

# Scientific Programming: Algorithms (part B)

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## Introduction

Luca Bianco - Academic Year 2019-20  
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# About me

## **Computer Science**

Ph.D. at the University of Verona, Italy, with thesis on Simulation of Biological Systems

## **Research Fellow at Cranfield University - UK**

Three years at Cranfield University working at proteomics projects (GAPP, MRMAid, X-Tracker...)

Module manager and lecturer in several courses of the MSc in Bioinformatics

## **Bioinformatician at IASMA – FEM**

Currently bioinformatician in the Computational Biology Group at Istituto Agrario di San Michele all'Adige – Fondazione Edmund Mach, Trento, Italy

## **Collaborator uniTN - CiBio**

I ran the Scientific Programming Lab for QCB for the last couple of years

# Organization

145540 Scientific Programming (12 ECTS, LM QCB)

145685 Scientific Programming (12 ECTS, LM Data Science)

## Part A - Programming (23/9-31/10)

Introduction to the Python language and to a collection of programming libraries for data analysis.

- Mutuated as 145912 Scientific Programming (LM Math, 6 credits)

## Part B - Algorithms (4/11-12/12)

Design and analysis of algorithmic solutions. Presentation of the most important classes of algorithms and evaluation of their performance.



# Topics

- Introduction
  - Recursion
  - Algorithm analysis
  - Asymptotic notations
- Data structures
  - High level overview
  - Sequences, maps (ordered/unordered), sets
  - Data structure implementations in Python
- Trees
  - Data structure definition
  - Visits
- Graphs
  - Data structure definition
  - Visits
  - Algorithms on graphs
- Algorithmic techniques
  - Divide-et-impera
  - Dynamic programming
  - Greedy
  - Backtrack
  - NP class: brief overview

# Learning outcomes

At the end of the module, students are expected to:

- evaluate algorithmic choices and select the ones that best suit their problems;
- analyze the complexity of existing algorithms and algorithms created on their own;
- design simple algorithmic solutions to solve basic problems.

# Teaching team

- Instructor: Dr. Luca Bianco
  - Theory lectures, algorithmic exercises
  - luca.bianco [AT] fmach.it
- Teaching assistant: Dr. Massimiliano Luca
  - Lab sessions on algorithms (QCB)
  - massimiliano.luca [AT] unitn.it
- Teaching assistant: Dr. David Leoni
  - Lab sessions on algorithms (data science)
  - david.leoni [AT] unitn.it

# Schedule

<b>Week day</b>	<b>Time</b>	<b>Room</b>	<b>Description</b>
Monday	14.30-16.30	A107	Lecture
Tuesday	15.30-17.30	A107	Lab. QCB
Tuesday	15.30-17.30	A103	Lab. Data Science
Wednesday	11.30-13.30	A107	Lecture
Thursday	15.30-17.30	A107	Lab. QCB
Thursday	15.30-17.30	A208	Lab. Data Science



midterms:

Part A (tomorrow 11:30-13:30 B106— no lab in the afternoon)

Part B (tentatively ~ December, 17th or 19th)

# Course material

Lectures:

Material and information: <https://sciproalgo2019.readthedocs.io/en/latest/>

Practicals:

QCB: <https://massimilianoluca.github.io/algoritmi/index.html>

Data science: <https://datasciprolab.readthedocs.io/en/latest/>



# Course material

<https://sciproalgo2019.readthedocs.io/en/latest/>

## Scientific Programming: Algorithms

### General Info

The contacts to reach me can be found [at this page](#).

### Timetable and lecture rooms

Lectures will take place on Mondays from 14:30 to 16:30 (in lecture room A107) and on Wednesdays from 11:30 to 13:30 (in lecture room A107). This second part of the Scientific Programming course will tentatively run from 06/11/2019 to 20/12/2019.

### Slides

The slides shown during the lectures will gradually appear below:

- [Lecture 1: Introduction to algorithms](#)

### Teaching assistants

[David Leoni](#) (for Data Science)

[Massimiliano Luca](#) (for QCB)

### Course material

Brad Miller and David Ranum. *Problem Solving with Algorithms and Data Structures using Python*. An interactive version is freely available at [this link](#).

Other material includes the following books:

- Lutz. *Learning Python* (5th edition). O'REILLY (2013)
- Hetland. *Python Algorithms: Mastering Basic Algorithms in the Python Language*. Apress, 2nd

# Where we stand...

## So far...

we have learnt a bit of Python and we started doing some little examples of data analysis (saw some libraries, etc...)

## From now on..

we will focus on:

- “Solving problems” providing solutions (**correctness**), possibly in an efficient way (**complexity**), organizing data in the most suitable ways (**data structures**)



# Maximal sum problem

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



simpler problem

Find the maximal sum, rather than the interval that provides the maximal sum.

Is the problem clear?

Example:

1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
---	---	---	----	---	---	----	---	---	----	----	----	---

# Maximal sum problem

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Find the maximal sum, rather than the interval that provides the maximal sum.

Is the problem clear?

Example:

1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
---	---	---	----	---	---	----	---	---	----	----	----	---

Maximal sum: 18. **Any ideas on how to solve this problem?**

# Solution 1 $\sim N^3$

## Idea:

**Given the list A with N elements**

Consider **all pairs** (i,j) such that  $i \leq j$

Get the elements in  $A[i:j+1]$

Compute the **sum** of all elements in  $A[i:j+1]$

**Update** max\_so\_far **if** sum  $\geq$  max\_so\_far

```
def max_sum_v1(A):  
    max_so_far = 0  
    N = len(A)  
    for i in range(N):  
        for j in range(i,N):  
            tmp_sum = sum(A[i:j+1])  
            max_so_far = max(tmp_sum, max_so_far)  
  
    return max_so_far
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
---	---	---	----	---	---	----	---	---	----	----	----	---

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v1(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

# List comprehension... ?

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max_sum_v1_listc_1(A):  
    N = len(A)  
    sums = [sum(A[i:j+1]) for i in range(N) for j in range(i,N)]  
  
    return max(sums)
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v1_listc_1(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

# List comprehension... ?

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

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def max_sum_v1_listc_1(A):  
    N = len(A)  
    sums = [sum(A[i:j+1]) for i in range(N) for j in range(i,N)]  
  
    return max(sums)  
  
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v1_listc_1(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```



How many  
elements?



# List comprehension... ?

No thanks!

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

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def max_sum_v1_listc_1(A):  
    N = len(A)  
    sums = [sum(A[i:j+1]) for i in range(N) for j in range(i,N)]  
  
    return max(sums)
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v1_listc_1(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```



How many  
elements?

$$N*(N+1)/2 \sim N^2$$

