

Documentation

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Contents

1	Share Market	7
1.1	Basic Definitions	7
1.2	Problem Definitions	7
1.2.1	Definitions	7
1.3	Problem Description	10
1.4	Solution - Dynamic Programming	10
1.4.1	Initial State	11
1.4.2	Optimal Substructure	11
1.5	Solution - Simple	11
2	Sum of the Range	13
2.1	Problem Definition	13
2.1.1	Input	13
2.1.2	Output	13
2.2	Example	13
2.3	Solution Naive	14
2.4	Solution Optimized	14
3	Longest Increasing Subsequence	15
3.1	Basic Definitions	15
3.1.1	Examples	16
3.2	Problem Definition	16
3.2.1	Input	16
3.2.2	Output	16
3.2.3	Goal	16
3.3	Naive Algorithm	17
3.4	Recursive Algorithm	17
4	Domino Arrangements	19
4.1	Problem Definition	19
4.2	Examples	19
4.3	Algorithm	20
4.4	Algorithm	21
4.5	Correctness of the Algorithm	21

Todo list

Write this theorem appropriately and prove it. 15

Chapter 1

Share Market

1.1 Basic Definitions

Definition 1 (Natural). Given $v \in \mathbb{N}$, we define:

$$\mathbb{N}_v = \{n \in \mathbb{N} : n \geq v\} \quad (1.1)$$

Definition 2 (Range). Given $n \in \mathbb{N}_2$, we define the **Range of n** as:

$$[[n]] = \{0, \dots, n-1\} = \{i \in \mathbb{N} : i < n\} \quad (1.2)$$

Definition 3 (Square). Given $n \in \mathbb{N}_2$, we define the **Square of n** as:

$$sq(n) = [[n]] \times [[n]] \quad (1.3)$$

1.2 Problem Definitions

You are given an array in which the i th element is the price of a given stock on the day i . You are permitted to complete at most 1 transaction (i.e. buy once and sell once). What is the maximum profit you can gain?

Notice that you cannot sell a stock before buying it.

1.2.1 Definitions

Definition 4 (Price Tuple). Given $n \in \mathbb{N}_2$, a **Price Tuple** p is a positive real tuple with n elements:

$$p = \langle p_0, \dots, p_{n-1} \rangle \in \mathbb{R}_+^n \quad (1.4)$$

Definition 5 (Operation Pair). Given $n \in \mathbb{N}_2$, an **Operation Pair** ω is a pair:

$$\omega = \langle b(\omega), s(\omega) \rangle \in sq(n) \quad , b < s \quad (1.5)$$

when the context is clear enough, we will simply write $\omega = \langle b(\omega), s(\omega) \rangle = \langle b, s \rangle$.

Definition 6 (Ascending Operation Pair). Given $n \in \mathbb{N}_2$ and a Price Tuple p , an Operation Pair $\omega = \langle b, s \rangle$ is said to be **Ascending** when:

$$p_b \leq p_{b+1} \leq \dots \leq p_{s-1} \leq p_s \quad (1.6)$$

Definition 7 (Maximal Operation Pair). Given $n \in \mathbb{N}_2$ and a Price Tuple p , we say that an Ascending Operation Pair $\omega = \langle b, s \rangle$ is a **Maximal Operation Pair**, or simply that ω is **Maximal**, when it is Ascending and it satisfies the conditions:

$$p_s - p_b \geq p_{s+1} - p_b \quad , \text{ if } s + 1 < n \quad (1.7)$$

$$p_s - p_b \geq p_s - p_{b-1} \quad , \text{ if } b - 1 > 0 \quad (1.8)$$

Theorem 1. Given $n \in \mathbb{N}_2$ and a Price Tuple p , an Operation Pair $\omega = \langle b, s \rangle$ is Maximal if and only if the following conditions are satisfied:

$$p_s \geq p_{s+1} \quad , \text{ if } s + 1 < n \quad (1.9)$$

$$p_b \leq p_{b-1} \quad , \text{ if } b - 1 > 0 \quad (1.10)$$

Proof. It comes directly from the inequalities of the Definition 7. \square

Definition 8 (Independent Operation Pairs). Given two Operation Pairs ω, ω' , we say that ω, ω' are **Independent** when:

$$s(\omega) < b(\omega') \vee s(\omega') < b(\omega) \quad (1.11)$$

moreover, given a set of Operation Pairs $S = \{\omega_0, \dots, \omega_{m-1}\}$, we say that S is independent when it satisfies:

$$\forall \omega \forall \omega' (\omega \in S \wedge \omega' \in S \rightarrow \omega, \omega' \text{ are independent}) \quad (1.12)$$

i.e. all Operation Pairs $\omega, \omega' \in S$ are pairwise Independent.

