





Can we mechanize mathematics?







Inhalt

First-Order Logic

Th $(\mathbb{N},+)$ – A Decidable Theory

Th $(\mathbb{N}, +, \times)$ – An Undecidable Theory

Gödel's Incompleteness Theorem

Conclusion

References











$$\forall q \exists p \forall x \forall y \left[R_1(p,q) \land \left(\neg (R_1(x,1) \land R_1(y,1)) \lor R_2(x,y,p) \right) \right]$$





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• Quantifiers: ∀, ∃





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Boolean operators: \land, \lor, \neg



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$$\forall q \exists p \forall x \forall y \left[R_1(p,q) \wedge \left(\neg (R_1(x,1) \wedge R_1(y,1)) \vee R_2(x,y,p) \right) \right]$$

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- Boolean operators: ∧, ∨, ¬
- Relation symbols: R_1, R_2, \dots





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 mostly "sentences" (no free variables)





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- Quantifiers: ∀, ∃
- Variables: *p*, *q*, *x*, *y*, . . .
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- Relation symbols: $R_1, R_2, ...$
- Special characters: [,],(,)

Simplified here:

- mostly "sentences" (no free variables)
- only prenex normal form (all quantifiers on the left)







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$$\stackrel{!}{=} \text{"There are infinitely many prime numbers."}$$



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$$= \forall q \exists p \forall x, y \ [p > q \land (x,y > 1 \to xy \neq p)]$$

- universe U
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 - here N

- model M
 - universe + assignment of relations
 - here $(\mathbb{N}, >_2, (\times \neq)_3)$







$$\forall q \; \exists p \; \forall x, y \; [p > q \land (x, y > 1 \rightarrow xy \neq p)]$$
vs. $[(x + x = y) \lor (x \ge y)]$

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- language L(ℳ)
 - sentences that make sense in ${\cal M}$
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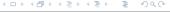




$$\forall q \; \exists p \; \forall x, y \; [p > q \land (x, y > 1 \rightarrow xy \neq p)]$$
vs. $\forall y \; \exists x \; [\neg(xx \neq y)]$

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What Is Decidability?

- here: for logic (there is a more general definition)
- let \mathcal{M} be a model, $\varphi \in L(\mathcal{M})$

$$\mathsf{Th}\left(\mathcal{M}\right)\;\mathsf{decidable}\;\coloneqq\;$$

there is an algorithm that decides whether φ is true in ${\mathcal M}$













Theorem 1

Theorem

Th $(\mathbb{N}, +)$ *is decidable.*





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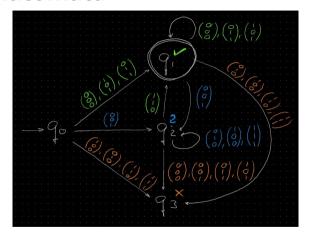
Th $(\mathbb{N}, +)$ *is decidable.*

i.e., there is an algorithm that can decide, whether a sentence $\varphi \in L(\mathbb{N}, +)$ is true or false.





Review: Automata



Example automaton that accepts all correct binary additions







Idea: Construct an automaton that accepts an (almost) empty input iff the given sentence is true.

Let $i \in \mathbb{N} \setminus \{0\}$ and define $\Sigma_i := \{0,1\}^i$ and $\Sigma_0 := \{()\}$. An example for a word in Σ_3 :

$$\begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} \quad \sim \quad \begin{pmatrix} 1 \\ 5 \\ 6 \end{pmatrix}$$



Now, let

- $i \in \{0, ..., I\}$
- $\varphi = Q_1 x_1 \dots Q_l x_l [\psi(x_1, \dots, x_l)] \in \mathsf{L}(\mathbb{N}, +)$ where $Q_1, \dots, Q_l \in \{\forall, \exists\}$





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- $\varphi_i := Q_{i+1} x_{i+1} \dots Q_l x_l \left[\psi(x_1, \dots, x_l) \right]$ $\Rightarrow \varphi_0 = \varphi$ $\Rightarrow \varphi_l := \psi$



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- $\varphi_i(a_1,\ldots,a_i) := Q_{i+1}x_{i+1}\ldots Q_ix_i \left[\psi(a_1,\ldots,a_i,x_{i+1},\ldots,x_l)\right]$





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 $\implies \varphi_I$ is only a Boolean expression.