```
[1, 4, 8, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17, 3, 7, -1,  
1, 4, 3, 6, 10, 7, 17, 14, 16, 4, -4, -2, 1, 0, 3, 7,  
4, 14, 11, 13, -8, -6, -3, -4, -1, 3, 0, 10, 7, 9, 2,  
5, 4, 7, 11, 8, 18, 15, 17, 3, 2, 5, 9, 6, 16, 13,  
15, -1, 2, 6, 3, 13, 10, 12, 3, 7, 4, 14, 11, 13, 4,  
1, 11, 8, 10, -3, 7, 4, 6, 10, 7, 9, -3, -1, 2]  
→ 91 elements!
```

If  $A$  has 100,000 elements  $\rightarrow \sim 40$  GB RAM!!!

# List comprehension... ?

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max_sum_v1_listc(A):  
    N = len(A)  
    intervals = [A[i:j+1] for i in range(N) for j in range(i,N)]  
    sums = [sum(vals) for vals in intervals]  
    return max(sums)
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v1_listc(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

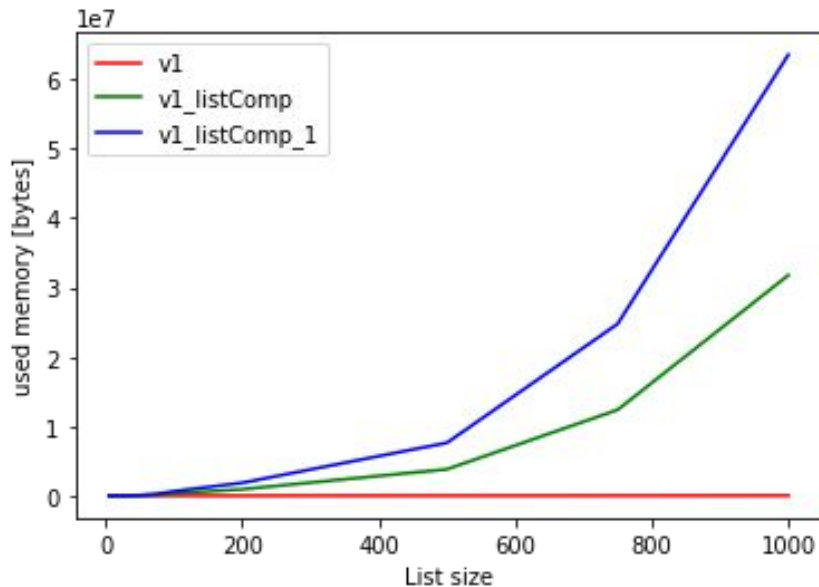


Stores intervals and  
sums!!!

If  $A$  has 100,000 elements  $\rightarrow \sim 1.3$  PB RAM!!!

# List comprehension... ?

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



[size computed with `sys.getsizeof(DATA)`]

## Important note:

Time and space (memory) are two important resources!

# Solution 1 $\sim N^3$

## Idea:

### Given the list **A** with **N** elements

Consider all pairs  $(i,j)$  such that  $i \leq j$

Get the elements in  $A[i:j+1]$

Compute the sum of all elements in  $A[i:j+1]$

Update `max_so_far` if  $\text{sum} \geq \text{max\_so\_far}$

```
def max_sum_v1(A):  
    max_so_far = 0  
    N = len(A)  
    for i in range(N):  
        for j in range(i, N):  
            tmp_sum = sum(A[i:j+1])  
            max_so_far = max(tmp_sum, max_so_far)  
  
    return max_so_far
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

Why  $N^3$  ?

Intuitively,

We have  $N*(N+1)/2$  pairs and the sum of  $N$  numbers takes  $N$  operations.

So:  $N * [N*(N+1)/2] \sim N^3$

Can we do any better than this?

# Solution 2 $\sim N^2$

**Observation:** There is no point in computing the same sums over and over again!

If  $S = \text{sum}(A[i:j]) \rightarrow \text{sum}(A[i:j+1]) = S + A[j+1]$

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max_sum_v2(A):  
    N = len(A)  
    max_so_far = 0  
    for i in range(N):  
        tot = 0 #ACCUMULATOR!  
        for j in range(i,N):  
            tot = tot + A[j]  
            max_so_far = max(max_so_far, tot)  
    return max_so_far
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print(A)  
print(max_sum_v2(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

# Solution 2 $\sim N^2$

**Observation:** There is no point in computing the same sums over and over again!

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def max_sum_v2(A):
    N = len(A)
    max_so_far = 0
    for i in range(N):
        tot = 0 #ACCUMULATOR!
        for j in range(i,N):
            tot = tot + A[j]
            max_so_far = max(max_so_far, tot)
    return max_so_far
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v2(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
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```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

Tot	(i, j)
0, 1, 4, 8, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17,	$\leftarrow (0, x)$
0, 3, 7, -1, 1, 4, 3, 6, 10, 7, 17, 14, 16,	$\leftarrow (1, x)$
0, 4, -4, -2, 1, 0, 3, 7, 4, 14, 11, 13,	$\leftarrow (2, x)$
0, -8, -6, -3, -4, -1, 3, 0, 10, 7, 9,	
0, 2, 5, 4, 7, 11, 8, 18, 15, 17,	
0, 3, 2, 5, 9, 6, 16, 13, 15,	
0, -1, 2, 6, 3, 13, 10, 12,	
0, 3, 7, 4, 14, 11, 13,	
0, 4, 1, 11, 8, 10,	
0, -3, 7, 4, 6,	
0, 10, 7, 9,	
0, -3, -1,	
0, 2	$\leftarrow (N-1, x)$

