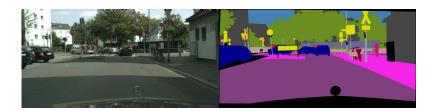
Federated Learning for Autonomous Driving: Enhancing Style Transfer Techniques for FFreeDa

MLDL Project Track 2B, Summer '23 Luca Agnese, Fabio Rizzi, Flavio Spuri

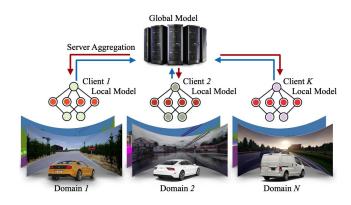
OVERVIEW

SS



Semantic segmentation (SS) involves assigning a class to each pixel in an image. This task is critical for numerous applications, particularly self-driving vehicles, where it aids in precisely identifying key components like pedestrians, road signs, and cars.

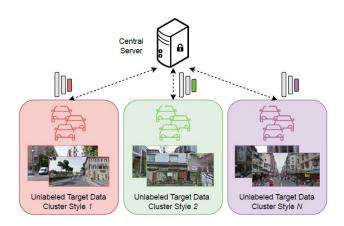
FL



Federated Learning (FL) is a learning paradigm in which the task is solved through a collaboration between several devices, called **clients**, coordinated by a central **server**. Each client works locally on its own data without the need of transmitting it. This allows the data to remain unseen by the server which solves the issue of respecting **users' privacy**.

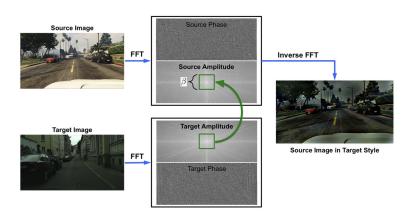
OVERVIEW

FFreeDA



Federated source-Free Domain Adaptation (FFreeDA) is a task where the clients only access their **unlabelled target** dataset while the server is **pre-trained on a labelled source** dataset.

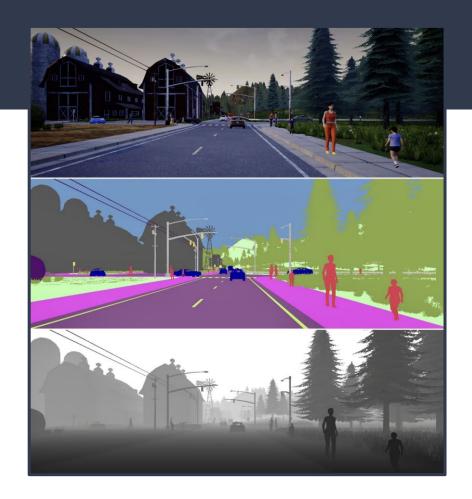
FDA



Fourier Domain Adaptation (FDA) swap the target image **style** onto the source image to **reduce the gap** between the two distributions.

Dataset - IDDA

- Large synthetic dataset with over one million images labelled for SS
- More than 100 scenarios, defined via three axis:
 - o town
 - weather and illumination
 - viewpoint (car)
- We only consider a **subset**:
- 24 train clients (*Train*), each with 25 images
- 2 test clients, each with 120 images
- One with the same scenarios as *Train* (*Test Same Dom*)
- One with different scenarios (Test Diff Dom)



Dataset - GTAV

- Large synthetic dataset with almost
 25k labeled images.
- Rendered from the highly realistic video game Grand Theft Auto V.
- All images are from the car perspective in the street of American-style cities.
- We only consider a subset of 500 samples.



METRICS

Pixel Accuracy (pAcc)

pAcc is a metric used in SS that calculates the ratio of correctly classified pixels to the total number of pixels. While very straightforward and easy to compute, it is unable to take into account class imbalance, possibly yielding misleading results.





Intersection-Over-Union (IoU)

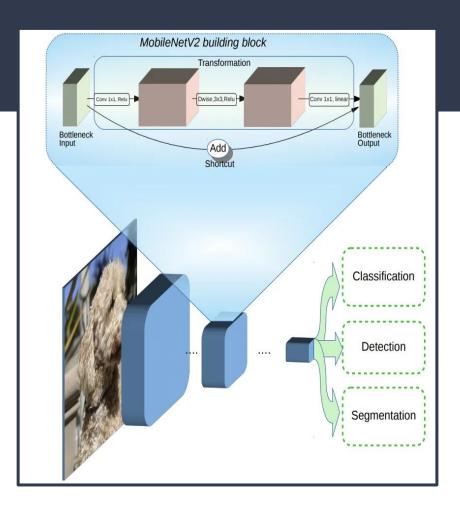
IoU is the most commonly used metric in SS. While remaining pretty straightforward, it overcomes the issue of treating unbalanced classes. For a given class c, it is defined as the **ratio** between the area of **overlap** and the area of **union** between **prediction** and **ground truth**:

$$IoU_c = \frac{|A \cap B|}{|A \cup B|}$$

where A is the set of pixels assigned with class c and B is the set of pixels with label class c. Our main evaluation metric is the mean IoU (**mIoU**) between all 16 semantic classes.

