# Deep Learning for Face Analysis

Speaker: Lu Xu

Supervisor: Jinhai Xiang

Research fields: Deep Learning/Computer Vision

## CONTENTS

Introduction
Research Achievements
XCloud: From Research to Production
Future Works

## 01 Introduction

### **Deep Learning**

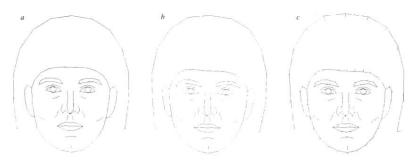
Deep learning (deep neural networks) is a subset of representation learning. It can extract more abstract and more discriminative features than hand-crafted descriptors. DL has been widely used in many fields (especially Al-complete tasks like CV, NLP, and Speech).

### **Face Analysis**

To recognize facial attributes (such as gender, race, beauty, age, expression, and etc.) from a portrait image. It has been widely used among SNS and short video platforms (like TikTok).



#### **Facial Beauty Prediction**









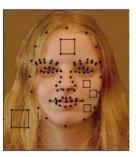


Figure 1: Facial coordinates with hair and skin sample regions as represented by the facial feature extractor. Coordinates are used for calculating geometric features and asymmetry. Sample regions are used for calculating color values and smoothness. The sample image, used for illustration only, is of T.G. and is presented with her full consent.

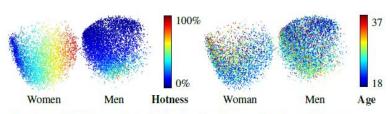


Figure 9. Visualization of latent space Q for women and men.

#### Conclusion

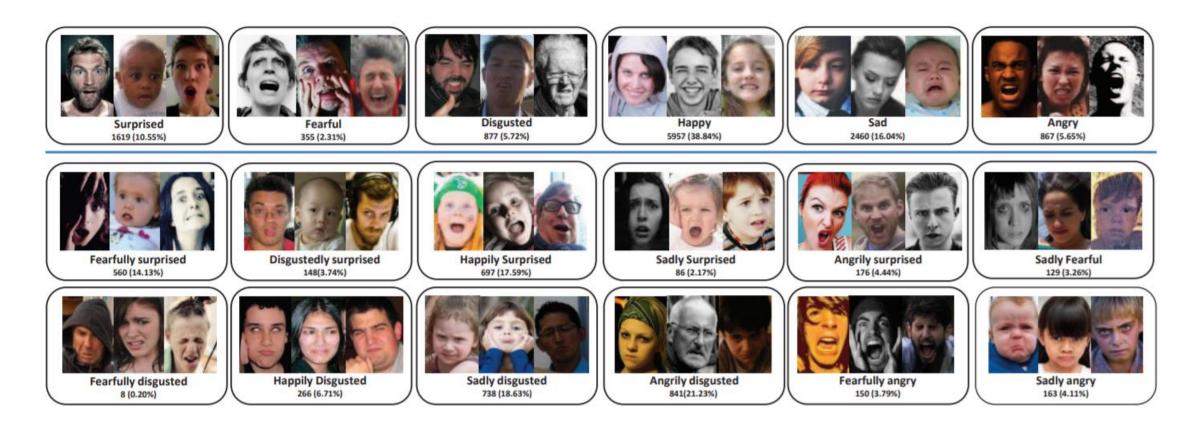
Facial beauty perception is subjective in personal view, but shows stability in group.

It can be automatically predicted by data-driven models.

Tendency
 Moving from hand-crafted features to deep learning models.

