





# Can we mechanize mathematics?







## **Inhalt**

First-Order Logic

Th  $(\mathbb{N}, +)$  – A Decidable Theory

Th  $(\mathbb{N}, +, \times)$  – An Undecidable Theory

Gödel's Incompleteness Theorem

Conclusion

References











$$\forall q \exists p \forall x \forall y \left[ R_1(p,q) \land \left( \neg (R_1(x,1) \land R_1(y,1)) \lor R_2(x,y,p) \right) \right]$$





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• Quantifiers: ∀, ∃



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#### Simplified here:

 mostly "sentences" (no free variables)





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- mostly "sentences" (no free variables)
- only prenex normal form (all quantifiers on the left)







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$$\stackrel{!}{=} \text{"There are infinitely many prime numbers."}$$





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- universe 11
  - possible values for variables

Decidability of Logical Theories

Dresden, May 16, 2020

here N





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$$= \forall q \exists p \forall x, y \ [p > q \land (x,y > 1 \to xy \neq p)]$$

- universe U
  - possible values for variables
  - here  $\mathbb{N}$

- model M
  - universe + assignment of relations
  - here  $(\mathbb{N}, >_2, (\times \neq)_3)$







$$\forall q \; \exists p \; \forall x, y \; [p > q \land (x, y > 1 \rightarrow xy \neq p)]$$
vs.  $[(x + x = y) \lor (x \ge y)]$ 

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- language L(ℳ)
  - sentences that make sense in  ${\mathcal M}$
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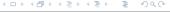




$$\forall q \exists p \ \forall x,y \ [p > q \land (x,y > 1 \rightarrow xy \neq p)]$$
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## What Is Decidability?

- here: for logic (there is a more general definition)
- let  $\mathcal{M}$  be a model,  $\varphi \in L(\mathcal{M})$

$$\mathsf{Th}\left(\mathcal{M}\right)\;\mathsf{decidable}\;\coloneqq\;$$

there is an algorithm that decides whether  $\varphi$  is true in  ${\mathcal M}$ 













## **Theorem 1**

#### Theorem

*Th*  $(\mathbb{N}, +)$  *is decidable.* 





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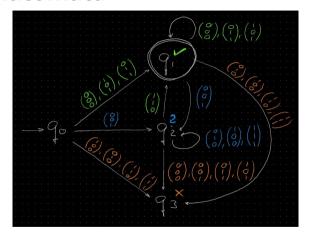
*Th*  $(\mathbb{N}, +)$  *is decidable.* 

i.e., there is an algorithm that can decide, whether a sentence  $\varphi \in L(\mathbb{N}, +)$  is true or false.





#### **Review: Automata**



Example automaton that accepts all correct binary additions







**Idea:** Construct an automaton that accepts an (almost) empty input iff the given sentence is true.

Let  $i \in \mathbb{N} \setminus \{0\}$  and define  $\Sigma_i := \{0,1\}^i$  and  $\Sigma_0 := \{()\}$ . An example for a word in  $\Sigma_3$ :

$$\begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} \quad \sim \quad \begin{pmatrix} 1 \\ 5 \\ 6 \end{pmatrix}$$



Now, let

- $i \in \{0, ..., I\}$
- $\varphi = Q_1 x_1 \dots Q_l x_l [\psi(x_1, \dots, x_l)] \in \mathsf{L}(\mathbb{N}, +)$  where  $Q_1, \dots, Q_l \in \{\forall, \exists\}$





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- $\varphi_i := Q_{i+1} x_{i+1} \dots Q_l x_l \left[ \psi(x_1, \dots, x_l) \right]$   $\Rightarrow \varphi_0 = \varphi$  $\Rightarrow \varphi_l := \psi$



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- $\varphi_i(a_1,\ldots,a_i) := Q_{i+1}x_{i+1}\ldots Q_ix_i \left[\psi(a_1,\ldots,a_i,x_{i+1},\ldots,x_l)\right]$







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Decidability of Logical Theories

 $\implies \varphi_I$  is only a Boolean expression.





Construct an automaton  $A_l$  that behaves like  $\varphi_l = \psi$ , meaning  $A_l$  accepts exactly the tuples  $(a_1, \dots, a_l) \in \mathbb{N}^l$  for which  $\varphi_l(a_1, \dots, a_l)$  is true:

- Take one addition automaton for each addition term in  $\varphi_l$
- Combine them:
  - automaton product for  $\wedge$
  - automaton union for ∨
  - automaton complement for  $\neg$

in a way that they behave like  $\varphi_l$ .





