# Category Theory

24 Octobre, 2023

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### Outline

### Introduction

- Definition of a category, objects, morphisms, composition, identity morphisms
- Examples of categories (sets, groups, topological spaces, etc.)

### Properties of Categories

- · Initial and terminal objects
- Products and coproducts
- Equalizers and coequalizers
- · Limits and colimits
- Functors and natural transformations

#### **Adjoint Functors**

- · Definition and properties
- Examples

Universal Properties - Definition and motivation - Examples (free groups, tensor products, etc)

#### Yoneda Lemma

- · Statement and proof
- Consequences

#### Monads

- Definition
- Examples (maybe monad, list monad)
- · Kleisli categories

## **Enriched Categories**

- Motivation and definition
- · Examples of enriched categories

### **Higher Category Theory**

- Motivation
- Definition of 2-categories and n-categories
- Examples

### **Applications**

- Categories in computer science (type theory, semantics)
- Categories in physics (topological quantum field theories)

## Introduction to Category Theory

## What is a Category?

A category C consists of:

- A collection of objects ob (C)
- A collection of morphisms (also called arrows) hom (A, B) between objects A and B
- A composition operation: if  $f:A\to B$  and  $g:B\to C$  are morphisms, then there is a composite morphism  $g\circ f:A\to C$
- An identity morphism  $\mathrm{id}_A:A\to A$  for each object

### Composition must be:

- Associative:  $h \circ (g \circ f) = (h \circ g) \circ f$
- Unital:  $f \circ \mathrm{id}_A = f$  and  $\mathrm{id}_B \circ f = f$

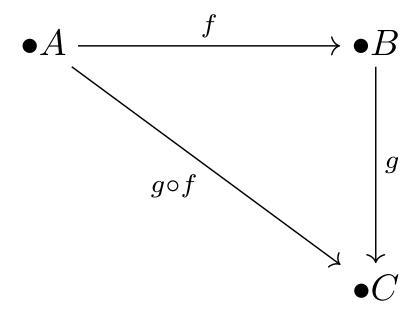


Figure 1: Simple Category

## **Examples of Categories**

- Set
  - Objects are sets that satisfy the axioms of set theory:
    - Axiom of extensionality: Two sets are equal if they contain the same elements
    - Axiom of pairing: For any a and b, there exists a set {a,b} containing a and b
    - Axiom of union: For any sets A and B, there is a set  $C = A \cup B$  containing all elements of A and B
  - Morphisms are functions between sets that map elements of one set to another
- Grp
  - Objects are groups that satisfy the group axioms:

- Closure under an associative binary operation
- Existence of identity element
- Existence of inverse for each element
- Morphisms are group homomorphisms that preserve the group structure
- Top
  - Objects are topological spaces that satisfy the axioms of topology:
    - The union of any number of open sets is open
    - The intersection of a finite number of open sets is open
    - The empty set and the whole space are open
  - · Morphisms are continuous maps between topological spaces
- Vect
  - Objects are vector spaces over a field F that satisfy:
    - Closure under vector addition and scalar multiplication
    - Vector addition and scalar multiplication obey field axioms
  - Morphisms are linear maps that preserve vector space structure

#### Intuition

Categories formalize mathematical structure and transformations that preserve that structure. The objects represent mathematical concepts, while the morphisms represent relationships between objects.

## **Properties of Categories**

Categories can have additional structure and properties that reveal relationships between objects. We will explain some important properties and constructions.

## **Initial and Terminal Objects**

- An initial object is a special object that has exactly one morphism init<sub>A</sub>: I → A going to every other object A in the category. It is like a « source » object that every object can be mapped from in a unique way.
- A terminal object has exactly one morphism  $term_A: A \to T$  coming from every other object A. It is like a « sink » or « ending » object that everything maps to uniquely.
- For example, in the category of sets, the empty set  $\emptyset$  is initial there is only one function  $\emptyset \to A$  from the empty set to any set A. A one element set  $\{*\}$  is terminal there is only one function  $A \to \{*\}$  from any set A to the one element set.

#### **Products**

- The product of two objects A and B captures the idea of combining or « multiplying » A and B together. It is an object P and two morphisms  $p_1: P \to A$  and  $p_2: P \to B$ .
- P has to satisfy a universal property: for any other object C with morphisms  $f: C \to A$  and  $g: C \to B$ , there must be a unique morphism  $h: C \to P$  that makes the whole diagram commute. This uniquely characterizes the product.

• For example, in sets the product of A and B is their cartesian product  $A \times B$ . In groups it is the direct product of groups.

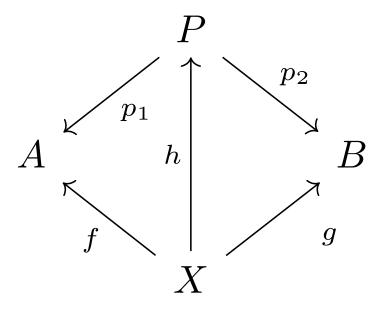


Figure 2: Product

## **Equalizers**

- An equalizer of f,  $g:A\to B$  embodies the idea of f and g « being equal ». It is an object E and morphism  $e:E\to A$  such that  $f\circ e=g\circ e$ . So E « equalizes » f and g.
- E has a universal property like products. Intuitively, E contains elements of A that f and g map identically.