- 1. Perrett, David I., Karen A. May, and Sin Yoshikawa. "Facial shape and judgements of female attractiveness." Nature 368.6468 (1994): 239.
- 2. Kagian, A., Dror, G., Leyvand, T., Cohen-Or, D., Ruppin, E.: A humanlike predictor of facial attractiveness. NIPS, pp. 649–656 (2007)
- 3. Rothe, R., Timofte, R., Van Gool, L.: Some like it hot-visual guidance for preference prediction. In: Proceedings CVPR 2016, pp. 1–9 (2016)

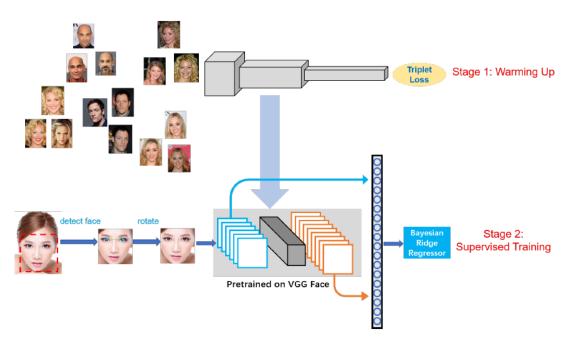
#### **Facial Expression Recognition**



Developing computational models to automatically recognize a person's facial expression (such as happy, sad, angry, etc.)

## Research Achievements

 Transferring Rich Deep Features for Facial Beauty Prediction (Accepted to Computers & Electrical Engineering, SCI)



**Fig. 1.** Pipeline of our proposed method. We firstly train a face verification task on VGG Face dataset to obtain facial beauty representation. Then the face is detected, rotated and then fed into the pre-trained model, we concatenate both low level and high-level features for more informative facial representation, and flatten them into feature vectors for the input of Bayesian ridge regression.

$$E(W') = \sum_{(a,p,n)\in T} \max\{0, \alpha - ||x_a - x_n||_2^2 + ||x_a - x_p||_2^2\}, \quad x_i = W' \frac{\phi(l_i)}{||\phi(l_i)||_2}$$

**Table 3.** Performance comparison with other methods. Our method achieves state-of-the-art performance on the SCUT-FBP dataset. The best performance is highlighted in bold.

Method	PC
Combined Features+Gaussian Reg [3]	0.6482
CNN-based [3]	0.8187
Liu et al. [23]	0.6938
KFME [25]	0.7988
RegionScatNet [26]	0.83
PI-CNN [11]	0.87
Ours	0.8742

 Transferring Rich Deep Features for Facial Beauty Prediction (Accepted to Computers & Electrical Engineering, SCI)

- Our proposed methods indicate that the model pretrained on a totally <u>different task</u> with <u>different data distribution</u>, guided by <u>different loss function</u> also contains informative representation for beauty.
- Our methods achieves state-of-the-art performance on relevant benchmark datasets.

So can the feature be shared among different tasks?

 Transferring Rich Deep Features for Facial Beauty Prediction (Accepted to Computers & Electrical Engineering. SCI)

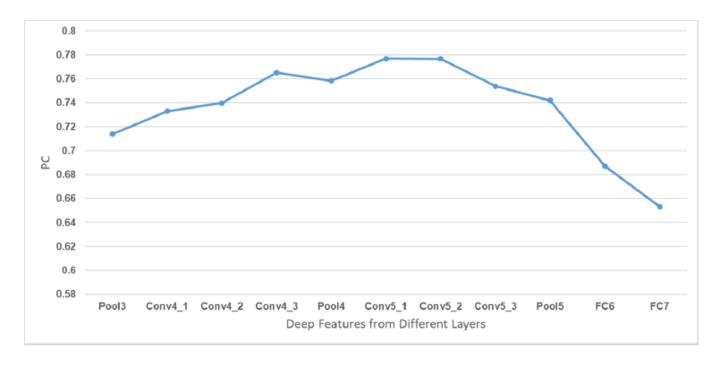
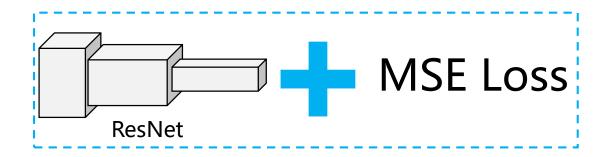


Figure 4: Facial beauty representation power in "warming up strategy" of different layers.

As layer goes deeper, we can see a clear performance drop. It can be explained that higher layers contain more task-specific information.