Maxes (max\_so\_far)

[1, 4, 8, 8, 8, 8, 8, 8, 11, 11, 18, 18, 18, ..., 18]

# Solution 2 $\sim N^2$

**Observation:** There is no point in computing the same sums over and over again!

If  $S = \text{sum}(A[i:j]) \rightarrow \text{sum}(A[i:j+1]) = S + A[j+1]$

```
def max_sum_v2(A):
    N = len(A)
    max_so_far = 0
    for i in range(N):
        tot = 0 #ACCUMULATOR!
        for j in range(i,N):
            tot = tot + A[j]
            max_so_far = max(max_so_far, tot)
    return max_so_far
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v2(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

Intuitively, we have to consider  $N*(N+1)/2 \sim N^2$  intervals (for each interval we compute a sum and a maximum of two values: constant time!)

The space required is just a couple of variables:  
**constant!**

# Solution 2 $\sim N^2$

## Tip: use itertools

Accumulate of itertools is done in C so it is faster

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
from itertools import accumulate
```

```
A = list(range(10))
```

```
print(A)
```

```
print(list(accumulate(A)))
```

```
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
```

```
[0, 1, 3, 6, 10, 15, 21, 28, 36, 45]
```



# Solution 2 $\sim N^2$

**Tip: use itertools**

Accumulate of itertools is done in C so it is faster

```
from itertools import accumulate

def max_sum_v2_bis(A):
    N = len(A)
    max_so_far = 0
    for i in range(N):
        tot = max(accumulate(A[i:]))
        max_so_far = max(max_so_far, tot)
    return max_so_far

A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v2_bis(A))

[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
from itertools import accumulate

A = list(range(10))
print(A)
print(list(accumulate(A)))

[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
[0, 1, 3, 6, 10, 15, 21, 28, 36, 45]
```



Similar as before but max computed on the accumulated sum (accumulate “hides” a for loop)

**Important note:  $N$  intervals, sum of  $N$  elements each time:  $\sim N^2$  operations**

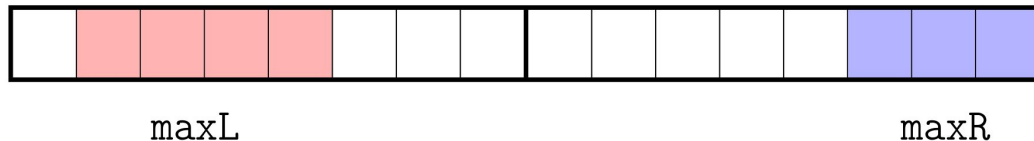
**The improvement comes from implementation not algorithm! (code faster by a constant factor)**

# Solution 3 $\sim N \log(N)$

## Divide et impera (Divide and conquer)

### Idea:

- Split it in two equally sized sublists
- Find maxL as the sum of the maximal sublist on the left part
- Find maxR as the sum of the maximal sublist on the right part
- Get the solution as  $\max(\maxL, \maxR)$



**Is this correct? Do you see any problem with this?**

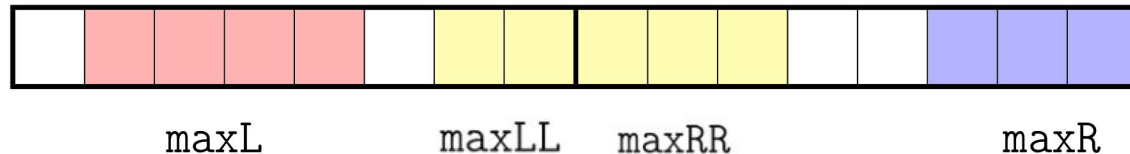
- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

# Solution 3 $\sim N \log(N)$

## Divide et impera (Divide and conquer)

### Idea:

- Split it in two equally sized sublists
- Find **maxL** as the sum of the maximal sublist on the left part
- Find **maxR** as the sum of the maximal sublist on the right part
- **maxLL+maxRR** is the value of the maximal sublist accross the two parts



- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

# Solution 3 $\sim N \log(N)$

## Divide et impera (Divide and conquer)

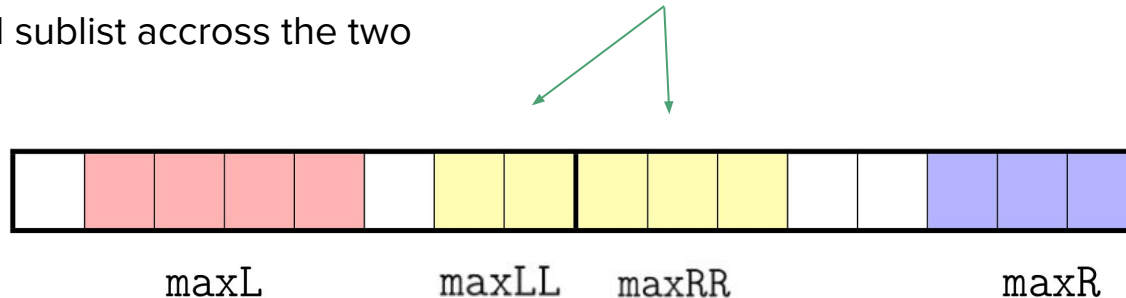
### Idea:

- Split it in two equally sized sublists
- Find **maxL** as the sum of the maximal sublist on the left part
- Find **maxR** as the sum of the maximal sublist on the right part
- **maxLL+maxRR** is the value of the maximal sublist accross the two parts

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

Get the point before the mid-point  $M$  and go to the left until the sum increases.  
Repeat starting from  $M+1$ .

