

# Neural Machine Translation what's linguistics got to do with it?

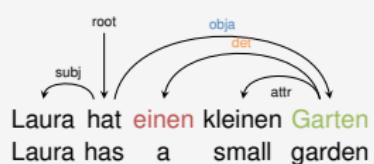
Rico Sennrich

University of Edinburgh

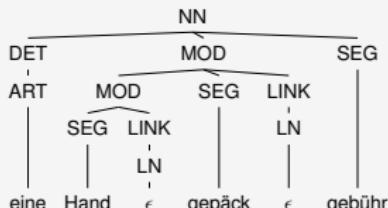


# Setting the Scene: 2014–2015

research trend: more linguistics for statistical machine translation



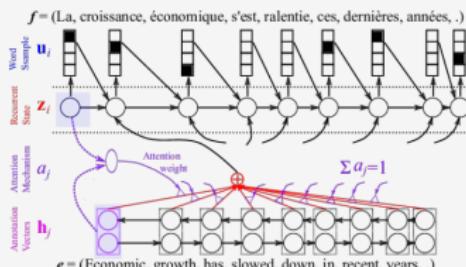
syntax-based LM  
[Sennrich, TACL 2015]



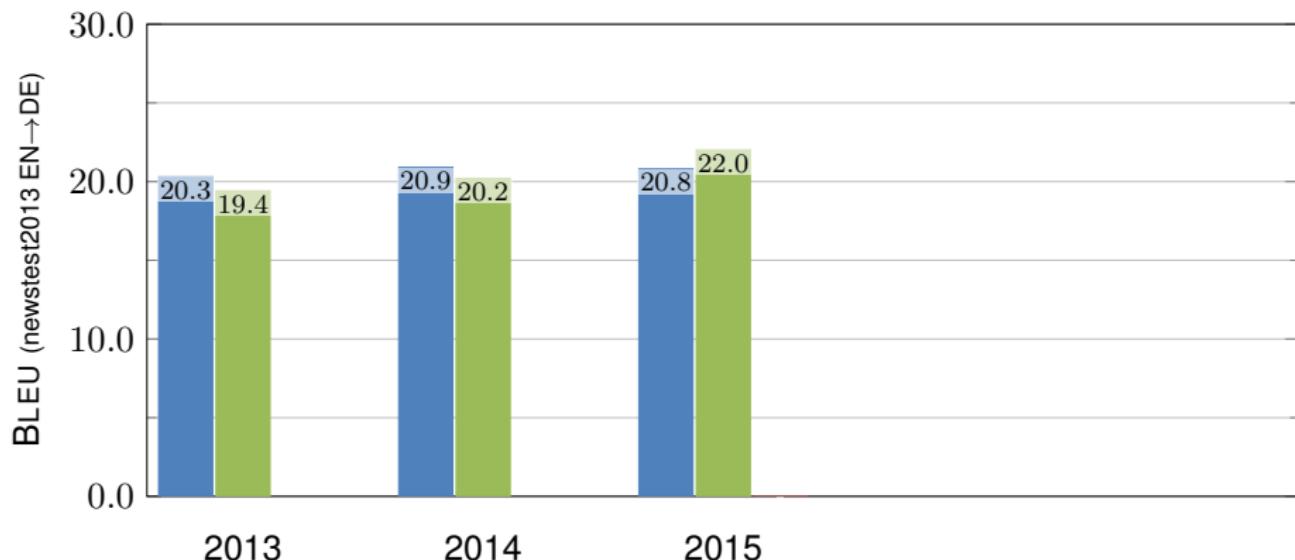
morphological structure  
[Sennrich, Haddow, EMNLP 2015]

## a new challenger appears: neural machine translation

- requires minimal domain knowledge
- similar models used for speech and computer vision



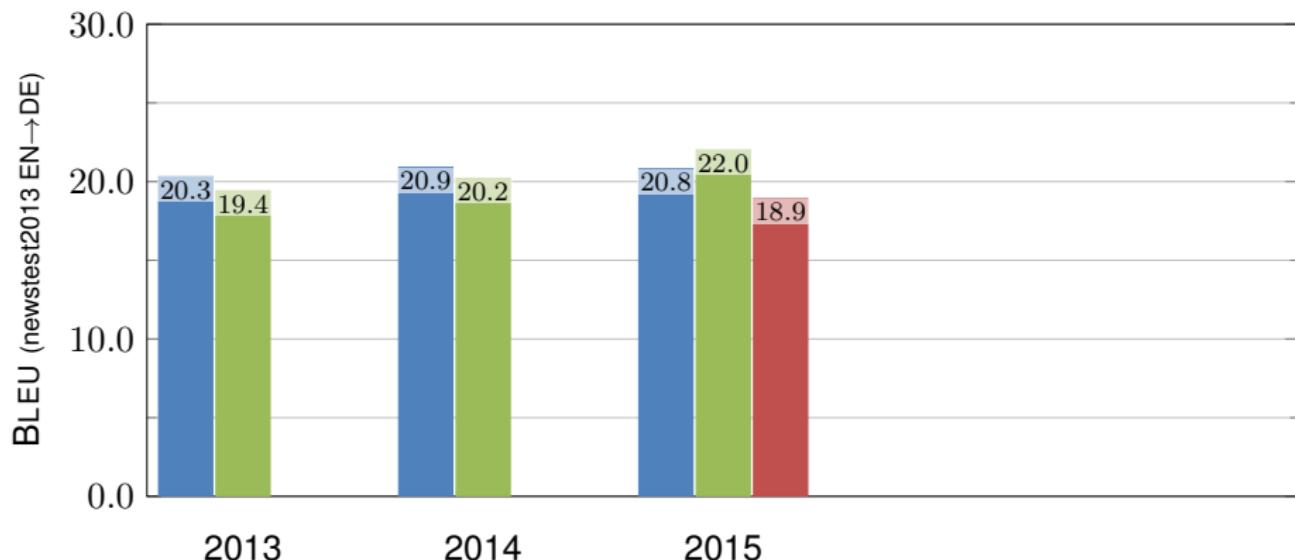
# Edinburgh's\* WMT Results over the Years



