

Generalized Legendrian racks: Knot coloring invariants and algebraic classification

Hudson River Undergraduate Mathematics Conference
Session: Abstract Algebra 1A

Lực Ta (Yale University)

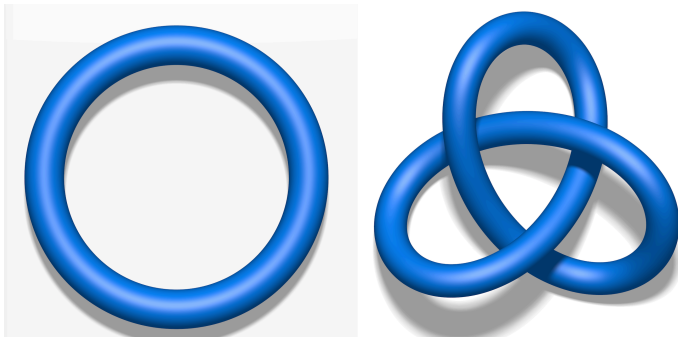
Adviser: Sam Raskin (Yale University)

April 5, 2025

Outline

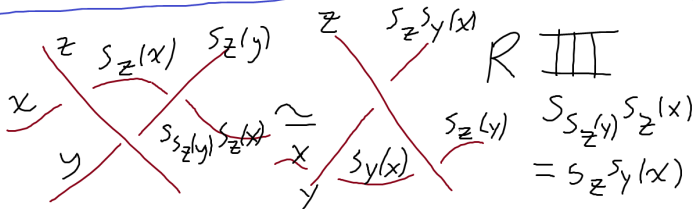
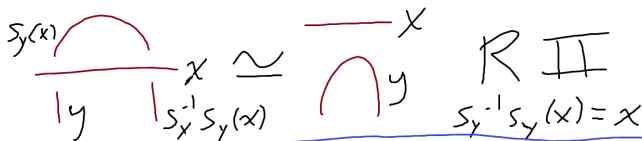
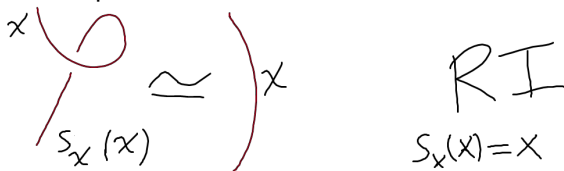
- 1 Historical background
 - Knots and racks
 - The Legendrian isotopy problem
- 2 GL-racks
- 3 Distinguishing results
- 4 Exhaustive search algorithms
- 5 Equivalence of categories
- 6 Group-theoretic classification
 - Classifying GL-structures
 - Categorical centers
- 7 End matter

Motivation: Distinguishing knots



Reidemeister moves

Two knots are equivalent up to ambient isotopy if and only if they're related by a finite sequence of *Reidemeister moves*.



Racks and quandles

Definition

Let X be a set, let $s : X \rightarrow S_X$ be a map, and write $s_x := s(x)$. We call the pair (X, s) a **rack** if

$$s_x s_y = s_{s_x(y)} s_x$$

for all $x, y \in X$. If in addition $s_x(x) = x$ for all $x \in X$, then we say that (X, s) is a **quandle**.

Example (Permutation racks)

Fix a permutation $\sigma \in S_X$, and define $s_x := \sigma$ for all $x \in X$. Then $(X, \sigma)_{\text{perm}} := (X, s)$ is a rack, and it's a quandle if and only if $\sigma = \text{id}_X$.

Example (Conjugation quandles)

Let X be a union of conjugacy classes in a group G , and let s_x be the conjugation map $y \mapsto xyx^{-1}$. Then $\text{Conj } X := (X, s)$ is a quandle.

Rack homomorphisms

Loosely speaking, we can study knot colorings as maps between racks.

Definition

A map $\varphi : X \rightarrow Y$ is a **homomorphism** between racks (X, s) and (Y, t) if $\varphi s_x = t_{\varphi(x)} \varphi$ for all $x \in X$.

Example

Every group homomorphism $\varphi : G \rightarrow H$ is also a quandle homomorphism $\varphi : \text{Conj } G \rightarrow \text{Conj } H$ because

$$\varphi s_x(y) = \varphi(xyx^{-1}) = \varphi(x)\varphi(y)\varphi(x)^{-1} = s_{\varphi(x)}\varphi(y)$$

for all $x, y \in G$.

