



MINISTÈRE
DE L'ENSEIGNEMENT SUPÉRIEUR,
DE LA RECHERCHE
ET DE L'INNOVATION



PHAST
PHYSIQUE
ET ASTROPHYSIQUE
UNIVERSITÉ DE LYON



Machine Learning et boson de Higgs

Fête de la Science 2021

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Lycée Raspail – Paris

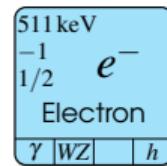
11 octobre 2021



Particle physics?

⇒ study **elementary particles** and their **interactions**

The Standard Model



The Standard Model

| |
|-------------------------|
| $\sim 2.16 \text{ MeV}$ |
| $+2/3$ |
| $1/2$ |
| Up quark |
| γ WZ g h |

| |
|-------------------------|
| $\sim 4.67 \text{ MeV}$ |
| $-1/3$ |
| $1/2$ |
| Down quark |
| γ WZ g h |

| |
|-------------------|
| 511 keV |
| -1 |
| $1/2$ |
| e^- |
| Electron |
| γ WZ h |

$\text{proton} = uud$

$\text{neutron} = udd$

The Standard Model

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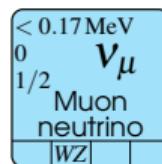
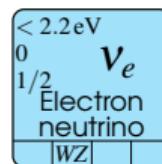
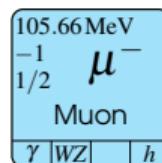
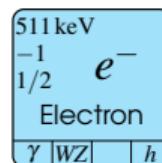
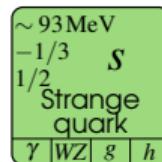
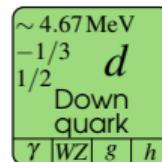
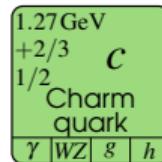
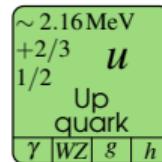
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| |
|-------------------|
| 511 keV |
| -1 |
| $1/2$ |
| e^- |
| Electron |
| γ WZ h |

| |
|--------------------|
| $< 2.2 \text{ eV}$ |
| 0 |
| $1/2$ |
| ν_e |
| Electron neutrino |
| WZ |

Beta decay: $n \rightarrow p + e^- + \bar{\nu}_e$
actually $d \rightarrow u + e^- + \bar{\nu}_e$

The Standard Model



The Standard Model

$\sim 2.16 \text{ MeV}$
 $+2/3$ u
 $1/2$ Up quark
 $\gamma | WZ | g | h$

1.27 GeV
 $+2/3$ c
 $1/2$ Charm quark
 $\gamma | WZ | g | h$

172.76 GeV
 $+2/3$ t
 $1/2$ Top quark
 $\gamma | WZ | g | h$

$\sim 4.67 \text{ MeV}$
 $-1/3$ d
 $1/2$ Down quark
 $\gamma | WZ | g | h$

$\sim 93 \text{ MeV}$
 $-1/3$ s
 $1/2$ Strange quark
 $\gamma | WZ | g | h$

4.18 GeV
 $-1/3$ b
 $1/2$ Bottom quark
 $\gamma | WZ | g | h$

511 keV
 -1 e^-
 $1/2$ Electron
 $\gamma | WZ | h$

105.66 MeV
 -1 μ^-
 $1/2$ Muon
 $\gamma | WZ | h$

1.7769 GeV
 -1 τ^-
 $1/2$ Tau
 $\gamma | WZ | h$

$< 2.2 \text{ eV}$
 0 ν_e
 $1/2$ Electron neutrino
 WZ

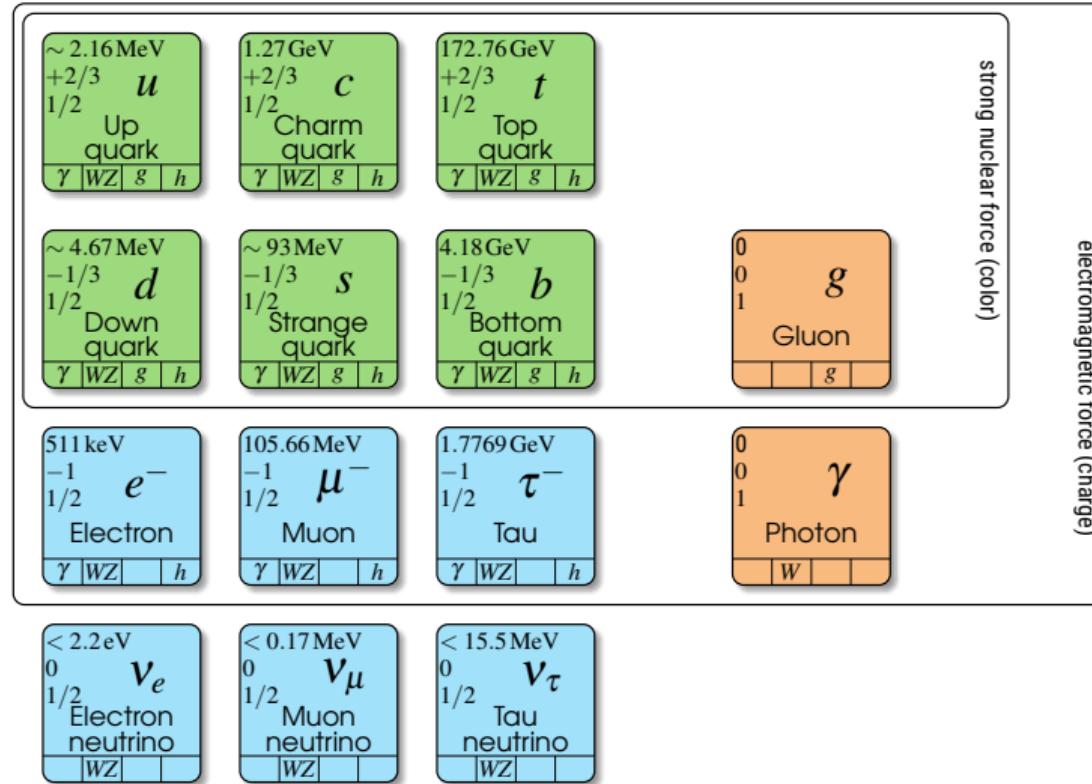
$< 0.17 \text{ MeV}$
 0 ν_μ
 $1/2$ Muon neutrino
 WZ

$< 15.5 \text{ MeV}$
 0 ν_τ
 $1/2$ Tau neutrino
 WZ

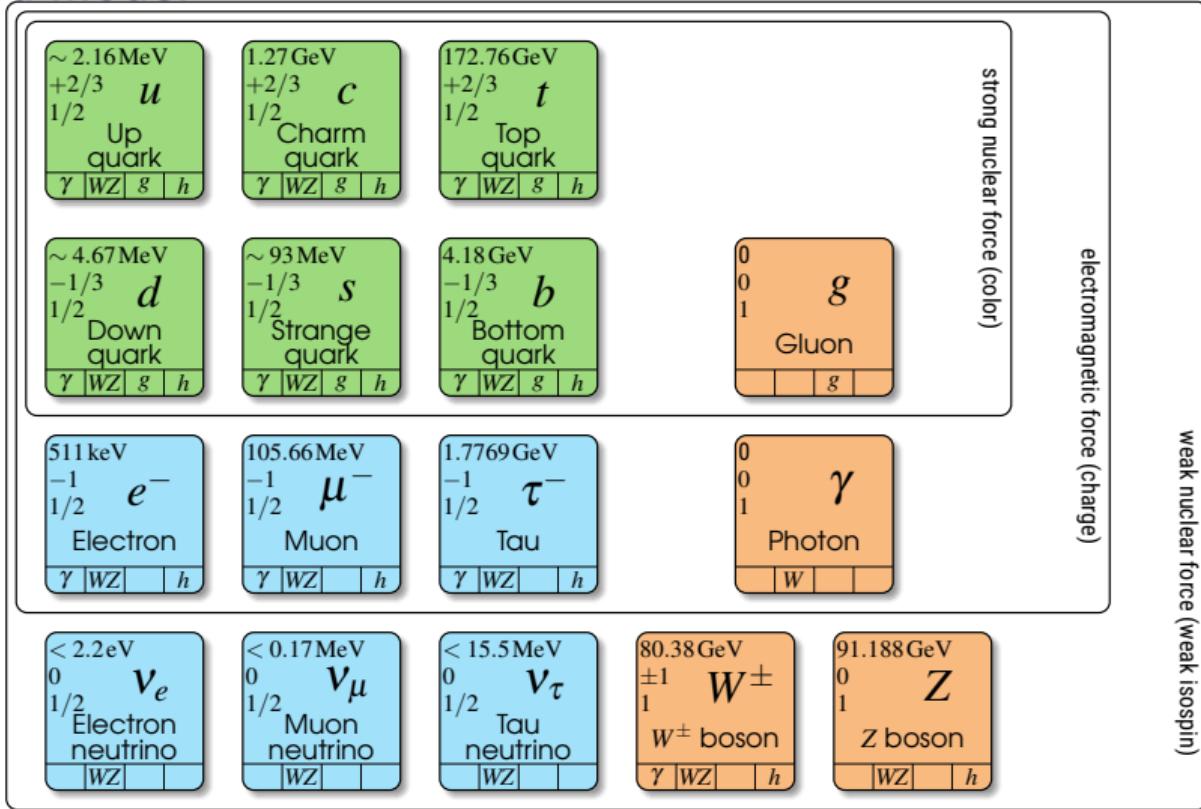
The Standard Model

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| $\sim 2.16 \text{ MeV}$ $+2/3$ $1/2$ Up quark $\gamma WZ g h$ | 1.27 GeV $+2/3$ $1/2$ Charm quark $\gamma WZ g h$ | 172.76 GeV $+2/3$ $1/2$ Top quark $\gamma WZ g h$ | strong nuclear force (color) |
| $\sim 4.67 \text{ MeV}$ $-1/3$ $1/2$ Down quark $\gamma WZ g h$ | $\sim 93 \text{ MeV}$ $-1/3$ $1/2$ Strange quark $\gamma WZ g h$ | 4.18 GeV $-1/3$ $1/2$ Bottom quark $\gamma WZ g h$ | |
| 511 keV -1 $1/2$ Electron $\gamma WZ h$ | 105.66 MeV -1 $1/2$ Muon $\gamma WZ h$ | 1.7769 GeV -1 $1/2$ Tau $\gamma WZ h$ | |
| $< 2.2 \text{ eV}$ 0 $1/2$ Electron neutrino WZ | $< 0.17 \text{ MeV}$ 0 $1/2$ Muon neutrino WZ | $< 15.5 \text{ MeV}$ 0 $1/2$ Tau neutrino WZ | |

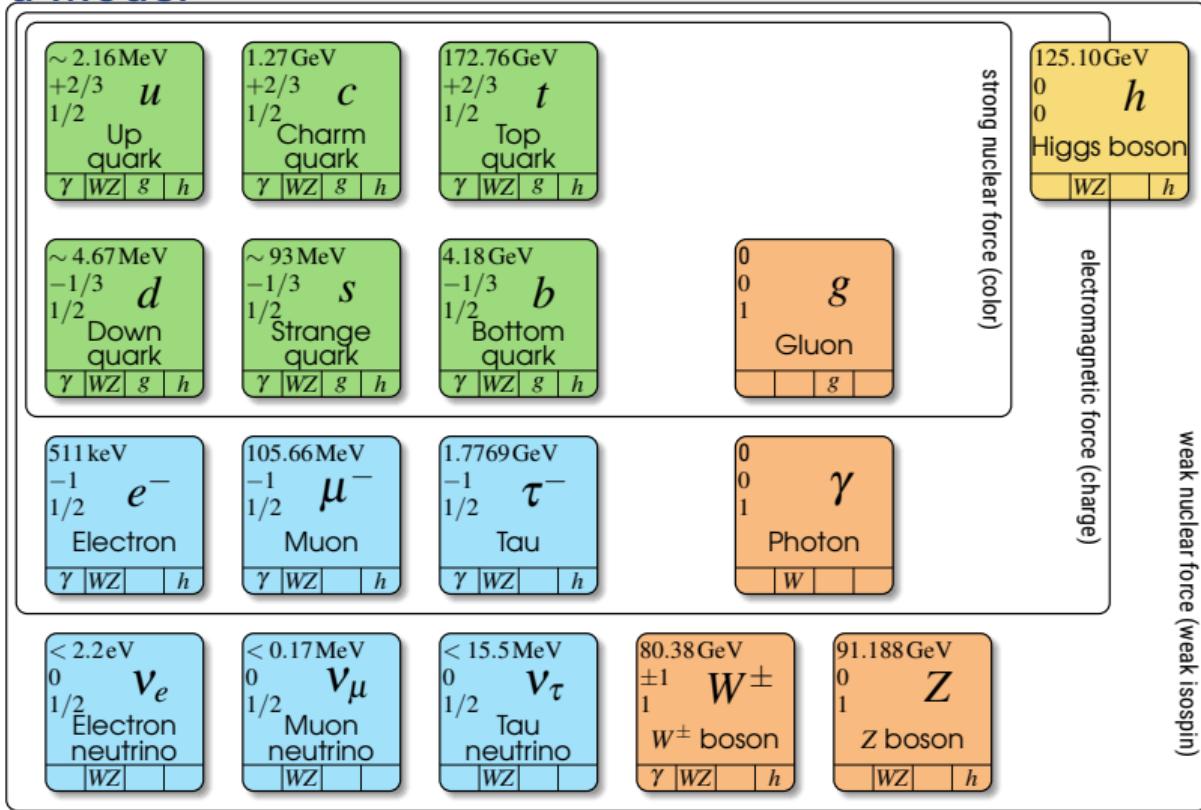
The Standard Model



The Standard Model



The Standard Model



Recherche de bosons de Higgs supplémentaires de haute masse se désintégrant en paire de taus dans l'expérience CMS au LHC à l'aide du *machine learning*

Search for additional heavy Higgs bosons decaying to tau lepton pair in the CMS experiment with machine learning techniques

Why do we **search for** additional particles?

Current standard model status

- Robust and predictive (top quark, W , Z and one Higgs boson...)
- Still not good enough, unable to explain some observations such as:
 - ▶ dark matter
 - ▶ matter vs antimatter asymmetry
 - ▶ naturalness problem
 - ▶ ...
- Go beyond with a new model!
- Consequences of this new model? **Test it!**

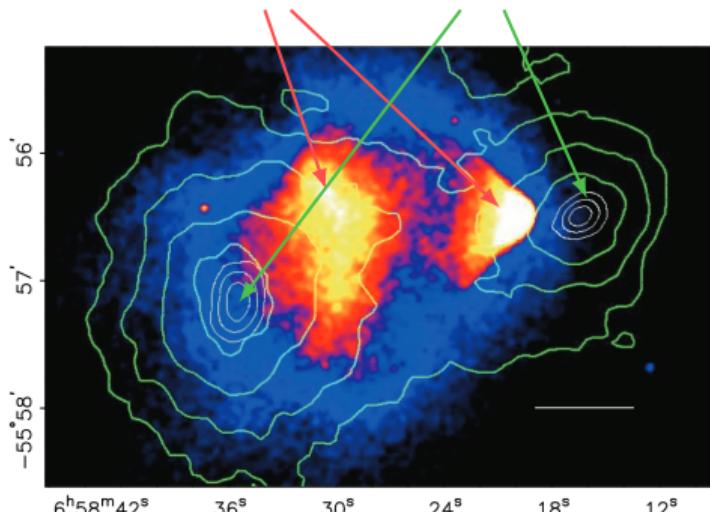
▷ D. Clowe et al. "A Direct Empirical Proof of the Existence of Dark Matter". *Astrophysical Journal* **648**.2 (Aug. 2006). DOI: 10.1086/508162.

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 - ▶ ...
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Galaxies from: **X rays** gravitational lensing



Difference due to **dark matter**!

▷ D. Clowe et al. "A Direct Empirical Proof of the Existence of Dark Matter". *Astrophysical Journal* **648**.2 (Aug. 2006). DOI: 10.1086/508162.

Keywords in title

Search for **additional heavy Higgs bosons decaying to tau lepton pair** in the **CMS experiment at LHC**

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Part I *Phenomenology*

Keywords in title

Search for **additional heavy Higgs bosons decaying to tau lepton pair** in the **CMS experiment at LHC**

Part I
Phenomenology

Part II
Experimental device

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$H/A \rightarrow \tau\tau$ analysis

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Part I
Phenomenology

Part II
Experimental device

Part III
 $H/A \rightarrow \tau\tau$ analysis

+ Part IV: **with machine learning techniques**

1 Phenomenology

2 Experimental device

3 $H/A \rightarrow \tau\tau$ analysis

4 Machine learning

Higgs bosons in the MSSM

Minimal Supersymmetric extension of Standard Model

5 Higgs bosons

| | | |
|---------------|-------|------------|
| light scalar | h | MSSM or SM |
| heavy scalar | H | SM or MSSM |
| pseudo-scalar | A | MSSM |
| + charged | H^+ | MSSM |
| - charged | H^- | MSSM |

Main parameters: m_A and $\tan \beta$.

- ▷ **The CMS Collaboration.** "Search for additional neutral MSSM Higgs bosons in the $\tau\tau$ final state in pp collisions at $\sqrt{s} = 13 \text{ TeV}$ ". *Journal of High Energy Physics* **09.007** (Sept. 2018). doi: [10.1007/JHEP09\(2018\)007](https://doi.org/10.1007/JHEP09(2018)007).
- ▷ **Y. Nagashima.** *Beyond the Standard Model of Elementary Particle Physics*. Weinheim: Wiley-VCH, June 2014. URL: <http://cds.cern.ch/record/1620277>.

Higgs bosons in the MSSM

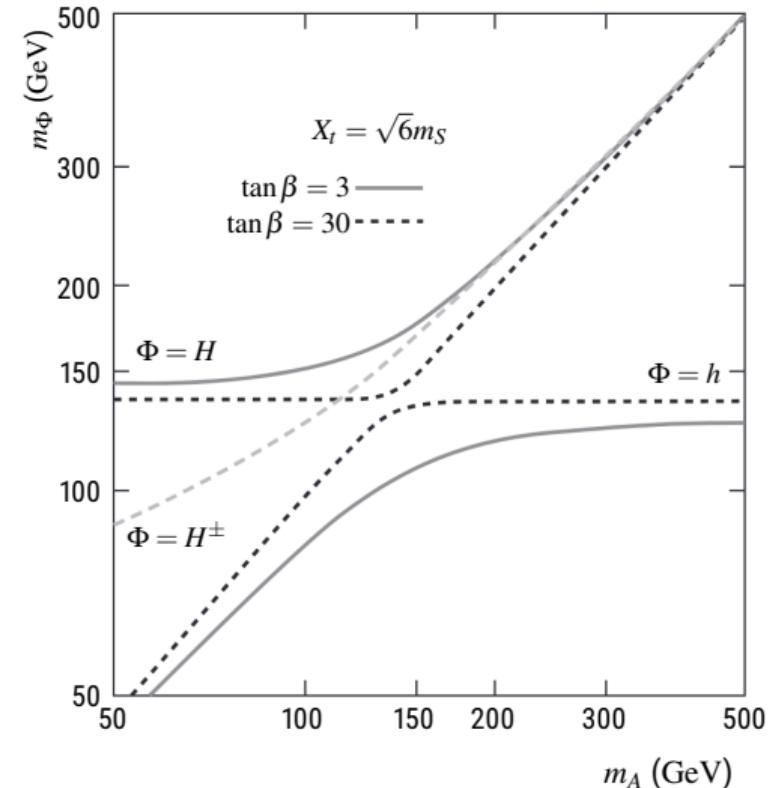
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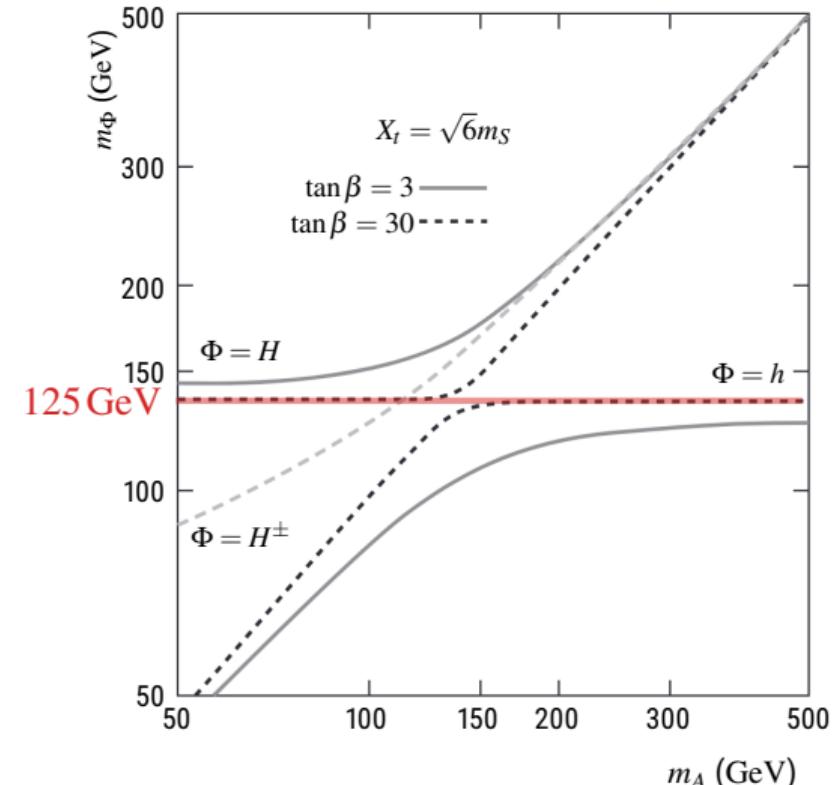
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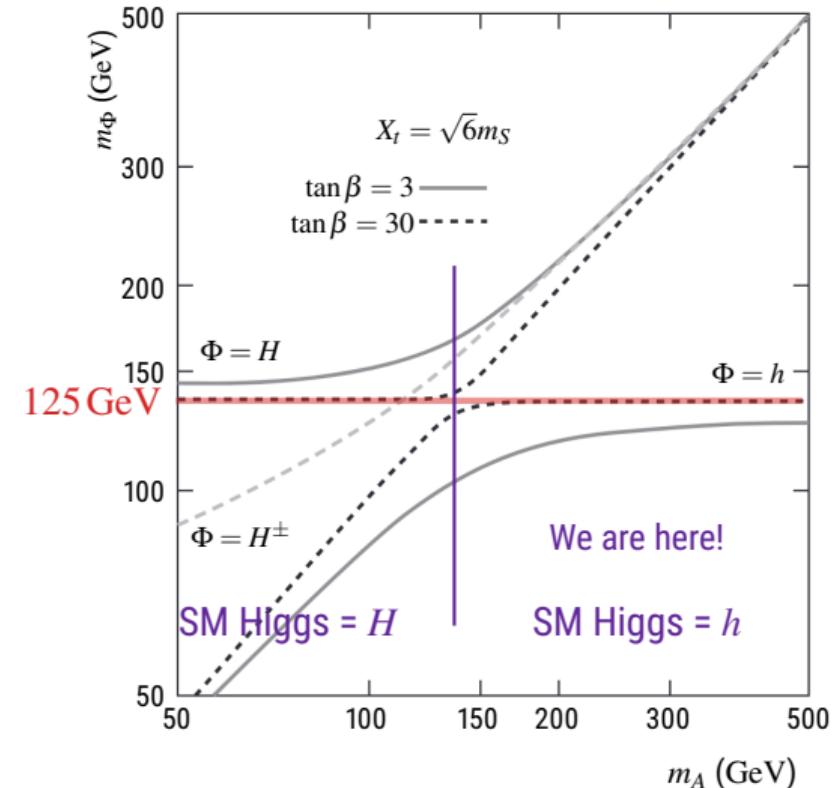
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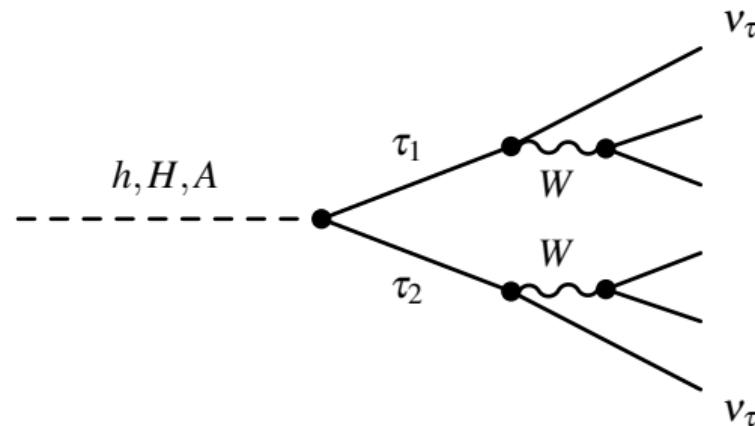
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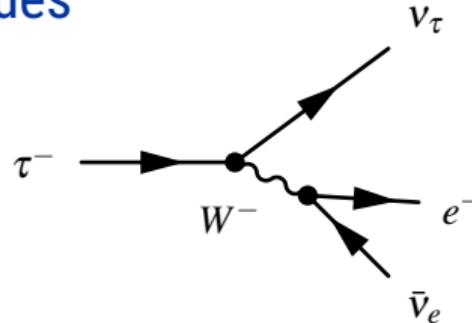
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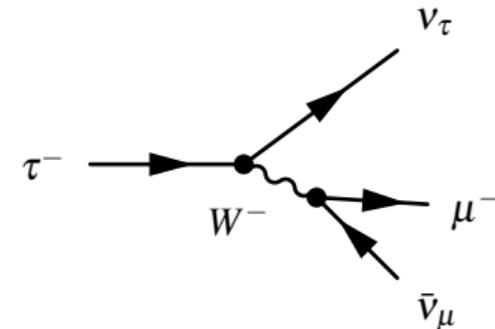
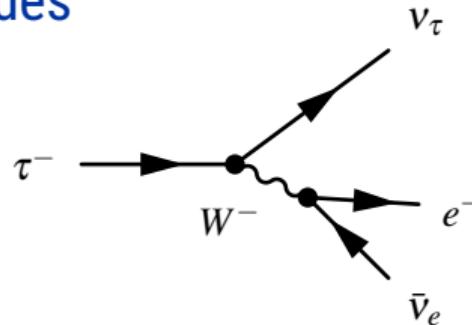
$H/A \rightarrow \tau\tau$ decay channel



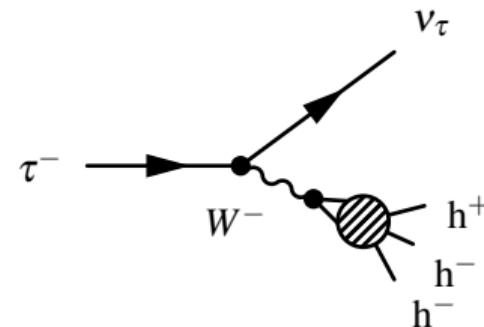
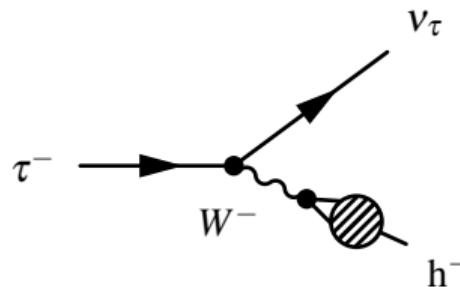
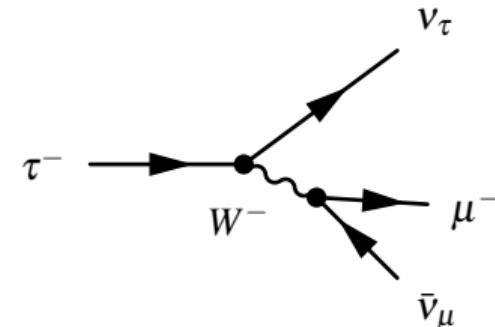
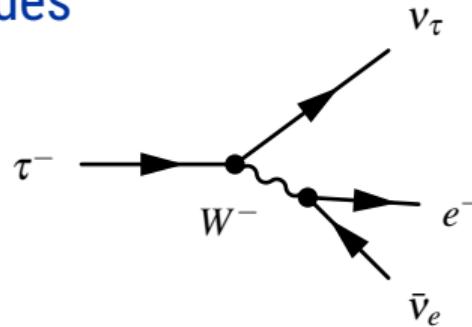
τ decay modes

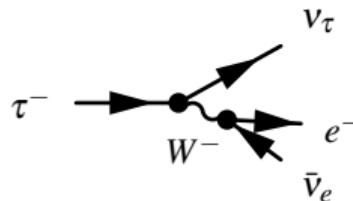
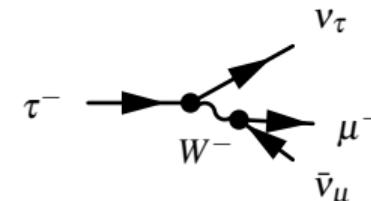
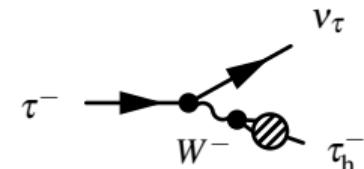


τ decay modes



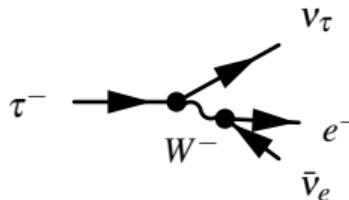
τ decay modes



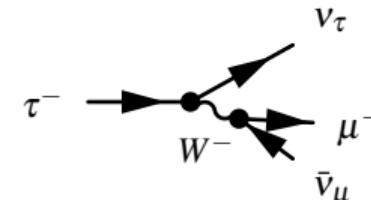
$H/A \rightarrow \tau\tau \rightarrow L_1 L_2$ $\tau \rightarrow e + v_e + \bar{v}_\tau \Rightarrow e$
17.8 % $\tau \rightarrow \mu + v_\mu + \bar{v}_\tau \Rightarrow \mu$
17.4 % $\tau \rightarrow \text{hadrons} + v_\tau \Rightarrow \tau_h$
64.8 %

$$H/A \rightarrow \tau\tau \rightarrow L_1 L_2$$

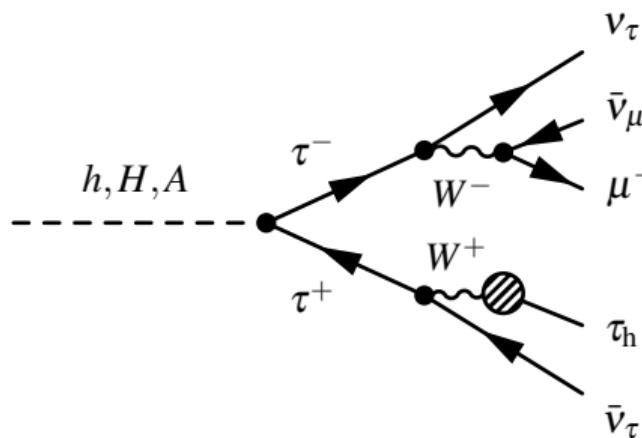
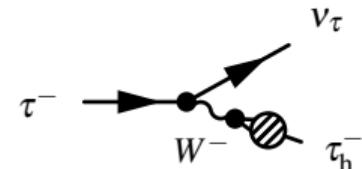
$$\tau \rightarrow e + v_e + \bar{v}_\tau \Rightarrow e \\ 17.8\%$$



$$\tau \rightarrow \mu + v_\mu + \bar{v}_\tau \Rightarrow \mu \\ 17.4\%$$



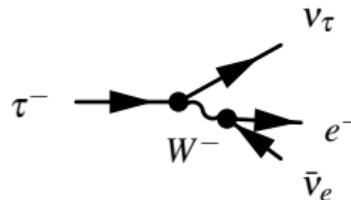
$$\tau \rightarrow \text{hadrons} + v_\tau \Rightarrow \tau_h \\ 64.8\%$$



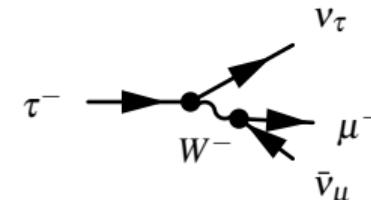
▷ Particle Data Group. "Review of Particle Physics". *Progress of Theoretical and Experimental Physics* 8 (Aug. 2020). DOI: 10.1093/ptep/ptaa104.

$$H/A \rightarrow \tau\tau \rightarrow L_1 L_2$$

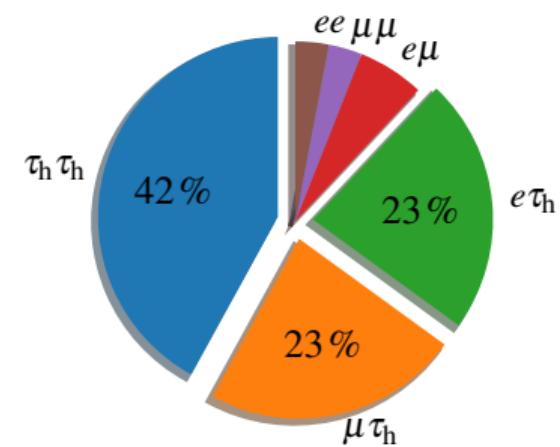
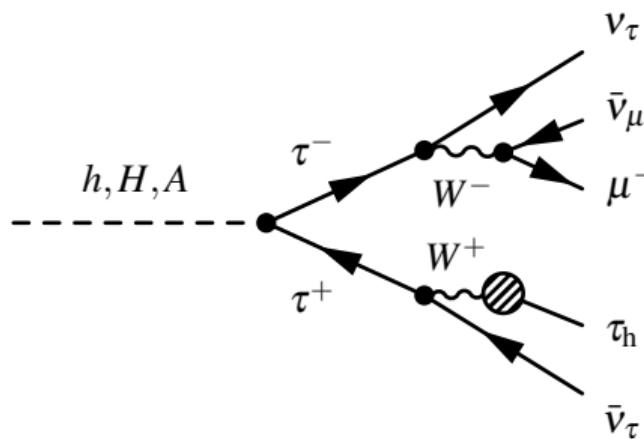
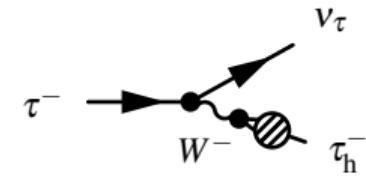
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1 Phenomenology

2 Experimental device

3 $H/A \rightarrow \tau\tau$ analysis

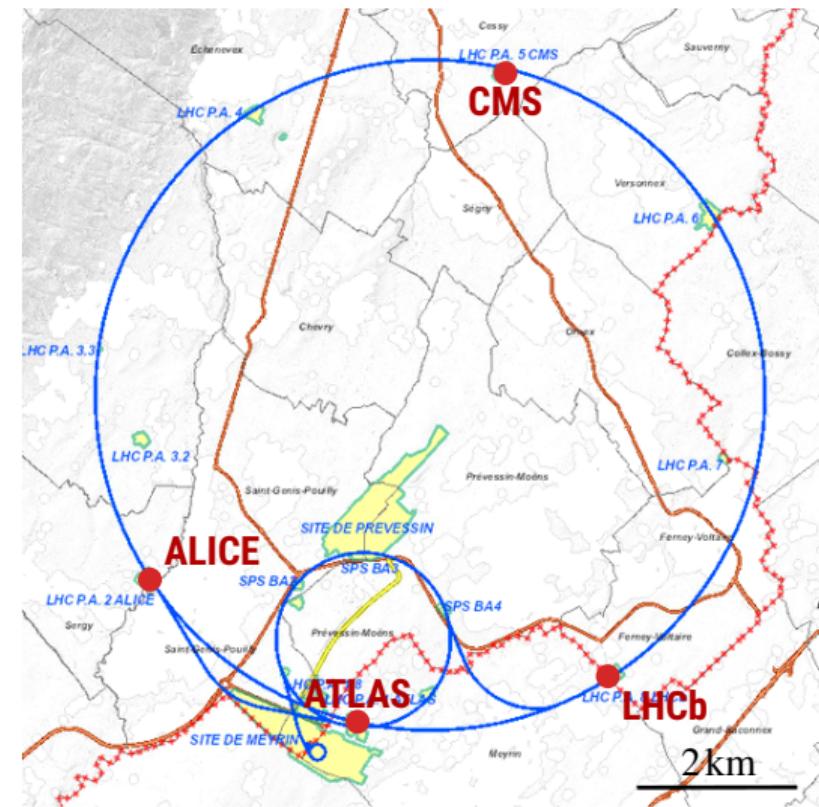
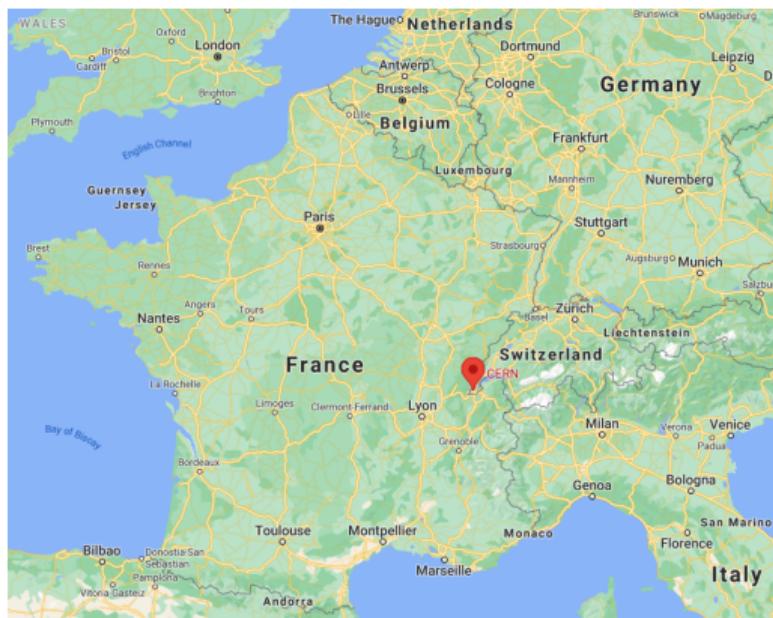
4 Machine learning

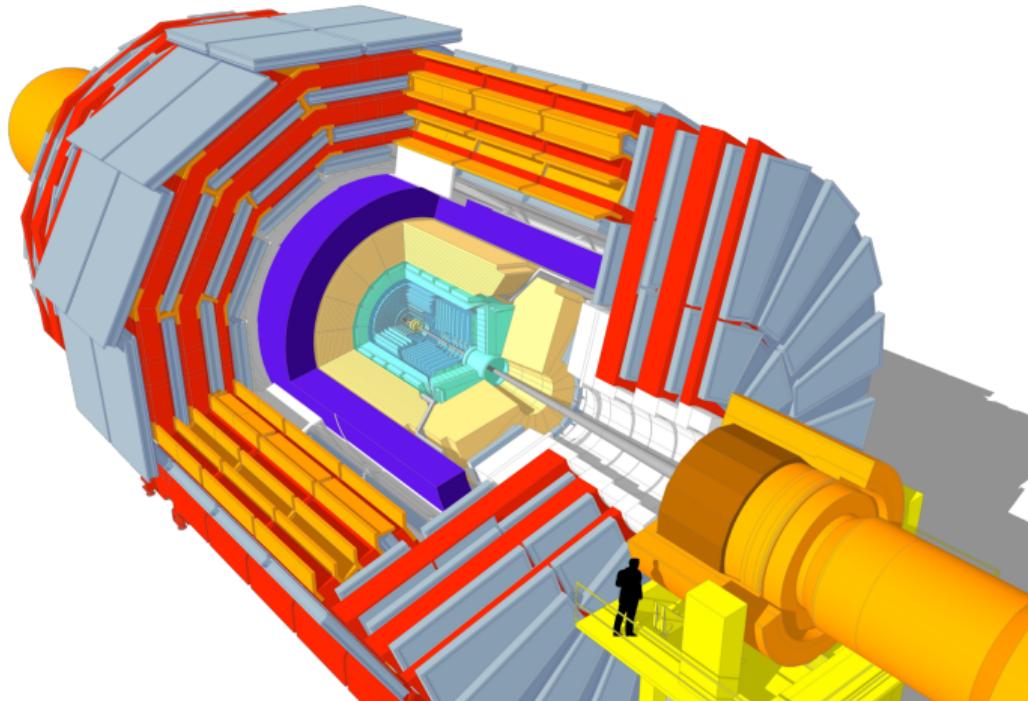
Principle

$$E = mc^2$$

mass (new particles) from the collision energy

CERN LHC

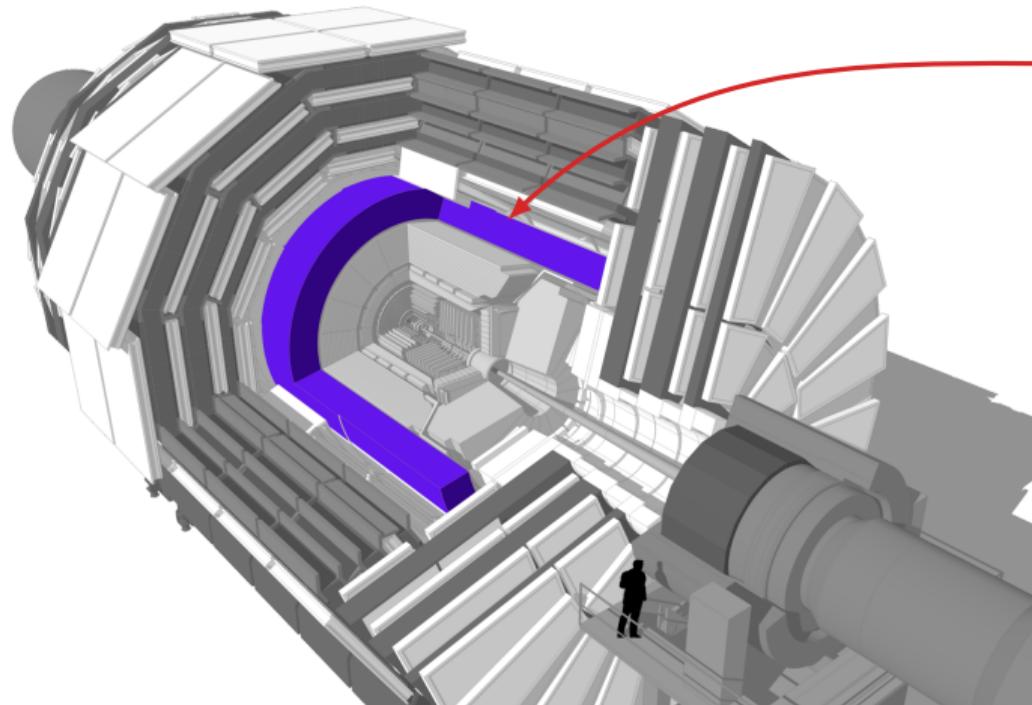




CMS detector

- Mass: $\sim 14000\text{t}$
- Diameter: 15 m
- Length: 28.7 m

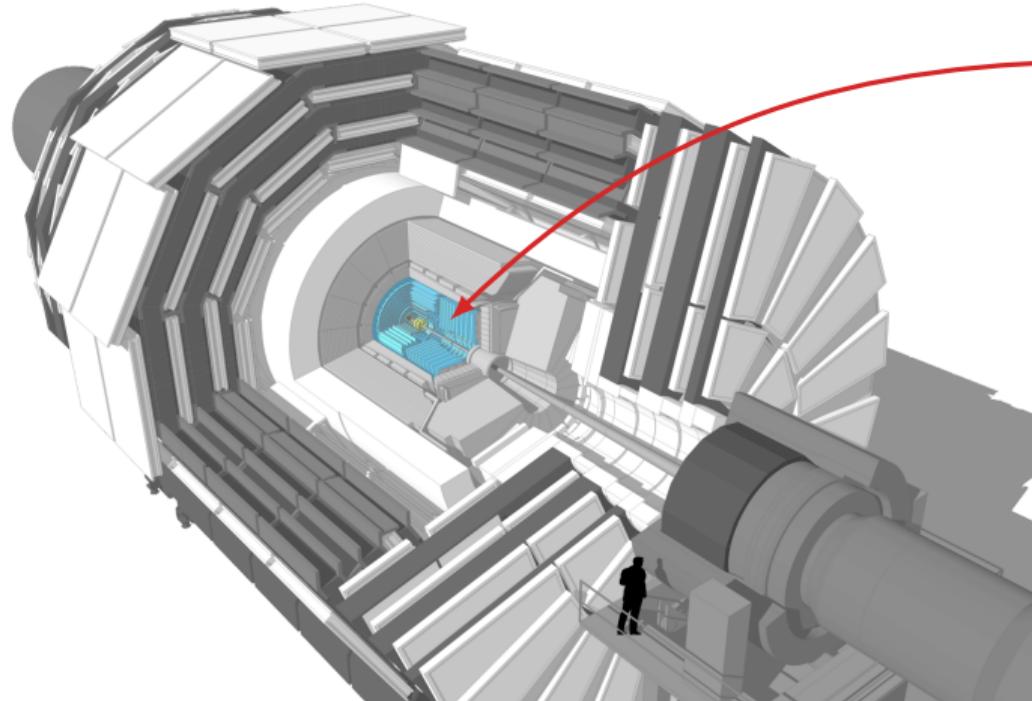
⇒ How to see the particles?