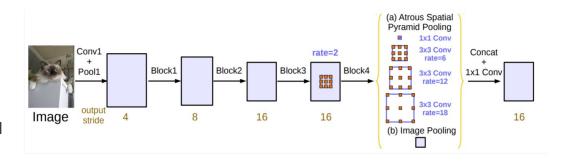
MobileNetV2

- Lightweight CNN that balances accuracy and computational costs.
- Employs depth-wise convolutions and inverted residuals to achieve such balance.



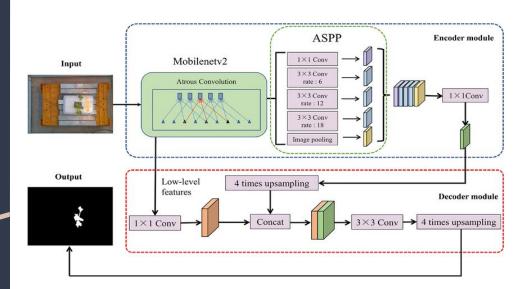
DeepLabV3

- **State-of-the-art** architecture developed by Google for SS.
- Atrous convolution (or dilated convolution) to control its field-of-view and efficiently capture multi-scale information.
- Coupled with a feature called atrous spatial pyramid pooling (ASPP) to capture objects and contexts at different scales.



Task 1 Centralized Baseline

- Method
- Results



Centralized baseline - HPO

- First, we perform a coarse grid search to get a sense of the problem. In it, we try several configurations for a very limited number of epochs, and discard those that give a poor initialization.
- Then, we train the best configurations for both optimizers for a larger number of epochs, thus selecting the best configuration of Ir, wd, and optimizer.
- 3. Finally, we run for a smaller number of configurations and for a greater number of epochs, to select the best Ir scheduler and data augmentation.

Parameter	Values
lr	[0.1, 0.01, 0.001, 0.0001]
wd	[0.0001, 0.00001, 0]
optimizers	$\begin{array}{c} \text{SGD}(\text{momentum} = 0.9) \\ \text{Adam}(\ \beta 1 = 0.9, \beta 2 = 0.999, \epsilon = 10^{-8}) \\ \text{Adam}(\beta 1 = 0.9, \beta 2 = 0.99, \epsilon = 10^{-1}) \\ \text{Adam}(\beta 1 = 0.9, \beta 2 = 0.99, \epsilon = 10^{-5}) \end{array}$
LRdecay	[StepLR, PolyLR]
DataAugmentation	basic = {RandomResizedCrop} advanced = {RandomResizedCrop,RandomHorizontalFlip, ColorJitter}

Centralized baseline - Results

		Θ	c		Number of			Test Diff
Lr	Wd	Opt	Sched	Set of Transforms	Training Epochs	mIoU	Dom mIoU	Dom mIoU
0.1	0.0001	SGD(m=0.9)	PolyLR	advanced	100	0.5807 ± 0.0028	0.5087 ± 0.0018	0.3211 ± 0.0031

• We identify the set of hyper-parameters $\boldsymbol{\Theta}_{\mathbf{c}}$.

Centralized baseline - Results

		Θ	c		Number of Eval		Test Same	Test Diff	
Lr	Wd	Opt	Sched	Set of Transforms	Training Epochs	mIoU Do	Dom mIoU	Dom mIoU	
0.1	0.0001	SGD(m=0.9)	PolyLR	advanced	100	0.5807 ± 0.0028	0.5087 ± 0.0018	0.3211 ± 0.0031	

- We identify the set of hyper-parameters Θ_{c} .
- We obtain some **good results on** *Test Same Dom*, comparable to those on the Eval set.

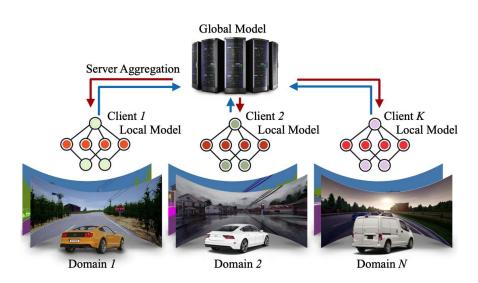
Centralized baseline - Results

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- We identify the set of hyper-parameters Θ_c .
- We obtain some **good results on** *Test Same Dom*, comparable to those on the Eval set.
- However, there is a pretty **significant reduction** in the performances **on** *Test Diff Dom*.

