



MINISTÈRE  
DE L'ENSEIGNEMENT SUPÉRIEUR,  
DE LA RECHERCHE  
ET DE L'INNOVATION



PHAST  
PHYSIQUE  
ET ASTROPHYSIQUE  
UNIVERSITÉ DE LYON



# Machine Learning et boson de Higgs

Fête de la Science 2021

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Lycée Raspail – Paris

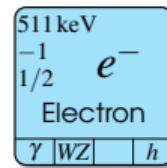
11 octobre 2021



# Particle physics?

⇒ study **elementary particles** and their **interactions**

# The Standard Model



# The Standard Model

$\sim 2.16 \text{ MeV}$
$+2/3$
$1/2$
Up quark
$\gamma$ WZ g h

$\sim 4.67 \text{ MeV}$
$-1/3$
$1/2$
Down quark
$\gamma$ WZ g h

$511 \text{ keV}$
$-1$
$1/2$
$e^-$
Electron
$\gamma$ WZ h

$\text{proton} = uud$

$\text{neutron} = udd$

# The Standard Model

$\sim 2.16 \text{ MeV}$
$+2/3$
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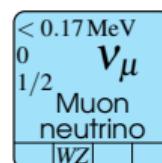
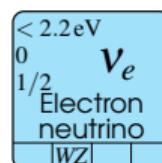
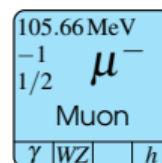
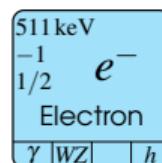
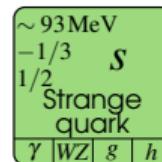
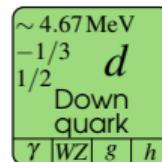
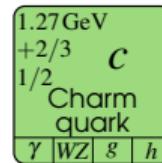
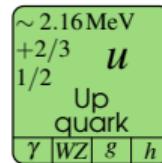
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$511 \text{ keV}$
$-1$
$1/2$
$e^-$
Electron
$\gamma$ WZ h

$< 2.2 \text{ eV}$
$0$
$1/2$
$\nu_e$
Electron neutrino
WZ

Beta decay:  $n \rightarrow p + e^- + \bar{\nu}_e$   
actually  $d \rightarrow u + e^- + \bar{\nu}_e$

# The Standard Model



# The Standard Model

$\sim 2.16 \text{ MeV}$   
 $+2/3$   $u$   
 $1/2$  Up quark  
 $\gamma | WZ | g | h$

$1.27 \text{ GeV}$   
 $+2/3$   $c$   
 $1/2$  Charm quark  
 $\gamma | WZ | g | h$

$172.76 \text{ GeV}$   
 $+2/3$   $t$   
 $1/2$  Top quark  
 $\gamma | WZ | g | h$

$\sim 4.67 \text{ MeV}$   
 $-1/3$   $d$   
 $1/2$  Down quark  
 $\gamma | WZ | g | h$

$\sim 93 \text{ MeV}$   
 $-1/3$   $s$   
 $1/2$  Strange quark  
 $\gamma | WZ | g | h$

$4.18 \text{ GeV}$   
 $-1/3$   $b$   
 $1/2$  Bottom quark  
 $\gamma | WZ | g | h$

$511 \text{ keV}$   
 $-1$   $e^-$   
 $1/2$  Electron  
 $\gamma | WZ | h$

$105.66 \text{ MeV}$   
 $-1$   $\mu^-$   
 $1/2$  Muon  
 $\gamma | WZ | h$

$1.7769 \text{ GeV}$   
 $-1$   $\tau^-$   
 $1/2$  Tau  
 $\gamma | WZ | h$

$< 2.2 \text{ eV}$   
 $0$   $\nu_e$   
 $1/2$  Electron neutrino  
 $WZ$

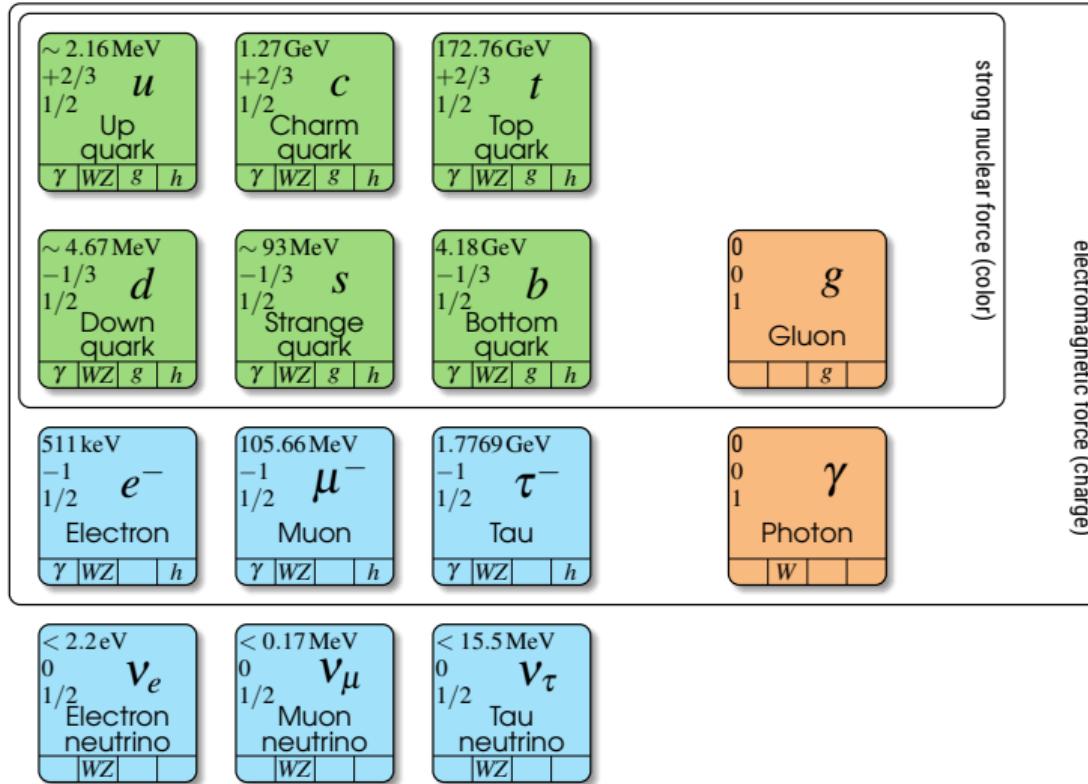
$< 0.17 \text{ MeV}$   
 $0$   $\nu_\mu$   
 $1/2$  Muon neutrino  
 $WZ$

$< 15.5 \text{ MeV}$   
 $0$   $\nu_\tau$   
 $1/2$  Tau neutrino  
 $WZ$

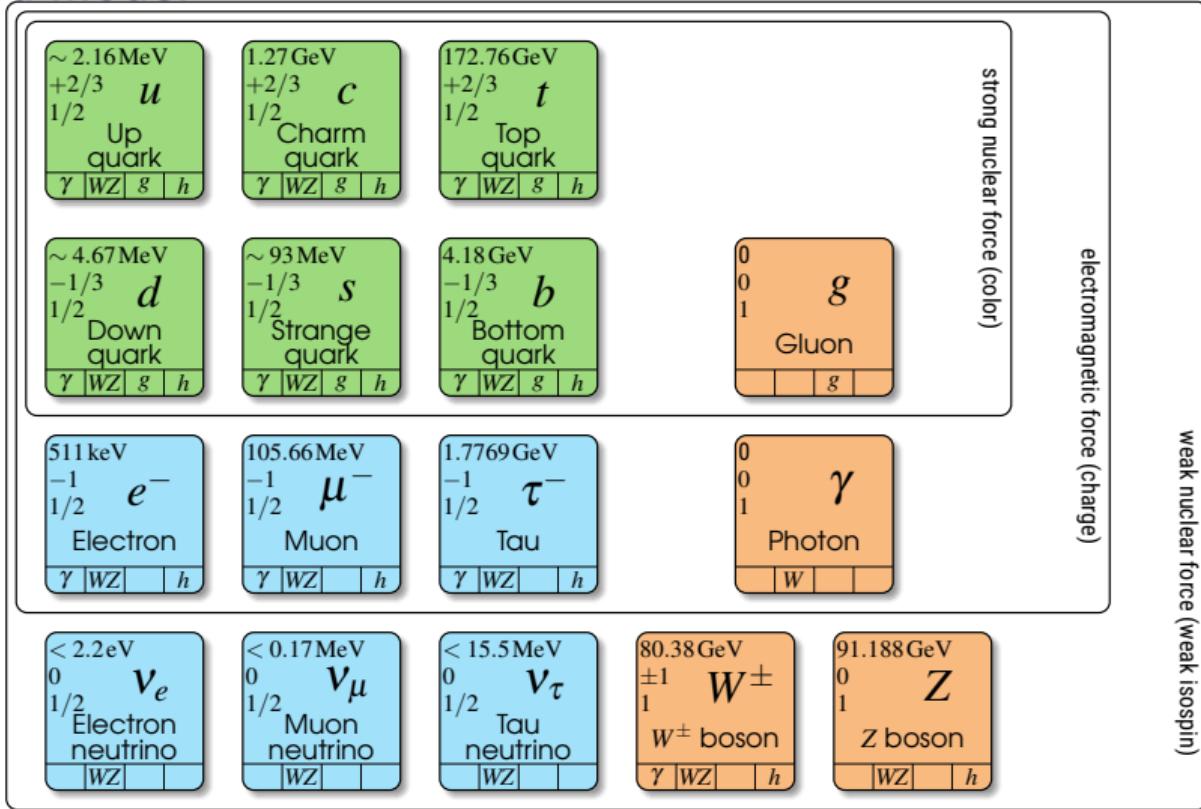
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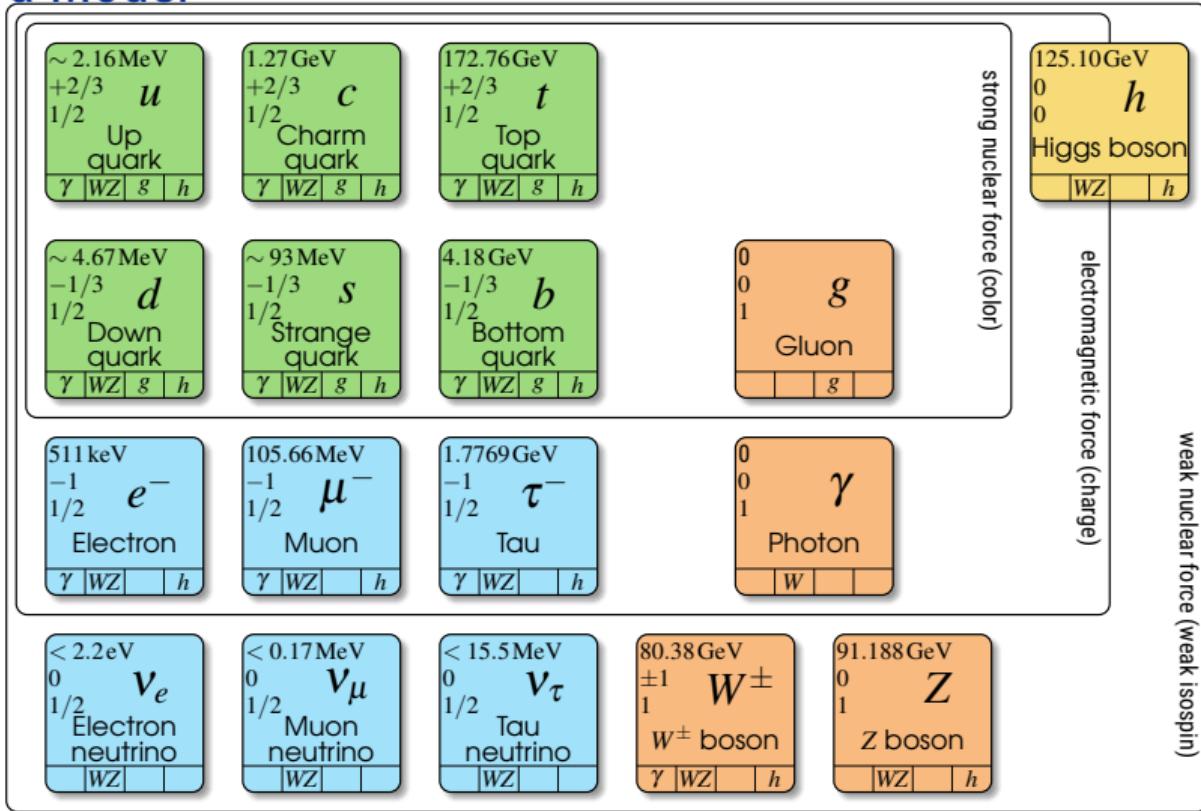
# The Standard Model



# The Standard Model



# The Standard Model



Recherche de bosons de Higgs supplémentaires de haute masse se désintégrant en paire de taus dans l'expérience CMS au LHC à l'aide du *machine learning*

Search for additional heavy Higgs bosons decaying to tau lepton pair in the CMS experiment with machine learning techniques

## Why do we **search for** additional particles?

### Current standard model status

- Robust and predictive (top quark,  $W$ ,  $Z$  and one Higgs boson...)
- Still not good enough, unable to explain some observations such as:
  - ▶ dark matter
  - ▶ matter vs antimatter asymmetry
  - ▶ naturalness problem
  - ▶ ...
- Go beyond with a new model!
- Consequences of this new model? **Test it!**

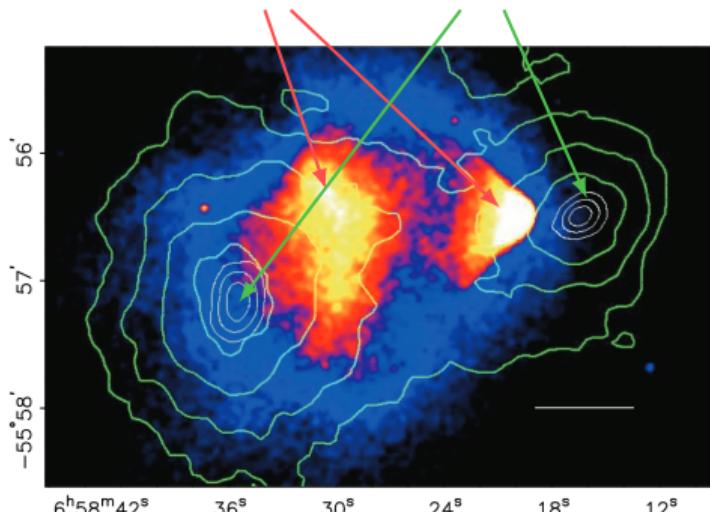
▷ D. Clowe et al. "A Direct Empirical Proof of the Existence of Dark Matter". *Astrophysical Journal* **648**.2 (Aug. 2006). DOI: 10.1086/508162.

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  - ▶ matter vs antimatter asymmetry
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Galaxies from: **X rays** gravitational lensing



Difference due to **dark matter**!

▷ D. Clowe et al. "A Direct Empirical Proof of the Existence of Dark Matter". *Astrophysical Journal* **648**.2 (Aug. 2006). DOI: 10.1086/508162.

# Keywords in title

Search for **additional heavy Higgs bosons decaying to tau lepton pair** in the **CMS experiment at LHC**

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## Part I *Phenomenology*

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**Part I**  
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**Part II**  
*Experimental device*

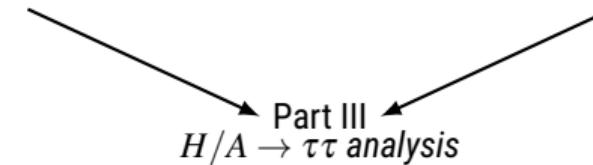
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$H/A \rightarrow \tau\tau$  analysis



# Keywords in title

Search for **additional heavy Higgs bosons decaying to tau lepton pair** in the **CMS experiment at LHC**

Part I  
Phenomenology

Part II  
Experimental device

Part III  
 $H/A \rightarrow \tau\tau$  analysis

+ Part IV: **with machine learning techniques**

## 1 Phenomenology

## 2 Experimental device

## 3 $H/A \rightarrow \tau\tau$ analysis

## 4 Machine learning

# Higgs bosons in the MSSM

***Minimal Supersymmetric extension of Standard Model***

## 5 Higgs bosons

light scalar	$h$	MSSM or SM
heavy scalar	$H$	SM or MSSM
pseudo-scalar	$A$	MSSM
+ charged	$H^+$	MSSM
- charged	$H^-$	MSSM

Main parameters:  $m_A$  and  $\tan \beta$ .

- ▷ **The CMS Collaboration.** "Search for additional neutral MSSM Higgs bosons in the  $\tau\tau$  final state in  $pp$  collisions at  $\sqrt{s} = 13\text{ TeV}$ ". *Journal of High Energy Physics* **09.007** (Sept. 2018). doi: [10.1007/JHEP09\(2018\)007](https://doi.org/10.1007/JHEP09(2018)007).
- ▷ **Y. Nagashima.** *Beyond the Standard Model of Elementary Particle Physics*. Weinheim: Wiley-VCH, June 2014. URL: <http://cds.cern.ch/record/1620277>.

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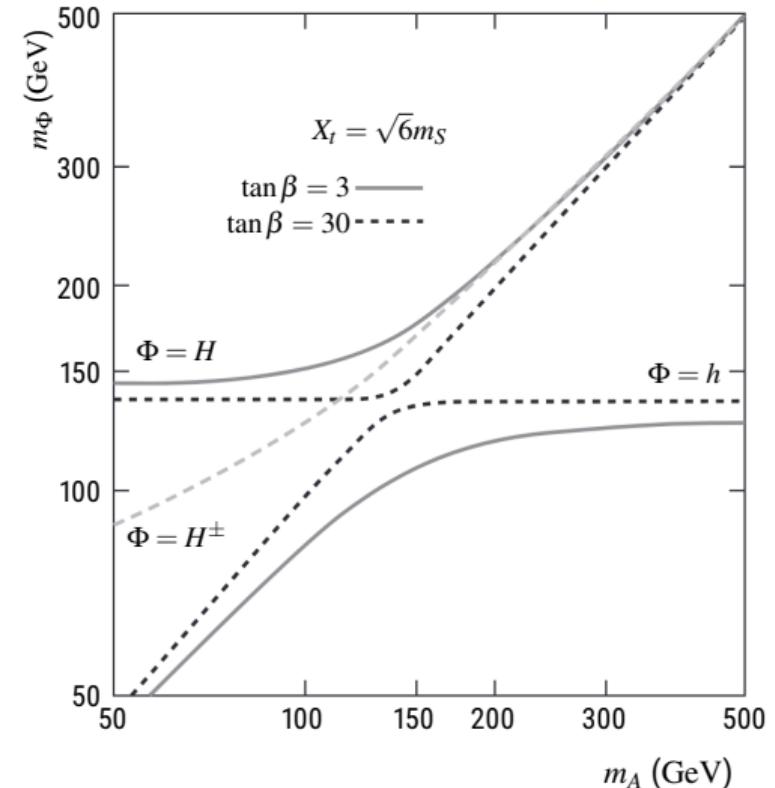
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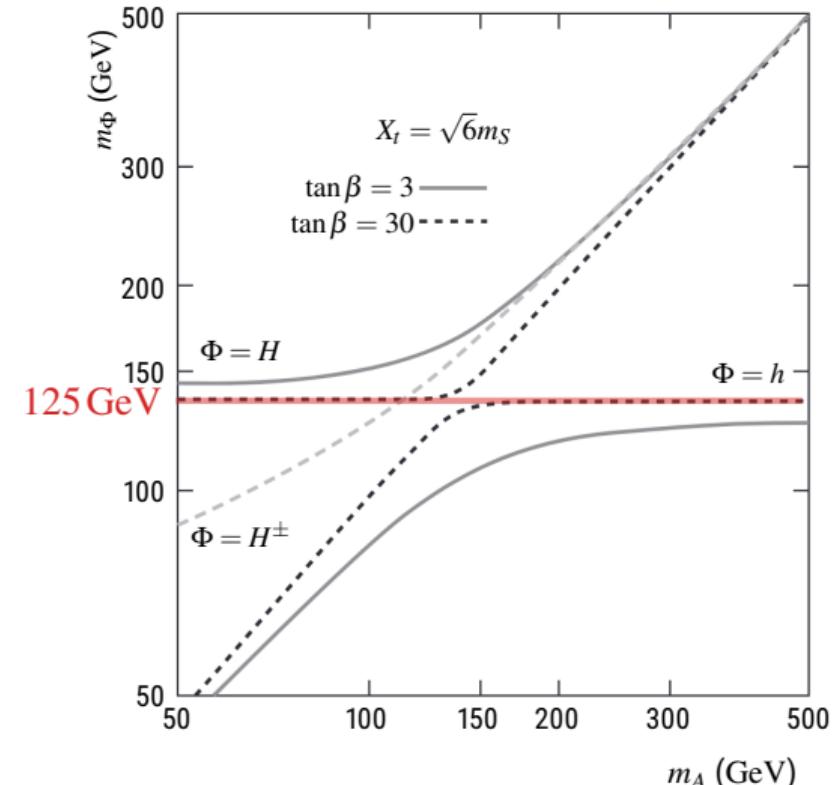
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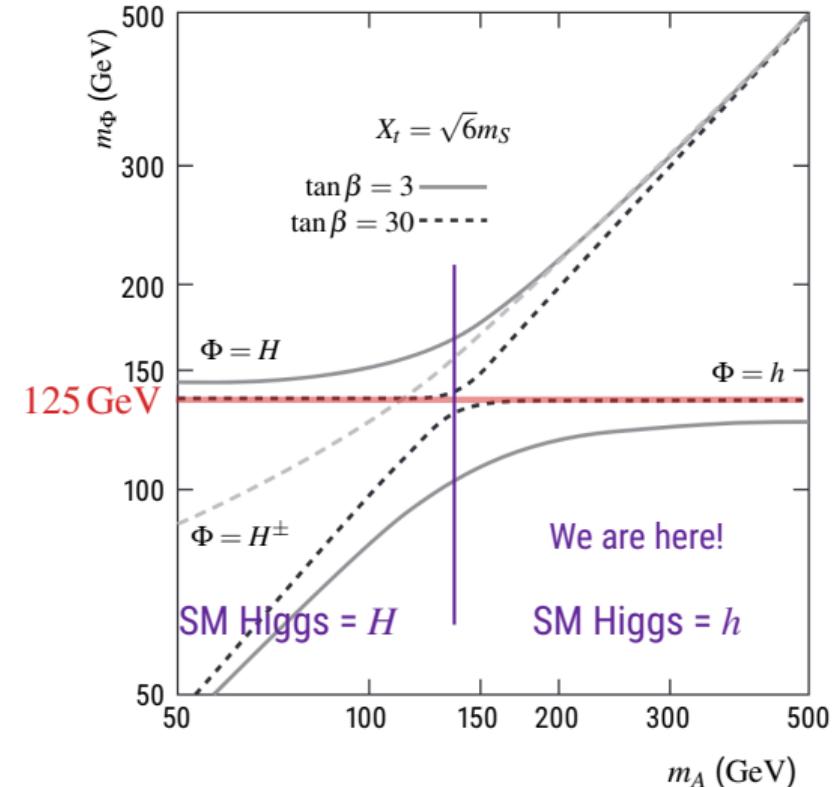
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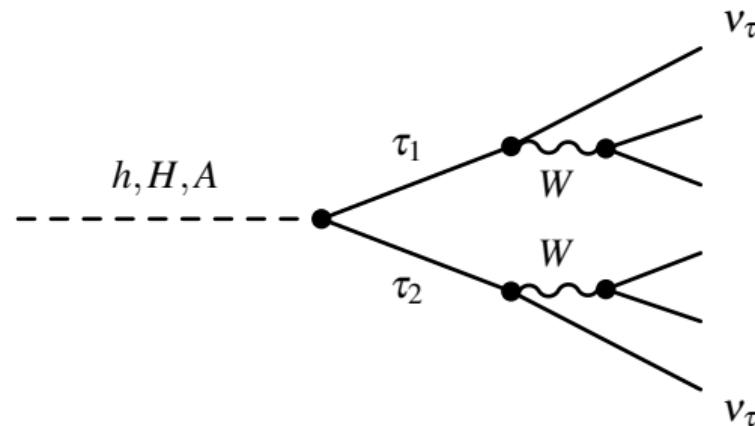
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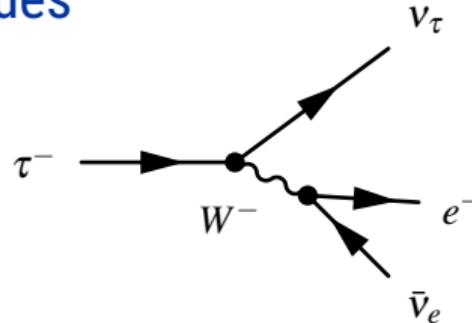
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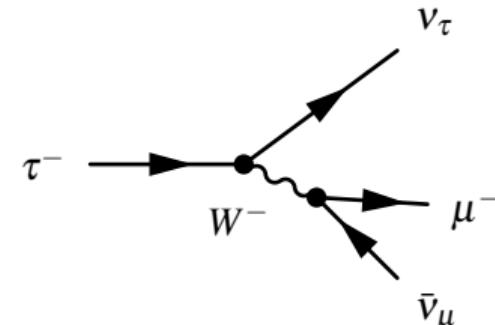
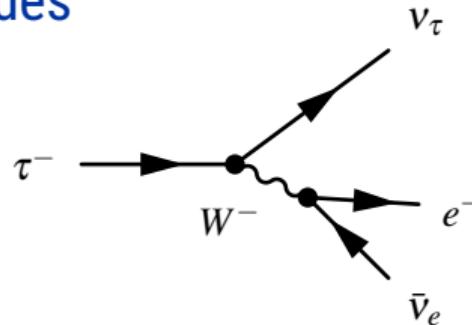
# $H/A \rightarrow \tau\tau$ decay channel



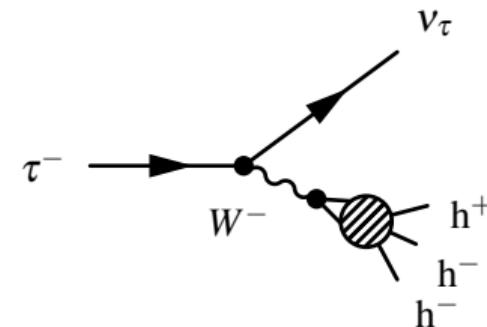
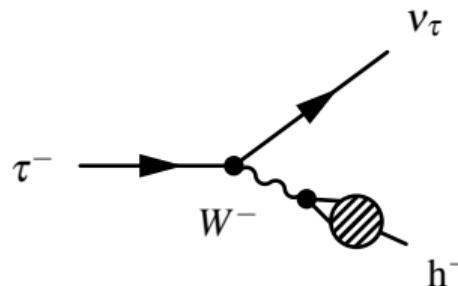
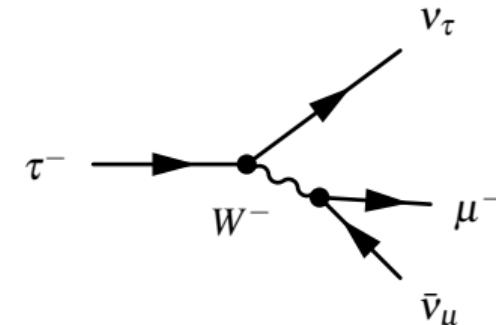
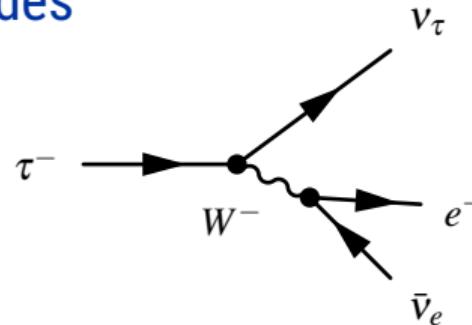
# $\tau$ decay modes



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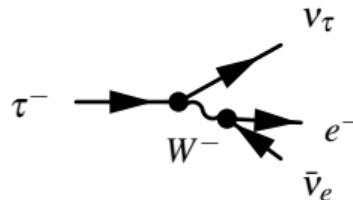


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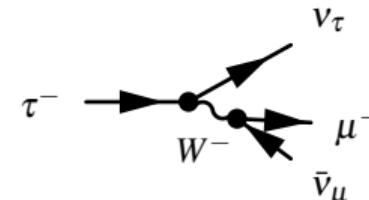


$H/A \rightarrow \tau\tau \rightarrow L_1 L_2$ 

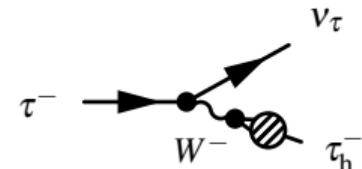
$$\tau \rightarrow e + v_e + \bar{v}_\tau \Rightarrow e \\ 17.8\%$$



$$\tau \rightarrow \mu + v_\mu + \bar{v}_\tau \Rightarrow \mu \\ 17.4\%$$

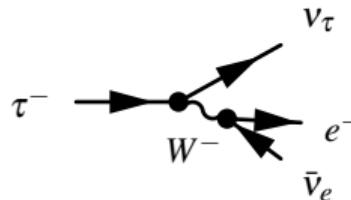


$$\tau \rightarrow \text{hadrons} + v_\tau \Rightarrow \tau_h \\ 64.8\%$$

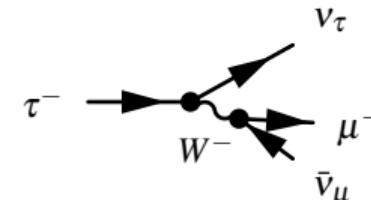


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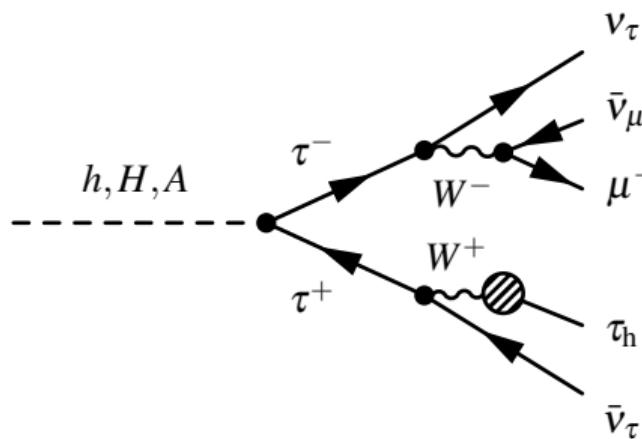
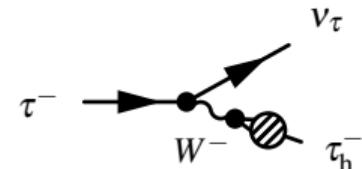
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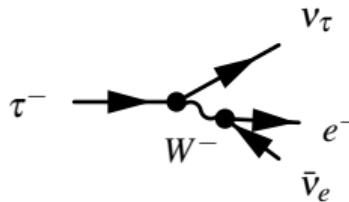
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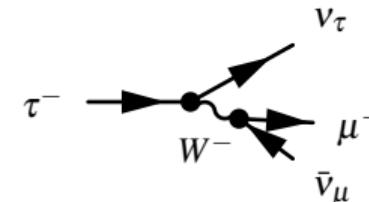
▷ Particle Data Group. "Review of Particle Physics". *Progress of Theoretical and Experimental Physics* 8 (Aug. 2020). DOI: 10.1093/ptep/ptaa104.

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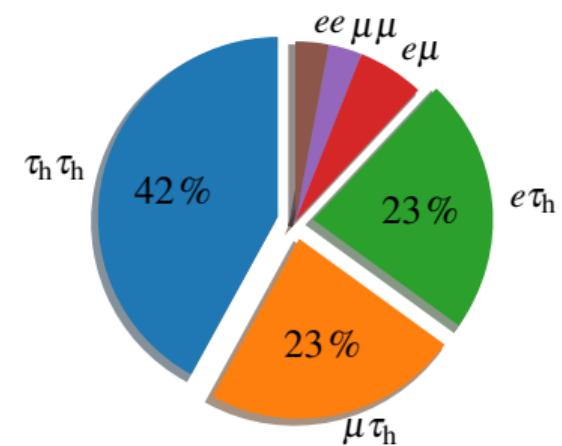
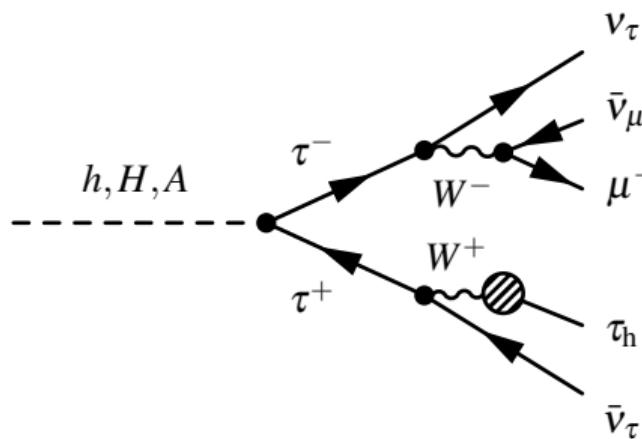
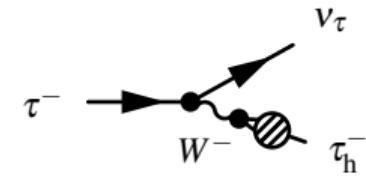
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## 1 Phenomenology

## 2 Experimental device

## 3 $H/A \rightarrow \tau\tau$ analysis

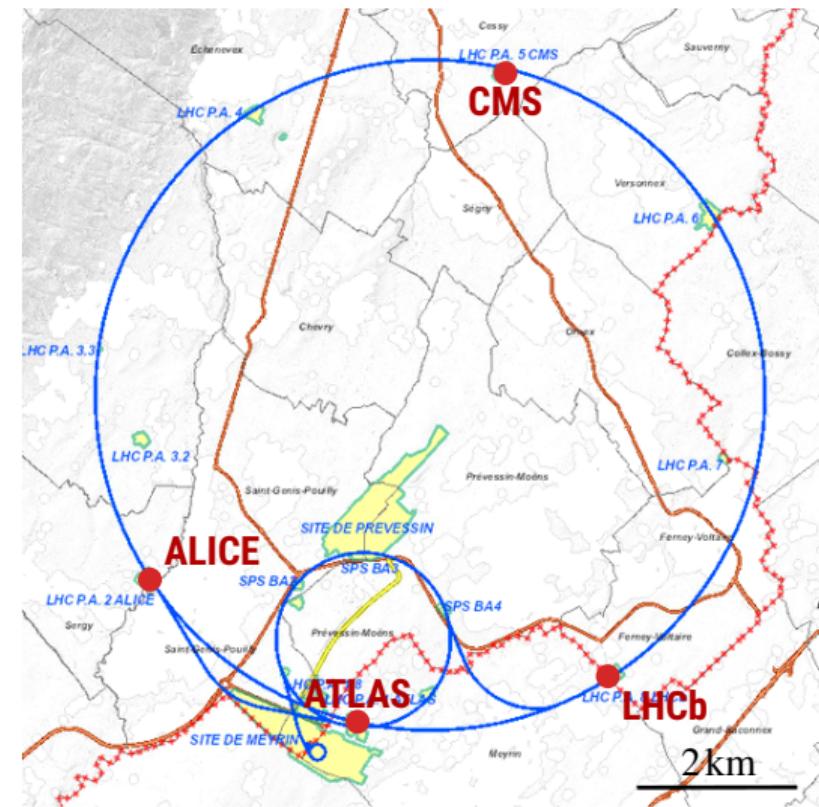
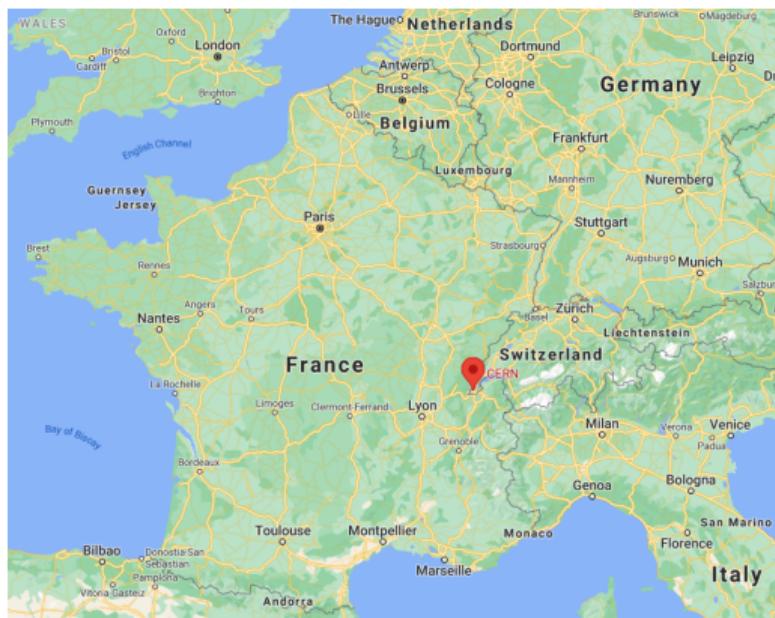
## 4 Machine learning

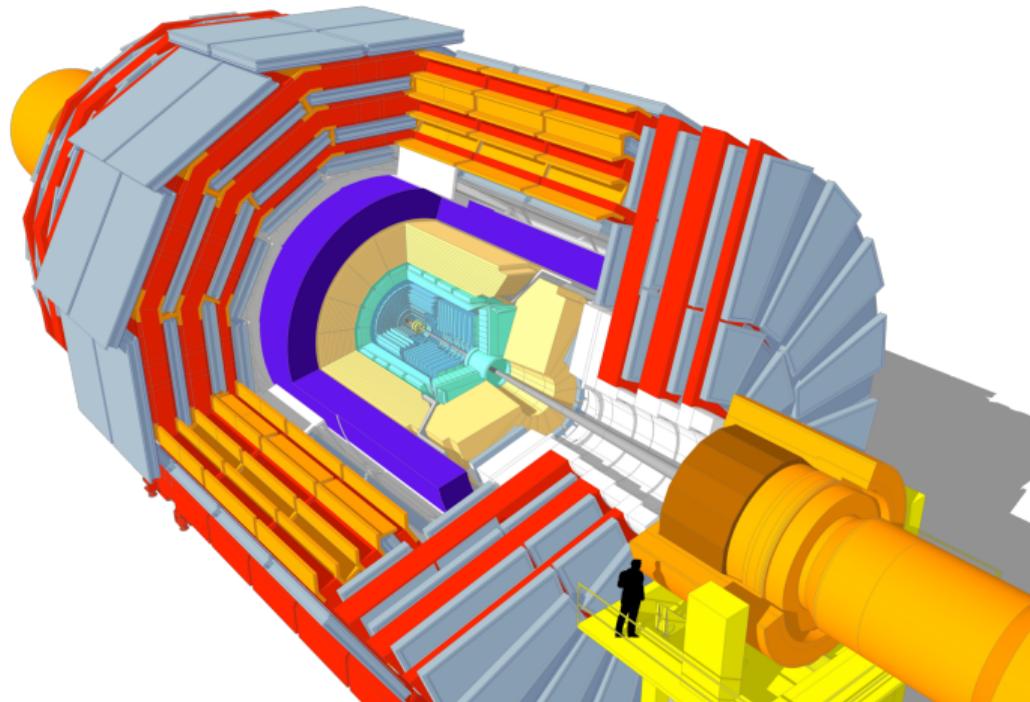
# Principle

$$E = mc^2$$

mass (new particles) from the collision energy

# CERN LHC

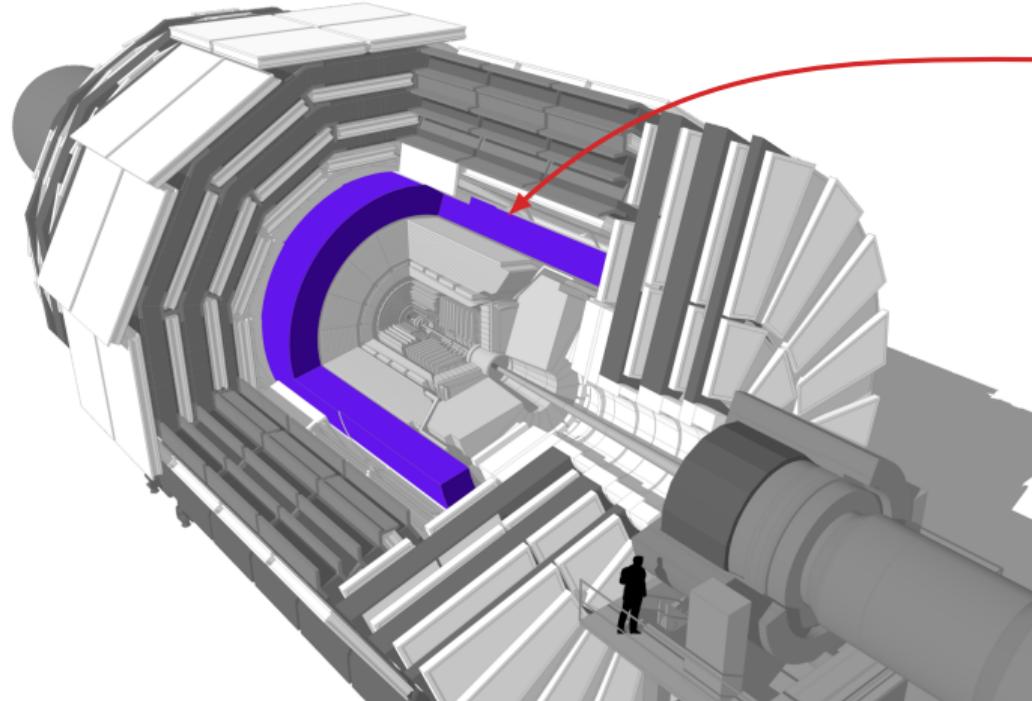




## CMS detector

- Mass:  $\sim 14000\text{t}$
- Diameter: 15 m
- Length: 28.7 m

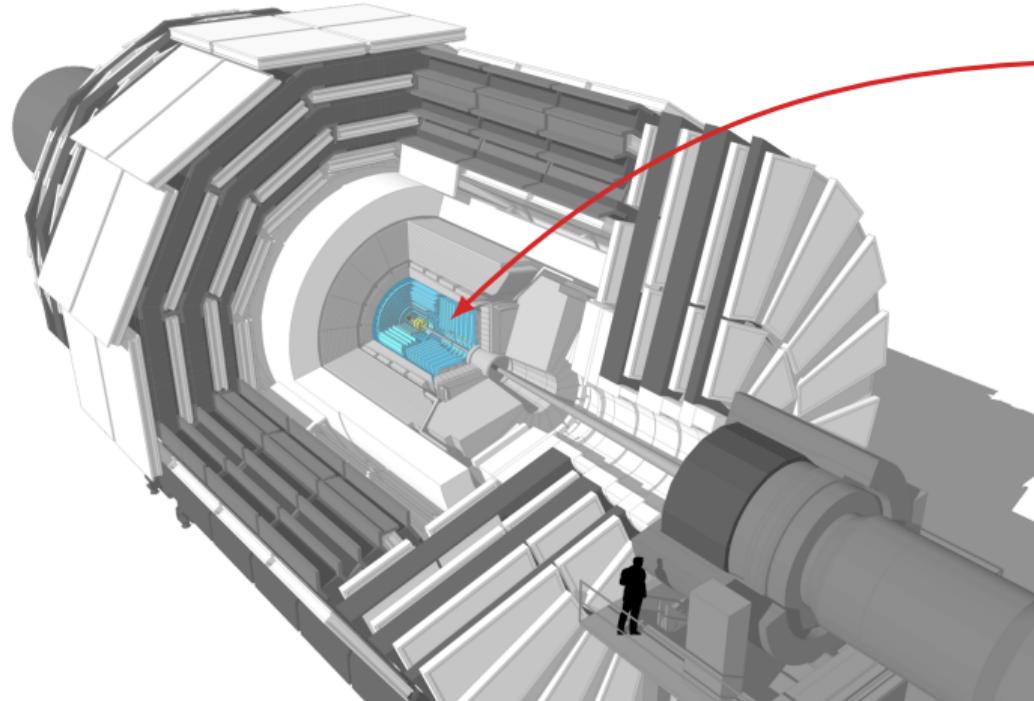
⇒ How to see the particles?



