# Query Expansion with Random Embeddings

Lucas Bernardi

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- Intro
- 2 Random Projections
- 3 Empirical Study
- Trade offs
- 5 Random Projections Design

## Query Expansion: Problem statement

- In the context of Information Retrieval, Query Expansion is a method to increase recall and/or precision by modifying a user query
- A specific case is the expansion of each term to related terms, increasing recall (and precision as a side effect)
- Example: User query: soccer, expanded query: soccer football maradona
- The Problem: Learn to expand terms

#### A General Approach

#### The distributional hypothesis

Words with similar distributional properties have similar meanings.

#### The geometric metaphor of meaning

Meanings are locations in a semantic space, and semantic similarity is proximity between the locations.

# The Vector Space Model Approach

#### A solution

- An algebraic model for information retrieval and NLP
- Defines a vector space to represent terms
- Defines a dimension for each unique term
- Each term is represented by a semantic vector
- Each component of a semantic vector is the weight of the represented term in the direction of the dimension term
- Weights are a design decision, TF-IDF is widely used
- Compute the related terms of a given term as its k-nearest neighbors
- The vector distance/similarity measure is a design decision, cosine similarity is widely adopted

# The Vector Space Model Approach

#### Some drawbacks

- Curse of dimensionality
- Sparse vectors
- Dynamic vector space

#### Alternatives

- Use documents as dimensions: Less dimensions, but still sparse vectors and still a dynamic space
- Use topics as dimensions: Less dimensions, dense vectors and fixed space, but how can we define topics and assign weights? Latent Semantic Analysis

Overcoming drawbacks

- Use a random low dimensional space
- Less dimensions, dense vectors, fixed space
- No semantic assigned to dimensions
- But, how are weights assigned?

A simple algorithm

- Assign a random k-dimensional vector to each term (index vector)
- Allocate a null k-dimensional vector to each term (semantic vector)
- For each sentence in the corpus compute bigrams (left right)
- For each bigram
  - semantic(left) += index(right)
  - semantic(right) += index(left)

A simple algorithm: a formal description

the contexts it appears

Given a text documents corpus D we define  $\mathbb{T}=\{\text{All terms in }D\},\ t=|\mathbb{T}|$   $\mathbb{C}=\{\text{All contexts in }D\},\ \text{where a context is a set of terms}$   $t \text{ random }k\text{-dimensional vectors }r_i, 1\leq i\leq t,\ \mathbb{R}=\{r_i\}$   $t \text{ semantic }k\text{-dimensional vectors }s_i, 1\leq i\leq t,\ \mathbb{S}=\{s_i\}$  A mapping  $S:w\in\mathbb{T}\to\mathbb{S}$ , which maps a term to its semantic vector A mapping  $R:w\in\mathbb{T}\to\mathbb{R}$ , which maps a term to its random vector A mapping  $C:w\in\mathbb{T}\to\{\mathbb{C}\}$ , which maps a term to a set containing all

Then we can express the semantic vector assigned to the term w as:

$$s_w = \sum_{c \in C(w)} \sum_{p \in c} R(p) \tag{1}$$

7) RI January 2014 9 / 29

Algebraic Interpretation

Defining a co-occurrence matrix  $M \in \mathbb{Z}^{t \times t}$ ,  $M_{ij} = \sum_{c \in C(t_i)} \mathbb{1}_c(t_j)$ 

Each cell i, j in M counts the amount of contexts in D containing the ith and jth terms

Introducing a random matrix  $R \in \mathbb{Z}^{t \times k}$ ,  $R_i = R(t_i)$ , that is, all random vectors as rows, we can express a semantic vector as:

$$s_i = \sum_{j=1}^t R(t_j) M_{ij} = \sum_{j=1}^t R_j M_{ij}$$
 (2)

If we also define the semantic matrix  $S \in \mathbb{Z}^{t \times k}$  with  $S_i = s_i$ , that is, all semantic vectors as rows, we can finally write:

$$S = MR \tag{3}$$

RI January 2014 10 / 29

Algebraic Interpretation

$$S = MR \tag{4}$$

- This equation is a mathematical expression of our initial algorithm
- Semantic vectors are just the matrix product of the VSM vectors and a random matrix
- The algorithm is simply reducing the dimensionality of the VSM vectors
- For certain distributions of R, R is a nearly orthogonal
- Then we can interpret this product as the projection of the VSM vectors onto a random lower dimensional space

