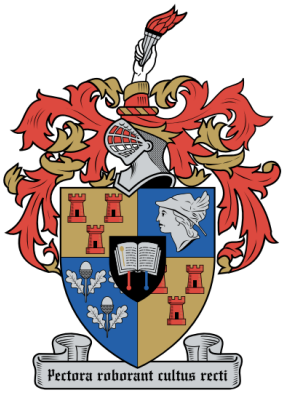
Automatic Speech Recognition for South African Languages



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ABSTRACT

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Key words:

Technical guidelines; Chapter outline; Examples

CHAPTER 1

INTRODUCTION

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CHAPTER 2

BACKGROUND

2.1 THE AUTOMATIC SPEECH RECOGNITION TASK

Speech recognition or automatic speech recognition (ASR) is the task of predicting the text, sentence, transcription, or sequence of characters for a corresponding speech recording. The general approach of ASR is to first compute feature representations called *speech features* from given audio data, and then map the speech features to characters or other tokens such as wordpieces.

2.1.1 Speech recognition data

The first step of creating an ASR model is to prepare the data that is used for the model. A single data entry for an ASR dataset is a speech recording (typically in waveform audio file format) along with a corresponding text transcription of the words which are spoken. We explain why the choice of dataset has a significant effect on the accuracy of ASR models.

The amount of training data. The more data that is available during training the better the ability of the ASR model to generalize. A small dataset (with few unique voices) may lead to overfitting to the specific voices in the dataset.

The difference between read and conversational speech. Humans tend to pronounce their speech more clearly when reading text from a transcript, and recent ASR models can predict read speech very accurately [1]. In contrast, accurately predicting conversational speech is still a major challenge in ASR.

Tonal qualities for different accents. The accent of the speaker, which depends on the gender, age and ethnicity of the speaker is another important factor to bare in mind. Generally, male speakers have a lower pitch compared to female speakers. Similarly, adult voices are generally have a lower pitch compared to children.

The audio quality of speech recordings. The position of the microphone, the quality of the microphone, the number of microphones available, and the presence of background noise contribute

towards the quality of speech recordings.

2.1.2 Speech features

Computing speech features is useful because audio data consists of a one-dimensional array of integers that describe the amplitude of the recorded sound wave for small time periods called *samples* (see Figure **??**). The issue is that mapping a sequence of amplitude measurements to a sequence of characters is impractical. A common technique used to compute speech features is to transform the audio data from the amplitude-time domain to the frequency-time domain, using the Fast Fourier Transform (FFT) algorithm [2], [3]. However, in this study we discuss a more recent feature extraction approach based on contrastive learning.

2.2 WAV2VEC 2.0

wav2vec 2.0 provides a framework for learning speech representations using unlabeled speech data. wav2vec 2.0 can be applied to a variety of speech-related tasks such as speech recognition, speech translation, and speech classification. It has proved to be particularly useful in cases where a lot of unlabeled data is available, but not much labeled data is available. The authors show that using just ten minutes of labeled data and pre-training on 53k hours of unlabeled data still achieves 4.8/8.2 WER on the clean/other test sets of Librispeech [].

The general two-step approach for using wav2vec 2.0 for any speech-related task is the following. First train (or "pre-train") the wav2vec 2.0 model on a large corpus of unlabeled data, which will give you a model that converts audio data into speech features. After pre-training, fine-tune the wav2vec 2.0 model for speech recognition using a much smaller corpus of labeled data. Fine-tuning wav2vec 2.0 for speech recognition involves replacing the head of the pretrained model with an appropriate loss function such as CTC.

The wav2vec 2.0 architecture is described by the network diagram in Figure 2.1. There are three important components of the wav2vec 2.0 architecture: the feature-encoder, the quantization module, and the context network. The objective of wav2vec 2.0 becomes clear only after understanding each of the three components. Thus, the way in which wav2vec 2.0 is trained is only explained after discussing the three components in detail.

