

Examining the Usage of Advanced Programming Constructs

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Declaration

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Abstract

As time has gone on, programming languages have become more developed and more advanced syntax constructs have been added alongside many "quality of life" type structures to make the writing code not only more efficient but also easier for developers. This study examines seven of these advanced constructs, drawing together both data from surveys taken by professional developers and data from analysis of four widely known, active, open source code bases. Using a short but broad survey, data was collected on developers' usage of these constructs. This showed that developers primary concern when choosing how to write code lies with keeping it clear and simple, but that their definition of these things come more from their own personal preference, not style guides. Analysis of open source code was carried using a combination of simple regular expressions and hand analysis. A commits were found that enforce use of some constructs and replaced the plain syntax in nearly all occurrences. Examining of the the intermediate languages of compiled C# and Java also showed some marked differences in the produced code dependant on the syntax used. Constructs that conventionally might be thought of as interchangeable do have a visible effect on intermediate code.

[Is there any working docs?]

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1 Introduction

1.1 Overview

Code style has long been a topic of heated debate within the field of computer science since even the very early days. You will rarely ever get a single consensus in room when asking “How should code blocks be indented?”, or worse still “What is the best brace style?”. These kinds of physical layout questions have been around as long as the languages they exist within, but as programming languages have become more complex and afford programmers greater ease to express their logic, this discussion of best style has only broadened. Languages include many ‘syntactic sugar’ constructs - patterns and operators that allow the simplification of previously more complex-looking or verbose statements, commonly thought of as a friendlier or otherwise specialised piece of syntax. The constructs that will be examined in this study are listed below:

- Ternary/in-line If Statements (`a ? b : c`)
- Null-coalesce (`a ?? b`) and Null-conditional Operators (`a?.b` / `a?[x]b`)
- Lambda Expressions and Anonymous Functions (`(a) => { b; }`)
- Additional constructs:
 - Foreach Loops (`foreach (a in b) / for (a : b)`)
 - Unary Increment Operators (`a++`, `b--`)
 - Compound Assignment Operators (`a += 2`, `b -= 2`, `c *= 2`, `d /= 2`, etc...)

Detailed descriptions of these constructs are given in Section 2.4

These particular constructs were selected as they represent a selection of commonly used syntax of varying complexity. They are also present in many modern programming languages found in use in industry today. These constructs represent a sample of all such constructs that could be categorised similarly.

Prior to this project, I was working on a large C# codebase with a nearly 20 year history. Throughout that time, the notion of ‘ideal’ style had changed not only within the company the codebase belonged to, but in the wider software industry too. This led to wide mix of ‘plain’ syntax together with many of the newer syntax constructs. For example, lambdas were only added to C# around seven or eight *years* after the first iteration of the codebase had been written. Some files featured a complete lack of any of these more advanced syntax constructs, some had many, some had some very difficult to read examples of their use, where perhaps it may have been better to have used more traditional syntax. For example, one code snippet took the form:

```
myVar = conditionA ? (doSomething(paramA))
      : conditionB ? doSomethingElse(paramB))
      : (conditionC ? doADifferentThing(paramC)
      : doAnotherThing(paramD))
```

It is this mix-and-match of the use of these constructs that spurred the idea of this project. Looking at code, there are clearly times when traditional syntax is overly verbose and takes up more space on the screen than necessary, but it also became abundantly clear that to swap out *all* plain syntax for these more compact styles would be a big mistake, making many bits of code much harder to read.

So where does the line lie between when to, and when to not use these constructs? To answer this, we must consider why we would use either one or the other at all. Often they are used to make code more compact - as software development has grown as an industry, there are certain ‘set’ statements that it can generally be assumed that everyone understands, and that can be abstracted a little to make them neater or smaller. Other times it may be what has been laid out in a company style guide that everyone should use, or perhaps purely the habits and practices of an individual. However, regardless of the exact reason or motivation behind every use of these constructs, it is important to remember that no tool is unable to be misused - overuse of these types of constructs or use of them in inappropriate places, can make code more complicated and harder to read than it would have been otherwise. It is equally important to value the ‘plain’ or verbose syntax as much as the compact variants, both having

very unique and distinct advantages and disadvantages.

This project began with an aim to make recommendations on how to best use the constructs in question, but as research continued and it was found just how little clear guidance is available, and how much conflict and indecision is present, it has become a more exploratory study in the use and usage of these constructs. To do so, we focus on C#, JavaScript, and Java as a representative sample of popular imperative programming languages.

They may be used for multiple reasons, such as to make code simpler, or clearer. Other times, it may be style defined either by a programmer themselves, or the house rules of their organisation. In this paper, we will study the above constructs and how they are used, with a view to making recommendations about they are best used, and where they are best avoided.

1.2 Motivation

The primary drive for this study stems from my experience during my industrial placement (SCC.419). As briefly mentioned above, the codebase I was working on was extensive and developed by numerous developers over the course of the last two decades or so. There were times that code was either made easier or harder not by its flow, but by the way it was written. The first instance that came to my attention was a ternary if-statement used that was so long that it needed to be split across four lines (similar to that shown in Section 1.1), had a second and third ternary in the true *and* false branch of the enclosing ternary, with lengthy expressions being evaluated from there. In this instance, it can be assumed that it would have been significantly clearer to use a regular if-statement. Despite this the existence of ternary if-statements is still useful, but it brings to question where these constructs and other similar constructs should best be used.

There also seems to have been relatively little research into languages features such as these, either in terms of subjective readability or objective performance. Some studies I have come across in research even make explicit note of the fact their definition of ‘good style’ is subjective and opinion-based, albeit from that of perceived experts. Using experts in a field is always a good sign, but if there really is very little guidance to any level of programmer, then it stands to reason that much of what even experts think is good style, could be from personal experience alone, or at least somewhat unacademic.

It is hoped that by formally examining these constructs in an academic context that the produced research will a launch pad or grounding for more thorough examination across the software development industry as a whole. Research into this topic has the potential to positively influence industry-wide best practices, both directly with developers themselves, and with authors of standards and programming guides. Essentially, this research is of direct relation to code style in general, which is equally important to anyone writing code in any high-level programming languages and even some lower-level languages as well.

1.3 Aims & Objectives

The aims of this report are as follows:

1. To understand the usage of seven advanced programming constructs (as defined in Sections 1.1 and 2.4).
2. To study what reasons developers have to, or to not, use these constructs.
3. To research how these constructs are actually used in practice.
4. To examine the effects of using these constructs has on compiled code.

These aims will be achieved via the following objectives:

1. Collect data from professional developers about when and how they think it is appropriate to use these constructs via a survey.
2. Investigate what guidance is available to developers on how to use these constructs by examining available style documents provided by developers and online.
3. Examine the recommendations made by static code analysis tools on specifically written sample code files to act as ‘control’ tests (containing back-to-back examples of plain syntax and syntax using the constructs).

4. Analyse four open source repositories to assess how these constructs are used in practice both by hand and using static code analysis tools.
5. Study the intermediate language (where applicable) produced by plain syntax contrasted with syntax using the constructs.
6. If time and resources permit: conduct software profiling and performance tests of code that both do and do not use the constructs to discover if they have a measurable effect on performance.

1.4 Methods

A multifaceted approach was taken to achieve the above aims, incorporating multiple methods. First, a survey was carried out, to gather both qualitative and quantitative data direct from developers about how they use each of the constructs being examined - this helps address aim one. A survey was chosen as it provides a comparatively time- and resource-efficient method to gather answers to base questions from a large sample size. Quantitative data was collected, with regard to aim two, by employing static code analysis tools to analyse four open source GitHub repositories and sample code files (that contain examples of both the constructs and their ‘plain’ equivalents), in three programming languages. Static analysis tools were employed as they most likely represent actual tools developers may use to check their code, and thus the rules and recommendations that will be levelled against their code in a real scenario. Aims one and two were also supported by the analysis of the commit history of the four repositories for changes that include or exclude the use of the constructs in question. Lastly, aim three will be met via a culmination of all methods used, and some simple numerical analysis.

1.5 Threats to Validity

Due to time constraints and limits of contacts, the survey was only able to encompass one group of programmers, all from within one company. Although the technology stack the developers in question may have been exposed to consisted primarily of C# and JavaScript, this potentially means participants may have been answering questions with little knowledge on Java. The results of the survey are also difficult to generalise, as there are many factors which may affect responses that are limited to this one group of developers.

The survey was distributed widely within ISS, giving approximately 60 potential respondents. Of those, there are 44 recorded responses (70% response rate), 23 of which are completed, valid responses (40% valid responses).

1.6 Report Structure

The remainder of this report will first detail further background research directly and indirectly related to this topic, before describing the methods and design of the study, followed by the results and information gained from the study, discussion on these results, before final conclusions.

2 Background

At face-value, much of the grounding of this study may be considered conventional wisdom. That is, the constructs are simply used anywhere and everywhere according to when we as programmers deem it appropriate, without much prior thought or formal procedure. This once would have been the case for structural or physical style to our code, the way we lay our code out and arrange it around whitespace. Countless style guides have concerned themselves with this for many years, and there's still much debate around which brace style is the best in C-like languages, or how many spaces should be used to indent code blocks. It hasn't been until much more recent times, as software development houses have grown, and the computing industry as a whole has ballooned into the incredibly important sector it is today, that we have started to think more about the meta-style of our programming. Not how it's physically laid out, but how we layout our logic and design patterns. Somewhere between these two schools of thought lies the area this study is focussed on.

It does not appear, however, that a great deal has been written and published around how to best use many of the programming constructs that many programmers will use tens, if not hundreds, of times per day. What follows is a summary of much of the existing works that cover partially on wholly the same topics.

2.1 Early Style Metrics

As far back as the 1980s, Berry & Meekings (1985) proposed the Berry-Meeking Style Metric as a measure of good style and readability of code. This used style analysers adapted specifically to C to produce a metric denoting the 'lucidity' of the code. The metric was derived from 11 different characteristics about a program: module length, identifier length, percentage of comment lines, amount of indentation, percentage of blank lines, line length, spaces within lines, percentage of constants, reserved words used, included files and number of `gotos` used. Zooming out from this level of metric-based scoring, Oman & Cook (1988) collects a number of studies of together and notes how application of these types of style metrics not only lack correlation with error proneness, but also has unpredictable effects on complexity metrics. They go further to say that style is an 'intuitive and elusive' concept, that is 'highly individualistic' and simultaneously easy to see, but hard to define. Many differing style guides are either too general or subjective, contradictory between each other and with no guidance and how to manage these conflicts. They go on to liken the use programming style to "the 'perception and judgement' a writer exercises in selecting 'from equally correct expressions, the one the best suited to his material, audience and intention'". This is to say that the nuance of writing in English prose is present too in the style of code.

2.2 Automatic Feedback Systems for Students

In more recent times, it is again reinforced that some simple metrics like program length cannot solely be used to measure the quality of code style. Moghadam et al. (2015), when showing a range of students' responses to a programming task (the shortest of which is unlikely to be rated the easiest to read), state that length is not a sole indicator of good style, and moreover that "excessive terseness is often worse than that of verbosity". We still don't have any *definite* way to judge 'good' style, with Roy Choudhury et al. (2016) noting that their study on providing style hints to new programmers is limited by the fact the given 'good' style is subjective and opinionated.