Solenoid

- Niobium titanium coil
- Superconducting
- $\sim 18\,000\text{ A}$
- 4 T in the inner volume

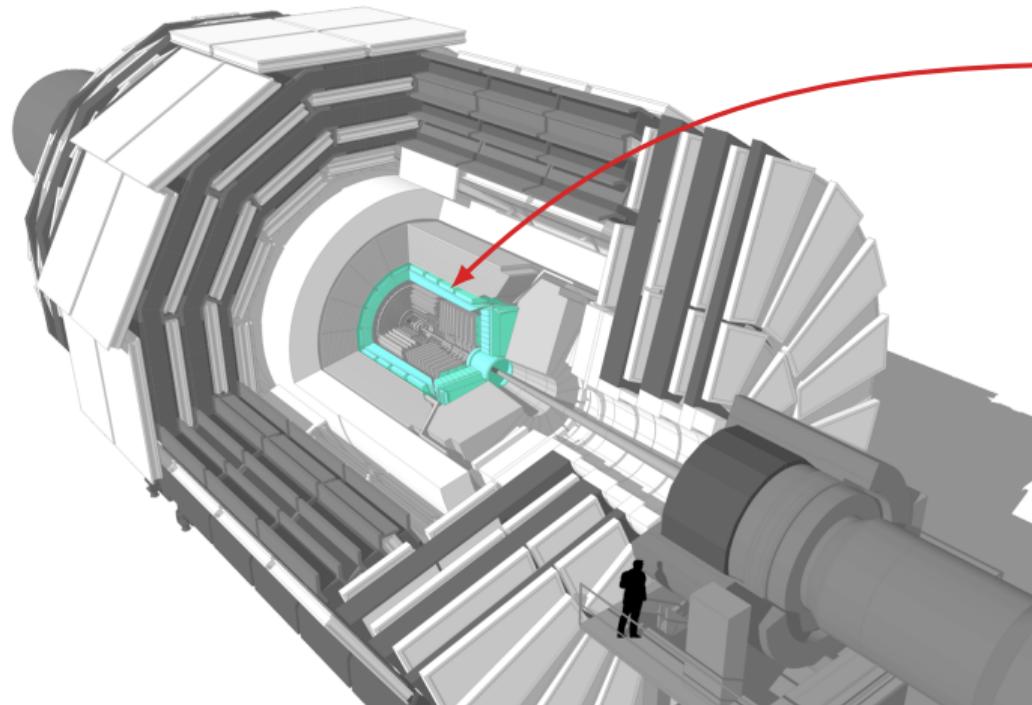
⇒ Bends charged particles trajectories in the transverse plane



Tracker

- Made of Silicon
- Inner: pixels ($100 \times 150 \mu\text{m}^2$,
 $\sim 1.9 \text{ m}^2$, $\sim 124 \text{ M}$ channels)
- Outer: microstrips ($80 - 180 \mu\text{m}$)
 $\sim 200 \text{ m}^2 \sim 9.6 \text{ M}$ channels

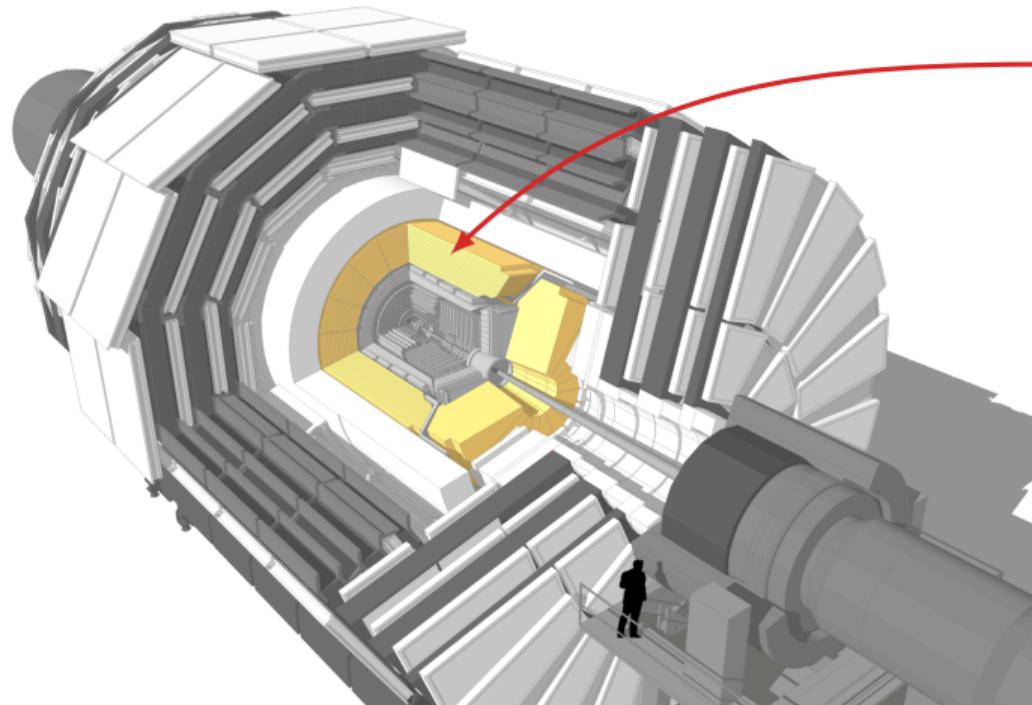
⇒ Charged particles leave hits when going through



Electromagnetic CALorimeter

- $\sim 76\,000$ scintillating PbWO₄ crystals

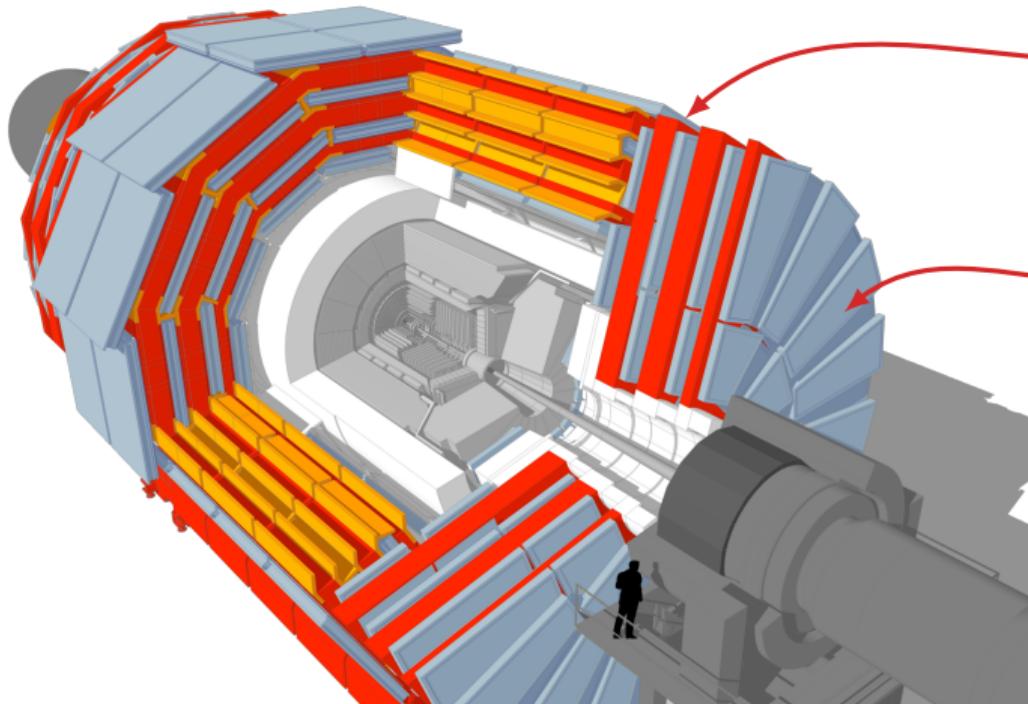
⇒ Electrons and photons are stopped,
energy deposits



Hadronic CALorimeter

- Brass + plastic scintillator,
~ 7000 channels

⇒ Hadrons are stopped, energy deposits



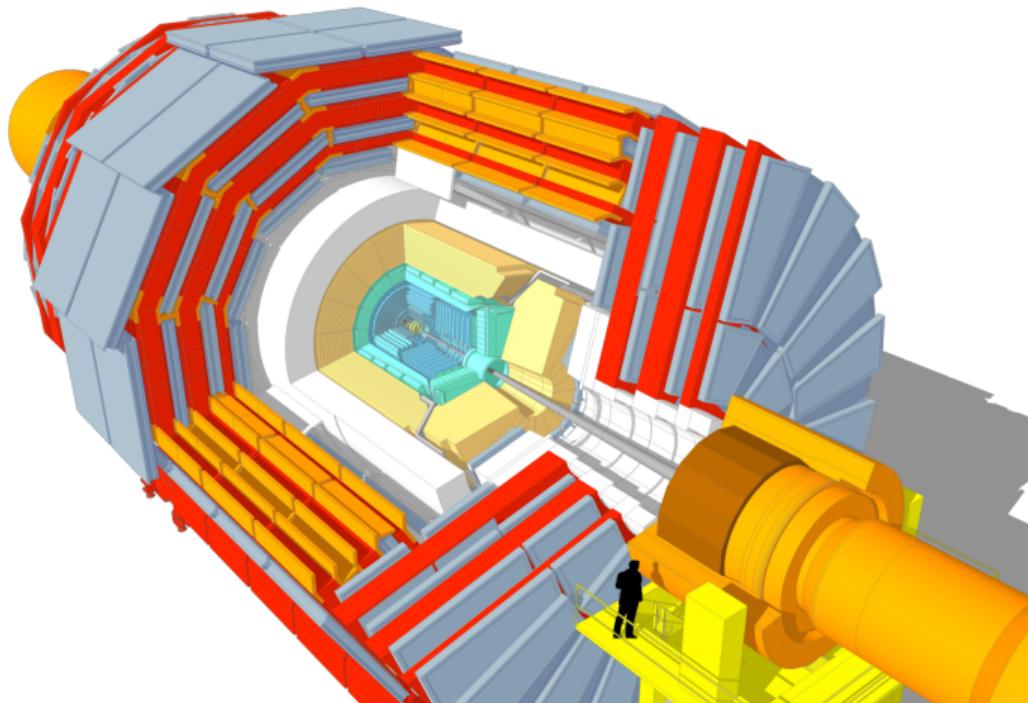
Steel return yoke (red)

- Allows for 2 T magnetic field around the solenoid

Muon chambers (blue-gray)

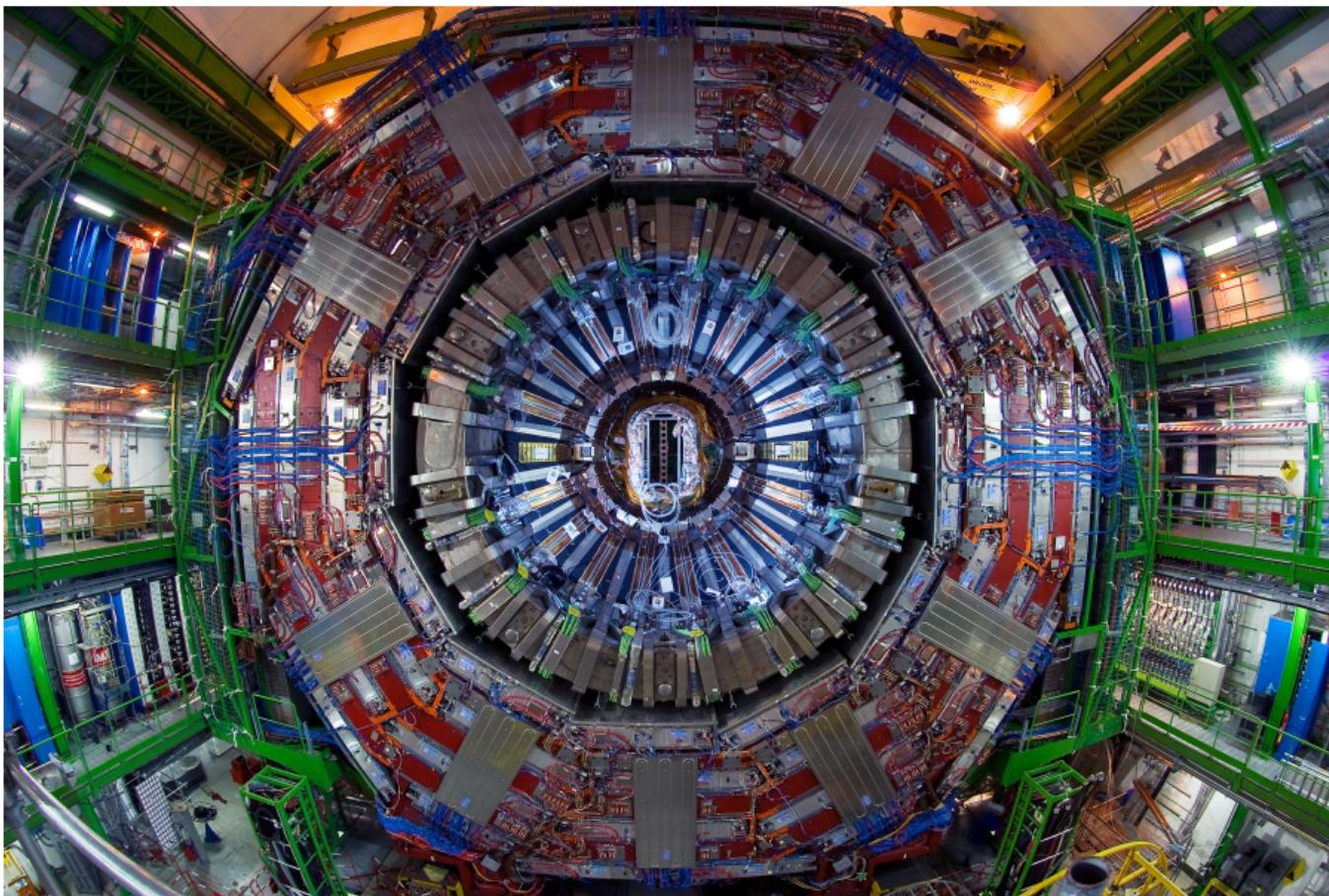
- Barrel: 250 drift tubes, 480 resistive plate chambers
- Endcaps: 540 cathode strips, 576 resistive plate chambers

⇒ Charged particles leave hits when going through (only muons do)



Sensitive parts of CMS

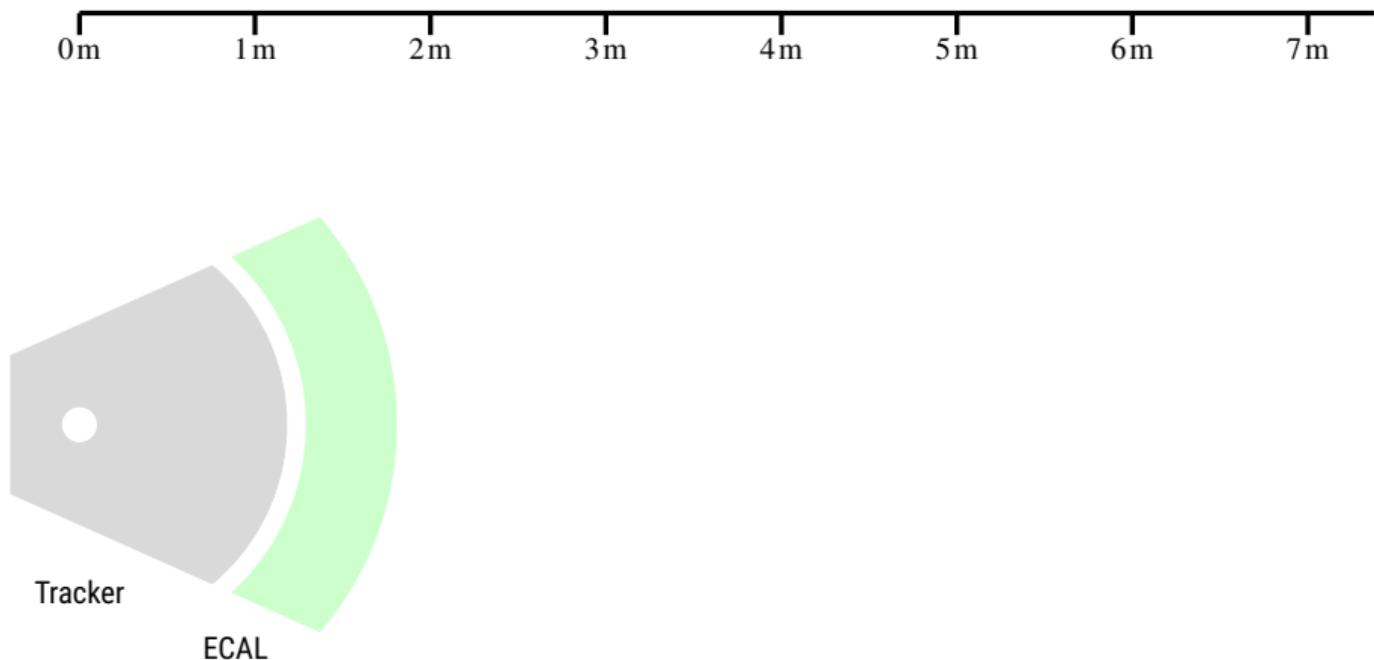
Combine sub-detectors signals to determine which particles were there!

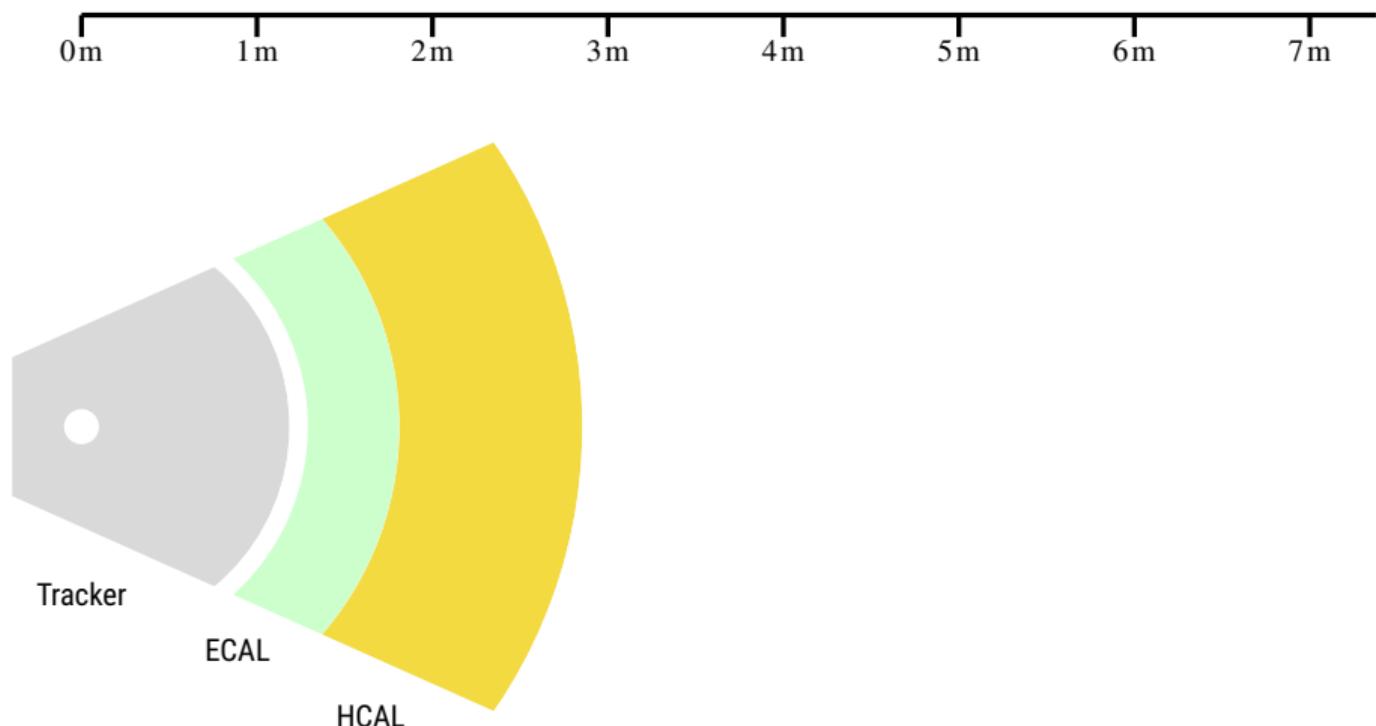


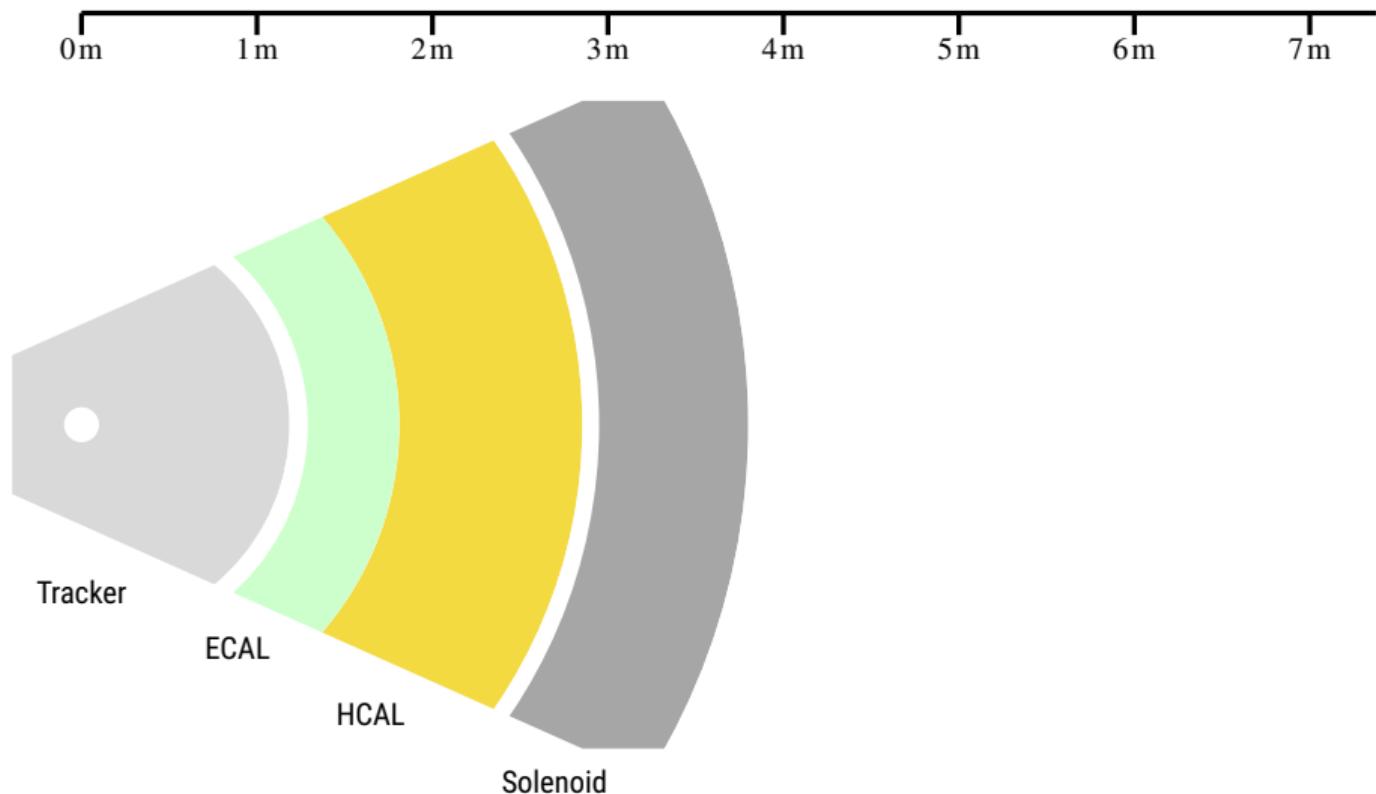


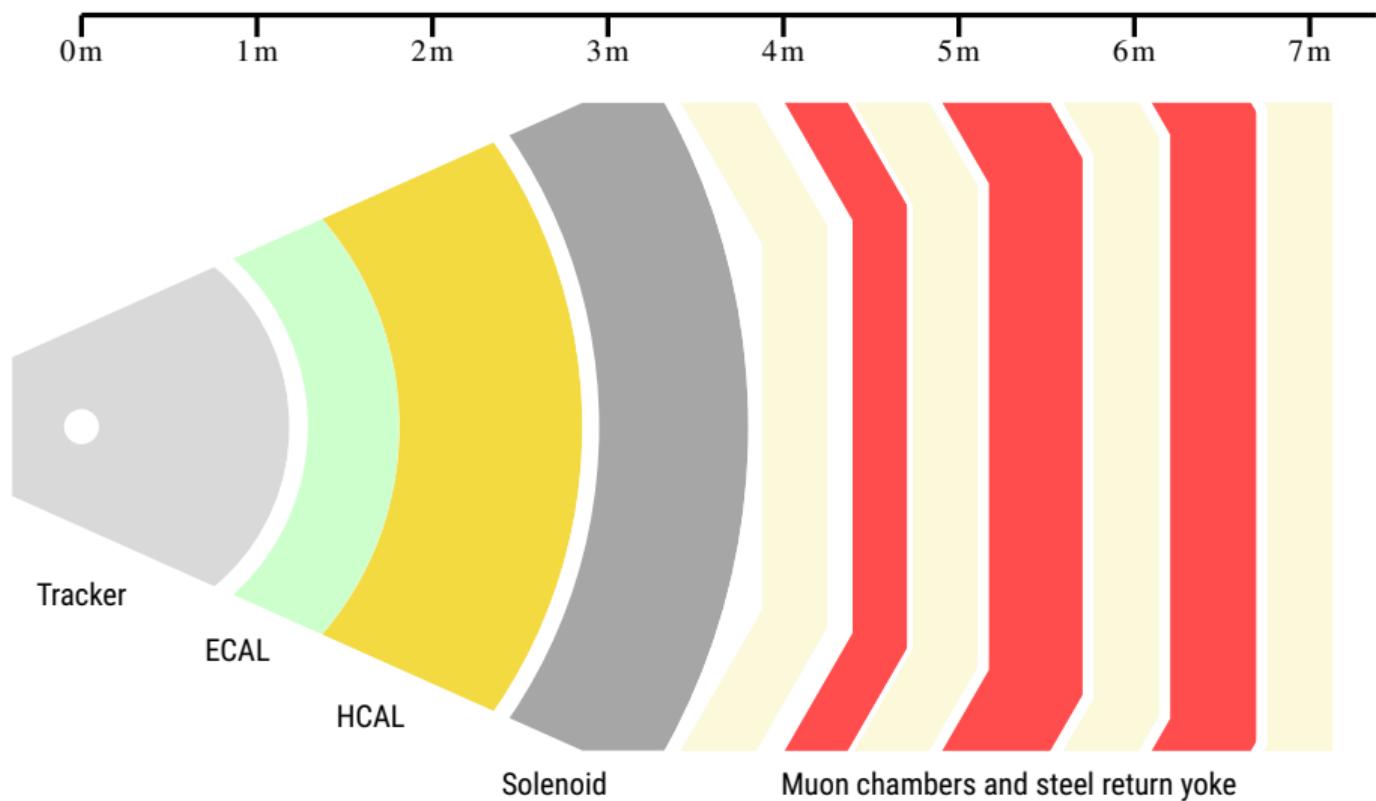


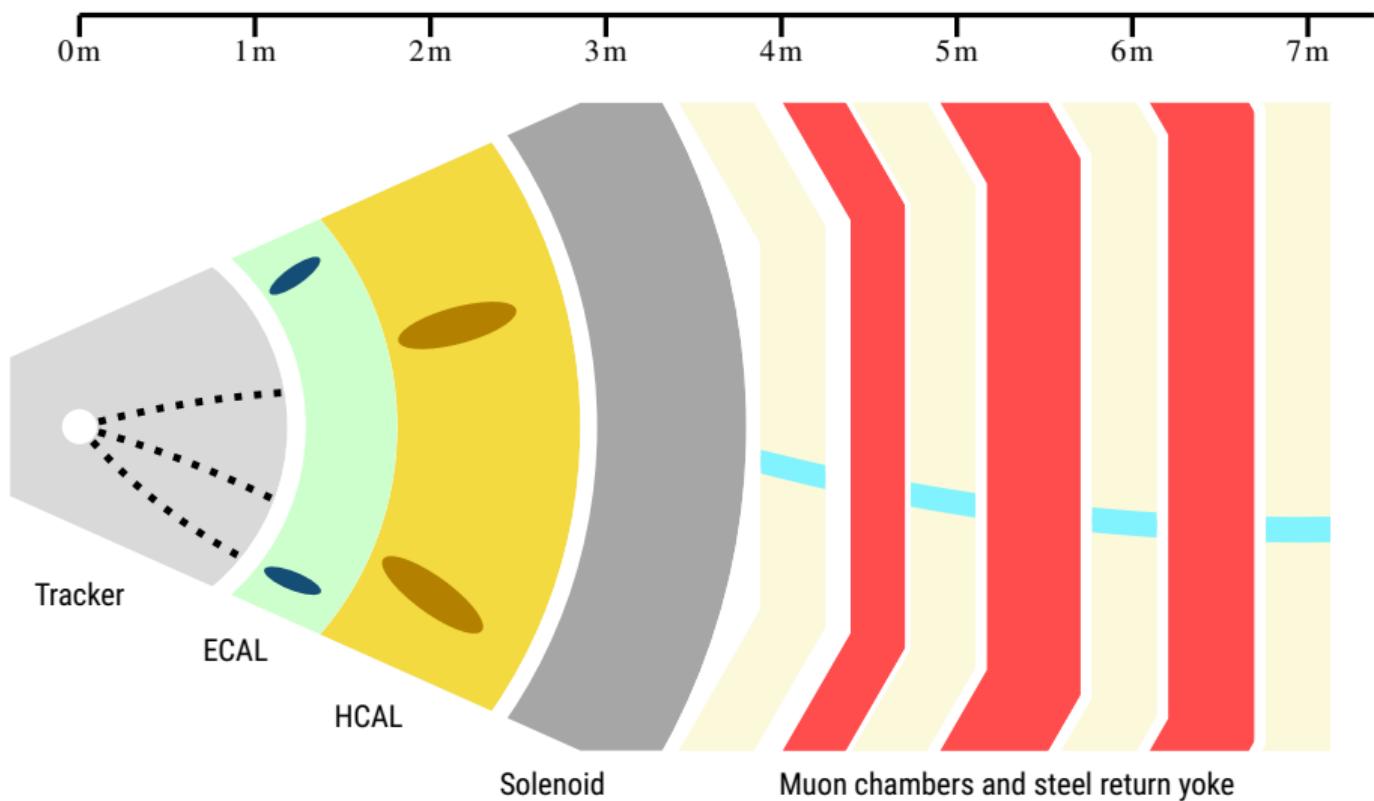
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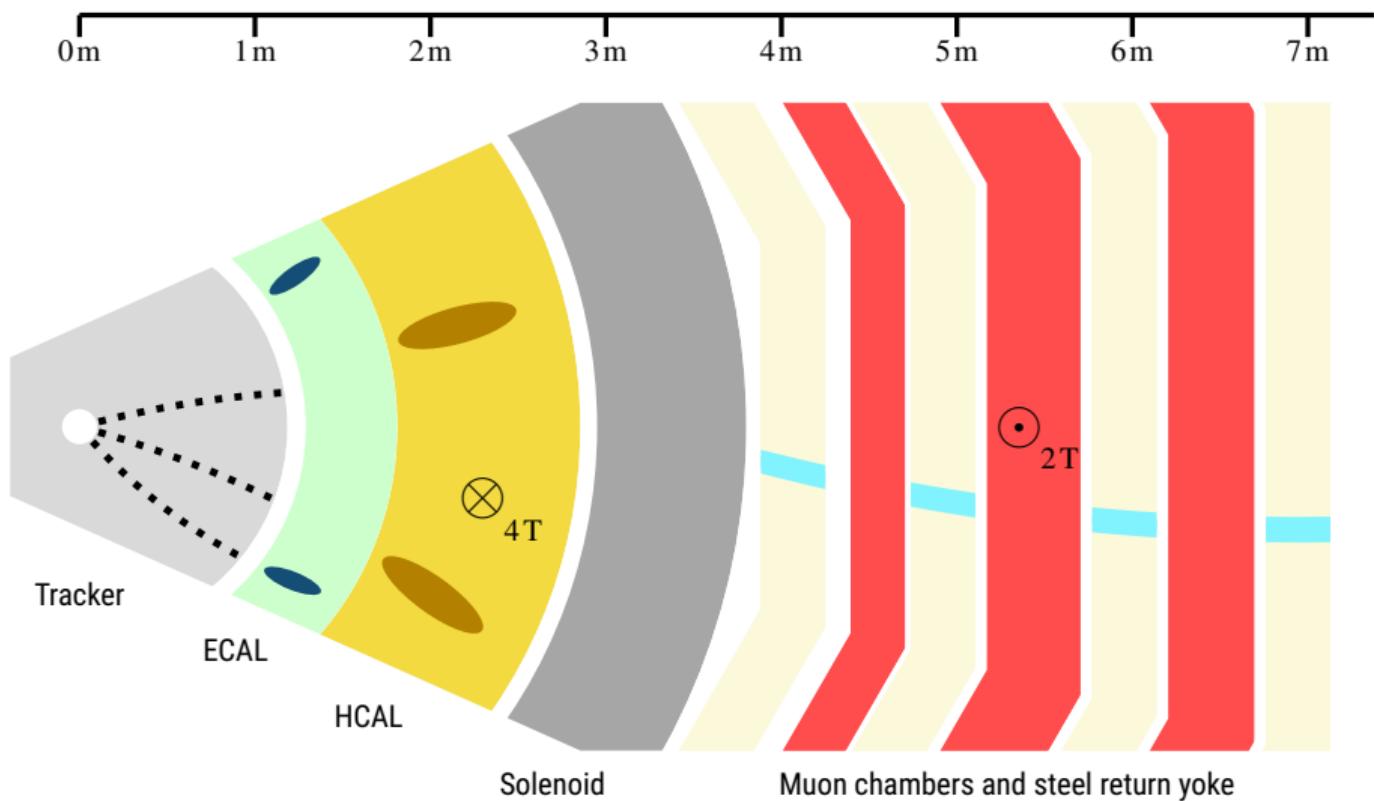