### Solenoid

- Niobium titanium coil
- Superconducting
- $\sim 18\,000\text{ A}$
- 4 T in the inner volume

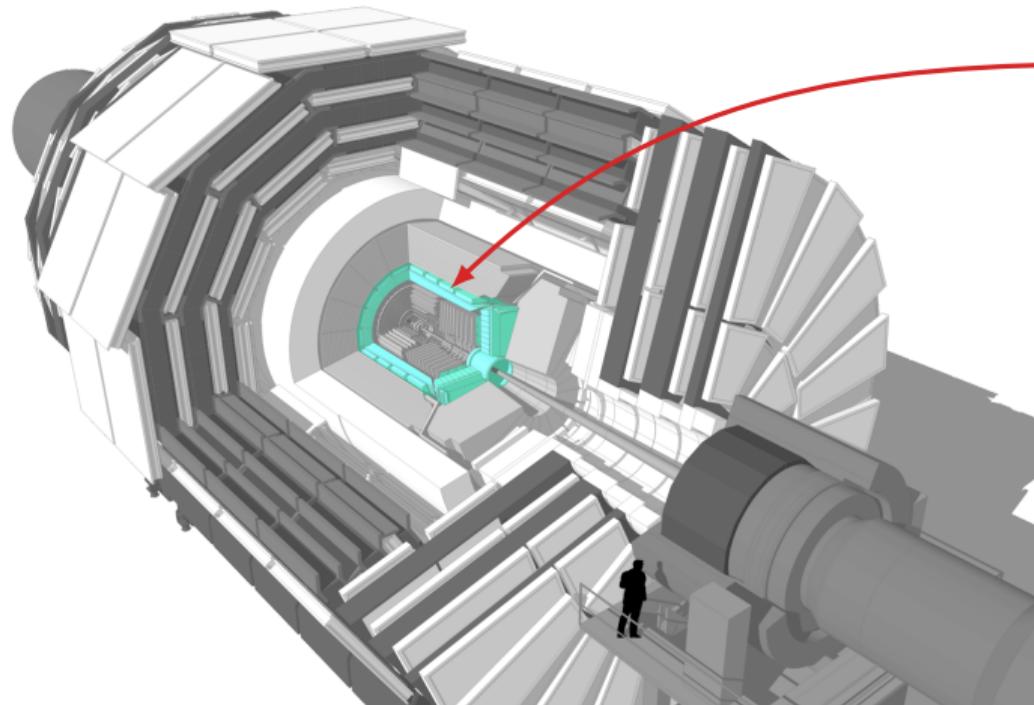
⇒ Bends charged particles trajectories in the transverse plane



## Tracker

- Made of Silicon
- Inner: pixels ( $100 \times 150 \mu\text{m}^2$ ,  
 $\sim 1.9 \text{ m}^2$ ,  $\sim 124 \text{ M}$  channels)
- Outer: microstrips ( $80 - 180 \mu\text{m}$ )  
 $\sim 200 \text{ m}^2 \sim 9.6 \text{ M}$  channels

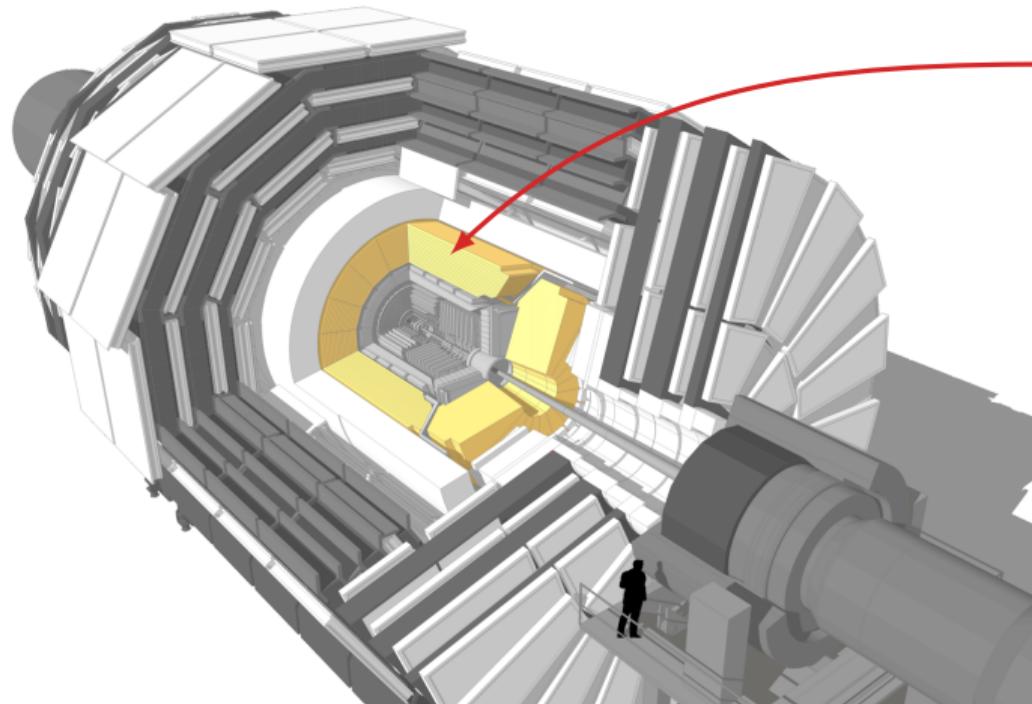
⇒ Charged particles leave hits when going through



## Electromagnetic CALorimeter

- $\sim 76\,000$  scintillating PbWO<sub>4</sub> crystals

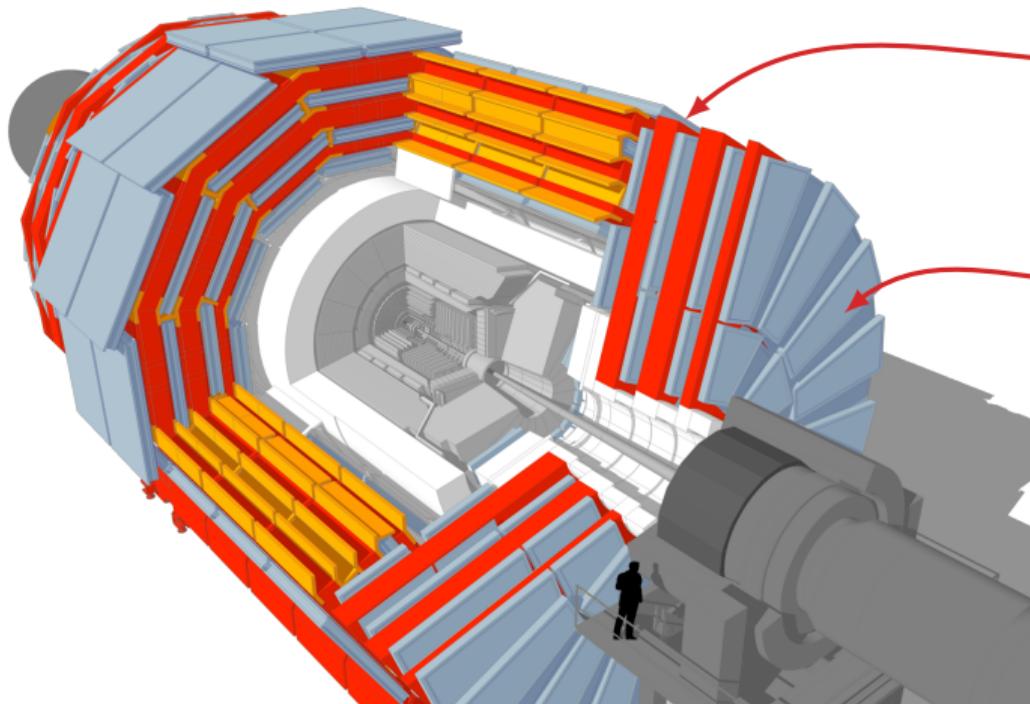
⇒ Electrons and photons are stopped,  
energy deposits



## Hadronic CALorimeter

- Brass + plastic scintillator,  
~ 7000 channels

⇒ Hadrons are stopped, energy deposits



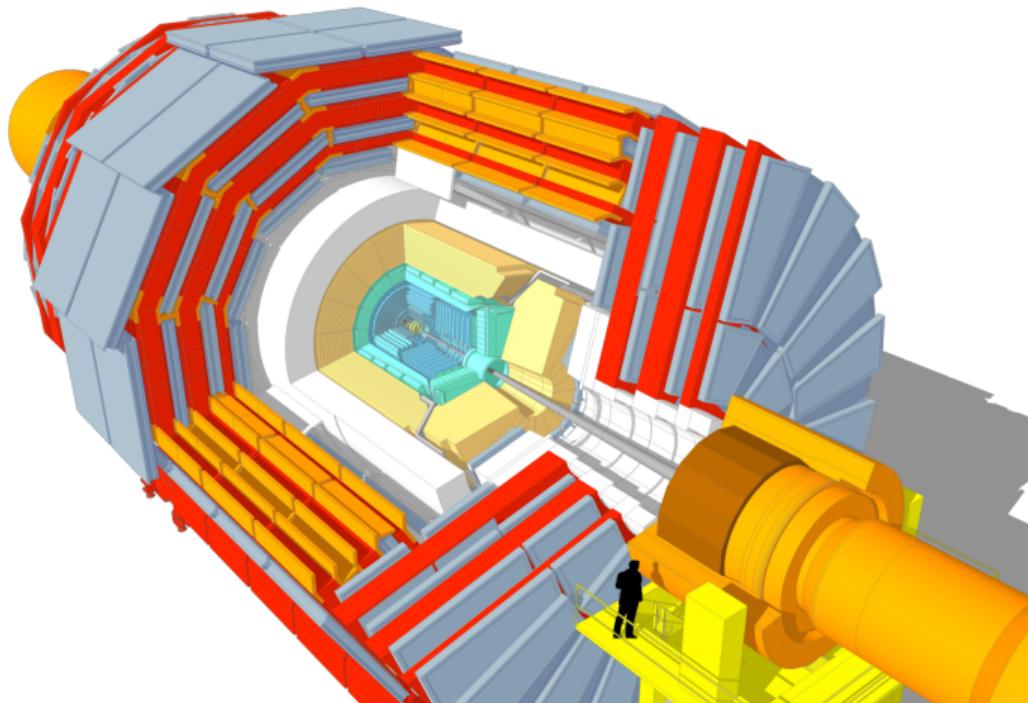
### Steel return yoke (red)

- Allows for 2 T magnetic field around the solenoid

### Muon chambers (blue-gray)

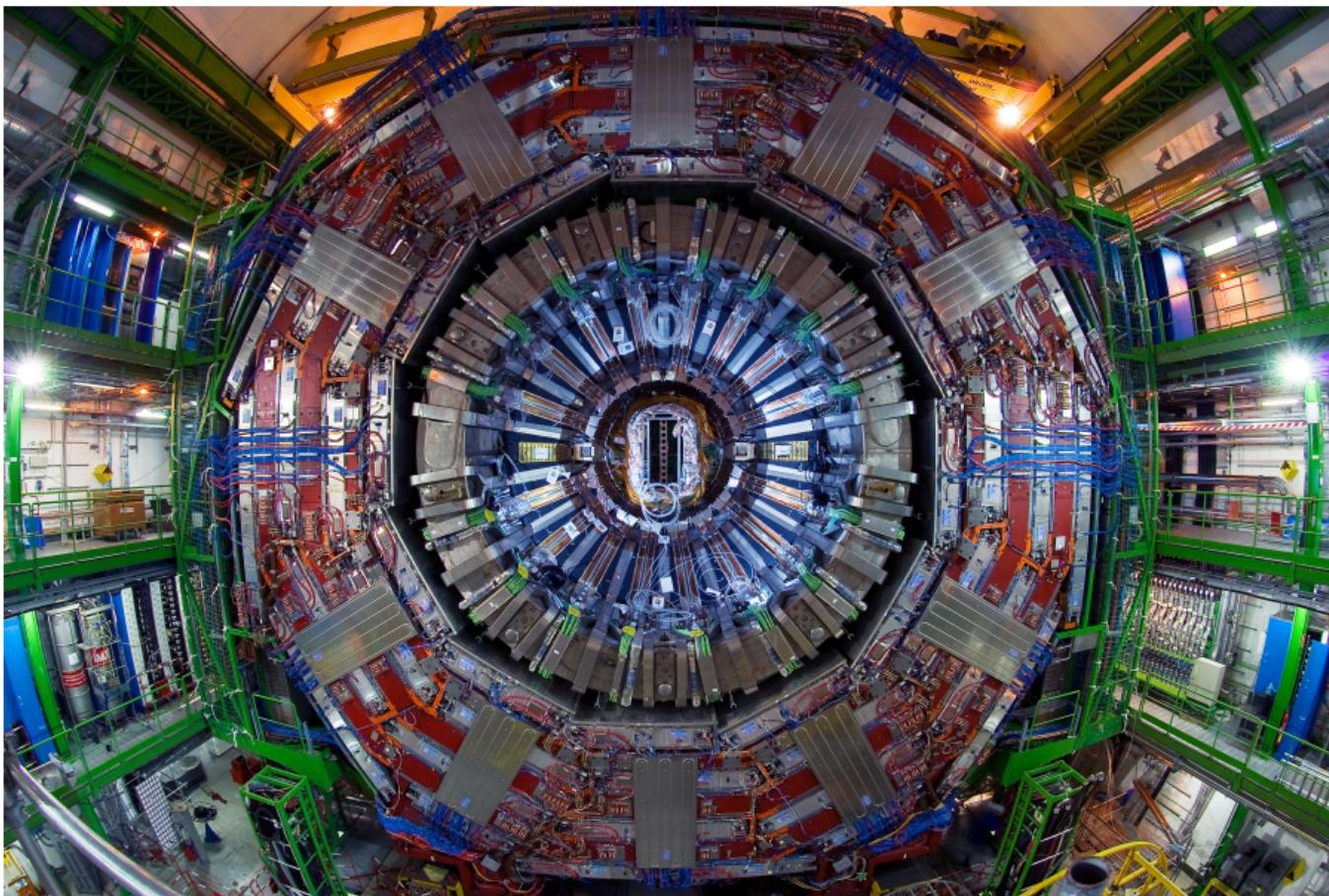
- Barrel: 250 drift tubes, 480 resistive plate chambers
- Endcaps: 540 cathode strips, 576 resistive plate chambers

⇒ Charged particles leave hits when going through (only muons do)



## Sensitive parts of CMS

Combine sub-detectors signals to determine which particles were there!