2.3 Popular & Official Guides

One of the defining style guides for Java, Effective Java (Bloch 2018) makes many assertions about how to use certain features of the Java language, but most of these do not reach the level of granularity focussed on in this study. The reference to anything close is that Java programmers should "prefer for-each loops over traditional for loops". Lambdas feature in their own chapter, but only with reference to *how* to use them, not *when*.

It is reasonable to think that official style guides published by programming language developers would be cover-all for all sorts of style, from the extraneous and finicky to most basic syntax, but in fact, Microsoft's own style guide for C# is somewhat short, and it's only relevant mention for this study being a note that lambdas are 'shorter' and may thus be good substitutions for the more verbose regular methods that would be needed otherwise.

Two prevailing style guides for JavaScript have come into prominence the last few years, both with certain small conflicts and differences from each other. These are the Google style JavaScript style guide (Various n.d.), and the Airbnb JavaScript style guide (Various 2021). The Google guide advocate for use of **for-of** loops over all other types of **for** loop in JavaScript, allowing **for-in** loops exceptionally for dict-style objects. The Airbnb guide, conversely to Google, requires use of *iterators* over **for-in** or **for-of**, with no reference to standard for loops. It also makes one very relevant assertion: that ternaries should “not be nested and generally be single line expressions”. Perhaps controversially, the Airbnb guide also rejects the use of the unary operators, **++** and **--**. This is primarily due to the fact that these statement cause automatic semicolon insertion and can cause silent errors. However, it is also reasoned that the more expressive **+=** and **-=** operators allow code to be easier to read and less error-prone.

2.4 Constructs

This section will give a more detailed description of each of the constructs to be examined. The constructs were selected for a number of reasons - firstly, they are all replaceable with simpler syntax (lambdas being a occasional exception, see Section 5.2.1), conventional wisdom would dictate that some are rather commonly used (compound assignment / unary operators) and conversely that some are used much less (null-coalesce / null-conditional). Initial thoughts of some of the constructs came about from seeing them during my work for SCC.419. The constructs in question are detailed below:

- Ternary/in-line If Statements
 - Reduces the common **if ... else ...** pattern into a single line of the form **a ? b : c**, where **a** is a conditional expression, **b** is the body of the **if** and **c** is the body of the **else**. While it may be possible in some languages to have multiple statement in the ‘b’ and ‘c’ sections of a ternary, but standard syntax only permits a single statement.
- Lambdas and Anonymous Functions
 - A lambda expression is any expression involving a function used as an argument, just as in Lambda Calculus. Though this is not required, lambdas most often take the form of anonymous (unnamed) functions defined in-line. (Mazinanian et al. 2017).
- Null-coalescing Operator
 - Used to provide a default value in place of a null value. Replaces a null-check (using an if statement) with a single assignment of the form **a = possiblyNullValue ?? valueIfNull** where **a** is of a nullable type. (Gunnerson & Wienholt 2012).
- Null-conditional Operator
 - Used to access a member or element of its operand *if and only if* that operand evaluates to non-null. Otherwise, it returns null. (Various 2015). This allows a member/element access operation to be carried out without a null-check on the base operand, only on the evaluated value.
- Foreach Loops
 - Used to simplify for loops for the purposes of iterating over an abstract data structure, usually a type of some form of collection of like objects; those that can be indexed into to retrieve an element. For example, in C#, a foreach loop may be used to iterate over the elements of an instance of any class that implements the **IEnumerable** interface. The same applies to Java with its **Iterable** interface. In C#, if **numList** is of type **List<int>**:


```
for (int i = 0; i < numList.Count; i++)
{
    total += numList[i];
}
```

Can be written as:

```
foreach (int num in numList)
{
```

```
        total += num;
    }
```

- Unary Increment Operator

- Used to increment or decrement an integer variable by one. Often seen in `for` loops to increment the index variable.

- For example:

```
a = a + 1;
```

Can be written as:

```
a++;
```

- Compound Assignment Operators

- Used to effectively “append” to a variable’s value, compressing three references to variables/literals into two. In most supporting languages, a compound assignment operator exists for all basic arithmetic operators, logic operators and some others (such as in string concatenation).

- For example:

```
a = a * 2;
```

Can be written as:

```
a *= 2
```

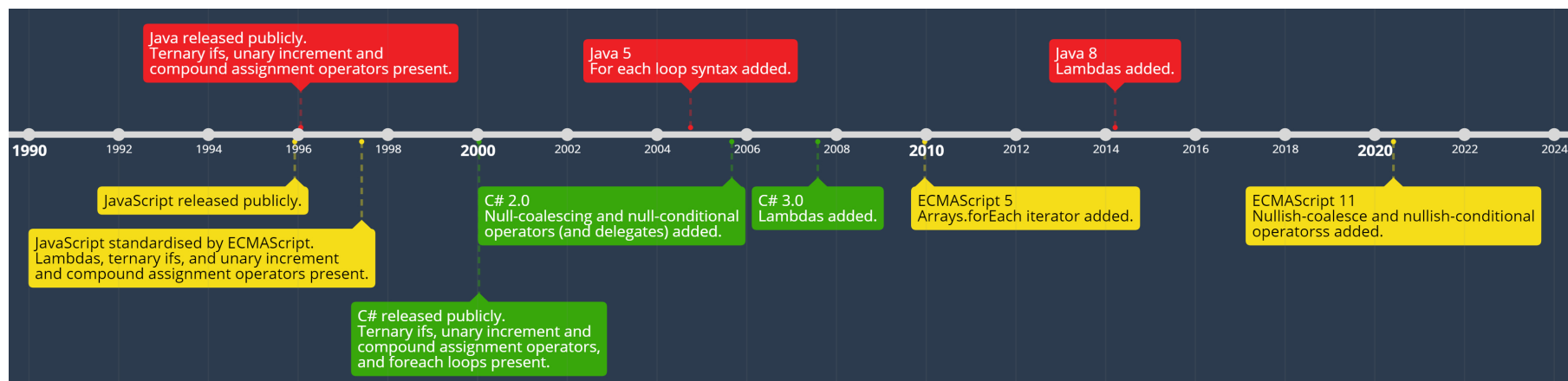


Figure 1: Timeline of the addition of advanced constructs to three modern languages.

3 Study Design

3.1 Survey

One of the facets of this study was to capture and analyse the perceptions and thoughts of a number of professional software developers with regard to the constructs being examined.

This was carried out using a survey, constructed following guidelines laid down by both published literature (Rowley (2014), Driscoll (2011)) as well as a small amount of grey literature provided by higher education bodies. This allowed better questions to be written, that ensured they were no longer than necessary, used no double negatives, were not leading questions, only attempted to address one issue, and were not too vague. The design was also guided by these sources to include at least one open-ended question to allow participants to put forward any exceptional or unexpected information that could prove highly valuable to the study. In the literature, rightfully, notable mention is made at all stages to the use of appropriate moral and ethical frameworks. In this instance, very little in the way of any problematic material is examined, and no issues arose during the ethics approval process in the University. The most personal question asked in the survey is how many years participants have been programming for - no other questions of a remotely personal nature are asked.

Using the Qualtrics platform also allowed the usability of the survey to be examined by Qualtrics' inbuilt system. This advised the design of the survey to be as easy to use as possible, increasing the likelihood of respondents completing their submissions. The only element of the survey to go against this was the use of matrix table questions, which are not particularly friendly to mobile users. Despite this, they were used as they provided the best and most concise way to display the literal matrix of options available due to the presence of multiple constructs per question. Figure 2 shows one such question. The full survey is available in Appendix 5.5

In places where they can be used, how often do you use each of these constructs in your code?

	Never	Rarely	Sometimes	Frequently	Always
In-line if / ternary statements	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Lambda expressions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Null-coalesce operator (??)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Null-conditional operator (?.)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Foreach loop	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Unary increment operators (++ , --)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Compound assignment operators (+ =, - =, etc...)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Figure 2: A matrix table question used in the survey.

3.1.1 Research Questions

Prior to designing, it was established that the survey must be able to provide insight that may help to answer key research questions. As software development and programming are taught in a multitude of different ways, we can make no assumptions about how familiar participants would be with any of the constructs. To address this, the base questions had to answered: *What* constructs do they know of? *When* do they use the constructs? *Why* do they use the constructs? From this, some additional, more speculative questions could be asked. The following questions were formulated to best gather as much information as possible:

1. Are the participants *aware* of the constructs?
2. Do the participants *use* the constructs?
3. Are participants *encouraged to use* the constructs?

4. Do the participants think these constructs are better for:
 - (a) brevity?
 - (b) clarity?
 - (c) code efficiency/performance?
5. Do the participants think that the constructs are better used in some languages than in others?
6. Do participants rewrite code either *to use* or *to not use* the constructs?

3.1.2 Participants

A convenience sample of participating developers was recruited from within the Information Systems Services department (ISS) within Lancaster University by means of a blanket email sent out to all ISS teams and filtered down via regular communications channels within the department. This gathered 40 responses in total, 23 of which completed the survey and may be considered valid responses.

Due to the distribution method, there is no guarantee that all respondents are software developers by profession, however, all participants reported a minimum of one year of programming experience, nearly half falling into the 1-4 years of experience category, with three participants having between 10 and 14 years experience and the remaining 8 having over 20 years of experience. This statistic was the only personal data collected and does not identify participants.

3.1.3 Questions

The survey was created using Qualtrics, as provided by Lancaster University, and consisted of a maximum of 16 questions and a minimum of 2 questions (depending on certain answers). Participants were first asked to indicate which of the constructs they were aware of so that only questions and options relating to those constructs that they were aware of were shown to them. For each construct they were aware of, participants were asked to answer a series of questions designed to address the questions listed in Section 3.1.1, focussing on: frequency of use, reasons to and to not use each construct, perception of effect on code performance, and perception of industry-wide usage per-language.

Lastly, participants were asked if they had any further comments, which invited some very interesting points that will be discussed alongside the rest of the results in Section 4.1.

3.2 Static Code Analysis

Static code analysis is concerned with checking programs and code for errors without the need to actually execute it. That is, a static code analysis tool will read the source code (or the compiled intermediary language in some cases), construct some form of abstract model of the program and then run a series of tests (often pattern-matching in nature) to detect well-known possible pit-falls, bugs, and problems Louridas (2006).

These tools will often make recommendations on how source code may be improved in numerous way beyond explicit bugs and problems, such as stylistic improvement that make use of advanced syntax whatever given language is being analysed - advanced syntax akin to the constructs being examined here. Accordingly, static analysis tools were employed to investigate whether or not they made any recommendations for or against any of the constructs in question. SonarQube and Semgrep were selected for this purpose as they both support all three languages being subject to analysis, they are both under recent, active development, and because they both have free variants. Both tools were run against sample control code files and large open source repositories in the earlier mentioned three languages: C#, JavaScript, and Java.

3.2.1 Sample Code Files

The sample code files were created to act as a baseline or control test to see if SonarQube or Semgrep made any note at all about the bare use and/or inclusion of any of the constructs.