**Result is:**  $\max(\maxL, \maxRR, \maxLL+\maxRR)$



# Solution 3 $\sim N \log(N)$

## Divide et impera (Divide and conquer)

```
def max_sum_v3_rec(A, i, j):
    if i == j:
        return max(0, A[i])
    m = (i+j)//2
    maxML = 0
    s = 0
    for k in range(m, i-1, -1):
        s = s + A[k]
        maxML = max(maxML, s)

    maxMR = 0
    s = 0
    for k in range(m+1, j+1):
        s = s + A[k]
        maxMR = max(maxMR, s)

    maxL = max_sum_v3_rec(A, i, m) #Left maximal subvector
    maxR = max_sum_v3_rec(A, m+1, j) #Right maximal subvector

    return max(maxL, maxR, maxML + maxMR)

def max_sum_v3(A):
    return max_sum_v3_rec(A, 0, len(A) - 1)

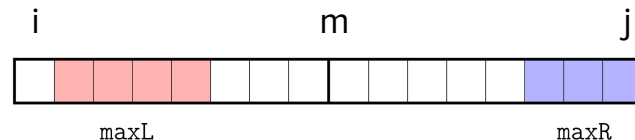
A = [1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
print(A)
print(max_sum_v3(A))

[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

**Recursive code:** calls itself on a smaller sublist.

Runs in  **$N \log(N)$**  ... more on this later



# Solution 3 $\sim N \log(N)$

## Divide et impera (Divide and conquer)

Tip: use itertools

```
def max_sum_v3_rec_bis(A,i,j):
    if i == j:
        return max(0,A[i])
    m = (i+j)//2
    maxL = max_sum_v3_rec_bis(A,i,m)
    maxR = max_sum_v3_rec_bis(A, m+1, j)
    maxML = max(accumulate(A[m:-len(A) + i - 1: -1]))
    maxMR = max(accumulate(A[m+1:j+1]))
    return max(maxL, maxR, maxML+ maxMR)

def max_sum_v3(A):
    return max_sum_v3_rec_bis(A,0,len(A) - 1)

A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v3(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

0	1	2	3	4	5	6	7	8	9	10	11	12	13
L	u	t	h	e	r		C	o	l	i	e	g	e
-14	-13	-12	-11	-10	-9	-8	-7	-6	-5	-4	-3	-2	-1



```
A = list(range(10))
print(A)

#interval 4-2 going to the left...
M = 4
print(-len(A) + 2 - 1)
A[M: -len(A) + 2 - 1 : -1]

[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
-9

[4, 3, 2]
```

**Recursive code:** can use itertools as before to accumulate the sum.

Runs in  **$N \log(N)$**  ...just a little bit faster, more on this later

# Solution 4 ~ N

## Dynamic Programming

Let's define **maxHere[i]** as the maximum value of each sublist that ends in i.

The result is computed from the **maximum slice that ends in any position.**

$$\text{maxHere}[i] = \begin{cases} 0 & i < 0 \\ \max(\text{maxHere}[i-1] + A[i], 0) & i \geq 0 \end{cases}$$

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

# Solution 4 ~ N

## Dynamic Programming

Let's define  $\text{maxHere}[i]$  as the maximum value of each sublist that ends in  $i$ .

The result is computed from the maximum slice that ends in any position.

$$\text{maxHere}[i] = \begin{cases} 0 & i < 0 \\ \max(\text{maxHere}[i-1] + A[i], 0) & i \geq 0 \end{cases}$$

Goes through  $A$  once: runs in  $N$

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max_sum_v4(A):  
    max_so_far = 0 #Max found so far  
    max_here = 0 #Max slice ending at cur pos  
    for i in range(len(A)):  
        max_here = max(A[i] + max_here, 0)  
        max_so_far = max(max_so_far, max_here)  
    return max_so_far
```

```
A = [1,3,4,-8,2,3,-1,3,4,-3,10,-3,2]  
print("{}".format(A))  
print(max_sum_v4(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```



# Solution 4 $\sim N$

## Dynamic Programming

```
def max_sum_v4(A):  
    max_so_far = 0 #Max found so far  
    max_here = 0 #Max slice ending at cur pos  
    for i in range(len(A)):  
        max_here = max(A[i] + max_here, 0)  
        max_so_far = max(max_so_far, max_here)  
    return max_so_far
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]  
print("{}".format(A))  
print(max_sum_v4(A))
```

```
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
18
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
A: [1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]  
max_here: [0, 1, 4, 8, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17]  
max_so_far: [0, 1, 4, 8, 8, 8, 8, 8, 8, 11, 11, 18, 18, 18]
```

# Solution 4 $\sim N$

## Dynamic Programming

Stores also the indexes

```
def max_sum_v4_bis(A):
    max_so_far = 0 #Max found so far
    max_here = 0 #Max slice ending at cur pos
    start = 0 #start of cur maximal slice
    end = 0 #end of cur maximal slice
    last = 0 #beginning of max slice ending here
    for i in range(len(A)):
        max_here = A[i] + max_here
        if max_here <= 0:
            max_here = 0
            last = i + 1
        if max_here > max_so_far:
            max_so_far = max_here
            start = last
            end = i

    return (start, end, max_so_far)
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print("A: {}".format(A))
print(max_sum_v4_bis(A))
```

```
A: [1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
(4, 10, 18)
```

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i:j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

A: [1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]

Max\_so\_far: [0, 1, 4, 8, 8, 8, 8, 8, 11, 11, 18, 18, 18]

Max\_here: [0, 1, 4, 8, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17]