Task 2 Supervised FL

- Method
- Results



Supervised FL - Algorithm

	Θ_c								
Lr	Wd	Opt	Sched	Set of Transforms					
0.1	0.0001	SGD(m=0.9)	PolyLR	advanced					

Maintaining the set of hyper-parameters θ_c , for each round of communication $t \in [1, num \ rounds]$:

- 1. The server **selects** a certain number of **clients**.
- 2. The server **sends** the current model **parameters** ω^{t-1} to the selected clients.
- 3. Each client c performs a *num_epochs* number of local epochs, obtaining the updated parameters ω_c^t .
- 4. At the end of each round, the server **collects** all the **client-updated parameters**. $\{\omega_c^t \mid c=1,..., clients per round\}$ and **aggregates** them by computing their **average**, weighted by the clients' cardinalities.
 - equivalent to updating the central model using the average gradient.

Supervised FL - Results Fixed Clients per round

Clients per round	Number of rounds	Local Epochs	Eval mIoU (train partition)	Test Same Dom mIoU	Test Diff Dom mIoU
2	30	1 3 6	$\begin{array}{c} 0.3109 \pm 0.0109 \\ 0.3585 \pm 0.0052 \\ 0.3868 \pm 0.0032 \end{array}$	$\begin{array}{c} 0.2835 \pm 0.0158 \\ 0.3416 \pm 0.0023 \\ 0.3500 \pm 0.0024 \end{array}$	$\begin{array}{c} 0.1953 \pm 0.0317 \\ 0.2470 \pm 0.0085 \\ 0.2556 \pm 0.0017 \end{array}$
4	30	1 3 6	$\begin{array}{c} 0.3300 \pm 0.0053 \\ 0.3725 \pm 0.0029 \\ 0.3795 \pm 0.0074 \end{array}$	$\begin{array}{c} 0.3077 \pm 0.0114 \\ 0.3472 \pm 0.0057 \\ 0.3455 \pm 0.0094 \end{array}$	$\begin{array}{c} 0.2192 \pm 0.0205 \\ 0.2588 \pm 0.0122 \\ 0.2647 \pm 0.0160 \end{array}$
8	30	1 3 6	$\begin{array}{c} 0.3426 \pm 0.0031 \\ 0.3773 \pm 0.0020 \\ 0.4035 \pm 0.0031 \end{array}$	$\begin{array}{c} 0.3202 \pm 0.0053 \\ 0.3542 \pm 0.0035 \\ 0.3715 \pm 0.0030 \end{array}$	$\begin{array}{c} 0.2382 \pm 0.0095 \\ 0.2543 \pm 0.0126 \\ 0.2682 \pm 0.0089 \end{array}$

• Obvious improvement when increasing either clients per round or local epochs.

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- Not always seems to justify the increased computational effort.

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- **Obvious improvement** when increasing either clients per round or local epochs.
- Not always seems to justify the increased computational effort.
- Particularly evident when increasing clients per round.

Supervised FL – Results Long Experiments and Target mIoU

Long experiments

Clients per round	Number of rounds	Local Epochs	Eval mIoU (train partition)	Test Same Dom mIoU	Test Diff Dom mIoU
2	100	3	0.4434 ± 0.0071	0.3962 ± 0.0093	0.2667 ± 0.0178
8	100	3	0.4901 ± 0.0041	0.4485 ± 0.0038	0.2946 ± 0.0045

• If the primary concern is **time** constraints, it is clearly better to **select a larger number of clients** in each round.

Target mIoU - Train until reached

Local Epochs	Target mIoU	Client per Round	# Communications
		2	82
3	0.38	4	144
		8	256

- Increase in the accuracy is **not proportional** to the augment of the computational cost.
- The most efficient approach appears to be keeping the clients per round low and executing a larger number of rounds.

Supervised FL – Results Comparison with Baseline

Centralised

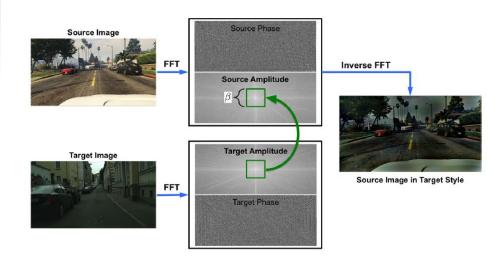
		Θ	c		Number of	Eval	Test Same	Test Diff
Lr	Wd	Opt	Sched	Set of Transforms	Training Epochs	mIoU	Dom mIoU	Dom mIoU
0.1	0.0001	SGD(m=0.9)	PolyLR	advanced	100	0.5807 ± 0.0028	0.5087 ± 0.0018	0.3211 ± 0.0031

Federated

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Task 3 Moving Towards FFreeDA

- Motivation
- Method
- Results



T3 - Motivation and Method

• It's unrealistic to assume to have ground truth labels on client side. The **clients** have **access** only to their **unlabelled target** dataset.