Each file contains a bare (non-contextualised) example of each of the constructs placed directly alongside their 'simple syntax' functionally equivalent counterparts. Each file is valid syntax for its language, and all of them may be executed, though none of them have a true entry point or way to actually *run* the code within itself - the functions and methods are present purely to *be present* so that they may be analysed by SonarQube and Semgrep. Though there are differences between the three

languages, the form of the sample code was kept as similar as possible to preserve their purpose as control samples, without adding deliberately unusual syntax that may contaminate the output of SonarQube and Semgrep. The sample files can be found in Appendix 5.5

3.2.2 Open Source Repositories

Four large, open source code repositories were selected from GitHub to be analysed for this study, one each in C# and JavaScript, and two in Java. The selection process was thought to be a random selection from the first page of the most popular GitHub repos for each language, however, it was discovered late in the process the list from which the repos were selected was actually the ‘daily trending’ listing. Fortunately, the repos that were selected, while potentially not as representative as initially thought, are still very well known, very active repositories maintained by a diverse range of developers.

The four analysed repositories are:

- .NET Windows Forms

- <https://github.com/dotnet/winforms>
- Commits: 4,339

Windows Forms is a .NET Core UI framework for building Windows desktop applications.

- Checkstyle

- <https://github.com/checkstyle/checkstyle>
- Commits: 10,272

Checkstyle is a development tool to help programmers write Java code that adheres to a coding standard. By default it supports the Google Java Style Guide and Sun Code Conventions, but is highly configurable. It can be invoked with an ANT task and a command line program.

- Signal-Server

- <https://github.com/signalapp/Signal-Server>
- Commits: 1,639

Server supporting the Signal Private Messenger applications on Android, Desktop, and iOS

- NPM CLI

- <https://github.com/npm/cli>
- Commits: 10,263

The package manager for JavaScript

3.3 Git Commit Analysis

To supplement the static analysis of the repositories, manual, by-hand analysis of the commits made to these repositories was also undertaken.

The goal of this branch of the study was to understand if and how standards are being maintained within the repositories within reference to the constructs and to general style-keeping. This was carried out by cloning the repository and examining the commit history using `git log`. A list of keywords were run against the commit history using the `--grep=<pattern>` option to `git log`. The keywords used are listed below:

General	style
Ternary	ternary, conditional, <code>?:</code> , <code>elvis</code>
Null coalesce/conditional	null, coalesce, conditional, <code>??</code> , <code>?.</code> , <code>?[</code>
Lambda	lambda, arrow, <code>=></code> , <code>-></code>
For each	foreach, for each
Unary Operators	unary, increment, decrement, <code>++</code> , <code>--</code>
Compound Operators	compound, assign, <code>+=</code> , <code>-=</code> , <code>*=</code> , <code>/=</code> , <code> =</code> , <code>&=</code>

Some of these keywords generated cross-over between those meant to highlight changes around a particular construct, but this was unimportant as these keywords were only used to create a list of ‘interesting’ commits. Commits were deemed interesting if the commit message and/or description contained reference to any of the keywords in such a way that it seem plausible that a change was made regarding any relevant construct. For each commit in the resultant list, the changes were scrutinised closely to pick out changes made that: added, removed, altered, or commented on use of any of the constructs. Each type of change was documented and counted per commit.

3.4 Intermediate Language Comparison

The final part of this study was brought about during the progress of the preceding work. Analysis of the survey results raised some interesting points and potential ideas around what may make certain constructs more or less suited to use generally and/or in any given place in code.

C# and Java, while both are compiled languages, they are compiled to an intermediate language which is then interpreted by a virtual machine for execution. This means that we may examine the intermediate language (IL) to understand if there are any clear differences between the plain and advanced forms of syntax and constructs. This would be a somewhat crude way to go about attempting to gauge the difference in performance or efficiency, but merely examining and commenting on the difference in C# and Java’s respective ILs can prove to be valuable supporting material to this study.

The code files used to generate the IL code contained the same code as in the sample files mentioned in Section 3.2.1 with one change: the sample code files have consecutive functions, one in plain syntax, one using an advanced construct. To make analysis and comparison easier, the plain and advanced syntax functions were extracted into separate files so that there was a plain syntax *file* and an advanced syntax *file*. This allowed ease of comparison using git integrations with Visual Studio Code to perform a `git diff` to see the similarities and differences easily.

For C#, the online tool SharpLab ¹ was used to produce .NET Common Intermediate Language using the Microsoft’s Roslyn compiler. For Java, ‘JVM Bytecode Viewer’ for Visual Studio Code ² was used to locally open the .class files produced by the JDK to view the code.

¹<https://sharplab.io/>

²<https://marketplace.visualstudio.com/items?itemName=mnxn.jvm-bytecode-viewer>

4 Results

4.1 Survey

As described in Section 3.1, a survey was conducted with experienced professional software developers and programmers. This revealed interesting patterns of usage (or lack of usage) of the constructs, and some interesting perceptions that may prove useful in future research focussed on performance and use in the wider industry.

The following sections will detail the results of the survey, including threats to the usefulness of the survey and the patterns discovered by the survey. All percentage values given have been rounded to 1 decimal place unless otherwise stated.

4.1.1 Awareness

Awareness of the constructs was gathered by a simple multiple choice question with a binary yes/no for each. Participants could indicate whether or not were familiar with all, some, or none of the constructs.

All participants were aware of unary operators and ternary/in-line if-statements, nearly all were aware of compound operators and lambdas, and most were aware of null-coalesce and null-conditional. Figure 3 shows these results in a graphic format.

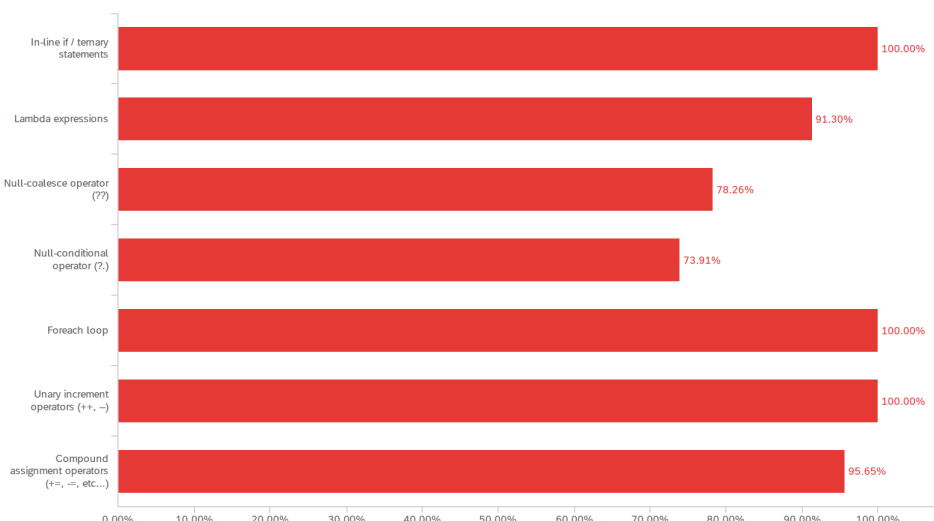


Figure 3: Percentage of participants aware of each construct

The most stand-out piece of information here is that the *absolute* awareness of the null-coalesce and -conditional operators was markedly higher than might be expected. This can likely be attributed to the fact that participants were all from within ISS, where the technology stack contains a large amount of C#, in which these operators are often seen. If the survey were repeated over a more diverse and broad range of developers working in more varied technology stacks (for example, those focussed much more on Java, or C/C++), it is reasonable to assume that awareness of these operators would be less. This is a trend that is repeated elsewhere in the results set. It is also of note that not *all* participants were aware of compound assignment operators, whereas all participants *were* aware of ternaries - a ranking inverse to what was expected. However, the difference in the numbers is not very large, comprising no more than one or two responses. To draw any valid conclusion from this datum would require it being replicated in a greater sample set.

4.1.2 Frequency of Use

To assess how often participants use each of the constructs, they were asked specifically: *In places where they can be used, how often do you use each of these constructs in your code?*, that is taken to mean: “for every chance you *could* use the construct, how often do you?”

It was the extreme ends of the scales in this question that provided the most interesting results here.

Firstly, only one data point was recorded for any construct under the ‘never’ option, with just one respondent indicating that they never use the null-coalesce operator. This is perhaps reassuring given that it’s generally accepted that most of these constructs have at least *some* place to be used. Conversely, ‘for each’ was the most selected construct in the ‘always’ category, with 44% of participants selecting this option, followed closely by compound assignment operators at 41%.

Across all constructs, the majority of responses fell into the ‘frequently’ category, holding 39% of the total selections, with the ‘sometimes’ category at 27%. This general erring toward the more frequent end of the scale holds not only for the collective dataset but also for each construct when examined individually, as may be seen in figure 4

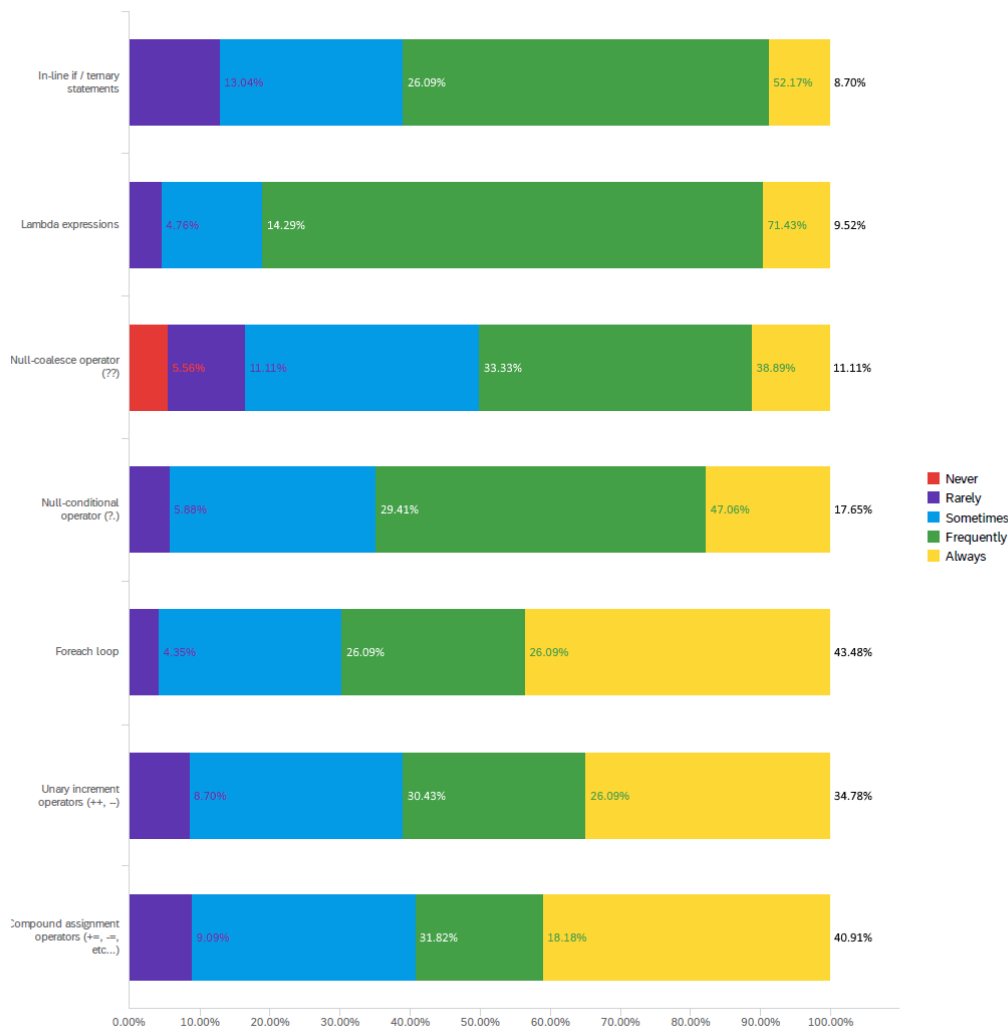


Figure 4: Frequency with which participants said they used advanced constructs, grouped by construct. (n.b. figures normalised to percentages)

As is visible in figure 4, the use of lambdas in moderation is the most agreed upon data point here, with just over 70% of responses labelled ‘sometimes’ for lambdas. This is likely explainable by a variety of reasons, not least is the fact that lambdas are very powerful and complex structures, much more so than the other constructs being studied, and as such, the number of situations where they *can* be used likely greatly exceeds the number situations where they *should* be used. This distinction around lambdas becomes more apparent in later questions. Of note, again, are the results for the null-coalesce and null-conditional operators. Although the numbers of participants familiar with these operators is fewer, those that were aware of them do not shy away from them, using them with comparatively similar frequency to the other constructs.