Definition 9 (Operation Set). Given $n \in \mathbb{N}_2$, an **Operation Set** $S \subseteq sq(n)$ is a set which satisfies:

1. $\forall \omega (\omega \in S \rightarrow \omega \text{ is an Operation Pair})$
2. S is independent

Moreover, we denote the set of all possible Operations Set by:

$$\Omega_n = \{S \subseteq sq(n) : S \text{ is an Operation Set}\} \quad (1.13)$$

Definition 10. Given an $n \in \mathbb{N}_2$, a Price Tuple p , and an Operation Set $S \in \Omega_n$, the price $\rho(S)$ of S is:

$$\rho(S) = \sum_{\omega \in S} p_{s(\omega)} - p_{b(\omega)} \quad (1.14)$$

Lemma 1. Given an $n \in \mathbb{N}_2$ and a Price Tuple p , if a Operation Set $S^* \in \Omega_n$ is has optimal price, then all Operation Pairs of S^* are Maximal, i.e.:

$$\rho(S^*) = \max_{S \in \Omega_n} [\rho(S)] \rightarrow \forall \omega (\omega \in S^* \rightarrow \omega \text{ is Maximal}) \quad (1.15)$$

Proof. Suppose $S^* \in \Omega_n$ is a Operation Set with optimal price. Suppose by contradiction that $\exists \omega (\omega \in S^* \wedge \omega \text{ is not Maximal})$, and let $\omega = \langle b, s \rangle$ be such Operation Pair. By Theorem 1, one of the following cases must be true:

1. $p_s < p_{s+1} \quad , \text{ if } s + 1 < n$
2. $p_b > p_{b-1} \quad , \text{ if } b - 1 > 0$

Case 1

Suppose that $p_s < p_{s+1}$, $s + 1 < n$.

Case 1.1

Suppose in addition that $\omega' = \langle b, s + 1 \rangle$ is independent of all $\omega \in S^* \setminus \{\omega\}$. Let $S' = (S^* \setminus \{\omega\}) \cup \{\omega'\}$. Notice that

$$\rho(\omega) = p_{s(\omega)} - p_{b(\omega)} < p_{s(\omega')} - p_{b(\omega')} = \rho(\omega') \quad (1.16)$$

Therefore $\rho(S^*) < \rho(S')$ (because S^* and S' differ only in the elements above), contradicting the optimality of S^* .

Case 1.2

Suppose in addition that $\exists \omega'(\omega' \in S^* \wedge b(\omega') = s + 1)$, and let $\omega' = \langle s + 1, s' \rangle$ be such Operation Pair. Let $\omega'' = \langle b, s' \rangle$ and $S'' = (S^* \cup \omega'') \setminus \{\omega, \omega'\}$. Notice that

$$\begin{aligned} \rho(\omega) + \rho(\omega') &= \\ (p_{s(\omega)} - p_{b(\omega)}) + (p_{s(\omega')} - p_{b(\omega')}) &= \\ (p_s - p_b) + (p_{s'} - p_{s+1}) &= \\ (p_{s'} - p_b) + (p_s - p_{s+1}) &< \\ p_{s'} - p_b &= \\ \rho(\omega'') \end{aligned} \quad (1.17)$$

Therefore $\rho(S^*) < \rho(S'')$ (because S^* and S' differ only in the elements above), contradicting the optimality of S^* .

Case 1 - Conclusion

The hypothesis $p_s < p_{s+1}$, $s + 1 < n$ leads to a contradiction. Therefore, if S^* is optimal, then $p_s \geq p_{s+1}$, $s + 1 < n$, as we wanted to prove.

Case 2

Suppose that $p_b > p_{b-1}$, $b - 1 > 0$. The proof of this case is similar to the proof of the Case 1.2.1, but this uses b and $b - 1$ instead of s and $s - 1$. \square

Definition 11. Given an $n \in \mathbb{N}_2$, let $\omega = \langle b, s \rangle \in sq(n)$ be an Operation Pair. We say that i is included in ω , and denote by $i \triangleright \omega$, when $b \leq i \leq s$.