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• In this task we first **train** the model on the labelled synthetic dataset **GTAV**, which is the source dataset, with a centralized approach.

T3 - Motivation and Method

• It's unrealistic to assume to have ground truth labels on client side. The **clients** have **access** only to their **unlabelled target** dataset.

• In this task we first **train** the model on the labelled synthetic dataset **GTAV**, which is the source dataset, with a centralized approach.

• Then, we try to **reduce the discrepancy** between IDDA (*target*) and *source* by **applying** Fourier Domain Adaptation (**FDA**) technique.

	Θ_{pt}				β	Training	GTAV	IDDA Train	Test Same	Test Diff
Lr	Wd	Opt	Sched	Set of Transforms		Epochs	mIoU	mIoU	Dom mIoU	Dom mIoU
0.01	0.0001	SGD(m=0.9)	PolyLR	advanced	n.a. 0.000001	100	$\begin{array}{c} 0.5824 \pm 0.0056 \\ 0.5680 \pm 0.0030 \end{array}$	$\begin{array}{c} 0.2665 \pm 0.0036 \\ 0.2708 \pm 0.0046 \end{array}$	$\begin{array}{c} 0.2633 \pm 0.0036 \\ 0.2772 \pm 0.0043 \end{array}$	$\begin{array}{c} 0.2050 \pm 0.0030 \\ 0.1954 \pm 0.0028 \end{array}$

• We run again **HPO**, validating on IDDA train dataset. We follow the strategy used for the centralised baseline.

	Θ_{pt}				β	Training	GTAV	IDDA Train	Test Same	Test Diff
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- We run again **HPO**, validating on IDDA train dataset. We follow the strategy used for the centralised baseline.
- We obtain a set of hyper-parameters Θ_{pt}

	Θ_{pt}				β	Training	GTAV	IDDA Train	Test Same	Test Diff
Lr	Wd	Opt	Sched	Set of Transforms		Epochs	mIoU	mIoU	Dom mIoU	Dom mIoU
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- We run again **HPO**, validating on IDDA train dataset. We follow the strategy used for the centralised baseline..
- We obtain a set of hyper-parameters $\boldsymbol{\Theta}_{pt}$
- The results highlight a **large dip** on IDDA, especially on *Test Diff Dom*.

	Θ_{pt}				β	Training	GTAV	IDDA Train	Test Same	Test Diff
Lr	Wd	Opt	Sched	Set of Transforms		Epochs	mIoU	mIoU	Dom mIoU	Dom mIoU
0.01	0.0001	SGD(m=0.9)	PolyLR	advanced	n.a. 0.000001	100	$\begin{array}{c} 0.5824 \pm 0.0056 \\ 0.5680 \pm 0.0030 \end{array}$	$\begin{array}{c} 0.2665 \pm 0.0036 \\ 0.2708 \pm 0.0046 \end{array}$	$0.2633 \pm 0.0036 \\ 0.2772 \pm 0.0043$	$0.2050 \pm 0.0030 \\ 0.1954 \pm 0.0028$

- Regarding the application of **FDA**, we first **tune** the hyper-parameter β considering a limited number of epochs.
- β represents the **size** of the frequency spectrum **window** replaced

Table 6. Beta Tuning

0.2539
0.2679

	Θ_{pt}				β	Training	GTAV	IDDA Train	Test Same	Test Diff
Lr	Wd	Opt	Sched	Set of Transforms		Epochs	mIoU	mIoU	Dom mIoU	Dom mIoU
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- Regarding the application of **FDA**, we first **tune** the hyper-parameter β considering a limited number of epochs.
- β represents the **size** of the frequency spectrum **window** replaced

 Once fixed the value for the beta parameter we run for a larger number of epochs.

	Θ_{pt}				β	Training	GTAV	IDDA Train	Test Same	Test Diff
Lr	Wd	Opt	Sched	Set of Transforms		Epochs	mIoU	mloU	Dom mIoU	Dom mIoU
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• First, we note a slight **decrease** in performance on the **source train** dataset as the images used in training get slightly modified.

	Θ_{pt}				β	Training	GTAV	IDDA Train	Test Same	Test Diff
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- First, we note a slight decrease in performance on the **source train** dataset as the images used in training get slightly modified.
- On the other hand, we observe an increase on the target test dataset, in particular on the Test Same Dom which was our aim in this task.