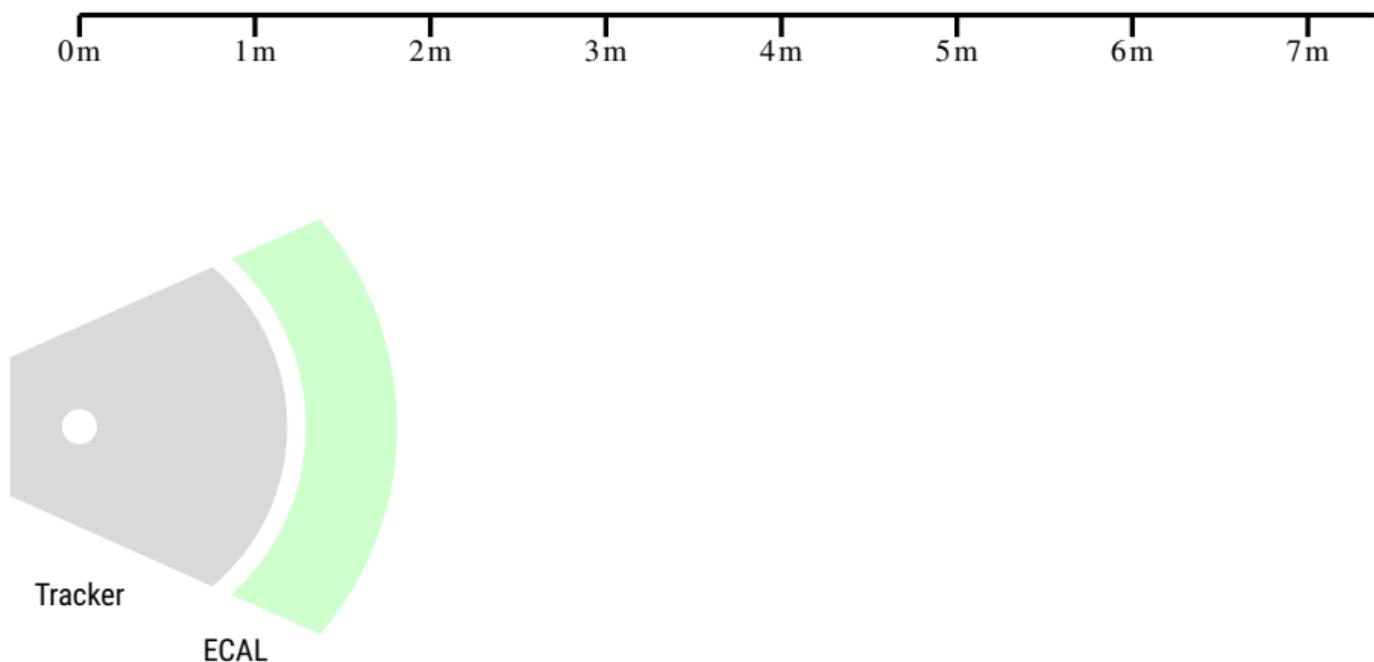


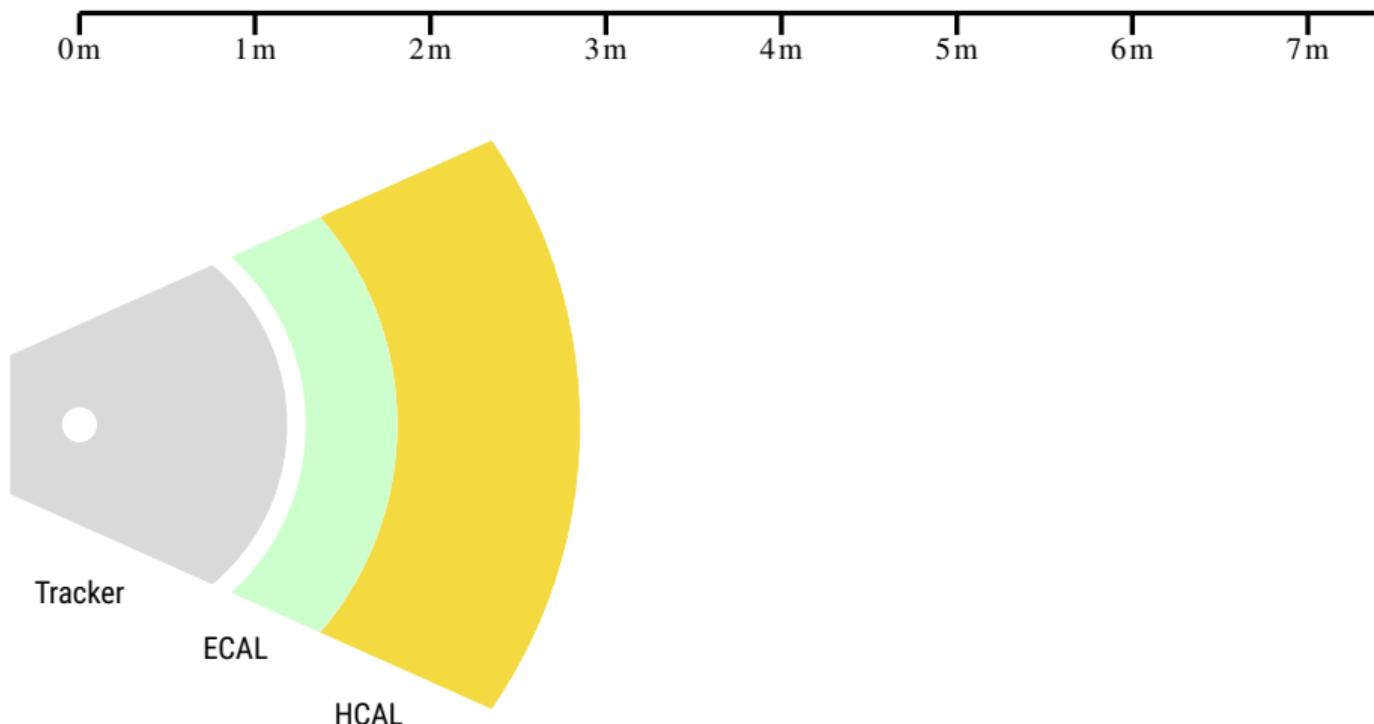


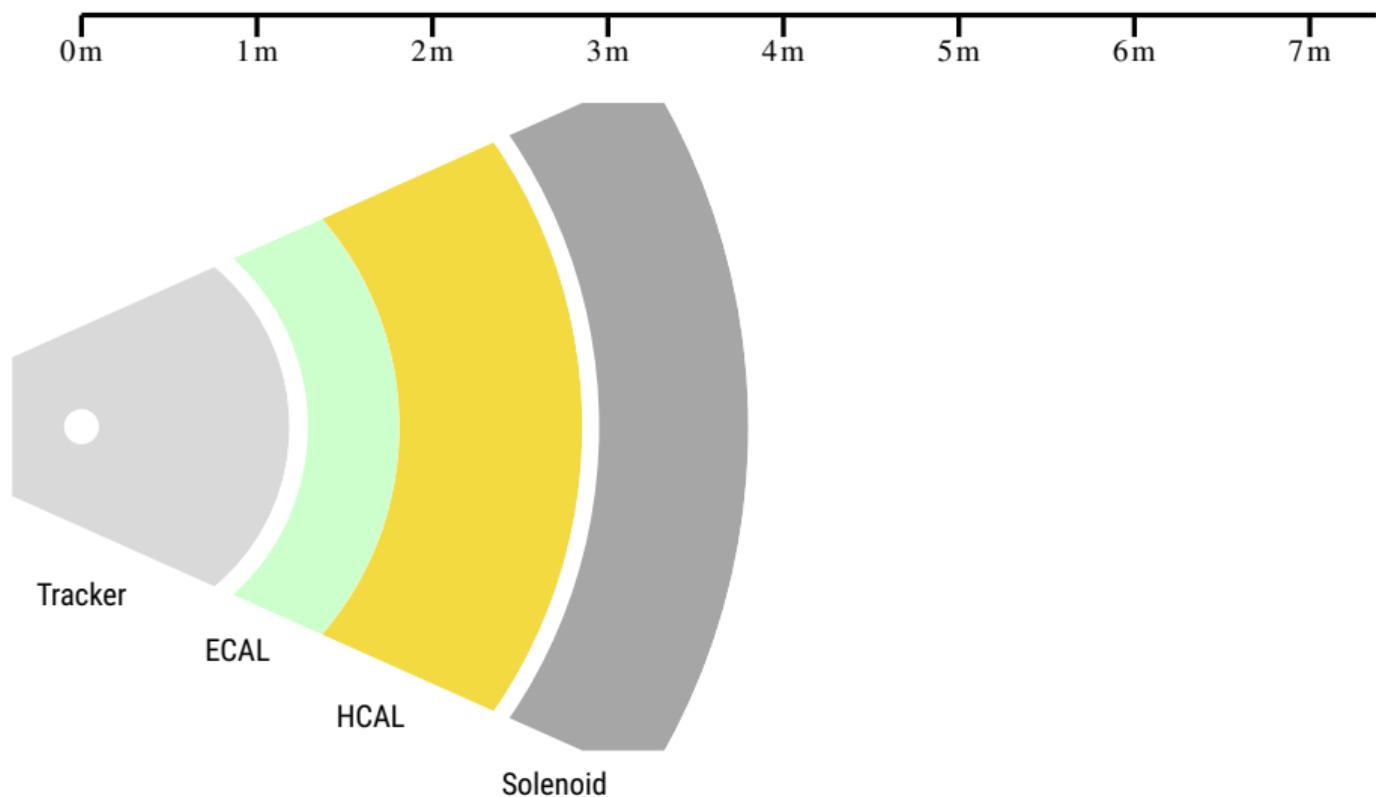


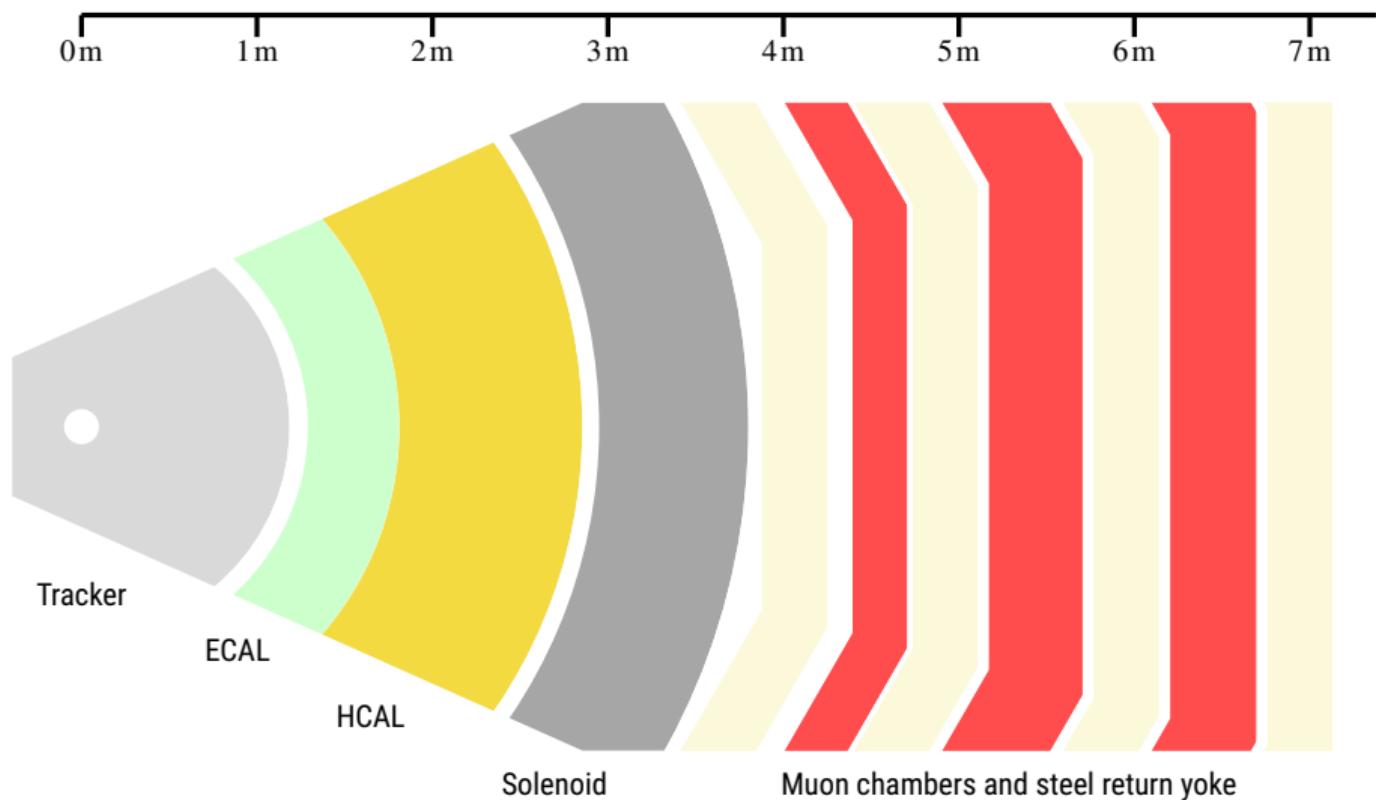


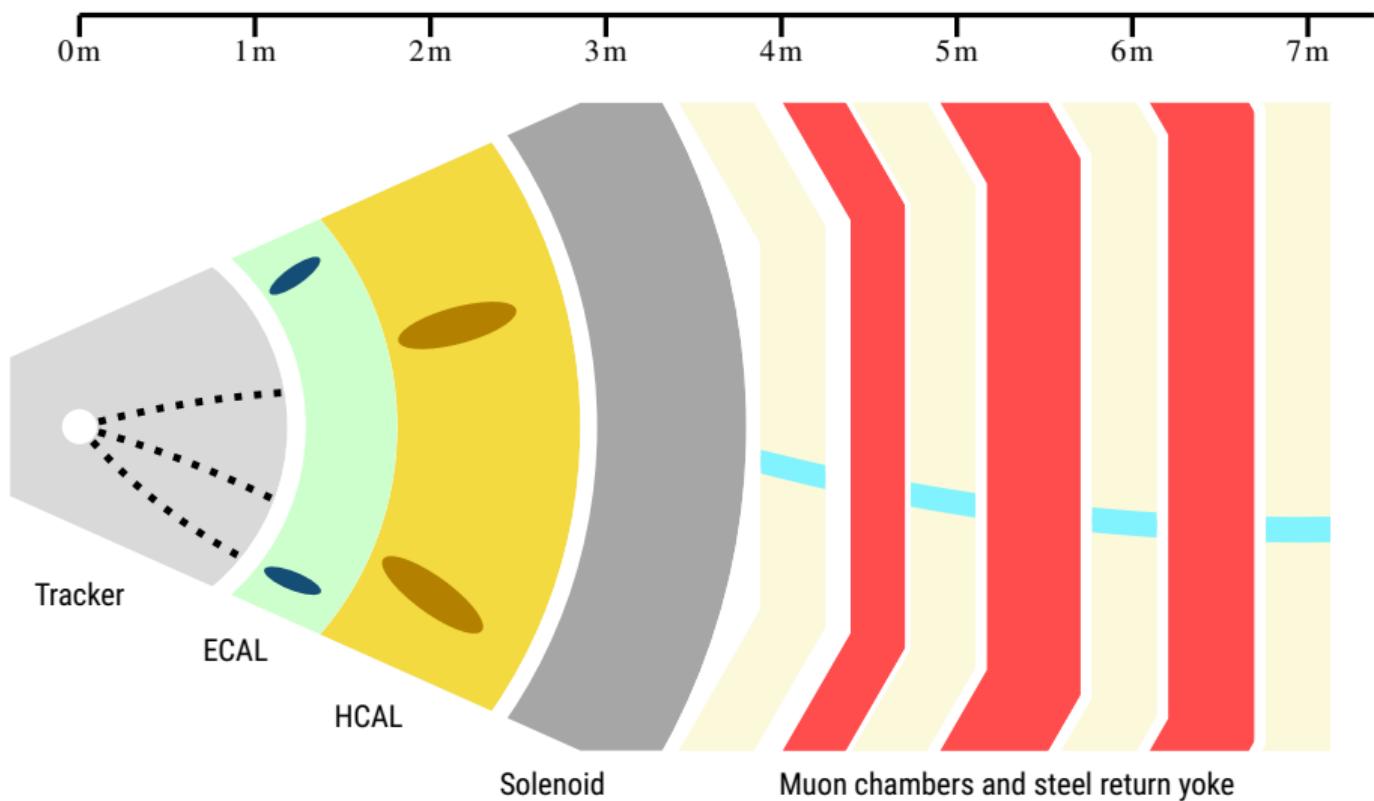
Tracker

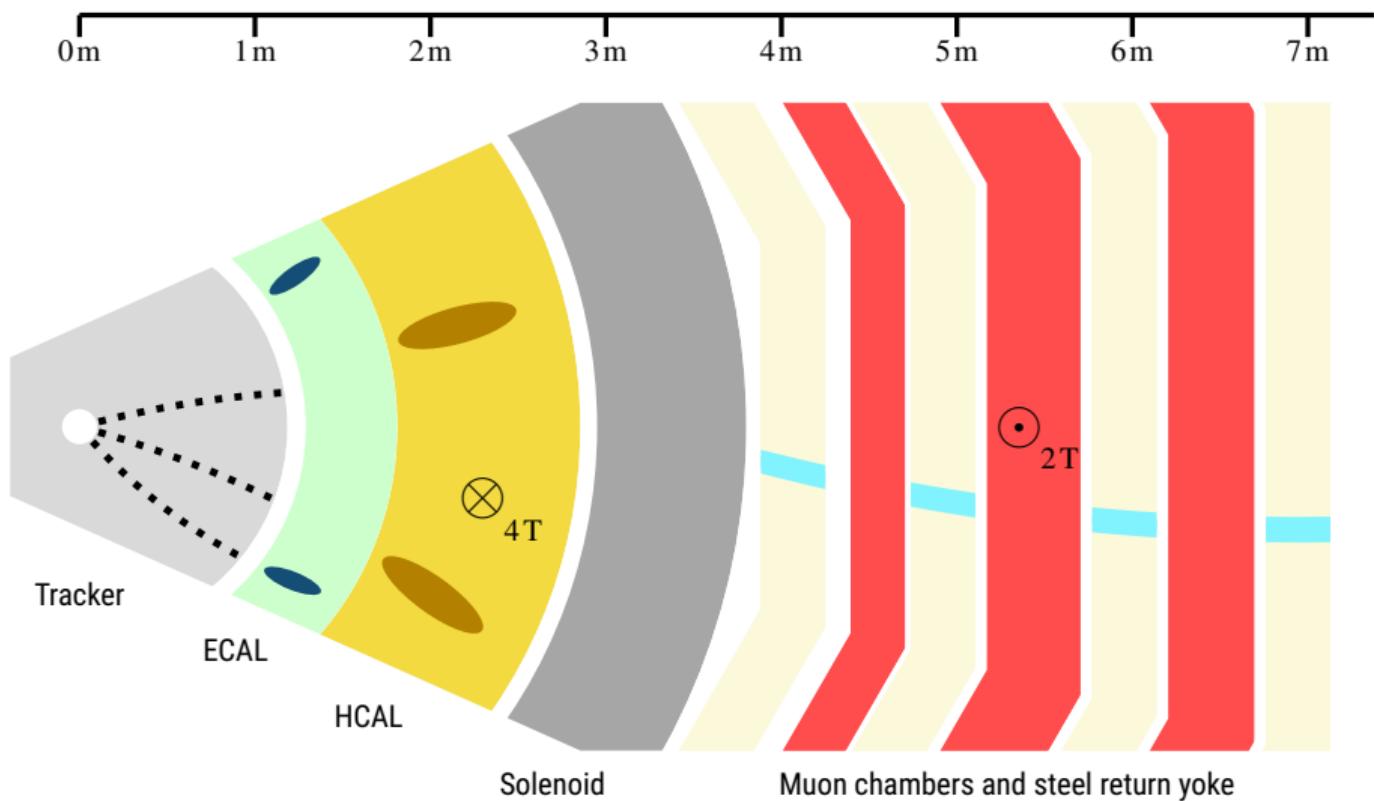


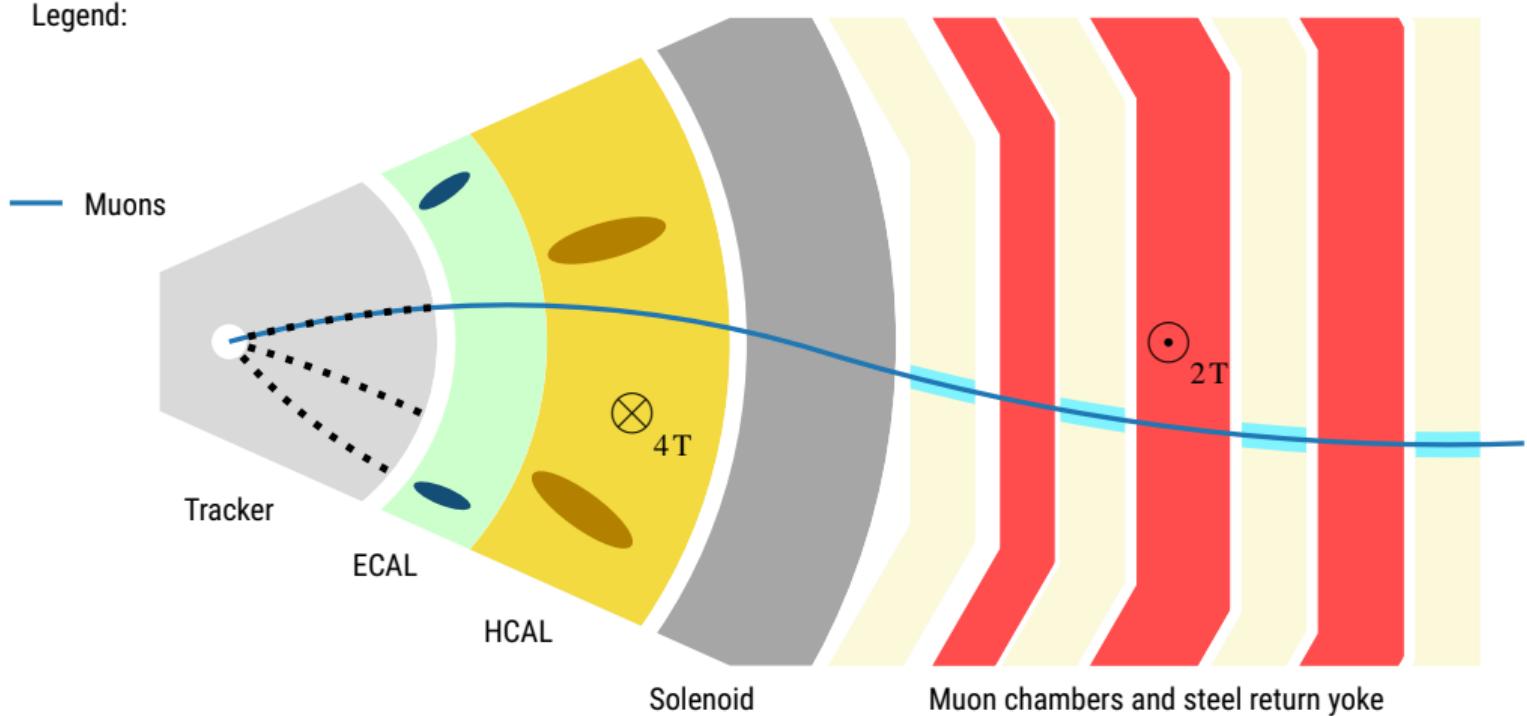


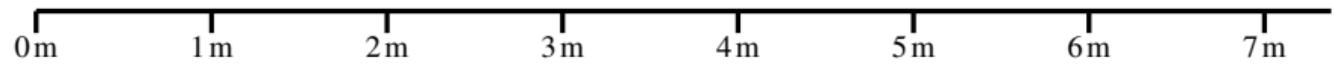




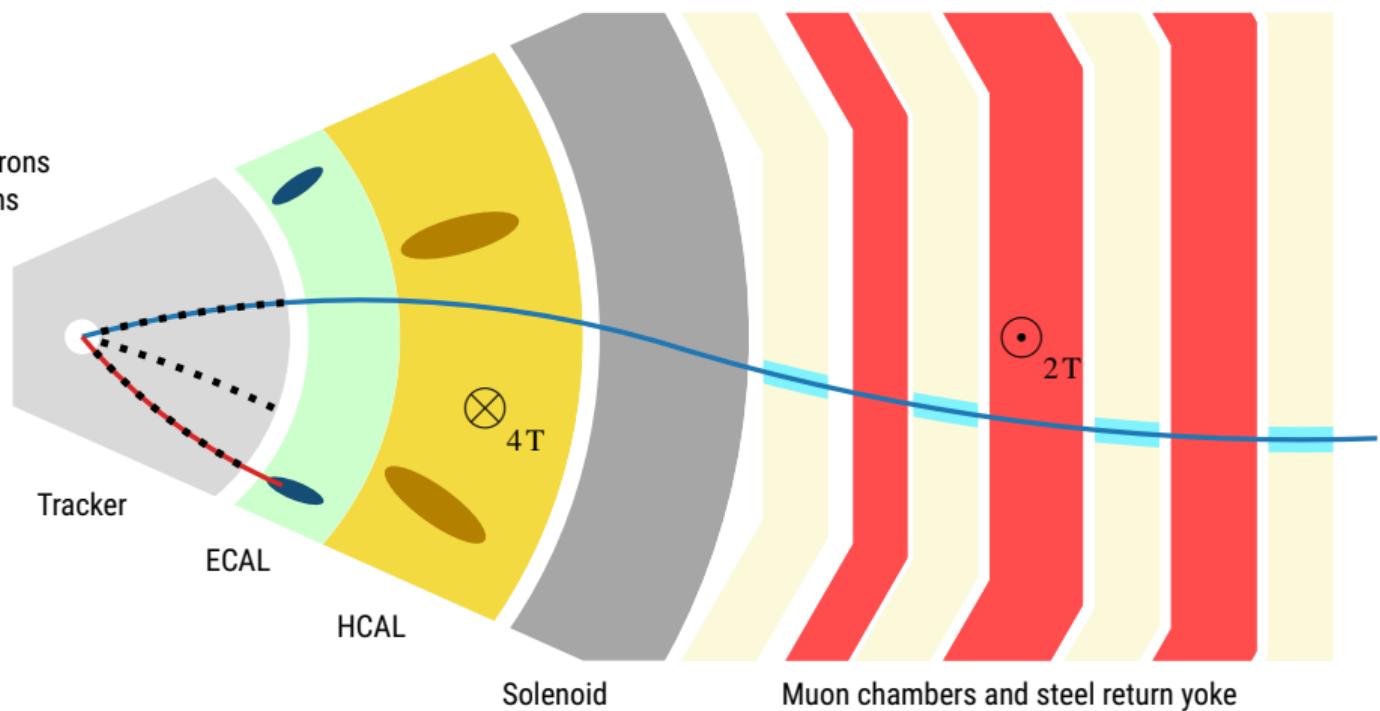




**Legend:**

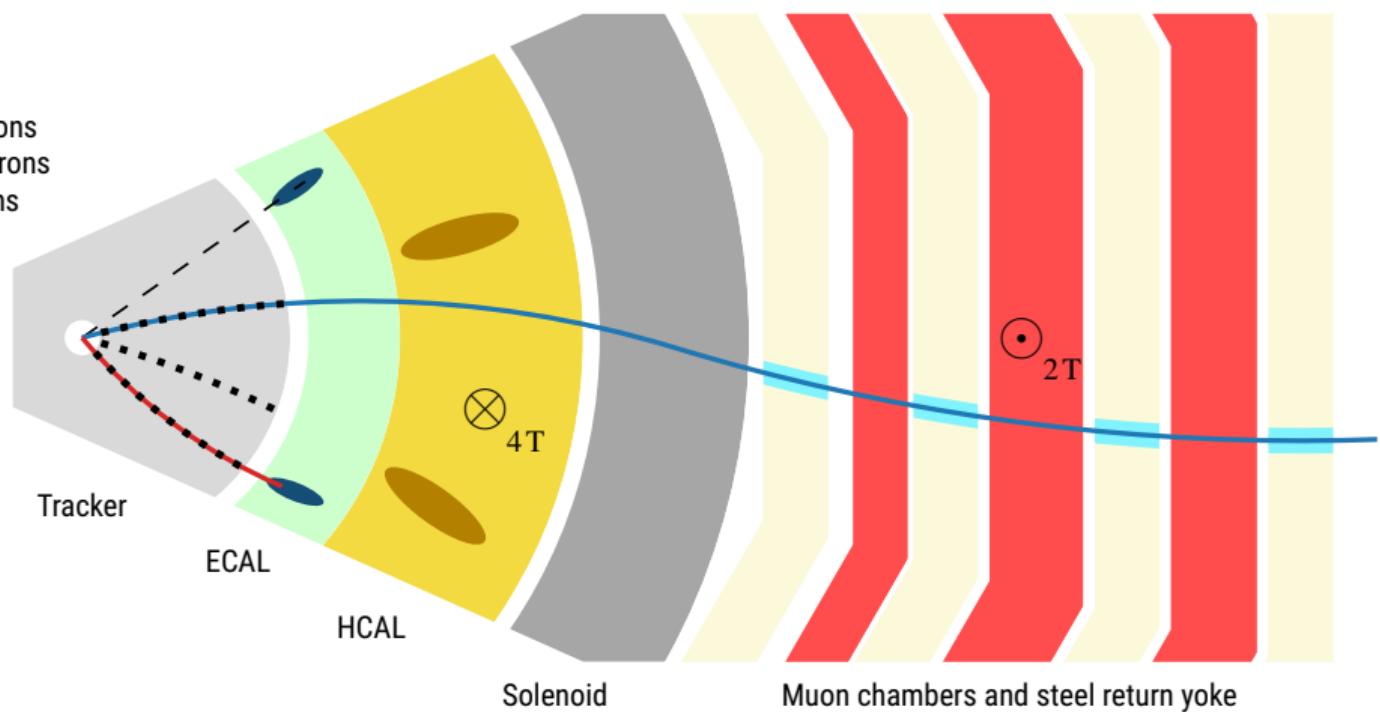
**Legend:**

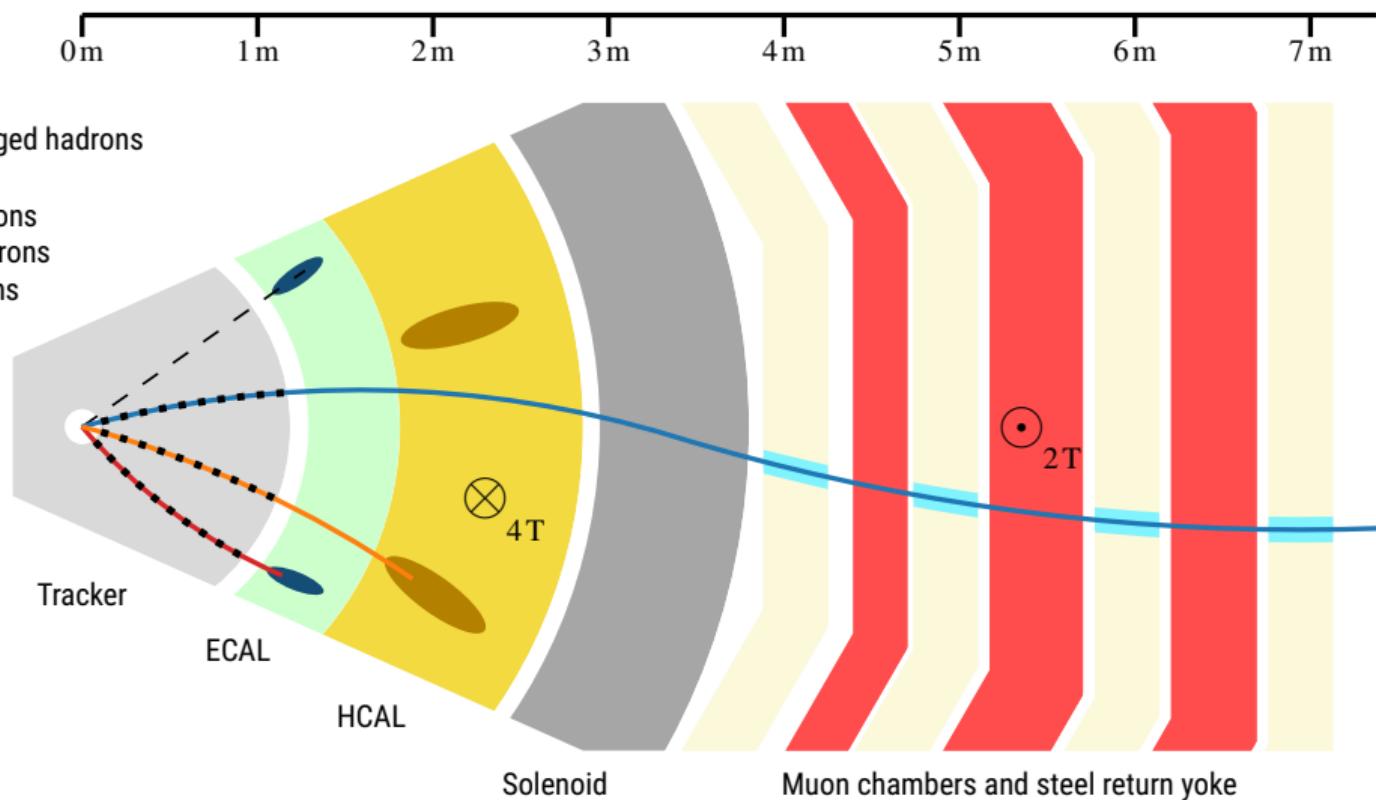
- Electrons
- Muons

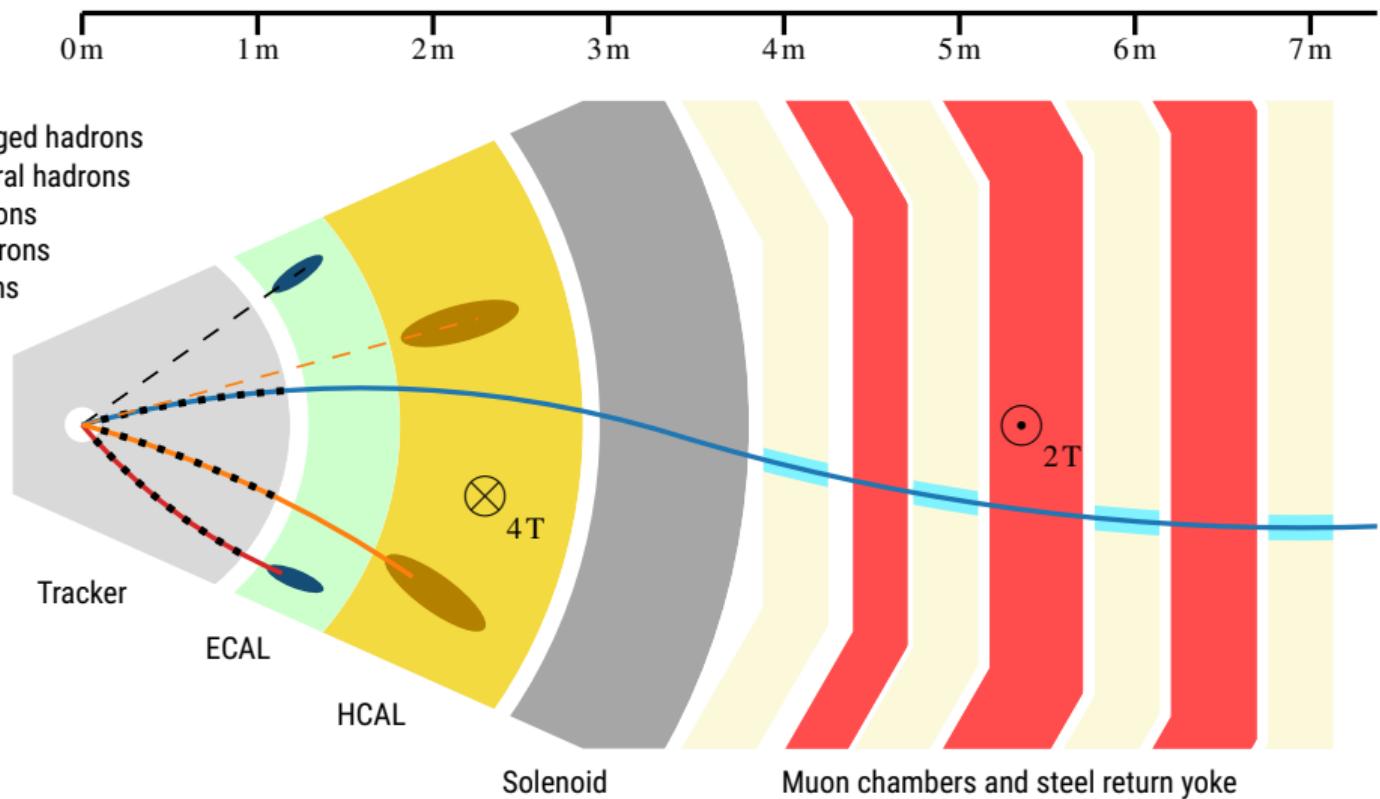


**Legend:**

- Photons
- Electrons
- Muons

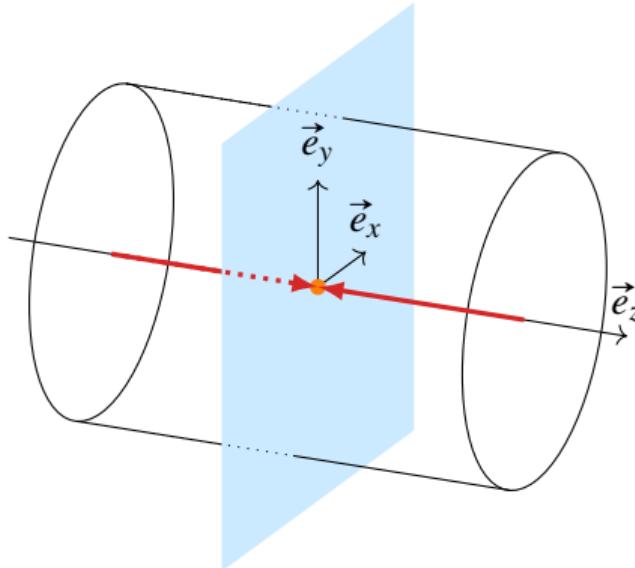




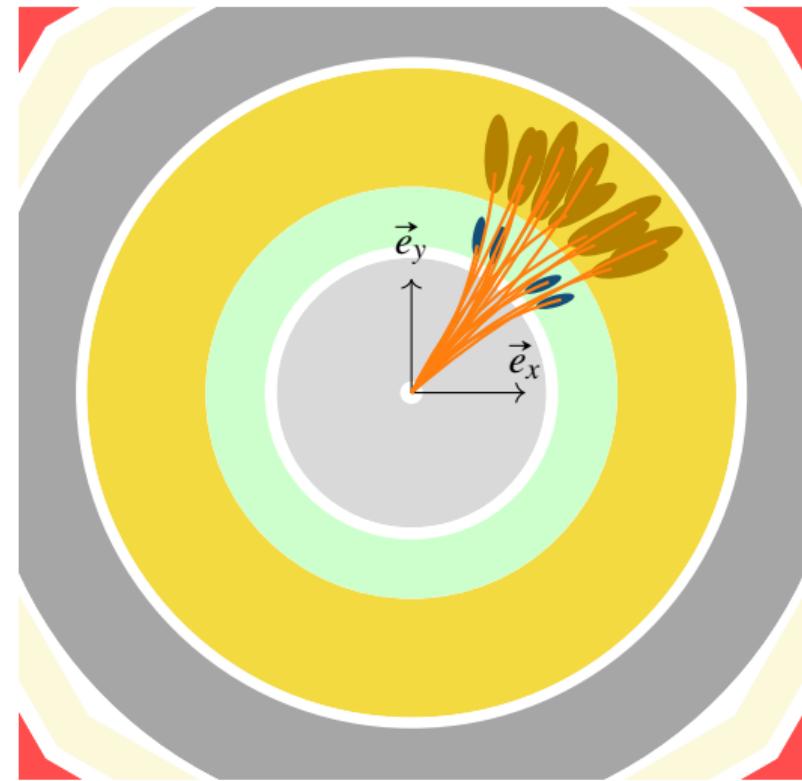


# Conserved momentum and neutrinos: missing transverse energy (MET)

$(\vec{e}_x, \vec{e}_y)$  = transverse plane ( $\eta = 0$ )

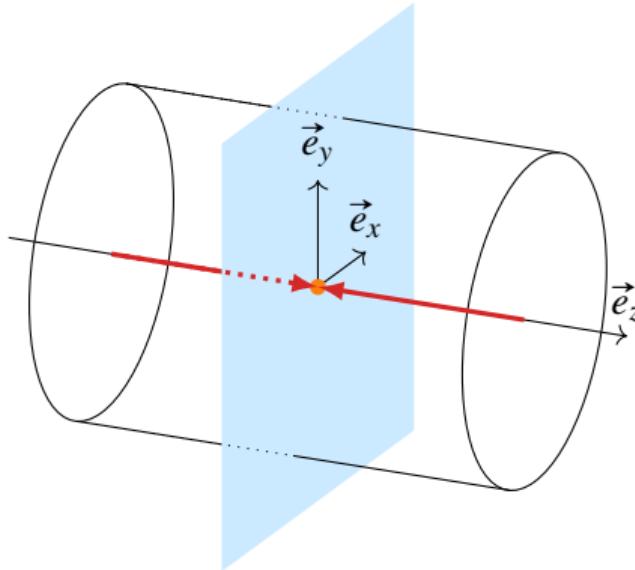


$$\sum_{\text{final state}} \vec{p}_{\text{T}} = \sum_{\text{initial state}} \vec{p}_{\text{T}} = \vec{0}$$

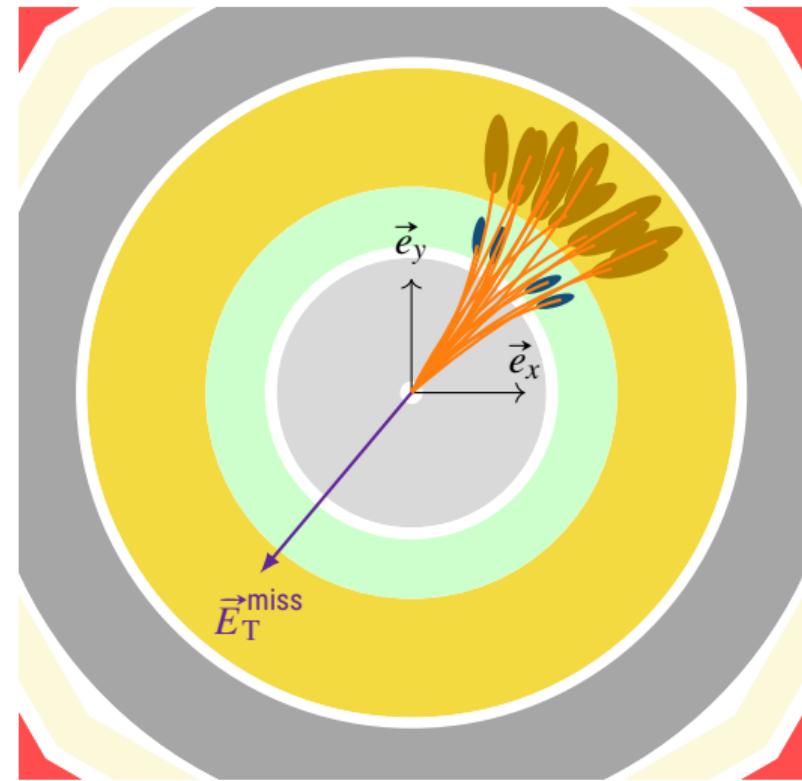


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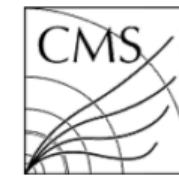
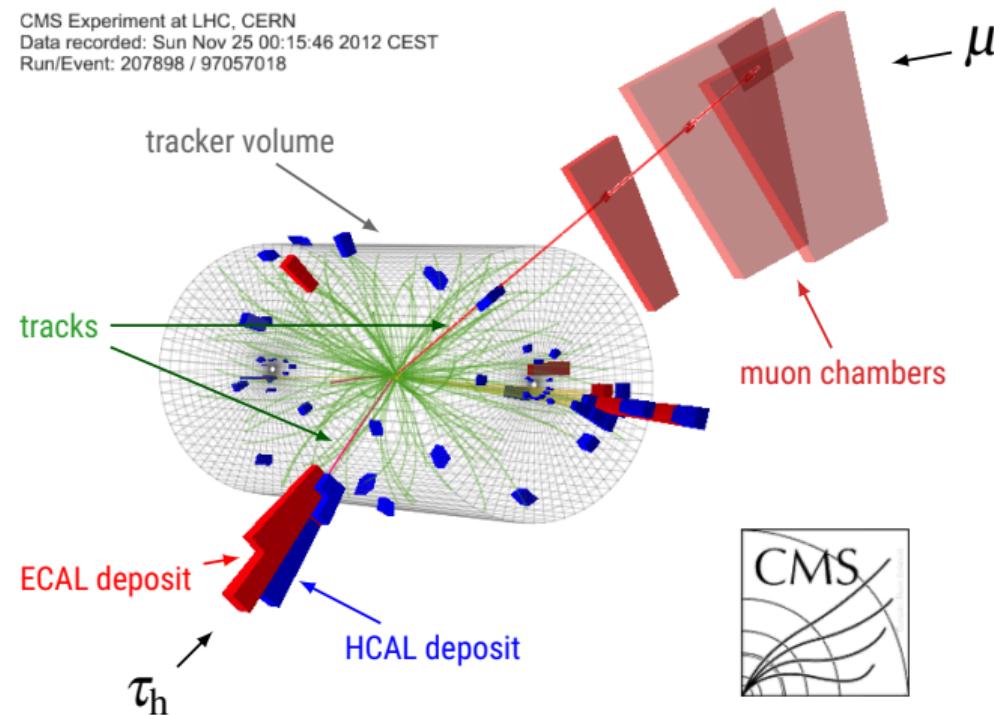


$$\sum_{\text{final state}} \vec{p}_{\text{T}} = \sum_{\text{initial state}} \vec{p}_{\text{T}} = \vec{0} \Rightarrow \vec{E}_{\text{T}}^{\text{miss}} = - \sum_{\text{visible particles}} \vec{p}_{\text{T}}$$



# Event display: $h \rightarrow \tau\tau \rightarrow \mu\tau_h$ candidate from real data

CMS Experiment at LHC, CERN  
Data recorded: Sun Nov 25 00:15:46 2012 CEST  
Run/Event: 207898 / 97057018



## 1 Phenomenology

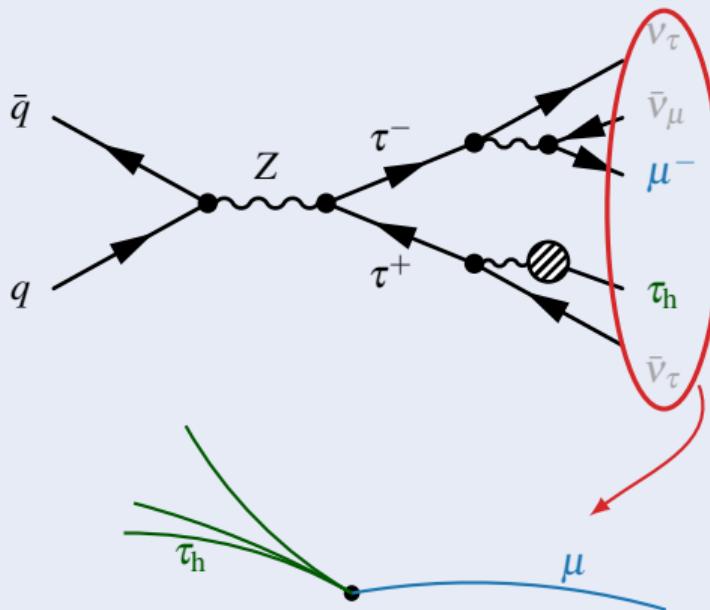
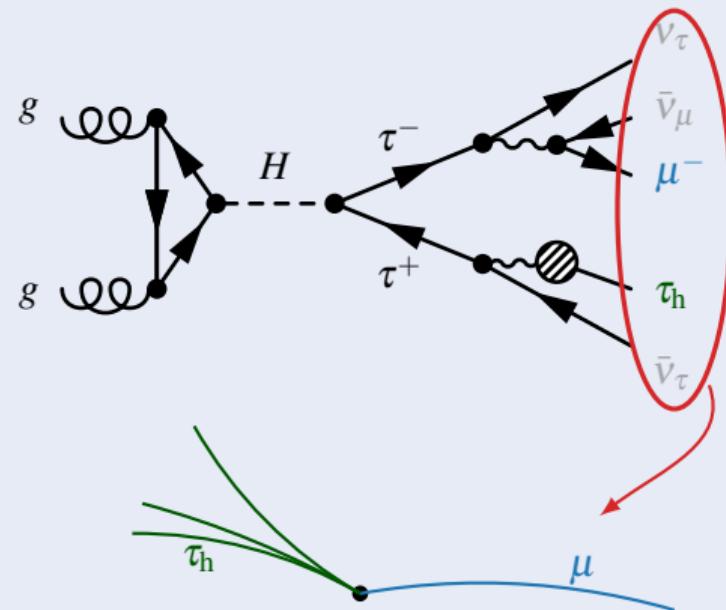
## 2 Experimental device

3  $H/A \rightarrow \tau\tau$  analysis

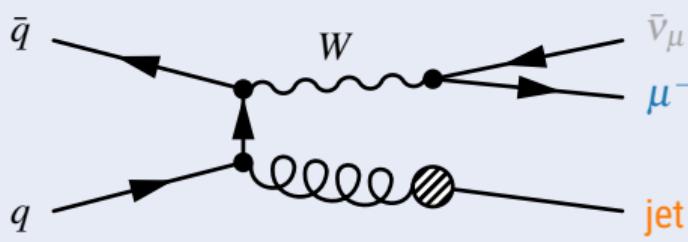
## 4 Machine learning

# Background processes?

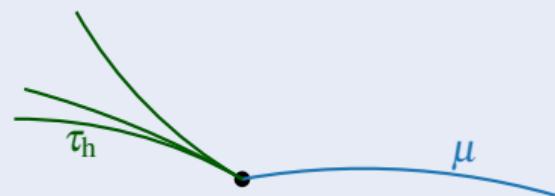
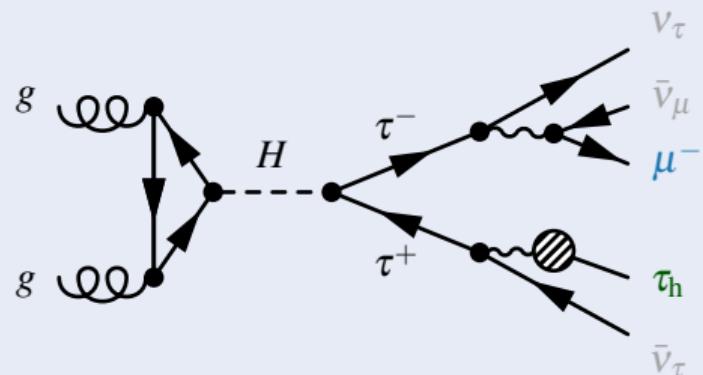
## Drell-Yan background

 $H \rightarrow \tau\tau \rightarrow \mu\tau_h$  signal

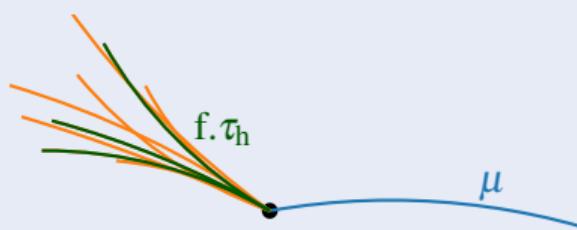
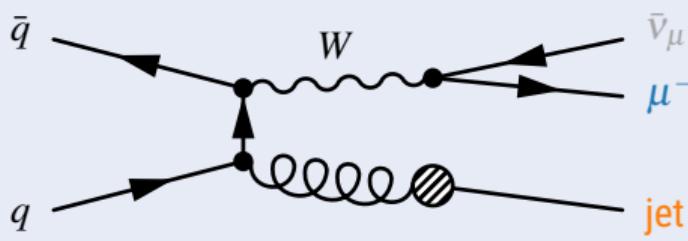
## $W + \text{jets}$ background



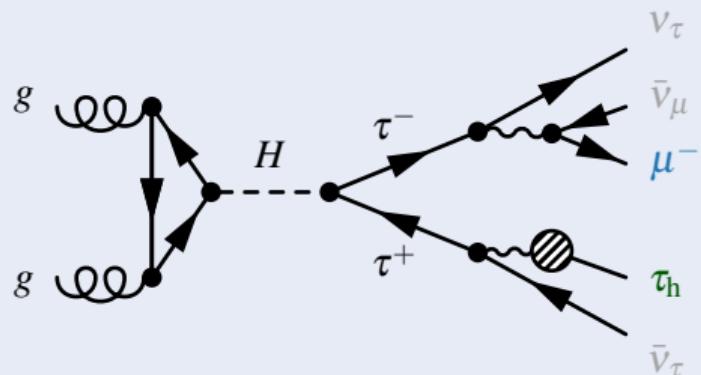
## $H \rightarrow \tau\tau \rightarrow \mu \tau_h$ signal



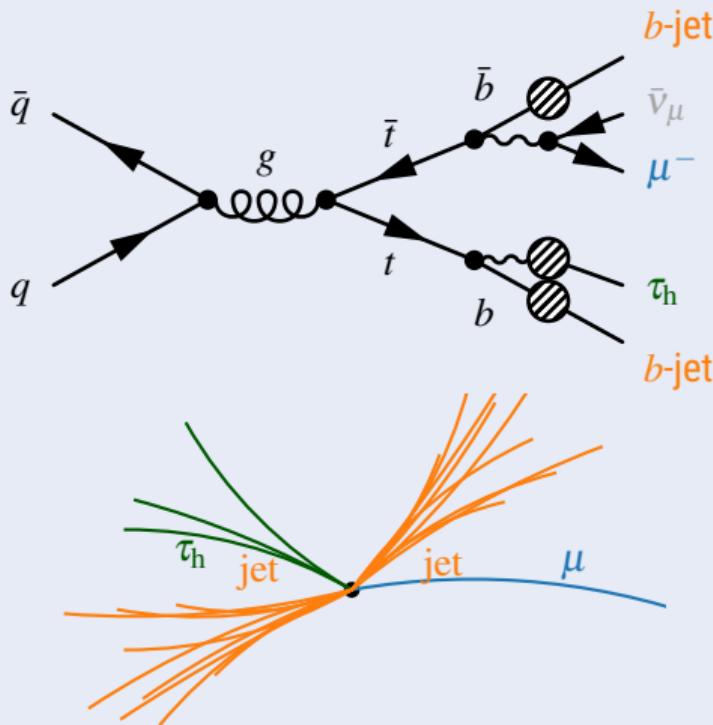
## $W + \text{jets}$ background, jet $\rightarrow$ fake $\tau_h$



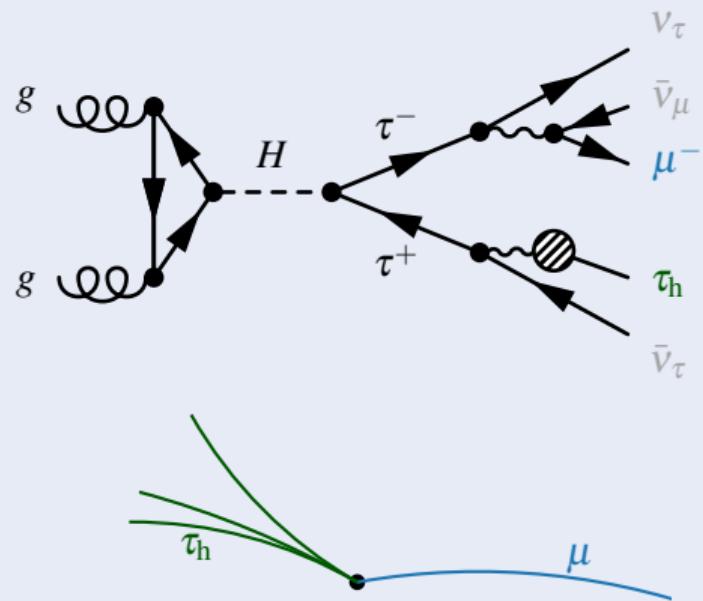
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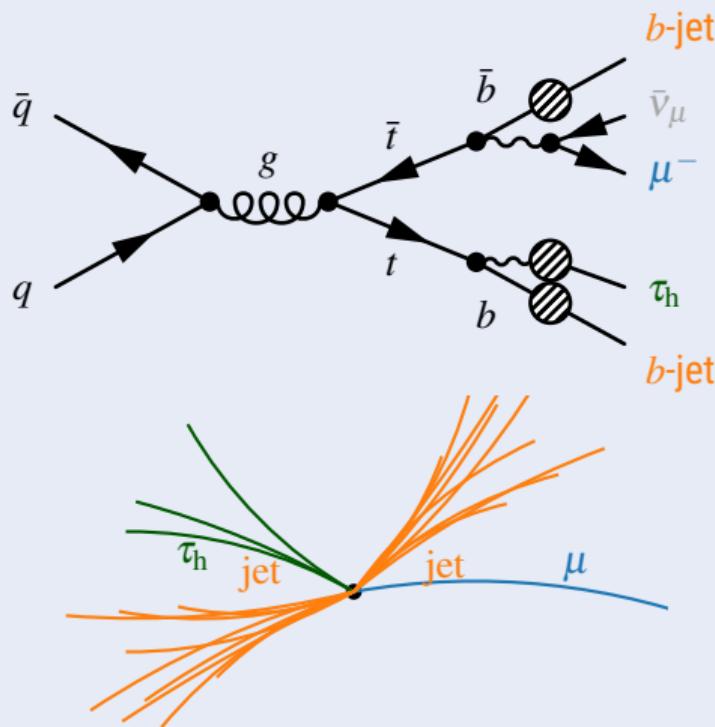
## $t\bar{t}$ background



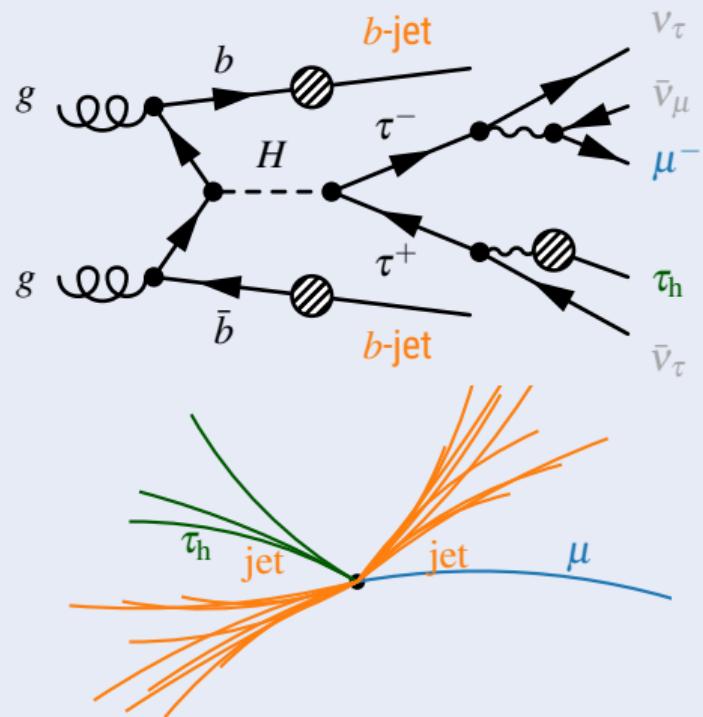
## $H \rightarrow \tau\tau \rightarrow \mu\tau_h$ signal



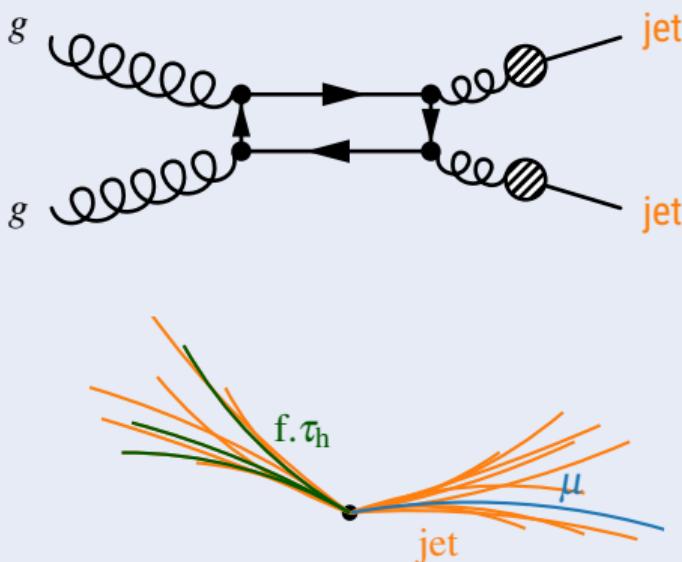
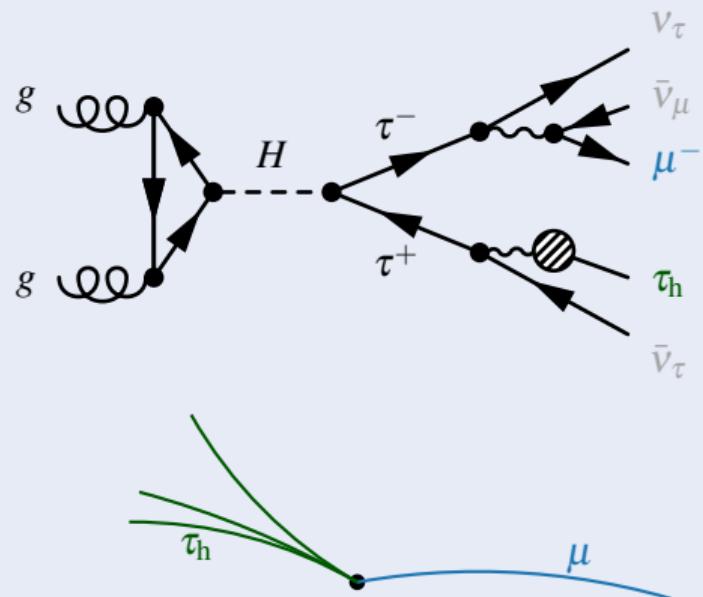
## $t\bar{t}$ background



## $H$ production with $b$ -jets

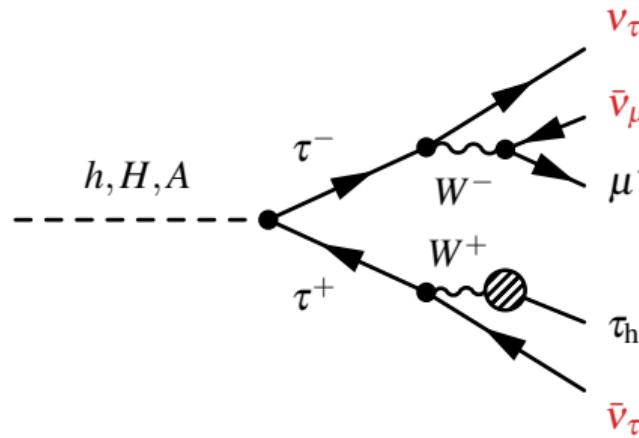


## QCD background

 $H \rightarrow \tau\tau \rightarrow \mu\tau_h$  signal

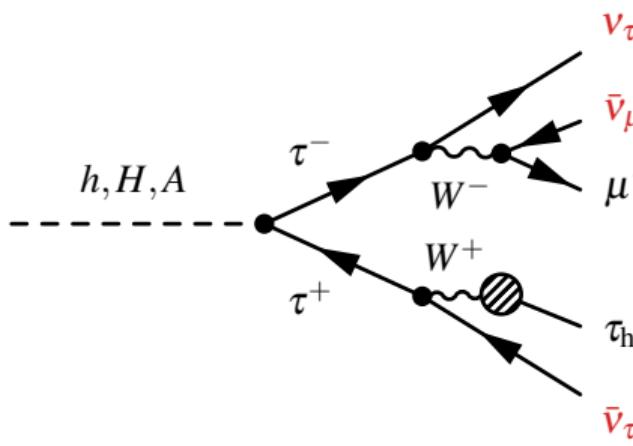
# Discriminant variable?