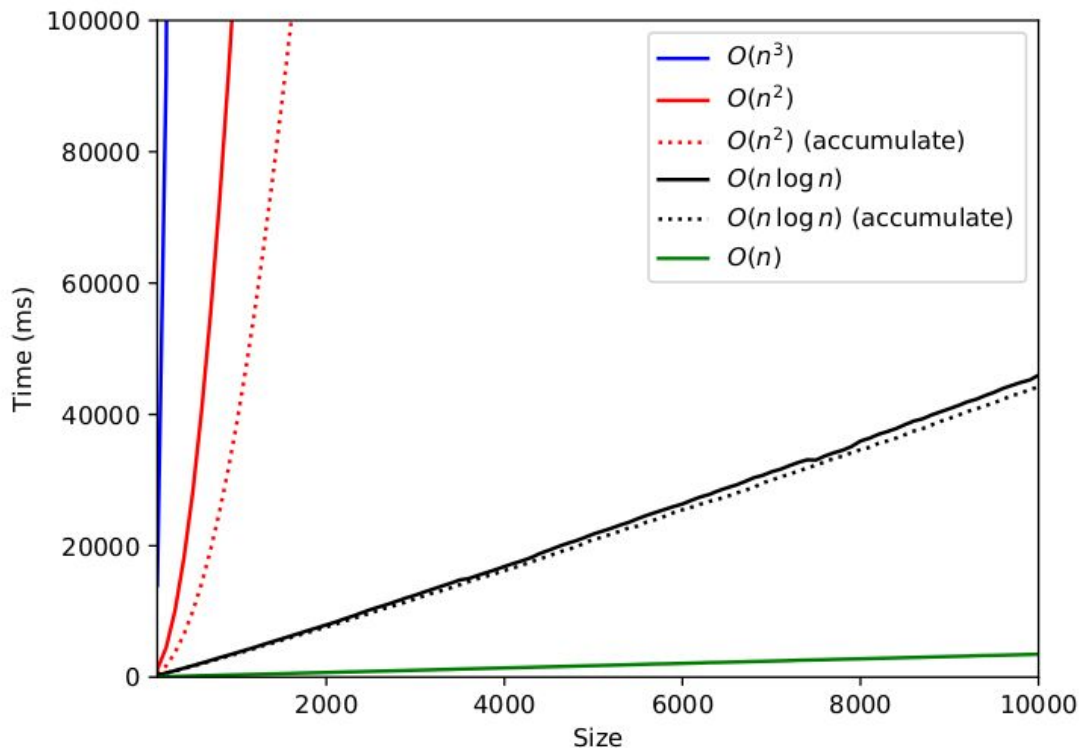
Last: [0, 0, 0, 0, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4]

Start: [0, 0, 0, 0, 0, 0, 0, 0, 0, 4, 4, 4, 4, 4]

End: [0, 0, 1, 2, 2, 2, 2, 2, 2, 8, 8, 10, 10, 10]

# Running times...

- **Input:** a list  $A$  containing  $n$  numbers
- **Output:** a slice (sublist)  $A[i : j]$  of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



# Some definitions...

## Computational problem

The formal relationship between the input and the desired output

## Algorithm

- The description of the sequence of actions that an executor must execute to solve the problem
- Among their tasks, algorithms represent and organize the input, the output, and all the intermediate data required for the computation

# Some history...

- Ahmes' Papyrus (1850 BC, peasant algorithm for multiplication)
- Numerical algorithms have been studied by Babylonians and Indian mathematicians
- Algorithms used even today have been studied by Greek mathematicians more than 2000 years ago
  - Euclid's Algorithm for the greatest common divisor
  - Geometrical algorithms (angle bisection and trisection, tangent drawing, etc)



# Algorithms: the name...

## Abu Abdullah Muhammad bin Musa **al-Khwarizmi**

- He was a Persian mathematician, astronomer, astrologer, geographer
- He introduced the indian numbers in the western world
- From his name: **algorithm**



## Al-Kitab al-muhtasar fi hisab **al-gabr** wa-l-muqabala

- His most famous work (820 AC)
- Translated in Latin with the title: *Liber algebrae et almucabala*



# Computational problems: examples

## Minimum

The minimum of a set  $S$  is the element of  $S$  which is smaller or equal than any other element of  $S$ .

$$\min(S) = a \Leftrightarrow \exists a \in S : \forall b \in S : a \leq b$$

## Lookup

Let  $S = s_0, s_1, \dots, s_{n-1}$  be a sequence of distinct, sorted numbers, i.e.  $s_0 < s_1 < \dots < s_{n-1}$ . To perform a lookup of the position of value  $v$  in  $S$  corresponds to returning the index  $i$  such that  $0 \leq i < n$ , if  $v$  is contained at position  $i$ ,  $-1$  otherwise.

$$\text{lookup}(S, v) = \begin{cases} i & \exists i \in \{0, \dots, n-1\} : S_i = v \\ -1 & \text{otherwise} \end{cases}$$



# Computational problems: examples

## Minimum

The minimum of a set  $S$  is the element of  $S$  which is smaller or equal than any other element of  $S$ .

$$\min(S) = a \Leftrightarrow \exists a \in S : \forall b \in S : a \leq b$$

## Lookup

Let  $S = s_0, s_1, \dots, s_{n-1}$  be a sequence of distinct, sorted numbers, i.e.  $s_0 < s_1 < \dots < s_{n-1}$ . To perform a lookup of the position of value  $v$  in  $S$  corresponds to returning the index  $i$  such that  $0 \leq i < n$ , if  $v$  is contained at position  $i$ ,  $-1$  otherwise.

$$\text{lookup}(S, v) = \begin{cases} i & \exists i \in \{0, \dots, n-1\} : S_i = v \\ -1 & \text{otherwise} \end{cases}$$

**Note:** we described a relationship between input and output. Nothing is said on how to compute the result (that's the difference between math and computer science :-)



# Naive solutions

## Minimum

To find the minimum of a set, compare each element with every other element; the element that is smaller than any other is the minimum.

## Lookup

To find a value  $v$  in the sequence  $S$ , compare  $v$  with any other element of  $S$ , in order, and return the corresponding index if a correspondence is found; returns  $-1$  if none of the elements is equal to  $v$ .

Computational  
Problem



First, let's **translate** the computational problem into an algorithm to solve it.

Then, make it **more efficient** if possible!

# Naive solutions: the code

```
def my_min(S):
    for x in S:
        isMin = True
        for y in S:
            if x > y:
                isMin = False
        if isMin:
            return x

A = [7, -1, 9, 121, -3, 4, 13]

print(A)
print("min: {}".format(my_min(A)))

[7, -1, 9, 121, -3, 4, 13]
min: -3
```

```
def lookup(L, v):
    for i in range(len(L)):
        if L[i] == v:
            return i
    return -1

my_list = [1, 3, 5, 11, 17, 121, 443]
print(my_list)
print("{} in pos: {}".format(17,
                             lookup(my_list, 17)))
print("{} in pos: {}".format(4,
                             lookup(my_list, 4)))

[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
```

This is a direct translation of the computational problem. Can we do better?