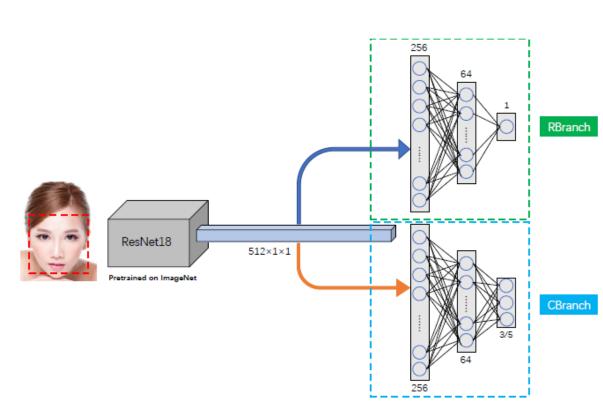
• CRNet: Classification and Regression Neural Network for Facial Beauty Prediction (Pacific Rim Conference on Multimedia 2018. CCF C)

<u>Traditional Methods for Handling Regression Tasks</u> (Age Estimation, Facial Landmarks Localization, etc.)



But, MSE loss is easy influenced by outliers. So can we leverage classification branch to boost regression branch?

• CRNet: Classification and Regression Neural Network for Facial Beauty Prediction (Pacific Rim Conference on Multimedia 2018. CCF C)



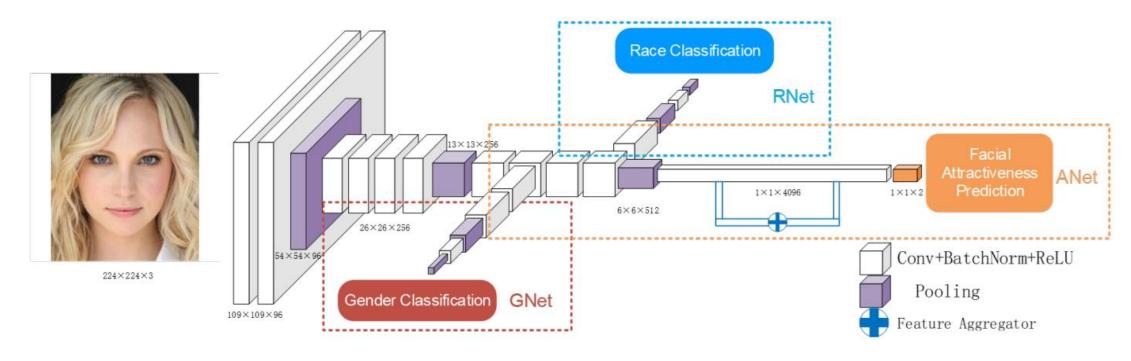
$$egin{aligned} \mathcal{L}_c &= -rac{1}{M} \sum_{i=1}^M y_i \cdot log \hat{y_i} \ \mathcal{L}_c &= rac{1}{M} \sum_{i=1}^M (y_i - \hat{y_i})^2 \end{aligned} \quad c = egin{cases} 0 \; ; & if \; c_i < -1 \ 1 \; ; & if \; -1 \leq c_i < 1 \ 2 \; ; & otherwise \end{cases} \ \mathcal{L} &= heta_c \cdot \mathcal{L}_c + heta_r \cdot \mathcal{L}_r \end{aligned}$$

Table 3. Performance comparision with baseline models on ECCV HotOrNot dataset

Method	PC
Multiscale Model [5]	0.458
S. Wang et al. [12]	0.437
CRNet	0.482

Performance comparison with recent baseline models on ECCV HotOrNot dataset. To the best of our knowledge, CRNet achieves the best performance.

 Hierarchical Multi-Task Network for Race, Gender and Facial Attractiveness Recognition (submitted to ICASSP 2019)



**Fig. 1**: Overall architecture of HMT-Net. RNet and GNet are used to recognize the race and gender, respectively. ANet is utilized to predict the facial attractiveness score. Lower layers can are shared among three sub-networks (GNet, RNet and ANet). All the layers are fully convolutional, and all three branched layers are trained jointly.