- ▶  $E_T^{\text{miss}}$  due to neutrinos.
- ▷ No invariant mass!

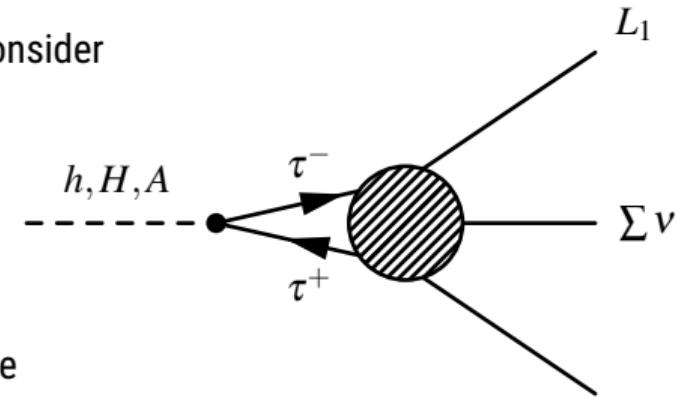


# Discriminant variable?

- ▶  $E_T^{\text{miss}}$  due to neutrinos.
- ▷ No invariant mass!



- ▶ Consider



where

- $L_1 = \mu$ ;
- $L_2 = \tau_h$ ;
- $\Sigma v \simeq E_T^{\text{miss}}$ ;

with respect to the left side.

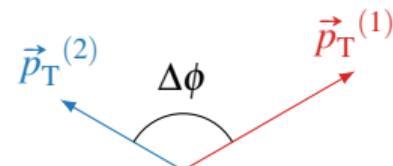
# Discriminant variable: $m_T^{\text{tot}}$

- ▶ For  $L_1, L_2$  and  $E_T^{\text{miss}}$  system,
  - ▷ in the transverse plane (use  $E_T^{\text{miss}}$ ),
  - ▷ for  $E_i \gg m_i$  (highly relativistic case),deriving the "invariant" mass would then lead to

the **total transverse mass**,  $m_T^{\text{tot}}$

$$m_T^{\text{tot}} = \sqrt{m_T^2(L_1, E_T^{\text{miss}}) + m_T^2(L_2, E_T^{\text{miss}}) + m_T^2(L_1, L_2)}$$

$$m_T(1,2) = \sqrt{2p_T^{(1)} p_T^{(2)} (1 - \cos \Delta\phi)}$$

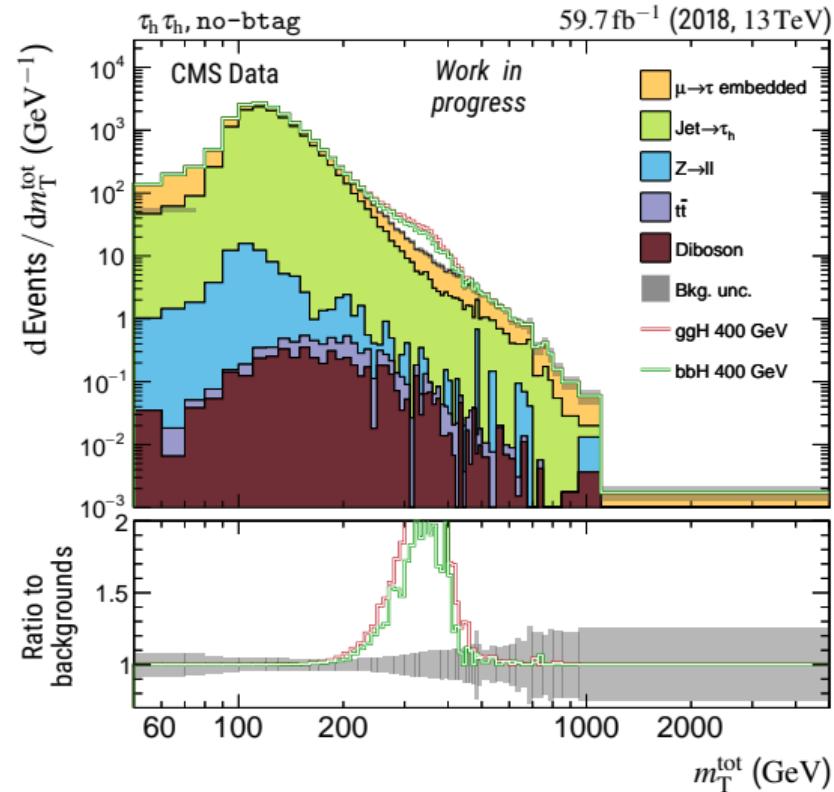


# Results obtained in this thesis?

# $m_T^{\text{tot}}$ distributions

## ► Backgrounds = SM expectations:

- ▷ DY  $Z \rightarrow \tau\tau$  and some  $t\bar{t}$  in  $\mu \rightarrow \tau$  embedded
- ▷ QCD,  $W + \text{jets}$  and some  $t\bar{t}$  in Jet  $\rightarrow \tau_h$
- ▷  $Z \rightarrow ee + Z \rightarrow \mu\mu$  in  $Z \rightarrow ll$  ( $\ell \rightarrow \tau_h$ )
- ▷ Remaining  $t\bar{t}$  in  $t\bar{t}$
- ▷ Other small backgrounds in Diboson

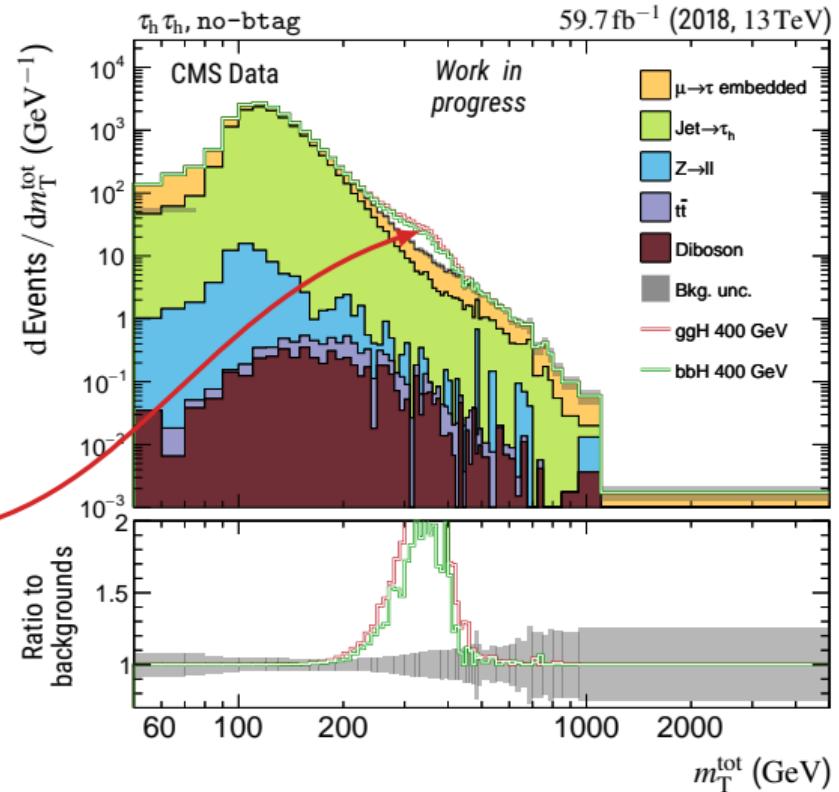


# $m_T^{\text{tot}}$ distributions

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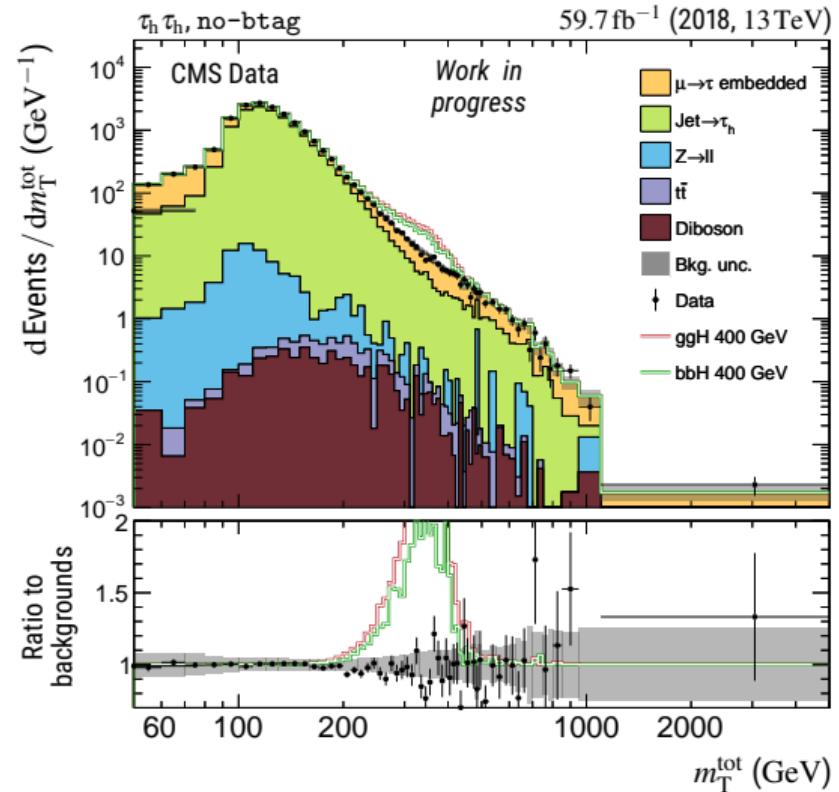
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►  $H$  at 400 GeV expected  $\sigma \times \mathcal{BR} = 1 \text{ pb}$  signal.



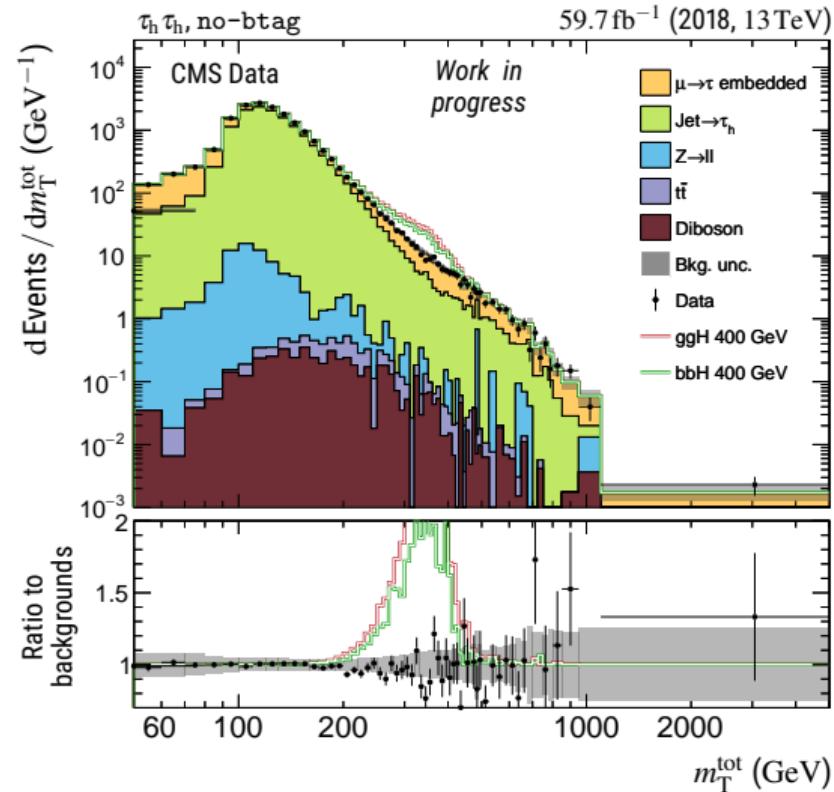
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- ▶ Compare to observed events (black dots).



# $m_T^{\text{tot}}$ distributions

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- ▶  $H$  at 400 GeV expected  $\sigma \times \mathcal{BR} = 1 \text{ pb}$  signal.
- ▶ Compare to observed events (black dots).
- ▶ Data/Bkg agreement  $\rightarrow$  **exclusion limits** on  $\sigma \times \mathcal{BR}$

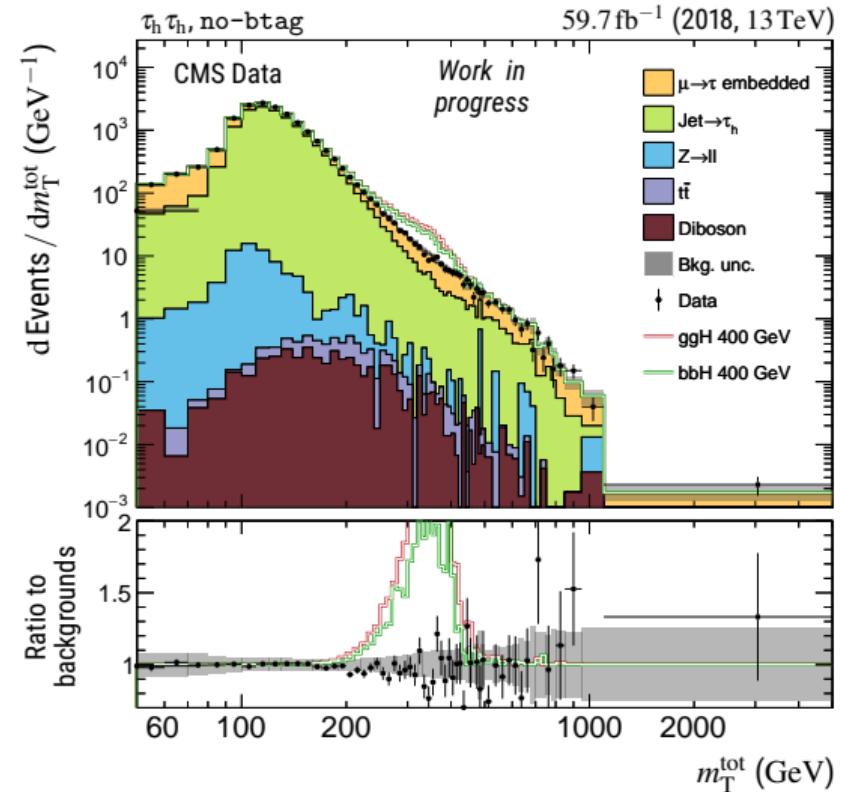


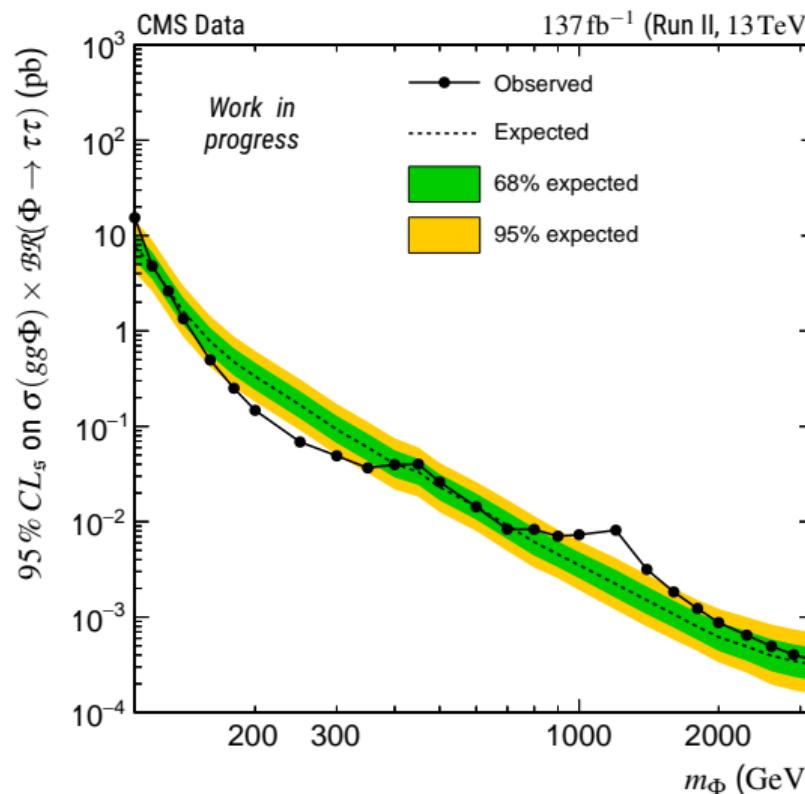
# $m_T^{\text{tot}}$ distributions

## ► Background contributions:

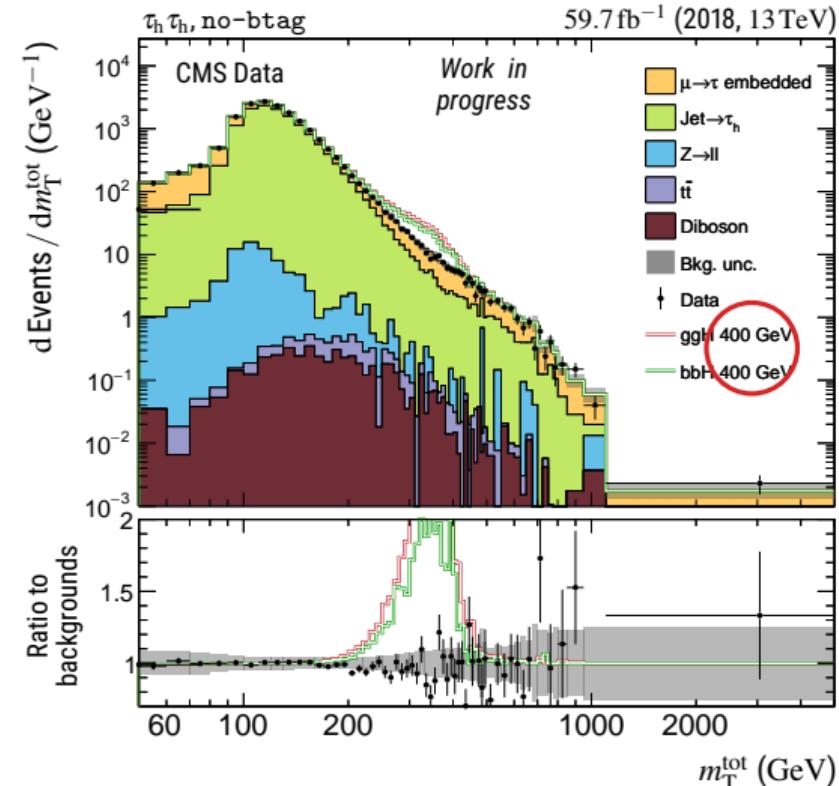
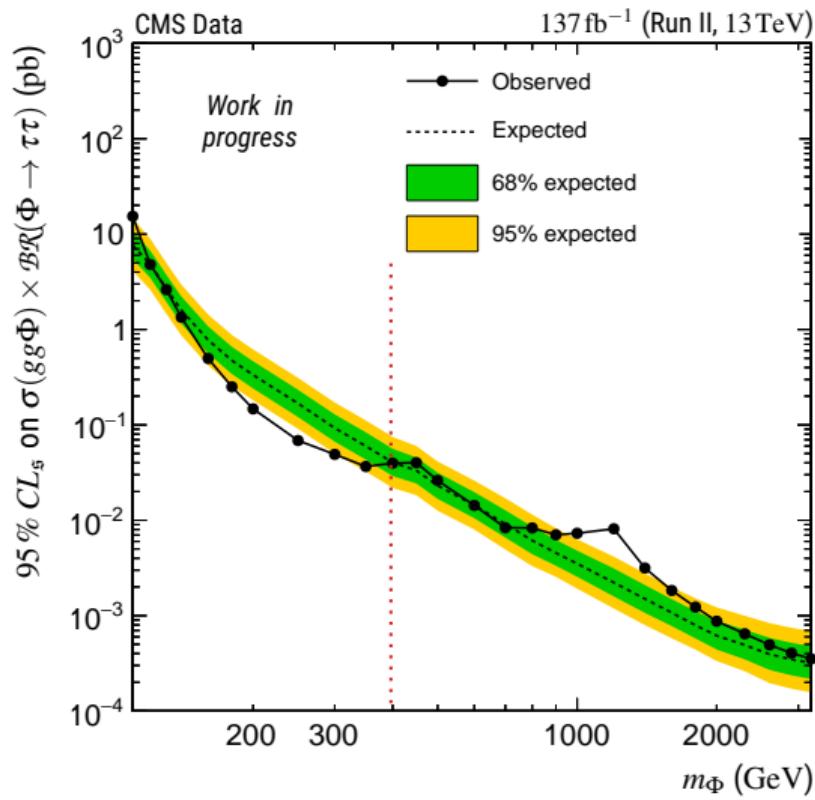
**Not just a plot!**

- Lots of hard work to obtain this:  $\tau$  embedded
  - ▷ simulated events
  - ▷ QCD,  $W + \text{jets}$  and some  $t\bar{t}$  in Jet  $\rightarrow \tau_h$
  - ▷ detector issues
  - ▷  $Z \rightarrow ee + Z \rightarrow ll$  in  $Z \rightarrow ll$  ( $\ell \rightarrow \tau_h$ )
  - ▷ uncertainties measured
- Collaborative work:
  - ▷ Karlsruhe Institute of Technology (DE)
  - ▷ Imperial College (UK)  $\mathcal{BR} = 1 \text{ pb}$  signal.
  - ▷ DESY (DE) observed events (black dots).
  - ▷ HEPHY (AT)  $\rightarrow$  exclusion limits on  $\sigma \times \mathcal{BR}$
  - ▷ IP2I (FR)

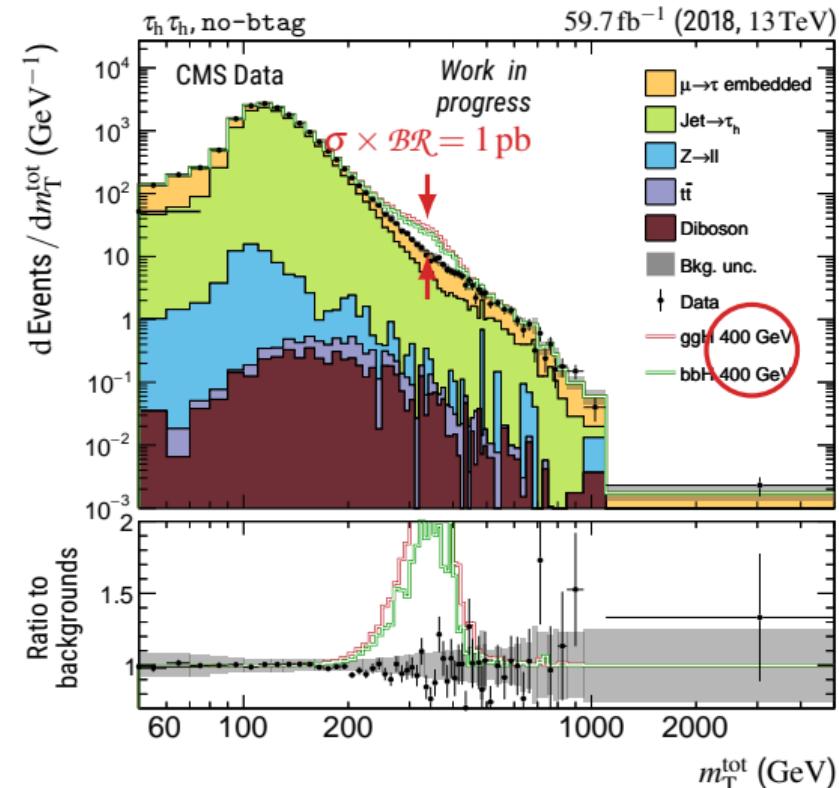
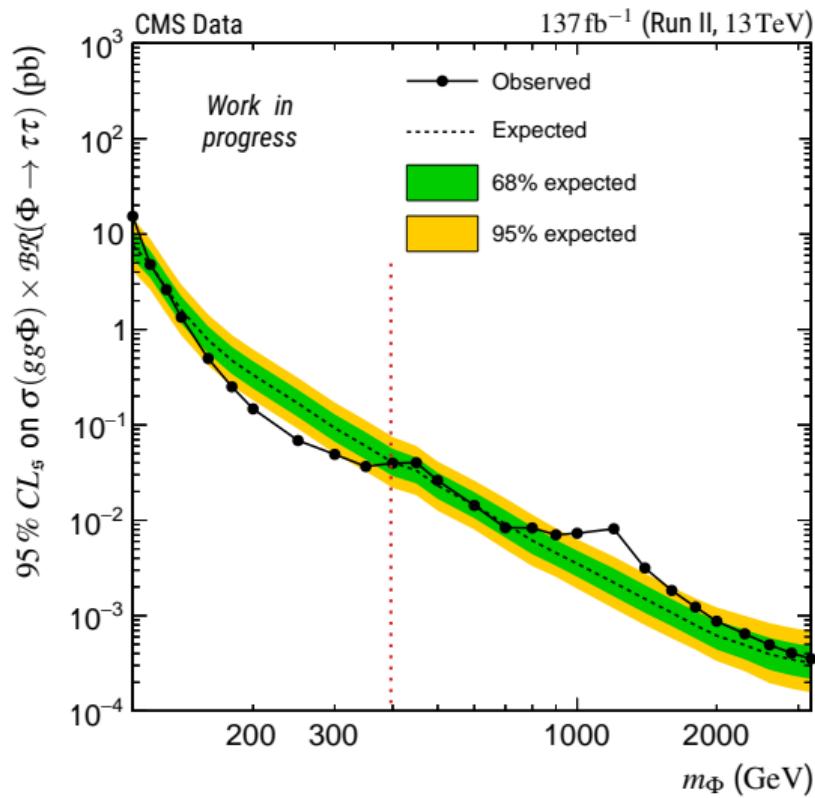




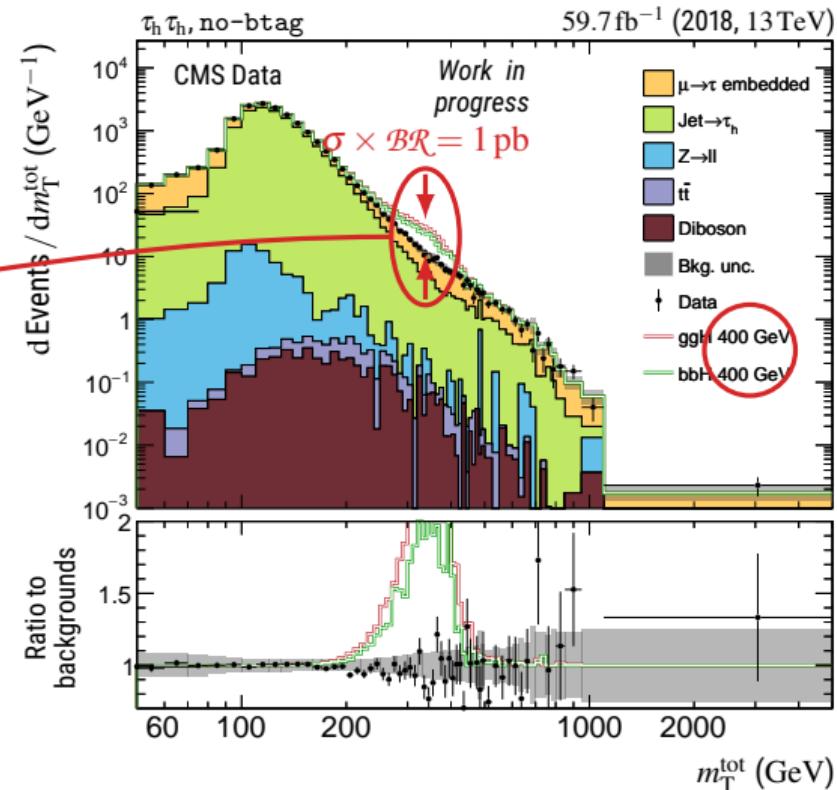
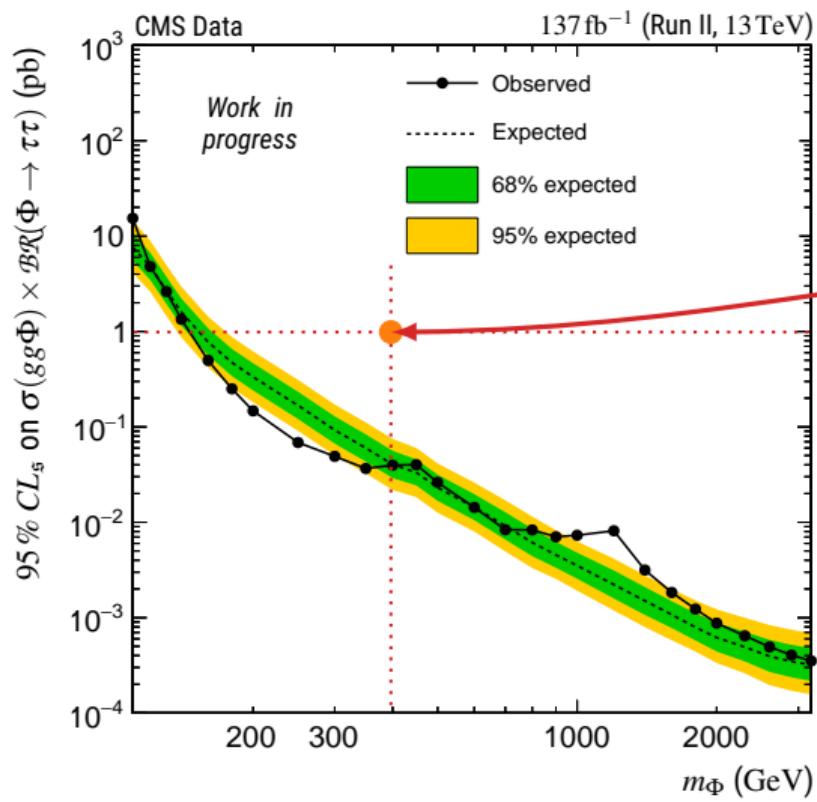
- ▷ A. L. Read. "Modified frequentist analysis of search results (the  $CL_s$  method)". *Workshop on confidence limits, CERN, Geneva, Switzerland, 17-18 Jan 2000: Proceedings*. CERN-OPEN-2000-205. May 2000. URL: <http://cds.cern.ch/record/451614>.



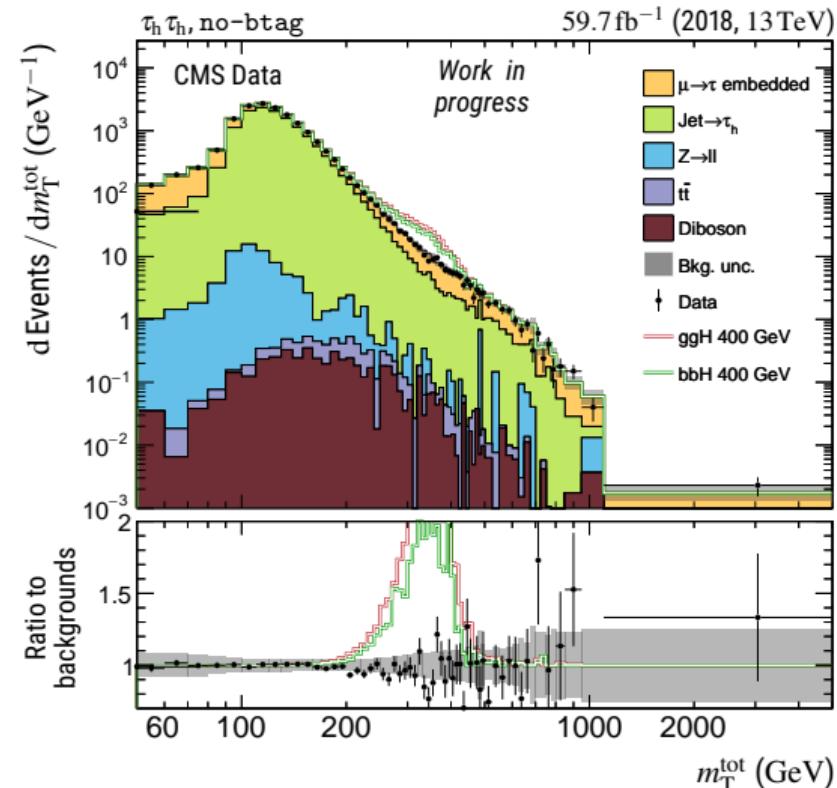
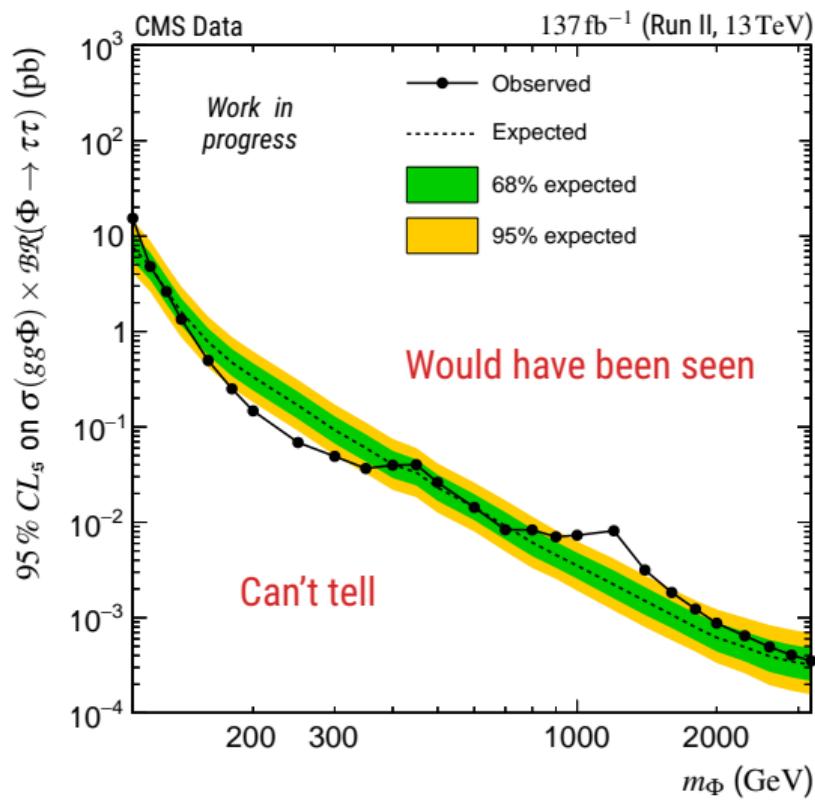
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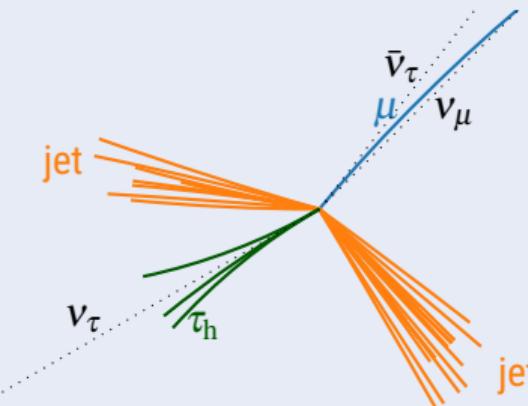
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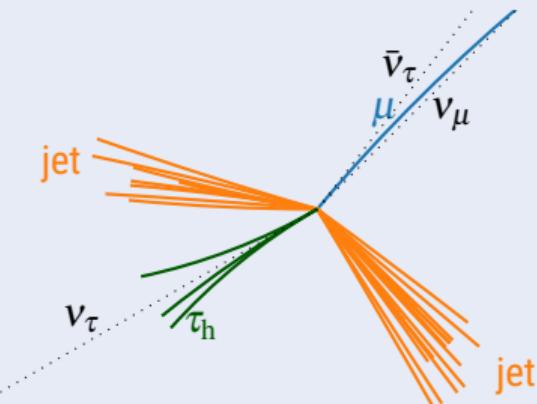
(e.g. VBF Higgs production + decay to  $\tau\tau, \mu\tau_h$  channel)



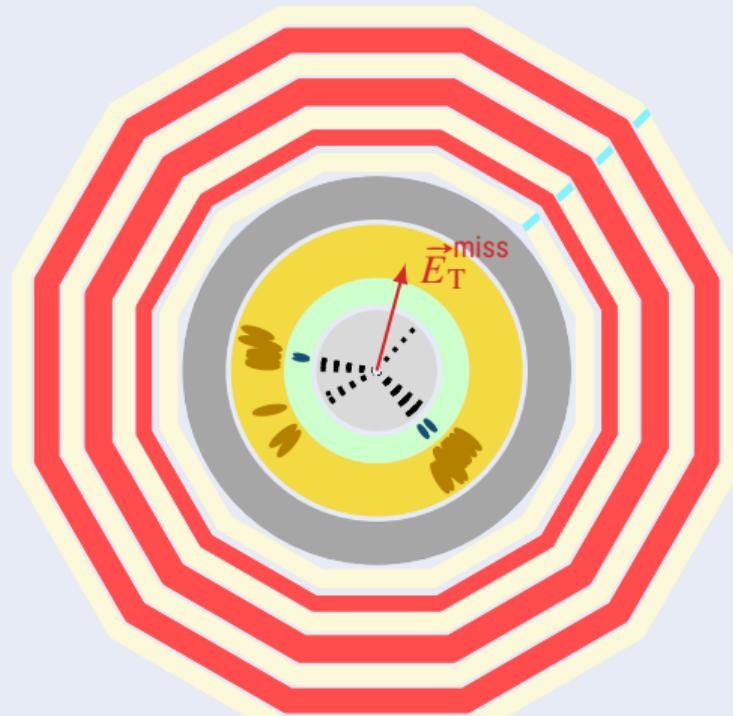
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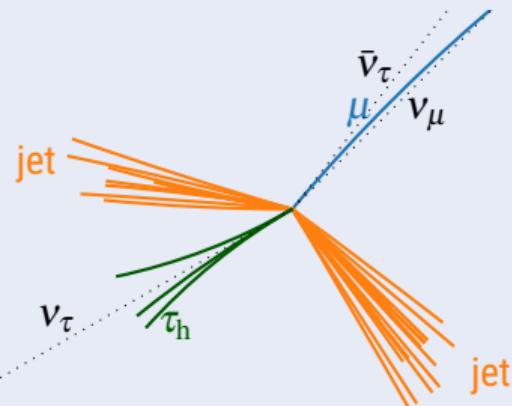
What CMS sees: no neutrinos but  $E_T^{\text{miss}}$



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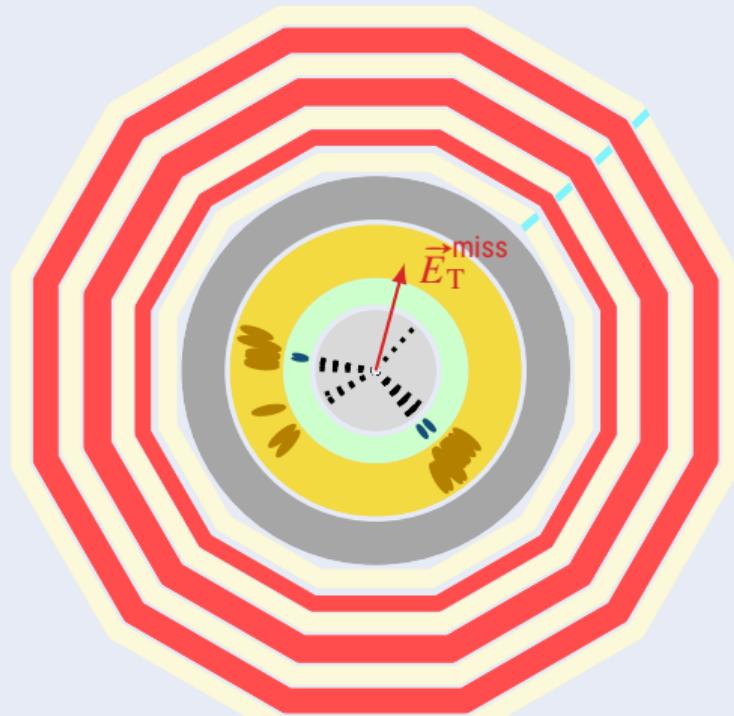
## What's here

(e.g. VBF Higgs production + decay to  $\tau\tau, \mu\tau_h$  channel)



- ▶ It would be great to have a di- $\tau$  mass estimator!
  - ▷ What about **machine learning?**

What CMS sees: no neutrinos but  $E_T^{\text{miss}}$



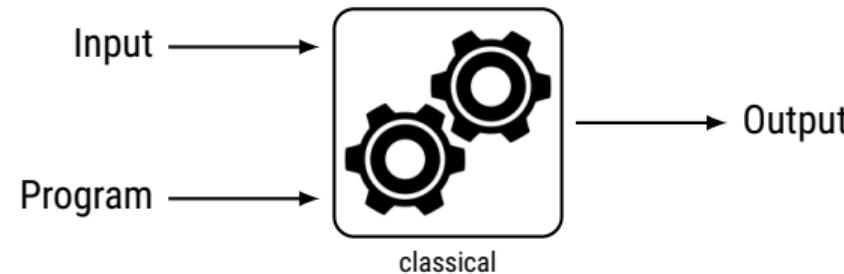
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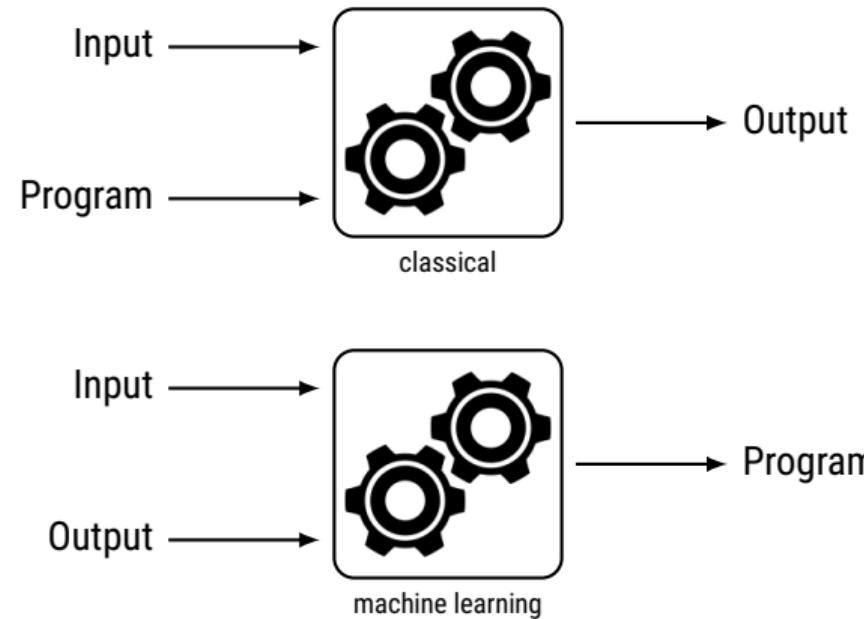
## 3 $H/A \rightarrow \tau\tau$ analysis

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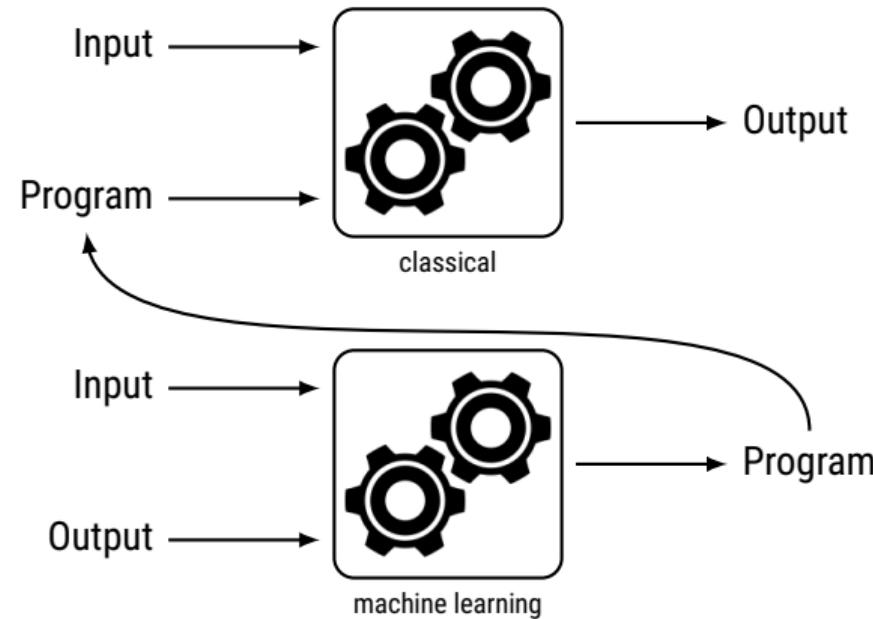
# What is *machine learning*? – A brief introduction



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e.g. cat or dog on the image



► C. Bernet. *The Data Frog – Image Recognition: Dogs vs Cats!* URL:  
<https://thedatafrog.com/en/articles/dogs-vs-cats/>.