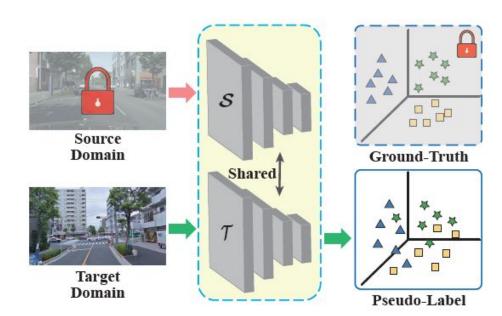
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- First, we note a slight decrease in performance on the **source train** dataset as the images used in training get slightly modified.
- On the other hand, we observe an increase on the target test dataset, in particular on the Test Same Dom which was our aim in this task.
- The performance on the Test Diff Dom sees a small decrease.

Task 4

Federated Self-Training using Pseudo-Labels

- Motivation
- Method
- Results



T4 - Motivation and Method

• In this task we move toward the Self Supervised (Federated) Learning paradigm. Here we consider the **IDDA Train** dataset to be **unlabeled**.

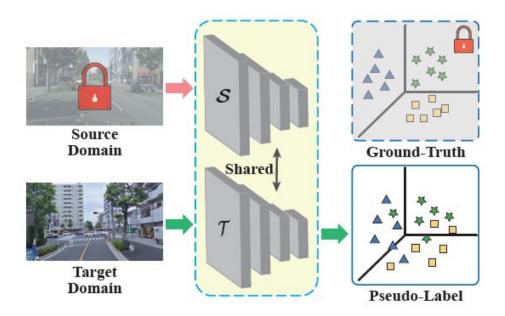
T4 - Motivation and Method

• In this task we move toward the Self Supervised (Federated) Learning paradigm. Here we consider the IDDA Train dataset to be unlabeled.

In this framework we have two components: a student model and a teacher model.

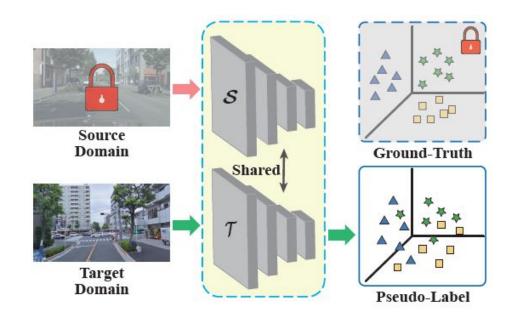
T4 - Motivation and Method

 Both the teacher and the student model are initialized using the best performing model pre-trained on GTAV.



T4 - Motivation and Method

- Both the teacher and the student model are initialized using the best performing model pre-trained on GTAV.
- The teacher computes the pseudo-labels upon which the student learns, still using the same algorithm used for FL.



T4 - Motivation and Method

• In this task we move toward the Self Supervised (Federated) Learning paradigm. Here we consider the IDDA Train dataset to be unlabeled.

• In this framework we have two components: a **student** model and a **teacher** model.

- We consider three different strategies to update the teacher model:
 - Never updated.
 - At the beginning of each FL round, set Teacher = Student.
 - Every T > 1 FL rounds, set Teacher = Student.

Clients per round	T	lr	FDA	Train mIoU	Test Same Dom mIoU	Test Diff Dom mIoU
2	+∞	0.00001	X	0.3202 0.3350	0.2904 0.2964	0.2206 0.2026
8	5	0.1	X ✓	0.0066 0.0160	0.0061 0.0164	0.0058 0.0167

 We run two sets of experiments, considering the best performing pre-trained model with and without FDA.

Clients per round	Т	lr	FDA	Train mIoU	Test Same Dom mIoU	Test Diff Dom mIoU
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- We run two sets of experiments, considering the best performing pre-trained model with and without FDA
- For these experiments, we use the same set of hyperparameters θ_c , set the number of local epochs to 1 and vary the clients per round in $\{2, 8\}$.

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- We run two sets of experiments, considering the best performing pre-trained model with and without FDA
- For these experiments, we use the same set of hyperparameters Θ_c , set the number of local epochs to 1 and vary the clients per round in $\{2, 8\}$.
- We test all three teacher update strategies. For the third strategy, we consider two values of T, specifically T = 5 and T = 15.

