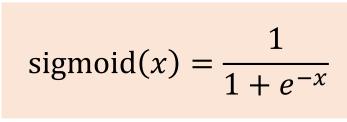
Year 2020

Outline

- > From MLP to CNN
- > Feature Map Down-sampling
- > Padding
- > 1x1 Convolution
- > Image classification: Cifar-10 data
- > Backpropagation

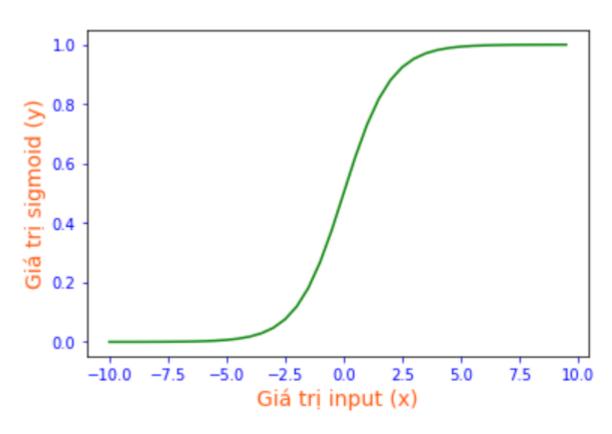
Sigmoid Function

Sigmoid function



data_a = sigmoid(data)





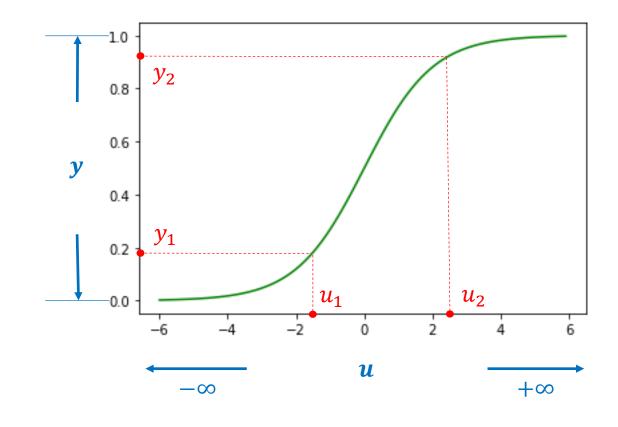
Sigmoid Function

Sigmoid function

$$y = \sigma(u) = \frac{1}{1 + e^{-u}}$$
$$u \in (-\infty + \infty)$$
$$y \in (0 \ 1)$$

Property

$$\forall u_1 u_2 \in [a \ b] \text{ và } u_1 \le u_2$$
$$\rightarrow \sigma(u_1) \le \sigma(u_1)$$



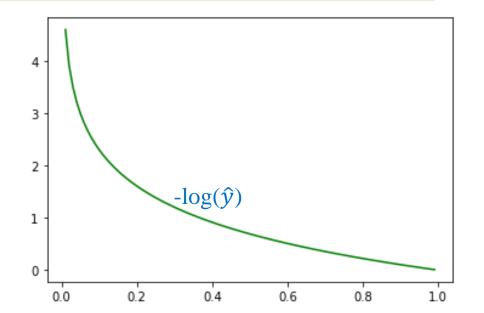
Sigmoid Function

Construct loss

$$z = \boldsymbol{\theta}^T \boldsymbol{x}$$

$$\hat{y} = \sigma(z) = \frac{1}{1 + e^{-z}}$$

$$L = \frac{1}{N} \left[-y^T \log(\hat{y}) - (1 - y^T) \log(1 - \hat{y}) \right]$$



