

Chapitre X

Reconstruction de la masse d'une résonance grâce au *Machine Learning*

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1 Introduction

AI = exploding for last years

Siri, autonomus cars, AlphaGO, ...

AI > Machine Learning > Deep Learning

principle of ML : get a program mapping inputs to outputs

classification vs regression tasks

in HEP : [1] and the one used for the SM categories in the analysis!

Also cite Gaël thesis :

G. TOUQUET. « Search for an additional neutral MSSM Higgs boson decaying to tau leptons with the CMS experiment ». Thèse de doct. Université Claude Bernard Lyon 1, oct. 2019. URL : <https://hal.archives-ouvertes.fr/tel-02526393>

and Mortiz's :

M. SCHAM. « Standard Model $H \rightarrow \tau\tau$ Analysis with a Neural Network Trained on a Mix of Simulation and Data Samples ». Mém. de mast. Fakultät für Physik des Karlsruher Instituts für Technologie (KIT), juin 2020. URL : <https://publish.etp.kit.edu/record/21993>

and Tanja's :

T. KOPF. « Recoil Calibration as a Neural Network Task ». Mém. de mast. Fakultät für Physik des Karlsruher Instituts für Technologie (KIT), fév. 2019. URL : <https://publish.etp.kit.edu/record/21500>

The Elements of statistical learning : Trees have one aspect that prevents them from being the ideal tool for predictive learning, namely inaccuracy. \rightarrow they work great with the data used to create them, but they are not flexible when it comes to classifying new samples...

► **Aim** : reconstruction of di- τ mass.

▷ Already done in :

P. BÄRTSCHI & coll. « Reconstruction of τ lepton pair invariant mass using an artificial neural network ». *Nuclear Instruments and Methods in Physics Research* **A929** (2019), p. 29-33. DOI : [10.1016/j.nima.2019.03.029](https://doi.org/10.1016/j.nima.2019.03.029). URL : <http://www.sciencedirect.com/science/article/pii/S0168900219303377>

P. BALDI, P. SADOWSKI & D. WHITESON. « Enhanced Higgs Boson to $\tau^+\tau^-$ Search with Deep Learning ». *Physical Review Letters* **114**.11 (mar. 2015). DOI : [10.1103/physrevlett.114.111801](https://doi.org/10.1103/physrevlett.114.111801)

► **BUT**

▷ CMS simulated at phase-0 with Delphes \rightarrow we used CMS Fast Simulation (FASTSIM).

▷ No Pile-Up \rightarrow we added it using the 2017 PU profile.

▷ $m_h \in [80; 300]$ GeV per steps of 5 GeV \rightarrow we do from 50 to 800 per steps of 1.

▷ 270 000 training events \rightarrow we have 2 180 992 ($\times 8$).

▷ 100 000 testing events \rightarrow we have 311 504 ($\times 3$).

Try both XGBOOST and DNN and compare, then apply to MSSM HTT analysis

📖 To cite :

- DELPHES 3.4.2 [7, 8]?
- CMS Fast Simulation (FASTSIM) [9-12]
- PYTHIA 8.235 [13]
- FASTJET [14, 15]
- KERAS [16]
- TENSORFLOW [17]
- XGBOOST [18]
- for an example of nn use in HEP
- D. GUEST & coll. « Jet flavor classification in high-energy physics with deep neural networks ». *Physical Review* **D94**.11 (déc. 2016). DOI : [10.1103/physrevd.94.112002](https://doi.org/10.1103/physrevd.94.112002)
- W. SARLE. « Neural Networks and Statistical Models ». 1994. URL : https://people.orie.cornell.edu/davidr/or474/nn_sas.pdf
- P. BÄRTSCHI & coll. « Reconstruction of τ lepton pair invariant mass using an artificial neural network ». *Nuclear Instruments and Methods in Physics Research* **A929** (2019), p. 29-33. DOI : [10.1016/j.nima.2019.03.029](https://doi.org/10.1016/j.nima.2019.03.029). URL : <http://www.sciencedirect.com/science/article/pii/S0168900219303377>
- SVFIT [20]

2 HTT events dataset

2.1 generation with FASTSIM

Give the settings used.