4.1.3 Reasons To Use

Participants were then asked to indicate *why* they chose to use each given construct. The four options were presented:

- “It is company style”
- “It makes code clearer”
- “It makes codes simpler”
- “It makes code run faster”

One or more of the above options may have been selected, with an additional exclusive option: “None of these” present too. If this was selected, participants were prompted to give their own reason in the following question.

The trend here was extremely clear: the most common reasons for using any of the constructs was for clarity and simplicity. “It makes code clearer” and “It makes code simpler” took 39.4% and 42.3% of *all* selections made respectively. The data for the selections totalled across each construct is shown in Figure 6.

Six participants selected ‘None of these’ for at least one construct, two of whom selected this option for all constructs they were aware of and both instead said that they choose to use the constructs based on personal preference or habit. Another two selected this option for lambdas alone, one saying that they are aware of, but don’t use lambdas, and the other highlighting a possible flaw in this study regarding lambdas. This participant noted that there are places where “there is no rational alternative to using a lambda expression. It does not make the code simpler or clearer, it’s just **necessary** to use a lambda.” This brought to attention the fact that lambdas may be a more functional language feature, not fitting in the same same category as the other constructs here, which can mostly be categorised as ‘syntactic sugar’ that do not have much actual difference on code functionality. This is discussed further in Section 5.2.1. One participant also said that they would generally use ‘map’ instead of a foreach loop. ‘Map’ is presumably referring to the `map()` in JavaScript and similar that may be called on an array to perform a function on all elements of the array - the function is taken by `map()` as an argument, either via lambda or regular method or function. They claim using `map()` “helps avoid ‘off by one’ errors”. Off-by-one-errors occur when iterating over an array or other list of m through n items and having the wrong index by one. For example, if you were to ask how many items there are (how many loop iterations are required) in a collection, the intuitive answer of $m - n$ is incorrect, the correct answer being $(m - n) + 1$. This is illustrated using a specific type of off-by-one error, a *fencepost error*, as illustrated in Figure 5.

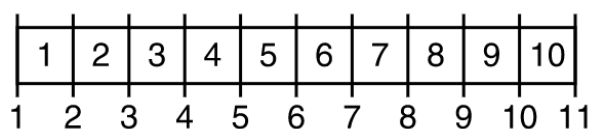


Figure 5: If you have a fence with 10 posts, how many bounded sections exist between the posts? The intuitive answer is 10, but it is in fact 11. This is a specific off-by-one error.

It is not clear what advantages a ‘map’ function has over a foreach loop to avoid off-by-one errors. In fact, conventional wisdom dictates that foreach loops, by nature should, avoid these errors by virtue of the fact that they *remove* the need for a programmer to calculate the necessary number of loop iterations or use an index at all. It is plausible to suggest that this response was given by mistake, instead meaning to refer to traditional for loops, rather than foreach loops.

Figure 7 part repeats the data above, again showing that clarity and simplicity are the most common reasons. We can also see that the split is *mostly* even between these two reasons, null-coalesce being a slight exception, with nearly double as many responses selecting simplicity over clarity. Null-conditional follows this pattern, but the difference is less pronounced. Other notable statistics are: no participants said that they used null-coalesce or -conditional due to company style, and the three constructs that had code run speed selected. Two participants selected this for lambdas, and one each both selected this for foreach loops and unary operators. These selections do not represent a large proportion of the total, but it is still of note that none of the other constructs had any selections regarding faster code execution.

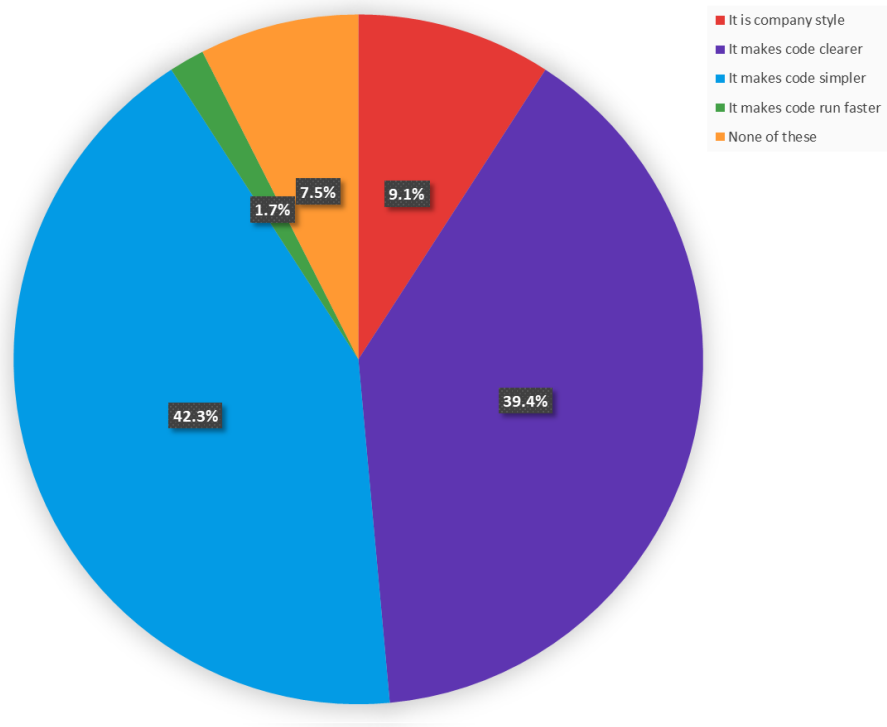


Figure 6: Reasons to use constructs, totalled across all constructs.

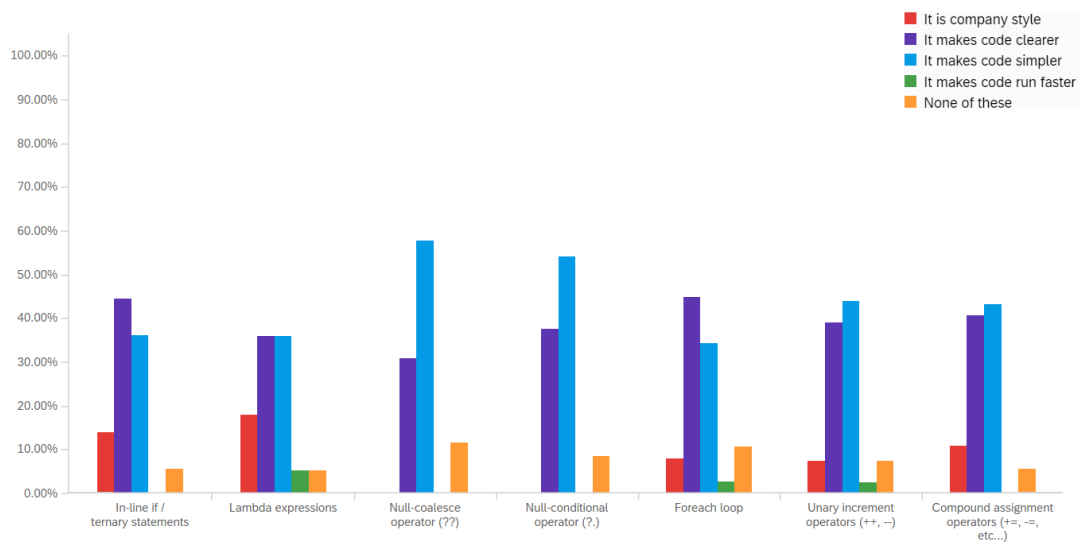


Figure 7: Reasons to use constructs grouped by construct. (n.b. Figures normalised to percentages per construct)

4.1.4 Reasons To Not Use

To provide the other half of the story to the previous section, participants were also asked about the reasons they choose to *not* use the constructs. Similar to before, the options presented were:

- “It is company style”
- “It would make code less clear”
- “It would make code more complex”
- “It would make code run slower”

As before, one or more of the above options may have been selected, with a “None of these” option that prompted participants to give their own reason(s) if selected.

Reasons of clarity and complexity were the most selected again, with 48.2% of all selections attributing maintaining the clarity of code as a reason to not use a given construct, and complexity at 23.9%. More than double the proportion of selections were present for “None of these” compared to the when asked for reason *to* the constructs - here, 16.2% of selections were placed here. The reasons provided by these respondents were quite varied but very interesting and are all worthy of discussion:

- Personal preference
 - Mentioned explicitly by three, and implicitly by one, of the ten individuals who gave their own reasons.
 - One noted that “as long as code is well documented you can use any of them”.
 - One wrote that there is no company style for these constructs and that there is instead guidance on larger/less granular things like examples of a model class. This is contradicted by other responses, though it’s plausible they are subject to different coding standards within ISS.
 - The third, the only of the ten that overlap with those that selected this option on the previous question. Simply stating that it is personal preference.
 - The final reason links the usage of compound and unary operators going against their preference to use a ‘functional style’ and to ‘avoid mutability of variables’. This raises interesting questions about the use of functional programming ideas in non-functional paradigms.
- ‘I [almost] always use this construct’
 - Two participants said they would always use unary increment operators.
 - One said they would always use compound operators.
 - Another said the only time there would not use null-coalesce/null-conditional is when null checking is not required at all.
- Other/Unqualified
 - One participant stated that a for loop may be preferable to a foreach loop when the index variable is needed. They then go on to say that it also ‘depends how I’m feeling’.
 - Regarding lambdas and unary and compound operators, one participant said: “sometimes these operators don’t account for requirements such as type checking or formatting” without any more description.
 - One participant claimed that foreach loops may introduce errors, but did not explain further.

Only 4.5% selected “It would make code run slower” - logically, this would make sense, factually too, as most of these constructs are plain syntactic differences that, with most modern compilers and interpreters, may produce the same bytecode and/or machine code. Lambdas and foreach loops are likely the only exceptions to this. The former has other potential implications beyond just how it looks on-screen, the latter (depending on language) may be a change from an array index to initialising an iterator and calling on that instead. This is reflected in the thoughts of participants in Figure 9, showing that these two constructs were the only two to have received any selections pertaining to code run speed.