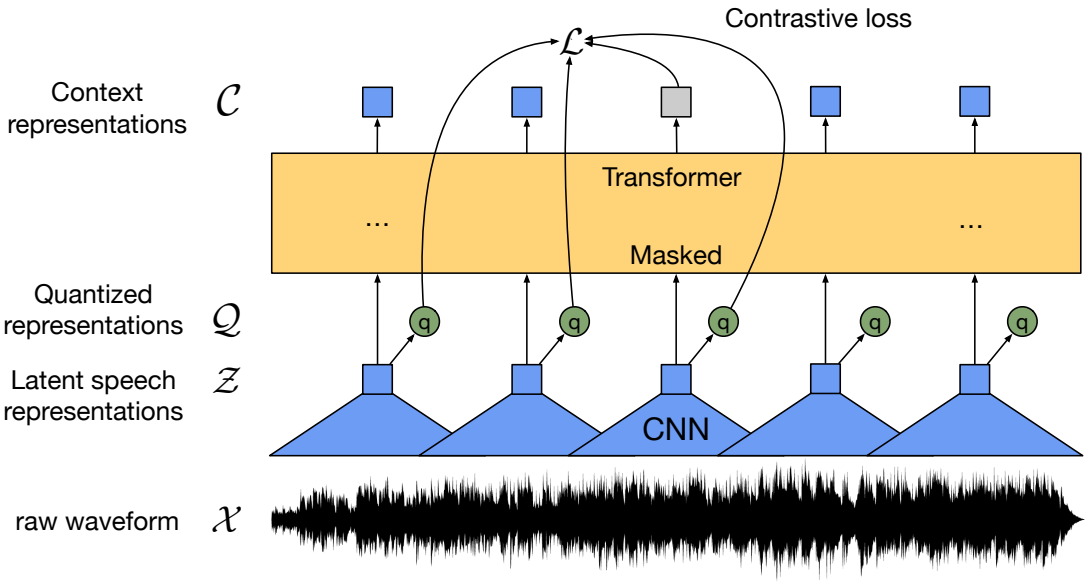

Figure 2.1: A visualization of the network architecture of wav2vec 2.0, taken from the original paper [**?**].

2.2.1 Feature encoder

The feature encoder maps the raw audio data (speech recordings) to latent speech representations: $f : \mathcal{X} \rightarrow \mathcal{Z}$. Thus, the feature encoder f maps a sequence of audio samples $\mathbf{x}^{(1)}, \ldots \mathbf{x}^{(N)}$ into a sequence of latent feature vectors $\mathbf{z}^{(1)}, \ldots, \mathbf{z}^{(t)}$.

The audio data is scaled to have zero mean and unit variance before going into the feature encoder. The feature encoder consists of seven convolutional blocks, where each convolutional block contains a temporal[1] convolutional layer, a layer normalization layer, and the GELU activation function.

Each temporal convolutional layer contains 512 channels. The strides of the seven temporal convolutional layers are $(5, 2, 2, 2, 2, 2, 2)$ and the kernel widths are $(10, 3, 3, 3, 3, 2, 2)$. The strides used results in each $\mathbf{z}^{(t)}$ representing 25ms of audio (or 400 input samples), strided by about 20ms.

Layer normalization scales the logits after each convolutional layer to have zero mean and unit variance, which has shown to increase the chances of earlier convergence. GELU has become a popular activation function for NLP related tasks

[1] One-dimensional convolutional layer designed for sequential data.

2.2.2 Quantization module

The quantization module maps the latent speech features into discrete speech units: $h : \mathcal{Z} \rightarrow \mathcal{Q}$. Speech is sound, and sound is represented as a continuous function. We would like to use Transformers and so continuous representations will not work. Unlike written language, which can be discretized into tokens such as characters or sub-words, speech does not have natural sub-units [4]. The quantization module is a method in which discrete speech units are automatically learned using product quantization.

To perform product quantization, the quantization module uses G *codebooks*, where each codebook contains V *codebook entries* $\mathbf{e}_1, \ldots, \mathbf{e}_V$.

The following steps describe the process of automatically assigning a discrete speech unit to each latent speech feature $\mathbf{z}^{(t)}$:

1. Transform $\mathbf{z}^{(t)}$ into $\mathbf{l}^{(t)} \in \mathbb{R}^{G \times V}$ using a linear transformation.
2. Choose one codebook entry $\mathbf{e}_g$ for each codebook $g = 1, \ldots, G$, based on the values of $\mathbf{l}^{(t)}$.
3. Concatenate the codebook entries $\mathbf{e}_1, \ldots, \mathbf{e}_G$.
4. Transform the resulting vector into $\mathbf{q}^{(t)} \in \mathbb{R}^f$ using another linear transformation.

The two linear transformations are feed-forward neural networks $\text{FF}_1 : \mathbb{R}^f \rightarrow \mathbb{R}^{G \times V}$ and $\text{FF}_2 : \mathbb{R}^d \rightarrow \mathbb{R}^f$. In the second step above, the codebook entry $\mathbf{e}_g$ is chosen as the one with the argmax of the logits $\mathbf{l}$. Choosing the codebook entries in this way is non-differentiable. Fortunately, we can use the Gumbel softmax to choose codebook entries in a fully differentiable way. $\mathbf{e}_g$ is chosen as the entry that maximizes

$$p_{g,v} = \frac{\exp\left(\mathbf{l}_{g,v}^{(t)} + n_v\right)/\tau}{\sum_{k=1}^{V} \exp\left(\mathbf{l}_{g,k}^{(t)} + n_k\right)/\tau}, \tag{2.1}$$

where τ is a non-negative temperature, $n = -\log\left(-\log\left(u\right)\right)$, and u are uniform samples from $\mathcal{U}(0, 1)$. During the forward pass, codeword i is chosen by $i = \text{argmax}_j p_{g,j}$ and in the backward pass, the true gradient of the Gumbel softmax outputs is used.

2.2.3 Context network

The context network creates contextualized representations from the feature encoder outputs. The main component of the context network is a Transformer encoder [5]. Due to the popularity of Transformers we have ommited a detailed explanation of the Transformer architecture. Interested readers should refer to [5] as well as guides such as [6].