Definition 12. Given an $n \in \mathbb{N}_2$ and a Price Tuple p , we say that an Operation Set $S \in \Omega_n$ is Great when:

$$\forall i \left((i \in [[n - 1]] \wedge p_i < p_{i+1}) \rightarrow (\exists \omega (\omega \in S \wedge i \triangleright \omega \wedge (i + 1) \triangleright \omega)) \right) \quad (1.18)$$

i.e. the indices of all ascending pairs of p are included in ω .

Lemma 2. Given an $n \in \mathbb{N}_2$ and a Price Tuple p , if a Operation Set $S^* \in \Omega_n$ is has optimal price, then S^* is Great.

Proof. Suppose by contradiction that S^* is not Great, i.e.

$$\exists i \left((i \in [[n - 1]] \wedge p_i < p_{i+1}) \wedge (\nexists \omega (\omega \in S \wedge i \triangleright \omega \wedge (i + 1) \triangleright \omega)) \right) \quad (1.19)$$

and let i be such value.

Case 1

Suppose that $i \triangleright \omega \wedge \neg(i+1 \triangleright \omega)$ for some $\omega \in S^*$. If $i+1 \triangleright \omega'$ for some ω' , then join ω and ω' to get an Operation Set with cost greater than S^* . If that is not the case, extend ω to include $i+1$ to get an Operation Set with cost greater than S^* . In all cases, the original hypothesis contradicts the optimality of S^* .

Case 2

Suppose that $\neg(i \triangleright \omega) \wedge i+1 \triangleright \omega$ for some $\omega \in S^*$. This case is similar to the previous one, except that the Operation Pair has to be extended backwards instead of forwards.

Case 3

Suppose that $\forall \omega (\omega \in S^* \rightarrow (\neg(i \triangleright \omega) \wedge \neg(i+1 \triangleright \omega)))$. Create a new Operation Set $S' = S^* \uplus \{(i, i+1)\}$, which has greater cost, contradicting the optimality of S^* .

Conclusion

The cases above cover all possible cases. Therefore, the lemma has been proven by contradiction. \square

Lemma 3. *Given an $n \in \mathbb{N}_2$ and a Price Tuple p , if an Operation Set $S^* \in \Omega_n$ satisfy:*

1. $\forall \omega (\omega \in S^* \rightarrow \omega \text{ is Maximal})$
2. S^* is Great

then S has optimal price, i.e $\rho(S) = \max_{S' \in \Omega_n} [\rho(S')]$.

It is tiresome to write this proof so I won't.

Theorem 2. *Given an $n \in \mathbb{N}_2$ and a Price Tuple p , an Operation Set $S^* \in \Omega_n$ has optimal price if and only if it satisfies:*

1. $\forall \omega (\omega \in S^* \rightarrow \omega \text{ is Maximal})$
2. S^* is Great

Proof. Lemmas 1 and 2 prove the \Rightarrow part. Lemma 3 proves the \Leftarrow . \square

1.3 Problem Description

Input a Price Tuple p

Output a value $z \in \mathbb{R}$

Goal $\max z$

1.4 Solution - Dynamic Programming

Given that you buy on a day i , while the value does not decrease, you keep it. If it will drop the next day, you sell it.

1.4.1 Initial State

Find the first pair $\langle b, s \rangle$ for which the price increases, i.e. the first pair of consecutive indices for which $p_s - p_b > 0$.

1.4.2 Optimal Substructure

Let:

1. $\langle b_l, s_l \rangle$ be the last operation;
2. p_{s_l} be the price of the last sell;
3. i the index of the current day;
4. p_i the stock price of the current day;
5. p_{i-1} the stock price of the previous day;

Cases:

1. if $(s_l == i - 1) \wedge (p_{s_l} \leq p_i)$
 - (a) replace $\langle b_l, s_l \rangle$ by $\langle b_l, i \rangle$
 - (b) rationale: if the stock price is increasing, you keep it;
2. if $(s_l < i - 1) \wedge (p_{i-1} \leq p_i)$
 - (a) add $\langle i - 1, i \rangle$
 - (b) rationale: if you have no stock and it will increase, you buy and sell it;
3. the others are cases in which the stock price drops, and there is nothing to do;

Complexity: $\mathcal{O}(n)$

1.5 Solution - Simple

Algorithm 1 Simple-Algorithm

- 1: $\Delta p \leftarrow [\langle p_{i+1} - p_i \rangle \text{ for } i \in \{0, \dots, n - 2\}]$
 - 2: $\Delta p_{>} \leftarrow \text{filter}(\Delta p, (>= 0))$
 - 3: $r \leftarrow \text{sum}(\Delta p_{>})$
 - 4: **return** r
-

The filter takes care of removing the drops on the price, while the sum of the differences computes the gains.