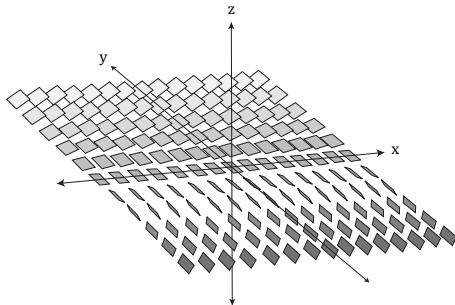
Example

Every rack $R = (X, s)$ has a canonical automorphism θ_R defined by $x \mapsto s_x(x)$. Also, R is a quandle if and only if $\theta_R = \text{id}_X$.

The standard contact structure

Definition

The **standard contact structure** on \mathbb{R}^3 , denoted by ξ_{std} , is an assignment of a plane to each point (x, y, z) defined by $dz - y dx = 0$.

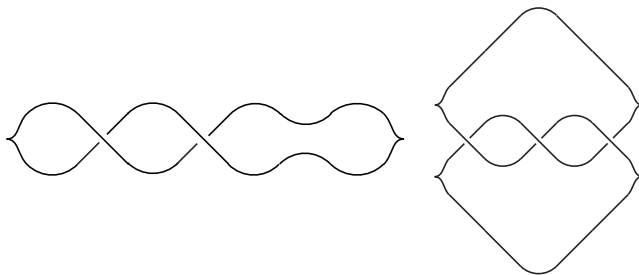


When $y = 0$, the planes are flat. When moving in the positive y -direction, the slopes grow more negative; when moving in the negative y -direction, the slopes grow more positive.

Legendrian knots

Definition

A smooth knot is called **Legendrian** if it lies everywhere tangent to ξ_{std} .

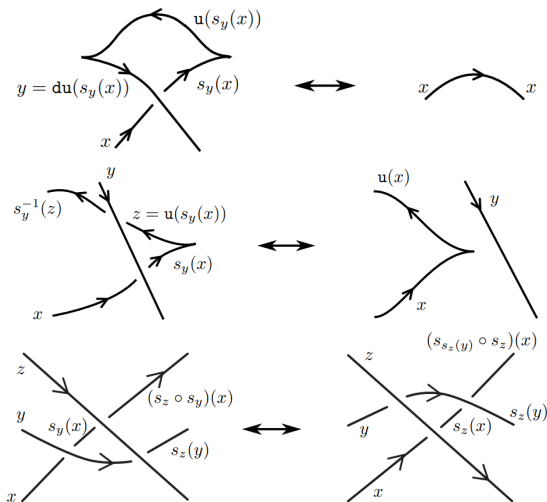


We often study Legendrian knots via their *front projections* onto the xz -plane, viewed from the negative y -axis.

- Cusps instead of vertical tangencies
- Only one type of crossing

Distinguishing between Legendrian knots

Two Legendrian knots are equivalent up to Legendrian isotopy if and only if they're related by the *Legendrian Reidemeister moves*.



Outline

- 1 Historical background
 - Knots and racks
 - The Legendrian isotopy problem
- 2 GL-racks
- 3 Distinguishing results
- 4 Exhaustive search algorithms
- 5 Equivalence of categories
- 6 Group-theoretic classification
 - Classifying GL-structures
 - Categorical centers
- 7 End matter

GL-racks and their homomorphisms

Definition

A **GL-structure** on a rack $R = (X, s)$ is a rack automorphism $u \in \text{Aut } R$ such that $us_x = s_x u$ for all $x \in X$. We call (R, u) a **GL-rack**.

Example (Permutation GL-racks)

GL-structures on permutation racks $(X, \sigma)_{\text{perm}}$ are permutations $u \in S_X$ such that $u\sigma = \sigma u$.

Example (Conjugation GL-quandles)

Given a group G and a central element $z \in Z(G)$, multiplication by z is a GL-structure on $\text{Conj } G$.

Definition

A **GL-rack homomorphism** is a rack homomorphism that commutes with/intertwines GL-structures.