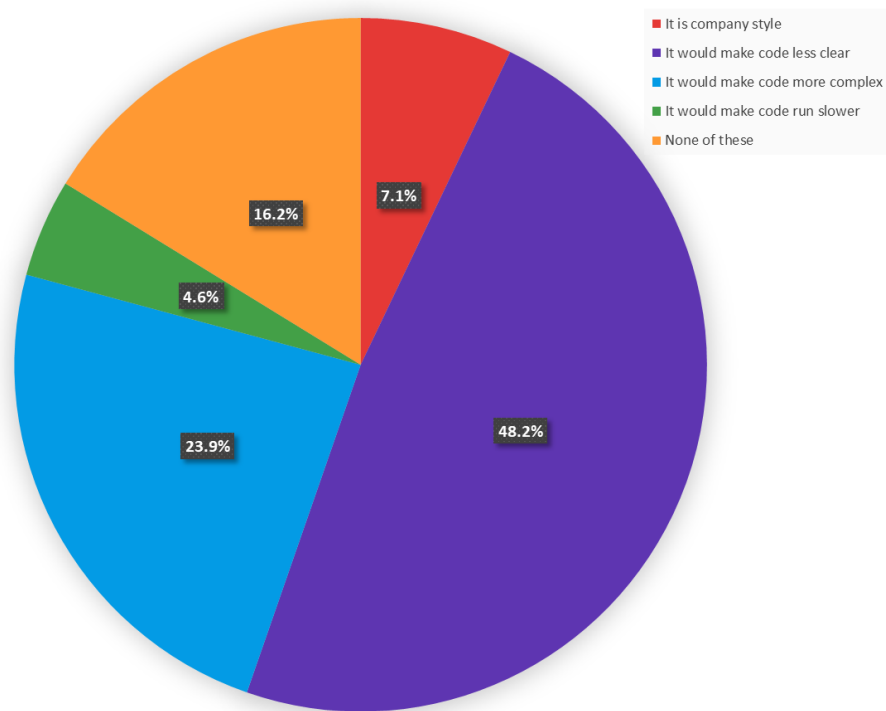


Figure 8: Reasons to not use constructs, totalled across all constructs.

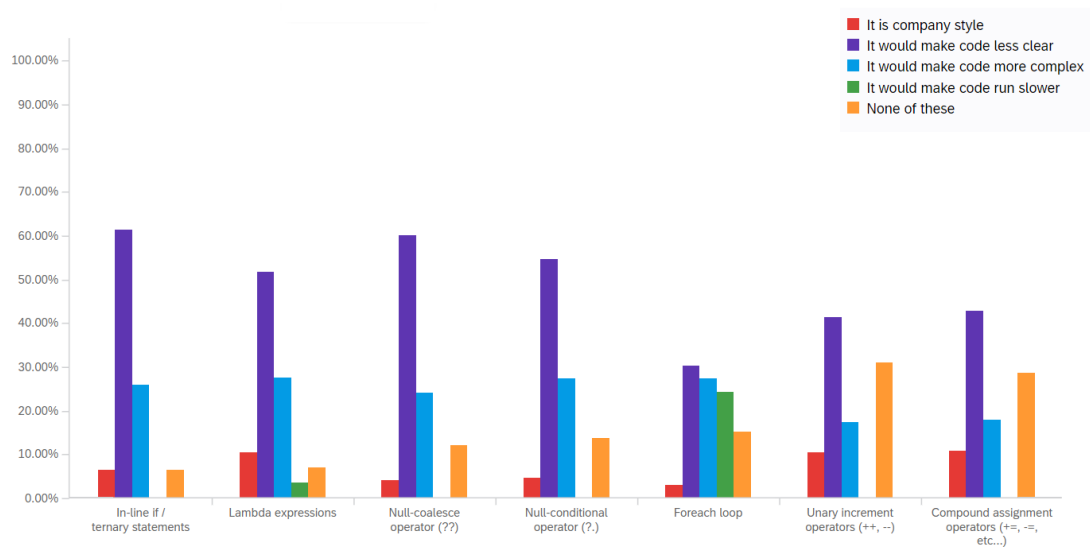


Figure 9: Reasons to not use constructs grouped by construct. (n.b. Figures normalised to percentages per construct)

The breakdown in Figure 9 also confirms that clarity was the most common reason selected, leading by a wide margin for four of the constructs, but not for unary and compound operators (multiple reasons, described above) and for foreach loops (run speed was a concern as described immediately above). All constructs had a small number of responses claiming company style as reasons for not using them, but this is a relatively small number compared to the proportion that cited clarity or complexity for their reason.

4.1.5 Performance

As touched on previously, it was not expected with most of these constructs for there to be much of a bias with regard to performance (this is examined crudely in Section 4.4). Participants were asked to state what, if any, effect they thought each construct would have on the performance of code.

In line with expectation, a large majority, 61.2%, of the selections here indicated no change to performance. The second largest group was “Don’t know” with 22.4% of selections. Both these statistics are shown in Figure 10b. It is likely that most high-level programmers (such as those using high-level languages like C#, JavaScript, and Java) either do not routinely give much consideration to such fine-grained efficiency, or are not concerned with relatively small performance variations that come from using these constructs.

The individual performance data also matches participants’ selections from the previous question when grouped by construct: the majority for each is still “No change”, but the stand out constructs that have a high number of selections of increased or decreased performance are lambda expressions and foreach loops. Lambdas had the smallest proportion of selections for “No change”, and the largest for “Increased performance”, meanwhile foreach had the second smallest proportion of “No change” and the largest for “Decreased performance”. The possible decrease in performance using foreach was discussed in the previous section.

4.1.6 Usage In Wider Industry

The final set of questions asked participants about their perception of the prevalence of the constructs in the wider industry of software development, asking whether they thought that use of each construct was above, on par, or below average in any of the three languages when compared with use across the industry in general. Figure 11 collates results across all constructs for this question set.

In general, responses were quite scattered, and the most clearly discernible patterns are centred on the “Don’t know” responses.

The most consistent pattern across the entire set of responses was that of uncertainty around usage of the constructs in Java. This will likely be due to the fact that ISS does not use Java in its tech stack anywhere. The most obvious case of this is for ternary if-statements, with 60.9% of all responses for Java being “Don’t know”. Also, lambda expressions and foreach loops were perceived as being more common in C# and JavaScript by a majority.

The following breakdown summarises results for each construct, excluding “Don’t know” responses from figures:

- In-line if / Ternary If Statements
 - the only construct that received a large number of selections in any language a notable number of “Below average” selections, the other selections were also high giving a mean value corresponding to just marginally *above* average for C#, marginally *below* average for JavaScript and just above the midpoint between below average and average for Java.
- Lambda Expressions
 - At least one of each selection, but with “Above average” outstanding for C#, and particularly so for JavaScript. C# and JavaScript have a mean corresponding to just midway between average and above average, and Java a little above average
- Null-coalesce
 - The mean for C# gives a value a little above average, while, as mentioned above, a typographic error means no data was collected for JavaScript and the Java data is unusable.

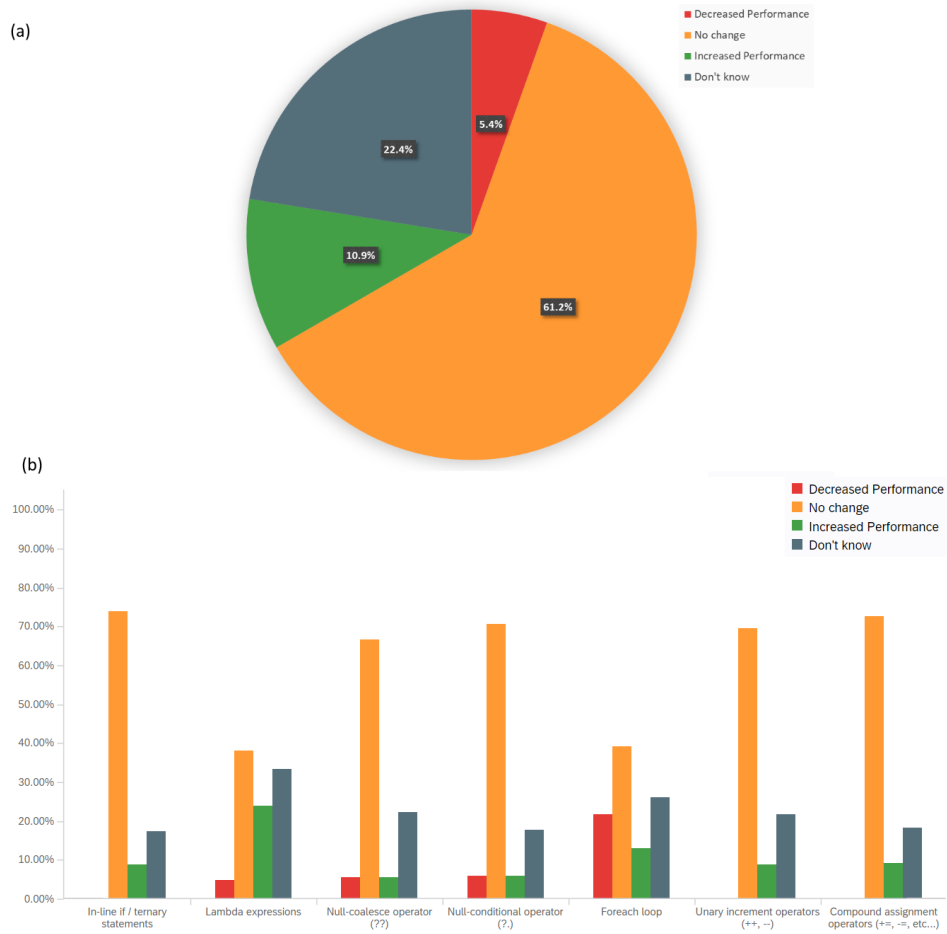


Figure 10: (a) Selections for performance, totalled across all constructs.
 (b) Performance selections grouped by construct. (n.b. Figures normalised to percentages per construct)



Figure 11: Responses on prevalence in wider industry compared to each language, displayed per construct. A typographical error meant that participants were asked about null-coalesce in Java: this should have been JavaScript, as Java has no null-coalesce operator. That portion of the results should be ignored. (n.b. Figures normalised to percentages and truncated scale, Null-coalesce and null-conditional operators are not present in Java, giving only two groupings)



Figure 12: The same as Figure 11, but excluding “Don’t know” responses.

- Null-conditional
 - Both trend toward average/above average for with C# having a mean lying at the midpoint between average and above average and JavaScript lying a little above average.
- Foreach Loops
 - Alongside lambdas, the only other construct strongly perceived as “Above average”. The mean value for C# and Java is strongly toward above average while JavaScript has a mean a little above average.
- Unary Increment Operators
 - Means were above average for all languages: C# midway between average and above average, JavaScript marginally above, and Java strongly above average.
- Compound Assignment Operators
 - Means were again above average for all languages, a little above average for all three.