Also cite the followings :

S. ABDULLIN & coll. « The Fast Simulation of the CMS Detector at LHC ». *Journal of Physics : Conference Series* **331.3** (déc. 2011). DOI : [10.1088/1742-6596/331/3/032049](https://doi.org/10.1088/1742-6596/331/3/032049)

A. GIAMMANCO. « The Fast Simulation of the CMS Experiment ». *Journal of Physics : Conference Series* **513.2** (juin 2014). DOI : [10.1088/1742-6596/513/2/022012](https://doi.org/10.1088/1742-6596/513/2/022012)

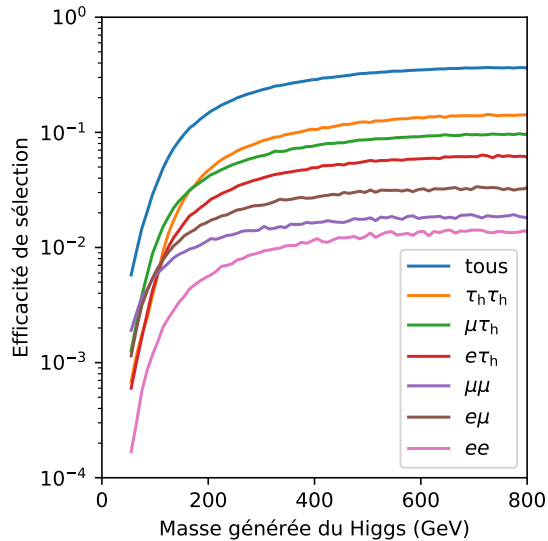
M. KOMM. « Fast emulation of track reconstruction in the CMS simulation ». *Journal of Physics : Conference Series* **898** (oct. 2017). DOI : [10.1088/1742-6596/898/4/042034](https://doi.org/10.1088/1742-6596/898/4/042034)

S. SEKMEN. *Recent Developments in CMS Fast Simulation*. 2017. arXiv : [1701.03850](https://arxiv.org/abs/1701.03850)
from 50 to 800 GeV

justify by showing plots when trained on smaller mass ranges

above problem not solved yet

below the selections (detailed after) drops everything (plot below)



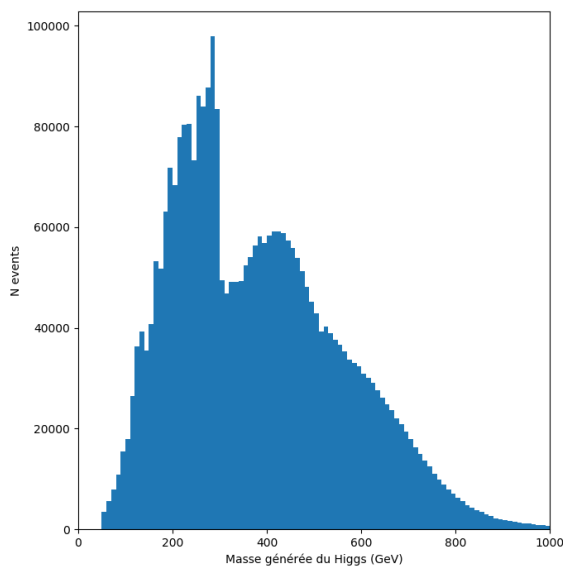
amount of events : 60/20/10 k due to the cut efficiencies

2.2 selection

about the same as in the analysis (p_T cuts, DEEPTAU, ...)

+ ee and $\mu\mu$ channels as well!

mass distribution obtained



weights per steps of 2 GeV

70/20/10 % fraction for train/valid/test

3 XGBoost

why ? faster a good at challenges (see Colin's slides from *somewhere in the past*)