Clients per round	T	lr	FDA	Train mIoU	Test Same Dom mIoU	Test Diff Dom mIoU
2	$+\infty$	0.00001	X	0.3202 0.3350	0.2904 0.2964	0.2206 0.2026
8	5	0.1	X ✓	0.0066 0.0160	0.0061 0.0164	0.0058 0.0167

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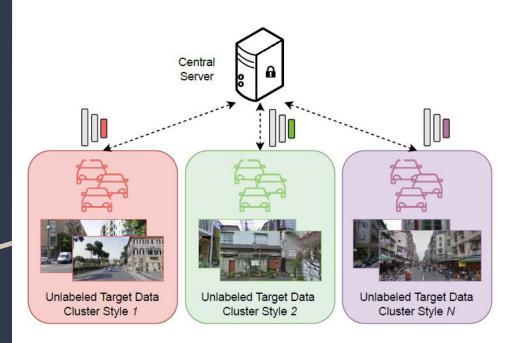
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- We then try to **tune again** the value of **lr** which we eventually set to lr = 0.00001. We run again the experiments for a considerable number of rounds.
- We report how across our experiments this method does not bring any significant improvement, generally being detrimental to the performances, as the pseudo-labels and the predictions are almost the same except for some small randomicity, which eventually degrades the model performance.

Extension 1 Ensemble Learning

- Method
- Results

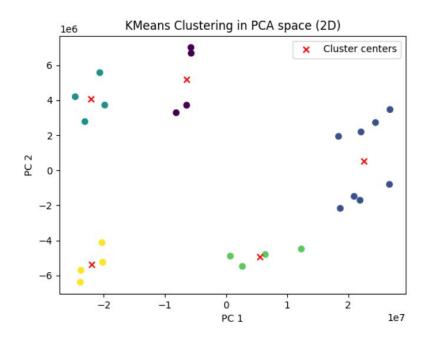


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- The different **styles** of training data are **grouped** into clusters, obtained as follows:
 - **K-means** is used to minimize the **intra-cluster** distance for various values of K
 - The best clustering is selected among the best candidates for each K based on the silhouette score.

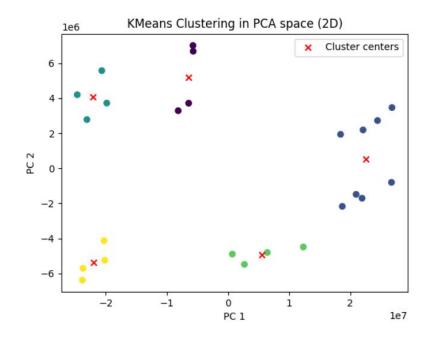
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- The different styles of training data are grouped into clusters, obtained as follows:
 - **K-means** is used to minimize the **intra-cluster** distance for various values of K
 - The best clustering is selected among the best candidates for each K based on the silhouette score.
- Individual models are then trained for each of the identified clusters using the FDA technique. These models are trained by applying the styles of their respective clusters to the training data.

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Instead of assigning each test image to a
single cluster, we calculate similarities
between the style of the test image and the
style of each cluster.



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Skewed: we cube the similarity scores and re-normalize them. In this way we further penalize the outputs from the clusters that are farther from the test image style.

 The final output will be a weighted aggregation of the outputs from each of the K trained models.

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 - > **Mean**

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• **Majority** is the best performing on **Test Same Dom.**

Aggregation	Weighting Scheme	Test Same Dom	Test Diff Dom	
Max	n.a	0.2524	0.2008	
Mean	Standard Skewed	$0.2688 \\ 0.2595$	$0.2094 \\ 0.2056$	
Median	Median Standard Skewed		$0.1936 \\ 0.1674$	
Majority	n.a	0.2713	0.2059	
Random by Output Standard Skewed		$0.2609 \\ 0.2547$	$0.1880 \\ 0.1943$	
Random by Pixel	Standard Skewed	$0.2552 \\ 0.2531$	0.1887 0.1949	

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- Mean, Majority and Median all surpass Max on both test datasets.

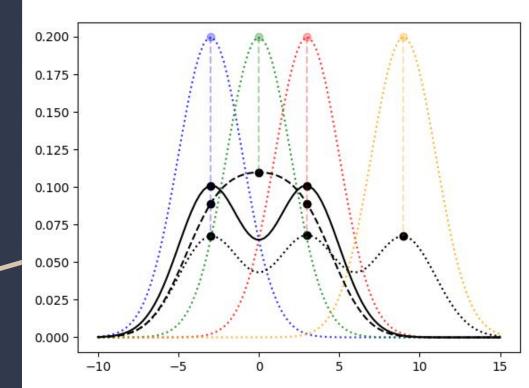
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- The skewed weighting scheme typically underperforms, highlighting how the most successful aggregations appear to be those favoring balanced predictions.

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Extensions 2 Simulating unseen styles

- Motivation
- Intuition
- Methodology
- Results



Simulating Unseen Styles - Motivation

 Throughout our work, we have seen that performances constantly drop when considering Test Diff Dom instead of Test Same Dom.