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**Important:** There is an algorithm that constructs  $A_I$  from  $\varphi_I$ 







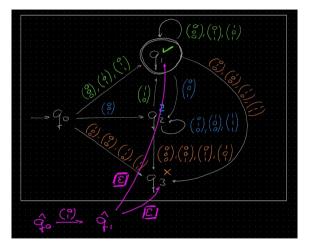
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If  $Q_i = \exists$ , construct automaton  $A_i$  from  $A_{i+1}$  by

- copying  $A_{i+1}$
- adding a new start state and one state for each character in  $\Sigma_i$
- making  $A_i$  guess the right  $a_{i+1}$  non-deterministically







Example construction of non-deterministic guessing





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If else  $Q_i = \forall$ , use complementation twice  $(\forall x_i \varphi_{i+1} = \neg \exists x_i \neg \varphi_{i+1})$ 





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## **Proof of Theorem 1**

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- $\implies$   $A_i$  accepts input  $(a_1, \ldots, a_i) \in \mathbb{N}^i \Leftrightarrow \varphi_i$  is true
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Let the algorithm return " $\varphi \in \text{Th}(\mathbb{N},+)$ "  $\Leftrightarrow$   $A_0$  accepts input ()





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## **Theorem 2**

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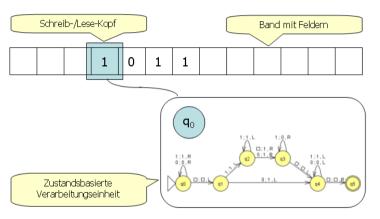
*Th*  $(\mathbb{N}, +, \times)$  *is undecidable.* 

i.e., there is <u>no</u> algorithm that can decide, whether a sentence  $\varphi \in L(\mathbb{N}, +)$  is true or false.





# **Turing Machines**



Example turing machine source: [2]







• The word problem for Turing machines is undecidable. ([5], Satz 5.6)





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Assume Th  $(\mathbb{N}, +, \times)$  is decidable.

 $\implies$  The formulas  $\exists x \ [\varphi_{M,w}(x)] \in \mathsf{Th} \ (\mathbb{N},+,\times)$  are decidable.





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- → The word problem for Turing machines is decidable.
- $\implies$  2





Is there a true, unprovable sentence?





### Is there a true, unprovable sentence?

A proof is a series of implications and can be written as a string over some alphabet (here:  $L(\mathbb{N}, +, \times)$ ). Assumptions:

 $A_1$  Proofs can be checked by a machine.

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#### Lemmas:

1. The provable statements of Th  $(\mathbb{N},+,\times)$  are Turing recognizable. **Proof idea:** Just try all possible (suitably encoded) proofs.





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#### Lemmas:

- 1. The provable statements of Th  $(\mathbb{N},+,\times)$  are Turing recognizable. **Proof idea:** Just try all possible (suitably encoded) proofs.
- 2. There is a (true) statement in Th  $(\mathbb{N}, +, \times)$  that is not provable. **Proof idea:** Contradiction to Theorem 2 by using 1.











# A True, Unprovable Statement

#### Theorem

We can construct a true statement in Th  $(\mathbb{N}, +, \times)$ , that is not provable.





# A True, Unprovable Statement

#### **Theorem**

We can construct a true statement in Th  $(\mathbb{N}, +, \times)$ , that is not provable.

**Proof:** Let *M* be a Turing machine that operates as follows.

- Delete the input
- Look for proof of  $\varphi \coloneqq \neg \exists x [\varphi_{M,0}(x)] \in \mathsf{Th} \, (\mathbb{N},+,\times)$
- Accept input  $\Leftrightarrow$  proof for  $\varphi$  has been found





# A True, Unprovable Statement

#### **Theorem**

*We can construct a true statement in Th*  $(\mathbb{N}, +, \times)$ *, that is not provable.* 

**Proof:** Let *M* be a Turing machine that operates as follows.

- Delete the input
- Look for proof of  $\varphi := \neg \exists x [\varphi_{M,0}(x)] \in \mathsf{Th} (\mathbb{N}, +, \times)$
- Accept input  $\Leftrightarrow$  proof for  $\varphi$  has been found
- $\implies \varphi$  is the wanted statement.





## Gödel's Method

• Construct the statement "This statement cannot be proved by the axioms."





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⇒ Incompleteness ②







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## Conclusion

⇒ There are (very simple) indecidable logical theories.





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- → Mathematics cannot be mechanized.







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- $\implies$  There are (very simple) indecidable logical theories.
- → Mathematics cannot be mechanized.
- $\implies$  No sound logical system can be complete.













### References

- [1] Martin Alessandro Own work, CC BY-SA 4.0, https://commons.wikimedia.org/w/index.php?curid=75082873
- [2] https://www.inf-schule.de/grenzen/berechenbarkeit/turingmaschine/station\_turingmaschine
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- [4] https://www.youtube.com/watch?v=04ndIDcDSGc
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