Query Expansion with Random Embeddings

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January 2014

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Query Expansion: Problem statement

- In the context of Information Retrieval, Query Expansion is a method to improve recall and precision by modifying a user query
- A specific case is the expansion of each term to related terms, which mainly improves recall but also precision as a side effect
- Example: User query: soccer, expanded query: soccer football maradona
- The Problem: Learn to expand terms

A General Approach

The distributional hypothesis

Words with similar distributional properties have similar meanings.

The geometric metaphor of meaning

Meanings are locations in a semantic space, and semantic similarity is proximity between the locations.

The Vector Space Model Approach

A solution

- An algebraic model for information retrieval and NLP
- Defines a vector space to represent terms
- Defines a dimension for each unique term
- Each term is represented by a semantic vector
- Each component of a semantic vector is the weight of the represented term in the direction of the dimension term
- Weights are a design decision, TF-IDF is widely used
- Compute the related terms of a given term as its k-nearest neighbors
- The vector distance/similarity measure is a design decision, cosine similarity is widely adopted

	play	soccer	week	favorite	sport	forget	ball	Football
play	0	1	1	0	0	0	0	1
soccer	1	0	1	1	1	1	1	0
week	1	1	0	0	0	0	0	0
favorite	0	1	0	0	1	0	0	0
sport	1	1	0	1	0	0	0	1
forget	0	1	0	0	0	0	1	0
ball	0	1	0	0	0	1	0	0
Football	1	0	0	0	1	0	0	0

$$s(Football) = \begin{pmatrix} 1 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

 $similarity(soccer, Football) = cos(s(soccer), s(football)) = \frac{1}{\sqrt{3}} = 0,57$

 $s(soccer) = (1 \ 0 \ 1 \ 1 \ 1 \ 1 \ 0)$

The Vector Space Model Approach

Some drawbacks

- Curse of dimensionality
- Sparse vectors
- Dynamic vector space

Alternatives

- Use documents as dimensions: Less dimensions, but still sparse vectors and still a dynamic space
- Use topics as dimensions: Less dimensions, dense vectors and fixed space, but how can we define topics and assign weights? Latent Semantic Analysis

Overcoming drawbacks

- Use a random low dimensional space
- Less dimensions, dense vectors, fixed space
- No semantic assigned to dimensions
- But, how are weights assigned?

A simple algorithm

- Assign a random k-dimensional vector to each term (index vector)
- Allocate a null k-dimensional vector to each term (semantic vector)
- For each sentence in the corpus compute bigrams (left right)
- For each bigram
 - semantic(left) += index(right)
 - semantic(right) += index(left)

Illustration

Document 1:

We play soccer every week. Soccer is my favorite sport. Don't forget the soccer ball

Context 1: play soccer week.

Context 2: Soccer favorite sport.

Context 3: forget soccer ball.

Document 2:

Football is a popular sport. Do you play football?

Context 1: Football popular sport

Context 2: play football

$$s(\texttt{soccer}) = \\ r(\texttt{play}) + r(\texttt{week}) + r(\texttt{favorite}) + r(\texttt{sport}) + r(\texttt{forget}) + r(\texttt{ball}) = \\ \langle 10001 \rangle + \langle 10100 \rangle + \langle 00011 \rangle + \langle 00110 \rangle + \langle 11000 \rangle + \langle 10010 \rangle = \langle 41232 \rangle \\ s(\texttt{football}) = \\ r(\texttt{popular}) + r(\texttt{sport}) + r(\texttt{play}) = \langle 10010 \rangle + \langle 00110 \rangle + \langle 10001 \rangle = \langle 20121 \rangle \\ cos(s(\texttt{soccer}), s(\texttt{football})) = cos(\langle 41232 \rangle, \langle 20121 \rangle) = 0.97 \end{aligned}$$

A simple algorithm: a formal description

Given a text documents corpus D we define

 $\mathbb{T} = \{ \mathsf{All terms in } D \}, \ t = |\mathbb{T}|$

 $\mathbb{C} = \{ All \text{ contexts in } D \}, \text{ where a context is a set of terms}$

t random k-dimensional vectors $r_i, 1 \le i \le t$, $\mathbb{R} = \{r_i\}$

t semantic k-dimensional vectors $s_i, 1 \le i \le t$, $\mathbb{S} = \{s_i\}$

A mapping $S: w \in \mathbb{T} \to \mathbb{S}$, which maps a term to its semantic vector