3.1 Principe

tree

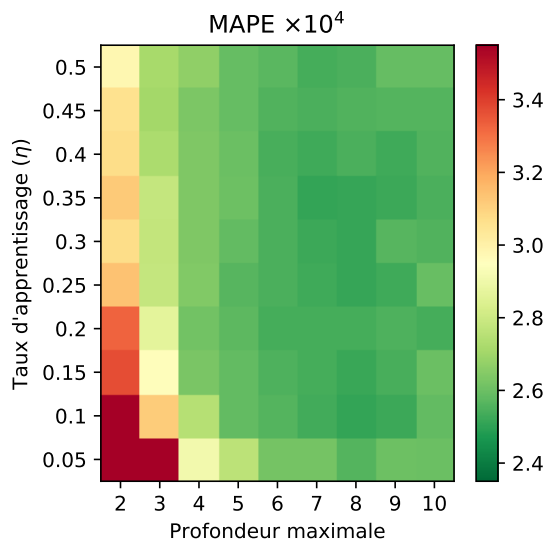
max depth, n estimators

3.2 Training

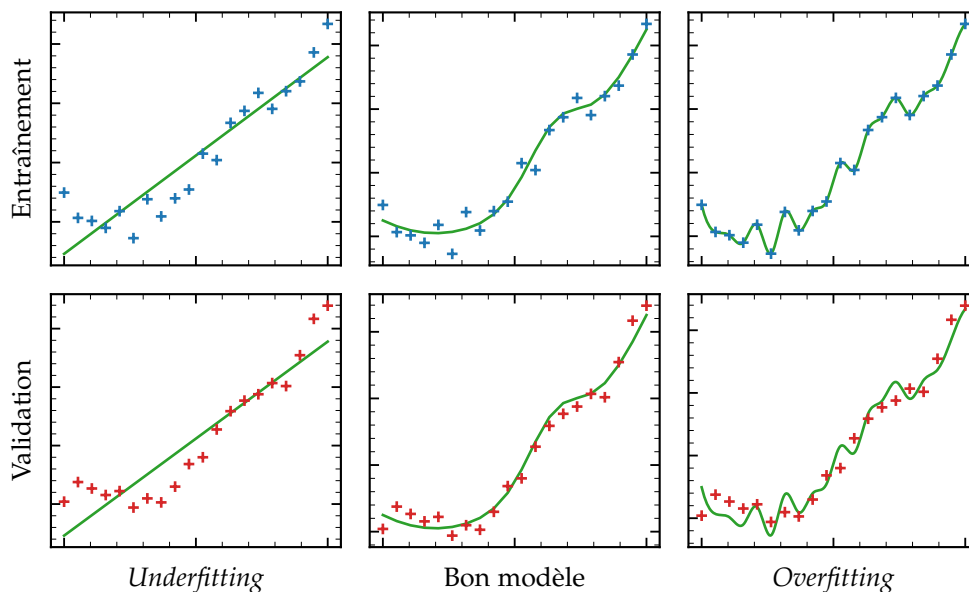
objective

learning rate

early stopping



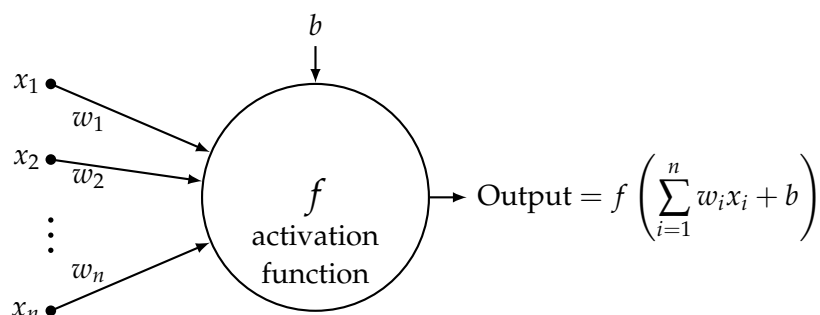
3.2.1 Overfitting and early stopping



4 DNN

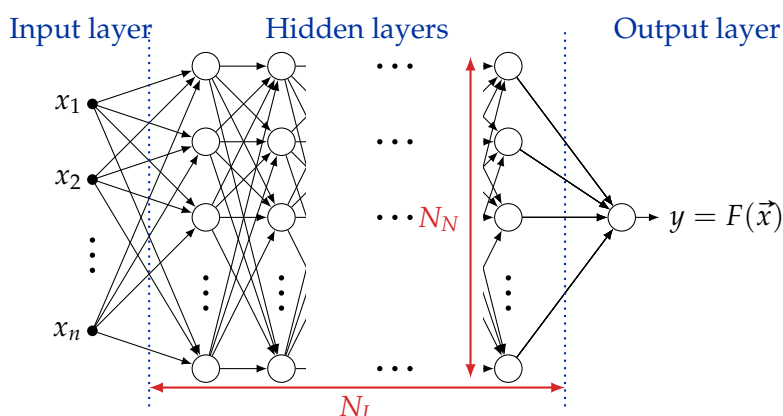
I. GOODFELLOW, Y. BENGIO & A. COURVILLE. *Deep Learning*. <http://www.deeplearningbook.org>. MIT Press, 2016

4.1 Neurons



Activation functions : tanh, sigmoid mostly for classification, linear, relu, elu, selu, softmax, soft-plus ...