- phrase-based SMT
- syntax-based SMT
- neural MT

\*NMT 2015 from U. Montréal: <https://sites.google.com/site/acl16nmt/>

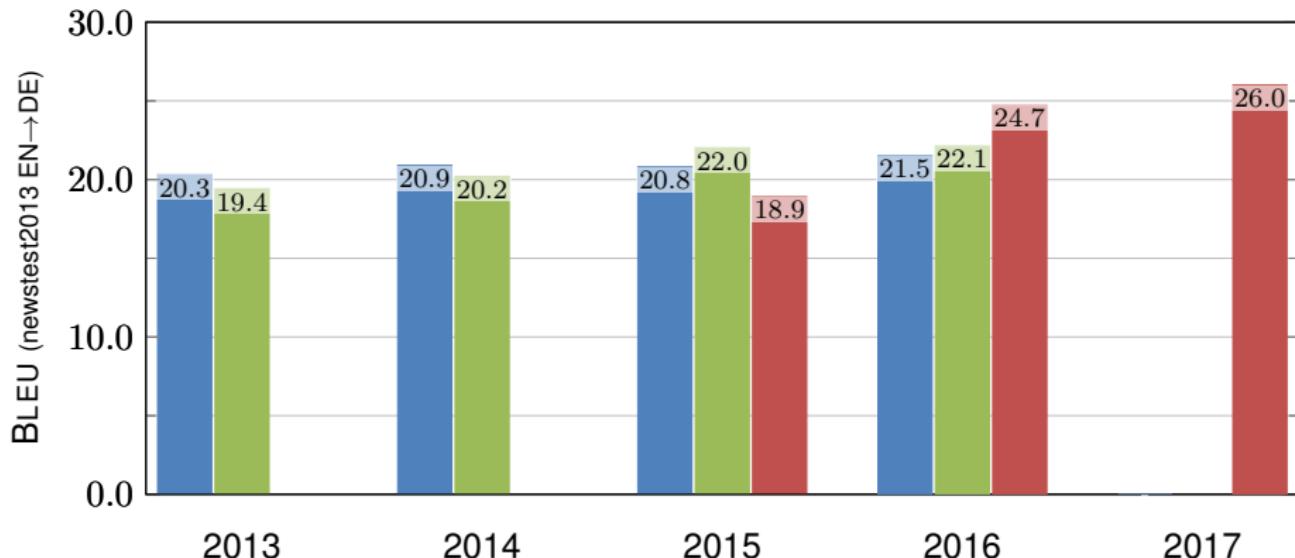
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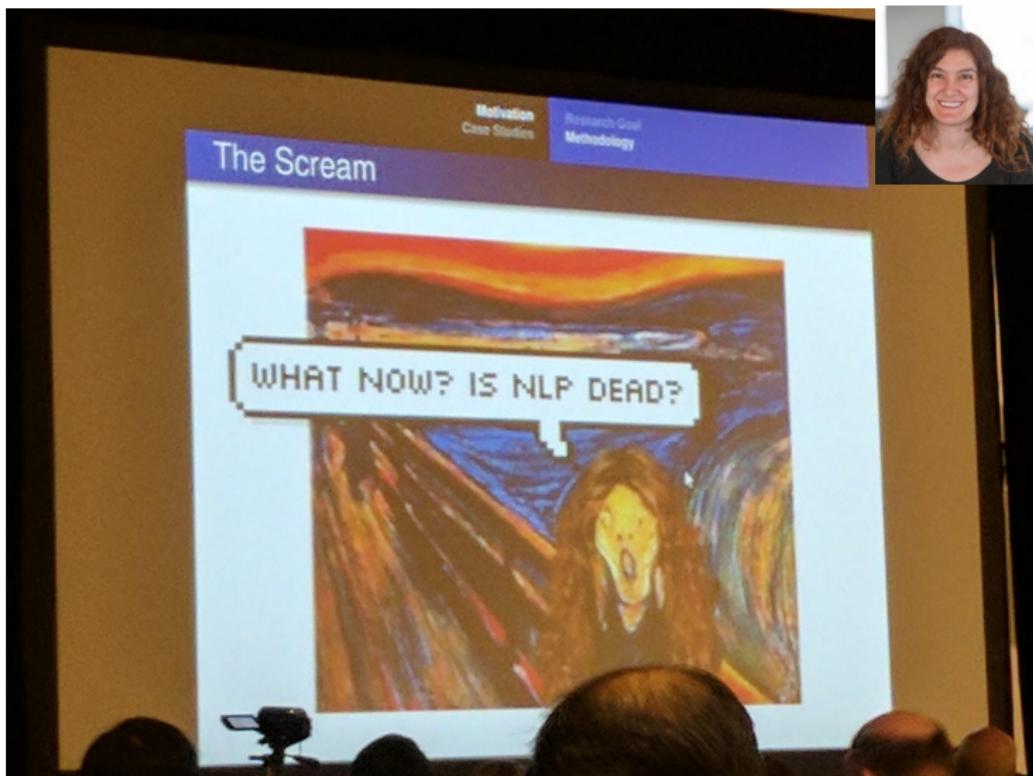
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# What Now?

do we still need linguistics for MT?

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# Today's Talk

case studies on how linguistics is helping neural MT research

- linguistically motivated (but non-linguistic) models
- targeted evaluation of neural MT
- linguistically informed models

# NMT: what's linguistics got to do with it?

- 1 Linguistically Motivated (but Non-Linguistic) Models
- 2 Targeted Evaluation of Neural MT
- 3 Linguistically Informed Models

# Open-Vocabulary Neural MT

## problem

word-level neural networks use one-hot encoding

→ closed and small vocabulary

this gets you 95% of the way...

... if you only care about automatic metrics

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rare outcomes have high self-information

source

The **indoor temperature** is very pleasant.

reference

Das **Raumklima** ist sehr angenehm.

---

[Bahdanau et al., 2015]

Die **UNK** ist sehr angenehm.

X

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[Bahdanau et al., 2015]	Die <b>UNK</b> ist sehr angenehm.	X
[Jean et al., 2015]	Die <b>Innenpool</b> ist sehr angenehm.	X

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[Sennrich, Haddow, Birch, ACL 2016]	Die <b>Innen+ temperatur</b> ist sehr angenehm.	✓

## linguistic motivation

- translation is open-vocabulary problem
- rare words matter
- morphological typology: 1-to-many translations are common  
→ problem for backoff mechanism
- rare words are often morphologically complex and can be broken down into smaller units
  - **solar system** (English)
  - **Sonnen|system** (German)
  - **Nap|rendszer** (Hungarian)

# Subword Neural MT

## goal

subword segmentation that:

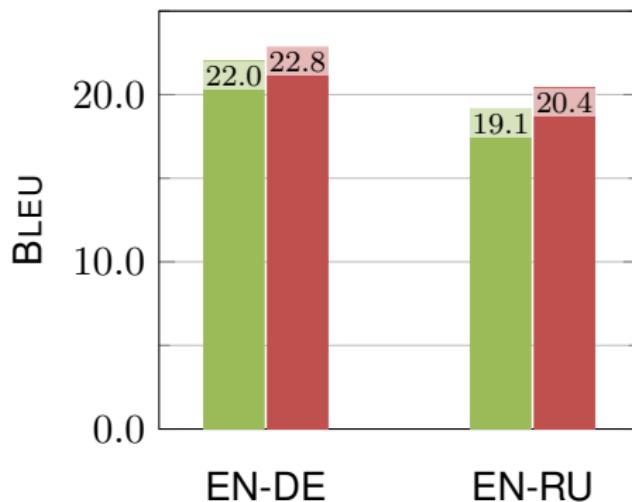
- uses a closed vocabulary of subword units
- can represent open vocabulary (including unknown words)
- minimizes the sequence length (given the vocabulary size)

## solution

- greedy compression algorithm: byte pair encoding (BPE) [Gage, 1994]
- we adapt BPE to word segmentation
- hyperparameter: vocabulary size

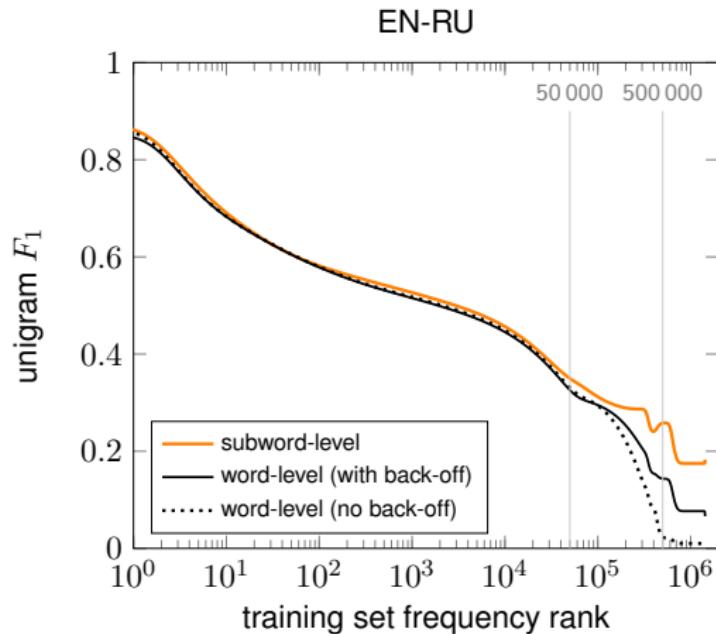
vocabulary size	text
300	t+ h+ e+ i+ n+ d+ o+ o+ r t+ e+ m+ p+ e+ r+ a+ t+ u+ r+ e i+ s v+ e+ r+ y p+ l+ e+ a+ s+ a+ n+ t
1300	the in+ do+ or t+ em+ per+ at+ ure is very p+ le+ as+ ant
10300	the in+ door temper+ ature is very pleasant
50300	the indoor temperature is very pleasant

# Subword NMT: Translation Quality



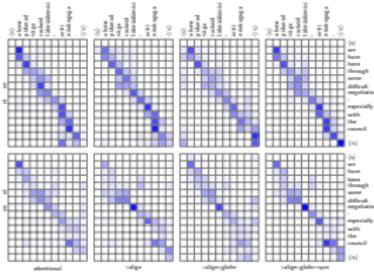
- word-level NMT (with back-off) [Jean et al., 2015]
- subword-level NMT

# Subword NMT: Translation Quality



# Linguistically Motivated Models

水 (water)  
河 river  
湖 lake  
海 sea



logographic input

[Costa-jussà et al., 2017]

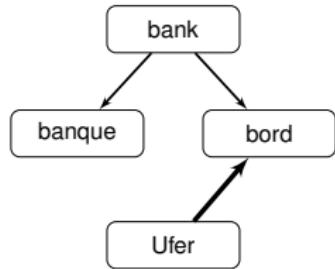
[Cai and Dai, 2017]

structural alignment biases

[Cohn et al., 2016]

multi-source translation

[Zoph and Knight, 2016]



- 1 Linguistically Motivated (but Non-Linguistic) Models
- 2 Targeted Evaluation of Neural MT
- 3 Linguistically Informed Models

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hypothesis: | model A obtains higher BLEU than model B on data set X

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Bruno Bastos / CC BY 2.0

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Tim Sheeran-Chase / CC BY 2.0

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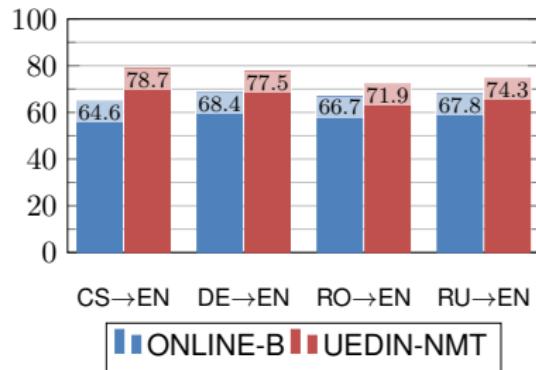
- being able to test our hypotheses is beauty of empirical NLP
- complex, interesting hypotheses need targeted evaluation
- I want to see more interesting hypotheses
  - we need more targeted evaluation

# Human Evaluation of Neural MT

[Bojar et al., 2016]

## Fluency

is translation good English?  
+13%



## Adequacy

is meaning preserved?  
+1%

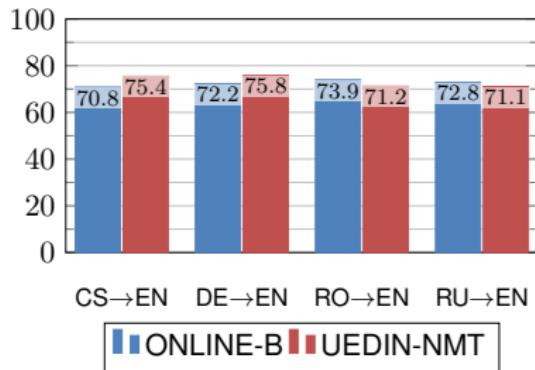


Figure: WMT16 direct assessment results

# Human Evaluation in TraMOOC

[Castilho, Moorkens, Gaspari, Sennrich, Sosoni, Georgakopoulou, Lohar, Way, Miceli Barone, Gialama, MT Summit XVI, 2017]

- direct assessment of NMT (vs. PBSMT):
  - fluency: +10%
  - adequacy: +1%

## Error Annotation

category	SMT	NMT	difference
inflectional morphology	2274	1799	<b>-21%</b>
word order	1098	691	<b>-37%</b>
omission	421	362	-14%
addition	314	265	-16%
mistranslation	1593	1552	-3%
"no issue"	449	788	<b>+75%</b>

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what about...?