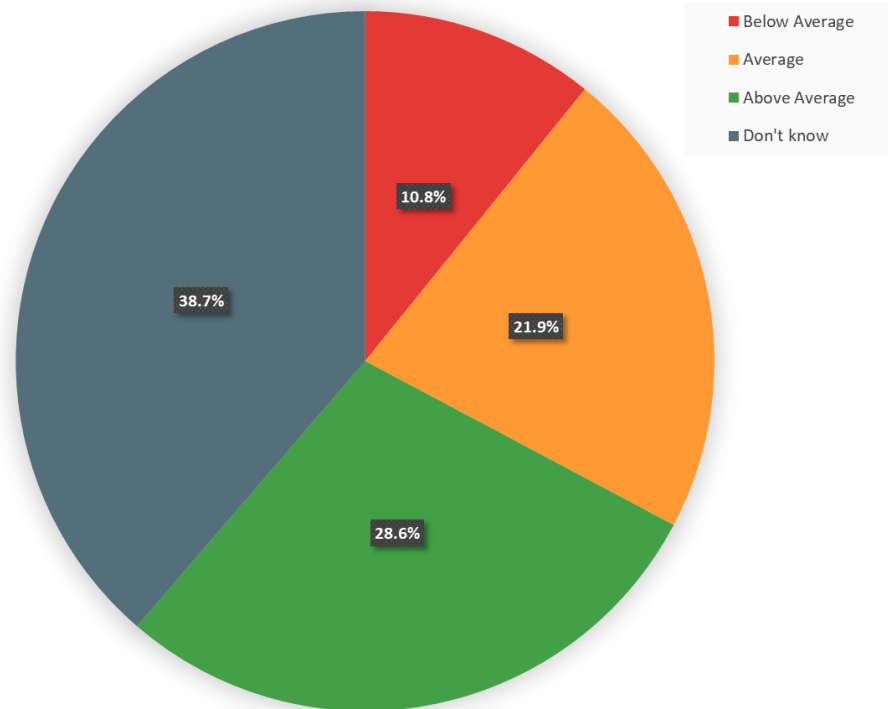


Figure 13: Responses on prevalence in wider industry compared to each language, totalled across all constructs and languages.

In Section 5, the Conclusion, we will compare the figures in this section with the data collected in the analysis of real-world codebases to see if there is a meaningful correlation by which we may state that the participants surveyed are well aware of the prevalence of use of these constructs.

4.1.7 Free-form Comments

The final question asked participants to indicate any other thoughts and notes they had around the topic at hand. This yielded some very interesting comments.

As has been mentioned already, most of these constructs come under the umbrella of ‘syntactic sugar’, that which is meaningful only to a human developer, and function the same as their simpler counterparts at machine level. One participant noted this themselves, and further stated that these constructs should be used to save space and time, so long as code readability is not compromised. One more nuanced response gave much more detail, opening with a phrase that sums up the very issue of knowing when

to use the constructs: “Most of these questions [...] are more properly answered ‘As appropriate’”, going on to say that runtime performance ought to be measured only in context, not in isolation. They apply this statement both across different scenarios in the same language and across different languages. They also highlight that in the present day “we’re waiting for [input/output] from the disk or network - the time spent executing each one of those constructs pales in comparison”. The same comment again reaffirms that “everything depends on circumstances”, such as in safety-critical systems where code understandability and correctness are of ultimate importance. In such situations, it may be advisable to only use basic syntax and work around that as an axiom, but then again, it may not - proving the point that the context and situation may be one of the most important factors in usage of such advanced constructs, and may also go some way to explain why there seems to be little explicit guidance on this matter.

Another comment stated again that the constructs being examined are syntactic sugar, however, this was written in a way that implied something to the effect “they are just syntactic sugar and so they don’t really matter”. This may not have been the case, but it would be further explanation as how uncertain the situation appears to be around when and how to use such constructs. The same comment separates lambdas out as not syntactic sugar, but a structure that permits more functional practices that can “fundamentally change how your system is built and hence how it is compiler optimised”. This is one of the pieces of information gathered during the process that has quite clearly marked out lambdas as not quite fitting into the same category as the rest of the constructs.

Lastly, one participant notes that there are times when some of these constructs simply cannot be used, giving the example of writing entity framework expressions in C# using LINQ. It is perhaps up for debate if LINQ counts as C# on more than technicality, but it is a valid point that there are places where certain syntax cannot be written or where available syntax is otherwise limited. However, these situations are a form of edge-case, and are such an edge-case that, should standardised documents be written to define how to use the constructs, it could easily make exceptions for such situations.

4.1.8 Threats to Survey

The group of survey participants was comprised of a convenience sample of developers from with ISS. This means that the results may not generalise very well to a wider group of developers. The results may be generalised *within* ISS but not outside. Further research and more significant surveying should be undertaken to verify if the results found within ISS may be applied to a wider populace of developers.

Despite this, a survey still provided a very quick and easy way to gather information from a sample size much larger than would be feasible using many other methods.

4.2 Static Code Analysis

SonarQube and semgrep are both static code analysis tools that analyse code and make recommendations and highlight problems without actually executing the code itself. Both of these tools were used to analyse both the sample code files (see Appendix 5.5) and the four GitHub repos. For C#, SonarScanner for .NET was used as well as the developmental semgrep ruleset for C#. For JavaScript and Java, both were supported by semgrep rules sets ‘ci’ and ‘r2c-ci’, and both had their own eponymous rulesets too. Standard SonarQube also supports both languages as standard.

4.2.1 Sample Code Files

SonarQube and Semgrep raised almost alarmingly little issue with the sample code file in all three languages. Only a single relevant recommendation was made by both tools together. In C#, SonarQube gave a couple of warnings regarding the lack of use of a namespace. Aside from this, neither SonarQube, nor Semgrep reported any issues at all. In JavaScript, one warning was raised, picking up the use of a basic for loop in place of a `for-to` loop (one of JavaScript’s advanced loops, not dissimilar to a `foreach` loop). This was the only relevant recommendation. In Java, again, neither tool picked up any problems that are relevant to this study.

This is quite a surprising development at face value, but in truth, it’s highly likely that these tools are designed to be run on code with significantly more context that is available in the sample code files. The code here is the effective minimum amount of code that could be written to include each construct (or plain equivalent) while still being compilable. In production code, with significant more meaning around what any given variable and code branch is required for, such static analysis tools may be able to provide more useful recommendations.

4.2.2 Real-World Code Bases

Of the four repos selected, the two Java codebases could not be analysed due to issues with their build tools and their integration with SonarQube preventing the projects being build. Semgrep was however able to process the code of these repos.

Codebases of this size are more what SonarQube and Semgrep are meant to be analysing, however, due to the fact that all four repos are large, actively developed, open-source projects, it was to be assumed from the start that there would many issues found. This is very much reflected in the results, or rather, the lack of results.

The Signal Server repository garnered the most issue reports from Semgrep, though none of them were relevant. All of ‘r2c-ci’, ‘ci’, and ‘java’ rulesets picked up on the use of the insecure SHA-1 hash function, and the ‘java’ ruleset marked out a number of places claiming they were susceptible to SQL injection attacks. The only issues found being that of security is somewhat ironic for a purported secure messaging service, but this is not the focus of this study.

In the Checkstyle code, all three applicable rulesets picked up one issue, that being an always true comparison (e.g. `x == x`). Being the tool it is, this is almost certainly intentional, acting as a test rule for the style analysis that Checkstyle itself performs.

Analysis of the Node Package Manager Command Line Interface with Semgrep was the most bare, with the ‘ci’, ‘r2c-ci’ and ‘javascript’ rulesets all failing to highlight any issues at all. SonarQube, however, did highlight multiple possible bugs and security issues. Of the 37 bug issues raised, two instances of the same issues were relevant: recommending replacing a use of a `map` function with a `forEach` function instead, as the return value of the `map` function isn’t used. All of 77 potential security issues were also not relevant to the scope of this study.

Lastly, the Windows Forms repository. Although Semgrep claims it has a developmental configuration prepared for C#, this was not available for use at this time. SonarScanner for .NET and SonarQube were able to analyse C# code, but the results revealed zero problems of any type.

Although it was expected that there wouldn’t be a huge number of issues raised in the code of such commonly used applications, the results were still quite disappointing in volume. The only truly important piece of data derived from this was from the NPM CLI repository, suggesting use of a `forEach` function over a `map` function. Though these two constructs are semantically very similar and not *quite* what is being examined here, it does link well back to Section 4.1.3, where one survey participant noted that they would generally opt to use `map` instead of `foreach` - the opposite of this recommendation. This mismatch could easily be borne from developers selecting ‘good style’ based primarily on personal preference over anything more concrete.

4.3 Git Commit Analysis

□

4.4 Intermediate Language Comparison

To aid in confirming or denying some of the claims made in the survey by some participants, and to provide more general information about the constructs, the intermediate language code produced C# and Java compilers was compared for both their ‘plain’ and ‘advanced’ syntax.

C# was compiled using SharpLab and the Roslyn compiler from March 3rd 2021. Java was compiled locally with JDK version 14.0.1 and the .class files were read by the JVM Bytecode Viewer extension for Visual Studio Code.

The comparison will be broken down by construct and the detailed per language:

- In-line / Ternary If-statement
 - **C#** - Both versions are the same length, and both use two return statements (as is used in the plain source code). In the source code, the Boolean expression used is `temp < 20.0`: in the *plain* IL, the logic for the condition is inverted, using a ‘branch if greater than or equal’ instruction while the advanced IL uses a ‘branch if less than’ instruction as would normally be assumed.
 - **Java** - Plain follows the source code one-to-one, using two return instructions at the end of each code branch, whereas advanced uses a `goto` instruction on the true branch to jump to a single return instruction used by both code branches. This means that on one code branch,

one additional instruction will be executed when using a ternary if statement. In the same fashion is in C#'s plain IL, both Java IL versions invert the Boolean logic and use a 'branch if greater than or equal' instruction.

- Lambda Expressions

- **C#** - The lambda in the advanced version is much more complex in IL than in source: a form of an entire class is created to hold the actual method that is defined in line in source. This class has a public and private constructor and the wanted method, generating 54 lines of code that are completely absent in the plain version. The method itself within this class is identical to that of the plain version. The actual code that *calls* the lambda is only three instructions, compared to the plain version's six, however, this is also preceded by nine additional instructions that create and load an instance of the lambda's class. For such a small code snippet as is used here, it seems as though the potential overhead of a lambda may not be necessary. In the case of reuse however, this could change. This also goes further still to showing that lambdas are a deeper construct than they had were initially given credit for in this study.
- **Java** - Very similar to C# again, the in-place calling of the lambda is shorter than the plain equivalent, but there is additional code elsewhere in the file, effectively defining the lambda method as if it were a regular method (which is again the same length of the plain method). The inclusion of a lambda also adds 22 entries to the constant pool to help find the lambda method and call it at runtime. In Java, this boilerplate amounts to 43 additional lines in unedited bytecode.

- Null-coalesce (C# only)

- **C#** - The plain version here is quite one-to-one with the source, declaring two **Person** variables and assigning them within code branches as expected. In contrast, the advanced version only uses the stack, and does not declare any local variables. This also has the side effect of requiring a bigger stack allocation to run the method, the advanced version requiring a size of eight to the plain version's one. This format is likely attributed to some degree of optimisation taking place by seeing that the variable in the condition is only null ever set to null in the method. This optimisation is potentially dependant on the context.

