# Forecasting the black Sigatoka development rate: A machine learning methods comparison

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#### Abstract

Pending.

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#### 1 1. Introduction

- The Black Sigatoka disease caused by the fungus Mycosphaerella fijiensis
- Morelet is the major pathological problem of banana and plantain crops in
- 4 Central America, Panama, Colombia and Ecuador, as in many parts of Africa
- 5 and Asia [6].
- This disease attacks the plant leaves producing a rapid deterioration of the
- <sup>7</sup> leaf area, affects the growth and productivity of plants as the ability of photo-
- synthesis decreases, causes a reduction in the quality of the fruit, and promotes
- premature maturation of bunches, which is the major cause of product losses
- due to this disease. Figure 1 shows three stages of this disease.
- Phytopathological studies point out that precipitation, temperature, relative
- 12 humidity and wind are the main climatic variables that affect the development
- of this disease [6].

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Figure 1: Examples of three disease stages of the black Sigatoka. (a) Initial stage. (b) Intermediate stage, and (c) Advanced stage.

According to studies by the National Banana Corporation of Costa Rica 14 (Corbana) made in 2013, considering on average between 53 thru 57 cycles of 15 fungicide applications per farm, the cost per hectare per year ranged between \$1800 USD and thru \$1900 USD. This represents about 0.76 cents of the price 17 of a box of 18.14 kilograms. Overall, this represents 10% to 12% of the total production cost Brescani [1]. 19 The past and present disease development rate can in principle be used to predict its future behavior, tendencies and to determine whether particular fungicide spray schedules will be able to effectively and economically control the 22 disease Chuang and Jeger [3]. 23 There are efforts to apply machine learning methods for decision-making in 24 agriculture, including the control of crop diseases. For example, [Camargo et al.,2012] 25 present an intelligent systems for the assessment of crop disorders, [4] introduce a plant virus identification method based on neural networks with an evolu-27 tionary preprocessing stage, [5] summarize in their survey crop pests prediction methods using regression and machine learning approaches, while [7] present an 29 intelligent agricultural forecasting system based on wireless sensor networks. 30 In this work, we compare four machine learning methods: support vector 31 regression (SVR), echo state networks (ESN), ridge regression, and ordinary 32 least squares linear regression, to predict the black Sigatoka disease development 33 rate. 34

The main contribution of this work is a comparison between machine learning methods to forecast black Sigatoka development rate.

## 2. Materials and methods

- 38 2.1. Concepts
- 39 2.1.1. Biological warning system
- The system measures the disease development state to determine when to 40 apply fungicides [6]. This system is based on two components: a climate com-41 ponent, which is given by the Piche evaporation and a biological component, 42 given by the stage of progress or the rate of disease development. Originally, 43 this system was designed to work with young plants. One selected plant must exhibit a normal growth and be in a place that enforces a healthy development. 45 The plant must start with 5 to 6 true leaves. The assessments are made at 46 fixed intervals of seven days as long as possible, on the same plant. The first 47 observations should consider the leaf emission, also the level of infection on the
- leaves should be evaluated considering the stages of development [6].
- 50 2.1.2. Support Vector Regression (SVR)
- From the perspective of Support Vector Regression (SVR) the regression 51 function y=f(s) for a given dataset  $D=(s_i,y_i)_(i=1)^n$  , is represented as a 52 linear function of the form (Wei, Tao, ZhuoShu, and Zio, 2013):  $f(s) = w^T s + b$ where w and b are respectively the weight vector and the intercept of the model, and they are selected to find an optimal fit to the data available in D. For non-55 linear cases, one proceeds by mapping the input p-dimensional vectors via a 56 nonlinear function  $R^pF$ , onto the feature space F. After nonlinear mapping, the 57 regression function evolves to a pervasive form:  $f(s) = w^{T}(s) + b$  SVR uses the -insensitive loss function:  $l = |y - f(s)| = (0, |y - f(s)| @|y - f(s)|_{-}, else)$ which ignores the error if the difference between the prediction value and the 60 actual value is smaller than . -insensitive loss function allows to find the 61 coefficients w and b by solving a convex optimization problem, which balances 62 the empirical error and the generalization ability. In SVR, the empirical error is measured by the loss function -insensitive and the generalization ability is measured by the Euclidean norm of w. Then, the optimization problem to identify

- the regression model can be formulated by (Wei, Tao, ZhuoShu, and Zio, 2013):
- $minimize J(w, i, i) = 1/2||w||^2 + C_{(i)} = 1)^n(i, i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i = 1, i, n@_i, i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i * i) subject to (y_i w^T(s) b + i@w^T(s) + b y_i + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s) + i * i) subject to (y_i w^T(s) b + i@w^T(s$
- where C denotes the penalty parameter between empirical and generalization
- errors, and  $i, i^*$ ) are slack variables, as shown in Fig 2.

