

# Análise da Complexidade de Algoritmos Recursivos II

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- Generalização: algoritmos exponenciais
- Pesquisa binária – versão recursiva
- The Master Theorem
- The Smoothness Rule
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- Sugestões de leitura

Let's  
RECAP

# Recapitulação

# Decrease-And-Conquer

- Exploit the relationship between
  - A solution to a given problem instance
  - A solution to a **smaller instance of the same problem**
- General framework (Top-Down)
  - Identify **ONE** similar and smaller problem instance
  - The smaller instance is solved **recursively**
  - Solutions for smaller instances are processed to get the solution of the original problem, **if needed**

# Decrease-And-Conquer

- How does instance size **decrease** ?
- Decrease by a **constant factor**
  - $n ; n / 2 ; n / 4 ; \dots$
  - $n ; n / 3 ; n / 9 ; \dots$
- Decrease by a **constant**
  - $n ; n - 1 ; n - 2 ; \dots$
- **Variable-size** decrease
  - Size reduction pattern varies from iteration to iteration

# Decrease by a Constant Factor

- Reduce instance size by a constant factor in each iteration
  - Usually, decrease by halving !

$$T(1) = c$$

$$T(n) = T(n / b) + f(n)$$

- Examples ?

# Decrease by a Constant

- Reduce instance size by a constant in each iteration
  - Usually, decrease by one !

$$T(1) = c$$

$$T(n) = T(n - 1) + f(n)$$

- Examples ?

# Divide-And-Conquer

- The best-known algorithm design technique
- General framework
  - Divide a problem instance into (**two** or **more**) similar, smaller instances
  - The smaller instances are solved **recursively**
  - Solutions for smaller instances are combined to get the solution of the original problem, **if needed**



# Divide-And-Conquer

- In each subdivision step, the smaller instances should have approx. the same size !
- **All** smaller problem instances have to be solved !!
- When do we stop the subdivision process ?
  - Base cases ? Just one or more ?
  - Smaller instances might be solved by another algorithm

# Divide-And-Conquer

- This recursive strategy can be implemented
  - Using recursive functions / procedures (obvious solution !)
  - Iteratively, using a stack, queue, etc.
    - **Choose** which sub-problem to solve next !!
- Problems ?
  - Recursion is slow !
    - Solve small instances using other algorithms
  - Not the best approach for simple problems !
  - Sub-problems might **overlap** !
    - Reuse previous results / solutions – **Dynamic Programming** !

# Divide-And-Conquer

- Did you work out this example from our last class?
- Computing  $b^n$  using  $b^n = b^{n \text{ div } 2} \times b^{(n+1) \text{ div } 2}$
- Number of multiplications ?