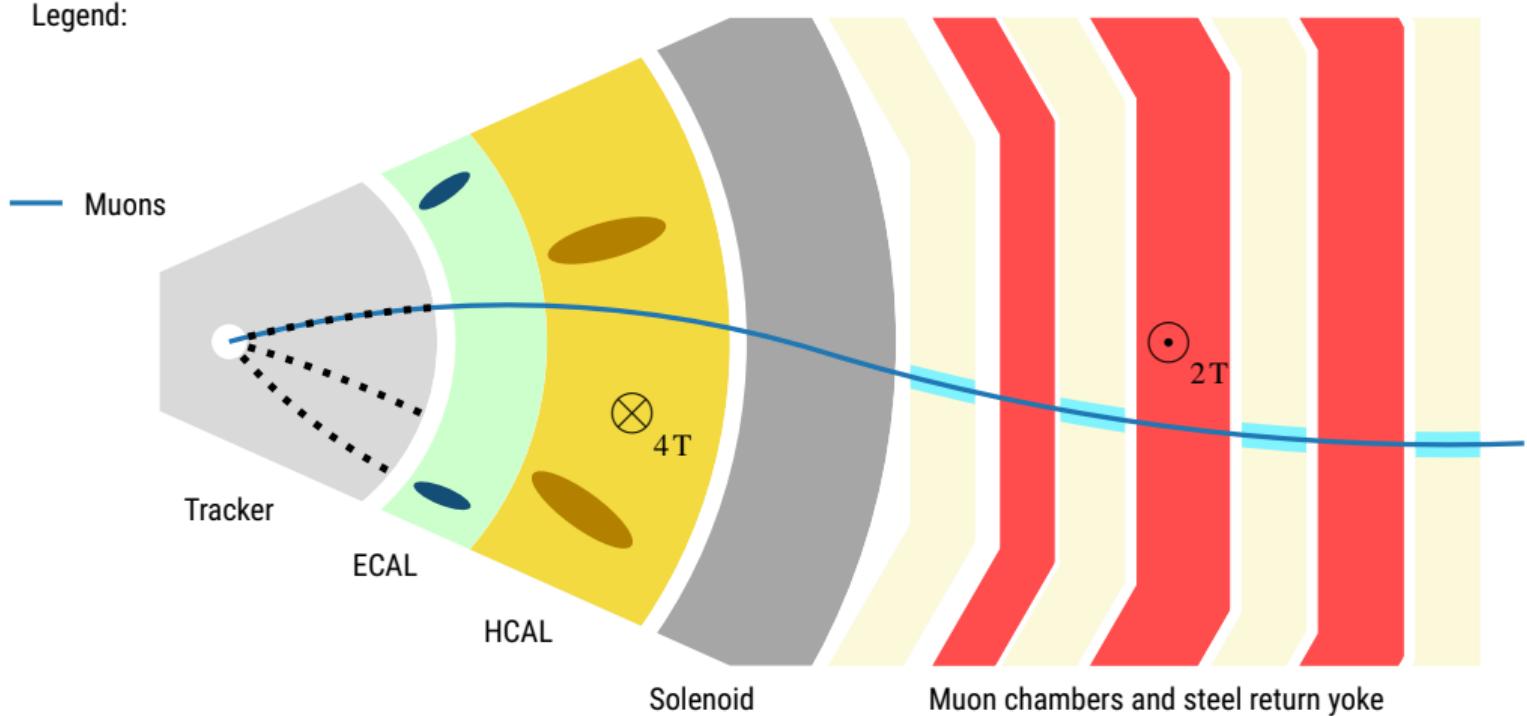


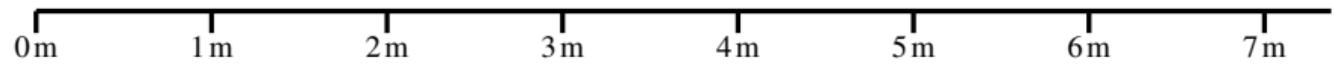




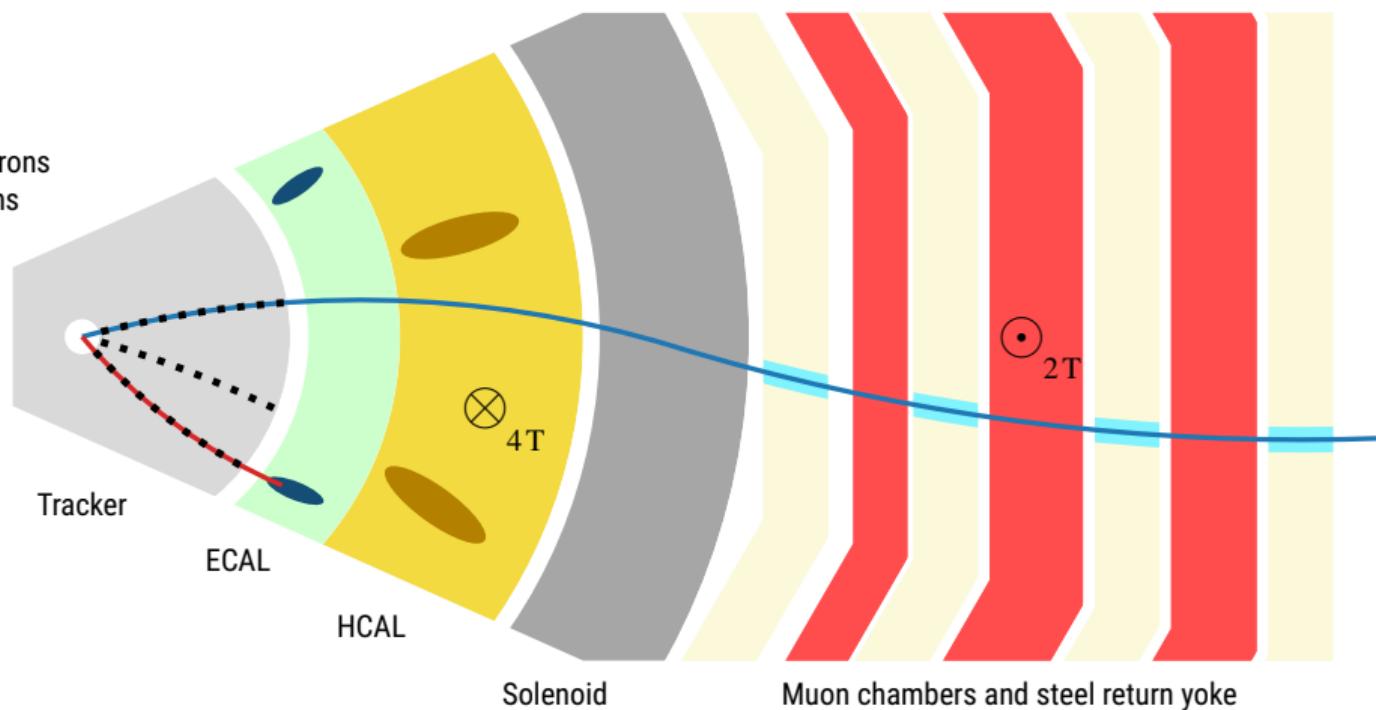




**Legend:**

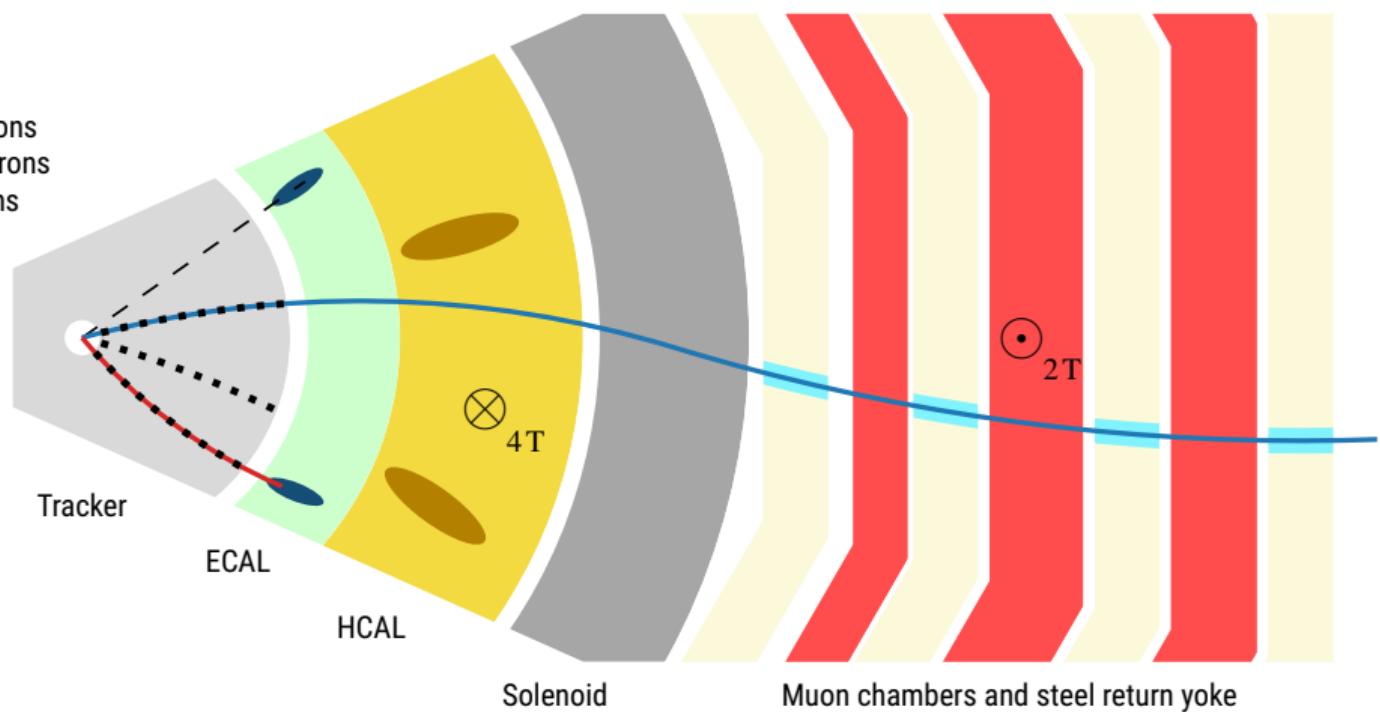
**Legend:**

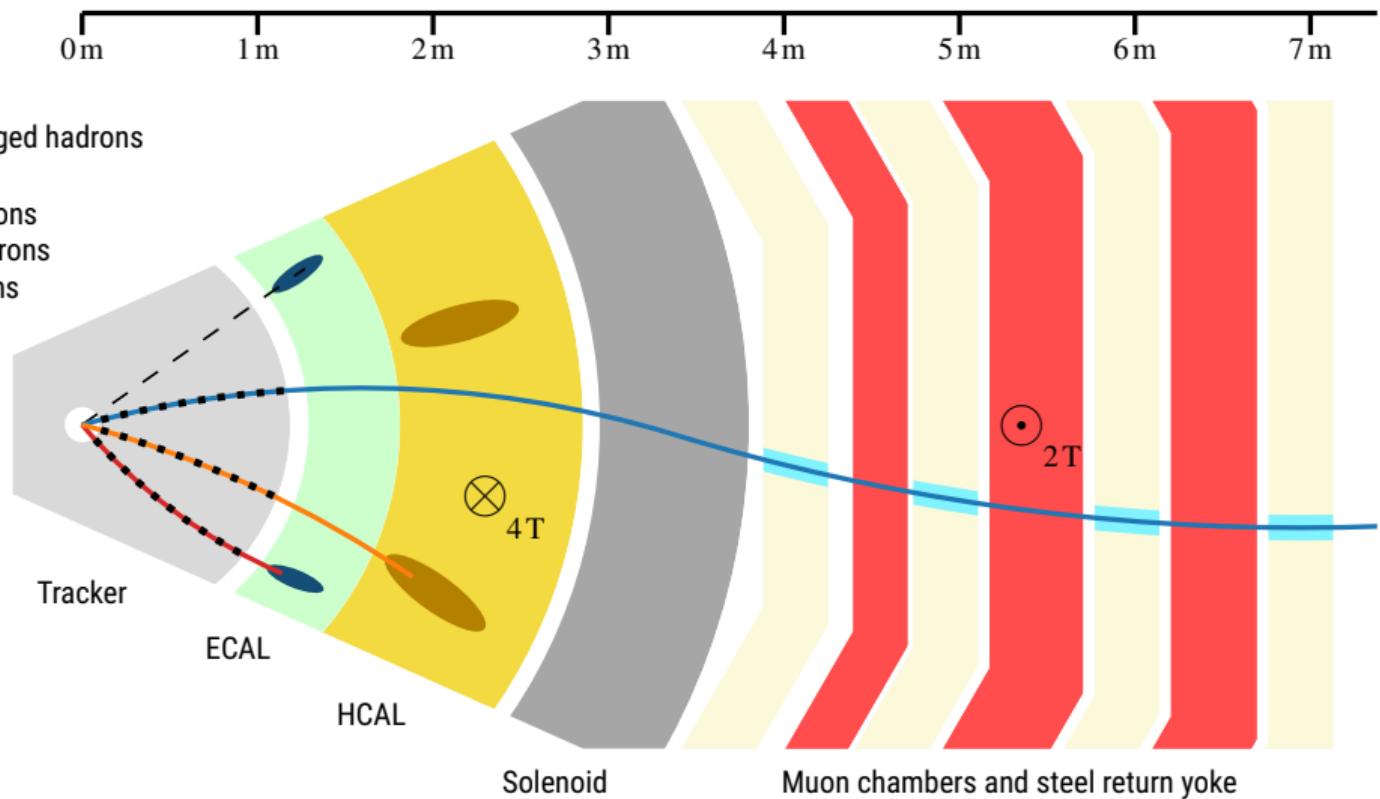
- Electrons
- Muons

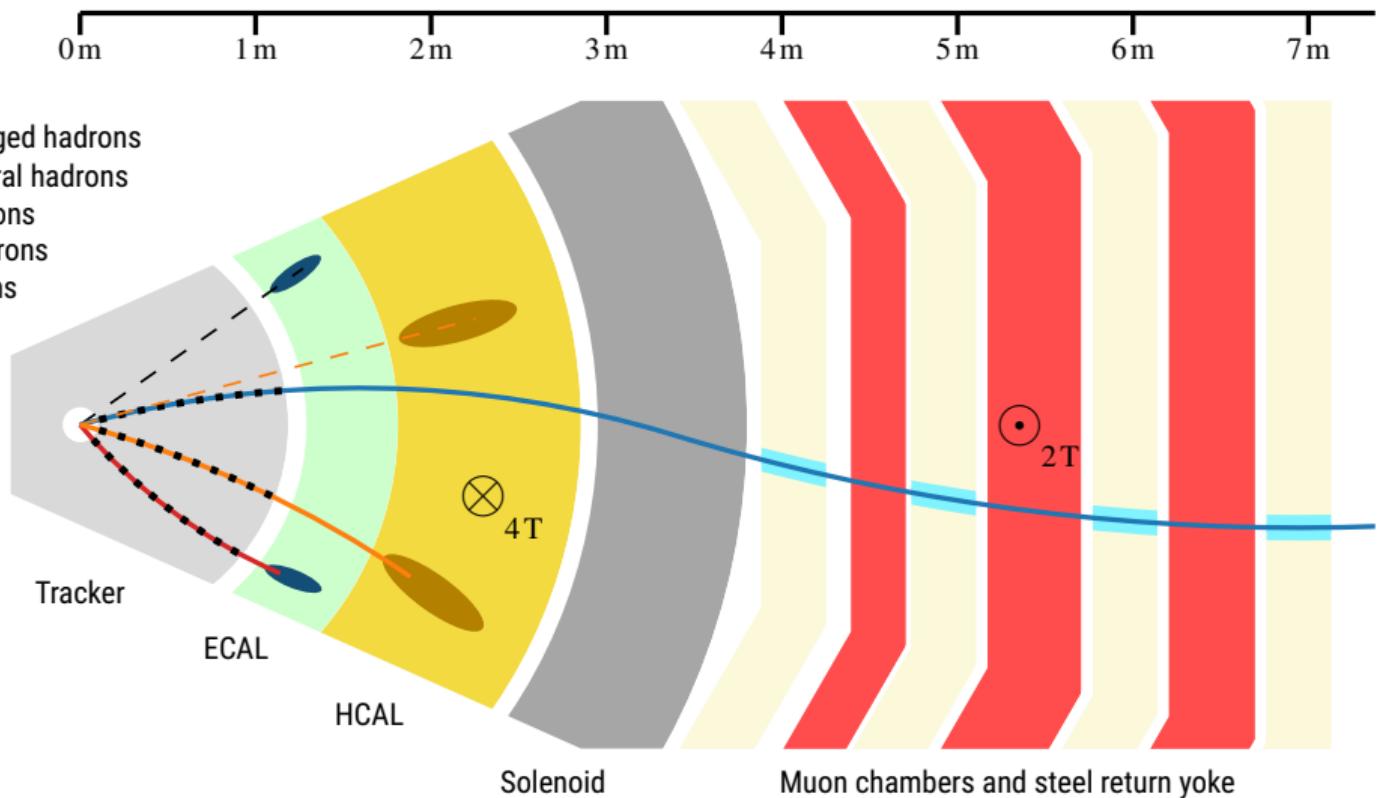


**Legend:**

- Photons
- - Electrons
- Muons

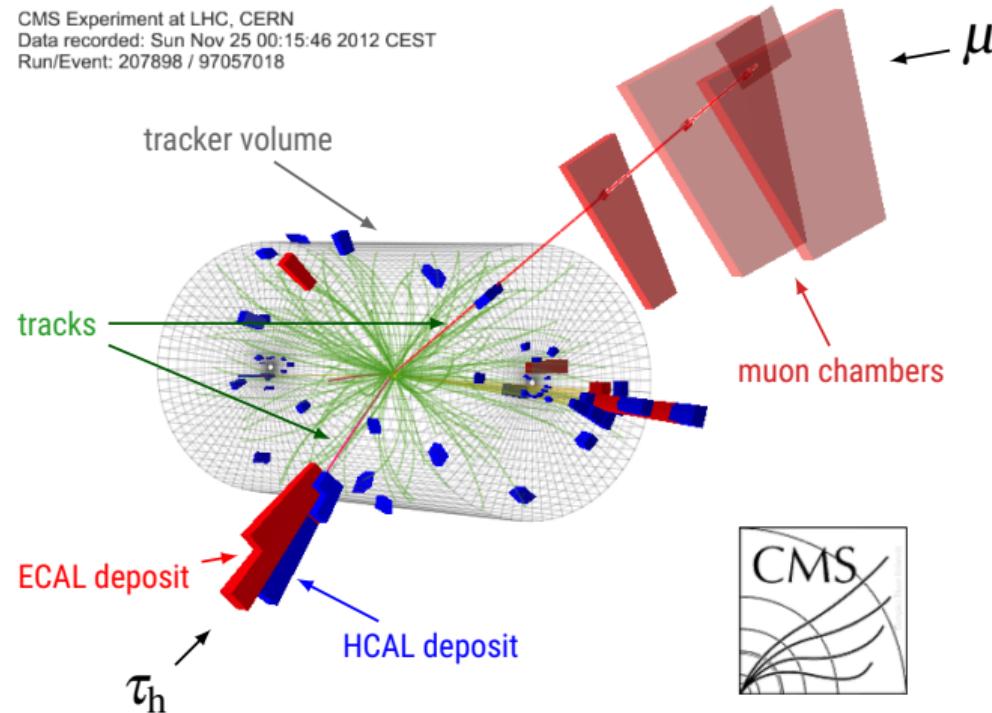






Event display: $h \rightarrow \tau\tau \rightarrow \mu\tau_h$ candidate from real data

CMS Experiment at LHC, CERN
Data recorded: Sun Nov 25 00:15:46 2012 CEST
Run/Event: 207898 / 97057018



1 Phenomenology

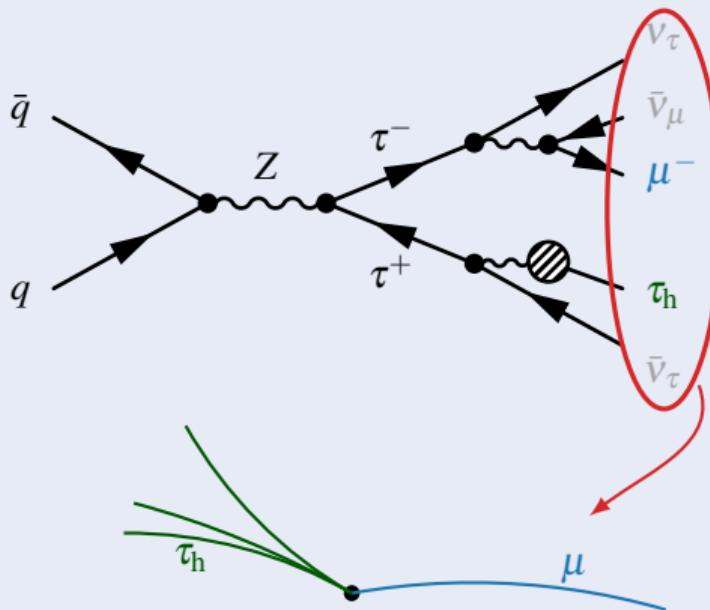
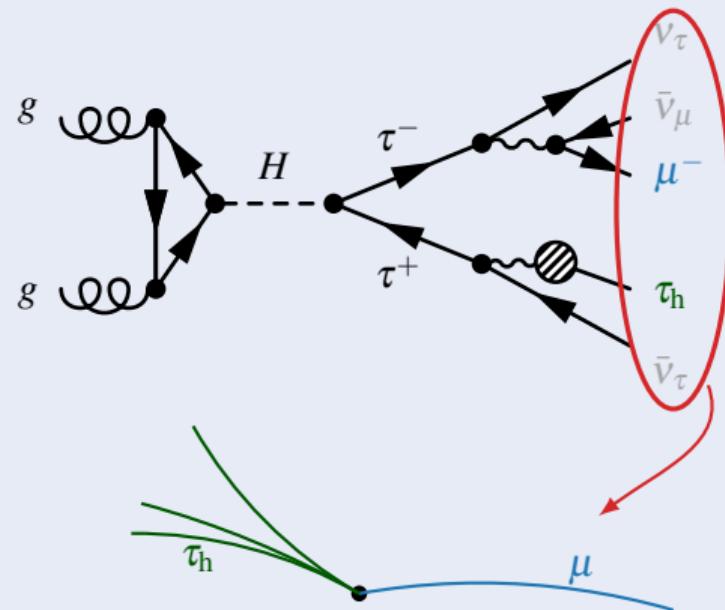
2 Experimental device

3 $H/A \rightarrow \tau\tau$ analysis

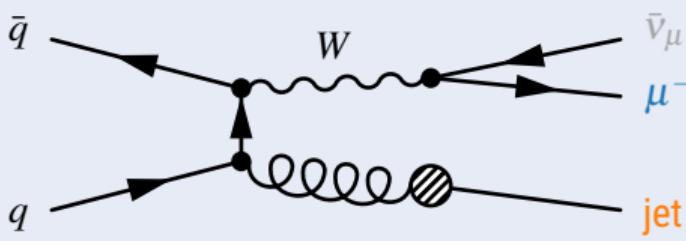
4 Machine learning

Background processes?

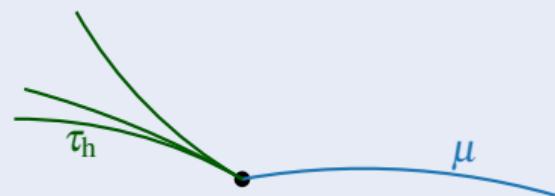
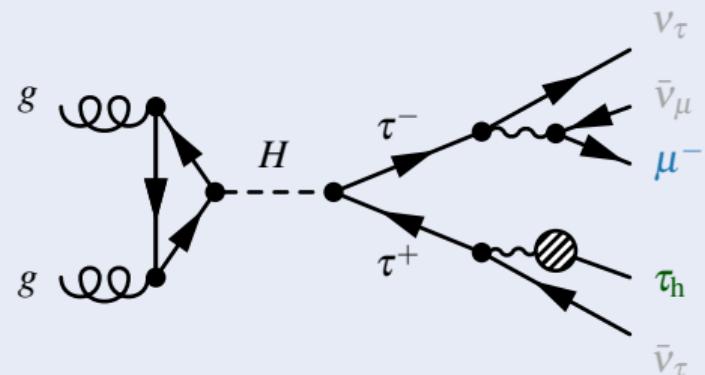
Drell-Yan background

 $H \rightarrow \tau\tau \rightarrow \mu\tau_h$ signal

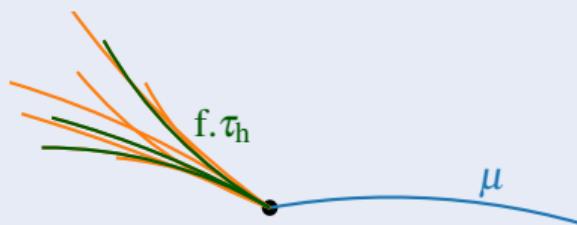
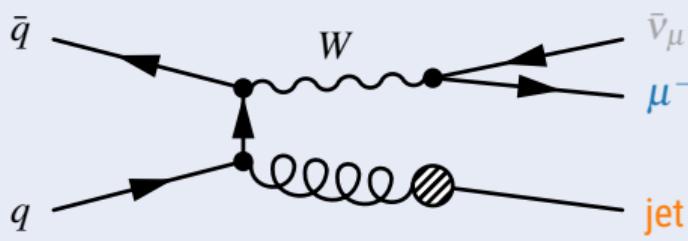
$W + \text{jets}$ background



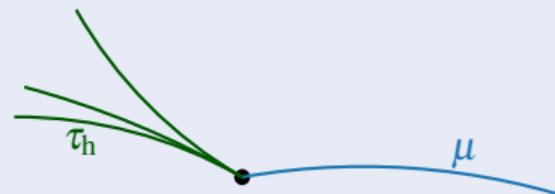
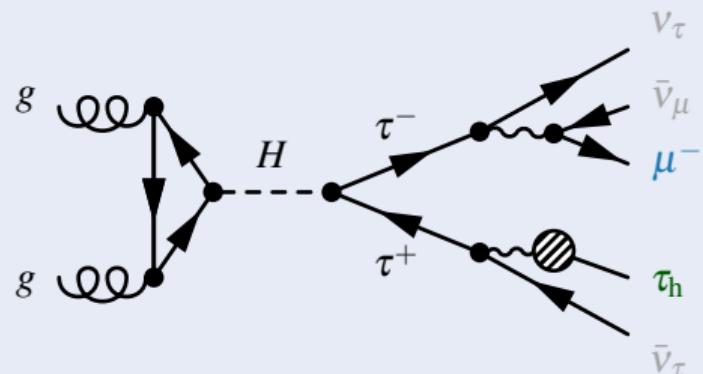
$H \rightarrow \tau\tau \rightarrow \mu \tau_h$ signal



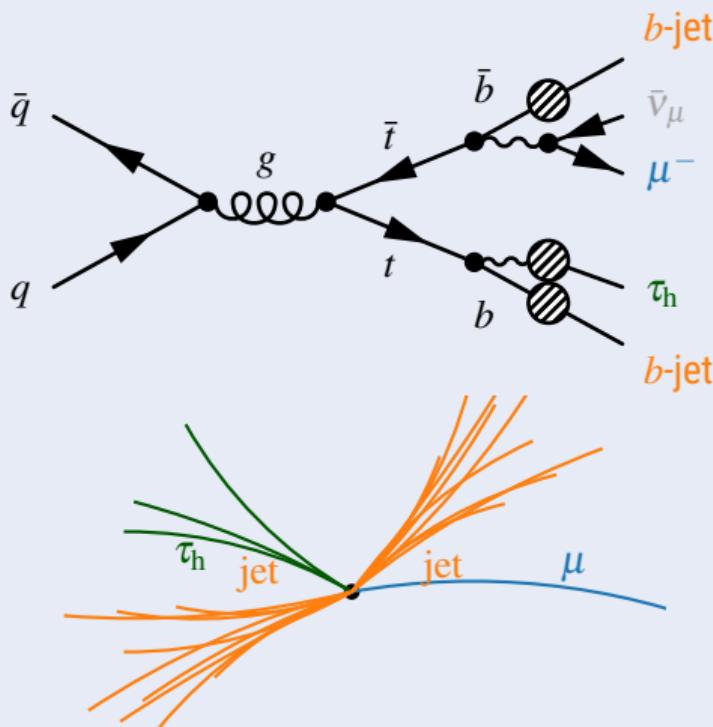
$W + \text{jets}$ background, jet \rightarrow fake τ_h



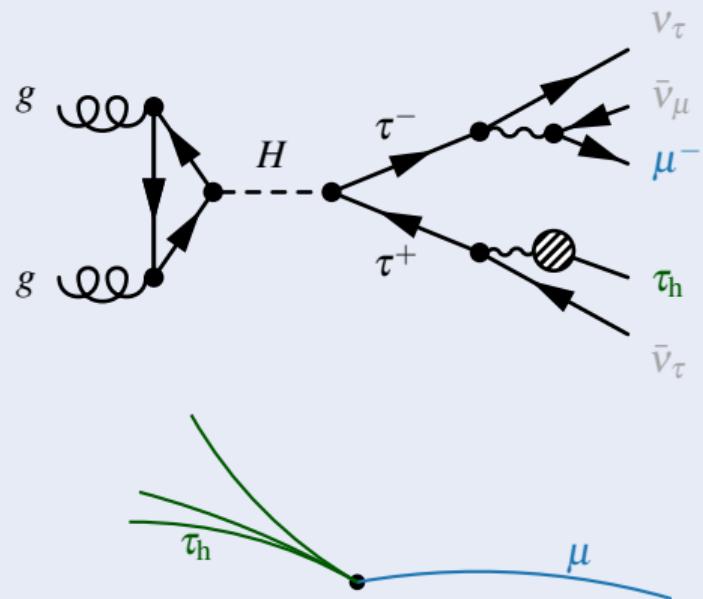
$H \rightarrow \tau\tau \rightarrow \mu \tau_h$ signal



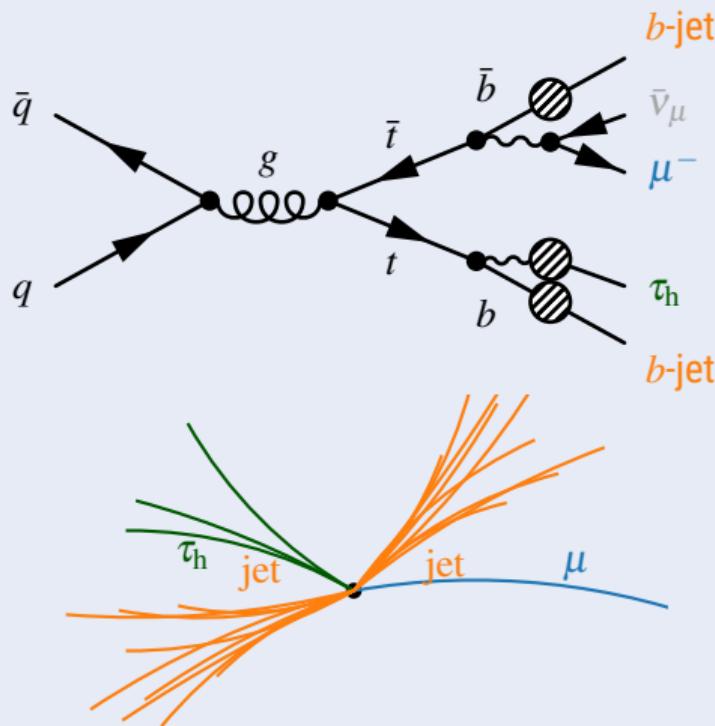
$t\bar{t}$ background



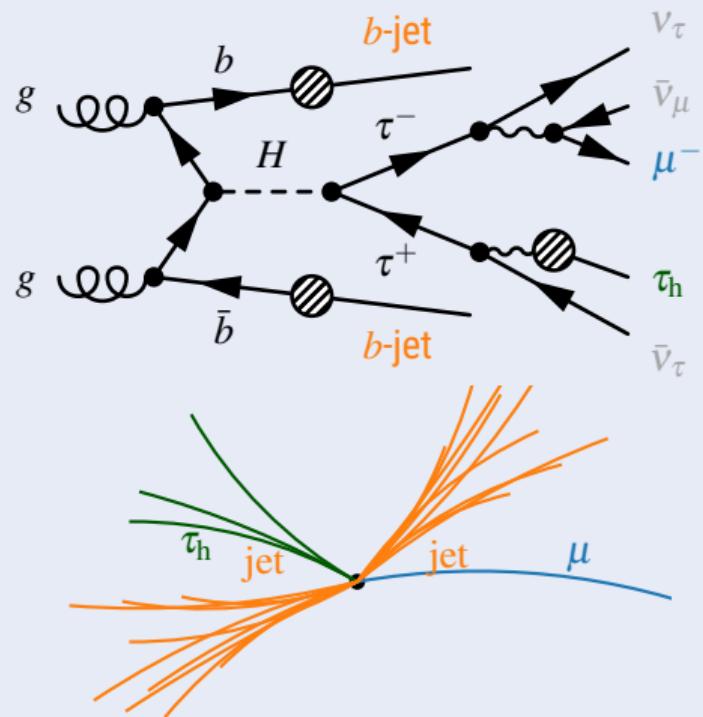
$H \rightarrow \tau\tau \rightarrow \mu\tau_h$ signal



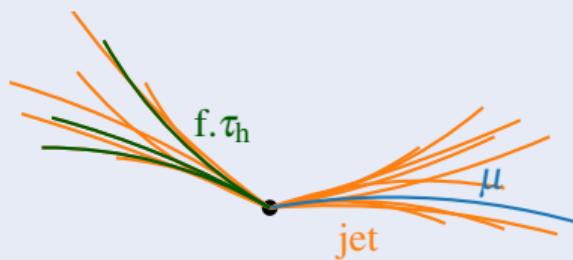
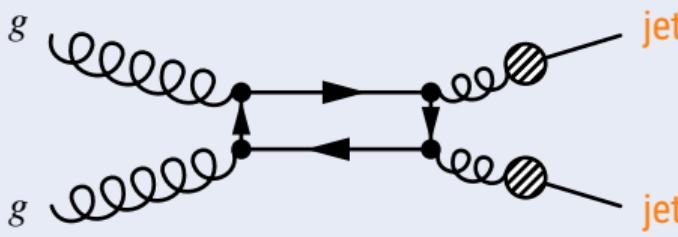
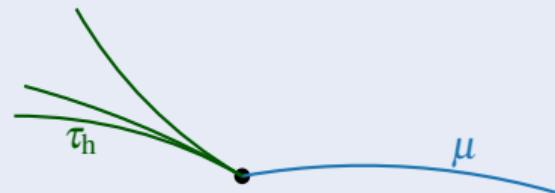
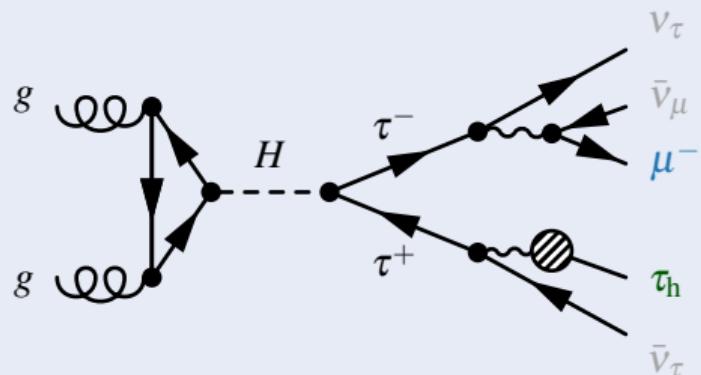
$t\bar{t}$ background



H production with b -jets

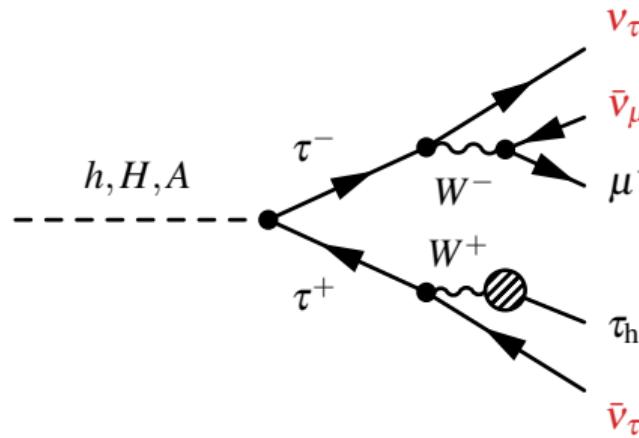


QCD background

 $H \rightarrow \tau\tau \rightarrow \mu\tau_h$ signal

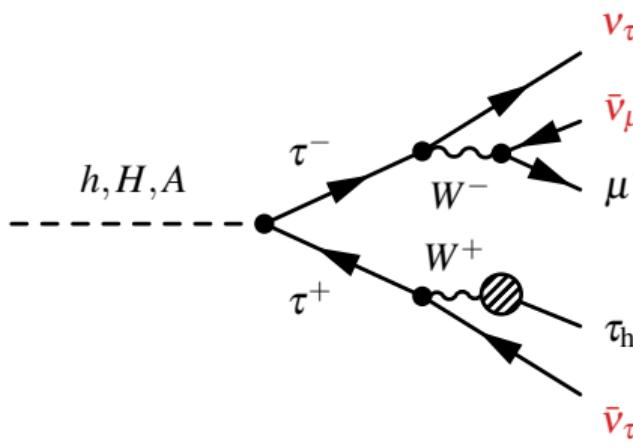
Discriminant variable?

- ▶ E_T^{miss} due to neutrinos.
- ▷ No invariant mass!

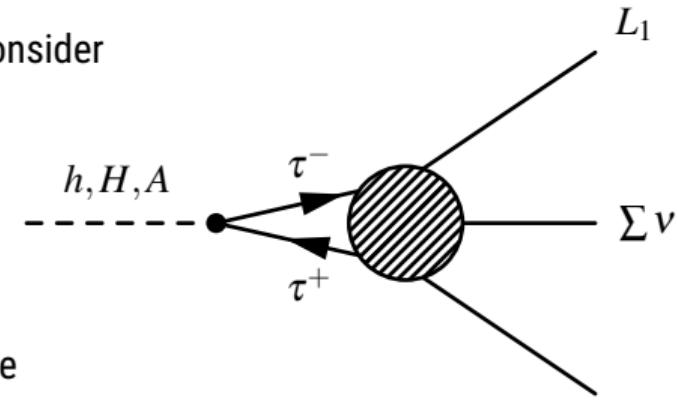


Discriminant variable?

- ▶ E_T^{miss} due to neutrinos.
- ▷ No invariant mass!



- ▶ Consider



where

- $L_1 = \mu^-$
- $L_2 = \tau_h$
- $\sum \nu \simeq E_T^{\text{miss}}$

with respect to the left side.