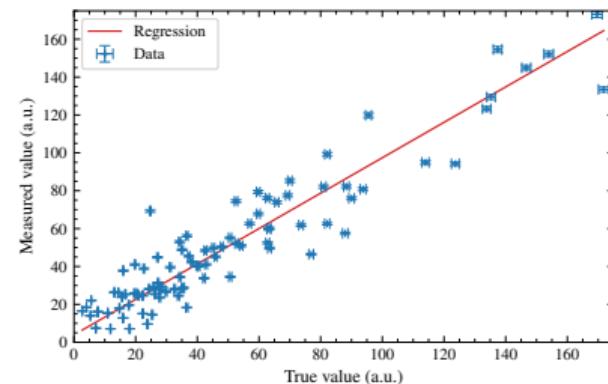
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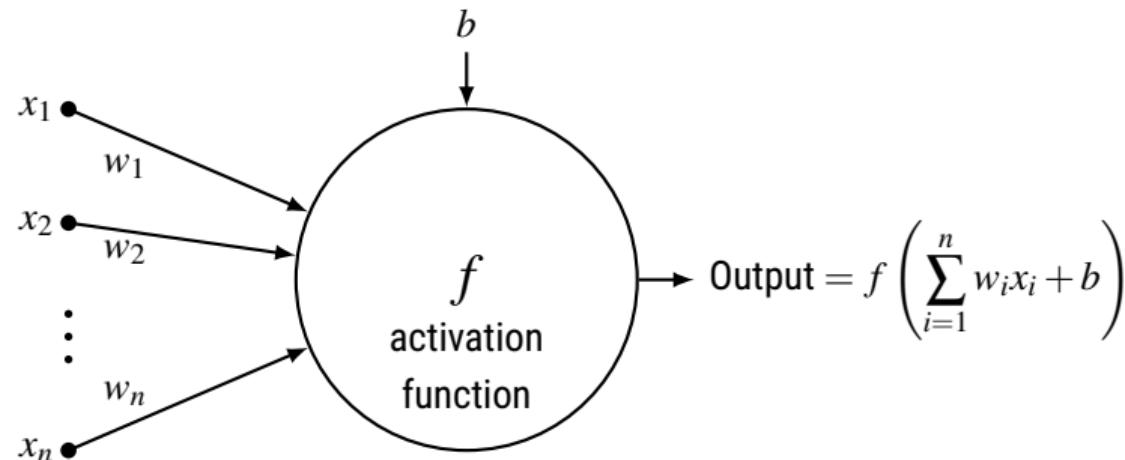
- ▶ Continuous target  $\Rightarrow$  Regression  
e.g. discriminating variable!  
Linear case:



- ▶ C. Bernet. *The Data Frog – Image Recognition: Dogs vs Cats!* URL:  
<https://thedatafrog.com/en/articles/dogs-vs-cats/>.

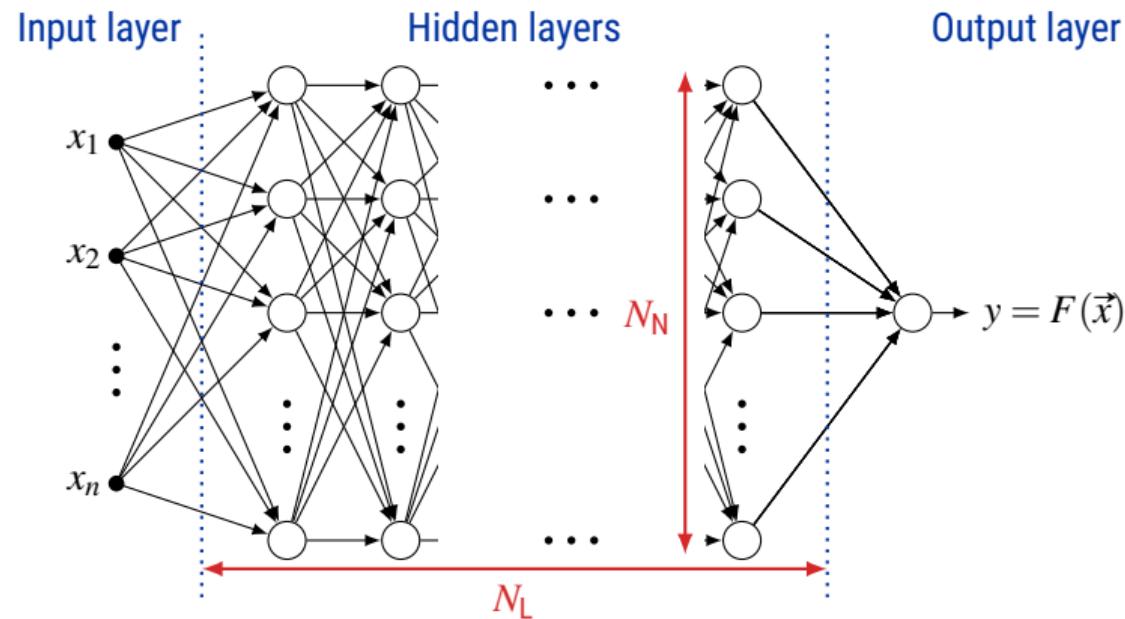
What if the target is not linear wrt. input?

# Neurons in ML



- ▶ Parameters:  $w_1, w_2, \dots, w_n, b$
- ▶ Equivalent to linear regression for  $f = \text{Id} : x \mapsto x$

# (Deep) Neural Networks

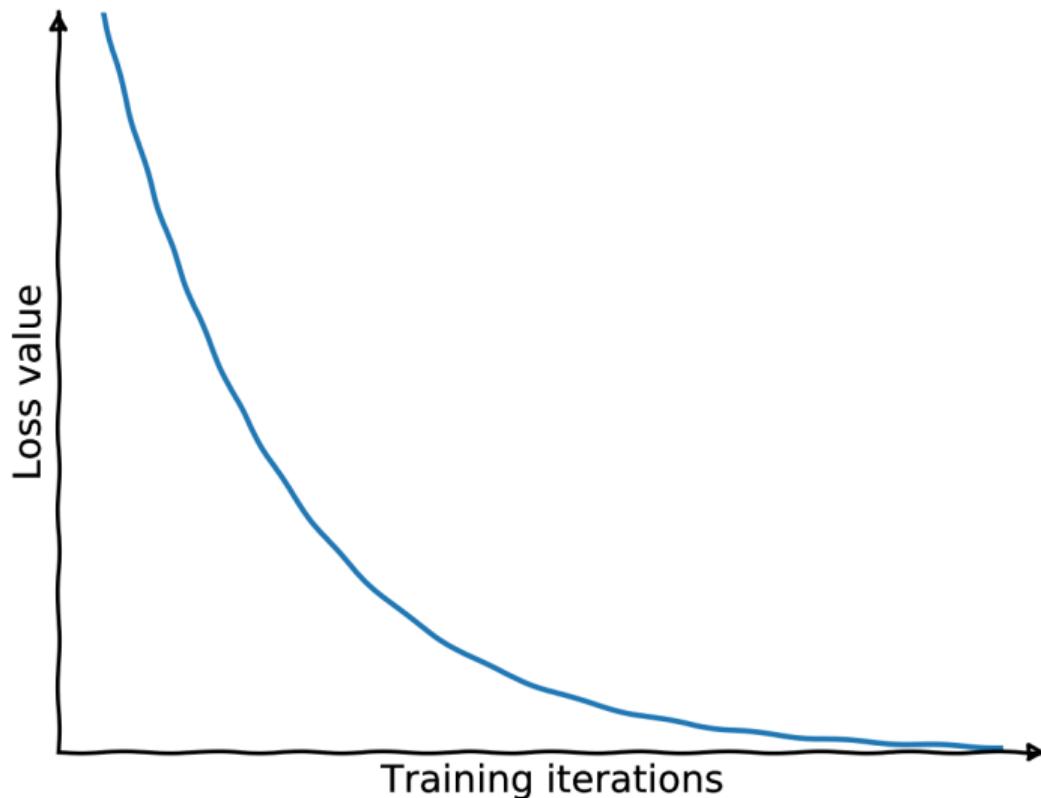


# How to train a neural network?

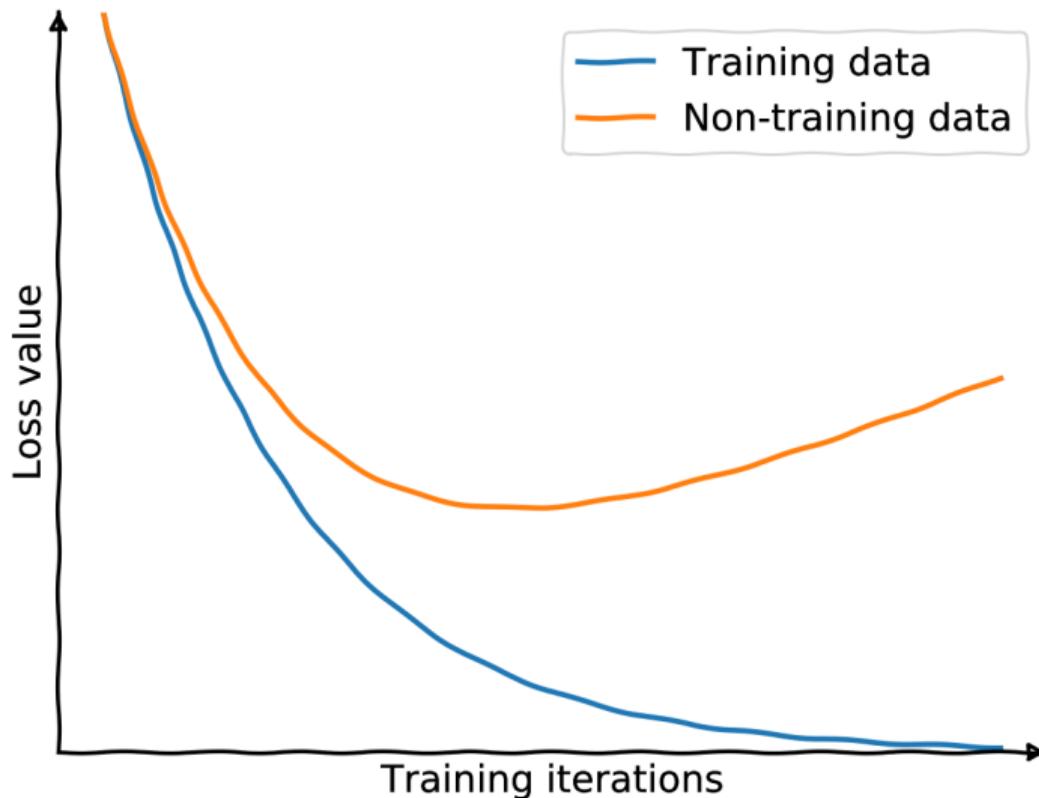
Train = optimize parameters  $(w_1, w_2, \dots, w_n, b)$  for each neuron.

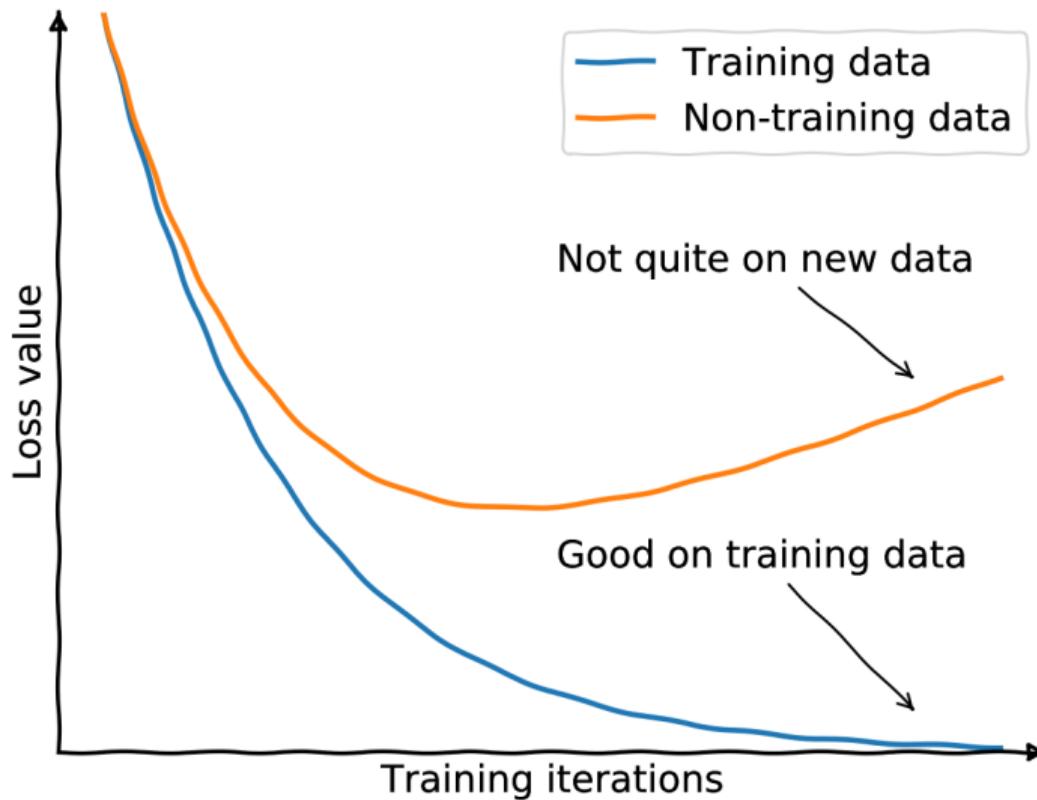
- ▶ Get a **training dataset** = examples of inputs  $\vec{x}_i$  with corresponding outputs  $y_i$
- ▶ Compare the model predictions  $F(\vec{x}_i)$  to the true values  $y_i$ 
  - ▷ Define a **loss function**  $\mathcal{L}$  such that its minimum is reached when  $F(\vec{x}_i) = y_i$
  - ▷ Change the parameters a bit, aiming at minimizing  $\mathcal{L}(F(\vec{x}_i), y_i)$
  - ▷ Repeat

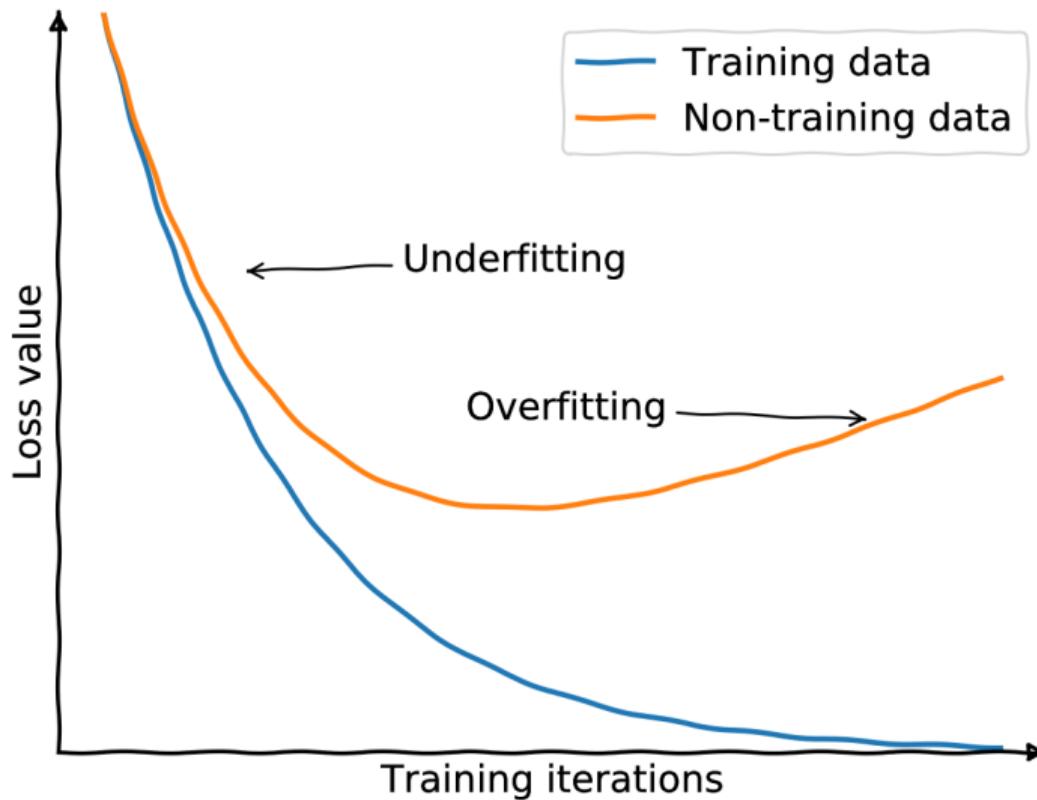
When to stop training?

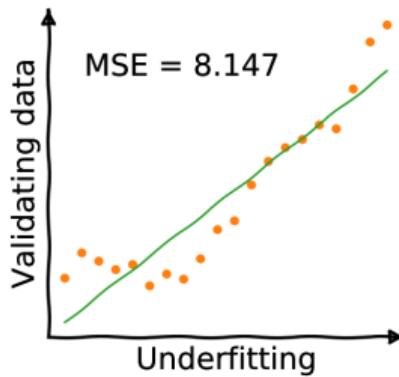
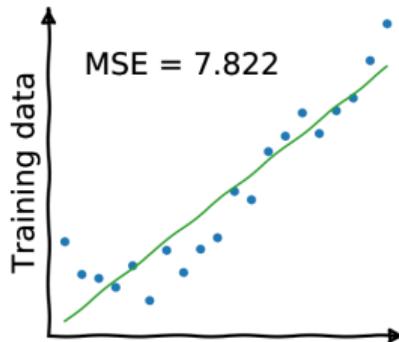


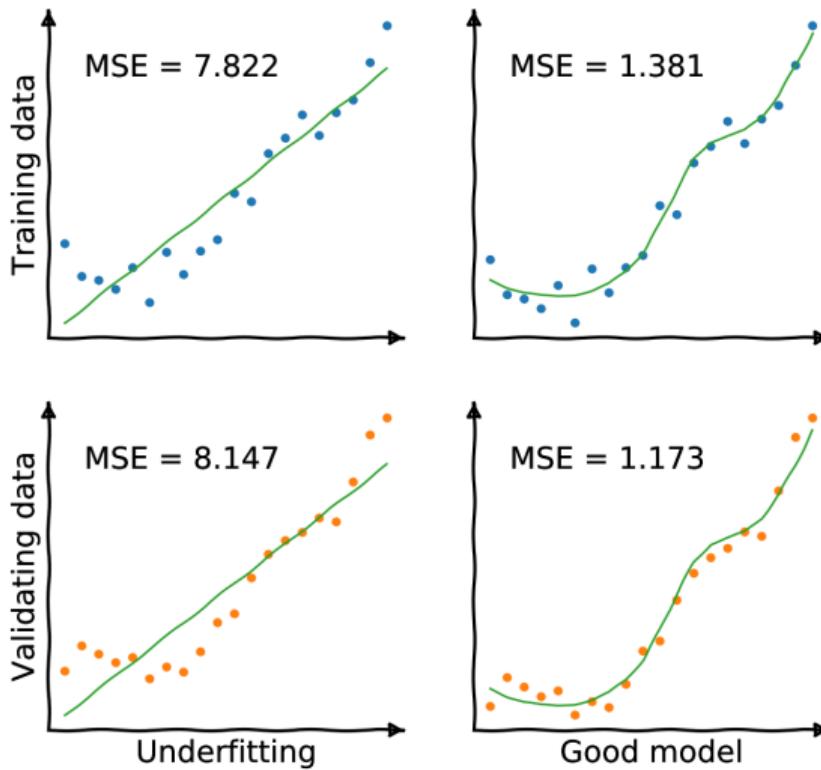


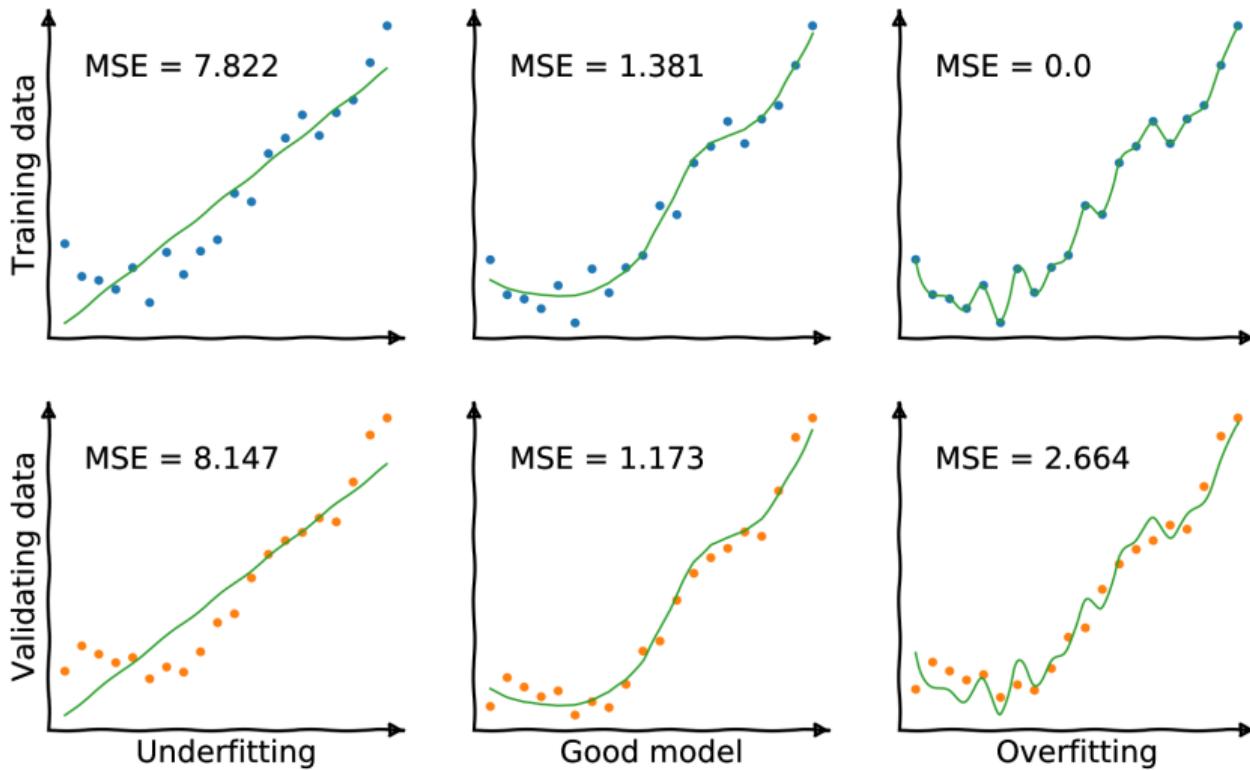


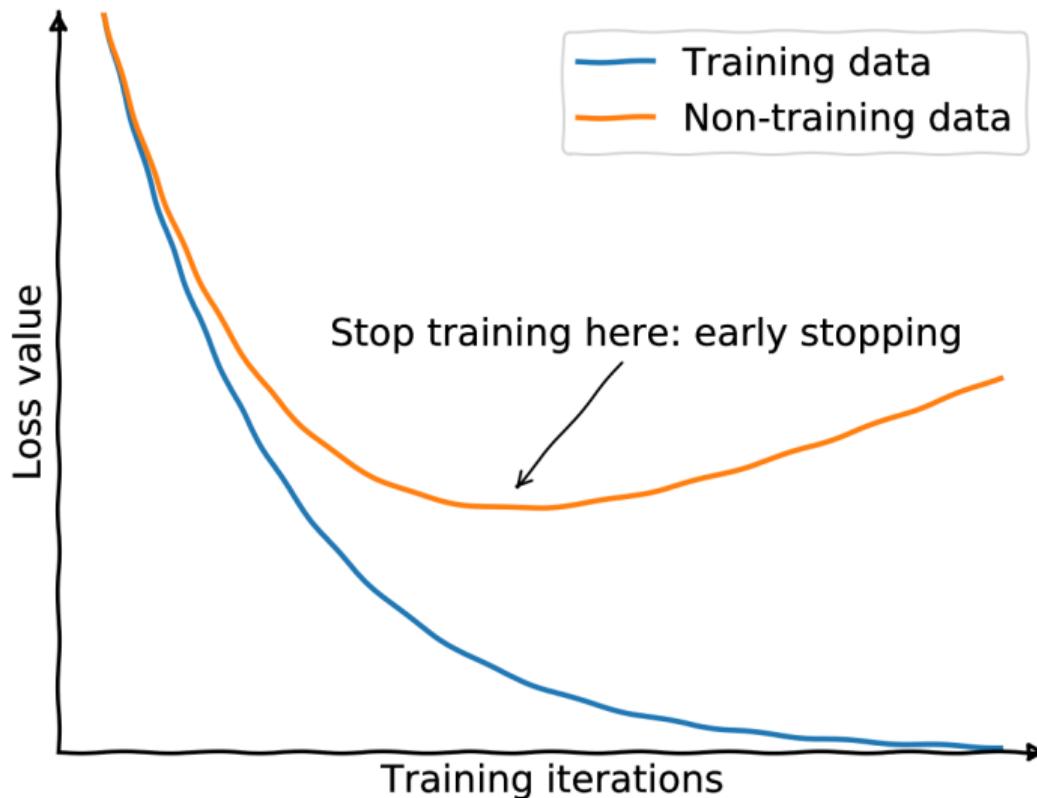


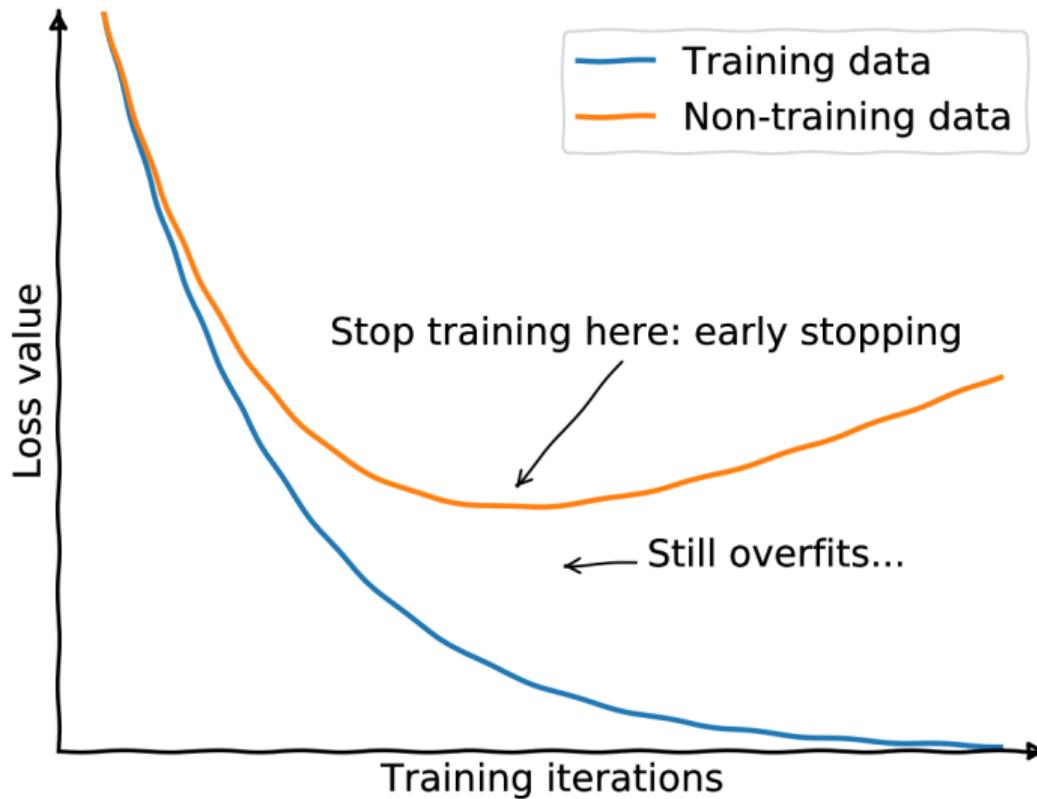


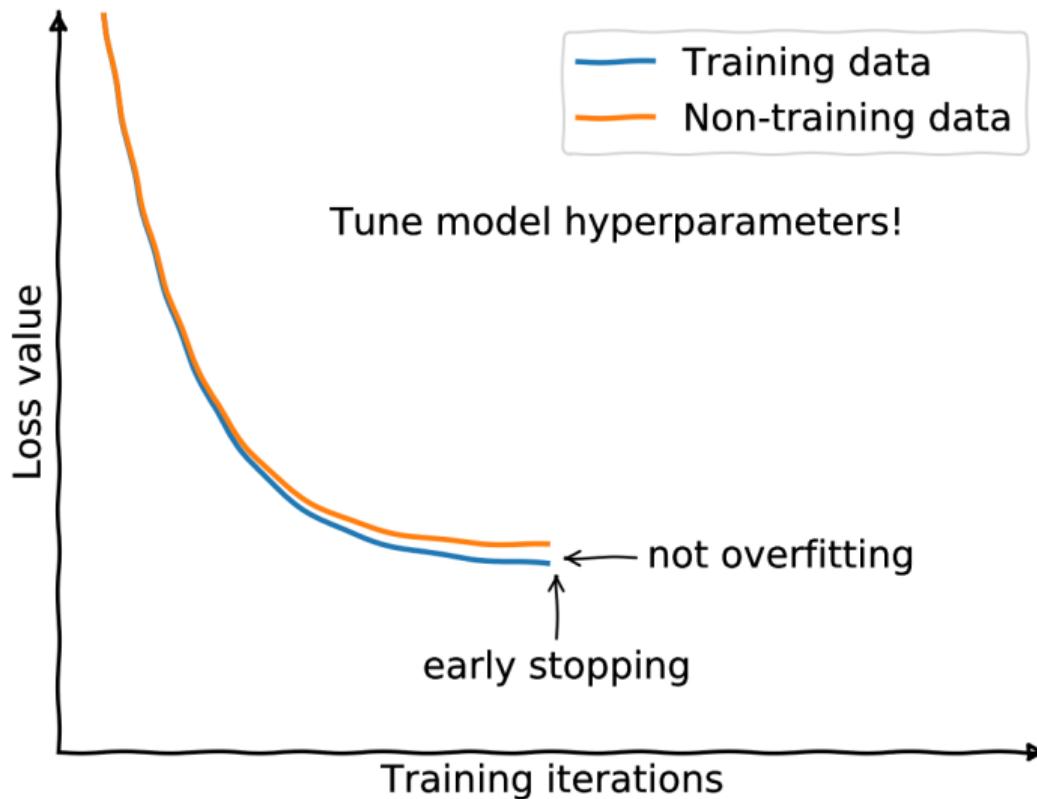




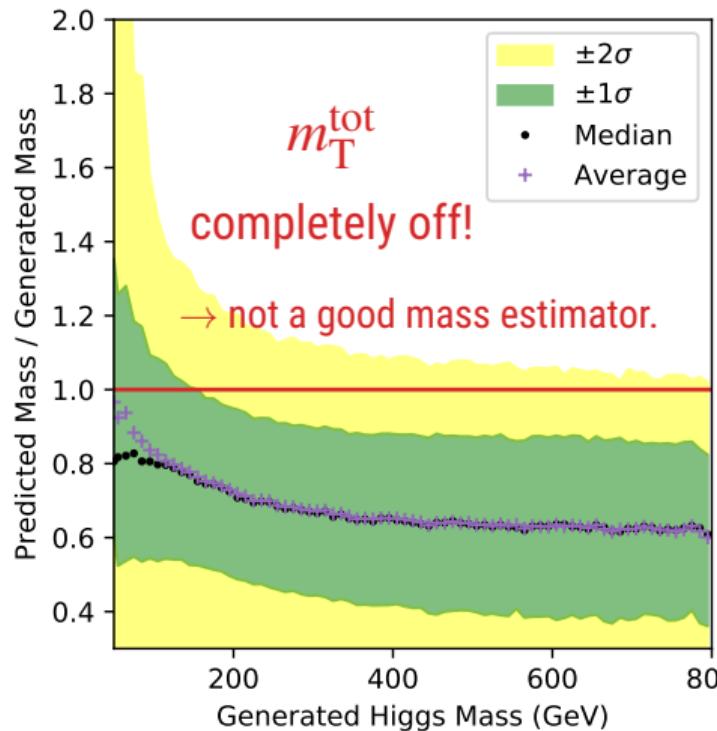








# DNN's $m_{\text{ML}}$ predictions vs $m_{\text{T}}^{\text{tot}}$

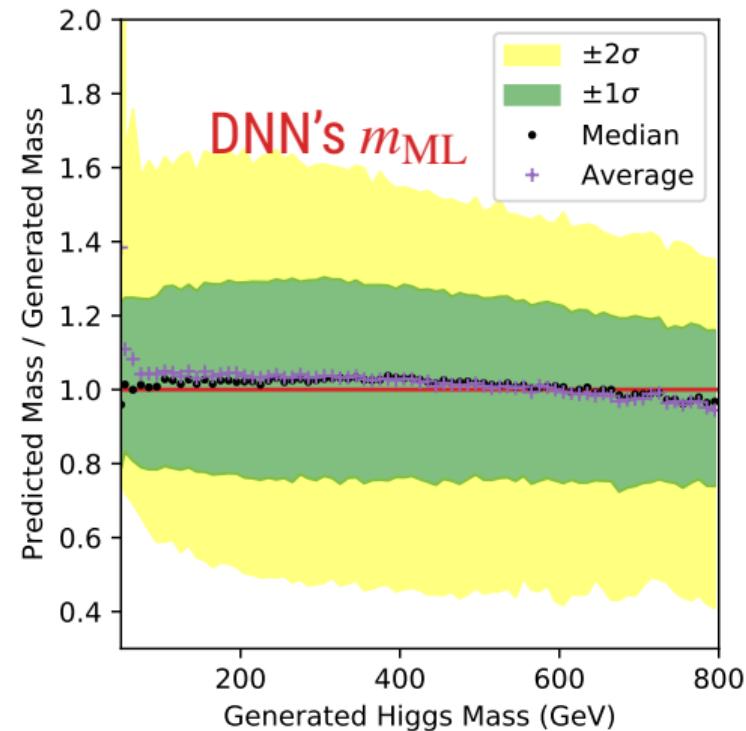
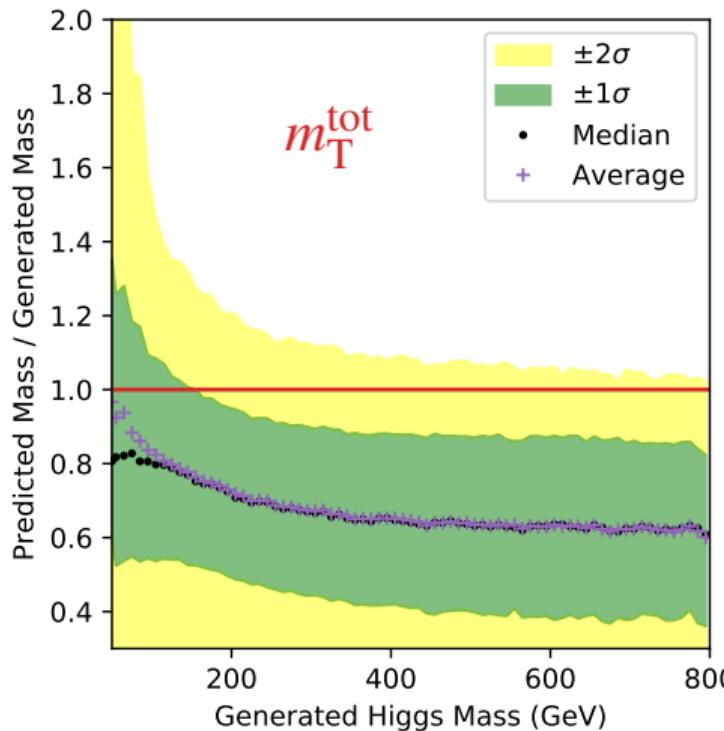


► Model's response:

$$r = \frac{\text{prediction}}{\text{true value}} = \frac{m_{\text{ML}}}{m_{\mathcal{H}}} \text{ or } \frac{m_{\text{T}}^{\text{tot}}}{m_{\mathcal{H}}}$$

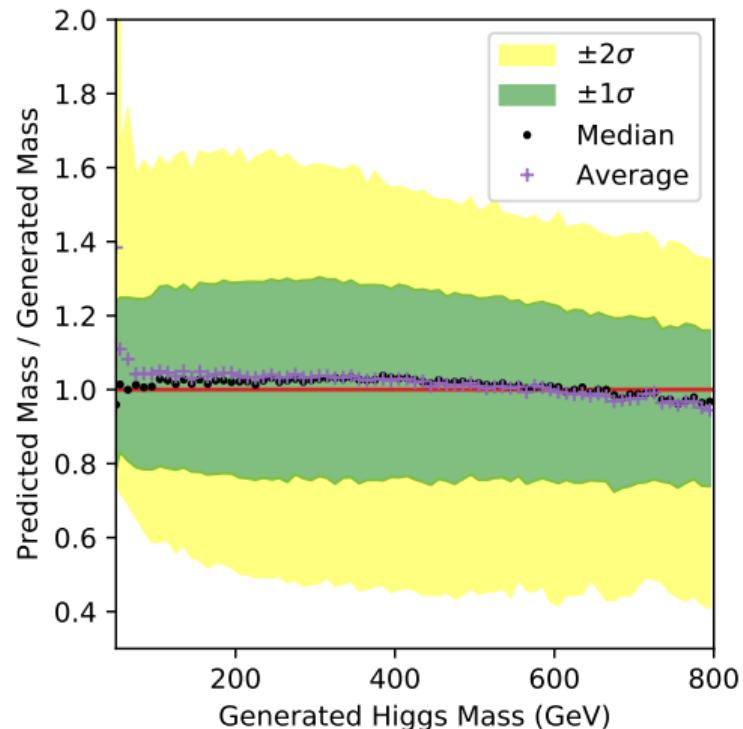
► Closer to 1 is better for black dots.