Complexity: $\mathcal{O}(n)$

Chapter 2

Sum of the Range

2.1 Problem Definition

2.1.1 Input

1. two natural numbers $m, n \in \mathbb{N}$
2. an array of values $v \in \mathbb{R}^n$
3. an set of queries $Q = \{\langle i, j \rangle : i, j < n\}^m$

2.1.2 Output

The output $a : Q \rightarrow \mathbb{R}^m$ is the answer function of all queries Q . The answer $a(q)$ to a query $q = \langle i, j \rangle$ is given by:

$$a(q) = \sum_{k=i}^j v[k] \quad (2.1)$$

2.2 Example

$$n = 6 \quad (2.2)$$

$$m = 3 \quad (2.3)$$

$$v = \langle 1, -2, 3, 10, -8, 0 \rangle \quad (2.4)$$

$$q = \langle \langle 0, 2 \rangle, \langle 1, 4 \rangle, \langle 3, 3 \rangle \rangle \quad (2.5)$$

$$a = \langle 2, 3, 10 \rangle = \langle 1 - 2 + 3, -2 + 3 + 10 - 8, 10 \rangle \quad (2.6)$$

2.3 Solution Naive

Algorithm 2 Naive

Require: $m \in \mathbb{N}, n \in \mathbb{N}, v \in \mathbb{R}^n, Q = \{\langle i, j \rangle : i, j < n\}^m$

```

1:  $a = \text{zeros}(m)$ 
2: for  $k \in \{0, \dots, m-1\}$  do
3:    $\langle i, j \rangle \leftarrow Q[k]$ 
4:    $a[k] \leftarrow \text{sum}(\langle v[i], \dots, v[j] \rangle)$ 
5: return  $a$ 

```

2.4 Solution Optimized

Notice that:

$$a(\langle i, j \rangle) = \begin{cases} a(\langle 0, j \rangle) - a(\langle 0, i-1 \rangle) & , \text{ if } i > 0 \\ a(\langle 0, j \rangle) & , \text{ if } i = 0 \end{cases} \quad (2.7)$$

The algorithm is then: compute all values $a(\langle 0, j \rangle), \forall j \in \{0, \dots, n-1\}$ and then answer all queries using the formula above.

Algorithm 3 Opt

Require: $m \in \mathbb{N}, n \in \mathbb{N}, v \in \mathbb{R}^n, Q = \{\langle i, j \rangle : i, j < n\}^m$

```

1:  $\Delta s \leftarrow \text{zeros}(n+1)$ 
2: for  $i \in \{0, \dots, n-1\}$  do
3:    $\Delta s[i+1] \leftarrow \Delta s[i] + v[i]$ 
4:  $a = \text{zeros}(m)$ 
5: for  $k \in \{0, \dots, m-1\}$  do
6:    $\langle i, j \rangle \leftarrow Q[k]$ 
7:    $a[k] = \Delta s[j+1] - \Delta s[i]$ 
8: return  $a$ 

```

Chapter 3

Longest Increasing Subsequence

3.1 Basic Definitions

Definition 13 (Sequence). A **Sequence** is a function f from the subset $I \subseteq \mathbb{N}$ of the Natural Numbers into a Codomain Cd :

$$f : I \rightarrow Cd \quad (3.1)$$

Denote by $\mathcal{S}(I, Cd)$ the set of all sequences of I into Cd :

$$\mathcal{S}(I, Cd) = \{f : I \rightarrow Cd\} \quad (3.2)$$

Definition 14 (Successor). Let $I \subseteq \mathbb{N}$ be a set. The successor is a bijective function:

$$\sigma_I : I \setminus \max(I) \rightarrow I \setminus \min(I) \quad (3.3)$$

$$i \mapsto \min \{j \in I : j > i\} \quad (3.4)$$

Definition 15 (Increasing Sequence). Given sets $I \subseteq \mathbb{N}$ and Cd , a sequence $f \in \mathcal{S}(I, Cd)$ is said to be **increasing** when the values of the sequence increase, i.e.:

$$f \text{ is an increasing sequence} \quad \leftrightarrow \quad \forall i (i \in I \setminus \max(I) \rightarrow f(i) \leq f(\sigma_I(i))) \quad (3.5)$$