$$M(n) = M(n \text{ div } 2) + M((n+1) \text{ div } 2) + 1$$

# Divide-And-Conquer

- What if  $n$  is a power of 2 ?
  - $n = 2^k$ ,  $k = \log_2 n$

$$M(n) = M(n / 2) + M(n / 2) + 1 = 2 M(n / 2) + 1 = \dots$$

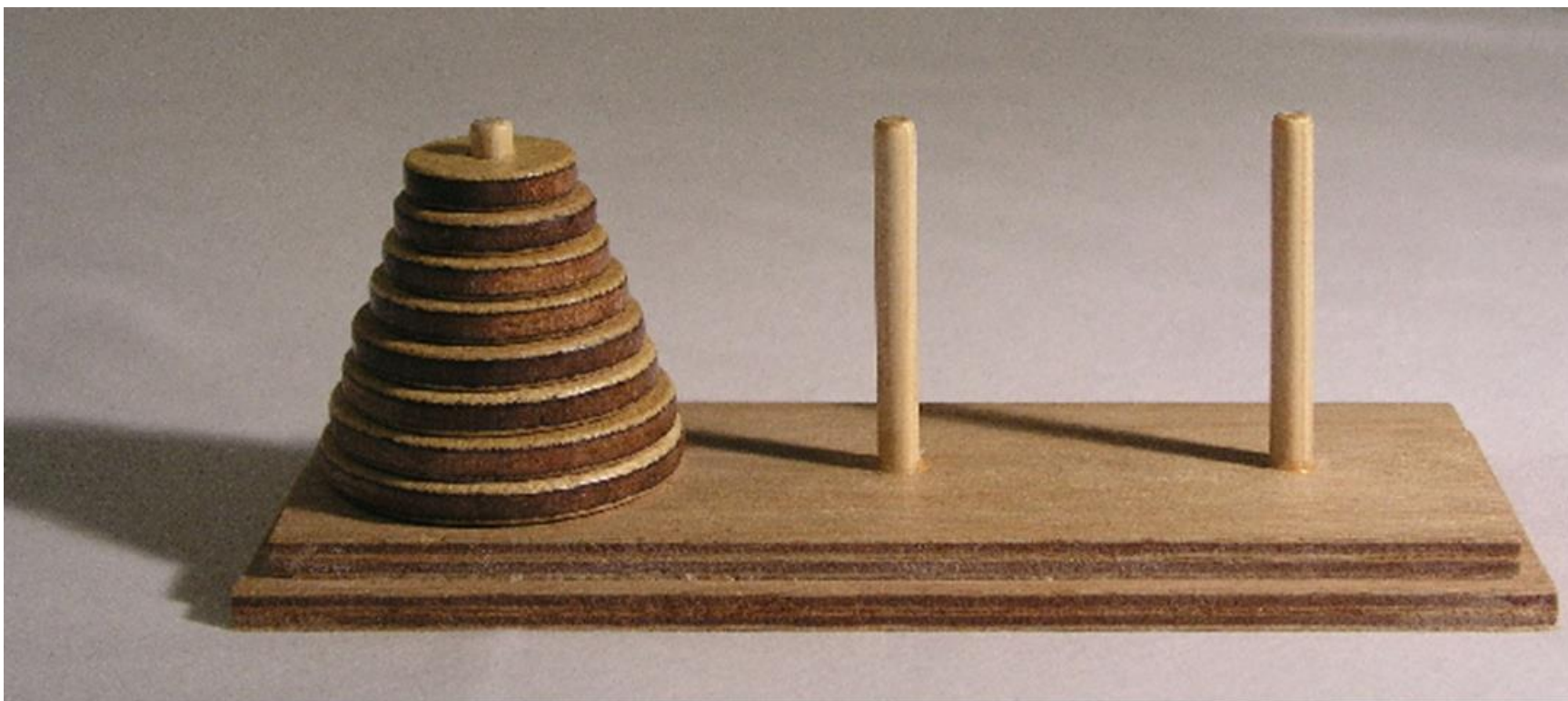
- Closed formula ? Complexity order ?
- Is it better than the direct algorithm ?

# Homework – Searching an Array

- Given a n-element array of integers
- Get the **max value**!
- Divide-And-Conquer
  - Get max value of **left sub-array** :  $((n+1) \text{ div } 2)$  elements
  - Get max value of **right sub-array** :  $(n \text{ div } 2)$  elements
  - Compare the two and return the largest
- How many **comparisons**?

# Generalização: algoritmos exponenciais

# As Torres de Hanói



[Wikipedia]

# Função recursiva

```
// torresDeHanoi('A', 'B', 'C', 8);

void torresDeHanoi(char origem, char auxiliar, char destino, int n) {
    if (n == 1) {
        contadorGlobalMovs++;
        moverDisco(origem, destino); // Imprime o movimento
        return;
    }

    // Divide-and-Conquer
    torresDeHanoi(origem, destino, auxiliar, n - 1);

    contadorGlobalMovs++;
    moverDisco(origem, destino);

    torresDeHanoi(auxiliar, origem, destino, n - 1);
}
```



# Nº de movimentos realizados

$$M(1) = 1$$

$$M(n) = M(n-1) + 1 + M(n-1) = 1 + 2 M(n-1)$$

$$M(n) = 1 + 2 M(n-1) = 1 + 2 \times (1 + 2 M(n-2)) = 1 + 2 + 4 M(n-2) = \dots$$

$$M(n) = 2^0 + 2^1 + 2^2 + \dots 2^{k-1} + 2^k M(n-k)$$

Caso de base:  $M(1) = 1$  ;  $k = n - 1$

$$M(n) = 2^0 + 2^1 + 2^2 + \dots 2^{n-2} + 2^{n-1} = 2^n - 1 \qquad \mathbf{M(n) \in \mathcal{O}(2^n)}$$