# DNN's $m_{\text{ML}}$ predictions vs $m_{\text{T}}^{\text{tot}}$



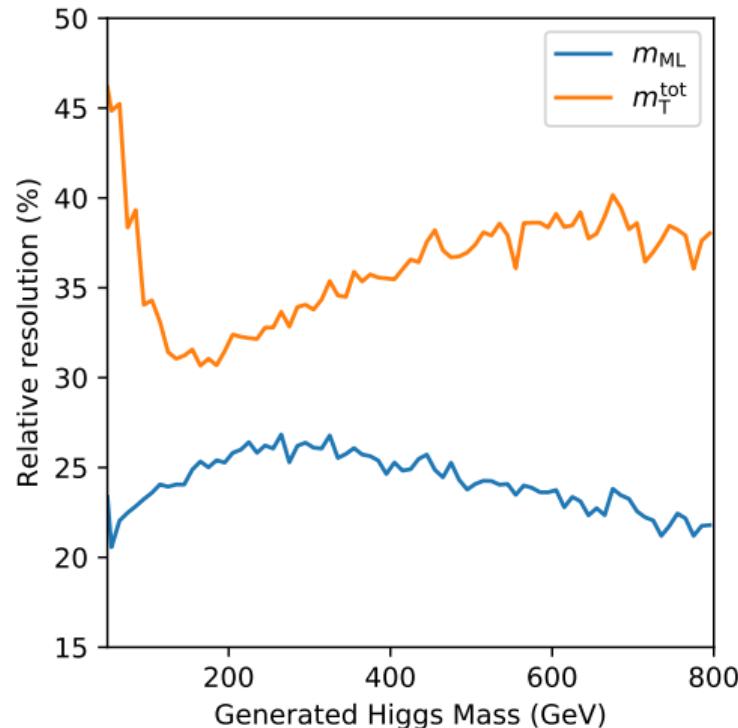
# DNN's $m_{\text{ML}}$ predictions vs $m_{\text{T}}^{\text{tot}}$

- $r = 1.00 \pm 0.05$  from 80 to 800 GeV
- $\mathcal{H}$  mass reconstruction **achieved ✓**

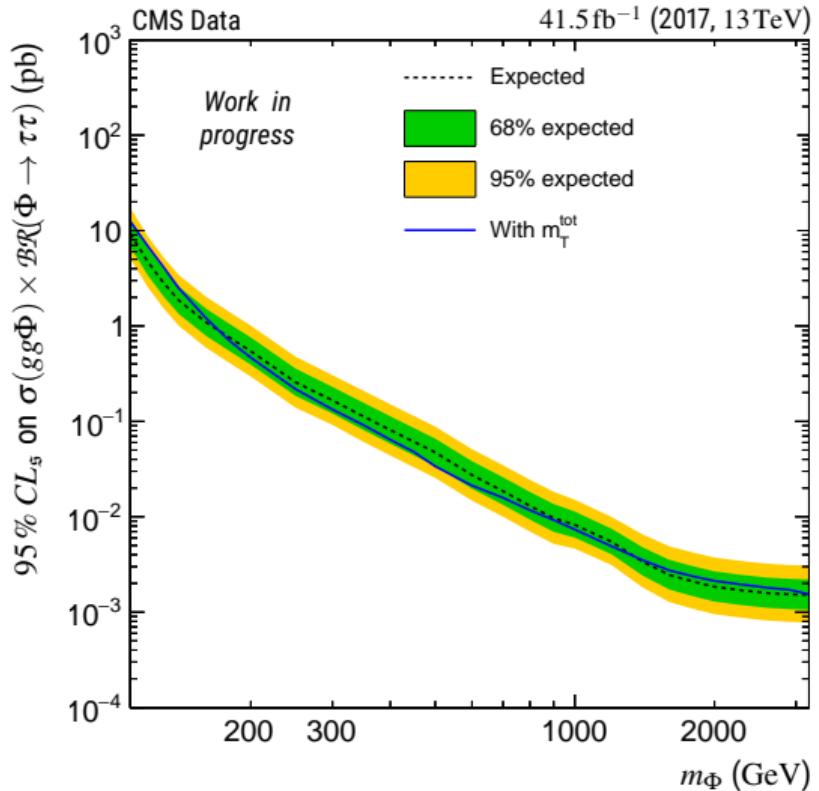


# Using the model to get a discriminating variable

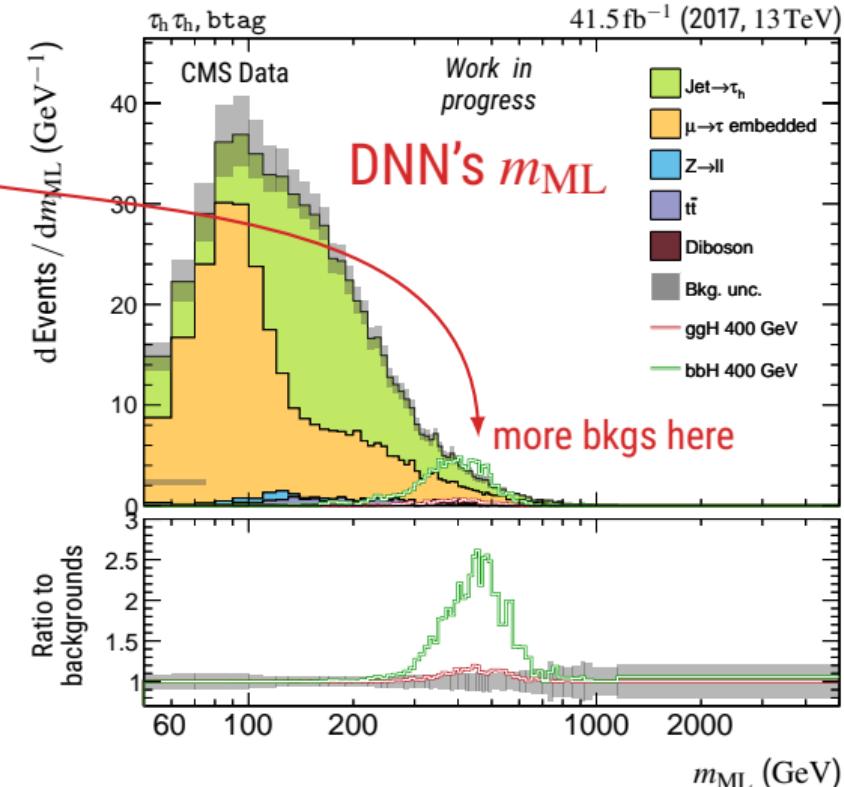
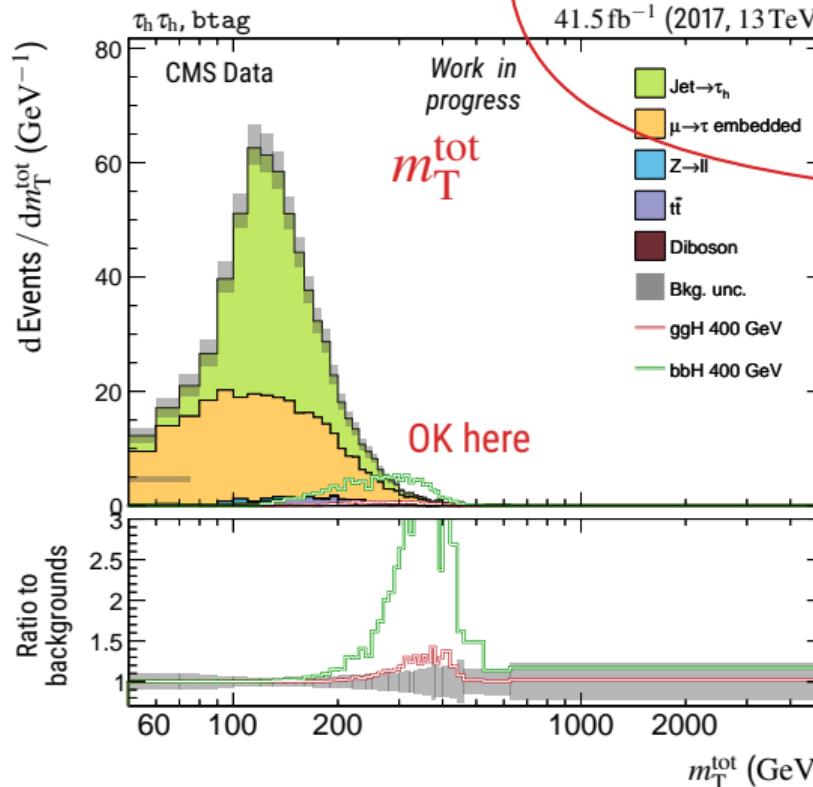
- ▶ In the  $H/A \rightarrow \tau\tau$  analysis, discriminating variable =  $m_T^{\text{tot}}$ .
- ▶  $m_T^{\text{tot}}$  is equal to the invariant mass assuming:
  - ▷ all neutrinos are a single particle with  $\vec{p}_T = \vec{E}_T^{\text{miss}}$ ,
  - ▷ all is going on in the transverse plane (any  $p_z = 0$ ).
- ▶ Our model has a better resolution on  $m_H$  than  $m_T^{\text{tot}}$ .



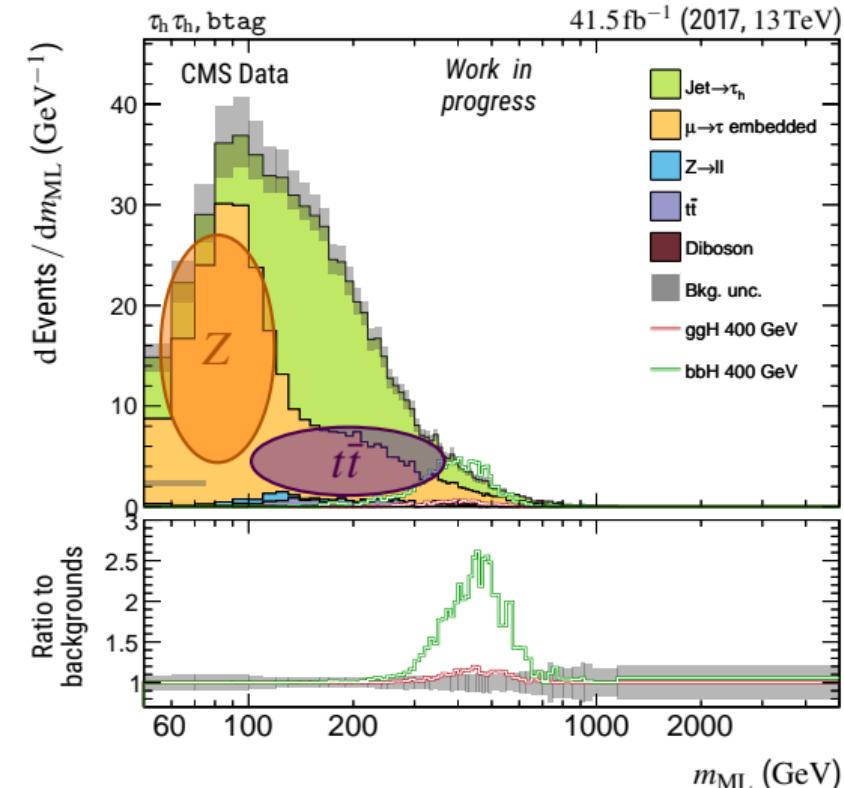
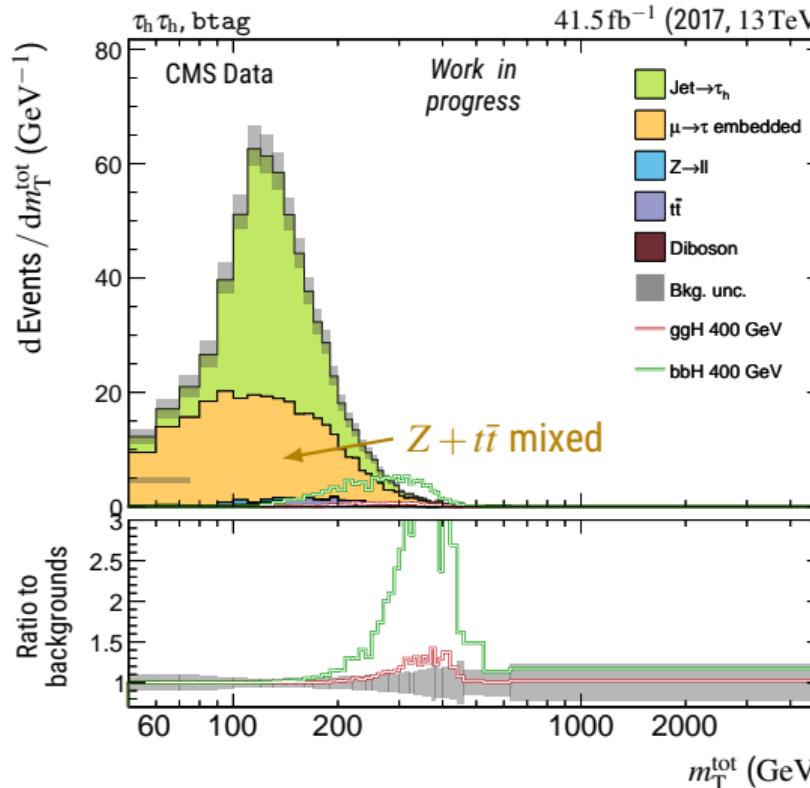
- ▶ Proceed to the search for massive Higgs boson  $\Phi$  with di- $\tau$  events on the 2017 era.
- ▶ Use  $m_{\text{ML}}$  as discriminating variable.
- ▶ Not really better than with  $m_T^{\text{tot}}$ ... Why?



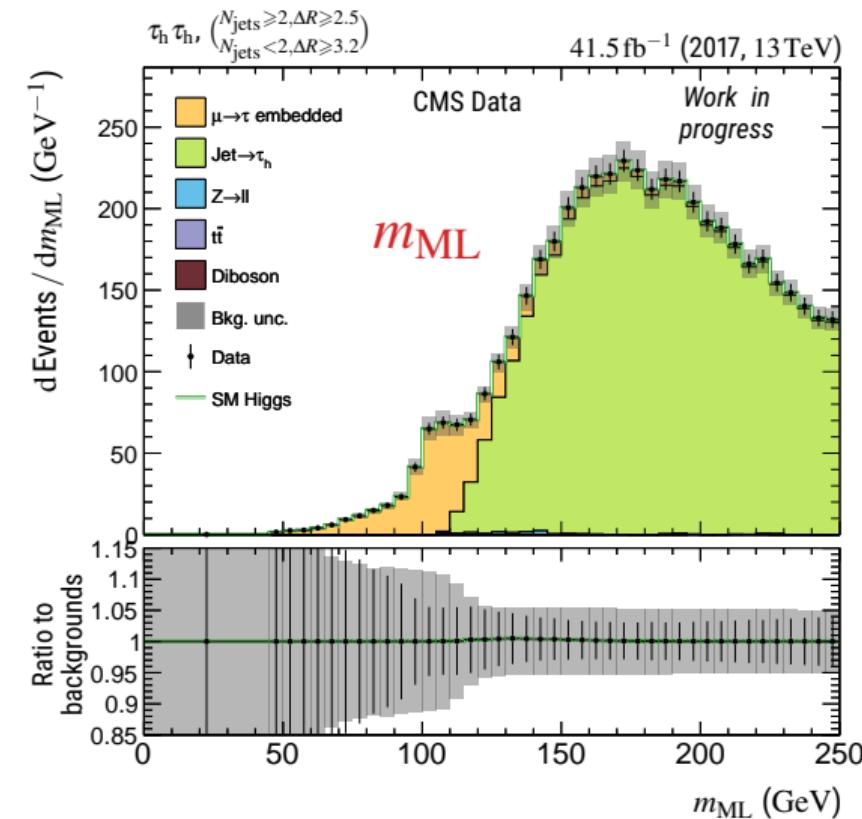
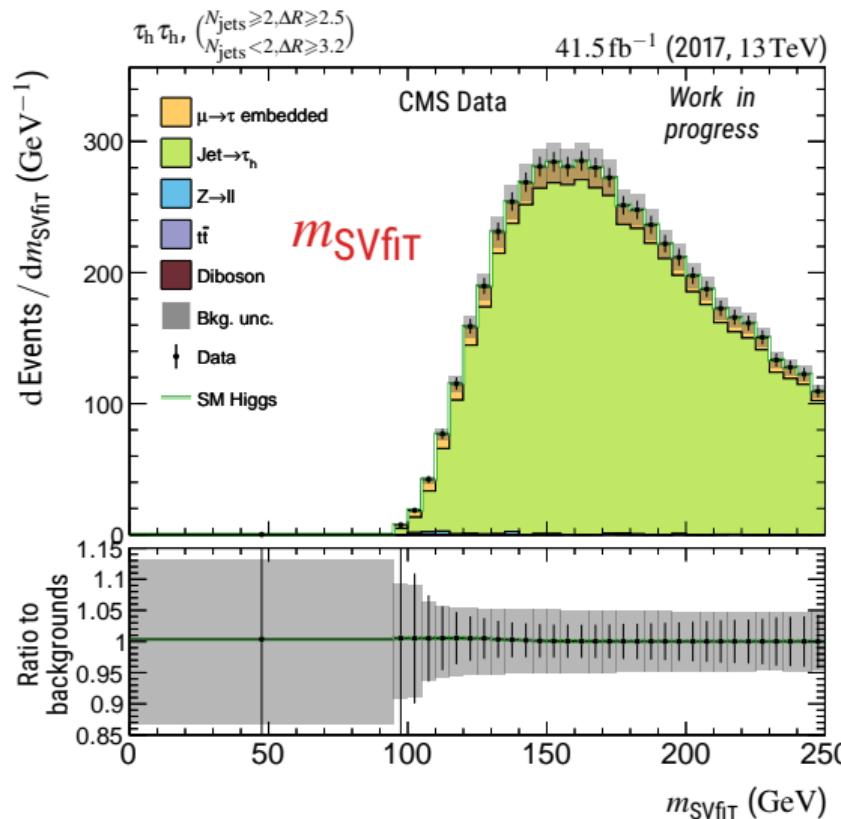
► Large fakes  $\tau_h$  high mass tails falling into the signal region  $\Rightarrow$  lowered signal to background ratio.



► BUT  $Z / t\bar{t}$  separation! See the two  $m_{ML}$  embedded components, not present with  $m_T^{\text{tot}}$ .



► Our model finds  $Z \rightarrow \tau\tau$  events when SVfit does not!



# What to conclude from this thesis?

# Conclusion & prospects: $H/A \rightarrow \tau\tau$

- ▶ MSSM  $H/A \rightarrow \tau\tau$  analysis on full Run II:
  - ▷ 4 final states:  $\tau_h\tau_h$ ,  $\mu\tau_h$ ,  $e\tau_h$  and  $e\mu$ ,
  - ▷ Model independent exclusion limits on  $\sigma \times \mathcal{BR}$
  - ▷ Model dependent exclusion contours in the  $(m_A, \tan \beta)$  plane.
- ▶ CMS paper HIG-21-001 on its way for publication:
  - ▷ Leading-edge until Run III corresponding results!
- ▶ No evidence for MSSM.

# Conclusion & prospects: ML project

- ▶ Successful  $m_{\mathcal{H}}$  reconstruction in di- $\tau$  events.
  - ▷ Not only MSSM  $H/A \rightarrow \tau\tau$  but any  $X \rightarrow \tau\tau$  analysis could benefit from this project.
- ▶  $m_{\text{ML}}$  vs  $m_{\text{T}}^{\text{tot}}$ :
  - ▷ A good mass estimator is not always a good discriminating variable.
  - ▷ Still, we already have the same performances at this point.
- ▶  $m_{\text{ML}}$  vs  $m_{\text{SVfit}}$ :
  - ▷ Similar Higgs sensitivity for some event topologies.
  - ▷ Better  $Z$  estimation observed (the model has been trained on  $\mathcal{H} \rightarrow \tau\tau$  with various masses only).
  - ▷ Could be improved by updating the training datasets (other kinds of events).
  - ▷ Faster (about 60 times!).
- ▶ Very promising as a di- $\tau$  mass predictor (SVfit successor?).

# Conclusion & prospects: ML & particle physics

- ▶ Introduced a method to estimate a mass from the (partial) final state ;
- ▶ Other applications:
  - ▷  $b$ -tagging (DEEPCSV),
  - ▷  $\tau_h$  identification (DEEPTAU),
  - ▷ event classification.

Merci pour votre attention !

## ► Phenomenology:

- ▷ SM, SUSY, 2HDM,  $\tan\beta$  ► slide 45
- ▷ Why  $H/A \rightarrow \tau\tau$ ? ► slide 50
- ▷ How histograms unveil particles ► slide 52

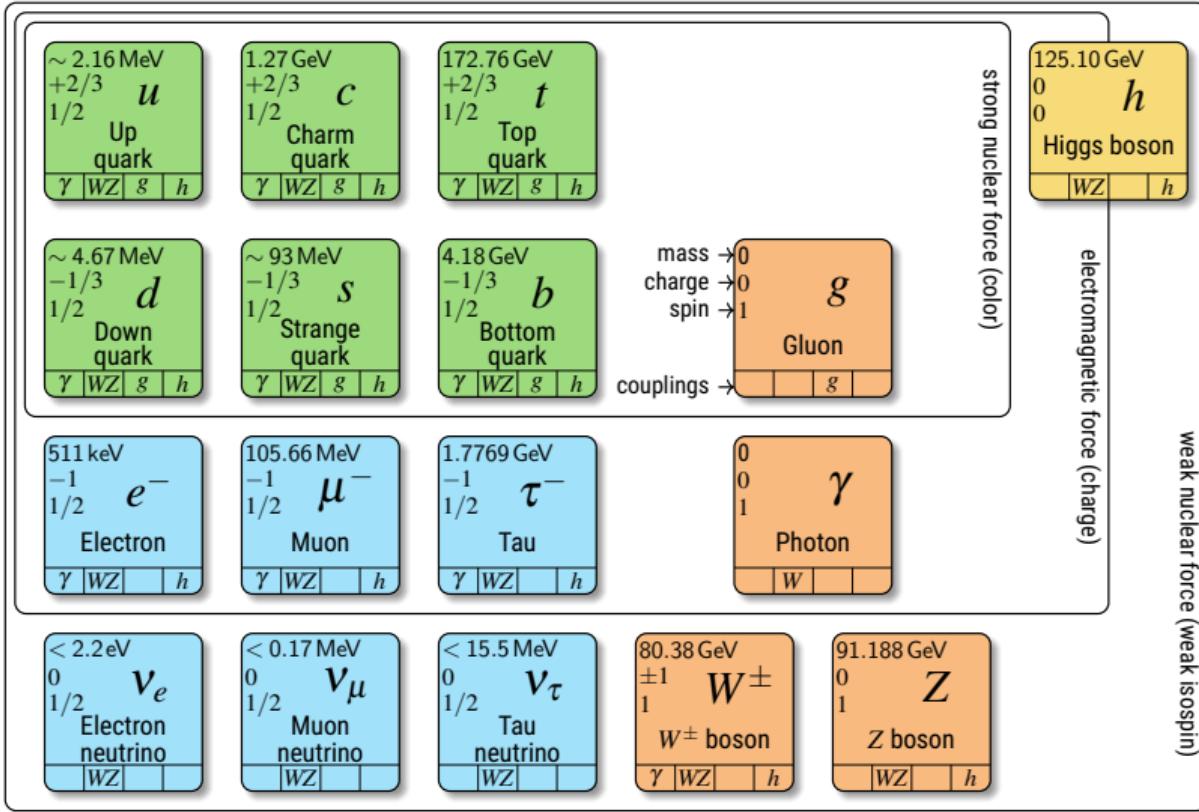
## ► MSSM $H/A \rightarrow \tau\tau$ :

- ▷ Embedded samples ► slide 59
- ▷ Fake factors ► slide 60
- ▷ Triggers in the  $\tau_h \tau_h$  channel ► slide 64
- ▷ Fake factors for subleading  $\tau_h$  ► slide 65
- ▷  $CP$  violation in the Higgs sector ► slide 66

## ► Machine Learning:

- ▷ Custom loss function for the DNN ► slide 68
- ▷ Training mass range high boundary ► slide 71

# The Standard Model



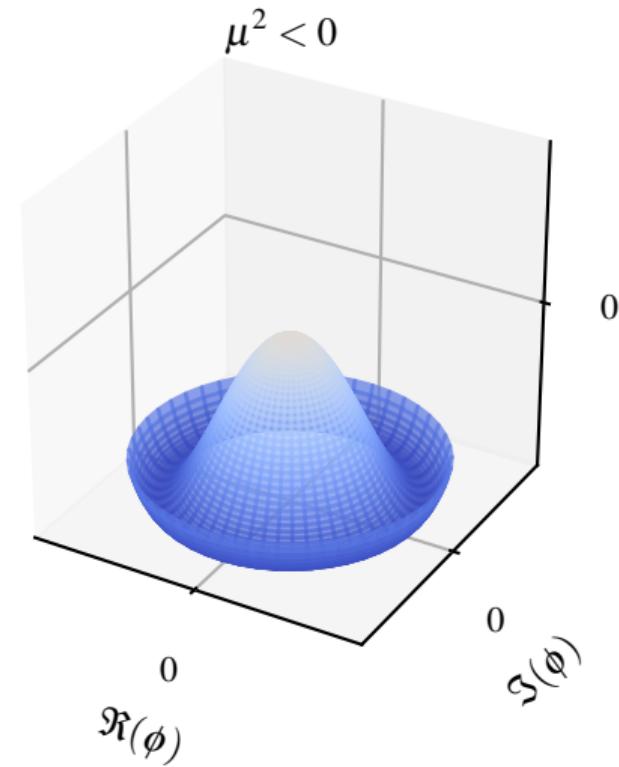
# Higgs boson in the Standard Model

$$\phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_3 + i\phi_4 \\ \phi_1 + i\phi_2 \end{pmatrix}$$

$$V(\phi) = \mu^2 \phi^\dagger \phi + \lambda (\phi^\dagger \phi)^2, \quad \lambda > 0$$

$$\langle \phi \rangle_0 = \frac{v}{\sqrt{2}} = \sqrt{\frac{-\mu^2}{2\lambda}} \neq 0$$

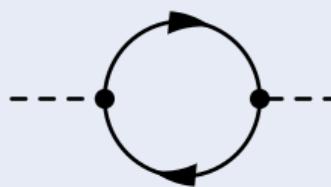
$$\phi(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}$$



# The Standard Model and naturalness problem

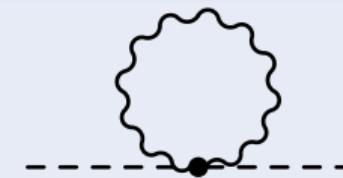
- ▶ Higgs mass measured:  $m_h = 125.10 \pm 0.14 \text{ GeV}$
- ▶ Higgs mass derivation:  $m_h^2 = m_{h0}^2 - \frac{3}{8\pi^2} y_t^2 \Lambda^2 + \frac{1}{16\pi^2} g^2 \Lambda^2 + \frac{1}{16\pi^2} \lambda^2 \Lambda^2 + \dots$

top quark



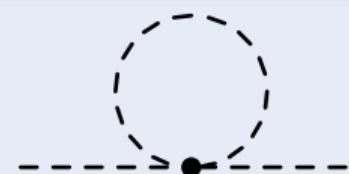
$$-\frac{3}{8\pi^2} y_t^2 \Lambda^2 \sim -(2 \text{ TeV})^2$$

vector bosons



$$+\frac{1}{16\pi^2} g^2 \Lambda^2 \sim +(0.7 \text{ TeV})^2$$

Higgs itself

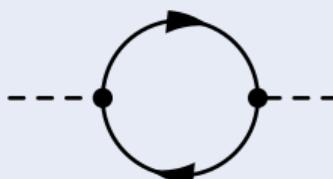


$$+\frac{1}{16\pi^2} \lambda^2 \Lambda^2 \sim +(0.5 \text{ TeV})^2$$

▶ Particle Data Group. "Review of Particle Physics". *Progress of Theoretical and Experimental Physics* **8** (Aug. 2020). DOI: 10.1093/ptep/ptaa104.

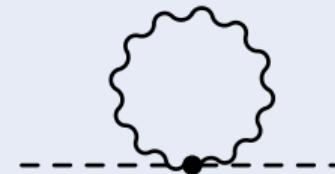
# Supersymmetry

top quark



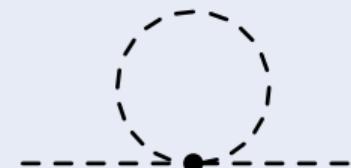
$$\sim -(2 \text{ TeV})^2$$

vector bosons



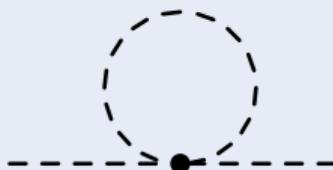
$$\sim +(0.7 \text{ TeV})^2$$

Higgs itself



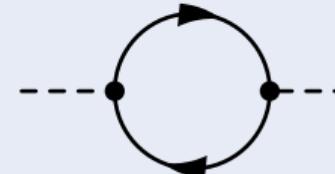
$$\sim +(0.5 \text{ TeV})^2$$

stop quark



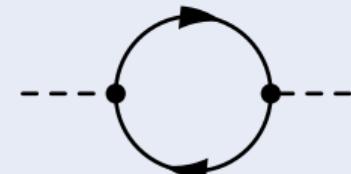
$$\sim +(2 \text{ TeV})^2$$

bosinos



$$\sim -(0.7 \text{ TeV})^2$$

Higgsinos



$$\sim -(0.5 \text{ TeV})^2$$

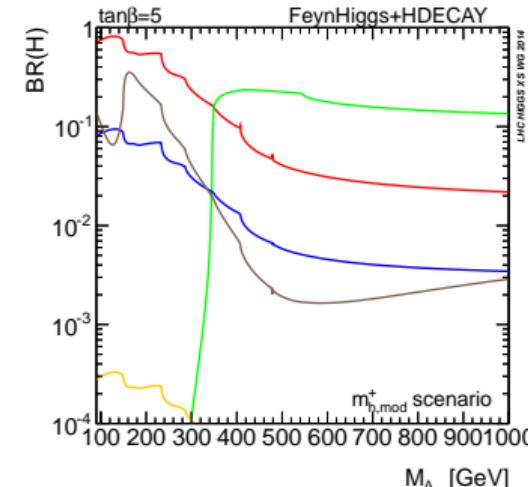
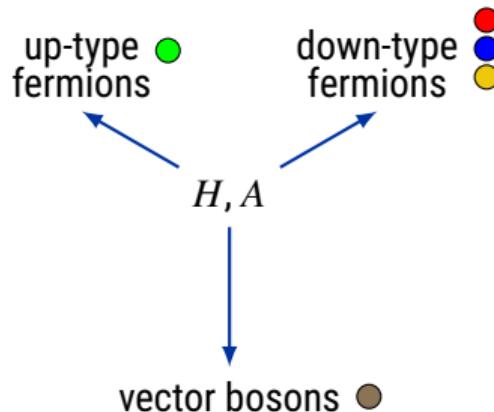
## 2 Higgs doublets models for supersymmetry

$$\langle \phi_1 \rangle_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_1 \end{pmatrix}, \quad \langle \phi_2 \rangle_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_2 e^{i\xi} \end{pmatrix}$$

$$\tan \beta = \frac{\langle \phi_2 \rangle_0}{\langle \phi_1 \rangle_0} = \frac{v_2}{v_1}$$

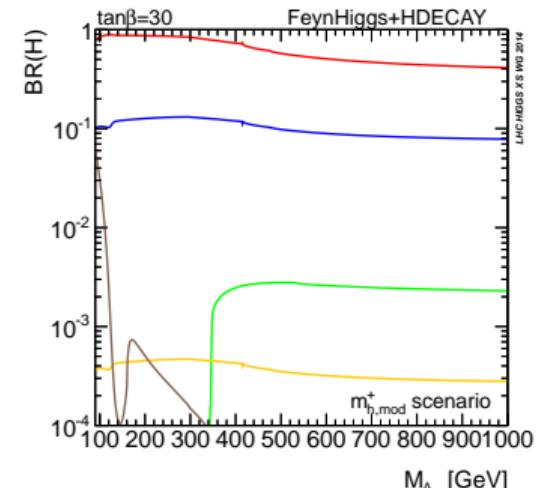
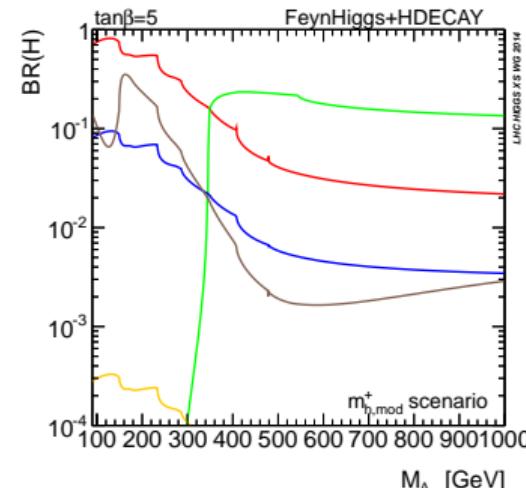
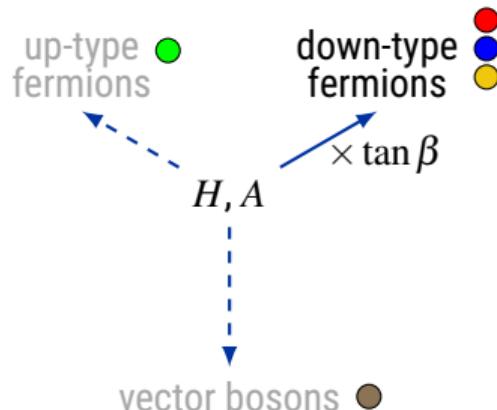
- ▷ J. F. Gunion et al. *The Higgs hunter's guide*. T. 80. Upton, NY: Brookhaven Nat. Lab., 1989. URL: <https://cds.cern.ch/record/425736>.

# Why $H/A \rightarrow \tau\tau$ ?



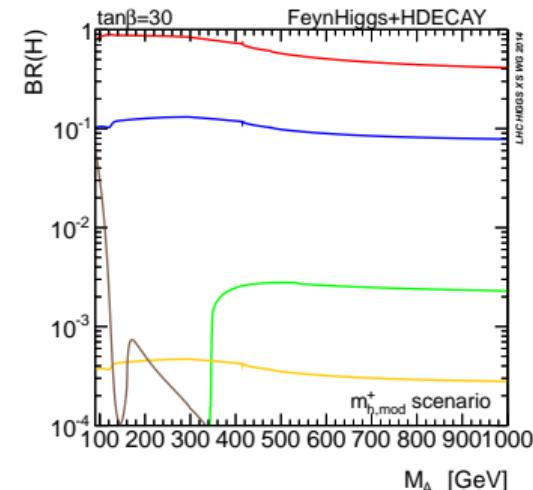
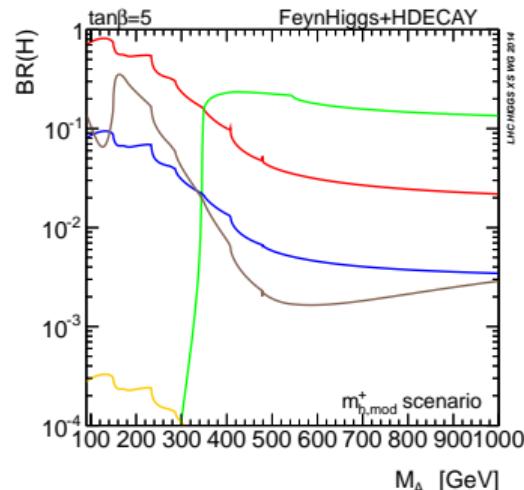
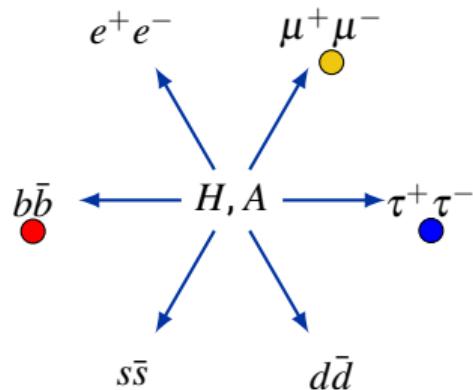
- ▷ The CMS Collaboration. "Search for additional neutral MSSM Higgs bosons in the  $\tau\tau$  final state in  $pp$  collisions at  $\sqrt{s} = 13$  TeV". *Journal of High Energy Physics* **09**.007 (Sept. 2018). DOI: 10.1007/JHEP09(2018)007.
- ▷ LHC Higgs Cross Section Working Group. "Higgs Properties". *Handbook of LHC Higgs Cross Sections*. 3. CERN Yellow Reports: Monographs. Geneva: CERN, 2013. URL: <https://cds.cern.ch/record/1559921>.

# Why $H/A \rightarrow \tau\tau$ ? – enhanced and suppressed couplings



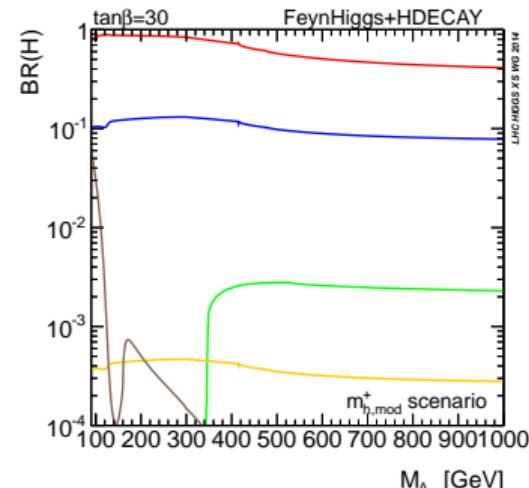
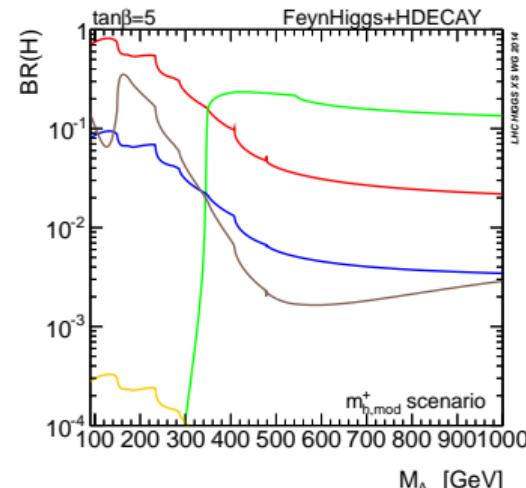
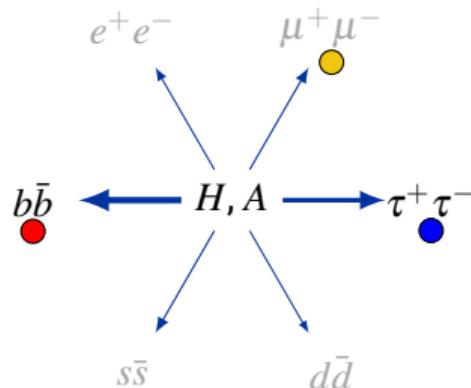
- ▷ The CMS Collaboration. "Search for additional neutral MSSM Higgs bosons in the  $\tau\tau$  final state in  $pp$  collisions at  $\sqrt{s} = 13\text{ TeV}$ ". *Journal of High Energy Physics* **09**.007 (Sept. 2018). DOI: 10.1007/JHEP09(2018)007.
- ▷ LHC Higgs Cross Section Working Group. "Higgs Properties". *Handbook of LHC Higgs Cross Sections. 3. CERN Yellow Reports: Monographs*. Geneva: CERN, 2013. URL: <https://cds.cern.ch/record/1559921>.