Construct an automaton A_l that behaves like $\varphi_l = \psi$, meaning A_l accepts exactly the tuples $(a_1, \ldots, a_l) \in \mathbb{N}^l$ for which $\varphi_l(a_1, \ldots, a_l)$ is true:





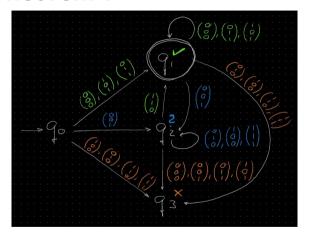
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Addition automaton







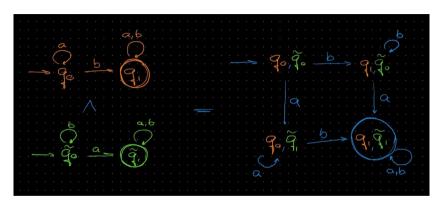
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- Take one addition automaton for each addition term in φ_l
- Combine them:
 - automaton product for \wedge
 - automaton union for ∨
 - automaton complement for ¬

in a way that they behave like φ_l .







Conjunction of two simple automata







Construct an automaton A_l that behaves like $\varphi_l = \psi$, meaning A_l accepts exactly the tuples $(a_1, \ldots, a_l) \in \mathbb{N}^l$ for which $\varphi_l(a_1, \ldots, a_l)$ is true:

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Important: There is an algorithm that constructs A_I from φ_I





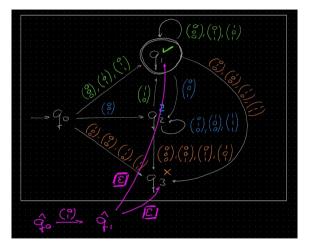


If $Q_i = \exists$, construct automaton A_i from A_{i+1} by

- copying A_{i+1}
- adding a new start state and one state for each character in Σ_i
- making A_i guess the right a_{i+1} non-deterministically







Example construction of non-deterministic guessing





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If else $Q_i = \forall$, use complementation twice $(\forall x_i \varphi_{i+1} = \neg \exists x_i \neg \varphi_{i+1})$





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$$\implies$$
 A_0 accepts input () \Leftrightarrow $\varphi_0 = \varphi$ is true





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Let the algorithm return " $\varphi \in \text{Th}(\mathbb{N},+)$ " \Leftrightarrow A_0 accepts input ()





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Theorem 2

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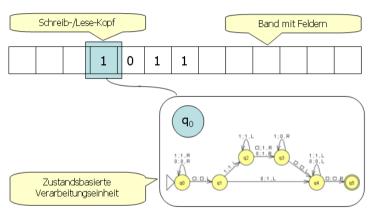
Th $(\mathbb{N}, +, \times)$ *is undecidable.*

i.e., there is <u>no</u> algorithm that can decide, whether a sentence $\varphi \in L(\mathbb{N}, +)$ is true or false.





Turing Machines



Example turing machine source: [2]







• The word problem for Turing machines is undecidable. ([5], Satz 5.6)





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- \implies 2







Is there a true, unprovable sentence?





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A proof is a series of implications and can be written as a string over some alphabet (here: $L(\mathbb{N}, +, \times)$). Assumptions:

 A_1 Proofs can be checked by a machine.

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Lemmas:

1. The provable statements of Th $(\mathbb{N},+,\times)$ are Turing recognizable. **Proof idea:** Just try all possible (suitably encoded) proofs.





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Lemmas:

- 1. The provable statements of Th $(\mathbb{N},+,\times)$ are Turing recognizable. **Proof idea:** Just try all possible (suitably encoded) proofs.
- 2. There is a (true) statement in Th $(\mathbb{N}, +, \times)$ that is not provable. **Proof idea:** Contradiction to Theorem 2 by using 1.







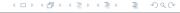




A True, Unprovable Statement

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Proof: Let *M* be a Turing machine that operates as follows.

- Delete the input
- Look for proof of $\varphi := \neg \exists x [\varphi_{M,0}(x)] \in \mathsf{Th} (\mathbb{N}, +, \times)$
- Accept input \Leftrightarrow proof for φ has been found





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- Accept input \Leftrightarrow proof for φ has been found
- $\implies \varphi$ is the wanted statement.





Gödel's Method

• Construct the statement "This statement cannot be proved by the axioms."





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Gödel's Method

- Construct the statement "This statement cannot be proved by the axioms."
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- ⇒ Incompleteness ②













Conclusion

⇒ There are (very simple) indecidable logical theories.





Conclusion

- \implies There are (very simple) indecidable logical theories.
- → Mathematics cannot be mechanized.







Conclusion

- \implies There are (very simple) indecidable logical theories.
- → Mathematics cannot be mechanized.
- \implies No sound logical system can be complete.













References

- [1] Martin Alessandro Own work, CC BY-SA 4.0, https://commons.wikimedia.org/w/index.php?curid=75082873
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