RI January 2014 11 / 29

Theoretical support

#### The Johnson and Lindenstrauss Lemma

Given  $\epsilon > 0$  and an integer n, let k be a positive integer such that  $k \geq k_0 = O(\epsilon^{-2} \log n)$ . For every set  $\mathbb P$  of n points in  $\mathbb R^d$  there exists  $f: \mathbb R^d \to \mathbb R^k$  such that for all  $u, v \in \mathbb P$ 

$$(1 - \epsilon) \|u - v\|^2 \le \|f(u) - f(v)\|^2 \le (1 + \epsilon) \|u - v\|^2$$

() RI January 2014

Theoretical support

#### Achlioptas Theorem

Let  $\mathbb P$  be an arbitrary set of n points in  $R^d$  represented as an  $n\times d$  matrix A. Given  $\epsilon,\beta>0$  let  $k_0=\frac{4+2\beta}{\frac{\epsilon^2}{2}-\frac{\epsilon^3}{3}}\log n$ . For integer  $k\ge_0$ , let  $\mathbb R$  be a  $d\times k$  random matrix with  $R(i,j)=r_{ij}$ , where  $\{r_{ij}\}$  are independent random variables from either one of the following two probability distributions:

$$r_{i,j} = \begin{cases} +1 & \text{with probability } \frac{1}{2} \\ -1 & \text{with probability } \frac{1}{2} \end{cases} \quad r_{i,j} = \sqrt{3} \times \begin{cases} +1 & \text{with probability } \frac{1}{6} \\ 0 & \text{with probability } \frac{2}{3} \\ -1 & \text{with probability } \frac{1}{6} \end{cases}$$

Let  $E=\frac{1}{\sqrt{k}}AR$ . Let  $f:\mathbb{R}^d\to\mathbb{R}^k$  map the  $i^{th}$  row of A to the  $i^{th}$  row of E. With probability at least  $1-n^{-\beta}$ , forallu,  $v\in\mathbb{P}$ 

$$(1 - \epsilon) \|u - v\|^2 \le \|f(u) - f(v)\|^2 \le (1 + \epsilon) \|u - v\|^2$$

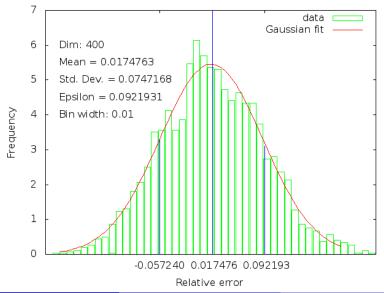
() RI January 2014 13 / 29

Set up

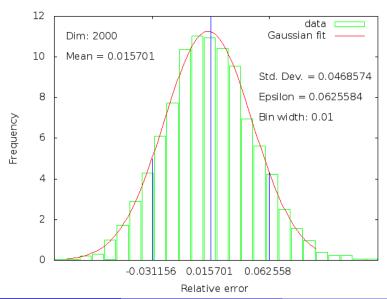
- Natural language corpus with 140000 unique terms
- Filtered out low frequency terms, n = 42905
- Plain VSM vectors (original space is represented by the co-occurrence matrix)
- Evaluated random space dimension  $(k_0)$  ranging from 100 to 2000
- Random space generated with the dense Achlioptas distribution
- Pick two random vectors u, v, compute squared euclidean distance  $\|u-v\|^2$  and  $\|f(u)-f(v)\|^2$
- Compute relative error as  $\frac{\|f(u)-f(v)\|^2-\|u-v\|^2}{\|u-v\|^2}$
- Sample size: 3000

RI January 2014 14 / 29

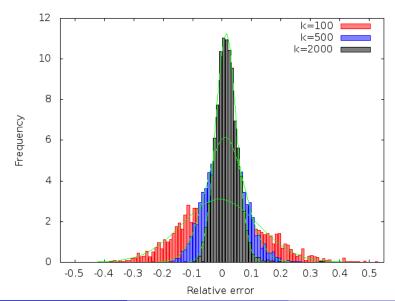
#### Results



#### Results



#### Results



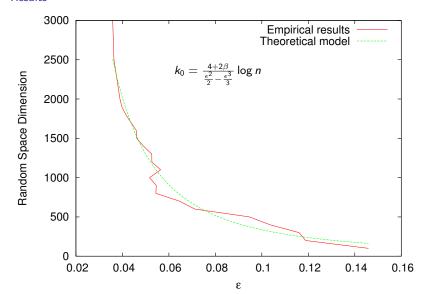
Measuring  $\epsilon$ 

How can we compute  $\epsilon$  from these histograms?