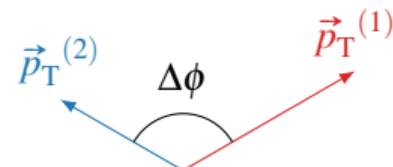
Discriminant variable: m_T^{tot}

- ▶ For L_1, L_2 and E_T^{miss} system,
 - ▷ in the transverse plane (use E_T^{miss}),
 - ▷ for $E_i \gg m_i$ (highly relativistic case),deriving the "invariant" mass would then lead to

the **total transverse mass**, m_T^{tot}

$$m_T^{\text{tot}} = \sqrt{m_T^2(L_1, E_T^{\text{miss}}) + m_T^2(L_2, E_T^{\text{miss}}) + m_T^2(L_1, L_2)}$$

$$m_T(1, 2) = \sqrt{2p_T^{(1)} p_T^{(2)} (1 - \cos \Delta\phi)}$$

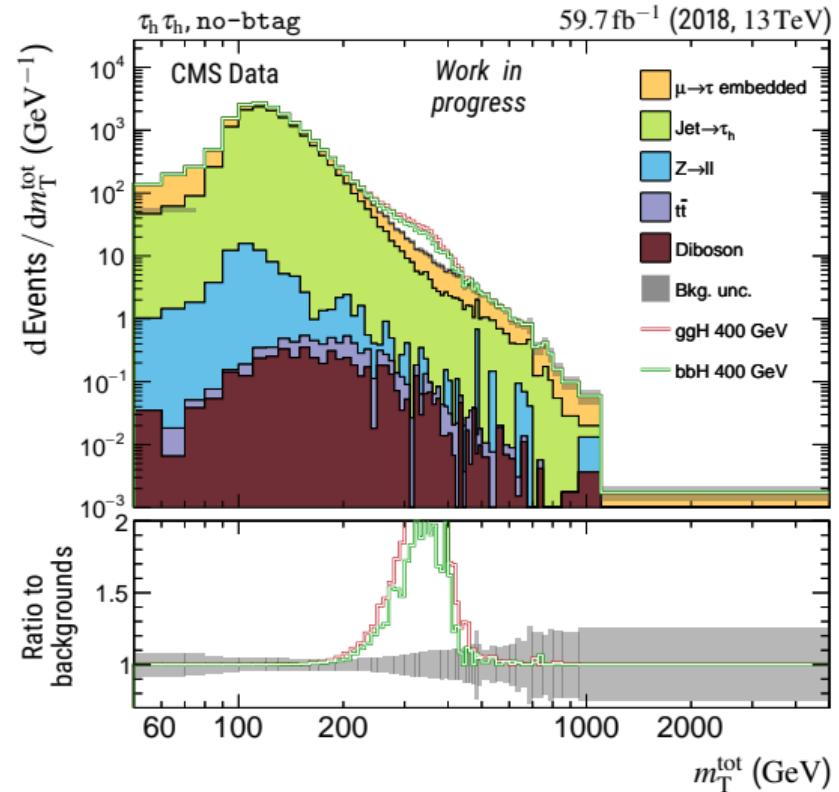


Results obtained in this thesis?

m_T^{tot} distributions

► Backgrounds = SM expectations:

- ▷ DY $Z \rightarrow \tau\tau$ and some $t\bar{t}$ in $\mu \rightarrow \tau$ embedded
- ▷ QCD, $W + \text{jets}$ and some $t\bar{t}$ in Jet $\rightarrow \tau_h$
- ▷ $Z \rightarrow ee + Z \rightarrow \mu\mu$ in $Z \rightarrow ll$ ($\ell \rightarrow \tau_h$)
- ▷ Remaining $t\bar{t}$ in $t\bar{t}$
- ▷ Other small backgrounds in Diboson

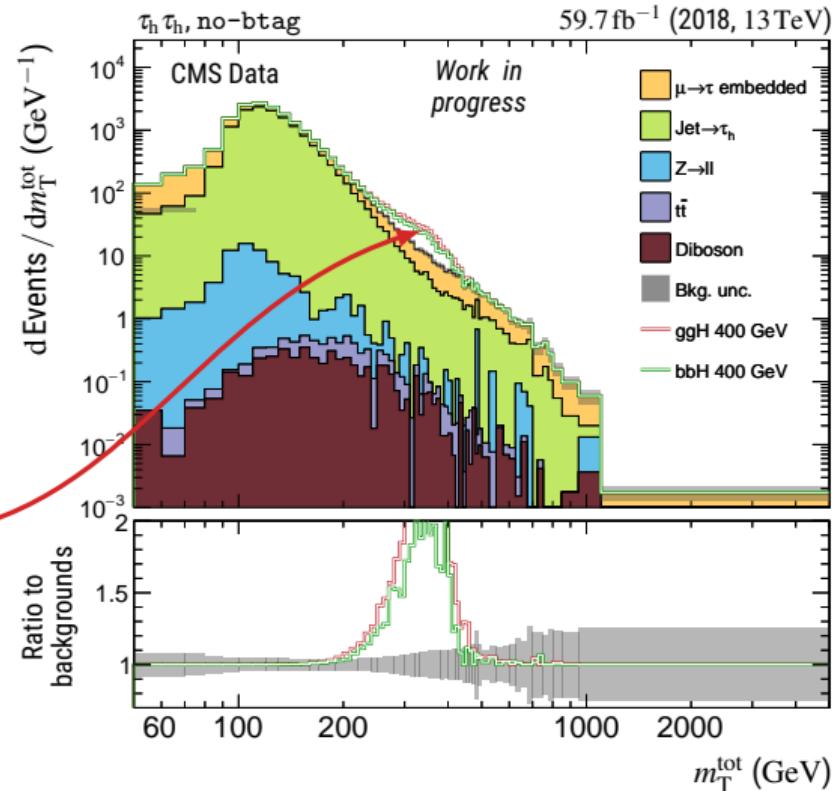


m_T^{tot} distributions

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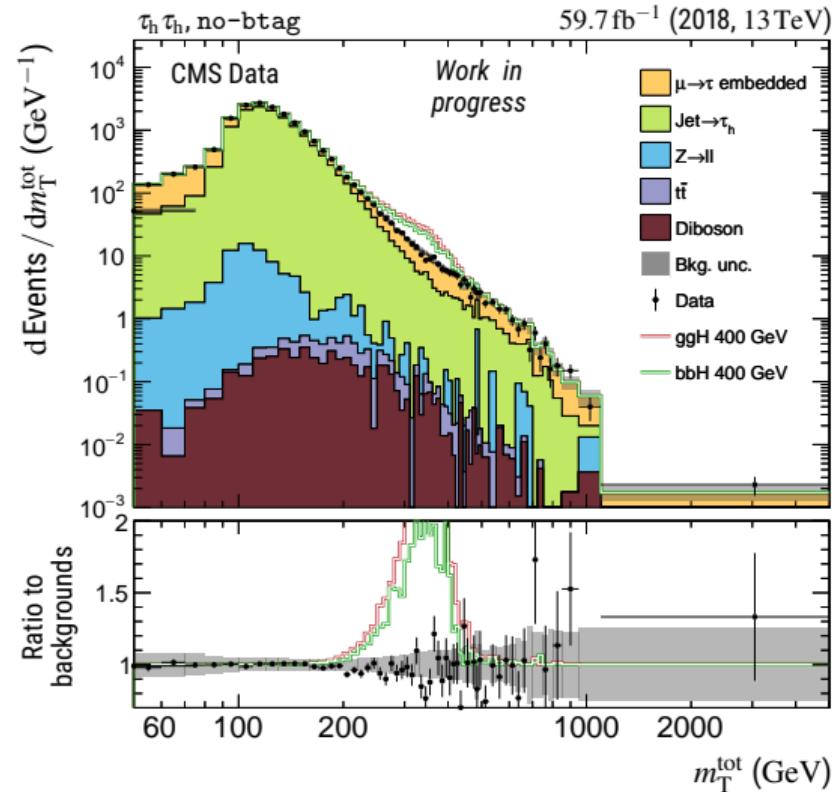
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- ▷ Other small backgrounds in Diboson

► H at 400 GeV expected $\sigma \times \mathcal{BR} = 1 \text{ pb}$ signal.



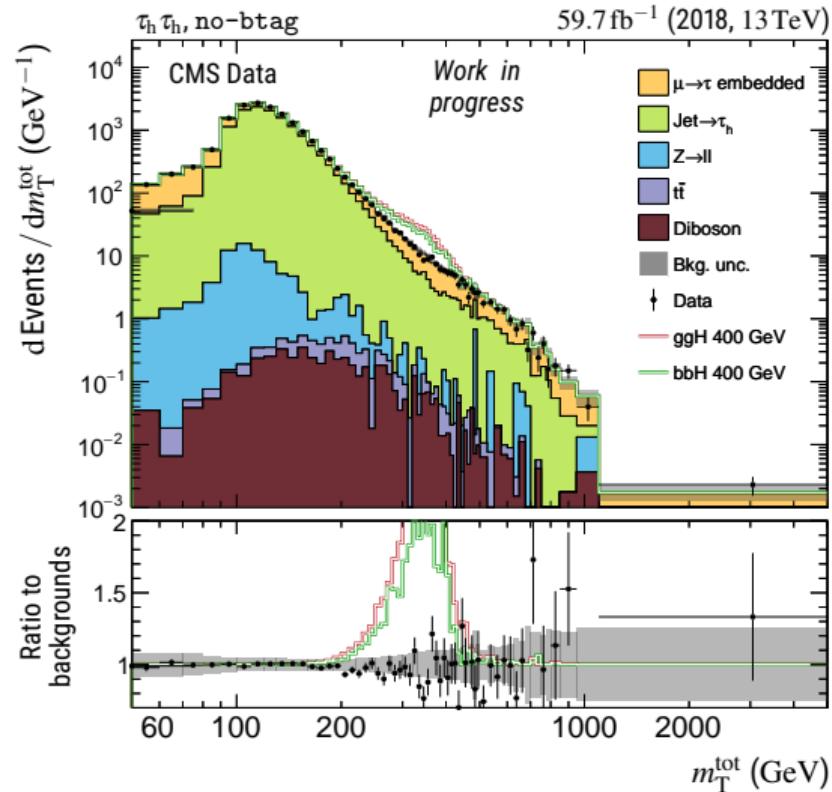
m_T^{tot} distributions

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- ▶ Compare to observed events (black dots).



m_T^{tot} distributions

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- ▶ H at 400 GeV expected $\sigma \times \mathcal{BR} = 1 \text{ pb}$ signal.
- ▶ Compare to observed events (black dots).
- ▶ Data/Bkg agreement \rightarrow **exclusion limits** on $\sigma \times \mathcal{BR}$

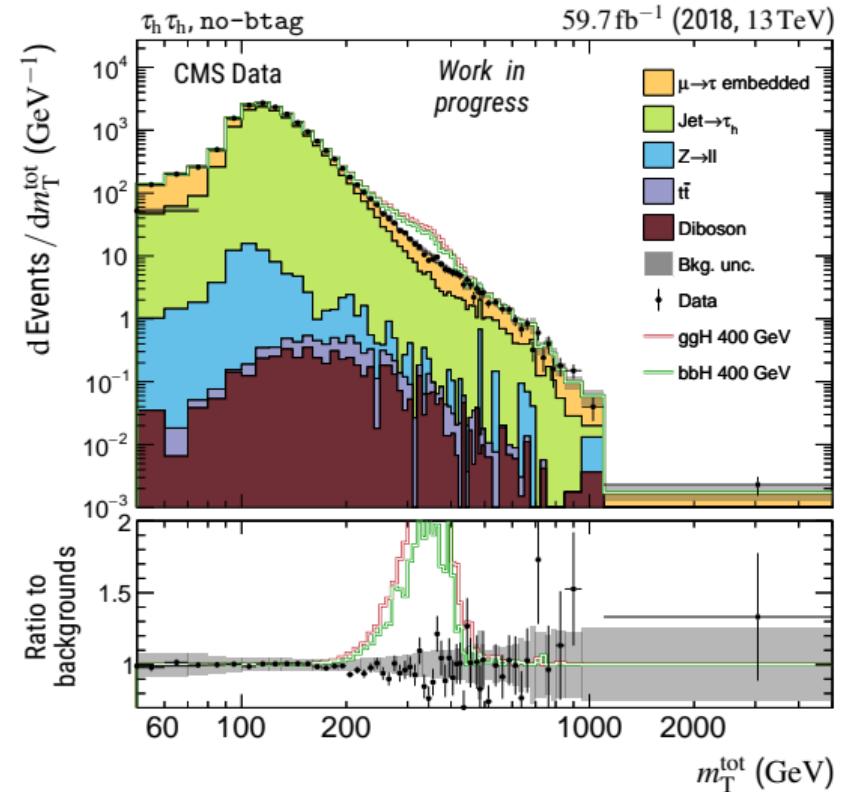


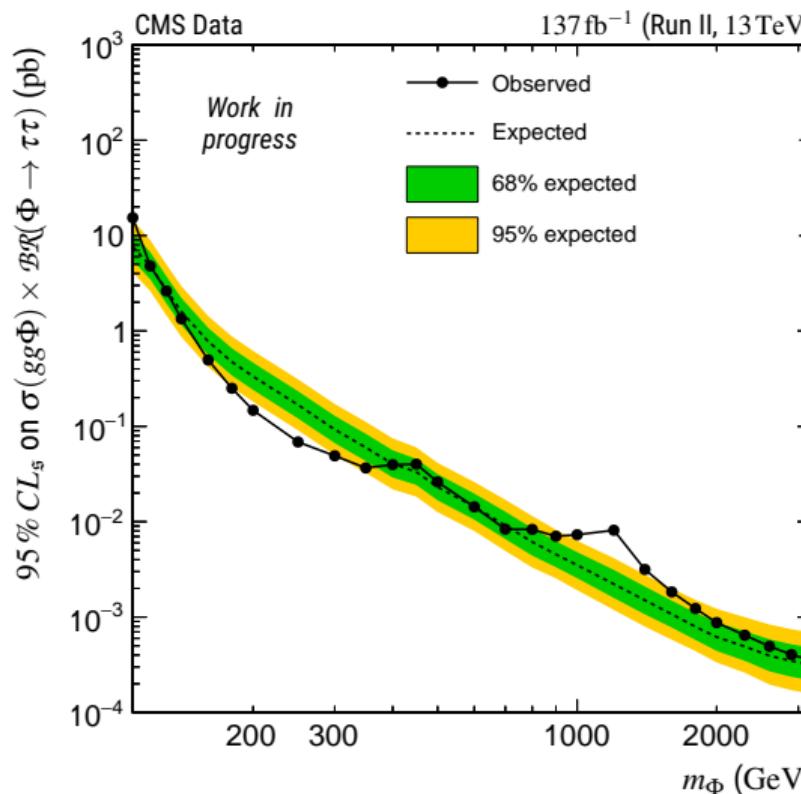
m_T^{tot} distributions

► Background contributions:

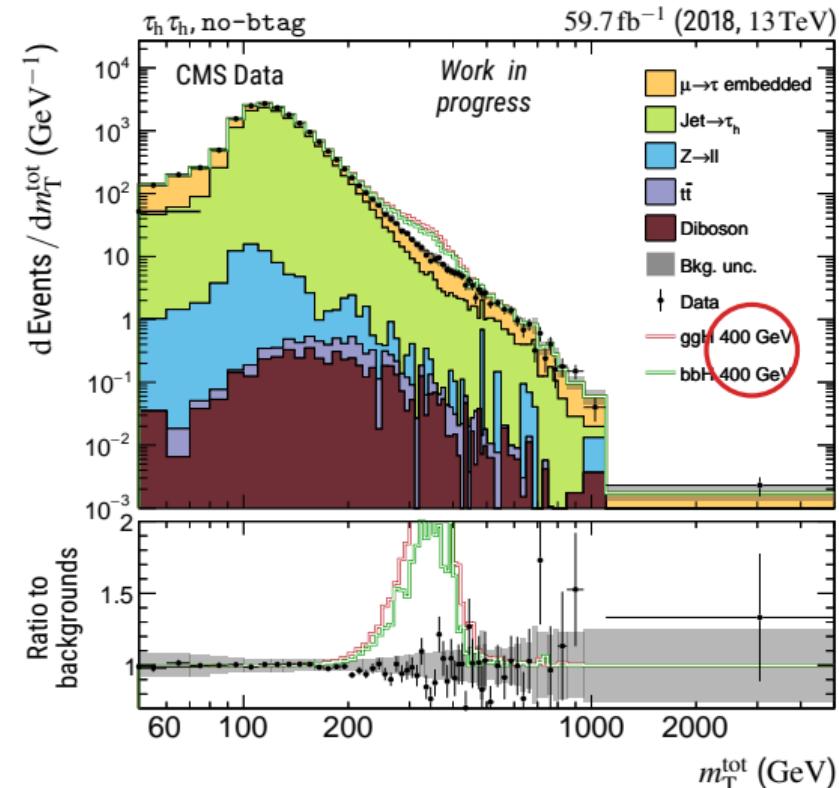
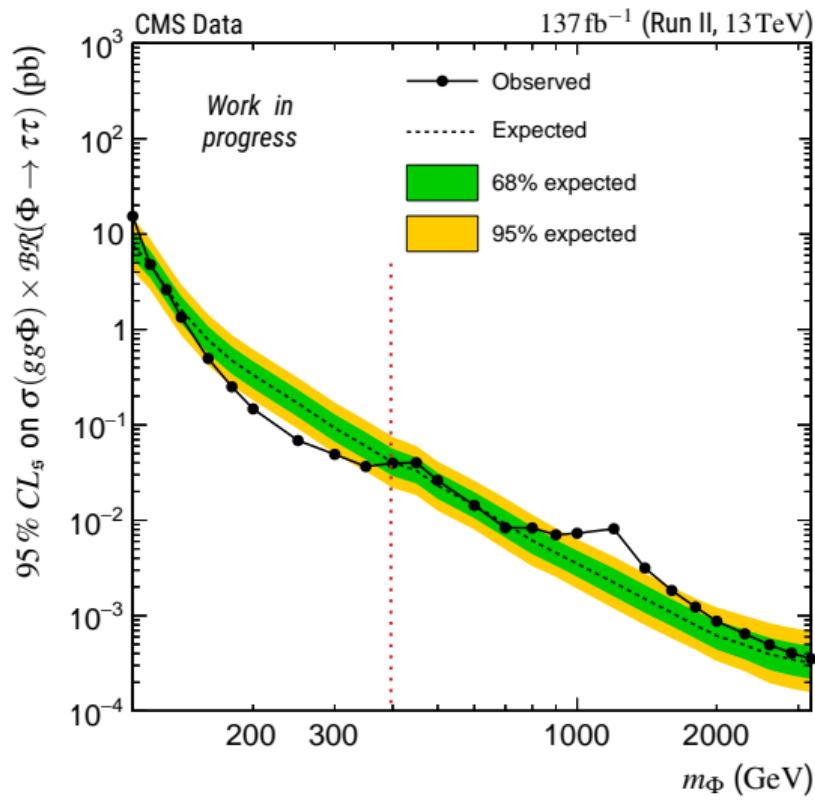
Not just a plot!

- Lots of hard work to obtain this: τ embedded
 - ▷ simulated events
 - ▷ QCD, $W + \text{jets}$ and some $t\bar{t}$ in Jet $\rightarrow \tau_h$
 - ▷ detector issues
 - ▷ $Z \rightarrow ee + Z \rightarrow ll$ in $Z \rightarrow ll$ ($\ell \rightarrow \tau_h$)
 - ▷ uncertainties measured
- Collaborative work:
 - ▷ Karlsruhe Institute of Technology (DE)
 - ▷ Imperial College (UK) $\mathcal{BR} = 1 \text{ pb}$ signal.
 - ▷ DESY (DE) observed events (black dots).
 - ▷ HEPHY (AT) \rightarrow exclusion limits on $\sigma \times \mathcal{BR}$
 - ▷ IP2I (FR)

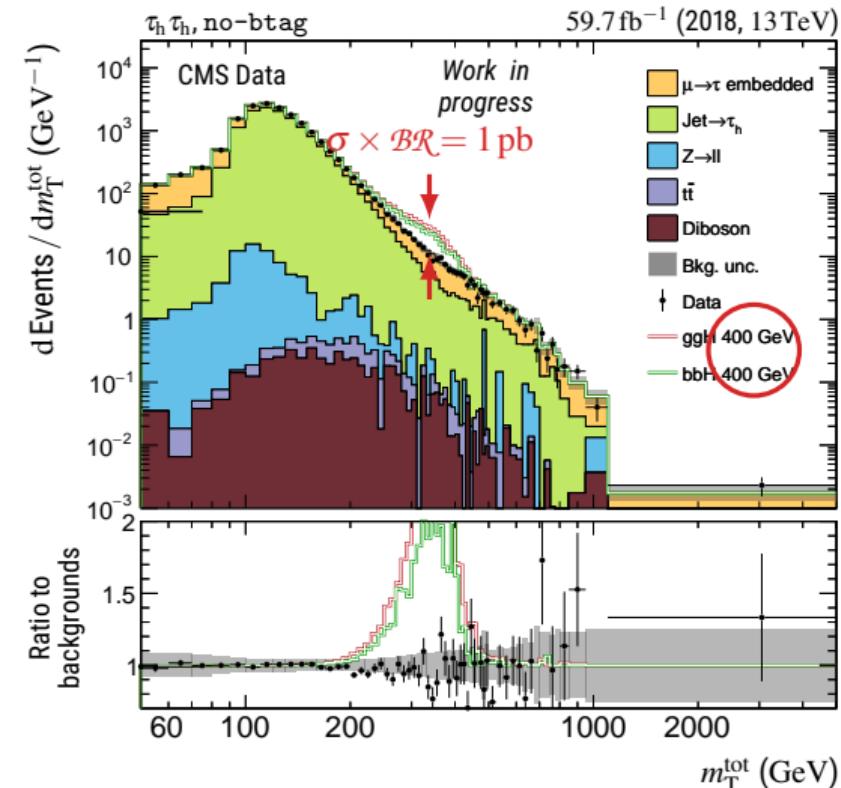
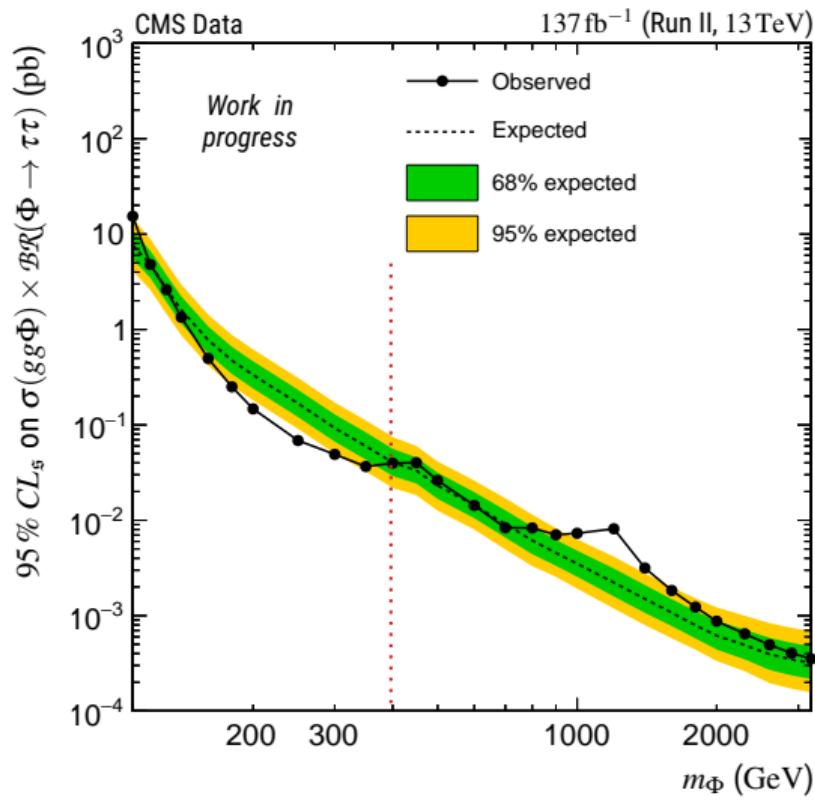




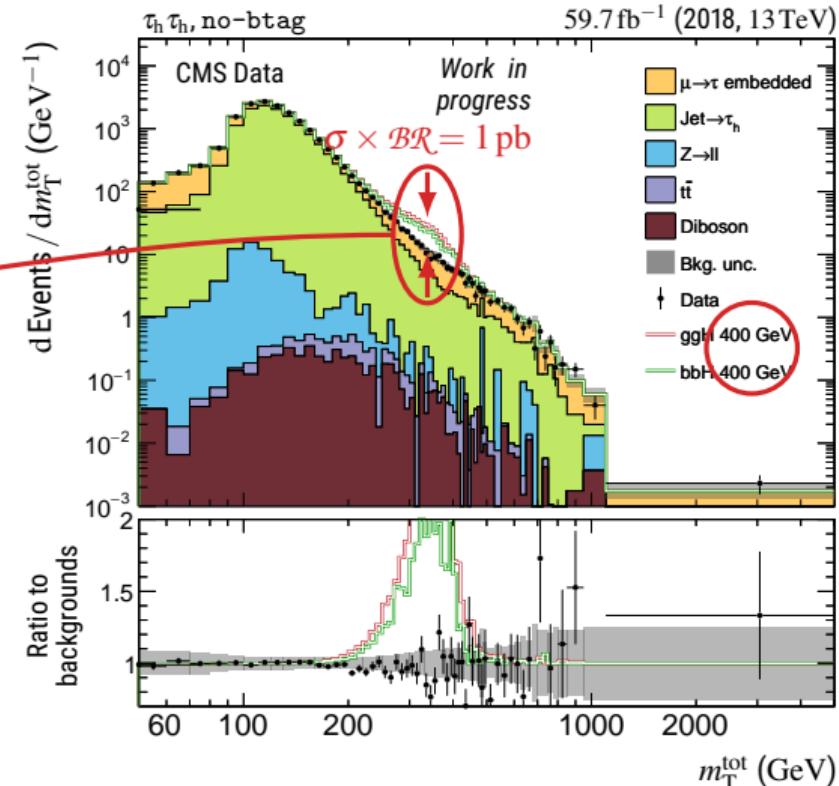
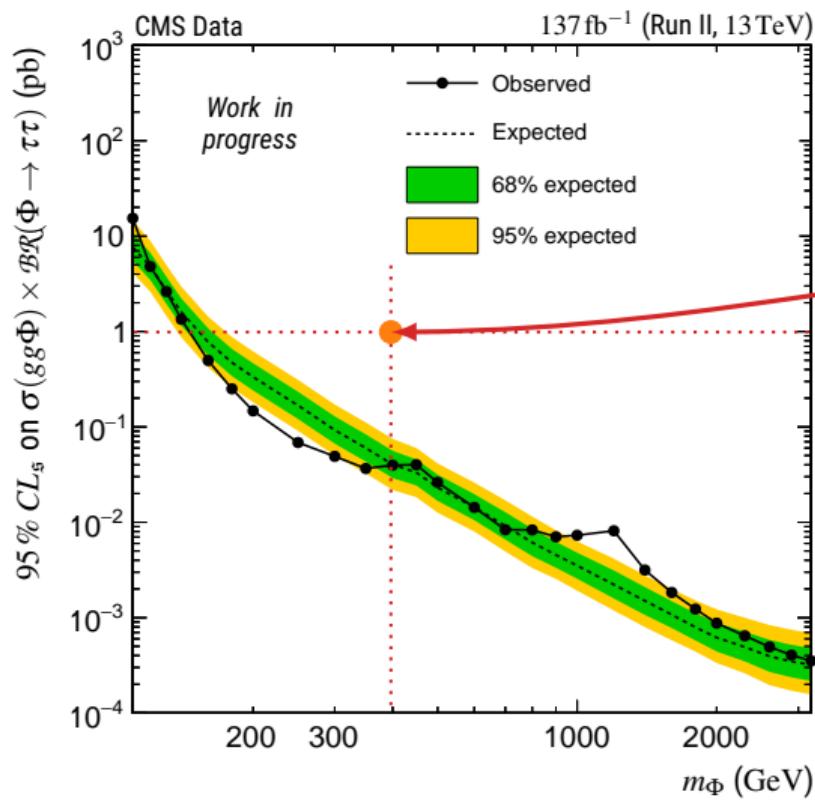
- ▷ A. L. Read. "Modified frequentist analysis of search results (the CL_s method)". *Workshop on confidence limits, CERN, Geneva, Switzerland, 17-18 Jan 2000: Proceedings*. CERN-OPEN-2000-205. May 2000. URL: <http://cds.cern.ch/record/451614>.



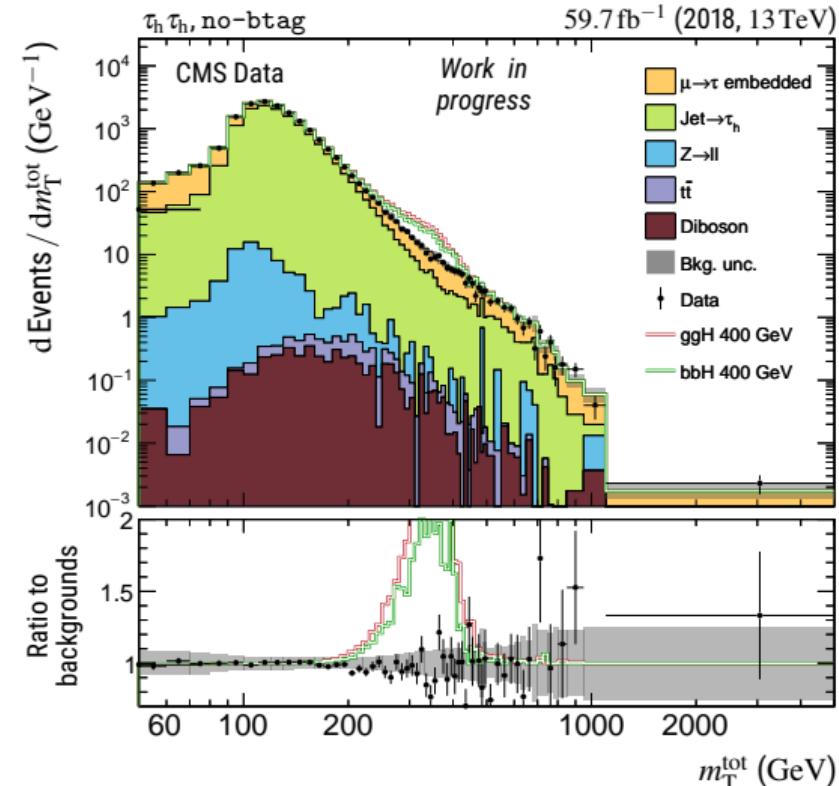
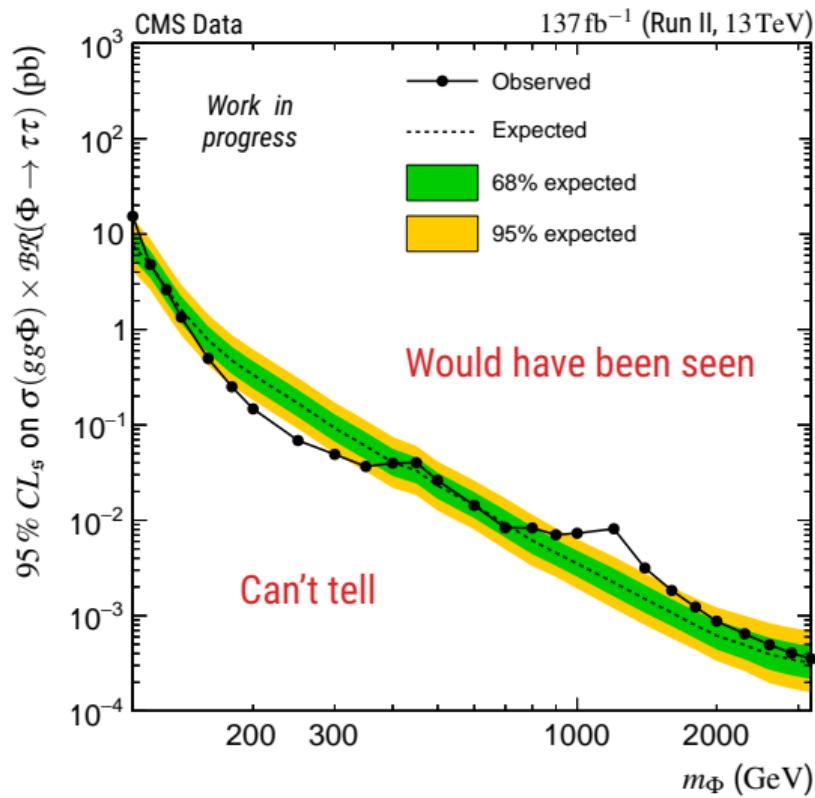
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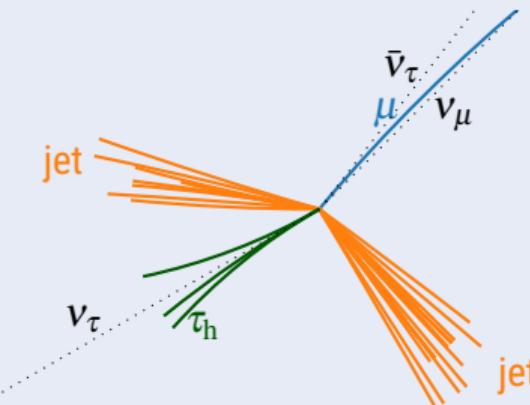
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What's here

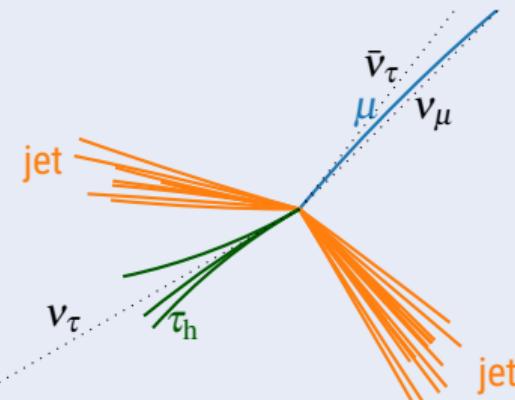
(e.g. VBF Higgs production + decay to $\tau\tau, \mu\tau_h$ channel)



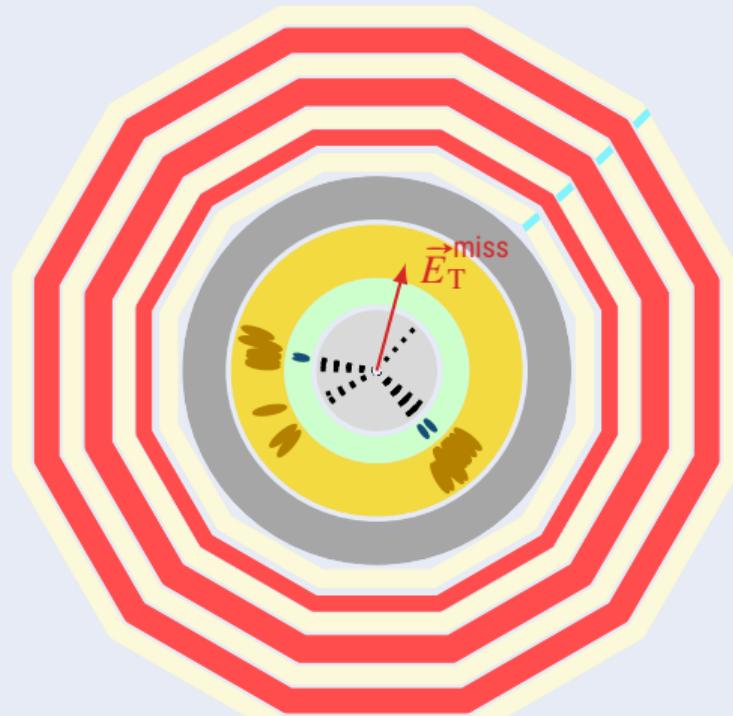
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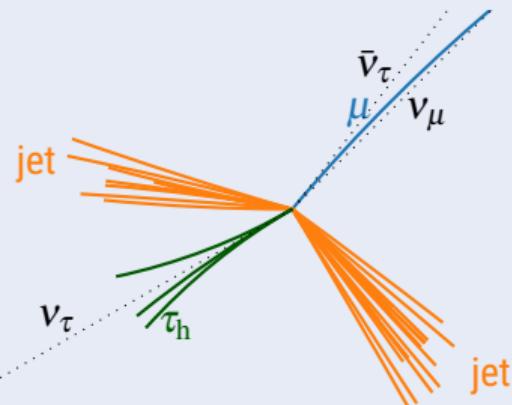
What CMS sees: no neutrinos but E_T^{miss}



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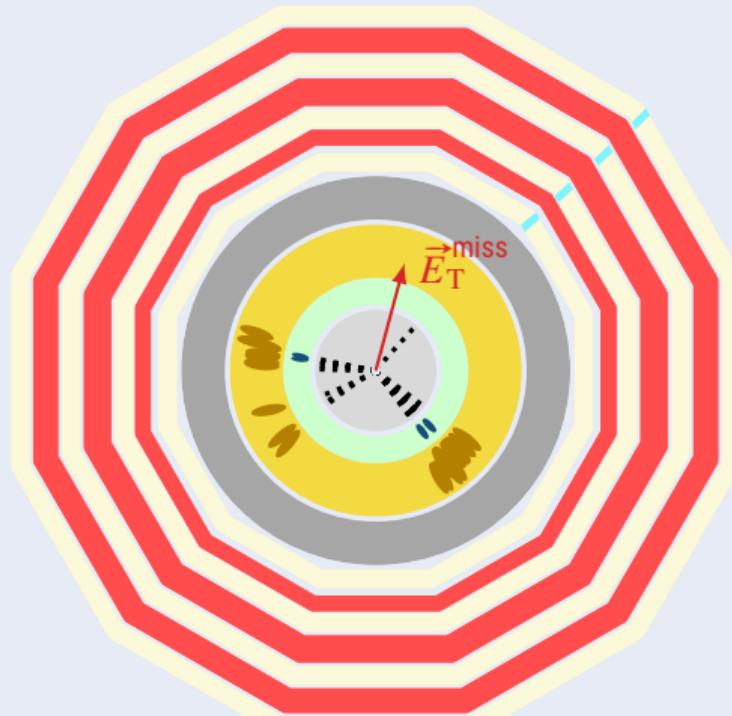
What's here

(e.g. VBF Higgs production + decay to $\tau\tau, \mu\tau_h$ channel)



- ▶ It would be great to have a di- τ mass estimator!
 - ▷ What about **machine learning?**

What CMS sees: no neutrinos but E_T^{miss}



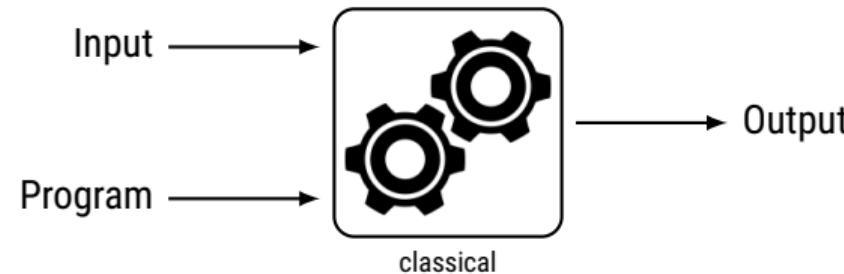
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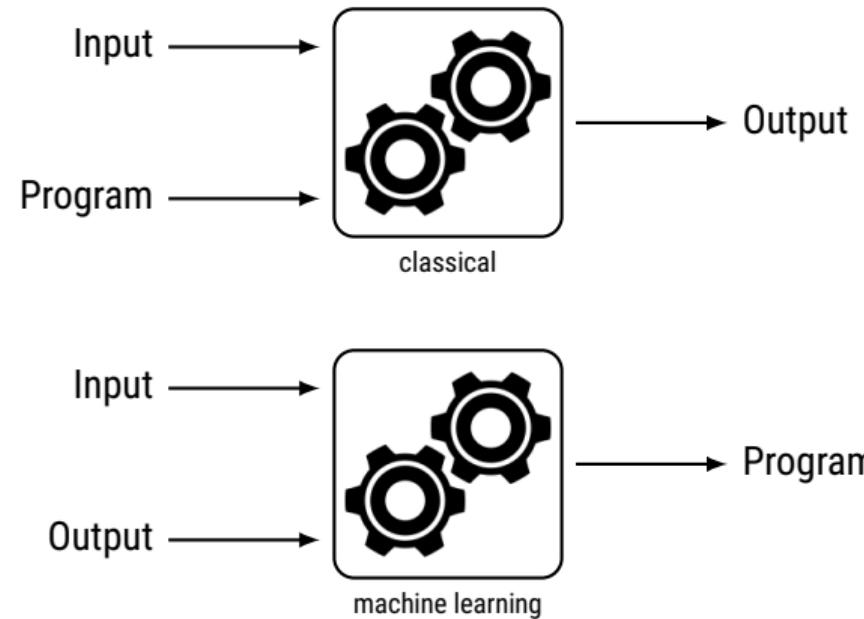
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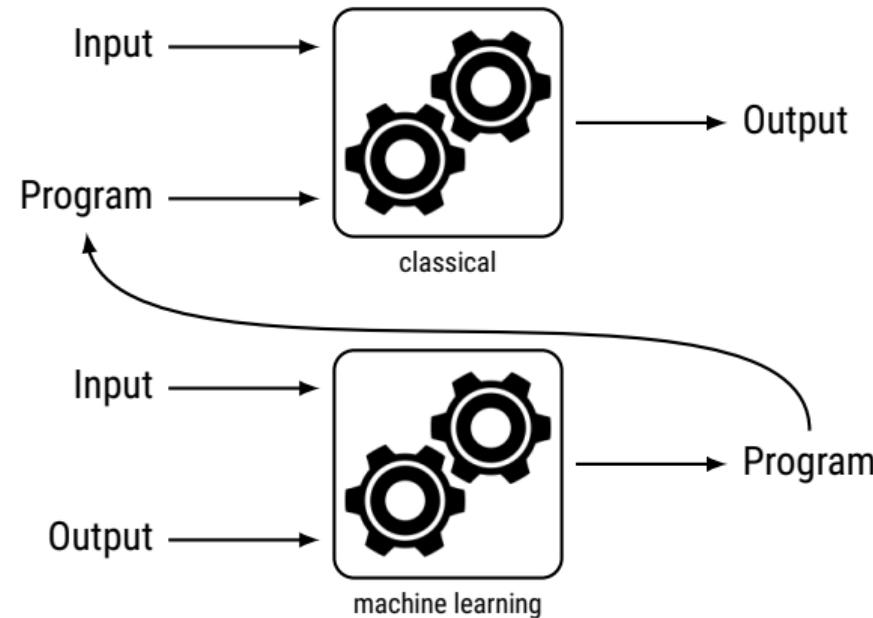
What is *machine learning*? – A brief introduction



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Aim: find a function (program) mapping features (input) to a target (output)

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► Categorical target ⇒ Classification

e.g. cat or dog on the image



► C. Bernet. *The Data Frog – Image Recognition: Dogs vs Cats!* URL:
<https://thedatafrog.com/en/articles/dogs-vs-cats/>.