# Padrão de comportamento

$$T(1) = b$$

$$T(n) = a \times T(n - c) + d$$

- $a$  : nº de subproblemas a resolver em cada passo
- $b$  : nº de operações / tempo para o caso de base
- $c$  : diminuição do tamanho do problema
- $d$  : nº de operações / tempo de processamento a cada passo

# Decrease-and-Conquer

$$T(1) = b$$

$$T(n) = a \times T(n - c) + d$$

- $a = 1$

$$T(n) = b + d \times (n - 1) / c$$

$$T(n) \in \mathcal{O}(n)$$

- Aplica-se a algum exemplo anterior?
- Sugestão: fazer o desenvolvimento

# Divide-and-Conquer

$$T(1) = b$$

$$T(n) = a \times T(n - c) + d$$

- $a > 1$

$$T(n) = d/(1 - a) + (b - d/(1 - a)) \times a^{(n-1)/c}$$

$$T(n) \in \mathcal{O}(a^{\frac{n}{c}})$$

- Aplica-se às Torres de Hanói? Verificar!
- Sugestão: fazer o desenvolvimento

# Pesquisa binária

## – versão recursiva

# Binary Search

- Given a **sorted** array of  $n$  elements :  $A[\text{left}..\text{right}]$
- Search value / key  $X$  : index ?
- Idea
  - Compare  $A[\text{middle}]$  with  $X$
  - If **equal**, return middle
  - If **larger**, recursively **search in**  $A[\text{left}..\text{middle} - 1]$
  - If **smaller**, recursively **search in**  $A[\text{middle} + 1..\text{right}]$

# Binary Search

- How to compute **middle** ?
  - Be sure to avoid overflow ! Shifting !
- How many **comparisons** per iteration ?
  - Try using just one comparison !
- How to report a **non-existing value / key** ?
  - Signed vs. unsigned !

# Binary Search

- Let's do it !



# Binary Search

```
int pesqBinRec(int* v, int esq, int dir, int valor) {  
    unsigned int meio;  
  
    if (esq > dir) return -1;  
  
    meio = (esq + dir) / 2;  
  
    contadorComps++;  
    if (v[meio] == valor) {  
        return meio;  
    }  
    contadorComps++;  
    if (v[meio] > valor) {  
        return pesqBinRec(v, esq, meio - 1, valor);  
    }  
  
    return pesqBinRec(v, meio + 1, dir, valor);  
}
```

# Binary Search

- Best case ?
  - Just 1 iteration
- Worst case ?
  - Always select the largest partition !
  - Odd vs. even number of elements ?
  - When do we always have equal-sized partitions ?
- Try to obtain a closed formula for the number of iterations !!

# Binary Search

- Let's do it !

# Binary Search

- $n = 2^k$
- $\text{esq} = 0$      $\text{dir} = 2^k - 1$      $\text{meio} = 2^{k-1} - 1$
- **Pior caso:** escolher sempre a **partição da direita**
  - É a maior das duas !!

$$W(1) = 2$$

$$W(n) = 2 + W(n/2) = 4 + W(n/4) = 6 + W(n/8) = \dots$$

$$W(n) = 2 \times k + W(1) = 2 + 2 \log n$$

$$W(n) \in \mathcal{O}(\log_2 n)$$

# Extra – The Fake-Coin Problem

- Given  $n$  identically looking coins
- Find the one that is a fake !
- Use only a balance scale !
- The fake coin is lighter than a genuine one !
- Efficient algorithm ?