# Why $H/A \rightarrow \tau\tau$ ?



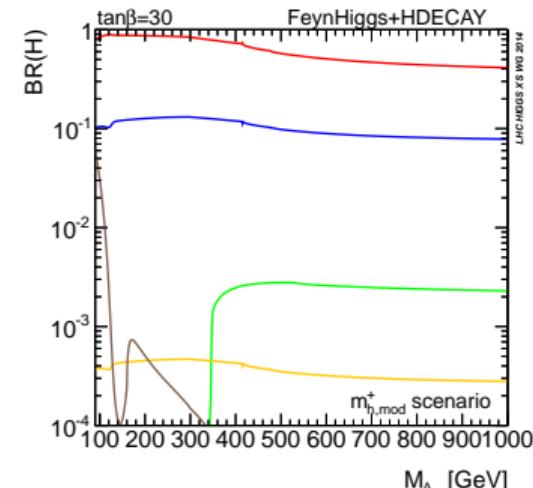
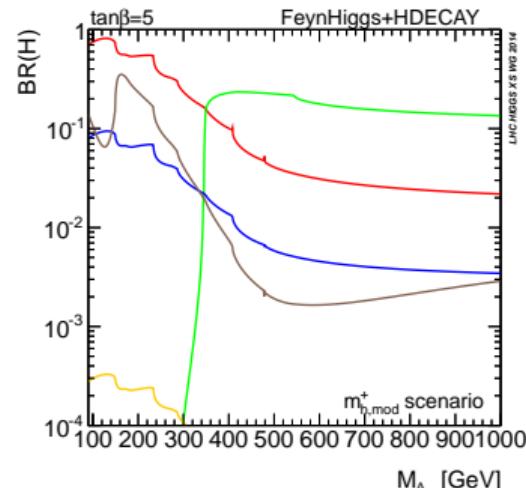
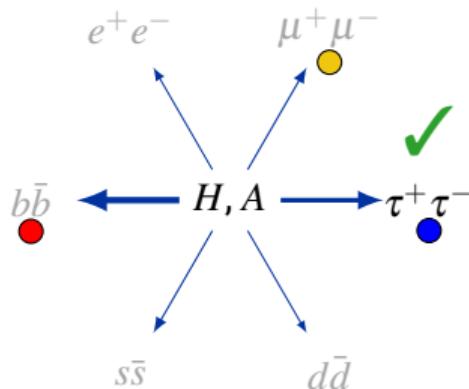
- ▷ The CMS Collaboration. "Search for additional neutral MSSM Higgs bosons in the  $\tau\tau$  final state in  $pp$  collisions at  $\sqrt{s} = 13$  TeV". *Journal of High Energy Physics* **09**.007 (Sept. 2018). DOI: 10.1007/JHEP09(2018)007.
- ▷ LHC Higgs Cross Section Working Group. "Higgs Properties". *Handbook of LHC Higgs Cross Sections. 3. CERN Yellow Reports: Monographs*. Geneva: CERN, 2013. URL: <https://cds.cern.ch/record/1559921>.

# Why $H/A \rightarrow \tau\tau$ ? – Higgs couplings and particules masses



- ▷ The CMS Collaboration. "Search for additional neutral MSSM Higgs bosons in the  $\tau\tau$  final state in  $pp$  collisions at  $\sqrt{s} = 13$  TeV". *Journal of High Energy Physics* **09**.007 (Sept. 2018). DOI: 10.1007/JHEP09(2018)007.
- ▷ LHC Higgs Cross Section Working Group. "Higgs Properties". *Handbook of LHC Higgs Cross Sections. 3. CERN Yellow Reports: Monographs*. Geneva: CERN, 2013. URL: <https://cds.cern.ch/record/1559921>.

# Why $H/A \rightarrow \tau\tau$ ? – avoid hadronic background



- ▷ The CMS Collaboration. "Search for additional neutral MSSM Higgs bosons in the  $\tau\tau$  final state in  $pp$  collisions at  $\sqrt{s} = 13$  TeV". *Journal of High Energy Physics* **09**.007 (Sept. 2018). DOI: 10.1007/JHEP09(2018)007.
- ▷ LHC Higgs Cross Section Working Group. "Higgs Properties". *Handbook of LHC Higgs Cross Sections. 3. CERN Yellow Reports: Monographs*. Geneva: CERN, 2013. URL: <https://cds.cern.ch/record/1559921>.

# Using histograms

- ▶ Find a discriminating variable:
  - ▷ for uncorrelated  $\tau$  pairs, it's random
  - ▷ for  $\tau$  pairs coming from a particle (Higgs?), not random.
- ▶ For one  $\tau$  pair only, impossible to say!
- ▶ With many events, a difference may show up.

# The rabbit analogy

- ▶ What the theorists say:
  - ▷ There is a white rabbit that once lived in a casino.
  - ▷ The rabbit loved watching people playing dices.
  - ▷ He was happy when the result of dice was 4.
  - ▷ So when he sees a dice, he turns it so that the result is 4.
  - ▷ But this rabbit is very shy and nobody has seen him since the casino closure.
- ▶ The only way to know if he's here is to throw a dice and come back to see the result.
  - ▷ If the rabbit has been here, the dice will show a 4!

# The rabbit analogy

- ▶ Dice results: 4

# The rabbit analogy

- ▶ Dice results: 4, 2

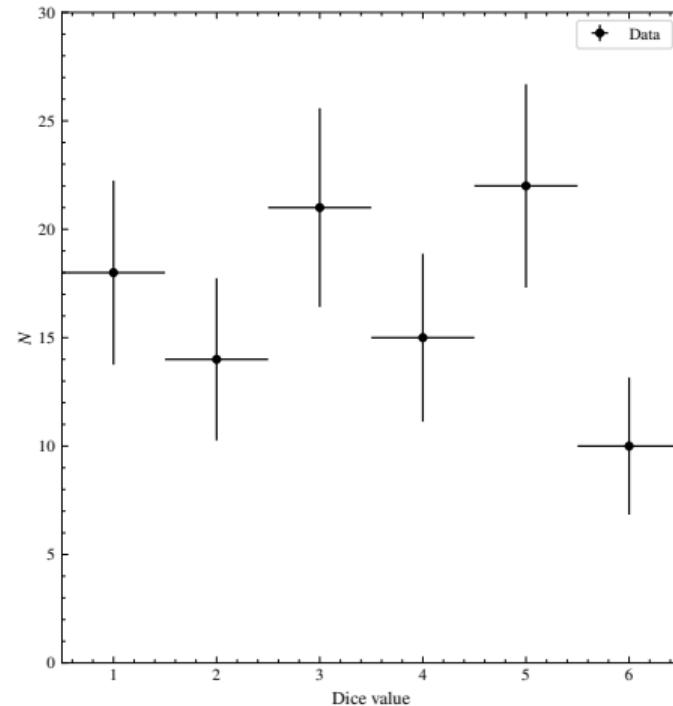
# The rabbit analogy

- ▶ Dice results: 4, 2, 4, 1, 3, 2, 5, 1, 1, 6...

- ▶ CERN. *The Higgs Discovery Explained – Ep. 3/3.* URL: <https://www.youtube.com/watch?v=8-WFBGCvv-w>.

# The rabbit analogy

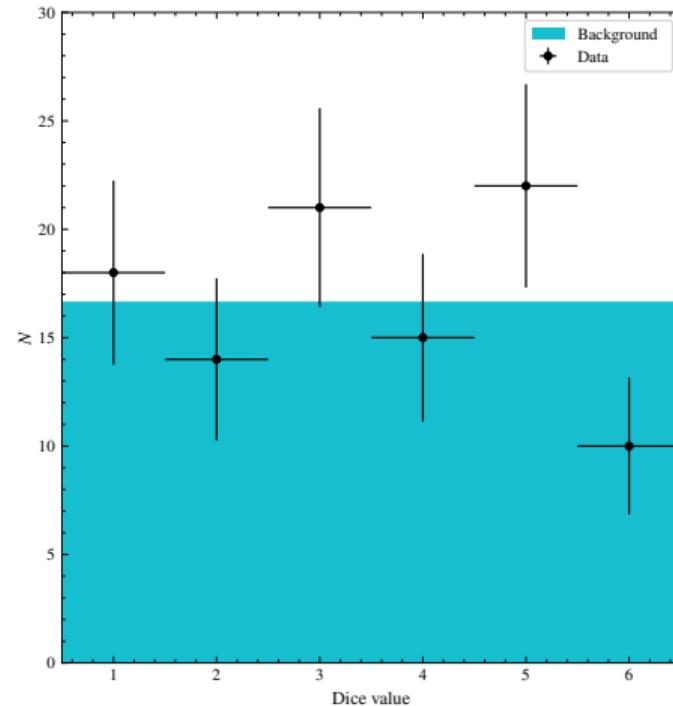
On 100 days →



Not really conclusive...

# The rabbit analogy

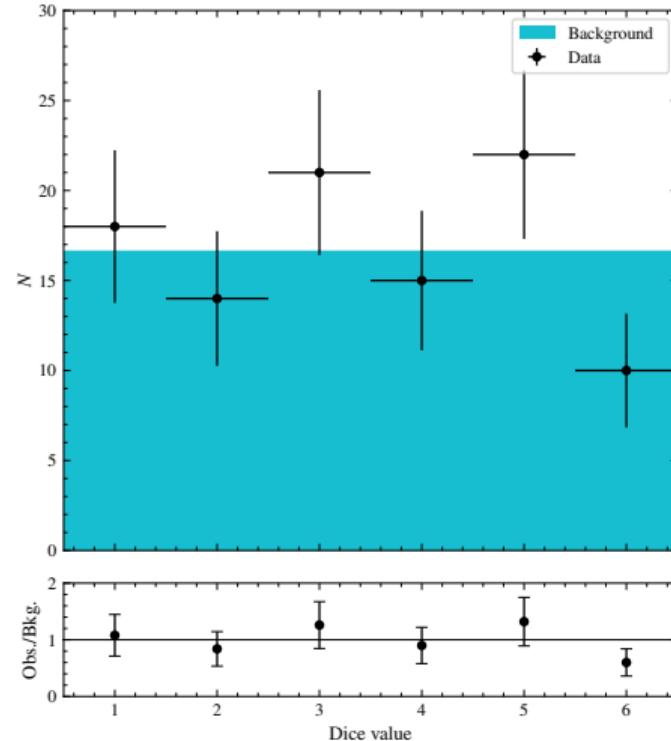
On 100 days →



Comparing with predictions!

# The rabbit analogy

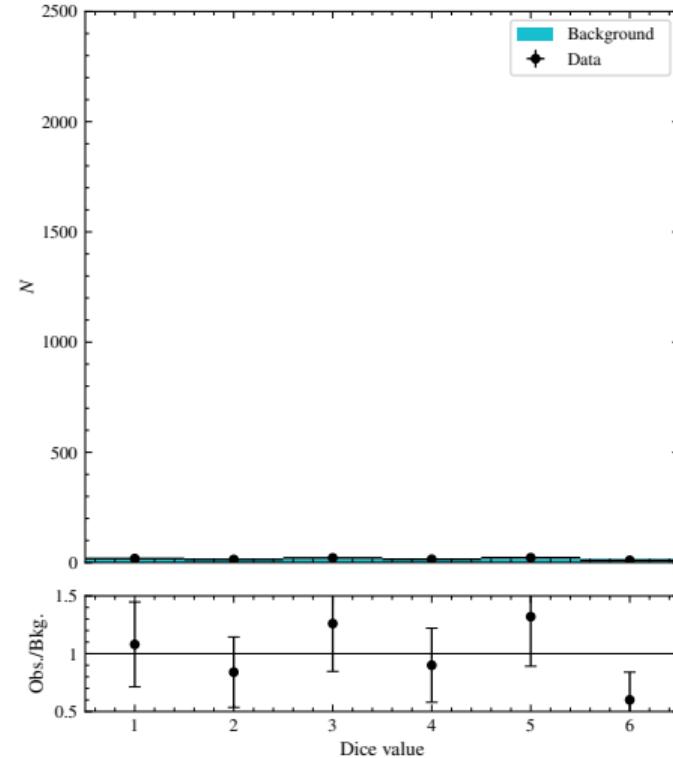
On 100 days →



Also add ratio plot:  
observed / predictions

# The rabbit analogy

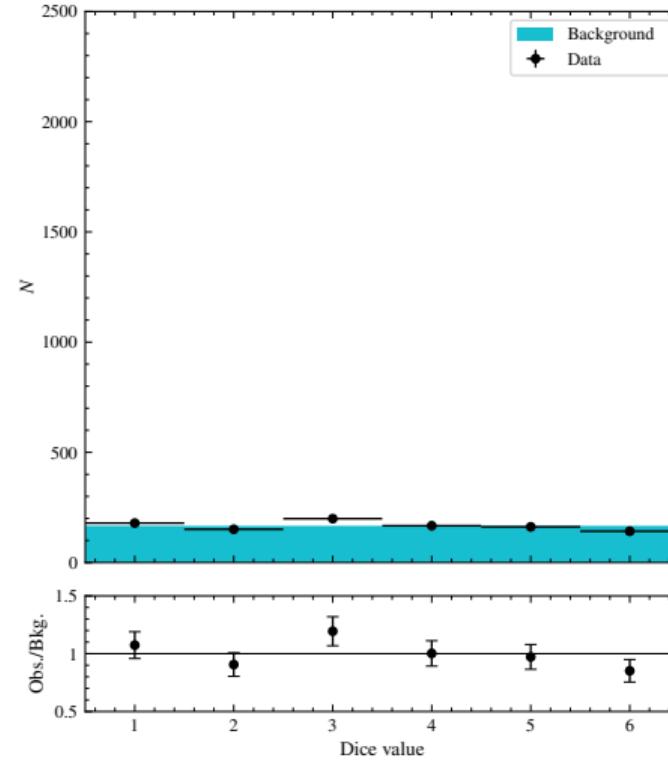
On 100 days →



Fill up with more data!

# The rabbit analogy

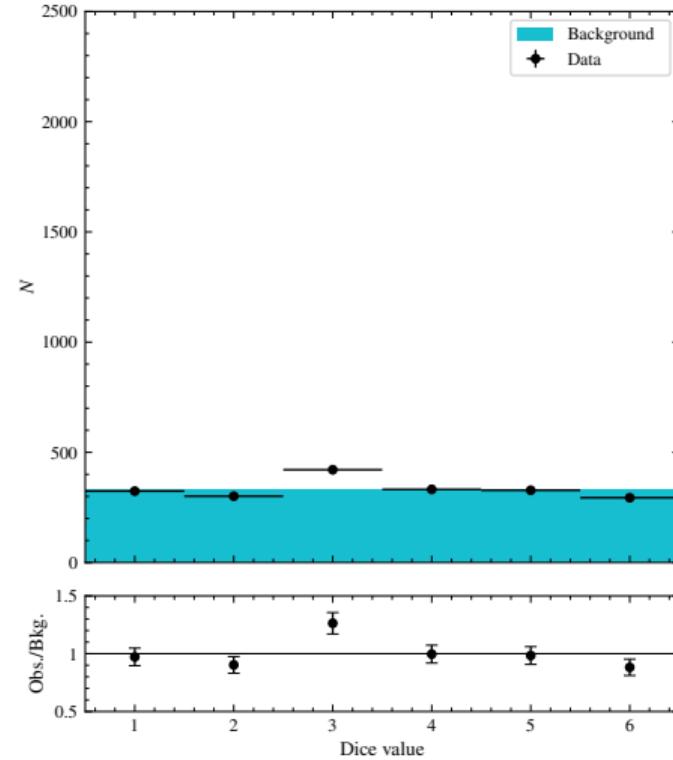
On 1000 days →



Fill up with more data!

# The rabbit analogy

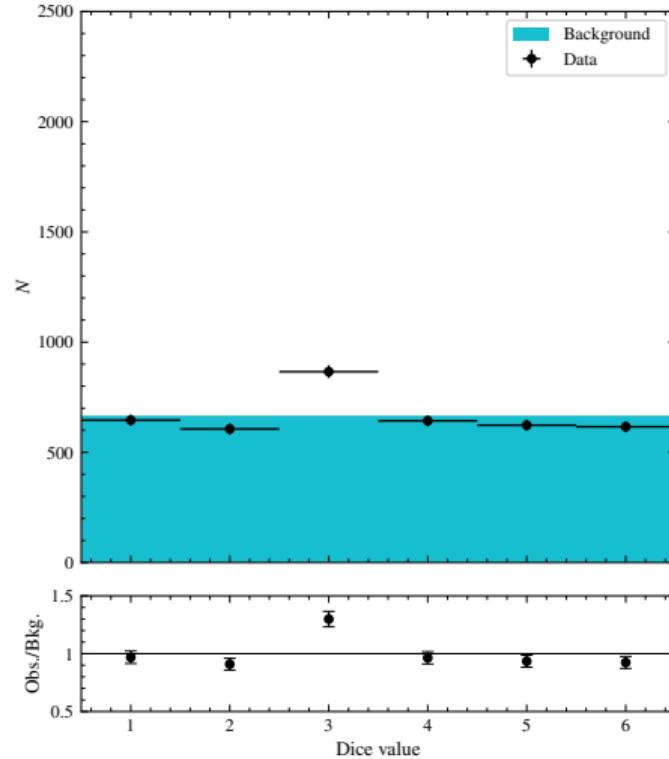
On 2000 days →



Fill up with more data!

# The rabbit analogy

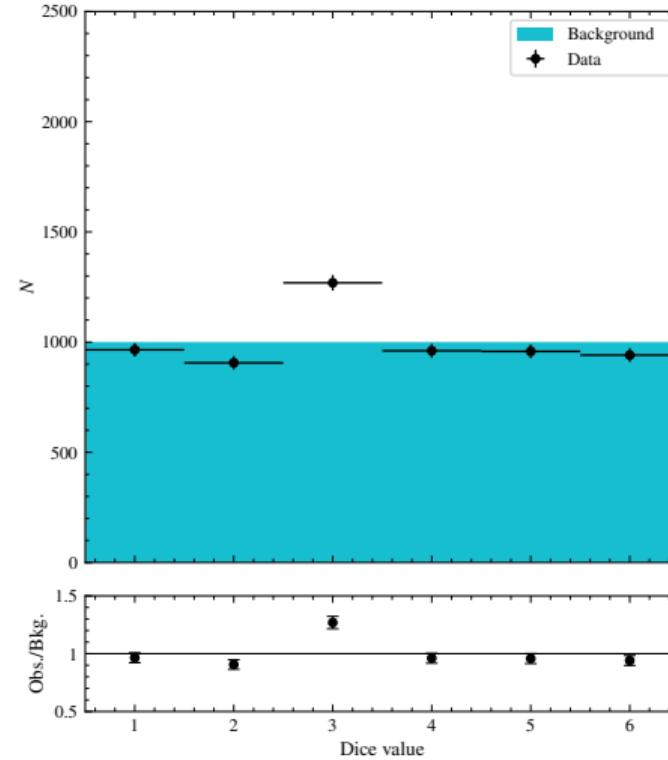
On 4000 days →



Fill up with more data!

# The rabbit analogy

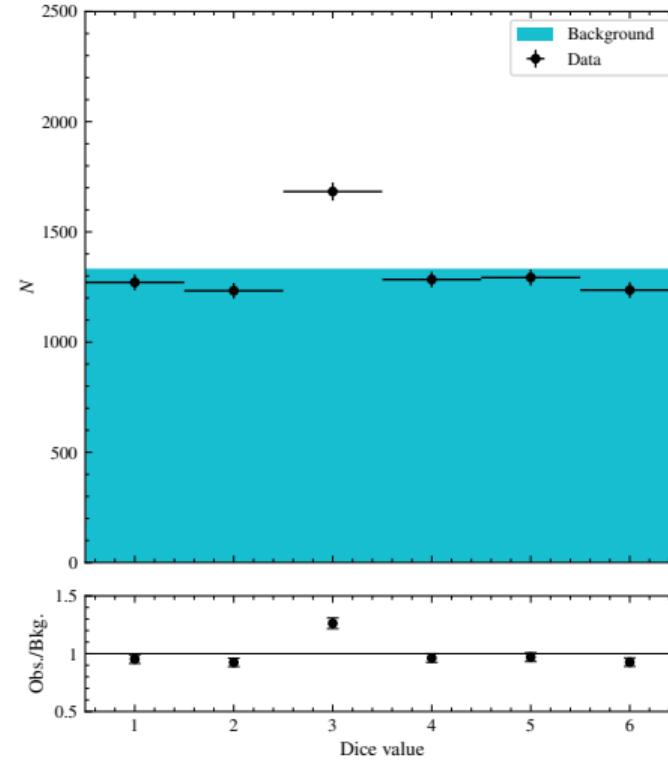
On 6000 days →



Fill up with more data!

# The rabbit analogy

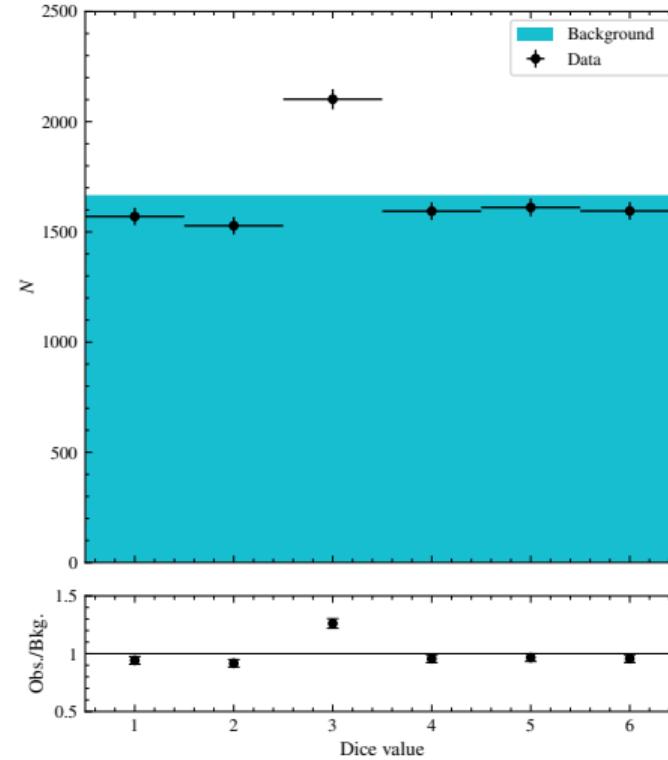
On 8000 days →



- ▷ CERN. *The Higgs Discovery Explained – Ep. 3/3*. URL: <https://www.youtube.com/watch?v=8-WFBGCvv-w>.

# The rabbit analogy

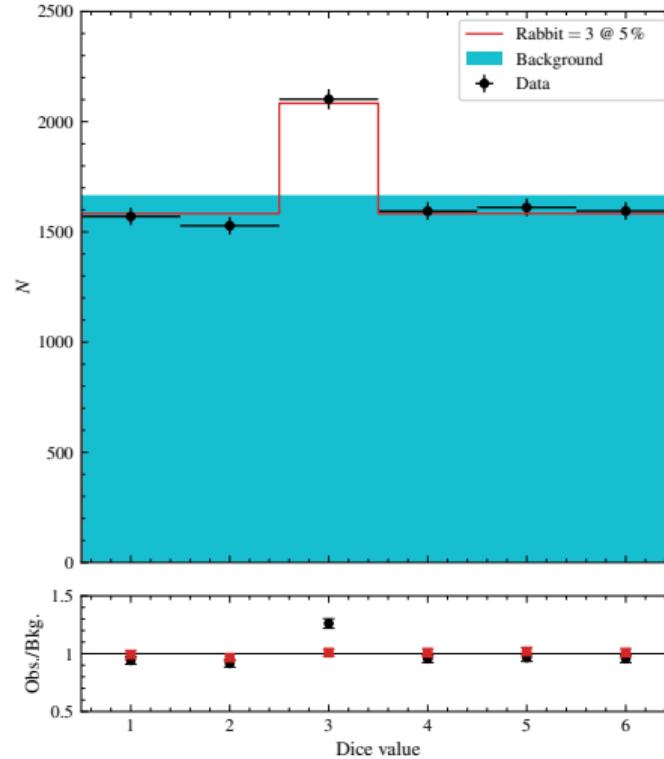
On 10 000 days →



Fill up with more data!

# The rabbit analogy

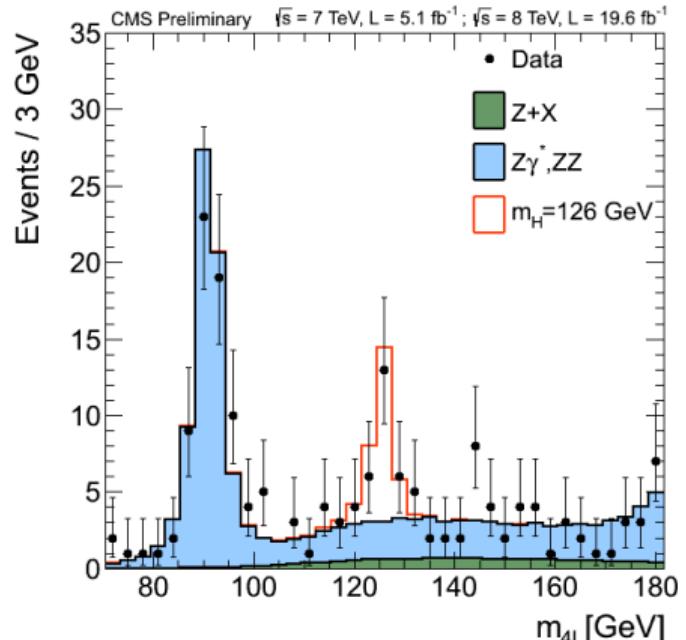
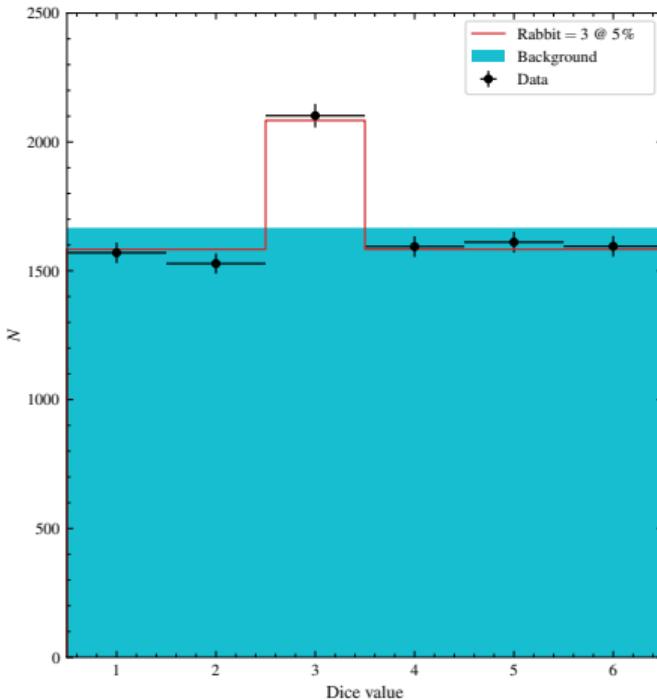
On 10 000 days →



In red, hypothesis of the rabbit with 3 as preferred result (instead of 4!), with a probability to show up of 5 %.

# The rabbit analogy

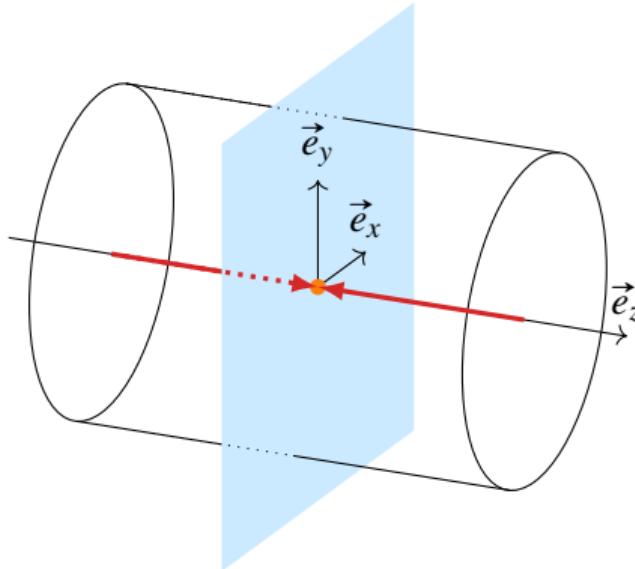
Search for	the rabbit	the Higgs
Observed data	dice values	$p\bar{p}$ collisions outgoing particles
Discriminating variable	dice value	invariant or transverse mass
Backgrounds predictions	random dice	Standard Model processes
Amount of data	number of days	luminosity
Signal probability	rabbit's shyness	process cross-section



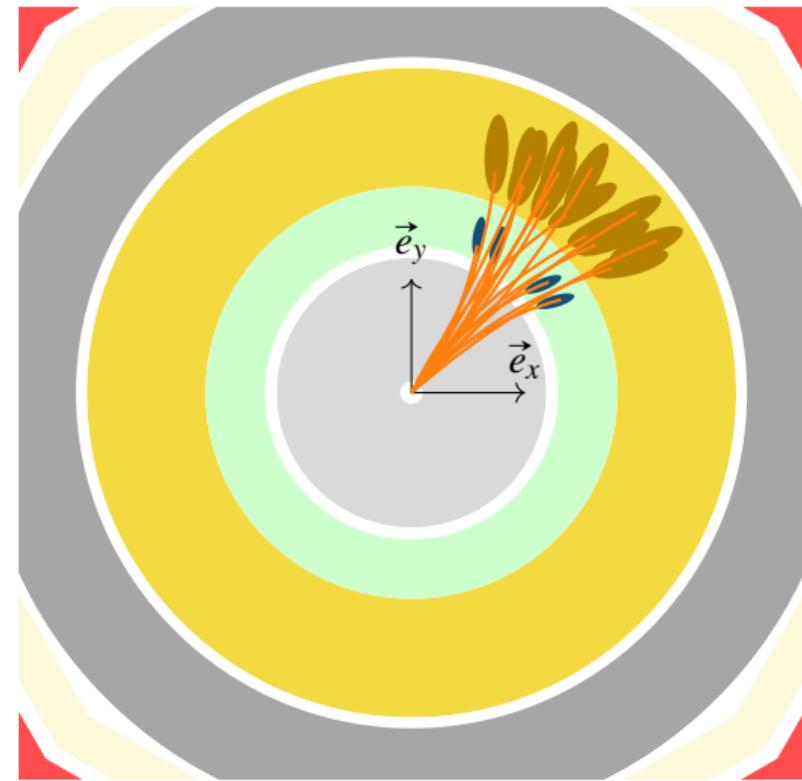
- ▷ The CMS Collaboration. "Observation of a new boson at a mass of 125 GeV with the CMS experiment at the LHC". *Physics Letters B* **716**.1 (2012), pp. 30–61. DOI: [10.1016/j.physletb.2012.08.021](https://doi.org/10.1016/j.physletb.2012.08.021).
- ▷ The CMS Collaboration. *Properties of the Higgs-like boson in the decay  $H \rightarrow ZZ \rightarrow 4\ell$  in  $pp$  collisions at  $\sqrt{s} = 7$  and 8 TeV*. URL: <https://twiki.cern.ch/twiki/bin/view/CMSPublic/Hig13002TWiki>.

# Conserved momentum and neutrinos: missing transverse energy (MET)

$(\vec{e}_x, \vec{e}_y)$  = transverse plane ( $\eta = 0$ )

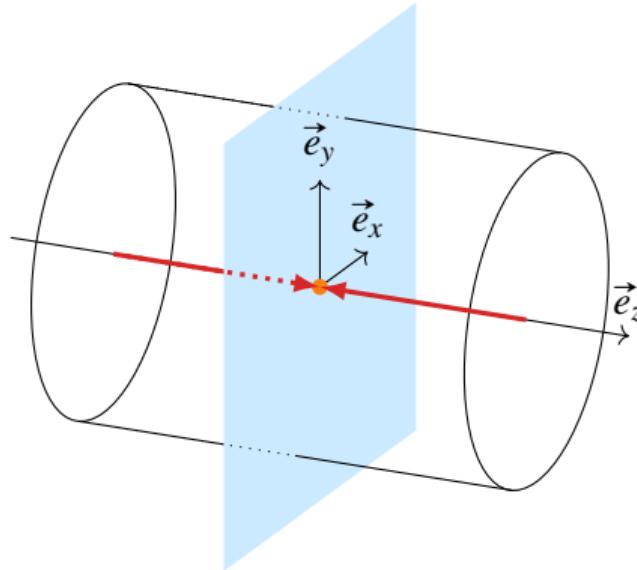


$$\sum_{\text{final state}} \vec{p}_{\text{T}} = \sum_{\text{initial state}} \vec{p}_{\text{T}} = \vec{0}$$

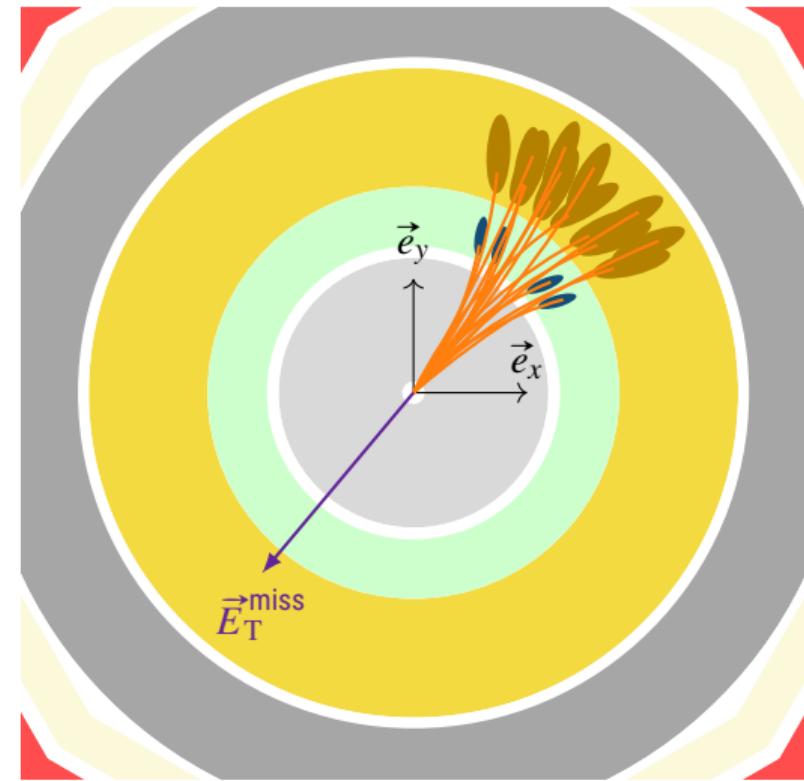


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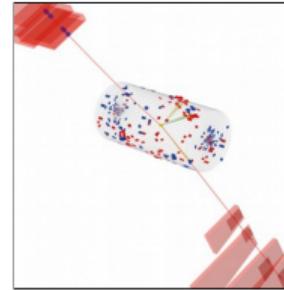


$$\sum_{\text{final state}} \vec{p}_{\text{T}} = \sum_{\text{initial state}} \vec{p}_{\text{T}} = \vec{0} \Rightarrow \vec{E}_{\text{T}}^{\text{miss}} = - \sum_{\text{visible particles}} \vec{p}_{\text{T}}$$



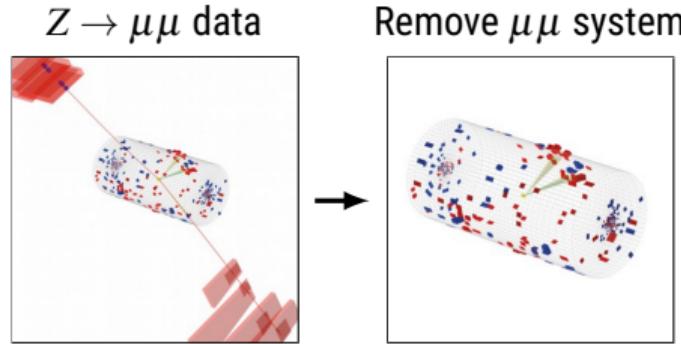
# Embedded events & genuine $\tau$ leptons

$Z \rightarrow \mu\mu$  data



- ▷ The CMS Collaboration. "An embedding technique to determine  $\tau\tau$  backgrounds in proton-proton collision data". *Journal of Instrumentation* 14.06 (June 2019). DOI: 10.1088/1748-0221/14/06/p06032.

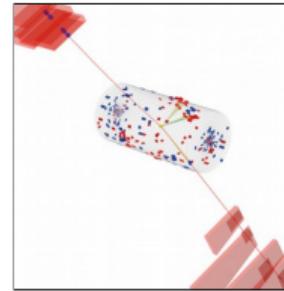
# Embedded events & genuine $\tau$ leptons



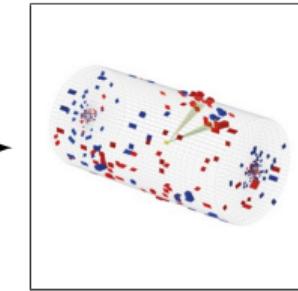
- ▷ The CMS Collaboration. "An embedding technique to determine  $\tau\tau$  backgrounds in proton-proton collision data". *Journal of Instrumentation* 14.06 (June 2019). DOI: 10.1088/1748-0221/14/06/p06032.

# Embedded events & genuine $\tau$ leptons

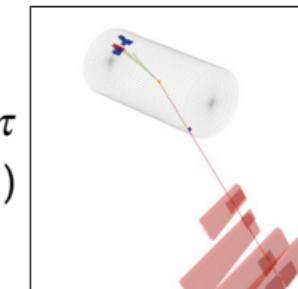
$Z \rightarrow \mu\mu$  data



Remove  $\mu\mu$  system

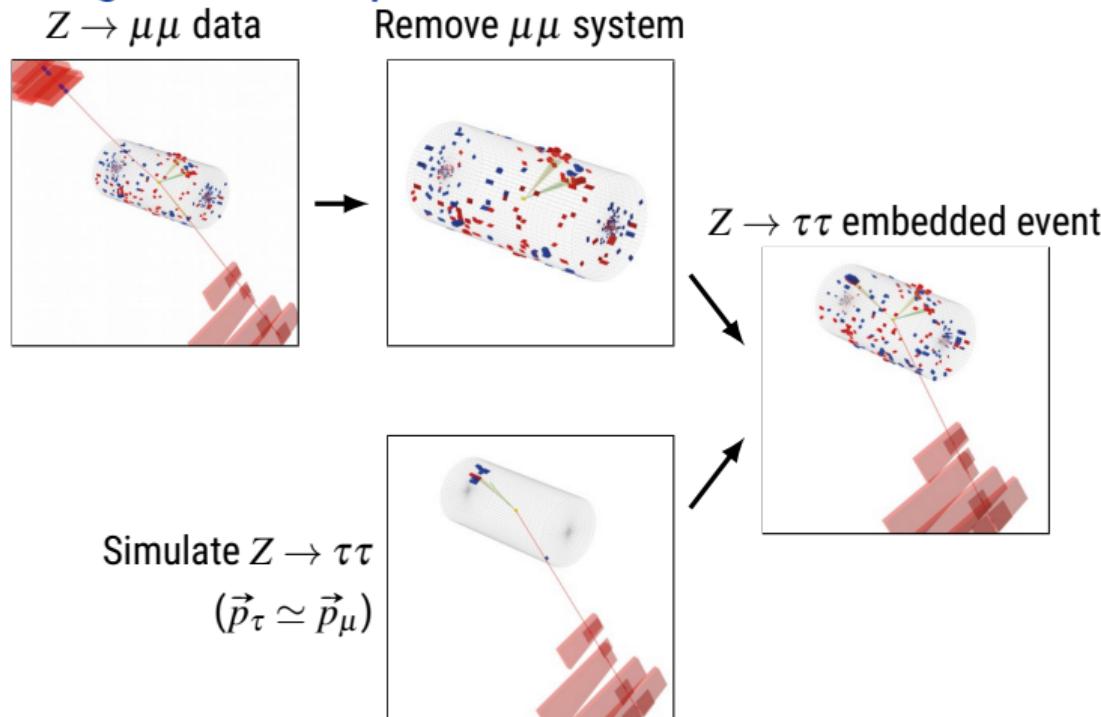


Simulate  $Z \rightarrow \tau\tau$   
 $(\vec{p}_\tau \simeq \vec{p}_\mu)$



- ▷ The CMS Collaboration. "An embedding technique to determine  $\tau\tau$  backgrounds in proton-proton collision data". *Journal of Instrumentation* 14.06 (June 2019). DOI: 10.1088/1748-0221/14/06/p06032.