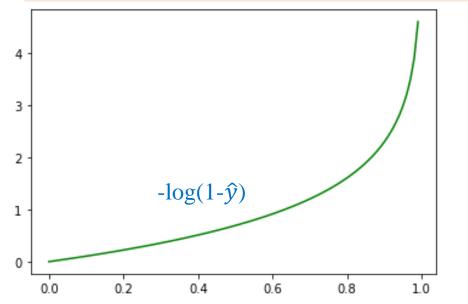
$$\frac{\partial L}{\partial \theta} = \frac{\partial L}{\partial \hat{y}} \frac{\partial \hat{y}}{\partial z} \frac{\partial z}{\partial \theta}$$

$$\frac{\partial L}{\partial \hat{y}} = \frac{1}{N} \left(-\frac{y}{\hat{y}} + \frac{1 - y}{1 - \hat{y}} \right) = \frac{1}{N} \frac{\hat{y} - y}{\hat{y}(1 - \hat{y})}$$

$$\frac{\partial \hat{y}}{\partial z} = \hat{y}(1 - \hat{y})$$

$$\frac{\partial L}{\partial \theta} = x$$

$$\frac{\partial L}{\partial \theta} = \frac{1}{N} x^T (\hat{y} - y)$$



Tanh Function

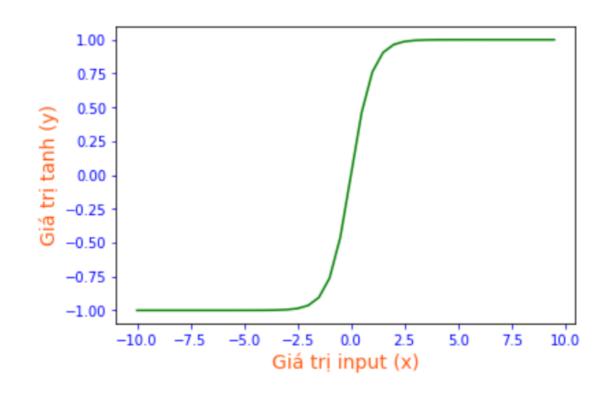
***** Tanh function

$$\tanh(x) = \frac{2}{1 + e^{-2x}} - 1$$



 $\underline{data}\underline{a} = \underline{tanh}(data)$





Tanh Function

Construct loss

$$z = \boldsymbol{\theta}^{T} \boldsymbol{x}$$

$$\hat{y} = tanh(z) = \frac{e^{z} - e^{-z}}{e^{z} + e^{-z}}$$

$$L = \frac{1}{N} [-y^{T} \log(\hat{y}) - (1 - y^{T}) \log(1 - \hat{y})]$$

$$\frac{\partial L}{\partial \theta} = \frac{\partial L}{\partial \hat{y}} \frac{\partial \hat{y}}{\partial z} \frac{\partial z}{\partial \theta}$$

$$\frac{\partial L}{\partial \hat{y}} = \frac{1}{N} \left(-\frac{y}{\hat{y}} + \frac{1 - y}{1 - \hat{y}} \right) = \frac{1}{N} \frac{\hat{y} - y}{\hat{y}(1 - \hat{y})}$$

$$\frac{\partial \hat{y}}{\partial z} = 1 - \hat{y}^2$$

$$\frac{\partial L}{\partial \theta} = \frac{1}{N} x^T \frac{(\hat{y} - y)(1 + \hat{y})}{\hat{y}}$$

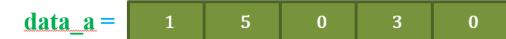
To-do List for Training

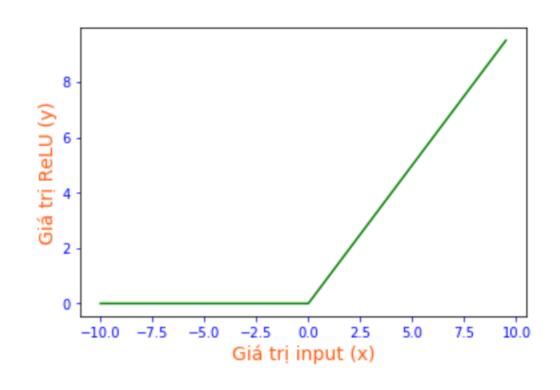
ReLU function

$$ReLU(x) = \begin{cases} 0 & \text{if } x < 0 \\ x & \text{if } x \ge 0 \end{cases}$$



data a = ReLU(data)





Implementation (straightforward)