Coloring Legendrian knots (1/2)

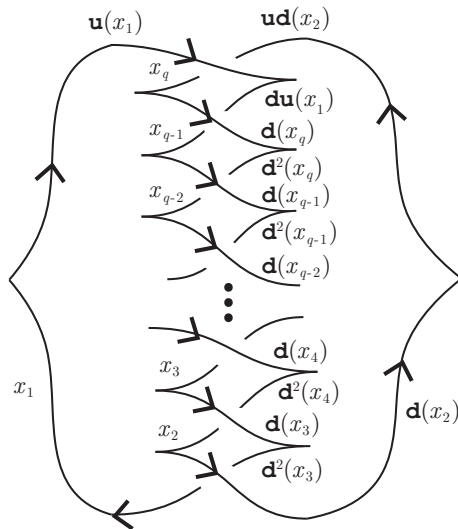


Figure: The Legendrian $(2, -q)$ -torus knot Λ with maximal classical invariants.

Coloring Legendrian knots (2/2)

For all GL-racks (R, u) , define $d \in \text{Aut } R$ to be $\theta_R^{-1} u^{-1}$.

Example

In the previous figure, $\mathcal{G}(\Lambda)$ is the free GL-rack on the set $\{x_1, \dots, x_q\}$ modulo the congruence generated by the relations

$$s_{u(x_1)}(x_q) = u d(x_2), s_{d(x_q)}(x_{q-1}) = d u(x_1), s_{d(x_{i-1})}(x_{i-2}) = d^2(x_i) \forall 3 \leq i \leq q.$$

To distinguish Λ from some other Legendrian knot Λ' , it suffices to show that $\mathcal{G}(\Lambda)$ and $\mathcal{G}(\Lambda')$ are nonisomorphic.

To do *that*, it suffices to find a GL-rack L such that

$$|\text{Hom}_{\text{GLR}}(\mathcal{G}(\Lambda), L)| \neq |\text{Hom}_{\text{GLR}}(\mathcal{G}(\Lambda'), L)|,$$

an inequality of **coloring invariants**.

Outline

- 1 Historical background
 - Knots and racks
 - The Legendrian isotopy problem
- 2 GL-racks
- 3 Distinguishing results**
- 4 Exhaustive search algorithms
- 5 Equivalence of categories
- 6 Group-theoretic classification
 - Classifying GL-structures
 - Categorical centers
- 7 End matter

Legendrian 6_2 knots (1/3)

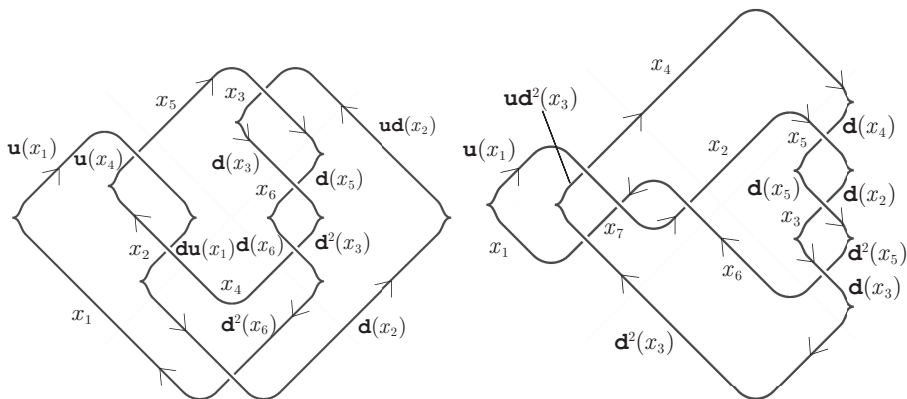


Figure: Legendrian 6_2 knots with classical invariants $(\text{tb}, \text{rot}) = (-7, 2)$.

Legendrian 6_2 knots (2/3)

Let Λ_1 and Λ_2 be the knots on the left and right of the previous figure, respectively. Then $\mathcal{G}(\Lambda_1)$ and $\mathcal{G}(\Lambda_2)$ are free GL-racks modulo congruence generated by the relations

$$\mathcal{G}(\Lambda_1) \begin{cases} s_{x_1} u(x_4) = x_5, & s_{x_4} du(x_1) = x_2, \\ s_{x_2}(x_1) = d^2(x_6), & s_{x_5}(x_3) = ud(x_2), \\ s_{x_3}(x_6) = d(x_5), & s_{x_6}(x_4) = d^2(x_3). \end{cases}$$

$$\mathcal{G}(\Lambda_2) \begin{cases} s_{x_1} ud^2(x_3) = x_4, & s_{x_5}(x_3) = d(x_2), \\ s_{x_1}(x_6) = x_7, & s_{x_3}(x_6) = d^2(x_5), \\ s_{x_6}(x_2) = u(x_1), & s_{x_3}(x_7) = x_1. \\ s_{x_2}(x_5) = d(x_4), \end{cases}$$

Legendrian 6_2 knots (3/3)

Theorem

Λ_1 and Λ_2 are nonequivalent.