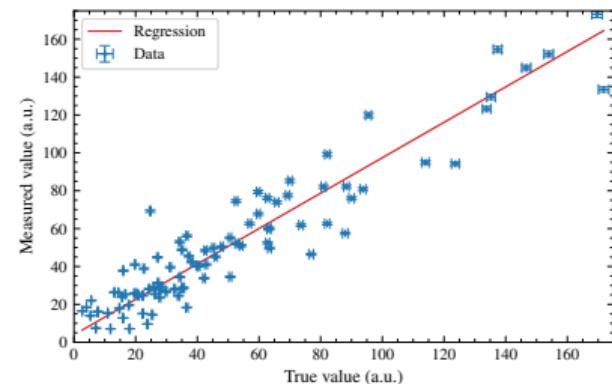
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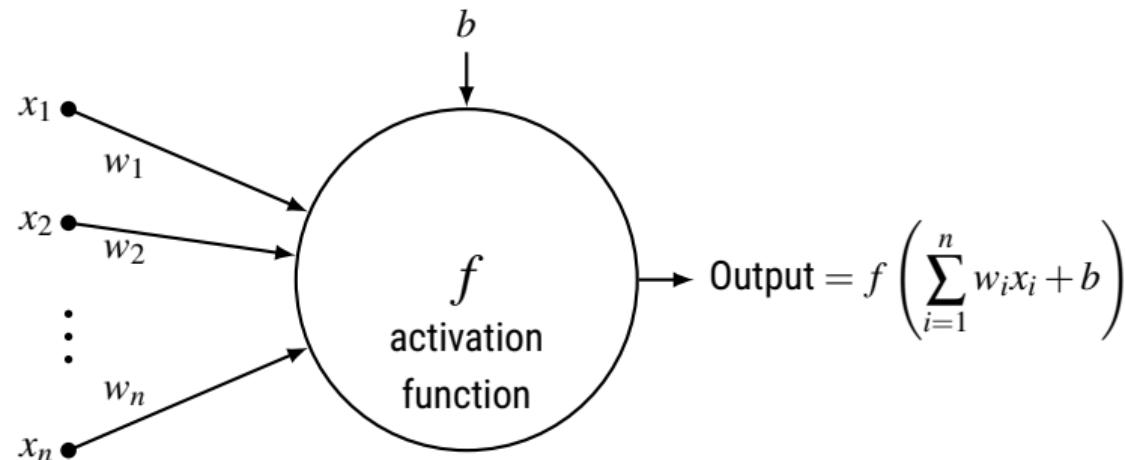
- ▶ Continuous target \Rightarrow Regression
e.g. discriminating variable!
Linear case:



- ▶ C. Bernet. *The Data Frog – Image Recognition: Dogs vs Cats!* URL:
<https://thedatafrog.com/en/articles/dogs-vs-cats/>.

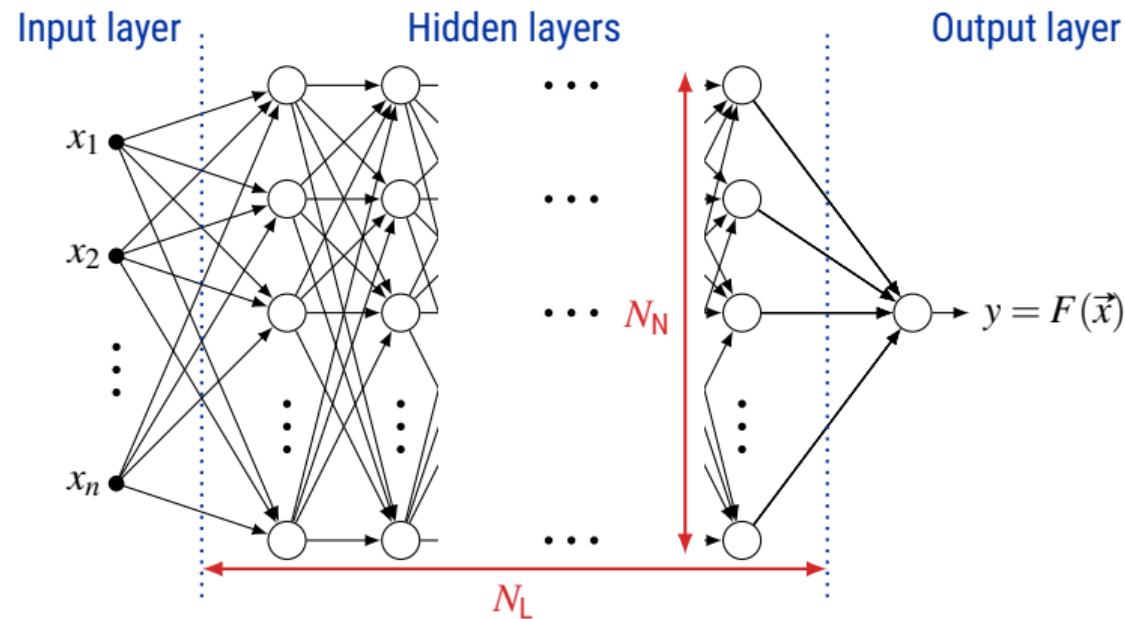
What if the target is not linear wrt. input?

Neurons in ML



- ▶ Parameters: w_1, w_2, \dots, w_n, b
- ▶ Equivalent to linear regression for $f = \text{Id} : x \mapsto x$

(Deep) Neural Networks

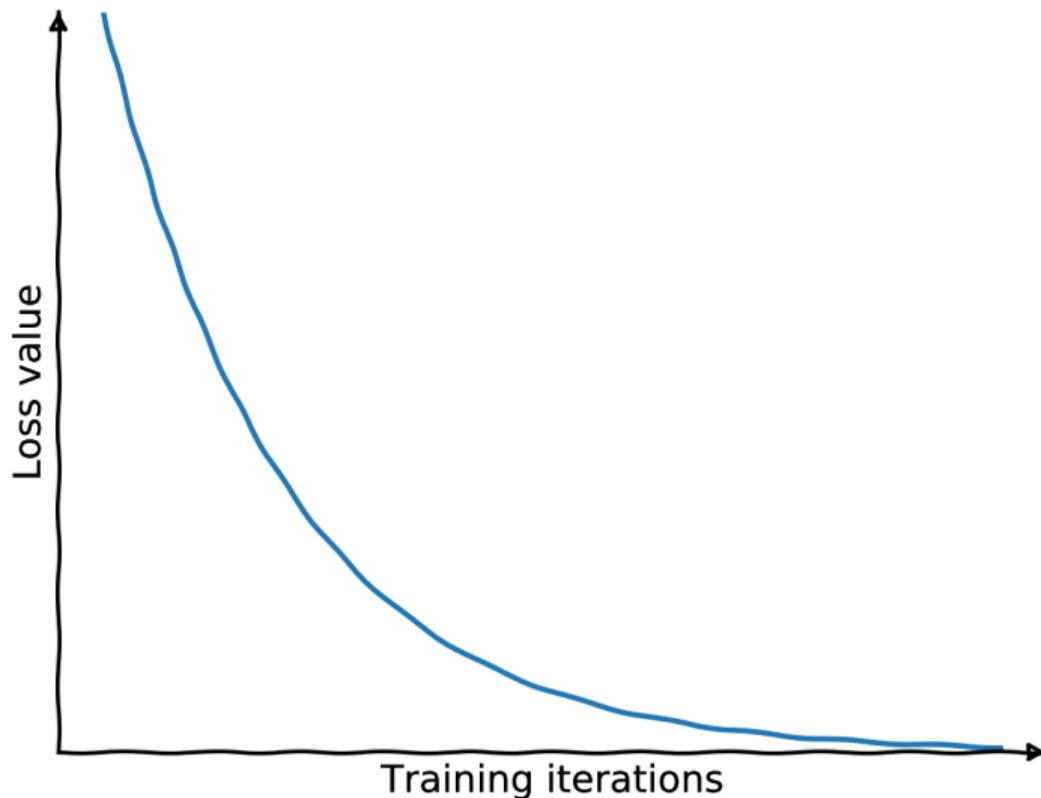


How to train a neural network?

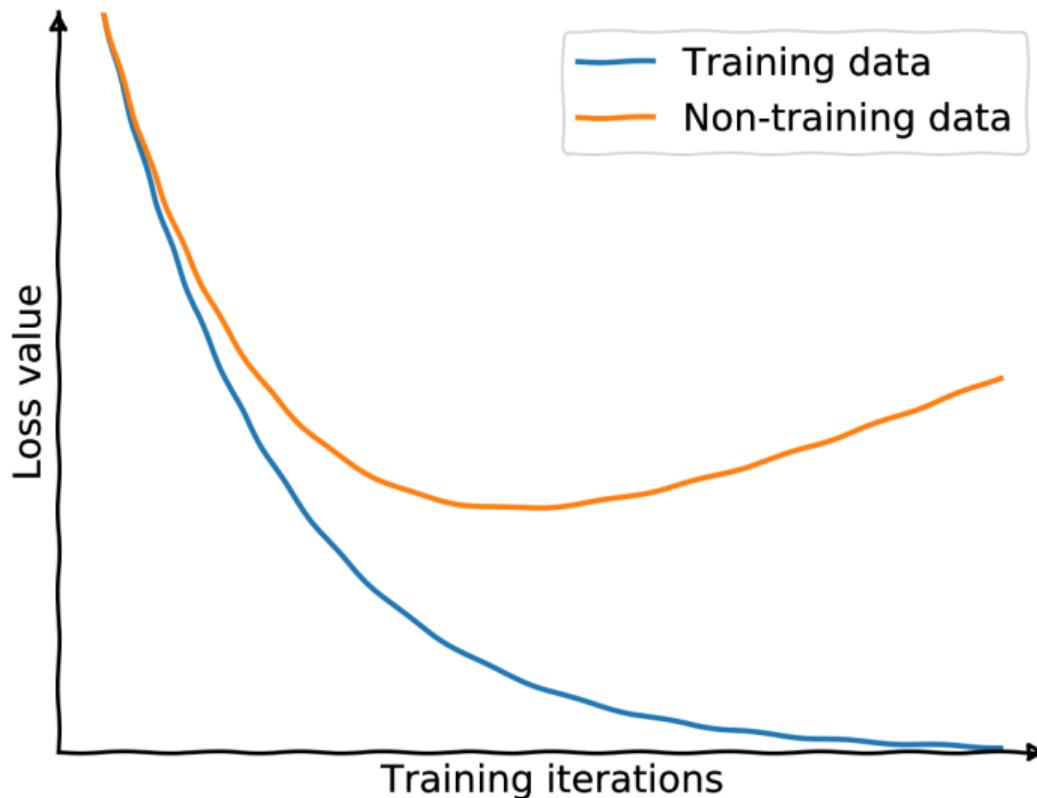
Train = optimize parameters $(w_1, w_2, \dots, w_n, b)$ for each neuron.

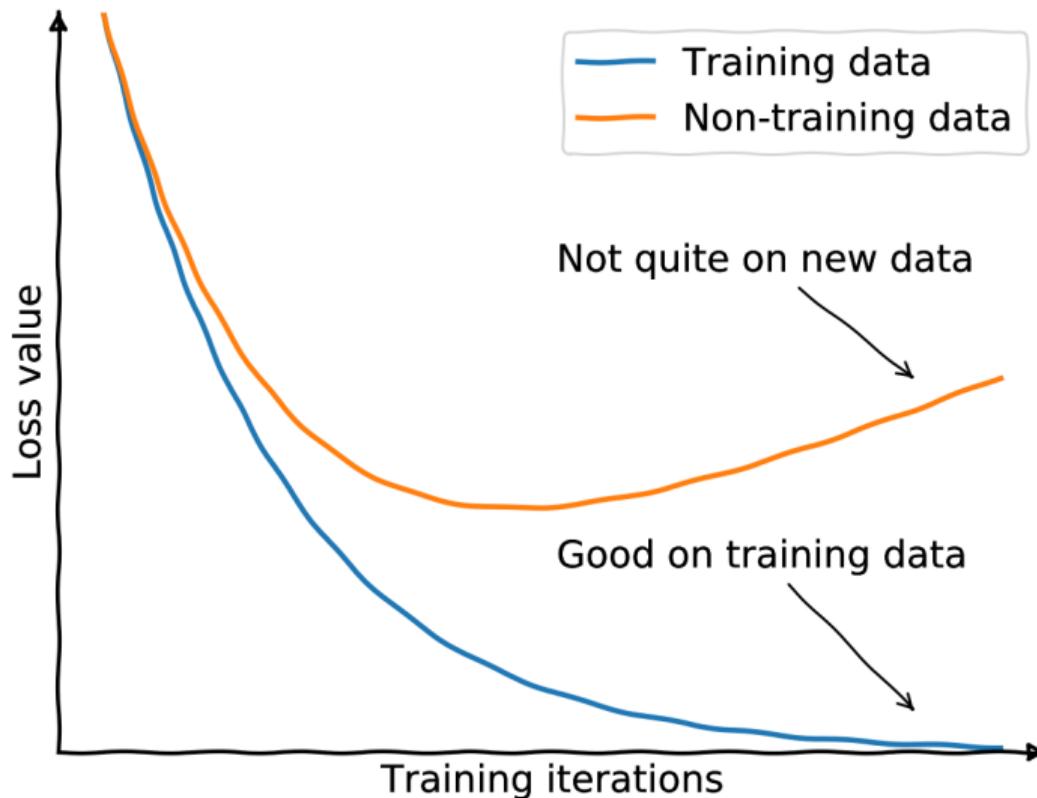
- ▶ Get a **training dataset** = examples of inputs \vec{x}_i with corresponding outputs y_i
- ▶ Compare the model predictions $F(\vec{x}_i)$ to the true values y_i
 - ▷ Define a **loss function** \mathcal{L} such that its minimum is reached when $F(\vec{x}_i) = y_i$
 - ▷ Change the parameters a bit, aiming at minimizing $\mathcal{L}(F(\vec{x}_i), y_i)$
 - ▷ Repeat

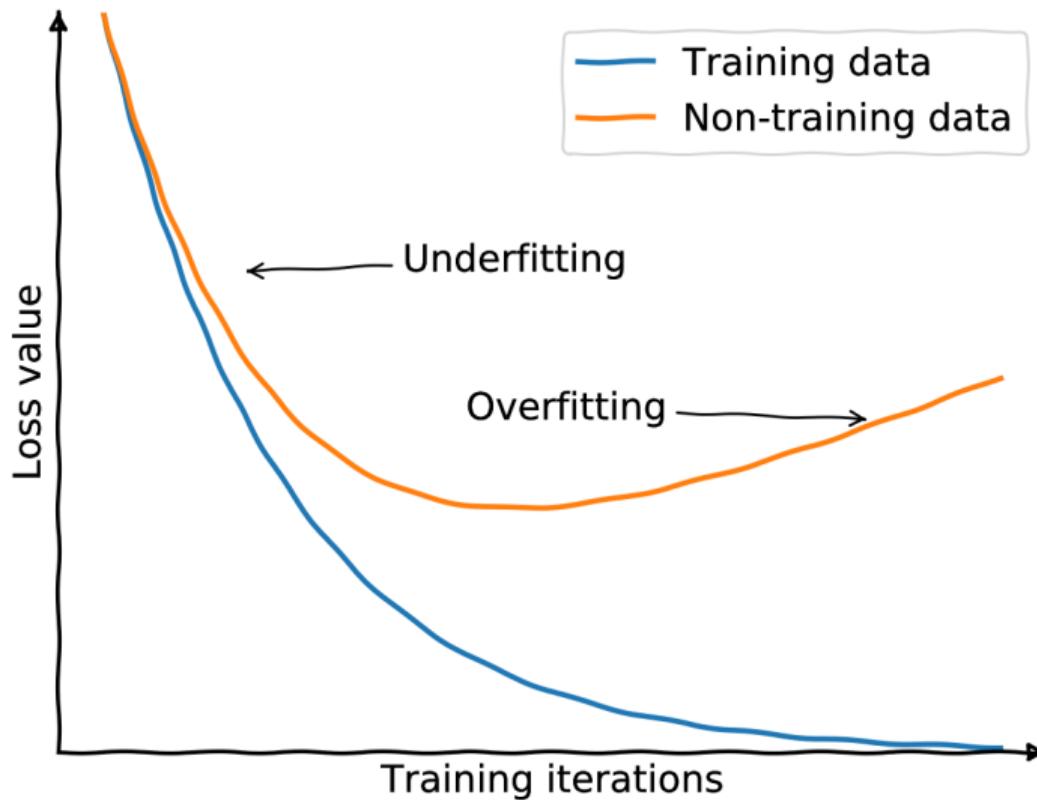
When to stop training?

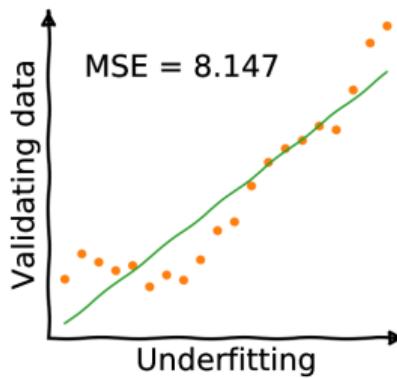
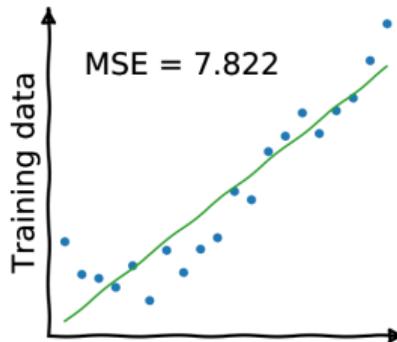


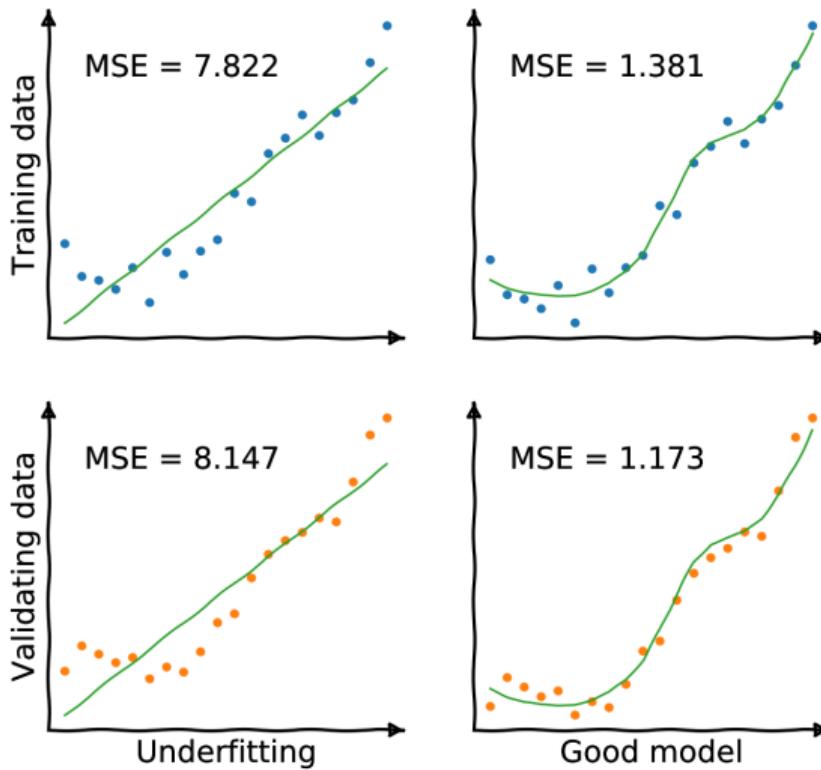


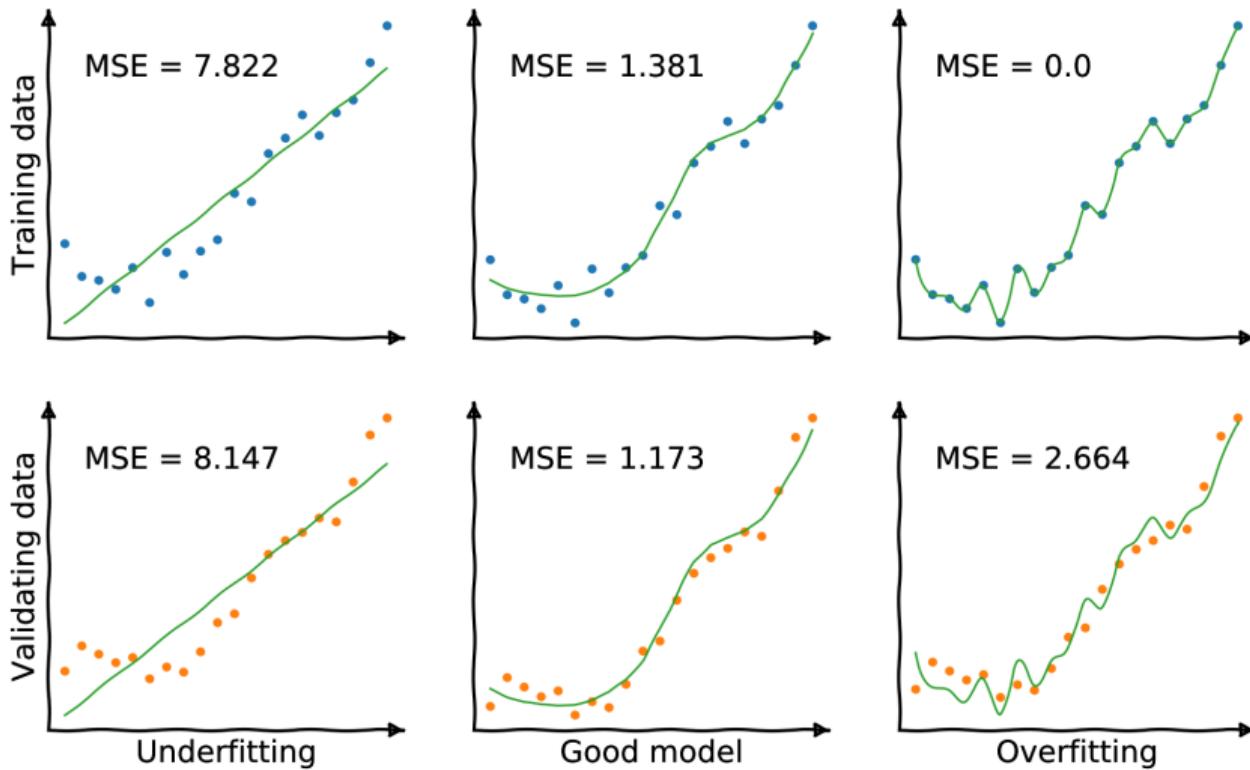


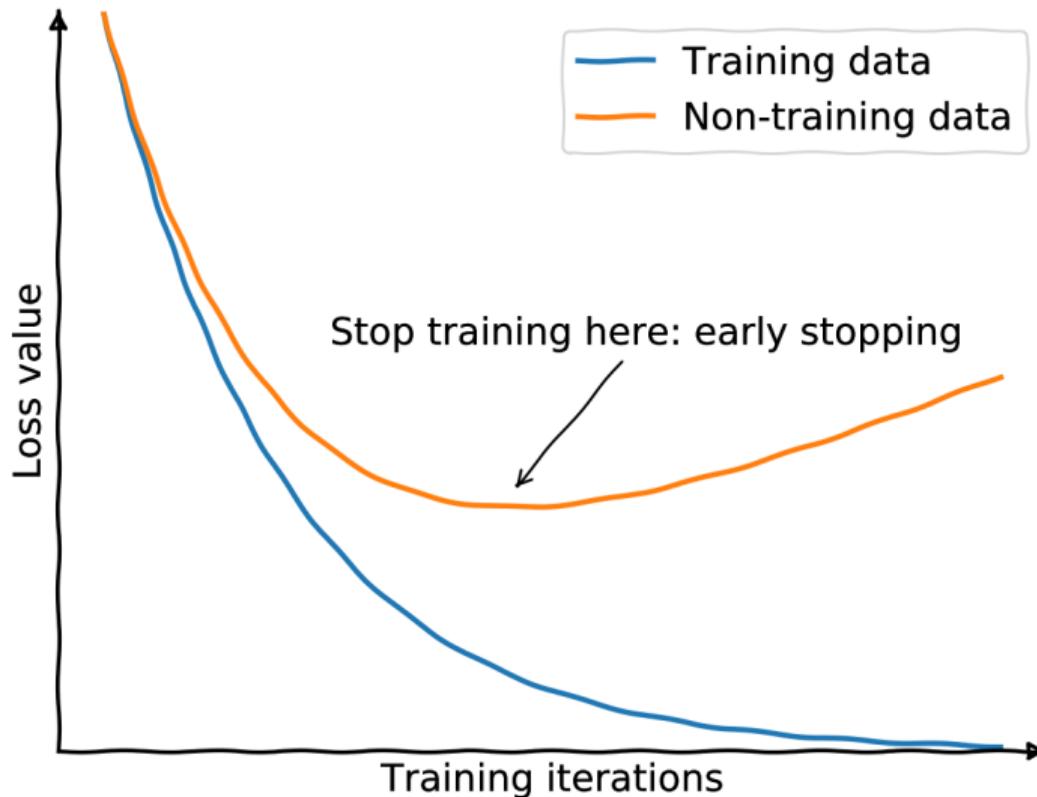


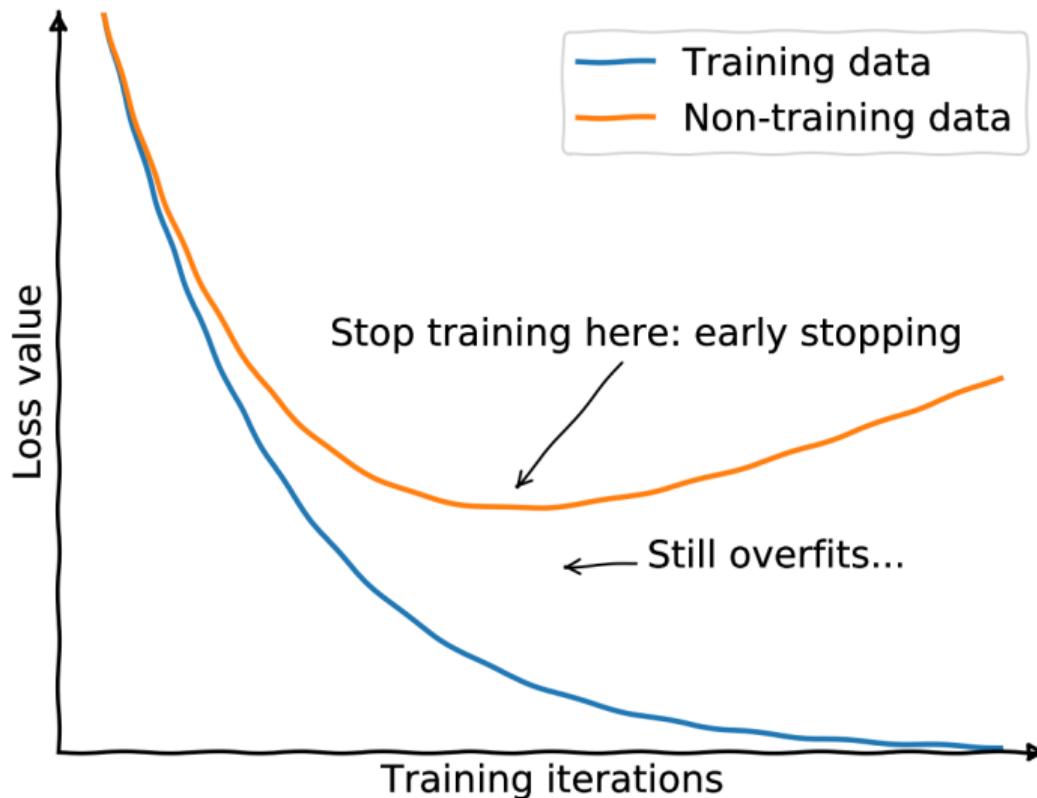


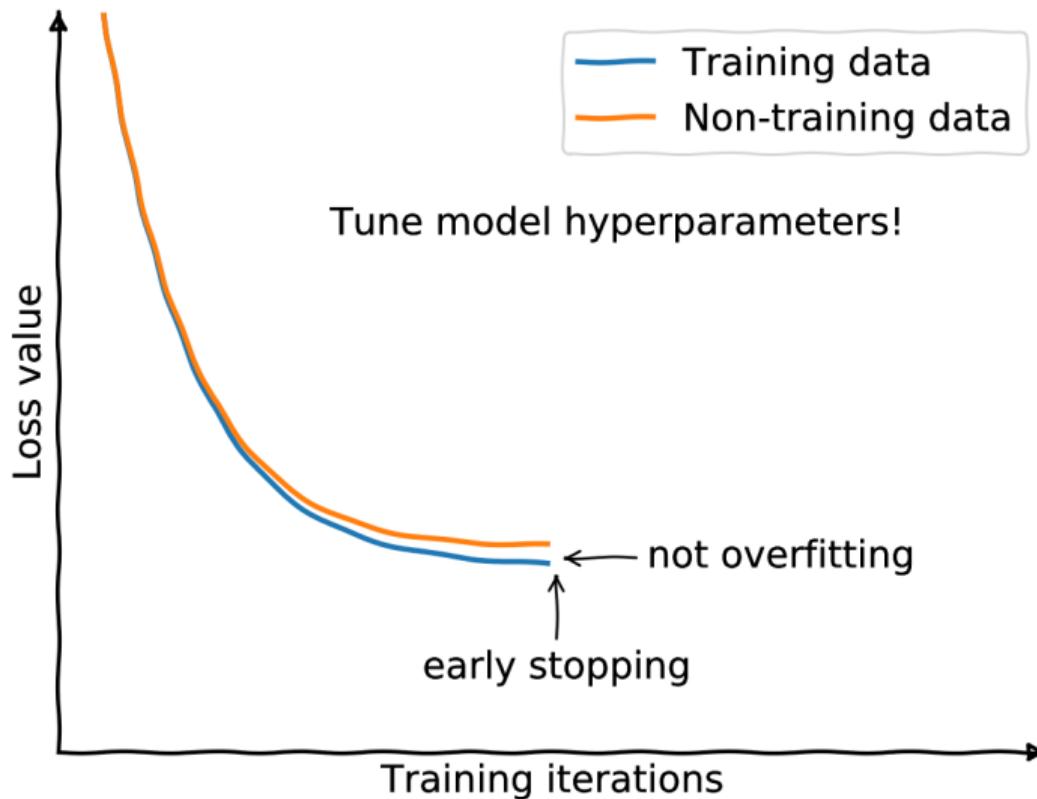




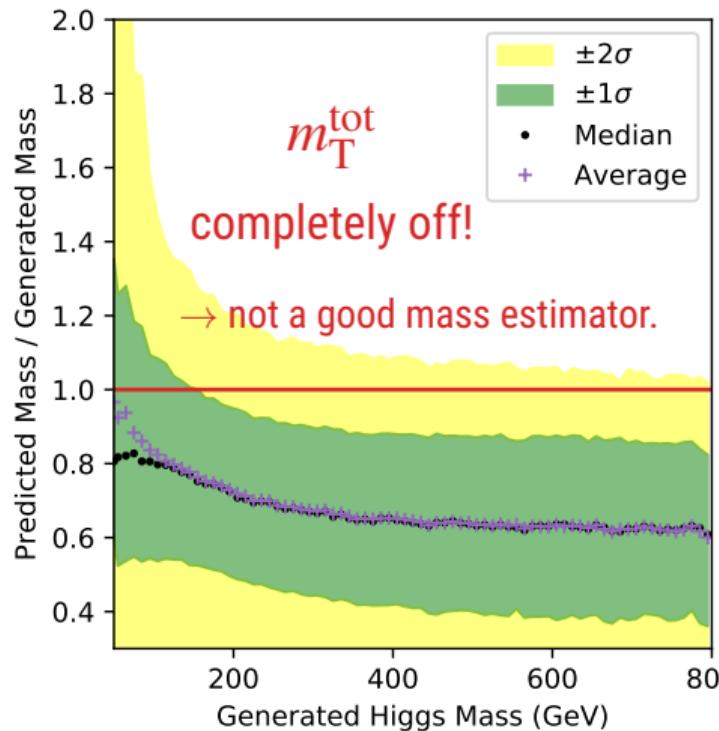








DNN's m_{ML} predictions vs $m_{\text{T}}^{\text{tot}}$

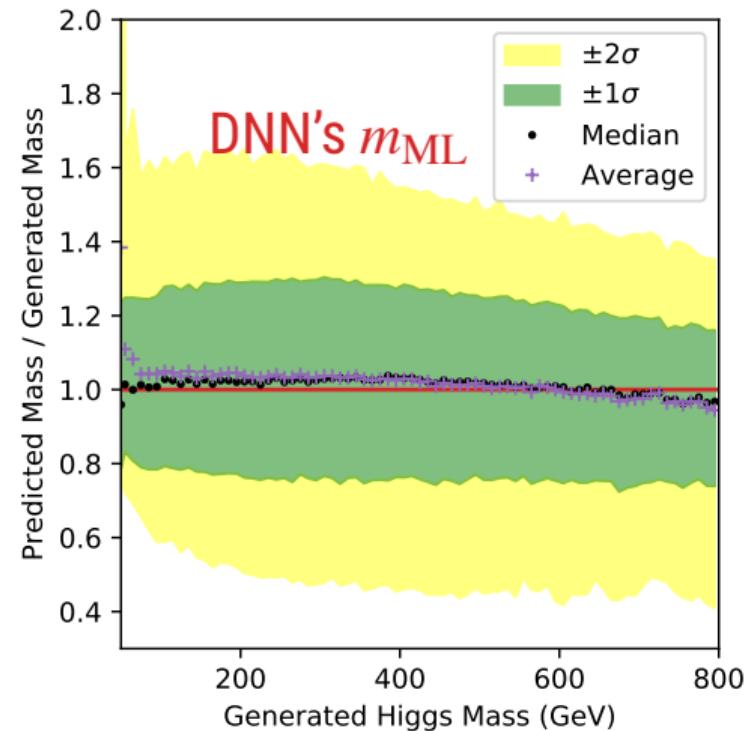
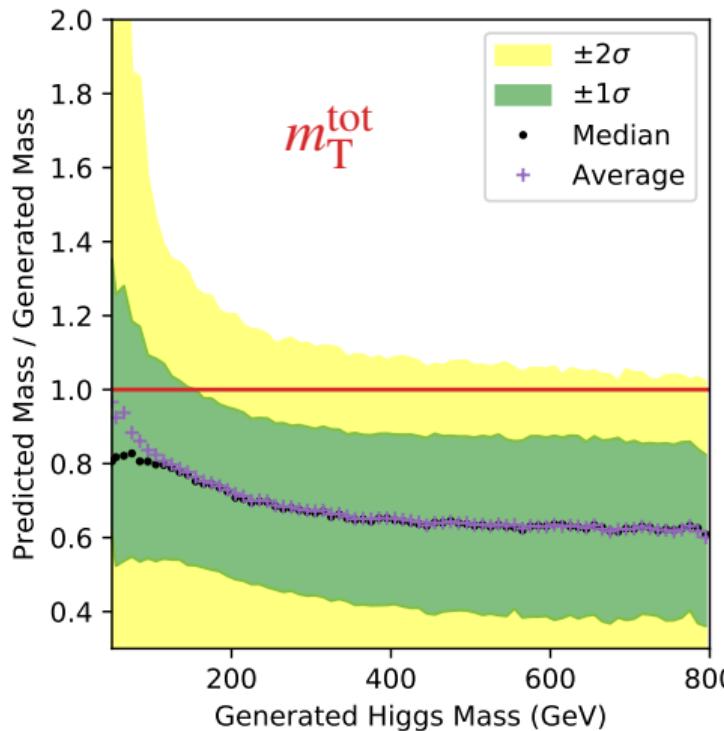


► Model's response:

$$r = \frac{\text{prediction}}{\text{true value}} = \frac{m_{\text{ML}}}{m_{\mathcal{H}}} \text{ or } \frac{m_{\text{T}}^{\text{tot}}}{m_{\mathcal{H}}}$$

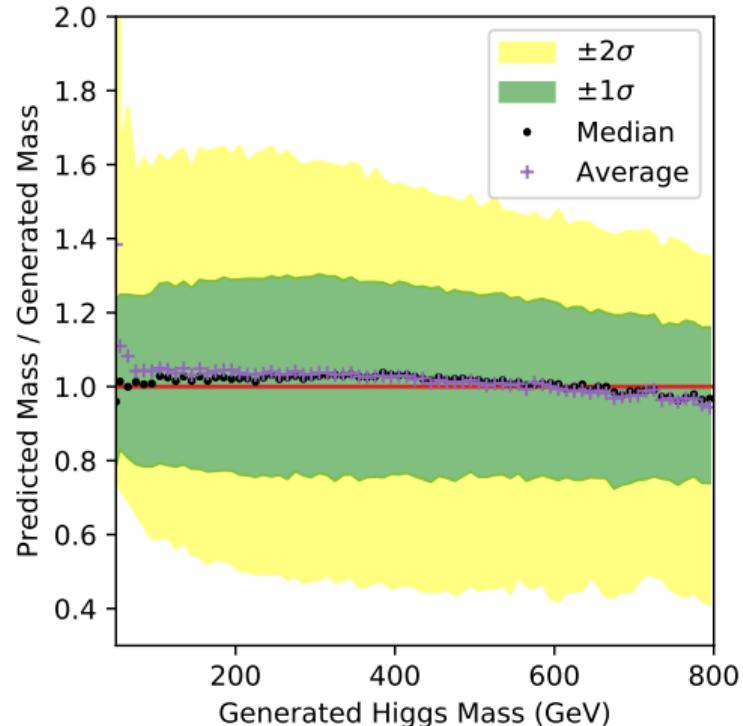
► Closer to 1 is better for black dots.