A mapping $R: w \in \mathbb{T} \to \mathbb{R}$, which maps a term to its random vector

A mapping $C: w \in \mathbb{T} \to \{\mathbb{C}\}$, which maps a term to a set containing all the contexts it appears

tne contexts it appears

Then we can express the semantic vector assigned to the term w as:

$$s_w = \sum_{c \in C(w)} \sum_{p \in c} R(p) \tag{1}$$

Algebraic Interpretation

Defining a co-occurrence matrix $M \in \mathbb{Z}^{t \times t}$, $M_{ij} = \sum_{c \in C(t_i)} \mathbb{1}_c(t_j)$

Each cell i, j in M counts the amount of contexts in D containing the ith and jth terms

Introducing a random matrix $R \in \mathbb{Z}^{t \times k}$, $R_i = R(t_i)$, that is, all random vectors as rows, we can express a semantic vector as:

$$s_i = \sum_{j=1}^t R(t_j) M_{ij} = \sum_{j=1}^t R_j M_{ij}$$
 (2)

If we also define the semantic matrix $S \in \mathbb{Z}^{t \times k}$ with $S_i = s_i$, that is, all semantic vectors as rows, we can finally write:

$$S = MR \tag{3}$$

Algebraic Interpretation

$$S = MR \tag{4}$$

- This equation is a mathematical expression of our initial algorithm
- Semantic vectors are just the matrix product of the VSM vectors and a random matrix
- The algorithm is simply reducing the dimensionality of the VSM vectors
- For certain distributions of R, R is a nearly orthogonal
- Then we can interpret this product as the projection of the VSM vectors onto a random lower dimensional space

Theoretical support

The Johnson and Lindenstrauss Lemma

Given $\epsilon > 0$ and an integer n, let k be a positive integer such that $k \geq k_0 = O(\epsilon^{-2} \log n)$. For every set $\mathbb P$ of n points in $\mathbb R^d$ there exists $f: \mathbb R^d \to \mathbb R^k$ such that for all $u, v \in \mathbb P$

$$(1 - \epsilon) \|u - v\|^2 \le \|f(u) - f(v)\|^2 \le (1 + \epsilon) \|u - v\|^2$$

Theoretical support

Achlioptas Theorem

Let $\mathbb P$ be an arbitrary set of n points in R^d represented as an $n\times d$ matrix A. Given $\epsilon,\beta>0$ let $k_0=\frac{4+2\beta}{\frac{\epsilon^2}{2}-\frac{\epsilon^3}{3}}\log n$. For integer $k\ge_0$, let $\mathbb R$ be a $d\times k$ random matrix with $R(i,j)=r_{ij}$, where $\{r_{ij}\}$ are independent random variables from either one of the following two probability distributions:

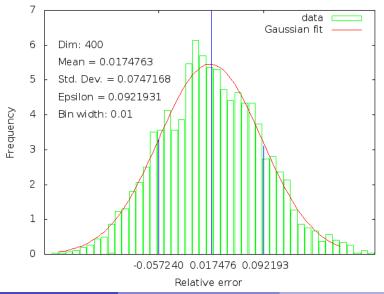
$$r_{i,j} = \begin{cases} +1 & \text{with probability } \frac{1}{2} \\ -1 & \text{with probability } \frac{1}{2} \end{cases} \quad r_{i,j} = \sqrt{3} \times \begin{cases} +1 & \text{with probability } \frac{1}{6} \\ 0 & \text{with probability } \frac{2}{3} \\ -1 & \text{with probability } \frac{1}{6} \end{cases}$$

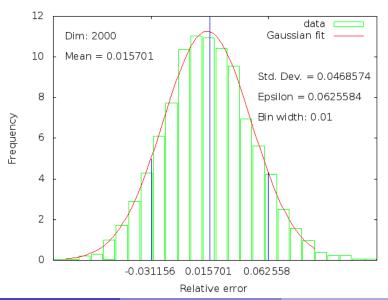
Let $E=\frac{1}{\sqrt{k}}AR$. Let $f:\mathbb{R}^d\to\mathbb{R}^k$ map the i^{th} row of A to the i^{th} row of E. With probability at least $1-n^{-\beta}$, forallu, $v\in\mathbb{P}$