Proof.

In cycle notation, let $\sigma \in S_3$ be the permutation (123) . Consider the permutation GL-rack

$$L := ((\{1, 2, 3\}, \sigma)_{\text{perm}}, \sigma^{-1}).$$

Then we have a GL-rack homomorphism $\psi : \mathcal{G}(\Lambda_1) \rightarrow L$ defined by

$$\psi(x_i) := \begin{cases} 1 & \text{if } i \in \{1, 3, 4\}, \\ 2 & \text{if } i \in \{2, 6\}, \\ 3 & \text{if } i \in \{5, 7\}, \end{cases}$$

but a straightforward computation yields $|\text{Hom}_{\text{GLR}}(\mathcal{G}(\Lambda_2), L)| = 0$. □

Legendrian 8_{10} knots

Similarly, one can show that these Legendrian knots are nonequivalent. . .

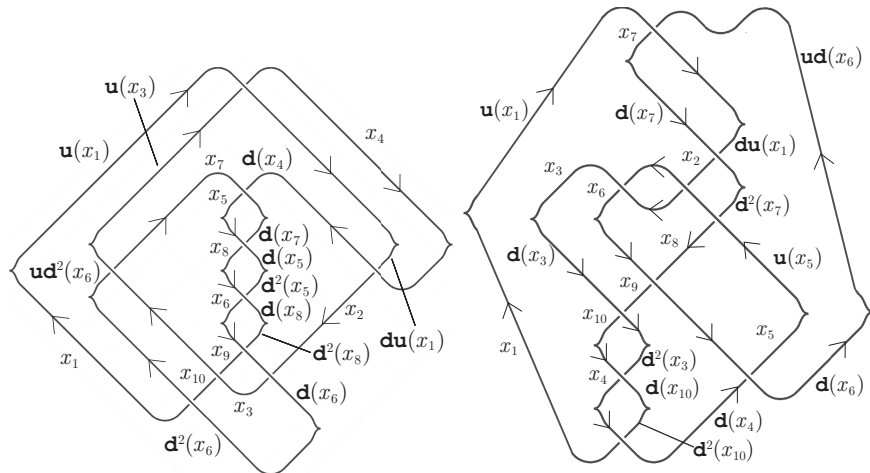


Figure: Legendrian 8_{10} knots with classical invariants $(tb, rot) = (-8, 3)$.

Legendrian 8_{13} knots

... and that these Legendrian knots are also nonequivalent.

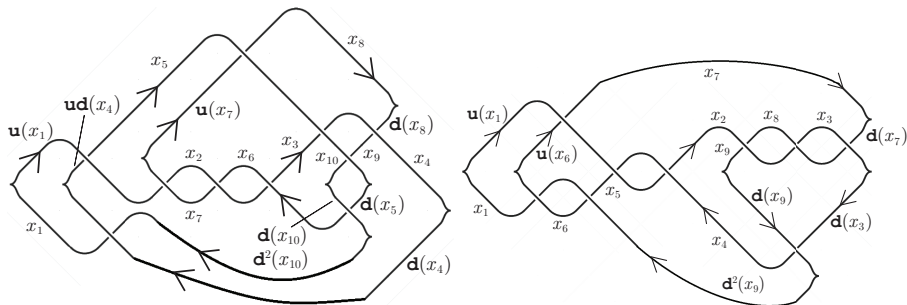


Figure: Legendrian 8_{13} knots with classical invariants $(tb, rot) = (-6, 1)$.

Settling conjectures

This completes the classification of Legendrian 8_{13} knots; the two on the previous slide were the only ones not distinguished yet.

Along the way, we answered an open question of Kimura (2023):

Corollary

GL-rack coloring invariants can distinguish Legendrian knots not distinguishable by classical (or even homological) invariants.