 Hierarchical Multi-Task Network for Race, Gender and Facial Attractiveness Recognition (submitted to ICASSP 2019)

$$Loss_{g} = -glog(\hat{g}) - (1 - g)log(1 - \hat{g})$$

$$Loss_{r} = -\sum_{i} r_{i}log(\hat{r}_{i})$$

$$Loss_{a} = \begin{cases} \sum_{i} log(cosh(a_{i} - \hat{a}_{i})) & if|a_{i} - \hat{a}_{i}| \leq \delta \\ \sum_{i} \delta|a_{i} - \hat{a}_{i}| - \frac{1}{2}\delta^{2} & otherwise \end{cases}$$

$$f_{avg} = \frac{1}{\mathcal{C}} \sum_{i=1}^{\mathcal{C}} fm_i, \quad fm_i, f_{avg} \in \mathbb{R}^{w \times h \times c}$$
 (1)

$$f_{concat} = fm_1 \otimes \cdots \otimes fm_{\mathcal{C}}, \quad f_{concat} \in \mathbb{R}^{w \times h \times c \times \mathcal{C}}$$
 (2)

 Hierarchical Multi-Task Network for Race, Gender and Facial Attractiveness Recognition (submitted to ICASSP 2019)

**Table 2**: Performance comparison with other methods.

Model	MAE	RMSE	PC
AlexNet [12, 10]	0.2938	0.3819	0.8298
ResNet-18 [13, 10]	0.2818	0.3703	0.8513
ResNeXt-50 [14, 10]	0.2518	0.3325	0.8777
CRNet [21]	0.2835	0.3677	0.8558
HMT-Net (Ours)	0.2501	0.3263	0.8783

**Table 3**: We compare the performance on three tasks with or without jointly training, respectively.

With Joint Training			Without Joint Training		
$Acc_r$	$Acc_g$	PC	$Acc_r$	$Acc_g$	PC
99.26%	98.16%	0.8783	98.62%	97.56%	0.8616

**Table 7**: Comparison with other state-of-the-art models on [11]. PC is used as the performance metric as defined in [11].

Methods	PC	
Combined Features+Gaussian Reg [11]	0.6482	
CNN-based [11]	0.8187	
Liu et al. [23]	0.6938	
KFME [24]	0.7988	
RegionScatNet [5]	0.83	
PI-CNN [6]	0.87	
CRNet [25]	0.8723	
Transferred HMT-Net (Ours)	0.8977	

Multi-Task Tree Convolutional Neural Network for Facial Expression Recognition and Face Analysis

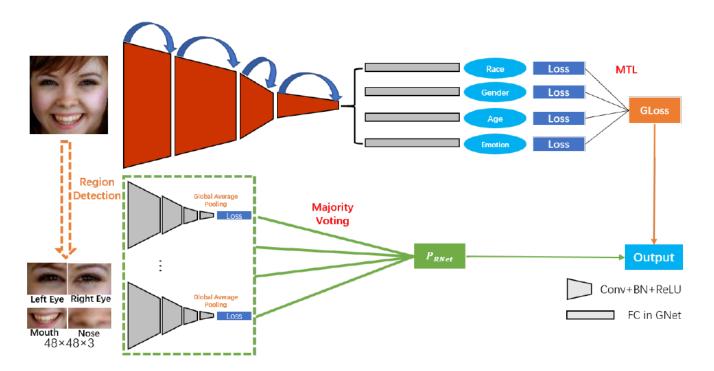


Figure 1: Overall architecture of TreeCNN. The facial part regions (left eye, right eye, nose and mouth) are detected and fed into a light-weighted *Region Network (RNet)* to obtain region information. The whole face is fed into a multi-task *Global Network (GNet)* to obtain global information. *GNet* follows an multi-task learning fashion, which indicates that *GNet* can perform FER and three additional face related recognition tasks (age estimation, gender recognition and race recognition) simultaneously. *RNet* follows an ensemble fashion, and the output is decided by majority voting.