DNN's m_{ML} predictions vs $m_{\text{T}}^{\text{tot}}$



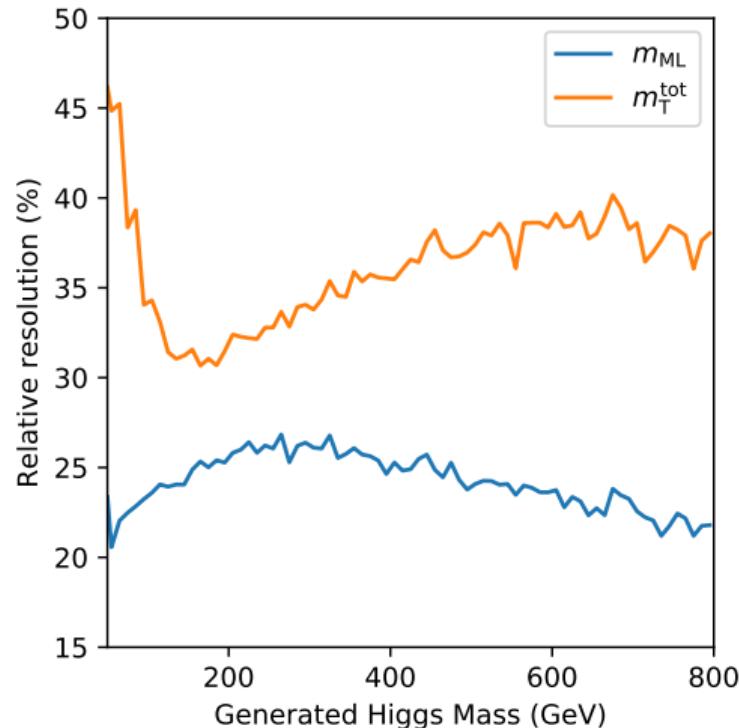
DNN's m_{ML} predictions vs $m_{\text{T}}^{\text{tot}}$

- $r = 1.00 \pm 0.05$ from 80 to 800 GeV
- \mathcal{H} mass reconstruction **achieved ✓**

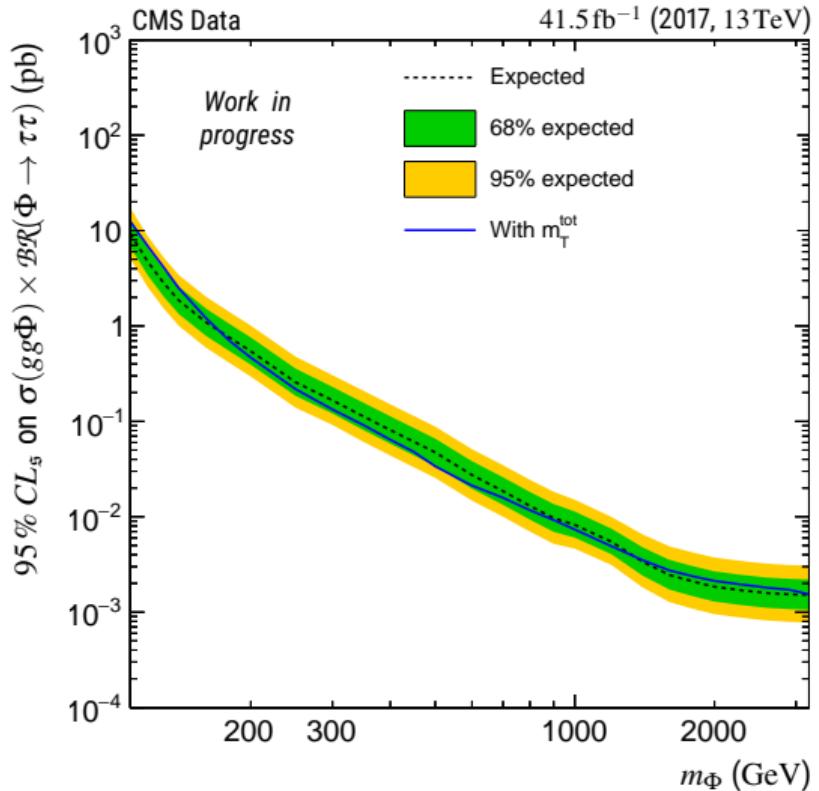


Using the model to get a discriminating variable

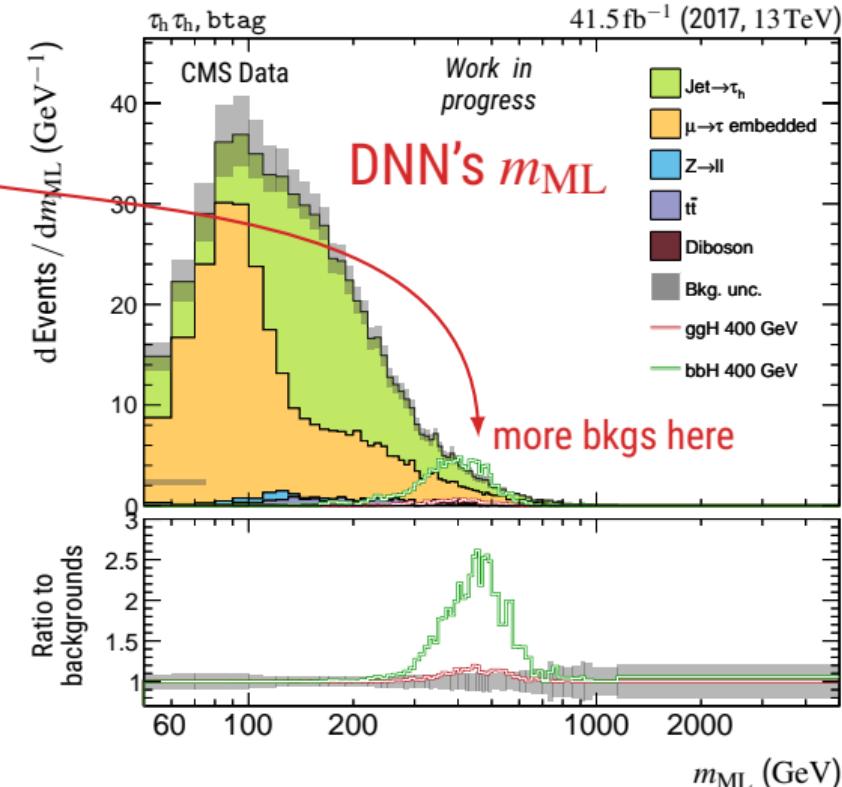
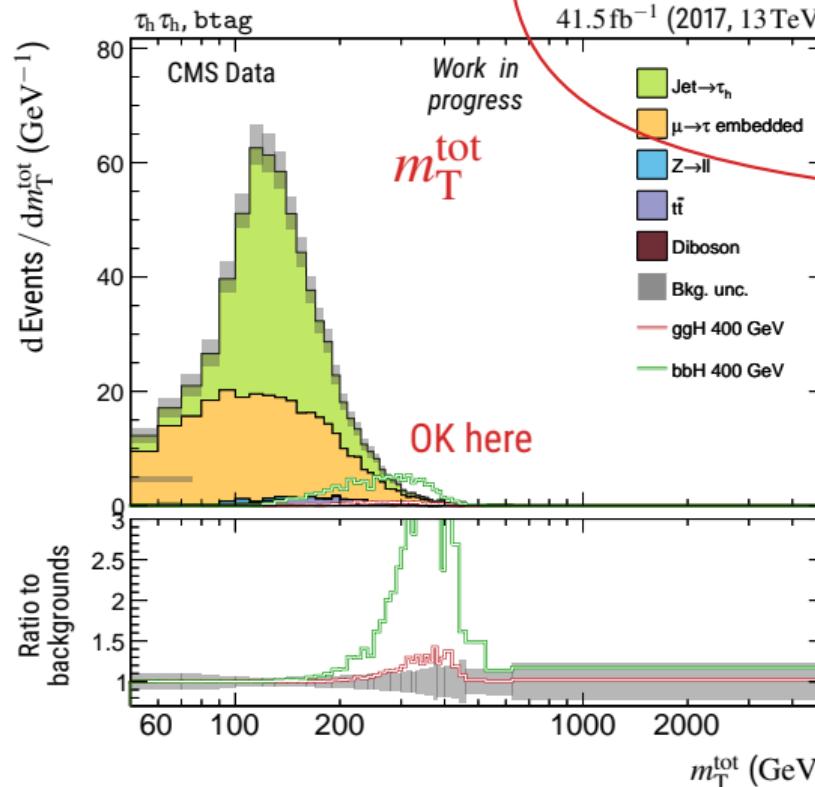
- ▶ In the $H/A \rightarrow \tau\tau$ analysis, discriminating variable = m_T^{tot} .
- ▶ m_T^{tot} is equal to the invariant mass assuming:
 - ▷ all neutrinos are a single particle with $\vec{p}_T = \vec{E}_T^{\text{miss}}$,
 - ▷ all is going on in the transverse plane (any $p_z = 0$).
- ▶ Our model has a better resolution on m_H than m_T^{tot} .



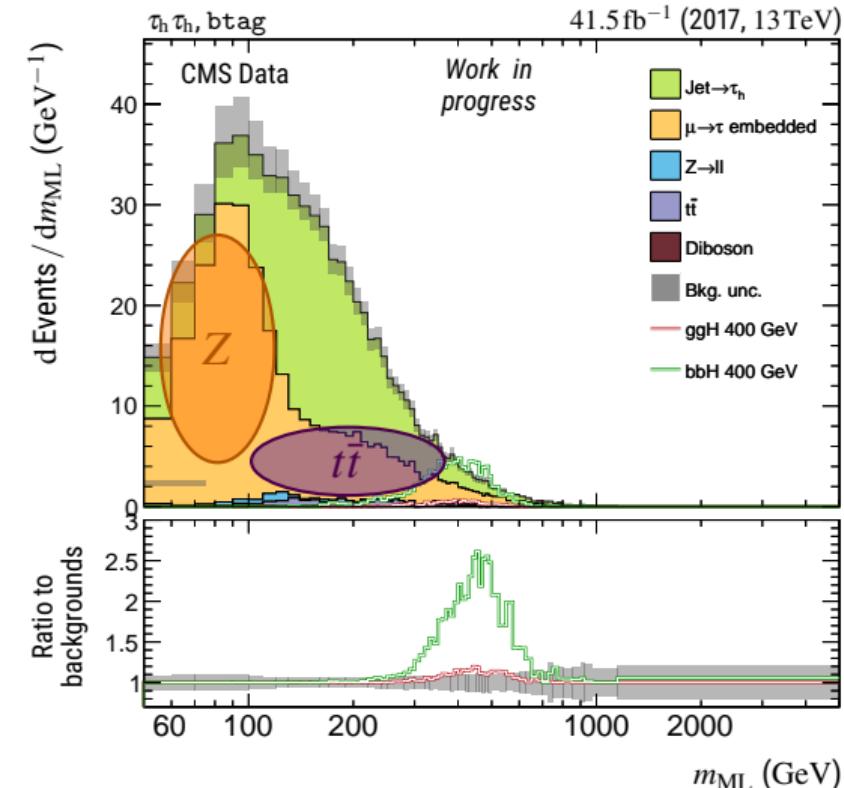
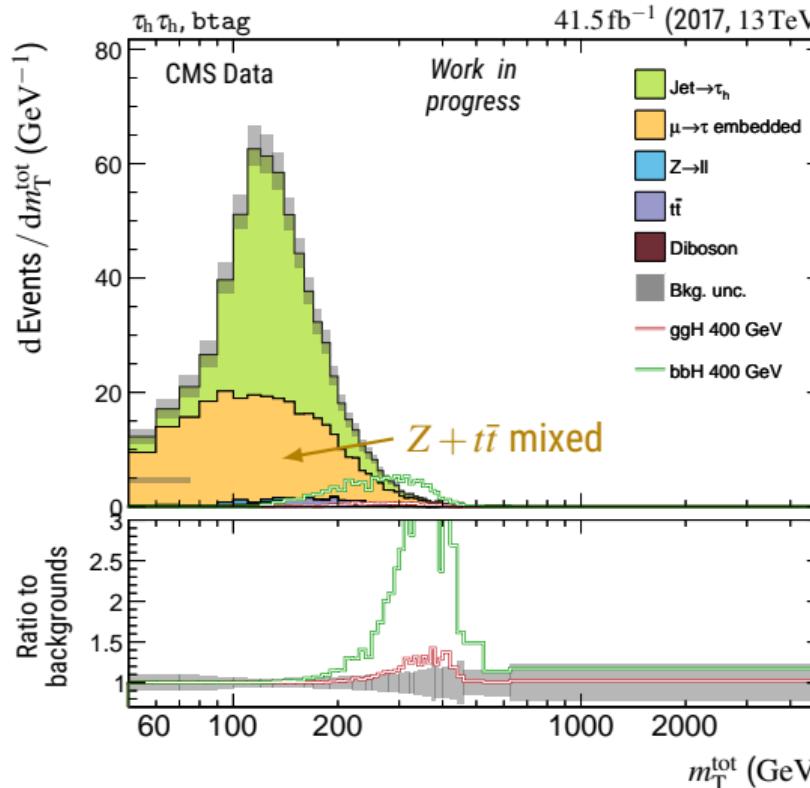
- ▶ Proceed to the search for massive Higgs boson Φ with di- τ events on the 2017 era.
- ▶ Use m_{ML} as discriminating variable.
- ▶ Not really better than with m_T^{tot} ... Why?



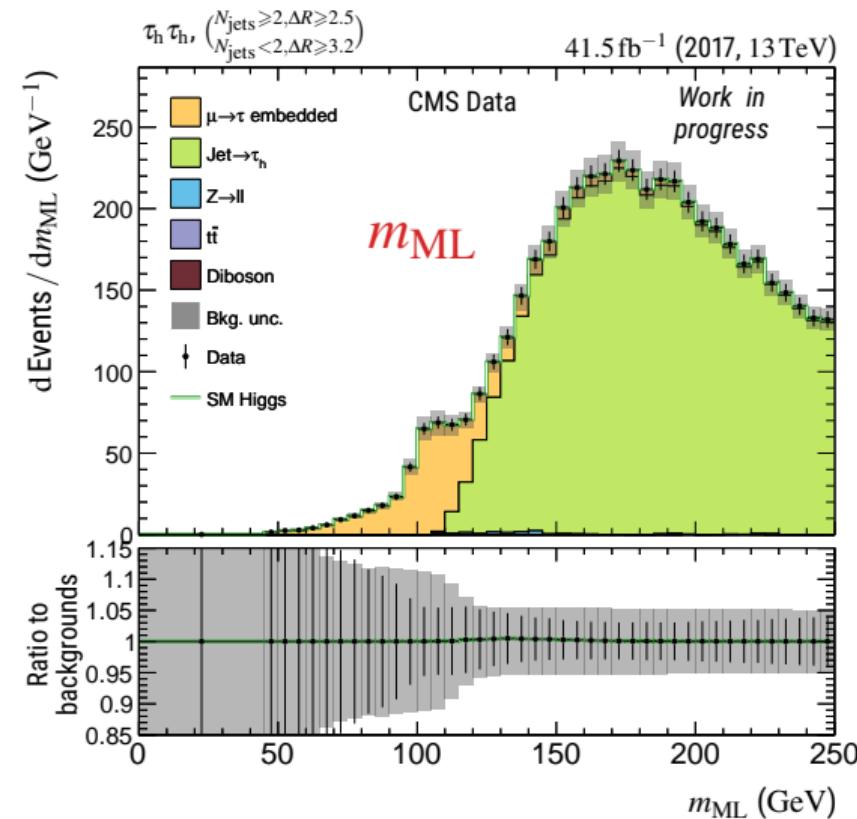
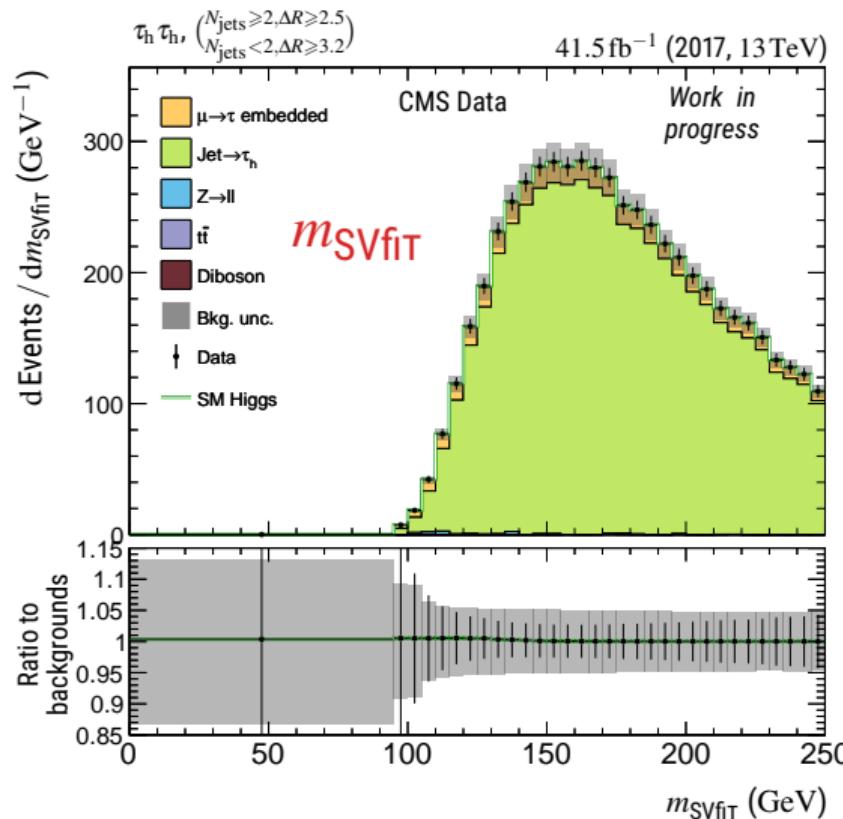
► Large fakes τ_h high mass tails falling into the signal region \Rightarrow lowered signal to background ratio.



► BUT $Z / t\bar{t}$ separation! See the two m_{ML} embedded components, not present with m_T^{tot} .



► Our model finds $Z \rightarrow \tau\tau$ events when SVfit does not!



What to conclude from this thesis?

Conclusion & prospects: $H/A \rightarrow \tau\tau$

- ▶ MSSM $H/A \rightarrow \tau\tau$ analysis on full Run II:
 - ▷ 4 final states: $\tau_h\tau_h$, $\mu\tau_h$, $e\tau_h$ and $e\mu$,
 - ▷ Model independent exclusion limits on $\sigma \times \mathcal{BR}$
 - ▷ Model dependent exclusion contours in the $(m_A, \tan \beta)$ plane.
- ▶ CMS paper HIG-21-001 on its way for publication:
 - ▷ Leading-edge until Run III corresponding results!
- ▶ No evidence for MSSM.

Conclusion & prospects: ML project

- ▶ Successful $m_{\mathcal{H}}$ reconstruction in di- τ events.
 - ▷ Not only MSSM $H/A \rightarrow \tau\tau$ but any $X \rightarrow \tau\tau$ analysis could benefit from this project.
- ▶ m_{ML} vs $m_{\text{T}}^{\text{tot}}$:
 - ▷ A good mass estimator is not always a good discriminating variable.
 - ▷ Still, we already have the same performances at this point.
- ▶ m_{ML} vs m_{SVfit} :
 - ▷ Similar Higgs sensitivity for some event topologies.
 - ▷ Better Z estimation observed (the model has been trained on $\mathcal{H} \rightarrow \tau\tau$ with various masses only).
 - ▷ Could be improved by updating the training datasets (other kinds of events).
 - ▷ Faster (about 60 times!).
- ▶ Very promising as a di- τ mass predictor (SVfit successor?).

Merci pour votre attention !

► Phenomenology:

- ▷ SM, SUSY, 2HDM, $\tan\beta$ [► slide 43](#)
- ▷ Why $H/A \rightarrow \tau\tau$? [► slide 48](#)
- ▷ How histograms unveil particles [► slide 50](#)
- ▷ Neutrinos and MET [► slide 56](#)

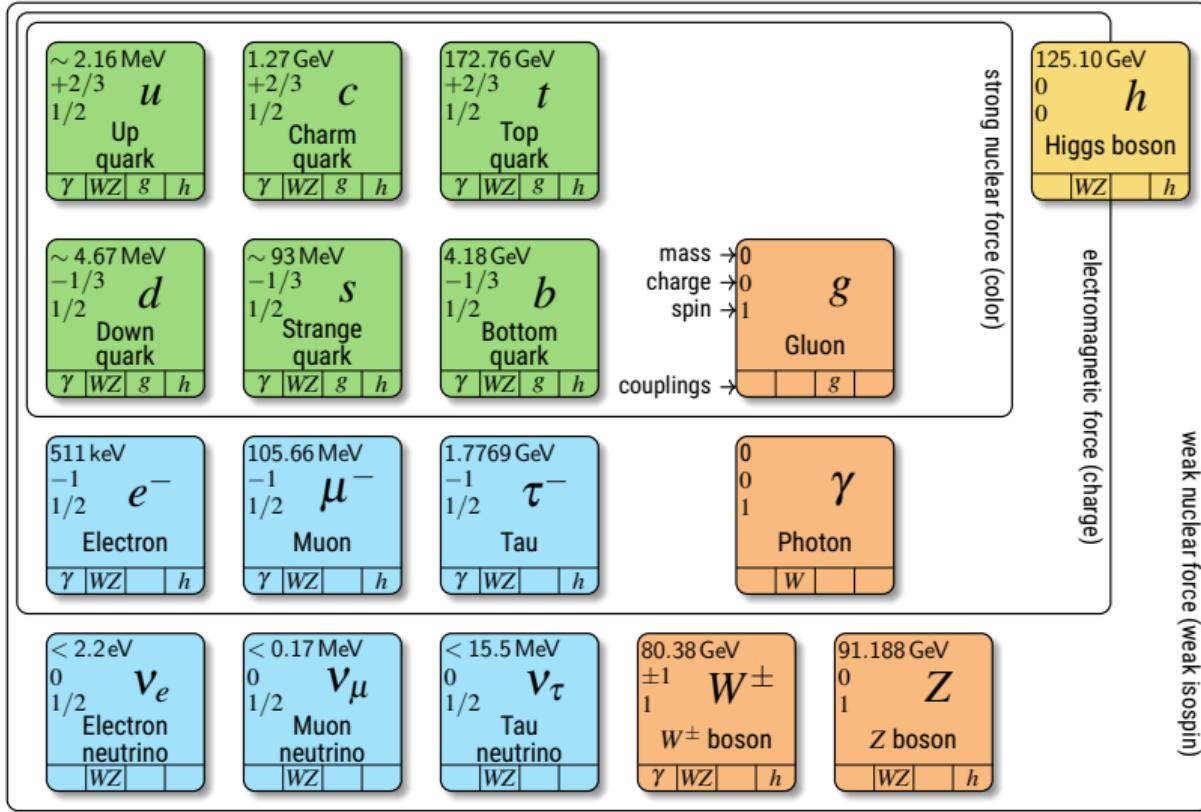
► MSSM $H/A \rightarrow \tau\tau$:

- ▷ Embedded samples [► slide 57](#)
- ▷ Fake factors [► slide 58](#)
- ▷ Triggers in the $\tau_h \tau_h$ channel [► slide 62](#)
- ▷ Fake factors for subleading τ_h [► slide 63](#)
- ▷ CP violation in the Higgs sector [► slide 64](#)

► Machine Learning:

- ▷ Custom loss function for the DNN [► slide 66](#)
- ▷ Training mass range high boundary [► slide 69](#)

The Standard Model



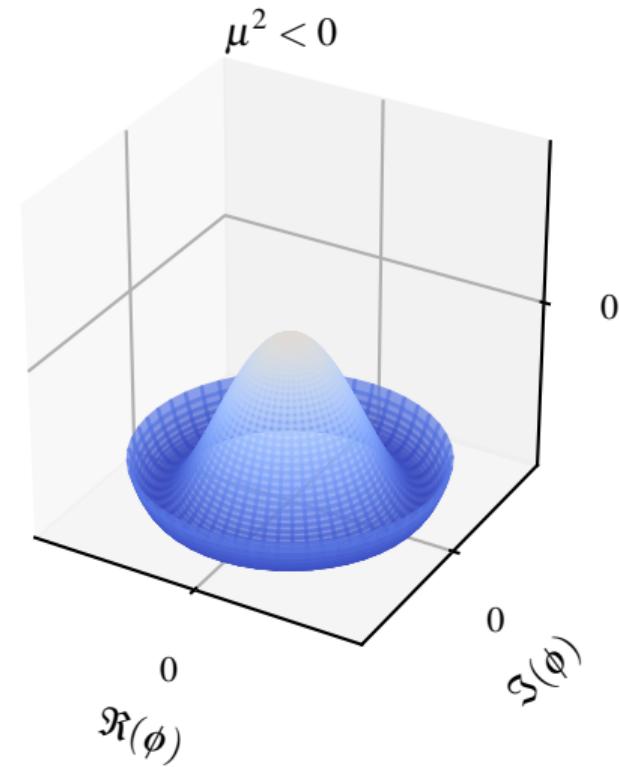
Higgs boson in the Standard Model

$$\phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_3 + i\phi_4 \\ \phi_1 + i\phi_2 \end{pmatrix}$$

$$V(\phi) = \mu^2 \phi^\dagger \phi + \lambda (\phi^\dagger \phi)^2, \quad \lambda > 0$$

$$\langle \phi \rangle_0 = \frac{v}{\sqrt{2}} = \sqrt{\frac{-\mu^2}{2\lambda}} \neq 0$$

$$\phi(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}$$

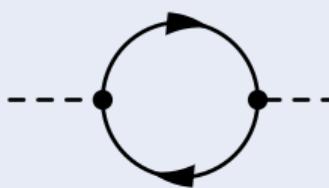


The Standard Model and naturalness problem

► Higgs mass measured: $m_h = 125.10 \pm 0.14 \text{ GeV}$

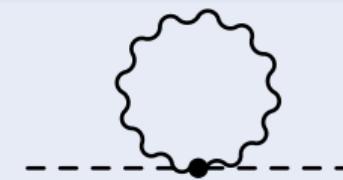
► Higgs mass derivation: $m_h^2 = m_{h0}^2 - \frac{3}{8\pi^2} y_t^2 \Lambda^2 + \frac{1}{16\pi^2} g^2 \Lambda^2 + \frac{1}{16\pi^2} \lambda^2 \Lambda^2 + \dots$

top quark



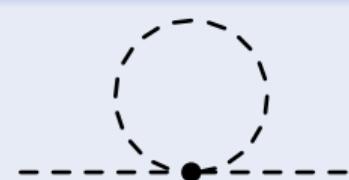
$$-\frac{3}{8\pi^2} y_t^2 \Lambda^2 \sim -(2 \text{ TeV})^2$$

vector bosons



$$+\frac{1}{16\pi^2} g^2 \Lambda^2 \sim +(0.7 \text{ TeV})^2$$

Higgs itself

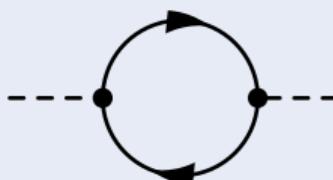


$$+\frac{1}{16\pi^2} \lambda^2 \Lambda^2 \sim +(0.5 \text{ TeV})^2$$

► Particle Data Group. "Review of Particle Physics". *Progress of Theoretical and Experimental Physics* 8 (Aug. 2020). DOI: 10.1093/ptep/ptaa104.

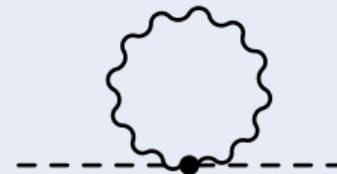
Supersymmetry

top quark



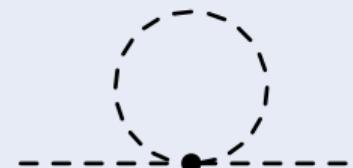
$$\sim -(2 \text{ TeV})^2$$

vector bosons



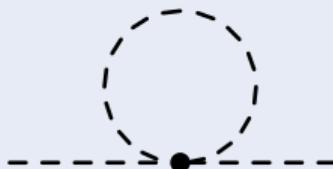
$$\sim +(0.7 \text{ TeV})^2$$

Higgs itself



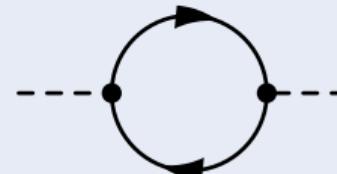
$$\sim +(0.5 \text{ TeV})^2$$

stop quark



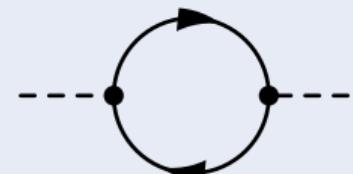
$$\sim +(2 \text{ TeV})^2$$

bosinos



$$\sim -(0.7 \text{ TeV})^2$$

Higgsinos



$$\sim -(0.5 \text{ TeV})^2$$

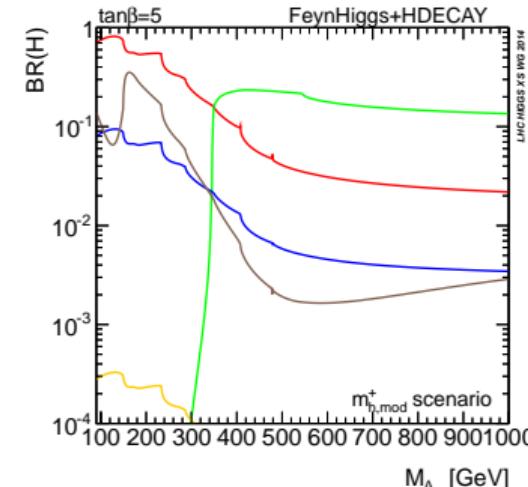
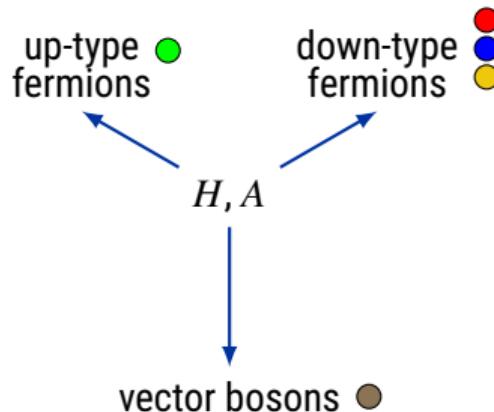
2 Higgs doublets models for supersymmetry

$$\langle \phi_1 \rangle_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_1 \end{pmatrix}, \quad \langle \phi_2 \rangle_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_2 e^{i\xi} \end{pmatrix}$$

$$\tan \beta = \frac{\langle \phi_2 \rangle_0}{\langle \phi_1 \rangle_0} = \frac{v_2}{v_1}$$

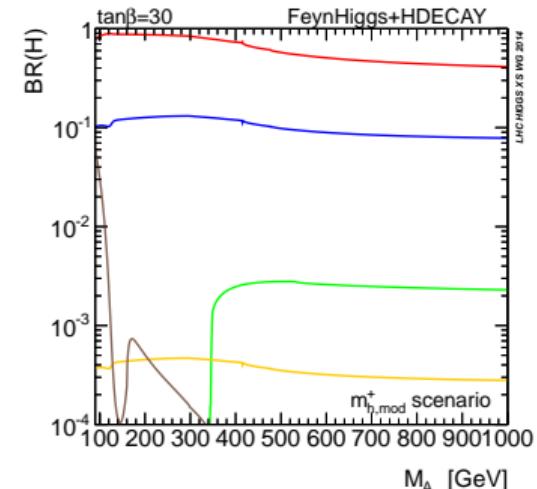
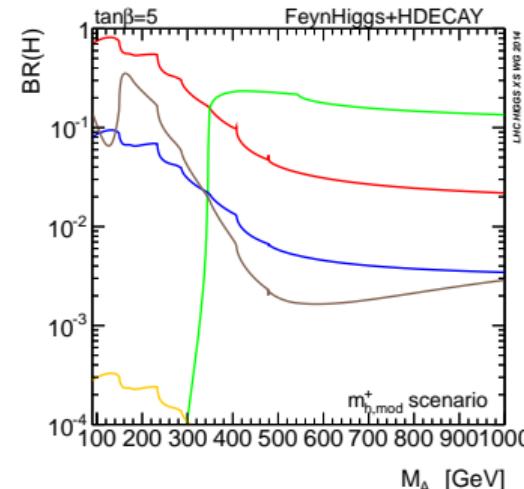
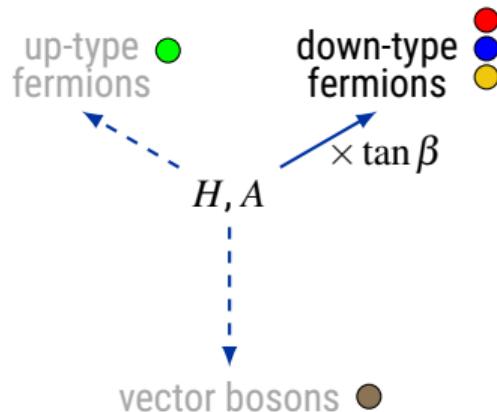
- ▷ J. F. Gunion et al. *The Higgs hunter's guide*. T. 80. Upton, NY: Brookhaven Nat. Lab., 1989. URL: <https://cds.cern.ch/record/425736>.

Why $H/A \rightarrow \tau\tau$?



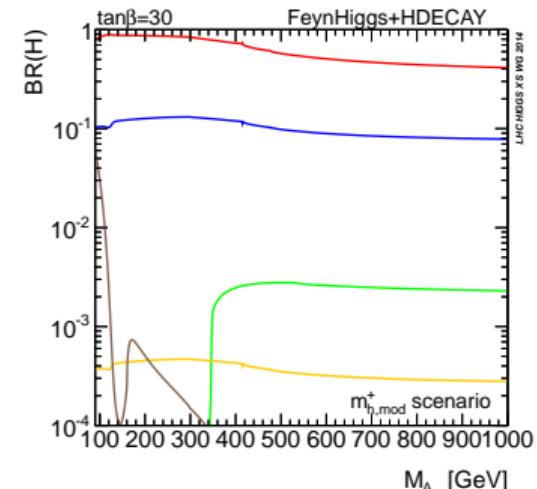
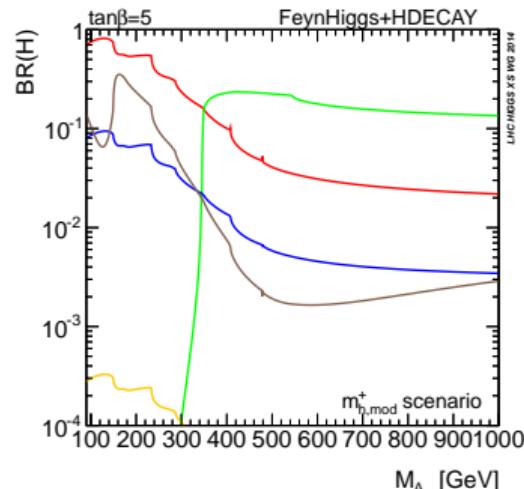
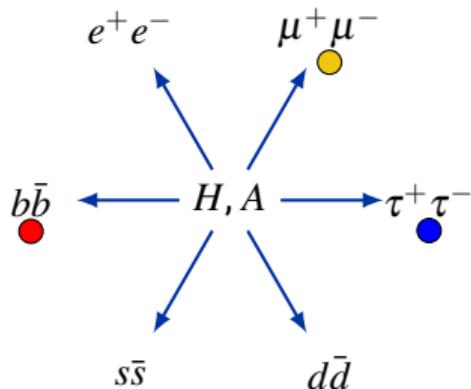
- ▷ The CMS Collaboration. "Search for additional neutral MSSM Higgs bosons in the $\tau\tau$ final state in pp collisions at $\sqrt{s} = 13$ TeV". *Journal of High Energy Physics* **09**.007 (Sept. 2018). DOI: 10.1007/JHEP09(2018)007.
- ▷ LHC Higgs Cross Section Working Group. "Higgs Properties". *Handbook of LHC Higgs Cross Sections. 3. CERN Yellow Reports: Monographs*. Geneva: CERN, 2013. URL: <https://cds.cern.ch/record/1559921>.

Why $H/A \rightarrow \tau\tau$? – enhanced and suppressed couplings



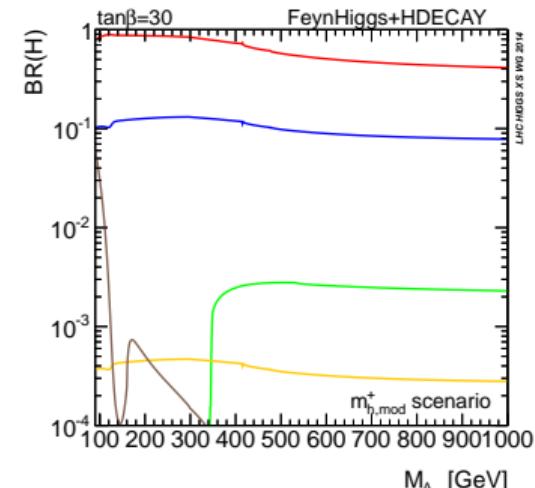
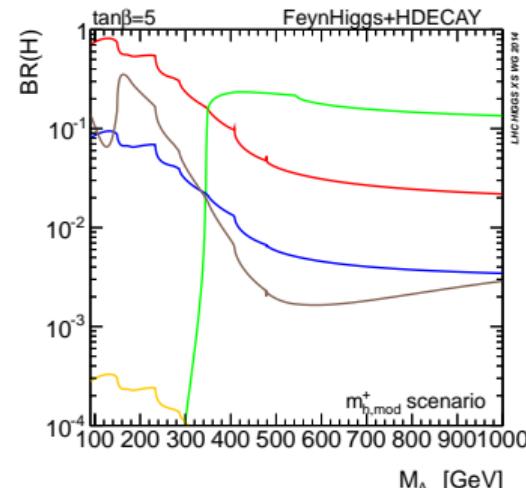
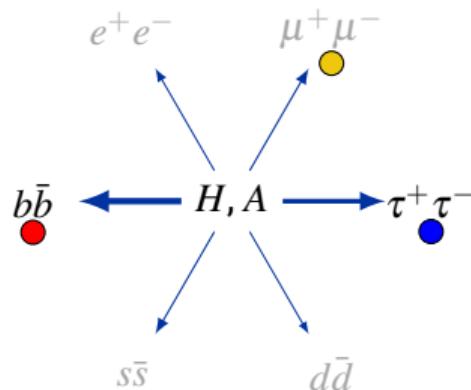
- ▷ The CMS Collaboration. "Search for additional neutral MSSM Higgs bosons in the $\tau\tau$ final state in pp collisions at $\sqrt{s} = 13$ TeV". *Journal of High Energy Physics* **09**.007 (Sept. 2018). DOI: 10.1007/JHEP09(2018)007.
- ▷ LHC Higgs Cross Section Working Group. "Higgs Properties". *Handbook of LHC Higgs Cross Sections. 3. CERN Yellow Reports: Monographs*. Geneva: CERN, 2013. URL: <https://cds.cern.ch/record/1559921>.

Why $H/A \rightarrow \tau\tau$?