Outline

- 1 Historical background
 - Knots and racks
 - The Legendrian isotopy problem
- 2 GL-racks
- 3 Distinguishing results
- 4 Exhaustive search algorithms
- 5 Equivalence of categories
- 6 Group-theoretic classification
 - Classifying GL-structures
 - Categorical centers
- 7 End matter

Classifying small GL-racks

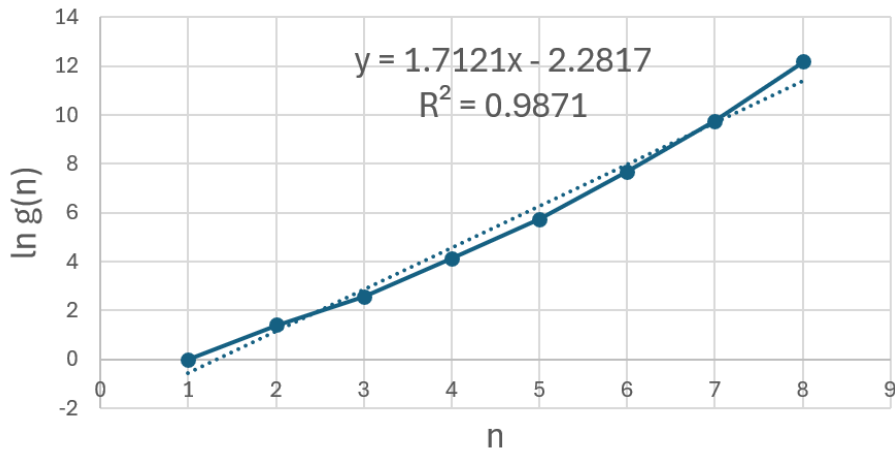
Using the computer algebra system GAP, Vojtěchovský and Yang (2019) classified racks up to order 11.

We can use this data to classify GL-racks of any order $n \leq 11$.

- 1 For all racks R with underlying set $X := \{1, \dots, n\}$, exhaustively search for GL-structures $\mathfrak{u} \in S_n$ on R .
- 2 Search for GL-rack isomorphisms between the GL-racks found in the previous step.
- 3 Throw out isomorphic copies.

Similarly, we can exhaustively search for Legendrian knot colorings.

Enumeration of GL-racks of order n



Results (2/2)

n	0	1	2	3	4	5	6	7	8
$g(n)$	1	1	4	13	62	308	2132	17268	189373
$g_q(n)$	1	1	2	6	19	74	353	2080	16023
$r(n)$	1	1	2	6	19	74	353	2080	16023
$r_q(n)$	1	1	1	3	7	22	73	298	1581

Table: The numbers of GL-racks $g(n)$ and GL-quandles $g^m(n)$ versus racks $r(n)$ and quandles $r_q(n)$ of order n .

There appears to be a one-to-one correspondence between racks and GL-quandles. As it turns out, this correspondence generalizes in a natural way. . .

Outline

- 1 Historical background
 - Knots and racks
 - The Legendrian isotopy problem
- 2 GL-racks
- 3 Distinguishing results
- 4 Exhaustive search algorithms
- 5 Equivalence of categories**
- 6 Group-theoretic classification
 - Classifying GL-structures
 - Categorical centers
- 7 End matter

Categorical background

Recall:

- A **category** is a collection of *objects* and *morphisms* that satisfy identity, composition, and associativity laws.
- A **functor** is a structure-preserving map between two categories.
- A functor $F : \mathcal{C} \rightarrow \mathcal{D}$ is an **equivalence of categories** if there's another functor $G : \mathcal{D} \rightarrow \mathcal{C}$ such that FG and GF are *naturally isomorphic* to the identity functors $\mathbf{1}_{\mathcal{C}}$ and $\mathbf{1}_{\mathcal{D}}$, respectively.

Example (Not important to know for our purposes)

The category of representations of a group G on a field \mathbb{F} is equivalent (actually isomorphic) to the category of left $\mathbb{F}[G]$ -modules.

Construction of functors

Let Rack be the category of racks, and let GLQ be the category of GL-quandles. Let's construct functors $F : \text{Rack} \rightarrow \text{GLQ}$ and $G : \text{GLQ} \rightarrow \text{Rack}$. They'll act trivially on (homo)morphisms.

Proposition

The following defines a functor $F : \text{Rack} \rightarrow \text{GLQ}$. Given a rack $R = (X, s)$, define $\tilde{s} : X \rightarrow S_X$ by $x \mapsto \tilde{s}_x$, where $\tilde{s}_x := \theta_R^{-1} s_x$. Then $F(R) := (X, \tilde{s}, \theta_R)$ is a GL-quandle.