Multi-Task Tree Convolutional Neural Network for Facial Expression Recognition and Face Analysis

#### **Multi-Task Learning**

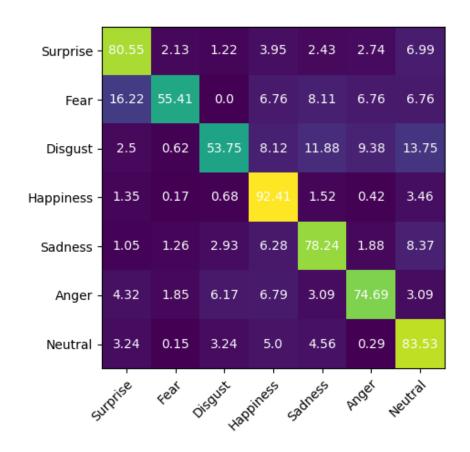
$$(\Theta_s^*, \Theta_{t_i}^*) = \underset{\Theta_s, \Theta_{t_i}}{\operatorname{argmin}} \, \lambda_i \mathcal{L}_i(\Theta_s, \Theta_{t_i}; I) + \sum_{j \neq i}^n \lambda_j \mathcal{L}_j(\Theta_s, \Theta_{t_i}; I)$$

$$\mathcal{L}_{mt} = \sum_{i=1}^{N} \alpha_i Loss_i$$

$$Loss_i = -\sum_{c=1}^{M} y_c log \tilde{y}_c$$

#### **Part Information Embedding**

$$R(x) = c_{\underset{j}{argmax} \sum_{i=1}^{T} r_{i}^{j}(x)}$$



Multi-Task Tree Convolutional Neural Network for Facial Expression Recognition and Face Analysis

Table 2: Performance comparison on RAF-DB [21] basic expressions with other state-of-the-art models and commercial APIs. TreeCNN outperforms other methods and achieves state-of-the-art performance.

Methods	Anger	Disgust	Fear	Happiness	Sadness	Surprise	Neutral	Average
Face++ API <sup>3</sup>	48.89	17.24	24.19	77.39	45.69	30.14	55.16	42.66
baseline VGG [27]	68.52	27.50	35.13	85.32	64.85	66.32	59.88	58.22
baseDCNN [21]	70.99	52.50	50.00	92.91	77.82	79.64	83.09	72.42
DLP-CNN [21]	71.60	52.15	62.16	92.83	80.13	81.16	80.29	74.20
Wen et al. [30]	68.52	53.13	54.05	93.08	78.45	79.63	83.24	72.87
Kuo et al. [19]	74.47	67.57	46.88	82.28	57.95	84.57	59.12	67.55
MRE-CNN [5]	83.95	57.50	60.81	88.78	79.92	86.02	80.15	76.73
Kervadec et al. [14]	-	-	-	-	-	-	-	71.7
TreeCNN (Ours)	76.54	53.13	55.41	92.07	77.41	82.07	85.44	74.58

#### Web Data Mining

Data-driven Approach for Quality Evaluation on Knowledge Sharing Platform (submitted to PAKDD 2019)

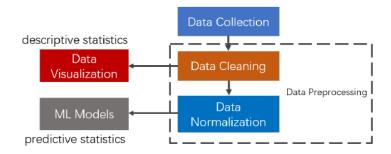


Fig. 1: The architecture of our data-driven method. The records are crawled from Zhihu Live official website and stored in MongoDB. Data preprocessing methods include cleaning and data normalization to make the dataset satisfy our target problem. We make detailed data analysis and predictive analysis.

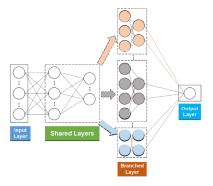
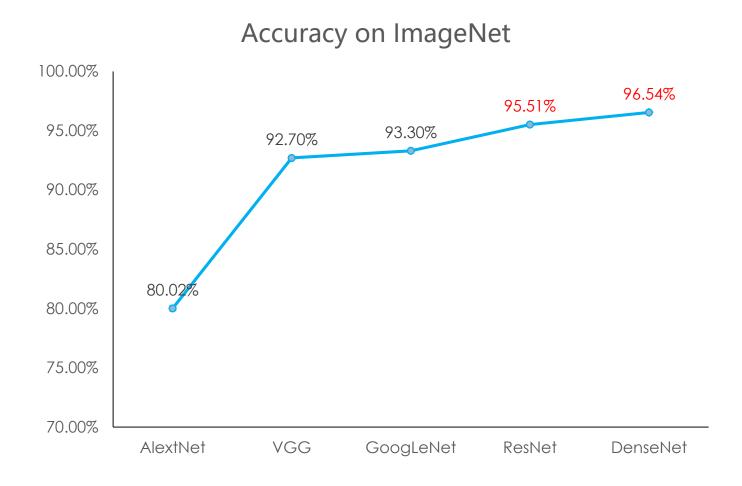