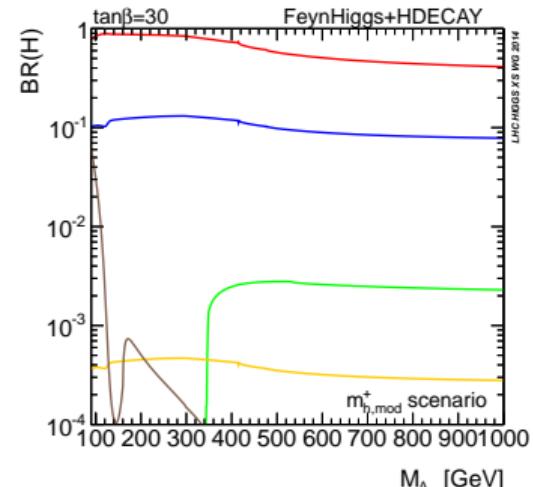
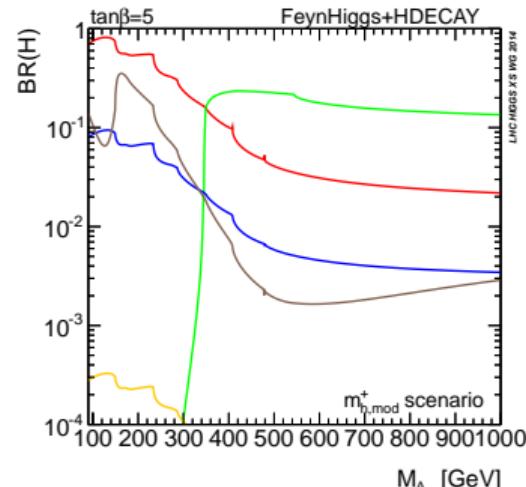
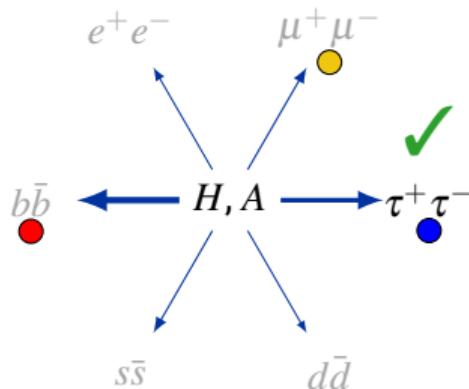
- ▷ The CMS Collaboration. "Search for additional neutral MSSM Higgs bosons in the $\tau\tau$ final state in pp collisions at $\sqrt{s} = 13$ TeV". *Journal of High Energy Physics* **09**.007 (Sept. 2018). DOI: 10.1007/JHEP09(2018)007.
- ▷ LHC Higgs Cross Section Working Group. "Higgs Properties". *Handbook of LHC Higgs Cross Sections*. 3. CERN Yellow Reports: Monographs. Geneva: CERN, 2013. URL: <https://cds.cern.ch/record/1559921>.

Why $H/A \rightarrow \tau\tau$? – Higgs couplings and particules masses



- ▷ The CMS Collaboration. "Search for additional neutral MSSM Higgs bosons in the $\tau\tau$ final state in pp collisions at $\sqrt{s} = 13$ TeV". *Journal of High Energy Physics* **09**.007 (Sept. 2018). DOI: 10.1007/JHEP09(2018)007.
- ▷ LHC Higgs Cross Section Working Group. "Higgs Properties". *Handbook of LHC Higgs Cross Sections. 3. CERN Yellow Reports: Monographs*. Geneva: CERN, 2013. URL: <https://cds.cern.ch/record/1559921>.

Why $H/A \rightarrow \tau\tau$? – avoid hadronic background



- ▷ The CMS Collaboration. "Search for additional neutral MSSM Higgs bosons in the $\tau\tau$ final state in pp collisions at $\sqrt{s} = 13$ TeV". *Journal of High Energy Physics* **09**.007 (Sept. 2018). DOI: 10.1007/JHEP09(2018)007.
- ▷ LHC Higgs Cross Section Working Group. "Higgs Properties". *Handbook of LHC Higgs Cross Sections*. 3. CERN Yellow Reports: Monographs. Geneva: CERN, 2013. URL: <https://cds.cern.ch/record/1559921>.

Using histograms

- ▶ Find a discriminating variable:
 - ▷ for uncorrelated τ pairs, it's random
 - ▷ for τ pairs coming from a particle (Higgs?), not random.
- ▶ For one τ pair only, impossible to say!
- ▶ With many events, a difference may show up.

The rabbit analogy

- ▶ What the theorists say:
 - ▷ There is a white rabbit that once lived in a casino.
 - ▷ The rabbit loved watching people playing dices.
 - ▷ He was happy when the result of dice was 4.
 - ▷ So when he sees a dice, he turns it so that the result is 4.
 - ▷ But this rabbit is very shy and nobody has seen him since the casino closure.
- ▶ The only way to know if he's here is to throw a dice and come back to see the result.
 - ▷ If the rabbit has been here, the dice will show a 4!

The rabbit analogy

- ▶ Dice results: 4

The rabbit analogy

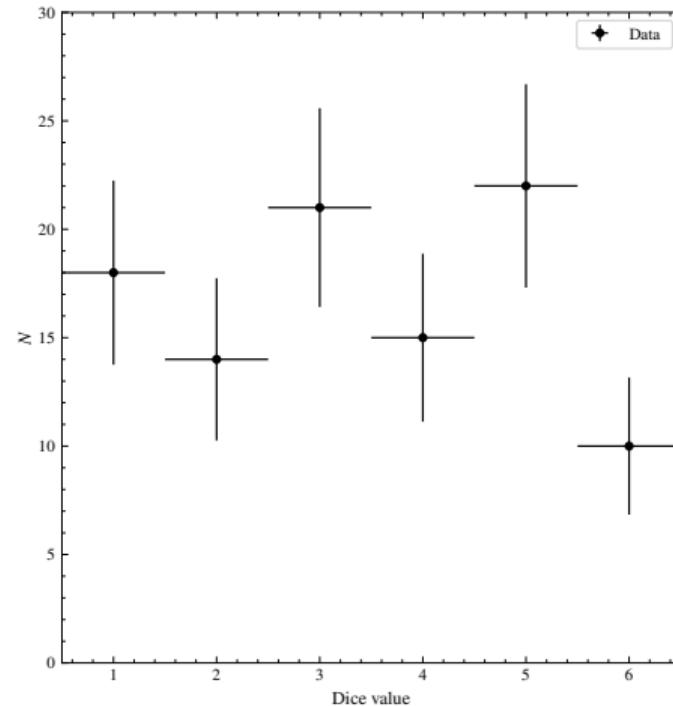
- ▶ Dice results: 4, 2

The rabbit analogy

- ▶ Dice results: 4, 2, 4, 1, 3, 2, 5, 1, 1, 6...

The rabbit analogy

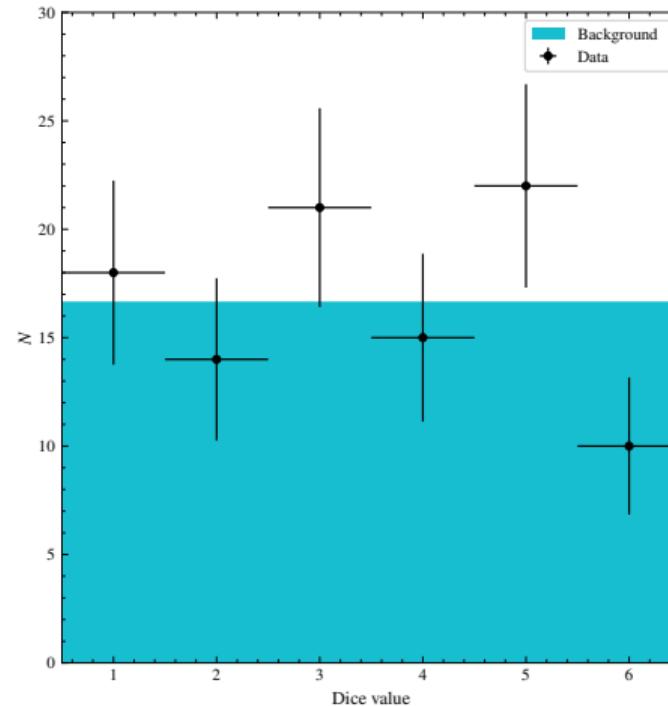
On 100 days →



Not really conclusive...

The rabbit analogy

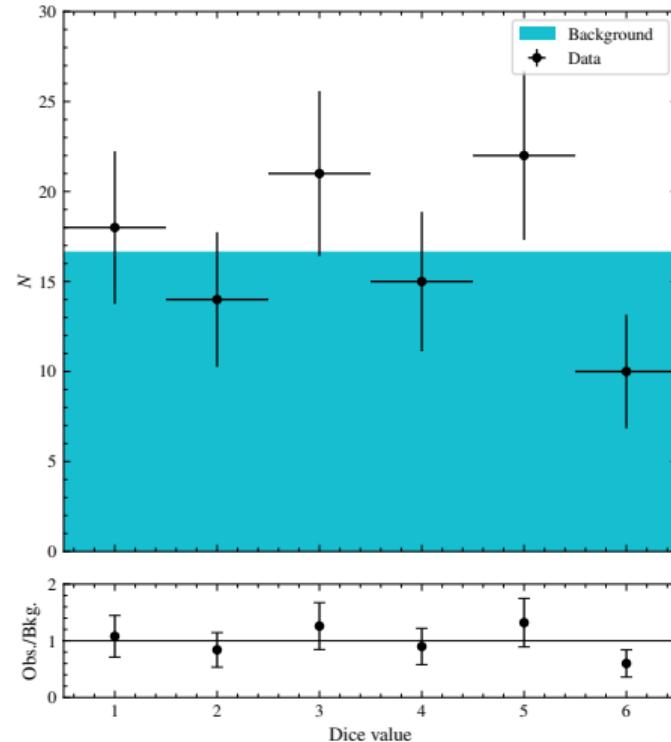
On 100 days →



Comparing with predictions!

The rabbit analogy

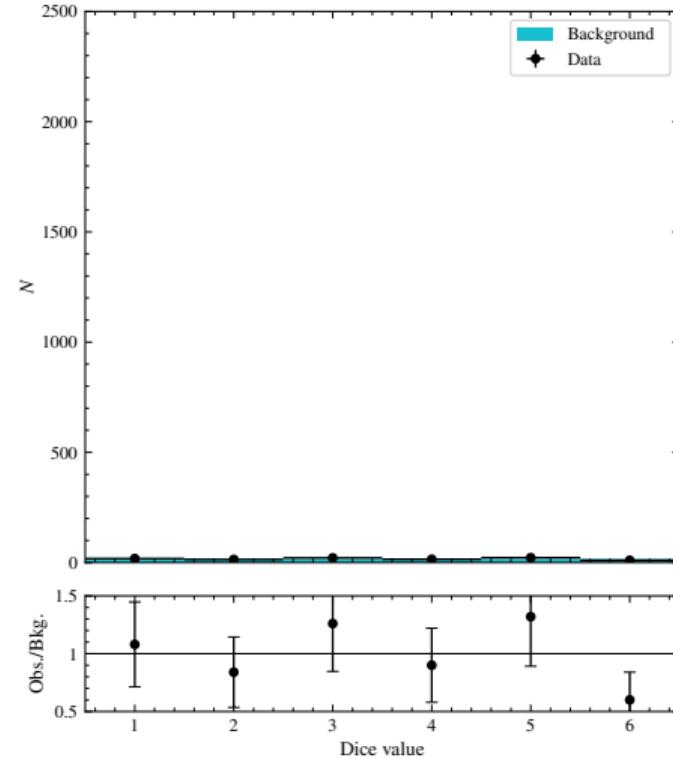
On 100 days →



Also add ratio plot:
observed / predictions

The rabbit analogy

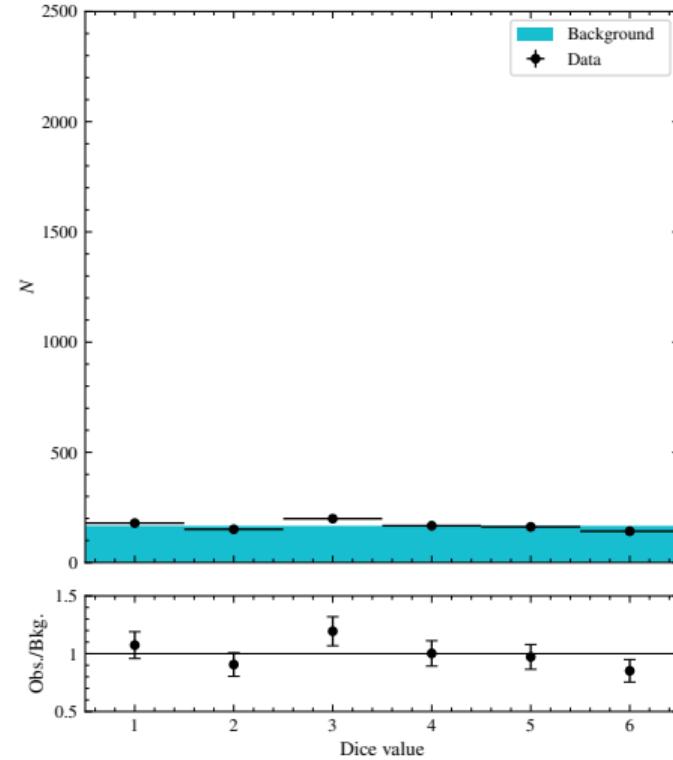
On 100 days →



Fill up with more data!

The rabbit analogy

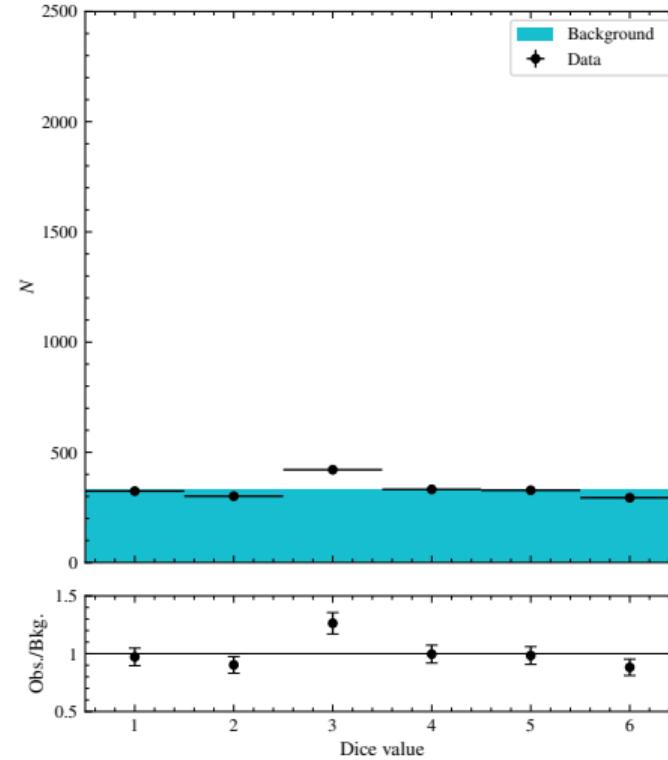
On 1000 days →



Fill up with more data!

The rabbit analogy

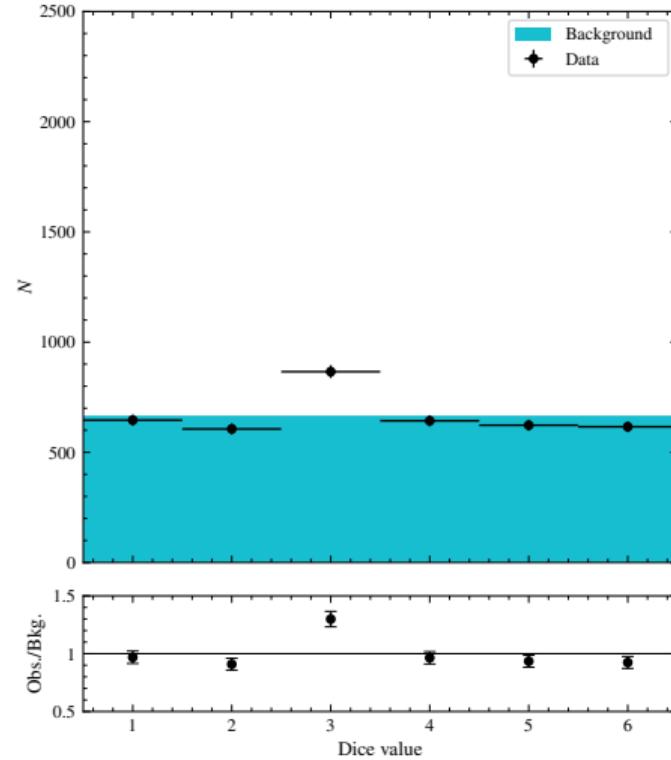
On 2000 days →



Fill up with more data!

The rabbit analogy

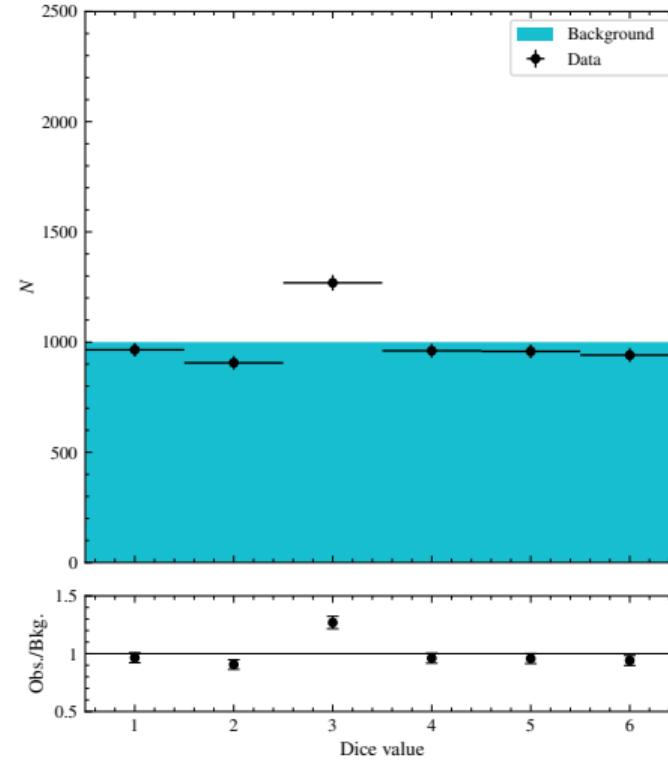
On 4000 days →



Fill up with more data!

The rabbit analogy

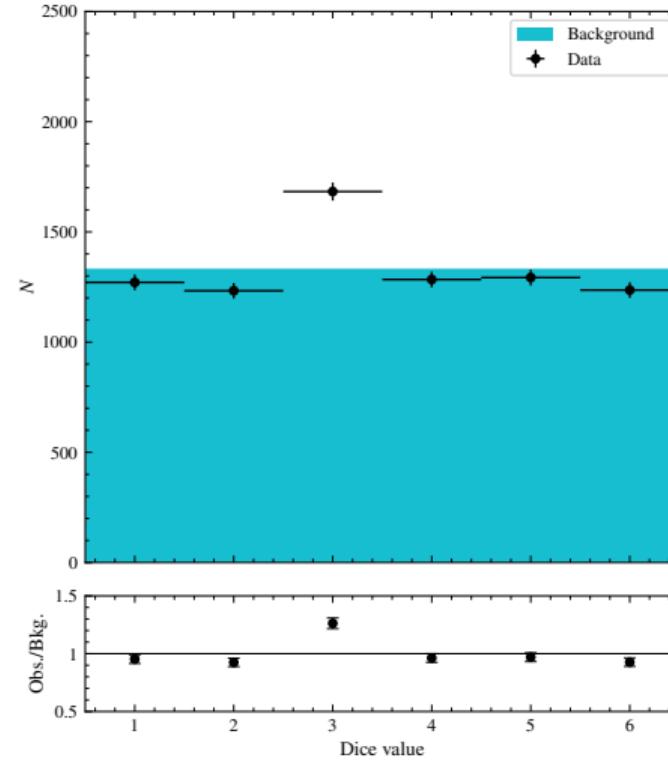
On 6000 days →



Fill up with more data!

The rabbit analogy

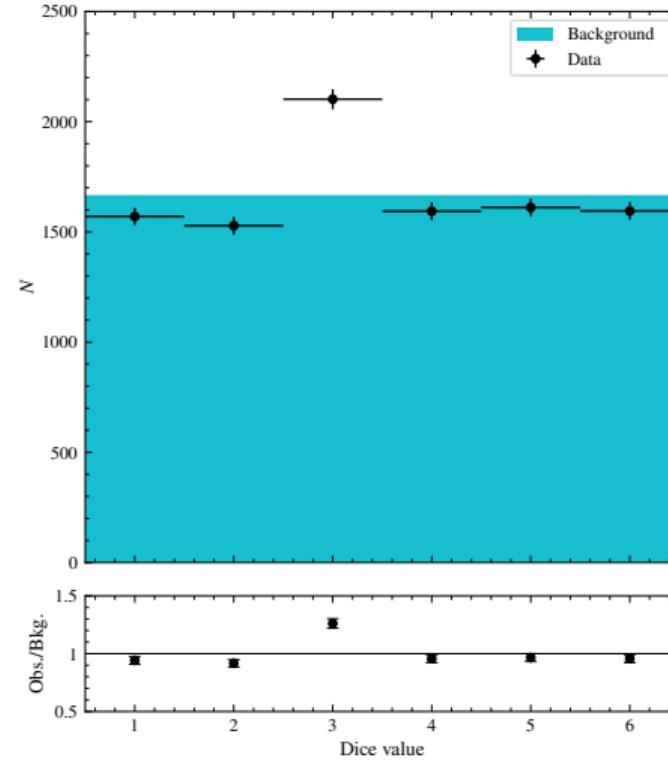
On 8000 days →



- ▷ CERN. *The Higgs Discovery Explained – Ep. 3/3*. URL: <https://www.youtube.com/watch?v=8-WFBGCvv-w>.

The rabbit analogy

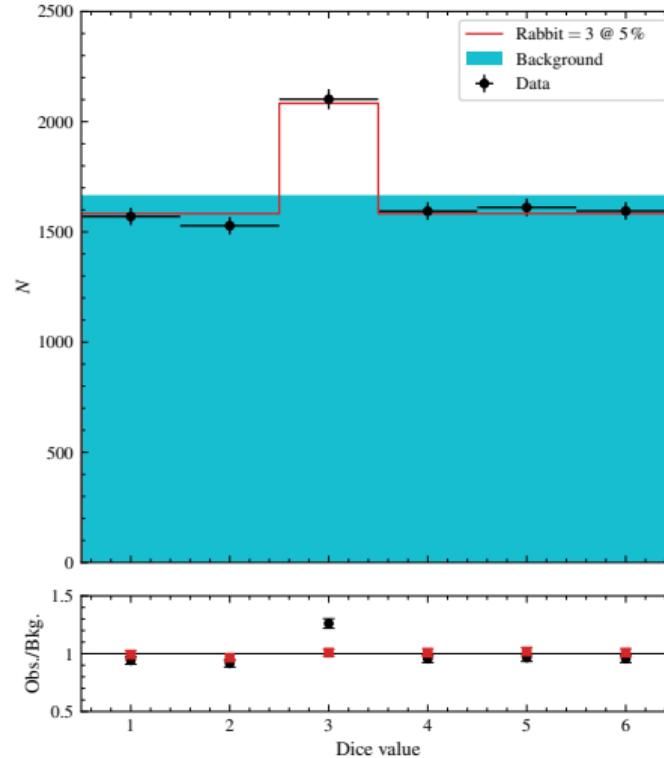
On 10 000 days →



Fill up with more data!

The rabbit analogy

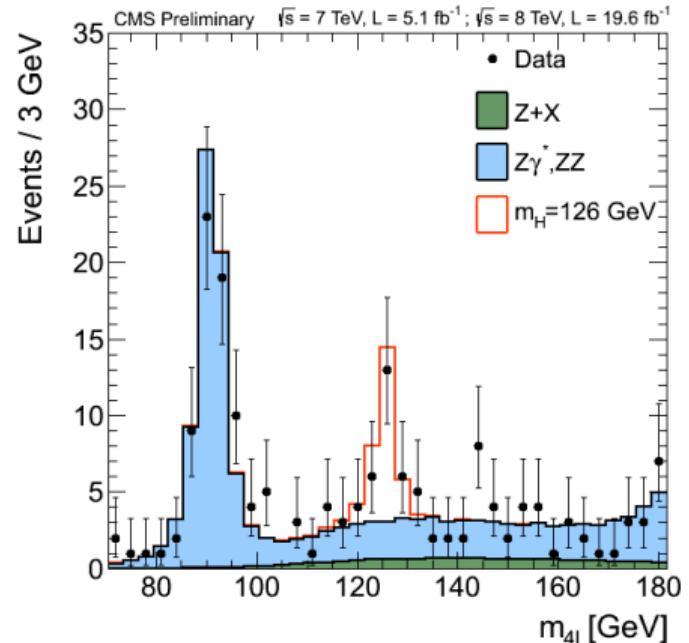
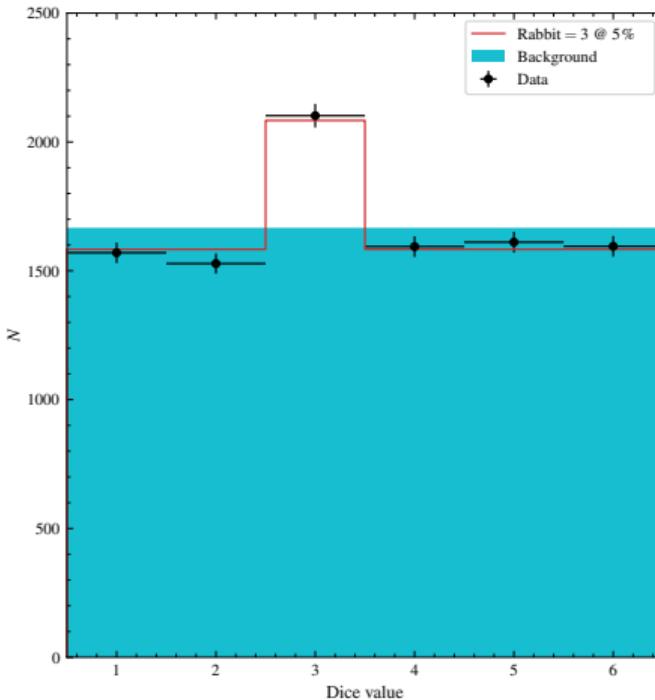
On 10 000 days →



In red, hypothesis of the rabbit with 3 as preferred result (instead of 4!), with a probability to show up of 5 %.

The rabbit analogy

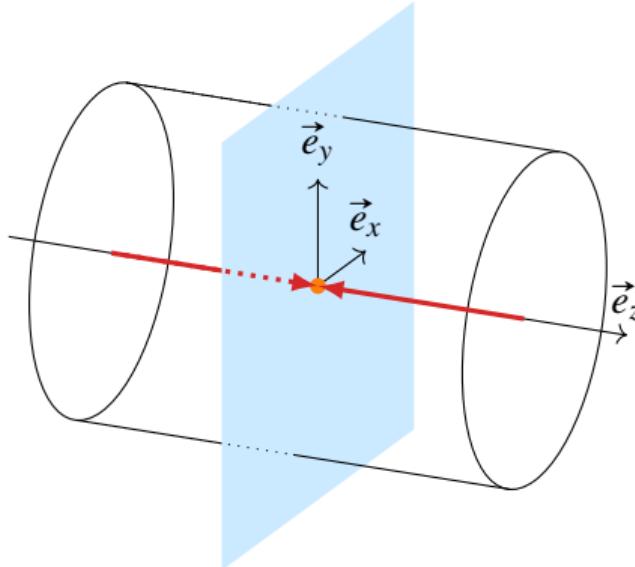
| Search for | the rabbit | the Higgs |
|-------------------------|------------------|--|
| Observed data | dice values | $p\bar{p}$ collisions outgoing particles |
| Discriminating variable | dice value | invariant or transverse mass |
| Backgrounds predictions | random dice | Standard Model processes |
| Amount of data | number of days | luminosity |
| Signal probability | rabbit's shyness | process cross-section |



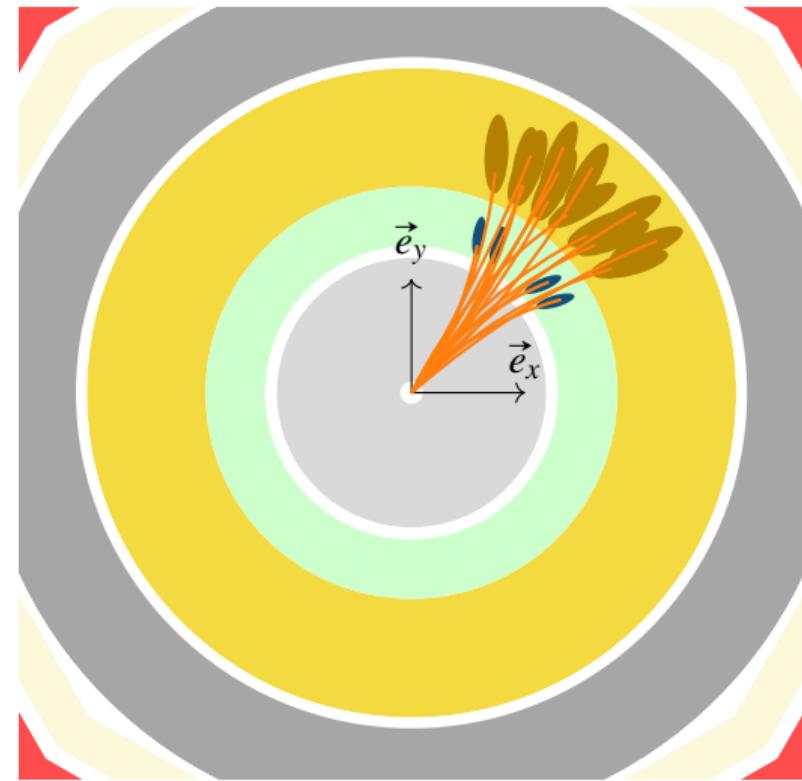
- ▷ The CMS Collaboration. "Observation of a new boson at a mass of 125 GeV with the CMS experiment at the LHC". *Physics Letters B* **716**.1 (2012), pp. 30–61. DOI: [10.1016/j.physletb.2012.08.021](https://doi.org/10.1016/j.physletb.2012.08.021).
- ▷ The CMS Collaboration. *Properties of the Higgs-like boson in the decay $H \rightarrow ZZ \rightarrow 4\ell$ in pp collisions at $\sqrt{s} = 7$ and 8 TeV*. URL: <https://twiki.cern.ch/twiki/bin/view/CMSPublic/Hig13002TWiki>.

Conserved momentum and neutrinos: missing transverse energy (MET)

(\vec{e}_x, \vec{e}_y) = transverse plane ($\eta = 0$)

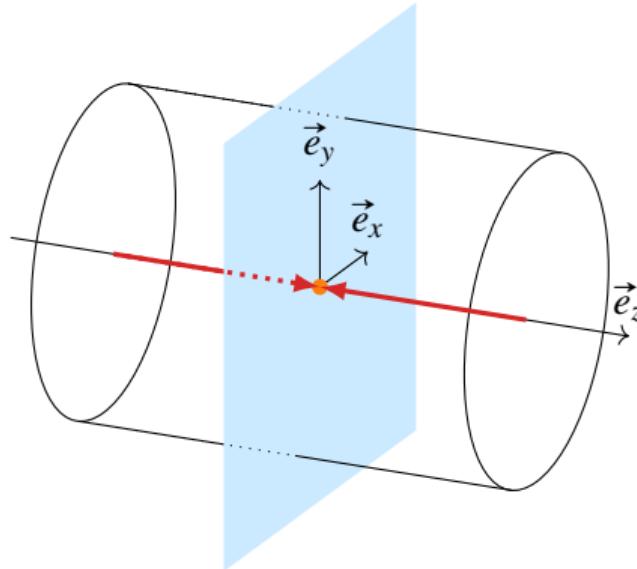


$$\sum_{\text{final state}} \vec{p}_{\text{T}} = \sum_{\text{initial state}} \vec{p}_{\text{T}} = \vec{0}$$

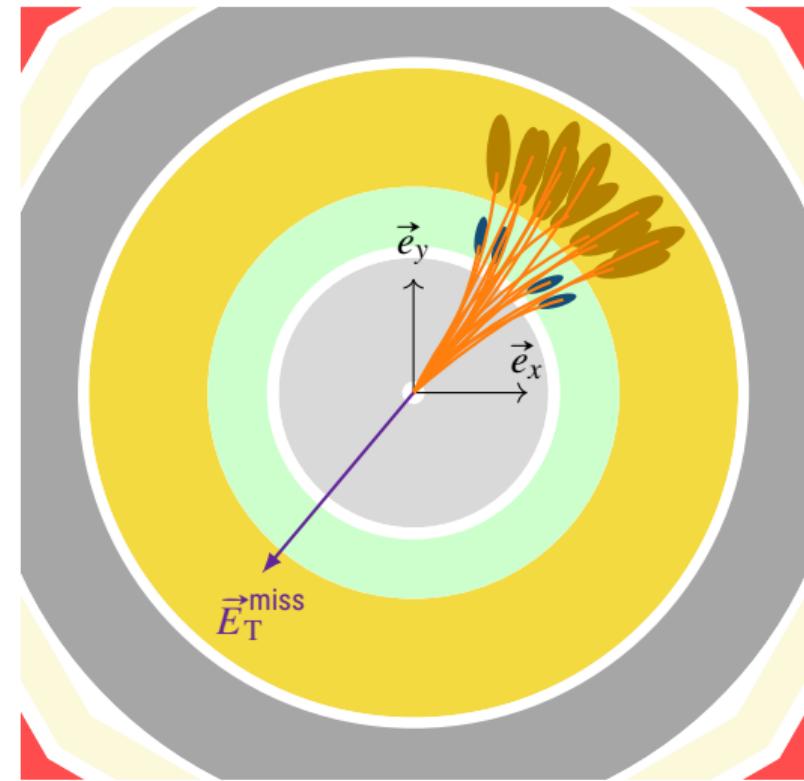


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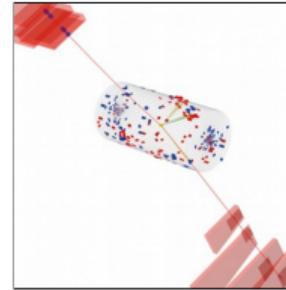


$$\sum_{\text{final state}} \vec{p}_{\text{T}} = \sum_{\text{initial state}} \vec{p}_{\text{T}} = \vec{0} \Rightarrow \vec{E}_{\text{T}}^{\text{miss}} = - \sum_{\text{visible particles}} \vec{p}_{\text{T}}$$



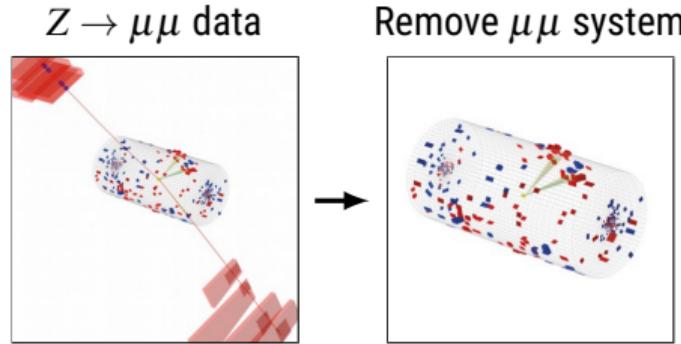
Embedded events & genuine τ leptons

$Z \rightarrow \mu\mu$ data



- ▷ The CMS Collaboration. "An embedding technique to determine $\tau\tau$ backgrounds in proton-proton collision data". *Journal of Instrumentation* 14.06 (June 2019). DOI: 10.1088/1748-0221/14/06/p06032.

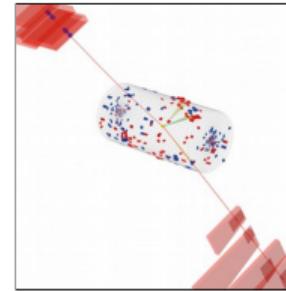
Embedded events & genuine τ leptons



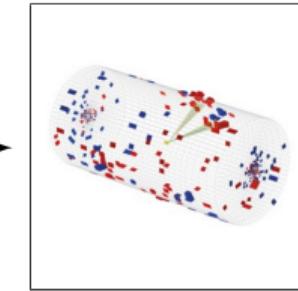
- ▷ The CMS Collaboration. "An embedding technique to determine $\tau\tau$ backgrounds in proton-proton collision data". *Journal of Instrumentation* 14.06 (June 2019). DOI: 10.1088/1748-0221/14/06/p06032.

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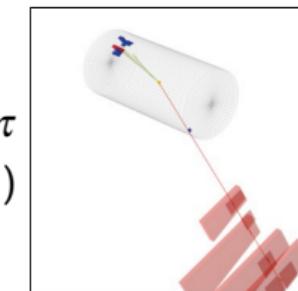
$Z \rightarrow \mu\mu$ data



Remove $\mu\mu$ system

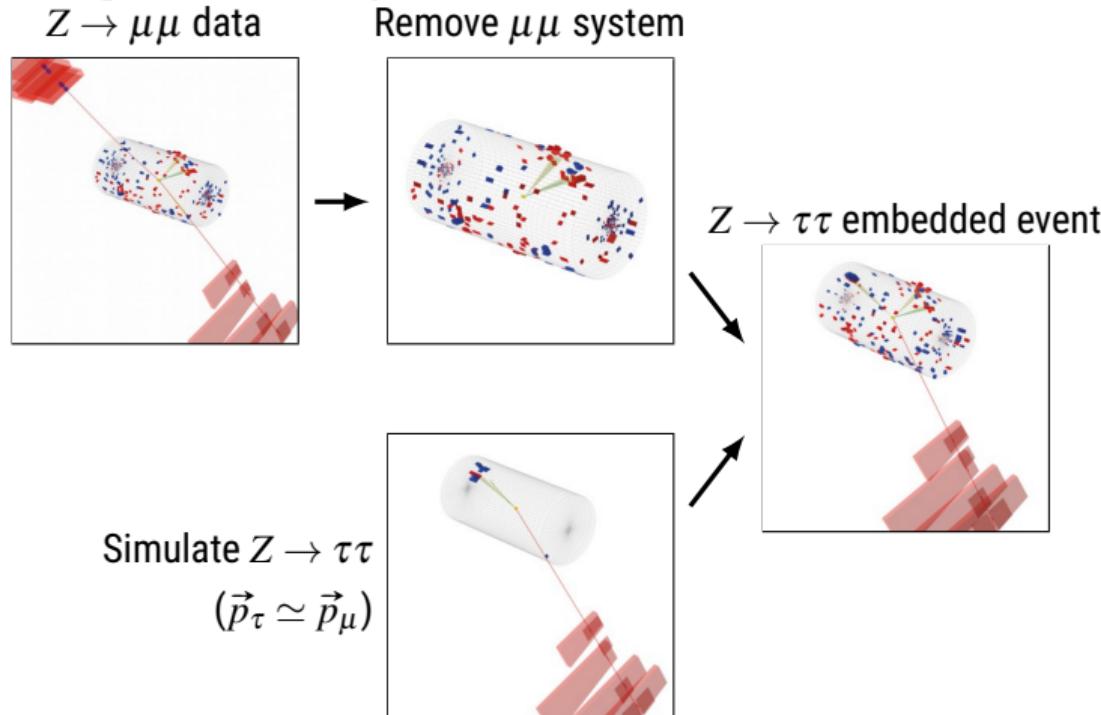


Simulate $Z \rightarrow \tau\tau$
 $(\vec{p}_\tau \simeq \vec{p}_\mu)$



- ▷ The CMS Collaboration. "An embedding technique to determine $\tau\tau$ backgrounds in proton-proton collision data". *Journal of Instrumentation* 14.06 (June 2019). DOI: 10.1088/1748-0221/14/06/p06032.