Fig 2: -insensitive loss function (Wei, Tao, ZhuoShu, and Zio, 2013) The solution of this optimization problem by the Lagrange method is:  $f(s) = w^T(s) + b = (i = 1)^n (i - i)^* K(s, s_i) + b$  where i, i are the Lagrange multipliers of the optimization problems dual form and  $K(s_i, s_j)$  is the kernel function satisfying Mercer condition, and can be described by:  $K(s_i, s_j) = (s_i)(s_j)$  Common kernel functions are: linear, polynomial and sigmoid. Operations in the kernel function  $K(s,s_i)$  are performed in the input spacerather than in the potentially high dimensional feature space of An inner problems.

## 2.1.3. Ordinary least square

This method fits a linear model with coefficients w = (w1,...,wp) to minimize the residual sum of squares between the observed responses in the dataset, and the responses predicted by the linear approximation. Mathematically it solves a problem of the form (scikit-learn developer, 2014): (min@w) Xw-y<sub>2</sub><sup>2</sup>

## 71 2.1.4. Ridge regression

This addresses some of the problems of Ordinary Least Squares by imposing a penalty on the size of coefficients. The ridge coefficients minimize a penalized residual sum of squares (scikit-learn developer, 2014): (min@w) Xw- $y_2^2 + w_2^2 Here, 0 is a complexity parameter that controls the amount of shrinkage: the larger the value of, the greater the amount of shrinkage and thus the coefficients become more robust to collinear.$ 

## 2.1.5. Echo State Networks (ESN)

Recurrent Neural Networks (RNN) are useful for temporal patterns, but when they are trained with backpropagation method, they are very slow. Echo State Network (ESN) is an alternative training method to solve that problem. ESN is based on the observation that if a random RNN possesses certain algebraic properties, training only a linear readout from it is often sufficient to achieve excellent performance in practical applications (Lukoeviius and Jaeger,

2009). For a given training input signal u(n)  $R^{(N_u)}$  adesired target output signal  $y^{(target(n))}$   $R^{(N_y)}$  is known. Here 1, ..., T is the discrete time and T is the number of data points in the training dataset. The task is to learn a model without T is the discrete time and T is the

Figure 3: An echo state network (Lukosevicius, 2012) The connections between the different elements of an Echo State Network have weights randomly 74 generated. The weights of the internal connections of the reservoir (W) as well as the weights of the input layer (Win), after being generated are set statically 76 during all stages of implementation of the algorithm. The weights between the reservoir and the output layer (Wout) are subject to changes of a supervised learning algorithm to correct the degree of error generated by the entire system 79 (Lukoeviius M., 2012). Related works A related work, no machine learning approach, was performed by Romero (1995) who in the third chapter of his 81 doctoral thesis in the field of plant pathology, proposed regression models using stepwise procedure to predict incubation and latency times of black Sigatoka. The author performed experiments on two farms located in Costa Rica (Rita and Waldeck, the same as those used in this study but with different names). The time intervals used for that study were: December 1993 thru August 1995. Romero concluded that the model to predict the incubation period accounted a R2 of 69% in his observed data but it was not a good predictor when it was validated against an independent dataset (cross validation). For latency, he de-89 veloped two models that accounted a R2 of 78% in the observed data, however, when validated against an independent dataset (cross validation), the model 91 was incorrect for Weldeck, and for Rita obtained an adjusted R2 of 82%. A machine learning method was proposed by Glezakos, Moschopoulou, Tsiligiridis, Kintzios, and Yialouris (2010), who presented a genetic algorithm as to smooth out the initial information while, the so produced meta-data sets were used in the training and testing of the applied neural network, producing fitter training 96 data. Given the features of the acquired virus time-series signals of the problem under study, an evolutionary method was proposed in order to produce metadata from the original time-series initial information, reduce the dimensionality 99 of the input data space, and eliminating the noise inherent in the initial raw 100 information The method was tested against some of the most commonly used