4.2 Neural networks



4.3 Training

4.3.1 Loss function

loss == objective
= 0 when prediction == truth
minimize it!

4.3.2 Optimizer and weights init

Adam, Adadelta, SGD
parameters to optimize = weights and biases
need to init : (Glorot) uniform/normal

Glorot : X. Glorot and Y. Bengio, "Understanding the difficulty of training deep feedforward neural networks", in Proceedings of the thirteenth international conference on artificial intelligence and statistics, p. 249. 2010.

5 Models and hyperparameters tuning

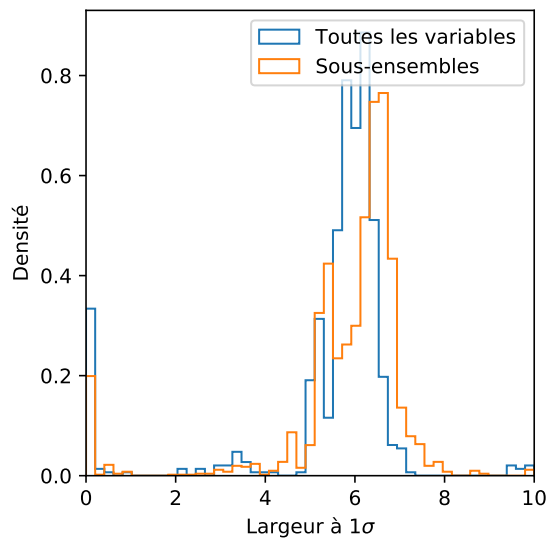
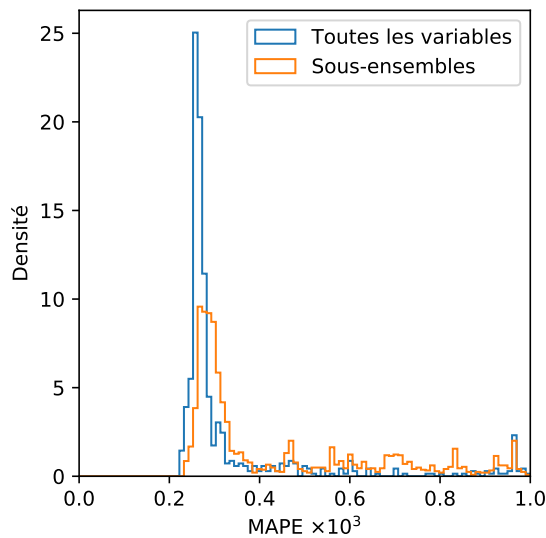
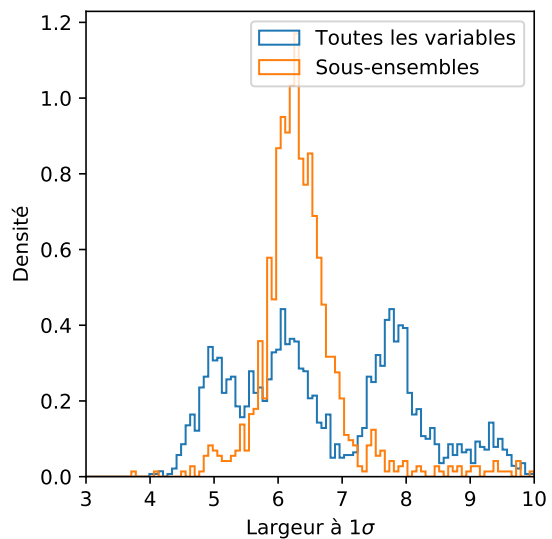
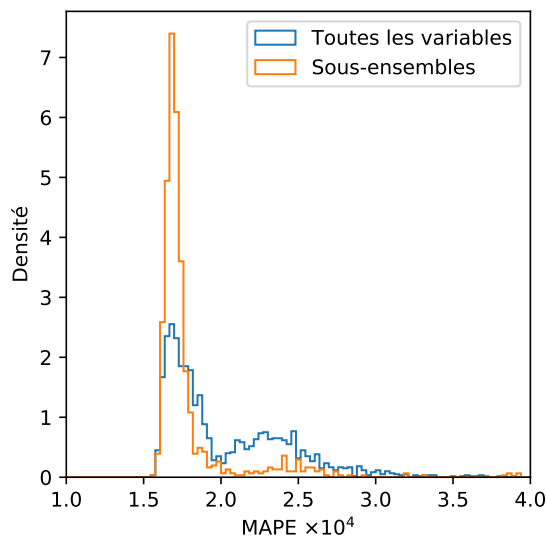
5.1 Selection using classic hyperparams

