

## AI in Cryptography – project/laboratory 3

### Topic: Searching for “Good” 8×8 S-boxes with AI / Evolutionary Methods

#### Goal of the Exercise:

You will start from **random 8×8 S-boxes** (permutations on 256 values) and use **AI / ML / evolutionary / other heuristic methods** to search for **better S-boxes**.

“Better” here means, primarily:

- higher **minimum component nonlinearity** (target: **112**, which is the theoretical maximum for balanced 8-bit components of a permutation),
- and, as secondary goals: good **differential uniformity (DU)**, good **SAC/BIC** behaviour, high **algebraic degree**, and no **fixed points** or **opposite fixed points**.

You cannot beat the theoretical maximum nonlinearity for 8×8 permutation S-boxes (112), but you can:

- reach **112** more often than random search,
- simultaneously improve other cryptographic criteria.

You are free to choose the optimisation method (e.g., evolutionary algorithm, RL-like search, neural network, cellular automata), but you must **define it clearly** and compare it to basic baselines.

#### Objects and what we are searching over

- An **8×8 S-box** is a mapping  $S: \{0,1\}^8 \rightarrow \{0,1\}^8$  usually required to be a **permutation** on the set  $(\{0,1, \dots, 255\})$ . You can represent it as an array of 256 distinct integers from 0 to 255.
- We search over the **space of permutations** of 256 elements (the set of all possible 8×8 S-boxes).

Your job is to design a search procedure that starts from random permutations and **iteratively improves** them with respect to a **fitness function** built from the metrics below.

#### Core definitions (informal, but precise enough to implement)

##### 1. XOR

- Bitwise XOR is addition modulo 2 performed **bit-by-bit**.

- For scalars or bytes, you can think of  $a \oplus b$  as: each output bit is 1 if the input bits differ, 0 if they are equal.

## 2. Component Boolean functions of an S-box (intuitive description)

An  $8 \times 8$  S-box takes an 8-bit input and returns an 8-bit output.

You can think of it as being built from **8 separate Boolean functions**, one for each output bit.

- Let the S-box be a permutation table with 256 entries:  
for each input value  $x \in \{0, \dots, 255\}$ ,  
  
you have one output value  $S(x)$  (also  $0 \dots 255$ ).
- If you write each output value  $S(x)$  in binary (8 bits), you get:

$$S(x) = (b_7(x), b_6(x), \dots, b_1(x), b_0(x)),$$

where each  $b_j(x)$  is a single bit (0 or 1) – the **j-th output bit** for input  $x$ .

Now you can define **8 Boolean functions**:

- The **first Boolean function**  $f_0$  is:  
 $f_0(x) = b_0(x) \rightarrow$  its truth table is the least significant bit of every  $S(x)$ , taken in order for all  $x = 0, 1, \dots, 255$ .
- The **second Boolean function**  $f_1$  is:  
 $f_1(x) = b_1(x) \rightarrow$  its truth table is the second bit of every  $S(x)$ .
- ...
- The **eighth Boolean function**  $f_7$  is:  
 $f_7(x) = b_7(x) \rightarrow$  its truth table is the most significant bit of every  $S(x)$ .

In other words:

To get the truth table of the  $j$ -th Boolean function, take the  $j$ -th bit of **each output value** of the S-box (for all 256 inputs), and line them up in order. That sequence of 0/1 values *is* the truth table of that Boolean function.

These 8 Boolean functions together fully describe the S-box, since combining their bits back gives you the 8-bit output for every input. For each of these functions you can then compute **nonlinearity**, **algebraic degree**, etc., and the S-box quality is usually summarised by the **worst (minimum) value** among its 8 components (or over all nonzero linear combinations of them, depending on the convention).

## 3. Nonlinearity (NL) of a Boolean function

- Intuition: nonlinearity measures **how far** a Boolean function is from **all affine (linear + constant) functions**.
- Formal definition (you do *not* need to implement the brute-force version):  
Nonlinearity of  $(f)$  is the **minimum Hamming distance** between  $(f)$  and any affine function.
- Efficient way via Walsh–Hadamard spectrum (recommended):

1. Build the truth table of  $f$  as a vector of length  $(2^n)$  (here  $(n=8)$ ).
2. Map bits to  $((-1)^{f(x)})$  values (so  $0 \rightarrow +1, 1 \rightarrow -1$ ).
3. Compute the **Walsh–Hadamard transform** (FWHT) of this vector.
4. Let  $(M)$  be the maximum absolute value in the Walsh spectrum.
5. For  $(n=8)$ ,  

$$NL(f) = 2^{n-1} - \frac{1}{2} M = 128 - M/2$$

- **S-box nonlinearity** (what we optimise):  
 Take the **minimum** nonlinearity over all nonzero masks  $(v \text{ in } \{1, \dots, 255\})$  of the component functions  $(f_v)$ .  
 This is called the **minimum component nonlinearity**. Your target is **112**.

#### 4. Differential Uniformity (DU)

- We study differences  $(\Delta x)$  at the input and resulting differences  $(\Delta y)$  at the output.
- For each nonzero input difference  $(\Delta x \text{ in } \{1, \dots, 255\})$ :
  1. For all  $(x \text{ in } \{0, \dots, 255\})$ , compute  
 $(\Delta y = S(x) \oplus S(x \oplus \Delta x))$  (XOR).
  2. Count how many times each  $(\Delta y)$  occurs (build a histogram for this  $\Delta x$ ).
- The **differential uniformity DU** of  $(S)$  is the **maximum** count over all  $(\Delta x \text{ not equal } 0)$  and all  $\Delta y$ .
- Interpretation: smaller DU means better resistance to differential attacks; for  $8 \times 8$  S-boxes,  $DU = 4$  is considered very good.