Fig. 2: Overall architecture of multi-branched deep neural network (MTB-DNN). It includes 4 parts: an input layer for receiving raw data; shared layers for general feature extraction through stacked layers and non-linear transformation; branched layers for specific feature extraction; and the output layer with one neuron. The output of the last shared layer is fed into different branches. These branches are trained jointly.

Table 7: Performance comparison with baseline regression models.

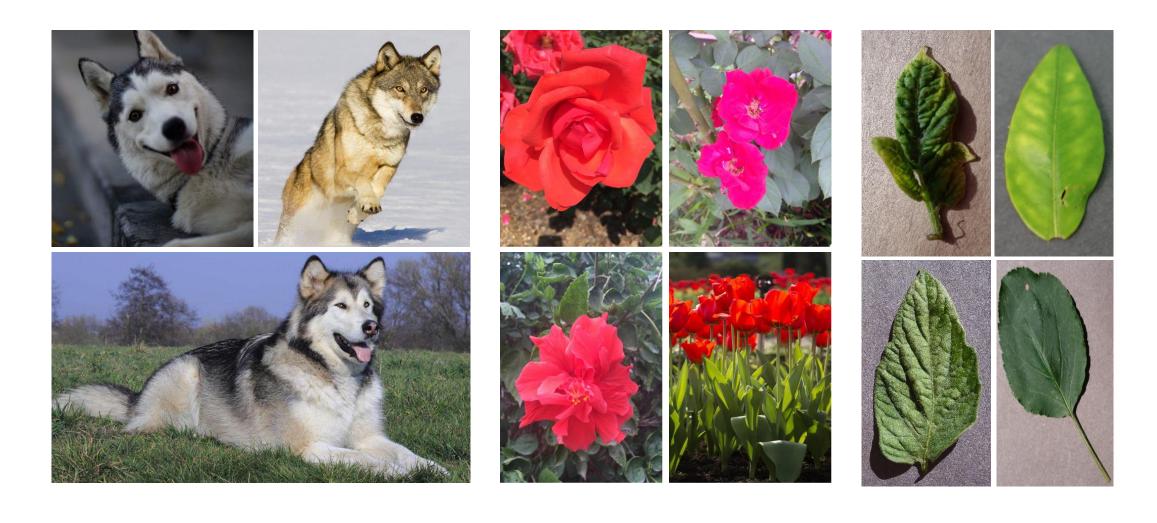
Regressor	MAE	RMSE
Ridged Regression	$0.309 \pm 0.01015554$	$0.41716 \pm 0.015474592$
Lasso Regression	$0.35038 \pm 0.016164065$	$0.46916 \pm 0.032221778$
KNN Regression	$0.32328 \pm 0.006829129$	$0.43888 \pm 0.006319968$
SVR (RBF)	$0.31022 \pm 0.011957508$	$0.43322 \pm 0.022196892$
SVR (Linear)	$0.30134 \pm 0.005944998$	$0.424 \pm 0.016474374$
SVR (Poly)	$0.29974 \pm 0.009122938$	$0.4208 \pm 0.013073255$
MLP	$0.32024 \pm 0.015835814$	$0.43496 \pm 0.017316842$
MTB-DNN	$0.29954 \pm 0.012644485$	$0.40114 \pm 0.011662461$

Experimental results between different regression algorithms. The architecture of MLP is 15-16-8-8-1, where each number represents the number of neurons in each layer. We try three kinds of kernels (RBF kernel, linear kernel, and poly kernel) with SVM regression. The best results are given in bold style.



Does Deep Learning Really Surpass Human on Visual Recognition?