no customized loss yet.

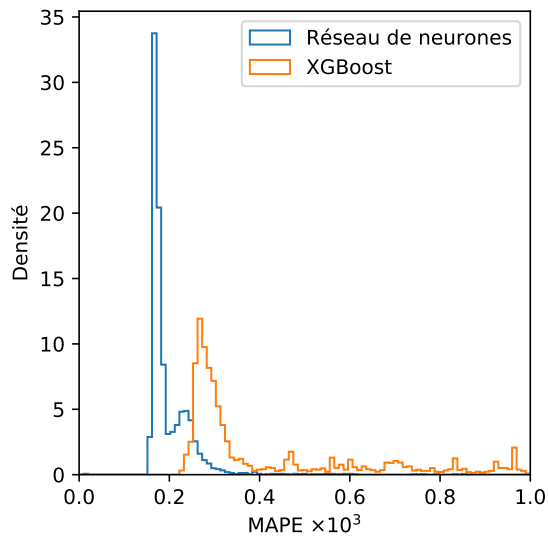
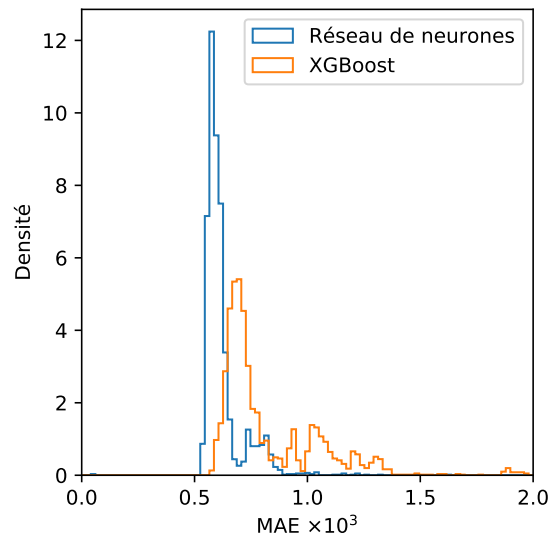
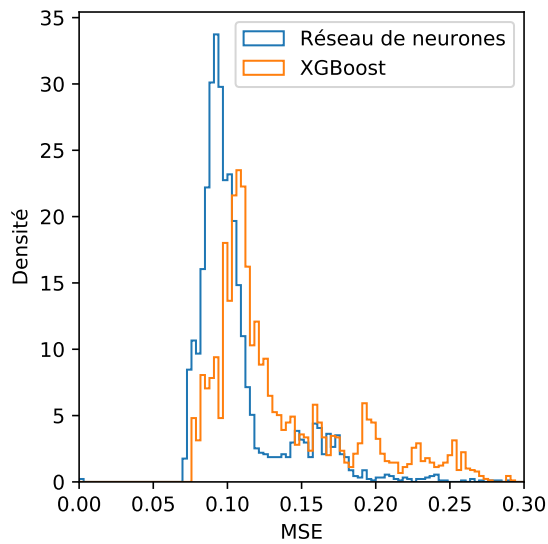
hard to get one single score to determine which model is the best : use mse, mae, mape, median diff, ± 1 or 2σ width ... low, medium, high and full mass regions as well.