# Extra – Ternary Search

- Given a **sorted** array of n elements :  $A[\text{left}..\text{right}]$
- Search value / key X : index ?
- Idea
  - Compare  $A[\text{leftThird}]$  with X
  - If **equal**, return leftThird
  - If **larger**, recursively search in  $A[\text{left}..\text{leftThird} - 1]$
  - Compare  $A[\text{rightThird}]$  with X
  - If **equal**, return rightThird
  - If **larger**, rec. search in  $A[\text{leftThird} + 1..\text{rightThird} - 1]$
  - If **smaller**, recursively search in  $A[\text{rightThird} + 1..\text{right}]$

# General Recurrence

- Assume that  $n = b^k$ ,  $k \geq 1$
- Number of operations, i.e., execution time

$$T(n) = a T(n / b) + f(n)$$

- $a$  : number of smaller instances (integer,  $a \geq 1$ )
- $b$  : size factor (integer,  $b \geq 2$ )
- $f(n)$  : number of ops (or time) for defining smaller instances and/or combining their results

# The Master Theorem



# The Master Theorem

- Given a recurrence, for  $n = b^k$ ,  $k \geq 1$

$$T(1) = c \quad \text{and} \quad T(n) = a T(n / b) + f(n)$$

where  $a \geq 1$ ,  $b \geq 2$ ,  $c > 0$

- **Theorem :** If  $f(n)$  in  $\Theta(n^d)$ , where  $d \geq 0$ , then

$$T(n) \text{ in } \Theta(n^d), \text{ if } a < b^d$$

$$T(n) \text{ in } \Theta(n^d \log n), \text{ if } a = b^d$$

$$T(n) \text{ in } \Theta(n^{\log_b a}), \text{ if } a > b^d$$

# The Master Theorem

- Allows directly obtaining **complexity order**, given a recurrence
  - But **not a closed formula** for the number of ops !
- Similar results hold for the  **$O(n)$**  and  **$\Omega(n)$**  notations
- Example

$$M(n) = 2 M(n / 2) + 1$$

$$f(n) = 1, f(n) \text{ in } \Theta(n^0), d = 0$$

$$a = 2, b = 2, a > b^d$$

$$M(n) \text{ in } \Theta(n)$$

# The Smoothness Rule

# Smooth Functions

- **Eventually non-decreasing** function

$$f(n_1) \leq f(n_2), \text{ for any } n_2 > n_1 \geq n_0$$

- **Smooth** function

- 1)  $f(n)$  is eventually non-decreasing
- 2)  $f(2n)$  in  $\Theta(f(n))$

- **Examples**

- $\log n$  ,  $n$ ,  $n \log n$  and  $n^k$  are smooth functions
- $a^n$  is not !!

# The Smoothness Rule

- Let  $T(n)$  be an eventually non-decreasing function.
- And let  $f(n)$  be a **smooth function**.
- If  $T(n)$  is  $\Theta(f(n))$  for values of  $n$  that are **powers of  $b$** , where  $b \geq 2$ ,
- Then  **$T(n)$  is  $\Theta(f(n))$** .
- Analogous results for  **$O(n)$**  and  **$\Omega(n)$**  !!
- **This is very good news !!**

# Decrease by a Constant Factor

- Reduce instance size by a constant factor in each iteration

$$T(1) = c$$

$$T(n) = T(n / b) + f(n)$$

- Complexity ?
  - $T(n)$  in  $\Theta(\log n)$ , if  $f(n) = \text{constant}$
  - $T(n)$  in  $\Theta(n)$ , if  $f(n)$  in  $\Theta(n)$
- Examples ?

# Exercício adicional

# Mais um algoritmo – Decrease-And-Conquer

- Desenvolva uma função para calcular  $b^n$  usando

$$b^n = b^{n \text{ div } 2} \times b^{n \text{ div } 2}, \text{ se } n \text{ é par}$$

$$b^n = b \times b^{(n-1) \text{ div } 2} \times b^{(n-1) \text{ div } 2}, \text{ se } n \text{ é impar}$$

- Fazer **uma só chamada recursiva** em cada passo !!
- Quais são os **casos de base** ?
- Quantas **multiplicações** são efetuadas ?
- Qual é a **ordem de complexidade** ?



# Sugestões de leitura

# Sugestões de leitura

- A. Levitin, Introduction to the Design and Analysis of Algorithms, 3<sup>rd</sup> Edition, 2012
  - Capítulo 4: secção 4.4
  - Capítulo 5: secção 5.4
  - Apêndice B