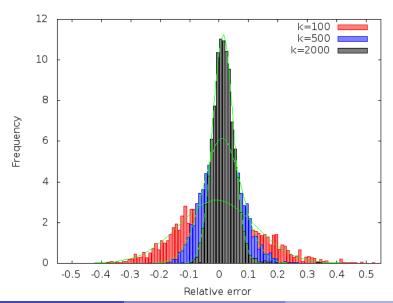
$$(1 - \epsilon) \|u - v\|^2 \le \|f(u) - f(v)\|^2 \le (1 + \epsilon) \|u - v\|^2$$

Set up

- Natural language corpus with 140000 unique terms
- Filtered out low frequency terms, n = 42905
- Plain VSM vectors (original space is represented by the co-occurrence matrix)
- Evaluated random space dimension (k_0) ranging from 100 to 2000
- Random space generated with the dense Achlioptas distribution
- Pick two random vectors u, v, compute squared euclidean distance $||u-v||^2$ and $||f(u)-f(v)||^2$
- Compute relative error as $\frac{\|f(u)-f(v)\|^2-\|u-v\|^2}{\|u-v\|^2}$
- Sample size: 3000



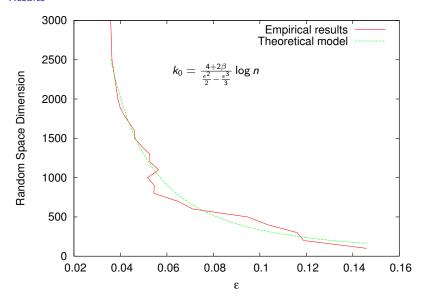




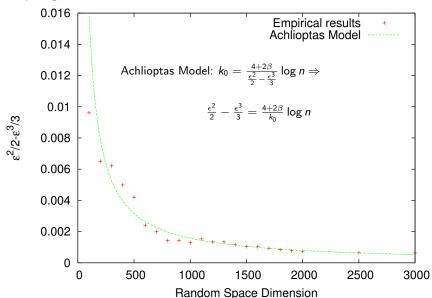
Measuring ϵ

How can we compute ϵ from these histograms?

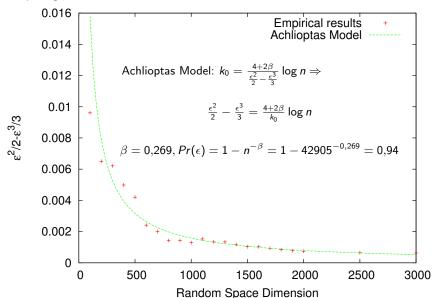
$$\begin{split} (1-\epsilon)\|u-v\|^2 &\leq \|f(u)-f(v)\|^2 \leq (1+\epsilon)\|u-v\|^2 \\ 1-\epsilon &\leq \frac{\|f(u)-f(v)\|^2}{\|u-v\|^2} \leq 1+\epsilon \\ -\epsilon &\leq \frac{\|f(u)-f(v)\|^2}{\|u-v\|^2} - 1 \leq \epsilon \\ -\epsilon &\leq \frac{\|f(u)-f(v)\|^2-\|u-v\|^2}{\|u-v\|^2} \leq \epsilon \\ \epsilon &= \max(|\mu-\sigma|,|\mu+\sigma|) \end{split}$$