# Algorithm evaluation

Does it solve the problem in a **correct** way?

- Mathematical proof vs informal description
- Some problems can only be solved in an approximate way
- Some problems cannot be solved at all

Does it solve the problem in an **efficient** way?

- How to measure efficiency
- Some solutions are **optimal**: you cannot find better solutions
- For some problems, there are no efficient solutions

**Note on efficiency:** algorithm efficiency has a bigger impact on performance than technical details (e.g. using Python vs. C, itertools vs sum etc...)

# Efficiency: time and space

## Algorithm complexity

Analysis of the resources employed by an algorithm to solve a problem, depending on the **size** and the **type** of input

## Resources

- **Time**: time needed to execute the algorithm
  - Should we measure it with a cronometer?
  - Should I measure it by counting the number of elementary operations?
- **Space**: amount of used memory
- **Bandwidth**: amount of bit transmitted (distributed algorithms)

Normally, we focus on **time** because there is a relationship between TIME and SPACE. Intuitively, Using  $N^2$  space will require at least  $N^2$  time to read the input... **Normally, TIME > SPACE**

# Algorithm evaluation: minimum

How many comparisons do we perform?

```
def my_min(S):  
    for x in S:  
        isMin = True  
        for y in S:  
            if x > y:  
                isMin = False  
        if isMin:  
            return x  
  
A = [7, -1, 9, 121, -3, 4, 13]  
  
print(A)  
print("min: {}".format(my_min(A)))  
  
[7, -1, 9, 121, -3, 4, 13]  
min: -3
```

This is the most  
expensive operation  
(might work on ints,  
strings, files,...)



If  $\text{len}(S) = n$ :  
    for  $x$  in  $1, \dots, n$ :  
        for  $y$  in  $1, \dots, n$ :  
             $x > y$   
            ...  
→  $n * n$  comparisons

**Naive algorithm has complexity:  $n^2$**

# Algorithm evaluation: minimum, a better solution

How many comparisons do we perform?

```
def my_faster_min(S):  
    min_so_far = S[0] #first element  
    i = 1  
    while i < len(S):  
        if S[i] < min_so_far:  
            min_so_far = S[i]  
        i = i + 1  
    return min_so_far
```

```
A = [7, -1, 9, 121, -3, 4, 13]
```

```
print(A)  
print("min: {}".format(my_min(A)))
```

```
[7, -1, 9, 121, -3, 4, 13]  
min: -3
```

This is the most  
expensive operation  
(might work on ints,  
strings, files,...)

If  $\text{len}(S) = n$ :  
     $i = 1, \dots, n-1$   
     $S[i] < \text{min\_so\_far}$

→  $n-1$  comparisons

**Naive algorithm “has complexity”:**  $n^2$

**Better algorithm “has complexity”:**  $n-1$

# Algorithm evaluation: lookup

How many comparisons do we perform?

```
def lookup(L, v):  
    for i in range(len(L)):  
        if L[i] == v:  
            return i  
    return -1  
  
my_list = [1, 3, 5, 11, 17, 121, 443]  
print(my_list)  
print("{} in pos: {}".format(17,  
                             lookup(my_list, 17)))  
print("{} in pos: {}".format(4,  
                             lookup(my_list, 4)))
```

```
[1, 3, 5, 11, 17, 121, 443]  
17 in pos: 4  
4 in pos: -1
```

I compare  $v$  with first element, then to the second etc. when I find it or when I checked the whole list I stop.

→  $n$  comparisons

**Naive algorithm “has complexity”:  $n$**

# Algorithm evaluation: lookup, better solution

How many comparisons do we perform?

```
def lookup(L, v):  
    for i in range(len(L)):  
        if L[i] == v:  
            return i  
        elif L[i] > v:  
            return -1  
    return -1  
  
my_list = [1, 3, 5, 11, 17, 121, 443]  
print(my_list)  
print("{} in pos: {}".format(17,  
                             lookup(my_list, 17)))  
print("{} in pos: {}".format(4,  
                             lookup(my_list, 4)))  
  
print("{} in pos: {}".format(500,  
                             lookup(my_list, 4)))
```

```
[1, 3, 5, 11, 17, 121, 443]  
17 in pos: 4  
4 in pos: -1  
500 in pos: -1
```

I loop through the list, if I find value  $> v$  I can stop.

Generally faster, but worst case (es. 500 below)

→  $n$  comparisons

**Naive algorithm “has complexity”:  $n$**   
**Better algorithm “has complexity”:  $n$**



# Algorithm evaluation: best, worst and average case

What is the most important case?

Best:  $\text{lookup}(L,1)$  solved in 1 step.



Not interested.  
We are never  
lucky!!!

Worst:  $\text{lookup}(L,10)$  solved in 9 steps



Normally, **the  
most informative  
case**

Average:  $\text{lookup}(L,6)$  solved in 4 steps



Sometimes  
interesting

# Lookup: more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)

1	7	12	15	21	27	29	41	57
---	---	----	----	----	----	----	----	----

# Lookup: a more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the  
**median value**, m.

If  $L[m] = v$ . Found it!

if  $L[m] > v$ . Search  $L[0:m]$

if  $L[m] < v$ . Search  $L[m+1:]$

# Lookup: a more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the  
**median value**, m.

If  $L[m] = v$ . Found it!

if  $L[m] > v$ . Search  $L[0:m]$

$21 < \mathbf{28} \rightarrow$  ignore  $L[0:m]$

if  $L[m] < v$ . Search  $L[m+1:]$

# Lookup: a more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the  
**median value**, m.

If  $L[m] = v$ . Found it!

if  $L[m] > v$ . Search  $L[0:m]$

**28** < 29 → ignore  $L[m+1:]$

if  $L[m] < v$ . Search  $L[m+1:]$

# Lookup: a more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the  
**median value**, m.

If  $L[m] = v$ . Found it!

if  $L[m] > v$ . Search  $L[0:m]$

**28** < 29 → ignore  $L[m+1:]$

if  $L[m] < v$ . Search  $L[m+1:]$

# Lookup: a more efficient algorithm

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the  
**median value**, m.