Softmax function

Chuyển các giá trị của một vector thành các giá trị xác suất

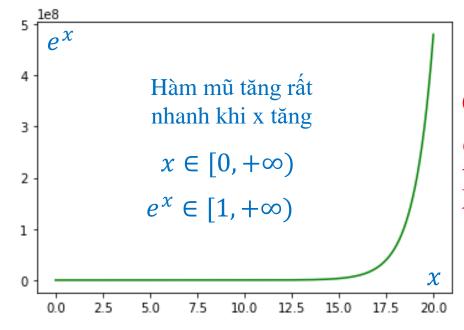


```
import numpy as np
def softmax(X):
    exps = np.exp(X)
    return exps / np.sum(exps)
```

```
1  X = np.array([1.0, 2.0, 3.0])
2  f = softmax(X)
3  print(f)
```

[0.09003057 0.24472847 0.66524096]

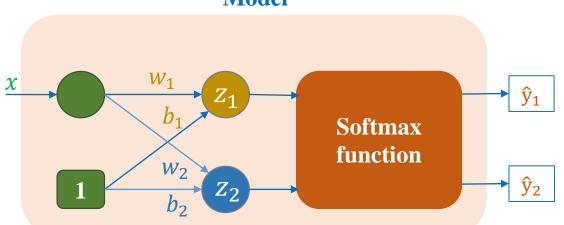
```
1  X = np.array([1000.0, 1001.0, 1002.0])
2  f = softmax(X)
3  print(f)
```



Giá trị nan vì e^x vượt giới hạn lưu trữ của biến

Loss function

Model



$$L(\boldsymbol{\theta}) = -\sum_{i=1}^{2} \delta(i, y) \log \hat{y}_{i}$$

$$\hat{y}_1 = \frac{e^{z_1}}{\sum_{j=1}^2 e^{z_j}}$$

$$\hat{y}_2 = \frac{e^{z_2}}{\sum_{j=1}^2 e^{z_j}}$$

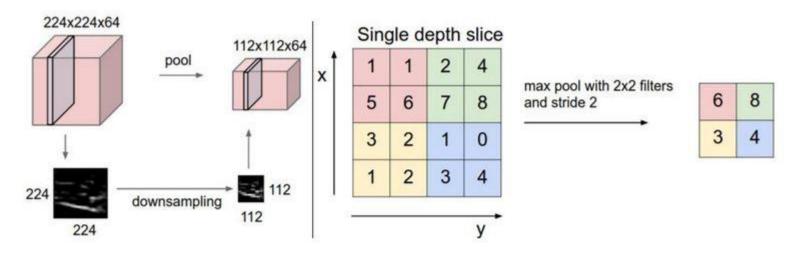
Derivative

$$\frac{\partial \hat{y}_i}{\partial z_j} = \hat{y}_i (\delta(i, j) - \hat{y}_j)$$
$$\frac{\partial L}{\partial z_i} = \hat{y}_i - \delta(i, y)$$

$$\frac{\partial L}{\partial z_i} = \hat{y}_i - \delta(i, y)$$

Max Pooling

Forward and Backward Max Pooling



6	8	Backpropagation
3	4	

0	0	0	0
0	dout	0	dout
dout	0	0	0
0	0	0	dout

Max Pooling

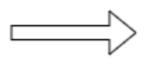
```
import tensorflow as tf
  import tensorflow.keras as keras
   import numpy as np
   max pooling = keras.layers.MaxPooling2D(pool size=2, strides=2)
   data X = np.array([[1, 5, 3, 7],
                       [8, 7, 3, 5]])*1.0
   data X = data X.reshape(1, 4, 4, 1)
   data X = tf.Variable(data X)
13
   data y = np.ones((1, 2, 2, 1))*1.0
   data y = tf.Variable(data y)
16
   print('\n\n data X: \n', data X[0,:,:,0])
18 print('\n\n data y: \n', data y[0,:,:,0])
   with tf.GradientTape(persistent=True) as tape:
      data after mp = max pooling(data X)
22
      print('\n\n data after mp: \n', data after mp[0,:,:,0])
23
      loss = tf.reduce mean((data after mp-data y) **2)
24
25
      print('\n\n loss: \n', loss)
26
27
      dloss = tape.gradient(loss, data after mp)
      print('\n\n dloss: \n', dloss[0,:,:,0])
28
29
      ddata X = tape.gradient(loss, data X)
30
       print('\n\n ddata X: \n', ddata X[0,:,:,0])
31
```

```
data X:
tf.Tensor(
[7. 3. 9. 2.]
[3. 5. 8. 4.]
[8. 7. 3. 5.]], shape=(4, 4), dtype=float64)
data y:
tf.Tensor(
[1. 1.]], shape=(2, 2), dtype=float64)
data after mp:
tf.Tensor(
[8. 8.]], shape=(2, 2), dtype=float64)
loss:
tf.Tensor(49.5, shape=(), dtype=float64)
[3.5 3.5]], shape=(2, 2), dtype=float64)
ddata X:
tf.Tensor(
 [3.5 0. 0. 0.]], shape=(4, 4), dtype=float64)
```