#### 5. Strict Avalanche Criterion (SAC)

We consider a hash-like mapping; here you can apply the idea directly to the S-box (for each input bit and each output bit).

- For each input bit position  $(i) (0..7)$  and many random inputs  $(x)$ :
  1. Compute  $(y = S(x))$ .
  2. Flip only bit  $(i)$  of the input:  $(x' = x \oplus e_i)$  (vector with 1 at bit  $i$ , 0 elsewhere).
  3. Compute  $(y' = S(x'))$ .
  4. Record which output bits changed:  $(\Delta = y \oplus y')$ .
- Ideally, for a good S-box, these probabilities are close to **0.5**.

#### 6. Bit Independence Criterion (BIC)

- After flipping an input bit  $(i)$ , you look at **which output bits change**.
- For each pair of output bits  $((j, k))$ , and across many trials, you have two binary sequences  $(\Delta y_j)$  and  $(\Delta y_k)$  (1 if bit flipped, 0 otherwise).
- BIC asks whether these sequences are **independent**.

Algorithmically:

1. For a fixed input bit  $(i)$ , repeat the SAC experiment many times and record  $(\Delta y_j)$  for each output bit.
2. For each pair  $((j, k))$ , compute a correlation measure between their flip sequences (e.g., Pearson correlation or the  $\phi$  coefficient).

3. For a good S-box, these correlations should be **close to zero**.

## 7. Fixed points and opposite fixed points

- A **fixed point** is an input ( $x$ ) such that  $(S(x) = x)$ .
- An **opposite fixed point** is an input ( $x$ ) such that  $(S(x) = x \oplus 0xFF)$  (bitwise complement).
- Count how many such points exist. For cryptographic S-boxes we usually prefer **zero**.

## 8. Algebraic degree

- Each component function can be written as a polynomial over  $GF(2)$  in the input bits (Algebraic Normal Form, ANF).
- The **degree** of  $f$  is the maximum number of variables in any monomial with nonzero coefficient in its ANF.
- The **algebraic degree of S** is the maximum degree over all components.

(You don't need the explicit polynomial; you can compute the degree from the truth table via a Möbius transform.)

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## Your task

1. **Represent** S-boxes as permutations of  $0 \dots 255$  and implement checks that:
  - the S-box is indeed a permutation (no duplicates, all values  $0 \dots 255$  present).
2. **Implement metrics** for a given S-box:
  - minimum component nonlinearity (over all nonzero masks ( $v$ )),
  - differential uniformity (DU),
  - SAC summary,
  - BIC summary (e.g., distribution of correlations between output bits, optional),
  - number of fixed points and opposite fixed points,
  - algebraic degree.
3. **Baseline study**:
  - Generate a sample of random S-boxes (e.g., 100–300).
  - For each, measure the metrics above.
  - Plot/describe the **distribution** of: minimum NL, DU, degree, SAC deviations, fixed points.
4. **Define a fitness function** that combines the metrics:
  - Prioritise **minimum nonlinearity** (e.g., lexicographically or with the largest weight).
  - Penalise high DU (especially values  $> 4$ ).
  - Reward higher algebraic degree.
  - Penalise fixed and opposite fixed points.
  - Penalise deviations of SAC from 0.5.

Explain your design: why these terms, why these weights or priority rules.

5. **Choose a search method** (at least one of):
  - evolutionary algorithm / genetic algorithm (mutation on permutations, optional crossover),
  - reinforcement-learning-style search (policy for choosing modifications),

- surrogate-model-guided search (ML model approximating fitness),
- cellular automata or other heuristic scheme.

Clearly describe:

- the representation (how candidates are stored),
- variation operators (how you modify an S-box),
- selection strategy (how you keep or replace candidates).

**6. Run experiments:**

- Start from random S-boxes and apply your method for a fixed evaluation budget (e.g., total number of fitness evaluations).
- Track the **best-so-far metrics** as a function of evaluation count or generations.
- Compare against **baselines**:
  - random search (simply sampling new random permutations),
  - simple hill-climbing (only accept changes that improve fitness).

**7. Analyse results:**

- Show convergence plots (minimum NL, DU, fitness vs. evaluations).
- Present the best S-box you found (or top few) and its metrics.
- Compare to the baseline distributions:
  - Did you achieve minimum NL = 112?
  - Did you achieve  $DU \leq 4$ ?
  - Did you reduce fixed/opposite points to 0?
  - How does SAC/BIC look compared to random S-boxes?

**8. Write a short report (3–5 pages):**

- Problem description in your own words.
- Definitions of the metrics you used.
- Description of your fitness design and search method.
- Baseline vs. your method: plots, tables, commentary.
- Discussion: trade-offs (e.g., when NL improves, does DU worsen?), difficulties, and what you would try next with more time.

## What you should understand at the end

- Why **nonlinearity** is a key metric and why **112** is a theoretical maximum for 8×8 permutation S-boxes.
- How **differential uniformity**, **SAC**, **BIC**, **algebraic degree**, and **fixed points** contribute to the cryptographic “quality” of an S-box.
- How AI / heuristic search can help explore a huge combinatorial space and systematically find S-boxes that outperform naive random choices.