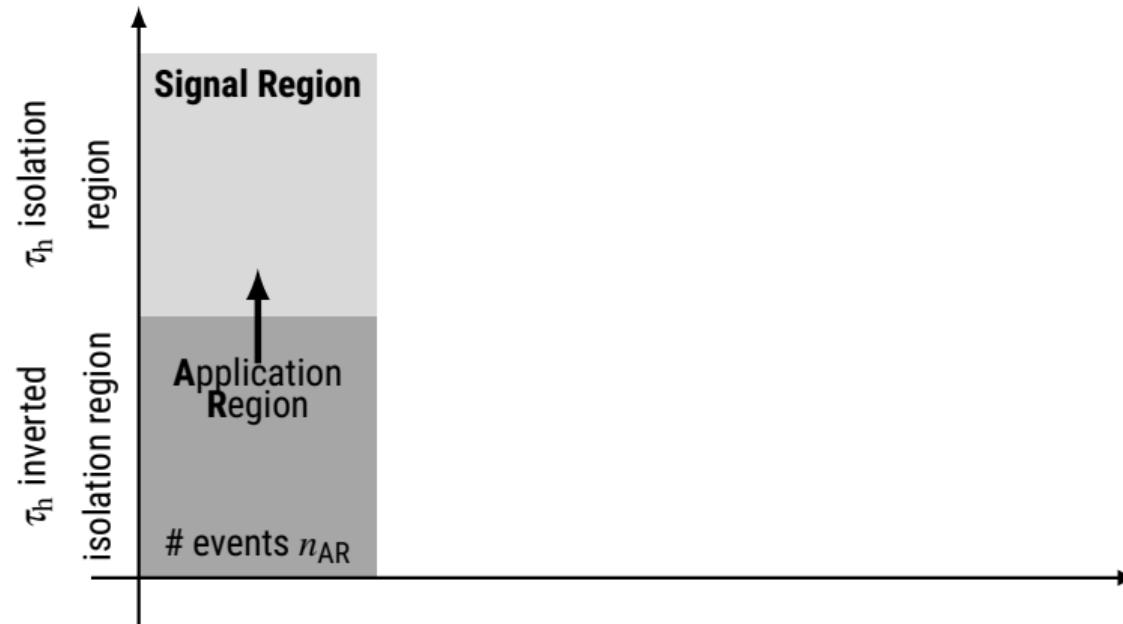
Embedded events & genuine τ leptons



▷ The CMS Collaboration. "An embedding technique to determine $\tau\tau$ backgrounds in proton-proton collision data". *Journal of Instrumentation* 14.06 (June 2019). DOI: 10.1088/1748-0221/14/06/p06032.

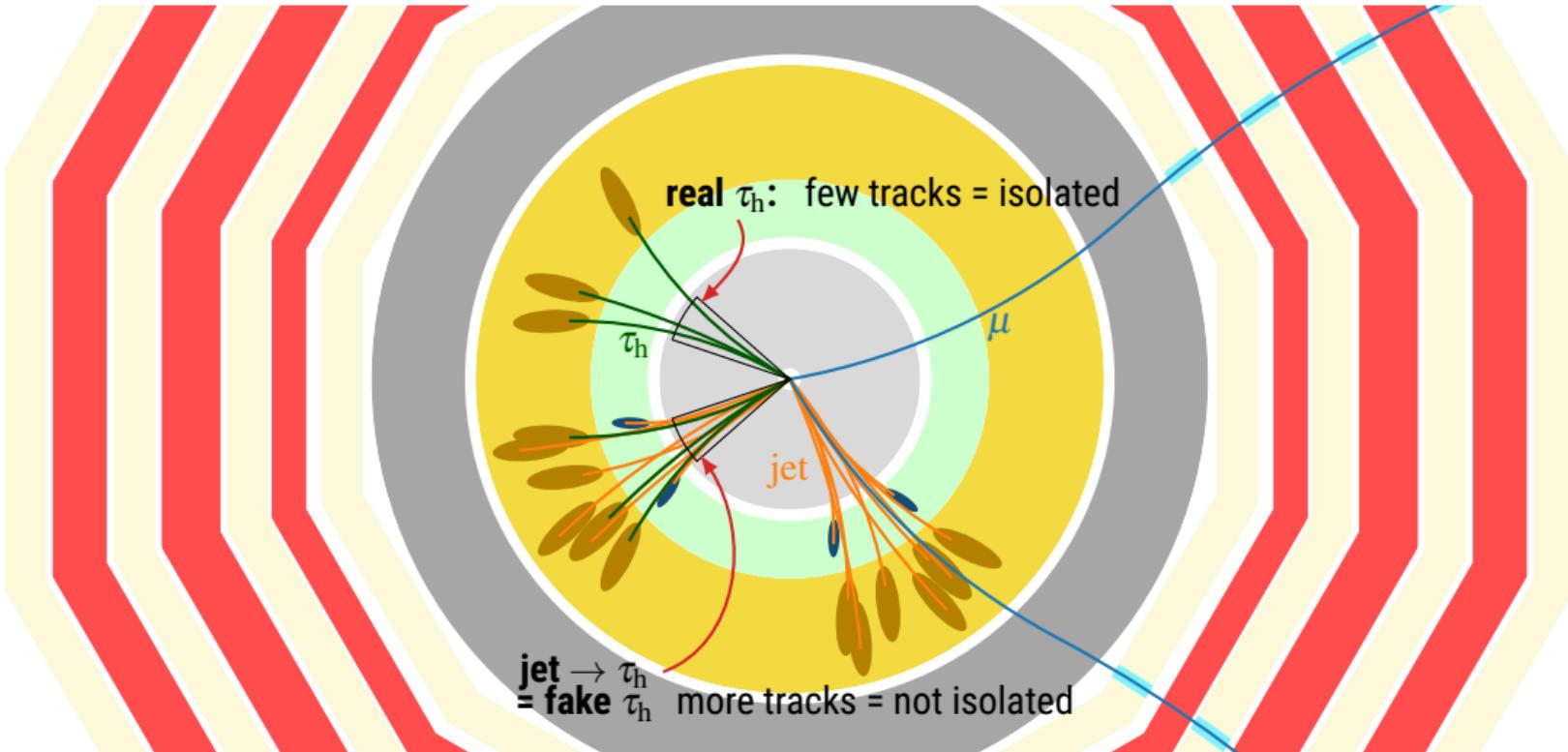
The Fake Factor method & jets faking τ_h

- ▶ How many events contain misidentified τ_h (fake taus) in the Signal Region (SR)?



▷ J. Andrejkovic & J. Bechtel. "Data-driven background estimation of fake-tau backgrounds in di-tau final states with the full Run-II dataset". *CMS analysis Note* (June 2020). URL: https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170.

Particles isolation – qualitatively



The Fake Factor method: determination regions definitions

QCD multijet ($\tau_h\tau_h$, $\mu\tau_h$ and $e\tau_h$ channels)

Same as SR, except:

- same signs for L_1 and L_2 electric charges (opposite signs in the SR).

▷ J. Andrejkovic & J. Bechtel. "Data-driven background estimation of fake-tau backgrounds in di-tau final states with the full Run-II dataset". *CMS analysis Note* (June 2020). URL: https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170.

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Same as SR, except:

- same signs for L_1 and L_2 electric charges (opposite signs in the SR).

$W + \text{jets}$ ($\mu \tau_h$ and $e \tau_h$ channels)

Same as SR, except:

- transverse mass $m_T^{(\ell)} > 70 \text{ GeV}$ ($m_T^{(\ell)} < 70 \text{ GeV}$ in the SR);
- no b -jet (allowed in the SR).

▷ J. Andrejkovic & J. Bechtel. "Data-driven background estimation of fake-tau backgrounds in di-tau final states with the full Run-II dataset". *CMS analysis Note* (June 2020). URL: https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%5C20AN-2019/170.

The Fake Factor method: determination regions definitions

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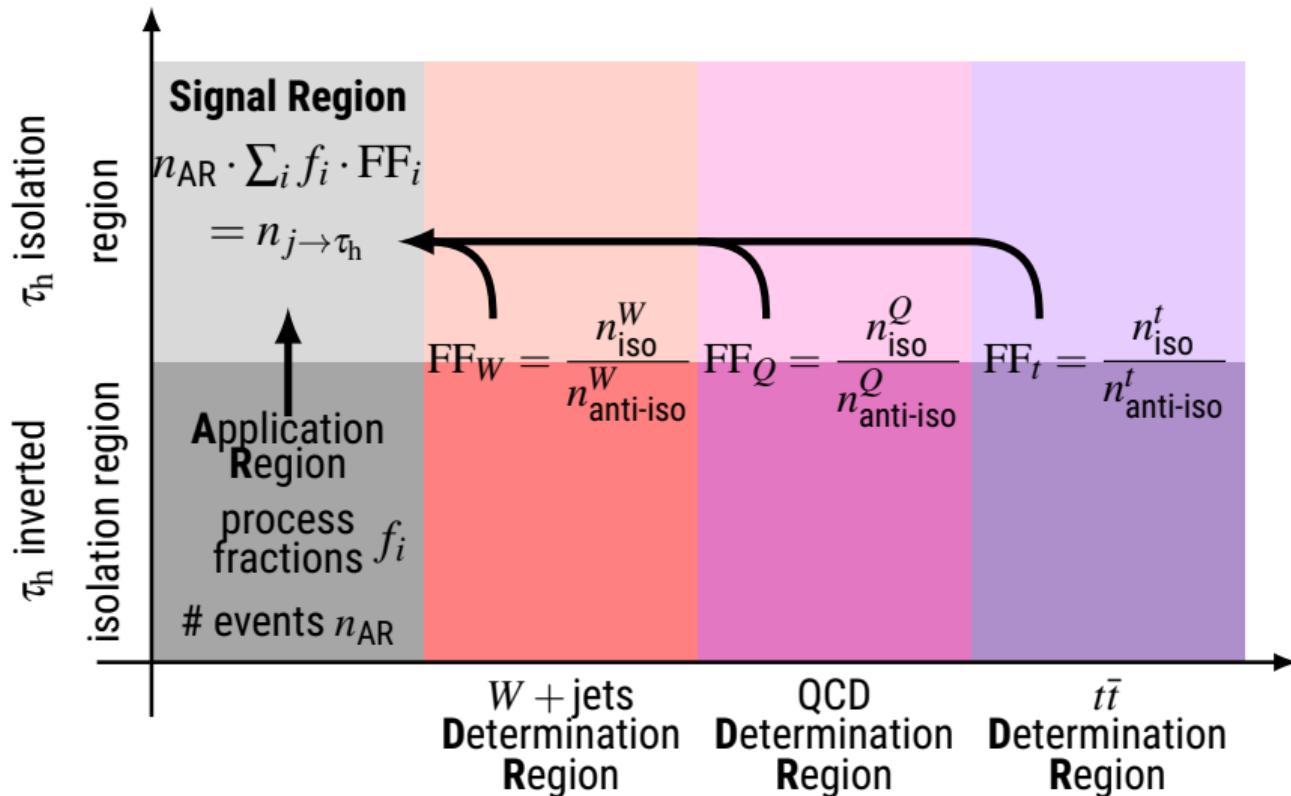
Same as SR, except:

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- no b -jet (allowed in the SR).

$t\bar{t}$ ($\mu \tau_h$ and $e \tau_h$ channels)

Estimation from simulated samples, same selection as in SR.

▷ J. Andrejkovic & J. Bechtel. "Data-driven background estimation of fake-tau backgrounds in di-tau final states with the full Run-II dataset". [CMS analysis Note](#) (June 2020). URL: https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170.

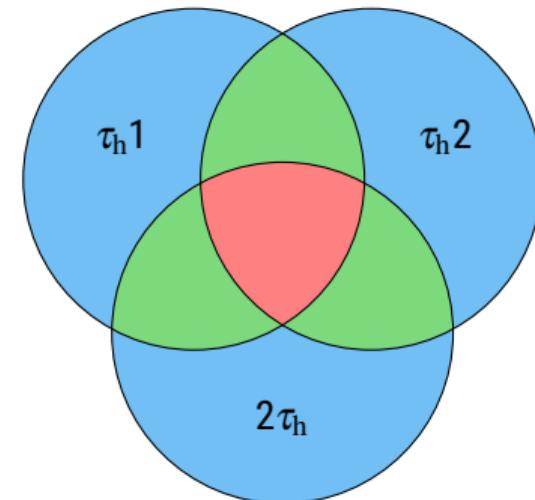


▷ J. Andrejkovic & J. Bechtel. "Data-driven background estimation of fake-tau backgrounds in di-tau final states with the full Run-II dataset". *CMS analysis Note* (June 2020). URL: https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2019/170.

Triggers in the $\tau_h \tau_h$ channel

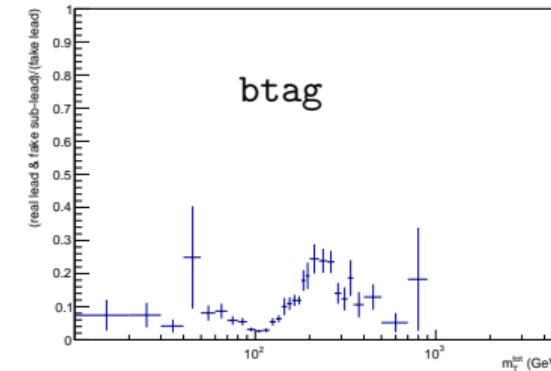
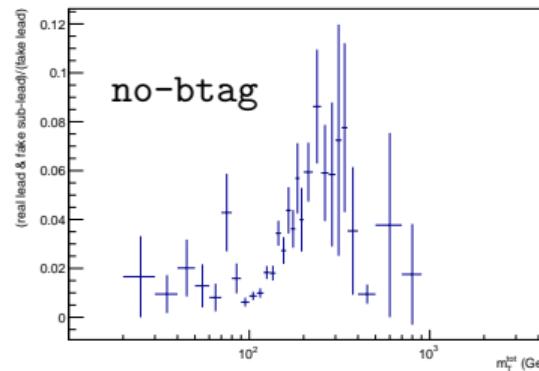
- ▶ In the manuscript: page 118, formula (4.5)

$$\begin{aligned}\varepsilon = & \varepsilon(2\tau_h) + \varepsilon(\tau_h 1) + \varepsilon(\tau_h 2) \\ & - \varepsilon(2\tau_h + \tau_h 1) - \varepsilon(2\tau_h + \tau_h 2) - \varepsilon(\tau_h 1 + \tau_h 2) \\ & + \varepsilon(2\tau_h + \tau_h 1 + \tau_h 2)\end{aligned}$$



Fake factors for subleading τ_h

- ▶ The $\tau_h \tau_h$ fake factors are measured for the leading τ_h candidate only.
 - ▷ The subleading one can be either a genuine or fake τ_h .
- ▶ At this point, underestimation of events in which only the subleading τ_h is a fake.
 - ▷ Adding these back using MC.
- ▶ Small fraction of fakes < 10% in no-btag, < 30% in btag (due to $t\bar{t}$):



- ▷ J. Andrejkovic et al. "BSM $H \rightarrow \tau\tau$ analysis on full Run 2 CMS data at $\sqrt{s} = 13$ TeV". *CMS analysis Note* (2021). URL:
https://cms.cern.ch/iCMS/jsp/db_notes/noteInfo.jsp?cmsnoteid=CMS%20AN-2020/218.

CP violation in the Higgs sector

$$\mathcal{L}_{\text{Yukawa}} = -\frac{m\sqrt{2}}{v} \left(\cos(\varphi) \bar{\psi} \Phi \psi + \sin(\varphi) \bar{\psi} i\gamma^5 \Phi \psi \right)$$

- ▶ No violation case:

| Mix. angle φ | State | J^{CP} | Type | Example |
|----------------------|------------|----------|---------------|----------------------------|
| 0 | CP -even | 0^{++} | Scalar | SM Higgs, MSSM h and H |
| $\pi/2$ | CP -odd | 0^{+-} | Pseudo-scalar | MSSM A |

- ▶ What if $\varphi \notin \{0, \pi/2\}$?

▷ Mass eigenstates $\neq CP$ eigenstates \Rightarrow mixing!

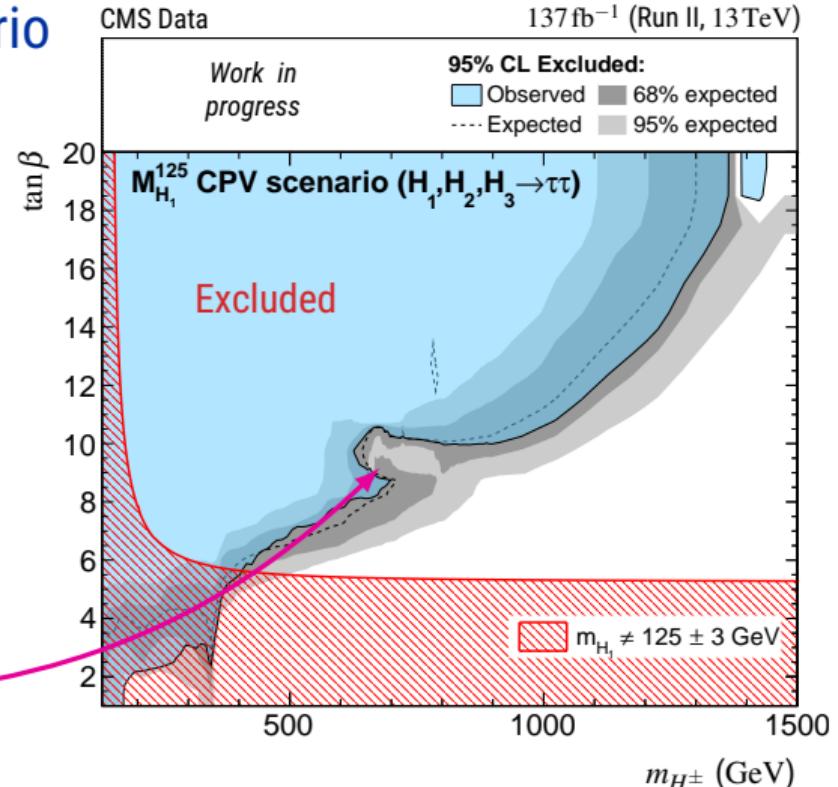
CP eigenstates: h, H, A
 Mass eigenstates: H_1, H_2, H_3

CP violation and the $M_{H_1}^{125}$ (CPV) scenario

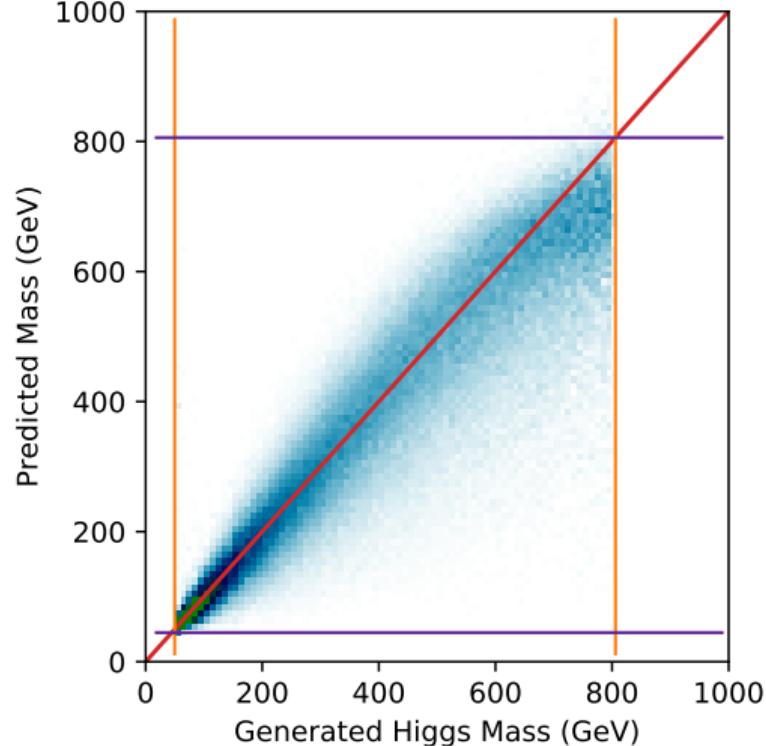
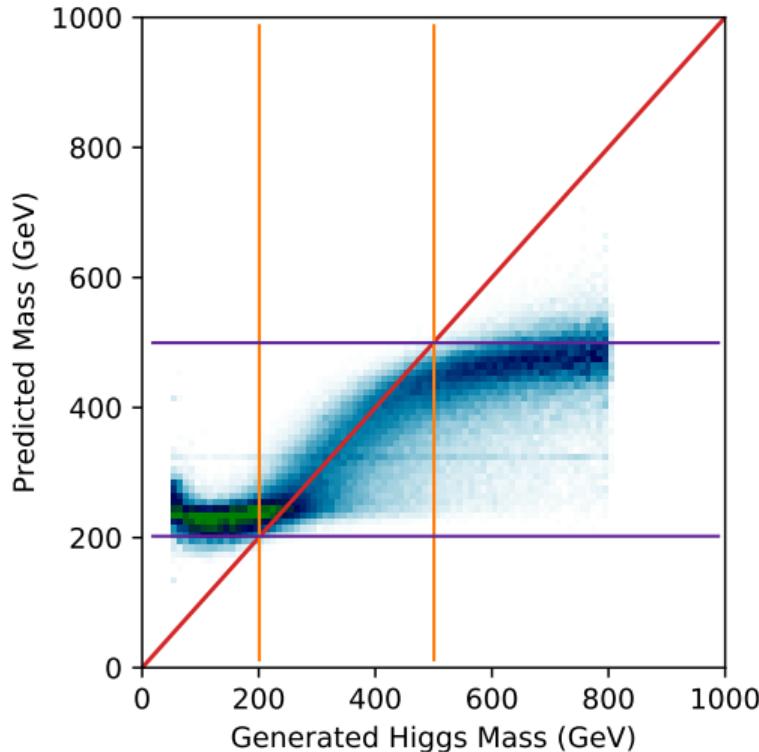
- ▶ Fixed higher-order MSSM parameter, in particular the trilinear Higgs-stop coupling constant:

$$|A_t| = \mu \cot \beta + 2.8 \text{ TeV}, \quad \phi_{A_t} = \frac{2\pi}{15}$$

- ▶ m_A is replaced by m_{H^\pm} as first-order parameter.
- ▶ H_1 should be the observed Higgs, interpreted now as the SM Higgs.
- ▶ H_2 and H_3 are additionnal wrt. the SM.
- ▶ Interferences lowering sensitivity!



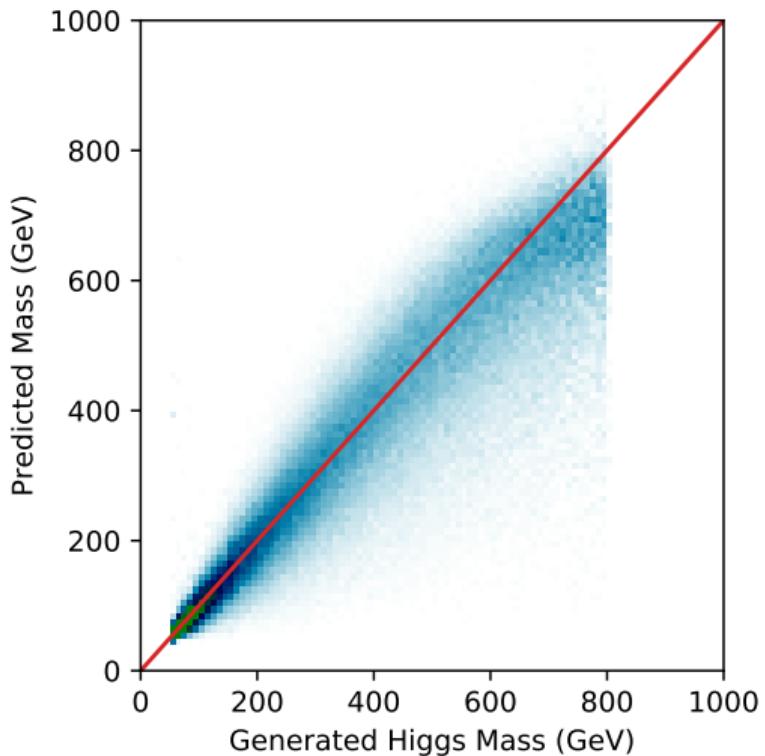
- ▶ E. Bagnaschi et al. "MSSM Higgs boson searches at the LHC: benchmark scenarios for Run 2 and beyond". *The European Physical Journal C* **79**.7 (July 2019). DOI: [10.1140/epjc/s10052-019-7114-8](https://doi.org/10.1140/epjc/s10052-019-7114-8).

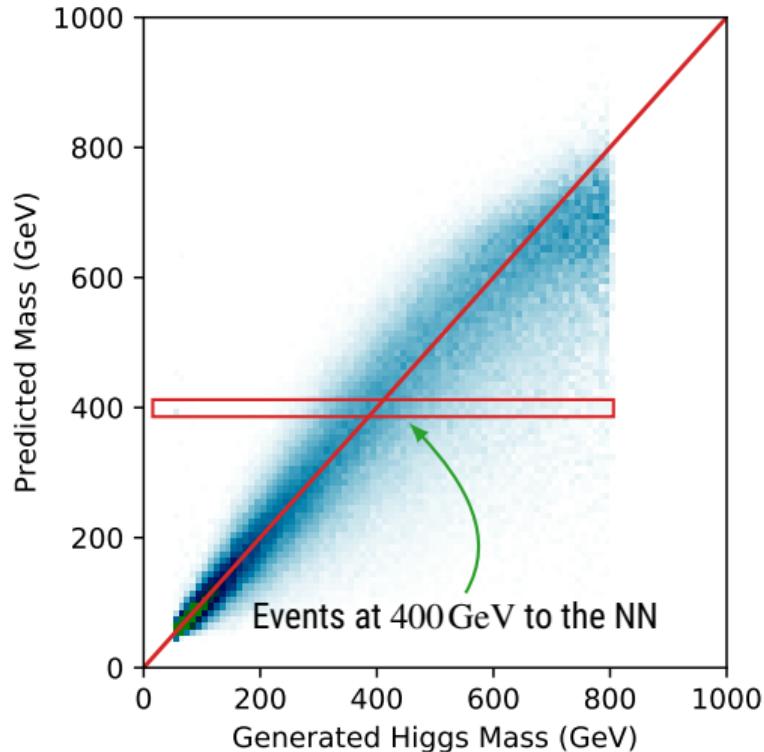
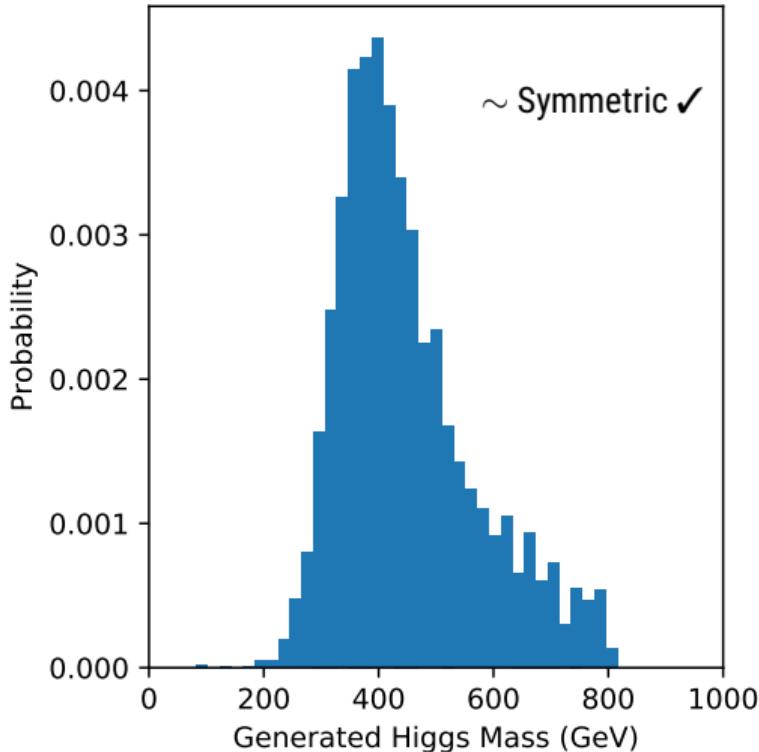


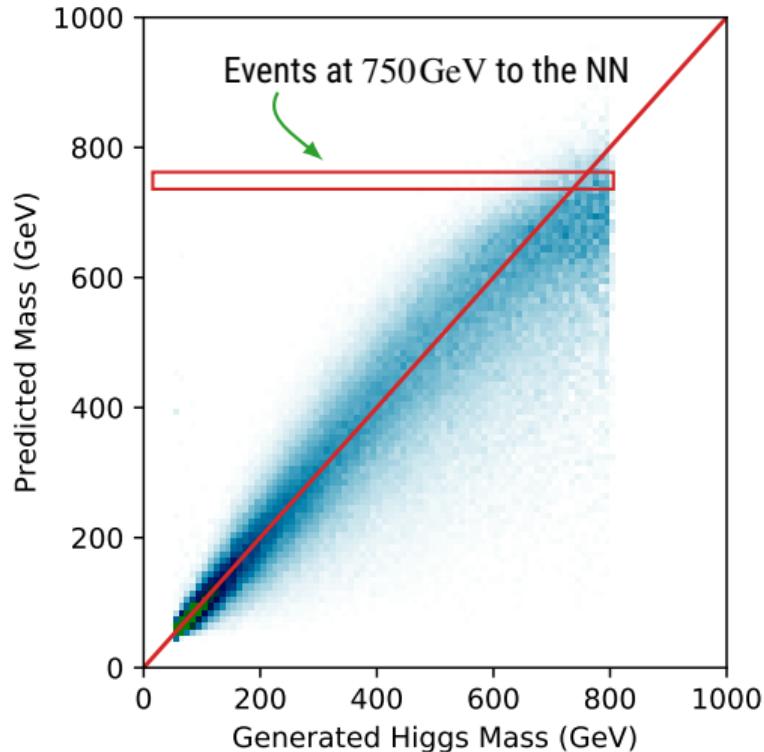
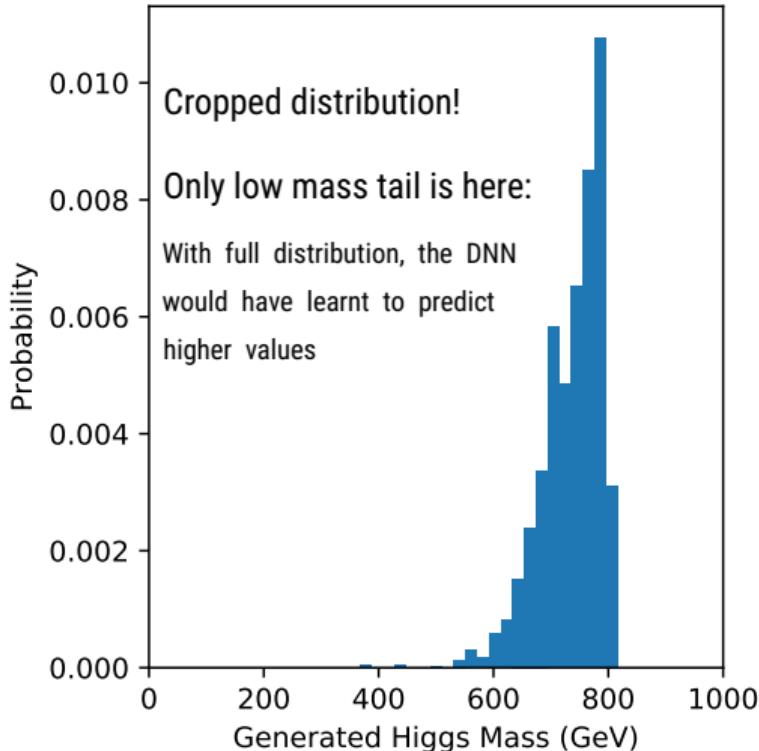
▶ How to cope with the boundaries?

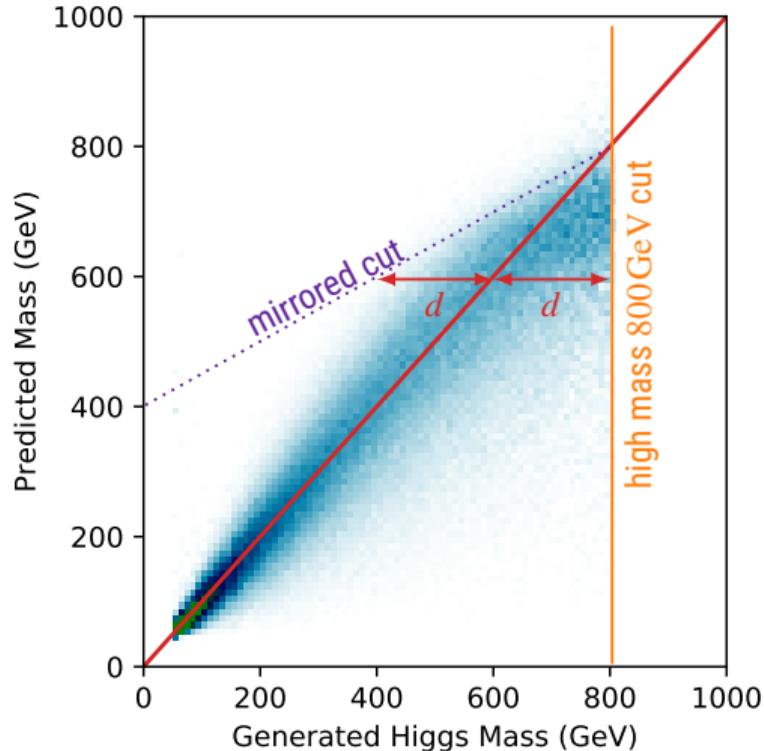
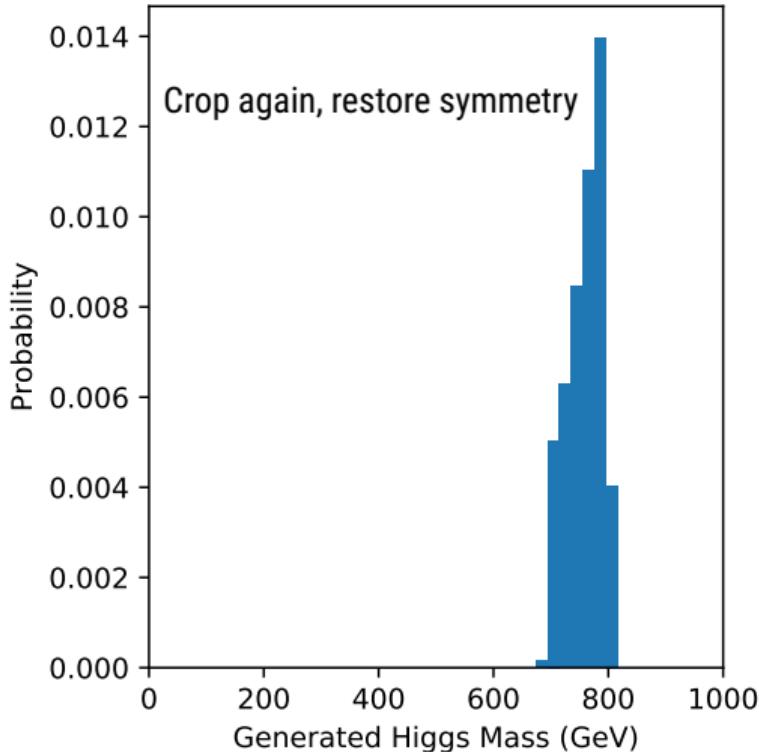
- ▷ Bias to be balanced,
- ▷ Extend the mass range?
 - ▷ Would be nice!
 - ▷ Not always feasible...

▶ Every horizontal slice is one predicted value: ▷ "Same family" of events to the NN.



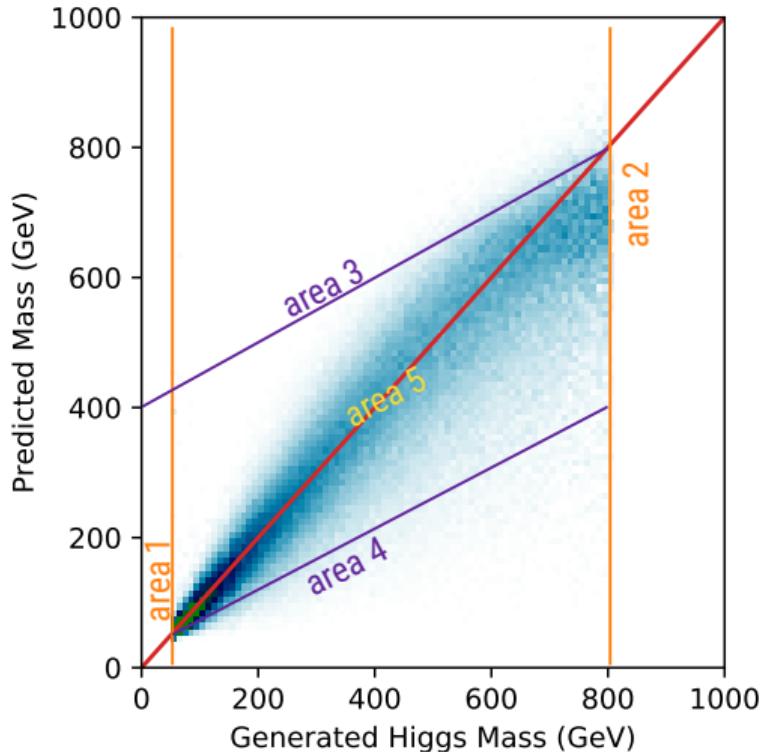






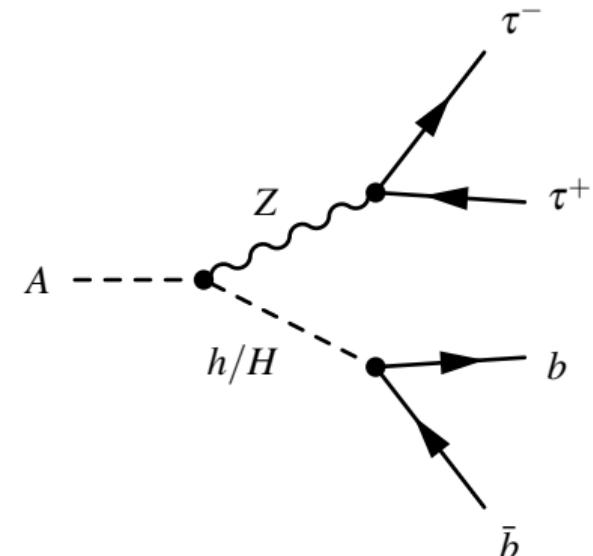
$$\mathcal{L}_{\text{MA}\sqrt{\text{PE}} \times b}(y_{\text{true}}, y_{\text{pred}}) = \mathcal{L}_{\text{MA}\sqrt{\text{PE}}}(y_{\text{true}}, y_{\text{pred}}) \\ \times \begin{cases} 0 & \text{if } (y_{\text{true}}, y_{\text{pred}}) \in \text{area 3} \\ 0.1 & \text{if } (y_{\text{true}}, y_{\text{pred}}) \in \text{area 4} \\ 1 & \text{else} \end{cases}$$

$$\mathcal{L}_{\text{MA}\sqrt{\text{PE}}}(y_{\text{true}}, y_{\text{pred}}) = \mathcal{L}_{\text{MAPE}}(y_{\text{true}}, y_{\text{pred}}) \times \sqrt{y_{\text{true}}} \\ = \left| \frac{y_{\text{pred}} - y_{\text{true}}}{y_{\text{true}}} \right| \times \sqrt{y_{\text{true}}} \\ \Leftrightarrow \mathcal{L}_{\text{MA}\sqrt{\text{PE}}}(y_{\text{true}}, y_{\text{pred}}) = \left| \frac{y_{\text{pred}} - y_{\text{true}}}{\sqrt{y_{\text{true}}}} \right|.$$



Training mass range high boundary

- ▶ We used $\mathcal{H} \rightarrow \tau\tau$ events:
 - ▷ \mathcal{H} is SM Higgs (pdg ID 25) with a different mass,
 - ▷ \mathcal{H} produced by gluon fusion,
 - ▷ set $\mathcal{BR}(\mathcal{H} \rightarrow \tau\tau) = 1$ to avoid non di- τ events.
- ▶ SM particles well known (wrt. BSM particles).
- ▶ We produced samples with BSM particles too, **but**:
 - ▷ theoretical uncertainties (unknown particles !),
 - ▷ for a same mass point, τ kinematics do not match with \mathcal{H} samples !
 - ▷ couplings effect ?



What to predict here?