- character-level models [Lee et al., 2016]
- convolutional models [Gehring et al., 2017]
- models with self-attention [Vaswani et al., 2017]

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how...?

- do we compare different architectures?
- do we measure improvement over time?

# How to Assess Specific Aspects in MT?

- human evaluation
  - ✗ costly; hard to compare to previous work
- automatic metrics (BLEU)
  - ✗ too coarse; blind towards specific aspects

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## contrastive translation pairs

- NMT models assign probability to any translation
- binary classification task: which translation is better?
- choice between reference translation and contrastive variant
  - corrupted with single error of specific type
- ≈ minimal pairs in linguistics

# Assessment with Contrastive Translation Pairs

## workflow

- researcher wants to analyse difficult translation problem
- researcher predicts what errors NMT system might make
- researcher creates test set with correct translations and corrupted variants
- test set allows automatic, quantitative, and reproducible analysis of NMT model

## example

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## example

- subject–verb agreement
- change grammatical number of verb to introduce agreement error
- 35000 contrastive pairs created with simple linguistic rules

# Contrastive Translation Pairs

	sentence	prob.
English	[...] that the <b>plan will</b> be approved	
German (correct)	[...], dass der <b>Plan verabschiedet wird</b>	0.1 ✓
German (contrastive)	* [...], dass der <b>Plan verabschiedet werden</b>	0.01
subject-verb agreement		

## LingEval97

- 97 000 contrastive translation pairs
- based on English→German WMT test sets
- rule-based, automatic creation of errors
- 7 error types
- metadata for in-depth analysis:
  - error type
  - distance between words
  - word frequency in WMT15 training set

# Case Study: Some Open Questions in Neural MT

 Kyunghyun Cho  
@kchonyc

Following ▾

Fully char-level NMT! It works well on all four language pairs we've considered ({Cs, De, Ru, Fi}->En), and we... [fb.me/1oRwyQvZD](http://fb.me/1oRwyQvZD)

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RETWEETS 32 LIKES 83



9:12 AM - 11 Oct 2016

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## text representation

word-level

but as the **example** of Mobilking in Poland **shows**  
|————— 5 steps —————|

subword-level  
(byte-pair encoding)

but as the **example** of Mobil+ king in Poland **shows**  
|————— 6 steps —————|

character-level

b u t \_ a s \_ t h e \_ e x a m p l e \_ o f \_ M o b i l k i n g \_ i n \_ P o l a n d \_ s h o w s  
|————— 29 steps —————|

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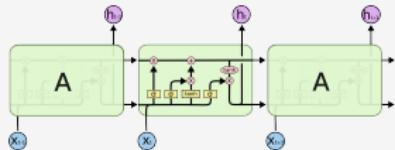
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## text representation

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does network architecture affect learning of long-distance dependencies?  
architectures

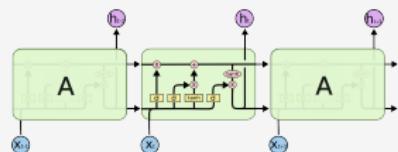


RNN vs. GRU vs. LSTM

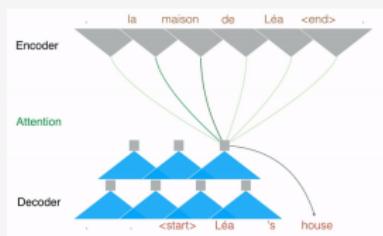
Christopher Olah <http://colah.github.io/posts/2015-08-Understanding-LSTMs/>

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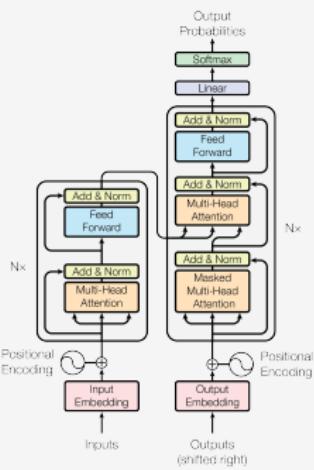
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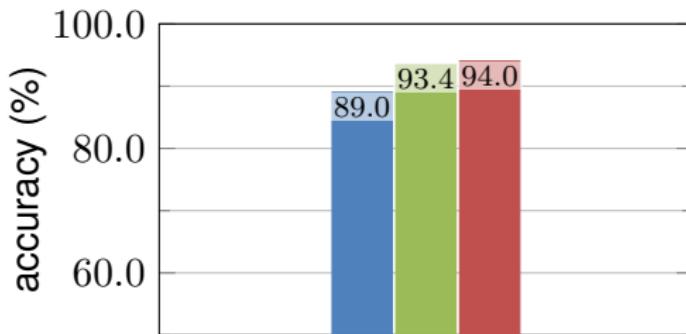


(convolution)  
[Gehring et al., 2017]



(self-attention)  
[Vaswani et al., 2017]

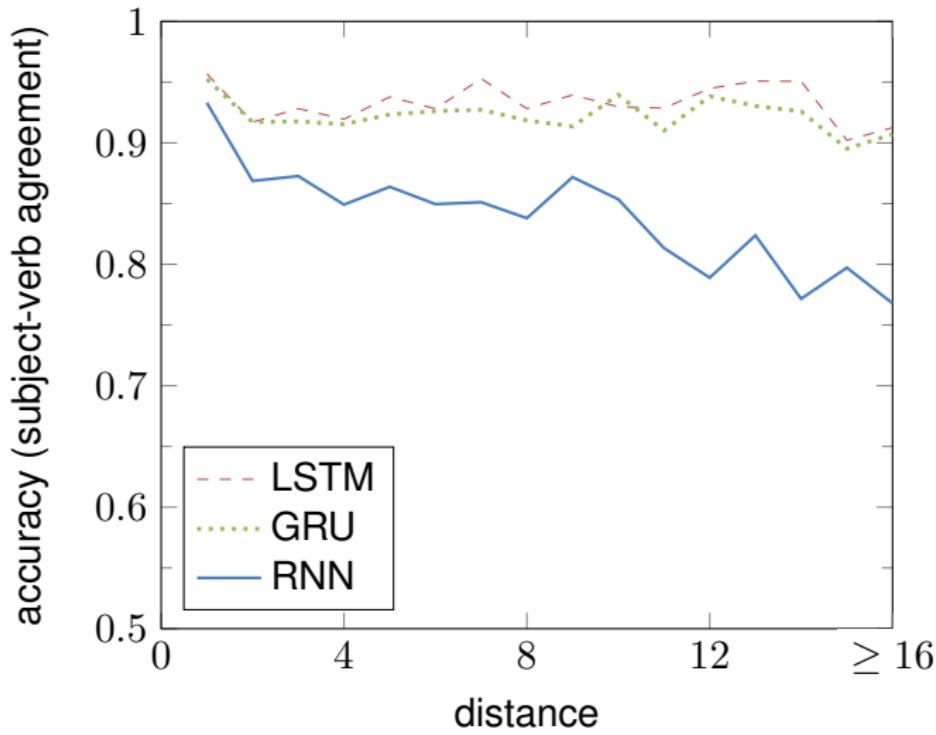
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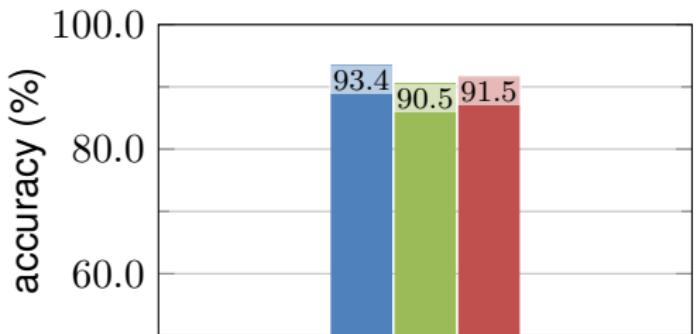
subject-verb  
agreement  
n=35105