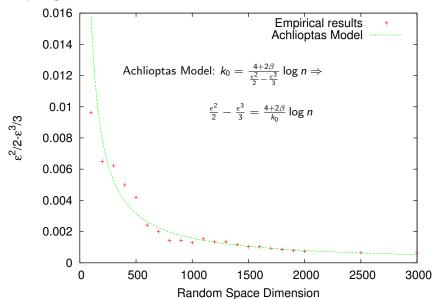
$$\begin{split} (1-\epsilon)\|u-v\|^2 &\leq \|f(u)-f(v)\|^2 \leq (1+\epsilon)\|u-v\|^2 \\ 1-\epsilon &\leq \frac{\|f(u)-f(v)\|^2}{\|u-v\|^2} \leq 1+\epsilon \\ -\epsilon &\leq \frac{\|f(u)-f(v)\|^2}{\|u-v\|^2} - 1 \leq \epsilon \\ -\epsilon &\leq \frac{\|f(u)-f(v)\|^2-\|u-v\|^2}{\|u-v\|^2} \leq \epsilon \\ \epsilon &= \max(|\mu-\sigma|,|\mu+\sigma|) \end{split}$$

() RI January 2014

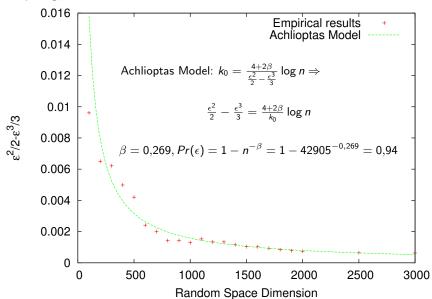
#### Results



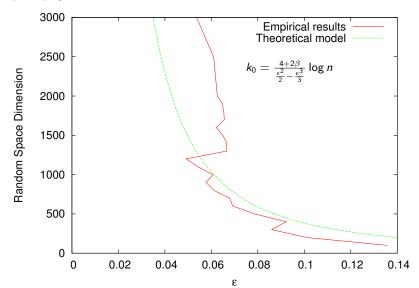




#### Computing $\beta$

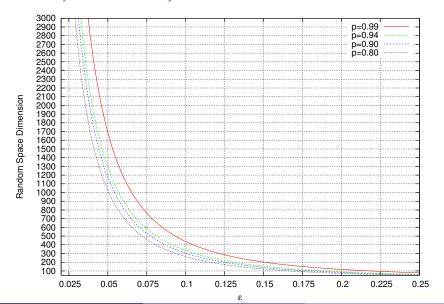


#### Sparse projections



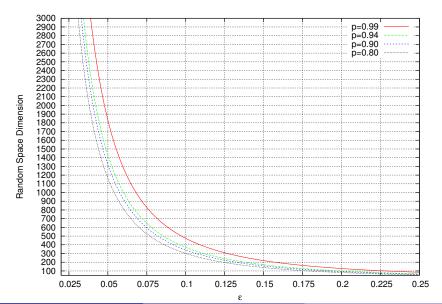
#### Trade offs

#### Dimensionality vs error vs certainty



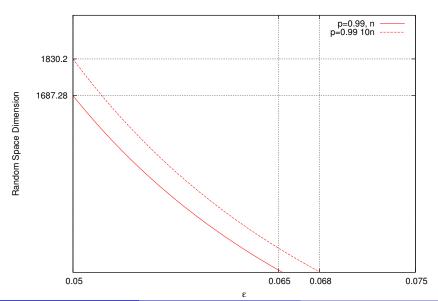
#### Trade offs

#### 10 times more data



#### Trade offs

#### The effect of n



# Random Projections Design

Selecting k<sub>0</sub>

- Determine how much data is available: n
- Compute  $\beta$  for several certainty levels (99, 94, 90 typically)
- Compute and plot  $k_0(\epsilon)$  for each p level
- ullet Choose  $k_0$  according to resources constraints and accepted  $\epsilon$
- More data? Start again. increase  $k_0$ , accept more error or more uncertainty

# Random Projections Design

Selecting a random distribution

- Select  $k_0$  using a dense random distribution
- No  $k_0$  meets performance criteria?: Analyze sparsity.
- If data is indeed really sparse, consider matrix densification algorithms
- ullet If  $k_0$  meets performance criteria, evaluate a sparse random projection
- Achlioptas' distributions are not the only meeting JL property. Others could give better results

() RI January 2014 27 / 29

# Random Projections Design

Weighting scheme

- Directional random vectors
- Distance permutations
- Reflective random projections

#### References



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