Average Pooling

Formula

4	3	1	5
1	3	4	8
4	5	4	3
6	5	9	4

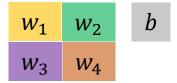


2.8	4.5
5.3	5.0

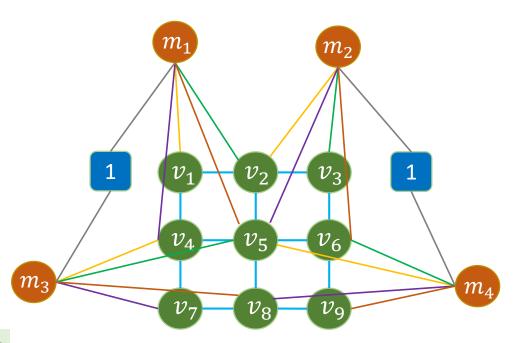
Al Insight Course Average Pooling

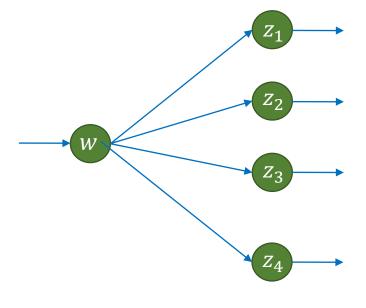
```
import tensorflow as tf
   import tensorflow.keras as keras
   import numpy as np
 4
   avg pooling = keras.layers.AveragePooling2D(pool size=2, strides=2)
    data X = np.array([[1, 5, 3, 7],
                       [7, 3, 9, 2],
                       [3, 5, 8, 4],
                       [8, 7, 3, 5]])*1.0
   data X = \text{data } X.\text{reshape}(1, 4, 4, 1)
   data X = tf. Variable (data X)
14 data y = np.ones((1, 2, 2, 1))*1.0
   data y = tf. Variable (data y)
   print('\n\n data X: \n', data X[0,:,:,0])
18 print('\n\n data y: \n', data y[0,:,:,0])
20 with tf.GradientTape(persistent=True) as tape:
       data after ap = avg pooling(data X)
22
       print('\n\n data after ap: \n', data after ap[0,:,:,0])
23
24
      loss = tf.reduce mean((data after ap-data y) **2)
25
      print('\n\n loss: \n', loss)
26
27
      dloss = tape.gradient(loss, data after ap)
28
      print('\n\n dloss: \n', dloss[0,:,:,0])
29
30
       ddata X = tape.gradient(loss, data X)
      print('\n\n ddata X: \n', ddata X[0,:,:,0])
31
```

```
data X:
tf.Tensor(
[1.5.3.7.1
[7. 3. 9. 2.]
[3. 5. 8. 4.]
[8. 7. 3. 5.]], shape=(4, 4), dtype=float64)
data y:
tf.Tensor(
[[1. 1.]]
 [1. 1.]], shape=(2, 2), dtype=float64)
data after ap:
tf.Tensor(
[[4. 5.25]
[5.75 5. ]], shape=(2, 2), dtype=float64)
loss:
tf.Tensor(16.40625, shape=(), dtype=float64)
 dloss:
 tf.Tensor(
[[1.5 2.125]
 [2.375 2.
            ]], shape=(2, 2), dtype=float64)
ddata X:
tf.Tensor(
[[0.375 0.375
                 0.53125 0.53125]
[0.375 0.375
                0.53125 0.53125]
[0.59375 0.59375 0.5
                         0.5
[0.59375 0.59375 0.5
                         0.5
                                ]], shape=(4, 4)
```