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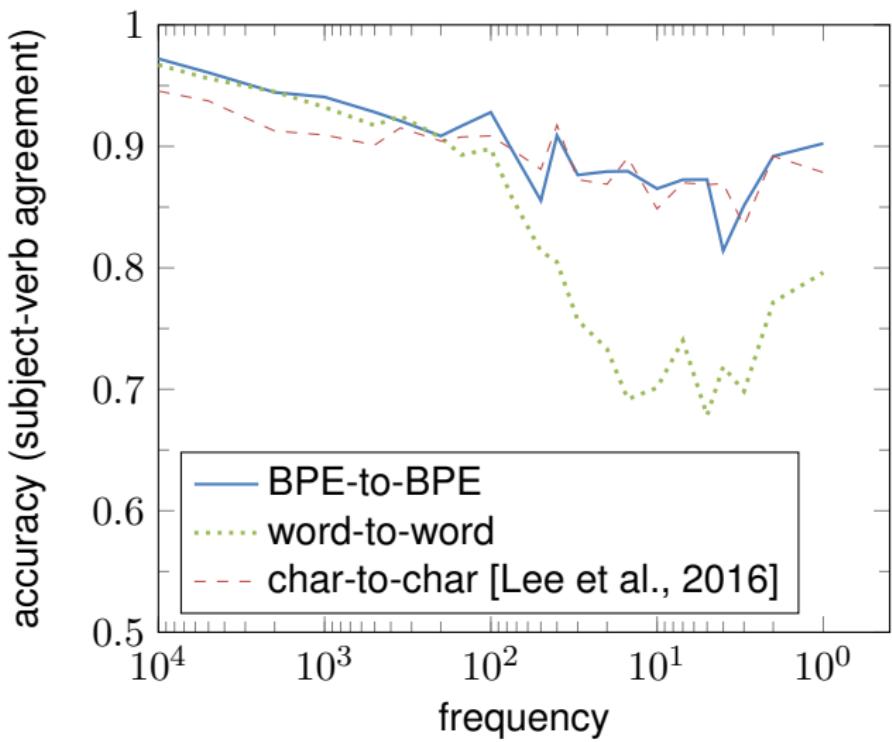
# Results: Text Representation



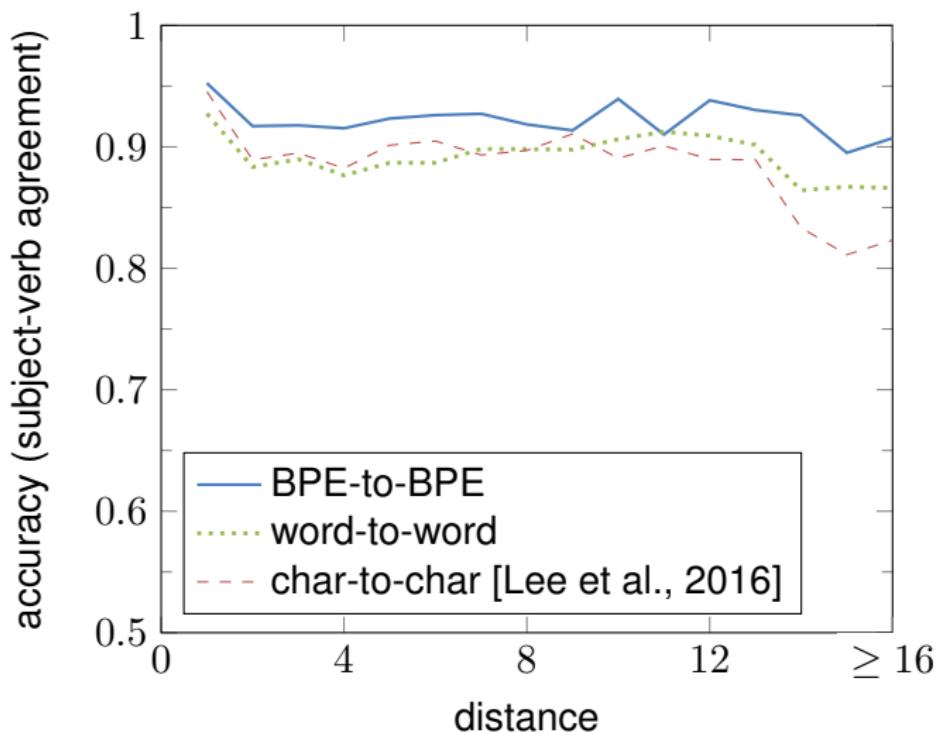
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- BPE-to-BPE
- word-to-word
- char-to-char [Lee et al., 2016]

## Results: Text Representation



# Results: Text Representation



# What Did We Learn?

- method verifies strength of LSTM and GRU  
→ future work: test of convolutional model and self-attention
- word-level model is poor for rare words
- character-level model is poor for long distances
- BPE subword segmentation is good compromise

# Targeted Analysis: Adequacy

adequacy is open problem

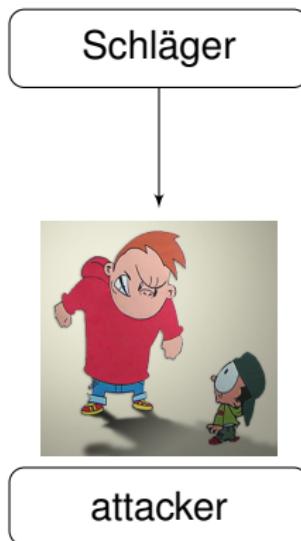
system	sentence
source reference	Dort wurde er von dem <b>Schläger</b> und einer weiteren männl. Person erneut angegriffen. There he was attacked again by his <b>original attacker</b> and another male.
our NMT	There he was attacked again by the <b>racket</b> and another male person.
Google	There he was again attacked by the <b>bat</b> and another male person.