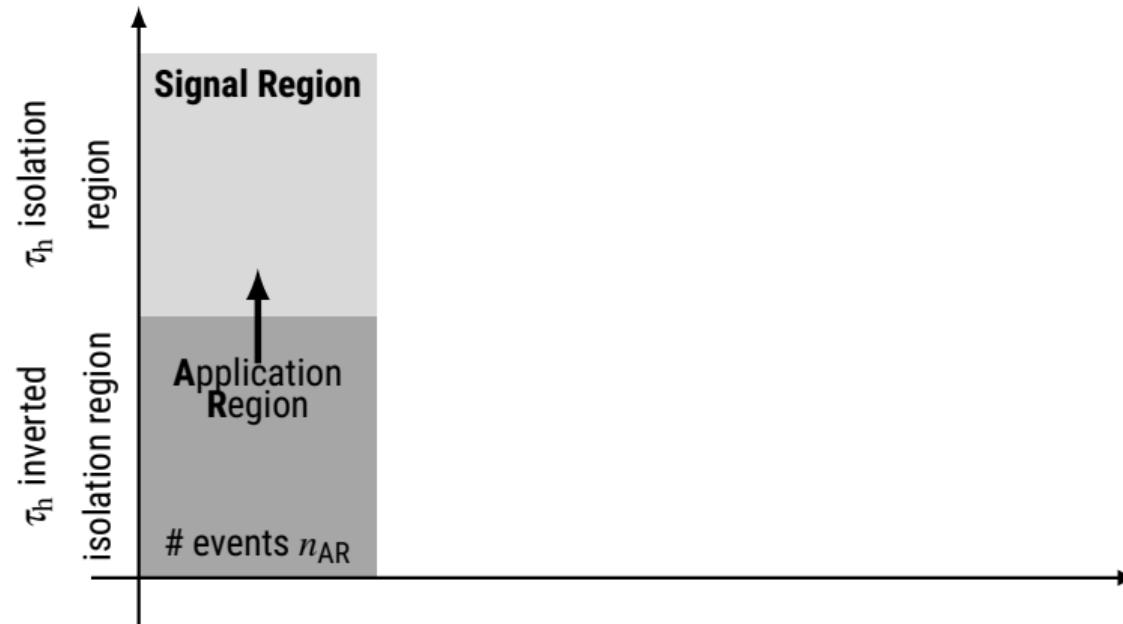
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▷ The CMS Collaboration. "An embedding technique to determine  $\tau\tau$  backgrounds in proton-proton collision data". *Journal of Instrumentation* 14.06 (June 2019). DOI: 10.1088/1748-0221/14/06/p06032.

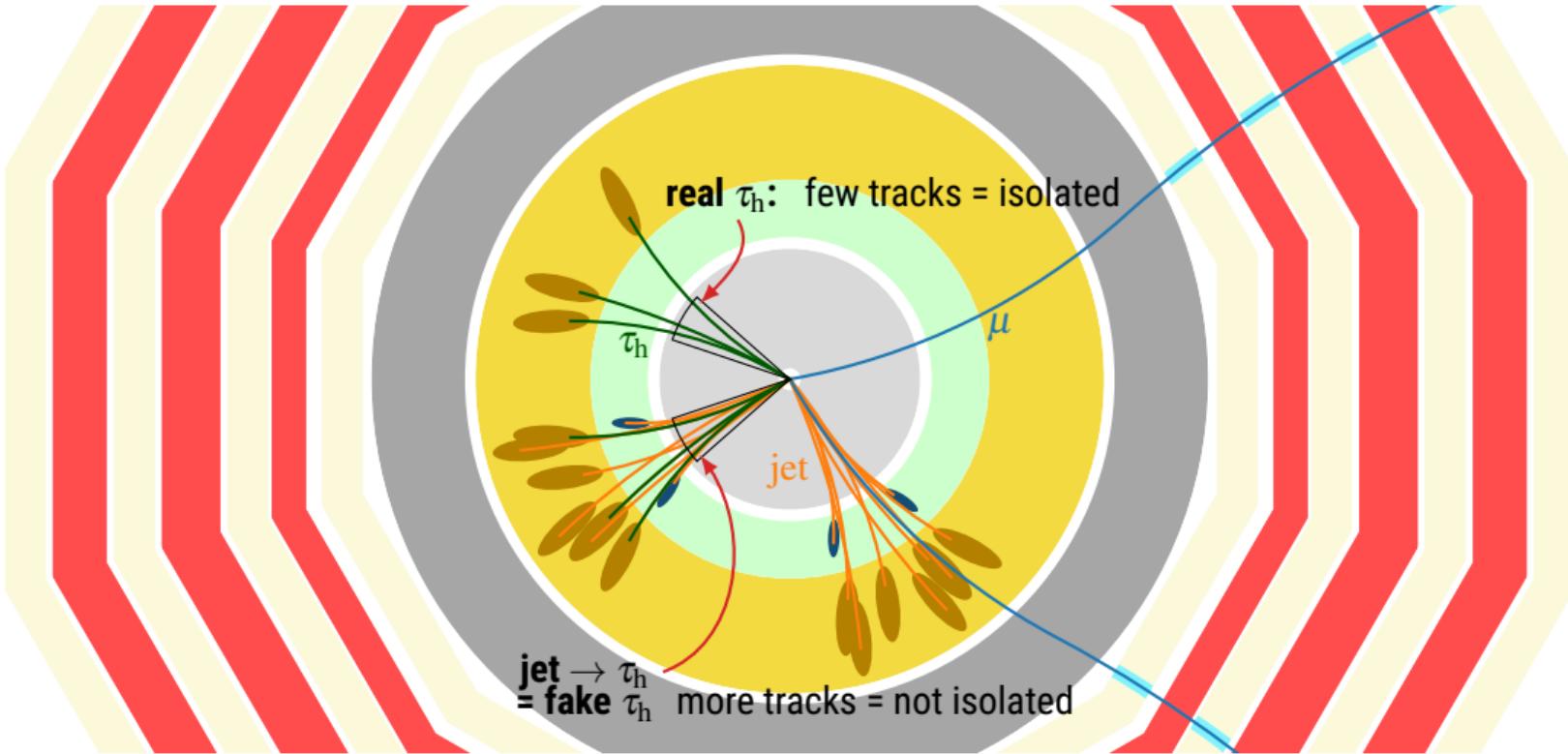
# The Fake Factor method & jets faking $\tau_h$

- ▶ How many events contain misidentified  $\tau_h$  (fake taus) in the Signal Region (SR)?



- ▷ J. Andrejkovic & J. Bechtel. "Data-driven background estimation of fake-tau backgrounds in di-tau final states with the full Run-II dataset". *CMS analysis Note* (June 2020). URL: [https://cms.cern.ch/iCMS/jsp/db\\_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170](https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170).

## Particles isolation – qualitatively



# The Fake Factor method: determination regions definitions

## QCD multijet ( $\tau_h\tau_h$ , $\mu\tau_h$ and $e\tau_h$ channels)

Same as SR, except:

- same signs for  $L_1$  and  $L_2$  electric charges (opposite signs in the SR).

▷ J. Andrejkovic & J. Bechtel. "Data-driven background estimation of fake-tau backgrounds in di-tau final states with the full Run-II dataset". *CMS analysis Note* (June 2020). URL: [https://cms.cern.ch/iCMS/jsp/db\\_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170](https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170).

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## $W + \text{jets}$ ( $\mu \tau_h$ and $e \tau_h$ channels)

Same as SR, except:

- transverse mass  $m_T^{(\ell)} > 70 \text{ GeV}$  ( $m_T^{(\ell)} < 70 \text{ GeV}$  in the SR);
- no  $b$ -jet (allowed in the SR).

▷ J. Andrejkovic & J. Bechtel. "Data-driven background estimation of fake-tau backgrounds in di-tau final states with the full Run-II dataset". *CMS analysis Note* (June 2020). URL: [https://cms.cern.ch/iCMS/jsp/db\\_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170](https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170).

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## $W + \text{jets}$ ( $\mu \tau_h$ and $e \tau_h$ channels)

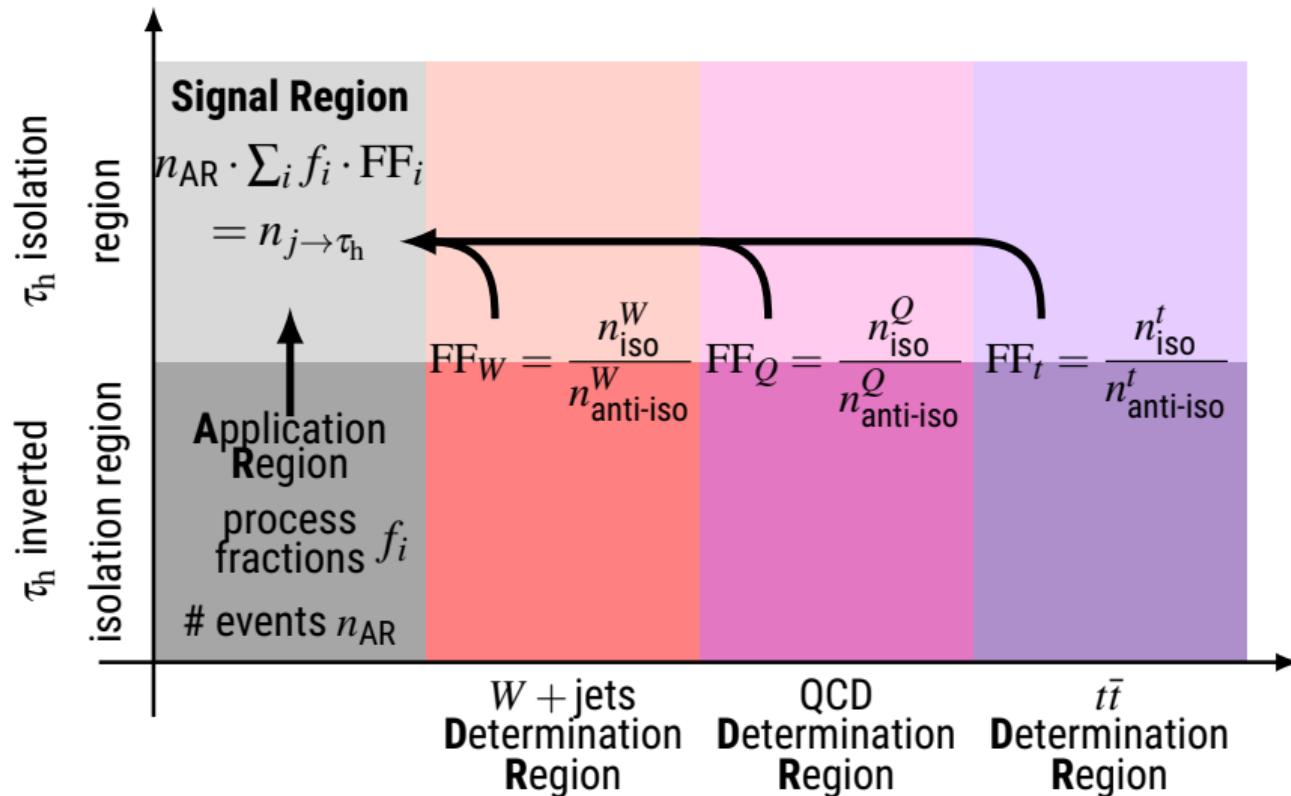
Same as SR, except:

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- no  $b$ -jet (allowed in the SR).

## $t\bar{t}$ ( $\mu \tau_h$ and $e \tau_h$ channels)

Estimation from simulated samples, same selection as in SR.

▷ J. Andrejkovic & J. Bechtel. "Data-driven background estimation of fake-tau backgrounds in di-tau final states with the full Run-II dataset". [CMS analysis Note](#) (June 2020). URL: [https://cms.cern.ch/iCMS/jsp/db\\_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170](https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170).

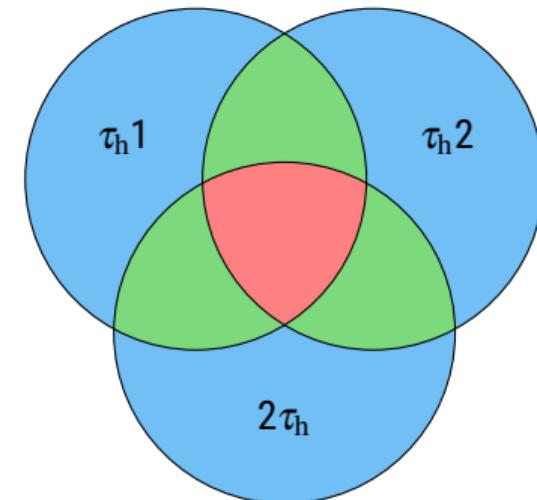


▷ J. Andrejkovic & J. Bechtel. "Data-driven background estimation of fake-tau backgrounds in di-tau final states with the full Run-II dataset". *CMS analysis Note* (June 2020). URL: [https://cms.cern.ch/iCMS/jsp/db\\_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170](https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170).

# Triggers in the $\tau_h \tau_h$ channel

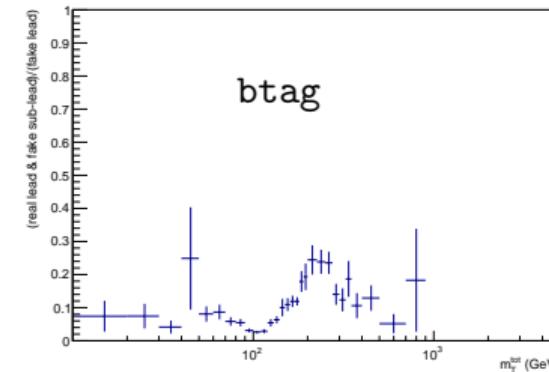
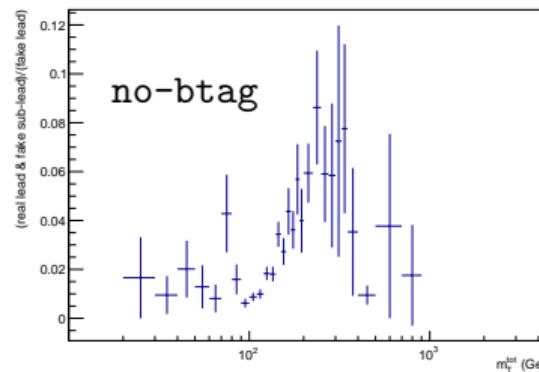
- ▶ In the manuscript: page 118, formula (4.5)

$$\begin{aligned}\varepsilon = & \varepsilon(2\tau_h) + \varepsilon(\tau_h 1) + \varepsilon(\tau_h 2) \\ & - \varepsilon(2\tau_h + \tau_h 1) - \varepsilon(2\tau_h + \tau_h 2) - \varepsilon(\tau_h 1 + \tau_h 2) \\ & + \varepsilon(2\tau_h + \tau_h 1 + \tau_h 2)\end{aligned}$$



# Fake factors for subleading $\tau_h$

- ▶ The  $\tau_h \tau_h$  fake factors are measured for the leading  $\tau_h$  candidate only.
  - ▷ The subleading one can be either a genuine or fake  $\tau_h$ .
- ▶ At this point, underestimation of events in which only the subleading  $\tau_h$  is a fake.
  - ▷ Adding these back using MC.
- ▶ Small fraction of fakes < 10% in no-btag, < 30% in btag (due to  $t\bar{t}$ ):



- ▷ J. Andrejkovic et al. "BSM  $H \rightarrow \tau\tau$  analysis on full Run 2 CMS data at  $\sqrt{s} = 13$  TeV". *CMS analysis Note* (2021). URL:  
[https://cms.cern.ch/iCMS/jsp/db\\_notes/noteInfo.jsp?cmsnoteid=CMS%5C20AN-2020/218](https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%5C20AN-2020/218).

# $CP$ violation in the Higgs sector

$$\mathcal{L}_{\text{Yukawa}} = -\frac{m\sqrt{2}}{v} \left( \cos(\varphi) \bar{\psi} \Phi \psi + \sin(\varphi) \bar{\psi} i\gamma^5 \Phi \psi \right)$$

- ▶ No violation case:

Mix. angle $\varphi$	State	$J^{CP}$	Type	Example
0	$CP$ -even	$0^{++}$	Scalar	SM Higgs, MSSM $h$ and $H$
$\pi/2$	$CP$ -odd	$0^{+-}$	Pseudo-scalar	MSSM $A$

- ▶ What if  $\varphi \notin \{0, \pi/2\}$ ?

- ▷ Mass eigenstates  $\neq CP$  eigenstates  $\Rightarrow$  mixing!

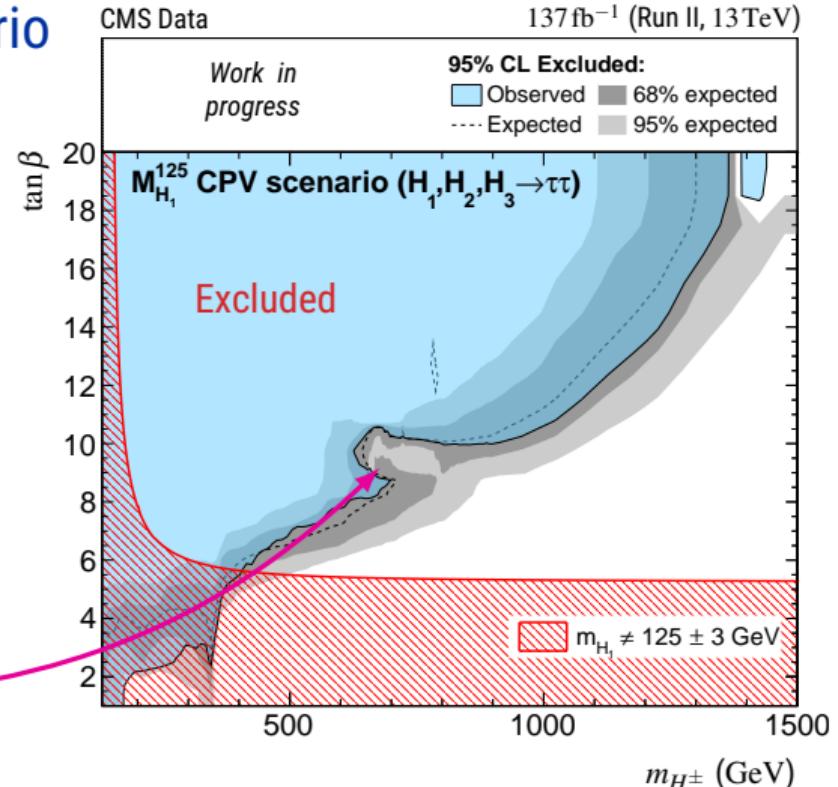
$CP$  eigenstates:  $h, H, A$   
Mass eigenstates:  $H_1, H_2, H_3$

# $CP$ violation and the $M_{H_1}^{125}$ ( $CPV$ ) scenario

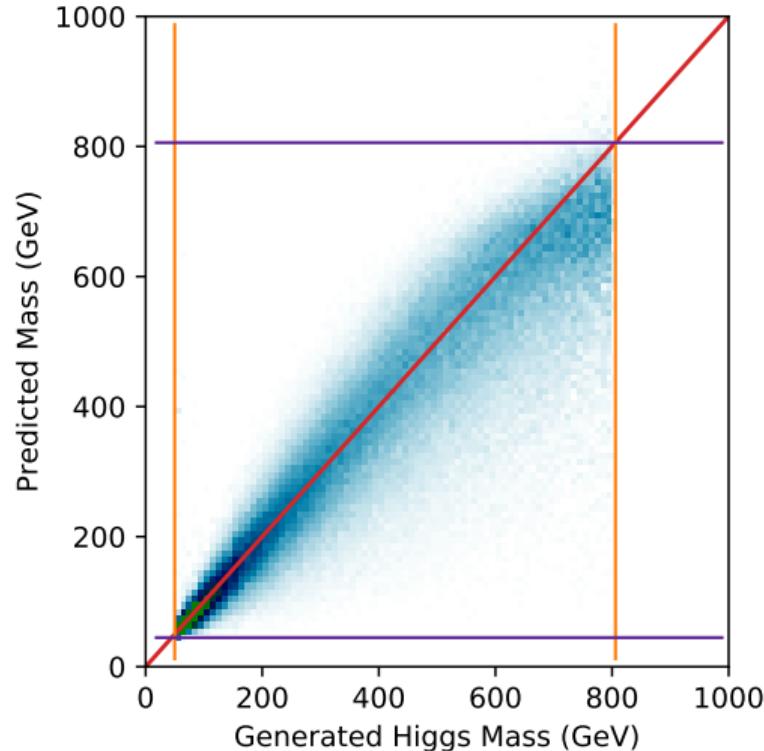
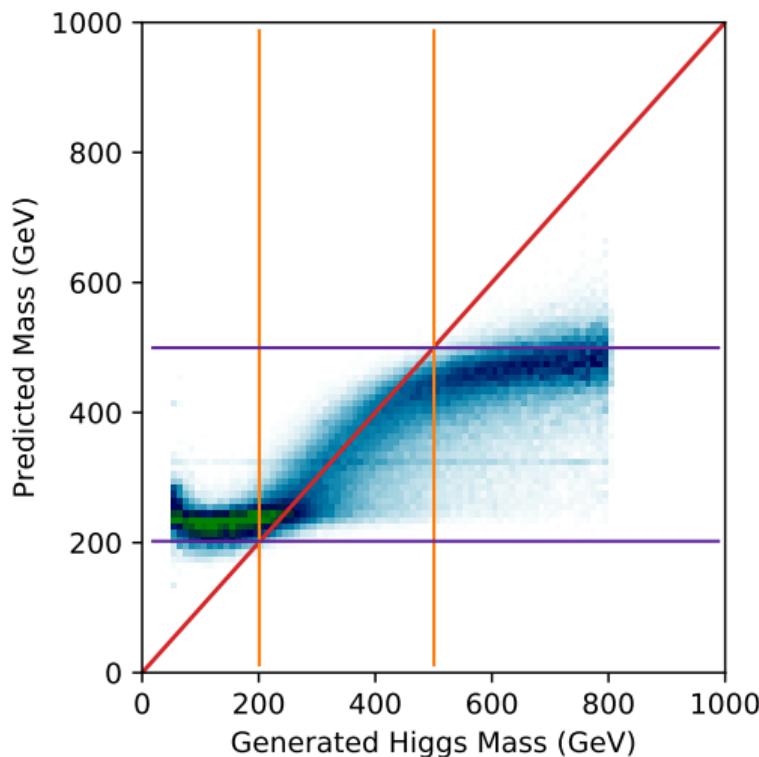
- ▶ Fixed higher-order MSSM parameter, in particular the trilinear Higgs-stop coupling constant:

$$|A_t| = \mu \cot \beta + 2.8 \text{ TeV}, \quad \phi_{A_t} = \frac{2\pi}{15}$$

- ▶  $m_A$  is replaced by  $m_{H^\pm}$  as first-order parameter.
- ▶  $H_1$  should be the observed Higgs, interpreted now as the SM Higgs.
- ▶  $H_2$  and  $H_3$  are additionnal wrt. the SM.
- ▶ Interferences lowering sensitivity!



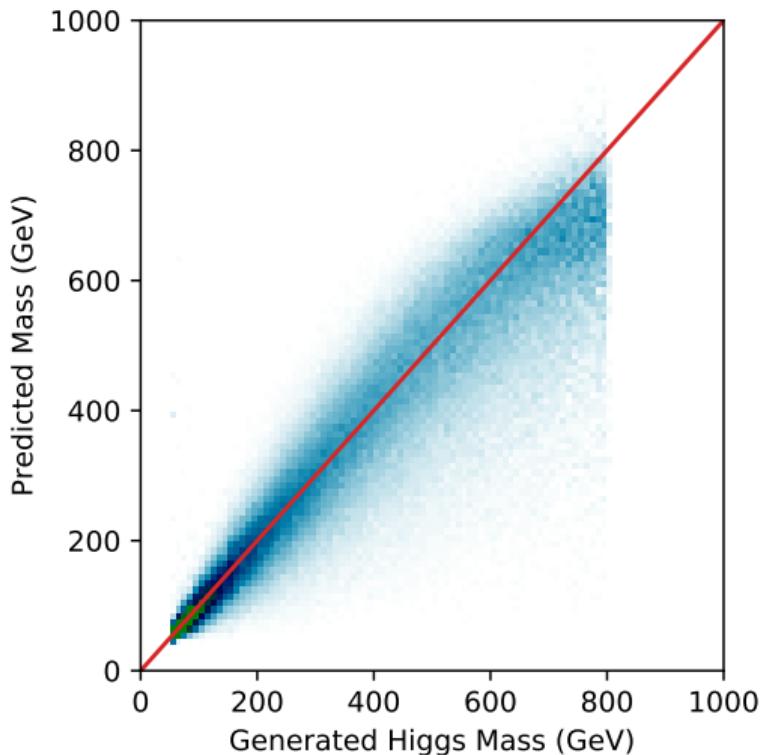
- ▶ E. Bagnaschi et al. "MSSM Higgs boson searches at the LHC: benchmark scenarios for Run 2 and beyond". *The European Physical Journal C*79.7 (July 2019). DOI: 10.1140/epjc/s10052-019-7114-8.

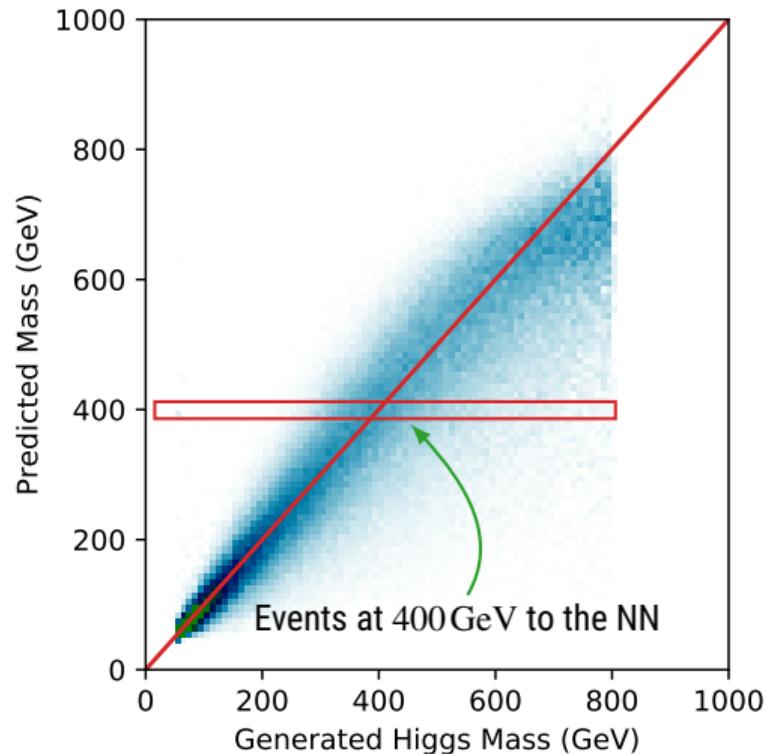
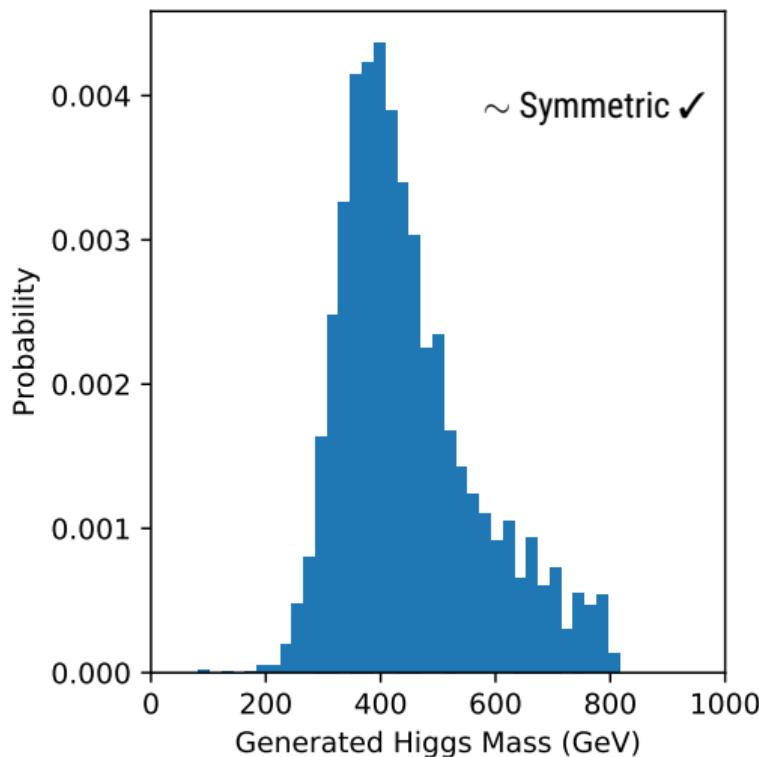


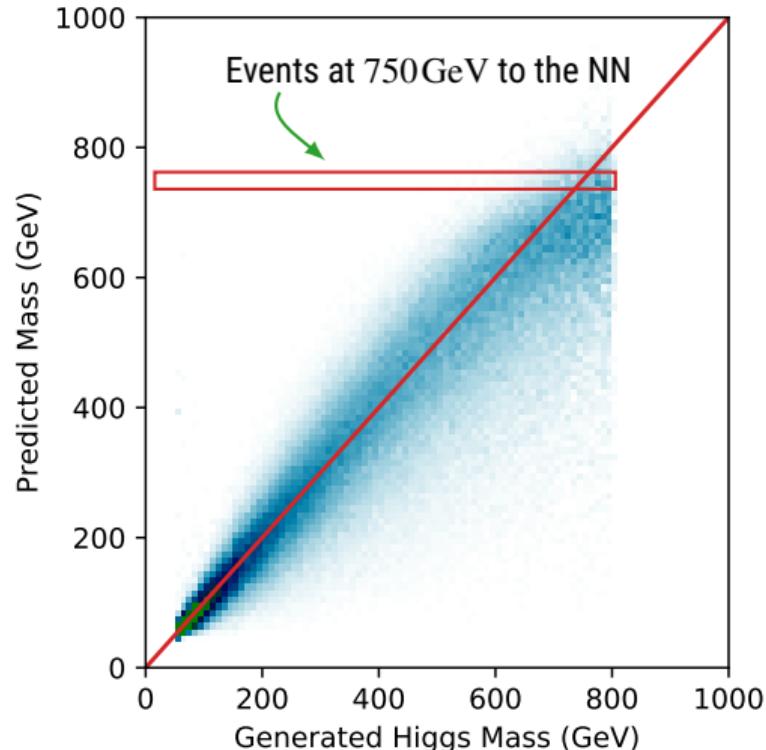
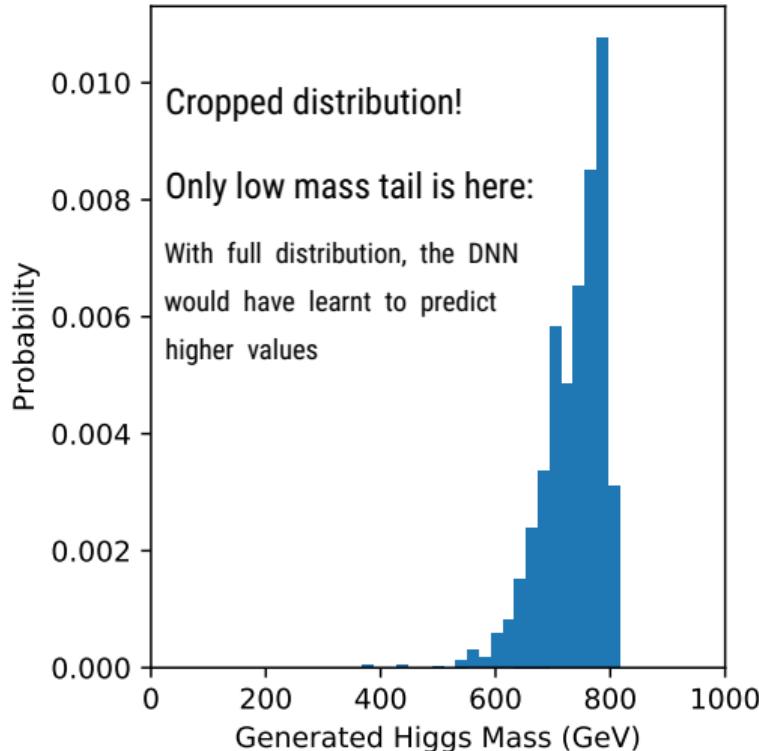
## ► How to cope with the boundaries?

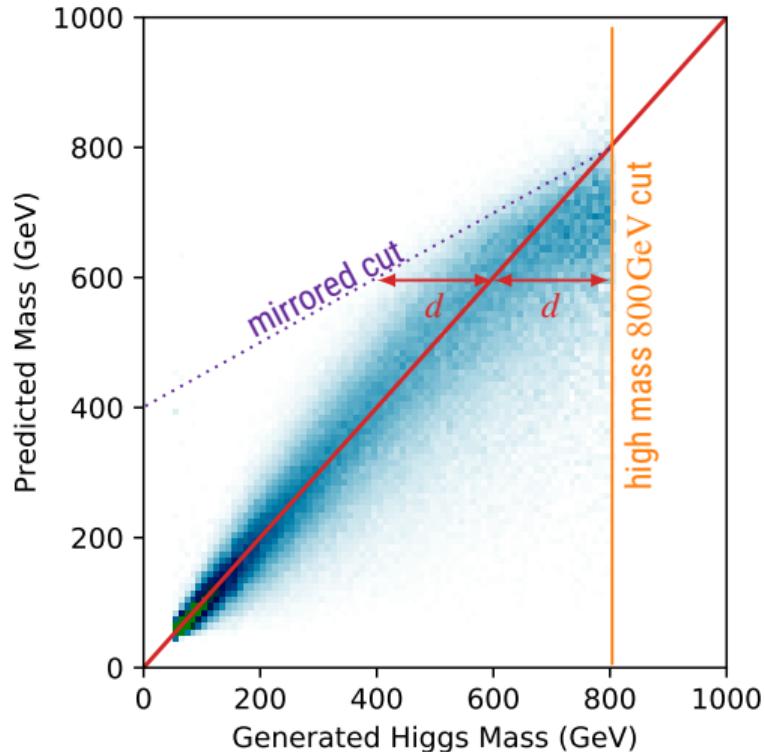
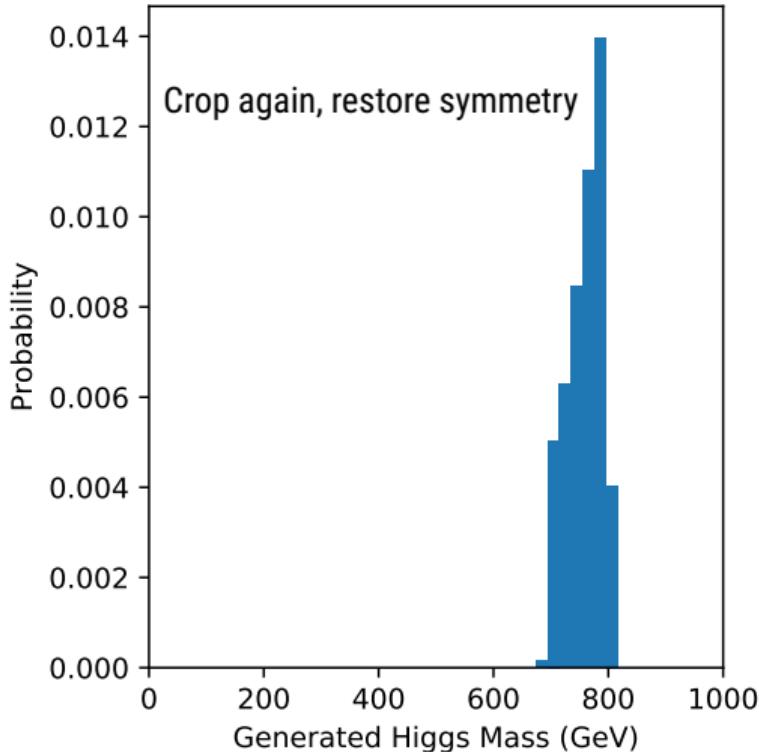
- ▷ Bias to be balanced,
- ▷ Extend the mass range?
  - ▷ Would be nice!
  - ▷ Not always feasible...

## ► Every horizontal slice is one predicted value: ▷ "Same family" of events to the NN.



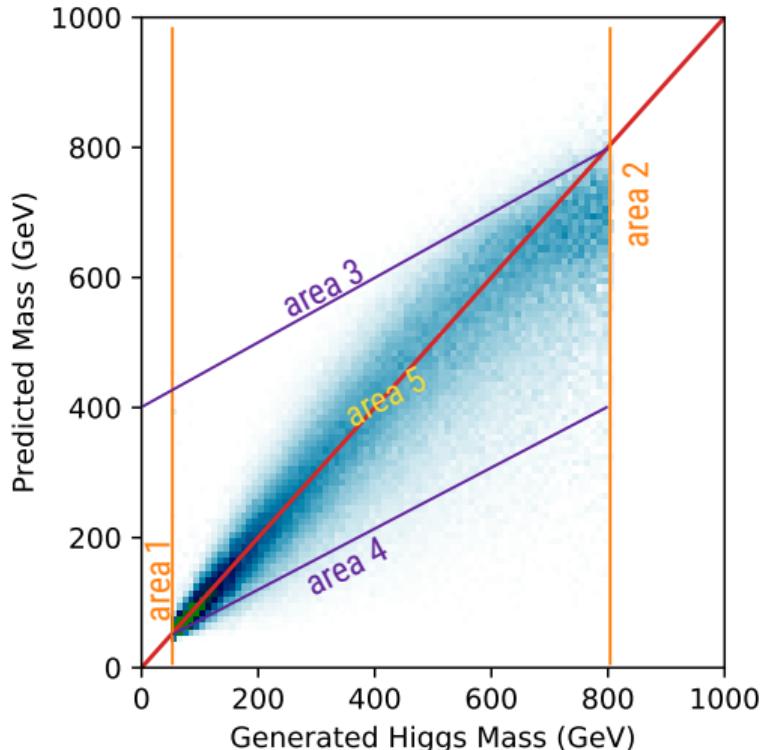






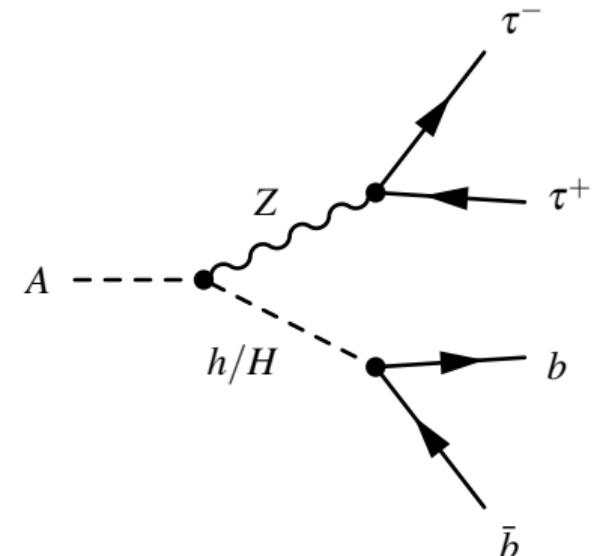
$$\mathcal{L}_{\text{MA}\sqrt{\text{PE}} \times b}(y_{\text{true}}, y_{\text{pred}}) = \mathcal{L}_{\text{MA}\sqrt{\text{PE}}}(y_{\text{true}}, y_{\text{pred}}) \\ \times \begin{cases} 0 & \text{if } (y_{\text{true}}, y_{\text{pred}}) \in \text{area 3} \\ 0.1 & \text{if } (y_{\text{true}}, y_{\text{pred}}) \in \text{area 4} \\ 1 & \text{else} \end{cases}$$

$$\mathcal{L}_{\text{MA}\sqrt{\text{PE}}}(y_{\text{true}}, y_{\text{pred}}) = \mathcal{L}_{\text{MAPE}}(y_{\text{true}}, y_{\text{pred}}) \times \sqrt{y_{\text{true}}} \\ = \left| \frac{y_{\text{pred}} - y_{\text{true}}}{y_{\text{true}}} \right| \times \sqrt{y_{\text{true}}} \\ \Leftrightarrow \mathcal{L}_{\text{MA}\sqrt{\text{PE}}}(y_{\text{true}}, y_{\text{pred}}) = \left| \frac{y_{\text{pred}} - y_{\text{true}}}{\sqrt{y_{\text{true}}}} \right|.$$



# Training mass range high boundary

- ▶ We used  $\mathcal{H} \rightarrow \tau\tau$  events:
  - ▷  $\mathcal{H}$  is SM Higgs (pdg ID 25) with a different mass,
  - ▷  $\mathcal{H}$  produced by gluon fusion,
  - ▷ set  $\mathcal{BR}(\mathcal{H} \rightarrow \tau\tau) = 1$  to avoid non di- $\tau$  events.
- ▶ SM particles well known (wrt. BSM particles).
- ▶ We produced samples with BSM particles too, **but**:
  - ▷ theoretical uncertainties (unknown particles !),
  - ▷ for a same mass point,  $\tau$  kinematics do not match with  $\mathcal{H}$  samples !
  - ▷ couplings effect ?



What to predict here?