Proposition

The following defines a functor $G : \text{GLQ} \rightarrow \text{Rack}$. Given a GL-rack $L = (X, s, u)$, define $\hat{s} : X \rightarrow S_X$ by $x \mapsto \hat{s}_x$, where $\hat{s}_x := u s_x$. Then $G(L) := (X, \hat{s})$ is a rack.

Result

Theorem

The categories Rack and GLQ are equivalent—in fact, isomorphic.

Proof.

For all GL-quandles $L = (X, s, u)$, $FG(L) = (X, \tilde{s}, \theta_{G(L)}) = L$ because

$$\begin{aligned}\tilde{s}_y(x) &= \theta_{G(L)}^{-1} \hat{s}_y(x) = \hat{s}_y \theta_{G(L)}^{-1}(x) = u s_y \hat{s}_x^{-1}(x) = s_y u u^{-1} s_x^{-1}(x) = s_y(x) \\ \text{and } \theta_{G(L)}(x) &= \hat{s}_x(x) = u s_x(x) = u(x).\end{aligned}$$

For all racks $R = (X, s)$, $GF(R) = (X, \hat{s}) = R$ because

$$\hat{s}_x = \theta_R \tilde{s}_x = \theta_R \theta_R^{-1} s_x = s_x.$$

Hence, $FG = \mathbf{1}_{\text{GLQ}}$ and $GF = \mathbf{1}_{\text{Rack}}$. □

So! For all $n \in \mathbb{N}$, $r(n) = g_q(n)$, generalizing our computational results.

Outline

- 1 Historical background
 - Knots and racks
 - The Legendrian isotopy problem
- 2 GL-racks
- 3 Distinguishing results
- 4 Exhaustive search algorithms
- 5 Equivalence of categories
- 6 Group-theoretic classification**
 - Classifying GL-structures
 - Categorical centers
- 7 End matter

The group of GL-structures

In 2023, Karmakar et al. posed the following question: given a rack R , what is the set U_R of all possible GL-structures on R ?

To answer this question, we restate our (simplified but equivalent) definition of a GL-rack in group-theoretic language:

Definition

The **inner automorphism group** of a rack $R = (X, s)$, denoted by $\text{Inn } R$, is the (normal) subgroup of $\text{Aut } R$ generated by the s_x 's.

Theorem

For all racks R , U_R is the centralizer

$$U_R = C_{\text{Aut } R}(\text{Inn } R) \trianglelefteq \text{Aut } R.$$

Moreover, $(R, u_1) \cong (R, u_2)$ if and only if u_1 and u_2 are conjugate in $\text{Aut } R$.

Classifying results

Let's use this characterization to classify GL-structures on some infinite families of racks.

Corollary (Permutation GL-racks)

Let $P = (X, \sigma)_{\text{perm}}$ be a permutation rack. Then

$$U_P = C_{S_X}(\sigma) = \text{Aut } P,$$

and U_P/\sim is the set of conjugacy classes of $C_{S_X}(\sigma)$.

Corollary (Conjugation GL-quandles)

Let G be a group, and let $Q := \text{Conj } G$. If G is abelian, then $U_Q = S_G$, and U_Q/\sim is the set of conjugacy classes of S_G . On the other hand, if G is centerless, then $U_Q = \{\text{id}_G\}$.

Definition

Let A be an abelian additive group. Define $s_b(a) := 2b - a$ for all $a, b \in A$. Then $T(A) := (A, s)$ is a quandle called a **Takasaki kei**.

Definition

For all $n \in \mathbb{N}$, the Takasaki kei $R_n := T(\mathbb{Z}/n\mathbb{Z})$ is a **dihedral quandle**.

Corollary

If A is an abelian additive group without 2-torsion, then the only GL-structure on the Takasaki kei $T(A)$ is id_A . In particular, for all odd n , we have $U_{R_n} = \{\text{id}_{\mathbb{Z}/n\mathbb{Z}}\}$.

Proof sketch.

For all such Takasaki kei, the automorphism group G and inner automorphism group H are known to be certain semidirect products. Use this classification to show that

$$U_{T(A)} = C_G(H) \subseteq \{(0, \psi) \in G : \psi|_{2A} = \text{id}_{2A}\} = \{\text{id}_A\}.$$



Dihedral GL-quandles

The previous result has infinitely many counterexamples when A has 2-torsion!