Model inputs : DNN not that sensible but XGB is better when having all of them, then use all inputs (give list) and not a subset of them.

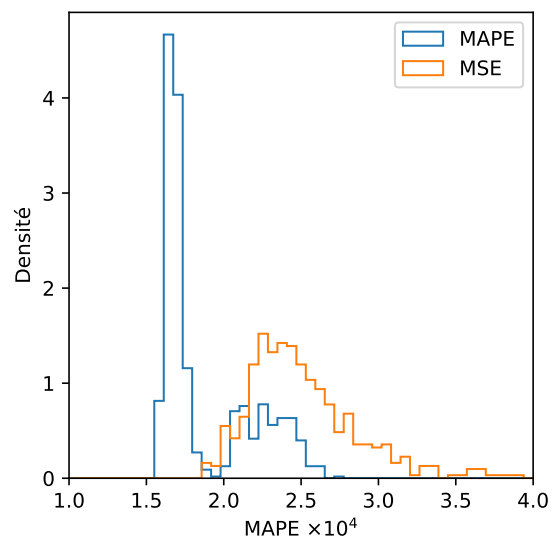
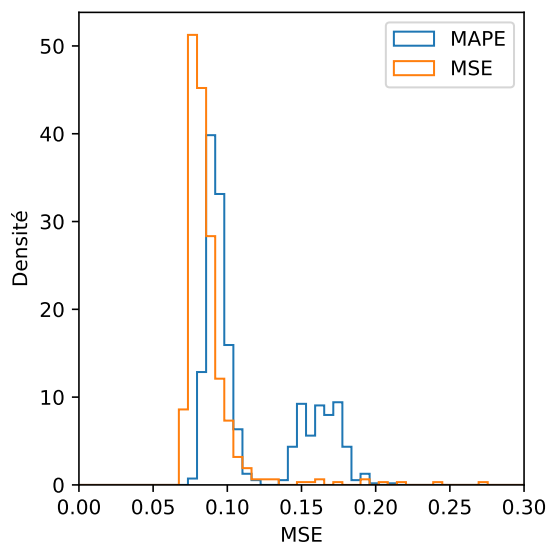
use plots with ref when relevant

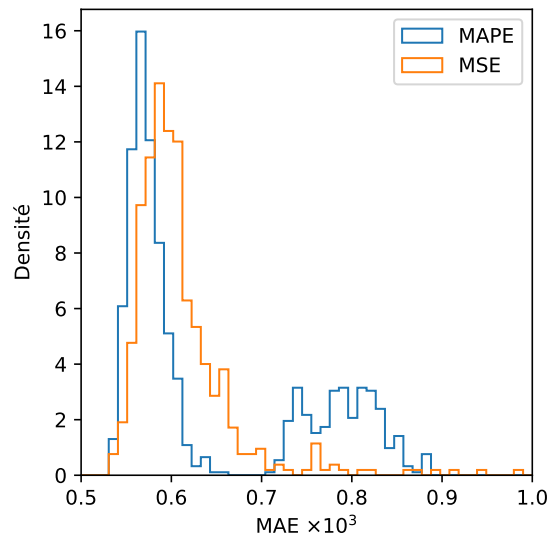


DNN vs XGB : use DNN!



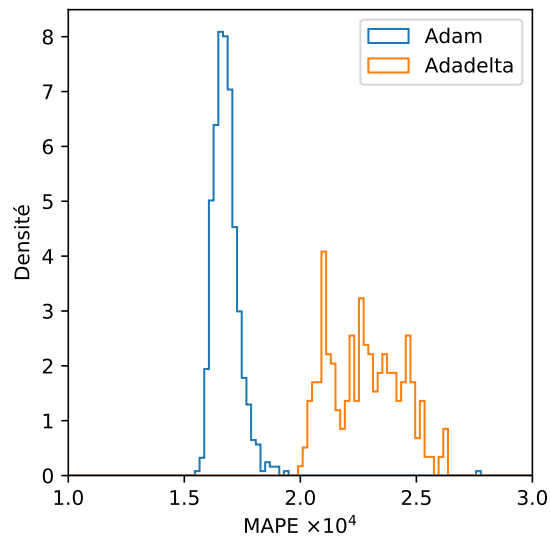
loss : when using a given loss, the corresponding models are of course better when using the loss as score.