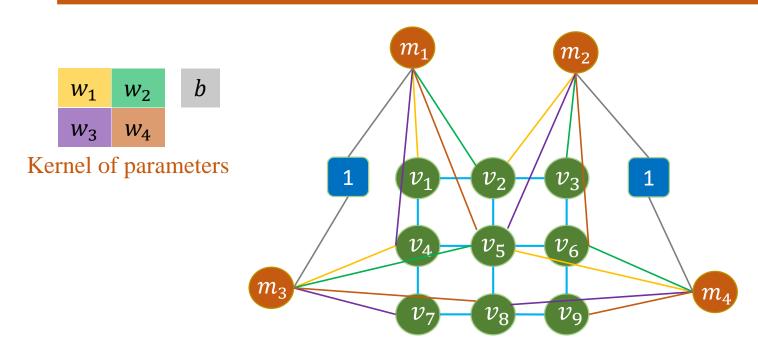


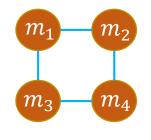
Kernel of parameters





$$\frac{\partial L}{\partial w} = \sum_{i=1}^{4} \frac{\partial L}{\partial z_i} \frac{\partial z_i}{\partial w}$$





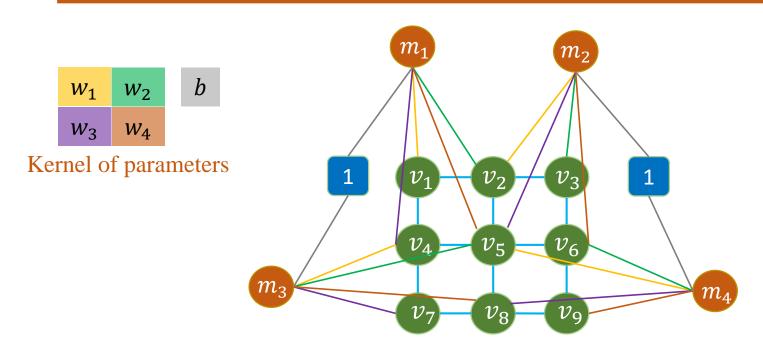
Feature Map

$$m_1 = v_1 w_1 + v_2 w_2 + v_4 w_3 + v_5 w_4 + b$$

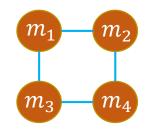
$$m_2 = v_2 w_1 + v_3 w_2 + v_5 w_3 + v_6 w_4 + b$$

$$m_3 = v_4 w_1 + v_5 w_2 + v_7 w_3 + v_8 w_4 + b$$

$$m_4 = v_5 w_1 + v_6 w_2 + v_8 w_3 + v_9 w_4 + b$$



$$\frac{\partial L}{\partial w_1} = \sum_{i} \frac{\partial L}{\partial m_i} \frac{\partial m_i}{\partial w_1}$$



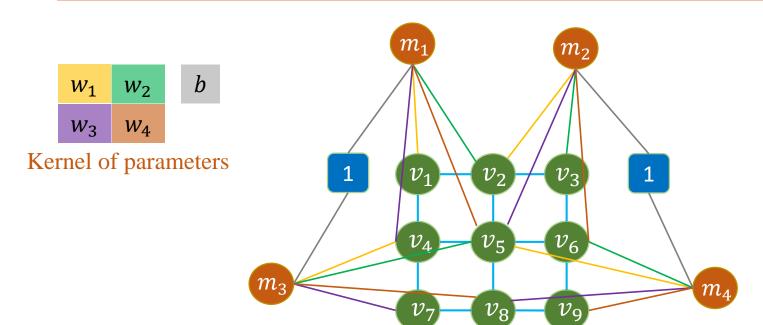
Feature Map

$$m_1 = v_1 w_1 + v_2 w_2 + v_4 w_3 + v_5 w_4 + b$$

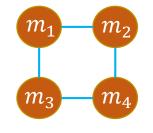
$$m_2 = v_2 w_1 + v_3 w_2 + v_5 w_3 + v_6 w_4 + b$$

$$m_3 = v_4 w_1 + v_5 w_2 + v_7 w_3 + v_8 w_4 + b$$

$$m_4 = v_5 w_1 + v_6 w_2 + v_8 w_3 + v_9 w_4 + b$$



$$\frac{\partial L}{\partial w_1} = \sum_{i} v_i \frac{\partial L}{\partial m_i}$$