If  $L[m] = v$ . Found it!

if  $L[m] > v$ . Search  $L[0:m]$

27  $\neq$  **28** → NOT FOUND

if  $L[m] < v$ . Search  $L[m+1:]$

# Lookup: the recursive code

```
def lookup_rec(L, v, start, end):  
    if end < start:  
        return -1  
    else:  
        m = (start + end)//2  
        if L[m] == v: #found!  
            return m  
        elif v < L[m]: #look to the left  
            return lookup_rec(L, v, start, m-1)  
        else: #look to the right  
            return lookup_rec(L, v, m+1, end)
```



can stop and check when  $end == start$   
but it is similar

```
my_list = [1, 3, 5, 11, 17, 121, 443]  
print(my_list)  
print("{} in pos: {}".format(17,  
                             lookup_rec(my_list, 17, 0, len(my_list)-1)))  
print("{} in pos: {}".format(4,  
                             lookup_rec(my_list, 4, 0, len(my_list)-1)))  
print("{} in pos: {}".format(443,  
                             lookup_rec(my_list, 443, 0, len(my_list)-1)))
```

```
[1, 3, 5, 11, 17, 121, 443]  
17 in pos: 4  
4 in pos: -1  
443 in pos: 6
```



# Lookup: the recursive code

```
def lookup_rec(L, v, start, end):
    if end < start:
        return -1
    else:
        m = (start + end) // 2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup_rec(L, v, start, m-1)
        else: #look to the right
            return lookup_rec(L, v, m+1, end)

my_list = [1, 3, 5, 11, 17, 121, 443]
print(my_list)
print("{} in pos: {}".format(17,
                             lookup_rec(my_list, 17, 0, len(my_list)-1)))
print("{} in pos: {}".format(4,
                             lookup_rec(my_list, 4, 0, len(my_list)-1)))
print("{} in pos: {}".format(443,
                             lookup_rec(my_list, 443, 0, len(my_list)-1)))

[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

2 comparisons (==, <) at each call

How many total comparisons?

Anyone wants to try?

# Lookup: the recursive code

```
def lookup_rec(L, v, start, end):
    if end < start:
        return -1
    else:
        m = (start + end) // 2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup_rec(L, v, start, m-1)
        else: #look to the right
            return lookup_rec(L, v, m+1, end)

my_list = [1, 3, 5, 11, 17, 121, 443]
print(my_list)
print("{} in pos: {}".format(17,
                             lookup_rec(my_list, 17, 0, len(my_list)-1)))
print("{} in pos: {}".format(4,
                             lookup_rec(my_list, 4, 0, len(my_list)-1)))
print("{} in pos: {}".format(443,
                             lookup_rec(my_list, 443, 0, len(my_list)-1)))

[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

2 comparisons (==, <) at each call

How many total comparisons?

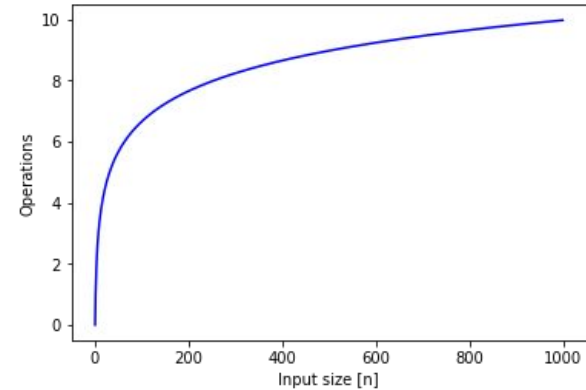
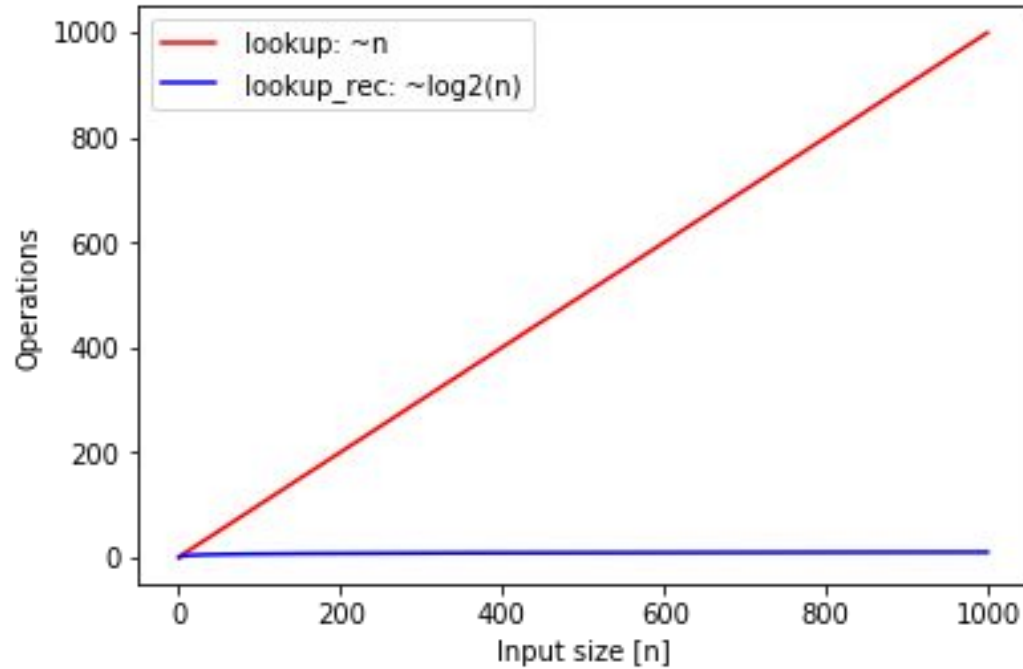
At beginning 1024 elements...

then 512...  
then 256...  
then 128...  
then 64...  
then 32...  
then 16...  
then 8...  
then 4...  
then 2...  
then 1

→  $\log_2(1024) + 1$  iterations

**Complexity  $\sim \log_2 n$**

# Lookup analysis



# Correctness

## **Invariant**

A condition that is always true in a specific point in an algorithm

## **Loop invariant**

- A condition that is always true at the beginning of a loop iteration
- what is exactly the beginning of a loop iteration?

