# Query Expansion with Random Projections

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### Query Expansion: Problem statement

- In the context of Information Retrieval, Query Expansion is a method to improve recall and precision by modifying a user query
- A specific case is the expansion of each term to related terms, which mainly improves recall but also precision as a side effect
- Example: User query: soccer, expanded query: soccer football maradona
- The Problem: Learn to expand terms

### A General Approach

### The distributional hypothesis

Words with similar distributional properties have similar meanings.

### The geometric metaphor of meaning

Meanings are locations in a semantic space, and semantic similarity is proximity between the locations.

## The Vector Space Model Approach

#### A solution

- An algebraic model for information retrieval and NLP
- Defines a vector space to represent terms
- Defines a dimension for each unique term
- Each term is represented by a semantic vector
- Each component of a semantic vector is the weight of the represented term in the direction of the dimension term
- Weights are a design decision, TF-IDF is widely used
- Compute the related terms of a given term as its k-nearest neighbors
- The vector distance/similarity measure is a design decision, cosine similarity is widely adopted

	play	soccer	week	favorite	sport	forget	ball	Football
play	0	1	1	0	0	0	0	1
soccer	1	0	1	1	1	1	1	0
week	1	1	0	0	0	0	0	0
favorite	0	1	0	0	1	0	0	0
sport	1	1	0	1	0	0	0	1
forget	0	1	0	0	0	0	1	0
ball	0	1	0	0	0	1	0	0
Football	1	0	0	0	1	0	0	0

$$s(Football) = \begin{pmatrix} 1 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$
  
 $similarity(soccer, Football) = cos(s(soccer), s(football)) = \frac{1}{\sqrt{3}} = 0,57$ 

 $s(soccer) = (1 \ 0 \ 1 \ 1 \ 1 \ 1 \ 0)$ 

## The Vector Space Model Approach

#### Some drawbacks

- Curse of dimensionality
- Sparse vectors
- Dynamic vector space

#### **Alternatives**

- Use documents as dimensions: Less dimensions, but still sparse vectors and still a dynamic space
- Use topics as dimensions: Less dimensions, dense vectors and fixed space, but how can we define topics and assign weights? Latent Semantic Analysis

Overcoming drawbacks

- Use a random low dimensional space
- Less dimensions, dense vectors, fixed space
- No semantic assigned to dimensions
- But, how are weights assigned?

### A simple algorithm

- Assign a random k-dimensional vector to each term (index vector)
- Allocate a null k-dimensional vector to each term (semantic vector)
- For each sentence in the corpus compute bigrams (left right)
- For each bigram
  - semantic(left) += index(right)
  - semantic(right) += index(left)

#### Illustration

### Document 1:

We play soccer every week. Soccer is my favorite sport. Don't forget the soccer ball

Context 1: play soccer week.

Context 2: Soccer favorite sport.

Context 3: forget soccer ball.

Document 2:

Football is a popular sport. Do you play football?

Context 1: Football popular sport

Context 2: play football

$$s(\texttt{soccer}) = \\ r(\texttt{play}) + r(\texttt{week}) + r(\texttt{favorite}) + r(\texttt{sport}) + r(\texttt{forget}) + r(\texttt{ball}) = \\ \langle 10001 \rangle + \langle 10100 \rangle + \langle 00011 \rangle + \langle 00110 \rangle + \langle 11000 \rangle + \langle 10010 \rangle = \langle 41232 \rangle \\ s(\texttt{football}) = \\ r(\texttt{popular}) + r(\texttt{sport}) + r(\texttt{play}) = \langle 10010 \rangle + \langle 00110 \rangle + \langle 10001 \rangle = \langle 20121 \rangle \\ cos(s(\texttt{soccer}), s(\texttt{football})) = cos(\langle 41232 \rangle, \langle 20121 \rangle) = 0.97$$

A simple algorithm: a formal description

Given a text documents corpus D we define

 $\mathbb{T} = \{ \mathsf{All terms in } D \}, \ t = |\mathbb{T}|$ 

 $\mathbb{C} = \{A|I \text{ contexts in } D\}, \text{ where a context is a set of terms}$ 

t random k-dimensional vectors  $r_i, 1 \le i \le t$ ,  $\mathbb{R} = \{r_i\}$ 

t semantic k-dimensional vectors  $s_i, 1 \le i \le t$ ,  $\mathbb{S} = \{s_i\}$ 

A mapping  $S: w \in \mathbb{T} \to \mathbb{S}$ , which maps a term to its semantic vector

A mapping  $R: w \in \mathbb{T} \to \mathbb{R}$ , which maps a term to its random vector

A mapping  $C: w \in \mathbb{T} \to \{\mathbb{C}\}$ , which maps a term to a set containing all the contexts it appears