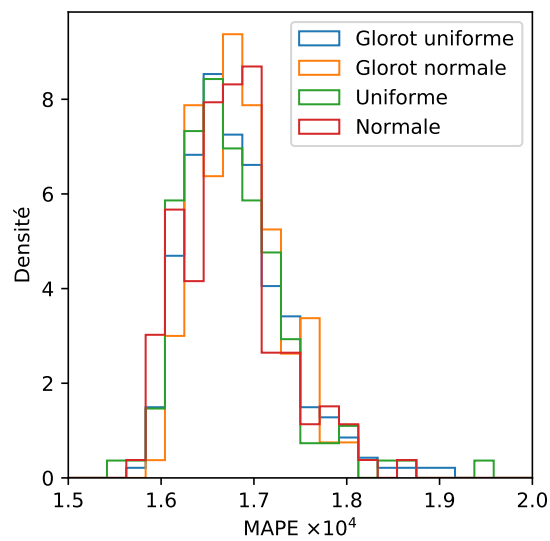


use mape loss, gives the better results

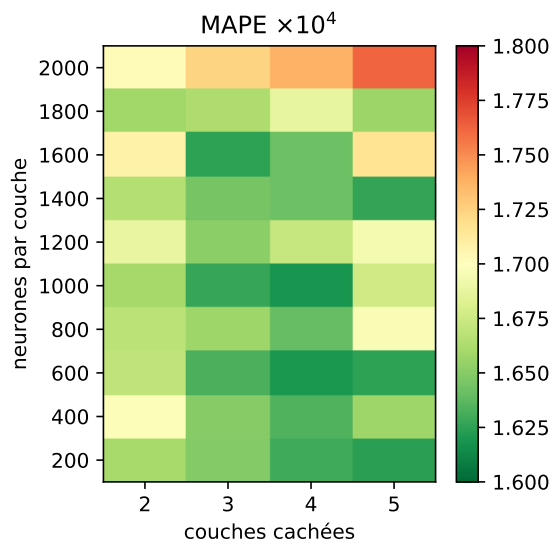
optimizer : Adam



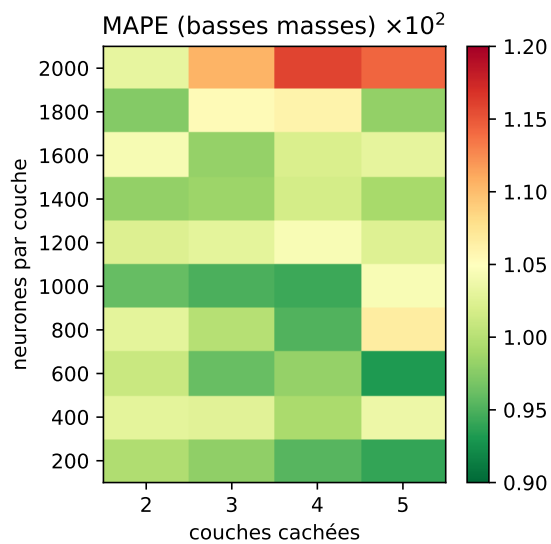
w init mode Glorot Uniform



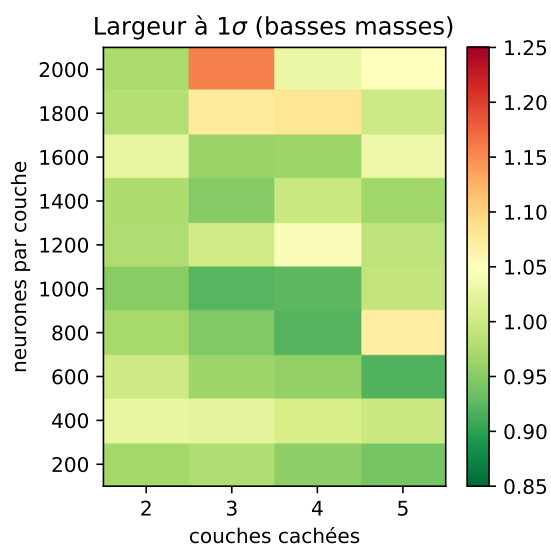
which structure?