- Null-conditional (C# only)

- **C#** - Similar to null-coalesce, the advanced version is shorter and declares no local variables, instead using only the stack. This may again be an example of contextual optimisation.

- Unary Increment Operators

- **C#** - Neither the plain nor advanced versions are mapped one-to-one in IL. The plain version uses two local variables for **y** and **z**, and **x** is maintained on the stack. In the advanced version, **x** and **y** are stored locally and **z** is maintained on the stack. Slightly different optimisation paths have been taken here producing slightly different code paths to the same result, the advanced version being two instructions longer. If all three variables used in this method were used more elsewhere, it is likely that all three would be stored in a local variable rather than one residing only on the stack.
- **Java** - The plain IL is a one-to-one mapping of the source code with no optimisation visible. However, in the advanced IL makes use of a dedicated increment instruction, **iinc**, that directly maps to the **++** operator in the source code. This reduces the length of the IL by four instructions due to not needing to store the value back in the local variable as frequently.

- Compound Assignment Operators

- **C#** - No local variable is used, the value to print is maintained only on the stack for both advanced and plain, there is no difference between the add operation in the IL.
- **Java** - Unlike in C#, no optimisation is present for the plain IL, as it maps directly to the source code. In the advanced IL however, **iinc** is used to increment the value of a local variable by three (an argument to the IL instruction), cutting the instruction count by three.

- Foreach Loops

- **C#** - The plain IL is again effectively a one-to-one mapping of the for loop, albeit with the ordering of the three statements in the for loop signature moved to where they are actually executed. The advanced IL is eight lines longer, but both versions use the same number of external method calls within the loop itself. The advanced IL also requires the try-finally and an additional method call to dispose of the enumerator used to iterate over the list is properly after the looping is complete.
- **Java** - Both versions are quite similar, sharing most normal instructions, but different external methods are called albeit that they are performing the same function (condition: `size()` vs. `hasNext()`, get element: `get()` vs. `next()`). The advanced IL does have an additional method call to get the iterator itself. Both are the same length in-place, but as with the lambda expression, this generated more code elsewhere, namely in the constant pool, adding 35 entries, compared to the regular for loop adding only 28.

5 Conclusion

□

5.1 Review of Aims

The following list repeats the aims presented at the start of this report, with each aim followed by an overview of relevant results obtained.

- // □
- // □
- // □
- // □
- □

5.2 Reflections

□

- survey: what does "complexity" mean? Computational? That'd be covered by slow/faster?
- GitHub repo selection: mistakenly thought it was 'top of all repos for lang., it was not. (Check Tracy emails for reference and remedy, time permitting)'
- Use/Not Use, discrepancy in tense used in presented options, potentially created a bias toward 'use', although this would have been introduced AFTER the 'use' question (participants can step backward through survey however)

5.2.1 Lambdas

5.3 Negative Impacting Circumstances

The on-going coronavirus pandemic is still very much a factor in the lives us all. :(

5.4 Future Research

□

5.5 Closing Statement

□

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Appendix

A: Project Proposal

**SCC.419/SCC.421 Dissertation Proposal:
Examining the Effects of Advanced Programming
Language Constructs on the Maintainability and
Extensibility of Code**

Luca Davies

M.Sci. (Hons.) Computer Science (with Industrial Experience)

13th March 2021

Abstract

The proposed project is a research-centric undertaking that will examine the usage of a number of optional “advanced” programming language operators and constructs as a lens on the evolvability and maintainability of codebases. The null-coalesce, null-conditional, ternary if, and lambda constructs are of particular interest.

Open source code bases such as those found on GitHub will be employed as example code and will be studied closely. Data will also be gathered via a survey of a small selection of professional developers on their thoughts around these constructs. Together with existing literature and research, these sources will be used as a basis to form recommendations on the best and most appropriate usage of such advanced constructs and how they may be best leveraged to produce code that is highly extensible and maintainable.

1 Introduction

As we press on through the Digital Age, and “ubiquitous computing” becomes a more and more common phrase, we can further assume that, at any given moment, we are within relatively close reach of some form of computing device and its software. More and more aspects of human life are being subject to integration with technology and computing to improve their function, make them more effective, and to *expand* their function. It follows, then, that the code we write to allow this integration should have as much effort put toward it to make the software itself effective and expandable.

All of the code we write should be designed with maintainability and extensibility in mind: it is all but inevitable that the requirements and use-cases of any well-used piece of software will expand as it gains traction, and so as Samoladas et al. (2004) discuss, the ability to grow the codebase to accommodate new features and functions is of great importance. Further, the larger an application is, the more likely it is to be developed not by an individual, but by a team. Writing code, and writing code *well* is a valuable skill - a balancing act in ensuring a program is both functional and clear in its purpose, such that it is not taxing for another developer to read the code and understand how it works.

We can make our code clearer by using the full range of constructs provided to us by our programming languages. Many of these are simple and are introduced to aspiring programmers very early on - others, however, are more complicated and require a little more explanation:

- Ternary/in-line If Statements
 - Reduces the common `if ... else ...` pattern into a single line of the form `a ? b : c`, where `a` is a conditional expression, `b` is the body of the `if` and `c` is the body of the `else`.
- Lambdas and Anonymous Functions
 - A lambda expression is any expression involving a function used as an argument, just as in Lambda Calculus. Though this is not required, lambdas most often take the form of anonymous (unnamed) functions defined in-line. (?)
- Null-coalescing Operator
 - Used to provide a default value in place of a null value. Replaces a null-check (using an `if` statement) with a single assignment of the form `a = possiblyNullValue ?? valueIfNull` where `a` is of a nullable type. (?)

On the surface, it might seem like the right choice to always use these constructs: not only can they be used to make certain patterns more compact, but in some cases they can also emphasise the purpose and function of some lines in a much clearer way than more traditional syntax. However, it is not quite that simple: the choice to or to not use them can have adverse effects, both on the readability of our code and, in some cases, the function too. For one, ternary ifs in some languages can prevent (or cause) unwanted side effects from function calls or may be very difficult to read if care is not taken.

Taking all of this into account, this project will explore both existing code and the thoughts of professional developers on these constructs to gain insight into the best usage of them, situations in which they are beneficial, and situations in which they are not.

1.1 A Note on Company Context

An in-depth company context and link to my placement company, Information Systems Services (ISS), is absent from this proposal as there is no strong link to be made. Due to the nature of my placement project, being without much appropriate level of novelty or purely academic merit, there was little scope for a workable master’s dissertation. However, this project was still inspired by my placement work, having worked on a significant amount of code, and having learnt some new and more advanced features of C#, primarily the null coalescing and null conditional operators during the process.

Although there is no direct link, I have been offered the freedom to survey and gain insight from many of the developers within ISS, should I wish - an offer I will be making use of for this project.

2 Background

It appears that there has been very little research explicitly into the usage of these language constructs that has been visibly published online. Although this potentially stems from the difficulty to search for the correct terms without interference from irrelevant and unrelated topics, there still seems to be nearly no papers covering this kind of topic. This section will briefly cover the origin of some of the key language constructs that will be covered in this project to provide what background is readily available on the topics.

As programming languages have grown and developed over the last 70 to 80 years, it is reasonable to say that as languages grew more complex and feature-rich, many became extremely verbose, requiring many lines to do even simple calculations. In more recent decades, we have seen this process reverse in some ways with the in the mass adoption of lambda functions and anonymous functions first introduced by ECMAScript in 1997 (Eich 1997), which would later be implemented as JavaScript. This construct allowed programmers to avoid the lengthy definitions normally required for a discreet unit of code. It could be used easily in one-off situations (anonymous functions), in-line and without the overhead of a normal function. Further, these functions may be passed between other functions as arguments (lambda expressions) to allow even more advanced code to be written.

The “ternary if” construct can be traced back to CPL and BCPL (Richards 1967) which would go on to become the B language, which itself would develop in the original version of C, leading to the ternary if being equally ubiquitous in *availability*, if not so much in usage. This can be particularly useful when a very simple if/else structure is required. It allows compression of what might otherwise be 5-10 lines into a single line. Of course, if the if/else statements require evaluating themselves, the expression risks becoming difficult to read.

Lastly, there is no easily available reference for the first appearance of the null-coalesce operator, but it is a newer addition to more modern programming languages, though being present in C# from version 2.0 (2005) onward. Under a different guise, null-coalesce has been in use for quite some time as a means to supplying a default value, but the explicit inclusion of a binary null-coalesce operator remains in the realm of the more recent features in many of the languages used in production code today.

3 The Proposed Project

3.1 Aims & Objectives

The aim of the project is to investigate the usage of the aforementioned advanced language constructs in code, and to further provide recommendations on the best way for the constructs to be employed to ensure maximum ease of understanding within the context of the code and ease of extensibility and editing for future developers.

The constructs to be studied were selected as they are more advanced syntax (that is, they’re not generally taught to new developers till a later stage) and have some depth and variation to their usage. There are other potential constructs and operators that could be examined (noted below), but these are only to be examined if time permits. The selected constructs are as follows:

- Ternary/in-line If Statements (`a ? b : c`)
- Null-coalesce (`a ?? b`) and Null-conditional Operators (`a?.b`)
- Lambda Expressions and Anonymous Functions (`(a) => { b; }`)
- Additional constructs:
 - Unary Increment Operators
 - Compound Assignment Operators
 - Foreach Loops

The end goal will be to provide a set of recommendations for the three primary constructs on how they should be used in code, where code can benefit from them, and where they should be avoided. Failing this, as a minimum, observations will be presented linking usage of these advanced constructs to readability and extensibility as according to the data gathered via survey.

3.2 Methodology

Although the project will not be language-specific, it will be limited to looking at languages that have the specific constructs implemented as part of the core language syntax and are quite popular (e.g. top 10 languages present on GitHub ¹). Thus, C#, Java, and JavaScript will be examined.

The first steps will be to confirm the sources of public code being used to study the above constructs. These would most likely be random or select files from open source GitHub repositories of well-known and popular software and tools. Possible repos to use include those of the .NET Platform ², CheckStyle ³, and npm ⁴. Using basic search tools, a cursory scan of the repos for usage of the constructs to be studied will help define some basic types of use-case for each construct based on a small amount of context each is used in. These use cases will help partition when the use of the construct is actually helpful or not (e.g. a short ternary with concise code, vs. a lengthy ternary with very long code, making it very difficult to read). Finding of the constructs in the repos can be carried out using both normal IDE and code editor text search functions as well as regular expression-based searches (a feature provided by many IDEs and editors). Thankfully, this should not prove too difficult, as the characters that flag these constructs are syntactic and part of the language grammars - they are unique enough to find with ease. Once usages are identified, an ideal scenario would be to examine pull requests and issues to discover if there is any noticeable volume of bugs or issues caused by incorrect use, poor use, or avoidance of use of the advanced constructs that needed to be addressed.