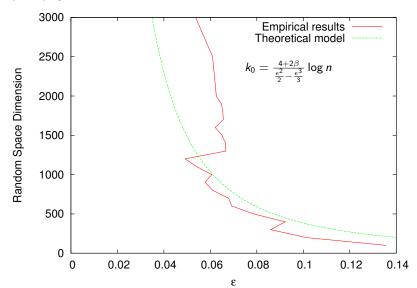
Computing β



Computing β

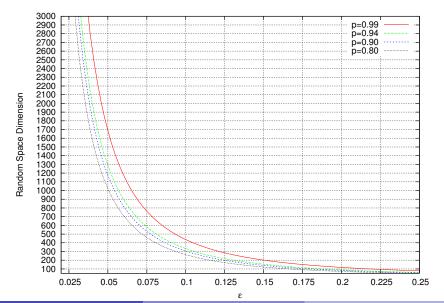


Sparse projections



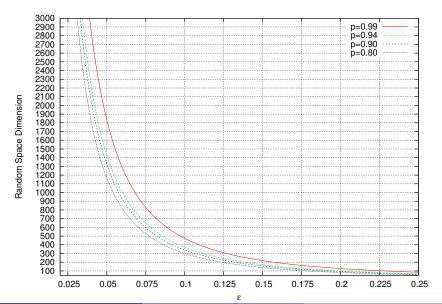
Trade offs

Dimensionality vs error vs certainty



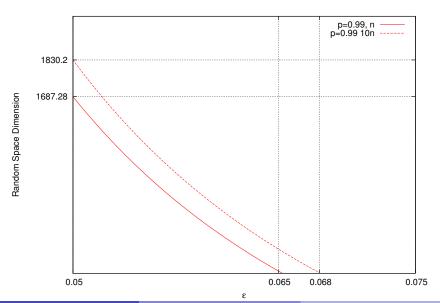
Trade offs

10 times more data



Trade offs

The effect of n



Random Projections Design

Selecting k₀

- Determine how much data is available: n
- Compute β for several certainty levels (99, 94, 90 typically)
- Compute and plot $k_0(\epsilon)$ for each p level
- ullet Choose k_0 according to resources constraints and accepted ϵ
- More data? Start again. increase k_0 , accept more error or more uncertainty

Random Projections Design

Selecting a random distribution

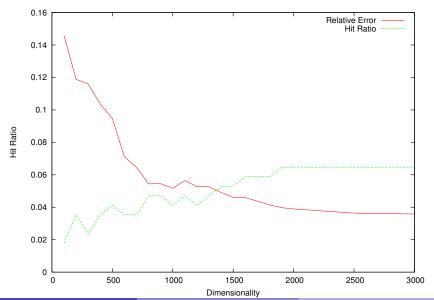
- Select k_0 using a dense random distribution
- No k_0 meets performance criteria?: Analyze sparsity.
- If data is indeed really sparse, consider matrix densification algorithms
- ullet If k_0 meets performance criteria, evaluate a sparse random projection
- Achlioptas' distributions are not the only meeting JL property. Others could give better results

Random Projections Design

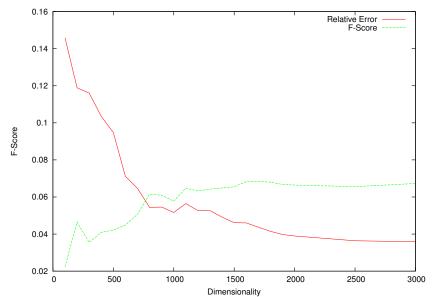
Weighting scheme

- Directional random vectors
- Distance permutations
- Reflective random projections

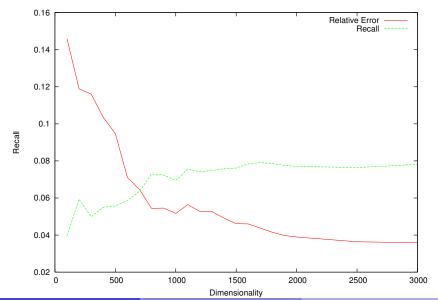
Hit Ratio



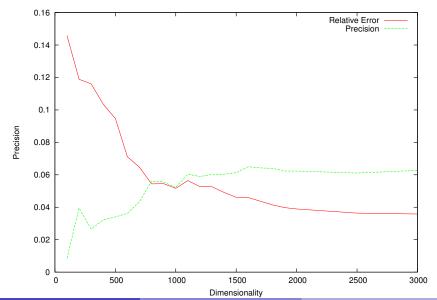
F-Score



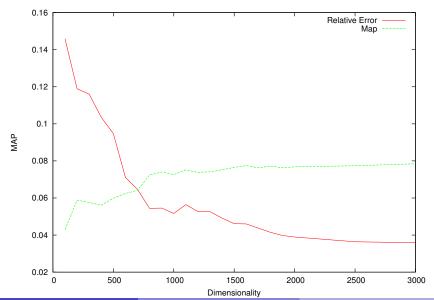
Recall



Recall



Recall



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