Schläger

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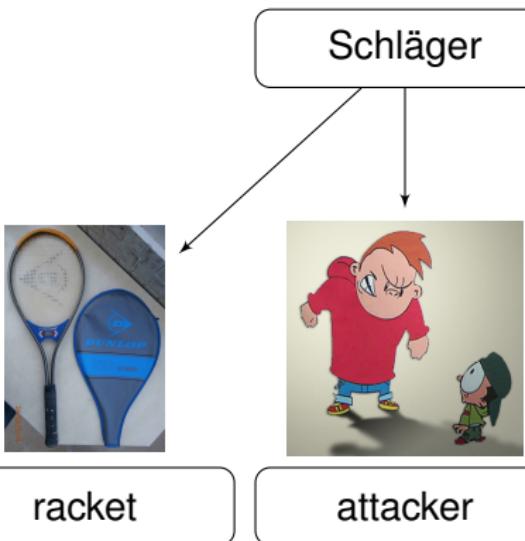
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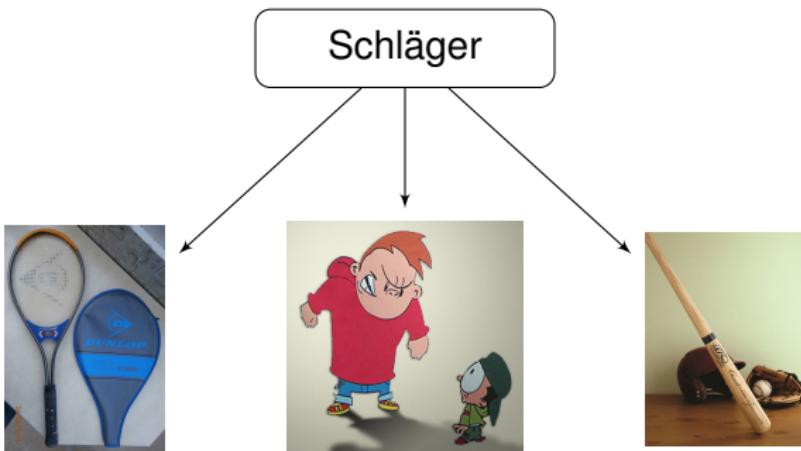
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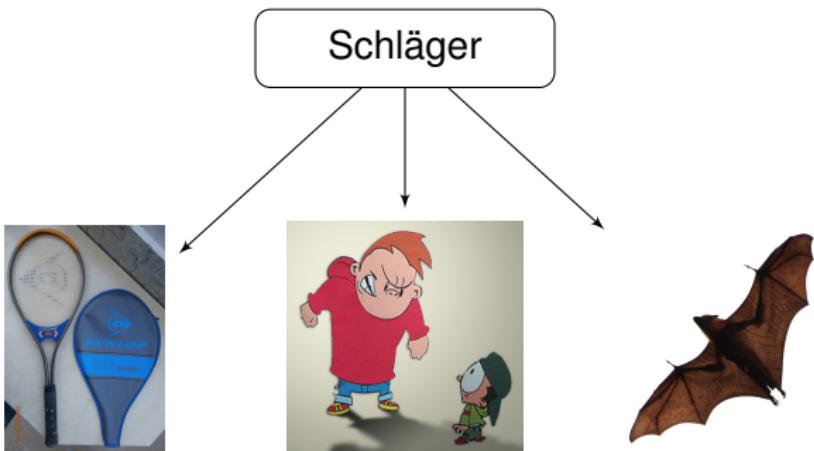
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racket

attacker

bat

# Targeted Analysis: Adequacy

focus on two types of adequacy errors:

- lexical word sense disambiguation:  
translate ambiguous word with wrong word sense
- polarity:  
deletion or insertion of negation marker ("not", "no", "un-")

manual error analysis [Fancellu and Webber, 2015]

translation errors (Chinese→English hierarchical PBSMT):

- insertion of negation (1–2%)
- deletion of negation (10–20%)
- reordering errors (1–20%)

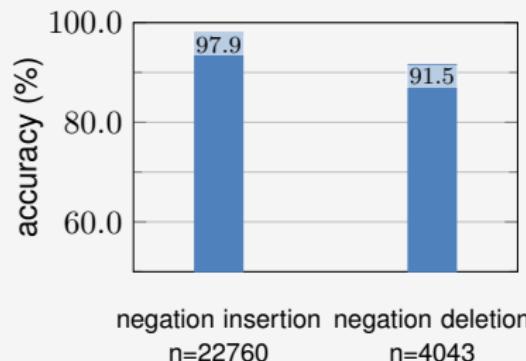
# Polarity

manual error analysis [Fancellu and Webber, 2015]

translation errors (Chinese→English hierarchical PBSMT):

- insertion of negation (1–2%)
- deletion of negation (10–20%)
- reordering errors (1–20%)

automatic analysis (Lingeval97; NMT)



## test set (ContraWSD)

- 35 ambiguous German nouns
- 2–4 senses per source noun
- contrastive translation sets (1 or more contrastive translations)
- ≈ 100 test instances per sense  
→ ≈ 7000 test instances

---

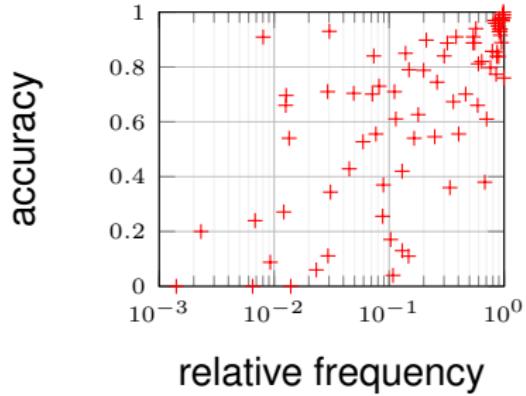
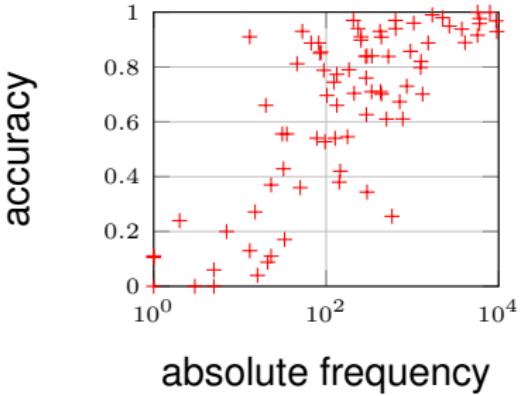
source: *Also nahm ich meinen amerikanischen Reisepass und stellte mich in die **Schlange** für Extranjeros.*