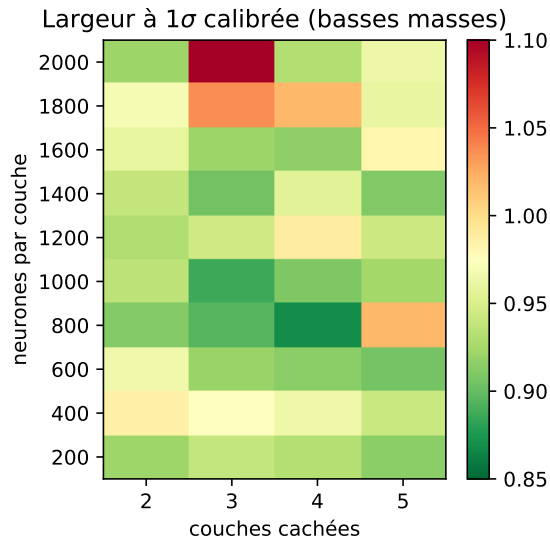
several possibilities, but the loss mass region contains the Z boson and is important



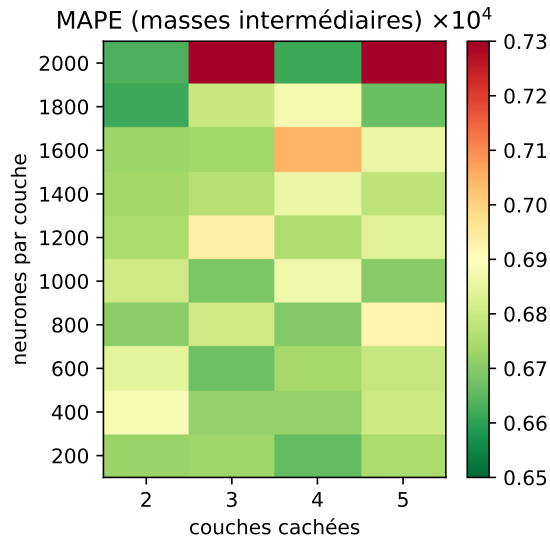
2x900 and 5x600 seem to be the best options, check the low mass resolution



5x600 seems good, check the low mass **calibrated** resolution



and in the medium mass region we have



3x1000 is the best compromise we found
activation = softplus

6 Discussions

6.1 Mass range

6.2 PU effect

also show PU effect (see fig 2 and 3 from report 2020-11-20, update with new models and samples)

6.3 Reco effects

show trained/tested on gen tau, gen tau decays, reco tau decays (=real), see fig 3 from report 2021-01-11

the model understand the physics, now it has to deal with the reco resolution and fakes.

6.4 Fakes

6.5 channel splitting

not relevant (fig3 report 2021-01-21)

6.6 Boundaries effects

use the custom loss with boundaries cuts (basically all the report 2021-02-04)

Follow report from 2021-02-04 but for section 3 : We saw that predictions come out too low, which already is a motivation to put larger weights on higher masses, i.e. to weight by truth. Choosing $\sqrt{\text{truth}}$ is of course just a guess then
 extend up to 1TeV using the tails

6.7 Final model

DEEPTAU
 1 TeV
 all inputs
 activation softplus
 loss mapesqrt_b
 opti Adam
 glorot uniform
 3 layers of 1000 neurons
 show reponses and 2d histo

7 Use in the MSSM HTT analysis

show distributions of $m_{T\text{tot}}$ and ML predictions
 discuss
 show limits
 discuss

8 Conclusion

Références

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- [2] G. TOUQUET. « Search for an additional neutral MSSM Higgs boson decaying to tau leptons with the CMS experiment ». Thèse de doct. Université Claude Bernard Lyon 1, oct. 2019. URL : <https://hal.archives-ouvertes.fr/tel-02526393>.
- [3] M. SCHAM. « Standard Model $H \rightarrow \tau\tau$ Analysis with a Neural Network Trained on a Mix of Simulation and Data Samples ». Mém. de mast. Fakultät für Physik des Karlsruher Instituts für Technologie (KIT), juin 2020. URL : <https://publish.etp.kit.edu/record/21993>.
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