Feature Map

$$m_1 = v_1 w_1 + v_2 w_2 + v_4 w_3 + v_5 w_4 + b$$

$$m_2 = v_2 w_1 + v_3 w_2 + v_5 w_3 + v_6 w_4 + b$$

$$m_3 = v_4 w_1 + v_5 w_2 + v_7 w_3 + v_8 w_4 + b$$

$$m_4 = v_5 w_1 + v_6 w_2 + v_8 w_3 + v_9 w_4 + b$$

```
import tensorflow as tf
 2 import tensorflow.keras as keras
3 import numpy as np
5 ■conv2D = keras.layers.Conv2D(1, (2, 2), activation='relu')
7 data X = np.array([[1, 1, 2],
                       [1, 2, 1],
                      [2, 1, 1]])
10 data X = data X.reshape(1, 3, 3, 1)
11 data X = tf.Variable(data X, dtype=tf.float32)
12
13 data y = np.ones((1, 2, 2, 1))
14 data y = tf.Variable(data y, dtype=tf.float32)
15
16 print('\n\n data X: \n', data X[0,:,:,0])
17 print('\n\n data y: \n', data y[0,:,:,0])
   with tf.GradientTape(persistent=True) as tape:
      _data after conv = conv2D(data X)
20
       print('\n\n data after conv: \n', data after conv[0,:,:,0])
21
22
23
        # Check the values of the current conv weight
24
       print('\n\n conv weight: \n', conv2D.trainable weights[0][:,:,0,0])
25
       print('\n conv bias: \n', conv2D.trainable weights[1][0])
26
27
      loss = tf.reduce mean((data after conv-data y) **2)
28
      print('\n\n loss: \n', loss)
29
30
      dloss = tape.gradient(loss, data after conv)
31
      print('\n\n dloss: \n', dloss[0,:,:,0])
32
33
      dconv weight = tape.gradient(loss, conv2D.trainable weights)
34
      print('\n\n dconv weight: \n', dconv weight[0][:,:,0,0])
35
      print('\n dconv bias: \n', dconv weight[1][0])
```

```
data X:
tf.Tensor(
 [1. 1. 2.]
 [1. 2. 1.]
[2. 1. 1.]], shape=(3, 3), dtype=float32)
data y:
tf.Tensor(
[1. 1.]
[1. 1.]], shape=(2, 2), dtype=float32)
data after conv:
tf.Tensor(
[[0.9292677 1.2405912]
[1.2405912 1.6272811]], shape=(2, 2), dtype=float32)
conv weight:
tf.Tensor(
[[ 0.6779961 -0.3282364 ]
[ 0.61954254 -0.02001727]], shape=(2, 2), dtype=float32)
conv bias:
tf.Tensor(0.0, shape=(), dtype=float32)
loss:
tf.Tensor(0.12856321, shape=(), dtype=float32)
dloss:
tf.Tensor(
[[-0.03536615 0.12029558]
[ 0.12029558  0.31364053]], shape=(2, 2), dtype=float32)
dconv weight:
tf.Tensor(
[[0.83250606 0.75945675]
[0.75945675 0.4834994 ]], shape=(2, 2), dtype=float32)
dconv bias:
tf.Tensor(0.5188656, shape=(), dtype=float32)
```



Reading and Exercises

***** Exercises

- 1) Use LeNet for the fashion-MNIST and Cifar-10 data sets
- 2) What are the advantages of using 1x1 Conv instead of FC

***** Reading

https://cs231n.github.io/convolutional-networks/

https://towards datascience.com/a-comprehensive-guide-to-convolutional-neural-networks-the-eli5-way-3bd 2b1164a53

https://stanford.edu/~shervine/teaching/cs-230/cheatsheet-convolutional-neural-networks

Year 2020