### **02** Research Achievements

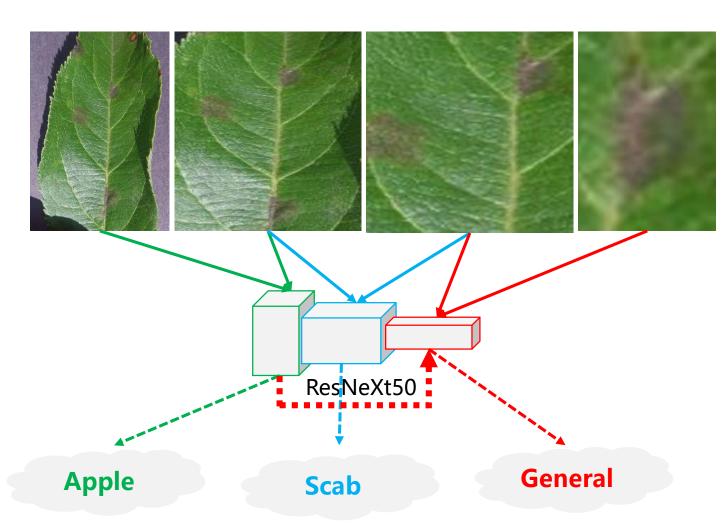


**How About These?** 

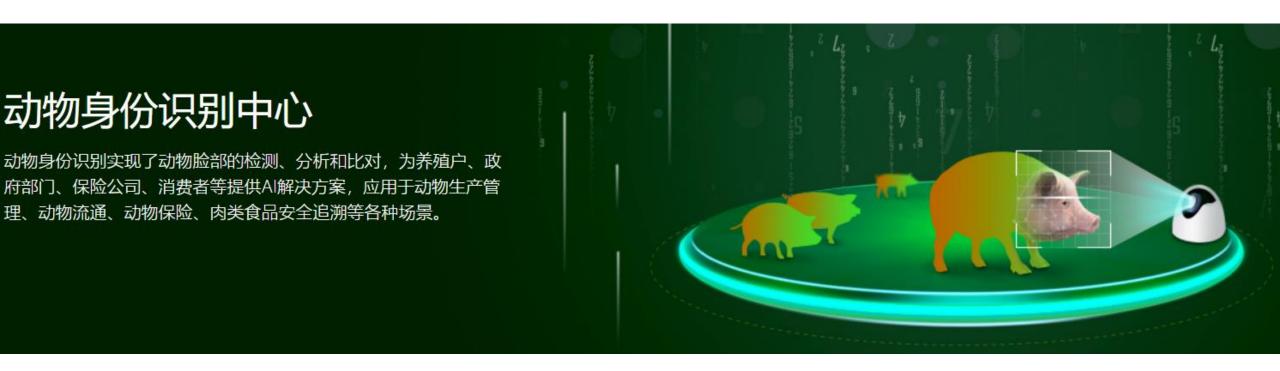
#### A Coarse-to-fine Manner for Fine-grained Visual Recognition

- Data Imbalance
  - Over Sampling
  - Mix-up
  - Weighted Softmax Loss
- Multi-level Categorization
  - Coarse-to-fine Classification
- Fine-grained Feature Learning
  - Zoom Data Augmentation
  - Multi-scale Feature Fusion

Our proposed method achieves over 87% accuracy on the challenging fine-grained classification task.



3 XCloud: From Research to Production



## MTCNN + FaceNet + L2 Distance + Django + MySQL (Cooperate With Guangzhou Yingzi Technology)



- Pure Python (Django + PyTorch)
- Better Network Architecture
  - $(30 \times Faster, 408 ms/Per Image on PC)$
- Bridge the Gap Between Research and Production
- Permanently Free for Research
- Current Partners (PKU, HKU)

Data Service

Face Analysis

Image Recognition





## 1 Future Works

- Generative Adversarial Network (GAN) has aroused much attention. We are working at applying GAN to Facial Attributes Analysis with Multi-Task fashion.
- Apply Deep Learning to Medical Image Processing.

### Thanks!

Speaker: Lu Xu

Supervisor: Jinhai Xiang

Research fields: Deep Learning/Computer Vision