Then we can express the semantic vector assigned to the term w as:

$$s_w = \sum_{c \in C(w)} \sum_{p \in c} R(p) \tag{1}$$

Algebraic Interpretation

Defining a co-occurrence matrix  $M \in \mathbb{Z}^{t \times t}$ ,  $M_{ij} = \sum_{c \in C(t_i)} \mathbb{1}_c(t_j)$ 

Each cell i, j in M counts the amount of contexts in D containing the ith and jth terms

Introducing a random matrix  $R \in \mathbb{Z}^{t \times k}$ ,  $R_i = R(t_i)$ , that is, all random vectors as rows, we can express a semantic vector as:

$$s_i = \sum_{j=1}^t R(t_j) M_{ij} = \sum_{j=1}^t R_j M_{ij}$$
 (2)

If we also define the semantic matrix  $S \in \mathbb{Z}^{t \times k}$  with  $S_i = s_i$ , that is, all semantic vectors as rows, we can finally write:

$$S = MR \tag{3}$$

Algebraic Interpretation

$$S = MR \tag{4}$$

- This equation is a mathematical expression of our initial algorithm
- Semantic vectors are just the matrix product of the VSM vectors and a random matrix
- The algorithm is simply reducing the dimensionality of the VSM vectors
- For certain distributions of R, R is a nearly orthogonal
- Then we can interpret this product as the projection of the VSM vectors onto a random lower dimensional space

Theoretical support

### The Johnson and Lindenstrauss Lemma

Given  $\epsilon > 0$  and an integer n, let k be a positive integer such that  $k \geq k_0 = O(\epsilon^{-2} \log n)$ . For every set  $\mathbb P$  of n points in  $\mathbb R^d$  there exists  $f: \mathbb R^d \to \mathbb R^k$  such that for all  $u, v \in \mathbb P$ 

$$(1 - \epsilon) \|u - v\|^2 \le \|f(u) - f(v)\|^2 \le (1 + \epsilon) \|u - v\|^2$$

Theoretical support

### Achlioptas Theorem

Let  $\mathbb P$  be an arbitrary set of n points in  $R^d$  represented as an  $n\times d$  matrix A. Given  $\epsilon,\beta>0$  let  $k_0=\frac{4+2\beta}{\frac{\epsilon^2}{2}-\frac{\epsilon^3}{3}}\log n$ . For integer  $k\ge_0$ , let  $\mathbb R$  be a  $d\times k$  random matrix with  $R(i,j)=r_{ij}$ , where  $\{r_{ij}\}$  are independent random variables from either one of the following two probability distributions:

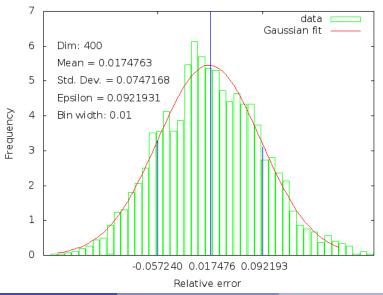
$$r_{i,j} = \begin{cases} +1 & \text{with probability } \frac{1}{2} \\ -1 & \text{with probability } \frac{1}{2} \end{cases} \quad r_{i,j} = \sqrt{3} \times \begin{cases} +1 & \text{with probability } \frac{1}{6} \\ 0 & \text{with probability } \frac{2}{3} \\ -1 & \text{with probability } \frac{1}{6} \end{cases}$$

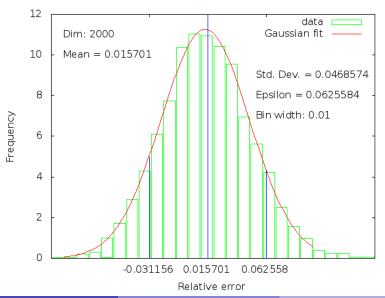
Let  $E=\frac{1}{\sqrt{k}}AR$ . Let  $f:\mathbb{R}^d\to\mathbb{R}^k$  map the  $i^{th}$  row of A to the  $i^{th}$  row of E. With probability at least  $1-n^{-\beta}$ , forallu,  $v\in\mathbb{P}$ 

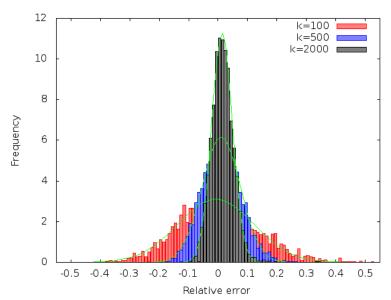
$$(1 - \epsilon) \|u - v\|^2 \le \|f(u) - f(v)\|^2 \le (1 + \epsilon) \|u - v\|^2$$