The following steps describe how the latent feature vectors are processed before being fed into the Transformer encoder.

1. The latent feature vectors are fed into a *feature projection layer* to match the model dimension of the context network.
2. Positional embedding vectors are added to the inputs using *relative positional encoding* [7] instead of absolute positional encoding. The relative positional encoding is implemented using grouped convolution [8].
3. Inputs are fed into the GELU activation function, followed by layer normalization.

The details for the Transformer encoder of the LARGE version of wav2vec 2.0 is as follows:

- Numer of Transformer blocks: $B = 24$.
- Model dimension: $H_m = 1024$.
- Inner dimension: $H_{ff} = 4096$.
- Numer of attention heads: $A = 16$.

2.2.4 Masking

In Wav2Vec 2.0, the masking process plays a crucial role in pre-training. It involves two hyperparameters: $p = 0.065$ and $M = 10$. The masking is performed as follows:

1. All time steps from the latent speech representation space Z are considered.
2. A proportion p of vectors from the previous step is sampled without replacement. These sampled vectors determine the starting indices.
3. For each starting index i, consecutive M time steps are masked. There may be overlap

between these masked spans.

2.2.5 Training Objective

The training objective in Wav2Vec 2.0 consists of two main loss functions: contrastive loss (L_m) and diversity loss (L_d).

2.2.5.1 Contrastive Loss (L_m)

The contrastive loss is responsible for training the model to predict the correct quantized representation q_t from a set of candidate representations $q' \in Q_t$. The set Q_t includes the target q_t and K distractors sampled uniformly from other masked time steps. It is calculated as follows:

$$L_m = -\log \frac{\exp(\text{sim}(c_t, q_t)/\kappa)}{\sum_{q' \sim Q_t} \exp(\text{sim}(c_t, q')/\kappa)} \tag{2.2}$$

Here, κ represents a constant temperature, and $\text{sim}(a, b)$ denotes the cosine similarity between context representation c_t and quantized representations q. This loss encourages the model to assign high similarity to the true positive target and penalize high similarity with negative distractors.

2.2.5.2 Diversity Loss (L_d)

The diversity loss is a regularization technique aimed at promoting the equal use of codebook entries. It is based on entropy and is calculated as:

$$L_d = -\sum_{g=1}^{G} \sum_{v=1}^{V} \bar{p_{g,v}} \log \bar{p_{g,v}} \tag{2.3}$$

This loss maximizes the entropy of the softmax distribution $\bar{p_{g,v}}$ over codebook entries, encouraging the model to utilize all code words equally.

2.2.6 Pre-training and Contrastive Loss

In the pre-training phase, a contrastive task is used to train the model on unlabeled speech data. A mask is randomly applied in the latent space, where approximately 50% of the projected latent feature vectors are masked. These masked positions are replaced by the trained vector $Z'M$ before

input to the Transformer network. The contrastive loss during pre-training encourages the model to differentiate between positive target representations and negative distractors.

2.2.7 Diversity Loss during Pre-training

To further ensure diversity in codebook usage, a diversity loss is added during pre-training. This loss maximizes the entropy of the softmax distribution over codebook entries, preventing the model from favoring a small sub-group of entries.

The overall training objective is a combination of contrastive loss and diversity loss, with a hyper-parameter α:

$$L = L_m + \alpha L_d \tag{2.4}$$

where α is a tuned hyperparameter.

2.2.8 Fine-tuning

2.3 CONNECTIONIST TEMPORAL CLASSIFICATION

Connectionist Temporal Classification (CTC) [9] is an algorithm (or loss function) developed to map a sequence of speech features to a sequence of characters. The authors of the wav2vec 2.0 paper suggest that if finetuning wav2vec 2.0 for ASR one should add a linear layer and CTC on top of the wav2vec 2.0 network after pretraining on unlabeled audio data.

2.3.1 Decoding with CTC

2.3.2 Improving performance with a language model

2.4 FINETUNING PRETRAINED WAV2VEC 2.0 MODELS

Pretraining on unlabeled audio data with wav2vec 2.0 is expensive and inconsistent.

2.4.1 XLS-R

CHAPTER 3

EXPERIMENTAL SETUP

3.1 DATA SETS

We collected three datasets from which we created the datasets used for pretraining and finetuning. Note that the datasets for pretraining and finetuning are mutually exclusive. We describe the three datasets in the following paragraphs.

NCHLT dataset Majority is used for pre-training, the rest is used for fine-tuning.

FLEURS dataset Used for fine-tuning

High Quality TTS dataset Used for fine-tuning

3.2 PRE-TRAINING

3.3 FINE-TUNING

3.4 EVALUATION METRICS

Word error rate The word error rate (WER) is equal to the number of character-level errors in the predicted transcript, divided by the number of words in the true transcript. One character-level error is corrected using one of three operations: inserting a new character, deleting an existing character, or substituting an existing character for a new character.

CTC Score/loss ...

CHAPTER 4

RESULTS

CHAPTER 5

CONCLUSIONS

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