Centralised Baseline

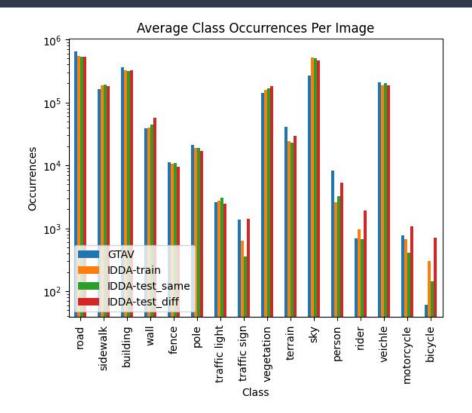
Test Same	Test Diff	
Dom mIoU	Dom mIoU	
0.5087 ± 0.0018	0.3211 ± 0.0031	

FL

Test Same Dom mIoU	Test Diff Dom mIoU
0.3962 ± 0.0093	0.2667 ± 0.0178
0.4485 ± 0.0038	0.2946 ± 0.0045

Simulating Unseen Styles - Motivation

- Throughout our work, we have seen that performances constantly drop when considering Test Diff Dom instead of Test Same Dom.
- As already said, this is caused by a gap between the latent distributions of the two.



Simulating Unseen Styles - Motivation

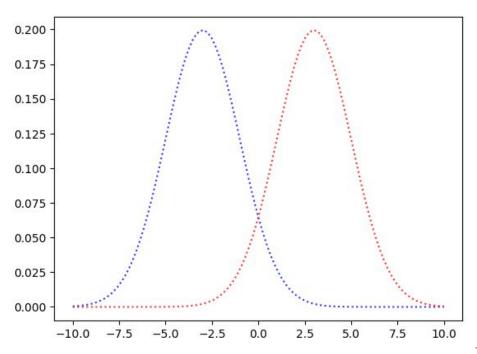
- Throughout our work, we have seen that performances constantly drop when considering Test Diff Dom instead of Test Same Dom.
- As already said, this is caused by a gap between the latent distributions of the two.
- This is still true when we transfer the style of IDDA onto GTAV using FDA.

Pre-train with FDA

Test Same Dom mIoU	Test Diff Dom mIoU
0.2772 ± 0.0043	0.1954 ± 0.0028

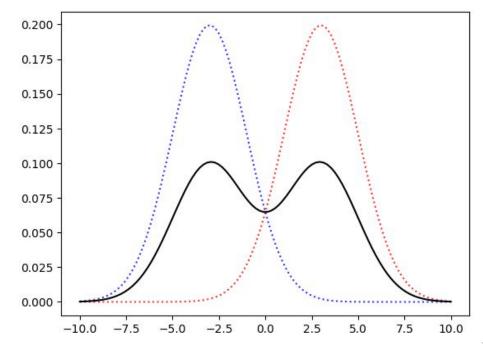
Simulating Unseen Styles - Intuition

 The intuition behind our extension comes from thinking of each client generating the styles according to its own distribution.



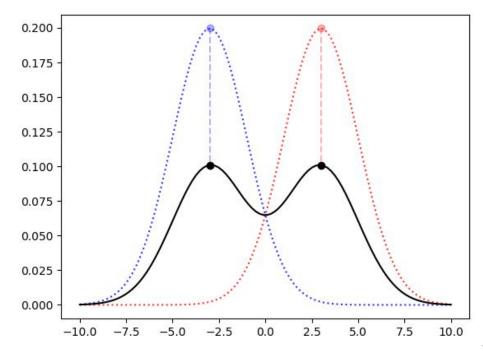
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Simulating Unseen Styles - Intuition

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- Thus, the overall distribution will be a mixture of the distributions from all the possible clients.
- In such a setting, the previous application of FDA would consist in applying the styles identified as the mixture components' averages.



Simulating Unseen Styles - Intuition

- However, we are only transferring the styles that we can observe on the train clients, that we recall come from the same distribution Test Same Dom.
- This could be one of the cause in the drop in performances.

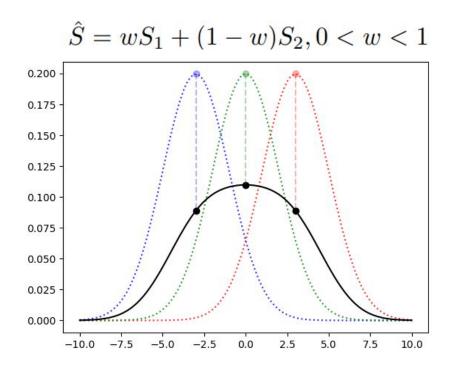
Simulating Unseen Styles - Intuition

- However, we are only transferring the styles that we can observe on the train clients, that we recall come from the same distribution Test Same Dom.
- This could be one of the cause in the drop in performances.
- We want to try to simulate the unseen styles, which will have to respect two properties:
 - a. **similar enough** to the real styles, so as to come from the same mixture.
 - b. different enough to foster better generalization.