Definition 16 (Length of a Sequence). Given sets $I \subseteq \mathbb{N}$ and Cd , and a sequence $f \in \mathcal{S}(I, Cd)$, the length of the sequence f , denoted by $\mathfrak{L}(f)$ is the cardinality (number of elements) of its domain I :

$$\mathfrak{L}(f) = |I| \quad (3.6)$$

Definition 17 (Subsequence). Let $f \in \mathcal{S}(I, Cd)$ be a sequence from $I \subseteq \mathbb{N}$ into a Codomain Cd . A sequence $g \in \mathcal{S}(I', Cd')$ is called a subsequence of f , and denoted by $g \preceq f$, when $I' \subseteq I$ and $Cd' \subseteq Cd$:

$$\forall f (f \in \mathcal{S}(I, Cd) \rightarrow \forall g (g \in \mathcal{S}(I', Cd') \rightarrow (g \preceq f \leftrightarrow (I' \subseteq I \wedge Cd' \subseteq Cd)))) \quad (3.7)$$

Moreover, denote by:

1. $\mathfrak{s}(f)$ the set of all subsequences of the sequence f ;
2. $\mathfrak{d}(g) \subseteq I$ the domain of a subsequence $g \preceq f$;

Theorem 3. Every sequence of n elements can be indexed using $\{0, \dots, n-1\}$.

Write this theorem appropriately and prove it.

3.1.1 Examples

We will write the sequence using ordered pairs. For example, a sequence v with $I = \{0, 1, 3, 10\}$ and $Cd = \mathbb{R}$ could be:

$$v = \{\langle 0, 4.7 \rangle, \langle 1, -8.8 \rangle, \langle 3, -5.4 \rangle, \langle 10, 2.3 \rangle\} \quad (3.8)$$

The elements of the sequence will be written using common function notation:

$$\begin{aligned} v(0) &= 4.7 \\ v(1) &= -8.8 \\ v(3) &= -5.4 \\ v(10) &= 2.3 \end{aligned}$$

In general, we are interested in sequences of n elements for which $I = \{0, \dots, n-1\}$. In such cases, we will write the sequence simply as a tuple:

$$\begin{aligned} v &= \{\langle 0, 4.7 \rangle, \langle 1, -8.8 \rangle, \langle 2, -5.4 \rangle, \langle 3, 2.3 \rangle\} \\ &\equiv \langle 4.7, -8.8, -5.4, 2.3 \rangle \end{aligned} \quad (3.9)$$

3.2 Problem Definition

3.2.1 Input

1. a natural number $n \in \mathbb{N}$;
2. A sequence $v \in \mathcal{S}(\{0, \dots, n-1\}, \mathbb{R})^1$

3.2.2 Output

Define $\mathcal{I}(v) = \{s \in \mathfrak{s}(v) : s \text{ is increasing}\}$ the set of all increasing subsequences of v . An output is any element $s \in \mathcal{I}(v)$.

3.2.3 Goal

Find the longest increasing subsequence of v :

$$s^* = \arg \max_{s \in \mathcal{I}(v)} \mathfrak{L}(s) \quad (3.10)$$

¹Notice that here we are indexing using the naturals $\{0, \dots, n-1\}$ and not a general $I \subseteq \mathbb{N}$. This is because of the Theorem 3.

3.3 Naive Algorithm

Algorithm 4 Naive

Require: $n \in \mathbb{N}, v \in \mathbb{R}^n$

- 1: $S \leftarrow \text{generate_all_subsequences}(v)$
 - 2: $S' \leftarrow \text{filter}(\text{is_increasing_sequence}, s)$
 - 3: $s^* \leftarrow \arg \max_{s \in S'} \mathfrak{L}(s)$
 - 4: **return** s^*
-

3.4 Recursive Algorithm

Define:

1. $B(i)$: the set of indices lower than i for which the correspondent element in the sequence is smaller than $v(i)$. This is going to be used to compose the subproblems.

$$B(i, v) = \{j \in \mathfrak{D}(v) : j < i \wedge v(j) < v(i)\} \quad (3.11)$$

2. $L(i)$: the longest increasing subsequence ending at the index i , so that its last element is $v(i)$. It can be defined recursively by the Equation 3.12. In it, \oplus is the concatenation of two sequences and when $B = \emptyset$, the output of the $\arg \max$ is an empty sequence.

$$L(i, v) = \arg \max_{j \in B(i, v)} \mathfrak{L}(L(j)) \oplus \langle v(i) \rangle \quad (3.12)$$

The recursive and the dynamic programming algorithms differ in only one thing: the latter stores the intermediate values (memoization) so that no recomputation is made. The former recomputes the solutions of the subproblems every time they are needed.