reference: *So I took my U.S. passport and got in the **line** for Extranjeros.*

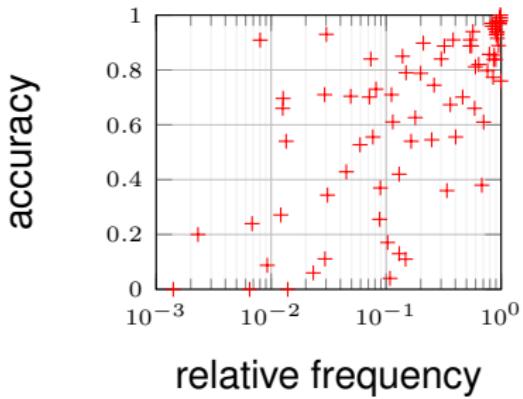
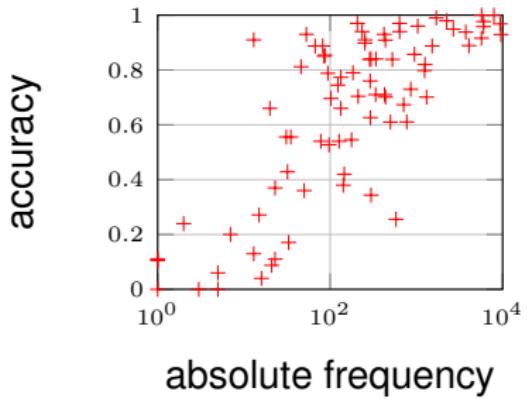
contrastive: *So I took my U.S. passport and got in the **snake** for Extranjeros.*

contrastive: *So I took my U.S. passport and got in the **serpent** for Extranjeros.*

# Word Sense Disambiguation



# Word Sense Disambiguation



WSD is challenging, especially for rare word senses

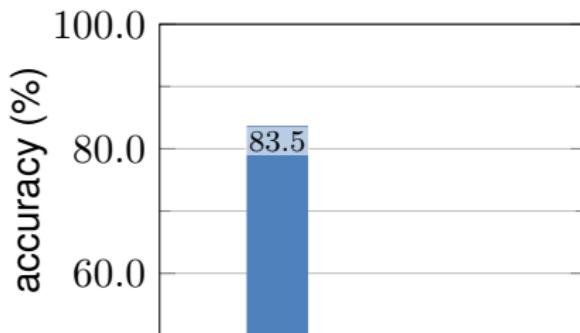
# Word Sense Disambiguation: Measuring Progress

## UEDIN-NMT at WMT (German→English)

[Sennrich, Birch, Currey, Germann, Haddow, Heafield, Miceli Barone, Williams, WMT 2017]

- at WMT16, UEDIN-NMT was top-ranked
- large lead in fluency; small lead in adequacy
- for WMT17, we improved our MT system in several ways:
  - deep transition networks
  - layer normalization
  - better hyperparameters
  - better ensembles
  - (slightly) more training data
- are we getting better at word sense disambiguation?

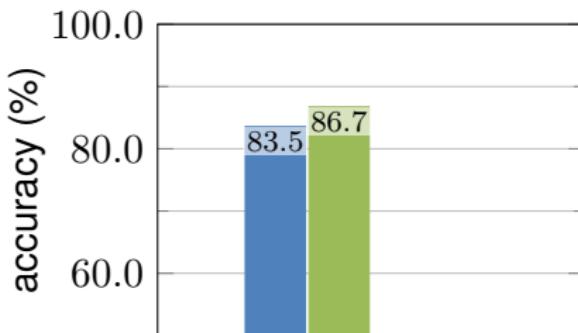
# Results: Word Sense Disambiguation



word sense disambiguation accuracy  
n=7359

- UEDIN-NMT @ WMT16: single
- UEDIN-NMT @ WMT17: single
- UEDIN-NMT @ WMT17: ensemble
- ≈ human performance (sentence-level)

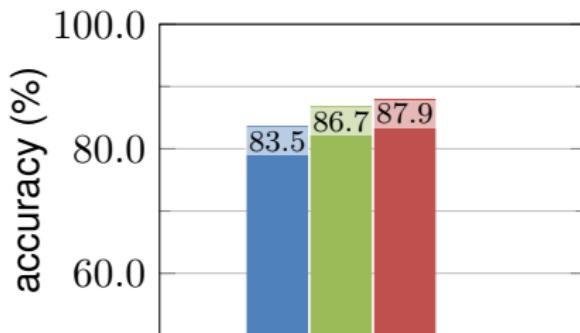
# Results: Word Sense Disambiguation



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- ≈ human performance (sentence-level)

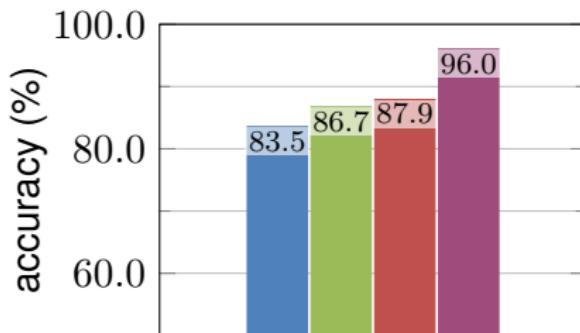
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# Results: Word Sense Disambiguation



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# What Did We Learn?

- word sense disambiguation remains challenging problem in MT, but measurable progress in last year
- On sentence-level, even humans may find it challenging

German	<i>Sehen Sie die <b>Muster</b>?</i>
reference	<i>Do you see the <b>patterns</b>?</i>
contrastive	<i>Do you see the <b>examples</b>?</i>

→ new possibility for targeted evaluation of document-level modelling

- 1 Linguistically Motivated (but Non-Linguistic) Models
- 2 Targeted Evaluation of Neural MT
- 3 Linguistically Informed Models

# Linguistic Structure is Coming Back to (Neural) MT

segmentation	word
None	perusasian
BPE	perusasi: an
Omorfi	perus: asia: n

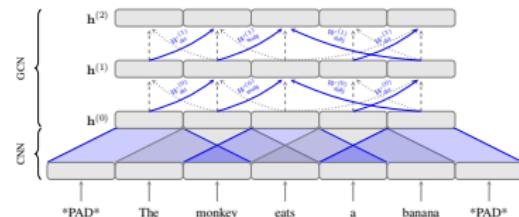
## Morphology

[Sánchez-Cartagena and Toral, 2016]