Set up

- Natural language corpus with 140000 unique terms
- Filtered out low frequency terms, n = 42905
- Plain VSM vectors (original space is represented by the co-occurrence matrix)
- Evaluated random space dimension  $(k_0)$  ranging from 100 to 2000
- Random space generated with the dense Achlioptas distribution
- Pick two random vectors u, v, compute squared euclidean distance  $\|u-v\|^2$  and  $\|f(u)-f(v)\|^2$
- Compute relative error as  $\frac{\|f(u)-f(v)\|^2-\|u-v\|^2}{\|u-v\|^2}$
- Sample size: 3000



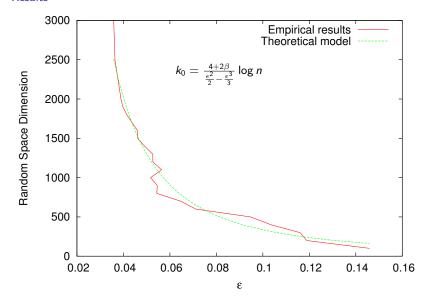




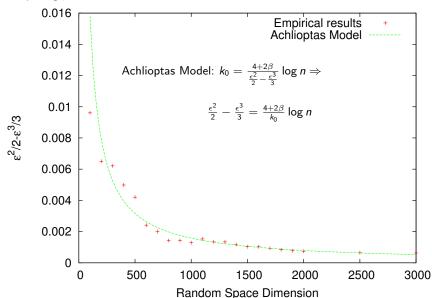
Measuring  $\epsilon$ 

How can we compute  $\epsilon$  from these histograms?

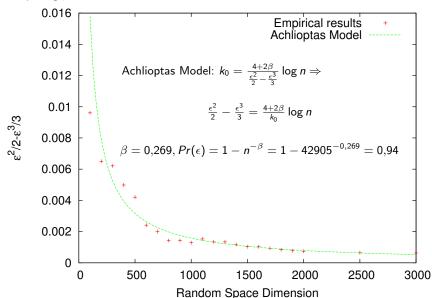
$$\begin{split} (1-\epsilon)\|u-v\|^2 &\leq \|f(u)-f(v)\|^2 \leq (1+\epsilon)\|u-v\|^2 \\ 1-\epsilon &\leq \frac{\|f(u)-f(v)\|^2}{\|u-v\|^2} \leq 1+\epsilon \\ -\epsilon &\leq \frac{\|f(u)-f(v)\|^2}{\|u-v\|^2} - 1 \leq \epsilon \\ -\epsilon &\leq \frac{\|f(u)-f(v)\|^2-\|u-v\|^2}{\|u-v\|^2} \leq \epsilon \\ \epsilon &= \max(|\mu-\sigma|,|\mu+\sigma|) \end{split}$$



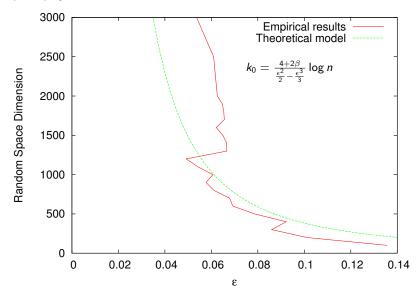
### Computing $\beta$



#### Computing $\beta$

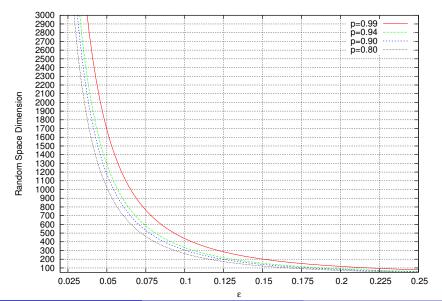


### Sparse projections



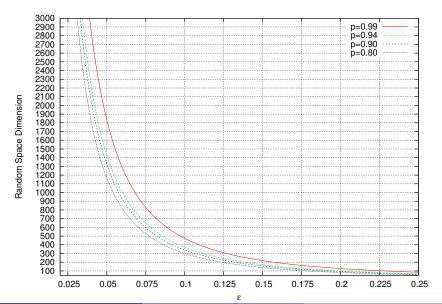
### Trade offs

#### Dimensionality vs error vs certainty



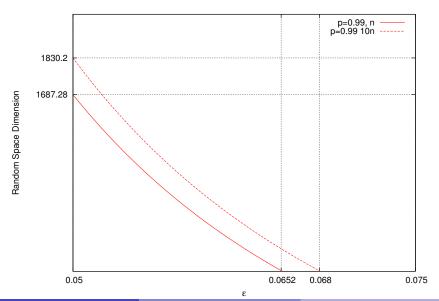
### Trade offs

#### 10 times more data



### Trade offs

#### The effect of n



### Random Projections Design

Selecting k<sub>0</sub>

- Determine how much data is available: n
- Compute  $\beta$  for several certainty levels (99, 94, 90 typically)
- Compute and plot  $k_0(\epsilon)$  for each p level
- ullet Choose  $k_0$  according to resources constraints and accepted  $\epsilon$
- More data? Start again. increase  $k_0$ , accept more error or more uncertainty