Algorithm 5 Dynamic Programming / Recursive

Require: $n \in \mathbb{N}, v \in \mathbb{R}^n$

- 1: $S \leftarrow \langle L(i) : i \in \mathfrak{D}(v) \rangle$
 - 2: $s^* \leftarrow \arg \max_{s \in S} \mathfrak{L}(s)$
 - 3: **return** s^*
-

The complexity of the Recursive algorithm is exponential while the Dynamic Programming algorithm is $\mathcal{O}(n^2)$ (for each element of the sequence, in the worst case scenario it visits all the previous values, so it is quadratic).

Chapter 4

Domino Arrangements

4.1 Problem Definition

1. Input:

(a) an natural number $n \in \mathbb{N}$;

2. Output:

(a) a list of all the ways the dominos can be arranged in the $2 \times n$ grid;

4.2 Examples

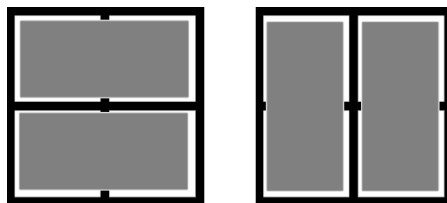
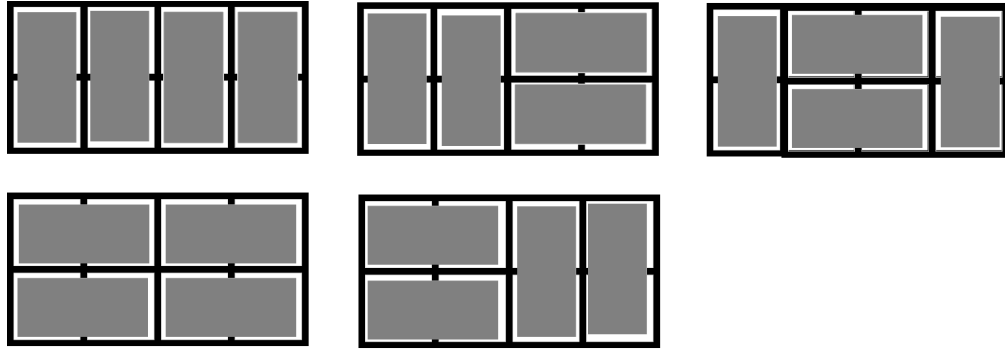


Figure 4.1: all arrangements in a 2×2 grid.



Figure 4.2: all arrangements in a 2×3 grid.

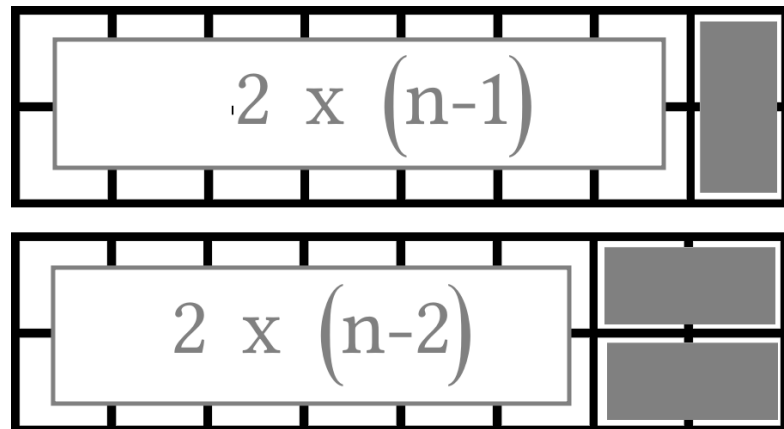
Figure 4.3: all arrangements in a 2×4 grid.