## **Class invariant**

- A condition always true when the execution of a class method is completed

# Correctness

The **loop invariant** helps us proving that the algorithm is **correct**:

By induction...

**Initialization (base case):**

**Prove** that the condition is true before the first iteration

**Conservation (inductive step):**

If the condition is true before the iteration of the loop, then **prove** that it remains true at the end (before the next iteration)

**Conclusion:**

At the end, **the invariant** must represent the "correctness" of the algorithm

# Correctness of min

**Invariant:** At the beginning of **iteration i** of the while loop, min\_so\_far contains the partial minimum of the elements in S[0:i].

```
def my_faster_min(S):  
    min_so_far = S[0] #first element  
    i = 1  
    while i < len(S):  
        if S[i] < min_so_far:  
            min_so_far = S[i]  
        i = i + 1  
    return min_so_far
```

```
A = [7, -1, 9, 121, -3, 4, 13]
```

```
print(A)  
print("min: {}".format(my_min(A)))
```

```
[7, -1, 9, 121, -3, 4, 13]  
min: -3
```

**Base case:**

min\_so\_far = S[0] **IS** the  
minimum of elements in S[0:1]

**Induction step:**

(assuming min\_so\_far is the  
minimum of S[0:i]) at each  
iteration i, min\_so\_far is  
updated **IFF**  $S[i] < \text{min\_so\_far}$



**min\_so\_far always contains  
min of elements S[0:i]**

# Correctness of lookup

**Exercise:** prove the correctness of lookup\_rec

```
def lookup_rec(L, v, start, end):
    if end < start:
        return -1
    else:
        m = (start + end) // 2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup_rec(L, v, start, m-1)
        else: #look to the right
            return lookup_rec(L, v, m+1, end)

my_list = [1, 3, 5, 11, 17, 121, 443]
print(my_list)
print("{} in pos: {}".format(17,
                             lookup_rec(my_list, 17, 0, len(my_list)-1)))
print("{} in pos: {}".format(4,
                             lookup_rec(my_list, 4, 0, len(my_list)-1)))
print("{} in pos: {}".format(443,
                             lookup_rec(my_list, 443, 0, len(my_list)-1)))
```

```
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

**What is the invariant?**

# Correctness of lookup

**Exercise:** prove the correctness of lookup\_rec

```
def lookup_rec(L, v, start, end):  
    if end < start:  
        return -1  
    else:  
        m = (start + end)//2  
        if L[m] == v: #found!  
            return m  
        elif v < L[m]: #look to the left  
            return lookup_rec(L, v, start, m-1)  
        else: #look to the right  
            return lookup_rec(L, v, m+1, end)  
  
my_list = [1, 3, 5, 11, 17, 121, 443]  
print(my_list)  
print("{} in pos: {}".format(17,  
                             lookup_rec(my_list, 17, 0, len(my_list)-1)))  
print("{} in pos: {}".format(4,  
                             lookup_rec(my_list, 4, 0, len(my_list)-1)))  
print("{} in pos: {}".format(443,  
                             lookup_rec(my_list, 443, 0, len(my_list)-1)))
```

```
[1, 3, 5, 11, 17, 121, 443]  
17 in pos: 4  
4 in pos: -1  
443 in pos: 6
```

**What is the invariant?**

If  $v$  is in  $L$ , it is located in  
 **$L[\text{start}:\text{end}+1]$**



# Correctness of lookup

**Exercise:** prove the correctness of `lookup_rec`.  
By induction on  $n = \text{end} - \text{start}$

**Base case** ( $n = 0$ )

**Inductive hypothesis:** given a size  $n$ , let us assume that the algorithm is correct for all sizes  $n' < n$

**Inductive step:** given inductive hypothesis, prove invariant still holds for size  $n$ .

```
def lookup_rec(L, v, start, end):  
    if end < start:  
        return -1  
    else:  
        m = (start + end) // 2  
        if L[m] == v: #found!  
            return m  
        elif v < L[m]: #look to the left  
            return lookup_rec(L, v, start, m-1)  
        else: #look to the right  
            return lookup_rec(L, v, m+1, end)
```

# Correctness of lookup

**Exercise:** prove the correctness of `lookup_rec`.  
By induction on  $n = \text{end} - \text{start}$

**Base case ( $n = 0$ ):** if  $n == 0$ , this means that  $\text{end} < \text{start}$ .  
The algorithm **returns -1**. Correct given that if  $n == 0$ ,  $v$  is not present.

**Inductive hypothesis:** given a size  $n$ , let us assume that the algorithm is correct  
for all sizes  $n' < n$

**Inductive step:** given a size  $n > 0$ , let  $m$  be the median element.

If  $L[m] == v$ , then the algorithm returns  $m$ , because  $m$  is the actual position of  $v$   $\rightarrow$   
hence  $v$  is in  $m = \text{start} + \text{end} // 2$  that **is in  $L[\text{start}:\text{end}]$**

If  $v < L[m]$ , then if  $v$  is present, since  $S$  is sorted, it must be located in  **$L[\text{start}:m]$** .  
By inductive hypothesis, `lookup_rec(L, v, start, m-1)` will return the correct position  
of  $v$  if present, or -1 if not present (since  $m-1 - \text{start}$  is smaller than  $n$ ).

if  $v > L[m]$  is symmetric.

```
def lookup_rec(L, v, start, end):  
    if end < start:  
        return -1  
    else:  
        m = (start + end) // 2  
        if L[m] == v: #found!  
            return m  
        elif v < L[m]: #look to the left  
            return lookup_rec(L, v, start, m-1)  
        else: #look to the right  
            return lookup_rec(L, v, m+1, end)
```