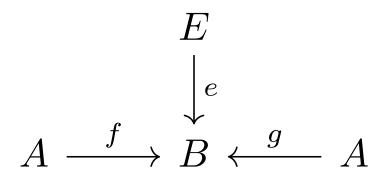


Figure 3: Equalizer

Here are draft sections on adjoint functors and universal properties:

## **Adjoint Functors**

Adjoint functors are a powerful concept in category theory that formalize a relationship between two functors.

• Two functors  $F:\mathcal{C}\to\mathcal{D}$  and  $G:\mathcal{D}\to\mathcal{C}$  between categories  $\mathcal{C}$  and  $\mathcal{D}$  are adjoints if there is a natural bijection:

$$hom_{\mathcal{D}}(F(c), d) \cong hom_{\mathcal{C}}(c, G(d))$$

for all objects  $c \in \mathcal{C}, d \in \mathcal{D}$ .

- ullet F is called the left adjoint and G the right adjoint. Intuitively, F preserves sources and G preserves sinks.
- Examples of adjoint functor pairs:
  - Free/forgetful functors between Sets and Grps
  - Hom/tensor product between vector spaces
  - Direct/inverse image functors in topology

Adjoints formalize the idea of two functors being « inverses » in a constructive way that is weaker than isomorphism. They show up often in mathematics and imply many deeper properties.

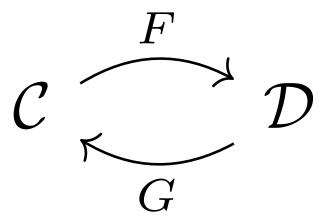


Figure 4: Adjoint Functors

## **Universal Properties**

Many constructions in categories are defined by universal properties, which capture the essence of an object or morphism uniquely up to isomorphism.

- Products, equalizers, limits, and other concepts are defined by universal properties. These specify a mapping property that an object or morphism must satisfy.
- For example, a product P of objects A and B has projections  $p_1: P \to A$  and  $p_2: P \to B$  such that for any other object X with maps  $f: X \to A$  and  $g: X \to B$ , there exists a unique map  $h: X \to P$  making the diagram commute.
- Universal properties allow defining concepts intrinsically without referring to concrete representations. This is powerful for proving theorems.
- Many basic algebraic constructions are characterized by universal properties:
  - Free groups, rings, modules
  - · Tensor products
  - Kernels and images of morphisms

Universal properties abstract the key aspects of mathematical notions and their relationships. Understanding objects and morphisms via universal properties is fundamental to categorical thinking.

### Yoneda Lemma

The Yoneda lemma relates an object in a category to the functor it generates.

- For an object A in a category  $\mathcal{C}$ , there is a **representable functor**  $y_A:\mathcal{C}\to Set$  defined by  $y_A(B)=\hom(A,B)$ .
- That is,  $y_A$  maps an object B to the set of all morphisms from A to B. We can visualize this mapping as:
- The Yoneda lemma states that the natural transformations from  $y_A$  to any other functor  $F: \mathcal{C} \to Set$  are in bijection with the elements of F(A).
- So the object A is uniquely determined up to isomorphism by its associated representable functor  $y_A$ . Objects are characterized by their mapping properties.

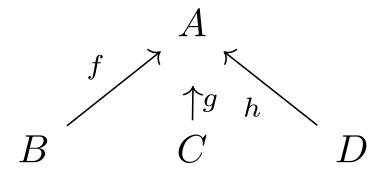


Figure 5: Yoneda Lemma

## Monads

A monad on a category  $\mathcal{C}$  is a triple  $(T, \eta, \mu)$ :

- +  $T:\mathcal{C}\to\mathcal{C}$  is an **endofunctor**, mapping objects and morphisms to themselves.
- $\eta:1_{\mathcal{C}} o T$  is a **unit** natural transformation from the identity functor to T.
- $\mu:T^2 o T$  is a **multiplication** natural transformation, mapping from T applied twice to once.

These satisfy monad axioms. Intuitively:

- T « enhances » objects in  $\mathcal C$
- $\eta$  embeds an object into its T-enhanced version
- $\mu$  « flattens » double enhancement  $T^2$  to single T

Examples formalize data augmentation, effects, semantics.

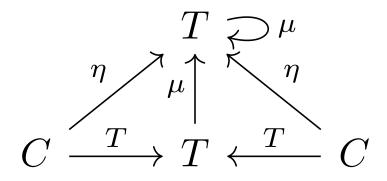


Figure 6: Monad

# **Applications**

- **Programming languages**: Category theory used in type theory, semantics. Monads in functional programming.
- **Physics**: Topological quantum field theories are functorial theories based on higher categories.
- Mathematics: Category theory clarifies foundations and connections between diverse fields.

### <u>Maths</u>