4.3 Algorithm

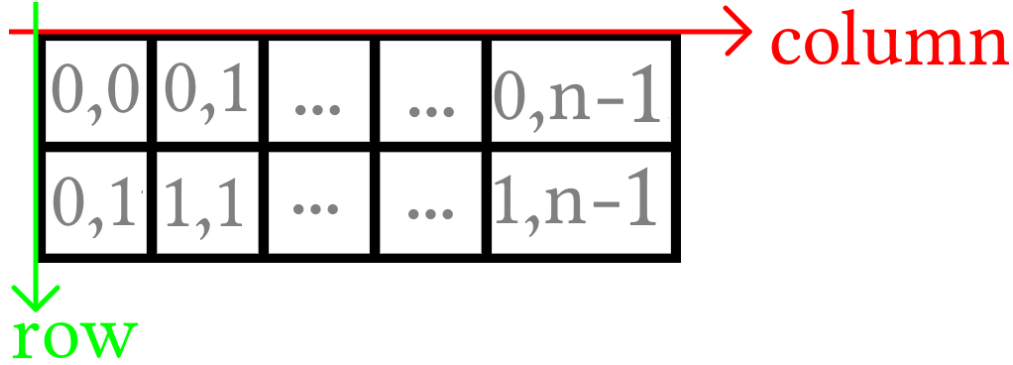
Given $n \in \mathbb{N}$, let $S(n)$ be the solution (all arrangements). $S(n)$ can be obtained from two recursive calls:

1. one vertical domino combined with $S(n-1)$;
2. two horizontal dominos combined with $S(n-2)$;

Such procedure is shown in the Figure

Figure 4.4: recursive solution for a $2 \times n$ grid.

4.4 Algorithm

Figure 4.5: coordinate system for a $2 \times n$ grid.

Algorithm 6 domino_arrangements

Require: $n \in \mathbb{N}$

1: **if** $n == 1$ **then**
 2: **return**

$$\langle \langle \mathbf{Domino}[\langle 0, 0 \rangle, vertical] \rangle \rangle$$

3: **else if** $n == 2$ **then**

4: **return** $\langle \langle \mathbf{Domino}[\langle 0, 0 \rangle, vertical], \mathbf{Domino}[\langle 0, 1 \rangle, vertical] \rangle, \langle \mathbf{Domino}[\langle 0, 0 \rangle, vertical], \mathbf{Domino}[\langle 1, 0 \rangle, vertical] \rangle \rangle$

5: **else**

6: **return** $\text{domino_arrangements}(n-1) \oplus \langle \mathbf{Domino}[\langle 0, 0 \rangle, vertical] \rangle, \text{domino_arrangements}(n-2) \oplus \langle \mathbf{Domino}[\langle 0, 0 \rangle, vertical], \mathbf{Domino}[\langle 1, 0 \rangle, vertical] \rangle$

$\triangleright \oplus$ is the concatenation of the list on the right with all the lists on the left.

4.5 Correctness of the Algorithm

Base Case 1

By inspection, one notices that for a $2 \times n$ grid, there are two possible arrangements. Therefore, the line 2 of the algorithm returns the optimal solution of the case $n = 1$.

Base Case 2

By inspection, one notices that for a $2 \times n$ grid, there are two possible arrangements. Therefore, the line 4 of the algorithm returns the optimal solution of the case $n = 2$.

Recursion

First of all, notice that, if a domino is in the horizontal position, then there must be a domino right over (or below) it. In other words, arrangements such as the one of the Figure 4.6.

Now, for the last domino, there is two possibilities: either it is in the vertical or the horizontal (and so there is another one below it) position. But for both cases, the problem of arranging the other dominos is the same problem but with a smaller grid:

1. the last domino is in the vertical position: solve the problem for the grid $2 \times (n - 1)$
2. the last domino is in the horizontal position: solve the problem for the grid $2 \times (n - 2)$

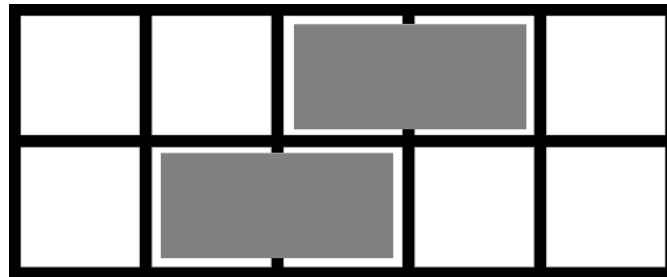


Figure 4.6: invalid configuration of dominos. A horizontal domino must have another right over or below it.