[Tamchyna et al., 2017]

[Huck et al., 2017]

[Pinnis et al., 2017]



## Syntax

[Sennrich and Haddow, 2016]

[Eriguchi et al., 2016]

[Bastings et al., 2017]

[Aharoni and Goldberg, 2017]

[Nadejde et al., 2017]

## disambiguate words by POS

English	German
close <sub>verb</sub>	schließen
close <sub>adj</sub>	nah
close <sub>noun</sub>	Ende

source

We thought a win like this might be close<sub>adj</sub>.

reference

Wir dachten, dass ein solcher Sieg nah sein könnte.

baseline NMT

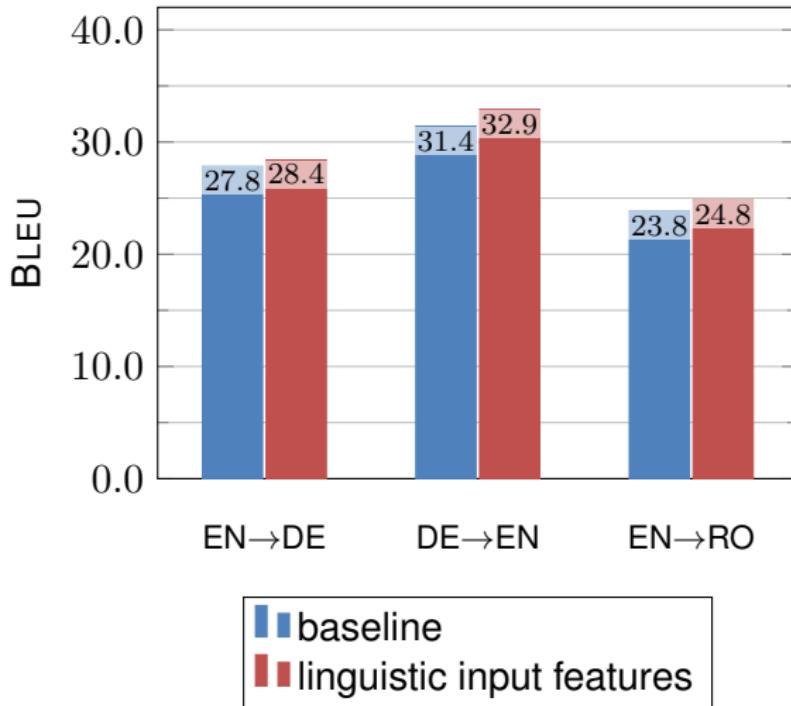
\* Wir dachten, ein Sieg wie dieser könnte schließen.

# Neural Machine Translation: Multiple Input Features

use separate embeddings for each feature, then concatenate

$$E_1(\text{close}) = \begin{bmatrix} 0.4 \\ 0.1 \\ 0.2 \end{bmatrix} \quad E_2(\text{adj}) = [0.1] \quad E_1(\text{close}) \parallel E_2(\text{adj}) = \begin{bmatrix} 0.4 \\ 0.1 \\ 0.2 \\ 0.1 \end{bmatrix}$$

# Results



# Predicting Target-Side Syntax (CCG)

[Nadejde, Reddy, Sennrich, Dwojak, Junczys-Dowmunt, Koehn, Birch, WMT 2017]

## Core Idea

- CCG supertags carry information about type/direction of arguments
- predict supertags to help model produce good grammatical structure
- we associate words with their supertag by *interleaving*

words:	Obama	receives	Netanyahu	in	the	capital	of	USA
CCG:	NP	S\NP/PP/NP	NP	PP/NP	NP/N	N	NP\NP/NP	NP

interleaved: NP Obama S\NP/PP/NP receives NP Netanyahu PP/NP in NP/N the N capital NP\NP/NP of NP USA

## similar idea: serialized dependency tree [Aharoni and Goldberg, 2017]

Jane hatte eine Katze .

→

(ROOT (S (NP Jane )NP (VP had (NP a cat )NP )VP . )S )ROOT

# Results

[Nadejde et al., 2017]

system	DE→EN	RO→EN
baseline	32.1	28.4
interleaved CCG	32.7	29.3

[Aharoni and Goldberg, 2017]

system	DE→EN
baseline	32.4
serialized dependencies	33.2

# Results

[Nadejde et al., 2017]

system	DE→EN	RO→EN
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# Results

[Nadejde et al., 2017]

system	DE→EN	RO→EN
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[Aharoni and Goldberg, 2017]

system	DE→EN
baseline	32.4
serialized dependencies	33.2



...but more analysis in the papers

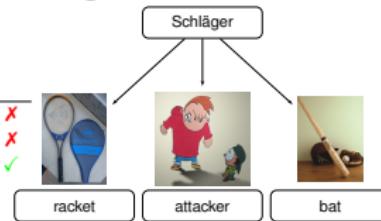
# Conclusions

- neural machine translation does not *need* linguistic knowledge...
- ...but linguistics *should* play an important role for

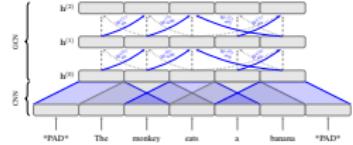
inspiring research

source reference	indoor temperature Raumklima
[Bahdanau et al., 2015]	UNK
[Jean et al., 2015]	Innenpool
[Sennrich, Haddow, Birch, ACL 2016a]	Innen+ temperatur

targeted evaluation



informing models



# Collaborators



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Barry Haddow



Antonio Valerio Miceli Barone



Kenneth Heafield



Maria Nadejde



Phil Williams



Ulrich Germann



Tomasz Dwojak



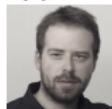
Philipp Koehn



Siva Reddy



Anna Currey



Marcin Junczys-Dowmunt



Annette Rios



Laura Mascarell



Martin Volk

# Open Positions

## PhD positions

I have two PhD positions available at the University of Edinburgh.

## postdoc

open position for post-doctoral researcher.



Contact me if you're interested.

# Thanks

## Acknowledgments

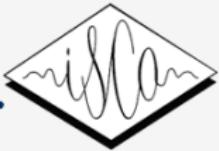
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## TSD 2017 Sponsors



**Thank you for your attention**

## Resources

- LingEval97: <https://github.com/rsennrich/lingeval97>
- ContraWSD: <https://github.com/a-rios/ContraWSD>
- pre-trained models:
  - WMT16: [http://data.statmt.org/wmt16\\_systems/](http://data.statmt.org/wmt16_systems/)
  - WMT17: [http://data.statmt.org/wmt17\\_systems/](http://data.statmt.org/wmt17_systems/)

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