Alongside this, a survey will be created to be sent to a number of developers within ISS to gain both qualitative and quantitative data around use of the constructs within their own work and that of their colleagues. Questions will be asked in the realm of “Do you use <construct> often?”, “How easy do you find it to understand using <construct>?”, and presenting code snippets both with/without the relevant constructs and asking participants which they find easier to read and/or understand. Statistical methods may also be used to gain better insight into the quantitative data. If time permits, guided interviews may also be held to gain additional qualitative data, assuming ethics clearance that will be submitted before this project commences in earnest.

3.3 Potential Risks

Due to the apparent scarcity of research into this topic, there is a possibility that there will be little variation in the data collected - that is that all evidence points towards a single conclusion without much conflict to discuss or examine. For example, examined code and survey results may show that, in general, developers are writing very good code with these constructs and there’s not much that can be suggested for improvement against what has been examined. However, my experience with a number of developers and codebases, as well as the general attitude amongst many developers regarding what might normally be called a “stylistic choice” would suggest that there is likely to be discourse on the topic. One example of this is the existence of many different brace styles for C-like languages, none of which can truly be described as being vastly more common or “better” than others.

Secondly, the open source repositories that are candidate for examination are very large, certainly far too large to hand examine in full. This is why exact files to be examined will be selected at random or for greatest frequency of construct usage. Arguably, this may introduce a bias to the results, as a developer who uses these kinds of constructs often is more likely to have at least consistent usage of them, if not “better” usage too. Although given the size of the repos in question, and the number of collaborators often involved, it seems unlikely that any given file would be totally saturated in the style of one developer.

There remains a reasonable chance of bias from the developer surveys, as all participants will be from the same organisation, and thus may tend to write their code in similar ways. However, they are still different people, in various stages of their careers and learning, and will still have differing opinions and thoughts on the topics this project will examine. In an ideal world, a large number of developers from an array of backgrounds, if not from all over the world, would be surveyed but given the time scale and scope of this project, this is impractical.

¹<https://octoverse.github.com/>

²<https://github.com/dotnet>, Home of the open source .NET Platform

³<https://github.com/checkstyle/checkstyle>, Checkstyle is a development tool to help programmers write Java code that adheres to a coding standard.

⁴<https://github.com/npm>, node package manager

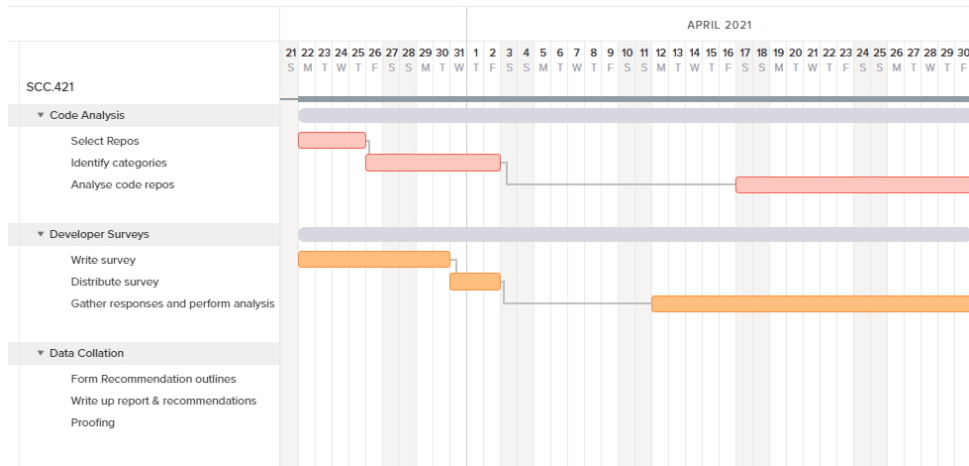


Figure 1: Project schedule, Mar-Apr 2021

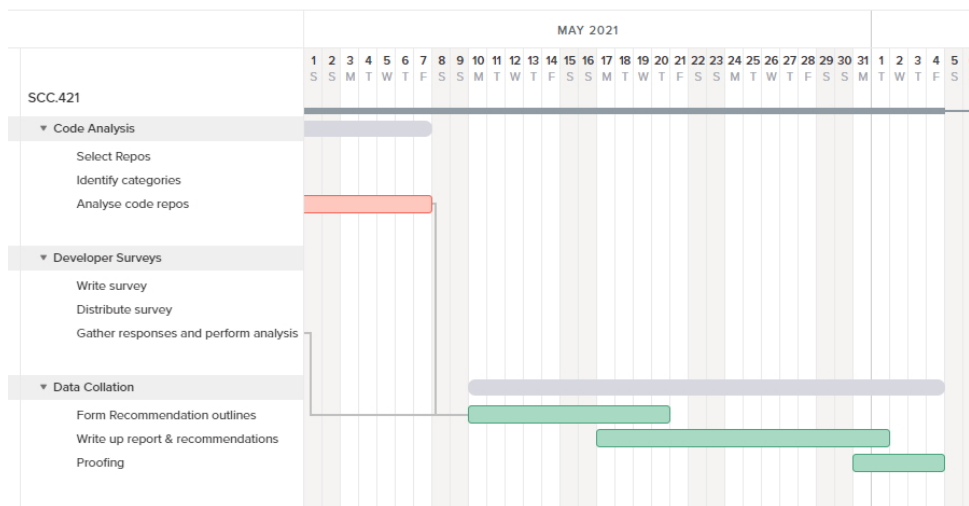


Figure 2: Project schedule, May-Jun 2021

4 Programme of Work

The groundwork for the project will begin in mid-March 2021 and will run till the start of June 2021, with Friday 4th June as a hard submission deadline. As this project is primarily research-focussed and has no specific technical implementation, there are not many well-defined stages of progress, however the following milestones will be used as an approximate gauge:

- Code Analysis
 - Code repositories finalised and acquired
 - Categories for each construct identified and defined
 - Counts of each construct and category found
 - Data collected on coincidence of bugs/issues and advanced constructs
- Developer Surveys
 - Survey written
 - Survey sent to ISS developers
 - Sufficient results from survey acquired
 - Statistical analysis performed on survey results
- Both data sources combined to draw conclusions and make recommendations
- Final project write-up, analysis, reflection, and summary

A potential schedule for the project is show in Figures 1 and 2.

5 Resources Required

No specialist resources are required for this project, only standard tools that are already available to me:

- A code editor or other regex-capable text program (Visual Studio Code)
- Access to survey software (Qualtrics)
- Access to GitHub

References

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URL: <http://cm.bell-labs.co/who/dmr/bcpl.html>

Samoladas, I., Stamelos, I., Angelis, L. & Oikonomou, A. (2004), ‘Open source software development should strive for even greater code maintainability’, *Commun. ACM* **47**(10), 83–87.

URL: <https://doi.org/10.1145/1022594.1022598>

B: Survey

Ethics Preamble

The following survey is being conducted by Luca Davies as a student of Lancaster University in pursuit of completion of module SCC.421: Fourth Year Project.

The data you provide will be collected and handled in line with GDPR and will be used for the lawful purpose of research in accordance with safeguards. For more information, please see the university website [here](#).

If you have any question regarding the research itself, please email l.davies7@lancaster.ac.uk.

Preamble

This survey is aimed at gathering the thoughts and opinions of programmers and software developers on certain more "advanced" constructs and patterns available for use in some programming languages such as C#, JavaScript, and Java. A knowledge of all three of these languages is *not* necessary for participation.

The constructs being examined are:

- In-line If / Ternary Statements
`a ? b : c`
- Lambda Expressions
`(a) => {...}`
- Null-coalesce and Null-conditional Operators
`a ?? b`
`a?.b`
- Foreach loops
`foreach (obj a in b) {...}`
`for (obj a : b) {...}`
- Unary Increment Operators
`a++`
`a--`

- Compound assignment Operators

a += 5

a -= 8

etc...

Experience

How many years of programming experience do you have?

< 1

1 - 4

5 - 9

10 - 14

15 - 19

> 20

Awareness and Usage

Which of the following constructs are you aware of? Select all that apply.

In-line if / ternary statements

Lambda expressions

Null-coalesce operator (??)

Null-conditional operator (?.)

Foreach loop

Unary increment operators (++ , --)

Compound assignment operators (+=, -=, etc...)

I am not familiar with any of these

In places where they can be used, how often do you use each of these constructs in your code?

	Never	Rarely	Sometimes	Frequently	Always
In-line if / ternary statements	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Lambda expressions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Null-coalesce operator (??)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Null-conditional operator (?.)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Foreach loop	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Unary increment operators (++ , --)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

	Never	Rarely	Sometimes	Frequently	Always
Compound assignment operators (+=, -=, etc...)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Use

When you choose **to use** these constructs, for what reason do you use them? Select all that apply.

	It is company style	It makes code clearer	It makes code simpler	It makes code run faster	None of these
In-line if / ternary statements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lambda expressions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Null-coalesce operator (??)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Null-conditional operator (?.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Foreach loop	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Unary increment operators (++ , --)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Compound assignment operators (+=, -=, etc...)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

You selected "None of these" at least once in the previous question. Please briefly describe the reasons you use the construct(s) for which you selected this option.

Not Use

When you choose **to not use** these constructs, for what reasons do you not use them? Select all that apply.

	It is company style	It would make code less clear	It would make code more complex	It would make code run slower	None of these
In-line if / ternary statements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lambda expressions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Null-coalesce operator (??)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Null-conditional operator (?.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	It is company style	It would make code less clear	It would make code more complex	It would make code run slower	None of these
Foreach loop	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Unary increment operators (++ , --)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Compound assignment operators (+=, -=, etc...)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

You selected "None of these" at least once in the previous question. Please briefly describe the reasons you choose not to use the construct(s) for which you selected this option.

Efficiency

What effect do you think these constructs have on code performance?

	Decreased Performance	No change	Increased Performance	Don't know
In-line if / ternary statements	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Lambda expressions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Null-coalesce operator (??)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Null-conditional operator (?.)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Foreach loop	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Unary increment operators (++ , --)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Compound assignment operators (+=, -=, etc...)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Construct Frequency

Considering the wider programming and software development industry, how often do you think **in-line / ternary if statements** are used in these languages?

	Below average	Average	Above average	Don't know
C#	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

	Below average	Average	Above average	Don't know
JavaScript	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Java	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Considering the wider programming and software development industry, how frequently do you think **lambda expressions** are used in these languages?

	Below average	Average	Above average	Don't know
C#	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
JavaScript	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Java	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Considering the wider programming and software development industry, how frequently do you think **null-coalesce operators** are used in these languages?

	Below average	Average	Above average	Don't know
C#	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Java	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Considering the wider programming and software development industry, how often do you think **null-conditional operators** are used in these languages?

	Below average	Average	Above average	Don't know
C#	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
JavaScript	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Considering the wider programming and software development industry, how often do you think **foreach loops** are used in these languages?

	Below average	Average	Above average	Don't know
C#	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
JavaScript	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Java	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Considering the wider programming and software development industry, how often do you think **unary increment operators** are used in these languages?

	Below average	Average	Above average	Don't know
C#	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
JavaScript	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Java	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Considering the wider programming and software development industry, how often do you think **compound assignment operators** are used in these languages?

	Below average	Average	Above average	Don't know
C#	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
JavaScript	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Java	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Closing

Do you have any further comments on/around the usage of the mentioned constructs?

C Sample Code Files