- We generate a new style as an interpolation of two observed styles, controlled by a parameter w.
- This should satisfy property (a).

$$\hat{S} = wS_1 + (1 - w)S_2, 0 < w < 1$$

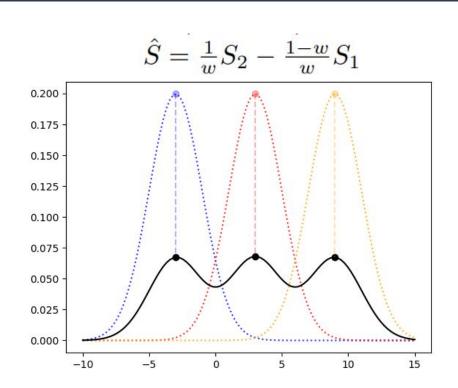
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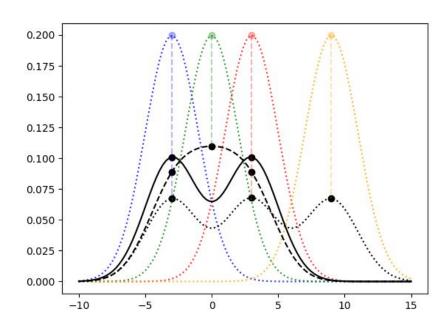
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- Thus, we further imagine that the "in-between" style is one of the observed ones.

$$\hat{S} = \frac{1}{w}S_2 - \frac{1-w}{w}S_1$$

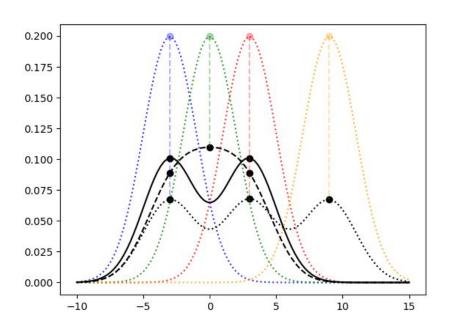
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- Thus, we further imagine that the "in-between" style is one of the observed ones.
- This allows generating styles that are diverse enough, satisfying property (b).



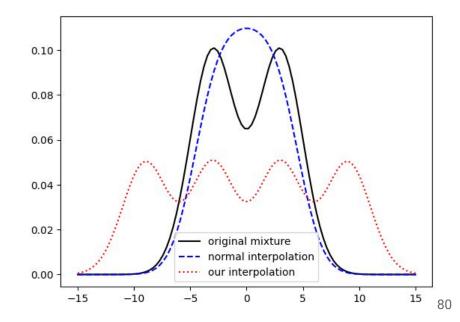
Comparison of the **generated styles**.



Comparison of the generated styles.



Comparison of the resulting mixtures.



• Given a bank of styles of cardinality *n*, the possible "in-between" interpolated styes are:

$$\binom{n}{2}$$

 This is doubled in our methodology, since either observed style can be chosen as the "in-between" one, resulting in a number of possible styles equal to:

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- Given that we cannot train for too long, we wanted to keep the number of possibly generated styles low, so we made 2 adjustments.
- Since this number grow quadratically with n, instead of considering the average style for each client we only consider the average style for each cluster in our bank, leveraging the clustering obtained at the previous point.
- Moreover, to further lean toward the actually observed model, we only apply a generated one with probability p.

Type	р	GTAV mIoU	IDDA Train mIoU	Test Same Dom mIoU	Test Diff Dom mIoU
default	n.a.	0.4315 ± 0.0015	0.2352 ± 0.0066	0.2421 ± 0.0068	0.1746 ± 0.0079
interpolation interpolation	0.2 0.5	$0.4299 \pm 0.0006 \\ 0.4230 \pm 0.0018$	$0.2620 \pm 0.0031 \\ 0.2604 \pm 0.0061$	$\begin{array}{c} 0.2689 \pm 0.0030 \\ 0.2639 \pm 0.0059 \end{array}$	0.1909 ± 0.0032 0.1907 ± 0.0068
noise	n.a.	0.4307 ± 0.0016	0.2605 ± 0.0049	0.2648 ± 0.0049	0.1812 ± 0.0057

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- To discern whether the improvements simply come from an increased style ambiguity, we also introduce a **control experiment** in which we **add Gaussian noise** to the style while training.
- Adding noise brings **comparable benefits** on *Test Same Dom*, but they **don't** seem to **hold up** to the ones achieved on *Test Diff Dom*.

Thanks for the attention Questions?

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- Flavio Spuri: s303657@studenti.polito.it