classifiers in machine learning (Bayes, Trees and k-NN) via cross-validation and 102 proved its potential towards assisting virus identification. They made their test 103 with CGMM and TR viruses. In agricultural area, Alves, de Carvalho, Pozza, 104 Sanches, and Mai (2011) selected the zones that are potentially favorable to 105 coffee, soybean and banana diseases in Brazil according to the spatial-temporal 106 variability of climatic variables and the geographical distribution of hosts. Their 107 study applied methodology enabled the visualization of the variation of areas 108 favorable to epidemics under future scenarios of climate change. The geosci-109 entific and statistical modeling techniques developed in that study enabled the 110 development of predictive models and the characterization of risk areas for soy-111 bean rust, coffee rust and black Sigatoka disease of banana. There have been 112 attempts to generate software tools, Camargo, Molina, Cadena-Torres, Jimnez, 113 and Kim (2012) presented an information system for the assessment of plant disorders (Isacrodi). They proposed that experts will attain a much better 115 accuracy than the Isacrodi classifier, particularly when provided with samples 116 from the affected crop. However, where such expertise is not available, they 117 suggest that Isacrodi can provide valuable support to farmers. Isacordi includes 118 15 crop disorders, but the black Sigatoka no is one. The prediction process is 119 based on multi-class Support Vector Machines. Regarding black Sigatoka with 120 machine learning methods, Bendini, Moraes, da Silva, Tezuka, and Cruvinel 121 (2013) presented a study about the risk analysis of black Sigatoka occurrence 122 based on polynomial models. A case study was developed in a commercial banana plantation located in Jacupiranga, Brazil, it was monitored weekly during 124 the period from February to December 2005. Data were the weekly monitoring 125 of the diseases evolution stage, time series of meteorological data and remote 126 sensing data. They obtained a model to estimate the evolution of the disease 127 from satellite imagery. This model relates gray levels (NC) of the corresponding 128 image, band 2 of the Landsat-5 satellite, with the progress status or disease 129 severity (EE): Authors express have reach an R2 of 90Also there are research 130 related to banana fruit, Soares, Pasqual, Lacerda, Silva, and Donato (2014) 131 show in their study that to the analyses, the neural network proved to be more accurate in forecasting the weight of the bunch in comparison to the multiple linear regressions in terms of the mean prediction-error (MPE = 1.40), mean square deviation (MSD = 2.29) and coefficient of determination (R2 = 91In general, machine learning methods applied to prediction plant diseases can be classified in two main approaches: 1) Those that their main inputs are images, and 2) Those that their main inputs are environmental and biological variables.

Our study is focus in the second one.

#### 140 2.1.6. Data

In this work we used data acquired in two research farms of Corbana in Costa Rica: 1) 28 Millas (located at Matina) and La Rita (located at Pococ), both in the province of Limn, Costa Rica. The banana type is Musa AAA, subgroup Cavendish, cv. Grande Naine. Table 1 shows the variables considered initially.

Table 1 Variables used in the study Variable Meaning  $T_{(a_max)}Maxair temperature T_{(a_min)}Minair temperature T_{(a_min)}$ 

## 2.1.7. Evaluation criteria

Although there are many types of indicators to assess the quality of the prediction, we selected the root mean square error (RMSE) and the determination coefficient (R2). This decision is supported by the widespread use in machine learning and agriculture areas (Soares, Pasqual and Lacerda (2013); Soares, Pasqual and Lacerda (2014); Ibrahim and Wibowo (2014) and Demir and Bruzzone (2014)).

## 148 2.1.8. Methods

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This research had two phases. Phase one

In the phase one, we did ten-fold-cross-validation and did a lot of proofs with different machine learning methods and different configuration. We proved with several combinations: Patterns: From one week of observed data to predict the next week until nine weeks before to predict two weeks later. Algorithms: Support vector regression with different kernel functions: linear, RBF (Gaussian)

and sigmoid; echo state networks; ordinary least squares linear regression and 155 ridge regression. Variables included in the model. We proved the following 156 combinations: All variables. Only variables that according to expert judgment have more impact on the black Sigatoka development: humidity, precipitation, 158 temperature and wind speed (Marin Vargas and Romero Caldern, 1995). From 159 the four variables listed in the previous paragraph, runs were conducted using 160 each of the variables separately, and combining other runs all the possible pairs 161 of those four variables. Phase two In the second phase, we used the best configurations obtained en la phase one and did validation with the last 52 and 163 102 weeks. This second phase pretended to show how these methods behaved 164 on a time of important climate change how are 2014 and 2015 years. Program-165 ming environment We use python programming language with the Integrated 166 Development Environment (IDE) Spyder, particularly with libraries: pandas (Comunity, 2014); numpy (numpy.org, 2013); for SVR, ridge and ordinary least 168 squares, we used sklearn (Pedregosa, et al., 2011); and for ESN the python-169 based code used belongs to Dr. Mantas Lukoeviius (2012) from which we made 170 the necessary adjustments for the experiments of this research. The computer 171 was a Lenovo ThinkPad, processor Intel(R) Core i7-4800MQ CPU @ 2.70GHz, 172 16.0 GB RAM, running Windows 8 Pro. 173

## 174 3. Results

#### 4. Discussion and conclusions

## 5. References

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