### Random Projections Design

Selecting a random distribution

- Select  $k_0$  using a dense random distribution
- No  $k_0$  meets performance criteria?: Analyze sparsity.
- If data is indeed really sparse, consider matrix densification algorithms
- ullet If  $k_0$  meets performance criteria, evaluate a sparse random projection
- Achlioptas' distributions are not the only meeting JL property. Others could give better results

### Random Projections Design

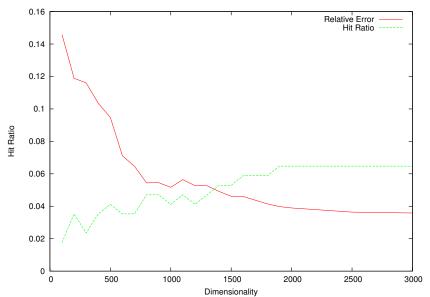
Weighting scheme

- Directional random vectors
- Distance permutations
- Reflective random projections

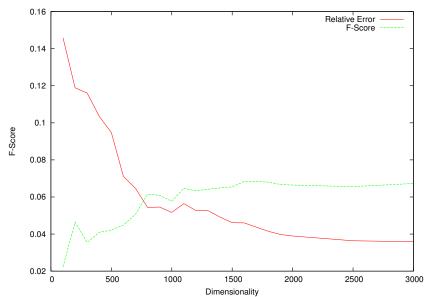
Set up

- Goal: Evaluate concrete power to generate related terms
- Manually built a dictionary of related terms for the tourism industry
- Compute related terms for each word in the dictionary using different designs (dimensionality, random distribution, weighting scheme)
- Performance is measured by comparing the expected terms and the actual computed terms
- This can be seen as an information retrieval problem: For a given term, retrieve related terms.
- Evaluation performed with standard IR metrics like Precision, Recall,
   F-Score and Mean Average Precision.

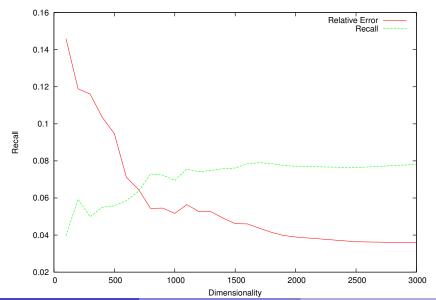
Hit Ratio



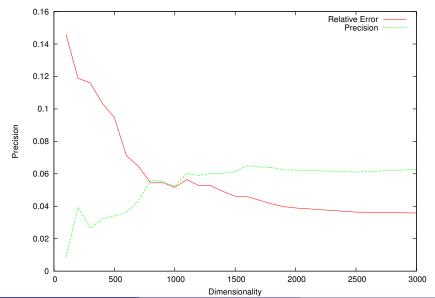
### F-Score



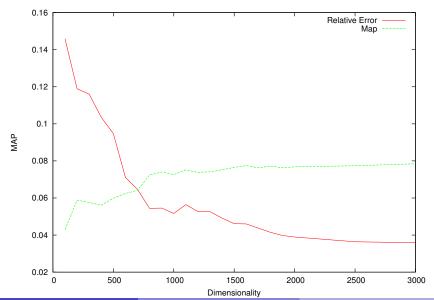
### Recall



### Recall



### Recall



#### Some examples

- surf [surfistas, surfear, deportes acuaticos, olas, windsurf, arena, bañarse, agua tibia, mar]
- navegar [navegando, navegacion, rio, barco, bote, aguas, lago, isla, orillas]
- velero [barco, catamaran, bote, lancha, isla, muelle, puerto, yate, bahia]
- viñedo [bodega, viñedos, bodegas, degustacion, alrededores, supuesto, vino, ademas, casa]
- coral [hacer snorkel, corales, bucear, arrecife, snorkel, coralina, buceo, peces, aguas cristalinas]
- tragos [trago, cerveza, bar, bailar, pub, disco, cocteles, cervezas, discoteca]
- pub [bar, boliche, discoteca, disco, bares, bailar, tragos, musica vivo, tomar cerveza]

#### Some examples

- caro [barato, costoso, economico, precio]
- Iluvioso [soleado, nublado, caluroso, ventoso, amanecio, Ilovio]
- comprar [compra, mejor, bueno, comer, buena opcion, recomiendo, conocer, caminar, ciudad]
- comer [mejor, comprar, comida, buen, bueno, caminar, restaurante, lugar]
- ñandu [liebres, guanacos, zorro, aves, especies, monos]

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