Theorem

For all even $n \geq 2$, we have

$$U_{R_n} \cong \begin{cases} \mathbb{Z}/2\mathbb{Z} & \text{if } 4 \nmid n, \\ \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} & \text{if } 4 \mid n. \end{cases}$$

If $4 \nmid n$, then the two GL-structures in U_{R_n} yield nonisomorphic GL-quandles. If $4 \mid n$, there's exactly one isomorphic pair.

Proof sketch.

Once again, $\text{Aut } R_n$ and $\text{Inn } R_n$ are certain semidirect products. Compute how conjugation works in these semidirect products to compute the centralizer of $\text{Inn } R_n$ in $\text{Aut } R_n$. □

Automorphism groups

Proposition

For all GL-racks (R, \mathfrak{u}) ,

$$\mathrm{Aut}_{\mathrm{GLR}}(R, \mathfrak{u}) = C_{\mathrm{Aut} R(\mathfrak{u})}.$$

Corollary

For all dihedral GL-quandles (R_n, \mathfrak{u}) ,

$$\mathrm{Aut}_{\mathrm{GLR}}(R_n, \mathfrak{u}) \cong \mathbb{Z}/n\mathbb{Z} \rtimes (\mathbb{Z}/n\mathbb{Z})^\times$$

except for a certain GL-structure \mathfrak{u}' on R_n when $4 \mid n$, in which case

$$\mathrm{Aut}_{\mathrm{GLR}}(R_n, \mathfrak{u}') \cong 2\mathbb{Z}/n\mathbb{Z} \rtimes (\mathbb{Z}/n\mathbb{Z})^\times.$$

Proposition

The automorphism group of the free GL-rack on one element is \mathbb{Z}^2 .

Categorical background

Recall: The **center** of a category \mathcal{C} is the commutative monoid $Z(\mathcal{C})$ of natural endomorphisms of the identity functor $\mathbf{1}_{\mathcal{C}}$.

$$\begin{array}{ccc} X & \xrightarrow{\eta_{R_1}} & X \\ \varphi \downarrow & & \downarrow \varphi \\ Y & \xrightarrow{\eta_{R_2}} & Y \end{array}$$

Essentially, elements of $Z(\mathcal{C})$ are collections η of morphisms in \mathcal{C} that commute with all other morphisms φ in \mathcal{C} .

Example (Not important to know for our purposes)

Let $A\text{-mod}$ be the category of modules over a ring A . Then the categorical center $Z(A\text{-mod})$ is isomorphic to the ring-theoretic center $Z(A)$ of A .

Results

We can use the previous Proposition to compute the centers of the category GLR of GL-racks and various full subcategories.

Theorem

Let θ be the collection of θ_R 's for all racks R , and let \mathfrak{u} be the collection of all GL-structures on racks. We have the following:

- 1 The center $Z(\text{GLR})$ is the free abelian group $\langle \theta, \mathfrak{u} \rangle \cong \mathbb{Z}^2$.
- 2 The centers of GLQ and the category of Legendrian racks are each the free group $\langle \mathfrak{u} \rangle \cong \mathbb{Z}$.
- 3 The center of the category of Legendrian quandles is the group $\langle \mathfrak{u} \mid \mathfrak{u}^2 = 1 \rangle \cong \mathbb{Z}/2\mathbb{Z}$.

Proof sketch.

Each of these categories is *strongly generated* by the free object F on one element. Show that the center is determined by F . Then, relate each group in the claim to the automorphism group of F . □

Outline

- 1 Historical background
 - Knots and racks
 - The Legendrian isotopy problem
- 2 GL-racks
- 3 Distinguishing results
- 4 Exhaustive search algorithms
- 5 Equivalence of categories
- 6 Group-theoretic classification
 - Classifying GL-structures
 - Categorical centers
- 7 End matter

Thank you!

Acknowledgments

This work was completed in partial fulfillment of the senior requirements for the math major at Yale. I thank Sam Raskin, my thesis adviser, for his many insights during the research and writing process. I also thank the HRUMC organizers for hosting this talk.

Contact: `luc.ta@yale.edu` | `luc-ta.github.io`

(Preprint expected within the next month on arXiv)